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(54) Title: REVERSIBLE SIRNA-BASED SILENCING OF MUTANT AND ENDOGENOUS WILD-TYPE HUNTINGTIN GENE AND ITS APPLICATION FOR THE TREATMENT OF HUNTINGTON'S DISEASE

(57) Abstract: Isolated double-stranded short interfering nucleic acid molecules inhibiting the expression of endogenous wild-type and exogenous human mutant huntingtin genes in cells of a non-human mammal which are expressing both said huntingtin genes, and their application for the treatment of Huntington's disease as well as to study Huntington's disease in rodent models



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**REVERSIBLE siRNA-BASED SILENCING OF MUTANT AND  
ENDOGENOUS WILD-TYPE HUNTINGTIN GENE AND ITS APPLICATION  
FOR THE TREATMENT OF HUNTINGTON'S DISEASE**

The present invention concerns short nucleic acid molecules which  
5 downregulate the expression of mutant and endogenous wild-type huntingtin gene by  
RNA interference and to their application for the treatment of Huntington's disease as  
well as to study Huntington's disease in rodent models.

Huntington's disease (HD) is a fatal autosomal dominant  
neurodegenerative disorder characterized by psychiatric manifestations, cognitive  
10 impairment, and involuntary choreiform movements (Vonsattel, J.P. and DiFiglia, M.,  
*J. Neuropathol. Exp. Neurol.*, 1998, **57**, 369-384). The mutation underlying  
Huntington's disease is an expansion of a CAG nucleotides repeat in the first exon of  
the huntingtin gene (*htt*), producing a mutant htt protein with an elongated  
polyglutamine (polyQ) tract in the N-terminal region of the htt protein. A CAG  
15 repeats number over 35 generally causes HD with age at onset correlating inversely  
with expansion length, a common characteristic to the polyglutamine repeat disorders  
(Group, T.H.s.D.C.R, *Cell*, 1993, **72**, 971-983). The disease usually develops in  
midlife, but juvenile onset cases can occur with CAG repeat lengths over 60. Death  
typically occurs 10-15 years after symptom onset.

20 Despite the widespread expression of huntingtin (*htt*) throughout the  
brain, the affected region is limited to a subset of neurons in the striatum, the  
GABAergic spiny neurons. In a more advanced stage of the disorder, neuro-  
pathological changes occur in other brain regions, notably the cortex.

No cure or preventive treatments are available to slow disease onset  
25 or progression. However, studies performed on cellular and animal models of  
Huntington's disease have provided important clues about the molecular basis of  
mutant htt neurotoxicity. Impaired proteasomal degradation, altered gene  
transcription, protein misfolding, Ca<sup>2+</sup> abnormalities, defects in intracellular signaling,  
and activation of the apoptotic cascade have all been implicated in the pathogenesis of  
30 Huntington's disease (Petersen *et al.*, *Exp. Neurol.*, 1999, **157**, 1-18). These  
mechanisms may all represent potential therapeutic targets. Reducing the level of the

causative agent, the mutant htt itself, however, represents the ultimate and most direct approach to block Huntington's disease pathogenesis.

RNAi is a form of post-transcriptional gene silencing mediated by short double stranded RNA (Brummelkamp *et al.*, *Science*, 2002, **296**, 550-553; Hannon, G.J., *Nature*, 2002, **418**, 244-251; McManus, M.T. and Sharp, P.A., *Nat. Rev. Genet.*, 2002, **3**, 737-747). Short double-stranded RNA molecules of 19-23 bp (siRNA) induce degradation of cognate homologous mRNA via the RNA-induced silencing complex (RISC). Conversion of small hairpin RNA (shRNA), synthesized from a DNA template by RNA polymerase II or III promoters into small interfering RNA (siRNA), is achieved by the cellular ribonuclease III, Dicer (Dykxhoorn *et al.*, *Nat. Rev. Mol. Cell. Biol.*, 2003, **4**, 457-467; Hammond, S.M., *FEBS Lett.*, 2005, **579**, 5822-5829; Hannon, G.J. and Rossi, J.J., *Nature*, 2004, **431**, 371-378). To ensure a continuous and long-term expression of siRNA in the central nervous system (CNS) and overcome delivery issues associated with the presence of the blood-brain barrier, gene transfer approaches have been investigated. Expression systems for the stable expression of siRNAs in mammalian cells have been developed (Brummelkamp *et al.*, *Science*, 2002, **296**, 550-553; Sui *et al.*, *P.N.A.S.*, 2002, **99**, 5515-5520; Barton *et al.*, *P.N.A.S.*, 2002, **99**, 14943-14945). RNA pol II or RNA pol III promoters (U6 and H1) have been used to express shRNA or siRNAs in viral vectors (Barton *et al.*, *P.N.A.S.*, 2002, **99**, 14943-14945; Devroe, E. and Silver, P.A., *BMC Biotechnol.*, 2002, **2**, 15-; Shen *et al.*, *Hum. Gene Ther.*, 2002, **13**, 2197-2201). Lentiviral vectors encoding siRNAs were shown to provide long-term gene silencing in mammalian cells, including neurons (Abbas *et al.*, *Hum. Gene Ther.*, 2002, **13**, 2197-2201; Robinson *et al.*, *Nat. Genet.*, 2003, **33**, 401-406; Stewart *et al.*, *RNA*, 2003, **9**, 493-501; Tiscornia *et al.*, *P.N.A.S.*, 2003, **100**, 1844-1848; Bridge *et al.*, *Nat. Genet.*, 2003, **34**, 263-264; Matta *et al.*, *Cancer Biol. Ther.*, 2003, **2**, 206-210; Krichevsky, A.M., and Kosik, K.S., *P.N.A.S.*, 2002, **99**, 11926-11929). The possibility of designing and integrating siRNA in expression vectors makes this therapeutic approach particularly attractive. The proof of principle for the dominant diseases spinocerebellar ataxia type 1, 3 and Huntington's disease has been demonstrated (Sah, D.W.Y., *Life Sciences*, 2006; Denovan-Wright, E.M. and Davidson, B.L., *Gene Therapy*, 20 October 2005, 1-7; Chen *et al.*, *Biochem. Biophys. Res. Commun.*, 2005, **329**, 646-652; Harper *et al.*,

*Proc. Nat. Acad. Sci. USA*, 2005, **102**, 5820-5825; Huang, B. and Kochanek, S., *Hum. Gene Ther.*, 2005, **16**, 618-626; Machida *et al.*, *Biochem. Biophys. Res. Commun.*, 2006, **343**, 190-197; Omi *et al.*, *Biochem. Biophys. Res. Commun.*, 2005, **338**, 1229-1235; Rodriguez-Lebron *et al.*, *Mol. Ther.*, 2005, **12**, 618-633; Wang *et al.*, *Neurosci. Res.*, 2005, **53**, 241-219; Xia *et al.*, *Nat. Med.*, 2004, **10**, 816-820; Xia *et al.*, *Nat. Biotechnol.*, 2002, **20**, 1006-1010; International PCT Applications WO 2006/031267, WO 2005/116212, WO 2005/105995).

Despite these encouraging results, several issues still need to be addressed before considering clinical applications of siRNA for polyQ disorders, and in particular Huntington's disease.

The first concern is the possible necessity for allele-specific silencing in order to preserve expression of wild-type htt in affected patients. To date, all studies performed *in vitro* or in transgenic mice models of polyQ disorders, utilized siRNAs specifically targeting the human mutant transcript not the endogenous mouse wild-type *htt* allele. In Huntington's disease, the dominant gain-of-function effect of mutant htt has been demonstrated. However, studies suggest that wild-type htt also plays a role in the survival of adult neurons and that loss-of-function may also contribute to the disease process (Cattaneo, E., *News Physiol. Sci.*, 2003, **18**, 34-37). Interestingly, a 50 % reduction of wild-type *htt* expression (one functional *htt* allele) does not affect the survival of neurons in mice (Duyao *et al.*, *Science*, 1995, **269**, 407-410; Zeithin *et al.*, *Nat. Genet.*, 1995, **11**, 155-163) and human (Ambrose *et al.*, *Somat. Cell. Mol. Genet.*, 1994, **20**, 27-38; Persichetti *et al.*, *Neurobiol. Dis.*, 1996, **3**, 183-190). However, conditional gene inactivation which results in 84 % reduction in htt protein in adult mice, triggers neurodegeneration of striatal and cortical neurons (Dragatsis *et al.*, *Nat. Genet.*, 2000, **26**, 300-306). The question of whether the coincident silencing of the normal endogenous wild-type *htt* would have deleterious effects has not previously been addressed and represents a critical issue toward clinical development. Thus, it is important to determine whether a long-term reduction of wild-type htt expression could be tolerated in adult brains. A global *htt* silencing approach would avoid the cost of developing individual therapy and genetic testing of Huntington's disease patients while siRNA targeting the mutant *htt* allele selectively represents the safest strategy.

A second concern is the necessity to have a potent expression system to ensure long-term and robust silencing of mutant htt mRNA in large brain areas. Studies in Huntington's disease transgenic models should provide information on behavioral and functional recovery associated with delivery of siRNA targeting htt (sihtt). Whether a local and partial inactivation of htt in the striatum will be sufficient to delay disease progression and improve the cognitive declines and motor disturbances in Huntington's disease patients or whether additional brain structures should be considered remains to be established. An encouraging result is provided by the study of Xia *et al.* (*Nature Biotechnology*, 2002, 20, 1006-1010) who showed that, in SCA1 transgenic mice, disease progression was mitigated with a partial infection of the cerebellum with an AAV-siRNA vector targeting ataxin.

Finally, in a chronic neurodegenerative disease like Huntington's disease, pharmacological regulation of siRNA will be particularly important as a safety strategy. It would be preferable to shut off siRNA expression if needed, to control potential side effects resulting from long-term and continuous expression of siRNA targeting htt in the brain. In addition, conditional expression of siRNA will be particularly important to further dissect the kinetic and long-term effects of siRNA in the brain. Pharmacologically controlled promoters are amongst the most potent tools to control gene expression (Gossen, M. and Bujard, H., *Proc. Natl. Acad. Sci. USA*, 1992, **89**, 5547-5551; Gossen *et al.*, *Science*, 1995, **268**, 1766-1769). Among these, the tetracycline-regulated system is particularly suitable for the CNS applications because doxycycline, a tetracycline analog, crosses the blood-brain barrier (Barza *et al.*, *Antimicrob. Agents Chemother.*, 1975, **8**, 713-720).

To investigate the impact of the silencing of endogenous wild-type htt in rodent models, the inventors have designed small interfering RNAs (shRNA) with various species specificities. Doxycycline-regulated lentiviral vectors allowing regulated expression of shRNAs specifically targeting endogenous wild-type and/or human mutant htt mRNA (sihtt) were produced to control sihtt treatment and reversibility of the system. Long-term expression of species specific (human and/or rat and/or mouse) shRNAs in the striatum of rodent models of Huntington's disease was used to evaluate therapeutic efficacy and enabled the inventors to test a strategy mimicking a general silencing of htt or a specific downregulation of the disease allele.

At least three shRNA (sihtt1.1 (exon 2), sihtt3 (exon 2) and sihtt6 (exon 4)) tested dramatically reduced Huntington's disease pathology, with over 85 % DARPP-32 expression recovery and a nearly complete clearance of htt inclusions in rats and mouse models. The use of lentiviral vectors leading to high transduction efficiency in the CNS and the robust transgene expression levels obtained by the integration of an H1-shRNA expression cassette in the 3'LTR of the transfer vector, contribute to the effectiveness of the approach. In addition, it was demonstrated that this approach resulted in sustained expression of sihtt up to 9 months; this type of long-term delivery will be essential for the successful treatment of chronic neurodegenerative disease like HD.

A proof of concept of sihtt conditional expression in the brain was shown with the TetR-KRAB transrepressor and the H1 polymerase III promoter, to achieve doxycycline-regulated expression of htt-targeted siRNAs, in rodent models of Huntington's disease. A tight regulation of sihtt expression was obtained despite the fact that the system depended on three plasmids. This system was used to evaluate the reversibility of Huntington's disease pathology and therefore provides information on the window for therapeutic intervention in Huntington's disease. The results obtained in -DOX/+DOX animals, demonstrated that initiating sihtt treatment after the onset of Huntington's disease symptoms is still effective in diminishing polyQ toxicity, as assessed by a significantly reduced loss of expression of the striatal marker, DARPP-32 and a partial clearance of htt inclusions.

The *in vivo* studies addressing the question of the impact of global silencing, demonstrated that a reduction of normal htt expression in adult striatal neurons to 25-35 % of normal levels is well tolerated for up to 9 months. The expression of siRNAs with various species specificities in the striatum of mice and rats induced a downregulation of endogenous htt in accordance with the predicted selectivity and the downregulation of endogenous and/or mutant htt in rodent was not associated with a decreased therapeutic efficacy or increased striatal vulnerability. No signs of toxicity, degeneration of the striatum or loss of expression of striatal markers or the LacZ reporter gene present in the siRNA vector were observed after long-term expression of the siRNAs in rodent brains. The microarray analysis indicated that several pathways associated with known function of htt are altered when endogenous

wild-type htt is silenced in adult mice. Nonetheless these results suggest that a local (striatum), long-term and partial inactivation of endogenous htt in adult mice is feasible. The residual level of htt transcript in the striatum of treated animals might be sufficient to maintain biological functions contrary to HD conditional knockout mice  
5 (Dragatsis *et al.*, *Nat. Genet.*, 2000, **26**, 300-306) where the complete inactivation of htt gene in a large proportion of neurons just after embryonic development was associated with a drastic reduction in htt expression in the entire brain and early death.

Altogether, these results demonstrated that : (i) silencing of mutant htt dramatically reduced the Huntington's disease-like neuropathology in rodents , (ii)  
10 sihtt-treatment initiated after the onset of Huntington's disease pathology is still efficacious and reduces Huntington's disease neuropathology in rodents, (iii) both exogenous mutant htt and endogenous wild-type transcript are efficiently silenced *in vivo*, (iv) the silencing of endogenous htt significantly causes transcriptomic changes in molecular pathways associated with known htt functions, (v) this partial inactivation  
15 of endogenous wild-type htt is not exacerbating Huntington's disease pathology, and (vi) this silencing does not alter GABAergic neuron survival or change the therapeutic efficacy of sihtt after short- and mid-range treatments and at levels of 65-75 % silencing.

These data established the efficacy of lentiviral-mediated silencing  
20 for HD and showed for the first time the long-term impact of a partial inactivation of wild-type htt.

Taken together, these results establish the potential of siRNAs as therapeutic tools to counteract Huntington's disease and suggest that the coincident silencing of the wild-type and mutant htt might be considered as a relevant and viable  
25 approach for Huntington's disease patients.

Therefore, the invention provides an isolated double-stranded short interfering nucleic acid molecule comprising complementary sense and antisense regions, wherein:

- the antisense region has 15 to no more than 19 contiguous  
30 nucleotides that are complementary to a human htt transcript, said nucleotides being encoded by a sequence selected from the group consisting of SEQ ID NO: 1 to 3,

- the sense and antisense regions have at least 15 contiguous nucleotides that are complementary to each other and form a duplex, and

- said double-stranded short interfering nucleic acid molecule inhibits the expression of endogenous wild-type and exogenous human mutant *htt* genes in cells of a non-human mammal which are expressing both said *htt* genes.

### ***Definitions***

- "short nucleic acid molecule" refers to a nucleic acid molecule no more than 100 nucleotides in length, preferably no more than 80 nucleotides in length, and most preferably, no more than 50 nucleotides in length.
- 10 - "interfering nucleic acid molecule or siNA molecule" refers to a duplex of short nucleic acid molecule capable of inducing the process of sequence specific post-transcriptional gene silencing, as first described by Elbashir *et al.*, *Nature* 2001, 411, 494- and in the International PCT Application WO 01/75164, upon introduction of said siNA in cells. A siNA is targeted to a gene of interest in that the
- 15 nucleotide sequence of the duplex portion of the siNA molecule is complementary to a nucleotide sequence of a targeted gene.
- "duplex" refers to the structure formed by the complementary pairing between two regions of a nucleic acid molecule.
- "nucleic acid" refers to deoxyribonucleic acid (DNA), ribonucleic
- 20 acid (RNA) and mixed nucleic acid including natural nucleotides containing a sugar, a phosphate and a base (adenine, cytosine, guanine, thymine or uracil), known analogs of natural nucleotides or nucleotides that are modified at the sugar, phosphate, and/or base moiety.
- "nucleotide encoded by a sequence SEQ ID NO: X" refers to a
- 25 deoxyribonucleotide of the DNA sequence SEQ ID NO: X or a ribonucleotide (a, g, c, u) corresponding to the (a, g, c, t) deoxyribonucleotide of the DNA sequence SEQ ID NO: X.
- "complementary" refers to the ability of a nucleic acid to form hydrogen bond(s) by either traditional Watson-Crick base-pairing or other non-traditional type base-pairing. In reference to the nucleic acid molecules of the present
- 30 invention, the binding free energy for a nucleic acid molecule with its complementary sequence is sufficient to allow the relevant function of the nucleic acid to proceed, e.g.,



RNAi activity. Determination of binding free energies for nucleic acid molecules is well-known in the art (see, e.g., Turner et al., 1987, *CSH Symp. Quant. Biol.*, 1987, LII, pp 123-133, Frier et al., *P.N.A.S.*, 1986, 83, 9373-9377; Turner et al., *J. Am. Chem. Soc.*, 1987, 109, 3783-3785). A percent complementarity indicates the percentage of  
 5 contiguous residues in a nucleic acid molecule that can form hydrogen bonds (e.g., Watson-Crick base-pairing) with a second nucleic acid sequence (e.g., 5, 6, 7, 8, 9, or 10 nucleotides out of a total of 10 nucleotides, in the first oligonucleotide being base-paired to a second nucleic acid sequence having 10 nucleotides represents 50 %, 60 %, 70 %, 80 %, 90 % and 100 % complementarity, respectively). “Perfectly complementary” means that all the contiguous residues of a nucleic acid sequence will hydrogen  
 10 bond with the same number of contiguous residues in a second nucleic acid sequence.

- “transcript” refers to the product resulting from RNA polymerase catalyzed transcription of a DNA sequence; it includes the primary transcript, the mature RNA and the mRNA.

15 - “endogenous gene” refers to a native gene in its natural location in the genome of an host organism/cell.

- “exogenous gene” refers to a gene that originates from a source foreign to the particular hostorganism/cell,

- “wild-type” refers to the normal gene found in nature.

20 - “htt gene” or “huntingtin gene” refers to the gene encoding the huntingtin protein (htt) that is associated with the Huntington’s disease (HD). The sequence of the human *htt* gene corresponds to the accession number NC\_000004 in the NCBI data base. The sequence of the *htt* gene of other mammals is also available in the sequence data bases.

25 - “mutant htt gene” or “disease htt gene” refers to an *htt* gene having an expansion of 35 or more CAG repeats in the first exon and producing a mutant htt protein with an elongated polyglutamine (polyQ) tract that causes Huntington’s disease.

- “vector” refers to a nucleic acid molecule capable of transporting  
 30 another nucleic acid to which it has been linked.

The siNA molecule according to the invention targets one region of the human *htt* transcript corresponding to positions 442 to 460 (exon 2), 619 to 637

(exon 4) or 1207 to 1225 (exons 8-9), by reference to the human *htt* mRNA sequence NCBI accession number NM\_002111 or SEQ ID NO: 11.

**Table I: siNA targeting the human *htt* gene**

Target		Sense strand		Antisense strand	
positions	exon	sequence	Identification number	sequence	Identification number
442-460	2	agaccgtgtgaatcattgt	SEQ ID NO: 4	tctggcacacttagtaaca	SEQ ID NO: 1
619-637	4	agctttgatggattctaata	SEQ ID NO: 5	tcgaaactacctaagatta	SEQ ID NO: 2
1207-1225	8-9	gcagctgtccagggttat	SEQ ID NO: 6	cgtcgaacagggtccaaata	SEQ ID NO: 3

5 The siNA molecule according to the invention decreases the level of both wild-type and mutant human *htt* mRNA and protein, by at least 40 %, preferably at least 60 %, more preferably at least 80 % in a human cell expressing both *htt* mRNAs and proteins. In addition, the siNA molecule decreases the level of wild-type endogenous and mutant human *htt* mRNA and protein, by at least 40 %, preferably at least 60 %, more preferably at least 80 %, in at least one non-human mammalian cell expressing both *htt* mRNAs and proteins. The human cell may be a cell carrying a mutant human *htt* allele carrying 35 or more CAG repeats in exon 1 or a cell transformed by a DNA construct comprising a mutant human gene or a N-terminal fragment thereof which are well known in the art. For example, the DNA construct encodes the first 171 amino acids of *htt* protein with 82 CAG repeat. (N171-82Q or *htt*171-82Q). The non-human mammalian cell is a cell transformed by a DNA construct comprising a mutant human gene or a N-terminal fragment thereof, as defined above. The cell may be from a rodent, preferably from rat or mice. For example the cell is from a transgenic mice or a transgenic rat.

20 The siNA targeting exon 2 and the siNA targeting exon 4 are human and murine specific; they down-regulate the endogenous human and murine *htt* genes and the mutant human *htt* gene but not the endogenous rat *htt* gene.

The siNA targeting exon 8-9 is human, murine and rat specific; this siNA down-regulates the endogenous human, murine and rat *htt* genes and the mutant human *htt* gene.

The silencing of both endogenous wild-type and human mutant *htt* genes is assessed at the RNA or protein level, by methods well-known in the art, for example by real time quantitative RT-PCR, FACS or immunohistological analyses.

According to one embodiment of the invention, the sense region of the siNA molecule comprises at least 15 contiguous nucleotides that are encoded by a sequence selected from the group consisting of SEQ ID NO: 4 to 6.

According to another embodiment of the invention, the siNA molecule is assembled from two separate oligonucleotides, each of 15 to about 30 (e.g. about 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, or 30) nucleotides, where the first strand comprises the sense region (sense strand) and the second strand comprises the antisense region (antisense strand) and said first and second strand form a (symmetric or asymmetric) duplex or double-stranded structure of at least 15 base pairs, preferably of 19 base pairs. For example, the antisense strand comprises or consists of the sequence SEQ ID NO: 1 to 3 and the sense strand comprises or consists of the sequence SEQ ID NO: 4 to 6, respectively.

According to another embodiment of the invention, the complementary sense and antisense regions of the siNA molecule are connected by means of nucleic acid based or non-nucleic acid based linker(s). A non-nucleotide linker comprises abasic nucleotides, aptamers, polyether, polyamine, polyamide, peptide, carbohydrate, lipid, polyhydrocarbon, or other polymeric compounds. For example, the siNA molecule is assembled from a single (linear or circular) oligonucleotide of 31 to about 50 (e.g. about 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49 or 50) nucleotides where the sense and antisense regions are operably linked by means of polynucleotide loop(s) to form a structure comprising a duplex structure and one or more loop structures. The siNA comprises advantageously one duplex structure and one loop structure to form a symmetric or asymmetric hairpin secondary structure (shNA). In these structures, the duplex is of at least 15 base pairs, preferably of 19 base pairs. The loop(s) contains 4 to 10 nucleotides, such as 4, 5 or 6 nucleotides. The loop(s) is advantageously encoded by SEQ ID NO: 7 (5'-ttcaagaga-3'). For example, the siNA is a shNA encoded by a sequence selected from the group consisting of SEQ ID NO: 8 to 10.

**Table II: shNA targeting the human *htt* gene**

Sequence*	Identification number
agaccgtgtgaatcattg <b>ttcaagaga</b> acaatgattcacacgggtct	SEQ ID NO: 8
agcittgatggattctaatt <b>caagaga</b> aattagaatccatcaaagct	SEQ ID NO: 9
gcagctgtccaggtttatt <b>caagaga</b> aataaacctggacaagctgc	SEQ ID NO: 10

\*the sequence of the loop of the hairpin structure ar in bold characters.

According to another embodiment of the invention, the siNA molecule comprises overhanging nucleotide(s) at one or both end(s), preferably, 1 to about 3 (e.g. about 1, 2, or 3) overhanging nucleotides. The overhanging nucleotides which are advantageously at the 3' end(s) are preferably 2'-deoxynucleotide(s), preferably 2'deoxy pyrimidine(s), such as a 2'-deoxythymidine(s). For example, the siNA molecule consists of a first and a second strand, each of 21 nucleotides, where the first and the second strand form a 19 base pairs duplex with tt overhangs at both 3' ends of the duplex. Alternatively, the siNA molecule consists of a shNA having a 19 bp duplex and a 4 to 10 nucleotides loop strand, where the 3' end of the hairpin structure has a tt overhang.

According to another embodiment of the invention, the siNA molecule comprises blunt end(s), where both ends are blunt. For example, the siNA molecule consists of a first and a second strand, each of 19 nucleotides, wherein the first and the second strand form a 19 base pairs duplex where both ends of the duplex are blunt. Alternatively, the siNA molecule consists of a shNA having a 19 bp duplex and a 4 to 10 nucleotides loop strand, where both ends of the hairpin structure are blunt.

According to another embodiment of the invention, the siNA molecule comprises bulges, loops or wobble base pairs to modulate the activity of the siNA molecule to mediate RNA interference.

According to another embodiment of the invention, the siNA molecule comprises ribonucleotide(s) (2'-OH nucleotides) at about 5 % to 100 % of the nucleotide positions (e.g., about 5 %, 10 %, 15 %, 20 %, 25 %, 30 %, 35 %, 40 %, 45 %, 50%, 55 %, 60 %, 65 %, 70 %, 75 %, 80 %, 85 %, 90 %, 95 % or 100 % of the nucleotide positions). For example, the siNA is an RNA molecule (siRNA molecule). Alternatively, the siNA molecule is mixed nucleic acid molecule comprising both deoxynucleotides and ribonucleotides.

According to another embodiment of the invention, the siNA molecule includes one or more modifications which increase resistance to nuclease degradation *in vivo* and/or improve cellular uptake. The siNA may include nucleotides which are modified at the sugar, phosphate, and/or base moiety, and/or modifications of the 5' or 3' end(s), or the internucleotidic linkage. For example, the siNA molecule comprises one or more modified pyrimidine and/or purine nucleotides, preferably on each strand of the double-stranded siNA. More preferably, said modified nucleotides are selected from the group consisting of: 2'-O-methylnucleotides, 2'-O-methoxyethylnucleotides, deoxynucleotides, such as 2'-deoxynucleotides and 2'-deoxy-2'-fluoronucleotides, universal base nucleotides, acyclic nucleotides and 5-C-methyl nucleotides. An siNA molecule of the invention can generally comprise about 5 % to about 100 % modified nucleotides (e.g., about 5 %, 10 %, 15 %, 20 %, 25 %, 30 %, 35 %, 40 %, 45 %, 50 %, 55 %, 60 %, 65 %, 70 %, 75 %, 80 %, 85 %, 90 %, 95 % or 100 % modified nucleotides). The actual percentage of modified nucleotides present in a given siNA molecule will depend on the total number of nucleotides present in the siNA molecule. The percent modification can be based upon the total number of nucleotides present in the sense strand, antisense strand or both the sense and the antisense strands.

According to another embodiment of the invention, the strand of the siNA that comprises the sense region (sense strand) includes a terminal cap moiety at the 5'-end, the 3'-end, or both the 5' and 3'ends of the strand, preferably a deoxy abasic moiety or glyceryl moiety.

According to another embodiment of the invention, the strand of the siNA that comprises the antisense region (antisense strand) includes a phosphate group at the 5'-end.

According to another embodiment of the invention, the siNA molecule comprises at least one modified internucleotidic linkage, such as a phosphorothioate linkage.

The invention encompasses the synthetic, semi-synthetic or recombinant siNAs as defined above.

The siNA molecules according to the invention may be produced by chemical synthesis by using well-known oligonucleotides synthesis methods which make use of common nucleic acid protecting and coupling groups, such as

dimethoxytrityl at the 5'-end and phosphoramidites, at the 3' end. The nucleic acid molecules of the present invention can be modified to enhance stability by modification with nuclease resistant groups, for example 2'-amino, 2'-C-allyl, 2'-fluoro, 2'-O-methyl, 2'-H (for a review see Usman and Cedergren, *TIBS*, 1992, 17, 34 and Usman et al., *Nucleic Acids Symp. Ser.*, 1994, 31, 163). Examples of such modified oligonucleotides include with no limitation: 2' F-CTP, 2' F-UTP, 2' NH<sub>2</sub>-CTP, 2' NH<sub>2</sub>-UTP, 2' N<sub>3</sub>-CTP, 2-thio CTP, 2-thio UTP, 4-thio UTP, 5-iodo CTP, 5-iodo UTP, 5-bromo UTP, 2-chloro ATP, Adenosine 5'-(1-thiotriphosphate), Cytidine 5'-(1-thiotriphosphate), Guanosine-5'-(1-thiotriphosphate), Uridine-5'-(1-thiotriphosphate), Pseudo-UTP, 5-(3-aminoallyl)-UTP and 5-(3-aminoallyl)-dUTP. siNA constructs can be purified by gel electrophoresis using general methods or can be purified by high pressure liquid chromatography (HPLC) and re-suspended in water.

The chemically-synthesized siNA molecule according to the invention may be assembled from two distinct oligonucleotides which are synthesized separately. Alternatively, both strands of the siNA molecule may be synthesized in tandem using a cleavable linker, for example a succinyl-based linker.

Alternatively, the siNA molecules of the invention may be expressed (*in vitro* or *in vivo*) from transcription units inserted into DNA or RNA vectors known to those skilled in the art and commercially available.

The invention provides also a transcription unit comprising: a transcription initiation region, a transcription termination region, and a nucleic acid sequence encoding a least one siNA molecule according to the present invention, wherein said nucleic acid sequence is operably linked to said initiation and termination regions in a manner that allows expression and/or delivery of the siNA molecule in a host cell, for example a target cell (neuron).

The nucleic acid sequence may encode one or both strands of the siNA molecule, or a single self-complementary strand that self-hybridizes into an siNA duplex.

The transcription initiation region may be from a promoter for a eukaryotic RNA polymerase II or III (pol II or III) including viral promoters (SV40, CMV, RSV, adenovirus), since transcripts from these promoters are expressed at high levels in all cells. Alternatively, prokaryotic RNA polymerase promoters may be used,

providing that prokaryotic RNA polymerase enzyme is expressed in the appropriate cells. Preferred promoters are mouse U6 RNA, human H1 RNA and adenovirus VA RNA, which are useful in generating high concentrations of desired siNA in cells.

In addition, the promoter may be constitutive, regulatable (conditional expression) or tissue specific.

Preferred promoters are regulatable promoters, such as for example tetracycline-regulated promoters (Gossen, M. and Bujard, H., *Proc. Natl. Acad. Sci. USA*, 1992, **89**, 5547-5551; Gossen *et al.*, *Science*, 1995, **268**, 1766-1769; Deuschle *et al.*, *Mol. Cell. Biol.*, 1995, **15**, 1907-1914). More preferably, the promoter is a doxycycline-regulated promoter which is particularly suitable for the CNS applications because doxycycline, a tetracycline analog, is crossing the blood-brain barrier.

The transcription termination region is recognized by a eukaryotic RNA polymerase, preferably pol II or pol III, for example, it is a TTTTTT sequence.

The invention concerns also an expression vector comprising a nucleic acid encoding at least one siNA molecule of the instant invention. The expression vector may encode one or both strands of the siNA molecule, or a single self-complementary strand that self-hybridizes into a siNA duplex. The nucleic acid encoding the siNA molecule of the instant invention is preferably inserted in a transcription unit as defined above. The expression vector may also encode a factor that is essential for conditional expression of the siNA, such as for example an activator/repressor.

Large numbers of DNA or RNA vectors suitable for siNA molecule expression are known to those of skill in the art and commercially available (Déglon *et al.*, *J. Gene Med.*, 2005, **7**, 530-539). The recombinant vectors can be DNA plasmids or viral vectors. SiNA expressing viral vectors can be constructed based on, but not limited to, adeno-associated virus, retrovirus, lentivirus, adenovirus or alphavirus. The recombinant vectors capable of expressing the siNA molecules can be delivered *in vivo*, and persist in target cells. Alternatively, viral vectors can be used to provide transient expression of siNA molecules.

Lentivirus vectors (Naldini *et al.*, *Science*, 1996, **272**, 263-267; Naldini *et al.*, *P.N.A.S.*, 1996, **93**, 11382-11388) are one example of preferred vectors

since they persist in target cells and thus provide long-term expression of the siNA and consequently long-term gene-silencing in mammalian cells, including neurons. More preferred vectors are multiply attenuated lentiviral (Zufferey *et al.*, *Nat. Biotechnol.*, 1997, **15**, 871-875) and replication-defective lentiviral vectors that have been modified to increase transgene expression (SIN-W and SIN.cPPT-W vectors; Dull *et al.*, *J. Virol.*, 1998, **72**, 8463-8471; Zufferey *et al.*, *J. Virol.*, 1999, **73**, 2886-2892; Follenzi *et al.*, *Nat. Genet.*, 2000, **25**, 217-222; Déglon *et al.*, *Human Gene Therapy*, 2000, **11**, 179-190; de Almeida *et al.*, *J. Neurosci.*, 2002, **22**, 3473-3483). Tetracycline regulated lentiviral vectors are described for example in Wiznerowicz, M. and Trono, D., *J. Virol.*, 2003, **77**, 8957-8961; Régulier *et al.*, *Human Mol. Genetics*, 2003, **12**, 2827-2836; Régulier *et al.*, *Human Gene Therapy*, 2002, **13**, 1981-1990.

The invention provides also a eukaryotic or prokaryotic host cell which is modified by a vector as defined above.

The invention concerns also the use of at least one siNA molecule or vector as defined above, to study Huntington's disease in a rodent model, rat or mouse for example.

Rodent model's of Huntington's disease are well-known in the art (Régulier *et al.*, *Methods in Molecular Biology*, 2007, **277**, 199-213; Bates G.P. and Gonitell R., *Mol. Biotechnol.*, 2006, **32**, 147-158; Azzouz *et al.*, *J. Gene Med.*, 2004, **6**, 951-962; Déglon, N. and Hantraye, P., *J. Gene Med.*, 2005, **7**, 530-539).

The siNA targeting exon 2 or exon 4 (human and mouse specific) or the siNA targeting exon 8-9 (human, mouse and rat specific) are used in mouse model of HD to study the effect of endogenous *htt* gene silencing on neuron survival, disease progression and siNA treatment efficacy.

The siNA targeting exon 8-9 (human, mouse and rat specific) is also used in rat model of HD to study the effect of endogenous *htt* gene silencing on neuron survival, disease progression and siNA treatment efficacy.

In addition since exon 8-9 is not present in the shorter versions of the mutant human *htt* gene (*htt*171-82Q), siNA targeting exon 8-9 is used as control to assess the silencing of the sole endogenous *htt* gene on neuron survival and disease progression in mouse or rat models that uses the human *htt*171-82Q gene as disease *htt* gene.



In these animal studies, human specific siNAs which are well-known in the art may be used, as control, to assess the silencing of the sole human mutant *htt* gene. Human siNA targeting exon 2 that can improve the symptoms of HD *in vivo* in a rodent model, have been described previously (International PCT Application WO 2006/031267 and Harper *et al.*, *P.N.A.S.*, 2005, **102**, 5820-5825).  
5 Other siNAs targeting exon 2 may be used, including siNA targeting positions 420 to 438 of the human *htt* transcript, such as the shRNA encoded by the sequence aagaactttcagctaccaatctcttgaattggtagctgaaagttctt (SEQ ID NO: 12).

The invention concerns also the use of a siNA molecule or a vector  
10 as defined above, for the manufacture of a medicament for preventing or treating Huntington's disease.

The invention provides also a pharmaceutical composition comprising at least a siNA molecule or an expression vector encoding said siNA, as defined above, in an acceptable carrier, such as stabilizer, buffer and the like.

15 A pharmaceutical composition or formulation refers to a form suitable for administration, e.g., systemic or local administration, into a cell or subject, including a human. Suitable forms, in part, depend upon the use or the route of entry, for example oral, inhalation, or by injection. These compositions or formulations are prepared according to any method known in the art for the manufacture of pharmaceutical compositions.  
20

The invention provides also a siNA molecule or a vector as defined above, as a medicament.

For the purpose of treating or modeling Huntington's disease, as describe above, the siNA molecule or vector is associated with at least one compound  
25 that allows the *in vivo* delivery of the siNA/vector to the target cells (neurons). The compound may be a peptide, antibody, transporter, lipid (neutral or cationic), hydrophobic moiety or a polymer (cationic (PEI) or non-cationic (PEG)). To facilitate delivery into neurons, the siNA/vector may be coupled to Penetratin 1 (Davidson *et al.*, *J. Neuroscience*, 2004, 24, 10040-10046) or conjugated to cholesterol (Chase *et al.*, *Society for Neuroscience Abstract*, 2005). To enable the crossing of the blood-brain barrier, the siNA/vector may also be associated with a peptide such as with no  
30 limitation the Pep:Trans<sup>TM</sup> (<http://www.syntem.com/english/techpeptrans.html>) or

with monoclonal antibodies to transferring receptor. To prolong half-life in the circulation, the siNA/vector may be coupled to PEG. To allow specific targeting of the target cells, the siNA/vector may be associated with a ligand of a cell-surface antigen or receptor, for example a peptide or an antibody specific for said antigen/receptor.

5 Examples of such receptors are the adenosine A1/A2, dopamine D1/D2, cannabinoid CB1 and NR2B-type N-methyl D-aspartate (NMDA) receptors.

Preferably, the siNA/vector is associated with a combination of compounds that facilitate the *in vivo* delivery of the siNA/vector to the target cells (neurons). More preferably, the siNA/vector and the compound(s) are formulated in  
10 microspheres, nanoparticles or liposomes.

An effective dose is that dose required to prevent, inhibit the occurrence or treat (alleviate a symptom to some extent, preferably all the symptoms) of a disease or state. The pharmaceutically effective dose of the siNA depends upon the composition used, the route of administration, the physical characteristics of the  
15 individual under consideration, concurrent medication, and other factors, that those skilled in the medical arts will recognize. Generally, an amount between 0.1 mg/kg and 100 mg/kg body weight/day of active ingredients is administered.

The siNA of the invention may be administered to human or animal (rodent model) by a single or multiple route(s) chosen from: intracerebral (intrathecal, intraventricular), parenteral (percutaneous, subcutaneous, intravenous, intramuscular,  
20 intraperitoneal and intrarachidian), oral, sub-lingual, or inhalation. Preferably; it is administered intracerebrally.

The practice of the present invention will employ, unless otherwise indicated, conventional techniques of cell biology, cell culture, molecular biology,  
25 transgenic biology, microbiology, recombinant DNA, and immunology, which are within the skill of the art. Such techniques are explained fully in the literature. See, for example, Current Protocols in Molecular Biology (Frederick M. AUSUBEL, 2000, Wiley and son Inc, Library of Congress, USA); Molecular Cloning: A Laboratory Manual, Third Edition, (Sambrook et al, 2001, Cold Spring Harbor, New York: Cold  
30 Spring Harbor Laboratory Press); Oligonucleotide Synthesis (M. J. Gait ed., 1984); Mullis et al. U.S. Pat. No. 4,683,195; Nucleic Acid Hybridization (B. D. Harries & S. J. Higgins eds. 1984); Transcription And Translation (B. D. Hames & S. J. Higgins

eds. 1984); Culture Of Animal Cells (R. I. Freshney, Alan R. Liss, Inc., 1987); Immobilized Cells And Enzymes (IRL Press, 1986); B. Perbal, A Practical Guide To Molecular Cloning (1984); the series, Methods In ENZYMOLOGY (J. Abelson and M. Simon, eds.-in-chief, Academic Press, Inc., New York), specifically, Vols.154 and  
 5 155 (Wu et al. eds.) and Vol. 185, "Gene Expression Technology" (D. Goeddel, ed.); Gene Transfer Vectors For Mammalian Cells (J. H. Miller and M. P. Calos eds., 1987, Cold Spring Harbor Laboratory); Immunochemical Methods In Cell And Molecular Biology (Mayer and Walker, eds., Academic Press, London, 1987); Handbook Of Experimental Immunology, Volumes I-IV (D. M. Weir and C. C. Blackwell, eds.,  
 10 1986); and Manipulating the Mouse Embryo, (Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y., 1986).

In addition to the preceding features, the invention further comprises other features which will emerge from the description which follows, which refers to examples illustrating the siNA molecules and their uses according to the invention, as  
 15 well as to the appended drawings in which:

- figure 1 illustrates the validation of the four shRNA for htt. (A) shRNA constructs were designed to recognized sequences in the 5' end of the human htt transcript. Sihtt1.1 and sihtt3 (which target sequences in exon 2) and sihtt6 (which targets sequences in exons 3 and 4) were used in animal models expressing the first  
 20 171 amino acids of the human htt protein, whereas sihtt13 (which targets sequences in exons 8 and 9) was used in animals expressing the first 853 amino acid of the human htt protein. Due to sequence homology between human, rat and mouse htt mRNAs, the shRNAs have different species specificities. Sihtt1.1 recognizes only human htt, sihtt3 and sihtt6 recognize both mouse and human sequences and "universal" sihtt13  
 25 recognizes human, rat and mouse htt transcripts. (B) Lentiviral vectors used in this study. Scheme of the lentiviral vectors used to overexpress the first 171 (htt171-82Q) or 853 (htt853-82Q) amino acids of the htt protein. The human htt cDNAs were cloned downstream of the PGK promoter in the SIN-W-PGK transfer vector. Scheme of the lentiviral vectors expressing shRNAs: The different shRNA sequences were  
 30 inserted in SIN-CWP-nls-LacZ/GFP-LTR-TRE vectors, containing the H1 promoter in the SIN 3'LTR downstream of a tetracycline regulated element (TRE). Transduced cells were identified with the LacZ or GFP reporter genes under the control of the

PGK promoter. C. Quantitative real-time PCR analyses showing the silencing of htt mRNA in 293T cells co-expressing htt853-19Q and sihtts 1.1, 3, 6, 13 or the negative control siGFP. Endogenous  $\beta$ -actin mRNA was used as an internal control for the normalization and quantitative analysis of htt mRNA levels 72 hrs after calcium phosphate-mediated transfection. The results are expressed as the mean of relative htt mRNA level  $\pm$  SEM (n=4 htt853-19Q + siGFP, n=6 htt853-19Q + sihtt3, n=7 htt853-19Q + sihtt6 or 13, n=8 htt853-19Q + sihtt1.1). One-way ANOVA,  $F(5,32)=14.69$ ,  $P^{***}<0.001$ . *Newman-Keuls Post-hoc* comparison between the htt853-19Q  $\pm$  siGFP groups and all sihtt groups are highly significant ( $P^{***}<0.001$ ) whereas there is no significant difference between the htt853-19Q and htt853-19Q/siGFP groups.

- figure 2 illustrates the therapeutic efficacy of the shRNA in a rat model of Huntington's disease. Lentiviral-mediated expression of the first 171 amino acid of human htt with 82 glutamine repeats (htt171-82Q) in rat striatum induced a lesion monitored with a DARPP-32 immunostaining. (A) The expression of sihtt1.1 abolished the loss of DARPP-32 expression whereas expression of the control siGFP has no effect. (B) Quantification of the DARPP-32 downregulation induced by htt171-82Q expression (n=9 per group, mean SEM,  $P^{***}<0.001$ ). One-way ANOVA,  $F(5,48)=52.97$ ,  $P^{***}<0.001$ . *Post-hoc* comparison of htt171-82Q versus sihtt1.1, 3 and 6  $P^{***}<0.001$ . *Post-hoc* comparison of htt171-82Q versus sihtt13 and siGFP non-significant. (C) LacZ reporter gene expression for the different shRNA constructs. (D-E). EM48 and ubiquitin antibodies were used to assess the formation of htt inclusions. Both staining showed an accumulation of ubiquitinated htt inclusions in animals co-injected with htt171-82Q and siGFP. The expression of sihtt1.1 dramatically reduced the number of immunoreactive cells. (F) Quantification of the number of htt inclusions was based on the ubiquitin staining (n=9 per group for htt171-82Q, htt171-82Q+sihtt6, htt171-82Q+sihtt13 and htt171-82Q+siGFP and n=8 per group for htt171-82Q+sihtt1.1 and htt171-82Q+sihtt3, mean SEM,  $P^{***}<0.001$ ). One-way ANOVA,  $F(5,46)=25.16$ ,  $P^{***}<0.001$ . *Post-hoc* comparison of htt171-82Q versus sihtt1.1, 3 and 6  $P^{***}<0.001$ . *Post-hoc* comparison of htt171-82Q versus sihtt13 and siGFP is non-significant.

- figure 3 illustrates regulated expression of siRNA. (A-B) Schematic drawing of the lentivirus vector-based system used for tetracycline-

regulated expression. (A) In the presence of doxycycline (+DOX) the tTR-KRAB does not bind the Tetracycline Responsive Element (TRE) located upstream of the H1 promoter driving the expression of the shRNA. The siRNA and the LacZ reporter gene are therefore expressed. (B) In the absence of doxycycline (-DOX), the tTR-KRAB transrepressor binds to the TRE and suppresses H1-mediated siRNA and LacZ reporter gene expression.

- figure 4 illustrates the *in vitro* validation of siGFP regulated expression by FACS analysis. (A-B) FACS analysis of GFP-expressing 293T cells infected with siGFP and KRAB lentiviral vectors. The cells were monitored at 7 days in -DOX (A) and +DOX (B) conditions. In triple-infected (GFP/siGFP/KRAB) cells, the silencing occurred only when the transrepressor activity is inhibited by doxycycline (+DOX). (C-D) To assess the reversibility of the system cells were switched in their DOX condition and maintained for 10 days before a second FACS analysis. The cycling of the expression of the transrepressor KRAB is shown in (C) for -DOX/+DOX and in (D) for +DOX/-DOX. The switch from -DOX to +DOX condition enabled the silencing in triple-infected (GFP/siGFP/KRAB) cells to occur whereas the switch from +DOX to -DOX condition inhibits the expression of siGFP and the mediated GFP silencing.

- figure 5 illustrates the experimental paradigm for the regulated expression of siRNA *in vivo*. Adult rats were stereotactically co-injected with lentiviral vectors expressing sihtt1.1/KRAB in the left striatum and triple-injected with vectors expressing htt171-82Q/sihtt1.1/KRAB in the right striatum (n=24). The animals were then divided into three groups of eight animals each. For 2 months, two groups were maintained in the -DOX condition where the sihtt was not expressed, thereby leading to the appearance of Huntington's disease pathology. The third group was treated with doxycycline (+DOX), which led to sihtt and LacZ expression and therefore prevented Huntington's disease pathology. After 2 months, the +DOX animals and half of the rats in -DOX group were sacrificed. DOX treatment was administered in the remaining -DOX animals for another 2 months to mimic a delayed HD treatment.

- figure 6 illustrates the effect of conditional expression of siRNA on HD neuropathology. (A) The DARPP-32 staining was used to monitor the appearance of a striatal pathology. Two months post-injection the typical loss of DARPP-32

expression was observed in -DOX condition whereas the expression of the sihtt1.1 (+DOX condition) almost completely block DARPP-32 downregulation. The expression of sihtt1.1 and the transrepressor KRAB does not alter DARPP-32 expression at 4 months post-injection. In the -DOX/+DOX group, the induction expression of sihtt1.1 after two months significantly rescued DARPP-32 expression. (B) Quantitative analysis of DARPP-32 expression (n=8 per group except for the 82Q/sihtt1.1/KRAB group were n=6 due to mistargeting during stereotaxic injection, mean SEM,  $P^{***}<0.001$ ). One-way ANOVA,  $F(3, 26)=34.14$ ,  $P<0.001$ . *Post-hoc* comparison of 82Q/sihtt1.1/KRAB -DOX vs 82Q/sihtt1.1/KRAB +DOX or 82Q/sihtt1.1/KRAB -DOX/+DOX is highly significant;  $P^{***}<0.001$ . (C) The LacZ reporter gene was used to monitor cells infected with the sihtt1.1 vector. The formation of htt inclusions was assessed with the EM48 and ubiquitin antibodies. A large number of ubiquitinated inclusions were observed at two months for -DOX animals. A residual number of EM48/Ub-positive aggregates were present in +DOX and -DOX/+DOX animals expressing sihtt1.1 as assessed by LacZ staining. (D) Quantitative analysis of the number of htt inclusions was performed from ubiquitin stained sections (n=7 per group for the -DOX, -DOX/+DOX and n=6 per group for the +DOX, mean SEM,  $P^{**}<0.01$ ). One-way ANOVA,  $F(2,17)=5.39$ ,  $P^{**}<0.01$ . *Post-hoc* comparison of +DOX versus -DOX and -DOX/+DOX  $P^{**}<0.01$ .

- figure 7 illustrates the species selectivity of the shRNA *in vivo*. ShRNA were designed to recognize endogenous htt transcripts in wild-type mouse and rat. After injection of these vectors in mice and rats striatum, endogenous htt staining using the 4C8 antibody was used to evaluate the selectivity of the shRNAs. (A) Expression of sihtt1.1, 3, 6, 13 or control siGFP in mouse striatum. As predicted by their design, sihtt3, 6 and 13 downregulate the expression of the endogenous mouse htt mRNA. No loss of htt expression was observed with sihtt1.1 which is specific for the human transcript or with the control siGFP. A LacZ enzymatic staining was used to identify infected cells. B) Expression of sihtt1.1, 3, 6, 13 or control siGFP in rat striatum. The "universal" sihtt13 vector downregulates the expression of the endogenous rat htt mRNA as monitored by the 4C8 staining. Sihtt1.1 (human specific), sihtt3, 6 (human and mouse specific) and the control siGFP have no affect on endogenous rat htt expression. LacZ enzymatic staining

demonstrated that the transduction efficiency was similar in all experimental groups. (C) Higher magnification pictures showing the loss of 4C8 staining in mice injected with sihtt3, 6, 13 and absence of downregulation with the sihtt1.1 and siGFP vectors. (D) RT-PCR on laser microdissected samples from mice striatum injected with sihtt1.1, 6 or 13 and from control striata. The results are expressed as the mean of relative htt mRNA level  $\pm$  SEM (n=7 per group for control, n= 6 per group for sihtt1.1 and 13 and n=5 per group for sihtt6). One-way ANOVA,  $F(3,20)=21.2$ ,  $P^{***}<0.001$ . *Bonferroni/Dunn Post-hoc* comparison of control versus sihtt6 and sihtt13 is highly significant,  $P^{***}<0.001$ . *Post-hoc* comparisons of control versus sihtt1.1 and sihtt1.1 versus sihtt6 or 13 are significant,  $P^{**}<0.01$ .

- figure 8 illustrates the relative change in gene expression following endogenous htt silencing (A) Microarray analysis on laser capture microdissected striatal samples showing the significant reduction in htt expression level in mice injected with the sihtt6 and sihtt13 while the human specific sihtt1.1 had no effect. (B) Ingenuity Pathway Analysis on differentially expressed genes showing the biological pathways that are significantly different among sample groups.

- figure 9 illustrates long-term effects of global versus mutant-selective htt silencing in mice. Long-term silencing of exogenous mutant htt and/or silencing of the endogenous wild-type protein was assessed in mice injected with lentiviral vectors encoding sihtt1.1 (human, mutant htt specific) and sihtt6 (which targets both normal endogenous mouse htt and exogenous mutant htt). In the sihtt6 group, animals were injected on the left striatum with sihtt6 alone and were co-injected on the right with sihtt6/htt171-82Q. In the sihtt1.1 group, animals were co-injected on the left striatum with sihtt1.1/htt171-82Q vectors and with htt171-82Q on the right. Sihtt6 treated-animals were sacrificed at 1 and 9 months and sihtt1.1-treated animals were sacrificed at 9 months. (A) At one month, cells expressing sihtt6 +/- htt171-82Q did not display any change in DARPP-32 staining. The expression of sihtt1.1 and sihtt6 was monitored by LacZ staining, which encompassed a large area of the mouse striatum. (B) At 9 months, expression of sihtt1.1 and 6 prevented htt171-82Q-mediated loss of DARPP-32 expression. Ubiquitin staining, detecting htt inclusions, was present in a large number of htt171-82Q expressing neurons but was almost absent in the striata of animals expressing sihtt1.1 or 6.

- figure 10 illustrates long-term global or mutant htt silencing in mice. Long-term silencing of exogenous mutant htt and/or silencing of the endogenous wild-type protein was assessed in mice injected with lentiviral vectors encoding sihtt1.1 (human, mutant htt specific) and sihtt6 (which targets both normal  
 5 endogenous mouse htt and exogenous mutant). In the sihtt6 group, animals were injected on the left striatum with sihtt6 alone and were co-injected on the right with sihtt6/htt171-82Q. In the sihtt1.1 group, animals were co-injected on the left striatum with sihtt1.1/htt171-82Q vectors and with htt171-82Q on the right. Sihtt6-treated animals were sacrificed at 3 months and sihtt1.1-treated animals were sacrificed at 3  
 10 months. At 3 months, expression of sihtt1.1 and 6 prevented htt171-82Q-induced loss of DARPP-32 expression. Ubiquitin staining showed the presence of htt inclusions in a large number of htt171-82Q-expressing neurons but few in the striata of animals coexpressing sihtt1.1 or 6.

- figure 11 illustrates the therapeutic efficacy of shRNAs in a rat  
 15 model of HD. Lentiviral-mediated expression of the first 171 amino acids of human htt with 82 glutamine repeats (htt171-82Q) in rat striatum induced a lesion detected with the DARPP-32 antibody. (A) The expression of sihtt3 and 6 abolished the loss of DARPP-32 expression whereas expression of the control siGFP had no effect. Importantly, the downregulation of endogenous rat htt by sihtt13 in htt171-  
 20 82Q-expressing rats had no effect on the pathology as assessed by DARPP-32 staining. (B) LacZ reporter gene expression for the different shRNA constructs. (C-D). EM48 and ubiquitin antibodies were used to assess the formation of htt inclusions. Both stainings showed an accumulation of ubiquitinated htt inclusions in animals coinjected with htt171-82Q and siGFP, whereas the expression of sihtt3 and 6 dramatically  
 25 reduced the number of immunoreactive cells.

### **Example 1: Development of sihtt lentiviral vectors**

#### **1) Material and methods**

##### **a) Plasmids**

Four shRNAs targeting the human htt mRNA were designed with a  
 30 public algorithm. The first three sequences correspond to exons 1-4 of the human *htt* gene (sihtt1.1, 3, and 6), and the next one to exons 8-9 (sihtt13). Sihtt3 and 6 are human and mouse specific and sihtt13 is "universal" (human, mouse and rat).



Oligonucleotides containing the sense-strand, a loop, the anti-sense strand, the stop codon as well as 17 nucleotides from the H1 promoter were synthesized. As control, a shRNA targeting the EGFP (siGFP) was used.

- siGFP (SEQ ID NO: 13):

5 ctagtttccaaaaa**agctgaccctgaagttc**atctcttgaat**gaacttcagggtcagctt**ggggatctgtggtctcatacagaac

- siht1.1 (SEQ ID NO: 14):

ctagtttccaaaaa**agaactttcagctacca**atctcttgaat**tgtagctgaaagttctt**ggggatctgtggtctcatacagaac

10 - siht3 (SEQ ID NO: 15):

ctagtttccaaaaa**gacggtgtgaatcattgtt**ctcttgaacaatgattcacacgggtctggggatctgtggtctcatacagaac

- siht6 (SEQ ID NO: 16):

15 ctagtttccaaaaa**agctttgatggattc**taattctcttgaat**tagaatccatcaaagct**ggggatctgtggtctcatacagaac

- sitt13 (SEQ ID NO: 17):

ctagtttccaaaaa**gcagcttgccaggtt**attctcttgaat**aaacctggacaagctg**ggggatctgtggtctcatacagaac.

20 These oligos and the primer H1-3F: caccgaacgctgacgtcatcaaccg (SEQ ID NO: 18) were used to perform a PCR reaction on the pBC-H1 plasmid (pBC plasmid; STRATAGENE) containing the H1 promoter (Genbank: X16612, nucleotides 146-366) as described in Brummelkamp *et al.*, *Science*, 2002, **296**, 550-3.

25 The PCR product was cloned in the pENTR/D-TOPO plasmid (Gateway Technology, INVITROGEN). The H1-shRNA cassette was then transferred with the LR clonase recombination system in the SIN-cPPT-PGK-nls-LacZ-WPRE-LTR-TRE-gateway vector (SIN-CWP-LacZ-TRE-gateway) according to the manufacturer's instructions. This lentiviral vector contains a first expression cassette after the cPPT sequence (Follenzi *et al.*, *Nat. Genet.*, 2000, 25, 217-222) with a nuclear-localized  $\beta$ -galactosidase cDNA (nls-LacZ) under the control of the mouse  
30 phosphoglycerate kinase I promoter (PGK). The second expression cassette is located in the SIN 3'LTR (a unique *NotI* site was inserted in a *EcoRV*-*PvuII* deleted 3' U3 region) and contains a modified tetracycline responsive element (TRE) without the

CMV minimal promoter (*XhoI-StuI* from the pTRE-Tight-DsRed2; BD BIOSCIENCES), followed by a gateway cassette (RFA-A conversion cassette; INVITROGEN). To perform the quantitative analysis of endogenous htt *in vivo*, the sihtt were cloned in a lentiviral vector containing a GFP reporter gene instead of the  $\beta$ -galactosidase (SIN-cPPT-PGK-GFP-WPRE-LTRgateway vector= SIN-CWP-GFP-gateway). The SIN-W-PGK-TetR(B/E)-KRAB vector was used for doxycycline-regulated shRNA expression. The tetR(B/E)-KRAB fragment was obtained by PCR with the pCMV-tetR(B/E)-KRAB plasmid (Forster *et al.*, *Nucleic Acids Res.*, 1999, 27, 708-710) and the tTRK-1F caccatgtctagattagataaaagt (SEQ ID NO: 19), tTRK-2R ggatccttaaactgatgatttg (SEQ ID NO: 20) oligos. The fragment was cloned in the pENTR/D-TOPO vector (INVITROGEN). A LR clonase reaction was then performed with the pENTR/D-TOPO-tetR(B/E)-KRAB and the SIN-W-PGK-Gateway vector (RFA-A conversion cassette between the PGK promoter and the WPRE element).

#### b) Lentiviral vector production

Lentiviral vectors encoding the various shRNA, the first 171 or 853 amino acids of human huntingtin with 19 (19Q, wild-type) or 82 (82Q, mutant) CAG repeats (de Almeida *et al.*, *J Neurosci*, 2002, **22**, 3473-3483) and the KRAB transrepressor were produced in 293T cells, concentrated by ultracentrifugation and resuspended in phosphate-buffered saline (PBS)/1% bovine serum albumin (BSA) as previously reported (Hottinger *et al.*, *J Neurosci*, 2000, **20**, 5587-5593). The viral batches particles content was determined by p24 antigen ELISA (RETROtek, Gentaur, Paris, France). The stocks were stored at -80°C until use.

#### c) RT-PCR

HEK 293T cells were cultured in DMEM supplemented with 10 % fetal bovine serum (FBS), 100 U/ml penicillin, and 100 µg/ml streptomycin at 37°C in 5 % CO<sub>2</sub>/air atmosphere. One day prior to transfection, 293T cells were plated at a density of 800'000 cells per well in 6-wells plate (Falcon, BECTON DICKINSON). The cells were co-transfected by calcium phosphate with the SIN-W-PGK-htt853-19Q (1 µg) and sihtt or siGFP vectors (5 µg). Total RNAs were extracted 72 hrs post-transfection with Trizol reagent (INVITROGEN). Real time quantitative RT-PCR was performed in triplicate with 0.4 % of random-primed cDNAs generated from 400 ng total RNA. PCR was carried out in a 20 µl reaction volume containing Platinum

SYBR Green qPCR super Mix-UDG (INVITROGEN), and 10  $\mu$ M of both forward (htt-3F tgc cag cac tca aga agg aca c (SEQ ID NO: 21 )) and reverse (htt-2R cac gcc aag aat cag cag agt gg (SEQ ID NO: 22)) primers. ABI PRISM 7000 thermal cycler was programmed for an initial denaturation step (95°C, 2 min) followed by 40 amplification cycles (95°C, 15 sec; 60°C, 1 min). The amplification rate of each target was evaluated from the cycle threshold (Ct) numbers obtained for cDNA dilutions and corrected by reference to the expression level of human  $\beta$ -Actin (BACTIN-1F: tgaaggtgacagcagtcggttg (SEQ ID NO: 23); BACTIN-2R: ggcttttaggatggcaaggac (SEQ ID NO:24 )) assumed to be constant. Differences between control and experimental samples were calculated using the  $2^{-\Delta\Delta Ct}$  method (Livak, K.J. and Schmittgen, T.D., *Methods*, 2001, **25**, 402-408). LacZ oligos were used as internal standard to assess the efficacy of transfection (LACZ1F: ccttactgccgcctgttttgac (SEQ ID NO: 25); LACZ-2R: tgatgtgaactggaagtcgcc(SEQ ID NO: 26)). The RT-PCR analysis were performed on 6-8 samples from 2-3 independent transfections with the exception of the control with siGFP which was performed on 4 samples from 2 independent experiments. Data are expressed as average of normalized values representing the relative htt mRNA level  $\pm$  SEM. Statistical analysis was performed using one-way analysis of variance (ANOVA) followed by a Newman-Keuls *post-hoc* test (Statistica 5.1, Statsoft Inc., USA). The significance level was set at  $P < 0.05$ .

## 20 2) Results

Four shRNAs targeting the human htt mRNA were designed (Figure 1A). Sequences in the 5' of the human transcript were specifically selected for three of them (sihtt1.1, 3 and 6) to facilitate the validation in animal models of Huntington's disease. The fourth siRNA, sihtt13, targets a sequence in exons 8-9 of human *htt* gene. These shRNA were cloned in the SIN-W-PGK-nsI-LacZ-LTR-TRE-H1 lentiviral vector containing a tetracycline-regulated operator upstream of the H1 promoter in the 3'LTR (Figure 1B). Since the 3'LTR is duplicated upon lentiviral genome insertion in host cell DNA, the presence of two copies of the shRNA expression cassette in infected cells should be leading to higher rate of siRNA synthesis. In addition, a LacZ or a GFP reporter genes were cloned downstream of the internal PGK promoter to identify transduced cells. Co-transfection of 293T cells with a htt853-19Q plasmid and sihtt1.1, 3, 6, 13 or siGFP vectors was used to assess the efficacy of these

shRNAs to silence htt. Three days later, cells were harvested and total RNA was isolated. Syber green-based quantitative RT-PCR analysis showed that all sihtt decreased by more than 80% htt mRNA level compared with control samples (htt853-19Q alone or with siGFP) (Figure 1C). RT-PCR reactions with LacZ oligos confirmed  
5 that lentiviral-mediated reduction in htt mRNA reflected the efficiency of the siRNAs and not variation in transfection efficiencies.

**Example 2: Silencing of mutant htt improves neuropathology in a rat Huntington's disease model**

**1) Material and methods**

10 a) In vivo experiments

*Animals*

Adult 180-200 g female Wistar rats were used (Iffa Credo/Charles River, Les Oncins, France). The animals were housed in a temperature-controlled room and maintained on a 12 hrs day/night cycle. Food and water were available *ad*  
15 *libitum*. The experiments were carried out in accordance with the European Community directive (86/609/EEC) for the care and use of laboratory animals.

*Lentiviruses injection*

Concentrated viral stocks, prepared as described in example 1, were thawed on ice and resuspended by repeated pipetting. The rats were anesthetized using  
20 a ketamine, xylazine solution (75mg/kg ketamine + 10mg/kg xylazine, i.p). Lentiviral vectors were stereotactically injected into the striatum of rats using a 34-gauge blunt-tip needle linked to a Hamilton syringe (HAMILTON) by a polyethylene catheter. The stereotaxic coordinates were: 0.5 mm rostral to bregma, 3 mm lateral to midline and 5 mm from the skull surface. The viral particle contents were matched to 480 ng p24  
25 antigen. The viral vectors were injected at 0.2µl/min by means of an automatic injector (STOELTING Co.) and the needle was left in place for an additional 5 min. The skin was closed using wound chips autoclips (PHYMEP).

b) Histological processing

Two weeks to six months post-lentiviral injection, the animals  
30 received an overdose of sodium pentobarbital and were transcardially perfused with a phosphate solution followed by 4 % paraformaldehyde (PAF, FLUKA, SIGMA) and 10 % picric acid fixation. The brains were removed and post-fixed in 4 % PAF and

10 % picric acid for 24 hrs and finally cryoprotected in 25 % sucrose, 0.1 M phosphate buffer for 48 hrs. A sledge microtome with a freezing stage of -20 °C (SM2400, LEICA MICROSYSTEMS AG) was used to cut brain coronal sections of 25 µm. Sections throughout the entire striatum were collected and stored free-floating in PBS supplemented with 0.12 µM sodium azide in 96-well plates and stored at 4 °C. Striatal sections from injected rats and mice were processed by immunohistochemistry for dopamine and cAMP-regulated phosphoprotein of a molecular mass of 32 kDa (DARPP-32, 1:5000, CHEMICON INTERNATIONAL INC) following the protocol previously described (Bensadoun *et al.*, *Eur J Neurosci*, 2001, **14**, 1753-61). The same protocol was used for ubiquitin (Ub, 1:1000, DAKOCYTOMATION) with a blocking solution containing 10 % fetal calf serum (FCS, GIBCO, INVITROGEN) in addition to NGS. For detection of endogenous htt with the 4C8 antibody (MAB2166, 1:2000, CHEMICON INTERNATIONAL INC.) and mutant htt with EM48 antibody (MAB5374, 1:2000, CHEMICON INTERNATIONAL INC.), slices were pre-incubated for 30 min in 1 % sodium cyanoborohydride and rinsed twice in PBS, 0.4 % Triton X100 (TX). The first antibody was incubated overnight at room temperature in PBS. Slices were washed 6 times with PBS, 0.4 % TX before applying the secondary antibody 2 hrs at room temperature. Biotinylated rabbit anti-goat or horse anti-mouse antibodies (rat absorbed) (1:200; VECTOR LABORATORIES INC) were used for the detection of ubiquitin and EM48, respectively. Bound antibodies were visualized with 3,3' diaminobenzidin (DAB Metal Concentrate; PIERCE) and the ABC amplification system (Vectastain ABC Kit; VECTOR LABORATORIES). The sections were mounted, dehydrated by passing twice through ethanol and toluol solutions, and coverslipped with Eukitt ® (O. Kindler, GMBH & CO). For LacZ enzymatic staining, sections were rinsed twice in PBS and incubated for 2 hrs at 37 °C in 4 mM potassium ferrocyanide, 4 mM potassium ferricyanide, 40 mM MgCl<sub>2</sub>, 0.4 mg/ml 5-bromo-4-chloro-3-indolyl-β-O-galactosidase (X-Gal). The reaction was stopped with PBS.

c) In vivo data analysis

For the *in vivo* experiment, the loss of DARPP-32 expression was analyzed by digitizing twelve sections per animal (150 µm between sections) with a slide scanner and by quantifying the lesion areas in mm<sup>2</sup> with an image analysis software (Image J, Version 1.32j, National Institutes of Health). Lesion areas in each

section were determined by the area of the region poor in DARP-32 staining relative to the surrounding tissue. Lesion size for each animal is expressed as the mean lesion area in 8-12 sections. Lesion areas for each group are expressed as mean  $\pm$  SEM. Statistical analysis was performed using one-way analysis of variance (ANOVA) followed by a Newman-Keuls *post-hoc* test (Statistica 5.1, STATSOFT INC.). The significance level was set at  $P < 0.05$ .

d) Quantification of inclusion formation

For estimation of the number of ubiquitin-positive htt inclusions, 5-10 serial coronal sections of the striatum (separated by 300  $\mu\text{m}$ ) were scanned with a x10 objective using a Zeiss Axioplan2 imaging microscope equipped with automated motorized stage and acquisition system (Fluovision, IMSTAR). The segmentation of ubiquitin-positive objects throughout the entire section was obtained using light intensity thresholding followed by object's size and shape filtering as previously reported (Palfi *et al.*, Mol. Ther., 2007, 15, 1444-1451). With this procedure, all ubiquitin-positive objects with an apparent cross-sectional area greater than 2  $\mu\text{m}^2$  were reliably detected and cross sectional area was determined. For all images, objects touching one of the X or Y borders of the fields of view were eliminated. We counted from 1 to 1,677 objects/section (depending on the section considered). The estimated total number of objects with ubiquitin-inclusions ( $N_e$ ) was calculated as  $N_e = R \times N_s$ , where  $N_s$  was the sum of objects counted on all sections, and R (1:12, i.e. 1 every 12 sections) the sampling fraction of striatum. As most ubiquitin-positive objects in striatal neurons were round (mean rotundity index close to one) with an isotropic orientation in the striatum, the number of raw cell counts was corrected using the Abercrombie factor 25. This factor (A) was estimated for each experimental group (values were 0.88-0.92) as  $A = T / (T + h)$  where h is the mean object height calculated from all the objects detected and T is the section thickness (25  $\mu\text{m}$ ). The corrected number of Ubiquitin positive objects ( $N_c$ ) was calculated as  $N_c = A \times N_e$ . Values are expressed as mean  $\pm$  SD. Statistical analysis was performed by one-way analysis of variance (ANOVA) followed by a Bonferroni/Dunn *post hoc* test (Statview 4.0, Abacus Concepts, Berkeley, CA). Values of  $P < 0.05$  were considered significant.

## 2) Results

Rats overexpressing an N-terminal fragment of human htt with 82 CAG repeats (htt171-82Q; de Almeida *et al.*, J. Neurosci., 2002, 22, 3473-3483) were used to evaluate whether siRNAs expression reduced the striatal level of mutant htt and the progression of Huntington's disease pathology (Figures 2 and 11). Two months post-injection, the expression of the human htt171-82Q led to the typical loss of the DARPP-32 expression (mean cross-sectional area of DARPP-32 poor region =  $0.68 \text{ mm}^2 \pm 0.05$ ) compared with non-injected striata (figure 2A & 2B). Lentiviral-mediated expression of sihtt1.1, 3 and 6 almost completely prevented this loss of DARPP-32 expression (lesion area of  $0.06 \text{ mm}^2 \pm 0.01$ ,  $0.10 \text{ mm}^2 \pm 0.05$  and  $0.03 \text{ mm}^2 \pm 0.01$ , respectively) (figure 2A & 2B; figure 11A). This drastic reduction of lesion area (> 85%) was specific to the expression of sihtt since DARPP-32 level was unchanged following siGFP expression ( $0.73 \text{ mm}^2 \pm 0.07$ ). The LacZ staining showed that the transduction efficiency was similar in the different experimental groups (figure 2C; figure 11B). A widespread and intense LacZ expression was observed with the sihtt1.1, 3 and 6 vectors and a large but less intense staining for the siGFP vector. For this latter case, the partial loss of reporter gene expression was due to the ongoing Huntington's disease pathology in these animals (figure 2C; Figure 11C). LacZ staining on striatal sections from siGFP-animals sacrificed at 2 weeks confirmed this interpretation. The impact of shRNA treatment on the number or size of htt inclusions was then examined. In rat overexpressing the human htt171-82Q, EM48 and ubiquitin stainings for mutant htt inclusions were mainly localized in the nuclei of infected neurons, as previously reported (de Almeida, et al., J Neurosci, 2002, 22, 3473-3483), (figure 2D & 2E; figure 11C & 11D ). The ubiquitin staining fully corroborated the EM48 data. Comparison of EM48/ubiquitin stainings in htt171-82Q rats and in animals that have received htt171-82Q/siGFP, revealed no differences in the distribution or size of htt inclusions (figure 2D). In contrast, a highly significant reduction of number of htt inclusions was observed with sihtt1.1, 3 and 6 (figure 2E & 2F; Figure 11D). These results show that long-term expression of sihtt1.1, 3 and 6 results in a specific and significant reduction of Huntington's disease pathology in the striatum of adult rats.

**Example 3: Doxycycline-regulated expression of sihtt *in vitro*****1) Material and methods**

HEK 293T cells were plated at a density of 800'000 cells per well in 6-wells plate (FALCON, BECTON DICKINSON). The cells were infected the following day with the various lentiviral vectors (250 ng p24 antigen/vector) in the presence or the absence of doxycycline (1µg/ml, SIGMA-ALDRICH). Cells were co-infected (ratio 1:1:1) with SIN-W-PGK-EGFP (enhanced green fluorescent protein, GFP), SIN-CWP-nls-LacZ-TRE-H1-siGFP (siGFP) and the transrepressor SIN-W-PGK-tetR(B/E)-KRAB (KRAB). Cells co-infected with GFP- and siGFP-expressing vectors, cells infected with a vector encoding GFP and non-infected cells were used as controls. Seven days post-infection, 293T cells were harvested and processed for FACS analysis. After trypsinisation, cells were rinsed in PBS and fixed in 1 % formaldehyde in PBS. Cell counts were performed in duplicate or triplicate on a FACSCalibur<sup>TM</sup> analyzer using CellQuest software (BECTON DICKINSON). For each sample, 10'000 events were analyzed. The Mean Fluorescence Intensity (MFI) of GFP-expressing cells was used as internal standard for the normalization of the data. The mean MFI of the different samples was used to measure the efficacy of the siRNA. The data are expressed as mean ± SEM from 4 independent experiments. Seven days post-infection, the culture conditions of half of the samples was modified (addition of DOX in samples cultured without DOX and removal of DOX in cultures maintained in DOX) to analyze the reversibility of tetracycline-regulation. A new FACS analysis was performed 10 days later (n=2).

**2) Results**

As a first step toward the development of conditional expression for siRNA, a tetracycline operator was integrated upstream of the H1 promoter in the lentiviral vectors expressing the siRNA. The co-expression of the TetR-KRAB transrepressor (KRAB) in the presence or absence of doxycycline (DOX) was then used to regulate siRNA expression (Wiznerowicz, M. and Trono, D., *J Virol*, 2003, 77, 8957-61). As described in figure 3, the presence of DOX inhibits the binding of the transrepressor to its responsive element (TRE) and enables the expression of the siRNA molecule. In the absence of DOX, the transrepressor is suppressing the expression of the siRNA and LacZ reporter gene. The system was tested on GFP-



expressing 293T cells co-infected with vectors encoding siGFP and/or KRAB (figure 4). A FACS analysis was performed on cultures maintained 7 days in the absence (figure 4A) or presence (figure 4B) of DOX. Non-infected (figure 4A and 4B) and GFP-infected cells (figure. 4A and 4B) were used as controls. The mean fluorescent intensity (MFI) was significantly reduced in cells expressing the GFP reporter gene and the siGFP (figure 4A and 4B). In triple infected cells (GFP/siGFP/KRAB), the addition of DOX inactivated the transrepressor and led to the silencing of GFP (figure 4B; blue curve). In the absence of DOX, the transrepressor was active and GFP expression was maintained although the MFI was lower than in control cells infected with the GFP alone (figure 4A). This might reflect the intrinsic leakiness of the system and the split of the system in 3 vectors. To demonstrate the reversibility of the system, DOX treatment was switched after 7 days and a new FACS analysis was performed 10 days later (figure 4C and 4D). A complete reversal of FACS profile was observed 10 days after the switch in DOX treatment on cells. This experiment demonstrated the proof and principle for doxycycline-regulated expression of shRNAs.

**Example 4: Delivery of siRNA at a symptomatic stage of Huntington's disease pathology in rat led to neuroprotective effects**

**1) Material and methods**

*Animals*

The rats used were as described in example 2. For tetracycline-regulated experiments, the animals received a solution of 200 mg/l doxycycline (DOX, SIGMA CHEMICAL CO.) and 15 g/l sucrose in their drinking water.

*Lentiviruses injection*

The experimental procedures were as described in example 2; for double and triple infections, the viral particle contents were matched to 500 and 338 ng p24 antigen, respectively.

*Histological processing*

The experimental procedures were as described in example 2.

**2) Results**

The sihtt1.1 was chosen to validate the conditional regulation of siRNA *in vivo*. The sihtt1.1 was selected to assess whether the efficacy of sihtt treatment is altered if initiated after the appearance of Huntington's disease pathology.

To this aim, rats were injected with a mixture of lentiviral vectors expressing htt-171-82Q, sihtt1.1 and KRAB (1:1:1 ratio) in the right striatum. A mixture of sihtt1.1 and KRAB vectors were injected in the left striatum (figure 5) as control of protein toxicity and expression level. The first two groups (n=8 per group) were maintained without doxycycline (-DOX; "OFF") and a third group received doxycycline (+DOX; "ON"). After 2 months, animals in the "ON" group (+ DOX) and half of the animals from the "OFF" group (-DOX) were sacrificed. DOX administration was then switched in the remaining animals for the following 2 months (-DOX/+DOX). The expression of sihtt1.1 and the functionality of the tetracycline transrepressor (KRAB) were indirectly monitored with the LacZ reporter gene (figure 6C). In the "ON" animals, a LacZ staining was observed in a large area around the injection site. In "OFF" condition, the KRAB transrepressor induced a remodeling of the chromatin and a repression of LacZ expression, as previously reported (figure 2; Wiznerowicz, M. and Trono, D., *J Virol*, 2003, 77, 8957-8961). At 2 months, the "OFF" only animals (no siRNA expression) displayed the typical HD pathology (Figure 2A & 2B) with a loss of DARPP-32 expression in the left striatum ( $0.72 \text{ mm}^2 \pm 0.07$ ) (figure 6A and 6B). However, some residual DARPP-32 expression was observed in the lesion size (figure 6A). This reflects the basal expression of sihtt1.1 in the "off" condition and the fact that all neurons did not co-express htt171-82Q, sihtt1.1 and KRAB. In the "ON" only group, the efficacy of the siRNA treatment was in agreement with the results reported in figure 2, with a drastic reduction of lesion size (to  $0.03 \text{ mm}^2 \pm 0.01$ ) (figure 6B) and a lower number of ubiquitin-positive inclusions ( $78.1 \pm 6.6 \%$ ; Figure 6D). Importantly, initiating the sihtt1.1 treatment two months after the onset of the pathology significantly reduced the loss of DARPP-32 expression ( $0.25 \text{ mm}^2 \pm 0.03$ ) and is associated with a partial clearance of htt inclusions ( $34.1 \pm 8.4 \%$ ; Figure 6B & 6C & 6D). These data further establish the reversibility of polyQ-induced striatal pathology and demonstrate that a treatment initiated after neuropathological disease onset is still effective in diminishing polyQ-mediated toxicity.

**Example 5: Design and validation of shRNA targeting endogenous wild-type htt****1) Material and methods***Animals*

The rats used were as described in example 2. Adult 26 g female  
5 C57/BL6 mice were used (IFFA CREDO/CHARLES RIVER). The animals were housed as described in example 1.

*Lentiviruses injection*

The experimental procedures for rats were as described in example 2. The experimental procedures for mice were similar to that described in example 2  
10 for rats, with the exception that : (i) the stereotaxic coordinates were: 0.6 mm rostral to bregma 1,8 mm lateral to midline and 3.5 mm from the skull surface for the intrastratial injection in mice; (ii) concentrated viruses were matched to 160 ng p24 antigen, and (iii) the skin was closed using 4-0 Prolene® suture (Ethicon, Johnson and Johnson, Brussels, Belgium).

15 *Histological processing*

The experimental procedures were as described in example 2.

*Laser microdissection and RT-PCR in mice*

Two microliters of SIN-CWP-GFP-sihtt1.1 (n=6), SIN-CWP-GFP-sihtt6 (n=6), and SIN-CWP-GFP-sihtt13 (n=6) were injected in the striatum of adult  
20 mice. The animals were sacrificed 16 weeks post-injection by the administration of an overdose of pentobarbital (150mg/kg, intravenously; Sanofi, France). The brains were immediately removed, freezed in cold 2-méthylbutane, sectioned in the coronal plane on a freezing microtome (14µm sections) and stored at -80 °C. The dehydration of the sections was performed using 30s incubations in graded ethanols (75 %, 95 %, 100 %, 25 100%) and 5min in xylene. Slides were placed in vacuum desiccator with fresh desiccant and store at room temp until to perform Laser Capture Microdissection (LCM) with a PixCell® IIe Arcturus® instrument. The green fluorescence of the GFP reporter gene was used to identify the area of the striatum infected with the vectors. LCM Laser Capture Microdissection System using laser spot size 30µm, 46mW and  
30 with 3.3ms duration. After visual control of the completeness of laser microdissection (onto the thermoplastic film), the captured tissue was extracted using Rneasy Micro Kit (Qiagen, Hilden, Germany) following the manufacturer's instructions. The

nucleotide acid concentration is determined by Quant-iT<sup>TM</sup> RNA Assay Kit (Molecular Probes<sup>TM</sup> Invitrogen, Carlsbad, CA, USA) and the integrity was determined using Agilent RNA 6000 Pico Labchip (Agilent Technologies, Wadsworth, Germany). Thirty ng of total RNA were used as starting material for the

5 RT-PCR. In order to limit dissection time for higher efficiency and RNA quality, we dissect only enough tissue to yield approximately 80-180 ng of total RNA per animal (8-20 sections), which is sufficient for both RT-PCR and microarray analysis. The reverse transcription was performed as follows: 1 µl oligo-dT was added to the samples and incubated at 70 °C, 5 min. Six microliters of MIX (ABgene Reverse-iT

10 MAX RTase blend) was then added (4 µl buffer, 1 µl dNTP 10 mM, 1 µl enzyme Reverse -iT max RTase blend) and the samples were incubated at 42°C for 60 min. The PCR reaction was performed with the FastStart DNA Master SYBR Green I kit (Roche, Diagnostics GmbH, Mannheim, Germany) and a set of oligos targeting an area around the sequences of siht13 (htt C: ggggtgacacgaaagaaat (SEQ ID NO: 27)

15 and D: tcagtgcctgcaggagttca (SEQ ID NO: 28)). The reaction was performed as follows: 1.5 µl light cycler DNA Master SYBER Green, 3-5 mM MgCl<sub>2</sub>, 0.4 µM primers in 20 µl, 45 cycles of 8min at 95°C, 5 min at 68 °C, and 8 min at 72 °C. The cyclophilin gene was used as internal standard (oligos: CYCLO-1F: atggcaaatgctggacccaaa (SEQ ID NO: 29); CYCLO-2R: gccttcttcacctcccaaa (SEQ ID

20 NO: 30)). Amplification graphs were checked for the cross-point (C<sub>t</sub>) value of the PCR product. The C<sub>t</sub> value represented the cycle by which the fluorescence of a sample increased to a level higher than the background fluorescence in the amplification cycle. Melting curve analysis was performed after PCR amplification to verify that the correct product was amplified by examining its specific melting

25 temperature (*T<sub>m</sub>*). Relative quantification was made by the standard curve method. A series of dilutions of calibrator sample (external standard) was included in each experiment in order to generate an external standard curve. That curve is used for quantification of both target and housekeeping gene (endogenous control) in each sample, for input normalization. Relative quantification was carried out using

30 RealQuant Software (version 1.01, Roche). The calculation of data is based on the C<sub>P</sub> values obtained by the LightCycler<sup>®</sup> Software. Results are calculated as the

target/reference ratio of the sample divided by the target/reference ratio of the calibrator. This corrects for sample inhomogeneity and variability of detection.

## 2) Results

The next set of experiments take advantage of the species-selectivity of the siRNA constructs to investigate whether a partial downregulation of endogenous htt can be tolerated in adult animals and to provide information on the possible necessity of an allele-specific htt silencing. Based on sequence identity, the sihtt1.1 should target only the human htt transcript, the sihtt3 and sihtt6 target the human and mouse htt (1-2 mismatches with the rat sequence) and the sihtt13, which targets a conserved sequence, should cause RNAi-mediated diminution of the human, rat and mouse htt transcripts. To confirm this predicted selectivity of the sihtts, these vectors were injected in the striatum of normal adult mice and rats. Immunohistochemical analysis of striatal sections 3 weeks post-surgery established the species-selectivity of the siRNA on endogenous htt transcript (Figure 7). The LacZ reporter gene allowed the identification of transduced neurons and the 4C8 antibody was used detect the endogenous htt protein. In all cases, LacZ-positive cells were present around the injection sites and no significant differences in immunoreactivity were observed between the shRNA vectors (figure 7A and 7B). As expected, siGFP and sihtt1.1 vectors have no effect on endogenous levels of mouse and rat htt (figure 7A and 7B). The sihtt13 led to a downregulation of endogenous mouse and rat htt (figure 7A and 7B). A reduced htt immunoreactivity was also observed in the striatum of mice injected with sihtt 3, and 6 while these siRNAs have no effect in rats due to the presence of 1-2 mismatches in the sequences. These data were confirmed by LacZ/htt double staining and confocal analysis. To further quantify the level of *in vivo* silencing, new vectors expressing the GFP reporter gene and the sihtt1.1, sihtt6 or sihtt13 were developed. These vectors were injected in adult mice and laser microdissection and RT-PCR analysis were performed on striatal sections 16 weeks post-injection. Silencing of endogenous htt to  $24 \pm 3,5\%$  and  $26 \pm 4,9\%$  of normal levels were obtained with the sihtt6 and sihtt13, respectively while the sihtt1.1, which is human specific, had a limited impact on the wild-type mouse htt ( $63 \pm 11,8\%$ ) (Figure 7D). These data also demonstrates that these vectors efficiently silenced not only

exogenously administered mutant htt (Figures 2 and 11) but also endogenous htt transcript.

**Example 6: Comparison of selective versus non-selective knockdown of mutant and wildtype htt alleles in rats and mice**

5    **1) Material and methods**

*Animals*

The rats used were as described in example 2. Adult 26 g female C57/BL6 mice were used (IFFA CREDO/CHARLES RIVER). The animals were housed as described in example 1.

10    *Lentiviruses injection*

The experimental procedures for rats were as described in example 2. The experimental procedures for mice were similar to that described in example 2 for rats, with the exception that, in mice : (i) the stereotaxic coordinates for the intrastriatal injection were: 0.6 mm rostral to bregma 1,8 mm lateral to midline and  
15    3.5 mm from the skull surface ; (ii) concentrated viruses were matched to 160 and 130 ng p24 antigen for single and double infections, respectively, and (iii) the skin was closed using 4-0 Prolene® suture (Ethicon, Johnson and Johnson, Brussels, Belgium).

*Histological processing*

The experimental procedures were as described in example 2.

20    *In vivo data analysis*

The experimental procedures were as described in example 2.

*Codelink microarray*

Processing and microarray analysis were done on the technological platform profileXpert ([www.profilexpert.fr](http://www.profilexpert.fr)).

25    *RNA amplification*

Total RNA (15 ng) was amplified by two rounds of *in vitro* transcription (dIVT) and biotin-labeled with a Message Amp™ II aRNA kit (AMBION) following the manufacturer's protocol. Before amplification, spikes of synthetic mRNA at different concentrations were added to all samples; these positive  
30    controls were used to ascertain the quality of the process. aRNA yield was measured with an UV spectrophotometer and the quality on nanochips with the Agilent 2100 Bioanalyzer (AGILENT).

*Array hybridization and processing*

Ten micrograms of biotin-labeled aRNA was fragmented using 5  $\mu$ l of fragmentation buffer in a final volume of 20  $\mu$ l, then was mixed with 240  $\mu$ l of Amersham hybridization solution (GE HEALTHCARE EUROPE GMBH) and injected onto CodeLink Uniset Human Whole Genome bioarrays containing 55000 human oligonucleotide gene probes or CodeLink Uniset Rat Whole Genome bioarrays containing 36000 rat oligonucleotide gene probes (both from GE HEALTHCARE EUROPE GMBH). Arrays were hybridized overnight at 37 °C at 300 rpm in an incubator. The slides were washed in stringent TNT buffer at 46 °C for 1 hour, then a streptavidin-cy5 (GE HEALTHCARE) detection step was performed. Each slide was incubated for 30 min in 3.4 ml of streptavidin-cy5 solution, then was washed 4 times in 240 ml of TNT buffer, rinsed twice in 240 ml of water containing 0.2 % Triton X-100, and dried by centrifugation at 600 rpm. The slides were scanned using a Genepix 4000B scanner (AXON) and Genepix software, with the laser set at 635 nm, the laser power at 100 %, and the photomultiplier tube voltage at 60 %. The scanned image files were analyzed using CodeLink expression software, version 4.0 (GE HEALTHCARE), which produces both a raw and normalized hybridization signal for each spot on the array.

*Microarray data analysis*

The relative intensity of the raw hybridization signal on arrays varies in different experiments. CodeLink software was therefore used to normalize the raw hybridization signal on each array to the median of the array (median intensity is 1 after normalization) for better cross-array comparison. The threshold of detection was calculated using the normalized signal intensity of the 100 negative control samples in the array; spots with signal intensities below this threshold are referred to as “absent”. Quality of processing was evaluated by generating scatter plots of positive signal distribution. Signal intensities were then converted to log base 2 values. Statistical comparison and filtering were performed using Genespring software 7.0 (AGILENT). Ingenuity Pathway Analysis (MOUNTAIN VIEW) was used for function analysis.

*Quantification of LacZ expression*

Sections were digitized as 8-bit gray-scale images with a flatbed scanner (ImageScanner, GE HEALTHCARE EUROPE) and the relative optical

density was determined using an image analysis software (MCID, INTERFOCUS IMAGING). Data are expressed as mean OD calculated from 4 sections centered on the injection site. Regions of interest (ROI) were drawn according to the LacZ staining. Data are expressed as mean OD calculated from the ROI of 4 sections per animal, centered on the injection site.

## 2) Results

To evaluate the consequences of endogenous htt silencing in adult brains, a microarray analyses of RNA expression was performed on laser capture microdissected brain sections from adult mice injected with lentiviral vectors expressing sihtt1.1, sihtt6 or sihtt13 (SIN-CWP-GFP-sihtt). The GFP reporter gene was used to identify infected cells. Microarray quantification of mouse htt mRNA levels corresponded to the selectivities of the three siRNAs (Figure 8A). Significant reductions in mouse htt were observed with the sihtt6 and sihtt13 while the human specific sihtt1.1 had no effect (Figure 8A). Using ingenuity pathway analysis on differentially expressed genes revealed that the injection of the control lentiviral vector sihtt1.1 was associated with only modest changes in striatal expression profile. These subtle changes are consistent with a local scarring/wound healing response to the surgical procedure, but did not comprise a RNAi-mediated activation of the interferon response (Bridge *et al.*, Nat. Genet., 2003, 34, 263-264), as demonstrated by an absence of effects on the interferon-regulated genes AOS, PKR and LTR. In contrast, the expression of sihtt6 and sihtt13 induced significant changes in the expression of specific non-inflammatory genes. The expression profiles of the sihtt6 and sihtt13 were highly correlated indicating that the silencing effect is very specific and thus "off-target" effects are very limited. Moreover, the specific genes whose expression was altered by htt silencing were observed to participate in molecular pathways linked to known htt functions including G-protein coupled receptor signaling, synaptic long term potentiation/depression, axonal guidance, cAMPmediated signaling, and calcium and glutamate signaling (Cattaneo *et al.*, Nat. Rev. Neurosci., 2005, 6, 919-930 ; Harjes *et al.*, Trends Biochem. Sci., 2003, 28, 425-433).

To investigate the functional consequences of such a downregulation of endogenous rat htt in the context of HD, a first experiment was performed, in which



adult rats were co-infected with vectors encoding the human htt171-82Q and the sihtt13 or human htt171-82Q alone. The sihtt13 targets sequences in exons 8 and 9 which are not present in the htt171-82Q vector (Figure 1A). With this experimental paradigm, a selective silencing of wild-type rat htt is achieved in animals expressing  
5 the mutant human htt171-82Q. Two months post-injection, the animals were sacrificed and the effect of endogenous sihtt silencing was analyzed. No differences were seen between htt171-82Q/sihtt13 and htt171-82Q groups in term of GABAergic neuron survival based on DARPP-32 expression (htt171-82Q:  $0.68 \text{ mm}^2 \pm 0.05$ ; htt171-82Q and sihtt13:  $0.70 \text{ mm}^2 \pm 0.06$ ) or htt inclusion load (Figure 2A, 2B, 2D  
10 and 2E). These data suggest that a partial inactivation of the endogenous htt has no major impact on the course of Huntington's disease pathology.

To further evaluate the long-term impact of global inactivation of wild-type and mutant htt versus a selective knockdown of the disease allele, the selectivity of sihtt1.1 for the human form of htt and the non-selectivity of the sihtt6  
15 which recognizes both human and mouse endogenous htt, were used. These siRNA were co-injected with human htt171-82Q in the striata of mice and the animals were sacrificed at 1, 3 and 9 months post-injection. Both sihtt dramatically reduced Huntington's disease pathology (assessed by DARPP-32 and ubiquitin stainings) to the same extent (figures 9A & 9B and 10). Also, numbers of LacZ positive cells were  
20 similar in both groups, supporting that the survival of GABAergic is not affected by the silencing of endogenous mouse htt (figures 9A & 9B and 10). These results also indicate that sihtt6 expression level was not limiting (*i.e.* that the silencing of mutant htt was not inhibited by coincident silencing of wild-type htt). Altogether, these data suggest that coincident non allele-specific silencing is effective against HD pathology  
25 and that a partial inactivation of wild-type htt is well tolerated.

## CLAIMS

1°) An isolated double-stranded short interfering nucleic acid molecule comprising complementary sense and antisense regions, wherein:

- the antisense region has 15 to no more than 19 contiguous nucleotides that are complementary to a human huntingtin transcript, said nucleotides being encoded by a sequence selected from the group consisting of SEQ ID NO: 1 to 3,
- the sense and antisense regions have at least 15 contiguous nucleotides that are complementary to each other and form a duplex, and
- said double-stranded short interfering nucleic acid molecule inhibits the expression of endogenous wild-type and exogenous human mutant huntingtin genes in cells of a non-human mammal which are expressing both said huntingtin genes.

2°) The double-stranded short interfering nucleic acid molecule according to claim 1, wherein the sense region comprises at least 15 contiguous nucleotides that are encoded by a sequence selected from the group consisting of SEQ ID NO: 4 to 6.

3°) The double-stranded short interfering nucleic acid molecule according to claim 1 or claim 2, which is assembled from two separate oligonucleotides, each of 15 to about 30 nucleotides, to form a duplex structure of at least 15 base pairs.

4°) The double-stranded short interfering nucleic acid molecule according to claim 1 or claim 2, which is assembled from a single oligonucleotide of 31 to about 50 nucleotides, to form a hairpin having a duplex structure of at least 15 base pairs and a loop structure of 4 to 10 nucleotides.

5°) The double-stranded short interfering nucleic acid molecule according to claim 4, wherein the loop is encoded by SEQ ID NO: 7.

6°) The double-stranded short interfering nucleic acid molecule according to claim 5 which is encoded by a sequence selected from the group consisting of SEQ ID NO: 8 to 10.

7°) The double-stranded short interfering nucleic acid molecule according to anyone of claims 1 to 6, wherein one or both 3' end(s) comprise(s) 1 to about 3 overhanging nucleotides.

8°) The double-stranded short interfering nucleic acid molecule according to anyone of claims 1 to 6, wherein both ends are blunt.

9°) The double-stranded short interfering nucleic acid molecule according to anyone of claims 1 to 8, which is an RNA molecule.

5 10°) The double-stranded short interfering nucleic acid molecule according to anyone of claims 1 to 9, which comprises one or more modified pyrimidine and/or purine nucleotides.

11°) The double-stranded short interfering nucleic acid molecule according to anyone of claims 1 to 10, which comprises at least one modified  
10 internucleotidic linkage.

12°) The double-stranded short interfering nucleic acid molecule according to anyone of claims 1 to 11, wherein said non-human mammal is a mouse or a rat.

13°) A transcription unit comprising: a transcription initiation  
15 region, a transcription termination region, and a nucleic acid sequence encoding at least one short interfering nucleic acid molecule according to anyone of claims 1 to 9, wherein said nucleic acid sequence is operably linked to said initiation region in a manner that allows expression and/or delivery of the short interfering nucleic acid molecule in a host cell.

20 14°) The transcription unit according to claim 13, wherein the transcription initiation region comprises a doxycycline regulated promoter.

15°) An expression vector comprising a transcription unit according to claim 13 or claim 14.

25 16°) The expression vector according to claim 15, which is a replication-defective and multiply attenuated lentiviral vector.

17°) A cell which is modified by a vector according to claim 16 or claim 17.

30 18°) A pharmaceutical composition comprising at least one short interfering nucleic acid molecule according to anyone of claims 1 to 12 or an expression vector encoding said short interfering nucleic acid molecule according to claim 15 or claim 16, in an acceptable carrier.

19°) Use of a short interfering nucleic acid molecule according to anyone of claims 1 to 12 or an expression vector encoding said short interfering nucleic acid molecule according to claim 15 or claim 16, for the manufacture of a medicament for preventing or treating Huntington's disease.

- 5           20°) Use of a short interfering nucleic acid molecule according to anyone of claims 1 to 12 or an expression vector encoding said short interfering nucleic acid molecule according to claim 15 or claim 16, to study Huntington's disease in a rodent model.

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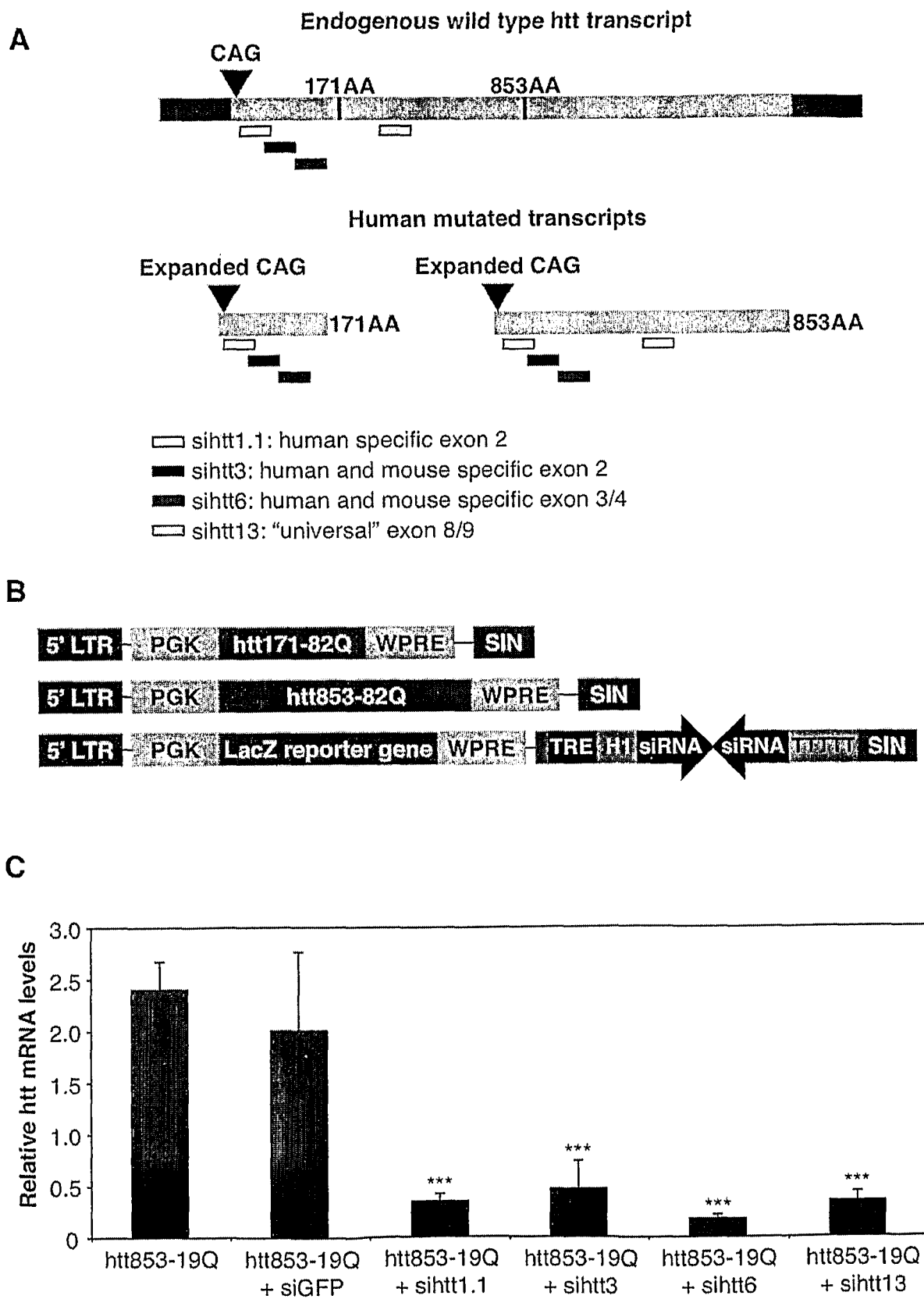


FIGURE 1

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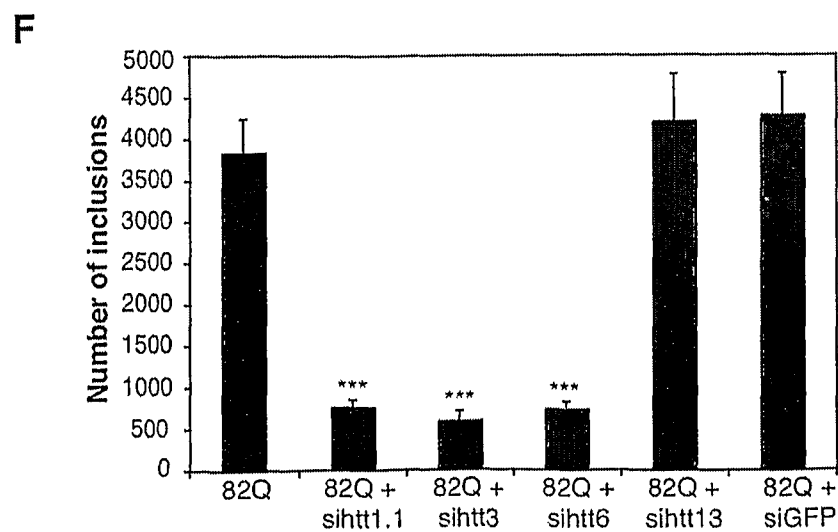
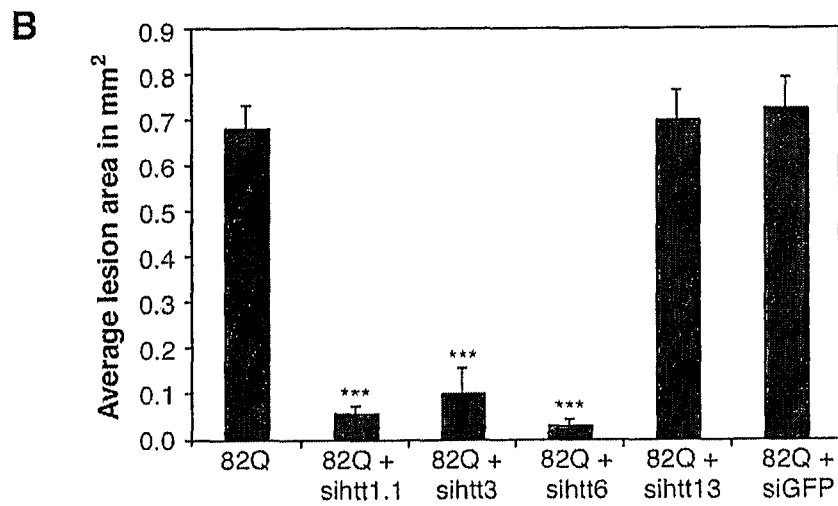
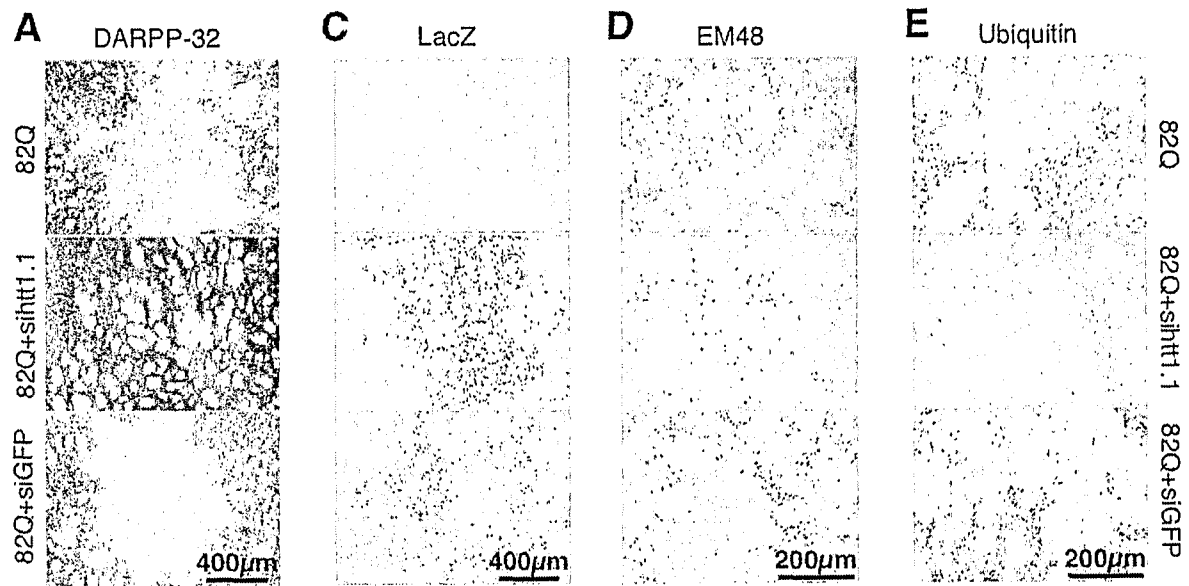


FIGURE 2

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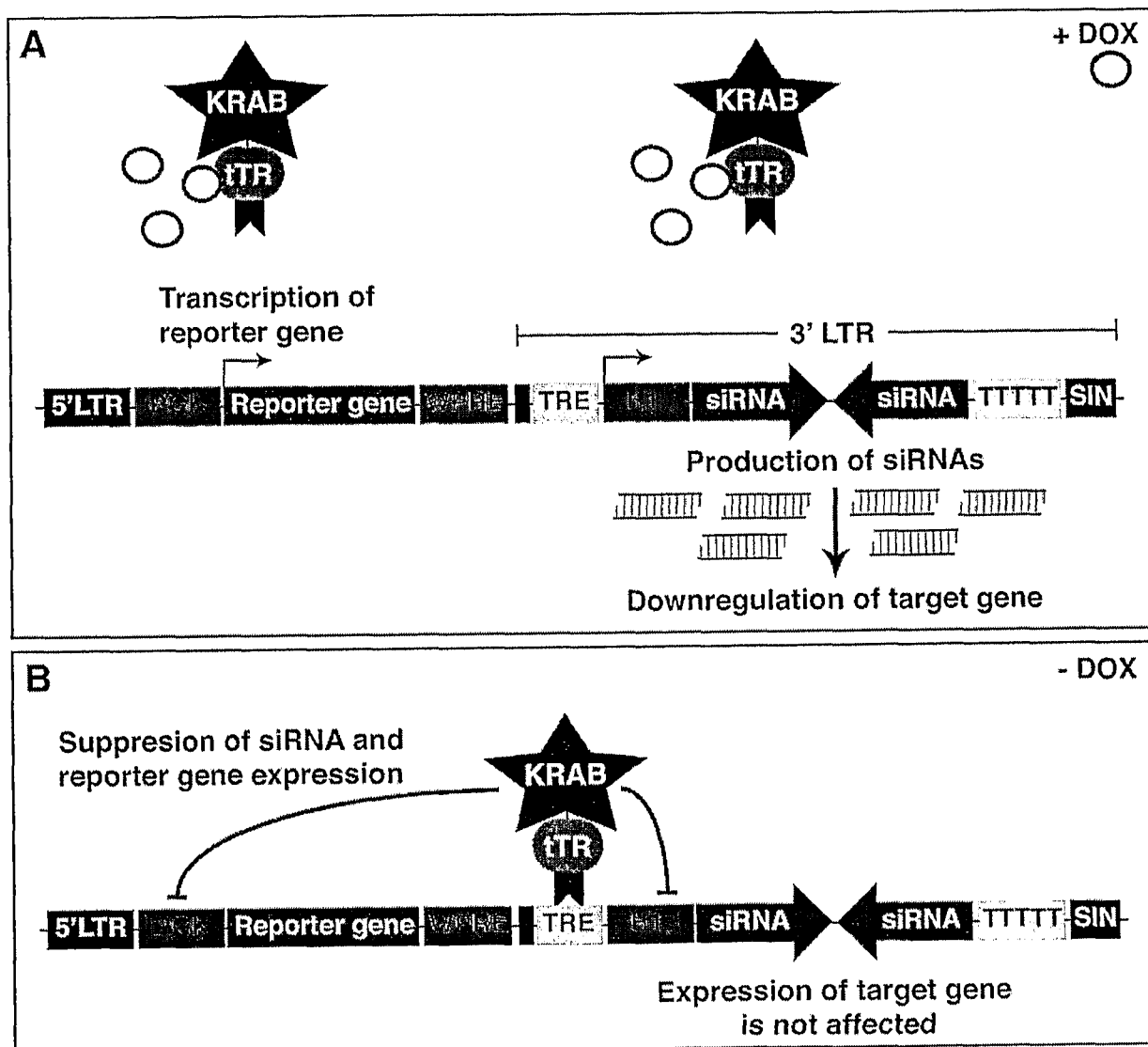
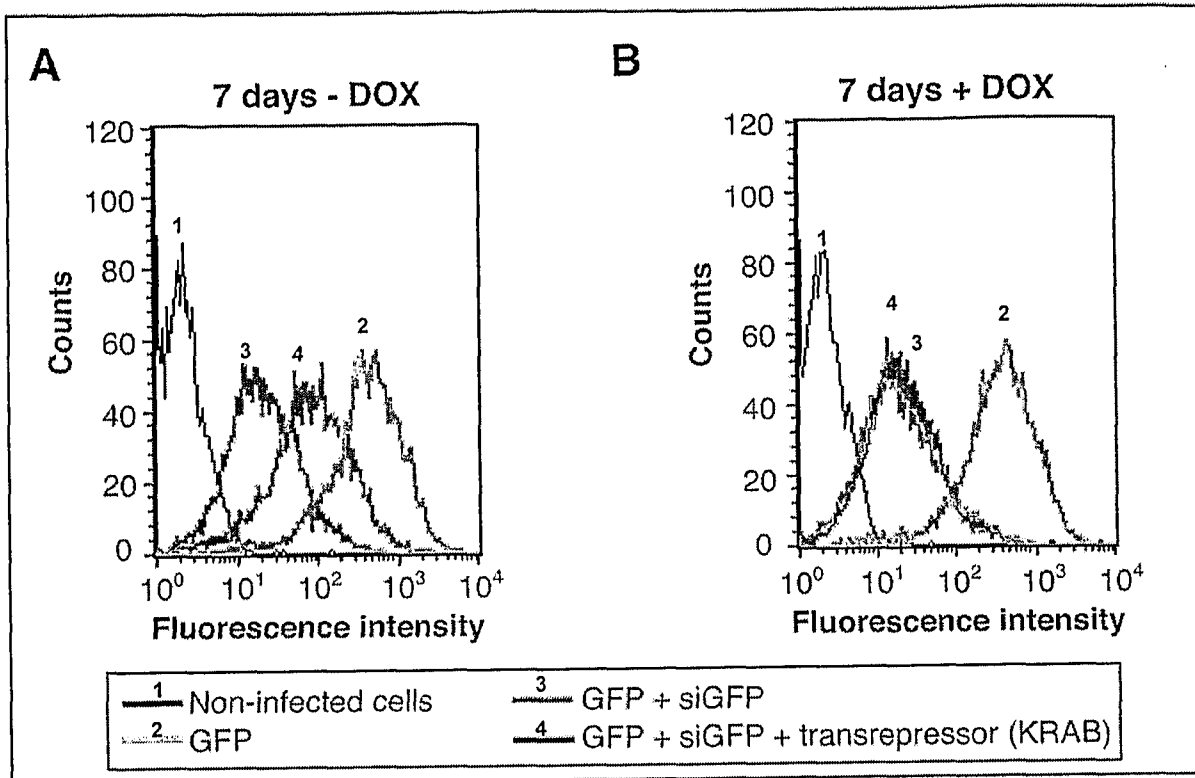
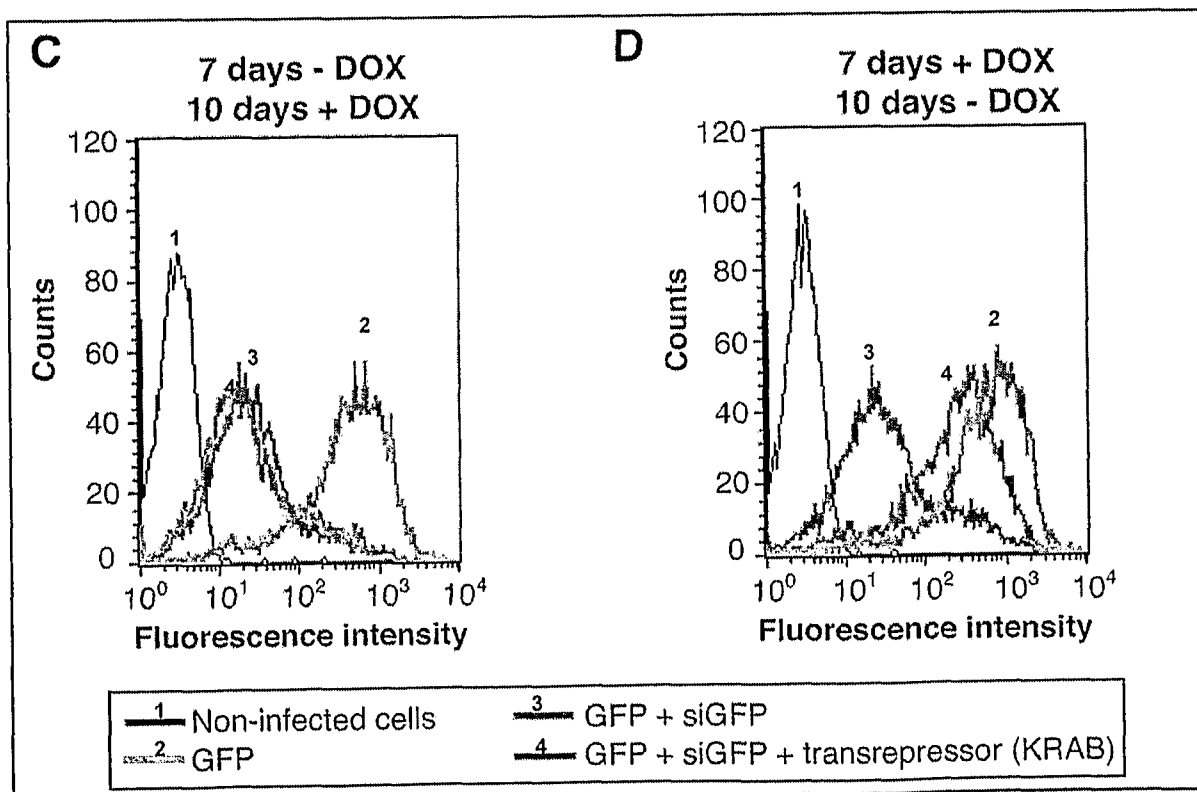


FIGURE 3

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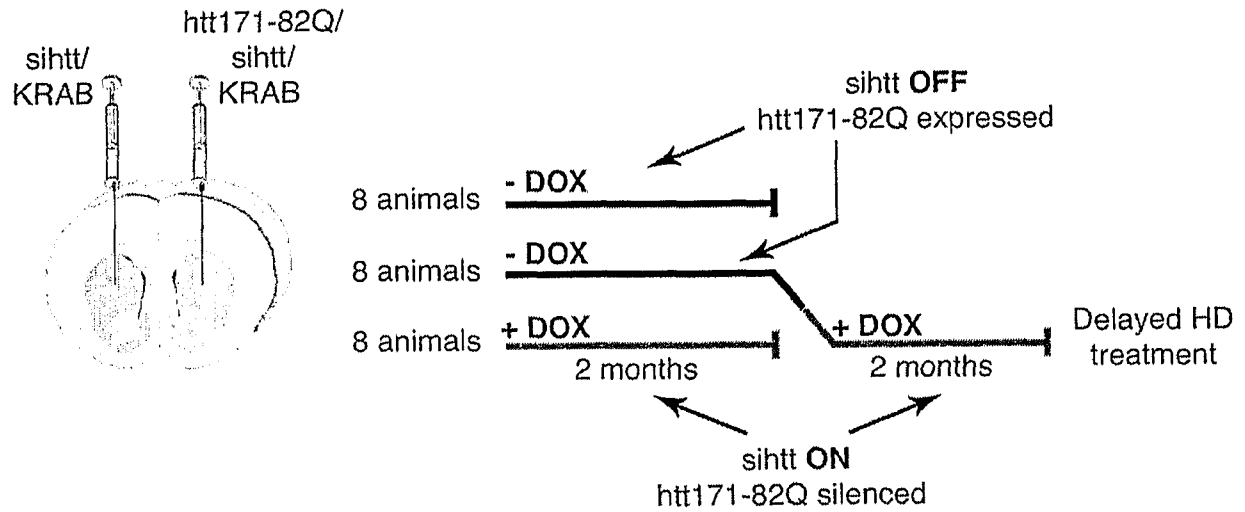


### Reversibility of siRNA silencing

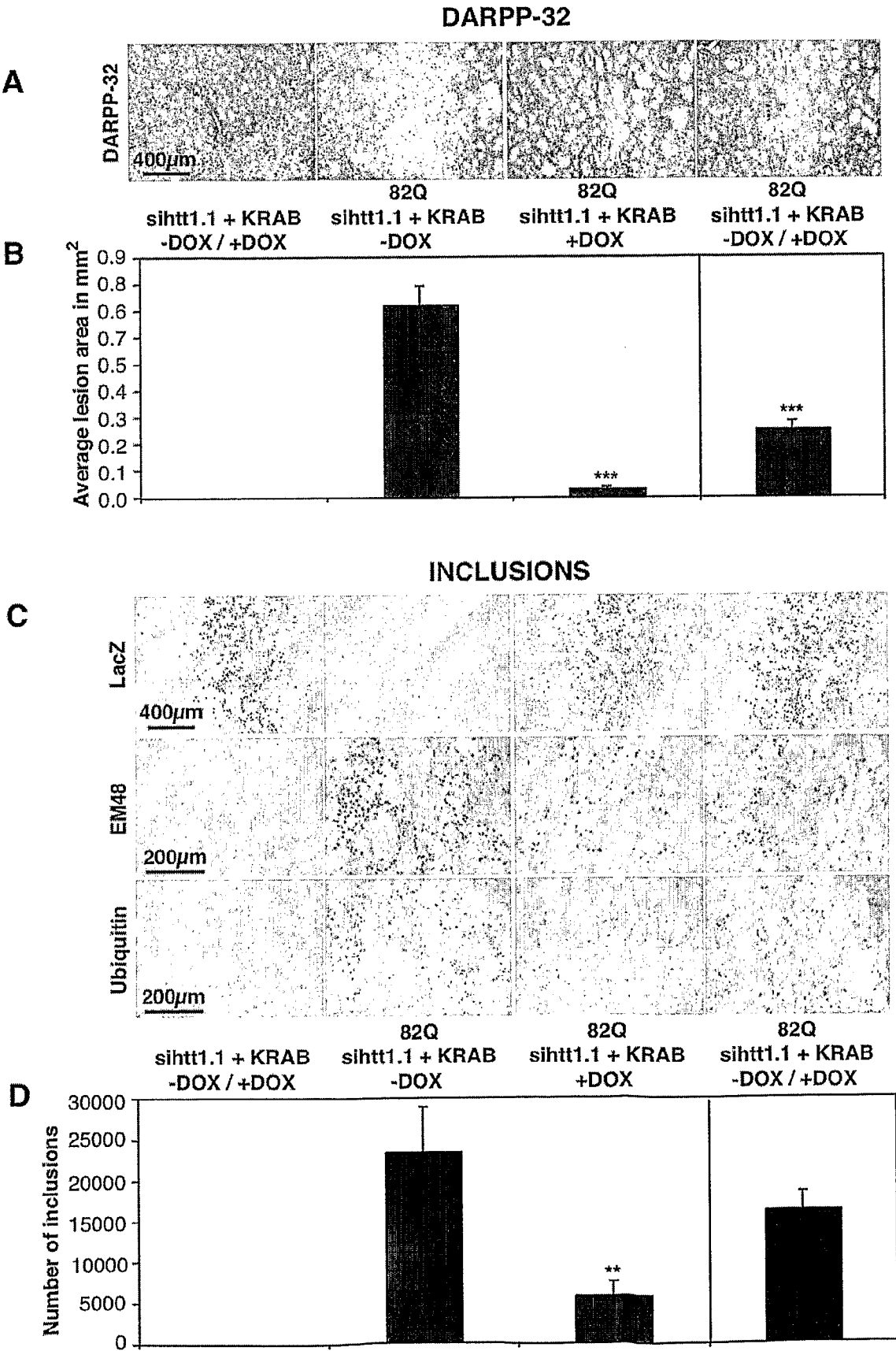
**FIGURE 4**



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**FIGURE 5**

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**FIGURE 6**

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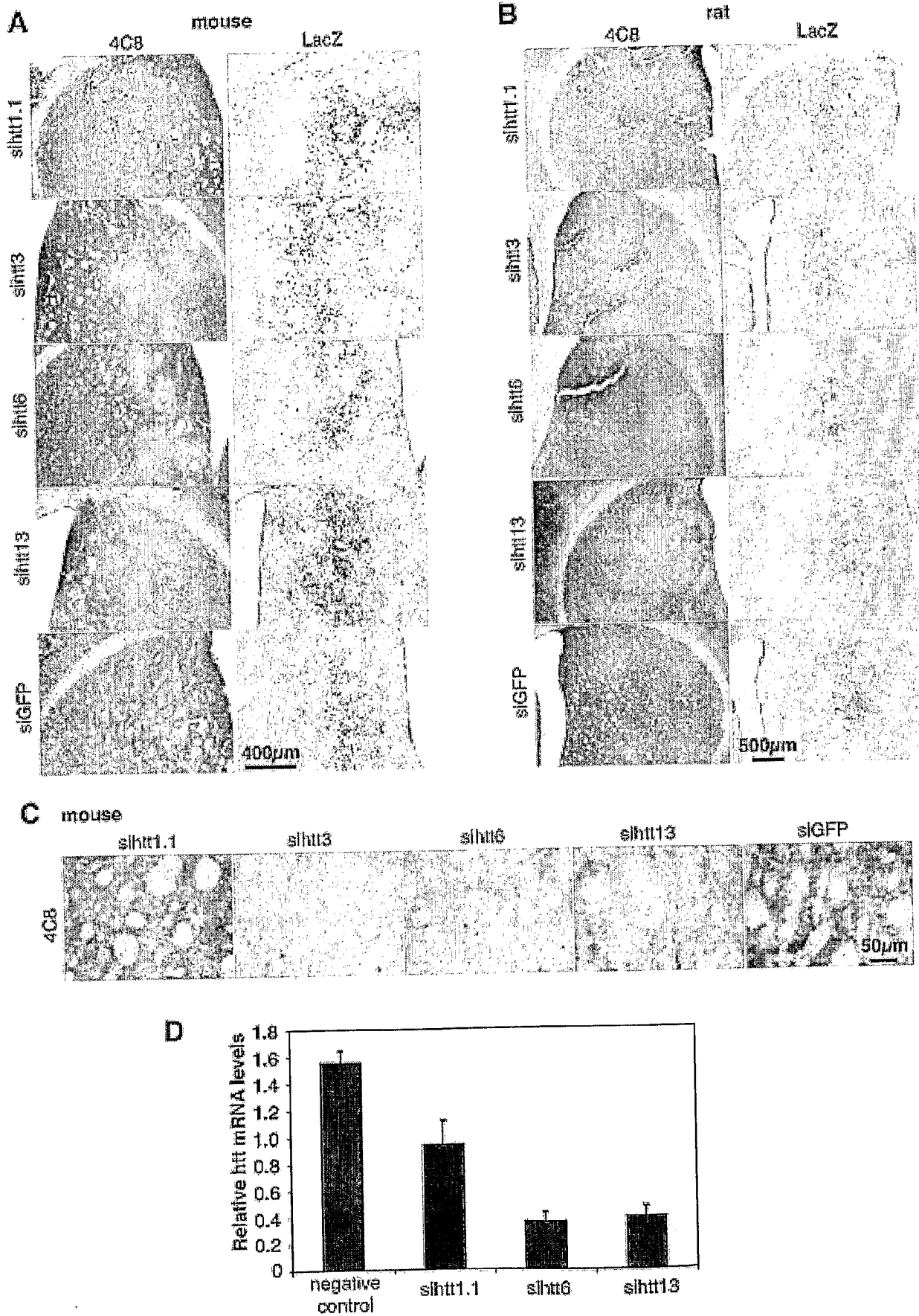
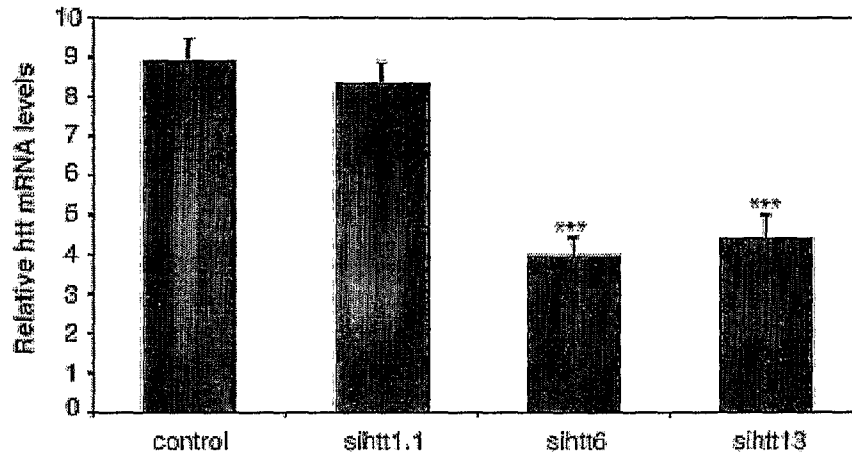


FIGURE 7

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A



B

Annotation: Observation 1 Expression Value 1 (optional)

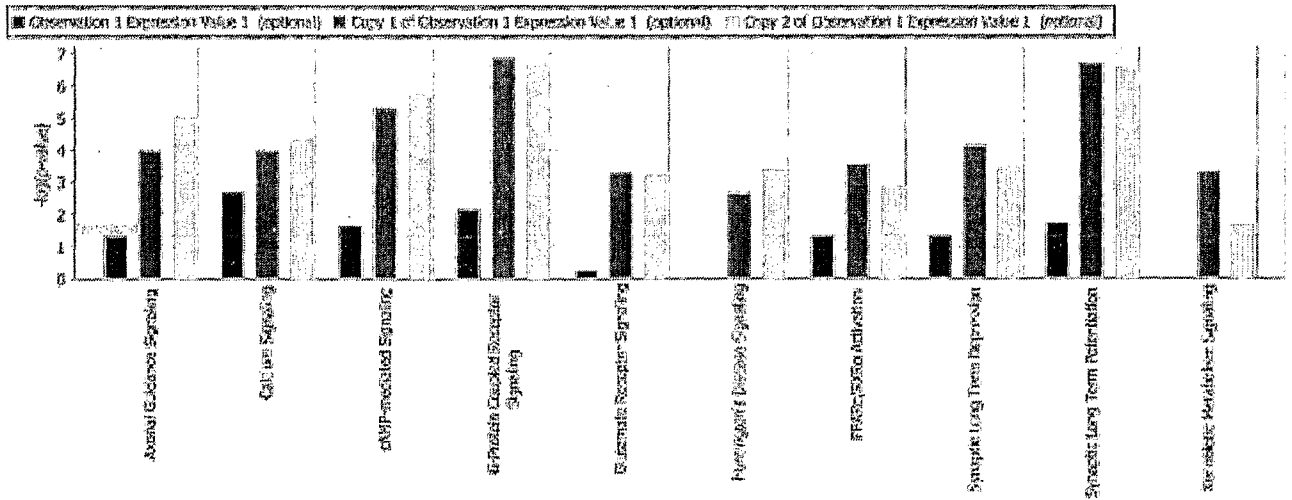


FIGURE 8

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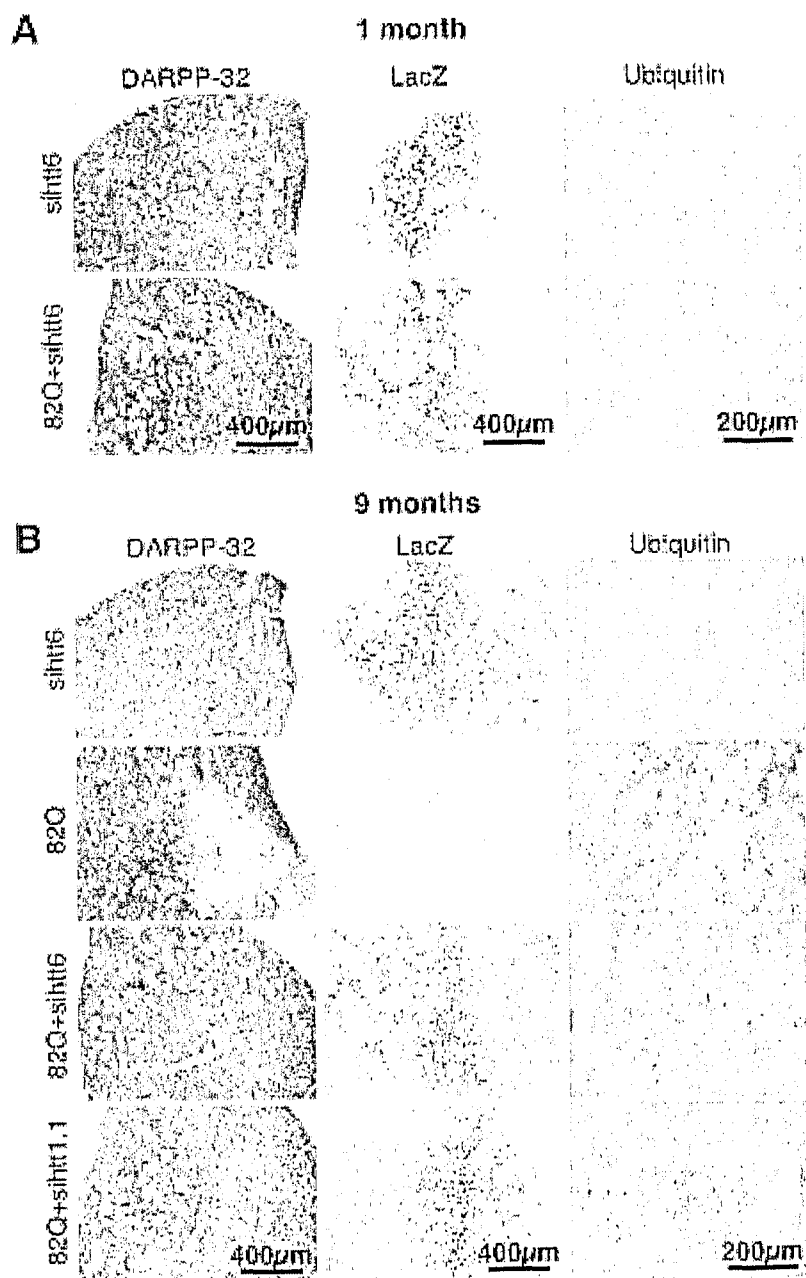
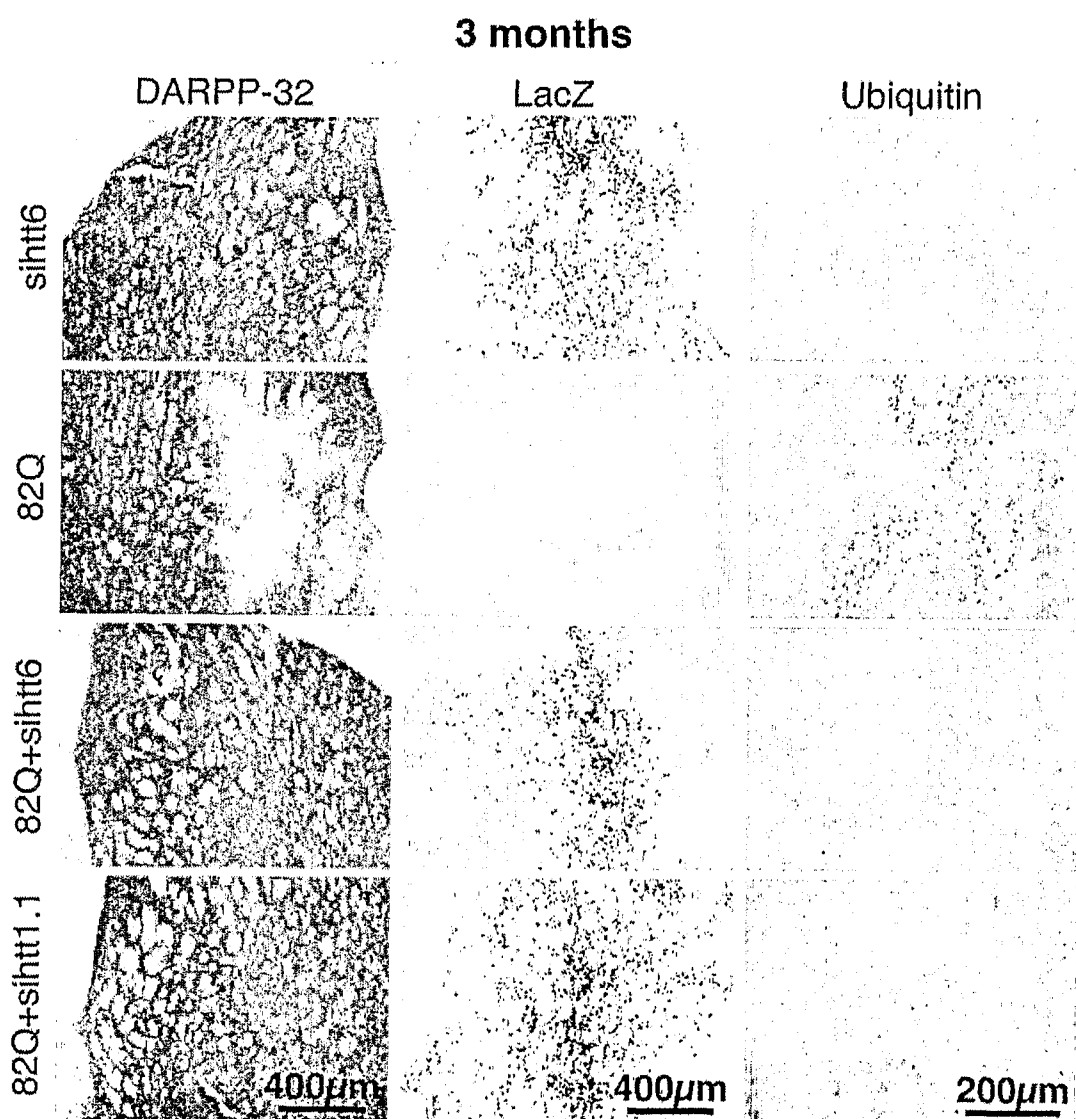


FIGURE 9

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**FIGURE 10**

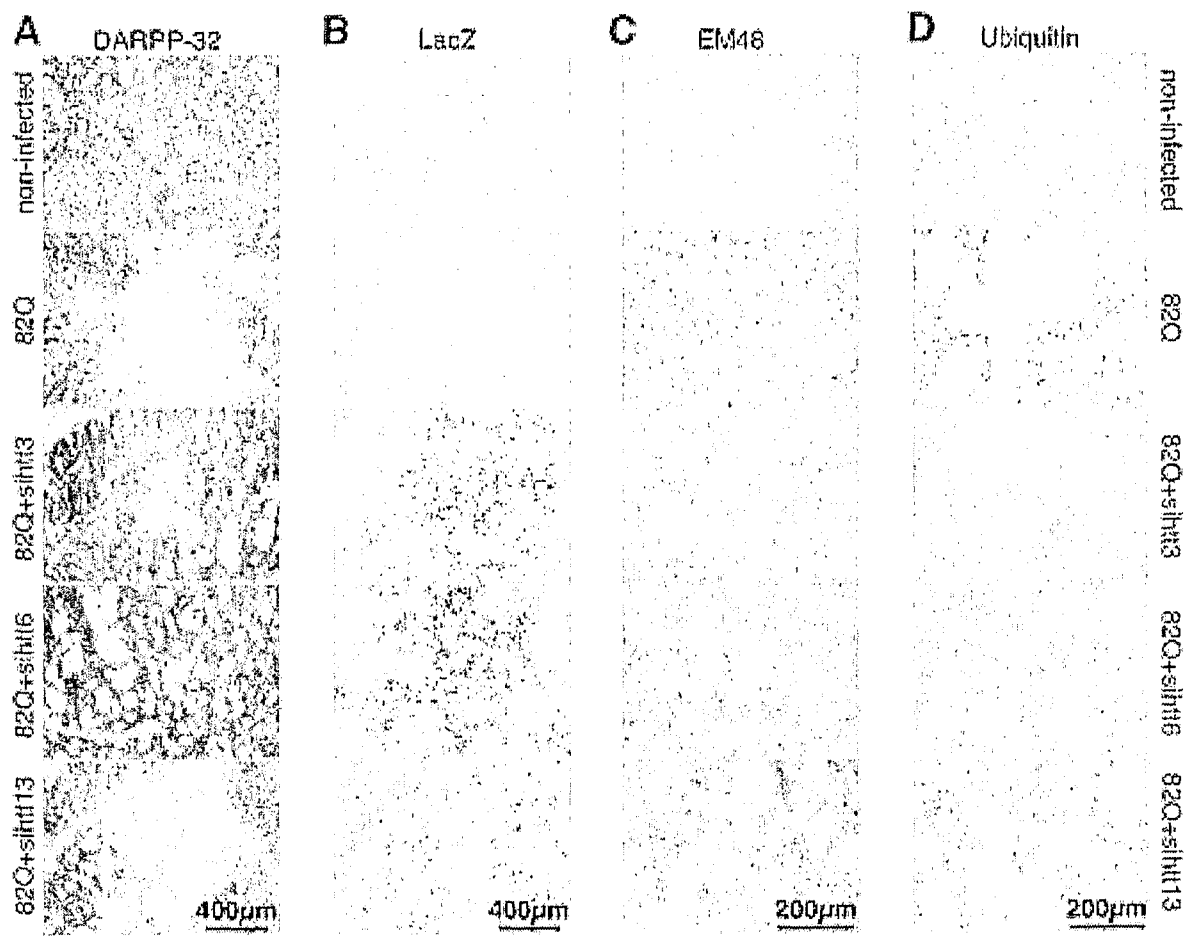


FIGURE 11