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(72) **Inventeurs/Inventors:**  
SNIDER, JAMES V., US;  
JACOBSON, SVEN, US  
(73) **Propriétaire/Owner:**  
CRITICAL CARE DIAGNOSTICS, INC., US  
(74) **Agent:** SMART & BIGGAR LLP

(54) **Titre : PREDICTION DE LA MORTALITE ET DETECTION D'UNE MALADIE GRAVE**  
(54) **Title: PREDICTING MORTALITY AND DETECTING SEVERE DISEASE**

(57) **Abrégé/Abstract:**

Measurement of circulating ST2 concentration is useful for the prognostic evaluation of subjects, in particular for the prediction of adverse clinical outcomes, e.g., mortality, and the detection of severe disease.

Abstract

Measurement of circulating ST2 concentration is useful for the prognostic evaluation of subjects, in particular for the prediction of adverse clinical outcomes, e.g., mortality, and the detection of severe disease.

## **PREDICTING MORTALITY AND DETECTING SEVERE DISEASE**

### **FIELD OF THE INVENTION**

The invention relates to methods for predicting mortality and detecting the  
5 presence of severe disease by measuring circulating levels of ST2 and/or IL-33, alone  
or in combination with other biomarkers.

### **BACKGROUND**

Clinical evaluation of subjects, particularly those with non-specific symptoms  
such as chest pain or discomfort, shortness of breath, nausea, vomiting, eructation,  
10 sweating, palpitations, lightheadedness, fatigue, or fainting, can present significant  
challenges, as the cause and severity of the subject's condition may not always be  
apparent. The decision whether to treat a subject aggressively or conservatively, or to  
admit the subject as an inpatient or to send them home, may sometimes be made  
solely on a physician's clinical assessment or "gut feeling" as to the individual's  
15 actual condition. Biomarkers that indicate a subject's likelihood of an adverse  
outcome, e.g., mortality, and/or the presence of severe disease, would significantly  
enhance the physician's ability to make informed treatment decisions.

### **SUMMARY**

The present invention is based, at least in part, on the discovery that serum  
20 levels of the biomarker ST2 (Growth Stimulation-Expressed Gene 2, also known as  
Interleukin 1 Receptor Like 1 (IL1RL-1)) can be used to predict clinical outcome,  
e.g., death, within a specific time period, e.g., 30 days, 3 or 6 months, or a year or  
more, or to detect the presence of severe disease, regardless of the underlying causes  
of symptoms or ultimate diagnosis. Changes in the level of ST2 over time, e.g., over  
25 a few days or more, can also be used to predict clinical outcome, e.g., in patients  
hospitalized after an acute event.

The methods described herein include measuring ST2 levels as well as  
monitoring changes in ST2 levels over time (e.g., ratios) to provide diagnostic and  
prognostic evaluation of patients, e.g., patients with non-specific symptoms, e.g.,  
30 acutely dyspneic patients and those with chest pain.

IL-33 has been identified as the ligand for ST2. Thus, the invention includes methods for evaluating patients by monitoring biomarker levels of ST2 and/or IL-33 levels, as well as ST2/IL-33 complexes, and ratios of ST2:IL-33 complexes to free ST2 and/or IL-33.

5 In addition, the methods can include using additional diagnostic methods, including evaluating organ function and/or levels of adjunct biomarkers such as troponin (Tn, e.g., TnI or TnT), brain natriuretic peptide (BNP), proBNP, NT-proBNP, atrial natriuretic peptide (ANP), NT-proANP, proANP, C-reactive peptide (CRP), Blood Urea Nitrogen (BUN), D-dimers (degradation products of cross-linked  
10 fibrin, whose level becomes elevated following clot formation), albumin, liver function enzymes, measures of renal function (e.g., creatinine, creatinine clearance rate, or glomerular filtration rate) and/or bacterial endotoxin. In some embodiments, the methods include measuring ST2 and/or a change in ST2 levels over time in addition to BUN, NT-proBNP or BNP, and/or TnI.

15 Thus, in one aspect, the invention features methods for evaluating the risk of death or readmission within a specific time period, e.g., 30, 60, 90, or 180 days (e.g., one, two, three, or six months), or one, two, or five years, for a subject. The methods include obtaining a sample, e.g., blood, serum, plasma, urine, or body tissue from the subject; determining a biomarker level of ST2 and/or IL-33 in the sample; and  
20 comparing the biomarker level of ST2 and/or IL-33 in the sample to a reference level of ST2 and/or IL-33. A comparison of the biomarker level of ST2 and/or IL-33 in the sample versus the reference indicates the subject's risk of death or readmission within the specific time period. In some embodiments, the specific time period is one year.

In some embodiments, the reference level represents a level in a subject who  
25 has a low risk of death within one year. In some embodiments, e.g., wherein the biomarker level of ST2 is measured using an immunoassay, e.g., an enzyme-linked immunosorbent assay (ELISA), e.g., as described in Example 1, the reference level of ST2 is between about 0.2 and 0.3 ng/ml of serum, e.g., the level can be 0.20, 0.23, 0.25, 0.27, or 0.29 ng/ml of serum, and a level in the sample that is greater than or  
30 equal to the reference level indicates that the subject has an elevated, i.e., statistically significantly elevated, risk of death within one year. If an analytical technique other than the ELISA described in Example 1 is employed, the reference ST2 level may be different than described herein; the specific numbers recited herein should be

construed to be equivalent to corresponding numbers generated using other analytical techniques. In some embodiments, the elevated risk of death is at least 20% higher, e.g., 30%, 40%, or 50% higher.

In another aspect, the invention features methods for determining the severity  
5 of one or more diseases, e.g., the present severity of diseases, in a subject. The methods include obtaining a sample from the subject; determining a biomarker level of ST2 and/or IL-33 in the sample; and comparing the biomarker level of ST2 and/or IL-33 in the sample to a reference level of ST2 and/or IL-33. The biomarker level of ST2 and/or IL-33 in the sample as compared to the reference indicates whether the  
10 one or more diseases the subject has are severe, e.g., life-threatening.

In a further aspect, the invention includes methods for monitoring a subject's condition, e.g., for deciding whether a subject has improved, e.g., improved sufficiently to be discharged from the hospital. The methods include determining a first biomarker level of ST2 and/or IL-33 in the subject, e.g., a baseline level; and  
15 determining at least one subsequent biomarker level of ST2 and/or IL-33 in the subject, e.g., a treatment level. Then, the first level and the subsequent levels are compared. If the biomarker level of ST2 and/or IL-33 decreases sufficiently, e.g., statistically significantly, or by at least 5%, 10%, 15%, 20%, or more, from the first to the subsequent levels, then the subject's condition is likely to be improving and, if  
20 either one or both levels are low enough, e.g., below a selected threshold, then the subject can be discharged, e.g., for outpatient treatment.

In some embodiments, the methods include determining a level of ST2 that indicates a subject's risk, and optionally selecting or modifying a treatment for the subject, based on a ratio of a first ST2 level, e.g., a baseline level, to a second ST2  
25 level, e.g., a level taken some time later, e.g., one, two, three, four, or more days later. For example, if the second level of ST2 is more than a selected percentage of the first level, then the subject has a high risk and should be treated more aggressively; if the subject is already being treated, then the subject is not responding favorably to the current treatment and a new treatment should be selected, i.e., an alternate treatment  
30 to which the patient may respond more favorably. As one example, if the second level is about 85% or more of the first level (i.e., has decreased by about 15% or less), then the subject is not improving and still has a high risk of death.

In some embodiments, the level of ST2 in a subject is compared to a reference level that represents a level in a subject who does not have severe disease, e.g., has no disease or has no acute, severe disease, e.g., when measured using an ELISA, e.g., as described herein. The reference level of ST2 can be between about 0.2 and 0.3 ng/ml, e.g., the level can be about 0.20, 0.23, 0.25, 0.27, or 0.29 ng/ml of serum or plasma (as noted above, the thresholds recited herein apply when using an ELISA method as described herein; other threshold numbers can be considered as equivalent to these numbers when determined using a different method). A level in the sample that is greater than or equal to the reference level indicates that the subject has one or more severe diseases, e.g., present diseases.

In some embodiments, the reference level represents a subject with a certain prognosis. For example, when the level of ST2 is measured using an ELISA, e.g., as described herein in Example 1, the reference level can be used to determine prognosis as follows: an ST2 < about 0.2 or 0.23 ng/ml indicates that the subject has a good prognosis, e.g., is likely to recover; an ST2 of from about 0.2 or 0.23 ng/ml to 0.7 ng/ml (or an equivalent thereof) indicates that the subject has a poor prognosis, e.g., is less likely to recover. Finally, an ST2 of greater than 0.7 ng/ml indicates a very poor prognosis, e.g., the subject is not likely to recover. In this embodiment poor prognosis would indicate that the patient is at risk of death or developing more severe disease within one year possibly requiring hospital admission. Very poor prognosis indicates that the patient has a high probability of death or developing more severe disease within 90 days possibly requiring hospital admission. In one study patients with an ST2 level higher than 0.7 ng/ml had a mortality rate of over 30%.

In some embodiments, the subject exhibits one or more non-specific symptoms, e.g., chest pain or discomfort, shortness of breath (dyspnea), nausea, vomiting, eructation, sweating, palpitations, lightheadedness, fatigue, and fainting. In some embodiments, the symptom is dyspnea or chest pain.

In some embodiments, the subject does not have a cardiovascular disorder. In various embodiments, the subject has a pulmonary disorder, e.g., acute infection (e.g., pneumonia), chronic obstructive pulmonary disease (COPD), and pulmonary embolism.

In certain embodiments, the subject has a liver disorder, e.g., a liver disorder associated with chemotherapy, alcohol toxicity, or drug toxicity as determined by standard liver function laboratory tests.

In some embodiments, the methods further include determining the level of an adjunct (non-ST2, non-IL-33) biomarker, e.g., Troponin, NT-proBNP, BNP, proBNP, NT-proANP, proANP, ANP, CRP, D-dimers, BUN, albumin, liver function enzymes, measures of renal function, e.g., creatinine, creatinine clearance rate, or glomerular filtration rate, and/or bacterial endotoxin, in the sample; and comparing the level of the adjunct biomarker in the sample to a reference level of the adjunct biomarker. The level of the adjunct biomarker in the sample as compared to the reference, in combination with the level of ST2 in the sample as compared to an ST2 reference level, indicates whether the subject has an elevated risk of death within a specific time period, and/or has a present severe disease. In some embodiments, the methods include determining a change in levels over time (e.g., a ratio) for the adjunct biomarker, by comparing a first level, e.g., a baseline level, to a second level, e.g., a level taken some time later, e.g., one, two, three, four, or more days later. In embodiments where a ratio of ST2 is calculated, a ratio of an adjunct biomarker can also be calculated, e.g., based on the same time period as the ratio of ST2.

In some embodiments, the subject has a BMI of 25-29, a BMI of  $\geq 30$ , or renal insufficiency, e.g., the subject is selected on the basis that they have a BMI of 25-29, a BMI of  $\geq 30$ , or renal insufficiency.

In some embodiments, the methods include determining a level of ST2 and a level of IL-33 in the sample; determining a ratio of ST2:IL-33 in the sample; and comparing the ratio of ST2:IL-33 to a reference ratio. The ratio of ST2:IL-33 in the sample as compared to the reference ratio indicates whether the subject has an elevated risk of death within a specific time period, and/or has present severe disease.

In another aspect, the invention provides methods for evaluating the risk of death within a specific time period, e.g., 30, 60, 90, or 180 days (6 months), or one, two, or five years, e.g., for a subject who exhibits one or more non-specific symptoms. The methods include obtaining a sample from the subject; determining a biomarker level of ST2 and/or IL-33 in the sample, and optionally a level of NT-proBNP, proBNP, or BNP in the sample; and comparing the level of ST2 and/or IL-33 in the sample, and the level of NT-proBNP in the sample (if determined), to

corresponding reference levels. The level of ST2 and/or IL-33 in the sample, and the level of NT-proBNP, proBNP, or BNP in the sample, as compared to the respective reference levels indicate the subject's risk of death within the specific time period.

In some embodiments, the methods include determining levels of (i) NT-proBNP, proBNP, or BNP and (ii) ST2 in the sample. In some embodiments, the subject's risk of death within one year is as follows:

	<b>ST2 &lt; 0.20 ng/ml</b>	<b>ST2 ≥ 0.20 ng/ml</b>
<b>NT-proBNP &lt; 986 pg/ml</b>	Lowest Risk	Medium Risk
<b>NT-proBNP ≥ 986 pg/ml</b>	Medium Risk	Highest Risk

In certain embodiments, if the subject has the highest level of risk of death or hospital readmission, the subject is treated aggressively.

In a further aspect, the invention includes methods for monitoring a subject's condition, e.g., for deciding whether a subject has improved, e.g., improved sufficiently to discharge the subject from the hospital. The methods include determining a first level of (i) a non-ST2 biomarker, e.g., NT-proBNP, proBNP, or BNP and (ii) ST2 and/or IL-33 in the subject, e.g., a baseline level; and determining at least one subsequent level of (i) the non-ST2 biomarker, e.g., NT-proBNP, proBNP, or BNP and (ii) ST2 and/or IL-33 in the subject, e.g., a treatment level. Then, the first level and the subsequent levels are compared. If the level of ST2 and/or IL-33 decreases from the first to the subsequent levels, then the subject is likely to be improving and, if the level is low enough, then the subject has improved, e.g., improved sufficiently to be discharged, e.g., for outpatient treatment. In some embodiments, the methods include determining at least a first, second, and third level of (i) a non-ST2 biomarker, e.g., NT-proBNP, proBNP, or BNP and (ii) ST2 and/or IL-33 in the subject, and comparing the levels. A difference between the levels indicates whether the subject has improved sufficiently to be discharged. Thus, for example, a decision to discharge or continue to treat on an inpatient basis can be made as follows:

	<b>ST2 &gt; threshold</b>	<b>ST2 &lt; threshold</b>
<b>BNP &gt; threshold</b>	Prognosis very poor, requires intensive treatment, do not discharge	Patient may still need treatment, favorable prognosis, possibly discharge as outpatient
<b>BNP &lt; threshold</b>	Prognosis poor, patient may have complicating illness, if discharge monitor closely	Prognosis good

In some embodiments, the threshold for ST2 is 0.2 ng/ml, and the threshold for BNP is 986 pg/ml.

Also provided herein are kits including one or more antibodies that bind specifically to ST2 and/or one or more antibodies that bind specifically to IL-33, and instructions for performing one or more of the methods described herein.

In a further aspect, the invention provides methods for evaluating a subject's condition. The methods include obtaining a sample from the subject; determining a biomarker level of ST2 and/or IL-33 in the sample, and determining presence or a level of one or more, e.g., all, of the following other biomarkers:

- (i) NT-proBNP, proBNP, or BNP;
  - (ii) NT-proANP, proANP, or ANP;
  - (iii) cardiac troponin (cTn), e.g., cTnI;
  - (iv) D-dimers;
  - (v) C-reactive protein (CRP);
  - (vi) creatinine, creatinine clearance rate, or glomerular filtration rate;
  - (vii) Blood Urea Nitrogen (BUN);
  - (viii) bacterial endotoxin;
  - (ix) one or more liver function enzymes; and
- comparing the level of ST2 and/or IL-33 in the sample, and the level of the one or more other biomarkers in the sample, to corresponding reference levels. The level of ST2 and/or IL-33 in the sample, and the level of the other biomarker in the sample, as compared to the reference levels indicate the severity of the subject's condition.

In some embodiments, the methods described herein include measuring levels or ratios of ST2 and/or IL-33 in combination with BNP or NT-proBNP; with troponin, e.g., TnI or TnT; or with a measure of renal function, e.g., creatinine, creatinine clearance rate, or glomerular filtration rate.

In another aspect, the invention includes methods for evaluating the efficacy of a treatment in a subject. The methods include determining a first (e.g., baseline) level of circulating ST2 and/or IL-33 in a subject; comparing the first level of circulating ST2 and/or IL-33 in the subject to a predetermined reference level; 5 selecting the subject if the first level of ST2 is above the predetermined reference level; administering a treatment to the subject; determining a second level of circulating ST2 and/or IL-33 in a subject; and comparing the first and second levels of circulating ST2 and/or IL-33. A difference 10 between the first and second levels of circulating ST2 and/or IL-33 indicates the efficacy of the treatment in the subject. For example, a second level of circulating ST2 and/or IL-33 that is lower than the first level indicates that the treatment is effective.

As used herein, a “sample” includes any bodily fluid or tissue, e.g., one or 15 more of blood, serum, plasma, urine, and body tissue. In certain embodiments, a sample is a serum, plasma, or blood sample.

An antibody that “binds specifically to” an antigen, binds preferentially to the antigen in a sample containing other proteins.

The methods and kits described herein have a number of advantages. For 20 example, the methods can be used to determine whether a patient should be admitted or held as an inpatient for further assessment, regardless of whether a definitive diagnosis has been made. For example, the methods can be used for risk stratification of a given subject, e.g., to make decisions regarding the level of aggressiveness of treatment that is appropriate for the subject, based on their ST2 levels. Better 25 treatment decisions can lead to reduced morbidity and mortality, and better allocation of scarce health care resources. The methods described herein can be used to make general assessments as to whether a patient should be further tested to determine a specific diagnosis. The methods described herein can also be used for patient population risk stratification, e.g., to provide information about clinical performance 30 or expected response to a therapeutic intervention. The methods described herein can be used regardless of the underlying cause or ultimate diagnosis, and therefore are not limited to specific indications.

According to one aspect of the present invention, there is provided an in vitro method for evaluating the risk of death within a specified time period for a subject who has undiagnosed shortness of breath, undiagnosed chest pain, or undiagnosed chest discomfort, the method comprising: determining a level of soluble ST2 in a test sample from the subject;

5 determining a level of an adjunct biomarker in the test sample, wherein the adjunct biomarker is selected from the group consisting of: troponin, brain natriuretic peptide (BNP), proBNP, NT-proBNP, atrial natriuretic peptide (ANP), NT-proANP, proANP, C-reactive peptide (CRP), creatinine, creatinine clearance rate, glomerular filtration rate (GFR), D-dimers, Blood Urea Nitrogen (BUN), albumin, liver function enzymes, bacterial endotoxin, and any

10 combination of two or more of the above; comparing the level of soluble ST2 in the test sample to a reference level of soluble ST2; comparing the level of the adjunct biomarker in the test sample to a reference level of the adjunct biomarker; wherein the level of the adjunct biomarker in the test sample as compared to the reference level of the adjunct biomarker, in combination with the level of soluble ST2 in the test sample as compared to the reference

15 level of soluble ST2, indicates whether the subject has an increased risk of death within a specified time period.

According to another aspect of the present invention, there is provided an in vitro method for evaluating the risk of death within a specific time period for a subject who has undiagnosed shortness of breath, undiagnosed chest pain, or undiagnosed chest

20 discomfort, the method comprising determining a first level of soluble ST2 in a first sample obtained from the subject at a first time point, and a level of BUN in the first sample; determining a second level of soluble ST2 in a second sample obtained from the subject at a second time point; comparing the first and second levels of soluble ST2 to determine a test ratio of the second level of soluble ST2 to the first level of soluble ST2; comparing the level

25 of BUN in the first sample to a preselected BUN reference level; and comparing the test ratio to a reference ratio; wherein the test ratio, and the level of BUN in the first sample, as compared to the reference ratio and preselected BUN reference level, indicates the subject's risk of death within a specified time period.

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Unless otherwise defined, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Methods and materials are described herein for use in the present invention; other, suitable methods and materials known in the art can also  
5 be used. The materials, methods, and examples are illustrative only and not intended to be limiting. In case of conflict, the present specification, including definitions, will control.

Other features and advantages of the invention will be apparent from the following detailed description and figures, and from the claims.

10

#### DESCRIPTION OF DRAWINGS

FIG. 1 is a graph illustrating receiver operating characteristic analysis for ST2 and death within one year. ST2 was useful for this purpose, as indicated by the high area under the curve (AUC).

15 FIG. 2 is a bar graph illustrating the crude rates of death across deciles of ST2 in the ProBNP Investigation of Dyspnea in the Emergency Department (PRIDE) study cohort. A clear threshold effect is noted at decile 5, corresponding to an ST2 concentration of 0.23 ng/ml for the particular assay.

20 FIGs. 3A and 3B are a pair of Kaplan-Meier hazard curves depicting the rates of death from presentation to one year of follow up in patients with dyspnea, stratified as a function of ST2 concentrations. Among dyspneic patients with ST2 concentrations of  $\geq 0.20$  ng/ml, a high rate of mortality was noted within days of presentation, and extending to a full year from presentation. The rates of death were similar among those with (3A) and without (3B) acute heart failure (all Log-rank p values  $< 0.001$ ).

25 FIG. 4 is a bar graph illustrating mortality rates as a function of marker concentrations for NT-proBNP and ST-2.

FIG. 5 is receiver operating curve (ROC) of specificity versus sensitivity, for change in ST2 (light grey line) and change in BNP (dark line).

30 FIG. 6 is a combination bar and line graph. The bars illustrate the percent mortality in populations with the indicated levels of BUN and ST2 ratios. The line indicates the number of patients that are in each category.

FIG. 7A is a line graph of average ST2 values for survivors (light grey squares) and non-survivors (dark diamonds) on each day of hospitalization.

FIGs. 7B-7D are whisker box plots bracketing the 25th and 75th percentiles of ST2 levels (7B), BNP concentration (7C), and NT-proBNP (7D), plotted against time (over 6 days of hospitalization); S = survivors, D = decedents.

FIG. 8 is a line graph of ratios of ST2 values for survivors (light grey squares) and non-survivors (dark diamonds) as compared to baseline (around admission) on each day of hospitalization.

FIG. 9 is a ROC for ST2 ability to predict death by one year in PRIDE subjects with a pulmonary diagnosis. Area under ROC = 0.73; 95% CI = 0.62-0.83;  $P < 0.0001$ ; Optimal cut: 0.20 ng/ml; 88% sensitive, 52% specific; PPV = 22%; NPV = 96%.

FIG. 10 is a box graph illustrating the correlation between ST2 concentrations and risk of death within one year in PRIDE subjects with a pulmonary diagnosis.

FIG. 11 is a line graph illustrating the mortality rate as a function of ST2 concentration in PRIDE subjects with a pulmonary diagnosis.  $P < 0.001$ .

FIGs. 12A-B are box graphs illustrating mean Glomerular Filtration Rate (GFR, 12A) and ST2 levels (12B) in a population of 133 subjects with moderate to severe renal insufficiency.

FIG. 13 is a bar graph illustrating the distribution of ST2 levels in the population described in Example 8.

## DETAILED DESCRIPTION

Clinical evaluation of patients, particularly patients with non-specific symptoms such as dyspnea or chest pain, is often challenging. The results described herein provide evidence that ST2 is useful in the prognostic evaluation of patients, regardless of the underlying cause of their disease. ST2 is a powerful indicator of severe disease and imminent death, as demonstrated herein in several completely different populations with completely different symptoms (see Examples 1-6).

### Predicting Mortality

Elevated concentrations of ST2 are markedly prognostic for death within one year, with a dramatic divergence in survival curves for those with elevated ST2 soon after presentation, regardless of the underlying diagnosis. As one example, there is a

dramatic relationship between elevations of ST2 and the risk for mortality within one year following presentation with dyspnea. The relationship between ST2 and death in dyspneic patients was independent of diagnosis, and superseded all other biomarker predictors of mortality in this setting, including other markers of inflammation, myonecrosis, renal dysfunction, and most notably NT-proBNP, a marker recently described as having value for predicting death in this population (Januzzi et al., Arch. Intern. Med. 2006;166(3):315-20). Indeed, most of the mortality in the study was concentrated among subjects with elevated ST2 levels at presentation; however, the combination of an elevated ST2 and NT-proBNP was associated with the highest rates of death within one year.

Such a multi-marker approach for risk stratification has been proposed for patients with acute coronary syndromes (Sabatine et al., Circulation 2002;105(15):1760-3), but no such strategy has yet been proposed for the evaluation for the patient with non-specific symptoms such as undifferentiated dyspnea or general complaint of chest pain.

#### **Determining Severity of Disease**

Elevated concentrations of ST2 are correlated with the presence of severe disease in a subject, regardless of the underlying cause of the disease. As one example, in a population of patients presenting with chest pain, the highest levels of disease were associated with severe disease including chronic obstructive pulmonary disease (COPD), lymphoma, sepsis, alcohol abuse, and pulmonary embolism (see Example 5).

Therefore, for undiagnosed subjects, the methods described herein can be used to determine how aggressively a diagnosis should be sought; a high ST2 level would indicate the presence of severe disease, and suggest that the subject should be treated as a high-risk case. For subjects with a known diagnosis, the methods described herein can be used to help determine the severity of the underlying pathology; again, a higher ST2 level is associated with more severe disease.

30

#### **General Methodology**

In general, the methods described herein include evaluating circulating levels (e.g., levels in blood, serum, plasma, urine, or body tissue) of ST2 and/or IL-33 in a

subject, e.g., a mammal, e.g., a human. These levels provide information regarding the subject's likelihood of experiencing an adverse outcome, e.g., mortality, e.g., within a specific time period, e.g., 30 days, 60 days, 90 days, 6 months, one year, two years, three years, or five years. These levels also provide information regarding the severity of disease in the subject. In some embodiments, the level of ST2 and/or IL-33 is determined once, e.g., at presentation. In some embodiments, the level of ST2 and/or IL-33 is determined 2, 4, 6, 8, 12, 18, and/or 24 hours, and/or 1-7 days after the onset of symptoms. Where more than one level is determined, a ratio of ST2 can be calculated that quantifies whether and how much the level of ST2 in the subject has increased or decreased.

In some embodiments, the level of ST2 and/or IL-33 is determined more than once; in that case, the higher measurement, or the most recent measurement, can be used. In embodiments where the level of ST2 and/or IL-33 is determined more than once, the highest level can be used, or the difference between the levels (i.e., the magnitude and direction of the difference) can be determined and used. Thus, a ratio of ST2 can be determined that represents the change (e.g., the magnitude and direction, e.g., increase or decrease) in ST2 levels over time, e.g., over the course of a few days, e.g., 3 days or more, or over the course of weeks or months; the ratio is indicative of the subject's risk level and the presence of severe disease. Levels of ST2 and/or IL-33 can also be determined multiple times to evaluate a subject's response to a treatment. For example, a biomarker level of ST2 and/or IL-33 taken after administration of a treatment, e.g., one or more doses or rounds of a treatment, can be compared to levels of ST2 and/or IL-33 before the treatment was initiated. The difference between the ST2 levels would indicate whether the treatment was effective; e.g., a reduction in ST2 levels would indicate that the treatment was effective. The difference between the ST2 levels can also be used to monitor a subject's condition, e.g., to determine if the subject is improving, e.g., improving enough to be discharged from a hospital, to be treated less aggressively, or to be followed up at greater time intervals.

Evaluating circulating levels of ST2 and/or IL-33 in a subject typically includes obtaining a biological sample, e.g., serum, plasma or blood, from the subject. Levels of ST2 and/or IL-33 in the sample can be determined by measuring levels of polypeptide in the sample, using methods known in the art and/or described herein,

e.g., immunoassays such as enzyme-linked immunosorbent assays (ELISA). Alternatively, levels of ST2 and/or IL-33 mRNA can be measured, again using methods known in the art and/or described herein, e.g., by quantitative PCR or Northern blotting analysis.

5           Once a level or ratio of ST2 and/or IL-33 has been determined, the level or ratio can be compared to a reference level or ratio. In some embodiments, e.g., where the level of ST2 is determined using an ELISA, e.g., as described in Example 1, the reference level will represent a threshold level, above which the subject has an increased risk of death, and/or has a severe disease. The reference level chosen may  
10       depend on the methodology used to measure the levels of ST2. For example, in some embodiments, where circulating levels of soluble ST2 are determined using an immunoassay, e.g., as described herein, the reference level is about 0.20, 0.23, or 0.29 ng/ml of serum, and a level of ST2 above that reference level indicates that the subject has an increased risk of death, and/or has a severe disease.

15           Where a ratio has been determined, e.g., using a first and second measurement of ST2, the reference ratio will represent an amount and direction of change that indicates whether the subject has an increased risk of death and/or has a severe disease. As one example, an ST2 ratio can be calculated based on a first measurement, e.g., a baseline measurement taken when a subject presents for  
20       treatment, e.g., to an ED, and a second measurement, e.g., a measurement taken about three to four days later. If the ratio of first and second ST2 levels over time is about 0.85 or higher, i.e., the ST2 levels have decreased less than about 15% (or have stayed the same or increased), then the subject has a very high risk of imminent death. Ratios below about 0.85 (where the ST2 levels have decreased more than about 15%)  
25       indicate that the subject has a lower risk of imminent death.

          This information allows a treating physician to make more accurate treatment decisions; for example, when the results of the determination indicate that the subject has a level equal to or above a reference level, e.g., above about 0.20 ng/ml, 0.23 ng/ml, or 0.29 ng/ml of serum, or a ratio above a reference ratio, the subject may be  
30       admitted to the hospital as an inpatient, e.g., in an acute or critical care department. In some embodiments, comparison of ST2 to a reference level or ratio can be used to determine a subject's prognosis. For example, when the level of ST2 is measured using an ELISA, e.g., as described herein in Example 1, the reference level can be

used to determine prognosis as follows: an ST2 < about 0.20 ng/ml or 0.23 ng/ml indicates that the subject has a good prognosis, e.g., is likely to recover; an ST2 of from about 0.20 ng/ml or 0.23 ng/ml to about 0.7 ng/ml indicates that the subject has a poor prognosis, e.g., is less likely to recover. Finally, an ST2 of greater than about 5 0.7 ng/ml indicates a very poor prognosis, e.g., the subject is not likely to recover. As another example, a ratio of first and second ST2 levels over time above about 0.85 indicates a poor prognosis, while a ratio of about 0.85 or below indicates a good prognosis.

Additional testing may be performed, to determine the subject's actual 10 condition. More aggressive treatment may be administered either before or after additional testing. For example, in the case of a suspected MI the subject may be sent for more extensive imaging studies and/or cardiac catheterization.

In some embodiments, both levels of ST2 and IL-33 are determined, and the information from the comparison of both biomarkers with their respective reference 15 levels provides cumulative information regarding an increased risk of death, and/or presence of a severe disease in the subject. In some embodiments, the ratio of ST2 to IL-33 may be determined, and the ratio compared to a reference ratio that represents a threshold ratio above which the subject has an increased risk of death, and/or has a severe disease. In some embodiments, the presence of IL-33/ST2 complexes is 20 detected, and the level of such complexes is indicative of risk of death and/or the presence of severe disease.

In some embodiments, the methods include the use of additional diagnostic methods to identify underlying pathology. Any diagnostic methods known in the art can be used, and one of skill in the art will be able to select diagnostic methods that 25 are appropriate for the subject's symptoms. In some embodiments, the methods described herein include other diagnostic methods in addition to or as an alternative to the measurement of other biomarkers, e.g., physical measurements of lung function or cardiac function as are known in the art.

For example, the methods described herein include measuring levels of ST2 30 and/or IL-33 and one or more additional biomarkers that aid in the subject's diagnosis. As one example, for a subject who has chest pain or dyspnea, biomarkers indicative of cardiac disease can be measured, e.g., cardiac troponin (cTn), e.g., cTnI, BNP, and/or ANP; alternatively or in addition, biomarkers of pulmonary disease can be measured,

e.g., D-dimers for pulmonary embolism. Thus, in subjects presenting with symptoms that include MI in their differential diagnoses, the methods can include measuring levels of, cTnI, BNP or NTproBNP or proBNP in addition to ST2 and/or IL-33, to determine whether the subject is having an MI. In subjects presenting with symptoms  
5 that include heart failure (HF) in their differential diagnoses, the methods can include measuring levels of BNP or NTproBNP or proBNP in addition to ST2 and/or IL-33, to determine whether the subject is having HF. In subjects presenting with symptoms that include COPD in their differential diagnoses, the methods can include measuring lung function in addition to levels of ST2 and/or IL-33, to determine whether the  
10 subject has COPD. One of skill in the art will appreciate that there are a number of additional diagnostic methods that can be applied, depending on the situation and the subject's condition. In some embodiments, the methods include measuring levels of BUN, and the presence of elevated BUN and elevated ST2 places the subject in the highest risk category.

15

## ST2

The ST2 gene is a member of the interleukin-1 receptor family, whose protein product exists both as a trans-membrane form, as well as a soluble receptor that is detectable in serum (Kieser et al., *FEBS Lett.* 372(2-3):189-93 (1995); Kumar et al., *J. Biol. Chem.* 270(46):27905-13 (1995); Yanagisawa et al., *FEBS Lett.* 302(1):51-3 (1992); Kuroiwa et al., *Hybridoma* 19(2):151-9 (2000)). ST2 was recently described to be markedly up-regulated in an experimental model of heart failure (Weinberg et al., *Circulation* 106(23):2961-6 (2002)), and preliminary results suggest that ST2 concentrations may be elevated in those with chronic severe HF (Weinberg et al.,  
20 *Circulation* 107(5):721-6 (2003)) as well as in those with acute myocardial infarction (MI) (Shimpo et al., *Circulation* 109(18):2186-90 (2004)).

The trans-membrane form of ST2 is thought to play a role in modulating responses of T helper type 2 cells (Lohning et al., *Proc. Natl. Acad. Sci. U. S. A.* 95(12):6930-5 (1998); Schmitz et al., *Immunity* 23(5):479-90 (2005)), and may play a  
30 role in development of tolerance in states of severe or chronic inflammation (Brint et al., *Nat. Immunol.* 5(4):373-9 (2004)), while the soluble form of ST2 is up-regulated in growth stimulated fibroblasts (Yanagisawa et al., 1992, *supra*). Experimental data suggest that the ST2 gene is markedly up-regulated in states of myocyte stretch

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(Weinberg et al., 2002, supra) in a manner analogous to the induction of the BNP gene (Bruneau et al., *Cardiovasc. Res.* 28(10):1519-25 (1994)).

Tominaga, *FEBS Lett.* 258:301-304 (1989), isolated murine genes that were specifically expressed by growth stimulation in BALB/c-3T3 cells; they termed one  
5 of these genes St2 (for Growth Stimulation-Expressed Gene 2). The St2 gene encodes two protein products: ST2, which is a soluble secreted form; and ST2L, a transmembrane receptor form that is very similar to the interleukin-1 receptors. The HUGO Nomenclature Committee designated the human homolog, the cloning of which was described in Tominaga et al., *Biochim. Biophys. Acta.* 1171:215-218  
10 (1992), as Interleukin 1 Receptor-Like 1 (IL1RL1). The two terms are used interchangeably herein.

The mRNA sequence of the shorter, soluble isoform of human ST2 can be found at GenBank Acc. No. NM\_003856.2, and the polypeptide sequence is at GenBank Acc. No. NP\_003847.2; the mRNA sequence for the longer form of human  
15 ST2 is at GenBank Acc. No. NM\_016232.4; the polypeptide sequence is at GenBank Acc. No. NP\_057316.3. Additional information is available in the public databases at GeneID: 9173, MIM ID # 601203, and UniGene No. Hs.66. In general, in the methods described herein, the soluble form of ST2 polypeptide is measured.

Methods for detecting and measuring ST2 are known in the art, e.g., as  
20 described in U.S. Pat. Pub. Nos. 2003/0124624, 2004/0048286 and 2005/0130136. Kits for measuring ST2 polypeptide are also commercially available, e.g., the ST2 ELISA Kit manufactured by Medical & Biological Laboratories Co., Ltd. (MBL International Corp., Woburn, MA), no. 7638. In addition, devices for measuring ST2 and other biomarkers are described in U.S. Pat. Pub. No. 2005/0250156.

25

In some embodiments, the methods include determining the identity of the nucleotide sequence at RefSNP ID: rs1041973.

### IL-33

30 IL-33 was recently identified as the ligand for ST2, and the presence of increased levels of IL-33 in various inflammatory disorders has been described (see Schmitz et al., *Immunity* 23(5):479-90 (2005); U.S. Pat. Pub. No. 2005/0203046). In

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the methods described herein, IL-33 can be measured instead of or in addition to ST2. The ratio of ST2 to IL-33 can also be determined.

The nucleic acid sequence of IL-33 can be found at GenBank Acc. No. NM\_033439.2, and the polypeptide sequence is at GenBank Acc. No. NP\_254274.1. Additional information is available in the public databases at GeneID: 90865, MIM ID # \*608678, and UniGene No. Hs.348390. IL-33 is also known as Chromosome 9 Open Reading Frame 26 (C9ORF26); Nuclear Factor from High Endothelial Venules (NFHEV); and Interleukin 33. See also Baekkevold et al., *Am. J. Path.* 163: 69-79 (2003).

Methods for measuring levels of IL-33 are known in the art, see, e.g., Schmitz et al., *Immunity*. 23(5):479-90 (2005), and U.S. Pat. Pub. No. 2005/0203046.

#### Other Biomarkers

The methods described herein can also include measuring levels of other biomarkers in addition to ST2 and/or IL-33. Suitable biomarkers include proBNP, NT-proBNP, BNP, NT-proANP, proANP, ANP, troponin, CRP, IL-6, D-dimers, BUN, liver function enzymes, albumin, measures of renal function, e.g., creatinine, creatinine clearance rate, or glomerular filtration rate, and/or bacterial endotoxin. Methods for measuring these biomarkers are known in the art, see, e.g., U.S. Pat. Pub. Nos. 2004/0048286 and 2005/0130136 to Lee et al.; Dhalla et al., *Mol. Cell. Biochem.* 87:85-92 (1989); Moe et al., *Am. Heart J.* 139:587-95 (2000); Januzzi et al., *Eur. Heart J.* 27(3):330-7 (2006); Maisel et al., *J. Am. Coll. Cardiol.* 44(6):1328-33 (2004); and Maisel et al., *N. Engl. J. Med.* 347(3):161-7 (2002). Liver function enzymes include alanine transaminase (ALT); aspartate transaminase (AST); alkaline phosphatase (ALP); and total bilirubin (TBIL).

In these embodiments, levels of ST2 and/or IL-33 and one or more additional biomarkers are determined, and the information from the comparison of the biomarkers with their respective reference levels provides additional information regarding the subject's risk of death and/or the presence of a severe disease in the subject, which may provide more accurate and specific information regarding the subject's risk. The levels can then be compared to a reference ratio that represents a

threshold ratio above which the subject has an increased risk of death, and/or has a severe disease.

As one example, the methods can include determining levels of NT-proBNP and ST2. The levels indicate the subject's risk of death, e.g., as shown in Table 1A.

5

**Table 1A: Risk of Death Based on Circulating Levels of NT-proBNP and ST2**

	ST2 < 0.20 ng/ml	ST2 ≥ 0.20 ng/ml
NT-proBNP < 986 pg/ml	Lowest Risk	Medium Risk
NT-proBNP ≥ 986 pg/ml	Medium Risk	Highest Risk

As shown in Table 1A, the lowest risk of death, e.g., no greater risk of death than in normal patients or healthy individuals, occurs when both ST2 and NT-proBNP levels are low, and the highest risk of death, i.e., a statistically significantly increased risk, e.g., greater than 20% increased risk of death, e.g., a greater than 30, 40, or 50% higher risk than a normal patient or healthy individual, occurs when both ST2 and NT-proBNP levels are high.

As another example, the methods can include determining levels of ST2 and BUN. The levels indicate the subject's risk of death, e.g., as shown in Table 1B.

15

**Table 1B: Risk of Death Based on Circulating Levels of BUN and ST2**

	ST2 < 0.20 ng/ml	ST2 ≥ 0.20 ng/ml
BUN < 40 mg/dL	Lowest Risk	Medium Risk
BUN ≥ 40 mg/dL	Medium Risk	Highest Risk

As shown in Table 1B, the lowest risk of death, e.g., no greater risk of death than in normal patients or healthy individuals, occurs when both ST2 and BUN levels are low, and the highest risk of death, e.g., a statistically significantly increased risk of death, e.g., a risk greater than 30, 40, or 50% higher risk than a normal patient or healthy individual, occurs when both ST2 and BUN levels are high.

20

#### Selecting a Treatment – Aggressive vs. Conservative

Once it has been determined that a subject has a circulating level of ST2 and/or IL-33 above a predetermined reference level, the information can be used in a

25

variety of ways. For example, if the subject has elevated ST2 levels, e.g., as compared to a reference level, a decision to treat aggressively can be made, and the subject can be, e.g., admitted to a hospital for treatment as an inpatient, e.g., in an acute or critical care department. Portable test kits could allow emergency medical  
5 personnel to evaluate a subject in the field, to determine whether they should be transported to the ED. Triage decisions, e.g., in an ED or other clinical setting, can also be made based on information provided by a method described herein. Those patients with high ST2 and/or IL-33 levels can be prioritized over those with lower ST2 or IL-33 levels.

10 The methods described herein also provide information regarding whether a subject is improving, e.g., responding to a treatment, e.g., whether a hospitalized subject has improved sufficiently to be discharged and followed on an outpatient basis. In general, these methods will include determining the levels of ST2 and/or IL-33 in the subject multiple times. A decrease in ST2 and/or IL-33 levels over time  
15 indicates that the subject is likely to be improving. The most recent levels of ST2 and/or IL-33 can also be compared to a threshold, as described herein, to determine whether the subject has improved sufficiently to be discharged.

The subject may also be considered for inclusion in a clinical trial, e.g., of a treatment that carries a relatively high risk. The subject can be treated with a regimen  
20 that carries a relatively higher risk than would be considered appropriate for someone who had a lower risk of imminent mortality, e.g., mortality within 30 days or within 1 year of presentation.

Beyond the clinical setting, information regarding a subject's ST2 and/or IL-33 can be used in other ways, e.g., for payment decisions by third party payors, or for  
25 setting medical or life insurance premiums by insurance providers. For example, a high level of ST2 and/or IL-33, e.g., a level above a predetermined threshold level, may be used to decide to increase insurance premiums for the subject.

### **Patient Populations**

30 The methods described herein are useful in a wide variety of clinical contexts. For example, the methods can be used for general population screening, including screening by doctors, e.g., in hospitals and outpatient clinics, as well as the ED. As

one example, levels of ST2 and/or IL-33 can be determined at any time, and if ST2 and/or IL-33 is elevated, the physician can act appropriately.

Although the methods described herein can be used for any subject, at any time, they are particularly useful for those subjects for whom a diagnosis, or the severity of a condition, is difficult to determine. For example, such subjects may present with non-specific symptoms, e.g., symptoms that do not indicate a specific diagnosis. Non-specific symptoms include, but are not limited to, chest pain or discomfort, shortness of breath, nausea, vomiting, eructation, sweating, palpitations, lightheadedness, fatigue, and fainting. Each symptom can have varied etiology.

#### 10 *Chest Pain*

Chest pain is the chief complaint in about 1 to 2 percent of outpatient visits, and although the cause is often noncardiac, heart disease remains the leading cause of death in the United States. Therefore, distinguishing between serious and benign causes of chest pain is crucial. The methods described herein are useful in making this determination.

A subject presenting to the ED with chest pain may have esophageal pain, an ulcer, acute lung problems such as pulmonary embolus (PE) (potentially fatal), rupturing or dissecting aneurysm (highly lethal), gall bladder attack, pericarditis (inflammation of the sack around the heart), angina pectoris (cardiac pain without damage), or an MI (potentially fatal). A precise diagnosis may be difficult to make immediately, but the decision whether to admit the subject or to treat them conservatively should generally be made immediately. If the methods described herein indicate that the subject has an increased risk of an adverse clinical outcome, e.g., imminent mortality or severe disease, then the decision can be made to treat the subject aggressively, to potentially prevent the adverse outcome.

Additional information about treatment and diagnosis of chest pain may be found, e.g., in Cayley, Am. Fam. Phys. 72(10):2012-2028 (2005).

#### *Dyspnea*

Dyspnea, or shortness of breath (also defined as abnormal or uncomfortable breathing), is a common symptom of subjects on presentation to the ED. The differential diagnosis for dyspnea includes four general categories: (1) cardiac, (2) pulmonary, (3) mixed cardiac or pulmonary, and (4) noncardiac or nonpulmonary.

Cardiac causes of dyspnea include right, left, or biventricular congestive heart failure with resultant systolic dysfunction, coronary artery disease, recent or remote myocardial infarction, cardiomyopathy, valvular dysfunction, left ventricular hypertrophy with resultant diastolic dysfunction, asymmetric septal hypertrophy, pericarditis, and arrhythmias.

Pulmonary causes include obstructive (e.g., chronic obstructive pulmonary disease (COPD) and asthma) and restrictive processes (e.g., extrapulmonary causes such as obesity, spine or chest wall deformities, and intrinsic pulmonary pathology such as interstitial fibrosis, pneumoconiosis, granulomatous disease or collagen vascular disease).

Mixed cardiac and pulmonary disorders include COPD with pulmonary hypertension and cor pulmonale, deconditioning, pulmonary emboli, and trauma.

Noncardiac or nonpulmonary disorders include metabolic conditions such as anemia, diabetic ketoacidosis and other, less common causes of metabolic acidosis, pain in the chest wall or elsewhere in the body, and neuromuscular disorders such as multiple sclerosis and muscular dystrophy. Obstructive rhinolaryngeal problems include nasal obstruction due to polyps or septal deviation, enlarged tonsils, and supraglottic or subglottic airway stricture.

Dyspnea can also present as a somatic manifestation of psychiatric disorders, e.g., an anxiety disorder, with resultant hyperventilation.

Additional information regarding the evaluation and treatment of dyspnea can be found, e.g., in Morgan and Hodge, *Am. Fam. Phys.* 57(4):711-718 (1998).

#### *Special Populations*

Certain populations of subjects may benefit particularly from the methods described herein. These subjects include people for whom BNP or NT-proBNP is less useful, such as in those with impaired renal function (Anwaruddin et al., *J. Am. Coll. Cardiol.* 47(1):91-7 (2006); McCullough et al., *Am. J. Kidney Dis.* 41(3):571-9 (2003)), or in those who are overweight (Body Mass Index (BMI) of 25-29) or obese (BMI  $\geq$  30) (Krauser et al., *Am. Heart J.* 149(4):744-50 (2005); McCord et al., *Arch. Intern. Med.* 164(20):2247-52 (2004)). It is known and accepted in the field that patients with a high BMI usually have levels of natriuretic peptide that are lower than expected relative to a normal body mass patient for the same level of disease; the exact mechanism for this phenomenon is not known. It has been shown that

circulating levels of ST2 are not influenced by BMI, therefore, the determination of ST2 levels is more useful than natriuretic peptide levels in subjects with high BMI. Thus, the methods described herein can include determining a subject's BMI, and if the subject is overweight or obese, selecting the patient for determination of ST2  
5 and/or IL-33 levels, as described herein.

### EXAMPLES

The invention is further described in the following examples, which do not limit the scope of the invention described in the claims.

#### **Example 1: Sandwich ELISA Assay**

10 This example uses the ST2 ELISA Kit manufactured by Medical & Biological Laboratories Co., Ltd. (MBL International Corp., Woburn, MA), no. 7638. This kit is a sandwich ELISA assay utilizing monoclonal antibodies for both capture and detection. This procedure is intended to analyze a full plate of samples assayed in replicates at a 1:3 dilution factor and closely follows the manufacturers' protocol.  
15 Kits should be stored at 4°C until use. The procedure described in this example is optimized for human serum or plasma collected in citrate or EDTA anticoagulant tubes. Plasma collected in heparin anticoagulant tubes should not be used in this assay as heparin binds ST2 and inhibits measurement by this ELISA protocol. Plasma or serum samples may be used fresh or stored frozen. This assay is not adversely  
20 effected by up to 3 freeze and thaw cycles of plasma samples.

Reagents should be prepared fresh from a new kit immediately before performing the assays. Allow the kit to equilibrate to room temperature prior to use. Reagents not explicitly discussed below are provided by the manufacturer ready to use.

- 25 1. Wash solution – wash solution is provided by the manufacturer as a 10X concentrate solution. To make 1 liter of wash solution dilute 100 ml of the 10X concentrate provided with 900 ml of distilled water.
2. Detector solution – the detector solution is prepared by diluting the detector concentrate 1:101 with the detector diluent. For a full 96 well plate of samples  
30 10 ml of detector solution is required. To prepare 10 ml of detector solution use a pipette to transfer 10 ml of the blue colored detector diluent to a 15 ml

orange top polypropylene tube. Add 100 µl of the detector concentrate to this volume of detector diluent.

- a. NOTE: this reagent should be prepared during the first assay incubation step.

- 5 3. Calibrator stock – reconstitute the calibrator protein by dissolving the lyophilized protein in the amount of distilled water defined by the manufacturer for this manufacturing lot to yield a stock solution of 8 ng/ml. This volume specification is included in the product insert.

***Preparation of standards and samples:***

- 10 • All of the following should be prepared in labeled 1.5 ml polypropylene tubes to be transferred to the assay plate with the P200 pipetter.

**Standards:**

The standard curve is prepared by making 2 fold serial dilutions of the 8 ng/ml stock solution.

- 15 1. Using a P1000 pipette transfer 250 µl of Assay Diluent to 8 1.5 ml polypropylene tubes labeled S1-S8.
2. Using the same P1000 pipette transfer 250 µl of the 8 ng/ml Calibrator stock solution to tube S1. This tube is now 4 ng/ml calibrator protein.
  - a. Mix thoroughly by gently pipetting 3 times being careful not to create  
20 bubbles.
3. Using the same P1000 pipette and a fresh tip for each of the following transfer 250 µl of the reagent in tube S1 to tube S2, repeat the mixing.
4. Repeat step 3 for S2 to S3, S3 to S4, S4 to S5, S5 to S6 and S6 to S7. S8 will be the reagent blank so do not transfer the calibrant protein to this well.
  - 25 a. Tubes S1-S6 and S8 will now have 250 µl of reagent and tube S7 will have 450 µl

**Samples:**

The plate is set up so that each sample is analyzed as a 1:3 dilution in duplicate.

- 30 1. Label a 1.5 ml polypropylene tube for each sample.
2. Using the P200 pipette transfer 160 µl of Assay Diluent to each tube.
3. Using a P200 pipette transfer 80 µl of serum or plasma from sample 1 to tube
  1. Mix carefully by pipetting 3 times without making bubbles.

4. Continue transferring samples to the sample tubes by repeating step 2 for each sample.

***Procedure:***

- 5 1. Use the P200 pipette transfer the standards and diluted serum samples quickly to the 96 well assay plate, as shown in Table 2.
  - a. Set the P200 pipette for 100  $\mu$ l
  - b. Transfer 100  $\mu$ l of the standard curve dilutions to each of columns 1 & 2 in the assay plate
  - c. Transfer 100  $\mu$ l of each of the serum samples to the assay plate in  
10 exactly the same positions as shown in the plate map below.
2. Cover the assay plate with the provided shield and incubate at room temperature for 60 minutes.
3. Using the plate autowasher wash the plate 4 times.
4. Detector: using the 8 channel multichannel pipette transfer 100  $\mu$ l of the  
15 detector solution to each well and incubate at room temperature for 60 minutes.
  - a. NOTE: this reagent was to be prepared during the first incubation step.
  - b. NOTE: use a disposable reagent vessel for this reagent addition.  
ALWAYS use a fresh disposable reagent vessel for each reagent. It is  
20 not necessary to change pipette tips during this step.
5. Wash the plate as in step 3
6. Substrate: using the 8 channel multichannel pipette transfer 100  $\mu$ l of the Substrate to each well and incubate at room temperature for 30 minutes.
  - a. The Substrate reagent is provided ready to use by the manufacturer.
- 25 7. Stop: at the completion of the Substrate incubation using the 8 channel multichannel pipette transfer 100  $\mu$ l of the Stop solution to each well.
  - a. The Stop Solution reagent is provided ready to use by the manufacturer.
8. Read the plate at 450 nm with background correction at 620 nm.
  - a. The plate should be read within 30 minutes after stopping the reaction.
- 30 9. Enter the absorbance readings in the provided spreadsheet for analysis.

**Table 2: Map of Exemplary 96 Well Assay Plate**

	1	2	3	4	5	6	7	8	9	10	11	12
A	4.0		1	1	9	9	17	17	25	25	33	33
B	2.0		2	2	10	10	18	18	26	26	34	34
C	1.0		3	3	11	11	19	19	27	27	35	35
D	0.5		4	4	12	12	20	20	28	28	36	36
E	0.25		5	5	13	13	21	21	29	29	37	37
F	0.125		6	6	14	14	22	22	30	30	38	38
G	0.0625		7	7	15	15	23	23	31	31	39	39
H	0.0		8	8	16	16	24	24	32	32	40	40

Table 2 is a map of an exemplary 96 well assay plate, with control reactions in column 1, and each sample 1-40 analyzed in duplicate in columns 3-12.

5 **Example 2. Measurement of Soluble ST2 Concentrations for the Evaluation of Patients with Acute Dyspnea**

In this example, the utility of ST2 measurement for evaluation of dyspneic patients was assessed.

10 The subjects used in this Example took part in the ProBNP Investigation of Dyspnea in the Emergency Department (PRIDE) Study, a prospective, blinded study of 599 dyspneic subjects presenting to the ED of the Massachusetts General Hospital, and was performed for the purpose of validation of the diagnostic and prognostic use of NT-proBNP testing. The results of the PRIDE study were recently reported (Januzzi et al., Am. J. Cardiol. 95(8):948-54 (2005)).

15 The gold standard for the diagnosis of acute HF was based on the impression of reviewing physicians, blinded to NT-proBNP values, who had all available information from presentation through the 60-days of follow-up; for the few patients in whom a diagnosis was uncertain, the reviewers were instructed to utilize the guidelines as reported by the Framingham Heart Study (McKee et al., N. Engl. J. Med. 285(26):1441-6 (1971)).

20 As reported, 209 subjects (35%) in the PRIDE study were adjudicated to have dyspnea due to acute destabilized HF, of whom 17 had mild (Class II) symptoms by the New York Heart Association (NYHA) classification, 80 had moderate (Class III) symptoms, and 112 had severe (Class IV symptoms). Of those without acute HF, the most common diagnoses were exacerbation of obstructive airways disease (n = 150;

includes exacerbation of chronic obstructive pulmonary disease (n = 120) and asthma (n = 30) as well as acute pneumonia (n = 64).

At the end of one year, the managing physician for each patient was contacted to ascertain the vital status of the patient. As reported, follow-up at one year was  
5 complete in 597 subjects overall (Januzzi et al., Arch Intern Med 2006;166(3):315-20).

NT-proBNP was measured in the PRIDE study using a commercially available immunoassay (ELECSYS<sup>®</sup> ProBNP assay, Roche Diagnostics, Indianapolis, IN), using established methodology. In the PRIDE study, the assay had inter-run  
10 coefficients of variation of 0.9%. Blood collected at the time of presentation was later analyzed for concentrations of ST2, using an enzyme-linked immunosorbent assay (Medical & Biological Laboratories Co., Ltd.), as described in Example 1 herein. This assay utilizes monoclonal antibodies to human ST2 for both capture and  
15 detection, and had a relative percent difference of 17.5% in the present analysis. The plasma used for the present study had been previously subjected to a single freeze-thaw cycle.

#### ***Comparisons Between Groups***

Comparisons of clinical characteristics between patients were performed utilizing chi-square tests for categorical data and the Wilcoxon rank-sum test for  
20 continuous data. Comparisons of ST2 concentrations between diagnostic, New York Heart Association (NYHA) symptom classes and outcome categories were performed using non-parametric testing.

#### ***Correlations***

ST2 and NT-proBNP results were log-transformed to establish normal  
25 distribution. Correlations between these log-transformed variables were evaluated with the Spearman correlation coefficient. There was a modest correlation between concentrations of log-transformed ST2 and log-NT-proBNP in all subjects ( $r = 0.58$ ,  $p < 0.001$ ), those without acute HF ( $r = 0.47$ ,  $p < 0.001$ ) and those with acute HF ( $r = 0.40$ ,  $p < 0.001$ ).

#### ***Cut-point analyses***

30 Patient characteristics as a function of an ST2 concentration of above or below 0.20 ng/ml are detailed in Table 3, which demonstrates the expected prevalence of factors consistent with a diagnosis of incident HF.

**Table 3: Characteristics of Study Subjects as a Function of ST2 Concentrations**

<b>Characteristic</b>	<b>ST2 ≥ 0.2 ng/ml (n = 320)</b>	<b>ST2 &lt; 0.2 ng/ml (n = 279)</b>	<b>P value</b>
Age (mean ± SD), years	67.7 ± 15.0	56.5 ± 17.5	< 0.001
<b>Past medical history</b>			
Prior cardiomyopathy	13%	7%	0.02
Prior congestive heart failure	37%	12%	< 0.001
Arrhythmia	20%	13%	0.02
Hypertension	54%	43%	0.009
Diabetes mellitus	35%	16%	< 0.001
Coronary artery disease	33%	22%	0.005
Myocardial infarction	15%	11%	NS
Obstructive airway disease	15%	8%	0.005
<b>Symptoms/signs</b>			
Paroxysmal nocturnal dyspnea	16%	8%	0.002
Orthopnea	22%	12%	0.001
Lower extremity edema	26%	7%	< 0.001
Chest pain	35%	52%	< 0.001
Dyspnea at rest	50%	24%	< 0.001
<b>Medications at presentation</b>			
Beta blocker	44%	32%	0.002
Loop diuretic	41%	17%	< 0.001
Digoxin	13%	8%	0.04
Angiotensin converting enzyme inhibitor	25%	16%	0.009
<b>Physical examination</b>			
Body-mass index (Kg/m <sup>2</sup> , mean±SD)	28.0 ± 7.0	28.5 ± 6.5	NS
Pulse, beats per minute (mean±SD)	91.7 ± 23.8	82.9 ± 20.6	< 0.001
Jugular venous distension	13%	4%	< 0.001
Murmur	14%	8%	0.009
Hepatojugular reflux	3%	0%	0.002
Lower extremity edema	34%	14%	< 0.001
Rales	35%	16%	< 0.001
<b>Electrocardiographic findings</b>			
Atrial fibrillation	16%	9%	0.009
<b>Chest radiographic findings</b>			
Interstitial edema	25%	8%	< 0.001
Pleural effusion	28%	5%	< 0.001
Cephalization of vessels	2%	0%	0.04
<b>Laboratory findings</b>			
Serum creatinine, mg/dl, mean ± SD	1.2 ± 0.5	0.98 ± 0.3	< 0.001
Creatinine clearance, ml/min/1.73m <sup>2</sup> , mean ± SD	67.3 ± 32.0	85.2 ± 61.0	< 0.001
Blood urea nitrogen, mg/dl, mean ± SD	25.5 ± 17.0	17.2 ± 10.0	< 0.001
Troponin T, ng/dl, mean ± SD	0.063 ± 0.32	0.022 ± 0.16	0.04
NT-proBNP, pg/ml, median (IQR)	1800 (365-6745)	97 (40-477)	< 0.001

These results indicate that ST2 is not generally correlated with BMI, but is associated with a number of other indices including serum creatinine levels and clearance, prior congestive heart failure, and diabetes mellitus.

5 **Example 3. Measurement of Soluble ST2 Concentrations for the Determination of Risk of Death in Patients with Acute Dyspnea**

Factors predictive of mortality within one year following presentation with dyspnea were evaluated in the population described in Example 2. Candidate ST2 diagnostic cut points were evaluated with the use of bootstrapping techniques using the STATA SWBOOT program; this was followed by multivariable logistic regression analyses. Each of the estimation procedures was coded in programming language then subjected to the STATA bootstrap prefix command for 10 bootstrap repeated random samples, followed by 100 replications for those variables selected in the initial analyses. The bootstrap sample size was 593 (the size of the entire data set). Factors entered into the analysis included elements from past and present medical history, symptoms and signs, medication use, as well as results of diagnostic studies including radiographic studies, electrocardiography, hematology, and blood chemistries. Measures of renal function included serum creatinine results as well as estimated glomerular filtration rate (Levey et al., Ann. Intern. Med. 130(6):461-70 (1999)). Following, candidate predictors of mortality (i.e., those with greater than 70 selections in bootstrap replications) were entered into multivariable logistic regression analyses, with acute HF as the dependent variable. For each logistic regression, results were entered in a single forward step with tail-probability to enter set at  $p = 0.01$  and to remove the effect from the regression at  $p = 0.02$ , and goodness-of-fit was evaluated using the Hosmer-Lemeshow test.

25 In mortality analyses including NT-proBNP, this variable was modeled dichotomously, with a threshold value of 986 pg/ml for predicting death within one year, as identified previously (Januzzi et al., 2006, supra). After the SWBOOT run, the resulting validated candidate independent variables were entered stepwise into a Cox Proportional Hazards model; the proportions for this model were checked and were found to be appropriate. Hazard ratios (HR) with 95% CI (confidence interval) were generated for each independent predictor of death by one year.

Kaplan-Meier survival curves were constructed to compare mortality rates within one year in groups divided as a function of ST2 concentrations (as well as

diagnosis), using the log-rank test to compare the significance of the rates of mortality.

For all statistical analyses, either SPSS (Chicago, IL, USA) or STATA (College Station, TX) software was used; all p values are two-sided, with composite  
5 results <0.05 considered significant.

Within one year, 93 subjects (15.7%) had died. The median concentrations of ST2 were significantly higher among decedents (1.03 ng/ml, IQR (interquartile range) = 0.38 - 2.43) than survivors (0.18 ng/ml, IQR = 0.08 - 0.51;  $p < 0.001$ ). This pattern  
10 of higher ST2 concentrations in decedents remained when subjects were considered as a function of the absence of acute HF (1.14 vs 0.13 ng/ml;  $p < 0.001$ ), as well as in those with acute HF (0.90 vs 0.45 ng/ml;  $p < 0.001$ ).

Receiver operating characteristic (ROC) curve analysis using Analyse-It software (Analyse-It, Ltd, Leeds, UK) was performed for ST2, using the gold  
15 standard diagnosis of HF or survival within one year as the reference standard, and area under the curve (AUC) was estimated; following, potential cut points for both diagnosis and prognosis were identified, and their sensitivity, specificity, as well as positive and negative predictive values (PPV, NPV) were estimated. To further  
20 evaluate the utility of optimal ROC-optimal cut-points, patients were also divided into deciles based on their ST2 concentrations, and evaluated for ST2 threshold effects on the frequency of mortality.

ROC analyses demonstrated an AUC of 0.80 (95% CI = 0.75 - 0.84,  $p < 0.001$ ) for ST2 and one year mortality (Figure 1); the optimal cut point as identified by ROC  
25 was 0.29 ng/ml, which was 87% sensitive (95% CI = 79 - 93%), 63% specific (95% CI = 58 - 67%), and had a PPV of 30% and NPV of 96%. In decile analyses, a threshold effect for mortality was noted at the ST2 median of 0.20 ng/ml (Figure 2). A graded relationship between ST2 concentrations and the likelihood for death was also found, such that those subjects below the median concentration of ST2 ( $n = 236$ ) enjoyed the lowest rates of death (2%) compared to those in the highest two deciles ( $n = 117$ ) who demonstrated a 42% rate of death within one year, a HR of 43.0 (95% CI = 15.0 - 123.0,  $p < 0.001$ ).  
30

In a final bootstrap model for prediction of death within one year (Table 4), an ST2 concentration  $\geq 0.20$  ng/ml was selected in 96 of 100 replications and represented the strongest predictor of death within one year in breathless patients (HR = 5.6, 95%

CI = 2.2-14.2;  $p < 0.001$ ). Notably, even with the inclusion of NT-proBNP into the models, an  $ST2 \geq 0.20$  ng/ml remained the most selected variable in bootstrap replications (86 of 100 selections), and remained the strongest predictor of death within one year (HR=4.6, 95% CI = 1.8-11.8;  $p = 0.002$ ).

**Table 4: Identification of Independent Predictors of Death Within One Year in Dyspneic Subjects**

<b>Without NT-proBNP in the model</b>				
	<b>Bootstrap Analysis</b>	<b>Multivariable analysis</b>		
<b>Variable</b>	<b>Number selected out of 100 replications</b>	<b>Hazard ratio</b>	<b>95% confidence intervals</b>	<b>P value</b>
Log-transformed ST2	99	2.7	1.6-4.4	< 0.001
ST2 $\geq$ 0.20 ng/ml	96	5.6	2.2-14.2	< 0.001
Hemoglobin	73	0.91	0.85-0.98	0.008
Pleural effusion on chest X-ray	95	1.8	1.2-2.8	0.005
<b>With NT-proBNP in the model</b>				
	<b>Bootstrap Analysis</b>	<b>Multivariable analysis</b>		
<b>Variable</b>	<b>Number selected out of 100 replications</b>	<b>Hazard ratio</b>	<b>95% confidence intervals</b>	<b>P value</b>
ST2 $\geq$ 0.20 ng/ml	86	4.6	1.8-11.8	0.002
NT-proBNP $\geq$ 986 pg/ml	85	2.3	1.3-4.0	0.002
Cough	80	0.60	0.39-0.95	0.03
Pleural effusion on chest X-ray	80	1.6	1.0-2.4	0.05
Log-transformed ST2	77	2.6	1.6-4.4	< 0.001

5

The results of Table 4 are based on 100 bootstrap replications, followed by multivariable Cox Proportional Hazards analysis are shown in the absence and presence of NT-proBNP results in the model. Only those variables selected > 70 of 100 times in bootstrap replications are shown.

10

Kaplan-Meier hazard curves demonstrate that among subjects with ST2 concentrations  $\geq$  0.20 ng/ml, rates of death rose rapidly from enrollment, and continued to rise within one year (Figure 3A; Log rank p value < 0.001). Similar relationships between ST2 values  $\geq$  0.20 ng/ml in those without and with the diagnosis of acute HF at presentation (Figure 3B; Log-rank p value for both < 0.001).

15

Therefore, ST2 levels are an excellent predictor of mortality.

#### **Example 4. Measurement of Soluble ST2 and NT-proBNP Concentrations for the Determination of Risk of Death in Patients with Acute Dyspnea**

As ST2 and NT-proBNP were both independent predictors of death within one year, crude rates of death in subjects as a function of ST2 and NT-proBNP

concentrations were examined using the methods described above. The percentage of subjects who died in each category are shown in Table 5.

5 **Table 5: Mortality Rates as a Function of NT-proBNP and ST2 Concentrations in the PRIDE Study**

	ST2 < 0.20 ng/ml	ST2 ≥ 0.20 ng/ml
NT-proBNP < 986 pg/ml	1.7%	13.1%
NT-proBNP ≥ 986 pg/ml	4.5%	37.0%

The majority of mortality among subjects in the PRIDE study occurred in those with elevated levels of ST2 (equal to or above 0.20 ng/ml) and NT-proBNP  
10 (equal to or above 986 pg/ml) (Table 5 and Figure 4).

Thus, the combination of NT-proBNP and ST2 levels is useful in predicting risk of mortality.

**Example 5. Elevated ST2 Concentrations in Patients in the Absence of MI**

ST2 concentrations were determined as described in Example 1, above, in a  
15 population of 350 patients who presented to the ED with chest pain. Serum samples were obtained and ST2 measurements made at baseline, and 90 and 180 minutes later for most patients. Also, for most patients, the baseline sample was collected within 2 hours of onset of symptoms.

17 patients had final diagnosis of MI, and 5 of these had ST2 ≥ 0.23 (0.25 -  
20 0.65). Two of these patients were troponin negative. 11 patients had very high ST2 levels (0.97 - 9.22), but none of these patients had confirmed final diagnosis of MI, though all had severe diseases, including COPD, lymphoma, sepsis, alcohol abuse, and pulmonary embolism. The diagnoses for these 11 patients are shown in Table 6; ST2 1 is the baseline level, ST2 2 is 90 minutes later, and ST2 3 is at 180 minutes.

25

**Table 6: Non-MI Patients with High ST2 Levels**

Patient	ST2 1 (ng/ml)	ST2 2 (ng/ml)	ST2 3 (ng/ml)	Final Diagnosis
811	1.43	1.62	1.63	COPD with heart failure following coronary artery bypass graft surgery and pulmonary hypertension
847	2.37	4.44	3.53	Pulmonary embolism
873	2.36	2.42	2.74	RAD
898	1.32	1.24	1.66	History of heart failure following coronary artery bypass surgery
920	6.03	9.22		Bacteremia sepsis

928	3.80	4.69	3.99	Hypertension and alcohol abuse
952	6.76			Alcohol abuse, gastritis and pulmonary hypertension
953	3.77			History of heart failure following coronary artery bypass surgery
1055	1.42	1.28	1.13	URI
1213	0.97	1.19	1.07	Pulmonary embolism and pericarditis
1245	4.11	6.46		Lymphoma and hypertension
1280	1.30	1.33		COPD

These results demonstrate that elevated ST2 is associated with severe disease, regardless of the underlying pathology.

5 **Example 6. Serial Analysis of ST2 Concentrations in Patients Hospitalized With Acute Decompensated Heart Failure (ADHF)**

ST2 concentrations were determined as described in Example 1, above, as were BUN, NT-Pro-BNP, and BNP, in a population of 150 subjects who were diagnosed with and admitted for acute decompensated heart failure (ADHF) to the San Diego Veterans' Administration Hospital. Some patients had a new diagnosis of

10 ADHF and other patients had an acute exacerbation of existing heart failure. Samples were taken on successive days in a number of patients, though not every patient gave a sample every day. Length of stay (LOS) in the hospital for these patients ranged from 1 to 24 days with an average of 5 days. Table 7 shows the characteristics of the population; as expected, given that the population was drawn from the San Diego

15 Veteran's Hospital, the population was overwhelmingly male and Caucasian.

**Table 7: Frequency of Patient Characteristics**

	<b>Frequency</b>	<b>Percent</b>
<b>Gender</b>		
Male	148	98.7
Female	2	1.3
Total	150	100
<b>Ethnicity</b>		
White	115	76.6
Black	22	14.7
Hispanic	9	6
Asian	3	2
other	1	0.7
<b>Total</b>	<b>150</b>	<b>100</b>
<b>Diagnosis</b>		
CHF new	14	9.3
CHF worse	110	73.3
Other	26	17.3
<b>NYHA*</b>		
Class II	9	6
Class III	75	50
Class IV	66	44
<b>Etiology</b>		
Unknown	61	40.7
Ischemic	43	28.7
Hypertensive	23	15.3
Other	9	6.1
Alcohol	5	3.3
Idiopathic	3	2
Valve	1	0.7
Drug	1	0.7

\*NYHA = New York Heart Association Stages of Heart Failure:

- 5      Class I: No limitation of physical activity. Ordinary physical activity does not cause undue fatigue, palpitation, or dyspnea (shortness of breath).
- Class II: Slight limitation of physical activity. Comfortable at rest, but ordinary physical activity results in fatigue, palpitation, or dyspnea.
- Class III: Marked limitation of physical activity. Comfortable at rest, but less than ordinary activity causes fatigue, palpitation, or dyspnea.
- 10      Class IV: Unable to carry out any physical activity without discomfort. Symptoms of cardiac insufficiency at rest. If any physical activity is undertaken, discomfort is increased.

The population of patients was followed for at least 90 days, and adverse events were tabulated. A summary of events is shown in Table 8.

**Table 8: Summary of Events**

	Frequency	Percent
<b>In hospital mortality</b>	8	5.3
<b>30 day readmission</b>	13	8.7
<b>30 day mortality CHF</b>	10	6.7
<b>30 day mortality other</b>	7	4.7
<b>90 day readmission</b>	26	17.3
<b>90 day mortality CHF</b>	26	17.3
<b>90 day mortality other</b>	9	6.0

\*90 day outcome frequency is cumulative of all events that occurred at earlier time points.

- Receiver operating characteristics and area under the curve (AUC) were
- 5 determined as described herein for the correlation of levels of BNP, NT-ProBNP, and ST2 at admission and at discharge with the adverse events listed in Table 8. The results are shown in Table 9. AUC for ST2 change from first to last = 0.820.

**Table 9: ROC SUMMARY (AUC)**

	In Hospital mortality	30 day event	30 day mortality	90 day event	90 day mortality
BNP Admit	0.735	0.536	0.630	0.569	0.653
BNP Discharge	NA	0.545	0.648	0.575	0.692
NT-proBNP Admit	0.790	0.638	0.785	0.624	0.763
NT-proBNP Discharge	NA	0.637	0.831	0.631	0.815
ST2 admit	0.638	0.549	0.574	0.558	0.603
ST2 Discharge	0.752	0.642	0.760	0.657	0.772
Change in ST2	NA	0.573	0.793	0.604	0.809

- In this small and extremely homogeneous population, the biomarkers that
- 10 most accurately predicted the reported events included change in ST2 for 30 and 90 day mortality, and NT-proBNP levels at discharge for both 30 and 90-day mortality. As expected, the homogeneity of this cohort resulted in the AUC using the admission measurement for each biomarker being lower than what was observed in the PRIDE cohort (described in examples 2-3). The high number of patients without diagnosed
- 15 heart failure reported in the PRIDE cohort resulted in a high discriminatory power of

ST2 at the admission measurement. In this specialized cohort, where every patient already had a diagnosis of heart failure, the ST2 level at admission is expected to be somewhat elevated; consequently, the predictive power for subsequent events is somewhat diminished as compared to a heterogenous cohort that is more representative of the general population.

A univariate model of predictors of 90 day mortality was analyzed including the same markers, as well as BUN and creatinine clearance, which are markers of renal function. The results are shown in Table 10.

**Table 10: Univariate Predictors of 90-day Mortality**

<b>Predictor</b>	<b>Odds Ratio</b>	<b>P-Value</b>
<b>Change in ST 2: <math>\geq -0.02</math> vs. <math>&lt; -0.02</math></b>	11.55	0.002
<b>Admission ST 2: <math>\geq 0.2</math> vs. <math>&lt; 0.2</math></b>	1.14	0.83
<b>Discharge ST 2: <math>\geq 0.2</math> vs. <math>&lt; 0.2</math></b>	7.00	0.003
<b>Admission BNP: <math>\geq 400</math> vs. <math>&lt; 400</math></b>	1.91	0.35
<b>Discharge BNP: <math>\geq 400</math> vs. <math>&lt; 400</math></b>	2.59	0.14
<b>Admission NT-ProBNP: <math>\geq 5000</math> vs. <math>&lt; 5000</math></b>	3.96	0.09
<b>Discharge NT-ProBNP: <math>\geq 5000</math> vs. <math>&lt; 5000</math></b>	6.72	0.007
<b>Admission BUN continuous</b>	1.033	0.002
<b>Admission creatinine continuous</b>	1.50	0.07

In this analysis, for the dichotomous variables (all but BUN and creatinine) the odds ratio represents the increased odds of death within 90 days for a positive test vs. a negative test. For the continuous variables (BUN and creatinine) the odds ratio represents the increased odds of death within 90 days for each 1 mg/dL increase. As shown in Table 10, change in ST2 provided the best indication of 90 day mortality, with an OR of 11.55 ( $p = 0.002$ ).

A multivariate model was also constructed that included BNP, NT-ProBNP, BUN and creatinine clearance levels with change in ST2. The results are shown in Table 11.

**Table 11: Predictors of 90-day Mortality With Change in ST2**

Additional Predictor in Model	Change in ST 2		Additional Predictor		Model R <sup>2</sup>
	Odds Ratio	P-Value	Odds Ratio	P-Value	
Admission BNP	12.18	0.002	2.04	0.34	0.246
Discharge BNP	13.98	0.001	3.56	0.07	0.285
Admission NT-ProBNP	14.49	0.001	5.48	0.044	<b>0.312</b>
Discharge NT-ProBNP	10.04	0.005	5.49	0.021	<b>0.325</b>
Admission BUN	7.87	0.014	1.023	0.034	<b>0.300</b>
Admission creatinine	10.08	0.005	1.260	0.30	0.241

When only change in ST2 (the difference between the ST2 levels) was considered, the R<sup>2</sup> for the model was 0.223. As can be seen in Table 11, in each case change in ST2 was the stronger predictor due to its having the smaller p-value.

- 5 Moreover, only admission NT-ProBNP, discharge NT-ProBNP, and admission BUN provided additional prediction beyond change in ST2 that achieved a statistical significance ( $p < 0.05$ ) (see bold R<sup>2</sup> values), while discharge BNP achieved marginal statistical significance ( $p = 0.07$ ).

Next, the ROC was compared for change in BNP and change in ST2. As shown in Figure 5, the AUC for change in BNP during hospitalization was 0.67, while 10 the AUC for change in ST2 was 0.78, significantly higher, indicating that change in ST2 is a much more accurate predictor of 90-day mortality than BNP.

The change in ST2 was analyzed in conjunction with BUN levels to determine whether the two markers, when used together provided additional predictive 15 information. The results, shown in Figure 6, demonstrate that BUN levels and changes in ST2 levels can be used to categorize patients by risk: patients with low levels of BUN (less than 40 mg/dL) and levels of ST2 that decreased by 15% or more had the lowest risk levels; patients with higher BUN and levels of ST2 that decreased by less than 15% (or increased or held steady) had the highest risk levels, while 20 subjects with either high BUN and ST2 decreased by at least 15%, or low BUN and

ST2 decreased by 15% or more, had a medium level of risk (which was still much increased over that of the lowest risk category, see Figure 6).

Changes in ST2 levels over time were evaluated on a lapsed-time basis to determine an optimal length of time between first and second ST2 measurements.

- 5 The results, shown in Tables 11A and 11B, indicate that a lapsed time of at least two days and within four days between the first and second measurement of ST2 provides the best information regarding likelihood of death within 90 days.

**Table 11A: Summary of ST2 Values over Time**

	<b>N</b>	<b>average</b>	<b>median</b>
<b>1 day</b>	130	0.97	0.78
<b>2 days</b>	99	1.00	0.60
<b>3 days</b>	66	1.42	0.42
<b>4 days</b>	44	1.87	0.57
<b>5 days</b>	34	1.86	0.74

10 **Table 11B: Correlation of ST2 Values with Mortality within 90 Days**

	<b>N &gt; 0.85</b>	<b># dead</b>	<b>% death</b>	<b>N &lt; 0.85</b>	<b># dead</b>	<b>% death</b>
<b>1 day</b>	54	7	13%	76	7	9%
<b>2 days</b>	27	7	26%	72	4	6%
<b>3 days</b>	19	4	21%	47	2	4%
<b>4 days</b>	18	6	33%	26	1	4%
<b>5 days</b>	14	6	43%	20	1	5%

- 15 In Table 11B, “N > 0.85” indicates the number of people who had a reduction of less than 15% in ST2 over the time period indicated (so that the second level is 85% or more of the first level). After at least two days, the percentage of people with a ratio of 0.85 or higher who died was over 20% while the percentage of patients who died with a ratio below 0.85 initially decreased and remained low.

- 20 When average ST2 values over time were tracked in this population, a clear increase in correlation of risk of mortality within 90 days was seen at three to four days after the initial measurement was made, as shown in Table 12A and Figures 7A and 7B. Figure 7B, a whisker box plot bracketing the 25<sup>th</sup> and 75<sup>th</sup> percentiles,

illustrates that distinction between survivors and decedents is clearly resolved with the ST2 value. The ST2 results contrast with results generated by comparing either BNP or NT-proBNP over these same days of treatment. Although the mean values for each of these markers is distinct between survivors and decedents the groups are not statistically distinct from each other, as shown in Figures 7C and 7D. Unlike ST2 the survivor and decedent groups for BNP and NT-proBNP overlap significantly between the 25<sup>th</sup> and 75<sup>th</sup> percentiles. In this analysis ST2 is a more accurate marker than BNP or NT-proBNP alone for predicting mortality as a function of change over time during hospitalization.

When average ratios of ST2 were calculated, again, a significant difference was seen; the results are shown in Table 12B and Figure 8.

**Table 12A: Average ST2 Values Over Time**

	Average ST2 value					
	ST2-1	ST2-2	ST2-3	ST2-4	ST2-5	ST2-6
<b>non-survivor</b>	0.33	0.38	0.44	1.14	0.89	0.71
<b>survivor</b>	0.27	0.24	0.15	0.17	0.15	0.17

**Table 12B: Change in ST2 Over Time**

	Average Ratio of ST2				
	1 day	2 days	3 days	4 days	5 days
<b>non-survivor</b>	1.04	2.44	9.57	8.43	6.46
<b>survivor</b>	0.96	0.82	0.60	0.63	0.67

It is also possible to use the ratio of ST2 along with the ratio of NT-proBNP in a 2 x 2 matrix analysis to further refine the risk stratification of these patients, as shown in Table 12C.

**Table 12C: Change in ST2 and Change in NT-proBNP for Risk Stratification**

NT-proBNP and ST2 ratios				
	NT < 0.7, ST2 < 0.85	NT < 0.7, ST2 > 0.85	NT > 0.7, ST2 < 0.85	NT > 0.7, ST2 > 0.85
N	45	7	34	28

Mort	0	2	3	6
% Mort	0.0%	28.6%	8.8%	21.4%

These results demonstrate that the change in ST2 over time is a powerful predictor of mortality, e.g., within 90 days, in both univariate or multivariate predictive analysis; change in ST2 was shown to be the strongest univariate predictor of patient outcome in this population, with optimal predictive value seen after 3 days of hospitalization. Combining change in ST2 data with a measure of renal function (e.g., BUN) provided additional predictive value, as did including an NT-proBNP measurement with change in ST2.

**Example 7. ST2 Concentrations for Risk Stratification in Patients with Pulmonary Disease**

Of the 599 subjects in the PRIDE population (described in Examples 2 and 3), 209 had acute decompensated heart failure (ADHF). Of the remaining 390 subjects, 236 had “pulmonary disease”; of those with available data, 5 had uncomplicated bronchitis; 18 had pulmonary embolism (PE); 64 had pneumonia; and 149 had COPD/asthma. Of the 149 with COPD/asthma, 69 had asthma; 67 had emphysema; and 13 had chronic bronchitis.

To evaluate the usefulness of ST2 as a marker of risk in these patients, ST2 levels were assessed in each diagnostic category. Concentrations for each diagnostic category are shown in Table 13.

**Table 13: ST2 Concentrations by Diagnosis**

Category	ST2 median	25th-75th Percentile
<b>Whole group</b>	0.23	0.09-0.69
<b>PE</b>	0.16	0.08-1.4
<b>Pneumonia</b>	0.69	0.14-2.29
<b>Bronchitis</b>	0.19	0.11-0.41
<b>Asthma/COPD</b>	0.20	0.08-0.54
<b>Asthma</b>	0.14	0.05-0.47
<b>Emphysema</b>	0.24	0.12-0.55
<b>Chronic bronchitis</b>	0.33	0.06-1.2

ST2 concentrations were evaluated using ROC analysis for death at one year, and concentrations in those dead and alive at one year were compared. Mortality rates as a function of ST2 concentrations to one year were analyzed. The results are shown in Table 14 and Figures 9-11.

5 **Table 14: Mortality by Diagnosis**

	<b>PE</b>	<b>PNA</b>	<b>Acute bronchitis</b>	<b>Asthma/COPD</b>
<b>N</b>	18	64	5	149
<b>N survivors</b>	15	52	5	139
<b>ST2 survivors</b>	0.14 (0.07- 0.36)	0.53 (0.13- 1.81)	See above	0.17 (0.07- 0.50)
<b>ST2 decedents</b>	1.98 (1.2-3.83)	1.17 (.4-3.17)	n/a	0.31 (.2-1.1)

These results demonstrate that, as shown in Figure 9, ST2 concentrations showed excellent specificity and sensitivity (Area under ROC = 0.73; 95% CI = 0.62-0.83;  $P < 0.0001$ ). The optimal cut point was 0.20 ng/ml, with 88% sensitivity and 52% specificity (PPV = 22%; NPV = 96%). Figure 10 further illustrates this point.

10 The median ST2 concentrations in subjects who were still alive at one year was 0.19 ng/ml (IQR 0.08-0.59,  $n = 211$ ); while median concentrations in subjects who had died within one year were 1.19 ng/ml (IQR 0.28-2.2,  $n = 25$ ). Finally, as shown in Figure 11, mortality rate increased dramatically as a function of ST2 concentration, using a threshold of 0.2 ng/ml.

15 Multivariate Cox Proportional Hazards analyses were used to identify independent predictors of death at one year; the results are shown in table 15.

**Table 15: Independent Predictors of Death in Pulmonary Disease**

Characteristic	Hazard ratio	95% CI	P value
Age	1.01	0.98-1.04	0.71
Gender	1.36	0.60-3.07	0.74
ST2 $\geq$ 0.20 ng/ml	6.14	1.80-21.0	0.004
Pleural effusion on CXR	2.99	1.30-6.83	0.009
Emphysema	0.29	0.13-0.65	0.003
Spirolactone	13.7	3.60-52.0	< 0.001

These data demonstrate that ST2 levels are an excellent predictor of death within one year, regardless of the underlying pathology, in subjects presenting with dyspnea.

5 **Example 8. ST2 Concentrations are Not Affected by Renal Insufficiency**

The effect of renal impairment on ST2 concentrations was evaluated in a population of 135 patients with moderate to severe renal insufficiency. None of the patients were on dialysis, and none were previously diagnosed with CVD. All of the patients were evaluated using glomerular filtration rate (GFR in mls/min) as  
 10 determined by the Modification of Diet in Renal Disease (MDRD) method as a measure of renal function. Echocardiography and coronary artery calcium (CAC) measurements were also performed on each subject to detect latent CVD. Multiple biomarkers were also evaluated.

The descriptive statistics for this cohort are shown in Table 16; the mean GFR  
 15 and ST2 are illustrated graphically in Figures 12A-B.

**Table 16: Glomerular Filtration Rate (GFR) and ST2 Levels**

	GFR	ST2 levels (ng/ml)
Mean	34.5	0.122
Median	34	0.107
Std Error	0.989	0.005
Std Dev.	11.4	0.059
Coeff. Var.	33.3	48.346
Lower 95%CL	32.5	0.112
Upper 95%CL	36.4	0.132
25th Percentile	27	0.090
75th Percentile	43	0.127
Minimum	9	0.068
Maximum	59	0.476
Count	135	135

In this cohort of patients with stable, chronic disease, only ten (8%) had ST2 levels above 0.2, the highest of which was 0.476 ng/ml. The distribution of ST2 values is shown in Figure 13. This was as expected in this population of subjects with chronic, managed renal insufficiency; one would not expect to see very high ST2 levels.

Pearson Correlation analysis was performed in this population to determine whether there was a correlation between ST2 levels and GFR. The results are shown in Tables 17 and 18.

**Table 17: Pearson Correlation Results – GFR and ST2**

<b>Descriptive Statistics</b>				
<b>Variable</b>	<b>Mean</b>	<b>Std Dev.</b>	<b>Std Err</b>	<b>N</b>
<b>GFR</b>	34.5	11.5	0.989	135
<b>ST2 (ng/mL)</b>	0.122	0.059	0.005	135
<b>Correlation Matrix (R)</b>				
	<b>GFR</b>	<b>ST2 (ng/mL)</b>		
<b>GFR</b>	1.000	0.028		
<b>ST2 (ng/mL)</b>	0.028	1.000		
<b>Correlation Significance (P)</b>				
	<b>GFR</b>	<b>ST2 (ng/mL)</b>		
<b>GFR</b>	-	0.748		
<b>ST2 (ng/mL)</b>	0.748	-		

10

**Table 18: Pearson Correlation Results – Creatinine Clearance and ST2**

<b>Descriptive Statistics</b>				
<b>Variable</b>	<b>Mean</b>	<b>Std Dev.</b>	<b>Std Err</b>	<b>N</b>
<b>Screening Cr</b>	2.175	0.859	0.081	113
<b>ST2 (ng/mL)</b>	0.122	0.058	0.006	113
<b>Correlation Matrix (R)</b>				
	<b>Screening Cr</b>	<b>ST2 (ng/mL)</b>		
<b>Screening Cr</b>	1.000	-0.018		
<b>ST2 (ng/mL)</b>	-0.018	1.000		
<b>Correlation Significance (P)</b>				
	<b>Screening Cr</b>	<b>ST2 (ng/mL)</b>		
<b>Screening Cr</b>	-	0.851		
<b>ST2 (ng/mL)</b>	0.851	-		

These results demonstrate that, as was expected in this population of subjects with chronic, managed renal insufficiency, there is no correlation between ST2 levels and either GFR ( $p = 0.75$ ) or creatinine clearance ( $p = 0.851$ ) in this population. This indicates that renal insufficiency, by itself, does not cause an elevation of ST2 levels.

5 The same analyses were carried out in a population of 139 subjects at the San Diego Veteran's Administration Hospital. All of the subjects had previously been diagnosed with acute decompensated heart failure (ADHF), and the mean ST2 level was about twice that seen in the population of patients with chronic renal insufficiency but no HF (see tables 17-18). There is an almost ubiquitous correlation  
10 between renal insufficiency and heart failure, with an almost 80% confluence of patients with stage III/IV HF also having impaired renal function (Fonarow and Heywood, Am. J. Med. (2006) 119(12A):S17-S25. Thus, because ADHF is correlated with ST2 levels, one would expect to see a correlation between renal insufficiency (as measured by GFR) and ST2 levels. This was exactly what was seen,  
15 as shown in Tables 19 and 20.

**Table 19: Pearson Correlation Results – GFR and ST2 in ADHF**

<b>Descriptive Statistics</b>				
<b>Variable</b>	<b>Mean</b>	<b>Std Dev.</b>	<b>Std Err</b>	<b>N</b>
<b>GFR</b>	59.1	25.3	2.143	139
<b>ST2 (ng/mL)</b>	0.283	0.332	0.028	139
<b>Correlation Matrix (R)</b>				
	<b>GFR</b>	<b>ST2 (ng/mL)</b>		
<b>GFR</b>	1.000	-0.062		
<b>ST2 (ng/mL)</b>	-0.062	1.000		
<b>Correlation Significance (P)</b>				
	<b>GFR</b>	<b>ST2 (ng/mL)</b>		
<b>GFR</b>	-	0.470		
<b>ST2 (ng/mL)</b>	0.470	-		

**Table 20: Pearson Correlation Results – GFR and ST2 Ratios in ADHF**

Descriptive Statistics				
Variable	Mean	Std Dev.	Std Err	N
GFR	59.1	25.3	2.143	139
ST2 ratio	1.038	3.038	0.258	139
Correlation Matrix ®				
	GFR	ST2 ratio		
GFR	1.000	-0.161		
ST2 ratio	-0.161	1.000		
Correlation Significance (P)				
	GFR	ST2 ratio		
GFR	-	0.058		
ST2 ratio	0.058	-		

These results demonstrate that, in subjects with ADHF, ST2 values, whether represented as a single level or a ratio, are correlated with measures of renal insufficiency, but are independent of the renal insufficiency.

5 **Example 9. Risk of Mortality Correlates with ST2 Concentrations**

The amount of increased risk of mortality was evaluated in the subjects who participated in the PRIDE study and the veterans in the population described in Example 8.

10 Both 0.2 and 0.7ng/ml ST2 thresholds were evaluated across the entire population (Table 21) and the just the ADHF subset (Table 22). The biggest difference between the two thresholds is that the PPV increases and the NPV decreases for the 0.7 ng/ml threshold in both patient sets.

**Table 21 – % Mortality by ST2 level in PRIDE Cohort**

	ST2 ≥ 0.7	ST2 < 0.7	ST2 ≥ 0.2	ST2 < 0.2
<b>Total N</b>	146	447	317	275
<b>Mortality N</b>	52	41	88	5
<b>% Mortality</b>	35.6%	9.2%	27.8%	1.8%

The NPV for 0.2 ng/ml is 98.2% and for 0.7 ng/ml is 90.8% in this population.

15 **Table 22 - % Mortality by ST2 level in Subjects with ADHF**

	ST2 ≥ 0.7	ST2 < 0.7	ST2 ≥ 0.2	ST2 < 0.2
<b>Total N</b>	81	127	167	41
<b>Mortality N</b>	30	26	55	1
<b>% Mortality</b>	37.0%	20.5%	32.9%	2.4%

The NPV for 0.2 ng/ml is 97.6% and for 0.7 ng/ml is 79.5% in this cohort.

For the ST2 ratio from the VET study the results are shown in Table 23.

**Table 23 -- % Mortality in Subjects with Chronic Renal Failure**

	<b>ST2 ratio</b>	
	$\geq 0.85$	$< 0.85$
<b>N</b>	35	79
<b>Mortality</b>	8	3
<b>% Mort</b>	22.9%	3.8%

The NPV for this measurement is 96.2%.

5

#### **OTHER EMBODIMENTS**

It is to be understood that while the invention has been described in conjunction with the detailed description thereof, the foregoing description is intended to illustrate and not limit the scope of the invention, which is defined by the scope of the appended claims. Other aspects, advantages, and modifications are within the

10

scope of the following claims.

CLAIMS:

1. An in vitro method for evaluating the risk of death within a specified time period for a subject who has undiagnosed shortness of breath, undiagnosed chest pain, or undiagnosed chest discomfort, the method comprising:
  - 5 determining a level of soluble ST2 in a test sample from the subject;  
determining a level of an adjunct biomarker in the test sample, wherein the adjunct biomarker is selected from the group consisting of: troponin, brain natriuretic peptide (BNP), proBNP, NT-proBNP, atrial natriuretic peptide (ANP), NT-proANP, proANP, C-reactive peptide (CRP), creatinine, creatinine clearance rate, glomerular filtration rate (GFR),  
10 D-dimers, Blood Urea Nitrogen (BUN), albumin, liver function enzymes, bacterial endotoxin, and any combination of two or more of the above;  
comparing the level of soluble ST2 in the test sample to a reference level of soluble ST2;  
comparing the level of the adjunct biomarker in the test sample to a reference level of  
15 the adjunct biomarker;  
wherein the level of the adjunct biomarker in the test sample as compared to the reference level of the adjunct biomarker, in combination with the level of soluble ST2 in the test sample as compared to the reference level of soluble ST2, indicates whether the subject has an increased risk of death within a specified time period.
  - 20 2. The method of claim 1, wherein the specified time period is one year.
  3. The method of claim 1, wherein the subject further exhibits one or more non-specific symptoms.
  4. The method of claim 3, wherein the one or more non-specific symptoms are selected from the group consisting of nausea, vomiting, eructation, sweating, palpitations,  
25 lightheadedness, fatigue, and fainting.
  5. The method of claim 1, wherein the test sample is a sample of serum, blood, or plasma.

6. The method of claim 1, wherein the subject does not have a cardiovascular disorder.
7. The method of claim 1, wherein the subject has a pulmonary disorder.
8. The method of claim 1, wherein the subject has a liver disorder.
9. The method of claim 1, wherein the subject has a BMI of 25-29, a BMI of  
5  $\geq 30$ , or renal insufficiency.

10. The method of claim 1, comprising determining levels of (i) NT-proBNP, proBNP, or BNP and (ii) soluble ST2 in the test sample.

11. The method of claim 1, comprising determining levels of (i) NT-proBNP and (ii) soluble ST2 in the test sample, wherein the subject's risk of death within one year is  
10 determined according to the following table:

	Soluble ST2 < 0.20 ng/ml	Soluble ST2 $\geq$ 0.20 ng/ml
NT-proBNP < 986 pg/ml	Lowest Risk	Medium Risk
NT-proBNP $\geq$ 986 pg/ml	Medium Risk	Highest Risk.

12. The method of claim 1, comprising determining levels of (i) BUN and (ii) soluble  
15 ST2 in the sample, wherein the subject's risk of death within one year is determined according to the following table:

	Soluble ST2 < 0.20 ng/ml	Soluble ST2 $\geq$ 0.20 ng/ml
BUN < 40 mg/dL	Lowest Risk	Medium Risk
BUN $\geq$ 40 mg/dL	Medium Risk	Highest Risk.

- 20 13. An in vitro method for evaluating the risk of death within a specific time period for a subject who has undiagnosed shortness of breath, undiagnosed chest pain, or undiagnosed chest discomfort, the method comprising  
determining a first level of soluble ST2 in a first sample obtained from the subject at a first time point, and a level of BUN in the first sample;

determining a second level of soluble ST2 in a second sample obtained from the subject at a second time point;

comparing the first and second levels of soluble ST2 to determine a test ratio of the second level of soluble ST2 to the first level of soluble ST2;

5 comparing the level of BUN in the first sample to a preselected BUN reference level; and

comparing the test ratio to a reference ratio;

10 wherein the test ratio, and the level of BUN in the first sample, as compared to the reference ratio and preselected BUN reference level, indicates the subject's risk of death within a specified time period.

14. The method of claim 13, wherein the specific time period is one year.

15. The method of claim 13, wherein the subject's risk of death within one year is determined according to the following table:

	Soluble ST2 Ratio < 0.85	Soluble ST2 Ratio $\geq$ 0.85
15 BUN < 40 mg/dL	Lowest Risk	Medium Risk
BUN $\geq$ 40 mg/dL	Medium Risk	Highest Risk.

20 16. A kit for determining the risk of death within a specific time period for a subject who has undiagnosed shortness of breath, undiagnosed chest pain, or undiagnosed chest discomfort comprising an antibody for soluble ST2 and an antibody for NT-proBNP, and instructions for performing the method of any one of claims 1-15.

FIGURE 1

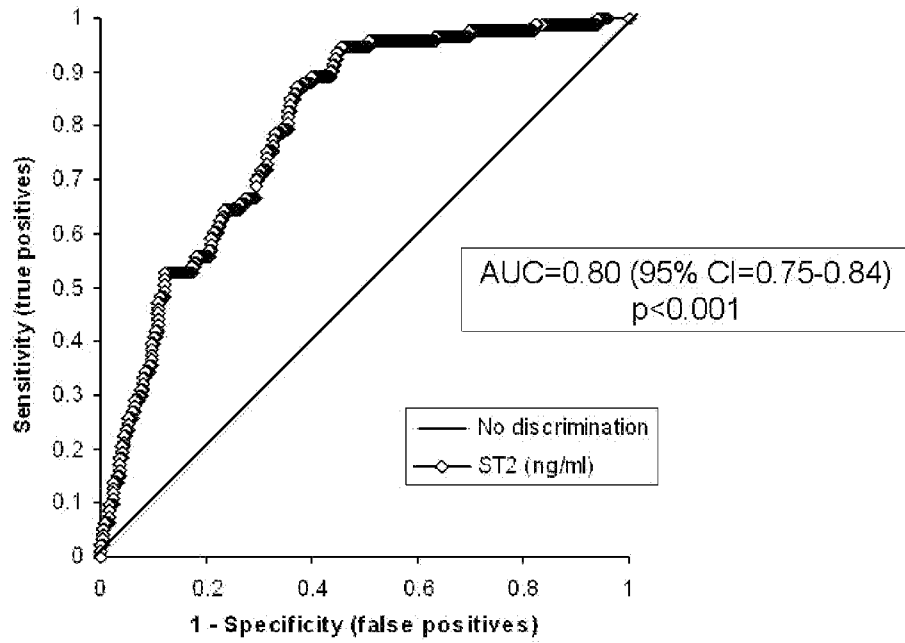
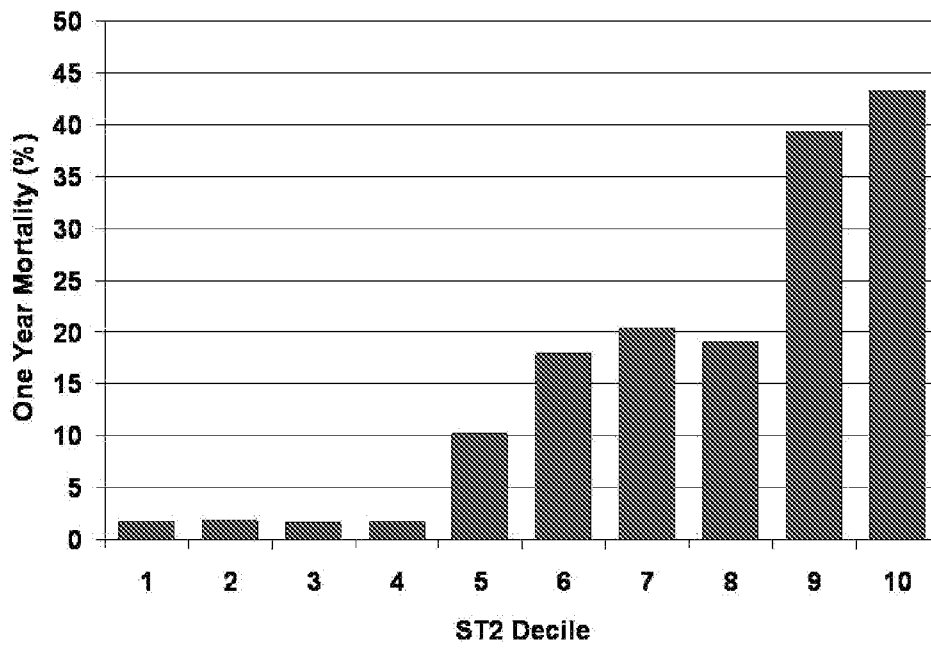
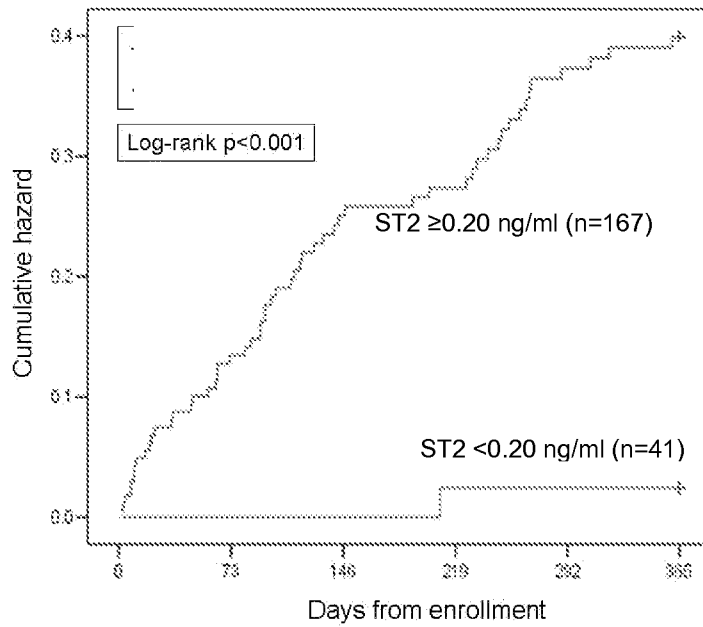


FIGURE 2



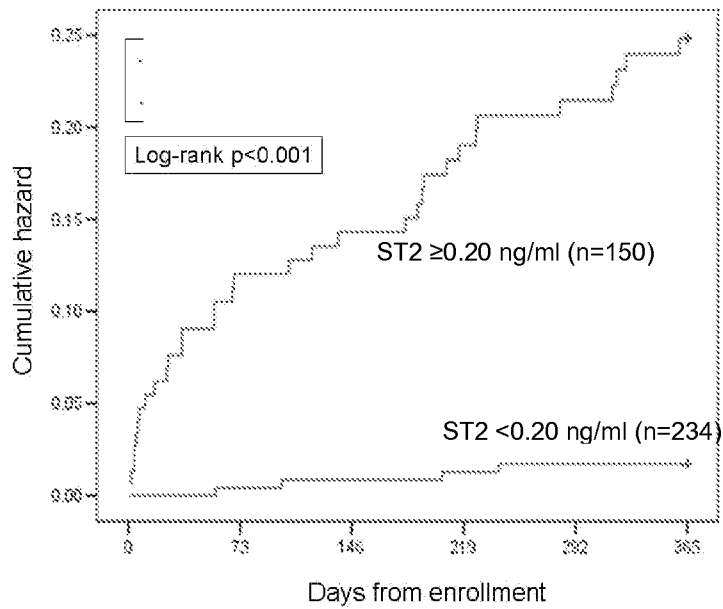
**FIGURE 3A**

**Subjects Diagnosed with Heart Failure**

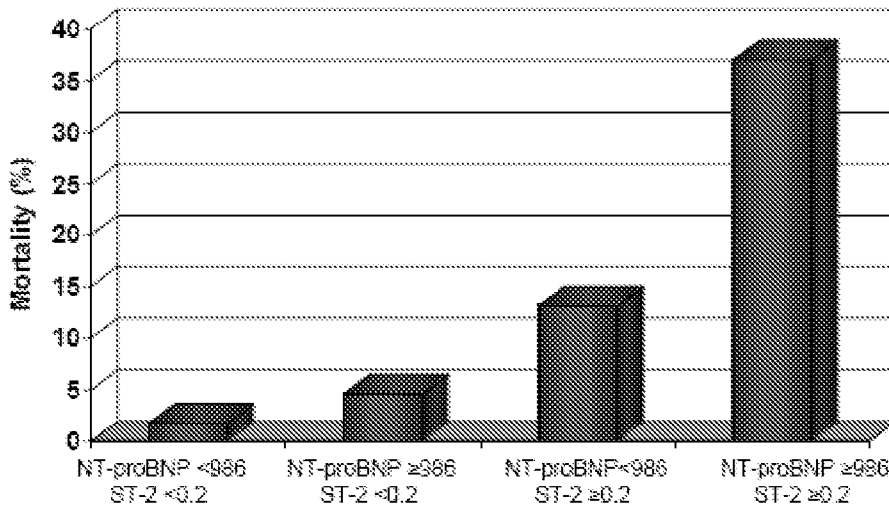


**FIGURE 3B**

**Subjects Not Diagnosed with Heart Failure**

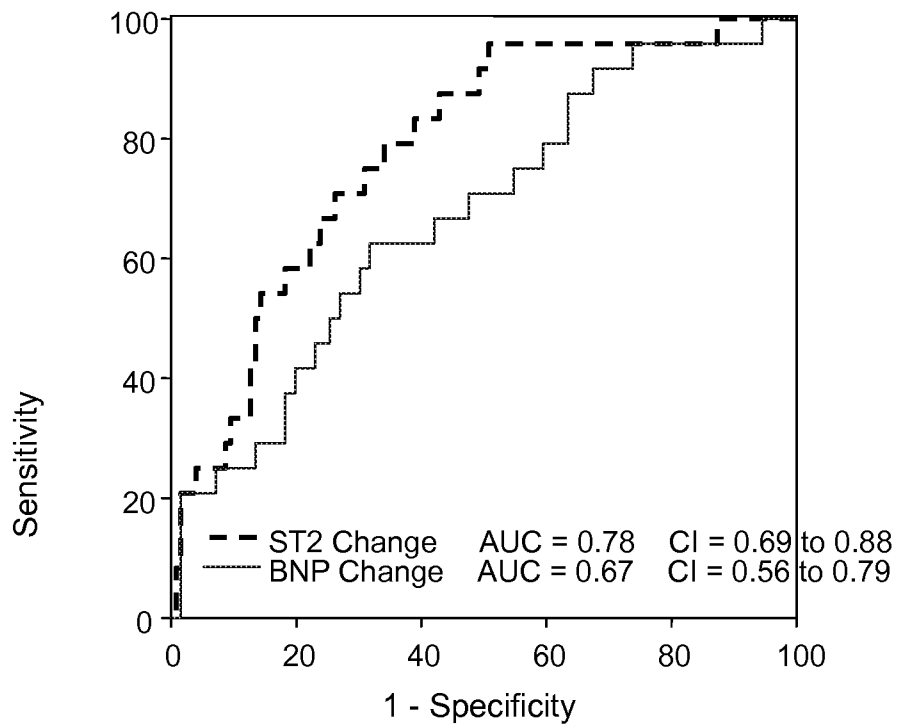


**FIGURE 4**



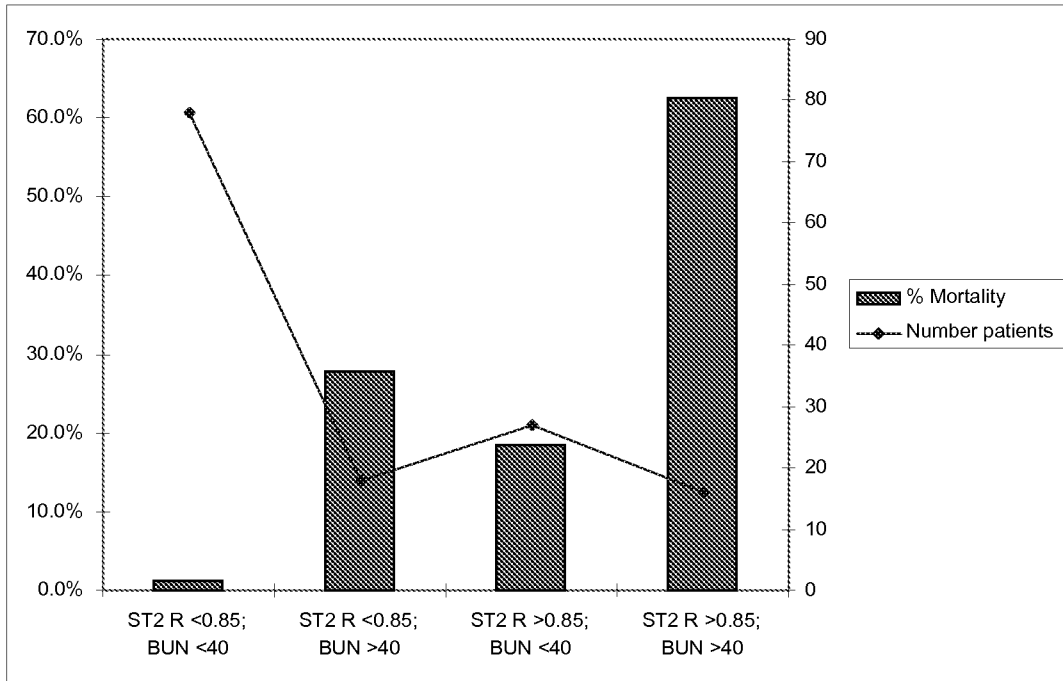
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**FIGURE 5**

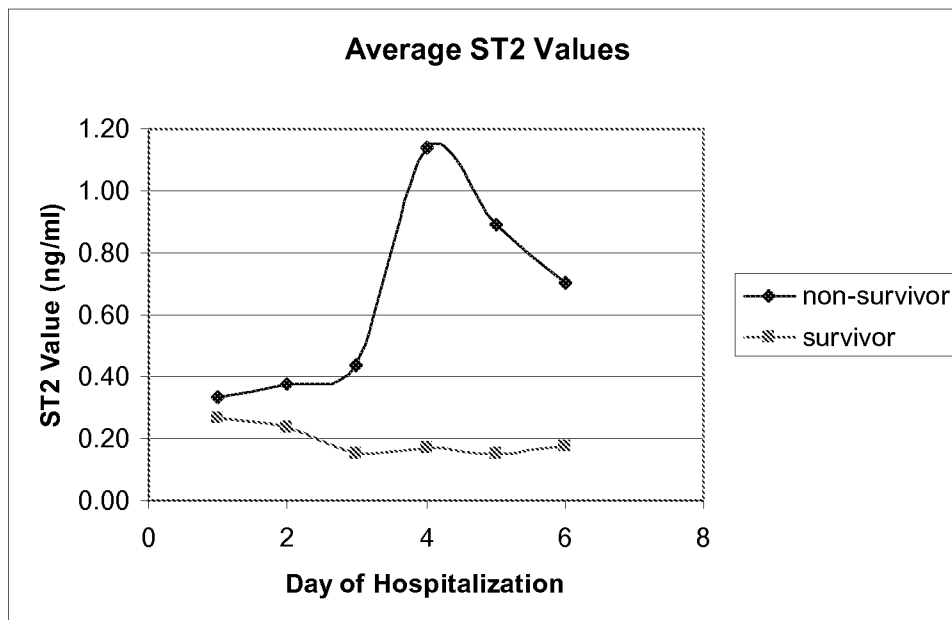


4 / 11

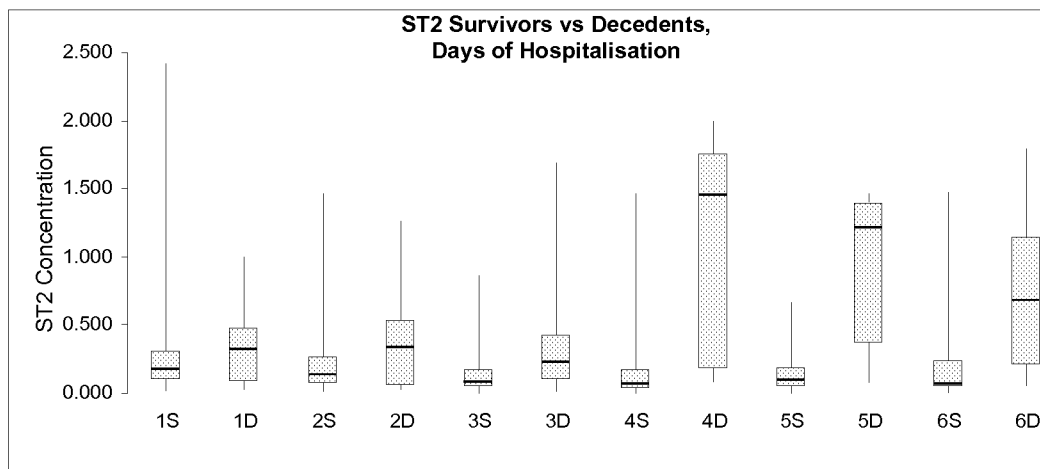
FIGURE 6



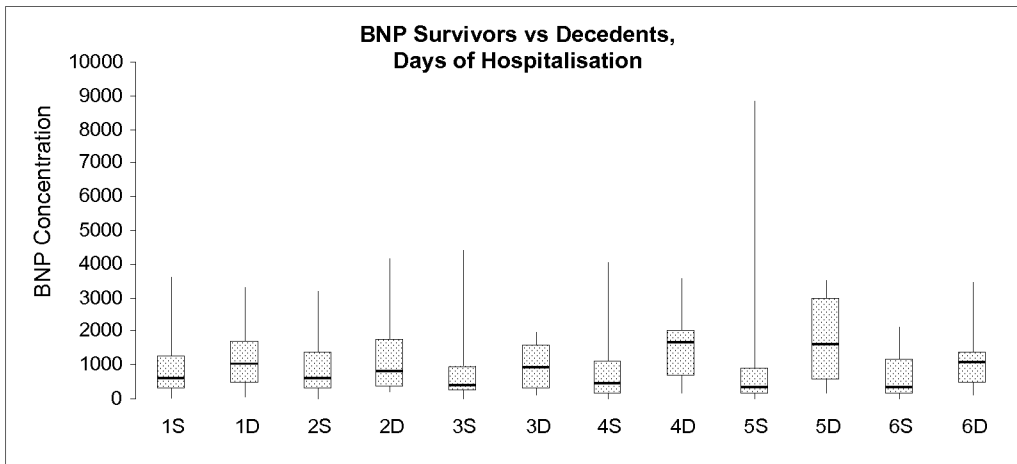
**FIGURE 7A**



**FIGURE 7B**



**FIGURE 7C**



**FIGURE 7D**

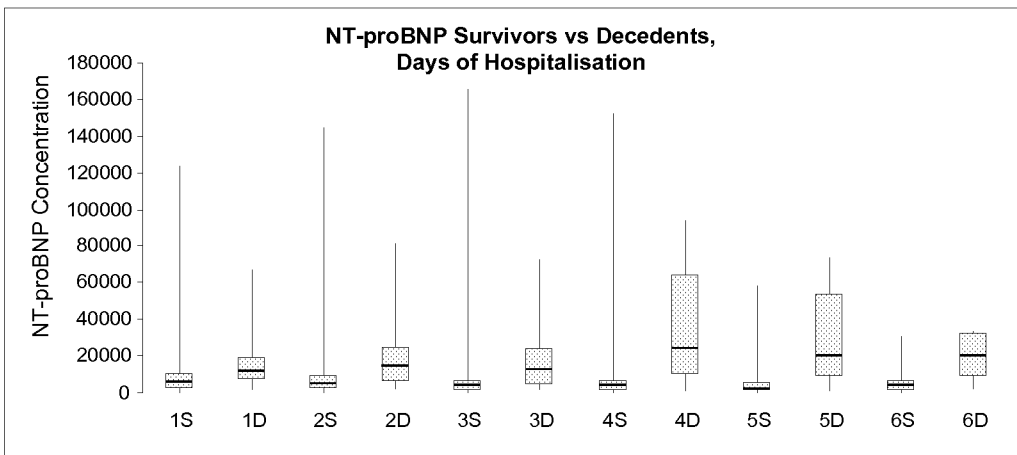


FIGURE 8

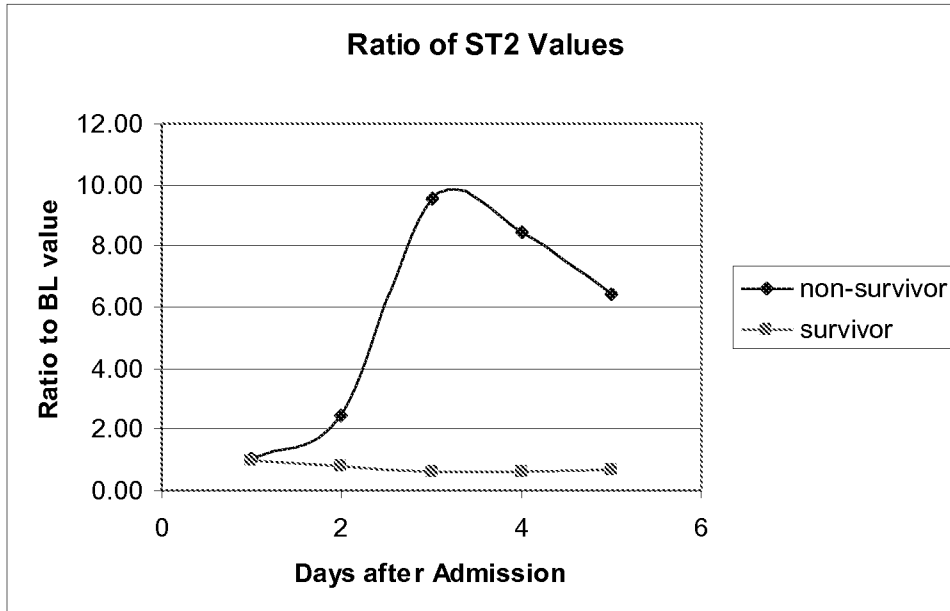
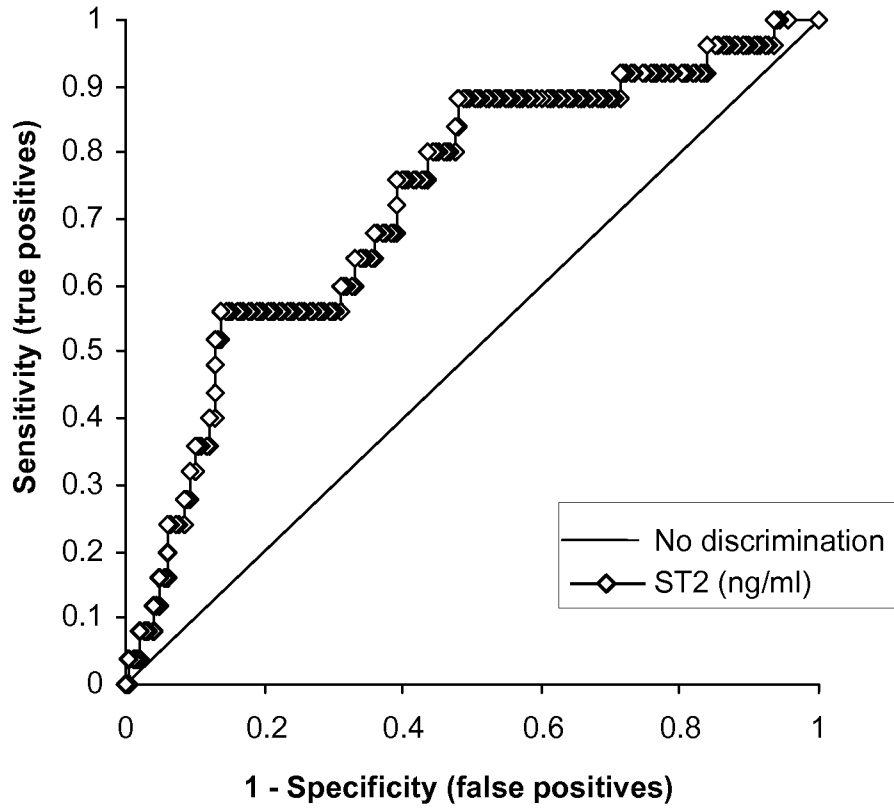


FIGURE 9



**FIGURE 10**

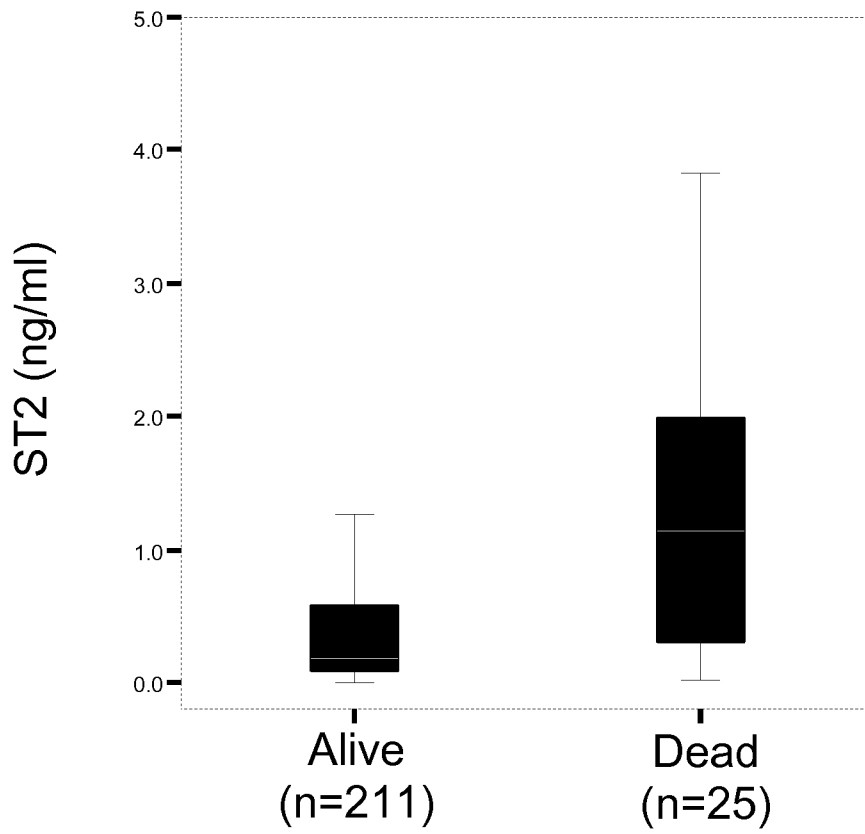
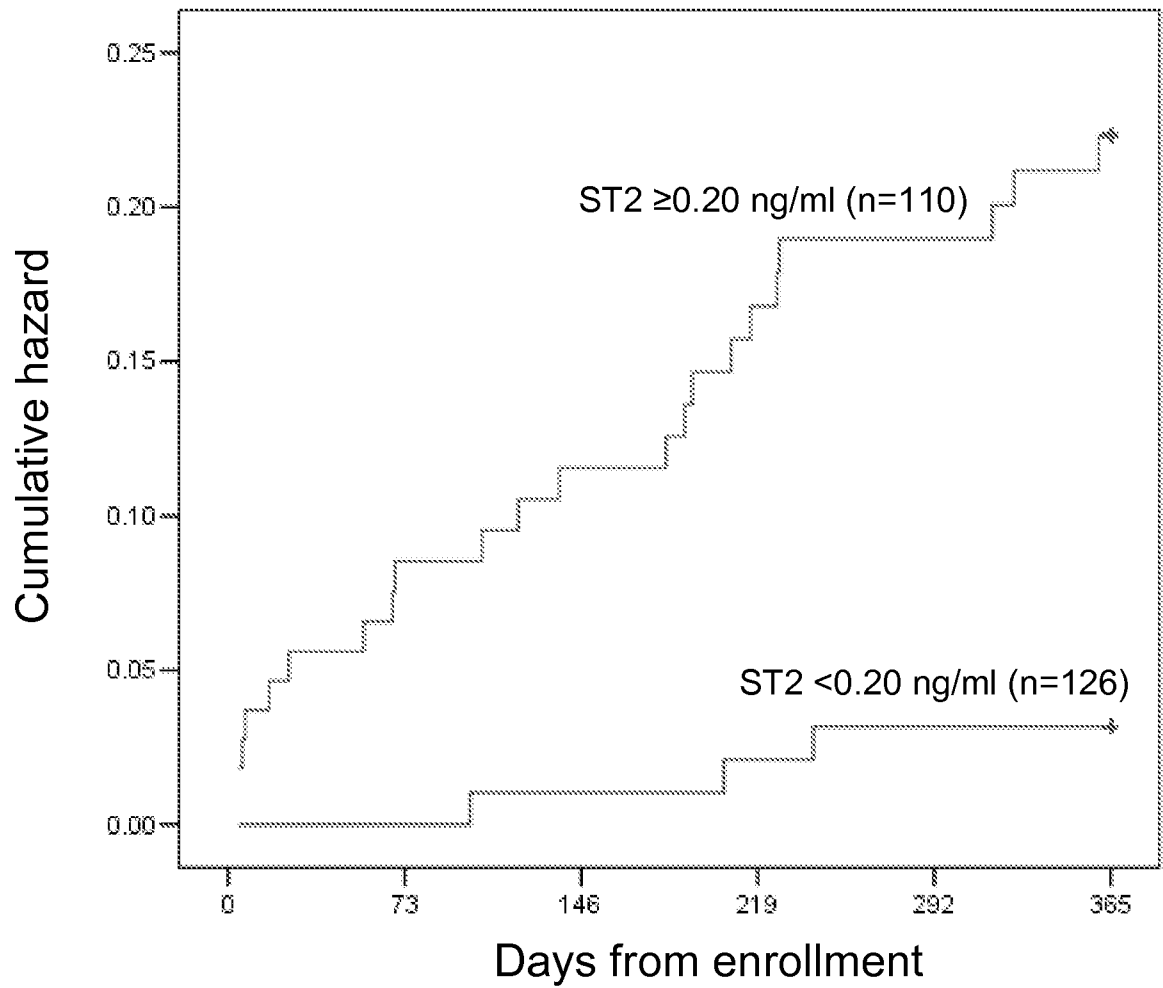
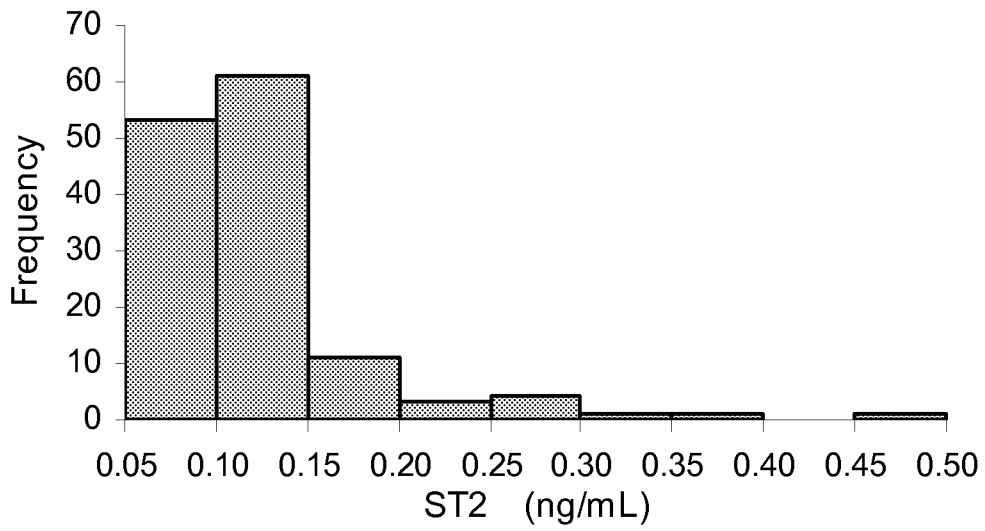
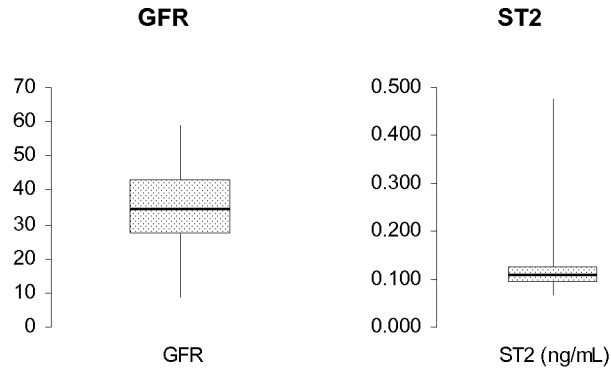


FIGURE 11



**FIGURE 12A**

**FIGURE 12B**



**FIGURE 13**