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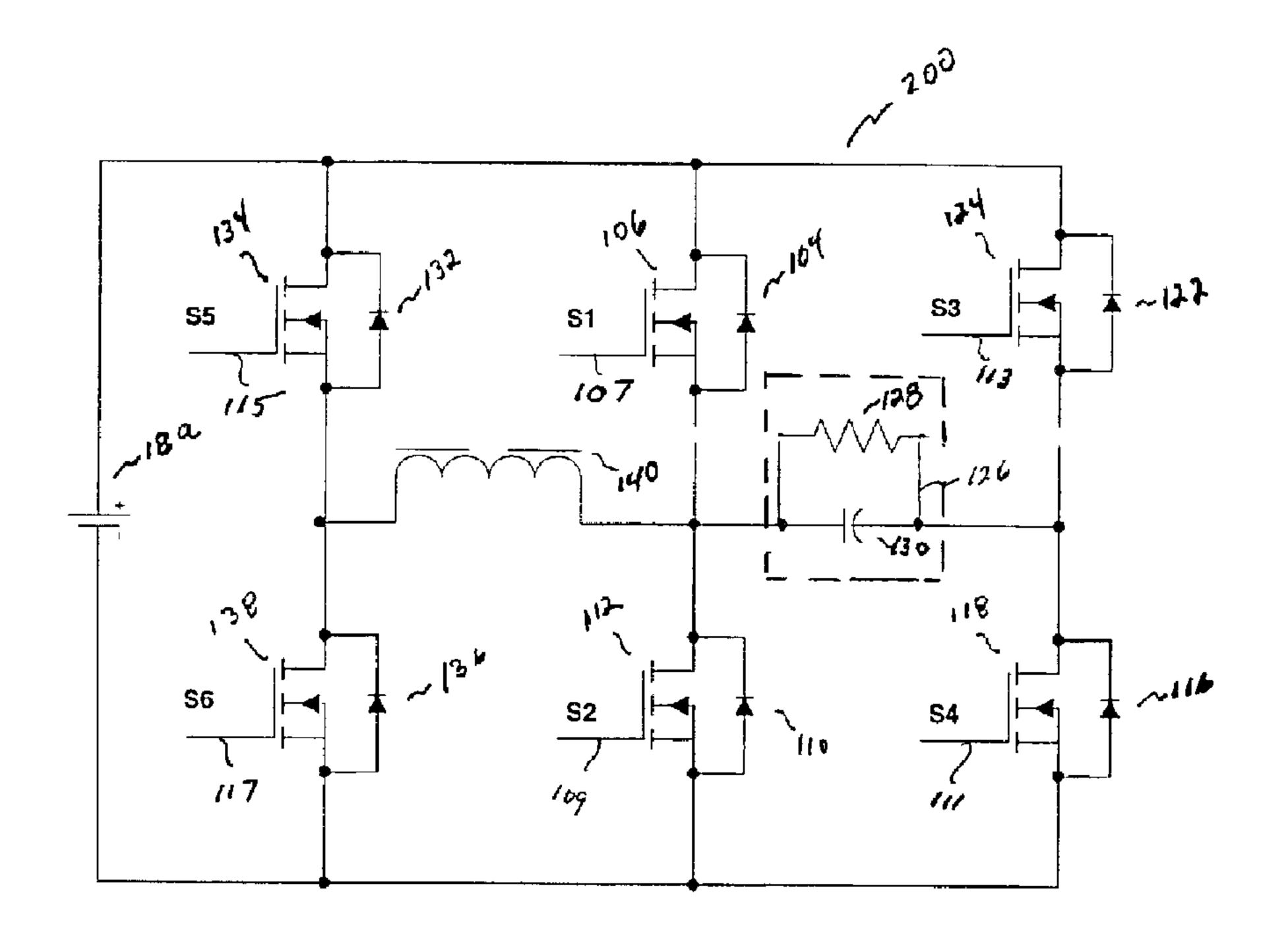
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#### (57) Abrégé/Abstract:

Embodiments of the present invention are directed to an uninterruptible power supply for providing AC power to a load. In embodiments of the present invention, the uninterruptible power supply includes an input to receive AC power from an AC power source, an output that provides AC power, a DC voltage source that provides DC power, the DC voltage source having an energy storage device, an inverter (200) operatively coupled to the DC voltage source to receive DC power and to provide AC power. The inverter includes first and second output nodes to provide AC power to the load (126), first and second input nodes to receive DC power from the DC voltage source (18a), a resonant element (140) having a first terminal and a second terminal, the second terminal being electrically coupled to the first output node, a first switch (134) electrically coupled between the first terminal of the resonant element and the first input node, and a set of switches (106, 112, 118, 124) operatively coupled between the first and second output nodes and the first and second input nodes and controlled to generate AC power from the DC power. The uninterruptible power supply further includes a transfer switch constructed and arranged to select one of the AC power source and the DC voltage source as an output power source for the uninterruptible power supply.





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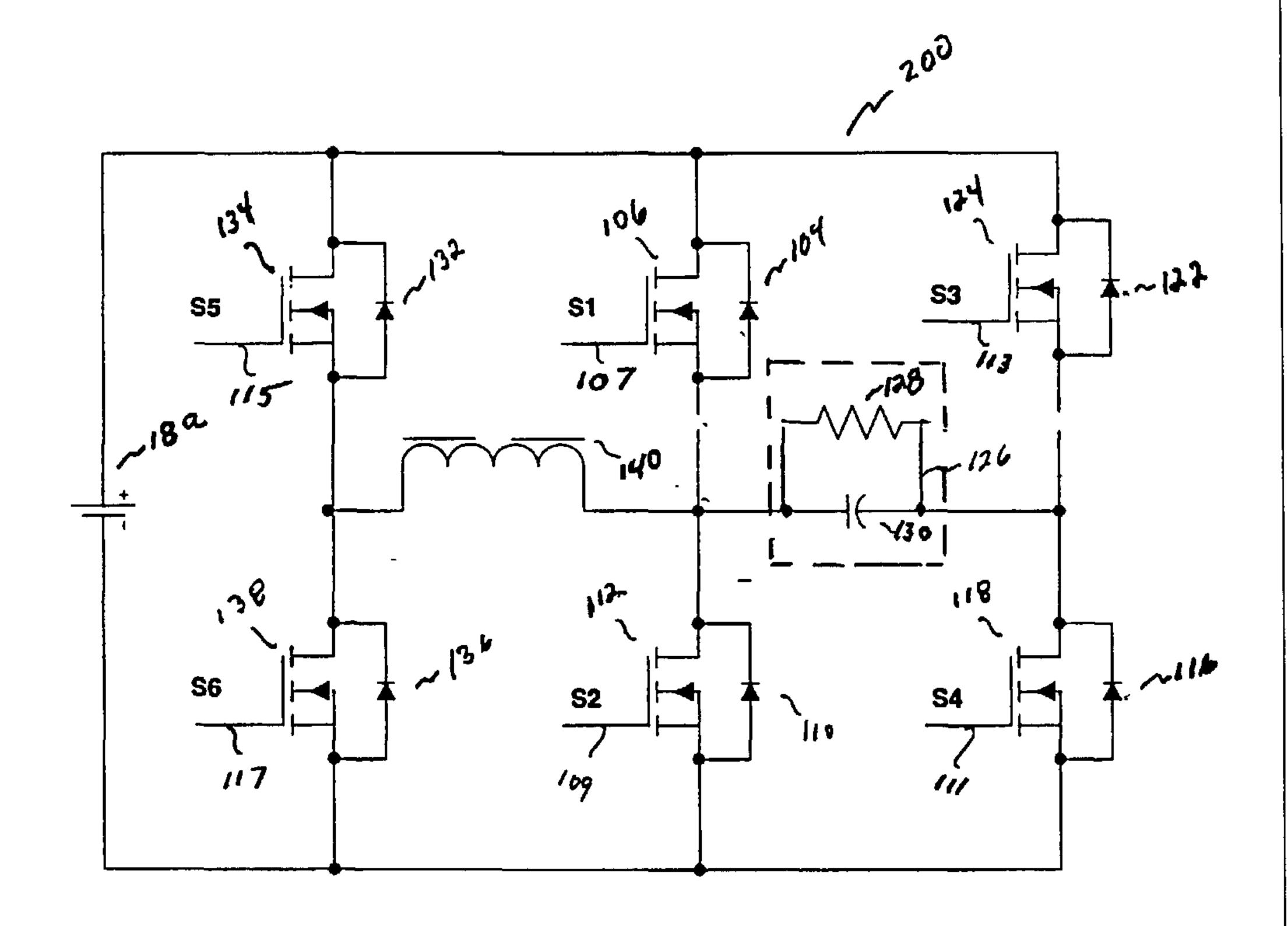
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(54) Title: METHOD AND APPARATUS FOR CONVERTING A DC VOLTAGE TO AN AC VOLTAGE

#### (57) Abstract

Embodiments of the present invention are directed an uninterruptible power supply for providing AC power to a load. In embodiments of the present invention, the uninterruptible power supply includes an input to receive AC power from an AC power source, an output that provides AC power, a DC voltage source that provides DC power, the DC voltage source having an energy storage device, an inverter (200) operatively coupled to the DC voltage source to receive DC power and to provide AC power. The inverter includes first and second output nodes to provide AC power to the load (126), first and second input nodes to receive DC power from the DC voltage source (18a), a resonant element (140) having a first terminal and a second terminal, the second being electrically terminal coupled to the first output node,



a first switch (134) electrically coupled between the first terminal of the resonant element and the first input node, and a set of switches (106, 112, 118, 124) operatively coupled between the first and second output nodes and the first and second input nodes and controlled to generate AC power from the DC power. The uninterruptible power supply further includes a transfer switch constructed and arranged to select one of the AC power source and the DC voltage source as an output power source for the uninterruptible power supply.

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## METHOD AND APPARATUS FOR CONVERTING A DC VOLTAGE TO AN AC VOLTAGE

### Field of the Invention

Embodiments of the present invention are directed generally to a method and an apparatus for converting a DC voltage to an AC voltage. More specifically, embodiments of the present invention are directed to methods and apparatus for converting DC voltages to AC voltages using resonant bridge inverter circuits in devices such as uninterruptible power supplies.

### Background of the Invention

The use of uninterruptible power supplies (UPSs) having battery back-up systems to provide regulated, uninterrupted power for sensitive and/or critical loads, such as computer systems, and other data processing systems is well known. Fig. 1 shows a typical prior art UPS 10 used to provide regulated uninterrupted power. The UPS 10 includes an input filter/surge protector 12, a transfer switch 14, a controller 16, a battery 18, a battery charger 19, an inverter 20, and a DC-DC converter 23. The UPS also includes an input 24 for coupling to an AC power source and an outlet 26 for coupling to a load.

The UPS 10 operates as follows. The filter/surge protector 12 receives input AC power from the AC power source through the input 24, filters the input AC power and provides filtered AC power to the transfer switch and the battery charger. The transfer switch 14 receives the AC power from the filter/surge protector 12 and also receives AC power from the inverter 20. The controller 16 determines whether the AC power available from the filter/surge protector is within predetermined tolerances, and if so, controls the transfer switch to provide the AC power from the filter/surge protector to the outlet 26. If the AC power from the rectifier is not within the predetermined tolerances, which may occur because of "brown out," "high line," or "black out" conditions, or due to power surges, then the controller controls the transfer switch to provide the AC power from the inverter 20. The DC-DC converter 23 is an optional component that converts the output of the battery to a voltage that is compatible with the inverter. Depending on the particular inverter and battery used the inverter may be operatively coupled to the battery either directly or through a DC-DC converter.

The inverter 20 of the prior art UPS 10 receives DC power from the DC-DC converter 23, converts the DC voltage to AC voltage, and regulates the AC voltage to predetermined specifications. The inverter 20 provides the regulated AC voltage to the transfer switch.

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Depending on the capacity of the battery and the power requirements of the load, the UPS 10 can provide power to the load during brief power source "dropouts" or for extended power outages.

In typical medium power, low cost inverters, such as inverter 20 of UPS 10, the waveform of the AC voltage has a rectangular shape rather than a sinusoidal shape. A typical prior art inverter circuit 100 is shown in Fig. 2 coupled to a DC voltage source 18a and coupled to a typical load 126 comprising a load resistor 128 and a load capacitor 130. The DC voltage source 18a may be a battery, or may include a battery 18 coupled to a DC-DC converter 23 and a capacitor 25 as shown in Fig. 2A. Typical loads have a capacitive component due to the presence of an EMI filter in the load. The inverter circuit 100 includes four switches S1, S2, S3 and S4. Each of the switches is implemented using power MOSFET devices which consist of a transistor 106, 112, 118, 124 having an intrinsic diode 104, 110, 116, and 122. Each of the transistors 106, 112, 118 and 124 has a gate, respectively 107, 109, 111 and 113. As understood by those skilled in the art, each of the switches S1 - S4 can be controlled using a control signal input to its gate. Fig. 3 provides timing waveforms for the switches to generate an output AC voltage waveform Vout (also shown in Fig. 3) across the capacitor 130 and the resistor 128.

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A major drawback of the prior art inverter circuit 100 is that for loads having a capacitive component, a significant amount of power is dissipated as the load capacitance is charged and discharged during each half-cycle of the AC waveform. This power is absorbed by the switches S1, S2, S3, S4, which typically requires the switches to be mounted to relatively large heat sinks. The power dissipation issue becomes greater for high voltage systems, wherein the energy required to charge the load capacitance is greater. The dissipation of power in the switches dramatically reduces the efficiency of the inverter, and accordingly, reduces the run-time of the battery 18 in the UPS 10. Temperature rise of the switches also becomes a large concern.

## Summary of the Invention

In embodiments of the present invention, the problems associated with power dissipation in an inverter due to capacitor charging are eliminated by providing a resonant circuit in the inverter to charge and discharge the capacitor.

In one general aspect, the present invention features an uninterruptible power supply for providing AC power to a load. The uninterruptible power supply includes an input to receive

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AC power from an AC power source, an output that provides AC power, a DC voltage source that provides DC power, the DC voltage source having an energy storage device, and an inverter operatively coupled to the DC voltage source to receive DC power and to provide AC power. The inverter includes first and second output nodes to provide AC power to the load, first and second input nodes to receive DC power from the DC voltage source, a resonant element having a first terminal and a second terminal, the second terminal being electrically coupled to the first output node, a first switch electrically coupled between the first terminal of the resonant element and the first input node, and a set of switches operatively coupled between the first and second output nodes and the first and second input nodes and controlled to generate AC power from the DC power. The uninterruptible power supply further includes a transfer switch constructed and arranged to select either the AC line or inverter power source as an output power source for the uninterruptible power supply.

The set of switches in the inverter can include a second switch electrically coupled between the second output node and the second input node, a third switch electrically coupled between the second output node and the first input node, a fourth switch electrically coupled between the first output node and the first input node, and a fifth switch electrically coupled between the first output node and the second input node. The inverter can further include a sixth switch electrically coupled between the first terminal of the resonant element and the second input node.

The resonant element in the inverter may include an inductor. Each of the switches may include a transistor. The energy storage device can include a battery. The transfer switch can be constructed and arranged to receive the AC power from the input and to receive the AC power from the inverter and to provide one of the AC power from the input and the AC power from the inverter to the load.

In another general aspect, the present invention features an uninterruptible power supply for providing AC power to a load having a capacitive element. The uninterruptible power supply includes an input to receive AC power from an AC power source, an output that provides AC power, a voltage source that provides DC power, the voltage source having an energy storage device, an inverter operatively coupled to the voltage source to receive DC power and having an output to provide AC power. The inverter includes means for charging the capacitive element by supplying electrical current from the inverter to the load through a resonant element, means for supplying load current from the inverter after the capacitive

element has been charged to a predetermined voltage, means for discharging the capacitive element through the resonant element, and means for transferring energy from the resonant element to the energy storage device. The uninterruptible power supply further includes a transfer switch constructed and arranged to select one of the AC power source and the voltage source as an output power source for the uninterruptible power supply.

In another general aspect, the present invention features a method of supplying an uninterruptible AC voltage to a load having a capacitive element using an uninterruptible power supply having a DC voltage source with an energy storage device. The method includes steps of charging the capacitive element by supplying electrical current from the DC voltage source to the load through a resonant element in the uninterruptible power supply, supplying load current from the DC voltage source to the load after the capacitive element has been charged to a predetermined voltage, discharging the capacitive element through the resonant element, and transferring energy from the resonant element to the energy storage device in the DC voltage source.

The method can further include steps of receiving an AC voltage from an AC power source, selecting one of the AC power source and the DC voltage source as an output power source for the uninterruptible power supply.

### Brief Description of the Drawings

- For a better understanding of the present invention, reference is made to the drawings which are incorporated herein by reference and in which:
  - Fig. 1 is a block diagram of a typical uninterruptible power supply;
  - Fig. 2 shows a schematic diagram of a typical prior art inverter circuit;
    - Fig. 2A shows a block diagram of a voltage source used with the inverter circuit of Fig.
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- Fig. 3 shows timing waveforms for the inverter circuit shown in Fig. 2;
- Fig. 4 shows a schematic diagram of an inverter circuit in accordance with one embodiment of the present invention;
  - Fig. 5 shows timing waveforms for the inverter circuit shown in Fig. 4;
- Fig. 6 illustrates a current path through the inverter of Fig. 4 during a charging mode of the inverter corresponding to a starting point of the positive half cycle of the output voltage waveform;

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Fig. 7 illustrates a current path through the inverter of Fig. 4 during a positive half cycle of the output voltage waveform;

Fig. 8 illustrates a current path through the inverter of Fig. 4 during a discharging mode of the inverter at the end of the positive half cycle of the output voltage waveform; and

Fig. 9 illustrates a current path through the inverter during an energy recovery mode of the inverter.

# **Detailed Description**

One embodiment of an inverter 200 in accordance with the present invention will now be described with reference to Fig. 4 which shows a schematic diagram of the inverter 200 coupled to the voltage source 18a and the load 126. The inverter 200 includes MOSFET switches S1, S2, S3 and S4 of the prior art inverter 100 and includes two additional MOSFET switches S5 and S6 and an inductor 140. In one embodiment, the switches S5 and S6 are similar to switches S1 - S4 and include a transistor 134, 138 having an intrinsic diode 132, 136. Each of the transistors 134 and 138 has a gate 115 and 117 that is used to control the state of the transistor.

In one embodiment that provides an output of 120 VAC, 400 VA, 25 amps peak current to the load from an input to the inverter of approximately 170 VDC, the switches S1 - S6 are implemented using part no. IRF640 available from International Rectifier of El Segundo, CA. For 220 VAC applications, the switches may be implemented using part no. IRF730 also available from International Rectifier. The inductor 140, in the 120 VAC embodiment, is implemented using a 1.5 mH inductor having a very high Bsat value to be able to withstand high peak currents without saturating. In one embodiment, the inductor is made from an El lamination structure of M-19, 18.5 mil steel having a large air gap between the E and I laminations. Other values of inductors may be used with embodiments of the present invention depending upon the peak switch current and physical size of the inductor desired. In selecting an inductor for use, the transition time, or time required to charge or discharge the load capacitance, should also be considered to prevent the transition time from becoming either too short or too long. If the transition time is too long, then the pulse width of the output waveform may become too long. If the transition time is too short, the peak switch currents become greater.

The operation of the inverter 200 to provide AC power to the load will now be described with reference to Figs 5 - 9. Fig. 5 provides a timing diagram of the operation of the

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switches S1 - S6 of the inverter 200 and also provides the output voltage waveform across the load 126. In the timing diagram of Fig. 5, for each of the switches S1 - S6, when the corresponding waveform is in the high state, the switch is turned on (conducting state) and when the corresponding waveform is in the low state the switch is turned off (non-conducting state).

In the inverter 200, the switches are shown as being implemented using NMOS devices. As known by those skilled in the art, for an NMOS device, a control signal having a positive state is supplied to the gate of the device to turn the device on (conducting), while a control signal having a low state is supplied to the gate to turn the device off (non-conducting). Accordingly, the timing diagram of each of the switches also represents the state of the control signal provided to the gate of the corresponding transistor. In embodiments of the present invention, the control signals may be provided from, for example, controller 16 of the UPS of Fig. 1 when the inverter is used in a UPS. Alternatively, the control signals may be supplied using timing logic circuits residing within the inverter itself as is known in the art.

During a first time period from t0 to t1 in Fig. 5, switches S4 and S5 are turned on and switches S1, S2, S3 and S6 are turned off creating a current path through the inverter 200 in the direction of arrows 150 as shown in Fig. 6. Only the components of the inverter 200 in the current path created during the first time period are shown in Fig. 6. As shown in Fig. 6, with switches S4 and S5 turned on, the inductor 140 and the load 126 are connected in series across the voltage source 18a. During the first period, the output voltage across the load Vout rises in a resonant manner from zero volts to the voltage of the voltage source 18a. The output voltage Vout is prevented from rising beyond the voltage of the voltage source by the diode 104 (Fig. 7) of switch S1. The diode 104 will conduct current to limit the output voltage Vout to the voltage of the voltage source.

Once the output voltage Vout reaches the voltage of the voltage source (or shortly thereafter), at time t1, switch S1 is turned on and switch S5 is turned off. Switches S1 and S4 remain on for a second period from time t1 to time t2, during which time, the load is coupled across the voltage source 18a. Fig. 7 shows the current path through the inverter during the second time period. As shown in Fig, 7, load current during the second period follows arrows 154. Also during the second time period, the energy that was stored in the inductor during the first time period causes the voltage across the inductor to reverse and energy in the inductor is released to a storage device in the voltage source, such as a battery or a capacitor, through a

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current that follows a path along arrow 156 through diode 104 of switch 1 and diode 136 of switch 6. In addition, depending upon the load impedance, current from the energy stored in the inductor may also follow a path through the load.

During a third time period from time t2 to time t3, the voltage across the load is returned to zero. At time t2, switches S1 and S4 are turned off to disconnect the load from the voltage source and switch S6 is turned on to place the inductor effectively across the load as shown in Fig. 8. During the third time period, energy stored in the load capacitor 130 is transferred to the inductor 140, and the voltage across the load decreases to zero. The output voltage Vout is prevented from going negative by diode 110 (Fig. 9) of switch S2. The diode 110 will conduct current to limit the output voltage to zero.

At time t3 switch S6 is turned off, and all switches remain off during a fourth time period from t3 until t4. The current path through the inverter 200 during the fourth time period follows arrows 160 shown in Fig. 9. During the fourth time period, the energy in the inductor 140 freewheels into the voltage source 18a through diodes 110 and 132 of S2 and S5, and the voltage across the load typically remains at zero. The time from t3 until t4 is normally chosen to be long enough to permit all of the inductor energy to be transferred to the voltage source 18a.

During a fifth time period from t4 to t5, switches S1 and S3 are turned on to maintain a low impedance across the load to prevent any external energy from charging the output to a non-zero voltage. This is referred to as the "clamp" period. At time t5, all switches are again turned off and remain off for a sixth time period until time t6.

Beginning at time t6, and continuing until time t9 the negative half cycle of the AC waveform is created. The negative half cycle is created in substantially the same manner as the positive half cycle described above, except that switch S3 is substituted for switch S4, switch S6 is substituted for S5 and switch S2 is substituted for S1. The positive and negative half cycles then continue to be generated in an alternating manner to create an AC output voltage waveform.

In one embodiment of the present invention, in an inverter designed to generate 60 Hz voltage waveforms, the first time period from t0 to t1 is approximately 130 microseconds, the second time period from t1 to t2 is approximately 4 milliseconds, the third time period from t2 to t3 is approximately 130 microseconds, the fourth time period from t3 to t4 is approximately 100 microseconds, the time period from time t4 to t5 is approximately 4.2 milliseconds, and

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the time period from t5 to t6 is approximately 10 microseconds. In this embodiment, the negative half cycle of the waveform is symmetric with the positive half cycle, and accordingly, the rise time, fall time and duration of the negative half cycle are approximately equal to those of the positive half cycle.

In embodiments described above, during the clamp period from t4 to t5 after a positive half cycle and during the clamp period from t10 to t11 after a negative half cycle, switches S1 and S3 are turned on to clamp the output to a low impedance. In another embodiment of the present invention, during the clamp period from t4 to t5 following a positive half cycle, switches S2 and S4 are turned on rather than switches S1 and S3. In this embodiment, as in the previously described embodiments, during the clamp period from t10 to t11, switches S1 and S3 are turned on, however, switches S2 and S4 could be turned on in place of switches S1 and S3. Turning on S2 instead of S1 after the positive half cycle is beneficial in that the voltage drop across S2 is lowered, and since switch S2 is in the energy recovery path for inductor 140, the lower voltage drop increases circuit efficiency.

In embodiments of the present invention, the inverter 200, is used in the manner described above, to create an output AC voltage having the waveform shown in Fig. 5 from an input DC voltage using a resonance circuit. The use of the resonance circuit allows the load capacitance to be charged and discharged with only a minimum power loss. The only power losses incurred in the inverter 200 are due to characteristics of inverter components including the ESR of the inductor and due to series resistance of each of the switches when in the on state. Thus, inverters in accordance with embodiments of the present invention, do not require bulky heat sinks like inverters of the prior art, and are more efficient than inverters of the prior art. The improved efficiency of inverters in accordance with embodiments of the present invention make them particularly desirable for use in uninterruptible power supplies, wherein they can extend the operating time of a UPS in battery mode, reduce the size and weight of the UPS and reduce electromagnetic emissions from the UPS.

In embodiments of the present invention described above, inverters are described as being used with uninterruptible power supplies, for example, in place of the inverter 20 in the UPS 10 of Fig. 1. As understood by those skilled in the art, inverters of the present invention may also be used with other types of uninterruptible power supplies. For example, the inverters may be used with UPSs in which an input AC voltage is converted to a DC voltage and one of the converted DC voltage and a DC voltage provided from a battery-powered DC

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voltage source is provided to an input of the inverter to create the AC output voltage of the UPS. In addition, as understood by those skilled in the art, inverters in accordance with embodiments of the present invention may also be used in systems and devices other than uninterruptible power supplies.

In the inverter 200 described above, MOSFET devices are used as the switches S1 - S6. As understood by those skilled in the art, a number of other electrical or mechanical switches, such as IGBT's with integral rectifiers, or bipolar transistors having a diode across the C-E junction, may be used to provide the functionality of the switches. Further, in embodiments of the present invention, each of the switches S1 - S6 need not be implemented using the same type of switch.

In embodiments of the invention discussed above, an inductor is used as a resonant element in inverter circuits. As understood by one skilled in the art, other devices having a complex impedance may be used in place of the inductor, however, it is desirable that any such device be primarily inductive in nature.

In the embodiments of the present invention described above, energy is returned from the inductor to the voltage source after the load capacitance has been discharged. As understood by those skilled in the art, the voltage source may include a battery that receives the energy from the inductor, or the voltage source may include a storage device other than a battery, such as a capacitor, coupled in parallel across the voltage source that receives the energy.

Having thus described at least one illustrative embodiment of the invention, various alterations, modifications and improvements will readily occur to those skilled in the art. Such alterations, modifications and improvements are intended to be within the scope and spirit of the invention. Accordingly, the foregoing description is by way of example only and is not intended as limiting. The invention's limit is defined only in the following claims and the equivalents thereto.

What is claimed is:

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#### **CLAIMS**

1. An uninterruptible power supply for providing AC power to a load, the uninterruptible power supply comprising:

an input to receive AC power from an AC power source;

an output that provides AC power;

a DC voltage source that provides DC power, the DC voltage source having an energy storage device;

an inverter operatively coupled to the DC voltage source to receive DC power and to provide AC power, the inverter including:

first and second output nodes to provide AC power to the load;

first and second input nodes to receive DC power from the DC voltage source;

a resonant element having a first terminal and a second terminal, the second terminal being electrically coupled to the first output node;

a first switch electrically coupled between the first terminal of the resonant element and the first input node; and

a set of switches operatively coupled between the first and second output nodes and the first and second input nodes and controlled to generate AC power from the DC power; and

a transfer switch constructed and arranged to select one of the AC power source and the DC voltage source as an output power source for the uninterruptible power supply.

2. The uninterruptible power supply of claim 1, wherein the set of switches includes: a second switch electrically coupled between the second output node and the second input node;

a third switch electrically coupled between the second output node and the first input node;

a fourth switch electrically coupled between the first output node and the first input node; and

a fifth switch electrically coupled between the first output node and the second input node.

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- 3. The uninterruptible power supply of claim 2, wherein the inverter further includes: a sixth switch electrically coupled between the first terminal of the resonant element and the second input node.
  - 4. The uninterruptible power supply of claim 3, wherein the resonant element includes an inductor.
- 5. The uninterruptible power supply of claim 4, wherein each of the switches includes a transistor.
  - 6. The uninterruptible power supply of claim 5, wherein the energy storage device includes a battery.
  - 7. The uninterruptible power supply of claim 6, wherein the transfer switch is constructed and arranged to receive the AC power from the input and to receive the AC power from the inverter and to provide one of the AC power from the input and the AC power from the inverter to the load.
  - 8. The uninterruptible power supply of claim 1, wherein the resonant element includes an inductor.
- 9. The uninterruptible power supply of claim 1, wherein each of the switches includes a transistor.
  - 10. The uninterruptible power supply of claim 1, wherein the energy storage device includes a battery.
- 11. The uninterruptible power supply of claim 1, wherein the transfer switch is constructed and arranged to receive the AC power from the input and to receive the AC power

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from the inverter and to provide one of the AC power from the input and the AC power from the output of the inverter to the load.

12. An uninterruptible power supply for providing AC power to a load having a capacitive element, the uninterruptible power supply comprising:

an input to receive AC power from an AC power source;

an output that provides AC power;

a voltage source that provides DC power, the voltage source having an energy storage device;

an inverter operatively coupled to the voltage source to receive DC power and having an output to provide AC power, the inverter including:

means for charging the capacitive element by supplying electrical current from the inverter to the load through a resonant element;

means for supplying load current from the inverter after the capacitive element has been charged to a predetermined voltage;

means for discharging the capacitive element through the resonant element; and means for transferring energy from the resonant element to the energy storage device; and

a transfer switch constructed and arranged to select one of the AC power source and the voltage source as an output power source for the uninterruptible power supply.

- 13. The uninterruptible power supply of claim 12, wherein the energy storage device includes a battery.
- 14. The uninterruptible power supply of claim 13, wherein the resonant element includes an inductor.
- 15. The uninterruptible power supply of claim 14, wherein the transfer switch is constructed and arranged to receive the AC power from the input and to receive the AC power from the output of the inverter and to provide one of the AC power from the input and the AC power from the output of the inverter to the load.

- 16. The uninterruptible power supply of claim 12, wherein the resonant element includes an inductor.
- 17. The uninterruptible power supply of claim 12, wherein the transfer switch is constructed and arranged to receive the AC power from the input and to receive the AC power from the output of the inverter and to provide one of the AC power from the input and the AC power from the output of the inverter to the load.
- 18. A method of supplying an uninterruptible AC voltage to a load having a capacitive element using an uninterruptible power supply having a DC voltage source with an energy storage device, the method comprising steps of:

charging the capacitive element by supplying electrical current from the DC voltage source to the load through a resonant element in the uninterruptible power supply;

supplying load current from the DC voltage source to the load after the capacitive element has been charged to a predetermined voltage;

discharging the capacitive element through the resonant element; and transferring energy from the resonant element to the energy storage device in the DC voltage source.

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19. The method of claim 18, further comprising steps of:

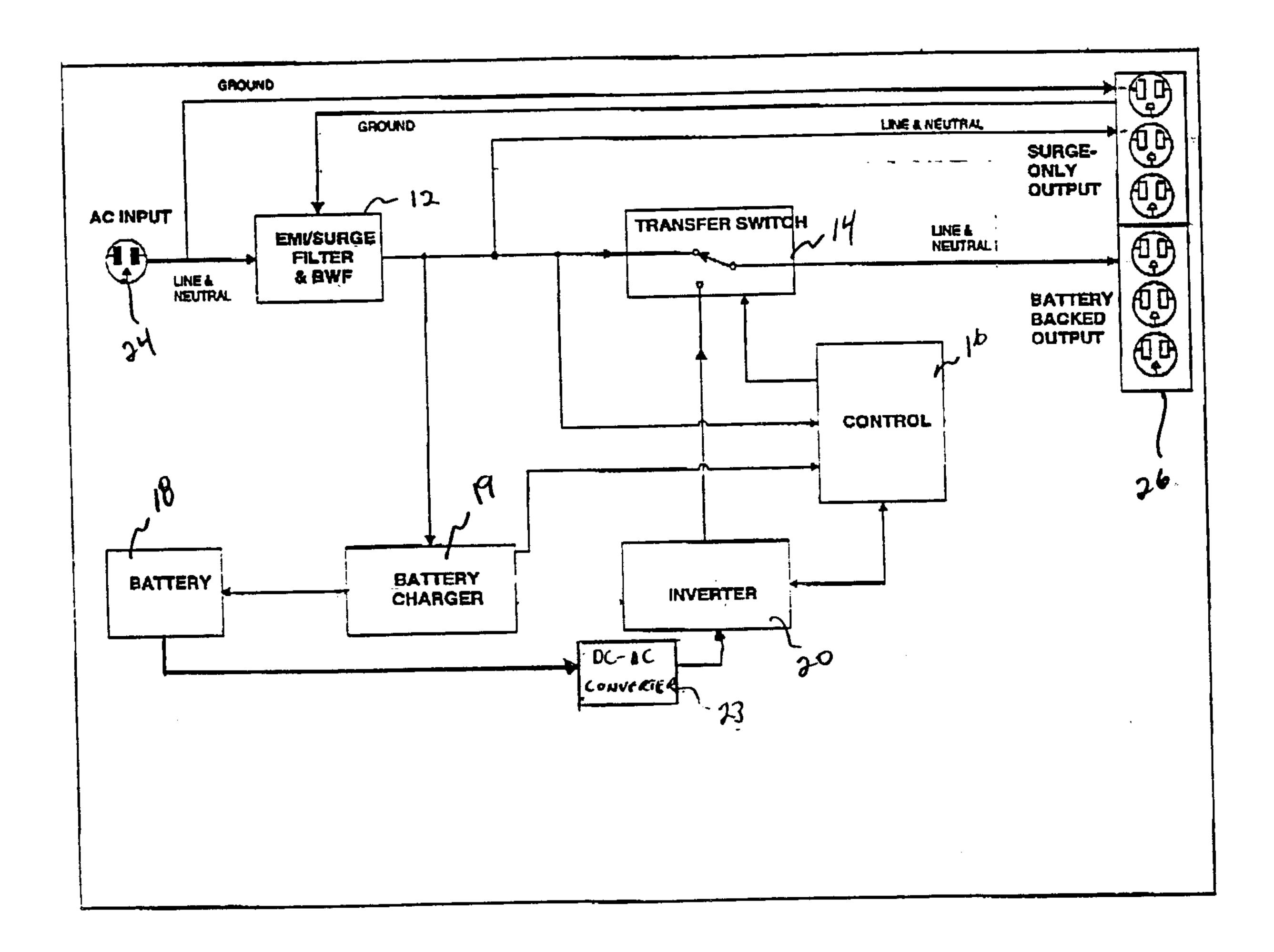
receiving an AC voltage from an AC power source;

selecting one of the AC power source and the DC voltage source as an output power source for the uninterruptible power supply.

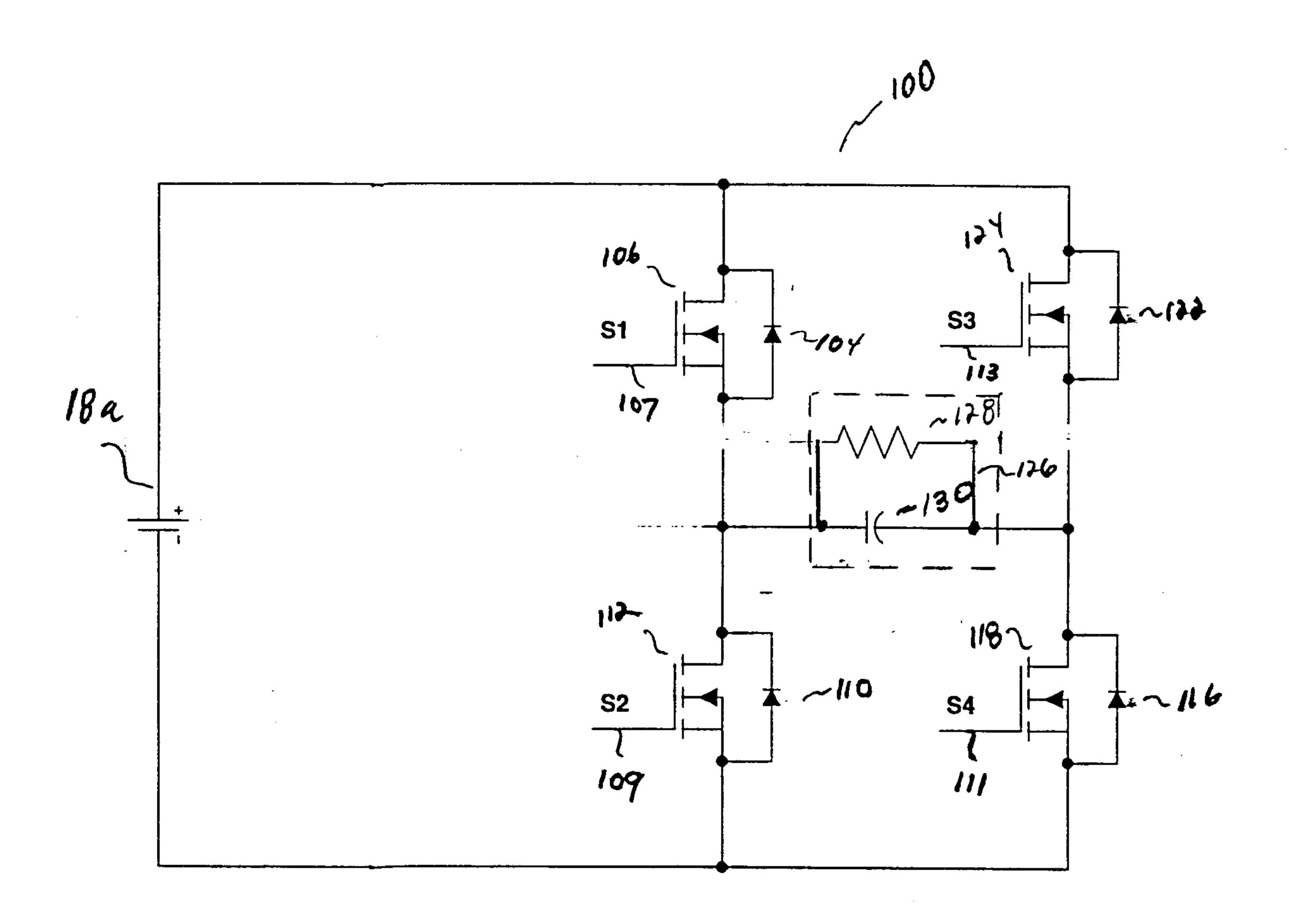
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20. The method of claim 18, wherein the resonant element includes an inductor.

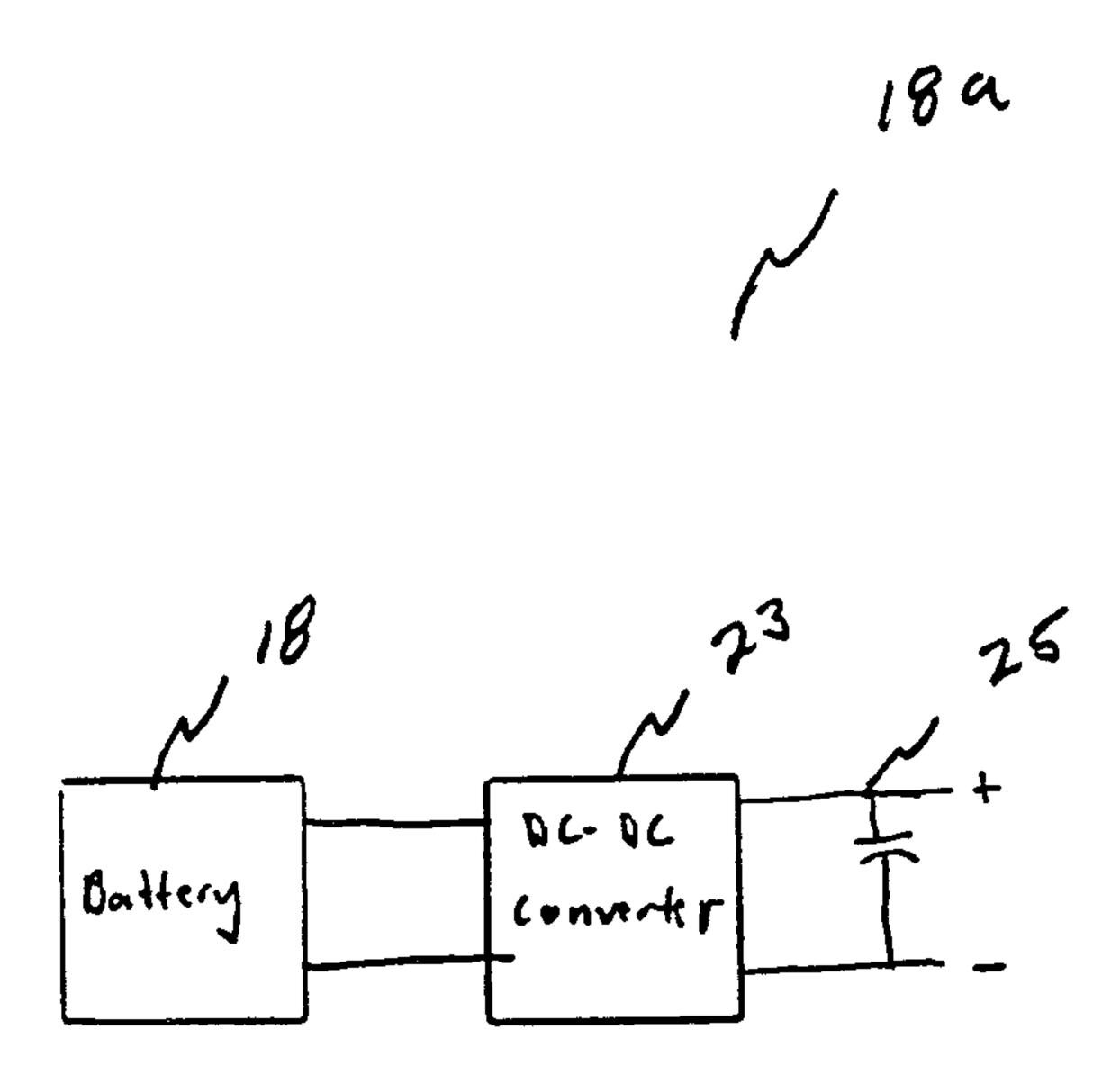




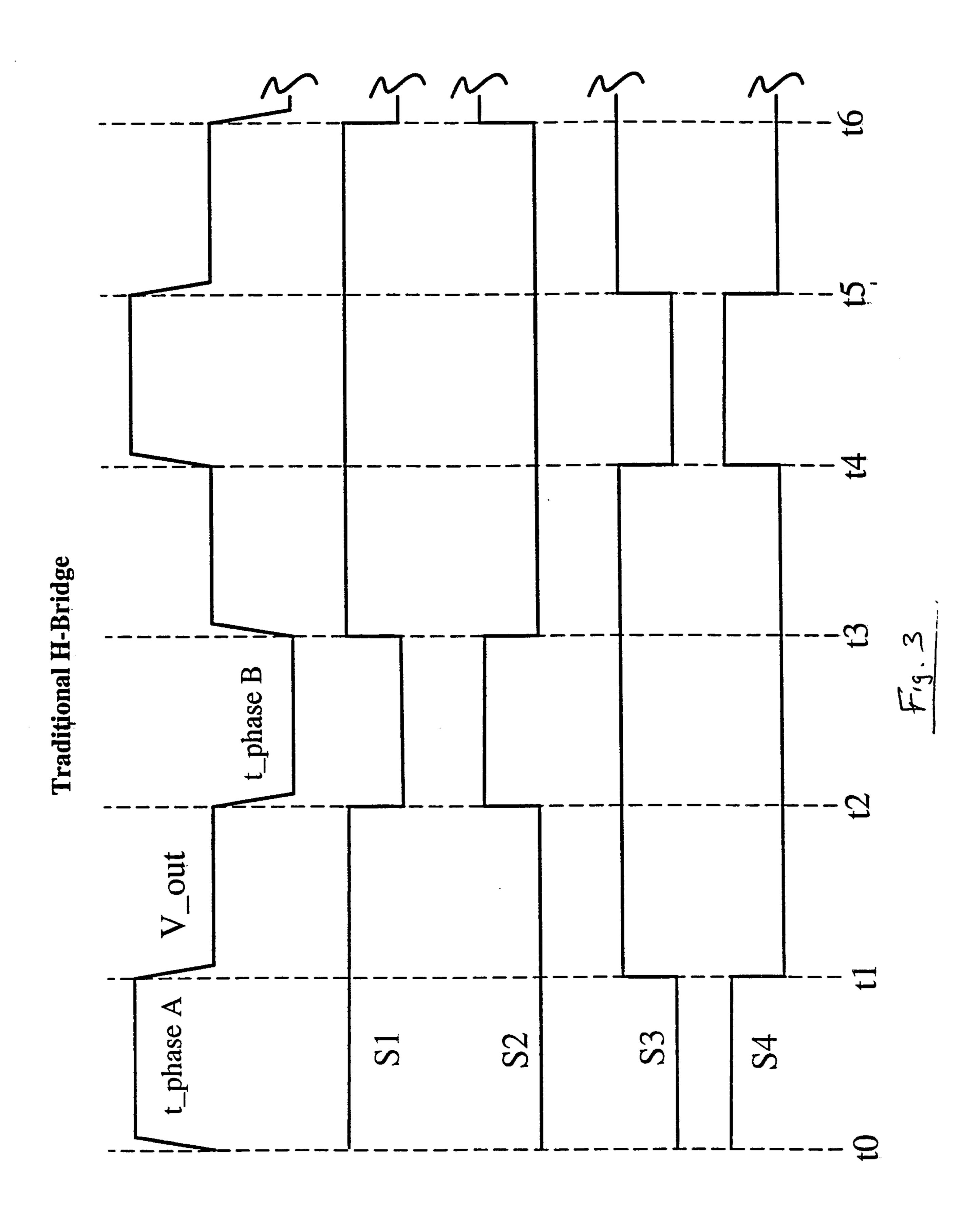
F16.1

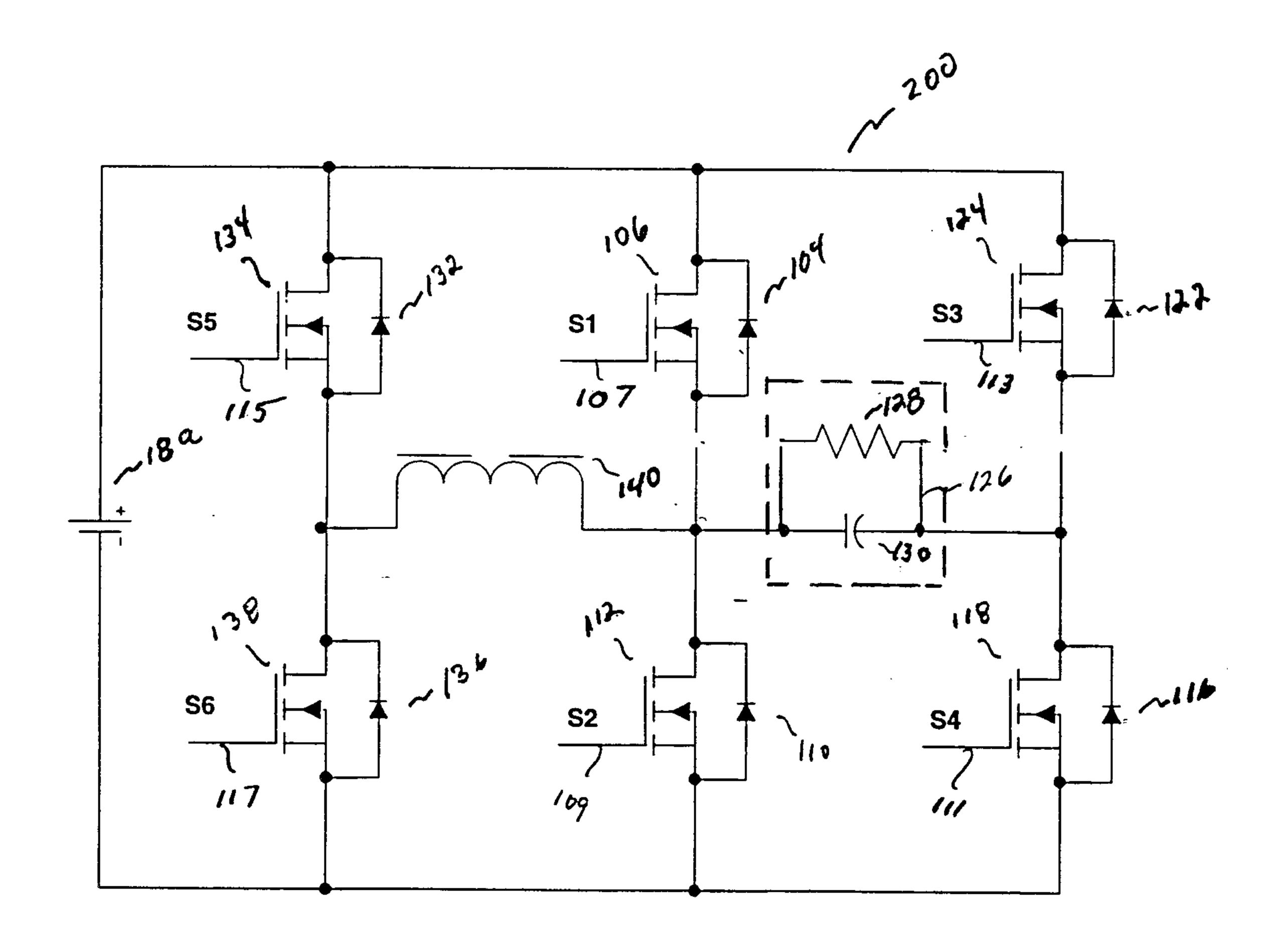


F16. 2 (PRIOR ART)



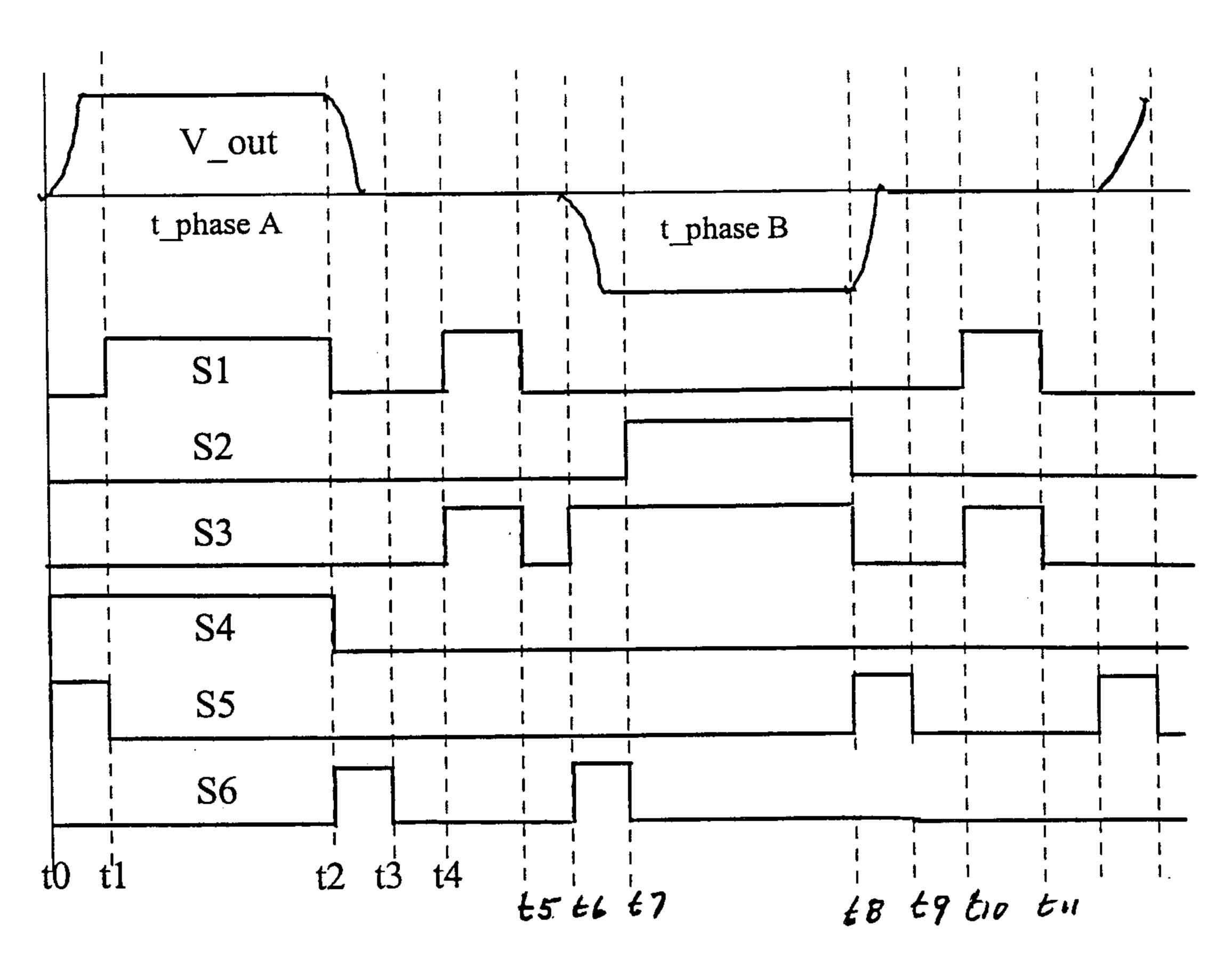
F16. 2A



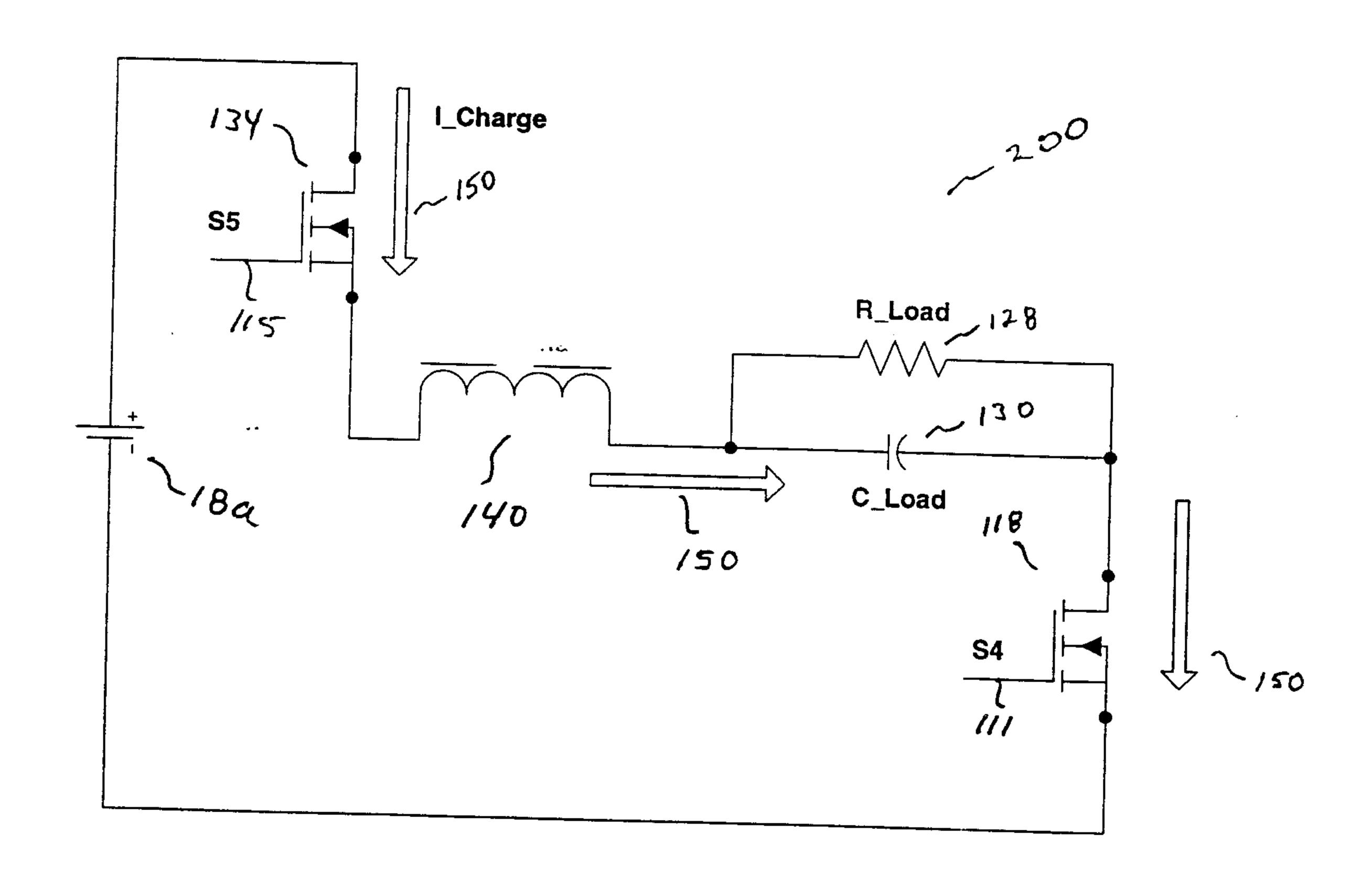


F16. 4

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Fib. 5



F16 6

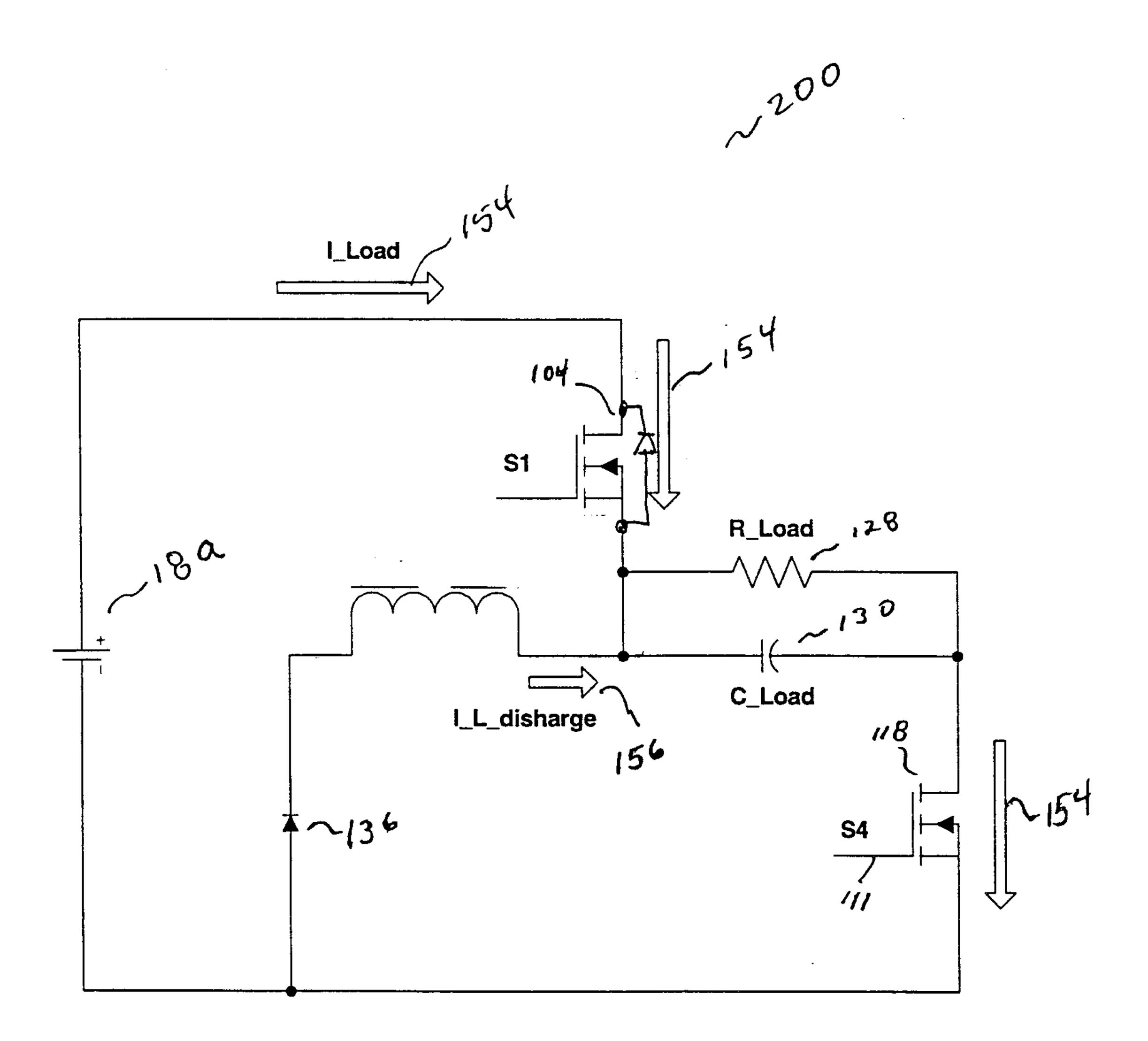
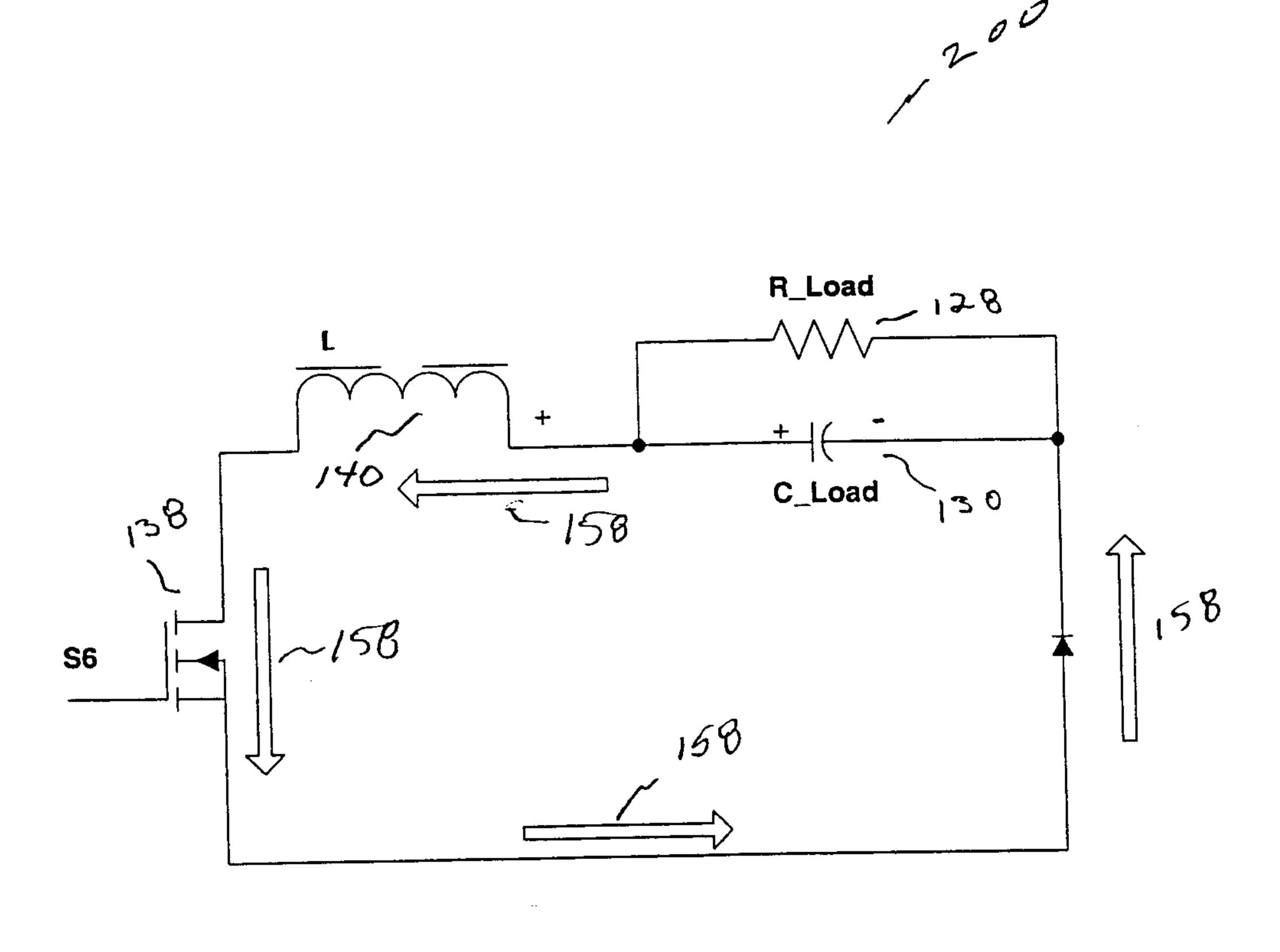


Fig 7



F16 8

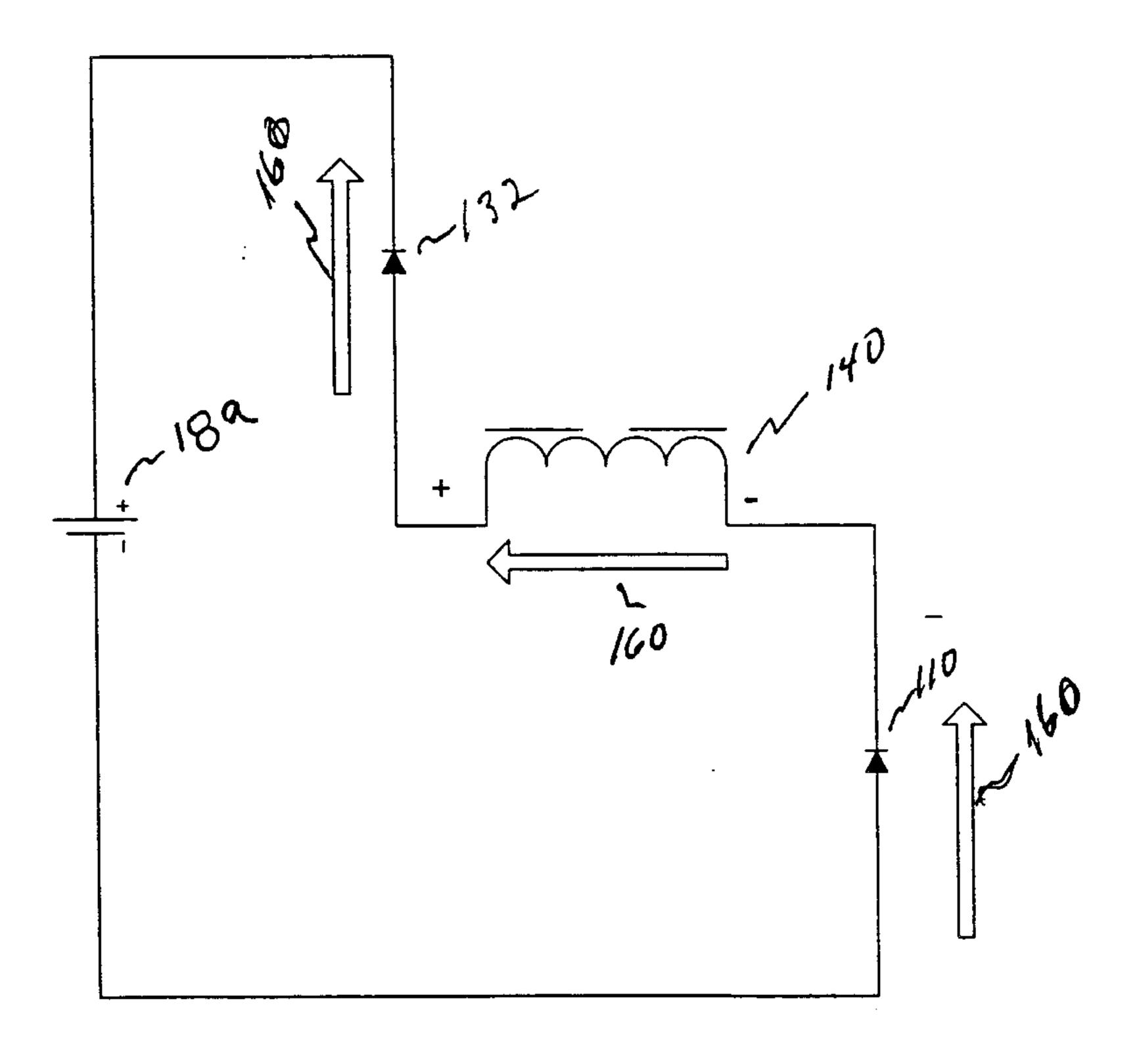


Fig 9

