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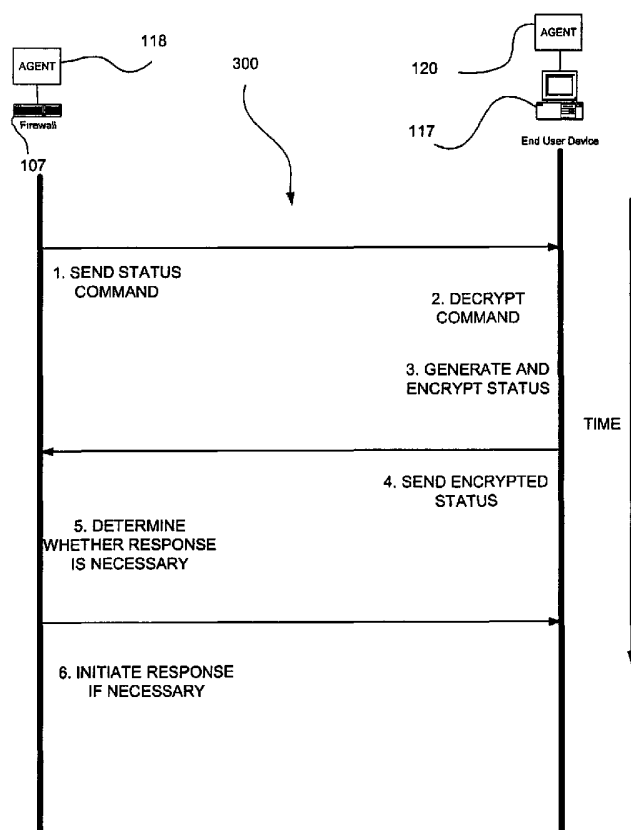
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(54) Title: ANTI-VIRUS POLICY ENFORCEMENT SYSTEM AND METHOD



(57) Abstract: A system, method and computer program product (120) are provided for enforcing an anti-virus policy. Initially, a status command (402) is received at a client computer (116, 117) from a network device utilizing a network (104, 106, 108). In response to the status (404) is sent to the network device utilizing the network. Such status relates to anti-virus scanning software (121) on the client computer. Next, a response is initiated at the client computer utilizing the network based on the status.

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# ANTI-VIRUS POLICY ENFORCEMENT SYSTEM AND METHOD

## FIELD OF THE INVENTION

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The present invention relates to anti-virus scanners, and more particularly to ensuring that anti-virus scanners operate properly to prevent malicious code attacks.

## BACKGROUND OF THE INVENTION

10

Network security management is becoming a more difficult problem as networks grow in size and become a more integral part of organizational operations. Attacks on networks are growing both due to the intellectual challenge such attacks represent for hackers and due to the increasing payoff for the serious attacker.

15 Furthermore, the attacks are growing beyond the current capability of security management tools to identify and quickly respond to those attacks. As various attack methods are tried and ultimately repulsed, the attackers will attempt new approaches with more subtle attack features. Thus, maintaining network security is on-going, ever changing, and an increasingly complex problem.

20

Computer network attacks can take many forms and any one attack may include many security events of different types. Security events are anomalous network conditions each of which may cause an anti-security effect to a computer network. Security events include stealing confidential or private information;  
25 producing network damage through mechanisms such as viruses, worms, or Trojan horses; overwhelming the network's capability in order to cause denial of service, and so forth.

Security systems often employ security risk-assessment tools, i.e. "scanners,"  
30 to search for known types of security events in the form of malicious programs such

as viruses, worms, and Trojan horses. Still yet, scanners are used for content filtering to enforce an organization's operational policies [i.e. detecting harassing or pornographic content, junk e-mails, misinformation (virus hoaxes), etc.].

5           Unfortunately, such scanners are often susceptible to tampering, deactivation, removal, etc., especially when the scanners are installed on a client computer readily accessible by a user. Often, such user may intentionally disable a scanner to increase the speed of his or her computer, or may unintentionally remove the software during the normal course of use of the computer. In still other  
10       scenarios, some users do not take the time to update the scanners with the latest virus signatures that are currently available.

              These situations often result in a client computer that is highly susceptible to a virus attack or the like, especially when access is gained to an unsecured network  
15       such as the Internet. There is thus a need for a more effective method of enforcing anti-virus policies.

**DISCLOSURE OF THE INVENTION**

A system, method and computer program product are provided for enforcing an anti-virus policy. Initially, a status command is received at a client computer  
5 from a network device utilizing a network. In response to the status command, a status is sent to the network device utilizing the network. Such status relates to anti-virus scanning software on the client computer. Next, a response is initiated at the client computer utilizing the network based on the status.

10 In one embodiment, the status command may be received in response to an attempt to access the network by the client computer. Further, the status command may be received on a predetermined port and/or utilizing user datagram protocol (UDP).

15 In another embodiment, the network device may include a firewall. In such embodiment, it may be determined whether the status command is received from the firewall. As such, the status may be conditionally sent based on the determination as to whether the status command is received from the firewall.

20 In still another embodiment, the status command may be encrypted. Such command may be decrypted upon receipt. Moreover, the status may be encrypted prior to sending it over the network.

In one embodiment, the status may relate to a version of the anti-virus  
25 scanning software. Further, the status may relate to any tampering of the anti-virus scanning software. Still yet, the status may relate to any removal of the anti-virus scanning software. Even still, the status may relate to any deactivation of the anti-virus scanning software. Various exemplary responses to such statuses will now be set forth.

30

For example, the response may include conditionally preventing access to the network by the client computer based on the status. Further, such access to the network may be prevented until an action is carried out.

5           As an option, the response may conditionally include a notice to the user of the client computer based on the status. Such notice may indicate that the user is required to reinstall the anti-virus scanning software. Further, the notice may indicate that the user is required to reactivate the anti-virus scanning software.

10           Moreover, the response may include requiring an action at the client computer.  
For example, the response may conditionally require a reinstallation of the anti-virus scanning software based on the status. Similarly, the response may conditionally require a reactivation of the anti-virus scanning software based on the status.

15           The response may also conditionally include an update command received from the network device based on the status. Such update command may optionally be encrypted. In use, the update command may be received if the status indicates that the anti-virus scanning software on the client computer requires an update.

20           In one aspect of the present embodiment, the anti-virus scanning software may be updated in response to the update command. More particularly, the anti-virus scanning software may be updated utilizing the network. Optionally, the updating of the anti-virus scanning software may include sending an update request  
25           to a server utilizing the network, and receiving an update from the server. Such update may include a plurality of virus signatures in the form of a plurality of .DAT files or the like.

30           In yet another embodiment, various measures may be taken to prevent denial of service (DoS) attacks. In particular, an amount of time that elapsed between the receipt of the status command and a previous receipt of the status command may be

determined. Further, the status may be conditionally sent in response to the status command based on the amount of time that has elapsed. In particular, such status may be sent in response to the status command only if the amount of time that has elapsed is greater than a predetermined amount.

5

In order to provide a more automated environment, the status may be automatically sent to the network device in response to the status command. Further, the anti-virus scanning software may be automatically updated in response to the update command.

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As an option, the various operations of the present embodiment may be carried out for a plurality of client computers communicating with the network device. Such client computers may communicate with the network device via a local area network (LAN).

15

**BRIEF DESCRIPTION OF THE DRAWINGS**

5       Figure 1 illustrates a network architecture, in accordance with one embodiment.

10       Figure 2 shows a representative hardware environment that may be associated with the data servers and/or client computers of Figure 1, in accordance with one embodiment.

15       Figure 3 illustrates a flow diagram illustrating the various communications between the firewall agent and the client agent of Figure 1 for enforcing an anti-virus policy, in accordance with one embodiment.

20       Figure 4 is a more detailed flow diagram showing the various operations carried out by the firewall agent of Figure 3.

      Figure 5 illustrates a method carried out by the client agent in order to enforce an anti-virus policy.



### **DESCRIPTION OF THE PREFERRED EMBODIMENTS**

Figure 1 illustrates a network architecture **100**, in accordance with one  
5 embodiment. As shown, a plurality of networks **102** are provided including a first  
network **104** and a second network **106**. Also included is at least one firewall **107**  
coupled between the networks **102** and a third network **108**. In the context of the  
present network architecture **100**, the networks **104**, **106**, **108** may each take any  
form including, but not limited to a local area network (LAN), a wide area network  
10 (WAN) such as the Internet, etc. Further, any number of networks may be included.

Further included is at least one server **114** coupled to the third network **108**,  
and which is accessible from the networks **102** via the firewall **107**. It should be  
noted that the server(s) **114** may include any type of computing device/groupware.  
15 Coupled to each server **114** is a plurality of client computers **116**. Such client  
computers **116** may include a desktop computer, lap-top computer, hand-held  
computer, printer or any other type of logic. It should be noted that a client  
computer **117** may also be directly coupled to any of the networks, in one  
embodiment.

20 In use, the firewall **107** serves as an entrance point from the networks **102** to  
the third network **108**. Further, the firewall **107** may serve as an entrance from one  
of the networks **102** and one of the client computers **116**, **117** and/or server(s) **114**.  
Of course, the firewall **107** may be configured to serve as an entrance point to any  
25 client computer, network, and/or sub-network.

Further provided are software agents including a firewall agent **118** coupled  
to and situated behind the firewall **107**, and a client agent **120** coupled to one of the  
client computers **117**. In various other embodiments, the client agent **120** may be  
30 associated with a server **114** which is in turn coupled to client computers for  
administrative purposes. In such a scenario, such server **114** may be referred to as a

“client computer.” Further, the agent **118** may be coupled to any other type of intermediary network device coupled to the client computers **117**.

The client computers **117** may also be equipped with a scanner **121** including anti-virus scanning software. Such scanner **121** may be equipped to probe for network weaknesses by simulating certain types of security events that make up an attack. Such scanner **121** may also test user passwords for suitability and security. Moreover, the scanner **121** may also search for known types of security events in the form of malicious programs such as viruses, worms, and Trojan horses. Still yet, [0]the scanner **121** may be adapted for content filtering to enforce an organization’s operational policies [i.e. detecting harassing or pornographic content, junk e-mails, misinformation (virus hoaxes), etc.]. Of course, the scanner **121** may take any other sort of security measures such as e-mail attachment filtering, etc.

Also provided is a database **122** that is coupled to an associated server (i.e. server **114**). Such database **122** is equipped with virus signature updates that may be selectively distributed to the client computers **117** for updating the scanner **121**.

As will soon become apparent, the software agents serve to enforce an anti-virus policy on the client computers **117** or any other related device. This is accomplished by monitoring a status of the scanner **121** at the client computers **117**, and initiating a response if the scanner **121** at the client computer **117** is insufficient for one reason or another. The manner in which this is accomplished will be set forth hereinafter in greater detail during reference to Figures **3-5**.

Figure **2** shows a representative hardware environment that may be associated with the data servers **114** and/or client computers **116**, **117** of Figure **1**, in accordance with one embodiment. Such figure illustrates a typical hardware configuration in accordance with a preferred embodiment having a central processing unit **210**, such as a microprocessor, and a number of other units interconnected via a system bus **212**.

The present hardware shown in Figure 2 includes a Random Access Memory (RAM) 214, Read Only Memory (ROM) 216, an I/O adapter 218 for connecting peripheral devices such as disk storage units 220 to the bus 212, a user interface  
5 adapter 222 for connecting a keyboard 224, a mouse 226, a speaker 228, a microphone 232, and/or other user interface devices such as a touch screen (not shown) to the bus 212, communication adapter 234 for connecting the present hardware to a communication network 235 (e.g., a data processing network) and a  
10 display adapter 236 for connecting the bus 212 to a display device 238. It should be noted that the present hardware is set forth for illustrative purposes only, and should not be construed as limiting in any manner.

The present hardware may have resident thereon an operating system such as the Microsoft Windows NT or Windows/95 Operating System (OS), the IBM OS/2  
15 operating system, the MAC OS, or UNIX operating system. It will be appreciated that a preferred embodiment may also be implemented on platforms and operating systems other than those mentioned. A preferred embodiment may be written using JAVA, C, and/or C++ language, or other programming languages, along with an object oriented programming methodology. Object oriented programming (OOP)  
20 has become increasingly used to develop complex applications.

Figure 3 illustrates a flow diagram 300 illustrating the various communications between the firewall agent 118 and the client agent 120 for enforcing an anti-virus policy at the client computer 117, in accordance with one  
25 embodiment. Initially, in operation 1, a status command is received by the client agent 120 of the client computer 117 from a network device such as the firewall 107 utilizing a network.

As an option, such status command may be encrypted. In such case, the  
30 status command may be decrypted at the client computer 117. Note operation 2.

In response to the status command, a status is generated and sent to the network device utilizing the network. See operation 3-4. Optionally, such status may be encrypted. The status relates to the anti-virus scanner 121 on the client computer 117, or any other factor relating to the security of the client computer 117.

5

In one embodiment, the status may relate to a version of the anti-virus scanning software. Further, the status may relate to any tampering of the anti-virus scanning software. Still yet, the status may relate to any removal of the anti-virus scanning software. Even still, the status may relate to any deactivation of the anti-virus scanning software.

10

Next, in operation 5, it is determined whether the status is sufficient for protecting the client computer 117 from an attack. Based on this determination, a response is initiated at the client computer 117 utilizing the network if it is necessary. See operation 6.

15

In one embodiment, the response may include conditionally preventing access to a network such as the Internet by the client computer 117. Such access to the network may be prevented until an appropriate security action is carried out.

20

As an option, the response may conditionally include a notice to the user of the client computer based on the status. Such notice may indicate that the user is required to reinstall the anti-virus scanning software. Further, the notice may indicate that the user is required to reactivate the anti-virus scanning software.

25

Moreover, the response may require an action at the client computer 117. For example, the response may conditionally require a reinstallation of the anti-virus scanning software based on the status. Similarly, the update may conditionally require a reactivation of the anti-virus scanning software based on the status.

30

The response may also conditionally include an update command received from the network device based on the status. Such update command may optionally be encrypted. In use, the update command may be received if the status indicates that the anti-virus scanning software on the client computer requires an update.

5 More information on such embodiment will now be set forth during reference to Figures 4-5.

Figure 4 is a more detailed flow diagram showing the various operations carried out by the firewall agent 118 of Figure 3. As shown, it is first determined  
10 whether the client computer 117 is attempting to access a network such as the Internet. Note decision 401. Of course, any other triggering situation may be monitored which may make the client computer 117 subject to an attack.

Once triggered, in operation 402, the firewall agent 118 sends a status  
15 command to the client agent 120 at the client computer 117 requesting a status of the scanner 121 thereof. In response thereto, a status may be received from the client computer 117 in operation 404.

It may then be determined in decision 406 as to whether an update to the  
20 scanner 121 is necessary. In one embodiment, the status of the scanner 121 may include a version number. Moreover, the update may be deemed necessary if the version number is below a predetermined acceptable number.

If it is necessary, in operation 408, an update command may be sent for  
25 initiating an update process on the client computer 117. In any case, the present method 400 is stalled until it is determined at decision 410 that sufficient time has elapsed for reinitiating the process. More information will now be set forth regarding the manner in which the agent 120 on the client computer 117 operates.

30 Figure 5 illustrates a method 500 carried out by the client computer 117 in order to enforce an anti-virus policy. While the method 500 may be carried out by

the agent 120 on the client computer 117, it should be noted that similar functionality may be applied in any desired environment. Initially, a predetermined port is monitored in operation 502. Such port 502 may be preselected for receiving commands from the firewall agent 118 at the firewall 107.

5

As communications are received on the predetermined port, it is determined in decision 504 as to whether any of such communications is received utilizing user datagram protocol (UDP). If so, such command is interpreted in operation 506. Such interpretation may include a parsing of the command to determine a source thereof, as well as any other desired parameters.

10

Once interpreted, it is determined whether the command was received from the firewall 107. See decision 508. If so, the command is decrypted and extracted for identification purposes in operation 510. As mentioned earlier, the commands are encrypted prior to being sent from the firewall agent 118. It should be noted that an encryption key may be based on a shared secret concatenated with the IP address of the client computer 117.

15

The type of command may then be discerned in decision 512. As an option, the difference between the status command and the update command may simply be one (1) bit of information. If the command is a status command as set forth in operation 402 of Figure 4, the status of the scanner 121 may be sent in operation 510. Such status may include a version number, a license number, operating system information, and/or a timestamp of the last update. In order to provide a more automated environment, the status may be automatically sent to the network device in response to the status command.

20

25

If, however, the command is an update command, access to the network that was originally requested may be blocked for security reasons. Note operation 516. Again, this may be accomplished automatically in order to provide a more automated environment.

30

Thereafter, a separate update command may be sent to the scanner **121** for initiating a scanner update process involving the database **122**. Note operation **518**. In response thereto, the anti-virus scanning software is automatically updated in response to the update command. Specifically, the updating of the anti-virus scanning software may include sending an update request to the server **114** utilizing the network, and receiving an update from the server **114**. Such update may include a plurality of virus signatures in the form of a plurality of .DAT files or the like. For more information regarding such update process, reference may be made to US Patent No.: 6,035,423 and US Patent No.: 6,269,456, which are each incorporated herein by reference.

As an option, various measures may be taken to prevent denial of service (DoS) attacks. In particular, an amount of time that elapsed between the receipt of the command and a previous receipt of the command may be determined in decision **520**. Further, the method **500** may only be repeated if the amount of elapsed time is greater than a predetermined amount (i.e. 10 seconds).

As an option, the various operations of the present embodiment may be carried out for a plurality of client computers communicating with the network device. Such client computers may communicate with the network device via a local area network (LAN).

While various embodiments have been described above, it should be understood that they have been presented by way of example only, and not limitation. For example, any of the network components may employ any of the desired functionality set forth hereinabove. Thus, the breadth and scope of a preferred embodiment should not be limited by any of the above-described exemplary embodiments, but should be defined only in accordance with the following claims and their equivalents.

CLAIMS

What is claimed is:

- 1 1. A method for enforcing an anti-virus policy, comprising:
  - 2 (a) receiving a status command at a client computer from a network device
  - 3 utilizing a network;
  - 4 (b) sending a status to the network device utilizing the network in response to
  - 5 the status command, the status relating to anti-virus scanning software on the
  - 6 client computer; and
  - 7 (c) initiating a response at the client computer utilizing the network based on the
  - 8 status.
- 1 2. The method as recited in claim 1, wherein the status command is received in
- 2 response to an attempt to access the network by the client computer.
- 1 3. The method as recited in claim 1, wherein the status command is received on
- 2 a predetermined port.
- 1 4. The method as recited in claim 1, wherein the status command is received
- 2 utilizing user datagram protocol (UDP).
- 1 5. The method as recited in claim 1, wherein the network device includes a
- 2 firewall.
- 1 6. The method as recited in claim 5, and further comprising determining
- 2 whether the status command is received from the firewall.



- 1    7.    The method as recited in claim 6, wherein the status is conditionally sent  
2        based on the determination as to whether the status command is received  
3        from the firewall.
- 1    8.    The method as recited in claim 1, wherein the status command is encrypted,  
2        and further comprising decrypting the status command.
- 1    9.    The method as recited in claim 8, and further comprising encrypting the  
2        status prior to sending the status utilizing the network.
- 1    10.   The method as recited in claim 1, wherein the response includes  
2        conditionally preventing access to the network by the client computer based  
3        on the status.
- 1    11.   The method as recited in claim 1, wherein the response includes requiring an  
2        action at the client computer.
- 1    12.   The method as recited in claim 12, and further comprising preventing access  
2        to the network until the action is carried out.
- 1    13.   The method as recited in claim 1, wherein the response conditionally  
2        includes a notice to the user of the client computer based on the status.
- 1    14.   The method as recited in claim 13, wherein the notice indicates that the user  
2        is required to reinstall the anti-virus scanning software.
- 1    15.   The method as recited in claim 13, wherein the notice indicates that the user  
2        is required to reactivate the anti-virus scanning software.

- 1    16.    The method as recited in claim 1, wherein the response conditionally  
2           requires a reinstallation of the anti-virus scanning software based on the  
3           status.
- 1    17.    The method as recited in claim 1, wherein the response conditionally  
2           requires a reactivation of the anti-virus scanning software based on the  
3           status.
- 1    18.    The method as recited in claim 1, wherein the response conditionally  
2           includes an update command received from the network device based on the  
3           status.
- 1    19.    The method as recited in claim 18, wherein the update command is  
2           encrypted.
- 1    20.    The method as recited in claim 18, wherein the update command is received  
2           if the status indicates that the anti-virus scanning software on the client  
3           computer requires an update.
- 1    21.    The method as recited in claim 18, and further comprising updating the anti-  
2           virus scanning software in response to the update command.
- 1    22.    The method as recited in claim 21, wherein the anti-virus scanning software  
2           is updated utilizing the network.
- 1    23.    The method as recited in claim 22, wherein the updating of the anti-virus  
2           scanning software includes sending an update request to a server utilizing the  
3           network.
- 1    24.    The method as recited in claim 23, wherein the updating of the anti-virus  
2           scanning software further includes receiving an update from the server.

- 1 25. The method as recited in claim 24, wherein the update includes a plurality of  
2 virus signatures.
- 1 26. The method as recited in claim 24, wherein the update includes a plurality of  
2 .DAT files.
- 1 27. The method as recited in claim 1, and further comprising determining an  
2 amount of time that elapsed between the receipt of the status command and a  
3 previous receipt of the status command.
- 1 28. The method as recited in claim 27, and further comprising conditionally  
2 sending the status in response to the status command based on the amount of  
3 time that has elapsed.
- 1 29. The method as recited in claim 28, and further comprising sending the status  
2 in response to the status command if the amount of time that has elapsed is  
3 greater than a predetermined amount to prevent a denial of service attack  
4 (DOS).
- 1 30. The method as recited in claim 1, wherein the status is automatically sent to  
2 the network device in response to the status command.
- 1 31. The method as recited in claim 20, wherein the anti-virus scanning software  
2 is automatically updated in response to the update command.
- 1 32. The method as recited in claim 1, wherein the status relates to a version of  
2 the anti-virus scanning software.
- 1 33. The method as recited in claim 1, wherein the status relates to any tampering  
2 of the anti-virus scanning software.

- 1 34. The method as recited in claim 1, wherein the status relates to any removal of  
2 the anti-virus scanning software.
- 1 35. The method as recited in claim 1, wherein the status relates to any  
2 deactivation of the anti-virus scanning software.
- 1 36. The method as recited in claim 1, wherein (a) – (c) are carried out for a  
2 plurality of client computers communicating with the network device.
- 1 37. The method as recited in claim 36, wherein the client computers  
2 communicate with the network device via a local area network (LAN).
- 1 38. A computer program product for enforcing an anti-virus policy, comprising:  
2 (a) computer code for receiving a status command at a client computer from a  
3 network device utilizing a network;  
4 (b) computer code for sending a status to the network device utilizing the  
5 network in response to the status command, the status relating to anti-virus  
6 scanning software on the client computer; and  
7 (c) computer code for initiating a response at the client computer utilizing the  
8 network based on the status.
- 1 39. A system for enforcing an anti-virus policy, comprising:  
2 (a) means for receiving a status command at a client computer from a network  
3 device utilizing a network;  
4 (b) means for sending a status to the network device utilizing the network in  
5 response to the status command, the status relating to anti-virus scanning  
6 software on the client computer; and  
7 (c) means for initiating a response at the client computer utilizing the network  
8 based on the status.
- 1 40. A system for enforcing an anti-virus policy, comprising:

- 2 (a) a client agent for receiving a status command at a client computer from a  
3 network device utilizing a network, and sending a status to the network  
4 device utilizing the network in response to the status command, the status  
5 relating to anti-virus scanning software on the client computer; and  
6 (b) wherein a response at the client computer is initiated utilizing the network  
7 based on the status.

- 1 41. A method for enforcing an anti-virus policy, comprising:  
2 (a) attempting to gain access to a network via a firewall utilizing a client  
3 computer;  
4 (b) receiving a command from the firewall utilizing the network; and  
5 (c) sending information to the firewall utilizing the network in response to the  
6 command;  
7 (d) wherein the information relates to anti-virus scanning software on the client  
8 computer.

- 1 42. A method for enforcing an anti-virus policy, comprising:  
2 (a) attempting to gain access to a network via a firewall utilizing a client  
3 computer;  
4 (b) sending a status to the firewall utilizing the network, the status relating to  
5 anti-virus scanning software on the client computer; and  
6 (c) gaining access to the network via the firewall based on the status.

- 1 43. A method for enforcing an anti-virus policy, comprising:  
2 (a) attempting to gain access to a network via a firewall utilizing a client  
3 computer; and  
4 (b) identifying a status relating to anti-virus scanning software on the client  
5 computer;  
6 (c) wherein access is selectively allowed to the network via the firewall based on  
7 the status.

- 1 44. A computer program product for enforcing an anti-virus policy, comprising:  
2 (a) computer code for attempting to gain access to a network via a firewall  
3 utilizing a client computer; and  
4 (b) computer code for identifying a status relating to anti-virus scanning  
5 software on the client computer;  
6 (c) wherein access is selectively allowed to the network via the firewall based on  
7 the status.
- 1 45. A method for enforcing an anti-virus policy, comprising:  
2 (a) receiving a status command at a client computer from a network device  
3 utilizing a network;  
4 (b) sending a status to the network device utilizing the network in response to  
5 the status command, the status relating to anti-virus scanning software on the  
6 client computer;  
7 (c) receiving an update command from the network device based on the status,  
8 the update command being received if the status indicates that the anti-virus  
9 scanning software on the client computer requires an update; and  
10 (d) updating the anti-virus scanning software in response to the update  
11 command.
- 1 46. A method for enforcing an anti-virus policy, comprising:  
2 (a) monitoring a port on a client computer;  
3 (b) receiving a command at the client computer utilizing a network;  
4 (c) determining whether the command is received from a firewall; and  
5 (d) if it is determined that the command is received from the firewall:  
6 (i) decrypting the command,  
7 (ii) determining whether the command includes a status command or an  
8 update command,  
9 (iii) if the command includes a status command, sending a status to the  
10 firewall utilizing the network in response to the status command, the

- 11 status relating to anti-virus scanning software on the client computer,  
12 and  
13 (iv) if the command includes an update command, updating the anti-virus  
14 scanning software utilizing the network in response to the update  
15 command.

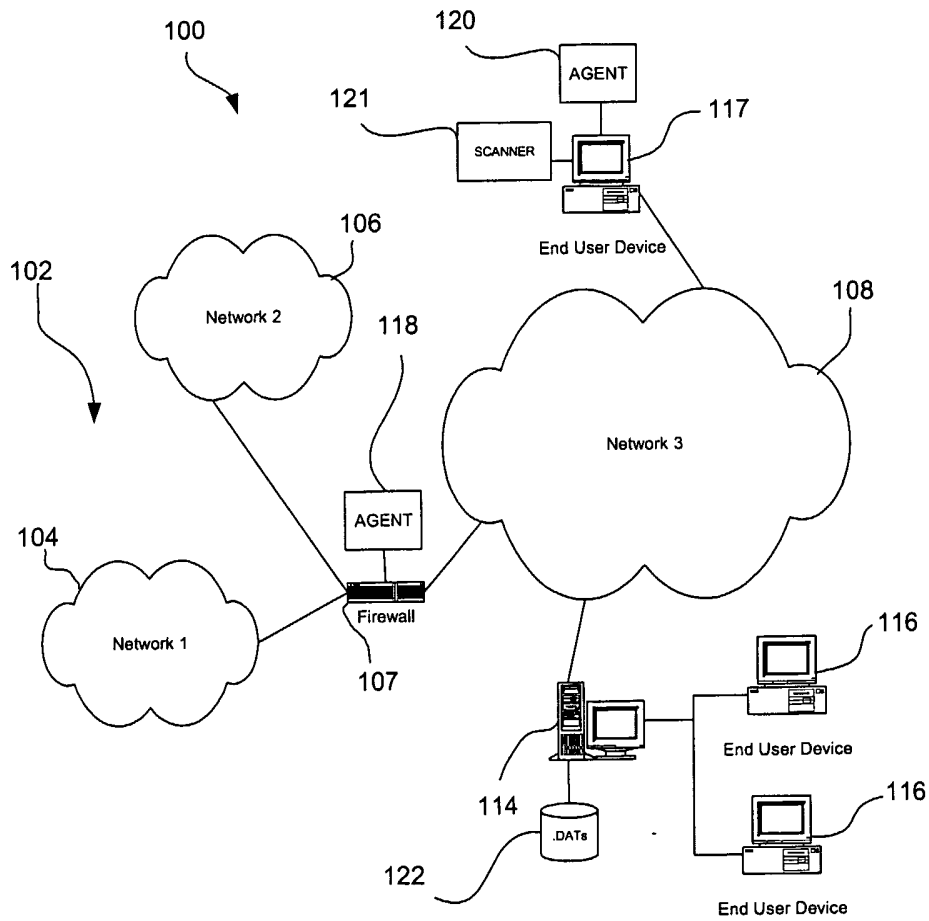
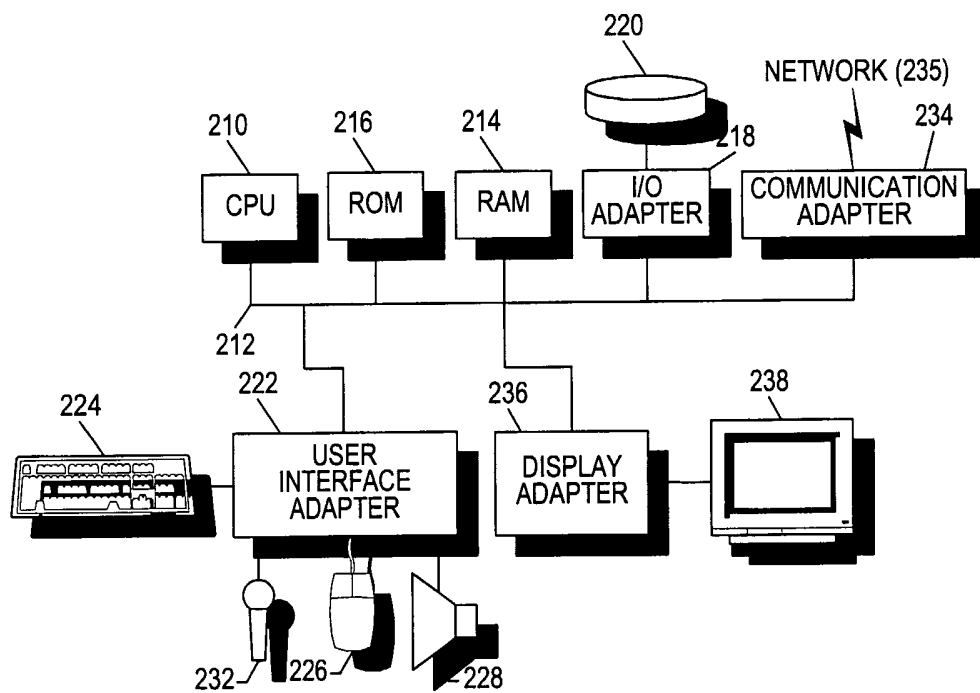
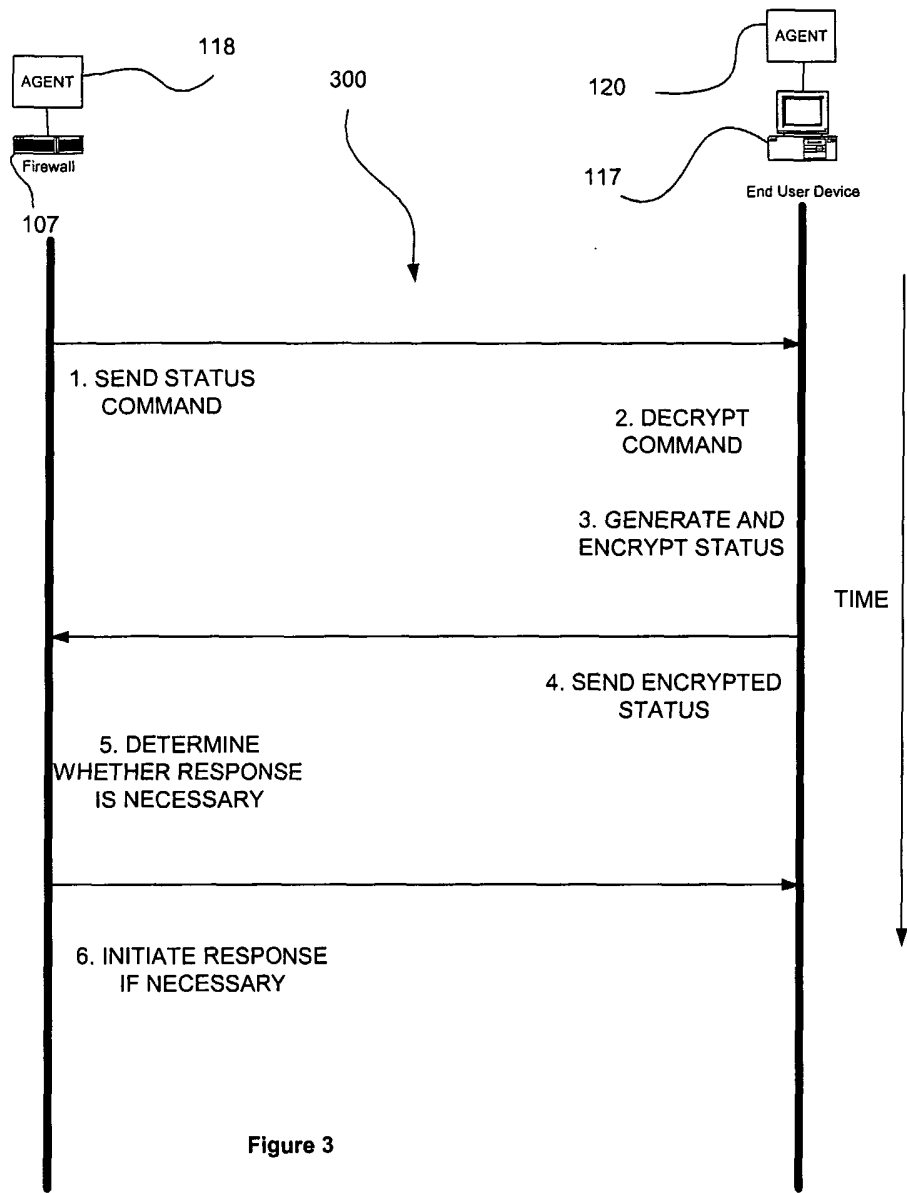


Figure 1



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**Figure 2**



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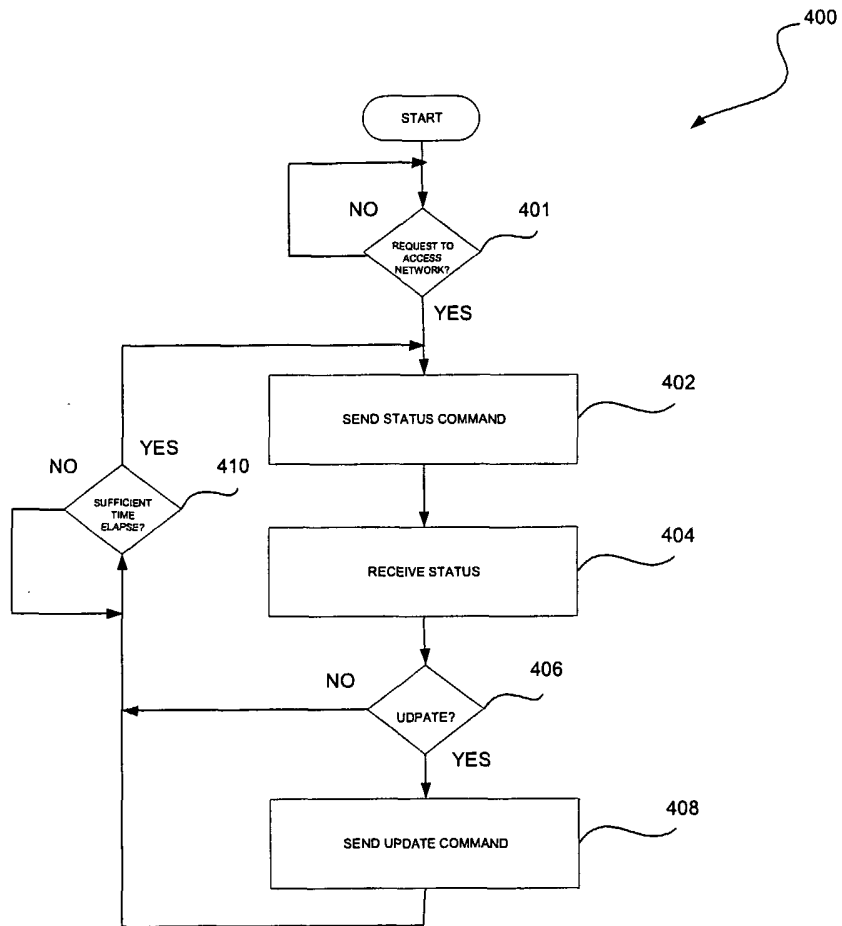


Figure 4

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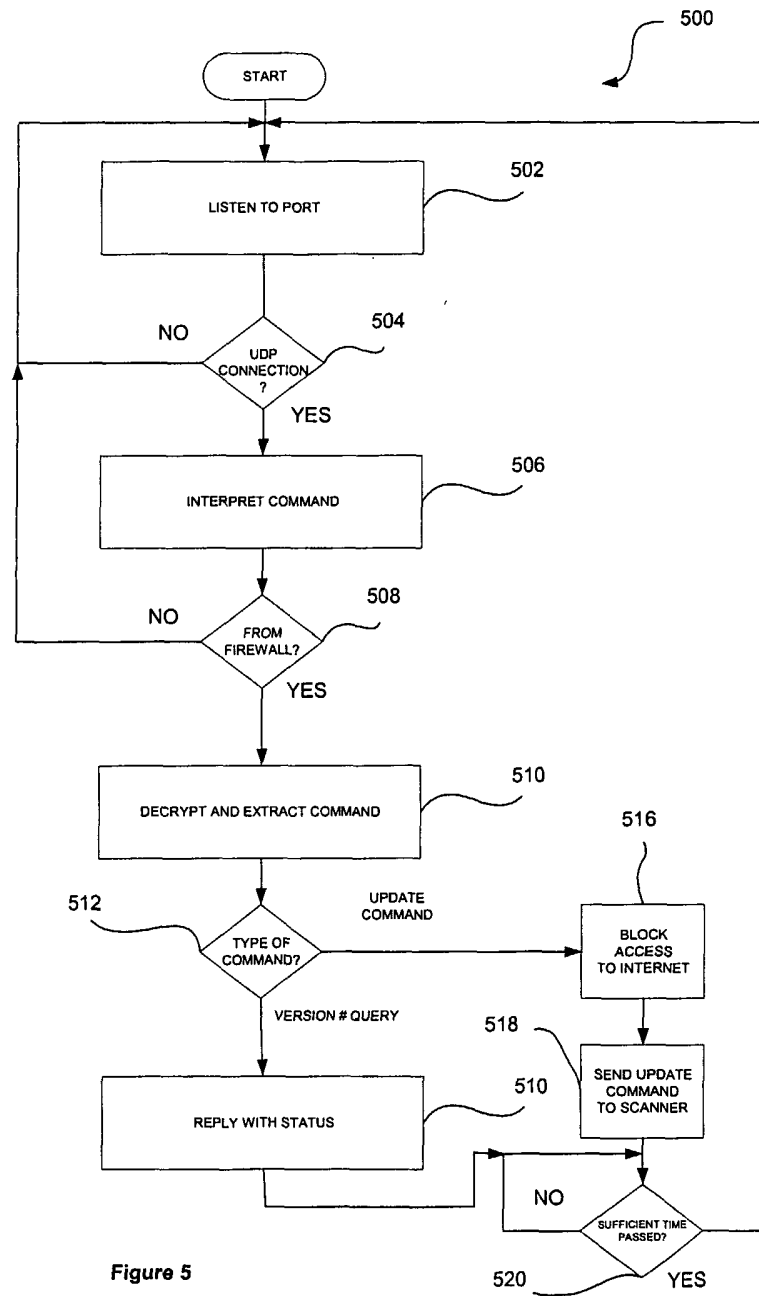


Figure 5

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/29302

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : G06F 15/16

US CL : 709/229;

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 709/229;206,201; 713/201,188;710/36;345/418;380/49

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
Please See Continuation Sheet

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 6,119,165 A (LI et al) 12 September 2000 col 3-5	1-46
Y	US 6,088,803 A (TSO et al) 11 July 2000 col 2-8	1-46
Y	US 6,269,447 B1(MALONEY et al) 31 July 2001 col 3-7	1-46
Y	US 6,205,551 B1 (GROSSE) 20 March 2001, col 3-8	1-46
Y	US 6,075,863 A (KRISHNAN et al) 13 June 2000, col 2-6	1-46
Y	US 5,832,208 A (CHEN et al) 03 November 1998 col 6-12	1-46
Y	US 5,550,976 A (HENDERSON et al) 27 August 1996, col 12-23	1-46

☐

Further documents are listed in the continuation of Box C.

☐

See patent family annex.

<p>* Special categories of cited documents:</p>		"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E"	earlier application or patent published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
"O"	document referring to an oral disclosure, use, exhibition or other means		
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

06 November 2002 (06.11.2002)

Date of mailing of the international search report

18 DEC 2002

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## INTERNATIONAL SEARCH REPORT

PCT/US02/29302

### Continuation of B. FIELDS SEARCHED Item 3:

-Real World Anti-Virus product reviews and Evaluations-The current state of Affairs  
[csrc.nist.gov/nissc/1996/papers/NISSC96/paper019/final.PDF](http://csrc.nist.gov/nissc/1996/papers/NISSC96/paper019/final.PDF)  
-Applying Mobile Agents to Intrusion Detection and Response - Jansen, Mell.. (1999)  
[www.itl.nist.gov/div893/staff/mell/maresponse.pdf](http://www.itl.nist.gov/div893/staff/mell/maresponse.pdf)