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(54) Title: MULTILAYER IMAGEABLE ELEMENT WITH IMPROVED CHEMICAL RESISTANCE

(57) **Abstract:** Positive-working imageable elements comprise a radiation absorbing compound and inner and outer layers on a substrate having a hydrophilic surface. The inner layer comprises a polymer that is removable using an alkaline developer and in which from 1 to 50 mol % of its recurring units are derived from one or more of the ethylenically unsaturated polymerizable monomers represented by the following Structure (I):  $\text{CH}_2=\text{C}(\text{R}^1)\text{C}(=\text{O})\text{NR}^2(\text{CR}^3\text{R}^4)_n\text{OH}$  (I) wherein  $\text{R}^1, \text{R}^2, \text{R}^3$  and  $\text{R}^4$  are independently hydrogen, lower alkyl, or phenyl, and n is 1 to 20. The imageable elements having improved resistance to development and printing chemicals and solvents.



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## MULTILAYER IMAGEABLE ELEMENT WITH IMPROVED CHEMICAL RESISTANCE

### FIELD OF THE INVENTION

5           This invention relates to positive-working imageable elements that have improved thermal bakeability and chemical resistance. It also relates to methods of using these elements to obtain lithographic printing plates and images therefrom.

### 10           BACKGROUND OF THE INVENTION

          In conventional or "wet" lithographic printing, ink receptive regions, known as image areas, are generated on a hydrophilic surface. When the surface is moistened with water and ink is applied, the hydrophilic regions retain the water and repel the ink, and the ink receptive regions accept the ink and repel  
15   the water. The ink is transferred to the surface of a material upon which the image is to be reproduced. For example, the ink can be first transferred to an intermediate blanket that in turn is used to transfer the ink to the surface of the material upon which the image is to be reproduced.

          Imageable elements useful to prepare lithographic printing plates  
20   typically comprise an imageable layer applied over the hydrophilic surface of a substrate. The imageable layer includes one or more radiation-sensitive components that can be dispersed in a suitable binder. Alternatively, the radiation-sensitive component can also be the binder material. Following imaging, either the imaged regions or the non-imaged regions of the imageable  
25   layer are removed by a suitable developer, revealing the underlying hydrophilic surface of the substrate. If the imaged regions are removed, the element is considered as positive-working. Conversely, if the non-imaged regions are removed, the element is considered as negative-working. In each instance, the regions of the imageable layer (that is, the image areas) that remain are ink-  
30   receptive, and the regions of the hydrophilic surface revealed by the developing process accept water and aqueous solutions, typically a fountain solution, and repel ink.

Imaging of the imageable element with ultraviolet and/or visible radiation is typically carried out through a mask that has clear and opaque regions. Imaging takes place in the regions under the clear regions of the mask but does not occur in the regions under the opaque mask regions. If corrections are needed  
5 in the final image, a new mask must be made. This is a time-consuming process. In addition, dimensions of the mask may change slightly due to changes in temperature and humidity. Thus, the same mask, when used at different times or in different environments, may give different results and could cause registration problems.

10 Direct digital imaging has obviated the need for imaging through a mask and is becoming increasingly important in the printing industry. Imageable elements for the preparation of lithographic printing plates have been developed for use with infrared lasers. Thermally imageable, multi-layer elements are described, for example, U.S. Patents 6,294,311 (Shimazu et al.), 6,352,812  
15 (Shimazu et al.), 6,593,055 (Shimazu et al.), 6,352,811 (Patel et al.), 6,358,669 (Savariar-Hauck et al.), and 6,528,228 (Savariar-Hauck et al.), U.S. Patent Application Publication 2004/0067432 A1 (Kitson et al.).

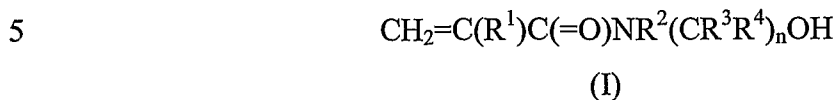
### **Problem to be Solved**

20 In use, a lithographic printing plate comes into contact with fountain solutions and inks. In addition, the element is often subjected to blanket washes to remove inks and various cleaning solutions for blanket and press rollers. Despite the progress in various positive-working imageable elements, there is a continuing need for imageable elements that are both thermally bakeable  
25 and resistant to press chemistries, such as inks, fountain solution, and the solvents used in washes, such as UV washes. Thermal bakeability is highly desirable because provides increased press run length.

### **SUMMARY OF THE INVENTION**

30 This invention provides a positive-working imageable element comprising a radiation absorbing compound and a substrate having a hydrophilic surface, and having thereon, in order:

an inner layer comprising a polymeric material that is removable using an alkaline developer and in which from 1 to 50 mol % of its recurring units are derived from one or more of the ethylenically unsaturated polymerizable monomers represented by the following Structure (I):



wherein  $\text{R}^1$ ,  $\text{R}^2$ ,  $\text{R}^3$ , and  $\text{R}^4$  are independently hydrogen, lower alkyl, or phenyl, and  $n$  is 1 to 20, and

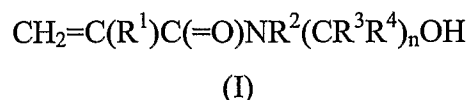
an ink receptive outer layer that is substantially free of the radiation absorbing compound and is not removable by an alkaline developer prior to thermal imaging,

provided upon thermal imaging, the imaged regions of the element are removable by an alkaline developer.

In another aspect, this invention provides a method for forming an image comprising:

A) thermally imaging a positive-working imageable element comprising a radiation absorbing compound and a substrate having a hydrophilic surface, and having thereon, in order:

an inner layer comprising a polymeric material that is removable using an alkaline developer and in which from 1 to 50 mol % of its recurring units are derived from one or more of the ethylenically unsaturated polymerizable monomers represented by the following Structure (I):



wherein  $\text{R}^1$ ,  $\text{R}^2$ ,  $\text{R}^3$ , and  $\text{R}^4$  are independently hydrogen, lower alkyl, or phenyl, and  $n$  is 1 to 20, and

an ink receptive outer layer that is substantially free of the radiation absorbing compound and is not removable by an alkaline developer prior to thermal imaging,

provided upon thermal imaging, the imaged regions of the element are removable by an alkaline developer,

thereby forming an imaged element with imaged and non-imaged regions wherein the imaged regions are removable by an alkaline developer following thermal imaging of the element,

- B) contacting the imaged element with an alkaline developer to  
5 remove only the imaged regions, revealing the hydrophilic substrate, and  
C) optionally, baking the imageable element after imaging and development.

The multi-layer imageable elements of this invention have been found to have increased “chemical resistance”, that is resistance to breakdown of  
10 the various layers from chemicals and solvents used in development and printing. In addition, the multi-layer imageable elements can be baked to increase press run length.

## DETAILED DESCRIPTION OF THE INVENTION

### 15 Definitions

Unless the context indicates otherwise, when used herein, the terms “imageable element” and “printing plate precursor” are meant to be references to embodiments of the present invention.

In addition, unless the context indicates otherwise, the various  
20 components described herein such as the polymer of Structure I in the inner layer, “colorant”, “coating solvent”, “radiation absorbing compound”, “surfactant”, “phenolic resin”, “monomeric or polymeric compound comprising a benzoquinone diazide moiety and/or a naphthoquinone diazide moiety”, “alkaline developer”, and similar terms also refer to mixtures of such components. Thus,  
25 the use of the article “a” or “an” is not necessarily meant to refer to only a single component.

Unless otherwise indicated, percentages refer to percentages by dry weight.

For clarification of definitions for any terms relating to polymers,  
30 reference should be made to “Glossary of Basic Terms in Polymer Science” as published by the International Union of Pure and Applied Chemistry (“IUPAC”),

*Pure Appl. Chem.* 68, 2287-2311 (1996). However, any definitions explicitly set forth herein should be regarded as controlling.

Unless otherwise indicated, the term "polymer" refers to high and low molecular weight polymers including oligomers and includes homopolymers and copolymers.

The term "copolymer" refers to polymers that are derived from two or more different monomers. That is, they comprise recurring units having at least two different chemical structures.

The term "backbone" refers to the chain of atoms in a polymer to which a plurality of pendant groups are attached. An example of such a backbone is an "all carbon" backbone obtained from the polymerization of one or more ethylenically unsaturated polymerizable monomers. However, other backbones can include heteroatoms wherein the polymer is formed by a condensation reaction or some other means.

#### Uses

The imageable elements can be used in a number of ways. The preferred use is as precursors to lithographic printing plates as described in more detail below. However, this is not meant to be the only use of the present invention. For example, the imageable elements can also be used as thermal patterning systems and to form masking elements and printed circuit boards.

#### Imageable Element

In general, the imageable element of this invention comprises a substrate, an inner layer (also known as an "underlayer"), and an outer layer (also known as a "top layer") disposed over the inner layer. Before thermal imaging, the outer layer is not removable by an alkaline developer, but after thermal imaging, the imaged regions of the outer layer are removable by the alkaline developer. The inner layer is also removable by the alkaline developer. A radiation absorbing compound, generally an infrared radiation absorbing compound (defined below), is present in the imageable element. Preferably, this

compound is in the inner layer and optionally also in a separate layer between the inner and outer layers.

The imageable elements are formed by suitable application of an inner layer composition to a suitable substrate. This substrate can be an untreated or uncoated support but it is usually treated or coated in various ways as described below prior to application of the inner layer composition. The substrate generally has a hydrophilic surface or at least a surface that is more hydrophilic than the outer layer composition. The substrate comprises a support that can be composed of any material that is conventionally used to prepare imageable elements such as lithographic printing plates. It is usually in the form of a sheet, film, or foil, and is strong, stable, and flexible and resistant to dimensional change under conditions of use so that color records will register a full-color image. Typically, the support can be any self-supporting material including polymeric films (such as polyester, polyethylene, polycarbonate, cellulose ester polymer, and polystyrene films), glass, ceramics, metal sheets or foils, or stiff papers (including resin-coated and metallized papers), or a lamination of any of these materials (such as a lamination of an aluminum foil onto a polyester film). Metal supports include sheets or foils of aluminum, copper, zinc, titanium, and alloys thereof.

Polymeric film supports may be modified on one or both surfaces with a "subbing" layer to enhance hydrophilicity, or paper supports may be similarly coated to enhance planarity. Examples of subbing layer materials include but are not limited to, alkoxysilanes, amino-propyltriethoxysilanes, glycidioxypropyl-triethoxysilanes, and epoxy functional polymers, as well as conventional hydrophilic subbing materials used in silver halide photographic films (such as gelatin and other naturally occurring and synthetic hydrophilic colloids and vinyl polymers including vinylidene chloride copolymers).

A preferred substrate is composed of an aluminum support that may be treated using techniques known in the art, including physical graining, electrochemical graining, chemical graining, and anodizing. Preferably, the aluminum sheet has been subjected to electrochemical graining and is anodized.

An interlayer may be formed by treatment of the aluminum support with, for example, a silicate, dextrine, calcium zirconium fluoride,

hexafluorosilicic acid, phosphate/fluoride, poly(vinyl phosphonic acid) (PVPA), vinyl phosphonic acid copolymer, poly(acrylic acid), or acrylic acid copolymer. Preferably, the grained and anodized aluminum support is treated with PVPA using known procedures to improve surface hydrophilicity.

5                      The thickness of the substrate can be varied but should be sufficient to sustain the wear from printing and thin enough to wrap around a printing form. Preferred embodiments include a treated aluminum foil having a thickness of from 100 to 600  $\mu\text{m}$ .

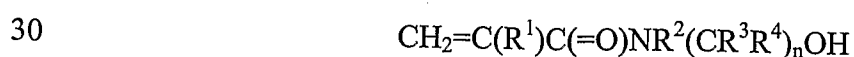
10       The backside (non-imaging side) of the substrate may be coated with antistatic agents and/or slipping layers or a matte layer to improve handling and “feel” of the imageable element.

The substrate can also be a cylindrical surface having the various layer compositions applied thereon, and thus be an integral part of the printing press. The use of such imaged cylinders is described for example in U.S. Patent 5,713,287 (Gelbart).

### Inner Layer

The inner layer is disposed between the outer layer and the substrate and, typically, disposed directly on the substrate. The inner layer comprises a polymeric material containing hydroxy groups on side chains. This polymeric material is removable by the developer and preferably soluble in the developer to reduce sludging of the developer. In addition, the polymeric material is preferably insoluble in the solvent used to coat the outer layer so that the outer layer can be coated over the inner layer without dissolving the inner layer.

25                      The hydroxy-containing polymeric materials used in the inner layer are composed of recurring units derived from two or more ethylenically unsaturated monomers wherein from 1 to 50 mol% (preferably from 10 to 40 mol%) of the recurring units are derived from on or more of the monomers represented by the following Structure (I):



(I)

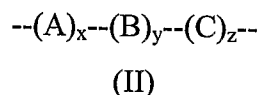
in which  $R^1, R^2, R^3, R^4$  are independently hydrogen, substituted or unsubstituted



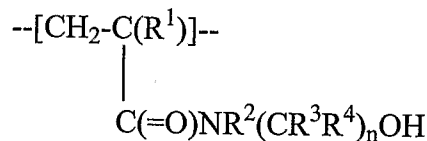
lower alkyl having 1 to 10 carbon atoms (such as methyl, chloromethyl, ethyl, *iso*-propyl, *t*-butyl, and *n*-decyl), or substituted or unsubstituted phenyl, and "n" 1 to 20.

Preferably, R<sup>1</sup> is hydrogen, methyl, or phenyl, and more preferably it is hydrogen or methyl. In addition, preferably R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are independently hydrogen, methyl, ethyl, or phenyl, and more preferably, R<sup>2</sup> is hydrogen and R<sup>3</sup> and R<sup>4</sup> are independently hydrogen, methyl, or phenyl. Also, "n" is preferably 1 to 10 and more preferably 1 to 5.

In preferred embodiments, the hydroxy-containing polymeric material in the inner layer is represented by the following Structure (II):



wherein A represents recurring units represented by the following Structure (Ia):



(Ia)

wherein R<sup>1</sup> through R<sup>4</sup> and "n" are as defined above for Structure (I).

In Structure (II), B represents recurring units comprising acidic functionality or an N-maleimide group, and C represents recurring units different from A and B, "x" is from 1 to 50 mol % (preferably from 10 to 40 mol %), "y" is from 40 to 90 mol % (from 40 to 70 mol %), and "z" is 0 to 70 mol % (preferably from 0 to 50 mol %), based on total recurring units.

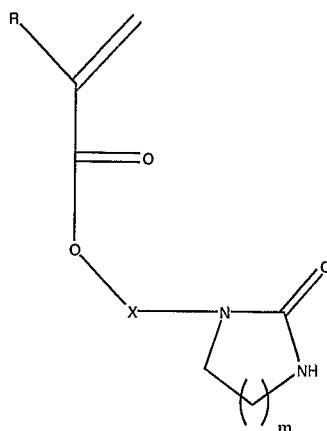
In some embodiments of Structure (II):

A represents recurring units derived from one or both of N-hydroxymethylacrylamide and N-hydroxymethylmethacrylamide,

B represents recurring units derived from one or more of N-phenylmaleimide, N-cyclohexylmaleimide, N-benzylmaleimide, N-(4-carboxyphenyl)maleimide, (meth)acrylic acid, and vinyl benzoic acid,

C represents recurring units derived from one or more of a styrenic monomer (such as styrene and derivatives thereof), meth(acrylate) ester, N-substituted (meth)acrylamide, maleic anhydride, (meth)acrylonitrile, allyl acrylate, and a compound represented by the following Structure (III):

5



(III)

wherein R is hydrogen, methyl, or halo, X is alkylene having 2 to 12 carbon atoms, and "m" is 1 to 3,

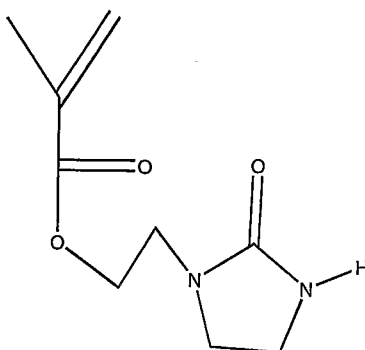
"x" is from 10 to 40 mol %,

"y" is from 40 to 70 mol %, and

"z" is from 0 to 50 mol %, all based on total recurring units.

In more preferred embodiments, B represents recurring units derived from at least one of N-phenylmaleimide, N-cyclohexylmaleimide, N-benzylmaleimide, N-(4-carboxyphenyl)maleimide in an amount of from 20 to 50 mol %, and recurring units derived from at least one of (meth)acrylic acid and vinyl benzoic acid in an amount of from 10 to 30 mol %, based on total recurring units.

In such embodiments, C represents recurring units derived from methacrylamide, (meth)acrylonitrile, maleic anhydride,



The hydroxy-containing polymeric material described above is  
5 generally present in the inner layer at a coverage of from 50 to 95 weight %, and preferably at from 60 to 85 weight %, based on total dry layer weight.

Preferably, the inner layer further comprises a radiation absorbing compound (preferably an infrared radiation absorbing compound) that absorbs radiation at from 600 to 1200 and preferably at from 700 to 1200 nm, with  
10 minimal absorption at from 300 to 600 nm. This compound (sometimes known as a "photothermal conversion material") absorbs radiation and converts it to heat. This compound may be either a dye or pigment. Examples of useful pigments are ProJet 900, ProJet 860 and ProJet 830 (all available from the Zeneca Corporation). Although a radiation absorbing compound is not necessary for imaging with a hot  
15 body, the imageable elements containing a radiation absorbing compounds may also be imaged with a hot body, such as a thermal head or an array of thermal heads.

Useful IR absorbing compounds also include carbon blacks including carbon blacks that are surface-functionalized with solubilizing groups  
20 are well known in the art. Carbon blacks that are grafted to hydrophilic, nonionic polymers, such as FX-GE-003 (manufactured by Nippon Shokubai), or which are surface-functionalized with anionic groups, such as CAB-O-JET® 200 or CAB-O-JET® 300 (manufactured by the Cabot Corporation) are also useful.

IR dyes (especially those that are soluble in an alkaline developer)  
25 are preferred to prevent sludging of the developer by insoluble material. Examples of suitable IR dyes include but are not limited to, azo dyes, squarylium dyes, croconate dyes, triarylamine dyes, thioazolium dyes, indolium dyes, oxonol

dyes, oxaxolium dyes, cyanine dyes, merocyanine dyes, phthalocyanine dyes, indocyanine dyes, indoaniline dyes, merostyryl dyes, indotricarbocyanine dyes, oxatricarbocyanine dyes, thiocyanine dyes, thiatricarbocyanine dyes, merocyanine dyes, cryptocyanine dyes, naphthalocyanine dyes, polyaniline dyes, polypyrrole dyes, polythiophene dyes, chalcogenopyrroloarylidene and bi(chalcogenopyrrolo) polymethine dyes, oxyindolizine dyes, pyrylium dyes, pyrazoline azo dyes, oxazine dyes, naphthoquinone dyes, anthraquinone dyes, quinoneimine dyes, methine dyes, arylmethine dyes, squarine dyes, oxazole dyes, croconine dyes, porphyrin dyes, and any substituted or ionic form of the preceding dye classes.

10 Suitable dyes are also described in numerous publications including U.S. Patents 6,294,311 (noted above) and 5,208,135 (Patel et al.) and the references cited thereon.

Examples of useful IR absorbing compounds include ADS-830A and ADS-1064 (American Dye Source, Baie D'Urfe, Quebec, Canada), EC2117 (FEW, Wolfen, Germany), Cyasorb<sup>®</sup> IR 99 and Cyasorb<sup>®</sup> IR 165 (GPTGlendale Inc. Lakeland, FL), and IR Absorbing Dye A used in the Examples below.

Near infrared absorbing cyanine dyes are also useful and are described for example in U.S. Patents 6,309,792 (Hauck et al.), 6,264,920 (Achilefu et al.), 6,153,356 (Urano et al.), 5,496,903 (Watanate et al.). Suitable dyes may be formed using conventional methods and starting materials or obtained from various commercial sources including American Dye Source (Canada) and FEW Chemicals (Germany). Other useful dyes for near infrared diode laser beams are described, for example, in U.S. Patent 4,973,572 (DeBoer).

In addition to low molecular weight IR-absorbing dyes, IR dye moieties bonded to polymers can be used as well. Moreover, IR dye cations can be used, that is, the cation is the IR absorbing portion of the dye salt that ionically interacts with a polymer comprising carboxy, sulfo, phosphor, or phosphono groups in the side chains.

The radiation absorbing compound can be present in an amount of generally at least 10% and up to 30% and preferably from 12 to 25%, based on the total inner layer dry weight. The particular amount needed of a given IR absorbing compound could be readily determined by one skilled in the art.

The inner layer can include other components such as surfactants, dispersing aids, humectants, biocides, viscosity builders, drying agents, defoamers, preservatives, antioxidants, colorants, and other polymers such as novolaks, resoles, or resins that have activated methylol and/or activated alkylated methylol groups.

The inner layer generally has a dry coating coverage of from 0.5 to 2.5 g/m<sup>2</sup> and preferably from 1 to 2 g/m<sup>2</sup>.

### Outer Layer

The outer layer is disposed over the inner layer and in preferred embodiments there are no intermediate layers between the inner and outer layers. The outer layer becomes soluble or dispersible in the developer following thermal exposure. It typically comprises one or more ink-receptive polymeric materials, known as polymer binders, and a dissolution inhibitor or colorant. Alternatively, or additionally, a polymer binder comprises polar groups and acts as both the binder and dissolution inhibitor. The outer layer is substantially free of radiation absorbing compounds, meaning that none of those compounds are purposely incorporated therein and insubstantial amounts diffuse into it from other layers.

Any polymer binders used in outer layers of prior art multi-layer thermally imageable elements may be used in the imageable elements of this invention. For example, the polymer binders can be one or more of those described in U.S. Patents 6,358,669 (Savariar-Hauck), 6,555,291 (Hauck), 6,352,812 (Shimazu et al.), 6,352,811 (Patel et al.), 6,294,311 (Shimazu et al.), 6,893,783 (Kitson et al.), and 6,645,689 (Jarek), U.S. Patent Application Publications 2003/0108817 (Patel et al) and 2003/0162,126 (Kitson et al.), and WO 2005/018934 (Kitson et al.),.

Preferably, the polymer binder in the outer layer is a light-stable, water-insoluble, aqueous alkaline developer-soluble, film-forming phenolic resins that has a multiplicity of phenolic hydroxyl groups. Phenolic resins have a multiplicity of phenolic hydroxyl groups, either on the polymer backbone or on pendent groups. Novolak resins, resol resins, acrylic resins that contain pendent

phenol groups, and polyvinyl phenol resins are preferred phenolic resins.

Novolak resins are more preferred.

Novolak resins are commercially available and are well known to those in the art. Novolak resins are typically prepared by the condensation  
5 reaction of a phenol, such as phenol, *m*-cresol, *o*-cresol, *p*-cresol, etc, with an aldehyde, such as formaldehyde, paraformaldehyde, acetaldehyde, etc. or ketone, such as acetone, in the presence of an acid catalyst. The weight average molecular weight is typically 1,000 to 15,000. Typical novolak resins include, for example, phenol-formaldehyde resins, cresol-formaldehyde resins, phenol-cresol-  
10 formaldehyde resins, *p*-*t*-butylphenol-formaldehyde resins, and pyrogallol-acetone resins. Particularly useful novolak resins are prepared by reacting *m*-cresol, mixtures of *m*-cresol and *p*-cresol, or phenol with formaldehyde using conditions well known to those skilled in the art.

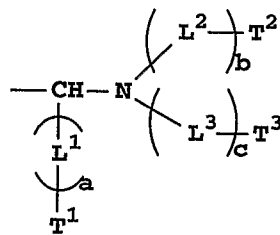
A solvent soluble novolak resin is one that is sufficiently soluble in  
15 a coating solvent to produce a coating solution that can be coated to produce an outer layer. In some cases, it may be desirable to use a novolak resin with the highest weight-average molecular weight that maintains its solubility in common coating solvents, such as acetone, tetrahydrofuran, and 1-methoxypropan-2-ol. Outer layers comprising novolak resins, including for example *m*-cresol only  
20 novolak resins (*i.e.* those that contain at least 97 mol-% *m*-cresol) and *m*-cresol/*p*-cresol novolak resins that have up to 10 mol-% of *p*-cresol, having a weight average molecular weight of at least 10,000 and preferably at least 25,000, may be used. Outer layers comprising *m*-cresol/*p*-cresol novolak resins with at least 10 mol-% of *p*-cresol, having a weight average molecular weight of 8,000 up to  
25 25,000, may also be used. In some instances, novolak resins prepared by solvent condensation may be desirable. Outer layers comprising these resins are disclosed for example in U.S. Patent 6,858,359 (Kitson, *et al.*).

Other useful poly(vinyl phenol) resins include polymers of one or more hydroxyphenyl containing monomers such as hydroxystyrenes and  
30 hydroxyphenyl (meth)acrylates. Other monomers not containing hydroxy groups can be copolymerized with the hydroxy-containing monomers. These resins can be prepared by polymerizing one or more of the monomers in the presence of a

radical initiator or a cationic polymerization initiator using known reaction conditions. The weight average molecular weight ( $M_w$ ) of these polymers, measured as described above for the novolak resins, of the novolak resins is from 1000 to 200,000 g/mol, and more preferably from 1,500 to 50,000 g/mol.

5                    Examples of useful hydroxy-containing polymers include  
ALNOVOL SPN452, SPN400, HPN100 (Clariant GmbH), DURITE PD443,  
SD423A, SD126A (Borden Chemical, Inc.), BAKELITE 6866LB02, AG,  
6866LB03 (Bakelite AG), KR 400/8 (Koyo Chemicals Inc.), HRJ 1085 and 2606  
(Schnectady International, Inc.), and Lyncur CMM (Siber Hegner), all of which  
10                   are described in U.S. Patent Application Publication 2005/0037280 (noted above).  
A particularly useful polymer is PD-140A described for the Examples below.

The outer layer can also include a phenolic resin binder that comprises phenolic recurring units that are substituted by the group represented by Structure (Q) shown as follows:



(Q)

wherein  $L^1$ ,  $L^2$ , and  $L^3$  independently represent linking groups,  $T^1$ ,  $T^2$ , and  $T^3$  independently represent terminal groups, and “a”, “b”, and “c” are independently 0 or 1.

More particularly, each of  $L^1$ ,  $L^2$ , and  $L^3$  is independently a substituted or unsubstituted alkylene having 1 to 4 carbon atoms (such as methylene, 1,2-ethylene, 1,1-ethylene, *n*-propylene, *iso*-propylene, *t*-butylene, and *n*-butylene groups), substituted cycloalkylene having 5 to 7 carbon atoms in the cyclic ring (such as cyclopentylene and 1,4-cyclohexylene), substituted or unsubstituted arylene having 6 to 10 carbon atoms in the aromatic ring (such as 1,4-phenylene, naphthylene, 2-methyl-1,4-phenylene, and 4-chloro-1,3-phenylene groups), or substituted or unsubstituted, aromatic or non-aromatic divalent heterocyclic group having 5 to 10 carbon and one or more heteroatoms (nitrogen,

oxygen, or sulfur atoms) in the cyclic ring (such as pyridylene, pyrazylene, pyrimidylene, or thiazolylene groups), or any combinations of two or more of these divalent linking groups. Alternatively,  $L^2$  and  $L^3$  together can represent the necessary atoms to form a carbocyclic or heterocyclic ring structure. Preferably,  
5  $L^1$  is a carbon-hydrogen single bond or a methylene, ethylene, or phenylene group, and  $L^2$  and  $L^3$  are independently hydrogen, methyl, ethyl, 2-hydroxyethyl, or cyclic  $-(CH_2)_2O(CH_2CH_2)-$  groups.

$T^1$ ,  $T^2$ , and  $T^3$  are independently terminal groups such as hydrogen, or substituted or unsubstituted alkyl groups having 1 to 10 carbon atoms (such as  
10 methyl, ethyl, *iso*-propyl, *t*-butyl, *n*-hexyl, methoxymethyl, phenylmethyl, hydroxyethyl, and chloroethyl groups), substituted or unsubstituted alkenyl groups having 2 to 10 carbon atoms (such as ethenyl and hexenyl groups), substituted or unsubstituted alkynyl groups (such as ethynyl and octynyl groups), substituted or unsubstituted cycloalkyl groups having 5 to 7 carbon atoms in the cyclic ring  
15 (such as cyclopentyl, cyclohexyl, and cycloheptyl groups), substituted or unsubstituted heterocyclic groups (both aromatic and non-aromatic) having a carbon atom and one or more heteroatoms in the ring (such as pyridyl, pyrazyl, pyrimidyl, thiazolyl, and indolyl groups), and substituted or unsubstituted aryl groups having 6 to 10 carbon atoms in the aromatic ring (such as phenyl,  
20 naphthyl, 3-methoxyphenyl, benzyl, and 4-bromophenyl groups). Alternatively,  $T^2$  and  $T^3$  together represent the atoms necessary to form a cyclic structure that can also contain fused rings. In addition, when "a" is 0,  $T^3$  is not hydrogen.

These phenolic resin binders can be prepared by the reaction of a phenolic monomeric unit with a first compound comprising an aldehyde group  
25 and a second compound comprising an amine group as described in U.S. Patent Application Publication 2005/0037280 (noted above).

These phenolic resin binders can contain more than one type of substituted Structure (Q) group. The different Structure (Q) groups can be incorporated successively or as a mixture of different first and second compounds  
30 in the reaction with the hydroxy-containing polymer. The amount and type of Structure (Q) group is limited only by the solubility of the resulting modified phenolic resin binder in the alkaline developer. Generally, at least 0.5 mol % and



up to 50 mol % of the phenolic resin binder recurring units comprise the same or different Structure (Q) groups. Preferably, the Structure (Q) groups are present in from 1 to 40 mol %, and more preferably from 2 to 30 mol % of the recurring units.

5                   The outer layer can also include non-phenolic polymeric materials as film-forming binder materials in addition to or instead of the phenolic resins described above. Such non-phenolic polymeric materials include polymers formed from maleic anhydride and one or more styrenic monomers (that is styrene and styrene derivatives having various substituents on the benzene ring), polymers  
10                   formed from methyl methacrylate and one or more carboxy-containing monomers, and mixtures thereof. These polymers can comprises recurring units derived from the noted monomers as well as recurring units derived from additional, but optional monomers [such as (meth)acrylates, (meth)acrylonitrile and (meth)acrylamides].

15                   The polymers derived from maleic anhydride generally comprise from 1 to 50 mol% of recurring units derived from maleic anhydride and the remainder of the recurring units derived from the styrenic monomers and optionally additional polymerizable monomers.

                  The polymer formed from methyl methacrylate and carboxy-  
20                   containing monomers generally comprise from 80 to 98 mol % of recurring units derived from methyl methacrylate. The carboxy-containing recurring units can be derived, for example, from acrylic acid, methacrylic acid, itaconic acid, maleic acid, and similar monomers known in the art.

                  The outer layer can also comprise one or more polymer binders  
25                   having pendant epoxy groups sufficient to provide an epoxy equivalent weight of from 130 to 1000 (preferably from 140 to 750). "Epoxy equivalent weight" refers to the weight of the polymer (grams) divided by the number of equivalence of epoxy groups (number of moles) in the polymer. Any film-forming polymer containing the requisite pendant epoxy groups can be used including condensation  
30                   polymers, acrylic resins, and urethane resins. The pendant epoxy groups can be part of the polymerizable monomers or reactive components used to make the polymers, or they can be added after polymerization using known procedures.

Preferably, the outer layer comprises one or more acrylic resins that are derived from one or more ethylenically unsaturated polymerizable monomers, at least one of which monomers comprises pendant epoxy groups such as those described in copending and commonly assigned U.S.S.N. 11/257,864 (filed October 25, 2005 by Huang, Saraiya, Ray, Kitson, Sheriff, and Krebs and entitled MULTILAYER  
5 IMAGEABLE ELEMENT CONTAINING EPOXY RESIN).

Particularly useful polymers of this type have pendant epoxy groups attached to the polymer backbone through a carboxylic acid ester group such as a substituted or unsubstituted  $-C(O)O$ -alkylene,  $-C(O)O$ -alkylene-phenylene-, or  $-C(O)O$ -phenylene group wherein alkylene has 1 to 4 carbon  
10 atoms. Preferred ethylenically unsaturated polymerizable monomers having pendant epoxy groups useful to make these polymer binders include glycidyl acrylate, glycidyl methacrylate, 3,4-epoxycyclohexyl methacrylate, and 3,4-epoxycyclohexyl acrylate.

The epoxy-containing polymers can also comprise recurring units derived from one or more ethylenically unsaturated polymerizable monomers that do not have pendant epoxy groups including but not limited to, (meth)acrylates, (meth)acrylamides, vinyl ether, vinyl esters, vinyl ketones, olefins, unsaturated imides (such as maleimide), N-vinyl pyrrolidones, N-vinyl carbazole, vinyl  
15 pyridines, (meth)acrylonitriles, and styrenic monomers. Of these, the (meth)acrylates, (meth)acrylamides, and styrenic monomers are preferred and the styrenic monomers are most preferred. For example, a styrenic monomer could be used in combination with methacrylamide, acrylonitrile, maleimide, vinyl acetate, or N-vinyl pyrrolidone.  
20

Preferably, the outer layer is free of compounds that act as hardeners for the pendant epoxy groups but in some embodiments, conventional hardeners can be present.  
25

The one or more polymer binders are present in the outer layer in an amount of at least 60 weight %, and preferably from 65 to 99.5 weight %.

The outer layer generally and optionally comprises a dissolution inhibitor that functions as a solubility-suppressing component for the binder.  
30  
Dissolution inhibitors generally have polar functional groups that are thought to

act as acceptor sites for hydrogen bonding, such as with hydroxyl groups of the binder. Dissolution inhibitors that are soluble in the developer are most suitable. Alternatively, or additionally, the polymer binder may contain solubility-suppressing polar groups that function as the dissolution inhibitor.

5           Useful dissolution inhibitor compounds are described for example in U.S. Patents 5,705,308 (West, *et al.*), 6,060,222 (West, *et al.*), and 6,130,026 (Bennett, *et al.*).

          Compounds that contain a positively charged (i.e., quaternized) nitrogen atom useful as dissolution inhibitors include, for example, tetraalkyl  
10   ammonium compounds, quinolinium compounds, benzothiazolium compounds, pyridinium compounds, and imidazolium compounds. Representative tetraalkyl ammonium dissolution inhibitor compounds include tetrapropyl ammonium bromide, tetraethyl ammonium bromide, tetrapropyl ammonium chloride, and trimethylalkyl ammonium chlorides and trimethylalkyl ammonium bromides, such  
15   as trimethyloctyl ammonium bromide and trimethyldecyl ammonium chloride. Representative quinolinium dissolution inhibitor compounds include 1-ethyl-2-methyl quinolinium iodide, 1-ethyl-4-methyl quinolinium iodide and cyanine dyes that comprise a quinolinium moiety such as Quinoldine Blue. Representative benzothiazolium compounds include 3-ethyl-2(3H)-benzothiazolyli-  
20   dene)-2-methyl-1-(propenyl)benzothiazolium cationic dyes and 3-ethyl-2-methyl benzothiazolium iodide.

          Diazonium salts are useful as dissolution inhibitor compounds and include, for example, substituted and unsubstituted diphenylamine diazonium salts, such as methoxy-substituted diphenylamine diazonium hexafluoroborates.  
25   Representative sulfonic acid esters useful as dissolution inhibitor compounds include ethyl benzene sulfonate, n-hexyl benzene sulfonate, ethyl *p*-toluene sulfonate, *t*-butyl *p*-toluene sulfonate, and phenyl *p*-toluene sulfonate. Representative phosphate esters include trimethyl phosphate, triethyl phosphate, and tricresyl phosphate. Useful sulfones include those with aromatic groups, such  
30   as diphenyl sulfone. Useful amines include those with aromatic groups, such as diphenylamine and triphenylamine.

Keto-containing compounds useful as dissolution inhibitor compounds include, for example, aldehydes, ketones, especially aromatic ketones, and carboxylic acid esters. Representative aromatic ketones include xanthone, flavanones, flavones, 2,3-diphenyl-1-indenone, 1'-(2'-acetonaphthonyl)benzoate, 5 2,6-diphenyl-4H-pyran-4-one and 2,6-diphenyl-4H-thiopyran-4-one. Representative carboxylic acid esters include ethyl benzoate, *n*-heptyl benzoate, and phenyl benzoate.

Other readily available dissolution inhibitors are triarylmethane dyes, such as ethyl violet, crystal violet, malachite green, brilliant green, Victoria 10 blue B, Victoria blue R, Victoria blue BO, BASONYL Violet 610. These compounds can also act as contrast dyes that distinguish the unimaged regions from the imaged regions in the developed imageable element.

When a dissolution inhibitor compound is present in the outer layer, it typically comprises at least 0.1 weight %, more generally from 0.5 to 30 15 weight %, and preferably from 1 to 15 weight %, based on the dry weight of the outer layer.

Alternatively, or additionally, the polymer binder in the outer layer can comprise polar groups that act as acceptor sites for hydrogen bonding with the hydroxy groups present in the polymeric material and, thus, act as both the binder 20 and dissolution inhibitor. These derivatized polymeric materials can be used alone in the outer layer, or they can be combined with other polymeric materials and/or solubility-suppressing components. The level of derivatization should be high enough that the polymeric material acts as a dissolution inhibitor, but not so high that, following thermal imaging, the polymeric material is not soluble in the 25 developer. Although the degree of derivatization required will depend on the nature of the polymeric material and the nature of the moiety containing the polar groups introduced into the polymeric material, typically from 0.5 mol % to 5 mol %, and preferably from 1 mol % to 3 mol %, of the hydroxyl groups will be derivatized.

30

One group of polymeric materials that comprise polar groups and function as dissolution inhibitors are derivatized phenolic polymeric materials in which a portion of the phenolic hydroxyl groups have been converted to sulfonic acid esters, preferably phenyl sulfonates or *p*-toluene sulfonates. Derivatization can be carried out by reaction of the polymeric material with, for example, a sulfonyl chloride such as *p*-toluene sulfonyl chloride in the presence of a base such as a tertiary amine. A useful material is a novolak resin in which from 1 to 3 mol % of the hydroxyl groups has been converted to phenyl sulfonate or *p*-toluene sulfonate (tosyl) groups.

Another group of polymeric materials that comprise polar groups and function as dissolution inhibitors are derivatized phenolic resins that contain the diazonaphthoquinone moiety. Polymeric diazonaphthoquinone compounds include derivatized resins formed by the reaction of a reactive derivative that contains diazonaphthoquinone moiety and a polymeric material that contains a suitable reactive group, such as a hydroxyl or amino group. Derivatization of phenolic resins with compounds that contain the diazonaphthoquinone moiety is known in the art and is described, for example, in U.S. Patents 5,705,308 and 5,705,322 (both West, *et al.*). An example of a resin derivatized with a compound that comprises a diazonaphthoquinone moiety is P-3000 (available from PCAS, France), and is a naphthoquinone diazide of a pyrogallol/acetone resin.

To prevent ablation during imaging with infrared radiation, the outer layer is substantially free of radiation absorbing compounds. That is, the radiation absorbing compounds in the outer layer, if any, absorb less than 10% of the imaging radiation, preferably less than 3% of the imaging radiation, and the amount of imaging radiation absorbed by the outer layer, if any, is not enough to cause ablation of the outer layer.

The outer layer can also include other components such as surfactants, dispersing aids, humectants, biocides, viscosity builders, drying agents, antifoaming agents, preservatives, antioxidants, colorants, and contrast dyes. Coating surfactants are particularly useful.

The outer layer generally has a dry coating coverage of from 0.2 to

2 g/m<sup>2</sup> and preferably from 0.4 to 1 g/m<sup>2</sup>.

Although not preferred, there may be a separate layer that is disposed between the inner and outer layers. This separate layer (or interlayer) can act as a barrier to minimize migration of radiation absorbing compounds from the inner layer to the outer layer. This interlayer generally comprises a polymeric material that is soluble in an alkaline developer. A preferred polymeric material of this type is a poly(vinyl alcohol). Generally, the interlayer should be less than one-fifth as thick as the inner layer and preferably less than one-tenth as thick as the outer layer.

10

### **Preparation of the Imageable Element**

The imageable element can be prepared by sequentially applying an inner layer formulation over the surface of the substrate (and any other hydrophilic layers provided thereon), and then applying an outer layer formulation over the inner layer using conventional coating or lamination methods. It is important to avoid intermixing the inner and outer layer formulations.

15

The inner and outer layers can be applied by dispersing or dissolving the desired ingredients in suitable coating solvents, and the resulting formulations are sequentially or simultaneously applied to the substrate using any suitable equipment and procedures, such as spin coating, knife coating, gravure coating, die coating, slot coating, bar coating, wire rod coating, roller coating, or extrusion hopper coating. The formulations can also be applied by spraying onto a suitable support (such as an on-press printing cylinder).

20

The selection of solvents used to coat both the inner and outer layers depend upon the nature of the polymeric materials and other components in the formulations. To prevent the inner and outer layer formulations from mixing or the inner layer dissolving when the outer layer formulation is applied, the outer layer should be coated from a solvent in which the polymeric material(s) of the inner layer are insoluble. Generally, the inner layer formulation is coated out of a solvent mixture of methyl ethyl ketone (MEK), 1-methoxypropan-2-ol,  $\gamma$ -butyrolactone, and water, a mixture of diethyl ketone (DEK), water, methyl lactate, and  $\gamma$ -butyrolactone, or a mixture of methyl lactate, methanol, and

30

dioxolane. The outer layer formulation is generally coated out of DEK, a mixture of DEK and 1-methoxy-2-propyl acetate, or a mixture of 1,3-dioxolane, 1-methoxypropan-2-ol (or Dowanol PM or PGME),  $\gamma$ -butyrolactone, and water.

Alternatively, the inner and outer layers may be applied by  
5 conventional extrusion coating methods from melt mixtures of the respective layer compositions. Typically such melt mixtures contain no volatile organic solvents.

Intermediate drying steps may be used between applications of the various layer formulations to remove solvent(s) before coating other formulations. Drying steps may also help in preventing the mixing of the various layers.

10 Representative methods for preparing imageable elements of this invention are shown in the Examples below.

The imageable elements have any useful form including, but not limited to, printing plate precursors, printing cylinders, printing sleeves and printing tapes (including flexible printing webs). Preferably, the imageable  
15 members are printing plate precursors to provide lithographic printing plates.

Printing plate precursors can be of any useful size and shape (for example, square or rectangular) having the requisite inner and outer layers disposed on a suitable substrate. Printing cylinders and sleeves are known as rotary printing members having the substrate and inner and outer layers in a  
20 cylindrical form. Hollow or solid metal cores can be used as substrates for printing sleeves.

### **Imaging and Development**

During use, the imageable element is exposed to a suitable source  
25 of imaging radiation (such as infrared radiation) using a laser at a wavelength of from 600 to 1200 nm and preferably from 700 to 1200 nm. The lasers used to expose the imaging member of this invention are preferably diode lasers, because of the reliability and low maintenance of diode laser systems, but other lasers such as gas or solid-state lasers may also be used. The combination of power, intensity  
30 and exposure time for laser imaging would be readily apparent to one skilled in the art. Presently, high performance lasers or laser diodes used in commercially

available imagesetters emit infrared radiation at a wavelength of from 800 to 850 nm or from 1040 to 1120 nm.

The imaging apparatus can function solely as a platesetter or it can be incorporated directly into a lithographic printing press. In the latter case, printing may commence immediately after imaging, thereby reducing press set-up time considerably. The imaging apparatus can be configured as a flatbed recorder or as a drum recorder, with the imageable member mounted to the interior or exterior cylindrical surface of the drum. Examples of useful imaging apparatus is available as models of Creo Trendsetter® imagesetters available from Creo Corporation (a subsidiary of Eastman Kodak Company, Burnaby, British Columbia, Canada) that contain laser diodes that emit near infrared radiation at a wavelength of 830 nm. Other suitable imaging sources include the Gerber Crescent 42T Platesetter that operates at a wavelength of 1064 nm (available from Gerber Scientific, Chicago, IL) and the Screen PlateRite 4300 series or 8600 series platesetters (available from Screen, Chicago, IL). Additional useful sources of radiation include direct imaging presses that can be used to image an element while it is attached to the printing plate cylinder. An example of a suitable direct imaging printing press includes the Heidelberg SM74-DI press (available from Heidelberg, Dayton, OH).

Imaging speeds may be in the range of from 50 to 1500 mJ/cm<sup>2</sup>, and more particularly from 75 to 400 mJ/cm<sup>2</sup>.

While laser imaging is preferred in the practice of this invention, imaging can be provided by any other means that provides thermal energy in an imagewise fashion. For example, imaging can be accomplished using a thermoresistive head (thermal printing head) in what is known as "thermal printing" as described for example in U.S. Patent 5,488,025 (Martin et al.) and as used in thermal fax machines and sublimation printers. Thermal print heads are commercially available (for example, as Fujitsu Thermal Head FTP-040 MCS001 and TDK Thermal Head F415 HH7-1089).

In any case, direct digital imaging is generally used for imaging. The image signals are stored as a bitmap data file on a computer. Such files may be generated by a raster image processor (RIP) or other suitable means. The



bitmaps are constructed to define the hue of the color as well as screen frequencies and angles.

Imaging of the imageable element produces an imaged element that comprises a latent image of imaged (exposed) and non-imaged (non-exposed) regions. Developing the imaged element with a suitable alkaline developer removes the exposed regions of the outer layer and the layers (including the inner layer) underneath it, and exposing the hydrophilic surface of the substrate. Thus, the imageable element is "positive-working". The exposed (or imaged) regions of the hydrophilic surface repel ink while the unexposed (or non-imaged) regions of the outer layer accept ink.

More particularly, development is carried out for a time sufficient to remove the imaged (exposed) regions of the outer layer and underlying layers, but not long enough to remove the non-imaged (non-exposed) regions of the outer layer. Thus, the imaged (exposed) regions of the outer layer are described as being "soluble" or "removable" in the alkaline developer because they are removed, dissolved, or dispersed within the alkaline developer more readily than the non-imaged (non-exposed) regions of the outer layer. Thus, the term "soluble" also means "dispersible".

The imaged elements are generally developed using conventional processing conditions. Both aqueous alkaline developers and solvent-based alkaline developers (which are preferred) can be used.

Aqueous alkaline developers generally have a pH of at least 7 and preferably of at least 11. Useful alkaline aqueous developers include 3000 Developer, 9000 Developer, GoldStar™ Developer, GreenStar Developer, ThermalPro Developer, Protherm® Developer, MX1813 Developer, and MX1710 Developer (all available from Kodak Polychrome Graphics a subsidiary of Eastman Kodak Company). These compositions also generally include surfactants, chelating agents (such as salts of ethylenediaminetetraacetic acid), and alkaline components (such as inorganic metasilicates, organic metasilicates, hydroxides, and bicarbonates).

Solvent-based alkaline developers are generally single-phase solutions of one or more organic solvents that are miscible with water. Useful

organic solvents the reaction products of phenol with ethylene oxide and propylene oxide [such as ethylene glycol phenyl ether (phenoxyethanol)], benzyl alcohol, esters of ethylene glycol and of propylene glycol with acids having 6 or less carbon atoms, and ethers of ethylene glycol, diethylene glycol, and of propylene glycol with alkyl groups having 6 or less carbon atoms, such as 2-ethylethanol and 2-butoxyethanol. The organic solvent(s) is generally present in an amount of from 0.5 to 15% based on total developer weight. It is particularly desirable that the alkaline developer contain one or more thiosulfate salts or amino compounds that include an alkyl group that is substituted with a hydrophilic group such as a hydroxy group, polyethylene oxide chain, or an acidic group having a pKa less than 7 (more preferably less than 5) or their corresponding salts (such as carboxy, sulfo, sulfonate, sulfate, phosphonic acid, and phosphate groups). Particularly useful amino compounds of this type include, but are not limited to, monoethanolamine, diethanolamine, glycine, alanine, aminoethylsulfonic acid and its salts, aminopropylsulfonic acid and its salts, and Jeffamine compounds (for example, an amino-terminated polyethylene oxide).

Representative solvent-based alkaline developers include ND-1 Developer, 955 Developer and 956 Developer (available from Kodak Polychrome Graphics a subsidiary of Eastman Kodak Company).

Generally, the alkaline developer is applied to the imaged element by rubbing or wiping the outer layer with an applicator containing the developer. Alternatively, the imaged element can be brushed with the developer or the developer may be applied by spraying the outer layer with sufficient force to remove the exposed regions. Still again, the imaged element can be immersed in the developer. In all instances, a developed image is produced in a lithographic printing plate having excellent resistance to press room chemicals.

Following development, the imaged element can be rinsed with water and dried in a suitable fashion. The dried element can also be treated with a conventional gumming solution (preferably gum arabic).

The imaged and developed element can also be baked in a postbake operation that can be carried out to increase run length of the resulting imaged

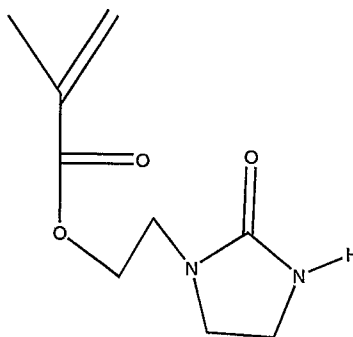
element. Baking can be carried out, for example at from 220°C to 240°C for from 7 to 10 minutes, or at 120°C for 30 minutes.

Printing can be carried out by applying a lithographic ink and fountain solution to the printing surface of the imaged element. The ink is taken up by the non-imaged (non-exposed or non-removed) regions of the outer layer and the fountain solution is taken up by the hydrophilic surface of the substrate revealed by the imaging and development process. The ink is then transferred to a suitable receiving material (such as cloth, paper, metal, glass, or plastic) to provide a desired impression of the image thereon. If desired, an intermediate “blanket” roller can be used to transfer the ink from the imaged member to the receiving material. The imaged members can be cleaned between impressions, if desired, using conventional cleaning means and chemicals.

The following examples are provided to illustrate the practice of the invention but are by no means intended to limit the invention in any manner.

#### Materials and Methods Used in the Examples:

Rohamere 6852-0 has the following structure:

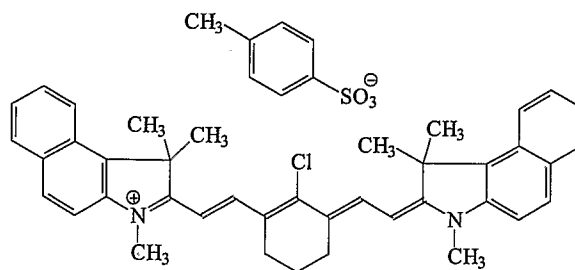


and is available from Degussa (Darmstadt, Germany) as a 50% solution in water.

N-hydroxymethylmethacrylamide is available from ABCR GmbH KG (Karlsruhe, Germany) as a 60% solution in water.

Substrate A was a 0.3 gauge aluminum sheet that had been electrograined, anodized, and treated with a solution of poly(vinyl phosphonic acid).

IR dye A has the following structure:



IR Dye A

5 Byk<sup>®</sup> 307 is a polyethoxylated dimethylpolysiloxane copolymer that is available from BYK Chemie (Wallingford, Connecticut).

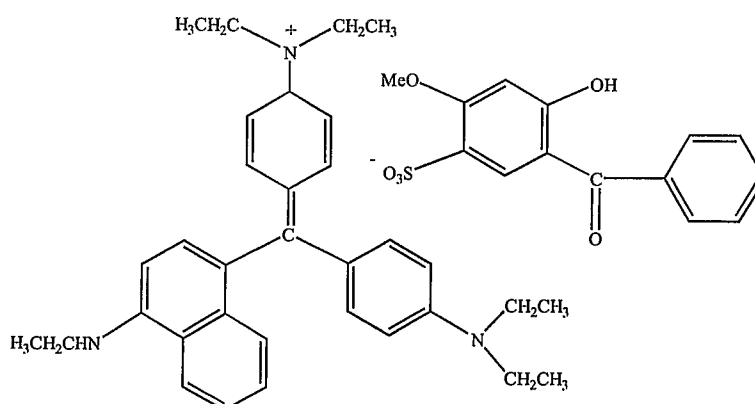
956 Developer is a solvent-based developer (containing phenoxyethanol) that is available from Kodak Polychrome Graphics (Norwalk, Connecticut), a subsidiary of Eastman Kodak Company.

10 PD140A is a novolak resin (75% m-cresol, 25% p-cresol, mw 7000) that is available from Borden Chemical (Louisville, Kentucky).

P3000 is a 1,2-naphthoquinonediazide-5-sulfonate ester of pyrogallol acetone condensate that is available from PCAS (Longjumeau, France).

15 Ethyl violet (basic violet 4, C.I. 42600) is available from Aldrich Chemical Company (Milwaukee, Wisconsin).

D11 dye is a triarylmethane dye that is available from PCAS (Longjumeau, France) and is represented by the following structure:



**Synthetic Method:**

The following synthetic procedures were carried out using the following sequence of steps:

- a) All reactants were placed into a four-neck, round bottom flask (1 liter) equipped with a condenser, a nitrogen supply, a thermometer, a stirrer, and a heating mantle, the reaction vessel also containing 1,3-dioxolane/water [90:10 (v:v); 451.41 g].
- b) Nitrogen was supplied to the reaction solution by attaching a nitrogen bubbler to the inlet, and the nitrogen outlet (top of condenser) was connected to a Dreschel bottle and a positive nitrogen pressure was maintained for one hour.
- c) The temperature was raised to 60°C.
- d) 2,2'-Azobis(*isobutyronitrile*) (AIBN, 0.034 g) was dissolved in 1,3-dioxolane (0.50 g) and added to the reaction mixture.
- e) The reaction mixture was stirred for 24 hours while maintaining a constant 60°C under nitrogen.
- f) The resulting polymer was isolated by precipitation by adding the polymer solution slowly, with stirring, to ethanol/water [(80/20) 1000 ml + 5 drops of HCL]. The precipitate is filtered and washed with ethanol/water [(80/20) 1000 ml] and filtered again.
- g) The precipitated was dried to constant weight (for 2 days) at 40°C.

**Synthetic Preparation 1:**

Copolymer 1 (36.26 g, 71% yield) was prepared from 30 mol% N-phenylmaleimide (14.97 g), 15 mol% methacrylamide (3.68 g), 20 mol% methacrylic acid (4.96 g), 20 mol% Rohamere 6852-0 (22.84 g), and 15 mol% N-hydroxymethyl methacrylamide (8.29 g) using the noted synthetic method.

**Synthetic Preparation 2:**

Copolymer 2 (30.43 g, 59% yield) was prepared from 30 mol% N-phenylmaleimide (14.03 g), 15 mol% methacrylamide (3.45 g), 20 mol%

methacrylic acid (4.65 g), 20 mol% Rohamere 6852-0 (21.41 g) and 15 mol% N-(*p*-hydroxyphenyl) methacrylamide (7.18 g) using the noted synthetic method.

**Synthetic Preparation 3:**

5 Copolymer 3 (36.36 g, 74% yield) was prepared from 30 mol% N-phenylmaleimide (13.18 g), 15 mol% methacrylamide (3.24 g), 20 mol% methacrylic acid (4.37 g), 20 mol% Rohamere 6852-0 (20.12 g), and 15 mol% N-(*p*-aminosulfonylphenyl) methacrylamide (9.15 g) using the noted synthetic method.

10

**Synthetic Preparation 4:**

Copolymer 4 (31.60 g, 72% yield) was prepared from 30 mol% N-phenylmaleimide (14.74 g), 15 mol% methacrylamide (3.62 g), 20 mol% methacrylic acid (4.89 g), 20 mol% Rohamere 6852-0 (22.50 g), and 15 mol% N-methoxymethyl methacrylamide (3.62 g) using the noted synthetic method.

15

**Synthetic Preparation 5:**

Copolymer 5 (21.91 g, 43% yield) was prepared from 30 mol% N-phenylmaleimide (14.13 g), 15 mol% methacrylamide (3.47 g), 20 mol% methacrylic acid (4.68 g), 20 mol% Rohamere 6852-0 (21.56 g), and 15 mol% N-[3-(dimethylamino)propyl] methacrylamide (6.94 g) using the noted synthetic method.

20

**Synthetic Preparation 6:**

25 Copolymer 6 (56.93 g, 94% yield) was prepared from 30 mol% N-phenylmaleimide (21.74 g), 20 mol% methacrylic acid (7.21 g), 20 mol% Rohamere 6852-0 (33.19 g), and 30 mol% N-hydroxymethylmethacrylamide (24.09 g) using the noted synthetic method.

30

**Synthetic Preparation 7:**

Copolymer 7 (58.21 g, 97% yield) was prepared from 41.5 mol% N-phenylmaleimide (32.39 g), 21 mol% methacrylic acid (8.15 g), and 37.5 mol% N-hydroxymethylmethacrylamide (32.43 g) using the noted synthetic method.

5     **Synthetic Preparation 8:**

Copolymer 8 (42.62 g, 85% yield) was prepared from 41.5 mol% N-phenylmaleimide (31.00 g), 21 mol% methacrylic acid (6.77 g), and 37.5 mol% methacrylamide (14.21 g) using the noted synthetic method.

10    **Synthetic Preparation 9:**

Copolymer 9 (18.13 g, 91% yield) was prepared from 30 mol% N-phenylmaleimide (7.65 g), 20 mol% methacrylic acid (2.53 g), 25 mol% methacrylamide (3.13 g), 20 mol% Rohamere 6852-0 (11.67 g), and 5 mol% N-hydroxymethylmethacrylamide (1.41 g) using the noted synthetic method.

15

**Synthetic Preparation 10:**

Copolymer 10 (18.88 g, 94% yield) was prepared from 35 mol% N-phenylmaleimide (9.01 g), 20 mol% methacrylic acid (2.56 g), 25 mol% methacrylamide (3.16 g), 15 mol% Rohamere 6852-0 (8.84 g), and 5 mol% N-hydroxymethylmethacrylamide (1.43 g) using the noted synthetic method.

20

**Synthetic Preparation 11:**

Copolymer 11 (16.55 g, 83% yield) was prepared from 25 mol% N-phenylmaleimide (6.32 g), 20 mol% methacrylic acid (2.51 g), 25 mol% methacrylamide (3.10 g), 25 mol% Rohamere 6852-0 (14.46 g), and 5 mol% N-hydroxymethylmethacrylamide (1.40 g) using the noted synthetic method.

25

**Synthetic Preparation 12:**

Copolymer 12 (30.66 g, 77% yield) was prepared from 25 mol% N-phenylmaleimide (13.45 g), 20 mol% methacrylic acid (5.35 g), 25 mol% methacrylamide (6.61 g), 15 mol% Rohamere 6852-0 (18.47 g), and 15 mol% N-hydroxymethylmethacrylamide (8.94 g) using the noted synthetic method.

30

**Synthetic Preparation 13**

- Copolymer 13 (37.80 g, 95% yield) was prepared from 30 mol% N-phenylmaleimide (17.87 g), 25 mol% methacrylic acid (7.40 g), 30 mol%  
5 methacrylamide (8.78 g), and 15 mol% N-hydroxymethylmethacrylamide (9.90 g) using the noted synthetic method.

**Invention Example 1 and Comparative Examples 1 to 4:**

- Coating solutions containing the components as described in Table  
10 I below were coated onto Substrate A using a wire wound bar out of 1,3-dioxolane:PGME:γ-butyrolactone:water (65:15:10:10 weight ratio). The resulting polymer layers were dried at 135°C for 30 seconds. The coating weight of each resulting polymer layer was 1.5 g/m<sup>2</sup>.



TABLE I

Example	Expressed as % dry coating of film							
	Copolymer 1	Copolymer 2	Copolymer 3	Copolymer 4	Copolymer 5	IR Dye A	Byk® 307	D11
Invention 1	83.0					15.0	0.5	1.5
Comparative 1		83.0				15.0	0.5	1.5
Comparative 2			83.0			15.0	0.5	1.5
Comparative 3				83.0		15.0	0.5	1.5
Comparative 4					83.0	15.0	0.5	1.5

The dried samples were subjected to the following tests:

Developer solubility: Drops of 956 Developer were applied to the dried polymer layer at 2-second intervals up to 30 seconds, and then washed off immediately with water. The time taken to fully dissolve the polymer layer was  
5 recorded.

Resistance to UV wash: Drops of diacetone alcohol/water (4:1) were placed on each dried polymer layer at one-minute intervals up to 5 minutes, and then washed off with water. An estimation of the amount of polymer layer remaining after 5 minutes was made.

10 Resistance to alcohol-sub fountain solution: Drops of butyl cellosolve (BC):water (4:1 volume ratio) were placed on each dried polymer layer at 1-minute intervals up to 5 minutes, and then washed off with water. An estimation of the amount of polymer layer remaining after 5 minutes was made.

Baking test: The dried polymer layer was baked in a Mathis  
15 laboratory drier at 230°C for 8 minutes with a fan speed of 1000 rpm. The positive image remover, PE3S (available from Kodak Polychrome Graphics, Japan Ltd) was applied at 2-minute intervals up to 10 minutes, and then rinsed with water. The polymer layer was considered to be 100% bakeable if the deletion gel was unable to remove any coating. The coating was considered to be  
20 50% bakeable if the deletion gel was able to remove 50% of the coating.

The results of these tests having the dried polymer layers described in TABLE I are provided the following TABLE II.

TABLE II

Example	Drop Test 956 Developer (seconds)	UV Wash (%) coating remaining after 5 minutes)	BC/water Resistance (% coating remaining after 5 minutes)	Baking Test
Invention 1	8	25	75	100% bakeable
Comparative 1	8	0	50	Not bakeable
Comparative 2	12	0	60	Not bakeable
Comparative 3	6	0	30	80% bakeable
Comparative 4	40	0	0	Not bakeable

An upper layer formulation described in TABLE III below (diethyl ketone) was coated onto the dried polymer layers described above by means of a wire wound bar. The formulation concentration was selected to provide a dry film having a coating weight of 0.7 g/m<sup>2</sup>. The upper layer coating was dried at 135°C for 30 seconds.

TABLE III

Component	Parts by Weight
PD140A	69.1
P3000	30
Ethyl Violet	0.4
Byk <sup>®</sup> 307	0.5

The resulting imageable elements were evaluated using the following test:

The elements were imagewise exposed with 830 nm radiation on a commercially available Creo 3244 Trendsetter. Plot 0 internal test patterns were applied at 8 watts with exposure energies of 130, 120, 110, 100, 90, 80 and 70 mJ/cm<sup>2</sup>.

The imaged elements were then developed in a Kodak Polychrome Graphics 85N processor using 956 Developer at 25°C at a processing speed of 5 feet per minute. The elements were then evaluated for "cleanout" (that is, the lowest energy needed to completely remove exposed areas using the developer) and the best resolution (that is, the imaging energy at which the element performs best). The results are provided in the following TABLE IV.

TABLE IV

Example	Minimum exposure required (mJ/cm <sup>2</sup> )	
	Cleanout	Best Resolution
Invention 1	110	110
Comparative 1	110	120
Comparative 2	120	130
Comparative 3	110	120
Comparative 4	>130	>130

**Invention Example 2 and Comparative Example 5:**

- Coating formulations were made containing the components
- 5 described in the following TABLE V, coated onto Substrate A, and dried as described in Invention Example 1. The coating weight of the resulting polymer layer was 1.5 g/m<sup>2</sup>. The dried polymers layers were evaluated using the same tests described above for Invention Example 1 and the results are shown in the following TABLE VI.

TABLE V

Example	Expressed as % dry coating of film				
	Copolymer 7	Copolymer 8	IR Dye A	Byk® 307	D11
Invention 2	83.0		15.0	0.5	1.5
Comparative 5		83.0	15.0	0.5	1.5

TABLE VI

Example	Drop Test 956 Developer (seconds)	UV Wash (% coating remaining after 5 minutes)	BC/Water (% coating remaining after 5 minutes)	Baking Test
Invention 2	4	40	50	30% bakeable
Comparative 5	6	40	50	Not bakeable

An upper layer formulation described in TABLE VII below (diethyl ketone) was coated onto the dried polymer layers described above by means of a wire wound bar. The formulation concentration was selected to provide a dry film having a coating weight of 0.7 g/m<sup>2</sup>. The upper layer coating  
 5 was dried at 135°C for 30 seconds.

TABLE VII

Component	Parts by Weight
PD140A	69.1
P3000	30
Ethyl Violet	0.4
Byk <sup>®</sup> 307	0.5

The resulting imageable elements were evaluated using the same test described for Invention Example 1 and the results are shown in the following  
 10 TABLE VIII.

TABLE VIII

Example	Minimum exposure required (mJ/cm <sup>2</sup> )	
	Cleanout	Best Resolution
Invention 2	130	130
Comparative 5	120	130

### Examples 3 to 5:

15 Coating formulations were made containing the components described in the following TABLE IX, coated onto Substrate A, and dried as described in Invention Example 1. The coating weight of the resulting polymer layer was 1.5 g/m<sup>2</sup>. The dried polymers layers were evaluated using the same tests described above for Invention Example 1 and the results are shown in the  
 20 following TABLE X.

TABLE IX

Example	Expressed as % dry coating of film					
	Copolymer 9	Copolymer 10	Copolymer 11	IR dye A	Byk® 307	D11
Invention 3	83.0			15.0	0.5	1.5
Invention 4		83.0		15.0	0.5	1.5
Invention 5			83.0	15.0	0.5	1.5

TABLE X

Example	Drop Test 956 Developer (seconds)	UV Wash (% coating remaining after 5 minutes)	BC/water (% coating remaining after 5 minutes)	Baking Test
Invention 3	2	10	70	Not bakeable
Invention 4	2	5	60	10% bakeable
Invention 5	2	15	70	10% bakeable



An upper layer formulation described in TABLE XI below (diethyl ketone) was coated onto the dried polymer layers described above by means of a wire wound bar. The formulation concentration was selected to provide a dry film  
 5 having a coating weight of 0.7 g/m<sup>2</sup>. The upper layer coating was dried at 135°C for 30 seconds.

TABLE XI

Component	Parts by Weight
PD140A	69.1
P3000	30
Ethyl Violet	0.4
Byk <sup>®</sup> 307	0.5

10 The resulting imageable elements were subjected to the same “cleanout” test as described for Invention Example 1 and the results are provided in the following TABLE XII.

TABLE XII

Example	Minimum exposure required for cleanout (mJ/cm <sup>2</sup> )
Invention 3	110
Invention 4	110
Invention 5	110

15 **Example 6:**

A coating formulation was made containing the components described in the following TABLE XIII, coated onto Substrate A, and dried as described in Invention Example 1. The coating weight of the resulting polymer layer was 1.5 g/m<sup>2</sup>. The dried polymers layers were evaluated using the same  
 20 tests described above for Invention Example 1 and the results are shown in the following TABLE XIV.

TABLE XIII

Example	Expressed as % dry coating of film			
	Copolymer 12	IR dye A	Byk® 307	D11
Invention 6	83.0	15.0	0.5	1.5

TABLE XIV

Example	Drop Test 956 Developer (seconds)	UV Wash (% coating remaining after 5 minutes)	BC/water (% coating remaining after 5 minutes)	Baking Test
Invention 6	8	60	90	90% bakeable

An upper layer formulation described in TABLE XV below (diethyl ketone) was coated onto the dried polymer layers described above by means of a wire wound bar. The formulation concentration was selected to provide a dry film having a coating weight of  $0.7 \text{ g/m}^2$ . The upper layer coating was dried at  $135^\circ\text{C}$  for 30 seconds.

TABLE XV

Component	Parts by Weight
PD140A	69.1
P3000	30
Ethyl Violet	0.4
Byk <sup>®</sup> 307	0.5

The resulting imageable elements were subjected to the same test as described for Invention Example 1 above and the results are shown in the following TABLE XVI.

TABLE XVI

Example	Minimum exposure required for cleanout ( $\text{mJ/cm}^2$ )
Invention 6	100

**CLAIMS:**

1. A positive-working imageable element comprising a radiation absorbing compound and a substrate having a hydrophilic surface, and having thereon, in order:

5 an inner layer comprising a polymeric material that is removable using an alkaline developer and in which from 1 to 50 mol % of its recurring units are derived from one or more of the ethylenically unsaturated polymerizable monomers represented by the following Structure (I):



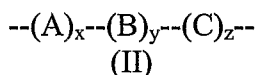
10 (I)

wherein  $\text{R}^1$ ,  $\text{R}^2$ ,  $\text{R}^3$ , and  $\text{R}^4$  are independently hydrogen, lower alkyl, or phenyl, and  $n$  is 1 to 20, and

an ink receptive outer layer that is substantially free of said radiation absorbing compound and is not removable by an alkaline developer prior to thermal  
15 imaging,

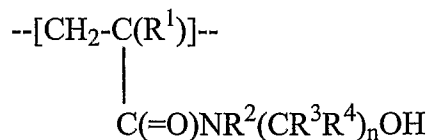
provided upon thermal imaging, the imaged regions of said element are removable by an alkaline developer.

20 2. The imageable element of claim 1 wherein said inner layer polymeric material is represented by the following Structure (II):



wherein A represents recurring units represented by the following Structure (Ia):

25



(Ia)

B represents recurring units comprising acidic functionality or an N-maleimide group,  
30 and C represents recurring units different from A and B,  $x$  is from 1 to 50 mol %,  $y$  is from 40 to 90 mol %, and  $z$  is 0 to 70 mol %, based on total recurring units.

3. The imageable element of claim 2 wherein x is from 10 to 40 mol %, y is from 40 to 70 mol %, and z is from 0 to 50 mol %, based on total recurring units.

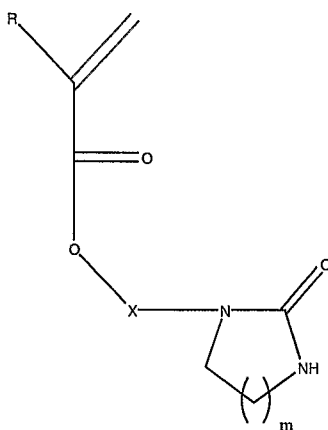
5 4. The imageable element of claim 2 wherein:

A represents recurring units derived from one or both of N-hydroxymethylacrylamide and N-hydroxymethylmethacrylamide,

B represents recurring units derived from one or more of N-phenylmaleimide, N-cyclohexylmaleimide, N-benzylmaleimide, N-(4-carboxyphenyl)maleimide,  
10 (meth)acrylic acid, and vinyl benzoic acid,

C represents recurring units derived from one or more of a styrenic monomer, meth(acrylate) ester, N-substituted (meth)acrylamide, maleic anhydride, (meth)acrylonitrile, allyl acrylate, and a compound represented by the following Structure (III):

15



(III)

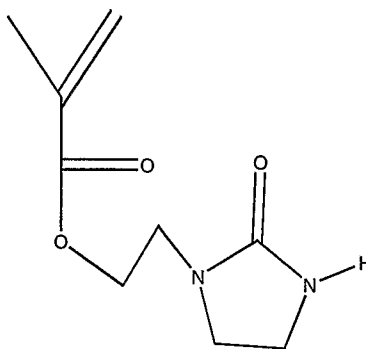
wherein R is hydrogen, methyl, or halo, X is alkylene having 2 to 12 carbon atoms,  
20 and m is 1 to 3,

x is from 10 to 40 mol %, y is from 40 to 70 mol %, and z is from 0 to 50 mol %, based on total recurring units.

5. The imageable element of claim 4 wherein B represents  
25 recurring units derived from at least one of N-phenylmaleimide, N-cyclohexylmaleimide, N-benzylmaleimide, N-(4-carboxyphenyl)maleimide in an amount of from 20 to 50 mol %, and recurring units derived from at least one of

(meth)acrylic acid and vinyl benzoic acid in an amount of from 10 to 30 mol %, based on total recurring units.

6. The imageable element of claim 4 wherein C represents  
5 recurring units derived from methacrylamide, (meth)acrylonitrile, maleic anhydride, or



7. The imageable element of claim 1 wherein said outer layer  
10 comprises a phenolic resin and optionally at least 0.1 weight % of a dissolution inhibitor.

8. The imageable element of claim 7 wherein said phenolic resin  
is a novolak resin and said dissolution inhibitor is present in an amount of from 0.5 to  
15 30 weight %.

9. The imageable element of claim 7 wherein said phenolic resin  
comprises polar groups.

10. The imageable element of claim 1 wherein said radiation  
20 absorbing compound is present exclusively in said inner layer in an amount of from at least 10 weight %.

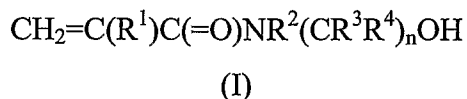
11. The imageable element of claim 10 wherein said radiation  
25 absorbing compound is an infrared radiation absorbing compound that is a pigment or an IR dye having a high extinction coefficient of from 700 to 1200 nm.

12. The imageable element of claim 1 wherein said inner layer has a dry coating weight of from 0.5 to 2.5 g/m<sup>2</sup> and has outer layer has a dry coating weight of from 0.2 to 2 g/m<sup>2</sup>.

5 13. A method for forming an image comprising:

A) thermally imaging a positive-working imageable element comprising a radiation absorbing compound and a substrate having a hydrophilic surface, and having thereon, in order:

an inner layer comprising a polymeric material that is removable using  
10 an alkaline developer and in which from 1 to 50 mol % of its recurring units are derived from one or more of the ethylenically unsaturated polymerizable monomers represented by the following Structure (I):



15 wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are independently hydrogen, lower alkyl, or phenyl, and n is 1 to 20, and

an ink receptive outer layer that is substantially free of said radiation absorbing compound and is not removable by an alkaline developer prior to thermal imaging,

20 provided upon thermal imaging, the imaged regions of said element are removable by an alkaline developer,

thereby forming an imaged element with imaged and non-imaged regions wherein said imaged regions are removable by an alkaline developer following thermal imaging of said element,

25 B) contacting said imaged element with an alkaline developer to remove only the imaged regions, revealing the hydrophilic substrate, and

C) optionally, baking said imageable element after imaging and development.

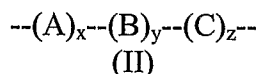
30 14. The method of claim 13 wherein said alkaline developer is a solvent-based alkaline developer.

15. The method of claim 13 wherein said imaged regions are formed by exposing said imageable element to a suitable source of infrared using an infrared laser at a wavelength of from 600 to 1200 nm.

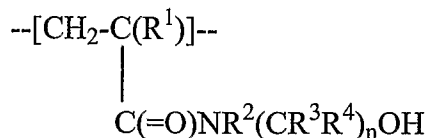
16. The method of claim 13 wherein said imaged and developed element is a positive-working lithographic printing plate.

17. The method of claim 13 wherein said imaged and developed element is a printed circuit board or masking element.

18. The method of claim 13 wherein said inner layer polymeric material is represented by the following Structure (II):



wherein A represents recurring units represented by the following Structure (Ia):



(Ia)

B represents recurring units comprising acidic functionality or an N-maleimide group, and C represents recurring units different from A and B, x is from 1 to 50 mol %, y is from 40 to 90 mol %, and z is 0 to 70 mol %, based on total recurring units.

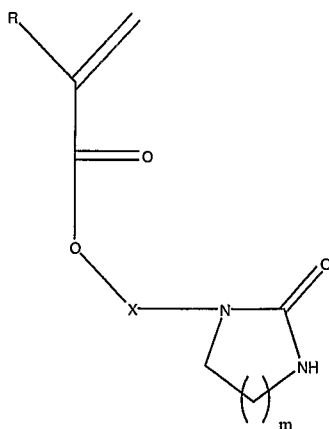
19. The method of claim 18 wherein:

A represents recurring units derived from one or both of N-hydroxymethylacrylamide and N-hydroxymethylmethacrylamide,

B represents recurring units derived from one or more of N-phenylmaleimide, N-cyclohexylmaleimide, N-benzylmaleimide, N-(4-carboxyphenyl)maleimide, (meth)acrylic acid, and vinyl benzoic acid,

C represents recurring units derived from one or more of a styrenic monomer, meth(acrylate) ester, N-substituted (meth)acrylamide, maleic anhydride, (meth)acrylonitrile, allyl acrylate, and a compound represented by the following Structure (III):





(III)

- 5 wherein R is hydrogen, methyl, or halo, X is alkylene having 2 to 12 carbon atoms, and m is 1 to 3,

x is from 10 to 40 mol %, y is from 40 to 70 mol %, and z is from 0 to 50 mol %, based on total recurring units.

10

20. An image obtained from the method of claim 13.

## INTERNATIONAL SEARCH REPORT

International application No

PCT/US2006/040418

## A. CLASSIFICATION OF SUBJECT MATTER

INV. B41M5/36 B41C1/10 H05K3/12

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

B41M B41C H05K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, CHEM ABS Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 2005/018934 A (KODAK POLYCHROME GRAPHICS LLC) 3 March 2005 (2005-03-03) cited in the application page 1, line 5 - line 7 page 2, line 20 - page 4, line 2 claims 1-10; examples 1-21 -----	1-20
A	US 6 893 783 B2 (P.KITSON ET AL.) 17 May 2005 (2005-05-17) cited in the application column 1, line 4 - line 7 column 1, line 64 - column 3, line 12 column 4, line 30 - line 67 examples 1-4 -----	1-20



Further documents are listed in the continuation of Box C.



See patent family annex.

## \* Special categories of cited documents:

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"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the International filing date but later than the priority date claimed

"T" later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

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"&amp;" document member of the same patent family

Date of the actual completion of the International search

22 February 2007

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02/03/2007

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Authorized officer

Bacon, Alan

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2006/040418

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
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			EP 1522417 A1	13-04-2005
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