

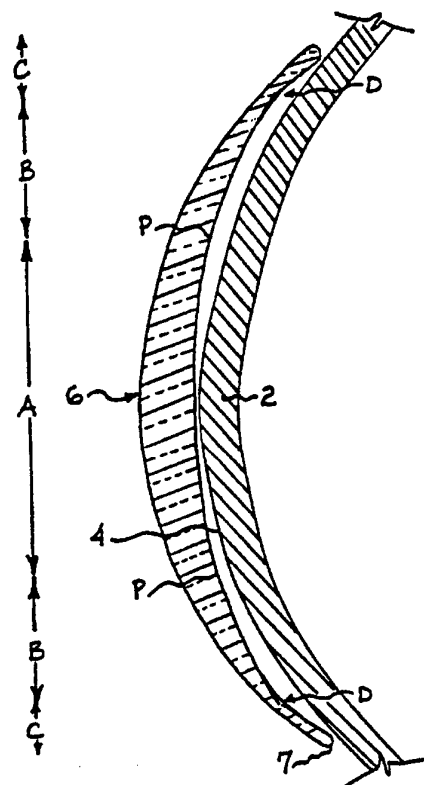


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>G02C 7/04</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 95/09377</b> <b>(43) International Publication Date:</b> 6 April 1995 (06.04.95)
<b>(21) International Application Number:</b> PCT/US94/09504 <b>(22) International Filing Date:</b> 23 August 1994 (23.08.94) <b>(30) Priority Data:</b> 08/129,919 30 September 1993 (30.09.93) US <b>(71) Applicant:</b> POLYMER TECHNOLOGY CORPORATION [US/US]; 100 Research Drive, Wilmington, MA 01887 (US). <b>(72) Inventor:</b> CARROLL, Elizabeth, A.; 501C Ridgefield Circle, Clinton, MA 01510 (US). <b>(74) Agents:</b> THOMAS, John, E. et al.; Bausch & Lomb Incorporated, One Chase Square, P.O. Box 54, Rochester, NY 14601-0054 (US).		<b>(81) Designated States:</b> AU, BB, BG, BR, BY, CA, CN, CZ, FI, HU, JP, KP, KR, KZ, LK, LV, MG, MN, MW, NO, NZ, PL, RO, RU, SD, SK, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>

**(54) Title:** ASPHERIC MULTIFOCAL CONTACT LENS**(57) Abstract**

Contact lenses, particularly adapted to correct presbyopic vision, having a multiaspheric back surface having a central zone, a marginal zone, and a peripheral zone, each of the zones being constituted by portions of second-order surfaces of revolution other than spherical, wherein the axial edge lift of the central and marginal zones increase toward the edge of the lens, the transition from the central to the marginal zone is tangential, and the axial edge lift of the peripheral zone decreases toward the edge of the lens.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

## **ASPHERIC MULTIFOCAL CONTACT LENS**

### **BACKGROUND OF THE INVENTION**

#### **Field of the Invention**

The present invention relates to multifocal lenses and especially aspheric multifocal lenses used to correct vision in patients having vision defects such as presbyopic vision, accommodative insufficiency, aphakia, or accommodative convergence defects.

#### **Background**

Existing multifocal lenses can be broadly categorized as either simultaneous or alternating designs. Alternating designs require lens translation to present the eye alternatively with distance and near lens segments. Simultaneous designs do not require lens translation but rather involve focusing both the distance and near images at the same time. Concentric simultaneous designs may have either a circular near segment surrounded by an annular distance segment (center-near) or the reverse (center-far). The optical performance of the concentric simultaneous designs is affected by changes in pupil size and by variations in lens position. The sensitivity to pupil size may affect the relative bias between distance and near, the optimum vergence, and the range of focus.

Aspheric multifocal lenses are a type of concentric simultaneous lens having an increasingly flatter curve from the center to the edge of the lens. This aspheric curve may appear on the posterior (ocular) side of the lens or on the anterior side of the lens, although posterior aspheric designs are the designs of particular interest in considering the present invention. The flattening of the posterior surface on these lenses produces the multifocal power in conjunction with the refractive index difference between the tear film and the lens material.

Good centering is essential if maximum visual acuity is to be achieved with the aspheric multifocals. Centering is enhanced by selecting lens base curves which are much steeper than the curvature of the cornea being fitted. However, while the optical performance of a lens fitted in this way is good, the potential for corneal edema is increased. Accordingly, optimal centering of current posterior aspheric designs must be compromised by fitting the lenses less steeply and by increasing the overall lens size. Due to the steepness with which the lenses are fit, a relatively flat spherical curve is often added to the edge of the posterior surface of the lens to improve tear exchange under the lens.

### SUMMARY OF THE INVENTION

The present invention is a contact lens designed to overcome the typical decentering problems associated with aspheric multifocal lenses as well as to minimize the variability in optical quality caused by varying pupil sizes. The lens also minimizes the need for steep fitting relationships and thus minimizes corneal distortion and alleviates the increased edema associated with some posterior aspheric multifocal designs.

The lens of this invention comprises an edge and a back surface having a central zone, a marginal zone, and a peripheral zone, each of the zones being constituted by portions of second-order surfaces of revolution other than spherical, wherein the axial edge lift of the central and marginal zones increase toward the edge of the lens, the transition from the central to the marginal zone is tangential, and the axial edge lift of the peripheral zone decreases toward the edge of the lens. Preferably, the transition between the marginal zone and the peripheral zone is smoothed by an aspheric fillet curve, the fillet curve being constituted by a portion of a second-order surface of revolution other than spherical.

The lens of this invention uses aspheric conic sections to generate posterior surfaces having the

flattening which is characteristic of aspheric multifocals but also having edge lifts associated with single-vision, back-surface, aspheric designs. This allows the use of standard front surface designs for the lens.

#### BRIEF DESCRIPTION OF THE DRAWING

Figure 1 is an enlarged cross section schematically showing the fit between a cornea and the aspheric multifocal lens of this invention.

#### DETAILED DESCRIPTION OF THE INVENTION

The aspheric curves forming the posterior surface of the lenses of this invention are constituted by portions of second-order surfaces of revolution other than spherical. Preferred non-spherical conic sections are ellipsoids, hyperboloids or paraboloids. Preferred conic sections to be used with the respective zones are as follows: the central zone is preferably an ellipsoid; the marginal zone or zones are preferably hyperboloids; the peripheral zone is preferably a hyperboloid; and the optional (but preferred) fillet curve is preferably an ellipse.

Referring to Figure 1, there is seen a schematic cross sectional view of a cornea (2) with its surface (4) adjacent to the back surface of a lens (6). The lens (6) has an edge (7), a front surface (8) which is

preferably spherical, and a back surface (9). The back surface has a central zone (A), a marginal zone (B), a peripheral zone (C), and a fillet curve (D).

For the illustrated embodiment, the central zone (A) is constituted by a segment of an ellipsoid of revolution, the generatrix of which is given by the equation:

$$Z = \frac{(C)(X^2)}{1 + \sqrt{(1-(1-K)C^2X^2)}}$$

wherein Z is the sagittal depth, X is the half diameter, C is 1/Ro (wherein Ro is the base curve radius of the central zone of surface 9), and  $K = -(e^2)$  (wherein e is the eccentricity of the ellipse).

The marginal zone (B) of the lens exemplified in Figure 1 is constituted by a segment of a hyperboloid of revolution, the generatrix of which is generated by the equation:

$$Z = \frac{(C)(X^2)}{1 + \sqrt{(1-(1-K)C^2X^2)}} + T$$

wherein Z and X are as defined above, C is 1/Ro (wherein Ro is the peripheral radius of the marginal zone of surface 9),  $K = -(e^2)$  (wherein e is the

eccentricity of the marginal zone) and T is the hyperbolic offset.

The peripheral zone (C) of this lens exemplified in Figure 1 is also constituted by a segment of a hyperboloid of revolution, the generatrix of which is given by the equation:

$$Z = \frac{(C) (X^2)}{1 + \sqrt{(1-(1-K)C^2X^2)}} + T$$

wherein are as defined above, C is 1/Ro (wherein Ro is the peripheral radius of the peripheral zone of surface 9),  $K = -(e^2)$  (wherein e is the eccentricity of the peripheral zone) and T is the hyperbolic offset.

The "fillet" curve (D) (as used herein) is a curve placed at the points where the peripheral and outermost marginal zones come together. The fillet curve is preferably included to provide a lens with a smoother transition between the marginal and peripheral zones. The fillet curve (D) is preferably constituted by a segment of an ellipsoid of revolution, the generatrix of which is given by the equation:

$$Z = \frac{(C) (X^2)}{1 + \sqrt{1-(1-K)C^2X^2}}$$

wherein Z is the saggital depth, X is the half diameter, C is 1/Ro (wherein Ro is the central radius



of the surface D), and  $K = -(e^2)$  (wherein  $e$  is the eccentricity of the ellipse). This surface of revolution for the fillet curve is selected so that it provides the desired smooth transition between the non-tangential surfaces, outermost marginal zone (B) and peripheral zone (C).

It is a characteristic feature of the lens according to this invention that the transition from the central zone (A) to the marginal zone (B) is tangential; point (P) where the central zone ends and the marginal zone begins lies on a tangent common to the curves of both zones.

It is also a characteristic feature of the lens of this invention that the axial edge lift of the central and marginal zones (A), (B) of the lens increases towards the edge of the lens. The axial edge lift of peripheral zone (C) decreases toward the edge of the lens. "Axial edge lift" (as used herein) is the distance between a point on the back surface of a lens at a specific diameter and a vertex sphere, measured parallel to the lens axis, the vertex sphere being a theoretical sphere having a radius of curvature equal to that at the direct geometric center of the lens.

As depicted in the illustrated embodiment, central zone (A) is preferably generated by an ellipse, marginal zone (B) is preferably generated by an hyperbola, peripheral zone (C) is preferably generated

by an hyperbola, and fillet curve (D) is generated by an ellipse. However, any combination of ellipses, hyperbolas, and parabolas may be used to create each zone and the fillet curve. In addition, the marginal and peripheral zones may be comprised of more than one aspheric curve. As will be apparent to those skilled in the art, multiple marginal and peripheral zones may be combined together to form the total periphery of the lens, provided that the transitions between the marginal zones are tangential.

When fitting the lens of this invention, the trial lens method with fluorescein assessment should be employed. The base curve radius of the first trial lens is chosen by measuring the corneal curvature and identifying the Flat K and the amount of corneal astigmatism. Preferably, the lenses of this invention may be provided with a base curve radius ( $R_0$ ) from about 6.30mm to 8.30mm, generally in incremental steps of 0.05mm or 0.10mm. Outside diameters preferably range from about 8.2mm to 10.5mm, with the central zone (A) having a diameter preferably greater than the pupil diameter and less than 8.0.

A representative lens having a base curve radius of 7.3mm and an outer diameter of 9.6mm may be provided with the following posterior zones: central zone (A) having a diameter of 7.0mm, the base curve radius of 7.3mm and constituted by a segment of an ellipsoid

having an eccentricity of 0.75; marginal zone (B) having a diameter of 9.0mm, a peripheral radius of 5.7mm and constituted by a segment of a hyperboloid having an eccentricity of 1.5 and an offset of -0.11; a peripheral zone having a peripheral radius of 6.6mm and constituted by a segment of a hyperboloid having an eccentricity of 0.083 and an offset of -0.34. Lenses with other curves can be provided by one skilled in the art.

The invention is not limited to the details of the illustrative embodiments. This invention may be embodied in other specific forms without departing from the essential attributes thereof. The present embodiments are therefore to be considered as illustrative and not restrictive.

## I Claim:

1. A contact lens comprising an edge and a back surface having a central zone, a marginal zone, and a peripheral zone, each of the zones being constituted by portions of second-order surfaces of revolution other than spherical, wherein the axial edge lift of the central and marginal zones increase toward the edge of the lens, the transition from the central to the marginal zone is tangential, and the axial edge lift of the peripheral zone decreases toward the edge of the lens.

2. The lens of Claim 1 wherein the transition between the marginal zone and the peripheral zone is smoothed by an aspheric fillet curve, the fillet curve being a portion of a surface of revolution, the generatrix of which is a conic section other than a circle.

3. The lens of Claim 1 wherein said central zone is an ellipsoid surface of revolution.

4. The lens of Claim 1 wherein at least one marginal zone is a hyperboloid surface of revolution.

5. The lens of Claim 1 wherein said peripheral zone is a hyperboloid surface of revolution.

6. The lens of Claim 2 wherein said fillet curve is an ellipsoid surface of revolution.

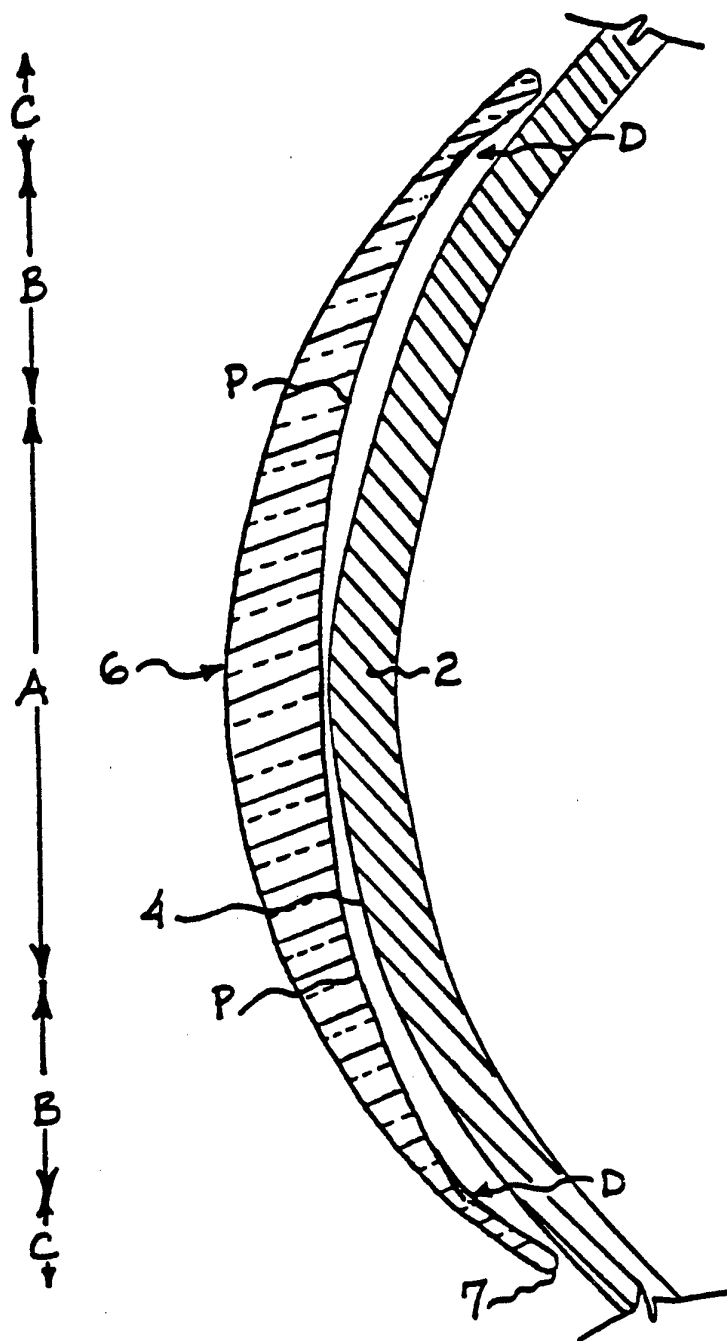
7. The lens of Claim 1 wherein the back surface comprises a central zone which is an ellipsoid surface of revolution, a marginal zone which is a hyperboloid surface of revolution, and a peripheral zone which is a hyperboloid surface of revolution.

8. The lens of Claim 7 wherein the transition between the marginal zone and the peripheral zone is smoothed by an aspheric fillet curve, the fillet curve being a portion of a surface of revolution, the generatrix of which is a conic section other than a circle.

9. In a method for making contact lenses the improvement of which comprises putting onto a back surface of a contact lens a central zone, at least one marginal zone, a peripheral zone, and a fillet curve, said central zone, said marginal zone, said peripheral zone and said fillet curve each being constituted by a portion of a surface of revolution, the generatrix of which is a conic section other than a circle.

1/1

FIGURE 1



## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 94/09504

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 G02C7/04

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 G02C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y A	US,A,4 765 728 (M. PORAT) 23 August 1988 see abstract see column 3, line 4 - line 26 ---	1,3 4,7
Y	US,A,3 950 082 (D. VOLK) 13 April 1976 see column 1 - column 2, line 15 ---	1,3
A	US,A,5 050 981 (J.H. ROFFMAN) 24 September 1991 see column 1 - column 2, line 35 ---	1,3,4
A	US,A,4 525 043 (L. BRONSTEIN) 25 June 1985 see abstract ---	1
A	US,A,3 482 906 (D. VOLK) 9 December 1969 see abstract; claims --- -/--	1

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

21 November 1994

Date of mailing of the international search report

21.12.94

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

CALLEWAERT, H

# INTERNATIONAL SEARCH REPORT

Inter:      al Application No  
PCT/US 94/09504

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	GB,A,2 059 102 (J.L. BREGER) 15 April 1981 see page 1 - page 2 ---	1
A	EP,A,0 184 490 (F.D. VINZIA) 11 June 1986 see page 1 - page 5, line 3 -----	1,9



# INTERNATIONAL SEARCH REPORT

...formation on patent family members

Intern al Application No

PCT/US 94/09504

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-4765728	23-08-88	CA-A- 1274993	09-10-90
US-A-3950082	13-04-76	AU-B- 496604	18-08-77
		AU-A- 1094876	18-08-77
		US-A- 4002439	11-01-77
US-A-5050981	24-09-91	AU-B- 636502	29-04-93
		AU-A- 8117291	30-01-92
		CN-A- 1058474	05-02-92
		EP-A- 0472291	26-02-92
		JP-A- 6201990	22-07-94
		PT-A- 98420	29-10-93
		US-A- 5220359	15-06-93
US-A-4525043	25-06-85	WO-A- 8700299	15-01-87
		EP-A- 0227653	08-07-87
US-A-3482906	09-12-69	DE-B- 1497626	26-09-74
		GB-A- 1156454	25-06-69
GB-A-2059102	15-04-81	US-A- 4418991	06-12-83
		AU-B- 529890	23-06-83
		AU-A- 6262580	09-04-81
		CA-A- 1143193	22-03-83
EP-A-0184490	11-06-86	FR-A- 2573876	30-05-86
		AT-T- 108031	15-07-94
		CA-A- 1316025	13-04-93
		DE-D- 3587870	04-08-94
		JP-A- 61133922	21-06-86
		US-A- 4861152	29-08-89