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(54) Title: A SEED COAT-SPECIFIC CRYPTIC PROMOTER IN TOBACCO

(57) **Abrégé/Abstract:**

T-DNA tagging with a promoterless  $\beta$ -glucuronidase (GUS) gene generated a transgenic *Nicotiana tabacum* plant that expressed GUS activity only in developing seed coats. Cloning and deletion analysis of the GUS fusion revealed that the promoter responsible for seed coat specificity was located in the plant DNA proximal to the GUS gene. Analysis of the region demonstrated that the seed coat-specificity of GUS expression in this transgenic plant resulted from T-DNA insertion next to a cryptic promoter. This promoter is useful in controlling the expression of genes to the developing seed coat in plant seeds.

**ABSTRACT**

T-DNA tagging with a promoterless  $\beta$ -glucuronidase (GUS) gene generated a transgenic *Nicotiana tabacum* plant that expressed GUS activity only in developing seed coats. Cloning and deletion analysis of the GUS fusion revealed that the promoter responsible for seed coat specificity was located in the plant DNA proximal to the GUS gene. Analysis of the region demonstrated that the seed coat-specificity of GUS expression in this transgenic plant resulted from T-DNA insertion next to a cryptic promoter. This promoter is useful in controlling the expression of genes to the developing seed coat in plant seeds.

**A SEED COAT-SPECIFIC CRYPTIC PROMOTER IN TOBACCO****Field of Invention**

5           This invention relates to a cryptic promoter identified from *Nicotiana tabacum* (tobacco). Specifically this invention relates to a seed coat-specific cryptic promoter isolated from tobacco.

**Background and Prior Art**

10           Bacteria from the genus *Agrobacterium* have the ability to transfer specific segments of DNA (T-DNA) to plant cells, where they stably integrate into the nuclear chromosomes. Analyses of plants harbouring the T-DNA have revealed that this genetic element may be integrated at numerous locations, and can occasionally be found within genes. One  
15           strategy which may be exploited to identify integration events within genes is to transform plant cells with specially designed T-DNA vectors which contain a reporter gene, devoid of *cis*-acting transcriptional and translational expression signals (i.e. promoterless), located at the end of the T-DNA. Upon integration, the initiation codon of the promoterless  
20           gene (reporter gene) will be juxtaposed to plant sequences. The consequence of T-DNA insertion adjacent to, and downstream of, gene promoter elements may be the activation of reporter gene expression. The resulting hybrid genes, referred to as T-DNA-mediated gene fusions, consist of unknown and thus un-characterized plant promoters residing at  
25           their natural location within the chromosome, and the coding sequence of a marker gene located on the inserted T-DNA (Fobert *et al.*, 1991, *Plant Mol. Biol.* 17, 837-851).

30           It has generally been assumed that activation of promoterless or enhancerless marker genes result from T-DNA insertions within or immediately adjacent to genes. The recent isolation of several T-DNA insertional mutants (Koncz *et al.*, 1992, *Plant Mol. Biol.* 20, 963-976; reviewed in Feldmann, 1991, *Plant J.* 1, 71-82; Van Lijsebettens *et al.*,

1991, *Plant Sci.* 80, 27-37; Walden *et al.*, 1991, *Plant J.* 1: 281-288; Yanofsky *et al.*, 1990, *Nature* 346, 35-39), shows that this is the case for at least some insertions. However, other possibilities exist. One of these is that integration of the T-DNA activates silent regulatory sequences that are not associated with genes. Lindsey *et al.* (1993, *Transgenic Res.* 2, 33-47) referred to such sequences as "pseudo-promoters" and suggested that they may be responsible for activating marker genes in some transgenic lines.

10 Inactive regulatory sequences that are buried in the genome but with the capability of being functional when positioned adjacent to genes have been described in a variety of organisms, where they have been called "cryptic promoters" (Al-Shawi *et al.*, 1991, *Mol. Cell. Biol.* 11, 4207-4216; Fourel *et al.*, 1992, *Mol. Cell. Biol.* 12, 5336-5344; Irniger *et al.*, 1992, 15 *Nucleic Acids Res.* 20, 4733-4739; Takahashi *et al.*, 1991, *Jpn J. Cancer Res.* 82, 1239-1244). Cryptic promoters can be found in the introns of genes, such as those encoding for yeast actin (Irniger *et al.*, 1992, *Nucleic Acids Res.* 20, 4733-4739), and a mammalian melanoma-associated antigen (Takahashi *et al.*, 1991, *Jpn J. Cancer Res.* 82, 1239-1244). It has been 20 suggested that the cryptic promoter of the yeast actin gene may be a relict of a promoter that was at one time active but lost function once the coding region was assimilated into the exon-intron structure of the present-day gene (Irniger *et al.*, 1992, *Nucleic Acids Res.* 20, 4733-4739). A cryptic promoter has also been found in an untranslated region of the second 25 exon of the woodchuck N-myc proto-oncogene (Fourel *et al.*, 1992, *Mol. Cell. Biol.* 12, 5336-5344). This cryptic promoter is responsible for activation of a N-myc2, a functional processed gene which arose from retroposition of N-myc transcript (Fourel *et al.*, 1992, *Mol. Cell. Biol.* 12, 5336-5344). These types of regulatory sequences have not yet been 30 isolated from plants.

5 This patent application describes, as an example, one transgenic plant, T218, generated by tagging with a promoterless GUS ( $\beta$ -glucuronidase) T-DNA vector. This plant is of particular interest in that GUS expression was spatially and developmentally regulated in seed coats and a promoter specific to this tissue has not been previously isolated. Cloning of the insertion site uncovered a cryptic promoter within a region of the tobacco genome not conserved among related species. This seed coat-specific promoter can be useful for controlling gene expression of selected genes to a specific stage of development.

10  
**Summary of Invention**

15 The present invention is directed to a cryptic promoter identified from *Nicotiana tabacum* (tobacco). Specifically this invention relates to a seed coat-specific cryptic promoter isolated from tobacco.

20 The transgenic tobacco plant, T218, contained a 4.7 kb *EcoRI* fragment containing the 2.2 kb promoterless GUS-*nos* gene and 2.5 kb of 5' flanking tobacco DNA. Deletion of the region approximately between 2.5 and 1.0 kb of the 5' flanking region did not alter GUS expression, as compared to the entire 4.7 kb GUS fusion. A further deletion to 0.5 kb of the 5' flanking site resulted in complete lose of GUS activity. Thus the region between 1.0 and 0.5 of the 5' flanking region of the tobacco DNA contains the elements essential to gene activation. This region is  
25 contained within a *XbaI* - *SnaBI* restriction site fragment of the flanking tobacco DNA.

30 Thus according to the present invention there is provided a seed coat-specific cryptic promoter in tobacco contained within a DNA sequence, or analogue thereof, as shown in Figure 6.

Further according to the present invention, there is provided a DNA sequence, or analogue thereof, as shown in Figure 6.

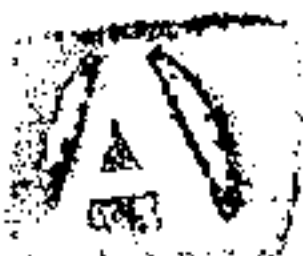
5 This invention also provides for a cryptic promoter comprising a nucleotide sequence consisting of at least 19 contiguous nucleotides of nucleotides 1 to 997 as shown in Figure 6, or, comprising a nucleotide sequence consisting of at least 19 contiguous nucleotides of nucleotides 1 to 467 as shown in Figure 6.

10 This invention also relates to a vector comprising a cryptic promoter which comprises a nucleotide sequence consisting of at least 19 contiguous nucleotides of nucleotides 1 to 997, or 1 to 467 as shown in Figure 6.

15 This invention is also directed to a cryptic promoter comprising a DNA fragment between *EcoRI* - *SmaI*, of the restriction map of pT218-1 of Figure 2, characterized by comprising *HindIII*, *XbaI*, and *SnaBI* restriction sites.

20 Furthermore, this invention relates to a cryptic promoter comprising a DNA fragment between *XbaI* - *SmaI*, of the restriction map of pT218-2 of Figure 2, and characterized by comprising internal *XbaI* and *SnaBI* restriction sites.

25 Also considered within the scope of the present invention is a cryptic promoter comprising a DNA fragment between *XbaI* and *SnaBI*, of pT218 of the restriction map of Figure 2, wherein the fragment is of about 500 bp. This invention also is directed to a cryptic promoter comprising a DNA fragment between *XbaI* and *SnaBI*, of pT218 of the restriction map of Figure 2, wherein the fragment is of about 1,500 bp, or a cryptic promoter comprising a DNA  
30 fragment between *HindIII* and *SnaBI*, of pT218 of the restriction map of Figure 2, wherein the fragment is of about 1,900 bp. Furthermore, this invention also embraces a cryptic promoter comprising a DNA fragment between *EcoRI* and *SnaBI*, of pT218 of the restriction map of Figure 2, wherein the fragment is of about 2,000 bp.



This invention also relates to a cloning vector containing a seed coat-specific cryptic promoter from tobacco, which is contained within a DNA sequence, or analogue thereof, as shown in Figure 6 and a gene encoding a protein.

5 This invention also includes a plant cell which has been transformed with a cloning vector as described above

10 This invention further relates to a transgenic plant containing a seed-coat specific promoter, operatively linked to a gene encoding a protein.

#### Brief Description of the Drawings

15 Figure 1 depicts the fluorogenic analyses of GUS expression in the plant T218. Each bar represents the average  $\pm$  one standard deviation of three samples. Nine different tissues were analyzed: leaf (L), stem (S), root (R), anther (A), petal (P), ovary (O), sepal (Se), seeds 10 days post anthesis (S1) and seeds 20 days post-anthesis (S2). For all measurements of GUS activity, the fraction attributed to intrinsic fluorescence, as  
20 determined by analysis of untransformed tissues, is shaded black on the graph. Absence of a black area at the bottom of a histogram indicates that the relative contribution of the background fluorescence is too small to be apparent.

25 Figure 2 shows the cloning of the GUS fusion in plant T218 (pT218) and construction of transformation vectors. Plant DNA is indicated by the solid line and the promoterless GUS-*nos* gene is indicated by the open box. The transcriptional start site and presumptive TATA box



are located by the closed and open arrow heads respectively. DNA probes #1, 2, 3 and RNA probe #4 are shown. The *EcoRI* fragment in pT218 was subcloned in the pBIN19 polylinker to create pT218-1. Fragments truncated at the *XbaI* *SnaBI* and *XbaI* sites were also subcloned to create pT218-2, pT218-3 and pT218-4. Abbreviations for the endonuclease restriction sites are as follows: *EcoRI* (E), *HindIII* (H), *XbaI* (X), *SnaBI* (N), *SmaI* (M), *SstI* (S).

Figure 3 shows the expression pattern of promoter fusions during seed development. GUS activity in developing seeds (4-20 days postanthesis (dpa)) of (Fig. 3a) plant T218 (●-●) and (Fig. 3b) plants transformed with vectors pT218-1 (○-○), pT218-2 (□-□), pT218-3 (▽-▽) and pT218-4 (△-△) which are illustrated in Figure 2. The 2 day delay in the peak of GUS activity during seed development, seen with the pT218-2 transformant, likely reflects greenhouse variation conditions.

Figure 4 shows GUS activity in 12 dpa seeds of independent transformants produced with vectors pT218-1 (○), pT218-2 (□), pT218-3 (▽) and pT218-4 (△). The solid markers indicate the plants shown in Figure 3 (b) and the arrows indicate the average values for plants transformed with pT218-1 or pT218-2.

Figure 5 shows the mapping of the T218 GUS fusion termini and expression of the region surrounding the insertion site in untransformed plants.

(Fig. 5a) Mapping of the GUS mRNA termini in plant T218. The antisense RNA probe from subclone #4 (Figure 2) was used for hybridization with total RNA of tissues from untransformed plants (10  $\mu$ g) and from plant T218 (30  $\mu$ g). Arrowheads indicate the anticipated position of protected fragments if

transcripts were initiated at the same sites as the T218 GUS fusion.

(Fig. 5b) RNase protection assay using the antisense (relative to the orientation of the GUS coding region) RNA probe from subclone e (Figure 7) against 30  $\mu$ g total RNA of tissues from untransformed plants.

P, untreated RNA probe; -, control assay using the probe and tRNA only; L, leaves from untransformed plants; 8, 10, 12, seeds from untransformed plants at 8, 10, and 12 dpa, respectively; T10, seeds of plant T218 at 10 dpa; +, control hybridization against unlabeled *in vitro*-synthesized sense RNA from subclone c (panel a) or subclone e (panel b). The two hybridizing bands near the top of the gel are end-labeled DNA fragment of 3313 and 1049 bp, included in all assays to monitor losses during processing. Molecular weight markers are in number of bases.

Figure 6 provides the nucleotide sequence of pT218 (top line) and pIS-1 (bottom line). Sequence identity is indicated by dashed lines. The T-DNA insertion site is indicated by a vertical line after bp 997. This site on pT218 is immediately followed by a 12 bp filler DNA, which is followed by the T-DNA. The first nine amino acids of the GUS gene and the GUS initiation codon (\*) are shown. The major and minor transcriptional start site is indicated by a large and small arrow, respectively. The presumptive TATA box is identified and is in boldface. Additional putative TATA and CAAT boxes are marked with boxes. The location of direct (1-5) and indirect (6-8) repeats are indicated by arrows.

Figure 7 shows the base composition of region surrounding the T218 insertion site cloned from untransformed plants. The site of T-DNA insertion in plant T218 is indicated by the vertical arrow. The position of the 2 genomic clones pIS-1 and pIS-2, and of the various RNA probes (a-e) used in RNase protection assays are indicated beneath the graph.

Figure 8 shows the Southern blot analyses of the insertion site in *Nicotiana* species. DNA from *N. tomentosiformis* (N tom), *N. sylvestris* (N syl), and *N. tabacum* (N tab) were digested with *Hind*III (H), *Xba*I (X) and *Eco*RI (E) and hybridized using probe #2 (Figure 2). Lambda *Hind*III markers (kb) are indicated.

Figure 9 shows the AT content of 5' non-coding regions of plant genes. A program was written in PASCAL to scan GenBank release 75.0 and to calculate the AT contents of the 5' non-coding (solid bars) and the coding regions (hatched bars) of all plant genes identified as "Magnoliophyta" (flowering plants). The region -200 to -1 and +1 to +200 were compared. Shorter sequences were also accepted if they were at least 190 bp long. The horizontal axis shows the ratio of the AT content (%). The vertical axis shows the number of the sequences having the specified AT content ratios.

#### Detailed Description of the Preferred Embodiments

T-DNA tagging with a promoterless  $\beta$ -glucuronidase (GUS) gene generated a transgenic *Nicotiana tabacum* plant that expressed GUS activity only in developing seed coats. Cloning and deletion analysis of the GUS fusion revealed that the promoter responsible for seed coat specificity was located in the plant DNA proximal to the GUS gene. Deletion analyses localized the cryptic promoter to an approximately 0.5 kb region between a *Xba*I and a *Sna*BI restriction endonuclease site of the 5' flanking tobacco DNA. This region spans from nucleotide 1 to nucleotide 467 as shown in Figure 6.

Thus, the present invention includes a DNA sequence comprising the seed coat-specific cryptic promoter from tobacco and analogues, thereof. Analogues of the cryptic promoter include any substitution,

deletion, or additions of the region, provided that said analogues maintain the seed coat- specific expression activity.

5           The term cryptic promoter means a promoter that is not associated with a gene and thus does not control expression in its native location. These inactive regulatory sequences are buried in the genome but are capable of being functional when positioned adjacent to a gene.

10           The DNA sequence of the present invention thus includes the DNA sequence of as shown in Figure 6, the promoter region within the sequence as shown in Figure 6 (for example from nucleotide 1 to 476), and analogues thereof. Analogues include those DNA sequences which hybridize under stringent hybridization conditions (see Maniatis *et al.*, in Molecular Cloning (A Laboratory Manual), Cold Spring Harbor Laboratory, 1982, p. 387-389) to the DNA sequence as shown in Figure 6, provided that said sequences maintain the seed coat-specific promoter activity. An example of one such stringent hybridization conditions may be hybridization at 4XSSC at 65°C, followed by washing in 0.1XSSC at 65°C for an hour. Alternatively an exemplary stringent hybridization condition could be in 50% formamide, 4XSSC at 42°C. Analogues also include those DNA sequences which hybridize to the sequence as shown in Figure 6 under relaxed hybridization conditions, provided that said sequences maintain the seed coat-specific promoter activity. Examples of such non-hybridization conditions includes hybridization at 4XSSC at 50°C or with 30-40% formamide at 42°C.

30           There are several lines of evidence that suggest that the seed coat-specific expression of GUS activity in the plant T218 is regulated by a cryptic promoter. The region surrounding the promoter and transcriptional start site for the GUS gene are not transcribed in untransformed plants. Transcription was only observed in plant T218 when T-DNA was inserted in *cis*. DNA sequence analysis did not uncover

5 a long open reading frame within the 3.3 kb region cloned. Moreover, the region is very AT rich and predicted to be noncoding (data not shown) by the Fickett algorithm (Fickett, 1982, *Nucleic Acids Res.* 10, 5303-5318) as implemented in DNASIS 7.0 (Hitachi). Southern blots revealed that the insertion site is within the *N. tomentosiformis* genome and is not conserved among related species as would be expected for a region with an important gene.

10 As this is the first report of a cryptic promoter in plants, it is impossible to estimate the degree to which cryptic promoters may contribute to the high frequencies of promoterless marker gene activation in plants. It is interesting to note that transcriptional GUS fusions in *Arabidopsis* occur at much greater frequencies (54%) than translational fusions (1.6%, Kertbundit *et al.*, 1991, *Proc. Natl. Acad. Sci. USA* 88, 5212-5216). The possibility that cryptic promoters may account for some fusions was recognized by Lindsey *et al.* (1993, *Transgenic Res.* 2, 33-47).

20 The results disclosed herewith confirms others (Gheysen *et al.*, 1987, *Proc. Natl. Acad. Sci. USA* 84, 6169-6173 and 1991, *Genes Dev.* 5, 287-297) that T-DNA may insert into A-T rich regions as do plant transposable elements (Capel *et al.*, 1993, *Nucleic Acids Res.* 21, 2369-2373). We illustrate that promoters of plant genes are also A-T rich raising speculation that gene insertions into these regions could facilitate the rapid acquisition of new regulatory elements during gene evolution.

25

30 The insertion of functional genes into the nuclear genome and acquisition of new regulatory sequences has already played a major role in the diversification of certain genes and the endosymbiosis of organelles. In plants, most organellar proteins are nuclear encoded due to the ongoing transfer of their genes into the nucleus (Palmer, 1991, In Bogorad L and Vasil IK (eds) *The Molecular Biology of Plastids*, Academic Press, San Diego, pp 5-53). Recently, it has been shown that the *cox 2* gene of

cowpea (Nugent and Palmer, 1991, *Cell* 66, 473-481) and soybean (Covello and Gray, 1992, *EMBO J.* 11, 3815-3820) were transferred from mitochondria to nucleus without promoters by RNA intermediates. The results disclosed herewith, with T-DNA-mediated gene fusions reveal the facility with which promoters can be acquired by incoming genes. The presence of cryptic promoters and diverse regulatory elements in the intergenic regions may insure that genes rapidly achieve the features needed to meet the demands of complex multicellular organisms.

The cryptic promoter of the present invention can also be used to control to the expression of any given gene spatially and developmentally to developing seed coats. Some examples of such uses, which are not to be considered limiting, include:

1. Modification of storage reserves in seed coats, such as starch by the expression of yeast invertase to mobilize the starch or expression of the antisense transcript of ADP-glucose pyrophosphorylase to inhibit starch biosynthesis.
2. Modification of seed color contributed by condensed tannins in the seed coats by expression of antisense transcripts of the phenylalanine ammonia lyase or chalcone synthase genes.
3. Modification of fibre content in seed-derived meal by expression of antisense transcripts of the caffeic acid-o-methyl transferase or cinnamoyl alcohol dehydrogenase genes.
4. Inhibition of seed coat maturation by expression of ribonuclease genes to allow for increased seed size, and to reduce the relative biomass of seed coats, and to aid in dehulling of seeds.

5. Expression of genes in seed coats coding for insecticidal proteins such as  $\alpha$ -amylase inhibitor or protease inhibitor.
6. Partitioning of seed metabolites such as glucosinolates into seed coats for nematode resistant.

5

Thus this invention is directed to such promoter and gene combinations. Further this invention is directed to such promoter and gene combinations in a cloning vector, wherein the gene is under the control of the promoter and is capable of being expressed in a plant cell transformed with the vector. This invention further relates to transformed plant cells and transgenic plants regenerated from such plant cells. The promoter and promoter gene combination of the present invention can be used to transform any plant cell for the production of any transgenic plant. The present invention is not limited to any plant species.

10

15

While this invention is described in detail with particular reference to preferred embodiments thereof, said embodiments are offered to illustrate but not limit the invention.

20

### EXAMPLES

#### Characterization of a Seed Coat-Specific GUS Fusion

Transfer of binary constructs to *Agrobacterium* and leaf disc transformation of *Nicotiana tabacum* SR1 were performed as described by Fobert *et al.* (1991, *Plant Mol. Biol.* 17, 837-851). Plant tissue was maintained on 100  $\mu$ g/ml kanamycin sulfate (Sigma) throughout *in vitro* culture.

25

30

Nine-hundred and forty transgenic plants were produced. Several hundred independent transformants were screened for GUS activity in

developing seeds using the fluorogenic assay. One of these, T218, was chosen for detailed study because of its unique pattern of GUS expression.

5 Fluorogenic and histological GUS assays were performed according to Jefferson (*Plant Mol. Biol. Rep.*, 1987, 5, 387-405), as modified by  
Fobert *et al.* (*Plant Mol. Biol.*, 1991, 17, 837-851). For initial screening, leaves were harvested from *in vitro* grown plantlets. Later flowers  
10 corresponding to developmental stages 4 and 5 of Koltunow *et al.* (*Plant Cell*, 1990, 2, 1201-1224) and beige seeds, approximately 12-16 dpa (Chen  
*et al.*, 1988, *EMBO J.* 7, 297-302), were collected from plants grown in the greenhouse. For detailed, quantitative analysis of GUS activity, leaf, stem  
and root tissues were collected from kanamycin resistant F1 progeny of the different transgenic lines grown *in vitro*. Floral tissues were harvested at  
15 developmental stages 8-10 (Koltunow *et al.*, 1990, *Plant Cell* 2, 1201-1224) from the original transgenic plants. Flowers of these plants were also  
tagged and developing seeds were collected from capsules at 10 and 20 dpa. In all cases, tissue was weighed, immediately frozen in liquid  
nitrogen, and stored at -80°C.

20 Tissues analyzed by histological assay were at the same developmental stages as those listed above. Different hand-cut sections were analyzed for each organ. For each plant, histological assays were performed on at least two different occasions to ensure reproducibility. Except for floral organs, all tissues were assayed in phosphate buffer  
25 according to Jefferson (1987, *Plant Mol. Biol. Rep.* 5, 387-405), with 1 mM X-Gluc\* (Sigma) as substrate. Flowers were assayed in the same buffer containing 20% (v/v) methanol (Kosugi *et al.*, 1990, *Plant Sci.* 70, 133-140).

30 Tissue-specific patterns of GUS expression were only found in seeds. For instance, GUS activity in plant T218 (Figure 1) was localized in seeds from 9 to 17 days postanthesis (dpa). GUS activity was not detected

\*Trademark



in seeds at other stages of development or in any other tissue analyzed which included leaf, stem, root, anther, ovary, petal and sepal (Figure 1). Histological staining with X-Gluc<sup>\*</sup> revealed that GUS expression in seeds at 14 dpa was localized in seed coats but was absent from the embryo, endosperm, vegetative organs and floral organs (results not shown).

The seed coat-specificity of GUS expression was confirmed with the more sensitive fluorogenic assay of seeds derived from reciprocal crosses with untransformed plants. The seed coat differentiates from maternal tissues called the integuments which do not participate in double fertilization (Esau, 1977, *Anatomy of Seed Plants*. New York: John Wiley and Sons). If GUS activity is strictly regulated, it must originate from GUS fusions transmitted to seeds maternally and not by pollen. As shown in Table 1, this is indeed the case. As a control, GUS fusions expressed in embryo and endosperm, which are the products of double fertilization, should be transmitted through both gametes. This is illustrated in Table 1 for GUS expression driven by the napin promoter (BngNAPI, Baszczyński and Fallis, 1990, *Plant Mol. Biol.* 14, 633-635) which is active in both embryo and endosperm (data not shown).

**Table 1.** GUS activity in seeds at 14 days post anthesis.

5	Cross		GUS Activity nmole MU/min/mg Protein
	♀	♂	
10	T218	T218	1.09 ± 0.39
	T218	WT <sup>a</sup>	3.02 ± 0.19
	WT	T218	0.04 ± 0.005
	WT	WT	0.04 ± 0.005
	NAP-5 <sup>b</sup>	NAP-5	14.6 ± 7.9
	NAP-5	WT	3.42 ± 1.60
	WT	NAP-5	2.91 ± 1.97
15			

<sup>a</sup> WT, untransformed plants

20 <sup>b</sup> Transgenic tobacco plants with the GUS gene fused to the napin, BngNAP1, promoter (Baszczynski and Fallis, 1990, *Plant Mol. Biol.* 14, 633-635).

### Cloning and Analysis of the Seed Coat-Specific GUS Fusion

25 Genomic DNA was isolated from freeze-dried leaves using the protocol of Sanders *et al.* (1987, *Nucleic Acid Res.* 15, 1543-1558). Ten micrograms of T218 DNA was digested for several hours with *EcoRI* using the appropriate manufacturer-supplied buffer supplemented with 2.5 mM spermidine. After electrophoresis through a 0.8% TAE agarose gel, the DNA size fraction around 4-6 kb was isolated, purified using the GeneClean<sup>\*</sup> kit (BIO 101 Inc., LaJolla, CA), ligated to phosphatase-treated *EcoRI*-digested Lambda GEM-2 arms (Promega) and packaged *in vitro* as suggested by the supplier. Approximately 125,000 plaques were transferred to nylon filters (Nytran,<sup>\*</sup> Schleicher and Schuell) and screened by plaque hybridization (Rutledge *et al.*, 1991, *Mol. Gen. Genet.* 229, 31-40), using the 3' (termination signal) of the *nos* gene as probe (probe #1, Figure 2). This sequence, contained in a 260 bp *SstI/EcoRI* restriction fragment from pPRF-101 (Fobert *et al.*, 1991, *Plant Mol. Biol.* 17, 837-851), was labeled with [ $\alpha$ -<sup>32</sup>P]-dCTP (NEN) using random priming (Stratagene).



After plaque purification, phage DNA was isolated (Sambrook *et al.*, 1989, A Laboratory Manual. New York: Cold Spring Harbor Laboratory Press), mapped and subcloned into pGEM-4Z (Promega). The *EcoRI* fragment and deletions shown in Figure 2 were inserted into pBIN19 (Bevan, 1984, *Nucl. Acid Res.* 12, 8711-8721). Restriction mapping was used to determine the orientation of the fusion in pBIN19 and to confirm plasmid integrity. Plants were transformed with a derivative which contained the 5' end of the GUS gene distal to the left border repeat. This orientation is the same as that of the GUS gene in the binary vector pBI101 (Jefferson, 1987, *Plant Mol. Biol. Rep.* 5, 387-405).

The GUS fusion in plant T218 was isolated as a 4.7 kb *EcoRI* fragment containing the 2.2kb promoterless GUS-*nos* gene at the T-DNA border of pPRF120 and 2.5 kb of 5' flanking tobacco DNA (pT218, Figure 2), using the *nos* 3' fragment as probe (probe #1, Figure 2). To confirm the ability of the flanking DNA to activate the GUS coding region, the entire 4.7 kb fragment was inserted into the binary transformation vector pBIN19 (Bevan, 1984, *Nucl. Acid Res.* 12, 8711-8721), as shown in Figure 2. Several transgenic plants were produced by *Agrobacterium*-mediated transformation of leaf discs. Southern blots indicated that each plant contained 1-4 T-DNA insertions at unique sites. The spatial patterns of GUS activity were identical to that of plant T218. Histologically, GUS staining was restricted to the seed coats of 14 dpa seeds and was absent in embryos and 20 dpa seeds (results not shown). Fluorogenic assays of GUS activity in developing seeds showed that expression was restricted to seeds between 10 and 17 dpa, reaching a maximum at 12 dpa (Figure 3 (a) and 3 (b)). The 4.7 kb fragment therefore contained all of the elements required for the tissue-specific and developmental regulation of GUS expression.

To locate regions within the flanking plant DNA responsible for seed coat-specificity, truncated derivatives of the GUS fusion were generated (Figure 2) and introduced into tobacco plants. Deletion of the

region approximately between 2.5 and 1.0 kb, 5' of the insertion site (pT218-2, Figure 2) did not alter expression compared with the entire 4.7 kb GUS fusion (Figures 3b and 4). Further deletion of the DNA, to the *Sna*BI restriction site approximately 0.5 kb, 5' of the insertion site (pT218-3, Figure 2), resulted in the complete loss of GUS activity in developing seeds (Figures 3b and 4). This suggests that the region approximately between 1.0 and 0.5 kb, 5' of the insertion site contains elements essential to gene activation. GUS activity in seeds remained absent with more extensive deletion of plant DNA (pT218-4, Figures 2, 3b and 4) and was not found in other organs including leaf, stem, root, anther, petal, ovary or sepal from plants transformed with any of the vectors (data not shown).

The transcriptional start site for the GUS gene in plant T218 was determined by RNase protection assays with RNA probe #4 (Figure 2) which spans the T-DNA/plant DNA junction. For RNase protection assays, various restriction fragments from pIS-1, pIS-2 and pT218 were subcloned into the transcription vector pGEM-4Z as shown in Figures 7 and 2, respectively. A 440bp *Hind*III fragment of the tobacco acetoxyacid synthase *SURA* gene was used to detect *SURA* and *SURB* mRNA. DNA templates were linearized and transcribed *in vitro* with either T7 or SP6 polymerases to generate strand-specific RNA probes using the Promega transcription kit and [ $\alpha$ -<sup>32</sup>P]CTP as labeled nucleotide. RNA probes were further processed as described in Ouellet *et al.* (1992, *Plant J.* 2, 321-330). RNase protection assays were performed as described in Ouellet *et al.*, (1992, *Plant J.* 2, 321-330), using 10-30  $\mu$ g of total RNA per assay. Probe digestion was done at 30°C for 15 min using 30  $\mu$ g ml<sup>-1</sup> RNase A (Boehringer Mannheim) and 100 units ml<sup>-1</sup> RNase T1 (Boehringer Mannheim). Figure 5 shows that two termini were mapped in the plant DNA. The major 5' terminus is situated at an adenine residue, 122 bp upstream of the T-DNA insertion site (Figure 6). The sequence at this transcriptional start site is similar to the consensus sequence for plant genes (C/TTC↓ATCA; Joshi, 1987 *Nucleic Acids Res.* 15, 6643-6653). A

TATA box consensus sequence is present 37 bp upstream of this start site (Figure 6). The second, minor terminus mapped 254 bp from the insertion site in an area where no obvious consensus motifs could be identified (Figure 6).

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The tobacco DNA upstream of the insertion site is very AT-rich (>75%, see Figure 7). A search for promoter-like motifs and scaffold attachment regions (SAR), which are often associated with promoters (Breyne *et al.*, 1992, *Plant Cell* 4, 463-471; Gasser and Laemmli, 1986, *Cell* 46, 521-530), identified several putative regulatory elements in the first 1.0 kb of tobacco DNA flanking the promoterless GUS gene (data not shown). However, the functional significance of these sequences remains to be determined.

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#### Cloning and Analysis of the Insertion Site from Untransformed Plants

A lambda DASH genomic library was prepared from DNA of untransformed *N. tabacum* SR1 plants by Stratagene for cloning of the insertion site corresponding to the gene fusion in plant T218. The screening of 500,000 plaques with probe #2 (Figure 2) yielded a single lambda clone. The *EcoRI* and *XbaI* fragments were subcloned in pGEM-4Z to generate pIS-1 and pIS-2. Figure 7 shows these two overlapping subclones, pIS-1 (3.0 kb) and pIS-2 (1.1 kb), which contain tobacco DNA spanning the insertion site (marked with a vertical arrow). DNA sequence analysis (using dideoxy nucleotides in both directions) revealed that the clones, pT218 and pIS-1, were identical over a length of more than 2.5 kb, from the insertion site to their 5' ends, except for a 12 bp filler DNA insert of unknown origin at the T-DNA border (Figure 6 and data not shown). The presence of filler DNA is a common feature of T-DNA/plant DNA junctions (Gheysen *et al.*, 1991, *Gene* 94, 155-163). Gross rearrangements that sometimes accompany T-DNA insertions (Gheysen *et al.*, 1990, *Gene* 94, 155-163; and 1991, *Genes Dev.* 5, 287-297) were not

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found (Figure 6) and therefore could not account for the promoter activity associated with this region. The region of pIS-1 and pIS-2, 3' of the insertion site is also very AT-rich (Figure 7).

5           To determine whether there was a gene associated with the pT218 promoter, more than 3.3 kb of sequence contained with pIS-1 and pIS-2 was analyzed for the presence of long open reading frames (ORFs). However, none were detected in this region (data not shown). To determine whether the region surrounding the insertion site was  
10 transcribed in untransformed plants, Northern blots were performed with RNA from leaf, stem, root, flower and seeds at 4, 8, 12, 14, 16, 20 and 24 dpa. Total RNA from leaves was isolated as described in Ouellet *et al.*, (1992, *Plant J.* 2, 321-330). To isolate total RNA from developing seeds, 0.5 g of frozen tissue was pulverized by grinding with dry ice using a  
15 mortar and pestle. The powder was homogenized in a 50 ml conical tube containing 5 ml of buffer (1 M Tris HCl, pH 9.0, 1% SDS) using a Polytron<sup>\*</sup> homogenizer. After two extractions with equal volumes of phenol:chloroform:isoamyl alcohol (25:24:1), nucleic acids were collected by ethanol precipitation and resuspended in water. The RNA was  
20 precipitated overnight in 2M LiCl at 0°C, collected by centrifugation, washed in 70% ethanol and resuspended in water. Northern blot hybridization was performed as described in Gottlob-McHugh *et al.* (1992, *Plant Physiol.* 100, 820-825). Probe #3 (Figure 2) which spans the entire region of pT218 5' of the insertion did not detect hybridizing RNA bands  
25 (data not shown). To extend the sensitivity of RNA detection and to include the region 3' of the insertion site within the analysis, RNase protection assays were performed with 10 different RNA probes that spanned both strands of pIS-1 and pIS-2 (Figure 7). Even after lengthy exposures, protected fragments could not be detected with RNA from 8, 10, 12 dpa seeds or leaves of untransformed plants (see Figure 5 for  
30 examples with two of the probes tested). The specific conditions used allowed the resolution of protected RNA fragments as small as 10 bases



\*Trademark

(data not shown). Failure to detect protected fragments was not due to problems of RNA quality, as control experiments using the same samples detected acetohydroxyacid synthase (*AHAS*) *SURA* and *SURB* mRNA which are expressed at relatively low abundance (data not shown).

5 Conditions used in the present work were estimated to be sensitive enough to detect low-abundance messages representing 0.001-0.01% of total mRNA levels (Ouellet *et al.*, 1992, *Plant J.* 2, 321-330). Therefore, the region flanking the site of T-DNA insertion does not appear to be transcribed in untransformed plants.

### 10 Genomic Origins of the Insertion Site

Southern blots were performed to determine if the insertion site is conserved among *Nicotiana* species. Genomic DNA (5  $\mu$ g) was isolated, 15 digested and separated by agarose gel electrophoresis as described above. After capillary transfer on to nylon filters, DNA was hybridized, and probes were labeled, essentially as described in Rutledge *et al.* (1991, *Mol. Gen. Genet.* 229, 31-40). High-stringency washes were in 0.2 x SSC at 65°C while low-stringency washes were in 2 x SSC at room temperature. In 20 Figure 8, DNA of the allotetraploid species *N. tabacum* and the presumptive progenitor diploid species *N. tomentosiformis* and *N. sylvestris* (Okamuro and Goldberg, 1985, *Mol. Gen. Genet.*, 198, 290-298) were hybridized with probe #2 (Figure 2). Single hybridizing fragments of identical size were detected in *N. tabacum* and *N. tomentosiformis* DNA 25 digested with *Hind*III, *Xba*I and *Eco*RI, but not in *N. sylvestris*. Hybridizations with pIS-2 (Figure 8) which spans the same region but includes DNA 3' of the insertion site yielded the same results. They did not reveal hybridizing bands, even under conditions of reduced stringency, in additional *Nicotiana* species including *N. rustica*, *N. glutinosa*, *N. megalosiphon* and *N. debneyi* (data not shown). Probe #3 (Figure 2) 30 revealed the presence of moderately repetitive DNA specific to the *N. tomentosiformis* genome (data not shown). These results suggest that the

region flanking the insertion site is unique to the *N. tomentosiformis* genome and is not conserved among related species as might be expected for regions that encode essential genes.

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The present invention has been described with regard to preferred embodiments. However, it will be obvious to persons skilled in the art that a number of variations and modifications can be made without departing from the scope of the invention as described in the following claims.

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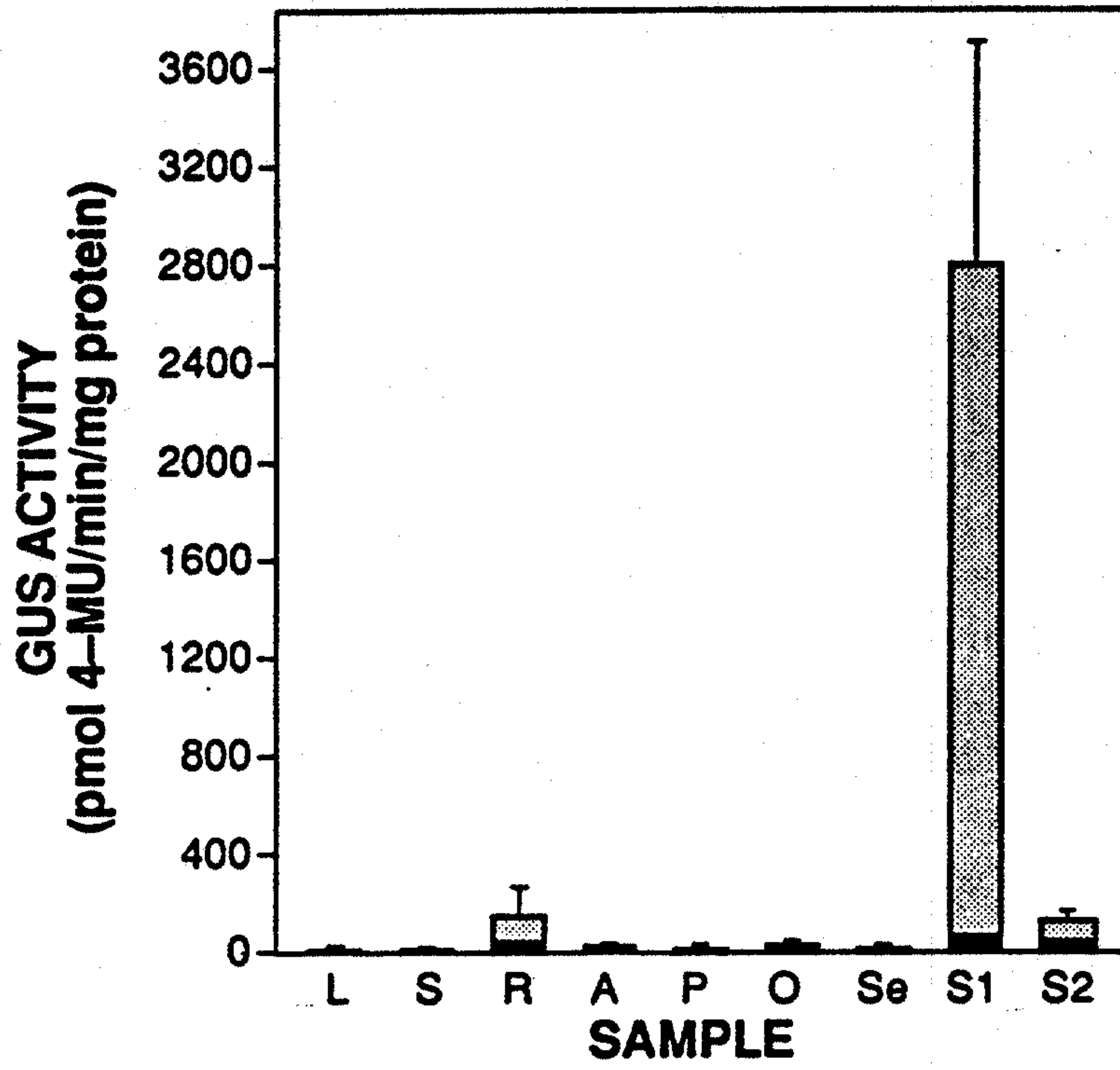
**THE EMBODIMENTS OF THE INVENTION IN WHICH AN EXCLUSIVE PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:**

1. A cryptic promoter obtained from a plant, comprising a DNA fragment between EcoRI and SmaI of the restriction map of pT218 of Figure 2, said fragment characterized by comprising HindIII, XbaI, and SnaBI restriction sites.
2. A cryptic promoter of claim 1, wherein said cryptic promoter is seed coat-specific.
3. An isolated DNA sequence comprising nucleotides 1 to 997 as shown in Figure 6.
4. An isolated DNA sequence comprising nucleotides 1 to 467 as shown in Figure 6.
5. A vector comprising a cryptic promoter obtained from a plant, operatively associated with a gene that encodes a protein, wherein the gene is under the control of said cryptic promoter, said cryptic promoter comprising a DNA fragment between EcoRI and SmaI of the restriction map of pT218 of Figure 2, said fragment characterized by comprising HindIII, XbaI, and SnaBI restriction sites.
6. The vector of claim 5, wherein said cryptic promoter is seed-coat specific.
7. A plant cell comprising a vector as claimed in claim 5.
8. A plant cell comprising a vector as claimed in claim 6.
9. The promoter of claim 1, comprising a DNA fragment between XbaI - SmaI, of the restriction map of pT218-2 of Figure 2, said fragment characterized by comprising internal XbaI and SnaBI restriction sites.

10. A cryptic promoter obtained from a plant, comprising a DNA fragment between the second from left XbaI and first from left SnaBI of the restriction map of pT218 of Figure 2, wherein said fragment is of about 500 bp.
11. A cryptic promoter obtained from a plant, comprising a DNA fragment between the first from left XbaI and first from left SnaBI of the restriction map of pT218 of Figure 2, wherein said fragment is of about 1,500 bp.
12. A cryptic promoter obtained from a plant, comprising a DNA fragment between the first from left HindIII and first from left SnaBI of the restriction map of pT218 of Figure 2, wherein said fragment is of about 1,900 bp.
13. A cryptic promoter obtained from a plant, comprising a DNA fragment between the first from left EcoRI and first from left SnaBI of the restriction map of pT218 of Figure 2, wherein said fragment is of about 2,000 bp.
14. A vector comprising a cryptic promoter obtained from a plant, operatively associated with a gene that encodes a protein, wherein the gene is under the control of said cryptic promoter, said cryptic promoter comprising nucleotides 1-997 as shown in Figure 6.
15. A plant cell comprising a vector as claimed in claim 14.
16. A vector comprising a cryptic promoter obtained from a plant, operatively associated with a gene that encodes a protein, wherein the gene is under the control of said cryptic promoter, said cryptic promoter comprising nucleotides 1-467 as shown in Figure 6.
17. A plant cell comprising a vector as claimed in claim 16.
18. A vector comprising the promoter of claim 10, operatively associated with a gene that encodes a protein, wherein expression of said gene is under the control of said promoter.

19. A plant cell comprising a vector as claimed in claim 18.
20. A vector comprising the promoter of claim 11, operatively associated with a gene that encodes a protein, wherein expression of said gene is under the control of said promoter.
21. A plant cell comprising a vector as claimed in claim 20.
22. A vector comprising the promoter of claim 12, operatively associated with a gene that encodes a protein, wherein expression of said gene is under the control of said promoter.
23. A plant cell comprising a vector as claimed in claim 22.
24. A vector comprising the promoter of claim 13, operatively associated with a gene that encodes a protein, wherein expression of said gene is under the control of said promoter.
25. A plant cell comprising a vector as claimed in claim 24.

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**FIGURE 1**

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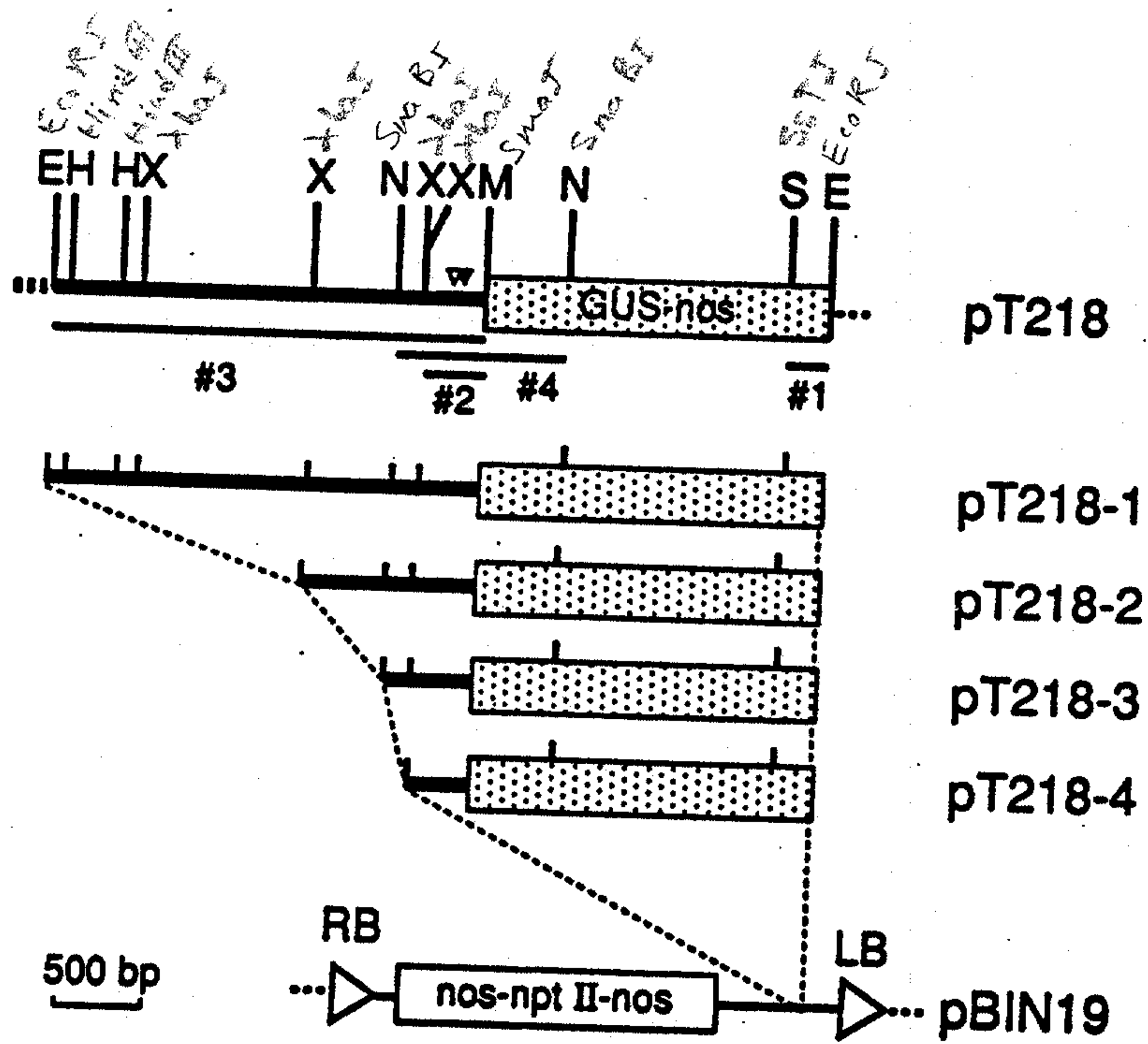


FIGURE 2

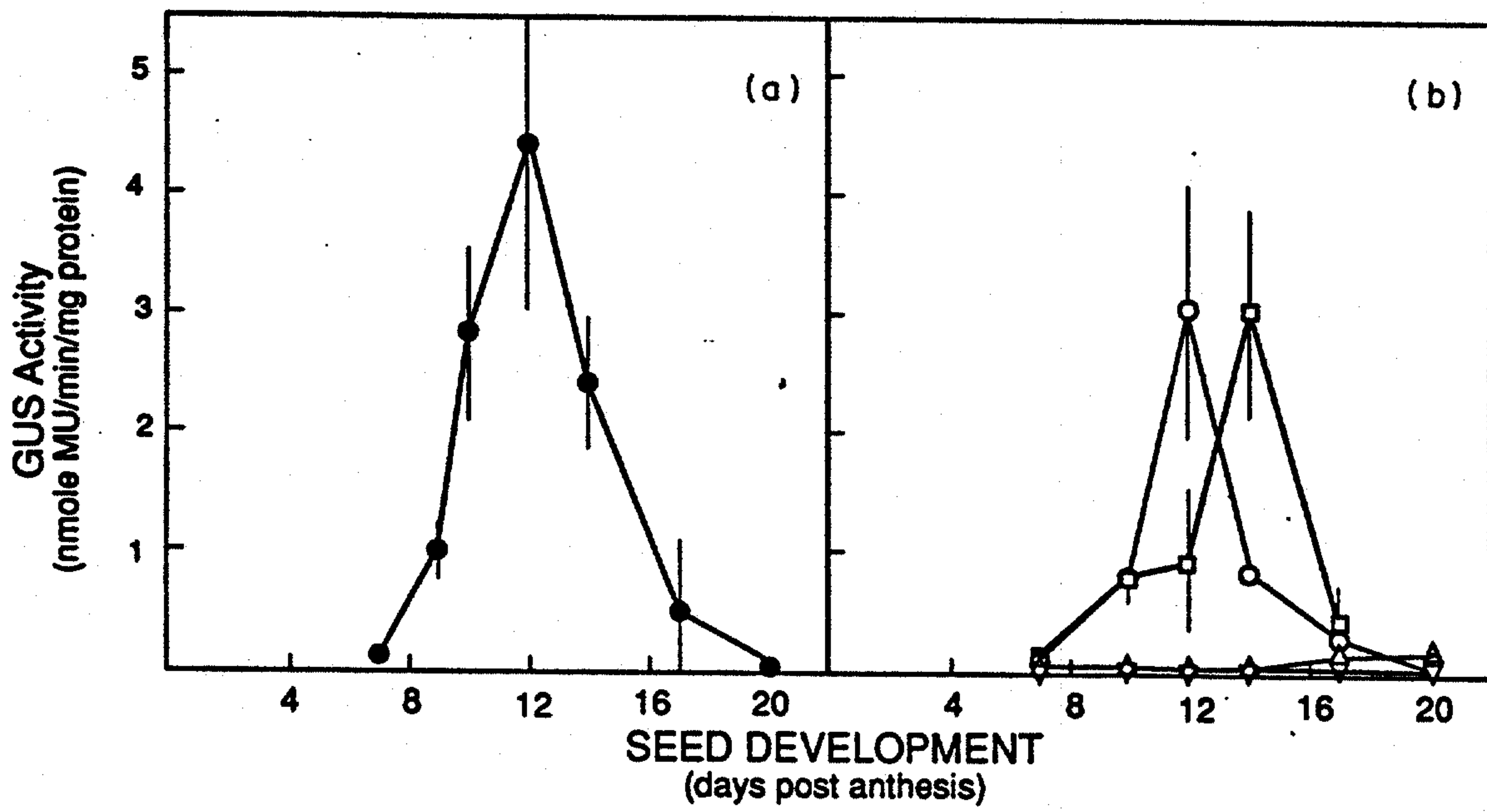


FIGURE 3

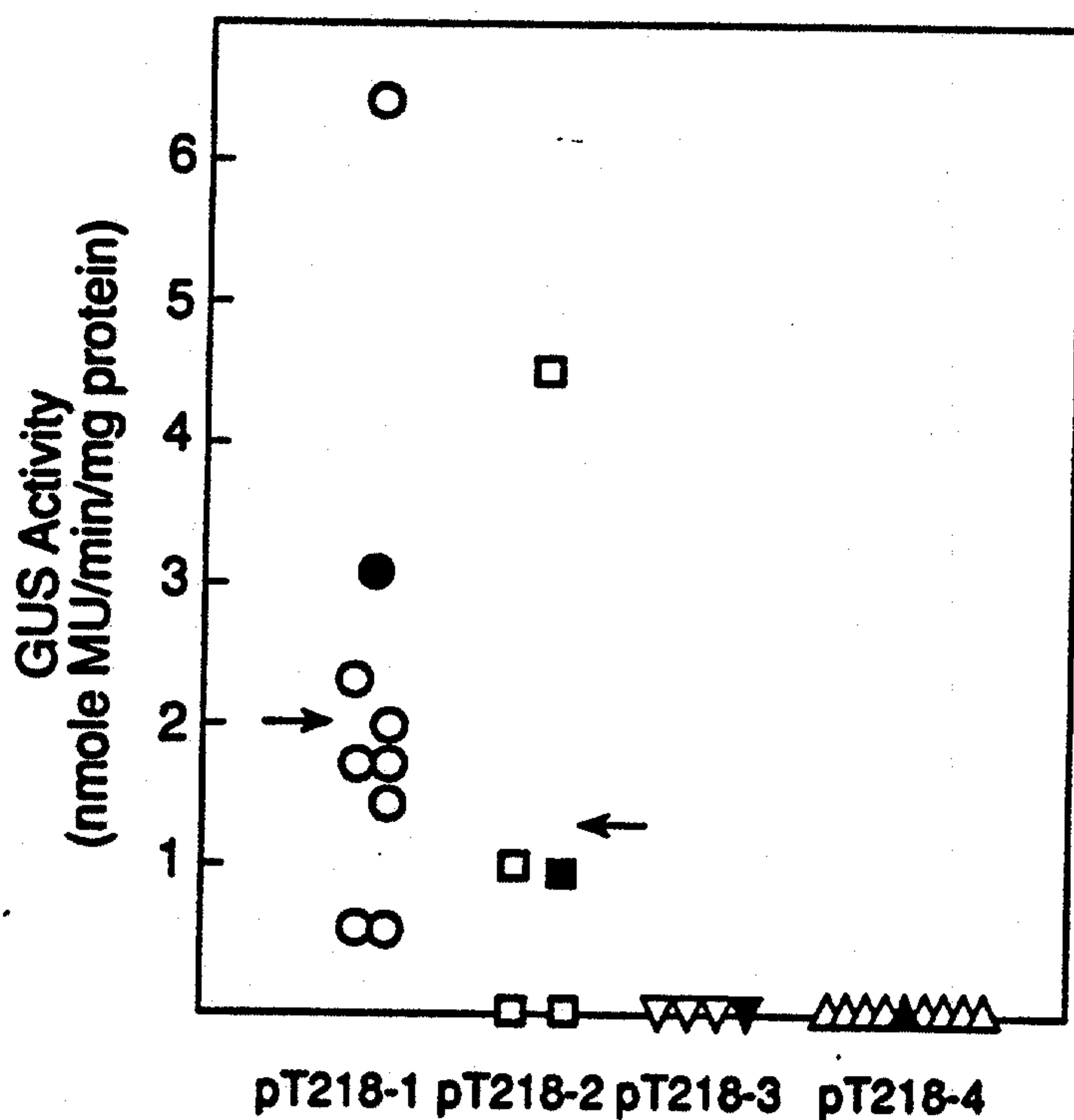


FIGURE 4

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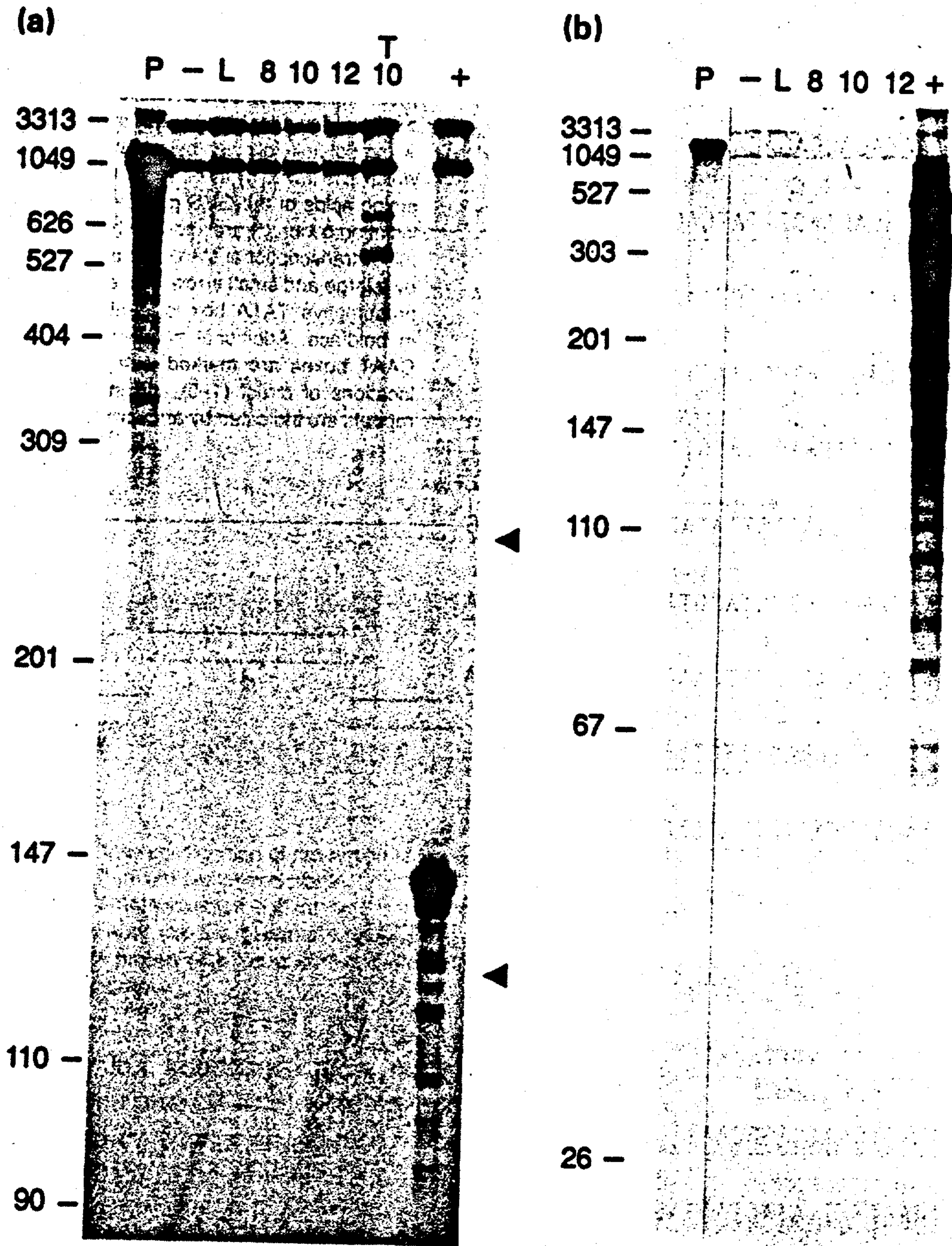


FIGURE 5

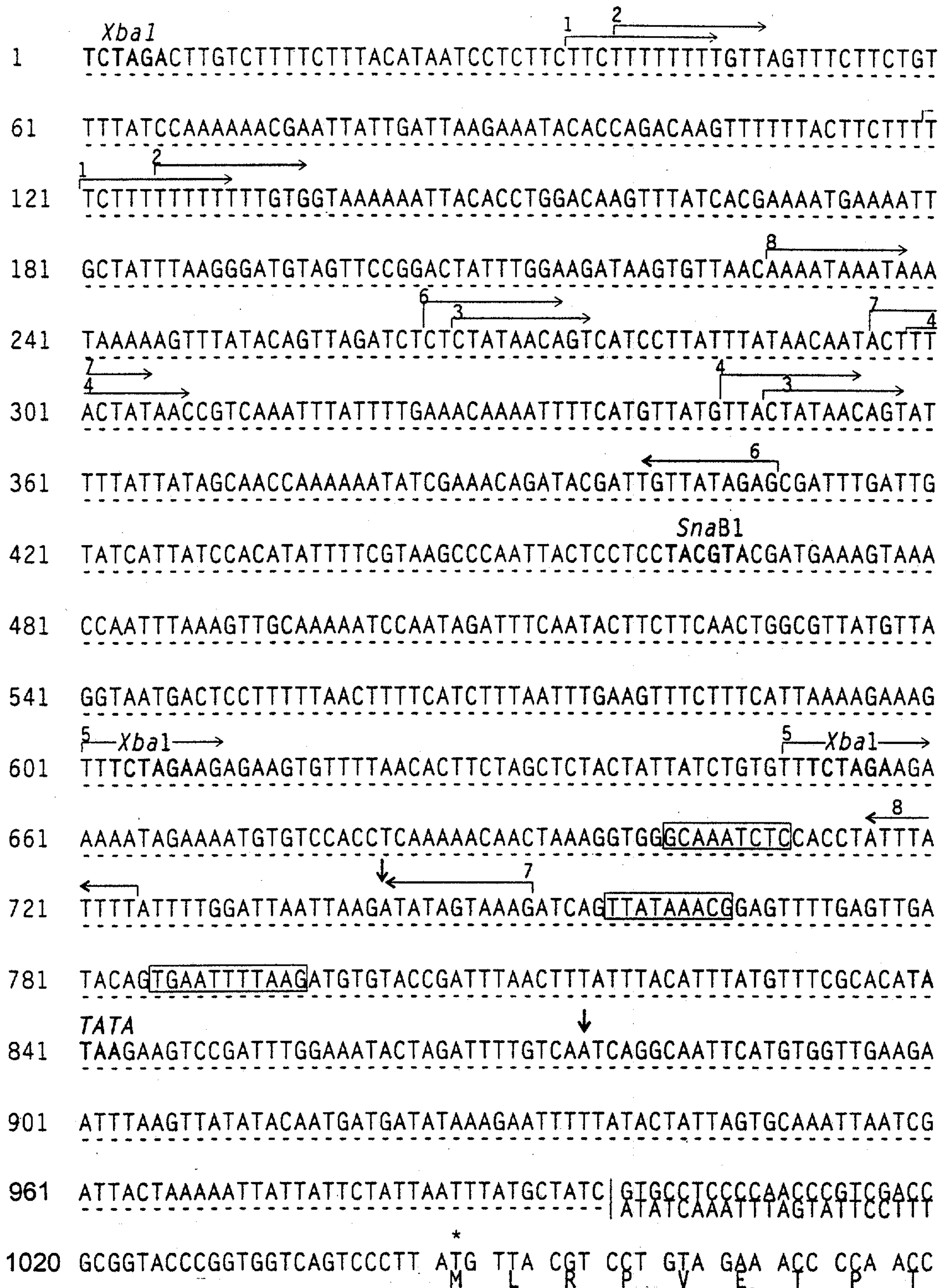


FIGURE 6

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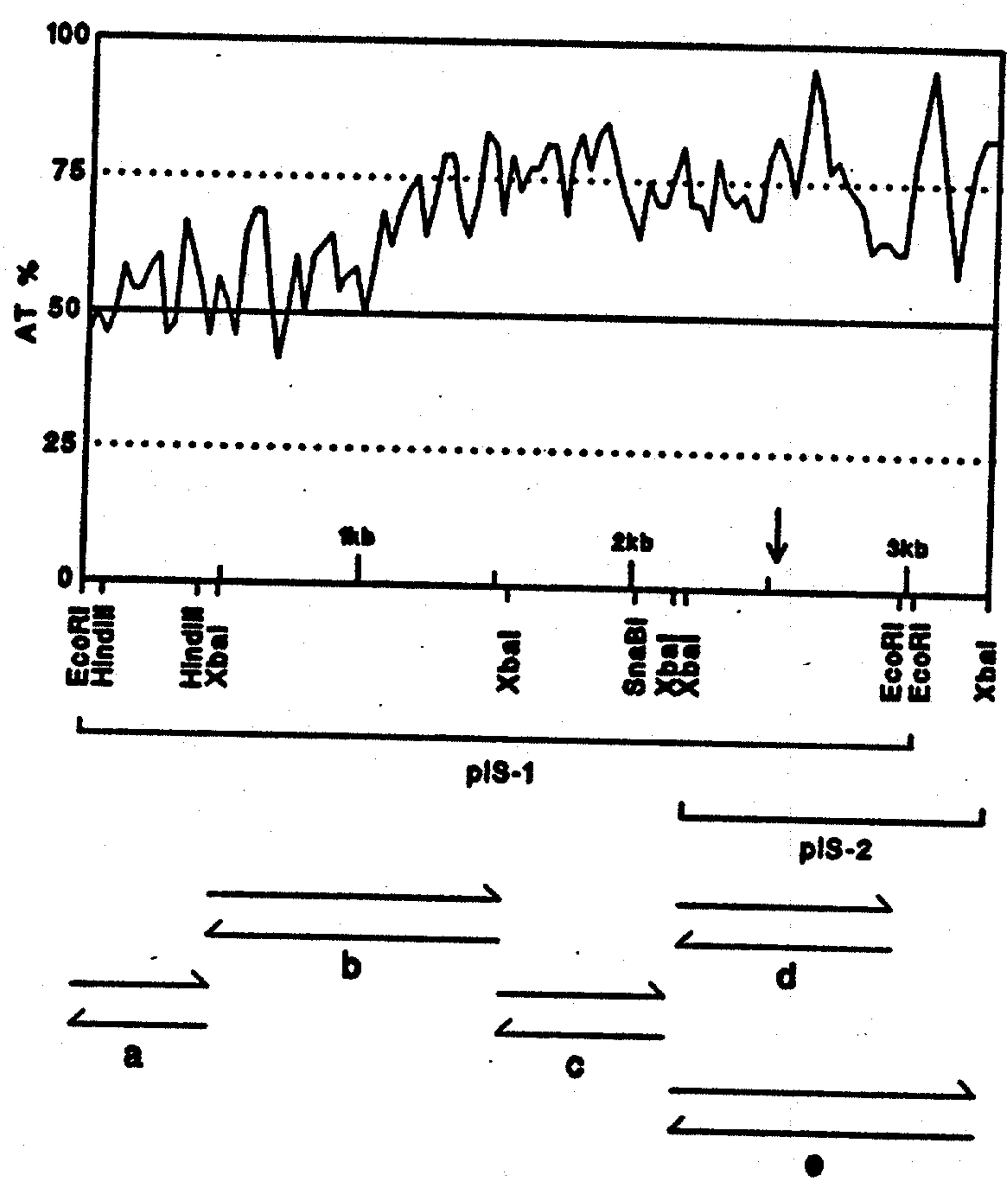


FIGURE 7

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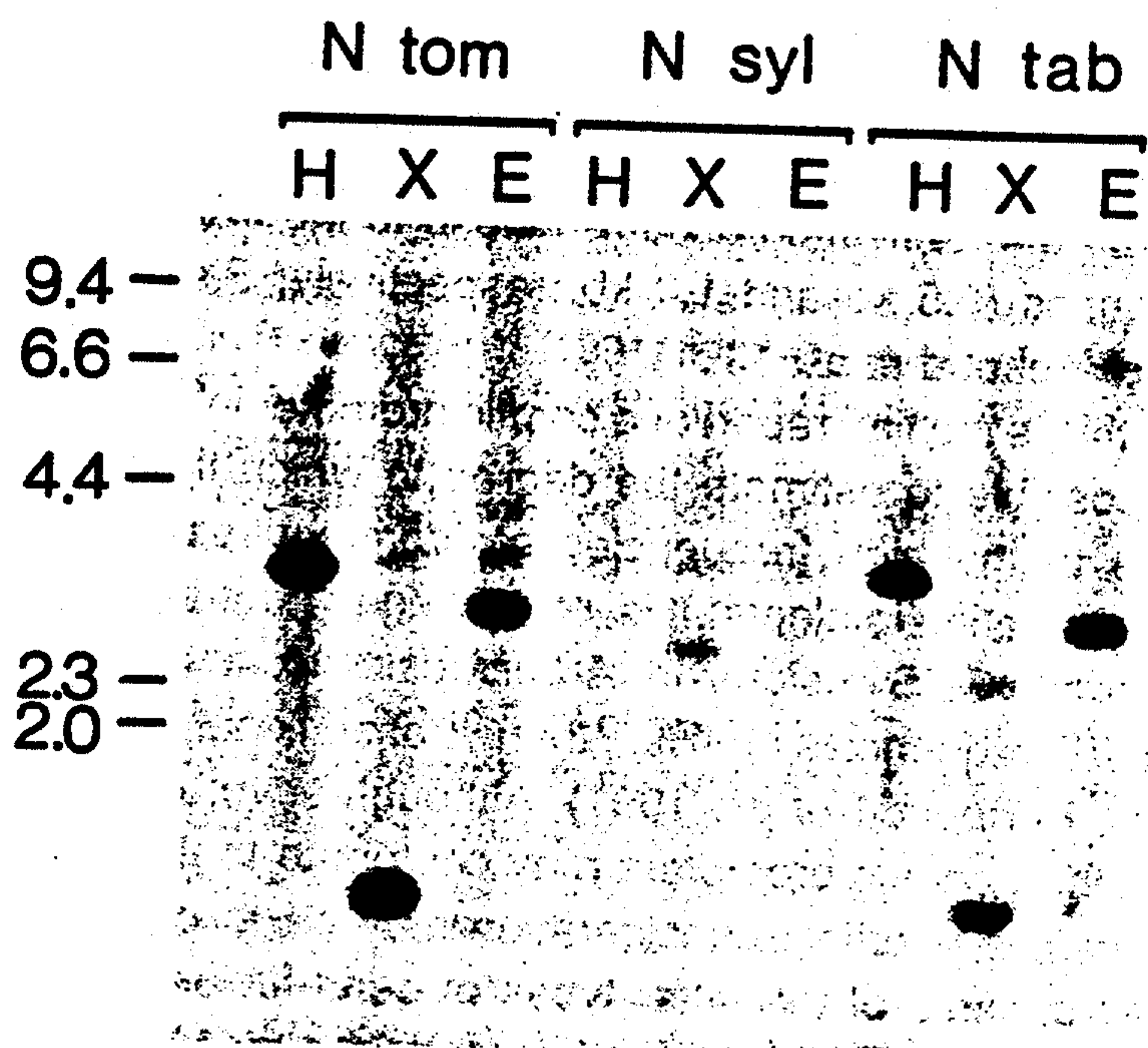


FIGURE 8

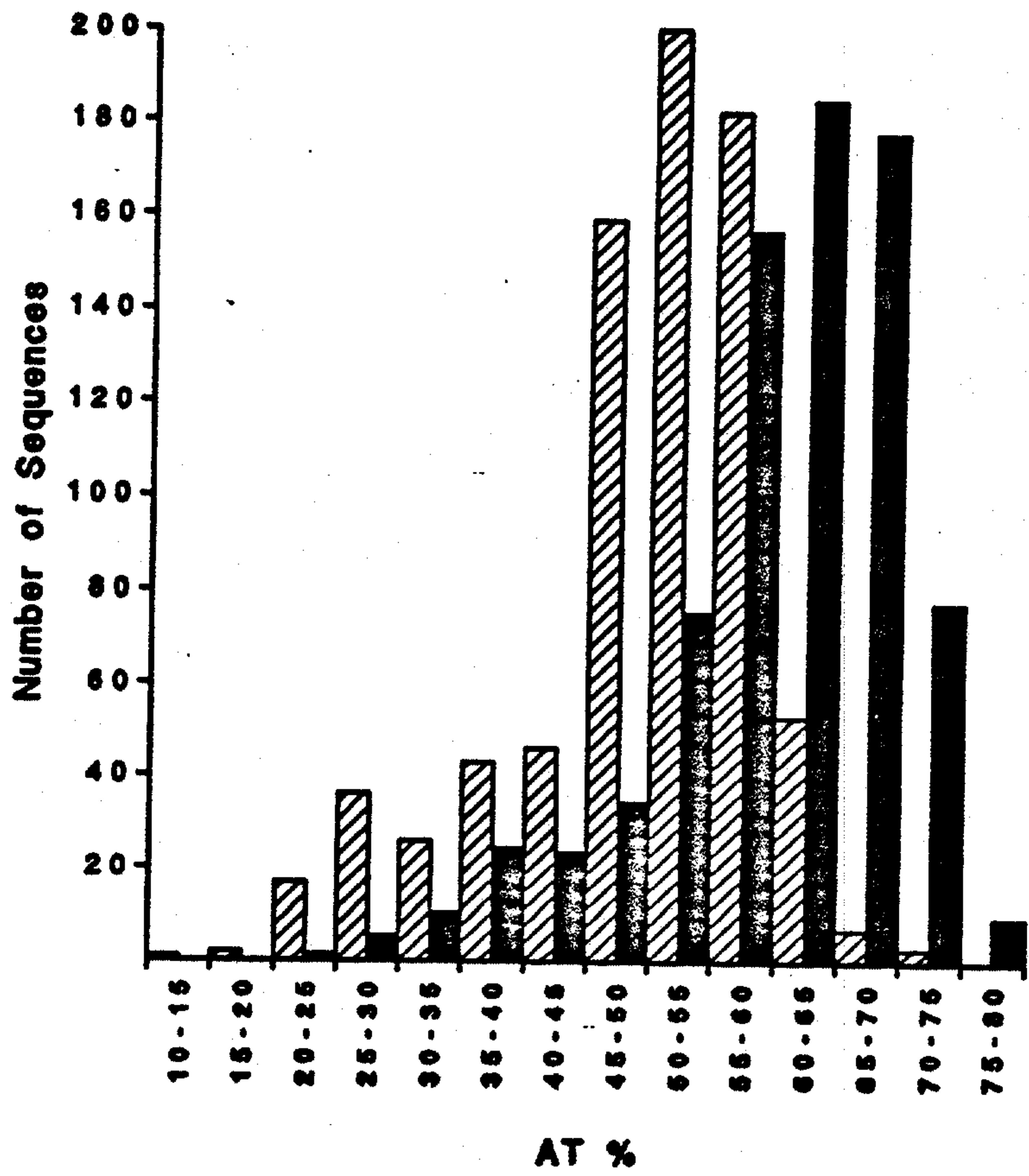


FIGURE 9