FIG. 2

Title: SHOCKWAVE VALVULOPLASTY CATHETER SYSTEM

Abstract: A valvuloplasty system comprises a balloon adapted to be placed adjacent leaflets of a valve. The balloon is inflatable with a liquid. The system further includes a shock wave generator within the balloon that produces shock waves. The shock waves propagate through the liquid and impinge upon the valve to decalcify and open the valve.
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SHOCKWAVE VALVULOPLASTY CATHETER SYSTEM

CLAIM OF PRIORITY

[1] The present application claims the benefit of copending United States Provisional Patent Application Serial No. 61/111,600, filed 5 November 2008, which application is incorporated herein by reference in its entirety.

BACKGROUND OF THE INVENTION

[2] Aortic calcification, also called aortic sclerosis, is a buildup of calcium deposits on the aortic valve in the heart. This often results in a heart murmur, which can easily be heard with a stethoscope over the heart. However, aortic calcification usually doesn't significantly affect the function of the aortic valve.

[3] In some cases, though, the calcium deposits thicken and cause narrowing at the opening of the aortic valve. This impairs blood flow through the valve, causing chest pain or a heart attack. Doctors refer to such narrowing as aortic stenosis.

[4] Aortic calcification typically affects older adults. But when it occurs in younger adults, it's often associated with an aortic valve defect that is present at birth (congenital) or with other illnesses such as kidney failure. An ultrasound of the heart (echocardiogram) can determine the severity of aortic calcification and also check for other possible causes of a heart murmur.

[5] At present there is no specific treatment for aortic calcification. General treatment includes the monitoring for further developments of heart disease. Cholesterol levels are also checked to determine the need for medications to lower cholesterol in the hope to prevent
progression of aortic calcification. If the valve becomes severely narrowed, aortic valve replacement surgery may be necessary.

[6] The aortic valve area can be opened or enlarged with a balloon catheter (balloon valvuloplasty) which is introduced in much the same way as in cardiac catheterization. With balloon valvuloplasty, the aortic valve area typically increases slightly. Patients with critical aortic stenosis can therefore experience temporary improvement with this procedure. Unfortunately, most of these valves narrow over a six to 18 month period. Therefore, balloon valvuloplasty is useful as a short-term measure to temporarily relieve symptoms in patients who are not candidates for aortic valve replacement. Patients who require urgent noncardiac surgery, such as a hip replacement, may benefit from aortic valvuloplasty prior to surgery. Valvuloplasty improves heart function and the chances of surviving non-cardiac surgery. Aortic valvuloplasty can also be useful as a bridge to aortic valve replacement in the elderly patient with poorly functioning ventricular muscle. Balloon valvuloplasty may temporarily improve ventricular muscle function, and thus improve surgical survival. Those who respond to valvuloplasty with improvement in ventricular function can be expected to benefit even more from aortic valve replacement. Aortic valvuloplasty in these high risk elderly patients has a similar mortality (5%) and serious complication rate (5%) as aortic valve replacement in surgical candidates.

[7] The present invention provides an alternative treatment system for stenotic or calcified aortic valves. As will be seen subsequently, the embodiments described herein provide a more tolerable treatment for aortic stenosis and calcified aortic valves than the currently performed aortic valve replacement. The invention also
provides a more effective treatment than current valvuloplasty therapy.

**SUMMARY OF THE INVENTION**

[8] In one embodiment, a valvuloplasty system comprises a balloon adapted to be placed adjacent leaflets of a valve, the balloon being inflatable with a liquid, and a shock wave generator within the balloon that produces shock waves that propagate through the liquid for impinging upon the valve. The balloon may be adapted to be placed on opposite sides of the valve leaflets or within the valve annulus.

[9] The system may further comprise an elongated tube. The balloon may be at the distal end of the elongated tube.

[10] The balloon may include a first balloon chamber and a second balloon chamber. The first and second balloon chambers may be longitudinally spaced from each other.

[11] The elongated tube may include a lumen. The first and second balloon chambers are in fluid communication with the elongated tube lumen.

[12] The shock wave generator may comprise a first shock wave source within the first balloon chamber and a second shock wave source within the second balloon chamber. The first and second shock wave sources may comprise a first electrical arc generator and a second electrical arc generator. The electrical arc generators may comprise at least one electrode adapted for connection to a voltage pulse generator. Each of the electrical arc generators may comprise an electrode pair adapted for connection to a voltage pulse generator. Each of the electrode pairs may comprise a pair of coaxially arranged electrodes.

[13] They may further comprise a high voltage catheter including the first and second electrical arc generators. The first and second electrical arc generators may be
longitudinally spaced from each other for being received within the first and second balloon chambers, respectively. [14] As mentioned above, the balloon may be adapted to be placed within the valve annulus. To that end, the balloon may have a reduced diameter portion adapted to be received within the valve annulus. [15] The balloon may be formed of a compliant material. [16] Alternatively, the balloon may be formed of a non-compliant material. [17] According to another embodiment, a catheter system comprises an elongated carrier and a balloon carried by the elongated carrier. The balloon is arranged to receive a fluid therein that inflates the balloon. The system further includes at least one arc generator including at least one pair of coaxially arranged electrodes within the balloon that forms a mechanical shock wave within the balloon. [18] The system may further include a cable comprising a center conductor and an outer conductive shield insulated from the inner conductor. A first one of the coaxially arranged electrodes may be at least in part formed by the center conductor of the cable, and a second one of the coaxially arranged electrodes may be at least in part formed by the outer conductive shield of the cable. [19] According to a further embodiment, a valvuloplasty method for treating a valve having leaflets and an annulus comprises placing a balloon adjacent to the leaflets of the valve, inflating the balloon with a liquid, and producing shock waves within the balloon that propagate through the liquid for impinging upon the valve leaflets and the valve annulus. [20] The placing steps may be performed by placing the balloon on opposite sides of the valve leaflets. Alternatively the placing step may be performed by placing the balloon within the valve annulus.
BRIEF DESCRIPTION OF THE DRAWINGS

[21] The features of the present invention which are believed to be novel are set forth with particularity in the appended claims. The various described embodiments of the invention, together with representative features and advantages thereof, may best be understood by making reference to the following description taken in conjunction with the accompanying drawings, in the several figures of which like reference numerals identify identical elements, and wherein:

[22] FIG. 1 is a cut away view of the left ventricle, the aorta, and the aortic valve of a heart showing a reduced aortic valve open area and thickened valve leaflets due to calcium and fibrotic tissue;

[23] FIG. 2 is a cut away view of the aortic valve of a heart with a treatment balloon placed on both sides of the aortic valve leaflets, according to an embodiment of the present invention;

[24] FIG. 3 is a schematic view of a dual shockwave balloon embodying the invention attached to a high voltage power supply; and

[25] FIG. 4 is a cut away view of a heart showing an alternate valvuloplasty shock wave balloon according to a further embodiment and aspects of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

[26] Referring now to FIG. 1, it is a cut away view of the left ventricle 12, the aorta 14, and the aortic valve 16 of a heart 10 with a stenotic and calcified aortic valve 16. Here more particularly, it may be seen that the opening 17...
of the stenotic and calcified aortic valve 16 is restricted in size and that the valve leaflets 18 are thickened with calcium deposits and fibrotic tissue. The thickened leaflets 18 and smaller valve opening 17 restrict blood flow from the heart creating excess work for the heart 10 and poor cardiac output. As previously mentioned, current treatment includes replacement of the valve or attempts too stretch the valve annulus with a balloon.

[27] FIG. 2 is a cut away view of the aortic valve 16 with a treatment balloon 22 placed on both sides of the aortic valve leaflets 18. The balloon 22 may be formed from a compliant or a non-compliant material. The balloon, as seen in FIG. 2, is at the distal end of an elongated tube 23. The treatment balloon 22 has two longitudinally spaced chambers 24 and 26 that share a common inflation lumen 25 of the tube 23. Alternatively the balloon chambers 24 and 26 may not share the same inflation fluid path. The chambers 24 and 26 are longitudinally spaced such that chamber 24 is positioned on one side of the aortic valve leaflets 18 and chamber 26 is positioned on the other side of the aortic valve leaflets 18. The chambers 24 and 26 are inflated with saline/contrast mixture, for example. Each chamber 24 and 26 may contain an electrode (as shall be seen subsequently) that can produce electrical arcs to deliver timed shock waves. The shock waves can be synchronized to concurrently impinge upon both sides of the leaflets 18 to maximize the effectiveness of breaking calcium deposits. Such shock waves may be generated and also synchronized to the R wave of the heart 10 in a manner as described for example in co-pending application number 61/061,170 filed on June 13, 2008, which application is incorporated herein in its entirety.

[28] FIG. 3 is a schematic view of a valvuloplasty system 11 embodying the present invention. The system 11
includes the dual shockwave balloon 22. The balloon 22 has
received a high voltage catheter 32 that is connected to a
high voltage power supply 30. The schematic representation
shows the positioning of the balloon chambers 24 and 26
above and below the leaflets 18 of the aortic valve 16. As
previously described, shock waves will impinge upon opposite
sides of the leaflets 18 to more effectively break calcium
deposits in the valve leaflets 18. The annulus will also be
treated in this arrangement. To that end, the high voltage
catheter 32 includes electrode pairs 34 and 36 that are
coaxially arranged electrodes placed in chambers 24 and 26
respectively of the balloon 22. More specifically, electrode
pair 34 is at the distal end of a first cable and comprises
a center conductor 33 and an outer conductive shield 35.
Similarly, electrode pair 34 is at the distal end of a
second cable and comprises a center conductor 37 and an
outer conductive shield 39. High voltage pulses from power
supply 30 are applied to the electrode pairs 34 and 36 in a
manner as described in the aforementioned application Serial
No. 61/061,170 to create shockwaves within the fluid within
the chambers 24 and 26 of the balloon 22. The shock waves
impinge upon the valve leaflets 18 and the valve annulus to
cause the break up of calcium deposits and fibrotic tissue
on the valve leaflets 18 and annulus to open the aortic
valve 16.

[29] FIG. 4 shows an alternate valvuloplasty shock wave
balloon 42 at the distal end of an elongated tube 43. The
balloon 42 is placed in the annulus of the aortic valve 16.
To that end, the balloon 42 has a reduced diameter portion
45 for being received within the valve annulus. The balloon
42 has a high voltage catheter 44 therein that terminates in
an electrode pair 46. As in the previous embodiment, the
electrode pair 46 may comprise a pair of coaxially arranged
electrodes where a center conductor may form at least a part
of one electrode and at an outer conductive shield may form at least a part of the other electrode. The catheter 44 and its electrode pair 46 provide shock waves as previously described. Such an arrangement will decalcify the leaflets 18. This not only will decalcify the leaflets 18, but will also soften the aortic valve annulus and expand its diameter. Hence, the balloon 42 provides the added advantage of exerting expansion pressure directly to the annulus of the valve to remodel the annulus diameter.

While particular embodiments of the present invention have been shown and described, modifications may be made, and it is therefore intended to cover all such changes and modifications which fall within the true spirit and scope of the invention.
What is claimed is:

1. A valvuloplasty system, comprising:
a balloon adapted to be placed adjacent leaflets of a valve, the balloon being inflatable with a liquid; and
a shock wave generator within the balloon that produces shock waves that propagate through the liquid for impinging upon the valve.

2. The system of claim 1, wherein the balloon is adapted to be placed on opposite sides of the valve leaflets.

3. The system of claim 2, further comprising an elongated tube and wherein the balloon is at the distal end of the elongated tube.

4. The system of claim 3, wherein the balloon includes a first balloon chamber and a second balloon chamber,
wherein the first and second balloon chambers are longitudinally spaced from each other.

5. The system of claim 4, wherein the elongated tube includes a lumen, and wherein the first and second balloon chambers are in fluid communication with the elongated tube lumen.

6. The system of claim 4, wherein the shock wave generator comprises a first shock wave source within the first balloon chamber and a second shock wave source within the second balloon chamber.
7. The system of claim 6, wherein the first and second shock wave sources comprise a first electrical arc generator and a second electrical arc generator.

8. The system of claim 7, wherein each of the electrical arc generators comprises at least one electrode adapted for connection to a voltage pulse generator.

9. The system of claim 7, wherein each of the electrical arc generators comprises an electrode pair adapted for connection to a voltage pulse generator.

10. The system of claim 9, wherein each of the electrode pairs comprise a pair of coaxially arranged electrodes.

11. The system of claim 7, further comprising a high voltage catheter including the first and second electrical arc generators and wherein the first and second electrical arc generators are longitudinally spaced from each other for being received within the first and second balloon chambers, respectively.

12. The system of claim 1, wherein the balloon is adapted to be placed within the valve annulus.

13. The system of claim 12, further comprising an elongated tube and wherein the balloon is at the distal end of the elongated tube.

14. The system of claim 13, wherein the elongated tube includes a lumen, and wherein the balloon is in fluid communication with the elongated tube lumen.
15. The system of claim 14, wherein the shock wave generator comprises an electrical arc generator.

16. The system of claim 15, further comprising a high voltage catheter including the electrical arc generator and arranged to extend down the balloon elongated tube within the lumen.

17. The system of claim 15, wherein the electrical arc generator comprises at least one electrode adapted for connection to a voltage pulse generator.

18. The system of claim 15, wherein the electrical arc generator comprises an electrode pair.

19. The system of claim 18, wherein the electrode pair comprises a pair of coaxially arranged electrodes.

20. The system of claim 12, wherein the balloon has a reduced diameter portion adapted to be received within the valve annulus.

21. The system of claim 1, wherein the balloon is formed of a compliant material.

22. The system of claim 1, wherein the balloon is formed of a non-compliant material.

23. A valvuloplasty system for treating a valve having leaflets and an annulus, comprising:

   a balloon adapted to be placed on opposite sides of the leaflets of the valve, the balloon being inflatable with a liquid; and
a shock wave generator within the balloon that produces shock waves that propagate through the liquid for impinging upon the valve leaflets and the valve annulus.

24. The system of claim 23, further comprising an elongated tube and wherein the balloon is at the distal end of the elongated tube.

25. The system of claim 24, wherein the balloon includes a first balloon chamber and a second balloon chamber, wherein the first and second balloon chambers are longitudinally spaced from each other.

26. The system of claim 25, wherein the elongated tube includes a lumen, and wherein the first and second balloon chambers are in fluid communication with the elongated tube lumen.

27. The system of claim 25, wherein the shock wave generator comprises a first shock wave source within the first balloon chamber and a second shock wave source within the second balloon chamber.

28. The system of claim 27, wherein the first and second shock wave sources comprise a first electrical arc generator and a second electrical arc generator.

29. The system of claim 28, wherein each of the electrical arc generators comprises at least one electrode adapted for connection to a voltage pulse generator.

30. The system of claim 28, wherein each of the electrical arc generators comprises an electrode pair adapted for connection to a voltage pulse generator.
31. The system of claim 30, wherein each of the electrode pairs comprise a pair of coaxially arranged electrodes.

32. The system of claim 28, further comprising a high voltage catheter including the first and second electrical arc generators and wherein the first and second electrical arc generators are longitudinally spaced from each other for being received within the first and second balloon chambers, respectively.

33. A valvuloplasty system for treating a valve having leaflets and an annulus, comprising:

a balloon adapted to be placed within the valve annulus adjacent the leaflets of the valve, the balloon being inflatable with a liquid; and

a shock wave generator within the balloon that produces shock waves that propagate through the liquid for impinging upon the valve leaflets and the valve annulus.

34. The system of claim 33, further comprising an elongated tube and wherein the balloon is at the distal end of the elongated tube.

35. The system of claim 34, wherein the elongated tube includes a lumen, and wherein the balloon is in fluid communication with the elongated tube lumen.

36. The system of claim 35, wherein the shock wave generator comprises an electrical arc generator.

37. The system of claim 36, further comprising a high voltage catheter including the electrical arc generator and
arranged to extend down the balloon elongated tube within the lumen.

38. The system of claim 36, wherein the electrical arc generator comprises at least one electrode adapted for connection to a voltage pulse generator.

39. The system of claim 36, wherein the electrical arc generator comprises an electrode pair.

40. The system of claim 39, wherein the electrode pair comprises a pair of coaxially arranged electrodes.

41. The system of claim 33, wherein the balloon has a reduced diameter portion adapted to be received within the valve annulus.

42. A catheter system, comprising:
an elongated carrier;
a balloon carried by the elongated carrier, the balloon being arranged to receive a fluid therein that inflates the balloon; and
at least one arc generator including at least one pair of coaxially arranged electrodes within the balloon that forms a mechanical shock wave within the balloon.

43. The system of claim 42, further including a cable comprising a center conductor and an outer conductive shield insulated from the inner conductor, wherein a first one of the coaxially arranged electrodes is at least in part formed by the center conductor of the cable, and wherein a second one of the coaxially arranged electrodes is at least in part formed by the outer conductive shield of the cable.
44. A valvuloplasty method for treating a valve having leaflets and an annulus, comprising:
   placing a balloon adjacent to the leaflets of the valve;
   inflating the balloon with a liquid; and
   producing shock waves within the balloon that propagate through the liquid for impinging upon the valve leaflets and the valve annulus.

45. The method of claim 44, wherein the placing steps is performed by placing the balloon on opposite sides of the valve leaflets.

46. The method of claim 44, wherein placing step is performed by placing the balloon within the valve annulus.