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(56) Related Art  
**WO 2014/007951 A2**  
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**JACKSON, C. C., et al., 2010, '8p11 myeloproliferative syndrome: a review', Human Pathology, 41(4), pages 461-476**



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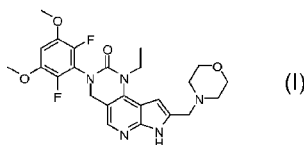
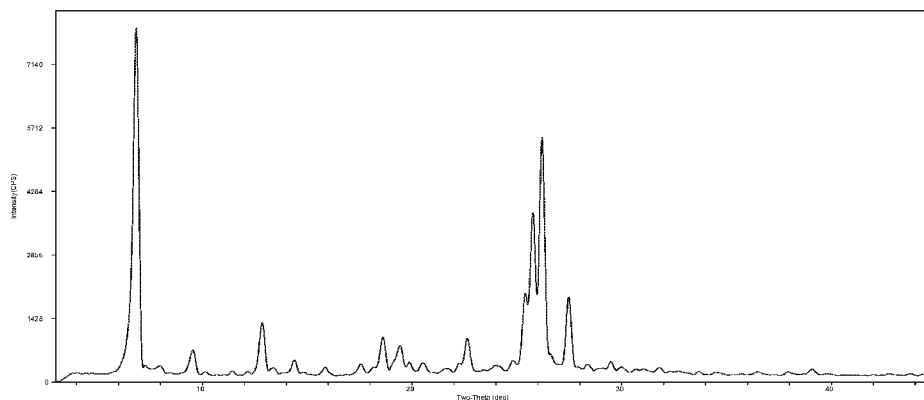
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## (54) Title: SOLID FORMS OF AN FGFR INHIBITOR AND PROCESSES FOR PREPARING THE SAME

FIG. 1



(1)

(57) Abstract: The present disclosure relates to solid forms and polymorphs of compound (1): 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholin-4-ylmethyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one, methods of preparation thereof, and intermediates in the preparation thereof, which are useful in the treatment of the FGFR-associated or mediated diseases such as cancer.



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## **SOLID FORMS OF AN FGFR INHIBITOR AND PROCESSES FOR PREPARING THE SAME**

### **FIELD OF THE INVENTION**

5           This application relates to solid forms of a Fibroblast Growth Factor Receptors (FGFR) inhibitor, including methods of preparation thereof, and intermediates in the preparation thereof, which are useful in the treatment of FGFR mediated disease such as cancer.

### **BACKGROUND OF THE INVENTION**

10           The Fibroblast Growth Factor Receptors (FGFR) are receptor tyrosine kinases that bind to fibroblast growth factor (FGF) ligands. There are four FGFR proteins (FGFR1-4) that are capable of binding ligands and are involved in the regulation of many physiological processes including tissue development, angiogenesis, wound healing, and metabolic  
15           regulation. Upon ligand binding, the receptors undergo dimerization and phosphorylation leading to stimulation of the protein kinase activity and recruitment of many intracellular docking proteins. These interactions facilitate the activation of an array of intracellular signaling pathways including Ras-MAPK, AKT-PI3K, and phospholipase C that are important for cellular growth, proliferation and survival (Reviewed in Eswarakumar et al.  
20           Cytokine & Growth Factor Reviews, 2005).           Aberrant activation of this pathway either through overexpression of FGF ligands or FGFR or activating mutations in the FGFRs can lead to tumor development, progression, and resistance to conventional cancer therapies. In human cancer, genetic alterations including gene amplification, chromosomal translocations and somatic mutations that lead to ligand-independent receptor activation have  
25           been described. Large scale DNA sequencing of thousands of tumor samples has revealed that components of the FGFR pathway are among the most frequently mutated in human cancer. Many of these activating mutations are identical to germline mutations that lead to skeletal dysplasia syndromes. Mechanisms that lead to aberrant ligand-dependent signaling in human disease include overexpression of FGFs and changes in FGFR splicing that lead to  
30           receptors with more promiscuous ligand binding abilities (Reviewed in Knights and Cook Pharmacology & Therapeutics, 2010; Turner and Grose, Nature Reviews Cancer, 2010). Therefore, development of inhibitors targeting FGFR may be useful in the clinical treatment of diseases that have elevated FGF or FGFR activity.



The cancer types in which FGF/FGFRs are implicated include, but are not limited to: carcinomas (e.g., bladder, breast, cervical, colorectal, endometrial, gastric, head and neck, kidney, liver, lung, ovarian, prostate); hematopoietic malignancies (e.g., multiple myeloma, chronic lymphocytic lymphoma, adult T cell leukemia, acute myelogenous leukemia, non-  
5 Hodgkin lymphoma, myeloproliferative neoplasms, and Waldenstrom's Macroglobulinemia); and other neoplasms (e.g., glioblastoma, melanoma, and rhabdosarcoma). In addition to a role in oncogenic neoplasms, FGFR activation has also been implicated in skeletal and chondrocyte disorders including, but not limited to, achondroplasia and craniosynostosis syndromes.

10 The FGFR4-FGF19 signaling axis, specifically, has been implicated in the pathogenesis of a number of cancers including hepatocellular carcinoma (Heinzle et al., *Cur. Pharm. Des.* 2014, 20:2881). Ectopic expression of FGF19 in transgenic mice was shown to lead to tumor formation in the liver and a neutralizing antibody to FGF19 was found to inhibit tumor growth in mice. In addition, overexpression of FGFR4 has been observed in a multiple tumor  
15 types including hepatocellular carcinoma, colorectal, breast, pancreatic, prostate, lung, and thyroid cancers. Furthermore, activating mutations in FGFR4 have been reported in rhabdomyosarcoma (Taylor et al. *JCI* 2009, 119:3395).

Inhibitors of FGFR are currently being developed for the treatment of cancer. For example, the molecule 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholin-4-  
20 ylmethyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one and other small molecule inhibitors of FGFR are reported in e.g., US Publication Nos.: 2012/0165305; 2014-0045814; 2013-0338134; 2014/0171405; 2014/0315902; 2016/0115164; 2016/0244448; 2016/0244449; and 2016-0244450. Accordingly, there is a need for new solid forms of FGFR-inhibiting molecules for preparing pharmaceutically useful formulations and  
25 dosage forms with suitable properties related to, for example, facilitating the manufacture of safe, effective, and high quality drug products.

### SUMMARY OF THE INVENTION

Provided herein are solid forms of 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholin-4-ylmethyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one ("Compound 1").

5        Provided herein are also pharmaceutical compositions, which include the solid forms as described herein, and one or more pharmaceutically acceptable carriers or excipients.

The present disclosure also provides methods of inhibiting FGFR enzymes using the solid forms as described herein.

10        The present disclosure also provides therapeutic methods of using the solid forms as described herein. The present disclosure also provides uses of the solid forms described herein in the manufacture of a medicament for use in therapy. The present disclosure also provides the solid forms described herein for use in therapy.

15        Provided herein are also processes for preparing 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholin-4-ylmethyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one and its solid forms as described herein.

Provided herein are also intermediates useful for the preparation of 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholin-4-ylmethyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one and its solid forms described herein.

20        Provided herein is also a method of treating cholangiocarcinoma in a patient in need thereof comprising administering to the patient a therapeutically effective amount of Compound 1. Also provided is a method of treating myeloid/lymphoid neoplasms (e.g., 8p11 myeloproliferative syndrome) in a patient in need thereof comprising administering to the patient a therapeutically effective amount of Compound 1. Further, provided herein is a method of increasing survival or progression-free survival in a patient that has  
25        cholangiocarcinoma, wherein the cholangiocarcinoma is characterized by an FGFR2 fusion, comprising administering Compound 1 to the patient.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows an X-ray powder diffraction (XRPD) pattern of Compound 1, Form I.

30        FIG. 2 shows a differential scanning calorimetry (DSC) thermogram of Compound 1, Form I.

FIG. 3 shows a thermogravimetric analysis (TGA) thermogram of Compound 1, Form I.

FIG. 4 shows an XRPD pattern of Compound 1, Form II.

FIG. 5 shows a DSC thermogram of Compound 1, Form II.

FIG. 6 shows a TGA thermogram of Compound 1, Form II.

FIG. 7 shows an XRPD pattern of Compound 1, Form IIa.

FIG. 8 shows a DSC thermogram of Compound 1, Form IIa.

5 FIG. 9 shows a TGA thermogram of Compound 1, Form IIa.

FIG. 10 shows an XRPD pattern of Compound 1, Form III.

FIG. 11 shows a DSC thermogram of Compound 1, Form III.

FIG. 12 shows a TGA thermogram of Compound 1, Form III.

FIG. 13 shows an XRPD pattern of Compound 1, Form IV.

10 FIG. 14 shows a DSC thermogram of Compound 1, Form IV.

FIG. 15 shows a TGA thermogram of Compound 1, Form IV.

FIG. 16 shows an XRPD pattern of Compound 1, Form V.

FIG. 17 shows a DSC thermogram of Compound 1, Form V.

FIG. 18 shows an XRPD pattern of Compound 1, Form VI.

15 FIG. 19 shows a DSC thermogram of Compound 1, Form VI.

FIG. 20 shows a TGA thermogram of Compound 1, Form VI.

FIG. 21 shows an XRPD pattern of Compound 1, Form VII.

FIG. 22 shows a DSC thermogram of Compound 1, Form VII.

FIG. 23 shows a TGA thermogram of Compound 1, Form VII.

20 FIG. 24 shows an XRPD pattern of Compound 1, Form VIII.

FIG. 25 shows a DSC thermogram of Compound 1, Form VIII.

FIG. 26 shows a TGA thermogram of Compound 1, Form VIII.

FIG. 27 shows an XRPD pattern of Compound 1, Form VIIIa.

FIG. 28 shows an XRPD pattern of Compound 1, Form IX.

25 FIG. 29 shows a DSC thermogram of Compound 1, Form IX.

FIG. 30 shows a TGA thermogram of Compound 1, Form IX.

FIG. 31 shows an XRPD pattern of Compound 1, Form X.

FIG. 32 shows a DSC thermogram of Compound 1, Form X.

FIG. 33 shows a TGA thermogram of Compound 1, Form X.

30 FIG. 34 shows an XRPD pattern of Compound 1, Form XI.

FIG. 35 shows a DSC thermogram of Compound 1, Form XI.

FIG. 36 shows a TGA thermogram of Compound 1, Form XI.

FIG. 37 shows an XRPD pattern of Compound 1, Form XII.

FIG. 38 shows a DSC thermogram of Compound 1, Form XII.

FIG. 39 shows a TGA thermogram of Compound 1, Form XII.

FIG. 40 shows an XRPD pattern of Compound 1, Form XIII.

FIG. 41 shows a DSC thermogram of Compound 1, Form XIII.

FIG. 42 shows a TGA thermogram of Compound 1, Form XIII.

5 FIG. 43 shows an XRPD pattern of Compound 1, Form XIIIa.

FIG. 44 shows a DSC thermogram of Compound 1, Form XIIIa.

FIG. 45 shows an XRPD pattern of Compound 1, Form XIV.

FIG. 46 shows a DSC thermogram of Compound 1, Form XIV.

FIG. 47 shows a TGA thermogram of Compound 1, Form XIV.

10 FIG. 48 shows an XRPD pattern of Compound 1, Form XV.

FIG. 49 shows a DSC thermogram of Compound 1, Form XV.

FIG. 50 shows a TGA thermogram of Compound 1, Form XV.

FIG. 51 shows an XRPD pattern of Compound 1, Form XVI.

FIG. 52 shows a DSC thermogram of Compound 1, Form XVI.

15 FIG. 53 shows a TGA thermogram of Compound 1, Form XVI.

FIG. 54 shows an XRPD pattern of Compound 1, Form XVII.

FIG. 55 shows a DSC thermogram of Compound 1, Form XVII.

FIG. 56 shows a TGA thermogram of Compound 1, Form XVII.

FIG. 57 shows an XRPD pattern of Compound 1, Form XVIII.

20 FIG. 58 shows a DSC thermogram of Compound 1, Form XVIII.

FIG. 59 shows a TGA thermogram of Compound 1, Form XVIII.

FIG. 60 shows an XRPD pattern of Compound 1, Form XIX.

FIG. 61 shows a DSC thermogram of Compound 1, Form XIX.

FIG. 62 shows a TGA thermogram of Compound 1, Form XIX.

25 FIG. 63 shows an XRPD pattern of Compound 1, Form XX.

FIG. 64 shows a DSC thermogram of Compound 1, Form XX.

FIG. 65 shows a TGA thermogram of Compound 1, Form XX.

FIG. 66 shows an XRPD pattern of Compound 1, Form XXI.

FIG. 67 shows a DSC thermogram of Compound 1, Form XXI.

30 FIG. 68 shows an XRPD pattern of Compound 1, Form XXII.

FIG. 69 shows a DSC thermogram of Compound 1, Form XXII.

FIG. 70 shows an XRPD pattern of Compound 1, Form XXIII.

FIG. 71 shows a DSC thermogram of Compound 1, Form XXIII.

FIG. 72 shows a TGA thermogram of Compound 1, Form XXIII.

FIG. 73 shows an XRPD pattern of Compound 1, Form XXIV.

FIG. 74 shows a DSC thermogram of Compound 1, Form XXIV.

FIG. 75 shows a TGA thermogram of Compound 1, Form XXIV.

FIG. 76 shows an XRPD pattern of Compound 1, Form XXV.

5 FIG. 77 shows a DSC thermogram of Compound 1, Form XXV.

FIG. 78 shows an XRPD pattern of Compound 1, Form XXVI.

FIG. 79 shows the best percentage change from baseline in target lesion size in patients with CCA and FGFR2 translocations (Cohort A) as per independent reviewer.

10 FIG. 80 shows the duration of treatment and confirmed response in patients with CCA and FGFR2 translocations (Cohort A) as per independent reviewer.

FIG. 81 shows the Kaplan-Meier estimates of progression free survival (PFS; estimated by independent reviewer) in Cohort A, B, and C.

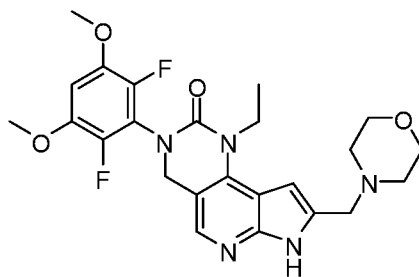
FIG. 82 shows a summary of the clinical and cytogenetic responses in patients with myeloid/lymphoid neoplasms with rearrangements of FGFR1.

FIG. 83 shows a baseline PET scan of a patient with myeloproliferation and T-lymphoblastic lymphoma (TLL) before treatment with Compound 1.

FIG. 84 shows a baseline PET scan of a patient with myeloproliferation and T-lymphoblastic lymphoma (TLL) after treatment with Compound 1.

### DETAILED DESCRIPTION

The present disclosure is directed to, *inter alia*, solid forms, including crystalline forms and amorphous forms, of 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholin-4-ylmethyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one (Compound 1), and processes and intermediates for preparing the compound. The structure of  
15 Compound 1 is shown below.



Compound 1

20 Compound 1 is described in US Patent No. 9,611,267, the entirety of which is incorporated herein by reference.

Compound 1 can be isolated as one or more solid forms. The solid forms (e.g., crystalline forms) described herein can have certain advantages, for example, they may have desirable properties, such as ease of handling, ease of processing, storage stability, and ease of purification. Moreover, the crystalline forms can be useful for improving the performance characteristics of a pharmaceutical product such as dissolution profile, shelf-life and bioavailability

As used herein, and unless otherwise specified, the term "about", when used in connection with a numeric value or range of values which is provided to describe a particular solid form (e.g., a specific temperature or temperature range, such as describing a melting, dehydration, or glass transition; a mass change, such as a mass change as a function of temperature or humidity; a solvent or water content, in terms of, for example, mass or a percentage; or a peak position, such as in analysis by, for example, <sup>13</sup>C NMR, DSC, TGA and XRPD), indicate that the value or range of values may deviate to an extent deemed reasonable to one of ordinary skill in the art while still describing the particular solid form. Specifically, the term "about", when used in this context, indicates that the numeric value or range of values may vary by 5%, 4%, 3%, 2%, 1%, 0.9%, 0.8%, 0.7%, 0.6%, 0.5%, 0.4%, 0.3%, 0.2% or 0.1% of the recited value or range of values while still describing the particular solid form. The term "about", when used in reference to a degree 2-theta value refers to +/-0.2 degrees 2-theta.

As used herein, the phrase "solid form" refers to a compound provided herein in either an amorphous state or a crystalline state ("crystalline form" or "crystalline solid" or "crystalline solid form"), whereby a compound provided herein in a crystalline state may optionally include solvent or water within the crystalline lattice, for example, to form a solvated or hydrated crystalline form. In some embodiments, the compound provided herein is in a crystalline state as described herein.

As used herein, the term "peak" or "characteristic peak" refers to an XRPD reflection having a relative height/intensity of at least about 3% of the maximum peak height/intensity.

As used herein, the term "crystalline" or "crystalline form" refers to a crystalline solid form of a chemical compound, including, but not limited to, a single-component or multiple-component crystal form, e.g., including solvates, hydrates, clathrates, and a co-crystal. For example, crystalline means having a regularly repeating and/or ordered arrangement of molecules, and possessing a distinguishable crystal lattice. The term "crystalline form" is meant to refer to a certain lattice configuration of a crystalline substance. Different crystalline forms of the same substance typically have different crystalline lattices (e.g., unit

cells), typically have different physical properties attributed to their different crystalline lattices, and in some instances, have different water or solvent content. The different crystalline lattices can be identified by solid state characterization methods such as by X-ray powder diffraction (XRPD). Other characterization methods such as differential scanning calorimetry (DSC), thermogravimetric analysis (TGA), dynamic vapor sorption (DVS), and the like further help identify the crystalline form as well as help determine stability and solvent/water content.

Different crystalline forms of a particular substance, such as Compound 1 as described herein, can include both anhydrous forms of that substance and solvated/hydrated forms of that substance, where each of the anhydrous forms and solvated/hydrated forms are distinguished from each other by different XRPD patterns, or other solid state characterization methods, thereby signifying different crystalline lattices. In some instances, a single crystalline form (e.g., identified by a unique XRPD pattern) can have variable water or solvent content, where the lattice remains substantially unchanged (as does the XRPD pattern) despite the compositional variation with respect to water and/or solvent.

An XRPD pattern of reflections (peaks) is typically considered a fingerprint of a particular crystalline form. It is well known that the relative intensities of the XRPD peaks can widely vary depending on, *inter alia*, the sample preparation technique, crystal size distribution, filters used, the sample mounting procedure, and the particular instrument employed. In some instances, new peaks may be observed or existing peaks may disappear, depending on the type of the machine or the settings (for example, whether a Ni filter is used or not). As used herein, the term “peak” refers to a reflection having a relative height/intensity of at least about 3% or at least about 4% of the maximum peak height/intensity. Moreover, instrument variation and other factors can affect the 2-theta values. Thus, peak assignments, such as those reported herein, can vary by plus or minus about 0.2° (2-theta) and the term “substantially” as used in the context of XRPD herein is meant to encompass the above-mentioned variations.

In the same way, temperature readings in connection with DSC, TGA, or other thermal experiments can vary about  $\pm 3$  °C depending on the instrument, particular settings, sample preparation, etc. Accordingly, a crystalline form reported herein having a DSC thermogram “substantially” as shown in any of the Figures is understood to accommodate such variation.

Crystalline forms of a substance can be obtained by a number of methods, as known in the art. Such methods include, but are not limited to, melt recrystallization, melt cooling,

solvent recrystallization, recrystallization in confined spaces such as, e.g., in nanopores or capillaries, recrystallization on surfaces or templates such as, e.g., on polymers, recrystallization in the presence of additives, such as, e.g., co-crystal counter-molecules, desolvation, dehydration, rapid evaporation, rapid cooling, slow cooling, vapor diffusion, sublimation, exposure to moisture, grinding and solvent-drop grinding.

As used herein, the term "amorphous" or "amorphous form" is intended to mean that the substance, component, or product in question is not crystalline as determined, for instance, by XRPD or where the substance, component, or product in question, for example is not birefringent when viewed microscopically. For example, amorphous means essentially without regularly repeating arrangement of molecules or lacks the long range order of a crystal, i.e., amorphous form is non-crystalline. An amorphous form does not display a defined x-ray diffraction pattern with sharp maxima. In certain embodiments, a sample comprising an amorphous form of a substance may be substantially free of other amorphous forms and/or crystalline forms. For example, an amorphous substance can be identified by an XRPD spectrum having an absence of reflections.

As used herein, the term "substantially amorphous" means a majority of the weight of a sample or preparation of Compound 1 is amorphous and the remainder of the sample is a crystalline form of the same compound. In some embodiments, a substantially amorphous sample has less than about 5% crystallinity (e.g., about 95% of the non-crystalline form of the same compound), less than about 4% crystallinity (e.g., about 96% of the non-crystalline form of the same compound), less than about 3% crystallinity (e.g., about 97% of the non-crystalline form of the same compound), less than about 2% crystallinity (e.g., about 98% of the non-crystalline form of the same compound), less than about 1% crystallinity (e.g., about 99% of the non-crystalline form of the same compound), or about 0% crystallinity (e.g., about 100% of the non-crystalline form of the same compound). In some embodiments, the term "fully amorphous" means less than about 99% or about 0% crystallinity.

Compound 1 can be prepared in batches referred to as batches, samples, or preparations. The batches, samples, or preparations can include Compound 1 in any of the crystalline or non-crystalline forms described herein, including hydrated and non-hydrated forms, and mixtures thereof.

Compounds provided herein (e.g., Compound 1) can also include all isotopes of atoms occurring in the intermediates or final compounds. Isotopes include those atoms having the same atomic number but different mass numbers. For example, isotopes of hydrogen include tritium and deuterium. One or more constituent atoms of the compounds



provided herein can be replaced or substituted with isotopes of the atoms in natural or non-natural abundance. In some embodiments, the compound includes at least one deuterium atom. For example, one or more hydrogen atoms in a compound of the present disclosure can be replaced or substituted by deuterium. In some embodiments, the compound includes two  
5 or more deuterium atoms. In some embodiments, the compound includes 1, 2, 3, 4, 5, 6, 7 or 8 deuterium atoms. Synthetic methods for including isotopes into organic compounds are known in the art.

In some embodiments, Compound 1 is substantially isolated. The term "substantially isolated" is meant that the compound is at least partially or substantially separated from the  
10 environment in which it was formed or detected. Partial separation can include, *e.g.*, a composition enriched in the compound, salts, hydrates, solvates, or solid forms provided herein. Substantial separation can include compositions containing at least about 50%, at least about 60%, at least about 70%, at least about 80%, at least about 90%, at least about 95%, at least about 97%, or at least about 99% by weight of the compound, salts, hydrates, solvates,  
15 or solid forms provided herein.

The term "hydrate," as used herein, is meant to refer to a solid form of Compound 1 that includes water. The water in a hydrate can be present in a stoichiometric amount with respect to the amount of salt in the solid, or can be present in varying amounts, such as can be found in connection with channel hydrates.

20 As used herein, the term "substantially" when referring to a characteristic figure of a crystal form, such as an XRPD pattern, a DSC thermogram, a TGA thermogram, or the like, means that a subject figure may be non-identical to the reference depicted herein, but it falls within the limits of experimental error and thus may be deemed as derived from the same crystal form as disclosed herein, as judged by a person of ordinary skill in the art.

25 As used herein, the term "substantially crystalline," means a majority of the weight of a sample or preparation of Compound 1 is crystalline and the remainder of the sample is a non-crystalline form (*e.g.*, amorphous form) of the same compound. In some embodiments, a substantially crystalline sample has at least about 95% crystallinity (*e.g.*, about 5% of the non-crystalline form of the same compound), at least about 96% crystallinity (*e.g.*, about 4%  
30 of the non-crystalline form of the same compound), at least about 97% crystallinity (*e.g.*, about 3% of the non-crystalline form of the same compound), at least about 98% crystallinity (*e.g.*, about 2% of the non-crystalline form of the same compound), at least about 99% crystallinity (*e.g.*, about 1% of the non-crystalline form of the same compound), or about 100% crystallinity (*e.g.*, about 0% of the non-crystalline form of the same compound). In

some embodiments, the term “fully crystalline” means at least about 99% or about 100% crystallinity.

As used herein, the term “% crystallinity” or “crystalline purity,” means percentage of a crystalline form in a preparation or sample which may contain other forms such as an amorphous form of the same compound, or at least one other crystalline form of the compound, or mixtures thereof. In some embodiments, the crystalline forms can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, the crystalline forms can be isolated with a purity greater than about 99%.

As used herein, the term “reacting” is used as known in the art and generally refers to the bringing together of chemical reagents in such a manner so as to allow their interaction at the molecular level to achieve a chemical or physical transformation. In some embodiments, the reacting involves at least two reagents, wherein one or more molar equivalents of second reagent are used with respect to the first reagent. In some embodiments, the reacting step of a synthetic process may involve one or more substances in addition to the reagents such as solvent and/or a catalyst. The reacting steps of the processes described herein can be conducted for a time and under conditions suitable for preparing the identified product.

As used herein, the terms “converting” with respect to changing an intermediate or starting reagent or material in a chemical reaction refers to subjecting the intermediate or starting reagent or material to the suitable reagents and conditions (e.g., temperature, time, solvent, etc.) to effect certain changes (e.g., breaking or formation of a chemical bond) to generate the desired product.

Compound 1 can be prepared in various crystalline forms including, e.g., Form I, Form II, Form II-a, Form III, Form IV, Form V, Form VI, Form VII, Form VIII, Form VIIIa, Form IX, Form X, Form XI, Form XII, Form XIII, Form XIII-a, Form XIV, Form XV, Form XVI, Form XVII, Form XVIII, Form XIX, Form XX, Form XXI, Form XXII, Form XXIII, Form XXIV, Form XXV or Form XXVI. In some embodiments, the solid form of Compound 1 is amorphous.

#### *Compound 1 Form I*

Provided herein is a solid form of Compound 1 which is crystalline, referred to as Form I, which is described below in the Examples.

In some embodiments, Form I has at least one characteristic XRPD peaks selected from about 6.8, about 12.9, about 25.4, about 25.8, about 26.2 and about 27.5 degrees 2-theta.

In some embodiments, Form I has at least two characteristic XRPD peaks selected from about 6.8, about 12.9, about 25.4, about 25.8, about 26.2 and about 27.5 degrees 2-theta.

In some embodiments, Form I has at least three characteristic XRPD peaks selected from about 6.8, about 12.9, about 25.4, about 25.8, about 26.2 and about 27.5 degrees 2-theta.

5 In some embodiments, Form I has at least one characteristic XRPD peak selected from about 6.8, about 9.6, about 12.9, about 18.6, about 19.4, about 22.6, about 25.4, about 25.8, about 26.2, and about 27.5 degrees 2-theta.

In some embodiments, Form I has at least two characteristic XRPD peaks selected from about 6.8, about 9.6, about 12.9, about 18.6, about 19.4, about 22.6, about 25.4, about  
10 25.8, about 26.2, and about 27.5 degrees 2-theta.

In some embodiments, Form I has at least three characteristic XRPD peaks selected from about 6.8, about 9.6, about 12.9, about 18.6, about 19.4, about 22.6, about 25.4, about 25.8, about 26.2, and about 27.5 degrees 2-theta.

In some embodiments, Form I has an XRPD pattern with characteristic peaks as  
15 substantially shown in Figure 1.

In some embodiments, Form I exhibits a DSC thermogram having endotherm peaks at temperatures of about 201 °C and 276 °C. In some embodiments, Form I exhibits a DSC thermogram having an endotherm peak at a temperature of about 201 °C. In some  
20 embodiments, Form I exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form I has a DSC thermogram substantially as depicted in Figure 2. In some embodiments, Form I has a TGA thermogram substantially as depicted in Figure 3.

In some embodiments, Form I has at least one characteristic XRPD peaks selected from about 6.8, about 12.9, about 25.4, about 25.8, about 26.2 and about 27.5 degrees 2-theta;  
25 and Form I exhibits a DSC thermogram having endotherm peaks at temperatures of about 201 °C and 276 °C.

Provided herein are also processes for preparing Form I of Compound 1 comprising recrystallizing Compound 1 in a solvent. In some embodiments, the solvent is a mixture of dichloromethane and methyl t-butyl ether. In some embodiments, the process for preparing  
30 Form I of Compound 1 comprises recrystallizing Compound 1 from a mixture of dichloromethane and methyl t-butyl ether. In some embodiments, the recrystallizing comprises a) heating a solution of Compound 1 in a mixture of dichloromethane and methyl t-butyl ether to an elevated temperature for a first period of time and b) cooling to a reduced

temperature for a second period of time. In some embodiments, the elevated temperature is  $\geq 30^{\circ}\text{C}$ ,  $\geq 35^{\circ}\text{C}$ ,  $\geq 36^{\circ}\text{C}$ ,  $\geq 39^{\circ}\text{C}$ , or  $\geq 40^{\circ}\text{C}$ . In certain embodiments, the first period of time is between 5 and 6 h. In some embodiments, the first period of time is greater than 5 h. In some embodiments, the reduced temperature is ambient temperature. In some embodiments, the reduced temperature is about  $23^{\circ}\text{C}$ . In some embodiments, the second period of time is between 10 and 11 hours. In some embodiments, the second period of time is longer than 5, 8, or 10 hours. In some embodiments, the second period of time is 10.5 h.

In some embodiments, Form I can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form II*

Provided herein is a solid form of Compound 1 having Form II, which is described below in the Examples.

In some embodiments, Form II has at least one characteristic XRPD peaks selected from about 6.8, about 9.5, about 12.8, about 13.3 and about 25.8 degrees 2-theta.

In some embodiments, Form II has at least two characteristic XRPD peaks selected from about 6.8, about 9.5, about 12.8, about 13.3 and about 25.8 degrees 2-theta.

In some embodiments, Form II has at least three characteristic XRPD peaks selected from about 6.8, about 9.5, about 12.8, about 13.3 and about 25.8 degrees 2-theta.

In some embodiments, Form II has at least one characteristic XRPD peak selected from about 6.8, about 9.5, about 12.8, about 13.3, about 19.0, about 20.5, about 22.6, about 25.8, about 26.2, and about 27.4 degrees 2-theta.

In some embodiments, Form II has at least two characteristic XRPD peaks selected from about 6.8, about 9.5, about 12.8, about 13.3, about 19.0, about 20.5, about 22.6, about 25.8, about 26.2, and about 27.4 degrees 2-theta.

In some embodiments, Form II has at least three characteristic XRPD peaks selected from about 6.8, about 9.5, about 12.8, about 13.3, about 19.0, about 20.5, about 22.6, about 25.8, about 26.2, and about 27.4 degrees 2-theta.

In some embodiments, Form II has an XRPD pattern with characteristic peaks as substantially shown in Figure 4.

In some embodiments, Form II exhibits a DSC thermogram having an endotherm peak at a temperature of about  $276^{\circ}\text{C}$ . In some embodiments, Form II has a DSC

thermogram substantially as depicted in Figure 5. In some embodiments, Form II has a TGA thermogram substantially as depicted in Figure 6.

In some embodiments, Form II has at least one characteristic XRPD peaks selected from about 6.8, about 9.5, about 12.8, about 13.3 and about 25.8 degrees 2-theta; and Form II exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C.

Provided herein are also processes for preparing Form II of Compound 1 comprising evaporating a saturated solution of Compound 1, Form I in dichloromethane at  $50 \pm 1$  °C.

In some embodiments, Form II can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form II-a*

Provided herein is a solid form of Compound 1 having Form II-a, which is described below in the Examples.

In some embodiments, Form II-a has at least one characteristic XRPD peaks selected from about 6.9, about 9.4, about 13.3, about 16.3, and about 19.9 degrees 2-theta.

In some embodiments, Form II-a has at least two characteristic XRPD peaks selected from about 6.9, about 9.4, about 13.3, about 16.3, and about 19.9 degrees 2-theta.

In some embodiments, Form II-a has at least three characteristic XRPD peaks selected from about 6.9, about 9.4, about 13.3, about 16.3, and about 19.9 degrees 2-theta.

In some embodiments, Form II-a has at least one characteristic XRPD peak selected from about 6.9, about 9.4, about 12.9, about 13.3, about 16.3, about 17.5, about 19.0, about 19.9, about 22.5, and about 26.1 degrees 2-theta.

In some embodiments, Form II-a has at least two characteristic XRPD peaks selected from about 6.9, about 9.4, about 12.9, about 13.3, about 16.3, about 17.5, about 19.0, about 19.9, about 22.5, and about 26.1 degrees 2-theta.

In some embodiments, Form II-a has at least three characteristic XRPD peaks selected from about 6.9, about 9.4, about 12.9, about 13.3, about 16.3, about 17.5, about 19.0, about 19.9, about 22.5, and about 26.1 degrees 2-theta.

In some embodiments, Form II-a has an XRPD pattern with characteristic peaks as substantially shown in Figure 7.

In some embodiments, Form II-a exhibits a DSC thermogram having an endotherm peak at a temperature of about 275 °C. In some embodiments, Form II-a has a DSC

thermogram substantially as depicted in Figure 8. In some embodiments, Form II-a has a TGA thermogram substantially as depicted in Figure 9.

In some embodiments, Form II-a has at least one characteristic XRPD peaks selected from about 6.9, about 9.4, about 13.3, about 16.3, and about 19.9 degrees 2-theta; and Form II-a exhibits a DSC thermogram having an endotherm peak at a temperature of about 275 °C.

Provided herein are also processes for preparing Form II-a of Compound 1 comprising evaporating a solution of Compound 1, Form I in dichloromethane at  $25 \pm 1$  °C.

In some embodiments, Form II-a can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form III*

Provided herein is a solid form of Compound 1 having Form III, which is described below in the Examples.

In some embodiments, Form III has at least one characteristic XRPD peaks selected from about 3.5, about 13.9, about 15.0, about 15.3, about 16.8, and about 18.6.

In some embodiments, Form III has at least two characteristic XRPD peaks selected from about 3.5, about 13.9, about 15.0, about 15.3, about 16.8, and about 18.6.

In some embodiments, Form III has at least three characteristic XRPD peaks selected from about 3.5, about 13.9, about 15.0, about 15.3, about 16.8, and about 18.6.

In some embodiments, Form III has at least one characteristic XRPD peak selected from about 3.5, about 6.7, about 8.5, about 13.9, about 15.0, about 15.3, about 16.8, about 18.6, about 19.3, about 21.5, about 22.9, about 24.2, and about 25.9 degrees 2-theta.

In some embodiments, Form III has at least two characteristic XRPD peaks selected from about 3.5, about 6.7, about 8.5, about 13.9, about 15.0, about 15.3, about 16.8, about 18.6, about 19.3, about 21.5, about 22.9, about 24.2, and about 25.9 degrees 2-theta.

In some embodiments, Form III has at least three characteristic XRPD peaks selected from about 3.5, about 6.7, about 8.5, about 13.9, about 15.0, about 15.3, about 16.8, about 18.6, about 19.3, about 21.5, about 22.9, about 24.2, and about 25.9 degrees 2-theta.

In some embodiments, Form III has an XRPD pattern with characteristic peaks as substantially shown in Figure 10.

In some embodiments, Form III exhibits a DSC thermogram having endotherm peaks at temperatures of about 101 °C, 204 °C, and 276 °C. In some embodiments, Form III exhibits

a DSC thermogram having an endotherm peak at a temperature of about 101 °C. In some embodiments, Form III exhibits a DSC thermogram having an endotherm peak at a temperature of about 204 °C. In some embodiments, Form III exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form III has a DSC thermogram substantially as depicted in Figure 11. In some embodiments, Form III has a TGA thermogram substantially as depicted in Figure 12.

In some embodiments, Form III has at least one characteristic XRPD peaks selected from about 3.5, about 13.9, about 15.0, about 15.3, about 16.8, and about 18.6; and Form III exhibits a DSC thermogram having endotherm peaks at temperatures of about 101 °C, 204 °C, and 276 °C.

Provided herein are also processes for preparing Form III of Compound 1 comprising adding Compound 1, Form I to a solution of Compound 1, Form I in 1,4-dioxane, stirring at  $25 \pm 1$  °C for 2 days, and removing the supernatant by centrifugation.

In some embodiments, Form III can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form IV*

Provided herein is a solid form of Compound 1 having Form IV, which is described below in the Examples.

In some embodiments, Form IV has at least one characteristic XRPD peaks selected from about 10.1, about 13.0, about 14.0, about 18.4, about 22.2, about 24.3, and about 26.4 degrees 2-theta.

In some embodiments, Form IV has at least two characteristic XRPD peaks selected from about 10.1, about 13.0, about 14.0, about 18.4, about 22.2, about 24.3, and about 26.4 degrees 2-theta.

In some embodiments, Form IV has at least three characteristic XRPD peaks selected from about 10.1, about 13.0, about 14.0, about 18.4, about 22.2, about 24.3, and about 26.4 degrees 2-theta.

In some embodiments, Form IV has at least one characteristic XRPD peak selected from about 10.1, about 13.0, about 14.0, about 15.6, about 17.3, about 18.4, about 20.2, about 21.4, about 22.2, about 22.7, about 24.3, about 26.4 and about 26.8 degrees 2-theta.

In some embodiments, Form IV has at least two characteristic XRPD peaks selected from about 10.1, about 13.0, about 14.0, about 15.6, about 17.3, about 18.4, about 20.2, about 21.4, about 22.2, about 22.7, about 24.3, about 26.4 and about 26.8 degrees 2-theta.

5 In some embodiments, Form IV has at least three characteristic XRPD peaks selected from about 10.1, about 13.0, about 14.0, about 15.6, about 17.3, about 18.4, about 20.2, about 21.4, about 22.2, about 22.7, about 24.3, about 26.4 and about 26.8 degrees 2-theta.

In some embodiments, Form IV has an XRPD pattern with characteristic peaks as substantially shown in Figure 13.

10 In some embodiments, Form IV exhibits a DSC thermogram having endotherm peaks at temperatures of about 109 °C, 203 °C, and 278 °C. In some embodiments, Form IV exhibits a DSC thermogram having an endotherm peak at a temperature of about 109 °C. In some embodiments, Form IV exhibits a DSC thermogram having an endotherm peak at a temperature of about 203 °C. In some embodiments, Form IV exhibits a DSC thermogram having an endotherm peak at a temperature of about 278 °C. In some embodiments, Form IV  
15 has a DSC thermogram substantially as depicted in Figure 14. In some embodiments, Form IV has a TGA thermogram substantially as depicted in Figure 15.

In some embodiments, Form IV has at least one characteristic XRPD peaks selected from about 10.1, about 13.0, about 14.0, about 18.4, about 22.2, about 24.3, and about 26.4 degrees 2-theta; and Form IV exhibits a DSC thermogram having endotherm peaks at  
20 temperatures of about 109 °C, 203 °C, and 278 °C.

Provided herein are also processes for preparing Form IV of Compound 1 comprising adding Compound 1, Form I to a cloudy solution of Compound 1, Form I in 1,4-dioxane, and stirring at  $25 \pm 1$  °C for 6 days.

25 In some embodiments, Form IV can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form V*

30 Provided herein is a solid form of Compound 1 having Form V, which is described below in the Examples.

In some embodiments, Form V has at least one characteristic XRPD peak selected from about 7.4, about 14.8, about 21.3, about 22.0, and about 22.3 degrees 2-theta.



In some embodiments, Form V has at least two characteristic XRPD peaks selected from about 7.4, about 14.8, about 21.3, about 22.0, and about 22.3 degrees 2-theta.

In some embodiments, Form V has at least three characteristic XRPD peaks selected from about 7.4, about 14.8, about 21.3, about 22.0, and about 22.3 degrees 2-theta.

5 In some embodiments, Form V has an XRPD pattern with characteristic peaks as substantially shown in Figure 16.

In some embodiments, Form V exhibits a DSC thermogram having endotherm peaks at temperatures of about 91 °C, 203 °C, and 276 °C. In some embodiments, Form V exhibits a DSC thermogram having an endotherm peak at a temperature of about 91 °C. In some  
10 embodiments, Form V exhibits a DSC thermogram having an endotherm peak at a temperature of about 203 °C. In some embodiments, Form V exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form V has a DSC thermogram substantially as depicted in Figure 17.

In some embodiments, Form V has at least one characteristic XRPD peak selected  
15 from about 7.4, about 14.8, about 21.3, about 22.0, and about 22.3 degrees 2-theta; and Form V exhibits a DSC thermogram having endotherm peaks at temperatures of about 91 °C, 203 °C, and 276 °C.

Provided herein are also processes for preparing Form V of Compound 1 comprising allowing a saturated solution of Compound 1, Form I in 1,4-dioxane to rest for more than 30  
20 days.

In some embodiments, Form V can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

## 25 *Compound 1 Form VI*

Provided herein is a solid form of Compound 1 having Form VI, which is described below in the Examples.

In some embodiments, Form VI has at least one characteristic XRPD peaks selected from about 9.1, about 9.5, about 14.4, about 17.6, about 18.6, about 19.9, and about 22.3  
30 degrees 2-theta.

In some embodiments, Form VI has at least two characteristic XRPD peaks selected from about 9.1, about 9.5, about 14.4, about 17.6, about 18.6, about 19.9, and about 22.3 degrees 2-theta.

In some embodiments, Form VI has at least three characteristic XRPD peaks selected from about 9.1, about 9.5, about 14.4, about 17.6, about 18.6, about 19.9, and about 22.3 degrees 2-theta.

5 In some embodiments, Form VI has at least one characteristic XRPD peak selected from about 7.8, about 9.1, about 9.5, about 10.2, about 11.4, about 12.1, about 13.4, about 14.4, about 15.9, about 17.6, about 18.6, about 19.2, about 19.9, about 22.3, about 22.7, about 25.4, and about 26.2 degrees 2-theta.

10 In some embodiments, Form VI has at least two characteristic XRPD peaks selected from about 7.8, about 9.1, about 9.5, about 10.2, about 11.4, about 12.1, about 13.4, about 14.4, about 15.9, about 17.6, about 18.6, about 19.2, about 19.9, about 22.3, about 22.7, about 25.4, and about 26.2 degrees 2-theta.

15 In some embodiments, Form VI has at least three characteristic XRPD peaks selected from about 7.8, about 9.1, about 9.5, about 10.2, about 11.4, about 12.1, about 13.4, about 14.4, about 15.9, about 17.6, about 18.6, about 19.2, about 19.9, about 22.3, about 22.7, about 25.4, and about 26.2 degrees 2-theta.

In some embodiments, Form VI has an XRPD pattern with characteristic peaks as substantially shown in Figure 18.

20 In some embodiments, Form VI exhibits a DSC thermogram having an endotherm peak at a temperature of about 275 °C. In some embodiments, Form VI has a DSC thermogram substantially as depicted in Figure 19. In some embodiments, Form VI has a TGA thermogram substantially as depicted in Figure 20.

25 In some embodiments, Form VI has at least one characteristic XRPD peaks selected from about 9.1, about 9.5, about 14.4, about 17.6, about 18.6, about 19.9, and about 22.3 degrees 2-theta; and Form VI exhibits a DSC thermogram having an endotherm peak at a temperature of about 275 °C.

Provided herein are also processes for preparing Form VI of Compound 1 comprising adding Compound 1, Form I to a cloudy solution of Compound 1 prepared in methanol, and stirring at  $25 \pm 1$  °C for 3 days.

30 In some embodiments, Form VI can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

*Compound 1 Form VII*

Provided herein is a solid form of Compound 1 having Form VII, which is described below in the Examples.

In some embodiments, Form VII has at least one characteristic XRPD peaks selected  
5 from about 9.8, about 15.4, about 18.8, about 19.6, and about 20.1 degrees 2-theta.

In some embodiments, Form VII has at least two characteristic XRPD peaks selected from about 9.8, about 15.4, about 18.8, about 19.6, and about 20.1 degrees 2-theta.

In some embodiments, Form VII has at least three characteristic XRPD peaks selected from about 9.8, about 15.4, about 18.8, about 19.6, and about 20.1 degrees 2-theta.

10 In some embodiments, Form VII has at least one characteristic XRPD peak selected from about 8.2, about 9.8, about 15.4, about 17.9, about 18.8, about 19.6, about 20.1, about 21.1, about 22.3, and about 24.3 degrees 2-theta.

In some embodiments, Form VII has at least two characteristic XRPD peaks selected from about 8.2, about 9.8, about 15.4, about 17.9, about 18.8, about 19.6, about 20.1, about  
15 21.1, about 22.3, and about 24.3 degrees 2-theta.

In some embodiments, Form VII has at least three characteristic XRPD peaks selected from about 8.2, about 9.8, about 15.4, about 17.9, about 18.8, about 19.6, about 20.1, about 21.1, about 22.3, and about 24.3 degrees 2-theta.

In some embodiments, Form VII has an XRPD pattern with characteristic peaks as  
20 substantially shown in Figure 21.

In some embodiments, Form VII exhibits a DSC thermogram having endotherm peaks at temperatures of about 88 °C, 201 °C, and 276 °C. In some embodiments, Form VII exhibits a DSC thermogram having an endotherm peak at a temperature of about 88 °C. In some  
25 embodiments, Form VII exhibits a DSC thermogram having an endotherm peak at a temperature of about 201 °C. In some embodiments, Form VII exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form VII has a DSC thermogram substantially as depicted in Figure 22. In some embodiments, Form VII has a TGA thermogram substantially as depicted in Figure 23.

In some embodiments, Form VII has at least one characteristic XRPD peaks selected  
30 from about 9.8, about 15.4, about 18.8, about 19.6, and about 20.1 degrees 2-theta; and Form VII exhibits a DSC thermogram having endotherm peaks at temperatures of about 88 °C, 201 °C, and 276 °C.

Provided herein are also processes for preparing Form VII of Compound 1 comprising adding Compound 1, Form I to a cloudy solution of Compound 1, Form I prepared in methyl isobutyl ketone, and stirring at  $25 \pm 1$  °C for 3 days.

In some embodiments, Form VII can be isolated with a purity of at least about 80%,  
5 about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form VIII*

Provided herein is a solid form of Compound 1 having Form VIII, which is described  
10 below in the Examples.

In some embodiments, Form VIII has at least one characteristic XRPD peaks selected from about 9.1, about 16.7, about 18.2, about 18.6, and about 20.2 degrees 2-theta.

In some embodiments, Form VIII has at least two characteristic XRPD peaks selected from about 9.1, about 16.7, about 18.2, about 18.6, and about 20.2 degrees 2-theta.

15 In some embodiments, Form VIII has at least three characteristic XRPD peaks selected from about 9.1, about 16.7, about 18.2, about 18.6, and about 20.2 degrees 2-theta.

In some embodiments, Form VIII has at least one characteristic XRPD peak selected from about 9.1, about 15.2, about 16.7, about 18.2, about 18.6, about 20.2, about 22.5, about 24.6, about 26.8, and about 29.8 degrees 2-theta.

20 In some embodiments, Form VIII has at least two characteristic XRPD peaks selected from about 9.1, about 15.2, about 16.7, about 18.2, about 18.6, about 20.2, about 22.5, about 24.6, about 26.8, and about 29.8 degrees 2-theta.

In some embodiments, Form VIII has at least three characteristic XRPD peaks selected from about 9.1, about 15.2, about 16.7, about 18.2, about 18.6, about 20.2, about  
25 22.5, about 24.6, about 26.8, and about 29.8 degrees 2-theta.

In some embodiments, Form VIII has an XRPD pattern with characteristic peaks as substantially shown in Figure 24.

In some embodiments, Form VIII exhibits a DSC thermogram having endotherm peaks at temperatures of about 201 °C, and 277 °C. In some embodiments, Form VIII exhibits  
30 a DSC thermogram having an endotherm peak at a temperature of about 201 °C. In some embodiments, Form VIII exhibits a DSC thermogram having an endotherm peak at a temperature of about 277 °C. In some embodiments, Form VIII has a DSC thermogram

substantially as depicted in Figure 25. In some embodiments, Form VIII has a TGA thermogram substantially as depicted in Figure 26.

In some embodiments, Form VIII has at least one characteristic XRPD peaks selected from about 9.1, about 16.7, about 18.2, about 18.6, and about 20.2 degrees 2-theta; and Form  
5 VIII exhibits a DSC thermogram having endotherm peaks at temperatures of about 201 °C, and 277 °C.

Provided herein are also processes for preparing Form VIII of Compound 1 comprising adding Compound 1, Form I to a solution of Compound 1 in acetone, and stirring at  $25 \pm 1$  °C for 3 days.

10 In some embodiments, Form VIII can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form VIII-a*

15 Provided herein is a solid form of Compound 1 having Form VIII-a, which is described below in the Examples.

In some embodiments, Form VIII-a has at least one characteristic XRPD peaks selected from about 8.9, about 16.2, about 18.0, about 18.4, about 19.9, and about 21.1 degrees 2-theta.

20 In some embodiments, Form VIII-a has at least two characteristic XRPD peaks selected from about 8.9, about 16.2, about 18.0, about 18.4, about 19.9, and about 21.1 degrees 2-theta.

In some embodiments, Form VIII-a has at least three characteristic XRPD peaks selected from about 8.9, about 16.2, about 18.0, about 18.4, about 19.9, and about 21.1  
25 degrees 2-theta.

In some embodiments, Form VIII-a has at least one characteristic XRPD peak selected from about 8.9, about 16.2, about 18.0, about 18.4, about 19.9, about 21.1, about 22.0, about 23.5, about 24.1, about 24.3, and about 29.5 degrees 2-theta.

In some embodiments, Form VIII-a has at least two characteristic XRPD peaks  
30 selected from about 8.9, about 16.2, about 18.0, about 18.4, about 19.9, about 21.1, about 22.0, about 23.5, about 24.1, about 24.3, and about 29.5 degrees 2-theta.

In some embodiments, Form VIII-a has at least three characteristic XRPD peaks selected from about 8.9, about 16.2, about 18.0, about 18.4, about 19.9, about 21.1, about 22.0, about 23.5, about 24.1, about 24.3, and about 29.5 degrees 2-theta.

5 In some embodiments, Form VIII-a has an XRPD pattern with characteristic peaks as substantially shown in Figure 27.

Provided herein are also processes for preparing Form VIII-a of Compound 1 comprising adding Compound 1, Form I to a cloudy solution of Compound 1 in methyl ethyl ketone, and stirring at  $50 \pm 1$  °C for 3 days.

10 In some embodiments, Form VIII-a can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form IX*

15 Provided herein is a solid form of Compound 1 having Form IX, which is described below in the Examples.

In some embodiments, Form IX has at least one characteristic XRPD peaks selected from about 8.5, about 9.2, about 12.1, about 14.6, about 15.6, about 18.6, about 22.4, and about 22.9 degrees 2-theta.

20 In some embodiments, Form IX has at least two characteristic XRPD peaks selected from about 8.5, about 9.2, about 12.1, about 14.6, about 15.6, about 18.6, about 22.4, and about 22.9 degrees 2-theta.

In some embodiments, Form IX has at least three characteristic XRPD peaks selected from about 8.5, about 9.2, about 12.1, about 14.6, about 15.6, about 18.6, about 22.4, and about 22.9 degrees 2-theta.

25 In some embodiments, Form IX has at least one characteristic XRPD peak selected from about 8.5, about 9.2, about 12.1, about 13.9, about 14.6, about 15.6, about 16.8, about 18.6, about 19.3, about 22.4, about 22.9, about 24.6, and about 31.4 degrees 2-theta.

30 In some embodiments, Form IX has at least two characteristic XRPD peaks selected from about 8.5, about 9.2, about 12.1, about 13.9, about 14.6, about 15.6, about 16.8, about 18.6, about 19.3, about 22.4, about 22.9, about 24.6, and about 31.4 degrees 2-theta.

In some embodiments, Form IX has at least three characteristic XRPD peaks selected from about 8.5, about 9.2, about 12.1, about 13.9, about 14.6, about 15.6, about 16.8, about 18.6, about 19.3, about 22.4, about 22.9, about 24.6, and about 31.4 degrees 2-theta.

In some embodiments, Form IX has an XRPD pattern with characteristic peaks as substantially shown in Figure 28.

In some embodiments, Form IX exhibits a DSC thermogram having endotherm peaks at temperatures of about 201 °C, and 276 °C. In some embodiments, Form IX exhibits a DSC thermogram having an endotherm peak at a temperature of about 201 °C. In some  
5       embodiments, Form IX exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form IX has a DSC thermogram substantially as depicted in Figure 29. In some embodiments, Form IX has a TGA thermogram substantially as depicted in Figure 30.

10       In some embodiments, Form IX has at least one characteristic XRPD peaks selected from about 8.5, about 9.2, about 12.1, about 14.6, about 15.6, about 18.6, about 22.4, and about 22.9 degrees 2-theta; and Form IX exhibits a DSC thermogram having endotherm peaks at temperatures of about 201 °C, and 276 °C.

15       Provided herein are also processes for preparing Form IX of Compound 1 comprising adding Compound 1, Form I to a cloudy solution of Compound 1, Form I in methyl t-butyl ether, and stirring at  $25 \pm 1$  °C for 3 days.

In some embodiments, Form IX can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### 20       *Compound 1 Form X*

Provided herein is a solid form of Compound 1 having Form X, which is described below in the Examples.

25       In some embodiments, Form X has at least one characteristic XRPD peaks selected from about 10.1, about 14.6, about 15.4, about 15.7, about 18.1, and about 22.3 degrees 2-theta.

In some embodiments, Form X has at least two characteristic XRPD peaks selected from about 10.1, about 14.6, about 15.4, about 15.7, about 18.1, and about 22.3 degrees 2-theta.

30       In some embodiments, Form X has at least three characteristic XRPD peaks selected from about 10.1, about 14.6, about 15.4, about 15.7, about 18.1, and about 22.3 degrees 2-theta. In some embodiments, Form X has at least one characteristic XRPD peak selected from about 4.9, about 10.1, about 11.3, about 14.6, about 15.4, about 15.7, about 17.2, about 18.1,

about 19.5, about 20.0, about 22.3, about 23.8, about 25.3, about 25.7, and about 26.3 degrees 2-theta.

In some embodiments, Form X has at least two characteristic XRPD peaks selected from about 4.9, about 10.1, about 11.3, about 14.6, about 15.4, about 15.7, about 17.2, about 18.1, about 19.5, about 20.0, about 22.3, about 23.8, about 25.3, about 25.7, and about 26.3 degrees 2-theta.

In some embodiments, Form X has at least three characteristic XRPD peaks selected from about 4.9, about 10.1, about 11.3, about 14.6, about 15.4, about 15.7, about 17.2, about 18.1, about 19.5, about 20.0, about 22.3, about 23.8, about 25.3, about 25.7, and about 26.3 degrees 2-theta.

In some embodiments, Form X has an XRPD pattern with characteristic peaks as substantially shown in Figure 31.

In some embodiments, Form X exhibits a DSC thermogram having endotherm peaks at temperatures of about 202 °C, and 276 °C. In some embodiments, Form X exhibits a DSC thermogram having an endotherm peak at a temperature of about 202 °C. In some embodiments, Form X exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form X has a DSC thermogram substantially as depicted in Figure 32. In some embodiments, Form X has a TGA thermogram substantially as depicted in Figure 33.

In some embodiments, Form X has at least one characteristic XRPD peaks selected from about 10.1, about 14.6, about 15.4, about 15.7, about 18.1, and about 22.3 degrees 2-theta; and Form X exhibits a DSC thermogram having endotherm peaks at temperatures of about 202 °C, and 276 °C.

Provided herein are also processes for preparing Form X of Compound 1 comprising adding Compound 1, Form I to a cloudy solution of Compound 1, Form I in ethyl acetate, and stirring at  $25 \pm 1$  °C for 3 days.

In some embodiments, Form X can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form XI*

Provided herein is a solid form of Compound 1 having Form XI, which is described below in the Examples.



In some embodiments, Form XI has at least one characteristic XRPD peaks selected from about 3.9, about 7.5, about 13.0, about 17.3, about 21.4, and about 22.8 degrees 2-theta.

5 In some embodiments, Form XI has at least two characteristic XRPD peaks selected from about 3.9, about 7.5, about 13.0, about 17.3, about 21.4, and about 22.8 degrees 2-theta.

In some embodiments, Form XI has at least three characteristic XRPD peaks selected from about 3.9, about 7.5, about 13.0, about 17.3, about 21.4, and about 22.8 degrees 2-theta.

10 In some embodiments, Form XI has at least one characteristic XRPD peak selected from about 3.9, about 4.3, about 7.5, about 13.0, about 13.7, about 15.0, about 16.5, about 17.3, about 19.1, about 19.9, about 21.4, about 22.2, about 22.8, about 25.2, and about 26.9 degrees 2-theta.

15 In some embodiments, Form XI has at least two characteristic XRPD peaks selected from about 3.9, about 4.3, about 7.5, about 13.0, about 13.7, about 15.0, about 16.5, about 17.3, about 19.1, about 19.9, about 21.4, about 22.2, about 22.8, about 25.2, and about 26.9 degrees 2-theta.

20 In some embodiments, Form XI has at least three characteristic XRPD peaks selected from about 3.9, about 4.3, about 7.5, about 13.0, about 13.7, about 15.0, about 16.5, about 17.3, about 19.1, about 19.9, about 21.4, about 22.2, about 22.8, about 25.2, and about 26.9 degrees 2-theta.

In some embodiments, Form XI has an XRPD pattern with characteristic peaks as substantially shown in Figure 34.

25 In some embodiments, Form XI exhibits a DSC thermogram having endotherm peaks at temperatures of about 141 °C, and 279 °C. In some embodiments, Form XI exhibits a DSC thermogram having an endotherm peak at a temperature of about 141 °C. In some embodiments, Form XI exhibits a DSC thermogram having an endotherm peak at a temperature of about 279 °C. In some embodiments, Form XI has a DSC thermogram substantially as depicted in Figure 35. In some embodiments, Form XI has a TGA  
30 thermogram substantially as depicted in Figure 36.

In some embodiments, Form XI has at least one characteristic XRPD peaks selected from about 3.9, about 7.5, about 13.0, about 17.3, about 21.4, and about 22.8 degrees 2-

theta; and Form XI exhibits a DSC thermogram having endotherm peaks at temperatures of about 141 °C, and 279 °C.

Provided herein are also processes for preparing Form XI of Compound 1 comprising adding Compound 1, Form I to a 5 mL cloudy solution of Compound 1, Form I in ethyl formate, and stirring at  $25 \pm 1$  °C for 3 days.

In some embodiments, Form XI can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### 10 *Compound 1 Form XII*

Provided herein is a solid form of Compound 1 having Form XII, which is described below in the Examples.

In some embodiments, Form XII has at least one characteristic XRPD peaks selected from about 7.5, about 14.1, about 17.3, about 18.3, about 22.1, and about 22.7 degrees 2-  
15 theta.

In some embodiments, Form XII has at least two characteristic XRPD peaks selected from about 7.5, about 14.1, about 17.3, about 18.3, about 22.1, and about 22.7 degrees 2-theta.

In some embodiments, Form XII has at least three characteristic XRPD peaks selected from about 7.5, about 14.1, about 17.3, about 18.3, about 22.1, and about 22.7 degrees 2-  
20 theta.

In some embodiments, Form XII has at least one characteristic XRPD peak selected from about 3.9, about 7.5, about 9.8, about 11.5, about 12.9, about 14.1, about 17.3, about 18.3, about 22.1, about 22.7, about 24.3, about 26.3, and about 26.9 degrees 2-theta.

In some embodiments, Form XII has at least two characteristic XRPD peaks selected from about 3.9, about 7.5, about 9.8, about 11.5, about 12.9, about 14.1, about 17.3, about 18.3, about 22.1, about 22.7, about 24.3, about 26.3, and about 26.9 degrees 2-theta.  
25

In some embodiments, Form XII has at least three characteristic XRPD peaks selected from about 3.9, about 7.5, about 9.8, about 11.5, about 12.9, about 14.1, about 17.3, about 18.3, about 22.1, about 22.7, about 24.3, about 26.3, and about 26.9 degrees 2-theta.  
30

In some embodiments, Form XII has an XRPD pattern with characteristic peaks as substantially shown in Figure 37.

In some embodiments, Form XII exhibits a DSC thermogram having endotherm peaks at temperatures of about 105 °C, and 276 °C. In some embodiments, Form XII exhibits a DSC thermogram having an endotherm peak at a temperature of about 105 °C. In some embodiments, Form XII exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form XII has a DSC thermogram substantially as depicted in Figure 38. In some embodiments, Form XII has a TGA thermogram substantially as depicted in Figure 39.

In some embodiments, Form XII has at least one characteristic XRPD peaks selected from about 7.5, about 14.1, about 17.3, about 18.3, about 22.1, and about 22.7 degrees 2-theta; and Form XII exhibits a DSC thermogram having endotherm peaks at temperatures of about 105 °C, and 276 °C.

Provided herein are also processes for preparing Form XII of Compound 1 comprising adding Compound 1, Form I to a cloudy solution of Compound 1, Form I prepared in 1,4-dioxane, and stirring at  $50 \pm 1$  °C for 2 days.

In some embodiments, Form XII can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form XIII*

Provided herein is a solid form of Compound 1 having Form XIII, which is described below in the Examples.

In some embodiments, Form XIII has at least one characteristic XRPD peaks selected from about 7.7, about 15.2, about 15.7, about 21.9, about 23.1, and about 26.1 degrees 2-theta.

In some embodiments, Form XIII has at least two characteristic XRPD peaks selected from about 7.7, about 15.2, about 15.7, about 21.9, about 23.1, and about 26.1 degrees 2-theta.

In some embodiments, Form XIII has at least three characteristic XRPD peaks selected from about 7.7, about 15.2, about 15.7, about 21.9, about 23.1, and about 26.1 degrees 2-theta.

In some embodiments, Form XIII has at least one characteristic XRPD peak selected from about 4.0, about 7.7, about 10.9, about 11.6, about 14.2, about 15.2, about 15.7, about

17.8, about 19.0, about 21.9, about 22.2, about 23.1, about 25.6, and about 26.1 degrees 2-theta.

In some embodiments, Form XIII has at least two characteristic XRPD peaks selected from about 4.0, about 7.7, about 10.9, about 11.6, about 14.2, about 15.2, about 15.7, about 17.8, about 19.0, about 21.9, about 22.2, about 23.1, about 25.6, and about 26.1 degrees 2-theta.

In some embodiments, Form XIII has at least three characteristic XRPD peaks selected from about 4.0, about 7.7, about 10.9, about 11.6, about 14.2, about 15.2, about 15.7, about 17.8, about 19.0, about 21.9, about 22.2, about 23.1, about 25.6, and about 26.1 degrees 2-theta.

In some embodiments, Form XIII has an XRPD pattern with characteristic peaks as substantially shown in Figure 40.

In some embodiments, Form XIII exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form XIII has a DSC thermogram substantially as depicted in Figure 41. In some embodiments, Form XIII has a TGA thermogram substantially as depicted in Figure 42.

In some embodiments, Form XIII has at least two characteristic XRPD peaks selected from about 7.7, about 15.2, about 15.7, about 21.9, about 23.1, and about 26.1 degrees 2-theta; and Form XIII exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C.

Provided herein are also processes for preparing Form XIII of Compound 1, Form I comprising adding Compound 1, Form I to a cloudy solution of Compound 1 in THF, and stirring at  $50 \pm 1$  °C for 2 days.

In some embodiments, Form XIII can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form XIII-a*

Provided herein is a solid form of Compound 1 having Form XIII-a, which is described below in the Examples.

In some embodiments, Form XIII-a has at least one characteristic XRPD peaks selected from about 6.9, about 7.7, about 10.4, about 15.2, about 21.5, and about 26.2 degrees 2-theta.

In some embodiments, Form XIII-a has at least two characteristic XRPD peaks selected from about 6.9, about 7.7, about 10.4, about 15.2, about 21.5, and about 26.2 degrees 2-theta.

5 In some embodiments, Form XIII-a has at least three characteristic XRPD peaks selected from about 6.9, about 7.7, about 10.4, about 15.2, about 21.5, and about 26.2 degrees 2-theta.

10 In some embodiments, Form XIII-a has at least one characteristic XRPD peak selected from about 6.9, about 7.7, about 8.3, about 10.4, about 10.9, about 12.1, about 14.4, about 15.2, about 18.6, about 19.7, about 21.5, about 22.3, about 22.6, and about 26.2 degrees 2-theta.

In some embodiments, Form XIII-a has at least two characteristic XRPD peaks selected from about 6.9, about 7.7, about 8.3, about 10.4, about 10.9, about 12.1, about 14.4, about 15.2, about 18.6, about 19.7, about 21.5, about 22.3, about 22.6, and about 26.2 degrees 2-theta.

15 In some embodiments, Form XIII-a has at least three characteristic XRPD peaks selected from about 6.9, about 7.7, about 8.3, about 10.4, about 10.9, about 12.1, about 14.4, about 15.2, about 18.6, about 19.7, about 21.5, about 22.3, about 22.6, and about 26.2 degrees 2-theta.

20 In some embodiments, Form XIII-a has an XRPD pattern with characteristic peaks as substantially shown in Figure 43.

In some embodiments, Form XIII-a exhibits a DSC thermogram having endotherm peaks at temperatures of about 75 °C and 276 °C. In some embodiments, Form XIII-a exhibits a DSC thermogram having an endotherm peak at a temperature of about 75 °C. In some embodiments, Form XIII-a exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form XIII-a has a DSC thermogram substantially as depicted in Figure 44.

25 In some embodiments, Form XIII-a has at least one characteristic XRPD peaks selected from about 6.9, about 7.7, about 10.4, about 15.2, about 21.5, and about 26.2 degrees 2-theta; and Form XIII-a exhibits a DSC thermogram having endotherm peaks at temperatures of about 75 °C and 276 °C.

30 Provided herein are also processes for preparing Form XIII-a of Compound 1 comprising cooling a saturated solution of Compound 1, Form I in THF to -20 °C, and holding the temperature at -20 °C for a period of time (*e.g.*, 3 h).

In some embodiments, Form XIII-a can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

5 *Compound 1 Form XIV*

Provided herein is a solid form of Compound 1 having Form XIV, which is described below in the Examples.

In some embodiments, Form XIV has at least one characteristic XRPD peaks selected from about 7.0, about 14.1, about 16.1, about 20.0, about 22.0, and about 25.8 degrees 2-  
10 theta.

In some embodiments, Form XIV has at least two characteristic XRPD peaks selected from about 7.0, about 14.1, about 16.1, about 20.0, about 22.0, and about 25.8 degrees 2-theta.

In some embodiments, Form XIV has at least three characteristic XRPD peaks  
15 selected from about 7.0, about 14.1, about 16.1, about 20.0, about 22.0, and about 25.8 degrees 2-theta.

In some embodiments, Form XIV has at least one characteristic XRPD peak selected from about 7.0, about 8.6, about 9.2, about 9.6, about 10.3, about 11.5, about 12.2, about 14.1, about 14.5, about 16.1, about 17.6, about 18.3, about 18.7, about 19.3, about 20.0, about  
20 22.0, about 22.3, about 22.9, and about 25.8 degrees 2-theta.

In some embodiments, Form XIV has at least two characteristic XRPD peaks selected from about 7.0, about 8.6, about 9.2, about 9.6, about 10.3, about 11.5, about 12.2, about 14.1, about 14.5, about 16.1, about 17.6, about 18.3, about 18.7, about 19.3, about 20.0, about 22.0, about 22.3, about 22.9, and about 25.8 degrees 2-theta.

25 In some embodiments, Form XIV has at least three characteristic XRPD peaks selected from about 7.0, about 8.6, about 9.2, about 9.6, about 10.3, about 11.5, about 12.2, about 14.1, about 14.5, about 16.1, about 17.6, about 18.3, about 18.7, about 19.3, about 20.0, about 22.0, about 22.3, about 22.9, and about 25.8 degrees 2-theta.

In some embodiments, Form XIV has an XRPD pattern with characteristic peaks as  
30 substantially shown in Figure 45.

In some embodiments, Form XIV exhibits a DSC thermogram having endotherm peaks at temperatures of about 78 °C, 118 °C, and 277 °C. In some embodiments, Form XIV exhibits a DSC thermogram having an endotherm peak at a temperature of about 78 °C. In

some embodiments, Form XIV exhibits a DSC thermogram having an endotherm peak at a temperature of about 118 °C. In some embodiments, Form XIV exhibits a DSC thermogram having an endotherm peak at a temperature of about 277 °C. In some embodiments, Form XIV has a DSC thermogram substantially as depicted in Figure 46. In some embodiments, Form XIV has a TGA thermogram substantially as depicted in Figure 47.

In some embodiments, Form XIV has at least one characteristic XRPD peaks selected from about 7.0, about 14.1, about 16.1, about 20.0, about 22.0, and about 25.8 degrees 2-theta; and Form XIV exhibits a DSC thermogram having endotherm peaks at temperatures of about 78 °C, 118 °C, and 277 °C.

Provided herein are also processes for preparing Form XIV of Compound 1 comprising evaporating a saturated solution of Compound, Form I 1 in DMF at  $25 \pm 1$  °C.

In some embodiments, Form XIV can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form XV*

Provided herein is a solid form of Compound 1 having Form XV, which is described below in the Examples.

In some embodiments, Form XV has at least one characteristic XRPD peaks selected from about 8.9, about 9.2, about 15.6, about 18.5, and about 22.4.

In some embodiments, Form XV has at least two characteristic XRPD peaks selected from about 8.9, about 9.2, about 15.6, about 18.5, and about 22.4.

In some embodiments, Form XV has at least three characteristic XRPD peaks selected from about 8.9, about 9.2, about 15.6, about 18.5, and about 22.4.

In some embodiments, Form XV has at least one characteristic XRPD peak selected from about 3.9, about 8.9, about 9.2, about 15.6, about 16.6, about 18.5, about 20.3, about 21.4, about 21.8, about 22.4, about 24.5, about 24.9, about 30.0, and about 31.1 degrees 2-theta.

In some embodiments, Form XV has at least two characteristic XRPD peaks selected from about 3.9, about 8.9, about 9.2, about 15.6, about 16.6, about 18.5, about 20.3, about 21.4, about 21.8, about 22.4, about 24.5, about 24.9, about 30.0, and about 31.1 degrees 2-theta.

In some embodiments, Form XV has at least three characteristic XRPD peaks selected from about 3.9, about 8.9, about 9.2, about 15.6, about 16.6, about 18.5, about 20.3, about

21.4, about 21.8, about 22.4, about 24.5, about 24.9, about 30.0, and about 31.1 degrees 2-theta.

In some embodiments, Form XV has an XRPD pattern with characteristic peaks as substantially shown in Figure 48.

5 In some embodiments, Form XV exhibits a DSC thermogram having endotherm peaks at temperatures of about 119 °C and 276 °C. In some embodiments, Form XV exhibits a DSC thermogram having an endotherm peak at a temperature of about 119 °C. In some embodiments, Form XV exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form XV has a DSC thermogram  
10 substantially as depicted in Figure 49. In some embodiments, Form XV has a TGA thermogram substantially as depicted in Figure 50.

In some embodiments, Form XV has at least one characteristic XRPD peaks selected from about 8.9, about 9.2, about 15.6, about 18.5, and about 22.4; and Form XV exhibits a DSC thermogram having endotherm peaks at temperatures of about 119 °C and 276 °C.

15 Provided herein are also processes for preparing Form XV of Compound 1 comprising evaporating a saturated solution of Compound 1, Form I in DMSO at  $25 \pm 1$  °C.

In some embodiments, Form XV can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

20

#### *Compound 1 Form XVI*

Provided herein is a solid form of Compound 1 having Form XVI, which is described below in the Examples.

25 In some embodiments, Form XVI has at least one characteristic XRPD peaks selected from about 6.8, about 10.7, about 14.0, about 14.9, about 16.0, and about 19.9.

In some embodiments, Form XVI has at least two characteristic XRPD peaks selected from about 6.8, about 10.7, about 14.0, about 14.9, about 16.0, and about 19.9.

In some embodiments, Form XVI has at least three characteristic XRPD peaks selected from about 6.8, about 10.7, about 14.0, about 14.9, about 16.0, and about 19.9.

30 In some embodiments, Form XVI has at least one characteristic XRPD peak selected from about 6.8, about 9.4, about 10.7, about 14.0, about 14.9, about 16.0, about 17.5, about 18.5, about 19.2, about 19.9, about 22.2, about 23.5, about 24.5, about 25.4, about 25.7, about 26.1, and about 30.2 degrees 2-theta.



In some embodiments, Form XVI has at least two characteristic XRPD peaks selected from about 6.8, about 9.4, about 10.7, about 14.0, about 14.9, about 16.0, about 17.5, about 18.5, about 19.2, about 19.9, about 22.2, about 23.5, about 24.5, about 25.4, about 25.7, about 26.1, and about 30.2 degrees 2-theta.

5 In some embodiments, Form XVI has at least three characteristic XRPD peaks selected from about 6.8, about 9.4, about 10.7, about 14.0, about 14.9, about 16.0, about 17.5, about 18.5, about 19.2, about 19.9, about 22.2, about 23.5, about 24.5, about 25.4, about 25.7, about 26.1, and about 30.2 degrees 2-theta.

10 In some embodiments, Form XVI has an XRPD pattern with characteristic peaks as substantially shown in Figure 51.

In some embodiments, Form XVI exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form XVI has a DSC thermogram substantially as depicted in Figure 52. In some embodiments, Form XVI has a TGA thermogram substantially as depicted in Figure 53.

15 In some embodiments, Form XVI has at least one characteristic XRPD peaks selected from about 6.8, about 10.7, about 14.0, about 14.9, about 16.0, and about 19.9; and Form XVI exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C.

Provided herein are also processes for preparing Form XVI of Compound 1 comprising evaporating a saturated solution of Compound 1, Form I in THF at  $50 \pm 1$  °C.

20 In some embodiments, Form XVI can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form XVII*

25 Provided herein is a solid form of Compound 1 having Form XVII, which is described below in the Examples.

In some embodiments, Form XVII has at least one characteristic XRPD peak selected from about 15.7, about 18.1, about 18.4, and about 32.2 degrees 2-theta.

30 In some embodiments, Form XVII has at least two characteristic XRPD peaks selected from about 15.7, about 18.1, about 18.4, and about 32.2 degrees 2-theta.

In some embodiments, Form XVII has at least three characteristic XRPD peaks selected from about 15.7, about 18.1, about 18.4, and about 32.2 degrees 2-theta.

In some embodiments, Form XVII has an XRPD pattern with characteristic peaks as substantially shown in Figure 54.

In some embodiments, Form XVII exhibits a DSC thermogram having endotherm peaks at temperatures of about 119 °C and 276 °C. In some embodiments, Form XVII exhibits a DSC thermogram having an endotherm peak at a temperature of about 119 °C. In some embodiments, Form XVII exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form XVII has a DSC thermogram substantially as depicted in Figure 55. In some embodiments, Form XVII has a TGA thermogram substantially as depicted in Figure 56.

In some embodiments, Form XVII has at least one characteristic XRPD peak selected from about 15.7, about 18.1, about 18.4, and about 32.2 degrees 2-theta; and Form XVII exhibits a DSC thermogram having endotherm peaks at temperatures of about 119 °C and 276 °C.

Provided herein are also processes for preparing Form XVII of Compound 1 comprising evaporating a saturated solution of Compound 1, Form I in DMSO at  $50 \pm 1$  °C.

In some embodiments, Form XVII can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form XVIII*

Provided herein is a solid form of Compound 1 having Form XVIII, which is described below in the Examples.

In some embodiments, Form XVIII has at least one characteristic XRPD peaks selected from about 9.4, about 14.6, about 16.2, about 17.5, about 18.8, about 22.3, and about 22.7 degrees 2-theta.

In some embodiments, Form XVIII has at least two characteristic XRPD peaks selected from about 9.4, about 14.6, about 16.2, about 17.5, about 18.8, about 22.3, and about 22.7 degrees 2-theta.

In some embodiments, Form XVIII has at least three characteristic XRPD peaks selected from about 9.4, about 14.6, about 16.2, about 17.5, about 18.8, about 22.3, and about 22.7 degrees 2-theta.

In some embodiments, Form XVIII has at least one characteristic XRPD peak selected from about 6.8, about 9.4, about 10.3, about 11.9, about 12.6, about 13.4, about 14.6,

about 16.2, about 17.5, about 18.3, about 18.8, about 20.8, about 22.3, about 22.7, and about 25.4 degrees 2-theta.

In some embodiments, Form XVIII has at least two characteristic XRPD peaks selected from about 6.8, about 9.4, about 10.3, about 11.9, about 12.6, about 13.4, about 14.6, about 16.2, about 17.5, about 18.3, about 18.8, about 20.8, about 22.3, about 22.7, and about 25.4 degrees 2-theta.

In some embodiments, Form XVIII has at least three characteristic XRPD peaks selected from about 6.8, about 9.4, about 10.3, about 11.9, about 12.6, about 13.4, about 14.6, about 16.2, about 17.5, about 18.3, about 18.8, about 20.8, about 22.3, about 22.7, and about 25.4 degrees 2-theta.

In some embodiments, Form XVIII has an XRPD pattern with characteristic peaks as substantially shown in Figure 57.

In some embodiments, Form XVIII exhibits a DSC thermogram having an endotherm peak at a temperatures of about 276 °C. In some embodiments, Form XVIII has a DSC thermogram substantially as depicted in Figure 58. In some embodiments, Form XVIII has a TGA thermogram substantially as depicted in Figure 59.

In some embodiments, Form XVIII has at least one characteristic XRPD peaks selected from about 9.4, about 14.6, about 16.2, about 17.5, about 18.8, about 22.3, and about 22.7 degrees 2-theta; and Form XVIII exhibits a DSC thermogram having an endotherm peak at a temperatures of about 276 °C.

Provided herein are also processes for preparing Form XVIII of Compound 1 comprising adding hexane to a saturated solution of Compound 1, Form I in chloroform.

In some embodiments, Form XVIII can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%.

In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form XIX*

Provided herein is a solid form of Compound 1 having Form XIX, which is described below in the Examples.

In some embodiments, Form XIX has at least one characteristic XRPD peaks selected from about 6.7, about 10.0, about 17.4, about 18.0, about 20.2, and about 21.4 degrees 2-theta.

In some embodiments, Form XIX has at least two characteristic XRPD peaks selected from about 6.7, about 10.0, about 17.4, about 18.0, about 20.2, and about 21.4 degrees 2-theta.

5 In some embodiments, Form XIX has at least three characteristic XRPD peaks selected from about 6.7, about 10.0, about 17.4, about 18.0, about 20.2, and about 21.4 degrees 2-theta.

In some embodiments, Form XIX has at least one characteristic XRPD peak selected from about 3.9, about 6.7, about 9.4, about 10.0, about 13.6, about 17.4, about 18.0, about 20.2, about 21.4, about 22.1, and about 25.0 degrees 2-theta.

10 In some embodiments, Form XIX has at least two characteristic XRPD peaks selected from about 3.9, about 6.7, about 9.4, about 10.0, about 13.6, about 17.4, about 18.0, about 20.2, about 21.4, about 22.1, and about 25.0 degrees 2-theta.

In some embodiments, Form XIX has at least three characteristic XRPD peaks selected from about 3.9, about 6.7, about 9.4, about 10.0, about 13.6, about 17.4, about 18.0, about 20.2, about 21.4, about 22.1, and about 25.0 degrees 2-theta.

In some embodiments, Form XIX has an XRPD pattern with characteristic peaks as substantially shown in Figure 60.

In some embodiments, Form XIX exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form XIX has a DSC thermogram substantially as depicted in Figure 61. In some embodiments, Form XIX has a TGA thermogram substantially as depicted in Figure 62.

20 In some embodiments, Form XIX has at least one characteristic XRPD peaks selected from about 6.7, about 10.0, about 17.4, about 18.0, about 20.2, and about 21.4 degrees 2-theta; and Form XIX exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C.

25 Provided herein are also processes for preparing Form XIX of Compound 1 comprising adding methanol to a saturated solution of Compound 1, Form I in dichloromethane.

In some embodiments, Form XIX can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

*Compound 1 Form XX*

Provided herein is a solid form of Compound 1 having Form XX, which is described below in the Examples.

5 In some embodiments, Form XX has at least one characteristic XRPD peaks selected from about 9.2, about 14.7, about 18.6, about 22.5, and about 23.0.

In some embodiments, Form XX has at least two characteristic XRPD peaks selected from about 9.2, about 14.7, about 18.6, about 22.5, and about 23.0.

In some embodiments, Form XX has at least three characteristic XRPD peaks selected from about 9.2, about 14.7, about 18.6, about 22.5, and about 23.0.

10 In some embodiments, Form XX has at least one characteristic XRPD peak selected from about 9.2, about 14.7, about 15.6, about 18.6, about 22.3, about 22.5, about 23.0, about 24.7, and about 29.5 degrees 2-theta.

In some embodiments, Form XX has at least two characteristic XRPD peaks selected from about 9.2, about 14.7, about 15.6, about 18.6, about 22.3, about 22.5, about 23.0, about 24.7, and about 29.5 degrees 2-theta.

15 In some embodiments, Form XX has at least three characteristic XRPD peaks selected from about 9.2, about 14.7, about 15.6, about 18.6, about 22.3, about 22.5, about 23.0, about 24.7, and about 29.5 degrees 2-theta.

In some embodiments, Form XX has an XRPD pattern with characteristic peaks as substantially shown in Figure 63.

20 In some embodiments, Form XX exhibits a DSC thermogram having endotherm peaks at temperatures of about 108 °C, 202 °C, and 277 °C. In some embodiments, Form XX exhibits a DSC thermogram having an endotherm peak at a temperature of about 108 °C. In some embodiments, Form XX exhibits a DSC thermogram having an endotherm peak at a temperature of about 202 °C. In some embodiments, Form XX exhibits a DSC thermogram having an endotherm peak at a temperature of about 277 °C. In some embodiments, Form XX has a DSC thermogram substantially as depicted in Figure 64. In some embodiments, Form XX has a TGA thermogram substantially as depicted in Figure 65.

25 In some embodiments, Form XX has at least one characteristic XRPD peaks selected from about 9.2, about 14.7, about 18.6, about 22.5, and about 23.0; and Form XX exhibits a DSC thermogram having endotherm peaks at temperatures of about 108 °C, 202 °C, and 277 °C.

Provided herein are also processes for preparing Form XX of Compound 1 comprising adding a saturated solution of Compound 1, Form I in dichloromethane to methyl t-butyl ether.

5 In some embodiments, Form XX can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

*Compound 1 Form XXI*

Provided herein is a solid form of Compound 1 having Form XXI, which is described below in the Examples.

10 In some embodiments, Form XXI has at least one characteristic XRPD peaks selected from about 10.3, about 14.2, about 20.7, about 22.6, about 24.2, and about 27.1 degrees 2-theta.

In some embodiments, Form XXI has at least two characteristic XRPD peaks selected from about 10.3, about 14.2, about 20.7, about 22.6, about 24.2, and about 27.1 degrees 2-theta.

15 In some embodiments, Form XXI has at least three characteristic XRPD peaks selected from about 10.3, about 14.2, about 20.7, about 22.6, about 24.2, and about 27.1 degrees 2-theta.

In some embodiments, Form XXI has at least one characteristic XRPD peak selected from about 3.9, about 6.5, about 10.3, about 13.2, about 14.2, about 17.5, about 19.4, about 20.7, about 22.6, about 24.2, and about 27.1 degrees 2-theta.

In some embodiments, Form XXI has at least two characteristic XRPD peaks selected from about 3.9, about 6.5, about 10.3, about 13.2, about 14.2, about 17.5, about 19.4, about 20.7, about 22.6, about 24.2, and about 27.1 degrees 2-theta.

25 In some embodiments, Form XXI has at least three characteristic XRPD peaks selected from about 3.9, about 6.5, about 10.3, about 13.2, about 14.2, about 17.5, about 19.4, about 20.7, about 22.6, about 24.2, and about 27.1 degrees 2-theta.

In some embodiments, Form XXI has an XRPD pattern with characteristic peaks as substantially shown in Figure 66.

30 In some embodiments, Form XXI exhibits a DSC thermogram having endotherm peaks at temperatures of about 201 °C, and 277 °C. In some embodiments, Form XXI exhibits a DSC thermogram having an endotherm peak at a temperature of about 201 °C. In some embodiments, Form XXI exhibits a DSC thermogram having an endotherm peak at a

temperature of about 277 °C. In some embodiments, Form XXI has a DSC thermogram substantially as depicted in Figure 67.

In some embodiments, Form XXI has at least two characteristic XRPD peaks selected from about 10.3, about 14.2, about 20.7, about 22.6, about 24.2, and about 27.1 degrees 2-theta; and Form XXI exhibits a DSC thermogram having endotherm peaks at temperatures of  
5 about 201 °C, and 277 °C.

Provided herein are also processes for preparing Form XXI of Compound 1 comprising adding a saturated solution of Compound 1, Form I in dichloromethane to toluene.

10 In some embodiments, Form XXI can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form XXII*

15 Provided herein is a solid form of Compound 1 having Form XXII, which is described below in the Examples.

In some embodiments, Form XXII has at least one characteristic XRPD peaks selected from about 6.8, about 9.4, about 10.1, about 11.4, about 12.1, about 14.3, about 17.5, about 18.5, and about 22.2 degrees 2-theta.

20 In some embodiments, Form XXII has at least two characteristic XRPD peaks selected from about 6.8, about 9.4, about 10.1, about 11.4, about 12.1, about 14.3, about 17.5, about 18.5, and about 22.2 degrees 2-theta.

In some embodiments, Form XXII has at least three characteristic XRPD peaks selected from about 6.8, about 9.4, about 10.1, about 11.4, about 12.1, about 14.3, about  
25 17.5, about 18.5, and about 22.2 degrees 2-theta.

In some embodiments, Form XXII has at least one characteristic XRPD peak selected from about 6.8, about 9.4, about 10.1, about 11.4, about 12.1, about 13.3, about 14.3, about 15.8, about 17.5, about 18.0, about 18.5, about 19.2, about 19.8, about 22.2, about 25.3, and about 26.1 degrees 2-theta.

30 In some embodiments, Form XXII has at least two characteristic XRPD peaks selected from about 6.8, about 9.4, about 10.1, about 11.4, about 12.1, about 13.3, about 14.3, about 15.8, about 17.5, about 18.0, about 18.5, about 19.2, about 19.8, about 22.2, about 25.3, and about 26.1 degrees 2-theta.

In some embodiments, Form XXII has at least three characteristic XRPD peaks selected from about 6.8, about 9.4, about 10.1, about 11.4, about 12.1, about 13.3, about 14.3, about 15.8, about 17.5, about 18.0, about 18.5, about 19.2, about 19.8, about 22.2, about 25.3, and about 26.1 degrees 2-theta.

5 In some embodiments, Form XXII has an XRPD pattern with characteristic peaks as substantially shown in Figure 68.

In some embodiments, Form XXII exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form XXII has a DSC thermogram substantially as depicted in Figure 69.

10 In some embodiments, Form XXII has at least one characteristic XRPD peaks selected from about 6.8, about 9.4, about 10.1, about 11.4, about 12.1, about 14.3, about 17.5, about 18.5, and about 22.2 degrees 2-theta; and Form XXII exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C.

Provided herein are also processes for preparing Form XXII of Compound 1  
15 comprising adding a saturated solution of Compound 1, Form I in dichloromethane to methanol.

In some embodiments, Form XXII can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

20

#### *Compound 1 Form XXIII*

Provided herein is a solid form of Compound 1 having Form XXIII, which is described below in the Examples.

25 In some embodiments, Form XXIII has at least one characteristic XRPD peaks selected from about 12.0, about 12.7, about 21.0, about 24.9, and about 25.6 degrees 2-theta.

In some embodiments, Form XXIII has at least two characteristic XRPD peaks selected from about 12.0, about 12.7, about 21.0, about 24.9, and about 25.6 degrees 2-theta.

In some embodiments, Form XXIII has at least three characteristic XRPD peaks selected from about 12.0, about 12.7, about 21.0, about 24.9, and about 25.6 degrees 2-theta.

30 In some embodiments, Form XXIII has at least one characteristic XRPD peak selected from about 12.0, about 12.7, about 13.2, about 14.3, about 18.9, about 19.6, about 21.0, about 24.9, and about 25.6 degrees 2-theta.



In some embodiments, Form XXIII has at least two characteristic XRPD peaks selected from about 12.0, about 12.7, about 13.2, about 14.3, about 18.9, about 19.6, about 21.0, about 24.9, and about 25.6 degrees 2-theta.

5 In some embodiments, Form XXIII has at least three characteristic XRPD peaks selected from about 12.0, about 12.7, about 13.2, about 14.3, about 18.9, about 19.6, about 21.0, about 24.9, and about 25.6 degrees 2-theta.

In some embodiments, Form XXIII has an XRPD pattern with characteristic peaks as substantially shown in Figure 70.

10 In some embodiments, Form XXIII exhibits a DSC thermogram having an endotherm peak at a temperature of about 277 °C. In some embodiments, Form XXIII has a DSC thermogram substantially as depicted in Figure 71. In some embodiments, Form XXIII has a TGA thermogram substantially as depicted in Figure 72.

15 In some embodiments, Form XXIII has at least one characteristic XRPD peaks selected from about 12.0, about 12.7, about 21.0, about 24.9, and about 25.6 degrees 2-theta; and Form XXIII exhibits a DSC thermogram having an endotherm peak at a temperature of about 277 °C.

Provided herein are also processes for preparing Form XXIII of Compound 1 comprising cooling a 10 mL of saturated solution of Compound 1, Form I in dichloromethane to -20 °C, and holding the temperature at -20 °C for 3 h.

20 In some embodiments, Form XXIII can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

#### *Compound 1 Form XXIV*

25 Provided herein is a solid form of Compound 1 having Form XXIV, which is described below in the Examples.

In some embodiments, Form XXIV has at least one characteristic XRPD peaks selected from about 8.6, about 15.6, about 18.1, about 20.4, about 22.2, about 22.9, about 24.2, and about 25.5 degrees 2-theta.

30 In some embodiments, Form XXIV has at least two characteristic XRPD peaks selected from about 8.6, about 15.6, about 18.1, about 20.4, about 22.2, about 22.9, about 24.2, and about 25.5 degrees 2-theta.

In some embodiments, Form XXIV has at least three characteristic XRPD peaks selected from about 8.6, about 15.6, about 18.1, about 20.4, about 22.2, about 22.9, about 24.2, and about 25.5 degrees 2-theta.

5 In some embodiments, Form XXIV has at least one characteristic XRPD peak selected from about 8.6, about 9.7, about 13.8, about 15.6, about 17.4, about 18.1, about 19.4, about 20.4, about 22.2, about 22.9, about 24.2, about 25.5, about 26.0, about 27.5, and about 27.9 degrees 2-theta.

10 In some embodiments, Form XXIV has at least two characteristic XRPD peaks selected from about 8.6, about 9.7, about 13.8, about 15.6, about 17.4, about 18.1, about 19.4, about 20.4, about 22.2, about 22.9, about 24.2, about 25.5, about 26.0, about 27.5, and about 27.9 degrees 2-theta.

15 In some embodiments, Form XXIV has at least three characteristic XRPD peaks selected from about 8.6, about 9.7, about 13.8, about 15.6, about 17.4, about 18.1, about 19.4, about 20.4, about 22.2, about 22.9, about 24.2, about 25.5, about 26.0, about 27.5, and about 27.9 degrees 2-theta.

In some embodiments, Form XXIV has an XRPD pattern with characteristic peaks as substantially shown in Figure 73.

20 In some embodiments, Form XXIV exhibits a DSC thermogram having an endotherm peak at a temperature of about 277 °C. In some embodiments, Form XXIV has a DSC thermogram substantially as depicted in Figure 74. In some embodiments, Form XXIV has a TGA thermogram substantially as depicted in Figure 75.

25 In some embodiments, Form XXIV has at least one characteristic XRPD peaks selected from about 8.6, about 15.6, about 18.1, about 20.4, about 22.2, about 22.9, about 24.2, and about 25.5 degrees 2-theta; and Form XXIV exhibits a DSC thermogram having an endotherm peak at a temperature of about 277 °C.

Provided herein are also processes for preparing Form XXIV of Compound 1 comprising cycling a saturated solution of Compound 1, Form I in DMF between 5-50 °C for a period of time (*e.g.*, at least three days or at least 72 hours).

30 In some embodiments, Form XXIV can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

*Compound 1 Form XXV*

Provided herein is a solid form of Compound 1 having Form XXV, which is described below in the Examples.

5 In some embodiments, Form XXV has at least one characteristic XRPD peaks selected from about 16.6, about 18.4, about 20.4, about 22.4, about 24.4, about 24.9 and about 29.9 degrees 2-theta.

In some embodiments, Form XXV has at least two characteristic XRPD peaks selected from about 16.6, about 18.4, about 20.4, about 22.4, about 24.4, about 24.9 and about 29.9 degrees 2-theta.

10 In some embodiments, Form XXV has at least three characteristic XRPD peaks selected from about 16.6, about 18.4, about 20.4, about 22.4, about 24.4, about 24.9 and about 29.9 degrees 2-theta.

In some embodiments, Form XXV has at least one characteristic XRPD peak selected from about 16.6, about 18.4, about 20.4, about 21.7, about 22.4, about 24.4, about 24.9, about 25.7, about 29.9, about 31.9, about 35.8, and about 38.9 degrees 2-theta.

In some embodiments, Form XXV has at least two characteristic XRPD peaks selected from about 16.6, about 18.4, about 20.4, about 21.7, about 22.4, about 24.4, about 24.9, about 25.7, about 29.9, about 31.9, about 35.8, and about 38.9 degrees 2-theta.

20 In some embodiments, Form XXV has at least three characteristic XRPD peaks selected from about 16.6, about 18.4, about 20.4, about 21.7, about 22.4, about 24.4, about 24.9, about 25.7, about 29.9, about 31.9, about 35.8, and about 38.9 degrees 2-theta.

In some embodiments, Form XXV has an XRPD pattern with characteristic peaks as substantially shown in Figure 76.

25 In some embodiments, Form XXV exhibits a DSC thermogram having endotherm peaks at temperatures of about 113 °C and 276 °C. In some embodiments, Form XXV exhibits a DSC thermogram having an endotherm peak at a temperature of about 113 °C. In some embodiments, Form XXV exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. In some embodiments, Form XXIV has a DSC thermogram substantially as depicted in Figure 77.

30 In some embodiments, Form XXV has at least one characteristic XRPD peaks selected from about 16.6, about 18.4, about 20.4, about 22.4, about 24.4, about 24.9 and about 29.9 degrees 2-theta; and Form XXV exhibits a DSC thermogram having endotherm peaks at temperatures of about 113 °C and 276 °C.

Provided herein are also processes for preparing Form XXV of Compound 1 comprising cycling a saturated solution of Compound 1, Form I in DMSO between 5-50 °C for a period of time (*e.g.*, at least three days or at least 72 hours).

In some embodiments, Form XXV can be isolated with a purity of at least about 80%,  
5 about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

*Compound 1 Form XXVI*

Provided herein is a solid form of Compound 1 having Form XXVI, which is  
10 described below in the Examples.

In some embodiments, Form XXVI has at least one characteristic XRPD peaks selected from about 6.8, about 9.9, about 19.9, and about 26.1 degrees 2-theta.

In some embodiments, Form XXVI has at least two characteristic XRPD peaks selected from about 6.8, about 9.9, about 19.9, and about 26.1 degrees 2-theta.

15 In some embodiments, Form XXVI has at least three characteristic XRPD peaks selected from about 6.8, about 9.9, about 19.9, and about 26.1 degrees 2-theta.

In some embodiments, Form XXVI has at least one characteristic XRPD peak selected from about 6.8, about 9.4, about 9.9, about 10.6, about 19.9, about 25.7, about 26.1, and about 27.4 degrees 2-theta.

20 In some embodiments, Form XXVI has at least two characteristic XRPD peaks selected from about 6.8, about 9.4, about 9.9, about 10.6, about 19.9, about 25.7, about 26.1, and about 27.4 degrees 2-theta.

In some embodiments, Form XXVI has at least three characteristic XRPD peaks selected from about 6.8, about 9.4, about 9.9, about 10.6, about 19.9, about 25.7, about 26.1,  
25 and about 27.4 degrees 2-theta.

In some embodiments, Form XXVI has an XRPD pattern with characteristic peaks as substantially shown in Figure 78.

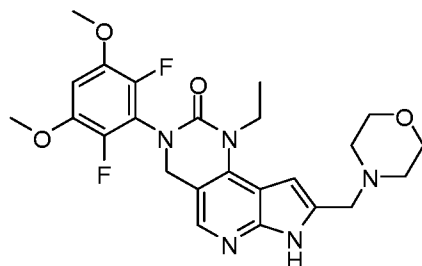
Provided herein are also processes for preparing Form XXVI of Compound 1 comprising drying Compound 1, Form V under vacuum at 50 °C for a period of time (*e.g.*, 3  
30 days).

In some embodiments, Form XXVI can be isolated with a purity of at least about 80%, about 85%, about 90%, about 95%, about 96%, about 97%, about 98%, or about 99%. In some embodiments, Form I can be isolated with a purity greater than about 99%.

*Process for Preparation of Compound 1*

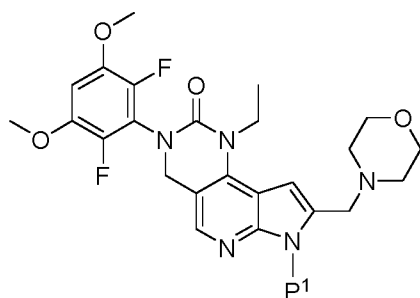
The present application further provides a process of preparing Compound 1, where the process can be suitable for scale up. A process of preparing Compound 1 is described in US Patent No. 9,611,267, the entirety of which is incorporated herein by reference.

5 The present invention provides a process of making Compound 1 having the formula:



Compound 1

or a salt thereof, comprising a) deprotecting Compound F8 having the formula:



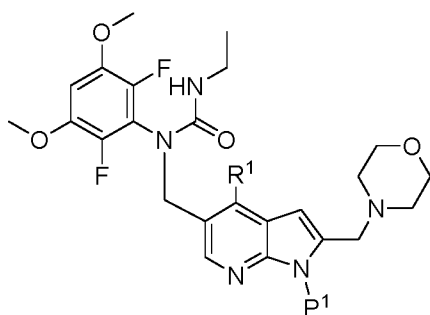
Compound F8

10 or a salt thereof, wherein P<sup>1</sup> is an amino protecting group. In some embodiments, P<sup>1</sup> is arylsulfonyl. In some embodiments, P<sup>1</sup> is phenylsulphonyl or toluenesulfonyl.

In some embodiments, the deprotecting in step a) comprises reacting Compound F8 with a suitable deprotecting agent. In some embodiments, the deprotecting comprises treating with base. In some embodiments, the base is sodium hydroxide or potassium hydroxide. In  
15 some embodiments, the base is aqueous sodium hydroxide or aqueous potassium hydroxide. In some embodiments, the aqueous base is a 1 M solution of the base. In some embodiments, the deprotection is carried out in a suitable solvent at a temperature of 70-90 °C (*e.g.*, 80 °C). In some embodiments, the suitable solvent is 1,4-dioxane. In some embodiments, the base is present in  $\geq 2$ ,  $\geq 3$ , or  $\geq 4$  molar equivalents relative to the compound of Formula II.

20 In some embodiments, Compound 1 and Compound F8 are, preferably, in their non-salt forms.

In some embodiments, Compound F8, or a salt thereof, is produced by a process comprising b) reacting Compound F7 having the formula:



Compound F7

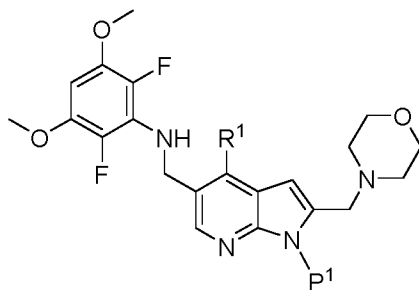
or a salt thereof, wherein R<sup>1</sup> is Cl, Br, or I, with a base.

- 5 In some embodiments, Compound F7 and Compound F8 are, preferably, in their non-salt forms.

In some embodiments, the base in step b) is lithium hexamethyldisilazide (“LHMDS”), sodium hexamethyldisilazide (“NHMDS”), potassium hexamethyldisilazide (“KHMDS”), or lithium diisopropyl amide (“LDA”). In some embodiments, the reaction of

- 10 Compound F7 and the base is carried out in THF at ambient temperature.

In some embodiments, Compound F7, or a salt thereof, is produced by a process comprising c) reacting Compound F6 having the formula:



Compound F6

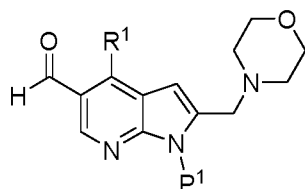
or a salt thereof, with ethyl isocyanate.

- 15 In some embodiments, the process further comprises purifying Compound F7. In some embodiments, the purifying comprises mixing compound F7 with a first organic solvent at an elevated temperature to produce a first mixture; filtering the first mixture to produce a first solid; mixing the first solid with water to produce a second mixture; filtering the second mixture to produce a second solid; and mixing the second solid with a second organic solvent. In certain embodiments, the first organic solvent is acetonitrile and the second organic solvent is heptane.
- 20

In some embodiments, the reacting in step c) is carried out in the presence of an acid. In some embodiments, the acid is methanesulfonic acid, toluenesulfonic acid, or HCl.

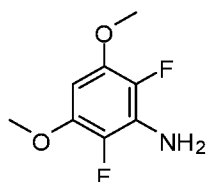
In some embodiments, the reaction of Compound F6 with ethyl isocyanate is carried out in acetonitrile at ambient temperature.

In some embodiments, Compound F6, or a salt thereof, is produced by a process comprising d) reacting Compound F4 having the formula:



Compound F4

or a salt thereof, with Compound F5 having the formula:



Compound F5

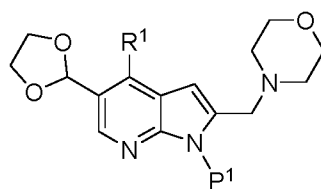
or a salt thereof, in the presence of a Lewis acid and a reducing agent, wherein P¹ is an amino protecting group and R¹ is Cl, Br or I.

In some embodiments, Compound F4 and Compound F5 are, preferably, in their non-salt forms.

In some embodiments, the Lewis acid in step d) is chlorotrimethylsilane. In some embodiments, the reducing agent is borane-THF complex ("BH<sub>3</sub>-THF").

In some embodiments, the reaction of Compound F4 and Compound F5 is carried out in DMF at room temperature.

In some embodiments, Compound F4, or a salt thereof, is produced by a process comprising e) reacting Compound F3 having the formula:



Compound F3

or a salt thereof, with an acid. In some embodiments, P¹ is an amino protecting group.

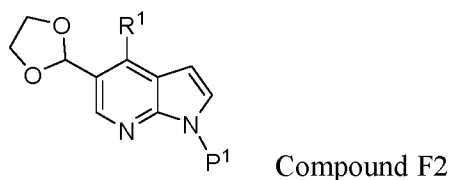
In some embodiments, R¹ is Cl, Br, or I.

In some embodiments, Compound F3 and Compound F4 are, preferably, in their non-salt forms.

In some embodiments, the acid in step e) is an aqueous acid. In some embodiments, the acid is HCl or sulfuric acid. In some embodiments, the acid is aqueous HCl or aqueous sulfuric acid.

5 In some embodiments, the reaction of a Compound F3 is carried out in dichloromethane at room temperature.

In some embodiments, Compound F3, or a salt thereof, is produced by a process comprising f) reacting Compound F2 having the formula:



10 or a salt thereof, with N-formylmorpholine in the presence of base to form a mixture; and contacting the mixture with morpholine and an acid in the presence of a reducing agent.

In some embodiments, Compound F2 and Compound F3 are in their non-salt forms.

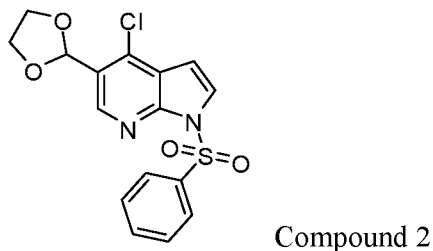
15 In some embodiments, the base in step f) is lithium diisopropylamide or lithium hexamethyldisilazide. In some embodiments, the reaction of Compound F2 with base is carried out in THF at a reduced temperature. In certain embodiments, the temperature is  $\leq -50\text{ }^{\circ}\text{C}$ ,  $\leq -60\text{ }^{\circ}\text{C}$ , or  $\leq -70\text{ }^{\circ}\text{C}$ .

In some embodiments, the reducing agent in step f) is sodium triacetoxyborohydride, sodium borohydride, or sodium cyanoborohydride. In some embodiments, the acid in step f) is acetic acid. In some embodiments, the reacting in step f) is carried out at ambient temperature.

20

The present invention provides a process for preparing Compound 1 comprising:

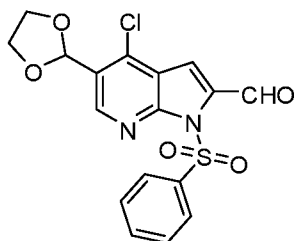
a) contacting compound 2 having the formula:



25

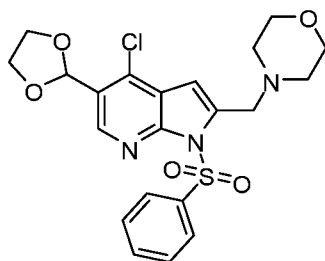


with N-formylmorpholine in the presence of a base to form compound 2a having the formula:



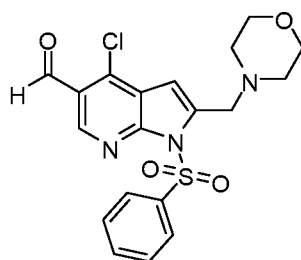
Compound 2a;

b) reacting compound 2a with morpholine in the presence of an acid and a reducing agent to form compound 3 having the formula:



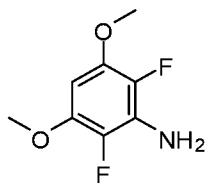
Compound 3;

c) converting compound 3 to compound 4 having the formula:



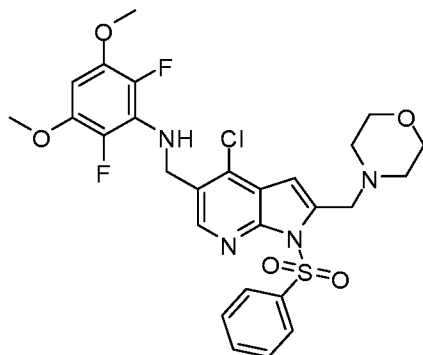
Compound 4;

d) reacting compound 4 with compound 5 having the formula:



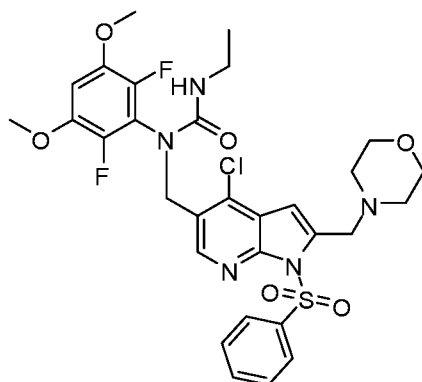
Compound 5

10 in the presence of a Lewis acid and a reducing agent to form compound 6 having the formula:



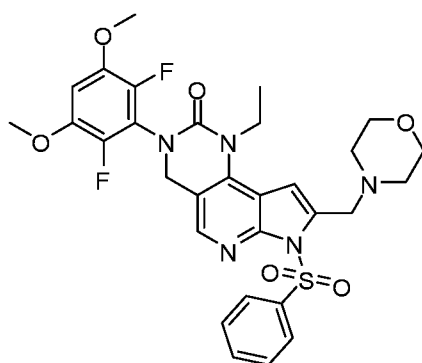
Compound 6;

e) reacting compound 6 with ethyl isocyanate to form compound 7 having the formula:



Compound 7;

f) contacting compound 7 with a base to form compound 8 having the formula:



Compound 8;

g) contacting compound 8 with a base to produce Form I of Compound 1.

In some embodiments, the process further comprises recrystallizing Compound 1 in a solvent to produce Form I of Compound 1. In some embodiments, the solvent is a mixture of dichloromethane and methyl t-butyl ether.

10 In some embodiments, the base in step a) is lithium diisopropyl amide.

In some embodiments, the acid in step b) is acetic acid. In some embodiments, the reducing agent in step b) is sodium triacetoxyborohydride. In some embodiments, the acid in step b) is acetic acid and the reducing agent in step b) is sodium triacetoxyborohydride.

15 In some embodiments, the converting in step c) is carried out in the presence of aqueous hydrochloric acid.

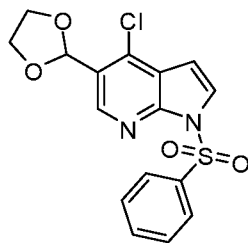
In some embodiments, the Lewis acid in step d) is chlorotrimethylsilane. In some embodiments, the reducing agent is  $\text{BH}_3\text{-THF}$ . In some embodiments, the Lewis acid in step d) is chlorotrimethylsilane and the reducing agent in step d) is  $\text{BH}_3\text{-THF}$ .

20 In some embodiments, the reacting in step e) further comprises purifying Compound 7.

In some embodiments, the base in step f) is lithium hexamethyldisilazide.

In some embodiments, the base in step g) is NaOH.

The present invention provides a process for preparing Compound 2 having the formula:



Compound 2

comprising:

- a) contacting 1H-pyrrolo[2,3-b]pyridine with an oxidizing agent to form 1H-pyrrolo[2,3-b]pyridine 7-oxide;
- b) reacting 1H-pyrrolo[2,3-b]pyridine 7-oxide with a chlorination agent to form 4-chloro-1H-pyrrolo[2,3-b]pyridine;
- c) reacting 4-chloro-1H-pyrrolo[2,3-b]pyridine with triisopropylsilyl chloride in the presence of a base to form 4-chloro-1-(triisopropylsilyl)-1H-pyrrolo[2,3-b]pyridine;
- d) reacting 4-chloro-1-(triisopropylsilyl)-1H-pyrrolo[2,3-b]pyridine with N,N-dimethylformamide in the presence of a base to form 4-chloro-1H-pyrrolo [2, 3-b] pyridine-5-carbaldehyde;
- e) reacting 4-chloro-1H-pyrrolo [2, 3-b] pyridine-5-carbaldehyde with benzenesulfonyl chloride in the presence of a base to form 4-chloro-1-(phenylsulfonyl)-1H-pyrrolo [2, 3-b] pyridine-5-carbaldehyde; and
- f) reacting 4-chloro-1-(phenylsulfonyl)-1H-pyrrolo [2, 3-b] pyridine-5-carbaldehyde with ethylene glycol in the presence of an acid to produce compound 2.

In some embodiments, the oxidizing agent in step a) is m-chloroperoxybenzoic acid or hydrogen peroxide. In some embodiments, the reaction is carried out in a suitable solvent. In some embodiments, the reaction is carried out in dichloromethane. In some embodiments, the reaction is carried out at a reduced temperature. In some embodiments, the reaction was carried out between -5-15 °C or between 0-10 °C.

In some embodiments, the chlorination agent in step b) is methanesulfonyl chloride, thionyl chloride, or N-chlorosuccinimide. In some embodiments, the reaction is carried out in a suitable solvent. In some embodiments, the reaction is carried out in DMF. In some

embodiments, the reaction is carried out at an elevated temperature. In some embodiments, the reaction is carried out at  $\geq 70\text{ }^{\circ}\text{C}$ ,  $\geq 80\text{ }^{\circ}\text{C}$ , or  $\geq 90\text{ }^{\circ}\text{C}$ .

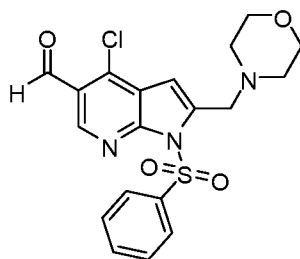
In some embodiments, the base in step c) is sodium hydride. In some embodiments, the reaction in step c) is carried out in a suitable solvent. In some embodiments, the reaction in step c) is carried out in THF. In some embodiments, the reaction in step c) is carried out at ambient temperature. In some embodiments, the reaction in step c) is carried out at  $\leq 30\text{ }^{\circ}\text{C}$  or  $\leq 25\text{ }^{\circ}\text{C}$ .

In some embodiments, the base in step d) is *n*-butyllithium, *s*-butyllithium, or *t*-butyllithium. In some embodiments, the reaction in step d) is carried out at a reduced temperature. In some embodiments, the reaction in step d) is carried out at  $\leq 30\text{ }^{\circ}\text{C}$ ,  $\leq 20\text{ }^{\circ}\text{C}$ ,  $\leq 10\text{ }^{\circ}\text{C}$ , or  $\leq 5\text{ }^{\circ}\text{C}$ .

In some embodiments, the base in step e) is cesium carbonate. In certain embodiments, the reaction in step e) is carried out in a suitable solvent. In certain embodiments, the reaction in step e) is carried out in dimethyl formamide. In some embodiments, the reaction in step e) is carried out at a temperature of  $\leq 30\text{ }^{\circ}\text{C}$ ,  $\leq 20\text{ }^{\circ}\text{C}$ ,  $\leq 10\text{ }^{\circ}\text{C}$ , or  $\leq 5\text{ }^{\circ}\text{C}$ . In some embodiments, the reaction in step e) is carried out in a suitable solvent at a range of temperatures, *i.e.* from  $0\text{ }^{\circ}\text{C}$  to ambient temperature.

In some embodiments, the acid in step f) is *p*-toluenesulfonic acid or HCl. In some embodiments, step f) is carried out at an elevated temperature. In some embodiments, step f) is carried out at reflux.

The present invention provides a process for preparing Compound 4 having the formula:

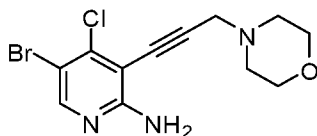


Compound 4

comprising:

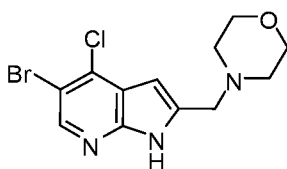
- a) contacting 2-amino-4-chloropyridine with a bromination agent to form 5-brom-4-chloropyridin-2-amine;
- b) contacting 5-brom-4-chloropyridin-2-amine with an iodination agent to form 5-bromo-4-chloro-3-iodopyridin-2-amine;

- c) reacting 5-bromo-4-chloro-3-iodopyridin-2-amine with 4-(prop-2-ynyl)morpholine in the presence of a catalyst to form Compound 9 having the formula:



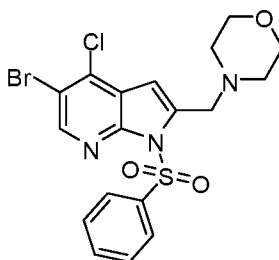
Compound 9

- 5 d) reacting Compound 9 with a base to form Compound 10 having the formula:



Compound 10

- e) reacting compound 10 with benzenesulfonyl chloride in the presence of a base to form compound 11 having the formula:



Compound 11

- 10 f) contacting compound 11 with an alkyl-magnesium halide agent to form a mixture and adding N,N-dimethylformamide to the mixture to produce Compound 4.

In some embodiments, the bromination agent in step a) is *N*-bromosuccinimide. In some embodiments, the contacting in step a) is carried out in a suitable solvent. In some embodiments, the contacting in step a) is carried out in acetonitrile. In some embodiments, the contacting in step a) is carried out at a reduced temperature. In some embodiments, the contacting in step a) is carried out at  $\leq 20^\circ\text{C}$  or  $\leq 15^\circ\text{C}$ . In some embodiments, the contacting in step a) is carried out between  $10\text{--}25^\circ\text{C}$  or between  $15\text{--}20^\circ\text{C}$ .

In some embodiments, the iodination agent in step b) is iodine. In some embodiments, the contacting in step b) is performed in the presence of one or more acids. In some embodiments, the contacting in step b) is performed in the presence of sulfuric acid and periodic acid. In some embodiments, the contacting in step b) is carried out at an elevated temperature. In some embodiments, the contacting in step b) is carried out between  $75\text{--}85^\circ\text{C}$  or  $77\text{--}83^\circ\text{C}$ .

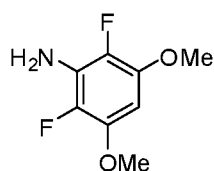
In some embodiments, the catalyst in step c) is a transition metal catalyst. In some  
embodiments, the catalyst in step c) comprises a combination of CuI and Pd(PPh<sub>3</sub>)<sub>4</sub>. In some  
embodiments, the reaction in step c) is carried out in a suitable solvent. In certain  
embodiments, the reaction in step c) is carried out in toluene. In certain embodiments, the  
5 reaction in step c) is carried out at an elevated temperature. In certain embodiments, the  
reaction in step c is carried out at  $\geq 40\text{ }^{\circ}\text{C}$ ,  $\geq 50\text{ }^{\circ}\text{C}$ , or  $\geq 60\text{ }^{\circ}\text{C}$ .

In some embodiments, the base in step d) is KO<sup>t</sup>Bu. In some embodiments, the  
reaction in step d) is carried out in a suitable solvent. In some embodiments, the reaction in  
step d) is carried out in THF. In certain embodiments, the reaction in step d) carried out at an  
10 elevated temperature. In certain embodiments, reaction in step d) is carried out between 25-  
40  $^{\circ}\text{C}$  or between 30-35  $^{\circ}\text{C}$ .

In some embodiments, the base in step e) is NaH. In some embodiments, the reaction  
in step e) is carried out in a suitable solvent. In some embodiments, the reaction in step e) is  
carried out in THF. In some embodiments, the reaction in step e) is carried out was carried  
15 out between -5-10  $^{\circ}\text{C}$  or 0-5  $^{\circ}\text{C}$ .

In some embodiments, the alkyl-magnesium halide agent in step e) is  
isopropylmagnesium chloride. In some embodiments, the contacting in step f) is carried out  
in a suitable solvent. In some embodiments, the contacting in step f) is carried out in THF.  
In some embodiments, the contacting in step f) is carried out between -15-5  $^{\circ}\text{C}$  or -10-0  $^{\circ}\text{C}$ .

20 The present invention provides a process for preparing Compound 5 having the  
formula:



Compound 5

comprising:

- 25 a) contacting pentafluorobenzoic acid with an alkyl alcohol in the presence of  
thionyl chloride to form methyl pentafluorobenzoate;
- b) reacting pentafluorobenzoate with benzylamine in the presence of base to form  
methyl-4-(benzylamino)-2,3,5,6-tetrafluorobenzoate;
- c) contacting methyl-4-(benzylamino)-2,3,5,6-tetrafluorobenzoate with sodium  
30 methoxide to form a mixture and adding water to the mixture to generate 4-  
(benzylamino)-3,5-difluoro-2,6-dimethoxybenzoic acid;

- d) heating 4-(benzylamino)-3,5-difluoro-2,6-dimethoxybenzoic acid to generate N-benzyl-2,6-difluoro-3,5-dimethoxyaniline; and
- e) reacting N-benzyl-2,6-difluoro-3,5-dimethoxyaniline with ammonium formate in the presence of palladium on carbon to form Compound 5.

5 In some embodiments, the alkyl alcohol in step a) is methanol. In certain embodiments, the contacting in step a) is performed at an elevated temperature. In some embodiments, the contacting in step a) is performed at reflux.

In some embodiments, the base in step b) is a tertiary amine. In some embodiments, the base in step b) is *N,N*-diisopropyl ethylamine. In some embodiments, the reaction in step  
10 b) is carried out in a suitable solvent. In some embodiments, the reaction in step b) is carried out in *N*-methylpyrrolidinone. In some embodiments, the reaction in step b) is carried out at an elevated temperature. In some embodiments, the reaction in step b) is carried out at  $\geq 55$  °C,  $\geq 60$  °C,  $\geq 65$  °C, or  $\geq 70$  °C.

In some embodiments, the contacting in step c) is performed in a suitable solvent. In  
15 some embodiments, the contacting in step c) is performed in methanol. In some embodiments, the contacting in step c) is performed at an elevated temperature. In some embodiments, the contacting in step c) is performed between 60-75 °C or 76-70 °C.

In some embodiments, the heating in step d) is carried out between 65-95 °C, between 70-90 °C, or between 75-85 °C. In some embodiments, the heating in step d) is performed  
20 neat. In some embodiments, the heating in step d) is performed without a solvent.

In some embodiments, the reaction in step e) is carried out in a suitable solvent. In some embodiments, reaction in step e) is carried out in a mixture of ethanol and acetic acid. In certain embodiments, the reaction in step e) is carried out in a 6:1 mixture of ethanol and acetic acid. In certain embodiments, the reaction in step e) is carried out at an elevated  
25 temperature. In certain embodiments, the reaction in step e) is carried out at  $\geq 40$  °C,  $\geq 50$  °C, or  $\geq 60$  °C.

The processes described herein can be monitored according to any suitable method known in the art. For example, product formation can be monitored by spectroscopic means,  
30 such as nuclear magnetic resonance spectroscopy (e.g.,  $^1\text{H}$  or  $^{13}\text{C}$ ), infrared spectroscopy, spectrophotometry (e.g., UV-visible), or mass spectrometry; or by chromatography such as high performance liquid chromatography (HPLC) or thin layer chromatography. The compounds obtained by the reactions can be purified by any suitable method known in the art. For example, chromatography (medium pressure) on a suitable adsorbent (e.g., silica gel,

alumina and the like), HPLC, or preparative thin layer chromatography; distillation; sublimation, trituration, or recrystallization. The purity of the compounds, in general, are determined by physical methods such as measuring the melting point (in case of a solid), obtaining a NMR spectrum, or performing a HPLC separation. If the melting point  
5 decreases, if unwanted signals in the NMR spectrum are decreased, or if extraneous peaks in an HPLC trace are removed, the compound can be said to have been purified. In some embodiments, the compounds are substantially purified.

Preparation of compounds can involve the protection and deprotection of various chemical groups. The need for protection and deprotection, and the selection of appropriate  
10 protecting groups can be readily determined by one skilled in the art. The chemistry of protecting groups can be found, for example, in Wuts and Greene, *Greene's Protective Groups in Organic Synthesis*, 4<sup>th</sup> Ed., John Wiley & Sons: New York, 2006, which is incorporated herein by reference in its entirety. As used herein, "amino protecting group" refers to any protecting group for the protection of amines. Example amino protecting groups  
15 include, but are not limited to, phenylsulfonyl, benzyloxycarbonyl (Cbz), 2,2,2-trichloroethoxycarbonyl (Troc), 2-(trimethylsilyl)ethoxycarbonyl (Teoc), 2-(4-trifluoromethylphenylsulfonyl)ethoxycarbonyl (Tsc), t-butoxycarbonyl (BOC), 1-adamantyloxycarbonyl (Adoc), 2-adamantylcarbonyl (2-Adoc), 2,4-dimethylpent-3-yloxycarbonyl (Doc), cyclohexyloxycarbonyl (Hoc), 1,1-dimethyl-2,2,2-  
20 trichloroethoxycarbonyl (TcBOC), vinyl, 2-chloroethyl, 2-phenylsulfonyl ethyl, allyl, benzyl, 2-nitrobenzyl, 4-nitrobenzyl, diphenyl-4-pyridylmethyl, N',N'-dimethylhydrazinyl, methoxymethyl, t-butoxymethyl (Bum), benzyloxymethyl (BOM), or 2-tetrahydropyranyl (THP), tri(C<sub>1-4</sub> alkyl)silyl (e.g., tri(isopropyl)silyl), 1,1-diethoxymethyl, or N-pivaloyloxymethyl (POM).

25 The reactions of the processes described herein can be carried out at appropriate temperatures which can be readily determined by the skilled artisan. Reaction temperatures will depend on, for example, the melting and boiling points of the reagents and solvent, if present; the thermodynamics of the reaction (e.g., vigorously exothermic reactions may need to be carried out at reduced temperatures); and the kinetics of the reaction (e.g., a high  
30 activation energy barrier may need elevated temperatures).

In some embodiments, concentrating a solution as described herein refers to a solution where its volume is reduced by letting the solvent evaporate, by heating the solution, by subjecting the solution to reduced pressure, or any combination thereof.



As used herein, the phrase “transition metal catalyst” refers to a metal catalyst, wherein the metal is a group VIII element in the periodic table (e.g., palladium or nickel catalyst) suitable to catalyze a carbon-carbon coupling reaction. Example transition metal catalysts include, but are not limited to,  $\text{PdCl}_2(\text{PPh}_3)_2$ ,  $\text{Pd}(\text{PPh}_3)_4$ , dichloro(bis{di-*tert*-butyl[4-(dimethylamino)phenyl]-phosphoranyl})palladium (Pd-132),  $\text{NiCl}_2(\text{dppf})$ , and  $\text{NiCl}_2(\text{dppp})$ , where (dppf) refers to 1,1'-bis(diphenylphosphino)ferrocene and (dppp) refers to 1,3-bis(diphenylphosphino)propane.

Example palladium catalysts include but are not limited to  $\text{PdCl}_2(\text{PPh}_3)_2$ ,  $\text{Pd}(\text{PPh}_3)_4$ , dichloro(bis{di-*tert*-butyl[4-(dimethylamino)phenyl]-phosphoranyl})palladium (Pd-132), palladium on carbon,  $\text{PdCl}_2$ ,  $\text{Pd}(\text{OAc})_2$ ,  $\text{PdCl}_2(\text{MeCN})_2$ , tris(dibenzylideneacetone)dipalladium(0) ( $\text{Pd}_2(\text{dba})_3$ ), 4-(di-*tert*-butylphosphino)-*N,N*-dimethylaniline-dichloropalladium (2:1),  $\text{Pd}(\text{dppf})\text{Cl}_2$  (e.g.,  $\text{Pd}(\text{dppf})\text{Cl}_2\text{-CH}_2\text{Cl}_2$ ), and tetrakis(tri(*o*-tolyl)phosphine)palladium(0).

In some embodiments, anti-solvent as described herein refers to a solvent where Compound 1 is less soluble relative to another solvent or solvent mixture in the solution. For example, anti-solvent can include but not limited to benzene, cyclohexane, pentane, hexane, heptane (e.g., *n*-heptane), toluene, cycloheptane, methylcyclohexane, heptane, ethylbenzene, *m*-, *o*-, or *p*-xylene, octane, indane, nonane, or naphthalene.

The reactions of the processes described herein can be carried out in suitable solvents which can be readily selected by one of skill in the art of organic synthesis. Suitable solvents can be substantially nonreactive with the starting materials (reactants), the intermediates, or products at the temperatures at which the reactions are carried out, e.g., temperatures which can range from the solvent's freezing temperature to the solvent's boiling temperature. A given reaction can be carried out in one solvent or a mixture of more than one solvent.

Depending on the particular reaction step, suitable solvents for a particular reaction step can be selected. In some embodiments, reactions can be carried out in the absence of solvent, such as when at least one of the reagents is a liquid or gas.

Suitable solvents can include halogenated solvents such as carbon tetrachloride, bromodichloromethane, dibromochloromethane, bromoform, chloroform, bromochloromethane, dibromomethane, butyl chloride, dichloromethane (methylene chloride), tetrachloroethylene, trichloroethylene, 1,1,1-trichloroethane, 1,1,2-trichloroethane, 1,1-dichloroethane, 2-chloropropane,  $\alpha,\alpha,\alpha$ -trifluorotoluene, 1,2-dichloroethane, 1,2-dibromoethane, hexafluorobenzene, 1,2,4-trichlorobenzene, 1,2-dichlorobenzene, chlorobenzene, fluorobenzene, mixtures thereof and the like.

Suitable ether solvents include: dimethoxymethane, tetrahydrofuran, 1,3-dioxane, 1,4-dioxane, furan, tetrahydrofuran (THF), diethyl ether, ethylene glycol dimethyl ether, ethylene glycol diethyl ether, diethylene glycol dimethyl ether (diglyme), diethylene glycol diethyl ether, triethylene glycol dimethyl ether, anisole, *tert*-butyl methyl ether, mixtures thereof and the like.

Suitable protic solvents can include, by way of example and without limitation, water, methanol, ethanol, 2-nitroethanol, 2-fluoroethanol, 2,2,2-trifluoroethanol, ethylene glycol, 1-propanol, 2-propanol, 2-methoxyethanol, 1-butanol, 2-butanol, *iso*-butyl alcohol, *tert*-butyl alcohol, 2-ethoxyethanol, diethylene glycol, 1-, 2-, or 3- pentanol, neo-pentyl alcohol, *tert*-pentyl alcohol, diethylene glycol monomethyl ether, diethylene glycol monoethyl ether, cyclohexanol, benzyl alcohol, phenol, or glycerol.

Suitable aprotic solvents can include, by way of example and without limitation, N,N-dimethylformamide (DMF), N,N-dimethylacetamide (DMA), 1,3-dimethyl-3,4,5,6-tetrahydro-2(1H)-pyrimidinone (DMPU), 1,3-dimethyl-2-imidazolidinone (DMI), N-methylpyrrolidinone (NMP), formamide, N-methylacetamide, N-methylformamide, acetonitrile, dimethyl sulfoxide, propionitrile, ethyl formate, methyl acetate, hexachloroacetone, acetone, ethyl methyl ketone, ethyl acetate, sulfolane, N,N-dimethylpropionamide, tetramethylurea, nitromethane, nitrobenzene, or hexamethylphosphoramide.

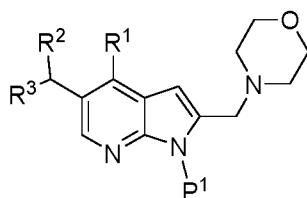
Suitable hydrocarbon solvents include benzene, cyclohexane, pentane, hexane, toluene, cycloheptane, methylcyclohexane, heptane, ethylbenzene, m-, o-, or p-xylene, octane, indane, nonane, or naphthalene.

The reactions of the processes described herein can be carried out in air or under an inert atmosphere. Typically, reactions containing reagents or products that are substantially reactive with air can be carried out using air-sensitive synthetic techniques that are well known to the skilled artisan.

The expressions, "ambient temperature" and "room temperature," as used herein, are understood in the art, and refer generally to a temperature, *e.g.*, a reaction temperature, that is about the temperature of the room in which the reaction is carried out, for example, a temperature from about 20 °C to about 30 °C. The term "elevated temperature" as used herein, is understood in the art, and refer generally to a temperature, *e.g.*, a reaction temperature, that is above room temperature, *e.g.*, above 30 °C.

*Compounds*

Provided herein is a compound of Formula F1:

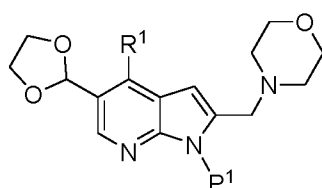


F1

wherein:

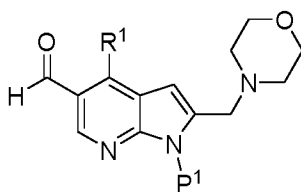
- 5           P<sup>1</sup> is an amino protecting group;  
               R<sup>1</sup> is Cl, Br or I;  
               R<sup>2</sup> and R<sup>3</sup> are each independently C<sub>1-6</sub> alkoxy; or  
               R<sup>2</sup> and R<sup>3</sup> taken together with the carbon atom to which they are attached form 1,3-dioxolan-2-yl or 1,3-dioxan-2-yl; or  
 10           R<sup>2</sup> and R<sup>3</sup> taken together form oxo.

In some embodiments, the compound of Formula F1 has Formula F3:



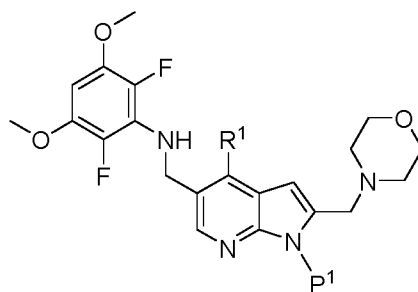
F3.

In some embodiments, the compound of Formula F1 has Formula F4:



F4.

15           In some embodiments, provided herein is a compound having Formula F6:

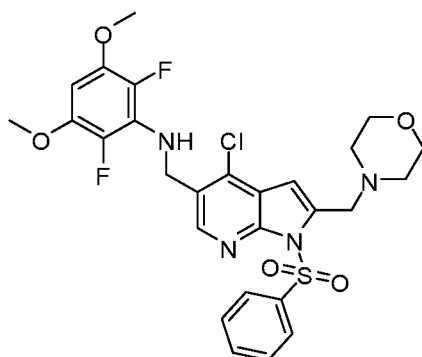


F6.

wherein:

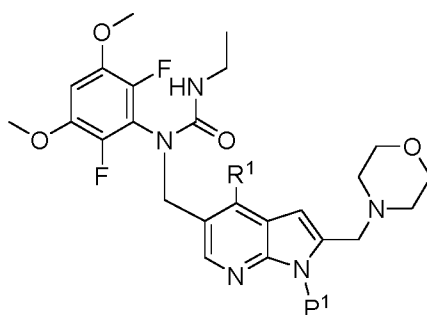
- P<sup>1</sup> is an amino protecting group;  
               R<sup>1</sup> is Cl, Br or I.

In some embodiments, the compound having Formula F6 is Compound 6:



Compound 6.

Provided herein is a compound having Formula F7:



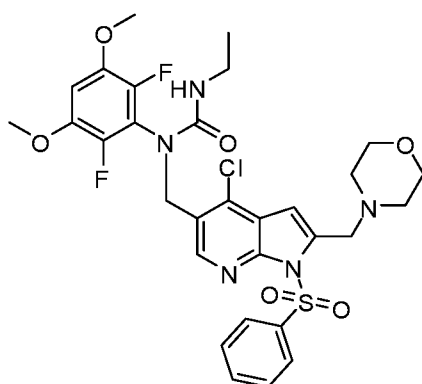
F7

5 wherein:

P<sup>1</sup> is an amino protecting group;

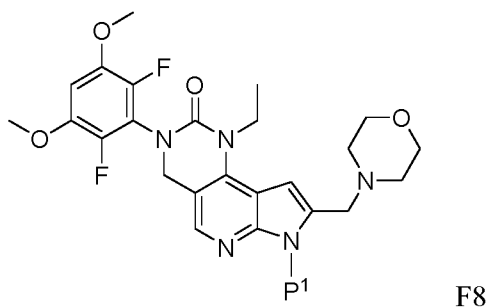
R<sup>1</sup> is Cl, Br or I.

In some embodiments, the compound having the F7 is Compound 7:



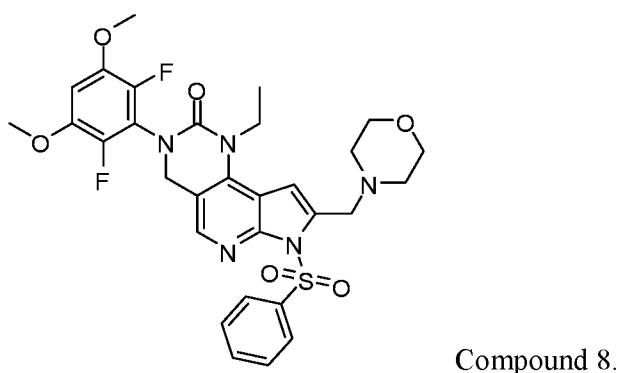
Compound 7.

In some embodiments, provided herein is a compound of Formula F8:

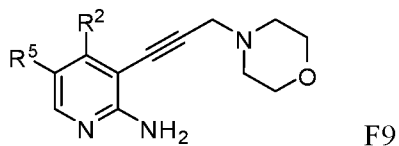


wherein P<sup>1</sup> is an amino protecting group.

In some embodiments, the compound of Formula F8 is Compound 8:

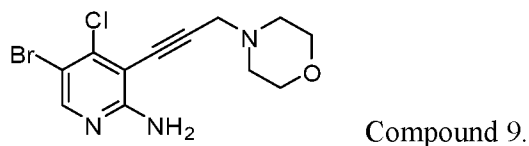


In some embodiments, provided herein is a compound of Formula F9:

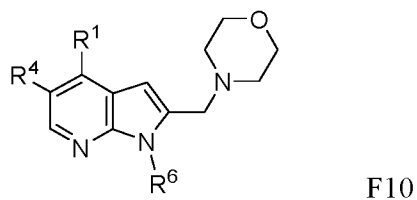


wherein R<sup>2</sup> and R<sup>5</sup> are each independently Br, Cl or I.

In some embodiments, the compound of Formula F9 is compound 9:



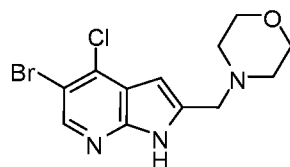
In some embodiments, provided herein is a compound of Formula F10:



wherein R<sup>1</sup> and R<sup>4</sup> are each independently Br, Cl or I; and

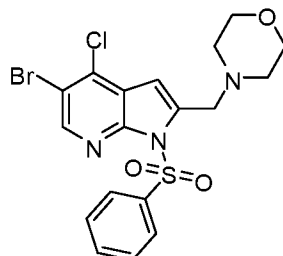
R<sup>6</sup> is H or benzenesulfonyl.

In some embodiments, the compound having the Formula F10 is Compound 10:



Compound 10

In some embodiments, the compound having the Formula F10 is Compound 11:



Compound 11.

5

### *Methods of Use*

Compound 1 or solid forms thereof as described herein can inhibit the activity of the FGFR enzyme. For example, Compound 1 can be used to inhibit activity of an FGFR enzyme in a cell or in an individual or patient in need of inhibition of the enzyme by administering an  
 10 inhibiting amount of Compound 1 to the cell, individual, or patient.

As FGFR inhibitors, Compound 1 is useful in the treatment of various diseases associated with abnormal expression or activity of the FGFR enzyme or FGFR ligands. Compounds which inhibit FGFR will be useful in providing a means of preventing the growth or inducing apoptosis in tumors, particularly by inhibiting angiogenesis. It is  
 15 therefore anticipated that Compound 1 will prove useful in treating or preventing proliferative disorders such as cancers. In particular tumors with activating mutants of receptor tyrosine kinases or upregulation of receptor tyrosine kinases may be particularly sensitive to the inhibitors.

In certain embodiments, the disclosure provides a method for treating a FGFR-  
 20 mediated disorder in a patient in need thereof, comprising the step of administering to said patient Compound 1, or a pharmaceutically acceptable composition thereof.

For example, Compound 1 or solid forms thereof are useful in the treatment of cancer. Example cancers include bladder cancer, breast cancer (e.g., hormone R positive, triple  
 25 negative), cervical cancer, colorectal cancer, cancer of the small intestine, colon cancer, rectal cancer, cancer of the anus, endometrial cancer, gastric cancer (e.g., gastrointestinal stromal tumors), head and neck cancer (e.g., cancers of the larynx, hypopharynx, nasopharynx,

oropharynx, lips, and mouth, squamous head and neck cancers), kidney cancer (e.g., renal cell carcinoma, urothelial carcinoma, sarcoma, Wilms tumor), liver cancer (e.g., hepatocellular carcinoma, cholangiocellular carcinoma, liver angiosarcoma, hepatoblastoma), lung cancer (e.g., adenocarcinoma, small cell lung cancer and non-small cell lung carcinomas, parvicellular and non-parvicellular carcinoma, bronchial carcinoma, bronchial adenoma, pleuropulmonary blastoma), ovarian cancer, prostate cancer, testicular cancer, uterine cancer, vulvar cancer, esophageal cancer, gall bladder cancer, pancreatic cancer (e.g. exocrine pancreatic carcinoma), stomach cancer, thyroid cancer, parathyroid cancer, neuroendocrine cancer (e.g., pheochromocytoma, Merkel cell cancer, neuroendocrine carcinoma), skin cancer (e.g., squamous cell carcinoma, Kaposi sarcoma, Merkel cell skin cancer), and brain cancer (e.g., astrocytoma, medulloblastoma, ependymoma, neuro-ectodermal tumors, pineal tumors).

Further example cancers include hematopoietic malignancies such as leukemia or lymphoma, multiple myeloma, chronic lymphocytic lymphoma, adult T cell leukemia, B-cell lymphoma, cutaneous T-cell lymphoma, acute myelogenous leukemia, Hodgkin's or non-Hodgkin's lymphoma, myeloproliferative neoplasms (e.g., 8p11 myeloproliferative syndrome, polycythemia vera, essential thrombocythemia, and primary myelofibrosis), myelodysplastic syndrome, chronic eosinophilic leukemia, Waldenstrom's Macroglobulinemia, hairy cell lymphoma, chronic myelogenous lymphoma, acute lymphoblastic lymphoma, AIDS-related lymphomas, and Burkitt's lymphoma.

In certain embodiments, provided herein is a method of treating myeloid/lymphoid neoplasms in a patient in need thereof. In certain embodiments, the myeloid/lymphoid neoplasms are 8p11 myeloproliferative syndrome. As used herein, the term "8p11 myeloproliferative syndrome" (EMS) is meant to refer to myeloid/lymphoid neoplasms associated with eosinophilia and abnormalities of FGFR1 or myeloid/lymphoid neoplasms (MLN) with FGFR1 rearrangement. Eight P eleven myeloproliferative syndrome is reviewed in Jackson, Courtney C., et.al. *Human Pathology*, 2010, 41, 461-476. The defining feature of EMS is the presence of a translocation involving FGFR1 gene located on the chromosome 8p11 locus, and at least 10 additional translocations and 1 insertion have been identified in EMS, each disrupting FGFR1 and creating novel fusion genes with various partners. See Jackson, Courtney C., et.al. *Human Pathology*, 2010, 41, 461-476.

In some embodiments, the myeloid/lymphoid neoplasm is characterized by FGF/FGFR genetic alteration. In certain embodiments, the myeloid/lymphoid neoplasm exhibits FGFR1 fusion. The FGFR1 fusion can be a translocation, interstitial deletion, or a

chromosomal inversion. In some embodiments, the FGFR1 fusion is an FGFR1 translocation. In certain embodiments, the myeloid/lymphoid neoplasm exhibits an 8p11 translocation. In certain embodiments, the 8p11 translocation is associated with activation of FGFR1. In some embodiments, the myeloid/lymphoid neoplasm exhibits FGF/FGFR alterations other than FGFR1 translocations. In certain embodiments, the patient has failed at least one previous treatment for myeloid/lymphoid neoplasms (e.g., 8p11 myeloproliferative syndrome). In some embodiments, the previous treatment is surgery or radiation therapy. In some embodiments, the patient has a history of hepatitis. In some embodiments, the hepatitis is chronic hepatitis B or hepatitis C. In some embodiments, the patient does not have a history of hepatitis.

In certain embodiments, provided herein is a method of treating cancer comprising administering to a patient in need thereof a therapeutically effect amount of Compound 1 or a solid form thereof. In certain embodiments, the cancer is selected from bladder cancer, breast cancer, cervical cancer, cancer of the small intestine, colorectal cancer, endometrial cancer, gastric cancer, head and neck cancer, kidney cancer, liver cancer, lung cancer, ovarian cancer, prostate cancer, testicular cancer, uterine cancer, vulvar cancer, esophageal cancer, gall bladder cancer, pancreatic cancer, thyroid cancer, skin cancer, brain cancer, leukemia, multiple myeloma, chronic lymphocytic lymphoma, adult T cell leukemia, B-cell lymphoma, acute myelogenous leukemia, Hodgkin's or non-Hodgkin's lymphoma, Waldenstrom's Macroglobulinemia, myeloproliferative neoplasms, chronic myelogenous lymphoma, acute lymphoblastic lymphoma, T lymphoblastic lymphoma, hairy cell lymphoma, Burkett's lymphoma, glioblastoma, melanoma, rhabdosarcoma, lymphosarcoma, and osteosarcoma.

In certain embodiments, the cancer is bladder cancer (e.g., urothelial carcinoma, squamous cell carcinoma, adenocarcinoma).

In certain embodiments, the liver cancer is cholangiocellular carcinoma (e.g., intrahepatic, hilar or perihilar, distal extrahepatic). As used herein, cholangiocellular carcinoma is the same as cholangiocarcinoma or bile duct cancer. In certain embodiments, the cholangiocarcinoma is advanced or metastatic cholangiocarcinoma. In certain embodiments, the cholangiocarcinoma is surgically unresectable. In certain embodiments, the cholangiocarcinoma is intrahepatic. In certain embodiments, the cholangiocarcinoma is extrahepatic. In certain embodiments, the cholangiocarcinoma exhibits FGFR2 tyrosine kinase fusions which define a certain molecular subtype as described in Arai, Yasuhito, et. al. *Hepatology*, 2014, 59, 1427-1434. In some embodiments, the cholangiocarcinoma is characterized by FGF/FGFR genetically altered tumors. In some embodiments, the tumors



exhibit FGFR2 fusions. The FGFR2 fusion can be a translocation, interstitial deletion, or a chromosomal inversion. In some embodiments, the FGFR2 fusion is an FGFR2 translocation. The FGFR2 translocations can be selected from a group including, but not limited to, FGFR2-BICC1, FGFR2-AHCYL1, FGFR2-MACF1, FGFR2 intron 17 rearrangement. In  
5 some embodiments, the tumor exhibits FGF/FGFR alterations other than FGFR2 translocations. In some embodiments, the cholangiocarcinoma does not exhibit FGF/FGFR genetically altered tumors.

Other cancers treatable with Compound 1 or solid forms thereof include tumors of the eye, glioblastoma, melanoma, rhabdosarcoma, lymphosarcoma, leiomyosarcoma, urothelial  
10 carcinoma (e.g., ureter, urethra, bladder, urachus), and osteosarcoma.

Compound 1 or solid forms thereof can also be useful in the inhibition of tumor metastases.

In some embodiments, compound 1 or solid forms as described herein can be used to treat Alzheimer's disease, HIV, or tuberculosis.

In addition to oncogenic neoplasms, the compounds of the invention can be useful in  
15 the treatment of skeletal and chondrocyte disorders including, but not limited to, achondroplasia, hypochondroplasia, dwarfism, thanatophoric dysplasia (TD) (clinical forms TD I and TD II), Apert syndrome, Crouzon syndrome, Jackson-Weiss syndrome, Beare-Stevenson cutis gyrate syndrome, Pfeiffer syndrome, and craniosynostosis syndromes.

The compounds of provided herein may further be useful in the treatment of fibrotic  
20 diseases, such as where a disease symptom or disorder is characterized by fibrosis. Example fibrotic diseases include liver cirrhosis, glomerulonephritis, pulmonary fibrosis, systemic fibrosis, rheumatoid arthritis, and wound healing.

In some embodiments, the compounds provided herein can be used in the treatment of  
25 a hypophosphatemia disorder such as, for example, X-linked hypophosphatemic rickets, autosomal recessive hypophosphatemic rickets, and autosomal dominant hypophosphatemic rickets, or tumor-induced osteomalacia.

In some embodiments, provided herein is a method of increasing survival or progression-free survival in a patient, comprising administering a compound provided herein  
30 to the patient. In some embodiments, the patient has cancer. In some embodiments, the patient has a disease or disorder described herein. In some embodiments, the patient has cholangiocarcinoma. In some embodiments, provided herein is a method of increasing survival or progression-free survival in a patient that has cholangiocarcinoma, wherein the cholangiocarcinoma is characterized by an FGFR2 fusion, comprising administering a

compound provided herein to the patient. As used herein, progression-free survival refers to the length of time during and after the treatment of a solid tumor that a patient lives with the disease but it does not get worse. Progression-free survival can refer to the length of time from first administering the compound until the earlier of death or progression of the disease.

5 Progression of the disease can be defined by RECIST v. 1.1 (Response Evaluation Criteria in Solid Tumors), as assessed by an independent centralized radiological review committee. In some embodiments, administering of the compound results in a progression free survival that is greater than about 1 month, about 2 months, about 3 months, about 4 months, about 5 months, about 6 months, about 8 months, about 9 months, about 12 months, about 16 months, 10 or about 24 months. In some embodiments, the administering of the compound results in a progression free survival that is at least about 1 month, about 2 months, about 3 months, about 4 months, about 5 months, about 6 months, about 8 months, about 9 months, or about 12 months; and less than about 24 months, about 16 months, about 12 months, about 9 months, about 8 months, about 6 months, about 5 months, about 4 months, about 3 months, or 15 about 2 months. In some embodiments, the administering of the compound results in an increase of progression free survival that is at least about 1 month, about 2 months, about 3 months, about 4 months, about 5 months, about 6 months, about 8 months, about 9 months, or about 12 months; and less than about 24 months, about 16 months, about 12 months, about 9 months, about 8 months, about 6 months, about 5 months, about 4 months, about 3 months, or 20 about 2 months.

As used herein, the term “cell” is meant to refer to a cell that is *in vitro*, *ex vivo* or *in vivo*. In some embodiments, an *ex vivo* cell can be part of a tissue sample excised from an organism such as a mammal. In some embodiments, an *in vitro* cell can be a cell in a cell culture. In some embodiments, an *in vivo* cell is a cell living in an organism such as a 25 mammal.

As used herein, the term “contacting” refers to the bringing together of indicated moieties in an *in vitro* system or an *in vivo* system. For example, “contacting” the FGFR enzyme with a compound described herein (e.g., Compound 1) includes the administration of a compound described herein to an individual or patient, such as a human, having FGFR, as 30 well as, for example, introducing a compound described herein (e.g., Compound 1) into a sample containing a cellular or purified preparation containing the FGFR enzyme.

As used herein, the term “individual” or “patient,” used interchangeably, refers to any animal, including mammals, preferably mice, rats, other rodents, rabbits, dogs, cats, swine, cattle, sheep, horses, or primates, and most preferably humans.

As used herein, the phrase "therapeutically effective amount" refers to the amount of active compound or pharmaceutical agent such as an amount of any of the solid forms or salts thereof as disclosed herein that elicits the biological or medicinal response in a tissue, system, animal, individual or human that is being sought by a researcher, veterinarian, medical doctor  
5 or other clinician. An appropriate "effective" amount in any individual case may be determined using techniques known to a person skilled in the art.

The phrase "pharmaceutically acceptable" is used herein to refer to those compounds, materials, compositions, and/or dosage forms which are, within the scope of sound medical judgment, suitable for use in contact with the tissues of human beings and animals without  
10 excessive toxicity, irritation, allergic response, immunogenicity or other problem or complication, commensurate with a reasonable benefit/risk ratio.

As used herein, the phrase "pharmaceutically acceptable carrier or excipient" refers to a pharmaceutically-acceptable material, composition, or vehicle, such as a liquid or solid filler, diluent, solvent, or encapsulating material. Excipients or carriers are generally safe,  
15 non-toxic and neither biologically nor otherwise undesirable and include excipients or carriers that are acceptable for veterinary use as well as human pharmaceutical use. In one embodiment, each component is "pharmaceutically acceptable" as defined herein. See, e.g., *Remington: The Science and Practice of Pharmacy*, 21st ed.; Lippincott Williams & Wilkins: Philadelphia, Pa., 2005; *Handbook of Pharmaceutical Excipients*, 6th ed.; Rowe et al., Eds.;  
20 The Pharmaceutical Press and the American Pharmaceutical Association: 2009; *Handbook of Pharmaceutical Additives*, 3rd ed.; Ash and Ash Eds.; Gower Publishing Company: 2007; *Pharmaceutical Preformulation and Formulation*, 2nd ed.; Gibson Ed.; CRC Press LLC: Boca Raton, Fla., 2009.

As used herein, the term "treating" or "treatment" refers to inhibiting the disease; for  
25 example, inhibiting a disease, condition or disorder in an individual who is experiencing or displaying the pathology or symptomatology of the disease, condition or disorder (*i.e.*, arresting further development of the pathology and/or symptomatology) or ameliorating the disease; for example, ameliorating a disease, condition or disorder in an individual who is experiencing or displaying the pathology or symptomatology of the disease, condition or  
30 disorder (*i.e.*, reversing the pathology and/or symptomatology) such as decreasing the severity of disease.

It is appreciated that certain features of the invention, which are, for clarity, described in the context of separate embodiments, can also be provided in combination in a single embodiment (while the embodiments are intended to be combined as if written in multiply

dependent form). Conversely, various features of the invention which are, for brevity, described in the context of a single embodiment, can also be provided separately or in any suitable subcombination.

## 5 *Combination Therapy*

One or more additional pharmaceutical agents or treatment methods such as, for example, anti-viral agents, chemotherapeutics or other anti-cancer agents, immune enhancers, immunosuppressants, radiation, anti-tumor and anti-viral vaccines, cytokine therapy (*e.g.*, IL2, GM-CSF, *etc.*), and/or tyrosine kinase inhibitors can be used in combination with

10 Compound 1 for treatment of FGFR-associated diseases, disorders or conditions, or diseases or conditions as described herein. The agents can be combined with the present compounds in a single dosage form, or the agents can be administered simultaneously or sequentially as separate dosage forms.

Compound 1 or solid forms thereof as described herein can be used in combination  
15 with one or more other kinase inhibitors for the treatment of diseases, such as cancer, that are impacted by multiple signaling pathways. For example, a combination can include one or more inhibitors of the following kinases for the treatment of cancer: Akt1, Akt2, Akt3, TGF- $\beta$ R, Pim, PKA, PKG, PKC, CaM-kinase, phosphorylase kinase, MEKK, ERK, MAPK, mTOR, EGFR, HER2, HER3, HER4, INS-R, IGF-1R, IR-R, PDGF $\alpha$ R, PDGF $\beta$ R, CSFIR,  
20 KIT, FLK-II, KDR/FLK-1, FLK-4, flt-1, FGFR1, FGFR2, FGFR3, FGFR4, c-Met, Ron, Sea, TRKA, TRKB, TRKC, FLT3, VEGFR/Flt2, Flt4, EphA1, EphA2, EphA3, EphB2, EphB4, Tie2, Src, Fyn, Lck, Fgr, Btk, Fak, SYK, FRK, JAK, ABL, ALK and B-Raf. Additionally, the solid forms of the FGFR inhibitor as described herein can be combined with inhibitors of kinases associated with the PIK3/Akt/mTOR signaling pathway, such as PI3K, Akt  
25 (including Akt1, Akt2 and Akt3) and mTOR kinases.

In some embodiments, Compound 1 or solid forms thereof as described herein can be used in combination with one or more inhibitors of the enzyme or protein receptors such as HPK1, SBLB, TUT4, A2A/A2B, CD47, CDK2, STING, ALK2, LIN28, ADAR1, MAT2a, RIOK1, HDAC8, WDR5, SMARCA2, and DCLK1 for the treatment of diseases and  
30 disorders. Exemplary diseases and disorders include cancer, infection, inflammation and neurodegenerative disorders.

In some embodiments, Compound 1 or solid forms thereof as described herein can be used in combination with a therapeutic agent that targets an epigenetic regulator. Examples of

epigenetic regulators include bromodomain inhibitors, the histone lysine methyltransferases, histone arginine methyl transferases, histone demethylases, histone deacetylases, histone acetylases, and DNA methyltransferases. Histone deacetylase inhibitors include, *e.g.*, vorinostat.

5 For treating cancer and other proliferative diseases, Compound 1 or solid forms thereof as described herein can be used in combination with targeted therapies, including JAK kinase inhibitors (Ruxolitinib, additional JAK1/2 and JAK1-selective, baricitinib or INCB39110), Pim kinase inhibitors (*e.g.*, INCB53914), PI3 kinase inhibitors including PI3K-  
 10 delta selective and broad spectrum PI3K inhibitors (*e.g.*, INCB50465 and INCB54707), PI3K-gamma inhibitors such as PI3K-gamma selective inhibitors, MEK inhibitors, CSF1R inhibitors, TAM receptor tyrosine kinases inhibitors (Tyro-3, Axl, and Mer; *e.g.*, INCB81776), angiogenesis inhibitors, interleukin receptor inhibitors, Cyclin Dependent  
 kinase inhibitors, BRAF inhibitors, mTOR inhibitors, proteasome inhibitors (Bortezomib, Carfilzomib), HDAC-inhibitors (panobinostat, vorinostat), DNA methyl transferase  
 15 inhibitors, dexamethasone, bromo and extra terminal family members inhibitors (for example, bromodomain inhibitors or BET inhibitors, such as INCB54329 or INCB57643), LSD1 inhibitors (*e.g.*, INCB59872 or INCB60003), arginase inhibitors (*e.g.*, INCB1158), indoleamine 2,3-dioxygenase inhibitors (*e.g.*, epacadostat, NLG919 or BMS-986205), and PARP inhibitors (*e.g.*, olaparib or rucaparib).

20 For treating cancer and other proliferative diseases, Compound 1 or solid forms thereof as described herein can be used in combination with chemotherapeutic agents, agonists or antagonists of nuclear receptors, or other anti-proliferative agents. Compound 1 or solid forms thereof can also be used in combination with a medical therapy such as surgery or radiotherapy, *e.g.*, gamma-radiation, neutron beam radiotherapy, electron beam radiotherapy,  
 25 proton therapy, brachytherapy, and systemic radioactive isotopes. Examples of suitable chemotherapeutic agents include any of: abarelix, aldesleukin, alemtuzumab, alitretinoin, allopurinol, altretamine, anastrozole, arsenic trioxide, asparaginase, azacitidine, baricitinib, bendamustine, bevacizumab, bexarotene, bleomycin, bortezomib, bortezomib, busulfan intravenous, busulfan oral, calusterone, capecitabine, carboplatin, carmustine, cetuximab,  
 30 chlorambucil, cisplatin, cladribine, clofarabine, cyclophosphamide, cytarabine, dacarbazine, dactinomycin, dalteparin sodium, dasatinib, daunorubicin, decitabine, denileukin, denileukin diftitox, dexrazoxane, docetaxel, doxorubicin, dromostanolone propionate, eculizumab, epirubicin, erlotinib, estramustine, etoposide phosphate, etoposide, exemestane, fentanyl citrate, filgrastim, floxuridine, fludarabine, fluorouracil, fulvestrant, gefitinib, gemcitabine,

gemtuzumab ozogamicin, goserelin acetate, histrelin acetate, ibritumomab tiuxetan, idarubicin, ifosfamide, imatinib mesylate, interferon alfa 2a, irinotecan, lapatinib ditosylate, lenalidomide, letrozole, leucovorin, leuprolide acetate, levamisole, lomustine, mecllorethamine, megestrol acetate, melphalan, mercaptopurine, methotrexate, methoxsalen, mitomycin C, mitotane, mitoxantrone, nandrolone phenpropionate, nelarabine, niraparib, 5 nofetumomab, olaparib, oxaliplatin, paclitaxel, pamidronate, panobinostat, panitumumab, pegaspargase, pegfilgrastim, pemetrexed disodium, pentostatin, pipobroman, plicamycin, procarbazine, quinacrine, rasburicase, rituximab, rucaparib, ruxolitinib, sorafenib, streptozocin, sunitinib, sunitinib maleate, tamoxifen, temozolomide, teniposide, testolactone, 10 thalidomide, thioguanine, thiotepa, topotecan, toremifene, tositumomab, trastuzumab, tretinoin, uracil mustard, valrubicin, vinblastine, vincristine, vinorelbine, vorinostat, veliparib, talazoparib and zoledronate.

In some embodiments, Compound 1 or solid forms thereof as described herein can be used in combination with immune checkpoint inhibitors. Exemplary immune checkpoint 15 inhibitors include inhibitors against immune checkpoint molecules such as CD27, CD28, CD40, CD122, CD96, CD73, CD47, OX40, GITR, CSF1R, JAK, PI3K delta, PI3K gamma, TAM, arginase, CD137 (also known as 4-1BB), ICOS, A2AR, B7-H3, B7-H4, BTLA, CTLA-4, LAG3 (e.g., INCAGN2385), TIM3 (e.g., INCB2390), VISTA, PD-1, PD-L1 and PD-L2. In some embodiments, the immune checkpoint molecule is a stimulatory checkpoint 20 molecule selected from CD27, CD28, CD40, ICOS, OX40 (e.g., INCAGN1949), GITR (e.g., INCAGN1876) and CD137. In some embodiments, the immune checkpoint molecule is an inhibitory checkpoint molecule selected from A2AR, B7-H3, B7-H4, BTLA, CTLA-4, IDO, KIR, LAG3, PD-1, TIM3, and VISTA. In some embodiments, the compounds provided herein can be used in combination with one or more agents selected from KIR inhibitors, 25 TIGIT inhibitors, LAIR1 inhibitors, CD160 inhibitors, 2B4 inhibitors and TGFR beta inhibitors.

In some embodiments, the inhibitor of an immune checkpoint molecule is anti-PD1 antibody, anti-PD-L1 antibody, or anti-CTLA-4 antibody.

In some embodiments, the inhibitor of an immune checkpoint molecule is a small 30 molecule PD-L1 inhibitor. In some embodiments, the small molecule PD-L1 inhibitor has an IC<sub>50</sub> less than 1  $\mu$ M, less than 100 nM, less than 10 nM or less than 1 nM in a PD-L1 assay described in US Patent Publication Nos. US 20170107216, US 20170145025, US 20170174671, US 20170174679, US 20170320875, US 20170342060, US 20170362253, and US 20180016260, each of which is incorporated by reference in its entirety for all purposes.

In some embodiments, the inhibitor of an immune checkpoint molecule is an inhibitor of PD-1, e.g., an anti-PD-1 monoclonal antibody. In some embodiments, the anti-PD-1 monoclonal antibody is MGA012, nivolumab, pembrolizumab (also known as MK-3475), pidilizumab, SHR-1210, PDR001, ipilimumab or AMP-224. In some embodiments, the anti-  
5 PD-1 monoclonal antibody is nivolumab or pembrolizumab. In some embodiments, the anti-PD1 antibody is nivolumab. In some embodiments, the anti-PD1 antibody is pembrolizumab. In some embodiments, the anti-PD-1 monoclonal antibody is MGA012. In some embodiments, the anti-PD1 antibody is SHR-1210. Other anti-cancer agent(s) include antibody therapeutics such as 4-1BB (e.g. urelumab, utomilumab).

10 In some embodiments, the inhibitor of an immune checkpoint molecule is an inhibitor of PD-L1, e.g., an anti-PD-L1 monoclonal antibody. In some embodiments, the anti-PD-L1 monoclonal antibody is BMS-935559, MEDI4736, MPDL3280A (also known as RG7446), or MSB0010718C. In some embodiments, the anti-PD-L1 monoclonal antibody is MPDL3280A or MEDI4736. In some embodiments, the PD-L1 inhibitor is INCB086550.

15 In some embodiments, the inhibitor of an immune checkpoint molecule is an inhibitor of CTLA-4, e.g., an anti-CTLA-4 antibody. In some embodiments, the anti-CTLA-4 antibody is ipilimumab.

In some embodiments, the inhibitor of an immune checkpoint molecule is an inhibitor of LAG3, e.g., an anti-LAG3 antibody. In some embodiments, the anti-LAG3  
20 antibody is BMS-986016 or LAG525.

In some embodiments, the inhibitor of an immune checkpoint molecule is an inhibitor of GITR, e.g., an anti-GITR antibody. In some embodiments, the anti-GITR antibody is TRX518 or MK-4166.

In some embodiments, the inhibitor of an immune checkpoint molecule is an inhibitor  
25 of OX40, e.g., an anti-OX40 antibody or OX40L fusion protein. In some embodiments, the anti-OX40 antibody is MEDI0562. In some embodiments, the OX40L fusion protein is MEDI6383.

In some embodiments, the salts of Compound 1 as described herein can be used in combination with one or more agents for the treatment of diseases such as cancer. In some  
30 embodiments, the agent is an alkylating agent, a proteasome inhibitor, a corticosteroid, or an immunomodulatory agent. Examples of an alkylating agent include cyclophosphamide (CY), melphalan (MEL), and bendamustine. In some embodiments, the proteasome inhibitor is carfilzomib. In some embodiments, the corticosteroid is dexamethasone (DEX). In some embodiments, the immunomodulatory agent is lenalidomide (LEN) or pomalidomide (POM).

Suitable antiviral agents contemplated for use in combination with Compound 1 can comprise nucleoside and nucleotide reverse transcriptase inhibitors (NRTIs), non-nucleoside reverse transcriptase inhibitors (NNRTIs), protease inhibitors and other antiviral drugs.

Example suitable NRTIs include zidovudine (AZT); didanosine (ddl); zalcitabine (ddC); stavudine (d4T); lamivudine (3TC); abacavir (1592U89); adefovir dipivoxil [bis(POM)-PMEA]; lobucavir (BMS-180194); BCH-10652; emitricitabine [(-)-FTC]; beta-L-FD4 (also called beta-L-D4C and named beta-L-2', 3'-dicleoxy-5-fluoro-cytidine); DAPD, ((-)-beta-D-2,6,-diamino-purine dioxolane); and lodenosine (FddA). Typical suitable NNRTIs include nevirapine (BI-RG-587); delaviradine (BHAP, U-90152); efavirenz (DMP-266); PNU-142721; AG-1549; MKC-442 (1-(ethoxy-methyl)-5-(1-methylethyl)-6-(phenylmethyl)-(2,4(1H,3H)-pyrimidinedione); and (+)-calanolide A (NSC-675451) and B. Typical suitable protease inhibitors include saquinavir (Ro 31-8959); ritonavir (ABT-538); indinavir (MK-639); nelfinavir (AG-1343); amprenavir (141W94); lasinavir (BMS-234475); DMP-450; BMS-2322623; ABT-378; and AG-1 549. Other antiviral agents include hydroxyurea, ribavirin, IL-2, IL-12, pentafuside and Yissum Project No.11607.

Suitable agents for use in combination with Compound 1 for the treatment of cancer include chemotherapeutic agents, targeted cancer therapies, immunotherapies or radiation therapy. Compound 1 may be effective in combination with anti-hormonal agents for treatment of breast cancer and other tumors. Suitable examples are anti-estrogen agents including but not limited to tamoxifen and toremifene, aromatase inhibitors including but not limited to letrozole, anastrozole, and exemestane, adrenocorticosteroids (e.g. prednisone), progestins (e.g. megastrol acetate), and estrogen receptor antagonists (e.g. fulvestrant). Suitable anti-hormone agents used for treatment of prostate and other cancers may also be combined with Compound 1. These include anti-androgens including but not limited to flutamide, bicalutamide, and nilutamide, luteinizing hormone-releasing hormone (LHRH) analogs including leuprolide, goserelin, triptorelin, and histrelin, LHRH antagonists (e.g. degarelix), androgen receptor blockers (e.g. enzalutamide) and agents that inhibit androgen production (e.g. abiraterone).

Compound 1 may be combined with or in sequence with other agents against membrane receptor kinases especially for patients who have developed primary or acquired resistance to the targeted therapy. These therapeutic agents include inhibitors or antibodies against EGFR, Her2, VEGFR, c-Met, Ret, IGFR1, or Flt-3 and against cancer-associated fusion protein kinases such as Bcr-Abl and EML4-Alk. Inhibitors against EGFR include gefitinib and erlotinib, and inhibitors against EGFR/Her2 include but are not limited to



dacomitinib, afatinib, lapatinib and neratinib. Antibodies against the EGFR include but are not limited to cetuximab, panitumumab and necitumumab. Inhibitors of c-Met may be used in combination with FGFR inhibitors. These include onartumzumab, tivantinib, and INC-280. Agents against Abl (or Bcr-Abl) include imatinib, dasatinib, nilotinib, and ponatinib and those against Alk (or EML4-ALK) include crizotinib.

Angiogenesis inhibitors may be efficacious in some tumors in combination with FGFR inhibitors. These include antibodies against VEGF or VEGFR or kinase inhibitors of VEGFR. Antibodies or other therapeutic proteins against VEGF include bevacizumab and aflibercept. Inhibitors of VEGFR kinases and other anti-angiogenesis inhibitors include but are not limited to sunitinib, sorafenib, axitinib, cediranib, pazopanib, regorafenib, brivanib, and vandetanib.

Activation of intracellular signaling pathways is frequent in cancer, and agents targeting components of these pathways have been combined with receptor targeting agents to enhance efficacy and reduce resistance. Examples of agents that may be combined with Compound 1 include inhibitors of the PI3K-AKT-mTOR pathway, inhibitors of the Raf-MAPK pathway, inhibitors of JAK-STAT pathway, and inhibitors of protein chaperones and cell cycle progression.

Agents against the PI3 kinase include but are not limited to pirlaralisib, idelalisib, buparlisib. Inhibitors of mTOR such as rapamycin, sirolimus, temsirolimus, and everolimus may be combined with FGFR inhibitors. Other suitable examples include but are not limited to vemurafenib and dabrafenib (Raf inhibitors) and trametinib, selumetinib and GDC-0973 (MEK inhibitors). Inhibitors of one or more JAKs (e.g., ruxolitinib, baricitinib, tofacitinib), Hsp90 (e.g., tanespimycin), cyclin dependent kinases (e.g., palbociclib), HDACs (e.g., panobinostat), PARP (e.g., olaparib), and proteasomes (e.g., bortezomib, carfilzomib) can also be combined with Compound 1. In some embodiments, the JAK inhibitor is selective for JAK1 over JAK2 and JAK3.

Other suitable agents for use in combination with Compound 1 include chemotherapy combinations such as platinum-based doublets used in lung cancer and other solid tumors (cisplatin or carboplatin plus gemcitabine; cisplatin or carboplatin plus docetaxel; cisplatin or carboplatin plus paclitaxel; cisplatin or carboplatin plus pemetrexed) or gemcitabine plus paclitaxel bound particles (Abraxane®).

Suitable chemotherapeutic or other anti-cancer agents include, for example, alkylating agents (including, without limitation, nitrogen mustards, ethylenimine derivatives, alkyl sulfonates, nitrosoureas and triazines) such as uracil mustard, chlormethine,

cyclophosphamide (Cytosan<sup>TM</sup>), ifosfamide, melphalan, chlorambucil, pipobroman, triethylene-melamine, triethylenethiophosphoramine, busulfan, carmustine, lomustine, streptozocin, dacarbazine, and temozolomide.

Other suitable agents for use in combination with Compound 1 include: dacarbazine (DTIC), optionally, along with other chemotherapy drugs such as carmustine (BCNU) and cisplatin; the “Dartmouth regimen,” which consists of DTIC, BCNU, cisplatin and tamoxifen; a combination of cisplatin, vinblastine, and DTIC; or temozolomide. Compound 1 may also be combined with immunotherapy drugs, including cytokines such as interferon alpha, interleukin 2, and tumor necrosis factor (TNF) in.

Suitable chemotherapeutic or other anti-cancer agents include, for example, antimetabolites (including, without limitation, folic acid antagonists, pyrimidine analogs, purine analogs and adenosine deaminase inhibitors) such as methotrexate, 5-fluorouracil, floxuridine, cytarabine, 6-mercaptopurine, 6-thioguanine, fludarabine phosphate, pentostatine, and gemcitabine.

Suitable chemotherapeutic or other anti-cancer agents further include, for example, certain natural products and their derivatives (for example, vinca alkaloids, antitumor antibiotics, enzymes, lymphokines and epipodophyllotoxins) such as vinblastine, vincristine, vindesine, bleomycin, dactinomycin, daunorubicin, doxorubicin, epirubicin, idarubicin, ara-C, paclitaxel (TAXOL<sup>TM</sup>), mithramycin, deoxycoformycin, mitomycin-C, L-asparaginase, interferons (especially IFN- $\alpha$ ), etoposide, and teniposide.

Other cytotoxic agents include navelbene, CPT-11, anastrozole, letrozole, capecitabine, reloxafine, cyclophosphamide, ifosamide, and droloxafine.

Also suitable are cytotoxic agents such as epipodophyllotoxin; an antineoplastic enzyme; a topoisomerase inhibitor; procarbazine; mitoxantrone; platinum coordination complexes such as cis-platin and carboplatin; biological response modifiers; growth inhibitors; antihormonal therapeutic agents; leucovorin; tegafur; and haematopoietic growth factors.

Other anti-cancer agent(s) include antibody therapeutics such as trastuzumab (Herceptin), antibodies to costimulatory molecules such as CTLA-4, 4-1BB, PD-L1 and PD-1 antibodies, or antibodies to cytokines (IL-10, TGF- $\beta$ , etc.).

Other anti-cancer agents also include those that block immune cell migration such as antagonists to chemokine receptors, including CCR2 and CCR4.

Other anti-cancer agents also include those that augment the immune system such as adjuvants or adoptive T cell transfer.

Anti-cancer vaccines include dendritic cells, synthetic peptides, DNA vaccines and recombinant viruses.

5       Methods for the safe and effective administration of most of these chemotherapeutic agents are known to those skilled in the art. In addition, their administration is described in the standard literature. For example, the administration of many of the chemotherapeutic agents is described in the "Physicians' Desk Reference" (PDR, e.g., 1996 edition, Medical Economics Company, Montvale, NJ), the disclosure of which is incorporated herein by  
10       reference as if set forth in its entirety.

#### *Pharmaceutical Formulations and Dosage Forms*

When employed as pharmaceuticals, Compound 1 as described herein can be administered in the form of pharmaceutical compositions which refers to a combination of  
15       Compound 1 as described herein, and at least one pharmaceutically acceptable carrier. These compositions can be prepared in a manner well known in the pharmaceutical art, and can be administered by a variety of routes, depending upon whether local or systemic treatment is desired and upon the area to be treated. Administration may be topical (including ophthalmic and to mucous membranes including intranasal, vaginal and rectal delivery), pulmonary (e.g.,  
20       by inhalation or insufflation of powders or aerosols, including by nebulizer; intratracheal, intranasal, epidermal and transdermal), ocular, oral or parenteral. Methods for ocular delivery can include topical administration (eye drops), subconjunctival, periocular or intravitreal injection or introduction by balloon catheter or ophthalmic inserts surgically placed in the conjunctival sac. Parenteral administration includes intravenous, intraarterial, subcutaneous,  
25       intraperitoneal, or intramuscular injection or infusion; or intracranial, e.g., intrathecal or intraventricular, administration. Parenteral administration can be in the form of a single bolus dose, or may be, for example, by a continuous perfusion pump. Pharmaceutical compositions and formulations for topical administration may include transdermal patches, ointments, lotions, creams, gels, drops, suppositories, sprays, liquids and powders. Conventional  
30       pharmaceutical carriers, aqueous, powder or oily bases, thickeners and the like may be necessary or desirable.

This disclosure also includes pharmaceutical compositions which contain, as the active ingredient, Compound 1 in combination with one or more pharmaceutically acceptable carriers. In making the compositions described herein, the active ingredient is typically mixed

with an excipient, diluted by an excipient or enclosed within such a carrier in the form of, for example, a capsule, sachet, paper, or other container. When the excipient serves as a diluent, it can be a solid, semi-solid, or liquid material, which acts as a vehicle, carrier or medium for the active ingredient. Thus, the compositions can be in the form of tablets, pills, powders, 5 lozenges, sachets, cachets, elixirs, suspensions, emulsions, solutions, syrups, aerosols (as a solid or in a liquid medium), ointments containing, for example, up to 10 % by weight of the active compound, soft and hard gelatin capsules, suppositories, sterile injectable solutions, and sterile packaged powders.

In preparing a formulation, the active compound can be milled to provide the 10 appropriate particle size prior to combining with the other ingredients. If the active compound is substantially insoluble, it can be milled to a particle size of less than 200 mesh. If the active compound is substantially water soluble, the particle size can be adjusted by milling to provide a substantially uniform distribution in the formulation, e.g. about 40 mesh.

Some examples of suitable excipients include lactose, dextrose, sucrose, sorbitol, 15 mannitol, starches, gum acacia, calcium phosphate, alginates, tragacanth, gelatin, calcium silicate, microcrystalline cellulose, polyvinylpyrrolidone, cellulose, water, syrup, and methyl cellulose. The formulations can additionally include: lubricating agents such as talc, magnesium stearate, and mineral oil; wetting agents; emulsifying and suspending agents; preserving agents such as methyl- and propylhydroxy-benzoates; sweetening agents; and 20 flavoring agents. The compositions described herein can be formulated so as to provide quick, sustained or delayed release of the active ingredient after administration to the patient by employing procedures known in the art.

The compositions can be formulated in a unit dosage form, each dosage containing from about 5 to about 100 mg, more usually about 10 to about 30 mg, of the active 25 ingredient. The term "unit dosage forms" refers to physically discrete units suitable as unitary dosages for human subjects and other mammals, each unit containing a predetermined quantity of active material calculated to produce the desired therapeutic effect, in association with a suitable pharmaceutical excipient.

The active compound can be effective over a wide dosage range and is generally 30 administered in a pharmaceutically effective amount. It will be understood, however, that the amount of the compound actually administered will usually be determined by a physician, according to the relevant circumstances, including the condition to be treated, the chosen route of administration, the actual compound administered, the age, weight, and response of the individual patient, the severity of the patient's symptoms, and the like.

For preparing solid compositions such as tablets, the principal active ingredient is mixed with a pharmaceutical excipient to form a solid pre-formulation composition containing a homogeneous mixture of Compound 1. When referring to these pre-formulation compositions as homogeneous, the active ingredient is typically dispersed evenly throughout the composition so that the composition can be readily subdivided into equally effective unit dosage forms such as tablets, pills and capsules. This solid pre-formulation is then subdivided into unit dosage forms of the type described above containing from, for example, 0.1 to about 500 mg of the active ingredient of the present disclosure.

The tablets or pills of the present disclosure can be coated or otherwise compounded to provide a dosage form affording the advantage of prolonged action. For example, the tablet or pill can comprise an inner dosage and an outer dosage component, the latter being in the form of an envelope over the former. The two components can be separated by an enteric layer which serves to resist disintegration in the stomach and permit the inner component to pass intact into the duodenum or to be delayed in release. A variety of materials can be used for such enteric layers or coatings, such materials including a number of polymeric acids and mixtures of polymeric acids with such materials as shellac, cetyl alcohol, and cellulose acetate.

The liquid forms in which the Compound 1, or compositions as described herein can be incorporated for administration orally or by injection include aqueous solutions, suitably flavored syrups, aqueous or oil suspensions, and flavored emulsions with edible oils such as cottonseed oil, sesame oil, coconut oil, or peanut oil, as well as elixirs and similar pharmaceutical vehicles.

Compositions for inhalation or insufflation include solutions and suspensions in pharmaceutically acceptable, aqueous or organic solvents, or mixtures thereof, and powders. The liquid or solid compositions may contain suitable pharmaceutically acceptable excipients as described supra. In some embodiments, the compositions are administered by the oral or nasal respiratory route for local or systemic effect. Compositions in can be nebulized by use of inert gases. Nebulized solutions may be breathed directly from the nebulizing device or the nebulizing device can be attached to a face masks tent, or intermittent positive pressure breathing machine. Solution, suspension, or powder compositions can be administered orally or nasally from devices which deliver the formulation in an appropriate manner.

The amount of compound or composition administered to a patient will vary depending upon what is being administered, the purpose of the administration, such as prophylaxis or therapy, the state of the patient, the manner of administration, and the like. In

therapeutic applications, compositions can be administered to a patient already suffering from a disease in an amount sufficient to cure or at least partially arrest the symptoms of the disease and its complications. Effective doses will depend on the disease condition being treated as well as by the judgment of the attending clinician depending upon factors such as the severity of the disease, the age, weight and general condition of the patient, and the like.

The compositions administered to a patient can be in the form of pharmaceutical compositions described above. These compositions can be sterilized by conventional sterilization techniques, or may be sterile filtered. Aqueous solutions can be packaged for use as is, or lyophilized, the lyophilized preparation being combined with a sterile aqueous carrier prior to administration. The pH of the compound preparations typically will be between 3 and 11, more preferably from 5 to 9 and most preferably from 7 to 8. It will be understood that use of certain of the foregoing excipients, carriers, or stabilizers will result in the formation of pharmaceutical salts.

The therapeutic dosage of Compound 1 can vary according to, for example, the particular use for which the treatment is made, the manner of administration of the compound, the health and condition of the patient, and the judgment of the prescribing physician. The proportion or concentration of Compound 1 in a pharmaceutical composition can vary depending upon a number of factors including dosage, chemical characteristics (e.g., hydrophobicity), and the route of administration. For example, Compound 1 can be provided in an aqueous physiological buffer solution containing about 0.1 to about 10% w/v of the compound for parenteral administration. Some typical dose ranges are from about 1 µg/kg to about 1 g/kg of body weight per day. In some embodiments, the dose range is from about 0.01 mg/kg to about 100 mg/kg of body weight per day. The dosage is likely to depend on such variables as the type and extent of progression of the disease or disorder, the overall health status of the particular patient, the relative biological efficacy of the compound selected, formulation of the excipient, and its route of administration. Effective doses can be extrapolated from dose-response curves derived from *in vitro* or animal model test systems.

Compound 1 can also be formulated in combination with one or more additional active ingredients which can include any pharmaceutical agent such as anti-viral agents, vaccines, antibodies, immune enhancers, immune suppressants, anti-inflammatory agents and the like.

In some embodiments, Compound 1 is administered orally. In some embodiments, Compound 1 is administered once daily. In some embodiments, Compound 1 is administered

in a daily dose of about 5 mg to about 20 mg. In some embodiments, Compound 1 is administered in a daily dose of about 10 mg to about 15 mg. In some embodiments, Compound 1 is administered in a daily dose of about 9 mg. In some embodiments, Compound 1 is administered in a daily dose of about 13.5 mg. In some embodiments, Compound 1 is administered as a tablet. In some embodiments, the tablet comprises about 0.5 mg to about 10 mg of Compound 1. In some embodiments, the tablet comprises about 0.5 mg to about 5 mg Compound 1. In some embodiments, the tablet comprises about 2 mg, about 4.5 mg, about 9 mg, about 13.5 mg, or about 18 mg of Compound 1. In some embodiments, the tablet comprises about 0.5 mg of Compound 1. In some embodiments, the tablet comprises about 2 mg of Compound 1. In some embodiments, the tablet comprises about 4.5 mg of Compound 1. In some embodiments, the tablet comprises about 9 mg of Compound 1. In some embodiments, the tablet comprises about 13.5 mg of Compound 1. In some embodiments, the tablet comprises about 18 mg of Compound 1.

In some embodiments, Compound 1 is administered once daily in a continuous dosing regimen. In some embodiments, Compound 1 is administered in a 21-day dosing regimen, wherein the 21-day dosing regimen comprises:

- (a) a first period wherein Compound 1 is administered once daily for 14 days; and
- (b) a second period wherein Compound 1 is not administered for 7 days.

In some embodiments, Compound 1 is administered in consecutive 21-day dosing regimens, wherein the 21-day dosing regimen comprises:

- (a) a first period wherein Compound 1 is administered once daily for 14 days; and
- (b) a second period wherein Compound 1 is not administered for 7 days.

## EXAMPLES

In the below examples, X-Ray Powder Diffraction analysis was carried out on a Rigaku MiniFlex X-ray Powder Diffractometer (XRPD) instrument. The general experimental procedures for XRPD were: (1) X-ray radiation from copper at 1.054056 Å with  $K_{\beta}$  filter; (2) X-ray power at 30 KV, 15 mA; and (3) the sample powder was dispersed on a zero-background sample holder. The general measurement conditions for XRPD were: Start Angle 3 degrees; Stop Angle 45 degrees; Sampling 0.02 degrees; and Scan speed 2 degree/min.

Differential Scanning Calorimetry (DSC) was carried out on a TA Instruments Differential Scanning Calorimetry, Model Q200 with autosampler. The DSC instrument

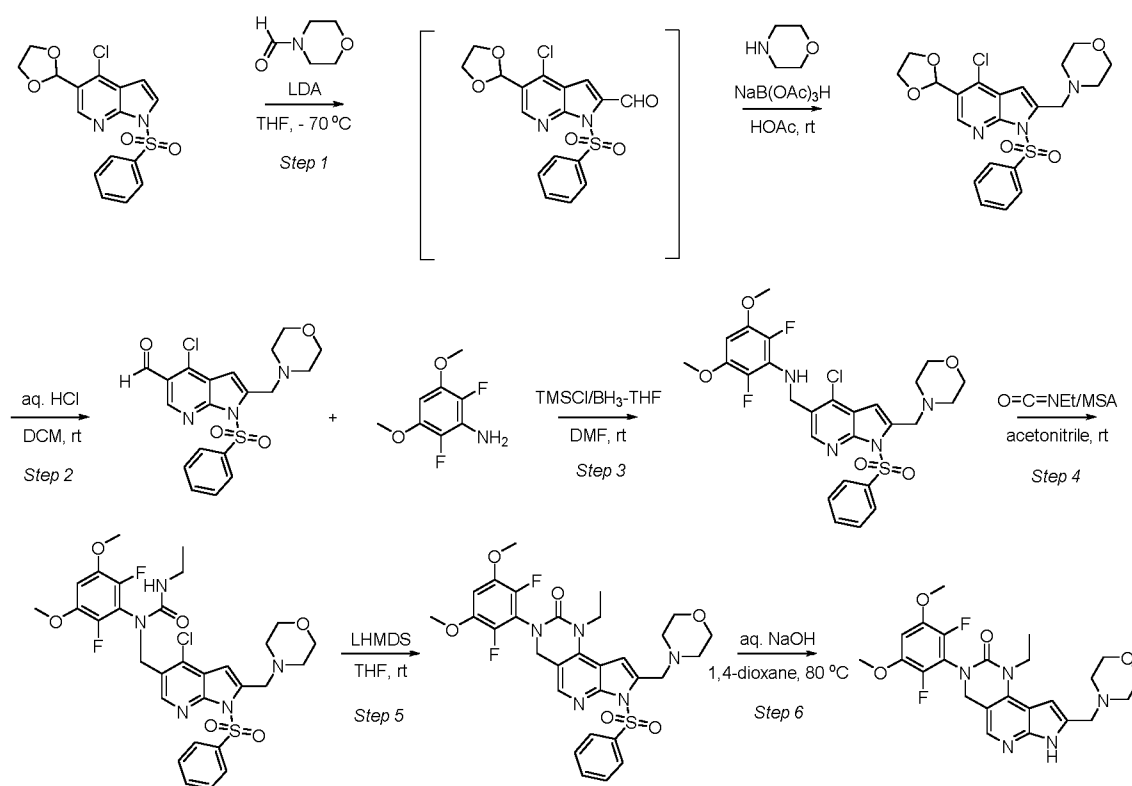
conditions were as follows: 30 - 300°C at 10°C/min; Tzero aluminum sample pan and lid; and nitrogen gas flow at 50 mL/min.

Thermogravimetric analysis (TGA) was carried out on a TA Instrument Thermogravimetric Analyzer, Model Q500. The general experimental conditions for TGA were: ramp from 20 °C – 600 °C at 20°C/min; nitrogen purge, gas flow at 40 mL/min followed by balance of the purge flow; sample purge flow at 60 mL/min; platinum sample pan.

### Example 1

#### 10 Synthesis of 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholin-4-ylmethyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one (Compound 1)

##### Scheme 1.





*Step 1: Synthesis of 4-((4-chloro-5-(1,3-dioxolan-2-yl)-1-(phenylsulfonyl)-1H-pyrrolo[2,3-b]pyridin-2-yl)methyl)morpholine*

To a 1-L flask was added 4-chloro-5-(1,3-dioxolan-2-yl)-1-(phenylsulfonyl)-1H-pyrrolo [2,3-b] pyridine (50.0 g, 137 mmol) (see, e.g., Example 2) and tetrahydrofuran (THF, 266 g, 300 mL) under N<sub>2</sub>. To this mixture at -70 °C was added 2.0 M lithium diisopropylamide in THF/heptane/ethyl benzene (77.4 g, 95 mL, 190 mmol, 1.4 eq.). The mixture was stirred at -70 °C for 1 h. To the mixture was added *N*-formylmorpholine (29.7 g, 258 mmol, 1.9 eq.) in THF (22.2 g, 25 mL) dropwise. The reaction was done in 30 min after addition. LC/MS showed that the desired product, 4-chloro-5-(1,3-dioxolan-2-yl)-1-(phenylsulfonyl)-1H-pyrrolo [2,3-b] pyridine-2-carbaldehyde, was formed cleanly. The reaction was quenched with acetic acid (16.4 g, 15.6 mL, 274 mmol, 2.0 eq.) and the dry ice cooling was removed. To the mixture was added morpholine (33.7 g, 33.5 mL, 387 mmol, 2.83 eq.) followed by acetic acid (74.0 g, 70 mL, 1231 mmol, and 9.0 eq.) at 0 °C (internal temperature rose from 0 °C to 18 °C) and stirred overnight. Sodium triacetoxyborohydride (52.50 g, 247.7 mmol, 1.8 eq.) was added and the reaction mixture temperature rose from 20 °C to 32 °C. The mixture was stirred at room temperature for 30 min. HPLC & LC/MS indicated the reaction was complete. Water (100 g, 100 mL) was added followed by 2.0 M sodium carbonate (Na<sub>2</sub>CO<sub>3</sub>) in water (236 g, 200 mL, 400 mmol, 2.9 eq.) slowly (off gas!). The mixture was stirred for about 30 min. The organic layer was separated and water (250 g, 250 mL) and heptane (308 g, 450 mL) were added. The resulting slurry was stirred for 1 h and the solid was collected by filtration. The wet cake was washed with heptane twice (75.00 mL x 2, 51.3 g x 2) before being dried in oven at 50 °C overnight to give the desired product, 4-((4-chloro-5-(1,3-dioxolan-2-yl)-1-(phenylsulfonyl)-1H-pyrrolo[2,3-b]pyridin-2-yl)methyl)morpholine as a light brown solid (52.00 g, 81.8 % yield): LCMS calculated for C<sub>21</sub>H<sub>23</sub>ClN<sub>2</sub>O<sub>5</sub>S [M+H]<sup>+</sup>: 464.00; Found: 464.0; <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>) δ 8.48 (s, 1 H), 8.38 (m, 2H), 7.72 (m, 1H), 7.64 (m, 2H), 6.83 (s, 1H), 6.13 (s, 1H), 4.12 (m, 2H), 4.00 (m, 2H), 3.92 (s, 2H), 3.55 (m, 4H), 2.47 (m, 4H).

*Step 2: Synthesis of 4-chloro-2-(morpholinomethyl)-1-(phenylsulfonyl)-1H-pyrrolo[2,3-b]pyridine-5-carbaldehyde*

To a 2 L reactor with a thermocouple, an addition funnel, and a mechanical stirrer was charged 4-((4-chloro-5-(1,3-dioxolan-2-yl)-1-(phenylsulfonyl)-1H-pyrrolo[2,3-b]pyridin-2-yl)methyl)morpholine (20.00 g, 43.1 mmol) and dichloromethane (265 g, 200 mL) at room temperature. The resulting mixture was stirred at room temperature (internal temperature

was 19.5 °C) to achieve a solution. To the resulting solution was added an aqueous hydrochloric acid solution (0.5 M, 240 g, 200.0 ml, 100 mmol, 2.32 eq.) at room temperature in 7 min. After over 23 h agitations at room temperature, the bilayer reaction mixture turned into a thick colorless suspension. When HPLC showed the reaction was complete, the slurry was cooled to 0-5 °C and aqueous sodium hydroxide solution (1 N, 104 g, 100 mL, 100 mmol, and 2.32 eq.) was added in about 10 min to adjust the pH of the reaction mixture to 10-11. *n*-Heptane (164 g, 240 mL) was added and the reaction mixture and the mixture were stirred at room temperature for 1 h. The solid was collected by filtration and the wet cake was washed with water (2 x 40 mL), heptane (2 x 40 ml) before being dried in oven at 50 °C under vacuum to afford the desired product, 4-chloro-2-(morpholinomethyl)-1-(phenylsulfonyl)-1*H*-pyrrolo[2,3-*b*]pyridine-5-carbaldehyde as a light brown solid (16.9 g, 93% yield): LCMS calculated for C<sub>19</sub>H<sub>19</sub>ClN<sub>3</sub>O<sub>4</sub>S [M+H]<sup>+</sup>: 420.00; Found: 420.0; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 10.33 (s, 1H), 8.76 (s, 1 H), 8.42 (m, 2H), 7.74 (m, 1H), 7.65 (m, 2H), 6.98 (s, 1H), 3.96 (m, 2H), 3.564 (m, 4H), 2.51 (m, 4H).

*Step 3: Synthesis of N-((4-chloro-2-(morpholinomethyl)-1-(phenylsulfonyl)-1H-pyrrolo [2, 3-b] pyridin-5-yl) methyl)-2, 6-difluoro-3,5-dimethoxyaniline*

To a 2-L reactor equipped with a thermocouple, a nitrogen inlet and mechanical stirrer were charged *N,N*-dimethyl formamide (450 mL, 425 g), 4-chloro-2-(morpholinomethyl)-1-(phenylsulfonyl)-1*H*-pyrrolo[2,3-*b*]pyridine-5-carbaldehyde (30.0 g, 71.45 mmol) and 2,6-difluoro-3,5-dimethoxyaniline (14.2 g, 75.0 mmol). To this suspension (internal temperature 20 °C) was added chlorotrimethylsilane (19.4 g, 22.7 mL, 179 mmol) dropwise in 10 min at room temperature (internal temperature 20-23 °C). The suspension changed into a solution in 5 min after the chlorotrimethylsilane addition. The solution was stirred at room temperature for 1.5 h before cooled to 0-5 °C with ice-bath. Borane-THF complex in THF (1.0 M, 71.4 mL, 71.4 mmol, 64.2 g, 1.0 eq.) was added dropwise via additional funnel over 30 min while maintaining temperature at 0-5 °C. After addition, the mixture was stirred for 4 h. Water (150 g, 150 mL) was added under ice-bath cooling in 20 min, followed by slow addition of ammonium hydroxide solution (28% NH<sub>3</sub>, 15.3 g, 17 ml, 252 mmol, 3.53 eq.) to pH 9-10 while maintaining the temperature below 10 °C. More water (250 mL, 250 g) was added through the additional funnel. The slurry was stirred for 30 min and the solids were collected by filtration. The wet cake was washed with water (90 g x 2, 90 ml x 2) and heptane (61.6 g x2, 90 ml x 2). The product was suction dried overnight to give the desired product *N*-((4-chloro-2-(morpholinomethyl)-1-(phenylsulfonyl)-1*H*-pyrrolo[2,3-*b*]pyridin-5-yl)methyl)-2,6-

difluoro-3,5-dimethoxyaniline (41.6 g, 96% yield): LCMS calculated for  $C_{27}H_{28}ClF_2N_4O_5S[M+H]^+$ : 593.10; Found: 593.1;  $^1H$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$  8.36 (m, 2H), 8.28 (s, 1H), 7.72 (m, 1H), 7.63 (m, 2H), 6.78 (s, 1H), 6.29 (m, 1H), 5.82 (m, 1H), 4.58 (m, 2H), 3.91 (s, 2H), 3.76 (s, 6H), 3.56 (m, 4H), 2.47 (m, 4H).

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*Step 4: Synthesis of 1-((4-chloro-2-(morpholinomethyl)-1-(phenylsulfonyl)-1H-pyrrolo [2, 3-b] pyridin-5-yl) methyl)-1-(2, 6-difluoro-3, 5-dimethoxyphenyl)-3-ethylurea*

To a 2-L, 3-neck round bottom flask fitted with a thermocouple, a nitrogen bubbler inlet, and a magnetic stir were charged *N*-((4-chloro-2-(morpholinomethyl)-1-(phenylsulfonyl)-1H-pyrrolo[2,3-b]pyridin-5-yl)methyl)-2,6-difluoro-3,5-dimethoxyaniline (67.0 g, 113 mmol) and acetonitrile (670 mL, 527 g). The suspension was cooled to 0-5 °C. To the mixture was charged ethyl isocyanate (17.7 mL, 15.9 g, 224 mmol, 1.98 eq.) over 30 sec. The temperature stayed unchanged at 0.7 °C after the charge. Methanesulfonic acid (16.1 mL, 23.9 g, 248 mmol, 2.2 eq.) was charged dropwise over 35 min while maintaining the temperature below 2 °C. The mixture was warmed to room temperature and stirred overnight. At 24 h after addition showed that the product was 93.7%, unreacted SM was 0.73% and the major impurity (bis-isocyanate adduct) was 1.3%. The mixture was cooled with an ice-bath and quenched with sodium hydroxide (NaOH) solution (1.0M, 235 mL, 244 g, 235 mmol, 2.08 eq.) over 20 min and then saturated aqueous sodium bicarbonate (NaHCO<sub>3</sub>) solution (1.07 M, 85 mL, 91 g, 0.091 mol, 0.80 eq.) over 10 min. Water (550 mL, 550 g) was added and the liquid became one phase. The mixture was stirred for 2 h and the solids were collected by filtration, washed with water (165 mL, 165 g) to give 1-((4-chloro-2-(morpholinomethyl)-1-(phenylsulfonyl)-1H-pyrrolo[2,3-b]pyridin-5-yl)methyl)-1-(2,6-difluoro-3,5-dimethoxyphenyl)-3-ethylurea ( 70.3 g, 93.7% yield).

The crude 1-((4-chloro-2-(morpholinomethyl)-1-(phenylsulfonyl)-1H-pyrrolo [2, 3-b] pyridin-5-yl) methyl)-1-(2, 6-difluoro-3, 5-dimethoxyphenyl)-3-ethylurea (68.5 g, 103 mmol) was added in to acetonitrile (616 mL, 485 g). The mixture was heated 60-65 °C and an amber colored thin suspension was obtained. The solid was filtered off with celite and the celite was washed with acetonitrile (68.5 mL, 53.8 g). To the pale yellow filtrate was added water (685 g, 685 mL) to form a slurry. The slurry was stirred overnight at room temperature and filtered. The solid was added to water (685 mL, 685 g) and stirred at 60 °C for 2 h. The solid was filtered and re-slurred in heptane (685 mL, 469 g) overnight. The product was dried in an oven at 50 °C under vacuum for 48 h to afford 1-((4-chloro-2-(morpholinomethyl)-1-(phenylsulfonyl)-1H-pyrrolo[2,3-b]pyridin-5-yl)methyl)-1-(2,6-difluoro-3,5-

dimethoxyphenyl)-3-ethylurea as a colorless solid (62.2 g, 90.8% yield, 99.9% purity by HPLC area%). KF was 0.028%. Acetonitrile (by  $^1\text{H}$  NMR) was about 1.56%, DCM (by  $^1\text{H}$  NMR) 2.0%; LCMS calculated for  $\text{C}_{30}\text{H}_{33}\text{ClF}_2\text{N}_5\text{O}_6\text{S}$   $[\text{M}+\text{H}]^+$ : EM: 664.17; Found: 664.2;  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO}-d_6$ )  $\delta$  8.33 (m, 2H), 8.31 (s, 1H), 7.72 (m, 1H), 7.64 (m, 1H), 6.96 (m, 2H), 6.73 (s, 1H), 6.43 (m, 1H), 4.87 (s, 2H), 3.90 (s, 2H), 3.77 (s, 6H), 3.54 (m, 4H), 3.03 (m, 2H), 2.46 (m, 4H), 0.95 (m, 3H).

*Step 5: Synthesis of 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholin-4-ylmethyl)-7-(phenylsulfonyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one*

To a 2000 mL flask equipped with a thermal couple, a nitrogen inlet, and a mechanical stirrer were charged dry 1-((4-chloro-2-(morpholinomethyl)-1-(phenylsulfonyl)-1H-pyrrolo[2,3-*b*]pyridin-5-yl)methyl)-1-(2,6-difluoro-3,5-dimethoxyphenyl)-3-ethylurea (30.0 g, 45.2 mmol, KF=0.11%) and tetrahydrofuran (1200 mL, 1063 g). To this suspension at room temperature was charged 1.0 M lithium hexamethyldisilazide in THF (62.3 mL, 55.5 g, 62.3 mmol, 1.38 eq). The mixture turned into a solution after the base addition. The reaction mixture was stirred for 2 h and HPLC shows the starting material was not detectable. To this mixture was added 1.0 M hydrochloric acid (18.1 mL, ~18.1 g, 18.1 mmol, 0.4 eq.). The solution was concentrated to 600 mL and water (1200 mL, 1200 g) was added. Slurry was formed after water addition. The slurry was stirred for 30 min at room temperature and the solid was collected by filtration. The wet cake was washed with water twice (60 mLx2, 60 g x2) and dried at 50 °C overnight to give 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholin-4-ylmethyl)-7-(phenylsulfonyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one as a light brown solid (26.58 g, as-is yield 93.7%); THF by  $^1\text{H}$  NMR 0.32%, KF 5.26%, adjusted yield was 88.5%; LCMS calculated for  $\text{C}_{30}\text{H}_{32}\text{F}_2\text{N}_5\text{O}_6\text{S}$   $[\text{M}+\text{H}]^+$ : EM: 628.20; Found: 628.2;  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO}-d_6$ )  $\delta$  8.41 (m, 2H), 8.07 (s, 1H), 7.70 (m, 1H), 7.63 (m, 2H), 7.05 (m, 1H), 6.89 (s, 1H), 4.76 (s, 2H), 4.09 (m, 2H), 3.93 (s, 2H), 3.89 (s, 6H), 3.60 (m, 4H), 2.50 (m, 4H), 1.28 (m, 3H).

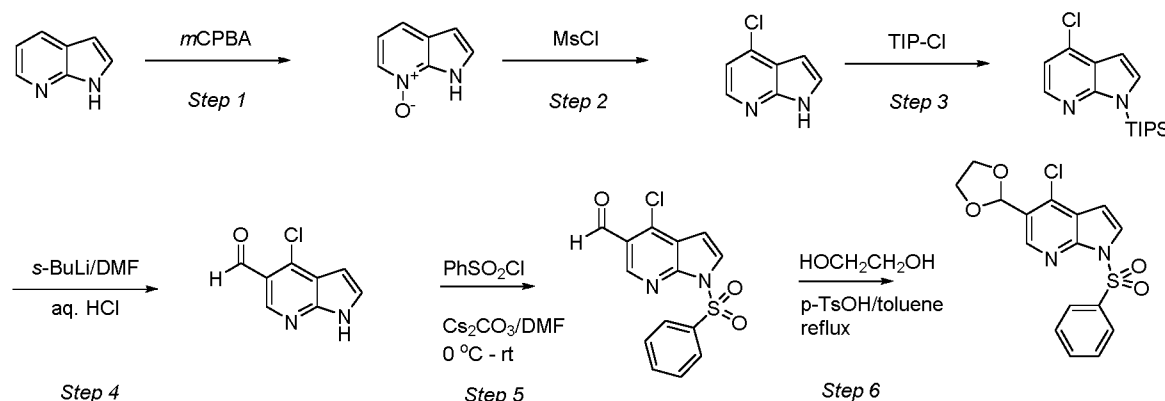
*Step 6: Synthesis of 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholin-4-ylmethyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one*

To a stirring suspension of 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholinomethyl)-7-(phenylsulfonyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one (10.0 g, 15.93 mmol) in 1,4-dioxane (100 mL, 103 g) in a 500 mL flask equipped with a nitrogen inlet, a condenser, a thermocouple and a heating mantle was added

1 M aqueous sodium hydroxide (63.7 ml, 66.3 g, 63.7 mmol). The reaction mixture was heated at 75 °C for 18 h. LCMS showed the reaction was complete. Water (100 mL, 100 g) was added to give a thick suspension. This slurry was stirred at room temperature for 1 h and filtered. The cake was washed with water (3 x 10 mL, 3 x 10 g) and heptane (2 x 10 mL, 2 x 6.84 g). The cake was dried overnight by pulling a vacuum through the filter cake and then dried in an oven at 50 °C under vacuum overnight to give 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholin-4-ylmethyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one (6.8 g, 87.6% yield): LCMS calculated for C<sub>24</sub>H<sub>28</sub>F<sub>2</sub>N<sub>5</sub>O<sub>4</sub> [M+H]<sup>+</sup>: 488.20; Found: 488.2.

## Example 2. Synthesis of 4-chloro-5-(1,3-dioxolan-2-yl)-1-(phenylsulfonyl)-1H-pyrrolo [2,3-b]pyridine

### Scheme 2.



#### Step 1: Synthesis of 1H-pyrrolo[2,3-b]pyridine-7-oxide

*m*-Chloroperoxybenzoic acid (105.5 Kg, 612 mol, 1.2 eq) was added to a solution of 1H-pyrrolo[2,3-b]pyridine (60 Kg, 507.6 mol) in dichloromethane (600 L) over 5 h with stirring at 0-10 °C. After completion of the addition, the mixture was stirred at 0-10 °C for 3 h. The resulting solid was collected by filtration, washed with heptane, and dried to give 1H-pyrrolo[2,3-b]pyridine 7-oxide. The mother liquid was concentrated and the residue was treated with dichloromethane: heptane (2 : 3), and filtered to recover extra materials. The crude 1H-pyrrolo 2,3-b]pyridine-7-oxide was obtained (72 Kg, 96% purity), which was used to next step without purification.

*Step 2: Synthesis of 4-chloro-1H-pyrrolo [2, 3-b] pyridine*

The crude 1H-pyrrolo[2, 3-b]pyridine-7-oxide (72 Kg, 253 mol) was dissolved in DMF (360 L) and heated at 50 °C. A solution of methanesulfonyl chloride (85.2 Kg, 746 mol, 3.0 eq.) was added drop-wise to the solution while maintaining a temperature below 70 °C. After being stirred at 90 °C for 2 h, the reaction solution was cooled to room temperature, and added to 720 Kg of ice/water. The mixture was neutralized with 6.0 M NaOH at 0 °C. The resulting precipitate was collected by filtration, and washed with water. The solid was mixed with 72 L water, 48 L ethanol, and 29 L 30% NaOH, and stirred at room temperature for 1-2 h. Water (144 L) was added, and the mixture was treated with 37% HCl to adjust the pH to ~1. The product was collected by filtration and dried to give 4-chloro-1H-pyrrolo[2, 3-b]pyridine (crude 26 kg, 97% purity, which was used without purification): <sup>1</sup>HNMR (400 MHz, CDCl<sub>3</sub>) δ 11.30 (s, 1H), 8.25 (m, 1H), 7.44 (m, 1H), 7.16 (m, 1H), 6.65 (m, 1H).

*Step 3: Synthesis of 4-chloro-1-(triisopropylsilyl)-1H-pyrrolo[2, 3-b]pyridine*

A solution of crude 4-chloro-1H-pyrrolo[2,3-b]pyridine (24 Kg, 155.2 mol) in THF (216 L) was stirred 0 °C as NaH (60%, 7.56 Kg, 188.6 mol, 1.3 eq.) was added portion-wise under N<sub>2</sub>. After addition, the mixture was stirred at rt for 1 h. Triisopropylsilyl chloride (39.6 Kg, 188.6 mol, 1.3eq) was added drop-wise while maintaining a temperature below 25 °C. After stirring for 20 h, the mixture was quenched with 144 L water and extracted with 144 L heptane. The water layer was back extracted with 72 L methyl *t*-butyl ether. The combined organic layers were dried over anhydrous MgSO<sub>4</sub> and concentrated under vacuum to give crude 4-chloro-1-(triisopropylsilyl)-1H-pyrrolo[2, 3-b]pyridine as a liquid. The material was used without purification, but its water content was controlled to below 0.1%.

*Step 4: Synthesis of 4-chloro-1H-pyrrolo [2, 3-b] pyridine-5-carbaldehyde*

To a 1000 L cryogenic reactor was charged crude 4-chloro-1-(triisopropylsilyl)-1H-pyrrolo[2,3-b]pyridine (50 Kg, ~138 mol) and anhydrous THF (150 Kg). The mixture was cooled to -75 °C, and stirred under N<sub>2</sub> as *S*-BuLi (1.3 M in cyclohexane, 230 L, 300mol, 2.2 eq.) was added drop-wise over about 6.0 h while maintaining internal temperature below -60 °C. The mixture was stirred at -75 °C for additional 2 h. *N,N*-Dimethylformamide (30.4 Kg, 416.1mol, 3.0 eq.) was added drop-wise over a period of ~3.0 h to control the internal temperature below -65 °C. After being stirred at -65~-75 °C for 2 h, the mixture was quenched by drop-wise

addition of a solution of 20% HCl in isopropyl alcohol (115 Kg, 635 mol, 4.5eq.). The mixture was then stirred at room temperature (20-25 °C) overnight. The pH was adjusted to 7-8 by charging saturated NaHCO<sub>3</sub>. The precipitate formed was collected by filtration. The filter cake was washed with 76 L water to give 4-chloro-1*H*-pyrrolo [2, 3-*b*] pyridine-5-carbaldehyde (14 Kg, 58% yield): <sup>1</sup>HNMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 12.54 (s, 1H), 10.35 (s, 1H), 8.67 (s, 1H), 7.74 (m, 1H), 6.72 (m, 1H).

*Step 5: Synthesis of 4-chloro-1-(phenylsulfonyl)-1H-pyrrolo [2, 3-*b*] pyridine-5-carbaldehyde*

To a 500 L reactor was charged *N, N*-dimethylformamide (108 L) and 4-chloro-1*H*-pyrrolo[2, 3-*b*]pyridine-5-carbaldehyde (10.8 Kg, 59.8 mol) and cooled to 0-5 °C. To the resulting thick slurry was charged cesium carbonate (39 Kg, 120 mol) at 0-5 °C. The slurry was stirred at 0 °C for about 20 min and the mixture changed to an amber colored thin slurry. To the thin slurry at below 10 °C was added benzenesulfonyl chloride (11.6 Kg, 65.8mol, 1.1 eq.) drop-wise through an addition funnel. The resulting slurry was stirred for 1 h at below 10 °C and HPLC indicated the reaction was complete. Extended agitation at room temperature overnight had little impact on reaction mixture profile. To this mixture was added water (160 L) and the slurry was stirred for 1 h. The solid was collected by filtration (slow). The filter cake was washed with water and dried in oven under vacuum to give 4-chloro-1-(phenylsulfonyl)-1*H*-pyrrolo[2,3-*b*]pyridine-5-carbaldehyde as a light brown solid (17.8 Kg, 93% yield): LCMS calculated for C<sub>14</sub>H<sub>10</sub>ClN<sub>2</sub>O<sub>3</sub>S [M+H]<sup>+</sup>: 321.00; Found: 320.9; <sup>1</sup>HNMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: 10.34 (s, 1H), 8.78 (s, 1H), 8.18 (m, 3H), 7.77 (m, 1H), 7.66 (m, 2H), 7.05 (m, 1H).

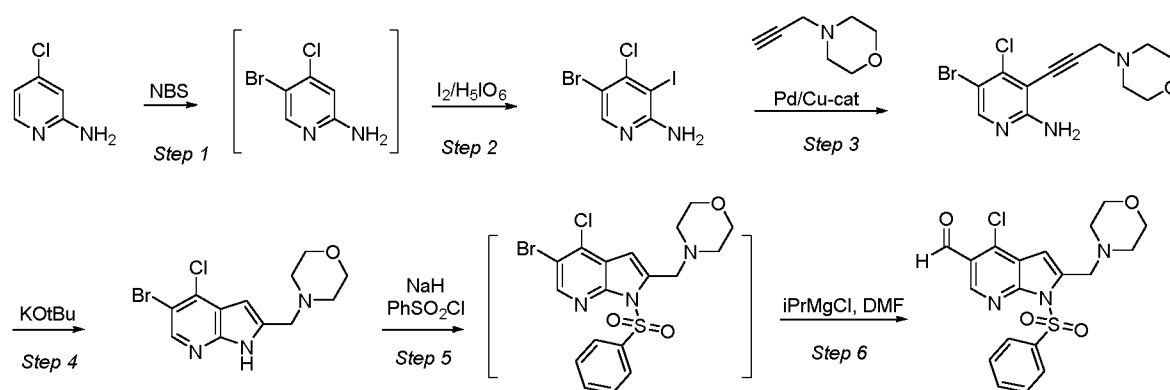
*Step 6: Synthesis of 4-chloro-5-(1,3-dioxolan-2-yl)-1-(phenylsulfonyl)-1H-pyrrolo[2,3-*b*]pyridine)*

To a 1000 L reactor were charged toluene (270 L), 4-chloro-1-(phenylsulfonyl)-1*H*-pyrrolo[2,3-*b*]pyridine-5-carbaldehyde (27 Kg, 84.2 mmol), *p*-toluenesulfonic acid monohydrate (217 g, 1.26 mol, 0.015 eq.), and 1,2-ethanediol (73.7 Kg, 1187 mol, 14.1 eq.). The mixture was stirred and heated to reflux to remove water (some ethylene glycol was also removed as the reaction progresses) for 9 h (LCMS showed reaction complete). After overnight stirring at room temperature, the mixture was diluted with ethyl acetate (135 L) and washed with saturated NaHCO<sub>3</sub> solution. The layers were separated and the organic layer was washed with 10% aq. NaCl solution and concentrated. Heptane (108 L) was added and slurry

was formed. The solid was collected by filtration. The solid was dissolved in dichloromethane (108 L) and filtered in order to remove the mechanical impurities. The filtrate was concentrated, then dissolved in 67.5 L (2.5V) of hot ethyl acetate and stirred for 2 h. The mixture was allowed to cool as the solid formed. The solid was collected by filtration to give 4-chloro-5-(1,3-dioxolan-2-yl)-1-(phenylsulfonyl)-1*H*-pyrrolo[2,3-*b*]pyridine as an off-white solid (22 Kg, 70% yield): LCMS calculated for C<sub>16</sub>H<sub>14</sub>ClN<sub>2</sub>O<sub>4</sub>S [M+H]<sup>+</sup>: 365.03; Found: 365.1; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 8.51 (s, 1H), 8.13 (m, 2H), 8.07 (m, 1H), 7.73 (m, 1H), 7.63 (m, 2H), 6.90 (m, 1H), 6.13 (s, 1H), 4.12 (m, 2H), 3.98 (m, 2H).

**Example 3. An alternate synthesis of 4-chloro-2-(morpholin-4-ylmethyl)-1-(phenylsulfonyl)-1*H*-pyrrolo[2,3-*b*]pyridine-5-carbaldehyde**

**Scheme 3.**



*Step 1: Synthesis of 5-bromo-4-chloropyridin-2-amine*

A slurry of 2-amino-4-chloropyridine (100 g, 777.8 mmol, 1.0 eq.) in acetonitrile (500 mL, 5 rel. vol.) at 15–20 °C was added *N*-bromosuccinimide (131.5 g, 738.9 mmol, 0.95 eq.) in portions over 2 h keeping the temperature at 15–20 °C. The reaction was stirred for 30 min and the conversion was checked by HPLC. Depending on the conversion, 0–5 mol% of additional *N*-bromosuccinimide was added and the mixture was stirred for another 15 min. After HPLC indicated the conversion was complete, the reaction mixture was heated and acetonitrile (300 mL) was distilled off at normal pressure. Water (250 mL) was added and the temperature was adjusted to 50–55 °C and slurry was formed. The resulting slurry was stirred for 30 min and water (350 mL) was added over 1 h. The slurry was cooled to 20–25 °C, stirred for 1 h and the solid was collected by filtration. The wet cake was washed with a mixture of water (75 mL) and acetonitrile (25 mL) to give the wet product 5-bromo-4-



chloropyridin-2-amine (191 g, 92.1% by HPLC area % purity). The wet product was dissolved in acetic acid (500 mL, 5 rel. vol. on 2-amino-4-chloropyridine, 55-70 °C) and the solution was directly used in the next step.

5 *Step 2: Synthesis of 5-bromo-4-chloro-3-iodopyridin-2-amine*

The solution of 5-bromo-4-chloropyridin-2-amine in acetic acid (191 g, 5-bromo-4-chloropyridin-2-amine in 500 mL acetic acid) was distilled under reduced pressure at 40–60 °C to remove the solvents. Then, sulfuric acid (39.7 g, 96%-w/w, 388.9 mmol, 0.5 eq.) and iodine (76.2 g, 300.3 mmol, 0.386 eq.) were added and the temperature was adjusted to 77–  
10 83 °C. At this temperature, a solution of periodic acid (50%-w/w, 54.89 g, 120.4 mmol, 0.155 eq.) was added over 2-3 h. The reaction was stirred for 2–3 h at 77–83 °C and the conversion was checked by HPLC (SM < 1.0%-a/a). At 75-85 °C, the reaction mixture was quenched by the addition of solid ammonium sulfite in portions of 4.53 g (0.05 eq.) until the  
15 KI/starch test was negative. Typically two portions (0.1 eq.) of ammonium sulfite were required. The end of the quench may also be seen by the absence of the purple color of iodine. Then, the reaction mixture was diluted with water (200 mL, 2.0 rel. vol., at room temperature), and the temperature was dropped to ~ 50 °C. The product was precipitated out. At 45–60 °C, the pH was adjusted to 3.0–3.5 with ammonia (25%-w/w in water, about 63.6 g, 0.93 mol, 1.2 eq. needed). The neutralization was strongly exothermic. The slurry was  
20 stirred for 30 min at 45–50 °C and then the solid was collected by filtration. The filter cake was washed with typically about 600 mL water and then washed with 2-propanol (200 mL). The wet product was dried in the vacuum cabinet at 60 °C to give 5-bromo-4-chloro-3-iodopyridin-2-amine as a yellow to beige solid (213.5 g, 82.3% yield): LCMS calculated for C<sub>5</sub>H<sub>4</sub>BrClIN<sub>2</sub> [M+H]<sup>+</sup>: 332.82; Found: 332.8; <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>) δ 8.09 (s, 1H),  
25 6.60 (s, 2H).

*Step 3: Synthesis of 5-bromo-4-chloro-3-(3-morpholinoprop-1-yn-1-yl)pyridin-2-amine*

5-Bromo-4-chloro-3-iodopyridin-2-amine (50 g, 150 mmol, 1.0 eq.), 4-(prop-2-ynyl)morpholine (22.5 g, 180 mmol, 1.20 eq.), diisopropylamine (18.2 g, 180 mmol, 1.2 eq.)  
30 and 150 mL of toluene were charged to a reactor. The solution was carefully degassed applying 3 vacuum argon cycles. Then, CuI (0.29 g, 1.5 mmol, 1 mol%) and Pd(PPh<sub>3</sub>)<sub>4</sub> were added and the flask purged again with argon. The mixture was stirred at 50 °C overnight (17 h). Water (50 mL, 1 vol.) was added in one portion and the mixture was cooled to 20-25 °C. The crude product was filtered off and washed consecutively with 10% ammonia (50 ml, 1.0

vol.), water (50 ml, 1 vol.), toluene (25 ml, 0.5 vol.), and with 2-isopropanol (50 ml, 1.0 vol.). After drying under vacuum at 50 °C, 5-bromo-4-chloro-3-(3-morpholinoprop-1-yn-1-yl)pyridin-2-amine was obtained as light brown solid (41.6 g, 87% yield): LCMS calculated for  $C_{12}H_{14}BrClIN_5O$   $[M+H]^+$ : 329.99; Found: 330.0;  $^1H$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$  8.13 (s, 1H), 6.69 (s, 2H), 3.64 (s, 2H), 3.61 (m, 4H), 2.54 (m, 4H).

*Step 4: Synthesis of 4-((5-bromo-4-chloro-1H-pyrrolo[2, 3-b]pyridin-2-yl)methyl)morpholine*

A solution of KO $t$ Bu (18.1 g, 1.4 eq., 112.21 mmol) in tetrahydrofuran (114 ml, 3 vol) was heated to 30-35 °C as 5-bromo-4-chloro-3-(3-morpholinoprop-1-yn-1-yl)pyridin-2-amine (38 g, 114.9 mmol, 1.0 eq.) was added in portions over 1.0 h at 30-35 °C. After stirring for 2 h, the reaction was quenched with a solution of acetic acid (10.4 g, 172.4 mmol, 1.5 eq.) in water (76 mL, 2 vol.) and 76 mL of THF (76 mL) was removed by distillation. Then the solution was heated to reflux and MeOH (38 mL, 1 vol.) was added, and the resulting suspension was cooled to 23 °C over 1 h. After stirring for 0.5 h at 23 °C, the solid was filtered off and washed with water (38 ml, 1 vol.), and MeOH (38 mL, 1 vol.). 4-((5-Bromo-4-chloro-1H-pyrrolo[2,3-b]pyridin-2-yl)methyl)morpholine as a light brown powder 4-((5-bromo-4-chloro-1H-pyrrolo[2,3-b]pyridin-2-yl)methyl)morpholine was obtained after drying under vacuum at 50 °C (32.8 g, 86% yield): LCMS calculated for  $C_{12}H_{14}BrClIN_5O$   $[M+H]^+$ : 329.99; Found: 329.8;  $^1H$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$  12.22 (s, 1H), 8.34 (s, 1H), 6.40 (s, 1H), 3.65 (s, 2H), 3.58 (m, 4H), 2.42 (m, 4H).

*Step 5: Synthesis of 4-((5-bromo-4-chloro-1-(phenylsulfonyl)-1H-pyrrolo[2, 3-b]pyridin-2-yl)methyl)morpholine*

A slurry of 4-((5-Bromo-4-chloro-1H-pyrrolo[2, 3-b]pyridin-2-yl)methyl)morpholine (10 g, 30.25 mmol, 1.0 eq., assay 94%-w/w) and NaH (1.69 g, 60%, 42.35 mmol, 1.4 eq.) in 38 mL of tetrahydrofuran was cooled to 0-5 °C as PhSO $_2$ Cl (7.48 g, 42.35 mmol, 1.4 eq.) was added over 1 h. After 1.5 h, HPLC indicated the reaction was not complete. Additional NaH (0.34 g, 0.3 eq.) was added, whereupon gas evolution was observed. When HPLC showed the reaction was complete, the reaction mixture was quenched with acetic acid (0.5 g) and a mixture of water (15 mL) and methanol (15 mL). The pH was adjusted to 6.5 with caustic soda and the product was isolated by filtration. The wet cake was washed with 2-isopropanol (20 mL) and water (20 mL) and the wet product (14.8 g) was dried in the vacuum cabinet to give 4-((5-bromo-4-chloro-1-(phenylsulfonyl)-1H-pyrrolo[2,3-b]pyridin-2-yl)methyl)morpholine as a brown solid (12.57 g, 86% yield): LCMS calculated for  $C_{18}H_{18}BrClIN_3O_3S$   $[M+H]^+$ : 469.99; Found: 470.0;  $^1H$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$  8.56 (s,

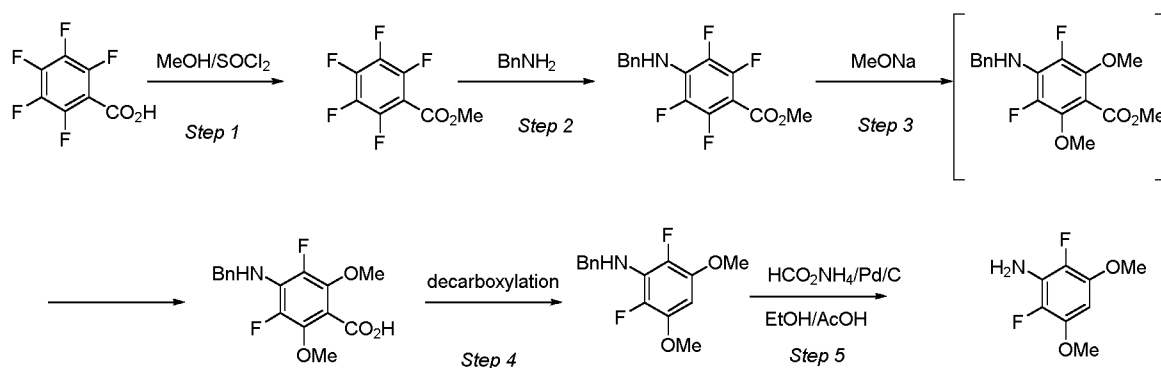
1H), 8.33 (m, 2H), 7.73 (m, 1H), 7.65 (m, 2H), 6.83 (s, 1H), 3.91 (s, 2H), 3.53 (m, 4H), 2.46 (m, 4H).

*Step 6: Synthesis of 4-chloro-2-(morpholinomethyl)-1-(phenylsulfonyl)-1H-pyrrolo[2, 3-*  
*b]pyridine-5-carbaldehyde*

To a suspension of 4-((5-bromo-4-chloro-1-(phenylsulfonyl)-1H-pyrrolo[2,3-b]pyridin-2-yl)methyl)morpholine (5.0 g, 10.6 mmol, 1.0 eq.) in 50 mL tetrahydrofuran at -10 °C to 0 °C was added iPrMgCl (6.9 mL, 2M in tetrahydrofuran, 13.80 mmol, 1.3 eq.). After stirring for 2 h *N,N*-dimethylformamide (1.55 g, 21.2 mmol, 2.0 eq.) was added to the reaction solution over 0.5 h at -5 °C to 0 °C. The mixture was stirred for 0.5 h at -5 °C to 0 °C, then warmed to 23 °C over 0.5 h and stirred for 1 h at 23 °C. The pH was adjusted to 6-7 by adding 1.5 mL acetic acid and 10 mL water. To the biphasic mixture was added 25 mL MeOH and 15 mL water. After stirring for 1 h, the product was filtered off and washed with 20 mL MeOH/water (1/1) and 30 mL water. After drying under vacuum at 50 °C, 4-chloro-2-(morpholinomethyl)-1-(phenylsulfonyl)-1H-pyrrolo[2,3-b]pyridine-5-carbaldehyde was obtained as an off-white powder (3.39 g, 76% yield): LCMS calculated for C<sub>19</sub>H<sub>19</sub>ClN<sub>3</sub>O<sub>4</sub>S [M+H]<sup>+</sup>: 420.07; Found: 420.0; <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>) δ 10.33 (s, 1H), 8.76 (s, 1H), 8.42 (m, 2H), 7.74 (m, 1H), 7.65 (m, 2H), 6.98 (s, 1H), 3.96 (m, 4H), 3.564 (m, 4H), 2.51 (m, 4H).

**Example 4. Synthesis of 2,6-difluoro-3,5-dimethoxyaniline**

**Scheme 4.**



*Step 1: Synthesis of methyl pentafluorobenzoate*

To a solution of pentafluorobenzoic acid (40 Kg, 188.6 mol) in 68 L of methanol was added SOCl<sub>2</sub> (29.2 Kg, 245.2 mol, 1.3 eq.) drop-wise over 4.0 h at 20-50 °C. The mixture was then heated to reflux for 17 h. Methanol was removed by vacuum distillation, and the residue was dissolved in methyl *t*-butyl ether (77 L). The solution was washed with saturated NaHCO<sub>3</sub> (37 L), dried over MgSO<sub>4</sub>, and evaporated to give methyl pentafluorobenzoate as a colorless oil (39 kg, 91% yield): <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 3.90 (s, 3H).

*Step 2: Synthesis of methyl-4-(benzylamino)-2, 3, 5, 6-tetrafluorobenzoate*

Methyl pentafluorobenzoate (39 Kg, 172.5mol) and *N,N*- diisopropyl ethylamine (26.8 Kg, 207 mol, 1.2 eq.) were dissolved in *N*-methylpyrrolidinone (39 L). A solution of benzylamine (18.5 Kg, 172.5 mol, 1.0 eq.) in 19.5 L of *N*-methylpyrrolidinone was added drop-wise over 3.5 h while maintaining the internal temperature below 50 °C. The resulting thick yellow slurry was heated to 65 °C and stirred another 1 h. The mixture was poured into a 195 L solution of aqueous acetic acid (10% acetic acid and 90% H<sub>2</sub>O), and the slurry was stirred for 1 h and filtered. The filter cake was washed with water and heptane, and dried at 35 °C under vacuum to give Methyl-4-(benzylamino)-2, 3, 5, 6-tetrafluorobenzoate (38 Kg, 70% yield): <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.37 (m, 5H), 4.67 (m, 2H), 4.58 (m, 1H), 3.93 (s, 3H).

*Step 3: Synthesis of 4-(benzylamino)-3,5-difluoro-2, 6-dimethoxybenzoic acid*

Methyl-4-(benzylamino)-2,3,5,6-tetrafluorobenzoate (38 Kg, 121.3 mol) in methanol (72 L), was stirred at room temperature under N<sub>2</sub> as a solution of NaOMe in methanol (25 wt%, 110.8Kg, 545.85 mol, 4.5 eq.) was added drop-wise over 3.0 h while maintaining a temperature below 50 °C. After heating to 65-70 °C for 18 h, 18 L of water was added to the reaction mixture and the resulting solution was stirred 1 h. The solvent was removed by vacuum distillation. Water (54 L) was added and the resulting solution was acidified to pH 2 with 37% HCl. The mixture was extracted three times with ethyl acetate (54 Kg each). The combined organic extracts were washed with water (43 L) and concentrated to dryness to form a solid. The solid was triturated with heptane (43 L) to remove the impurities. The solid was collected and dried at 40 °C under vacuum to give 4-(benzylamino)-3,5-difluoro-2,6-dimethoxybenzoic acid (35 Kg, 86% yield): <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 12.74 (s, 1H), 7.37 (m, 5H), 6.62 (s, 1H), 4.67 (m, 2H), 3.96 (s, 6H).

*Step 4: Synthesis of *N*-benzyl-2, 6-difluoro-3, 5-dimethoxyaniline*

4-(Benzylamino)-3,5-difluoro-2,6-dimethoxybenzoic acid (17 Kg) was heated neat to 75-85 °C under nitrogen atmosphere for 3-4 h. After the reaction was completed, 40 L of methyl *t*-butyl ether and 20 L of 1M NaOH were added. The mixture was stirred at room temperature for 30 min. The organic layer was separated, and was washed with water (20 L) and brine (20 L). The organic phase was concentrated under reduced pressure to give the crude product. The crude was triturated with heptane and dried at 35 °C under vacuum to give *N*-benzyl-2,6-difluoro-3,5-dimethoxyaniline (12 Kg, 82% yield): <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.35 (m, 5H), 6.09 (m, 1H), 4.53 (m, 2H), 4.00 (s, 1H), 3.85 (s, 6H).

*Step 5: Synthesis of 2,6-difluoro-3,5-dimethoxyaniline*

*N*-Benzyl-2,6-difluoro-3,5-dimethoxyaniline (24 Kg, 85.9 mol) was dissolved in mixed solvents of ethanol (120 L) and acetic acid (20 L) as ammonium formate (13.2 Kg), and 1.68 Kg of Pd/C was added. The mixture was heated at 50 °C for 2-3 h. The reaction mixture was then filtered through a pad of Celite®, and washed with ethanol (1.2 L X2) and concentrated. The crude was added to 80 L of water, and the resulting slurry was filtered. The crude was added to 60 L methyl *t*-butyl ether and 2.5 Kg activated carbon, and the mixture was heated to reflux for 3 h. After filtration, and concentration, the resulting solid was added to 36 L heptane and stirred for 2 h at room temperature. The mixture was filtered and dried at 35 °C under vacuum to give 2,6-difluoro-3,5-dimethoxyaniline as a light brown solid (15.2 Kg, 93% yield): LCMS calculated for C<sub>8</sub>H<sub>10</sub>F<sub>2</sub>NO<sub>2</sub> [M+H]<sup>+</sup>: 190.16; Found: 190.1; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 6.16 (m, 1H), 5.18 (s, 2H), 3.78 (s, 6H).

**Example 5****Preparation and Characterization of Form I**

A 100 L glass reactor and a 200 L glass reactor were assembled with overhead stirring, condenser, thermocouple, addition funnel, and a nitrogen inlet and each apparatus was purged with nitrogen. Methanol (1.39 L), methylene chloride (21.7 L) and crude 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholin-4-ylmethyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one (3330 g) were charged sequentially to the 100 L reactor and the reaction mixture was heated to about 33 °C and stirred at about 33 °C for about 43 minutes until a clear solution was obtained. The reaction mixture was filtered through an in-line filter using methylene chloride (2.1 L) to rinse the reactor through the

filter. The filtrate was partially concentrated (using 2 rotavapors for convenience) under vacuum at about 30 °C to a target total volume remaining of 15.5 L (~4.6 L per kg of product charged). The distillation residue (15.5 L) was charged to the 200 L reactor using filtered methylene chloride (1.3 L) to assist with the transfer. The reaction mixture was heated to about 39 °C and stirred for about 3 minutes until a clear solution was obtained. Polish filtered methyl *t*-butyl ether (23.1 L) was charged while maintaining the reaction temperature at about 39 °C. The reaction mixture was stirred at about 36 °C for about 5.25 h, cooled to about 30 °C, and stirred at about 23 °C for about 10.5 hours. The reaction mixture was filtered, and the filter cake was washed with polish filtered methyl *t*-butyl ether (3.3 L). The product was air dried on the filter for about 3.25 h and then dried under vacuum at 25-50 °C to afford 3-(2,6-difluoro-3,5-dimethoxyphenyl)-1-ethyl-8-(morpholin-4-ylmethyl)-1,3,4,7-tetrahydro-2H-pyrrolo[3',2':5,6]pyrido[4,3-d]pyrimidin-2-one as a white to off-white solid (3002 g, 91.0% yield): LCMS calculated for C<sub>24</sub>H<sub>28</sub>F<sub>2</sub>N<sub>5</sub>O<sub>4</sub> [M+H]<sup>+</sup>: 488.20; Found: 488.2; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 11.74 (s, 1H), 7.92 (s, 1H), 7.05 (m, 1H), 6.48 (s, 1H), 4.75 (s, 2H), 4.15 (m, 2H), 3.90 (s, 6H), 3.60 (m, 6H), 2.44 (m, 4H), 1.33 (m, 3H).

The solid product was confirmed as a crystalline solid having Form I according to XRPD analysis. The XRPD pattern of Form I is shown in Figure 1 and the peak data is given below in Table 1.

**Table 1. XRPD Peak Data for Form I**

2-Theta	Height	I%
3.8	194	2.5
6.8	7767	100
7.9	105	1.4
9.6	563	7.2
10.1	70	0.9
11.4	96	1.2
12.2	56	0.7
12.9	1131	14.6
13.4	132	1.7
14.4	327	4.2
15.9	181	2.3
17.6	217	2.8
18.2	91	1.2
18.6	723	9.3
19.4	550	7.1
19.9	174	2.2
20.5	192	2.5
21.8	77	1

22.3	197	2.5
22.6	752	9.7
24.0	133	1.7
24.8	127	1.6
25.4	1761	22.7
25.8	3393	43.7
26.2	5024	64.7
27.5	1568	20.2
28.4	120	1.5
29.5	205	2.6
30.0	88	1.1
30.7	75	1
31.0	81	1
31.8	112	1.4
32.7	64	0.8
33.7	69	0.9
34.5	62	0.8
36.6	72	0.9
37.9	76	1
39.1	119	1.5
43.8	51	0.7

Form I exhibits a DSC thermogram having endotherm peaks at temperatures of about 201 °C and about 276 °C. FIG. 2 shows a DSC thermogram of Form I. FIG. 3 shows a TGA thermogram of Form I.

5

### Example 6

#### Crystalline Form Screening Methods and Results

New crystalline forms of the compound of Formula I were obtained from the various screening methods described below. Form I, as described above in Example 5, was used as the starting material in the screens unless otherwise indicated.

10

#### *Solubility Measurement*

Form I was saturated in different solvent systems (**Table 2**) at 25 °C and 50 °C, which were stirred for 24 h and its solubility was measured by HPLC. Form I was found to be completely soluble (>50 mg/mL) in many solvents including DMF (50 °C), DCM, chloroform, DMSO, and DCM/MeOH (9/1) at 25 °C and 50 °C. It was also found to be soluble in most of the other solvents but almost insoluble in water, heptane and hexane, sparingly soluble in MTBE, toluene, IPAc, methanol, and IPA at 25°C. Its solubility generally increased in all the solvents at 50 °C.

15

**Table 2: Solubility Screening**

Solvent	Solubility at 25 °C (mg/mL)	Solubility at 50 °C (mg/mL)
MeCN	5.39	8.39
Chloroform	>50	>50
Dichloromethane	>50 <sup>1</sup>	>50
DCM/MeOH (9/1)	>50	>50
DMF	41.99	>50
1,4-Dioxane	2.23 <sup>2</sup>	5.69 <sup>2</sup>
Methanol	0.64 <sup>3</sup>	2.05
2-Methoxyethanol	15.28	20.49
MIBK	0.43 <sup>4</sup>	1.12
Toluene	0.45	0.82
Hexane	0.00	0.00
THF	4.11	10.04 <sup>5</sup>
Acetone	2.68 <sup>6</sup>	6.67
<i>n</i> -BuOH	0.82	1.65
MTBE	0.05 <sup>7</sup>	0.19
DMSO	>50 <sup>8</sup>	>50
EtOH	0.97	2.73
EtOAc	0.70 <sup>9</sup>	2.26
Ethyl formate	4.16 <sup>10</sup>	15.29
Heptane	0.00	0.00
Isobutyl acetate	0.46	0.58
IPAc	0.88	1.33
1-Propanol	1.16	2.50
IPA	0.56	1.37
IPA/water (4/1)	5.35	8.77
MEK	1.20	3.22

Note: 1. Solubility of Form II in DCM; 2. Solubility of Form III in 1,4-Dioxane; 3. Solubility of Form VI in methanol; 4. Solubility of Form VII in MIBK; 5. Solubility of Form XIII in THF 6. Solubility of Form VIII in acetone; 7. Solubility of Form IX in MTBE; 8. A slurry was obtained after stirring the clear solution of 60 mg Form I in 1 mL DMSO and the solubility of the saturated solution is 6.13 mg/mL. 9. Solubility of Form X in ethyl acetate; 10. Solubility of Form XI in ethyl formate



*Phase Equilibrium Screen at 25, 40, and 50 °C*

Phase equilibration studies were designed to provide information on a predominant crystal form for phase identification. Based on its solubility in various solvent systems (Table 2), Form I was equilibrated in a representative group of solvents at  $25 \pm 1$  °C (Table 3), 40 °C (Table 4a) and  $50 \pm 1$  °C (Table 4). After equilibration, the supernatant was removed by centrifugation or the solid was filtered. XRPD was used to study the solid-state morphology of the crystalline forms.

Phase equilibration at 25 °C resulted in several polymorphic forms including Form II (DCM, 9:1 DCM-MeOH), Form III (1,4-dioxane, centrifuged), Form IV ((1,4-dioxane, filtered), Form V (1,4-dioxane, crystalline solid from saturated solution), Form VI (MeOH), Form VII (MIBK), Form VIII (acetone and MEK), Form IX (MTBE), Form X (ethyl acetate), Form XI (ethyl formate), and partially amorphous or amorphous forms (DMF, DMSO and water).

Phase equilibration at 40 °C resulted in Form II (DCM, DCM/MeOH).

Phase equilibration at 50 °C resulted in two new polymorphic forms including Form XII (1,4-dioxane) and Form XIII (THF). Form VII resulted from MIBK.

**Table 3: Crystal form for phase equilibration at 25°C**

Solvent	Solid State Form
N/A	I
MeCN	I
Chloroform	I
Dichloromethane	II
DCM/MeOH (9/1)	II
DMF	Some crystal + amorphous
1,4-Dioxane	III
1,4-Dioxane	IV
1,4-Dioxane	V
Methanol	VI
2-Methoxyethanol	I
MIBK	VII
Toluene	I
Hexane	I

THF	I
Acetone	VIII
<i>n</i> -BuOH	I
MTBE	IX
DMSO	Amorphous + crystalline form
EtOH	I
EtOAc	X
Ethyl formate	XI
Heptane	I
Isobutyl acetate	I
IPAc	I
1-Propanol	I
IPA	I
IPA/water (4/1)	I
Water	Amorphous
MEK	VIII

**Table 4: Crystal form for phase equilibration at 50°C**

Solvent	Sold State Form
N/A	I
MeCN	I
1,4-Dioxane	XII
MeOH	I
2-Methoxyethanol	I
MIBK	VII
Toluene	I
Hexane	I
THF	XIII
Acetone	I
<i>n</i> -BuOH	I
MTBE	I

EtOH	I
EtOAc	I
Ethyl formate	I
Heptane	I
Isobutyl acetate	I
IPAc	I
1-Propanol	I
IPA	I
IPA/water (4/1)	I
Water	I
MEK	VIII-a

**Table 4a: Crystal form for phase equilibration at 40°C**

Form I (mg)	Solvent (mL)	Result (Solid State Form)
100.2	DCM (0.5 mL)	Slurry (Form II)
100.3	DCM/MeOH (9/1, 0.5 mL)	Slurry (Form II)
100.4	DCM/MeOH/MTBE (0.47/0.03/0.7; 1.2 mL)	Added 0.5 mL of DCM/MeOH to give clear solution at 25 °C, added MTBE to give slurry (Form I)
100.1	DCM/MeOH (0.47/0.03, 0.5 mL)	Slurry (Form II)
99.01	DCM/MeOH (0.47/0.03; 0.6 mL)	Clear solution

*Evaporation Screen at 25 and 50 °C*

- 5 Evaporation studies were carried out to identify the predominant crystal form during uncontrolled precipitation. Experiments that did not result in any particulate solids (i.e. clear thin films and oils) were not studied further. XRPD was used to study the solid-state morphology of the crystalline forms of the evaporation samples at 25 °C and 50 °C. The results are presented in Table 5 (25 °C) and Table 6 (50 °C).

**Table 5: Crystal form identification from evaporation at 25°C**

Solvent	Solid state form
N/A	I
MeCN	I
Chloroform	I+IV
Dichloromethane	II-a
DCM/MeOH (9/1)	II-a
DMF	I
DMF	XIV
1,4-Dioxane	Amorphous + crystalline form
Methanol	N/A
2-Methoxy-ethanol	N/A
MIBK	N/A
Toluene	N/A
Hexane	N/A
THF	I
Acetone	I
<i>n</i> -BuOH	I
MTBE	II-a
DMSO	XV
EtOH	I
EtOAc	I
Ethyl formate	I
Heptane	N/A
Isobutyl acetate	I
IPAc	I
1-Propanol	I
IPA	I
IPA/water (4/1)	I
Water	N/A
MEK	I

N/A: Not available. Either clear solution or the amount of the precipitate was too small to be analyzed by XRPD.

**Table 6: Crystal form identification from evaporation at 50°C**

Solvent	Solid state form
N/A	I
MeCN	I
Chloroform	I
DCM	II
DCM/MeOH (9/1)	I
DMF	I
1,4-Dioxane	I
Methanol	I
2-Methoxyethanol	I
MIBK	I
Toluene	N/A
Hexane	N/A
THF	XVI
Acetone	I
<i>n</i> -BuOH	I
MTBE	N/A
DMSO	XVII
EtOH	I
EtOAc	I
Ethyl formate	I
Heptane	N/A
Isobutyl acetate	I
IPAc	I
1-Propanol	I
IPA	I
IPA/water (4/1)	I
Water	N/A
MEK	I

N/A: Not available. Either clear solution or the amount of the precipitate was too small to be analyzed by XRPD.

#### *Antisolvent Addition Screen*

5 Saturated or near saturated solutions of Compound 1 were prepared by adding Form I to a solvent. An anti-solvent was added to induce precipitation. Heptane, hexane, MTBE, water, MeOH, IPA, IPAc, ethyl acetate and toluene were selected as the anti-solvents. Experiments that did not produce any particulate solids on anti-solvent addition were not studied further.

10 Antisolvent addition resulted in several polymorphic forms including Form XVIII (chloroform/hexane, DCM/toluene and DCM-MeOH/toluene) and Form XIX (DCM/MeOH).

**Table 7. Antisolvent addition**

Solvent/Antisolvent	Form
MeCN (0.5 mL)/ MTBE (4 mL)	N/A
MeCN (0.5 mL)/ water (4 mL)	N/A
Chloroform (1 mL) <sup>1</sup> / Heptane (3 mL)	I
Chloroform (1 mL) <sup>1</sup> / Hexane (3 mL)	XVIII
Chloroform (1 mL) <sup>1</sup> / MTBE (3 mL)	I
DCM (0.5 mL) <sup>2</sup> / Heptane (0.5 mL)	I
DCM (0.5 mL) <sup>2</sup> / Hexane (0.5 mL)	I
DCM (0.5 mL) <sup>2</sup> / MTBE (1 mL)	I
DCM (0.5 mL) <sup>2</sup> / Toluene (4 mL)	XVIII
DCM (0.5 mL) <sup>2</sup> / IPA (4 mL)	I
DCM (0.5 mL) <sup>2</sup> / MeOH (5 mL)	XIX
DCM-MeOH (9/1, 0.5 mL) <sup>3</sup> / Heptane (0.5 mL)	I
DCM-MeOH (9/1, 0.5 mL) <sup>3</sup> / Hexane (0.5 mL)	I
DCM-MeOH (9/1, 0.5 mL) <sup>3</sup> / MTBE (1.0 mL)	I
DCM-MeOH (9/1, 0.5 mL) <sup>3</sup> / Toluene (4.0 mL)	XVIII
DCM-MeOH (9/1, 0.5 mL) <sup>3</sup> / IPA (4.0 mL)	I
DCM-MeOH (9/1, 0.5 mL) <sup>3</sup> / MeOH (3.0 mL)	I
DMF (saturated, 0.8 mL)/ water (4 mL)	I (major) + amorphous
2-methoxyethanol (saturated, 0.7 mL)/ water (4 mL)	N/A
THF (saturated, 1.4 mL)/ Heptane (3.6 mL)	I

THF (saturated, 1.5 mL)/ Hexane (4 mL)	I
THF (saturated, 1.5 mL)/ MTBE (4 mL)	N/A
THF (saturated, 1.5 mL)/ water (4 mL)	N/A

Notes: N/A: not available, samples were too small to analyzed by XRPD

1. The concentration of Compound 1 in chloroform is 83.7 mg/mL
2. The concentration of Compound 1 in DCM is 92 mg/mL
3. The concentration of Compound 1 in DCM/MeOH (9:1) is 80 mg/mL

5

#### *Reverse Addition Screen*

Saturated or near saturated solutions of Compound 1 were prepared in the solvents listed in Table 8, and added to a larger volume of a miscible anti-solvent (i.e. heptane, hexane, MTBE, water, toluene, MeOH, IPA and ethyl acetate). Experiments that did not produce any particulate solids upon addition to the anti-solvent were not studied further.

10

Reverse addition resulted in several polymorphic forms including Form XX (DCM/MTBE), Form XXI (DCM/toluene), and Form XXII (DCM-MeOH/MeOH), and Form IX (THF/MTBE).

**Table 8. Reverse addition**

Solvent/Antisolvent	Form
MeCN/ MTBE	N/A
MeCN/ water	N/A
Chloroform (1 mL) <sup>1</sup> / Heptane (2 mL)	I
Chloroform (1 mL) <sup>1</sup> / Hexane (2.0 mL)	I
Chloroform (1 mL) <sup>1</sup> / MTBE (2.0 mL)	I
DCM (0.8 mL) <sup>2</sup> / Heptane (4.0 mL)	I
DCM (0.8 mL) <sup>2</sup> / Hexane (4.0 mL)	I
DCM (0.8 mL) <sup>2</sup> / MTBE (4.0 mL)	XX
DCM (0.8 mL) <sup>2</sup> / Toluene (4.0 mL)	XXI
DCM (0.8 mL) <sup>2</sup> / IPA (4.0 mL)	I
DCM (0.8 mL) <sup>2</sup> / MeOH (4 mL)	I
DCM (0.8 mL) <sup>2</sup> / IPAc (4 mL)	I
DCM (0.8 mL) <sup>2</sup> / EtOAc (4 mL)	I
DCM-MeOH (9/1, 0.8 mL) <sup>3</sup> / Heptane (4.0 mL)	I
DCM-MeOH (9/1, 0.8 mL) <sup>3</sup> / Hexane (4.0 mL)	I
DCM-MeOH (9/1, 0.8 mL) <sup>3</sup> / MTBE (4.0 mL)	I

DCM-MeOH (9/1, 0.8 mL) <sup>3</sup> / Toluene (4.0 mL)	I
DCM-MeOH (9/1, 0.8 mL) <sup>3</sup> / IPA (4.0 mL)	I
DCM-MeOH (9/1, 0.8 mL) <sup>3</sup> / MeOH (4.0 mL)	XXII
DMF (saturated, 0.8 mL)/ water (4 mL)	I (major) + amorphous
Sample 1922-120-7-2-8 was air-dried for 18 h	I
THF (saturated, 1.5 mL)/ Heptane (4.0 mL)	I
THF (saturated, 1.5 mL)/ Hexane (4.0mL)	I
THF (saturated, 1.5 mL)/ MTBE (4 mL)	IX
THF (saturated, 1.5 mL)/ water (4 mL)	N/A

Notes: N/A: not available, samples were too small to analyzed by XRPD

1. The concentration of Compound 1 in chloroform is 83.7 mg/mL
2. The concentration of Compound 1 in DCM is 55 mg/mL
3. The concentration of Compound 1 in DCM/MeOH (9:1) is 80 mg/mL

5

#### *Quench Cool of Saturated Solution*

Saturated solutions were quench cooled to about -20 °C to induce precipitation of higher energy forms. Representative solvents were chosen based on solubility data measured at 25 °C and 50 °C. The studied solvents and the crystal form of the sample in each of the solvent were shown in Table 4.

10

Quench cool of saturated solutions resulted in Form XXIII (dichloromethane).

**Table 9. Crystal form identification from quench cooling**

Solvent	Form
MeCN (filtered after cooled for 5 h at -20 °C.)	I
Chloroform	N/A
Dichloromethane (filtered after cooled for 3 h at -20 °C.)	XXIII
DCM/MeOH (9/1)	N/A
DMF	N/A
1,4-Dioxane	N/A
2-Methoxyethanol	N/A
THF (filtered after cooled for 3 h at -20 °C.)	XIII-a
Ethyl formate	N/A



IPA/water (4/1)	N/A
-----------------	-----

N/A: Either a clear solution or the amount of the precipitate was too small to be analyzed by XRPD.

### Example 7

#### Stability of the Crystalline Forms

##### 5 *Crystallization of saturated solution with heating and cooling recycles*

Saturated solutions were prepared at 30 °C or 50 °C, and cooled in a bath slowly by using a programmed circulating bath. The formed slurry was then heated to 50 °C over 2 hours and then cooled down to 5 °C over 2 hours. This process was repeated for 3 days and the solid was filtered for further analysis. The results are presented in Table 10.

10 In heating and cooling cycles (Table 10) two new forms were identified including Form XXIV (DMF) and Form XXV (DMSO).

**Table 10: Crystallization of saturated solution with heating and cooling recycles**

Solvent	Solid State Form
MeCN	I
DMF	XXIV
1,4-Dioxane	XIII
2-Methoxyethanol	I
THF	I
Acetone	VIII
DMSO (almost saturated solution was stirred to give slurry)	XXV
Ethyl formate	I
IPA/WATER (4/1)	I

#### *Mixed samples of Compound 1 polymorphs in IPA, EtOH and acetonitrile*

15 To evaluate the transformation of solid forms of Compound 1, competitive slurry experiments were performed as follows. To the saturated solution of Form I in the solvent as listed in Table 11 was added Form I (5 mg), then 5 mg each of Form II through Form XXVI.

The slurry was stirred overnight, filtered and analyzed by XRPD. The results in Table 11 revealed that Form I appears to be most stable in IPA, ethanol and acetonitrile.

20

**Table 11. Mixed samples in different solvents (competitive slurries)**

Solvent	Solid State Form
Iso-propanol (1.7 mL)	Form I
Ethanol (1.7 mL)	Form I
Acetonitrile (1.7 mL)	Form I

*Mixed samples of Compound 1 polymorphs in dichloromethane/methanol (9/1)*

A competitive slurry experiment was conducted in dichloromethane/methanol (9/1) according to the procedure in Table 12. The mixture of forms (Form I through Form XXVI) was converted to Form II after 15 min as shown by XRPD at various time points including 15 mins, 1 h, 18 h and 3 days, which indicated the Form II was consistently obtained. Form II is the stable polymorphic form in the solvent system.

**Table 12. Competitive Slurry Experiment**

OP#	Operation	Result
1	The cloudy solution of 97 mg of Form I in DCM/MeOH (9:1, 0.6 mL) was added to the mixture of 5 mg each of Compound 1 polymorphs (Form I through Form XXVI)	
2	Stirred for 15 min, XRPD (Form II)	Form II
3	Stirred for 60 min, XRPD (Form II)	Form II
4	Stirred for 18 h, XRPD (Form II)	Form II
5	Stirred for 3 days, XRPD (Form II)	Form II

*Conversion of Form II to Form I in different solvents*

The Form II was converted to Form I in acetonitrile, ethanol and IPA respectively as described in Table 13.

**Table 13. Conversion of Form II to Form I in different solvents**

OP#	Form II	Solvent (mL)	Result
1	18 mg	MeCN (0.7)	Form I
2	18 mg	IPA (0.7 )	Form I
3	18 mg	EtOH (0.7)	Form I

**Example 8****Preparation and Characterization of Form II**

About 3 mL of saturated solution of Form I in DCM were evaporated under air without stirring at  $50 \pm 1$  °C to give solid, which was characterized by XRPD, DSC and TGA as Form II.

**Table 14. XRPD Peak Data for Form II**

2-Theta	Height	I%
6.8	3218	100
8.0	46	1.4
9.5	412	12.8
12.8	134	4.2
13.3	118	3.7
16.3	102	3.2
17.5	53	1.6
19.0	134	4.2
19.4	63	2
20.5	115	3.6
22.6	382	11.9
25.8	505	15.7
26.2	679	21.1
27.4	247	7.7
29.4	63	2

Form II exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. FIG. 5 shows a DSC thermogram of Form II. FIG. 6 shows a TGA thermogram of Form II.

### Example 9

#### Preparation and Characterization of Form II-a

About 3 mL of saturated solution of Compound 1, Form I in DCM were evaporated under air without stirring at  $25 \pm 1$  °C to give solid, which was characterized by XRPD, DSC and TGA as Form II-a.

**Table 15. XRPD Peak Data for Form II-a**

2-Theta	Height	I%
4.6	43	2.5
6.9	1742	100
9.4	751	43.1
10.1	53	3
12.9	197	11.3
13.3	246	14.1
14.5	115	6.6
15.1	131	7.5
16.3	391	22.4
17.5	265	15.2
19.0	221	12.7

19.9	449	25.8
22.0	196	11.3
22.5	577	33.1
24.3	116	6.7
26.1	977	56.1
27.4	260	14.9
30.6	106	6.1
36.6	75	4.3

Form II-a exhibits a DSC thermogram having an endotherm peak at a temperature of about 275 °C. FIG. 8 shows a DSC thermogram of Form IIa. FIG. 9 shows a TGA thermogram of Form IIa.

5

### Example 10

#### Preparation and Characterization of Form III

To about 5 mL of cloudy solutions of Compound 1, Form I, prepared in 1,4-dioxane was added about 20 mg of Compound 1, Form I followed by stirring at  $25 \pm 1$  °C for 2 days, of which the supernatant was removed by centrifugation. The solid analyzed by XRPD as Form III.

10

**Table 16. XRPD Peak Data for Form III**

2-Theta	Height	I%
3.5	532	50.1
6.7	151	14.2
7.4	37	3.5
8.5	203	19.1
9.3	56	5.3
9.9	113	10.7
10.7	109	10.3
11.3	38	3.6
13.9	627	59.1
15.0	388	36.6
15.3	493	46.5
16.0	170	16
16.8	366	34.5
18.6	1061	100
19.3	209	19.7
20.5	104	9.8
21.5	478	45.1
22.1	98	9.2
22.9	253	23.8
23.4	193	18.2
24.2	392	36.9

25.2	58	5.5
25.9	257	24.2
26.5	107	10.1
27.6	71	6.7
28.2	115	10.8
29.3	136	12.8
30.2	96	9
30.9	60	5.7
31.2	62	5.8
32.5	60	5.7
33.1	73	6.9
34.4	54	5.1
36.1	60	5.7
41.0	70	6.6
42.7	42	4
43.2	36	3.4

Form III exhibits a DSC thermogram having endotherm peaks at temperatures of about 101 °C, about 204 °C, and about 276 °C. FIG. 11 shows a DSC thermogram of Form III. FIG. 12 shows a TGA thermogram of Form III.

5

### Example 11

#### Preparation and Characterization of Form IV

To about 5 mL of saturated or cloudy solutions of Compound 1, Form I, prepared in 1,4-dioxane was added about 20 mg of Compound 1, Form I followed by stirring at  $25 \pm 1$  °C for 6 days, which was filtered and analyzed by XRPD as Form IV.

10

**Table 17. XRPD Peak Data for Form IV**

2-Theta	Height	I%
5.8	83	2.2
10.1	1486	39.4
11.5	374	9.9
13.0	1078	28.6
14.0	2326	61.6
14.8	426	11.3
15.6	1027	27.2
17.3	928	24.6
18.4	2315	61.3
20.2	567	15
21.0	162	4.3
21.4	523	13.9
22.2	3642	96.5
22.7	1261	33.4

23.5	225	6
24.3	3775	100
26.4	2703	71.6
26.8	1150	30.5
28.0	515	13.6
29.3	140	3.7
30.0	322	8.5
30.5	471	12.5
32.1	233	6.2
32.7	326	8.6
34.0	726	19.2
34.6	218	5.8
36.1	305	8.1
37.9	506	13.4
39.6	323	8.6
40.6	106	2.8
41.4	81	2.1
43.6	183	4.8
44.1	126	3.3

Form IV exhibits a DSC thermogram having endotherm peaks at temperatures of about 109 °C, about 203 °C, and about 278 °C. FIG. 14 shows a DSC thermogram of Form IV. FIG. 15 shows a TGA thermogram of Form IV.

## 5 Example 12

### Preparation and Characterization of Form V

A saturated solution of Compound 1, Form I, was placed in hood for more than 30 days to give crystalline solid, which was filtered and analyzed by XRPD as Form V.

**Table 18. XRPD Peak Data for Form V**

2-Theta	Height	I%
6.7	833	1.3
7.4	64827	100
9.5	70	0.1
10.9	518	0.8
11.5	823	1.3
12.4	104	0.2
13.8	761	1.2
14.8	17728	27.3
15.3	750	1.2
16.1	243	0.4
17.0	268	0.4
17.4	850	1.3
18.2	140	0.2

19.2	226	0.3
20.2	219	0.3
20.5	840	1.3
21.3	2445	3.8
22.0	1989	3.1
22.3	11335	17.5
23.2	478	0.7
23.9	313	0.5
24.2	148	0.2
25.9	331	0.5
26.3	217	0.3
26.7	649	1
27.2	195	0.3
28.1	331	0.5
29.2	690	1.1
30.0	88	0.1
30.4	94	0.1
31.6	137	0.2
33.1	219	0.3
34.4	87	0.1
35.2	91	0.1
36.8	184	0.3
37.6	829	1.3
42.9	219	0.3

Form V exhibits a DSC thermogram having endotherm peaks at temperatures of about 91 °C, about 203 °C, and about 276 °C. FIG. 17 shows a DSC thermogram of Form V.

### 5 Example 13

#### Preparation and Characterization of Form VI

To about 5 mL of saturated or cloudy solutions of Compound 1, Form I, prepared in methanol was added about 20 mg of Compound 1, Form I, followed by stirring at  $25 \pm 1$  °C for 3 days, which was filtered and analyzed by XRPD as Form VI.

10 **Table 19. XRPD Peak Data for Form VI**

2-Theta	Height	I%
7.8	102	10.3
9.1	757	76.6
9.5	388	39.3
10.2	230	23.3
11.4	279	28.2
12.1	278	28.1
12.6	106	10.7

13.4	188	19
14.4	464	47
15.9	234	23.7
17.6	514	52
18.6	458	46.4
19.2	324	32.8
19.9	443	44.8
20.4	77	7.8
21.0	75	7.6
22.3	716	72.5
22.7	265	26.8
23.4	66	6.7
24.1	83	8.4
25.4	536	54.3
26.2	988	100
27.5	207	21
29.1	136	13.8
31.1	67	6.8
36.6	64	6.5

Form VI exhibits a DSC thermogram having an endotherm peak at a temperature of about 275 °C. FIG. 19 shows a DSC thermogram of Compound 1, Form VI. FIG. 20 shows a TGA thermogram of Compound 1, Form VI.

5

#### Example 14

##### Preparation and Characterization of Form VII

To about 5 mL of saturated or cloudy solutions of Compound 1, Form I, prepared in methyl isobutyl ketone was added about 20 mg of Compound 1, Form I followed by stirring at  $25 \pm 1$  °C for 3 days, which was filtered and analyzed by XRPD as Form VII.

10

**Table 20. XRPD Peak Data for Form VII**

2-Theta	Height	I%
8.2	116	15.1
9.8	768	100
12.3	96	12.5
15.4	519	67.6
16.0	84	10.9
16.2	132	17.2
17.4	93	12.1
17.9	223	29
18.8	375	48.8
19.6	554	72.1
20.1	357	46.5



21.1	266	34.6
22.3	191	24.9
22.8	127	16.5
23.1	130	16.9
23.7	112	14.6
24.3	236	30.7
25.5	139	18.1
26.7	74	9.6
27.6	48	6.3
29.3	62	8.1
29.7	67	8.7
30.5	40	5.2
32.1	37	4.8
35.9	32	4.2
38.6	58	7.6
42.1	56	7.3

Form VII exhibits a DSC thermogram having endotherm peaks at temperatures of about 88 °C, about 201 °C, and about 276 °C. FIG. 22 shows a DSC thermogram of Form VII. FIG. 23 shows a TGA thermogram of Form VII.

5

### Example 15

#### Preparation and Characterization of Form VIII

To about 5 mL of cloudy solutions of Compound 1, Form I, prepared in acetone was added about 20 mg of Compound 1, Form I, followed by stirring at  $25 \pm 1$  °C for 3 days, which was filtered and analyzed by XRPD as Form VIII.

10

**Table 21. XRPD Peak Data for Form VIII**

2-Theta	Height	I%
9.1	953	39.6
9.9	196	8.1
15.2	409	17
16.7	650	27
18.2	2409	100
18.6	589	24.4
20.2	2079	86.3
21.4	196	8.1
22.5	768	31.9
23.8	64	2.7
24.6	701	29.1
25.4	83	3.4
26.8	376	15.6
27.5	123	5.1

29.8	376	15.6
30.6	64	2.7
31.4	239	9.9
32.0	61	2.5
34.8	87	3.6
35.9	162	6.7
40.0	50	2.1

Form VIII exhibits a DSC thermogram having endotherm peaks at temperatures of about 201 °C and about 277 °C. FIG. 25 shows a DSC thermogram of Compound 1, Form VIII. FIG. 26 shows a TGA thermogram of Compound 1, Form VIII.

5

### Example 16

#### Preparation and Characterization of Form VIII-a

To about 5 mL of cloudy solutions of Compound 1, Form I prepared in methyl ethyl ketone was added about 30 mg of Compound 1, Form I followed by stirring at  $50 \pm 1$  °C for 2 days, which was filtered and analyzed by XRPD as Form VIII-a.

10

**Table 22. XRPD Peak Data for Form VIII-a**

2-Theta	Height	I%
7.7	104	1.7
8.9	1887	30.7
9.7	311	5.1
14.6	346	5.6
15.0	492	8
15.5	230	3.7
16.2	1484	24.1
18.0	6145	100
18.4	1644	26.8
19.3	291	4.7
19.9	6032	98.2
21.1	1654	26.9
22.0	2276	37
22.9	144	2.3
23.5	804	13.1
24.1	1923	31.3
24.3	1489	24.2
25.3	429	7
25.8	202	3.3
26.3	846	13.8
27.1	516	8.4
28.0	144	2.3
28.5	100	1.6

29.1	317	5.2
29.5	1196	19.5
30.2	84	1.4
30.9	570	9.3
31.3	541	8.8
31.6	271	4.4
32.1	90	1.5
32.6	439	7.1
34.1	187	3
35.5	643	10.5
36.1	172	2.8
36.9	143	2.3
38.0	187	3
39.4	276	4.5
40.4	82	1.3
41.0	116	1.9
42.1	181	2.9
42.7	92	1.5

**Example 17****Preparation and Characterization of Form IX**

- 5 To about 5 mL of cloudy solutions of Compound 1, Form I prepared in MTBE was added about 20 mg of Compound 1, Form I, followed by stirring at  $25 \pm 1$  °C for 3 days, which was filtered and analyzed by XRPD as Form IX.

**Table 23. XRPD Peak Data for Form IX**

2-Theta	Height	I%
8.5	424	31
9.2	982	71.8
12.1	521	38.1
13.9	304	22.2
14.6	813	59.5
15.6	692	50.6
16.8	361	26.4
18.6	893	65.3
19.3	413	30.2
22.4	1188	86.9
22.9	1367	100
24.6	528	38.6
26.1	107	7.8
29.4	259	18.9
30.1	98	7.2
31.4	339	24.8

32.8	62	4.5
35.7	67	4.9

Form IX exhibits a DSC thermogram having endotherm peaks at temperatures of about 201 °C, and about 276 °C. FIG. 29 shows a DSC thermogram of Form IX. FIG. 30 shows a TGA thermogram of Form IX.

5

### Example 18

#### Preparation and Characterization of Form X

To about 5 mL of cloudy solutions of Compound 1, Form I, prepared in ethyl acetate was added about 20 mg of Compound 1, Form I followed by stirring at  $25 \pm 1$  °C for 3 days, which was filtered and analyzed by XRPD as Form X.

10

**Table 24. XRPD Peak Data for Form X**

2-Theta	Height	I%
4.9	113	9.6
10.1	971	82.7
11.3	167	14.2
12.3	95	8.1
13.7	63	5.4
14.6	696	59.3
15.4	603	51.4
15.7	1174	100
17.2	186	15.8
18.1	974	83
19.5	245	20.9
20.0	637	54.3
22.3	912	77.7
23.8	234	19.9
25.3	370	31.5
25.7	495	42.2
26.3	579	49.3
30.3	56	4.8
30.9	56	4.8
32.0	128	10.9
34.4	101	8.6
37.8	46	3.9

Form X exhibits a DSC thermogram having endotherm peaks at temperatures of about 202 °C and about 276 °C. FIG. 32 shows a DSC thermogram of Form X. FIG. 33 shows a TGA thermogram of Form X.

15

**Example 19****Preparation and Characterization of Form XI**

To about 5 mL of cloudy solutions of Compound 1, Form I, prepared in ethyl formate was added about 20 mg of Compound 1, Form I, followed by stirring at  $25 \pm 1$  °C for 3 days, which was filtered and analyzed by XRPD as Form XI

**Table 25. XRPD Peak Data for Form XI**

2-Theta	Height	I%
3.9	381	42.9
4.3	231	26
7.5	548	61.6
8.6	70	7.9
11.8	70	7.9
13.0	889	100
13.7	167	18.8
15.0	156	17.5
16.5	104	11.7
17.3	377	42.4
19.1	207	23.3
19.9	340	38.2
21.4	557	62.7
22.2	117	13.2
22.8	442	49.7
23.7	86	9.7
24.6	68	7.6
25.2	646	72.7
26.2	418	47
26.9	255	28.7
27.7	178	20
29.4	131	14.7
30.3	115	12.9
31.0	83	9.3
31.5	66	7.4
33.3	125	14.1
33.9	67	7.5
35.7	78	8.8
37.4	54	6.1
40.3	59	6.6
42.6	56	6.3

Form XI exhibits a DSC thermogram having endotherm peaks at temperatures of about 141 °C and about 279 °C. FIG. 35 shows a DSC thermogram of Form XI. FIG. 36 shows a TGA thermogram of Form XI.

**Example 20****Preparation and Characterization of Form XII**

To about 5 mL of cloudy solutions of Compound 1, Form I, prepared in 1,4-dioxane was added about 30 mg of Compound 1, Form I, followed by stirring at  $50 \pm 1$  °C for 2 days, which was filtered and analyzed by XRPD as Form XII.

**Table 26. XRPD Peak Data for Form XII**

2-Theta	Height	I%
3.9	151	12.4
7.5	161	13.3
9.8	199	16.4
11.5	112	9.2
12.9	233	19.2
14.1	721	59.4
14.9	87	7.2
17.3	391	32.2
18.3	797	65.7
20.5	128	10.6
22.1	1213	100
22.7	719	59.3
23.6	140	11.5
24.3	408	33.6
26.3	844	69.6
26.9	178	14.7
28.3	69	5.7
30.5	138	11.4
32.6	71	5.9
34.1	154	12.7
36.3	89	7.3
37.8	119	9.8
39.5	70	5.8

Form XII exhibits a DSC thermogram having endotherm peaks at temperatures of about 105 °C and about 276 °C. FIG. 38 shows a DSC thermogram of Form XII. FIG. 39 shows a TGA thermogram of Form XII.

**Example 21****Preparation and Characterization of Form XIII**

To about 5 mL of cloudy solutions of Compound 1, Form I, prepared in THF was added about 30 mg of Compound 1, Form I, followed by stirring at  $50 \pm 1$  °C for 2 days, which was filtered and analyzed by XRPD as Form XIII.

**Table 27. XRPD Peak Data for Form XIII**

2-Theta	Height	I%
4.0	162	11.3
7.7	1438	100
10.9	166	11.5
11.6	175	12.2
14.2	302	21
15.2	629	43.7
15.7	1150	80
16.6	78	5.4
17.8	368	25.6
19.0	424	29.5
21.9	922	64.1
22.2	436	30.3
23.1	1034	71.9
25.6	595	41.4
26.1	1333	92.7
31.6	89	6.2
34.8	80	5.6
37.0	143	9.9

Form XIII exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. FIG. 41 shows a DSC thermogram of Form XIII. FIG. 42 shows a TGA thermogram of Form XIII.

## Example 22

### Preparation and Characterization of Form XIII-a

About 10 mL of saturated solution of Compound 1, Form I, in THF, prepared at 35 °C, was quenched to about -20 °C, and kept at the temperature for 3 h to give thin slurry, which was filtered and analyzed by XRPD as Form XIII-a.

**Table 28. XRPD Peak Data for Form XIII-a**

2-Theta	Height	I%
3.6	132	4.8
6.9	1047	38.2
7.7	2739	100
8.3	289	10.6
9.5	156	5.7
10.4	760	27.7
10.9	208	7.6
11.4	169	6.2
12.1	259	9.5

14.4	241	8.8
15.2	864	31.5
17.3	223	8.1
18.6	425	15.5
19.7	565	20.6
20.1	177	6.5
20.6	113	4.1
21.5	687	25.1
22.3	297	10.8
22.6	562	20.5
23.0	459	16.8
25.5	541	19.8
26.2	942	34.4
27.5	233	8.5
28.3	160	5.8
31.2	88	3.2

Form XIII-a exhibits a DSC thermogram having endotherm peaks at temperatures of about 75 °C and about 276 °C. FIG. 44 shows a DSC thermogram of Form XIIIa.

## 5 Example 23

### Preparation and Characterization of Form XIV

Approximately 2.0 mL of saturated solution of Compound 1, Form I, in DMF were evaporated under air without stirring at  $25 \pm 1$  °C and the resulting solid was analyzed by XRPD as Form XV.

## 10 Table 29. XRPD Peak Data for Form XIV

2-Theta	Height	I%
7.0	722	84.5
8.6	175	20.5
9.2	179	21
9.6	249	29.2
10.3	241	28.2
11.5	218	25.5
12.2	173	20.3
12.8	79	9.3
13.5	62	7.3
14.1	854	100
14.5	289	33.8
16.1	488	57.1
16.8	55	6.4
17.6	246	28.8
18.3	240	28.1



18.7	198	23.2
19.3	283	33.1
20.0	805	94.3
20.9	136	15.9
22.0	661	77.4
22.3	407	47.7
22.9	339	39.7
23.6	123	14.4
24.0	70	8.2
25.8	774	90.6
26.2	365	42.7
27.5	97	11.4
29.0	106	12.4
30.2	66	7.7
33.2	45	5.3
34.7	90	10.5
36.7	47	5.5

Form XIV exhibits a DSC thermogram having endotherm peaks at temperatures of about 78 °C, about 118 °C, and about 277 °C. FIG. 46 shows a DSC thermogram of Form XIV. FIG. 47 shows a TGA thermogram of Form XIV.

5

#### Example 24

##### Preparation and Characterization of Form XV

Approximately 2.0 mL of saturated solution of Compound 1, Form I, in DMSO were evaporated under air without stirring at  $25 \pm 1$  °C and the resulting solid was analyzed by XRPD as Form XV.

10

**Table 30. XRPD Peak Data for Form XV**

2-Theta	Height	I%
3.9	120	8.1
8.9	172	11.6
9.2	419	28.2
14.3	59	4
15.2	59	4
15.6	279	18.8
16.6	183	12.3
18.5	1485	100
19.8	74	5
20.3	294	19.8
21.1	122	8.2
21.4	470	31.6
21.8	289	19.5

22.4	1467	98.8
23.2	86	5.8
23.8	133	9
24.5	221	14.9
24.9	220	14.8
25.5	129	8.7
25.8	218	14.7
27.2	136	9.2
28.0	97	6.5
29.4	129	8.7
30.0	320	21.5
31.1	339	22.8
31.9	267	18
32.4	208	14
33.2	342	23
33.7	135	9.1
35.1	93	6.3
36.0	55	3.7
36.7	109	7.3
37.2	70	4.7
38.7	83	5.6
40.0	56	3.8
42.8	160	10.8
43.1	126	8.5

Form XV exhibits a DSC thermogram having endotherm peaks at temperatures of about 119 °C and about 276 °C. FIG. 49 shows a DSC thermogram of Form XV. FIG. 50 shows a TGA thermogram of Form XV.

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### Example 25

#### Preparation and Characterization of Form XVI

Approximately 4.0 mL of saturated solution of Compound 1, Form I, in THF were evaporated under air without stirring at  $50 \pm 1$  °C and the resulting solid was analyzed by

10 XRPD as Form XVI.

**Table 31. XRPD Peak Data for Form XVI**

2-Theta	Height	I%
6.8	907	68.7
9.4	327	24.8
10.1	170	12.9
10.7	440	33.3
11.4	169	12.8
12.1	143	10.8

12.8	66	5
13.3	62	4.7
14.0	758	57.4
14.9	1320	100
16.0	364	27.6
17.5	277	21
18.2	150	11.4
18.5	209	15.8
19.2	222	16.8
19.9	951	72
20.9	218	16.5
22.2	691	52.3
22.7	168	12.7
23.5	335	25.4
24.5	276	20.9
25.4	338	25.6
25.7	573	43.4
26.1	529	40.1
27.3	241	18.3
29.0	72	5.5
30.2	603	45.7
32.5	49	3.7
36.6	168	12.7

Form XVI exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. FIG. 52 shows a DSC thermogram of Form XVI. FIG. 53 shows a TGA thermogram of Form XVI.

5

### Example 26

#### Preparation and Characterization of Form XVII

Approximately 2.0 mL of saturated solution of Compound 1, Form I, in DMSO were evaporated under air without stirring at  $50 \pm 1$  °C and the resulting solid was analyzed by

10 XRPD as Form XVII.

**Table 32. XRPD Peak Data for Form XVII**

2-Theta	Height	I%
3.8	135	0.6
7.9	96	0.4
8.8	52	0.2
10.1	57	0.3
14.1	86	0.4
15.7	3210	14.8

15.9	179	0.8
16.2	178	0.8
16.5	240	1.1
18.1	2253	10.4
18.4	21691	100
18.8	292	1.3
20.1	304	1.4
21.0	52	0.2
21.7	817	3.8
22.3	117	0.5
24.0	153	0.7
24.5	736	3.4
24.8	56	0.3
25.6	147	0.7
28.4	107	0.5
29.3	339	1.6
29.9	148	0.7
30.1	95	0.4
31.7	81	0.4
32.2	3360	15.5
32.5	259	1.2
33.0	61	0.3
33.5	75	0.3
34.9	270	1.2
35.8	88	0.4
36.4	59	0.3
37.0	174	0.8
38.7	286	1.3
39.7	276	1.3
40.7	185	0.9
41.9	242	1.1
43.2	46	0.2

Form XVII exhibits a DSC thermogram having endotherm peaks at temperatures of about 119 °C and about 276 °C. FIG. 55 shows a DSC thermogram of Form XVII. FIG. 56 shows a TGA thermogram of Form XVII.

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### Example 27

#### Preparation and Characterization of Form XVIII

To about 1 mL of saturated solution of Compound 1, Form I, prepared in chloroform was added 3.0 mL hexane to give a slurry, which was filtered and analyzed by XRPD as

10 Form XVIII.

**Table 33. XRPD Peak Data for Form XVIII**

2-Theta	Height	I%
6.8	958	17.2
9.4	5580	100
10.3	985	17.7
11.9	1397	25
12.6	792	14.2
13.4	798	14.3
13.9	389	7
14.6	3188	57.1
16.2	1820	32.6
17.5	1759	31.5
18.3	939	16.8
18.8	3352	60.1
19.7	288	5.2
20.8	744	13.3
21.4	545	9.8
22.3	2328	41.7
22.7	3594	64.4
23.6	360	6.5
24.0	557	10
24.6	73	1.3
25.4	1582	28.4
26.0	506	9.1
26.6	1676	30
27.0	101	1.8
27.9	219	3.9
28.5	303	5.4
29.4	671	12
29.9	447	8
30.8	626	11.2
31.5	136	2.4
33.4	157	2.8
33.7	232	4.2
34.4	116	2.1
34.8	77	1.4
36.1	594	10.6
36.8	108	1.9
38.0	154	2.8
38.2	269	4.8
40.4	141	2.5
40.9	81	1.5
41.4	91	1.6
42.0	77	1.4
42.6	91	1.6

43.0	98	1.8
44.0	126	2.3

Form XVIII exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. FIG. 58 shows a DSC thermogram of Form XVIII. FIG. 59 shows a TGA thermogram of Form XVIII.

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### Example 28

#### Preparation and Characterization of Form XIX

To about 0.5 mL of saturated solution of Compound 1, Form I, prepared in DCM was added 5.0 mL methanol to give a slurry, which was filtered and analyzed by XRPD as Form

10 XIX.

**Table 34. XRPD Peak Data for Form XIX**

2-Theta	Height	I%
3.9	188	4.2
4.7	44	1
6.7	986	22.1
8.5	83	1.9
9.4	459	10.3
10.0	1260	28.3
11.3	189	4.2
12.0	151	3.4
12.6	106	2.4
13.6	593	13.3
14.3	257	5.8
14.9	232	5.2
15.7	106	2.4
16.7	205	4.6
17.4	971	21.8
18.0	4459	100
19.4	186	4.2
20.2	1645	36.9
20.5	420	9.4
21.4	1036	23.2
22.1	980	22
22.8	252	5.7
23.4	161	3.6
24.0	186	4.2
24.8	397	8.9
25.0	682	15.3
26.0	263	5.9
26.9	357	8

27.3	105	2.4
28.1	77	1.7
28.6	216	4.8
30.2	207	4.6
31.3	188	4.2
31.7	362	8.1
33.8	108	2.4
34.6	53	1.2
35.7	56	1.3
37.3	91	2
39.0	112	2.5
40.1	67	1.5
41.8	63	1.4
42.2	57	1.3

Form XIX exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. FIG. 61 shows a DSC thermogram of Form XIX. FIG. 62 shows a TGA thermogram of Form XIX.

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#### Example 29

#### Preparation and Characterization of Form XX

To 4.0 mL of MTBE was added 0.8 mL of saturated solution of Compound 1, Form I, prepared in dichloromethane to give slurry, which was filtered and analyzed by XRPD as

10

**Table 35. XRPD Peak Data for Form XX**

2-Theta	Height	I%
3.6	121	1.4
9.2	8874	100
12.1	349	3.9
13.9	395	4.5
14.7	3145	35.4
15.6	1104	12.4
16.9	507	5.7
18.6	2044	23
19.4	187	2.1
21.1	84	0.9
22.3	1340	15.1
22.5	2189	24.7
23.0	5723	64.5
24.7	1414	15.9
25.5	232	2.6
26.1	140	1.6

28.3	66	0.7
29.5	1207	13.6
30.1	146	1.6
31.4	456	5.1
32.0	219	2.5
32.8	326	3.7
34.8	56	0.6
36.0	185	2.1
37.1	121	1.4
38.2	113	1.3
38.8	63	0.7
41.9	265	3

Form XX exhibits a DSC thermogram having endotherm peaks at temperatures of about 108 °C, about 202 °C, and about 277 °C. FIG. 64 shows a DSC thermogram of Form XX. FIG. 65 shows a TGA thermogram of Form XX.

5

### Example 30

#### Preparation and Characterization of Form XXI

To 4.0 mL of toluene was added 0.8 mL of saturated solution of Compound 1, Form I, prepared in dichloromethane to give slurry, which was filtered and analyzed by XRPD as XXI.

10

**Table 36. XRPD Peak Data for Form XXI**

2-Theta	Height	I%
3.9	150	5.9
6.5	158	6.3
6.9	116	4.6
9.2	81	3.2
10.3	2523	100
11.3	130	5.2
12.2	187	7.4
13.2	319	12.6
14.2	1367	54.2
17.5	439	17.4
19.4	379	15
20.7	1031	40.9
21.5	112	4.4
22.6	1331	52.8
24.2	2072	82.1
26.5	149	5.9
27.1	458	18.2
28.5	147	5.8



33.3	57	2.3
35.8	77	3.1
36.8	125	5
42.9	102	4

Form XXI exhibits a DSC thermogram having endotherm peaks at temperatures of about 201 °C, and about 277 °C. FIG. 67 shows a DSC thermogram of Compound 1, Form XXI.

5

### Example 31

#### Preparation and Characterization of Form XXII

To 4.0 mL of methanol was added 0.8 mL of saturated solution of Compound 1, Form I, prepared in the mixture of dichloromethane and methanol (9:1) to give a slurry, which was

10

**Table 37. XRPD Peak Data for Form XXII**

2-Theta	Height	I%
3.5	118	6.1
4.7	70	3.6
6.8	1950	100
7.9	59	3
9.4	861	44.2
10.1	553	28.4
11.4	533	27.3
12.1	407	20.9
12.6	152	7.8
13.3	230	11.8
14.3	571	29.3
15.8	301	15.4
17.5	620	31.8
18.0	229	11.7
18.5	620	31.8
19.2	399	20.5
19.8	521	26.7
20.3	154	7.9
20.9	97	5
22.2	874	44.8
22.6	291	14.9
23.5	71	3.6
24.0	93	4.8
25.3	599	30.7
26.1	1022	52.4
27.4	214	11

29.0	159	8.2
30.9	79	4.1
31.6	51	2.6
34.5	47	2.4
36.4	64	3.3
39.5	46	2.4
40.0	50	2.6

Form XXII exhibits a DSC thermogram having an endotherm peak at a temperature of about 276 °C. FIG. 69 shows a DSC thermogram of Compound 1, Form XXII.

## 5 Example 32

### Preparation and Characterization of Form XXIII

About 2 mL of saturated solution of Compound 1, Form I, in DCM was quenched to about -20 °C, and kept at the temperature for 3 h to give a slurry, which was filtered and analyzed by XRPD as Form XXIII

10 **Table 38. XRPD Peak Data for Form XXIII**

2-Theta	Height	I%
6.7	251	2.9
8.7	59	0.7
12.0	6208	72.1
12.7	7944	92.2
13.2	817	9.5
14.3	714	8.3
16.4	360	4.2
17.3	214	2.5
18.9	1521	17.7
19.6	923	10.7
21.0	3317	38.5
22.7	520	6
24.9	2536	29.4
25.6	8613	100
26.5	311	3.6
28.7	293	3.4
29.6	78	0.9
30.7	193	2.2
31.1	187	2.2
34.5	72	0.8
35.4	90	1
36.4	150	1.7
37.6	145	1.7
39.9	84	1
42.8	104	1.2

43.7	217	2.5
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Form XXIII exhibits a DSC thermogram having an endotherm peak at a temperature of about 277 °C. FIG. 71 shows a DSC thermogram of Form XXIII. FIG. 72 shows a TGA thermogram of Form XXIII.

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### Example 33

#### Preparation and Characterization of Form XXIV

Approximately 3 mL of saturated solutions of Compound 1, Form I, in DMF was prepared at 30 °C to 50 °C and cooled to 25 °C in a bath slowly by using a programmed  
10 circulating bath. The formed solution was heated to 50 °C over 2 hours and then cooled to 5 °C over 2 hours. This process was repeated for 76 hrs and the solid was isolated by filtration and analyzed by XRPD as Form XXIV.

**Table 39. XRPD Peak Data for Form XXIV**

2-Theta	Height	I%
8.6	1794	38.4
9.7	659	14.1
11.5	97	2.1
13.8	325	7
15.6	4674	100
16.1	102	2.2
16.5	95	2
17.4	566	12.1
18.1	1472	31.5
18.6	95	2
19.4	1000	21.4
20.4	2789	59.7
21.1	545	11.7
22.2	1511	32.3
22.9	3619	77.4
23.6	524	11.2
24.2	1442	30.9
24.9	488	10.4
25.5	1577	33.7
26.0	1382	29.6
27.5	916	19.6
27.9	1312	28.1
28.7	874	18.7
29.1	179	3.8
29.8	658	14.1
30.7	359	7.7

31.0	402	8.6
32.8	153	3.3
33.5	435	9.3
34.3	464	9.9
35.3	490	10.5
36.0	361	7.7
36.4	237	5.1
38.1	82	1.8
38.9	189	4
39.3	218	4.7
40.3	141	3
40.6	230	4.9
41.4	225	4.8
43.1	248	5.3
43.9	210	4.5
44.2	131	2.8

Form XXIV exhibits a DSC thermogram having an endotherm peak at a temperature of about 277 °C. FIG. 74 shows a DSC thermogram of Form XXIV. FIG. 75 shows a TGA thermogram of Form XXIV.

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#### Example 34

##### Preparation and Characterization of Form XXV

Approximately 2 mL of saturated solutions of Compound 1, Form I, in DMSO was prepared at 30 °C to 50 °C and cooled to 25 °C in a bath slowly by using a programmed circulating bath. The formed solution was heated to 50 °C over 2 hours and then cooled to 5 °C over 2 hours. This process was repeated for 76 hrs and the solid was isolated by filtration and analyzed by XRPD as Form XXV.

10

**Table 40. XRPD Peak Data for Form XXV**

2-Theta	Height	I%
16.6	190	22.7
18.4	837	100
20.4	374	44.7
21.7	181	21.6
22.4	572	68.3
24.4	423	50.5
24.9	300	35.8
25.7	149	17.8
26.6	60	7.2
27.1	166	19.8
29.9	331	39.5

31.1	142	17
31.9	162	19.4
32.4	108	12.9
33.3	130	15.5
33.8	67	8
35.2	67	8
35.8	203	24.3
36.8	108	12.9
38.9	202	24.1
41.9	116	13.9
42.7	157	18.8
43.1	105	12.5

Form XXV exhibits a DSC thermogram having endotherm peaks at temperatures of about 113 °C and about 276 °C. FIG. 77 shows a DSC thermogram of Form XXV.

## 5 Example 35

### Preparation and Characterization of Form XXVI

Form V was dried under vacuum at 50 °C for 3 days to yield Form XXVI.

**Table 41. XRPD Peak Data for Form XXVI**

2-Theta	Height	I%
6.8	1089	98.6
9.4	198	17.9
9.9	1104	100
10.6	74	6.7
12.7	66	6
13.3	49	4.4
17.5	50	4.5
19.9	246	22.3
21.8	51	4.6
22.5	64	5.8
25.7	115	10.4
26.1	216	19.6
27.4	112	10.1
30.2	33	3
39.9	53	4.8

**Example 36****Preparation and Characterization of Amorphous Compound 1**

To about 5 mL of cloudy solutions of Compound 1, Form I, prepared in water was added about 30 mg of Compound 1, Form I followed by stirring at  $25 \pm 1$  °C for 2 days, which was filtered and determined as amorphous by XRPD.

**Example A****FGFR Enzymatic Assay**

The inhibitor potency of Compound 1 was measured in an enzyme assay that measures peptide phosphorylation using FRET measurements to detect product formation. Compound 1 was serially diluted in DMSO and a volume of 0.5 µL was transferred to the wells of a 384-well plate. For FGFR3, a 10 µL volume of FGFR3 enzyme (Millipore) diluted in assay buffer (50 mM HEPES, 10 mM MgCl<sub>2</sub>, 1 mM EGTA, 0.01% Tween-20, 5 mM DTT, pH 7.5) was added to the plate and pre-incubated for 5-10 minutes. Appropriate controls (enzyme blank and enzyme with no inhibitor) were included on the plate. The assay was initiated by the addition of a 10 µL solution containing biotinylated EQEDEPEGDYFEWLE peptide substrate (SEQ ID NO: 1) and ATP (final concentrations of 500 nM and 140 µM respectively) in assay buffer to the wells. The plate was incubated at 25 °C for 1 hr. The reactions were ended with the addition of 10 µL/well of quench solution (50 mM Tris, 150 mM NaCl, 0.5 mg/mL BSA, pH 7.8; 30 mM EDTA with Perkin Elmer Lance Reagents at 3.75 nM Eu-antibody PY20 and 180 nM APC-Streptavidin). The plate was allowed to equilibrate for ~1 hr before scanning the wells on a PheraStar plate reader (BMG Labtech).

FGFR1 and FGFR2 were measured under equivalent conditions with the following changes in enzyme and ATP concentrations: FGFR1, 0.02 nM and 210 µM, respectively and FGFR2, 0.01 nM and 100 µM, respectively. The enzymes were purchased from Millipore or Invitrogen.

GraphPad prism3 was used to analyze the data. The IC<sub>50</sub> values were derived by fitting the data to the equation for a sigmoidal dose-response with a variable slope.  $Y = \text{Bottom} + (\text{Top} - \text{Bottom}) / (1 + 10^{((\text{LogIC}_{50} - X) * \text{HillSlope}))}$  where X is the logarithm of concentration and Y is the response. Compounds having an IC<sub>50</sub> of 1 µM or less are considered active.

Compound 1 of the invention were found to be inhibitors of one or more of FGFR1, FGFR2, and FGFR3 according to the above-described assay. IC<sub>50</sub> data is provided below in

Table 1. The symbol “+” indicates an IC<sub>50</sub> less than 100 nM and the symbol “++” indicates an IC<sub>50</sub> of 100 to 500 nM.

Table 42

	<b>FGFR1 IC<sub>50</sub> (nM)</b>	<b>FGFR2 IC<sub>50</sub> (nM)</b>	<b>FGFR3 IC<sub>50</sub> (nM)</b>
Compound 1	+	+	+

5

### Example B

#### FGFR Cell Proliferation/Survival Assays

The ability of the example compounds to inhibit the growth of cells dependent on FGFR signaling for survival was measured using viability assays. A recombinant cell line over-expressing human FGFR3 was developed by stable transfection of the mouse pro-B Ba/F3 cells (obtained from the Deutsche Sammlung von Mikroorganismen und Zellkulturen) with a plasmid encoding the full length human FGFR3. Cells were sequentially selected for puromycin resistance and proliferation in the presence of heparin and FGF1. A single cell clone was isolated and characterized for functional expression of FGFR3. This Ba/F3-FGFR3 clone is used in cell proliferation assays, and compounds are screened for their ability to inhibit cell proliferation/survival. The Ba/F3-FGFR3 cells are seeded into 96 well, black cell culture plates at 3500 cells/well in RPMI1640 media containing 2 % FBS, 20 µg/mL Heparin and 5 ng/mL FGF1. The cells were treated with 10 µL of 10X concentrations of serially diluted compounds (diluted with medium lacking serum from 5 mM DMSO dots) to a final volume of 100 µL/well. After 72 hour incubation, 100 µL of Cell Titer Glo® reagent (Promega Corporation) that measures cellular ATP levels is added to each well. After 20 minute incubation with shaking, the luminescence is read on a plate reader. The luminescent readings are converted to percent inhibition relative to DMSO treated control wells, and the IC<sub>50</sub> values are calculated using GraphPad Prism software by fitting the data to the equation for a sigmoidal dose-response with a variable slope. Compounds having an IC<sub>50</sub> of 10 µM or less are considered active. Cell lines representing a variety of tumor types including KMS-11 (multiple myeloma, FGFR3 translocation), RT112 (bladder cancer, FGFR3 overexpression), KatoIII (gastric cancer, FGFR2 gene amplification), and H-1581 (lung, FGFR1 gene amplification) are used in similar proliferation assays. In some experiments, MTS reagent, Cell Titer 96® AQueous One Solution Reagent (Promega Corporation) is added to a final

concentration of 333  $\mu\text{g/mL}$  in place Cell Titer Glo and read at 490/650 nm on a plate reader. Compounds having an  $\text{IC}_{50}$  of 5  $\mu\text{M}$  or less are considered active.

### Example C

#### 5 Cell-Based FGFR Phosphorylation Assays

The inhibitory effect of compounds on FGFR phosphorylation in relevant cell lines (Ba/F3-FGFR3, KMS-11, RT112, KatoIII, H-1581 cancer cell lines and HUVEC cell line) can be assessed using immunoassays specific for FGFR phosphorylation. Cells are starved in media with reduced serum (0.5%) and no FGF1 for 4 to 18 h depending upon the cell line  
10 then treated with various concentrations of individual inhibitors for 1-4 hours. For some cell lines, such as Ba/F3-FGFR3 and KMS-11, cells are stimulated with Heparin (20  $\mu\text{g/mL}$ ) and FGF1 (10 ng/mL) for 10 min. Whole cell protein extracts are prepared by incubation in lysis buffer with protease and phosphatase inhibitors [50 mM HEPES (pH 7.5), 150 mM NaCl, 1.5 mM  $\text{MgCl}_2$ , 10% Glycerol, 1% Triton X-100, 1 mM sodium orthovanadate, 1 mM sodium  
15 fluoride, aprotinin (2  $\mu\text{g/mL}$ ), leupeptin (2  $\mu\text{g/mL}$ ), pepstatin A (2  $\mu\text{g/mL}$ ), and phenylmethylsulfonyl fluoride (1 mM)] at 4°C. Protein extracts are cleared of cellular debris by centrifugation at 14,000 x g for 10 minutes and quantified using the BCA (bicinchoninic acid) microplate assay reagent (Thermo Scientific).

Phosphorylation of FGFR receptor in protein extracts was determined using  
20 immunoassays including western blotting, enzyme-linked immunoassay (ELISA) or bead-based immunoassays (Luminex). For detection of phosphorylated FGFR2, a commercial ELISA kit DuoSet IC Human Phospho-FGF R2 $\alpha$  ELISA assay (R&D Systems, Minneapolis, MN) can be used. For the assay KatoIII cells are plated in 0.2% FBS supplemented Iscove's medium (50,000 cells / well/ per 100  $\mu\text{L}$ ) into 96-well flat-bottom tissue culture treated plates  
25 (Corning, Corning, NY), in the presence or absence of a concentration range of test compounds and incubated for 4 hours at 37 °C, 5%  $\text{CO}_2$ . The assay is stopped with addition of 200  $\mu\text{L}$  of cold PBS and centrifugation. The washed cells are lysed in Cell Lysis Buffer (Cell Signaling, #9803 ) with Protease Inhibitor (Calbiochem, #535140) and PMSF (Sigma, #P7626) for 30 min on wet ice. Cell lysates were frozen at -80 °C before testing an aliquot  
30 with the DuoSet IC Human Phospho-FGF R2 $\alpha$  ELISA assay kit. GraphPad prism3 was used to analyze the data. The  $\text{IC}_{50}$  values were derived by fitting the data to the equation for a sigmoidal dose-response with a variable slope.



For detection of phosphorylated FGFR3, a bead based immunoassay was developed. An anti-human FGFR3 mouse mAb (R&D Systems, cat#MAB7661) was conjugated to Luminex MAGplex microspheres, bead region 20 and used as the capture antibody. RT-112 cells were seeded into multi-well tissue culture plates and cultured until 70% confluence.

5 Cells were washed with PBS and starved in RPMI + 0.5% FBS for 18 hr. The cells were treated with 10  $\mu$ L of 10X concentrations of serially diluted compounds for 1 hr at 37  $^{\circ}$ C, 5% CO<sub>2</sub> prior to stimulation with 10 ng/mL human FGF1 and 20  $\mu$ g/mL Heparin for 10 min. Cells were washed with cold PBS and lysed with Cell Extraction Buffer (Invitrogen) and centrifuged. Clarified supernatants were frozen at -80  $^{\circ}$ C until analysis.

10 For the assay, cell lysates are diluted 1:10 in Assay Diluent and incubated with capture antibody-bound beads in a 96-well filter plate for 2 hours at room temperature on a plate shaker. Plates are washed three times using a vacuum manifold and incubated with anti-phospho-FGF R1-4 (Y653/Y654) rabbit polyclonal antibody (R&D Systems cat# AF3285) for 1 hour at RT with shaking. Plates are washed three times. The diluted reporter  
15 antibody, goat anti-rabbit-RPE conjugated antibody (Invitrogen Cat. # LHB0002) is added and incubated for 30 minutes with shaking. Plates are washed three times. The beads are suspended in wash buffer with shaking at room temperature for 5 minutes and then read on a Luminex 200 instrument set to count 50 events per sample, gate settings 7500-13500. Data is expressed as mean fluorescence intensity (MFI). MFI from compound treated samples are  
20 divided by MFI values from DMSO controls to determine the percent inhibition, and the IC<sub>50</sub> values are calculated using the GraphPad Prism software. Compounds having an IC<sub>50</sub> of 1  $\mu$ M or less are considered active.

#### **Example D**

##### **25 FGFR Cell-Based Signaling Assays**

Activation of FGFR leads to phosphorylation of Erk proteins. Detection of pErk is monitored using the Cellu'Erk HTRF (Homogeneous Time Resolved Fluorescence) Assay (CisBio) according to the manufacturer's protocol. KMS-11 cells are seeded into 96-well plates at 40,000 cells/well in RPMI medium with 0.25% FBS and starved for 2 days. The  
30 medium is aspirated and cells are treated with 30  $\mu$ L of 1X concentrations of serially diluted compounds (diluted with medium lacking serum from 5 mM DMSO dots) to a final volume of 30  $\mu$ L/well and incubated for 45 min at room temperature. Cells are stimulated by addition of 10  $\mu$ L of Heparin (100  $\mu$ g/mL) and FGF1 (50 ng/mL) to each well and incubated

for 10 min at room temperature. After lysis, an aliquot of cell extract is transferred into 384-well low volume plates, and 4  $\mu$ L of detection reagents are added followed by incubation for 3 hr at room temperature. The plates are read on a PheraStar instrument with settings for HTRF. The normalized fluorescence readings are converted to percent inhibition relative to DMSO treated control wells, and the IC<sub>50</sub> values are calculated using the GraphPad Prism software. Compounds having an IC<sub>50</sub> of 1  $\mu$ M or less are considered active.

### Example E

#### VEGFR2 Kinase Assay

40  $\mu$ L Enzyme reactions are run in black 384 well polystyrene plates for 1 hour at 25 °C. Wells are dotted with 0.8  $\mu$ L of test compound in DMSO. The assay buffer contains 50 mM Tris, pH 7.5, 0.01% Tween-20, 10 mM MgCl<sub>2</sub>, 1 mM EGTA, 5 mM DTT, 0.5  $\mu$ M Biotin-labeled EQEDEPEGDYFEWLE peptide substrate (SEQ ID NO: 1), 1 mM ATP, and 0.1 nM enzyme (Millipore catalogue number 14-630). Reactions are stopped by addition of 20  $\mu$ L Stop Buffer (50 mM Tris, pH= 7.8, 150 mM NaCl, 0.5 mg/mL BSA, 45 mM EDTA) with 225 nM LANCE Streptavidin Surelight® APC (PerkinElmer catalogue number CR130-100) and 4.5 nM LANCE Eu-W1024 anti phosphotyrosine (PY20) antibody (PerkinElmer catalogue number AD0067). After 20 minutes of incubation at room temperature, the plates are read on a PheraStar FS plate reader (BMG Labtech). IC<sub>50</sub> values can be calculated using GraphPad Prism by fitting the data to the equation for a sigmoidal dose-response with a variable slope. Compounds having an IC<sub>50</sub> of 1  $\mu$ M or less are considered active.

### Example F.

#### Clinical study evaluating Compound 1 in the treatment of patients with advanced/metastatic or surgically unresectable cholangiocarcinoma

This Example describes an ongoing Phase 2 clinical study to evaluate the efficacy of Compound 1 in subjects with advanced/metastatic or surgically unresectable cholangiocarcinoma with FGFR 2 translocation who have failed at least one previous treatment. The study further evaluates the efficacy of Compound 1 in subjects with advanced/metastatic or surgically unresectable cholangiocarcinoma with different molecular subgroups. The study also evaluates the safety of Compound 1 in subjects with advanced/metastatic or surgically unresectable cholangiocarcinoma. An additional objective is to identify and evaluate covariates that may influence the pharmacokinetics of Compound

1 in this subject population through population pharmacokinetic analysis. The study also considers exposure-response analyses for key efficacy and safety parameters. This study further explores the pharmacodynamics and potential biomarkers of Compound 1 in subjects with advanced/metastatic or surgically unresectable cholangiocarcinoma, as well as evaluate the impact of Compound 1 on the quality of life of these said subjects.

The study is an open-label, monotherapy study of Compound 1 in subjects with advanced/metastatic or surgically unresectable cholangiocarcinoma with FGFR2 translocations, with other FGF/FGFR alterations, or who are negative for FGF/FGFR alterations. The study enrolls approximately 100 subjects into Cohort A (FGFR2 translocations), 20 subjects into Cohort B (other FGF/FGFR alterations), and 20 subjects into Cohort C (US only, negative for FGF/FGFR alterations). Subjects receive a once daily (QD) dose of Compound 1 at 13.5 mg on a 2-week-on therapy and 1-week-off therapy schedule. Treatment will start on Day 1. Subjects undergo regular safety assessments during treatment as well as regular efficacy assessments. Subjects are allowed to continue administration in 21-day cycles until documented disease progression or unacceptable toxicity is reported.

Compound 1 is self-administered as a QD oral treatment on 2-weeks-on therapy and 1-week off therapy schedule. Each dose of Compound 1 is taken immediately upon rising or after a 2-hour fast; subjects fast for an additional 1 hour after taking Compound 1. Tablets are available in strengths of 2 mg and 4.5 mg. The starting dose is 13.5 mg. One cycle is defined as 21 days. In addition to Compound 1, each tablet contains microcrystalline cellulose, sodium starch glycolate, and magnesium stearate.

The study subjects are those with advanced/metastatic or surgically unresectable cholangiocarcinoma with FGFR2 translocations, with other FGF/FGFR alterations, or who are negative for any FGF/FGFR alterations, who failed at least 1 previous treatment.

The key inclusion criteria include men and women, aged 18 or older. The subjects have histologically or cytologically confirmed advanced/metastatic or surgically unresectable cholangiocarcinoma. Subjects in Cohort A have FGFR2 translocations with a documented fusion partner in central laboratory report. Subjects in Cohort B have other FGF/FGFR alterations. Subjects in Cohort C (US only) are negative for FGF/FGFR alterations.

Additional key inclusion criteria include radiographically measureable disease per RECIST v. 1.1; documentation of FGF/FGFR gene alteration status; documented disease progression after at least 1 line of prior systemic therapy; ECOG performance status of 0 to 2; a life expectancy greater than or equal to 12 weeks; adequate hepatic function; adequate renal

function; serum phosphate  $\leq$  institutional ULN; and serum calcium within institutional normal range.

The key exclusion criteria include prior receipt of a selective FGFR inhibitor; history of and/or current evidence of ectopic mineralization/calcification, including but not limited to soft tissue, kidneys, intestine, myocardia, or lung, excepting calcified lymph nodes and asymptomatic arterial or cartilage/tendon calcifications; current evidence of clinically significant corneal or retinal disorder confirmed by ophthalmologic examination; and use of any potent CYP3A4 inhibitors or inducers within 14 days or 5 half-lives, whichever is shorter, before the first dose of study drug (topical ketoconazole is allowed).

The study schedule and procedures include regularly scheduled study visits at the clinical site as part of a 21-day cycle. Study visits begin with a prescreening to obtain FGF/FGFR status if unknown (results within approximately 2 years of screening are valid). Screening takes place on day -28 through day -1. Cycle 1 occurs on days 1, 8, and 15. Cycles 2+ begin on day 1. A safety follow up occurs 30 days (+5 days) from date of last dose.

Disease status follow-up occurs every 9 weeks for subjects who discontinue for reasons other than disease progression. There is a survival follow-up every 12 weeks after discontinuation. Up to 28 days are allowed for screening, followed by continuous treatment in consecutive 21-day cycles as long as subjects are receiving benefit and do not meet any criteria for study withdrawal, and 30 days (+5 days) for safety follow-up following the last dose of the study drug. Study visits include sample collection for hematology, chemistry, coagulation, endocrine monitoring, lipids, and urinalysis testing. Additionally, HIV screening (required for subjects outside the US) and hepatitis screening (serology) is done at screening. Pregnancy testing is also be done at screening, Day 1 of every cycle before dose administration, and at end-of-treatment. FGF/FGFR status may be determined locally.

Tumor tissue is evaluated through the central laboratory for confirmation of FGF/FGFR alteration status. Blood samples for population pharmacokinetic analysis and whole blood pharmacodynamics and correlative studies are collected at various time points throughout the study and analyzed at the central laboratory or designee.

Adverse event assessments, vital signs, electrocardiograms, physical examination, ECOG performance status comprehensive eye examination, and tumor and disease response assessments are performed by the investigative site. An objective assessment of disease status is performed at screening. Subsequently, disease status including RECIST radiological response assessment is assessed every 2 cycles for the first 4 cycles and every 3 cycles thereafter. A central radiology group provides centralized reading on all assessments.

The primary endpoint of the study is to determine the objective response rate (ORR) in subjects with FGFR2 translocations based on the central genomics laboratory results. Objective response rate is defined as the proportion of subjects who achieved a complete response (CR; disappearance of all target lesions) or a partial response (PR; greater than or equal to 30% decrease in the sum of the longest diameters of target lesions) based on RECIST version 1.1 Clinical response is determined by an independent radiological review committee.

Secondary endpoints include ORR in subjects with FGF/FGFR alterations other than FGFR2 translocations (Cohort B); ORR in all subjects with FGF/FGFR alterations (Cohorts A and B); ORR in subjects negative for FGF/FGFR alterations (Cohort C [US only]); progression free survival (first dose to progressive disease or death; all cohorts); duration of response (time from the date of CR or PR until progressive disease; all cohorts); disease control rate (CR + PR + stable disease; all cohorts); overall survival (first dose to death of any cause; all cohorts); and population pharmacokinetics (all cohorts). Further, safety and tolerability is assessed by evaluating the frequency, duration, and severity of adverse events; through review findings of physical examinations, changes in vital signs, and electrocardiograms, and through clinical laboratory blood and urine sample evaluations (all cohorts).

Exploratory endpoints include profiling tumor and blood samples for baseline and on-treatment characteristics associated with response, resistance, and safety, including examinations of plasma markers and tumor and blood cell characteristics. Additional, exploratory endpoints include comparison of local genomic testing results versus central genomic testing results. Finally, exploratory endpoints include quality-of-life evaluation (European Organization for Research and Treatment of Cancer Quality-of-Life Questionnaire [EORTC QLQ]-C30 and EORTC QLQ-BIL21).

Primary analysis is performed on FGFR2 translocated subjects. Approximately 100 subjects with documentation of FGFR2 translocation from the central genomics laboratory are planned for the final analysis of the primary endpoint of ORR. With the assumed rates of 33% for the intervention, a sample size of approximately 100 subjects will provide > 95% probability to have a 95% confidence interval with lower limit of > 15% assuming 10% lost to follow-up. Up to 20 subjects will be enrolled in Cohorts B and C (US only), respectively, which will provide > 80% chance of observing at least 4 responders in each cohort if the underlying ORR is 30%.

Safety analyses are performed on all patients enrolled in the study who received at least 1 dose of study drug; efficacy analyses are performed on all patients enrolled in the study who received at least 1 dose of study drug and who have a known FGF/FGFR alteration or who have a negative FGF/FGFR alteration from the central genomics laboratory.

5 The proportion of subjects with ORR and DCR will be estimated with 95% CI. The PFS, DOR, and OS will be analyzed by the Kaplan-Meier method.

For Cohort A (FGFR2 translocations), futility analysis will be performed when approximately 25 subjects are enrolled into the cohort and have at least 1 tumor assessment or have permanently discontinued study treatment. Cohort A can be stopped for futility if 2 or  
10 less responders are observed, for which there is less than 10% probability of claiming ORR > 15% based on a 60 subject cohort.

Cohorts B (other FGF/FGFR alterations) and C (US only; negative for FGF/FGFR alterations) can be stopped if 1 or less responders are observed within the first 10 subjects who have at least 2 cycles of data.

#### 15 *Preliminary Data*

The following preliminary data is drawn from a total of 91 patients in Cohort A, 22 patients in Cohort B, and 18 patients in Cohort C. The analysis of the Cohort A data focuses on the first 47 patients enrolled in Cohort A who were followed for greater than or equal to 8 months.

20 The median number of treatment cycles in Cohort A was 11 (range: 1-23); median duration of treatment was 217 days (range: 14-489 days). The median number of cycles in Cohort B was 2.5 (1-14); and the median duration of treatment was 47.5 days (range: 7-287 days). The median number of cycles in Cohort C was 2.0 (1-7); and the median duration of treatment was 39 days (range: 7-142 days).

25 The patient disposition by cohort is summarized in Table 43 below.

**Table 43.**

<b>Cohort A</b> (FGFR2 translocations; N = 47)	<b>Cohort B</b> (other FGF/FGFR genetic alterations; N = 22)	<b>Cohort C</b> (no FGF/FGFR genetic alterations; N = 18)
Discontinued treatment: n = 28	Discontinued treatment: n = 20	Discontinued treatment: n = 18
PD: n = 20	PD: n = 14	PD: n = 11
AE: n = 2	AE: n = 2	AE: n = 2
Death: n = 1	Death: n = 1	Lost to follow up: n = 1

Physician decision: n = 2 Withdrawal by subject: n = 2 Other: n = 1	Physician decision: n = 1 Withdrawal by subject: n = 2	Withdrawal by subject: n = 2 Other: n = 2
Treatment ongoing: n = 19	Treatment ongoing: n = 2	Treatment ongoing: n = 0

Baseline and disease characteristics presented for all cohorts are shown in Table 44.

In cohort A, the median age of patients was 55 years (range: 26-76), 53.2% were female, and 98% had iCCA. In addition:

- 98% of patients had ECOG PS  $\leq$  1
- 49% of patients received  $\geq$  2 prior therapies
- 94% of patients were from regions other than Asia
- 66% of patients had Stage 4 disease at enrollment
- 1 patient each had a history of chronic hepatitis B or hepatitis C.

5

**Table 44. Baseline and disease characteristics**

	<b>Cohort A (n = 47)</b>	<b>Cohort B (n = 22)</b>	<b>Cohort C (n = 18)</b>
Age, median (range), years	55 (26-76)	63 (28-78)	65 (31-78)
Sex, n (%)			
Male	22 (46.8)	11 (50.0)	10 (55.6)
Female	25 (53.2)	11 (50.0)	8 (44.4)
Region, n (%)			
Asia	3 (6.4)	11 (50.0)	0 (0.0)
Outside of Asia	44 (93.6)	11 (50.0)	18 (100.0)
ECOG PS, n (%)			
0	15 (31.9)	7 (31.8)	7 (38.9)
1	31 (66.0)	12 (54.5)	8 (44.4)
2	1 (2.1)	3 (13.6)	3 (16.7)
Number of prior systemic therapies, n (%)			
1	24 (51.1)	13 (59.1)	11 (61.1)
2	15 (31.9)	5 (22.7)	3 (16.7)
$\geq$ 3	8 (17.0)	4 (18.2)	4 (22.2)
Prior surgery, n (%)	16 (34.0)	7 (31.8)	6 (33.3)
Prior radiation, n (%)	9 (19.1)	4 (18.2)	5 (27.8)
Stage at initial diagnosis, n (%)	5 (10.6) 6 (12.8)	1 (4.5) 1(4.5)	1 (5.6) 1 (5.6)

1	3 (6.4)	3 (13.6)	1 (5.6)
2	31 (66.0)	17 (77.3)	12 (66.7)
3	2 (4.3)	0 (0.0)	3 (16.7)
4			
Missing			
Tumor location, n (%)			
Intrahepatic	46 (97.9)	15 (68.2)	11 (61.1)
Extrahepatic	0 (0.0)	3 (13.6)	7 (38.9)
Other	0 (0.0)	4 (18.2)	0 (0.0)
Unknown	1 (2.1)	0 (0.0)	0 (0.0)
History of hepatitis, n (%)			
Chronic hepatitis B	1 (2.1)	1 (4.5)	0 (0.0)
Hepatitis C	1 (2.1)	1 (4.5)	0 (0.0)

FGFR translocations of the patients are shown in Table 45. The most common FGFR2 translocation was FGFR2-BICC1 (29.8%), followed by FGFR2-AHCYL1 (4.3%), FGFR2-  
5 MACF1 (4.3%), and FGFR2 intron 17 rearrangement (4.3%).

**Table 45. FGFR2 Translocations in Cohort A.**

<i>FGFR2</i> Translocation, n (%)	Cohort A (n = 47)
FGFR2-BICC1	14 (29.8)
FGFR2-AHCYL1	2 (4.3)
FGFR2-MACF1	2 (4.3)
FGFR2 intron 17 rearrangement	2 (4.3)
FGFR-NEDD4L	1 (2.1)
FGFR2-SOGA1	1 (2.1)
FGFR2-POC1B	1 (2.1)
FGFR2-NOL4	1 (2.1)
FGFR2-ACLY	1 (2.1)
FGFR2-SLMAP	1 (2.1)
FGFR2-FILIP1	1 (2.1)
FGFR2-SPICE1	1 (2.1)



FGFR2-KIAA1217/FGFR2 exon 1-17	1 (2.1)
FGFR2-KIAA1217	1 (2.1)
FGFR2-TTC28	1 (2.1)
FGFR2-CCDC158	1 (2.1)
FGFR2-AFR	1 (2.1)
FGFR2-SHROOM	1 (2.1)
FGFR2-NRAP	1 (2.1)
FGFR2-COL16A1	1 (2.1)
FGFR2-GOPC	1 (2.1)
FGFR2-NOL4	1 (2.1)
FGFR2 amp/FGFR2-RABPGAP1L and FGFR2-LAMC1	1 (2.1)
FGFR2-ARH GAP24	1 (2.1)
FGFR2-PAWR	1 (2.1)
FGFR2-GAB2	1 (2.1)
FGFR2-RASSF4	1 (2.1)
FGFR2-ARHGAP24	1 (2.1)
FGFR2-TACC1	1 (2.1)
FGFR2-STRN4	1 (2.1)
FGFR2-ATF2	1 (2.1)

Preliminary efficacy data is shown in Table 46 below.

**Table 46. Primary and Secondary Endpoints by Patient Cohort (assessed by independent reviewer).**

<b>Variable</b>	<b>Cohort A (n = 47)</b>	<b>Cohort B (n = 22)</b>	<b>Cohort C (n = 18)</b>
ORR, % (95% CI)	40.4 (26.4-55.7)	0 (0.0-15.4)	0 (0.0-18.5)
Best OR, n (%)			
CR	0 (0.0)	0 (0.0)	0 (0.0)
PR	19 (40.4)	0 (0.0)	0 (0.0)
SD	21 (44.7)	10 (45.5)	4 (22.2)
PD	5 (10.6)	7 (31.8)	10 (55.6)
NE	2 (4.3)	5 (22.7)	4 (22.2)

Median DOR, months (95% CI)	NE (6.93-NE)	NE (NE-NE)	NE (NE-NE)
DCR, % (95% CI)	85.1 (71.7-93.8)	45.5 (24.4-67.8)	22.2 (6.4-47.6)

NE = not evaluable, upper limit was not reached.

Table 46 shows the preliminary results for the primary endpoints. ORR in cohort A was 40.4%. 19 patients (40.4%) had a confirmed PR. 21 patients (44.7%) had a best response of stable disease.

For secondary endpoints, median duration of response (DOR) in Cohort A has not been reached; the probability of maintaining response for greater than or equal to six months was 86.2%. Disease control rate (DCR) was 85.1% in Cohort A. DCR in Cohorts B and C was 45.5% and 22.2%, respectively.

Figure 79 shows the best percentage change from baseline in target lesion size in patients with CCA and FGFR2 translocations (Cohort A) as per independent reviewer. Figure 80 shows the duration of treatment and confirmed response in patients with CCA and FGFR2 translocations (Cohort A) as per independent reviewer.

Figure 81 shows the Kaplan-Meier estimates of progression free survival (PFS; estimated by independent reviewer) in Cohort A, B, and C. PFS is defined as the length of time from the start of the study drug (Day 1) until the earlier of death or progression disease by RECIST as assessed by the independent centralized radiological review committee. Censoring for PFS follows in, e.g., the following situations: no baseline tumor assessment, no adequate postbaseline response assessment, no progression, study discontinued for undocumented progression, new anticancer treatment started, and death or progression after more than one missed assessment. As shown in Table 47 below, median PFS was 9.2 months in Cohort A. Median PFS in Cohorts B and C were 2.1 and 1.7 months, respectively. Median OS was 15.8 months in Cohort A. Median OS in Cohorts B and C were 6.8 and 4.0 months, respectively.

**Table 47.**

	Cohort A	Cohort B	Cohort C
Evaluable patients, n	47	22	18
Events, n (%)	22 (46.8%)	17 (77.3%)	16 (88.9%)
Censored, n (%)	25 (53.2%)	5 (22.7%)	3 (11.1%)

Median time to events (95 % CI), months	9.20 (6.44-NE)	2.10 (1.18-6.80)	1.68 (1.38-1.84)
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**Table 48. Number of patients at risk**

Time to Event (Months)	Number of Patients at Risk		
	Cohort A	Cohort B	Cohort C
0	47	22	18
1	46	20	15
2	40	11	3
3	36	8	2
4	34	8	1
5	30	6	1
6	30	6	1
7	23	3	0
8	23	1	0
9	17	1	0
10	10	0	0
11	9	0	0
12	5	0	0
13	3	0	0
14	3	0	0
15	1	0	0
16	0	0	0

*Safety and Tolerability*

- 5        The most common treatment-emergent adverse events (TEAEs) in all patients were hyperphosphatemia (60.7%), alopecia (41.6%), diarrhea (39.3%), decreased appetite (37.1%), fatigue (36.0%), and dysgeusia (36.0%). Hyperphosphatemia was managed with diet, phosphate binders, or dose modification. Grade 3 or greater TEAEs in greater than 5% of all patients include hypophosphatemia (13.5%), hyponatremia (7.9%), abdominal pain (6.7%), and arthralgia (6.7%). Five patients had TEAEs with a fatal outcome, none of which were
- 10       related to study treatment: in Cohort A, 1 patient died due to failure to thrive; in Cohort B, 3

patients died due to abdominal distension, sepsis, malignant neoplasm progression, dyspnea, and pleural effusions; in Cohort C, 1 patient died due to cholangitis. The most common TEAEs and TRAEs are shown below in Table 49.

<b>Table 49. Most Common TEAEs and TRAEs Occurring in <math>\geq 20\%</math> <sup>a</sup> of Patients With CCA.</b>				
	<b>TEAEs - All Cohorts (N = 89) <sup>b</sup></b>		<b>TRAEs – All Cohorts (N = 89) <sup>b</sup></b>	
<b>Adverse event, n (%)</b>	<b>All Grades</b>	<b>Grade 3/4</b>	<b>All Grades</b>	<b>Grade 3/4</b>
Hyperphosphatemia	54 (60.7)	0 (0.0)	49 (55.1)	0 (0.0)
Alopecia	37 (41.6)	0 (0.0)	33 (37.1)	0 (0.0)
Diarrhea	35 (39.3)	2 (2.2)	26 (29.2)	2 (2.2)
Decreased appetite	33 (37.1)	2 (2.2)	22 (24.7)	1 (1.1)
Fatigue	32 (36.0)	4 (4.5)	21 (23.6)	1 (1.1)
Dysgeusia	32 (36.0)	0 (0.0)	31 (34.8)	0 (0.0)
Constipation	27 (30.3)	0 (0.0)	10 (11.2)	0 (0.0)
Stomatitis	27 (30.3)	3 (3.4)	24 (27.0)	3 (3.4)
Dry mouth	26 (29.2)	0 (0.0)	21 (23.6)	0 (0.0)
Nausea	26 (29.2)	0 (0.0)	14 (15.7)	0 (0.0)
Hypophosphatemia	23 (25.8)	12 (13.5)	9 (10.1)	5 (5.6)
Arthralgia	21 (23.6)	6 (6.7)	10 (11.2)	4 (4.5)
Edema peripheral	20 (22.5)	1 (1.1)	3 (3.4)	0 (0.0)
Dry eye	18 (20.2)	1 (1.1)	12 (13.5)	1 (1.1)
TEAE, treatment-emergent adverse event; TRAE, treatment-related adverse event.				
<sup>a</sup> Patients were counted once under each Medical Dictionary for Regulatory Activities (MedDRA) preferred term.				
<sup>b</sup> Two patients were classified as “other” due to having no <i>FGF/FGFR</i> alteration confirmed by central lab, therefore, no cohort assignment was done.				

5

### *Conclusion*

In this interim analysis of patients from Cohort who had at least 8 months follow up, Compound 1 was generally well tolerated and demonstrated preliminary efficacy in previously treated patients with CCA harboring FGFR2 translocations. The ORR was 40.4%.

The most common TEAEs include hyperphosphatemia, alopecia, and diarrhea. These results support continued development of Compound 1 as a treatment for patients with CCA harboring FGFR2 translocations.

5    **Example G. Clinical study evaluating Compound 1 in the treatment of patients with myeloid/lymphoid neoplasms with FGFR1 rearrangement**

10    This Example describes an ongoing Phase 2 clinical study to evaluate the efficacy of Compound 1 in subjects with myeloid/lymphoid neoplasms with FGFR1 rearrangement. The study further evaluates the safety of Compound 1 in subjects with myeloid/lymphoid neoplasms with FGFR1 rearrangement. Additional exploratory objectives are to evaluate pharmacokinetics, biomarkers, and quality of life of subjects with myeloid/lymphoid neoplasms with FGFR1 rearrangement treated with Compound 1.

15    This study is an open-label, monotherapy study of Compound 1 in subjects with myeloid/lymphoid neoplasm with FGFR1 rearrangement. Subjects receive a once daily (QD) dose of Compound 1 at 13.5 mg on a 2-week-on-therapy and 1-week-off-therapy schedule. In addition, the administration was adjusted such that newly enrolled subjects receive Compound 1 at 13.5 mg continuous administration (no planned dose hold). Subjects receiving treatment under previous versions may be switched to continuous administration after completing at least 3 cycles if there are no ongoing Grade 2 or higher related TEAEs.

20    All potential subjects must have documentation of an 8p11 translocation known to activate FGFR1 through the site's own cytogenetics laboratory. Once documentation has been provided, the subject undergoes screening to meet the rest of the inclusion/exclusion criteria. Once a subject has completed screening and has enrolled into the study, treatment starts on Cycle 1 Day 1. Subjects undergo regular safety assessments during treatment as well as regular efficacy assessments.

25    Subjects are allowed to continue administration in 21-day cycles until loss of benefit from therapy or unacceptable toxicity is reported.

30    Compound 1 is self-administered as a QD oral treatment on a 2-weeks-on-therapy and 1-week-off-therapy schedule or continuous administration (no planned dose hold). Each dose of Compound 1 is taken immediately upon rising or after a 2-hour fast; subjects fast for an additional 1 hour after taking study drug. Tablets are available in strengths of 2 mg and 4.5 mg. The starting dose is 13.5 mg. One cycle is defined as 21 days of treatment. In addition to Compound 1, each tablet contains microcrystalline cellulose, sodium starch glycolate, and magnesium stearate.

The study population is subjects with myeloid/lymphoid neoplasms with FGFR1 rearrangement. Key inclusion criteria include: men and women aged 18 or older; documents lymphoid or myeloid neoplasm with 8p11 rearrangement known to lead to FGFR1 activation, based on standard diagnostic cytogenetic evaluation performed locally, before signing  
5 informed consent for this study; life expectancy of at least 12 weeks; ECOG performance status of 0 to 2. In addition, only subjects who are not candidates for stem cell transplantation, or have relapsed after stem cell transplantation and delayed lymphocyte infusion and who have progressed and are not candidates for other disease-modifying therapies are eligible for the study. All relapsed/refractory subjects must have evidence of  
10 either cytogenetic or hematological disease and have no evidence of residual toxicity (e.g., graft-versus-host disease requiring treatment).

Key exclusion criteria include prior receipt of a selective FGFR inhibitor; history of calcium and phosphate hemostasis disorder or systemic mineral imbalance with ectopic calcification of soft tissues (exception: commonly observed calcifications in soft tissues, such  
15 as the skin, kidney, tendons, or vessels due to injury, disease, and aging, in the absence of systemic mineral imbalance); active CNS disease; and use of any potent cytochrome P450 3A4 inhibitors or inducers within 14 days or 5 half-lives (whichever is shorter) before the first dose of study drug. Additional key exclusion criteria include current evidence of clinically significant corneal disorder/keratopathy (including but not limited to bullous/band  
20 keratopathy, corneal abrasion, inflammation/ulceration, and keratoconjunctivitis, etc) or retinal disorder (including but not limited to macular/retinal degeneration, diabetic retinopathy, retinal detachment, etc) as confirmed by ophthalmologic examination.

The study schedule and procedures include regularly scheduled study visits at the clinical site as part of a 21-day cycle. Study visits include a screening (day -28 through day -  
25 1); cycle 1 (days 1, 8, and 15 [ $\pm$  3 days]); cycles 2+ (day 1 [ $\pm$  3 days]); end of treatment (upon permanently discontinuing study drug); safety follow-up (30 days [ $\pm$  5 days] from date of last dose); disease status (follow subject per standard of care until documents progression); and survival follow-up (every 12 weeks). Study visits may include sample collection for chemistry, hematology, coagulation, lipid panel, endocrine monitoring, and urinalysis testing.  
30 Additionally, hepatitis screening (serology) is done at screening, and pregnancy testing are done at screening, Day 1 of every cycle before dose administration, and end of treatment. A sample of bone marrow aspirate or peripheral blood is sent to the central laboratory for confirmation of FGFR1 rearrangement as well as a central pathology laboratory for analysis. In addition, sites provide slides and/or digitized images of bone marrow aspirate at baseline

and at the time of achieving response and send them to a central pathology group for review. Adverse event assessments, physical examinations, vital signs, ECGs, comprehensive eye examinations, ECOG performance status, and disease response assessments are performed by the investigative site.

5           Once the subject's eligibility is confirmed through the site's laboratory, screening may begin. Up to 28 days are allowed for screening, followed by continuous treatment in consecutive 21-day cycles as long as the subject is receiving benefit (as judged by treating physician) and has not met any criteria for study withdrawal. Safety follow-up is 30 days (+ 5 days) after the last dose of the study drug. In addition, subjects are followed for overall  
10 survival after stopping treatment with study drug. Study participation is expected to average approximately 6 months per individual subject.

The primary endpoint of the study is to determine the overall clinical benefit rate by achieving one of the following: complete response (CR; normalization of BM (bone marrow) and peripheral blood and complete resolution of EMD (extramedullary disease)), partial  
15 response (PR; normalization of peripheral blood, complete resolution of EMD, and 50% reduction of BM blasts), complete hematologic response (CHR; normalization of peripheral blood), cytogenic response, marrow response, or clinical benefit (erythroid response, platelet response, neutrophil response, eosinophil response, and/or EMD response). A complete marrow response is defined as marrow criteria necessary for complete response without  
20 normalization of peripheral blood. A partial marrow response is defined as 50% reduction in BM blasts but remaining  $>5\%$ , or reduction in grading of reticulin fibrosis from baseline on  $\geq 2$  BM evaluations spaced  $\geq 2$  months apart if there is no excess of blasts at baseline. A complete cytogenetic response (CCyR) is defined as 0% 8p11 translocated metaphases or FISH. A partial cytogenetic response (PCyR) is defined as  $\geq 50\%$  decrease from baseline in  
25 8p11 translocated metaphases or FISH.

Secondary endpoints include duration of response/benefit; progression-free survival; overall survival; and safety and tolerability, as assessed by evaluating the frequency, duration, and severity of adverse events (through review of findings of physical examinations, changes in vital signs, and electrocardiograms, and through clinical laboratory  
30 blood and urine sample evaluations). Exploratory endpoints include population PK parameters, tumor molecular and gene expression profiling; peripheral blood molecular and gene expression profiling, cytokine and plasma biomarker levels at baseline and changes with treatment; and quality of life evaluation (European Organisation for Research and Treatment

of Cancer Quality of Life Questionnaire Core 30 and Myeloproliferative Neoplasm Symptom Assessment Form).

Approximately 46 subjects are planned for the final analysis of the primary endpoint of overall clinical benefit rate. With the assumed rates of 35% for the intervention, a sample size of 46 subjects would provide > 80% probability to have a 95% confidence interval with lower limit of > 15% assuming 10% loss to follow-up.

The overall clinical benefit rate, defined as the proportion of subjects who achieve CR, PR, cytogenetic response, CHR, marrow response, or clinical benefit, will be estimated with 95% CI. The progression-free survival, duration of response/benefit, and overall survival will be analyzed by the Kaplan-Meier method.

#### *Preliminary Data*

The following preliminary data is drawn from a total of 14 patients. One patient did not have FGFR 8p11 rearrangement known to lead to FGFR1 activation and was excluded from the efficacy analysis. Patients received a median of 6 cycles of Compound 1 (range: 2-25 cycles).

The patient disposition by cohort is summarized in Table 50 below.

Table 50. Patient disposition

Patients Enrolled	14
Patients Treated	14
Treatment Ongoing	6
Treatment Discontinued	8
Reason for discontinuation	
Bridge to HSCT	3
Adverse Event	2
Progressive Disease	3

A summary of the demographics and disease characteristics of the patients treated is shown in Table 51 below.



**Table 51. Baseline demographics and disease characteristics (N = 14)**

Age, median (range), years	61.5 (39–78)
Sex, n (%)	
Male	7 (50)
Female	7 (50)
MLN characteristics, n (%) <sup>a</sup>	
MLN	3 (21)
MLN + lymphoma	2 (14)
MLN + myeloid sarcoma	3 (21)
MLN blast phase	5 (36)
Prior therapies, median (range), n <sup>b</sup>	2 (0–4)
ECOG PS	
0	5 (36)
1	8 (57)
2	1 (7)

<sup>a</sup>One patient in the MLN group did not have *FGFR1* 8p11 rearrangement known to lead to *FGFR1* activity and was excluded from the efficacy analysis but was included in safety analysis

5 <sup>b</sup>One patient was identified as not having received prior therapy

Clinical and cytogenetic responses for the patients treated are shown below in Table

52.

**Table 52. Clinical and Cytogenetic Responses**

Age/Sex	Disease	Fusion Partner <sup>a</sup>	Prior Therapy	Clinical Response <sup>b</sup>	Response in EMD	Cytogenetic Response <sup>c</sup>
48 F	MLN	BCR	HU	CR	-	CCyR
39 F	MLN (aCML)	BCR	HU, ponatinib	CR	-	PCyR
66 F	MLN + splenomegaly	TPR and ZMYM2	HU	CR	-	PCyR
71 M	MLN + EMD (lymphoma <sup>d</sup> )	ZMYM2	Hyper CVAD, steroids	CR	-	CCyR
50 M	MLN + EMD (lymphoma)	ZMYM2	CHOEP	CR	CR	CCyR
78 F	MLN + EMD	ZMYM2	MITO-FLAG, dauno	PR	SD	PCyR

	(myeloid sarcoma)					
<b>63 M</b>	MLN + EMD (myeloid sarcoma)	ZMYM2	None	<b>PR</b>	<b>PD</b>	<b>CCyR</b>
<b>60 M</b>	MLN + EMD (myeloid sarcoma)	ZMYM2	FLAI	<b>PR</b>	<b>PD</b>	<b>CCyR</b>
<b>68 F</b>	MLN blast phase (lymphoid)	BCR	NILG-ALL, Blina, HU, MTX-Ara-C	<b>PD</b> (myeloid blast crisis)	-	<b>None</b>
<b>67 M</b>	MLN blast phase (lymphoid)	BCR	HU, HSCT	<b>CR</b>	-	<b>PCyR</b>
<b>46 F</b>	MLN blast phase (lymphoid)	ZMYM2	R-IEV, FLA, ponatinib	<b>CR</b>	-	<b>CCyR</b>
<b>51 M</b>	MLN blast phase (myeloid)	BCR	CLAG-M	<b>PR</b>	-	<b>None</b>
<b>41 F</b>	MLN blast phase (myeloid)	TRIM24	3+7, MEC, FLAI, AraC	<b>SD</b>	-	<b>None</b>

CBC = complete blood count; NGS = next-generation sequencing; PD = progressive disease; SD = stable disease

<sup>a</sup> Fusion partners listed were determined by NGS retrospectively and were not used to assess patient eligibility

5 <sup>b</sup> CR: bone marrow (BM) with <5% blasts and normal cellularity, normal CBC, complete resolution of EMD; PR, same as CR except 50% reduction of BM blasts (and blast equivalents), but with <5% remaining fibrosis and dysplasia.

<sup>c</sup> CCyR: 0% abnormal metaphases; PCyR: decrease of ≥ 50% abnormal metaphases

<sup>d</sup> Not present at baseline.

10

A summary of the clinical and cytogenetic responses is also shown in Figure 82. 11 of 13 (85%) evaluable patients achieved clinical response, including clinical and cytogenetic responses, as shown below in Table 53.

**Table 53. Best Responses**

Best Responses	ORR	CR (n)	PR (n)
Clinical responses <sup>a</sup>	85%	7	4
Cytogenetic Responses <sup>b</sup>	77%	6	4

15 <sup>a</sup> CR, bone marrow with < 5% blasts and normal cellularity, normal CBC, complete resolution of EMD; PR, same as CR except 50% reduction of bone marrow blasts (and blast equivalents), but with < 5% remaining fibrosis and dysplasia.

<sup>b</sup> CCyR, 0% abnormal metaphases; PCyR, decrease of ≥50% of abnormal metaphases.

Figures 83 and 84 show a baseline PET scan of a patient with myeloproliferation and T-lymphoblastic lymphoma (TLL) before (Figure 83) and after (Figure 84) treatment with Compound 1. The patient was a 50-year-old male, presented with myeloproliferation and TLL, who had received CHOEP chemotherapy (chemotherapy with cyclophosphamide, doxorubicin, etoposide, vincristine and prednisone) with no response. The patient exhibited para-aortic lymphadenopathy and a large spleen. His cytogenetics show ZMYM2-FGFR1 fusion. Following 13.5 mg daily dosing of Compound 1 (2 weeks on, 1 week off), the patient achieved complete cytogenetic remission and complete lymph node remission by PET scan at 4 months (beginning of cycle 6). In addition, the splenomegaly resolved. The patient remained on treatment after more than 1.5 years with minimal side effects.

#### *Safety and Tolerability*

Compound 1 was generally well tolerated. The most common treatment related adverse event (TRAE) were hyperphosphatemia (n = 9; 64%; managed with diet and phosphate binders), diarrhea (n = 5; 36%), alopecia (n = 4; 29%), increased blood alkaline phosphatase (n = 3, 21%), dyspepsia, and fatigue, and stomatitis (n = 2; 14% each). Three patients had grade 3 TRAEs: diarrhea (n = 1; led to dose reduction); leukopenia (n = 1); and alkaline phosphatase increase (n = 1; led to discontinuation of Compound 1). Two patients had fatal TEAEs unrelated to treatment. One patient died due to multiorgan failure and disease progression. One patient died due to chloroma, myeloid sarcoma, and septic shock.

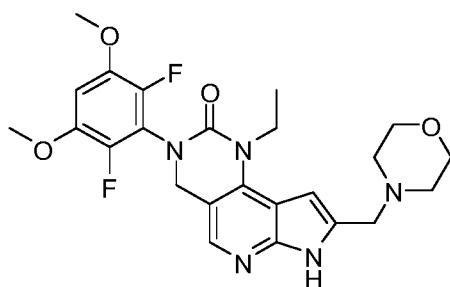
#### *Conclusion*

Compound 1 showed clinical and cytogenetic activity. The clinical response rate was 65% (CR in 7 patients and PR in 4 patients). The major cytogenetic response rate was 77% (CCyR in 6 patients, and PCyR in 4 patients). Compound 1 was generally well tolerated by patients in the study.

Various modifications of the invention, in addition to those described herein, will be apparent to those skilled in the art from the foregoing description. Such modifications are also intended to fall within the scope of the appended claims. Each reference, including all patent, patent applications, and publications, cited in the present application is incorporated herein by reference in its entirety.

**WHAT IS CLAIMED IS:**

1. A method of treating cholangiocarcinoma in a patient in need thereof comprising administering to the patient a therapeutically effective amount of Compound 1 having the formula:



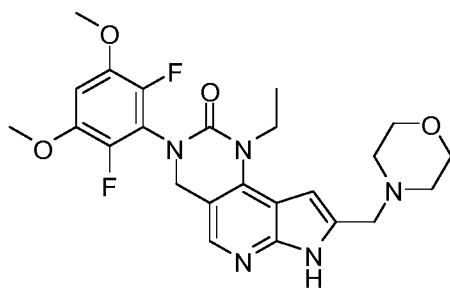
Compound 1,

wherein the cholangiocarcinoma is associated with abnormal expression or activity of the FGFR enzyme or FGFR ligands, or the cholangiocarcinoma is characterized by FGF/FGFR genetically altered tumors.

2. The method of claim 1, wherein the cholangiocarcinoma is advanced or metastatic cholangiocarcinoma.
3. The method of claim 1, wherein the cholangiocarcinoma is surgically unresectable.
4. The method of claim 1, wherein the cholangiocarcinoma is intrahepatic.
5. The method of claim 1, wherein the cholangiocarcinoma is extrahepatic.
6. The method of claim 1, wherein the cholangiocarcinoma is hilar or perihilar.
7. The method of claim 1, wherein the cholangiocarcinoma is distal extrahepatic.
8. The method of any one claims 1-7, wherein the cholangiocarcinoma is characterized by FGF/FGFR genetically altered tumors.

9. The method of claim 8, wherein the tumor exhibits FGFR2 translocations.
10. The method of claim 9, wherein the FGFR2 translocation is selected from the group consisting of FGFR2-BICC1, FGFR2-AHCYL1, FGFR2-MACF1, FGFR2 intron 17 rearrangement.
11. The method of claim 8, wherein the tumor exhibits FGF/FGFR alterations other than FGFR2 translocations.
12. The method of any one of claims 1-7, wherein the cholangiocarcinoma does not exhibit FGF/FGFR genetically altered tumors.
13. The method of any one of claims 1-12, wherein the patient has failed at least one previous treatment.
14. The method of claim 13, wherein the previous treatment is surgery or radiation therapy.
15. The method of any one of claims 1-14, wherein the patient has a history of hepatitis.
16. The method of claim 15, wherein the hepatitis is chronic hepatitis B or hepatitis C.
17. The method of any one of claims 1-16, wherein Compound 1 is administered orally.
18. The method of any one of claims 1-17, wherein Compound 1 is administered once daily.
19. The method of any one of claims 1-18, wherein Compound 1 is administered in a daily dose of about 5 mg to about 20 mg.
20. The method of any one of claims 1-18 wherein Compound 1 is administered in a daily dose of about 10 mg to about 15 mg.

21. The method of any one of claims 1-18, wherein Compound 1 is administered in a daily dose of about 13.5 mg.
22. The method of any one of claims 1-21, wherein Compound 1 is administered as a tablet.
23. The method of claim 22, wherein the tablet comprises about 1 mg to about 10 mg of Compound 1.
24. The method of claim 22, wherein the tablet comprises about 1 mg to about 5 mg of Compound 1.
25. The method of claim 22, wherein the tablet comprises about 2 mg or about 4.5 mg of Compound 1.
26. A method of treating myeloid/lymphoid neoplasms in a patient in need thereof comprising administering to the patient a therapeutically effective amount of Compound 1 having the formula:

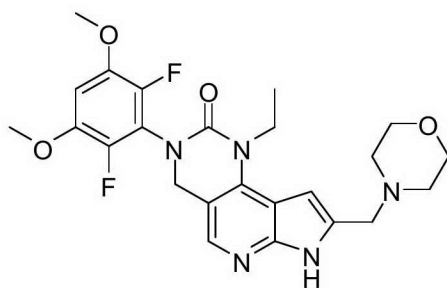


Compound 1,

wherein the myeloid/lymphoid neoplasms is 8p11 myeloproliferative syndrome, and wherein the myeloid/lymphoid neoplasms is associated with abnormal expression or activity of the FGFR enzyme or FGFR ligands, or the myeloid/lymphoid neoplasms is characterized by FGF/FGFR genetic alteration.

27. The method of claim 26, wherein the myeloid/lymphoid neoplasm is associated with eosinophilia.

28. The method of claim 26 or claim 27, wherein Compound 1 is administered orally.
29. The method of any one of claims 26-28, wherein Compound 1 is administered once daily.
30. The method of any one of claims 26-29, wherein Compound 1 is administered in a daily dose of about 5 mg to about 20 mg.
31. The method of any one of claims 26-29, wherein Compound 1 is administered in a daily dose of about 10 mg to about 15 mg.
32. The method of any one of claims 26-29, wherein Compound 1 is administered in a daily dose of about 13.5 mg.
33. The method of any one of claims 26-32, wherein Compound 1 is administered as a tablet.
34. The method of claim 33, wherein the tablet comprises about 1 mg to about 10 mg of Compound 1.
35. The method of claim 33, wherein the tablet comprises about 1 mg to about 5 mg of Compound 1.
36. The method of claim 33, wherein the tablet comprises about 2 mg or about 4.5 mg of Compound 1.
37. A method of increasing survival or progression-free survival in a patient that has cholangiocarcinoma, wherein the cholangiocarcinoma is characterized by an FGFR2 fusion, comprising administering Compound 1 to the patient, and wherein the compound is Compound 1 having the formula:



Compound 1.

38. The method of any one of claims 1-37, wherein Compound 1 is administered in a 21-day dosing regimen, wherein the 21-day dosing regimen comprises:
- (a) a first period wherein Compound 1 is administered once daily for 14 days; and
  - (b) a second period wherein Compound 1 is not administered for 7 days.
39. The method of claim 38, wherein Compound 1 is administered in a daily dose of about 5 mg to about 20 mg during the first period.
40. The method of claim 38, wherein Compound 1 is administered in a daily dose of about 10 mg to about 15 mg during the first period.
41. The method of claim 38, wherein Compound 1 is administered in a daily dose of about 13.5 mg during the first period.
42. The method of claim 38, wherein Compound 1 is administered in a daily dose of about 9 mg during the first period.



FIG. 1

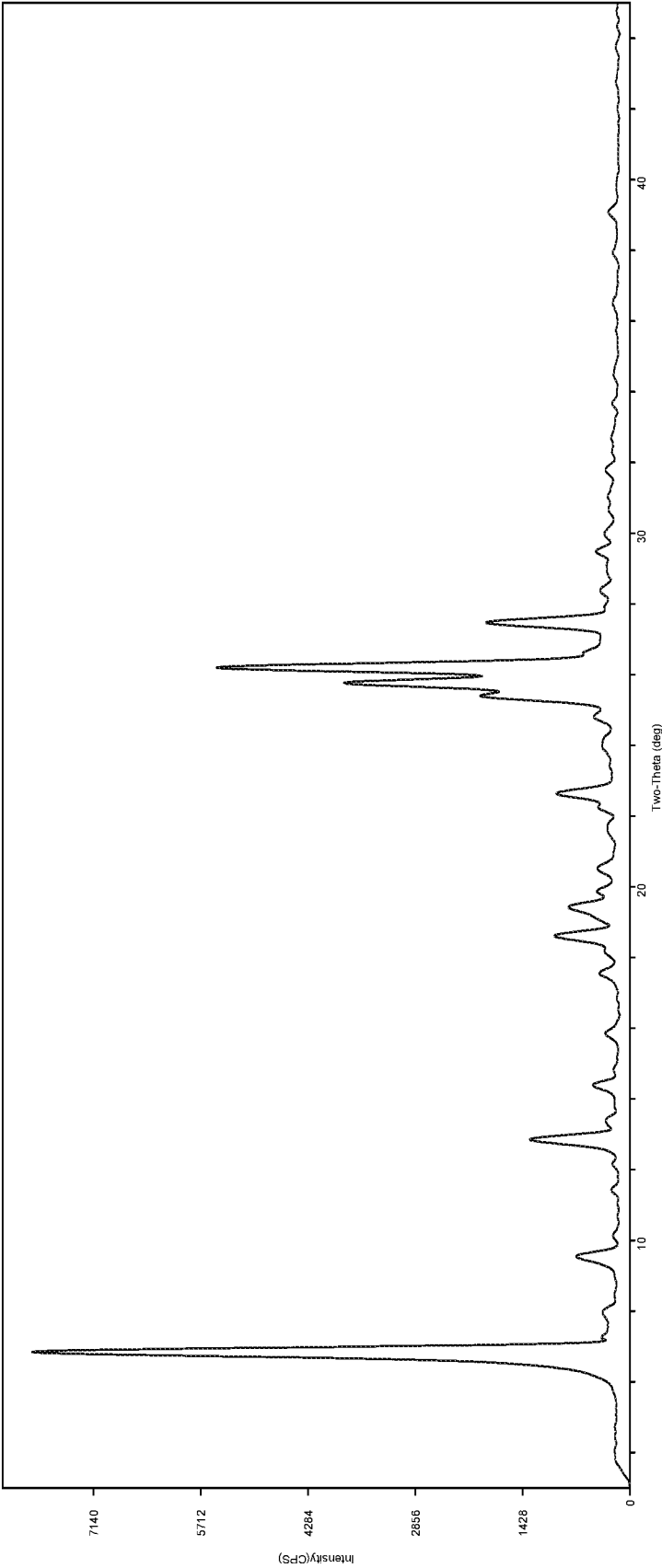


FIG. 2

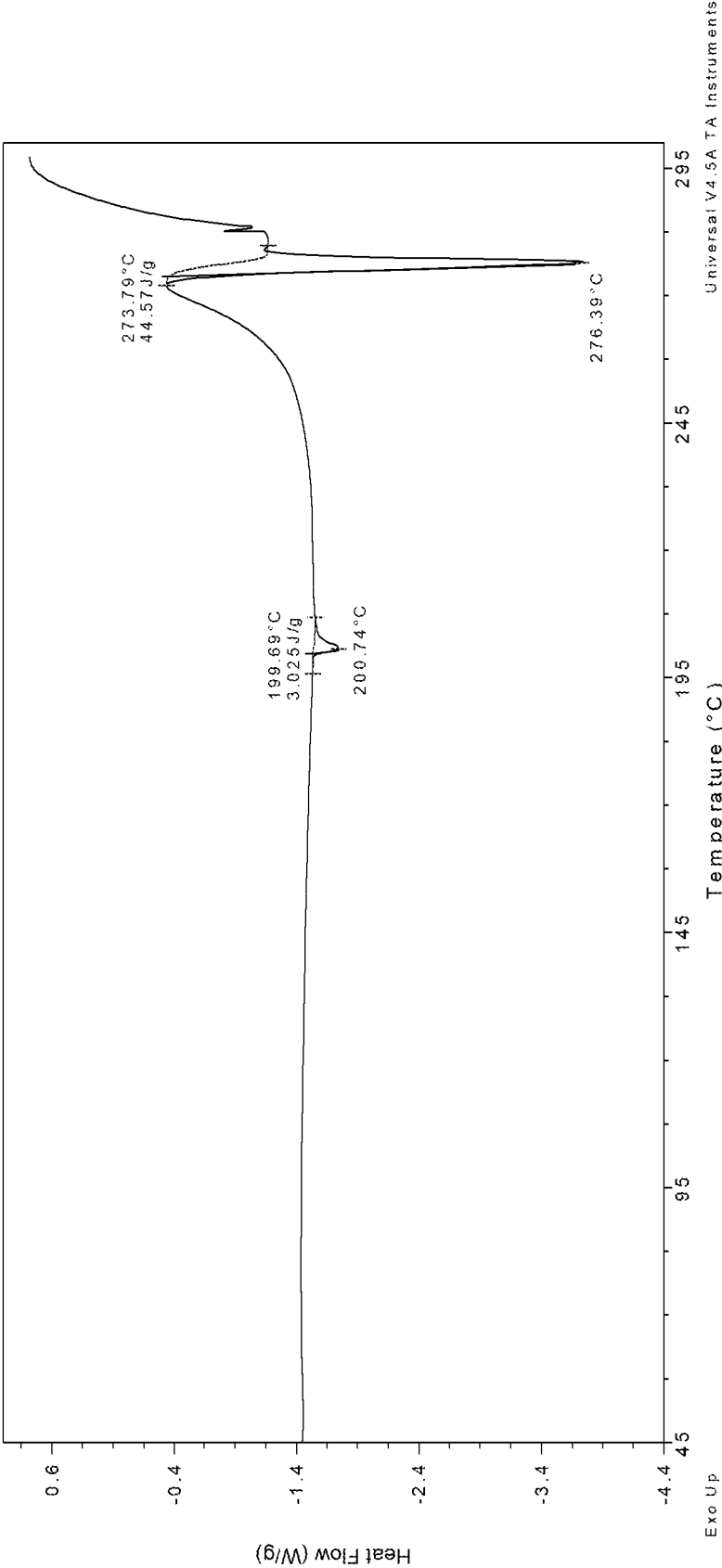


FIG. 3

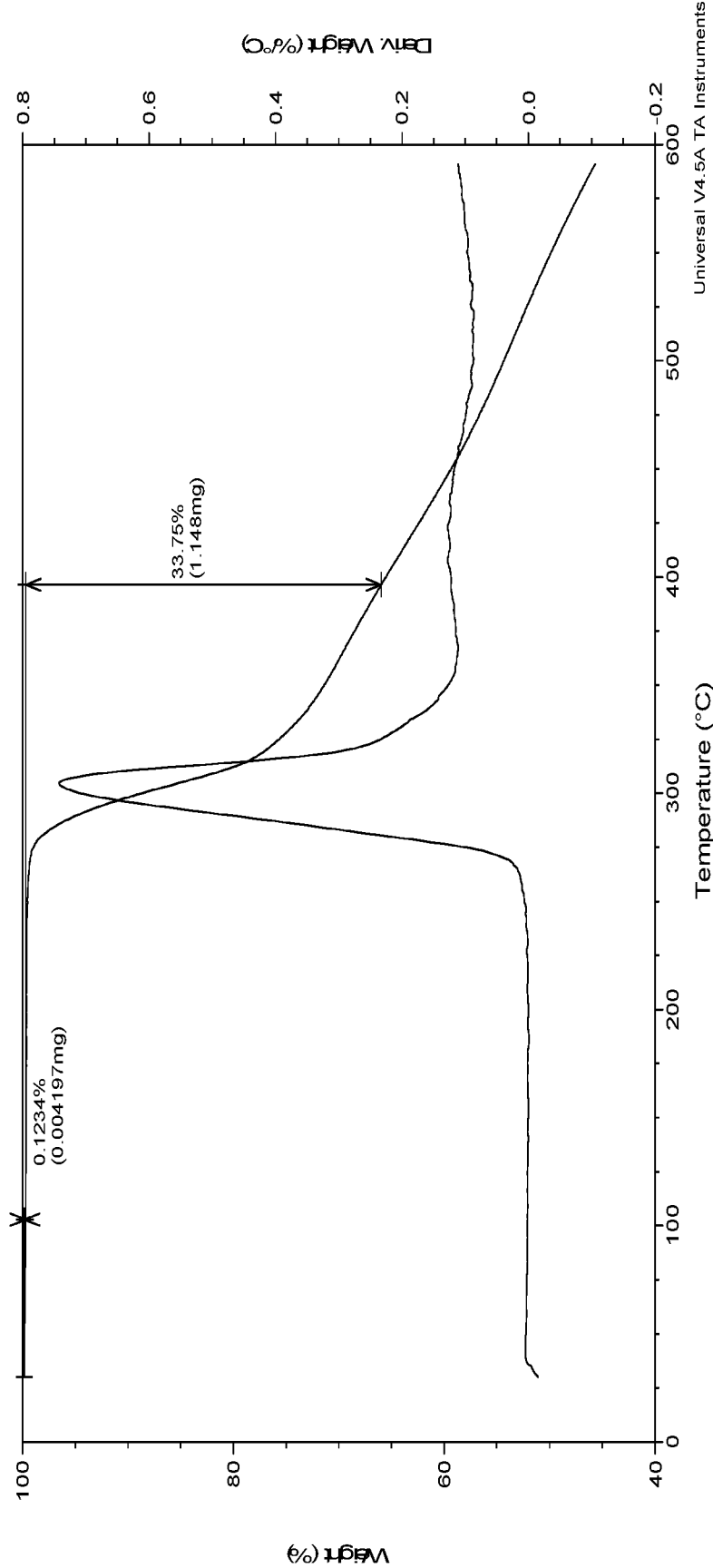


FIG. 4

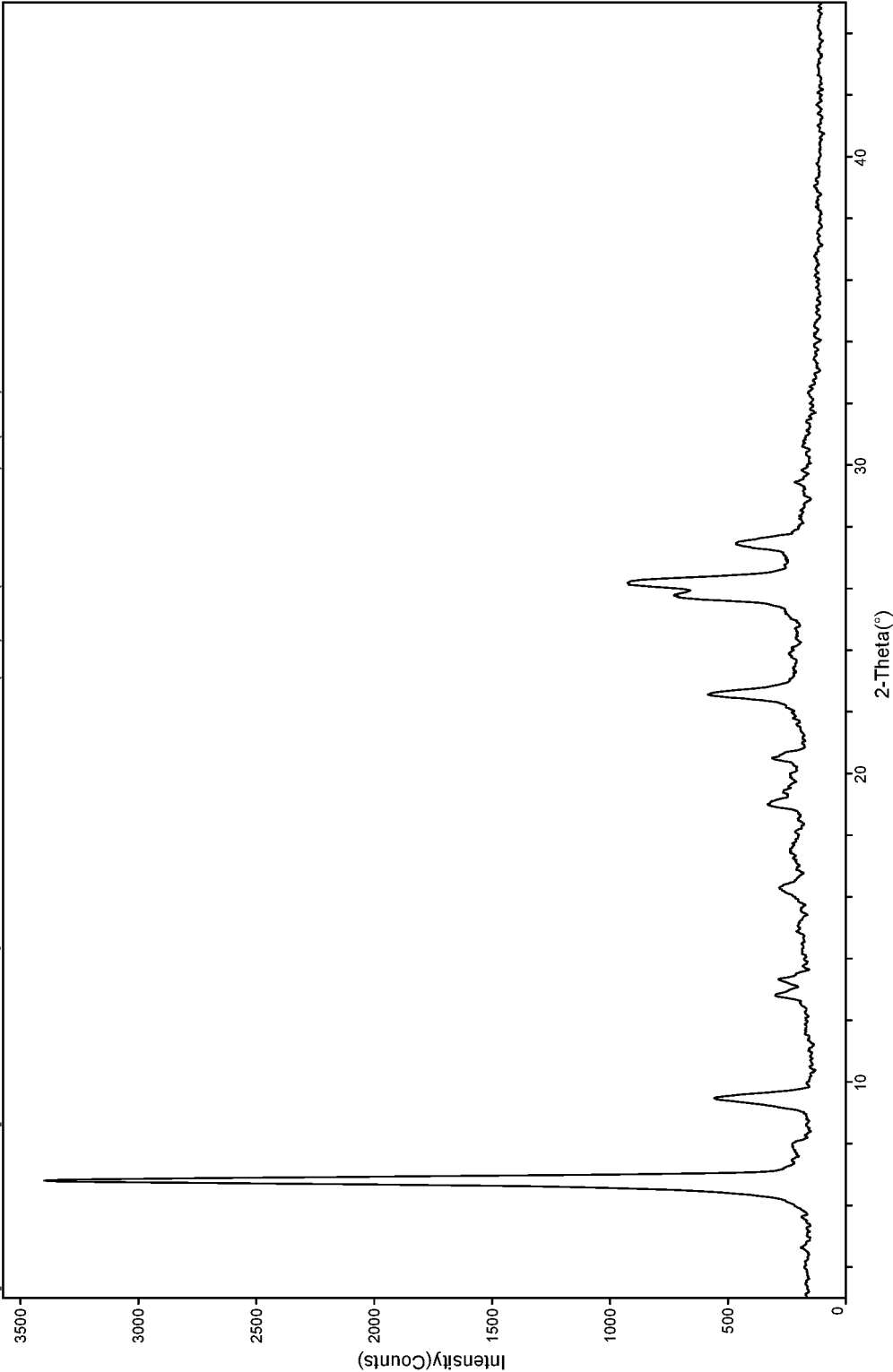


FIG. 5

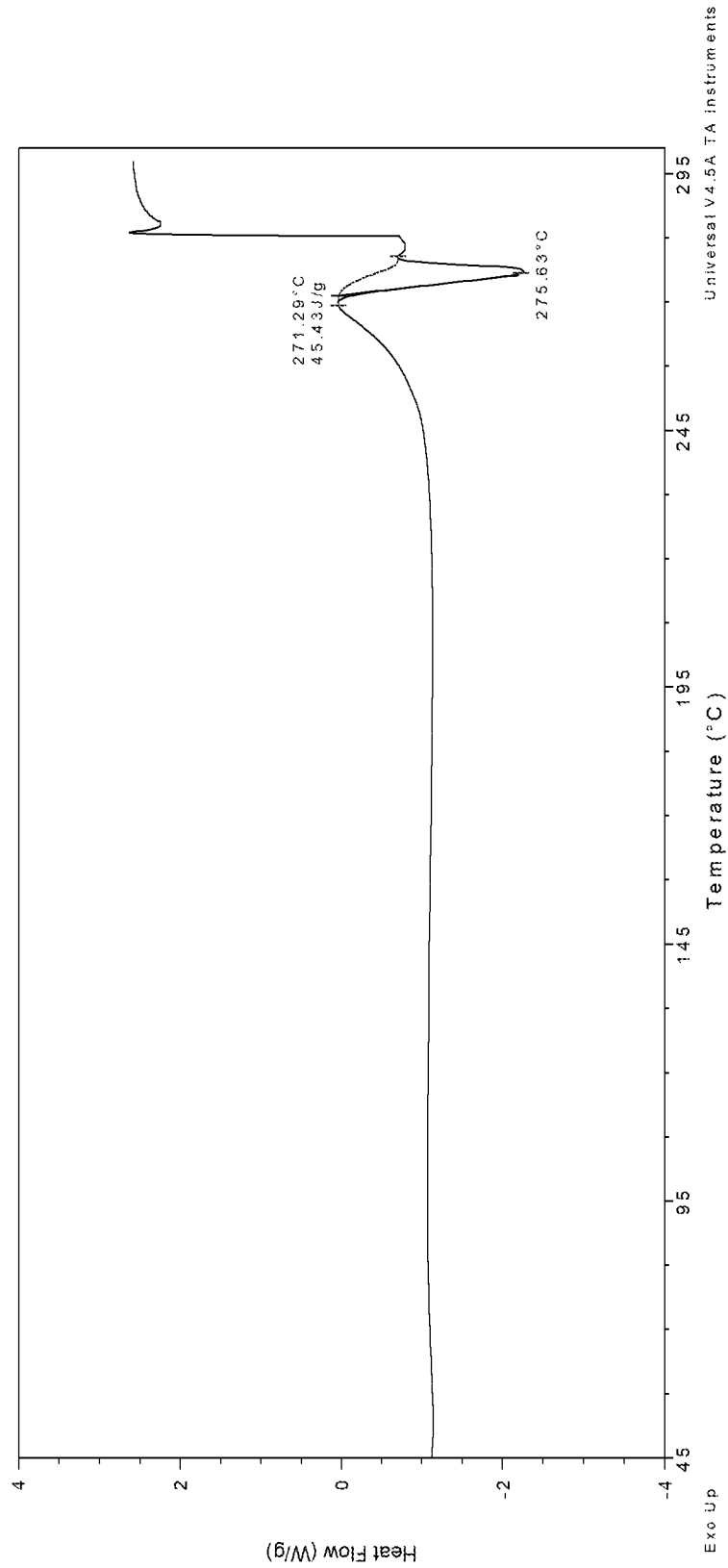


FIG. 6

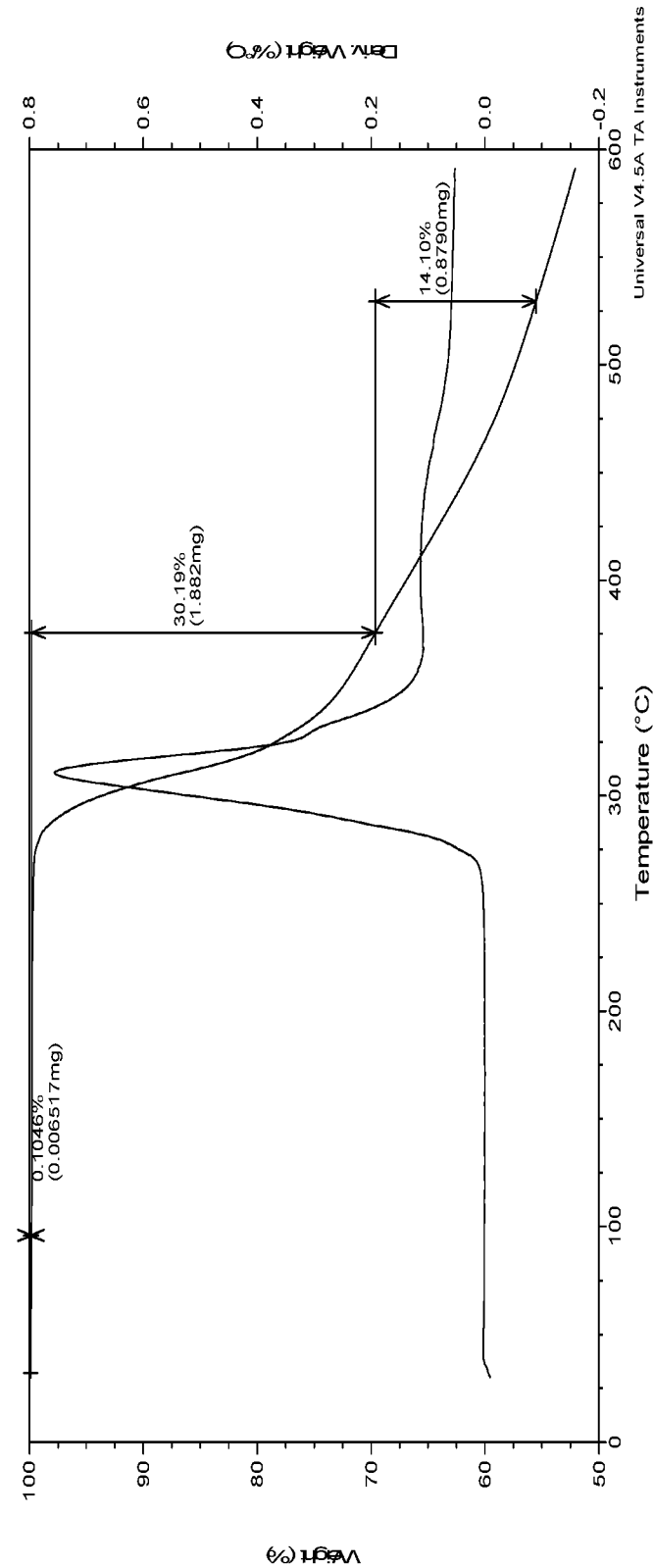


FIG. 7

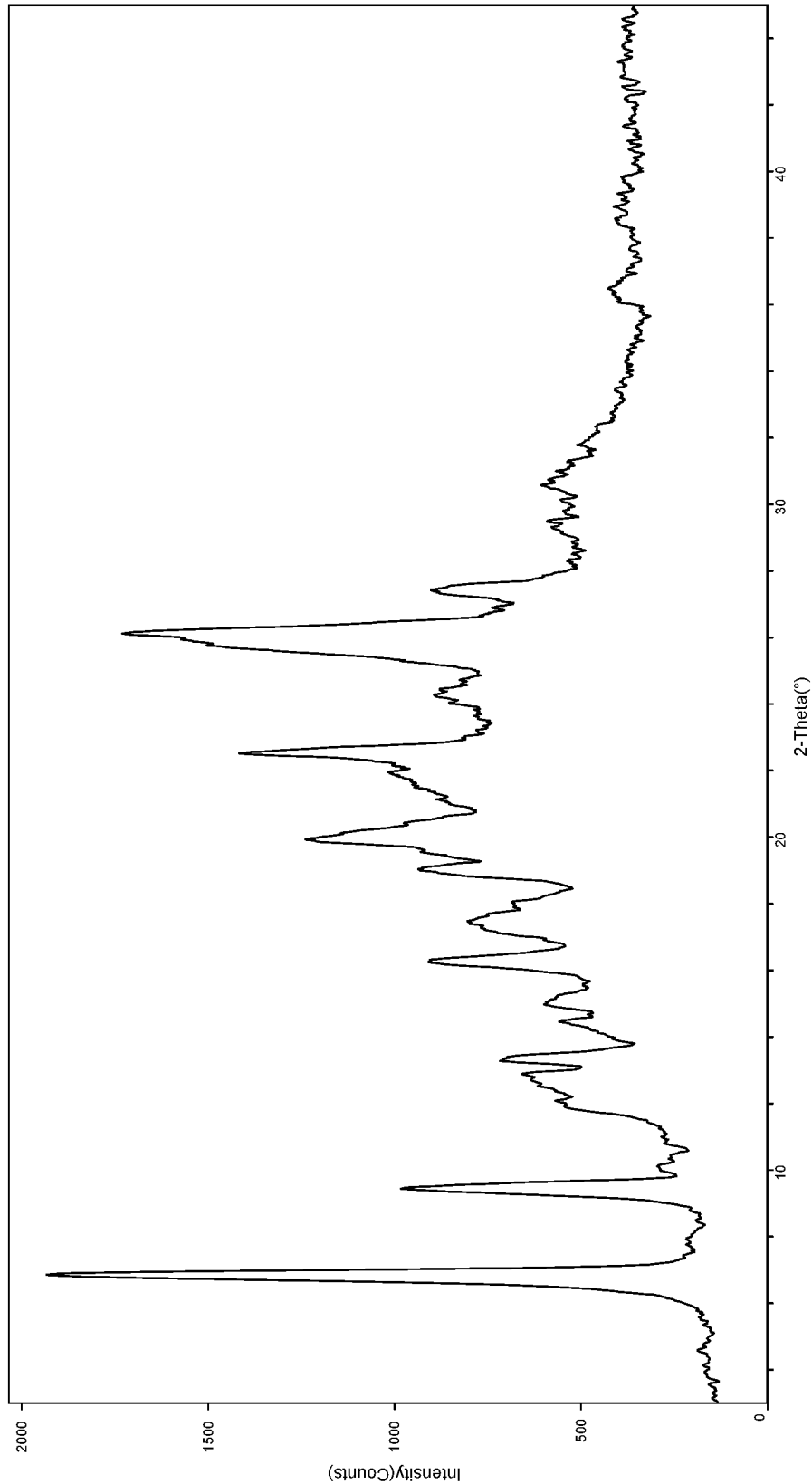


FIG. 8

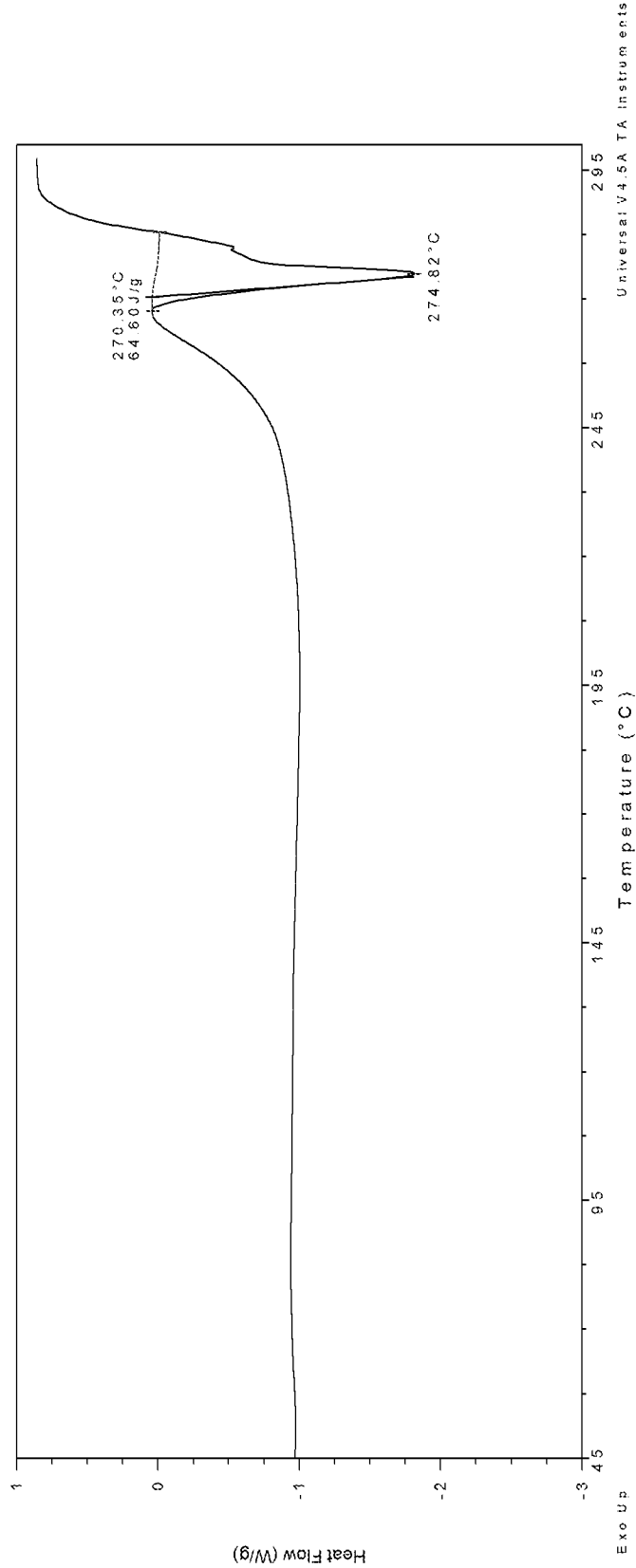




FIG. 9

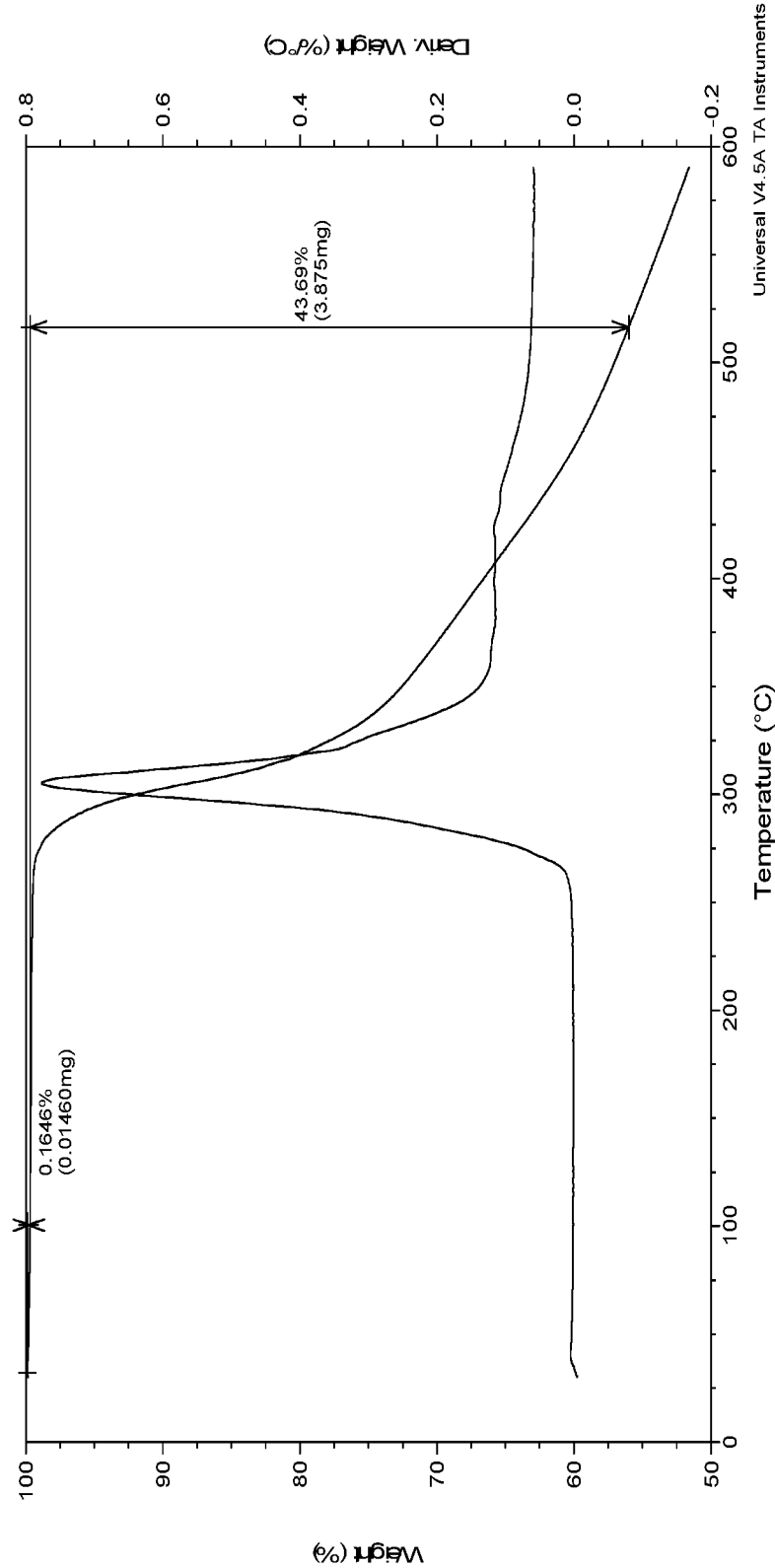


FIG. 10

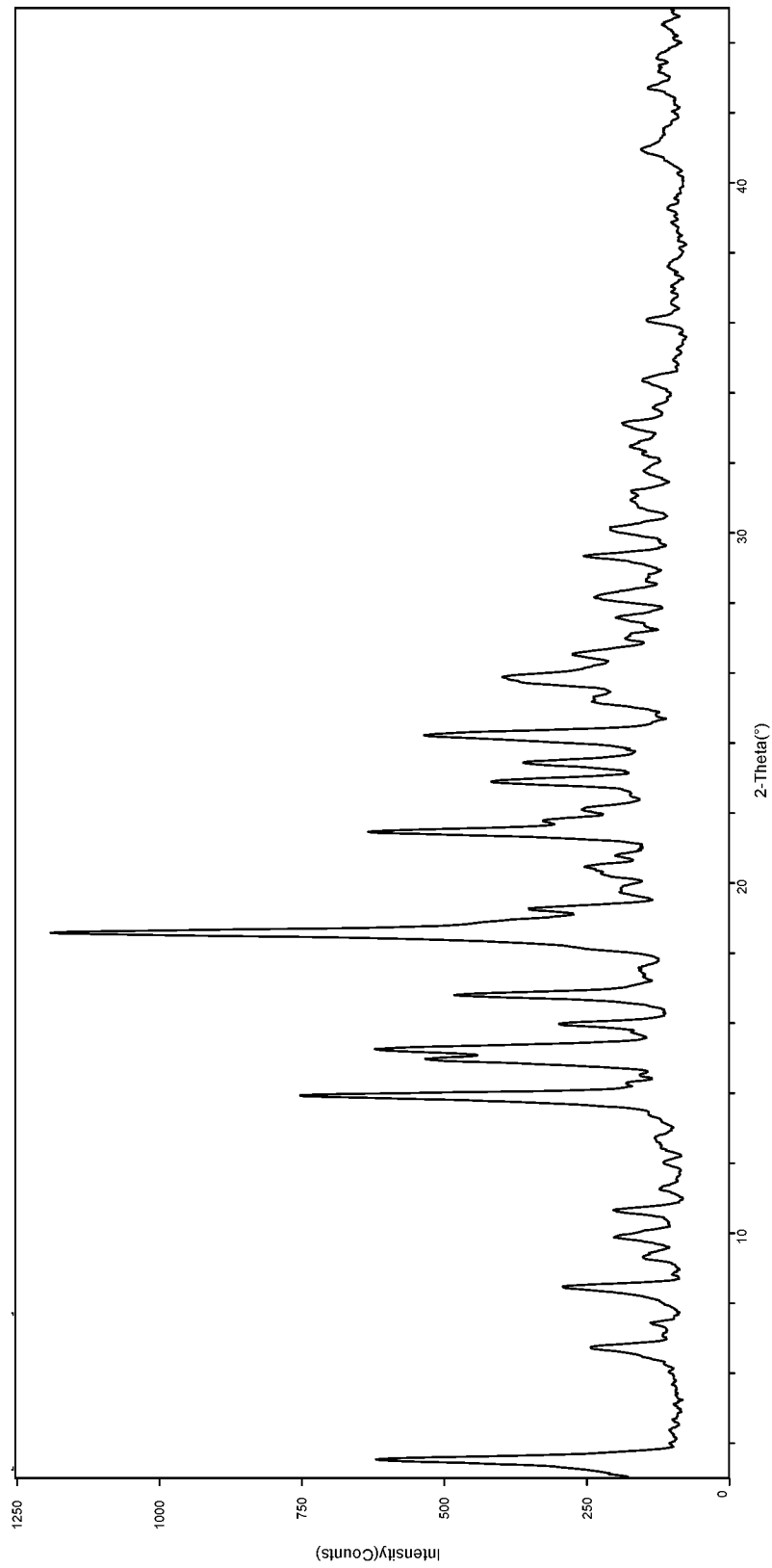


FIG. 11

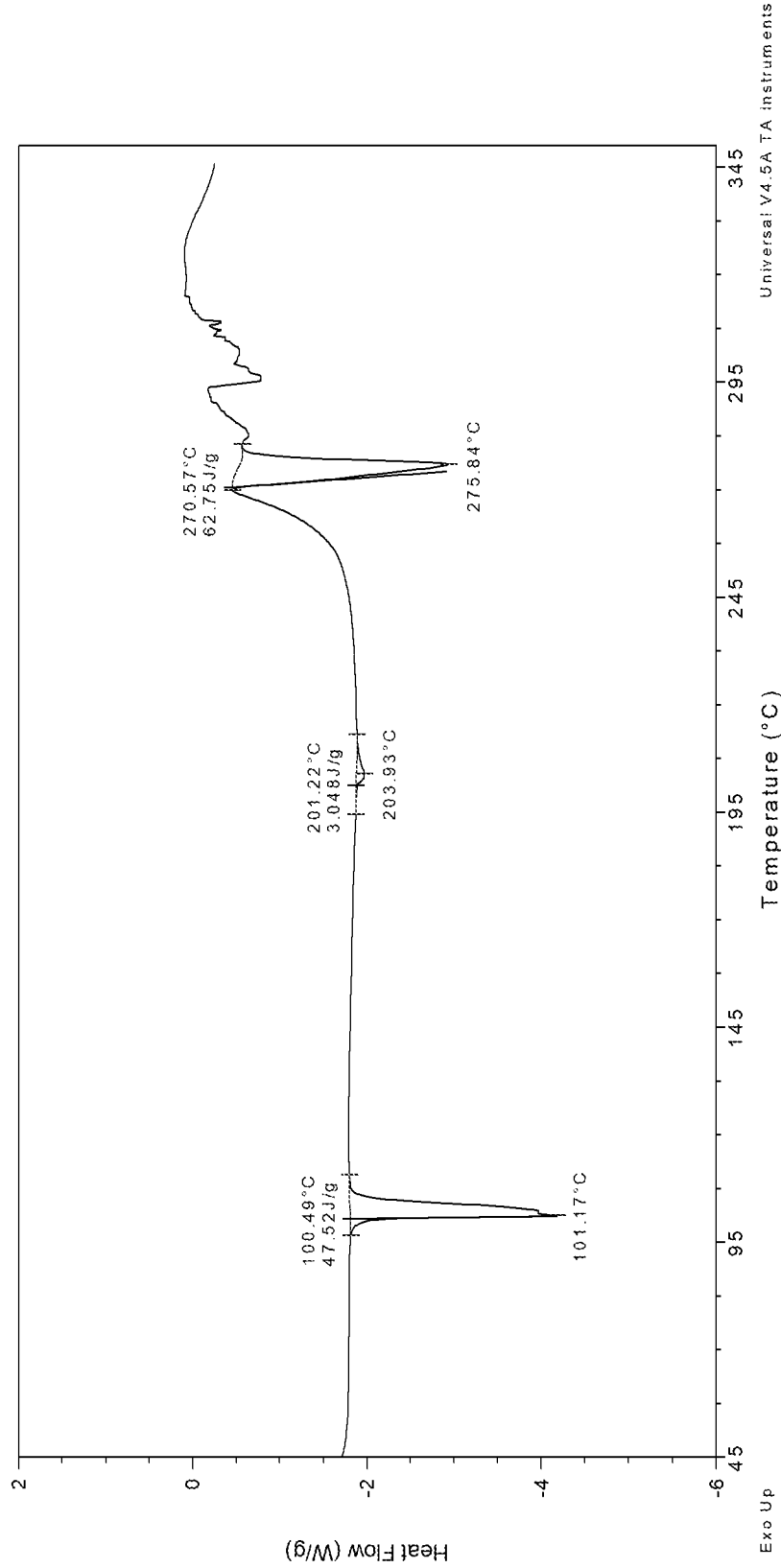


FIG. 12

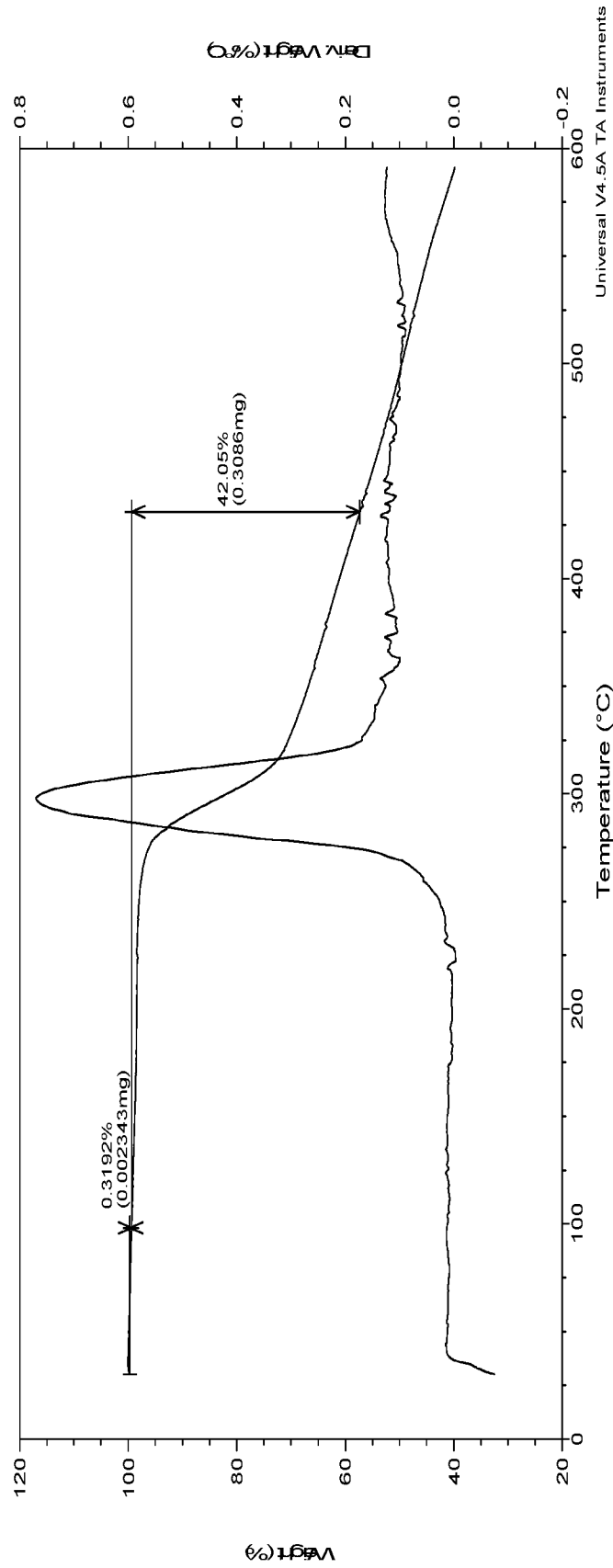


FIG. 13

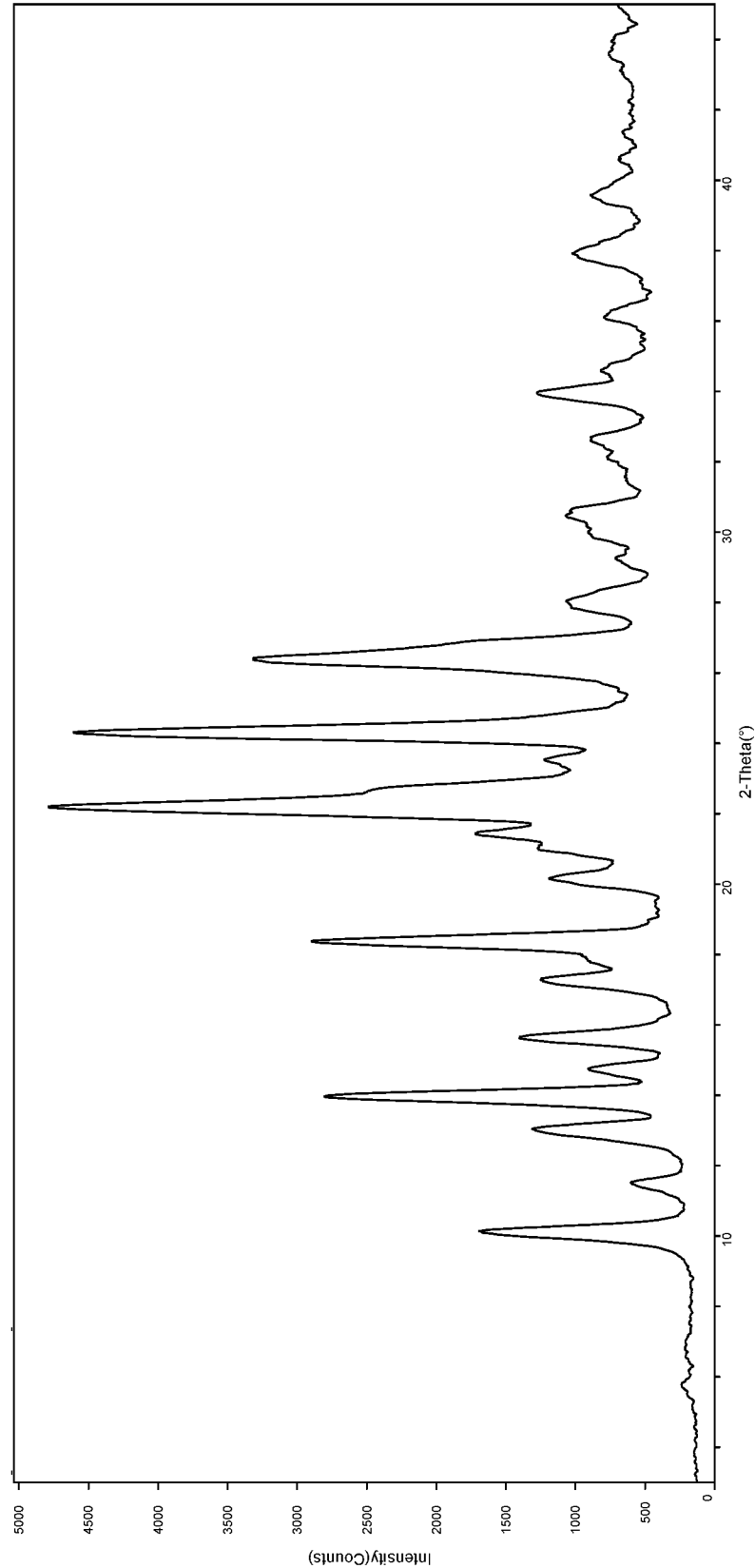


FIG. 14

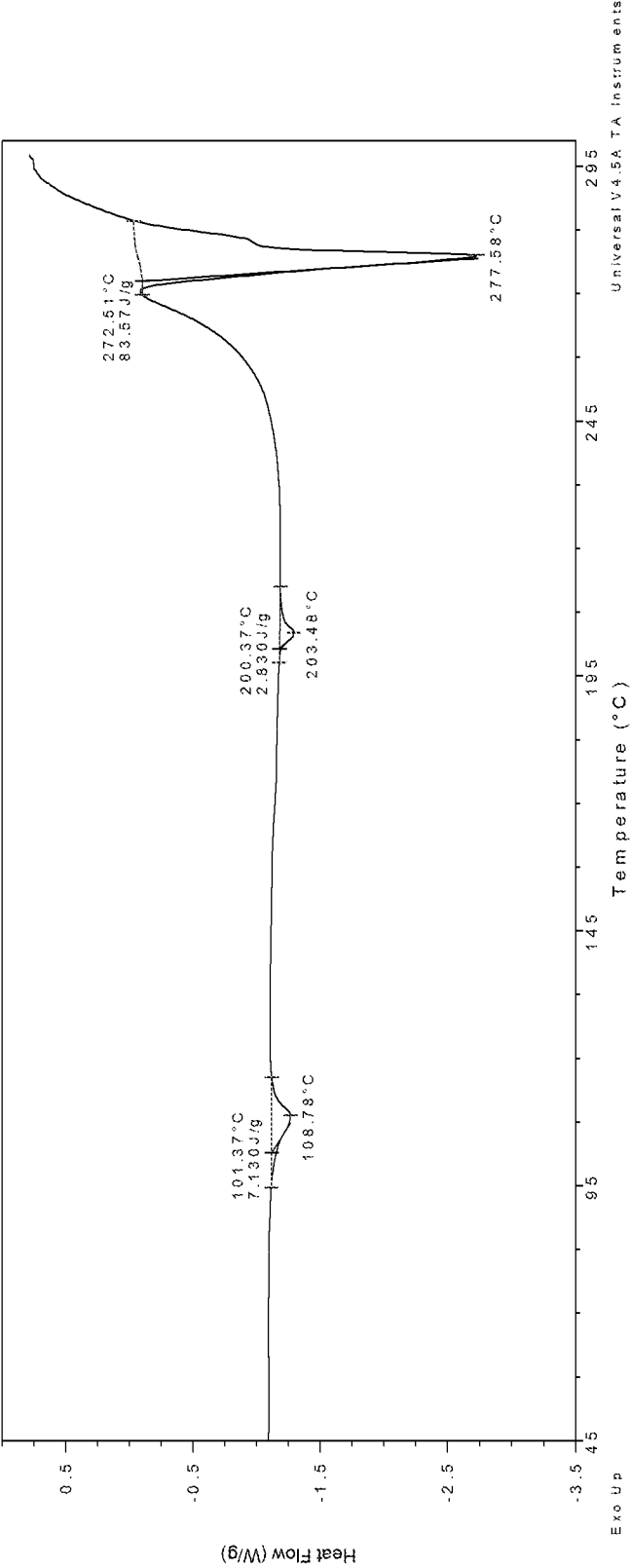


FIG. 15

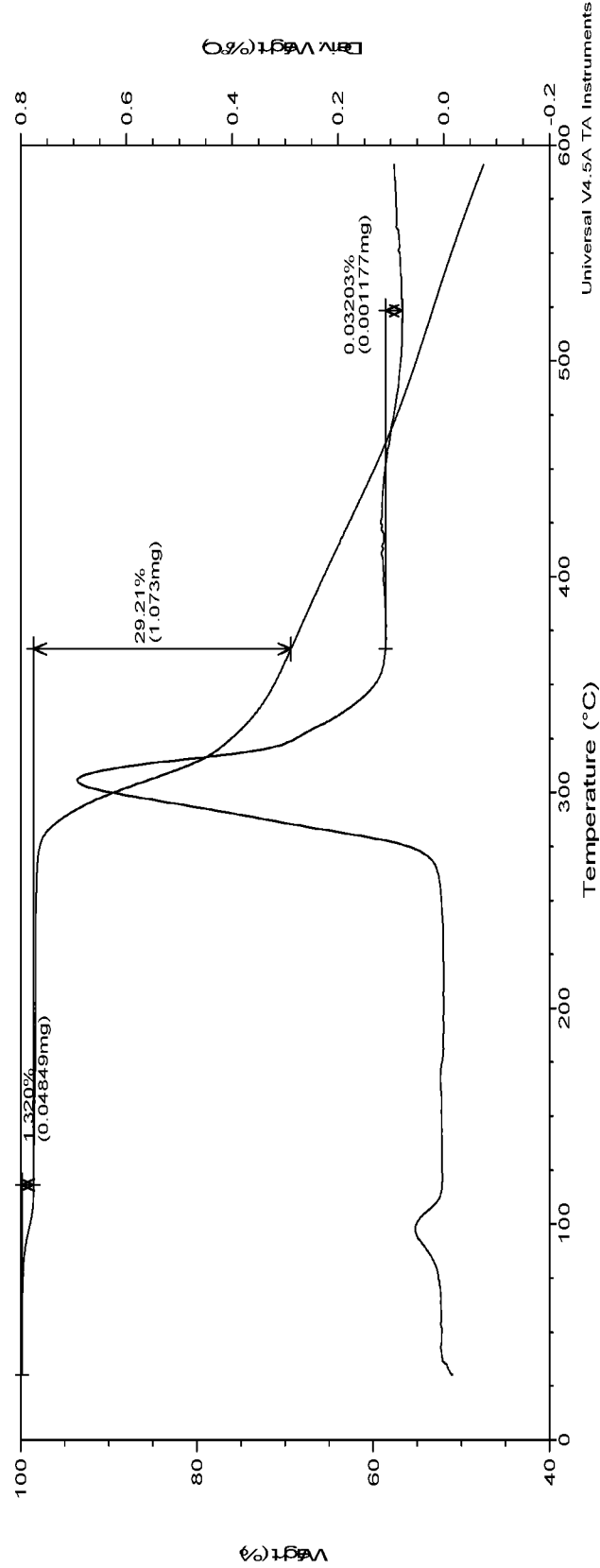


FIG. 16

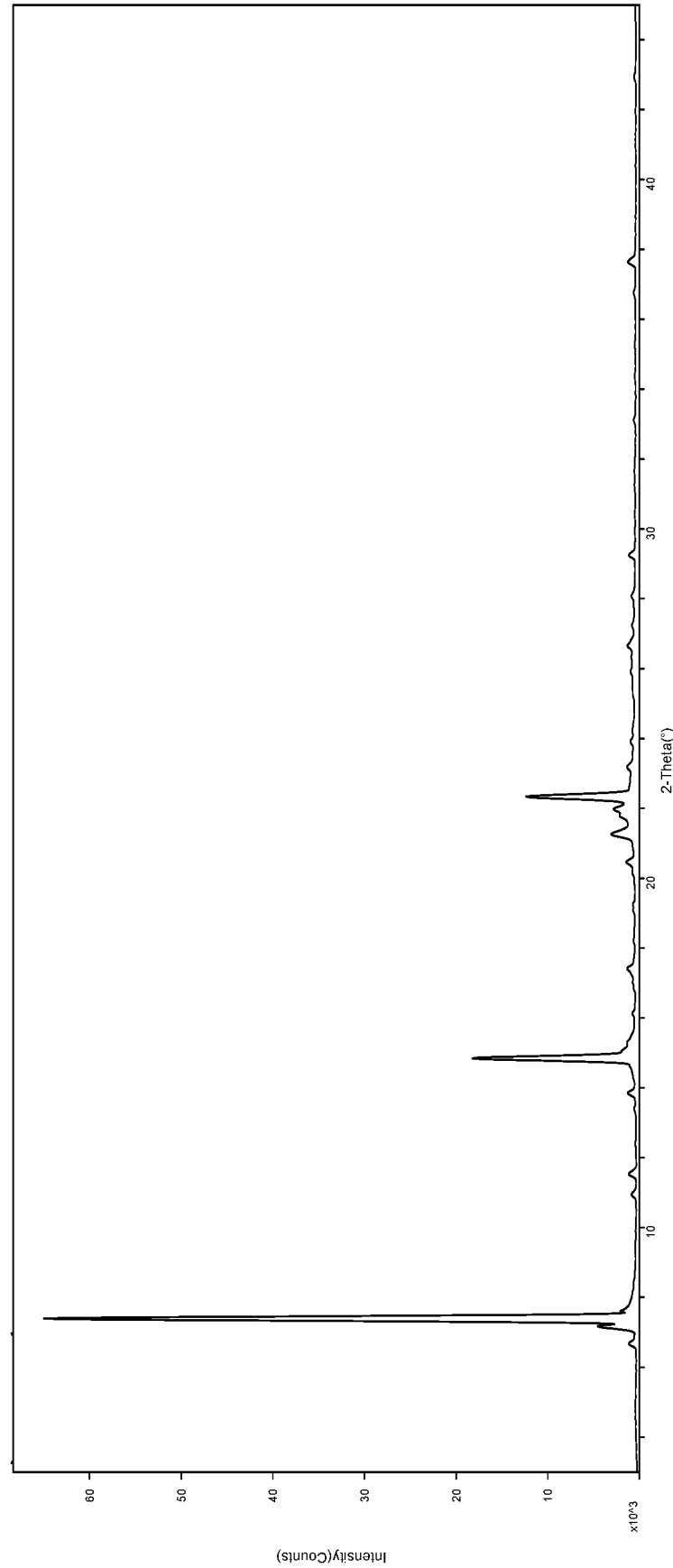




FIG. 17

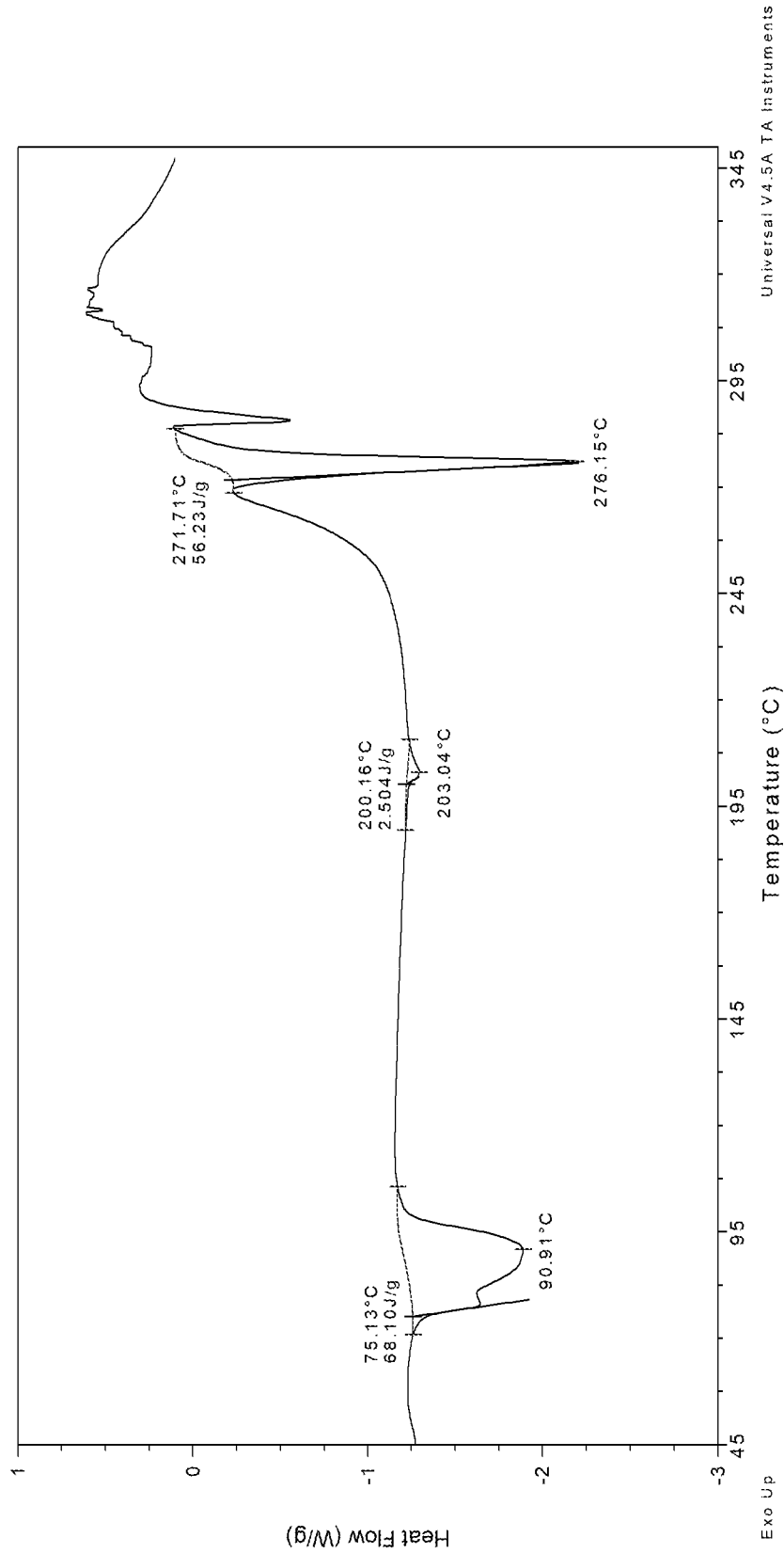


FIG. 18

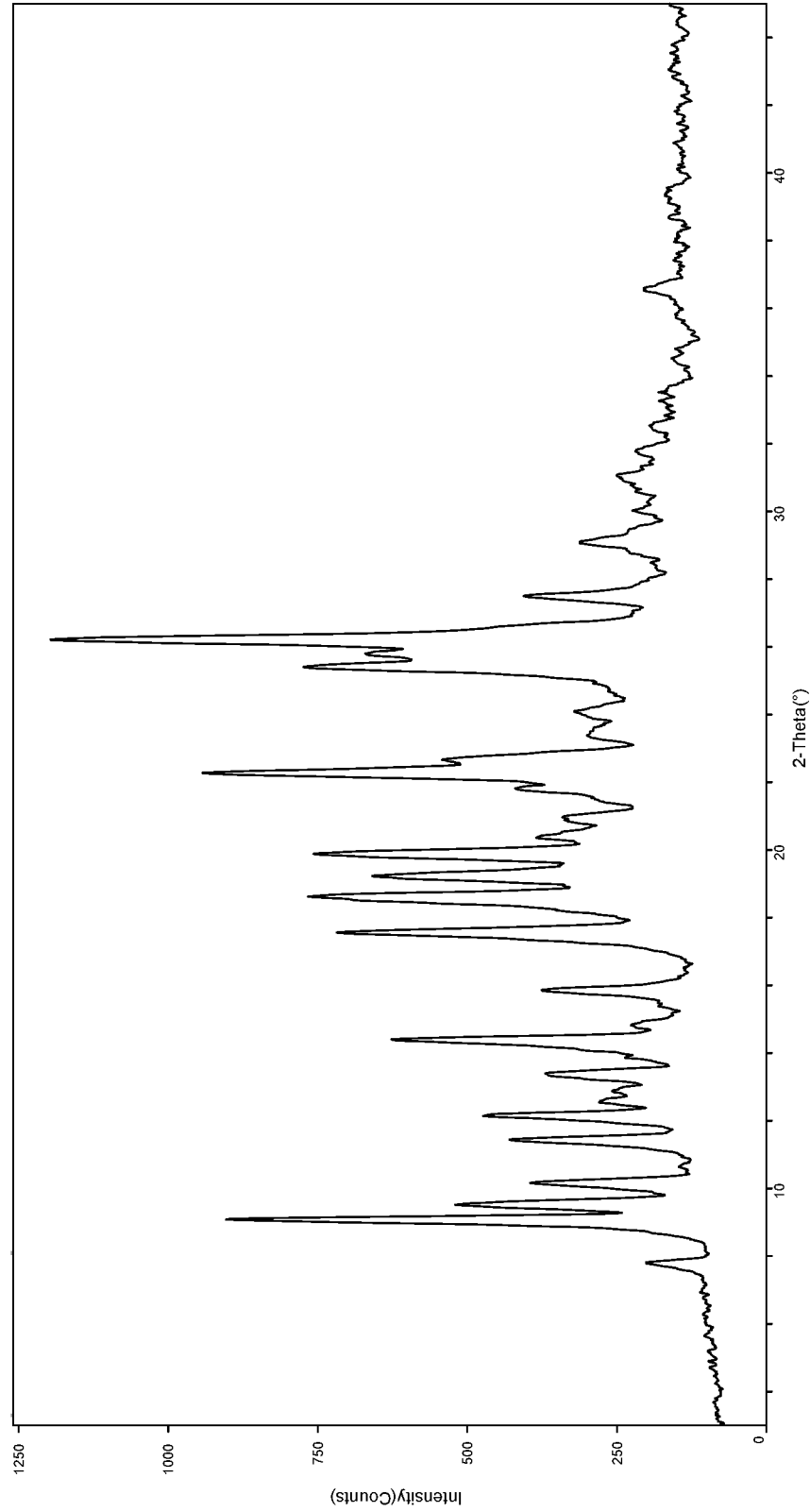


FIG. 19

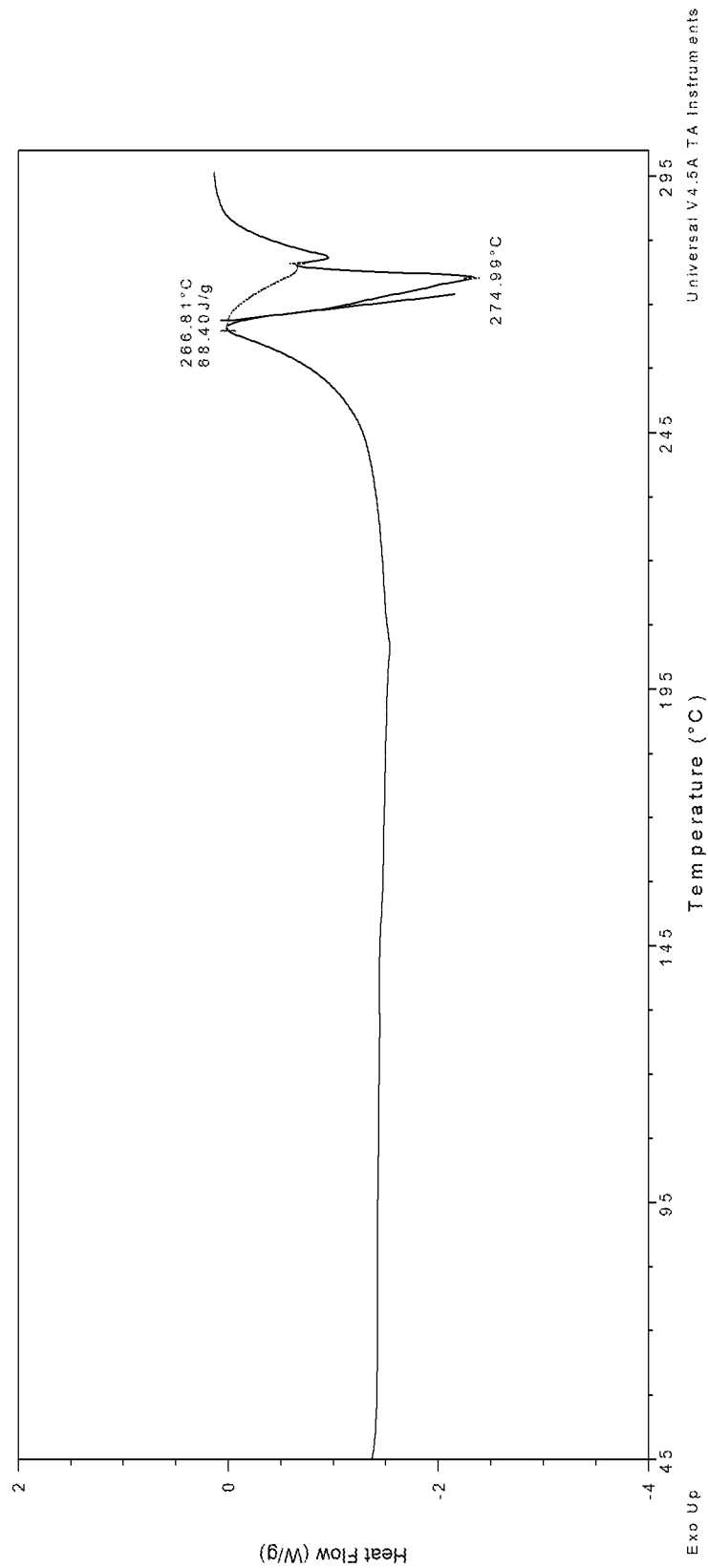


FIG. 20

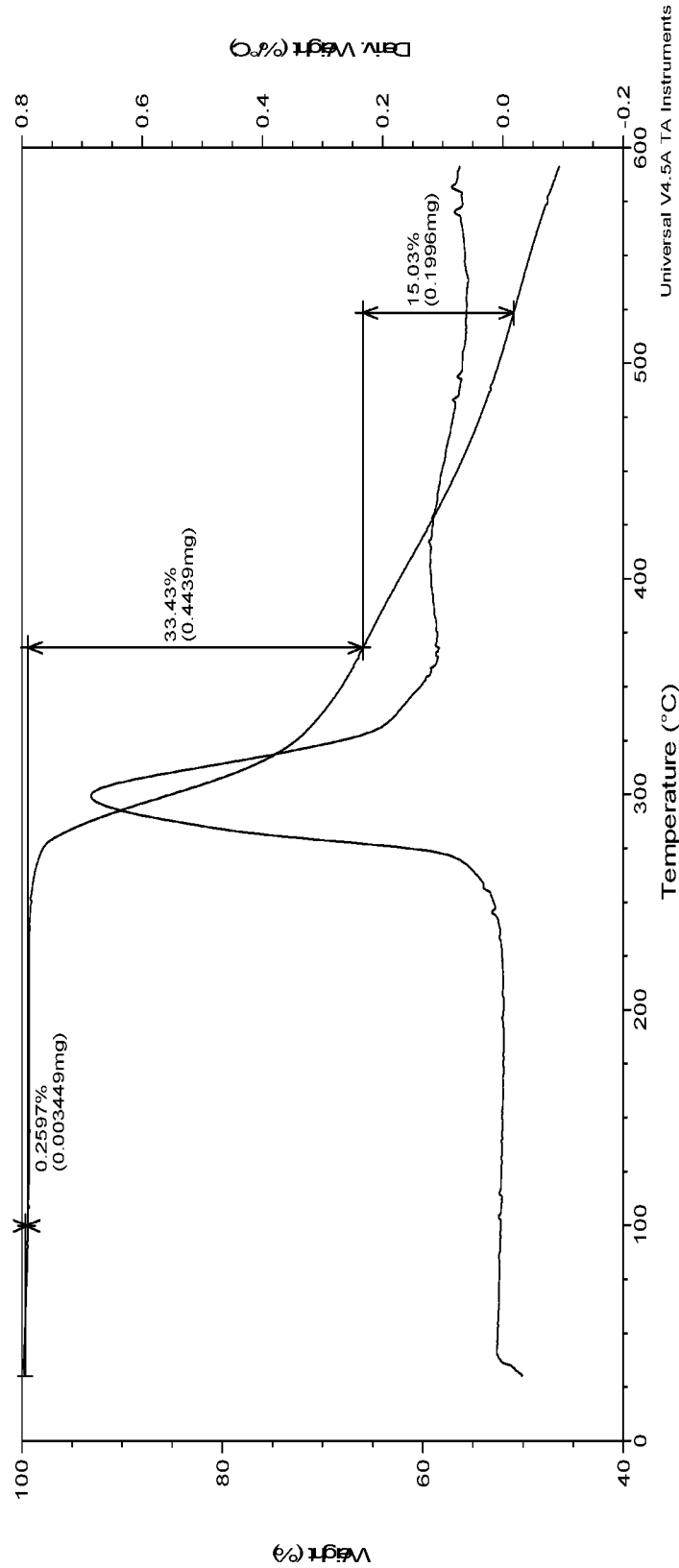


FIG. 21

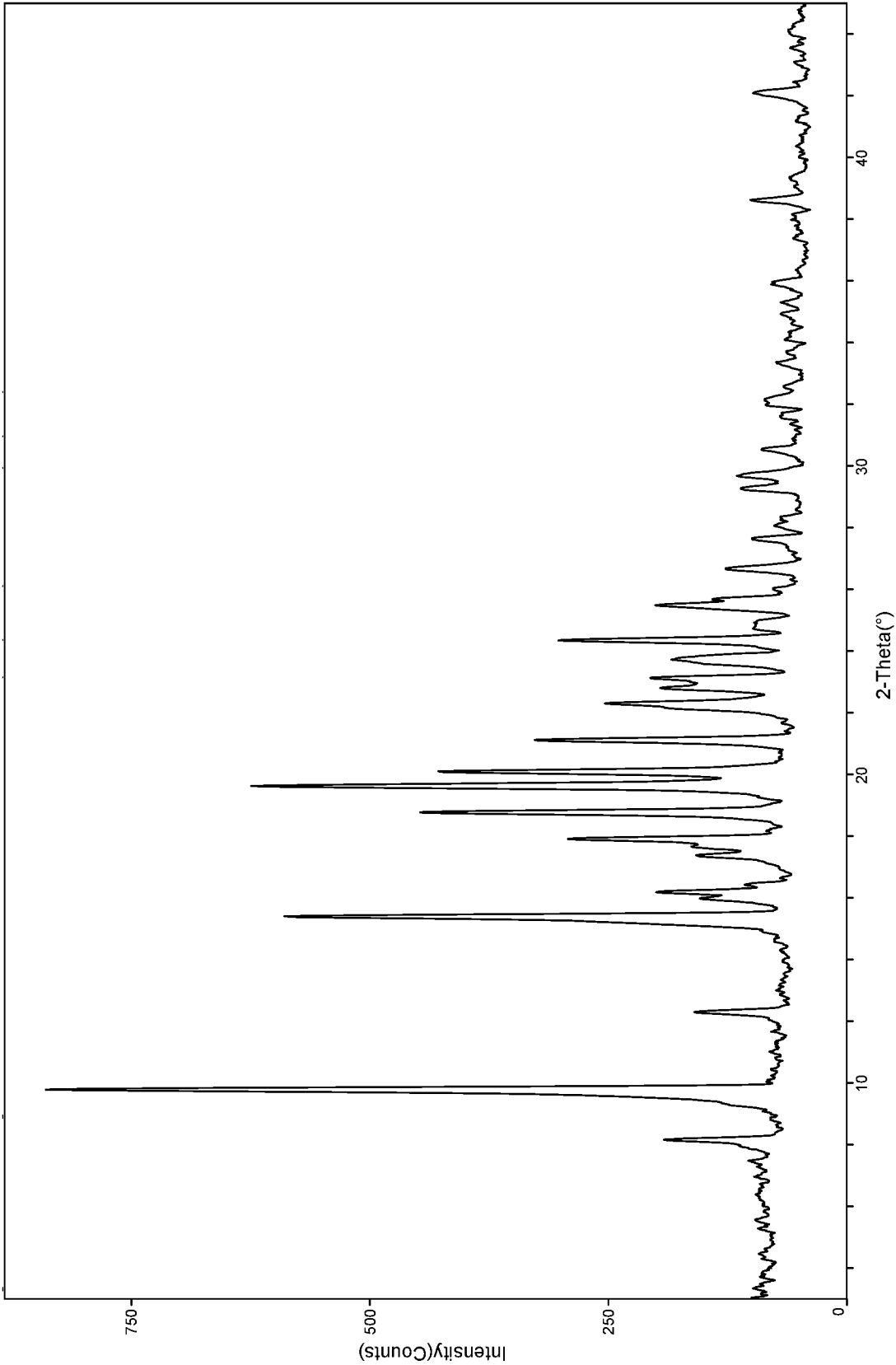


FIG. 22

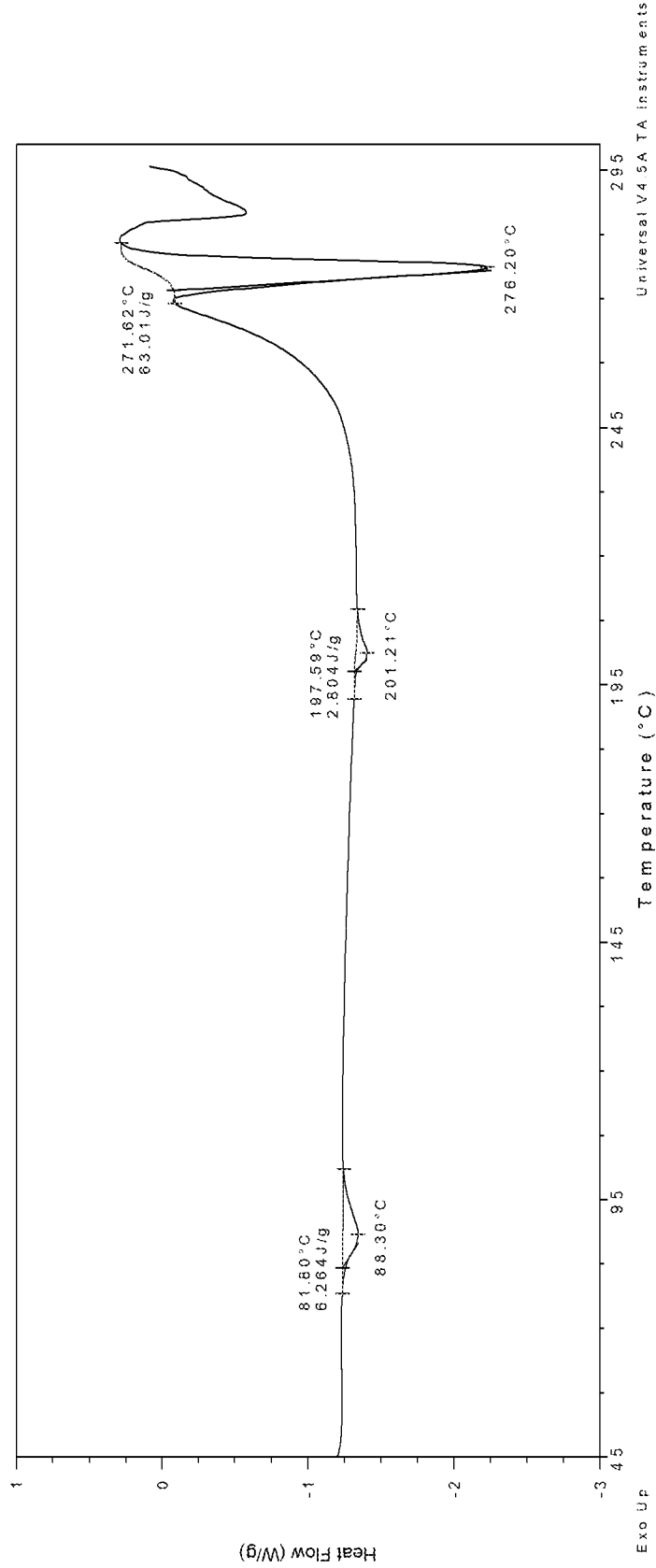


FIG. 23

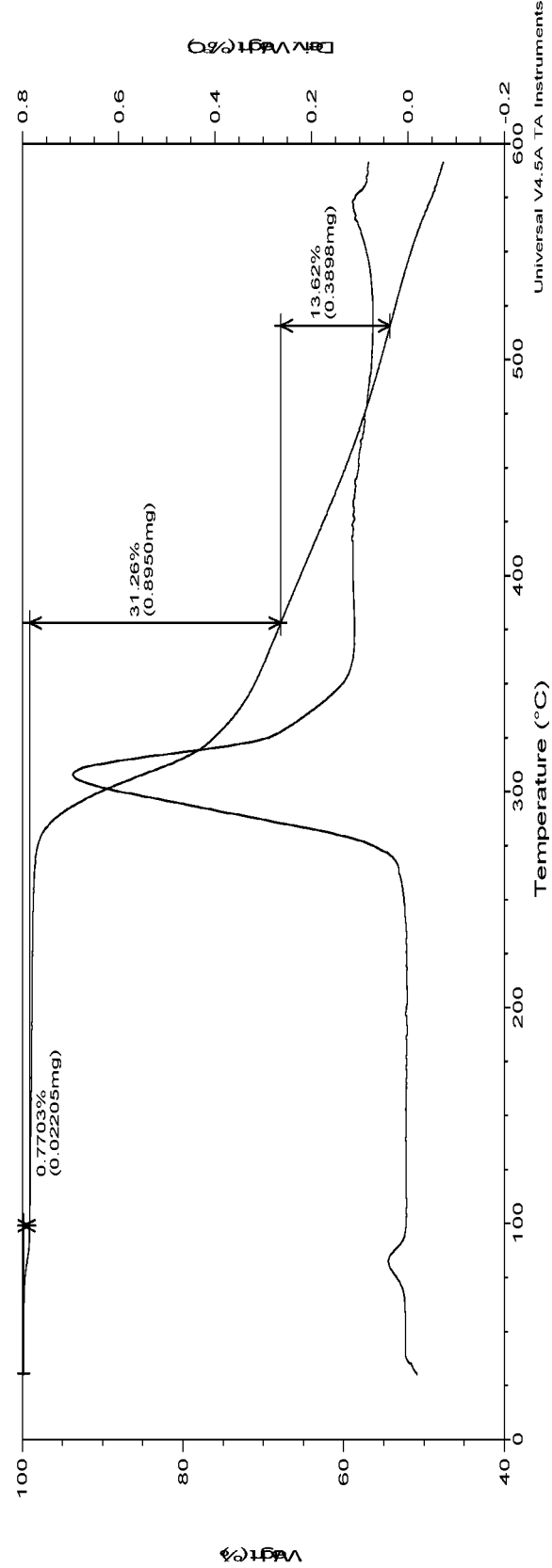


FIG. 24

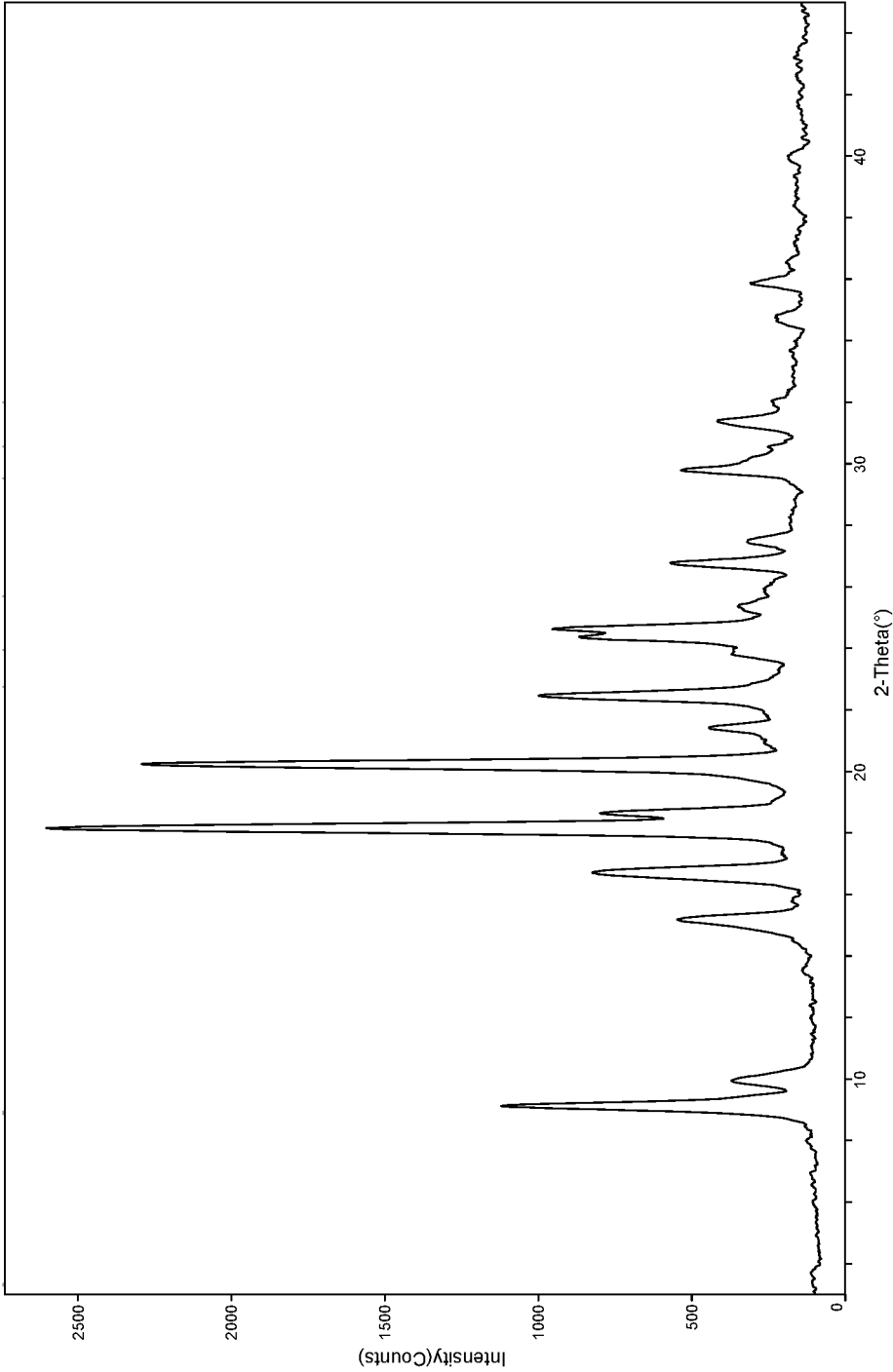




FIG. 25

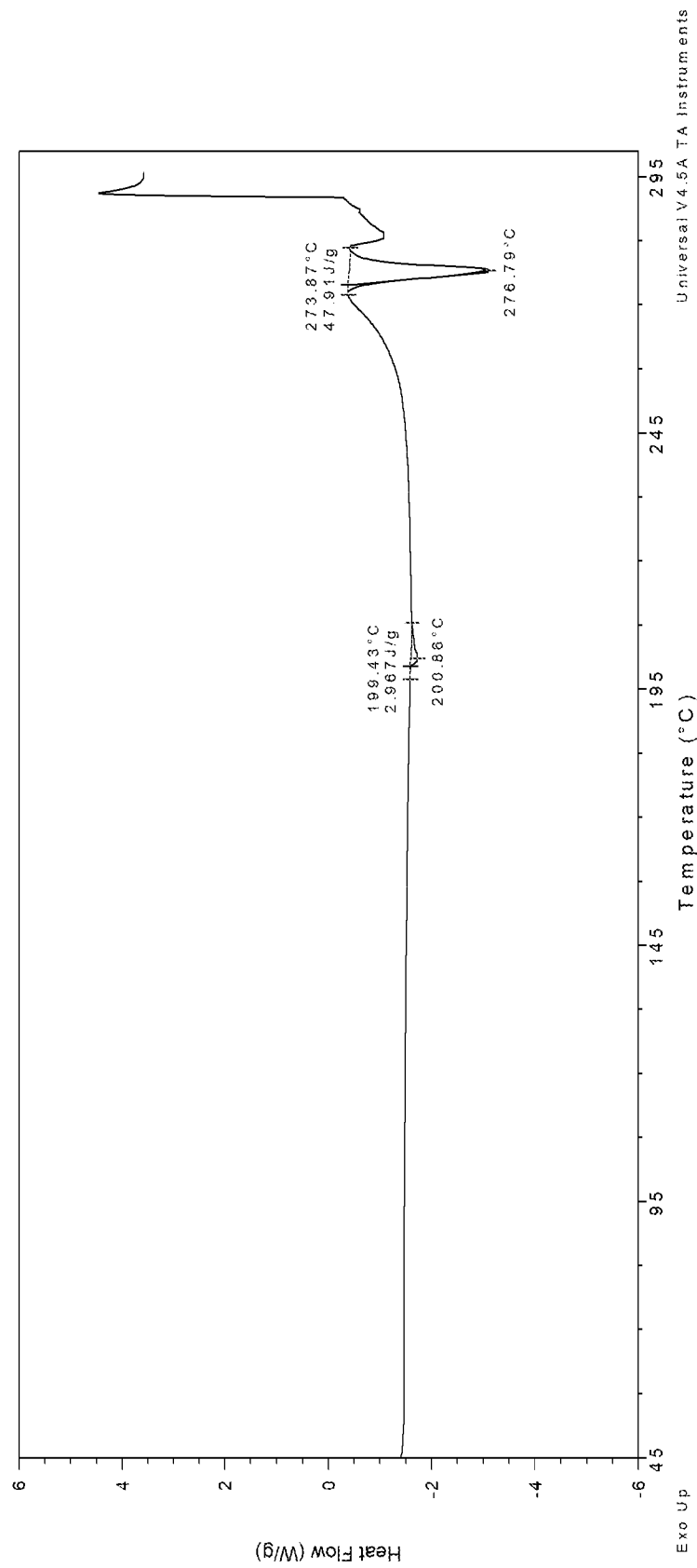


FIG. 26

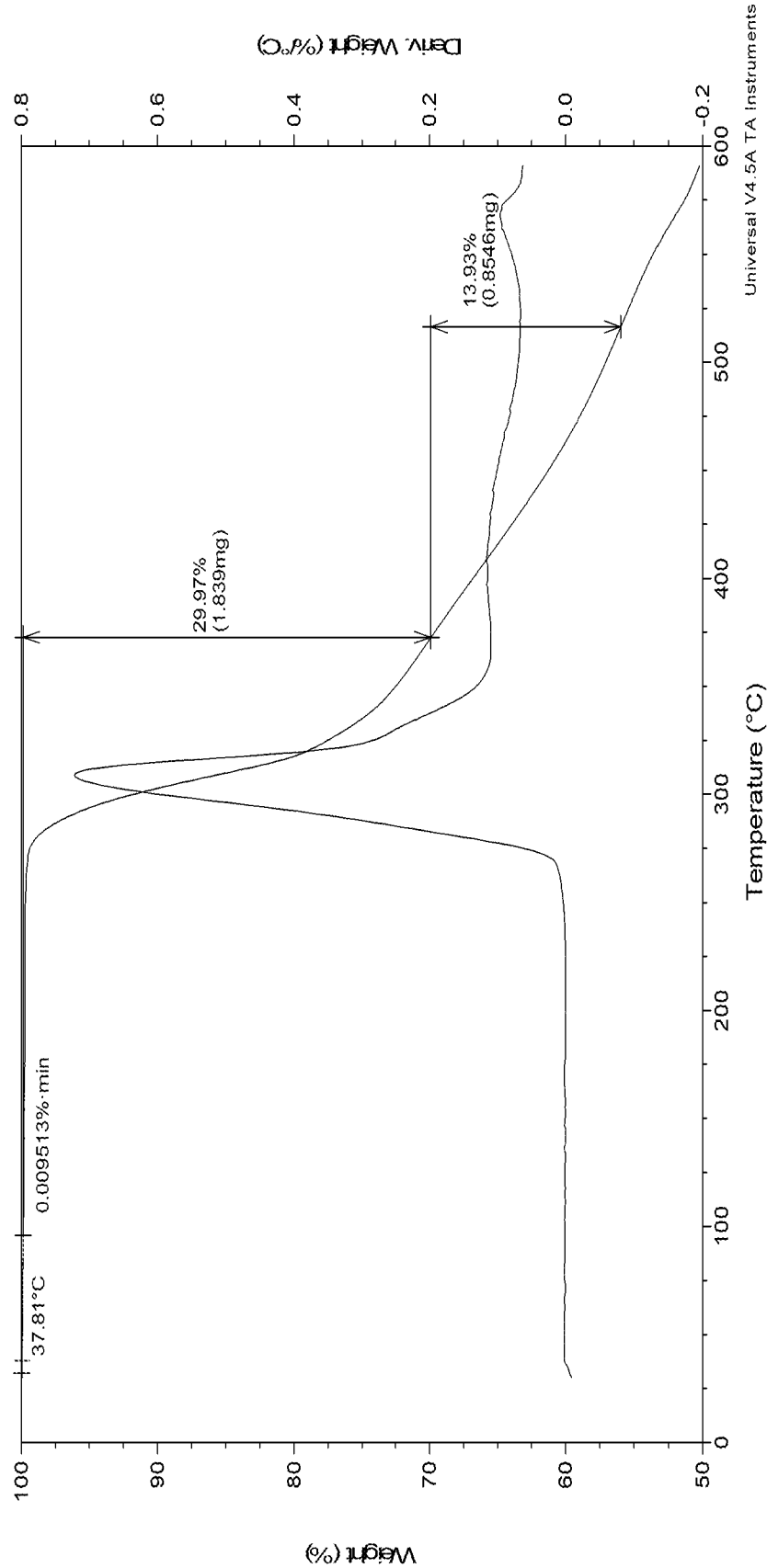


FIG. 27

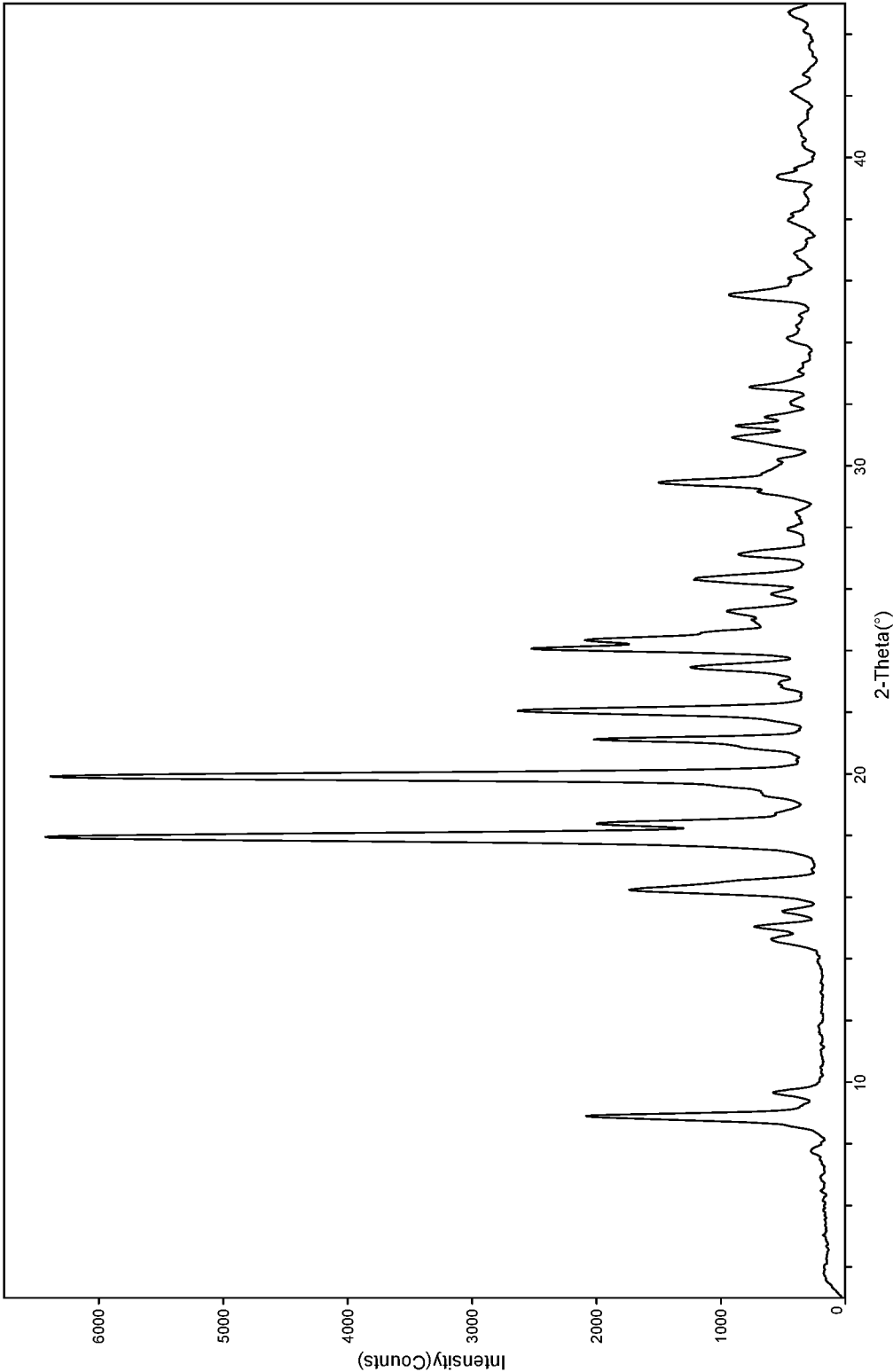


FIG. 28

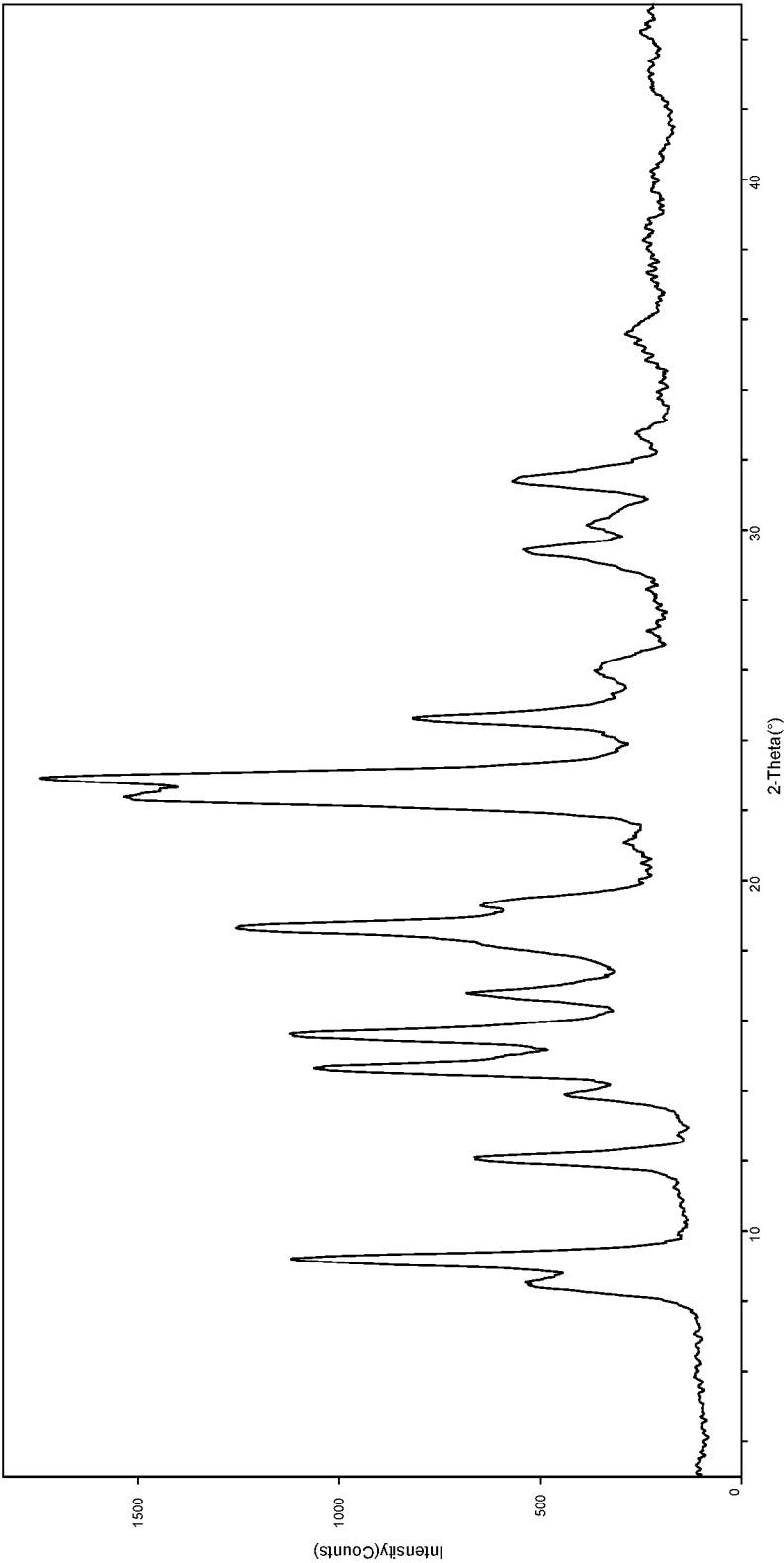


FIG. 29

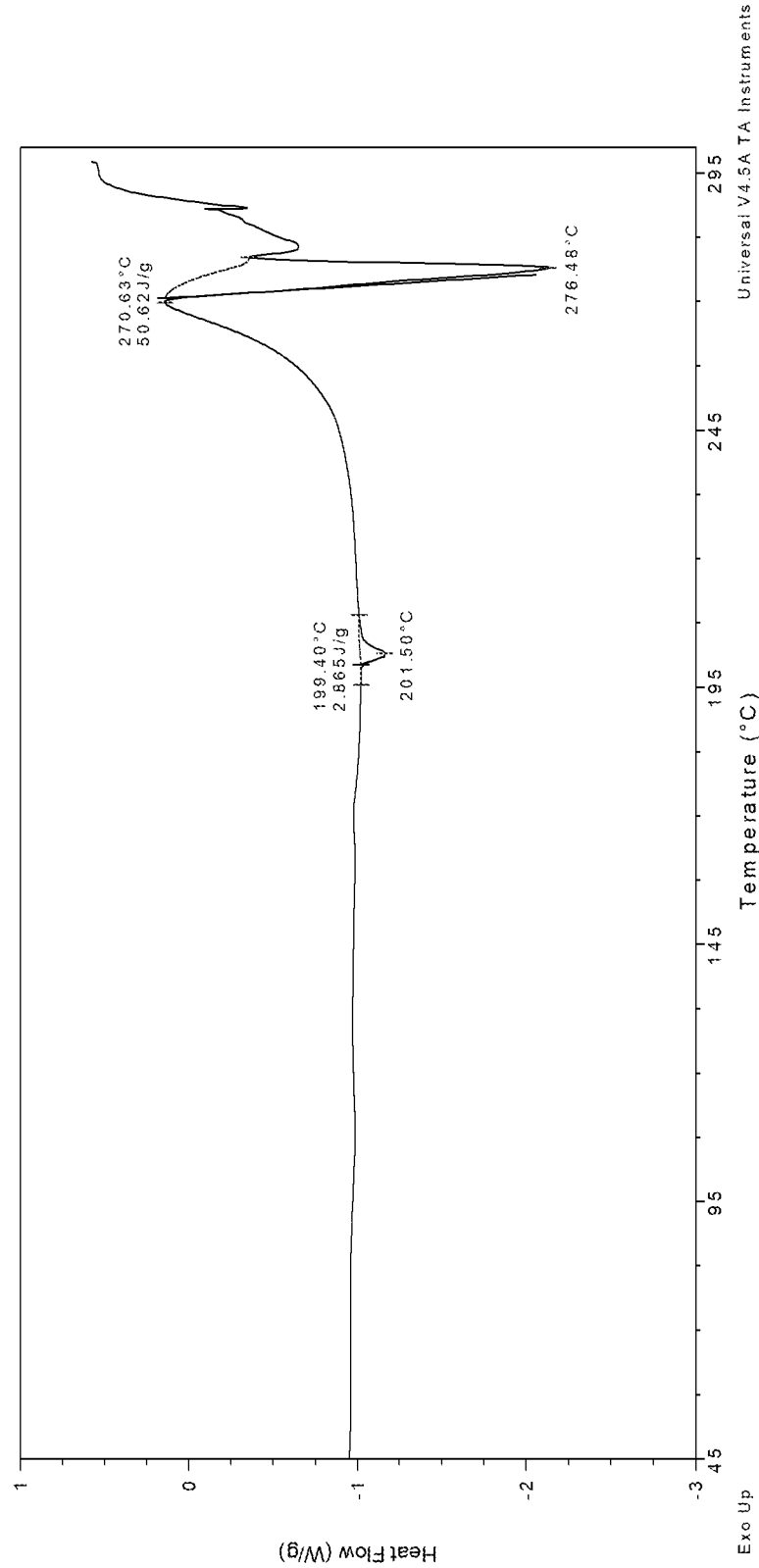


FIG. 30

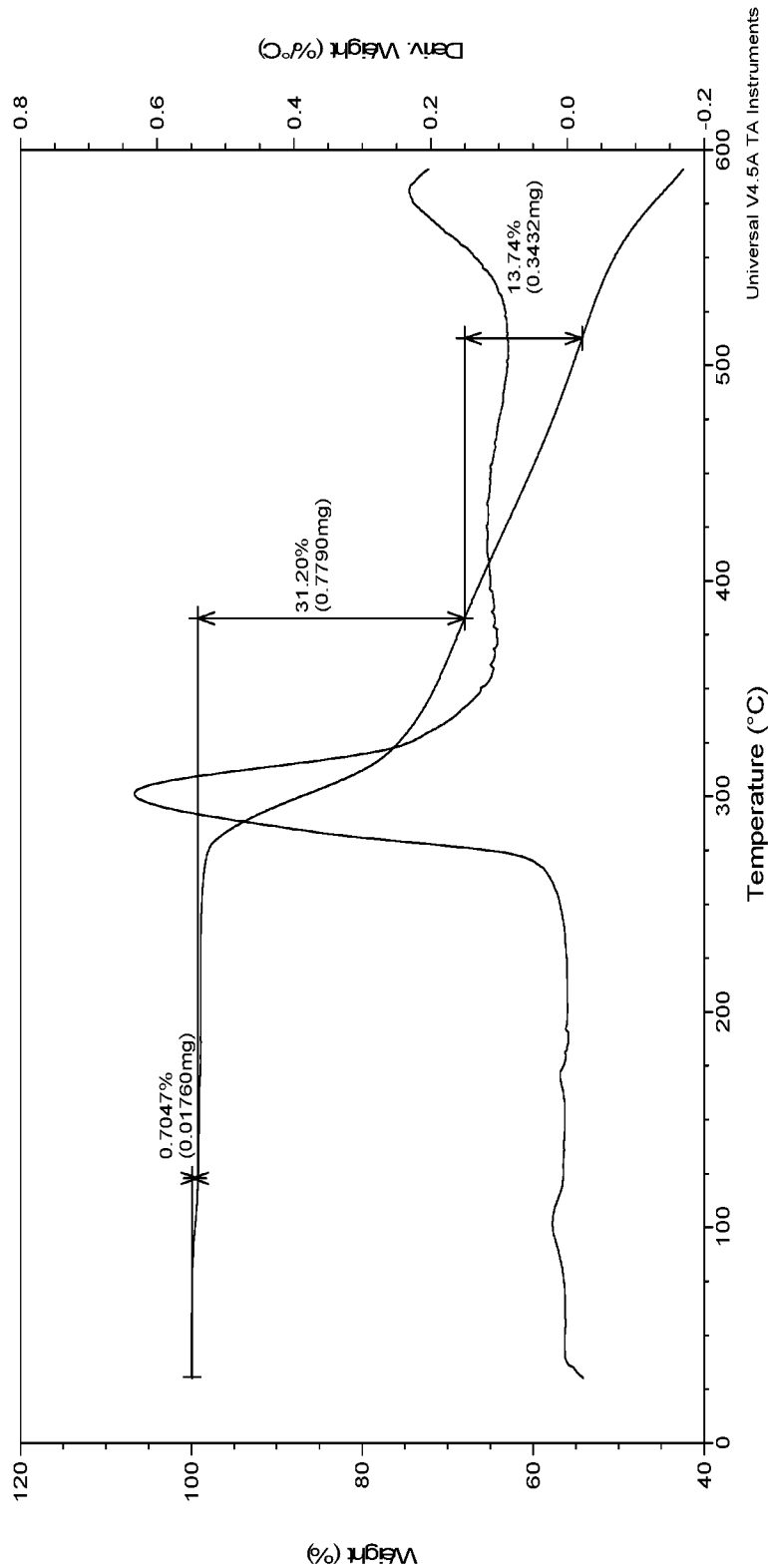


FIG. 31

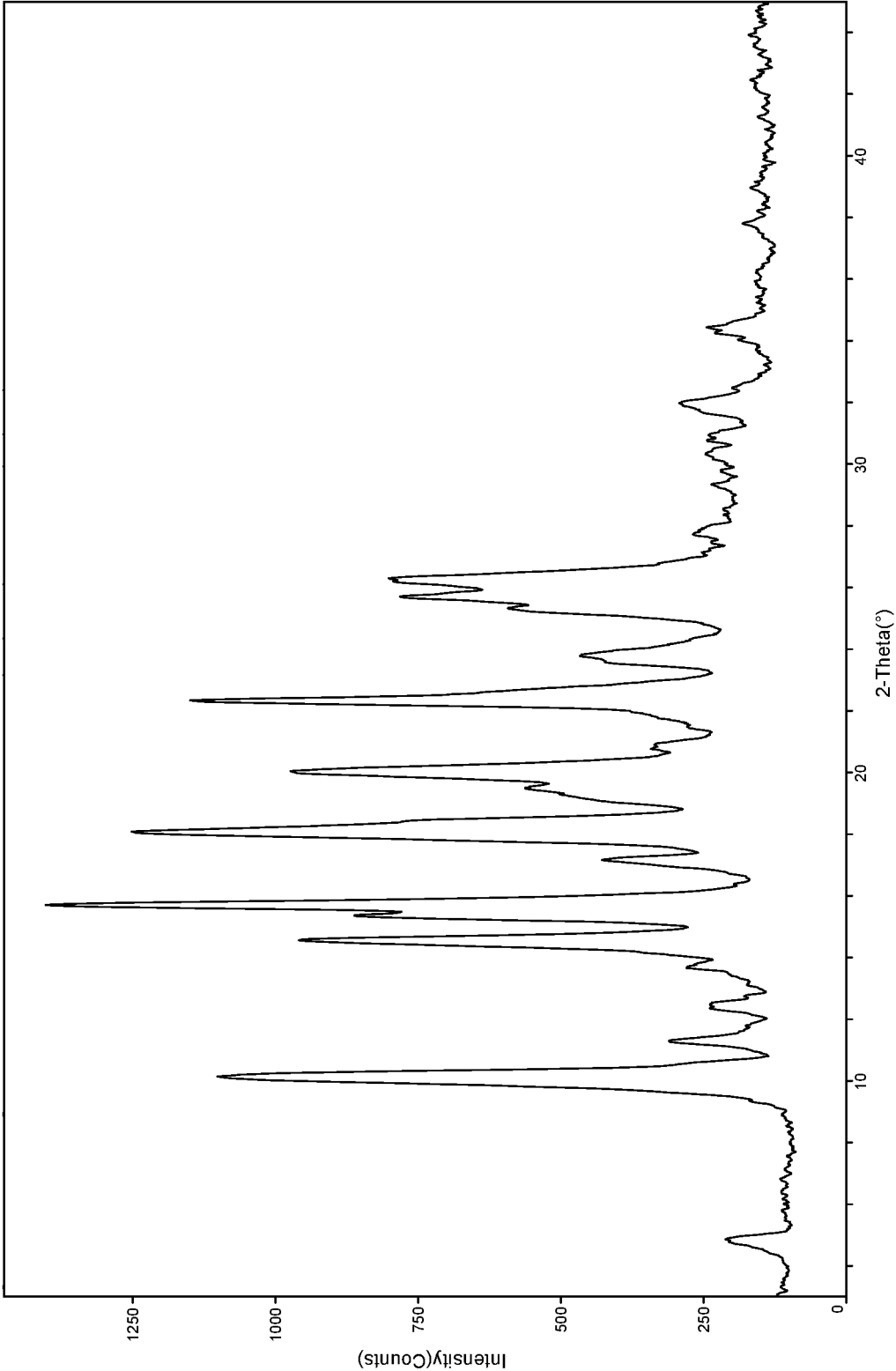


FIG. 32

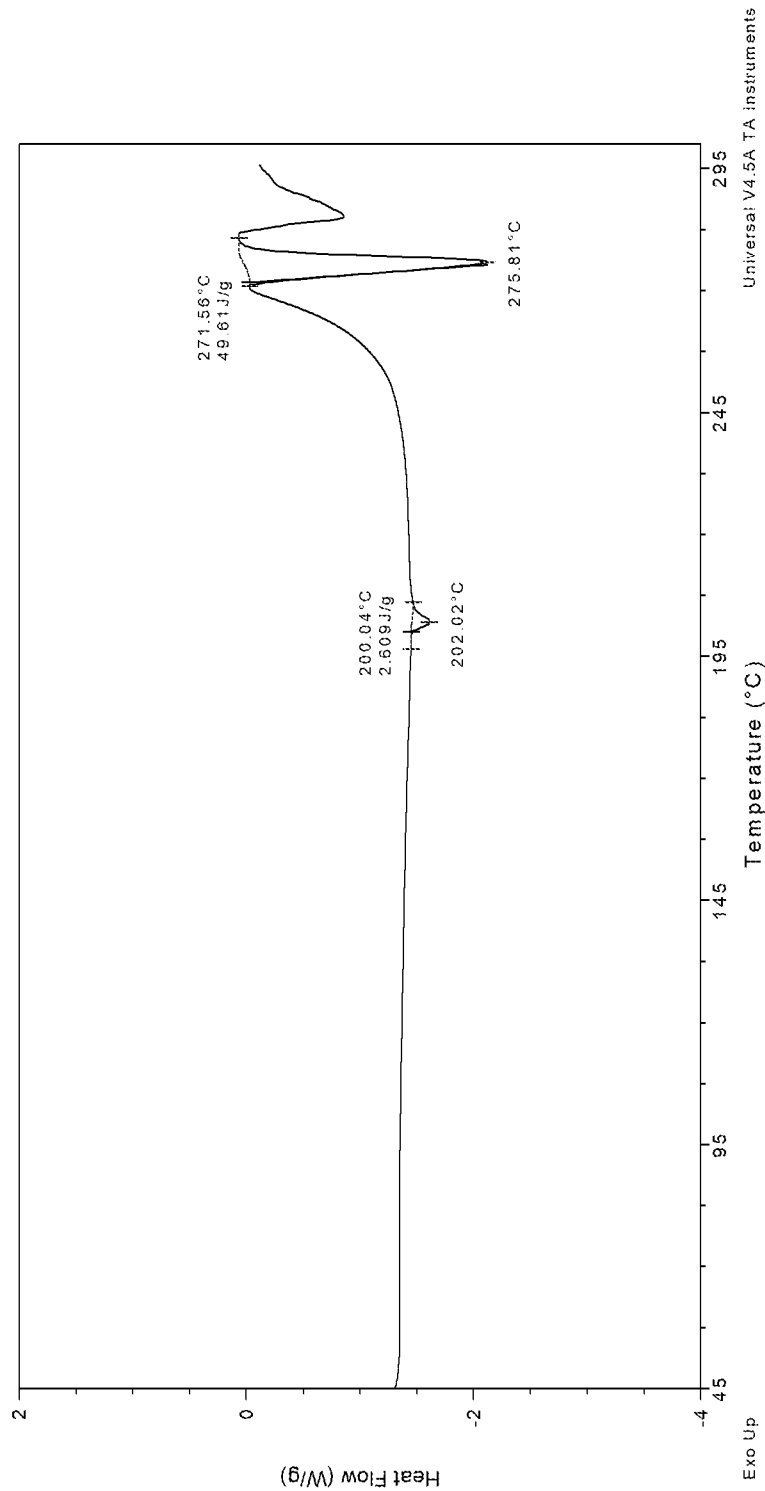




FIG. 33

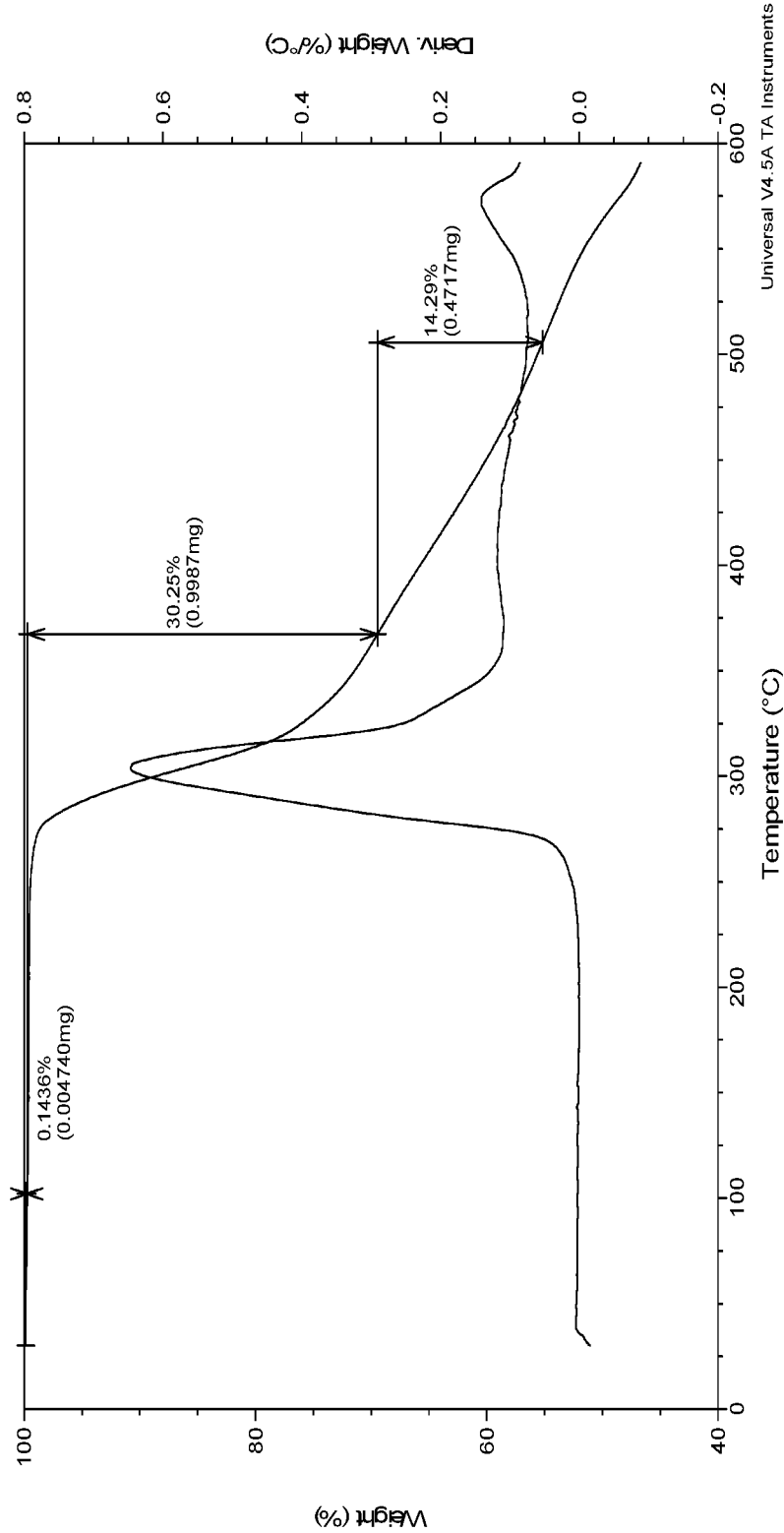


FIG. 34

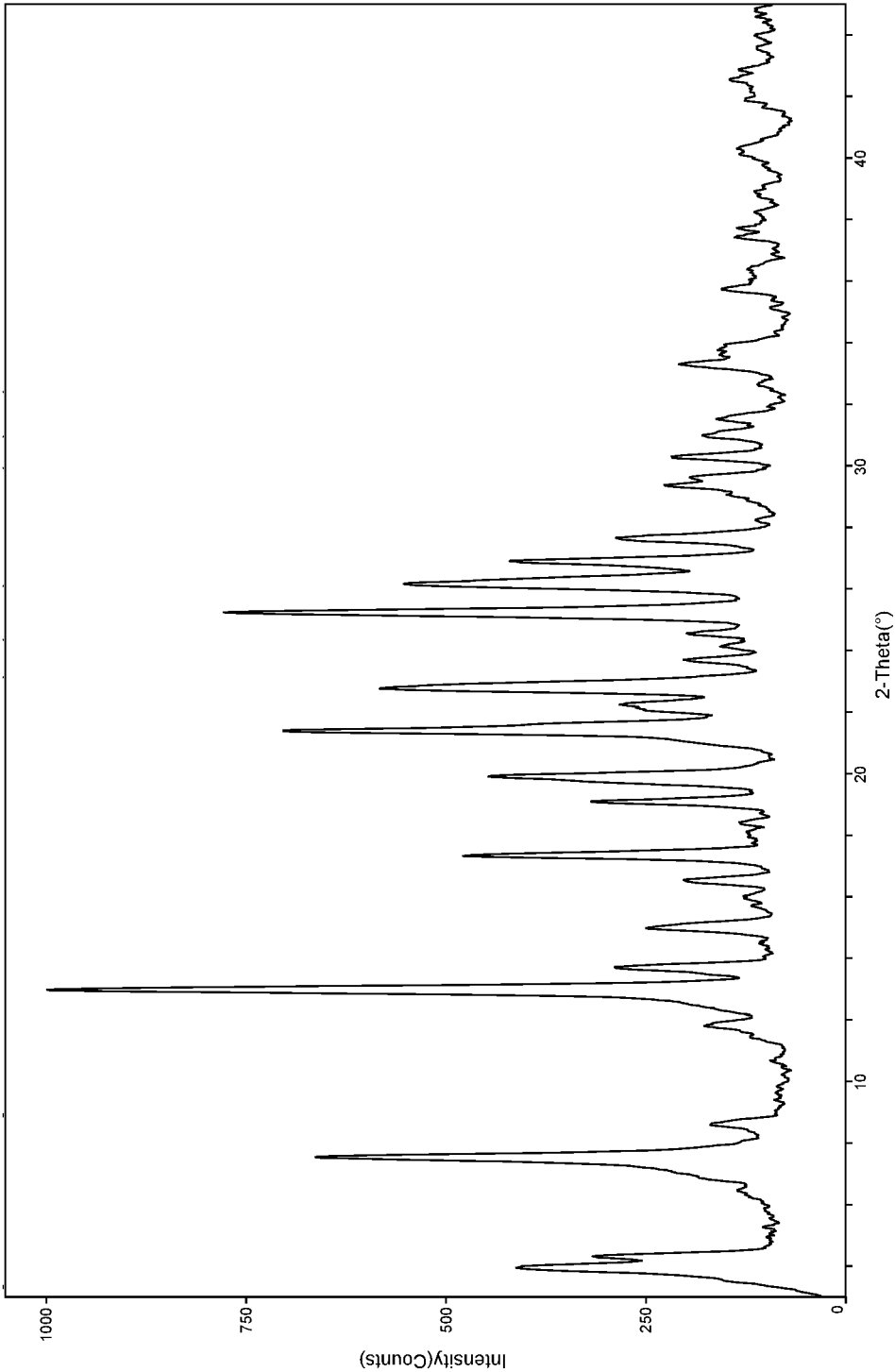


FIG. 35

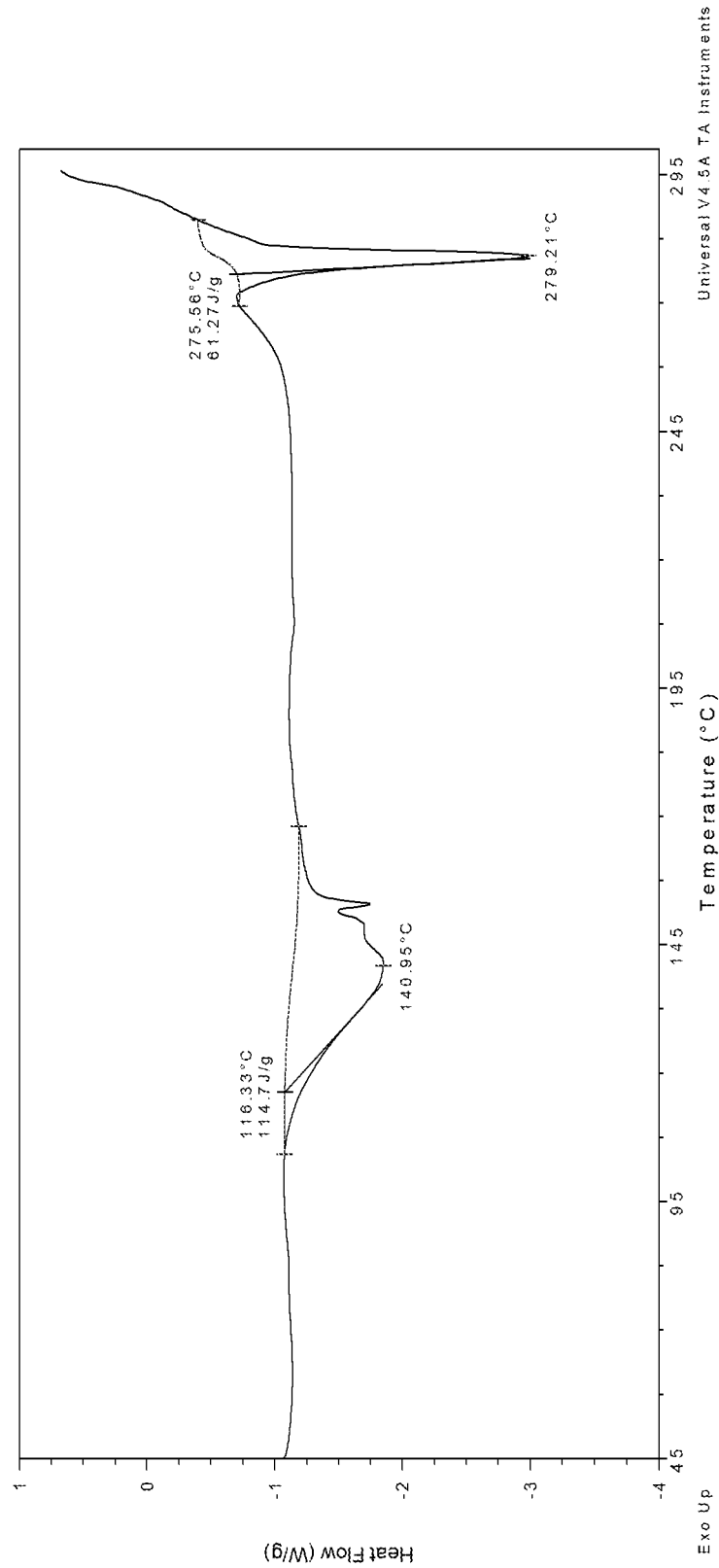


FIG. 36

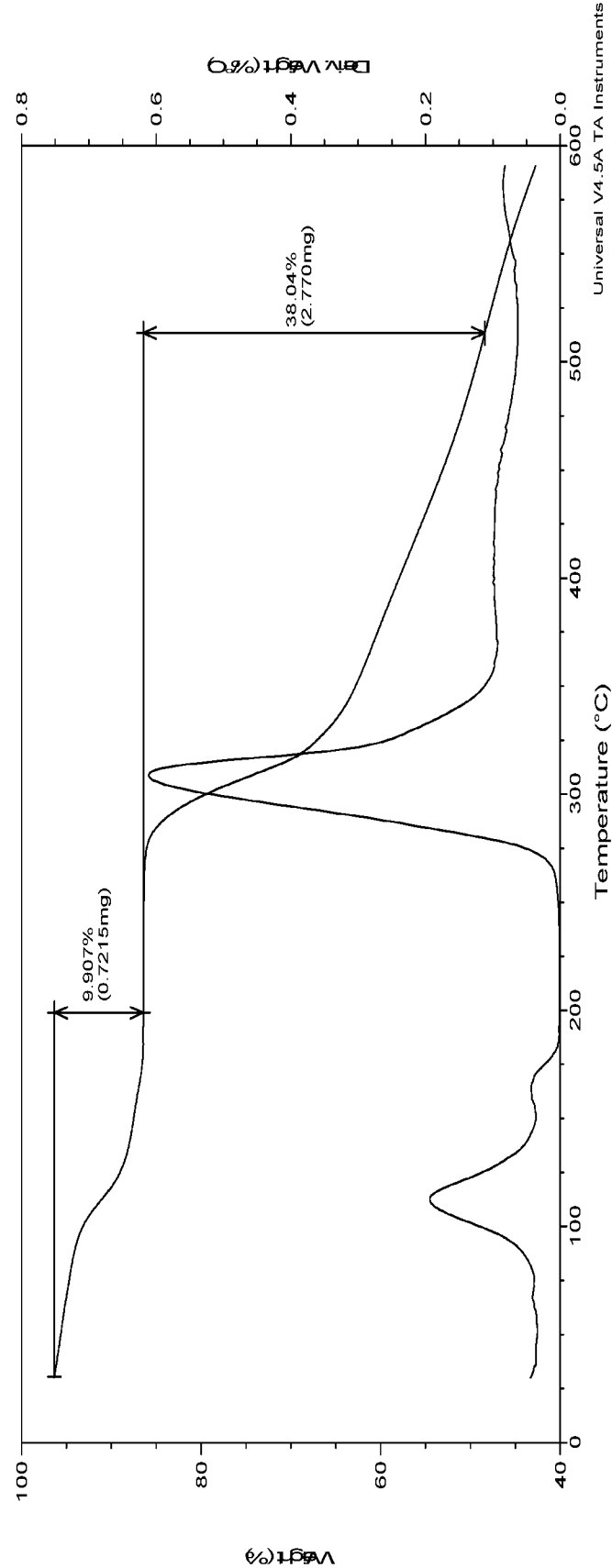


FIG. 37

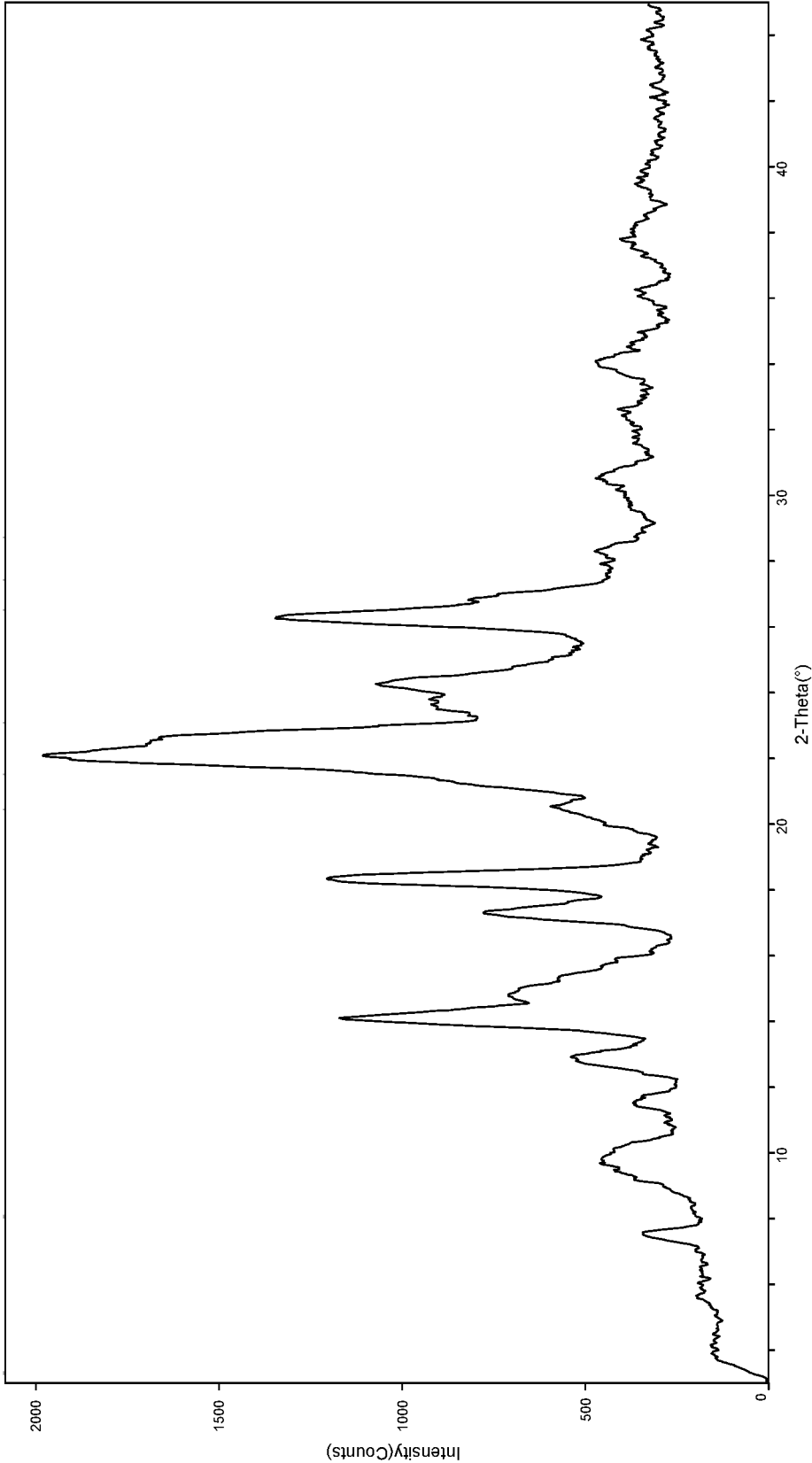


FIG. 38

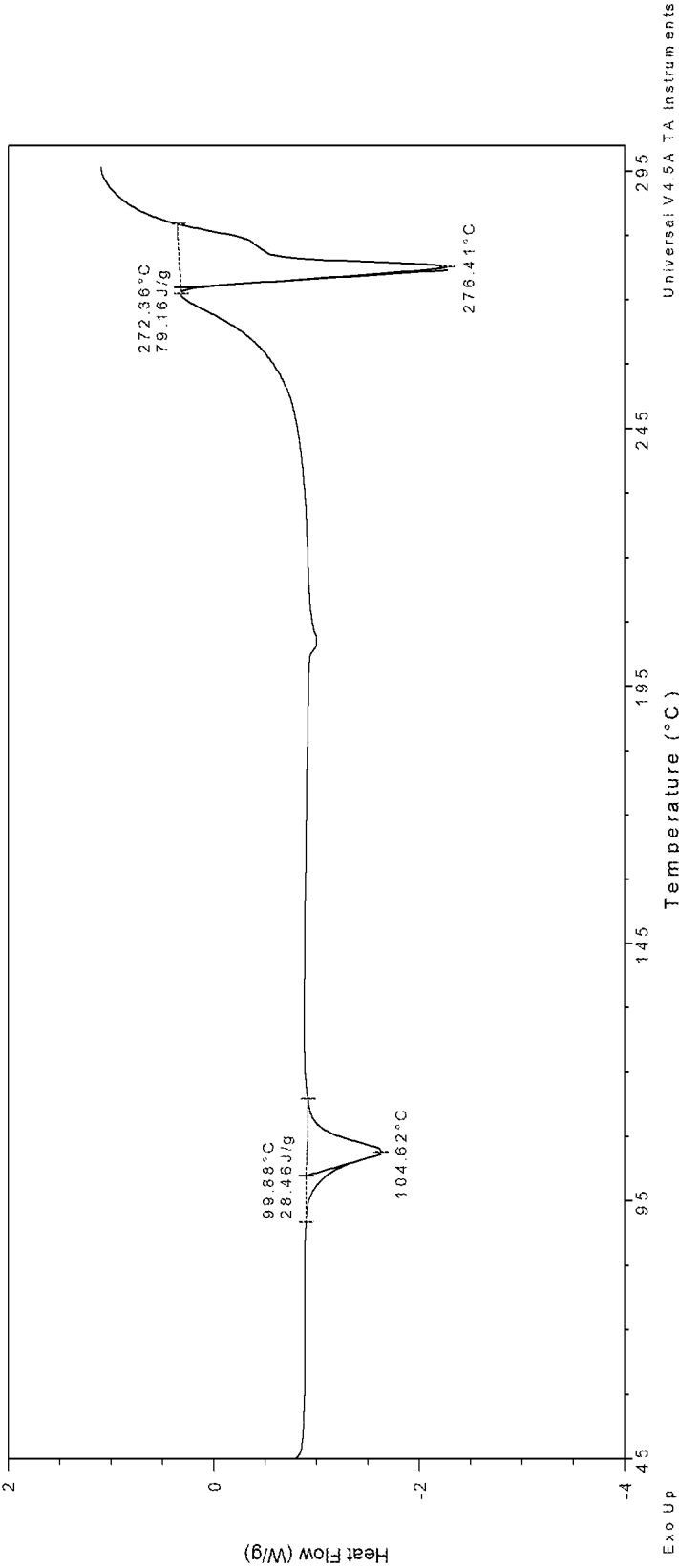


FIG. 39

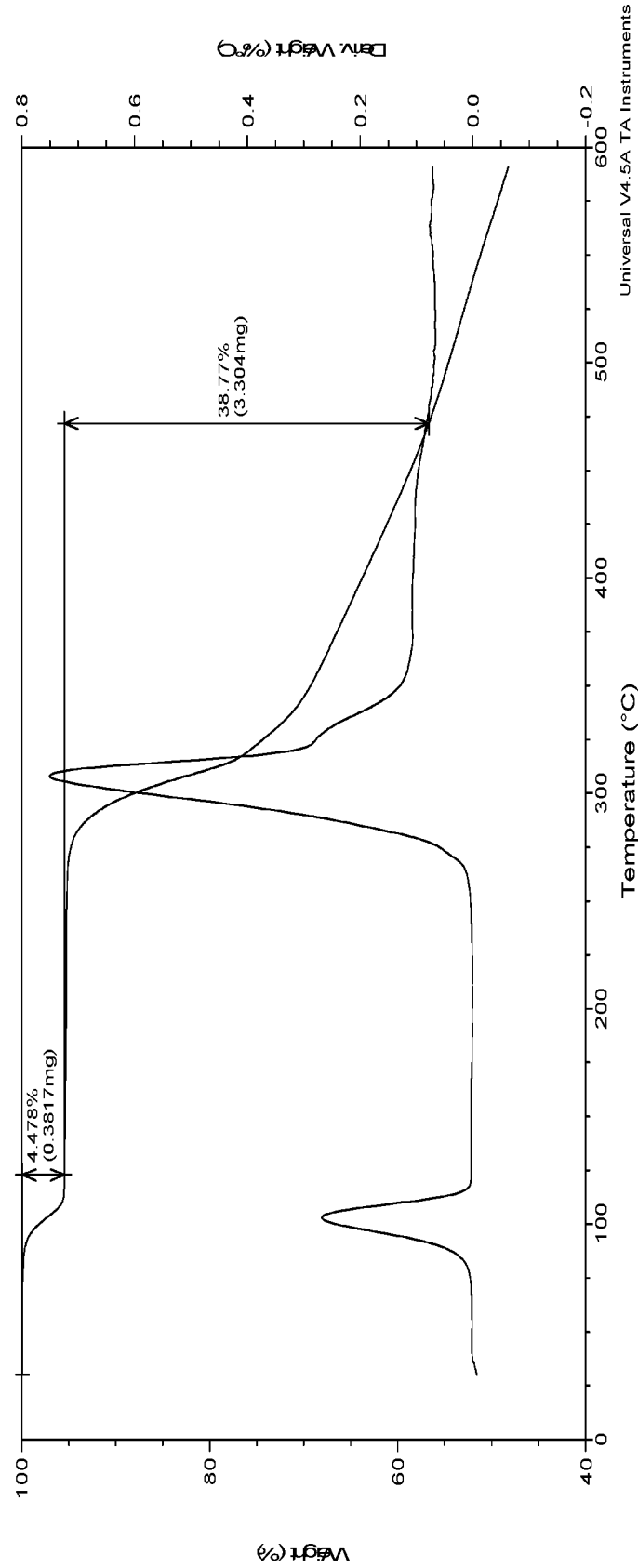


FIG. 40

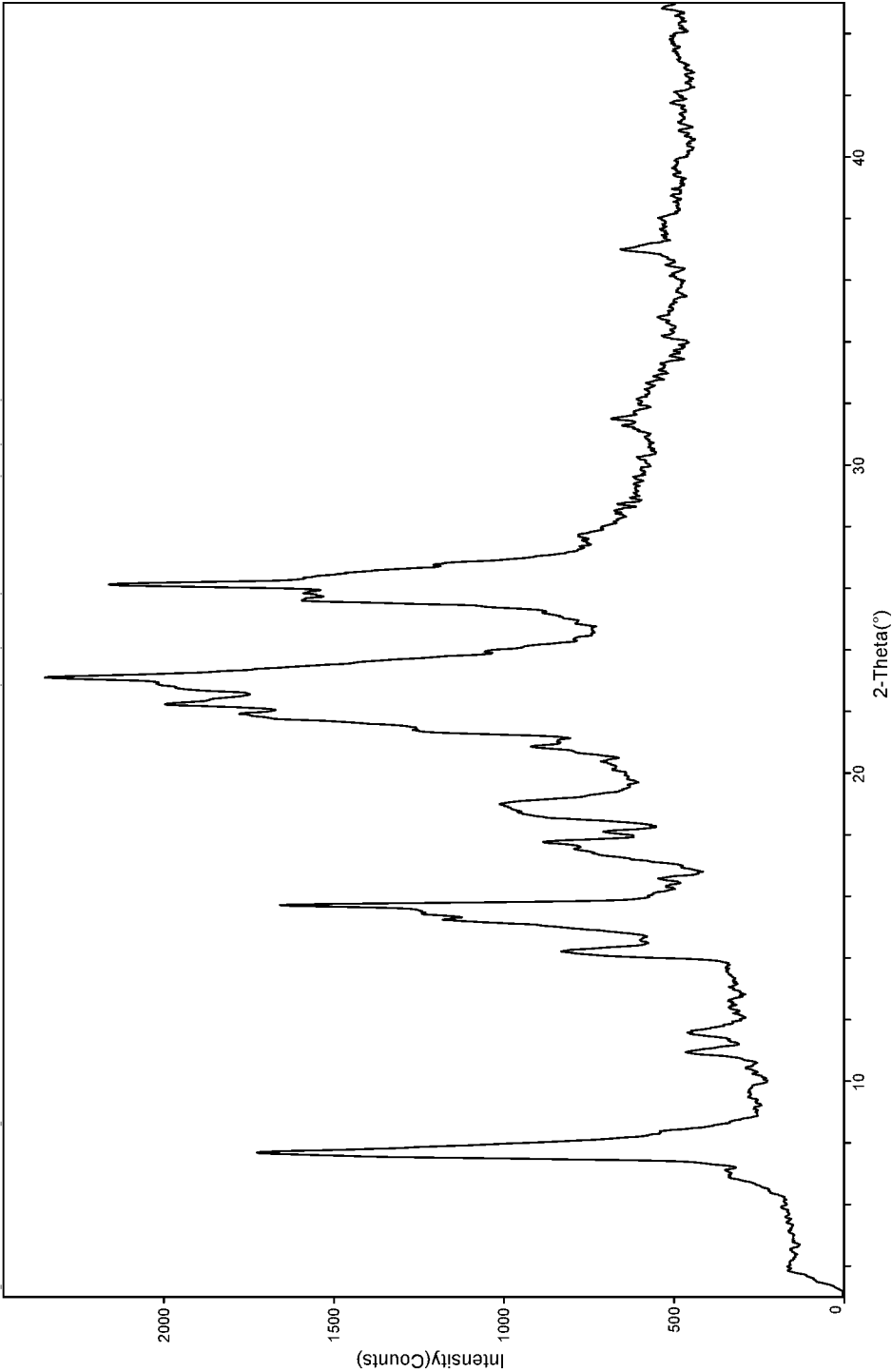




FIG. 41

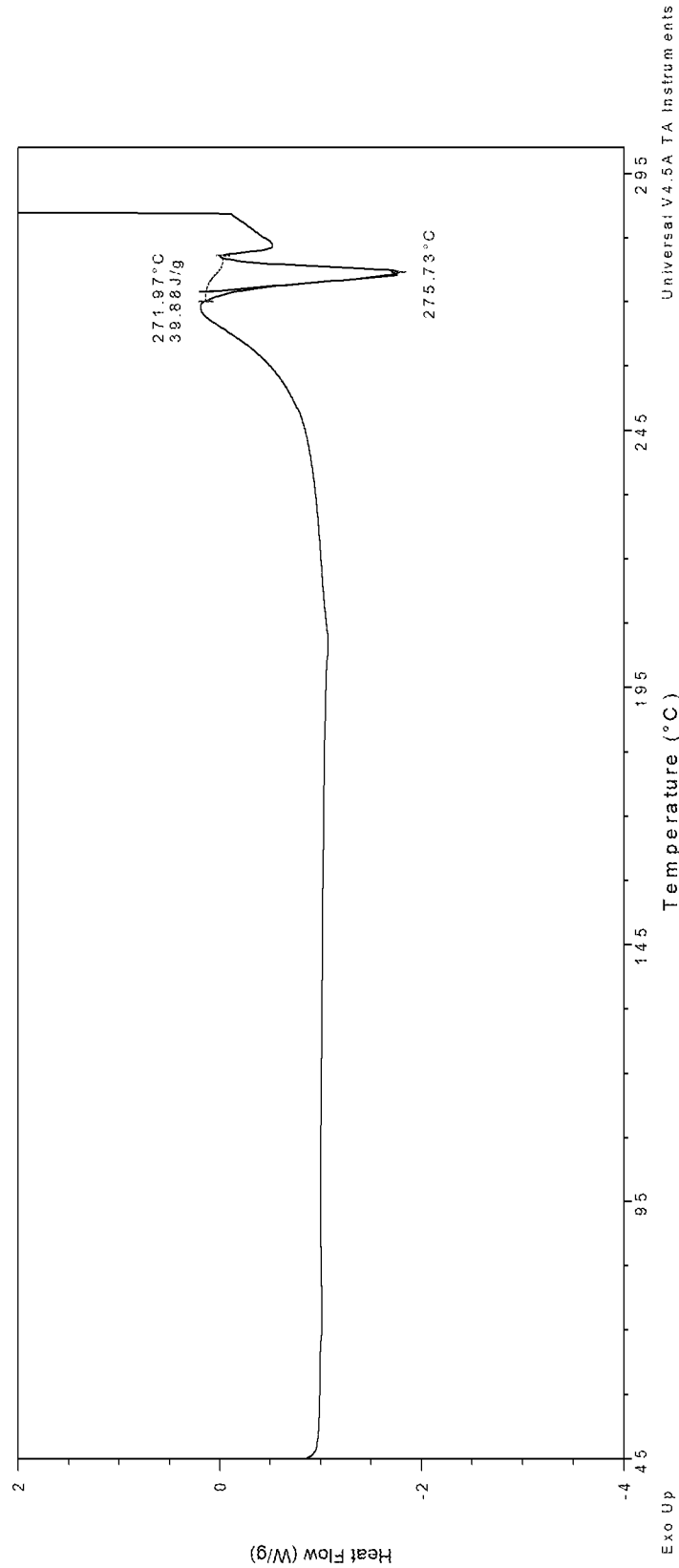


FIG. 42

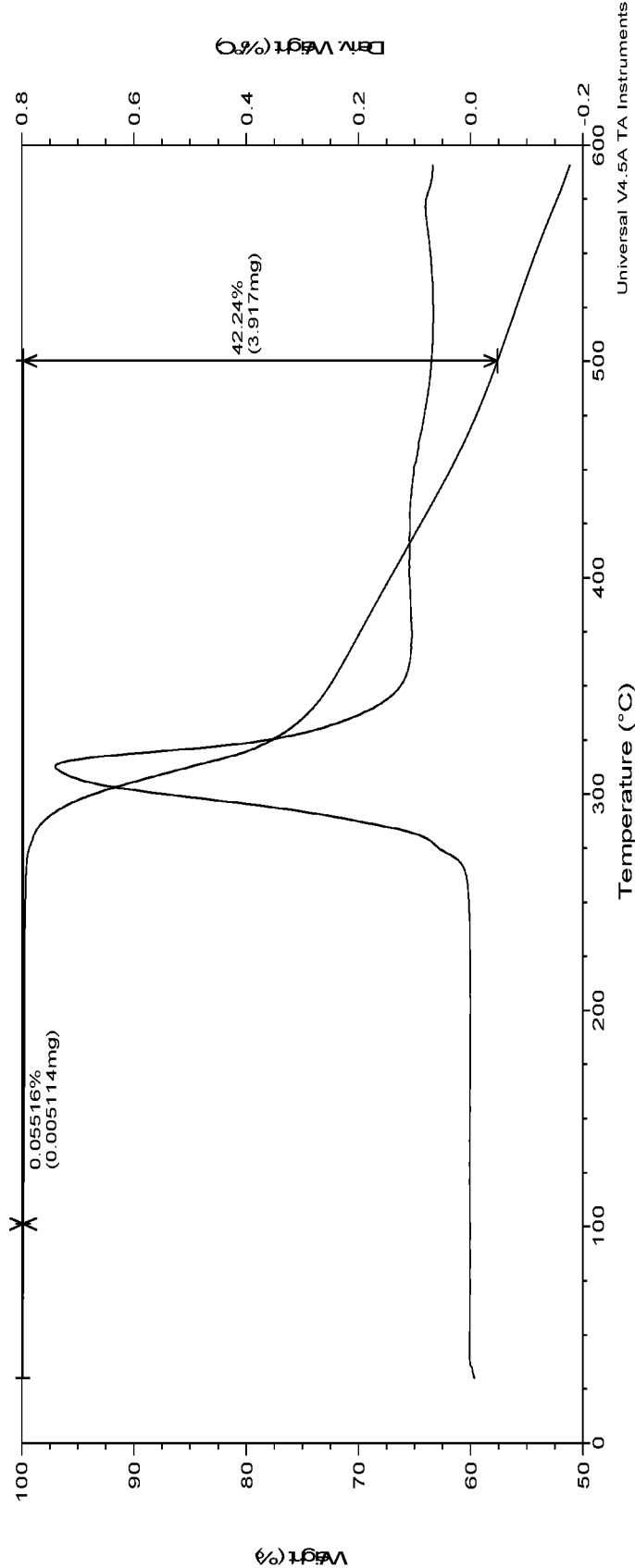


FIG. 43

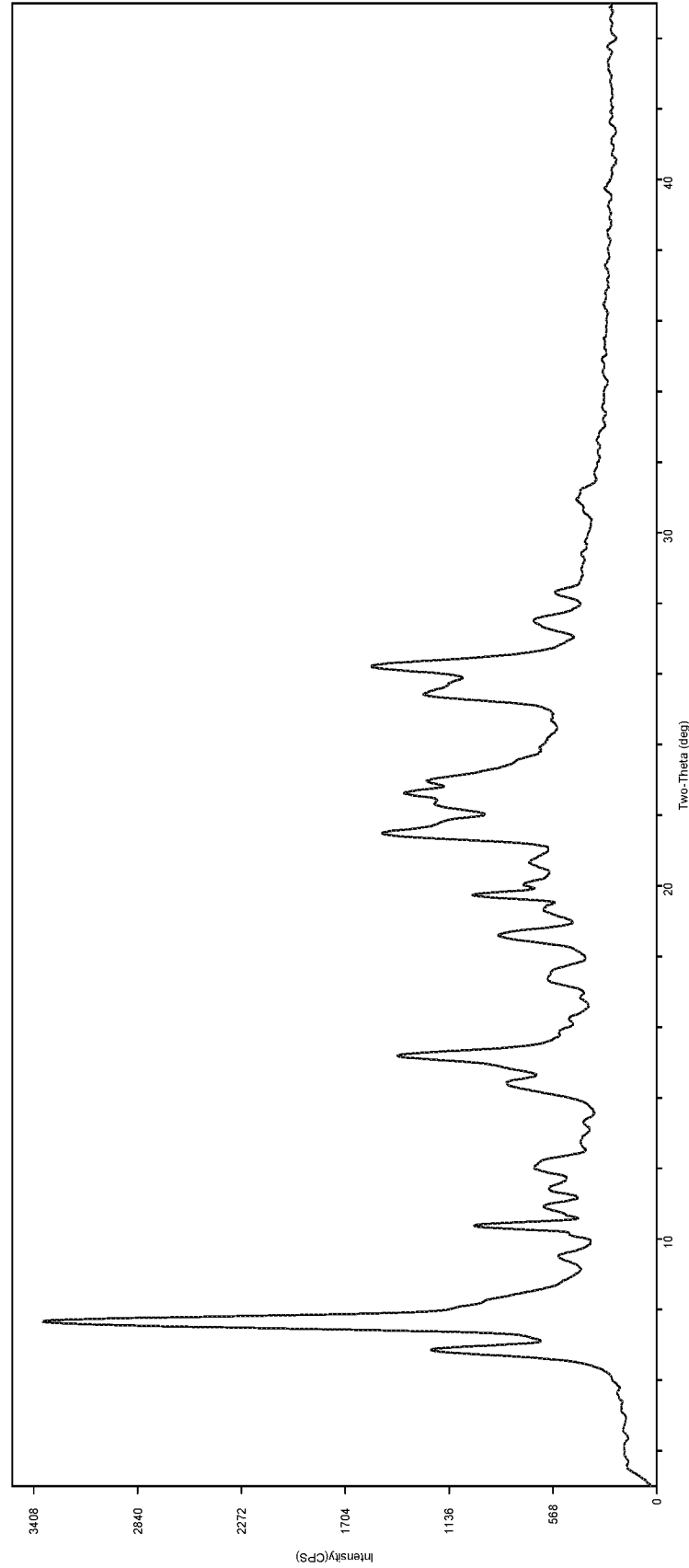


FIG. 44

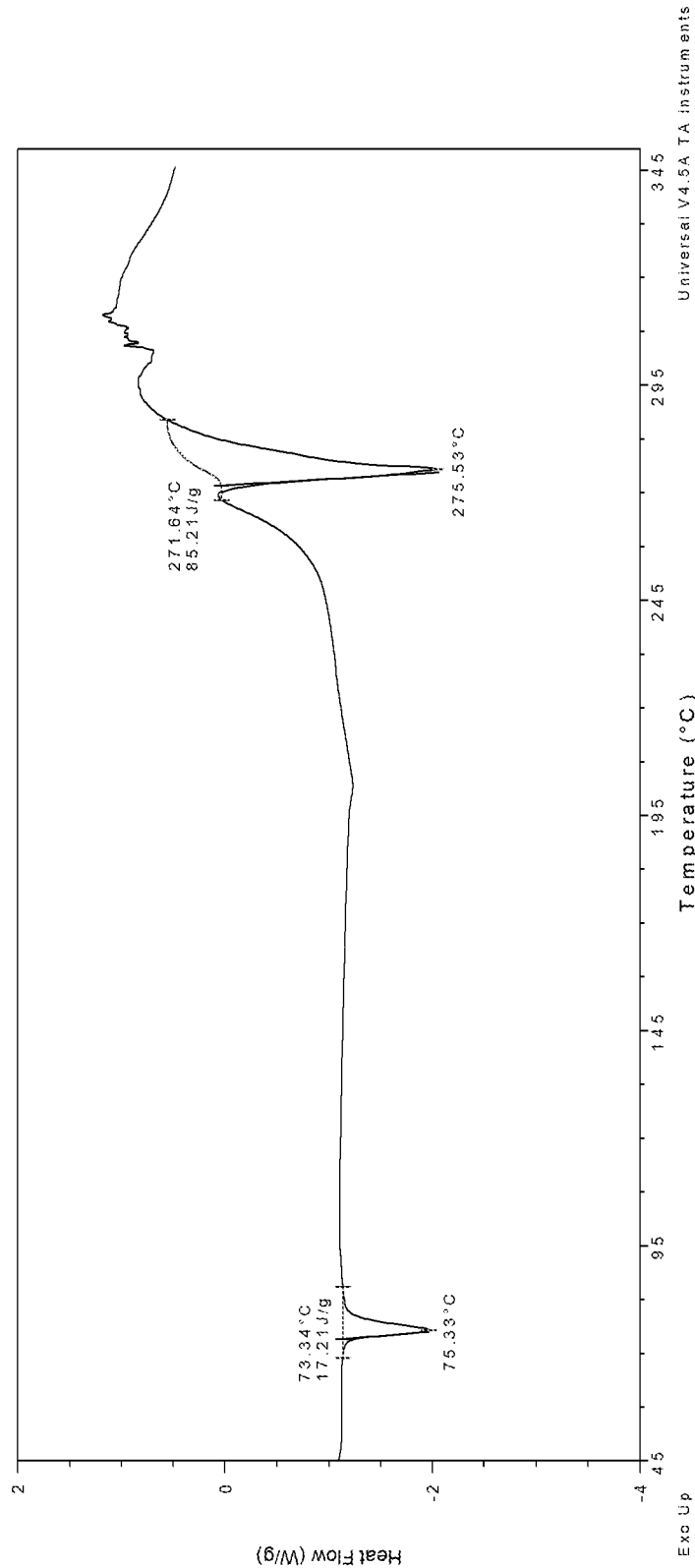


FIG. 45

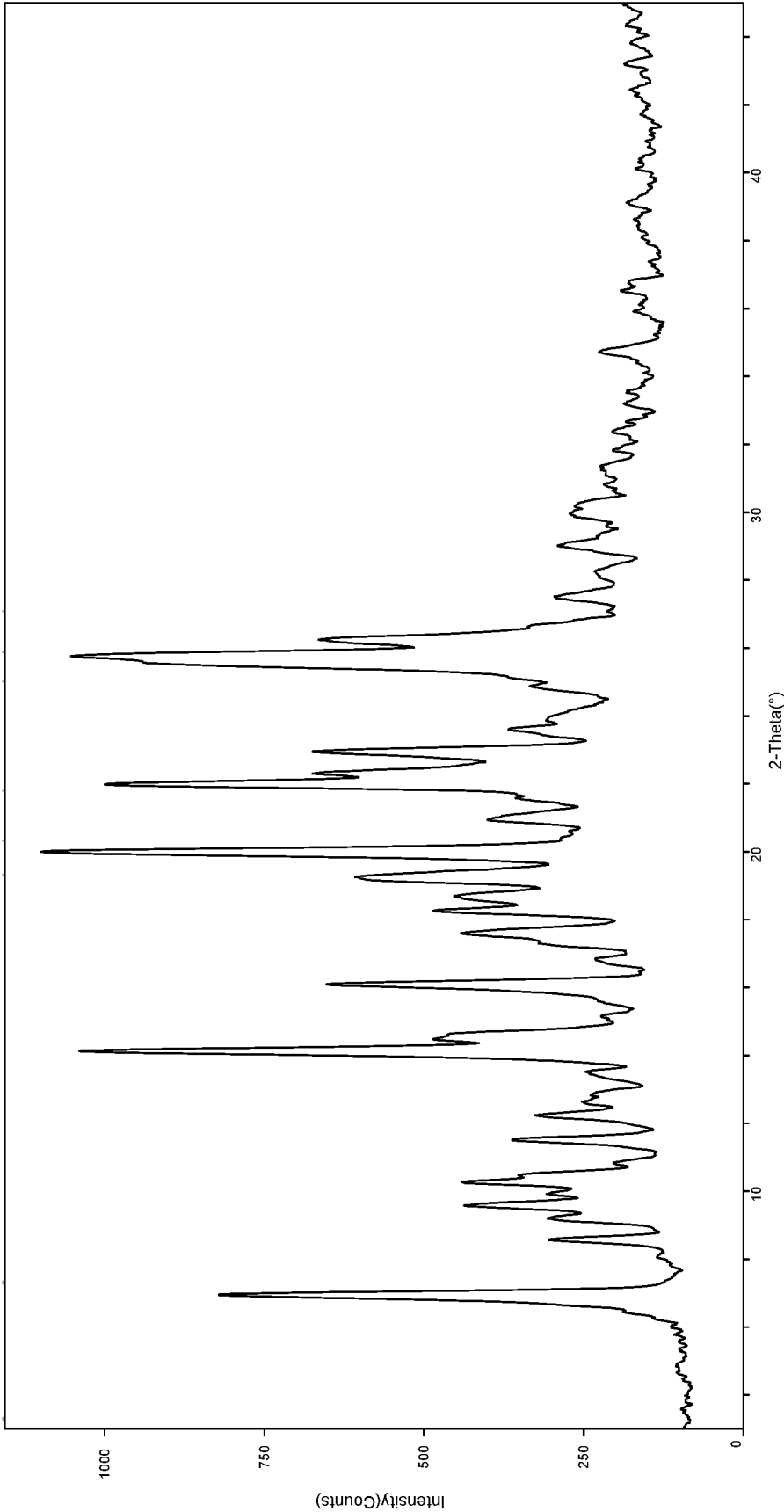


FIG. 46

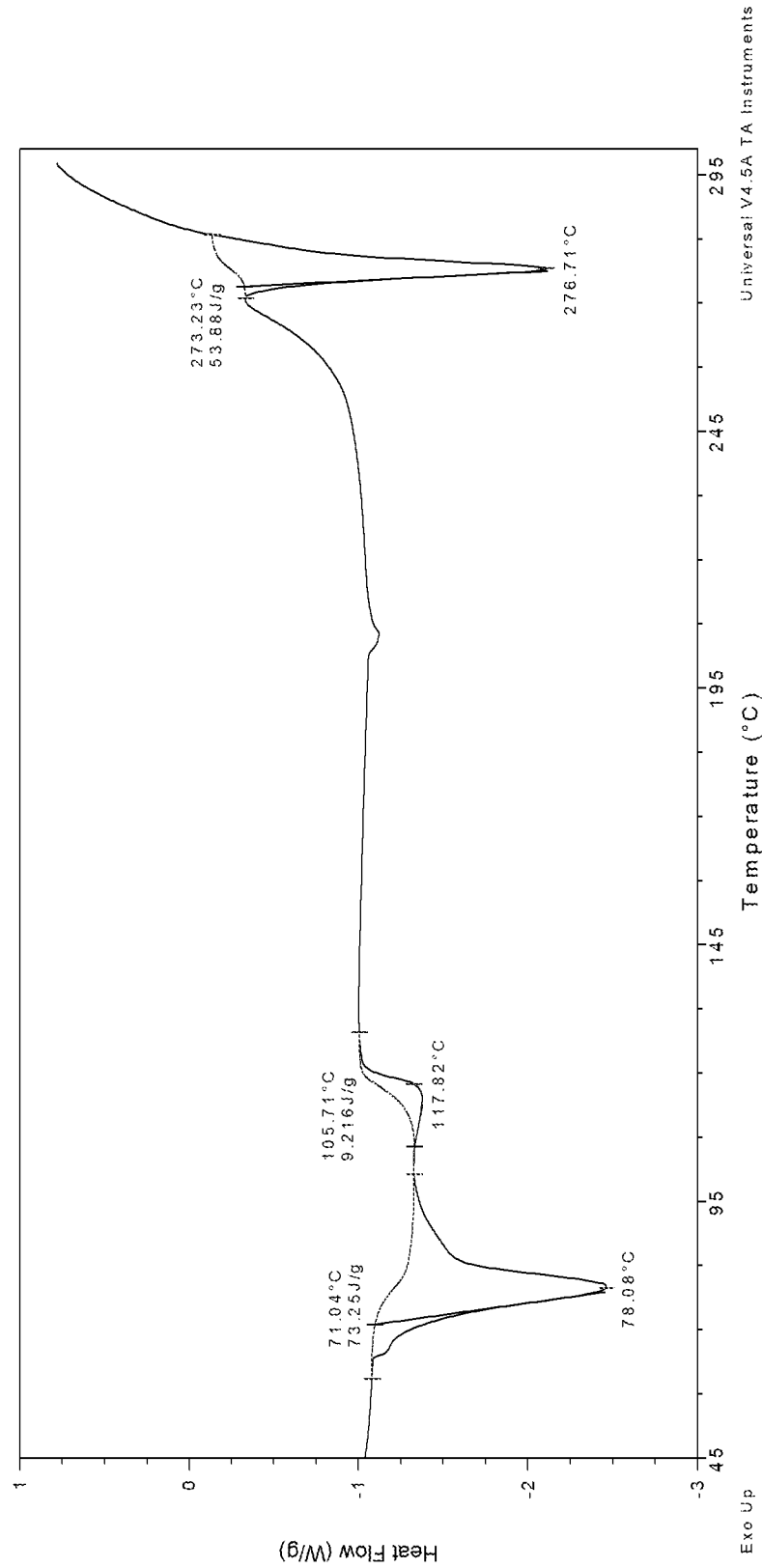


FIG. 47

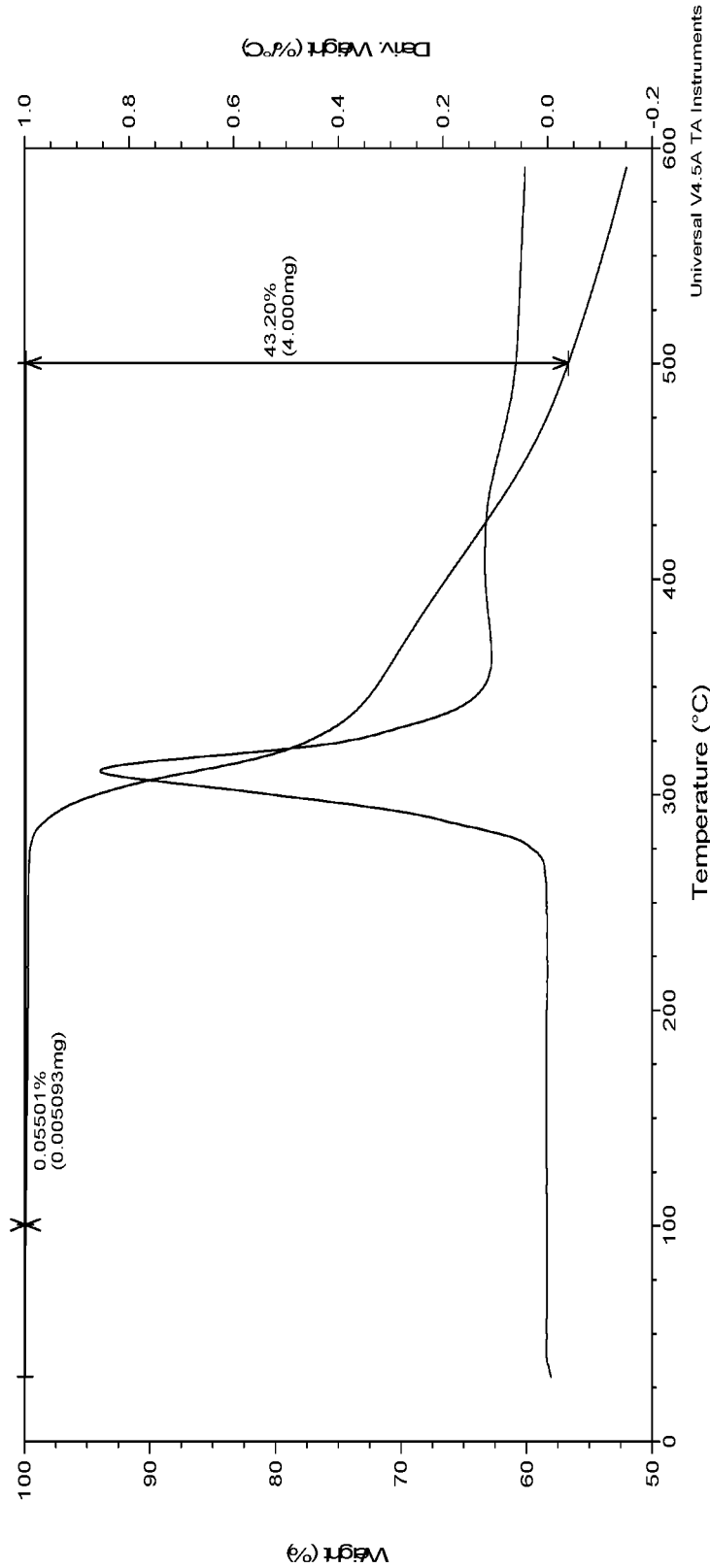


FIG. 48

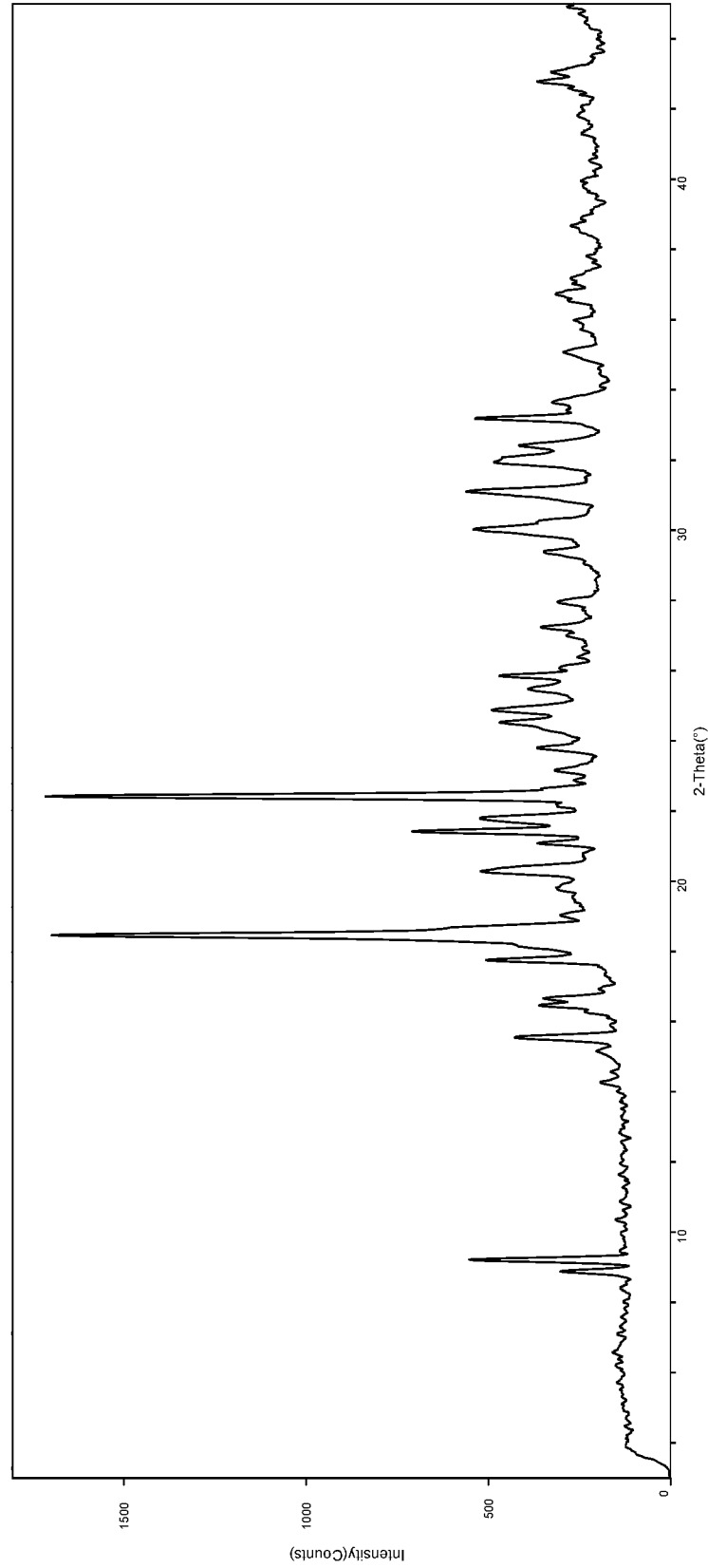




FIG. 49

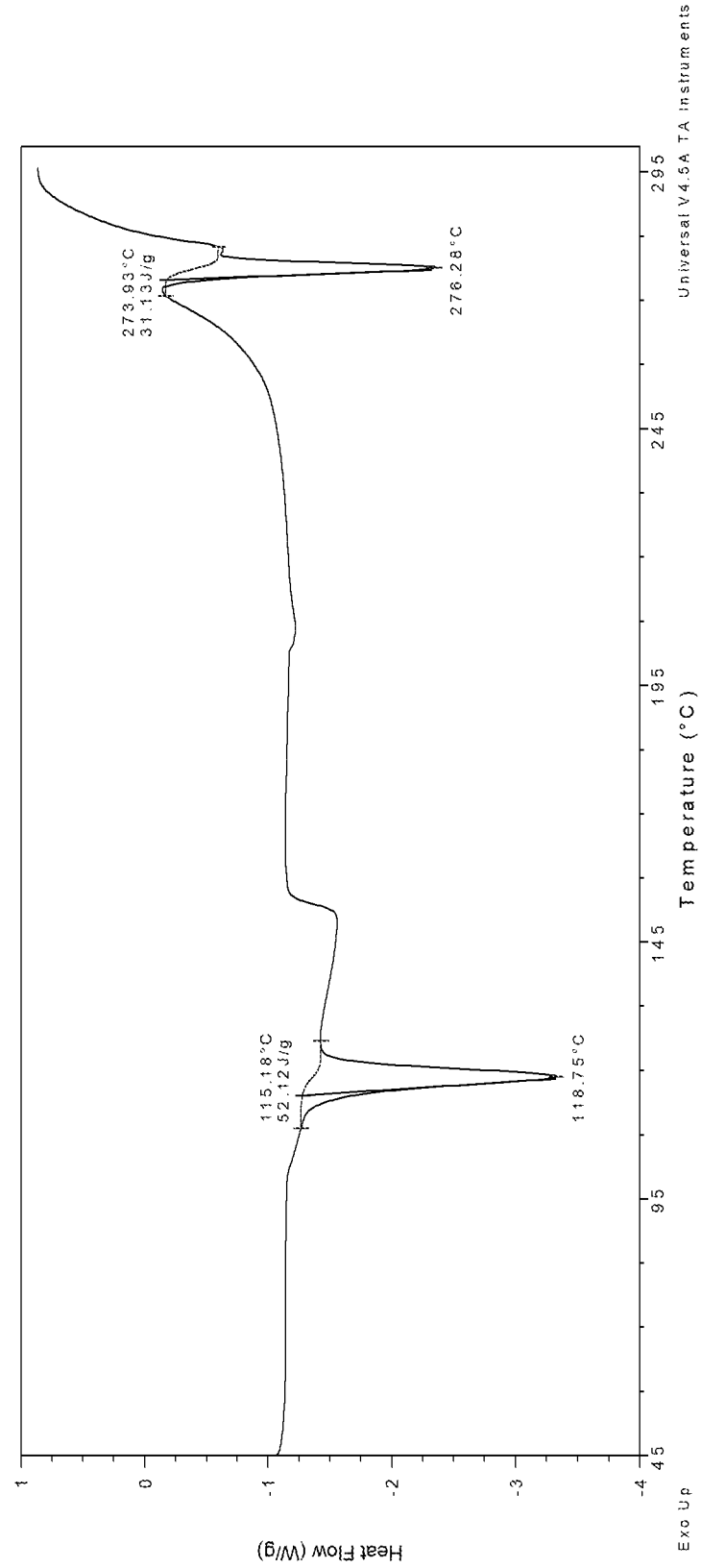


FIG. 50

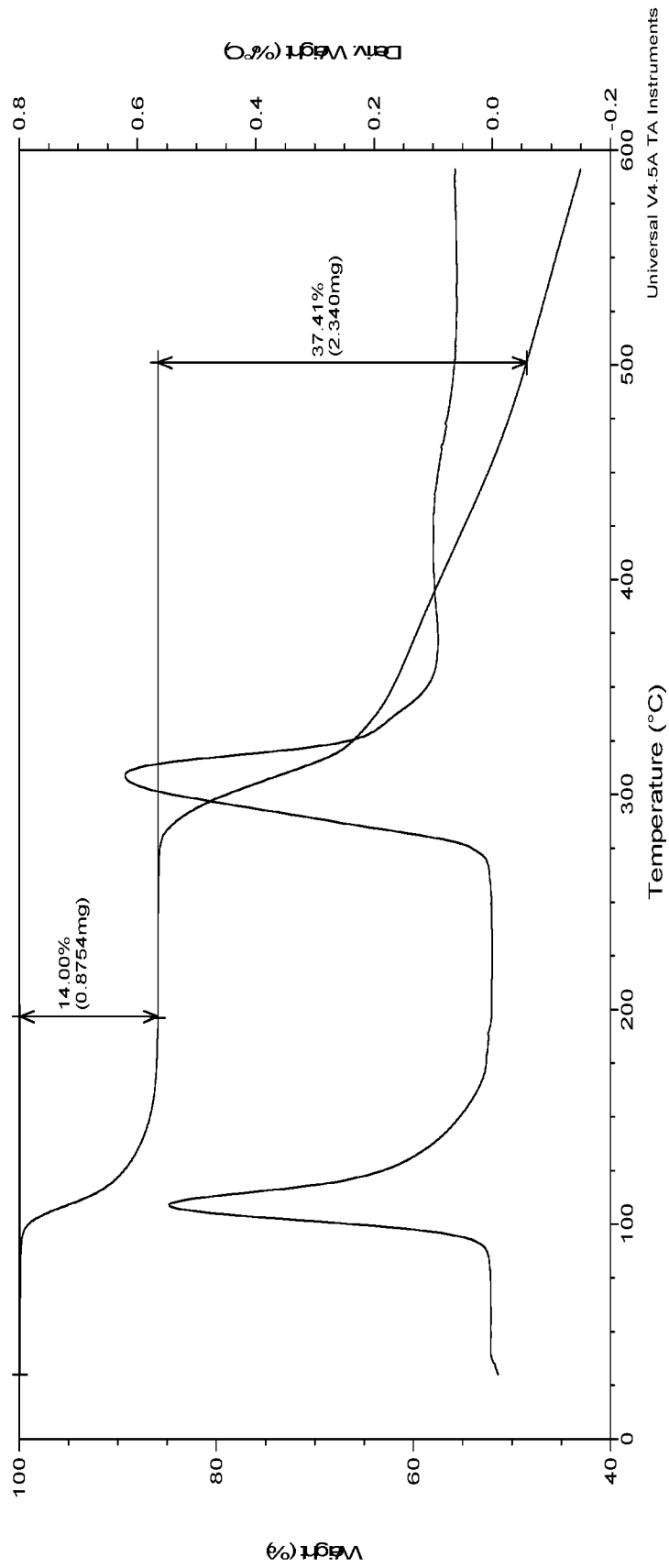


FIG. 51

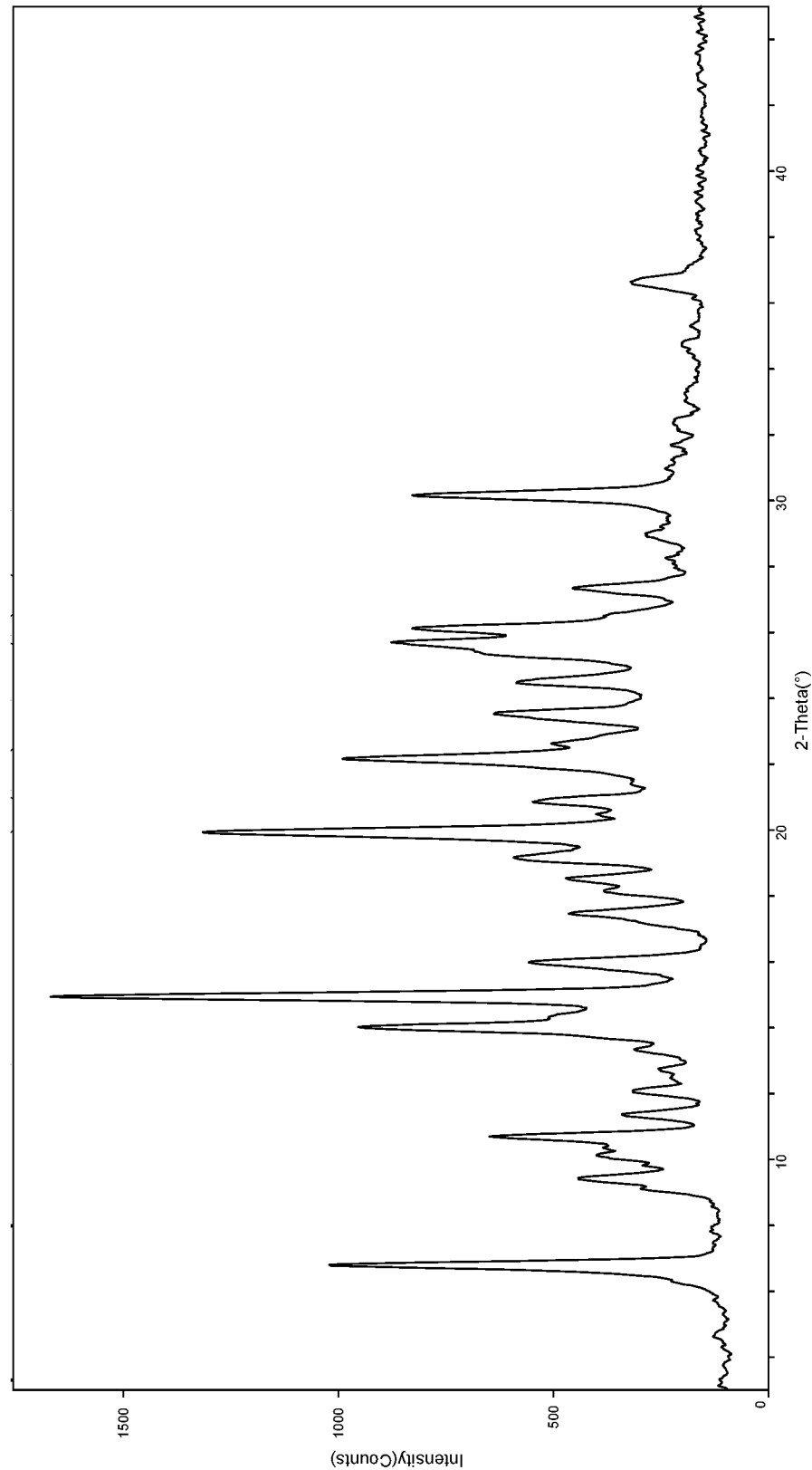


FIG. 52

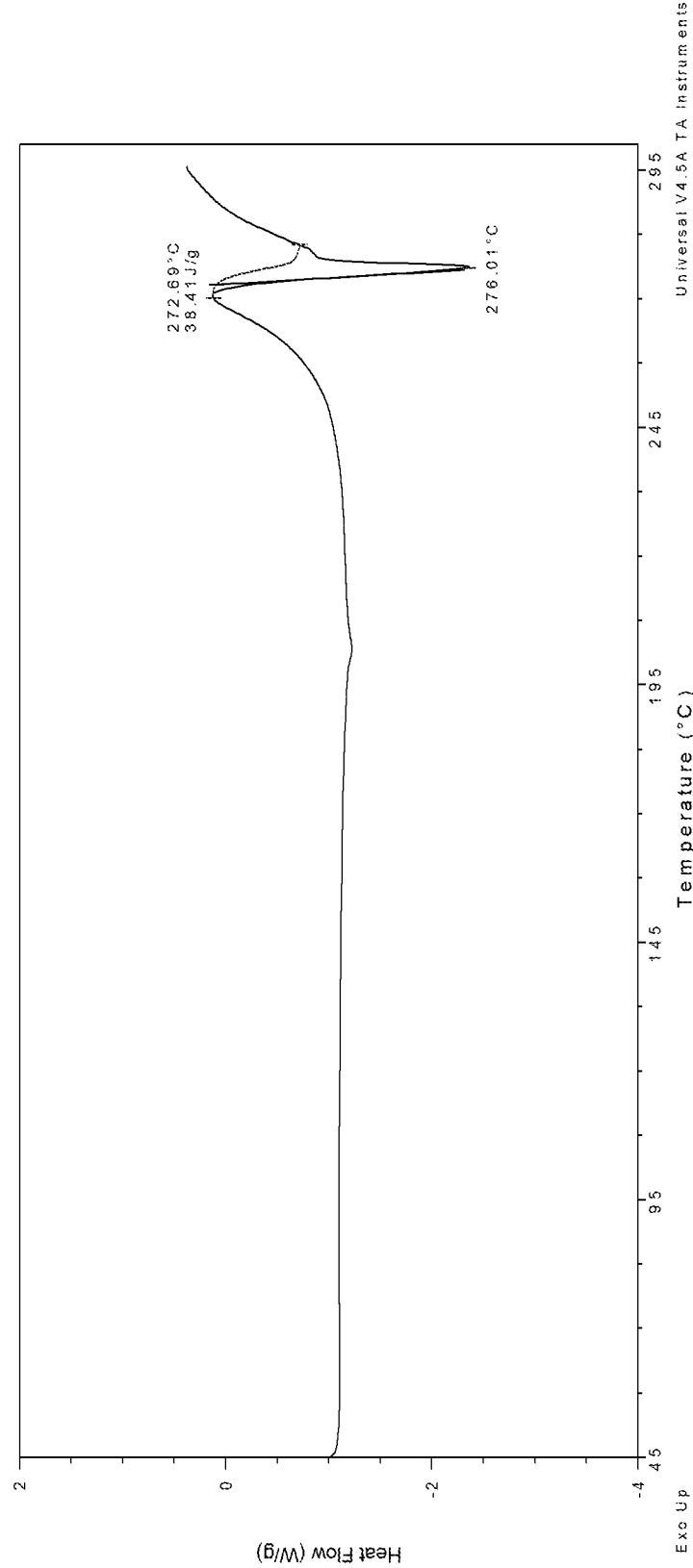


FIG. 53

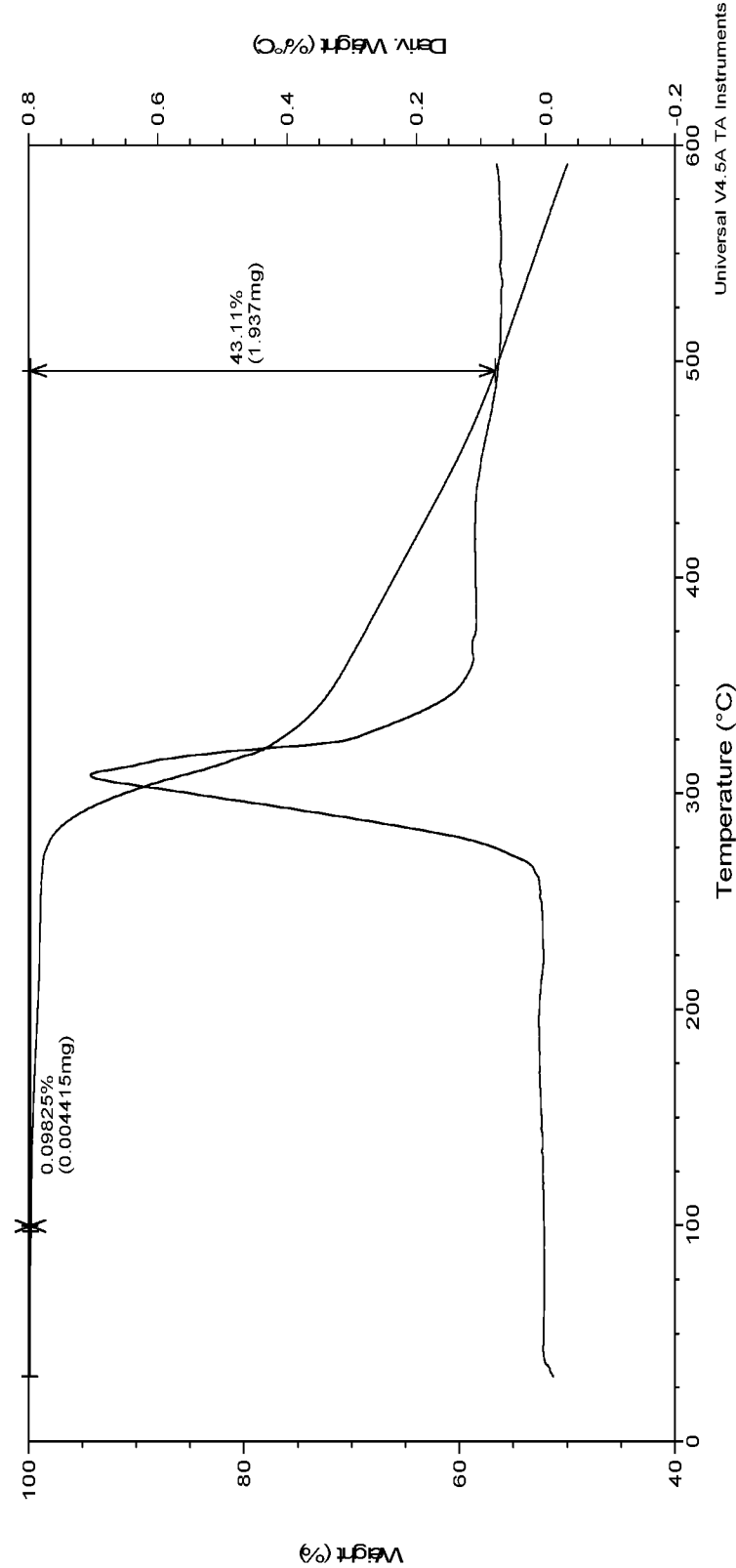


FIG. 54

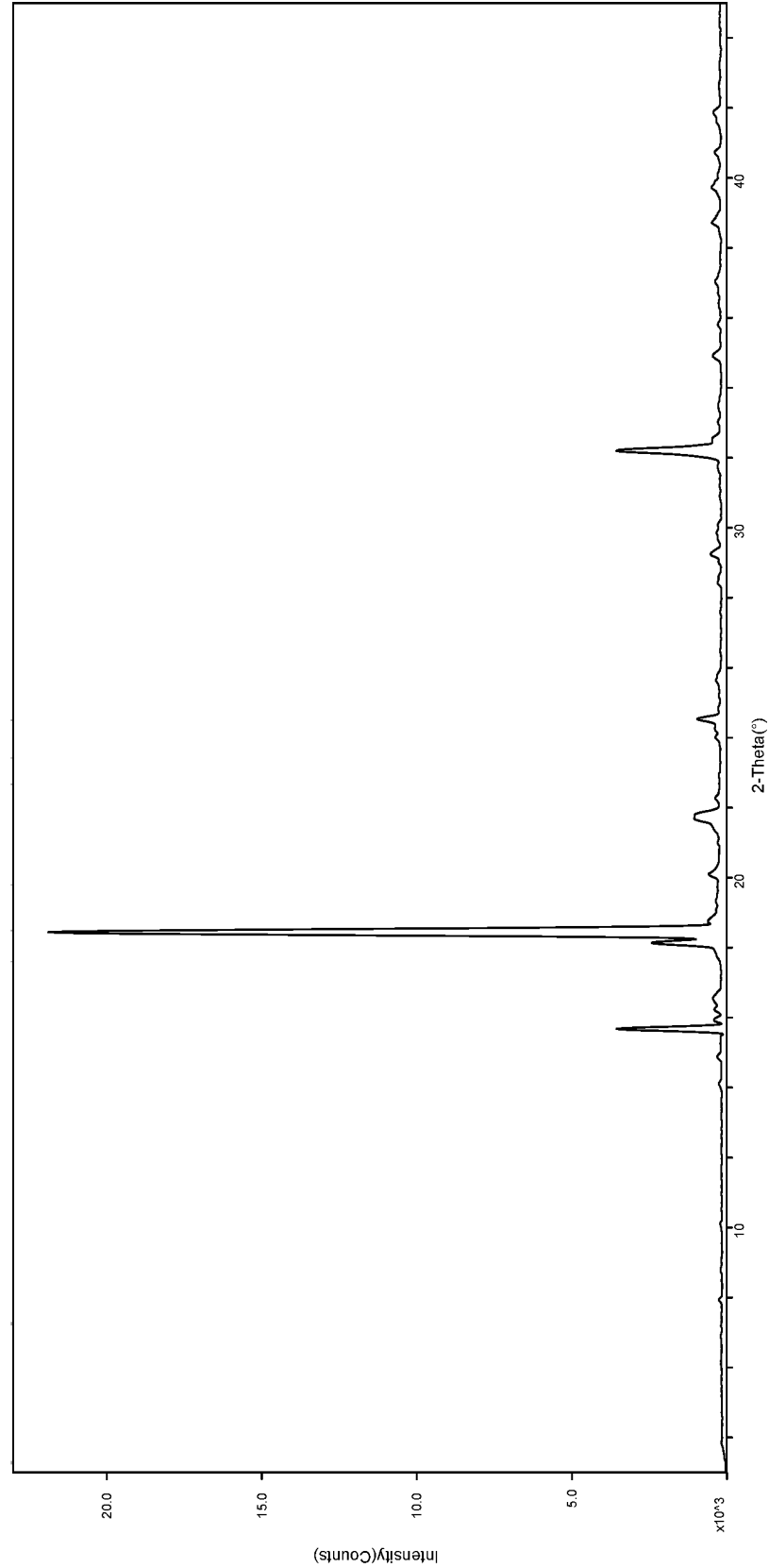


FIG. 55

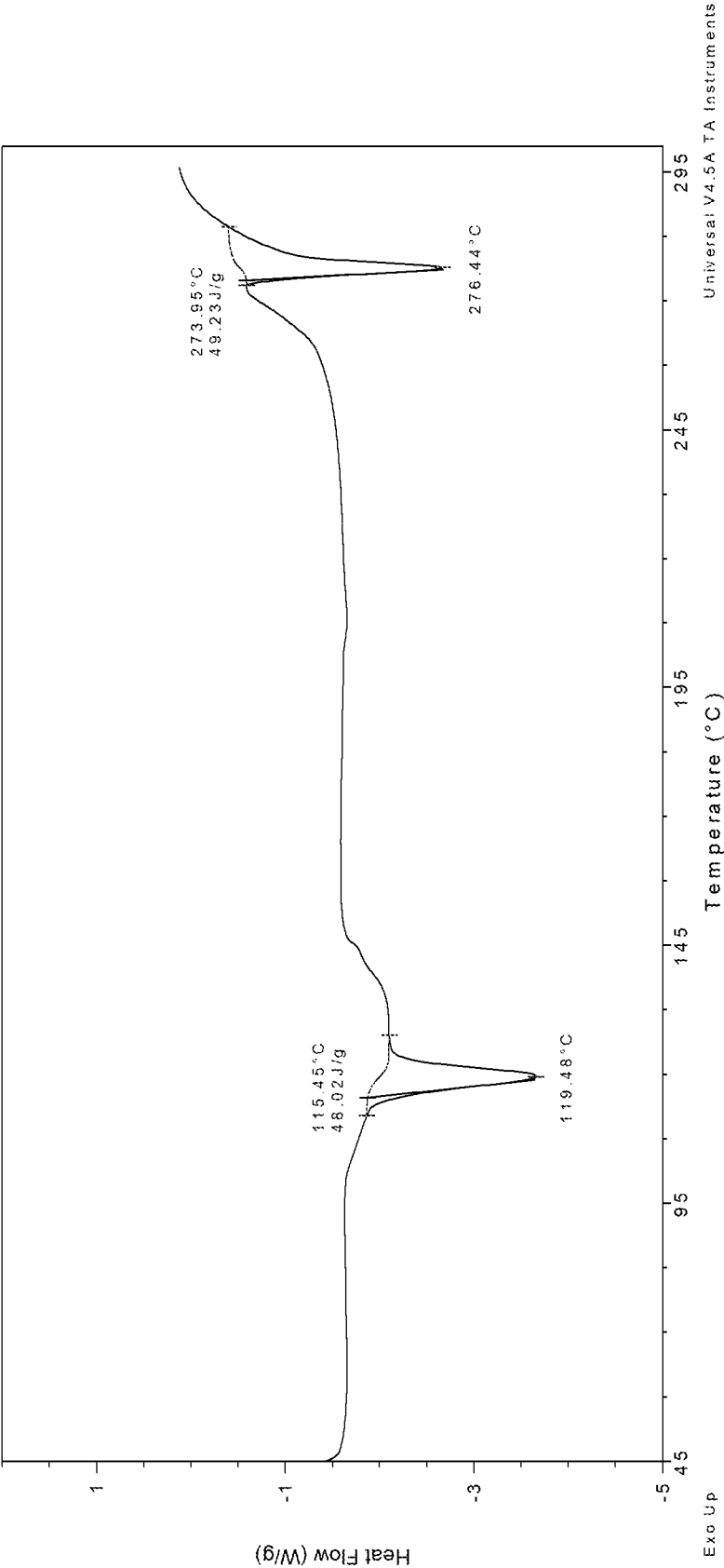


FIG. 56

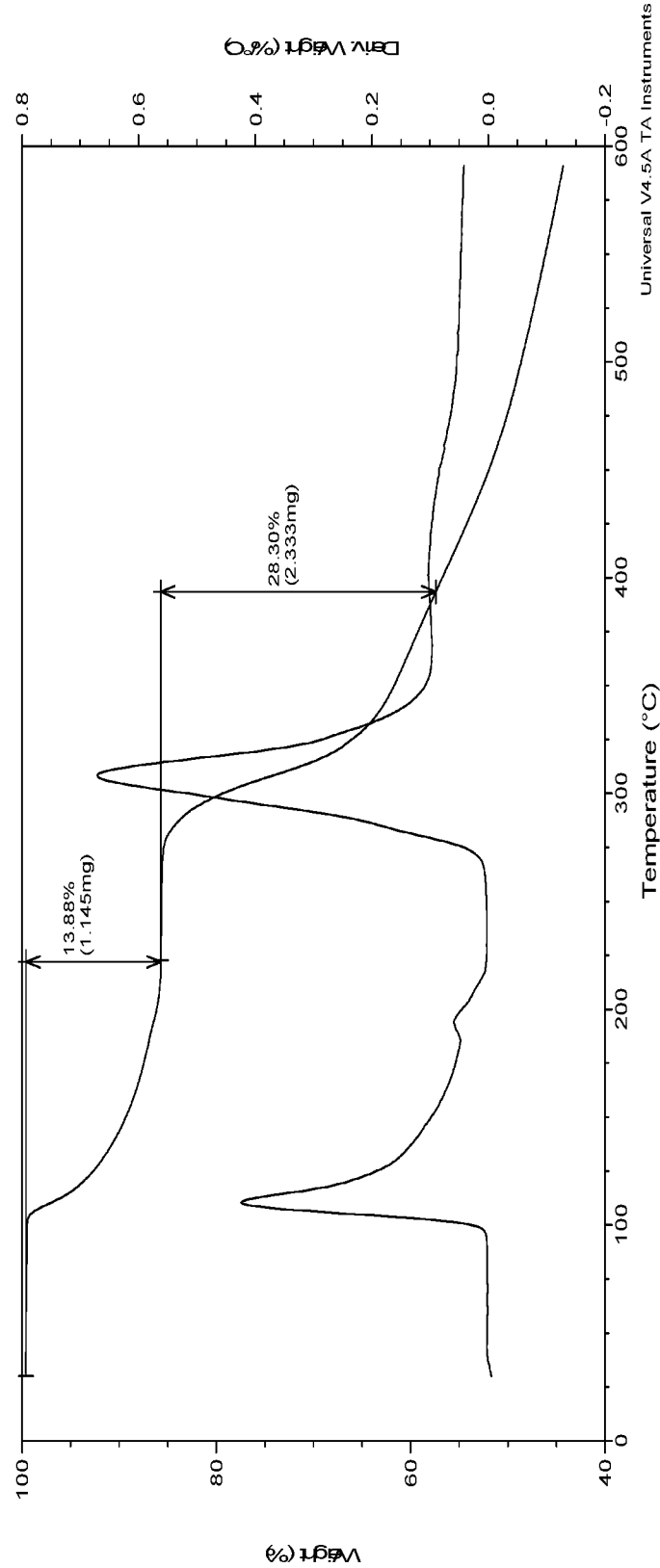




FIG. 57

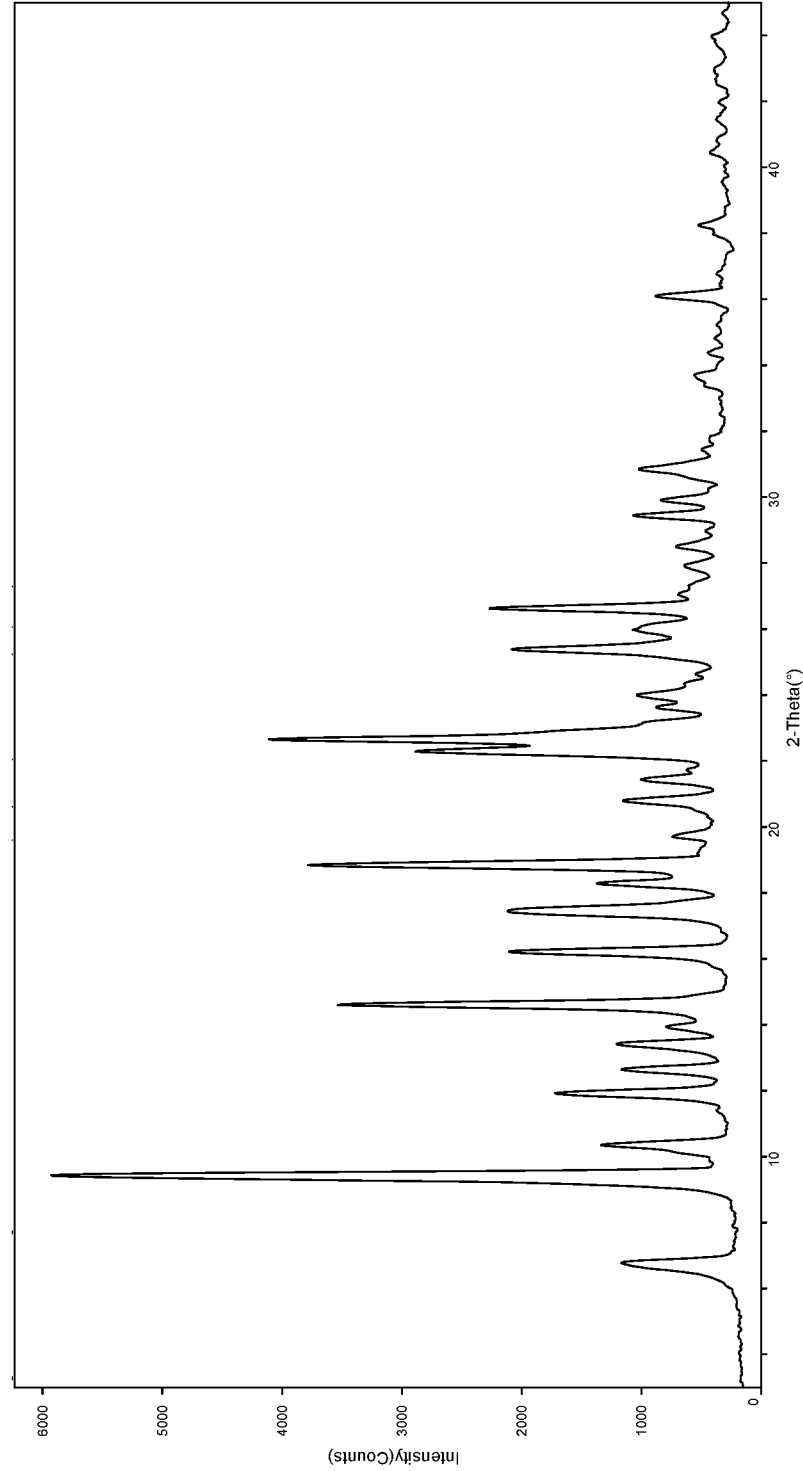


FIG. 58

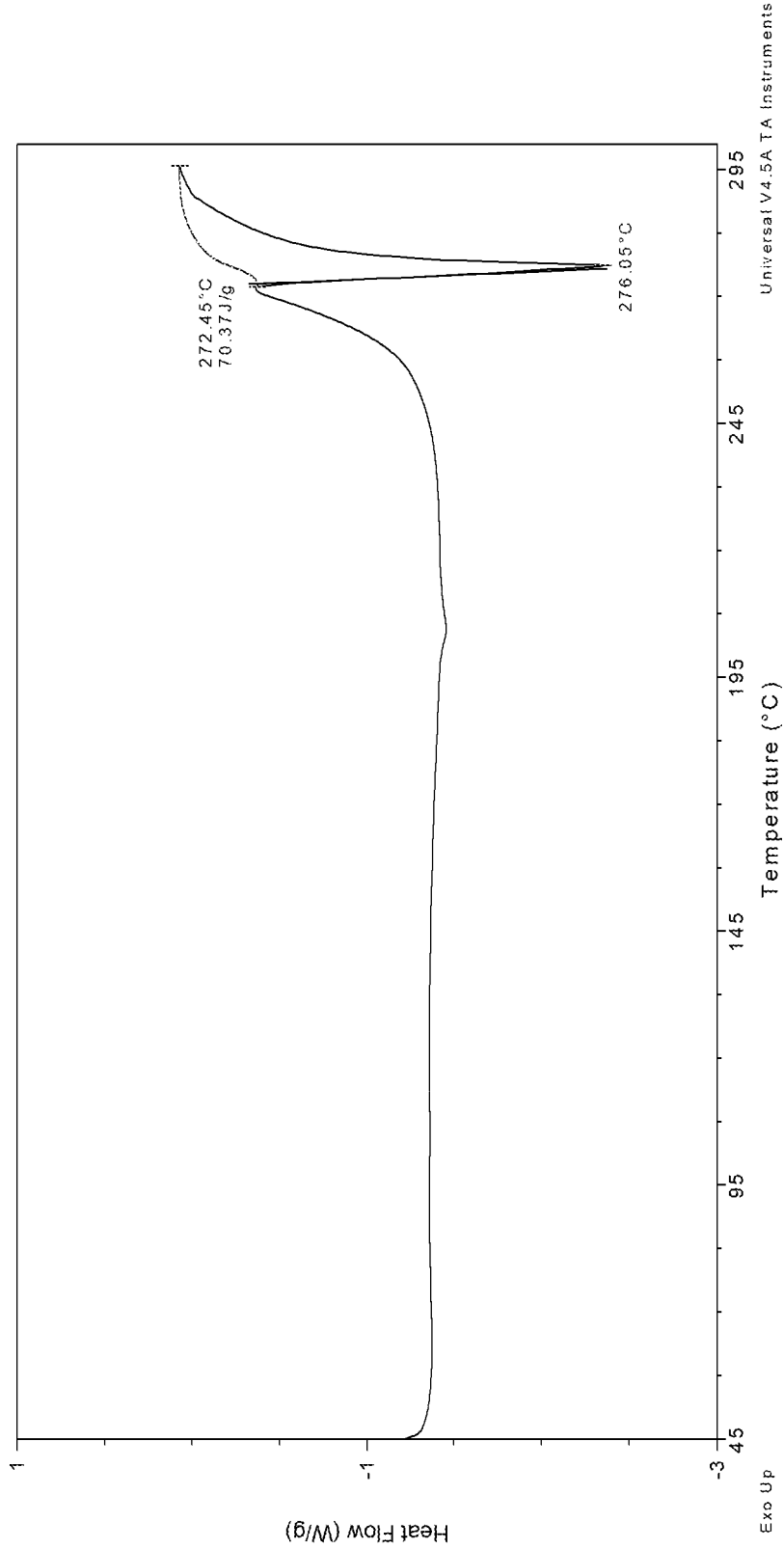


FIG. 59

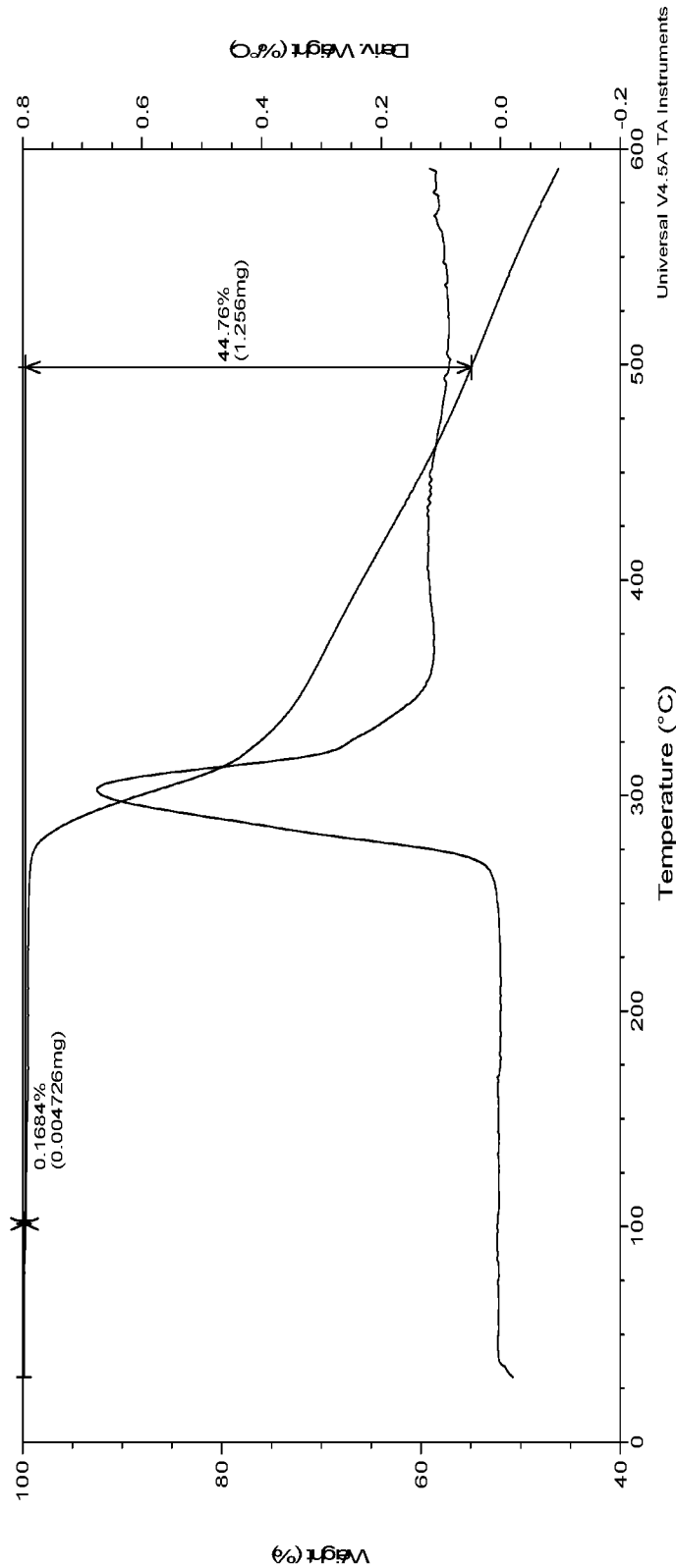


FIG. 60

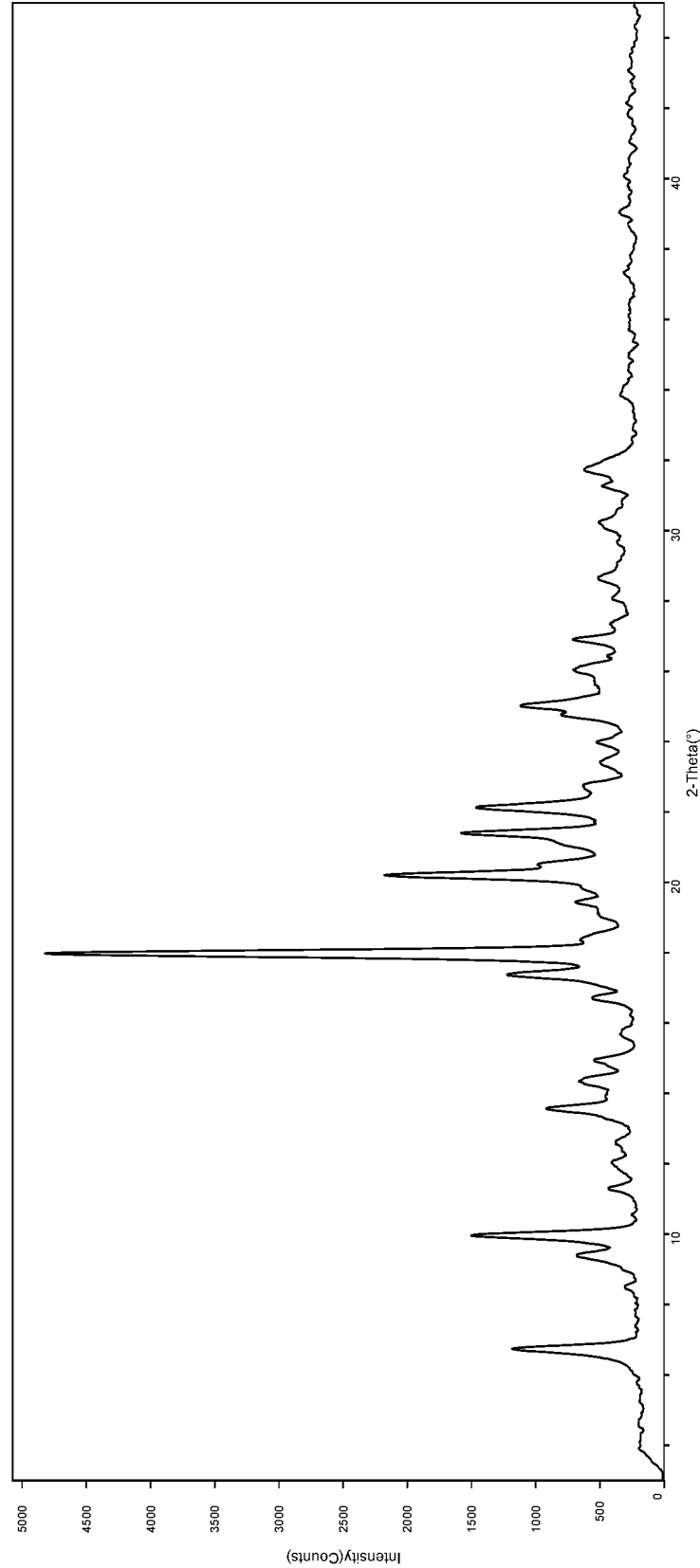


FIG. 61

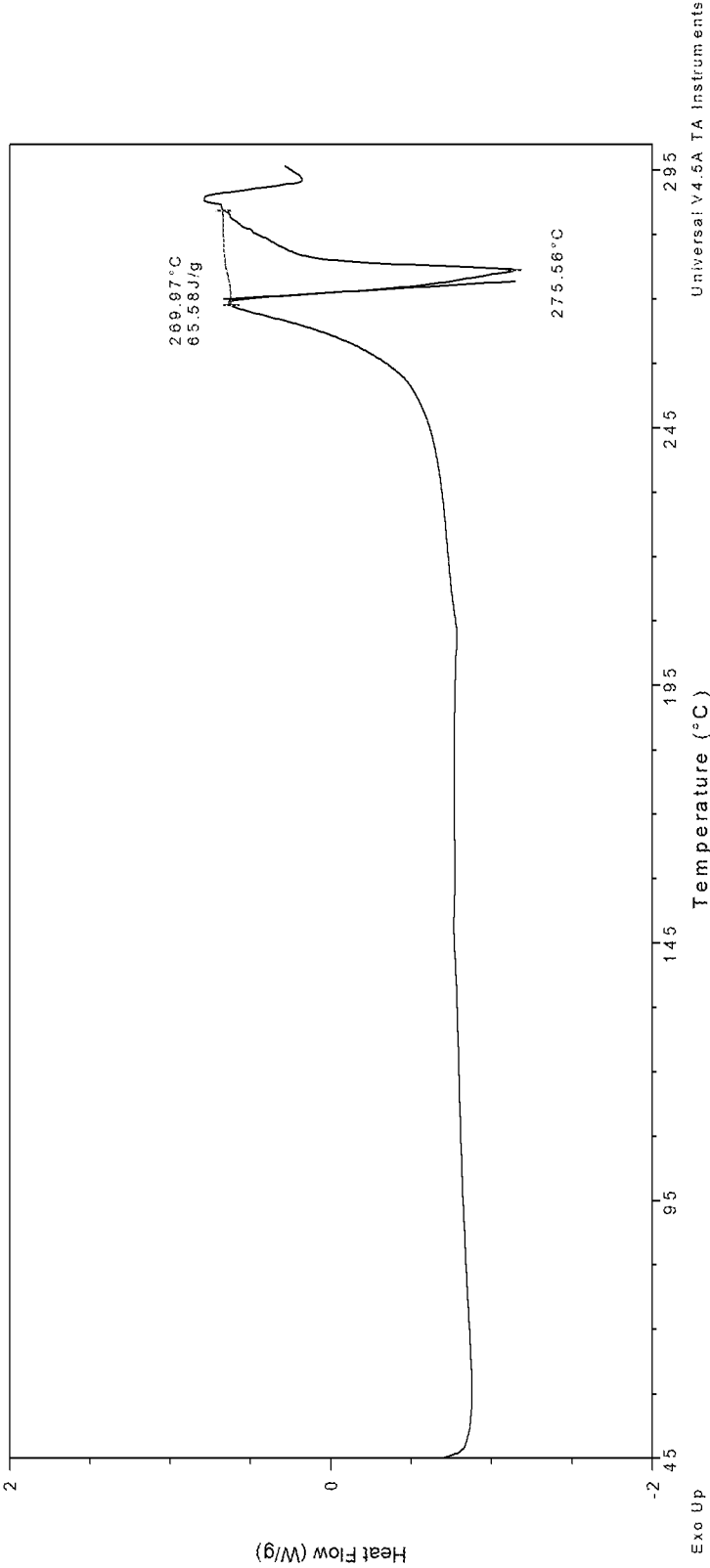


FIG. 62

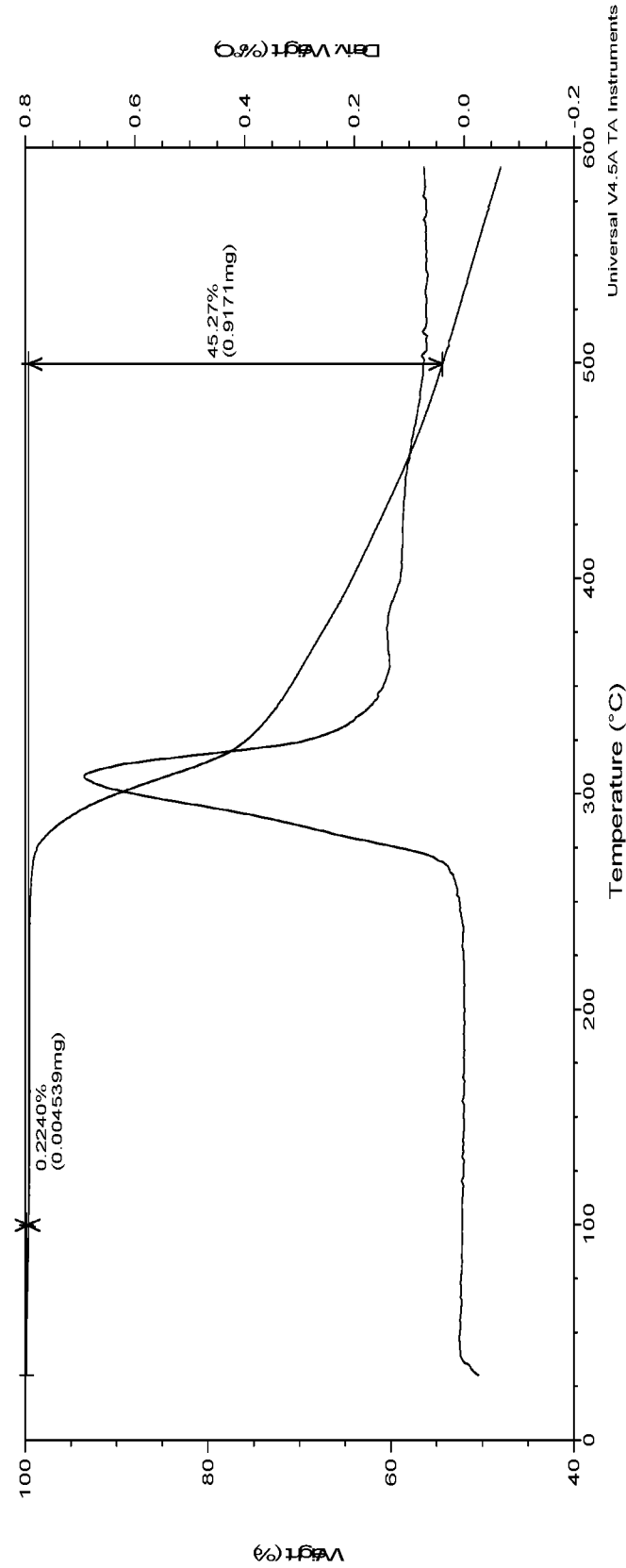


FIG. 63

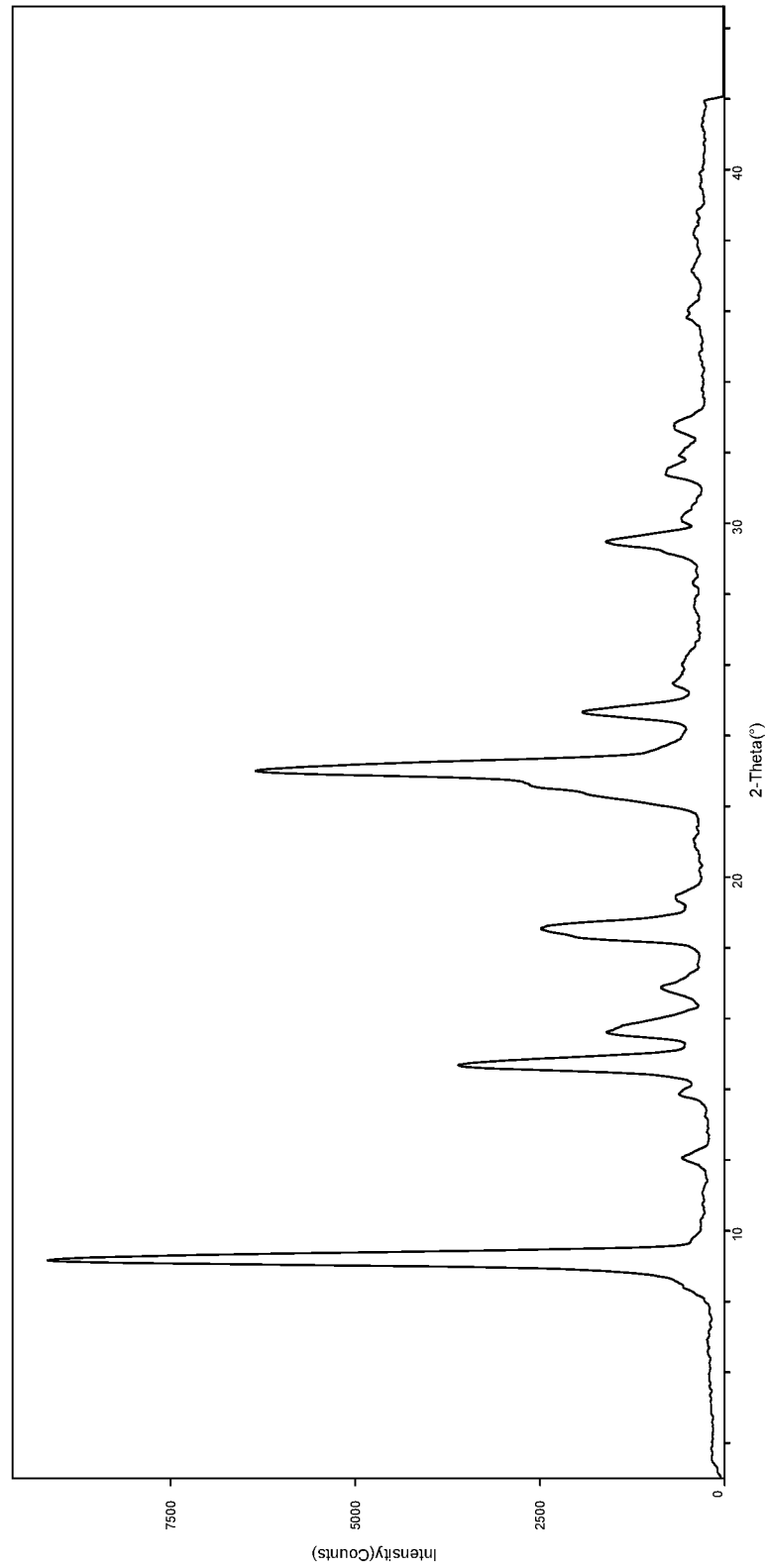


FIG. 64

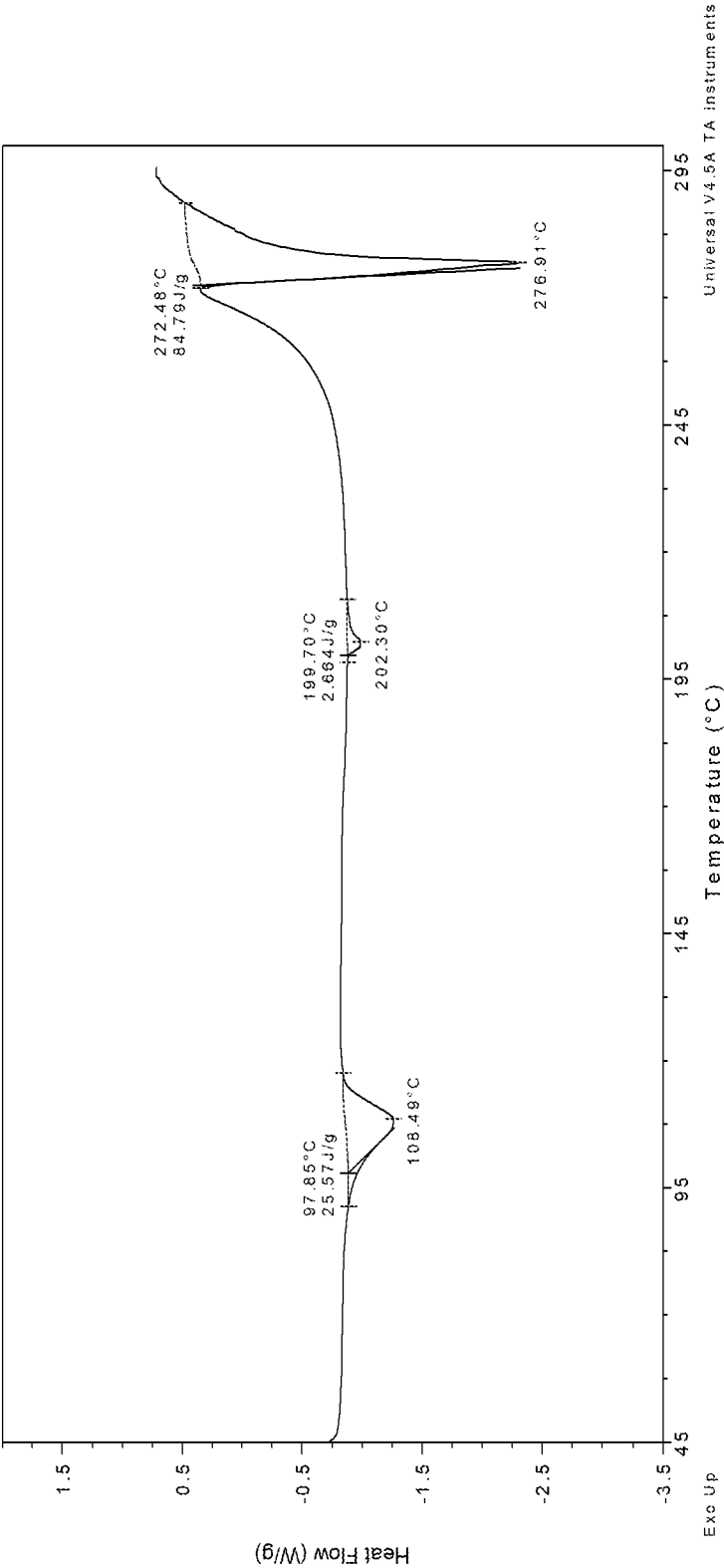




FIG. 65

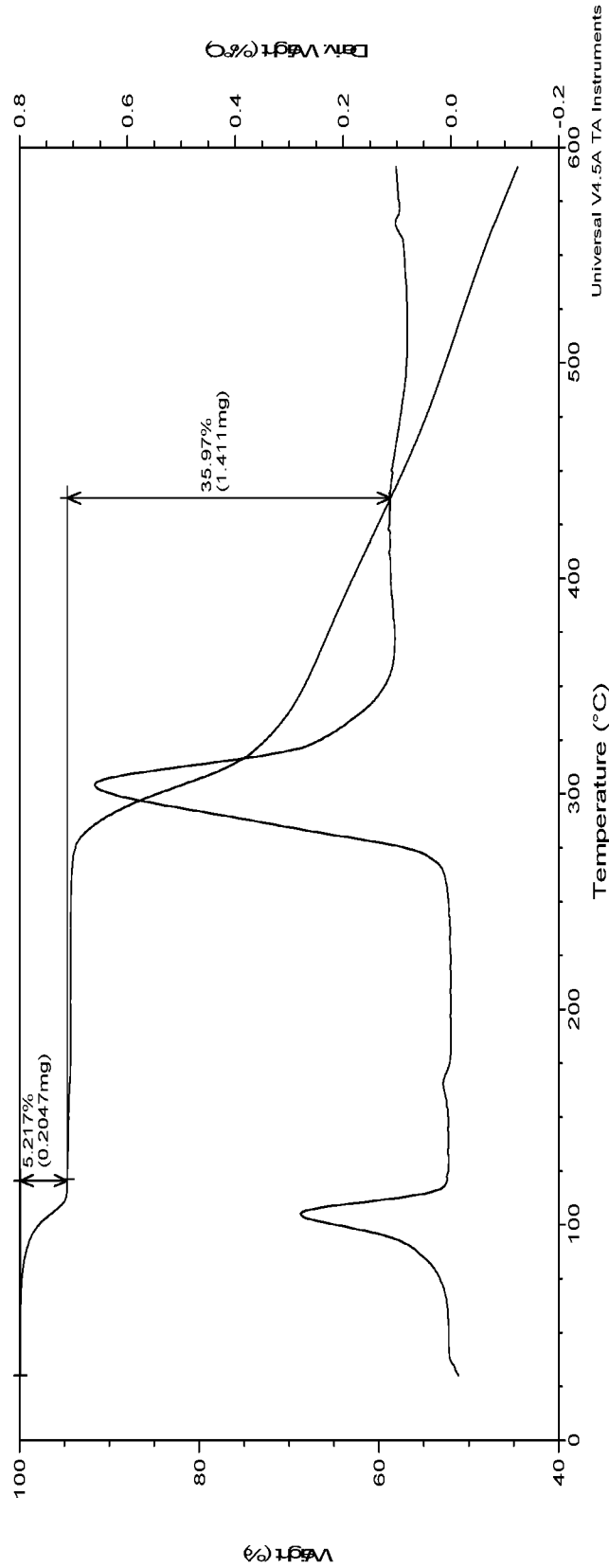


FIG. 66

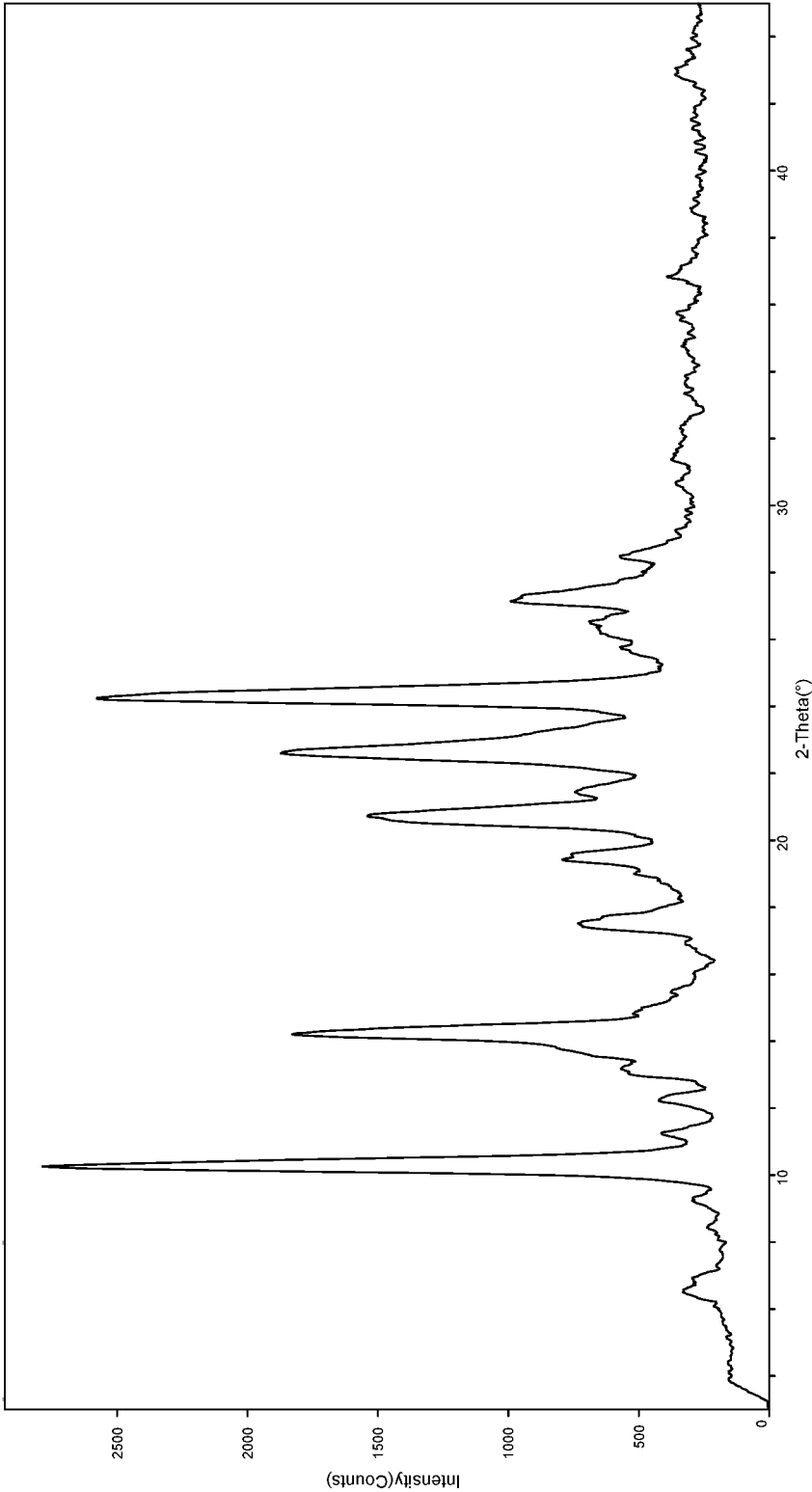


FIG. 67

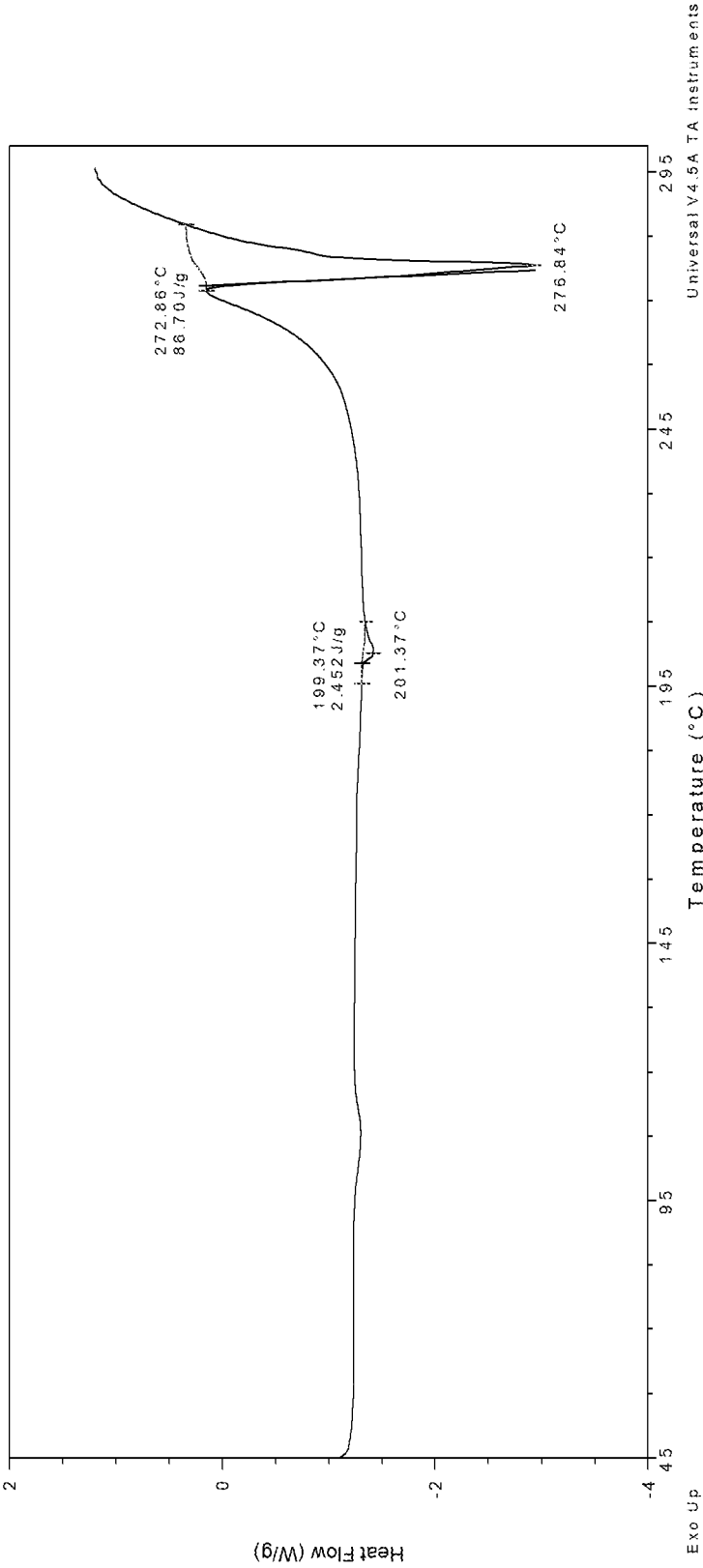


FIG. 68

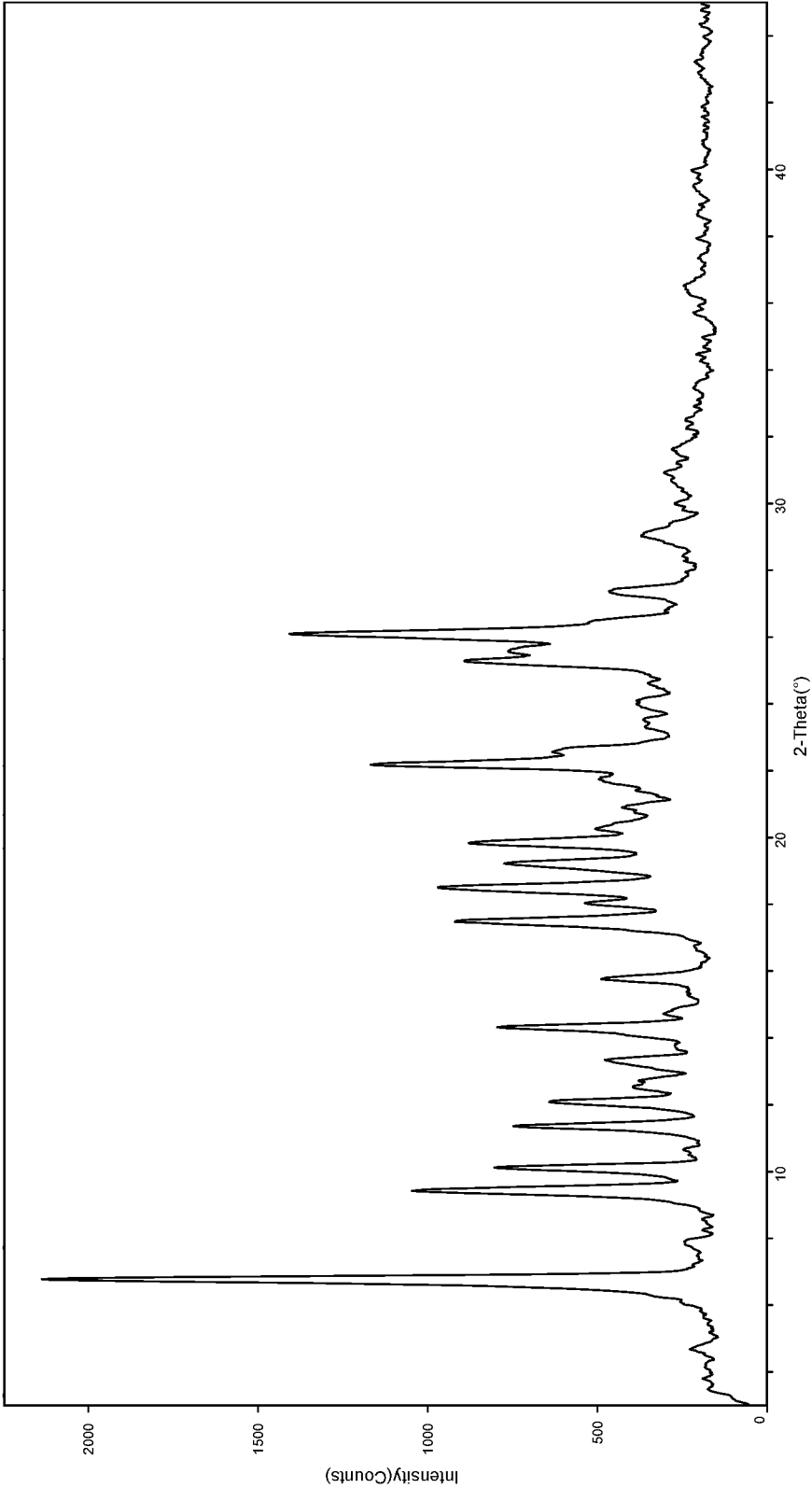


FIG. 69

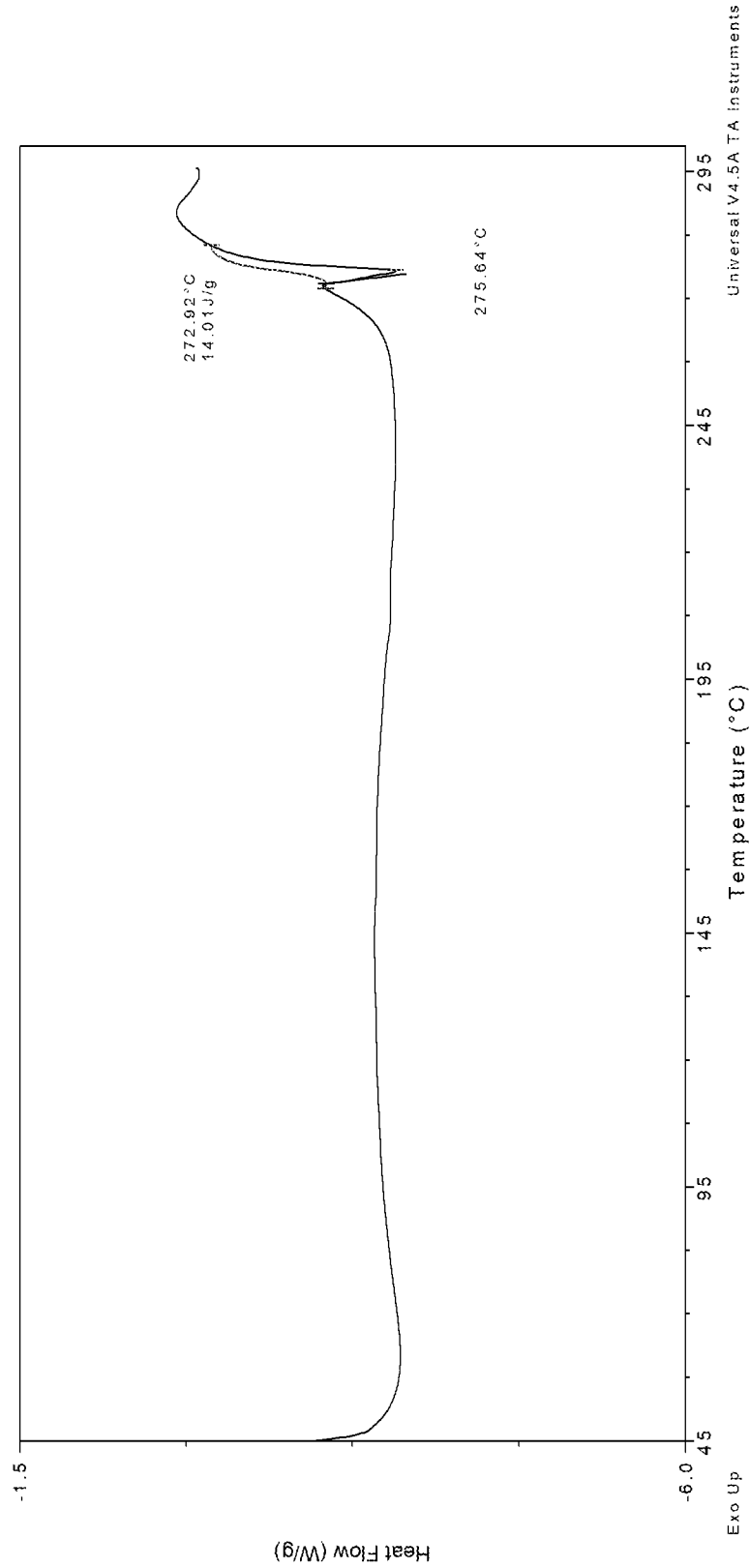


FIG. 70

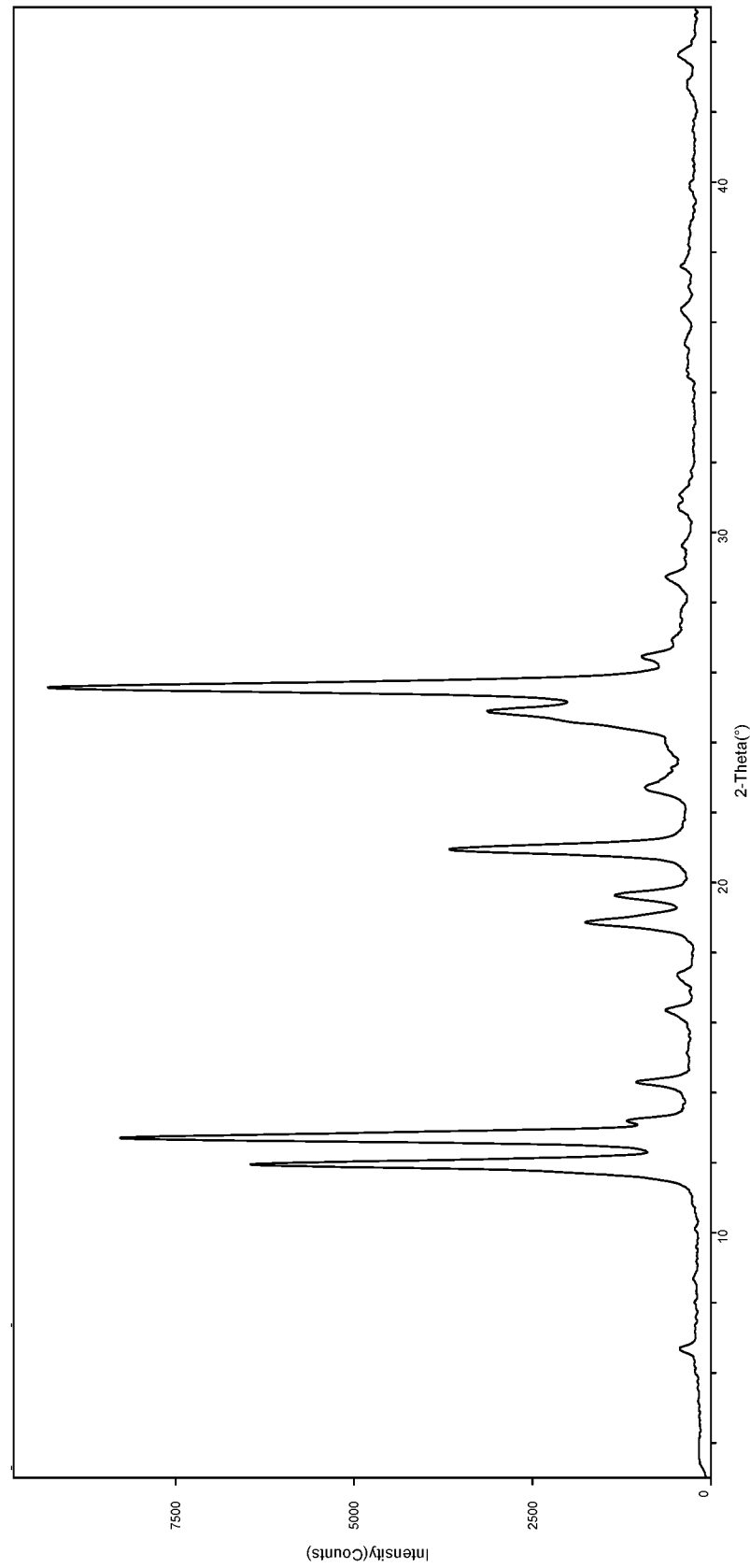


FIG. 71

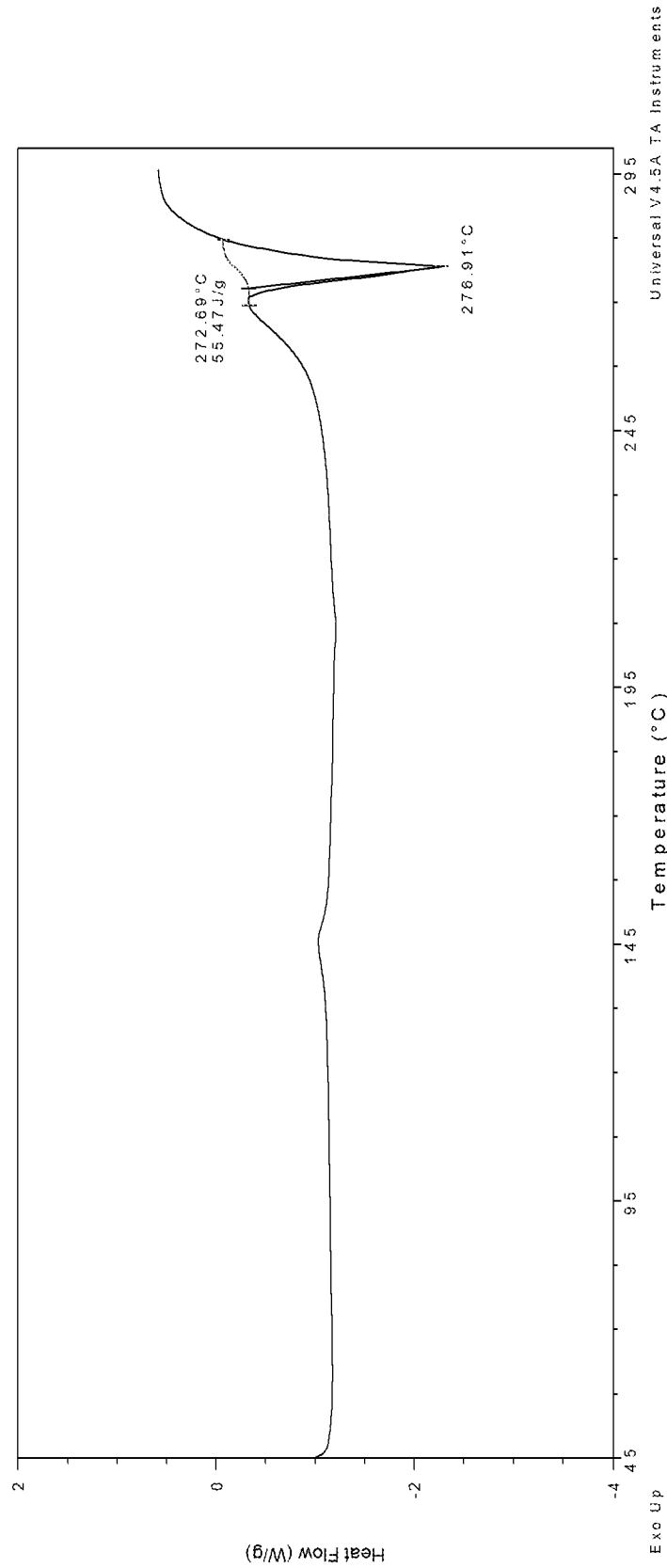


FIG. 72

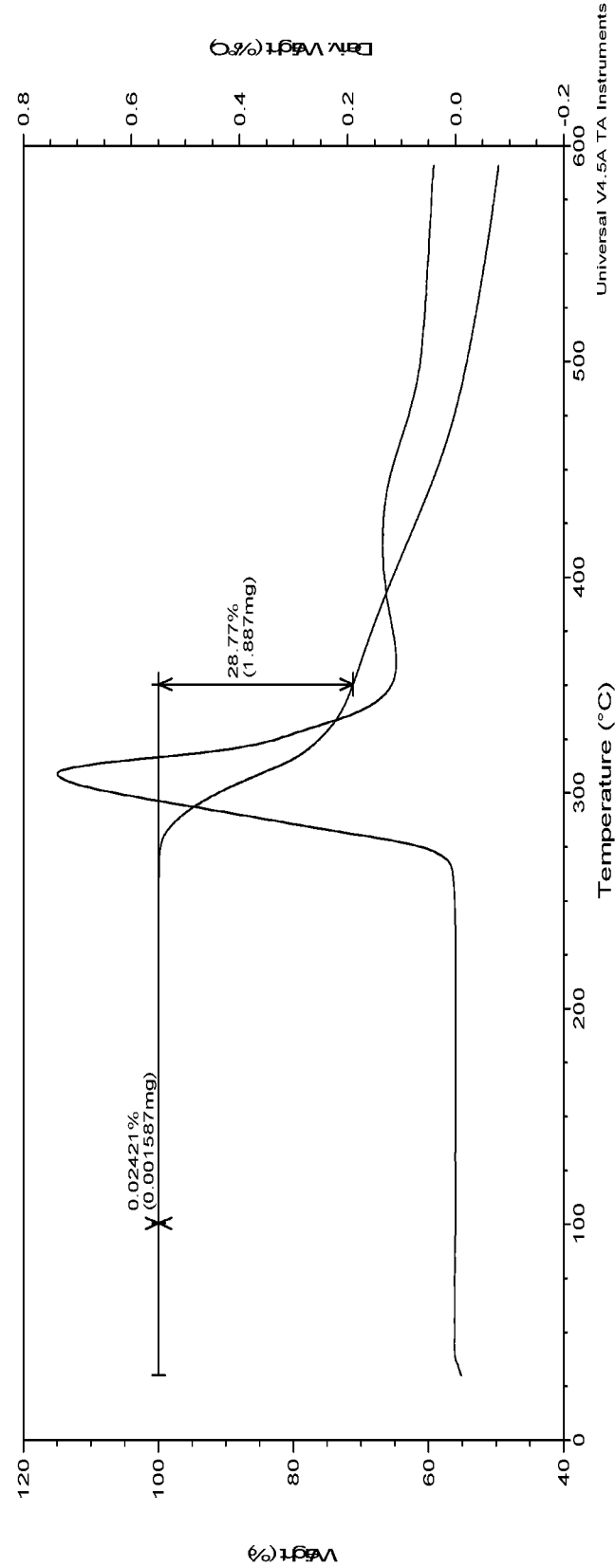




FIG. 73

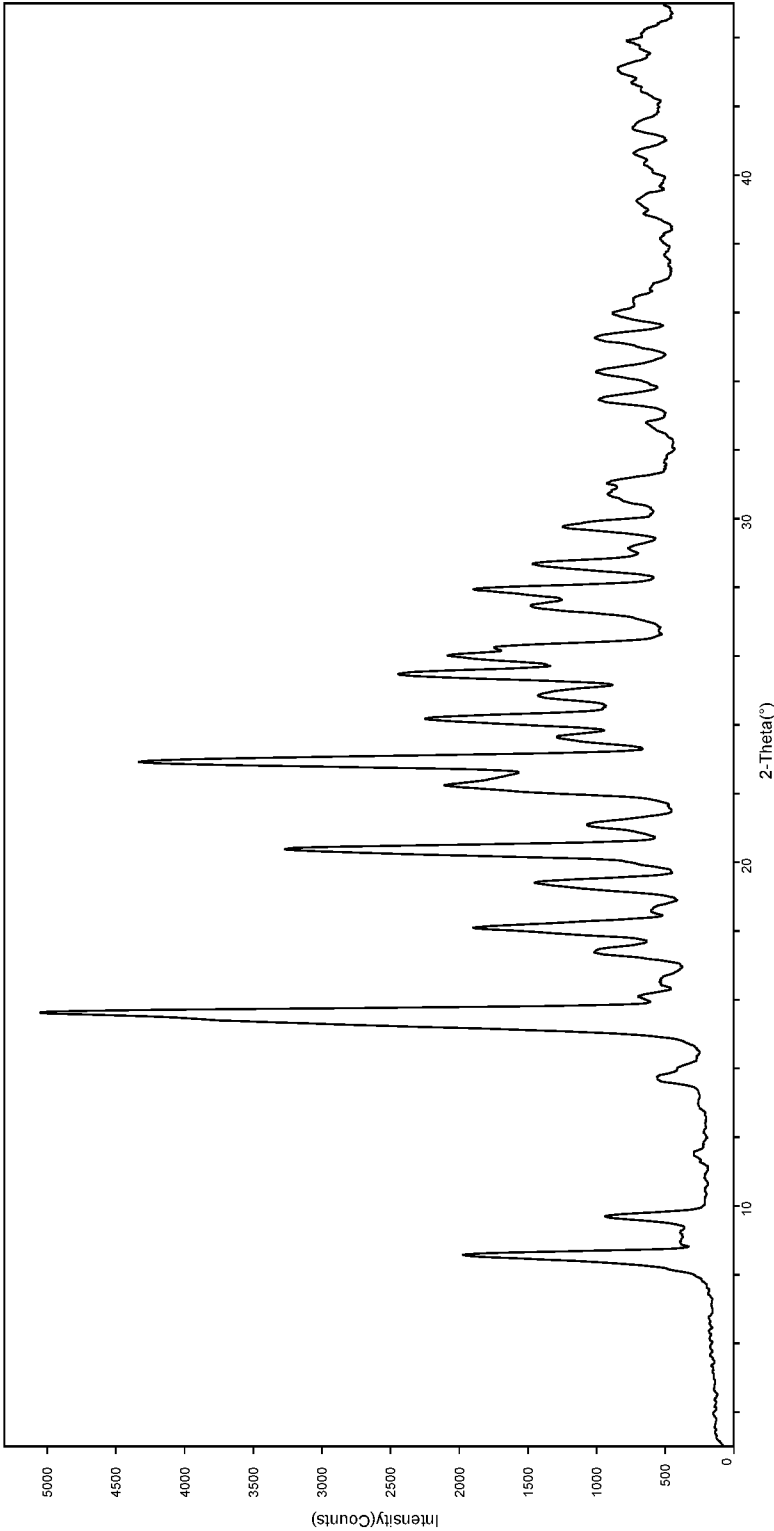


FIG. 74

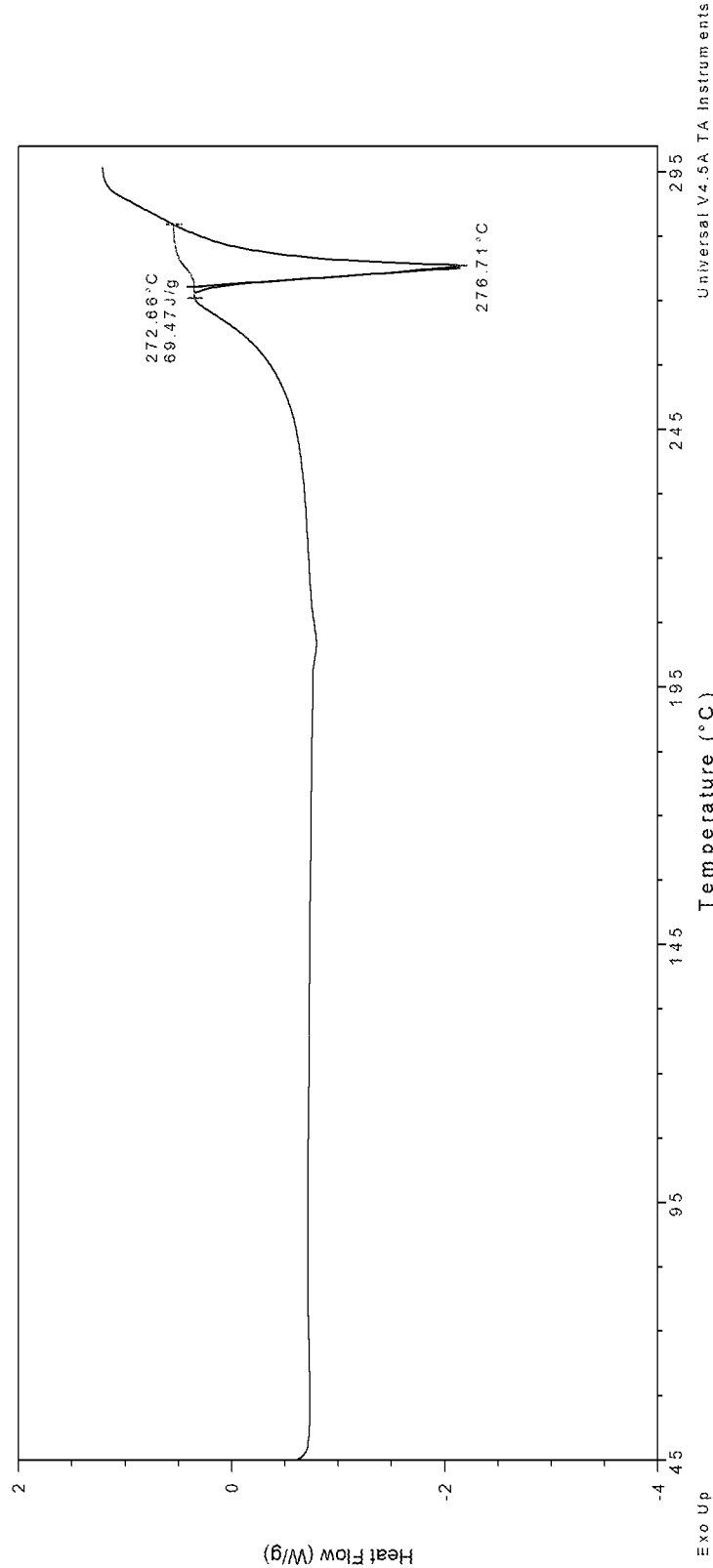


FIG. 75

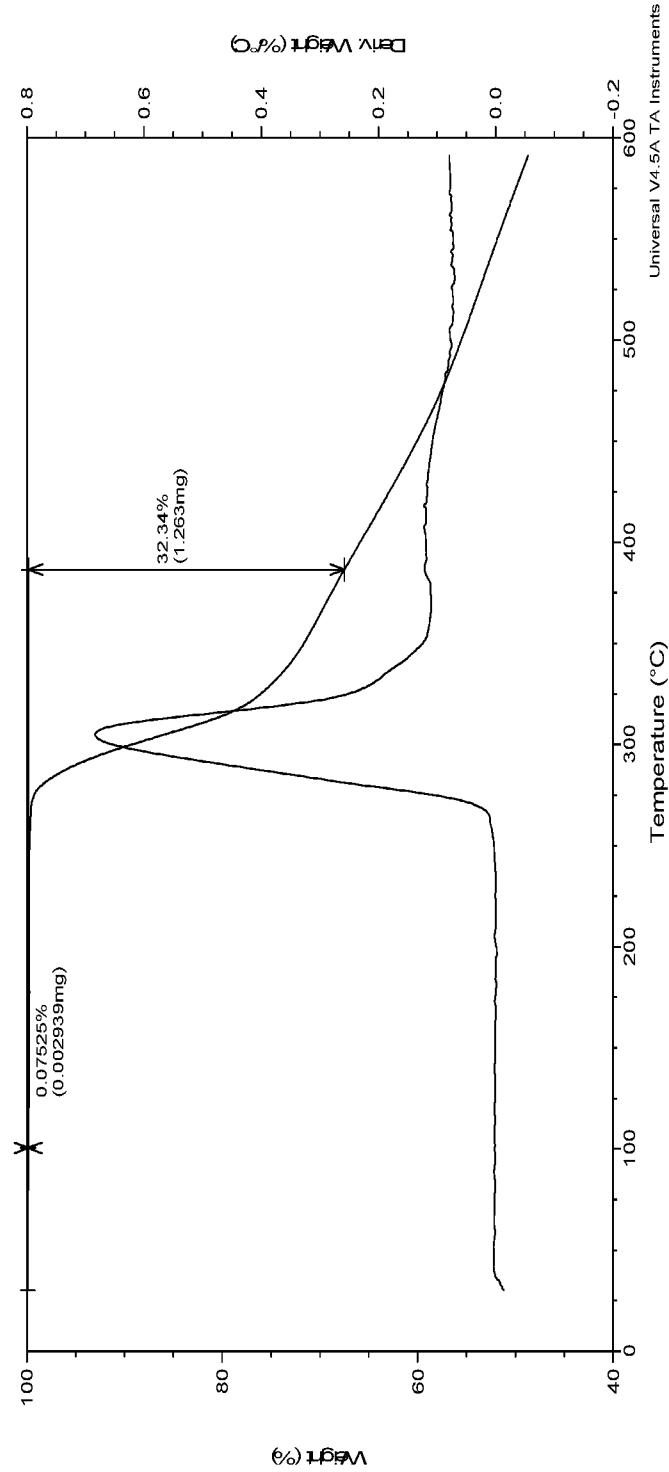


FIG. 76

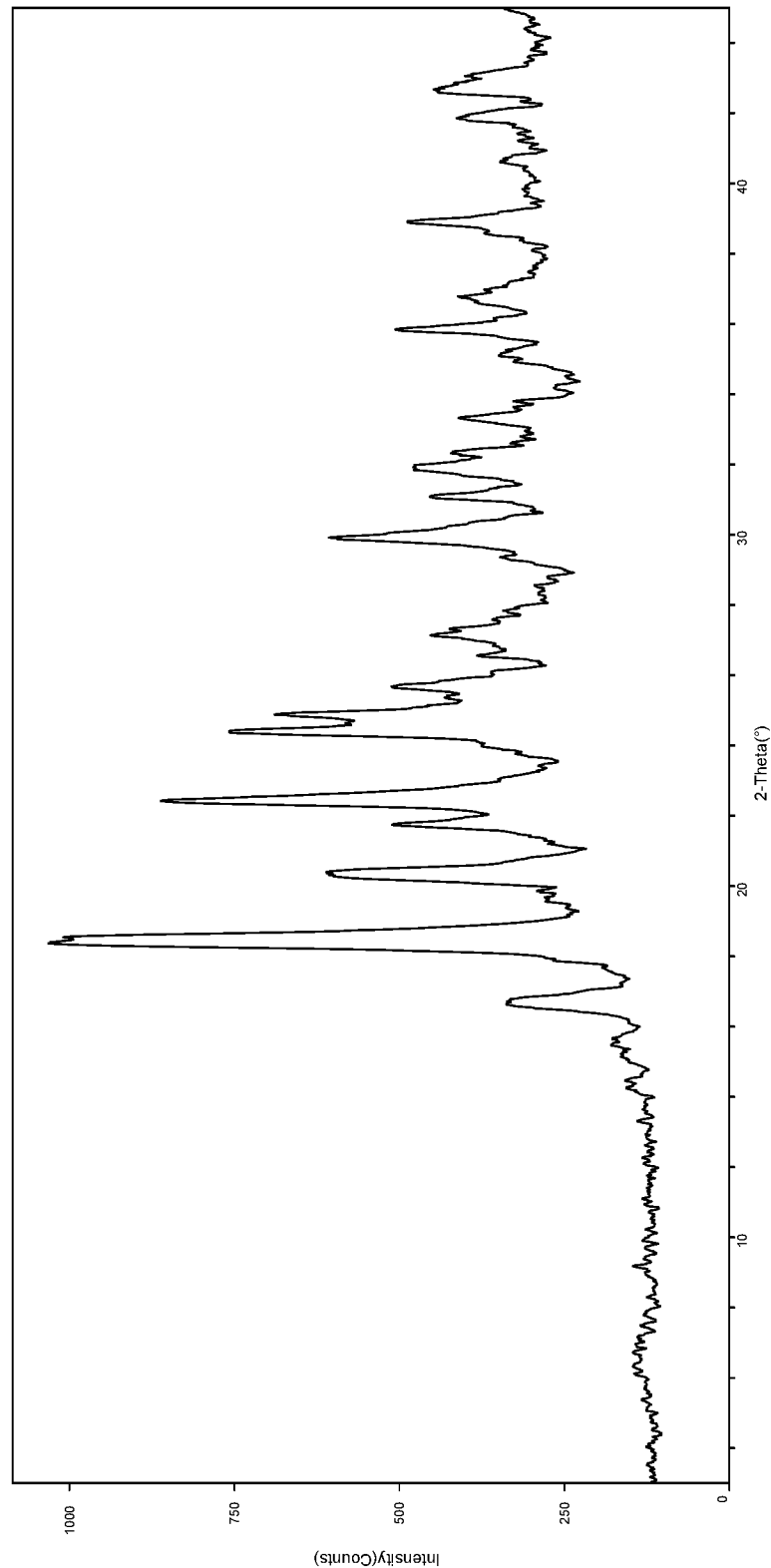


FIG. 77

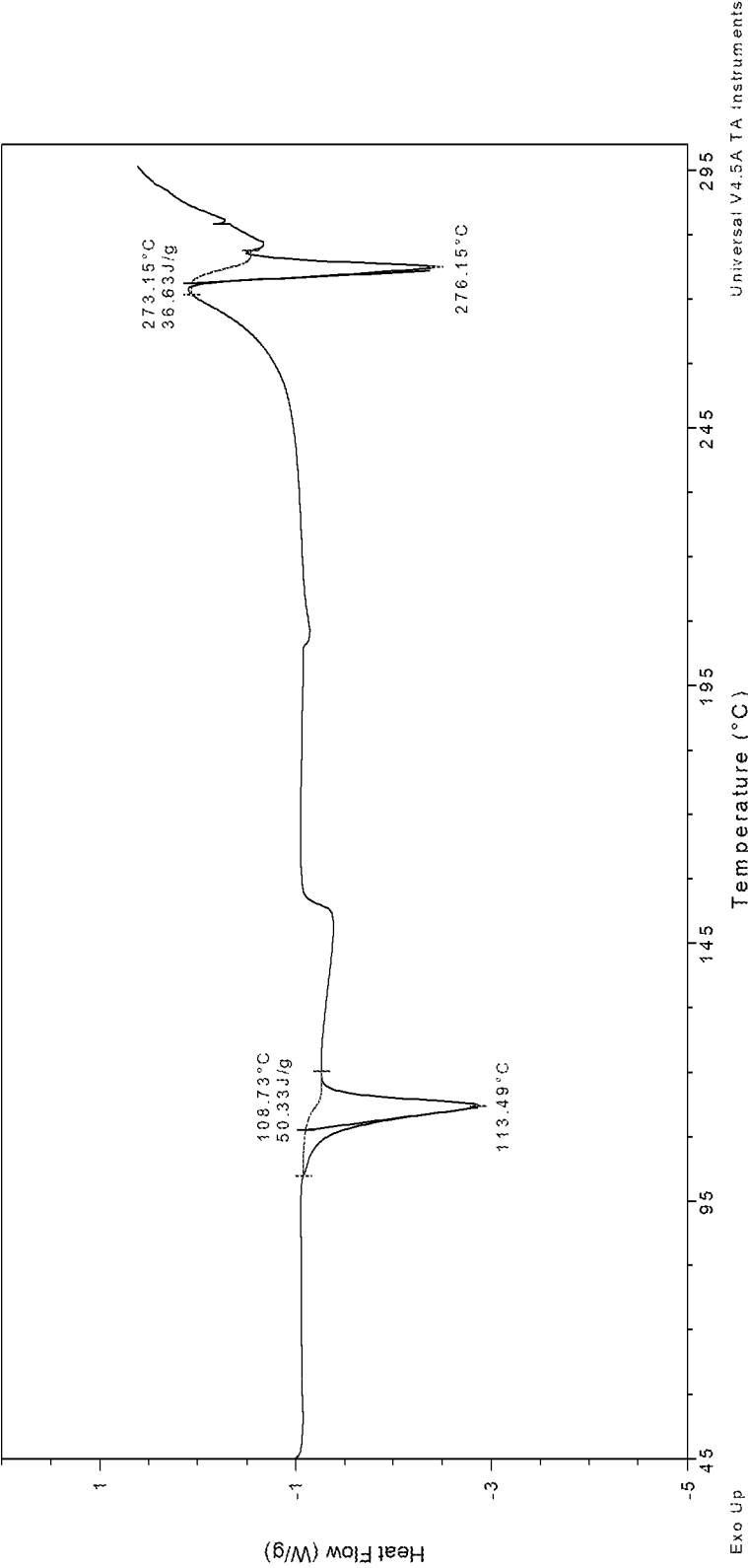


FIG. 78

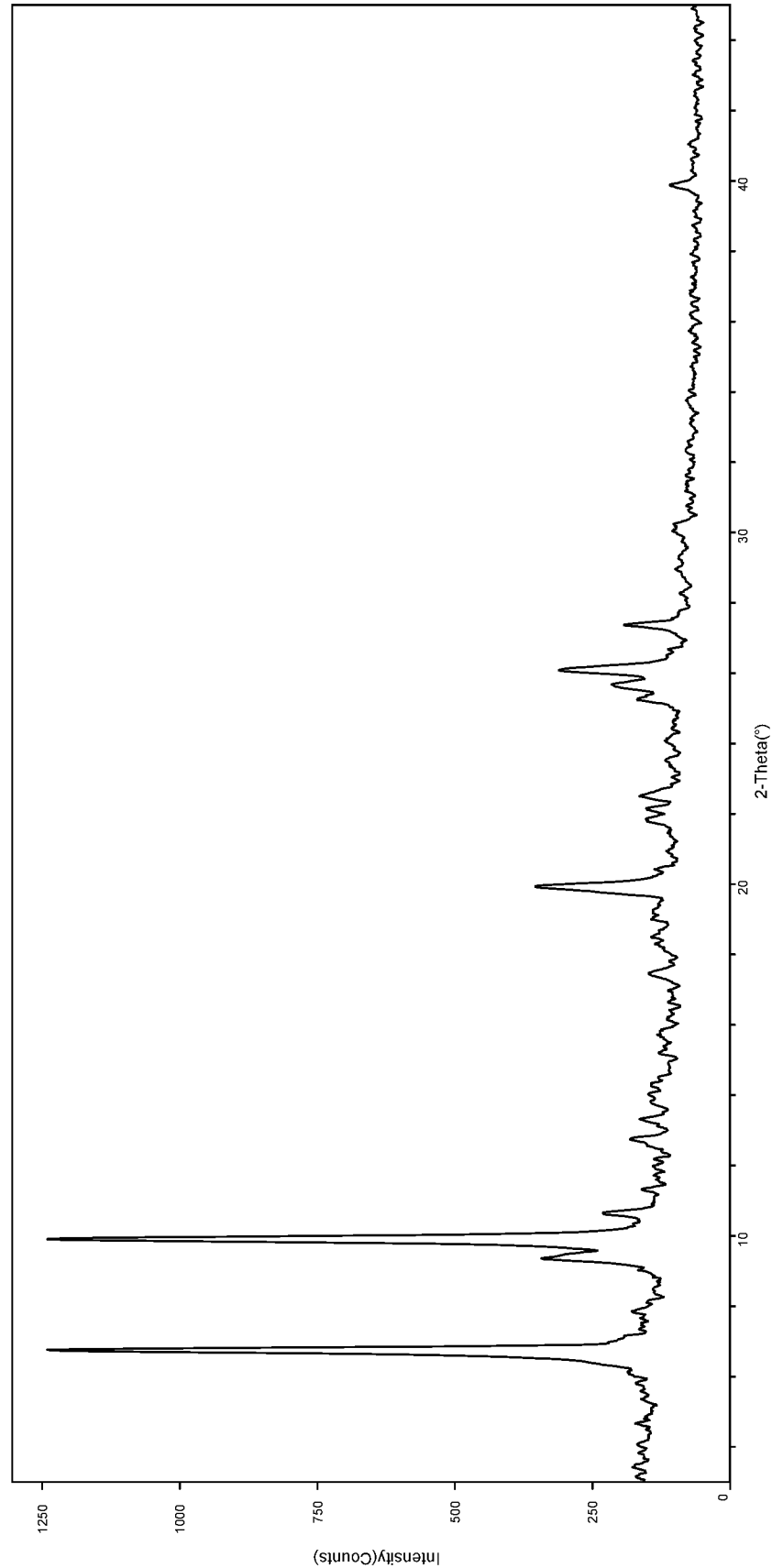




FIG. 80

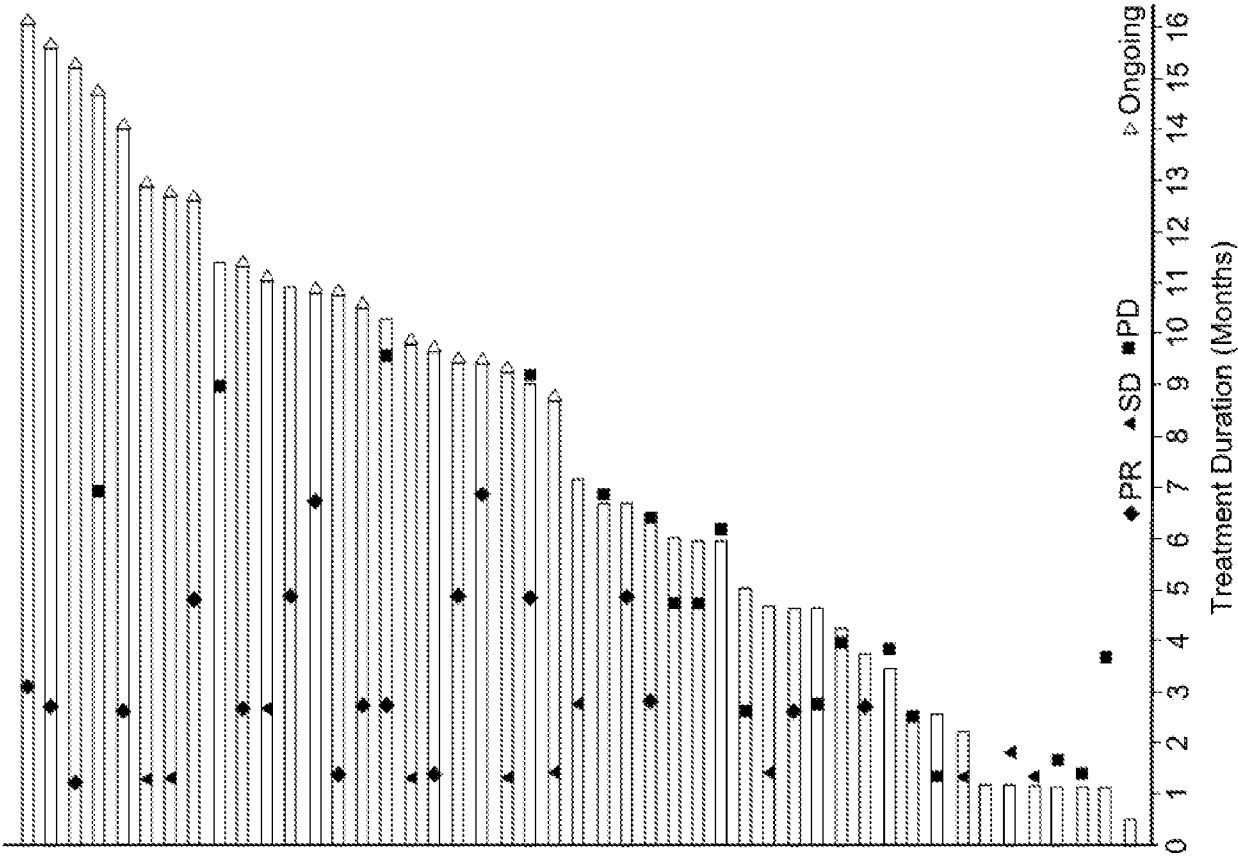




FIG. 81

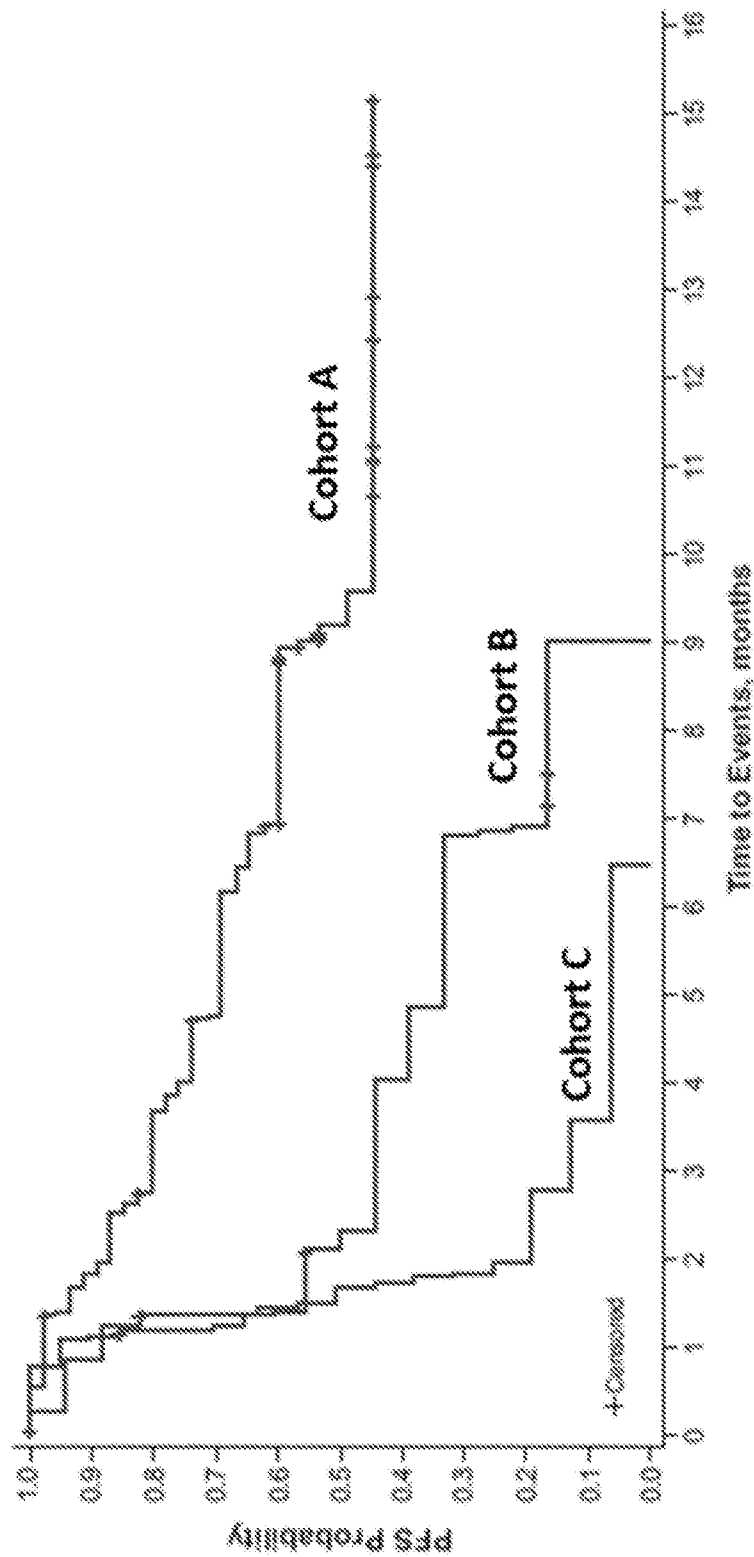


FIG. 82

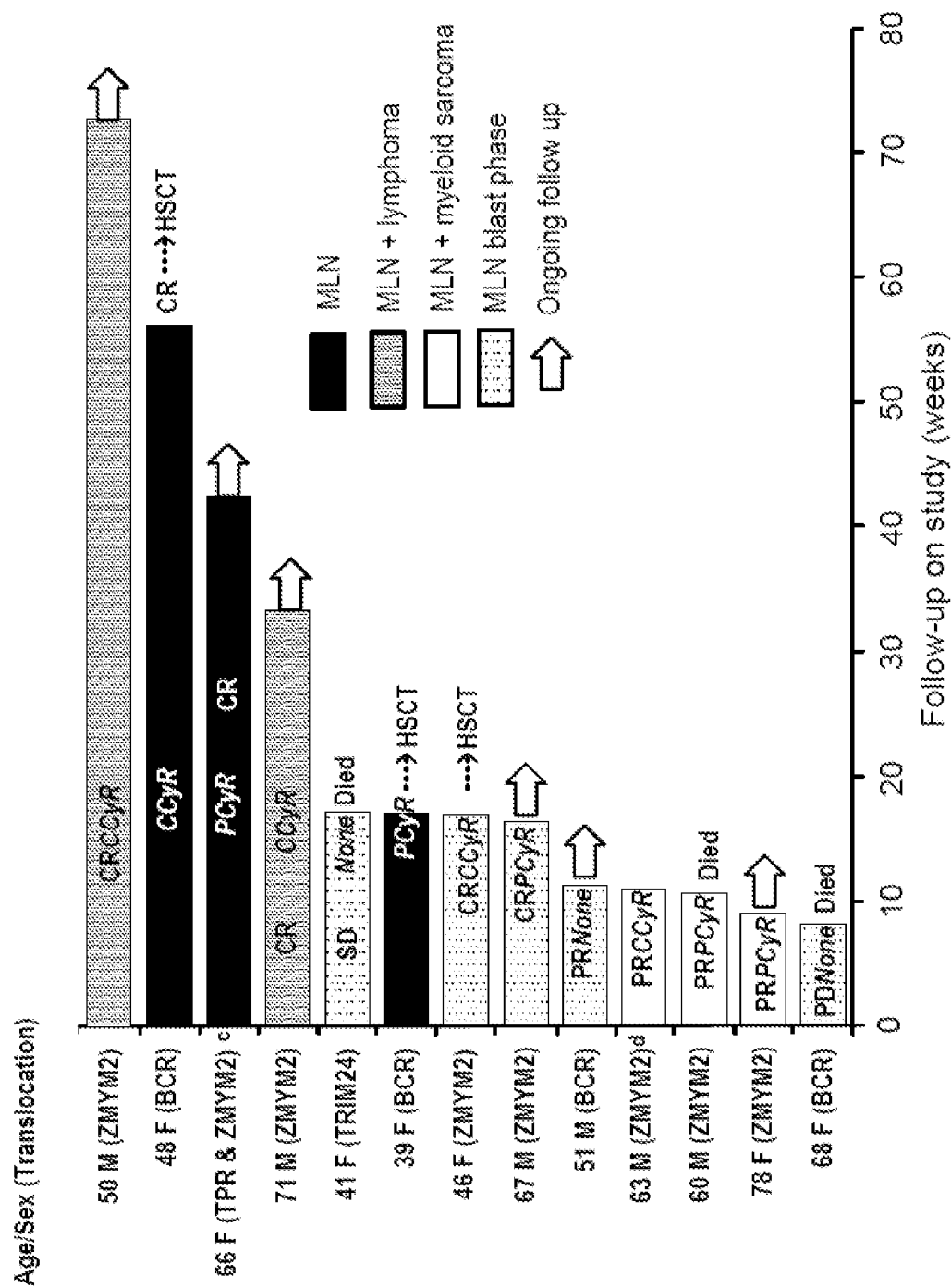


FIG. 83

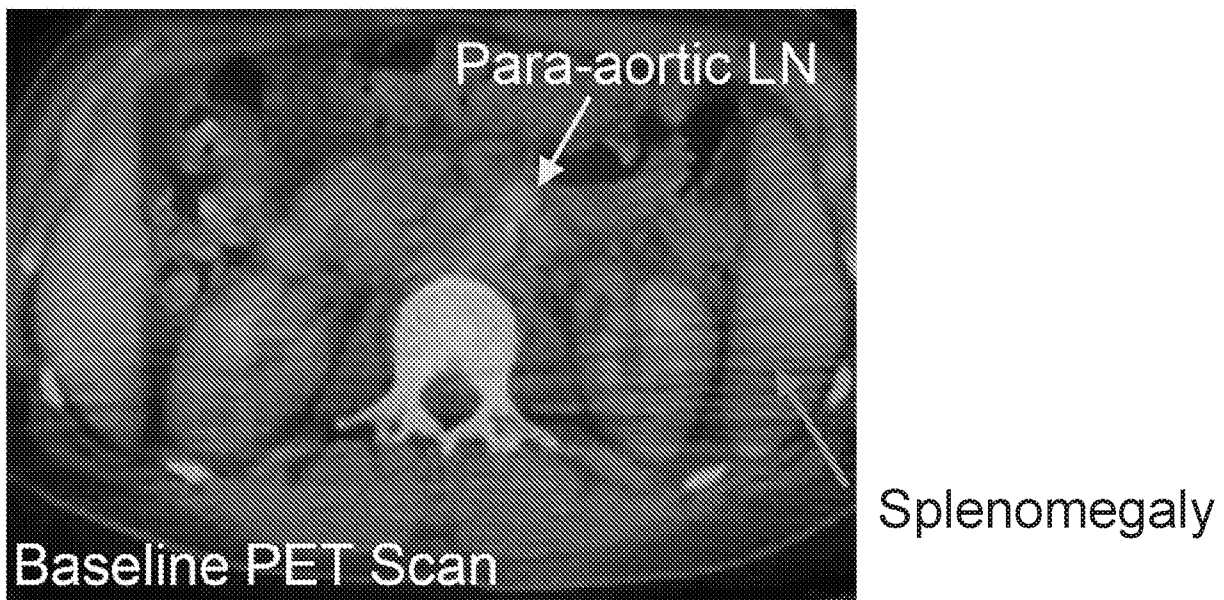


FIG. 84

