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(54) Title: MODIFIED CELLULOSIC COMPOSITIONS HAVING INCREASED HYDROPHOBICITY AND PROCESSES FOR THEIR PRODUCTION

(57) Abstract: The present invention relates to a composition comprising a modified cellulosic surface having aliphatic fatty acid molecules and amine-silica particles that are covalently bonded to cellulose fibers of the cellulosic surface. Also disclosed is a process for increasing hydrophobicity of a cellulosic surface, which comprises: providing a cellulosic surface comprising cellulose fibers; and grafting a plurality of aliphatic fatty acid molecules onto the cellulosic surface to yield a modified cellulosic surface having increased hydrophobicity. Also disclosed is a process for increasing hydrophobicity and surface roughness of a cellulosic surface, which comprises: providing a cellulosic surface comprising cellulose fibers; depositing amine-silica particles onto the cellulosic surface; and after the depositing step, grafting a plurality of aliphatic fatty acid molecules onto the cellulosic surface to yield a modified cellulosic surface having increased hydrophobicity. Also disclosed are products comprising the compositions and modified cellulosic surfaces of the present invention.

## MODIFIED CELLULOSIC COMPOSITIONS HAVING INCREASED HYDROPHOBICITY AND PROCESSES FOR THEIR PRODUCTION

### CROSS-REFERENCE TO RELATED APPLICATIONS

5 [0001] This application claims priority benefit of U.S. Provisional Patent Application Serial No. 62/171,371, filed June 5, 2015, the disclosure of which is hereby incorporated by reference herein in its entirety.

### FIELD OF THE INVENTION

10 [0002] The present invention generally relates to, *inter alia*, environment-friendly cellulosic compositions, products, and processes for their production. The processes of the present invention use 'green' chemistry principles to improve hydrophobicity and surface roughness of cellulosic compositions.

### BACKGROUND OF THE INVENTION

15 [0003] Cotton is one of the most abundant natural fibers produced and used today (Klemm, D., Heublein, B., Fink, H. P., Bohn, A. "Cellulose: fascinating biopolymer and sustainable raw material." *Angewandte Chemie International Edition* 44.22 (2005): 3358-3393). Cotton is primarily made of cellulose, a linear homopolymer consisting of  $\beta$ - (1 $\rightarrow$ 4) linked D-  
20 glucose units. Within each glucose unit, three hydroxyl groups are attached to number 2, 3, and 6 carbons. Due to the polar nature of the hydroxyl groups, the entire cellulose molecule exhibits highly hydrophilic nature making it one of the most comfortable fibers, particularly in humid environments. However, the same property renders cotton its non-water repellency which precludes many applications of cotton including some apparel, e.g., swimsuits, umbrella, and  
25 many others. Viscose rayon, which is also cellulose, suffers from the same problem.

[0004] There are many treatments used to make cotton hydrophobic. However, most of these treatments use fluorine containing compounds. Fluorine containing compounds are inherently hydrophobic with very low surface energy and can provide the necessary water repellency. However, none of these treatments are environment-friendly or 'green' and suffer  
30 from severe pollution, particularly water pollution, as well as wastage of large quantities of water. As a result, there is a need for new processes using 'green' chemistry for such treatments. Indeed, many green chemical modifications of cellulose are possible using the three hydroxyl groups present on each glucose unit.

[0005] Water repellent cotton can significantly reduce apparel drying times, reducing the  
35 energy needs. Further, the use of synthetic fibers such as polyester, and dependence on petroleum, can be reduced in many applications. Applications such as diapers, bandages for

wounds, etc., can be made all-natural by using water repellent cotton as the innermost layer while keeping the outer layers of absorbent cotton.

[0006] Naturally occurring fatty acids are biocompatible and biodegradable, the long alkyl backbone chain has much lower surface tension compared to the hydroxyl groups on cellulose. By grafting fatty chain onto cellulose surface the hydrophobicity of cellulose surface can be increased. However, both intra- and inter-molecular hydrogen bonding give cellulose highly aligned and packed (crystalline) structure. As a result, fatty acids show extremely low reactivity toward the cellulosic hydroxyl groups (Heinze, Th., and T. Liebert. "Unconventional methods in cellulose functionalization." *Progress in Polymer Science* 26, no. 9 (2001): 1689-1762).

[0007] To date, numerous methods have been published to facilitate the fatty acid reactivity toward cellulose (Wang, Pinglang, and Bernard Y. Tao. "Synthesis and characterization of long-chain fatty acid cellulose ester (FACE)." *Journal of applied polymer science* 52, no. 6 (1994): 755-761; Vaca-Garcia, C., S. Thiebaud, M. E. Borredon, and Giuseppe Gozzelino. "Cellulose esterification with fatty acids and acetic anhydride in lithium chloride/N, N-dimethylacetamide medium." *Journal of the American Oil Chemists' Society* 75, no. 2 (1998): 315-319). However, most of these reactions have been performed in homogenous solutions, which require proper solvent to dissolve the cellulose. On the other hand, some researchers have put an emphasis on enhancing the reactivity of the fatty acids, thus, making their reaction with cellulose easy. Some representatives include *in-situ* activation of carboxylic/fatty acids by dicyclohexylcarbo –diimide (DCC), *N,N'*-carbonyldiimidazole (CDI) and *p*-toluenesulfonyl chloride. However, all these method involves extensively use of petroleum based chemicals, they are not sustainable, and some of them are highly toxic for humans, animals, and other living organisms (Chauvelon, G., L. Saulnier, A. Buleon, J-F. Thibault, C. Gourson, R. Benhaddou, R. Granet, and P. Krausz. "Acidic activation of cellulose and its esterification by long-chain fatty acid." *Journal of applied polymer science* 74, no. 8 (1999): 1933-1940).

[0008] The present invention is directed to overcoming these and other deficiencies in the art.

## SUMMARY OF THE INVENTION

[0009] The present invention generally relates to, *inter alia*, environment-friendly cellulosic compositions, products, and processes for their production. The processes of the present invention involve the use of 'green' chemistry principles to improve hydrophobicity and/or surface roughness of cellulosic materials, thereby yielding modified cellulosic compositions having increased hydrophobicity and/or surface roughness. These modified

cellulosic compositions are advantageous over the prior art materials in that they have more durable hydrophobicity and/or superhydrophobicity compared to cellulosic compositions not produced by the presently disclosed processes.

5 [0010] In one aspect, the present invention relates to a composition comprising a modified cellulosic surface comprising aliphatic fatty acid molecules and amine-silica particles that are covalently bonded to cellulose fibers of the cellulosic surface. The present invention also relates to a product comprising this composition. In one embodiment, the product is made of the composition so that the product has at least one surface comprising the modified cellulosic surface.

10 [0011] In another aspect, the present invention relates to a process for increasing hydrophobicity of a cellulosic surface. This process includes the steps of: (i) providing a cellulosic surface comprising cellulose fibers; and (ii) grafting a plurality of aliphatic fatty acid molecules onto the cellulosic surface to yield a modified cellulosic surface having increased hydrophobicity, where the grafting step comprises reacting fatty anhydride with hydroxyl groups of the cellulose fibers in order to covalently bond the aliphatic fatty acid molecules to the cellulose fibers, and where the grafting takes place without using any toxic reagents or exogenous solvents. The present invention also relates to a modified cellulosic surface having increased hydrophobicity, where the modified cellulosic surface is produced by the process described above. The present invention further relates to a product comprising the modified cellulosic surface produced by the process described above.

15 [0012] In another aspect, the present invention relates to a process for increasing hydrophobicity and surface roughness of a cellulosic surface. This process includes the steps of: (i) providing a cellulosic surface comprising cellulose fibers; (ii) depositing amine-silica particles onto the cellulosic surface, thereby increasing surface roughness of the cellulosic surface; and (iii) after the depositing step, grafting a plurality of aliphatic fatty acid molecules onto the cellulosic surface to yield a modified cellulosic surface having increased hydrophobicity, where the grafting comprises reacting fatty anhydride with hydroxyl groups of the cellulose fibers in order to covalently bond the aliphatic fatty acid molecules to the cellulose fibers, and where the depositing and grafting takes place without using any toxic reagents or exogenous solvents. In certain embodiments, this process further comprises treating the cellulosic surface with a green crosslinking agent prior to depositing the amine-silica particles thereon in order to facilitate said covalent bonding of the amine-silica particles to the cellulose fibers. In other embodiments, this process further comprises reacting the fatty anhydride with amine groups of the amine-silica particles so as to covalently bond aliphatic fatty acid molecules to the amine-silica particles. The present invention also relates to a modified cellulosic surface

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having increased hydrophobicity and increased surface roughness, where the modified cellulosic surface is produced by the process described above. The present invention further relates to a product comprising the modified cellulosic surface produced by the process described above.

[0013] Therefore, in particular embodiments as described in more detail herein, the present invention relates to, *inter alia*, ‘green’ methods using simple and non-toxic chemicals as raw materials to make cellulose, both cotton and viscose rayon fabrics water repellent. Both cotton and viscose rayon (including lyocell) fibers are commonly used in apparel. The present invention involves, *inter alia*, optimizing the disclosed ‘green’ methods to obtain the best possible water repellent property for the treated fabric. The developed techniques can also be used to make other cellulosic fibers such as jute, ramie, sisal, flax, etc., hydrophobic or superhydrophobic.

[0014] In a particular method of the present invention, plant-based aliphatic fatty acid chains are grafted onto cotton (or viscose) fabric surface to decrease the surface energy by using the alkyl chains of the fatty acids. Acetic anhydrides are used to enhance the reactivity. Although not meant to be limited thereto, microwave heating, an energy efficient method, can be used to reach the desired reaction temperature. In one embodiment, the present invention will be optimized to provide a process for obtaining the highest possible water contact angle.

[0015] In another particular method of the present invention, amine-silica micro- and nano-particles are used to increase the surface roughness of the cotton/viscose fibers. In one embodiment of this method, these particles can be physically deposited to mimic ‘lotus leaf’ topography and further chemically bonded to the cotton/viscose fiber (fabric) surface to obtain a durable hydrophobic treatment. Most nanotechnology-based methods to date involve depositing nano-particles without any chemical bonding and, almost always, these particles separate from the fabric during washing resulting in loss of their water-repellent properties. Thus, in one embodiment, the present invention provides an optimized process to assure the chemical bonding of the particles and to obtain the highest possible water contact angle ( $> 140^\circ$ ). Chemical bonding of the particles can achieve ‘permanent’ or durable hydrophobic characteristic. Different ‘green’ crosslinkers, based on natural chemistry, can be used to attach the micro- and nano-particles. In some cases the silica particles can be chemically modified to get the needed chemical groups to react with the hydroxyl groups on cellulose. The cotton/viscose fabrics (with particles attached) can be treated by fatty acid hydrophobic treatment (described in the earlier paragraph). The hydrophobic fatty acid surface plus the ‘lotus leaf’ topography should result in superhydrophobic fabric with very high contact angles. Resulting superhydrophobic cotton/viscose fabrics with covalently bonded particles can be

subjected to several cycles of laboratory laundering to characterize its loss of hydrophobicity, if any.

[0016] In one embodiment of the above method of the present invention, two or more sizes of particles can be used to produce a fiber or fabric having higher hydrophobicity than fiber or fabric treated with a single size particle. Also, chemically binding the particles can be used to give a greater durable result compared to physically attaching the particles. In certain 5 embodiments, this method can be used to give true lotus leaf effect.

[0017] In accordance with various aspects of the present invention, the surface chemistry, topography, contact angle, etc., of cotton/viscose fibers/fabrics will be fully 10 characterized using various analytical tools. The tensile properties of the fabric also will be characterized to assess the damage, if any, to the fabric properties during processing. The durability of the hydrophobicity will be characterized using simulated laundry tests.

[0018] These and other objects, features, and advantages of this invention will become apparent from the following detailed description of the various aspects of the invention taken in 15 conjunction with the accompanying drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0019] For the purpose of illustrating aspects of the present invention, there are depicted in the drawings certain embodiments of the invention. However, the invention is not limited to 20 the precise arrangements and instrumentalities of the embodiments depicted in the drawings. Further, if provided, like reference numerals contained in the drawings are meant to identify similar or identical elements.

[0020] **Figure 1:** Molecular structure of cellulose.

[0021] **Figure 2:** Chemical process of fatty anhydride preparation.

25 [0022] **Figure 3:** Chemical reaction between cellulose and fatty anhydride.

[0023] **Figure 4:** Graph illustrating ATR-FTIR spectra a) pure heptanoic acid; b) pure acetic anhydride; c) mixture of heptanoic acid and acetic anhydride before microwave heating.

[0024] **Figure 5:** Graph illustrating ATR-FTIR spectra of a) mixture of heptanoic acid and acetic anhydride before microwave heating; b) mixture of heptanoic acid and acetic 30 anhydride after 100% power /8 min microwave heating; c) mixture of heptanoic acid and acetic anhydride after 100% power /15 min microwave heating.

[0025] **Figure 6:** Graph illustrating ATR-FTIR spectra of unmodified and hydrophobic modified cotton fabric.

- [0026] **Figures 7A-7B:** Graphs illustrating contact angles of modified cotton with different microwave heating conditions. Figure 7A: 10 min heating time was maintained; Figure 7B: 100% power level.
- [0027] **Figure 8:** Graph illustrating effect of fatty acid (alkyl) chain length on contact angle.
- [0028] **Figures 9A-9B:** Graphs illustrating tensile test of cotton yarn: Figure 9A: same microwave heating time with different power levels; Figure 9B: same power level with different heating times.
- [0029] **Figure 10:** Graph illustrating change in contact angle as a function of laundry cycles.
- [0030] **Figures 11A-11B:** Schematics illustrating liquid droplet deposited on rough surface: Figure 11A: Wenzel state and Figure 11B: Cassie-Baxter state.
- [0031] **Figures 12A-12B:** SEM images of amine-silica particles with diameter of: Figure 12A: 458 nm; Figure 12B: 107 nm.
- [0032] **Figure 13:** Graph illustrating ATR-FTIR spectra of amine-silica particles and pure APTES.
- [0033] **Figure 14:** Reaction mechanism between ninhydrin and amine-silica particles
- [0034] **Figure 15:** Photograph of supernatant of amine-silica particle reacted with ninhydrin. From left to right, APTES concentration becomes higher.
- [0035] **Figure 16:** Graph illustrating UV-vis spectrums of different ninhydrin reacted APTES solutions.
- [0036] **Figure 17:** Graph illustrating calibration curve for amine group concentration determination.
- [0037] **Figure 18:** UV-vis spectrums of different ninhydrin reacted amine-silica particle solutions.
- [0038] **Figures 19A-19D:** SEM images of small size amine-silica nanoparticles deposited on cotton fabric. Cotton fabric was first BTCA treated and activated, followed by immersing in 0.5% amine-silica particle solution (Figures 19A-19B); 0.1% amine-silica particle solution (Figure 19C); 0.02% amine-silica particle solution (Figure 19D).
- [0039] **Figures 20A-20H:** SEM images of ultrasonication treated cotton fabric with physically deposited particles and before ultrasonication (Figures 20A-20B); after 2 min of ultrasonication (Figures 20C-20D); after 5 min of ultrasonication (Figures 20E-20F); after 10 min of ultrasonication (Figures 20G-20H).

- [0040] **Figures 21A-21B:** SEM images of cotton fabric with physically deposited amine-silica particles (Figure 21A); and cotton fabric with physically deposited amine-silica particles followed by hydrophobic treatment (Figure 21B).
- [0041] **Figure 22:** Graph illustrating ATR-FTIR spectra of pristine cotton fabric and  
5 BTCA treated cotton fabric.
- [0042] **Figure 23:** ATR-FTIR spectra of BTCA treated cotton fabric and BTCA treated cotton fabric followed by NaOH treatment.
- [0043] **Figures 24A-24D:** SEM images of cotton fabric treated with covalently bonding method, 0.5% amine-silica particle solution (Figure 24A); 0.1% amine-silica particle solution  
10 (Figures 24B-24C); and 0.02% amine-silica particle solution (Figure 24D).
- [0044] **Figures 25A-25C:** SEM images of hydrophobic treated cotton fabric taken before laundry wash (Figure 25A), taken after 7 laundry cycles (Figure 25B), and taken after 13 laundry cycles (Figure 25C).
- [0045] **Figure 26:** Graph illustrating change of water contact angle with laundry cycles.
- 15 [0046] **Figure 27:** SEM image of cotton fabric treated in dual size particle solution.
- [0047] **Figures 28A-28B:** SEM images of dual size particle deposited cotton fabric surface after 10 min ultrasonication (Figure 28A) and after 20 min ultrasonication (Figure 28B).
- [0048] **Figure 29:** Graph illustrating ATR-FTIR spectra of sucrose and oxidized sucrose.
- 20 [0049] **Figure 30:** Chemical structure of sucrose.
- [0050] **Figures 31A-31B:** SEM images of cotton fabric with dual size particle crosslinked by BTCA (Figure 31A); and oxidized sucrose (Figure 31B).
- [0051] **Figures 32A-32B:** SEM images of crosslinked cotton fabric with dual size particles after ultrasonication treatment: Figure 32A: BTCA crosslinked; and Figure 32B:  
25 oxidized sucrose crosslinked.
- [0052] **Figure 33:** Schematic of chemical process of fatty anhydride preparation.
- [0053] **Figure 34:** Schematic of chemical reaction between cellulose and fatty anhydride.
- [0054] **Figure 35:** HPLC chromatograms a) as-mixed equal mole of heptanoic acid and  
30 acetic anhydride; b) 70% power/5 min microwave treated equal mole of heptanoic acid and acetic anhydride.
- [0055] **Figure 36:** HPLC chromatograms a) 100% power/5 min; b) 100% power/10 min; c) 100% power/15 min; d) 100% power/30 min.
- [0056] **Figure 37:** HPLC chromatograms a) 70% power/5 min; b) 70% power/10 min;  
35 c) 70% power/20 min; d) 70% power/30 min.

[0057] **Figure 38:** Graph illustrating ATR-FTIR spectra a) pure heptanoic acid; b) pure acetic anhydride; c) mixture of heptanoic acid and acetic anhydride without microwave heating.

[0058] **Figure 39:** Graph illustrating ATR-FTIR spectra of a) mixture of heptanoic acid and acetic anhydride before microwave heating; b) mixture of heptanoic acid and acetic anhydride after 100% power/8 min microwave heating; c) mixture of heptanoic acid and acetic anhydride after 100% power/15 min microwave heating.

[0059] **Figure 40:** Graph illustrating ATR-FTIR spectra a) mixture of stearic acid and acetic anhydride before microwave heating; b) mixture of stearic acid and acetic anhydride after 100% power/8 min microwave heating; c) mixture of stearic acid and acetic anhydride after 100% power/15 min microwave heating.

[0060] **Figure 41:** Graph illustrating ATR-FTIR spectra of unmodified and hydrophobic treated cotton fabric treated under different conditions.

[0061] **Figures 42A-42B:** Graphs illustrating water contact angle measurement of modified cotton with different microwave heating conditions: Figure 42A: 10 min heating time was maintained; Figure 42B: 100% power level was maintained.

[0062] **Figure 43:** Graph illustrating effect of fatty chain length on water contact angle.

[0063] **Figures 44A-44B:** Histograms of tensile test results of cotton yarn: Figure 44A: hydrophobic treatment involved same microwave heating time and different power levels; Figure 44B: hydrophobic treatment involved same power level with different heating times.

[0064] **Figure 45:** Graph illustrating change in water contact angle with different laundry cycles.

#### DETAILED DESCRIPTION OF THE INVENTION

[0065] The present invention generally provides, *inter alia*, environment-friendly cellulosic compositions, products, and processes for their production. The processes of the present invention involve the use of ‘green’ chemistry principles to improve hydrophobicity and/or surface roughness of cellulosic materials, thereby yielding modified cellulosic compositions having increased hydrophobicity and/or surface roughness. These modified cellulosic compositions are advantageous over prior art cellulosic compositions in that they are more durable in terms of their hydrophobicity and/or superhydrophobicity compared to cellulosic compositions not produced by the presently disclosed processes.

[0066] In one aspect, the present invention provides a composition that comprises a modified cellulosic surface having aliphatic fatty acid molecules and amine-silica particles that are covalently bonded to cellulose fibers of the cellulosic surface.

[0067] The aliphatic fatty acid molecules of the modified cellulosic surface can have fatty chains that are either of uniform or different lengths.

[0068] While the lengths of the fatty chains of the modified cellulosic surface can be of any known length, particular embodiments can include fatty chains that comprise between about 3 and about 38 carbon atoms in length. More particularly, the fatty chains can correspond to the following fatty acids: propanoic acid, butanoic acid, pentanoic acid, hexanoic acid, heptanoic acid, octanoic acid, nonanoic acid, decanoic acid, undecanoic acid, dodecanoic acid, tridecanoic acid, tetradecanoic acid, pentadecanoic acid, hexadecanoic acid, heptadecanoic acid, octadecanoic acid, nonadecanoic acid, eicosanoic acid, heneicosanoic acid, docosanoic acid, tricosanoic acid, tetracosanoic acid, pentacosanoic acid, hexacosanoic acid, heptacosanoic acid, octacosanoic acid, nonacosanoic acid, triacontanoic acid, henatriacontanoic acid, dotriacontanoic acid, tritriacontanoic acid, tetratriacontanoic acid, pentatriacontanoic acid, hexatriacontanoic acid, heptatriacontanoic acid, and octatriacontanoic acid. Without meaning to limit the present invention, a preferred length of carbon atoms of the fatty chains described herein can range from 6 to 18 carbons.

[0069] One innovation of the present invention over the prior art is the use of acetic anhydride to facilitate the reaction between the aliphatic fatty acid molecules and the cellulose fibers. As described in more detail herein, fatty anhydride is prepared by reacting acetic anhydride with a fatty acid having a saturated or unsaturated aliphatic chain of between about 4 and about 38 carbon atoms. This is done without any harsh or toxic chemicals, which is in direct contrast with the industry standard of preparing hydrophobic and/or superhydrophobic materials and products having cellulosic surfaces.

[0070] The modified cellulosic surface of the composition of the present invention can be made from various sources of cellulose fibers. For example, in certain embodiments, the modified cellulosic surface can comprise cellulose fibers from a source such as, but not limited to, cotton, rayon, viscose rayon, liquid crystalline cellulose, lyocell fibers (e.g., TENCEL®), bacterial cellulose, jute, kenaf, ramie, sisal, flax, pineapple, banana, henequen, curaua, bagasse, bamboo, hemp, and fibrils derived from them.

[0071] The modified cellulosic surface of the composition of the present invention can have amine-silica particles that are of various sizes, including, without limitation, single sized, dual sized, or multiple sized (i.e., more than two different sizes) amine-silica particles. The amine-silica particles can be selected from sizes including, without limitation, 100-1500 nanometers (nm), and more particularly 200-300 nm, 300-400 nm, 400-500 nm, 500-1000 nm, and 500-1500 nm.

[0072] As provided herein, the modified cellulosic surface of the composition of the present invention has increased hydrophobicity as compared to its corresponding non-modified cellulosic surface.

[0073] The composition of the present invention having increased hydrophobicity over its non-modified cellulosic surface counterpart can comprise modified cellulosic surfaces that are hydrophobic or superhydrophobic. As used herein, the term “hydrophobic” refers to a material having a water contact angle of between about 90° and about 150°. As used herein, the term “superhydrophobic” refers to a material having a water contact angle of at least 150° and, more particularly, of at least 153°, 155°, 160°, 165°, and 170°.

[0074] The modified cellulosic surface of the composition of the present invention has increased hydrophobicity durability than that of the non-modified cellulosic surface. As used herein, durability of the hydrophobic or superhydrophobic properties of the modified cellulosic surface can be measured by a laundering durability test, such as the American Association of Textile Chemists and Colorists (AATCC) test method 61-2003.

[0075] The modified cellulosic surface of the composition of the present invention can have increased surface roughness as compared to its corresponding non-modified cellulosic surface.

[0076] The composition of the present invention can be in various forms known in the art with respect materials having a cellulosic surface. Suitable forms of the composition of the present invention can include, without limitation, a form selected from the group consisting of fabric (woven, knitted, or nonwoven), yarn, fibers, filaments, microfibrils, and nanofibers.

[0077] In another aspect, the present invention provides a product comprising the composition described herein. In one embodiment, the product is made of the composition so that the product has at least one surface comprising the modified cellulosic surface.

[0078] In certain embodiments, the product is made of the composition so that the product has at least one surface comprising the modified cellulosic surface as described herein.

[0079] The product of the present invention can be any material or item that is used for protection, particularly as a water-repellant material. Suitable examples of such materials or items include, but are not limited to, any sort of apparel, outerwear, underwear, linens, blankets, coverings, banners, shoes, coats, jackets, sweaters, socks, headgear, tents, curtains, drapes, fabric, swimsuits, umbrellas, diapers, bandages for wounds, vehicle covers, awnings, and the like.

[0080] In another aspect, the present invention relates to a process for increasing hydrophobicity of a cellulosic surface. Generally, this process includes the steps of: (i) providing a cellulosic surface comprising cellulose fibers; and (ii) grafting a plurality of

aliphatic fatty acid molecules onto the cellulosic surface to yield a modified cellulosic surface having increased hydrophobicity, where the grafting step comprises reacting fatty anhydride with hydroxyl groups of the cellulose fibers in order to covalently bond the aliphatic fatty acid molecules to the cellulose fibers, and where the grafting takes place without using any toxic reagents or exogenous solvents. Importantly, this process takes place in a 'green' environment due to the absence of such toxic reagents or exogenous solvents.

**[0081]** In certain embodiments of this process, heat is applied during the grafting step to facilitate the reaction between the fatty anhydride and the cellulose fibers. When heat is used in accordance with this process, the heat is applied at a temperature of between about 40°C and about 220°C.

**[0082]** In accordance with this process, the aliphatic fatty acid molecules can have fatty chains of uniform or different lengths. The length of the fatty chains can be between about 3 and about 38 carbon atoms. Without meaning to limit the present invention, a preferred range of carbon atoms of the fatty chains described herein can be from 6 to 18 carbons. The fatty anhydride may be prepared by reacting acetic anhydride with a fatty acid having a saturated or unsaturated aliphatic chain of between about 4 and about 38 carbon atoms.

**[0083]** This process is effective to produce a modified cellulosic surface having a significantly lower surface energy value than that of the non-modified cellulosic surface. Further, this process is effective to produce a modified cellulosic surface having a significantly higher water contact angle than that of the non-modified cellulosic surface. More particularly, the water contact angle of the modified cellulosic surface is between about 90° and about 150°, which corresponds to a modified cellulosic surface that is considered to be hydrophobic. In other embodiments, the water contact angle of the modified cellulosic surface is greater than 150°, which corresponds to a modified cellulosic surface that is considered to be superhydrophobic. The modified cellulosic surface produced by the process of the present invention has increased hydrophobicity durability than that of the non-modified cellulosic surface. In accordance with the present invention, durability can be measured by a laundering durability test such as the American Association of Textile Chemists and Colorists (AATCC) test method 61-2003, which is known in the relevant art.

**[0084]** In accordance with this process of the present invention, the cellulosic surface can be in a form selected from the group consisting of fabric (woven, knitted, or nonwoven), yarn, fibers, filaments, microfibrils, and nanofibers.

**[0085]** In accordance with this process of the present invention, the cellulosic surface can comprise cellulose fibers from a source that includes, without limitation, cotton, rayon, viscose rayon, liquid crystalline cellulose, lyocell fibers (e.g., TENCEL®), bacterial cellulose,

jute, kenaf, ramie, sisal, flax, pineapple, banana, henequen, curaua, bagasse, bamboo, hemp, and fibrils derived from them.

[0086] The present invention also relates to a modified cellulosic surface having increased hydrophobicity, where the modified cellulosic surface is produced by the process described above. The present invention further relates to a product comprising the modified  
5 cellulosic surface produced by the process described herein.

[0087] In another aspect, the present invention relates to a process for increasing hydrophobicity and surface roughness of a cellulosic surface. This process includes the steps of:  
10 (i) providing a cellulosic surface comprising cellulose fibers; (ii) depositing amine-silica particles onto the cellulosic surface, thereby increasing surface roughness of the cellulosic surface; and (iii) after the depositing step, grafting a plurality of aliphatic fatty acid molecules onto the cellulosic surface to yield a modified cellulosic surface having increased hydrophobicity, where the grafting comprises reacting fatty anhydride with hydroxyl groups of the cellulose fibers in order to covalently bond the aliphatic fatty acid molecules to the cellulose  
15 fibers, and where the depositing and grafting takes place without using any toxic reagents or exogenous solvents. Importantly, as in the previously described process, the currently described process also takes place in a 'green' environment due to the absence of such toxic reagents or exogenous solvents

[0088] In accordance with this process of the present invention, the amine-silica particles  
20 are deposited in a form of an amine-silica particle dispersion. In certain embodiments, two different sized particles may be used ratios from about 10%-90% to about 90%-10%.

[0089] In accordance with this process of the present invention, the amine-silica particles can be deposited by physical deposition onto the cellulosic surface or by covalent bonding to the cellulose fibers of the cellulosic surface. Particular techniques for accomplishing the depositing  
25 of the amine-silica particles are further described herein.

[0090] In certain embodiments, this process further comprises treating the cellulosic surface with a green crosslinking agent prior to depositing the amine-silica particles thereon in order to facilitate said covalent bonding of the amine-silica particles to the cellulose fibers.

[0091] In accordance with this process of the present invention, suitable green  
30 crosslinking agents can include, without limitation, 1,2,3,4-Butanetetracarboxylic acid (BTCA), oxidized sucrose, oxidized higher sugars including raffinose, stachiose, lactose, maltose, and their combinations, and various molasses.

[0092] In other embodiments, this process further comprises reacting the fatty anhydride with amine groups of the amine-silica particles so as to covalently bond aliphatic fatty acid  
35 molecules to the amine-silica particles.

[0093] In accordance with this process of the present invention, the amine-silica particles can be of various sizes, including, without limitation, single sized, dual sized, or multiple sized (i.e., more than two different sizes) amine-silica particles. The amine-silica particles can be selected from sizes including, without limitation, 200-300 nanometers (nm), 300-400 nm, 5 400-500 nm, 500-1000 nm, and 500-1500 nm.

[0094] In certain embodiments of this process, heat is applied during the grafting step to facilitate the reaction between the fatty anhydride and the cellulose fibers. When heat is used in accordance with this process, the heat is applied at a temperature of between about 40°C and about 220°C.

10 [0095] In accordance with this process, the aliphatic fatty acid molecules can have fatty chains of uniform or different lengths. The length of the fatty chains can be between about 3 and about 38 carbon atoms. Without meaning to limit the present invention, a preferred range of carbon atoms of the fatty chains described herein can be from 6 to 18 carbons. The fatty anhydride may be prepared by reacting acetic anhydride with a fatty acid having a saturated or 15 unsaturated aliphatic chain of between about 4 and about 38 carbon atoms.

[0096] This process is effective to produce a modified cellulosic surface having a significantly lower surface energy value than that of the non-modified cellulosic surface. Further, this process is effective to produce a modified cellulosic surface having a significantly higher water contact angle than that of the non-modified cellulosic surface. More particularly, 20 the water contact angle of the modified cellulosic surface is between about 90° and about 150°, which corresponds to a modified cellulosic surface that is considered to be hydrophobic. In other embodiments, the water contact angle of the modified cellulosic surface is greater than 150°, which corresponds to a modified cellulosic surface that is considered to be superhydrophobic. The modified cellulosic surface produced by the process of the present 25 invention has increased hydrophobicity durability than that of the non-modified cellulosic surface. In accordance with the present invention, durability can be measured by a laundering durability test such as the American Association of Textile Chemists and Colorists (AATCC) test method 61-2003, which is known in the relevant art.

[0097] In accordance with this process of the present invention, the cellulosic surface 30 can be in a form selected from the group consisting of fabric (woven, knitted, or nonwoven), yarn, fibers, filaments, microfibrils, and nanofibers.

[0098] In accordance with this process of the present invention, the cellulosic surface can comprise cellulose fibers from a source that includes, without limitation, cotton, rayon, viscose rayon, liquid crystalline cellulose, lyocell fibers (e.g., TENCEL®), bacterial cellulose,

jute, kenaf, ramie, sisal, flax, pineapple, banana, henequen, curaua, bagasse, bamboo, hemp, and fibrils derived from them.

[0099] The present invention also relates to a modified cellulosic surface having increased hydrophobicity and increased surface roughness, where the modified cellulosic surface is produced by the process described above. The present invention further relates to a product comprising the modified cellulosic surface produced by the process described above.

[00100] As provided herein, in accordance with particular embodiments of the present invention, several green or greener methods to obtain water repellent (hydrophobic) cotton fabrics have been developed. While a range of hydrophobicity levels can be obtained in accordance with the processes of the present invention, some methods can produce superhydrophobic cotton fabrics with water contact angles of over 150°C. Such fabrics were characterized for their contact angle with water. The fabrics were also characterized to see their hydrophobic characteristic retention after several laundry cycles.

[00101] In one such method of the present invention, aliphatic fatty acid chains were grafted onto cotton (cellulose) fiber surface to decrease the surface energy by using the alkyl chains of the fatty acids. Acetic anhydride was used to facilitate the reactivity. Microwave heating, an energy efficient method, was used to reach the reaction temperature. In certain embodiments, the 'green' method described herein resulted in hydrophobic cotton fabric with a water contact angle of at least 137.48° (±2.79). In addition, in certain embodiments, it was shown that the hydrophobicity lasted for 37 cycles of laboratory laundry washes (equivalent to over 185 regular washes).

[00102] In another such method of the present invention, amine-silica submicron and nanoparticles were used to increase the surface roughness of cotton fabric. Methods for physically depositing single or dual size particles as well as chemically attaching the particles to cotton fiber surface have been developed and characterized. In accordance with the present invention, cotton fabric with physically deposited particles could be chemically crosslinked to obtain desired and possibly 'permanent' surface topography. Different 'green' crosslinkers (BTCA and oxidized sucrose) were used to attach the particles permanently. In certain embodiments, the laundry durability of final products were tested and found satisfactory in most cases. Resulting cotton fabrics were treated by fatty acid hydrophobic treatment (described in the earlier paragraph). Water contact angles of such fabrics were as high as 153.41° (±2.33). As described herein, these fabrics with water contact angles of greater than 150° are considered to be superhydrophobic. Resulting crosslinked and hydrophobic cotton fabric allowed up to 24 cycles of laboratory laundering (over 120 regular washes) without the loss of hydrophobicity.

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## EXAMPLES

[00103] The following examples are intended to illustrate particular embodiments of the present invention, but are by no means intended to limit the scope of the present invention.

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### Example 1

#### **'GREEN' TECHNOLOGY FOR WATER REPELLENT (HYDROPHOBIC) COTTON FIBERS, FABRICS AND APPAREL**

[00104] This example of the present invention discusses 'Green' methods to obtain water repellent or hydrophobic cotton fabrics. The same methods can be used for other cellulosic fibers (e.g., viscose, Tencel<sup>®</sup>, ramie, jute, kenaf, sisal, flax, linen, etc.) and yarns, fabrics and apparel made using any of these fibers. These methods are described in more detail below.

#### **Pretreatment of cotton fabric**

15 [00105] Desized, scoured and bleached woven cotton fabric was used as raw material for treatment in this study. Fabric was cut into 10 cm × 10 cm size and purified by 300 ml ethanol solution (ethanol to water volume ratio = 8:2) in 500 mL Erlenmeyer flask at 50°C for 20 min. The purpose of this pretreatment was to partially remove any remaining ash, hemicellulose and lignin and obtain as high cellulose content as possible.

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#### **Preparation of fatty anhydrides**

[00106] A mixture of 26 g heptanoic acid and 20 g acetic anhydride were introduced in a petri dish. After evenly mixing these, petri dish was covered and placed into a microwave oven (900W). The mixture was microwave heated at different power levels and for desired durations. Every time after the reaction was completed, the petri dish was taken out from the microwave oven and both the chemical mixture and the microwave oven were allowed to cool to room temperature.

[00107] Once the fatty acid with a melting point higher than room temperature was used, e.g., dodecanoic acid (melting point: 43.2°C), the fatty acid was first completely melted by heating approximately 5 degrees above its melting point. After that acetic anhydride was added and stirred for 5 min to form a uniform mixture. Figure 2 shows the reaction scheme for fatty anhydride preparation.

#### **Hydrophobic treatment of cotton fabric**

35 [00108] Purified cotton fabric was put into the petri dish containing as-prepared fatty-acetic anhydride solution, making sure that the fabric is completely immersed in reaction

mixture. Petri dish was then covered and placed into the microwave oven; the mixture was heated at different predetermined power levels and for different durations. During the microwave heating, the chemical reaction illustrated in Figure 3 was expected to take place, where fatty anhydride will react with the hydroxyl groups on cellulose backbone. An ester bond will be formed with fatty acid as byproduct. Every time after the reaction was completed, the petri dish was removed from the microwave oven and the microwave oven was cooled to room temperature. The treated fabric was purified by Soxhlet extraction with ethanol to remove unreacted chemical residues for at least 12 hrs. The treated and purified fabric was dried in oven at 60°C overnight.

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### **ATR-FTIR spectroscopy**

[00109] Attenuated total reflectance-Fourier transform infrared spectroscopy (ATR-FTIR), the most straight forward technique, was used to confirm the successful esterification between cellulose and fatty acid. The ester carbonyl has stretch band around 1745  $\text{cm}^{-1}$ . Besides the obvious carbonyl band, the peaks induced by long alkyl chain can be identified as well. For example, peak around 2950  $\text{cm}^{-1}$  is assigned to  $\text{CH}_2$  anti-symmetric stretch, 2880  $\text{cm}^{-1}$  is assigned to  $\text{CH}_2$  symmetric stretch, and 1280  $\text{cm}^{-1}$  is assigned to CH deformation.<sup>12</sup> While ATR-FTIR spectra with these peaks present can be used to confirm the successful grafting of acyl chain, this technique is qualitative, and cannot be used to quantitatively determine the amount of acyl chains present on each anhydroglucose unit.

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[00110] In this study all specimens were characterized using an FTIR spectrophotometer (Nicolet Magna-IR 560, Thermo Scientific, Waltham, MA). ATR-FTIR spectra were taken in the range of 4000-550  $\text{cm}^{-1}$  wavenumbers using a split pea accessory. The spectra were recorded as an average of 64 scans obtained at a resolution of 4  $\text{cm}^{-1}$ .

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### **Laundering durability test**

[00111] Laundering durability evaluation was carried out in a modified version according to the American Association of Textile Chemists and Colorists (AATCC) Test Method 61-2003. The test was performed using a 500 mL flask containing 150 mL aqueous solution of Tide<sup>®</sup> laundry detergent (0.15%, w/w) and 50 stainless steel balls (diameter = 6 mm), the test was performed at 49°C and 40 rpm for 45 min. The size of the fabric specimens was 5 cm × 5 cm for the test [6]. Each laundry cycle performed here is similar to that occurring in 5 hand or home launderings.

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**Tracking fatty anhydride preparation by ATR-FTIR**

[00112] Figure 4a shows the ATR-FTIR spectrum of pure heptanoic acid. Absorption peaks around  $2800\text{ cm}^{-1}$  are assigned to  $-\text{CH}_2$  and  $-\text{CH}_3$  groups in its long fatty alkyl chain, peak at  $1706.6\text{ cm}^{-1}$  is assigned to its carboxyl groups. Figure 4b shows the ATR-FTIR spectrum of pure acetic anhydride. The two peaks for pure acetic anhydride at  $1752.9\text{ cm}^{-1}$  and  $1826.3\text{ cm}^{-1}$  are assigned to stretching vibrations of aldehyde carbonyl groups. Figure 4c shows the ATR-FTIR spectrum of equal moles mixture of heptanoic acid and acetic anhydride before microwave heating. All peaks assigned to both heptanoic acid and acetic anhydride can be seen in this.

[00113] The High performance liquid chromatography (HPLC) analysis showed that at the end of the reaction, heptanoic acid was mostly consumed or gone and heptanoic-acetic anhydride and heptanoic anhydride remained. Same conclusion can be made based on ATR-FTIR analysis discussed above.

[00114] Figure 5a shows ATR-FTIR spectra of as-mixed equal moles of acetic anhydride and heptanoic acid (same as shown in Figure 4c). Figure 5b shows ATR-FTIR spectra of reaction mixture after microwave heating at 100% power for 8 min, the intensity of the peak at  $1706.6\text{ cm}^{-1}$  was significantly reduced. Since this peak is assigned to carboxyl group, the reduced peak intensity means a massive reduction in the quantity of heptanoic acid in the mixture. With further microwave heating to 15 min, as shown in Figure 5c, the carboxyl peak at  $1706.6\text{ cm}^{-1}$  disappeared from the spectra, indicating the complete absence of the acid group. However, the intensity of two aldehyde peaks ( $1752.9\text{ cm}^{-1}$  and  $1826.3\text{ cm}^{-1}$ ) remained unchanged. Based on Figure 5c, we can conclude the formation of a new compound which contains alkyl chain and anhydride carbonyl group, in this case, heptanoic-acetic anhydride and heptanoic anhydride. However, from the ATR-FTIR spectra it is difficult to distinguish between heptanoic-acetic anhydride and heptanoic anhydride. As a result, end point of the reaction can only conclude complete consumption of heptanoic acid and formation of anhydride.

**ATR-FTIR analysis of modified cotton fabric**

[00115] ATR-FTIR was used to determine the ester formation and qualitatively compare the amount of ester group presented in cotton fabric treated to obtain hydrophobic characteristic. Figure 6 shows ATR-FTIR spectra of cotton fabrics with different modification conditions (microwave heating times). When 100% power level of the microwave was used, increase in heating time from 3 min to 15 min resulted in larger peak intensity at  $1728\text{ cm}^{-1}$ , indicating larger amount of fatty acyl groups grafted onto cellulose.

[00116] After 3 min heating at 100% power, the resulting cotton fabric shows no difference with pristine cotton fabric, which implies that there is only trace amount of fatty acyl

groups were grafted or the amount is under the sensitivity of ATR-FTIR. However, spectra for cotton fabric after 10 min microwave heating shows new emerged peak at  $1728\text{ cm}^{-1}$ . The cotton fabric with further heating time to 15 min shows an even stronger peak than one obtained for 10 min treatment.

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#### **Effect of different modification conditions on contact angle**

[00117] Hydrophobicity of the modified cotton fabric was evaluated by measuring contact angle with water. The pristine (unmodified) cotton fabric has a water contact angle of  $0^\circ$  since it spreads and/or absorbs water drop immediately. Figure 7A shows that with 10 min of microwave heating a higher power level resulted in a higher contact angle. For example, at 30% power level, the corresponding contact angle was  $123.7^\circ$  and when the power level was increased to 100%, the corresponding contact angle reached  $135.51^\circ$ , an increase of about  $12^\circ$ .

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[00118] Similar method was used to evaluate the effect of microwave treatment time. The corresponding water contact angle was shown in Figure 7B. When 100% power level was maintained, 3 min microwave treatment resulted in a hydrophobic cotton fabric with  $125.64^\circ$  of contact angle. The contact angle increased with longer treatment time. Contact angle reached  $137.48$  when 15 min of heating time was used.

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[00119] Based on the results from Figure 7, water contact angle shows a positive correlation with both power level and heating time. If the contact angle is solely considered, one can assume that the value can increase further with a longer microwave treatment time.

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However, it's true with a certain limitation. Microwave treatment is well known for its high energy efficiency for generating high temperature in a short time. However, Figure 5 reveals that the reaction temperature has perhaps gone beyond the boiling point of heptanoic acid ( $223^\circ\text{C}$ ). At such high temperature, the degradation of cellulose and loss of reactant due to evaporation can be significant.

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[00120] The effect of fatty chain length on contact angle was tested by using fatty acids with different chain length. Microwave treatment condition was set at 100% power level for 15 min. Fatty acids selected for this study were heptanoic acid, octanoic acid, dodecanoic acid and stearic acid with carbon atoms in the alkyl chain of 7, 8, 12 and 18, respectively. It is expected that fatty acid with a longer alkyl chain will have a lower surface energy. By grafting fatty acyl groups on the surface of cotton, its surface energy (or surface tension) can be significantly reduced. For similar surface roughness, the surface energy has negative relationship with contact angle. Contact angle values for these fatty acids grafted onto cotton fabrics are listed in Table 1. The contact angle value only shows a  $3.3^\circ$  difference between them. This is probably due to the fact that only a small quantity of fatty acyl groups were grafted onto the cotton surface. As a

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result, the difference in surface energy and the contact angle is not significant. However, the data suggest that there may be a positive correlation between the chain length and the contact angle. Figure 8 shows the histogram for the data listed in Table 1.

[00121] Unpaired *t*-test was used to determine if the contact angle values are significantly different. Results shown that at 95% confidence interval, the two-tailed P value equals 0.1887, which means there is no statistically significant between heptanoic acid and stearic acid treatment.

**Table 1:** Effect of fatty chain length grafted onto cotton on contact angle

Specimens	Number of Carbon	Contact angle (°)	
		Mean	St. Dev.
heptanoic acid	7	135.51	1.19
octanoic acid	8	135.42	2.31
dodecanoic acid	12	136.26	2.71
stearic acid	18	138.87	3.48

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### Mechanical property analysis

[00122] As mentioned previously, the high temperature generated by microwave treatment can cause cellulose degradation. To study this, tensile properties of the cotton yarns, both warp and weft, were studied for different treatment conditions. The results of the tensile tests are shown in Table 2. Pristine (untreated) cotton yarn showed a tensile stress at break of 733.43 MPa for warp and 630.15 MPa for weft. With 10 min microwave treatment but power levels ranging from 30% to 100%, tensile stress at break underwent a gradual decrease, confirming the cotton fiber degradation. For 100% power level and 10 min microwave treatment was applied, the warp retained 80.3% of its original strength whereas for weft it was 73.2%.

[00123] For 100% power level of microwave heating with times ranging from 3 to 15 min, tensile stress at break initially underwent a gradual decrease. However, after 15 min treatment, a huge drop was observed. For cotton yarn exposed to microwave treatment at 100% power level for 15 min, only 52.1% strength was retained for warp and 49.60% for weft. These results imply that between treatment times of 10 to 15 min, reaction temperature reaches a high point causing serious degradation of cellulose. Figures 9A and 9B show the histograms of tensile test data shown in Table 3 for easy comparison.

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**Table 2:** Tensile Test Results for Cotton Yarns

Specimens	Tensile Stress at Break (MPa)					
	Warp			Weft		
	Average	St. Dev.	% Retained	Average	St. Dev.	% Retained
pristine cotton	733.43	49.24		630.15	37.88	
30% Power/10 min	729.39	59.95	99.45	601.7	56.15	95.88
60% Power/10 min	664.52	52.90	90.60	598.34	55.49	94.95
80% Power/10 min	649.98	35.42	88.62	545.37	42.34	86.55
100% Power/10 min	589.21	47.37	80.34	461.25	39.90	73.20
100% Power/3 min	692.32	43.96	94.39	611.74	59.26	97.08
100% Power/6 min	671.69	55.24	91.58	581.66	50.04	92.31
100%Power/15 min	381.93	16.25	52.07	312.53	12.77	49.60

**Laundry durability test**

[00124] With chemically grafted fatty acyl chain, durability of the hydrophobic characteristic of the cotton fabric can be expected to be longer than any other physical coating methods. In this study Tide® detergent was used to evaluate the laundry durability. The fabric was passed through several laundry cycles. Fabrics treated at higher microwave power level showed that more laundry cycles were needed before the fabric became hydrophilic. The laundry durability test results are shown in Table 3. When same 10 min treatment was applied, 30% microwave power level only retained hydrophobicity for 3 laundry cycles and the number increased significantly to 31, when 100% power level was applied. Same phenomenon was observed in the case of 100% microwave power level but different treatment times. Treatment for 3 min again retained hydrophobicity for 3 cycles of laundry, number increased to 35.7, when 15 min of heating time was used.

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**Table 3:** Laundry durability test

Specimens	Cycle of laundry test			Average	St. Dev.
30% Power/10 min	3	3	3	3	0
60% Power/10 min	10	12	12	11.3	1.15
80% Power/10 min	23	22	24	23	1
100% Power/10 min	31	29	33	31	2
100% Power/3 min	2	3	3	2.67	0.57
100% Power/6 min	17	15	17	16.3	1.15
100%Power/15 min	35	35	37	35.7	1.15

[00125] Figure 10 shows the change in water contact angle as a function of laundry cycles. Contact angle values decrease gradually with laundry cycles. When contact angle approached 105°, the cotton fabric could no longer retain water droplet for 10 seconds, the water droplet was absorbed by cotton fabric. As a result, the fabric was classified as non-hydrophobic. The decrease in contact angle can be explained by the hydrolysis of ester group with the present of detergent.

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### Theory of superhydrophobicity

[00126] From thermodynamics point of view, the contact angle on a flat surface is determined by interfacial surface tension of solid, liquid and vapor phases. It can be calculated  
5 by Young's equation:

$$\cos \theta = \frac{\gamma_{sv} - \gamma_{sl}}{\gamma_{lv}} \quad (1)$$

where,  $\theta$  is the apparent contact angle on a flat surface,  $\gamma_{sv}$ ,  $\gamma_{sl}$  and  $\gamma_{lv}$  stand for the interfacial surface tension of solid-vapor, solid-liquid and liquid-vapor, respectively [7].

10 [00127] By definition, superhydrophobicity refers to a surface with static water contact angle larger than  $150^\circ$  as well as low water contact angle hysteresis (usually lower than  $10^\circ$ ) [8]. It's widely accepted that surface wetting property is govern by two key parameters, surface morphology and surface energy [9]. With a fixed surface morphology, lower surface energy will always lead to a higher contact angle. Also, by altering the surface morphology for a specific  
15 surface energy material, the contact angle will change based on roughness.

[00128] It's worth to mention that a complete flat surface with lowest known surface energy,  $6.7 \text{ mJ/m}^2$ , can only achieve water contact angle of  $119^\circ$  [10]. With the limited improvement of hydrophobicity by reducing surface energy of materials, significant efforts have been made to tailor the surface morphology. Several comprehensive reviews are available for  
20 this subject, [7, 9] they summarizes different strategies to fabricate superhydrophobic surface with hierarchical structure, especially micro and nanometer scale roughness.

[00129] Two theoretical models have been widely accepted to explain the superhydrophobic phenomenon, they are Wenzel model [11] and Cassie-Baxter model [12]. Based on Wenzel model, the liquid follows the contours of a rough surface as shown in Figure  
25 11A. The contact angle on this type of surface can be calculated by Wenzel's equation [11]:

$$\cos \theta^W = r \cos \theta \quad (2)$$

where,  $r$  is roughness factor for solid phase, it represents the ratio of the actual surface area to its horizontal projection ( $r$  should always be larger than 1).  $\theta^W$  is the contact angle under Wenzel state,  $\theta$  is the apparent contact angle on a flat surface of that same material.

30 [00130] Based on this model, a hydrophobic surface should have  $\theta^W > \theta > 90^\circ$ , and for a hydrophilic surface,  $\theta^W < \theta < 90^\circ$ . In other word, based on the nature of material, hydrophobicity or hydrophilicity can be enhanced with increase of surface roughness. Moreover, due to the strong adhesive force between water and solid, a water droplet in Wenzel state is described as "sticky" [7].

[00131] However, Cassie-Baxter model suggests that water will only contact with the top of asperities, there will be air trapped between water droplet and solid phase, as shown in Figure 11B. The Cassie-Baxter's contact angle can be calculated as following [12]:

$$\cos \theta^C = f \cos \theta - (1-f) \quad (3)$$

5 where,  $f$  is the fraction of the solid/liquid interphase and  $(1-f)$  is the fraction of the vapor/liquid interface.  $\theta^C$  is the contact angle under Cassie-Baxter state,  $\theta$  is the apparent contact angle on a flat surface of that same material.

[00132] Under Cassie-Baxter state, liquid has less contact area with solid phase than Wenzel state, which means a weaker adhesion between them. Thus, a water droplet under  
10 Cassie-Baxter state is often described as “non-sticky” and it will fall off from the solid surface with a slight inclination [7].

[00133] Researchers further developed these two models by introducing critical angle,  $\theta_c$ , for transition from Wenzel to Cassie-Baxter state,  $\theta_c$  can be calculated by following equation:

$$\cos \theta_c = \frac{f-1}{r-f} \quad (4)$$

15 [00134] A contact angle larger than  $\theta_c$  meaning the liquid droplet is in Wenzel state; otherwise, the liquid droplet will be in Cassie-Baxter state [7, 13].

#### **Preparation of amine-silica particles**

[00135] Amine-silica nanoparticles were prepared by modified Stöber method [14],  
20 procedures are described as following: 50 mL of absolute ethanol, 1 mL of water and 1 mL of  $\text{NH}_4\text{OH}$  (conc. 28%) were mixed together in 125 mL Erlenmeyer flask; mixture was stirred for 5 min to form a uniform solution. Then 4 mL TEOS was quickly added into solution with stir speed at 500 RPM at room temperature. After 30 min, different predetermined amounts of (3-Aminopropyl) triethoxysilane (APTES) were added into each solution. Same stirring speed was  
25 maintained and reaction was kept going for 12 hr at room temperature. Amine-silica particles are purified by several cycles of centrifuging and dispersing in ethanol until supernatant didn't show purple color when heated together with ninhydrin.

#### **Deposition of amine-silica particles onto cotton fabric**

30 [00136] Several strategies were used to deposit amine-silica particles onto cotton fiber surface.

[00137] **Physical deposition method** involves physical deposition of amine-silica particles onto the cotton fiber surface. Amine-silica particle dispersions with desired concentrations were prepared. Absolute ethanol was used as solvent. At least 1 hr of  
35 ultrasonication was performed to uniformly disperse the particles. Ethanol washed cotton fabric

was cut into 5 × 5 cm specimens and immersed in amine-silica particle dispersion for desired length of time (30 min). Fabric was dried in an air circulating oven at 130°C for 30 min.

[00138] **Covalent bonding method** involves covalent bonding amine-silica particles onto cotton fiber surface. Cotton fabric was first treated with 1,2,3,4-Butanetetracarboxylic acid (BTCA). BTCA treated cotton with free carboxyl group on surface can be further reacted with amine-silica particles to achieve covalent bonding. The detailed BTCA treatment was described as follows:

[00139] A 50 mL solution contains 10% (w/v) BTCA and 5% (w/v) sodium hypophosphite (SHP), as catalyst, was prepared. Ethanol washed cotton fabric was cut into 5 × 5 cm specimens and immersed into BTCA solution at 50°C with stir speed at 80 revolutions per minute (RPM). After 10 min, the fabric was squeezed to maintain a reactant uptake around 100%, and then fabric was dried at 130°C for 30 min. During this process, crosslinking in the bulk of cotton fabric was taken place. The fabric was then immersed in BTCA solution once more to allow more BTCA to penetrate into fabric structure. Same process as previously described was used. Finally, the cotton fabric was cured at 130°C for 30 min again, to allow the reaction to be completed. BTCA treated cotton fabric was extensively washed with tap water to remove any residual chemicals, and dried in an air circulating oven.

[00140] Next step involving activation of carboxyl group by ethyl (dimethylaminopropyl) carbodiimide/ *N*-Hydroxysuccinimide (EDC/NHS) coupling reaction. Fifty (50) mL 10 mM MES buffer solution contains 0.1 mM EDC and 0.4 mM NHS was prepared.

[00141] After that, the carboxyl group-activated cotton fabric was transferred into dispersed amine-silica NPs dispersion with desired concentration for 3 hr to allow complete reaction. Resulting cotton fabric was washed with tap water to remove any chemical residuals, and again, dried in an oven.

[00142] **Crosslinking method** also involves covalently bond amine-silica particles onto the surface of cotton fabric. Cotton fabric was first immersed in well dispersed amine-silica NPs solution with desired concentration. Mixture was stirred for 30 min to allow amine-silica particles to be physical adsorbed onto cotton surface. Cotton fabric was then dried in oven at 130°C for 30 min followed by BTCA treatment. Same procedures were used as described in “**Covalent bonding method**”. During the finally curing step, crosslinking reaction was expected to happen within cellulose, between top layer of cellulose and amine-silica particles, as well as within agglomerated amine-silica particles. Resulting cotton fabric was washed with tape water to remove any chemical residuals, and again, dried by oven.

[00143] **Green crosslinking method** has similar procedures described above for the “**Crosslinking method**” except that different crosslinking agents were used. Dastidar and

Netravali [15] reported that oxidized sugar can be potentially used as a green crosslinker. After further investigation, following method was developed to prepare green crosslinker:

[00144] Twenty (20) g of sucrose (or glycerol) was added into 150 mL distilled water in a 500-mL flask and stirred for 5 min to completely dissolve the sucrose. Then 50 mL of hydrogen peroxide (30%) was added to the solution, which made the final hydrogen peroxide concentration to be 7.5%. The oxidation reaction was performed at 60°C for 12 hr. These conditions may be varied depending on the needs (reaction time can range from 30 min to 24 hrs, and temperature can range from 25°C to 90°C). The oxidized sucrose was dried in oven at 50°C for at least 2 days to obtain highly viscous liquid or even solid. In order to remove any residual hydrogen peroxide, oxidized sucrose can be re-dissolved and dried one or more times.

[00145] Upon oxidation, the primary hydroxyl groups in sucrose will be oxidized into aldehyde or carboxyl groups. The oxidized sucrose that has two or more aldehyde groups can be used as a crosslinker. Green crosslinker was expected to crosslinking amine-silica particles as well as covalently bond particles onto cotton surface. Similar procedures were used as BTCA treatment by replacing BTCA by the green crosslinker.

#### **Scanning electron microscopy**

[00146] Scanning electron microscope (SEM, Tescan-Mira3-FESEM) was used to observe the surface morphology of fibers. Specimens were oven dried and sputter coated with gold. Images were captured at an accelerating voltage of 5 kV.

#### **Ninhydrin test for amine content determination**

[00147] A 0.35% (w/v) ninhydrin solution in absolute ethanol was freshly prepared. APTES solutions with different concentration of were used to build calibration curve. Amine-silica specimen was dried at 120°C for 4–6 h, and then 0.2 g of specimen was placed in a capped vial containing 4 mL of absolute ethanol. The mixture was placed in a bath ultrasonicator for 30 min. Then, 1 mL of ninhydrin solution was added to the vial containing the sample and it was ultrasonicated for 10 more minutes. The ninhydrin-specimen dispersion was then placed in a water bath at 65°C for 30 min. The sample was centrifuged at 10000 RPM for 15 min.

Approximately 1 mL of supernatant was pipetted out and the absorbance at 568.11 nm was measured in an Agilent 8453 spectrophotometer. Measurements were repeated three times, average number was used to construct the calibration curve.

**Preparation of amine-silica submicron and nanoparticles**

[00148] Amine-silica particles were prepared based on Stöber method [14]. By changing reactant ratio, amine-silica particles with different sizes were obtained. Figures 12A and 12B show SEM images of amine-silica particles. Average diameter of particles was calculated by randomly measuring 50 particles. Results showed that the larger (submicron) particles have an average diameter of 458 nm and smaller (nanoparticles) ones have 107 nm. SEM images revealed that the particles had spherical morphology.

[00149] **Recipes** for the preparation of two amine-silica particles are summarized below:

(1) 107 nm amine-silica particles:  
Step 1: mix 1 mL NH<sub>4</sub>OH, 1 mL H<sub>2</sub>O and 50 mL ethanol.  
Step 2: add 4 mL TEOS.  
Step 3: after 30 min stirring, add 3 mL APTES. Keep stirring for 12 hr.  
Step 5: Purification.

(2) 458 nm amine-silica particles:  
Step 1: mix 4 mL NH<sub>4</sub>OH, 2 mL H<sub>2</sub>O and 50 mL ethanol.  
Step 2: add 4 mL TEOS.  
Step 3: after 30 min stirring, add 3 mL APTES. Keep stirring for 12 hr.  
Step 5: Purification.

[00150] ATR-FTIR technique was used to measure the amine-silica particles powder. The obtained ATR-FTIR spectra of amine-silica particles and pure APTES were illustrated in Figure 13. APTES has one primary amine group in its chemical structure, the peak at 1552 cm<sup>-1</sup> is assigned to the primary amine group and it can be seen from ATR-FTIR spectra of pure APTES. However, the amine group peak at 1552 cm<sup>-1</sup> was not detected in the case of amine-silica particle [16]. It's not clear why the amine group didn't appear on ATR-FTIR spectra. A possible explanation is that the amine group content is below the detection limit of ATR-FTIR spectroscopy.

[00151] Ninhydrin test was also used to detect the amine group, ninhydrin can readily react with primary and secondary amines, and the resulting compound produces a purple color.

With the help of UV-vis spectroscopy the amount of amine group can be quantitatively determined. Figure 14 shows the reaction mechanism between ninhydrin and amine-silica particles.

[00152] Calibration curve was constructed with different known concentrations of APTES solutions, and followed by ninhydrin reaction. APTES was used because it contains one primary amine group, and it's also a raw material for amine-silica particle synthesis. Higher concentration of APTES resulted in a solution with deeper purple color. Figure 15 shows the color difference in ninhydrin reacted amine-silica particle specimens ready for UV-vis spectroscopy measurement.

[00153] Figure 16 shows the UV-vis spectra of previously mentioned specimens, the corresponding absorbance value at 568.11 nm was reported in Table 4. Based on the results in Table 4, calibration curve as shown in Figure 17 was constructed, the UV-vis absorbance (y) and APTES concentration (x) has a linear relationship:

$$y = 0.2756x + 0.049 \quad (5)$$

**Table 4:** Absorbance values from UV-vis spectroscopy measurement.

Specimens	Absorbance at 568.11 nm
1.07 mM APTES solution	0.084789
2.14 mM APTES solution	0.52435
4.27 mM APTES solution	1.1954

[00154] With the help of the calibration curve, amine group concentration for amine-silica particles with different preparation methods can be calculated. Three different amine-silica particles were prepared. Their preparation procedures are summarized below:

Step 1: mix 1 mL of  $\text{NH}_4\text{OH}$ , 1 mL of  $\text{H}_2\text{O}$  and 50 mL of ethanol.

Step 2: add 4 mL of TEOS.

Step 3: after 30 min stirring, add 0.2 mL of APTES (specimen: ASP#1) or 1 mL of APTES (specimen: ASP#2) or 3 mL of APTES (specimen: ASP#3).

Step 4: add 4 mL of TEOS, keep stirring for 12 hr.

Step 5: Purification.

[00155] Since the only difference in the preparation methods was the amount of APTES added, the resulting specimens have similar properties except the surface amine group content. Figure 18 shows the UV-vis spectra of those specimens, the corresponding absorbance values were recorded. Amine group concentration of the specimens can be calculated by Equation 8, the results are shown in Table 5. Specimen ASP#2 had 1 g of APTES added during the preparation step, 5 times more than ASP#1, surprisingly, amine group content in ASP#2 is 13 times more than ASP#1. However, with further increase of APTES amount to 3 g for ASP#3, about 2 times more amine group content was observed compared with ASP#2. Based on this result, it can be expected that amine group concentration can be further increased. Presence of more amine groups on the particle surface is highly preferred, since it means a higher chance for the particles to be bonded onto cotton surface.

**Table 5:** Quantitative determination of amine concentration on silica particle surface

Specimens	Absorption (A)	Amine group conc. (mM)
ASP#1	0.084789	0.130
ASP#2	0.52435	1.725
ASP#3	1.1954	160

**Cotton fabrics treated with single size particles**

[00156] Single size amine-silica particle (nanoparticle: 107 nm) dispersions with different concentrations, 0.5%, 0.1% and 0.02% (w/v), were prepared. Physical deposited cotton fabric by different particle concentrations were prepared as described in “Physical deposition method” herein.

[00157] SEM images of final treated cotton fabrics are shown in Figures 19A-19D. As shown in all images, particles are not evenly distributed on the fiber surface, and their agglomeration forming different sizes can be detected. These agglomerations were expected to further increases the surface roughness, since the uniformly distributed layer of particles will result in a flattened surface. As mentioned by Bellanger *et al.*, a rough surface is always preferred for hydrophobicity [8].

[00158] In addition, particle solution with higher concentration gives more particles on the fiber surfaces. There is a noticeable difference by comparing Figures 19B, 19C and 19D, where the corresponding amine-silica particle solution concentrations were 0.5%, 0.1% and 0.02%.

**Ultrasonication of cotton fabric with physically deposited single size particles**

[00159] Specimen as illustrated in Figure 20A was used to measure the effects of ultrasonication on removal of physical adsorbed particles. Cotton fabric with physically deposited particles was immersed in water for ultrasonication. Ultrasonication will cause physically deposited particles to vibrate, and the loosened particles eventually leave the fiber surface and diffuse into water phase. SEM images were taken after different ultrasonication durations to obtain corresponding fiber surface topographical images.

[00160] Figure 20 shows SEM images of cotton fabric with physically deposited particles with different ultrasonication durations. There is no significant difference by comparing SEM images of before ultrasonication specimen (Figure 20A and Figure 20B) and after 2 min of ultrasonication treatment (Figure 20C and Figure 20D). After 5 min of ultrasonication (Figure 20E and Figure 20F), the amount of large agglomerations had a noticeable decrease. However, smaller agglomerations were still retained on surface. After 10 min of ultrasonication, large

agglomerations were completely removed, with one layer of small particles evenly distributed on cotton surface as shown in Figure 20G and Figure 20H.

[00161] As a result, ultrasonication was proved to remove majority physically deposited particles from cotton surface, and larger agglomerations are more likely to be removed than  
5 smaller agglomerations.

#### **Hydrophobic treatment for cotton fabric with physically deposited particles**

[00162] Cotton fabric with physically deposited amine-silica particles increased the surface roughness, however, in order to obtain hydrophobicity, cotton fabric still need to  
10 undergo hydrophobic treatment. Particles deposited cotton fabric was immersed in fatty anhydride solution, the mixture was heated at 100% microwave power for 10 min. During the treatment, fatty anhydride will react with both cellulose and amine-silica particles. Fatty anhydride reacts with hydroxyl groups on cellulose to form ester bond, and reacts with amine groups on amine-silica particle to form amide bond.

[00163] SEM analysis was conducted to study the influence of hydrophobic treatment on the surface morphology of the cotton fabric. Figures 21A-21B show SEM images of cotton fabric before and after the hydrophobic treatment. No significant difference was observed  
15 between these two images. Similar amount of both large and small agglomerations were seen on cotton fabric. From these images it may be concluded that the hydrophobic treatment does not influence the surface topography of physically deposited particles on cotton fabric.  
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[00164] Water contact angle of hydrophobic treated cotton fabrics were measured and the results are shown in Table 6. Hydrophobic cotton fabrics with deposited particles, all exhibit a higher water contact angle value than the control (hydrophobic treatment but with no particles deposited on the cotton fabric). In addition, with an increased concentration of amine-silica  
25 particle solution, more particles were deposited onto cotton surface, in other word, higher degree of surface roughness. As a result, fabric with higher concentration of amine-silica particle solution treatment leads to a higher water contact angle.

[00165] Cotton fabric treated in 0.02% amine-silica particle solution showed a 5.78° increase in water contact angle than control specimen. When 0.1% amine-silica particle solution  
30 was used, a 10.12° increase in water contact angle was obtained than control specimen. With further increase in amine-silica particle solution to 0.5%, a 12.37° increase in water contact angle was obtained than control specimen. Based on these results, it's expected that further increase in concentration of amine-silica particle solution will lead to a higher water contact angle. However, particle solution with high concentration will have difficulty for dispersion, and

a very high load of particles onto surface will cause the initially roughened surface to be flattened. Flattened surface is expected to have reduced hydrophobicity.

[00166] Unpaired *t*-test was used to determine if the contact angle values are significantly different from each other. Results shown that at 95% confidence interval, there is no statistically significant between control and cotton fabric treated in 0.02% amine-silica particle solution, the two-tailed P value equals 0.0935. In addition, at 95% confidence interval, difference is not considered to be statistically significant between cotton fabric treated in 0.02% amine-silica particle solution and the one treated in 0.5% amine-silica particle solution, this the two-tailed P value equals 0.5874.

**Table 6:** Water contact angle results of hydrophobic cotton fabric with physically deposited particles

Specimens	Water contact angle (°)	St. Dev.
Treated in 0.5% amine-silica particle solution	144.88	2.23
Treated in 0.1% amine-silica particle solution	143.63	2.92
Treated in 0.02% amine-silica particle solution	139.29	2.74
Control (no particle, hydrophobic treated)	135.51	1.19

#### Effect of BTCA treatment on cotton fabric

[00167] The purpose of BTCA treatment is to create pendent carboxyl groups on the surface of cotton fabric. Those carboxyl groups can be activated by EDC/NHS coupling reaction, then activated carboxyl groups can readily react with amine groups on the surface of amine-silica particle to form amide bond. As a result, amine-silica particles can be covalently bonded onto the surface of cotton fabric.

[00168] ATR-FTIR spectra of BTCA treated cotton fabric is shown in Figure 22. The newly appeared peak at 1720  $\text{cm}^{-1}$  was assigned to both ester carbonyl group and carboxyl group. It's impossible to distinguish ester carbonyl group peak from carboxyl group peak due to overlapping. It's worthy to mention that there is a minor peak appeared at 1564  $\text{cm}^{-1}$  [17, 18], which is assigned to carboxylate group. By immersing BTCA treated cotton fabric in 0.1 M NaOH solution, the free carboxyl groups will react with NaOH to form carboxylate. The ATR-FTIR spectra of 0.1 M NaOH solution treated cotton fabric is shown in Figure 23, the peak intensity at 1564  $\text{cm}^{-1}$  significantly increased indicated that BTCA treated cotton fabric has large amount of free carboxyl groups. Higher number of free carboxyl groups is always preferred in this situation, after activation by EDC/NHS coupling agent as higher number of free carboxyl groups will result in a higher probability of particle attachment.

**Effect of particle deposition on BTCA treated and activated cotton fabric**

[00169] BTCA treated cotton fabric has free carboxyl groups on the surface, and after EDC/NHS coupling reaction, those free carboxyl groups will be activated. Activated carboxyl groups will readily react with amine groups on amine-silica particles. Amine-silica particle solution with different concentrations, 0.5%, 0.1% and 0.02% (w/v), were prepared. BTCA treated cotton fabrics were immersed in particle solution with different concentrations. Room temperature stir of mixture for 3 hr was needed for reaction to reach completion.

[00170] SEM images of cotton fabrics treated with ‘covalently bonding method’ are shown in Figures 24A-24D. Similar surface morphology was obtained as compared with cotton fabric with ‘physical deposition method’. Agglomerations of different sizes evenly distributed on fiber surface can be seen from the images. Amine-silica particle solutions with three different concentrations gave considerably similar surface morphology. This may be due to the fact that covalently bonding method involves 3 hr immersion time in amine-silica particle solution, much longer than physical deposition method (30 min). As a result, 3 hr immersion time seems to provide sufficient time for particles to be adsorbed onto the surface.

[00171] The major drawback of ‘covalently bonding method’ is that only the bottom layer of amine-silica particles can be covalently bonded with fiber surface. Beside bottom layer, all other particles in the agglomerations stayed in their position by physical attraction.

**Hydrophobic treatment of cotton fabric with covalently bonded particles**

[00172] Cotton fabric with covalently bonded particles was further treated with fatty anhydride to obtain hydrophobicity. Condition was set to be 100% microwave power and 10 min heating. During the treatment, fatty anhydride reacted with hydroxyl groups from cellulose to form ester bond and with amine groups on amine-silica particles to form amide bond.

[00173] Water contact angle of hydrophobic treated cotton fabrics were measured, the results are shown in Table 7. Hydrophobic treated cotton fabrics with covalently bonded particles all exhibited higher water contact angle values than the control (no particles deposited). This can be explained by the increase of surface roughness induced by amine-silica particles.

[00174] Interestingly, Hydrophobic treated cotton fabrics with ‘covalently bonded particles’ showed higher water contact angle value than those treated with ‘physical deposition method’. By comparing SEM images obtained for those two methods, cotton fabrics treated with covalent bonding method showed a higher degree of roughness than those with physical deposition method. Covalent bonding method involves a longer particle deposition time to allow amide formation to be completed, also, it allowed more particles to be deposited on to fiber surface.

[00175] Cotton fabric treated in 0.02% amine-silica particle solution showed a 10.33 degree increase in water contact angle than control specimen. When 0.1% amine-silica particle solution was used, a 14.26 degree increase in water contact angle was obtained than control specimen. With further increase in amine-silica particle solution to 0.5%, a 13.31 degree increase in water contact angle was obtained than control specimen. Cotton fabric treated in 0.5% amine-silica particle solution showed a slightly lower water contact angle than fabric treated in 0.1% solution. However, unpaired *t*-test showed that at 95% confidence level, there is no statistically significance between water contact angle values obtained from 0.5% particle solution and 0.1% particle solution. The two-tailed P value was 0.2705.

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**Table 7:** Water contact angle results of hydrophobic cotton fabric with covalently bonded particles

Specimens	Water contact angle (°)	St. Dev.
Treated in 0.5% amine-silica particle solution	148.82	3.54
Treated in 0.1% amine-silica particle solution	149.77	4.57
Treated in 0.02% amine-silica particle solution	145.84	2.74
Control (no particle)	135.51	1.19

#### **Laundry durability test for hydrophobic cotton fabric with covalently bonded particles**

[00176] Hydrophobic cotton fabric with covalently bonded particles was washed with detergent to test its laundry durability. After 13 laundry cycles, cotton fabric absorbed water droplet within 10 second, meaning the hydrophobicity was lost due to laundry washing.

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[00177] SEM images of cotton fabrics with different laundry washing conditions were shown in Figures 25A-25C. Figure 25A was taken before laundry wash, Figure 25B was taken after 7 laundry cycles and Figure 25C was taken after 13 laundry cycles. No significant decrease in surface particle was observed by comparing Figure 25A and 25B. However, Figure 25C did show a significant decrease in surface particles. In addition, increasing in cotton fiber surface fibrillation was detected.

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[00178] Hydrophobic cotton fabric lost its water repellency after 13 wash cycles. This can be explained by the decrease in amount of silica particles made hydrophobic. During the laundry wash, top layer of hydrophobic silica particles will be washed away from fiber surface, because they are physically adsorbed. By removal of top layer of hydrophobic silica particles, inner layer of particles will be exposed to environment. Those inner layer particles may be hydrophilic, since they may not have chance to react with fatty anhydride. However, there was no scientific evidence generated to support this idea.

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[00179] Water contact angle of hydrophobic treated cotton fabric was measured during laundry durability test. Figure 26 shows the water contact angle after every two wash cycles. A

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constantly minor decrease in water contact angle was observed within 8 laundry cycles. Then, more significant decrease was appeared until cotton fabric became no longer hydrophobic. After 12 laundry cycles, water contact angle decreased to 97.4°, a decrease of about 50° compared to the initial hydrophobic cotton fabric.

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#### **Cotton fabric with dual-size particles treatment**

**[00180]** Previous described method involved the use of single size particles, only the smaller size amine-silica nanoparticles (107 nm) were used. In this section, both large and small size amine-silica particles (diameter size: 458 nm and 107 nm, respectively) were deposited onto cotton surface at the same time. 0.1 g of large particles and 0.1 g small particles were dispersed in 50 mL absolute ethanol. 1 hr of ultrasonication was used to form uniformly dispersed particle solution.

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**[00181]** Different strategies were used to deposit particles onto cotton surface, namely, physical deposition, crosslinking, and green crosslinking methods. Figure 27 shows SEM images of cotton fabric with physically deposited dual size particles. Both large and small amine-silica particles are seen to be deposited onto the surface of cotton fabric. While small nanoparticles are evenly distributed on fiber surface, large particles are not completely separated, in most cases, they rather form agglomerations.

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**[00182]** Ultrasonication was used to study the removal of dual size particles from cotton surface. SEM images of the specimen after 10 and 20 min ultrasonication treatment are shown in Figures 28A and 28B, respectively. Similar result was observed as single size particles. After 10 min of ultrasonication, majority of the particles are removed from fiber surface. With further increase in ultrasonication time to 20 min, all large size particles are removed. But one layer of small particles is still present on the fiber surface.

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**[00183]** Cotton fabric with dual size particles was also treated with two different crosslinkers, BTCA as well as a green crosslinker. During crosslinking reaction, both cotton and amine-silica particles will be crosslinked. In addition, crosslinkers act as a chemical bridge between cellulose and amine-silica particle through bond formation. BTCA will react with cellulose to form ester bond and react with amine groups on particle surface to form amide bond.

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**[00184]** Figure 29 shows the ATR-FTIR spectra of green crosslinker, oxidized sucrose. ATR-FTIR spectra of oxidized sucrose show a newly emerged peak at 1716 cm<sup>-1</sup> when compared with its non-oxidized form. Newly emerged peak is assigned to carbonyl group, which including aldehyde, ketone and carboxyl group. The desired oxidized form is aldehyde, since aldehyde group will readily react with both hydroxyl and amine group. Carboxyl group is considered has moderate reactivity and usually require coupling agent, EDC/NHS to facility

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reaction [19]. Ketone group does not react with hydroxyl or amine group, meaning it's not a desired product.

[00185] Hydroxylamine agent was used to quantitatively determine the aldehyde content in oxidized sucrose. Detailed procedures are described herein. Figure 30 shows the chemical structure of sucrose, it has three primary hydroxyl groups and four secondary hydroxyl groups. The primary hydroxyl group can be oxidized into aldehyde and carboxyl form. However, it's not clear whether the secondary hydroxyl group can be oxidized into ketone form. Since hydroxylamine can react with both aldehyde and ketone, it necessary to assume that secondary hydroxyl cannot be oxidized in this case.

[00186] Results show that 30.89% of the primary hydroxyl groups were oxidized into aldehyde form. Which means that on an average there is one aldehyde group exists in one oxidized sucrose molecule. Oxidized sucrose molecules with two or more aldehyde groups is the desired product, as it can crosslink both cellulose and amine-silica nanoparticles, i.e., act as chemical bridge to covalently bond cellulose and particle.

[00187] Cotton fabric with dual size particles was treated by crosslinker, BTCA and oxidized sucrose, separately. SEM images of cotton fabrics crosslinked by BTCA or oxidized sucrose are shown in Figures 31A and 31B, respectively. Similar surface morphology was obtained on cotton fabric with physical deposited dual size particles. Fiber surface was covered by both large and small amine-silica particles. However, certain degree of agglomeration was obtained in both cases.

#### **Ultrasonication treatment of crosslinked cotton fabric with dual size particles**

[00188] Ultrasonication treatment was used to remove any physically adsorbed particles, the residue particles are expected to be crosslinked or covalently bonded to fiber surface. SEM images of crosslinked cotton fabric after ultrasonication treatment was shown in Figures 32A-32B. Both images show that ultrasonication caused a significant loss of particles on cotton surface. However, BTCA crosslinked specimen (Figure 32A) shows more residue particles than one crosslinked with oxidized sucrose (Figure 32B).

[00189] This is not surprising, since the same weight of crosslinker was used during crosslinking step. However, every BTCA molecule can involve in crosslinking, but not all oxidized sucrose can be used for crosslinking. Only those oxidized sucrose with two or more aldehyde groups has crosslinking capability. This means that oxidized sucrose does not have enough of molecules with 2 aldehyde groups to bring about the crosslinking.

**Hydrophobic treatment of crosslinked cotton fabric with dual size particles**

[00190] Cotton fabric with dual size particles increased the surface roughness. However, in order to obtain hydrophobicity, cotton fabrics still need to undergo hydrophobic treatment. Conditions were set to be 100% microwave power and 10 min heating. During the treatment, fatty anhydride will react with both cellulose and amine-silica particles. Fatty anhydride reacts with hydroxyl groups on cellulose to form ester bond, and with amine groups on amine-silica particle to form amide bond.

[00191] Water contact angles of hydrophobic treated cotton fabrics were measured and the results are shown in Table 8. Due to the increased surface roughness, hydrophobic cotton fabrics with surface deposited particles, all exhibit a much higher water contact angle value than the control fabric with no particles deposited. All fabrics with dual size particles and hydrophobic treatment showed water contact angles of over 150°, truly superhydrophobic behavior.

**Table 8:** Water contact angle results of hydrophobic cotton fabric with dual size particles

Specimen	Water contact angle (°)	St. Dev.
Cotton fabric with physically deposited dual sized particles	153.41	2.33
BTCA crosslinked cotton fabric with dual sized particles	150.81	3.81
Oxidized sucrose crosslinked cotton fabric with dual sized particles	152.73	3.09
Control (no particles deposited)	135.51	1.19

\*All specimens were hydrophobic treated.

[00192] Unpaired t-test was used to determine if the contact angle values are significantly different from each other. Interestingly, at 95% confidence interval, highest and lowest contact angle values show no statistical difference with the two-tailed P value equals 0.3703. In other word, despite the fact that three specimens were treated with different condition, however, they have same particle deposition and hydrophobic treatment process. Similar surface roughness as well as same surface energy gives them statistically identical water contact angle.

**Laundry durability test for cotton fabric with dual size particles**

[00193] Hydrophobic cotton fabrics with dual size particles were washed with detergent to test its laundry durability. Table 9 shows the number of laundry cycle needed to loss hydrophobicity. Hydrophobic cotton fabric treated with physical deposition method loss its hydrophobicity after 5 laundry cycles. Hydrophobic cotton fabric after crosslinking treatment showed a higher durability than physical deposition method. Oxidized sucrose crosslinked

specimen shown 11 laundry cycles durability, and BTCA crosslinked specimen shown 24 laundry cycles (equivalent to over 120 machine washes) durability. However, all these three specimens exhibited lower laundry durability than the control (no particle but hydrophobic treated), which lost its hydrophobicity after 31 cycles of laundry.

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**Table 9:** Laundry durability test for hydrophobic cotton fabric with dual size particles

Specimen	Laundry cycle
Cotton fabric with physically deposited dual sized particles	5
BTCA crosslinked cotton fabric with dual sized particles	24
Oxidized sucrose crosslinked cotton fabric with dual sized particles	11
Control (no particles deposited)	31

\*all specimens were hydrophobic treated.

[00194] The possible reasons contributed to the loss of hydrophobicity during laundry durability test listed as followings:

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- 1) Detergent causing removal of particles.
- 2) Chemical bonding between particles and cellulose may be hydrolyzed by the detergent.
- 3) Enzymes in the detergent removing the top layer of cellulose.

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## REFERENCES

[00195] Citation of a reference herein shall not be construed as an admission that such reference is prior art to the present invention. All references cited herein are hereby incorporated by reference in their entirety. Below is a listing of various references cited with respect to this example:

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**Example 2****'GREEN' SURFACE TREATMENT FOR WATER REPELLENT COTTON FABRICS****1. Abstract**

- 5 [00196] A 'green' method to obtain water repellent (hydrophobic) cotton fabrics has been developed. Aliphatic fatty chains were grafted onto cotton (cellulose) fiber surface to decrease the surface energy and, thus, obtain the water repellency. A benign accelerator was used to facilitate the reactivity between fatty acid and cellulosic hydroxyl groups. Microwave heating, an energy efficient method, was used to reach the reaction temperature.
- 10 [00197] Fatty anhydride, considered to have higher reactivity than fatty acid, was initially prepared. The chemical process was monitored using HPLC and ATR-FTIR techniques. Effect of fatty acid chain lengths as well as microwave heating parameters were studied on hydrophobicity of the resulting cotton fabrics. Resulting hydrophobic cotton fabrics were characterized by water contact angle, laundry durability test, tensile test, ATR-FTIR and SEM.
- 15 [00198] The green method developed here resulted in hydrophobic cotton fabric with a water contact angle of over  $137^\circ (\pm 2.79^\circ)$ . In addition, it was shown that the hydrophobicity was permanent and lasted for 37 cycles of laboratory laundry washes (equivalent to over 185 regular washes). ATR-FTIR results confirmed the grafting of fatty chain on cotton fabrics. SEM images proved that this process has negligible effect on fiber surface topography.
- 20 [00199] To our knowledge, no fully green, durable and hydrophobic cotton surface has been reported in the open literature. A permanent or durable hydrophobic surface usually requires covalent attachment of low surface energy compounds or molecules onto cotton. Nevertheless, there are several greener attempts with the minimal use of petroleum based chemicals to achieve durable and hydrophobic cotton surface which are discussed below.
- 25 [00200] In the present study, several different fatty acids were grafted onto cellulose to decrease the surface energy using a green method. Fatty acid can be easily obtained by hydrolysis of triglycerides that are natural and abundantly available. In order to avoid the use of toxic chemicals, *e.g.* TosCl and DCC, acetic anhydride, was used to facilitate the reactivity of fatty acid. In addition, microwave heating, as an energy efficient way to increase temperature,
- 30 was used replacing the conventional hydrothermal (oil bath) heating.

## 2. Experimental methods

[00201] All chemicals used in this study were purchase from Sigma Aldrich. They include acetic anhydride, heptanoic acid, octanoic acid, dodecanoic acid, stearic acid. Sunbeam microwave with 0.9 Cu. ft chamber capacity and 900 Watts of power was used in this study.

5 [00202] Desized, scoured and bleached woven cotton fabric was used as raw material for the hydrophobic treatment in this study. Fabric was cut into 10 cm × 10 cm size specimens and treated using 300 ml ethanol solution (ethanol to water volume ratio = 8:2) in 500 mL Erlenmeyer flask at 50°C for 20 min. The purpose of this pretreatment was to partially remove any remaining impurities such as ash, hemicellulose and lignin and obtain as high cellulose  
10 content as possible.

[00203] A mixture of 26 g of heptanoic acid and 20 g of acetic anhydride were introduced in a petri dish. After they were thoroughly mixed, petri dish was covered and placed into a microwave oven (900W). The mixture was microwave heated at different power levels and for desired durations. Every time after the reaction was completed, the petri dish was taken out from  
15 the microwave oven and both the chemical mixture and the microwave oven were allowed to cool to room temperature. During the microwave heating, proposed chemical reaction as illustrated in Figure 33 is expected to take place. The resulting mixture was characterized using HPLC to confirm its chemical composition.

[00204] Fatty acid with a melting point higher than room temperature was used, e.g.  
20 dodecanoic acid, (melting point: 43.2°C) in this study. Initially, the fatty acid was completely melted by heating to just over 48°C, 5°C above its melting point. After that acetic anhydride was added and stirred for 5 min to form a uniform mixture.

[00205] Purified cotton fabric specimen was placed in the petri dish containing as-prepared fatty-acetic anhydride solution, making sure that the fabric is completely immersed in  
25 reaction mixture. Petri dish was then covered and placed in the microwave oven and the mixture was heated at different predetermined power levels and for different durations. During the microwave heating, the chemical reaction illustrated in Figure 34 was expected to take place, where fatty anhydride reacts with hydroxyl groups on cellulose backbone forming an ester bond and fatty acid as byproduct. Every time after the reaction was completed, the petri dish was  
30 removed from the microwave oven. The treated fabric was purified by Soxhlet extraction with ethanol to remove unreacted chemical residues for at least 12 hrs. The treated and purified fabric was dried in oven at 60°C overnight.

[00206] Attenuated total reflectance-Fourier transform infrared spectroscopy (ATR-FTIR) is the most straight forward technique that can be used to confirm the successful esterification

between cellulose and fatty acid. The ester carbonyl has stretch band around  $1745\text{ cm}^{-1}$ . Besides the obvious carbonyl band, the peaks induced by long alkyl chain can be identified as well. For example, peak around  $2950\text{ cm}^{-1}$  is assigned to  $\text{CH}_2$  anti-symmetric stretch,  $2880\text{ cm}^{-1}$  is assigned to  $\text{CH}_2$  symmetric stretch, and  $1280\text{ cm}^{-1}$  is assigned to CH deformation such as bending and stretching (Heinze *et al.*, 2006). While ATR-FTIR spectra, with these peaks present, can be used to confirm the successful grafting of acyl chain, this technique is qualitative, and cannot be used to quantitatively determine the amount of acyl chains present on each anhydroglucose unit. In addition, this technique is not so sensitive. Even when aldehyde and carboxyl groups are present, if the concentration is low this technique may not be useful.

5  
10 [00207] In the present study all specimens were characterized using an FTIR spectrophotometer (Nicolet Magna-IR 560, Thermo Scientific, Waltham, MA). ATR-FTIR spectra were taken in the range of  $550\text{-}4000\text{ cm}^{-1}$  wavenumbers using a split pea accessory. The spectra were recorded as averages of 64 scans obtained at a resolution of  $4\text{ cm}^{-1}$ .

[00208] High performance liquid chromatography (HPLC, Agilent Technologies, 1200 Series) was used to study the chemical reaction between fatty acid and acetic anhydride. An Eclipse XDS-C<sub>18</sub>  $5\mu\text{m}$   $4.6\text{ mm} \times 150\text{ mm}$  Agilent column was used for the analysis. Flow rate was set at  $1.5\text{ mL/min}$  with an eluent gradient starting at starting time ( $t = 0$ ) from 75% acetonitrile/25% water with 0.02 wt% phosphoric acid in water to 100% acetonitrile in 5 min and then keeping at 100% acetonitrile until the end of the analysis. Specimens measuring  $10\text{ }\mu\text{L}$  were injected. Wavelength of 214 nm was used to conduct quantitative analysis of the mixture using a UV detector (Peydecastaing *et al.*, 2009).

[00209] Scanning electron microscope (SEM, Tescan-Mira3-FESEM) was used to characterize the surface topography of fibers. Specimens were oven dried in an air circulating oven and sputter coated with gold prior to obtaining SEM images. The SEM images were captured at an accelerating voltage of 5 kV.

[00210] Effects of chemical treatment conditions on yarn (both weft and warp) removed from the fabric specimens were studied for their tensile properties using Instron universal testing machine (Model 5566). Due to the limitation of the specimen size ( $5\text{ cm} \times 5\text{ cm}$ ), modified version of ASTM D2256, for specimen size, was used in these tests. Gauge length for all test specimens was 30 mm and the crosshead speed was set to  $13\text{ mm/min}$ . Under these conditions the breakage of yarn was expected to take place in about  $20 \pm 3\text{ s}$ . Specimens were oven dried prior to testing using the same air circulating oven. At least 15 specimens were tested to confirm reproducibility and their average values are reported. All tests were performed in standard ASTM conditions of 65% relative humidity and  $21^\circ\text{C}$ .

[00211] Laundering durability evaluation of water repellency was carried out in a modified version according to the American Association of Textile Chemists and Colorists (AATCC) Test Method 61–2003. The test was performed using a 500 mL flask containing 150 mL aqueous solution of Tide® laundry detergent (0.15%, w/w) and 50 stainless steel balls (diameter = 6 mm), the test was performed at 49°C, 40 rpm for 45 min. The size of the fabric specimens was 5 cm × 5 cm for the test. Each cycle in this study was considered to be equivalent to five hand or home launderings (Deng *et al.*, 2010).

[00212] X-ray photoelectron spectroscopy (XPS, Model: SSX-100, Manufacturer: Surface Science Instruments) was used to analysis the surface elemental composition of cotton fabric. XPS equipped with Aluminum K $\alpha$  X-rays and all binding energies were referenced to the carbon C1s energy peak at 284.63 eV. Photoemission electrons were collected at a 55 degree emission angle and the hemispherical analyzer used a 150V pass energy for survey scans and 50V pass energy for high resolution scans.

### 3. Results and discussion

#### 3.1 Chemical composition analysis by HPLC

[00213] The HPLC was used to track the changes occurring in the chemical composition during the reaction, as illustrated in Figure 35. Figure 35 (line a) shows the HPLC chromatogram of as-mixed equal moles of heptanoic acid and acetic anhydride where peaks #2 and #3 are assigned to acetic anhydride and heptanoic acid, respectively. The peak intensity difference is due to the difference in detector sensitivity for these two chemicals. Figure 40 (line b) shows the HPLC chromatogram of microwave treated mixture containing equal moles of heptanoic acid and acetic anhydride at 70% power level for 5 min. The newly emerged peaks seen after microwave treatment were assigned to acetic acid (#1), acetic-fatty anhydride (# 3) and fatty anhydride (# 4), respectively.

[00214] Further investigation was carried out to maximize conversion of fatty acid into fatty anhydride form. Figure 36 shows chromatograms of microwave treated mixtures of equal moles of heptanoic acid and acetic anhydride heated at 100% power level for different times. After analyzing the peaks, it's clear that after 10 min of microwave heating, acetic anhydride peak (#2) disappeared. This is due to both being consumed by the reaction and also by evaporation. After 15 min of microwave heating, heptanoic acid peak (#3) disappeared. However, in the absence of acetic anhydride, the reaction proposed in Figure 33 can no longer propagate, so the appropriate explanation for the disappearance of heptanoic acid peak (#3) would be its evaporation. When the reaction mixture was further heated in the microwave for 30

min, no significant difference was observed compared to the spectrum obtained for 15 min heating, except for the slight decrease in intensity observed for heptanoic-acetic anhydride. The reason for this minor change after 15 min is because of the high boiling point of both heptanoic-acetic anhydride and heptanoic anhydride. This suggests that the additional 15 min of  
5 microwave heating did not reach the boiling point of heptanoic-acetic anhydride and heptanoic anhydride.

**[00215]** Based on these chromatograms, optimized condition for preparation of heptanoic anhydride was decided to be between 10 to 15 min heating at 100% microwave power level. Since after 10 min of heating, heptanoic-acetic anhydride content was minimized, the majority  
10 present in treated mixture was heptanoic anhydride, thus, only heptanoic acyl grafting needs to be considered for later degree of substitution calculation. For microwaves with different wattages, the time as well as the power levels would be expected to be different and will need to be optimized.

**[00216]** Figure 37 shows chromatograms of microwave heated mixtures containing equal  
15 moles of heptanoic acid and acetic anhydride heated for different times at 70% power level. A lower power level is expected to result in a lower reaction temperature, since the same amount of the reaction mixture was used. Under these conditions heptanoic acid either completely reacted or evaporated after 20 min of heating. However, significant amount of heptanoic-acetic anhydride was still present even after 30 min of microwave heating.

**[00217]** These results suggest that HPLC can be a powerful technique to optimize the end  
20 point of acetic anhydride-heptanoic acid reaction and when the heptanoic acid is consumed. However, when a higher fatty acid (with larger alkyl chain, e.g. stearic acid) was used, their poor solubility in acetonitrile makes it impossible to track the reaction process by HPLC method. This suggests that there is a need to develop an alternative method to track reaction process when  
25 higher fatty acid (longer chain) is used.

### 3.2 Tracking fatty anhydride preparation by ATR-FTIR

**[00218]** Figure 38 (line a) shows the ATR-FTIR spectrum of pure heptanoic acid. Absorption peaks around  $2800\text{ cm}^{-1}$  are assigned to aliphatic  $-\text{CH}_2$  and  $-\text{CH}_3$  groups in its long  
30 fatty alkyl chain and peak at  $1706.6\text{ cm}^{-1}$  is assigned to the carboxyl groups. Figure 38 (line b) shows the ATR-FTIR spectrum of pure acetic anhydride. The two peaks for pure acetic anhydride at  $1752.9\text{ cm}^{-1}$  and  $1826.3\text{ cm}^{-1}$  are assigned to stretching vibration of aldehyde carbonyl groups. Figure 38 (line c) shows the ATR-FTIR spectrum of equal moles mixture of heptanoic acid and acetic anhydride where all peaks assigned to both heptanoic acid and acetic  
35 anhydride are seen.

[00219] As discussed in section 3.1, HPLC method had shown that at the end of the acetic anhydride-heptanoic acid reaction, heptanoic acid was mostly consumed either through the reaction or by evaporation and heptanoic-acetic anhydride and heptanoic anhydride remained. Same conclusion can be reached based on ATR-FTIR analysis discussed above. Figure 39 (line a) shows ATR-FTIR spectrum of as-mixed equal moles of acetic anhydride and heptanoic acid, as was shown in Figure 38 (line c). Figure 38 (line b) shows ATR-FTIR spectrum of reaction mixture after microwave heating at 100% power for 8 min. As can be seen in Figure 39 (line b) the intensity of  $1706.6\text{ cm}^{-1}$  peak, assigned to carboxyl group, has been significantly reduced. The reduced peak intensity means a significant reduction in the presence of heptanoic acid. With further microwave heating to 15 min, as shown in Figure 39 (line c), the carboxyl peak at  $1706.6\text{ cm}^{-1}$  disappeared from the spectrum, indicating complete absence of the acid group. However, the intensity of two aldehyde peaks ( $1752.9\text{ cm}^{-1}$  and  $1826.3\text{ cm}^{-1}$ ) remained unchanged. Based on Figure 39 (line c), it can be concluded that the formation of a new compound which contains alkyl chain and anhydride carbonyl group, and in this case, heptanoic-acetic anhydride and heptanoic anhydride. However, from the ATR-FTIR spectra it is difficult to distinguish between heptanoic-acetic anhydride mixture and heptanoic anhydride. As a result, end point of the reaction can only conclude on complete consumption of heptanoic acid and the formation of anhydride.

[00220] Similar process was used to track the chemical reaction when stearic acid was used in place of heptanoic acid. Figure 40 (line a) shows ATR-FTIR spectrum for a mixture of equal moles of acetic anhydride and stearic acid. When the reactant mixture was microwave heated for 8 min and at 100% power level, intensity of carboxylic carbonyl peaks ( $1706.6\text{ cm}^{-1}$ ) underwent a significant drop as can be seen in Figure 40 (line b). However, with further heating to 15 min, carboxylic acid peak was still present in the spectrum as shown in Figure 40 (line c). This clearly indicates the presence of stearic acid. Stearic acid (boiling point =  $382^{\circ}\text{C}$ ) has a much higher boiling point than heptanoic acid (boiling point =  $223^{\circ}\text{C}$ ) and with same microwave heating temperature, less stearic acid can be expected to evaporate from the reaction mixture. It's worth mentioning here that, it's not clear whether the higher fatty acid requires a higher temperature for the reaction to go in the desired direction.

### 3.3 ATR-FTIR analysis of hydrophobic treated cotton fabrics

[00221] ATR-FTIR was used to determine the ester formation and qualitatively compare the amount of ester groups present on cotton fabric treated to obtain hydrophobic characteristic. Figure 41 shows ATR-FTIR spectra of cotton fabrics with different hydrophobic treatment conditions. When 100% power level of the microwave was applied, increase in heating time

from 3 min to 15 min resulted in larger peak intensity at 1728  $\text{cm}^{-1}$ , indicating larger amount of fatty acyl groups grafted onto cotton (cellulose). This suggests that better hydrophobicity can be obtained with 15 min heating time.

[00222] Table 10 summarizes the assignment of ATR-FTIR peaks particularly for cellulose and its derivatives. After 3 min heating at 100% power, the resulting cotton fabric shows no difference with pristine cotton fabric, which implies that there are only a trace amount of fatty acyl groups grafted or the amount is below the sensitivity of ATR-FTIR. However, spectra for cotton fabric after 10 min microwave heating shows newly emerged carbonyl peak at 1728  $\text{cm}^{-1}$ . The cotton fabric, with further heating time to 15 min, showed an even stronger carbonyl peak than one obtained for 10 min treatment.

**Table 10:** Assignment of ATR-FTIR spectra peaks for cellulose (Heinze *et al.*, 2006)

Wavenumber ( $\text{cm}^{-1}$ )	Assignment	Wavenumber ( $\text{cm}^{-1}$ )	Assignment
3450–3570	OH stretch	1315–1317	CH <sub>2</sub> tip vibration
3200–3400	OH stretch	1277–1282	CH deformation
2933–2981	CH <sub>2</sub> antisymmetric stretch	1225–1235	OH in-plane deformation, also in COOH groups
2850–2904	CH <sub>2</sub> symmetric stretch	1200–1205	OH in-plane deformation
1725–1730	C=O stretch from acetyl- or COOH groups	1125–1162	C–O–C antisymmetric stretch
1635	Adsorption of water	1107–1110	Ring antisymmetric stretch
1455–1470	CH <sub>2</sub> symmetric ring stretch at pyrane ring; OH in-plane deformation	1015–1060	C–O stretch
1416–1430	CH <sub>2</sub> scissors vibration	925–930	Pyran ring stretch
1374–1375	CH deformation	892–895	C-anomeric groups stretch, C <sub>1</sub> –H-deformation; ring stretch
1335–1336	OH in-plane deformation	800	Pyran ring stretch

### 3.4 Effect of different modification conditions on water contact angle of cotton fabrics

#### 3.4.1 Effect of microwave power level and heating time

[00223] Hydrophobicity of the modified cotton fabric was evaluated by measuring water contact angle with water. The ethanol washed pristine (untreated) cotton fabric has a water contact angle of 0° since the water spreads and is absorbed in the fabric immediately. Figure 42A shows the effect of power level (energy input) on water contact angle with fixed microwave heating time of 15 min and in the presence of fatty anhydride (preparation procedures as described in section 2.3). Cotton fabric treated in a higher power level resulted in a higher water contact angle. For example, for hydrophobic treatment at 30% power level, the corresponding treated cotton fabric has a water contact angle of 123.7°. When the power level was increased to

100%, the corresponding water contact angle increased to 135.51°, an increase of about 12°. Both these treatments can be considered to have made the cotton fabric hydrophobic, though not superhydrophobic.

[00224] Water contact angle measurements were also used to evaluate the effect of microwave treatment time for cotton fabrics treated at 100% power level. The corresponding water contact angles are shown in Figure 42B. When the microwave treatment was carried out for 3 min the treatment resulted in a hydrophobic cotton fabric with 125.64° water contact angle. The water contact angle increased with longer treatment time and reached 137.48° for 15 min of microwave heating time.

[00225] Based on the results seen in Figures 42A-42B, it can be concluded that water contact angle is positively correlated with both power level and heating time, within the experimental parameters used. If the water contact angle is solely considered, it can be assumed that the value can increase further with a longer microwave treatment time. However, it's true that this will have a certain limitation as it will reach equilibrium under the experimental conditions. Microwave treatment is well known for its high energy efficiency for generating high temperatures in a short time. However, it has been shown that the reaction temperature, in some experiments, went beyond the boiling point of heptanoic acid (223°C). At such high temperatures, the degradation of cellulose and loss of reactant due to evaporation can be significant, and hence, it is important to be careful and avoid such conditions. In the present research such conditions were avoided.

### 3.4.2 Effect of fatty acid chain length

[00226] The effect of fatty chain length on water contact angle was characterized using fatty acids with different chain lengths. Microwave treatment condition was set at 100% power level for 15 min for these experiments. Fatty acids selected for this study were heptanoic acid, octanoic acid, dodecanoic acid and stearic acid with carbon atoms in the alkyl chains of 7, 8, 12 and 18, respectively. It was expected that fatty acid with a longer alkyl chain will have a lower surface energy because of the hydrophobicity of the alkyl chain itself. By grafting fatty acyl groups on the surface of cotton, its surface energy (or surface tension) can be significantly reduced without changing the surface topography. And for similar surface roughness, the surface energy has negative relationship with water contact angle. Water contact angle values for the cotton fabric when these fatty acids were grafted are listed in Table 11. It is interesting to note that the water contact angle value showed only a 3.3° difference between them. This is probably due to the fact that only a small number of fatty acyl groups were grafted on to the cotton surface. As a result, the difference in surface energy and the water contact angle is not

significant for different fatty acids. However, the data indicate that there may be a positive correlation between the chain length and the water contact angle. If the process is optimized to obtain higher grafting level, higher water contact angles may be obtained making the cotton fabric superhydrophobic. Figure 43 shows the histogram for the data listed in Table 11.

5

**Table 11:** Effect of fatty chain length grafted onto cotton on water contact angle

Specimens	Number of Carbon in fatty chain	Water contact angle (°)	
		Mean	St. Dev.
Heptanoic acid	7	135.51	1.19
Octanic acid	8	135.42	2.31
Dodecanoic acid	12	136.26	2.71
Stearic acid	18	138.87	3.48

[00227] Unpaired t-test was used to determine if the water contact angle values are significantly different between the different fatty acids. Results shown that at 95% confidence interval, the two-tailed P value equals 0.1887, which means there is no statistically significant difference between heptanoic acid and stearic acid treatment.

10

### 3.5 Mechanical property results

[00228] As mentioned previously, the high temperature generated by microwave treatment can cause cellulose (cotton fiber) degradation. To study this, tensile properties of the cotton yarns, both warp and weft, were characterized for fabrics treated under different conditions. The results of the tensile tests are shown in Table 12. Pristine (untreated) cotton yarn showed a tensile fracture stress at break (strength) of 733.4 MPa for warp and 630.2 MPa for weft. After 10 min of microwave treatment and power levels ranging from 30% to 100%, the yarn tensile stress at break underwent a gradual decrease, confirming the cotton fiber degradation. For 100% power level and 10 min microwave treatment, the warp retained 80.3% of its original strength, i.e., lost 19.7%, whereas for weft it was 73.2% strength retention (loss of 26.8%).

25

**Table 12:** Tensile test results of cotton yarn

Specimens	Tensile Stress at Break (MPa)					
	Warp			Weft		
	Average	St. Dev.	% Retained	Average	St. Dev.	% Retained
Pristine cotton	733.4	49.2		630.1	37.8	
30% Power/10 min	729.4	59.9	99.4	604.1	56.1	95.8
60% Power/10 min	664.5	52.9	90.6	598.3	55.4	94.9
80% Power/10 min	649.9	35.4	88.6	545.3	42.3	86.5
100% Power/10 min	589.2	47.3	80.3	461.2	39.9	73.2
100% Power/3 min	692.3	43.9	94.3	611.7	59.2	97.0
100% Power/6 min	671.6	55.2	91.5	581.6	50.0	92.3
100% Power/15 min	381.9	16.2	52.0	312.5	12.7	49.6

[00229] For the treatment at 100% power level of microwave and with times ranging from 3 to 15 min, the yarn tensile fracture stress at break initially underwent a gradual decrease.

5 However, after 15 min of treatment, a significant drop was observed. For cotton yarn exposed to microwave treatment at 100% power level for 15 min, only 52.7% strength retention (loss of 47.3%) for warp and 49.6% strength retention for weft (loss of 50.4%) was observed. These results imply that between the treatment time of 10 to 15 min, reaction temperature reaches the highest point causing serious degradation of cellulose. Thus, these conditions need to be  
10 avoided. Figures 44A-44B show the histogram of data presented in Table 12 for easy comparison.

### 3.6 Treatment durability after laundering

[00230] It is important that the hydrophobic treatment be durable and be able to sustain  
15 many launderings without deteriorating or decrease the water contact angle. With a chemically grafted (covalently bonded) fatty acyl chain, however, the durability of the hydrophobic nature of the cotton fabric can be expected to be longer than any physical coating methods. In the present study Tide® detergent was used to evaluate the laundry durability of the hydrophobic treatment. The treated fabric was passed through several successive laundry cycles. Table 13  
20 presents the laundry results of specimens treated with different microwave conditions. As was expected, fabrics treated at higher microwave power level showed higher durability of the treatment, i.e., more laundry cycles were needed before the fabric became hydrophilic. For the 10 min treatment applied at 30% microwave power level, the treated fabric only retained hydrophobicity for 3 laundry cycles. This number increased significantly to 31, for the same  
25 time but when 100% power level was applied. Same phenomenon was observed in the case of 100% microwave power level but different treatment times. Fabrics treated for 3 min retained its

hydrophobicity for only 3 laundry cycles. However, this number increased to over 35, when the microwave treatment was carried out for 15 min. As stated earlier each cycle in this study was considered to be equivalent to five hand or home launderings (Deng *et al.*, 2010). This means that the 15 min treatment can last for over 175 home launderings and may be considered as permanent.

**Table 13:** Laundry durability of fabric hydrophobicity

Specimens	Cycle of laundry test			Average	St. Dev.
30% Power/10 min	3	3	3	3	0
60% Power/10 min	10	12	12	11.3	1.15
80% Power/10 min	23	22	24	23	1
100% Power/10 min	31	29	33	31	2
100% Power/3 min	2	3	3	2.67	0.57
100% Power/6 min	17	15	17	16.3	1.15
100%Power/15 min	35	35	37	35.7	1.15

[00231] Figure 45 shows the change in water contact angle as a function of laundry cycles. It can be seen that the water contact angle values decreased gradually with laundry cycles. When water contact angle approached 105°, the cotton fabric could no longer retain water droplet for 10 seconds, the water droplet was absorbed by cotton fabric. As a result, this condition was classified as non-hydrophobic. The decrease in water contact angle can be possibly explained by the hydrolysis of ester group occurring in the presence of detergent. It may also be due to the cellulase enzymes used in the detergent to degrade and remove the top cellulose layer in cotton. In our case, unfortunately, the top layer is the one that gets grafted and gives the fiber its hydrophobic characteristic. If that is removed, the next layer, that is not grafted, is exposed.

#### 4 CONCLUSIONS

[00232] Fatty acid was converted to a more reactive form of fatty anhydride, by using acetic anhydride as co-reactant. HPLC and ATR-FTIR spectroscopy were used to successfully track the fatty anhydride conversion process.

[00233] With the presence of fatty anhydride, longer microwave heating time and higher power level resulted in higher contact angles of hydrophobic cotton fabrics. Cotton fabric treated in 100% microwave power and 15 min along with fatty anhydride resulted in a water contact angle of over 137° making it hydrophobic.

[00234] Fatty anhydride prepared using either heptanoic acid or stearic acid showed no statistical difference in the hydrophobicity of treated cotton fabrics.

[00235] One hundred (100%) power level and 15 min microwave treated cotton fabrics showed laundering durability of up to 37 cycles. However, the yarns showed significant  
5 reduction in strength under these conditions; about 50% strength was retained. Since 37  
laundering cycles are considered to be equivalent to 185 normal home washings, the  
hydrophobic treatment presented here can be considered as permanent.

[00236] Fatty acid hydrophobic treatment showed no significant effect to fiber surface  
topography.

10

## REFERENCES

[00237] Citation of a reference herein shall not be construed as an admission that such  
reference is prior art to the present invention. All references cited herein are hereby  
15 incorporated by reference in their entirety. Below is a listing of various references cited with  
respect to this example:

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- 20 Vaca-Garcia C, Thiebaud S, Borredon ME *et al.* (1998) Cellulose esterification with fatty acids and acetic anhydride in lithium chloride/N, N-dimethylacetamide medium. *Journal of the American Oil Chemists' Society*, 75(2), 315-319.
- Wang P and Tao BY (1994) Synthesis and characterization of long-chain fatty acid cellulose ester (FACE). *Journal of Applied Polymer Science*, 52(6), 755-761.
- 25 **[00238]** The terms “a,” “an,” “the” and similar referents used in the context of describing the invention (especially in the context of the following claims) are to be construed to cover both the singular and the plural, unless otherwise indicated herein or clearly contradicted by context. Recitation of ranges of values herein is merely intended to serve as a shorthand method of referring individually to each separate value falling within the range. Unless otherwise indicated
- 30 herein, each individual value is incorporated into the specification as if it were individually recited herein. All methods described herein can be performed in any suitable order unless otherwise indicated herein or otherwise clearly contradicted by context. The use of any and all examples, or exemplary language (e.g., “such as”) provided herein is intended merely to better illuminate the invention and does not pose a limitation on the scope of the invention otherwise
- 35 claimed. No language in the specification should be construed as indicating any non-claimed element essential to the practice of the invention.
- [00239]** Groupings of alternative elements or embodiments of the invention disclosed herein are not to be construed as limitations. Each group member may be referred to and claimed individually or in any combination with other members of the group or other elements
- 40 found herein. It is anticipated that one or more members of a group may be included in, or

deleted from, a group for reasons of convenience and/or patentability. When any such inclusion or deletion occurs, the specification is deemed to contain the group as modified thus fulfilling the written description of all Markush groups used in the appended claims.

5 [00240] Certain embodiments of this invention are described herein, including the best mode known to the inventors for carrying out the invention. Of course, variations on these described embodiments will become apparent to those of ordinary skill in the art upon reading the foregoing description. The inventor expects skilled artisans to employ such variations as appropriate, and the inventors intend for the invention to be practiced otherwise than specifically described herein. Accordingly, this invention includes all modifications and equivalents of the  
10 subject matter recited in the claims appended hereto as permitted by applicable law. Moreover, any combination of the above-described elements in all possible variations thereof is encompassed by the invention unless otherwise indicated herein or otherwise clearly contradicted by context.

[00241] Furthermore, numerous references have been made to patents and printed  
15 publications throughout this specification. Citation of a reference herein shall not be construed as an admission that such reference is prior art to the present invention. All references cited herein are hereby incorporated by reference in their entirety.

[00242] In closing, it is to be understood that the embodiments of the invention disclosed  
20 herein are illustrative of the principles of the present invention. Other modifications that may be employed are within the scope of the invention. Thus, by way of example, but not of limitation, alternative configurations of the present invention may be utilized in accordance with the teachings herein. Accordingly, the present invention is not limited to that precisely as shown and described.

[00243] Although the present invention has been described for the purpose of illustration,  
25 it is understood that such detail is solely for that purpose and variations can be made by those skilled in the art without departing from the spirit and scope of the invention which is defined by the following claims.

**WHAT IS CLAIMED IS:**

1. A composition comprising:  
a modified cellulosic surface comprising aliphatic fatty acid molecules and  
5 amine-silica particles that are covalently bonded to cellulose fibers of the cellulosic surface.
2. The composition according to claim 1, wherein the aliphatic fatty acid  
molecules have fatty chains of uniform or different lengths.
- 10 3. The composition according to claim 2, wherein the length of the fatty  
chain comprises between about 3 and about 38 carbon atoms.
4. The composition according to claim 1, wherein the fatty anhydride is  
prepared by reacting acetic anhydride with a fatty acid having a saturated or unsaturated  
15 aliphatic chain of between about 4 and about 38 carbon atoms.
5. The composition according to claim 1, wherein the modified cellulosic  
surface comprises cellulose fibers from a source selected from the group consisting of cotton,  
rayon, viscose rayon, liquid crystalline cellulose, lyocell fibers (e.g., TENCEL®), bacterial  
20 cellulose, jute, kenaf, ramie, sisal, flax, pineapple, banana, henequen, curaua, bagasse, bamboo,  
hemp, and fibrils derived from them.
6. The composition according to claim 5, wherein the amine-silica particles  
are single sized, dual sized, or multiple sized (more than two sizes).  
25
7. The composition according to claim 6, wherein the amine-silica particles  
have a size selected from the group consisting of 200-300 nanometers (nm), 300-400 nm,  
400-500 nm, 500-1000 nm, and 500-1500 nm.
- 30 8. The composition according to claim 1, wherein the modified cellulosic  
surface is superhydrophobic.
9. The composition according to claim 1, wherein the modified cellulosic  
surface has increased hydrophobicity as compared to its corresponding non-modified cellulosic  
35 surface.

10. The composition according to claim 1, wherein the modified cellulosic surface has increased hydrophobicity durability than that of the non-modified cellulosic surface, said durability being measured by a laundering durability test comprising American Association  
5 of Textile Chemists and Colorists (AATCC) test method 61-2003.

11. The composition according to claim 1, wherein the modified cellulosic surface has increased surface roughness as compared to its corresponding non-modified cellulosic surface.  
10

12. The composition according to any one of claims 1-11, wherein the composition is in a form selected from the group consisting of fabric (woven, knitted, or nonwoven), yarn, fibers, filaments, microfibrils, and nanofibers.

13. A product comprising the composition according to any one of  
15 claims 1-11.

14. The product according to claim 13, wherein said product is made of said composition so that said product has at least one surface comprising said modified cellulosic  
20 surface.

15. The product according to claim 14, wherein said product is selected from the group consisting of apparel, outerwear, underwear, linens, blankets, coverings, banners, shoes, coats, jackets, sweaters, socks, headgear, tents, curtains, drapes, fabric, swimsuits,  
25 umbrellas, diapers, bandages for wounds, vehicle covers, awnings, and the like.

16. A process for increasing hydrophobicity of a cellulosic surface, said process comprising:  
providing a cellulosic surface comprising cellulose fibers; and  
30 grafting a plurality of aliphatic fatty acid molecules onto the cellulosic surface to yield a modified cellulosic surface having increased hydrophobicity,  
wherein said grafting comprises reacting fatty anhydride with hydroxyl groups of the cellulose fibers in order to covalently bond the aliphatic fatty acid molecules to the cellulose fibers, and

wherein said grafting takes place without using any toxic reagents or exogenous solvents.

17. The process according to claim 16, wherein heat is applied during the  
5 grafting step to facilitate the reaction between the fatty anhydride and the cellulose fibers.

18. The process according to claim 17, wherein said heat is applied at a temperature of between about 40°C and about 220°C.

19. The process according to claim 16, wherein the aliphatic fatty acid  
10 molecules have fatty chains of uniform or different lengths.

20. The process according to claim 19, wherein the length of the fatty chains  
15 comprises between about 4 and about 38 carbon atoms.

21. The process according to claim 16, wherein the fatty anhydride is prepared by reacting acetic anhydride with a fatty acid having a saturated or unsaturated aliphatic chain of between about 3 and about 38 carbon atoms.

22. The process according to claim 16, wherein the modified cellulosic  
20 surface has a significantly lower surface energy value than that of the non-modified cellulosic surface.

23. The process according to claim 16, wherein the modified cellulosic  
25 surface has a significantly higher water contact angle than that of the non-modified cellulosic surface.

24. The process according to claim 23, wherein the water contact angle of the modified cellulosic surface is between about 90° and about 150°.

30 25. The process according to claim 23, wherein the water contact angle of the modified cellulosic surface is greater than 150°.

35 26. The process according to claim 16, wherein the modified cellulosic surface has increased hydrophobicity durability than that of the non-modified cellulosic surface,

said durability being measured by a laundering durability test comprising American Association of Textile Chemists and Colorists (AATCC) test method 61-2003.

27. The process according to claim 16, wherein the cellulosic surface is in a  
5 form selected from the group consisting of fabric (woven, knitted, or nonwoven), yarn, fibers, filaments, microfibrils, and nanofibers.

28. The process according to claim 16, wherein the cellulosic surface  
comprises cellulose fibers from a source selected from the group consisting of cotton, rayon,  
10 viscose rayon, liquid crystalline cellulose, lyocell fibers (e.g., TENCEL®), bacterial cellulose, jute, kenaf, ramie, sisal, flax, pineapple, banana, henequen, curaua, bagasse, bamboo, hemp, and fibrils derived from them.

29. A modified cellulosic surface having increased hydrophobicity, said  
15 modified cellulosic surface being produced by the process according to any one of claims 16-28.

30. A product comprising the modified cellulosic surface according to  
claim 29.

20 31. A process for increasing hydrophobicity and surface roughness of a cellulosic surface, said process comprising:  
providing a cellulosic surface comprising cellulose fibers;  
depositing amine-silica particles onto the cellulosic surface, thereby increasing  
surface roughness of the cellulosic surface; and  
25 after the depositing step, grafting a plurality of aliphatic fatty acid molecules onto the cellulosic surface to yield a modified cellulosic surface having increased hydrophobicity,  
wherein said grafting comprises reacting fatty anhydride with hydroxyl groups of the cellulose fibers in order to covalently bond the aliphatic fatty acid molecules to the cellulose  
fibers, and  
30 wherein said depositing and grafting takes place without using any toxic reagents or exogenous solvents.

32. The process according to claim 31, wherein the amine-silica particles are  
deposited in a form of an amine-silica particle dispersion.

35

33. The process according to claim 32, wherein the amine-silica particles are deposited by physical deposition onto the cellulosic surface or by covalent bonding to the cellulose fibers of the cellulosic surface.

5 34. The process according to claim 31 further comprising:  
treating the cellulosic surface with a green crosslinking agent prior to depositing the amine-silica particles thereon in order to facilitate said covalent bonding of the amine-silica particles to the cellulose fibers.

10 35. The process according to claim 34, wherein the green crosslinking agent is selected from the group consisting of 1,2,3,4-Butanetetracarboxylic acid (BTCA), oxidized sucrose, oxidized higher sugars including raffinose, stachiose, lactose, maltose, and their combinations, and various molasses.

15 36. The process according to claim 31 further comprising:  
reacting the fatty anhydride with amine groups of the amine-silica particles so as to covalently bond aliphatic fatty acid molecules to the amine-silica particles.

20 37. The process according to claim 31, wherein the amine-silica particles are single sized or dual sized.

25 38. The process according to claim 37, wherein the amine-silica particles have a size selected from the group consisting of 200-300 nm, 300-400 nm, 400-500 nm, 500-1000 nm, and 500-1500 nm.

39. The process according to claim 31, wherein heat is applied during the grafting step to facilitate the reaction between the fatty anhydride and the cellulose fibers.

30 40. The process according to claim 39, wherein said heat is applied at a temperature of between about 40°C and about 220°C.

41. The process according to claim 31, wherein the aliphatic fatty acid molecules have fatty chains of uniform or different lengths.

42. The process according to claim 41, wherein the length of the fatty chains comprises between about 3 and about 38 carbon atoms.

43. The process according to claim 31, wherein the fatty anhydride is  
5 prepared by reacting acetic anhydride with a fatty acid having a saturated or unsaturated aliphatic chain of between about 4 and about 38 carbon atoms.

44. The process according to claim 31, wherein the modified cellulosic surface has a significantly lower surface energy value than that of the non-modified cellulosic  
10 surface.

45. The process according to claim 31, wherein the modified cellulosic surface has a significantly higher water contact angle than that of the non-modified cellulosic surface.  
15

46. The process according to claim 45, wherein the water contact angle of the modified cellulosic surface is between about 90° and about 150°.

47. The process according to claim 45, wherein the water contact angle of the  
20 modified cellulosic surface is greater than 150°.

48. The process according to claim 31, wherein the modified cellulosic surface has increased hydrophobicity durability than that of the non-modified cellulosic surface, said durability being measured by a laundering durability test comprising American Association  
25 of Textile Chemists and Colorists (AATCC) test method 61-2003.

49. The process according to claim 31, wherein the cellulosic surface is in a form selected from the group consisting of fabric (woven, knitted, or nonwoven), yarn, fibers, filaments, microfibrils, and nanofibers.  
30

50. The process according to claim 31, wherein the cellulosic surface comprises cellulose fibers from a source selected from the group consisting of cotton, rayon, viscose rayon, liquid crystalline cellulose, lyocell fibers (e.g., TENCEL®), bacterial cellulose, jute, kenaf, ramie, sisal, flax, pineapple, banana, henequen, curaua, bagasse, bamboo, hemp, and  
35 fibrils derived from them.

51. A modified cellulosic surface having increased hydrophobicity and increased surface roughness, said modified cellulosic surface being produced by the process according to any one of claims 31-50.

5

52. A product comprising the modified cellulosic surface according to claim 51.

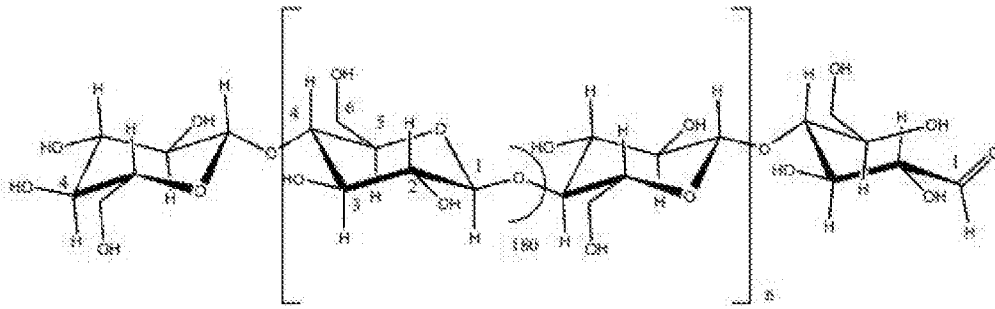


FIG. 1

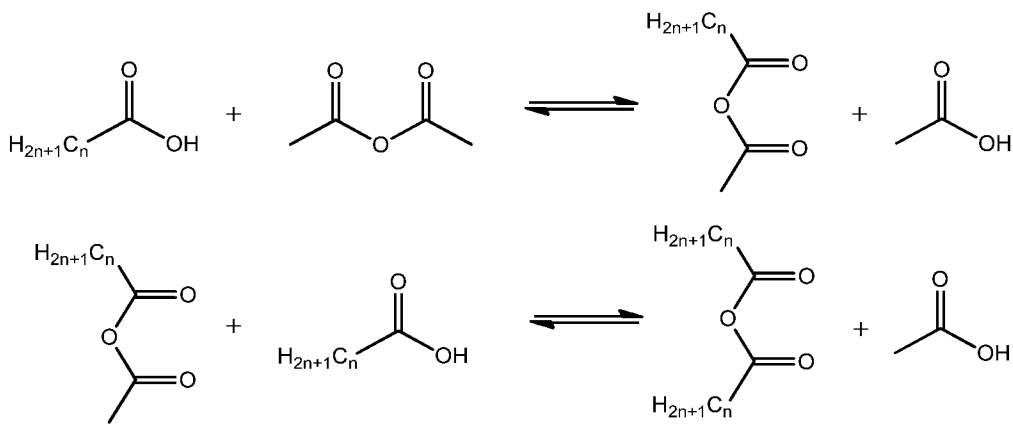


FIG. 2

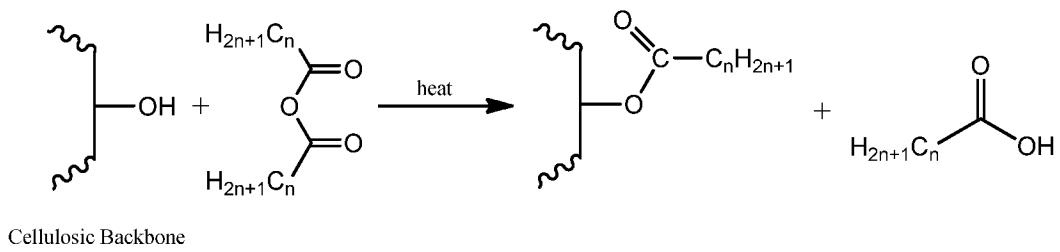


FIG. 3

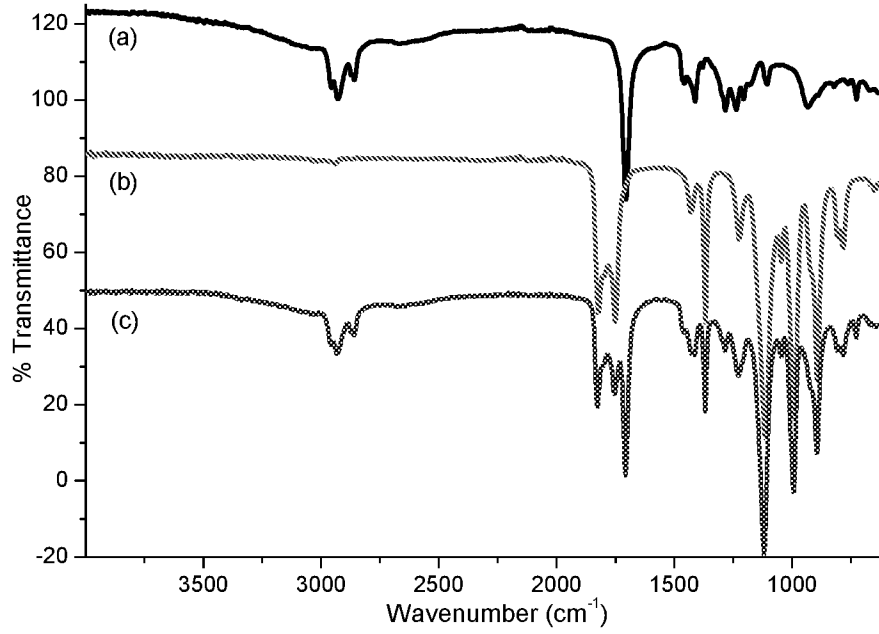


FIG. 4

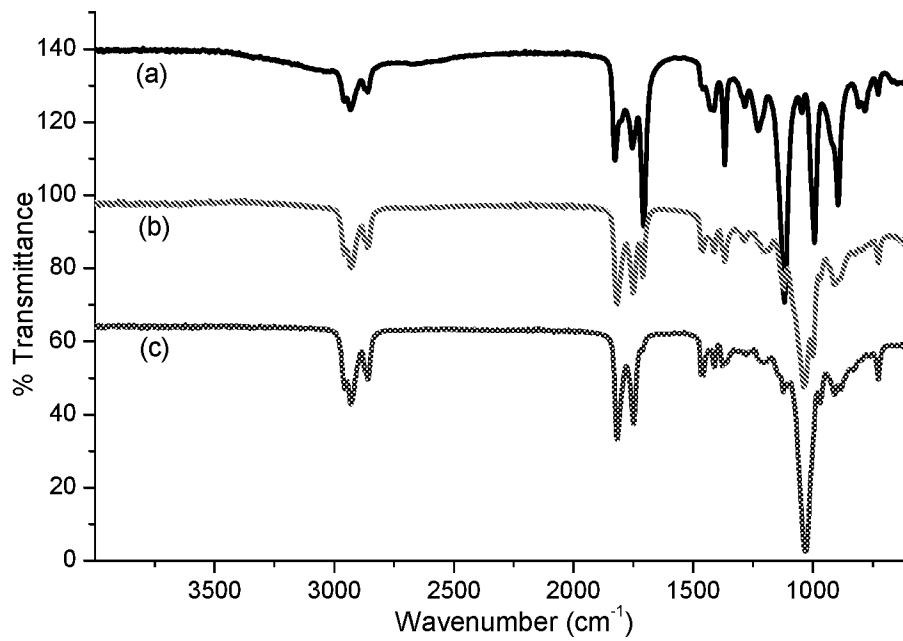


FIG. 5

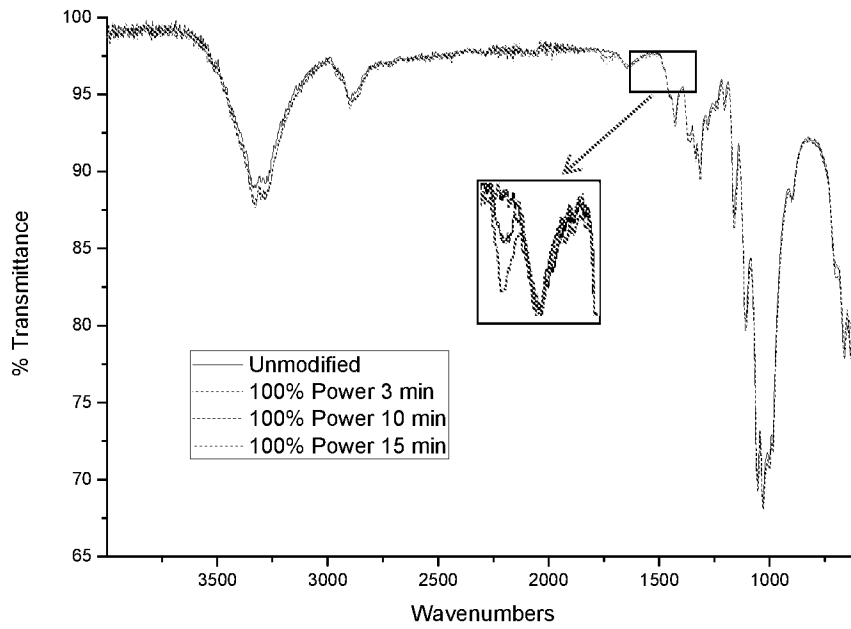
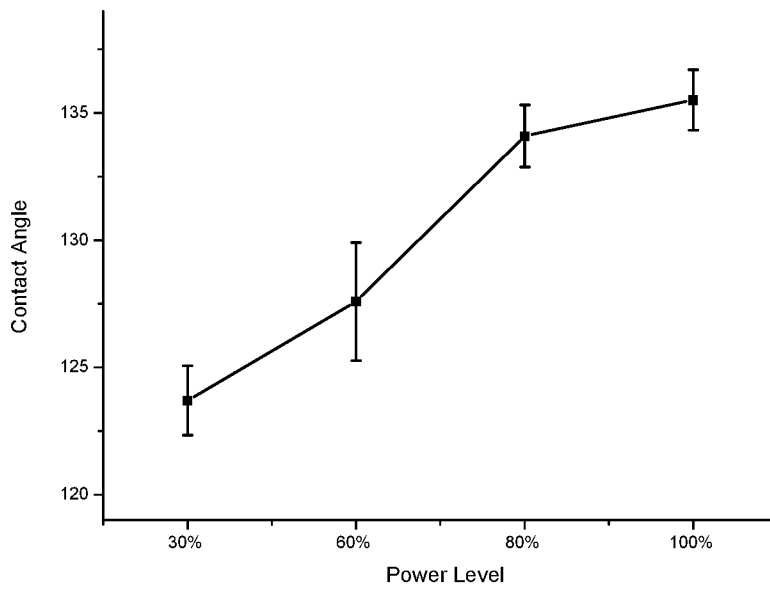
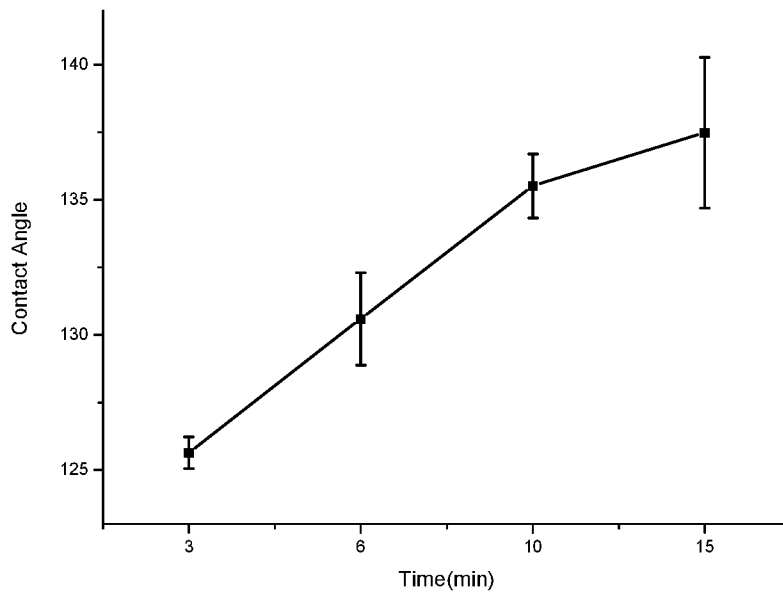


FIG. 6



a)

FIG. 7A



b)

FIG. 7B

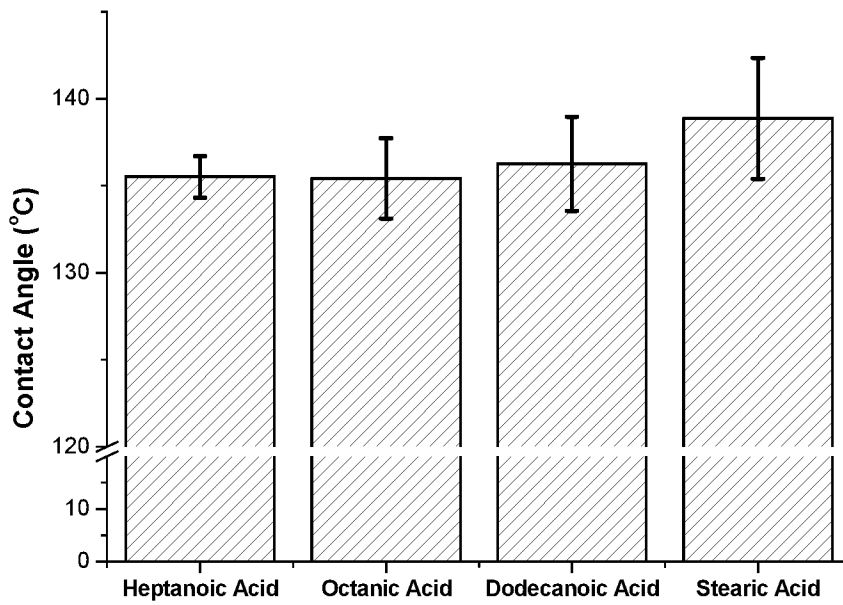


FIG. 8

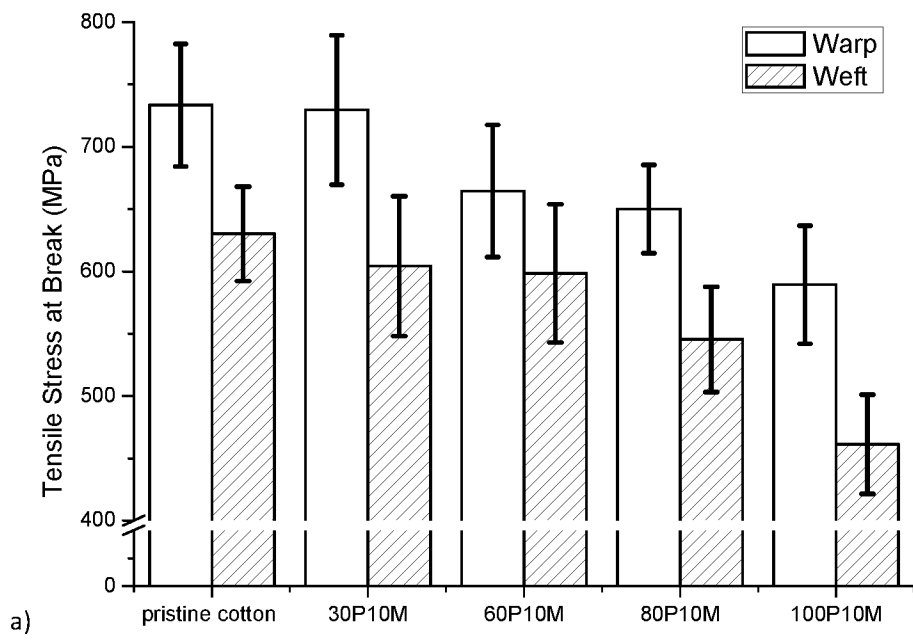


FIG. 9A

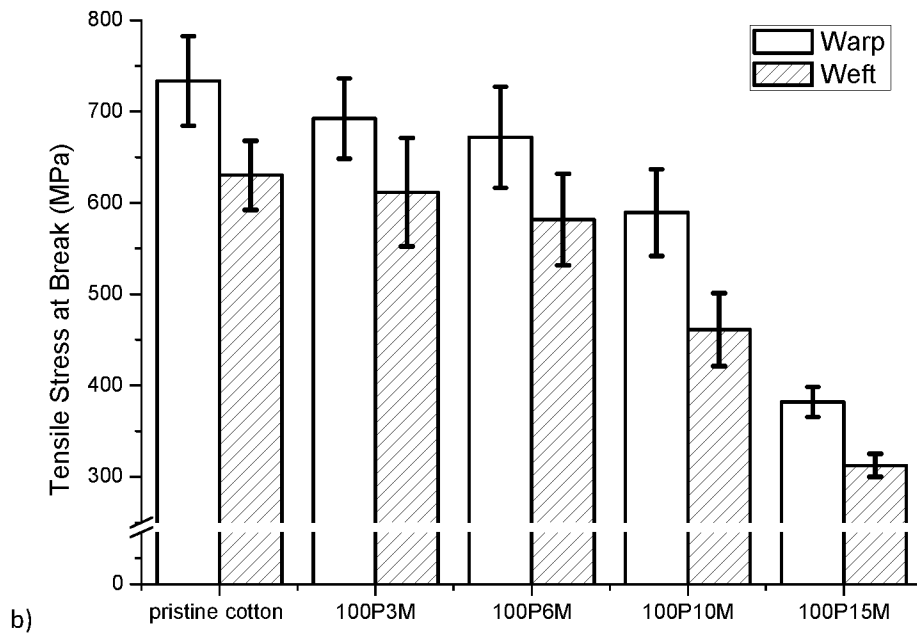


FIG. 9B

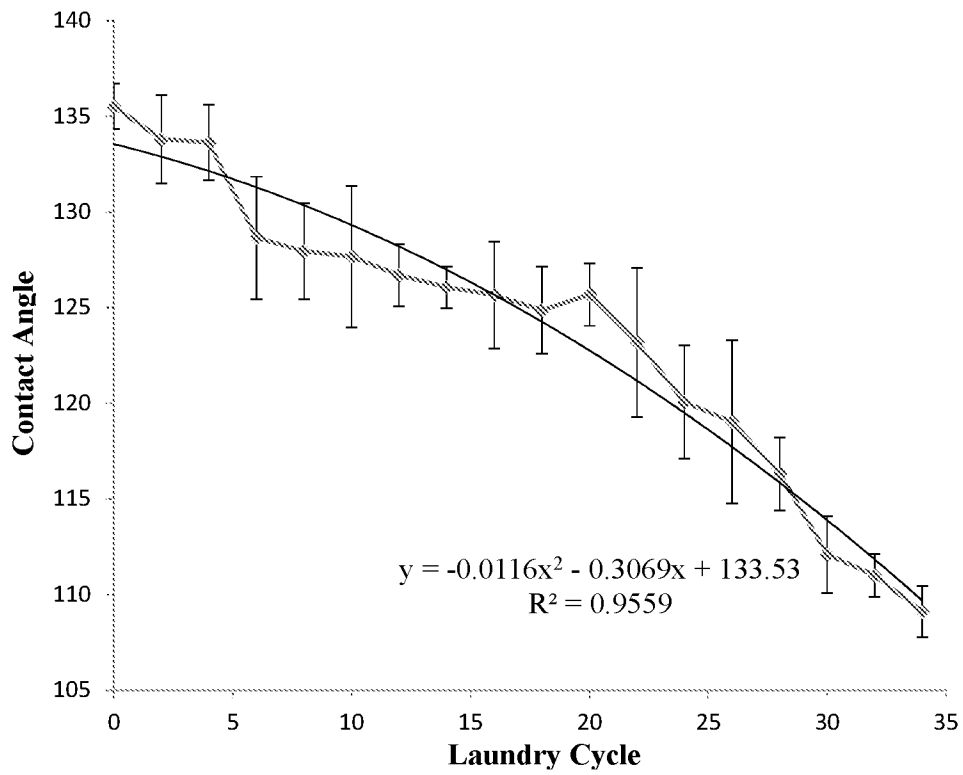


FIG. 10

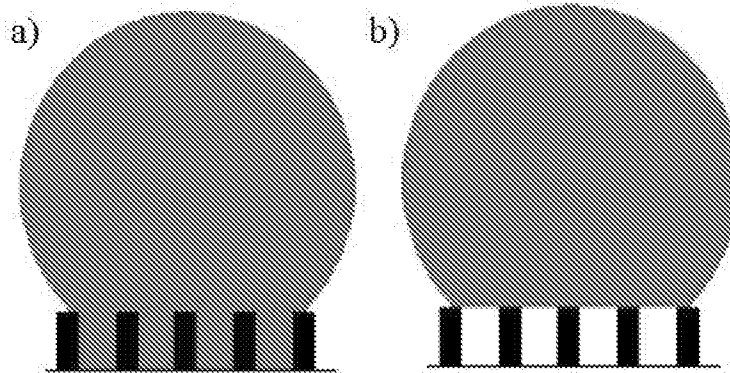


FIG. 11A

FIG. 11B

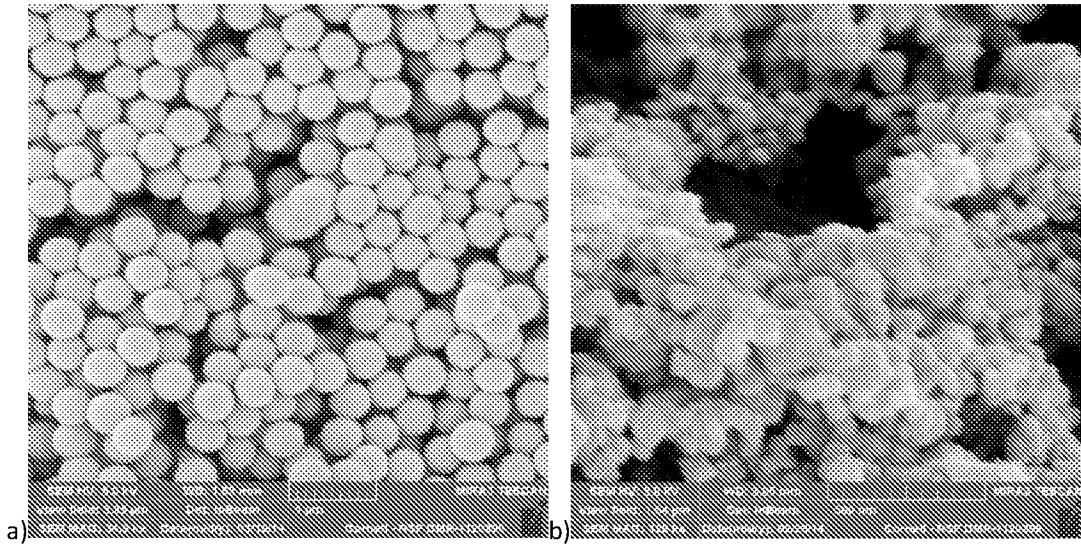


FIG. 12A

FIG. 12B

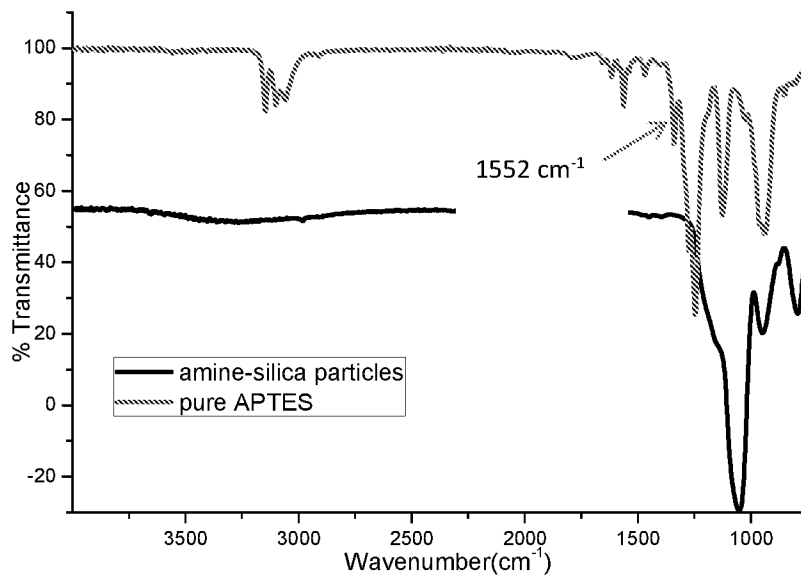


FIG. 13

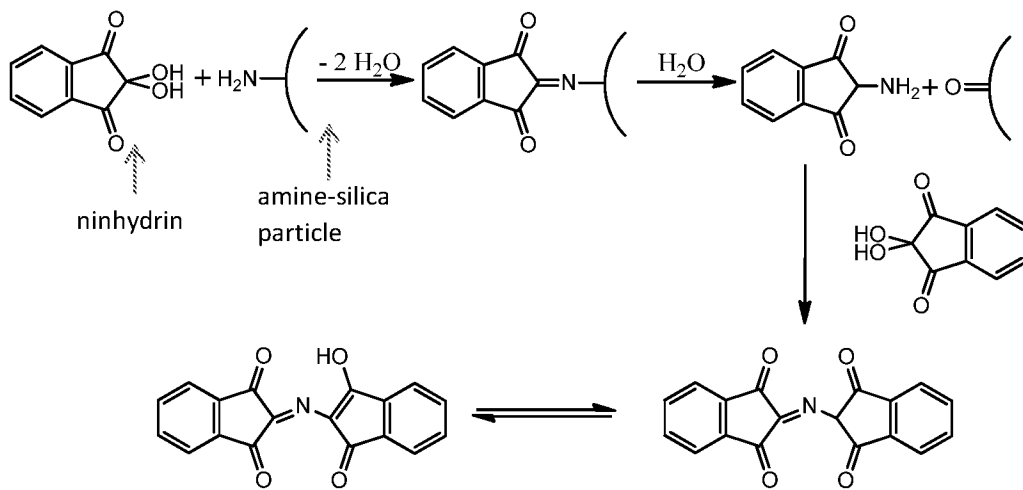


FIG. 14

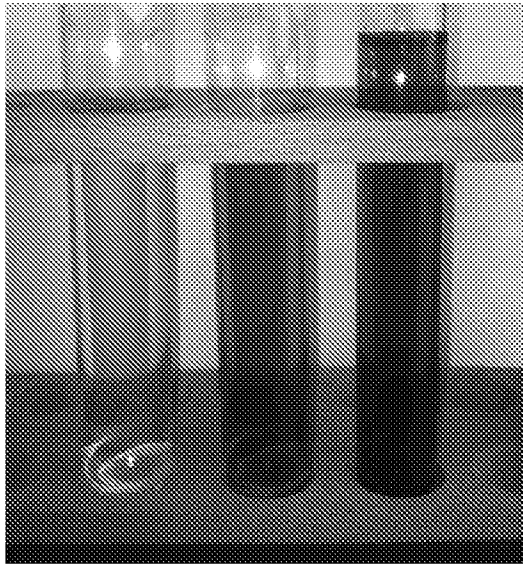


FIG. 15

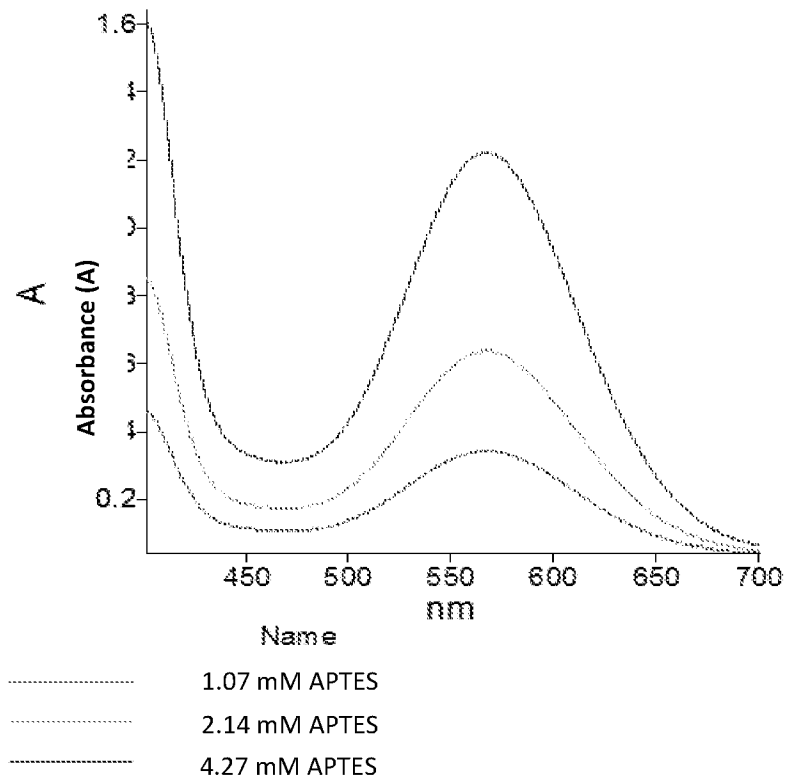


FIG. 16

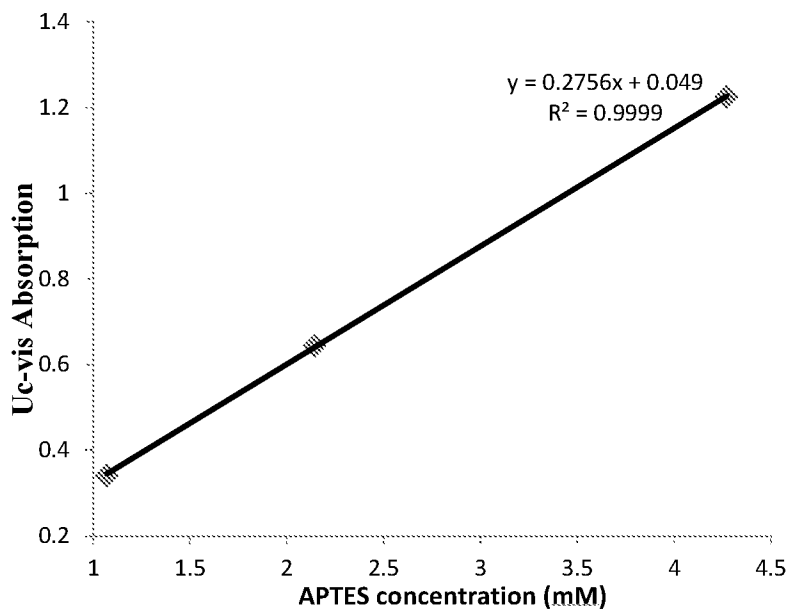


FIG. 17

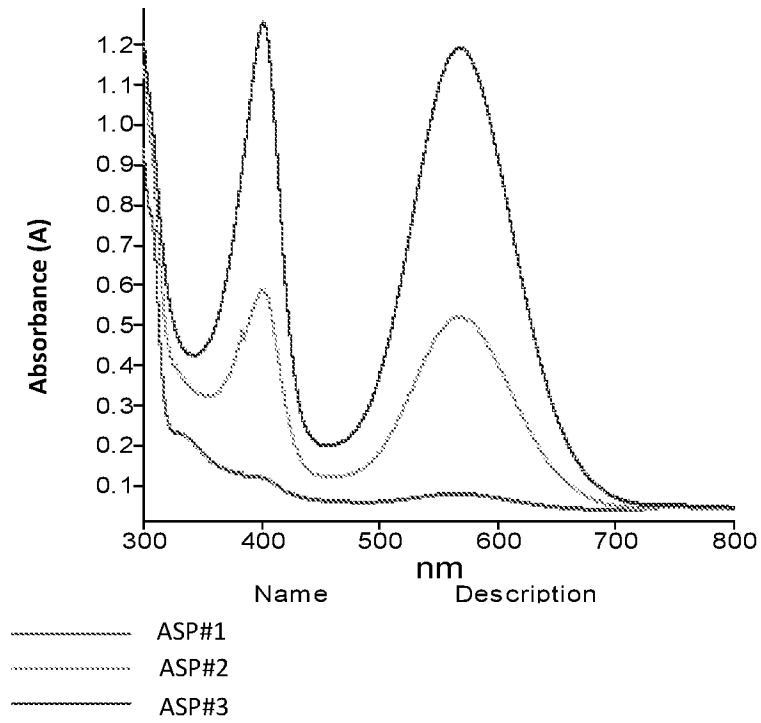


FIG. 18

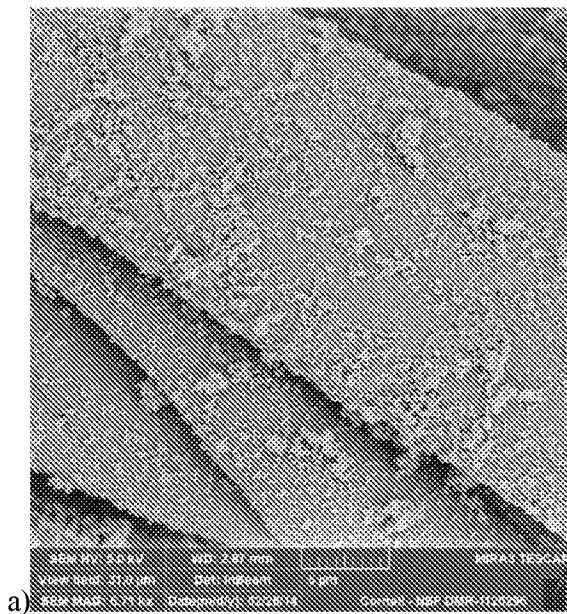


FIG. 19A

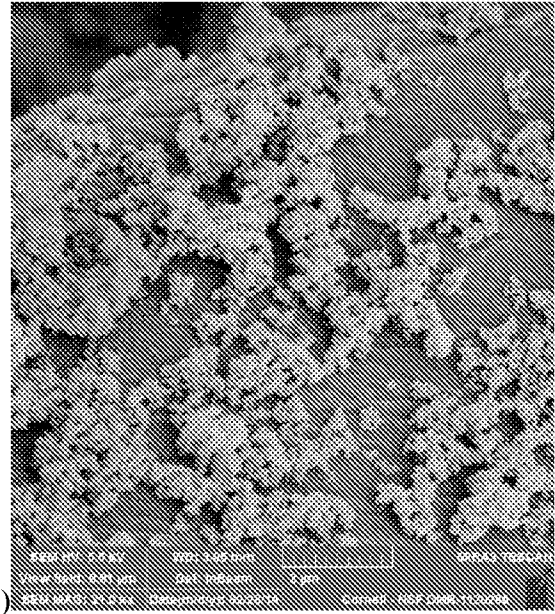
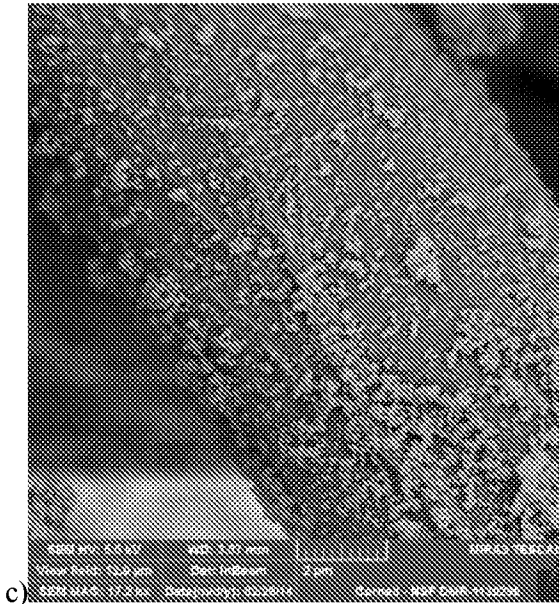
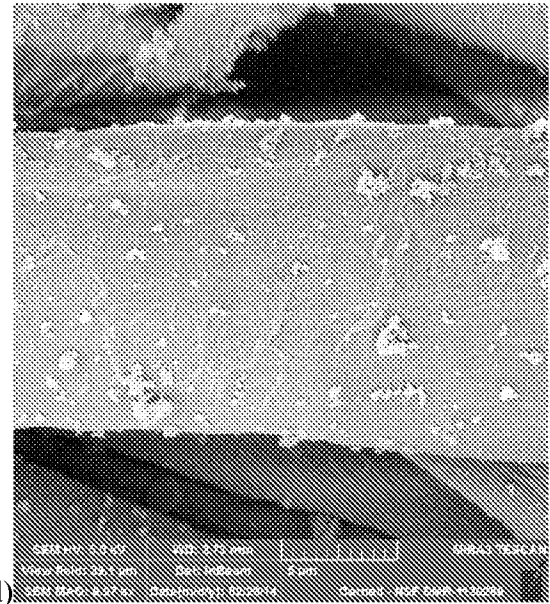


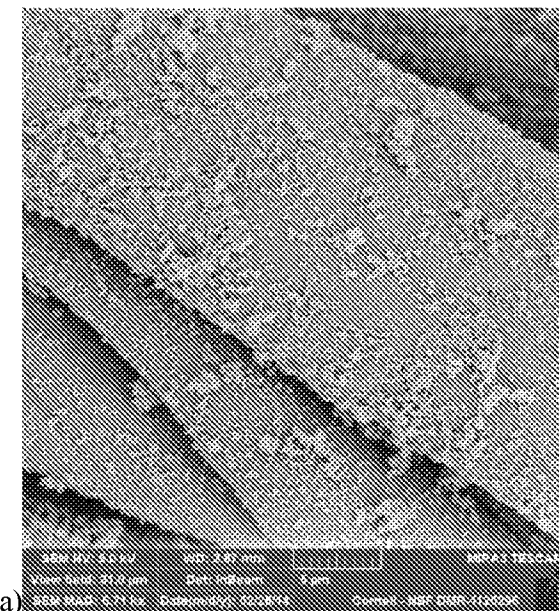
FIG. 19B



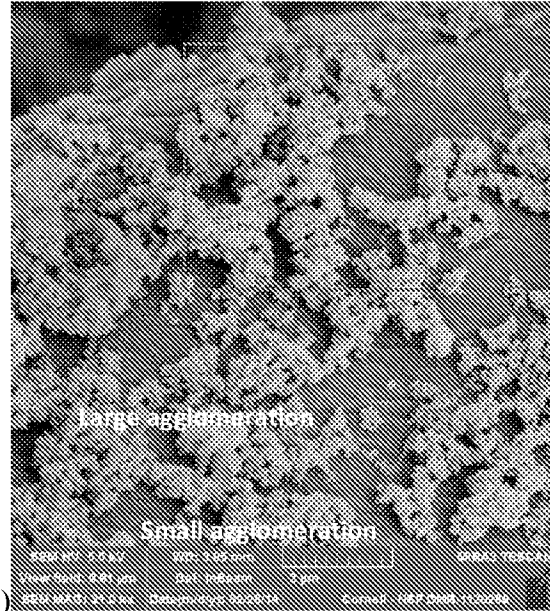
**FIG. 19C**



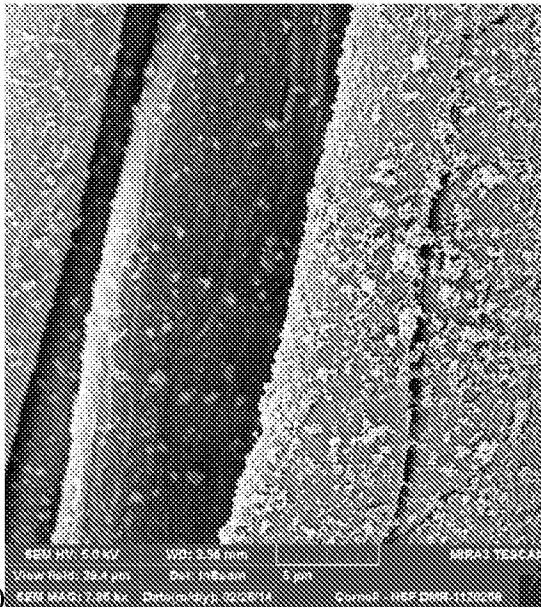
**FIG. 19D**



**FIG. 20A**

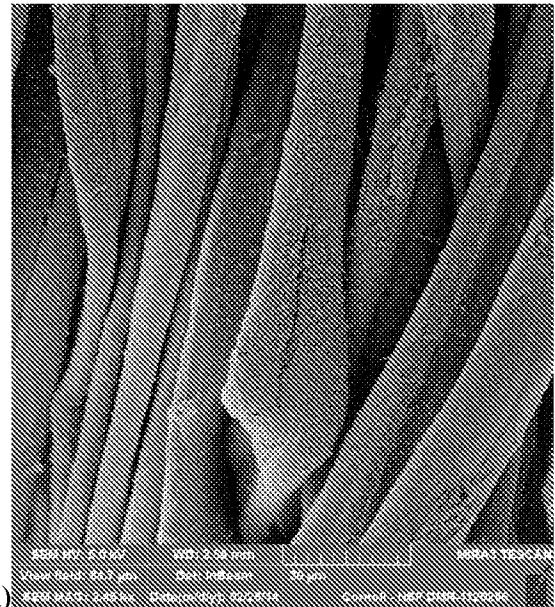


**FIG. 20B**



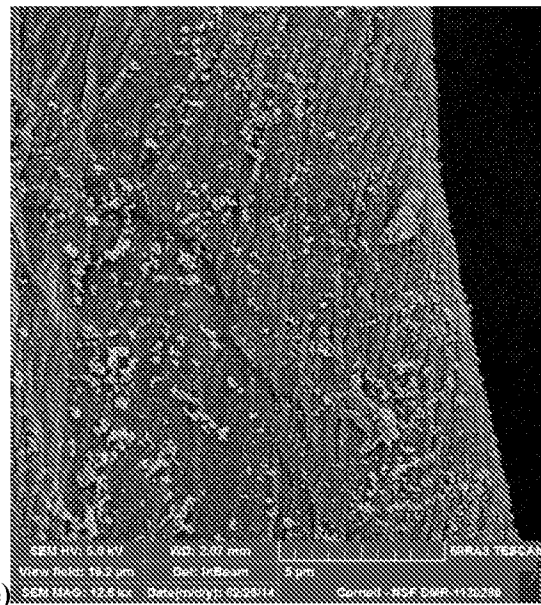
c)

**FIG. 20C**



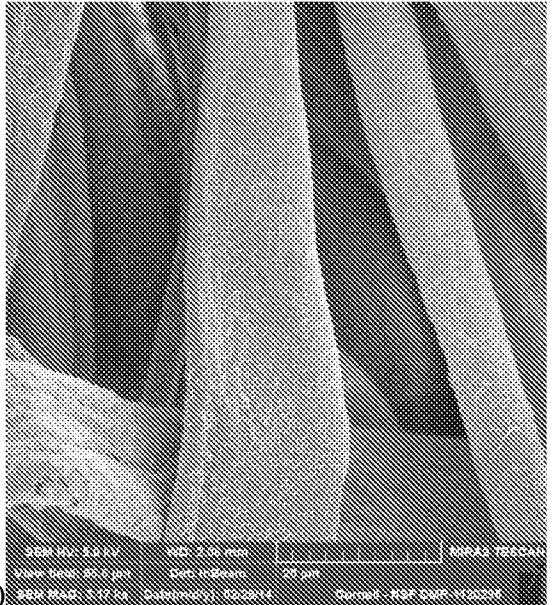
d)

**FIG. 20D**



e)

**FIG. 20E**



f)

**FIG. 20F**



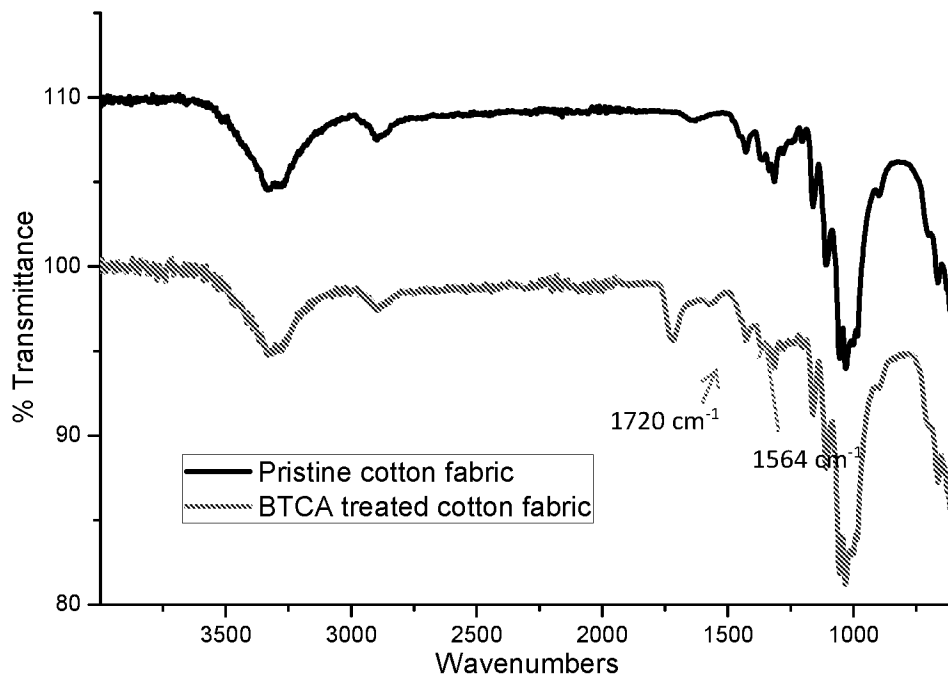


FIG. 22

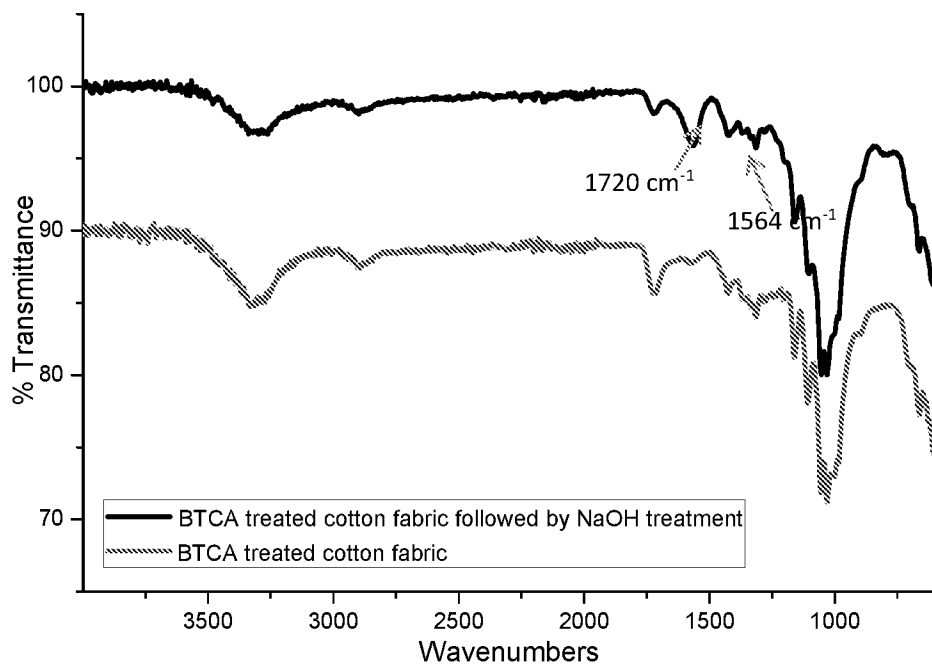
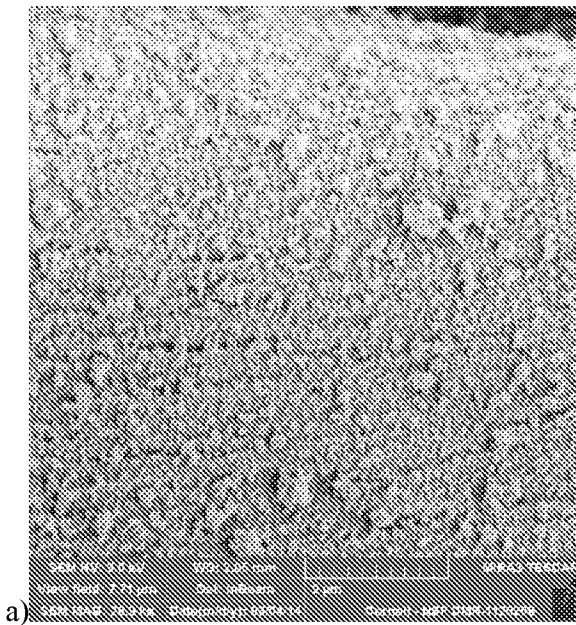
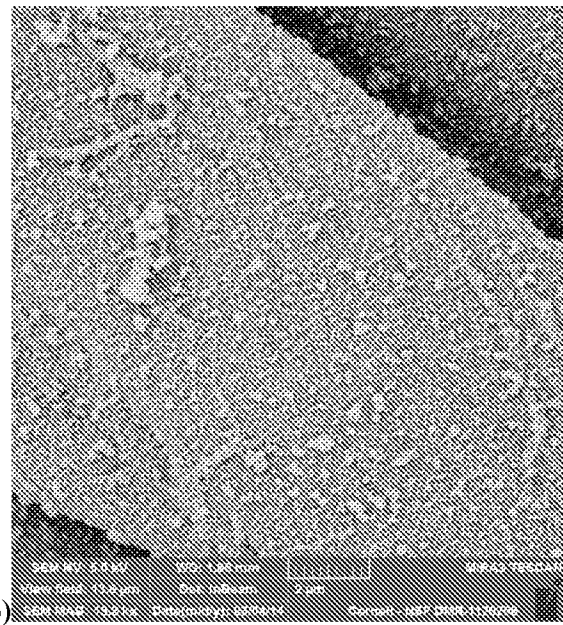


FIG. 23



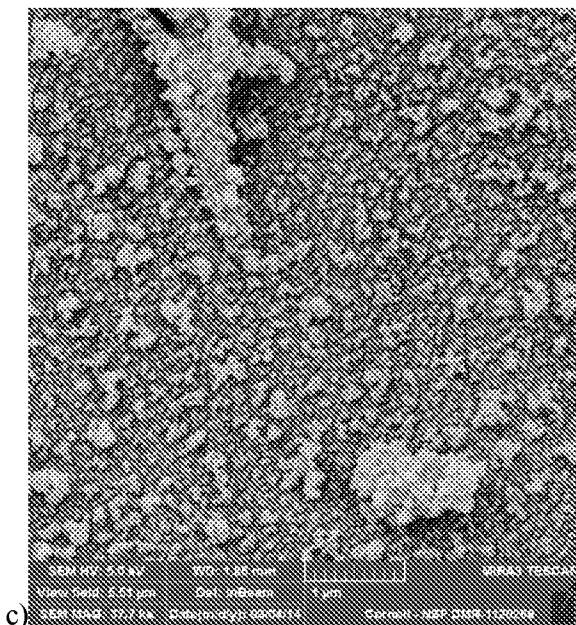
a)

**FIG. 24A**



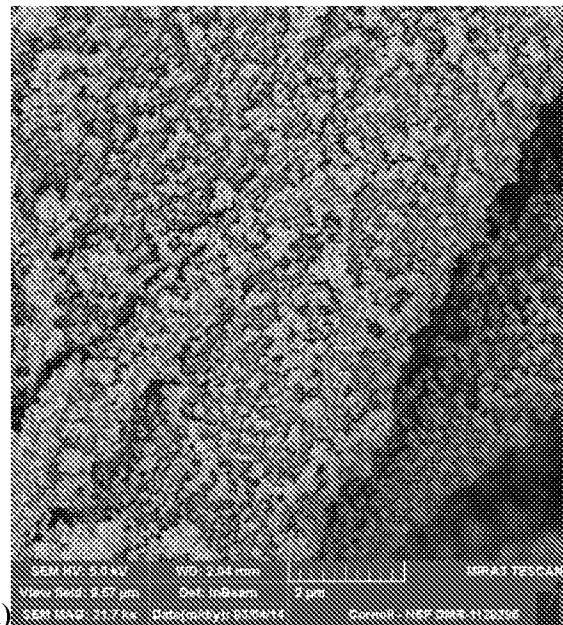
b)

**FIG. 24B**



c)

**FIG. 24C**



d)

**FIG. 24D**





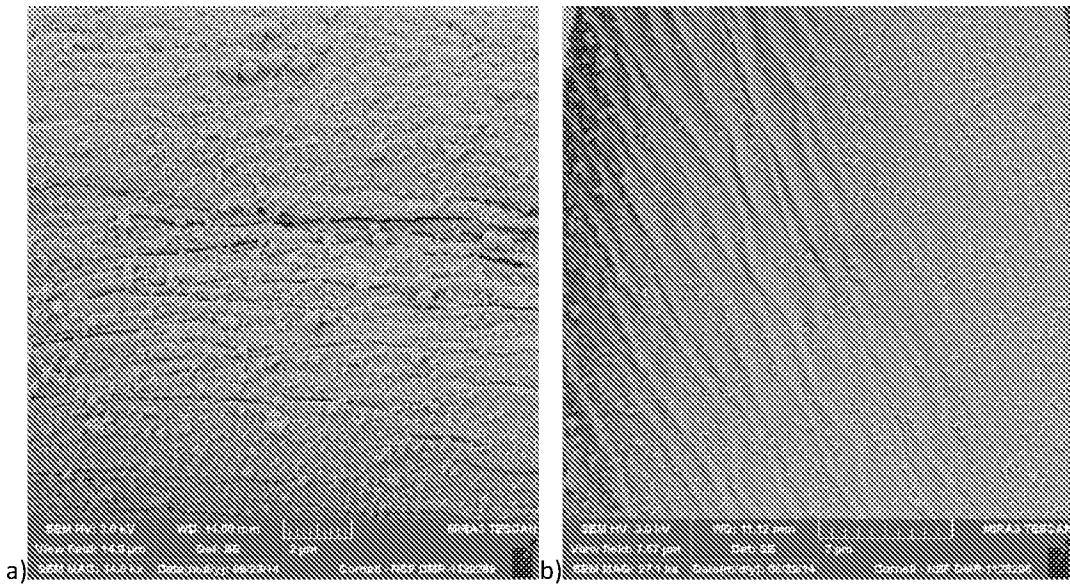


FIG. 28A

FIG. 28B

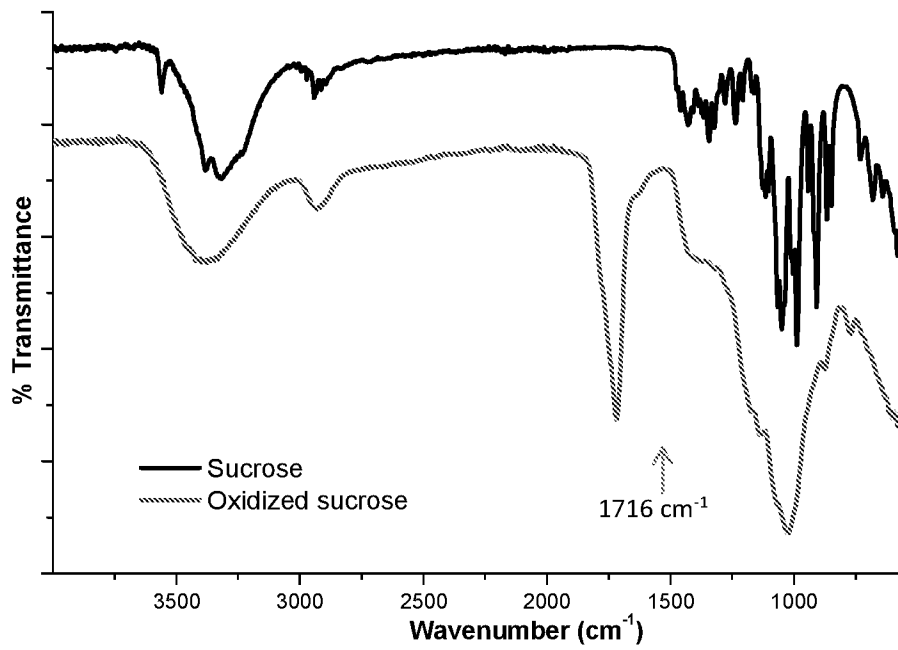


FIG. 29

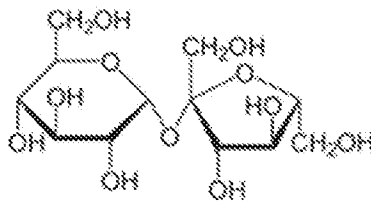


FIG. 30

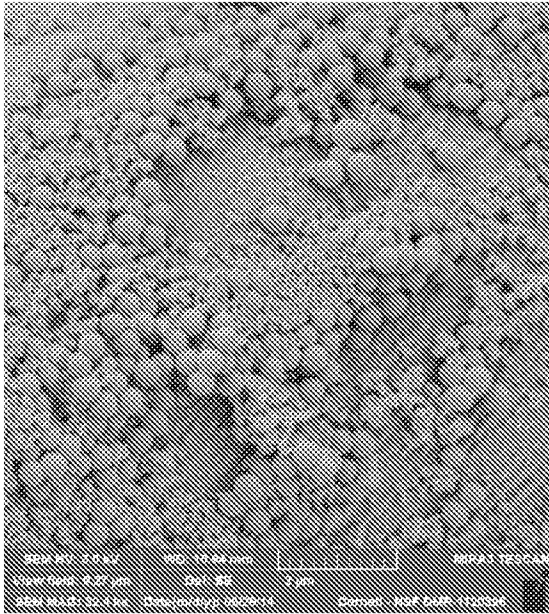


FIG. 31A

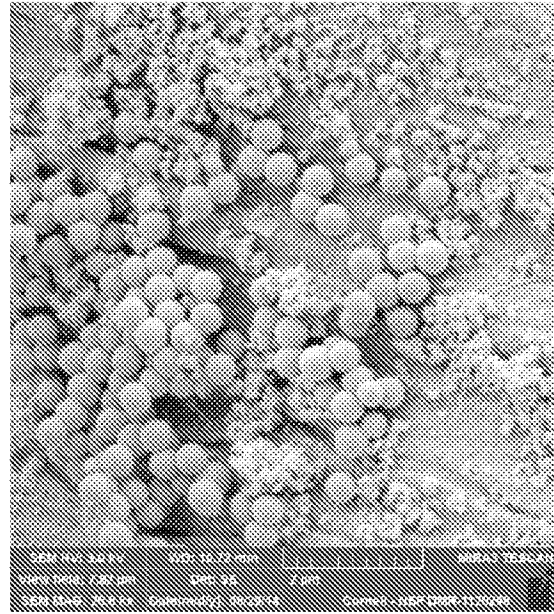


FIG. 31B

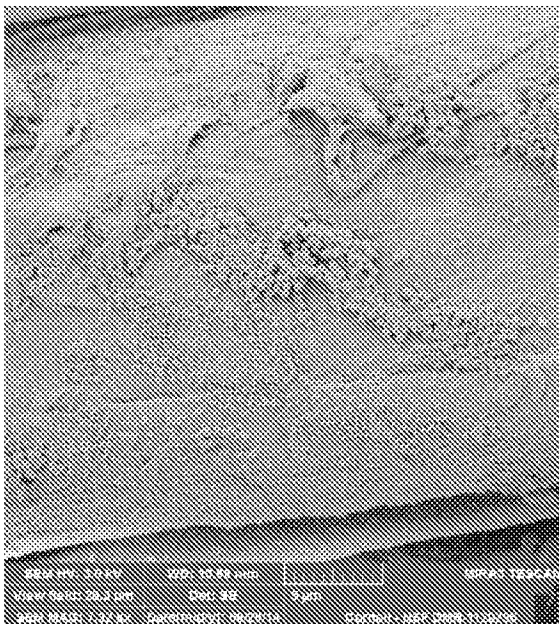


FIG. 32A

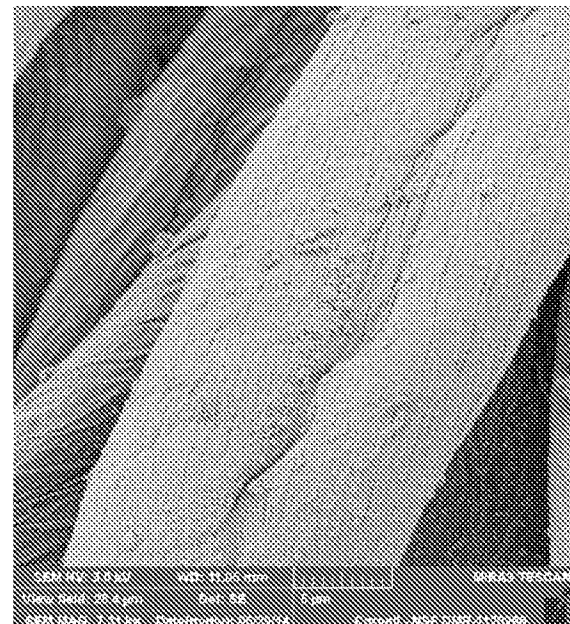


FIG. 32B

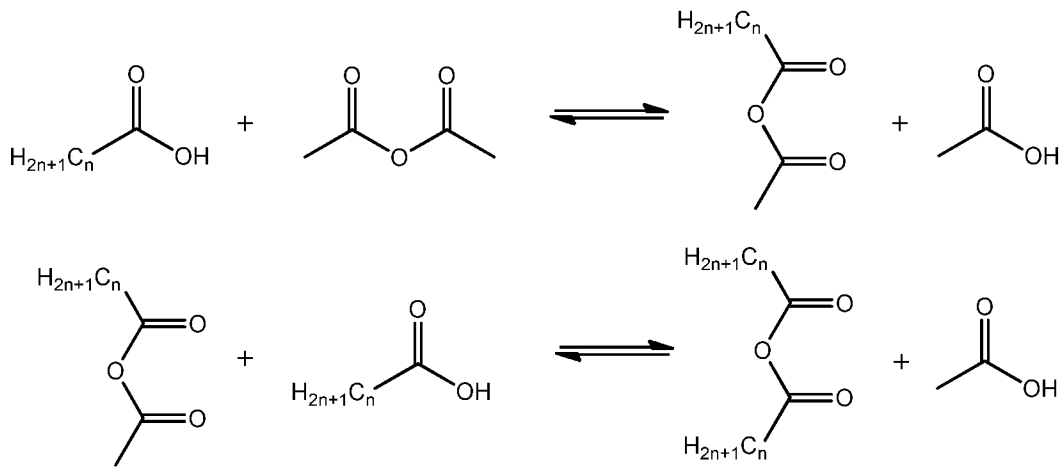


FIG. 33

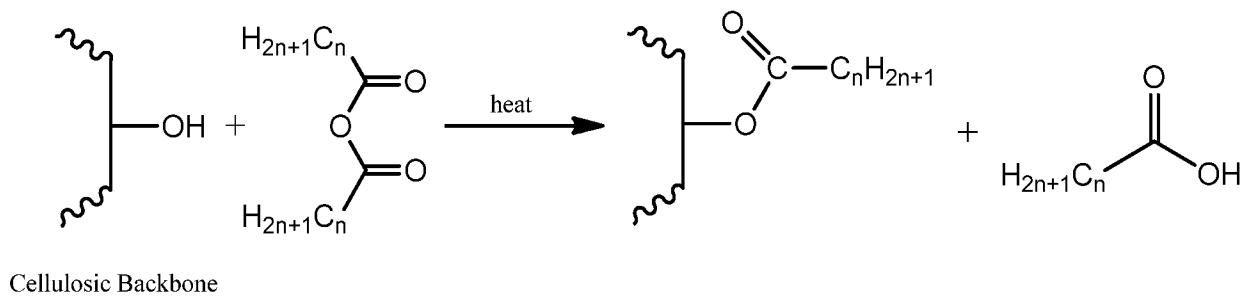


FIG. 34

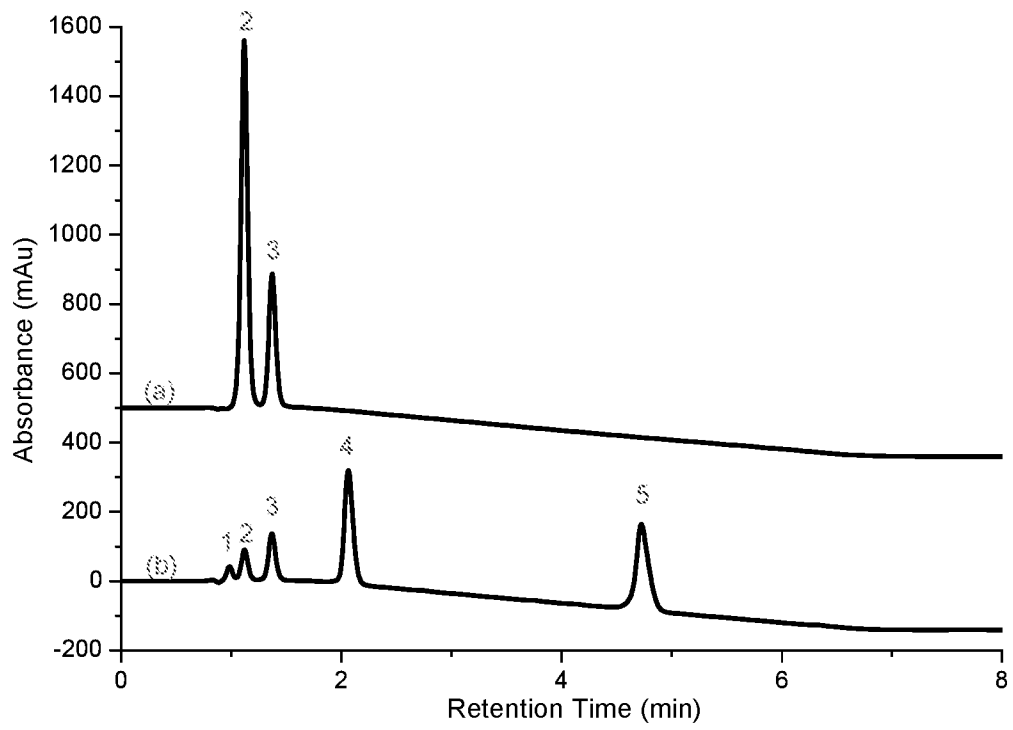


FIG. 35

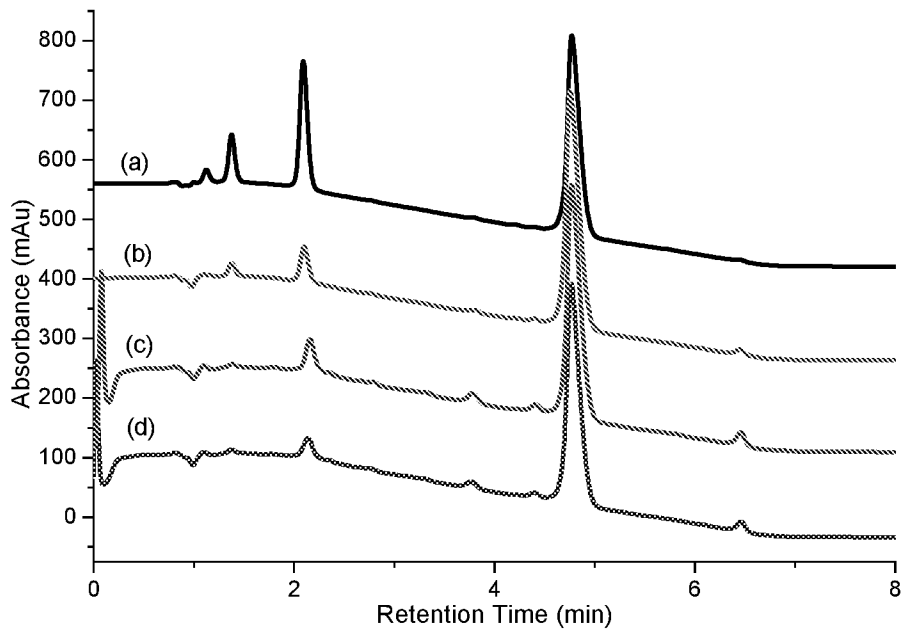
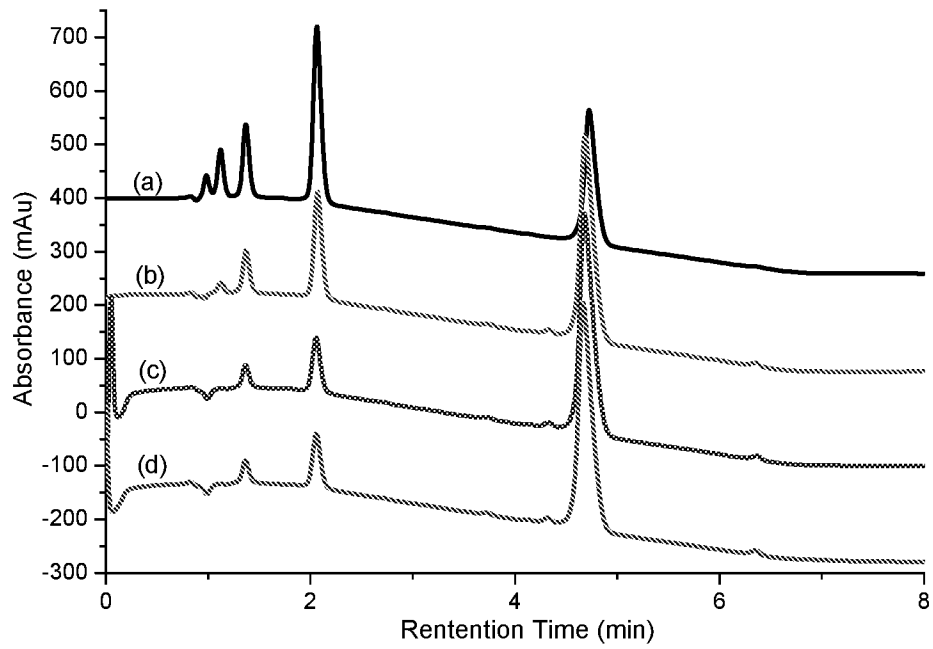
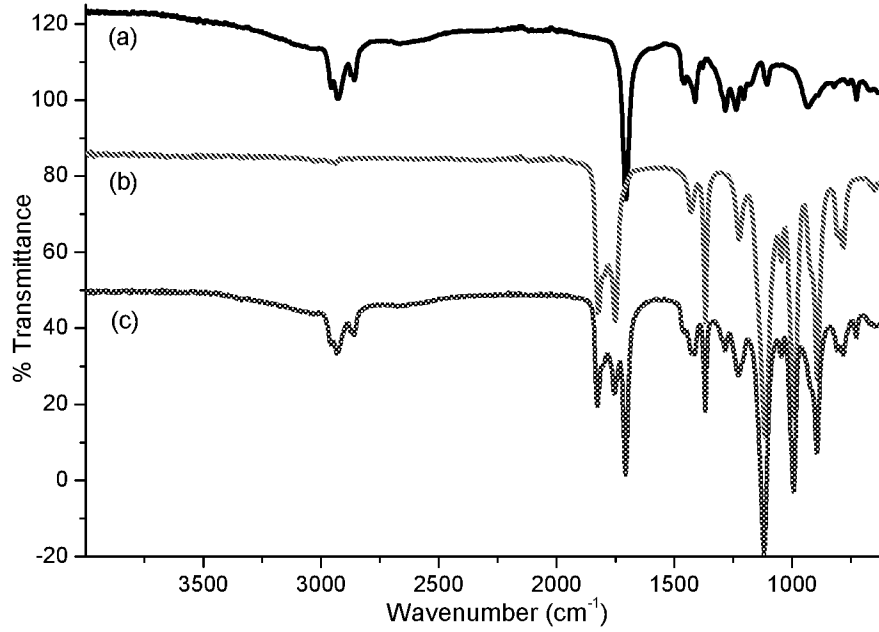


FIG. 36



**FIG. 37**



**FIG. 38**

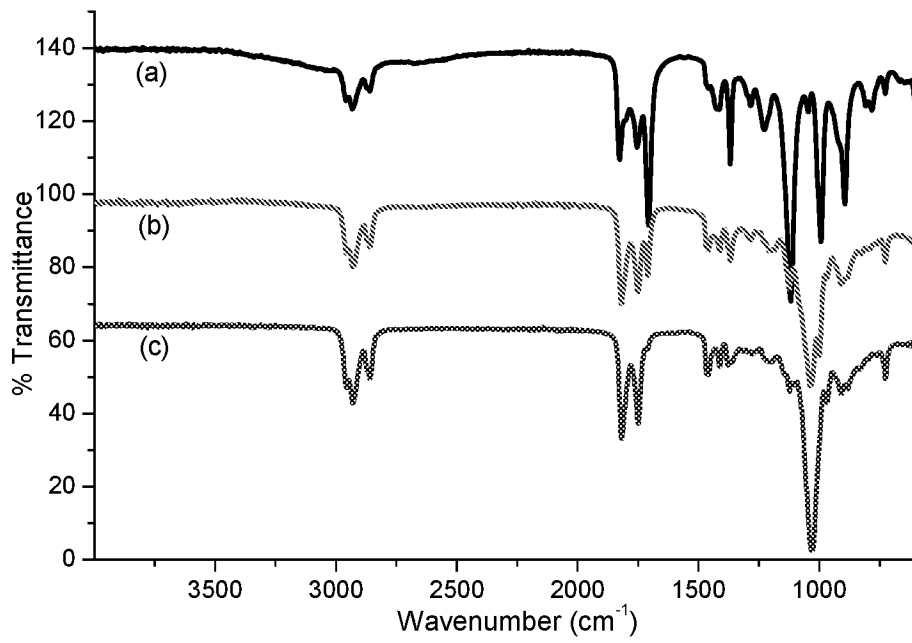


FIG. 39

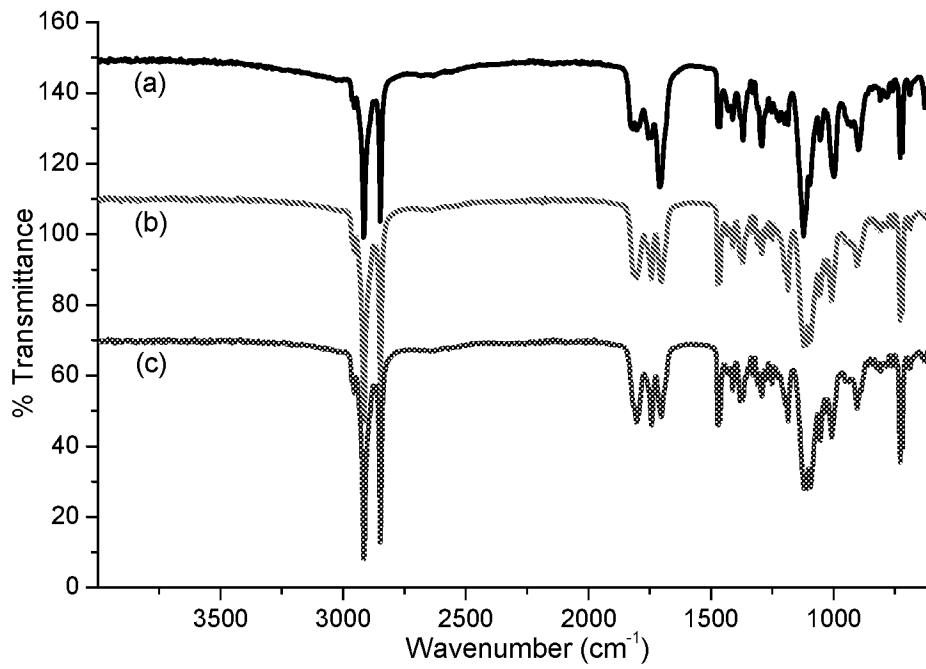


FIG. 40

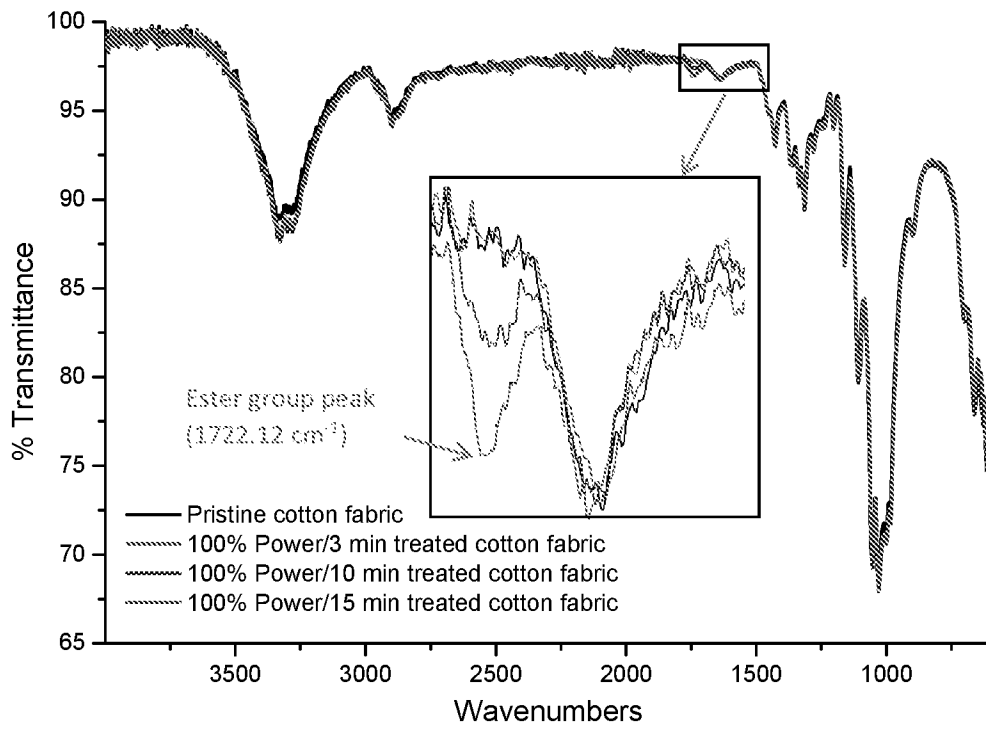
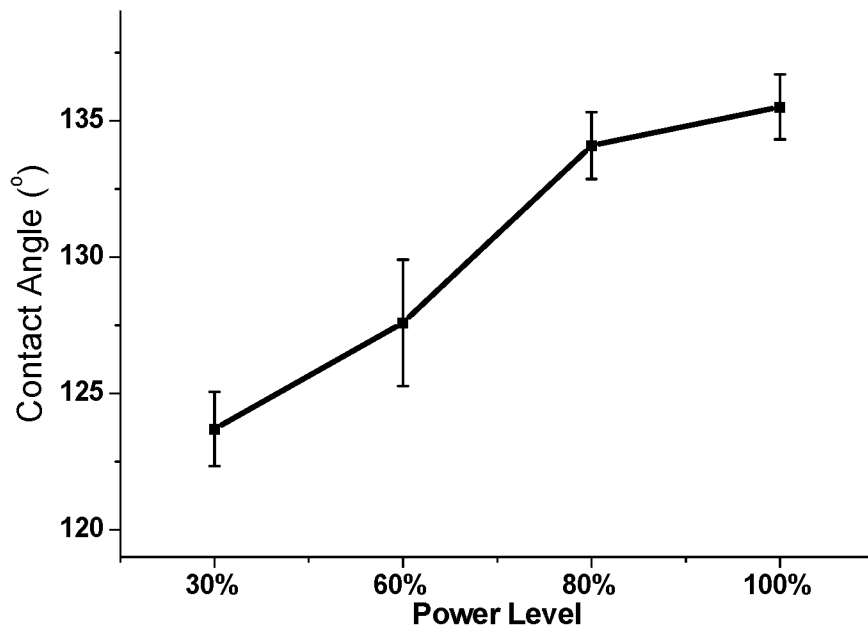
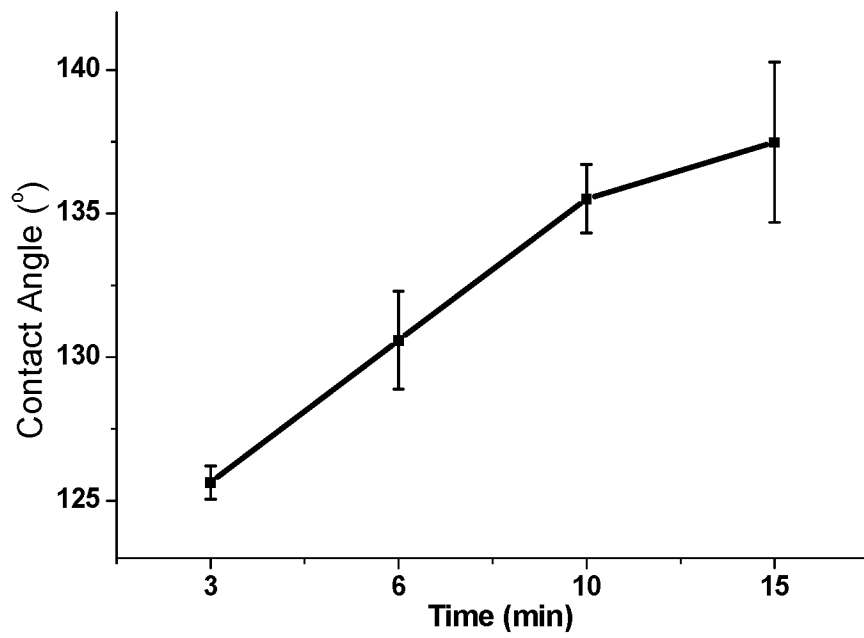


FIG. 41



a)

FIG. 42A



b)

FIG. 42B

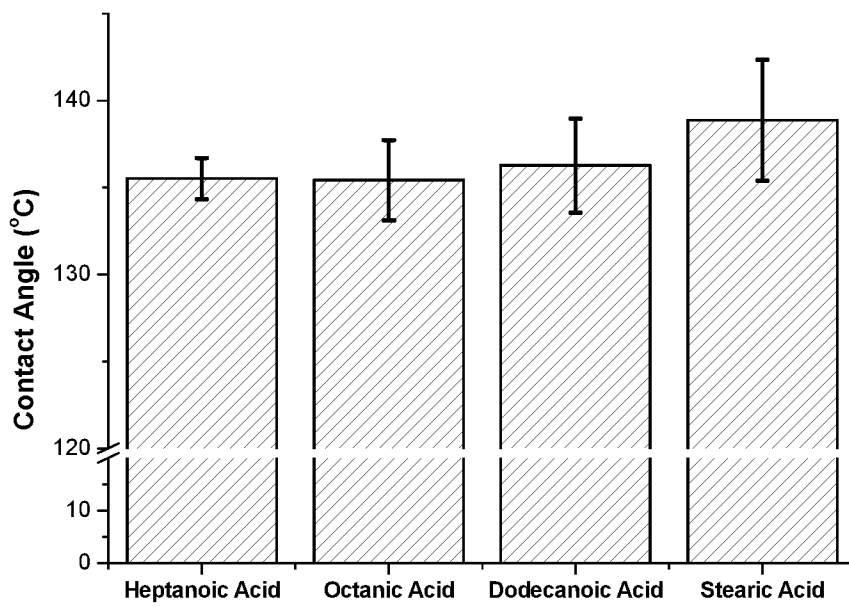


FIG. 43

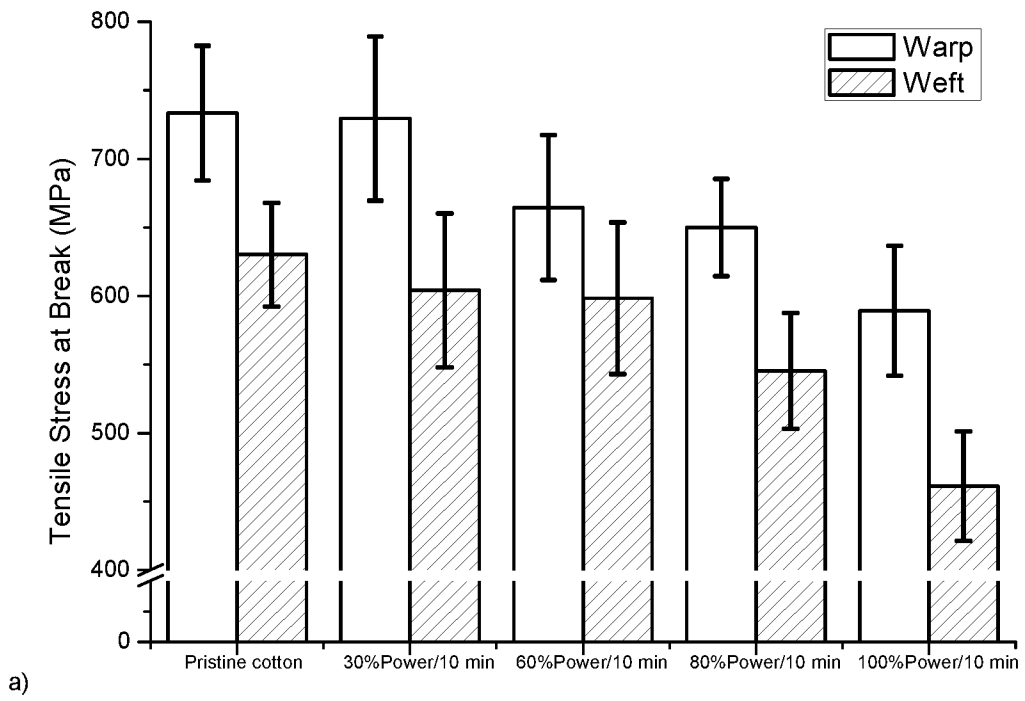


FIG. 44A

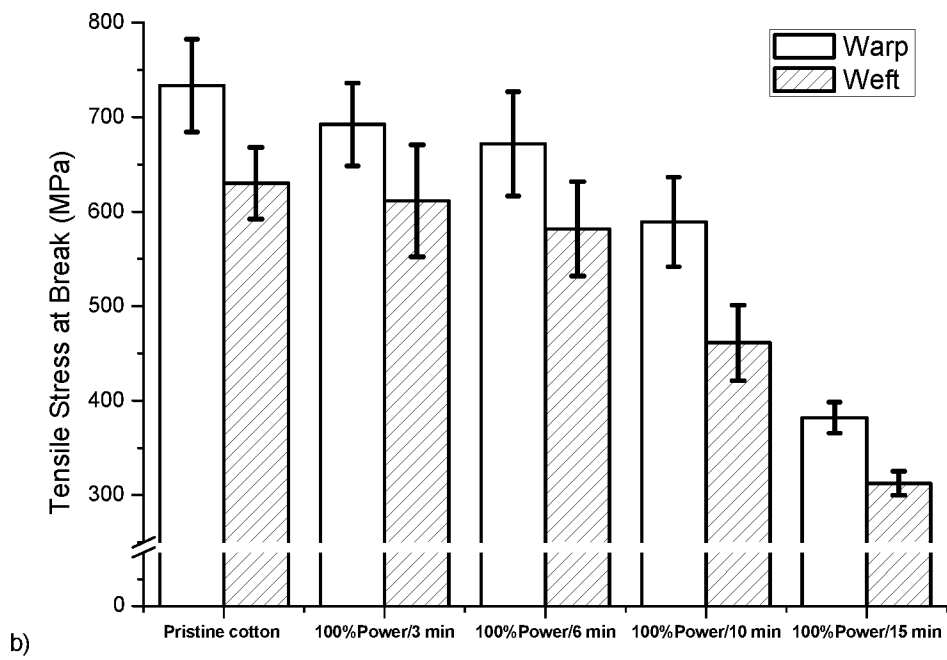


FIG. 44B

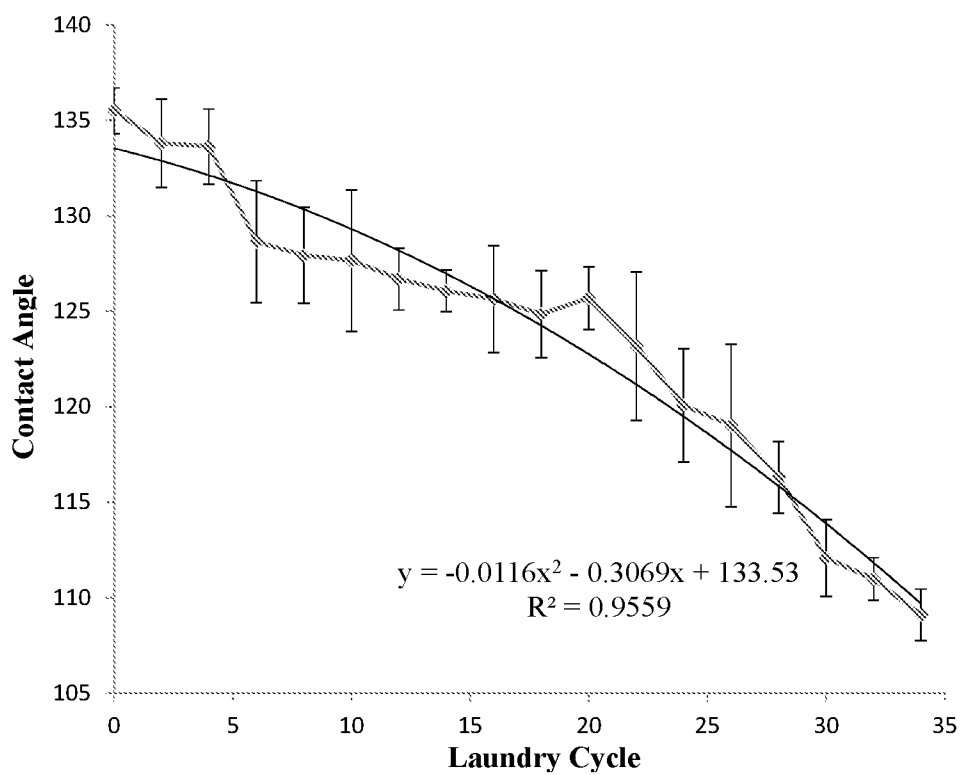


FIG. 45

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US16/36098

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC(8) - D06M 11/00, 11/79, 13/00, 13/184, 14/02, 14/04, 101/06; D01F 1/10, 2/00 (2016.01) CPC - D06M 11/00, 11/79, 13/00, 13/184, 14/02, 14/04; D01F 1/10, 2/00 According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC(8) - D06M 11/00, 11/79, 13/00, 13/184, 14/02, 14/04, 101/06; D01F 1/10, 2/00 (2016.01) CPC - D06M11/00, 11/79, 13/00, 13/184, 14/02, 14/04, 2101/06, 2200/12, 2400/01; D01F 1/10, 2/00 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) PatSeer (US, EP, WO, JP, DE, GB, CN, FR, KR, ES, AU, IN, CA, INPADOC Data); EBSCO; ACS; Google Scholar: cellulosic, cotton, lyocell, jute, fiber, fibril, modified, functionalized, grafted, surface, aliphatic fatty acids, carboxylic acid, amine-silica, functionalized silica, particle, colloid		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	CN 101,768,856 A (UNIV SHAAXI SCIENCE & TECH) 07 July 2010; abstract; paragraphs [0001], [0007], [0012]-[0014], [0021]; claims 1, 5	1-5, 8-9, 11, 12/1-5, 12/8-9, 12/11, 13/1-5, 13/8-9, 13/11, 14/13/1-5, 14/13/8-9, 14/13/11, 15/14/13/1-5, 15/14/13/8-9, 15/14/13/11, 16-23, 25, 27-28, 29/16-23, 29/25, 29/27-28, 30/29/16-23, 30/29/25, 30/29/27-28
Y	(CARPENTER, AW et al.) Influence of Scaffold Size on Bactericidal Activity of Nitric Oxide Releasing Silica Nanoparticles. ACS Nano, Vol. 5, No. 9, 27 September 2011; abstract; page 3, fourth paragraph; page 21, table 2	6-7, 10, 12/6-7, 12/10, 13/6-7, 13/10, 14/13/6-7, 14/13/10, 15/14/13/6-7, 15/14/13/10, 24, 26, 29/24, 29/26, 30/29/24, 30/29/26
Y	CN 102,465,452 A (HONG KONG RES INST OF TEXTILES AND APPAREL) 23 May 2012; abstract; paragraphs [0020], [0041]	6-7, 12/6-7, 13/6-7, 14/13/6-7, 15/14/13/6-7
Y		10, 12/10, 13/10, 14/13/10, 15/14/13/10, 26, 29/26, 30/29/26
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search 23 August 2016 (23.08.2016)		Date of mailing of the international search report <b>21 OCT 2016</b>
Name and mailing address of the ISA/ Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-8300		Authorized officer Shane Thomas PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US16/36098

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 2012/089929 A1 (AALTO UNIVERSITY FOUNDATION) 05 July 2012; abstract; page 4, lines 5-8; page 8, lines 21-28	24, 29/24, 30/29/24
A	CN 104,594,028 A (UNIV SHANGHAI ENG SCIENCE) 06 May 2015; entire document	1
A	CN 103,938,432 A (INST CHEM IND FOREST PROD CAS) 23 July 2014; entire document	1

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US16/36098

**Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I: Claims 1-30 are directed toward a composition comprising a modified cellulosic surface.

Group II: Claims 31-52 are directed toward a process for increasing hydrophobicity and surface roughness of a cellulosic surface.

\*\*\*-Continued Within the Next Supplemental Box-\*\*\*

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  
1-11, 12/1-11, 13/1-11, 14/13/1-11, 15/14/13/1-11, 16-28, 29/16-28, and 30/29/16-28

- Remark on Protest**
- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
  - The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
  - No protest accompanied the payment of additional search fees.

\*\*\*-Continued from Box III: Observations where unity of invention is lacking-\*\*\*

The inventions listed as Groups I-II do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

the special technical features of Group I include amine-silica particles that are covalently bonded to cellulose fibers of the cellulosic surface, which are not present in Group II; and the special technical features of Group II include depositing amine-silica particles onto the cellulosic surface, thereby increasing surface roughness of the cellulosic surface; which are not present in Group I.

The common technical features of Groups I-II are a modified cellulosic surface comprising aliphatic fatty acid molecules; providing a cellulosic surface comprising cellulose fibers; grafting a plurality of aliphatic fatty acid molecules onto the cellulosic surface to yield a modified cellulosic surface having increased hydrophobicity, wherein said grafting comprises reacting fatty anhydride with hydroxyl groups of the cellulose fibers in order to covalently bond the aliphatic fatty acid molecules to the cellulose fibers, wherein said depositing and grafting takes place without using any toxic reagents or exogenous solvents.

These common technical features are disclosed by CN 101768856 A to Univ Shaanxi Science and Tech (hereinafter "Shaanxi"). Shaanxi discloses a modified cellulosic surface comprising aliphatic fatty acid molecules (a cotton fabric with modified cotton fibers; abstract); providing a cellulosic surface comprising cellulose fibers (a cotton fabric with modified cotton fibers; abstract); grafting a plurality of aliphatic fatty acid molecules onto the cellulosic surface to yield a modified cellulosic surface having increased hydrophobicity (the cotton fiber surface is modified using epoxy in presence of long chain alkyl fluorinated long chain dicarboxylic acid (aliphatic fatty acid molecules); abstract; paragraphs [0012]-[0013]), wherein said grafting comprises reacting fatty anhydride with hydroxyl groups of the cellulose fibers in order to covalently bond the aliphatic fatty acid molecules to the cellulose fibers (aminated nano-SiO<sub>2</sub> are attached to the fibers of the cotton fabric via covalent reaction; abstract), wherein said depositing and grafting takes place without using any toxic reagents or exogenous solvents (Shaanxi is silent regarding the use of any toxic reagents or exogenous solvents).

Since the common technical features are previously disclosed by Shaanxi, these common features are not special and so Groups I-II lack unity.