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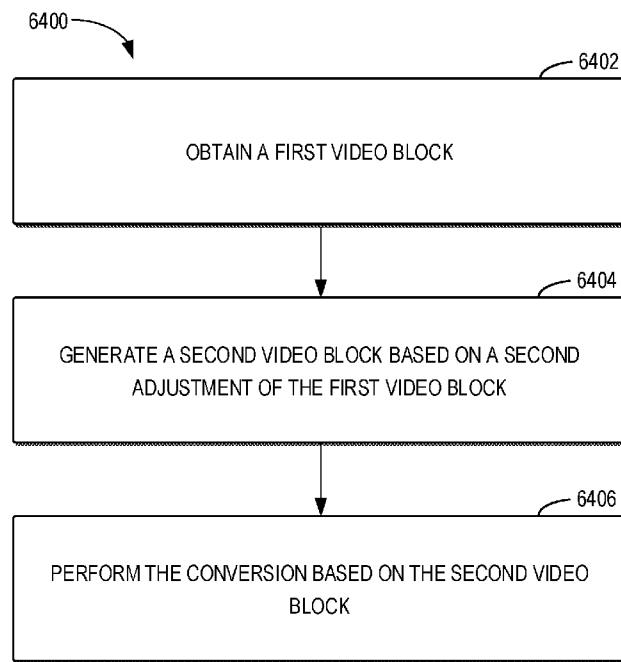
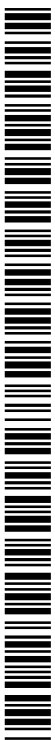


Fig. 64

(57) Abstract: Embodiments of the present disclosure provide a solution for video processing. A method for video processing is proposed. The method comprises: obtaining, for a conversion between a video and a bitstream of the video, a first video block, the first video block being generated based on a first adjustment of a current video block of the video, the first adjustment comprising at least one of adjusting an orientation of the current video block or adjusting positions of samples in the current video block; generating a second video block based on a second adjustment of the first video block, the second adjustment being an inverse process of the first adjustment; and performing the conversion based on the second video block.



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## **METHOD, APPARATUS, AND MEDIUM FOR VIDEO PROCESSING**

### **CROSS REFERENCE TO RELATED APPLICATION**

[0001] This application claims priority to U.S. Provisional Application Number 63/358,704 filed July 6, 2022, which is assigned to the assignee hereof, and incorporated herein by reference in its entirety.

### **FIELDS**

[0002] Embodiments of the present disclosure relates generally to video processing techniques, and more particularly, to an adjustment of a video block for video coding.

### **BACKGROUND**

[0003] In nowadays, digital video capabilities are being applied in various aspects of peoples' lives. Multiple types of video compression technologies, such as MPEG-2, MPEG-4, ITU-TH.263, ITU-TH.264/MPEG-4 Part 10 Advanced Video Coding (AVC), ITU-TH.265 high efficiency video coding (HEVC) standard, versatile video coding (VVC) standard, have been proposed for video encoding/decoding. However, coding efficiency of video coding techniques is generally expected to be further improved.

### **SUMMARY**

[0004] Embodiments of the present disclosure provide a solution for video processing.

[0005] In a first aspect, a method for video processing is proposed. The method comprises: obtaining, for a conversion between a video and a bitstream of the video, a first video block, the first video block being generated based on a first adjustment of a current video block of the video, the first adjustment comprising at least one of adjusting an orientation of the current video block or adjusting positions of samples in the current video block; generating a second video block based on a second adjustment of the first video block, the second adjustment being an inverse process of the first adjustment; and performing the conversion based on the second video block.

[0006] According to the method in accordance with the first aspect of the present disclosure, a geometry adjustment and a corresponding inverse geometry adjustment are performed for coding a video block. Compared with the conventional solution, the proposed method can advantageously adapt a coding scheme of a video block to its signal and texture distributions. Thereby, the coding efficiency can be improved.

[0007] In a second aspect, an apparatus for video processing is proposed. The apparatus comprises a processor and a non-transitory memory with instructions thereon. The instructions upon execution by the processor, cause the processor to perform a method in accordance with the first aspect of the present disclosure.

[0008] In a third aspect, a non-transitory computer-readable storage medium is proposed. The non-transitory computer-readable storage medium stores instructions that cause a processor to perform a method in accordance with the first aspect of the present disclosure.

[0009] In a fourth aspect, another non-transitory computer-readable recording medium is proposed. The non-transitory computer-readable recording medium stores a bitstream of a video which is generated by a method performed by an apparatus for video processing. The method comprises: obtaining a first video block, the first video block being generated based on a first adjustment of a current video block of the video, the first adjustment comprising at least one of adjusting an orientation of the current video block or adjusting positions of samples in the current video block; generating a second video block based on a second adjustment of the first video block, the second adjustment being an inverse process of the first adjustment; and generating the bitstream based on the second video block.

[0010] In a fifth aspect, a method for storing a bitstream of a video is proposed. The method comprises: obtaining a first video block, the first video block being generated based on a first adjustment of a current video block of the video, the first adjustment comprising at least one of adjusting an orientation of the current video block or adjusting positions of samples in the current video block; generating a second video block based on a second adjustment of the first video block, the second adjustment being an inverse process of the first adjustment; generating the bitstream based on the second video block; and storing the bitstream in a non-transitory computer-readable recording medium.

[0011] This Summary is provided to introduce a selection of concepts in a simplified form that are further described below in the Detailed Description. This Summary is not intended to identify key features or essential features of the claimed subject matter, nor is it intended to be used to limit the scope of the claimed subject matter.

[0012]

**BRIEF DESCRIPTION OF THE DRAWINGS**

[0013] Through the following detailed description with reference to the accompanying drawings, the above and other objectives, features, and advantages of example embodiments of the present disclosure will become more apparent. In the example embodiments of the present disclosure, the same reference numerals usually refer to the same components.

[0014] Fig. 1 illustrates a block diagram that illustrates an example video coding system, in accordance with some embodiments of the present disclosure;

[0015] Fig. 2 illustrates a block diagram that illustrates a first example video encoder, in accordance with some embodiments of the present disclosure;

[0016] Fig. 3 illustrates a block diagram that illustrates an example video decoder, in accordance with some embodiments of the present disclosure;

[0017] Fig. 4 illustrates nominal vertical and horizontal locations of 4:2:2 luma and chroma samples in a picture;

[0018] Fig. 5 illustrates an example of encoder block diagram;

[0019] Fig. 6 illustrates 67 intra prediction modes;

[0020] Fig. 7 illustrates reference samples for wide-angular intra prediction;

[0021] Fig. 8 illustrates problem of discontinuity in case of directions beyond 45°;

[0022] Fig. 9 illustrates locations of the samples used for the derivation of  $\alpha$  and  $\beta$ ;

[0023] Fig. 10 illustrates an example of classifying the neighboring samples into two groups;

[0024] Fig. 11A is a schematic diagram illustrating definition of samples used by PDPC applied to a diagonal top-right mode;

[0025] Fig. 11B is a schematic diagram illustrating definition of samples used by PDPC applied to a diagonal bottom-left mode;

[0026] Fig. 11C is a schematic diagram illustrating definition of samples used by PDPC applied to an adjacent diagonal top-right mode;

[0027] Fig. 11D is a schematic diagram illustrating definition of samples used by PDPC

applied to an adjacent diagonal bottom-left mode;

[0028] Fig. 12 illustrates gradient approach for non-vertical/non-horizontal mode;

[0029] Fig. 13 illustrates nScale values with respect to nTbH and mode number; for all nScale<0 cases gradient approach is used;

[0030] Fig. 14 illustrates a flowchart: current PDPC (left), and proposed PDPC (right);

[0031] Fig. 15 illustrates neighboring blocks (L, A, BL, AR, AL) used in the derivation of a general MPM list;

[0032] Fig. 16 illustrates an example on proposed intra reference mapping;

[0033] Fig. 17 illustrates an example of four reference lines neighboring to a prediction block;

[0034] Fig. 18A is a schematic diagram illustrating examples of sub-partitions for 4×8 and 8×4 CUs;

[0035] Fig. 18B is a schematic diagram illustrating examples of sub-partitions for CUs other than 4×8, 8×4 and 4×4;

[0036] Fig. 19 illustrates matrix weighted intra prediction process;

[0037] Fig. 20 illustrates target samples, template samples and the reference samples of template used in the DIMD;

[0038] Fig. 21 illustrates proposed intra block decoding process;

[0039] Fig. 22 illustrates HoG computation from a template of width 3 pixels;

[0040] Fig. 23 illustrates prediction fusion by weighted averaging of two HoG modes and planar;

[0041] Fig. 24 illustrates MMVD search point;

[0042] Fig. 25 illustrates a symmetrical MVD mode;

[0043] Fig. 26 illustrates extended CU region used in BDOF;

[0044] Fig. 27 illustrates control point based affine motion model;

[0045] Fig. 28 illustrates affine MVF per subblock;

- [0046] Fig. 29 illustrates locations of inherited affine motion predictors;
- [0047] Fig. 30 illustrates control point motion vector inheritance;
- [0048] Fig. 31 illustrates locations of candidates position for constructed affine merge mode;
- [0049] Fig. 32 is illustration of motion vector usage for proposed combined method;
- [0050] Fig. 33 illustrates subblock MV VSB and pixel  $\Delta v(i,j)$  (gray arrow);
- [0051] Fig. 34A illustrates spatial neighboring blocks used by ATVMP;
- [0052] Fig. 34B illustrates deriving sub-CU motion field by applying a motion shift from spatial neighbor and scaling the motion information from the corresponding collocated sub-CUs;
- [0053] Fig. 35 illustrates location illumination compensation;
- [0054] Fig. 36 illustrates that no subsampling for the short side is performed;
- [0055] Fig. 37 illustrates decoding side motion vector refinement;
- [0056] Fig. 38 illustrates diamond regions in the search area;
- [0057] Fig. 39 illustrates positions of spatial merge candidate;
- [0058] Fig. 40 illustrates candidate pairs considered for redundancy check of spatial merge candidates;
- [0059] Fig. 41 is illustration of motion vector scaling for temporal merge candidate;
- [0060] Fig. 42 illustrates candidate positions for temporal merge candidate,  $C_0$  and  $C_1$ ;
- [0061] Fig. 43 illustrates VVC spatial neighboring blocks of the current block;
- [0062] Fig. 44 is illustration of virtual block in the  $i$ -th search round;
- [0063] Fig. 45 illustrates examples of the GPM splits grouped by identical angles;
- [0064] Fig. 46 illustrates uni-prediction MV selection for geometric partitioning mode;
- [0065] Fig. 47 illustrates exemplified generation of a bending weight  $w_0$  using geometric partitioning mode;
- [0066] Fig. 48 illustrates spatial neighboring blocks used to derive the spatial merge

candidates;

[0067] Fig. 49 illustrates template matching performs on a search area around initial MV;

[0068] Fig. 50 is illustration of sub-blocks where OBMC applies;

[0069] Fig. 51 illustrates SBT position, type and transform type;

[0070] Fig. 52 illustrates neighboring samples used for calculating SAD;

[0071] Fig. 53 illustrates neighboring samples used for calculating SAD for sub-CU level motion information;

[0072] Fig. 54 illustrates the sorting process;

[0073] Fig. 55 illustrates reorder process in encoder;

[0074] Fig. 56 illustrates reorder process in decoder;

[0075] Fig. 57 illustrates vertical flip;

[0076] Fig. 58 illustrates horizontal flip;

[0077] Fig. 59 illustrates 180° rotation;

[0078] Fig. 60 illustrates 90° clockwise rotation;

[0079] Fig. 61 illustrates 270° clockwise rotation;

[0080] Fig. 62A illustrates an original reconstruction for illustrating CCLM derived luma sample adjustment in horizontal flip;

[0081] Fig. 62B illustrates a horizontally flipped reconstruction for illustrating CCLM derived luma sample adjustment in horizontal flip;

[0082] Fig. 63A illustrates an original reconstruction for illustrating CCLM derived luma sample adjustment in 180° rotation;

[0083] Fig. 63B illustrates a rotated reconstruction for illustrating CCLM derived luma sample adjustment in 180° rotation;

[0084] Fig. 64 illustrates a flowchart of a method for video processing in accordance with embodiments of the present disclosure; and

[0085] Fig. 65 illustrates a block diagram of a computing device in which various embodiments of the present disclosure can be implemented.

[0086] Throughout the drawings, the same or similar reference numerals usually refer to the same or similar elements.

#### **DETAILED DESCRIPTION**

[0087] Principle of the present disclosure will now be described with reference to some embodiments. It is to be understood that these embodiments are described only for the purpose of illustration and help those skilled in the art to understand and implement the present disclosure, without suggesting any limitation as to the scope of the disclosure. The disclosure described herein can be implemented in various manners other than the ones described below.

[0088] In the following description and claims, unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skills in the art to which this disclosure belongs.

[0089] References in the present disclosure to “one embodiment,” “an embodiment,” “an example embodiment,” and the like indicate that the embodiment described may include a particular feature, structure, or characteristic, but it is not necessary that every embodiment includes the particular feature, structure, or characteristic. Moreover, such phrases are not necessarily referring to the same embodiment. Further, when a particular feature, structure, or characteristic is described in connection with an example embodiment, it is submitted that it is within the knowledge of one skilled in the art to affect such feature, structure, or characteristic in connection with other embodiments whether or not explicitly described.

[0090] It shall be understood that although the terms “first” and “second” etc. may be used herein to describe various elements, these elements should not be limited by these terms. These terms are only used to distinguish one element from another. For example, a first element could be termed a second element, and similarly, a second element could be termed a first element, without departing from the scope of example embodiments. As used herein, the term “and/or” includes any and all combinations of one or more of the listed terms.

[0091] The terminology used herein is for the purpose of describing particular

embodiments only and is not intended to be limiting of example embodiments. As used herein, the singular forms “a”, “an” and “the” are intended to include the plural forms as well, unless the context clearly indicates otherwise. It will be further understood that the terms “comprises”, “comprising”, “has”, “having”, “includes” and/or “including”, when used herein, specify the presence of stated features, elements, and/or components etc., but do not preclude the presence or addition of one or more other features, elements, components and/ or combinations thereof.

### **Example Environment**

[0092] Fig. 1 is a block diagram that illustrates an example video coding system 100 that may utilize the techniques of this disclosure. As shown, the video coding system 100 may include a source device 110 and a destination device 120. The source device 110 can be also referred to as a video encoding device, and the destination device 120 can be also referred to as a video decoding device. In operation, the source device 110 can be configured to generate encoded video data and the destination device 120 can be configured to decode the encoded video data generated by the source device 110. The source device 110 may include a video source 112, a video encoder 114, and an input/output (I/O) interface 116.

[0093] The video source 112 may include a source such as a video capture device. Examples of the video capture device include, but are not limited to, an interface to receive video data from a video content provider, a computer graphics system for generating video data, and/or a combination thereof.

[0094] The video data may comprise one or more pictures. The video encoder 114 encodes the video data from the video source 112 to generate a bitstream. The bitstream may include a sequence of bits that form a coded representation of the video data. The bitstream may include coded pictures and associated data. The coded picture is a coded representation of a picture. The associated data may include sequence parameter sets, picture parameter sets, and other syntax structures. The I/O interface 116 may include a modulator/demodulator and/or a transmitter. The encoded video data may be transmitted directly to destination device 120 via the I/O interface 116 through the network 130A. The encoded video data may also be stored onto a storage medium/server 130B for access by destination device 120.

[0095] The destination device 120 may include an I/O interface 126, a video decoder 124, and a display device 122. The I/O interface 126 may include a receiver and/or a modem. The I/O interface 126 may acquire encoded video data from the source device 110 or the storage medium/server 130B. The video decoder 124 may decode the encoded video data. The display device 122 may display the decoded video data to a user. The display device 122 may be integrated with the destination device 120, or may be external to the destination device 120 which is configured to interface with an external display device.

[0096] The video encoder 114 and the video decoder 124 may operate according to a video compression standard, such as the High Efficiency Video Coding (HEVC) standard, Versatile Video Coding (VVC) standard and other current and/or further standards.

[0097] Fig. 2 is a block diagram illustrating an example of a video encoder 200, which may be an example of the video encoder 114 in the system 100 illustrated in Fig. 1, in accordance with some embodiments of the present disclosure.

[0098] The video encoder 200 may be configured to implement any or all of the techniques of this disclosure. In the example of Fig. 2, the video encoder 200 includes a plurality of functional components. The techniques described in this disclosure may be shared among the various components of the video encoder 200. In some examples, a processor may be configured to perform any or all of the techniques described in this disclosure.

[0099] In some embodiments, the video encoder 200 may include a partition unit 201, a prediction unit 202 which may include a mode select unit 203, a motion estimation unit 204, a motion compensation unit 205 and an intra-prediction unit 206, a residual generation unit 207, a transform unit 208, a quantization unit 209, an inverse quantization unit 210, an inverse transform unit 211, a reconstruction unit 212, a buffer 213, and an entropy encoding unit 214.

[0100] In other examples, the video encoder 200 may include more, fewer, or different functional components. In an example, the prediction unit 202 may include an intra block copy (IBC) unit. The IBC unit may perform prediction in an IBC mode in which at least one reference picture is a picture where the current video block is located.

[0101] Furthermore, although some components, such as the motion estimation unit 204

and the motion compensation unit 205, may be integrated, but are represented in the example of Fig. 2 separately for purposes of explanation.

[0102] The partition unit 201 may partition a picture into one or more video blocks. The video encoder 200 and the video decoder 300 may support various video block sizes.

[0103] The mode select unit 203 may select one of the coding modes, intra or inter, e.g., based on error results, and provide the resulting intra-coded or inter-coded block to a residual generation unit 207 to generate residual block data and to a reconstruction unit 212 to reconstruct the encoded block for use as a reference picture. In some examples, the mode select unit 203 may select a combination of intra and inter prediction (CIIP) mode in which the prediction is based on an inter prediction signal and an intra prediction signal. The mode select unit 203 may also select a resolution for a motion vector (e.g., a sub-pixel or integer pixel precision) for the block in the case of inter-prediction.

[0104] To perform inter prediction on a current video block, the motion estimation unit 204 may generate motion information for the current video block by comparing one or more reference frames from buffer 213 to the current video block. The motion compensation unit 205 may determine a predicted video block for the current video block based on the motion information and decoded samples of pictures from the buffer 213 other than the picture associated with the current video block.

[0105] The motion estimation unit 204 and the motion compensation unit 205 may perform different operations for a current video block, for example, depending on whether the current video block is in an I-slice, a P-slice, or a B-slice. As used herein, an "I-slice" may refer to a portion of a picture composed of macroblocks, all of which are based upon macroblocks within the same picture. Further, as used herein, in some aspects, "P-slices" and "B-slices" may refer to portions of a picture composed of macroblocks that are not dependent on macroblocks in the same picture.

[0106] In some examples, the motion estimation unit 204 may perform uni-directional prediction for the current video block, and the motion estimation unit 204 may search reference pictures of list 0 or list 1 for a reference video block for the current video block. The motion estimation unit 204 may then generate a reference index that indicates the reference picture in list 0 or list 1 that contains the reference video block and a motion vector that indicates a spatial displacement between the current video block and the reference video block. The motion estimation unit 204 may output the reference index, a

prediction direction indicator, and the motion vector as the motion information of the current video block. The motion compensation unit 205 may generate the predicted video block of the current video block based on the reference video block indicated by the motion information of the current video block.

[0107] Alternatively, in other examples, the motion estimation unit 204 may perform bi-directional prediction for the current video block. The motion estimation unit 204 may search the reference pictures in list 0 for a reference video block for the current video block and may also search the reference pictures in list 1 for another reference video block for the current video block. The motion estimation unit 204 may then generate reference indexes that indicate the reference pictures in list 0 and list 1 containing the reference video blocks and motion vectors that indicate spatial displacements between the reference video blocks and the current video block. The motion estimation unit 204 may output the reference indexes and the motion vectors of the current video block as the motion information of the current video block. The motion compensation unit 205 may generate the predicted video block of the current video block based on the reference video blocks indicated by the motion information of the current video block.

[0108] In some examples, the motion estimation unit 204 may output a full set of motion information for decoding processing of a decoder. Alternatively, in some embodiments, the motion estimation unit 204 may signal the motion information of the current video block with reference to the motion information of another video block. For example, the motion estimation unit 204 may determine that the motion information of the current video block is sufficiently similar to the motion information of a neighboring video block.

[0109] In one example, the motion estimation unit 204 may indicate, in a syntax structure associated with the current video block, a value that indicates to the video decoder 300 that the current video block has the same motion information as the another video block.

[0110] In another example, the motion estimation unit 204 may identify, in a syntax structure associated with the current video block, another video block and a motion vector difference (MVD). The motion vector difference indicates a difference between the motion vector of the current video block and the motion vector of the indicated video block. The video decoder 300 may use the motion vector of the indicated video block and the motion vector difference to determine the motion vector of the current video block.

[0111] As discussed above, video encoder 200 may predictively signal the motion vector. Two examples of predictive signaling techniques that may be implemented by video encoder 200 include advanced motion vector prediction (AMVP) and merge mode signaling.

[0112] The intra prediction unit 206 may perform intra prediction on the current video block. When the intra prediction unit 206 performs intra prediction on the current video block, the intra prediction unit 206 may generate prediction data for the current video block based on decoded samples of other video blocks in the same picture. The prediction data for the current video block may include a predicted video block and various syntax elements.

[0113] The residual generation unit 207 may generate residual data for the current video block by subtracting (e.g., indicated by the minus sign) the predicted video block (s) of the current video block from the current video block. The residual data of the current video block may include residual video blocks that correspond to different sample components of the samples in the current video block.

[0114] In other examples, there may be no residual data for the current video block for the current video block, for example in a skip mode, and the residual generation unit 207 may not perform the subtracting operation.

[0115] The transform processing unit 208 may generate one or more transform coefficient video blocks for the current video block by applying one or more transforms to a residual video block associated with the current video block.

[0116] After the transform processing unit 208 generates a transform coefficient video block associated with the current video block, the quantization unit 209 may quantize the transform coefficient video block associated with the current video block based on one or more quantization parameter (QP) values associated with the current video block.

[0117] The inverse quantization unit 210 and the inverse transform unit 211 may apply inverse quantization and inverse transforms to the transform coefficient video block, respectively, to reconstruct a residual video block from the transform coefficient video block. The reconstruction unit 212 may add the reconstructed residual video block to corresponding samples from one or more predicted video blocks generated by the prediction unit 202 to produce a reconstructed video block associated with the current

video block for storage in the buffer 213.

[0118] After the reconstruction unit 212 reconstructs the video block, loop filtering operation may be performed to reduce video blocking artifacts in the video block.

[0119] The entropy encoding unit 214 may receive data from other functional components of the video encoder 200. When the entropy encoding unit 214 receives the data, the entropy encoding unit 214 may perform one or more entropy encoding operations to generate entropy encoded data and output a bitstream that includes the entropy encoded data.

[0120] Fig. 3 is a block diagram illustrating an example of a video decoder 300, which may be an example of the video decoder 124 in the system 100 illustrated in Fig. 1, in accordance with some embodiments of the present disclosure.

[0121] The video decoder 300 may be configured to perform any or all of the techniques of this disclosure. In the example of Fig. 3, the video decoder 300 includes a plurality of functional components. The techniques described in this disclosure may be shared among the various components of the video decoder 300. In some examples, a processor may be configured to perform any or all of the techniques described in this disclosure.

[0122] In the example of Fig. 3, the video decoder 300 includes an entropy decoding unit 301, a motion compensation unit 302, an intra prediction unit 303, an inverse quantization unit 304, an inverse transformation unit 305, and a reconstruction unit 306 and a buffer 307. The video decoder 300 may, in some examples, perform a decoding pass generally reciprocal to the encoding pass described with respect to video encoder 200.

[0123] The entropy decoding unit 301 may retrieve an encoded bitstream. The encoded bitstream may include entropy coded video data (e.g., encoded blocks of video data). The entropy decoding unit 301 may decode the entropy coded video data, and from the entropy decoded video data, the motion compensation unit 302 may determine motion information including motion vectors, motion vector precision, reference picture list indexes, and other motion information. The motion compensation unit 302 may, for example, determine such information by performing the AMVP and merge mode. AMVP is used, including derivation of several most probable candidates based on data from adjacent PBs and the reference picture. Motion information typically includes the horizontal and vertical motion vector displacement values, one or two reference picture indices, and, in

the case of prediction regions in B slices, an identification of which reference picture list is associated with each index. As used herein, in some aspects, a “merge mode” may refer to deriving the motion information from spatially or temporally neighboring blocks.

[0124] The motion compensation unit 302 may produce motion compensated blocks, possibly performing interpolation based on interpolation filters. Identifiers for interpolation filters to be used with sub-pixel precision may be included in the syntax elements.

[0125] The motion compensation unit 302 may use the interpolation filters as used by the video encoder 200 during encoding of the video block to calculate interpolated values for sub-integer pixels of a reference block. The motion compensation unit 302 may determine the interpolation filters used by the video encoder 200 according to the received syntax information and use the interpolation filters to produce predictive blocks.

[0126] The motion compensation unit 302 may use at least part of the syntax information to determine sizes of blocks used to encode frame(s) and/or slice(s) of the encoded video sequence, partition information that describes how each macroblock of a picture of the encoded video sequence is partitioned, modes indicating how each partition is encoded, one or more reference frames (and reference frame lists) for each inter-encoded block, and other information to decode the encoded video sequence. As used herein, in some aspects, a “slice” may refer to a data structure that can be decoded independently from other slices of the same picture, in terms of entropy coding, signal prediction, and residual signal reconstruction. A slice can either be an entire picture or a region of a picture.

[0127] The intra prediction unit 303 may use intra prediction modes for example received in the bitstream to form a prediction block from spatially adjacent blocks. The inverse quantization unit 304 inverse quantizes, i.e., de-quantizes, the quantized video block coefficients provided in the bitstream and decoded by entropy decoding unit 301. The inverse transform unit 305 applies an inverse transform.

[0128] The reconstruction unit 306 may obtain the decoded blocks, e.g., by summing the residual blocks with the corresponding prediction blocks generated by the motion compensation unit 302 or intra-prediction unit 303. If desired, a deblocking filter may also be applied to filter the decoded blocks in order to remove blockiness artifacts. The decoded video blocks are then stored in the buffer 307, which provides reference blocks

for subsequent motion compensation/intra prediction and also produces decoded video for presentation on a display device.

[0129] Some exemplary embodiments of the present disclosure will be described in detailed hereinafter. It should be understood that section headings are used in the present document to facilitate ease of understanding and do not limit the embodiments disclosed in a section to only that section. Furthermore, while certain embodiments are described with reference to Versatile Video Coding or other specific video codecs, the disclosed techniques are applicable to other video coding technologies also. Furthermore, while some embodiments describe video coding steps in detail, it will be understood that corresponding steps decoding that undo the coding will be implemented by a decoder. Furthermore, the term video processing encompasses video coding or compression, video decoding or decompression and video transcoding in which video pixels are represented from one compressed format into another compressed format or at a different compressed bitrate.

## **1. Brief Summary**

This disclosure is related to video coding technologies. Specifically, it is related a coding tool that relocates frames and adjusts the corresponding cross components linear model in the blocks of the relocated frames for a better coding efficiency. It may be applied to the existing video coding standard like HEVC, or Versatile Video Coding (VVC). It may be also applicable to future video coding standards or video codec.

## **2. Introduction**

Video coding standards have evolved primarily through the development of the well-known ITU-T and ISO/IEC standards. The ITU-T produced H.261 and H.263, ISO/IEC produced MPEG-1 and MPEG-4 Visual, and the two organizations jointly produced the H.262/MPEG-2 Video and H.264/MPEG-4 Advanced Video Coding (AVC) and H.265/HEVC standards. Since H.262, the video coding standards are based on the hybrid video coding structure wherein temporal prediction plus transform coding are utilized. To explore the future video coding technologies beyond HEVC, Joint Video Exploration Team (JVET) was founded by VCEG and MPEG jointly in 2015. Since then, many new methods have been adopted by JVET and put into the reference software named Joint Exploration Model (JEM). In April 2018, the Joint Video Expert Team (JVET) between VCEG (Q6/16) and ISO/IEC JTC1 SC29/WG11 (MPEG)

was created to work on the VVC standard targeting at 50% bitrate reduction compared to HEVC.

## 2.1. Color space and chroma subsampling

Color space, also known as the color model (or color system), is an abstract mathematical model which simply describes the range of colors as tuples of numbers, typically as 3 or 4 values or color components (e.g. RGB). Basically speaking, color space is an elaboration of the coordinate system and sub-space.

For video compression, the most frequently used color spaces are YCbCr and RGB.

YCbCr, Y'CbCr, or Y Pb/Cb Pr/Cr, also written as YCBCR or Y'CBCR, is a family of color spaces used as a part of the color image pipeline in video and digital photography systems. Y' is the luma component and CB and CR are the blue-difference and red-difference chroma components. Y' (with prime) is distinguished from Y, which is luminance, meaning that light intensity is nonlinearly encoded based on gamma corrected RGB primaries. Chroma subsampling is the practice of encoding images by implementing less resolution for chroma information than for luma information, taking advantage of the human visual system's lower acuity for color differences than for luminance.

### 2.1.1. 4:4:4

Each of the three Y'CbCr components have the same sample rate, thus there is no chroma subsampling. This scheme is sometimes used in high-end film scanners and cinematic post production.

### 2.1.2. 4:2:2

The two chroma components are sampled at half the sample rate of luma: the horizontal chroma resolution is halved while the vertical chroma resolution is unchanged. This reduces the bandwidth of an uncompressed video signal by one-third with little to no visual difference. An example of nominal vertical and horizontal locations of 4:2:2 color format is depicted in Fig. 4 in VVC working draft.

### 2.1.3. 4:2:0

In 4:2:0, the horizontal sampling is doubled compared to 4:1:1, but as the Cb and Cr channels are only sampled on each alternate line in this scheme, the vertical resolution is halved. The data rate is thus the same. Cb and Cr are each subsampled at a factor of 2 both horizontally and vertically. There are three variants of 4:2:0 schemes, having different horizontal and vertical siting.

- In MPEG-2, Cb and Cr are cosited horizontally. Cb and Cr are sited between pixels in the vertical direction (sited interstitially).
- In JPEG/JFIF, H.261, and MPEG-1, Cb and Cr are sited interstitially, halfway between alternate luma samples.
- In 4:2:0 DV, Cb and Cr are co-sited in the horizontal direction. In the vertical direction, they are co-sited on alternating lines.

Table 2-1 SubWidthC and SubHeightC values derived from chroma\_format\_idc and separate\_colour\_plane\_flag

chroma_format_idc	separate_colour_plane_flag	Chroma format	SubWidth C	SubHeight C
0	0	Monochrome	1	1
1	0	4:2:0	2	2
2	0	4:2:2	2	1
3	0	4:4:4	1	1
3	1	4:4:4	1	1

**2.2. Coding flow of a typical video codec**

Fig. 5 shows an example of encoder block diagram of VVC, which contains three in-loop filtering blocks: deblocking filter (DF), sample adaptive offset (SAO) and ALF. Unlike DF, which uses predefined filters, SAO and ALF utilize the original samples of the current picture to reduce the mean square errors between the original samples and the reconstructed samples by adding an offset and by applying a finite impulse response (FIR) filter, respectively, with coded side information signalling the offsets and filter coefficients. ALF is located at the last processing stage of each picture and can be regarded as a tool trying to catch and fix artifacts created by the previous stages.

**2.3. Intra mode coding with 67 intra prediction modes**

To capture the arbitrary edge directions presented in natural video, the number of directional intra modes is extended from 33, as used in HEVC, to 65, as shown in Fig. 6, and the planar and DC modes remain the same. These denser directional intra prediction modes apply for all block sizes and for both luma and chroma intra predictions.

In the HEVC, every intra-coded block has a square shape and the length of each of its side is a power of 2. Thus, no division operations are required to generate an intra-predictor using DC mode. In VVC, blocks can have a rectangular shape that necessitates the use of a division operation per block in the general case. To avoid division operations for DC prediction, only the longer side is used to compute the average for non-square blocks.

### 2.3.1. Wide angle intra prediction

Although 67 modes are defined in the VVC, the exact prediction direction for a given intra prediction mode index is further dependent on the block shape. Conventional angular intra prediction directions are defined from 45 degrees to  $-135$  degrees in clockwise direction. In VVC, several conventional angular intra prediction modes are adaptively replaced with wide-angle intra prediction modes for non-square blocks. The replaced modes are signalled using the original mode indexes, which are remapped to the indexes of wide angular modes after parsing. The total number of intra prediction modes is unchanged, i.e., 67, and the intra mode coding method is unchanged.

To support these prediction directions, the top reference with length  $2W+1$ , and the left reference with length  $2H+1$ , are defined as shown in Fig. 7.

The number of replaced modes in wide-angular direction mode depends on the aspect ratio of a block. The replaced intra prediction modes are illustrated in Table 2-2.

Table 2-2 Intra prediction modes replaced by wide-angular modes

Aspect ratio	Replaced intra prediction modes
$W/H = 16$	Modes 2,3,4,5,6,7,8,9,10,11,12, 13,14,15
$W/H = 8$	Modes 2,3,4,5,6,7,8,9,10,11,12, 13
$W/H = 4$	Modes 2,3,4,5,6,7,8,9,10,11
$W/H = 2$	Modes 2,3,4,5,6,7,8,9
$W/H = 1$	None
$W/H = 1/2$	Modes 59,60,61,62,63,64,65,66
$W/H = 1/4$	Mode 57,58,59,60,61,62,63,64,65,66
$W/H = 1/8$	Modes 55, 56,57,58,59,60,61,62,63,64,65,66
$W/H = 1/16$	Modes 53, 54, 55, 56,57,58,59,60,61,62,63,64,65,66

As shown in Fig. 8, two vertically adjacent predicted samples may use two non-adjacent reference samples in the case of wide-angle intra prediction. Hence, low-pass reference samples filter and side smoothing are applied to the wide-angle prediction to reduce the negative effect of the increased gap  $\Delta p_a$ . If a wide-angle mode represents a non-fractional offset. There are 8 modes in the wide-angle modes satisfy this condition, which are  $[-14, -12, -10, -6, 72, 76, 78, 80]$ . When a block is predicted by these modes, the samples in the reference buffer are directly copied without applying any interpolation. With this modification, the number of samples needed to be smoothing is reduced. Besides, it aligns the design of non-fractional modes in the conventional prediction modes and wide-angle modes.

In VVC, 4:2:2 and 4:4:4 chroma formats are supported as well as 4:2:0. Chroma derived mode (DM) derivation table for 4:2:2 chroma format was initially ported from HEVC extending the number of entries from 35 to 67 to align with the extension of intra prediction modes. Since HEVC specification does not support prediction angle below  $-135$  degree and above  $45$  degree, luma intra prediction modes ranging from 2 to 5 are mapped to 2. Therefore, chroma DM derivation table for 4:2:2: chroma format is updated by replacing some values of the entries of the mapping table to convert prediction angle more precisely for chroma blocks.

#### 2.4. Intra prediction mode coding for chroma component

For the chroma component of an intra PU, the encoder selects the best chroma prediction modes

among five modes including Planar, DC, Horizontal, Vertical and a direct copy of the intra prediction mode for the luma component. The mapping between intra prediction direction and intra prediction mode number for chroma is shown in Table 2-3.

When the intra prediction mode number for the chroma component is 4, the intra prediction direction for the luma component is used for the intra prediction sample generation for the chroma component. When the intra prediction mode number for the chroma component is not 4 and it is identical to the intra prediction mode number for the luma component, the intra prediction direction of 66 is used for the intra prediction sample generation for the chroma component.

## **2.5. Inter prediction**

For each inter-predicted CU, motion parameters consisting of motion vectors, reference picture indices and reference picture list usage index, and additional information needed for the new coding feature of VVC to be used for inter-predicted sample generation. The motion parameter can be signalled in an explicit or implicit manner. When a CU is coded with skip mode, the CU is associated with one PU and has no significant residual coefficients, no coded motion vector delta or reference picture index. A merge mode is specified whereby the motion parameters for the current CU are obtained from neighbouring CUs, including spatial and temporal candidates, and additional schedules introduced in VVC. The merge mode can be applied to any inter-predicted CU, not only for skip mode. The alternative to merge mode is the explicit transmission of motion parameters, where motion vector, corresponding reference picture index for each reference picture list and reference picture list usage flag and other needed information are signalled explicitly per each CU.

## **2.6. Intra block copy (IBC)**

Intra block copy (IBC) is a tool adopted in HEVC extensions on SCC. It is well known that it significantly improves the coding efficiency of screen content materials. Since IBC mode is implemented as a block level coding mode, block matching (BM) is performed at the encoder to find the optimal block vector (or motion vector) for each CU. Here, a block vector is used to indicate the displacement from the current block to a reference block, which is already reconstructed inside the current picture. The luma block vector of an IBC-coded CU is in integer precision. The chroma block vector rounds to integer precision as well. When combined with AMVR, the IBC mode can switch between 1-pel and 4-pel motion vector precisions. An IBC-coded CU is treated as the third prediction mode other than intra or inter prediction modes. The

IBC mode is applicable to the CUs with both width and height smaller than or equal to 64 luma samples.

At the encoder side, hash-based motion estimation is performed for IBC. The encoder performs RD check for blocks with either width or height no larger than 16 luma samples. For non-merge mode, the block vector search is performed using hash-based search first. If hash search does not return valid candidate, block matching based local search will be performed.

In the hash-based search, hash key matching (32-bit CRC) between the current block and a reference block is extended to all allowed block sizes. The hash key calculation for every position in the current picture is based on 4×4 sub-blocks. For the current block of a larger size, a hash key is determined to match that of the reference block when all the hash keys of all 4×4 sub-blocks match the hash keys in the corresponding reference locations. If hash keys of multiple reference blocks are found to match that of the current block, the block vector costs of each matched reference are calculated and the one with the minimum cost is selected.

In block matching search, the search range is set to cover both the previous and current CTUs. At CU level, IBC mode is signalled with a flag and it can be signalled as IBC AMVP mode or IBC skip/merge mode as follows:

- IBC skip/merge mode: a merge candidate index is used to indicate which of the block vectors in the list from neighbouring candidate IBC coded blocks is used to predict the current block. The merge list consists of spatial, HMVP, and pairwise candidates.
- IBC AMVP mode: block vector difference is coded in the same way as a motion vector difference. The block vector prediction method uses two candidates as predictors, one from left neighbour and one from above neighbour (if IBC coded). When either neighbour is not available, a default block vector will be used as a predictor. A flag is signalled to indicate the block vector predictor index.

## 2.7. Cross-component linear model prediction

To reduce the cross-component redundancy, a cross-component linear model (CCLM) prediction mode is used in the VVC, for which the chroma samples are predicted based on the reconstructed luma samples of the same CU by using a linear model as follows:

$$\text{pred}_C(i, j) = \alpha \cdot \text{rec}_L'(i, j) + \beta \quad (2-1)$$

where  $\text{pred}_C(i, j)$  represents the predicted chroma samples in a CU and  $\text{rec}_L'(i, j)$  represents the down-sampled reconstructed luma samples of the same CU.

The CCLM parameters ( $\alpha$  and  $\beta$ ) are derived with at most four neighbouring chroma samples

and their corresponding down-sampled luma samples. Suppose the current chroma block dimensions are  $W \times H$ , then  $W'$  and  $H'$  are set as:

- $W' = W, H' = H$  when LM mode is applied;
- $W' = W + H$  when LM\_T mode is applied;
- $H' = H + W$  when LM\_L mode is applied.

The above neighbouring positions are denoted as  $S[0, -1] \dots S[W' - 1, -1]$  and the left neighbouring positions are denoted as  $S[-1, 0] \dots S[-1, H' - 1]$ . Then the four samples are selected as:

- $S[W' / 4, -1], S[3 * W' / 4, -1], S[-1, H' / 4], S[-1, 3 * H' / 4]$  when LM mode is applied and both above and left neighbouring samples are available;
- $S[W' / 8, -1], S[3 * W' / 8, -1], S[5 * W' / 8, -1], S[7 * W' / 8, -1]$  when LM\_T mode is applied or only the above neighbouring samples are available;
- $S[-1, H' / 8], S[-1, 3 * H' / 8], S[-1, 5 * H' / 8], S[-1, 7 * H' / 8]$  when LM\_L mode is applied or only the left neighbouring samples are available.

The four neighbouring luma samples at the selected positions are down-sampled and compared four times to find two larger values:  $x^0_A$  and  $x^1_A$ , and two smaller values:  $x^0_B$  and  $x^1_B$ . Their corresponding chroma sample values are denoted as  $y^0_A, y^1_A, y^0_B$  and  $y^1_B$ . Then  $x_A, x_B, y_A$  and  $y_B$  are derived as:

$$X_a = (x^0_A + x^1_A + 1) \ggg 1; X_b = (x^0_B + x^1_B + 1) \ggg 1; Y_a = (y^0_A + y^1_A + 1) \ggg 1; Y_b = (y^0_B + y^1_B + 1) \ggg 1 \quad (2-2)$$

Finally, the linear model parameters  $\alpha$  and  $\beta$  are obtained according to the following equations.

$$\alpha = \frac{Y_a - Y_b}{X_a - X_b} \quad (2-3)$$

$$\beta = Y_b - \alpha \cdot X_b \quad (2-4)$$

Fig. 9 shows an example of the location of the left and above samples and the sample of the current block involved in the CCLM mode.

The division operation to calculate parameter  $\alpha$  is implemented with a look-up table. To reduce the memory required for storing the table, the *diff* value (difference between maximum and minimum values) and the parameter  $\alpha$  are expressed by an exponential notation. For example, *diff* is approximated with a 4-bit significant part and an exponent. Consequently, the table for  $1/\text{diff}$  is reduced into 16 elements for 16 values of the significand as follows:

$$\text{DivTable} [ ] = \{ 0, 7, 6, 5, 5, 4, 4, 3, 3, 2, 2, 1, 1, 1, 1, 0 \}. \quad (2-5)$$

This would have a benefit of both reducing the complexity of the calculation as well as the memory size required for storing the needed tables.

Besides the above template and left template can be used to calculate the linear model coefficients together, they also can be used alternatively in the other 2 LM modes, called LM\_T, and LM\_L modes.

In LM\_T mode, only the above template is used to calculate the linear model coefficients. To get more samples, the above template is extended to (W+H) samples. In LM\_L mode, only left template is used to calculate the linear model coefficients. To get more samples, the left template is extended to (H+W) samples.

In LM mode, left and above templates are used to calculate the linear model coefficients.

To match the chroma sample locations for 4:2:0 video sequences, two types of down-sampling filter are applied to luma samples to achieve 2 to 1 down-sampling ratio in both horizontal and vertical directions. The selection of down-sampling filter is specified by a SPS level flag. The two down-sampling filters are as follows, which are corresponding to “type-0” and “type-2” content, respectively.

$$\text{rec}_L'(i, j) = \left[ \begin{array}{c} \text{rec}_L(2i - 1, 2j - 1) + 2 \cdot \text{rec}_L(2i - 1, 2j) + \text{rec}_L(2i + 1, 2j - 1) + \\ \text{rec}_L(2i - 1, 2j) + 2 \cdot \text{rec}_L(2i, 2j) + \text{rec}_L(2i + 1, 2j) + 4 \end{array} \right] \gg 3 \quad (2-6)$$

$$\text{rec}_L'(i, j) = \left[ \begin{array}{c} \text{rec}_L(2i, 2j - 1) + \text{rec}_L(2i - 1, 2j) + 4 \cdot \text{rec}_L(2i, 2j) \\ + \text{rec}_L(2i + 1, 2j) + \text{rec}_L(2i, 2j + 1) + 4 \end{array} \right] \gg 3 \quad (2-7)$$

Note that only one luma line (general line buffer in intra prediction) is used to make the down-sampled luma samples when the upper reference line is at the CTU boundary.

This parameter computation is performed as part of the decoding process, and is not just as an encoder search operation. As a result, no syntax is used to convey the  $\alpha$  and  $\beta$  values to the decoder.

For chroma intra mode coding, a total of 8 intra modes are allowed for chroma intra mode coding. Those modes include five conventional intra modes and three cross-component linear model modes (LM, LM\_T, and LM\_L). Chroma mode signalling and derivation process are shown in Table 2-3. Chroma mode coding directly depends on the intra prediction mode of the corresponding luma block. Since separate block partitioning structure for luma and chroma components is enabled in I slices, one chroma block may correspond to multiple luma blocks. Therefore, for Chroma DM mode, the intra prediction mode of the corresponding luma block

covering the center position of the current chroma block is directly inherited.

Table 2-3 Derivation of chroma prediction mode from luma mode when CCLM is enabled

Chroma prediction mode	Corresponding luma intra prediction mode				
	0	50	18	1	X (0 ≤ X ≤ 66)
0	66	0	0	0	0
1	50	66	50	50	50
2	18	18	66	18	18
3	1	1	1	66	1
4	0	50	18	1	X
5	81	81	81	81	81
6	82	82	82	82	82
7	83	83	83	83	83

A single binarization table is used regardless of the value of `sps_cclm_enabled_flag` as shown in Table 2-4.

Table 2-4 Unified binarization table for chroma prediction mode

Value of <code>intra_chroma_pred_mode</code>	Bin string
4	00
0	0100
1	0101
2	0110
3	0111
5	10
6	110
7	111

In Table 2-4, the first bin indicates whether it is regular (0) or LM modes (1). If it is LM mode, then the next bin indicates whether it is LM\_CHROMA (0) or not. If it is not LM\_CHROMA, next 1 bin indicates whether it is LM\_L (0) or LM\_T (1). For this case, when

`sps_cclm_enabled_flag` is 0, the first bin of the binarization table for the corresponding `intra_chroma_pred_mode` can be discarded prior to the entropy coding. Or, in other words, the first bin is inferred to be 0 and hence not coded. This single binarization table is used for both `sps_cclm_enabled_flag` equal to 0 and 1 cases. The first two bins in Table 2-4 are context coded with its own context model, and the rest bins are bypass coded.

In addition, in order to reduce luma-chroma latency in dual tree, when the 64×64 luma coding tree node is partitioned with Not Split (and ISP is not used for the 64×64 CU) or QT, the chroma CUs in 32×32 / 32×16 chroma coding tree node is allowed to use CCLM in the following way:

- If the 32×32 chroma node is not split or partitioned QT split, all chroma CUs in the 32×32 node can use CCLM.
- If the 32×32 chroma node is partitioned with Horizontal BT, and the 32×16 child node does not split or uses Vertical BT split, all chroma CUs in the 32×16 chroma node can use CCLM.

In all the other luma and chroma coding tree split conditions, CCLM is not allowed for chroma CU.

### 2.8. Multi-model linear model (MMLM)

With MMLM, there can be more than one linear models between the luma samples and chroma samples in a CU. In this method, neighboring luma samples and neighboring chroma samples of the current block are classified into several groups, each group is used as a training set to derive a linear model (i.e., particular  $\alpha$  and  $\beta$  are derived for a particular group). Furthermore, the samples of the current luma block is also classified based on the same rule for the classification of neighboring luma samples.

The neighboring samples can be classified into M groups, where M is 2 or 3. The MMLM method with M=2 and M=3 are designed as two appended Chroma prediction modes named MMLM2 and MMLM3, besides the original LM mode. The encoder chooses the optimal mode in the RDO process and signal the mode.

When M is equal to 2, Fig. 10 shows an example of classifying the neighboring samples into two groups. Threshold is calculated as the average value of the neighboring reconstructed Luma samples. A neighboring sample with  $\text{Rec}'L[x,y] \leq \text{Threshold}$  is classified into group 1; while a neighboring sample with  $\text{Rec}'L[x,y] > \text{Threshold}$  is classified into group 2. Similar to CCLM, there are 3 modes in MMLM, namely MMLM, MMLM\_T, and MMLM\_L. Two models are

derived as:

$$\begin{cases} Pred_c[x, y] = \alpha_1 \times Rec'_L[x, y] + \beta_1 & \text{if } Rec'_L[x, y] \leq Threshold \\ Pred_c[x, y] = \alpha_2 \times Rec'_L[x, y] + \beta_2 & \text{if } Rec'_L[x, y] > Threshold \end{cases} \quad (2-8)$$

The threshold which is the average of the luma reconstructed neighboring samples. The linear model of each class is derived by using the Least-Mean-Square (LMS) method, if enabled, or min/max method of VVC.

### 2.9. Position dependent intra prediction combination

In VVC, the results of intra prediction of DC, planar and several angular modes are further modified by a position dependent intra prediction combination (PDPC) method. PDPC is an intra prediction method which invokes a combination of the boundary reference samples and HEVC style intra prediction with filtered boundary reference samples. PDPC is applied to the following intra modes without signalling: planar, DC, intra angles less than or equal to horizontal, and intra angles greater than or equal to vertical and less than or equal to 80. If the current block is BDPCM mode or MRL index is larger than 0, PDPC is not applied.

The prediction sample  $pred(x', y')$  is predicted using an intra prediction mode (DC, planar, angular) and a linear combination of reference samples according to the Equation 2-8 as follows:

$$pred(x', y') = Clip(0, (1 \ll BitDepth) - 1, (wL \times R_{x', -1} + wT \times R_{x, -1} + (64 - wL - wT) \times pred(x', y') + 32) \gg 6) \quad (2-9)$$

where  $R_{x', -1}$ ,  $R_{x, -1}$  represent the reference samples located at the top and left boundaries of current sample  $(x, y)$ , respectively.

If PDPC is applied to DC, planar, horizontal, and vertical intra modes, additional boundary filters are not needed, as required in the case of HEVC DC mode boundary filter or horizontal/vertical mode edge filters. PDPC process for DC and Planar modes is identical. For angular modes, if the current angular mode is HOR\_IDX or VER\_IDX, left or top reference samples is not used, respectively. The PDPC weights and scale factors are dependent on prediction modes and the block sizes. PDPC is applied to the block with both width and height greater than or equal to 4.

Figs. 11A-11D illustrate the definition of reference samples ( $R_{x', -1}$  and  $R_{x, -1}$ ) for PDPC applied over various prediction modes. Fig. 11A is a schematic diagram illustrating definition of samples used by PDPC applied to a diagonal top-right mode. Fig. 11B is a schematic diagram illustrating definition of samples used by PDPC applied to a diagonal bottom-left mode. Fig. 11C is a schematic diagram illustrating definition of samples used by PDPC applied to an

adjacent diagonal top-right mode. Fig. 11D is a schematic diagram illustrating definition of samples used by PDPC applied to an adjacent diagonal bottom-left mode. The prediction sample  $pred(x', y')$  is located at  $(x', y')$  within the prediction block. As an example, the coordinate  $x$  of the reference sample  $R_{x-1}$  is given by:  $x = x' + y' + 1$ , and the coordinate  $y$  of the reference sample  $R_{-1,y}$  is similarly given by:  $y = x' + y' + 1$  for the diagonal modes. For the other angular mode, the reference samples  $R_{x-1}$  and  $R_{-1,y}$  could be located in fractional sample position. In this case, the sample value of the nearest integer sample location is used.

### 2.10. Gradient PDPC

The gradient based approach is extended for non-vertical/non-horizontal mode, as shown in Fig. 12. Here, the gradient is computed as  $r(-1, y) - r(-1+d, -1)$ , where  $d$  is the horizontal displacement depending on the angular direction. A few points to note here:

The gradient term  $r(-1, y) - r(-1+d, -1)$  is needed to be computed once for every row, as it does not depend on the  $x$  position.

The computation of  $d$  is already part of original intra prediction process which can be reused, so a separate computation of  $d$  is not needed. Accordingly,  $d$  is in 1/32 pixel accuracy.

Two tap (linear) filtering is used when  $d$  is at fractional position, i.e., if  $dPos$  is the displacement in 1/32 pixel accuracy,  $dInt$  is the (floored) integer part ( $dPos \gg 5$ ), and  $dFrac$  is the fractional part in 1/32 pixel accuracy ( $dPos \& 31$ ), then  $r(-1+d)$  is computed as:

$$r(-1+d) = (32 - dFrac) * r(-1+dInt) + dFrac * r(-1+dInt+1).$$

This 2 tap filtering is performed once per row (if needed), as explained in a.

Finally, the prediction signal is computed as:

$$p(x,y) = \text{Clip}((64 - wL(x)) * p(x,y) + wL(x) * (r(-1, y) - r(-1+d,-1)) + 32) \gg 6),$$

where  $wL(x) = 32 \gg ((x \ll 1) \gg nScale2)$ , and  $nScale2 = (\log_2(nTbH) + \log_2(nTbW) - 2) \gg 2$ , which are the same as vertical/horizontal mode. In a nutshell, the same process is applied compared to vertical/horizontal mode (in fact,  $d = 0$  indicates vertical/horizontal mode).

Second, the gradient based approach is activated for non-vertical/non-horizontal mode when ( $nScale < 0$ ) or when PDPC can't be applied due to unavailability of secondary reference sample. The values of  $nScale$  are shown in Fig. 13, with respect to TB size and angular mode, to better visualize the cases where gradient approach is used. Additionally, in Fig. 14, the flowchart for current and proposed PDPC are shown.

### 2.11. Secondary MPM

Secondary MPM lists is introduced. The existing primary MPM (PMPM) list consists of 6

entries and the secondary MPM (SMPM) list includes 16 entries. A general MPM list with 22 entries is constructed first, and then the first 6 entries in this general MPM list are included into the PMPM list, and the rest of entries form the SMPM list. The first entry in the general MPM list is the Planar mode. The remaining entries are composed of the intra modes of the left (L), above (A), below-left (BL), above-right (AR), and above-left (AL) neighbouring blocks as shown in Fig. 15, the directional modes with added offset from the first two available directional modes of neighbouring blocks, and the default modes.

If a CU block is vertically oriented, the order of neighbouring blocks is A, L, BL, AR, AL; otherwise, it is L, A, BL, AR, AL.

A PMPM flag is parsed first, if equal to 1 then a PMPM index is parsed to determine which entry of the PMPM list is selected, otherwise the SPMPM flag is parsed to determine whether to parse the SMPM index or the remaining modes.

## 2.12. 6-tap intra interpolation filter

To improve prediction accuracy, it is proposed to replace 4-tap Cubic interpolation filter with 6-tap interpolation filter, the filter coefficients are derived based on the same polynomial regression model, but with polynomial order of 6.

Filter coefficients are listed below:

```
{ 0, 0, 256, 0, 0, 0 }, // 0/32 position
{ 0, -4, 253, 9, -2, 0 }, // 1/32 position
{ 1, -7, 249, 17, -4, 0 }, // 2/32 position
{ 1, -10, 245, 25, -6, 1 }, // 3/32 position
{ 1, -13, 241, 34, -8, 1 }, // 4/32 position
{ 2, -16, 235, 44, -10, 1 }, // 5/32 position
{ 2, -18, 229, 53, -12, 2 }, // 6/32 position
{ 2, -20, 223, 63, -14, 2 }, // 7/32 position
{ 2, -22, 217, 72, -15, 2 }, // 8/32 position
{ 3, -23, 209, 82, -17, 2 }, // 9/32 position
{ 3, -24, 202, 92, -19, 2 }, // 10/32 position
{ 3, -25, 194, 101, -20, 3 }, // 11/32 position
{ 3, -25, 185, 111, -21, 3 }, // 12/32 position
{ 3, -26, 178, 121, -23, 3 }, // 13/32 position
{ 3, -25, 168, 131, -24, 3 }, // 14/32 position
{ 3, -25, 159, 141, -25, 3 }, // 15/32 position
```

```
{ 3, -25, 150, 150, -25, 3 }. // half-pel position
```

The reference samples used for interpolation come from reconstructed samples or padded as in HEVC, so that the conditional check on reference sample availability is not needed.

Instead of using nearest rounding operation to derive the extended Intra reference sample, it is proposed to use 4-tap Cubic interpolation filter. As shown in an example in Fig. 16, to derive the value of reference sample P, a four tap interpolation filter is used, while in JEM-3.0 or HM, P is directly set as X1.

### 2.13. Multiple reference line (MRL) intra prediction

Multiple reference line (MRL) intra prediction uses more reference lines for intra prediction. In Fig. 17, an example of 4 reference lines is depicted, where the samples of segments A and F are not fetched from reconstructed neighbouring samples but padded with the closest samples from Segment B and E, respectively. HEVC intra-picture prediction uses the nearest reference line (i.e., reference line 0). In MRL, 2 additional lines (reference line 1 and reference line 2) are used.

The index of selected reference line (`mrl_idx`) is signalled and used to generate intra predictor. For reference line index, which is greater than 0, only include additional reference line modes in MPM list and only signal MPM index without remaining mode. The reference line index is signalled before intra prediction modes, and Planar mode is excluded from intra prediction modes in case a nonzero reference line index is signalled.

MRL is disabled for the first line of blocks inside a CTU to prevent using extended reference samples outside the current CTU line. Also, PDPC is disabled when additional line is used. For MRL mode, the derivation of DC value in DC intra prediction mode for non-zero reference line indices are aligned with that of reference line index 0. MRL requires the storage of 3 neighbouring luma reference lines with a CTU to generate predictions. The Cross-Component Linear Model (CCLM) tool also requires 3 neighbouring luma reference lines for its down-sampling filters. The definition of MRL to use the same 3 lines is aligned as CCLM to reduce the storage requirements for decoders.

### 2.14. Intra sub-partitions (ISP)

The intra sub-partitions (ISP) divides luma intra-predicted blocks vertically or horizontally into 2 or 4 sub-partitions depending on the block size. For example, minimum block size for ISP is  $4 \times 8$  (or  $8 \times 4$ ). If block size is greater than  $4 \times 8$  (or  $8 \times 4$ ) then the corresponding block is divided by 4 sub-partitions. It has been noted that the  $M \times 128$  (with  $M \leq 64$ ) and  $128 \times N$  (with  $N \leq$

64) ISP blocks could generate a potential issue with the  $64 \times 64$  VDP. For example, an  $M \times 128$  CU in the single tree case has an  $M \times 128$  luma TB and two corresponding  $\frac{M}{2} \times 64$  chroma TBs. If the CU uses ISP, then the luma TB will be divided into four  $M \times 32$  TBs (only the horizontal split is possible), each of them smaller than a  $64 \times 64$  block. However, in the current design of ISP chroma blocks are not divided. Therefore, both chroma components will have a size greater than a  $32 \times 32$  block. Analogously, a similar situation could be created with a  $128 \times N$  CU using ISP. Hence, these two cases are an issue for the  $64 \times 64$  decoder pipeline. For this reason, the CU sizes that can use ISP is restricted to a maximum of  $64 \times 64$ . Figs. 18A-18B show examples of the two possibilities. Fig. 18A is a schematic diagram illustrating examples of sub-partitions for  $4 \times 8$  and  $8 \times 4$  CUs. Fig. 18B is a schematic diagram illustrating examples of sub-partitions for CUs other than  $4 \times 8$ ,  $8 \times 4$  and  $4 \times 4$ . All sub-partitions fulfill the condition of having at least 16 samples.

In ISP, the dependence of  $1 \times N/2 \times N$  subblock prediction on the reconstructed values of previously decoded  $1 \times N/2 \times N$  subblocks of the coding block is not allowed so that the minimum width of prediction for subblocks becomes four samples. For example, an  $8 \times N$  ( $N > 4$ ) coding block that is coded using ISP with vertical split is split into two prediction regions each of size  $4 \times N$  and four transforms of size  $2 \times N$ . Also, a  $4 \times N$  coding block that is coded using ISP with vertical split is predicted using the full  $4 \times N$  block; four transform each of  $1 \times N$  is used. Although the transform sizes of  $1 \times N$  and  $2 \times N$  are allowed, it is asserted that the transform of these blocks in  $4 \times N$  regions can be performed in parallel. For example, when a  $4 \times N$  prediction region contains four  $1 \times N$  transforms, there is no transform in the horizontal direction; the transform in the vertical direction can be performed as a single  $4 \times N$  transform in the vertical direction. Similarly, when a  $4 \times N$  prediction region contains two  $2 \times N$  transform blocks, the transform operation of the two  $2 \times N$  blocks in each direction (horizontal and vertical) can be conducted in parallel. Thus, there is no delay added in processing these smaller blocks than processing  $4 \times 4$  regular-coded intra blocks.

*Table 2-5 Entropy coding coefficient group size*

Block Size	Coefficient group Size
$1 \times N, N \geq 16$	$1 \times 16$
$N \times 1, N \geq 16$	$16 \times 1$
$2 \times N, N \geq 8$	$2 \times 8$
$N \times 2, N \geq 8$	$8 \times 2$
All other possible $M \times N$ cases	$4 \times 4$

For each sub-partition, reconstructed samples are obtained by adding the residual signal to the prediction signal. Here, a residual signal is generated by the processes such as entropy decoding, inverse quantization and inverse transform. Therefore, the reconstructed sample values of each sub-partition are available to generate the prediction of the next sub-partition, and each sub-partition is processed repeatedly. In addition, the first sub-partition to be processed is the one containing the top-left sample of the CU and then continuing downwards (horizontal split) or rightwards (vertical split). As a result, reference samples used to generate the sub-partitions prediction signals are only located at the left and above sides of the lines. All sub-partitions share the same intra mode. The followings are summary of interaction of ISP with other coding tools.

- Multiple Reference Line (MRL): if a block has an MRL index other than 0, then the ISP coding mode will be inferred to be 0 and therefore ISP mode information will not be sent to the decoder.
- Entropy coding coefficient group size: the sizes of the entropy coding subblocks have been modified so that they have 16 samples in all possible cases, as shown in Table 2-5. Note that the new sizes only affect blocks produced by ISP in which one of the dimensions is less than 4 samples. In all other cases coefficient groups keep the  $4 \times 4$  dimensions.
- CBF coding: it is assumed to have at least one of the sub-partitions has a non-zero CBF. Hence, if  $n$  is the number of sub-partitions and the first  $n - 1$  sub-partitions have produced a zero CBF, then the CBF of the  $n$ -th sub-partition is inferred to be 1.
- Transform size restriction: all ISP transforms with a length larger than 16 points uses the DCT-II.
- MTS flag: if a CU uses the ISP coding mode, the MTS CU flag will be set to 0 and it will not be sent to the decoder. Therefore, the encoder will not perform RD tests for the different available transforms for each resulting sub-partition. The transform choice for the ISP mode will instead be fixed and selected according the intra mode, the processing

order and the block size utilized. Hence, no signalling is required. For example, let  $t_H$  and  $t_V$  be the horizontal and the vertical transforms selected respectively for the  $w \times h$  sub-partition, where  $w$  is the width and  $h$  is the height. Then the transform is selected according to the following rules:

- If  $w = 1$  or  $h = 1$ , then there is no horizontal or vertical transform respectively.
- If  $w \geq 4$  and  $w \leq 16$ ,  $t_H = \text{DST-VII}$ , otherwise,  $t_H = \text{DCT-II}$ .
- If  $h \geq 4$  and  $h \leq 16$ ,  $t_V = \text{DST-VII}$ , otherwise,  $t_V = \text{DCT-II}$ .

In ISP mode, all 67 intra prediction modes are allowed. PDPC is also applied if corresponding width and height is at least 4 samples long. In addition, the reference sample filtering process (reference smoothing) and the condition for intra interpolation filter selection doesn't exist anymore, and Cubic (DCT-IF) filter is always applied for fractional position interpolation in ISP mode.

### 2.15. Matrix weighted Intra Prediction (MIP)

Matrix weighted intra prediction (MIP) method is a newly added intra prediction technique into VVC. For predicting the samples of a rectangular block of width  $W$  and height  $H$ , matrix weighted intra prediction (MIP) takes one line of  $H$  reconstructed neighbouring boundary samples left of the block and one line of  $W$  reconstructed neighbouring boundary samples above the block as input. If the reconstructed samples are unavailable, they are generated as it is done in the conventional intra prediction. The generation of the prediction signal is based on the following three steps, which are averaging, matrix vector multiplication and linear interpolation as shown in Fig. 19.

#### 2.15.1. Averaging neighbouring samples

Among the boundary samples, four samples or eight samples are selected by averaging based on block size and shape. Specifically, the input boundaries  $bdry^{top}$  and  $bdry^{left}$  are reduced to smaller boundaries  $bdry_{red}^{top}$  and  $bdry_{red}^{left}$  by averaging neighbouring boundary samples according to predefined rule depends on block size. Then, the two reduced boundaries  $bdry_{red}^{top}$  and  $bdry_{red}^{left}$  are concatenated to a reduced boundary vector  $bdry_{red}$  which is thus of size four for blocks of shape  $4 \times 4$  and of size eight for blocks of all other shapes. If *mode* refers to the MIP-mode, this concatenation is defined as follows:

$$bdry_{red} = \begin{cases} [bdry_{red}^{top}, bdry_{red}^{left}] & \text{for } W = H = 4 \text{ and } mode < 18 \\ [bdry_{red}^{left}, bdry_{red}^{top}] & \text{for } W = H = 4 \text{ and } mode \geq 18 \\ [bdry_{red}^{top}, bdry_{red}^{left}] & \text{for } \max(W, H) = 8 \text{ and } mode < 10 \\ [bdry_{red}^{left}, bdry_{red}^{top}] & \text{for } \max(W, H) = 8 \text{ and } mode \geq 10 \\ [bdry_{red}^{top}, bdry_{red}^{left}] & \text{for } \max(W, H) > 8 \text{ and } mode < 6 \\ [bdry_{red}^{left}, bdry_{red}^{top}] & \text{for } \max(W, H) > 8 \text{ and } mode \geq 6. \end{cases} \quad (2-10)$$

### 2.15.2. Matrix Multiplication

A matrix vector multiplication, followed by addition of an offset, is carried out with the averaged samples as an input. The result is a reduced prediction signal on a subsampled set of samples in the original block. Out of the reduced input vector  $bdry_{red}$  a reduced prediction signal  $pred_{red}$ , which is a signal on the down-sampled block of width  $W_{red}$  and height  $H_{red}$  is generated. Here,  $W_{red}$  and  $H_{red}$  are defined as:

$$W_{red} = \begin{cases} 4 & \text{for } \max(W, H) \leq 8 \\ \min(W, 8) & \text{for } \max(W, H) > 8 \end{cases} \quad (2-11)$$

$$H_{red} = \begin{cases} 4 & \text{for } \max(W, H) \leq 8 \\ \min(H, 8) & \text{for } \max(W, H) > 8. \end{cases} \quad (2-12)$$

The reduced prediction signal  $pred_{red}$  is computed by calculating a matrix vector product and adding an offset:

$$pred_{red} = A \cdot bdry_{red} + b. \quad (2-13)$$

Here,  $A$  is a matrix that has  $W_{red} \cdot H_{red}$  rows and 4 columns if  $W = H = 4$  and 8 columns in all other cases.  $b$  is a vector of size  $W_{red} \cdot H_{red}$ . The matrix  $A$  and the offset vector  $b$  are taken from one of the sets  $S_0, S_1, S_2$ . One defines an index  $idx = idx(W, H)$  as follows:

$$idx(W, H) = \begin{cases} 0 & \text{for } W = H = 4 \\ 1 & \text{for } \max(W, H) = 8 \\ 2 & \text{for } \max(W, H) > 8. \end{cases} \quad (2-14)$$

Here, each coefficient of the matrix  $A$  is represented with 8 bit precision. The set  $S_0$  consists of 16 matrices  $A_0^i, i \in \{0, \dots, 15\}$  each of which has 16 rows and 4 columns and 16 offset vectors  $b_0^i, i \in \{0, \dots, 15\}$  each of size 16. Matrices and offset vectors of that set are used for blocks of size  $4 \times 4$ . The set  $S_1$  consists of 8 matrices  $A_1^i, i \in \{0, \dots, 7\}$ , each of which has 16 rows and 8 columns and 8 offset vectors  $b_1^i, i \in \{0, \dots, 7\}$  each of size 16. The set  $S_2$  consists of 6 matrices  $A_2^i, i \in \{0, \dots, 5\}$ , each of which has 64 rows and 8 columns and of 6 offset vectors  $b_2^i, i \in \{0, \dots, 5\}$  of size 64.

### 2.15.3. Interpolation

The prediction signal at the remaining positions is generated from the prediction signal on the subsampled set by linear interpolation which is a single step linear interpolation in each direction. The interpolation is performed firstly in the horizontal direction and then in the vertical direction regardless of block shape or block size.

### 2.15.4. Signalling of MIP mode and harmonization with other coding tools

For each Coding Unit (CU) in intra mode, a flag indicating whether an MIP mode is to be applied or not is sent. If an MIP mode is to be applied, MIP mode (*predModeIntra*) is signalled. For an MIP mode, a transposed flag (*isTransposed*), which determines whether the mode is transposed, and MIP mode Id (*modeId*), which determines which matrix is to be used for the given MIP mode is derived as follows:

$$\begin{aligned} isTransposed &= predModeIntra \& 1 \\ modeId &= predModeIntra \gg 1. \end{aligned} \quad (2-15)$$

MIP coding mode is harmonized with other coding tools by considering following aspects:

- LFNST is enabled for MIP on large blocks. Here, the LFNST transforms of planar mode are used.
- The reference sample derivation for MIP is performed exactly as for the conventional intra prediction modes.
- For the up-sampling step used in the MIP-prediction, original reference samples are used instead of down-sampled ones.
- Clipping is performed before up-sampling and not after up-sampling.
- MIP is allowed up to 64×64 regardless of the maximum transform size.

The number of MIP modes is 32 for sizeId=0, 16 for sizeId=1 and 12 for sizeId=2.

### 2.16. Decoder-side intra mode derivation

In JEM-2.0 intra modes are extended to 67 from 35 modes in HEVC, and they are derived at encoder and explicitly signalled to decoder. A significant amount of overhead is spent on intra mode coding in JEM-2.0. For example, the intra mode signalling overhead may be up to 5~10% of overall bitrate in all intra coding configuration. This contribution proposes the decoder-side intra mode derivation approach to reduce the intra mode coding overhead while keeping prediction accuracy.

To reduce the overhead of intra mode signalling, this contribution presents a decoder-side intra

mode derivation (DIMD) approach. In the proposed approach, instead of signalling intra mode explicitly, the information is derived at both encoder and decoder from the neighbouring reconstructed samples of current block. The intra mode derived by DIMD is used in two ways:

- 1) For  $2N \times 2N$  CUs, the DIMD mode is used as the intra mode for intra prediction when the corresponding CU-level DIMD flag is turned on;
- 2) For  $N \times N$  CUs, the DIMD mode is used to replace one candidate of the existing MPM list to improve the efficiency of intra mode coding.

### 2.16.1. Templated based intra mode derivation

As illustrated in Fig. 20, the target denotes the current block (of block size  $N$ ) for which intra prediction mode is to be estimated. The template (indicated by the patterned region in Fig. 20) specifies a set of already reconstructed samples, which are used to derive the intra mode. The template size is denoted as the number of samples within the template that extends to the above and the left of the target block, i.e.,  $L$ . In the current implementation, a template size of 2 (i.e.,  $L = 2$ ) is used for  $4 \times 4$  and  $8 \times 8$  blocks and a template size of 4 (i.e.,  $L = 4$ ) is used for  $16 \times 16$  and larger blocks. The reference of template (indicated by the dotted region in Fig. 20) refers to a set of neighbouring samples from above and left of the template, as defined by JEM-2.0. Unlike the template samples which are always from reconstructed region, the reference samples of template may not be reconstructed yet when encoding/decoding the target block. In this case, the existing reference samples substitution algorithm of JEM-2.0 is utilized to substitute the unavailable reference samples with the available reference samples.

For each intra prediction mode, the DIMD calculates the absolute difference (SAD) between the reconstructed template samples and its prediction samples obtained from the reference samples of the template. The intra prediction mode that yields the minimum SAD is selected as the final intra prediction mode of the target block.

### 2.16.2. DIMD for intra $2N \times 2N$ CUs

For intra  $2N \times 2N$  CUs, the DIMD is used as one additional intra mode, which is adaptively selected by comparing the DIMD intra mode with the optimal normal intra mode (i.e., being explicitly signalled). One flag is signalled for each intra  $2N \times 2N$  CU to indicate the usage of the DIMD. If the flag is one, then the CU is predicted using the intra mode derived by DIMD; otherwise, the DIMD is not applied and the CU is predicted using the intra mode explicitly signalled in the bit-stream. When the DIMD is enabled, chroma components always reuse the same intra mode as that derived for luma component, i.e., DM mode.

Additionally, for each DIMD-coded CU, the blocks in the CU can adaptively select to derive their intra modes at either PU-level or TU-level. Specifically, when the DIMD flag is one, another CU-level DIMD control flag is signalled to indicate the level at which the DIMD is performed. If this flag is zero, it means that the DIMD is performed at the PU level and all the TUs in the PU use the same derived intra mode for their intra prediction; otherwise (i.e., the DIMD control flag is one), it means that the DIMD is performed at the TU level and each TU in the PU derives its own intra mode.

Further, when the DIMD is enabled, the number of angular directions increases to 129, and the DC and planar modes still remain the same. To accommodate the increased granularity of angular intra modes, the precision of intra interpolation filtering for DIMD-coded CUs increases from 1/32-pel to 1/64-pel. Additionally, in order to use the derived intra mode of a DIMD coded CU as MPM candidate for neighbouring intra blocks, those 129 directions of the DIMD-coded CUs are converted to “normal” intra modes (i.e., 65 angular intra directions) before they are used as MPM.

### 2.16.3. DIMD for intra N×N CUs

In the proposed method, intra modes of intra N×N CUs are always signalled. However, to improve the efficiency of intra mode coding, the intra modes derived from DIMD are used as MPM candidates for predicting the intra modes of four PUs in the CU. In order to not increase the overhead of MPM index signalling, the DIMD candidate is always placed at the first place in the MPM list and the last existing MPM candidate is removed. Also, pruning operation is performed such that the DIMD candidate will not be added to the MPM list if it is redundant.

### 2.16.4. Intra mode search algorithm of DIMD

In order to reduce encoding/decoding complexity, one straightforward fast intra mode search algorithm is used for DIMD. Firstly, one initial estimation process is performed to provide a good starting point for intra mode search. Specifically, an initial candidate list is created by selecting  $N$  fixed modes from the allowed intra modes. Then, the SAD is calculated for all the candidate intra modes and the one that minimizes the SAD is selected as the starting intra mode. To achieve a good complexity/performance trade-off, the initial candidate list consists of 11 intra modes, including DC, planar and every 4-th mode of the 33 angular intra directions as defined in HEVC, i.e., intra modes 0, 1, 2, 6, 10... 30, 34.

If the starting intra mode is either DC or planar, it is used as the DIMD mode. Otherwise, based on the starting intra mode, one refinement process is then applied where the optimal intra mode

is identified through one iterative search. It works by comparing at each iteration the SAD values for three intra modes separated by a given search interval and maintain the intra mode that minimize the SAD. The search interval is then reduced to half, and the selected intra mode from the last iteration will serve as the center intra mode for the current iteration. For the current DIMD implementation with 129 angular intra directions, up to 4 iterations are used in the refinement process to find the optimal DIMD intra mode.

### 2.17. Decoder-side intra mode derivation by calculating the gradients of neighbouring samples

Three angular modes are selected from a Histogram of Gradient (HoG) computed from the neighboring pixels of current block. Once the three modes are selected, their predictors are computed normally and then their weighted average is used as the final predictor of the block. To determine the weights, corresponding amplitudes in the HoG are used for each of the three modes. The DIMD mode is used as an alternative prediction mode and is always checked in the FullRD mode.

Current version of DIMD has modified some aspects in the signaling, HoG computation and the prediction fusion. The purpose of this modification is to improve the coding performance as well as addressing the complexity concerns raised during the last meeting (i.e., throughput of 4x4 blocks). The following sections describe the modifications for each aspect.

#### 2.17.1. Signalling

Fig. 21 shows the order of parsing flags/indices in VTM5, integrated with the proposed DIMD. As can be seen, the DIMD flag of the block is parsed first using a single CABAC context, which is initialized to the default value of 154.

If flag == 0, then the parsing continues normally.

Else (if flag == 1), only the ISP index is parsed and the following flags/indices are inferred to be zero: BDPCM flag, MIP flag, MRL index. In this case, the entire IPM parsing is also skipped. During the parsing phase, when a regular non-DIMD block inquires the IPM of its DIMD neighbor, the mode PLANAR\_IDX is used as the virtual IPM of the DIMD block.

#### 2.17.2. Texture analysis

The texture analysis of DIMD includes a Histogram of Gradient (HoG) computation (Fig. 22). The HoG computation is carried out by applying horizontal and vertical Sobel filters on pixels in a template of width 3 around the block. Except, if above template pixels fall into a different

CTU, then they will not be used in the texture analysis.

Once computed, the IPMs corresponding to two tallest histogram bars are selected for the block. In previous versions, all pixels in the middle line of the template were involved in the HoG computation. However, the current version improves the throughput of this process by applying the Sobel filter more sparsely on 4x4 blocks. To this aim, only one pixel from left and one pixel from above are used. This is shown in Fig. 22.

In addition to reduction in the number of operations for gradient computation, this property also simplifies the selection of best 2 modes from the HoG, as the resulting HoG cannot have more than two non-zero amplitudes.

### **2.17.3. Prediction fusion**

Like the previous version, the current version of the method also uses a fusion of three predictors for each block. However, the choice of prediction modes is different and makes use of the combined hypothesis intra-prediction method, where the Planar mode is considered to be used in combination with other modes when computing an intra-predicted candidate. In the current version, the two IPMs corresponding to two tallest HoG bars are combined with the Planar mode.

The prediction fusion is applied as a weighted average of the above three predictors. To this aim, the weight of planar is fixed to 21/64 (~1/3). The remaining weight of 43/64 (~2/3) is then shared between the two HoG IPMs, proportionally to the amplitude of their HoG bars. Fig. 23 visualises this process.

## **2.18. Template-based intra mode derivation (TIMD)**

This contribution proposes a template-based intra mode derivation (TIMD) method using MPMs, in which a TIMD mode is derived from MPMs using the neighbouring template. The TIMD mode is used as an additional intra prediction method for a CU.

### **2.18.1. TIMD mode derivation**

For each intra prediction mode in MPMs, The SATD between the prediction and reconstruction samples of the template is calculated. The intra prediction mode with the minimum SATD is selected as the TIMD mode and used for intra prediction of current CU. Position dependent intra prediction combination (PDPC) is included in the derivation of the TIMD mode.

### 2.18.2. TIMD signalling

A flag is signalled in sequence parameter set (SPS) to enable/disable the proposed method. When the flag is true, a CU level flag is signalled to indicate whether the proposed TIMD method is used. The TIMD flag is signalled right after the MIP flag. If the TIMD flag is equal to true, the remaining syntax elements related to luma intra prediction mode, including MRL, ISP, and normal parsing stage for luma intra prediction modes, are all skipped.

### 2.18.3. Interaction with new coding tools

A DIMD method with prediction fusion using Planar was integrated in EE2. When EE2 DIMD flag is equal to true, the proposed TIMD flag is not signalled and set equal to false.

Similar to PDPC, Gradient PDPC is also included in the derivation of the TIMD mode.

When secondary MPM is enabled, both the primary MPMs and the secondary MPMs are used to derive the TIMD mode.

6-tap interpolation filter is not used in the derivation of the TIMD mode.

### 2.18.4. Modification of MPM list construction in the derivation of TIMD mode

During the construction of MPM list, intra prediction mode of a neighbouring block is derived as Planar when it is inter-coded. To improve the accuracy of MPM list, when a neighbouring block is inter-coded, a propagated intra prediction mode is derived using the motion vector and reference picture and used in the construction of MPM list. This modification is only applied to the derivation of the TIMD mode.

### 2.18.5. TIMD with fusion

Instead of selecting the only one mode with the smallest SATD cost, this contribution proposes to choose the first two modes with the smallest SATD costs for the intra modes derived using TIMD method and then fuse them with the weights, and such weighted intra prediction is used to code the current CU.

The costs of the two selected modes are compared with a threshold, in the test the cost factor of 2 is applied as follows:

$$\text{costMode2} < 2 * \text{costMode1}.$$

If this condition is true, the fusion is applied, otherwise the only model is used.

Weights of the modes are computed from their SATD costs as follows:

$$\text{weight1} = \text{costMode2} / (\text{costMode1} + \text{costMode2});$$

$$\text{weight2} = 1 - \text{weight1}.$$

**2.19. Merge mode with MVD (MMVD)**

In addition to merge mode, where the implicitly derived motion information is directly used for prediction samples generation of the current CU, the merge mode with motion vector differences (MMVD) is introduced in VVC. A MMVD flag is signalled right after sending a regular merge flag to specify whether MMVD mode is used for a CU.

In MMVD, after a merge candidate is selected, it is further refined by the signalled MVDs information. The further information includes a merge candidate flag, an index to specify motion magnitude, and an index for indication of motion direction. In MMVD mode, one for the first two candidates in the merge list is selected to be used as MV basis. The MMVD candidate flag is signalled to specify which one is used between the first and second merge candidates.

Distance index specifies motion magnitude information and indicate the pre-defined offset from the starting point. As shown in Fig. 24, an offset is added to either horizontal component or vertical component of starting MV. The relation of distance index and pre-defined offset is specified in Table 2-6.

Table 2-6 – The relation of distance index and pre-defined offset

Distance IDX	0	1	2	3	4	5	6	7
Offset (in unit of luma sample)	1/4	1/2	1	2	4	8	16	32

Direction index represents the direction of the MVD relative to the starting point. The direction index can represent of the four directions as shown in Table 2-7. It's noted that the meaning of MVD sign could be variant according to the information of starting MVs. When the starting MVs is an un-prediction MV or bi-prediction MVs with both lists point to the same side of the current picture (i.e. POCs of two references are both larger than the POC of the current picture, or are both smaller than the POC of the current picture), the sign in Table 2-7 specifies the sign of MV offset added to the starting MV. When the starting MVs is bi-prediction MVs with the two MVs point to the different sides of the current picture (i.e. the POC of one reference is larger than the POC of the current picture, and the POC of the other reference is smaller than the POC of the current picture), and the difference of POC in list 0 is greater than the one in list 1, the sign in Table 2-7 specifies the sign of MV offset added to the list0 MV component of starting MV and the sign for the list1 MV has opposite value. Otherwise, if the difference of POC in list 1 is greater than list 0, the sign in Table 2-7 specifies the sign of MV offset added

to the list1 MV component of starting MV and the sign for the list0 MV has opposite value. The MVD is scaled according to the difference of POCs in each direction. If the differences of POCs in both lists are the same, no scaling is needed. Otherwise, if the difference of POC in list 0 is larger than the one of list 1, the MVD for list 1 is scaled, by defining the POC difference of L0 as  $t_d$  and POC difference of L1 as  $t_b$ , described in Fig. 26. If the POC difference of L1 is greater than L0, the MVD for list 0 is scaled in the same way. If the starting MV is uni-predicted, the MVD is added to the available MV.

Table 2-7 – Sign of MV offset specified by direction index

Direction IDX	00	01	10	11
x-axis	+	-	N/A	N/A
y-axis	N/A	N/A	+	-

**2.20. Symmetric MVD coding**

In VVC, besides the normal unidirectional prediction and bi-directional prediction mode MVD signalling, symmetric MVD mode for bi-predictional MVD signalling is applied. In the symmetric MVD mode, motion information including reference picture indices of both list-0 and list-1 and MVD of list-1 are not signaled but derived.

The decoding process of the symmetric MVD mode is as follows:

1. At slice level, variables BiDirPredFlag, RefIdxSymL0 and RefIdxSymL1 are derived as follows:
  - If `mvd_11_zero_flag` is 1, BiDirPredFlag is set equal to 0.
  - Otherwise, if the nearest reference picture in list-0 and the nearest reference picture in list-1 form a forward and backward pair of reference pictures or a backward and forward pair of reference pictures, BiDirPredFlag is set to 1, and both list-0 and list-1 reference pictures are short-term reference pictures. Otherwise BiDirPredFlag is set to 0.
2. At CU level, a symmetrical mode flag indicating whether symmetrical mode is used or not is explicitly signaled if the CU is bi-prediction coded and BiDirPredFlag is equal to 1.

When the symmetrical mode flag is true, only `mvp_10_flag`, `mvp_11_flag` and MVD0 are explicitly signaled. The reference indices for list-0 and list-1 are set equal to the pair of reference pictures, respectively. MVD1 is set equal to  $(-MVD0)$ . The final motion vectors are shown in below formula.

$$\begin{cases} (mvx_0, mvy_0) = (mvp_x_0 + mvd_x_0, mvp_y_0 + mvd_y_0) \\ (mvx_1, mvy_1) = (mvp_x_1 - mvd_x_0, mvp_y_1 - mvd_y_0) \end{cases} \quad (2-1)$$

Fig. 25 is illustration for symmetrical MVD mode. In the encoder, symmetric MVD motion estimation starts with initial MV evaluation. A set of initial MV candidates comprising of the MV obtained from uni-prediction search, the MV obtained from bi-prediction search and the MVs from the AMVP list. The one with the lowest rate-distortion cost is chosen to be the initial MV for the symmetric MVD motion search.

### 2.21. Bi-directional optical flow (BDOF)

The bi-directional optical flow (BDOF) tool is included in VVC. BDOF, previously referred to as BIO, was included in the JEM. Compared to the JEM version, the BDOF in VVC is a simpler version that requires much less computation, especially in terms of number of multiplications and the size of the multiplier.

BDOF is used to refine the bi-prediction signal of a CU at the 4×4 subblock level. BDOF is applied to a CU if it satisfies all the following conditions:

- The CU is coded using “true” bi-prediction mode, i.e., one of the two reference pictures is prior to the current picture in display order and the other is after the current picture in display order.
- The distances (i.e. POC difference) from two reference pictures to the current picture are same.
- Both reference pictures are short-term reference pictures.
- The CU is not coded using affine mode or the SbTMVP merge mode.
- CU has more than 64 luma samples.
- Both CU height and CU width are larger than or equal to 8 luma samples.
- BCW weight index indicates equal weight.
- WP is not enabled for the current CU.
- CIIP mode is not used for the current CU.

BDOF is only applied to the luma component. As its name indicates, the BDOF mode is based on the optical flow concept, which assumes that the motion of an object is smooth. For each 4×4 subblock, a motion refinement  $(v_x, v_y)$  is calculated by minimizing the difference between the L0 and L1 prediction samples. The motion refinement is then used to adjust the bi-predicted

sample values in the 4x4 subblock. The following steps are applied in the BDOF process.

First, the horizontal and vertical gradients,  $\frac{\partial I^{(k)}}{\partial x}(i, j)$  and  $\frac{\partial I^{(k)}}{\partial y}(i, j)$ ,  $k = 0, 1$ , of the two prediction signals are computed by directly calculating the difference between two neighboring samples, i.e.,

$$\begin{aligned}\frac{\partial I^{(k)}}{\partial x}(i, j) &= ((I^{(k)}(i+1, j) \gg \text{shift1}) - (I^{(k)}(i-1, j) \gg \text{shift1})) \\ \frac{\partial I^{(k)}}{\partial y}(i, j) &= ((I^{(k)}(i, j+1) \gg \text{shift1}) - (I^{(k)}(i, j-1) \gg \text{shift1}))\end{aligned}\quad (2-2)$$

where  $I^{(k)}(i, j)$  are the sample value at coordinate  $(i, j)$  of the prediction signal in list  $k$ ,  $k = 0, 1$ , and shift1 is calculated based on the luma bit depth, bitDepth, as  $\text{shift1} = \max(6, \text{bitDepth} - 6)$ .

Then, the auto- and cross-correlation of the gradients,  $S_1, S_2, S_3, S_5$  and  $S_6$ , are calculated as

$$\begin{aligned}S_1 &= \sum_{(i,j) \in \Omega} \text{Abs}(\psi_x(i, j)), \quad S_3 = \sum_{(i,j) \in \Omega} \theta(i, j) \cdot \text{Sign}(\psi_x(i, j)) \\ S_2 &= \sum_{(i,j) \in \Omega} \psi_x(i, j) \cdot \text{Sign}(\psi_y(i, j)) \\ S_5 &= \sum_{(i,j) \in \Omega} \text{Abs}(\psi_y(i, j)), \quad S_6 = \sum_{(i,j) \in \Omega} \theta(i, j) \cdot \text{Sign}(\psi_y(i, j))\end{aligned}\quad (2-3)$$

where

$$\begin{aligned}\psi_x(i, j) &= \left( \frac{\partial I^{(1)}}{\partial x}(i, j) + \frac{\partial I^{(0)}}{\partial x}(i, j) \right) \gg n_a \\ \psi_y(i, j) &= \left( \frac{\partial I^{(1)}}{\partial y}(i, j) + \frac{\partial I^{(0)}}{\partial y}(i, j) \right) \gg n_a \\ \theta(i, j) &= (I^{(1)}(i, j) \gg n_b) - (I^{(0)}(i, j) \gg n_b)\end{aligned}\quad (2-4)$$

where  $\Omega$  is a 6x6 window around the 4x4 subblock, and the values of  $n_a$  and  $n_b$  are set equal to  $\min(1, \text{bitDepth} - 11)$  and  $\min(4, \text{bitDepth} - 8)$ , respectively.

The motion refinement  $(v_x, v_y)$  is then derived using the cross- and auto-correlation terms using the following:

$$\begin{aligned}v_x &= S_1 > 0 ? \text{clip3} \left( -\text{th}'_{B10}, \text{th}'_{B10}, -((S_3 \cdot 2^{n_b - n_a}) \gg \lfloor \log_2 S_1 \rfloor) \right) : 0 \\ v_y &= S_5 > 0 ? \text{clip3} \left( -\text{th}'_{B10}, \text{th}'_{B10}, - \left( (S_6 \cdot 2^{n_b - n_a} - ((v_x S_{2,m}) \ll n_{S_2} + v_x S_{2,s}) / 2) \right. \right. \\ &\quad \left. \left. \gg \lfloor \log_2 S_5 \rfloor \right) \right) : 0\end{aligned}\quad (2-5)$$

where  $S_{2,m} = S_2 \gg n_{S_2}$ ,  $S_{2,s} = S_2 \& (2^{n_{S_2}} - 1)$ ,  $\text{th}'_{B10} = 2^{\max(5, \text{BD} - 7)}$ .  $\lfloor \cdot \rfloor$  is the floor function, and  $n_{S_2} = 12$ .

Based on the motion refinement and the gradients, the following adjustment is calculated for each sample in the 4x4 subblock:

$$b(x, y) = rnd \left( \left( v_x \left( \frac{\partial I^{(1)}(x, y)}{\partial x} - \frac{\partial I^{(0)}(x, y)}{\partial x} \right) + v_y \left( \frac{\partial I^{(1)}(x, y)}{\partial y} - \frac{\partial I^{(0)}(x, y)}{\partial y} \right) + 1 \right) / 2 \right) \quad (2-6)$$

Finally, the BDOF samples of the CU are calculated by adjusting the bi-prediction samples as follows:

$$pred_{BDOF}(x, y) = (I^{(0)}(x, y) + I^{(1)}(x, y) + b(x, y) + o_{offset}) \gg shift. \quad (2-7)$$

These values are selected such that the multipliers in the BDOF process do not exceed 15-bit, and the maximum bit-width of the intermediate parameters in the BDOF process is kept within 32-bit.

In order to derive the gradient values, some prediction samples  $I^{(k)}(l, j)$  in list  $k$  ( $k = 0, 1$ ) outside of the current CU boundaries need to be generated. As depicted in Fig. 26, the BDOF in VVC uses one extended row/column around the CU's boundaries. In order to control the computational complexity of generating the out-of-boundary prediction samples, prediction samples in the extended area (white positions) are generated by taking the reference samples at the nearby integer positions (using floor() operation on the coordinates) directly without interpolation, and the normal 8-tap motion compensation interpolation filter is used to generate prediction samples within the CU (gray positions). These extended sample values are used in gradient calculation only. For the remaining steps in the BDOF process, if any sample and gradient values outside of the CU boundaries are needed, they are padded (i.e. repeated) from their nearest neighbors.

When the width and/or height of a CU are larger than 16 luma samples, it will be split into subblocks with width and/or height equal to 16 luma samples, and the subblock boundaries are treated as the CU boundaries in the BDOF process. The maximum unit size for BDOF process is limited to 16x16. For each subblock, the BDOF process could be skipped. When the SAD of between the initial L0 and L1 prediction samples is smaller than a threshold, the BDOF process is not applied to the subblock. The threshold is set equal to  $(8 * W * (H >> 1))$ , where  $W$  indicates the subblock width, and  $H$  indicates subblock height. To avoid the additional complexity of SAD calculation, the SAD between the initial L0 and L1 prediction samples calculated in DVMR process is re-used here.

If BCW is enabled for the current block, i.e., the BCW weight index indicates unequal weight, then bi-directional optical flow is disabled. Similarly, if WP is enabled for the current block, i.e., the luma\_weight\_lx\_flag is 1 for either of the two reference pictures, then BDOF is also disabled. When a CU is coded with symmetric MVD mode or CHIP mode, BDOF is also disabled.

## 2.22. Combined inter and intra prediction (CIIP)

### 2.23. Affine motion compensated prediction

In HEVC, only translation motion model is applied for motion compensation prediction (MCP). While in the real world, there are many kinds of motion, e.g., zoom in/out, rotation, perspective motions and the other irregular motions. In VVC, a block-based affine transform motion compensation prediction is applied. As shown Fig. 27, the affine motion field of the block is described by motion information of two control point (4-parameter, as shown in subfigure 2700) or three control point motion vectors (6-parameter, as shown in subfigure 2702).

For 4-parameter affine motion model, motion vector at sample location  $(x, y)$  in a block is derived as:

$$\begin{cases} mv_x = \frac{mv_{1x} - mv_{0x}}{W} x + \frac{mv_{1y} - mv_{0y}}{W} y + mv_{0x} \\ mv_y = \frac{mv_{1y} - mv_{0y}}{W} x + \frac{mv_{1x} - mv_{0x}}{W} y + mv_{0y} \end{cases} \quad (2-8)$$

For 6-parameter affine motion model, motion vector at sample location  $(x, y)$  in a block is derived as:

$$\begin{cases} mv_x = \frac{mv_{1x} - mv_{0x}}{W} x + \frac{mv_{2x} - mv_{0x}}{H} y + mv_{0x} \\ mv_y = \frac{mv_{1y} - mv_{0y}}{W} x + \frac{mv_{2y} - mv_{0y}}{H} y + mv_{0y} \end{cases} \quad (2-9)$$

where  $(mv_{0x}, mv_{0y})$  is motion vector of the top-left corner control point,  $(mv_{1x}, mv_{1y})$  is motion vector of the top-right corner control point, and  $(mv_{2x}, mv_{2y})$  is motion vector of the bottom-left corner control point.

In order to simplify the motion compensation prediction, block based affine transform prediction is applied. To derive motion vector of each  $4 \times 4$  luma subblock, the motion vector of the center sample of each subblock, as shown in Fig. 28, is calculated according to above equations, and rounded to 1/16 fraction accuracy. Then the motion compensation interpolation filters are applied to generate the prediction of each subblock with derived motion vector. The subblock size of chroma-components is also set to be  $4 \times 4$ . The MV of a  $4 \times 4$  chroma subblock is calculated as the average of the MVs of the four corresponding  $4 \times 4$  luma subblocks.

As done for translational motion inter prediction, there are also two affine motion inter prediction modes: affine merge mode and affine AMVP mode.

### 2.23.1. Affine merge prediction

AF\_MERGE mode can be applied for CUs with both width and height larger than or equal to 8. In this mode the CPMVs of the current CU is generated based on the motion information of the spatial neighbouring CUs. There can be up to five CPMVP candidates and an index is signalled to indicate the one to be used for the current CU. The following three types of CPVM candidate are used to form the affine merge candidate list:

- Inherited affine merge candidates that extrapolated from the CPMVs of the neighbour CUs.
- Constructed affine merge candidates CPMVPs that are derived using the translational MVs of the neighbour CUs.
- Zero MVs.

In VVC, there are maximum two inherited affine candidates, which are derived from affine motion model of the neighbouring blocks, one from left neighbouring CUs and one from above neighbouring CUs. The candidate blocks are shown in Fig. 29. For the left predictor, the scan order is A0->A1, and for the above predictor, the scan order is B0->B1->B2. Only the first inherited candidate from each side is selected. No pruning check is performed between two inherited candidates. When a neighbouring affine CU is identified, its control point motion vectors are used to derive the CPMVP candidate in the affine merge list of the current CU. As shown in Fig. 30, if the neighbour left bottom block A is coded in affine mode, the motion vectors  $v_2$ ,  $v_3$  and  $v_4$  of the top left corner, above right corner and left bottom corner of the CU which contains the block A are attained. When block A is coded with 4-parameter affine model, the two CPMVs of the current CU are calculated according to  $v_2$ , and  $v_3$ . In case that block A is coded with 6-parameter affine model, the three CPMVs of the current CU are calculated according to  $v_2$ ,  $v_3$  and  $v_4$ .

Constructed affine candidate means the candidate is constructed by combining the neighbour translational motion information of each control point. The motion information for the control points is derived from the specified spatial neighbours and temporal neighbour shown in Fig. 31. CPMV<sub>k</sub> (k=1, 2, 3, 4) represents the k-th control point. For CPMV<sub>1</sub>, the B2->B3->A2 blocks are checked and the MV of the first available block is used. For CPMV<sub>2</sub>, the B1->B0 blocks are checked and for CPMV<sub>3</sub>, the A1->A0 blocks are checked. For TMVP is used as CPMV<sub>4</sub> if it's available.

After MVs of four control points are attained, affine merge candidates are constructed based on that motion information. The following combinations of control point MVs are used to

construct in order:

{CPMV<sub>1</sub>, CPMV<sub>2</sub>, CPMV<sub>3</sub>}, {CPMV<sub>1</sub>, CPMV<sub>2</sub>, CPMV<sub>4</sub>}, {CPMV<sub>1</sub>, CPMV<sub>3</sub>, CPMV<sub>4</sub>},  
 {CPMV<sub>2</sub>, CPMV<sub>3</sub>, CPMV<sub>4</sub>}, {CPMV<sub>1</sub>, CPMV<sub>2</sub>}, {CPMV<sub>1</sub>, CPMV<sub>3</sub>}.

The combination of 3 CPMVs constructs a 6-parameter affine merge candidate and the combination of 2 CPMVs constructs a 4-parameter affine merge candidate. To avoid motion scaling process, if the reference indices of control points are different, the related combination of control point MVs is discarded.

Fig. 31 shows locations of candidates position for constructed affine merge mode. After inherited affine merge candidates and constructed affine merge candidate are checked, if the list is still not full, zero MVs are inserted to the end of the list.

### 2.23.2. Affine AMVP prediction

Affine AMVP mode can be applied for CUs with both width and height larger than or equal to 16. An affine flag in CU level is signalled in the bitstream to indicate whether affine AMVP mode is used and then another flag is signalled to indicate whether 4-parameter affine or 6-parameter affine. In this mode, the difference of the CPMVs of current CU and their predictors CPMVPs is signalled in the bitstream. The affine AVMP candidate list size is 2 and it is generated by using the following four types of CPVM candidate in order:

- Inherited affine AMVP candidates that extrapolated from the CPMVs of the neighbour CUs.
- Constructed affine AMVP candidates CPMVPs that are derived using the translational MVs of the neighbour CUs.
- Translational MVs from neighbouring CUs.
- Zero MVs.

The checking order of inherited affine AMVP candidates is same to the checking order of inherited affine merge candidates. The only difference is that, for AVMP candidate, only the affine CU that has the same reference picture as in current block is considered. No pruning process is applied when inserting an inherited affine motion predictor into the candidate list.

Constructed AMVP candidate is derived from the specified spatial neighbours shown in Fig. 31. The same checking order is used as done in affine merge candidate construction. In addition, reference picture index of the neighbouring block is also checked. The first block in the checking order that is inter coded and has the same reference picture as in current CUs is used. There is only one When the current CU is coded with 4-parameter affine mode, and  $mv_0$  and

$mv_1$  are both available, they are added as one candidate in the affine AMVP list. When the current CU is coded with 6-parameter affine mode, and all three CPMVs are available, they are added as one candidate in the affine AMVP list. Otherwise, constructed AMVP candidate is set as unavailable.

If affine AMVP list candidates is still less than 2 after inherited affine AMVP candidates and Constructed AMVP candidate are checked,  $mv_0$ ,  $mv_1$ , and  $mv_2$  will be added, in order, as the translational MVs to predict all control point MVs of the current CU, when available. Finally, zero MVs are used to fill the affine AMVP list if it is still not full.

### 2.23.3. Affine motion information storage

In VVC, the CPMVs of affine CUs are stored in a separate buffer. The stored CPMVs are only used to generate the inherited CPMVs in affine merge mode and affine AMVP mode for the lately coded CUs. The subblock MVs derived from CPMVs are used for motion compensation, MV derivation of merge/AMVP list of translational MVs and de-blocking.

To avoid the picture line buffer for the additional CPMVs, affine motion data inheritance from the CUs from above CTU is treated differently to the inheritance from the normal neighbouring CUs. If the candidate CU for affine motion data inheritance is in the above CTU line, the bottom-left and bottom-right subblock MVs in the line buffer instead of the CPMVs are used for the affine MVP derivation. In this way, the CPMVs are only stored in local buffer. If the candidate CU is 6-parameter affine coded, the affine model is degraded to 4-parameter model. As shown in Fig. 32, along the top CTU boundary, the bottom-left and bottom right subblock motion vectors of a CU are used for affine inheritance of the CUs in bottom CTUs.

### 2.23.4. Prediction refinement with optical flow for affine mode

Subblock based affine motion compensation can save memory access bandwidth and reduce computation complexity compared to pixel-based motion compensation, at the cost of prediction accuracy penalty. To achieve a finer granularity of motion compensation, prediction refinement with optical flow (PROF) is used to refine the subblock based affine motion compensated prediction without increasing the memory access bandwidth for motion compensation. In VVC, after the subblock based affine motion compensation is performed, luma prediction sample is refined by adding a difference derived by the optical flow equation. The PROF is described as following four steps:

Step 1) The subblock-based affine motion compensation is performed to generate subblock

prediction  $I(i, j)$ .

Step2) The spatial gradients  $g_x(i, j)$  and  $g_y(i, j)$  of the subblock prediction are calculated at each sample location using a 3-tap filter  $[-1, 0, 1]$ . The gradient calculation is exactly the same as gradient calculation in BDOF.

$$g_x(i, j) = (I(i + 1, j) \gg \text{shift1}) - (I(i - 1, j) \gg \text{shift1}) \quad (2-10)$$

$$g_y(i, j) = (I(i, j + 1) \gg \text{shift1}) - (I(i, j - 1) \gg \text{shift1}) \quad (2-11)$$

$\text{shift1}$  is used to control the gradient's precision. The subblock (i.e. 4x4) prediction is extended by one sample on each side for the gradient calculation. To avoid additional memory bandwidth and additional interpolation computation, those extended samples on the extended borders are copied from the nearest integer pixel position in the reference picture.

Step 3) The luma prediction refinement is calculated by the following optical flow equation.

$$\Delta I(i, j) = g_x(i, j) * \Delta v_x(i, j) + g_y(i, j) * \Delta v_y(i, j) \quad (2-12)$$

where the  $\Delta v(i, j)$  is the difference between sample MV computed for sample location  $(i, j)$ , denoted by  $v(i, j)$ , and the subblock MV of the subblock to which sample  $(i, j)$  belongs, as shown in Fig. 33. The  $\Delta v(i, j)$  is quantized in the unit of 1/32 luma sample precision.

Since the affine model parameters and the sample location relative to the subblock center are not changed from subblock to subblock,  $\Delta v(i, j)$  can be calculated for the first subblock, and reused for other subblocks in the same CU. Let  $dx(i, j)$  and  $dy(i, j)$  be the horizontal and vertical offset from the sample location  $(i, j)$  to the center of the subblock  $(x_{SB}, y_{SB})$ ,  $\Delta v(x, y)$  can be derived by the following equation:

$$\begin{cases} dx(i, j) = i - x_{SB} \\ dy(i, j) = j - y_{SB} \end{cases} \quad (2-13)$$

$$\begin{cases} \Delta v_x(i, j) = C * dx(i, j) + D * dy(i, j) \\ \Delta v_y(i, j) = E * dx(i, j) + F * dy(i, j) \end{cases} \quad (2-14)$$

In order to keep accuracy, the center of the subblock  $(x_{SB}, y_{SB})$  is calculated as  $((W_{SB} - 1)/2, (H_{SB} - 1)/2)$ , where  $W_{SB}$  and  $H_{SB}$  are the subblock width and height, respectively.

For 4-parameter affine model,

$$\begin{cases} C = F = \frac{v_{1x} - v_{0x}}{w} \\ E = -D = \frac{v_{1y} - v_{0y}}{w} \end{cases} \quad (2-15)$$

For 6-parameter affine model,

$$\begin{cases} C = \frac{v_{1x} - v_{0x}}{w} \\ D = \frac{v_{2x} - v_{0x}}{h} \\ E = \frac{v_{1y} - v_{0y}}{w} \\ F = \frac{v_{2y} - v_{0y}}{h} \end{cases} \quad (2-16)$$

where  $(v_{0x}, v_{0y})$ ,  $(v_{1x}, v_{1y})$ ,  $(v_{2x}, v_{2y})$  are the top-left, top-right and bottom-left control point motion vectors,  $w$  and  $h$  are the width and height of the CU.

Step 4) Finally, the luma prediction refinement  $\Delta I(i, j)$  is added to the subblock prediction  $I(i, j)$ . The final prediction  $I'$  is generated as the following equation.

$$I'(i, j) = I(i, j) + \Delta I(i, j) \quad (2-17)$$

PROF is not applied in two cases for an affine coded CU: 1) all control point MVs are the same, which indicates the CU only has translational motion; 2) the affine motion parameters are greater than a specified limit because the subblock based affine MC is degraded to CU based MC to avoid large memory access bandwidth requirement.

A fast encoding method is applied to reduce the encoding complexity of affine motion estimation with PROF. PROF is not applied at affine motion estimation stage in following two situations: a) if this CU is not the root block and its parent block does not select the affine mode as its best mode, PROF is not applied since the possibility for current CU to select the affine mode as best mode is low; b) if the magnitude of four affine parameters (C, D, E, F) are all smaller than a predefined threshold and the current picture is not a low delay picture, PROF is not applied because the improvement introduced by PROF is small for this case. In this way, the affine motion estimation with PROF can be accelerated.

#### 2.24. Subblock-based temporal motion vector prediction (SbTMVP)

VVC supports the subblock-based temporal motion vector prediction (SbTMVP) method. Similar to the temporal motion vector prediction (TMVP) in HEVC, SbTMVP uses the motion field in the collocated picture to improve motion vector prediction and merge mode for CUs in the current picture. The same collocated picture used by TMVP is used for SbTMVP. SbTMVP differs from TMVP in the following two main aspects:

- TMVP predicts motion at CU level, but SbTMVP predicts motion at sub-CU level;
- Whereas TMVP fetches the temporal motion vectors from the collocated block in the collocated picture (the collocated block is the bottom-right or center block relative to the current CU), SbTMVP applies a motion shift before fetching the temporal motion

information from the collocated picture, where the motion shift is obtained from the motion vector from one of the spatial neighboring blocks of the current CU.

The SbTMVP process is illustrated in Figs. 34A and 34B. Fig. 34A illustrates spatial neighboring blocks used by ATMVP. Fig. 34B illustrates deriving sub-CU motion field by applying a motion shift from spatial neighbor and scaling the motion information from the corresponding collocated sub-CUs. SbTMVP predicts the motion vectors of the sub-CUs within the current CU in two steps. In the first step, the spatial neighbor A1 in Fig. 34A is examined. If A1 has a motion vector that uses the collocated picture as its reference picture, this motion vector is selected to be the motion shift to be applied. If no such motion is identified, then the motion shift is set to (0, 0).

In the second step, the motion shift identified in Step 1 is applied (i.e., added to the current block's coordinates) to obtain sub-CU-level motion information (motion vectors and reference indices) from the collocated picture as shown in Fig. 34B. The example in Fig. 34B assumes the motion shift is set to block A1's motion. Then, for each sub-CU, the motion information of its corresponding block (the smallest motion grid that covers the center sample) in the collocated picture is used to derive the motion information for the sub-CU. After the motion information of the collocated sub-CU is identified, it is converted to the motion vectors and reference indices of the current sub-CU in a similar way as the TMVP process of HEVC, where temporal motion scaling is applied to align the reference pictures of the temporal motion vectors to those of the current CU.

In VVC, a combined subblock based merge list which contains both SbTMVP candidate and affine merge candidates is used for the signalling of subblock based merge mode. The SbTMVP mode is enabled/disabled by a sequence parameter set (SPS) flag. If the SbTMVP mode is enabled, the SbTMVP predictor is added as the first entry of the list of subblock based merge candidates, and followed by the affine merge candidates. The size of subblock based merge list is signalled in SPS and the maximum allowed size of the subblock based merge list is 5 in VVC.

The sub-CU size used in SbTMVP is fixed to be 8x8, and as done for affine merge mode, SbTMVP mode is only applicable to the CU with both width and height are larger than or equal to 8.

The encoding logic of the additional SbTMVP merge candidate is the same as for the other merge candidates, that is, for each CU in P or B slice, an additional RD check is performed to decide whether to use the SbTMVP candidate.

### 2.25. Adaptive motion vector resolution (AMVR)

In HEVC, motion vector differences (MVDs) (between the motion vector and predicted motion vector of a CU) are signalled in units of quarter-luma-sample when `use_integer_mv_flag` is equal to 0 in the slice header. In VVC, a CU-level adaptive motion vector resolution (AMVR) scheme is introduced. AMVR allows MVD of the CU to be coded in different precision. Dependent on the mode (normal AMVP mode or affine AMVP mode) for the current CU, the MVDs of the current CU can be adaptively selected as follows:

- Normal AMVP mode: quarter-luma-sample, half-luma-sample, integer-luma-sample or four-luma-sample.
- Affine AMVP mode: quarter-luma-sample, integer-luma-sample or 1/16 luma-sample.

The CU-level MVD resolution indication is conditionally signalled if the current CU has at least one non-zero MVD component. If all MVD components (that is, both horizontal and vertical MVDs for reference list L0 and reference list L1) are zero, quarter-luma-sample MVD resolution is inferred.

For a CU that has at least one non-zero MVD component, a first flag is signalled to indicate whether quarter-luma-sample MVD precision is used for the CU. If the first flag is 0, no further signaling is needed and quarter-luma-sample MVD precision is used for the current CU. Otherwise, a second flag is signalled to indicate half-luma-sample or other MVD precisions (integer or four-luma sample) is used for normal AMVP CU. In the case of half-luma-sample, a 6-tap interpolation filter instead of the default 8-tap interpolation filter is used for the half-luma sample position. Otherwise, a third flag is signalled to indicate whether integer-luma-sample or four-luma-sample MVD precision is used for normal AMVP CU. In the case of affine AMVP CU, the second flag is used to indicate whether integer-luma-sample or 1/16 luma-sample MVD precision is used. In order to ensure the reconstructed MV has the intended precision (quarter-luma-sample, half-luma-sample, integer-luma-sample or four-luma-sample), the motion vector predictors for the CU will be rounded to the same precision as that of the MVD before being added together with the MVD. The motion vector predictors are rounded toward zero (that is, a negative motion vector predictor is rounded toward positive infinity and a positive motion vector predictor is rounded toward negative infinity).

The encoder determines the motion vector resolution for the current CU using RD check. To avoid always performing CU-level RD check four times for each MVD resolution, in VTM11, the RD check of MVD precisions other than quarter-luma-sample is only invoked conditionally.

For normal AVMP mode, the RD cost of quarter-luma-sample MVD precision and integer-luma sample MV precision is computed first. Then, the RD cost of integer-luma-sample MVD precision is compared to that of quarter-luma-sample MVD precision to decide whether it is necessary to further check the RD cost of four-luma-sample MVD precision. When the RD cost for quarter-luma-sample MVD precision is much smaller than that of the integer-luma-sample MVD precision, the RD check of four-luma-sample MVD precision is skipped. Then, the check of half-luma-sample MVD precision is skipped if the RD cost of integer-luma-sample MVD precision is significantly larger than the best RD cost of previously tested MVD precisions. For affine AMVP mode, if affine inter mode is not selected after checking rate-distortion costs of affine merge/skip mode, merge/skip mode, quarter-luma-sample MVD precision normal AMVP mode and quarter-luma-sample MVD precision affine AMVP mode, then 1/16 luma-sample MV precision and 1-pel MV precision affine inter modes are not checked. Furthermore, affine parameters obtained in quarter-luma-sample MV precision affine inter mode is used as starting search point in 1/16 luma-sample and quarter-luma-sample MV precision affine inter modes.

## 2.26. Bi-prediction with CU-level weight (BCW)

In HEVC, the bi-prediction signal is generated by averaging two prediction signals obtained from two different reference pictures and/or using two different motion vectors. In VVC, the bi-prediction mode is extended beyond simple averaging to allow weighted averaging of the two prediction signals.

$$P_{\text{bi-pred}} = ((8 - w) * P_0 + w * P_1 + 4) \gg 3 \quad (2-18)$$

Five weights are allowed in the weighted averaging bi-prediction,  $w \in \{-2, 3, 4, 5, 10\}$ . For each bi-predicted CU, the weight  $w$  is determined in one of two ways: 1) for a non-merge CU, the weight index is signalled after the motion vector difference; 2) for a merge CU, the weight index is inferred from neighbouring blocks based on the merge candidate index. BCW is only applied to CUs with 256 or more luma samples (i.e., CU width times CU height is greater than or equal to 256). For low-delay pictures, all 5 weights are used. For non-low-delay pictures, only 3 weights ( $w \in \{3, 4, 5\}$ ) are used.

- At the encoder, fast search algorithms are applied to find the weight index without significantly increasing the encoder complexity. These algorithms are summarized as follows. For further details readers are referred to the VTM software. When combined with AMVR,

unequal weights are only conditionally checked for 1-pel and 4-pel motion vector precisions if the current picture is a low-delay picture.

- When combined with affine, affine ME will be performed for unequal weights if and only if the affine mode is selected as the current best mode.
- When the two reference pictures in bi-prediction are the same, unequal weights are only conditionally checked.
- Unequal weights are not searched when certain conditions are met, depending on the POC distance between current picture and its reference pictures, the coding QP, and the temporal level.

The BCW weight index is coded using one context coded bin followed by bypass coded bins. The first context coded bin indicates if equal weight is used; and if unequal weight is used, additional bins are signalled using bypass coding to indicate which unequal weight is used.

Weighted prediction (WP) is a coding tool supported by the H.264/AVC and HEVC standards to efficiently code video content with fading. Support for WP was also added into the VVC standard. WP allows weighting parameters (weight and offset) to be signalled for each reference picture in each of the reference picture lists L0 and L1. Then, during motion compensation, the weight(s) and offset(s) of the corresponding reference picture(s) are applied. WP and BCW are designed for different types of video content. In order to avoid interactions between WP and BCW, which will complicate VVC decoder design, if a CU uses WP, then the BCW weight index is not signalled, and  $w$  is inferred to be 4 (i.e. equal weight is applied). For a merge CU, the weight index is inferred from neighbouring blocks based on the merge candidate index. This can be applied to both normal merge mode and inherited affine merge mode. For constructed affine merge mode, the affine motion information is constructed based on the motion information of up to 3 blocks. The BCW index for a CU using the constructed affine merge mode is simply set equal to the BCW index of the first control point MV.

In VVC, CIIP and BCW cannot be jointly applied for a CU. When a CU is coded with CIIP mode, the BCW index of the current CU is set to 2, e.g., equal weight.

## 2.27. Local illumination compensation (LIC)

Local illumination compensation (LIC) is a coding tool to address the issue of local illumination changes between current picture and its temporal reference pictures. The LIC is based on a linear model where a scaling factor and an offset are applied to the reference samples to obtain the prediction samples of a current block. Specifically, the LIC can be mathematically modeled

by the following equation:

$$P(x, y) = \alpha \cdot P_r(x + v_x, y + v_y) + \beta$$

where  $P(x, y)$  is the prediction signal of the current block at the coordinate  $(x, y)$ ;  $P_r(x + v_x, y + v_y)$  is the reference block pointed by the motion vector  $(v_x, v_y)$ ;  $\alpha$  and  $\beta$  are the corresponding scaling factor and offset that are applied to the reference block. Fig. 35 illustrates the LIC process. In Fig. 35, when the LIC is applied for a block, a least mean square error (LMSE) method is employed to derive the values of the LIC parameters (i.e.,  $\alpha$  and  $\beta$ ) by minimizing the difference between the neighboring samples of the current block (i.e., the template  $T$  in Fig. 35) and their corresponding reference samples in the temporal reference pictures (i.e., either  $T0$  or  $T1$  in Fig. 35). Additionally, to reduce the computational complexity, both the template samples and the reference template samples are subsampled (adaptive subsampling) to derive the LIC parameters, i.e., only the shaded samples in Fig. 35 are used to derive  $\alpha$  and  $\beta$ .

To improve the coding performance, no subsampling for the short side is performed as shown in Fig. 36.

## 2.28. Decoder side motion vector refinement (DMVR)

In order to increase the accuracy of the MVs of the merge mode, a bilateral-matching (BM) based decoder side motion vector refinement is applied in VVC. In bi-prediction operation, a refined MV is searched around the initial MVs in the reference picture list L0 and reference picture list L1. The BM method calculates the distortion between the two candidate blocks in the reference picture list L0 and list L1. As illustrated in Fig. 37, the SAD between the two blocks based on each MV candidate (e.g.,  $MV0'$  and  $MV1'$ ) around the initial MV is calculated. The MV candidate with the lowest SAD becomes the refined MV and used to generate the bi-predicted signal.

In VVC, the application of DMVR is restricted and is only applied for the CUs which are coded with following modes and features:

- CU level merge mode with bi-prediction MV;
- One reference picture is in the past and another reference picture is in the future with respect to the current picture;

- The distances (i.e. POC difference) from two reference pictures to the current picture are same;
- Both reference pictures are short-term reference pictures;
- CU has more than 64 luma samples;
- Both CU height and CU width are larger than or equal to 8 luma samples;
- BCW weight index indicates equal weight;
- WP is not enabled for the current block;
- CIIP mode is not used for the current block.

The refined MV derived by DMVR process is used to generate the inter prediction samples and also used in temporal motion vector prediction for future pictures coding. While the original MV is used in deblocking process and also used in spatial motion vector prediction for future CU coding.

The additional features of DMVR are mentioned in the following sub-clauses.

#### 2.28.1. Searching scheme

In DVMR, the search points are surrounding the initial MV and the MV offset obey the MV difference mirroring rule. In other words, any points that are checked by DMVR, denoted by candidate MV pair (MV0, MV1) obey the following two equations:

$$MV0' = MV0 + MV\_offset \quad (2-19)$$

$$MV1' = MV1 - MV\_offset \quad (2-20)$$

Where *MV\_offset* represents the refinement offset between the initial MV and the refined MV in one of the reference pictures. The refinement search range is two integer luma samples from the initial MV. The searching includes the integer sample offset search stage and fractional sample refinement stage.

25 points full search is applied for integer sample offset searching. The SAD of the initial MV pair is first calculated. If the SAD of the initial MV pair is smaller than a threshold, the integer sample stage of DMVR is terminated. Otherwise SADs of the remaining 24 points are calculated and checked in raster scanning order. The point with the smallest SAD is selected as the output of integer sample offset searching stage. To reduce the penalty of the uncertainty of DMVR refinement, it is proposed to favor the original MV during the DMVR process. The SAD between the reference blocks referred by the initial MV candidates is decreased by 1/4 of

the SAD value.

The integer sample search is followed by fractional sample refinement. To save the calculational complexity, the fractional sample refinement is derived by using parametric error surface equation, instead of additional search with SAD comparison. The fractional sample refinement is conditionally invoked based on the output of the integer sample search stage. When the integer sample search stage is terminated with center having the smallest SAD in either the first iteration or the second iteration search, the fractional sample refinement is further applied.

In parametric error surface based sub-pixel offsets estimation, the center position cost and the costs at four neighboring positions from the center are used to fit a 2-D parabolic error surface equation of the following form:

$$E(x, y) = A(x - x_{min})^2 + B(y - y_{min})^2 + C \quad (2-21)$$

where  $(x_{min}, y_{min})$  corresponds to the fractional position with the least cost and C corresponds to the minimum cost value. By solving the above equations by using the cost value of the five search points, the  $(x_{min}, y_{min})$  is computed as:

$$x_{min} = (E(-1,0) - E(1,0))/(2(E(-1,0) + E(1,0) - 2E(0,0))); \quad (2-22)$$

$$y_{min} = (E(0,-1) - E(0,1))/(2(E(0,-1) + E(0,1) - 2E(0,0))). \quad (2-23)$$

The value of  $x_{min}$  and  $y_{min}$  are automatically constrained to be between  $-8$  and  $8$  since all cost values are positive and the smallest value is  $E(0,0)$ . This corresponds to half pel offset with 1/16th-pel MV accuracy in VVC. The computed fractional  $(x_{min}, y_{min})$  are added to the integer distance refinement MV to get the sub-pixel accurate refinement delta MV.

### 2.28.2. Bilinear-interpolation and sample padding

In VVC, the resolution of the MVs is 1/16 luma samples. The samples at the fractional position are interpolated using an 8-tap interpolation filter. In DMVR, the search points are surrounding the initial fractional-pel MV with integer sample offset, therefore the samples of those fractional position need to be interpolated for DMVR search process. To reduce the calculation complexity, the bi-linear interpolation filter is used to generate the fractional samples for the searching process in DMVR. Another important effect is that by using bi-linear filter is that with 2-sample search range, the DVMR does not access more reference samples compared to the normal motion compensation process. After the refined MV is attained with DMVR search process, the normal 8-tap interpolation filter is applied to generate the final prediction. In order

to not access more reference samples to normal MC process, the samples, which is not needed for the interpolation process based on the original MV but is needed for the interpolation process based on the refined MV, will be padded from those available samples.

### 2.28.3. Maximum DMVR processing unit

When the width and/or height of a CU are larger than 16 luma samples, it will be further split into subblocks with width and/or height equal to 16 luma samples. The maximum unit size for DMVR searching process is limit to 16x16.

## 2.29. Multi-pass decoder-side motion vector refinement

In this contribution, a multi-pass decoder-side motion vector refinement is applied instead of DMVR. In the first pass, bilateral matching (BM) is applied to a coding block. In the second pass, BM is applied to each 16x16 subblock within the coding block. In the third pass, MV in each 8x8 subblock is refined by applying bi-directional optical flow (BDOF). The refined MVs are stored for both spatial and temporal motion vector prediction.

### 2.29.1. First pass -- Block based bilateral matching MV refinement

In the first pass, a refined MV is derived by applying BM to a coding block. Similar to decoder-side motion vector refinement (DMVR), the refined MV is searched around the two initial MVs (MV0 and MV1) in the reference picture lists L0 and L1. The refined MVs (MV0\_pass1 and MV1\_pass1) are derived around the initiate MVs based on the minimum bilateral matching cost between the two reference blocks in L0 and L1.

BM performs local search to derive integer sample precision  $\text{intDeltaMV}$  and half-pel sample precision  $\text{halfDeltaMv}$ . The local search applies a 3x3 square search pattern to loop through the search range  $[-sHor, sHor]$  in a horizontal direction and  $[-sVer, sVer]$  in a vertical direction, wherein, the values of  $sHor$  and  $sVer$  are determined by the block dimension, and the maximum value of  $sHor$  and  $sVer$  is 8.

The bilateral matching cost is calculated as:  $\text{bilCost} = \text{mvDistanceCost} + \text{sadCost}$ . When the block size  $\text{cbW} * \text{cbH}$  is greater than 64, MRSAD cost function is applied to remove the DC effect of the distortion between the reference blocks. When the  $\text{bilCost}$  at the center point of the 3x3 search pattern has the minimum cost, the  $\text{intDeltaMV}$  or  $\text{halfDeltaMV}$  local search is terminated. Otherwise, the current minimum cost search point becomes the new center point of the 3x3 search pattern and the search for the minimum cost continues, until it reaches the end of the search range.

The existing fractional sample refinement is further applied to derive the final deltaMV. The refined MVs after the first pass are then derived as:

- $MV0\_pass1 = MV0 + \text{deltaMV}$ ;
- $MV1\_pass1 = MV1 - \text{deltaMV}$ .

#### 2.29.2. Second pass – Subblock based bilateral matching MV refinement

In the second pass, a refined MV is derived by applying BM to a  $16 \times 16$  grid subblock. For each subblock, the refined MV is searched around the two MVs ( $MV0\_pass1$  and  $MV1\_pass1$ ), obtained on the first pass for the reference picture list L0 and L1. The refined MVs ( $MV0\_pass2(\text{sbIdx}2)$  and  $MV1\_pass2(\text{sbIdx}2)$ ) are derived based on the minimum bilateral matching cost between the two reference subblocks in L0 and L1.

For each subblock, BM performs full search to derive integer sample precision  $\text{intDeltaMV}$ . The full search has a search range  $[-sHor, sHor]$  in a horizontal direction and  $[-sVer, sVer]$  in a vertical direction, wherein, the values of  $sHor$  and  $sVer$  are determined by the block dimension, and the maximum value of  $sHor$  and  $sVer$  is 8.

The bilateral matching cost is calculated by applying a cost factor to the SATD cost between the two reference subblocks, as:  $\text{bilCost} = \text{satdCost} * \text{costFactor}$ . The search area  $(2*sHor + 1) * (2*sVer + 1)$  is divided up to 5 diamond shape search regions shown on Fig. 38. Each search region is assigned a  $\text{costFactor}$ , which is determined by the distance ( $\text{intDeltaMV}$ ) between each search point and the starting MV, and each diamond region is processed in the order starting from the center of the search area. In each region, the search points are processed in the raster scan order starting from the top left going to the bottom right corner of the region. When the minimum  $\text{bilCost}$  within the current search region is less than a threshold equal to  $\text{sbW} * \text{sbH}$ , the int-pel full search is terminated, otherwise, the int-pel full search continues to the next search region until all search points are examined.

BM performs local search to derive half sample precision  $\text{halfDeltaMv}$ . The search pattern and cost function are the same as defined in 2.9.1.

The existing VVC DMVR fractional sample refinement is further applied to derive the final  $\text{deltaMV}(\text{sbIdx}2)$ . The refined MVs at second pass is then derived as:

- $MV0\_pass2(\text{sbIdx}2) = MV0\_pass1 + \text{deltaMV}(\text{sbIdx}2)$ ;
- $MV1\_pass2(\text{sbIdx}2) = MV1\_pass1 - \text{deltaMV}(\text{sbIdx}2)$ .

### 2.29.3. Third pass – Subblock based bi-directional optical flow MV refinement

In the third pass, a refined MV is derived by applying BDOF to an  $8 \times 8$  grid subblock. For each  $8 \times 8$  subblock, BDOF refinement is applied to derive scaled  $V_x$  and  $V_y$  without clipping starting from the refined MV of the parent subblock of the second pass. The derived  $\text{bioMv}(V_x, V_y)$  is rounded to 1/16 sample precision and clipped between -32 and 32.

The refined MVs ( $\text{MV0\_pass3}(\text{sbIdx3})$  and  $\text{MV1\_pass3}(\text{sbIdx3})$ ) at third pass are derived as:

- $\text{MV0\_pass3}(\text{sbIdx3}) = \text{MV0\_pass2}(\text{sbIdx2}) + \text{bioMv}$ ;
- $\text{MV1\_pass3}(\text{sbIdx3}) = \text{MV0\_pass2}(\text{sbIdx2}) - \text{bioMv}$ .

### 2.30. Sample-based BDOF

In the sample-based BDOF, instead of deriving motion refinement ( $V_x, V_y$ ) on a block basis, it is performed per sample.

The coding block is divided into  $8 \times 8$  subblocks. For each subblock, whether to apply BDOF or not is determined by checking the SAD between the two reference subblocks against a threshold. If decided to apply BDOF to a subblock, for every sample in the subblock, a sliding  $5 \times 5$  window is used and the existing BDOF process is applied for every sliding window to derive  $V_x$  and  $V_y$ . The derived motion refinement ( $V_x, V_y$ ) is applied to adjust the bi-predicted sample value for the center sample of the window.

### 2.31. Extended merge prediction

In VVC, the merge candidate list is constructed by including the following five types of candidates in order:

- (1) Spatial MVP from spatial neighbour CUs;
- (2) Temporal MVP from collocated CUs;
- (3) History-based MVP from a FIFO table;
- (4) Pairwise average MVP;
- (5) Zero MVs.

The size of merge list is signalled in sequence parameter set header and the maximum allowed size of merge list is 6. For each CU code in merge mode, an index of best merge candidate is encoded using truncated unary binarization (TU). The first bin of the merge index is coded with context and bypass coding is used for other bins.

The derivation process of each category of merge candidates is provided in this session. As done in HEVC, VVC also supports parallel derivation of the merging candidate lists for all CUs

within a certain size of area.

### 2.31.1. Spatial candidates derivation

The derivation of spatial merge candidates in VVC is same to that in HEVC except the positions of first two merge candidates are swapped. A maximum of four merge candidates are selected among candidates located in the positions depicted Fig. 39. The order of derivation is B0, A0, B1, A1 and B2. Position B2 is considered only when one or more than one CUs of position B0, A0, B1, A1 are not available (e.g. because it belongs to another slice or tile) or is intra coded. After candidate at position A1 is added, the addition of the remaining candidates is subject to a redundancy check which ensures that candidates with same motion information are excluded from the list so that coding efficiency is improved. To reduce computational complexity, not all possible candidate pairs are considered in the mentioned redundancy check. Instead only the pairs linked with an arrow in Fig. 40 are considered and a candidate is only added to the list if the corresponding candidate used for redundancy check has not the same motion information.

### 2.31.2. Temporal candidates derivation

In this step, only one candidate is added to the list. Particularly, in the derivation of this temporal merge candidate, a scaled motion vector is derived based on co-located CU belonging to the collocated reference picture. The reference picture list to be used for derivation of the co-located CU is explicitly signalled in the slice header. The scaled motion vector for temporal merge candidate is obtained as illustrated by the dotted line in Fig. 41, which is scaled from the motion vector of the co-located CU using the POC distances,  $t_b$  and  $t_d$ , where  $t_b$  is defined to be the POC difference between the reference picture of the current picture and the current picture and  $t_d$  is defined to be the POC difference between the reference picture of the co-located picture and the co-located picture. The reference picture index of temporal merge candidate is set equal to zero.

The position for the temporal candidate is selected between candidates C0 and C1, as depicted in Fig. 42. If CU at position C0 is not available, is intra coded, or is outside of the current row of CTUs, position C1 is used. Otherwise, position C0 is used in the derivation of the temporal merge candidate.

### 2.31.3. History-based merge candidates derivation

The history-based MVP (HMVP) merge candidates are added to merge list after the spatial MVP and TMVP. In this method, the motion information of a previously coded block is stored

in a table and used as MVP for the current CU. The table with multiple HMVP candidates is maintained during the encoding/decoding process. The table is reset (emptied) when a new CTU row is encountered. Whenever there is a non-subblock inter-coded CU, the associated motion information is added to the last entry of the table as a new HMVP candidate.

The HMVP table size  $S$  is set to be 6, which indicates up to 6 History-based MVP (HMVP) candidates may be added to the table. When inserting a new motion candidate to the table, a constrained first-in-first-out (FIFO) rule is utilized wherein redundancy check is firstly applied to find whether there is an identical HMVP in the table. If found, the identical HMVP is removed from the table and all the HMVP candidates afterwards are moved forward.

HMVP candidates could be used in the merge candidate list construction process. The latest several HMVP candidates in the table are checked in order and inserted to the candidate list after the TMVP candidate. Redundancy check is applied on the HMVP candidates to the spatial or temporal merge candidate.

To reduce the number of redundancy check operations, the following simplifications are introduced:

Number of HMPV candidates is used for merge list generation is set as  $(N \leq 4) ? M : (8 - N)$ , wherein  $N$  indicates number of existing candidates in the merge list and  $M$  indicates number of available HMVP candidates in the table.

Once the total number of available merge candidates reaches the maximally allowed merge candidates minus 1, the merge candidate list construction process from HMVP is terminated.

#### **2.31.4. Pair-wise average merge candidates derivation**

Pairwise average candidates are generated by averaging predefined pairs of candidates in the existing merge candidate list, and the predefined pairs are defined as  $\{(0, 1), (0, 2), (1, 2), (0, 3), (1, 3), (2, 3)\}$ , where the numbers denote the merge indices to the merge candidate list. The averaged motion vectors are calculated separately for each reference list. If both motion vectors are available in one list, these two motion vectors are averaged even when they point to different reference pictures; if only one motion vector is available, use the one directly; if no motion vector is available, keep this list invalid.

When the merge list is not full after pair-wise average merge candidates are added, the zero MVPs are inserted in the end until the maximum merge candidate number is encountered.

#### **2.31.5. Merge estimation region**

Merge estimation region (MER) allows independent derivation of merge candidate list for the

CUs in the same merge estimation region (MER). A candidate block that is within the same MER to the current CU is not included for the generation of the merge candidate list of the current CU. In addition, the updating process for the history-based motion vector predictor candidate list is updated only if  $(x_{Cb} + cbWidth) \gg \text{Log2ParMrgLevel}$  is greater than  $x_{Cb} \gg \text{Log2ParMrgLevel}$  and  $(y_{Cb} + cbHeight) \gg \text{Log2ParMrgLevel}$  is greater than  $(y_{Cb} \gg \text{Log2ParMrgLevel})$  and where  $(x_{Cb}, y_{Cb})$  is the top-left luma sample position of the current CU in the picture and  $(cbWidth, cbHeight)$  is the CU size. The MER size is selected at encoder side and signalled as `log2_parallel_merge_level_minus2` in the sequence parameter set.

## 2.32. New merge candidates

### 2.32.1. Non-adjacent merge candidates derivation

In VVC, five spatially neighboring blocks shown in Fig. 43 as well as one temporal neighbor are used to derive merge candidates.

It is proposed to derive the additional merge candidates from the positions non-adjacent to the current block using the same pattern as that in VVC. To achieve this, for each search round  $i$ , a virtual block is generated based on the current block as follows:

First, the relative position of the virtual block to the current block is calculated by:

$$\text{Offsetx} = -i \times \text{gridX}, \text{Offsety} = -i \times \text{gridY},$$

where the `Offsetx` and `Offsety` denote the offset of the top-left corner of the virtual block relative to the top-left corner of the current block, `gridX` and `gridY` are the width and height of the search grid.

Second, the width and height of the virtual block are calculated by:

$$\text{newWidth} = i \times 2 \times \text{gridX} + \text{currWidth} \quad \text{newHeight} = i \times 2 \times \text{gridY} + \text{currHeight}.$$

where the `currWidth` and `currHeight` are the width and height of current block. The `newWidth` and `newHeight` are the width and height of new virtual block.

`gridX` and `gridY` are currently set to `currWidth` and `currHeight`, respectively.

Fig. 44 is illustration of virtual block in the  $i$ -th search round.

After generating the virtual block, the blocks  $A_i$ ,  $B_i$ ,  $C_i$ ,  $D_i$  and  $E_i$  can be regarded as the VVC spatial neighboring blocks of the virtual block and their positions are obtained with the same pattern as that in VVC. Obviously, the virtual block is the current block if the search round  $i$  is 0. In this case, the blocks  $A_i$ ,  $B_i$ ,  $C_i$ ,  $D_i$  and  $E_i$  are the spatially neighboring blocks that are used in VVC merge mode.

When constructing the merge candidate list, the pruning is performed to guarantee each element in merge candidate list to be unique. The maximum search round is set to 1, which means that five non-adjacent spatial neighbor blocks are utilized.

Non-adjacent spatial merge candidates are inserted into the merge list after the temporal merge candidate in the order of  $B_1 \rightarrow A_1 \rightarrow C_1 \rightarrow D_1 \rightarrow E_1$ .

### 2.32.2. STMVP

It is proposed to derive an averaging candidate as STMVP candidate using three spatial merge candidates and one temporal merge candidate.

STMVP is inserted before the above-left spatial merge candidate.

The STMVP candidate is pruned with all the previous merge candidates in the merge list.

For the spatial candidates, the first three candidates in the current merge candidate list are used.

For the temporal candidate, the same position as VTM / HEVC collocated position is used.

For the spatial candidates, the first, second, and third candidates inserted in the current merge candidate list before STMVP are denoted as F, S, and T.

The temporal candidate with the same position as VTM / HEVC collocated position used in TMVP is denoted as Col.

The motion vector of the STMVP candidate in prediction direction X (denoted as mvLX) is derived as follows:

- 1) If the reference indices of the four merge candidates are all valid and are all equal to zero in prediction direction X ( $X = 0$  or  $1$ ),

$$mvLX = (mvLX\_F + mvLX\_S + mvLX\_T + mvLX\_Col) \gg 2$$

- 2) If reference indices of three of the four merge candidates are valid and are equal to zero in prediction direction X ( $X = 0$  or  $1$ ),

$$mvLX = (mvLX\_F \times 3 + mvLX\_S \times 3 + mvLX\_Col \times 2) \gg 3, \text{ or}$$

$$mvLX = (mvLX\_F \times 3 + mvLX\_T \times 3 + mvLX\_Col \times 2) \gg 3, \text{ or}$$

$$mvLX = (mvLX\_S \times 3 + mvLX\_T \times 3 + mvLX\_Col \times 2) \gg 3.$$

- 3) If reference indices of two of the four merge candidates are valid and are equal to zero in prediction direction X ( $X = 0$  or  $1$ ),

$mvLX = (mvLX\_F + mvLX\_Col) \gg 1$ , or  
 $mvLX = (mvLX\_S + mvLX\_Col) \gg 1$ , or  
 $mvLX = (mvLX\_T + mvLX\_Col) \gg 1$ .

Note: If the temporal candidate is unavailable, the STMVP mode is off.

### 2.32.3. Merge list size

If considering both non-adjacent and STMVP merge candidates, the size of merge list is signalled in sequence parameter set header and the maximum allowed size of merge list is 8.

### 2.33. Geometric partitioning mode (GPM)

In VVC, a geometric partitioning mode is supported for inter prediction. The geometric partitioning mode is signalled using a CU-level flag as one kind of merge mode, with other merge modes including the regular merge mode, the MMVD mode, the CIIP mode and the subblock merge mode. In total 64 partitions are supported by geometric partitioning mode for each possible CU size  $w \times h = 2^m \times 2^n$  with  $m, n \in \{3 \dots 6\}$  excluding  $8 \times 64$  and  $64 \times 8$ .

When this mode is used, a CU is split into two parts by a geometrically located straight line (Fig. 45). The location of the splitting line is mathematically derived from the angle and offset parameters of a specific partition. Each part of a geometric partition in the CU is inter-predicted using its own motion; only uni-prediction is allowed for each partition, that is, each part has one motion vector and one reference index. The uni-prediction motion constraint is applied to ensure that same as the conventional bi-prediction, only two motion compensated prediction are needed for each CU. The uni-prediction motion for each partition is derived using the process described in 2.19.1.

If geometric partitioning mode is used for the current CU, then a geometric partition index indicating the partition mode of the geometric partition (angle and offset), and two merge indices (one for each partition) are further signalled. The number of maximum GPM candidate size is signalled explicitly in SPS and specifies syntax binarization for GPM merge indices. After predicting each of part of the geometric partition, the sample values along the geometric partition edge are adjusted using a blending processing with adaptive weights as in 2.19.2. This is the prediction signal for the whole CU, and transform and quantization process will be applied to the whole CU as in other prediction modes. Finally, the motion field of a CU predicted using the geometric partition modes is stored as in 2.19.3.

### 2.33.1. Uni-prediction candidate list construction

The uni-prediction candidate list is derived directly from the merge candidate list constructed according to the extended merge prediction process in 2.17. Denote  $n$  as the index of the uni-prediction motion in the geometric uni-prediction candidate list. The LX motion vector of the  $n$ -th extended merge candidate, with  $X$  equal to the parity of  $n$ , is used as the  $n$ -th uni-prediction motion vector for geometric partitioning mode. These motion vectors are marked with “x” in Fig. 46. In case a corresponding LX motion vector of the  $n$ -th extended merge candidate does not exist, the  $L(1 - X)$  motion vector of the same candidate is used instead as the uni-prediction motion vector for geometric partitioning mode.

### 2.33.2. Blending along the geometric partitioning edge

After predicting each part of a geometric partition using its own motion, blending is applied to the two prediction signals to derive samples around geometric partition edge. The blending weight for each position of the CU are derived based on the distance between individual position and the partition edge.

The distance for a position  $(x, y)$  to the partition edge are derived as:

$$d(x, y) = (2x + 1 - w) \cos(\varphi_i) + (2y + 1 - h) \sin(\varphi_i) - \rho_j \quad (2-24)$$

$$\rho_j = \rho_{x,j} \cos(\varphi_i) + \rho_{y,j} \sin(\varphi_i) \quad (2-25)$$

$$\rho_{x,j} = \begin{cases} 0 & i \% 16 = 8 \text{ or } (i \% 16 \neq 0 \text{ and } h \geq w) \\ \pm(j \times w) \gg 2 & \text{otherwise} \end{cases} \quad (2-26)$$

$$\rho_{y,j} = \begin{cases} \pm(j \times h) \gg 2 & i \% 16 = 8 \text{ or } (i \% 16 \neq 0 \text{ and } h \geq w) \\ 0 & \text{otherwise} \end{cases} \quad (2-27)$$

where  $i, j$  are the indices for angle and offset of a geometric partition, which depend on the signaled geometric partition index. The sign of  $\rho_{x,j}$  and  $\rho_{y,j}$  depend on angle index  $i$ .

The weights for each part of a geometric partition are derived as following:

$$wIdxL(x, y) = partIdx ? 32 + d(x, y) : 32 - d(x, y) \quad (2-28)$$

$$w_0(x, y) = \frac{clip3(0, 8, (wIdxL(x, y) + 4) \gg 3)}{8} \quad (2-29)$$

$$w_1(x, y) = 1 - w_0(x, y) \quad (2-30)$$

The  $partIdx$  depends on the angle index  $i$ . One example of weigh  $w_0$  is illustrated in Fig. 47.

### 2.33.3. Motion field storage for geometric partitioning mode

Mv1 from the first part of the geometric partition, Mv2 from the second part of the geometric partition and a combined Mv of Mv1 and Mv2 are stored in the motion field of a geometric partitioning mode coded CU.

The stored motion vector type for each individual position in the motion field are determined as:

$$sType = abs(motionIdx) < 32 ? 2 : (motionIdx \le 0 ? (1 - partIdx) : partIdx) \tag{2-31}$$

where motionIdx is equal to  $d(4x + 2, 4y + 2)$ , which is recalculated from equation (2-18).

The partIdx depends on the angle index  $i$ .

If sType is equal to 0 or 1, Mv0 or Mv1 are stored in the corresponding motion field, otherwise if sType is equal to 2, a combined Mv from Mv0 and Mv2 are stored. The combined Mv are generated using the following process:

- 1) If Mv1 and Mv2 are from different reference picture lists (one from L0 and the other from L1), then Mv1 and Mv2 are simply combined to form the bi-prediction motion vectors.

Otherwise, if Mv1 and Mv2 are from the same list, only uni-prediction motion Mv2 is stored.

**2.34. Multi-hypothesis prediction**

In multi-hypothesis prediction (MHP), up to two additional predictors are signalled on top of inter AMVP mode, regular merge mode, affine merge and MMVD mode. The resulting overall prediction signal is accumulated iteratively with each additional prediction signal.

$$p_{n+1} = (1 - \alpha_{n+1})p_n + \alpha_{n+1}h_{n+1}$$

The weighting factor  $\alpha$  is specified according to the following Table 2-8.

Table 2-8 -- weighting factor for MHP

add_hyp_weight_idx	$\alpha$
0	1/4
1	-1/8

For inter AMVP mode, MHP is only applied if non-equal weight in BCW is selected in bi-prediction mode.

The additional hypothesis can be either merge or AMVP mode. In the case of merge mode, the motion information is indicated by a merge index, and the merge candidate list is the same as in the Geometric Partition Mode. In the case of AMVP mode, the reference index, MVP index, and MVD are signaled.

**2.35. Non-adjacent spatial candidate**

The non-adjacent spatial merge candidates are inserted after the TMVP in the regular merge candidate list. The pattern of the spatial merge candidates is shown on Fig. 48. The distances between the non-adjacent spatial candidates and the current coding block are based on the width and height of the current coding block.

**2.36. Template matching (TM)**

Template matching (TM) is a decoder-side MV derivation method to refine the motion information of the current CU by finding the closest match between a template (i.e., top and/or left neighbouring blocks of the current CU) in the current picture and a block (i.e., same size to the template) in a reference picture. As illustrated in Fig. 49, a better MV is to be searched around the initial motion of the current CU within a  $[-8, +8]$ -pel search range. The template matching that was previously proposed is adopted in this contribution with two modifications: search step size is determined based on AMVR mode and TM can be cascaded with bilateral matching process in merge modes.

In AMVP mode, an MVP candidate is determined based on template matching error to pick up the one which reaches the minimum difference between current block template and reference block template, and then TM performs only for this particular MVP candidate for MV refinement. TM refines this MVP candidate, starting from full-pel MVD precision (or 4-pel for 4-pel AMVR mode) within a  $[-8, +8]$ -pel search range by using iterative diamond search. The AMVP candidate may be further refined by using cross search with full-pel MVD precision (or 4-pel for 4-pel AMVR mode), followed sequentially by half-pel and quarter-pel ones depending on AMVR mode as specified in Table 2-9. This search process ensures that the MVP candidate still keeps the same MV precision as indicated by AMVR mode after TM process.

Table 2-9 – Search patterns of AMVR and merge mode with AMVR.

Search pattern	AMVR mode				Merge mode	
	4-pel	Full-pel	Half-pel	Quarter-pel	AltIF=0	AltIF=1
4-pel diamond	v					
4-pel cross	v					
Full-pel diamond		v	v	v	v	v
Full-pel cross		v	v	v	v	v
Half-pel cross			v	v	v	v

Quarter-pel cross				v	v	
1/8-pel cross					v	

In merge mode, similar search method is applied to the merge candidate indicated by the merge index. As Table 2-9 shows, TM may perform all the way down to 1/8-pel MVD precision or skipping those beyond half-pel MVD precision, depending on whether the alternative interpolation filter (that is used when AMVR is of half-pel mode) is used according to merged motion information. Besides, when TM mode is enabled, template matching may work as an independent process or an extra MV refinement process between block-based and subblock-based bilateral matching (BM) methods, depending on whether BM can be enabled or not according to its enabling condition check.

**2.37. Overlapped block motion compensation (OBMC)**

Overlapped Block Motion Compensation (OBMC) has previously been used in H.263. In the JEM, unlike in H.263, OBMC can be switched on and off using syntax at the CU level. When OBMC is used in the JEM, the OBMC is performed for all motion compensation (MC) block boundaries except the right and bottom boundaries of a CU. Moreover, it is applied for both the luma and chroma components. In the JEM, a MC block is corresponding to a coding block. When a CU is coded with sub-CU mode (includes sub-CU merge, affine and FRUC mode), each sub-block of the CU is a MC block. To process CU boundaries in a uniform fashion, OBMC is performed at sub-block level for all MC block boundaries, where sub-block size is set equal to 4×4, as illustrated in Fig. 50.

When OBMC applies to the current sub-block, besides current motion vectors, motion vectors of four connected neighbouring sub-blocks, if available and are not identical to the current motion vector, are also used to derive prediction block for the current sub-block. These multiple prediction blocks based on multiple motion vectors are combined to generate the final prediction signal of the current sub-block.

Prediction block based on motion vectors of a neighbouring sub-block is denoted as  $P_N$ , with  $N$  indicating an index for the neighbouring *above*, *below*, *left* and *right* sub-blocks and prediction block based on motion vectors of the current sub-block is denoted as  $P_C$ . When  $P_N$  is based on the motion information of a neighbouring sub-block that contains the same motion information to the current sub-block, the OBMC is not performed from  $P_N$ . Otherwise, every sample of  $P_N$  is added to the same sample in  $P_C$ , i.e., four rows/columns of  $P_N$  are added to  $P_C$ . The weighting

factors  $\{1/4, 1/8, 1/16, 1/32\}$  are used for  $P_N$  and the weighting factors  $\{3/4, 7/8, 15/16, 31/32\}$  are used for  $P_C$ . The exception are small MC blocks, (i.e., when height or width of the coding block is equal to 4 or a CU is coded with sub-CU mode), for which only two rows/columns of  $P_N$  are added to  $P_C$ . In this case weighting factors  $\{1/4, 1/8\}$  are used for  $P_N$  and weighting factors  $\{3/4, 7/8\}$  are used for  $P_C$ . For  $P_N$  generated based on motion vectors of vertically (horizontally) neighbouring sub-block, samples in the same row (column) of  $P_N$  are added to  $P_C$  with a same weighting factor.

In the JEM, for a CU with size less than or equal to 256 luma samples, a CU level flag is signalled to indicate whether OBMC is applied or not for the current CU. For the CUs with size larger than 256 luma samples or not coded with AMVP mode, OBMC is applied by default. At the encoder, when OBMC is applied for a CU, its impact is taken into account during the motion estimation stage. The prediction signal formed by OBMC using motion information of the top neighbouring block and the left neighbouring block is used to compensate the top and left boundaries of the original signal of the current CU, and then the normal motion estimation process is applied.

### 2.38. Multiple transform selection (MTS) for core transform

In addition to DCT-II which has been employed in HEVC, a Multiple Transform Selection (MTS) scheme is used for residual coding both inter and intra coded blocks. It uses multiple selected transforms from the DCT8/DST7. The newly introduced transform matrices are DST-VII and DCT-VIII. Table 2-10 shows the basis functions of the selected DST/DCT.

Table 2-10 – Transform basis functions of DCT-II/ VIII and DSTVII for N-point input

Transform Type	Basis function $T_i(j)$ , $i, j = 0, 1, \dots, N-1$
DCT-II	$T_i(j) = \omega_0 \cdot \sqrt{\frac{2}{N}} \cdot \cos\left(\frac{\pi \cdot i \cdot (2j + 1)}{2N}\right)$ <p>where, <math>\omega_0 = \begin{cases} \sqrt{\frac{2}{N}} &amp; i = 0 \\ 1 &amp; i \neq 0 \end{cases}</math></p>
DCT-VIII	$T_i(j) = \sqrt{\frac{4}{2N + 1}} \cdot \cos\left(\frac{\pi \cdot (2i + 1) \cdot (2j + 1)}{4N + 2}\right)$
DST-VII	$T_i(j) = \sqrt{\frac{4}{2N + 1}} \cdot \sin\left(\frac{\pi \cdot (2i + 1) \cdot (j + 1)}{2N + 1}\right)$

In order to keep the orthogonality of the transform matrix, the transform matrices are quantized more accurately than the transform matrices in HEVC. To keep the intermediate values of the transformed coefficients within the 16-bit range, after horizontal and after vertical transform, all the coefficients are to have 10-bit.

In order to control MTS scheme, separate enabling flags are specified at SPS level for intra and inter, respectively. When MTS is enabled at SPS, a CU level flag is signalled to indicate whether MTS is applied or not. Here, MTS is applied only for luma. The MTS signaling is skipped when one of the below conditions is applied.

- The position of the last significant coefficient for the luma TB is less than 1 (i.e., DC only).
- The last significant coefficient of the luma TB is located inside the MTS zero-out region.

If MTS CU flag is equal to zero, then DCT2 is applied in both directions. However, if MTS CU flag is equal to one, then two other flags are additionally signalled to indicate the transform type for the horizontal and vertical directions, respectively. Transform and signalling mapping table as shown in Table 2-11. Unified the transform selection for ISP and implicit MTS is used by removing the intra-mode and block-shape dependencies. If current block is ISP mode or if the current block is intra block and both intra and inter explicit MTS is on, then only DST7 is used for both horizontal and vertical transform cores. When it comes to transform matrix precision, 8-bit primary transform cores are used. Therefore, all the transform cores used in HEVC are kept as the same, including 4-point DCT-2 and DST-7, 8-point, 16-point and 32-point DCT-2.

Also, other transform cores including 64-point DCT-2, 4-point DCT-8, 8-point, 16-point, 32-point DST-7 and DCT-8, use 8-bit primary transform cores.

Table 2-11 – Transform and signalling mapping table

MTS_CU fla g	MTS_Hor fla g	MTS_Ver fla g	Intra/inter	
			Horizonta l	Vertical
0			DCT2	
1	0	0	DST7	DST7
	0	1	DCT8	DST7
	1	0	DST7	DCT8
	1	1	DCT8	DCT8

To reduce the complexity of large size DST-7 and DCT-8, High frequency transform coefficients are zeroed out for the DST-7 and DCT-8 blocks with size (width or height, or both width and height) equal to 32. Only the coefficients within the 16x16 lower-frequency region are retained.

As in HEVC, the residual of a block can be coded with transform skip mode. To avoid the redundancy of syntax coding, the transform skip flag is not signalled when the CU level MTS\_CU\_flag is not equal to zero. Note that implicit MTS transform is set to DCT2 when LFNST or MIP is activated for the current CU. Also the implicit MTS can be still enabled when MTS is enabled for inter coded blocks.

**2.39. Subblock transform (SBT)**

In VTM, subblock transform is introduced for an inter-predicted CU. In this transform mode, only a sub-part of the residual block is coded for the CU. When inter-predicted CU with cu\_cbf equal to 1, cu\_sbt\_flag may be signaled to indicate whether the whole residual block or a sub-part of the residual block is coded. In the former case, inter MTS information is further parsed to determine the transform type of the CU. In the latter case, a part of the residual block is coded with inferred adaptive transform and the other part of the residual block is zeroed out.

When SBT is used for an inter-coded CU, SBT type and SBT position information are signaled

in the bitstream. There are two SBT types and two SBT positions, as indicated in Fig. 51. For SBT-V (or SBT-H), the TU width (or height) may equal to half of the CU width (or height) or 1/4 of the CU width (or height), resulting in 2:2 split or 1:3/3:1 split. The 2:2 split is like a binary tree (BT) split while the 1:3/3:1 split is like an asymmetric binary tree (ABT) split. In ABT splitting, only the small region contains the non-zero residual. If one dimension of a CU is 8 in luma samples, the 1:3/3:1 split along that dimension is disallowed. There are at most 8 SBT modes for a CU.

Position-dependent transform core selection is applied on luma transform blocks in SBT-V and SBT-H (chroma TB always using DCT-2). The two positions of SBT-H and SBT-V are associated with different core transforms. More specifically, the horizontal and vertical transforms for each SBT position is specified in Fig. 51. For example, the horizontal and vertical transforms for SBT-V position 0 is DCT-8 and DST-7, respectively. When one side of the residual TU is greater than 32, the transform for both dimensions is set as DCT-2. Therefore, the subblock transform jointly specifies the TU tiling, cbf, and horizontal and vertical core transform type of a residual block.

The SBT is not applied to the CU coded with combined inter-intra mode.

#### 2.40. Template matching based adaptive merge candidate reorder

To improve the coding efficiency, after the merge candidate list is constructed, the order of each merge candidate is adjusted according to the template matching cost. The merge candidates are arranged in the list in accordance with the template matching cost of ascending order. It is operated in the form of sub-group.

The template matching cost is measured by the SAD (Sum of absolute differences) between the neighbouring samples of the current CU and their corresponding reference samples. If a merge candidate includes bi-predictive motion information, the corresponding reference samples are the average of the corresponding reference samples in reference list0 and the corresponding reference samples in reference list1, as illustrated in Fig. 52. If a merge candidate includes sub-CU level motion information, the corresponding reference samples consist of the neighbouring samples of the corresponding reference sub-blocks, as illustrated in Fig. 53.

The sorting process is operated in the form of sub-group, as illustrated in Fig. 54. The first three merge candidates are sorted together. The following three merge candidates are sorted together. The template size (width of the left template or height of the above template) is 1. The sub-group size is 3.

#### 2.41. Adaptive Merge Candidate List

It is assumed that the number of the merge candidates is 8. The first 5 merge candidates are taken as a first subgroup and the following 3 merge candidates are taken as a second subgroup (i.e., the last subgroup).

For the encoder, after the merge candidate list is constructed, some merge candidates are adaptively reordered in an ascending order of costs of merge candidates as shown in Fig. 55.

More specifically, the template matching costs for the merge candidates in all subgroups except the last subgroup are computed; then reorder the merge candidates in their own subgroups except the last subgroup; finally, the final merge candidate list will be got.

For the decoder, after the merge candidate list is constructed, some/no merge candidates are adaptively reordered in ascending order of costs of merge candidates as shown in Fig. 56. In Fig. 56, the subgroup the selected (signaled) merge candidate located in is called the selected subgroup.

More specifically, if the selected merge candidate is located in the last subgroup, the merge candidate list construction process is terminated after the selected merge candidate is derived, no reorder is performed and the merge candidate list is not changed; otherwise, the execution process is as follows:

The merge candidate list construction process is terminated after all the merge candidates in the selected subgroup are derived; compute the template matching costs for the merge candidates in the selected subgroup; reorder the merge candidates in the selected subgroup; finally, a new merge candidate list will be got.

For both encoder and decoder:

A template matching cost is derived as a function of T and RT, wherein T is a set of samples in the template and RT is a set of reference samples for the template.

When deriving the reference samples of the template for a merge candidate, the motion vectors of the merge candidate are rounded to the integer pixel accuracy.

The reference samples of the template (RT) for bi-directional prediction are derived by weighted averaging of the reference samples of the template in reference list0 ( $RT_0$ ) and the reference samples of the template in reference list1 ( $RT_1$ ) as follows.

$$RT = ((8 - w) * RT_0 + w * RT_1 + 4) \gg 3 \quad (2-32)$$

where the weight of the reference template in reference list0 ( $8-w$ ) and the weight of the reference template in reference list1 ( $w$ ) are decided by the BCW index of the merge candidate. BCW index equal to  $\{0,1,2,3,4\}$  corresponds to  $w$  equal to  $\{-2,3,4,5,10\}$ , respectively.

If the Local Illumination Compensation (LIC) flag of the merge candidate is true, the reference samples of the template are derived with LIC method.

The template matching cost is calculated based on the sum of absolute differences (SAD) of T and RT.

The template size is 1. That means the width of the left template and/or the height of the above template is 1.

If the coding mode is MMVD, the merge candidates to derive the base merge candidates are not reordered.

If the coding mode is GPM, the merge candidates to derive the uni-prediction candidate list are not reordered.

#### **2.42. Geometric prediction mode with Motion Vector Difference**

In Geometric prediction mode with Motion Vector Difference (GMVD), each geometric partition in GPM can decide to use GMVD or not. If GMVD is chosen for a geometric region, the MV of the region is calculated as a sum of the MV of a merge candidate and an MVD. All other processing is kept the same as in GPM.

With GMVD, an MVD is signaled as a pair of direction and distance. There are nine candidate distances ( $\frac{1}{4}$ -pel,  $\frac{1}{2}$ -pel, 1-pel, 2-pel, 3-pel, 4-pel, 6-pel, 8-pel, 16-pel), and eight candidate directions (four horizontal/vertical directions and four diagonal directions) involved. In addition, when `pic_fpel_mmvd_enabled_flag` is equal to 1, the MVD in GMVD is also left shifted by 2 as in MMVD.

#### **2.43. Affine MMVD**

In affine MMVD, an affine merge candidate (which is called, base affine merge candidate) is selected, the MVs of the control points are further refined by the signalled MVD information. The MVD information for the MVs of all the control points are the same in one prediction direction.

When the starting MVs is bi-prediction MVs with the two MVs point to the different sides of the current picture (i.e. the POC of one reference is larger than the POC of the current picture, and the POC of the other reference is smaller than the POC of the current picture), the MV offset added to the list0 MV component of starting MV and the MV offset for the list1 MV has opposite value; otherwise, when the starting MVs is bi-prediction MVs with both lists point to the same side of the current picture (i.e. POCs of two references are both larger than the POC of the current picture, or are both smaller than the POC of the current picture), the MV offset

added to the list0 MV component of starting MV and the MV offset for the list1 MV are the same.

#### **2.44. Adaptive decoder side motion vector refinement (ADMVR)**

In ECM-2.0, a multi-pass decoder-side motion vector refinement (DMVR) method is applied in regular merge mode if the selected merge candidate meets the DMVR conditions. In the first pass, bilateral matching (BM) is applied to the coding block. In the second pass, BM is applied to each 16x16 subblock within the coding block. In the third pass, MV in each 8x8 subblock is refined by applying bi-directional optical flow (BDOF).

Adaptive decoder side motion vector refinement method consists of the two new merge modes introduced to refine MV only in one direction, either L0 or L1, of the bi prediction for the merge candidates that meet the DMVR conditions. The multi-pass DMVR process is applied for the selected merge candidate to refine the motion vectors, however either MVD0 or MVD1 is set to zero in the 1st pass (i.e., PU level) DMVR.

Like the regular merge mode, merge candidates for the proposed merge modes are derived from the spatial neighboring coded blocks, TMVPs, non-adjacent blocks, HMVPs, and pair-wise candidate. The difference is that only those meet DMVR conditions are added into the candidate list. The same merge candidate list (i.e., ADMVR merge list) is used by the two proposed merge modes and merge index is coded as in regular merge mode.

### **3. Problems**

1. In the current design of the codec, a frame is coded as its original relative locations and orientation. However, this way may limit the compression efficiency due to that this fixed coding sequence is not always beneficial for frames with different signal and texture distributions.
2. In the current design of CCLM, it assumes frames are coded with the fixed same coding order. However, the location relationship between chroma and luma components employed by CCLM filters have varied, when relocating a frame for a better coding efficiency.

### **4. Detailed Solutions**

The detailed solutions below should be considered as examples to explain general concepts. These solutions should not be interpreted in a narrow way. Furthermore, these solutions can be combined in any manner.

In this disclosure, the term ‘block’ may represent a coding block (CB), or a coding unit (CU), or a prediction block (PB), or a prediction unit (PU), or a transform block (TB), or a transform unit (TU), or a coding tree block (CTB), or a coding tree unit (CTU), or a rectangular region of samples/pixels.

In the following discussion,  $SatShift(x, n)$  is defined as:

$$SatShift(x, n) = \begin{cases} (x + offset0) \gg n & \text{if } x \geq 0 \\ -((-x + offset1) \gg n) & \text{if } x < 0 \end{cases}$$

$Shift(x, n)$  is defined as  $Shift(x, n) = (x + offset0) \gg n$ .

In one example,  $offset0$  and/or  $offset1$  are set to  $(1 \ll n) \gg 1$  or  $(1 \ll (n-1))$ . In another example,  $offset0$  and/or  $offset1$  are set to 0.

In another example,  $offset0 = offset1 = ((1 \ll n) \gg 1) - 1$  or  $((1 \ll (n-1))) - 1$ .

$Clip3(min, max, x)$  is defined as:

$$Clip3(Min, Max, x) = \begin{cases} Min & \text{if } x < Min \\ Max & \text{if } x > Max \\ x & \text{Otherwise} \end{cases}$$

In the following discussion, a ‘picture’, an ‘image’ and a ‘frame’ may have the same meaning.

#### Frame modification

1. It is proposed that a video unit with dimensions (width, height), such as a picture/slice/tile/block may be modified in a first way before it is encoded, and/or a decoded video unit may be modified in a second way before it is output or being used as a reference picture.
  - a. In one example, suppose  $P(x, y)$  represents a video unit,  $P'(x, y) = F(P(x, y))$  may be encoded, wherein  $F$  is any function that can be applied to modify a picture.
  - b. In one example, suppose  $P''(x, y)$  represents a decoded video unit,  $P''(x, y) = G(P'(x, y))$  may be output and/or stored and/or being used as a reference video unit, wherein  $G$  is any function that can be applied to modify a picture.
  - c. In one example,  $G$  should be an anti-operation of  $F$ , i.e.  $G(F(X)) = X$ , where  $X$  is a picture.
  - d. In one example,  $F$  and  $G$  may be flipping and/or rotation.
  - e. In one example, a video unit is flipped vertically. As shown in Fig. 57, the content is flipped vertically and then the flipped video unit is used in the codec coding pipeline.

The vertical flip is defined as:

$$F(P(x, y)) = P(x, \text{height} - y - 1).$$

- f. In one example, a decoded video unit is flipped vertically. As shown in Fig. 57, the content is flipped vertically and then the flipped decoded video unit is output/stored/used as a reference video unit.

The vertical flip is defined as:

$$G(P'(x, y)) = P'(x, \text{height} - y - 1).$$

- g. In one example, a video unit is flipped horizontally. As shown in Fig. 58, the content is flipped horizontally and then the flipped video unit is used in the codec coding pipeline. The horizontal flip is defined as:

$$F(P(x, y)) = P(\text{width} - x - 1, y).$$

- h. In one example, a decoded video unit is flipped horizontally. As shown in Fig. 58, the content is flipped horizontally and then the flipped decoded video unit is output/stored/used as a reference video unit.

The horizontal flip is defined as:

$$G(P'(x, y)) = P'(\text{width} - x - 1, y).$$

- i. In one example, a video unit is rotated with 180°. As shown in Fig. 59, the content is spun with 180° and then the spun video unit is used in the codec coding pipeline.

The 180° rotation is defined as:

$$F(P(x, y)) = P(\text{width} - x - 1, \text{height} - y - 1).$$

- j. In one example, a decoded video unit is rotated with 180°. As shown in Fig. 59, the content is spun with 180° and then the spun video unit is output/stored/used as a reference video unit.

The 180° rotation is defined as:

$$G(P'(x, y)) = P'(\text{width} - x - 1, \text{height} - y - 1).$$

- k. In one example, a video unit is rotated clockwise with 90°. As shown in Fig. 60, the content is spun with clockwise 90° and then the spun video unit is used in the codec coding pipeline.

The clockwise 90° rotation is defined as:

$$F(P(x, y)) = P(\text{height} - y - 1, x).$$

- l. In one example, a decoded video unit is rotated clockwise with 90°. As shown in Fig. 60, the content is spun with clockwise 90° and then the spun video unit is output/stored/used as a reference video unit. The clockwise 90° rotation is defined as:

$$G(P'(x, y)) = P'(\text{height} - y - 1, x).$$

- m. In one example, a video unit is rotated clockwise with 270°. As shown in Fig. 61, the content is spun with clockwise 270° and then the spun video unit is used in the codec coding pipeline.

The clockwise 270° rotation is defined as:

$$F(P(x, y)) = P(y, \text{width} - x - 1).$$

- n. In one example, a decoded video unit is rotated clockwise with 270°. As shown in Fig. 61, the content is spun with clockwise 270° and then the spun video unit is output/stored/used as a reference video unit.

The clockwise 270° rotation is defined as:

$$G(P'(x, y)) = P'(y, \text{width} - x - 1).$$

- o. If the modified video unit has a different dimension as the original video unit, the width and/or height before and/or after the modifications may be signaled, such as in SPS/PPS/picture header/slice header/CTU/CU/etc.

luma/chroma sample location adjustments.

2. If a modified picture is encoded, and/or a picture is decoded, which will be modified after decoding, luma/chroma sample corresponding relationship may be adjusted for a cross-component prediction coding tool such as CCLM, L-CCLM, T-CCLM, MM-CCLM, etc.
- a. In one example, whether to and/or how to make the adjustment may depend on the color format such as 4:4:4 or 4:2:2 or 4:2:0.
    - i. For example, no adjustment may be made for format 4:4:4.
    - ii. For example, different adjustment may be made for format 4:2:0 and 4:2:2.
  - b. In one example, the adjustment uses which type of filter depending on the block location and/or color format.
    - i. For example, 6-tap filters may be used in the blocks with color format 4:2:0, which are not located on the boundaries of CTU.
    - ii. For example, 3-tap filters may be used in the blocks with color format 4:2:0, which are located on the boundaries of CTU.
    - iii. For example, 3-tap filters may be used in the blocks with color format 4:2:2.

- c. In one example, as shown in Figs. 62A and 62B, the correspondence between luma and chroma samples is adjusted in horizontal flip. Specifically, as shown in Figs. 62A-62B, rectangular blocks represent luma samples while black points represent chroma samples. As shown in Fig. 62A, 6-tap filters with coefficients 1-2-1-1-2-1 are used on the luma pixels of areas bordered with a dashed rectangular. For example, No. 2, No. 3, No. 4, No.6, No.7, and No.8 luma samples are with coefficients 1, 2, 1, 1, 2 and 1, respectively. In the horizontally flipped reconstruction, as shown in Fig. 62B, the corresponding samples are adjusted with No.4, No. 3, No.2, No.8, No.7, and No.6 pixels using the 6-tap filter of 1-2-1-1-2-1 coefficients.
- d. In one example, the correspondence between luma and chroma samples is adjusted in 180° rotation. Specifically, as shown in Figs. 63A and 63B, rectangular blocks represent luma samples while black points represent chroma samples. As shown in Fig. 63A, 6-tap filters with coefficients 1-2-1-1-2-1 are used on the luma pixels of areas bordered with a dashed rectangular. For example, No.2, No.3, No.4, No. 6, No. 7, and No. 8 luma samples are with coefficients 1, 2, 1, 1, 2, and 1, respectively. In the 180° reconstruction, as shown in Fig. 63B, the corresponding samples are adjusted with No.8, No. 7, No.6, No.4, No.3, and No.2 pixels using the 6-tap filter of 1-2-1-1-2-1 coefficients.

#### Signaling and Rate-Distortion optimization (RDO)

3. RDO can be applied at picture level to determine the picture modification method.
  - a. In one example, all luma and chroma Rate-Distortion (RD) cost of every original and modified picture are calculated, and then the encoder may select modification or non-modification with least cost.
4. In one example, whether a modification on a decoded video unit is allowed or enabled may depend on coding information such as picture/slice type, temporal layer, QP, color format, color component, coding mode, dimensions of the video unit, etc.
  - a. In one example, a modification is allowed only on I slices.
  - b. In one example, no modification is allowed if the height of the video unit  $\geq T$ , where T is a threshold such as 1080.
5. In one example, whether to and/or how to apply a modification on a decoded video unit may be signaled by at least one syntax element (SE) from the encoder to the decoder, such as in SPS/PPS/APS/picture header/slice header/CTU/CU/etc.

- a. In one example, a first syntax element may be signaled to indicate whether a modification method is applied or not.
  - b. In one example, a second syntax element may be signaled to indicate which modification method is applied or not.
  - c. The second syntax element may be signaled only if the first syntax indicates a modification method is applied.
  - d. A single SE may be signaled.
  - e. The SE(s) may be coded using fixed length coding, EG coding, truncated (unary) coding, etc.
  - f. The SE(s) may be coded with at least one context in arithmetic coding.
  - g. The SE(s) may be bypass coded.
  - h. The SE(s) may be signaled only if modification is allowed.
6. In one example, the encoder may be simplified when trying to encode a picture with a modification method.
- a. In one example, the fast algorithm codes the frame with CU size of 128 of quad tree splitting while skipping all other CU sizes and coding tree structures.
  - b. In one example, the fast algorithm codes the frame with CU size of 64 of quad tree splitting while skipping all other CU sizes and coding tree structures.
  - c. In one example, the fast algorithm codes the frame with CU size of 32 of quad tree splitting while skipping all other CU sizes and coding tree structures.
  - d. In one example, the fast algorithm codes the frame with CU size of 16 of quad tree splitting while skipping all other CU sizes and coding tree structures.
  - e. In one example, the fast algorithm codes the frame with CU size of 8 of quad tree splitting while skipping all other CU sizes and coding tree structures.

#### General aspects

7. Whether to and/or how to apply the disclosed methods above may be signalled at sequence level/group of pictures level/picture level/slice level/tile group level, such as in sequence header/picture header/SPS/VPS/DPS/DCI/PPS/APS/slice header/tile group header.
8. Whether to and/or how to apply the disclosed methods above may be dependent on coded information, such as block size, colour format, single/dual tree partitioning, colour component, slice/picture type.

9. The proposed methods disclosed in this document may be used in other coding tools which require frame relocation or CCLM adjustment.

[0130] More details of the embodiments of the present disclosure will be described below which are related to an adjustment of a video block for video coding. The embodiments of the present disclosure should be considered as examples to explain the general concepts and should not be interpreted in a narrow way. Furthermore, these embodiments can be applied individually or combined in any manner.

[0131] As used herein, the term “block” may represent a color component, a sub-picture, a picture, a slice, a tile, a coding tree unit (CTU), a CTU row, groups of CTU, a coding unit (CU), a prediction unit (PU), a transform unit (TU), a coding tree block (CTB), a coding block (CB), a prediction block (PB), a transform block (TB), a sub-block of a video block, a sub-region within a video block, a video processing unit comprising multiple samples/pixels, and/or the like. A block may be rectangular or non-rectangular.

[0132] Fig. 64 illustrates a flowchart of a method 6400 for video processing in accordance with some embodiments of the present disclosure. The method 6400 may be implemented during a conversion between a video and a bitstream of the video. As shown in Fig. 64, the method 6400 starts at 6402 where a first video block is obtained. The first video block is generated based on a first adjustment of a current video block of the video. The first adjustment comprises at least one of adjusting an orientation of the current video block or adjusting positions of samples in the current video block. As used herein, the first adjustment may also be referred to as a geometry adjustment.

[0133] By way of example rather than limitation, the first video block may be generated and encoded into the bitstream at an encoder. Furthermore, at a decoder, the first video block may be obtained from the bitstream.

[0134] At 6404, a second video block is generated based on a second adjustment of the first video block. The second adjustment is an inverse process of the first adjustment. As used herein, the second adjustment may also be referred to as an inverse geometry adjustment. By way of example rather than limitation, in a case that the first adjustment is rotate the current video block clockwise with  $90^\circ$ , the second adjustment may be rotate the first video block clockwise with  $270^\circ$ . In another case that the first adjustment is rotate the current video block clockwise with  $180^\circ$ , the second adjustment may be rotate the first video block clockwise with  $180^\circ$ .

[0135] At 6406, the conversion is performed based on the second video block. In some embodiments, the second video block may be output or stored. Additionally or alternatively, the second video block may be used as a reference video block for coding a further video block of the video different from the current video block.

[0136] In some embodiments, the conversion may include encoding the video into the bitstream. Alternatively or additionally, the conversion may include decoding the video from the bitstream. It should be understood that the above illustrations and/or examples are described merely for purpose of description. The scope of the present disclosure is not limited in this respect.

[0137] In view of the above, a geometry adjustment and a corresponding inverse geometry adjustment are performed for coding a video block. Compared with the conventional solution, the proposed method can advantageously adapt a coding scheme of a video block to its signal and texture distributions. Thereby, the coding efficiency can be improved.

[0138] In some embodiments, the positions of samples in the current video block may be adjusted by flipping the current video block. Moreover, the second adjustment may comprise adjusting positions of samples in the first video block by flipping the first video block.

[0139] In some embodiments, the current video block may be flipped vertically. By way of example rather than limitation, a position of a first sample in the current video block may be adjusted in the first adjustment based on the following:

$$x1' = x1,$$

$$y1' = H1 - y1 - 1,$$

where  $x1'$  represents an adjusted horizontal position of the first sample,  $y1'$  represents an adjusted vertical position of the first sample,  $x1$  represents an original horizontal position of the first sample,  $y1$  represents an original vertical position of the first sample, and  $H1$  represents a height of the current video block.

[0140] Additionally, the first video block may be flipped vertically. By way of example rather than limitation, a position of a second sample in the first video block may be adjusted in the second adjustment based on the following:

$$x2' = x2,$$

$$y2' = H2 - y2 - 1,$$

where  $x2'$  represents an adjusted horizontal position of the second sample,  $y2'$  represents an adjusted vertical position of the second sample,  $x2$  represents an original horizontal position of the second sample,  $y2$  represents an original vertical position of the second sample, and  $H2$  represents a height of the first video block.

[0141] In some embodiments, the current video block may be flipped horizontally. By way of example rather than limitation, a position of a first sample in the current video block may be adjusted in the first adjustment based on the following:

$$x1' = W1 - x1 - 1,$$

$$y1' = y1,$$

where  $x1'$  represents an adjusted horizontal position of the first sample,  $y1'$  represents an adjusted vertical position of the first sample,  $x1$  represents an original horizontal position of the first sample,  $y1$  represents an original vertical position of the first sample, and  $W1$  represents a width of the current video block.

[0142] In addition, the first video block may be flipped horizontally. By way of example rather than limitation, a position of a second sample in the first video block may be adjusted in the second adjustment based on the following:

$$x2' = W2 - x2 - 1,$$

$$y2' = y2,$$

where  $x2'$  represents an adjusted horizontal position of the second sample,  $y2'$  represents an adjusted vertical position of the second sample,  $x2$  represents an original horizontal position of the second sample,  $y2$  represents an original vertical position of the second sample, and  $W2$  represents a width of the first video block.

[0143] In some embodiments, the orientation of the current video block may be adjusted by rotating the current video block. Furthermore, the second adjustment may comprise adjusting positions of samples in the first video block by rotating the first video block.

[0144] In some embodiments, the current video block may be rotated with  $180^\circ$ . By way of example rather than limitation, a position of a first sample in the current video

block may be adjusted in the first adjustment based on the following:

$$x1' = W1 - x1 - 1,$$

$$y1' = H1 - y1 - 1,$$

where  $x1'$  represents an adjusted horizontal position of the first sample,  $y1'$  represents an adjusted vertical position of the first sample,  $x1$  represents an original horizontal position of the first sample,  $y1$  represents an original vertical position of the first sample,  $W1$  represents a width of the current video block, and  $H1$  represents a height of the current video block.

[0145] Accordingly, the first video block may be rotated with  $180^\circ$ . By way of example rather than limitation, a position of a second sample in the first video block may be adjusted in the second adjustment based on the following:

$$x2' = W2 - x2 - 1,$$

$$y2' = H2 - y2 - 1,$$

where  $x2'$  represents an adjusted horizontal position of the second sample,  $y2'$  represents an adjusted vertical position of the second sample,  $x2$  represents an original horizontal position of the second sample,  $y2$  represents an original vertical position of the second sample,  $W2$  represents a width of the first video block, and  $H2$  represents a height of the first video block.

[0146] In some embodiments, the current video block may be rotated clockwise with  $90^\circ$ . By way of example rather than limitation, a position of a first sample in the current video block may be adjusted in the first adjustment based on the following:

$$x1' = H1 - y1 - 1,$$

$$y1' = x1,$$

where  $x1'$  represents an adjusted horizontal position of the first sample,  $y1'$  represents an adjusted vertical position of the first sample,  $x1$  represents an original horizontal position of the first sample,  $y1$  represents an original vertical position of the first sample, and  $H1$  represents a height of the current video block.

[0147] In addition, the first video block may be rotated clockwise with  $270^\circ$ . By way of example rather than limitation, a position of a second sample in the first video block

may be adjusted in the second adjustment based on the following:

$$x2' = y2,$$

$$y2' = W2 - x2 - 1,$$

where  $x2'$  represents an adjusted horizontal position of the second sample,  $y2'$  represents an adjusted vertical position of the second sample,  $x2$  represents an original horizontal position of the second sample,  $y2$  represents an original vertical position of the second sample, and  $W2$  represents a width of the first video block.

[0148] In some embodiments, the current video block may be rotated clockwise with  $270^\circ$ . By way of example rather than limitation, a position of a first sample in the current video block may be adjusted in the first adjustment based on the following:

$$x1' = y1,$$

$$y1' = W1 - x1 - 1,$$

where  $x1'$  represents an adjusted horizontal position of the first sample,  $y1'$  represents an adjusted vertical position of the first sample,  $x1$  represents an original horizontal position of the first sample,  $y1$  represents an original vertical position of the first sample, and  $W1$  represents a width of the current video block.

[0149] Accordingly, the first video block may be rotated clockwise with  $90^\circ$ . By way of example rather than limitation, a position of a second sample in the first video block may be adjusted in the second adjustment based on the following:

$$x2' = H2 - y2 - 1,$$

$$y2' = x2,$$

where  $x2'$  represents an adjusted horizontal position of the second sample,  $y2'$  represents an adjusted vertical position of the second sample,  $x2$  represents an original horizontal position of the second sample,  $y2$  represents an original vertical position of the second sample, and  $H2$  represents a height of the first video block.

[0150] In some embodiments, if a dimension of the first video block is different from a dimension of the current video block, dimension information regarding at least one of the following may be indicated in the bitstream: a width of the current video block, a height of the current video block, a width of the first video block, or a height of the first video

block. Additionally, the dimension information may be indicated in a sequence parameter set (SPS), a picture parameter set (PPS), a picture header, a slice header, a coding tree unit (CTU), a coding unit (CU), or the like.

[0151] In some embodiments, a corresponding relationship between luma and chroma samples of the first video block may be adjusted for a cross-component prediction coding tool. Alternatively, information regarding at least one of the following may be dependent on a color format of the current video block: whether to adjust a corresponding relationship between luma and chroma samples of the first video block for a cross-component prediction coding tool, or how to adjust the corresponding relationship. By way of example rather than limitation, the cross-component prediction coding tool may comprise a cross-component linear model (CCLM) mode, an L-CCLM mode, a T-CCLM mode, an MM-CCLM mode, and/or the like.

[0152] In some embodiments, if the color format is format 4:4:4, the corresponding relationship may be not adjusted. Additionally or alternatively, if the color format is format 4:2:0 or format 4:2:2, the corresponding relationship may be adjusted. Furthermore, if the color format is format 4:2:0, the corresponding relationship may be adjusted in a first manner, and if the color format is format 4:2:2, the corresponding relationship may be adjusted in a second manner different from the first manner. In other words, different adjustment may be made for format 4:2:0 and 4:2:2.

[0153] In some embodiments, a type of a filter for adjusting the corresponding relationship may be dependent on a location of the current video block, the color format of the current video block, and/or the like. By way of example rather than limitation, if the color format is format 4:2:0 and the current video block is not located on boundaries of a CTU of the video, the filter may be a 6-tap filter. If the color format is format 4:2:0 and the current video block is located on a boundary of a CTU of the video, the filter may be a 3-tap filter. If the color format is format 4:2:2, the filter may be a 3-tap filter. It should be understood that the above examples are described merely for purpose of description. The scope of the present disclosure is not limited in this respect.

[0154] In some embodiments, the adjusted corresponding relationship between luma and chroma samples of the first video block may be the same as a corresponding relationship between luma and chroma samples of the current video block. With reference to Fig. 62A, in the original state (corresponding to the state of the current video block), a

set of luma samples used for a cross-component prediction coding tool for the chroma sample 6210 comprises 6 luma samples surrounding the chroma sample 6210, i.e., No. 2, No. 3, No. 4, No.6, No.7, and No.8 luma samples with coefficients 1, 2, 1, 1, 2 and 1 for a 6-tap filter, respectively.

[0155] Turn to Fig. 62B, in the horizontally flipped state (corresponding to the state of the first video block), if the corresponding relationship is not adjusted, the set of luma samples used for a cross-component prediction coding tool for the chroma sample 6210 would comprise 6 luma samples surrounding the chroma sample 6210, i.e., No. 17, No. 4, No. 3, No.18, No.8, and No.7 luma samples with coefficients 1, 2, 1, 1, 2 and 1, respectively. In aid of the adjustment of the corresponding relationship, a set of luma samples used for a cross-component prediction coding tool for the chroma sample 6210 still comprises No.4, No. 3, No.2, No.8, No.7, and No.6 luma samples with coefficients 1, 2, 1, 1, 2 and 1 for a 6-tap filter, respectively, as shown in Fig. 62B.

[0156] With reference to Fig. 63A, in the original state (corresponding to the state of the current video block), a set of luma samples used for a cross-component prediction coding tool for the chroma sample 6310 comprises 6 luma samples surrounding the chroma sample 6210, i.e., No. 2, No. 3, No. 4, No.6, No.7, and No.8 luma samples with coefficients 1, 2, 1, 1, 2 and 1, respectively. As shown in Fig. 63B, in the 180° rotated state (corresponding to the state of the first video block), in aid of the adjustment of the corresponding relationship, a set of luma samples used for a cross-component prediction coding tool for the chroma sample 6210 still comprises No.8, No. 7, No.6, No.4, No.3, and No.2 luma samples with coefficients 1, 2, 1, 1, 2 and 1 for a 6-tap filter, respectively, as shown in Fig. 62B. Thereby, the coding quality for cross-component prediction coding tool will not be degraded by the geometry adjustment.

[0157] In some embodiments, the first adjustment may be determined based on a rate-distortion optimization (RDO) process. For example, luma and chroma rate-distortion (RD) costs may be determined for a plurality of candidate adjustment schemes, and the first adjustment may comprise a candidate adjustment scheme with the least cost. By way of example rather than limitation, the RDO process may be applied at a picture level. All luma and chroma RD cost of every original and adjusted picture are calculated, and then the encoder may select whether to perform the adjustment and how to perform the adjustment based on the costs. In one example, the adjustment with the least cost may be selected.

[0158] In some embodiments, information regarding whether the second adjustment is allowed or enabled for a third video block may be dependent on coding information of the third video block. The third video block is obtained from the bitstream and different from the second video block. By way of example rather than limitation, the coding information may comprise a picture type, a slice type, a temporal layer, a quantization parameter (QP), a color format, a color component, a coding mode, a dimension, and/or the like.

[0159] In some embodiments, if the third video block may be on an I-slice, the second adjustment may be allowed for the third video block. Additionally or alternatively, if a height of the third video block may be larger than or equal to a threshold, the second adjustment may be disallowed for the third video block. By way of example, the threshold may be 1080 pixels. It should be understood that the above examples are described merely for purpose of description. The scope of the present disclosure is not limited in this respect.

[0160] In some embodiments, at least one syntax element indicating information regarding at least one of the following may be included in the bitstream: whether to apply the second adjustment on the first video block, or how to apply the second adjustment on the first video block. By way of example, the at least one syntax element may be included in a sequence parameter set (SPS), a picture parameter set (PPS), an adaptation parameter sets (APS), a picture header, a slice header, a coding tree unit (CTU), a coding unit (CU), or the like.

[0161] In some embodiments, the at least one syntax element may comprise a first syntax element indicating whether to apply the second adjustment on the first video block. Additionally or alternatively, the at least one syntax element may comprise a second syntax element indicating how to apply the second adjustment on the first video block. In some further embodiments, if the first syntax element indicates that the second adjustment is applied on the first video block, the at least one syntax element may further comprise a second syntax element indicating how to apply the second adjustment on the first video block. In some alternative embodiments, the at least one syntax element may comprise a single syntax element indicating whether to apply the second adjustment on the first video block and how to apply the second adjustment on the first video block.

[0162] In some embodiments, the at least one syntax element may be coded with a fixed length coding, an exponential Golomb (EG) coding, a truncated unary coding, a unary

coding, or the like. In some embodiments, the at least one syntax element may be coded with at least one context in arithmetic coding. Alternatively, the at least one syntax element may be bypass coded.

[0163] In some embodiments, if the second adjustment is allowed for the first video block, at least one syntax element indicating information regarding at least one of the following may be included in the bitstream: whether to apply the second adjustment on the first video block, or how to apply the second adjustment on the first video block.

[0164] In some embodiments, the current video block may be comprised in a current frame of the video. Moreover, the current frame may be code with a predetermined CU size and a predetermined splitting scheme. Thereby, the coding process may be simplified, and thus the coding efficiency may be further improved. By way of example rather than limitation, the predetermined splitting scheme may comprise a quad tree splitting. Additionally, the predetermined CU size may comprise be 128 pixels  $\times$  128 pixels, 64 pixels  $\times$  64 pixels, 32 pixels  $\times$  32 pixels, 16 pixels  $\times$  16 pixels, 8 pixels  $\times$  8 pixels, or the like. It should be understood that the above examples are described merely for purpose of description. The scope of the present disclosure is not limited in this respect.

[0165] In some embodiments, whether to and/or how to apply the method may be indicated at a sequence level, a group of pictures level, a picture level, a slice level, a tile group level, or the like. In some embodiments, whether to and/or how to apply the method may be indicated in a sequence header, a picture header, a sequence parameter set (SPS), a video parameter set (VPS), a dependency parameter set (DPS), a decoding capability information (DCI), a picture parameter set (PPS), an adaptation parameter sets (APS), a slice header, a tile group header, or the like.

[0166] In some embodiments, whether to and/or how to apply the method may be dependent on coded information of the current video block. By way of example rather than limitation, the coded information may comprise a block size, a color format, a single tree partitioning, a dual tree partitioning, a color component, a slice type, a picture type, and/or the like.

[0167] In some embodiments, the above-described method may be applicable in any other suitable coding tool requiring frame relocation or CCLM adjustment.

[0168] According to further embodiments of the present disclosure, a non-transitory

computer-readable recording medium is provided. The non-transitory computer-readable recording medium stores a bitstream of a video which is generated by a method performed by an apparatus for video processing. In the method, a first video block is obtained. The first video block is generated based on a first adjustment of a current video block of the video, and the first adjustment comprises at least one of adjusting an orientation of the current video block or adjusting positions of samples in the current video block. Furthermore, a second video block is generated based on a second adjustment of the first video block, the second adjustment being an inverse process of the first adjustment. Moreover, the bitstream is generated based on the second video block.

[0169] According to still further embodiments of the present disclosure, a method for storing bitstream of a video is provided. In the method, a first video block is obtained. The first video block is generated based on a first adjustment of a current video block of the video, and the first adjustment comprises at least one of adjusting an orientation of the current video block or adjusting positions of samples in the current video block. Furthermore, a second video block is generated based on a second adjustment of the first video block, the second adjustment being an inverse process of the first adjustment. Moreover, the bitstream is generated based on the second video block, and stored in a non-transitory computer-readable recording medium.

[0170] Implementations of the present disclosure can be described in view of the following clauses, the features of which can be combined in any reasonable manner.

[0171] Clause 1. A method for video processing, comprising: obtaining, for a conversion between a video and a bitstream of the video, a first video block, the first video block being generated based on a first adjustment of a current video block of the video, the first adjustment comprising at least one of adjusting an orientation of the current video block or adjusting positions of samples in the current video block; generating a second video block based on a second adjustment of the first video block, the second adjustment being an inverse process of the first adjustment; and performing the conversion based on the second video block.

[0172] Clause 2. The method of clause 1, wherein the first video block is generated and encoded into the bitstream at an encoder.

[0173] Clause 3. The method of any of clauses 1-2, wherein obtaining the first video block comprises: obtaining the first video block from the bitstream.

[0174] Clause 4. The method of any of clauses 1-3, wherein the second video block is used as a reference video block for coding a further video block of the video different from the current video block.

[0175] Clause 5. The method of any of clauses 1-4, wherein the second video block is output or stored.

[0176] Clause 6. The method of any of clauses 1-5, wherein the positions of samples in the current video block are adjusted by flipping the current video block, and the second adjustment comprises: adjusting positions of samples in the first video block by flipping the first video block.

[0177] Clause 7. The method of clause 6, wherein the current video block is flipped vertically.

[0178] Clause 8. The method of clause 7, wherein a position of a first sample in the current video block is adjusted in the first adjustment based on the following:  $x1' = x1$ ,  $y1' = H1 - y1 - 1$ , wherein  $x1'$  represents an adjusted horizontal position of the first sample,  $y1'$  represents an adjusted vertical position of the first sample,  $x1$  represents an original horizontal position of the first sample,  $y1$  represents an original vertical position of the first sample, and  $H1$  represents a height of the current video block.

[0179] Clause 9. The method of any of clauses 7-8, wherein the first video block is flipped vertically.

[0180] Clause 10. The method of clause 9, wherein a position of a second sample in the first video block is adjusted in the second adjustment based on the following:  $x2' = x2$ ,  $y2' = H2 - y2 - 1$ , wherein  $x2'$  represents an adjusted horizontal position of the second sample,  $y2'$  represents an adjusted vertical position of the second sample,  $x2$  represents an original horizontal position of the second sample,  $y2$  represents an original vertical position of the second sample, and  $H2$  represents a height of the first video block.

[0181] Clause 11. The method of clause 6, wherein the current video block is flipped horizontally.

[0182] Clause 12. The method of clause 11, wherein a position of a first sample in the current video block is adjusted in the first adjustment based on the following:  $x1' = W1 - x1 - 1$ ,  $y1' = y1$ , wherein  $x1'$  represents an adjusted horizontal position of the first sample,  $y1'$  represents an adjusted vertical position of the first sample,  $x1$  represents an original

horizontal position of the first sample,  $y_1$  represents an original vertical position of the first sample, and  $W_1$  represents a width of the current video block.

[0183] Clause 13. The method of any of clauses 11-12, wherein the first video block is flipped horizontally.

[0184] Clause 14. The method of clause 13, wherein a position of a second sample in the first video block is adjusted in the second adjustment based on the following:  $x_2' = W_2 - x_2 - 1$ ,  $y_2' = y_2$ , wherein  $x_2'$  represents an adjusted horizontal position of the second sample,  $y_2'$  represents an adjusted vertical position of the second sample,  $x_2$  represents an original horizontal position of the second sample,  $y_2$  represents an original vertical position of the second sample, and  $W_2$  represents a width of the first video block.

[0185] Clause 15. The method of any of clauses 1-5, wherein the orientation of the current video block is adjusted by rotating the current video block, and the second adjustment comprises: adjusting positions of samples in the first video block by rotating the first video block.

[0186] Clause 16. The method of clause 15, wherein the current video block is rotated with  $180^\circ$ .

[0187] Clause 17. The method of clause 16, wherein a position of a first sample in the current video block is adjusted in the first adjustment based on the following:  $x_1' = W_1 - x_1 - 1$ ,  $y_1' = H_1 - y_1 - 1$ , wherein  $x_1'$  represents an adjusted horizontal position of the first sample,  $y_1'$  represents an adjusted vertical position of the first sample,  $x_1$  represents an original horizontal position of the first sample,  $y_1$  represents an original vertical position of the first sample,  $W_1$  represents a width of the current video block, and  $H_1$  represents a height of the current video block.

[0188] Clause 18. The method of any of clause 16-17, wherein the first video block is rotated with  $180^\circ$ .

[0189] Clause 19. The method of clause 18, wherein a position of a second sample in the first video block is adjusted in the second adjustment based on the following:  $x_2' = W_2 - x_2 - 1$ ,  $y_2' = H_2 - y_2 - 1$ , wherein  $x_2'$  represents an adjusted horizontal position of the second sample,  $y_2'$  represents an adjusted vertical position of the second sample,  $x_2$  represents an original horizontal position of the second sample,  $y_2$  represents an original vertical position of the second sample,  $W_2$  represents a width of the first video block, and

H2 represents a height of the first video block.

[0190] Clause 20. The method of clause 15, wherein the current video block is rotated clockwise with  $90^\circ$ .

[0191] Clause 21. The method of clause 20, wherein a position of a first sample in the current video block is adjusted in the first adjustment based on the following:  $x1' = H1 - y1 - 1$ ,  $y1' = x1$ , wherein  $x1'$  represents an adjusted horizontal position of the first sample,  $y1'$  represents an adjusted vertical position of the first sample,  $x1$  represents an original horizontal position of the first sample,  $y1$  represents an original vertical position of the first sample, and H1 represents a height of the current video block.

[0192] Clause 22. The method of any of clause 20-21, wherein the first video block is rotated clockwise with  $270^\circ$ .

[0193] Clause 23. The method of clause 22, wherein a position of a second sample in the first video block is adjusted in the second adjustment based on the following:  $x2' = y2$ ,  $y2' = W2 - x2 - 1$ , wherein  $x2'$  represents an adjusted horizontal position of the second sample,  $y2'$  represents an adjusted vertical position of the second sample,  $x2$  represents an original horizontal position of the second sample,  $y2$  represents an original vertical position of the second sample, and W2 represents a width of the first video block.

[0194] Clause 24. The method of clause 15, wherein the current video block is rotated clockwise with  $270^\circ$ .

[0195] Clause 25. The method of clause 24, wherein a position of a first sample in the current video block is adjusted in the first adjustment based on the following:  $x1' = y1$ ,  $y1' = W1 - x1 - 1$ , wherein  $x1'$  represents an adjusted horizontal position of the first sample,  $y1'$  represents an adjusted vertical position of the first sample,  $x1$  represents an original horizontal position of the first sample,  $y1$  represents an original vertical position of the first sample, and W1 represents a width of the current video block.

[0196] Clause 26. The method of any of clause 24-25, wherein the first video block is rotated clockwise with  $90^\circ$ .

[0197] Clause 27. The method of clause 26, wherein a position of a second sample in the first video block is adjusted in the second adjustment based on the following:  $x2' = H2 - y2 - 1$ ,  $y2' = x2$ , wherein  $x2'$  represents an adjusted horizontal position of the second sample,  $y2'$  represents an adjusted vertical position of the second sample,  $x2$  represents

an original horizontal position of the second sample,  $y_2$  represents an original vertical position of the second sample, and  $H_2$  represents a height of the first video block.

[0198] Clause 28. The method of any of clauses 1-27, wherein if a dimension of the first video block is different from a dimension of the current video block, dimension information regarding at least one of the following is indicated in the bitstream: a width of the current video block, a height of the current video block, a width of the first video block, or a height of the first video block.

[0199] Clause 29. The method of clause 28, wherein the dimension information is indicated in one of the following: a sequence parameter set (SPS), a picture parameter set (PPS), a picture header, a slice header, a coding tree unit (CTU), or a coding unit (CU).

[0200] Clause 30. The method of any of clauses 1-29, wherein a corresponding relationship between luma and chroma samples of the first video block is adjusted for a cross-component prediction coding tool.

[0201] Clause 31. The method of any of clauses 1-29, wherein information regarding at least one of the following is dependent on a color format of the current video block: whether to adjust a corresponding relationship between luma and chroma samples of the first video block for a cross-component prediction coding tool, or how to adjust the corresponding relationship.

[0202] Clause 32. The method of any of clauses 30-31, wherein the cross-component prediction coding tool comprises at least one of the following: a cross-component linear model (CCLM) mode, an L-CCLM mode, a T-CCLM mode, or an MM-CCLM mode.

[0203] Clause 33. The method of any of clauses 31-32, wherein if the color format is format 4:4:4, the corresponding relationship is not adjusted.

[0204] Clause 34. The method of any of clauses 31-33, wherein if the color format is format 4:2:0 or format 4:2:2, the corresponding relationship is adjusted.

[0205] Clause 35. The method of any of clauses 30-34, wherein if the color format is format 4:2:0, the corresponding relationship is adjusted in a first manner, and if the color format is format 4:2:2, the corresponding relationship is adjusted in a second manner different from the first manner.

[0206] Clause 36. The method of any of clauses 30-35, wherein a type of a filter for

adjusting the corresponding relationship is dependent on at least one of the following: a location of the current video block, or the color format.

[0207] Clause 37. The method of clause 36, wherein if the color format is format 4:2:0 and the current video block is not located on boundaries of a CTU of the video, the filter is a 6-tap filter.

[0208] Clause 38. The method of any of clause 36-37, wherein if the color format is format 4:2:0 and the current video block is located on a boundary of a CTU of the video, the filter is a 3-tap filter.

[0209] Clause 39. The method of any of clause 36-38, wherein if the color format is format 4:2:2, the filter is a 3-tap filter.

[0210] Clause 40. The method of any of clauses 30-39, wherein the adjusted corresponding relationship is the same as a corresponding relationship between luma and chroma samples of the current video block.

[0211] Clause 41. The method of any of clauses 1-40, wherein the first adjustment is determined based on a rate-distortion optimization (RDO) process.

[0212] Clause 42. The method of clause 41, wherein luma and chroma rate-distortion (RD) costs are determined for a plurality of candidate adjustment schemes, and the first adjustment comprises a candidate adjustment scheme with the least cost.

[0213] Clause 43. The method of any of clauses 1-42, wherein information regarding whether the second adjustment is allowed or enabled for a third video block is dependent on coding information of the third video block, the third video block being obtained from the bitstream and different from the second video block.

[0214] Clause 44. The method of clause 43, wherein the coding information comprises at least one of the following: a picture type, a slice type, a temporal layer, a quantization parameter (QP), a color format, a color component, a coding mode, or a dimension.

[0215] Clause 45. The method of any of clauses 43-44, wherein if the third video block is on an I-slice, the second adjustment is allowed for the third video block.

[0216] Clause 46. The method of any of clauses 43-45, wherein if a height of the third video block is larger than or equal to a threshold, the second adjustment is disallowed for the third video block.

- [0217] Clause 47. The method of clause 46, wherein the threshold is 1080 pixels.
- [0218] Clause 48. The method of any of clauses 1-47, wherein at least one syntax element indicating information regarding at least one of the following is included in the bitstream: whether to apply the second adjustment on the first video block, or how to apply the second adjustment on the first video block.
- [0219] Clause 49. The method of clause 48, wherein the at least one syntax element is included in one of the following: a sequence parameter set (SPS), a picture parameter set (PPS), an adaptation parameter sets (APS), a picture header, a slice header, a coding tree unit (CTU), or a coding unit (CU).
- [0220] Clause 50. The method of any of clauses 48-49, wherein the at least one syntax element comprises a first syntax element indicating whether to apply the second adjustment on the first video block.
- [0221] Clause 51. The method of any of clauses 48-50, wherein the at least one syntax element comprises a second syntax element indicating how to apply the second adjustment on the first video block.
- [0222] Clause 52. The method of clause 50, wherein if the first syntax element indicates that the second adjustment is applied on the first video block, the at least one syntax element further comprises a second syntax element indicating how to apply the second adjustment on the first video block.
- [0223] Clause 53. The method of any of clauses 48-49, wherein the at least one syntax element comprises a single syntax element indicating whether to apply the second adjustment on the first video block and how to apply the second adjustment on the first video block.
- [0224] Clause 54. The method of any of clauses 48-53, wherein the at least one syntax element is coded with one the following: a fixed length coding, an exponential Golomb (EG) coding, a truncated unary coding, or a unary coding.
- [0225] Clause 55. The method of any of clauses 48-53, wherein the at least one syntax element is coded with at least one context in arithmetic coding.
- [0226] Clause 56. The method of any of clauses 48-53, wherein the at least one syntax element is bypass coded.

[0227] Clause 57. The method of any of clauses 1-47, wherein if the second adjustment is allowed for the first video block, at least one syntax element indicating information regarding at least one of the following is included in the bitstream: whether to apply the second adjustment on the first video block, or how to apply the second adjustment on the first video block.

[0228] Clause 58. The method of any of clauses 1-57, wherein the current video block is comprised in a current frame of the video, and the current frame is code with a predetermined CU size and a predetermined splitting scheme.

[0229] Clause 59. The method of clause 58, wherein the predetermined splitting scheme comprises a quad tree splitting.

[0230] Clause 60. The method of any of clauses 58-59, wherein the predetermined CU size comprises one of the following: 128 pixels  $\times$  128 pixels, 64 pixels  $\times$  64 pixels, 32 pixels  $\times$  32 pixels, 16 pixels  $\times$  16 pixels, or 8 pixels  $\times$  8 pixels.

[0231] Clause 61. The method of any of clauses 1-60, wherein the current video block is a picture.

[0232] Clause 62. The method of any of clauses 1-61, wherein whether to and/or how to apply the method is indicated at one of the following: a sequence level, a group of pictures level, a picture level, a slice level, or a tile group level.

[0233] Clause 63. The method of any of clauses 1-61, wherein whether to and/or how to apply the method is indicated in one of the following: a sequence header, a picture header, a sequence parameter set (SPS), a video parameter set (VPS), a dependency parameter set (DPS), a decoding capability information (DCI), a picture parameter set (PPS), an adaptation parameter sets (APS), a slice header, or a tile group header.

[0234] Clause 64. The method of any of clauses 1-63, wherein whether to and/or how to apply the method is dependent on coded information of the current video block.

[0235] Clause 65. The method of clause 64, wherein the coded information comprises at least one of the following: a block size, a color format, a single tree partitioning, a dual tree partitioning, a color component, a slice type, or a picture type.

[0236] Clause 66. The method of any of clauses 1-65, wherein the method is applicable in a coding tool requiring frame relocation or CCLM adjustment.

[0237] Clause 67. The method of any of clauses 1-66, wherein the conversion includes encoding the video into the bitstream.

[0238] Clause 68. The method of any of clauses 1-66, wherein the conversion includes decoding the video from the bitstream.

[0239] Clause 69. An apparatus for video processing comprising a processor and a non-transitory memory with instructions thereon, wherein the instructions upon execution by the processor, cause the processor to perform a method in accordance with any of clauses 1-68.

[0240] Clause 70. A non-transitory computer-readable storage medium storing instructions that cause a processor to perform a method in accordance with any of clauses 1-68.

[0241] Clause 71. A non-transitory computer-readable recording medium storing a bitstream of a video which is generated by a method performed by an apparatus for video processing, wherein the method comprises: obtaining a first video block, the first video block being generated based on a first adjustment of a current video block of the video, the first adjustment comprising at least one of adjusting an orientation of the current video block or adjusting positions of samples in the current video block; generating a second video block based on a second adjustment of the first video block, the second adjustment being an inverse process of the first adjustment; and generating the bitstream based on the second video block.

[0242] Clause 72. A method for storing a bitstream of a video, comprising: obtaining a first video block, the first video block being generated based on a first adjustment of a current video block of the video, the first adjustment comprising at least one of adjusting an orientation of the current video block or adjusting positions of samples in the current video block; generating a second video block based on a second adjustment of the first video block, the second adjustment being an inverse process of the first adjustment; generating the bitstream based on the second video block; and storing the bitstream in a non-transitory computer-readable recording medium.

### **Example Device**

[0243] Fig. 65 illustrates a block diagram of a computing device 6500 in which various embodiments of the present disclosure can be implemented. The computing device 6500

may be implemented as or included in the source device 110 (or the video encoder 114 or 200) or the destination device 120 (or the video decoder 124 or 300).

[0244] It would be appreciated that the computing device 6500 shown in Fig. 65 is merely for purpose of illustration, without suggesting any limitation to the functions and scopes of the embodiments of the present disclosure in any manner.

[0245] As shown in Fig. 65, the computing device 6500 includes a general-purpose computing device 6500. The computing device 6500 may at least comprise one or more processors or processing units 6510, a memory 6520, a storage unit 6530, one or more communication units 6540, one or more input devices 6550, and one or more output devices 6560.

[0246] In some embodiments, the computing device 6500 may be implemented as any user terminal or server terminal having the computing capability. The server terminal may be a server, a large-scale computing device or the like that is provided by a service provider. The user terminal may for example be any type of mobile terminal, fixed terminal, or portable terminal, including a mobile phone, station, unit, device, multimedia computer, multimedia tablet, Internet node, communicator, desktop computer, laptop computer, notebook computer, netbook computer, tablet computer, personal communication system (PCS) device, personal navigation device, personal digital assistant (PDA), audio/video player, digital camera/video camera, positioning device, television receiver, radio broadcast receiver, E-book device, gaming device, or any combination thereof, including the accessories and peripherals of these devices, or any combination thereof. It would be contemplated that the computing device 6500 can support any type of interface to a user (such as “wearable” circuitry and the like).

[0247] The processing unit 6510 may be a physical or virtual processor and can implement various processes based on programs stored in the memory 6520. In a multi-processor system, multiple processing units execute computer executable instructions in parallel so as to improve the parallel processing capability of the computing device 6500. The processing unit 6510 may also be referred to as a central processing unit (CPU), a microprocessor, a controller or a microcontroller.

[0248] The computing device 6500 typically includes various computer storage medium. Such medium can be any medium accessible by the computing device 6500, including, but not limited to, volatile and non-volatile medium, or detachable and non-detachable

medium. The memory 6520 can be a volatile memory (for example, a register, cache, Random Access Memory (RAM)), a non-volatile memory (such as a Read-Only Memory (ROM), Electrically Erasable Programmable Read-Only Memory (EEPROM), or a flash memory), or any combination thereof. The storage unit 6530 may be any detachable or non-detachable medium and may include a machine-readable medium such as a memory, flash memory drive, magnetic disk or another other media, which can be used for storing information and/or data and can be accessed in the computing device 6500.

[0249] The computing device 6500 may further include additional detachable/non-detachable, volatile/non-volatile memory medium. Although not shown in Fig. 65, it is possible to provide a magnetic disk drive for reading from and/or writing into a detachable and non-volatile magnetic disk and an optical disk drive for reading from and/or writing into a detachable non-volatile optical disk. In such cases, each drive may be connected to a bus (not shown) via one or more data medium interfaces.

[0250] The communication unit 6540 communicates with a further computing device via the communication medium. In addition, the functions of the components in the computing device 6500 can be implemented by a single computing cluster or multiple computing machines that can communicate via communication connections. Therefore, the computing device 6500 can operate in a networked environment using a logical connection with one or more other servers, networked personal computers (PCs) or further general network nodes.

[0251] The input device 6550 may be one or more of a variety of input devices, such as a mouse, keyboard, tracking ball, voice-input device, and the like. The output device 6560 may be one or more of a variety of output devices, such as a display, loudspeaker, printer, and the like. By means of the communication unit 6540, the computing device 6500 can further communicate with one or more external devices (not shown) such as the storage devices and display device, with one or more devices enabling the user to interact with the computing device 6500, or any devices (such as a network card, a modem and the like) enabling the computing device 6500 to communicate with one or more other computing devices, if required. Such communication can be performed via input/output (I/O) interfaces (not shown).

[0252] In some embodiments, instead of being integrated in a single device, some or all components of the computing device 6500 may also be arranged in cloud computing

architecture. In the cloud computing architecture, the components may be provided remotely and work together to implement the functionalities described in the present disclosure. In some embodiments, cloud computing provides computing, software, data access and storage service, which will not require end users to be aware of the physical locations or configurations of the systems or hardware providing these services. In various embodiments, the cloud computing provides the services via a wide area network (such as Internet) using suitable protocols. For example, a cloud computing provider provides applications over the wide area network, which can be accessed through a web browser or any other computing components. The software or components of the cloud computing architecture and corresponding data may be stored on a server at a remote position. The computing resources in the cloud computing environment may be merged or distributed at locations in a remote data center. Cloud computing infrastructures may provide the services through a shared data center, though they behave as a single access point for the users. Therefore, the cloud computing architectures may be used to provide the components and functionalities described herein from a service provider at a remote location. Alternatively, they may be provided from a conventional server or installed directly or otherwise on a client device.

[0253] The computing device 6500 may be used to implement video encoding/decoding in embodiments of the present disclosure. The memory 6520 may include one or more video coding modules 6525 having one or more program instructions. These modules are accessible and executable by the processing unit 6510 to perform the functionalities of the various embodiments described herein.

[0254] In the example embodiments of performing video encoding, the input device 6550 may receive video data as an input 6570 to be encoded. The video data may be processed, for example, by the video coding module 6525, to generate an encoded bitstream. The encoded bitstream may be provided via the output device 6560 as an output 6580.

[0255] In the example embodiments of performing video decoding, the input device 6550 may receive an encoded bitstream as the input 6570. The encoded bitstream may be processed, for example, by the video coding module 6525, to generate decoded video data. The decoded video data may be provided via the output device 6560 as the output 6580.

[0256] While this disclosure has been particularly shown and described with references

to preferred embodiments thereof, it will be understood by those skilled in the art that various changes in form and details may be made therein without departing from the spirit and scope of the present application as defined by the appended claims. Such variations are intended to be covered by the scope of this present application. As such, the foregoing description of embodiments of the present application is not intended to be limiting.

**I/We Claim:**

1. A method for video processing, comprising:
  - obtaining, for a conversion between a video and a bitstream of the video, a first video block, the first video block being generated based on a first adjustment of a current video block of the video, the first adjustment comprising at least one of adjusting an orientation of the current video block or adjusting positions of samples in the current video block;
  - generating a second video block based on a second adjustment of the first video block, the second adjustment being an inverse process of the first adjustment; and
  - performing the conversion based on the second video block.
2. The method of claim 1, wherein the first video block is generated and encoded into the bitstream at an encoder.
3. The method of any of claims 1-2, wherein obtaining the first video block comprises: obtaining the first video block from the bitstream.
4. The method of any of claims 1-3, wherein the second video block is used as a reference video block for coding a further video block of the video different from the current video block.
5. The method of any of claims 1-4, wherein the second video block is output or stored.
6. The method of any of claims 1-5, wherein the positions of samples in the current video block are adjusted by flipping the current video block, and the second adjustment comprises:
  - adjusting positions of samples in the first video block by flipping the first video block.
7. The method of claim 6, wherein the current video block is flipped vertically.
8. The method of claim 7, wherein a position of a first sample in the current video block is adjusted in the first adjustment based on the following:

$$x1' = x1,$$

$$y1' = H1 - y1 - 1,$$

wherein  $x1'$  represents an adjusted horizontal position of the first sample,  $y1'$  represents an adjusted vertical position of the first sample,  $x1$  represents an original horizontal position of the first sample,  $y1$  represents an original vertical position of the first sample, and  $H1$  represents a height of the current video block.

9. The method of any of claims 7-8, wherein the first video block is flipped vertically.

10. The method of claim 9, wherein a position of a second sample in the first video block is adjusted in the second adjustment based on the following:

$$\begin{aligned}x2' &= x2, \\y2' &= H2 - y2 - 1,\end{aligned}$$

wherein  $x2'$  represents an adjusted horizontal position of the second sample,  $y2'$  represents an adjusted vertical position of the second sample,  $x2$  represents an original horizontal position of the second sample,  $y2$  represents an original vertical position of the second sample, and  $H2$  represents a height of the first video block.

11. The method of claim 6, wherein the current video block is flipped horizontally.

12. The method of claim 11, wherein a position of a first sample in the current video block is adjusted in the first adjustment based on the following:

$$\begin{aligned}x1' &= W1 - x1 - 1, \\y1' &= y1,\end{aligned}$$

wherein  $x1'$  represents an adjusted horizontal position of the first sample,  $y1'$  represents an adjusted vertical position of the first sample,  $x1$  represents an original horizontal position of the first sample,  $y1$  represents an original vertical position of the first sample, and  $W1$  represents a width of the current video block.

13. The method of any of claims 11-12, wherein the first video block is flipped horizontally.

14. The method of claim 13, wherein a position of a second sample in the first video block is adjusted in the second adjustment based on the following:

$$\begin{aligned}x2' &= W2 - x2 - 1, \\y2' &= y2,\end{aligned}$$

wherein  $x_2'$  represents an adjusted horizontal position of the second sample,  $y_2'$  represents an adjusted vertical position of the second sample,  $x_2$  represents an original horizontal position of the second sample,  $y_2$  represents an original vertical position of the second sample, and  $W_2$  represents a width of the first video block.

15. The method of any of claims 1-5, wherein the orientation of the current video block is adjusted by rotating the current video block, and the second adjustment comprises:

adjusting positions of samples in the first video block by rotating the first video block.

16. The method of claim 15, wherein the current video block is rotated with  $180^\circ$ .

17. The method of claim 16, wherein a position of a first sample in the current video block is adjusted in the first adjustment based on the following:

$$x_1' = W_1 - x_1 - 1,$$

$$y_1' = H_1 - y_1 - 1,$$

wherein  $x_1'$  represents an adjusted horizontal position of the first sample,  $y_1'$  represents an adjusted vertical position of the first sample,  $x_1$  represents an original horizontal position of the first sample,  $y_1$  represents an original vertical position of the first sample,  $W_1$  represents a width of the current video block, and  $H_1$  represents a height of the current video block.

18. The method of any of claim 16-17, wherein the first video block is rotated with  $180^\circ$ .

19. The method of claim 18, wherein a position of a second sample in the first video block is adjusted in the second adjustment based on the following:

$$x_2' = W_2 - x_2 - 1,$$

$$y_2' = H_2 - y_2 - 1,$$

wherein  $x_2'$  represents an adjusted horizontal position of the second sample,  $y_2'$  represents an adjusted vertical position of the second sample,  $x_2$  represents an original horizontal position of the second sample,  $y_2$  represents an original vertical position of the second sample,  $W_2$  represents a width of the first video block, and  $H_2$  represents a height of the first video block.

20. The method of claim 15, wherein the current video block is rotated clockwise with  $90^\circ$ .

21. The method of claim 20, wherein a position of a first sample in the current video block is adjusted in the first adjustment based on the following:

$$\begin{aligned}x1' &= H1 - y1 - 1, \\y1' &= x1,\end{aligned}$$

wherein  $x1'$  represents an adjusted horizontal position of the first sample,  $y1'$  represents an adjusted vertical position of the first sample,  $x1$  represents an original horizontal position of the first sample,  $y1$  represents an original vertical position of the first sample, and  $H1$  represents a height of the current video block.

22. The method of any of claim 20-21, wherein the first video block is rotated clockwise with  $270^\circ$ .

23. The method of claim 22, wherein a position of a second sample in the first video block is adjusted in the second adjustment based on the following:

$$\begin{aligned}x2' &= y2, \\y2' &= W2 - x2 - 1,\end{aligned}$$

wherein  $x2'$  represents an adjusted horizontal position of the second sample,  $y2'$  represents an adjusted vertical position of the second sample,  $x2$  represents an original horizontal position of the second sample,  $y2$  represents an original vertical position of the second sample, and  $W2$  represents a width of the first video block.

24. The method of claim 15, wherein the current video block is rotated clockwise with  $270^\circ$ .

25. The method of claim 24, wherein a position of a first sample in the current video block is adjusted in the first adjustment based on the following:

$$\begin{aligned}x1' &= y1, \\y1' &= W1 - x1 - 1,\end{aligned}$$

wherein  $x1'$  represents an adjusted horizontal position of the first sample,  $y1'$  represents an adjusted vertical position of the first sample,  $x1$  represents an original horizontal position of the first sample,  $y1$  represents an original vertical position of the first sample, and  $W1$  represents a width of the current video block.

26. The method of any of claim 24-25, wherein the first video block is rotated clockwise with 90°.

27. The method of claim 26, wherein a position of a second sample in the first video block is adjusted in the second adjustment based on the following:

$$x2' = H2 - y2 - 1,$$

$$y2' = x2,$$

wherein  $x2'$  represents an adjusted horizontal position of the second sample,  $y2'$  represents an adjusted vertical position of the second sample,  $x2$  represents an original horizontal position of the second sample,  $y2$  represents an original vertical position of the second sample, and  $H2$  represents a height of the first video block.

28. The method of any of claims 1-27, wherein if a dimension of the first video block is different from a dimension of the current video block, dimension information regarding at least one of the following is indicated in the bitstream:

- a width of the current video block,
- a height of the current video block,
- a width of the first video block, or
- a height of the first video block.

29. The method of claim 28, wherein the dimension information is indicated in one of the following:

- a sequence parameter set (SPS),
- a picture parameter set (PPS),
- a picture header,
- a slice header,
- a coding tree unit (CTU), or
- a coding unit (CU).

30. The method of any of claims 1-29, wherein a corresponding relationship between luma and chroma samples of the first video block is adjusted for a cross-component prediction coding tool.

31. The method of any of claims 1-29, wherein information regarding at least one of the following is dependent on a color format of the current video block:

whether to adjust a corresponding relationship between luma and chroma samples of the first video block for a cross-component prediction coding tool, or

how to adjust the corresponding relationship.

32. The method of any of claims 30-31, wherein the cross-component prediction coding tool comprises at least one of the following:

a cross-component linear model (CCLM) mode,

an L-CCLM mode,

a T-CCLM mode, or

an MM-CCLM mode.

33. The method of any of claims 31-32, wherein if the color format is format 4:4:4, the corresponding relationship is not adjusted.

34. The method of any of claims 31-33, wherein if the color format is format 4:2:0 or format 4:2:2, the corresponding relationship is adjusted.

35. The method of any of claims 30-34, wherein if the color format is format 4:2:0, the corresponding relationship is adjusted in a first manner, and if the color format is format 4:2:2, the corresponding relationship is adjusted in a second manner different from the first manner.

36. The method of any of claims 30-35, wherein a type of a filter for adjusting the corresponding relationship is dependent on at least one of the following:

a location of the current video block, or

the color format.

37. The method of claim 36, wherein if the color format is format 4:2:0 and the current video block is not located on boundaries of a CTU of the video, the filter is a 6-tap filter.

38. The method of any of claim 36-37, wherein if the color format is format 4:2:0 and the current video block is located on a boundary of a CTU of the video, the filter is a 3-tap filter.

39. The method of any of claim 36-38, wherein if the color format is format 4:2:2, the filter is a 3-tap filter.

40. The method of any of claims 30-39, wherein the adjusted corresponding relationship is the same as a corresponding relationship between luma and chroma samples of the current video block.

41. The method of any of claims 1-40, wherein the first adjustment is determined based on a rate-distortion optimization (RDO) process.

42. The method of claim 41, wherein luma and chroma rate-distortion (RD) costs are determined for a plurality of candidate adjustment schemes, and the first adjustment comprises a candidate adjustment scheme with the least cost.

43. The method of any of claims 1-42, wherein information regarding whether the second adjustment is allowed or enabled for a third video block is dependent on coding information of the third video block, the third video block being obtained from the bitstream and different from the second video block.

44. The method of claim 43, wherein the coding information comprises at least one of the following:

- a picture type,
- a slice type,
- a temporal layer,
- a quantization parameter (QP),
- a color format,
- a color component,
- a coding mode, or
- a dimension.

45. The method of any of claims 43-44, wherein if the third video block is on an I-slice, the second adjustment is allowed for the third video block.

46. The method of any of claims 43-45, wherein if a height of the third video block is larger than or equal to a threshold, the second adjustment is disallowed for the third video block.

47. The method of claim 46, wherein the threshold is 1080 pixels.

48. The method of any of claims 1-47, wherein at least one syntax element indicating information regarding at least one of the following is included in the bitstream:

whether to apply the second adjustment on the first video block, or  
how to apply the second adjustment on the first video block.

49. The method of claim 48, wherein the at least one syntax element is included in one of the following:

a sequence parameter set (SPS),  
a picture parameter set (PPS),  
an adaptation parameter sets (APS),  
a picture header,  
a slice header,  
a coding tree unit (CTU), or  
a coding unit (CU).

50. The method of any of claims 48-49, wherein the at least one syntax element comprises a first syntax element indicating whether to apply the second adjustment on the first video block.

51. The method of any of claims 48-50, wherein the at least one syntax element comprises a second syntax element indicating how to apply the second adjustment on the first video block.

52. The method of claim 50, wherein if the first syntax element indicates that the second adjustment is applied on the first video block, the at least one syntax element further comprises a second syntax element indicating how to apply the second adjustment on the first video block.

53. The method of any of claims 48-49, wherein the at least one syntax element comprises a single syntax element indicating whether to apply the second adjustment on the first video block and how to apply the second adjustment on the first video block.

54. The method of any of claims 48-53, wherein the at least one syntax element is coded with one the following:

- a fixed length coding,
- an exponential Golomb (EG) coding,
- a truncated unary coding, or
- a unary coding.

55. The method of any of claims 48-53, wherein the at least one syntax element is coded with at least one context in arithmetic coding.

56. The method of any of claims 48-53, wherein the at least one syntax element is bypass coded.

57. The method of any of claims 1-47, wherein if the second adjustment is allowed for the first video block, at least one syntax element indicating information regarding at least one of the following is included in the bitstream:

- whether to apply the second adjustment on the first video block, or
- how to apply the second adjustment on the first video block.

58. The method of any of claims 1-57, wherein the current video block is comprised in a current frame of the video, and the current frame is coded with a predetermined CU size and a predetermined splitting scheme.

59. The method of claim 58, wherein the predetermined splitting scheme comprises a quad tree splitting.

60. The method of any of claims 58-59, wherein the predetermined CU size comprises one of the following:

- 128 pixels × 128 pixels,
- 64 pixels × 64 pixels,

32 pixels × 32 pixels,  
16 pixels × 16 pixels, or  
8 pixels × 8 pixels.

61. The method of any of claims 1-60, wherein the current video block is a picture.

62. The method of any of claims 1-61, wherein whether to and/or how to apply the method is indicated at one of the following:

a sequence level,  
a group of pictures level,  
a picture level,  
a slice level, or  
a tile group level.

63. The method of any of claims 1-61, wherein whether to and/or how to apply the method is indicated in one of the following:

a sequence header,  
a picture header,  
a sequence parameter set (SPS),  
a video parameter set (VPS),  
a dependency parameter set (DPS),  
a decoding capability information (DCI),  
a picture parameter set (PPS),  
an adaptation parameter sets (APS),  
a slice header, or  
a tile group header.

64. The method of any of claims 1-63, wherein whether to and/or how to apply the method is dependent on coded information of the current video block.

65. The method of claim 64, wherein the coded information comprises at least one of the following:

a block size,  
a color format,

a single tree partitioning,  
a dual tree partitioning,  
a color component,  
a slice type, or  
a picture type.

66. The method of any of claims 1-65, wherein the method is applicable in a coding tool requiring frame relocation or CCLM adjustment.

67. The method of any of claims 1-66, wherein the conversion includes encoding the video into the bitstream.

68. The method of any of claims 1-66, wherein the conversion includes decoding the video from the bitstream.

69. An apparatus for video processing comprising a processor and a non-transitory memory with instructions thereon, wherein the instructions upon execution by the processor, cause the processor to perform a method in accordance with any of claims 1-68.

70. A non-transitory computer-readable storage medium storing instructions that cause a processor to perform a method in accordance with any of claims 1-68.

71. A non-transitory computer-readable recording medium storing a bitstream of a video which is generated by a method performed by an apparatus for video processing, wherein the method comprises:

obtaining a first video block, the first video block being generated based on a first adjustment of a current video block of the video, the first adjustment comprising at least one of adjusting an orientation of the current video block or adjusting positions of samples in the current video block;

generating a second video block based on a second adjustment of the first video block, the second adjustment being an inverse process of the first adjustment; and

generating the bitstream based on the second video block.

72. A method for storing a bitstream of a video, comprising:

obtaining a first video block, the first video block being generated based on a first adjustment of a current video block of the video, the first adjustment comprising at least one of adjusting an orientation of the current video block or adjusting positions of samples in the current video block;

generating a second video block based on a second adjustment of the first video block, the second adjustment being an inverse process of the first adjustment;

generating the bitstream based on the second video block; and

storing the bitstream in a non-transitory computer-readable recording medium.

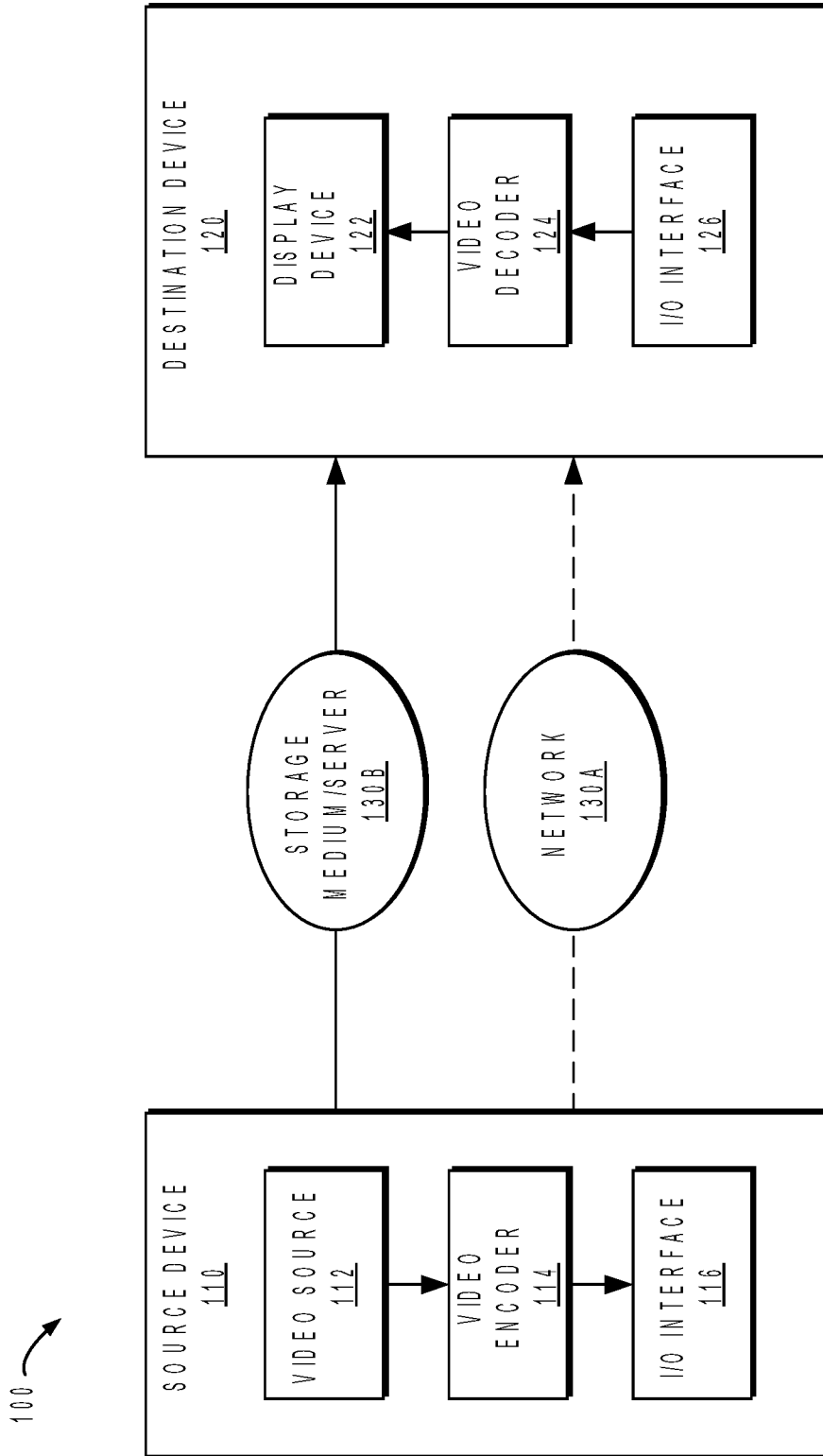


Fig. 1

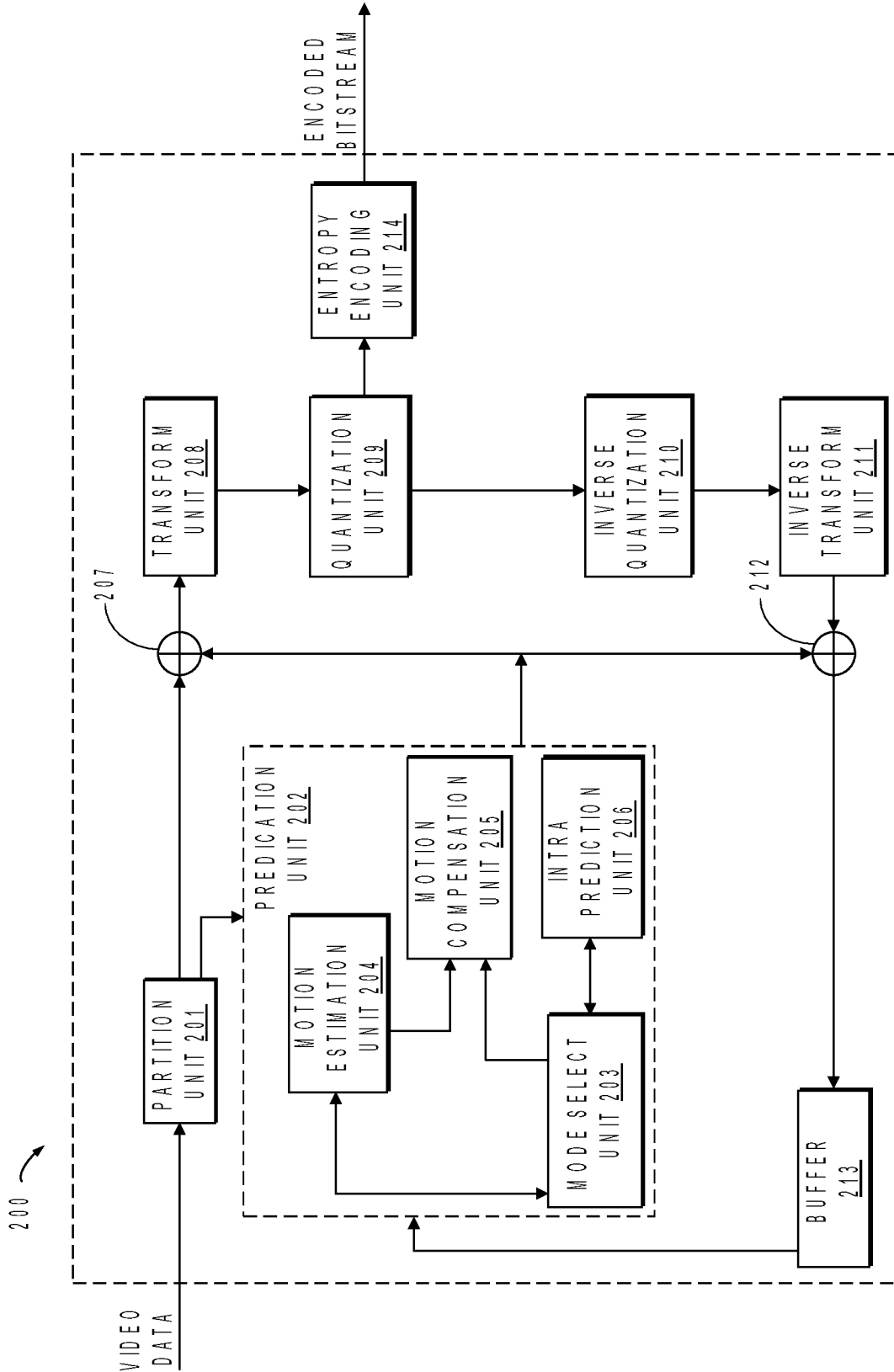


Fig. 2

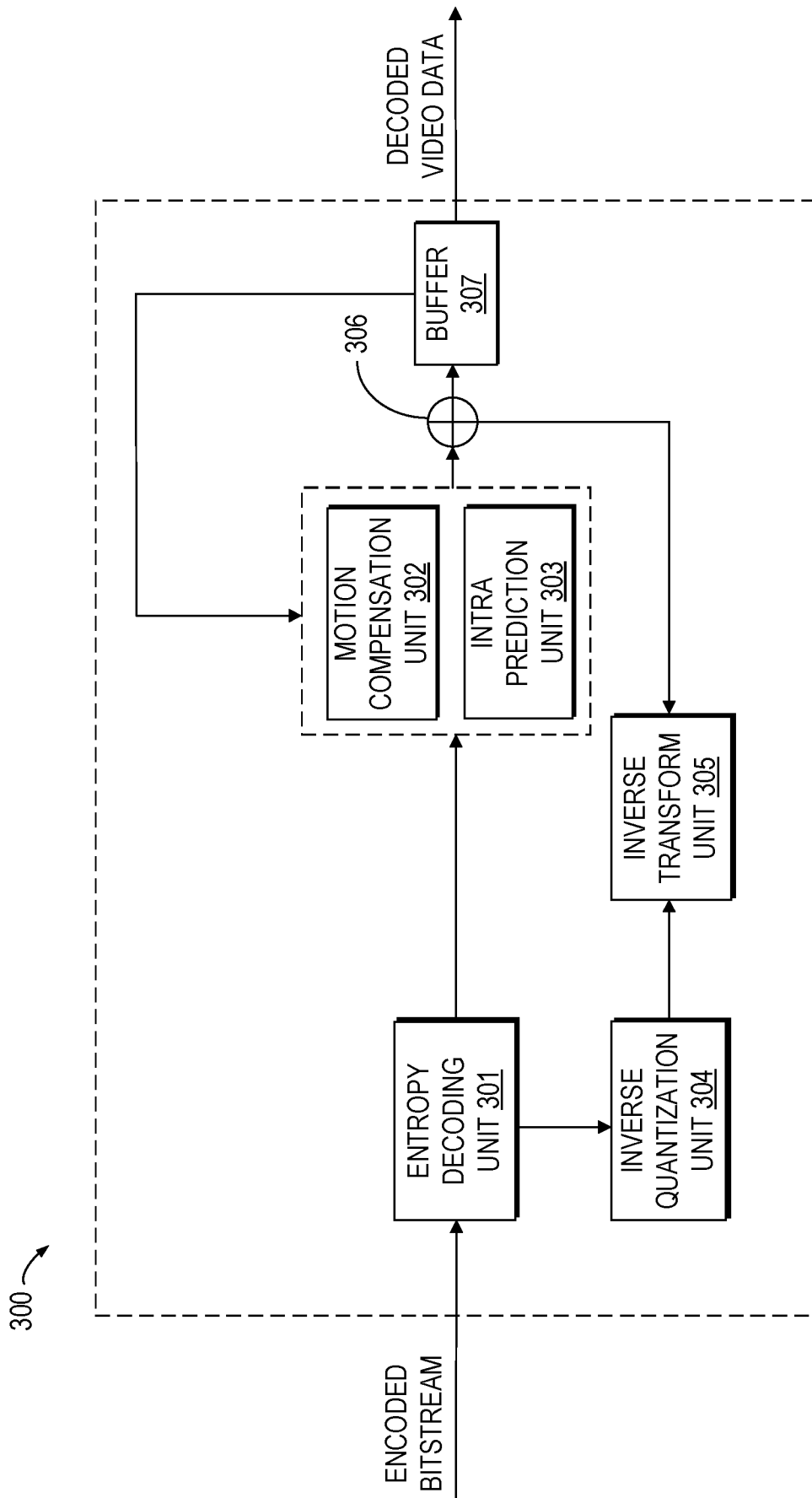


Fig. 3

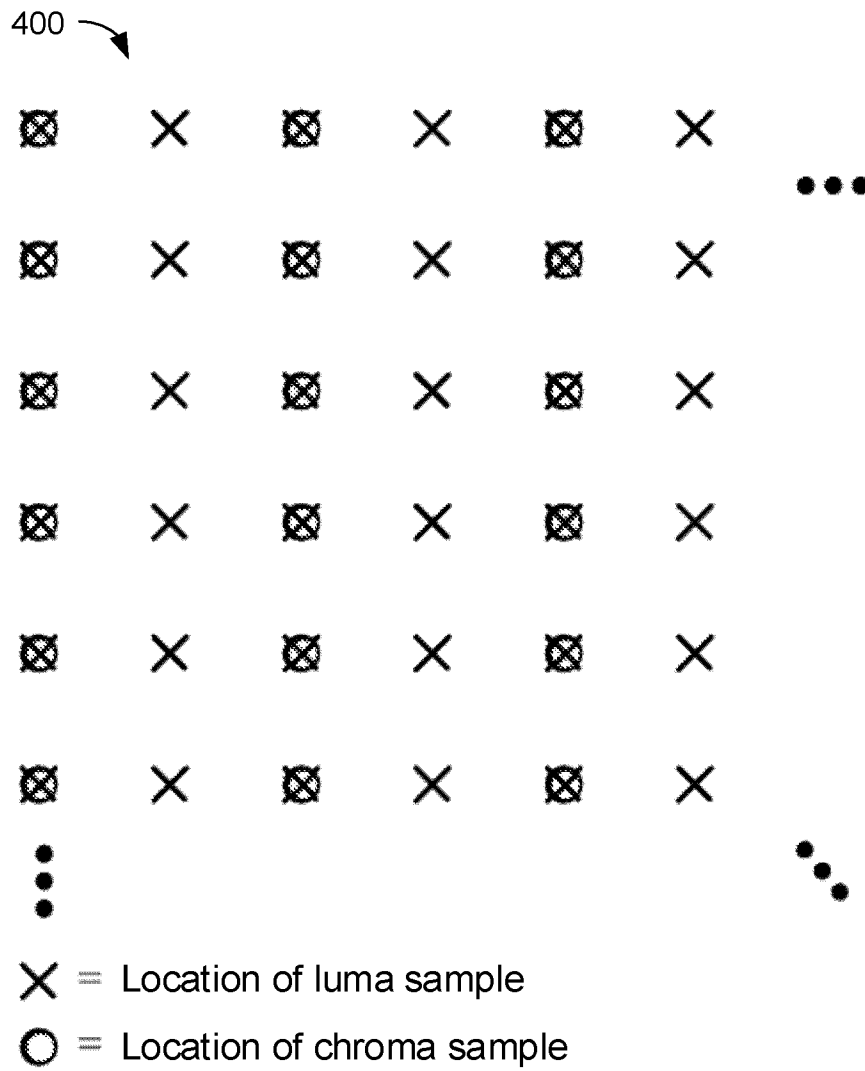


Fig. 4

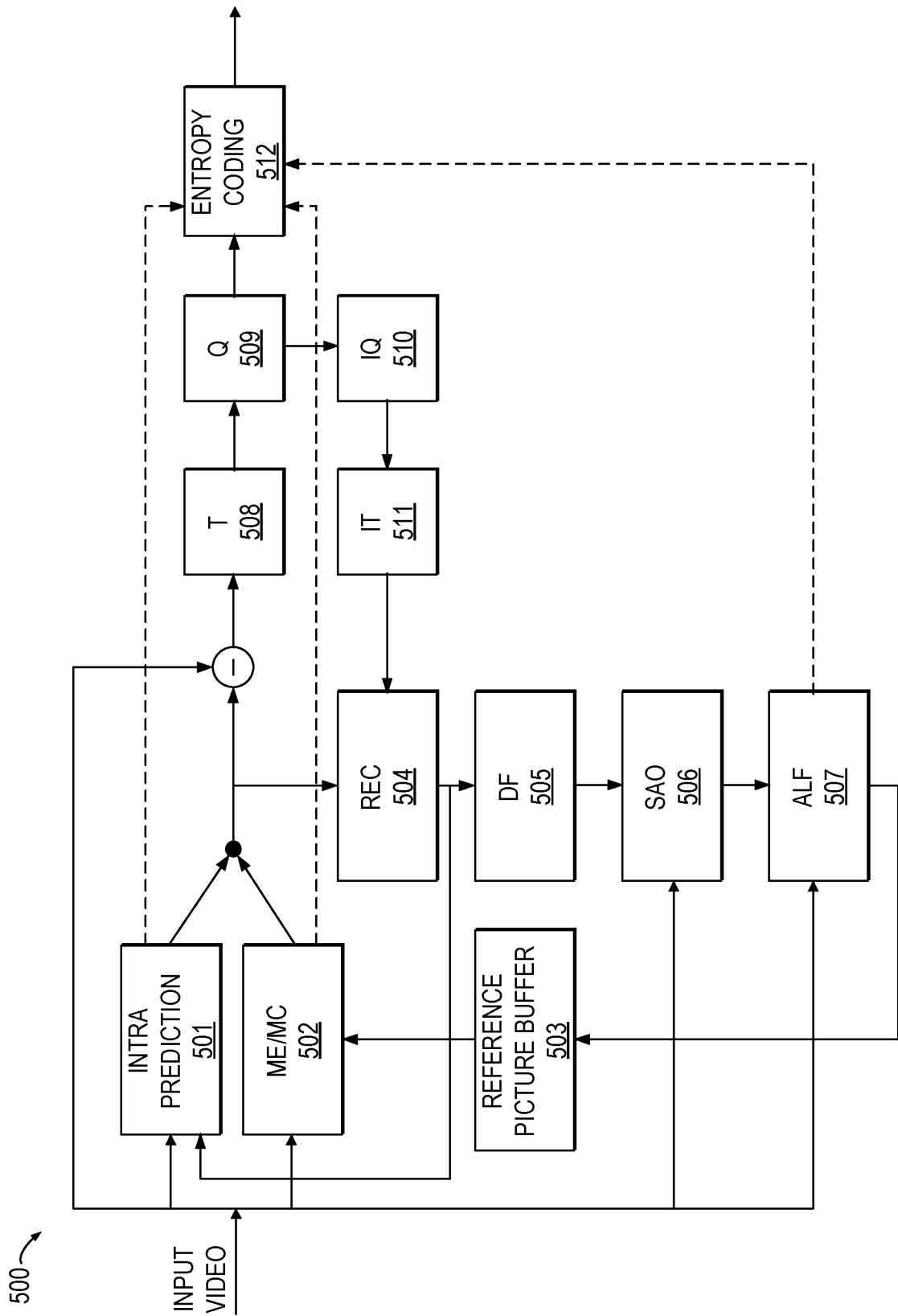


Fig. 5

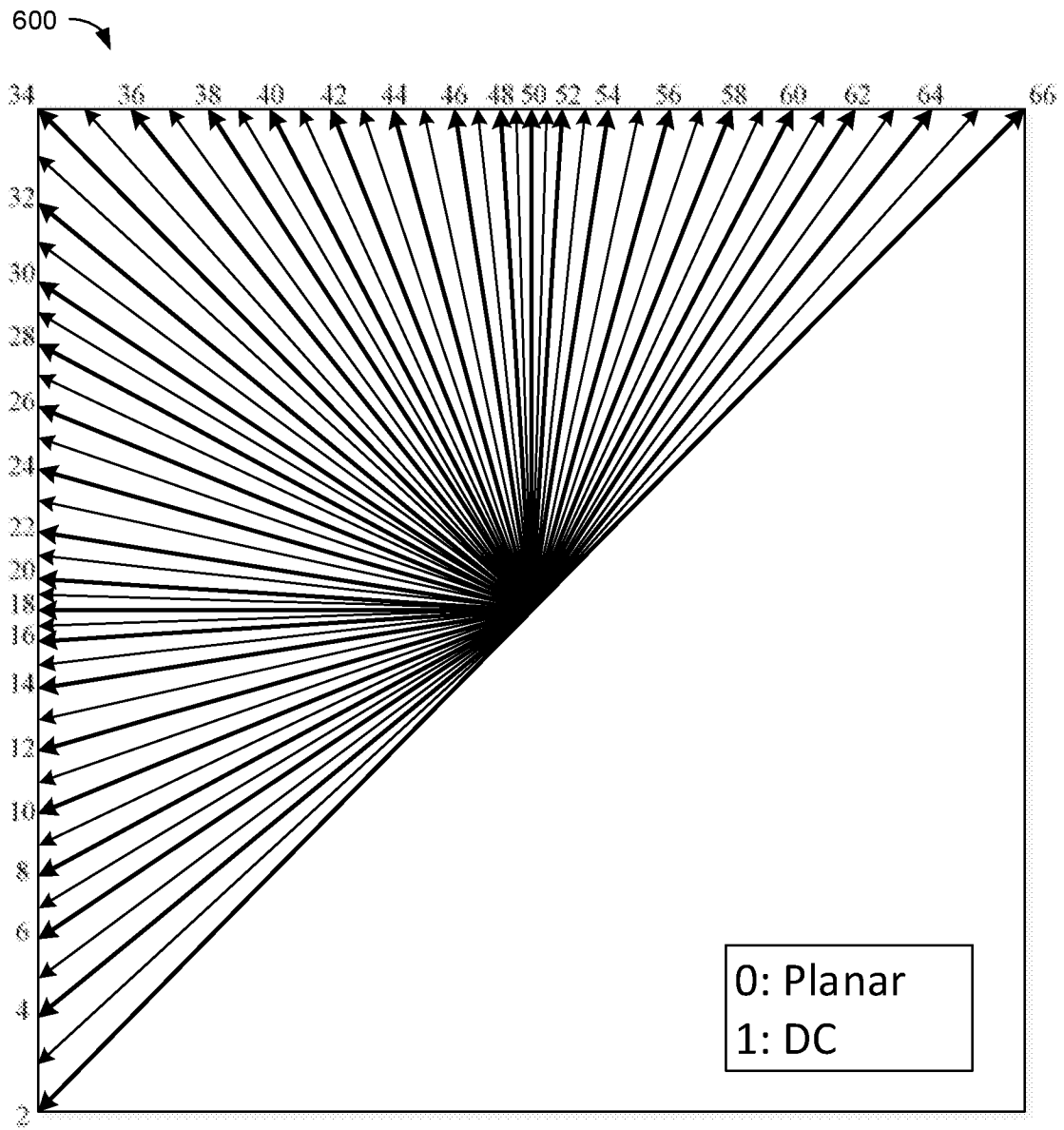


Fig. 6

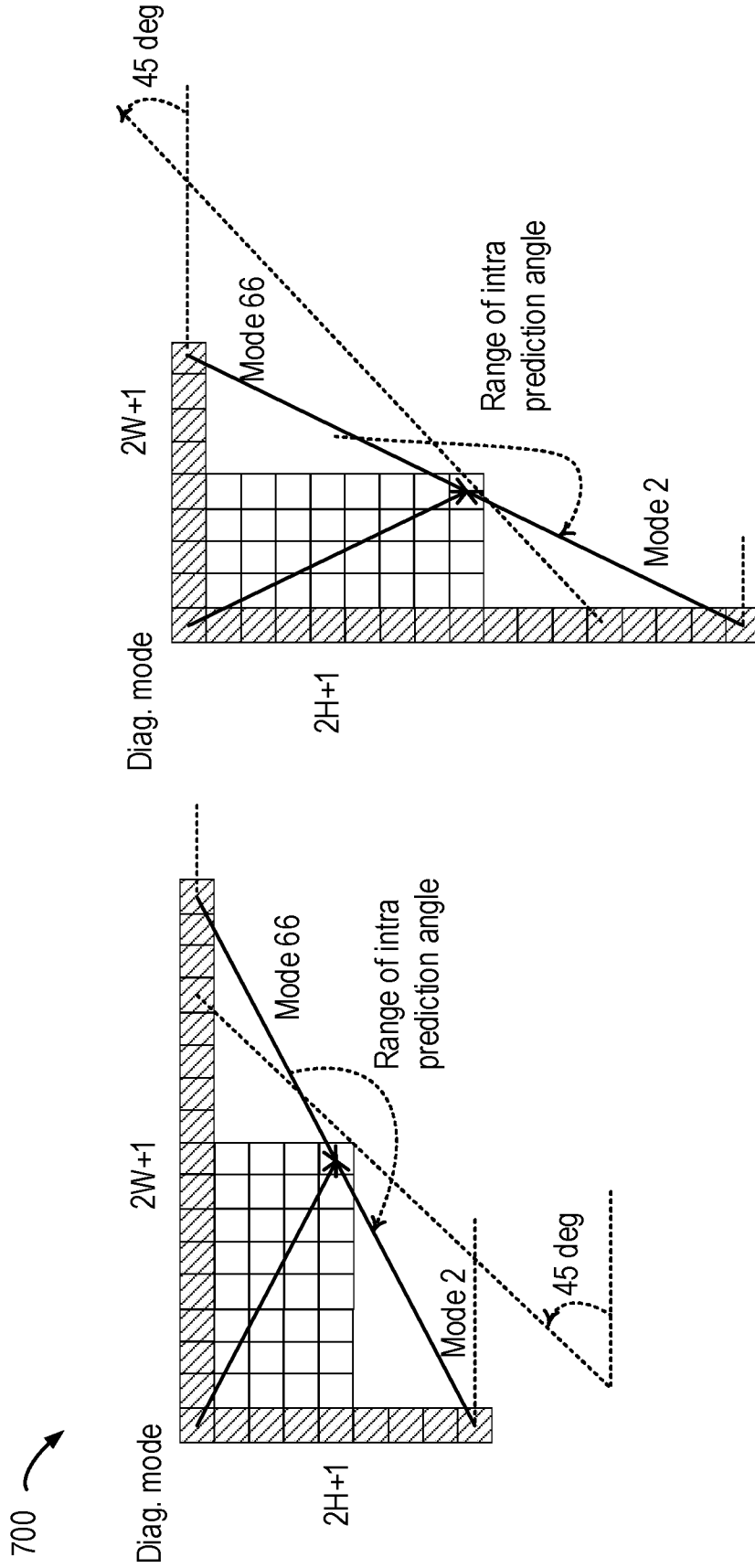


Fig. 7

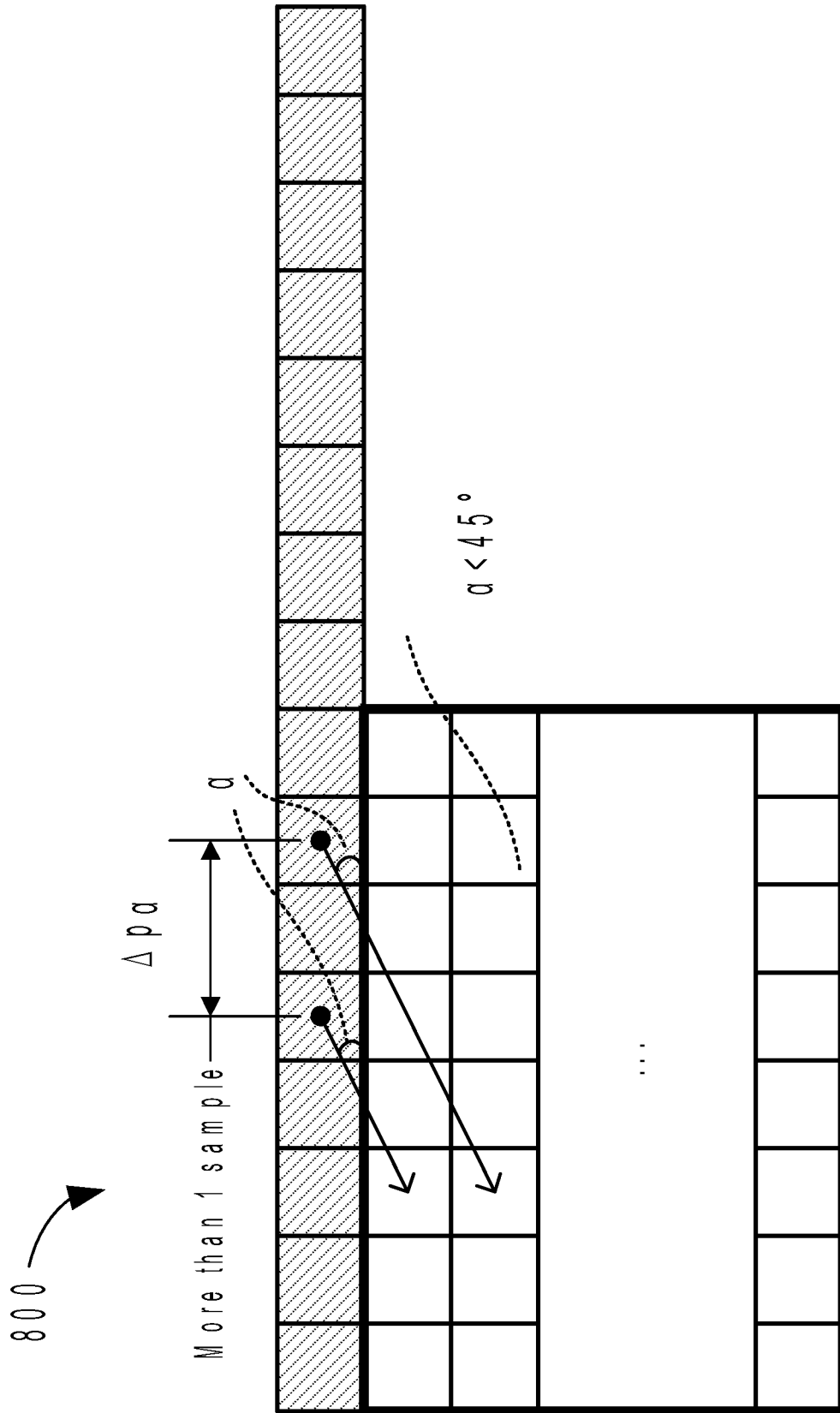


Fig. 8

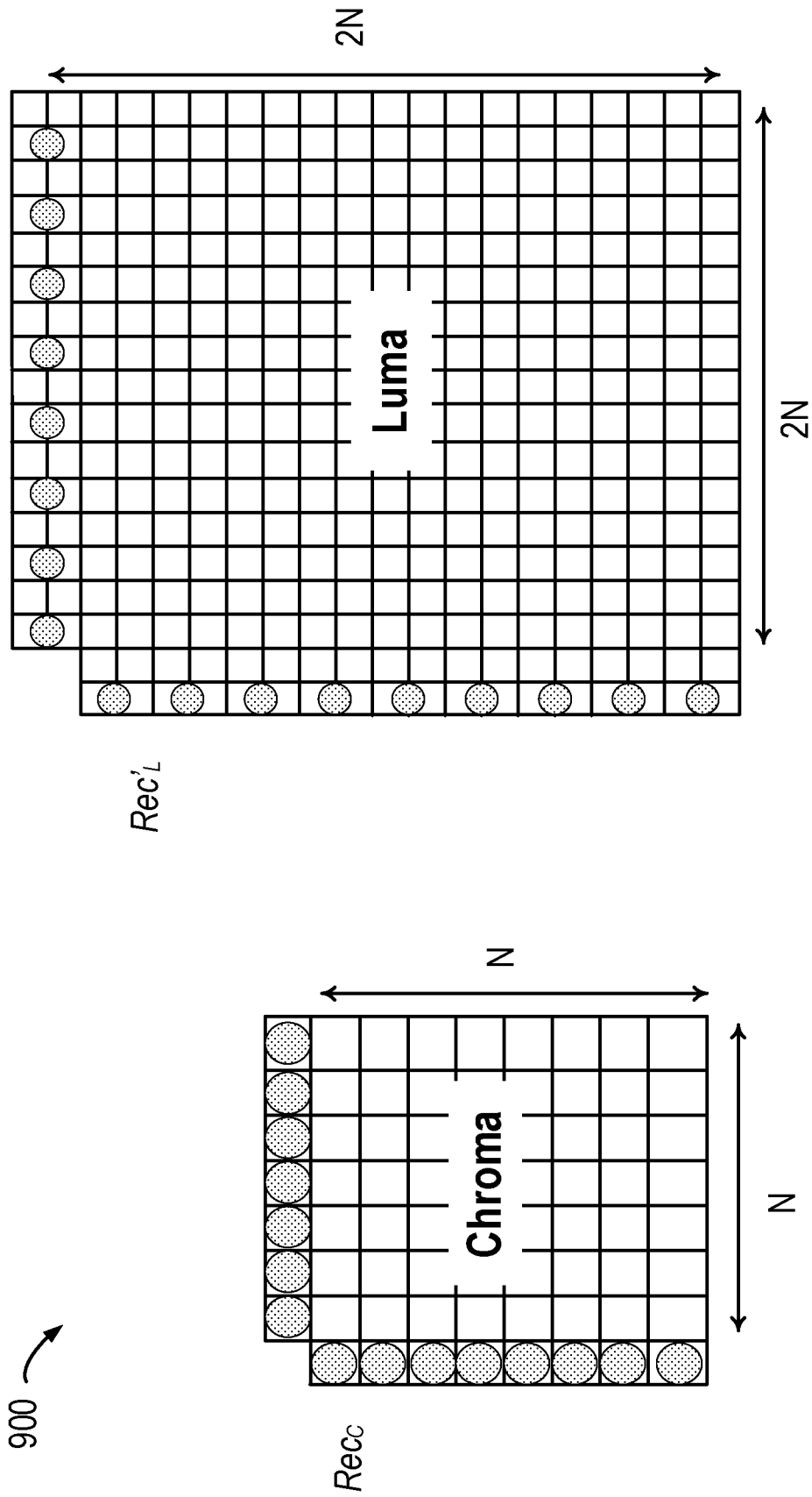


Fig. 9

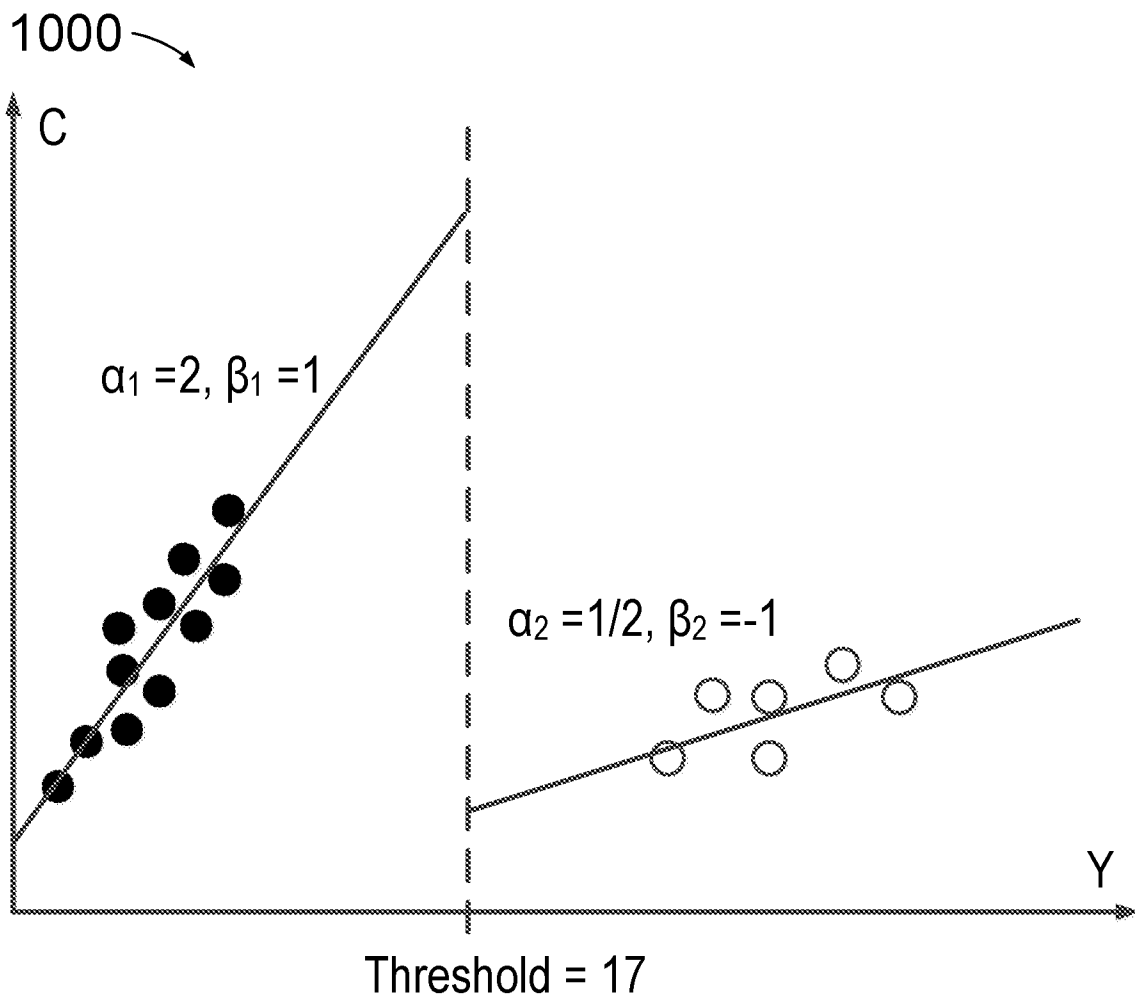


Fig. 10

1102

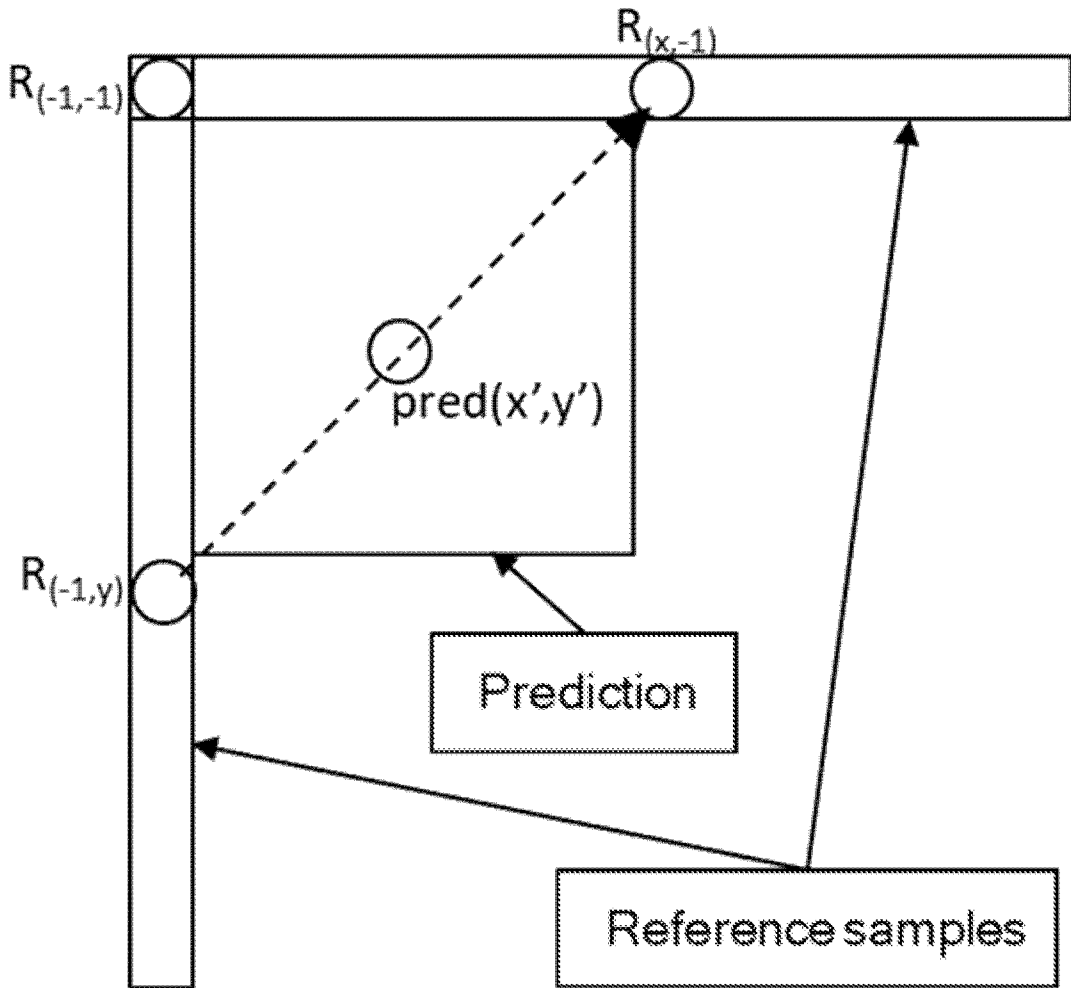


Fig. 11A

1104 ↘

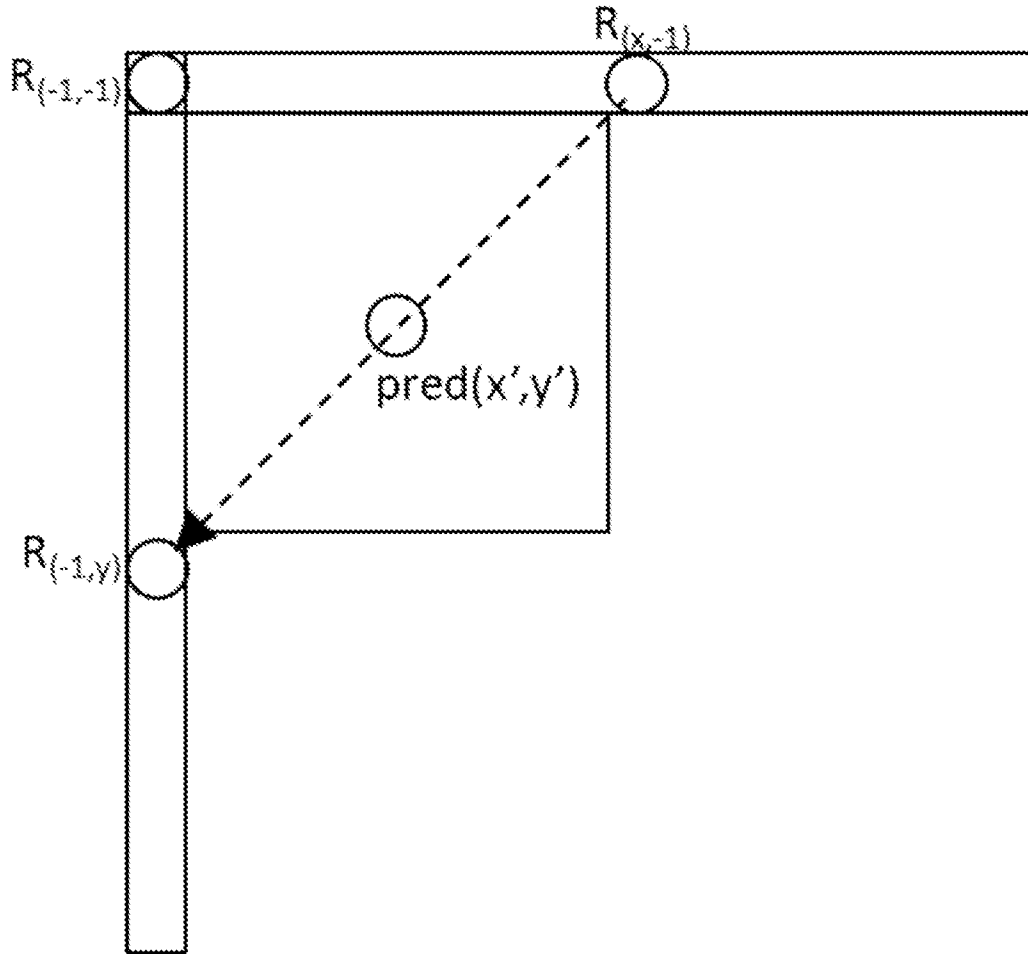


Fig. 11B

1106

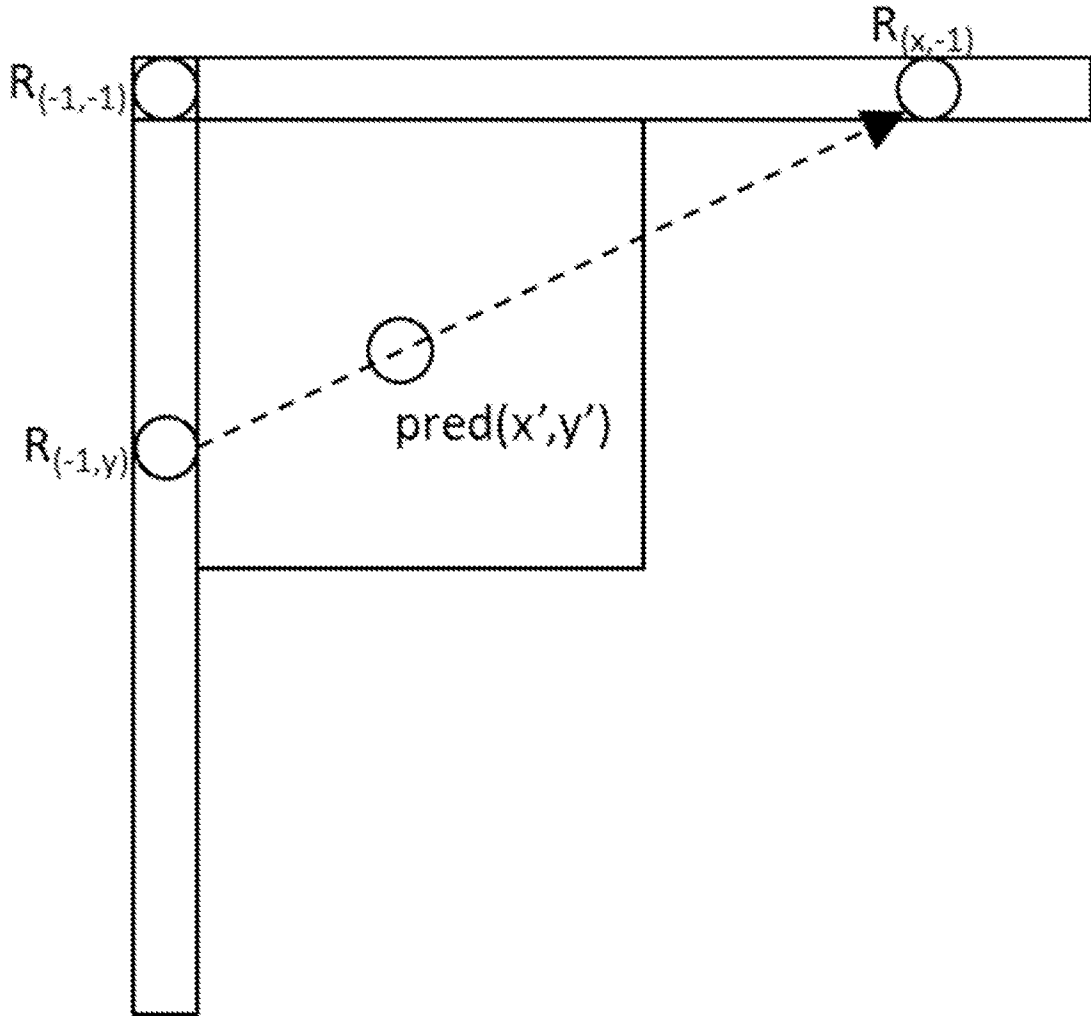


Fig. 11C

1108 →

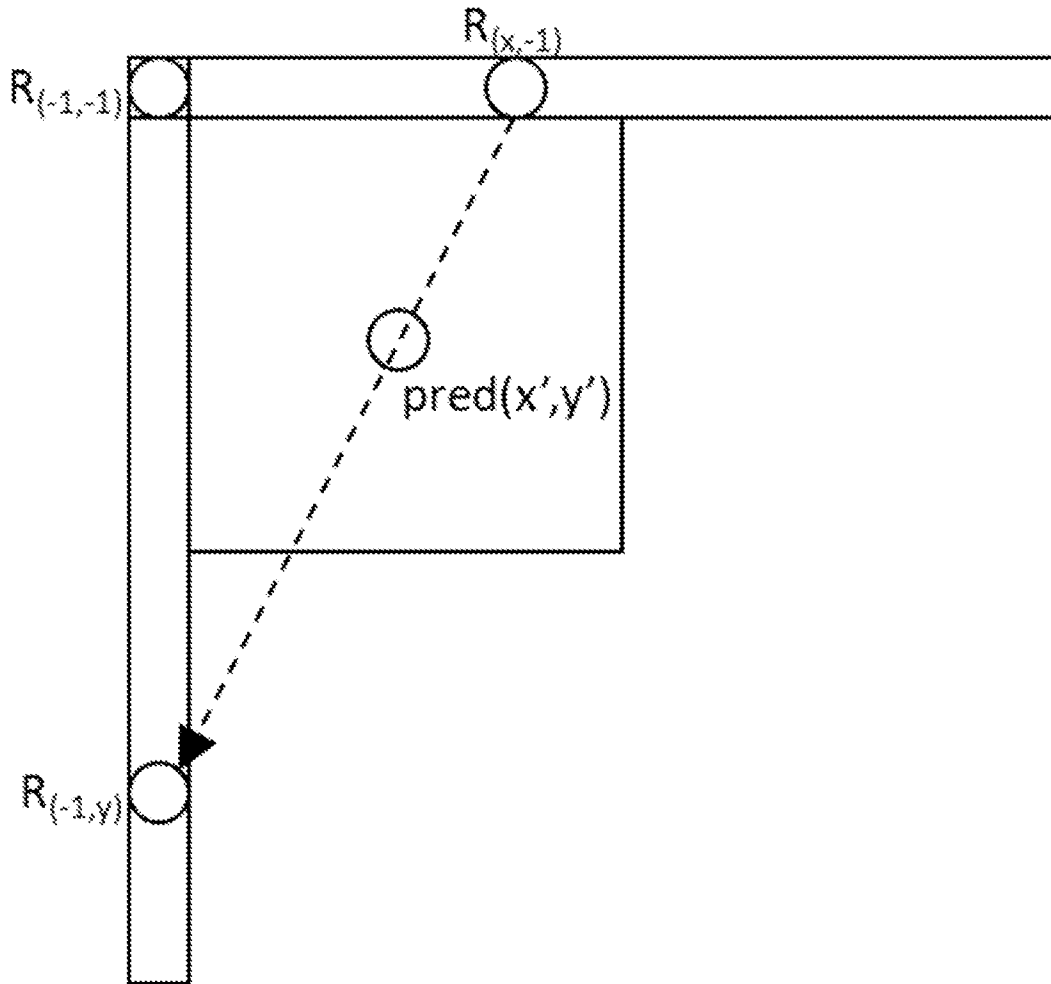


Fig. 11D

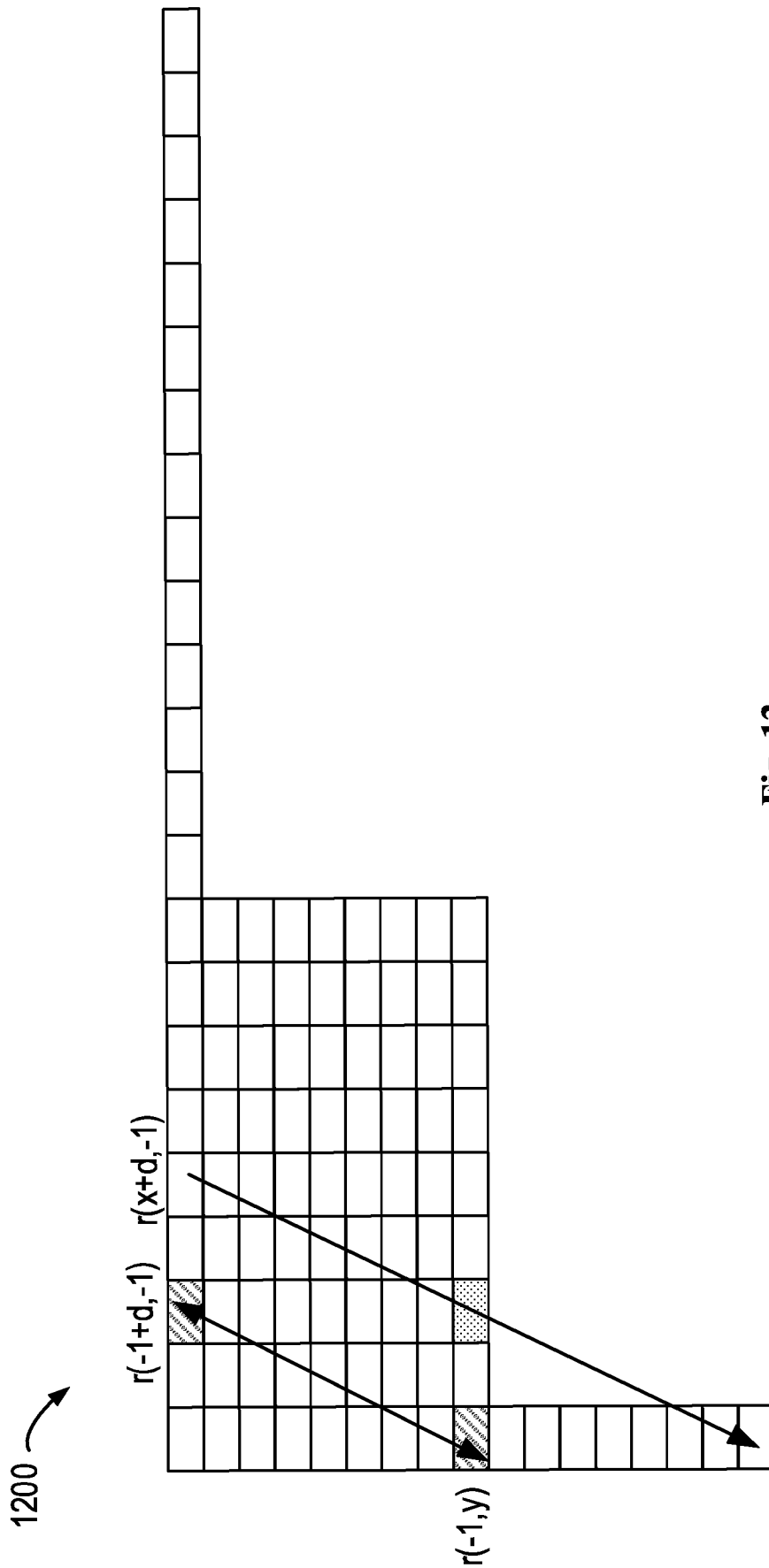


Fig. 12

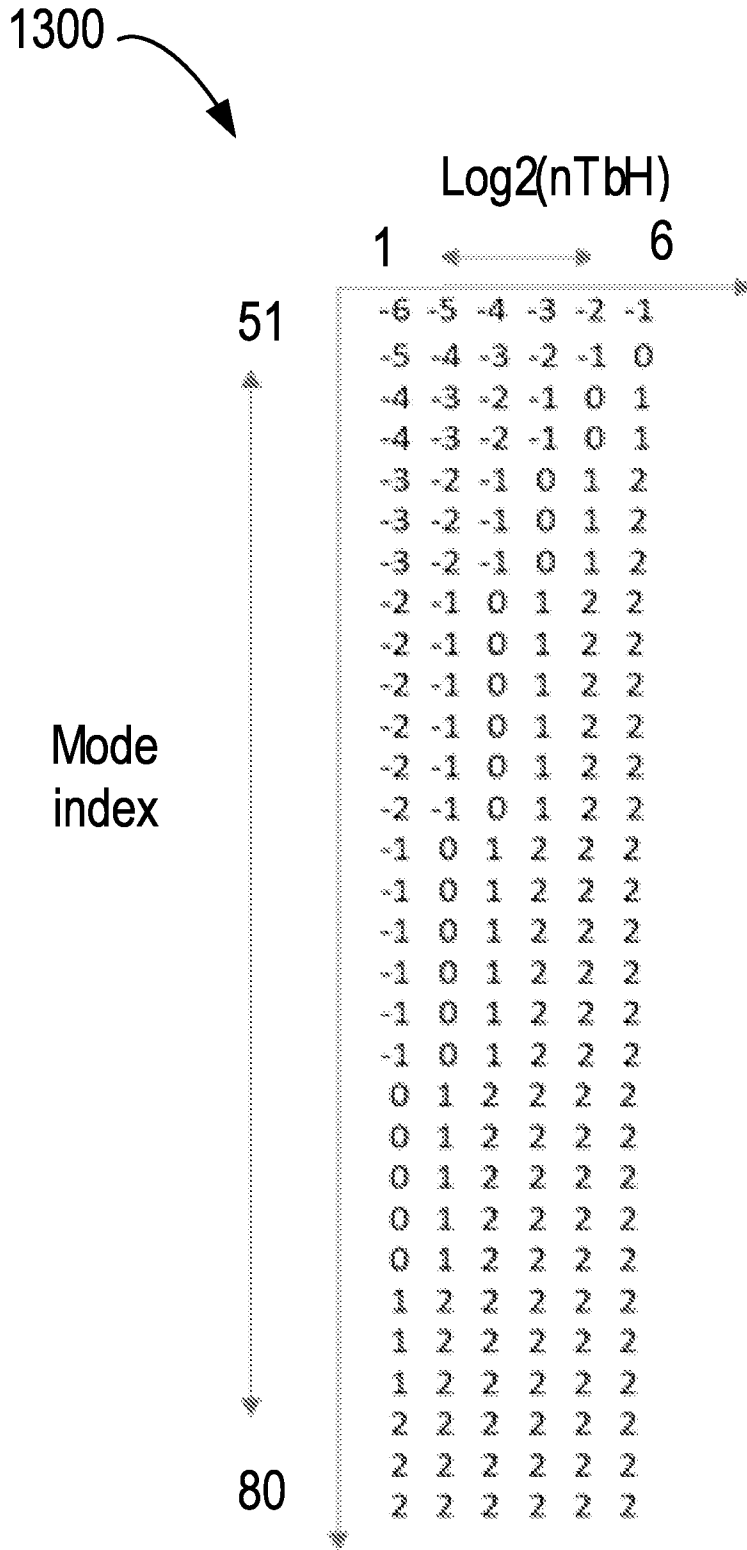


Fig. 13

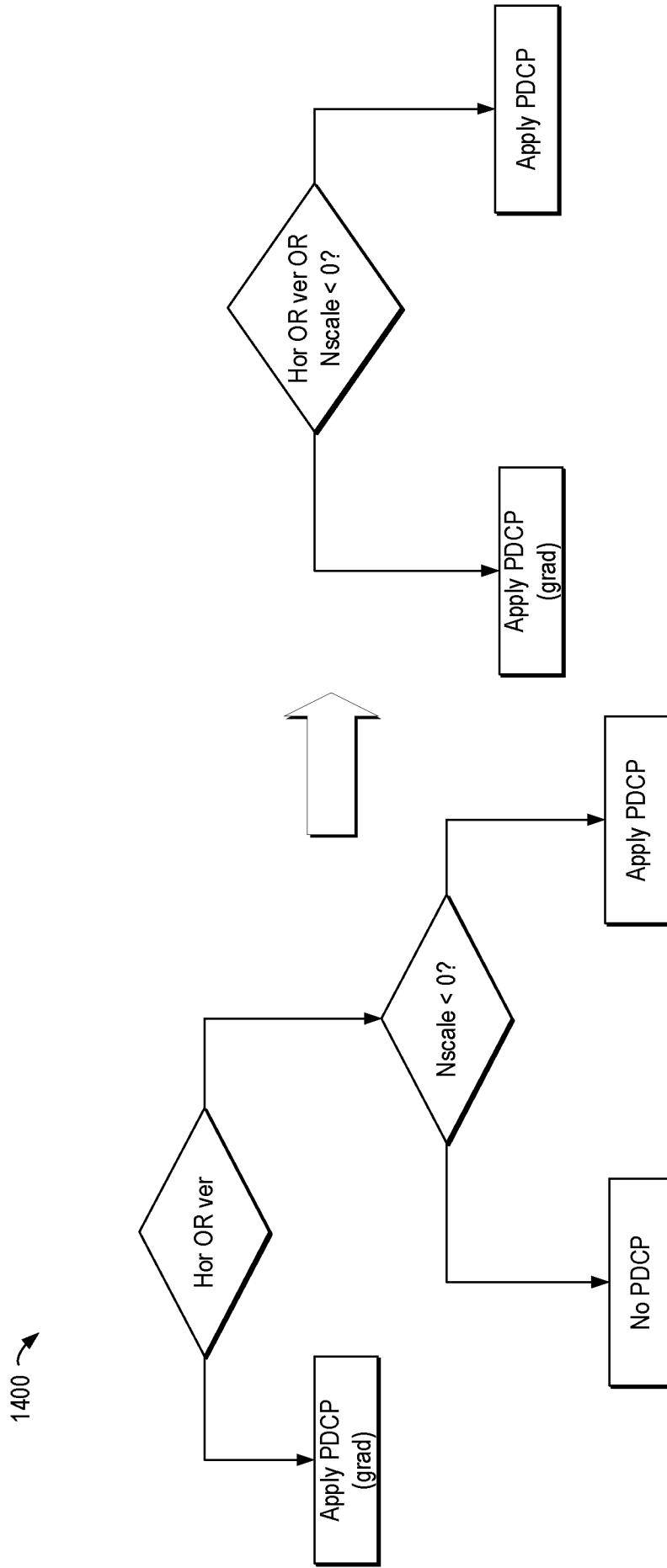


Fig. 14

1500

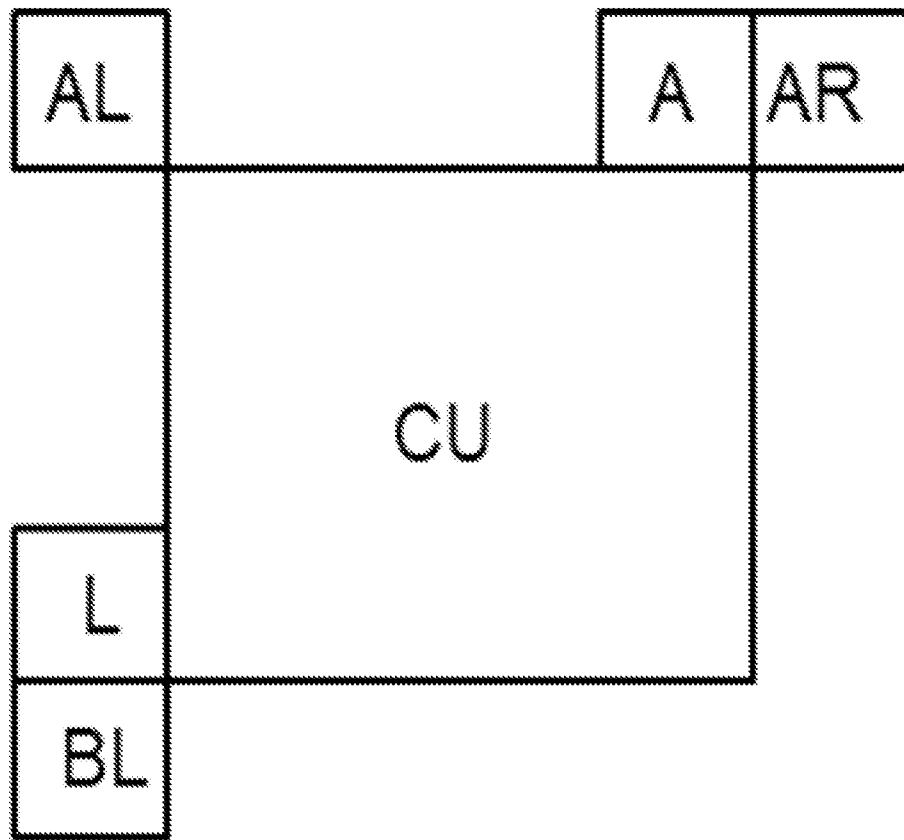


Fig. 15

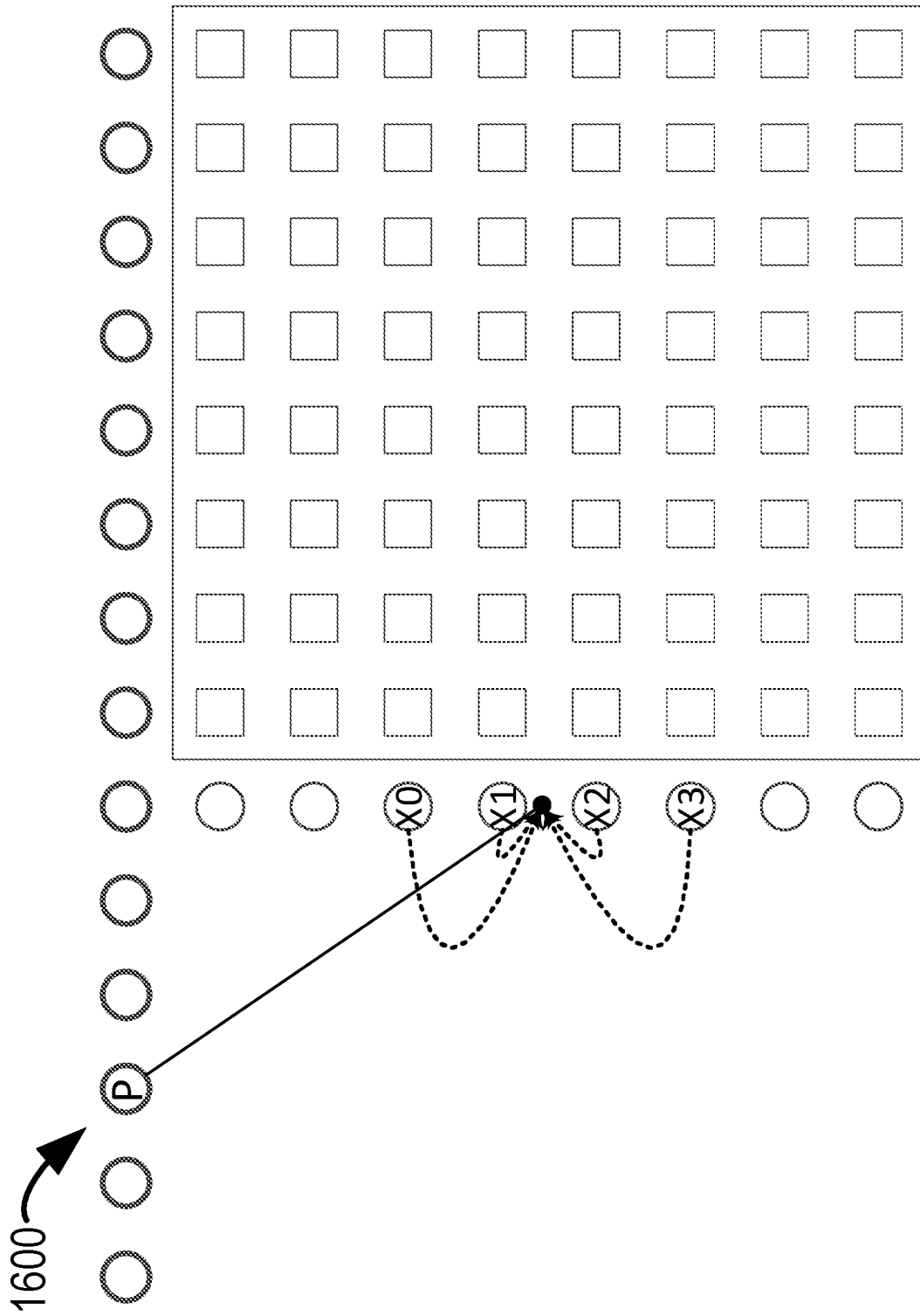


Fig. 16

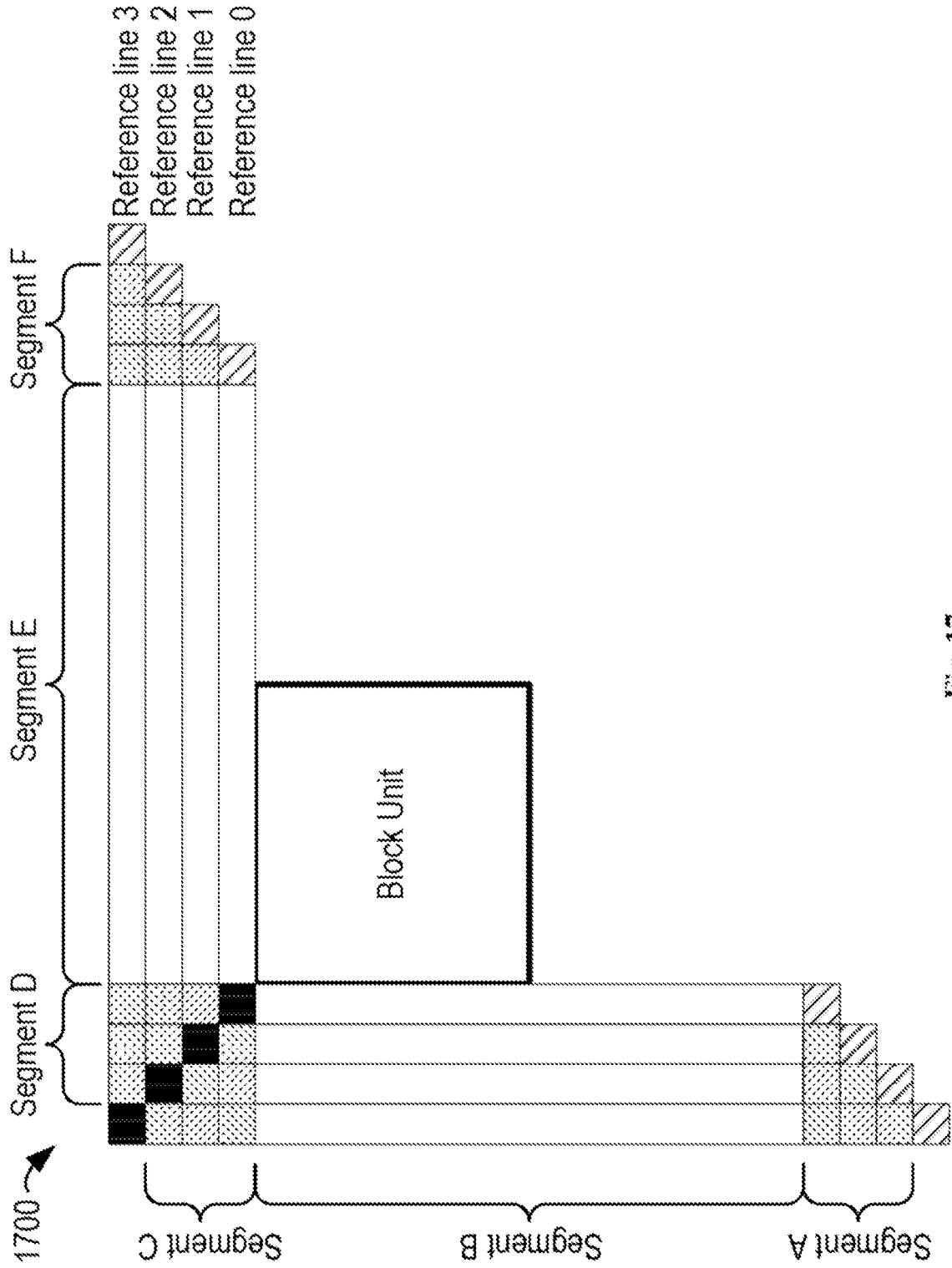


Fig. 17

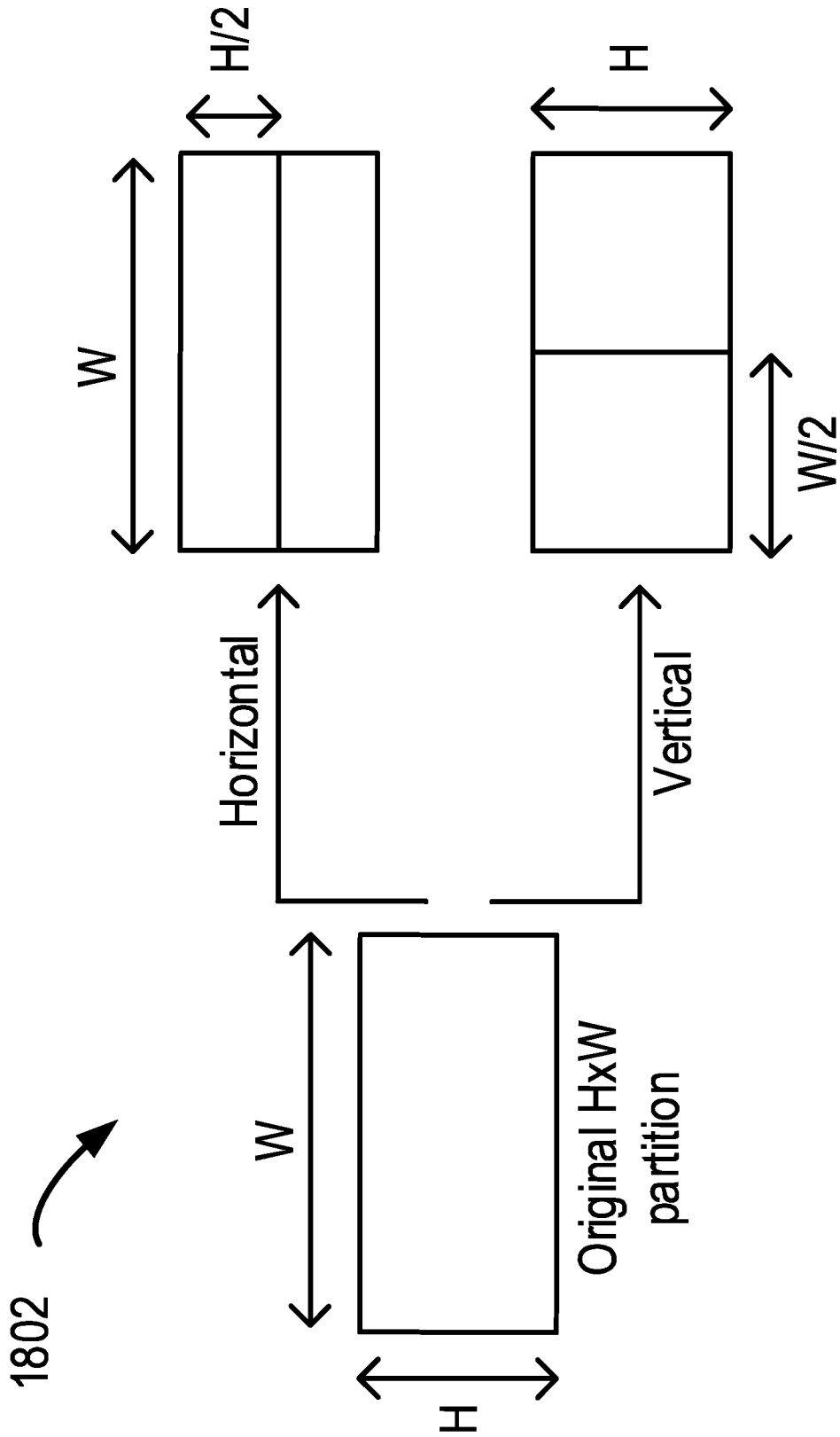


Fig. 18A

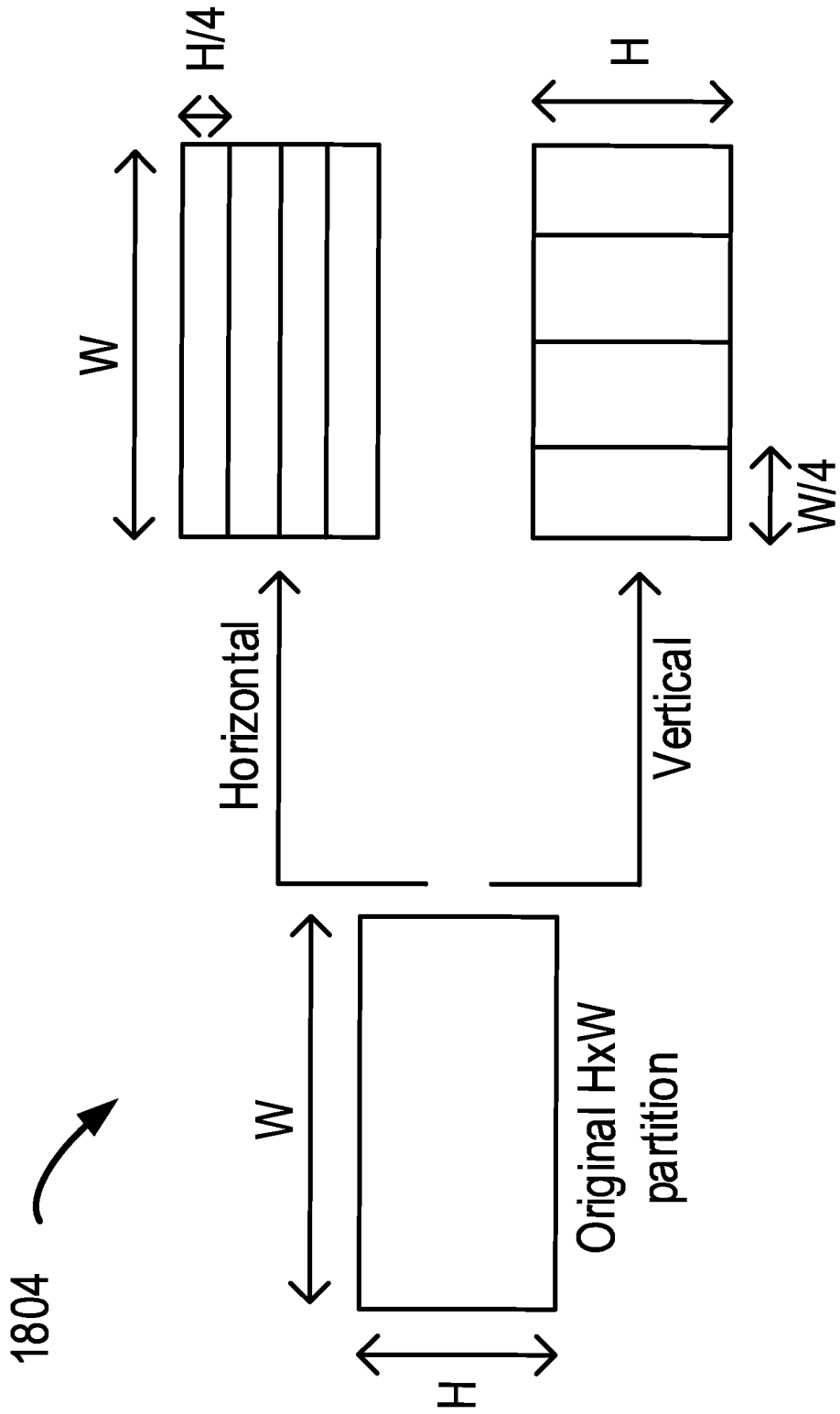


Fig. 18B

1900 ↷

1. Averaging

2. Matrix-Vector-Multiplication

3. Interpolation

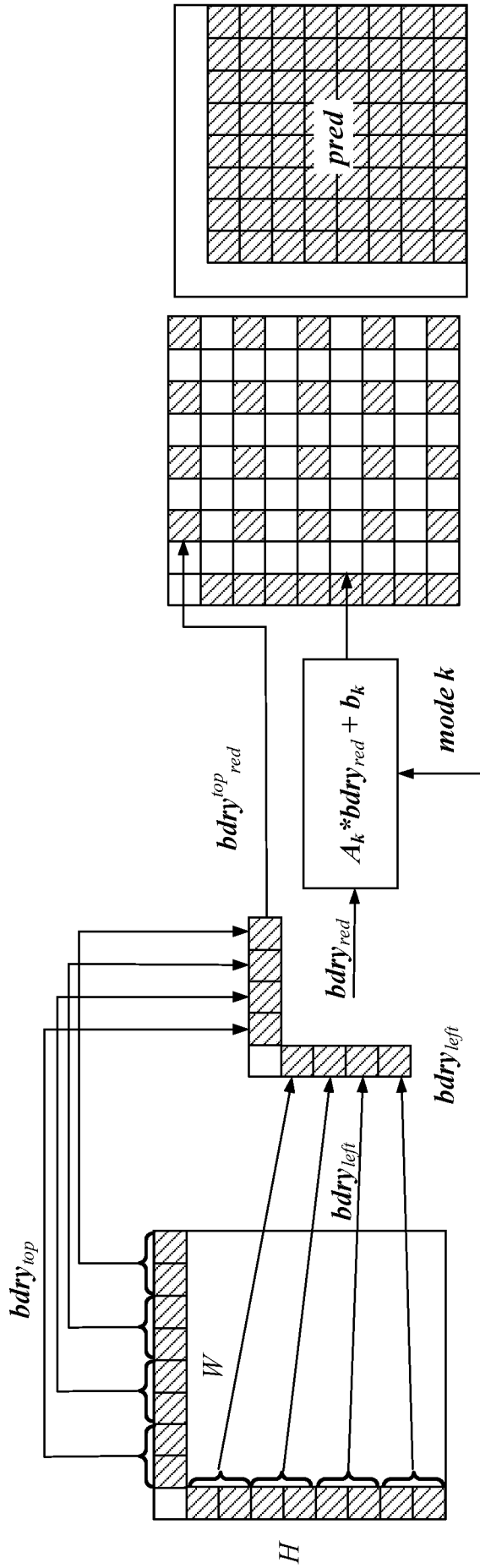


Fig. 19

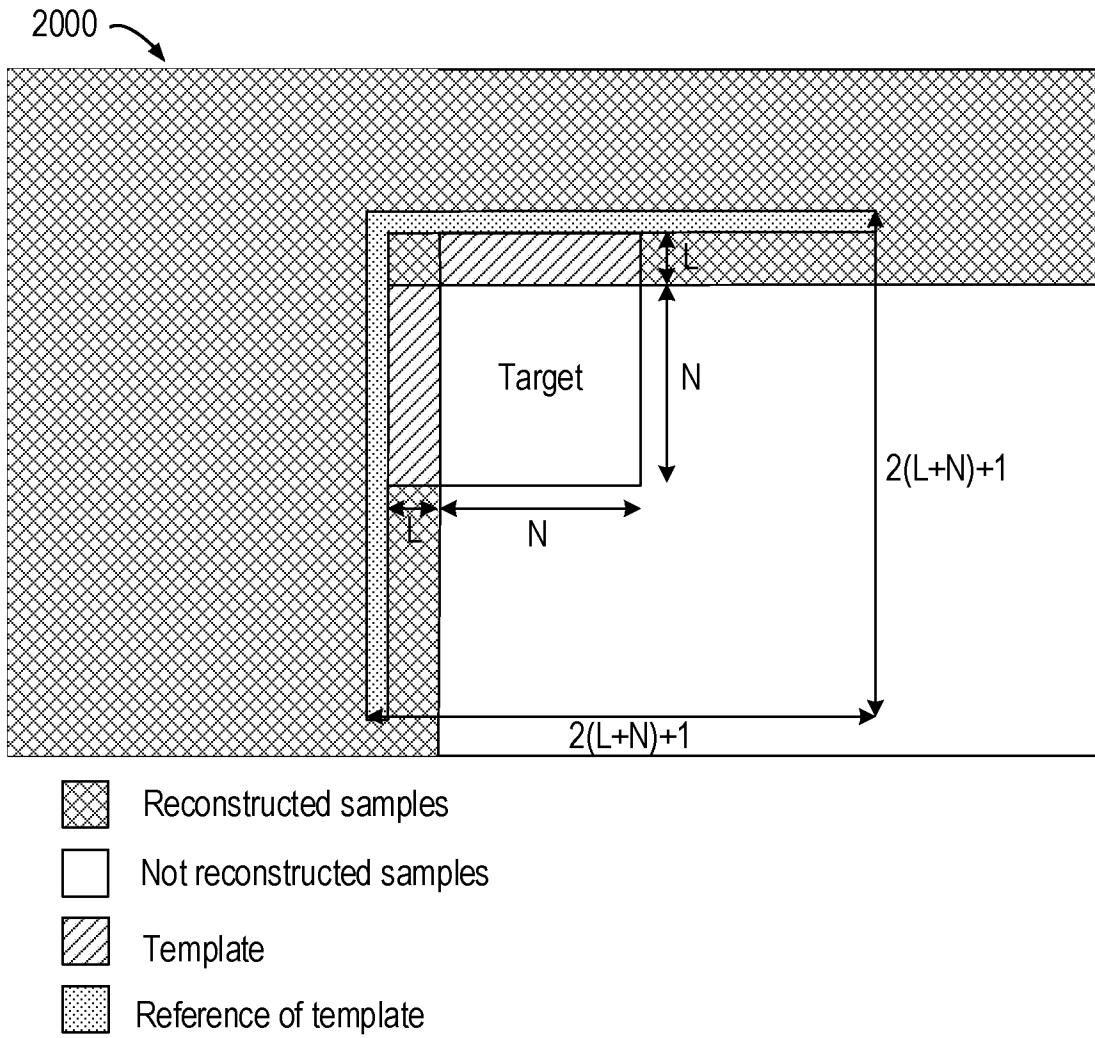


Fig. 20

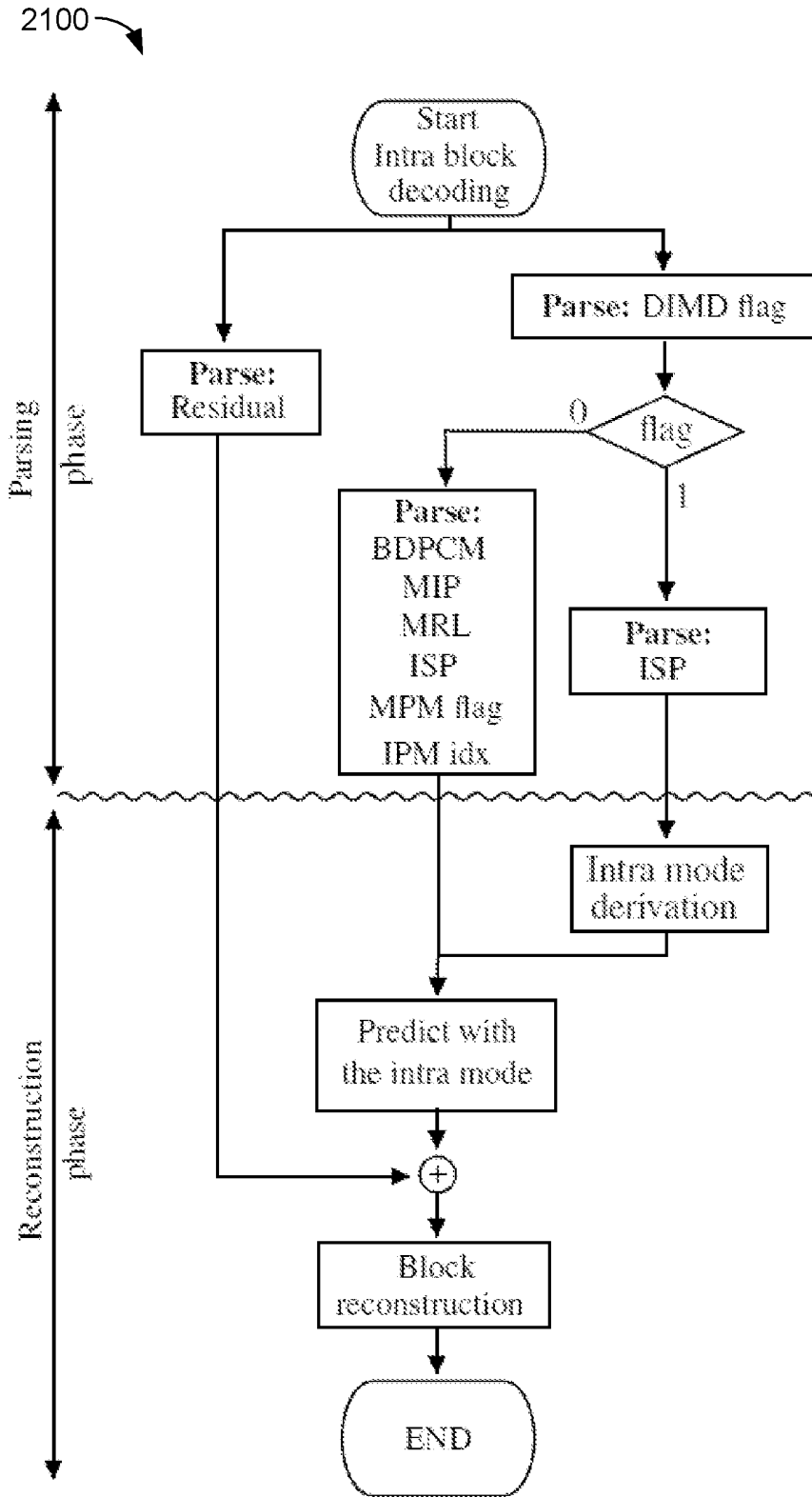
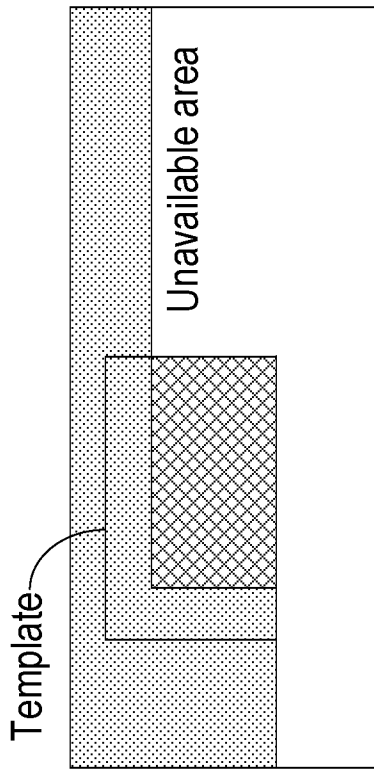
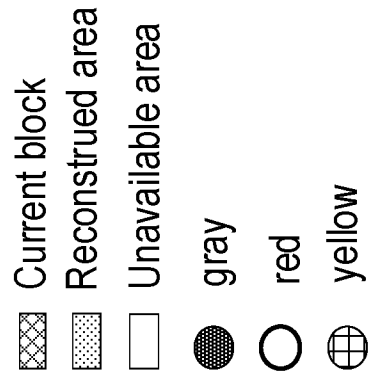


Fig. 21



2200

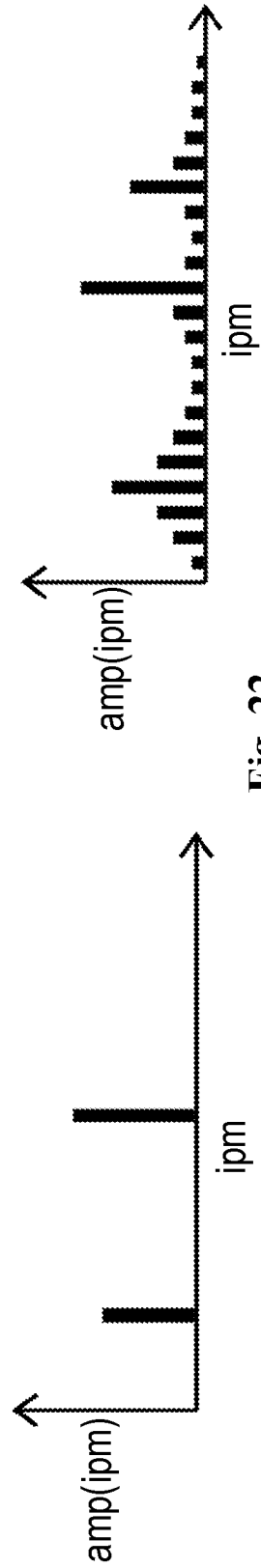
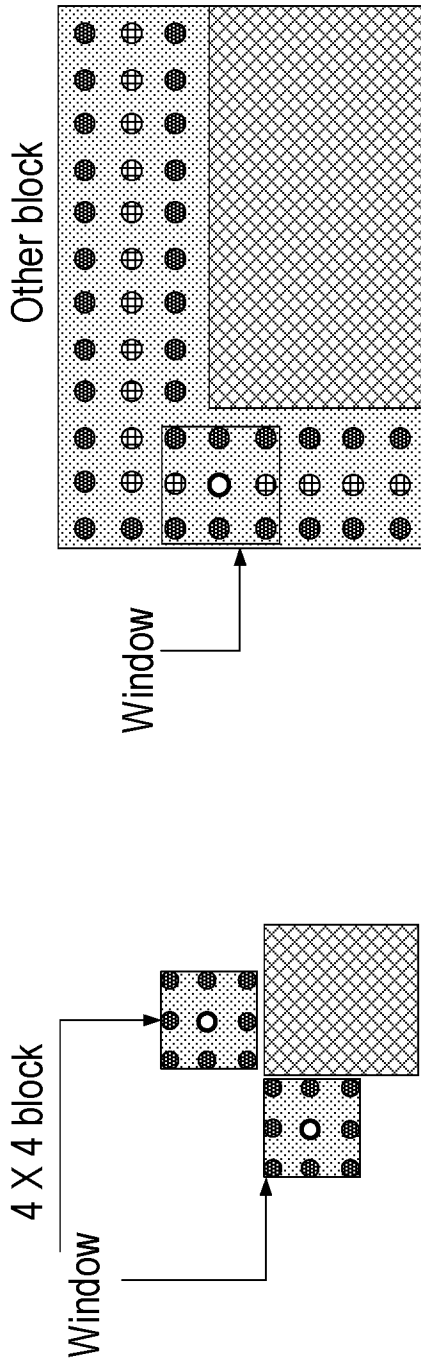


Fig. 22

2300

$$\omega_1 = \frac{43}{64} \times \frac{\text{ampl}(M_1)}{\text{ampl}(M_1) + \text{ampl}(M_2)}$$

$$\omega_2 = \frac{43}{64} \times \frac{\text{ampl}(M_2)}{\text{ampl}(M_1) + \text{ampl}(M_2)}$$

$$\omega_3 = \frac{21}{64}$$

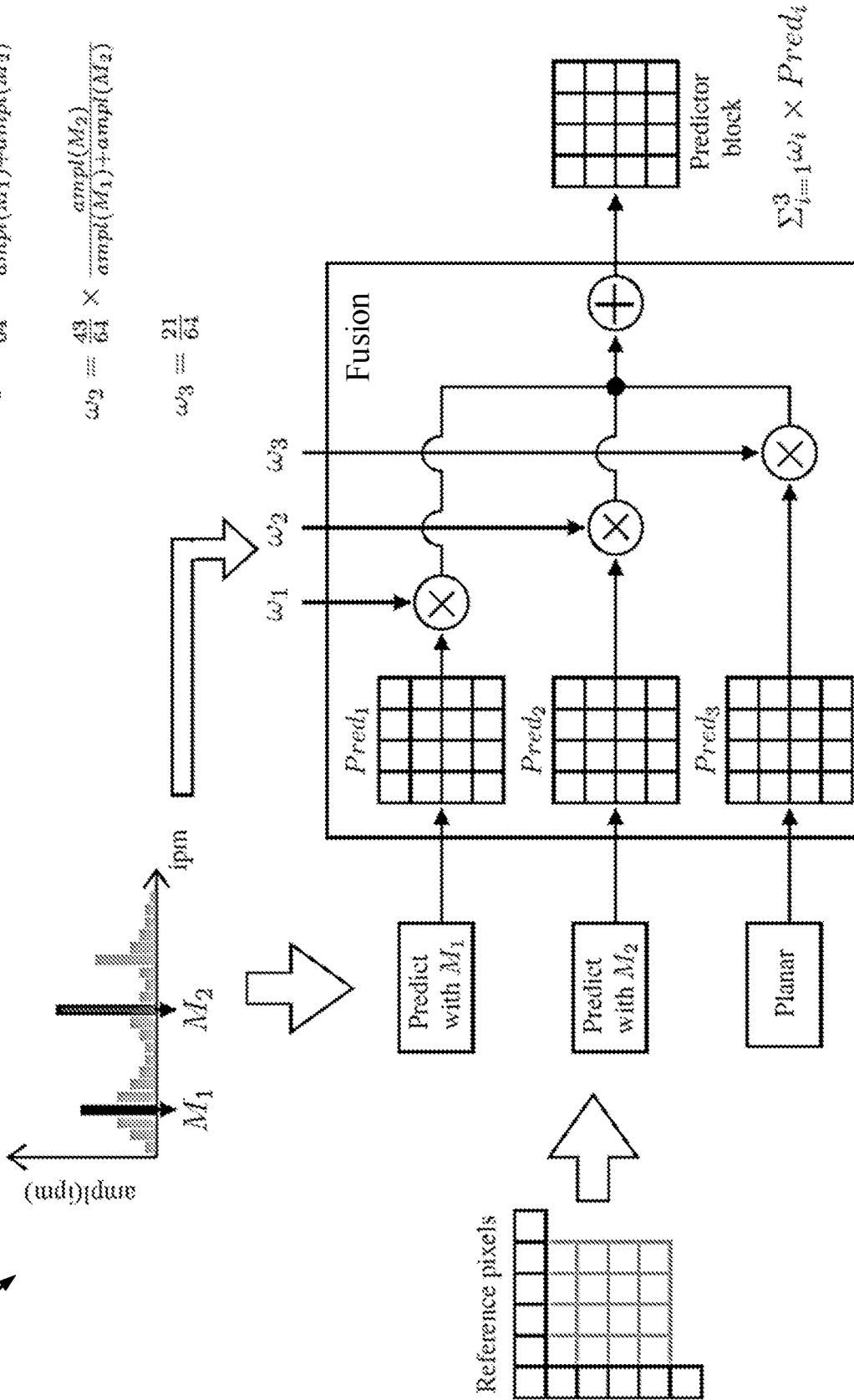


Fig. 23

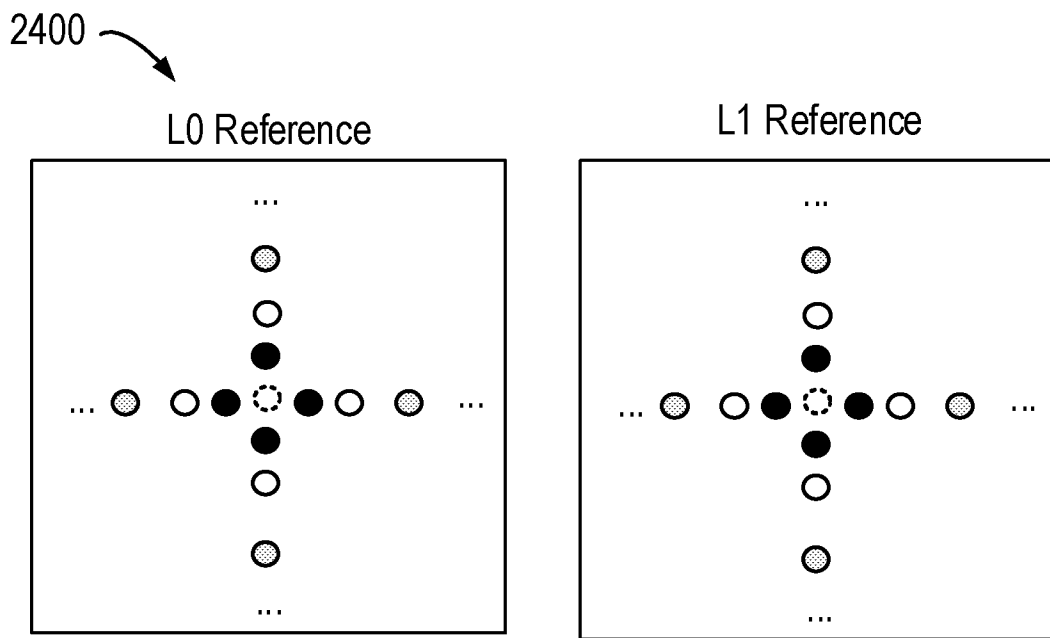
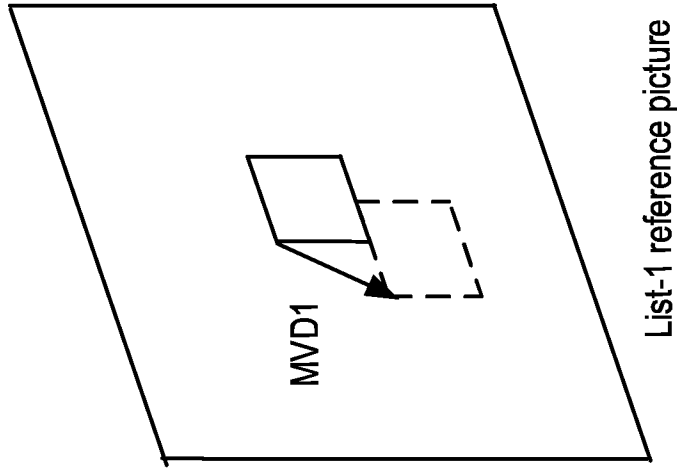
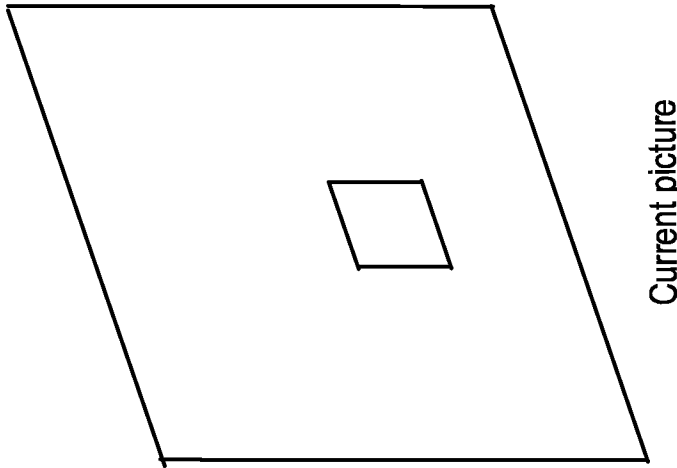


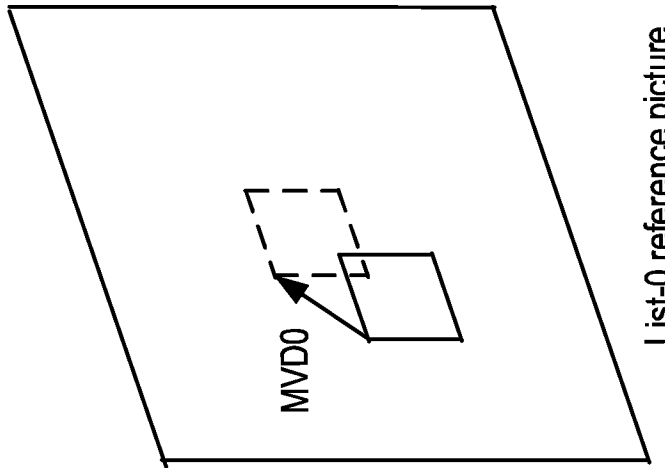
Fig. 24



List-1 reference picture



Current picture



List-0 reference picture

**Fig. 25**

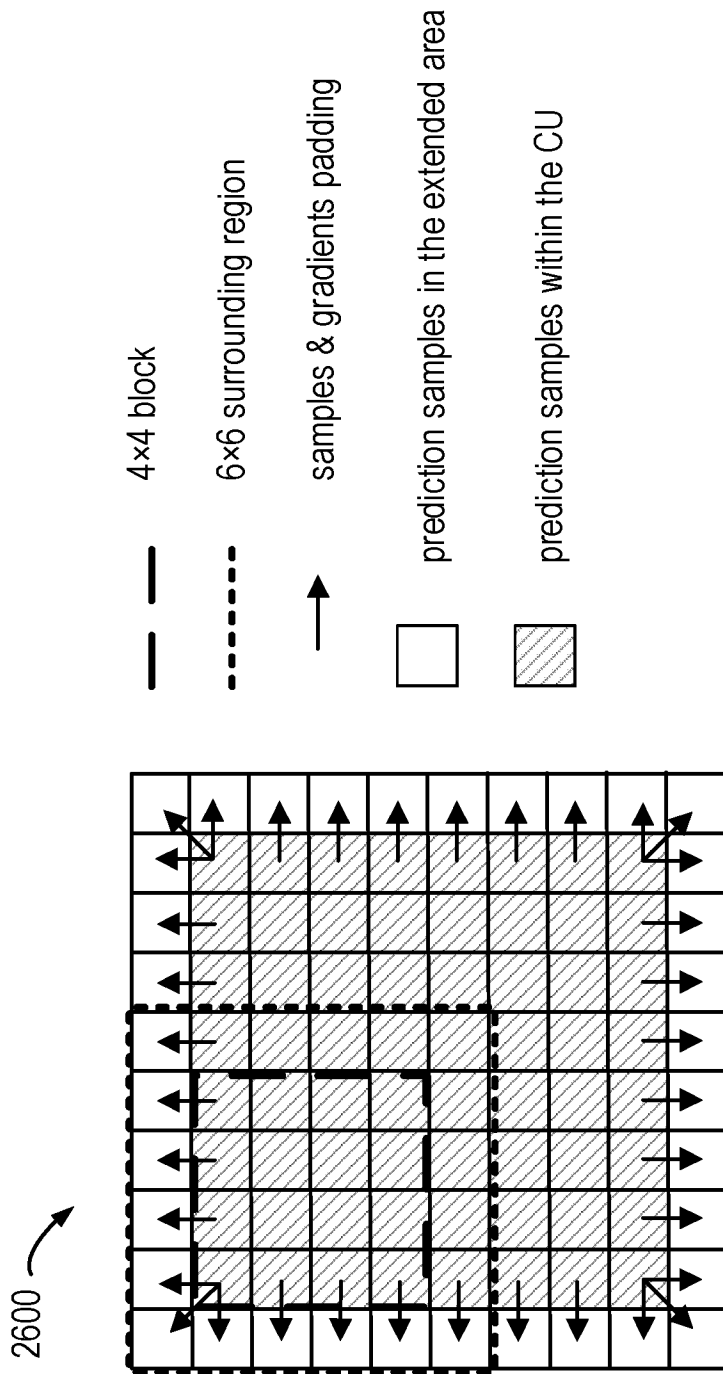


Fig. 26

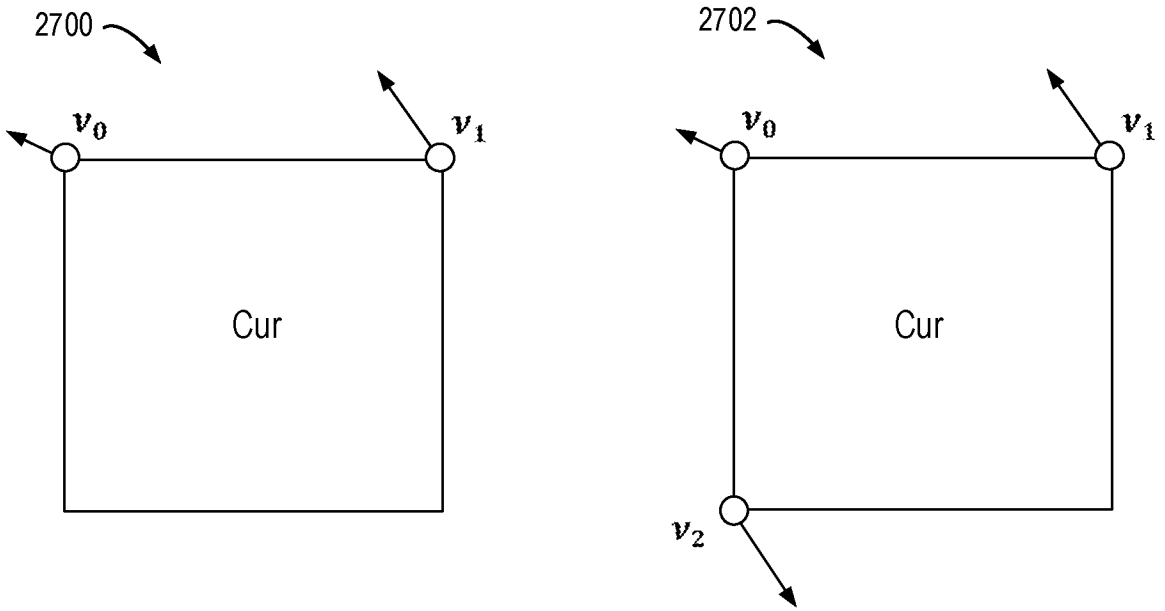


Fig. 27

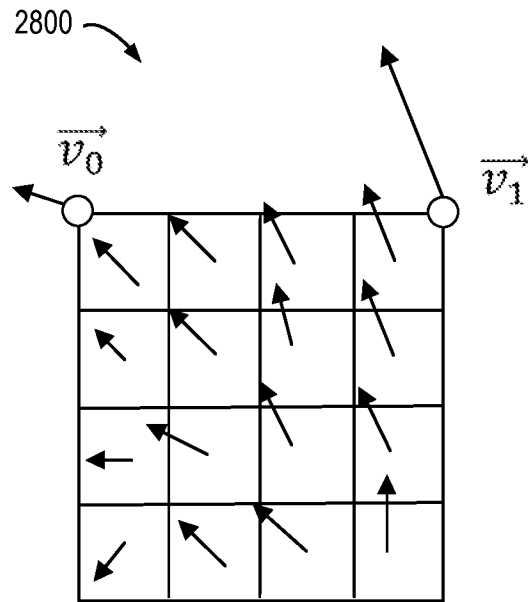
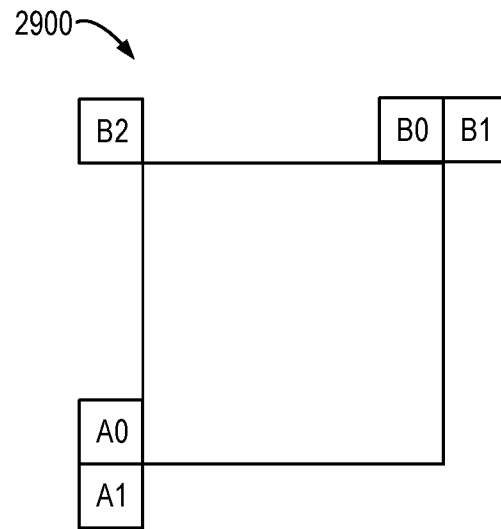


Fig. 28



**Fig. 29**

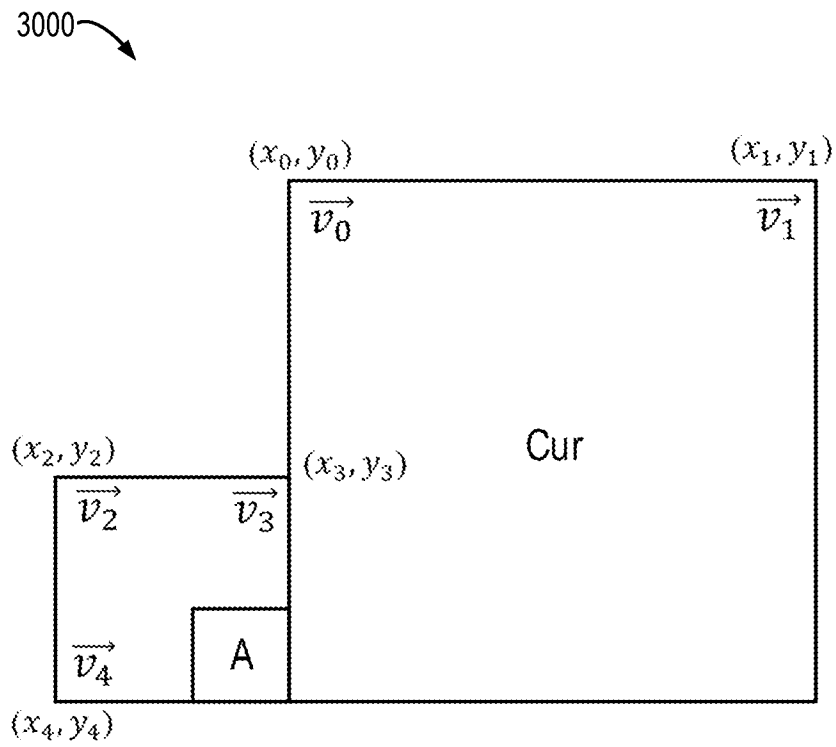


Fig. 30

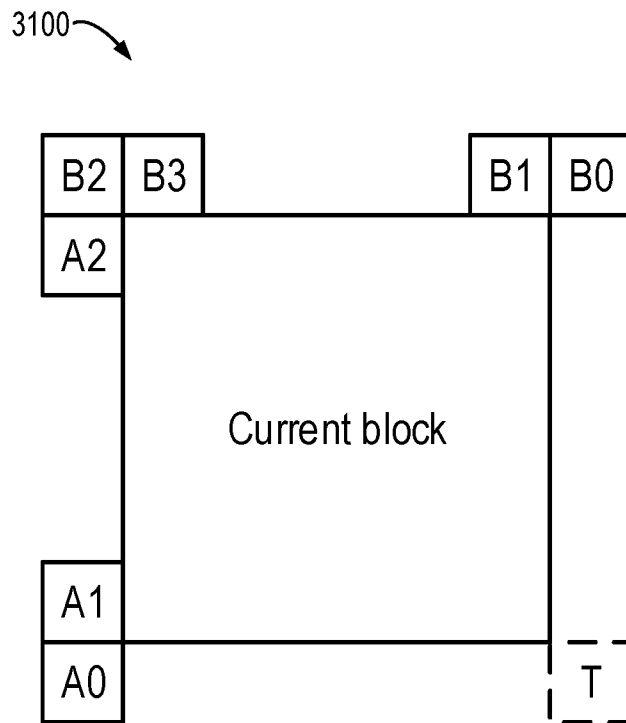


Fig. 31



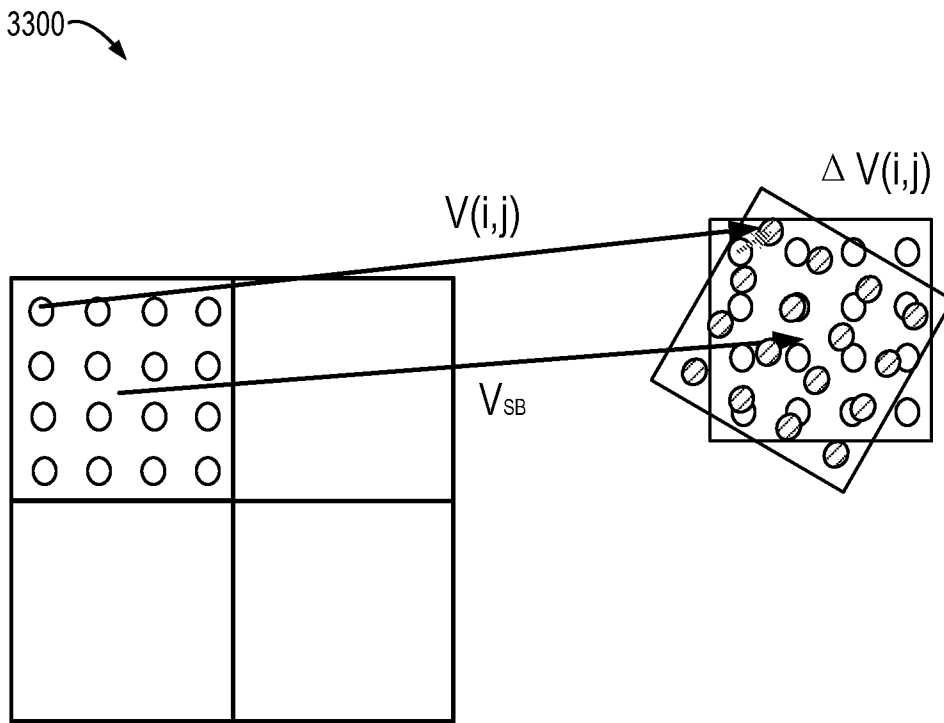
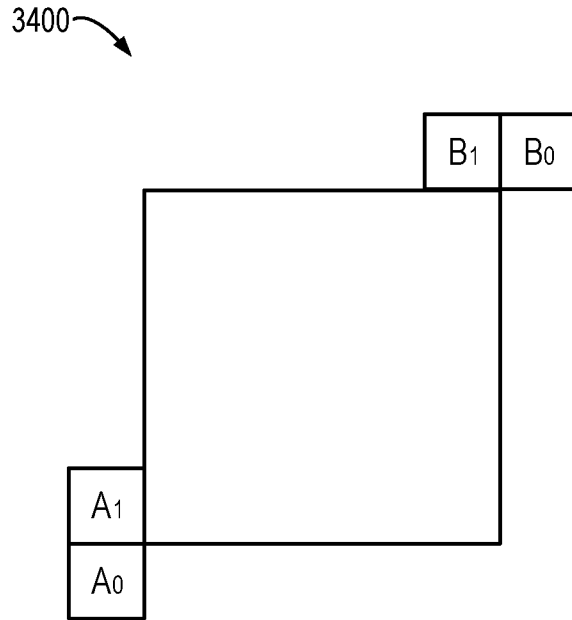
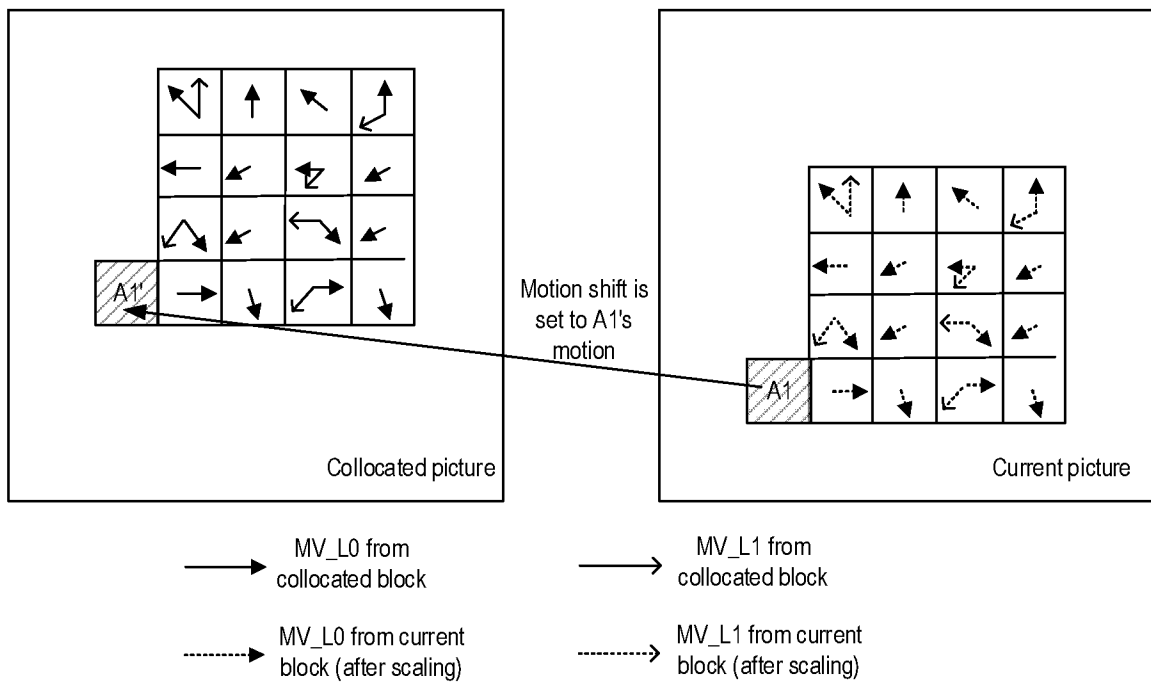


Fig. 33



**Fig. 34A**

3402



**Fig. 34B**

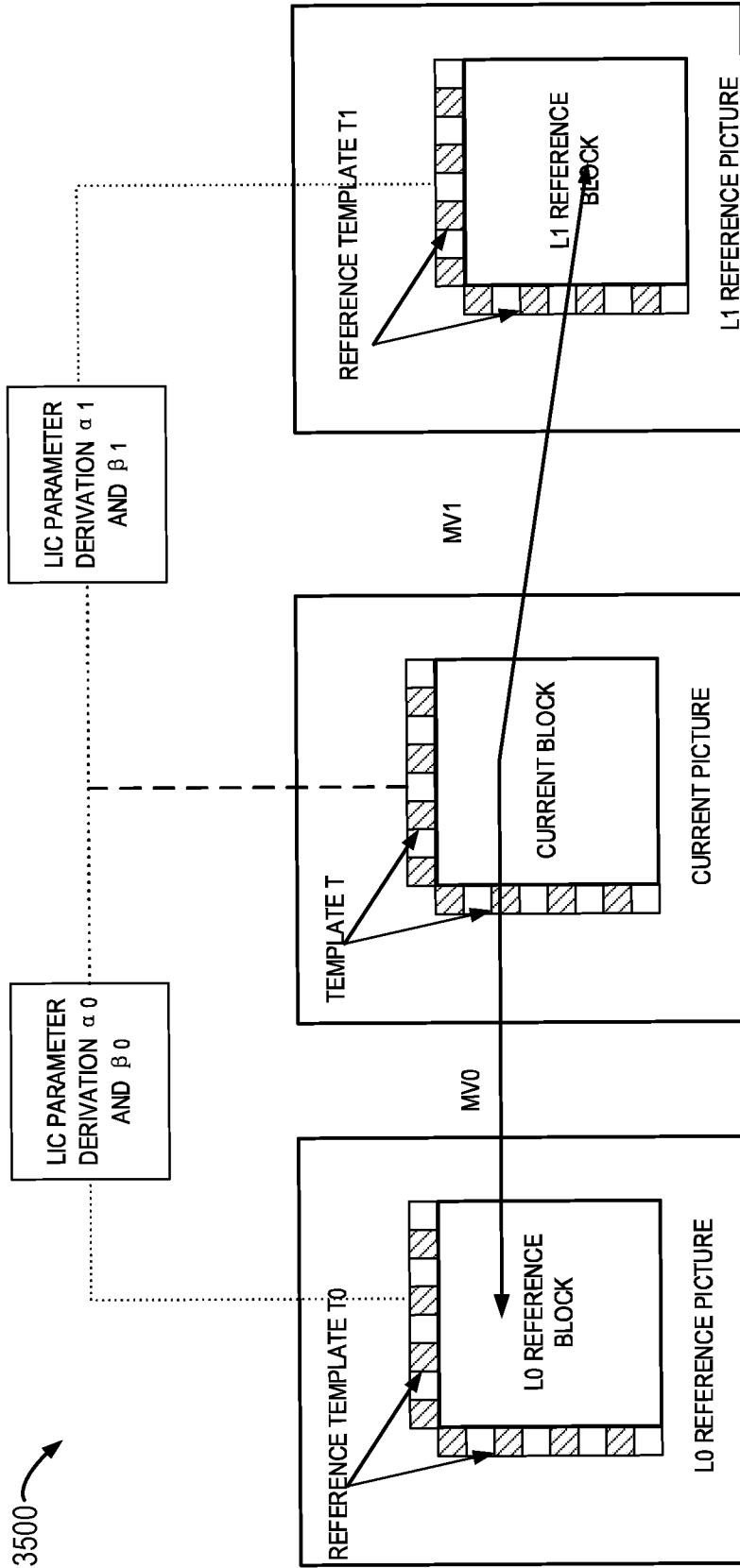


Fig. 35

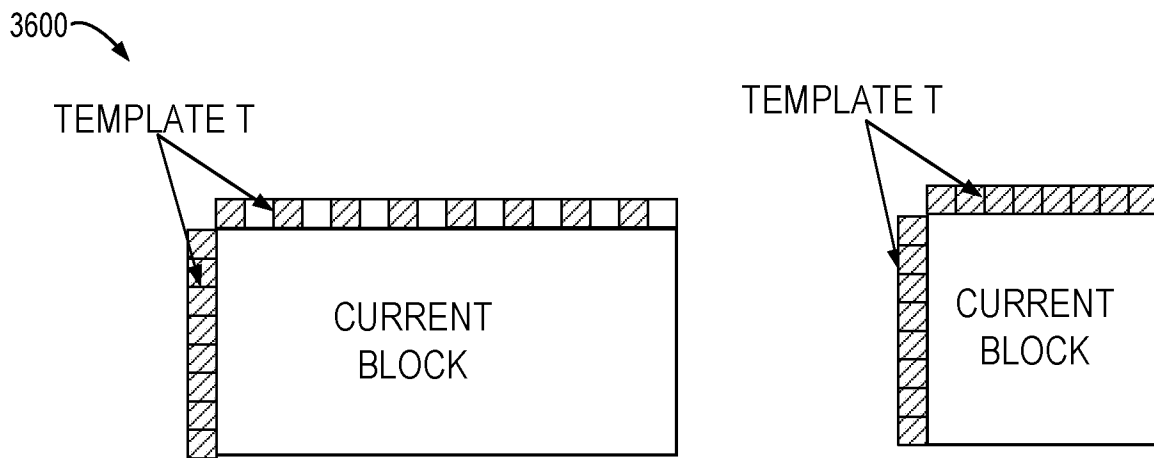


Fig. 36

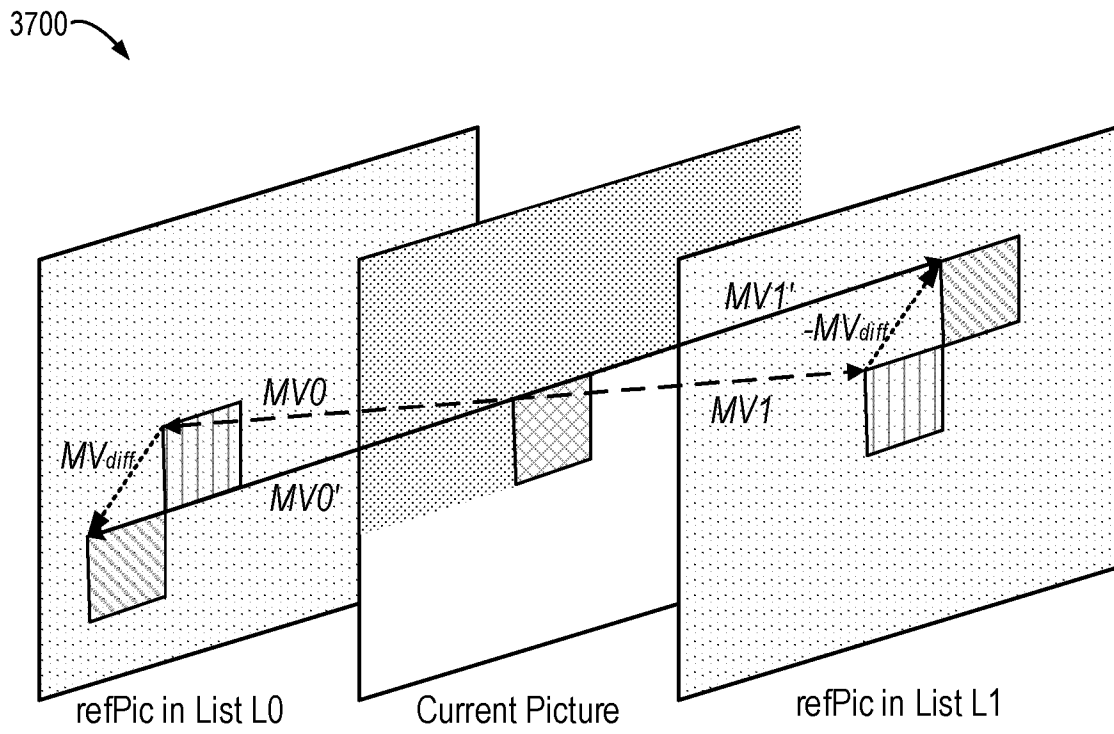


Fig. 37

3800

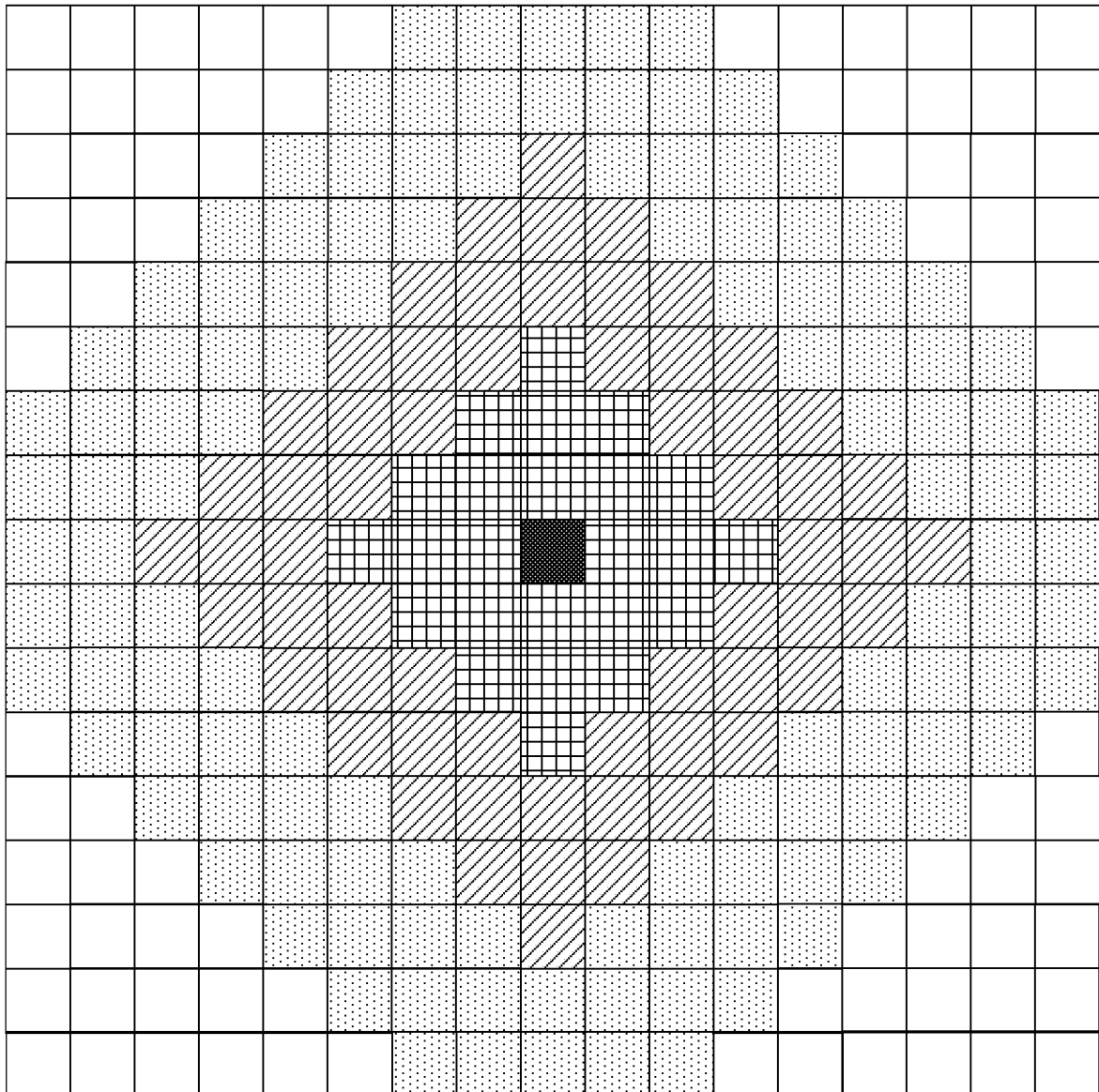


Fig. 38

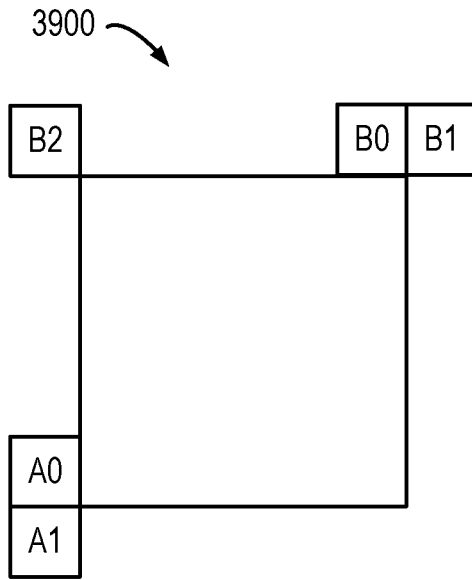


Fig. 39

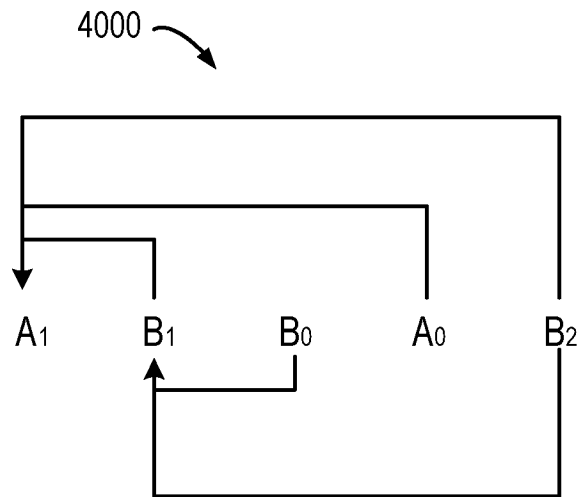


Fig. 40

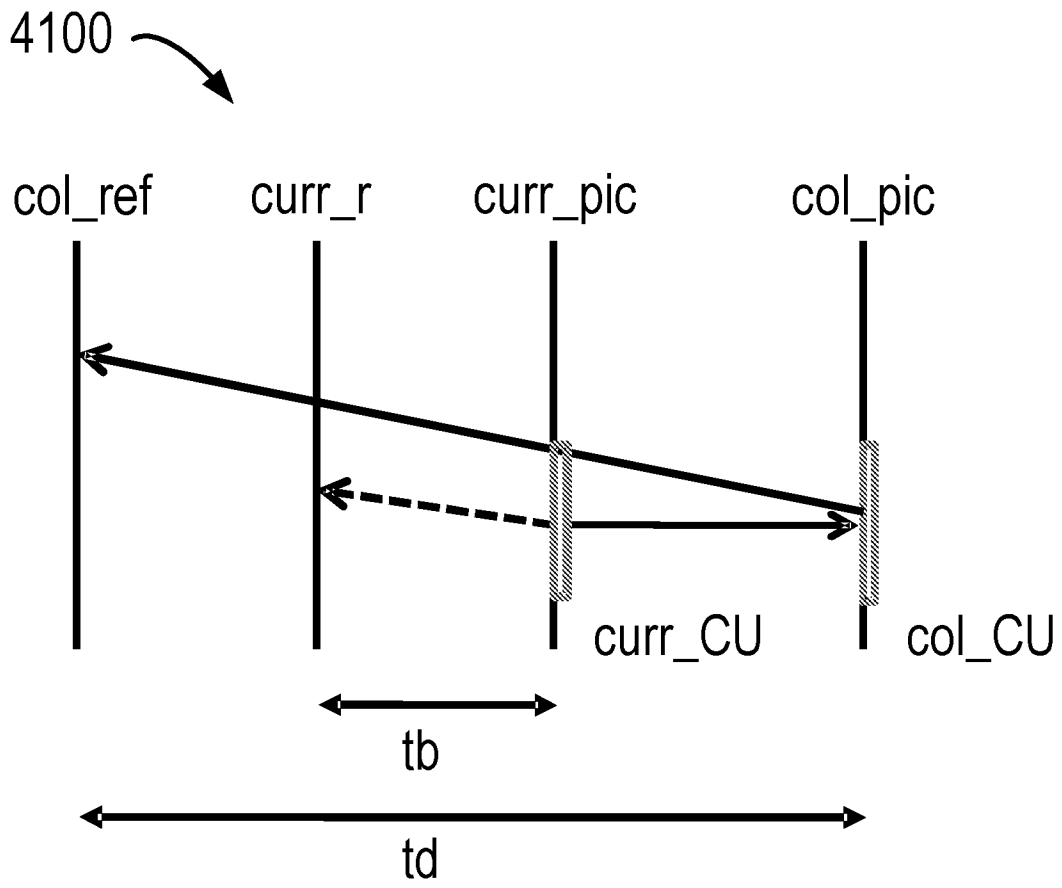


Fig. 41

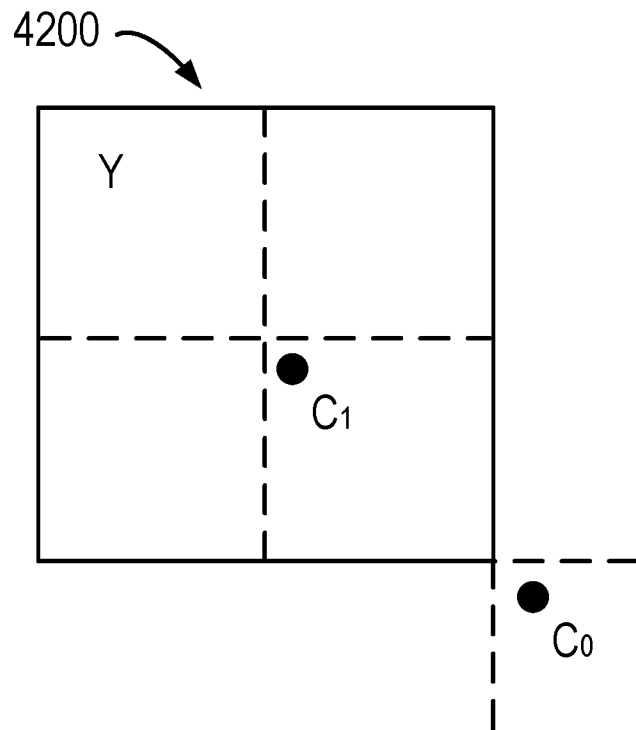


Fig. 42

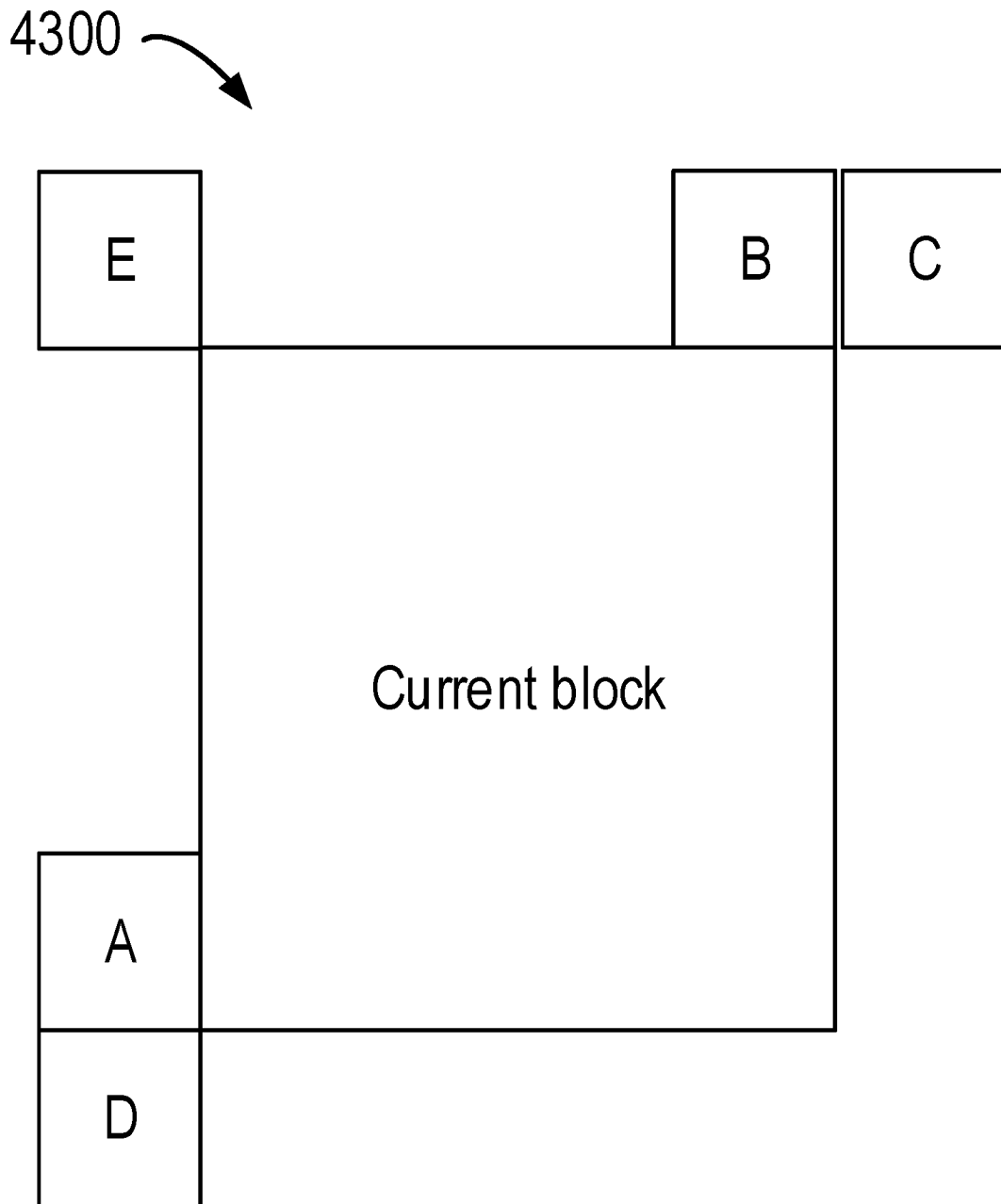


Fig. 43

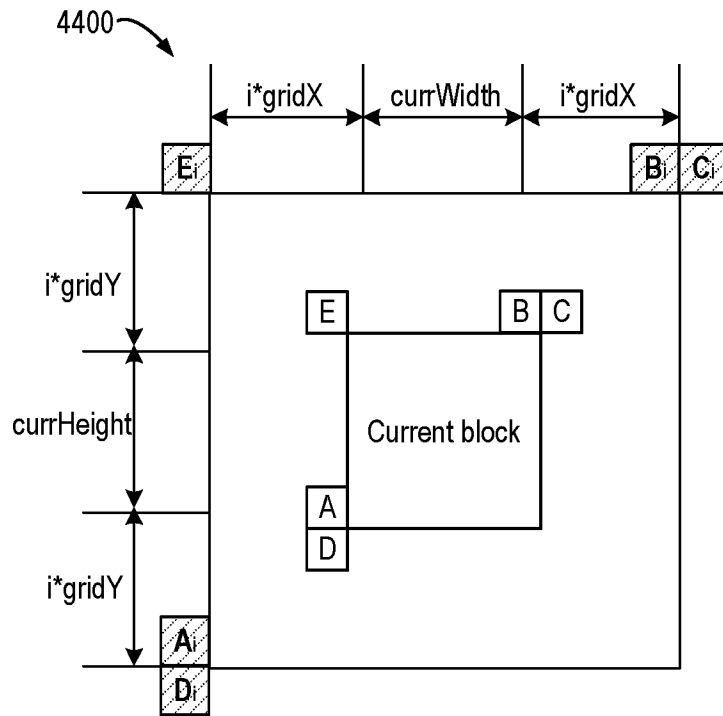


Fig. 44

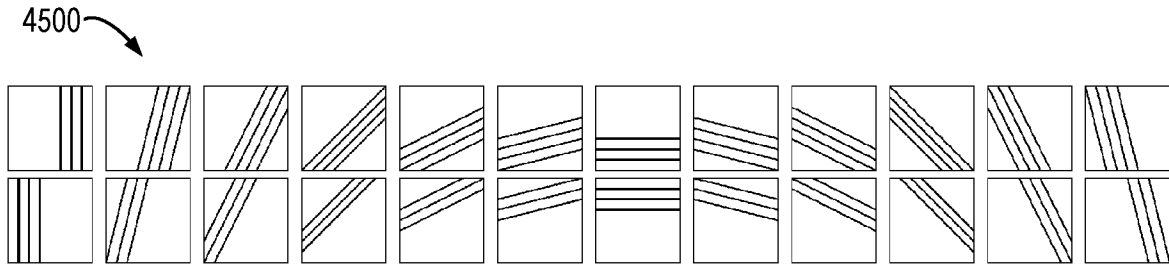


Fig. 45

4600

Merge index	L0 MV	L1 MV
0	x	
1		x
2	x	
3		x
4	x	

Fig. 46

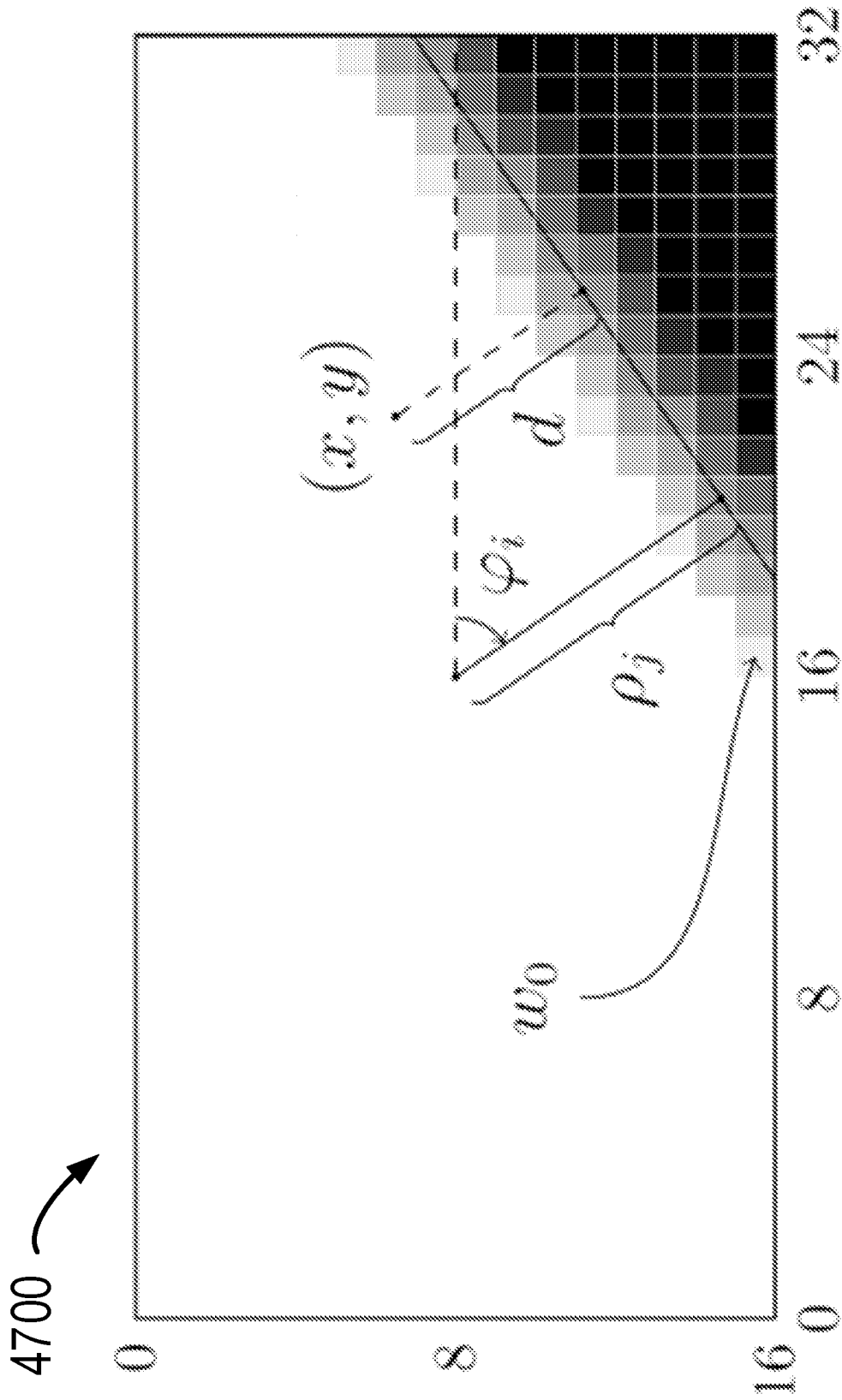


Fig. 47

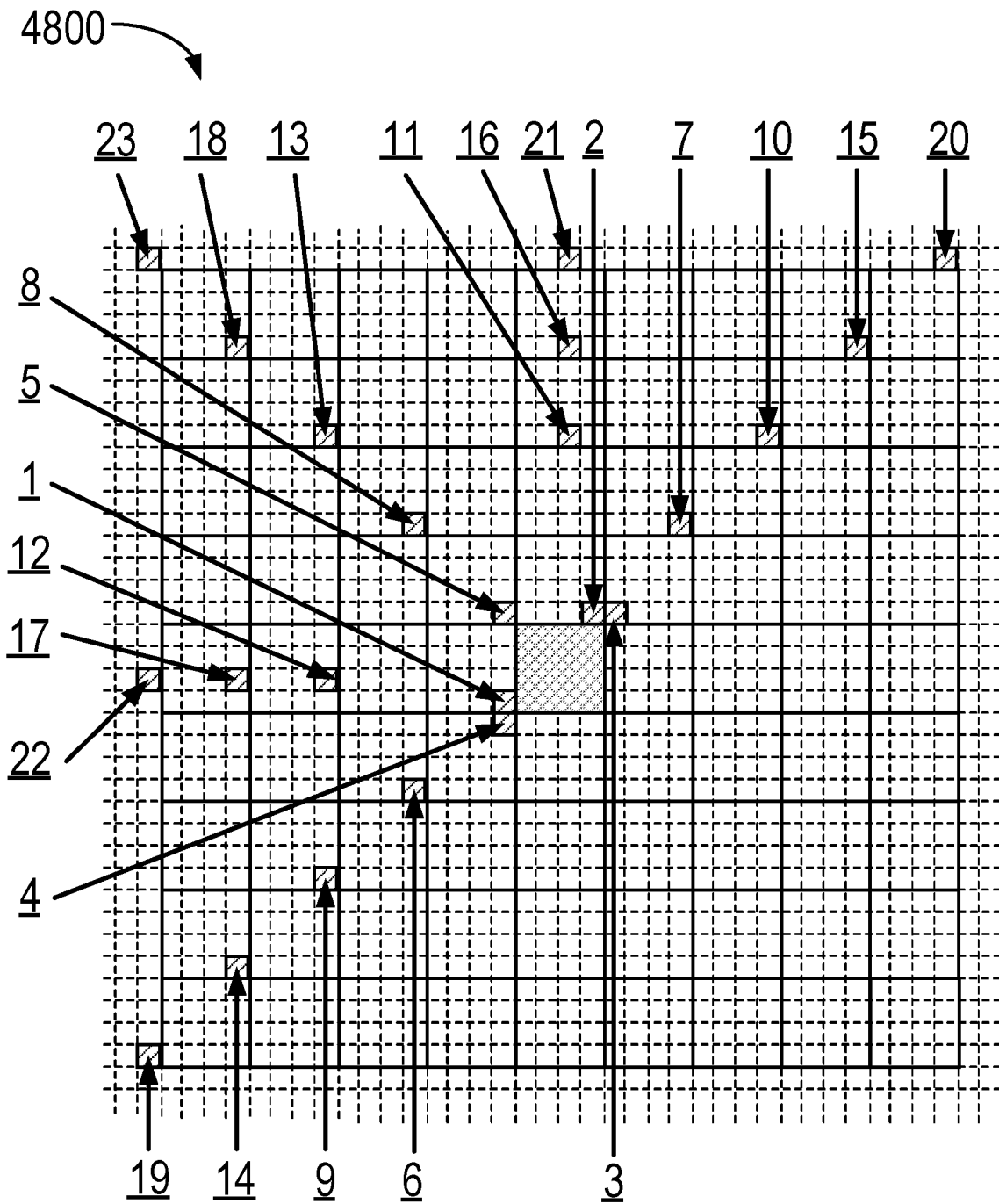


Fig. 48

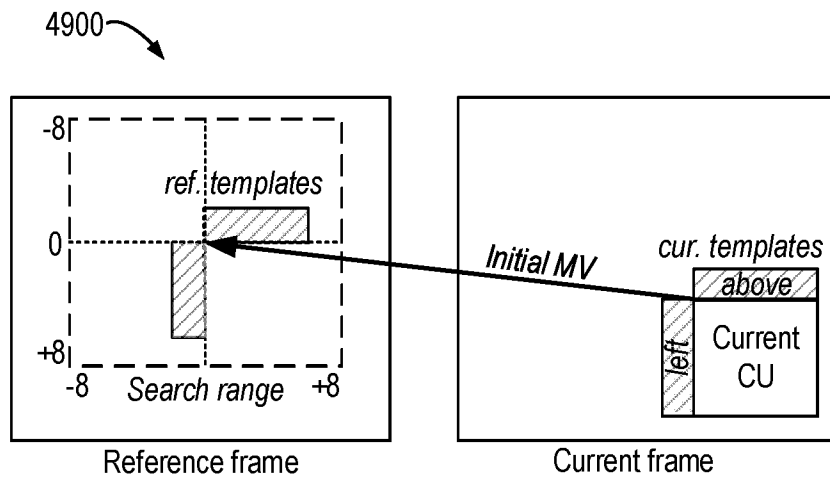


Fig. 49

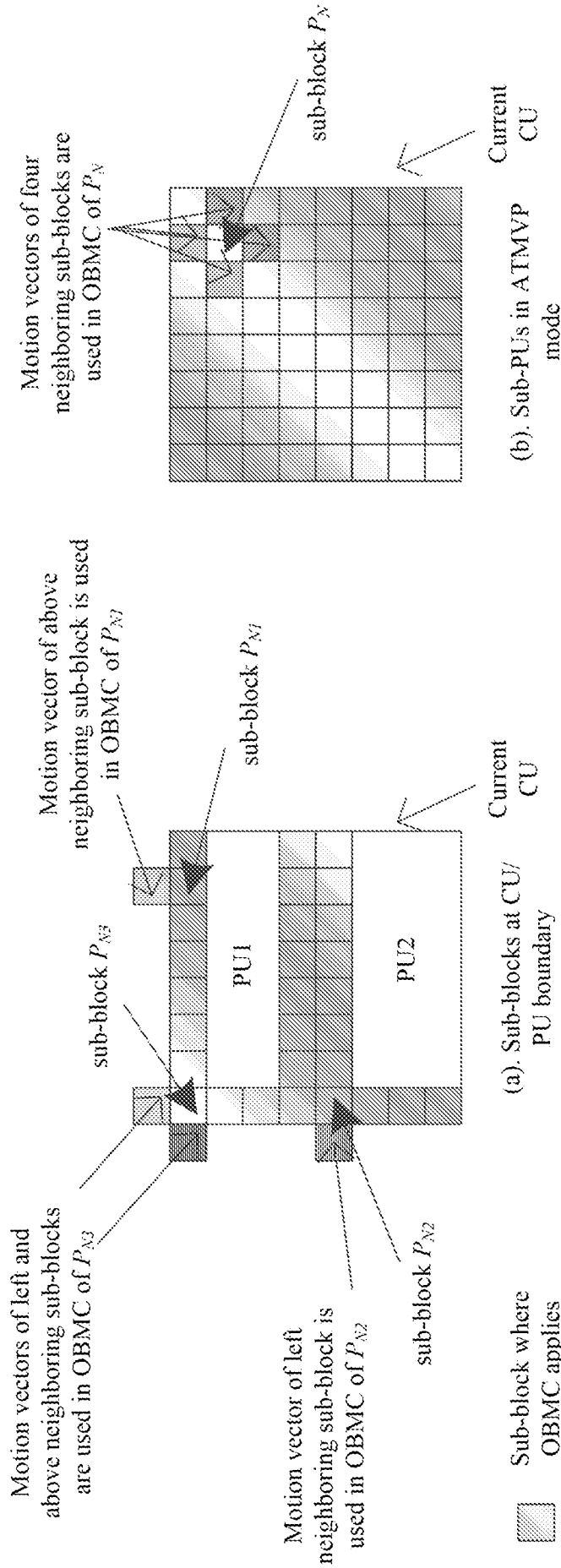


Fig. 50

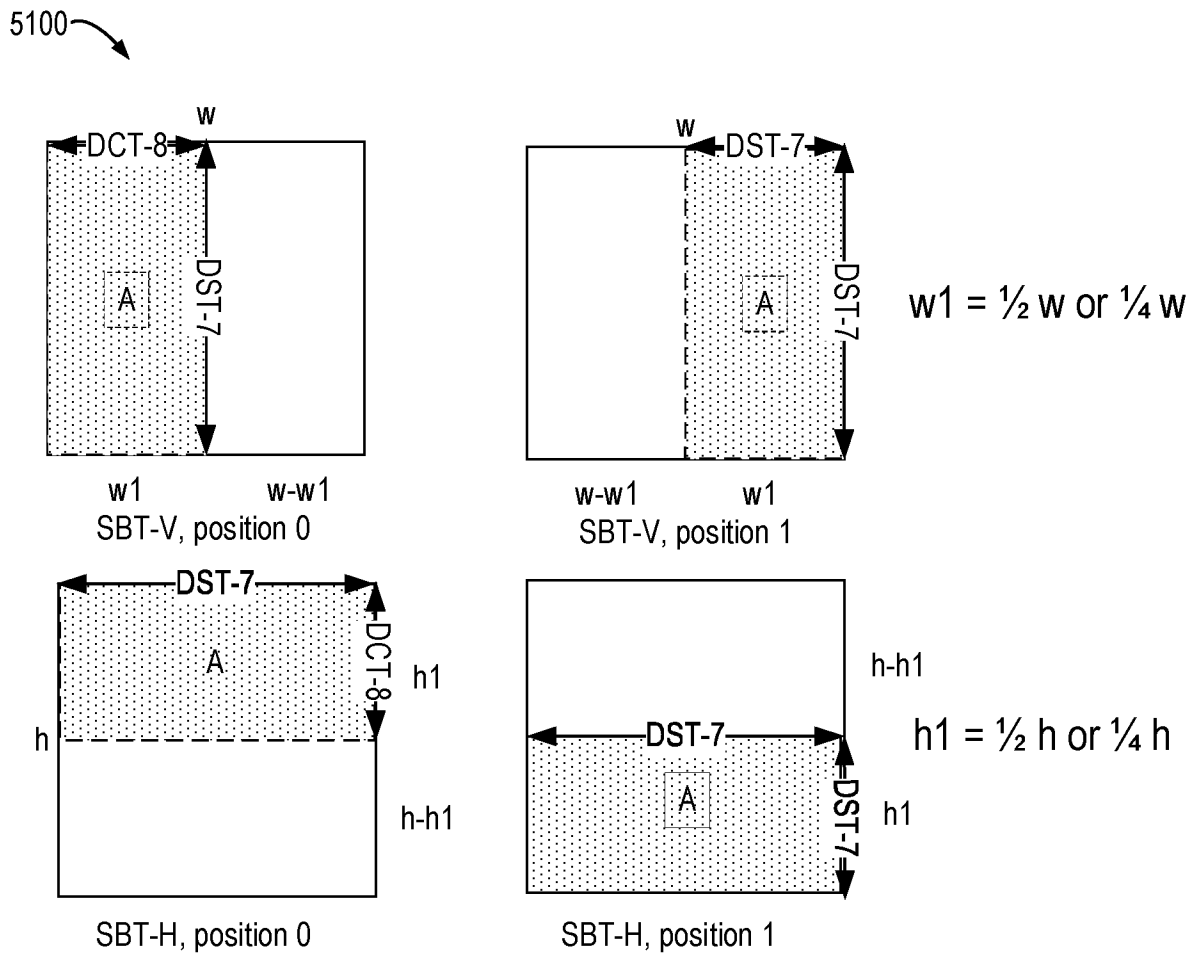


Fig. 51

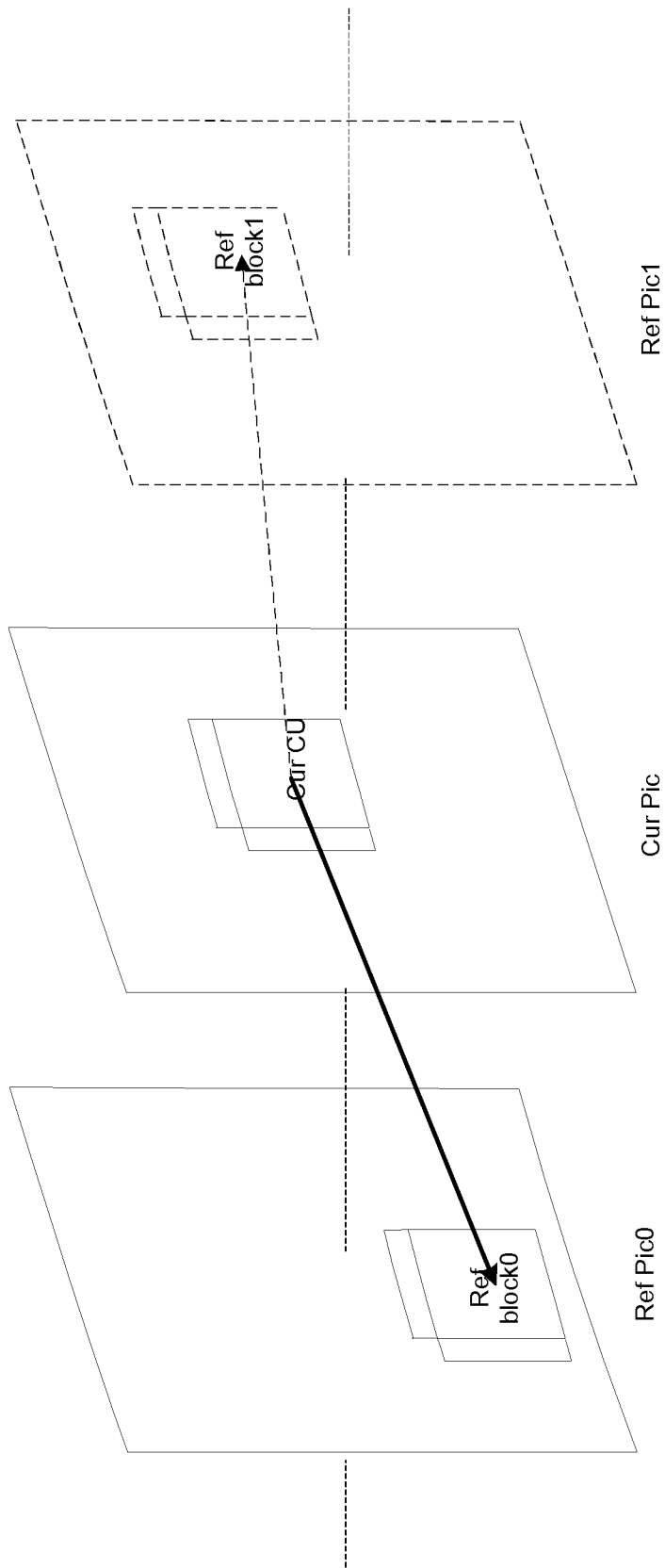
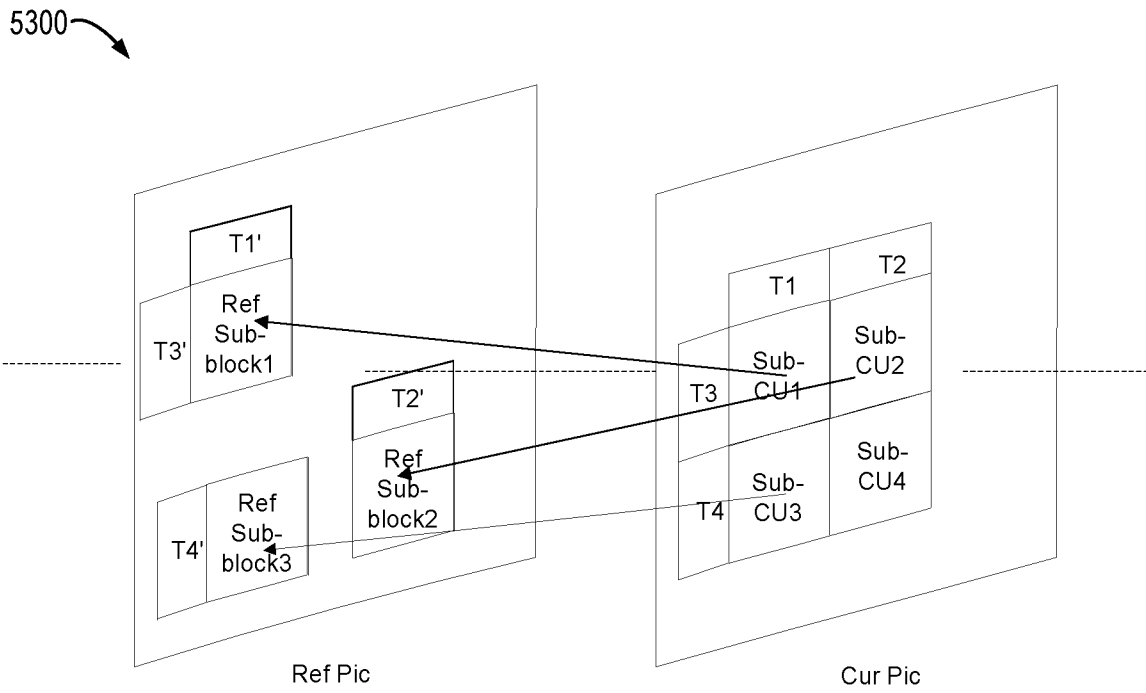


Fig.52



**Fig. 53**

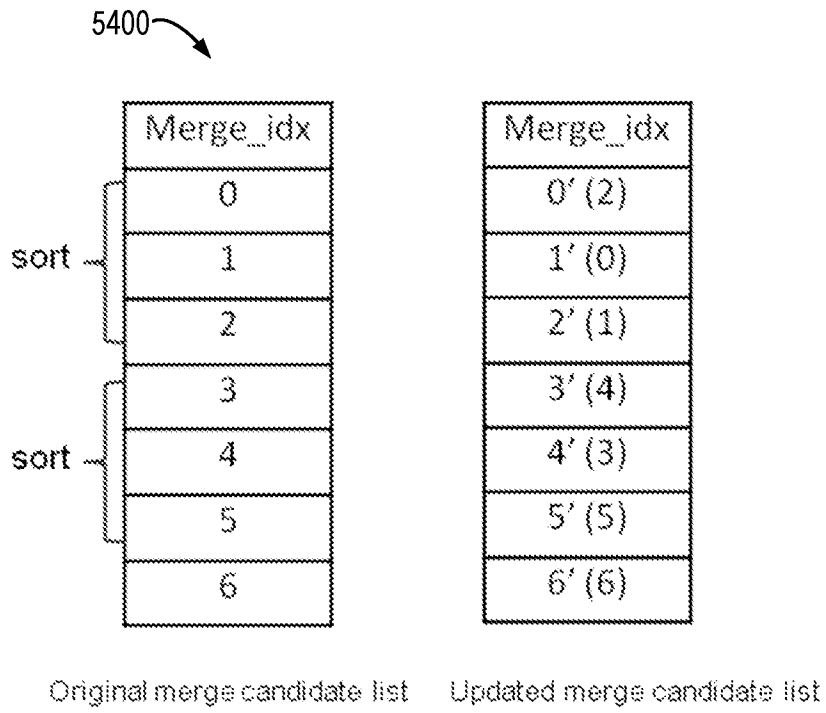


Fig. 54

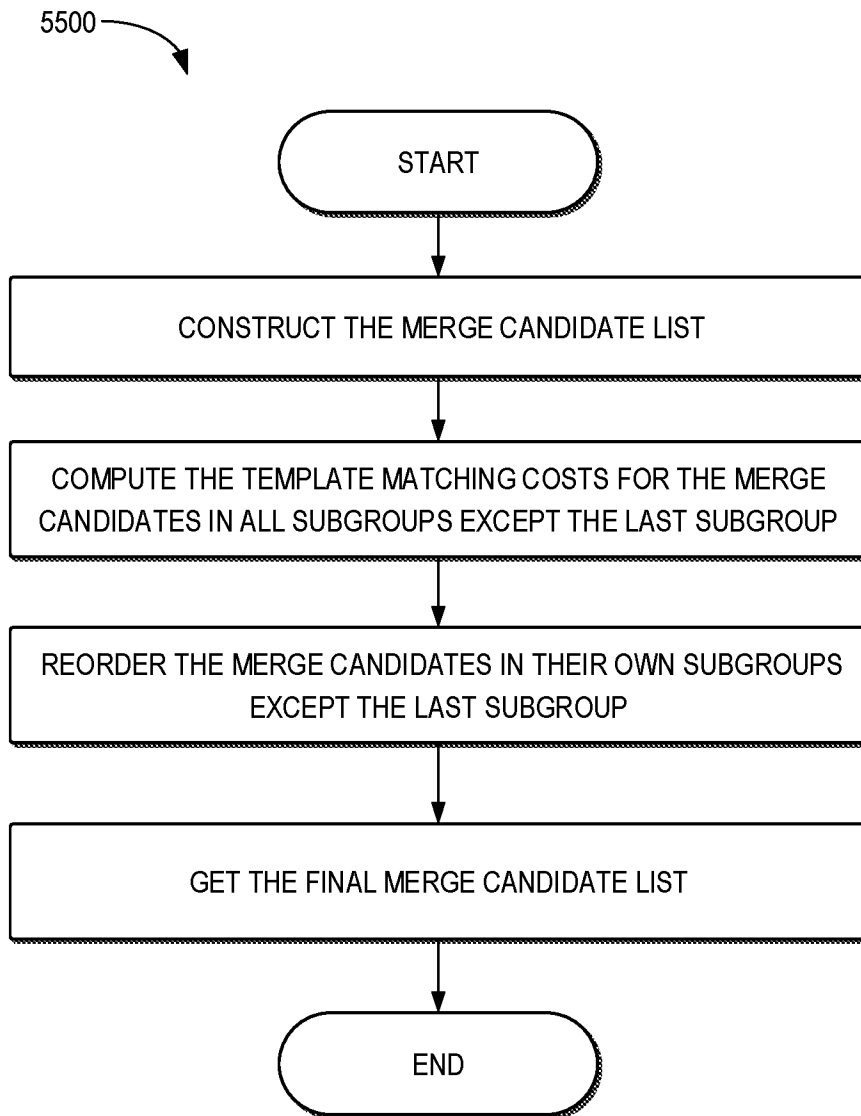


Fig. 55

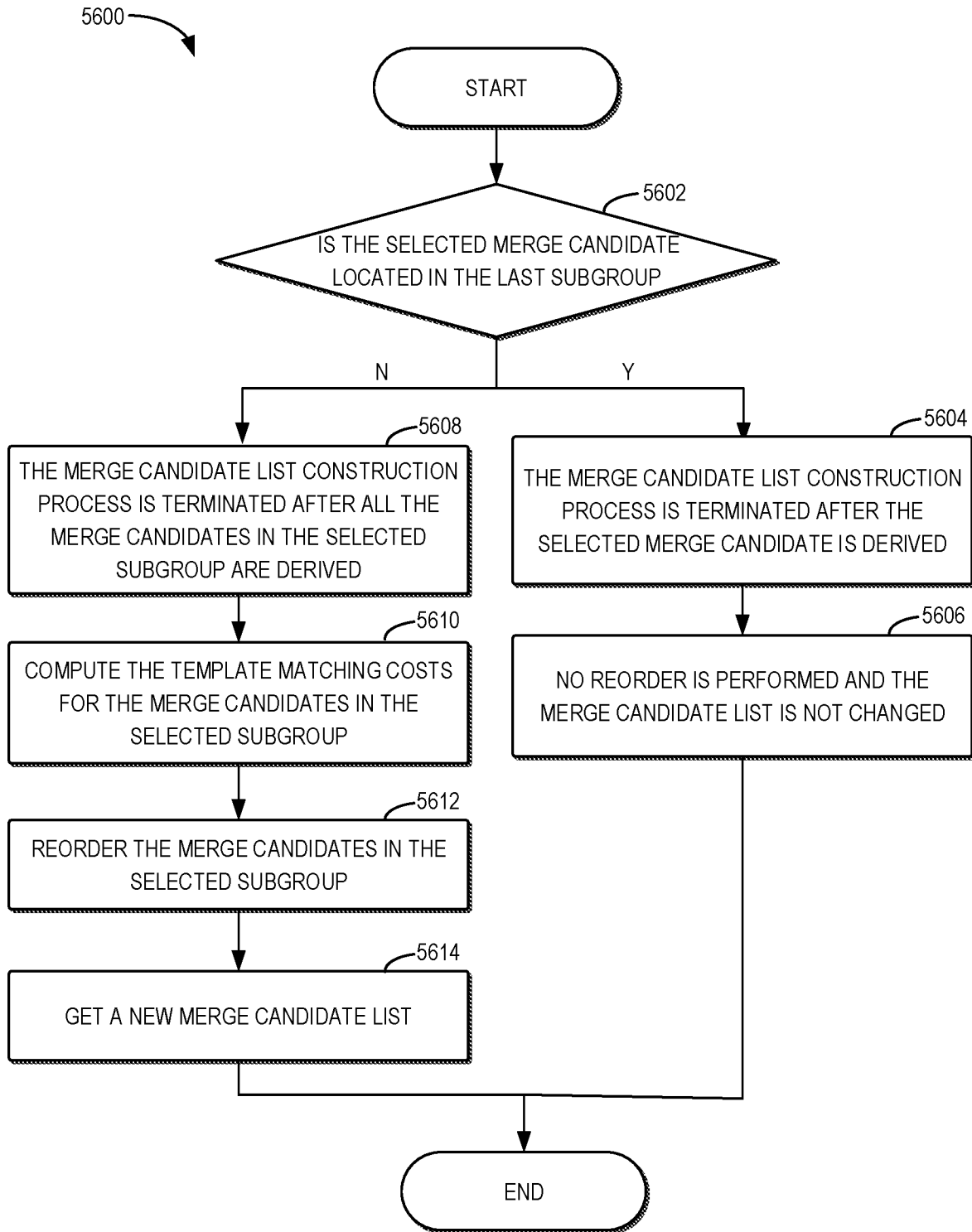
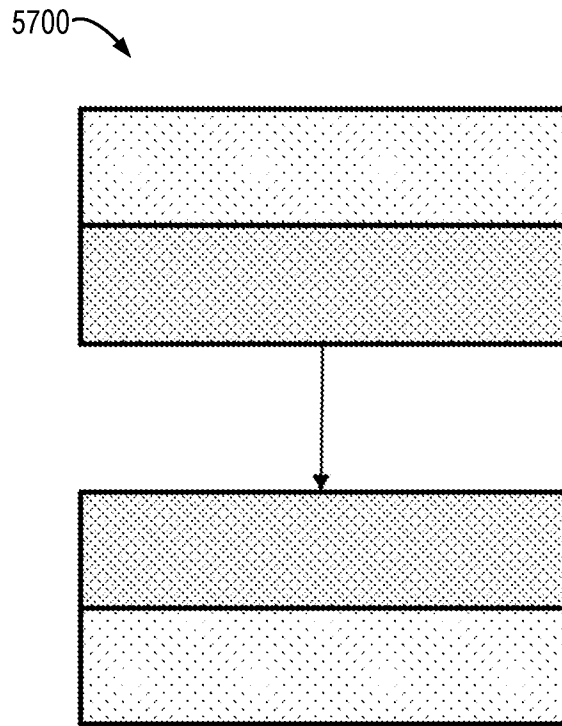


Fig. 56



**Fig. 57**

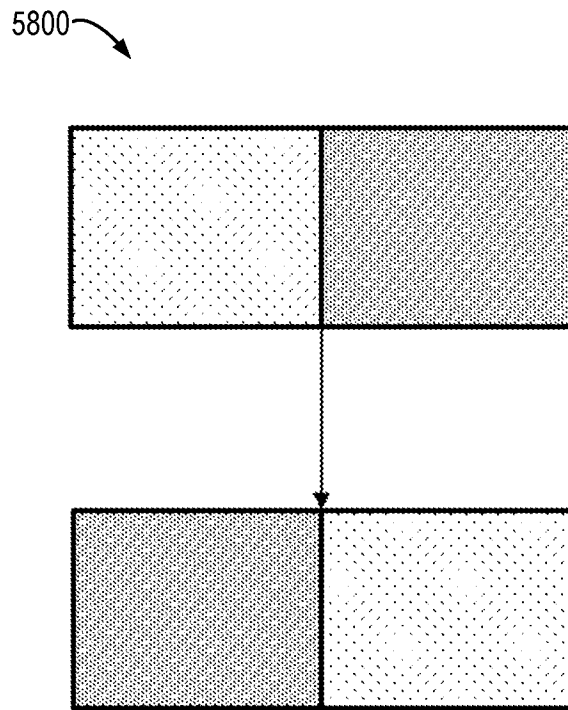


Fig. 58

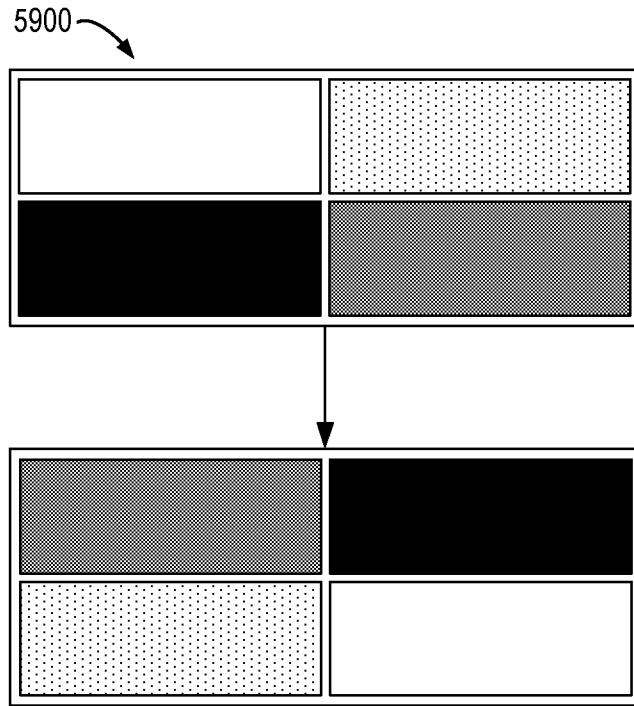
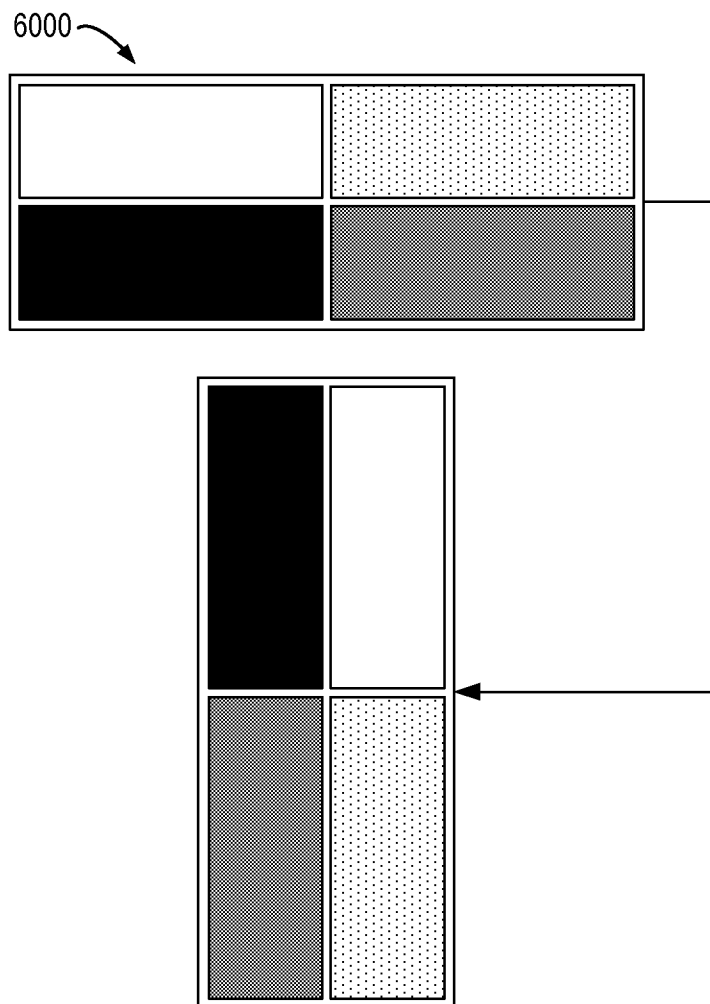
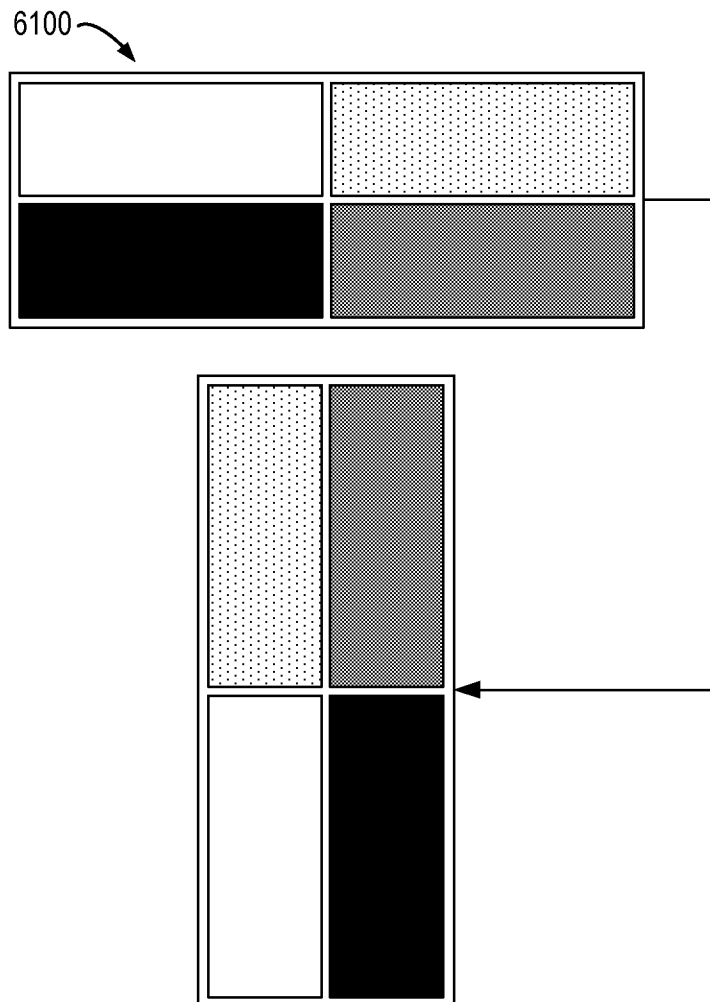


Fig. 59



**Fig. 60**



**Fig. 61**

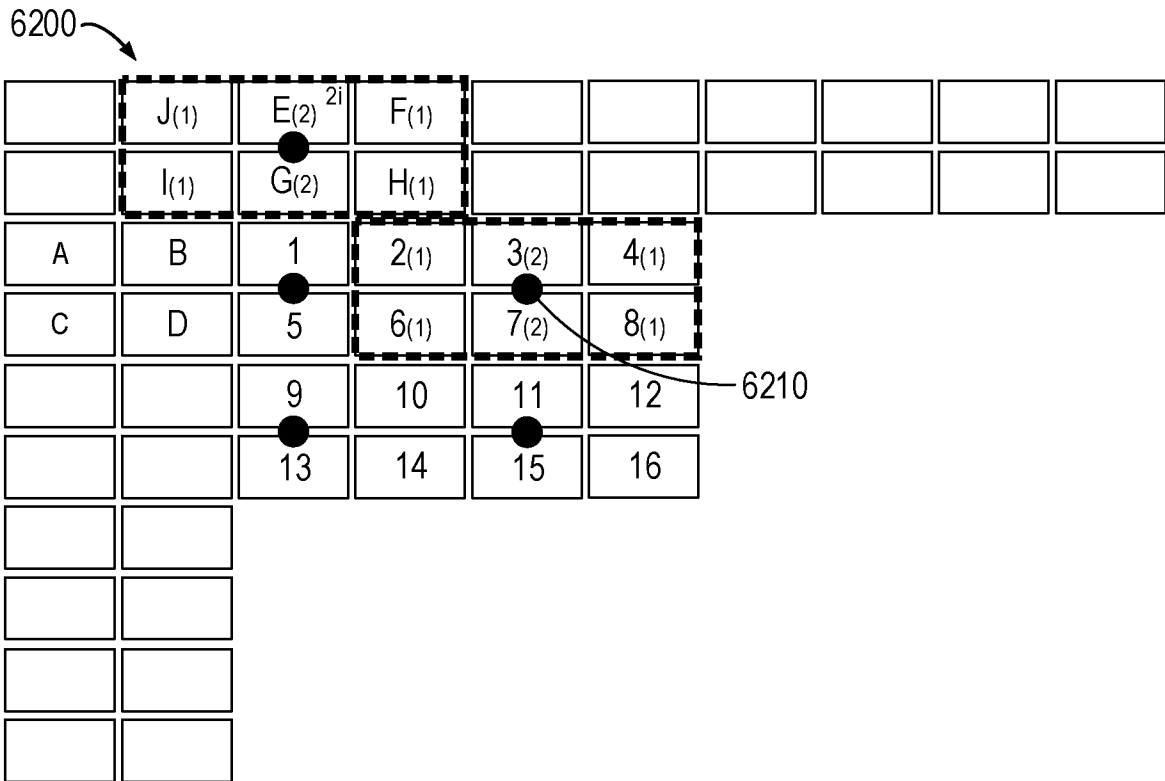


Fig. 62A

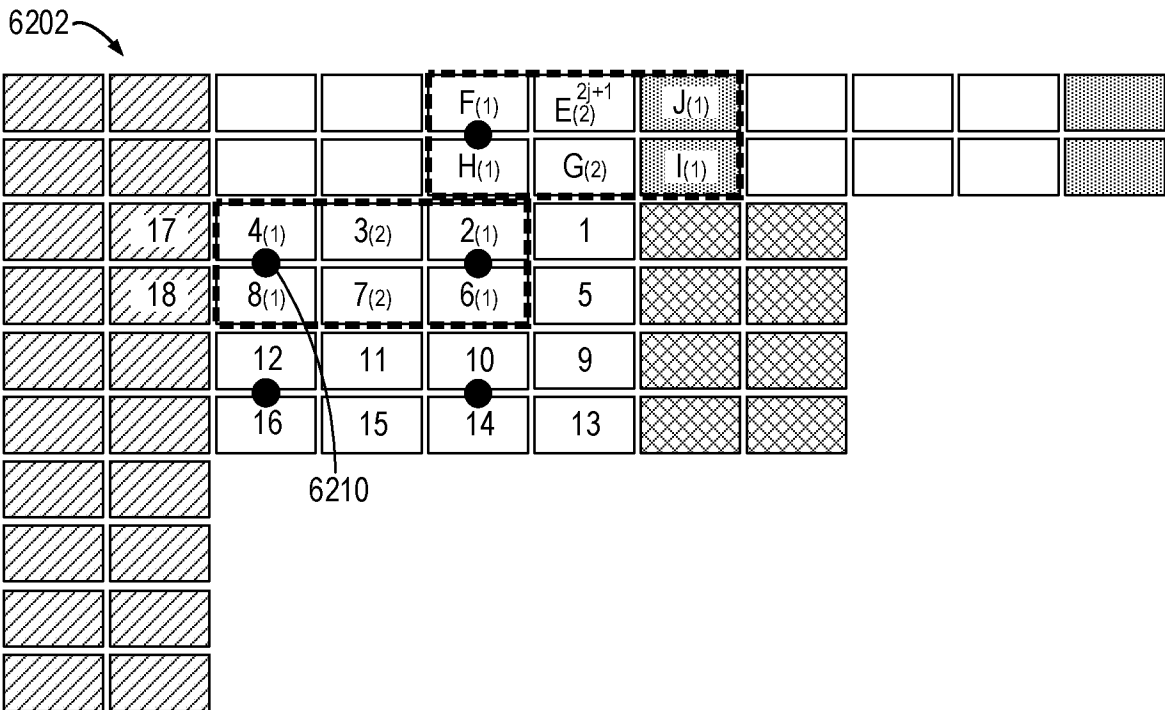


Fig. 62B

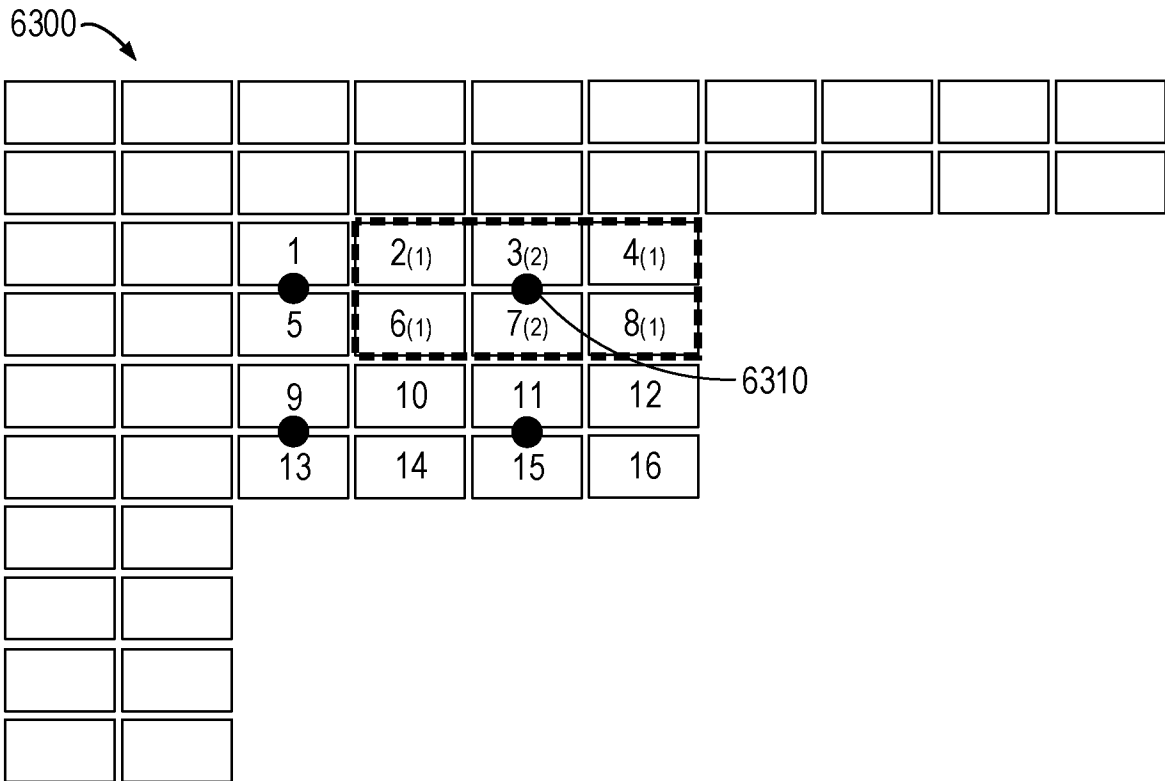


Fig. 63A

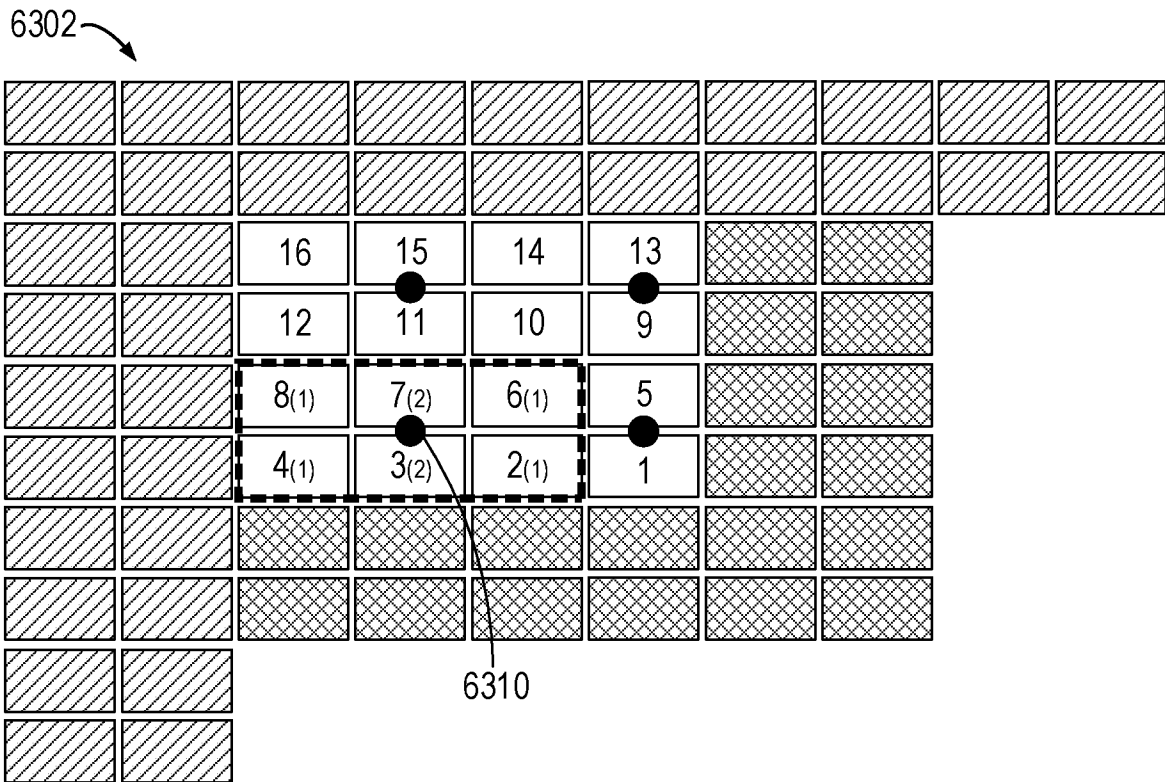
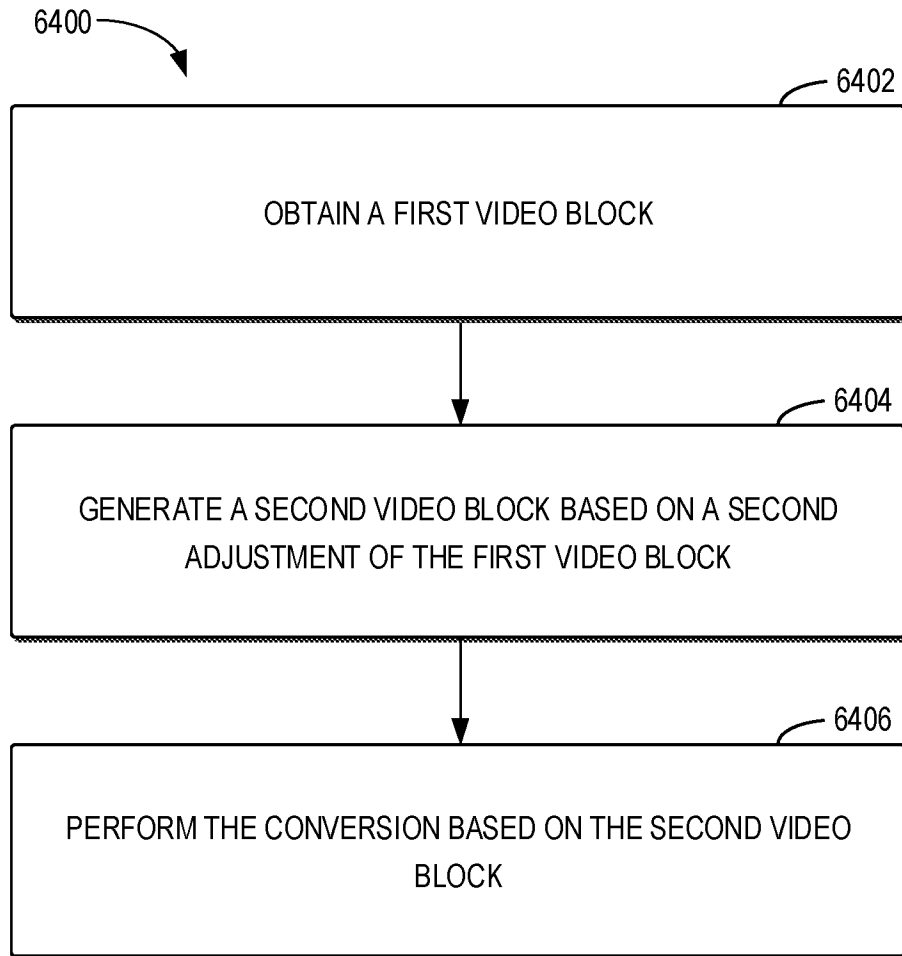


Fig. 63B



**Fig. 64**

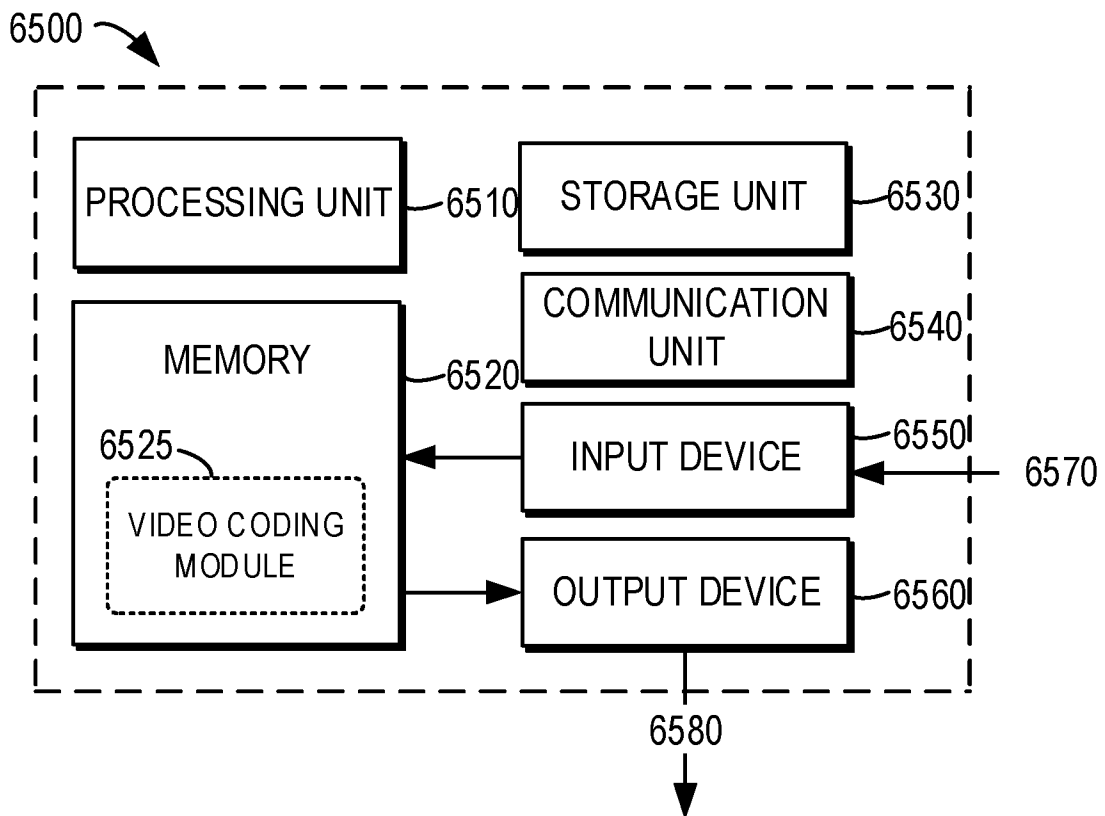


Fig. 65