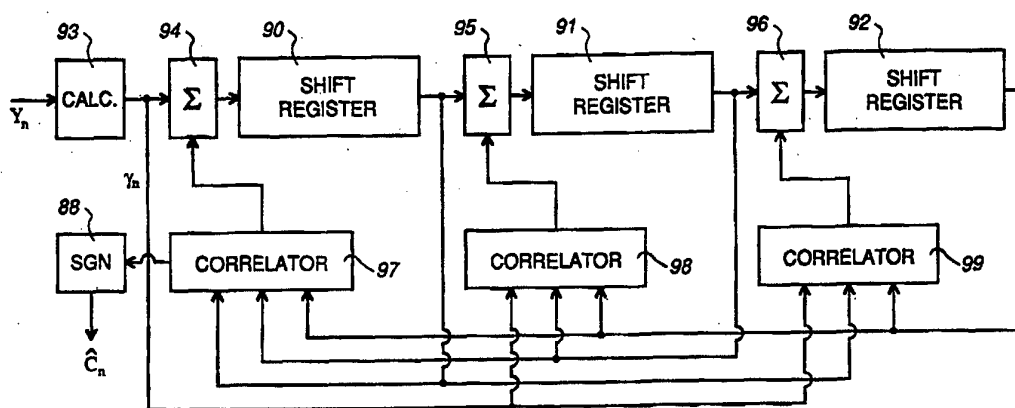




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : H04B 1/707		A1	(11) International Publication Number: WO 97/06609
			(43) International Publication Date: 20 February 1997 (20.02.97)
(21) International Application Number: PCT/CA96/00428 (22) International Filing Date: 26 June 1996 (26.06.96) (30) Priority Data: 60/001,881 3 August 1995 (03.08.95) US (71) Applicant: NORTHERN TELECOM LIMITED [CA/CA]; World Trade Center of Montreal, 8th floor, 380 St. Antoine Street West, Montreal, Quebec H2Y 3Y4 (CA). (72) Inventors: LI, Gang; 3 Westpoint Crescent, Nepan, On- tario K2G 5Z1 (CA). WANG, Rui; 1204-900 Dynes Road, Ottawa, Ontario K2C 3L6 (CA). TROFIMOV, Iouri; M. Gorkogo, 40/42-84, Naberezhnaya, g. Moskva, 113035 (RU). CHLOMA, Alexandre; ul. Gagarina, 63-27, g. Zhukovski, Moskovskaya obl., 140160 (RU). BAKOULINE, Mikhail; ul. Kirova, 35-31, g. Podolsk, Moskovskaya obl., 142110 (RU). KREINDELIN, Vitali; ul. Grajvoronovakaya, 8-1-87, g. Moskva, 109518 (RU). (74) Agent: HALEY, R., John; Northern Telecom Limited, Patent Dept., P.O. Box 3511, Station "C", Ottawa, Ontario K1Y 4H7 (CA).			(81) Designated States: CA, JP, RU, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report.

(54) Title: SYNCHRONIZATION TO PSEUDO RANDOM NUMBER SEQUENCE WITH SIGN AMBIGUITY IN COMMUNICATIONS SYSTEMS



(57) Abstract

A method is disclosed for synchronizing, in the presence of sign ambiguity, to a pseudo random maximal length sequence having a characteristic polynomial of order k with a coefficients vector A given by: $A = [1 \ a_1 \ a_2 \ \dots \ a_{k-1} \ 1]$ where each of the coefficients a_1 to a_{k-1} is 1 for an intermediate tap location and otherwise is 0. A received symbol sequence is supplied to and shifted through a $K = k + 1$ stage shift register with P intermediate taps in positions determined by a coefficients vector A_{in} given by: $A_{in} = [1 \ \bar{a}_1 \ (a_1 \oplus a_2) \ (a_2 \oplus a_3) \ \dots \ (a_{k-2} \oplus a_{k-1}) \ \bar{a}_{k-1} \ 1]$ where \bar{a}_1 and \bar{a}_{k-1} are the inverse of a_1 and a_{k-1} respectively and \oplus denotes a modulo-2 sum, whereby the shift register has P intermediate taps where P is a positive integer less than K . A respective correlation signal is recursively added at an input for the received symbol sequence and at each intermediate tap. Each correlation signal is produced by correlating the $P + 1$ signals from said input, intermediate taps, and output other than the signal to which the respective correlation signal is added. The invention is particularly applicable to pilot synchronization in CDMA terminals.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

SYNCHRONIZATION TO PSEUDO RANDOM NUMBER SEQUENCE WITH SIGN AMBIGUITY IN COMMUNICATIONS SYSTEMS

This invention relates to synchronization in communications systems, for example spread spectrum cellular systems or other wireless digital communications systems, and is particularly concerned with synchronization in such systems using long pseudo random number sequences.

Background of the Invention

In spread spectrum communications systems, fast synchronization to a long pseudo random or pseudo noise sequence, referred to for brevity as a PN sequence, is of importance. It is known to handle PN sequence synchronization by using auto-correlation characteristics of the PN sequence. One type of PN sequence widely used in practice is a maximal length sequence, referred to as an m-sequence, which is generated by a linear feedback shift register. If the shift register has k stages, then the length of the m-sequence is $2^k - 1$ symbols.

Long PN sequences are often employed in spread spectrum communications systems, but increase the time required to establish synchronization. To reduce this time it is known to use recurrent searching synchronization methods, with the advantage that synchronization can be achieved based on only a small part of a very long sequence when the SNR (signal-to-noise ratio) is not low. However, these methods have not been effective when the SNR is low, for example less than 0 dB, because only a small part of the sequence is used for synchronization. Low SNR is common in spread spectrum communications systems.

An article by M. Bakouline et al. entitled "An Algorithm For Filtering Linear Recurrent Sequences From Noisy Environments", in the Russian language journal Radiotekhnika, 1994, vol. 6, is discussed below and for brevity and convenience is referred to as Reference A. It is observed for convenience that similar material in the English language is included in the description and appendix of United States Provisional Patent Application No. 60/001,881 filed August 3, 1995 in the names of Gang Li et al. entitled "Synchronization Of Pseudo Random Number Sequence With Phase Ambiguity In Communications Systems", from which this application claims priority.

Reference A discloses an improved method of synchronizing to a PN sequence, which can facilitate fast synchronization to a long PN sequence even at low SNR and when the PN sequence is not an m-sequence. However, the method may not work properly when the received symbol sequence has sign ambiguity, e.g. contains sign inversions. A sign inversion can arise from carrier phase ambiguity, in which case it is constant throughout the entire received symbol sequence. Sign inversions can also occur

in a relatively random manner due to modulation of the sequence by data symbols in normal operating states of the communications system.

An object of this invention is to provide an improved method for achieving synchronization to a PN sequence in the presence of sign ambiguity.

5 Summary of the Invention

The invention provides a method of synchronizing to a PN (pseudo random) sequence which can be generated by a linear feedback shift register having k stages and p intermediate taps, where k and p are positive integers and $k > p$, the sequence being a maximal-length sequence comprising $2^k - 1$ symbols and having a characteristic polynomial of order k with a coefficients vector A given by:

$$A = [1 \ a_1 \ a_2 \ \dots \ a_{k-1} \ 1]$$

where the coefficients a_1 to a_{k-1} are each 1 for an intermediate tap location and otherwise are 0, comprising the steps of: supplying a received symbol sequence having sign ambiguity to an input of, and shifting the sequence through, a shift register having
15 $K = k + 1$ stages with an intermediate tap at each location defined by a 1, between first and last 1s, in a coefficients vector A_{in} given by:

$$A_{in} = [1 \ \bar{a}_1 \ (a_1 \oplus a_2) \ (a_2 \oplus a_3) \ \dots \ (a_{k-2} \oplus a_{k-1}) \ \bar{a}_{k-1} \ 1]$$

where \bar{a}_1 and \bar{a}_{k-1} are the inverse of a_1 and a_{k-1} respectively and \oplus denotes a modulo-2 sum, whereby the shift register has P intermediate taps where P is a positive integer less
20 than K ; recursively adding a respective correlation signal at the input and at each intermediate tap of the shift register; and producing each correlation signal by correlating the $P + 1$ signals from said input, intermediate taps, and output of the shift register other than the signal to which the respective correlation signal is added.

Viewed alternatively, the method comprises shifting the received symbol sequence
25 having sign ambiguity through a shift register having $K = k + 1$ stages with an intermediate tap at each location defined by a 1, between first and last 1s, in a coefficients vector A_{in} given by:

$$A_{in} = [1 \ \bar{a}_1 \ (a_1 \oplus a_2) \ (a_2 \oplus a_3) \ \dots \ (a_{k-2} \oplus a_{k-1}) \ \bar{a}_{k-1} \ 1]$$

where \bar{a}_1 and \bar{a}_{k-1} are the inverse of a_1 and a_{k-1} respectively and \oplus denotes a modulo-2
30 sum, whereby the shift register has P intermediate taps where P is a positive integer less than K ; at the input and at each intermediate tap of the shift register, recursively adding to the shift register contents a respective correlation signal; producing the correlation signal for adding at the input of the shift register by correlating the $P + 1$ signals from the P intermediate taps and the output of the shift register; and producing the correlation signal
35 for adding at each of the P intermediate taps by correlating the $P + 1$ signals from the input, output, and $P - 1$ other intermediate taps of the shift register.

Conveniently the step of producing each correlation signal comprises producing a product of the signs of said $P + 1$ signals and of a minimum absolute value of said $P + 1$ signals. The step of supplying the received symbol sequence to the input of the shift register can comprise the step of modifying the received symbol sequence in accordance with a non-linear function.

The method of this invention thus effectively applies the method of Reference A to a non-maximum length PN sequence of order $K = k + 1$ to achieve synchronization to a PN m-sequence of order k with sign ambiguity (i.e. sign inversion(s)). The invention largely retains the advantages of the method of Reference A, in that it can provide reliable synchronization even for negative SNR and after processing only a small part of the full PN sequence.

The invention is particularly useful in communications systems which may require fast synchronization to a PN m-sequence in relatively low SNR conditions, such as direct sequence spread spectrum communications systems, for example for synchronization to the pilot PN sequence in the pilot channel in an IS-95 CDMA terminal.

Brief Description of the Drawings

The invention will be further understood from the following description with reference to the accompanying drawings, in which:

Fig. 1 schematically illustrates a block diagram of part of a wireless digital communications receiver;

Fig. 2 schematically illustrates a linear feedback shift register for generating a PN sequence;

Fig. 3 schematically illustrates a synchronization arrangement for implementing an embodiment of the method of the invention;

Fig. 4 schematically illustrates in greater detail a correlation block of the synchronization arrangement of Fig. 4; and

Fig. 5 illustrates performance of the arrangement indicated by simulation results.

Detailed Description

The following description presents an algorithm for general channel conditions, followed by simplified algorithms which facilitate practical implementation. An arrangement for implementing an embodiment of the method is then described in detail.

General Channel Conditions

For extracting symbols $b_i = \pm 1$, counted by an integer i and having a symbol duration T_0 , of a PN sequence of length M symbols from a received input signal Y_n accompanied by noise represented by a random value sequence y_n , where n is an integer identifying each sampling point, a processing state is given by equation (1):

$$Y_n = \Psi \left(\vartheta \sum_{i=1}^m b_i f \left(\frac{n \Delta t + (i-1)T_0 - \tau_n}{T_0} \right), y_n \right) \quad (1)$$

where the received signal is assumed for convenience to have unit amplitude, ϑ represents the sign of the received symbol sequence and has the value ± 1 , Δt is the sampling interval, τ_n is a PN sequence delay for the sampling point n and is assumed to be constant for all sampling points, $f(\cdot)$ is a function representing the pulse form which has non-zero values only in the interval $(0,1)$, and $\Psi(\cdot, \cdot)$ is a function which represents the interaction between the signal and noise.

Assuming that the sampling interval $\Delta t = T_0$ and that noise samples are not correlated for this sampling interval, then equation (1) can be expressed as:

$$Y_n = \Psi\left(\vartheta \sum_{i=1}^m b_i f[n-i+1-q], y_n\right) \quad (2)$$

where q is a discrete random variable uniformly distributed in the interval $[0, M-1]$. Assuming that the symbol timing (i.e. clock) recovery is perfect, the pulse form function $f[n-i+1-q]$ is a delta function which has a value of 1 for $n-i+1-q = 0$ and otherwise has a value of 0. Using the notation:

$$B_n \equiv \sum_{i=1}^m b_i f[n-i+1-q] \quad (3)$$

gives the results: $B_n = b_{n-q+1} \quad (4)$

$$Y_n = \Psi(\vartheta B_n, y_n) \quad (5)$$

A linear feedback shift register, having k stages and p taps at stages L_1, L_2, \dots, L_p , can be used as illustrated in Fig. 2 and described below to generate a sequence in accordance with a polynomial $G(D)$ of order k given by:

$$G(D) = D^k + \sum_{i=1}^p D^{L_i} + 1 \quad (6)$$

where i, p , and k are integers. The n -th symbol of such a sequence is determined by a subsequence comprising the k symbols preceding the n -th symbol, so that:

$$B_n = B_{n-k} B_{n-L_1} B_{n-L_2} \dots B_{n-L_p} \quad (7)$$

If the polynomial represented by equation (6) is primitive, then the generated sequence is an m -sequence (maximal length sequence) with a length or period of $M = 2^k - 1$ symbols.

Incorporating a new discrete variable $C_n = \vartheta B_n$ into equation (7) gives:

$$C_{n-k} C_{n-L_1} C_{n-L_2} \dots C_{n-L_p} = \vartheta^{p+1} B_{n-k} B_{n-L_1} B_{n-L_2} \dots B_{n-L_p} \quad (8)$$

For an m -sequence the number of intermediate tap outputs $p+1$ is even, so that $\vartheta^{p+1} = 1$ for any m -sequence. Consequently, a common generating equation for both direct and inverse sequences can be expressed in the form:

$$C_n = \vartheta C_{n-k} C_{n-L_1} C_{n-L_2} \dots C_{n-L_p} \quad (9)$$

and the preceding $(n-1)$ -th step can be expressed as:

$$C_{n-1} = \vartheta C_{n-k-1} C_{n-L_1-1} C_{n-L_2-1} \dots C_{n-L_p-1} \quad (10)$$

from which: $\vartheta = C_{n-1} C_{n-k-1} C_{n-L_1-1} C_{n-L_2-1} \dots C_{n-L_p-1} \quad (11)$

Substituting for ϑ from equation (11) into equation (9) gives the recursive equation for symbols in both direct and inverse sequences:

$$C = C_{n-k} C_{n-L_1} C_{n-L_2} \dots C_{n-L_P} C_{n-1} C_{n-k-1} C_{n-L_1-1} C_{n-L_2-1} \dots C_{n-L_P-1}$$

from which: $C = C_{n-K} C_{n-R_1} C_{n-R_2} \dots C_{n-R_P}$ (12)

- 5 where $K = k + 1$ is the number of stages in the generating shift register for both direct and inverse sequences, the shift register having P intermediate taps at stages $R_1, R_2, \dots R_P$.

The number P and the intermediate tap locations $R_1, R_2, \dots R_P$ of the generating shift register for direct and inverse sequences can be easily determined. If the initial sequence is assumed to be generated by a characteristic polynomial of order k with a

- 10 coefficients vector A given by:

$$A = [1 \ a_1 \ a_2 \ \dots \ a_{k-1} \ 1] \quad (13)$$

where the coefficients a_i (i being an integer from 1 to $k - 1$) are each 1 for the intermediate tap locations $L_1, L_2, \dots L_P$ and otherwise are 0, then a coefficients vector A_{in} of order $K = k + 1$ for the characteristic polynomial for both direct and inverse sequences can be

- 15 determined by a vector modulo-2 sum of two coefficients vectors A_1 and A_2 given by:

$$\begin{aligned} A_1 &= [0 \ [1 \ a_1 \ a_2 \ \dots \ a_{k-1} \ 1]] \\ A_2 &= [[1 \ a_1 \ a_2 \ \dots \ a_{k-1} \ 1] \ 0] \end{aligned} \quad (14)$$

so that:

$$A_{in} = [1 \ \bar{a}_1 \ (a_1 \oplus a_2) \ (a_2 \oplus a_3) \ \dots \ (a_{k-2} \oplus a_{k-1}) \ \bar{a}_{k-1} \ 1] \quad (15)$$

where \bar{a}_i denotes the inverse of a_i and \oplus denotes the modulo-2 sum.

- 20 A channel model is then given by equation (12) above and the equation:

$$Y_n = \Psi(C_n, y_n) \quad (16)$$

It can be seen that this model, for the (non maximal-length) linear recursive sequence of equation (15), has the same form as the model defined in Reference A.

Following the reasoning given in Reference A, a recursive algorithm for filtering a

- 25 discrete PN sequence of symbols having sign ambiguity or inversions is expressed by the following equation derived from Reference A:

$$\hat{C}_{n-\tau+1}^n = \begin{cases} \hat{C}_{n-\tau+1}^{n-1} & \tau \neq R_t + 1, \ t = 1, 2, \dots P \\ \hat{C}_{n-K}^{n-1} \varphi(Y_n) + \prod_{i=1, i \neq t}^P \hat{C}_{n-R_i}^{n-1} + \hat{C}_{n-R_t}^{n-1} & \tau \neq 1 \\ \frac{\hat{C}_{n-K}^{n-1} \varphi(Y_n) + \prod_{i=1}^P \hat{C}_{n-R_i}^{n-1}}{1 + \varphi(Y_n) \hat{C}_{n-K}^{n-1} \prod_{i=1}^P \hat{C}_{n-R_i}^{n-1}} & \tau = R_t + 1, \ t = 1, 2, \dots P \\ \frac{\varphi(Y_n) + \hat{C}_{n-K}^{n-1} \prod_{i=1}^P \hat{C}_{n-R_i}^{n-1}}{1 + \varphi(Y_n) \hat{C}_{n-K}^{n-1} \prod_{i=1}^P \hat{C}_{n-R_i}^{n-1}} & \tau = 1 \end{cases} \quad (17)$$

where $\hat{C}_{n-\tau}^n$ denotes the n -th iteration of a recursive non-linear minimum mean-square estimate of the symbol $C_{n-\tau}$, with the initial condition $C_{1-\tau}^0$ with τ being an integer from 1 to K . Conveniently the initial condition is set to $C_{1-\tau}^0 = 0$ for all values of τ .

It can also be shown that a maximum probability extrapolation estimate \hat{C}_n for the n -th symbol C_n is given by:

$$\hat{C}_n = \text{sgn } \hat{C}_{n-K}^{n-1} \prod_{i=1}^P \text{sgn } \hat{C}_{n-R_i}^{n-1} \quad (18)$$

Analogously to Reference A, equation (17) can be simplified using hyperbolic functions and the following notations:

$$\gamma_n = a \tanh \phi(Y_n)$$

$$\hat{U}_{n-i}^{n-1} = a \tanh \hat{C}_{n-i}^{n-1} \quad (19)$$

$$\hat{U}_{n-i}^n = a \tanh \hat{C}_{n-i}^n$$

$$i = 1, 2, \dots, K$$

with the approximation:

$$a \tanh (\tanh x \tanh y) \approx \text{sgn } x \text{sgn } y \min\{|x|, |y|\} \quad (20)$$

to give the following equation (21):

$$\hat{U}_{n-\tau+1}^n = \begin{cases} \hat{U}_{n-\tau+1}^{n-1} & \tau \neq R_t + 1, \\ & t = 1, 2, \dots, P \\ & \tau \neq 1 \\ \hat{U}_{n-R_t}^{n-1} + \text{sgn } \hat{U}_{n-K}^{n-1} \text{sgn } \gamma_n \prod_{i=1, i \neq t}^P \text{sgn } \hat{U}_{n-R_i}^{n-1} \min \left\{ \left| \hat{U}_{n-K}^{n-1} \right|, \left| \gamma_n \right|, \left| \hat{U}_{n-R_1}^{n-1} \right|, \dots, \left| \hat{U}_{n-R_{t-1}}^{n-1} \right|, \left| \hat{U}_{n-R_{t+1}}^{n-1} \right|, \dots, \left| \hat{U}_{n-R_P}^{n-1} \right| \right\} & \tau = R_t + 1, \\ & t = 1, 2, \dots, P \\ \gamma_n + \text{sgn } \hat{U}_{n-K}^{n-1} \prod_{i=1}^P \text{sgn } \hat{U}_{n-R_i}^{n-1} \min \left\{ \left| \hat{U}_{n-K}^{n-1} \right|, \left| \hat{U}_{n-R_1}^{n-1} \right|, \dots, \left| \hat{U}_{n-R_P}^{n-1} \right| \right\} & \tau = 1 \end{cases}$$

with the initial condition $U_{1-\tau}^0$ with τ being an integer from 1 to K .

For a channel with only additive white Gaussian noise (AWGN), represented by:

$$\gamma_n = \frac{Y_n}{\sigma_n^2} \quad (22)$$

equation (21) can be simplified to the form of the following equation (23):

$$\hat{v}_{n-\tau+1}^n = \begin{cases} \hat{v}_{n-\tau+1}^{n-1} & \tau \neq R_t + 1, \\ & t = 1, 2, \dots, P \\ & \tau \neq 1 \\ \hat{v}_{n-R_t}^{n-1} + \text{sgn } \hat{v}_{n-K}^{n-1} \text{sgn } Y_n \prod_{i=1, i \neq t}^P \text{sgn } \hat{v}_{n-R_i}^{n-1} \min \left\{ \left| \hat{v}_{n-K}^{n-1} \right|, \left| Y_n \right|, \left| \hat{v}_{n-R_1}^{n-1} \right|, \dots, \left| \hat{v}_{n-R_{t-1}}^{n-1} \right|, \left| \hat{v}_{n-R_{t+1}}^{n-1} \right|, \dots, \left| \hat{v}_{n-R_P}^{n-1} \right| \right\} & \tau = R_t + 1, \\ & t = 1, 2, \dots, P \\ Y_n + \text{sgn } \hat{v}_{n-K}^{n-1} \prod_{i=1}^P \text{sgn } \hat{v}_{n-R_i}^{n-1} \min \left\{ \left| \hat{v}_{n-K}^{n-1} \right|, \left| \hat{v}_{n-R_1}^{n-1} \right|, \dots, \left| \hat{v}_{n-R_P}^{n-1} \right| \right\} & \tau = 1 \end{cases}$$

with the initial condition $\hat{v}_{-\tau}^0$ with τ being an integer from 1 to K, where:

$$\begin{aligned} \hat{v}_{n-K}^{n-1} &= \sigma_y^2 \hat{U}_{n-K}^{n-1} \\ \hat{v}_{n-\tau}^n &= \sigma_y^2 \hat{U}_{n-\tau}^n \end{aligned} \quad (24)$$

and σ_y^2 can be unknown.

5 Physical Implementation

Referring now to the drawings, Fig. 1 illustrates in a block diagram parts of a wireless digital communications receiver, for example for a spread spectrum cellular communications system compatible with the IS-95 standard, in which a wireless digital communications signal is supplied via an RF (radio frequency) circuit 20 of a receiver to a down converter 22 to produce a signal which is sampled by a sampler 24, the samples being converted into digital form by an A-D (analog-to-digital) converter 26 for processing in digital circuits 28 conveniently implemented in a DSP integrated circuit. The digital circuits 28 include a carrier recovery block 30, a timing or clock recovery block 32, and a PN sequence synchronization block 34 in which processing of the digital signals is performed. The PN sequence synchronization block 34 is supplied with the sampled and digitized received symbol sequence from the output of the A-D converter 26, and this constitutes the input signal Y_n of equation (1) above and is the input to the synchronization arrangement described below with reference to Fig. 3.

Fig. 2 illustrates a linear feedback shift register arrangement which can be used to produce a PN sequence for synchronization. The arrangement comprises a shift register 36 having k stages numbered 1 to k, with the outputs of the k-th stage and of intermediate taps along the shift register at the outputs of stages L_1 , L_2 , and L_p supplied to inputs of a modulo-2 adder 38. An output of the adder 38 is fed back to the input of the first stage of the shift register and also constitutes a PN sequence output signal in accordance with equation (7) above.

By way of example, it is assumed that the PN sequence to which synchronization is to be established is an m-sequence with order $k = 10$ and the generation polynomial:

$$G_{10}(D) = 1 + \sum_{i=3}^{10} D^i \quad (25)$$

The period or length of the sequence is $2^k - 1 = 1023$ symbols, and the number of intermediate taps of a linear feedback shift register which can be used to generate the sequence is $p = 7$. The coefficients vector for the generating polynomial for this sequence can be seen from equations (13) and (25) to be:

$$A = [1 \ 0 \ 0 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1] \quad (26)$$

From equation (14), the coefficients vectors $A1$ and $A2$ and their element-by-element modulo-2 sum constituting the coefficients vector A_{in} of the characteristic polynomial of order $K = k + 1 = 11$ are:

$$\begin{aligned} A1 &= [0 \ 1 \ 0 \ 0 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1] \\ A2 &= [1 \ 0 \ 0 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 0] \\ A_{in} &= [1 \ 1 \ 0 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 1] \end{aligned} \quad (27)$$

from which it can be seen that $P = 2$ and the generating polynomial for both direct and inverse sequences is:

$$A_{in} = 1 + D + D^3 + D^{11} \quad (28)$$

Fig. 3 illustrates a consequent synchronization arrangement, which includes three correlators and adders as described below for the case of $P = 2$ and serves to implement equation (21). Fig. 4 illustrates the form of each of the correlators.

Referring to Fig. 3, the synchronization arrangement comprises three shift register parts 90 to 92 which, in accordance with the coefficients vector A_{in} of equations (27) and (28), provide outputs at intermediate taps after 1 and 3 stages, and an output after $K = 11$ stages, of the shift register. Inputs of the shift register parts 90 to 92 are supplied with the outputs of adders 94 to 96 respectively. A calculator 93 produces the output signal γ_n from the input signal Y_n constituted by the received symbol sequence in accordance with the first line of equation (19). Each of the three correlators 97 to 99 is as described below with reference to Fig. 4 and produces a respective correlation signal by correlating the three signals from said input, intermediate taps, and output other than the signal to which the respective correlation signal is added by the respective one of the adders 94 to 96.

Thus the correlator 97, producing a correlation signal to be added in the adder 94 to the signal γ_n derived by the calculator 93 from the input signal Y_n , correlates the signals from the output and the two intermediate taps of the shift register. The correlator 98, producing a correlation signal to be added in the adder 95 to the output signal from the first stage of the shift register (part 90), correlates the signal γ_n derived from the input signal Y_n and the signals from the output and the third stage (part 91) of the shift register. Similarly the correlator 99, producing a correlation signal to be added in the adder 96 to

the output signal from the third stage of the shift register (part 91), correlates the signal γ_n derived from the input signal Y_n and the signals from the output and the first stage (part 90) of the shift register.

As illustrated in Fig. 4, each of the correlators 97 to 99 comprises three sign functions (SGN) 74 to 76, which are supplied with the three input signals to the correlator and produce at their outputs sign signals representing the signs of these inputs, three absolute value functions (ABS) 78 to 80, which are supplied with the three input signals to the correlator and produce at their outputs signals representing the absolute values of these inputs, a minimum function (MIN) 82, which produces at its output the minimum value of the absolute values supplied to its inputs from the functions 78 to 80, and two multipliers 84 and 86. The multiplier 84 produces at its output a product of the sign signals supplied to its inputs from the functions 74 to 76, and the multiplier 86 multiplies this output by the minimum value produced by the function 82 to produce the output signal of the correlator. As can be appreciated, all of these functions can be easily implemented within a DSP integrated circuit, without requiring division or multiple digit multiplication operations.

It is also observed that, although for the correlators are described separately, the sign functions 74 to 76 and the absolute value functions 78 to 80 can be used commonly among the correlators; i.e. the three correlators 97 to 99 only require a total of four such sign functions and four such absolute value functions for producing the signs and absolute values of the four signals to be correlated.

It can easily be seen that the synchronization arrangement of Figs. 3 and 4 operates in accordance with equation (21) above. Initially the shift register contents are zeroed, and the synchronized PN sequence can be obtained from the contents of the shift register parts 90 to 92 when synchronization has been achieved. It can also be seen that this arrangement operates in accordance with the simplified equation (23) for a channel with AWGN simply by replacing the non-linear calculation function 93 by a constant.

Fig. 3 also shows the synchronization arrangement as including an optional further sign function (SGN) 88, which is supplied with an additional output from the correlator 97, this output being taken from the output of the multiplier 84 in the correlator 97 as shown by a broken line in Fig. 4. The output of the function 88 constitutes the symbol estimate \hat{C}_n in accordance with equation (18), and thus this is easily provided as a byproduct of the synchronization process.

Fig. 5 illustrates approximately performance of the synchronization method and arrangement described in the above example as expected from simulation results, showing the probability of synchronization plotted against number of symbols of the sequence received, for SNRs of 0 and -3 dB. In the former case, synchronization is achieved within 200 symbols. In the latter case, the probability of synchronization being achieved

within 200 symbols is reduced to about 0.7. As can be appreciated from these results, even with these low SNRs and phase ambiguity, synchronization is achieved within only part of the PN sequence, and the speed of synchronization improves rapidly with increasing SNR.

- 5 The synchronization arrangement described above is therefore particularly advantageous in cases where the SNR is low, for example about 0 dB or less. For very low SNR, the arrangement can be used for one stage of a multiple stage system. It is further noted that the arrangement has the advantage that its complexity is largely independent of the PN sequence length, being proportionally dependent upon the length
- 10 of the PN sequence generating register.

Although particular embodiments of the invention have been described in detail, it should be appreciated that numerous modifications, variations, and adaptations may be made without departing from the scope of the invention as defined in the claims.

WHAT IS CLAIMED IS:

1. A method of synchronizing to a PN (pseudo random) sequence which can be generated by a linear feedback shift register having k stages and p intermediate taps, where k and p are positive integers and $k > p$, the sequence being a maximal-length sequence comprising $2^k - 1$ symbols and having a characteristic polynomial of order k with a coefficients vector A given by:

$$A = [1 \ a_1 \ a_2 \ \dots \ a_{k-1} \ 1]$$
 where the coefficients a_1 to a_{k-1} are each 1 for an intermediate tap location and otherwise are 0, comprising the steps of:
 - 10 supplying a received symbol sequence having sign ambiguity to an input of, and shifting the sequence through, a shift register having $K = k + 1$ stages with an intermediate tap at each location defined by a 1, between first and last 1s, in a coefficients vector A_{in} given by:

$$A_{in} = [1 \ \bar{a}_1 \ (a_1 \oplus a_2) \ (a_2 \oplus a_3) \ \dots \ (a_{k-2} \oplus a_{k-1}) \ \bar{a}_{k-1} \ 1]$$
 15 where \bar{a}_1 and \bar{a}_{k-1} are the inverse of a_1 and a_{k-1} respectively and \oplus denotes a modulo-2 sum, whereby the shift register has P intermediate taps where P is a positive integer less than K ;
 - recursively adding a respective correlation signal at the input and at each intermediate tap of the shift register; and
 - 20 producing each correlation signal by correlating the $P + 1$ signals from said input, intermediate taps, and output of the shift register other than the signal to which the respective correlation signal is added.
 2. A method as claimed in claim 1 wherein the step of producing each correlation signal comprises producing a product of the signs of said $P + 1$ signals and of a minimum absolute value of said $P + 1$ signals.
 - 25 3. A method as claimed in claim 1 or 2 wherein the step of supplying the received symbol sequence to the input of the shift register comprises the step of modifying the received symbol sequence in accordance with a non-linear function.
 4. A method as claimed in claim 1, 2, or 3 wherein $P = 2$.
 - 30 5. A method of synchronizing a received symbol sequence having sign ambiguity to a PN (pseudo random) sequence which can be generated by a linear feedback shift register having k stages and p intermediate taps, where k and p are positive integers and $k > p$, the sequence being a maximal-length sequence comprising $2^k - 1$ symbols and having a characteristic polynomial of order k with a coefficients vector A given by:

$$A = [1 \ a_1 \ a_2 \ \dots \ a_{k-1} \ 1]$$
 35 where the coefficients a_1 to a_{k-1} are each 1 for an intermediate tap location and otherwise

are 0, comprising the steps of:

shifting the received symbol sequence through a shift register having $K = k + 1$ stages with an intermediate tap at each location defined by a 1, between first and last 1s, in a coefficients vector A_{in} given by:

$$5 \quad A_{in} = [1 \quad \bar{a}_1 \quad (a_1 \oplus a_2) \quad (a_2 \oplus a_3) \quad \dots \quad (a_{k-2} \oplus a_{k-1}) \quad \bar{a}_{k-1} \quad 1]$$

where \bar{a}_1 and \bar{a}_{k-1} are the inverse of a_1 and a_{k-1} respectively and \oplus denotes a modulo-2 sum, whereby the shift register has P intermediate taps where P is a positive integer less than K;

10 at the input and at each intermediate tap of the shift register, recursively adding to the shift register contents a respective correlation signal;

producing the correlation signal for adding at the input of the shift register by correlating the P + 1 signals from the P intermediate taps and the output of the shift register; and

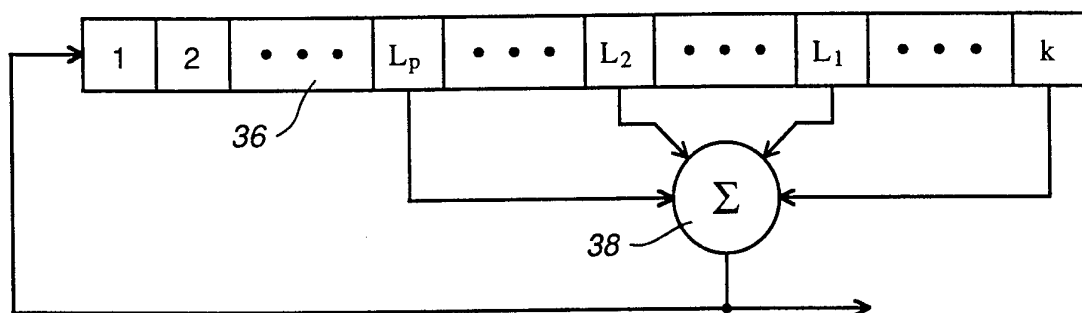
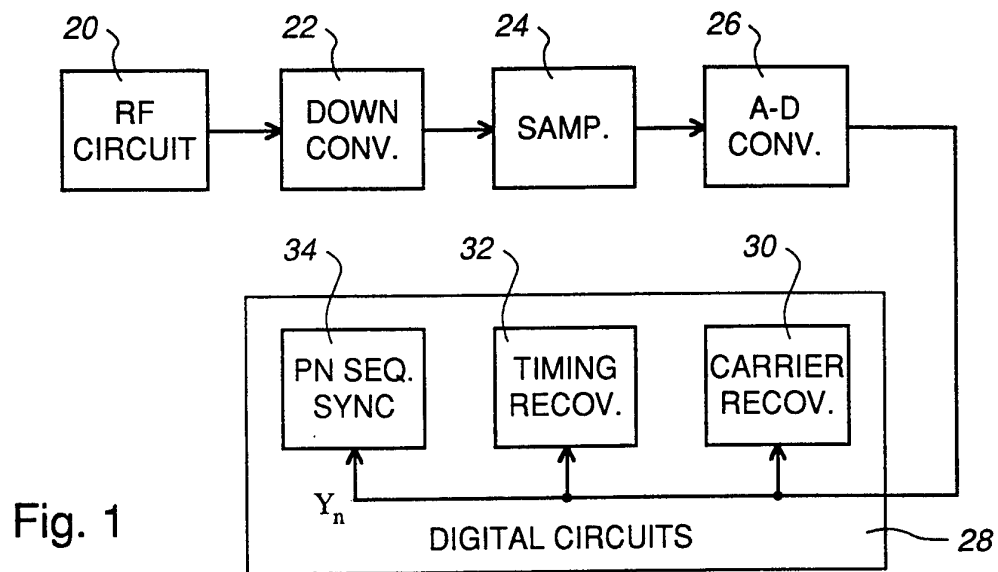
15 producing the correlation signal for adding at each of the P intermediate taps by correlating the P + 1 signals from the input, output, and P - 1 other intermediate taps of the shift register.

6. A method as claimed in claim 5 wherein the step of producing each correlation signal comprises producing a product of the signs and of a minimum absolute value of the P + 1 signals being correlated.

20 7. A method as claimed in claim 5 or 6 and including the step of supplying the received symbol sequence to the input of the shift register via a non-linear function.

8. A method as claimed in claim 5, 6, or 7 wherein $P = 2$.

1/3



2/3

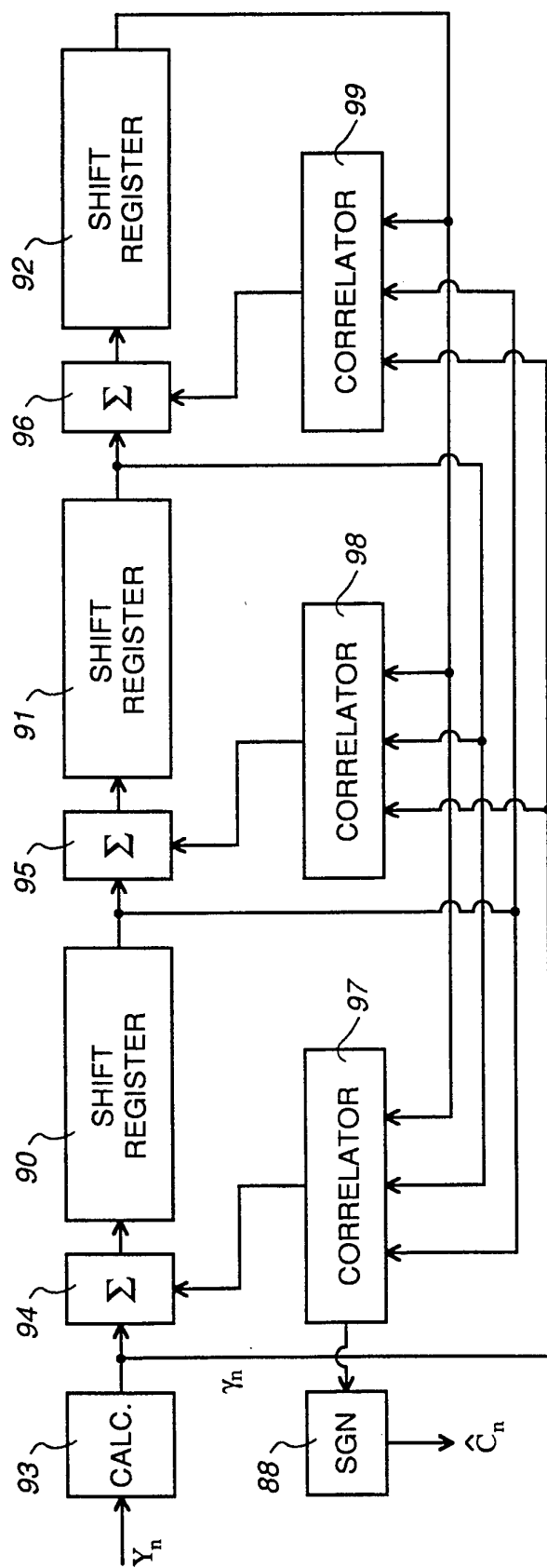


Fig. 3

3/3

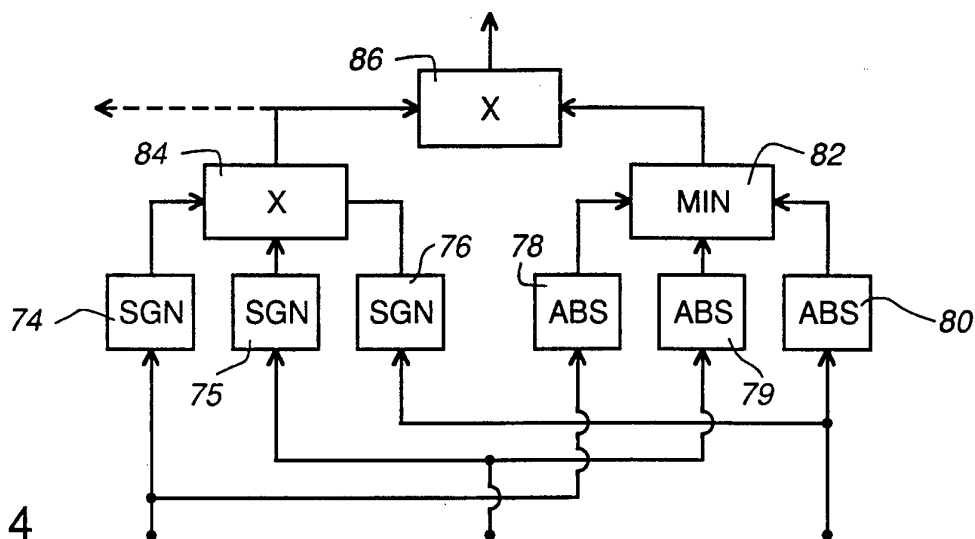


Fig. 4

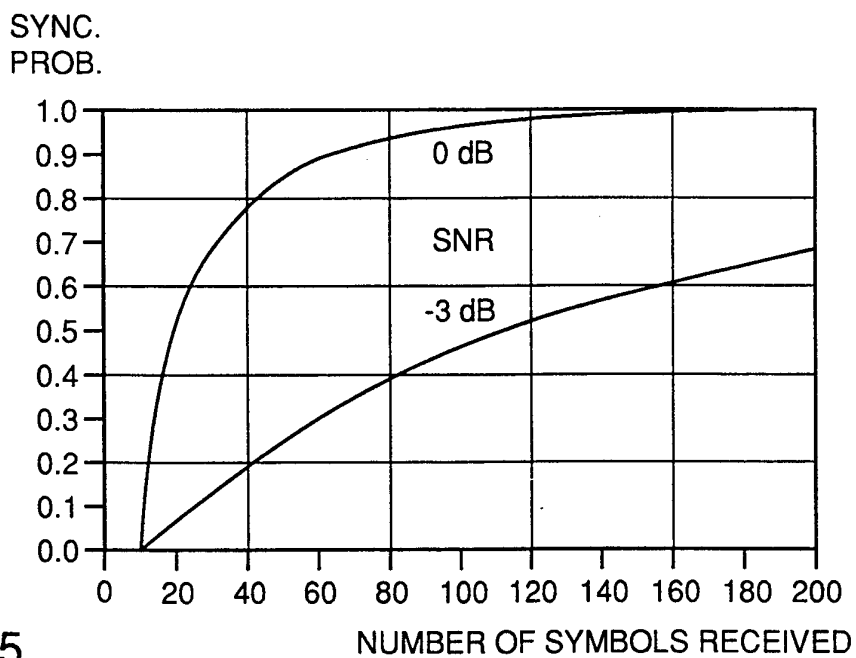


Fig. 5

INTERNATIONAL SEARCH REPORT

International Application No
PCT/CA 96/00428

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 H04B1/707

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 H04B G01S

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	TELECOMMUNICATIONS AND RADIO ENGINEERING, vol. 48, no. 9, September 1993, WASHINGTON US, pages 119-125, XP002014875 BAKULIN ET AL: "An algorithm for filtering linear recurrent sequences from a mixture with noise " cited in the application see page 124, line 3 - line 7; figure 1 ---	1,5
A	EP,A,0 654 913 (NOKIA MOBILE PHONES LTD) 24 May 1995 see column 2, line 13 - column 7, line 58; figures 1-5 --- -/--	1,5

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

2 October 1996

Date of mailing of the international search report

17.10.1996

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+ 31-70) 340-3016

Authorized officer

Bossen, M

INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 96/00428

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>US,A,5 181 225 (NEESER FREDY D ET AL) 19 January 1993 see column 2, line 10 - line 39 see column 2, line 46 - column 3, line 6 see column 3, line 46 - line 62 see column 5, line 16 - column 6, line 18; figures 1,2</p> <p style="text-align: center;">-----</p>	1,5

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 96/00428

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A-0654913	24-05-95	US-A- 5440597	08-08-95
		CN-A- 1116382	07-02-96
		JP-A- 7202753	04-08-95

US-A-5181225	19-01-93	AT-T- 118661	15-03-95
		DE-D- 59104600	23-03-95
		EP-A- 0486833	27-05-92
		JP-A- 4323926	13-11-92
