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(71) Applicant:

GENMAB A/S P.O. BOX 9068  
BREDGADE 34 DK-1260 COPENHAGEN  
K DK

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(72) Inventor:

LABRIJN, ARAN, FRANK YALELAAN 60  
NL-3584 CM UTRECHT NL  
MEESTERS, JOYCE YALELAAN 60  
NL-3584 CM UTRECHT NL  
BREMER, EWALD VAN DEN YALELAAN  
60 NL-3584 CM UTRECHT NL  
NEIJSEN, JOOST, J. YALELAAN 60  
NL-3584 CM UTRECHT NL  
BERKEL, PATRICK VAN DERDE  
WESTERPARKLAAN 321 NL-3544 NH  
UTRECHT NL  
GOEIJ, BART DE YALELAAN 60  
NL-3584 CM UTRECHT NL  
VINK, TOM YALELAAN 60 NL-3584 CM  
UTRECHT NL  
VAN DE WINKEL, JAN YALELAAN 60  
NL-3584 CM UTRECHT NL  
SCHUURMAN, JANINE YALELAAN 60  
NL-3584 CM UTRECHT NL  
PARREN, PAUL YALELAAN 60 NL-3584  
CM UTRECHT NL

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HETERODIMERIC ANTIBODY FC-CONTAINING PROTEINS  
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(57) **Abstract:**

Novel heterodimeric antibody-Fc-containing proteins, such as bispecific antibodies, and novel methods for producing such proteins.

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laan 60, NL-3584 CM Utrecht (NL). **SCHUURMAN, Janine** [NL/NL]; Yalelaan 60, NL-3584 CM Utrecht (NL). **PARREN, Paul** [NL/NL]; Yalelaan 60, NL-3584 CM Utrecht (NL).

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(71) Applicant (for all designated States except US): **GEN-MAB A/S** [DK/DK]; P.O. Box 9068, Bredgade 34, DK-1260 Copenhagen K (DK).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **LABRIJN, Aran, Frank** [NL/NL]; Yalelaan 60, NL-3584 CM Utrecht (NL). **MEESTERS, Joyce** [NL/NL]; Yalelaan 60, NL-3584 CM Utrecht (NL). **BREMER, Ewald van den** [NL/NL]; Yalelaan 60, NL-3584 CM Utrecht (NL). **NEIJSEN, Joost, J.** [NL/NL]; Yalelaan 60, NL-3584 CM Utrecht (NL). **BERKEL, Patrick van** [NL/NL]; Yalelaan 60, NL-3584 CM Utrecht (NL). **GOEIJ, Bart de** [NL/NL]; Yalelaan 60, NL-3584 CM Utrecht (NL). **VINK, Tom** [NL/NL]; Yalelaan 60, NL-3584 CM Utrecht (NL). **VAN DE WINKEL, Jan** [NL/NL]; Yale-

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(54) Title: HETERODIMERIC ANTIBODY FC-CONTAINING PROTEINS AND METHODS FOR PRODUCTION THEREOF

(57) Abstract: Novel heterodimeric antibody-Fc-containing proteins, such as bispecific antibodies, and novel methods for producing such proteins.

## **HETERODIMERIC ANTIBODY FC-CONTAINING PROTEINS AND METHODS FOR PRODUCTION THEREOF**

### **FIELD OF THE INVENTION**

5 The present invention relates to novel heterodimeric antibody-Fc-containing proteins, such as bispecific antibodies, and novel methods for producing such proteins.

### **BACKGROUND OF THE INVENTION**

Monoclonal antibodies have in recent years become successful therapeutic molecules, 10 in particular for the treatment of cancer. Unfortunately, however, monoclonal antibodies are often unable to cure diseases when used as monotherapy. Bispecific antibodies can potentially overcome some of the limitations of monoclonal antibody 15 therapy, e.g. they could be used as mediators to target a drug or toxic compound to target cells, as mediators to retarget effector mechanisms to disease-associated sites or as mediators to increase specificity for tumor cells, for example by binding to a combination of targets molecules that is exclusively found on tumor cells.

Different formats and uses of bispecific antibodies have recently been reviewed by Chames and Baty (2009) Curr Opin Drug Disc Dev 12: 276. One of the major obstacles in the development of bispecific antibodies has been the difficulty of 20 producing the material in sufficient quality and quantity by traditional technologies, such as the hybrid hybridoma and chemical conjugation methods (Marvin and Zhu (2005) Acta Pharmacol Sin 26:649). Co-expression in a host cell of two antibodies, consisting of different heavy and light chains, leads to a mixture of possible antibody products in addition to the desired bispecific antibody.

25 Several strategies have been described to favor the formation of a heterodimeric, i.e. bispecific, product upon co-expression of different antibody constructs.

Lindhofer et al. (1995 J Immunol 155:219) have described that fusion of rat and mouse hybridomas producing different antibodies leads to enrichment of 30 functional bispecific antibodies, because of preferential species-restricted heavy/light chain pairing. Another strategy to promote formation of heterodimers over homodimers is a "knob-into-hole" strategy in which a protuberance is introduced at the interface of a first heavy-chain polypeptide and a corresponding cavity in the interface of a second heavy-chain polypeptide, such that the protuberance can be 35 positioned in the cavity so as to promote heterodimer formation and hinder

homodimer formation. "Protuberances" are constructed by replacing small amino-acid side-chains from the interface of the first polypeptide with larger side chains. Compensatory "cavities" of identical or similar size to the protuberances are created in the interface of the second polypeptide by replacing large amino-acid side-chains  
5 with smaller ones (US patent 5,731,168). EP1870459 (Chugai) and WO 2009089004 (Amgen) describe other strategies for favoring heterodimer formation upon co-expression of different antibody domains in a host cell. In these methods, one or more residues that make up the CH3-CH3 interface in both CH3 domains are replaced with a charged amino acid such that homodimer formation is  
10 electrostatically unfavorable and heterodimerization is electrostatically favorable. WO2007110205 (Merck) describe yet another strategy, wherein differences between IgA and IgG CH3 domains are exploited to promote heterodimerization.

Dall'acqua et al. (1998 Biochemistry 37:9266) have identified five energetically key amino-acid residues (366, 368, 405, 407 and 409) that are  
15 involved in the CH3-CH3 contact in the interface of a CH3 homodimer.

WO 2008119353 (Genmab) describes an in vitro method for producing bispecific antibodies wherein a bispecific antibody is formed by "Fab-arm" or "half-molecule" exchange (swapping of a heavy chain and attached light chain) between two monospecific IgG4- or IgG4-like antibodies upon incubation under reducing  
20 conditions. This Fab-arm exchange reaction is the result of a disulfide-bond isomerization reaction and dissociation-association of CH3 domains wherein heavy-chain disulfide bonds in the hinge regions of the parent (originally monospecific) antibodies are reduced and the resulting free cysteines form an inter heavy-chain disulfide bond with cysteine residues of another parent antibody molecule (originally  
25 with a different specificity), and simultaneously CH3 domains of the parent antibodies release and reform by dissociation-association. The resulting product is a bispecific antibody having two Fab arms which potentially are composed different sequences. It should be noted that the process is random however and Fab-arm exchange can also occur between two molecules with identical sequence or two  
30 bispecific molecules can engage in Fab-arm exchange to regenerate antibodies comprising the original monospecific parent antibody specificity.

It has now surprisingly been found that by introducing asymmetrical mutations in the CH3 regions of the two monospecific starting proteins, the Fab-arm exchange reaction can be forced to become directional and thereby yield highly  
35 stable heterodimeric proteins.

**SUMMARY OF THE INVENTION**

Accordingly, in one aspect, the present invention provides an efficient in vitro method for the production of highly stable heterodimeric Fc-containing proteins on the basis of stable homodimeric Fc-containing starting materials. For example, a highly stable bispecific antibody can be formed with high yield and purity on the basis of two stable monospecific antibodies as starting material.

Thus, in one aspect, the invention relates to an in vitro method for generating a heterodimeric protein, said method comprising the following steps:

10

- a) providing a first homodimeric protein comprising an Fc region of an immunoglobulin, said Fc region comprising a first CH3 region,
- b) providing a second homodimeric protein comprising an Fc region of an immunoglobulin, said Fc region comprising a second CH3 region,

15       wherein the sequences of said first and second CH3 regions are different and are such that the heterodimeric interaction between said first and second CH3 regions is stronger than each of the homodimeric interactions of said first and second CH3 regions,

- c) incubating said first protein together with said second protein under reducing conditions sufficient to allow the cysteines in the hinge region to undergo disulfide-bond isomerization, and
- 20       d) obtaining said heterodimeric protein.

The method can for example be used for in vitro production of heterodimeric proteins, such as bispecific antibodies, for various uses, such as therapeutic or diagnostic uses. An advantage of this in vitro method is that heavy-chain/light-chain pairing stays intact during the reaction, so no undesired combinations of heavy chain and light chains are obtained in the product. This in contrast to some of the co-expression methods described in the prior art (see above) where a common light chain which can form a functional antibody with both heavy chain needs to be found in order to avoid the formation of non-functional heavy-chain/light-chain products, because of random heavy-chain/light-chain pairing in the cell. In addition, the in vitro process can be performed in the laboratory which allows greater control, flexibility and yield of the heterodimeric protein than is allowed by co-expression.

The in vitro method of the invention can also be used to create compound libraries of larger size, e.g. in a screening method to identify advantageous combinations of specificities. For example, for some combinations of antibody targets, not any bispecific antibody will be functional, i.e. be able to bind to both

5 targets at the same time and mediate the desired functional effects. In such cases, a bispecific antibody having a desired property, e.g. optimal target binding or cell killing, may be identified by:

- a) providing a first set of homodimeric antibodies having different variable regions, wherein said antibodies of said first set comprise a first CH3 region,
- 10 b) providing a second set of homodimeric antibodies having different variable regions, wherein said antibodies of said second set comprise a second CH3 region,  
wherein the sequences of said first and second CH3 regions are different and are such that the heterodimeric interaction between said first and second CH3
- 15 regions is stronger than each of the homodimeric interactions of said first and second CH3 regions,
- c) incubating combinations of antibodies of said first set and of said second set under reducing conditions sufficient to allow the cysteines in the hinge region to undergo disulfide-bond isomerization, thus generating a set of
- 20 bispecific antibodies,
- d) optionally restoring the conditions to non-reducing,
- e) assaying the resulting set of bispecific antibodies for a given desired property, and
- f) selecting a bispecific antibody having the desired property.

25

In further aspects, the present invention relates to heterodimeric proteins obtained or obtainable by the method of the invention and to methods for producing heterodimeric proteins of the invention by co-expression in a suitable host cell.

30 **BRIEF DESCRIPTION OF THE DRAWINGS**

**Figure 1: Generation of bispecific antibodies by interspecies Fab-arm exchange.** The generation of bispecific antibodies after GSH-induced *in vitro* Fab-arm exchange between the indicated EGFR (2F8) and CD20 (7D8) IgG4 antibodies was determined by an ELISA. A concentration series (total antibody) of 0-1 µg/mL

35 was analyzed in the ELISA. Bispecific binding was higher after Fab-arm exchange

between rhesus (Rh) and human (Hu) IgG4 antibodies than between two antibodies of the same species.

**Figure 2: Alignment of the amino acid sequences of the core hinge (i.e. the CPPC sequence in human IgG1 which includes the two cysteine residues that potentially form the interheavy chain disulphide bonds and corresponding residues in other human isotypes or other species) and CH3-CH3 interface of the human and rhesus antibody isotypes.**

**Figure 3: Generation of bispecific antibodies using mutant human IgG1**

**engaged for Fab-arm exchange.** The generation of bispecific antibodies after

10 GSH-induced *in vitro* Fab-arm exchange between human CD20 (7D8) IgG4 antibody and the indicated human EGFR (2F8) IgG1 antibodies was determined by an ELISA.

The presented graph shows average numbers of three independent Fab-arm exchange experiments, in which a total antibody concentration of 1 µg/mL was used for ELISA. Bispecific binding was higher after Fab-arm exchange between IgG1-2F8-

15 CPSC-ITL and IgG4-7D8 than between two IgG4 antibodies. Combining IgG4-7D8 with either IgG1-2F8-CPSC or IgG1-2F8-ITL did not result in bispecific antibodies under the conditions used.

**Figure 4: Generation of bispecific antibodies by *in vivo* Fab-arm exchange of human IgG4 and mutant IgG1 antibodies.** The generation of bispecific antibodies

20 after *in vivo* Fab-arm exchange in immunodeficient mice between human CD20 (7D8) IgG4 and the indicated human EGFR (2F8) IgG1 and IgG4 mutant antibodies was determined by an ELISA. The presented graph shows average numbers (n=4).

Bispecific reactivity is presented as the concentration bispecific antibodies relative to the total IgG concentration (percentage). Human IgG4 with a stabilized hinge (CPPC) or R409K mutation in the CH3 domain is not able to participate in Fab-arm exchange. IgG1 with both a CPSC sequence in the hinge and a K409R mutation in the CH3 domain is engaged for Fab-arm exchange. (\*) Bispecific binding for the mixtures containing either IgG1-2F8, IgG4-2F8-CPPC or IgG4-2F8-R409K was below

25 the detection limit and therefore arbitrarily set to zero.

30 **Figure 5: Generation of bispecific antibodies using 2-mercaptopo-**

**ethylamine•HCl- (2-MEA-) induced Fab-arm exchange between human IgG1**

**and IgG4 antibodies.** The generation of bispecific antibodies after 2-MEA-induced

*in vitro* Fab-arm exchange between the indicated human EGFR (2F8) and CD20 (7D8) antibodies was determined by an ELISA. A concentration series of 0-40 mM 2-

35 MEA was tested. The presented graph shows the result of the ELISA in which a total

antibody concentration of 20  $\mu$ g/mL was used. 2-MEA efficiently induced Fab-arm exchange, also between antibodies containing a stabilized hinge (CPPC). Concerning the CH3 domains, a combination of human IgG4 x human IgG1 with the triple mutation T350I-K370T-F405L, resulted in higher levels of bispecific reactivity  
5 compared to two wild type IgG4 antibodies.

**Figure 6: Generation of bispecific antibodies using 2-MEA-induced Fab-arm exchange between human IgG1 and IgG4 antibodies.**

The generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm exchange between the indicated human EGFR (2F8) and CD20 (7D8) antibodies was  
10 determined by mass spectrometry for all samples of the concentration series of 0-40 mM 2-MEA. (A) Representative examples of the mass spectrometry profiles for samples of Fab-arm exchange reactions between IgG1-2F8-ITL x IgG4-7D8-CPPC with 0 mM, 7 mM and 40 mM 2-MEA are shown. (B) After quantification of the mass spectrometry data, the percentage bispecific antibody was calculated and plotted  
15 against the concentration 2-MEA in the Fab-arm exchange reaction. IgG4-2F8 x IgG4-7D8 resulted in ~50% bispecific antibody. IgG1-2F8-ITL x IgG4-7D8-CPPC resulted in ~95% bispecific antibody.

**Figure 7: Stability analysis of heterodimeric bispecific antibodies obtained by 2-MEA-induced Fab-arm exchange.** The stability of bispecific samples

20 generated by 2-MEA induced Fab-arm exchange by combining either IgG1-2F8-ITL x IgG4-7D8-CPPC (A), or IgG4-2F8 x IgG4-7D8 (B) was tested by measuring EGFR/CD20 bispecific binding in an ELISA after a GSH-induced Fab-arm exchange reaction in the presence of the indicated concentrations irrelevant IgG4. Bispecific binding is presented relative to the bispecific binding of the starting material  
25 (control), which was set to 100%. (A) Bispecific binding of the 2-MEA-induced bispecific product derived from IgG1-2F8-ITL x IgG4-7D8-CPPC was preserved, indicating a stable product that did not participate in Fab-arm exchange under GSH conditions. (B) Bispecific EGFR/CD20 binding of the 2-MEA-induced bispecific product derived from IgG4-2F8 x IgG4-7D8 was diminished, indicating that the product  
30 participated in Fab-arm exchange with the irrelevant IgG4 under GSH conditions.

**Figure 8: Plasma clearance rate of a heterodimeric bispecific antibody generated by 2-MEA-induced Fab-arm exchange.** Three groups of mice (3 mice per group) were injected with the indicated antibodies: (1) 100  $\mu$ g bispecific antibody, generated by *in vitro* 2-MEA-induced Fab-arm exchange between IgG1-

35 2F8-ITL x IgG4-7D8-CPPC; (2) 100  $\mu$ g bispecific antibody + 1,000  $\mu$ g irrelevant

IgG4; (3) 50 µg IgG1-2F8-ITL + 50 µg IgG4-7D8-CPPC. (A) Total antibody concentrations over time, determined by ELISA. The curves of the total antibody plasma concentrations were the same for all antibodies. (B) Bispecific antibody concentration as determined by an ELISA. The bispecificity of the injected antibody 5 was the same with and without the addition of an excess irrelevant IgG4. (\*) Bispecific binding for the IgG1-2F8-ITL + IgG4-7D8-CPPC mixture was below the detection limit and therefore the corresponding symbols could not be plotted in this graph. Mean values of two ELISA experiments are shown.

**Figure 9: Purity of bispecific antibody generated by Fab-arm exchange between human IgG1-2F8 and IgG4-7D8-CPPC.** (A) Reducing SDS-PAGE (a) shows bands of the heavy and light chains for both the bispecific sample and the IgG1 control sample. Non-reducing SDS-PAGE (b). (B) The peak results from the HP-SEC analysis shows that >98% of the bispecific sample is homogenous, and practically no antibody aggregates were detectable. (C) Mass spectrometry shows 15 that Fab-arm exchange resulted in approximately 100% bispecific product.

**Figure 10: Comparison between triple mutant (ITL), double mutants (IT, IL, TL) and single mutant (L) human IgG1-2F8 in the generation of bispecific antibodies by Fab-arm exchange with human IgG4-7D8.** The generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm exchange between the 20 human IgG1-2F8 triple and double mutants and wild type IgG4-7D8 with a CPSC hinge (A) or mutant IgG4-7D8-CPPC with a stabilized hinge (B), or the single mutant IgG1-2F8-F405L and IgG4-7D8 with a wild type CPSC or stabilized CPPC hinge (C), was determined by an ELISA. A concentration series (total antibody) of 0-20 µg/mL or 0-10 µg/mL was analyzed in the ELISA for the experiments including the double 25 and single mutants, respectively. Combinations with the double mutants IgG1-2F8-IL and -TL result in bispecific EGFR/CD20 binding similar as the triple mutant IgG1-ITL. Combinations with the IgG1-2F8-IT do not result in a bispecific product. Combinations with the single mutant IgG1-2F8-F405L result in bispecific EGFR/CD20 binding.

**Figure 11: Generation of bispecific antibodies using 2-MEA-induced Fab-arm exchange at different temperatures.** The generation of bispecific antibodies by combining the indicated human EGFR (2F8) and CD20 (7D8) antibodies in 2-MEA-induced *in vitro* Fab-arm exchange reactions at 0°C, 20°C and 37°C was followed in time by an ELISA. Bispecific binding was most efficient at 37°C, and slower at 20°C. 35 At 0°C, no generation of bispecific binding was measured.

**Figure 12: Generation of bispecific antibodies by *in vitro* Fab-arm exchange induced by different reducing agents.** An ELISA was used to measure the generation of bispecific antibodies by combining human IgG1-2F8-ITL and IgG4-7D8-CPPC in a reduction reaction with concentration series of the indicated reducing agents. Bispecific binding was measured after the reactions with DTT (maximum obtained at 2.5 mM DTT) and 2-MEA (maximum obtained at 25 mM 2-MEA), but not with GSH. (\*) Data for GSH concentration >10 mM were excluded due to the formation of antibody aggregates.

**Figure 13: 2-MEA-induced Fab-arm exchange between IgG1-2F8-ITL and**

**IgG1-7D8-K409X mutants.** The generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm exchange between IgG1-2F8-ITL and the indicated IgG1-7D8-K409X mutants was determined by an ELISA. **(A)** A concentration series (total antibody) of 0-20 µg/mL was analyzed. The positive control is a purified batch of bispecific antibody, derived from IgG1-2F8-ITL x IgG4-7D8-CPPC. **(B)** The exchange is presented as bispecific binding at 20 µg/mL relative to the positive control (black bar). Dark grey bars represents the bispecific binding between the IgG4 control (IgG4-7D8 x IgG4-2F8), the negative control (IgG1-2F8 x IgG1-7D8-K409R) and between IgG1-2F8-ITL and IgG4-7D8-CPPC. Light grey bars represent results from simultaneously performed Fab-arm-exchange reactions between the indicated IgG1-7D8-K409X mutants and IgG1-2F8-ITL.

**Figure 14: Antibody deglycosylation does not affect the generation of bispecific antibodies by 2-MEA-induced Fab-arm exchange.** The generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm exchange between the indicated EGFR (2F8) and CD20 (7D8) antibodies was determined by an ELISA.

Exchange with the 7D8 antibodies was compared with their enzymatically deglycosylated variants. A concentration series (total antibody) of 0-20 µg/mL was analyzed in the ELISA. Fab-arm exchange reactions involving deglycosylated (deglyc) antibodies showed identical bispecific binding curves as the glycosylated variants from which they were derived.

**Figure 15: The ability to engage in Fab-arm exchange is correlated to the CH3-CH3 interaction strength. (A), (B) and (C)** Generation of bispecific antibodies by GSH-induced Fab-arm exchange between IgG1-2F8 and IgG1-7D8 (A) or IgG4-2F8 and IgG4-7D8 (B and C) constructs with the indicated mutations, presented as bispecific binding in an ELISA over time. Bispecificity is presented relative to the IgG4-2F8 x IgG4-7D8 control after 24 h. **(D) and (E)** Relation

between apparent  $K_D$  (Table 2) and bispecific antibody generation after 24 hrs (Figures 15A/B/C) for IgG1-based (D) or IgG4-based (E) molecules .

**Figure 16: Sequence alignment of anti-EGFr antibody 2F8 in an IgG1, IgG4**

**and (partial) IgG3 backbone.** Amino acid numbering according to Kabat and

5 according to the EU-index are depicted (both described in Kabat et al., Sequences of Proteins of Immunological Interest, 5th Ed. Public Health Service, National Institutes of Health, Bethesda, MD. (1991)).

**Figure 17: Generation of bispecific antibodies by *in vitro* Fab-arm-exchange**

**induced by different reducing agents.** An ELISA was used to measure the

10 generation of bispecific antibodies by combining human IgG1-2F8-F405L and IgG1-7D8-K409R in a reduction reaction with concentration series of the indicated reducing agents. Measured OD values were normalized to the signal of a bispecific control sample derived from 2-MEA-induced Fab-arm-exchange between IgG1-2F8-ITL x IgG4-7D8-CPPC, which was set to 100%. Maximal bispecific binding was  
15 measured after the reactions with DTT in the concentration range 0.5-50 mM, 2-MEA in the concentration range 25-50 mM and tris(2-carboxyethyl)phosphine (TCEP) in the concentration range 0.5-5.0 mM, but not with GSH. (\*) Data for GSH concentration  $\geq$  25 mM were excluded due to the formation of antibody aggregates.

**Figure 18: Generation of bispecific antibodies using 2-MEA-induced Fab-**

20 **arm-exchange between human IgG1-2F8-F405L and IgG1-7D8-K409R.**

**(A)** The generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm-exchange was determined by an ELISA. The presented graph shows the result of the ELISA in which a total antibody concentration of 20  $\mu$ g/mL was used. 2-MEA efficiently induced Fab-arm-exchange. **(B)** The generation of bispecific antibodies

25 after 2-MEA-induced *in vitro* Fab-arm-exchange was determined by mass spectrometry for all samples of the concentration series of 0-40 mM 2-MEA. After quantification of the mass spectrometry data, the percentage bispecific antibody was calculated and plotted against the concentration of 2-MEA in the Fab-arm-exchange reaction. IgG1-2F8-F405L x IgG1-7D8-K409R resulted in ~100% bispecific antibody, 30 confirming the ELISA data.

**Figure 19: Purity of bispecific antibody generated by Fab-arm-exchange**

**between human IgG1-2F8-F405L x IgG1-7D8-K409R.** Mass spectrometry shows that Fab-arm-exchange resulted in approximately 100% bispecific product.

**Figure 20: Plasma clearance of a bispecific antibody generated by 2-MEA-**

35 **induced Fab-arm-exchange.** Two groups of mice (3 mice per group) were injected

with the indicated antibodies: (1) 100 µg bispecific antibody, generated by *in vitro* 2-MEA-induced Fab-arm-exchange between IgG1-2F8-F405L x IgG1-7D8-K409R; (2) 100 µg bispecific antibody + 1,000 µg irrelevant IgG4. **(A)** Total antibody concentrations over time, determined by ELISA. The curves of the total antibody plasma concentrations were the same for all antibodies. **(B)** Bispecific antibody concentration as determined by an ELISA. The bispecificity of the injected antibody was the same with and without the addition of an excess irrelevant IgG4.

**Figure 21: CDC-mediated cell kill of CD20-expressing cells by a bispecific antibody generated by 2-MEA-induced Fab-arm-exchange between IgG1-**

**2F8-F405L x IgG1-7D8-K409R.** Concentration series of the indicated antibodies were used to test their capacity to mediate CDC on Daudi **(A)** and Raji **(B)** cells.

Both cell lines express CD20 but not EGFR. Introduction of the K409R in IgG1-7D8 did not influence its capacity to induce CDC. The bispecific antibody derived from 2-MEA-induced Fab-arm-exchange between IgG1-2F8-F405L x IgG1-7D8-K409R was still capable to induce CDC.

**Figure 22: ADCC-mediated cell kill of EGFR-expressing cells by a bispecific antibody generated by 2-MEA-induced Fab-arm-exchange between IgG1-**

**2F8-F405L x IgG1-7D8-K409R.** Concentration series of the indicated antibodies were used to test their capacity to mediate ADCC on A431 cells. IgG1-7D8 can not

bind the CD20-negative A431 cells and consequently did not induce ADCC. ADCC was induced by the EGFR antibody IgG1-2F8, also after introduction of the F405L mutations in the CH3 domain. The ADCC effector function of IgG1-2F8-F405L was retained in the bispecific format obtained by Fab-arm-exchange between IgG1-2F8-F405L x IgG1-7D8-K409R.

**Figure 23: 2-MEA-induced Fab-arm-exchange between IgG1-2F8-F405X mutants and IgG1-7D8-K409R.** The generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm-exchange between the indicated IgG1-2F8-F405X mutants and IgG1-7D8-K409R was determined by an ELISA. **(A)** A concentration series (total antibody) of 0-20 µg/mL was analyzed in the ELISA. The positive control

is a purified batch of bispecific antibody, derived from IgG1-2F8-F405L x IgG1-7D8-K409R. **(B)** The exchange is presented as bispecific binding at 20 µg/mL antibody concentration relative to the positive control (black bar). Dark grey bars represents the bispecific binding between the IgG4 control (IgG4-7D8 x IgG4-2F8) and the negative control (IgG1-2F8 x IgG1-7D8-K409R). Light grey bars represent results

from simultaneously performed Fab-arm-exchange reactions between the indicated IgG1-2F8-F405X mutants and IgG1-7D8-K409R or controls.

**Figure 24: 2-MEA-induced Fab-arm-exchange between IgG1-2F8-Y407X**

**mutants and IgG1-7D8-K409R.** The generation of bispecific antibodies after 2-

5 MEA-induced *in vitro* Fab-arm-exchange between the indicated IgG1-2F8-Y407X mutants and IgG1-7D8-K409R was determined by an ELISA. **(A)** A concentration series (total antibody) of 0-20 µg/mL was analyzed in the ELISA. The positive control is a purified batch of bispecific antibody, derived from IgG1-2F8-F405L x IgG1-7D8-K409R. **(B)** The exchange is presented as bispecific binding at 20 µg/mL antibody 10 concentration relative to the positive control (black bar). Dark grey bars represents the bispecific binding between the IgG4 control (IgG4-7D8 x IgG4-2F8) and the negative control (IgG1-2F8 x IgG1-7D8-K409R). Light grey bars represent results from simultaneously performed Fab-arm-exchange reactions between the indicated IgG1-2F8-Y407X mutants and IgG1-7D8-K409R or controls.

15 **Figure 25:** Analysis of bispecific antibody generated by 2-MEA-induced Fab-arm exchange by SDS-PAGE under non-reducing (Figure 25(A)) and reducing (Figure 25(B)) conditions.

20 **Figure 26:** HP-SEC profiles of the homodimer starting material IgG1-2F8-F405L (Figure 26(B)), the homodimer starting material IgG1-7D8-K409R (Figure 26(A)), the mixture (1:1) of both homodimers (Figure 26(C)), and the bispecific product generated by 2-MEA-induced Fab-arm exchange between IgG1-2F8-F405L x IgG1-7D8-K409R (Figure 26(D)).

25 **Figure 27:** Mass spectrometry (ESI-MS) of the homodimer starting material IgG1-2F8-F405L (Figure 27(B)), the homodimer starting material IgG1-7D8-K409R (Figure 27(A)), the mixture (1:1) of both homodimers (Figure 27(C)), and the bispecific product generated by 2-MEA-induced Fab-arm exchange between IgG1-2F8-F405L x IgG1-7D8-K409R (Figure 27(D)).

30 **Figure 28:** Capillary isoelectrofocussing (cIEF) profiles of the homodimer starting material IgG1-2F8-F405L (Figure 28(A)), the homodimer starting material IgG1-7D8-K409R (Figure 28(B)), the mixture (1:1) of both homodimers (Figure 28(C)), and the bispecific product generated by 2-MEA-induced Fab-arm exchange between IgG1-2F8-F405L x IgG1-7D8-K409R (Figure 28(D)).

35 **Figure 29:** HPLC-CIEX profiles of the homodimer starting material IgG1-2F8-F405L (Figure 29(A)), the homodimer starting material IgG1-7D8-K409R (Figure 29(B)), the mixture (1:1) of both homodimers (Figure 29(C)), and the bispecific product

generated by 2-MEA-induced Fab-arm exchange between IgG1-2F8-F405L x IgG1-7D8-K409R (Figure 29(D)).

**Figure 30:** Electrospray ionization mass spec analysis of IgG obtained by co-transfection of the expression vectors encoding the heavy and light chain of IgG1-7D8-K409R or IgG1-2F8-F405. Heterodimer peaks are indicated with an \*.

5 Homodimer peaks are indicated with an †.

**Figure 31:** Exchange reaction of the homodimers IgG1-2F8-F405L and IgG1-7D8-K409R as monitored by High Pressure Liquid Chromatography Cation Exchange (HPLC-CIEX) after injection at different intervals.

10 **Figure 32:** Residual homodimers of the exchange reaction as shown in Figure 32 as detected with the CIEX method (indicated by arrows).

**Figure 33:** Generation of bispecific antibodies at various IgG concentrations, 2-MEA concentrations, incubation temperatures and times as determined by an ELISA.

15 **Figure 34:** Generation of bispecific antibodies at various IgG concentrations, 2-MEA concentrations, incubation temperatures and times as determined by an ELISA and compared to control which was arbitrarily set to 100%.

**Figure 35:** Generation of bispecific antibodies at various IgG concentrations, 2-MEA concentrations, incubation temperatures and times as analysed by HPLC-CIEX.

20 **Figure 36:** Generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm exchange between the indicated IgG1-2F8-L368X mutants and IgG1-7D8-K409R was determined by an ELISA using a concentration series (total antibody) of 0-20 µg/mL (Figure 37(A)). The positive control is a purified batch of bispecific antibody, derived from IgG1-2F8-F405L x IgG1-7D8-K409R. Figure 37(B) shows the bispecific binding at 20 µg/mL relative to the positive control (black bar). Dark grey bars represents 25 the bispecific binding between the IgG4 control (IgG4-7D8 x IgG4-2F8) and the negative control (IgG1-2F8 x IgG1-7D8-K409R). Light grey bars represent results from simultaneously performed Fab-arm-exchange reactions between the indicated IgG1-2F8-L368X mutants and IgG1-7D8-K409R.

30 **Figure 37:** Generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm exchange between the indicated IgG1-2F8-K370X mutants and IgG1-7D8-K409R was determined by an ELISA using a concentration series (total antibody) of 0-20 µg/mL (Figure 37(A)). The positive control is a purified batch of bispecific antibody, derived from IgG1-2F8-F405L x IgG1-7D8-K409R. Figure 37(B) shows the bispecific binding at 20 µg/mL relative to the positive control (black bar). Dark grey bars represents 35 the bispecific binding between the IgG4 control (IgG4-7D8 x IgG4-2F8) and the

negative control (IgG1-2F8 x IgG1-7D8-K409R). Light grey bars represent results from simultaneously performed Fab-arm-exchange reactions between the indicated IgG1-2F8-D370X mutants and IgG1-7D8-K409R.

**Figure 38:** Generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm

5 exchange between the indicated IgG1-2F8-D399X mutants and IgG1-7D8-K409R was determined by an ELISA using a concentration series (total antibody) of 0-20 µg/mL (Figure 38(A)). Figure 38(B) shows the bispecific binding at 20 µg/mL antibody concentration relative to the positive control (black bar). Dark grey bars represents the bispecific binding between the IgG4 control (IgG4-7D8 x IgG4-2F8) 10 and the negative control (IgG1-2F8 x IgG1-7D8-K409R). Light grey bars represent results from simultaneously performed Fab-arm-exchange reactions between the indicated IgG1-2F8-D399X mutants and IgG1-7D8-K409R.

**Figure 39:** 2-MEA-induced Fab-arm exchange between four different IgG1 mutant

15 combinations at 15°C after 0, 30, 60, 105 and 200 min incubations as determined by sandwich ELISA.

**Figure 40:** 2-MEA-induced Fab-arm exchange between different IgG1 mutant

combinations after antibody incubation at 15°C for 90 min as determined by sandwich ELISA.

**Figure 41:** Phosphorylation of c-Met by c-Met specific antibodies. A549 cells are

20 incubated for 15 min with HGF or a panel of different antibodies. Proteins are separated by SDS-page gel electrophoresis and transferred to membranes by western blotting. Phosphorylated c-Met, total c-Met and β-actin are detected by antibodies against phosphorylated c-Met, total c-Met or β-actin.

**Figure 42:** Proliferation assay with NCI-H441 cells. NCI-H441 cells were incubated

25 for seven days with monovalent bispecific IgG1 069/b12, control antibodies (IgG1-069, UniBody-069, IgG1-b12) left untreated. Cell mass was determined and plotted as percentage of non-treated samples (set as 100%)

**Figure 43:** CDC-mediated cell kill of CD20 expressing cells by bispecific antibodies generated by 2-MEA-induced Fab-arm-exchange between IgG1-7D8-F405L or IgG1-

30 2F8-F405L and IgG1-7D8-K409R. Concentration series of the indicated antibodies were used to test their capacity to mediate CDC on Daudi (A) and Raji (B) cells. Both cell lines express CD20 but not EGFR. The bispecific antibody generated by 2-MEA-induced Fab-arm-exchange between IgG1-7D8-F405L x IgG1-7D8-K409R was as effective as IgG1-7D8 in induction of CDC mediated cell kill. The bispecific antibody 35 derived from 2-MEA-induced Fab-arm-exchange between IgG2-2F8-F405L x IgG1-

7D8-K409R results in a monovalent CD20 binding bispecific antibody, which slightly affected the induction of CDC mediated cell kill with slightly.

**Figure 44:** Killing of A431 cells induced by anti-kappa-ETA' pre-incubated HER2 x HER2 bispecific antibodies. The viability of A431 cells after 3 days incubation with

5 HER2 antibodies, pre-incubated with anti-kappa-ETA'. Cell viability was quantified using Alamarblue. Data shown are fluorescence intensities (FI) of one experiment with A431 cells treated with anti-kappa-ETA'-conjugated HER2 antibodies and HER2 x HER2 bispecific antibodies. Staurosporin was used as positive control, whereas an isotype control antibody was used as negative control.

10 **Figure 45:** HER2 x HER2 bispecific molecules induced downmodulation of HER2 receptor. Relative percentage of HER2 expression levels in AU565 cell lysates after 3 days incubation with 10 µg/mL mAb. The amount of HER2 was quantified using a HER2-specific capture ELISA and depicted as percentage inhibition compared to untreated cells. Data shown is the mean of two experiments plus standard deviation.

15 **Figure 46:** Colocalization analysis of HER2 x HER2 bispecific antibodies (FITC) with lysosomal marker LAMP1 (Cy5). FITC pixel intensity overlapping with Cy5 for various monospecific HER2 antibodies and HER2 x HER2 bispecific antibodies (Figure 46(B))

FITC pixel intensity in LAMP1/Cy5 positive pixels of three different images is plotted for each antibody tested. Monospecifics show lower FITC pixel intensities in the 20 LAMP1/Cy5 positive pixels compared to bispecifics. Figure 46(B) represents the mean value of FITC pixel intensity per LAMP1/Cy5 positive pixel calculated from the three different images. Together these results indicate that after internalization higher levels of bispecific antibodies, compared to monospecifics antibodies, localize to Lamp1/Cy5 positive vesicles.

25 **Figure 47:** Inhibition of proliferation by HER-2 mono and bispecific antibodies. AU565 cells were seeded in the presence of 10 µg/mL HER2 antibody or HER2 x HER2 bispecific antibody in serum-free cell culture medium. After three days, the amount of viable cells was quantified with Alamarblue and cell viability was presented as a percentage relative to untreated cells. An isotype control antibody

30 was used as negative control. Data shown are percentage viable AU565 cells compared to untreated cells measured in five-fold ± the standard deviation. \* indicates only one data point was depicted.

**Figure 48:** Binding of mono and bispecific IgG1 and hinge-deleted IgG1 antibodies to human and mouse FcRn at different pH. Plates with human and mouse FcRn were

35 incubated with different mono- and bispecific IgG1 antibodies or hinge-deleted IgG1

molecules. Binding to FcRn was analyzed by ELISA at 405 nm. **(A)** Binding of mono and bispecific IgG1 antibodies and hinge-deleted IgG1 (Uni-G1) molecules to human FcRn at pH 7.4 and 6.0. Binding to human FcRn is very low at neutral pH. At pH 6.0 (bispecific) antibodies bind efficiently to human FcRn, unless they contain the H435A mutation. Hinge-deleted IgG1 (Uni-G1) molecules bind human FcRn with low efficiency. **(B)** Binding of mono and bispecific IgG1 antibodies and hinge-deleted IgG1 (Uni-G1) molecules to mouse FcRn at pH 7.4 and 6.0. Binding to mouse FcRn is very low at neutral pH. At pH 6.0 (bispecific) antibodies bind very efficiently to mouse FcRn, unless they contain the H435A mutation in both Fab-arms. The bispecific molecule harboring the H435A mutation in only one Fab-arm is still able to bind mouse FcRn. Hinge-deleted IgG1 (Uni-G1) molecules bind mouse FcRn with intermediate efficiency and the hinge-deleted IgG1 (Uni-G1) bispecific molecule harboring the H435A mutation in only one Fab-arm is slightly less efficient.

**Figure 49:** T cell mediated cytotoxicity of AU565 cells by Her2 x CD3 bispecific antibodies as well as by N297Q mutants of Her2 x CD3 bispecific antibodies.

## DETAILED DESCRIPTION OF THE INVENTION

### Definitions

The term "immunoglobulin" refers to a class of structurally related glycoproteins consisting of two pairs of polypeptide chains, one pair of light (L) low molecular weight chains and one pair of heavy (H) chains, all four inter-connected by disulfide bonds. The structure of immunoglobulins has been well characterized. See for instance Fundamental Immunology Ch. 7 (Paul, W., ed., 2nd ed. Raven Press, N.Y. (1989)). Briefly, each heavy chain typically is comprised of a heavy chain variable region (abbreviated herein as VH) and a heavy chain constant region. The heavy chain constant region typically is comprised of three domains, CH1, CH2, and CH3. The heavy chains are inter-connected via disulfide bonds in the so-called "hinge region". Each light chain typically is comprised of a light chain variable region (abbreviated herein as VL) and a light chain constant region. The light chain constant region typically is comprised of one domain, CL. Typically, the numbering of amino acid residues in the constant region is performed according to the EU-index as described in Kabat et al., Sequences of Proteins of Immunological Interest, 5th Ed. Public Health Service, National Institutes of Health, Bethesda, MD. (1991). Figure 16 gives an overview of the EU and Kabat numbering for different isotype forms of antibody 2F8 (WO 02/100348). The VH and VL regions may be further subdivided

into regions of hypervariability (or hypervariable regions which may be hypervariable in sequence and/or form of structurally defined loops), also termed complementarity determining regions (CDRs), interspersed with regions that are more conserved, termed framework regions (FRs). Each VH and VL is typically composed of three 5 CDRs and four FRs, arranged from amino-terminus to carboxy-terminus in the following order: FR1, CDR1, FR2, CDR2, FR3, CDR3, FR4 (see also Chothia and Lesk J. Mol. Biol. 196, 901 917 (1987)).

When used herein, the term "Fab-arm" refers to one heavy chain-light chain pair.

10 When used herein, the term "Fc region" refers to an antibody region comprising at least the hinge region, a CH2 domain and a CH3 domain.

The term "antibody" (Ab) in the context of the present invention refers to an immunoglobulin molecule, a fragment of an immunoglobulin molecule, or a derivative of either thereof, which has the ability to specifically bind to an antigen 15 under typical physiological conditions with a half life of significant periods of time, such as at least about 30 min, at least about 45 min, at least about one hour, at least about two hours, at least about four hours, at least about 8 hours, at least about 12 hours (h), about 24 hours or more, about 48 hours or more, about 3, 4, 5, 20 6, 7 or more days, etc., or any other relevant functionally-defined period (such as a time sufficient to induce, promote, enhance, and/or modulate a physiological response associated with antibody binding to the antigen and/or time sufficient for the antibody to recruit an effector activity). The variable regions of the heavy and light chains of the immunoglobulin molecule contain a binding domain that interacts with an antigen. The constant regions of the antibodies (Abs) may mediate the 25 binding of the immunoglobulin to host tissues or factors, including various cells of the immune system (such as effector cells) and components of the complement system such as C1q, the first component in the classical pathway of complement activation. An antibody may also be a bispecific antibody, diabody, or similar molecule. The term "bispecific antibody" refers to antibody having specificities for at least two 30 different epitopes, typically non-overlapping epitopes. As indicated above, the term antibody herein, unless otherwise stated or clearly contradicted by the context, includes fragments of an antibody that retain the ability to specifically bind to the antigen. Such fragments may be provided by any known technique, such as enzymatic cleavage, peptide synthesis and recombinant expression techniques. It 35 has been shown that the antigen-binding function of an antibody may be performed

by fragments of a full-length antibody, e.g. a F(ab')2 fragment. It also should be understood that the term antibody, unless specified otherwise, also includes polyclonal antibodies, monoclonal antibodies (mAbs), antibody-like polypeptides, such as chimeric antibodies and humanized antibodies. An antibody as generated can 5 possess any isotype.

The term "full-length antibody" when used herein, refers to an antibody which contains all heavy and light chain constant and variable domains that are normally found in an antibody of that isotype.

As used herein, "isotype" refers to the immunoglobulin class (for instance 10 IgG1, IgG2, IgG3, IgG4, IgD, IgA, IgE, or IgM) that is encoded by heavy chain constant region genes.

The term "human antibody", as used herein, is intended to include antibodies having variable and constant regions derived from human germline immunoglobulin sequences. The human antibodies of the invention may include amino acid residues 15 not encoded by human germline immunoglobulin sequences (e.g., mutations introduced by random or site-specific mutagenesis in vitro or by somatic mutation in vivo). However, the term "human antibody", as used herein, is not intended to include antibodies in which CDR sequences derived from the germline of another mammalian species, such as a mouse, have been grafted onto human framework 20 sequences.

When used herein, the term "heavy chain antibody" or "heavy-chain antibody" refers to an antibody which consists only of two heavy chains and lacks the two light chains usually found in antibodies. Heavy chain antibodies, which naturally occur in e.g. camelids, can bind antigens despite having only VH domains.

25 The term "epitope" means a protein determinant capable of specific binding to an antibody. Epitopes usually consist of surface groupings of molecules such as amino acids or sugar side chains and usually have specific three dimensional structural characteristics, as well as specific charge characteristics. Conformational and nonconformational epitopes are distinguished in that the binding to the former 30 but not the latter is lost in the presence of denaturing solvents. The epitope may comprise amino acid residues directly involved in the binding (also called immunodominant component of the epitope) and other amino acid residues, which are not directly involved in the binding, such as amino acid residues which are effectively blocked by the specifically antigen binding peptide (in other words, the 35 amino acid residue is within the footprint of the specifically antigen binding peptide).

As used herein, the term "binding" in the context of the binding of an antibody to a predetermined antigen typically is a binding with an affinity corresponding to a  $K_D$  of about  $10^{-6}$  M or less, e.g.  $10^{-7}$  M or less, such as about  $10^{-8}$  M or less, such as about  $10^{-9}$  M or less, about  $10^{-10}$  M or less, or about  $10^{-11}$  M or 5 even less when determined by for instance surface plasmon resonance (SPR) technology in a BIACore 3000 instrument using the antigen as the ligand and the antibody as the analyte, and binds to the predetermined antigen with an affinity corresponding to a  $K_D$  that is at least ten-fold lower, such as at least 100 fold lower, for instance at least 1,000 fold lower, such as at least 10,000 fold lower, for instance 10 at least 100,000 fold lower than its affinity for binding to a non-specific antigen (e.g., BSA, casein) other than the predetermined antigen or a closely-related antigen. The amount with which the affinity is lower is dependent on the  $K_D$  of the antibody, so that when the  $K_D$  of the antibody is very low (that is, the antibody is highly specific), then the amount with which the affinity for the antigen is lower than the affinity for a 15 non-specific antigen may be at least 10,000 fold. The term " $K_D$ " (M), as used herein, refers to the dissociation equilibrium constant of a particular antibody-antigen interaction.

When used herein the term "heterodimeric interaction between the first and second CH3 regions" refers to the interaction between the first CH3 region and the 20 second CH3 region in a first-CH3/second-CH3 heterodimeric protein.

When used herein the term "homodimeric interactions of the first and second CH3 regions" refers to the interaction between a first CH3 region and another first CH3 region in a first-CH3/first-CH3 homodimeric protein and the interaction between a second CH3 region and another second CH3 region in a second-CH3/second-CH3 25 homodimeric protein.

An "isolated antibody," as used herein, denotes that the material has been removed from its original environment (e.g., the natural environment if it is naturally occurring or the host cell if it is recombinantly expressed). It is also advantageous that the antibodies be in purified form. The term "purified" does not require absolute 30 purity; rather, it is intended as a relative definition, indicating an increase of the antibody concentration relative to the concentration of contaminants in a composition as compared to the starting material.

The term "host cell", as used herein, is intended to refer to a cell into which an expression vector has been introduced, e.g. an expression vector encoding an

antibody of the invention. Recombinant host cells include, for example, transfectomas, such as CHO cells, HEK293 cells, NS/0 cells, and lymphocytic cells.

When used herein, the term "co-expression" of two or more nucleic acid constructs, refers to expression of the two constructs in a single host cell.

5 The term "tumor cell protein" refers to a protein located on the cell surface of a tumor cell.

As used herein, the term "effector cell" refers to an immune cell which is involved in the effector phase of an immune response, as opposed to the cognitive and activation phases of an immune response. Exemplary immune cells include a cell 10 of a myeloid or lymphoid origin, for instance lymphocytes (such as B cells and T cells including cytolytic T cells (CTLs)), killer cells, natural killer cells, macrophages, monocytes, eosinophils, polymorphonuclear cells, such as neutrophils, granulocytes, mast cells, and basophils. Some effector cells express specific Fc receptors and carry 15 out specific immune functions. In some embodiments, an effector cell is capable of inducing antibody-dependent cellular cytotoxicity (ADCC), such as a natural killer cell, capable of inducing ADCC. In some embodiments, an effector cell may phagocytose a target antigen or target cell.

The term "reducing conditions" or "reducing environment" refers to a condition or an environment in which a substrate, here a cysteine residue in the 20 hinge region of an antibody, is more likely to become reduced than oxidized.

The term "disulfide bond isomerization" refers to an exchange of disulfide bonds between different cysteines, i.e., the shuffling of disulfide bonds.

#### Further aspects and embodiments of the invention

25 As described above, in a first aspect, the invention relates to an in vitro method for generating a heterodimeric protein, said method comprising the following steps:

30 a) providing a first homodimeric protein comprising an Fc region of an immunoglobulin, said Fc region comprising a first CH3 region,

b) providing a second homodimeric protein comprising an Fc region of an immunoglobulin, said Fc region comprising a second CH3 region,

wherein the sequences of said first and second CH3 regions are different and

35 are such that the heterodimeric interaction between said first and second CH3

regions is stronger than each of the homodimeric interactions of said first and second CH3 regions,

5           c) incubating said first protein together with said second protein under reducing conditions sufficient to allow the cysteines in the hinge region to undergo disulfide-bond isomerization, and

10           d) obtaining said heterodimeric protein.

10           The bispecific format may be used in many ways to generate desired combinations of bispecific antibodies. In addition to being able of combining antibodies targeting different antigens in a very selective way it can be used to change a desired property, e.g. to increase CDC, by combining two different antibodies targeting the same antigen. Furthermore, it can be used to remove partial 15 agonistic activity of an antagonistic antibody or convert an agonistic antibody into an antagonistic antibody by making a bispecific antibody thereof with an irrelevant (inactive) antibody.

15           In one embodiment, the homodimeric proteins are selected from the group consisting of (i) an Fc region, (ii) an antibody, (iii) a fusion protein comprising an Fc region, such as anFc region fused to a receptor, cytokine or hormone, and (iv) a Fc region conjugated to a prodrug, peptide, drug or a toxin.

20           In some embodiments, said first and/or second homodimeric protein comprise, in addition to the Fc region, one or more or all of the other regions of an antibody, i.e. a CH1 region, a VH region, a CL region and/or a VL region. Thus, in 25 one embodiment, said first homodimeric protein is a full-length antibody. In another embodiment, said second homodimeric protein is a full-length antibody.

25           In an important embodiment, said first and second homodimeric proteins are both antibodies, preferably full-length antibodies, and bind different epitopes. In such an embodiment, the heterodimeric protein that is generated is a bispecific 30 antibody. Said epitopes may be located on different antigens or on the same antigen.

30           In other embodiments, however, only one of the homodimeric proteins is a full-length antibody and the other homodimeric protein is not a full-length antibody, e.g. an Fc region without a variable region, expressed in conjunction to another protein or peptide sequence like a receptor, cytokine or hormone, or conjugated to a 35 prodrug, peptide, a drug or a toxin. In a further embodiment, neither of the

homodimeric proteins is a full-length antibody. For example, both homodimeric proteins may be Fc regions that are fused to another protein or peptide sequence like a receptor, cytokine or hormone, or conjugated to a prodrug, peptide, a drug or a toxin.

5 In one embodiment, the Fc region of the first homodimeric protein is of an isotype selected from the group consisting of IgG1, IgG2, IgG3 and IgG4 and the Fc region of the second homodimeric protein is of an isotype selected from the group consisting of IgG1, IgG2, IgG3 and IgG4. In a preferred embodiment, the Fc regions of both said first and said second homodimeric protein are of the IgG1 isotype. In  
10 another preferred embodiment, one of the Fc regions of said homodimeric proteins is of the IgG1 isotype and the other of the IgG4 isotype. In the latter embodiment, the resulting heterodimeric comprises an Fc region of an IgG1 and an Fc region of IgG4 and may thus have interesting intermediate properties with respect to activation of effector functions. A similar product can be obtained if said first and/or said second  
15 homodimeric protein comprises a mutation removing the acceptor site for Asn-linked glycosylation or is otherwise manipulated to change the glycosylation properties.

In a further embodiment, one or both of the homodimeric proteins is glyco-engineered to reduce fucose and thus enhance ADCC, e.g. by addition of compounds to the culture media during antibody production as described in US2009317869 or as  
20 described in van Berkel et al. (2010) Biotechnol. Bioeng. 105:350 or by using FUT8 knockout cells, e.g. as described in Yamane-Ohnuki et al (2004) Biotechnol. Bioeng 87:614. ADCC may alternatively be optimized using the method described by Umaña et al. (1999) Nature Biotech 17:176.

25 In a further embodiment, one or both of the homodimeric proteins has been engineered to enhance complement activation, e.g. as described in Natsume et al. (2009) Cancer Sci. 100:2411.

In a further embodiment, one or both of the homodimeric proteins has been engineered to reduce or increase the binding to the neonatal Fc receptor (FcRn) in order to manipulate the serum half-life of the heterodimeric protein.

30 In a further embodiment, one of the homodimeric starting proteins has been engineered to not bind Protein A, thus allowing to separate the heterodimeric protein from said homodimeric starting protein by passing the product over a protein A column. This may in particular be useful for embodiments wherein an excess of one homodimeric protein is used relative to the other homodimeric protein as starting  
35 material. In such embodiments, it may be useful to engineer the homodimeric

protein that is in excess so that it loses its ability to bind protein A. Following the heterodimerization reaction, the heterodimeric protein may then be separated from a surplus of unexchanged homodimeric protein by passage over a protein A column.

In a further embodiment, one of the homodimeric proteins is an Fc region or a full-length antibody recognizing a non-relevant epitope or a full-length antibody containing germline-derived sequences that have not undergone somatic hypermutation and do not bind self-antigens. In such an embodiment the heterodimeric protein functions as a monovalent antibody. In another embodiment, both homodimeric proteins comprise the same heavy chain, but only one of the homodimeric proteins contains a light chain which forms a functional antigen-binding site with said heavy chain, whereas the other homodimeric protein contains a non-functional light chain, which does not bind any antigen in combination with said heavy chain. In such an embodiment, the heterodimeric protein functions as a monovalent antibody. Such a non-functional light chain can e.g. be a germline-derived sequence that has not undergone somatic hypermutation and does not bind self-antigens.

Antibodies to be used as homodimeric starting material of the present invention may e.g. be produced by the hybridoma method first described by Kohler et al., *Nature* 256, 495 (1975), or may be produced by recombinant DNA methods.

Monoclonal antibodies may also be isolated from phage antibody libraries using the techniques described in, for example, Clackson et al., *Nature* 352, 624 628 (1991) and Marks et al., *J. Mol. Biol.* 222, 581 597 (1991). Monoclonal antibodies may be obtained from any suitable source. Thus, for example, monoclonal antibodies may be obtained from hybridomas prepared from murine splenic B cells obtained from mice immunized with an antigen of interest, for instance in form of cells expressing the antigen on the surface, or a nucleic acid encoding an antigen of interest. Monoclonal antibodies may also be obtained from hybridomas derived from antibody-expressing cells of immunized humans or non-human mammals such as rats, dogs, primates, etc.

Antibodies to be used as homodimeric starting material of the present invention may e.g. be chimeric or humanized antibodies. In another embodiment, one or both of the homodimeric starting proteins, except for any specified mutations, is a human antibody. Human monoclonal antibodies may be generated using transgenic or transchromosomal mice, e.g. HuMAb mice, carrying parts of the human immune system rather than the mouse system. The HuMAb mouse contains a human

immunoglobulin gene miniloci that encodes unarranged human heavy ( $\mu$  and  $\gamma$ ) and  $\kappa$  light chain immunoglobulin sequences, together with targeted mutations that inactivate the endogenous  $\mu$  and  $\kappa$  chain loci (Lonberg, N. et al., *Nature* 368, 856 859 (1994)). Accordingly, the mice exhibit reduced expression of mouse IgM or  $\kappa$  and in response to immunization, the introduced human heavy and light chain transgenes, undergo class switching and somatic mutation to generate high affinity human IgG, $\kappa$  monoclonal antibodies (Lonberg, N. et al. (1994), *supra*; reviewed in Lonberg, N. *Handbook of Experimental Pharmacology* 113, 49 101 (1994) , Lonberg, N. and Huszar, D., *Intern. Rev. Immunol.* Vol. 13 65 93 (1995) and Harding, F. and 5 Lonberg, N. *Ann. N.Y. Acad. Sci* 764 536 546 (1995)). The preparation of HuMAb mice is described in detail in Taylor, L. et al., *Nucleic Acids Research* 20, 6287 6295 10 (1992), Chen, J. et al., *International Immunology* 5, 647 656 (1993), Tuailon et al., *J. Immunol.* 152, 2912 2920 (1994), Taylor, L. et al., *International Immunology* 6, 579 591 (1994), Fishwild, D. et al., *Nature Biotechnology* 14, 845 851 (1996). See 15 also US 5,545,806, US 5,569,825, US 5,625,126, US 5,633,425, US 5,789,650, US 5,877,397, US 5,661,016, US 5,814,318, US 5,874,299, US 5,770,429, US 5,545,807, WO 98/24884, WO 94/25585, WO 93/1227, WO 92/22645, WO 92/03918 and WO 01/09187. Splenocytes from these transgenic mice may be used 20 to generate hybridomas that secrete human monoclonal antibodies according to well known techniques.

Further, human antibodies of the present invention or antibodies of the present invention from other species may be identified through display-type technologies, including, without limitation, phage display, retroviral display, ribosomal display, mammalian display, and other techniques, using techniques well 25 known in the art and the resulting molecules may be subjected to additional maturation, such as affinity maturation, as such techniques are well known in the art.

In a further embodiment of the invention, the antibody or a part thereof, e.g. one or more CDRs, is of a species in the family Camelidae, see WO2010001251, or a 30 species of cartilaginous fish, such as the nurse shark or heavy-chain or domain antibodies.

In one embodiment of the method of the invention, said first and second homodimeric proteins provided in step a) and b) are purified.

In one embodiment, the first and/or second homodimeric protein is conjugated to a drug, a prodrug or a toxin or contains an acceptor group for the same. Such acceptor group may e.g. be an unnatural amino acid.

5 As described above, the sequences of the first and second CH3 regions of the homodimeric starting proteins are different and are such that the heterodimeric interaction between said first and second CH3 regions is stronger than each of the homodimeric interactions of said first and second CH3 regions.

10 In one embodiment, the increased strength of the heterodimeric interaction as compared to each of the homodimeric interactions is due to CH3 modifications other than the introduction of covalent bonds, cysteine residues or charged residues.

15 In some embodiments, the product of the invention is highly stable and does not undergo Fab-arm exchange under mildly reducing conditions in vitro or, importantly, in vivo upon administration to a human being. Thus, in one embodiment, the heterodimeric interaction between said first and second proteins in the resulting heterodimeric protein is such that no Fab-arm exchange can occur at 0.5 mM GSH under the conditions described in Example 13.

20 In another embodiment, the heterodimeric interaction between said first and second proteins in the resulting heterodimeric protein is such that no Fab-arm exchange occurs in vivo in mice under the conditions described in Example 14.

25 In another embodiment, the heterodimeric interaction between said first and second proteins in the resulting heterodimeric protein is more than two times stronger, such as more than three times stronger, e.g. more than five times stronger than the strongest of the two homodimeric interactions, e.g. when determined as described in Example 30.

In a further embodiment, the sequences of said first and second CH3 regions are such that the dissociation constants of the heterodimeric interaction between said first and second proteins in the resulting heterodimeric protein is below 0.05 micromolar when assayed as described in Example 30.

30 In a further embodiment, the sequences of said first and second CH3 regions are such that the dissociation constants of both homodimeric interactions are above 0.01 micromolar, such as above 0.05 micromolar preferably between 0.01 and 10 micromolar, such as between 0.05 and 10 micromolar, more preferably between 0.01 and 5, such as between 0.05 and 5 micromolar, even more preferably between 35 0.01 and 1 micromolar, such as between 0.05 and 1 micromolar, between 0.01 and

0.5 or between 0.01 and 0.1 when assayed as described in Example 21. Embodiments wherein the homodimeric starting proteins are relatively stable can have the advantage that it is easier to produce a large quantity of starting protein and e.g. avoid aggregation or misfolding.

5 In some embodiments, a stable heterodimeric protein can be obtained at high yield using the method of the invention on the basis of two homodimeric starting proteins containing only a few, fairly conservative, asymmetrical mutations in the CH3 regions.

10 Thus, in one embodiment, the sequences of said first and second CH3 regions contain amino acid substitutions at non-identical positions.

The amino acid substituents may be natural amino acids or unnatural amino acids. Examples of unnatural amino acids are e.g. disclosed in Xie J and Schultz P. G., Current Opinion in Chemical Biology (2005), 9:548-554, and Wang Q. et al., Chemistry & Biology (2009), 16:323-336.

15 In one embodiment, the amino acids are natural amino acids.

In one embodiment, said first homodimeric protein has no more than one amino acid substitution in the CH3 region, and the second homodimeric protein has no more than one amino acid substitution in the CH3 region relative to the wild-type CH3 regions.

20 In one embodiment, the first homodimeric protein has an amino acid substitution at a position selected from the group consisting of: 366, 368, 370, 399, 405, 407 and 409, and said second homodimeric protein has an amino acid substitution at a position selected from the group consisting of: 366, 368, 370, 399, 405, 407 and 409, and wherein said first homodimeric protein and said second 25 homodimeric protein is not substituted in the same positions.

30 In one embodiment, the first homodimeric protein has an amino acid substitution at position 366, and said second homodimeric protein has an amino acid substitution at a position selected from the group consisting of: 368, 370, 399, 405, 407 and 409. In one embodiment the amino acid at position 366 is selected from Arg, Lys, Asn, Gln, Tyr, Glu and Gly.

In one embodiment, the first homodimeric protein has an amino acid substitution at position 368, and said second homodimeric protein has an amino acid substitution at a position selected from the group consisting of: 366, 370, 399, 405, 407 and 409.

In one embodiment, the first homodimeric protein has an amino acid substitution at position 370, and said second homodimeric protein has an amino acid substitution at a position selected from the group consisting of: 366, 368, 399, 405, 407 and 409.

5 In one embodiment, the first homodimeric protein has an amino acid substitution at position 399, and said second homodimeric protein has an amino acid substitution at a position selected from the group consisting of: 366, 368, 370, 405, 407 and 409.

10 In one embodiment, the first homodimeric protein has an amino acid substitution at position 405, and said second homodimeric protein has an amino acid substitution at a position selected from the group consisting of: 366, 368, 370, 399, 407 and 409.

15 In one embodiment, the first homodimeric protein has an amino acid substitution at position 407, and said second homodimeric protein has an amino acid substitution at a position selected from the group consisting of: 366, 368, 370, 399, 405, and 409.

20 In one embodiment, the first homodimeric protein has an amino acid substitution at position 409, and said second homodimeric protein has an amino acid substitution at a position selected from the group consisting of: 366, 368, 370, 399, 405, and 407.

Accordingly, in one embodiment, the sequences of said first and second CH3 regions contain asymmetrical mutations, i.e. mutations at different positions in the two CH3 regions, e.g. a mutation at position 405 in one of the CH3 regions and a mutation at position 409 in the other CH3 region.

25 In one embodiment, the first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino-acid substitution at a position selected from the group consisting of: 366, 368, 370, 399, 405 and 407.

30 In one such embodiment, said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino acid other than Phe at position 405. In a further embodiment hereof, said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino acid other than Phe, Arg or Gly at position 405.

In another embodiment, said first homodimeric protein comprises a Phe at position 405 and an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein comprises an amino acid other than Phe at position 405 and a Lys at position 409. In a further embodiment hereof, said first homodimeric 5 protein comprises a Phe at position 405 and an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein comprises an amino acid other than Phe, Arg or Gly at position 405 and a Lys at position 409.

In another embodiment, said first homodimeric protein comprises a Phe at position 405 and an amino acid other than Lys, Leu or Met at position 409 and said 10 second homodimeric protein comprises a Leu at position 405 and a Lys at position 409. In a further embodiment hereof, said first homodimeric protein comprises a Phe at position 405 and an Arg at position 409 and said second homodimeric protein comprises an amino acid other than Phe, Arg or Gly at position 405 and a Lys at position 409.

15 In another embodiment, said first homodimeric protein comprises Phe at position 405 and an Arg at position 409 and said second homodimeric protein comprises a Leu at position 405 and a Lys at position 409.

In a further embodiment, said first homodimeric protein comprises an amino 20 acid other than Lys, Leu or Met at position 409 and said second homodimeric protein comprises a Lys at position 409, a Thr at position 370 and a Leu at position 405.

In a further embodiment, said first homodimeric protein comprises an Arg at position 409 and said second homodimeric protein comprises a Lys at position 409, a Thr at position 370 and a Leu at position 405.

25 In an even further embodiment, said first homodimeric protein comprises a Lys at position 370, a Phe at position 405 and an Arg at position 409 and said second homodimeric protein comprises a Lys at position 409, a Thr at position 370 and a Leu at position 405.

In another embodiment, said first homodimeric protein comprises an amino 30 acid other than Lys, Leu or Met at position 409 and said second homodimeric protein comprises a Lys at position 409 and: a) an Ile at position 350 and a Leu at position 405, or b) a Thr at position 370 and a Leu at position 405.

In another embodiment, said first homodimeric protein comprises an Arg at position 409 and said second homodimeric protein comprises a Lys at position 409 and: a) an Ile at position 350 and a Leu at position 405, or b) a Thr at position 370 35 and a Leu at position 405.

In another embodiment, said first homodimeric protein comprises a Thr at position 350, a Lys at position 370, a Phe at position 405 and an Arg at position 409 and said second homodimeric protein comprises a Lys at position 409 and: a) an Ile at position 350 and a Leu at position 405, or b) a Thr at position 370 and a Leu at 5 position 405.

In another embodiment, said first homodimeric protein comprises a Thr at position 350, a Lys at position 370, a Phe at position 405 and an Arg at position 409 and said second homodimeric protein comprises an Ile at position 350, a Thr at position 370, a Leu at position 405 and a Lys at position 409.

10 In another embodiment, said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino acid other than Tyr, Asp, Glu, Phe, Lys, Gln, Arg, Ser or Thr at position 407.

15 In another embodiment, said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an Ala, Gly, His, Ile, Leu, Met, Asn, Val or Trp at position 407.

In another embodiment, said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has a Gly, Leu, Met, Asn or Trp at position 407.

20 In another embodiment, said first homodimeric protein has a Tyr at position 407 and an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino acid other than Tyr, Asp, Glu, Phe, Lys, Gln, Arg, Ser or Thr at position 407 and a Lys at position 409.

25 In another embodiment, said first homodimeric protein has a Tyr at position 407 and an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an Ala, Gly, His, Ile, Leu, Met, Asn, Val or Trp at position 407 and a Lys at position 409.

30 In another embodiment, said first homodimeric protein has a Tyr at position 407 and an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has a Gly, Leu, Met, Asn or Trp at position 407 and a Lys at position 409.

35 In another embodiment, said first homodimeric protein has a Tyr at position 407 and an Arg at position 409 and said second homodimeric protein has an amino acid other than Tyr, Asp, Glu, Phe, Lys, Gln, Arg, Ser or Thr at position 407 and a Lys at position 409.

In another embodiment, said first homodimeric protein has a Tyr at position 407 and an Arg at position 409 and said second homodimeric protein has an Ala, Gly, His, Ile, Leu, Met, Asn, Val or Trp at position 407 and a Lys at position 409.

5 In another embodiment, said first homodimeric protein has a Tyr at position 407 and an Arg at position 409 and said second homodimeric protein has a Gly, Leu, Met, Asn or Trp at position 407 and a Lys at position 409.

In one embodiment, the first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409, and the second homodimeric protein has

10 (i) an amino acid other than Phe, Leu and Met at position 368, or

(ii) a Trp at position 370, or

(iii) an amino acid other than Asp, Cys, Pro, Glu or Gln at position 399.

In one embodiment, the first homodimeric protein has an Arg, Ala, His or Gly at position 409, and the second homodimeric protein has

15 (i) a Lys, Gln, Ala, Asp, Glu, Gly, His, Ile, Asn, Arg, Ser, Thr, Val, or Trp at position 368, or

(ii) a Trp at position 370, or

(iii) an Ala, Gly, Ile, Leu, Met, Asn, Ser, Thr, Trp, Phe, His, Lys, Arg or Tyr at position 399.

In one embodiment, the first homodimeric protein has an Arg at position 409, and the second homodimeric protein has

(i) an Asp, Glu, Gly, Asn, Arg, Ser, Thr, Val, or Trp at position 368, or

25 (ii) a Trp at position 370, or

(iii) a Phe, His, Lys, Arg or Tyr at position 399.

In addition to the above-specified amino-acid substitutions, said first and second homodimeric protein may contain further amino-acid substitutions, deletion or insertions relative to wild-type Fc sequences.

In a further embodiment, said first and second CH3 regions, except for the specified mutations, comprise the sequence set forth in SEQ ID NO:1 (IgG1m(a)):

SEQ ID NO:1:

5 GQPREPQVYTLPPSRDELTKNQVSLTCLVKGFYPSDIAVEWESNGQPENNYKTPPVLDSDGSF  
FLYSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQKSLSLSPGK

In a further embodiment, said first and second CH3 regions, except for the specified mutations, comprise the sequence set forth in SEQ ID NO:2 (IgG1m(f)):

10

SEQ ID NO:2:

GQPREPQVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAVEWESNGQPENNYKTPPVLDSDGSF  
FLYSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQKSLSLSPGK

15

In a further embodiment, said first and second CH3 regions, except for the specified mutations, comprise the sequence set forth in SEQ ID NO:3 (IgG1m(ax)):

SEQ ID NO:3:

20

GQPREPQVYTLPPSRDELTKNQVSLTCLVKGFYPSDIAVEWESNGQPENNYKTPPVLDSDGSF  
FLYSKLTVDKSRWQQGNVFSCSVMHEGLHNHYTQKSLSLSPGK

25

In a further embodiments, the homodimeric proteins provided may be a rat antibody and a mouse antibody, who show preferential pairing, as described by Lindhofer et al. (1995) J Immunol 155:219 (see above), or so-called knob-in-hole variant antibodies, as described in US patent 5,731,168 (see above). In some cases, however, the latter homodimeric starting proteins may be more difficult to produce, because of too weak homodimeric CH3-CH3 interactions. Thus, the herein described variants having mutations at positions 350, 370, 405 and 409, may be preferred.

30

The sequence of the hinge region of the homodimeric starting proteins may vary. However, the resulting heterodimeric protein may be more stable under some circumstances if the hinge region is not IgG4-like, and, preferably is IgG1-like.

Thus, in one embodiment, neither said first nor said second homodimeric protein comprises a Cys-Pro-Ser-Cys sequence in the (core) hinge region.

In a further embodiment, both said first and said second homodimeric protein comprise a Cys-Pro-Pro-Cys sequence in the (core) hinge region.

In many embodiments wherein first and said second homodimeric proteins are antibodies, said antibodies further comprise a light chain. As explained above, 5 said light chains may be different, i.e. differ in sequence and each form a functional antigen-binding domain with only one of the heavy chains. In another embodiment, however, said first and second homodimeric proteins are heavy-chain antibodies, which do not need a light chain for antigen binding, see e.g. Hamers-Casterman (1993) *Nature* 363:446.

10 As described above, step c) of the method of the invention comprises incubating said first protein together with said second protein under reducing conditions sufficient to allow the cysteines in the hinge region to undergo disulfide-bond isomerisation. Examples of suitable conditions are given herein. The minimal requirements for the cysteines in the hinge region for undergoing disulfide-bond 15 isomerisation may differ depending on the homodimeric starting proteins, in particular depending on the exact sequence in the hinge region. It is important that the respective homodimeric interactions of said first and second CH3 regions are sufficiently weak to allow cysteines in the hinge region to undergo disulfide-bond isomerisation under the given conditions.

20 In one embodiment, the reducing conditions in step c) comprise the addition of a reducing agent, e.g. a reducing agent selected from the group consisting of: 2-mercaptopropylamine (2-MEA), dithiothreitol (DTT), dithioerythritol (DTE), glutathione, tris(2-carboxyethyl)phosphine (TCEP), L-cysteine and beta-mercaptoethanol, preferably a reducing agent selected from the group consisting of: 2-mercaptopropylamine, dithiothreitol and tris(2-carboxyethyl)phosphine.

25 In one embodiment, the reducing conditions enabling controlled Fab-arm exchange are described in terms of the required redox potential. The tripeptide glutathione (GSH) is the major low-molecular weight thiol in cells and controls thiol-disulphide redox state which is essential for normal redox signaling in vivo. The 30 dynamics of cellular redox balance are achieved by maintenance of the thiol-to-disulphide status of reduced GSH and its oxidized form GSSG. The values for the reduction potential can be measured as in Rost and Rapoport, *Nature* 201: 185 (1964) and Aslund et al., *J. Biol. Chem.* 272:30780-30786 (1997). The redox potential  $E_h$ , which takes into consideration the stoichiometry of two GSH oxidized 35 per GSSG is a quantitative measure for the redox state.  $E_h$  is calculated by the

Nernst equation:  $E_h = E_o + (RT/nF)\ln ([GSSG\ (ox)]/[GSH\ (red)])^2$ .  $E_o$  is the standard potential for the redox couple at defined pH, R is the gas constant, T is the absolute temperature, F is Faraday's constant and n is the number of electrons transferred. In vivo estimates for  $E_h$  for the GSH/GSSG couple are in the range of -260 to -200 mV (Aw, T., *News Physiol. Sci.* 18:201-204 (2003)). Terminally differentiated cells thereby maintain an  $E_h$  in the order of -200 mV, whereas actively proliferating cells maintain a more reduced  $E_h$  of approximately -260 mV.

The standard redox potential for DTT is -330 mV (Cleland et al. *Biochemistry* 3: 480-482 (1964)). TCEP has been shown to reduce DTT in solution and therefore has a more negative redox potential than DTT. The precise value however has not been reported. Reducing conditions allowing controlled Fab-arm exchange conditions can therefore be described in terms of a required redox potential  $E_h$ , which is optimally below the value that is achieved under normal plasma conditions in vivo and that is above the redox potential which reduces antibody disulphide bonds outside those located in the hinge region and involved in inter-heavy chain disulphide bond formation.

Thus, in a further embodiment, step c) is performed under reducing conditions with a redox potential ranging below -50 mV, such as below -150 mV, preferably between -150 and -600 mV, such as between -200 and -500 mV, more preferably between -250 and -450 mV, such as between -250 and -400 mV, even more preferably between -260 and -300 mV.

In a further embodiment, step c) comprises incubation for at least 90 min at a temperature of at least 20°C in the presence of at least 25 mM 2-mercaptoethylamine or in the presence of at least 0.5 mM dithiothreitol. The incubation may be performed at a pH of from 5 to 8, such as at pH 7.0 or at pH 7.4.

In a further embodiment, step d) comprises restoring the conditions to become non-reducing or less reducing, for example by removal of a reducing agent, e.g. by desalting.

In some embodiments, the method of the invention yields an antibody product wherein more than 80%, such as more than 90%, e.g. more than 95%, such as more than 99% of the antibody molecules are the desired bispecific antibodies.

The post-production is more flexible and easier to control compared to the prior art methods based on co-expression.

The post-production nature of making bispecific antibodies by Fab-exchange under reducing conditions (such as by addition of 2-MEA) as disclosed herein makes

it a highly suitable strategy for (high-throughput) screening of multiple combinations of specificities for bispecific antibody discovery. In addition, the in vitro process can be performed in the laboratory which allows greater control, flexibility and yield of the heterodimeric protein than is allowed by co-expression. An additional advantage 5 of this strategy is that the screening can be done in the final therapeutic format, precluding the need for engineering upon lead selection.

As explained above, in a further aspect, the method of the invention may be used for "matrix" screening, i.e. for generating a large number of different combinations of binding specificities on the basis of two sets of antibodies, one set 10 having identical first CH3 regions and the other set having identical second CH3 regions, wherein the sequences of said first and second CH3 regions are different and are such that the heterodimeric interaction between said first and second CH3 regions is stronger than each of the homodimeric interactions of said first and second CH3 regions.

15 Thus, in one embodiment the invention relates to a method for the selection of a heterodimeric protein having a desired property, said method comprising the steps of:

a) providing a first set of homodimeric proteins comprising an Fc region wherein the homodimeric proteins have identical first CH3 regions,

20 b) providing a second set of homodimeric proteins comprising an Fc region wherein the homodimeric proteins have identical second CH3 regions,

25 wherein the sequences of said first and second CH3 regions are different and are such that the heterodimeric interaction between said first and second CH3 regions is stronger than each of the homodimeric interactions of said first and second CH3 regions,

30 c) incubating combinations of the homodimeric proteins of said first set and of said second set under reducing conditions sufficient to allow the cysteines in the hinge region to undergo disulfide-bond isomerization, thus generating a set of bispecific antibodies,

35 d) optionally restoring the conditions to non-reducing,

- e) assaying the resulting set of heterodimeric proteins for a given desired property, and
- f) selecting a heterodimeric protein having the desired property.

5

In one embodiment, the invention relates to a method for the selection of a bispecific antibody having a desired property, said method comprising the steps of:

10 a) a) providing a first set of homodimeric antibodies comprising antibodies with different variable regions, wherein said antibodies of said first set comprise identical first CH3 regions,

15 b) providing a second set of homodimeric antibodies comprising antibodies with different variable regions or identical variable regions, wherein said antibodies of said second set comprise identical second CH3 regions,

20 wherein the sequences of said first and second CH3 regions are different and are such that the heterodimeric interaction between said first and second CH3 regions is stronger than each of the homodimeric interactions of said first and second CH3 regions,

25 c) incubating combinations of antibodies of said first set and of said second set under reducing conditions sufficient to allow the cysteines in the hinge region to undergo disulfide-bond isomerization, thus generating a set of bispecific antibodies,

d) optionally restoring the conditions to non-reducing,

30 e) assaying the resulting set of bispecific antibodies for a given desired property, and

- f) selecting a bispecific antibody having the desired property.

In one embodiment, the homodimeric antibodies of the second set have different variable regions.

In one embodiment, the homodimeric antibodies of the second set have identical variable regions, but have different different amino acid or structural variations outside of the antigen binding region.

The two sets can be composed in many different ways as desired. Thus, the 5 two sets may target the same epitope or different epitopes on the same antigen. The two sets may also target different antigens, and each set may contain antibodies binding to the same epitope or different epitopes on the antigen in question. Furthermore, one of the sets or both sets may each contain antibodies targeting different antigens.

10 In another embodiment, said desired property is cell killing, cell lysis, inhibition of cell proliferation, or binding to cells expressing both antigen targets.

The screening strategy includes two panels of antibody vectors containing a range of specificities, where one panel is cloned into a backbone that is able to engage in Fab-arm exchange under reducing conditions (such as by addition of 2-15 MEA) with the backbone of the second panel. For example, the first panel is cloned into an IgG1-F405L backbone and the second panel is cloned into a IgG1-K409R backbone (for other possible backbone combination see also Examples 19, 28, 29, 30, 35, 36, 37, 38, and 39).

Each member of the two panels of antibody vectors is then expressed 20 individually at small scale. For example, all antibody vectors are transfected transiently in HEK293 cells and expressed in 2.3 mL cultures in 24-well plates. Alternatively, other suitable (small-scale) production systems known in the art may be used.

The expressed antibodies of the two panels of antibodies are then mixed pair-25 wise in a matrix-like fashion at equimolar ratios. For example, all individual antibodies are purified by small-scale protein A chromatography and antibody concentration are measured by absorbance at a wavelength of 280 nm. Other suitable (small-scale) purification methods or methods for determining protein concentration known in the art may alternatively be used. In another embodiment, 30 the purification step may be left out if down-stream applications are not affected by the expression medium. Thereafter, the antibody concentrations are normalized so that a suitable volume contains equimolar amounts of both antibodies. For example, a panel of 8 antibodies in the F405L backbone is individually mixed with 8 antibodies in the K409R backbone so that 64 mixtures of 100 µl contain 80 µg/mL of antibody A 35 (F405L) and 80 µg/mL of antibody B (K409R). Alternatively, if the strategy contains

a bispecific antibody-specific purification step down-stream, the step to normalize antibody amounts may be left out.

To the mixtures of antibodies, a suitable amount of reducing agent is added and incubated for a suitable period of time at a permissive temperature. For example, to 100  $\mu$ l containing 80  $\mu$ g/mL of antibody A (F405L) and 80  $\mu$ g/mL of antibody B (K409R), 25  $\mu$ l of 125 mM 2-MEA is added (final concentration 25 mM 2-MEA) and incubated overnight at 25°C.

The reducing agent is thereupon removed from the mixtures (now containing bispecific antibodies) to promote oxidation of the disulfide bonds and to avoid interference of the reducing agent in the screening assays. For example, 2-MEA is removed by performing a buffer exchange of the 64 mixtures using Zeba Spin 96-well desalting plates (Pierce Biotechnology, #89807). Alternatively, other suitable methods to remove the reducing agent known in the art may be used

The bispecific antibodies are then characterized biochemically or functionally to identify the lead candidates. For example, the 64 bispecific antibodies are assessed for proliferation inhibition of suitable cell-lines or binding to suitable cell-lines. Identified lead candidates will then be produced at larger scale and characterized in more detail.

#### 20 Production by co-expression

The heterodimeric proteins of the invention may also be obtained by co-expression of constructs encoding the first and second polypeptides in a single cell.

Thus, in a further aspect, the invention relates to a method for producing a heterodimeric protein, said method comprising the following steps:

25

a) providing a first nucleic-acid construct encoding a first polypeptide comprising a first Fc region of an immunoglobulin, said first Fc region comprising a first CH3 region,

30 b) providing a second nucleic-acid construct encoding a second polypeptide comprising a second Fc region of an immunoglobulin, said second Fc region comprising a first CH3 region,

35 wherein the sequences of said first and second CH3 regions are different and are such that the heterodimeric interaction between said first and second CH3 regions is

stronger than each of the homodimeric interactions of said first and second CH3 regions, and

5 wherein said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino-acid substitution at a position selected from the group consisting of: 366, 368, 370, 399, 405 and 407.

10 and/or

15 wherein the sequences of said first and second CH3 regions are such that the dissociation constants of homodimeric interactions of each of the CH3 regions are between 0.01 and 10 micromolar, such as between 0.05 and 10 micromolar, more preferably between 0.01 and 5, such as between 0.05 and 5 micromolar, even more preferably between 0.01 and 1 micromolar, such as between 0.05 and 1 micromolar, between 0.01 and 0.5 or between 0.01 and 0.1 when assayed as described in Example 21.

20 c) co-expressing said first and second nucleic-acid constructs in a host cell, and

25 d) obtaining said heterodimeric protein from the cell culture.

Suitable expression vectors, including promoters, enhancers, etc., and suitable host cells for the production of antibodies are well-known in the art.

25 Examples of host cells include yeast, bacterial and mammalian cells, such as CHO or HEK cells.

In one embodiment of this method, said first CH3 region has an amino acid other than Lys, Leu or Met at position 409 and said second CH3 region has an amino acid other than Phe at position 405.

30 and/or

35 the sequences of said first and second CH3 regions are such that the dissociation constants of homodimeric interactions of each of the CH3 regions are between 0.01 and 10 micromolar, such as between 0.05 and 10 micromolar, more preferably between 0.01 and 5, such as between 0.05 and 5 micromolar, even more

preferably between 0.01 and 1 micromolar, such as between 0.05 and 1 micromolar, between 0.01 and 0.5 or between 0.01 and 0.1 when assayed as described in Example 21.

5 In another embodiment of this method:

    said first CH3 region has an amino acid other than Lys, Leu or Met at position 409 and said second CH3 region has an amino acid other than Phe at position 405, such as other than Phe, Arg or Gly at position 405  
    or

10     said first CH3 region has an amino acid other than Lys, Leu or Met at position 409 and said second CH3 region has an amino acid other than Tyr, Asp, Glu, Phe, Lys, Gln, Arg, Ser or Thr at position 407.

In some embodiments, said first and second polypeptides are full-length  
15 heavy chains of two antibodies that bind different epitopes (i.e. said first and second nucleic-acid constructs encode full-length heavy chains of two antibodies that bind different epitopes), and thus the heterodimeric protein is a bispecific antibody. This bispecific antibody can be a heavy-chain antibody, or said host cell may further  
20 express one or more nucleic-acid constructs encoding a light-chain. If only one light-chain construct is co-expressed with the heavy chain constructs, then a functional bispecific antibody is only formed if the light chain sequence is such that it can form a functional antigen-binding domain with each of the heavy chains. If two or more different light-chain constructs are co-expressed with the heavy chain, multiple products will be formed.

25     In further embodiments, the co-expression method according to the invention comprises any of the further features described under the in vitro method above.

    In a further aspect, the invention relates to an expression vector comprising the first and second nucleic-acid constructs specified herein above. In an even further aspect, the invention relates to a host cell comprising the first and second  
30 nucleic-acid constructs specified herein above.

#### Heterodimeric proteins

In a further aspect, the invention relates to a heterodimeric protein obtained or obtainable by the method of the invention.

Furthermore, the method of the invention enables the formation of asymmetrical molecules, molecules with different characteristics on each of the Fab-arms or on each of the CH3 domains or molecules with distinct modifications throughout the molecules, e.g. molecules with unnatural amino acid substitution(s) for conjugation. Such asymmetrical molecules can be generated in any suitable combinatons. This is illustrated further below by some non-limiting examples.

Bispecific antibodies can be used to pretarget a target cell of interest, including but not limited to, a tumor cell. Pretargeting of a target cell could be used for imaging studies or for immunotherapeutic purposes.

10 In an embodiment of the method of the invention, the first Fab-arm of the bispecific molecule binds to a tumor cell, such as a tumor cell surface protein or tumor cell surface carbohydrate, such as one of the tumor cell surface proteins listed herein and the second Fab-arm recognizes a radioactive effector molecule including but not limited to, a radiolabel coupled or linked (via a chelator) to a peptide or 15 haptен. An example of such radiolabelled peptide is indium-labelled diethylenetriaminepentaacetic acid (anti-DTPA(Ind) van Schaijk et al. *Clin. Cancer Res.* 2005; 11: 7230s-7126s). Another example is using haptен-labelled colloidal particles such as liposomes, nanoparticles of polymeric micelles carrying 20 radionuclides such as for example technetium-99 (Jestin et al. *Q J Nucl Med Mol Imaging* 2007; 51:51-60).

In another embodiment, a haptен-coupled alternative cytostatic molecule such as a toxin is used.

25 In a further embodiment of the method of the invention, the first Fab-arm of the bispecific molecule is glycosylated at position N297 (EU numbering) and the second Fab-arm of the bispecific molecules is aglycosylated (nonglycosylated for instance by mutating N297 to Q or A or E mutation (Bolt S et al., *Eur J Immunol* 1993, 23:403-411)). Asymmetrical glycosylation in the Fc-region impacts the interaction to Fc $\gamma$ -receptors and has impact on antibody-dependent cell cytotoxicity 30 effect of the antibody (Ha et al., *Glycobiology* 2011, April 5) as well as interaction with other effector function molecules such as C1q.

In another embodiment of the method of the invention, the first Fab-arm of the bispecific molecule interacts with FcRn, the neonatal Fc receptor (Roopenian DC, et al. *Nat. Rev. Immunol.* 2007, 7:715-725) and the second Fab-arm is impaired in binding to FcRn by mutation of the FcRn interaction site on the molecules for

instance by making a H435A mutation (Shields, R.L., et al, *J Biol Chem*, 2001, Firan, M., et al, *Int Immunol*, 2001).

In another embodiment of the method of the invention, the first Fab-arm of the bispecific molecule interacts with staphylococcal protein A (protein A,

5 Deisenhofer et al, *Biochemistry* **20**, 2361-2370 (1981) and streptococcal protein G (protein G, Derrick et al., *Nature* **359**, 752-754 (1992), often used for purification of antibodies, and the second Fab-arm of bispecific molecules is impaired in the interaction with protein A of G. As a result, removal of residual amounts of homodimer with impaired protein A or G binding after the exchange into heterodimer

10 is easily obtained by purification of the bispecific molecule with protein A or G.

In another embodiment, the binding to either Fc $\gamma$ -receptors or FcRn is improved or decreased on one of the two Fab-arms of the bispecific molecule.

In another embodiment, the binding to C1q is improved or decreased on one of the two Fab-arms of the bispecific molecule.

15 In another embodiment, the protein has been engineered to enhance complement activation on one or both of the two Fab-arms of the molecule.

In another embodiment, each of the Fab-arms present in the bispecific molecule is derived from a different IgG subclass.

20 In another embodiment, each of the Fab-arms present in the bispecific molecule carry different allotypic mutations (Jefferis & Lefranc, 2009, *MABs* **1** :332-8).

In another embodiment, another category of asymmetric immunotherapeutic molecules is generated by replacement of the Fab of one of the Fab-arms of the bispecific molecule by an immuno active, stimulating or inhibiting cytokine. Non-25 limiting examples of such cytokines are IL-2, IFN- $\alpha$ , IFN- $\beta$ , IFN- $\gamma$ , TNF- $\alpha$ , G-CSF, GM-CSF, IL-10, IL-4, IL-6, IL-13. Alternatively, a (growth) factor or hormone stimulating or inhibition agent is included in the molecules.

30 In another embodiment, a Fab of one of the Fab-arms is replaced by a lytic peptide, i. e. peptides that are able to lyse tumor cells, bacteria, fungi etc, including but not limited to antimicrobial peptides like magainin, mellitin, cecropin, KLAKKLAK and variants thereof (Schweizer et al. *Eur. J. Pharmacology* 2009; 625: 190-194, Javadpour, *J. Med. Chem.*, 1996, 39: 3107-3113, Marks et al, *Cancer Res* 2005; 65:2373-2377, Rege et al, *Cancer Res.* 2007; 67:6368-6375) or cationic lytic peptides (CLYP technology, US2009/0269341).

In another embodiment, one or both of the Fabs on the Fab arms is replaced by receptors for cytokines and/or growth factors, creating so-called decoy receptors, of which Enbrel® (etanercept) targeting TNF- $\alpha$  and VEGF-trap, targeting VEGF, are well-known examples. Combining these two decoy receptors into one molecule

5 showed superior activity over the single decoy receptors (Jung, *J. Biol. Chem.* 2011; 286:14410-14418).

In another embodiment, another category of asymmetric immunotherapeutic molecules is generated by fusion of immuno-active, -stimulating or inhibiting cytokines to the N-terminus or C-terminus of one, or both, of the Fab-arms present

10 in the bispecific molecules. This may positively impact anti-tumor activity of the bispecific molecule. Examples of such molecules, however not limited to the list below, are IL-2 (Fournier et al., 2011, *Int. J. Oncology*, doi: 10.3892/ijo.2011.976), IFN- $\alpha$ , IFN- $\beta$  or IFN- $\gamma$  (Huan et al., 2007; *J. Immunol.* 179:6881-6888, Rossie et al., 2009; *Blood* 114: 3864-3871), TNF- $\alpha$ . Alternatively, N-terminal or C-terminal fusion

15 of cytokines, such as for example G-CSF, GM-CSF, IL-10, IL-4, IL-6, or IL-13 may positively impact the bispecific antibody molecule effector function. Alternatively a (growth) factor or hormone stimulating or inhibition agent is included in the molecules on the N-terminus or C-terminus.

In another embodiment, N-terminal or C-terminal fusion of a lytic peptide, such as for example antimicrobial peptides like magainin, mellitin, cecropin, KLAKKLAK and variants thereof (Schweizer et al. *Eur. J. Pharmacology* 2009; 625: 190-194, Javadpour, *J. Med. Chem.*, 1996, 39: 3107-3113, Marks et al, *Cancer Res* 2005; 65:2373-2377, Rege et al, *Cancer Res.* 2007; 67:6368-6375) or cationic lytic peptides (CLYP technology, US2009/0269341) on one or both of the Fab-arms may enhance the activity of the molecule.

In another embodiment, another category of asymmetric immunotherapeutic molecules is monovalent antibodies, molecules which interact with one Fab-arm to the target of choice. In such molecule one of the Fab-arms present in the bispecific molecule is directed against the target molecule of choice, the second Fab-arm of the

30 molecule does not carry a Fab or has a non-binding/non-functional Fab such as described for MetMab (Genentech; WO 96/38557). Alternatively, monomeric Fc-fusion proteins such as those described for Factor VIII and IX (Peters et al., *Blood* 2010; 115: 2057-2064) may be generated.

35 Alternatively, combinations of any of the above mentioned asymmetrical molecules may be generated by the method of the invention.

In an even further aspect, the invention relates to a heterodimeric protein comprising a first polypeptide comprising a first Fc region of an immunoglobulin, said first Fc region comprising a first CH3 region, and a second polypeptide comprising a 5 second Fc region of an immunoglobulin, said second Fc region comprising a second CH3 region, wherein the sequences of said first and second CH3 regions are different and are such that the heterodimeric interaction between said first and second CH3 regions is stronger than each of the homodimeric interactions of said first and second CH3 regions, and

10

wherein said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino-acid substitution at a position selected from the group consisting of: 366, 368, 370, 399, 405 and 407

15

and/or  
wherein the sequences of said first and second CH3 regions are such that the dissociation constants of homodimeric interactions of each of the CH3 regions are between 0.01 and 10 micromolar, such as between 0.05 and 10 micromolar, more preferably between 0.01 and 5, such as between 0.05 and 20 5 micromolar, even more preferably between 0.01 and 1 micromolar, such as between 0.05 and 1 micromolar, between 0.01 and 0.5 or between 0.01 and 0.1 when assayed as described in Example 21.

In one embodiment, said first CH3 region has an amino acid other than Lys, Leu or 25 Met at position 409 and said second CH3 region has an amino acid other than Phe at position 405

and/or

the sequences of said first and second CH3 regions are such that the dissociation constants of homodimeric interactions of each of the CH3 regions are 30 between 0.01 and 10 micromolar, such as between 0.05 and 10 micromolar, more preferably between 0.01 and 5, such as between 0.05 and 5 micromolar, even more preferably between 0.01 and 1 micromolar, such as between 0.05 and 1 micromolar, between 0.01 and 0.5 or between 0.01 and 0.1 when assayed as described in Example 21.

In a further embodiment of the heterodimeric protein

5 said first CH3 region has an amino acid other than Lys, Leu or Met at position 409 and said second CH3 region has an amino acid other than Phe at position

405, such as other than Phe, Arg or Gly, at position 405

or

10 said first CH3 region has an amino acid other than Lys, Leu or Met at position 409 and said second CH3 region has an amino acid other than Tyr, Asp, Glu, Phe, Lys, Gln, Arg, Ser or Thr at position 407.

In further embodiments, the heterodimeric protein according to the invention comprises any of the further features described above for the methods of production.

15 Thus, in a further embodiment of the heterodimeric protein of the invention, said first polypeptide is a full-length heavy chain of an antibody, preferably a human antibody.

In another embodiment of the heterodimeric protein of the invention, said second polypeptide is a full-length heavy chain of an antibody, preferably a human antibody.

20 In a further embodiment of the heterodimeric protein of the invention, said first and second polypeptides are both full-length heavy chains of two antibodies, preferably both human antibodies that bind different epitopes, and thus the resulting heterodimeric protein is a bispecific antibody. This bispecific antibody can be a heavy-chain antibody, or an antibody which in addition to the heavy chains comprises two full-length light chains, which may be identical or different.

25 In a further embodiment of the heterodimeric protein of the invention, the Fc region of the first polypeptide is of an isotype selected from the group consisting of IgG1, IgG2, IgG3 and IgG4 (except for the specified mutations) and the Fc region of the second polypeptide is of an isotype selected from the group consisting of IgG1, IgG2, IgG3 and IgG4 (except for the specified mutations).

30 In a further embodiment of the heterodimeric protein of the invention, the Fc regions of both said first and said second polypeptides are of the IgG1 isotype.

In a further embodiment of the heterodimeric protein of the invention, one of the Fc regions of said polypeptides is of the IgG1 isotype and the other of the IgG4 isotype.

In a further embodiment of the heterodimeric protein of the invention, the increased strength of the heterodimeric interaction as compared to each of the homodimeric interactions is due to CH3 modifications other than the introduction of covalent bonds, cysteine residues or charged residues.

5 In a further embodiment of the heterodimeric protein of the invention, the heterodimeric interaction between said first and second polypeptides in the heterodimeric protein is such that no Fab-arm exchange can occur at 0.5 mM GSH under the conditions described in Example 13.

10 In a further embodiment of the heterodimeric protein of the invention, the heterodimeric interaction between said first and second polypeptides in the resulting heterodimeric protein is such that no Fab-arm exchange occurs *in vivo* in mice under the conditions described in Example 14.

15 In a further embodiment of the heterodimeric protein of the invention, said first CH3 region comprises a Phe at position 405 and an amino acid other than Lys, Leu or Met at position 409 and said second CH3 region comprises an amino acid other than Phe at position 405 and a Lys at position 409.

20 In a further embodiment of the heterodimeric protein of the invention, said first CH3 region comprises a Phe at position 405 and an amino acid other than Lys, Leu or Met at position 409 and said second CH3 region comprises a Leu at position 405 and a Lys at position 409.

In a further embodiment of the heterodimeric protein of the invention, said first CH3 region comprises Phe at position 405 and an Arg at position 409 and said second CH3 region comprises a Leu at position 405 and a Lys at position 409.

25 In a further embodiment of the heterodimeric protein of the invention, said first CH3 region comprises an amino acid other than Lys, Leu or Met at position 409 and said second CH3 region comprises a Lys at position 409 and: a) an Ile at position 350 and a Leu at position 405, or b) a Thr at position 370 and a Leu at position 405.

30 In a further embodiment of the heterodimeric protein of the invention, said first CH3 region comprises an Arg at position 409 and said second CH3 region comprises a Lys at position 409 and: a) an Ile at position 350 and a Leu at position 405, or b) a Thr at position 370 and a Leu at position 405.

35 In a further embodiment of the heterodimeric protein of the invention, said first CH3 region comprises a Thr at position 350, a Lys at position 370, a Phe at position 405 and an Arg at position 409 and said second CH3 region comprises a Lys

at position 409 and: a) an Ile at position 350 and a Leu at position 405, or b) a Thr at position 370 and a Leu at position 405.

In a further embodiment of the heterodimeric protein of the invention, said first CH3 region comprises a Thr at position 350, a Lys at position 370, a Phe at 5 position 405 and an Arg at position 409 and said second CH3 region comprises an Ile at position 350, a Thr at position 370, a Leu at position 405 and a Lys at position 409.

In a further embodiment of the heterodimeric protein of the invention, neither 10 said first nor said second polypeptide comprises a Cys-Pro-Ser-Cys sequence in the hinge region.

In a further embodiment of the heterodimeric protein of the invention, both said first and said second polypeptide comprise a Cys-Pro-Pro-Cys sequence in the hinge region.

In a further embodiment of the heterodimeric protein of the invention, said 15 first and/or said second polypeptide comprises a mutation removing the acceptor site for Asn-linked glycosylation.

#### *Target antigens*

As explained above, in an important embodiment of the invention, the heterodimeric 20 protein is a bispecific antibody comprising two variable regions that differ in binding specificity, i.e. bind different epitopes.

In principle, any combination of specificities is possible. As mentioned above, bispecific antibodies can potentially be used to overcome some of the limitations of monospecific antibodies. One possible limitation of a monospecific antibody is a lack 25 of specificity for the desired target cells due to expression of the target antigen on other cell types towards which no antibody binding is desired. For example, a target antigen overexpressed on tumor cells may also be expressed in healthy tissues which could result in undesired side-effects upon treatment with an antibody directed against that antigen. A bispecific antibody having a further specificity against a 30 protein which is exclusively expressed on the target cell type could potentially improve specific binding to tumor cells.

Thus, in one embodiment of the invention, said first and second epitopes are located on the same cell, e.g. a tumor cell. Suitable targets on tumor cells include, but are not limited to, the following: erbB1 (EGFR), erbB2 (HER2), erbB3, erbB4, 35 MUC-1, CD19, CD20, CD4, CD38, CD138, CXCR5, c-Met, HERV-envelop protein,

periostin, Bigh3, SPARC, BCR, CD79, CD37, EGFrVIII, L1-CAM, AXL, Tissue Factor (TF), CD74, EpCAM and MRP3. Possible combinations of tumor cell targets include, but are not limited to: erbB1 + erbB2, erbB2 + erbB3, erbB1 + erbB3, CD19 + CD20, CD38 + CD34, CD4 + CXCR5, CD38 + RANKL, CD38 + CXCR4, CD20 + 5 CXCR4, CD20 + CCR7, CD20 + CXCR5, CD20 + RANKL, erbB2 + AXL, erbB1 + cMet, erbB2 + c-Met, erbB2 + EpCAM, c-Met + AXL, c-Met + TF, CD38 + CD20, CD38 + CD138.

In a further embodiment, said first and second epitopes may be located on the same target antigen, wherein the location of the two epitopes on the target 10 antigen is such that binding of an antibody to one epitope does not interfere with antibody binding to the other epitope. In a further embodiment hereof, said first and second homodimeric proteins are antibodies that bind to two different epitopes located on the same target antigen, but have a different mode-of-action for killing the target cell, e.g. a tumor cell. For example, in one embodiment, the target 15 antigen is erbB2 (HER2) and the bispecific antibody combines the pertuzumab and trastuzumab antigen-binding sites. In another embodiment, the target antigen is erbB1 (EGFr) and the bispecific antibody combines the zalutumumab and nimotuzumab antigen-binding sites.

Bispecific antibodies can also be used as mediators to retarget effector 20 mechanisms to disease-associated tissues, e.g. tumors. Thus, in a further embodiment, said first or said second epitope is located on a tumor cell, such as a tumor cell protein or tumor cell carbohydrate, and the other epitope is located on an effector cell.

In one embodiment, the effector cell is a T cell.

25 Possible targets on effector cells include the following: FcgammaRI (CD64): expressed on monocytes and macrophages and activated neutrophils; FcgammaRIII (CD16): expressed on natural killer and macrophages; CD3: expressed on circulating T cells; CD89: expressed on PMN (polymorphonuclear neutrophils), eosinophils, monocytes and macrophages; CD32a: expressed on macrophages, neutrophils, 30 eosinophils; Fc $\epsilon$ RI expressed on basophils and mast cells. In one embodiment the epitope is located on CD3 expressed on T cells.

In another embodiment, the first antibody has binding specificity for a pathogenic microorganism and the second antibody has binding specificity for an effector cell protein, such as CD3, CD4, CD8, CD40, CD25, CD28, CD16, CD89, 35 CD32, CD64, Fc $\epsilon$ RI or CD1.

Furthermore, bispecific antibodies can be used to target a chemotherapeutic agent more specifically to the cells on which the agent should act. Thus, in one embodiment, one of the homodimeric proteins is an antibody which recognizes a small molecule or peptide, or is able to form a covalent bond with such a molecule,

5 e.g. according to the principle described in Rader et al, (2003) PNAS 100:5396. In a further embodiment of the method of the invention, the first antibody has binding specificity for (i.e. binds to an epitope on) a tumor cell or tumor cell surface protein, such as erbB1, erbB2, erbB3, erbB4, EGFR3vIII, CEA, MUC-1, CD19, CD20, CD4, CD38, EPCAM, c-Met, AXL, L1-CAM, Tissue Factor, CD74 or CXCR5 and the second

10 antibody has a binding specificity for a chemotherapeutic agent, such as a toxin (including a radiolabelled peptide), a drug or a prodrug.

Bispecific antibodies may also be used to target a vesicle, e.g. an electron dense vesicles, or minicell containing a toxin, drug or prodrug to a tumor. See e.g. MacDiarmid et al. (2009) Nature Biotech 27:643. Minicells are achromosomal cells

15 that are products of aberrant cell division which do not contain chromosomal DNA. Thus, in another embodiment, wherein said first or said second epitope is located on a tumor cell, such as a tumor cell protein or tumor cell carbohydrate, and the other epitope is located on an electron dense vesicle or minicell.

Furthermore, serum half-life of an antibody may be altered by including in a bispecific antibody a binding specificity for a serum protein. For instance, serum half-life may be prolonged by including in a bispecific antibody, a binding specificity for serum albumin. Thus, in a further embodiment of the method of the invention, the first antibody has binding specificity for a tumor cell or tumor cell protein, such as erbB1 (EGFR), erbB2 (HER2), erbB3, erbB4, MUC-1, CD19, CD20, CD4, CD38, CD138, CXCR5, c-Met, HERV-envelope protein, periostin, Bigh3, SPARC, BCR, CD79, CD37, EGFrVIII, L1-CAM, AXL, Tissue Factor (TF), CD74, EpCAM or MRP3, CEA and the second antibody has a binding specificity for a blood protein, such as serum albumin. A second binding specificity can also be used to target an antibody to a specific tissue, such as the central nervous system or brain (across the blood brain barrier). Thus, in a further embodiment of the method of the invention, the first antibody has binding specificity for a brain-specific target, such as amyloid-beta (e.g. for treatment of Alzheimer's disease), Her-2 (e.g. for treatment of breast cancer metastases in brain), EGFr (e.g. for treatment of primary brain cancer), Nogo A (e.g. for treatment of brain injury), TRAIL (e.g. for treatment of HIV), alpha-synuclein (e.g. for treatment of Parkinson), Htt (e.g. for treatment of Huntington), a prion

(e.g. for treatment of mad cow disease), a West Nile virus protein, and the second antibody has a binding specificity for a blood brain barrier protein, such as transferrin receptor (TfR), insulin receptor, melanotransferrin receptor (MTfR), lactoferrin receptor (LfR), Apolipoprotein E receptor 2 (ApoER2), LDL-receptor- related protein 1 and 2 (LRP1 and LRP2), receptor for advanced glycosylation end-products (RAGE), diphtheria toxin -receptor = heparin-binding epidermal growth factor-like growth factor (DTR = HB-EGF), gp190 (Abbott et al, *Neurobiology of Disease* 37 (2010) 13-25).

A binding specificity for a blood brain barrier protein can also be used to target another, non-antibody, molecule, to a specific tissue, such as the central nervous system or brain (across the blood brain barrier). Thus, in a further embodiment, one of the homodimeric proteins is a full-length antibody having a binding specificity for a blood brain barrier protein (such as TfR, insulin receptor, MTfR, LfR, ApoER2, LRP1, LRP2, RAGE, DTR (= HB-EGF) or gp190) and the other homodimeric protein is an Fc region linked at the N- or C-terminus to another protein, such as a cytokine, a soluble receptor or other protein, e.g. VIP (vasoactive intestinal peptide), BDNF (brain-derived neurotrophic factor), FGF (fibroblast growth factor), multiple FGFs, EGF (epidermal growth factor), PNA (peptide nucleic acid), NGF (Nerve growth factor), Neurotrophin (NT)-3, NT-4/5, glial derived neurotrophic factor, ciliary neurotrophic factor, neurturin, neuregulins, interleukins, transforming growth factor (TGF)-alpha, TGF-beta, erythropoietin, hepatocyte growth factor, platelet derived growth factor, artemin, persephin, netrins, cardiotrophin-1, stem cell factor, midkine, pleiotrophin, bone morphogenic proteins, saposins, semaphorins, leukocyte inhibitory factor, alpha-L-iduronidase, iduronate-2-sulfatase, N-acetyl-galactosamine-6-sulfatase, arylsulphatase B, acid alpha-glucosidase, or sphingomyelinase (Pardridge, *Biopharmaceutical drug targeting to the brain*, *Journal of Drug Targeting* 2010, 1-11; Pardridge, *Re-engineering Biopharmaceuticals for delivery to brain with molecular Trojan horses*. *Bioconjugate Chemistry* 2008, 19: 1327-1338.

Moreover, a second binding specificity can be used to target blood clotting factors to a particular desired site of action. For example, a bispecific antibody having a first binding specificity for a tumor cell and a second binding specificity for a blood clotting factor could direct blood clotting to a tumor, and thus stop tumor growth. Thus, in a further embodiment of the method of the invention, the first antibody has binding specificity for a tumor cell or tumor cell protein, such as erbB1,

erbB2, erbB3, erbB4, MUC-1, CD19, CD20, CD4 or CXCR5 and the second antibody has a binding specificity for a protein involved in blood clotting, such as tissue factor.

Further particularly interesting binding specificity combinations include: CD3 + HER2, CD3 + CD20, IL-12 + IL18, IL-1a + IL-1b, VEGF + EGFR, EpCAM + CD3, 5 GD2 + CD3, GD3 + CD3, HER2 + CD64, EGFR + CD64, CD30 + CD16, NG2 + CD28, HER2 + HER3, CD20 + CD28, HER2 + CD16, Bcl2 + CD3, CD19 + CD3, CEA + CD3, EGFR + CD3, IgE + CD3, EphA2 + CD3, CD33 + CD3, MCSP + CD3, PSMA + CD3, TF + CD3, CD19 + CD16, CD19 + CD16a, CD30 + CD16a, CEA + HSG, CD20 + HSG, MUC1 + HSG, CD20 + CD22, HLA-DR + CD79, PDGFR + VEGF, IL17a + IL23, CD32b 10 + CD25, CD20 + CD38, HER2 + AXL, CD89 + HLA class II, CD38+CD138, TF + cMet, Her2 + EpCAM, HER2 + HER2, EGFR + EGFR, EGFR + c-Met, c-Met + non-binding arm and combinations of G-protein coupled receptors.

In a futher embodiment, the bispecific antibodies according to the invention may be used to clear pathogens, pathogenic autoantibodies or harmful compounds 15 such as venoms and toxins from the circulation by targeting to erythrocytes essentially as described in Taylor et al. J. Immunol. 158:842-850 (1997) and Taylor and Ferguson, J. Hematother. 4:357-362, 1995. Said first epitope is located on an erythrocyte (red blood cell) protein including, but not limited to, the erythrocyte complement receptor 1 and said second epitope is located on the compound or 20 organism to be targeted for clearance.

In a further embodiment, the second Fab-arm comprises a fusion protein representing an autoantigen or a conjugation site to attach an autoantigen such as dsDNA. Targeting of pathogens, autoantibodies or harmful compounds by the bispecific antibodies of the invention followed by erythrocyte-mediated clearance 25 may thus have therapeutic utility in the treatment of various diseases and syndromes.

#### *Conjugation*

In further embodiments of the invention, the first and/or second homodimeric 30 protein is linked to a compound selected from the group consisting of: a toxin (including a radioisotope) a prodrug or a drug. Such compound may make killing of target cells more effective, e.g. in cancer therapy. The resulting heterodimeric protein is thus an immunoconjugate. The compound may alternatively be coupled to the resulting heterodimeric protein, i.e. after the Fab-arm exchange has taken place.

Suitable compounds for forming immunoconjugates of the present invention include taxol, cytochalasin B, gramicidin D, ethidium bromide, emetine, mitomycin, etoposide, tenoposide, vincristine, vinblastine, colchicin, doxorubicin, daunorubicin, dihydroxy anthracin dione, mitoxantrone, mithramycin, actinomycin D, 1-dehydro-5 testosterone, glucocorticoids, procaine, tetracaine, lidocaine, propranolol, and puromycin, antimetabolites (such as methotrexate, 6-mercaptopurine, 6-thioguanine, cytarabine, fludarabin, 5-fluorouracil, decarbazine, hydroxyurea, asparaginase, gemcitabine, cladribine), alkylating agents (such as mechlorethamine, thioepa, chlorambucil, melphalan, carmustine (BSNU), lomustine (CCNU), cyclophosphamide, busulfan, dibromomannitol, streptozotocin, dacarbazine (DTIC), procarbazine, mitomycin C, cisplatin and other platinum derivatives, such as carboplatin), antibiotics (such as dactinomycin (formerly actinomycin), bleomycin, daunorubicin (formerly daunomycin), doxorubicin, idarubicin, mithramycin, mitomycin, mitoxantrone, plicamycin, anthramycin (AMC)), diphtheria toxin and related molecules (such as diphtheria A chain and active fragments thereof and hybrid molecules), ricin toxin (such as ricin A or a deglycosylated ricin A chain toxin), cholera toxin, a Shiga-like toxin (SLT-I, SLT-II, SLT-IIV), LT toxin, C3 toxin, Shiga toxin, pertussis toxin, tetanus toxin, soybean Bowman-Birk protease inhibitor, *Pseudomonas* exotoxin, alorin, saporin, modeccin, gelanin, abrin A chain, modeccin A chain, alpha-sarcin, *Aleurites fordii* proteins, dianthin proteins, *Phytolacca americana* proteins (PAPI, PAPII, and PAP-S), *momordica charantia* inhibitor, curcin, crotin, *sapaonaria officinalis* inhibitor, gelonin, mitogellin, restrictocin, phenomycin, and enomycin toxins. Other suitable conjugated molecules include ribonuclease (RNase), DNase I, Staphylococcal enterotoxin-A, pokeweed antiviral protein, diphtherin toxin, 25 *Pseudomonas* endotoxin, Maytansinoids, Auristatins (MMAE, MMAF), Calicheamicins and Duocarmycin analogs (Ducry and Stump, Bioconjugate Chem. 2010, 21: 5-13), Dolostatin-10, Dolostatin-15, Irinotecan or its active metabolite SN38, pyrrolobenzodiazepines (PBD's).

In a further embodiment of the invention, the first and/or second homodimeric protein is linked to an alpha emitter, including but not limited to Thorium-227, Radium-223, Bismuth-212, and Actinium-225.

In a further embodiment of the invention, the first and/or second homodimeric protein is linked to a beta emitting radionuclide, including but not limited to Iodium-313, Yttrium-90, Fluorine-18, Rhenium-186, Gallium-68, 35 Technetium-99, Indium-111, and Lutetium-177.

In another embodiment, the compound to be conjugated comprises a nucleic acid or nucleic acid-associated molecule. In one such facet of the present invention, the conjugated nucleic acid is a cytotoxic ribonuclease, an antisense nucleic acid, an inhibitory RNA molecule (e.g., a siRNA molecule) or an immunostimulatory nucleic acid (e.g., an immunostimulatory CpG motif-containing DNA molecule).

Any method known in the art for conjugating may be employed, including the methods described by Hunter et al., *Nature* 144, 945 (1962), David et al., *Biochemistry* 13, 1014 (1974), Pain et al., *J. Immunol. Meth.* 40, 219 (1981) and Nygren, *J. Histochem. and Cytochem.* 30, 407 (1982). Conjugates may be produced by chemically conjugating the other moiety to the N-terminal side or C-terminal side of the protein (see, e.g., *Antibody Engineering Handbook*, edited by Osamu Kanemitsu, published by Chijin Shokan (1994)). Such conjugated antibody derivatives may also be generated by conjugation at internal residues or sugars, where appropriate. The agents may be coupled either directly or indirectly to a protein of the present invention. One example of indirect coupling of a second agent is coupling by a spacer moiety. Linking technologies for drug-conjugates have recently been summarized by Ducry and Stump (2010) *Bioconjugate Chem.* 21: 5.

#### Compositions and uses

In a further main aspect, the invention relates to a pharmaceutical composition comprising a heterodimeric protein according to the invention as described herein and a pharmaceutically-acceptable carrier.

The pharmaceutical compositions may be formulated in accordance with conventional techniques such as those disclosed in Remington: The Science and Practice of Pharmacy, 19th Edition, Gennaro, Ed., Mack Publishing Co., Easton, PA, 1995. A pharmaceutical composition of the present invention may e.g. include diluents, fillers, salts, buffers, detergents (e. g., a nonionic detergent, such as Tween-20 or Tween-80), stabilizers (e. g., sugars or protein-free amino acids), preservatives, tissue fixatives, solubilizers, and/or other materials suitable for inclusion in a pharmaceutical composition.

Pharmaceutically acceptable carriers include any and all suitable solvents, dispersion media, coatings, antibacterial and antifungal agents, isotonicity agents, antioxidants and absorption delaying agents, and the like that are physiologically compatible with a compound of the present invention. Examples of suitable aqueous and nonaqueous carriers which may be employed in the pharmaceutical compositions

of the present invention include water, saline, phosphate buffered saline, ethanol, dextrose, polyols (such as glycerol, propylene glycol, polyethylene glycol). Pharmaceutically acceptable carriers include sterile aqueous solutions or dispersions and sterile powders for the extemporaneous preparation of sterile injectable 5 solutions or dispersion. Proper fluidity may be maintained, for example, by the use of coating materials, such as lecithin, by the maintenance of the required particle size in the case of dispersions, and by the use of surfactants.

Pharmaceutical compositions of the present invention may also comprise pharmaceutically acceptable antioxidants for instance (1) water soluble antioxidants, 10 such as ascorbic acid, cysteine hydrochloride, sodium bisulfate, sodium metabisulfite, sodium sulfite and the like; (2) oil-soluble antioxidants, such as ascorbyl palmitate, butylated hydroxyanisole, butylated hydroxytoluene, lecithin, propyl gallate, alpha-tocopherol, and the like; and (3) metal chelating agents, such as citric acid, ethylenediamine tetraacetic acid (EDTA), sorbitol, tartaric acid, phosphoric acid, and 15 the like.

Pharmaceutical compositions of the present invention may also comprise isotonicity agents, such as sugars, polyalcohols, such as mannitol, sorbitol, glycerol or sodium chloride in the compositions.

The pharmaceutical compositions of the present invention may also contain 20 one or more adjuvants appropriate for the chosen route of administration such as preservatives, wetting agents, emulsifying agents, dispersing agents, preservatives or buffers, which may enhance the shelf life or effectiveness of the pharmaceutical composition. The compounds of the present invention may be prepared with carriers 25 that will protect the compound against rapid release, such as a controlled release formulation, including implants, transdermal patches, and microencapsulated delivery systems. Such carriers may include gelatin, glyceryl monostearate, glyceryl distearate, biodegradable, biocompatible polymers such as ethylene vinyl acetate, polyanhydrides, polyglycolic acid, collagen, polyorthoesters, and polylactic acid alone or with a wax, or other materials well known in the art. Methods for the preparation 30 of such formulations are generally known to those skilled in the art.

Sterile injectable solutions may be prepared by incorporating the active compound in the required amount in an appropriate solvent with one or a combination of ingredients e.g. as enumerated above, as required, followed by sterilization microfiltration.

The actual dosage levels of the active ingredients in the pharmaceutical compositions may be varied so as to obtain an amount of the active ingredient which is effective to achieve the desired therapeutic response for a particular patient, composition, and mode of administration, without being toxic to the patient. The 5 selected dosage level will depend upon a variety of pharmacokinetic factors including the activity of the particular compositions of the present invention employed, the route of administration, the time of administration, the rate of excretion of the particular compound being employed, the duration of the treatment, other drugs, compounds and/or materials used in combination with the particular compositions 10 employed, the age, sex, weight, condition, general health and prior medical history of the patient being treated, and like factors well known in the medical arts.

The pharmaceutical composition may be administered by any suitable route and mode. In one embodiment, a pharmaceutical composition of the present invention is administered parenterally. "administered parenterally" as used herein 15 means modes of administration other than enteral and topical administration, usually by injection, and include epidermal, intravenous, intramuscular, intraarterial, intrathecal, intracapsular, intraorbital, intracardiac, intradermal, intraperitoneal, intratendinous, transtracheal, subcutaneous, subcuticular, intraarticular, subcapsular, 20 subarachnoid, intraspinal, intracranial, intrathoracic, epidural and intrasternal injection and infusion.

In one embodiment that pharmaceutical composition is administered by intravenous or subcutaneous injection or infusion.

In a main aspect, the invention relates to a heterodimeric protein according to the invention, such as a bispecific antibody according to the invention, for use as a 25 medicament. The heterodimeric protein of the invention may be used for a number of purposes. In particular, as explained above the heterodimeric proteins of the invention may be used for the treatment of various forms of cancer, including metastatic cancer and refractory cancer.

Thus, in one aspect, the invention relates to a method for inhibiting growth 30 and/or proliferation of and/or for killing of a tumor cell comprising administration of a heterodimeric protein according to the invention as described herein to an individual in need thereof.

In another embodiment the heterodimeric proteins of the invention are used 35 for the treatment of immune and autoimmune diseases, inflammatory diseases, infectious diseases, cardiovascular diseases, CNS and musculo-skeletal diseases.

Dosage regimens in the above methods of treatment and uses are adjusted to provide the optimum desired response (e.g., a therapeutic response). For example, a single bolus may be administered, several divided doses may be administered over time or the dose may be proportionally reduced or increased as indicated by the 5 exigencies of the therapeutic situation.

The efficient dosages and the dosage regimens for the heterodimeric proteins depend on the disease or condition to be treated and may be determined by the persons skilled in the art. An exemplary, non-limiting range for a therapeutically effective amount of a bispecific antibody of the present invention is about 0.1-100 10 mg/kg, such as about 0.1-50 mg/kg, for example about 0.1-20 mg/kg, such as about 0.1-10 mg/kg, for instance about 0.5, about such as 0.3, about 1, about 3, about 5, or about 8 mg/kg.

A physician or veterinarian having ordinary skill in the art may readily determine and prescribe the effective amount of the pharmaceutical composition 15 required. For example, the physician or veterinarian could start doses of the heterodimeric protein employed in the pharmaceutical composition at levels lower than that required in order to achieve the desired therapeutic effect and gradually increase the dosage until the desired effect is achieved. In general, a suitable daily dose of a composition of the present invention will be that amount of the compound 20 which is the lowest dose effective to produce a therapeutic effect. Administration may e.g. be parenteral, such as intravenous, intramuscular or subcutaneous.

A heterodimeric protein of the invention may also be administered prophylactically in order to reduce the risk of developing disease, such as cancer, delay the onset of the occurrence of an event in disease progression, and/or reduce 25 the risk of recurrence when a disease, such as cancer is in remission.

Heterodimeric proteins, such as bispecific antibodies, of the present invention may also be administered in combination therapy, i.e., combined with other therapeutic agents relevant for the disease or condition to be treated. Accordingly, in one embodiment, the Heterodimeric-protein-containing medicament is for 30 combination with one or more further therapeutic agents, such as a cytotoxic, chemotherapeutic or anti-angiogenic agents. Such combined administration may be simultaneous, separate or sequential. In a further embodiment, the present invention provides a method for treating or preventing disease, such as cancer, which method comprises administration to a subject in need thereof of a

therapeutically effective amount of a heterodimeric protein, such as a bispecific antibody of the present invention, in combination with radiotherapy and/or surgery.

Heterodimeric proteins, such as bispecific antibodies, of the present invention may also be used for diagnostic purposes.

5

## **EXAMPLES**

### **Example 1: Expression vectors for the expression of human IgG1-2F8 and IgG1-7D8**

The VH and VL coding regions of HuMab 2F8 (WO 02/100348) and HuMab 10 7D8 (WO 04/035607) were cloned in the expression vector pConG1f (containing the genomic sequence of the human IgG1f allotype constant region (Lonza Biologics)) for the production of the human IgG1 heavy chain and pConKappa (containing the human kappa light chain constant region, Lonza Biologics) for the production of the kappa light chain. For IgG4 antibodies the VH regions were inserted in the pTomG4 15 vector (containing the genomic sequence of the human IgG4 constant region in the pEE12.4 vector (Lonza Biologics)). Alternatively, in follow-up constructs, vectors were used containing the fully codon-optimized coding regions of the heavy chain (IgG1 or IgG4) in the pEE12.4 vector or the human kappa light chain of HuMab 2F8 or HuMab 7D8 in the pEE6.4 vector (Lonza Biologics).

20

### **Example 2 Expression vectors for the expression hinge-deleted-IgG1-2F8, and human IgG1 and IgG4 CH2-CH3 fragments containing specific mutations**

To introduce mutations in the hinge and CH3 regions of the antibody heavy 25 chains, Quickchange site-directed mutagenesis kit (Stratagene, La Jolla, CA) was used according to the manufacturer's recommendations. Alternatively the constructs were fully synthesized or VH regions were cloned in a vector already containing the specific amino acid encoding substitutions.

Constructs encoding the CH2 and CH3 fragments were constructed either by 30 PCR or synthesized fully codon optimized. These constructs had an N-terminal signal peptide and a 6 amino acid His tag and contained amino acids 341-447 of the human IgG1/4 constant region. The constructs were cloned in pEE12.4.

To construct hinge-deleted-IgG1 (Uni-G1) molecules, a synthetic DNA construct were was made encoding the Uni-G1 format for human IgG1 isotypes with 35 EGFR specificity. In this construct the natural hinge region (as defined by the hinge

exon) was deleted. An extra Ser to Cys mutation at position 158 was made in the IgG1 construct to salvage the Cys bond between the HC and LC chains in this subtype. The protein sequence is shown below. The construct was inserted in the pEE6.4 vector and named pHG1-2F8.

5

QVQLVESGGGVVQPGRSRLSCAASGFTFSTYGMHWVRQAPGKGLEWAVIWDDGSYKYYG  
 DSVKGRFTISRDNSKNTLYLQMNSLRAEDTAVYYCARDGITMVRGVMKDYFDYWGQGTLTV  
 SSASTKGPSVFPLAPCSKSTSGGTAALGCLVKDYFPEPVTVSWNSGALTSGVHTFPALQSSGL  
 YSLSSVTVPSLGTQTYICNVNHKPSNTKVDKRVAPELLGGPSVFLFPPKPKDTLMISRTPEV  
 10 TCVVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKC  
 KVSNKALPAPIEKTIISKAKGQPREPVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAVEWESNG  
 QPENNYKTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQKSLSLSPGK

15 **Example 3: Expression vectors for the expression of rhesus IgG4-2F8 and IgG4-7D8**

Vectors containing the coding regions for the IgG4 heavy and kappa light chains Chinese Rhesus monkey and the VH and VL regions of Humab 2F8 and 7D8 were synthesized, fully codon-optimized and inserted in pEE12.4 (heavy chain) and pEE6.4 (light chain). The heavy chain constant region sequence as used (based on the sequences described by Scinicariello et al., Immunology 111: 66-74, 2004) was the following (aligned to the human sequence):

Human IgG4 ASTKGPSVFPLAPCSRSTSESTAALGCLVKDYFPEPVTVSWNSGALTSGVH  
 Rhesus (Ch) IgG4 -STKGPSVFPLASCSRSTSESTAALGCLVKDYFPEPVTVSWNSGALTSGVH

25

Human IgG4 TFPAVLQSSGLYSLSSVTVPSLGTQTYTCNVDHKPSNTKVDKRVESKYG  
 Rhesus (Ch) IgG4 TFPAVLQSSGLYSLSSVTVPSLGTQTYVCNVVHEPSNTKVDKRVESFT--

30

Human IgG4 PPCPSCPAPEFLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSQEDPEV  
 Rhesus (Ch) IgG4 PPCPACPAPELLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSQEDPEV

Human IgG4 QFNWYVDGVEVHNAKTKPREEQFNSTYRVVSVLTVLHQDWLNGKEYKCKV  
 Rhesus (Ch) IgG4 QFNWYVDGAEVHQAQTKPRERQFNSTYRVVSVLTVTHQDWLNGKEYTCKV

35

Human IgG4 SNKGLPSSIEKTISKAKGQPREPVYTLPPSQEEMTKNQVSLTCLVKGFY  
 Rhesus (Ch) IgG4 SNKGLPAPIEKTIISKAKGQPREPVYILPPPQEELTKNQVSLTCLVTGFY

Human IgG4 PSDIAVEWESNGQPENNYKTPPVLDSDGSFFLYSRLTVDKSRWQEGNVF  
Rhesus (Ch) IgG4 PSDIAVEWESNGQPENTYKTPPVLDSDGSYLLYSKLTVNKSRWQPGNIF

5 Human IgG4 SCSVMHEALHNHYTQKSLSLSLGK  
Rhesus (Ch) IgG4 TCSVMHEALHNHYTQKSLSVSPGK

The Rhesus light chain constant region (CL) sequence used was:

AVAAPSVFIFPPSEDQVKSGTVVVCLNNFYPREASVWKVVDGLKTGNSQESVTEQDSKDN  
10 TYSLSTTLSSTDYQSHNVYACEVTHQGLSSPVTKSFNRGEC

#### **Example 4: Antibody production by transient expression in HEK-293F cells**

Antibodies were produced, under serum-free conditions, by cotransfected  
relevant heavy and light chain expression vectors in HEK-293F cells (Invitrogen),  
15 using 293fectin (Invitrogen), according to the manufacturer's instructions.

#### **Example 5: Purification of IgG1 and IgG4 antibodies**

IgG1 and IgG4 antibodies were purified by protein A affinity chromatography.  
The cell culture supernatants were filtered over a 0.20 µM dead-end filter, followed  
20 by loading on a 5 mL Protein A column (rProtein A FF, GE Healthcare, Uppsala,  
Sweden) and elution of the IgG with 0.1 M citric acid-NaOH, pH 3. The eluate was  
immediately neutralized with 2 M Tris-HCl, pH 9 and dialyzed overnight to 12.6 mM  
sodium phosphate, 140 mM NaCl, pH 7.4 (B. Braun, Oss, The Netherlands). After  
25 dialysis, samples were sterile filtered over a 0.20 µM dead-end filter. Concentration  
of the purified IgGs was determined by nephelometry and absorbance at 280 nm.  
Purified proteins were analyzed by SDS-PAGE, IEF, mass spectrometry and  
glycoanalysis.

#### **Example 6: Purification of CH2-CH3 fragments**

30 The His-tagged CH2-CH3 proteins were purified by immobilized metal ion  
(Ni<sup>2+</sup>) affinity chromatography (Macherey-Nagel GmbH, Düren, Germany), desalting  
using PD-10 columns (GE Healthcare) equilibrated with PBS and filtered-sterilized  
over 0.2 µM dead-end filters. The concentration of the purified proteins was  
determined by absorbance at 280 nm. The quality of the purified proteins was  
35 analyzed by SDS-PAGE.

**Example 7: Generation of bispecific antibodies by GSH-induced Fab-arm exchange between human and rhesus IgG4 antibodies**

As mentioned above, WO 2008119353 (Genmab) describes an *in vitro* method for producing bispecific antibodies wherein a bispecific antibody is formed by "Fab-arm" or "half-molecule" exchange (swapping of a heavy chain and attached light chain) between two monospecific IgG4- or IgG4-like antibodies upon incubation under reducing conditions. This Fab-arm exchange reaction is the result of a disulfide-bond isomerization reaction wherein the inter heavy-chain disulfide bonds in the hinge regions of monospecific antibodies are reduced and the resulting free cysteines form a new inter heavy-chain disulfide bond with cysteine residues of another antibody molecule with a different specificity. The resulting product is a bispecific antibody having two Fab arms with different sequences.

To test for Fab-arm exchange between human and rhesus IgG4 antibodies, human IgG4-2F8 (anti-EGFR), Human IgG4-7D8 (anti-CD20), Rhesus IgG4-2F8 and Rhesus IgG4-7D8 were used to make all possible combinations of two antibodies. For the *in vitro* Fab-arm exchange, the antibody mixtures, containing each antibody at a final concentration of 4 µg/mL in 0.5 mL PBS with 0.5 mM reduced glutathione (GSH), were incubated at 37°C for 24h. To stop the reduction reaction, 0.5 mL PBS/0.05 % Tween 20 (PBST) was added to the reaction mixture.

The presence of bispecific antibodies was tested by determination of bispecific binding using a sandwich enzyme-linked immunosorbent assay (ELISA). ELISA plates (Greiner bio-one, Frickenhausen, Germany) were coated overnight with 2 µg/mL (100 µL/well) of recombinant extracellular domain of EGFR in PBS at 4 °C. The plates were washed once with PBST. Dilution series of the antibody samples (0-1 µg/mL in 3-fold dilutions) in PBST/0.2 % BSA (PBSTB) were transferred to the coated ELISA plates (100 µL/well) and incubated on a plate shaker (300 rpm) for 60 min at room temperature (RT). Samples were discarded and the plates were washed once with PBS/0.05 % Tween 20 (PBST). Next, the plates were incubated on a plate shaker (300 rpm) with 2 µg/mL mouse anti-idiotypic monoclonal antibody 2F2 SAB1.1 (directed against 7D8; Genmab) in PBTB (100 µL/well) for 60 min. The plates were washed once with PBS/0.05 % Tween 20 (PBST). Next, the plates were incubated on a plate shaker (300 rpm) with an HRP-conjugated goat anti-mouse IgG (15G; Jackson ImmunoResearch Laboratories, Westgrove, PA, USA; 1:5.000) in PBSTB (100 µL/well) for 60 min at RT. The plates were washed once with PBS/0.05 % Tween 20 (PBST). ABTS (50 mg/mL; Roche Diagnostics GmbH, Mannheim, Germany)

was added (100 µL/well) and incubated protected from light for 30 min at RT. The reaction was stopped with 2% oxalic acid (100 µL/well; Riedel de Haen Seelze, Germany). After 10 min at RT, absorbance at 405 nm was measured in an ELISA plate reader.

5 Figure 1 shows that a combination of human and rhesus IgG4 resulted in more bispecific binding (a higher OD 405 nm) compared with each of the combinations of IgG4 molecules of the same species. These data show that Fab-arm exchange occurs between human IgG4 and rhesus IgG4. Moreover, the higher bispecific binding suggests that human IgG4 half molecules show preferential 10 dimerisation to rhesus IgG4 half molecules (heterodimerization), resulting in an equilibrium of the Fab-arm exchange reaction that is shifted towards the bispecific heterodimer instead of a stochastic exchange with 50% heterodimer and 50% homodimers.

15 **Example 8: Sequence analysis of human and rhesus IgG4**

The ability of an antibody to engage in Fab-arm exchange has been described to involve the third constant domain (CH3) in addition to a so-called permissive (for example CPSC containing-) hinge region that only requires a reducing environment to be activated (Van der Neut Kolfschoten, 2007, *Science*). For human antibodies, 20 Fab-arm exchange was found to be an inherent feature of IgG4, characterized by an arginine (R) at position 409 in the CH3 domain and a permissive hinge (226-CPSC-229) (see WO 2008145142 (Genmab)). In contrast, human IgG1, which does not engage in Fab-arm exchange, has a Lysine (K) at position 409 and a stable (i.e. non-permissive) hinge (226-CPPC-229) (EU numbering, see also Figure 16).

25 In an attempt to elucidate the increased Fab-arm exchange between human and rhesus IgG4 compared to the Fab-arm exchange between IgG4 molecules of the same species, the core hinge and CH3-CH3 interface amino acids of human and rhesus antibodies were analyzed (see e.g. Dall'Acqua, et al (1998) *Biochemistry* 37:9266 for an overview of the residues of the human CH3-CH3 interface). Figure 2 30 shows that the core hinge sequence in Chinese rhesus IgG4 is 226-CPAC-229 and that the CH3 domain contains a Lysine (K) at position 409. In addition, sequence alignment showed that rhesus IgG4 is characterized by three more amino acid substitutions in the CH3-CH3 interface as compared to human IgG4: isoleucine (I) at position 350 in rhesus versus threonine (T) in human; threonine (T) at position 370

in rhesus versus lysine (K) in human; and leucine (L) at position 405 in rhesus versus phenylalanine (F) in human.

**Example 9: Generation of bispecific antibodies using GSH-induced Fab-arm**

**5 exchange between human IgG4 and human IgG1 containing rhesus IgG4  
CH3 sequences**

It has been described for human antibodies that for allowing Fab-arm exchange to occur in IgG1 molecules, replacing the IgG1 core hinge sequence (CPPC) with the human IgG4 sequence (CPSC) by a P228S substitution had no effect, but 10 that mutating CH3 to an IgG4-like sequence was required for Fab-arm exchange activity (Van der Neut Kolschoten, 2007, *Science*).

Based on the Fab-arm exchange between human and rhesus IgG4 described in Example 7, it was analyzed whether the Chinese rhesus IgG4 CH3 sequence could engage human IgG1 for Fab-arm exchange. Therefore, the triple mutation T350I-15 K370T-F405L (referred to as ITL hereafter) was introduced in human IgG1-2F8 in addition to the P228S mutation that results in the hinge sequence CPSC. The human IgG1-2F8 mutants were combined with human IgG4-7D8 for *in vitro* GSH-induced Fab-arm exchange. The antibody mixtures, containing each antibody at a final concentration of 4 µg/mL in 0.5 mL PBS with 0.5 mM GSH, were incubated at 37°C 20 for 0-3-6-24h. To stop the reduction reaction, 0.5 mL PBS/0.05 % Tween 20 (PBST) was added to the reaction mixture. Measurements of bispecific binding in an ELISA were performed as described in Example 7.

Figure 3 confirms that introduction of a CPSC hinge alone does not engage 25 human IgG1-2F8 for GSH-induced Fab-arm exchange when combined with human IgG4-7D8. Also the introduction of the rhesus IgG4-specific CH3 interface amino acids (ITL) into human IgG1-2F8, while preserving the wild type IgG1 hinge, did not result in engagement for Fab-arm exchange when combined with human IgG4-7D8 under these conditions. In contrast, a variant human IgG1-2F8 backbone sequence 30 that harbors both a CPSC sequence in the hinge and the rhesus IgG4-specific CH3 interface amino acids (ITL) showed increased bispecific binding after GSH-induced Fab-arm exchange with human IgG4-7D8 compared to two human IgG4 antibodies. These data show that a CPSC-containing hinge in combination with a CH3 domain containing I, T and L at positions 350, 370 and 405, respectively, is sufficient to engage human IgG1 for GSH-induced Fab-arm exchange and that the equilibrium of

the exchange reaction is shifted towards the exchanged bispecific product when combined with human IgG4.

**Example 10: Generation of bispecific antibodies by *in vivo* Fab-arm exchange between human IgG4 and IgG1 or IgG4 mutants**

To further identify the required characteristics for Fab-arm exchange engagement, human IgG4 and IgG1 variants were analyzed *in vivo*. Four female SCID mice (Charles River, Maastricht, The Netherlands) per group were i.v. injected with antibody mixtures, containing 600 µg antibody (500 µg 7D8 + 100 µg 2F8) in a total volume of 300 µL. Blood samples were drawn from the saphenous vein at 3, 24, 48 and 72 hours after injection. Blood was collected in heparin-containing vials and centrifuged at 10,000 g for 5 min to separate plasma from cells. The generation of bispecific antibodies was followed by assessing CD20 and EGFR bispecific reactivity in an ELISA using serial diluted plasma samples in PBSTB as described in Example 7.

Bispecific antibodies in plasma samples were quantified by non-linear regression curve-fitting (GraphPad Software, San Diego, CA) using an *in vitro* exchanged antibody mixture as reference.

Figure 4 shows that human IgG4-2F8, in which either the hinge or the CH3 sequence is converted to the corresponding human IgG1 sequence (CPPC or R409K, respectively), does not engage in Fab-arm exchange anymore *in vivo*. Vice versa, human IgG1, in which both the hinge region and the CH3 interface sequences are converted to the corresponding human IgG4 sequences (CPSC and K409R), is able to participate in Fab-arm exchange *in vivo*. These data show that a CPSC-containing hinge (S at position 228) in combination with a CH3 domain containing an arginine (R) at position 409 is enough to enable Fab-arm exchange by human IgG1 *in vivo*.

**Example 11: Generation of bispecific antibodies by 2-MEA-induced Fab-arm exchange: bypass/disruption of a stabilized hinge**

2-Mercaptoethylamine·HCl (2-MEA) is a mild reducing agent that has been described to selectively cleave disulphide bonds in the hinge region of antibodies, while preserving the disulphide bonds between the heavy and light chains. Therefore, a concentration series of 2-MEA was tested for its ability to induce the generation of bispecific antibodies by Fab-arm exchange between two antibodies containing CPSC or CPPC hinge regions. The antibody mixtures, containing each antibody at a final concentration of 0.5 mg/mL, were incubated with a concentration series of 2-MEA (0,

0.5, 1.0, 2.0, 5.0, 7.0, 10.0, 15.0, 25.0 and 40.0 mM) in a total volume of 100 µL TE at 37°C for 90 min. To stop the reduction reaction, the reducing agent 2-MEA was removed by desalting the samples using spin columns (Microcon centrifugal filters, 30k, Millipore) according to the manufacturer's recommendations. Bispecific binding 5 was measured in an ELISA as described in Example 7.

2-MEA-induced Fab-arm exchange was tested for the combination IgG4-2F8 x IgG4-7D8, containing CPSC hinge regions and known to participate in GSH-induced Fab-arm exchange, and for the combination IgG1-2F8-ITL x IgG4-7D8-CPPC, not participating in GSH-induced Fab-arm exchange due to the stabilized hinge regions 10 (described in Example 9, Figure 3). Surprisingly, 2-MEA was found to induce separation of light chains from heavy chains as determined by non-reducing SDS-PAGE (data not shown). Nonetheless, functional bispecific antibodies were generated as shown in Figure 5. The maximal level of bispecific binding after Fab-arm exchange between wild type human IgG4-2F8 and IgG4-7D8 was reached at a concentration of 15 2.0 mM 2-MEA and was comparable to the level reached with 0.5 mM GSH as described in Example 9 (Figure 3). However, 2-MEA was able to induce Fab-arm exchange between the human antibodies IgG1-2F8-ITL and IgG4-7D8-CPPC (with stabilized hinge regions) in a dose-dependent manner. While little or no bispecific antibodies were formed at low 2-MEA concentrations, probably due to the presence 20 of a CPPC sequence in the hinge region of both antibodies, the generation of bispecific antibodies was very efficient at higher concentrations of 2-MEA. Maximal bispecific binding was reached at 25 mM 2-MEA and exceeded maximal binding after Fab-arm exchange between the two wild type IgG4 antibodies. These maximal binding levels were comparable to what is described in Example 9 (Figure 3) for GSH 25 treatment of the corresponding antibody with a CPSC hinge (IgG1-2F8-CPSC-ITL). As IgG1-2F8-ITL and IgG4-7D8-CPPC both contain a CPPC hinge, these data indicate that 2-MEA could bypass the requirement of a CPSC hinge for *in vitro* Fab-arm exchange.

30 **Example 12: Mass spectrometry to follow the generation of bispecific antibodies by 2-MEA-induced Fab-arm exchange**

The generation of bispecific antibodies by 2-MEA-induced Fab-arm exchange is described in Example 11, where bispecific binding was shown by an ELISA (Figure 35 5). To confirm that bispecific antibodies are formed, the samples were analyzed by electrospray ionization mass spectrometry (ESI-MS) to determine the molecular

weights. First, samples were deglycosylated by incubating 200 µg antibody overnight at 37°C with 0.005 U N-Glycanase (cat.no. GKE-5006D; Prozyme) in 180 µL PBS. Samples were desalted on an Aquity UPLC™ (Waters, Milford, USA) with a BEH300 C18, 1.7µm, 2.1 x 50 mm column at 60°C and eluted with a gradient of a mixture of 5 MQ water (Eluens A) and LC-MS grade acetonitrile (eluens B) (Biosolve, Valkenswaard, The Netherlands) containing 0.05% formic acid (Fluka Riedel-de Haën, Buchs, Germany). Time-of-flight electrospray ionization mass spectra were recorded on-line on a micrOTOF™ mass spectrometer (Bruker, Bremen, Germany) operating in the positive ion mode. Prior to analysis, a 500-4000 m/z scale was 10 calibrated with ES tuning mix (Agilent Technologies, Santa Clara, USA). Mass spectra were deconvoluted by using Maximal Entropy that is provided with the DataAnalysis™ software v. 3.4 (Bruker, Bremen, Germany). Based on the molecular mass of the antibodies used for Fab-arm exchange in this experiment, the bispecific antibodies could be discriminated from the original antibodies (also described in 15 Example 15, Figure 9C for IgG1-2F8-ITLxIgG4-7D8-CPPC). For the peak of bispecific antibody, the area under the curve was determined and divided by the total area under the curves to calculate the percentage bispecific antibody in each sample.

Figure 6A shows three representative mass spectrometry profiles of the Fab-arm exchange reaction between IgG1-2F8-ITL and IgG4-7D8-CPPC with 0 mM 2-MEA 20 (two peaks corresponding to the parental antibodies), 7 mM 2-MEA (three peaks corresponding to the parental and the bispecific antibodies), and 40 mM 2-MEA (one peak corresponding to the bispecific antibody). The homogenous peak of the bispecific product indicates that no light chain mispairing occurred, which would have resulted in subdivided peaks. The quantified data are presented in Figure 6B and 25 show that Fab-arm exchange between IgG1-2F8-ITL and IgG4-7D8-CPPC resulted in nearly 100% bispecific antibody. In contrast, Fab-arm exchange between wild type IgG4 antibodies resulted in less than 50% bispecific product. These data confirm the results from the bispecific binding ELISA described in Example 11 (Figure 5).

30 **Example 13: Stability of bispecific antibodies generated by 2-MEA-induced Fab-arm exchange**

The stability of bispecific antibodies generated by 2-MEA-induced *in vitro* Fab-arm exchange was tested. Therefore, 2 µg of a bispecific sample generated from 35 IgG1-2F8-ITL and IgG4-7D8-CPPC with 7.0 mM 2-MEA (as described in Example 11, Figure 5) was used in a GSH-induced Fab-arm exchange reaction in the presence of a

concentration series (0, 2, 20, 100 µg) irrelevant IgG4 (IgG4-MG against acetylcholine receptor), representing a 0, 1, 10, 50x excess of IgG4-MG compared to the 2 µg bispecific test sample. Fab-arm exchange in this reaction would result in loss of bispecific EGFR/CD20 binding. The conditions for the GSH reduction reaction 5 were the same as described in Example 7 (24h at 37°C in 0.5 mL PBS/0.5 mM GSH). To stop the reduction reaction, 0.5 mL PBSTB was added to the reaction mixture. Bispecific binding was measured in an ELISA as described in Example 7. Bispecific binding after the GSH reduction reaction is presented relative to the bispecific binding measured in the starting material (control), which was set to 100%.

10 Figure 7A shows that for the IgG1-2F8-ITL x IgG4-7D8-CPPC derived bispecific sample, EGFR/CD20 bispecific binding is not significantly changed after GSH-induced Fab-arm exchange in the presence of irrelevant IgG4. This indicates that the bispecific product is stable, i.e. does not participate in GSH-induced Fab-arm exchange. As a control, Figure 7B shows that an IgG4-2F8 x IgG4-7D8 derived 15 sample shows diminished EGFR/CD20 bispecific binding after GSH-induced Fab-arm exchange in the presence of irrelevant IgG4, indicating that this product is not stable. These data show that the heterodimer consisting of a human IgG1 heavy chain containing the triple mutation T350I-K370T-F405L in the CH3 domain, and a human IgG4 heavy chain containing the S228P substitution resulting in a stabilized 20 hinge (CPPC), is stable.

**Example 14: *In vivo* analysis of the pharmacokinetics and stability of bispecific antibodies generated by 2-MEA-induced Fab-arm exchange**

25 The bispecific antibody generated by *in vitro* 2-MEA-induced Fab-arm exchange between IgG1-2F8-ITL x IgG4-7D8-CPPC was injected in SCID mice to analyze its stability (*in vivo* Fab-arm exchange) and pharmacokinetic properties (plasma clearance rate) in comparison to the parental antibodies IgG1-2F8-ITL and IgG4-7D8-CPPC. Three groups of mice (3 mice per group) were injected 30 intravenously in the tail vein with 200 µL purified antibody: (1) 100 µg bispecific antibody; (2) 100 µg bispecific antibody + 1,000 µg irrelevant IgG4 (natalizumab, anti-α4-integrin); (3) 50 µg IgG1-2F8-ITL + 50 µg IgG4-7D8-CPPC. Blood samples (50-100 µL) were collected by cheek puncture at pre-determined time intervals after antibody administration (10 min, 3h, 1, 2, 7, 14, 21 days). Blood was collected into heparin containing vials and centrifuged for 10 min at 14,000 g. Plasma was stored 35 at -20°C before further analysis.

Total IgG concentrations in the plasma samples were assayed by ELISA. The assay conditions of the succeeding steps were the same as for the ELISA described in Example 7. Specific compounds used for total IgG measurement were the following: coat with 2 µg/mL mouse anti-human IgG (clone MH16-1; CLB; cat. no. M1268); 5 serum samples dilutions (1:500 and 1:2,500 for groups 1 and 3) and (1:2,500 and 1:10,000 for group 2); conjugate: HRP-conjugated goat anti-human IgG (clone 11H; Jackson; cat. no. 109-035-098; 1:10,000). The presence of bispecific antibodies in the plasma samples was assayed and quantified by CD20 and EGFR bispecific reactivity in an ELISA as described in Example 10.

10 Figure 8A shows total antibody plasma concentrations. The shape of the plasma clearance curves was identical in all groups, indicating that the plasma clearance of the bispecific antibody was the same as for the parental antibodies IgG1-2F8-ITL and IgG4-7D8-CPPC over the analyzed time interval. Figure 8B shows the plasma concentrations of bispecific antibodies over time. The addition of a 10-15 fold excess irrelevant IgG4 to the bispecific antibody did not affect bispecific antibody concentrations, indicating that no Fab-arm exchange occurred *in vivo*. After injection of the parental antibodies (IgG1-2F8-ITL + IgG4-7D8-CPPC), no bispecific antibodies were detectable in the plasma, confirming that these antibodies do not participate in 20 Fab-arm exchange *in vivo*. These data indicate that the bispecific antibody product, generated by *in vitro* 2-MEA-induced Fab-arm exchange between IgG1-2F8-ITL x IgG4-7D8-CPPC, was stable *in vivo* (no Fab-arm exchange) and showed comparable pharmacokinetic properties (plasma clearance rate) as the parental monovalent antibodies.

25 **Example 15: Purity of the bispecific antibody generated by 2-MEA-induced Fab-arm exchange between two antibodies**

A batch of bispecific antibody, generated by 2-MEA-induced Fab-arm exchange between human IgG1-2F8-ITL x IgG4-7D8-CPPC, was purified on a PD-10 desalting column (cat.no. 17-0851-01; GE Healthcare). Next, the purity of the 30 bispecific product was analyzed by sodium dodecyl sulfate polyacrylamide gelectrophoresis (SDS-PAGE), high performance size exclusion chromatography (HP-SEC) and mass spectrometry. The functionality of the generated bispecific antibody was confirmed by bispecific binding in an ELISA (data not shown).

SDS-PAGE was performed under reducing and non-reducing conditions on 4-35 12% NuPAGE Bis-Tris gels (Invitrogen, Breda, The Netherlands) using a modified

Laemli method (Laemli 1970 *Nature* 227(5259): 680-5), where the samples were run at neutral pH. The SDS-PAGE gels were stained with Coomassie and digitally imaged using the GeneGenius (Synoptics, Cambridge, UK). Figure 9A shows that the antibody sample after Fab-arm exchange consists of intact IgG, with a trace of half 5 molecules (H1L1) detectable on the non-reduced gel (Figure 9A-b).

HP-SEC fractionation was performed using a Waters Alliance 2695 separation unit (Waters, Etten-Leur, The Netherlands) connected to a TSK HP-SEC column (G3000SW<sub>XL</sub>; Toso Biosciences, via Omnilabo, Breda, The Netherlands) and a Waters 2487 dual  $\lambda$  absorbance detector (Waters). The samples were run at 1 mL/min. 10 Results were processed using Empower software version 2002 and expressed per peak as percentage of total peak height. Figure 9B shows that >98% of the sample consists of intact IgG, with practically no aggregates formed.

Mass spectrometry was performed as described in Example 12. Figure 9C shows the mass spectrometry profiles of the starting materials IgG1-2F8-ITL and 15 IgG4-7D8-CPPC and the bispecific product generated by Fab-arm exchange between IgG1-2F8-ITL x IgG4-7D8-CPPC. The product in the Fab-arm exchanged sample is 145,901 kDa, which perfectly matches with the bispecific product derived from IgG1-2F8-ITL (146,259.5/2=73,130) + IgG4-7D8-CPPC (145,542.0/2=72,771). Moreover, 20 the bispecific antibody product showed a homogenous peak, indicating that no light chain mispairing occurred, which would have resulted in subdivided peaks. These data show that Fab-arm exchange resulted in 100% bispecific antibody. The small peaks detected in addition to the main peak (K0) of the IgG4-7D8-CPPC and bispecific sample can be attributed to the presence of one (K1) or two (K2) C-terminal lysines.

25 These data show that a ~100% functional bispecific antibody sample was generated by 2-MEA-induced Fab-arm exchange between IgG1-2F8-ITL x IgG4-7D8-CPPC.

**Example 16: Unraveling the requirement of the T350I, K370T and F405L 30 substitutions for Fab-arm exchange engagement of human IgG1**

To further identify the determinants in the IgG1 CH3 domain that are required for IgG1 to be engaged in Fab-arm exchange, IgG1 containing the triple mutation T350I-K370T-F405L (ITL) was compared to the double mutants T350I-K370T (IT), T350I-F405L (IL) and K370T-F405L (TL). Also the single mutant F405L (L) was 35 tested. 2-MEA was used as a reductant to induce *in vitro* Fab-arm exchange (50  $\mu$ g

of each antibody in 100  $\mu$ L PBS/25 mM 2-MEA for 90 min at 37°C). For the single mutant F405L antibody, unpurified antibody from supernatant of a transient transfection was used after buffer-exchange to PBS using Amicon Ultra centrifugal devices (30k, Millipore, cat. no. UFC803096). To stop the reduction reaction, the  
5 reducing agent 2-MEA was removed by desalting the samples using spin columns as described in Example 11. The generation of bispecific antibodies was determined by bispecific binding measured in an ELISA as described in Example 7.

The triple (ITL), double mutations (IT, IL and TL) and single mutation (L) were introduced in IgG1-2F8. These mutants were combined with IgG4-7D8, 10 containing a CPSC hinge (wild type) or a stabilized hinge (IgG4-7D8-CPPC), for Fab-arm exchange using 25 mM 2-MEA for 90 min at 37°C. Figure 10A-B shows that the IgG1-2F8-IL and -TL mutants showed Fab-arm exchange to the same level as the triple mutant ITL, irrespective of the combined IgG4-7D8 (CPSC or CPPC hinge). In contrast, no bispecific binding was found for the combination with the IgG1-2F8-IT  
15 mutant. Figure 10C shows that also the IgG1-2F8-F405L mutant showed Fab-arm exchange, irrespective of the combined IgG4-7D8 (CPSC or CPPC hinge). These data indicate that the F405L mutation is sufficient to engage human IgG1 for Fab-arm exchange under the conditions mentioned above.

20 **Example 17: Generation of bispecific antibodies by 2-MEA-induced Fab-arm exchange at different temperatures**

The ability of 2-MEA to induce the generation of bispecific antibodies by Fab-arm exchange between two different antibodies, was tested at different temperatures. The Fab-arm exchange reactions were started by incubating 160  $\mu$ g  
25 human IgG1-2F8-ITL with 160  $\mu$ g IgG4-7D8-CPPC in 320  $\mu$ L PBS/25 mM 2-MEA (final concentration of 0.5 mg/mL for each antibody) at either 0°C, 20°C (RT) or 37°C. From these reactions, 20  $\mu$ L samples were taken at different time points (0, 2.5, 5,  
30 10, 15, 30, 45, 60, 75, 90, 120, 150, 180 and 240 min). 20  $\mu$ L PBS was added to each sample before the reducing agent 2-MEA was removed by desalting the samples using a Zeba 96-well spin desalting plate (7k, cat# 89808 Thermo Fisher Scientific), according to the manufacturer's recommendations. The total antibody concentrations were determined by measuring absorbance at 280 nm wavelength using a Nanodrop ND-1000 spectrophotometer (Isogen Life Science, Maarssen, The Netherlands). Dilution series of the antibody samples (total antibody concentration 0-

20 µg/mL in 25-fold dilutions) were used in an ELISA to measure bispecific binding as described in Example 7.

Figure 11 shows that the generation of bispecific antibodies by 2-MEA-induced Fab-arm exchange between human IgG1-2F8-ITL and IgG4-7D8-CPPC was found to be most efficient at 37°C, with maximal bispecific binding reached after 45 min. At room temperature, the generation of bispecific antibodies was slower, reaching maximal bispecific binding after 240 min. At 0°C, no generation of bispecific binding was observed during the analyzed time course.

10 **Example 18: Analysis of different reducing agents for their ability to induce the generation of bispecific antibodies by *in vitro* Fab-arm exchange**

It has been shown above that 0.5 mM GSH can induce *in vitro* Fab-arm exchange between human IgG4 and IgG1-CPSC-ITL, but not between human IgG4 and IgG1-ITL containing a stable hinge (Figure 3). In addition, 2-MEA was found to be able to induce Fab-arm exchange between antibodies with stabilized hinge regions, such as IgG1-ITL x IgG4-CPPC (Figure 5). To test whether other concentrations of GSH or 2-MEA or other reducing agents are capable of inducing *in vitro* Fab-arm exchange between two different antibodies, concentration series of 2-MEA, GSH and DTT (dithiothreitol) were tested. Therefore, combinations of 10 µg human IgG1-2F8-ITL and 10 µg IgG4-7D8-CPPC in 20 µL PBS (final concentration of 0.5 mg/mL for each antibody) were incubated at 37°C with concentration series of the different reducing agents (0.0, 0.04, 0.1, 0.2, 0.5, 1.0, 2.5, 5.0, 12.5, 25.0 and 50.0 mM). After 90 min, 20 µL PBS was added to each sample and the reducing agent was removed by desalting the samples using spin desalting plate as described in Example 17. Total antibody concentrations were determined as described in Example 17. Dilution series of the antibody samples (total antibody concentration 0-20 µg/mL in 3-fold dilutions) were used in an ELISA to measure bispecific binding as described in Example 7.

Figure 12 confirms that 2-MEA induces maximal bispecific binding at a concentration of 25 mM 2-MEA. DTT was found to be very effective in the generation of bispecific antibodies with maximal bispecific binding reached at 2.5 mM DTT. GSH concentrations in the range 0-5 mM were not able to induce the generation of bispecific antibodies by Fab-arm exchange between the IgG1-ITL and IgG4-CPPC antibodies, both containing stabilized hinge regions. Higher GSH concentrations (12.5-50 mM) resulted in the formation of antibody aggregates, as was determined

by non-reducing SDS-PAGE (data not shown). Therefore, these samples were excluded from the analysis. These data show that the generation of bispecific antibodies by Fab-arm exchange between two different antibodies can be induced by different reducing agents.

5

**Example 19: Determinants at the IgG1 409 position for engagement in 2-MEA-induced Fab-arm exchange in combination with IgG1-ITL**

2-MEA can induce Fab-arm exchange between human IgG1-ITL and IgG4-CPPC, as described in Example 11 (Figure 5). The CH3 interface residues of human IgG1 and IgG4 differ at position 409 only: lysine (K) in IgG1 and arginine (R) in IgG4 (described in Example 8, Figure 2). Therefore, it was tested whether substitution of lysine at position 409 by arginine or any other amino acid (K409X) could enable IgG1 to engage in 2-MEA-induced Fab-arm exchange with IgG1-ITL. Combinations of 10 µg human IgG1-2F8-ITL and 10 µg IgG1-7D8-K409X in 20 µl PBS/25 mM 2-MEA (final concentration of 0.5 mg/mL for each antibody) were incubated for 90 min at 37°C. Unpurified antibodies from supernatants of transient transfections were used after buffer-exchange to PBS using Amicon Ultra centrifugal devices (30k, Millipore, cat. no. UFC803096). After the Fab-arm exchange reaction, 20 µL PBS was added to each sample and the reducing agent was removed by desalting the samples using spin desalting plate as described in Example 17. Dilution series of the antibody samples (total antibody concentration 0-20 µg/mL in 3-fold dilutions) were used in an ELISA to measure bispecific binding as described in Example 7.

Figure 13A shows the results of bispecific binding upon 2-MEA induced Fab-arm exchange between IgG1-2F8-ITL x IgG1-7D8-K409X. In Figure 13B, the exchange is presented as bispecific binding relative to a purified batch of bispecific antibody derived from a 2-MEA-induced Fab-arm-exchange between IgG1-2F8-ITL and IgG4-7D8-CPPC, which was set to 100%. These data were also scored as (-) no Fab-arm exchange, (+/-) low, (+) intermediate or (++) high Fab-arm exchange, as presented in Table 1. No Fab-arm exchange (-) was found when the 409 position in IgG1-7D8 was K (=wild type IgG1), L or M. Fab-arm exchange was found to be intermediate (+) when the 409 position in IgG1-7D8 was F, I, N or Y and high (++) when the 409 position in IgG1-7D8 was A, D, E, G, H, Q, R, S, T, V or W.

**Table 1: 2-MEA-induced Fab-arm exchange between IgG1-2F8-ITL and**

35 **IgG1-7D8-K409X mutants.** The generation of bispecific antibodies after 2-MEA-

induced *in vitro* Fab-arm exchange between IgG1-2F8-ITL and IgG1-7D8-K409X mutants was determined by a sandwich ELISA. (-) no, (+/-) low, (+) intermediate, (++) high Fab-arm exchange.

	Fab-arm exchange
IgG1-7D8-K409X	x IgG1-2F8-ITL
A	++
D	++
E	++
F	+
G	++
H	++
I	+
K	-
L	-
M	-
N	+
Q	++
R	++
S	++
T	++
V	++
W	++
Y	+

5 **Example 20: Antibody deglycosylation does not influence the generation of bispecific antibodies by 2-MEA-induced Fab-arm exchange**

IgG4-7D8 and IgG4-7D8-CPPC samples were deglycosylated by incubating 200 µg antibody overnight at 37°C with 0.005 U N-Glycanase (cat.no. GKE-5006D; Prozyme) in 180 µL PBS. These samples were used directly in a Fab-arm exchange 10 reaction. Fab-arm exchange was performed by incubating 50 µg of each antibody in 100 µL PBS/25 mM 2-MEA (final concentration of 0.5 mg/mL for each antibody) for 90 min at 37°C. The reducing agent 2-MEA was removed by desalting the samples using spin columns as described in Example 11. Dilution series of the antibody samples (total antibody concentration 0-20 µg/mL in 3-fold dilutions) were used in a 15 sandwich ELISA to measure bispecific binding as described in Example 7.

Mass spectrometry analysis showed that the deglycosylation reaction resulted in 100% deglycosylated antibody product (data not shown). Figure 14 shows that Fab-arm exchange involving deglycosylated antibodies did not differ from Fab-arm exchange with the corresponding glycosylated antibodies (IgG4-2F8 x IgG4-7D8-20 deglycosylated versus IgG4-2F8 x IgG4-7D8 and IgG1-2F8-ITL x IgG4-7D8-CPPC-

deglycosylated versus IgG1-2F8-ITL x IgG4-7D8-CPPC). These data indicate that deglycosylation did not affect the generation of bispecific antibodies by 2-MEA-induced Fab-arm exchange.

5 **Example 21: Quantification of the non-covalent CH3-CH3 interaction**

The strength of the interactions at the CH3 interface should be such that it is possible that both heavy chains in the parental antibodies dissociate in the Fab-arm exchange reaction and that they subsequently associate in the heterodimerization reaction. Therefore, the correlation between the ability to participate in Fab-arm 10 exchange and the strength of the non-covalent CH3-CH3 interaction (dissociation constant,  $K_D$ ) was analyzed. GSH-induced Fab-arm exchange was performed as described in Example 9 (0.5 mM GSH at 37°C) for the following combinations of human antibodies:

IgG1-2F8 x IgG1-7D8

15 IgG1-2F8-CPSC x IgG1-7D8-CPSC

IgG1-2F8-CPSC-T350I x IgG1-CPSC-7D8-T350I

IgG1-2F8-CPSC-K370T x IgG1-7D8-CPSC-K370T

IgG1-2F8-CPSC-ITL x IgG1-7D8-CPSC-ITL

IgG1-2F8-CPSC-K409R x IgG1-7D8-CPSC-K409R

20 IgG4-2F8 x IgG4-7D8

IgG4-2F8-R409K x IgG4-7D8-R409K

IgG4-2F8-R409A x IgG4-7D8-R409A

IgG4-2F8-R409L x IgG4-7D8-R409L

IgG4-2F8-R409M x IgG4-7D8-R409M

25 IgG4-2F8-R409T x IgG4-7D8-R409T

IgG4-2F8-R409W x IgG4-7D8-R409W

IgG4-2F8-F405A x IgG4-7D8-F405A

IgG4-2F8-F405L x IgG4-7D8-F405L

IgG4-2F8-Y349D x IgG4-7D8-Y349D

30 IgG4-2F8-L351K x IgG4-7D8-L351K

IgG4-2F8-E357T x IgG4-7D8-E357T

IgG4-2F8-S364D x IgG4-7D8-S364D

IgG4-2F8-K370Q x IgG4-7D8-K370Q

IgG4-2F8-K370E x IgG4-7D8-K370E

The generation of bispecific antibodies was measured by determination of bispecific binding in a sandwich ELISA as described in Example 7. Figures 15A/B/C show the results of the bispecific binding after the Fab-arm exchange reaction.

To measure the effect of the above mentioned CH3 mutations on the strength of the CH3-CH3 interaction, fragments composed of only the CH2-CH3 domains were made. The lack of a hinge region in these fragments prevented covalent inter-heavy chain disulfide bonds. The fragments were analyzed by native mass spectrometry. Samples were buffer-exchanged to 100 mM ammonium acetate pH 7, using 10 kDa MWCO spin-filter columns. Aliquots ( $\sim 1 \mu\text{L}$ ) of serial diluted samples (20  $\mu\text{M}$  - 25 nM; monomer equivalent) were loaded into gold-plated borosilicate capillaries for analysis on a LCT mass spectrometer (Waters). The monomer signal,  $M_s$ , was defined as the area of the monomer peaks as a fraction of the area of all peaks in the spectrum ( $M_s/(M_s+D_s)$  where  $D_s$  = the dimer signal). The concentration of monomer at equilibrium,  $[M]_{\text{eq}}$ , was defined as  $M_s \cdot [M]_0$  where  $[M]_0$  is the overall protein concentration in terms of monomer. The dimer concentration at equilibrium,  $[D]_{\text{eq}}$ , was defined as  $([M]_0 - [M]_{\text{eq}})/2$ . The  $K_D$ , was then extracted from the gradient of a plot of  $[D]_{\text{eq}}$  versus  $[M]_{\text{eq}}^2$ . The  $K_D$  of the non-covalent CH3-CH3 interactions is presented in Table 2.

The correlation between the ability to engage in Fab-arm exchange and the strength of the non-covalent CH3-CH3 interactions was analyzed. Figures 15D/E show the percentage bispecific binding after Fab-arm exchange plotted against the measured  $K_D$  of the corresponding CH2-CH3 fragment (Figure 15D for IgG1; Figure 15E for IgG4). These data suggest that under the tested conditions there is a specific range of apparent  $K_D$  values of the CH3-CH3 interaction that allows efficient Fab-arm exchange.

**Table 2: The  $K_D$  of the non-covalent CH3-CH3 interactions**

CH2-CH3 construct	$K_D$ (M)	fold-difference*
G1	$3.0 \times 10^{-9}$	1.0000
G1-T350I	$7.0 \times 10^{-9}$	0.4000
G1-K370T	$4.5 \times 10^{-8}$	0.0700
G1-ITL	$1.0 \times 10^{-6}$	0.0030
G1-K409R	$1.1 \times 10^{-7}$	0.0300
G4	$4.8 \times 10^{-8}$	1.0000
G4-R409K	$8.0 \times 10^{-9}$	6.0000
G4-R409A	$1.6 \times 10^{-7}$	0.3000
G4-R409L	$1.5 \times 10^{-8}$	3.2000
G4-R409M	$3.0 \times 10^{-9}$	16.0000

G4-R409T	$7.2 \times 10^{-7}$	0.0700
G4-R409W	$3.4 \times 10^{-5}$	0.0014
G4-F405A	$1.9 \times 10^{-5}$	0.0025
G4-F405L	$2.5 \times 10^{-5}$	0.0019
G4-L351K	$7.4 \times 10^{-7}$	0.0600
G4-E357T	$4.1 \times 10^{-5}$	0.0012
G4-S364D	$4.7 \times 10^{-8}$	1.0200
G4-K370Q	$1.1 \times 10^{-8}$	4.3000
G4-K370E	$2.0 \times 10^{-9}$	24.0000

\* Compared to the corresponding CH2-CH3 fragments of wild type IgG1 or IgG4

**Example 22: Analysis of different reductantia for their ability to induce the generation of bispecific antibodies by *in vitro* Fab-arm-exchange between IgG1-2F8-F405L and IgG1-7D8-K409R**

2-MEA and DTT were found to induce *in vitro* Fab-arm-exchange between human IgG1-ITL and IgG4-CPPC (Figure 12). It was tested whether these reductantia can also induce *in vitro* Fab-arm-exchange between human IgG1-2F8-F405L and IgG1-7D8-K409R. Concentration series of 2-MEA, DTT, GSH and TCEP (tris(2-carboxyethyl)phosphine) were tested. Fab-arm-exchange was performed as described in Example 18. The tested concentration series of the different reducing agents were as follows: 0.0, 0.04, 0.1, 0.2, 0.5, 1.0, 5.0, 25.0, 50.0 mM 2-MEA, GSH, DTT or TCEP.

Figure 17 confirms that 2-MEA induces maximal Fab-arm-exchange at a concentration of 25 mM 2-MEA, which persisted at the higher concentration of 50.0 mM 2-MEA. DTT was found to be very effective in the generation of bispecific antibodies with maximal Fab-arm-exchange reached at 0.5 mM DDT, which also persisted over higher concentrations of DTT (1.0-50.0 mM). Also TCEP was found to be very effective in the generation of bispecific antibodies with maximal Fab-arm-exchange reached at 0.5 mM. At a concentration  $\geq$  25.0 mM, Fab-arm-exchange by TCEP was disturbed. GSH concentrations in the range 0.0-5.0 mM were not able to induce the generation of bispecific antibodies by Fab-arm-exchange. Higher GSH concentrations (25.0-50.0 mM) resulted in the formation of antibody aggregates (data not shown). Therefore, these samples were excluded from the analysis. These data show that the generation of bispecific antibodies by Fab-arm-exchange between two different antibodies can be induced by different reducing agents.

**Example 23: Generation of bispecific antibodies by 2-MEA-induced Fab-arm-exchange between IgG1-2F8-F405L and IgG1-7D8-K409R**

To confirm the formation of bispecific antibodies by 2-MEA-induced Fab-arm exchange between human IgG1-2F8-F405L and IgG1-7D8-K409R, the molecular weights of samples from the Fab-arm-exchange reactions with a concentration series of 2-MEA were determined by ESI-MS. The tested concentration series was as follows: 0.0, 0.5, 1.0, 2.0, 5.0, 7.0, 10.0, 15.0, 25.0 and 40.0 mM 2-MEA. Fab-arm-exchange (in PBS) and sandwich ELISA were performed as described in Example 11. ESI-MS was performed as described in Example 12.

Figure 18A shows that 2-MEA induced Fab-arm-exchange between IgG1-2F8-F405L and IgG1-7D8-K409R in a dose-dependent manner, efficiently leading to the generation of bispecific antibodies with a maximal level of bispecific binding at a concentration of 15.0 mM 2-MEA. The quantified ESI-MS data are presented in Figure 18B and show that Fab-arm-exchange between IgG1-2F8-F405L and IgG1-7D8-K409R resulted in nearly 100% bispecific antibody, confirming the results from the bispecific-binding ELISA.

**Example 24: Purity of the bispecific antibody generated by 2-MEA-induced Fab-arm-exchange between human IgG1-2F8-F405L x IgG1-7D8-K409R**

A batch of bispecific antibody, generated by 2-MEA-induced Fab-arm-exchange between human IgG1-2F8-F405L x IgG1-7D8-K409R, was purified using a PD-10 desalting column (cat.no. 17-0851-01; GE Healthcare). Next, the purity of the bispecific product was analyzed by mass spectrometry as described in Example 12.

Figure 19 shows the mass spectrometry profiles of the starting materials IgG1-2F8-F405L and IgG1-7D8-K409R and the bispecific product generated by Fab-arm-exchange between IgG1-2F8-F405L x IgG1-7D8-K409R. The product in the Fab-arm-exchanged sample is 146,160.7 kDa, which matches with the bispecific product derived from IgG1-2F8-F405L (146,606.8/2=73,303.3) x IgG1-7D8-K409R (146,312.2/2=73,156.1) = 146,459.4 kDa. Moreover, the bispecific antibody product showed a homogenous peak, indicating that no light chain mispairing occurred, which would have resulted in subdivided peaks. These data show that Fab-arm-exchange resulted in approximately 100% bispecific antibody.

**Example 25: *In vivo* analysis of the stability and pharmacokinetics of bispecific antibodies generated from IgG1-2F8-F405L x IgG1-7D8-K409R by 2-MEA-induced Fab-arm-exchange**

The bispecific antibody generated by *in vitro* 2-MEA-induced Fab-arm-exchange between IgG1-2F8-F405L x IgG1-7D8-K409R was injected in SCID mice to analyze its stability (*in vivo* Fab-arm-exchange) and pharmacokinetic properties as described in Example 14. Two groups of mice (3 mice per group) were analyzed: (1) 100 µg bispecific antibody; (2) 100 µg bispecific antibody + 1,000 µg irrelevant IgG4 (IgG4-637, described in WO2007068255). Total IgG concentrations in the plasma samples were assayed by ELISA as described in Example 14, with the exception that in this example, HRP-conjugated goat anti-human IgG (Jackson, cat. no. 109-035-098, 1/10,000) was used as a conjugate for detection. The presence of bispecific antibodies in the plasma samples was assayed and quantified by CD20 and EGFR bispecific reactivity in a sandwich ELISA as described in Example 14.

Figure 20A shows total antibody plasma concentrations over time. The shape of the plasma clearance curves was identical in both groups. Figure 20B shows the plasma concentrations of bispecific antibody over time. The addition of a 10-fold excess irrelevant IgG4 to the bispecific antibody did not affect bispecific antibody concentrations, indicating that no Fab-arm-exchange occurred *in vivo*. These data indicate that the bispecific antibody product, generated by *in vitro* 2-MEA-induced Fab-arm-exchange between IgG1-2F8-F405L x IgG1-7D8-K409R, was stable *in vivo* (no Fab-arm-exchange).

**Example 26: CDC-mediated cell kill by bispecific antibody generated by 2-MEA-induced Fab-arm-exchange between human IgG1-2F8-F405L x IgG1-7D8-K409R**

The CD20 antibody IgG1-7D8 can efficiently kill CD20-expressing cells by complement-dependent cytotoxicity (CDC). In contrast, the EGFR antibody IgG1-2F8 does not mediate CDC on target cells expressing EGFR. It was tested whether the mutant IgG1-7D8-K409R and the bispecific antibody generated by 2-MEA-induced Fab-arm-exchange between IgG1-2F8-F405L x IgG1-7D8-K409R were still able to induce CDC on CD20-expressing cells.  $10^5$  Daudi or Raji cells were pre-incubated for 15 min with a concentration series of antibody in 80 µL RPMI medium supplemented with 0.1% BSA in a shaker at room temperature. 20 µL normal human serum (NHS) was added as a source of complement (20% NHS final concentration) and incubated

for 45 min at 37°C. 30 µL ice cold RPMI medium supplemented with 0.1% BSA was added to stop the CDC reaction. Dead and viable cells were discriminated by adding 10 µL 10 µg/mL propidium iodide (PI) (1 µg/mL final concentration) and FACS analysis.

5 Figure 21 shows that CDC-mediated cell kill of CD20-expressing Daudi (Figure 21A) and Raji (Figure 21B) cells by IgG1-7D8 was not influenced by the introduction of the K409R mutation. Both Daudi and Raji cells do not express EGFR, resulting in monovalent binding of the bispecific antibody generated by 2-MEA-induced Fab-arm-exchange between IgG1-2F8-F405L x IgG1-7D8-K409R. Nonetheless, the bispecific 10 antibody still induced CDC-mediated cell kill of the CD20-expressing cells. These data indicate that CDC capacity of a parental antibody was retained in the bispecific format.

15 **Example 27: ADCC-mediated cell kill by the bispecific antibody generated by 2-MEA-induced Fab-arm-exchange between human IgG1-2F8-F405L x IgG1-7D8-K409R**

The EGFR antibody IgG1-2F8 can kill EGFR-expressing cells, such as A431, by antibody-dependent cellular cytotoxicity (ADCC). A431 cells do not express CD20 and therefore the CD20 antibody IgG1-7D8 does not induce ADCC on these cells. It was 20 tested whether the mutant IgG1-2F8-F405L and the bispecific antibody generated by 2-MEA-induced Fab-arm-exchange between IgG1-2F8-F405L x IgG1-7D8-K409R were still able to induce ADCC on A431 cells. For effector cell isolation, peripheral blood mononuclear cells (PBMCs) were isolated from whole blood of a healthy donor using Leucosep® tubes (Greiner Bio-one, cat.# 227290) according to the 25 manufacturer's recommendations. Target cells were labelled by adding 100 µCi <sup>51</sup>Cr to 5x10<sup>6</sup> A431 cells in 1 mL RPMI medium supplemented with 0.1% BSA and incubating for 60 min in a 37°C shaking water bath. Labelled cells were washed and resuspended in RPMI supplemented with 0.1% BSA. 5x10<sup>4</sup> labelled target cells in 30 RPMI supplemented with 0.1% BSA were preincubated in 100 µL for 15 min with the antibody concentrations series (range 0-10 µg/mL final concentration in ADCC assay in 3-fold dilutions) at room temperature. The ADCC assay was started by adding 50 µL effector cells (5x10<sup>6</sup> cells) in an E:T ratio 100:1. After 4 hours at 37°C, <sup>51</sup>Cr release from triplicate experiments was measured in a scintillation counter as counts per min (cpm). The percentage of cellular toxicity was calculated using the following 35 formula: percentage of specific lysis = (experimental cpm - basal cpm)/(maximal

cpm – basal cpm) X 100. Maximal  $^{51}\text{Cr}$  release was determined by adding 50  $\mu\text{L}$  5% Triton X-100 to 50  $\mu\text{L}$  target cells ( $5 \times 10^4$  cells), and basal release was measured in the absence of sensitizing antibody and effector cells.

Figure 22 shows that the CD20-specific antibody IgG1-7D8 did not induce 5 ADCC on the CD20-negative A431 cells. Both IgG1-2F8 and the mutant IgG1-2F8-F405L were able to induce ADCC on A431 cells, indicating that introduction of the F405L mutation in IgG1-2F8 did not affect its ADCC effector function. Also the bispecific antibody derived from IgG1-2F8-F405L x IgG1-7D8-K409R induced ADCC 10 on A431 cells in a dose-dependent manner, indicating that the ADCC effector function was retained in the bispecific format.

**Example 28: Determinants at the IgG1 405 position for engagement in 2-MEA-induced Fab-arm-exchange in combination with IgG1-K409R**

In Example 16 it is described that the F405L mutation is sufficient to enable 15 human IgG1 to engage in Fab-arm-exchange when combined with IgG4-7D8. To further test the determinants at the IgG1 405 position for engagement in 2-MEA-induced Fab-arm-exchange in combination with human IgG1-K409R, all possible IgG1-2F8-F405X mutants (with the exception of C and P) were combined with IgG1-7D8-K409R. The procedure was performed with purified antibodies as described in 20 Example 19.

Figure 23 shows the results of bispecific binding upon 2-MEA-induced Fab-arm-exchange between IgG1-2F8-F405X x IgG1-7D8-K409R. These data were also scored as (-) no Fab-arm exchange, (+/-) low, (+) intermediate or (++) high Fab-arm exchange, as presented in Table 3. No Fab-arm exchange (-) was found when 25 the 405 position in IgG1-2F8 was F (= wild type IgG1). Fab-arm exchange was found to be low (+/-) when the 405 position in IgG1-2F8 was G or R. Fab-arm exchange was found to be high (++) when the 405 position in IgG1-2F8 was A, D, E, H, I, K, L, M, N, Q, S, T, V, W or Y. These data indicate that particular mutations at the IgG1 405 position allow IgG1 to engage in 2-MEA-induced Fab-arm-exchange when 30 combined with IgG1-K409R.

**Table 3: 2-MEA-induced Fab-arm-exchange between IgG1-2F8-F405X mutants and IgG1-7D8-K409R.**

The generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm-exchange between IgG1-2F8-F405X mutants and IgG1-7D8-K409R was determined 35

by a sandwich ELISA. (-) no, (+/-) low, (+) intermediate, (++) high Fab-arm-exchange.

IgG1-2F8-F405X	Fab-arm-exchange x IgG1-7D8-K409R
A	++
D	++
E	++
F	-
G	+/-
H	++
I	++
K	++
L	++
M	++
N	++
Q	++
R	+/-
S	++
T	++
V	++
W	++
Y	++

5 **Example 29: Determinants at the IgG1 407 position for engagement in 2-MEA-induced Fab-arm-exchange in combination with IgG1-K409R**

In Example 28, it is described that certain single mutations at position F405 are sufficient to enable human IgG1 to engage in Fab-arm-exchange when combined with IgG1-K409R. To test whether other determinants implicated in the Fc:Fc 10 interface positions in the CH3 domain could also mediate the Fab-arm-exchange mechanism, mutagenesis of the IgG1 407 position was performed and the mutants were tested for engagement in 2-MEA-induced Fab-arm-exchange in combination with human IgG1-K409R. All possible IgG1-2F8-Y407X mutants (with the exception of C and P) were combined with IgG1-7D8-K409R. The procedure was performed 15 with purified antibodies as described in Example 19.

Figure 24 shows the results of bispecific binding upon 2-MEA-induced Fab-arm-exchange between IgG1-2F8-Y407X x IgG1-7D8-K409R. These data were also scored as (-) no Fab-arm exchange, (+/-) low, (+) intermediate or (++) high Fab-arm 20 exchange, as presented in Table 4. No Fab-arm exchange (-) was found when the

407 position in IgG1-2F8 was Y (= wild type IgG1), E, K, Q, or R. Fab-arm exchange was found to be low (+/-) when the 407 position in IgG1-2F8 was D, F, I, S or T and intermediate (+) when the 407 position in IgG1-2F8 was A, H, N or V, and high (++) when the 407 position in IgG1-2F8 was G, L, M or W. These data indicate that 5 particular single mutations at the IgG1 407 position allow IgG1 to engage in 2-MEA-induced Fab-arm-exchange when combined with IgG1-K409R.

**Table 4: 2-MEA-induced Fab-arm-exchange between IgG1-2F8-Y407X mutants and IgG1-7D8-K409R**

10 The generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm exchange between IgG1-2F8-Y407X mutants and IgG1-7D8-K409R was determined by a sandwich ELISA. (-) no, (+/-) low, (+) intermediate, (++) high Fab-arm-exchange.

IgG1-2F8-Y407X	Fab-arm-exchange x IgG1-7D8-K409R
A	+
D	+/-
E	-
F	+/-
G	++
H	+
I	+/-
K	-
L	++
M	++
N	+
Q	-
R	-
S	+/-
T	+/-
V	+
W	++
Y	-

15

**Example 30: Quantification of the non-covalent CH3-CH3 interaction in IgG1 heterodimers**

It is described in Example 21 that there is a specific range in the strength of the interaction of the CH3-CH3 homodimers that allows efficient Fab-arm-exchange. 20 The strength of the interactions at the CH3 interface should be such that it is

possible that both heavy chains in the parental antibodies (homodimers) dissociate in the Fab-arm-exchange reaction and that they subsequently associate in the heterodimerization reaction. To generate a stable heterodimer, the strength of the heterodimer interaction should be greater than the strength of the homodimer 5 interaction, such that it favors heterodimerization over homodimerization. To confirm this, the strength of the CH3-CH3 interaction in the heterodimers was measured and compared to the strength in the homodimers. The  $K_D$  of the CH2-CH3 fragments derived from IgG1-K409R, IgG1-F405L and IgG1-ITL homodimers were measured as described in Example 21. For the determination of the  $K_D$  in heterodimers, CH2-CH3 10 domain fragments (G1-F405L and G1-ITL) were mixed with the IgG1 $\Delta$ hinge fragment of IgG1-7D8-K409R, which contain all antibody domains except the hinge. The lack of hinge regions in both fragments prevented covalent inter-heavy chain disulfide bonds. The fragments were mixed and analyzed after 24 hours by native mass 15 spectrometry as described in Example 21. The  $K_D$  values of the non-covalent CH3-CH3 interactions in the indicated CH2-CH3 fragments or mixtures of CH2-CH3 fragments with IgG1 $\Delta$ hinge are presented in Table 5. These data suggest that under the tested conditions, the strength of the heterodimer interaction is greater (lower  $K_D$ ) than the corresponding homodimer interactions.

20 **Table 5**

CH2-CH3 construct/(IgG1 $\Delta$ hinge)	Interaction	$K_D$ (M)
G1-F405L/G1-K409R	Heterodimer	$1.2 \times 10^{-8}$
G1-ITL/G1-K409R	Heterodimer	$1.7 \times 10^{-8}$
G1-K409R	Homodimer	$1.1 \times 10^{-7}$
G1-F405L	Homodimer	$8.5 \times 10^{-7}$
G1-ITL	Homodimer	$1.2 \times 10^{-6}$

**Example 31: Biochemical analysis of a bispecific antibody generated by 2-MEA-induced Fab-arm exchange**

A batch of bispecific antibody, generated by 2-MEA-induced Fab-arm 25 exchange between human IgG1-2F8-F405L x IgG1-7D8-K409R, was purified on a PD-10 desalting column (cat.no. 17-0851-01; GE Healthcare). Next, the purity of the bispecific product was analyzed by sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE), High Performance Size Exclusion Chromatography (HP-SEC), mass spectrometry, HPLC cation exchange chromatography (HPLC-CIEX), 30 capillary isoelectrofocussing (cIEF).

SDS-PAGE was performed under non-reducing (Figure 25A) and reducing (Figure 25B) conditions as described in Example 15. Figure 25A show that the antibody sample after 2-MEA induced Fab-arm exchange consists of intact IgG, with a trace of half molecules (H1L1) detectable on the non-reduced gel.

5 HP-SEC was performed as described in Example 15. Figure 26(B) and Figure 26(A) show the HP-SEC profiles of the starting materials IgG1-2F8-F405L and IgG1-7D8-K409R, respectively. The mixture (1:1) of both antibodies and the bispecific product generated by 2-MEA induced Fab-arm exchange between IgG1-2F8-F405L x IgG1-7D8-K409R are shown in Figure 26C and Figure 26D, respectively. In addition, 10 Figure 26D shows that >99% of the sample consists of intact IgG with practically no aggregates formed.

15 Mass spectrometry (ESI-MS) was performed as described in Example 12. Figure 27(B) and Figure 27(A) show the mass spectrometry profiles of the starting materials IgG1-2F8-F405L and IgG1-7D8-K409R, respectively. The mixture (1:1) of both antibodies and the bispecific product generated by 2-MEA induced Fab-arm exchange between IgG1-2F8-F405L x IgG1-7D8-K409R are shown in Figure 27C and Figure 27D, respectively. The product in the 2-MEA induced Fab-arm exchanged sample is 146,159.7 kDa, which perfectly matches with the bispecific product derived from IgG1-2F8-F405L (146,289.0/2=73,145) x IgG1-7D8-K409R 20 (146,028.0/2=73,014). Moreover, the bispecific antibody product showed a homogenous peak, indicating that no light chain mispairing occurred, which would have resulted in subdivided peaks. These data show that 2-MEA induced Fab-arm exchange resulted in bispecific IgG. The small peaks indicated by (\*) resulted from incomplete deglycosylation prior to analysis. These data show that a bispecific 25 antibody sample was generated by 2-MEA-induced Fab-arm exchange between IgG1-2F8-F405L x IgG1-7D8-K409R.

30 Capillary isoelectrofocussing (cIEF) was performed using an iCE280 Analyzer (Convergent Biosciences). Figure 28A and Figure 28B shows cIEF profiles of the starting materials IgG1-2F8-F405L and IgG1-7D8-K409R, respectively. The mixture (1:1) of both antibodies and the bispecific product generated by Fab-arm exchange between IgG1-2F8-F405L x IgG1-7D8-K409R are shown in Figure 28C and Figure 28D, respectively. All samples were desalting before use. Final concentrations in the assay mix were 0.3 mg/mL IgG (0.35% Methyl Cellulose; 2% Carrier Ampholytes 3-10; 6% Carrier Ampholytes 8-10.5; 0.5% pI marker 7.65 and 0.5% pI marker 35 10.10). Focusing was performed for 7 min at 3000 V and the whole-capillary

absorption image was captured by a charge-coupled device camera. After calibration of the peak profiles, the data were analyzed by the EZChrom software. pI markers are indicated by (\*). These data show that a bispecific antibody sample was generated by 2-MEA-induced Fab-arm exchange between IgG1-2F8-F405L x IgG1-  
5 7D8-K409R.

Another technique to study the charged isoforms of monoclonal antibodies is High Pressure Liquid Chromatography Cation Exchange (HPLC-CIEX). Figure 29A and Figure 29B show HPLC-CIEX profiles of the starting materials IgG1-2F8-F405L and IgG1-7D8-K409R, respectively. The mixture (1:1) of both antibodies and the  
10 bispecific product generated by 2-MEA induced Fab-arm exchange between IgG1-2F8-F405L x IgG1-7D8-K409R are shown in Figure 29C and Figure 29D, respectively. Samples were diluted to 1 mg/mL in mobile Phase A (10 mM NaPO<sub>4</sub>, pH 7.0) for injection onto the HPLC. The differently charged IgG molecules were separated by using a ProPac® WCX-10, 4 mm x 250 mm, analytical column with a flow rate of 1  
15 mL/min. Elution was performed with a gradient of Mobile Phase A to Mobile Phase B (10 mM NaPO<sub>4</sub>, pH 7.0, 0.25 M NaCl) and detection occurred at 280 nm. These data show that a bispecific antibody sample was generated by 2-MEA-induced Fab-arm exchange between IgG1-2F8-F405L x IgG1-7D8-K409R. It also shows that cation exchange is a powerful tool to separate residual homodimers from the heterodimer.  
20 Another application of cation exchange chromatography is therefore the polishing of a bispecific heterodimer, i.e. to purify away any residual homodimers after exchange.

**Example 32: Recombinant expression of heterodimers by simultaneous co-expression of both homodimers**

25 To illustrate that heterodimer formation also occurs when the two homodimers are co-expressed recombinantly, HEK-293F cells were co-transfected with the four expression vectors (see Example 1) encoding the heavy and light chain of IgG1-7D8-K409R and IgG1-2F8-F405 in a 1:1:1:1 ratio. Antibodies were transiently produced under serum-free conditions as described in Example 4. Next,  
30 IgG was purified by Protein A chromatography as described in Example 5. Purified IgG was deglycosylated and subsequently analyzed by electrospray ionization mass spectrometry as described in Example 12.

The theoretic mass of heavy and light chain of IgG1-7D8-K409R and IgG1-2F8-F405 are shown in Table 6.

**Table 6: Theoretical mass of the heavy and light chain of IgG1-7D8-K409R and IgG1-2F8-F405**

<i>Homodimer</i>	<i>L-chain (Da)</i>	<i>H-Chain (Da)</i>
IgG1-2F8-F405	23252.8	49894.6
IgG1-7D8-K409R	23438.1	49579.0

On the basis of these masses, the following IgG molecules could theoretically  
5 be detected (Table 7). The measured masses (Figure 30) are indicated in the final column.

**Table 7: Theoretical detection of heavy and light chain of IgG1-7D8-K409R and IgG1-2F8-F40**

<i>IgG1-2F8-F405</i>		<i>IgG1-7D8-K409R</i>		<i>Theoretic mass (Da)</i>	<i>Mass measured (Da)</i>
<i>H-chain</i>	<i>L-chain</i>	<i>H-chain</i>	<i>L-chain</i>		
2	2			146287	146284
		2	2	146026	146026
2			2	146657	146664
	2	2		145656	145660
2	1		1	146472	146477
	1	2	1	145841	145846
1	1	1	1	146157	146159
1	2	1		145971	145972
1		1	2	146342	146345

10

The two most abundant peaks of 146345 and 146159 Da represented heterodimers with a single (from IgG1-7D8-K409R) or both light chains incorporated, respectively. Homodimers of both the heavy chain of IgG1-7D8-K409R or IgG1-2F8-F405 were detected, but only in minor amounts. These data show that heterodimerization also occurs when the two homodimers are co-expressed.  
15

**Example 33: Monitoring the kinetics of 2-MEA-induced Fab-arm exchange and quantifying residual homodimers after exchange by using HPLC-CIEX**

The generation of bispecific antibodies by 2-MEA-induced Fab-arm exchange  
20 is described in Example 11. In this example the exchange reaction was monitored by

conducting High Pressure Liquid Chromatography Cation Exchange (HPLC-CIEX; as described in Example 31) at various time points during the exchange reaction.

Homodimers IgG1-2F8-F405L and IgG1-7D8-K409R were mixed in the molar ratio 1:1 at a concentration of 1 mg/mL each. After the addition of 25 mM 2-MEA,

5 the sample was placed in the autosampler of the HPLC, prewarmed at 25°C. Figure 31A to 31H shows eight consecutive injections at different time intervals obtained by HPLC-CIEX ranging from  $t = 0$  min to  $t = 450$  min, respectively, after the addition of 2-MEA. The data show that bispecific IgG was formed rather quickly and most of the homodimer was exchanged after 135 min. The heterogeneous heterodimer peaks 10 appearing after 45 min resolved into more homogeneous peaks after approximately 180 min, suggesting that exchange occurs in different phases. Furthermore, Figure 32A shows that approximately 3% of residual homodimers was detected with the CIEX method (indicated by arrows). As shown this method is suitable for quantitating the remaining homodimer content (elution of the homodimers is shown in Figure 15 32B) when exchange reaction was almost complete).

**Example 34: Generation of bispecific antibodies by 2-MEA-induced Fab-arm exchange at high antibody concentrations at various 2-MEA concentrations, temperatures and incubation times**

20 2-MEA induced Fab-arm exchange was performed at high IgG concentrations. The influence of 2-MEA concentration, incubation temperature and time on the amount of exchange was studied.

25 The exchange process was performed using the combination of IgG1-7D8-K409R x IgG1-2F8-F405L. Both materials were purified with affinity chromatography using protein A. After concentration of the material to >20 mg/mL, a successive anion exchange step was performed (in flow through mode) using HiPrep Q FF 16/10 (GE Health Care, #28-9365-43). The final purified material was buffer-exchanged to PBS.

30 The bispecific exchange was studied at final IgG concentrations of 20 mg/mL (each homodimer at a final concentration of 10 mg/mL) and 10 mg/mL (each homodimer at a final concentration of 5 mg/mL) in PBS. Separate mixtures were prepared for both IgG concentrations including 2-MEA at final concentrations of 10, 25, 50 and 100 mM. The mixtures were divided into 100  $\mu$ L aliquots in eppendorf tubes and stored at 15, 25 and 37°C. Separate tubes were used for different 35 incubation times of 90 min, 5 hours and 24 hours at each temperature.

The mixture was also prepared without 2-MEA for both IgG concentrations and stored at 4°C as an untreated control. After the appropriate incubation times, the 90 min and 5 hours samples were collected for desalting to remove the 2-MEA (the 90 min samples were initially put on ice to stop the exchange reaction). The samples 5 were desalted using a Zeba 96-well desalting plate (7k, cat# 89808, Thermo Fisher Scientific). The 24 hours samples were desalted separately after 24 hours incubation.

Serial dilutions of the antibody samples (total antibody concentration 10 - 0.123 µg/mL in 3-fold dilutions for the 90 min and 5 hours samples; 10 - 0.041 µg/mL in 3-fold dilutions for the 24 hours samples) were used in a sandwich ELISA to 10 measure bispecific binding as described in Example 7. For each plate, a control was included of a purified batch of bispecific antibody derived from a 2-MEA-induced Fab-arm exchange between IgG1-2F8-ITL and IgG4-7D8-CPPC (as described in Example 15). Figure 34(A)-(F) shows the results of the bispecific binding as measured in the individual ELISA plates. The top OD405 values (as determined for the 10 µg/mL 15 concentrations in the ELISA) were used to calculate the bispecific binding in comparison to the control, which was arbitrarily set at 100%. This resulted in the percentage of controlled Fab-arm exchange (% cFAE) compared to the control as is shown in Figure 34(A)-(D) for each 2-MEA concentration.

The data show that maximal level of bispecific binding (89-109% with respect 20 to control) was reached at a concentration of 100 mM 2-MEA for both IgG concentrations at all temperature-time conditions. At 50 mM 2-MEA, maximal binding (88-107%) was achieved at 25°C and 37°C and also at 15°C after 24 hours 25 incubation. For the lower concentrations of 25 mM and 10 mM 2-MEA, the exchange was more efficient at higher temperatures and increased with prolonged incubation time, leading to maximal exchange at 37°C upon 24 hours incubation at 25 mM 2- MEA. None of the conditions tested at 10 mM 2-MEA generated 100% bispecific product. The exchange process was slightly faster at IgG concentrations of 10 mg/mL compared to 20 mg/mL total IgG.

To confirm that bispecific antibodies were formed and to study the bispecific 30 products in more detail, samples were analyzed with Cation Exchange (HPLC-CIEX) analysis. The HPLC-CIEX analysis was performed as described in Example 31 for the samples with IgG concentrations of 20 mg/mL after 5 hours and 24 hours incubation and all 2-MEA concentrations.

The CIEX chromatograms in Figure 35(A)-(D) show that the highest yield of 35 bispecific product was obtained at 50 and 100 mM 2-MEA confirming the results of

the bispecific ELISA. However, minor amounts of residual homodimer were still detected at 50 and 100 mM 2-MEA (2 - 3.5% of each homodimer for samples incubated at 25°C and 37°C). Exchange at higher temperature, longer (24 hours) incubation time and increasing 2-MEA concentration result in the appearance of 5 additional peaks at 22-24 min in the CIEX profile.

Minimal amounts of additional peaks were obtained when exchange was concluded within 5 hours. To identify the nature of these peaks, SDS-PAGE analysis and HP-SEC analysis was performed. HP-SEC showed that the amount of aggregates was below 1% for all conditions, suggesting that the additional peaks do not 10 represent aggregates. However, non-reduced SDS-PAGE indicated that the extra peaks may represent heterodimer lacking one or two light chains. Minor amounts of half-molecules were detected as well.

The experiment shows that the exchange reaction takes place at high homodimer concentrations, which makes the process attractive for commercial scale, 15 and that the yield of bispecific antibody depends on 2-MEA concentration, temperature and incubation time.

#### **Example 35: Determinants at the IgG1 368 position for engagement in 2-MEA-induced Fab-arm exchange in combination with IgG1-K409R**

20 Example 28 and 29 show that certain single mutations at position F405 and Y407 are sufficient to enable human IgG1 to engage in Fab-arm exchange when combined with IgG1-K409R. As illustrated in this example further determinants implicated in the Fc:Fc interface positions in the CH3 domain may also mediate the Fab-arm exchange mechanism. To this effect mutagenesis of the IgG1 368 position 25 was performed and the mutants were tested for engagement in 2-MEA-induced Fab-arm-exchange in combination with human IgG1-K409R. All possible IgG1-2F8-L368X mutants (with the exception of C and P) were combined with IgG1-7D8-K409R. The procedure was performed with purified antibodies as described in Example 19.

30 Figure 36 shows the results of bispecific binding upon 2-MEA-induced Fab-arm exchange between IgG1-2F8-L368X x IgG1-7D8-K409R. These data were also scored as (-) no Fab-arm exchange, (+/-) low, (+) intermediate or (++) high Fab-arm exchange, as presented in Table 8. No Fab-arm exchange (-) was found when the 368 position in IgG1-2F8 was L (= wild type IgG1), F or M. Fab-arm exchange was found to be low (+/-) when the 368 position in IgG1-2F8 was Y. Fab-arm exchange 35 was found to be intermediate (+) when the 368 position in IgG1-2F8 was K and high

(++) when the 368 position in IgG1-2F8 was A, D, E, G, H, I, N, Q, R, S, T, V, or W. These data indicate that particular mutations at the IgG1 368 position allow IgG1 to engage in 2-MEA-induced Fab-arm exchange when combined with IgG1-K409R.

5 **Table 8: 2-MEA-induced Fab-arm exchange between IgG1-2F8-L368X mutants and IgG1-7D8-K409R**

The generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm exchange between IgG1-2F8-L368X mutants and IgG1-7D8-K409R was determined by a sandwich ELISA. (-) no, (+/-) low, (+) intermediate or (++) high Fab-arm exchange.

	Fab-arm exchange
	Fab-arm exchange
IgG1-2F8-L368X	x IgG1-7D8-K409R
A	++
D	++
E	++
F	-
G	++
H	++
I	++
K	+
L	-
M	-
N	++
Q	++
R	++
S	++
T	++
V	++
W	++

**Example 36: Determinants at the IgG1 370 position for engagement in 2-MEA-induced Fab-arm exchange in combination with IgG1-K409R**

15 Examples 28, 29 and 35 show that certain single mutations at positions F405, Y407 or L368 are sufficient to enable human IgG1 to engage in Fab-arm exchange when combined with IgG1-K409R. As illustrated in this example further determinants implicated in the Fc:Fc interface positions in the CH3 domain may also mediate the Fab-arm exchange mechanism. To this effect mutagenesis of the IgG1 370 position was performed and the mutants were tested for engagement in 2-MEA-induced Fab-

arm-exchange in combination with human IgG1-K409R. All possible IgG1-2F8-K370X mutants (with the exception of C and P) were combined with IgG1-7D8-K409R. The procedure was performed with purified antibodies as described in Example 19.

Figure 37 shows the results of bispecific binding upon 2-MEA-induced Fab-arm exchange between IgG1-2F8-K370X x IgG1-7D8-K409R. These data were also scored as (-) no Fab-arm exchange, (+/-) low, (+) intermediate or (++) high Fab-arm exchange, as presented in Table 9. No Fab-arm exchange (-) was found when the 370 position in IgG1-2F8 was K (= wild type IgG1), A, D, E, F, G, H, I, L, M, N, Q, R, S, T, V or Y. Only substitution of K370 with W resulted in intermediate Fab-arm exchange (+). These data indicate that only one mutation at the IgG1 370 position (K370W) allows IgG1 to engage in 2-MEA-induced Fab-arm exchange when combined with IgG1-K409R.

**Table 9: 2-MEA-induced Fab-arm exchange between IgG1-2F8-K370X mutants and IgG1-7D8-K409R**

The generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm exchange between IgG1-2F8-K370X mutants and IgG1-7D8-K409R was determined by a sandwich ELISA. (-) no, (+/-) low, (+) intermediate or (++) high Fab-arm exchange.

	Fab-arm exchange
IgG1-2F8-K370X	x IgG1-7D8-K409R
A	-
D	-
E	-
F	-
G	-
H	-
I	-
K	-
L	-
M	-
N	-
Q	-
R	-
S	-
T	-
V	-
W	+

Y	-
---	---

**Example 37: Determinants at the IgG1 399 position for engagement in 2-MEA-induced Fab-arm exchange in combination with IgG1-K409R**

Examples 28, 29, 35 and 36 show that certain single mutations at positions 5 F405, Y407, L368 or K370 are sufficient to enable human IgG1 to engage in Fab-arm exchange when combined with IgG1-K409R. As illustrated in this example further determinants implicated in the Fc:Fc interface positions in the CH3 domain may also mediate the Fab-arm exchange mechanism. To this effect mutagenesis of the IgG1 10 399 position was performed and the mutants were tested for engagement in 2-MEA-induced Fab-arm-exchange in combination with human IgG1-K409R. All possible IgG1-2F8-D399X mutants (with the exception of C and P) were combined with IgG1- 15 7D8-K409R. The procedure was performed with purified antibodies as described in Example 19.

Figure 38 shows the results of bispecific binding upon 2-MEA-induced Fab-arm 20 exchange between IgG1-2F8-D399X x IgG1-7D8-K409R. These data were also presented as (-) no, (+/-) low, (+) intermediate or (++) high Fab-arm exchange, as 25 indicated in Table 10. No Fab-arm exchange (-) was found when the 399 position in IgG1-2F8 was D (= wild type IgG1), E and Q. Fab-arm exchange was found to be low (+/-) when the 399 position in IgG1-2F8 was V, intermediate (+) when the 399 position in IgG1-2F8 was G, I, L, M, N, S, T or W. Fab-arm exchange was found to be high (++) when the 399 position in IgG1-2F8 was A, F, H, K, R or Y. These data indicate that particular mutations at the IgG1 399 position allow IgG1 to engage in 2-MEA-induced Fab-arm exchange when combined with IgG1-K409R.

25 **Table 10: 2-MEA-induced Fab-arm exchange between IgG1-2F8-D399X mutants and IgG1-7D8-K409R**

The generation of bispecific antibodies after 2-MEA-induced *in vitro* Fab-arm exchange between IgG1-2F8-D399X mutants and IgG1-7D8-K409R was determined by a sandwich ELISA. (-) no, (+/-) low, (+) intermediate or (++) high Fab-arm 30 exchange.

IgG1-2F8-D399X	Fab-arm exchange x IgG1-7D8-K409R
A	++
D	-

E	-
F	++
G	+
H	++
I	+
K	++
L	+
M	+
N	+
Q	-
R	++
S	+
T	+
V	+/-
W	+
Y	++

**Example 38: Determination of the condition range in which 2-MEA-induced Fab-arm exchange occurs suboptimally to discriminate between highly efficient IgG1 mutants**

5 The process of 2-MEA-induced Fab-arm exchange occurs efficiently at 37°C when 25 mM 2-MEA is used. Under these conditions, the majority of permissive IgG1 mutants (IgG1 with certain single mutations at positions 368, 370, 399, 405 and 407 and/or 409 as described in Examples 19, 28, 29, and 35-37) show high levels of 2-MEA-induced Fab-arm exchange (80% - 100%). To identify experimental conditions 10 that would allow discrimination between the IgG1 mutants with the highest efficiency, 2-MEA-induced Fab-arm for four different mutant combinations (IgG1-2F8-F405S x IgG1-7D8-K409A, IgG1-2F8-D399R x IgG1-7D8-K409G, IgG1-2F8-L368R x IgG1-7D8-K409H and IgG1-2F8-F405L x IgG1-7D8-K409R) was studied over time at 15°C and 20°C, respectively. Apart from changes in temperature, time 15 period and antibody dilution (20, 2, 0.2 and 0.02 µg/mL) the procedure was performed as described in Example 19.

At 20°C 2-MEA-induced Fab-arm exchange of the four mutant combinations occurred at different rates compared to the maximal exchange (positive control). After 105 min incubation IgG1-2F8-L368R x IgG1-7D8-K409H reached the maximal 20 level of exchange, whereas IgG1-2F8-F405S x IgG1-7D8-K409A, IgG1-2F8-D399R x IgG1-7D8-K409G and IgG1-2F8-F405L x IgG1-7D8-K409R reached a maximum of 90%, 85% and 85%, respectively, after 200 min.

Incubation of the different IgG1 mutant combinations at 15°C showed most prominent differences in exchange rates (shown in Figure 39). After 60 and 105 min incubations, 2-MEA-induced Fab-arm exchange, the differences between the four mutant combinations were most extreme. Fab-arm exchange after 200 min 5 incubation showed efficiencies of 100% (IgG1-2F8-L368R x IgG1-7D8-K409H), 85% (IgG1-2F8-F405L x IgG1-7D8-K409R and IgG1-2F8-D399R x IgG1-7D8-K409G) or 65% (IgG1-2F8-F405S x IgG1-7D8-K409A) compared to the positive control.

**Example 39: Analyzing 2-MEA-induced Fab-arm exchange efficiencies of 10 mutants at suboptimal conditions**

The process of 2-MEA-induced Fab-arm exchange occurs efficiently at 37°C when 25 mM 2-MEA is used. Under these conditions, the majority of permissive IgG1 mutants (IgG1 with certain single mutations at positions 368, 370, 399, 405 and 407 and/or 409 as described in Examples 19, 28, 29, and 35-37) show high levels of 2-15 MEA-induced Fab-arm exchange (80-100%). In Example 38 it is described that differences in 2-MEA-induced Fab-arm exchange efficiencies are most pronounced after incubation at so-called suboptimal conditions, namely at 15°C for 60 to 105 min. In total 24 IgG1-2F8 mutants at the L368, D399, F405 and Y407 (see Table 11) that 20 show >90% 2-MEA-induced Fab-arm exchange with IgG1-7D8-K409R (Example 28, 29, and 35-37) were selected and subjected to Fab-arm exchange analysis with IgG1-7D8-K409A, G, H or R (based on results reported in Example 19). To categorize these mutant combinations upon their efficiencies to generate bispecific 25 antibodies, 2-MEA-induced Fab-arm exchange was performed at 15°C for 90 min (suboptimal conditions). Two IgG1-2F8 mutants Y407Q and D399Q) that showed weak 2-MEA-induced Fab-arm exchange after incubation with IgG1-7D-K409R (Example 29 and 37) were taken along as additional negative controls and used to 30 study whether incubation with another amino acid at the K409 position (G, H, or W) leads to a different result. Apart from a change in temperature and changes in antibody dilution (20, 2, 0.2 and 0.02 ug/mL), the procedure was performed as described in Example 19.

Incubation of all different IgG1 mutants combinations (as becomes clear from Table 11) at 15°C for 90 min showed a range of different 2-MEA-induced Fab-arm exchange efficiencies. The result of bispecific binding at an antibody concentration of 20 µg/mL, is shown in Table 11. Results were categorized in 4 classes; no (-), low 35 (+/-) intermediate (+) and high (++) bispecific binding efficiency as is specified in

the legend below for Table 11. From these results it becomes clear that under suboptimal conditions some combinations of amino acid mutations in IgG1 molecules will be favorable for 2-MEA-induced Fab-arm exchange.

5 **Table 11: Bispecific binding (% relative to positive control) between permissive IgG1 mutants (20µg/mL) at 15°C for 90 min**

Fab-arm exchange	IgG1-7D8-K409A	IgG1-7D8-K409G	IgG1-7D8-K409R	IgG1-7D8-K409H
IgG1-2F8-L368A	33	33	25	37
IgG1-2F8-L368D	49	50	41	54
IgG1-2F8-L368E	32	38	37	42
IgG1-2F8-L368G	46	53	44	53
IgG1-2F8-L368H	26	25	21	29
IgG1-2F8-L368N	47	52	43	54
IgG1-2F8-L368R	55	64	52	91
IgG1-2F8-L368S	39	45	37	53
IgG1-2F8-L368T	42	51	39	56
IgG1-2F8-L368V	42	49	33	51
IgG1-2F8-L368W	56	56	41	60
IgG1-2F8-D399F	13	15	14	15
IgG1-2F8-D399H	12	14	10	19
IgG1-2F8-D399K	40	43	34	46
IgG1-2F8-D399R	47	45	38	52
IgG1-2F8-D399Q	0	0	0	0
IgG1-2F8-F405I	32	49	39	60
IgG1-2F8-F405K	29	48	47	40
IgG1-2F8-F405L	31	44	39	46
IgG1-2F8-F405S	34	51	45	39

IgG1-2F8-F405T	35	47	42	46
IgG1-2F8-F405V	36	46	37	43
IgG1-2F8-F405W	17	20	16	18
IgG1-2F8-Y407L	44	41	49	49
IgG1-2F8-Y407W	48	53	47	62
IgG1-2F8-Y407Q	4	9	1	44

#### Legend for Table 11

No (0-3%) bispecific binding (-)

Low (4-39%) bispecific binding (+/-)

Intermediate (40-69%) bispecific binding (+)

High (70-100%) bispecific binding (+++)

From the mutated IgG1-2F8 molecules tested (Table 11), six were selected for a second analysis to confirm the results obtained before (Table 11). Several 5 mutants were selected for their high (IgG1-2F8-L368R) and intermediate (IgG1-2F8-L368W, IgG1-2F8-F405I, IgG1-2F8-F405L and IgG1-2F8-Y407W) 2-MEA-induced Fab-arm exchange efficiency. Also IgG1-2F8-Y407Q was analyzed for a second time since it showed an unexpected positive 2-MEA-induced Fab-arm exchange reaction with IgG1-7D8-K409H. In general, these results, presented in Figure 40, confirmed 10 the primary analysis (Table 11) and show that 2-MEA-induced Fab-arm exchange reactions of mutated IgG1-2F8 molecules with IgG1-7D8-K409H showed highest efficiency. Furthermore, 2-MEA-induced Fab-arm exchange reactions between mutated IgG1-2F8 molecules with IgG1-7D8-K409R that are reported in Examples 15 28, 29, and 35-37 as negative are still of interest as potentially promoting the IgG1 2-MEA-induced Fab-arm exchange.

**Example 40: Using the bispecific format to remove undesired agonistic activity of antagonistic c-Met antibodies converting them into a monovalent, bispecific format**

20 Several bivalent antibodies developed for monoclonal antibody therapy show undesirable agonistic activity upon binding to their target. This is also the case for

most IgG1 based antibodies targeting the receptor tyrosine kinase c-Met. These agonistic antibodies induce receptor dimerization followed by activation of several downstream signaling pathways. As a result growth and differentiation of (tumor) cells is induced. The use of monovalent antibody formats can prevent induction of 5 receptor dimerization. Combination of an anti-c-Met antibody Fab-arm with a Fab-arm of an irrelevant antibody results in a bispecific antibody that is functionally monovalent and therefore completely antagonistic. Here we combined a partial- (IgG1-069) or a full (IgG1-058) agonistic antibody, with IgG1-b12 (first described in Burton DR, et al, "Efficient neutralization of primary isolates of HIV-1 by a 10 recombinant human monoclonal antibody", Science. 1994 Nov 11; 266(5187):1024-1027) in bispecific antibodies. IgG1-b12 was regarded as an irrelevant non-binding antibody since it is raised against a viral protein (HIV-gp120). The anti-c-Met antibodies used in this example are fully human monoclonal antibodies generated in transgenic mice. IgG1-058 and IgG1-069 bind to different epitopes on c-Met.

15 The two anti-c-Met antibodies used are IgG1,κ antibodies being modified in their Fc regions as further disclosed. They have the following heavy chain and light chain variable sequences.

**058:**

VH 058	EVQLVESGGGLVKPAGGSLKLSACAASGFTFSDYYMYW VRQTPEKRLEWVATISDDGSYYPDSVKGRFTISRD NAKNNLQYQMSLKSEDTAMYYCAREGLYYYGSGSY YNQDYWGQGTLVTVSS
VL 058	AIQLTQSPSSLSASVGDRVTITCRASQGLSSALAWYR QKPGKAPKLLIYDASSLESQVPSRFSGSGSGTDFTLTI SSLQPEDFATYYCQQFTSYPQITFGQGTRLEIK

20 **069:**

VH 069	QVQLVQSGAEVKKPGASVKVSCETSGYTFTSYGISW VRQAPGHGLEWMGWISAYNGYTNQAKLQGRVTMT TDTSTSTAYMELRSLRSDDTAVYYCARDLRGTNYFDY WGQGTLVTVSS
VL 069	DIQMTQSPSSVSASVGDRVTITCRASQGISNWLAWF QHKPGKAPKLLIYAASSLQSGVPSRFSGSGSGTDFTL TISSLQPEDFATYYCQQANSFPITFGQGTRLEIK

*Receptor phosphorylation*

25 Monovalent bispecific c-Met antibodies were generated by a Fab-arm exchange reaction with IgG1-058-F405L or IgG1-069-F405L and IgG1-b12-K409R as described in Example 23 using 25mM 2-MEA. The effect of the bispecific antibody on c-Met phosphorylation was evaluated. Upon dimerization of two adjacent c-Met

receptors by either the natural ligand HGF or by agonistic bivalent antibodies, three tyrosine residues (position 1230, 1234 and 1235) in the intracellular domain of c-Met are cross phosphorylated. This leads to phosphorylation of several other amino acids in the intracellular domain of c-Met and activation of a number of signaling cascades.

5 The dimerization and activation of c-Met can be monitored by using antibodies specific for the phosphorylated receptor at these positions, which functions as a read out for the potential agonism of anti-c-Met antibodies.

A549 cells, CCL-185 obtained from ATCC, were grown in serum containing DMEM medium until 70% confluence was reached. Cells were trypsinized, washed 10 and plated in a 6 well culture plate at 1\*10e6 cells/well in serum containing culture medium. After overnight incubation the cells were treated with either HGF (R&D systems; cat. 294-HG) (50 ng/mL) or the panel of antibodies (30 µg/mL) and incubated for 15 minutes at 37°C. The cells were washed twice with ice cold PBS and lysed with lysis buffer (Cell Signaling; cat. 9803) supplemented with a protease 15 inhibitor cocktail (Roche; cat. 11836170001). Cell lysate samples were stored at -80°C. Receptor activation was determined by detection of c-Met phosphorylation on Western blot using phospho c-Met specific antibodies. The proteins present in the cell lysate were separated on a 4-12% SDS-PAGE gel and transferred to nitrocellulose membrane that was subsequently stained with an antibody specific for 20 phosphorylated c-Met (Y1234/1235) (Cell Signaling, cat: 3129). As a control for gel loading total β-actin and c-Met levels were determined using anti c-Met (Cell Signaling, Cat. No. 3127) and anti β-actin (Cell Signaling, Cat. No. 4967) antibodies. Results of the Western blots are shown in Figure 41.

Tissue culture medium controls and cells treated with the monovalent format 25 UniBody® (Genmab, WO2007059782 and WO2010063785) of antibody 5D5 (Genentech; WO 96/38557) did not show any c-Met receptor phosphorylation. The monovalent UniBody format as used herein is an IgG4, wherein the hinge region has been deleted and wherein the CH3 region has been mutated at positions 405 and 407. In contrast, Western blot analysis of cells treated with the positive control HGF 30 or agonistic antibody IgG1-058 showed a clear band at the expected height of the phosphorylated c-Met. Partial agonistic antibody IgG1-069 showed less, but detectable receptor phosphorylation indicating that some cross linking of the receptor takes place. However, both bispecific IgG1 058/b12 and bispecific 069/b12 antibodies did not induce c-Met phosphorylation at all, showing that the agonistic 35 activity associated with the parent antibodies was completely absent (Figure 41).

*Effect of c-Met antibodies on NCI-H441 proliferation in vitro*

The potential proliferative agonistic activity of c-Met antibodies was tested *in vitro* in the lung adenocarcinoma cell line NCI-H441 (ATCC, HTB-174<sup>TM</sup>). This cell line expresses high levels of c-Met, but does not produce its ligand HGF. NCI-H441 cells 5 were seeded in a 96-wells tissue culture plate (Greiner bio-one, Frickenhausen, Germany) (5,000 cells/well) in RPMI (Lonza) without serum. Anti c-Met antibody was diluted to 66,7 nM in RPMI medium without serum and added to the cells. After seven days incubation at 37°C/5% CO<sub>2</sub>, the amount of viable cells was quantified with Alamarblue (BioSource International, San Francisco, US) according to the 10 manufacturer's instruction. Fluorescence was monitored using the EnVision 2101 Multilabel reader (PerkinElmer, Turku, Finland) with standard Alamarblue settings.

In contradiction to IgG1-069, no proliferation was induced upon incubation of NCI-H441 cells with the bispecific IgG1-069/b12, as is shown in Figure 42. Also the UniBody-069 control did not induce proliferation, which was comparable to the none- 15 or IgG1-b12 treated.

**Example 41: CDC-mediated cell killing by bispecific antibodies generated by 2-MEA-induced Fab-arm-exchange between human IgG1-2F8-F405L or IgG1-7D8-F405L and IgG1-7D8-K409R**

20 The CD20 antibody IgG1-7D8 can efficiently kill CD20-expressing cells by complement-dependent cytotoxicity (CDC). In contrast, the EGFR antibody IgG1-2F8 does not mediate CDC on target cells expressing EGFR. Both IgG1-7D8-K409R and the bispecific antibody generated by 2-MEA-induced Fab-arm-exchange between IgG1-2F8-F405L x IgG1-7D8-K409R are able to induce CDC on CD20-expressing 25 cells (as is described in Example 26). It was tested whether the bispecific antibody generated by 2-MEA-induced Fab-arm-exchange between IgG1-7D8-F405L and IgG1-7D8-K409R could also induce CDC on CD20 expressing cells. 10<sup>5</sup> Daudi or Raji cells were pre-incubated for 15 min with a concentration series of antibody in 100 µL RPMI medium supplemented with 0.1% BSA in a shaker at room temperature. 25 µL 30 normal human serum (NHS) was added as a source of complement (20% NHS final concentration) and incubated for 45 min at 37°C. After incubation, plate was placed on ice to stop the CDC reaction. Dead and viable cells were discriminated by adding 10 µL 10 µg/mL propidium iodide (PI) (0.6 µg/mL final concentration) and FACS analysis.

Figure 43 shows that IgG1-7D8 and the bispecific product generated by 2-MEA-induced Fab-arm-exchange between IgG1-7D8-F405L and IgG1-7D8-K409R have the same potency to induce CDC-mediated cell kill of CD20-expressing Daudi (Figure 43A) and Raji (Figure 43B). Both Daudi and Raji cells do not express EGFR, 5 resulting in monovalent binding of the bispecific antibody generated by 2-MEA-induced Fab-arm-exchange between IgG2-2F8-F405L x IgG1-7D8-K409R. This bispecific product also induced CDC mediated cell kill, albeit slightly less efficient. These data indicate that CDC capacity of a parental antibody was retained in the bispecific format. Induction of CDC mediated cell killing by the bivalent bispecific 10 product (IgG1-7D8-F405L x IgG1-7D8-K409R) was slightly more efficient compared to the monovalent bispecific product (IgG2-2F8-F405L x IgG1-7D8-K409R). The CD20 targeting 11B8 antibody is not able to induce CDC mediated cell kill and functions as a negative control.

15 **Example 42: HER2 x HER2 bispecific antibodies tested in an *in vitro* kappa-directed ETA' killing assay**

The example shows that HER2 x HER2 bispecific antibodies can deliver a cytotoxic agent into tumor cells after internalization in a generic *in vitro* cell-based killing assay using kappa-directed pseudomonas-exotoxin A (anti-kappa-ETA'). This 20 assay makes use of a high affinity anti-kappa domain antibody conjugated to a truncated form of the pseudomonas-exotoxin A. Similar fusion proteins of antibody binding proteins (IgG-binding motif from Streptococcal protein A or protein G) and diphtheria toxin or *Pseudomonas* exotoxin A have previously been (Mazor Y. et al., *J. Immunol. Methods* 2007; 321:41-59); Kuo SR. et al., 2009 *Bioconjugate Chem.* 25 2009; 20:1975-1982). These molecules in contrast to anti-kappa-ETA' bound the Fc part of complete antibodies. Upon internalization and endocytic sorting the anti-kappa-ETA' domain antibody undergoes proteolysis and disulfide-bond reduction, separating the catalytic from the binding domain. The catalytic domain is then transported from the Golgi to the endoplasmic reticulum via a KDEL retention motif, 30 and subsequently translocated to the cytosol where it inhibits protein synthesis and induces apoptosis (Kreitman RJ. et. al., *BioDrugs* 2009; 23:1-13).

The anti-HER2 antibodies used in this example and the following Examples 43-45 are fully human monoclonal antibodies generated in transgenic mice. They bind to different epitopes on HER2.

They are all IgG1,κ antibodies being modified in their Fc regions as further disclosed. They have the following heavy chain and light chain variable sequences.

**005:**

VH 005	EVQLVQSGAEVKKPGESLKISCKASGYSFHFYWIGWVRQMPGKGLEWMGS IYPGDSDTRYRPSFQGQVTISADKSISTAYLQWTSLKASDTAIYYCARQRGD YYYFYGMDVVGQGTTVTVSS
VL 005	EIVLTQSPGTLSSLSPGERATLSCRASQSVSSYLAZYQQKPGQVPRLLIYGA SSRATGIPDRFSGSGSGTDFTLTISRLEPEDFAVYYCQQYQGSS- LTFGGGKTKEIK

5

**025:**

VH 025	QVQLQQWGAGLLKPSETSLTCAVYGGSFSDYYWNWIRQPPGKGLEWIGE IHHSGSTNYNPSLKSRTVTISVDTSKNQFSLKLSSVTAADTAVYYCARGYYDS GVYYFDYWAQGTLTVSS
VL 025	DIQMTQSPSSLSASVGDRVTITCRASQGISRWLAZYQQKPEKAPKSLIYAA SSLRSGVPSRFSGSGSGTDFTLTISLQPEDFATYYCQQYNSYPITFGQGTR LEIK

**153:**

VH 153	QVQLVESGGVVQPGRLRLSCAASGFTFSDYVIHWVRQAPGKGLEWVT ISYDGSNKYYADSVKGRFTISRDNSKNTLYLQMNSLSAEDTAMYYCARGGI TGTTGVFDYWGQGTLTVSS
VL 153	DIQMTQSPSSLSASVGDRVTITCRASQGISSWLAZYQQKPEKAPKSLIYDA SSLQSGVPSRFSGSGYGTDFSLTISLQPEDFAIYYCQQYKSYPITFGQGTRL EIK

**10 169:**

VH 169	QVQLVQSGAEVKKPGASVKVSCKASGYTFTNYGISWVRQAPGQGLEWMG WLSAYSGBTIYAQKLQGRVTMTTDSTTAYMELRSLSRSDDTAVYYCARDR IVVRPDYFDYWGQGTLTVSS
VL 169	EIVLTQSPATLSSLSPGERATLSCRASQSVSSYLAZYQQKPGQAPRLLIYDAS NRATGIPARFSGSGSGTDFTLTISLEPEDFAVYYCQQRSNWPRTFGQGTR VEIK

15 HER2 x HER2 bispecific antibodies were pre-incubated with the anti-kappa-ETA' before incubation with A431 cells. A431 cells express ~15,000 HER2 antibodies per cell (determined via Qifi analysis) and are not sensitive to treatment with 'naked' HER2-antibodies.

First, the optimal concentration of anti-kappa-ETA' was determined for each cell line, i.e. the maximally tolerated dose that does not lead to induction of non-specific cell death. A431 cells (2500 cells/well) were seeded in normal cell culture medium in a 96-wells tissue culture plate (Greiner bio-one) and allowed to adhere for

at least 4 hours. These cells were incubated with an anti-kappa-ETA' dilution series, 100, 10, 1, 0.1, 0.01, 0.001 and 0 µg/mL in normal cell culture medium. After 3 days, the amount of viable cells was quantified with Alamarblue (BioSource International, San Francisco, US) according to the manufacturer's instruction.

5 Fluorescence was monitored using the EnVision 2101 Multilabel reader (PerkinElmer, Turku, Finland) with standard Alamarblue settings. The highest concentration anti-kappa-ETA' that did not kill the cells by itself (1 µg/mL for A431 cells) was used for following experiments.

Next, the effect of HER2 x HER2 bispecific antibodies and HER2 monospecific antibodies pre-incubated with anti-kappa-ETA' was tested for their ability to induce cell kill. A431 cells were seeded as described above. A dilution series of the HER2 specific antibodies (monospecific and bispecific antibodies) was made and pre-incubated for 30 min with the predetermined concentration of anti-kappa-ETA' before adding them to the cells. After 3 days incubation at 37°C, the amount of viable cells was quantified as described above. The Alamarblue signal of cells treated with anti-kappa-ETA' pre-incubated with the antibodies was plotted compared to cells treated without antibody treatment. EC<sub>50</sub> values and maximal cell death were calculated using GraphPad Prism 5 software. Staurosporin (23.4 µg/mL) was used as positive control for cell killing. An isotype control antibody (IgG1/kappa; IgG1-3G8-QITL) was used as negative control.

Figure 44 shows that all anti-kappa-ETA' pre-incubated HER2 bispecific antibodies were able to kill A431 cells in a dose-dependent manner. These results demonstrate that most HER2 bispecific antibodies tested were more effective than the monospecific antibody present in the combination in this anti-kappa-ETA' assay. 25 In addition, the efficacy of bispecific antibody 005X169, 025X169 and 153X169 showed that the efficacy of a monospecific antibody which lacks activity in this *in vitro* kappa-directed ETA' killing, HER2 specific antibody 169, can be increased through bispecific combination with another HER2 specific antibody.

30 **Example 43: HER2 receptor downmodulation by incubation with bispecific antibodies targeting different HER2 epitopes**

HER2 x HER2 bispecific antibodies may bind two different epitopes on two spatially different HER2 receptors. This may allow other HER2 x HER2 bispecific antibodies to bind to the remaining epitopes on these receptors. This could result in 35 multivalent receptor cross-linking (compared to dimerization induced by monovalent

antibodies) and consequently enhance receptor downmodulation. To investigate whether HER2 x HER2 bispecific antibodies induce enhanced downmodulation of HER2, AU565 cells were incubated with antibodies and bispecific antibodies for three days. Total levels of HER2 and levels of antibody bound HER2 were determined.

5 AU565 cells were seeded in a 24-well tissue culture plate (100.000 cells / well) in normal cell culture medium and cultured for three days at 37°C in the presence of 10 µg/mL HER2 antibody or HER2 x HER2 bispecific antibodies. After washing with PBS, cells were lysed by incubating them for 30 min at room temperature with 25 µL Surefire Lysis buffer (Perkin Elmer, Turku, Finland). Total 10 protein levels were quantified using bicinchoninic acid (BCA) protein assay reagent (Pierce) following manufacturer's protocol. HER2 protein levels in the lysates were analyzed using a HER2-specific sandwich ELISA. Rabbit-anti-human HER2 intracellular domain antibody (Cell Signaling) was used to capture HER2 and biotinylated goat-anti-human HER2 polyclonal antibody R&D systems, Minneapolis, 15 USA), followed by streptavidin-poly-HRP, were used to detect bound HER2. The reaction was visualized using 2,2'-azino-bis 3-ethylbenzothiazoline-6-sulfonic acid (one ABTS tablet diluted in 50 mL ABTS buffer [Roche Diagnostics, Almere, The Netherlands]) and stopped with oxalic acid (Sigma-Aldrich, Zwijndrecht, The Netherlands). Fluorescence at 405 nm was measured on a microtiter plate reader 20 (Biotek Instruments, Winooski, USA) and the amount of HER2 was expressed as a percentage relative to untreated cells.

25 The results are shown in Figure 45 which demonstrates that all the tested HER2 x HER2 bispecific antibodies induced ≥40% HER2 downmodulation. Interestingly, all HER2 x HER2 bispecific antibodies demonstrated increased HER2 downmodulation compared to both of their monospecific counterparts.

**Example 44: Colocalization of HER2 x HER2 bispecific antibodies with lysosomal marker LAMP1 analyzed by confocal microscopy**

30 The HER2 downmodulation assay as described in Example 43 indicated that HER2 x HER2 bispecific antibodies were able to increase lysosomal degradation of 35 HER2. To confirm these findings, confocal microscopy technology was applied. AU565 cells were grown glass coverslips (thickness 1.5 micron, Thermo Fisher Scientific, Braunschweig, Germany) in standard tissue culture medium for 3 days at 37°C. Cells were pre-incubated for 1 hour with leupeptin (Sigma) to block lysosomal activity after which 10 µg/mL HER2 monospecific antibodies or HER2 x HER2 bispecific

antibodies were added. The cells were incubated for an additional 3 or 18 hours at 37°C. Hereafter they were washed with PBS and incubated for 30 min. at room temperature with 4% formaldehyde (Klinipath). Slides were washed with blocking buffer (PBS supplemented with 0.1% saponin [Roche] and 2% BSA [Roche]) and 5 incubated for 20 min with blocking buffer containing 20 mM NH<sub>4</sub>Cl to quench formaldehyde. Slides were washed again with blocking buffer and incubated for 45 min at room temperature with mouse-anti-human CD107a (LAMP1) (BD Pharmingen) to stain lysosomes. Following washing with blocking buffer the slides were incubated 10 30 min at room temperature with a cocktail of secondary antibodies; goat-anti-mouse IgG-Cy5 (Jackson) and goat-anti-human IgG-FITC (Jackson). Slides were washed again with blocking buffer and mounted overnight on microscope slides using 20 µL mounting medium (6 gram Glycerol [Sigma] and 2.4 gram Mowiol 4-88 [Omnilabo] was dissolved in 6 mL distilled water to which 12 mL 0.2M Tris [Sigma] pH8.5 was added followed by incubation for 10 min at 50-60°C. Mounting medium 15 was aliquoted and stored at -20°C.). Slides were imaged with a Leica SPE-II confocal microscope (Leica Microsystems) equipped with a 63x 1.32-0.6 oil immersion objective lens and LAS-AF software. To allow for quantification of overlapping pixel intensities, saturation of pixels should be avoided. Therefore the FITC laser intensity was decreased to 10%, smart gain was set at 830 V and smart offset was set at - 20 9.48 %. By using these settings, the bispecific antibodies were clearly visualized without pixel saturation, but the monospecific antibodies were sometimes difficult to detect. To compare lysosomal colocalization between monospecific and bispecific antibodies, these settings were kept the same for all analyzed confocal slides.

12-bit images were analyzed for colocalisation using MetaMorph® software 25 (version Meta Series 6.1, Molecular Devices Inc, Sunnyvale California, USA). FITC and Cy5 images were imported as stacks and background was subtracted. Identical thresholds settings were used (manually set) for all FITC images and all Cy5 images. Colocalisation was depicted as the pixel intensity of FITC in the region of overlap (ROI), were the ROI is composed of all Cy5 positive regions. To compare different 30 slides stained with several HER2 antibodies or HER2 x HER2 bispecific antibodies, the images were normalized using the pixel intensity of Cy5. Goat-anti-mouse IgG-Cy5 was used to stain the lysosomal marker LAMP1 (CD107a). The pixel intensity of LAMP1 should not differ between various HER2 antibodies or the HER2 x HER2 bispecific antibodies tested (one cell had a pixel intensity of Cy5 of roughly 200.000).

Normalized values for colocalization of FITC and Cy5 =

$$[(\text{TPI-FITC} \times \text{percentage FITC-Cy5 colocalization})/100] \times [200.000/\text{TPI-Cy5}]$$

In this formula, TPI stands for Total Pixel Intensity.

5

presents percentage of viable cells, as measured by the FITC pixel intensity overlapping with Cy5 for various monospecific HER2 antibodies and HER2 x HER2 bispecific antibodies. For each antibody or bispecific molecule depicted, three different images were analyzed from one slide containing ~ 1, 3 or >5 cells.

10 Significant variation was observed between the different images within each slide. However, it was evident that all HER2 x HER2 bispecific antibodies demonstrate increased colocalisation with the lysosomal marker LAMP1, when compared with their monospecific counterparts. These results indicate that once internalized, HER2 x HER2 bispecific antibodies are efficiently sorted towards lysosomal compartments,

15 making them suitable for a bispecific antibody drug conjugate approach.

**Example 45: Inhibition of proliferation of AU565 cells upon incubation with HER2 monospecific or HER2 X HER2 bispecific antibodies**

HER2 bispecific antibodies were tested for their ability to inhibit proliferation 20 of AU565 cells *in vitro*. Due to the high HER2 expression levels on AU565 cells (~1.000.000 copies per cell as determined with Qifi-kit), HER2 is constitutively active in these cells and thus not dependent on ligand-induced heterodimerization. In a 96-wells tissue culture plate (Greiner bio-one, Frickenhausen, Germany), 9.000 AU565 cells were seeded per well in the presence of 10 µg/mL HER2 antibody or HER2 x 25 HER2 bispecific antibodies in serum-free cell culture medium. As a control, cells were seeded in serum-free medium without antibody or bispecific antibodies. After three days, the amount of viable cells was quantified with Alamarblue (BioSource International, San Francisco, US) according to the manufacturer's instructions. Fluorescence was monitored using the EnVision 2101 Multilabel reader (PerkinElmer, 30 Turku, Finland) with standard Alamarblue settings. The Alamarblue signal of antibody-treated cells was plotted as a percentage relative to untreated cells.

Figure 47 depicts the fluorescent intensity of Alamarblue of AU565 cells after 35 incubation with HER2 antibodies and HER2XHER2 bispecific antibodies. Herceptin® (trastuzumab) was included as positive control and demonstrated inhibition of proliferation as described by Juntilla TT. et al., *Cancer Cell* 2009; 15: 429-440. All

HER2 x HER2 bispecific antibodies were able to inhibit proliferation of AU565 cells. Bispecific antibodies: IgG1-005-ITL x IgG1-169-K409R and IgG1-025-ITL x IgG1-005-K409R were more effective compared to their monospecific antibody counterparts in this assay.

5

**Example 46: *In vitro* and *in vivo* analysis of FcRn binding by bispecific IgG1 antibodies and hinge-deleted IgG1 bispecific antibodies containing one or two FcRn binding sites in the Fc region.**

The present example illustrates the generation of asymmetrical bispecific molecules, i.e. molecules with different characteristics in each Fab-arm according to the invention.

The neonatal Fc receptor (FcRn) is responsible for the long plasma half-life of IgG by protecting IgG from degradation. After internalization of the antibody, FcRn binds to antibody Fc regions in endosomes, where the interaction is stable in the mildly acidic environment (pH 6.0). Upon recycling to the plasma membrane, where the environment is neutral (pH 7.4), the interaction is lost and the antibody is released back into the circulation. The Fc region of an antibody contains 2 FcRn binding sites, one in each heavy chain at the CH2-CH3 interfaces. An H435A mutation in the Fc region of the antibody abrogates binding to FcRn (Shields, R.L., et al, J Biol Chem, 2001, Firan, M., et al, Int Immunol, 2001) and also the hinge region is thought to influence FcRn binding (Kim, J.K., et al., Mol Immunol., 1995). Furthermore, a role for bivalent over monovalent antibody binding to FcRn has been suggested in efficient recycling (Kim, J.K., et al., Scand J Immunol., 1994).

In this example the influence of FcRn binding valency is evaluated by asymmetric bispecific IgG1 molecules, containing a single FcRn binding site. The additional contribution of the hinge region is evaluated by asymmetric bispecific hinge-deleted IgG1 (Uni-G1) molecules.

FcRn binding of bispecific IgG1 or hinge-deleted IgG1 (Uni-G1) molecules containing no, 1 or 2 FcRn binding sites was measured by human and mouse FcRn ELISA. Antibodies IgG1-2F8-ITL, IgG1-7D8-K409R and IgG1-7D8-K409R-H435A monospecific molecules were produced as described in example 2, 3, 4 and 5. Hinge-deleted IgG1 molecules Uni-G1-2F8-ITL, Uni-G1-7D8-K409R and Uni-G1-7D8-K409R-H435A monospecific molecules were produced as described in example 11. Bispecific IgG1 molecules were generated by 2-MEA induced Fab-arm exchange between IgG1-2F8-ITL and IgG1-7D8-K409R or IgG1-7D8-K409R-H435A molecules.

Bispecific hinge-deleted IgG1 molecules were produced by incubation of Uni-G1-2F8-ITL with Uni-G1-7D8-K409R or Uni-G1-7D8-K409R-H435A. A 3-fold dilution series of monospecific and bispecific IgG1 molecules and hinge-deleted IgG1 molecules were added to biotinylated human- or mouse-FcRn captured on a streptavidin-coated elisa 5 plate followed by incubation at pH 6.0 and 7.4 for 1 hour. Bound antibody and hinge-deleted IgG1 molecules were visualized using horseradishperoxidase-labeled goat-anti-human (Fab')<sub>2</sub> as conjugate and ABTS as substrate. Results were measured as optical density at a wavelength of 405 nm using the EL808-Elisa-reader.

Figure 48 shows the binding results of monovalent or bivalent IgG1 antibodies 10 and hinge-deleted IgG1 molecules to human FcRn (A) and mouse FcRn (B) at pH 6.0 and pH 7.4. As expected, all antibodies tested, both (bispecific) IgG1 and hinge-deleted IgG1 molecules, do not bind efficiently to FcRn (both human and mouse) at pH 7.4. At slightly acidic condition (pH 6.0) monospecific IgG1-2F8-ITL and bispecific IgG1 generated from IgG1-2F8-ITL and IgG1-7D8-K409R show bivalent binding 15 efficiencies to FcRn, albeit for mouse FcRn 3 fold higher compared to human, which mimics the positive control (IgG1-2F8) for FcRn binding. This indicates that the ITL mutation and the K409R do not disturb binding to FcRn.

A clear effect of 2 vs 1 vs 0 FcRn interaction sites can be seen when the 20 binding of the IgG1 molecules to human and mouse FcRn is compared at pH 6.0 (Figure XXA and B, pH6, left panel). IgG1-2F8-ITL, IgG1-7D8-K409R and IgG1-2F8-ITL/IgG1-7D8-K409R (2 FcRn binding sites) bind comparable to the control (IgG1-2F8). The molecules with 0 FcRn binding sites, IgG1-7D8-K409R-H435A show no 25 binding at all. The molecules with 1 FcRn binding site, IgG1-2F8-ITL/IgG1-7D8-K409R-H435A, show intermediate binding when compared to the molecules with 2 FcRn binding sites.

Figure 48(A), pH 6.0, right panel shows the binding to human FcRn of hinge-deleted IgG1 molecules (Uni-G1). All hinge-deleted molecules are impaired in their 30 interaction to human FcRn when compared to the control IgG1 molecules (IgG1-2F8) indicating that the hinge is indeed of influence in the interaction with FcRn when evaluated in an FcRn binding ELISA. No clear effect of 2 vs 1 vs 0 FcRn interaction sites can be seen when the binding to human FcRn at pH6.0 is compared of these hinge-deleted molecules.

However, since the binding of human IgG to mouse FcRn is stronger, a clear 35 effect of 2 vs 1 vs 0 FcRn interaction sites can be seen when the binding of these hinge-deleted IgG molecules to mouse FcRn at pH 6.0 is compared (Figure 48(B), pH

6.0, right panel). The binding of Uni-G1-7D8-K409R-H435A/Uni-G1-2F8-ITL (1 FcRn binding site) is intermediate when compared to the binding of Uni-G1-2F8-ITL, Uni-G1-7D8-409R and Uni-G1-2F8-ITL/Uni-G1-7D8-K409R (2 FcRn binding sites) and Uni-G1-2F8-ITL-H435A (0 FcRn binding sites, no binding).

5

**Example 47: Her2 x CD3 bispecific antibodies tested in an *in vitro* cytotoxicity assay**

CD3 is a co-receptor in the T cell receptor complex expressed on mature T cells. Combination of a CD3 specific antibody Fab-arm with a tumor antigen specific antibody Fab-arm in a bispecific antibody would result in the specific targeting of T cells to tumor cells, leading to T cell mediated tumor cell lysis. Likewise, CD3 positive T cells could be targeted to other derailed cells in the body, to infected cells or directly to pathogens.

Her2 x CD3 bispecific antibodies were generated. Heavy and light chain variable region sequences for the Her2 specific Fab-arm were as indicated for antibody 153 and 169 in Example 42. The following heavy and light chain variable region sequences for the CD3 specific Fab-arm were used:

**YTH12.5** (Sequence as described by Routledge et al., Eur J Immunol. 1991,

21(11):2717-25.)

VH YTH12.5	EVQLLESGGGLVQPGGSLRLSCAASGFTFSSFPMAWVRQAPGKGLEWVSTI STSGGRTYYRDSVKGRFTISRDNSKNTLYLQMNSLRAEDTAVYYCAKFRQY SGGF DYWGQGTLVTVSS
VL YTH12.5	DIQLTQPNSVSTSLGSTVKLSCTLSSGNIENNYYVHWYQLYEGRSPTTMIYDD DKRPDGVPDRFSGSIDRSSNSAFLTIHNVIAEDEAIYFCHSYVSSFNVFGGG TKLTVL

**huCLB-T3/4** (Sequence as described by Parren et al., Res Immunol. 1991,

142(9):749-63. Minor amino acid substitutions were introduced to make the sequence resemble the closest human germline.)

VH huCLB- T3/4	EVQLVESGGGLVKPGGSLRLSCAASGFTFSSYGMFWVRQAPGKGLEWVAT ISRY SRYIYYPDSVKGRFTISRDNAKNSLYLQMNSLRAEDTAVYYCARRPLY GSSPDYWGQGTLVTVSS
VL huCLB- T3/4	EIVLTQSPATLSLSPGERATLSCSASSSVTYVHWYQQKPGQAPRLLIYDT SK LASGIPARFSGSGSGTDFLTISLEPEDFAVYYCFQGSGYPLTFGSGTKLEM R

25

All antibodies were expressed as IgG1,  $\kappa$  being modified in their Fc regions as described as follows: IgG1-Her2-153-K409R and IgG1-Her2-153-N297Q-K409R,

IgG1-Her2-169-K409R, IgG1-hu-CLB-T3/4-F405L and IgG1-hu-CLB-T3/4-N297Q-F405L, IgG1-YTH12.5-F405L and IgG1-YTH12.5-N297Q-F405L.

Bispecific antibodies from these Her2 and CD3 specific antibodies were generated as described in Example 11 and tested in an *in vitro* cytotoxicity assay 5 using AU565 cells.

AU565 cells were cultured to near confluence. Cells were washed twice with PBS, and trypsinized for 5 minutes at 37°C. 12 mL culture medium was added to inactivate trypsin and cells were spun down for 5 min, 800 rpm. Cells were resuspended in 10 mL culture medium and a single cell suspension was made by 10 passing the cells through a cellstrainer. 100 µL of a 5x10<sup>5</sup> cells/mL suspension was added to each well of a 96-well culture plate, and cells were incubated at least 3 hrs at 37°C, 5% CO<sub>2</sub> to allow adherence to the plate.

Peripheral blood mononuclear cells (PBMC) were isolated from blood from healthy volunteers using Leucosep 30 mL tubes, according to the manufacturer's 15 protocol (Greiner Bio-one). T cells were isolated from PBMC preparations by negative selection using the Untouched Human T-cells Dynabead kit (Dynal). Isolated cells were resuspended in culture medium to a final concentration of 7x10<sup>6</sup> cells/mL.

Culture medium was removed from the adhered AU565 cells, and replaced with 50 µL/well 2x concentrated antibody-dilution and 50 µL/well 7x10<sup>6</sup> T cells/mL 20 (ratio effector:target = 7:1). Plates were incubated for 3 days at 37°C, 5% CO<sub>2</sub>. Supernatants were removed and plates were washed twice with PBS. To each well 150 µL culture medium and 15 µL Alamar blue was added. Plates were incubated for 4 hours at 37°C, 5% CO<sub>2</sub>, and absorbance was measured (Envision, Perkin Elmer).

Figure 49 shows that whereas control antibodies (Her2 monospecific IgG1- 25 Herceptin, CD3 monospecific IgG1-YTH12.5 and monospecific IgG1-huCLB-T3/4, irrelevant antigen monospecific IgG1-b12, and CD3 x b12 bispecific antibodies) did not induce T cell mediated cytotoxicity, bispecific (Duo) Her2 x CD3 antibodies huCLB/Her2-153, huCLB/Her2-169, YTH12.5/Her2-153 and YTH12.5/Her2-169 induced dose dependent T cell mediated cytotoxicity of AU565 cells. Bispecific 30 antibodies containing Her2-169 were more potent than those containing Her2-153.

Mutants of IgG1-hu-CLB-T3/4, IgG1-YTH12.5 and Her2-153 were made containing a N297Q mutation to remove a glycosylation site; glycosylation at this site is critical for IgG-Fc gamma receptor interactions (Bolt S et al., *Eur J Immunol* 1993, 23:403-411). Figure 49 shows that N297Q mutation and therefore absence of Fc 35 glycosylation of Her2 x CD3 bispecific antibodies YTH12.5/Her2-153 and

huCLB/Her2-153 did not impact the potential to induce dose dependent T cell mediated cytotoxicity of AU565 cells.

**CLAIMS**

1. An in vitro method for generating a heterodimeric protein, said method comprising the following steps:

5

a) providing a first homodimeric protein comprising an Fc region of an immunoglobulin, said Fc region comprising a first CH3 region,

10

b) providing a second homodimeric protein comprising an Fc region of an immunoglobulin, said Fc region comprising a second CH3 region,

15

wherein the sequences of said first and second CH3 regions are different and are such that the heterodimeric interaction between said first and second CH3 regions is stronger than each of the homodimeric interactions of said first and second CH3 regions,

20  
c) incubating said first protein together with said second protein under reducing conditions sufficient to allow the cysteines in the hinge region to undergo disulfide-bond isomerization, and

20  
d) obtaining said heterodimeric protein.

25

2. The in vitro method according to claim 1, wherein said first homodimeric protein and said second homodimeric protein are selected from the group consisting of (i) an Fc region, (ii) an antibody, (iii) a fusion protein comprising an Fc region, and (iv) a Fc region conjugated to a prodrug, peptide, drug or a toxin.

3. The in vitro method according to claim 1, wherein said first homodimeric protein is a full-length antibody.

30

4. The in vitro method according to any one of the preceding claims, wherein said second homodimeric protein is a full-length antibody.

5. The in vitro method according to any one of the preceding claims, wherein said first and second homodimeric proteins are both antibodies and bind different epitopes.

6. The in vitro method according to any one of the preceding claims, wherein the Fc region of the first homodimeric protein is of an isotype selected from the group consisting of IgG1, IgG2, IgG3 and IgG4 and wherein the Fc region of the second homodimeric protein is of an isotype selected from the group consisting of IgG1, 5 IgG2, IgG3 and IgG4.

7. The in vitro method according to any one of the preceding claims, wherein the Fc regions of both said first and said second homodimeric protein are of the IgG1 isotype.

8. The in vitro method according to any one of the preceding claims, wherein 10 one of the Fc regions of said homodimeric proteins is of the IgG1 isotype and the other of the IgG4 isotype.

9. The in vitro method according to any one of the preceding claims, wherein the increased strength of the heterodimeric interaction as compared to each of the homodimeric interactions is due to CH3 modifications other than the introduction of 15 covalent bonds, cysteine residues or charged residues.

10. The in vitro method according to any one of the preceding claims, wherein the heterodimeric interaction between said first and second proteins in the resulting heterodimeric protein is such that no Fab-arm exchange can occur at 0.5 mM GSH under the conditions described in Example 13.

20 11. The in vitro method according to any one of the preceding claims, wherein the heterodimeric interaction between said first and second proteins in the resulting heterodimeric protein is such that no Fab-arm exchange occurs in vivo in mice under the conditions described in Example 14.

25 12. The in vitro method according to any one of the preceding claims, wherein the heterodimeric interaction between said first and second proteins in the resulting heterodimeric protein is more than two times stronger, such as more than three times stronger, e.g. more than five times stronger than the strongest of the two homodimeric interactions, e.g. when determined as described in Example 30.

30 13. The in vitro method according to any one of the preceding claims, wherein the sequences of said first and second CH3 regions are such that the dissociation constants of the heterodimeric interaction between said first and second proteins in

the resulting heterodimeric protein is below 0.05 micromolar when assayed as described in Example 30.

14. The in vitro method according to any one of the preceding claims, wherein the sequences of said first and second CH3 regions are such that the dissociation 5 constants of both homodimeric interactions are above 0.01 micromolar, such as above 0.05 micromolar, preferably between 0.01 and 10 micromolar, such as between 0.05 and 10 micromolar, more preferably between 0.01 and 5, such as between 0.05 and 5 micromolar, even more preferably between 0.01 and 1 micromolar, such as between 0.05 and 1 micromolar, between 0.01 and 0.5 or 10 between 0.01 and 0.1 micromolar when assayed as described in Example 21.

15. The in vitro method according to any one of the preceding claims, wherein the sequences of said first and second CH3 regions contain amino acid substitutions at non-identical positions.

16. The in vitro method according to claim 15, wherein the amino acid 15 substituents are natural amino acids or unnatural amino acids.

17. The in vitro method according to any one of the preceding claims, wherein said first homodimeric protein has no more than one amino acid substitution in the CH3 region, and the second homodimeric protein has no more than one amino acid substitution in the CH3 region relative to the wild-type CH3 regions.

20 18. The in vitro method according to any one of the preceding claims 1 to 16, wherein said first homodimeric protein has an amino acid substitution at a position selected from the group consisting of: 366, 368, 370, 399, 405, 407 and 409, and said second homodimeric protein has an amino acid substitution at a position selected from the group consisting of: 366, 368, 370, 399, 405, 407 and 409, and 25 wherein said first homodimeric protein and said second homodimeric protein is not substituted in the same positions.

19. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino acid substitution 30 at a position selected from the group consisting of: 366, 368, 370, 399, 405 and 407.

20. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino acid other than Phe at position 405.

5 21. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino acid other than Phe, Arg or Gly at position 405.

10 22. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein comprises a Phe at position 405 and an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein comprises an amino acid other than Phe at position 405 and a Lys at position 409.

15 23. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein comprises a Phe at position 405 and an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein comprises an amino acid other than Phe, Arg or Gly at position 405 and a Lys at position 409.

20 24. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein comprises a Phe at position 405 and an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein comprises a Leu at position 405 and a Lys at position 409.

25 25. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein comprises a Phe at position 405 and an Arg at position 409 and said second homodimeric protein comprises an amino acid other than Phe, Arg or Gly at position 405 and a Lys at position 409.

26. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein comprises Phe at position 405 and an Arg at position 409 and said second homodimeric protein comprises a Leu at position 405 and a Lys at position 409.

30 27. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein comprises an amino acid other than Lys, Leu

or Met at position 409 and said second homodimeric protein comprises a Lys at position 409, a Thr at position 370 and a Leu at position 405.

28. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein comprises an Arg at position 409 and said second homodimeric protein comprises a Lys at position 409, a Thr at position 370 and a Leu at position 405.

29. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein comprises a Lys at position 370, a Phe at position 405 and an Arg at position 409 and said second homodimeric protein comprises a Lys at position 409, a Thr at position 370 and a Leu at position 405.

30. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino acid other than Tyr, Asp, Glu, Phe, Lys, Gln, Arg, Ser or Thr at position 407.

15 31. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an Ala, Gly, His, Ile, Leu, Met, Asn, Val or Trp at position 407.

20 32. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has a Gly, Leu, Met, Asn or Trp at position 407.

25 33. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has a Tyr at position 407 and an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino acid other than Tyr, Asp, Glu, Phe, Lys, Gln, Arg, Ser or Thr at position 407 and a Lys at position 409.

30 34. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has a Tyr at position 407 and an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has

an Ala, Gly, His, Ile, Leu, Met, Asn, Val or Trp at position 407 and a Lys at position 409.

35. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has a Tyr at position 407 and an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has a Gly, Leu, Met, Asn or Trp at position 407 and a Lys at position 409.

36. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has a Tyr at position 407 and an Arg at position 409 and said second homodimeric protein has an amino acid other than Tyr, Asp, Glu, Phe, Lys, Gln, Arg, Ser or Thr at position 407 and a Lys at position 409.

37. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has a Tyr at position 407 and an Arg at position 409 and said second homodimeric protein has an Ala, Gly, His, Ile, Leu, Met, Asn, Val or Trp at position 407 and a Lys at position 409.

38. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has a Tyr at position 407 and an Arg at position 409 and said second homodimeric protein has a Gly, Leu, Met, Asn or Trp at position 407 and a Lys at position 409.

39. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409, and the second homodimeric protein has

- (i) an amino acid other than Phe, Leu and Met at position 368, or
- (ii) a Trp at position 370, or
- (iii) an amino acid other than Asp, Cys, Pro, Glu or Gln at position 399.

40. The in vitro method according to any one of the preceding claims 1 to 18, wherein said first homodimeric protein has an Arg, Ala, His or Gly at position 409, and the second homodimeric protein has

- (i) a Lys, Gln, Ala, Asp, Glu, Gly, His, Ile, Asn, Arg, Ser, Thr, Val, or Trp at position 368, or

- (ii) a Trp at position 370, or
- (iii) an Ala, Gly, Ile, Leu, Met, Asn, Ser, Thr, Trp, Phe, His, Lys, Arg or Tyr at position 399.

41. The in vitro method according to any one of the preceding claims 1 to 18,  
5 wherein said first homodimeric protein has an Arg at position 409, and the second  
homodimeric protein has

- (i) an Asp, Glu, Gly, Asn, Arg, Ser, Thr, Val, or Trp at position 368, or
- (ii) a Trp at position 370, or
- (iii) a Phe, His, Lys, Arg or Tyr at position 399.

10 42. The in vitro method according to any one of the preceding claims, wherein  
said first and second CH3 regions, except for the specified mutations, comprise the  
sequence set forth in SEQ ID NO:1.

15 43. The in vitro method according to any one of the preceding claims, wherein  
neither said first nor said second homodimeric protein comprises a Cys-Pro-Ser-Cys  
sequence in the hinge region.

44. The in vitro method according to any one of the preceding claims, wherein  
both said first and said second homodimeric protein comprise a Cys-Pro-Pro-Cys  
sequence in the hinge region.

20 45. The in vitro method according to any one of the preceding claims, wherein  
said first and second homodimeric proteins, except for any specified mutations, are  
human antibodies.

46. The in vitro method according to any one of the preceding claims, wherein  
said first and second homodimeric proteins are heavy-chain antibodies.

25 47. The in vitro method according to any one of the preceding claims 1 to 46,  
wherein both said first and said second homodimeric proteins further comprise a light  
chain.

48. The in vitro method according to claim 47, wherein said light chains are different.

49. The in vitro method according to any one of the preceding claims, wherein said first and/or said second homodimeric protein comprises a mutation removing 5 the acceptor site for Asn-linked glycosylation.

50. The in vitro method according to any one of the preceding claims, wherein said first and second homodimeric proteins provided in step a) and b) are purified.

51. The in vitro method according to any one of the preceding claims, wherein said first and/or second homodimeric protein is conjugated to a drug, a prodrug or a 10 toxin or contains an acceptor group for the same.

52. The in vitro method according to any one of the preceding claims 4 to 51, wherein said first and/or said second epitope is located on a tumor cell.

53. The in vitro method according to any one of the preceding claims 4 to 52, wherein said first or said second epitope is located on a tumor cell and the other 15 epitope is located on an effector cell.

54. The in vitro method according to any one of the preceding claims 4 to 53, wherein the epitope is located on a T cell, such as on CD3 expressed on a T cell.

55. The in vitro method according to any one of the preceding claims 4 to 52, wherein said first or said second epitope is located on a tumor cell and the other 20 epitope is located on a radioisotope, a toxin, a drug or a prodrug, which may optionally be coupled or linked to a peptide or hapten.

56. The in vitro method according to any one of the preceding claims 4 to 52, wherein said first or said second epitope is located on a tumor cell and the other epitope is located on an electron dense vesicle or minicell.

25 57. The in vitro method according to any one of the preceding claims 1 to 52, wherein the homodimeric proteins are both antibodies, and wherein the first antibody and the second antibody binds to different epitopes on the same tumor cell.

58. The in vitro method according to any one of the preceding claims 1 to 52, wherein the homodimeric proteins are both antibodies, and wherein the first antibody

binds to an epitope on a tumor cell, and the other antibody is an irrelevant or inactive antibody without any relevant in vivo binding activity for the application intended.

5. 59. The in vitro method according to any one of the preceding claims, wherein the reducing conditions in step c) comprise the addition of a reducing agent, e.g. a reducing agent selected from the group consisting of: 2-mercaptopethylamine, dithiothreitol and tris(2-carboxyethyl)phosphine or chemical derivatives thereof.

10. 60. The in vitro method according to any one of the preceding claims, wherein step c) is performed under reducing conditions with a redox potential between -150 and -600 mV, such as between -250 and -400 mV.

15. 61. The in vitro method according to any one of the preceding claims, wherein step c) comprises incubation for at least 90 min at a temperature of at least 20°C in the presence of at least 25 mM 2-mercaptopethylamine or in the presence of at least 0.5 mM dithiothreitol.

62. 62. The in vitro method according to any one of the preceding claims, wherein step d) comprises removal of a reducing agent, e.g. by desalting.

63. 63. A method for the selection of a bispecific antibody having a desired property, said method comprising the steps of:

20. a) providing a first set of homodimeric antibodies comprising antibodies with different variable regions, wherein said antibodies of said first set comprise identical first CH3 regions,

25. b) providing a second set of homodimeric antibodies comprising antibodies with different variable regions or identical variable regions, wherein said antibodies of said second set comprise identical second CH3 regions,

30. wherein the sequences of said first and second CH3 regions are different and are such that the heterodimeric interaction between said first and second CH3 regions is stronger than each of the homodimeric interactions of said first and second CH3 regions,

c) incubating combinations of antibodies of said first set and of said second set under reducing conditions sufficient to allow the cysteines in the hinge region to undergo disulfide-bond isomerization, thus generating a set of bispecific antibodies,

5

d) optionally restoring the conditions to non-reducing,

e) assaying the resulting set of bispecific antibodies for a given desired property, and

10

f) selecting a bispecific antibody having the desired property.

64. A method of claim 63, wherein the homodimeric antibodies of the second set have different variable regions.

15 65. A method of claim 63, wherein the homodimeric antibodies of the second set have identical variable regions, but have different amino acid or structural variations outside of the antigen binding region.

66. A method for producing a heterodimeric protein, said method comprising the following steps:

20 a) providing a first nucleic-acid construct encoding a first polypeptide comprising a first Fc region of an immunoglobulin, said first Fc region comprising a first CH3 region,

25 b) providing a second nucleic-acid construct encoding a second polypeptide comprising a second Fc region of an immunoglobulin, said second Fc region comprising a first CH3 region,

30 wherein the sequences of said first and second CH3 regions are different and are such that the heterodimeric interaction between said first and second CH3 regions is stronger than each of the homodimeric interactions of said first and second CH3 regions, and

wherein said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino-

acid substitution at a position selected from the group consisting of: 366, 368, 370, 399, 405 and 407.

and/or

wherein the sequences of said first and second CH3 regions are such that the  
5 dissociation constants of homodimeric interactions of each of the CH3 regions  
are between 0.01 and 10 micromolar, such as between 0.05 and 10  
micromolar, more preferably between 0.01 and 5, such as between 0.05 and  
5 micromolar, even more preferably between 0.01 and 1 micromolar, such as  
between 0.05 and 1 micromolar, between 0.01 and 0.5 or between 0.01 and  
10 0.1 when assayed as described in Example 21.

- c) co-expressing said first and second nucleic-acid constructs in a host cell, and
- d) obtaining said heterodimeric protein from the cell culture.

15 67. The method according to claim 66, wherein

said first CH3 region has an amino acid other than Lys, Leu or Met at position  
409 and said second CH3 region has an amino acid other than Phe at position  
405, such as other than Phe, Arg or Gly at position 405

or

20 said first CH3 region has an amino acid other than Lys, Leu or Met at position  
409 and said second CH3 region has an amino acid other than Tyr, Asp, Glu,  
Phe, Lys, Gln, Arg, Ser or Thr at position 407.

25 68. The method according to claim 66 or 67, wherein said first and second  
polypeptides are full-length heavy chains of two antibodies that bind different  
epitopes.

69. The method according to any one of preceding claims 66 to 68, wherein step  
c) further comprises co-expressing one or more nucleic-acid constructs encoding a  
light-chain in said host cell.

70. The method according to any of the preceding claims 66 to 69, further comprising the features of any one or more of claims 2 to 58.

71. An expression vector comprising the nucleic-acid constructs specified in any one of claims 66 to 70.

5 72. A host cell comprising the nucleic-acid constructs specified in any one of claims 66 to 70.

73. A heterodimeric protein obtained or obtainable by the method of any one of the preceding claims 1 to 72.

10 74. A heterodimeric protein comprising a first polypeptide comprising a first Fc region of an immunoglobulin, said first Fc region comprising a first CH3 region, and a second polypeptide comprising a second Fc region of an immunoglobulin, said second Fc region comprising a second CH3 region, wherein the sequences of said first and second CH3 regions are different and are such that the heterodimeric interaction between said first and second CH3 regions is stronger than each of the homodimeric interactions of said first and second CH3 regions, and

15 wherein said first homodimeric protein has an amino acid other than Lys, Leu or Met at position 409 and said second homodimeric protein has an amino-acid substitution at a position selected from the group consisting of: 366, 368, 370, 399, 405 and 407

20 and/or

25 wherein the sequences of said first and second CH3 regions are such that the dissociation constants of homodimeric interactions of each of the CH3 regions are between 0.01 and 10 micromolar, such as between 0.05 and 10 micromolar, more preferably between 0.01 and 5, such as between 0.05 and 5 micromolar, even more preferably between 0.01 and 1 micromolar, such as between 0.05 and 1 micromolar, between 0.01 and 0.5 or between 0.01 and 0.1 when assayed as described in Example 21.

75. The heterodimeric protein according to claim 73, wherein

said first CH3 region has an amino acid other than Lys, Leu or Met at position 409 and said second CH3 region has an amino acid other than Phe at position 405, such as other than Phe, Arg or Gly, at position 405

or

5 said first CH3 region has an amino acid other than Lys, Leu or Met at position 409 and said second CH3 region has an amino acid other than Tyr, Asp, Glu, Phe, Lys, Gln, Arg, Ser or Thr at position 407.

10 76. The heterodimeric protein according to claim 74 or 75, wherein said first and second polypeptides are full-length heavy chains of two antibodies that bind different epitopes.

77. The heterodimeric protein according to claim 76, further comprising two full-length light chains.

78. The heterodimeric protein according to any one of the preceding claims 73 to 77, further comprising the features of any one or more of claims 2 to 58.

15 79. The heterodimeric protein according to any one of claims 74 to 78 for use as a medicament.

80. The heterodimeric protein according to claim 79 for use in the treatment of cancer.

20 81. A pharmaceutical composition comprising a heterodimeric protein according to any one of claims 74 to 78 and a pharmaceutically-acceptable carrier.

82. A method for inhibiting growth and/or proliferation and/or for killing of tumor cells comprising administration of a heterodimeric protein according to any one of claims 74 to 78 to an individual in need thereof.