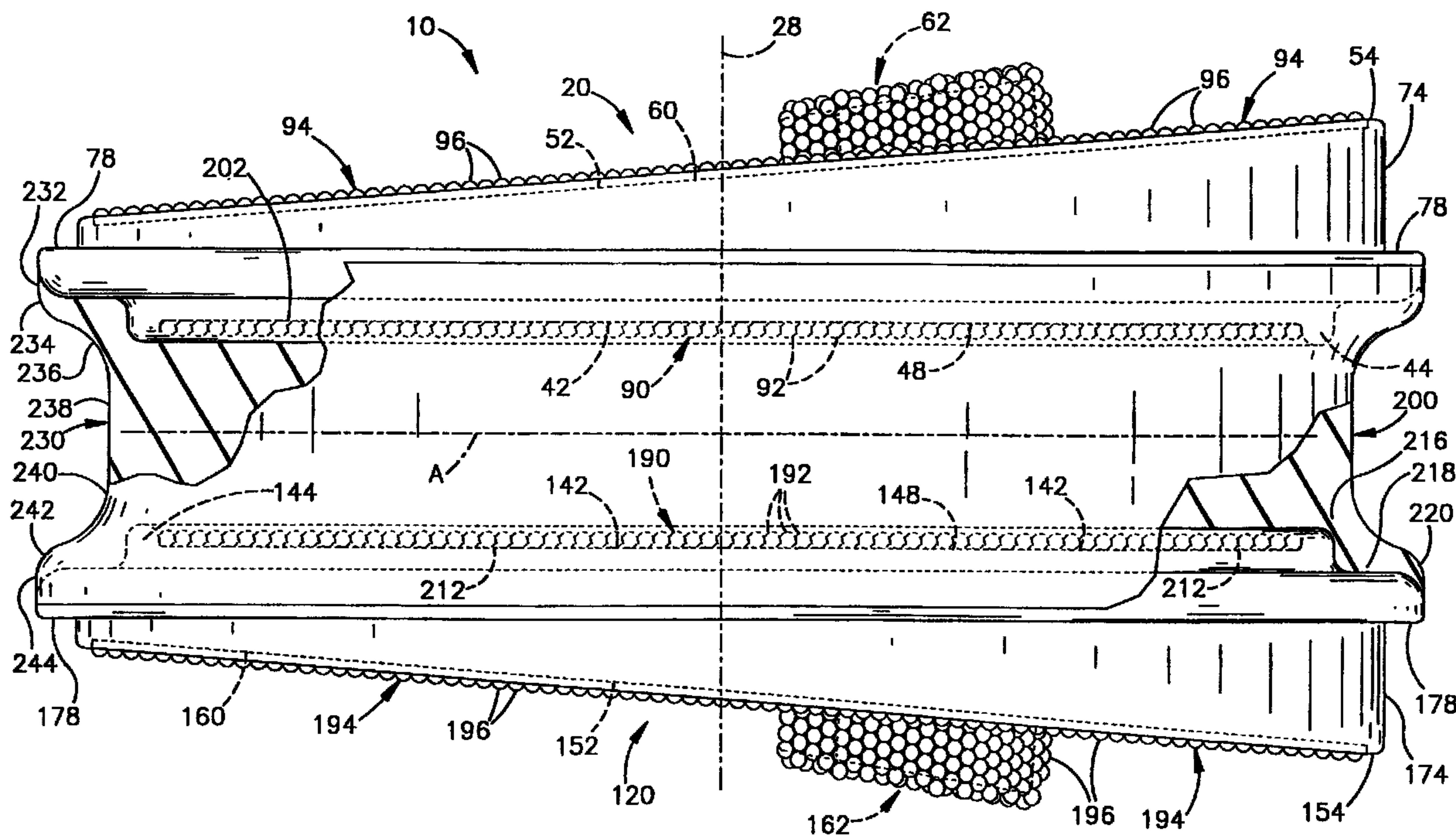




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(57) Abrégé/Abstract:

A spinal disc prosthesis (10) to replace a damaged spinal disc in a spinal column (16) of a human, includes an elastomeric core (200) having upper and lower surfaces (202, 212) which are parallel to each other. An upper rigid plate (20) has opposed first and second surfaces (42, 52). The first surface (42) is affixed to the upper surface (202) of the elastomeric core. The second surface (52) is inclined relative to the first surface (42). A lower rigid plate (120) has opposed third and fourth surfaces (142, 152). The third surface (142) is affixed to the lower surface (212) of the elastomeric core (200) and extends parallel to the first surface (42). The fourth surface (152) is inclined relative to the third surface (142). The second and fourth surfaces (52, 152) are inclined relative to each other.



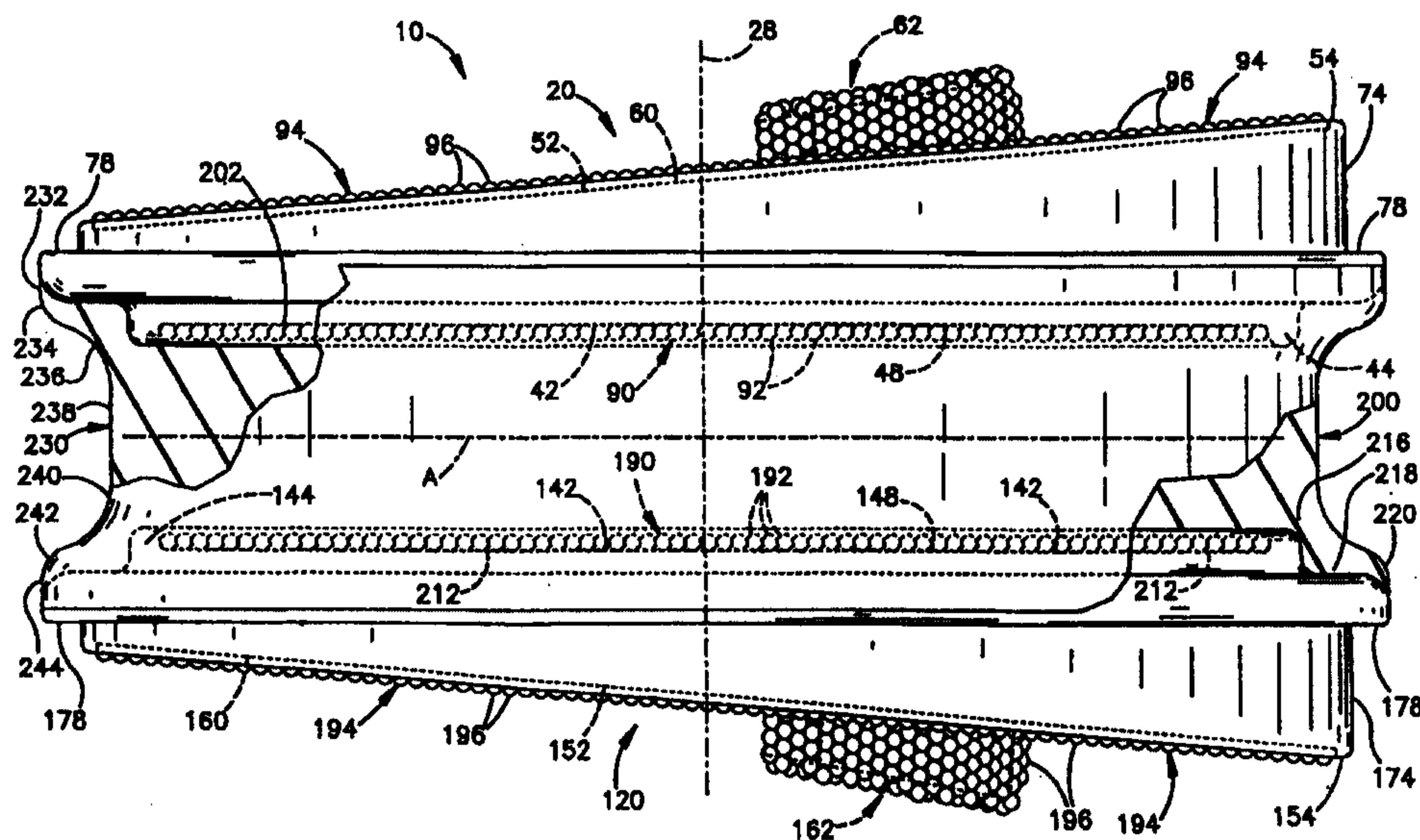
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(54) Title: SPINAL DISC PROSTHESIS



(57) Abstract

A spinal disc prosthesis (10) to replace a damaged spinal disc in a spinal column (16) of a human, includes an elastomeric core (200) having upper and lower surfaces (202, 212) which are parallel to each other. An upper rigid plate (20) has opposed first and second surfaces (42, 52). The first surface (42) is affixed to the upper surface (202) of the elastomeric core. The second surface (52) is inclined relative to the first surface (42). A lower rigid plate (120) has opposed third and fourth surfaces (142, 152). The third surface (142) is affixed to the lower surface (212) of the elastomeric core (200) and extends parallel to the first surface (42). The fourth surface (152) is inclined relative to the third surface (142). The second and fourth surfaces (52, 152) are inclined relative to each other.

SPINAL DISC PROSTHESIS

Background and Summary of the Invention

The present invention relates to a spinal disc prosthesis to replace a damaged or degenerated spinal disc in a spinal column of a human.

5 United States Patent Nos. 5,017,437 and 5,534,030 disclose typical spinal disc prostheses to replace a damaged or degenerated spinal disc in a spinal column of a human. The discs disclosed in these patents include a pair of rigid plates adhered to opposite surfaces of a body of elastomeric material. In U.S. Patent No. 5,534,030, the opposite surfaces of the body of elastomeric material to which the rigid plates are adhered extend at an angle to each other as they extend across the disc. The rigid plates which are adhered to the elastomeric material are not wedge-shaped, but the spinal disc comprising the elastomeric core and the rigid plates is generally wedge-shaped.

The disc when in use is positioned between adjacent vertebrae, and the rigid plates have bone ingrowth material

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for enabling bone to adhere or fuse to the rigid plates. The disc is subject to forces which act in the spine including compression forces due to loads on the spine, shear forces due to bending of the spine, and torsional forces due to twisting of the spine. These forces can be applied simultaneously to the disc. These forces may cause the rigid plates to separate from the body of elastomeric material. Such separation would be detrimental to the proper functioning of the disc.

Also, it is desirable to control relative displacement of the rigid plates when in use to minimize the possibility of spinal instability. An excessive amount of relative displacement would not be desirable.

It has been discovered that the maximum forces acting on a spinal disc, and particularly the maximum forces tending to separate the rigid plates from the body of elastomeric material, can be reduced and the relative displacement of the rigid plates can be effectively controlled by constructing the spinal disc so that the disc comprises the following:

1. an elastomeric core having upper and lower surfaces which are parallel to each other,
2. an upper rigid plate having a first surface affixed to the upper surface of the core and a second surface for adherence to a vertebra, which second surface is inclined relative to the first surface, and

3. a lower rigid plate having a third surface
affixed to the lower surface of the core and a
fourth surface for adherence to a vertebra,
which fourth surface is inclined relative to
5 the third surface,
4. the second and fourth surfaces being inclined
relative to each other to give the disc a wedge
shape.

When the spinal disc is in use between adjacent
10 vertebrae, the second surface is inclined away from the
first surface as the second surface extends from a
posterior portion of the spinal disc toward an anterior
portion of the spinal disc. Also, the fourth surface is
inclined away from the third surface as the fourth
15 surface extends from the posterior portion of the spinal
disc toward the anterior portion of the spinal disc.

SUMMARY OF THE INVENTION

In some aspects, there is provided a spinal disc
prosthesis for replacing a damaged spinal disc in a
20 spinal column of a human, the prosthesis comprising: an
elastomeric core having generally parallel upper and
lower surfaces; an upper plate having an inner surface
permanently coupled to the upper surface of the core and
an outer surface; a lower plate having an inner surface
25 permanently coupled to the lower surface of the core and
an outer surface, the inner surface of the upper plate
and the inner surface of the lower plate are generally
parallel relative to one another and the outer surface of
the upper plate and the outer surface of the lower plate
30 are angled relative to the upper and lower surfaces of
the core.

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In some aspects, there is provided a spinal disc prosthesis for replacing a damaged spinal disc in a spinal column of a human, the prosthesis comprising: an elastomeric core having upper and lower surfaces positioned to lie generally parallel to one another; an upper plate permanently coupled to the upper surface of the core, the upper plate having an outer surface inclined relative to the upper surface of the core; and a lower plate permanently coupled to the lower surface of the core, the lower plate having an outer surface inclined relative to the lower surface of the core.

In some aspects, there is provided a spinal disc prosthesis to replace a damaged spinal disc in a spinal column of a human, said spinal disc prosthesis comprising: an elastomeric core having upper and lower surfaces which are parallel to each other; an upper rigid plate, said upper rigid plate having opposed first and second surfaces, said first surface being permanently affixed to said upper surface of said elastomeric core, said second surface being inclined relative to said first surface; and a lower rigid plate, said lower rigid plate having opposed third and fourth surfaces, said third surface being permanently affixed to said lower surface of said elastomeric core and extending parallel to said first surface, said fourth surface being inclined relative to said third surface, said second and fourth surfaces being inclined relative to each other.

In some aspects, there is provided a spinal disc prosthesis to replace a damaged spinal disc between first and second vertebrae having anterior and posterior facing portions in a spinal column of a human, said spinal disc comprising: an elastomeric core having upper and lower

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surfaces which are parallel to each other; an upper plate, said upper rigid plate having opposite first and second surfaces, said first surface being permanently affixed to said upper surface of said elastomeric core and said second surface being positionable adjacent said first vertebrae and being inclined away from said first surface as said second surface extends from a posterior portion of said spinal disc toward an anterior portion of said spinal disc; and a lower rigid plate, said lower rigid plate having opposed third and fourth surfaces, said third surface being permanently affixed to said lower surface of said elastomeric core and extending parallel to said first surface, said fourth surface being positionable adjacent said second vertebra, said fourth surface being inclined away from said third surface as said fourth surface extends from said posterior portion of said spinal disc toward said anterior portion of said spinal disc.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other features of the present invention will become more apparent to one skilled in the art upon reading the following description of a preferred embodiment with reference to the accompanying drawings, wherein:

FIG. 1 is an elevational view of a human spinal column having a spinal disc in accordance with the present invention between adjacent vertebrae of the spinal column;

FIG. 2 is a top perspective view of the spinal disc of FIG. 1;

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Fig. 3 is a bottom plan view of the spinal disc of Fig. 1;

Fig. 4 is an elevational view, partly in section, of the spinal disc of Fig. 1, taken generally along line 4-4 of Fig. 3;

Fig. 5 is a sectional view of the spinal disc of Fig. 1, taken generally along line 5-5 of Fig. 3 and with parts removed; and

Fig. 6 is an enlarged view of a portion of Fig. 4.

10 Description of a Preferred Embodiment

The present invention relates to an artificial spinal disc prosthesis to replace a damaged or degenerated spinal disc in a spinal column of a human. As representative of the present invention, Fig. 1 illustrates a spinal disc prosthesis, i.e. spinal disc 10. The spinal disc 10 is illustrated in use between adjacent upper and lower vertebrae 12 and 14 of a human spinal column 16. The vertebrae 12 and 14 have portions which face anteriorly (to the right as viewed in Fig. 1) and portions which face posteriorly (to the left as viewed in Fig. 1).

20 The disc 10 comprises a first or upper rigid plate 20, a second or lower rigid plate 120, and an elastomeric core 200 interposed between and adhered to the two plates. The upper and lower plates 20 and 120 are identical to each other, and the disc 10 is symmetrical about an anterior-posterior, horizontally extending plane A (Fig. 4) and is also symmetrical about a sagittal plane B (Fig. 3). The

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terms "upper" and "lower" are used herein with reference to the orientation of the disc 10 when it is implanted in the human body as illustrated in Fig. 1, to distinguish the two identical plates for reference purposes.

5 The upper plate 20 (Fig. 5) is rigid and is preferably made of a biocompatible metal such as a titanium-vanadium-aluminum alloy having about 90% by weight titanium, about 6% by weight aluminum and about 4% by weight vanadium. Alternatively, the upper plate 20 can be made of any
10 suitable biocompatible material, including but not limited to a composite plastic material. The upper plate 20 is preferably milled out of a single block of metal. The upper plate 20 could, however, be made in a different manner, for example, by casting.

15 The upper plate 20 has an anterior portion 22 and a posterior portion 24. The anterior portion 22 of the upper plate 20 is that portion of the upper plate which is disposed anteriorly in the spine 16 when the disc 10 is implanted in the spine. The posterior portion 24 of the
20 upper plate 20 is that portion of the upper plate which is disposed posteriorly in the spine 16 when the disc 10 is implanted in the spine. The anterior portion of the upper plate can be said to be located generally on one side (to the right as viewed in Fig. 5) of an axis 28 of the disc
25 10; the posterior portion of the upper plate can be said to be located generally on the other side (to the left as viewed in Fig. 6) of the axis 28. The axis 28 extends

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through the disc between the upper and lower plates 20 and 120. The axis 28 extends generally along the length of the spinal column 16 when the disc 10 is implanted in the spinal column.

5 The configuration of the disc 10 (as viewed in plan) is designed to conform generally to the shape of a natural human spinal disc. The perimeter 30 (Fig. 3) of the disc 10 has a flat posterior portion 32. The perimeter 30 of
10 the disc 10 has a curved convex portion 34 which extends between opposite ends 36 and 38 of the flat portion 32 of the perimeter. The perimeter 30 of the disc 10, including the perimeters of the core 200 and of the plates 20 and 120, does not have any outwardly projecting lobes. The
15 perimeter of the core 200 has the same configuration (as viewed in plan) as the perimeter of the upper and lower plates 20 and 120.

 The upper plate 20 has an inner major side surface 40 which is presented downward as viewed in Fig. 5. The inner major side surface 40 includes all of the surface area of
20 the upper plate 20 which is visible from below (in plan) as viewed in Fig. 5. The inner major side surface 40 of the upper plate 20 includes a planar first surface 42 of the upper plate which extends perpendicular to the axis 28. The area of the first surface 42 is at least 65% or more of
25 the area of the inner major side surface 40 as viewed in plan, that is, with all points on the inner major side surface 40 viewed in a direction parallel to the axis 28.

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Preferably, the area of the first surface 42 is 75% of the area of the inner major side surface 40.

The first surface 42 is circumscribed by a first rim 44 of the upper plate 20. The first rim 44 has a generally semi-cylindrical cross-sectional configuration as shown in Fig. 5 including an arcuate outer surface 46. The outer surface 46 on the first rim 44, and the first surface 42, together define a shallow cavity or recess 48 in the inner major side surface 40 of the upper plate 20. The first surface 42 forms the bottom of the recess 48. The outer surface 46 on the first rim 44 forms a part of the inner major side surface 40 of the upper plate 20.

The upper plate 20 has an outer major side surface 50 which is presented upward as viewed in Fig. 5. The outer major side surface 50 includes all of the surface area of the upper plate 20 which is visible from above (in plan) as viewed in Fig. 5.

The outer major side surface 50 includes a planar second surface 52 of the upper plate 20. The second surface 52 is circumscribed by a second rim 54 of the upper plate 20. The area of the second surface 52 is greater than the area of the first surface 42. The area of the second surface 52 is 85% or more, and preferably 92%, of the area of the inner major side surface 40.

The second rim 54 is located outward of (as viewed in plan) the first rim 44. The second rim 54 has an inner surface 56, which extends perpendicular to the second

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surface 52 and extends entirely around the upper plate 20, and a curved outer surface 58. The inner surface 56 of the second rim 54, and the second surface 52, together define a shallow cavity or recess 60 in the outer major side surface 50 of the upper plate 20. The second surface 52 forms the bottom of the recess 60.

The distance by which the second rim 54 projects from the second surface 52 is less than the distance by which the first rim 44 projects from the first surface 42. Thus, the recess 60 in the outer major side surface 50 of the upper plate 20 is shallower than the recess 48 in the inner major side surface 40 of the upper plate.

The second surface 52 of the upper plate 20 is inclined relative to the first surface 42 of the upper plate. The second surface 52 is inclined at an angle in the range of from about 1.5° to about 7.5° relative to the first surface 42. In the illustrated preferred embodiment, the second surface 52 is inclined at an angle of 5° relative to the first surface 42. In another preferred embodiment, not illustrated, the second surface 52 is inclined at an angle of 2.5° relative to the first surface 42.

The first and second surfaces 42 and 52 are oriented relative to each other so that they are closest together at the posterior portion 24 of the upper plate 20, and farthest apart at the anterior portion 22 of the upper plate. The second surface 52 is inclined away from the

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first surface 42 as the second surface 52 extends from the posterior to the anterior of the disc 10. Thus, the first and second surfaces 42 and 52 diverge as they extend from the posterior portion 24 of the upper plate 20 to the anterior portion 22 of the upper plate. This divergence of the first and second surfaces 42 and 52 gives the upper plate 20 a wedge-shaped configuration as viewed in a lateral or medial direction (Fig. 4).

A dome 62 projects from the second surface 52 of the upper plate 20. The dome 62 has a crescent-shaped configuration including a central portion 64 and two tips 66 and 68 (see Fig. 3). The dome 62 is oriented on the second surface 52 so that the tips 66 and 68 of the crescent-shaped configuration point generally posteriorly and the central portion 64 of the crescent-shaped configuration is located anteriorly of the tips. The dome 62 is also located anteriorly of the axis 28.

The dome 62 has a side surface 70 and a top surface 72. The top surface 72 of the dome 62 is inclined at a small angle to the second surface 52. The top surface 72 on the central portion 64 of the crescent-shaped configuration is farther from the second surface 52 than are the top surfaces on the tips 66 and 68 of the crescent-shaped configuration. In the illustrated embodiment, the top surface 72 of the dome 62 is inclined at an angle of 3.8° to the second surface 52 of the upper plate 20, that is, at an angle of 8.8° to the first surface

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42 of the upper plate. The top surface 72 of the dome 62 forms a part of the outer major side surface 50 of the upper plate 20.

The outer surface 58 of the second rim 54 merges with an outer peripheral side surface 74 of the upper plate 20. The outer peripheral side surface 74 of the upper plate 20 extends perpendicular to the first surface 42 of the upper plate and also extends entirely around the upper plate. Thus, the outer peripheral side surface 74 of the upper plate 20 is not perpendicular to the plane of the second surface 52. Because of the inclination of the second surface 52 to the first surface 42, the outer peripheral side surface 74 of the upper plate 20 has a greater axial extent in the anterior portion 22 of the upper plate (to the right as viewed in Fig. 5) than in the posterior portion 24 of the upper plate (to the left as viewed in Fig. 5).

The upper plate 20 has an outer peripheral flange 78 which extends around the periphery of the upper plate. The flange 78 has a generally planar first surface 80 which extends outward from the outer peripheral side surface 74, in a direction parallel to the first surface 42. The first surface 80 of the flange 78 forms a part of the outer major side surface 50 of the upper plate 20. The flange 78 has a curved second surface 82 which extends downward (as viewed in Fig. 5) and inward from the first surface 80 of the flange.

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A planar third surface 84 of the flange 78 extends inward from the second surface 82, in a direction parallel to the first surface 80 of the flange and parallel to the first surface 42 of the upper plate 20. The third surface 84 of the flange 78 lies in a plane located between the plane of the first surface 42 of the upper plate 20 and the plane of the second surface 52 of the upper plate. The third surface 84 of the flange 78 extends from a location outward of the outer peripheral side surface 74, to a location inward of the outer peripheral side surface 74, and merges with the outer surface 46 of the first rim 44. The second and third surfaces 82 and 84 of the flange 78 form a part of the inner major side surface 40 of the upper plate 20.

A porous coating 90 (Figs. 4 and 6) is located in the recess 48 in the inner major side surface 40 of the upper plate 20. The coating 90 is formed on the first surface 42 and is circumscribed by, or lies inward of, the first rim 44. The coating 90 covers the entire extent of the first surface 42. The coating 90 comprises a layer of small spherical particles or beads 92.

The beads 92 are preferably made of commercially pure titanium, but could be made of any suitable biocompatible material. The beads 92 are sized such that none of the beads pass through a 25 mesh U.S. Series Sieve and all the beads pass through a 40 mesh U.S. Series Sieve. The beads 92 are preferably adhered to the upper plate 20 by

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diffusion bonding. The beads 92 can, alternatively, be applied to the upper plate 20 by any other suitable technique.

The coating 90 of beads 92 is firmly adhered to the upper plate 20 and is incapable of removal by normal abrasions. As described below, the coating 90 in combination with a primary adhesive interlocks with the material of the elastomeric core 200 to provide a strong bond between the upper plate 20 and the elastomeric core 16. The coating 90 of beads 92 does not project past the first rim 44, that is, in a downward direction as viewed in Fig. 4 and 6.

A porous coating 94 (Figs. 2, 4 and 6) is located in the recess 60 in the outer major side surface 50 of the upper plate 20. The coating 94 is made from beads 96 which are the same size as, and are applied in the same manner as, the beads 92 on the first surface 42. The coating 94 is formed on the second surface 52 of the upper plate 20 and is circumscribed by, or lies inward of, the second rim 54. The coating 94 covers the entire extent of the second surface 52. The coating 94 also covers the dome 62.

The coating 94 on the second surface 52, as described below, provides for ingrowth of bony tissue when the disc 10 is implanted in the spine 16. The coating 94 of beads 96 is thicker than the depth of the recess 60. Thus, the beads 96 of the coating 94 project axially outward past the second rim 54. This is in contrast to the coating 90,

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which does not project axially outward past the first rim 44.

The lower plate 120 is identical in configuration to the upper plate. The lower plate 120 is rigid and is made from the same material as the upper plate. The lower plate 120 (Fig. 5) has an anterior portion 122 which is disposed anteriorly in the spine 16 when the disc 10 is implanted in the spine. A posterior portion 124 of the lower plate 120 is disposed posteriorly in the spine 16 when the disc 10 is implanted in the spine.

The configuration of the lower plate 120 as viewed in plan (Fig. 3) is the same as the configuration of the upper plate 20. The perimeter of the lower plate 120 has a flat posterior portion and a curved convex portion which extends between opposite ends and of the flat portion of the perimeter. The lower plate 120, like the upper plate 20, does not have any outwardly projecting lobes.

The lower plate 120 has an inner major side surface 140 (Fig. 5) which is presented upward as viewed in Fig. 5. The inner major side surface 140 includes all of the surface area of the lower plate 120 which is visible from above (in plan) as viewed in Fig. 5. The inner major side surface 140 of the lower plate 120 includes a planar third surface 142 of the lower plate 120 which extends perpendicular to the axis 28. The area of the first surface 142 is at least 65% or more of the area of the inner major side surface 140 as viewed in plan, that is,

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with all points on the inner major side surface 140 viewed in a direction parallel to the axis 28. Preferably, the area of the third surface 142 is 75% of the area of the inner major side surface 140.

5 The third surface 142 is circumscribed by a first rim 144 of the lower plate 20. The first rim 144 has a generally semi-cylindrical cross-sectional configuration as shown in Fig. 5 including an arcuate outer surface 146. The outer surface 146 on the first rim 144, and the third
10 surface 142, together define a shallow cavity or recess 148 in the inner major side surface 140 of the lower plate 120. The third surface 142 forms the bottom of the recess 148. The outer surface 146 on the first rim 144 forms a part of the inner major side surface 140 of the lower plate 120.

15 The lower plate 120 has an outer major side surface 150 which is presented downward as viewed in Fig. 5. The outer major side surface 150 includes all of the surface area of the lower plate 120 which is visible from below (in plan) as viewed in Fig. 5.

20 The outer major side surface 150 of the lower plate 120 includes a planar fourth surface 152 of the lower plate. The fourth surface 152 is circumscribed by a second rim 154 of the lower plate 120. The area of the fourth surface 152 is greater than the area of the third surface
25 142. The area of the fourth surface 152 is 85% or more, and preferably 92%, of the inner major side surface 40.

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The second rim 154 is located outward of (as viewed in plan) the first rim 144. The second rim 154 has an inner surface 156, which extends perpendicular to the second surface 152 and extends entirely around the lower plate 120, and a curved outer surface 158. The inner surface 156 of the second rim 154, and the fourth surface 152, together define a shallow cavity or recess 160 in the outer major side surface 150 of the lower plate 120. The fourth surface 152 forms the bottom of the recess 160.

The distance by which the second rim 154 projects from the fourth surface 152 is less than the distance by which the first rim 144 projects from the third surface 142. Thus, the recess 160 in the outer major side surface 150 of the lower plate 120 is shallower than the recess 148 in the inner major side surface 140 of the lower plate.

The fourth surface 152 of the lower plate 120 is inclined relative to the third surface 142 of the lower plate. The fourth surface 152 is inclined at an angle in the range of from about 1.5° to about 7.5° relative to the third surface 142. In the illustrated preferred embodiment, the fourth surface 152 is inclined at an angle of 5° relative to the third surface 142. In another preferred embodiment, not illustrated, the fourth surface 152 is inclined at an angle of 2.5° relative to the third surface 142.

The third and fourth surfaces 142 and 152 are oriented relative to each other so that they are closest together at

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the posterior portion 124 of the lower plate 120, and farthest apart at the anterior portion 122 of the lower plate. The fourth surface 152 is inclined away from the third surface 142 as the fourth surface 152 extends from the posterior to the anterior of the disc 10. Thus, the third and fourth surfaces 142 and 152 diverge as they extend from the posterior portion 124 of the lower plate 120 to the anterior portion 122 of the lower plate. This divergence of the third and fourth surfaces 142 and 152 gives the lower plate 120 the same wedge-shaped configuration as the upper plate 20.

A dome 162 projects from the fourth surface 152 of the lower plate 120. The dome 162 has a crescent-shaped configuration including a central portion 164 and two tips 166 and 168 (see Fig. 3). The dome 162 is oriented on the fourth surface 152 so that the tips 166 and 168 of the crescent-shaped configuration point generally posteriorly and the central portion 164 of the crescent-shaped configuration is located anteriorly of the tips. The dome 162 is also located anteriorly of the axis 28.

The dome 162 has a side surface 170 and a top surface 172. The top surface 172 of the dome 162 is inclined at a small angle to the fourth surface 152. The top surface 172 on the central portion 164 of the crescent-shaped configuration is farther from the fourth surface 152 than are the top surfaces on the tips 166 and 168 of the crescent-shaped configuration. In the illustrated

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embodiment, the top surface 172 of the dome 162 is inclined at an angle of 3.8° to the fourth surface 152 of the lower plate 120, that is, at an angle of 8.8° to the third surface 142. The top surface 172 on the dome 162 forms a part of the outer major side surface 140 of the lower plate 120.

The outer surface 158 of the second rim 154 merges with an outer peripheral side surface 174 of the lower plate 120. The outer peripheral side surface 174 extends perpendicular to the third surface 142 of the lower plate 120 and also extends entirely around the lower plate. Thus, the outer peripheral side surface 174 of the lower plate 120 is not perpendicular to the plane of the fourth surface 152. Because of the inclination of the fourth surface 152 to the third surface 142, the outer peripheral side surface 174 of the lower plate 120 has a greater axial extent in the anterior portion 122 of the lower plate (to the right as viewed in Fig. 5) than in the posterior portion 124 of the lower plate (to the left as viewed in Fig. 5).

The lower plate 120 has an outer peripheral flange 178 which extends around the periphery of the lower plate. The flange 178 has a generally planar first surface 180 which extends outward from the outer peripheral side surface 174, in a direction parallel to the third surface 142. The first surface 180 on the flange 178 forms a part of the outer major side surface 150 of the lower plate 120. The

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flange 178 has a curved second surface 182 which extends upward (as viewed in Fig. 5) and inward from the first surface 180 of the flange.

A planar third surface 184 of the flange 178 extends
5 inward from the second surface 182, in a direction parallel to the first surface 180 of the flange and parallel to the third surface 142 of the lower plate 120. The third surface 184 of the flange 178 lies in a plane located
10 between the plane of the third surface 142 of the lower plate 120 and the plane of the fourth surface 152 of the lower plate. The third surface 184 of the flange 178 extends from a location outward of the outer peripheral side surface 174, to a location inward of the outer
15 peripheral side surface 174, and merges with the outer surface 146 of the first rim 144. The second and third surfaces 182 and 84 of the flange 178 form a part of the inner major side surface 140 of the lower plate 120.

A porous coating 190 (Fig. 4) is located in the recess
20 148 in the inner major side surface 140 of the lower plate 120. The coating 190 is formed on the third surface 142 and is circumscribed by, or lies inward of, the first rim 144. The coating 190 covers the entire extent of the third surface 142. The coating 190 comprises a layer of small spherical particles or beads 192.

25 The beads 192 are made from the same material as the beads 92 of the coating 90. The beads 192 are preferably adhered to the lower plate 120 by diffusion bonding. The

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beads 192 can, alternatively, be applied to the lower plate 120 by any other suitable technique.

The coating 190 of beads 192 is firmly adhered to the lower plate 120 and is incapable of removal by normal abrasions. As described below, the coating 190 in combination with a primary adhesive interlocks with the material of the elastomeric core 200 to provide a strong bond between the lower plate 120 and the elastomeric core 16. The coating 190 of beads 192 does not project axially outward of the first rim 144.

A similar porous coating 194 (Figs. 3 and 4) is located in the recess 60 in the outer major side surface 150 of the lower plate 120. The coating 194 is formed on the fourth surface 152 and is circumscribed by, or lies inward of, the second rim 154. The coating 194 covers the entire extent of the fourth surface 152. The coating 194 also covers the dome 162. The coating 194 is made from a plurality of beads 196 which are the same as, and are applied in the same manner as, the beads 192 on the third surface 142.

The coating 194 on the fourth surface 152, as described below, provides for ingrowth of bony tissue when the disc 10 is implanted in the spine 16. The layer 190 of beads 196 is thicker than the depth of the recess 160. Thus, the beads 196 of the coating 194 project axially outward past the second rim 154. This is in contrast to

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the coating 190, which does not project axially outward past the first rim 144.

The elastomeric core 200 is preferably made of a polyolefin rubber or carbon black reinforced polyolefin rubber. The hardness of the elastomeric core is 56-72 shore A durometer. The ultimate tensile strength of the core is greater than 1600 psi. The core has an ultimate elongation greater than 300% using the ASTM D412-87 testing method, and a tear resistance greater than 100 psi using the ASTM D624-86 testing method. Although the elastomeric core 200 is disclosed as being made of a polyolefin rubber, it can be made of any elastomeric material that simulates the characteristics of a natural disc.

To construct the spinal disc 10, the plates 20 and 120, with the coatings 90, 94, 190 and 194 in place, are cleaned in a methyl ethyl ketone or similar reagent bath for approximately 25 minutes. The plates 20 and 120 are etched, for example with a nitric hydrofluoric acid solution, to remove any oxide coating from the plates. Thereafter, the plates 20 and 120 are rinsed in distilled water, and a primer is applied to the plates that will be bonded to the core 200. The primer is applied within about 2 hours of the etch, and at a nominal thickness of 0.35 mils. After the primer has dried for not less than 60 minutes an adhesive is applied at a nominal thickness of 0.65 mils. The plates 20 and 120 are then placed in a mold and the elastomeric material of the core 200 is flowed into

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the mold and adhered to the plates. The elastomeric material of the core 200 is then cured to form the completed disc 10.

The elastomeric core 200, as thus formed, is affixed to the inner major side surface 40 of the upper plate 20. The core 200 has a planar upper surface 202 (Figs. 2, 4 and 6) which is affixed to and overlies the first surface 42 of the upper plate 20. A portion 204 of the material of the core 200 extends into and interlocks with the first surface 42 of the upper plate 20, as well as with the porous coating 90 on the first surface. The first surface 42 of the upper plate 20 is bonded to the upper surface 202 of the elastomeric core 200 and to the beads throughout the entire extent of the first surface.

Another portion 206 (Fig. 6) of the material of the core 200 extends over and is adhered to the first rim 44 on the upper plate 20. Another portion 208 of the material of the core 200 extends over and is adhered to the planar third surface 84 of the flange 78 of the upper plate 20. Yet another portion 210 of the material of the core 200 extends over and is adhered to the curved second surface 82 of the flange 78 of the upper plate 20. The material portion 210 which overlies the second surface 82 of the flange 78 tapers to a zero thickness, as it approaches the first surface 80 of the flange.

The material of the core 200, as thus formed, is also affixed to the inner side surface 140 of the lower plate

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120. A portion of the material of the core 200 extends into and interlocks with the third surface 142 of the lower plate 120, as well as with the porous coating 190 on the third surface. The core 200 has a planar lower surface 212 (Fig. 4) which is affixed to the third surface 142 of the lower plate 120. The lower surface 212 of the core 200 is parallel to the upper surface 202 of the core. The third surface 142 of the lower plate 120 is bonded to the lower surface 212 of the elastomeric core 200 throughout the entire extent of the third surface.

A portion 216 (Fig. 4) of the material of the core 200 extends over and is adhered to the first rim 144 on the lower plate 120. Another portion 218 of the material of the core 200 extends over and is adhered to the planar third surface 184 of the flange 178 of the lower plate 120. Yet another portion 220 of the material of the core 200 extends over and is adhered to the curved second surface 182 of the flange 178 of the lower plate 120. The material portion 220 which overlies the second surface 182 of the flange 178 tapers to a zero thickness, as it approaches the first surface 180 of the flange.

The core 200 has an exposed outer side surface 230 (Figs. 2, 4 and 6) which extends between the upper and lower plates 20 and 120. The outer side surface 230 of the core 200 includes a first surface portion 232 (Figs. 4 and 6) extending substantially perpendicular to the first surface 42 of the upper plate 20. The first

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surface portion 232 is located outward of the flange 78 of the upper plate 20.

A convex second portion 234 of the outer side surface 230 of the core 200 extends from the first surface portion 232, in a direction toward the lower plate 120. A concave third portion 236 of the outer side surface 230 of the core 200 extends from the second surface portion 234, in a direction toward the lower plate 120.

The outer side surface 230 of the core 200 includes a fourth surface portion 238 extending from the third surface portion 236, in a direction substantially perpendicular to the first surface 42 of the upper plate 20 and parallel to the axis 28 of the disc 10. The fourth surface portion 238 is disposed axially at a location between the upper plate 20 and the lower plate 120. The fourth surface portion 238 is disposed inward of the outer periphery of the plate flanges 78 and 178, but outward of the first rims 44 and 144 of the plates.

The fourth surface portion 238 merges with a concave fifth surface portion 240 which is a mirror image of the third surface portion 236. The fifth surface portion 240 merges with a convex sixth surface portion 242 which is a mirror image of the second surface portion 234.

The sixth surface portion 242 merges with a seventh surface portion 244 which is a mirror image of the first surface portion 232. The seventh surface portion 244 is located outward of the flange 178 of the lower plate 120.

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The central portion of the core 200, i.e. the portion of the core 200 located between the surface 42 and the surface 142, is of substantially uniform thickness.

Because the central portion of the core 200 is of uniform thickness and the plates 20 and 120 are wedge-shaped, the overall configuration of the disc 10 is wedge-shaped. The disc 10 is thicker in the anterior portion 22 of the disc and is thinner in the posterior portion 24 of the disc.

When the disc 10 is in use in the spinal column 16, the upper plate 20 is affixed to the upper vertebra 12. The dome 62 on the upper plate 20 is fitted into a corresponding recess or cavity (not shown) formed in the upper vertebra 12. The engagement of the dome 62 of the upper plate 20 in the cavity in the upper vertebra 12 resists relative movement between the upper plate and the upper vertebra.

The porous coating 94 on the second surface 52 of the upper plate 20 promotes bone ingrowth between the upper vertebra 12 and the upper plate 20. The second surface 52 (Fig. 6) of the upper plate 20 engages the bony material of the upper vertebra 12. Interlocking engagement between the upper plate 20 and the bony material of the upper vertebra 12 is enhanced by the fact that the beads 96 of the coating 94 project axially outward past the second rim 54.

The lower plate 120 is affixed to the lower vertebra 14. The dome 162 on the lower plate 120 is fitted into a corresponding recess or cavity (not shown) formed in the

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lower vertebra 14. The engagement of the dome 162 of the lower plate 120 in the cavity in the lower vertebra 14 resists relative movement between the lower plate and the lower vertebra.

5 The porous coating 194 on the fourth surface 152 promotes bone ingrowth between the lower vertebra 14 and the lower plate 120. The fourth surface 152 of the lower plate 120 engages the material of the lower vertebra 14. Interlocking engagement between the lower plate 120 and the
10 bony material of the lower vertebra 14 is enhanced by the fact that the beads 196 of the coating 194 project axially outward past the second rim 154.

 The maximum stresses under load acting on the spinal disc 10 are reduced as compared to the maximum stresses
15 acting on the spinal disc of U.S. Patent No. 5,534,030 under an identical load. For example, finite element analysis has shown an 8.2% decrease in shear stresses in the spinal disc 10 as compared to the disc of U.S. patent No. 5,534,030. Thus, the disc 10 has less tendency for the
20 plates 20 and 120 and the elastomeric core 200 to separate. The maximum principal stress in the disc 10 is reduced by about 10.25% as compared to the known prior art disc shown in U.S. Patent No. 5,543,030. This reduction in stress also reduces the tendency of the plates to separate from
25 the elastomeric core as compared to the disc of U.S. Patent 5,543,030.

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Further, the disc 10 has an increased resistance to anterior-posterior displacement between the upper plate and the lower plate as compared to the disc of U.S. Patent 5,543,030. Specifically, the disc 10 of the present invention, has a maximum anterior to posterior displacement of the plates of 20% less than the displacement of the plates of the disc of U.S. Patent 5,543,030. This reduction in anterior-posterior displacement minimizes the possibility of disc contact with the spinal cord which could cause instability of the spinal cord.

From the above description of the invention, those skilled in the art will perceive improvements, changes and modifications in the invention. Such improvements, changes and modifications within the skill of the art are intended to be covered by the appended claims.

CLAIMS:

1. A spinal disc prosthesis for replacing a damaged spinal disc in a spinal column of a human, the prosthesis comprising:

an elastomeric core having generally parallel upper and lower surfaces;

an upper plate having an inner surface permanently coupled to the upper surface of the core and an outer surface;

a lower plate having an inner surface permanently coupled to the lower surface of the core and an outer surface, the inner surface of the upper plate and the inner surface of the lower plate are generally parallel relative to one another and the outer surface of the upper plate and the outer surface of the lower plate are angled relative to the upper and lower surfaces of the core.

2. The prosthesis of claim 1, wherein the core has anterior and posterior portions and the outer surface of the upper plate and the outer surface of the lower plate diverge from the posterior portion to the anterior portion.

3. The prosthesis of claim 2, wherein a first rim extends about the outer surface of the upper plate and a second rim extends about the outer surface of the lower plate.

4. The prosthesis of claim 1, wherein a first rim extends about the inner surface of the upper plate and

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a second rim extends about the inner surface of the lower plate.

5. The prosthesis of claim 4, wherein each rim is generally semi-cylindrical in shape.

6. The prosthesis of claim 4, wherein the first rim cooperates with the inner surface of the upper plate to define a cavity and the second rim cooperates with the inner surface of the lower plate to define a cavity.

7. A spinal disc prosthesis for replacing a damaged spinal disc in a spinal column of a human, the prosthesis comprising:

an elastomeric core having upper and lower surfaces positioned to lie generally parallel to one another;

an upper plate permanently coupled to the upper surface of the core, the upper plate having an outer surface inclined relative to the upper surface of the core; and

a lower plate permanently coupled to the lower surface of the core, the lower plate having an outer surface inclined relative to the lower surface of the core.

8. The prosthesis of claim 7, wherein the core has anterior and posterior portions and the outer surface of the upper plate and the outer surface of the lower plate diverge from the posterior portion to the anterior portion.

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9. The prosthesis of claim 7, wherein the outer surface of the upper plate is inclined to the upper surface of the core by about 1.5 to about 7.5 degrees.

10. The prosthesis of claim 9, wherein the outer surface of the upper plate is inclined relative to the upper surface of the core by about 2.5 to about 5 degrees.

11. The prosthesis of claim 9, wherein the outer surface of the lower plate is inclined to the lower surface of the core by about 1.5 to about 7.5 degrees.

12. The prosthesis of claim 11, wherein the outer surface of the lower plate is inclined relative to the lower surface of the core by about 2.5 to about 5 degrees.

13. The prosthesis of claim 7, wherein the outer surface of the upper plate and the outer surface of the lower plate are formed to include bone ingrowth material onto the outer surface of the upper plate and the outer surface of the lower plate.

14. The prosthesis of claim 2 or claim 8, wherein a first rim extends about the outer surface of the upper plate and a second rim extends the outer surface of the lower plate.

15. The prosthesis of claim 14, wherein a third rim extends about the inner surface of the upper plate and a fourth rim extends about the inner surface of the lower plate.

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16. The prosthesis of claim 15, wherein each of the third and fourth rim is generally semi-cylindrical in shape.

17. The prosthesis of claim 2 or claim 8, wherein the outer surface of the upper plate and the outer surface of the lower plate are formed to include bone ingrowth material thereon.

18. The prosthesis of claim 2 or claim 8, wherein the outer surface of the upper plate is inclined relative to the upper surface of the core by about 1.5 to about 7.5 degrees.

19. The prosthesis of claim 2 or claim 8, wherein the outer surface of the lower plate is inclined relative to the lower surface of the core by about 1.5 to about 7.5 degrees.

20. A spinal disc prosthesis to replace a damaged spinal disc in a spinal column of a human, said spinal disc prosthesis comprising:

an elastomeric core having upper and lower surfaces which are parallel to each other;

an upper rigid plate, said upper rigid plate having opposed first and second surfaces, said first surface being permanently affixed to said upper surface of said elastomeric core, said second surface being inclined relative to said first surface; and

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a lower rigid plate, said lower rigid plate having opposed third and fourth surfaces, said third surface being permanently affixed to said lower surface of said elastomeric core and extending parallel to said first surface, said fourth surface being inclined relative to said third surface, said second and fourth surfaces being inclined relative to each other.

21. The spinal disc prosthesis of claim 20 further including bone ingrowth material on said second and fourth surfaces.

22. The spinal disc prosthesis of claim 20, wherein said upper and lower rigid plates and said elastomeric core each have a perimeter defined by a flat portion and a curved portion which innerconnects opposite ends, of said flat portion.

23. The spinal disc of claim 22, wherein each one of said first and third surfaces has a material on its entire extent for facilitating bonding of said first and third surfaces to said elastomeric core.

24. The spinal disc of claim 23, wherein the area of said first and third surfaces is more than 65% of the area of respective inner major side surfaces, of said upper and lower plates.

25. The spinal disc of claim 20, wherein said first and third surfaces are bonded to said elastomeric core throughout the entire extent of said first and third surfaces.

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26. The spinal disc of claim 20, wherein said second and fourth surfaces each have an area greater than the area of said first and third surfaces.

27. The spinal disc of claim 20, wherein said second surface is inclined relative to said first surface by an amount in the range of from about 1.5 degrees to about 7.5 degrees.

28. The spinal disc of claim 27, wherein said second surface is inclined relative to said first surface by an amount in the range of from about 2.5 degrees to about 5 degrees.

29. The spinal disc of claim 28, wherein said fourth surface is inclined relative to said third surface by an amount in the range of from amount 2.5 degrees to about 5 degrees, said second surface being inclined relative to said fourth surface by an amount in the range of from about 5 degrees to about 10 degrees.

30. The spinal disc of claim 20, wherein said upper plate is identical to said lower plate, said upper plate having a first rim which circumscribes said first surface and extends completely around said upper plate, said first surface having a material on its entire extent for facilitating bonding of said first surface to said elastomeric core, said upper plate having a second rim which circumscribes said second surface and extends completely around said upper plate, said second surface

having a material on its entire extent for facilitating bond of said second surface to the spinal column.

31. The spinal disc of claim 30, wherein said first rim projects past said material on said first surface and said material on said second surface projects past said second rim.

32. The spinal disc of claim 30, wherein said disc has anterior and posterior portions, said first and second surfaces diverging as they extend from the posterior portion of said disc to the anterior portion of said disc.

33. A spinal disc prosthesis to replace a damaged spinal disc between first and second vertebrae having anterior and posterior facing portions in a spinal column of a human, said spinal disc comprising:

an elastomeric core having upper and lower surfaces which are parallel to each other;

an upper plate, said upper rigid plate having opposite first and second surfaces, said first surface being permanently affixed to said upper surface of said elastomeric core and said second surface being positionable adjacent said first vertebrae and being inclined away from said first surface as said second surface extends from a posterior portion of said spinal disc toward an anterior portion of said spinal disc; and

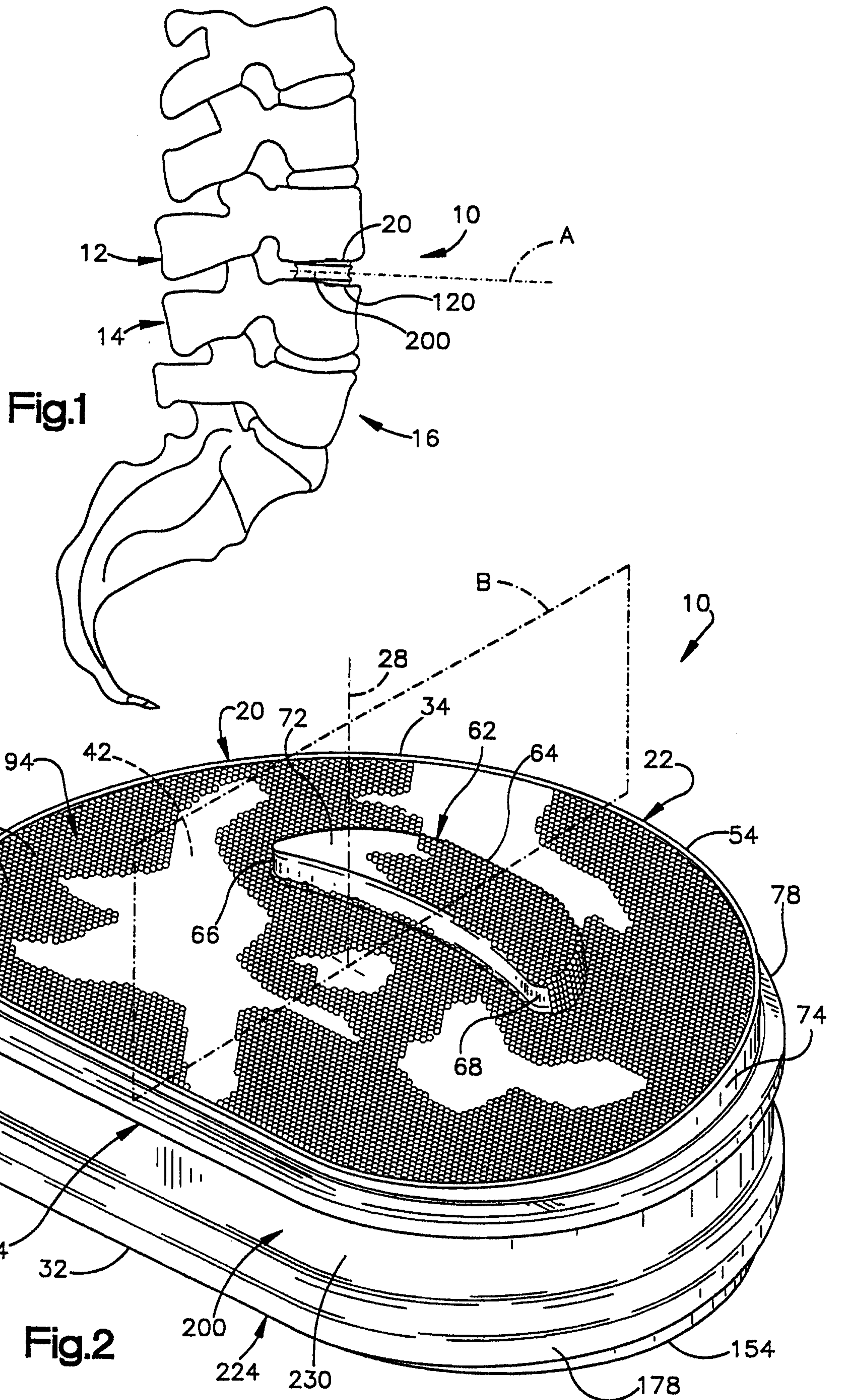
a lower rigid plate, said lower rigid plate having opposed third and fourth surfaces, said third

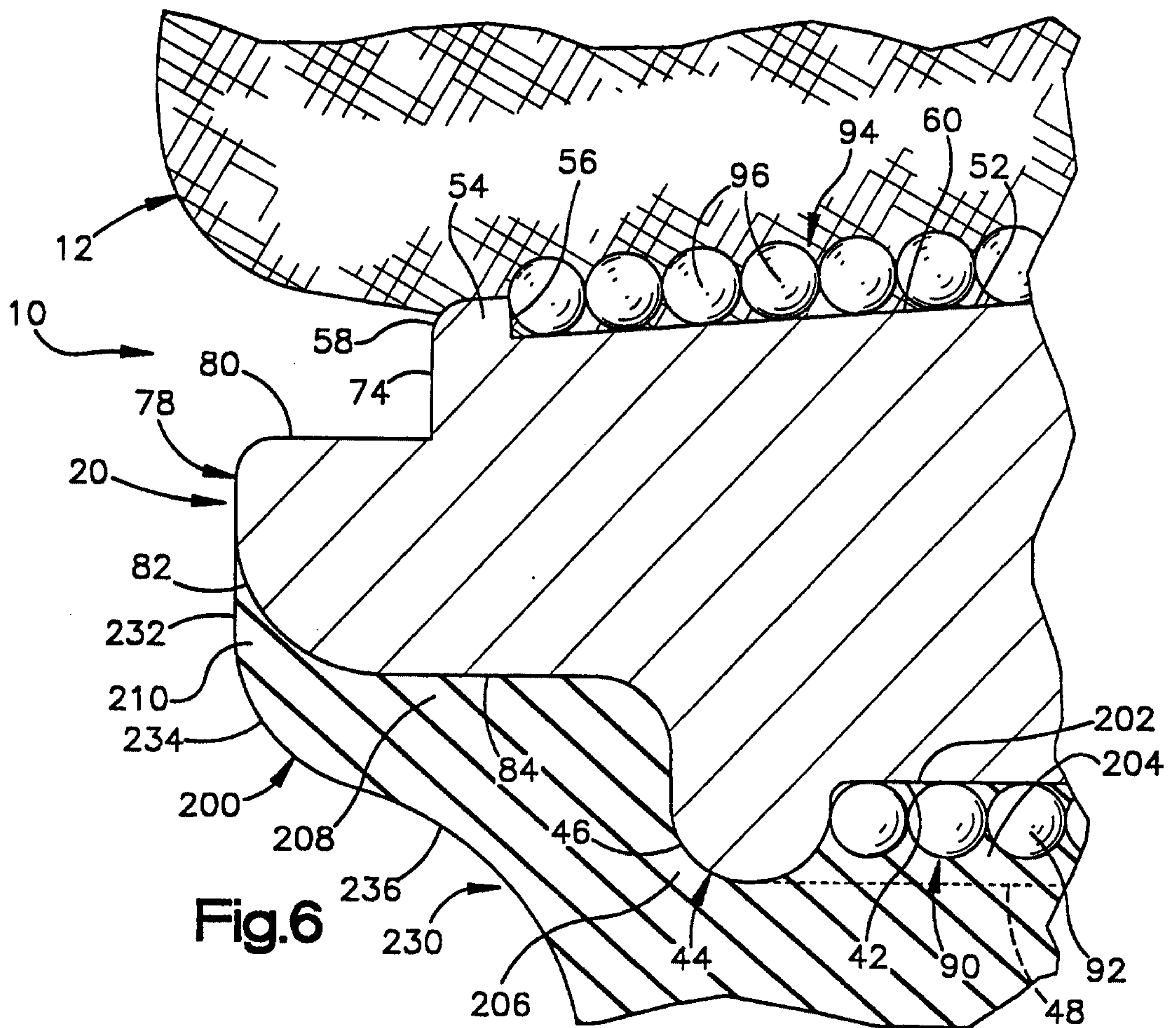
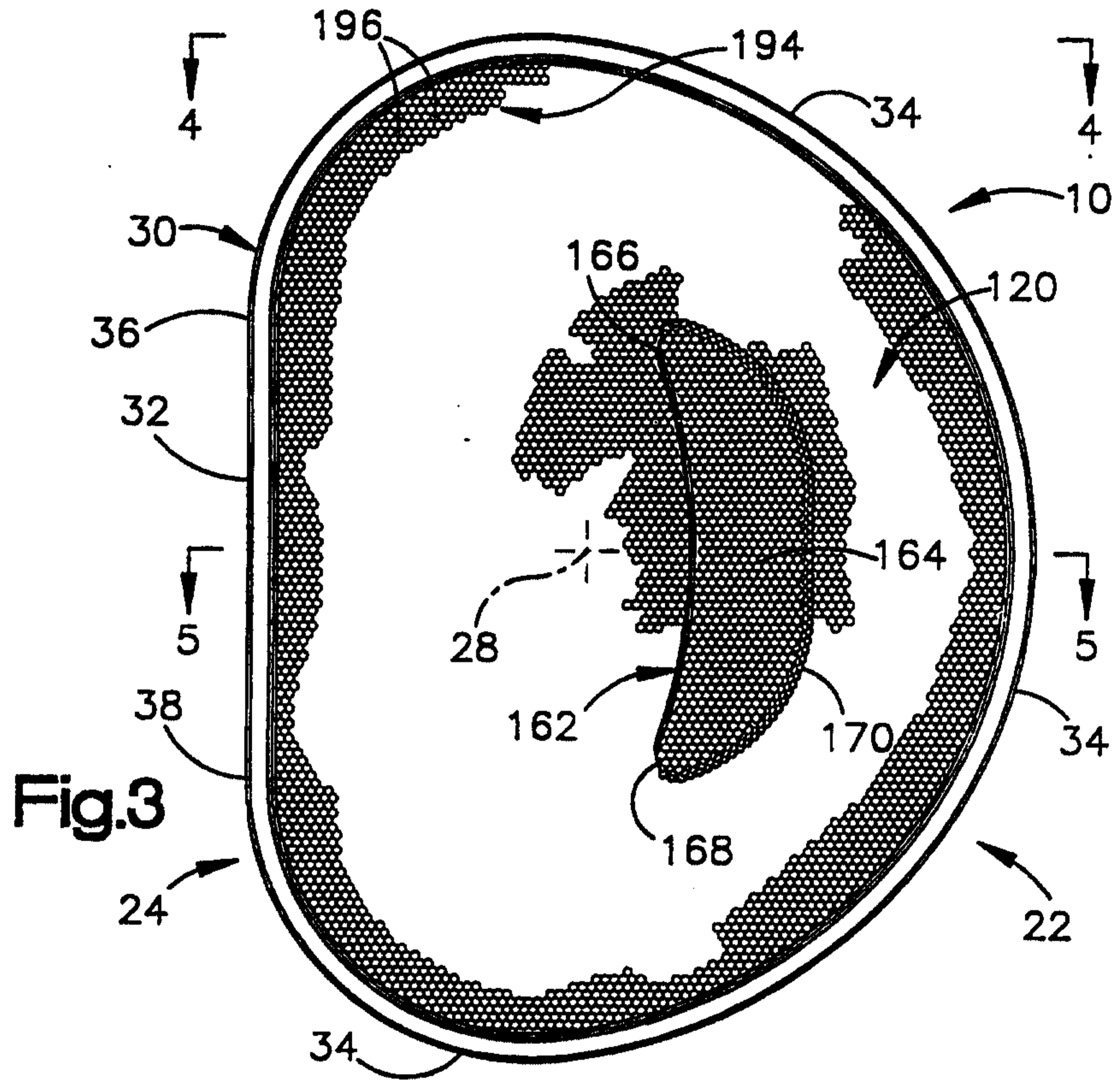
surface being permanently affixed to said lower surface of said elastomeric core and extending parallel to said first surface, said fourth surface being positionable adjacent said second vertebra, said fourth surface being inclined away from said third surface as said fourth surface extends from said posterior portion of said spinal disc toward said anterior portion of said spinal disc.

34. The spinal disc of claim 33, wherein said second surface is inclined relative to said first surface by an amount in the range from about 2.5 degrees to about 5 degrees, said fourth surface being inclined relative to said third surface by an amount in the range of from about 2.5 degrees to about 5 degrees.

35. The spinal disc of claim 2 or claim 8, wherein said spinal disc has a perimeter defined by a flat portion and a curved portion which interconnects opposite ends of said flat portion, said flat portion of said perimeter being disposed in said posterior portion of said spinal disc.

36. The spinal disc of claim 33 further comprising bodies of bone ingrowth material on said second and fourth surfaces.





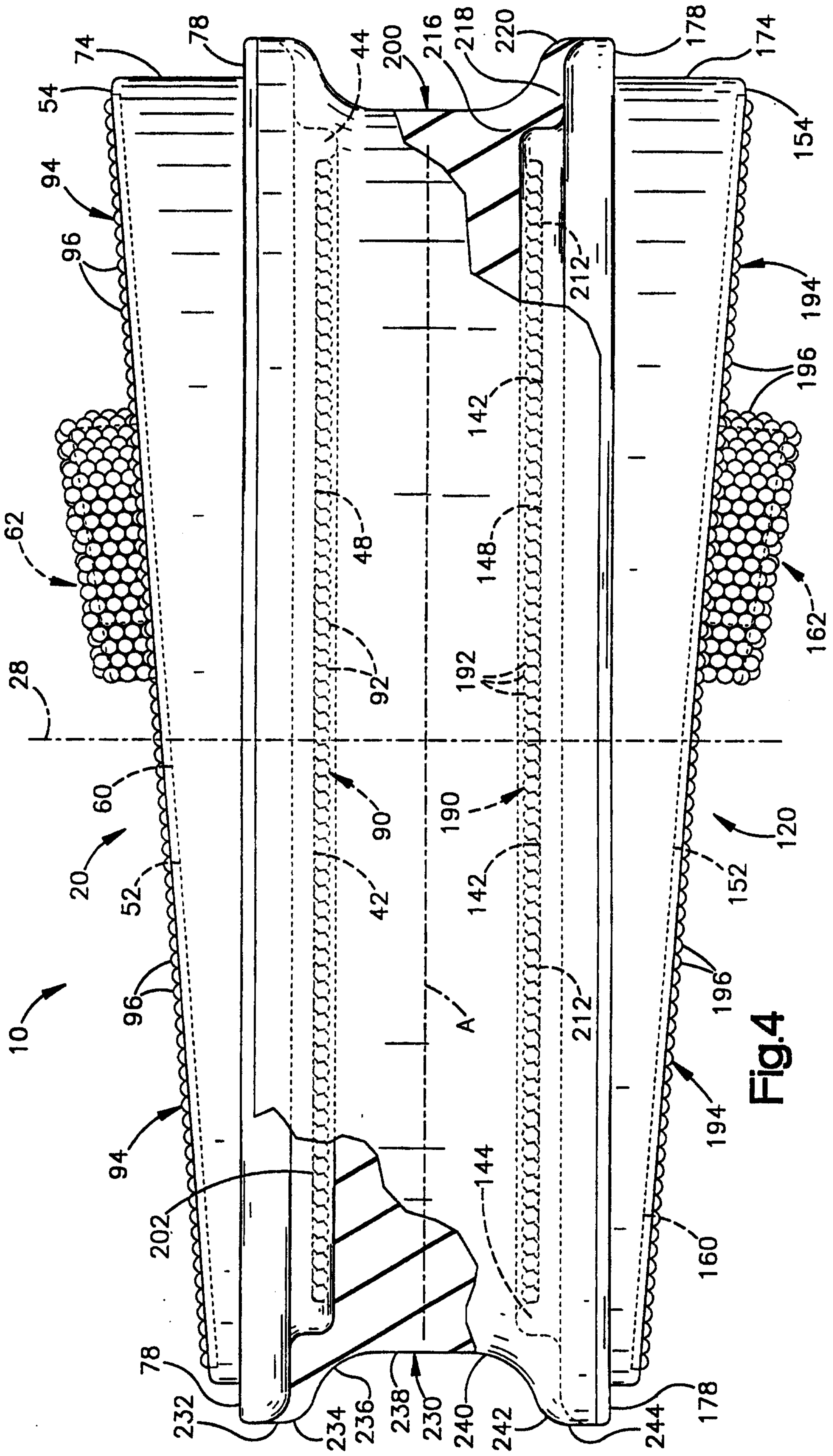


Fig.4

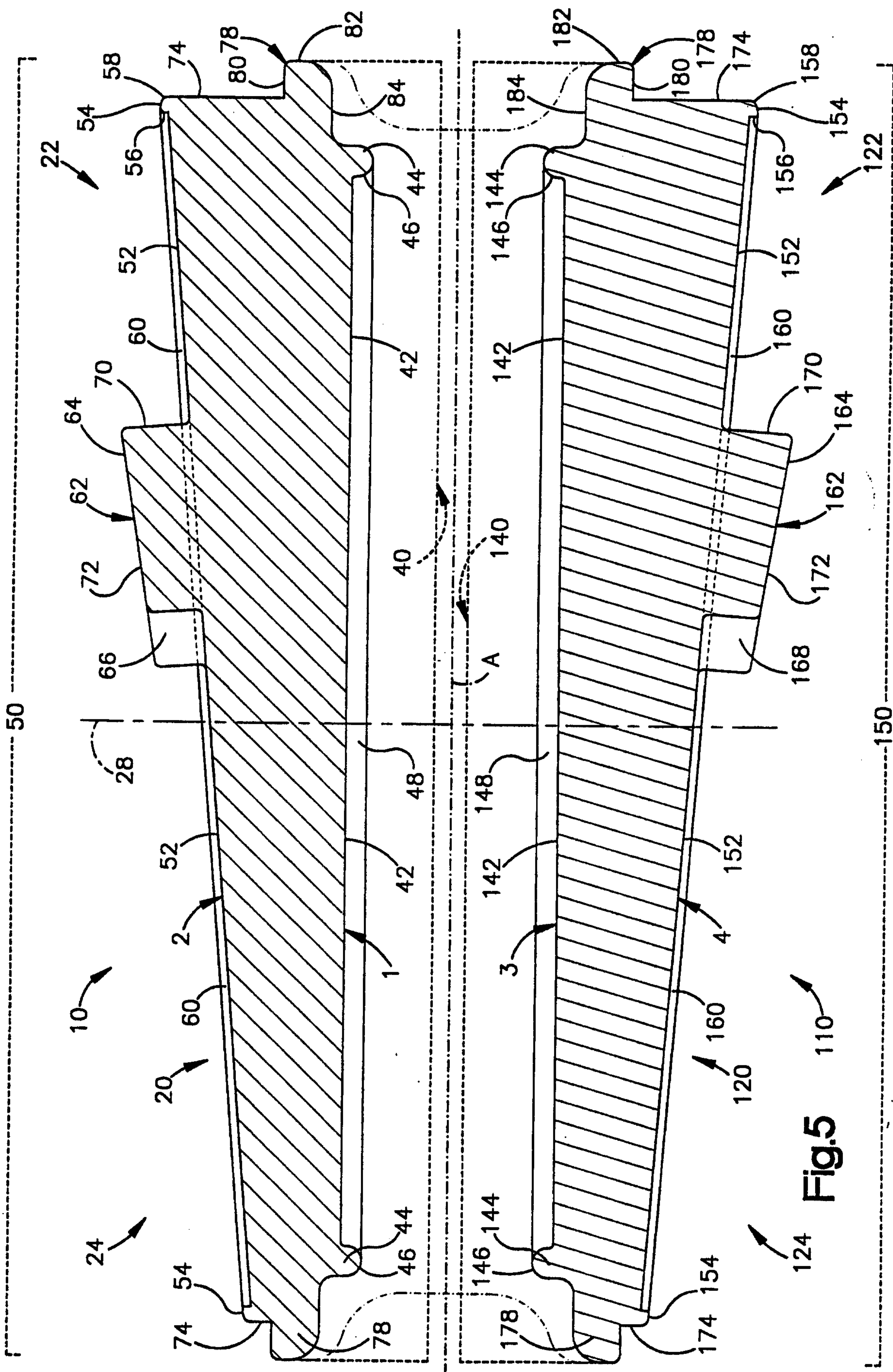


Fig.5

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