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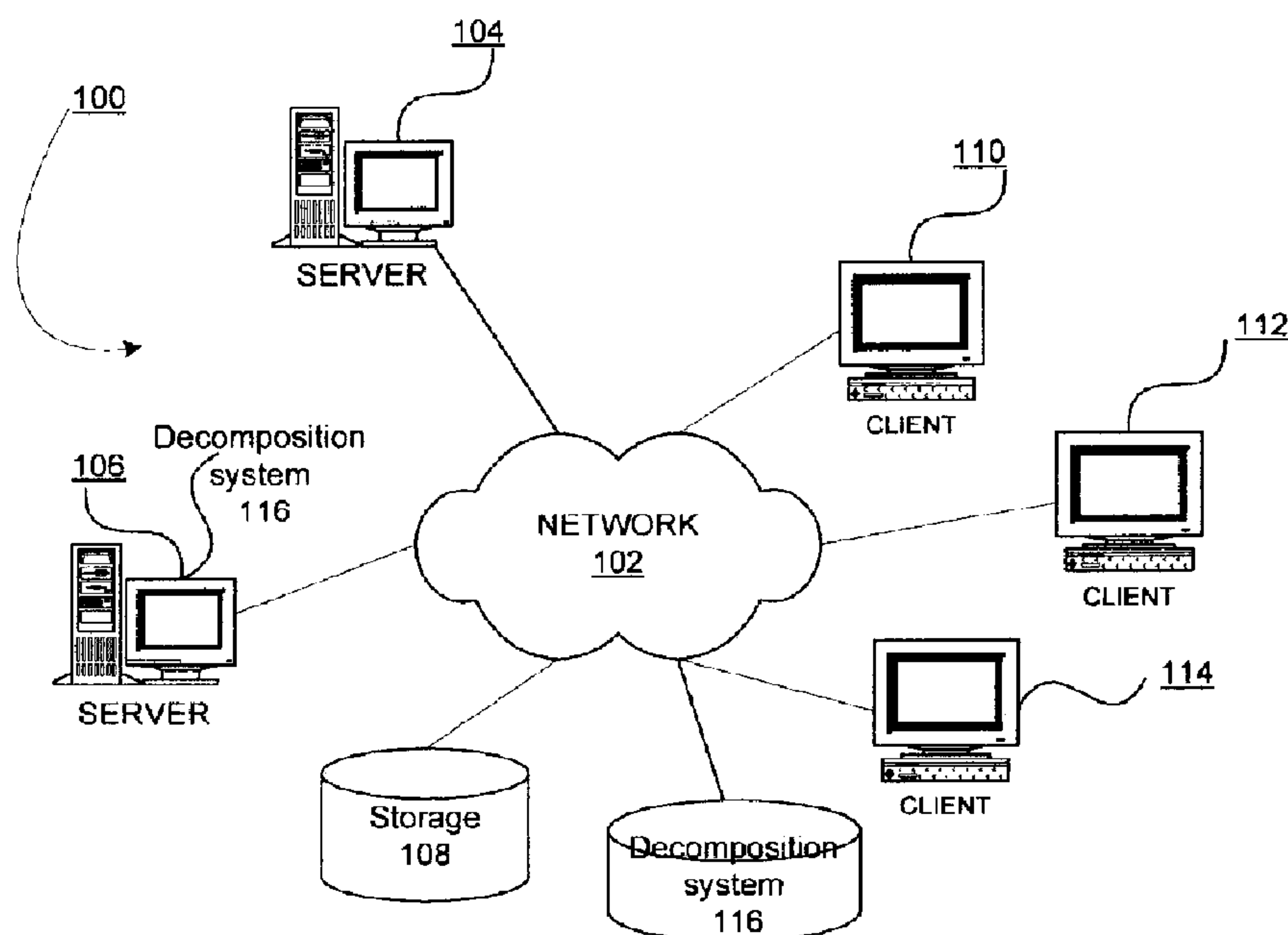
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(54) **Titre : DECOMPOSITION D'APPLICATION AU MOYEN DE DONNEES OBTENUES A PARTIR D'OUTILS EXTERNES POUR
UTILISATION DANS LA MODELISATION DE MENACE**

(54) **Title: APPLICATION DECOMPOSITION USING DATA OBTAINED FROM EXTERNAL TOOLS FOR USE IN THREAT MODELING**



(57) **Abrégé/Abstract:**

An illustrative embodiment of automated application decomposition collects a set of information specific to an application by a plurality of external tools. Predefined heuristics and corresponding predefined conclusions, categorized corresponding to each of a particular external tool domain, are applied to the set of information collected by the plurality of external tools to create an intermediate result. The intermediate result is analyzed to form a set of conclusions about factors, representative of the application, used in application decomposition. The set of conclusions is exported and used to generate a model of the application. Integration between an existing threat modeling tool and external software for a purpose of automated application decomposition is provided in which the model is a starting point for identification of threats and weaknesses specific to the application.

ABSTRACT

An illustrative embodiment of automated application decomposition collects a set of information specific to an application by a plurality of external tools. Predefined heuristics and corresponding predefined conclusions, categorized corresponding to each of a particular external tool domain, are applied to the set of information collected by the plurality of external tools to create an intermediate result. The intermediate result is analyzed to form a set of conclusions about factors, representative of the application, used in application decomposition. The set of conclusions is exported and used to generate a model of the application. Integration between an existing threat modeling tool and external software for a purpose of automated application decomposition is provided in which the model is a starting point for identification of threats and weaknesses specific to the application.

APPLICATION DECOMPOSITION USING DATA OBTAINED FROM EXTERNAL TOOLS FOR USE IN THREAT MODELING

BACKGROUND

1. Technical Field:

5 [0001] This disclosure relates generally to threat modeling in a data processing system and more specifically to automate application decomposition for use in threat modeling in the data processing system.

2. Description of the Related Art:

10 [0002] Threat modeling is a process designed to provide a list of countermeasures suitable for implementation to prevent potential security attacks in an application. Discussions about threat modeling are typically within a context of software development lifecycle.

[0003] To perform a threat modeling activity, information representative of the application is described. A logical structure associated with an application can include elements such as components, roles, external dependencies, data, and so on. A break down of an application typically further identifies trust boundaries, data flows, entry points, and exit points. The identification of elements of an application, provide a capability to uncover threats and discover vulnerabilities associated with the application. The elements once extracted from the logical structure of the application form a basis for threat analysis and modeling. The process of extracting the one or more elements is typically referred to as application decomposition.

20 [0004] Application decomposition however is a lengthy process, which typically deters users from performing a threat modeling activity. During the threat modeling activity, a knowledgeable user has to characterize the application according to features mentioned comprising the technologies being used, the infrastructure on which the application is going to be deployed, the type of users and business.

25 [0005] Current solutions allow the knowledgeable user to manually specify these application features usually in the form of architectural diagrams showing topology of the application and application components. The process is manual, iterative and non-

standardized also relying on input from and knowledge of the particular user. Typically the current solutions result in an incomplete list of threats.

SUMMARY

- 5 **[0006]** According to one embodiment, a computer-implemented process for automated application decomposition collects a set of information specific to an application by a plurality of external tools and applies predefined heuristics and corresponding predefined conclusions, categorized corresponding to each of a particular external tool domain, to the set of information collected by the plurality of external tools to create an intermediate result.
- 10 The intermediate result is analyzed to form a set of conclusions about factors, representative of the application, used in application decomposition. The set of conclusions is exported and used to generate a model of the application, wherein integration between an existing threat modeling tool and external software for a purpose of automated application decomposition is formed and wherein the model is a starting point for identification of threats and
- 15 weaknesses specific to the application.

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BRIEF DESCRIPTION OF THE DRAWINGS

[0007] For a more complete understanding of this disclosure, reference is now made to the following brief description, taken in conjunction with the accompanying drawings and detailed description, wherein like reference numerals represent like parts.

5 [0008] **Figure 1** is a block diagram of an exemplary network data processing system operable for various embodiments of the disclosure;

[0009] **Figure 2** is a block diagram of an exemplary data processing system operable for various embodiments of the disclosure;

10 [0010] **Figure 3** is a block diagram representation of a decomposition system operable for various embodiments of the disclosure;

[0011] **Figure 4** is a block diagram of data structure representing tools and corresponding data relationship used in the decomposition system of **Figure 3** in accordance with one embodiment of the disclosure;

15 [0012] **Figure 5** is a block diagram of heuristic relationship data structure used in the decomposition system of **Figure 3** in accordance with one embodiment of the disclosure;

[0013] **Figure 6** is a graphic diagram of a mock user interface for a decomposition tool used in the decomposition system of **Figure 3** in accordance with one embodiment of the disclosure; and

20 [0014] **Figure 7** is a flowchart of an application decomposition process using the decomposition system of **Figure 3** in accordance with one embodiment of the disclosure.

DETAILED DESCRIPTION

[0015] Although an illustrative implementation of one or more embodiments is provided below, the disclosed systems and/or methods may be implemented using any number of techniques. This disclosure should in no way be limited to the illustrative implementations, drawings, and techniques illustrated below, including the exemplary designs and implementations illustrated and described herein, but may be modified within the scope of the appended claims along with their full scope of equivalents.

[0016] As will be appreciated by one skilled in the art, aspects of the present disclosure may be embodied in which the present invention may be a system, a method, and/or a computer program product. The computer program product may include a computer readable storage medium (or media) having computer readable program instructions thereon for causing a processor to carry out aspects of the present invention.

[0017] The computer readable storage medium can be a tangible device that can retain and store instructions for use by an instruction execution device. The computer readable storage medium may be, for example, but is not limited to, an electronic storage device, a magnetic storage device, an optical storage device, an electromagnetic storage device, a semiconductor storage device, or any suitable combination of the foregoing. A non-exhaustive list of more specific examples of the computer readable storage medium includes the following: a portable computer diskette, a hard disk, a random access memory (RAM), a read-only memory (ROM), an erasable programmable read-only memory (EPROM or Flash memory), a static random access memory (SRAM), a portable compact disc read-only memory (CD-ROM), a digital versatile disk (DVD), a memory stick, a floppy disk, a mechanically encoded device such as punch-cards or raised structures in a groove having instructions recorded thereon, and any suitable combination of the foregoing. A computer readable storage medium, as used herein, is not to be construed as being transitory signals per se, such as radio waves or other freely propagating electromagnetic waves, electromagnetic waves propagating through a waveguide or other transmission media (e.g., light pulses passing through a fiber-optic cable), or electrical signals transmitted through a wire.

[0018] Computer readable program instructions described herein can be downloaded to respective computing/processing devices from a computer readable storage medium or to an

external computer or external storage device via a network, for example, the Internet, a local area network, a wide area network and/or a wireless network. The network may comprise copper transmission cables, optical transmission fibers, wireless transmission, routers, firewalls, switches, gateway computers and/or edge servers. A network adapter card or
5 network interface in each computing/processing device receives computer readable program instructions from the network and forwards the computer readable program instructions for storage in a computer readable storage medium within the respective computing/processing device.

[0019] Computer readable program instructions for carrying out operations of the present
10 invention may be assembler instructions, instruction-set-architecture (ISA) instructions, machine instructions, machine dependent instructions, microcode, firmware instructions, state-setting data, or either source code or object code written in any combination of one or more programming languages, including an object oriented programming language such as Smalltalk, C++ or the like, and conventional procedural programming languages, such as the
15 "C" programming language or similar programming languages. The computer readable program instructions may execute entirely on the user's computer, partly on the user's computer, as a stand-alone software package, partly on the user's computer and partly on a remote computer or entirely on the remote computer or server. In the latter scenario, the remote computer may be connected to the user's computer through any type of network,
20 including a local area network (LAN) or a wide area network (WAN), or the connection may be made to an external computer (for example, through the Internet using an Internet Service Provider). In some embodiments, electronic circuitry including, for example, programmable logic circuitry, field-programmable gate arrays (FPGA), or programmable logic arrays (PLA) may execute the computer readable program instructions by utilizing
25 state information of the computer readable program instructions to personalize the electronic circuitry, in order to perform aspects of the present invention.

[0020] Aspects of the present invention are described herein with reference to flowchart illustrations and/or block diagrams of methods, apparatus (systems), and computer program products according to embodiments of the invention. It will be understood that each block of
30 the flowchart illustrations and/or block diagrams, and combinations of blocks in the

flowchart illustrations and/or block diagrams, can be implemented by computer readable program instructions.

5 **[0021]** These computer readable program instructions may be provided to a processor of a general purpose computer, special purpose computer, or other programmable data processing apparatus to produce a machine, such that the instructions, which execute via the processor of the computer or other programmable data processing apparatus, create means for implementing the functions/acts specified in the flowchart and/or block diagram block or blocks. These computer readable program instructions may also be stored in a computer readable storage medium that can direct a computer, a programmable data processing
10 apparatus, and/or other devices to function in a particular manner, such that the computer readable storage medium having instructions stored therein comprises an article of manufacture including instructions which implement aspects of the function/act specified in the flowchart and/or block diagram block or blocks.

15 **[0022]** The computer readable program instructions may also be loaded onto a computer, other programmable data processing apparatus, or other device to cause a series of operational steps to be performed on the computer, other programmable apparatus or other device to produce a computer implemented process, such that the instructions which execute on the computer, other programmable apparatus, or other device implement the functions/acts specified in the flowchart and/or block diagram block or blocks.

20 **[0023]** The flowchart and block diagrams in the Figures illustrate the architecture, functionality, and operation of possible implementations of systems, methods, and computer program products according to various embodiments of the present invention. In this regard, each block in the flowchart or block diagrams may represent a module, segment, or portion of instructions, which comprises one or more executable instructions for implementing the
25 specified logical function(s). In some alternative implementations, the functions noted in the block may occur out of the order noted in the figures. For example, two blocks shown in succession may, in fact, be executed substantially concurrently, or the blocks may sometimes be executed in the reverse order, depending upon the functionality involved. It will also be noted that each block of the block diagrams and/or flowchart illustration, and
30 combinations of blocks in the block diagrams and/or flowchart illustration, can be implemented by special purpose hardware-based systems that perform the specified

functions or acts or carry out combinations of special purpose hardware and computer instructions.

[0024] With reference now to the figures and in particular with reference to **Figures 1-2**, exemplary diagrams of data processing environments are provided in which illustrative
5 embodiments may be implemented. It should be appreciated that **Figures 1-2** are only exemplary and are not intended to assert or imply any limitation with regard to the environments in which different embodiments may be implemented. Many modifications to the depicted environments may be made.

[0025] **Figure 1** depicts a pictorial representation of a network of data processing systems in
10 which illustrative embodiments may be implemented. Network data processing system **100** is a network of computers in which the illustrative embodiments may be implemented. Network data processing system **100** contains network **102**, which is the medium used to provide communications links between various devices and computers connected together within network data processing system **100**. Network **102** may include connections, such as
15 wire, wireless communication links, or fiber optic cables.

[0026] In the depicted example, server **104** and server **106** connect to network **102** along with storage unit **108**. In addition, clients **110**, **112**, and **114** connect to network **102**. Clients **110**, **112**, and **114** may be, for example, personal computers or network computers. In the depicted example, server **106** provides data, such as boot files, operating system
20 images, and applications to clients **110**, **112**, **114** and decomposition system **116**. Decomposition system **116** may also be maintained in a storage device and available for deployment on one or more of server **104** or server **106**. Clients **110**, **112**, and **114** are clients to server **104** in this example. Network data processing system **100** may include additional servers, clients, and other devices not shown.

[0027] In the depicted example, network data processing system **100** is the Internet with
25 network **102** representing a worldwide collection of networks and gateways that use the Transmission Control Protocol/Internet Protocol (TCP/IP) suite of protocols to communicate with one another. At the heart of the Internet is a backbone of high-speed data communication lines between major nodes or host computers, consisting of thousands of
30 commercial, governmental, educational and other computer systems that route data and messages. Of course, network data processing system **100** also may be implemented as a

number of different types of networks, such as for example, an intranet, a local area network (LAN), or a wide area network (WAN). **Figure 1** is intended as an example, and not as an architectural limitation for the different illustrative embodiments.

5 **[0028]** With reference to **Figure 2** a block diagram of an exemplary data processing system operable for various embodiments of the disclosure is presented. In this illustrative example, data processing system **200** includes communications fabric **202**, which provides communications between processor unit **204**, memory **206**, persistent storage **208**, communications unit **210**, input/output (I/O) unit **212**, and display **214**.

10 **[0029]** Processor unit **204** serves to execute instructions for software that may be loaded into memory **206**. Processor unit **204** may be a set of one or more processors or may be a multi-processor core, depending on the particular implementation. Further, processor unit **204** may be implemented using one or more heterogeneous processor systems in which a main processor is present with secondary processors on a single chip. As another illustrative example, processor unit **204** may be a symmetric multi-processor system containing multiple
15 processors of the same type.

[0030] Memory **206** and persistent storage **208** are examples of storage devices **216**. A storage device is any piece of hardware that is capable of storing information, such as, for example without limitation, data, program code in functional form, and/or other suitable information either on a temporary basis and/or a permanent basis. Memory **206**, in these
20 examples, may be, for example, a random access memory or any other suitable volatile or non-volatile storage device. Persistent storage **208** may take various forms depending on the particular implementation. For example, persistent storage **208** may contain one or more components or devices. For example, persistent storage **208** may be a hard drive, a flash memory, a rewritable optical disk, a rewritable magnetic tape, or some combination of the
25 above. The media used by persistent storage **208** also may be removable. For example, a removable hard drive may be used for persistent storage **208**.

[0031] Communications unit **210**, in these examples, provides for communications with other data processing systems or devices. In these examples, communications unit **210** is a network interface card. Communications unit **210** may provide communications through the
30 use of either or both physical and wireless communications links.

[0032] Input/output unit **212** allows for input and output of data with other devices that may be connected to data processing system **200**. For example, input/output unit **212** may provide a connection for user input through a keyboard, a mouse, and/or some other suitable input device. Further, input/output unit **212** may send output to a printer. Display **214** provides a mechanism to display information to a user.

[0033] Instructions for the operating system, applications and/or programs, including decomposition system **224**, may be located in storage devices **216**, which are in communication with processor unit **204** through communications fabric **202**. In these illustrative examples the instructions are in a functional form on persistent storage **208**.

These instructions may be loaded into memory **206** for execution by processor unit **204**. The processes of the different embodiments may be performed by processor unit **204** using computer-implemented instructions, which may be located in a memory, such as memory **206**.

[0034] These instructions are referred to as program code, computer usable program code, or computer readable program code that may be read and executed by a processor in processor unit **204**. The program code, comprising instructions for execution by one or more processors of a data processing system, in the different embodiments may be embodied on different physical or tangible computer readable storage media, such as memory **206** or persistent storage **208**.

[0035] Program code **218** is located in a functional form on computer readable storage media **220** that is selectively removable and may be loaded onto or transferred to data processing system **200** for execution by processor unit **204**. Program code **218**, including decomposition system **224** stored on computer readable storage media **220** form computer program product **222** in these examples. In one example, computer readable storage media **220** may be in a tangible form, such as, for example, an optical or magnetic disc that is inserted or placed into a drive or other device that is part of persistent storage **208** for transfer onto a storage device, such as a hard drive that is part of persistent storage **208**. In a tangible form, computer readable storage media **220** also may take the form of a persistent storage, such as a hard drive, a thumb drive, or a flash memory that is connected to data processing system **200**. The tangible form of computer readable storage media **220** is also

referred to as computer recordable storage media or a computer readable data storage device. In some instances, computer readable storage media **220** may not be removable.

[0036] Alternatively, program code **218** may be transferred to data processing system **200** from computer readable storage media **220** through a communications link to
5 communications unit **210** and/or through a connection to input/output unit **212**. The communications link and/or the connection may be physical or wireless in the illustrative examples.

[0037] In some illustrative embodiments, program code **218**, including decomposition system **224**, may be downloaded over a network to persistent storage **208** from another
10 device or data processing system for use within data processing system **200**. For instance, program code stored in a computer readable data storage device in a server data processing system may be downloaded over a network from the server to data processing system **200**. The data processing system providing program code **218** may be a server computer, a client computer, or some other device capable of storing and transmitting program code **218**.

[0038] Using data processing system **200** of **Figure 2** as an example, a computer-implemented process for automated application decomposition is presented. Processor unit **204** collects a set of information specific to an application by a plurality of external tools. Processor unit **204** applies predefined heuristics and corresponding predefined conclusions, categorized corresponding to each of a particular external tool domain, to the set of
20 information collected by the plurality of external tools to create an intermediate result. The intermediate result is analyzed by processor unit **204** to form a set of conclusions about factors, representative of the application, used in application decomposition.

[0039] Processor unit **204** using the set of conclusions exported generates a model of the application, wherein the model is a starting point for identification of threats and weaknesses
25 specific to the application. The computer-implemented process for automated application decomposition provides integration between an existing threat modeling tools and external software for the purpose of automated application decomposition.

[0040] With reference to **Figure 3** a block diagram of a decomposition system operable for various embodiments of the disclosure is presented. Decomposition system **300** is an
30 example of a system for programmatic decomposition of an application for use in threat

modeling. Decomposition system **300** enables the integration of scanned result data into a format for use in a threat modeling tool and model instance.

[0041] Decomposition system **300** provides a set of capabilities to fulfill programmatic decomposition of an application using a set of interdependent components comprising sets
 5 of data **302**, predefined heuristics **304**, predefined conclusions **306**, analyzer **308**, analyzed data **310**, generator **312**, model **314**, user interface **316**. Decomposition system **300** relies upon and leverages the capabilities and services of an underlying data processing system, for example, network data processing **100** of **Figure 1** or data processing system **200** of **Figure 2**.

[0042] The example of decomposition system **300** is not intended to be limited to the implementation depicted and is only provided for illustration purposes. One skilled in the art would readily construe other variations including combining one or more components into one or more integrated components to be an equivalent representation within the scope of the current example.

[0043] Set of data **302** comprises an aggregation of raw data resulting from multiple, heterogeneous types of scanning and data generation tools which are typically external (to the application) tools. Each member of set of data **302** includes a variety of factors used in application decomposition. Data resulting from each of the multiple, heterogeneous types of external tools (may also be referred to as a third party tool) are collected from the external
 20 tools into a respective instance of a member in set of data **302**. An external tool (for example, a security scanner) generates the raw data, which is later used in a particular form as subsequent input to a threat modeling tool used to identify potential threats.

[0044] Set of data **302** accordingly forms a collection of information required to start a threat modeling process. Each member in set of data **302** corresponds to results obtained
 25 from use of a specific third party tool. The result is maintained in one of a format provided by the specific third party or in a common format across third party tools. As disclosed, decomposition system **300** does not require the raw data to be transformed into a common format. A decision to transform the raw data into a common format is therefore optional as an implementation choice, but is not a current requirement of the disclosed method. An
 30 example of typical types of tools and data generated by a respective type of tool is provided in **Figure 4**.

[0045] Predefined heuristics **304** is a set of heuristics applied to set of data **302**, provided by the third party tools, to draw conclusions from predefined conclusions **306** associated with a variety of factors used in application decomposition. Predefined heuristics **304** are categorized based on the data provided by the third party tool as set of data **302**. Predefined
 5 heuristics **304** limits a search for solutions in domains of the data types by enabling decisions based upon the information provided in the form of a matching of the data type and an associated predefined heuristic with a conclusion.

[0046] Predefined conclusions **306** provide a set of conclusions associated with one or more predefined heuristics **304** for a particular data type of set of data **302**. For example in a
 10 particular domain or data type, and an instance of a given heuristic defines a specific corresponding conclusion. The predefined relationship of the tuple comprising the data type, the heuristic and the conclusion identifies the data captured and purpose. A data structure providing a set of example heuristic relationships is described in **Figure 5**.

[0047] Analyzer **308** provides a capability to receive one or more members of set of data
 15 **302** corresponding to results obtained from use of on or more receptive specific third party tools. Analyzer **308** applies one or more selected predefined heuristics **304** categorized corresponding to each of the particular external tool domains in the set of information collected by the plurality of external tools in accordance with the particular member of set of data **302** and matching with a corresponding one of predefined conclusions **306** to provide a
 20 particular resolution.

[0048] Set of data **302** in a form of an aggregation is processed by analyzer **308** to yield analyzed data **310**. Each member of set of data **302** is processed according to a respective data type. Members of set of data **302** are not combined into a combination of more than one data type or data from more than one tool of a same or different type. Analyzed data **310**
 25 represents a processed version of respective members of set of data **302**. Analyzed data **310** is an intermediate form exported for use in subsequent processing as input to generator **312**.

[0049] Generator **312** provides a capability to receive as input the process data of analyzed data **310** and produce model **314** as a result. In one embodiment generator **312** also includes a user interface **316** for further refining analyzed data **310**, which is used to populate various
 30 fields of user interface **316**. When no user interface is present, generator **312** operates in silent mode to produce model **314**.

[0050] Generator **312** provides a set of transforms used to process each respective member of analyzed data **310** into model **314**, which is used to start a threat modeling process as a starting point for identification of threats and weaknesses that apply to the particular application. An example of an embodiment of user interface **316** is provided and further
 5 described in **Figure 6**.

[0051] With reference to **Figure 4** a block diagram of data structure representing external tools and corresponding data relationship used in the decomposition system of **Figure 3** in accordance with one embodiment of the disclosure is provided. Data structure **400** is an example representation of an in memory structure defining one or more external data
 10 collection tools and a corresponding data generated by and collected from a respective one or more the external data collection tools used in an embodiment of decomposition system **300** of **Figure 3**.

[0052] In the current example, data structure **400** is depicted in tabular form but as appreciated by one skilled in the art other variations may also be defined including a simple
 15 list. Data structure **400** defines a relationship between a particular category of external tools **402** and corresponding data **404** generated by using a tool of the particular category. A category may also be referred to herein as a domain or external tool domain.

[0053] For example, when a tool belongs to a category of application security tools **406** corresponding data **408** is available. Corresponding data **408** provides information typically
 20 associated with testing and exploration traffic, security scan configurations, source code, and code libraries. In a further example, the category of network security devices **410** provides information typically associated with network traffic **412** including usage patterns, deployment information and network topology. In a further example, the category of business modeling tools **414** provides information typically associated with actors, business
 25 processes, user actions, and business assets **416**.

[0054] Data structure **400** may also be used in the form of a checklist of information to be collected and sources available from which to collect the required information. Data structure **400** defines possible sources of associated information from which set of data **302** of **Figure 3** is obtained.

[0055] With reference to **Figure 5** a block diagram of heuristic relationship data structure used in the decomposition system of **Figure 3** in accordance with one embodiment of the disclosure is provided.

[0056] In the current example, data structure **500** is depicted in tabular form but as appreciated by one skilled in the art other variations may also be supported include comma separated values or linked list, hash table, binary search tree, an associative array, map, symbol table, or dictionary of an abstract data type comprising a collection of (key, value) pairs, in which each of the possible key values appears no more than one time in a respective collection. For example within a particular data type of network traffic there is only one instance of a specific heuristic and therefore only one corresponding matching conclusion for the given combination the particular data type and specific heuristic. Data structure **500** maintains a set of data used in search operations performed using analyzer **308** of **Figure 3**.

[0057] Data structure **500** is depicted in a singular tabular form but may be stored as a set of data structures in which each category or data type is saved as a separate structure. These sub-structures may then be accessed to perform a lookup using a tuple of a data type and heuristic to pull a corresponding conclusion during an analysis phase of processing.

[0058] Data structure **500** in the example includes headings of data type **502**, conclusion **504** and heuristic **506** to define the contents of the table in the view. In this example, data types of category **508-514** are defined. Each row in data structure **500** accordingly defines an association between data type **502** and a combination of one or more conclusion **504** with one or more heuristic **506**. For example in category **508** defining network traffic there is a one to one correspondence between each of heuristics **506**, in this case heuristic **518**, and respective conclusions **504**, in this case conclusion **516**. In a similar manner category **510** defines a relationship between heuristic **522** and conclusion **520**. However in category **514** there is an example of a one to many relationships between a single heuristic **526** and multiple conclusions **524**.

[0059] In the example of category **514**, data extraction representative of business process as in heuristic **526** includes specific data to resolve conclusions **524** comprising identifying industries to which the application belongs, identifying a type of data stored by the application and identifying types of users of the application. When analyzer **308** of **Figure 3** is running in silent mode multiple fields of analyzed data would be populated. Whereas

when user interface **316** of **Figure 3** is used a user may be prompted to verify and refine the data presented as multiple filed values.

[0060] Analyzer **308** of **Figure 3** uses the content of data structure **500** to parse the raw data of set of data **302**, according to a respective data type category, such as one of categories category **508-514**. As disclosed previously, data structure **500** may be implemented a set of one or more structures. When multiple structures as described are used data structure **500** may be sub-divided so each sub-structure is one specific category enabling efficient search using a combination of data type **502** and heuristic **506** to resolve to a particular conclusion **504** (or as in the case of category **514** a set of conclusions **524**).

[0061] With reference to **Figure 6** a graphic diagram of a mock user interface for a decomposition tool used in the decomposition system of **Figure 3** in accordance with one embodiment of the disclosure is provided. User interface **600** is an example of a graphical user interface for collection and refinement of raw data obtained from one or more external tools used to generate data representative of a particular application of interest.

[0062] User interface **600** represents a portion of a user interface as maybe used by a user to further refine (or provide additional) data obtained from one or more external tools used to extract information describing characteristics of an application of interest. Continuing the example of **Figure 5**, threat modeling tool **638** comprises a number of sections, each selection further comprising a number of fields including fields **604 – 608** which prompt a user for data representative of business process as in heuristic **526** including specific data to resolve conclusions **524** comprising identifying types of users of the application, identifying a type of data stored by the application, and identifying a type of industry to which the application belongs. In the current example, a selection of application information **602** from navigation area **634** opens a dialog portion of application information **602**. Highlighted portions, including fields **612** and **614**, include information presumed previously populated by generator **312** of **Figure 3**.

[0063] Fields **604** identify a set of fields indicating a specification of four types of users including anonymous users **610**, normal users **612**, super users **614** and service users **616**. Fields **606** identify a set of fields indicating a specification of five types data stored including application configuration **618**, personal information **620**, server **622**, application data **624** and application logic **626**. Fields **608** identify a set of fields indicating a

specification of four kinds of industry including analytics **628**, banking **630**, building **634** and communication **636**.

[0064] With reference to **Figure 7** a flowchart of an overview of an application decomposition process using the decomposition system of **Figure 3** in accordance with one
5 embodiment of the disclosure is provided.

[0065] Process begins (step **702**) and receives external tool generated data from a plurality of external tools (step **704**). The plurality of external tools represent one or more tools used to gather information on one or more aspects of an application including network metrics, security scan configuration, application source snippets and business processes collected
10 from business modeling tools.

[0066] Process **700** aggregates the external tool data (step **706**). Data aggregation does not combine the data into a homogenous mass; rather aggregation collects data from the plurality of external tools and maintains the data in accordance with a respective category and respective tool to maintain the integrity of the data. In an alternative embodiment an
15 optional normalization of the data may be performed prior to providing the data for analysis, but this normalization is not required and would be implementation specific.

[0067] Process **700** analyzes the aggregated data (step **708**). Analysis comprises parsing the respective category and tool data using definitions provided by a heuristic relationship data structure **710** comprising for each data type of a category of tool generated data a set of
20 predefined heuristics and a corresponding set of predefined conclusions. During analysis process **700** matches a combination of the data type of the particular category of tool generated data and the associated predefined heuristics to the corresponding set of predefined conclusions.

[0068] Process **700** creates analyzed data **712** as a result of analyzing the aggregated data.
25 Process **700** generates an application model using the analyzed data **712** as input (step **714**). The application model comprises a description of characteristics of the application in accordance with the raw data provided by the plurality of external tools. The application model may be generated in one of a selected modeling language as required for input by a subsequent modeling tool. When provided, user interface **716** may also be used to further
30 refine the result of parsing the external tool data and/or to provide additional data as required supplementing the external tool data. Optionally the generator may create an application

model in a selected format specific to a particular threat modeling tool rather than a universal model. An optional step may also transform the model generated into a format suited to a specific threat modeling tool.

5 **[0069]** Process 700 determines whether to initiate threat modeling (step 718). Threat modeling is a subsequent modeling activity using the generated application model of step 714 as input. In response to a determination to not initiate threat modeling (a normal response) process 700 terminates thereafter (step 722). In response to a determination to initiate threat modeling process 700 initiates threat modeling using the generated application model (step 720) and terminates thereafter (step 722).

10 **[0070]** Process 700 therefore describes a high level view of a method for automated application decomposition using data obtained from external tools for use in threat modeling. Process 700 includes a number of operations comprising collecting a set of information specific to an application by a plurality of external tools, wherein the set of information includes information comprising information associated with a particular
15 external tool domain including at least an application security tool domain, a network security tool domain and a business modeling tool domain; applying a series of heuristics categorized corresponding to each of the particular external tool domains to the set of information collected by the plurality of external tools to create a result; and analyzing the result to form a set of conclusions about factors used in application decomposition, wherein
20 the set of conclusions is exported for use in constructing a model of the application and as a starting point for identification of threats and weaknesses that apply to the application.

[0071] Thus is presented in an illustrative embodiment a computer-implemented process for automated application decomposition. The computer implemented process collects a set of information specific to an application by a plurality of external tools and applies
25 predefined heuristics and corresponding predefined conclusions, categorized corresponding to each of a particular external tool domain, to the set of information collected by the plurality of external tools to create an intermediate result. The intermediate result is analyzed to form a set of conclusions about factors, representative of the application, used in application decomposition. A model of the application is generated using the set of
30 conclusions exported, wherein the model is a starting point for identification of threats and weaknesses specific to the application.

[0072] In another embodiment a computer-implemented process for automated application decomposition comprises receiving external tool generated data, representative of an application, from a plurality of external tools. The external tool data is aggregated. The aggregated data is analyzed using a set of predefined heuristics and a set of predefined conclusions to create analyzed data. The analyzed data is used to generate an application model. The application model will be used in a threat modeling environment.

[0073] In another embodiment an apparatus for automated application decomposition, comprises a collector of a set of information specific to an application generated by a plurality of external tools. The apparatus further comprises an analyzer which applies predefined heuristics and corresponding predefined conclusions, categorized corresponding to each of a particular external tool domain, to the set of information collected from the plurality of external tools to create an intermediate result to analyze the intermediate result to form a set of conclusions about factors, representative of the application, used in application decomposition. An exporter exports the set of conclusions for subsequent use. A generator constructs a model of the application using the set of conclusions exported; wherein the model constructed is a starting point for identification of threats and weaknesses specific to the application.

[0074] In another embodiment a tool for automated application decomposition comprises a communications fabric; a memory connected to the communications fabric, wherein the memory contains computer executable program code; a communications unit connected to the communications fabric; an input/output unit connected to the communications fabric; a display connected to the communications fabric; and a processor unit connected to the communications fabric. The processor unit executes the computer executable program code to direct the apparatus to generate a set of information specific to an application by the tool, wherein the tool belongs to at least one category of tools comprising an application security tools providing information associated with testing and exploration traffic, security scan configurations, source code, and code libraries; network security devices providing information associated with network traffic including usage patterns, deployment information and network topology; business modeling tools providing information typically associated with actors, business processes, user actions, and business assets, and wherein the

set of information is raw data resulting from at least one of multiple, heterogeneous types of scanning and data generation of the tool.

5 **[0075]** The processor unit further executes the computer executable program code to direct the apparatus to apply predefined heuristics and corresponding predefined conclusions, categorized corresponding to a particular external tool domain, and to the set of information collected by the tool to create an intermediate result. The processor unit executes the computer executable program code to further direct the apparatus to analyze the intermediate result to form a set of conclusions about factors representative of the application used in application decomposition.

10 **[0076]** The processor unit executes the computer executable program code to direct the apparatus to export the set of conclusions, wherein the set of conclusions exported, is suitable to generate a model of the application provides integration between an existing threat modeling tool and the tool for automated application decomposition and wherein the model is a starting point for identification of threats and weaknesses specific to the
15 application.

[0077] The flowchart and block diagrams in the figures illustrate the architecture, functionality, and operation of possible implementations of systems, methods, and computer program products according to various embodiments of the present invention. In this regard, each block in the flowchart or block diagrams may represent a module, segment, or portion
20 of code, which comprises one or more executable instructions for implementing a specified logical function. It should also be noted that, in some alternative implementations, the functions noted in the block might occur out of the order noted in the figures. For example, two blocks shown in succession may, in fact, be executed substantially concurrently, or the blocks may sometimes be executed in the reverse order, depending upon the functionality
25 involved. It will also be noted that each block of the block diagrams and/or flowchart illustration, and combinations of blocks in the block diagrams and/or flowchart illustration, can be implemented by special purpose hardware-based systems that perform the specified functions or acts, or combinations of special purpose hardware and computer instructions.

30 **[0078]** The corresponding structures, materials, acts, and equivalents of all means or step plus function elements in the claims below are intended to include any structure, material, or act for performing the function in combination with other claimed elements as specifically

claimed. The description of the present invention has been presented for purposes of illustration and description, but is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art without departing from the scope and spirit of the invention. The embodiment
5 was chosen and described in order to best explain the principles of the invention and the practical application, and to enable others of ordinary skill in the art to understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.

[0079] The invention can take the form of an entirely hardware embodiment, an entirely
10 software embodiment or an embodiment containing both hardware and software elements. In a preferred embodiment, the invention is implemented in software, which includes but is not limited to firmware, resident software, microcode, and other software media that may be recognized by one skilled in the art.

[0080] It is important to note that while the present invention has been described in the
15 context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present invention are capable of being distributed in the form of a computer readable data storage device having computer executable instructions stored thereon in a variety of forms. Examples of computer readable data storage devices include recordable-type media, such as a floppy disk, a hard disk drive, a RAM, CD-ROMs,
20 DVD-ROMs. The computer executable instructions may take the form of coded formats that are decoded for actual use in a particular data processing system.

[0081] A data processing system suitable for storing and/or executing computer executable instructions comprising program code will include one or more processors coupled directly or indirectly to memory elements through a system bus. The memory elements can include
25 local memory employed during actual execution of the program code, bulk storage, and cache memories which provide temporary storage of at least some program code in order to reduce the number of times code must be retrieved from bulk storage during execution.

[0082] Input/output or I/O devices (including but not limited to keyboards, displays, pointing devices, etc.) can be coupled to the system either directly or through intervening
30 I/O controllers.

[0083] Network adapters may also be coupled to the system to enable the data processing system to become coupled to other data processing systems or remote printers or storage devices through intervening private or public networks. Modems, cable modems, and Ethernet cards are just a few of the currently available types of network adapters.

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CLAIMS:

What is claimed is:

1. A computer-implemented process for automated application decomposition, comprising:
 - 5 collecting a set of information specific to an application by a plurality of external tools;
 - applying predefined heuristics and corresponding predefined conclusions, categorized corresponding to each of a particular external tool domain, to the set of information collected by the plurality of external tools to create an intermediate result;
 - 10 analyzing the intermediate result to form a set of conclusions about factors, representative of the application, used in application decomposition;
 - exporting the set of conclusions; and
 - generating a model of the application using the set of conclusions exported, wherein computer-implemented process provides integration between an existing threat modeling
 - 15 tool and external software for a purpose of automated application decomposition and wherein the model is a starting point for identification of threats and weaknesses specific to the application.
2. The computer-implemented process of claim 1 wherein the set of information includes information comprising information associated with a particular external tool
- 20 domain including an application security tool domain, a network security tool domain, and application source domain and a business modeling tool domain.
3. The computer-implemented process of claim 1 wherein the predefined heuristics and the corresponding predefined conclusions are associated with a particular external tool domain to form a data structure, and wherein a predefined heuristic of the predefined
- 25 heuristics is mapped to one or more corresponding predefined conclusions.
4. The computer-implemented process of claim 3 wherein the data structure describes a single particular external tool domain and associated predefined heuristics and the corresponding predefined conclusions.

5. The computer-implemented process of claim 1 wherein the collecting a set of information specific to an application by a plurality of external tools further comprises:

aggregating the set of information according to each particular external tool domain and within each particular external tool domain to each of the plurality of external tools.

5 6. A computer-implemented process for automated application decomposition, the computer-implemented process comprising:

receiving external tool generated data, representative of an application, from a plurality of external tools;

aggregating the external tool data;

10 analyzing the aggregated data using a set of predefined heuristics and a set of predefined conclusions to create analyzed data; and

generating an application model using the analyzed data.

7. The computer-implemented process of claim 6 wherein the external tool generated data includes information comprising information associated with each of a plurality of
15 external tool domains including an application security tool domain, a network security tool domain, and application source domain and a business modeling tool domain.

8. The computer-implemented process of claim 6 wherein the set of predefined heuristics and the set of corresponding predefined conclusions are associated with a particular external tool domain to form a data structure, wherein a predefined heuristic of the
20 set of predefined heuristics is mapped to one or more corresponding predefined conclusions in the set of corresponding predefined conclusions.

9. The computer-implemented process of claim 8 wherein the data structure describes a single particular external tool domain and associated predefined heuristics and the corresponding predefined conclusions.

25 10. The computer-implemented process of claim 1 wherein the aggregating further comprises:

aggregating the external tool generated data received according to each particular external tool domain and within each particular external tool domain to each of the plurality of external tools.

11. An apparatus for automated application decomposition, comprising:

5 a collector of a set of information specific to an application generated by a plurality of external tools;

an analyzer that applies predefined heuristics and corresponding predefined conclusions, categorized corresponding to each of a particular external tool domain, to the set of information collected by the plurality of external tools to create an intermediate result
10 to analyze the intermediate result to form a set of conclusions about factors, representative of the application, used in application decomposition;

an exporter to send the set of conclusions for subsequent use; and

a generator to construct a model of the application using the set of conclusions exported, wherein the model is a starting point for identification of threats and weaknesses
15 specific to the application.

12. A computer program product for automated application decomposition, the computer program product comprising:

a computer recordable-type media containing computer executable program code stored thereon, the computer executable program code comprising:

20 computer executable program code for collecting a set of information specific to an application by a plurality of external tools;

computer executable program code for applying predefined heuristics and corresponding predefined conclusions, categorized corresponding to each of a particular external tool domain, to the set of information collected by the plurality of external tools to
25 create an intermediate result; and

computer executable program code for analyzing the intermediate result to form a set of conclusions about factors, representative of the application, used in application decomposition;

computer executable program code for exporting the set of conclusions; and

computer executable program code for generating a model of the application using the set of conclusions exported, wherein computer-implemented process provides integration between an existing threat modeling tool and external software for a purpose of automated application decomposition and wherein the model is a starting point for
 5 identification of threats and weaknesses specific to the application.

13. The computer program product of claim 12 wherein the set of information includes information comprising information associated with a particular external tool domain including an application security tool domain, a network security tool domain, and application source domain and a business modeling tool domain.

10 14. The computer program product of claim 12 wherein the predefined heuristics and the corresponding predefined conclusions are associated with a particular external tool domain to form a data structure, wherein a predefined heuristic of the predefined heuristics is mapped to one or more corresponding predefined conclusions.

15 15. The computer program product of claim 14 wherein the data structure describes a single particular external tool domain and associated predefined heuristics and the corresponding predefined conclusions.

16. The computer program product of claim 12 wherein the computer executable program code for collecting a set of information specific to an application by a plurality of external tools further comprises:

20 computer executable program code for aggregating the set of information according to each particular external tool domain and within each particular external tool domain to each of the plurality of external tools.

17. A computer-implemented process for automated application decomposition, the computer-implemented process comprising:

25 receiving external tool generated data, representative of an application, from a plurality of external tools;
 aggregating the external tool data;

analyzing the aggregated data using a set of predefined heuristics and a set of predefined conclusions to create analyzed data; and
 generating an application model using the analyzed data.

18. The computer-implemented process of claim 17 wherein the external tool generated
 5 data includes information comprising information associated with each of a plurality of external tool domains including an application security tool domain, a network security tool domain, and application source domain and a business modeling tool domain.

19. The computer-implemented process of claim 17 wherein the set of predefined heuristics and the set of corresponding predefined conclusions are associated with a
 10 particular external tool domain to form a data structure, wherein a predefined heuristic of the set of predefined heuristics is mapped to one or more corresponding predefined conclusions in the set of corresponding predefined conclusions.

20. The computer-implemented process of claim 19 wherein the data structure describes a single particular external tool domain and associated predefined heuristics and the
 15 corresponding predefined conclusions.

21. The computer-implemented process of claim 17 wherein the aggregating further comprises:

aggregating the external tool generated data received according to each particular external tool domain and within each particular external tool domain to each of the plurality
 20 of external tools.

22. An apparatus for automated application decomposition, the apparatus comprising:

a communications fabric;

a memory connected to the communications fabric, wherein the memory contains computer executable program code;

25 a communications unit connected to the communications fabric;

an input/output unit connected to the communications fabric;

a display connected to the communications fabric; and

a processor unit connected to the communications fabric, wherein the processor unit executes the computer executable program code to direct the apparatus to:

collect a set of information specific to an application by a plurality of external tools;

5 apply predefined heuristics and corresponding predefined conclusions, categorized corresponding to each of a particular external tool domain, to the set of information collected by the plurality of external tools to create an intermediate result; and

analyze the intermediate result to form a set of conclusions about factors, representative of the application, used in application decomposition;

export the set of conclusions; and

10 generate a model of the application using the set of conclusions exported, wherein computer-implemented process provides integration between an existing threat modeling tool and external software for a purpose of automated application decomposition and wherein the model is a starting point for identification of threats and weaknesses specific to the application.

15

23. A tool for automated application decomposition, the apparatus comprising:

a communications fabric;

a memory connected to the communications fabric, wherein the memory contains computer executable program code;

20

a communications unit connected to the communications fabric;

an input/output unit connected to the communications fabric;

a display connected to the communications fabric; and

a processor unit connected to the communications fabric, wherein the processor unit executes the computer executable program code to direct the apparatus to:

25

generate a set of information specific to an application by the tool, wherein the tool belongs to at least one category of tools comprising an application security tools providing information associated with testing and exploration traffic, security scan configurations, source code, and code libraries; network security devices providing information associated with network traffic including usage patterns, deployment information and network topology; business modeling tools providing information typically associated with actors, business processes, user actions, and business assets, and wherein the set of information is

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raw data resulting from at least one of multiple, heterogeneous types of scanning and data generation of the tool;

5 apply predefined heuristics and corresponding predefined conclusions, categorized corresponding to a particular external tool domain, and to the set of information collected by the tool to create an intermediate result; and

 analyze the intermediate result to form a set of conclusions about factors representative of the application used in application decomposition; and

10 export the set of conclusions, wherein the set of conclusions exported, is suitable to generate a model of the application provides integration between an existing threat modeling tool and the tool for automated application decomposition and wherein the model is a starting point for identification of threats and weaknesses specific to the application.

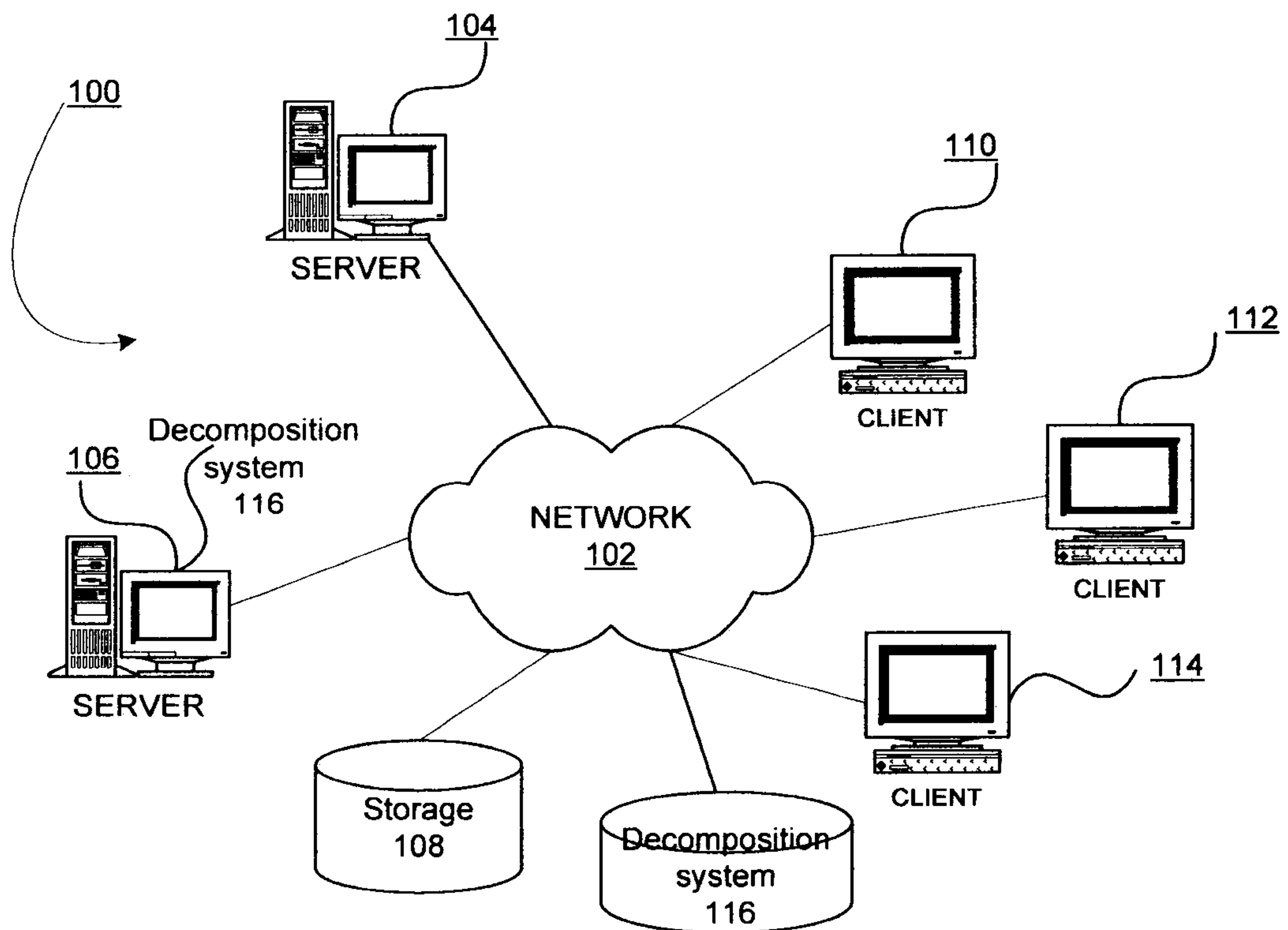
FIG. 1CA920140074CA1
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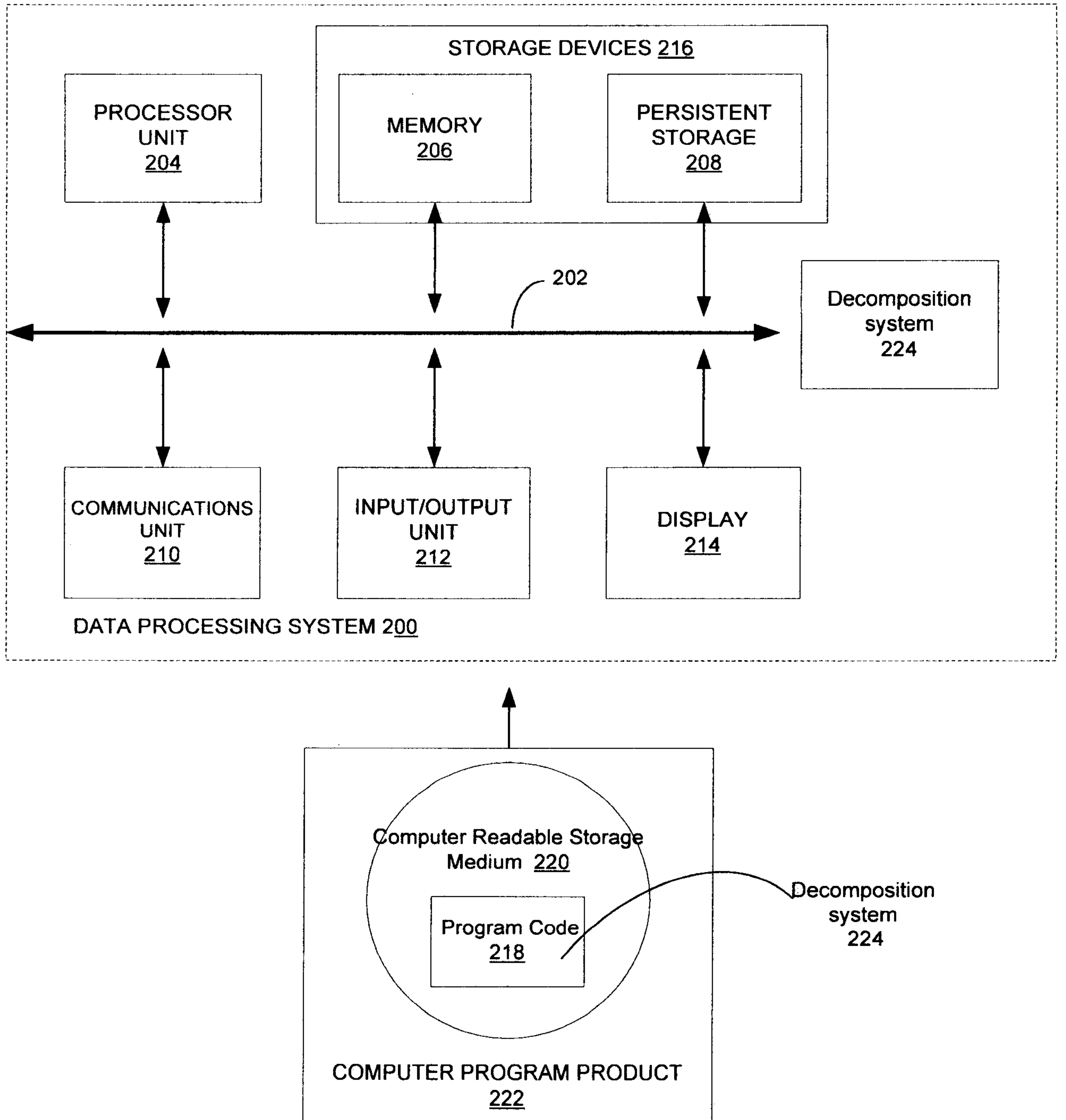
FIG. 2CA920140074CA1
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FIG. 3

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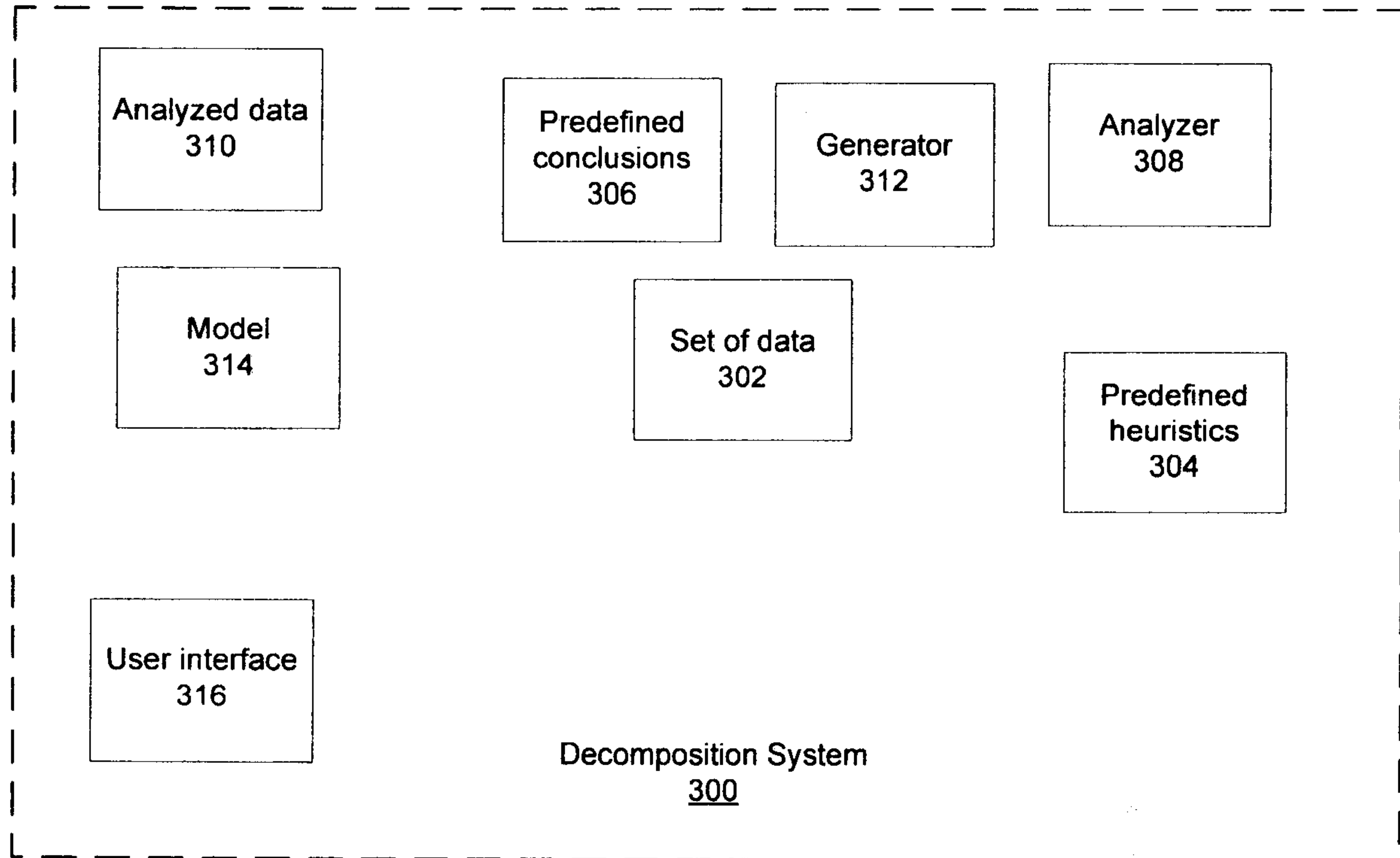


FIG. 3

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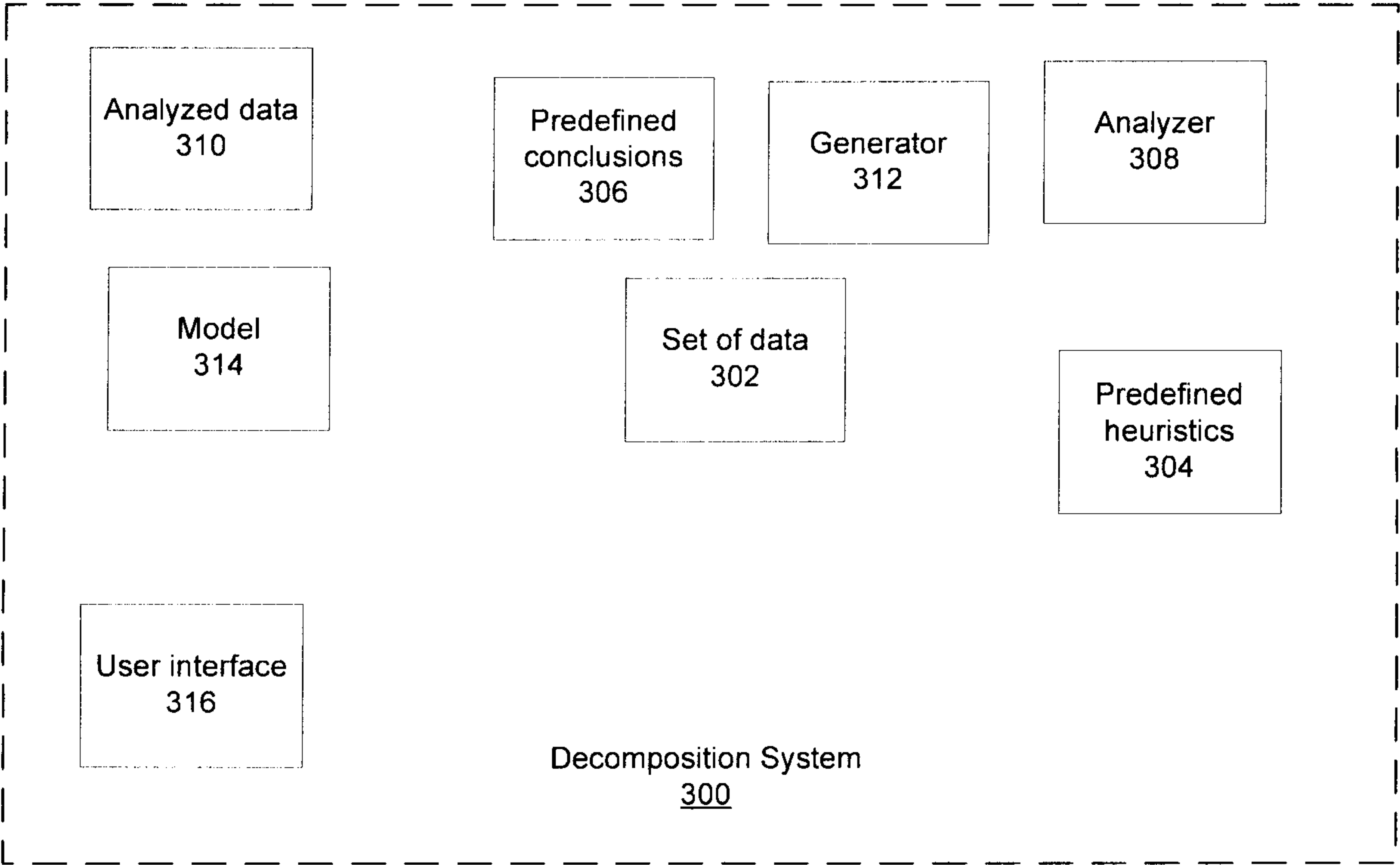


FIG. 4

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Possible external tools	<u>402</u>	Data to be leveraged	<u>404</u>
application security tools	<u>406</u>	testing and exploration traffic, security scan configurations, source code, code libraries	<u>408</u>
network security devices	<u>410</u>	network traffic including usage patterns, deployment, topology)	<u>412</u>
business modeling tools	<u>414</u>	actors, business processes, user actions, business assets	<u>416</u>

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FIG. 5

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Data Type 502	Conclusion 504	Heuristic 506
Network Traffic including but not limited to application Web scanning, and network traffic captures 508	Identify whether the application has users 516	Implied by the existence of a login page 518
	Identify whether private information is contained in the application	Looking in the traffic for forms that contain input fields and matching specific regular expression patterns including numbers for credit card, phone, social security and email identifiers
	Identify a number of users expected by the application	Looking at a number of data flows captured by a network security device
	Identify whether the application is publically accessible	Looking at hosts interacting with an application
	Identify whether a web application	Looking at network traffic type including HTTP(s)
	Identify a language used in the application	File extensions from the traffic
	Identify a type of webserver on which the application is deployed	HTTP headers in the traffic
	Identify whether the application is using the network	Presence of network traffic
Security Scan Configuration 510	Identify whether the application uses authorization 520	Login configuration 522
Application Source snippets from White-Box Scanning 512	Identify whether this is a desktop, web or browser plugin application	Source code and project types
	Identify whether there is a database in the application	Searching for database queries in the source code
	Identify a language used in the application	Project types
Business Processes collected from Business modeling tools 514	Identify industries to which the application belongs	Business process model 524 526
	Identify a type of data application stores	
	Identify a type of users of the application	
	Identify types of user interactions related to the application	Use-case diagram of the business process model

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FIG. 6

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Threat Modeling Tool 638 Active Active

634

Overview

Application Information

Infrastructure

Threats

Weaknesses

Countermeasures

Risk assessment

Action items

Report

Process Next

Application Information 602

604

What kind of users do you have in your application?

◆ Anonymous Users 610 ◆ Normal Users 612 ◆ Super Users 614 ◆ Service Users 616

606

What kind of data do you store in your application?

◆ Application Configuration 626 ◆ Personal information 618 ◆ Server 620 ◆ Application Data 624

608

What kind of industry is the application for?

◆ Analytics 628 ◆ Banking 630 ◆ Retail 634 ◆ Communication 636

FIG. 7

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700

