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(54) **METHODS AND DEVICES FOR DELIVERY OF PROSTHETIC HEART VALVES AND OTHER PROSTHETICS**

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(52) **U.S. Cl.** **623/2.1**

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(57) **ABSTRACT**

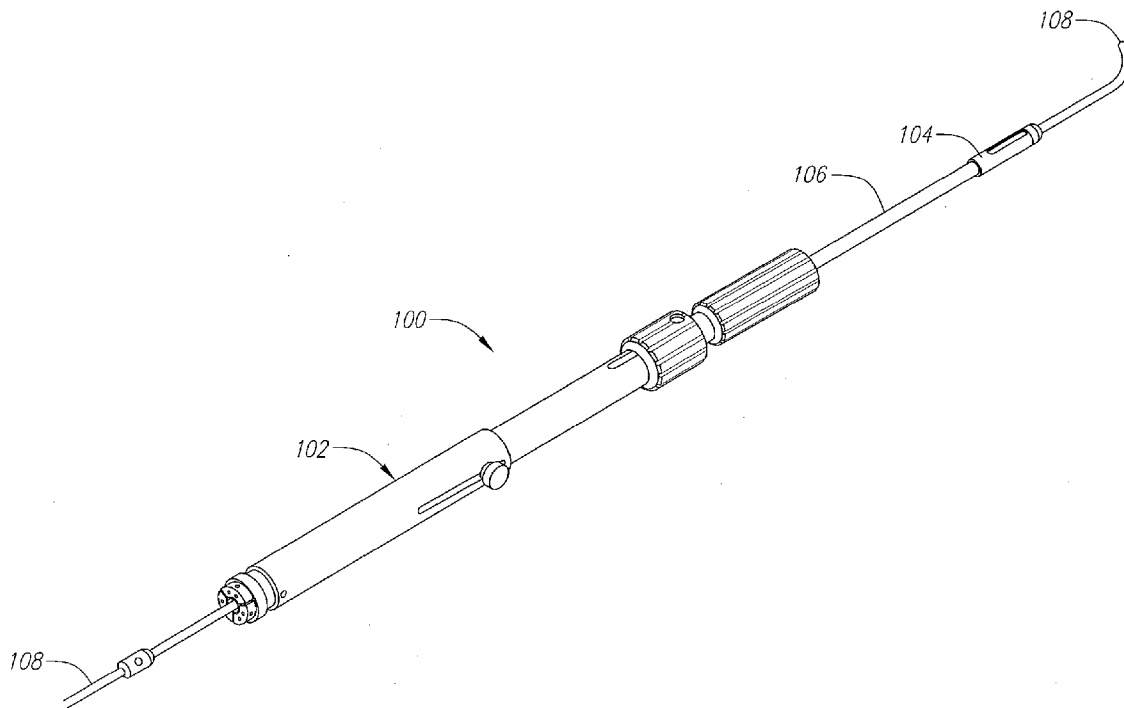
Prosthetic valves and their component parts are described, as are prosthetic valve delivery devices and methods for their use. The prosthetic valves are particularly adapted for use in percutaneous aortic valve replacement procedures. The delivery devices are particularly adapted for use in minimally invasive surgical procedures. The preferred delivery device includes a catheter having a deployment mechanism attached to its distal end, and a handle mechanism attached to its proximal end. A plurality of tethers are provided to selectively restrain the valve during deployment. A number of mechanisms for active deployment of partially expanded prosthetic valves are also described.

(21) Appl. No.: **12/825,817**

(22) Filed: **Jun. 29, 2010**

Related U.S. Application Data

(63) Continuation of application No. 11/364,715, filed on Feb. 27, 2006, now Pat. No. 7,749,266.



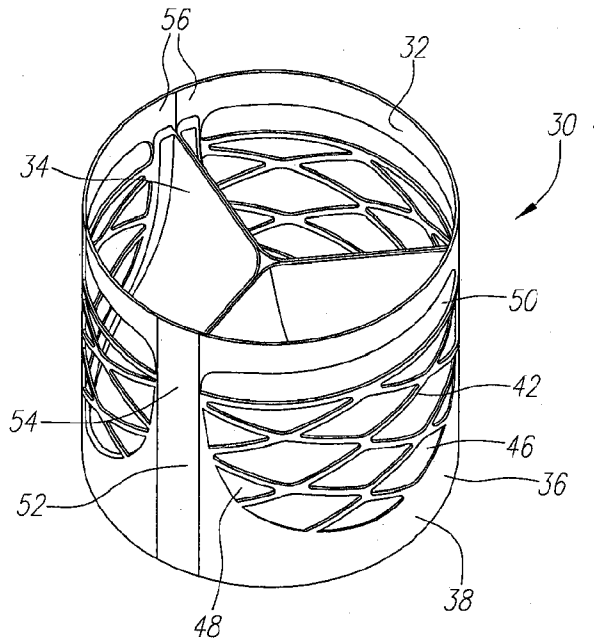


FIG. 1A

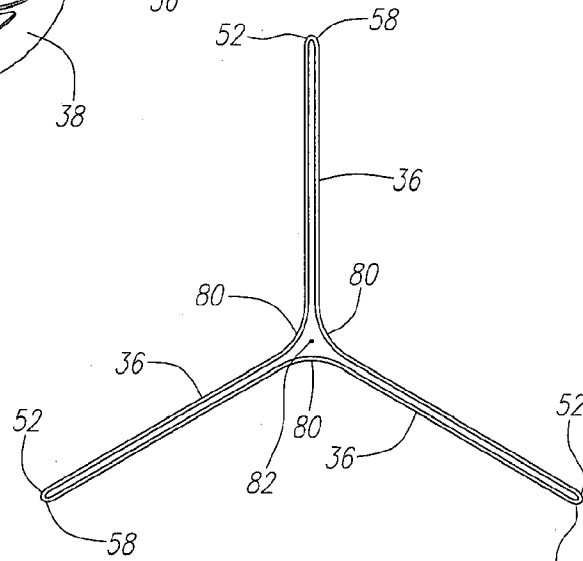


FIG. 1B

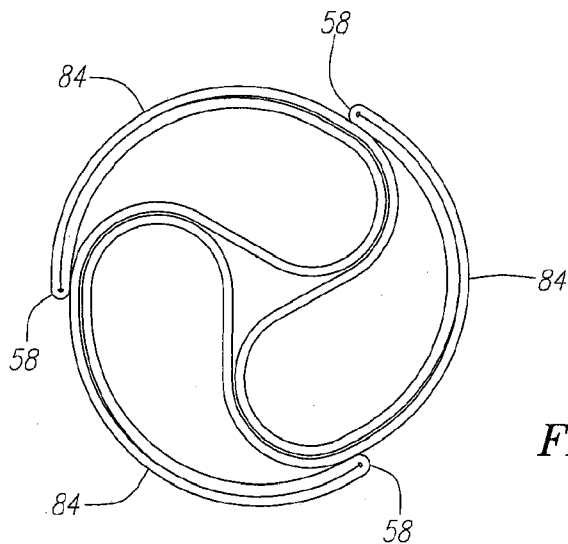


FIG. 1C

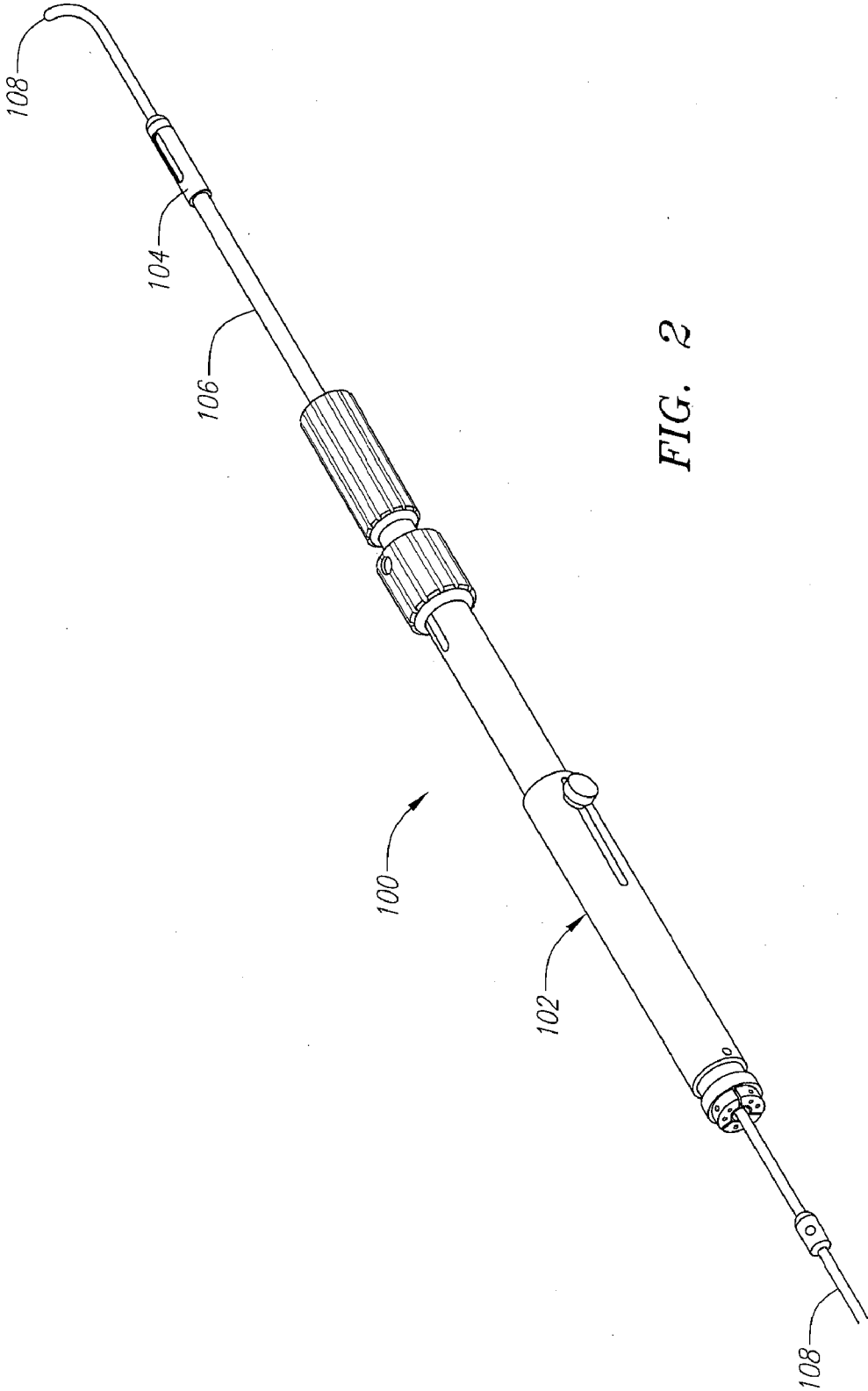


FIG. 2

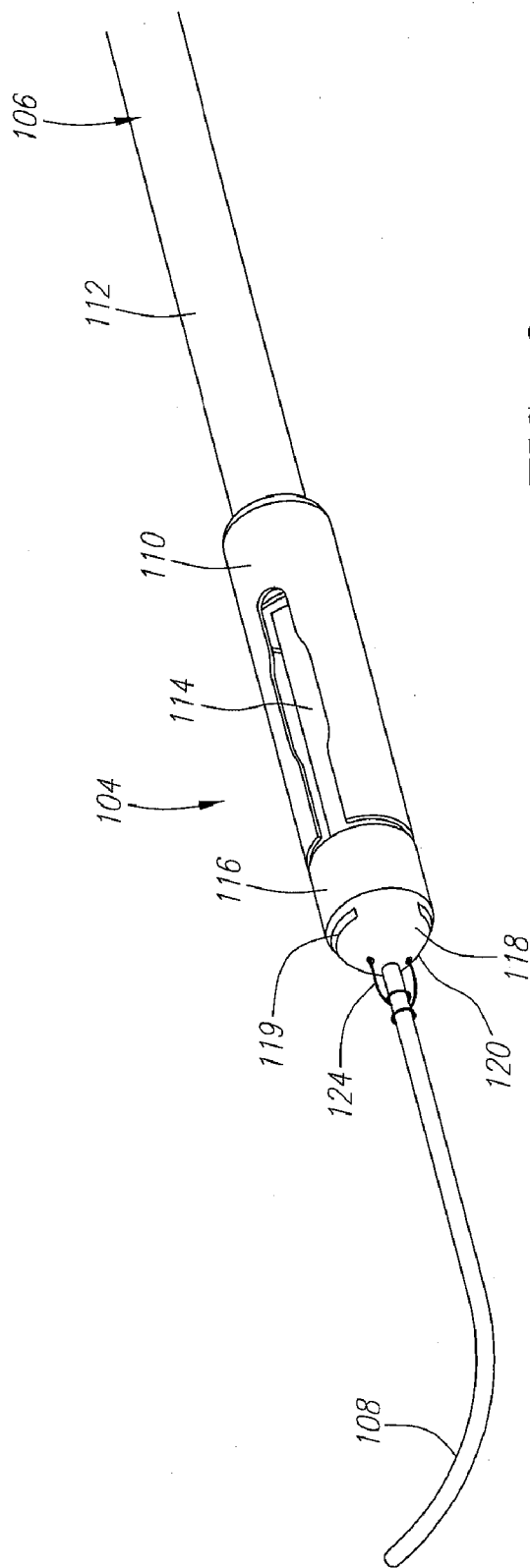


FIG. 3

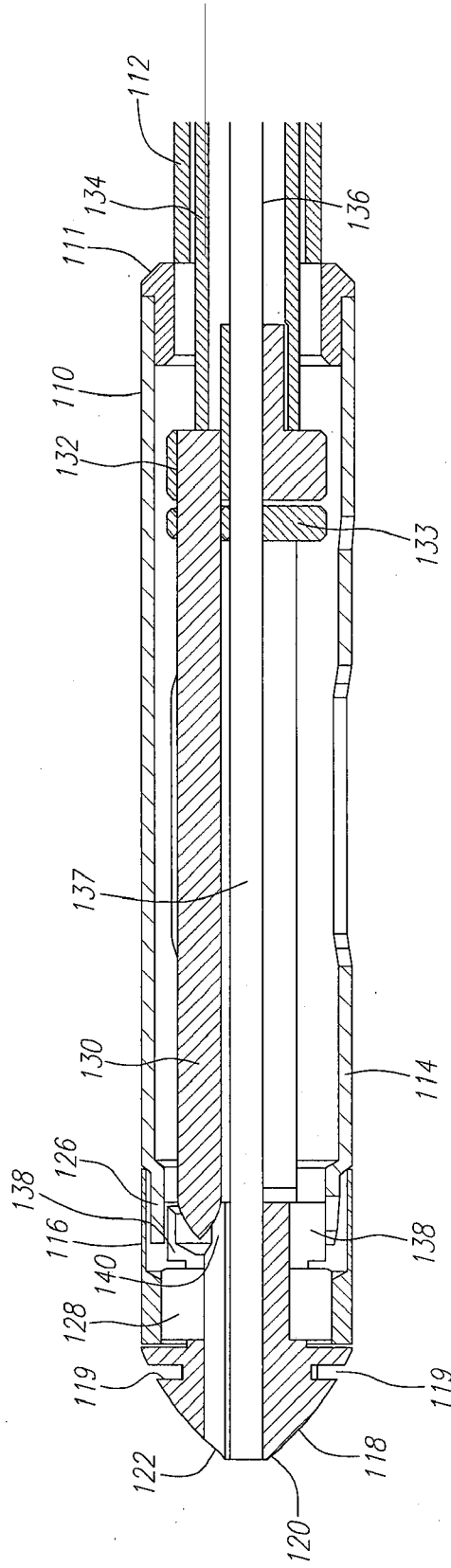
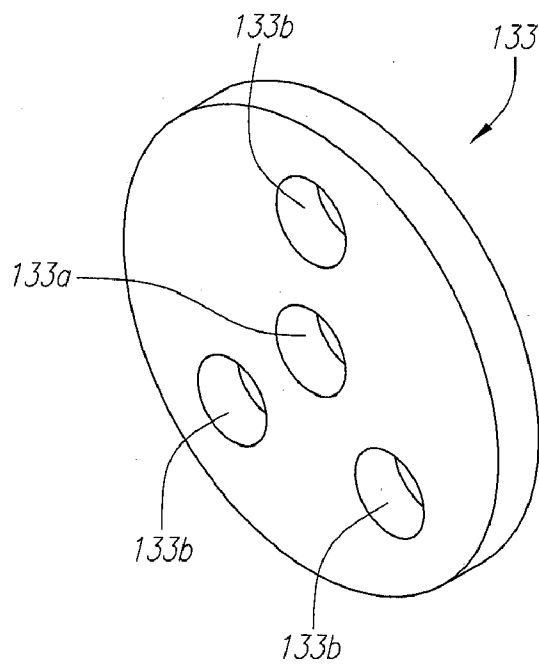
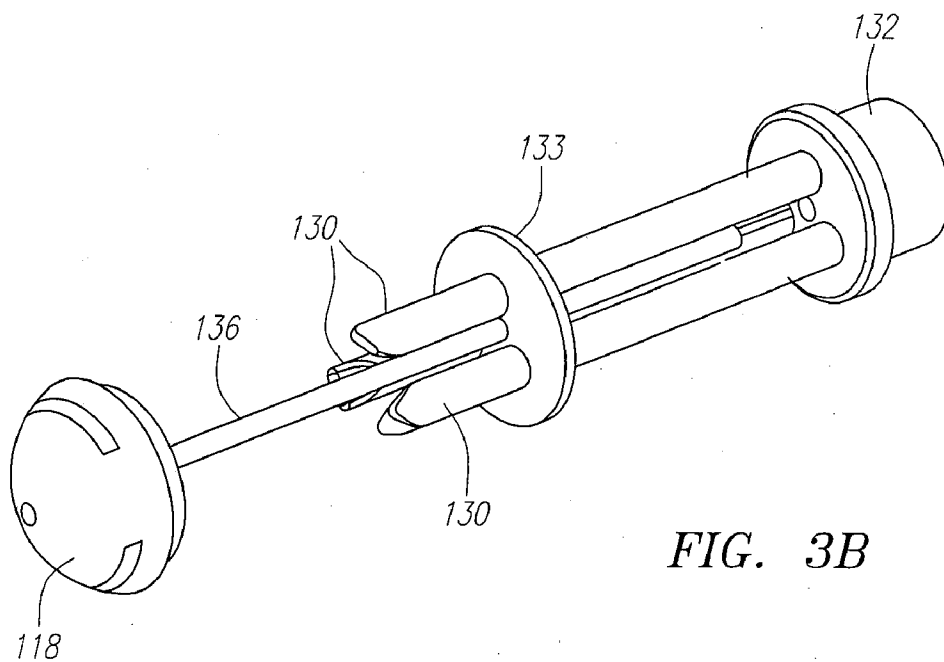


FIG. 3A



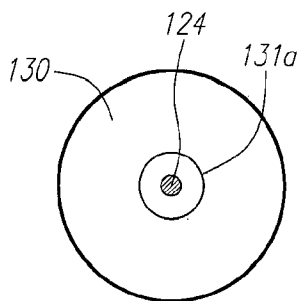


FIG. 3D

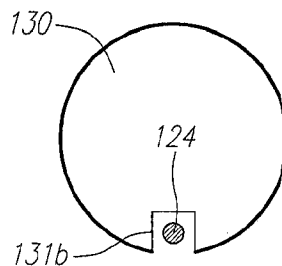


FIG. 3E

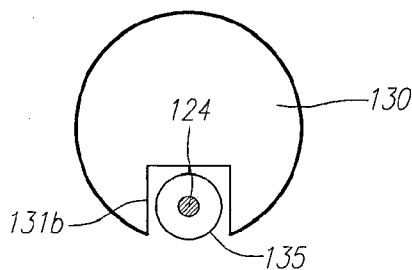


FIG. 3F

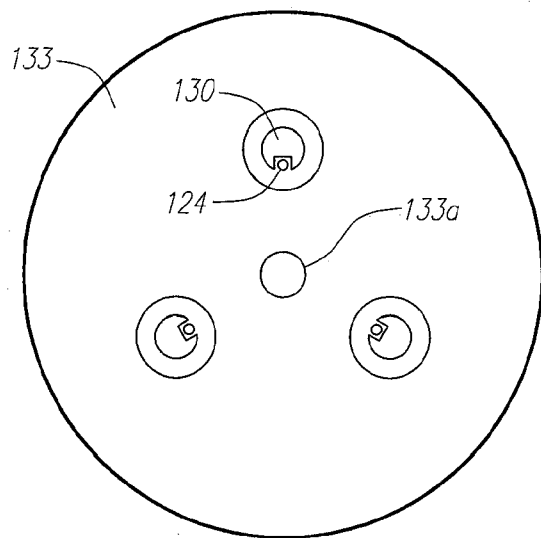


FIG. 3G

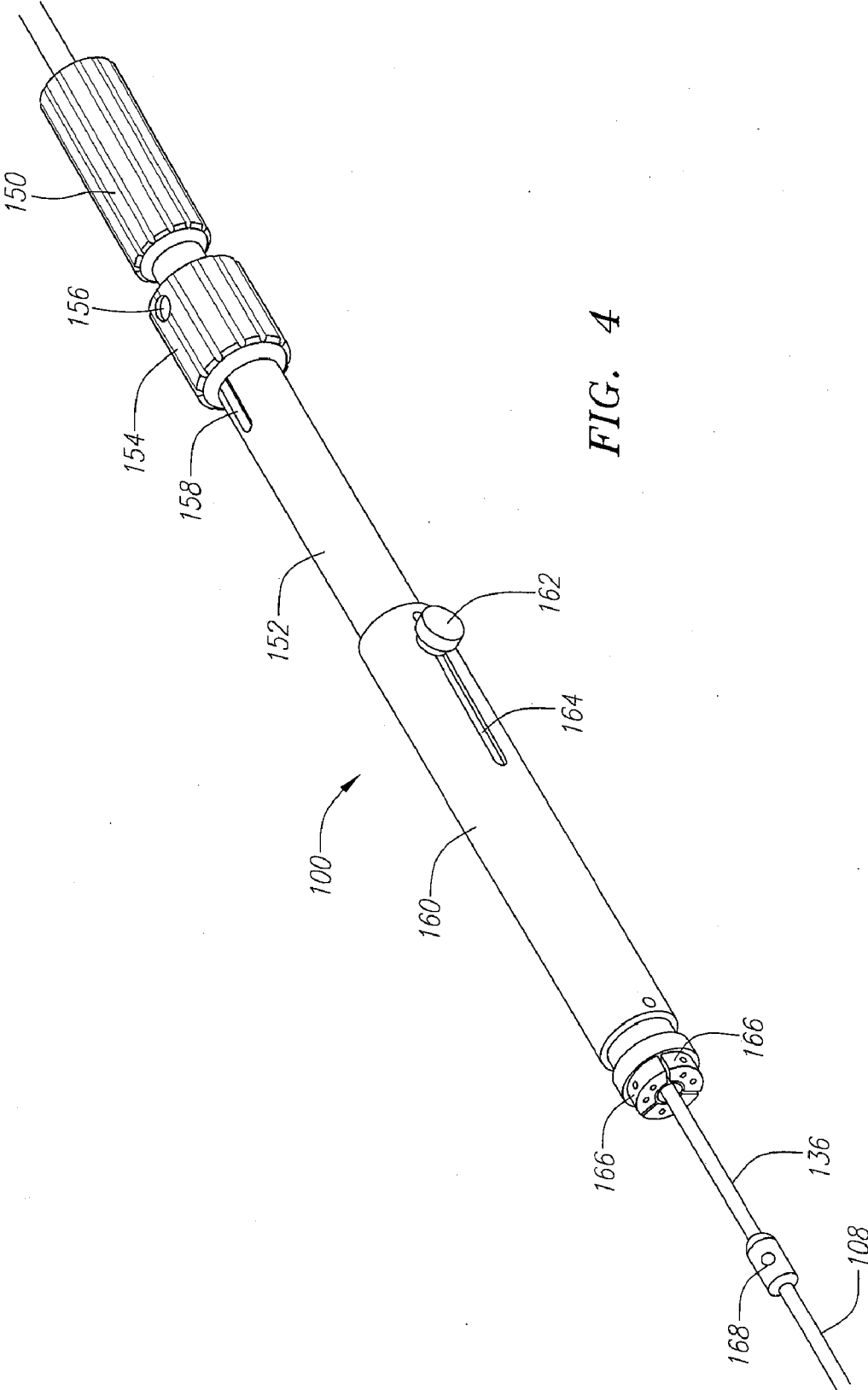


FIG. 4

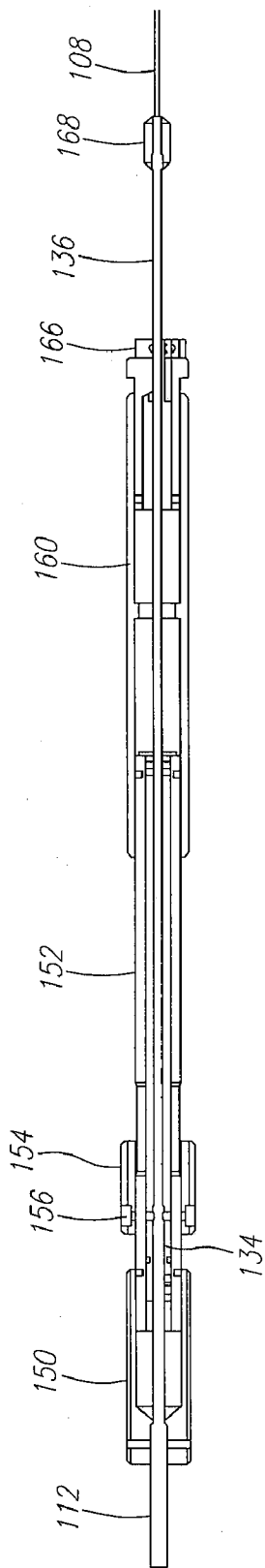


FIG. 5

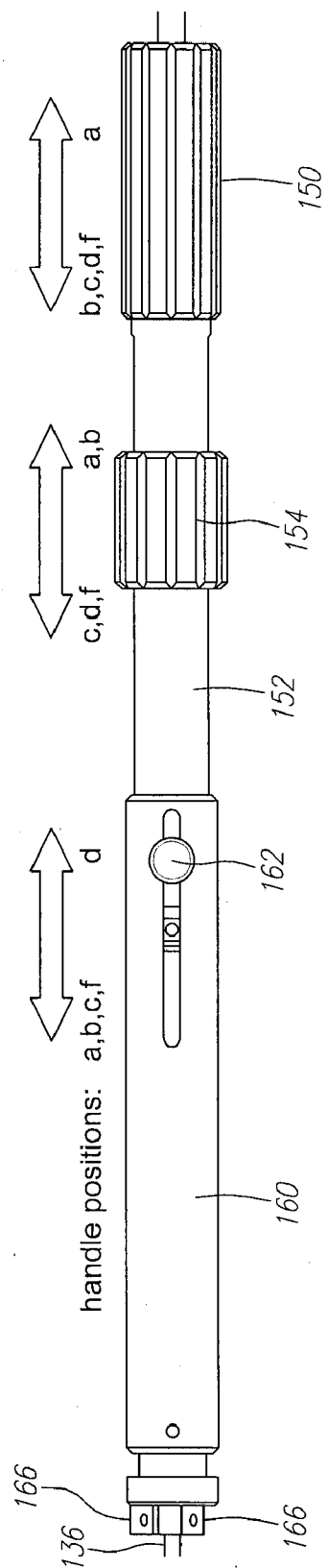


FIG. 6

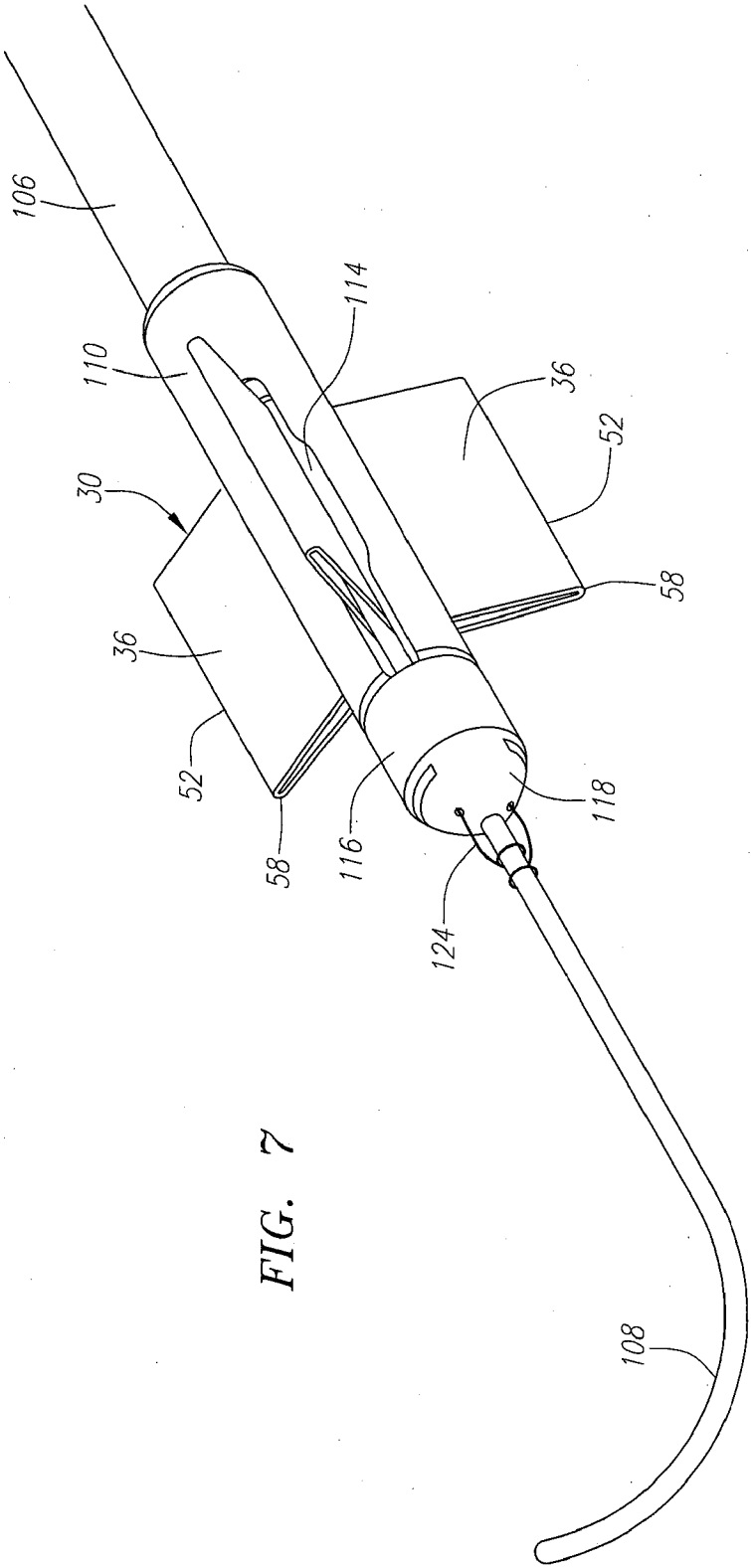


FIG. 7

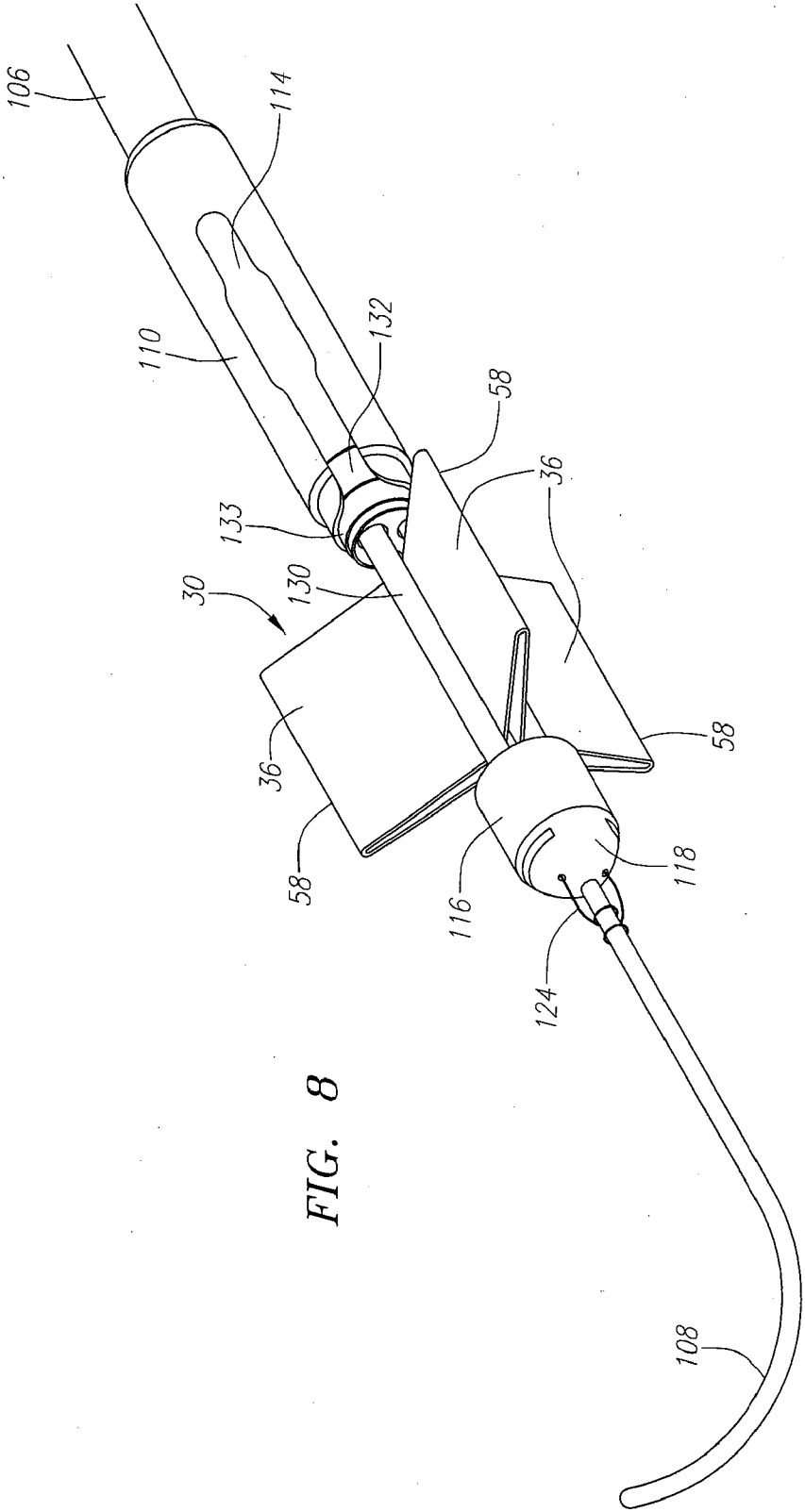


FIG. 8

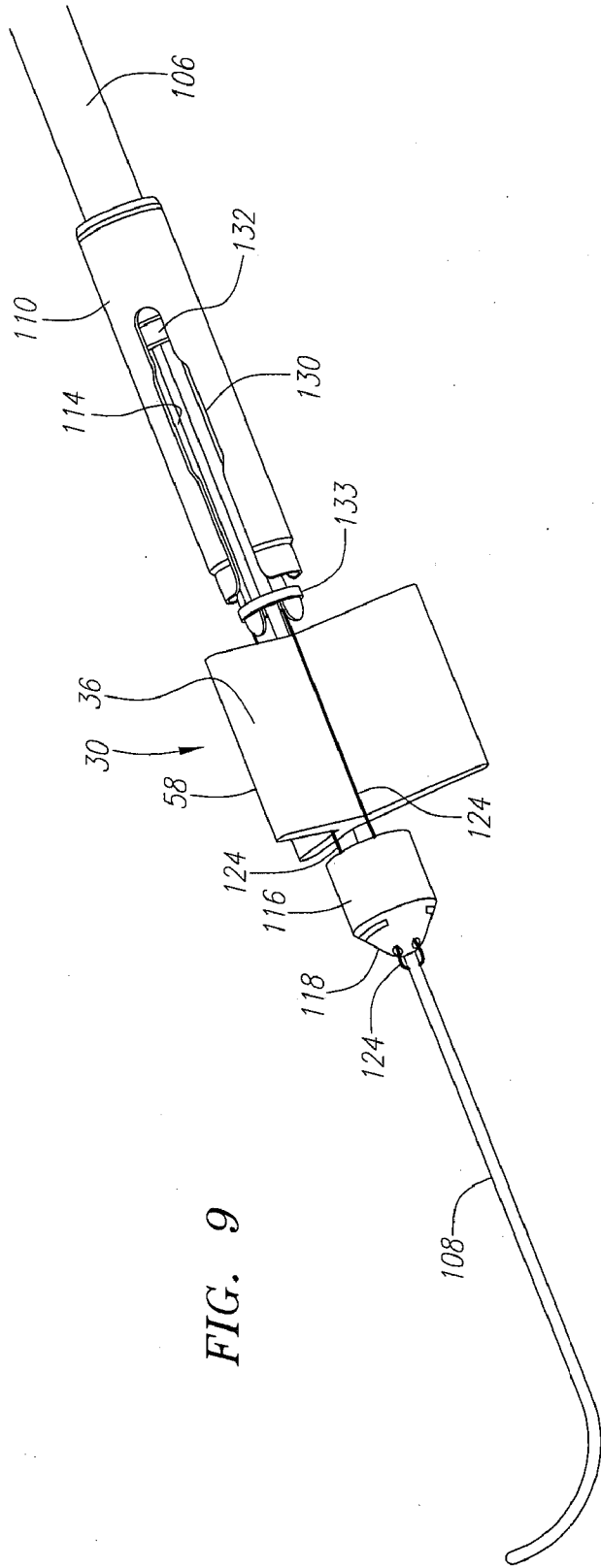


FIG. 9

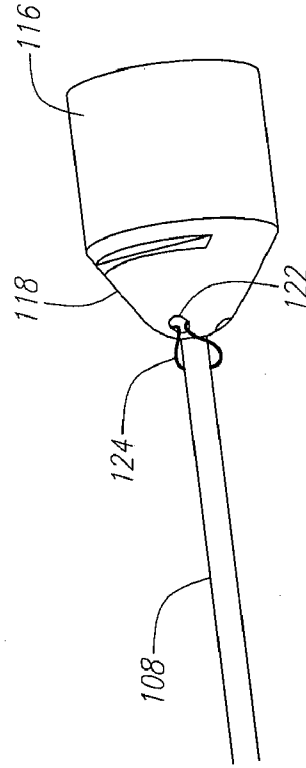


FIG. 9A

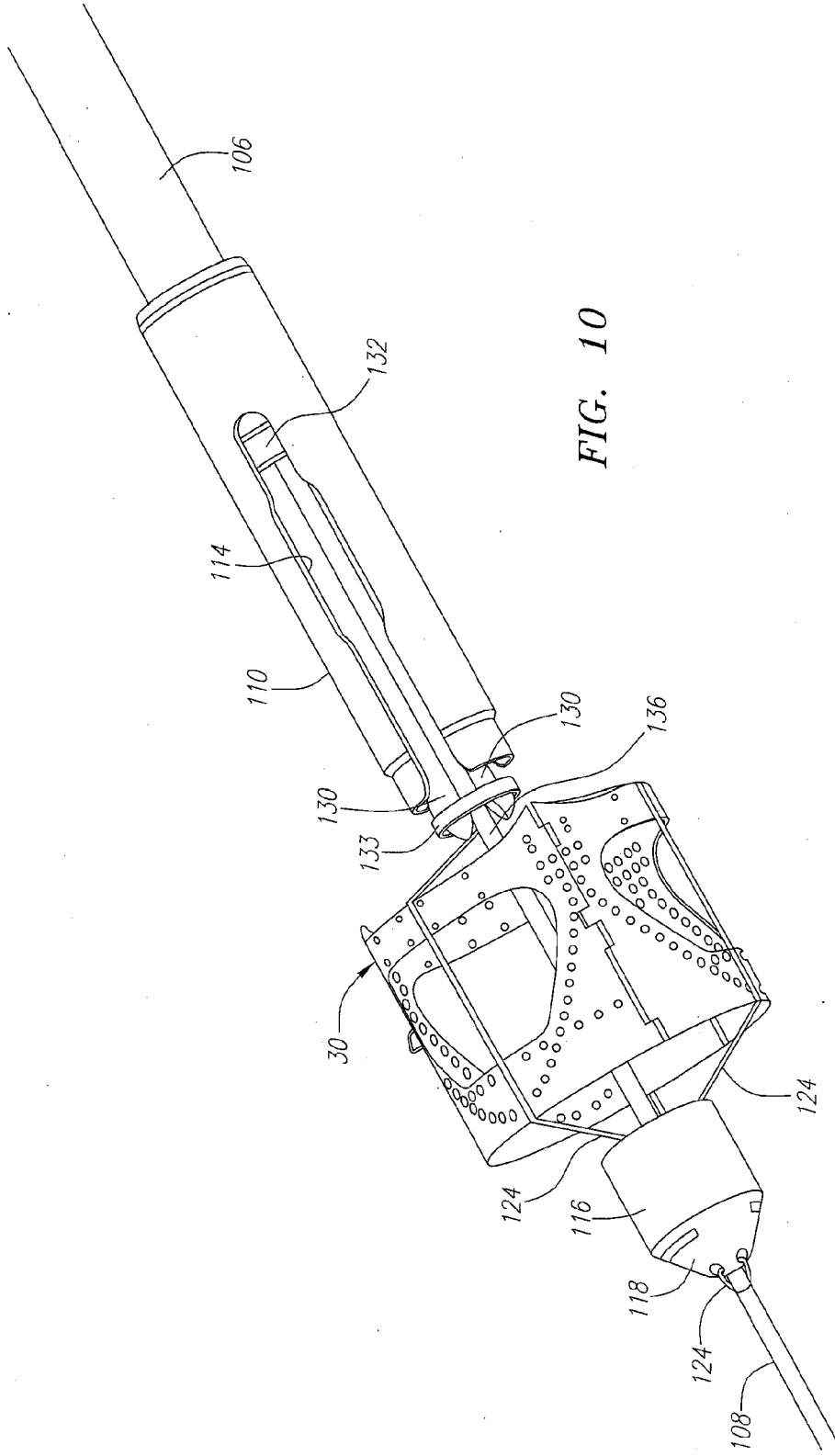


FIG. 10

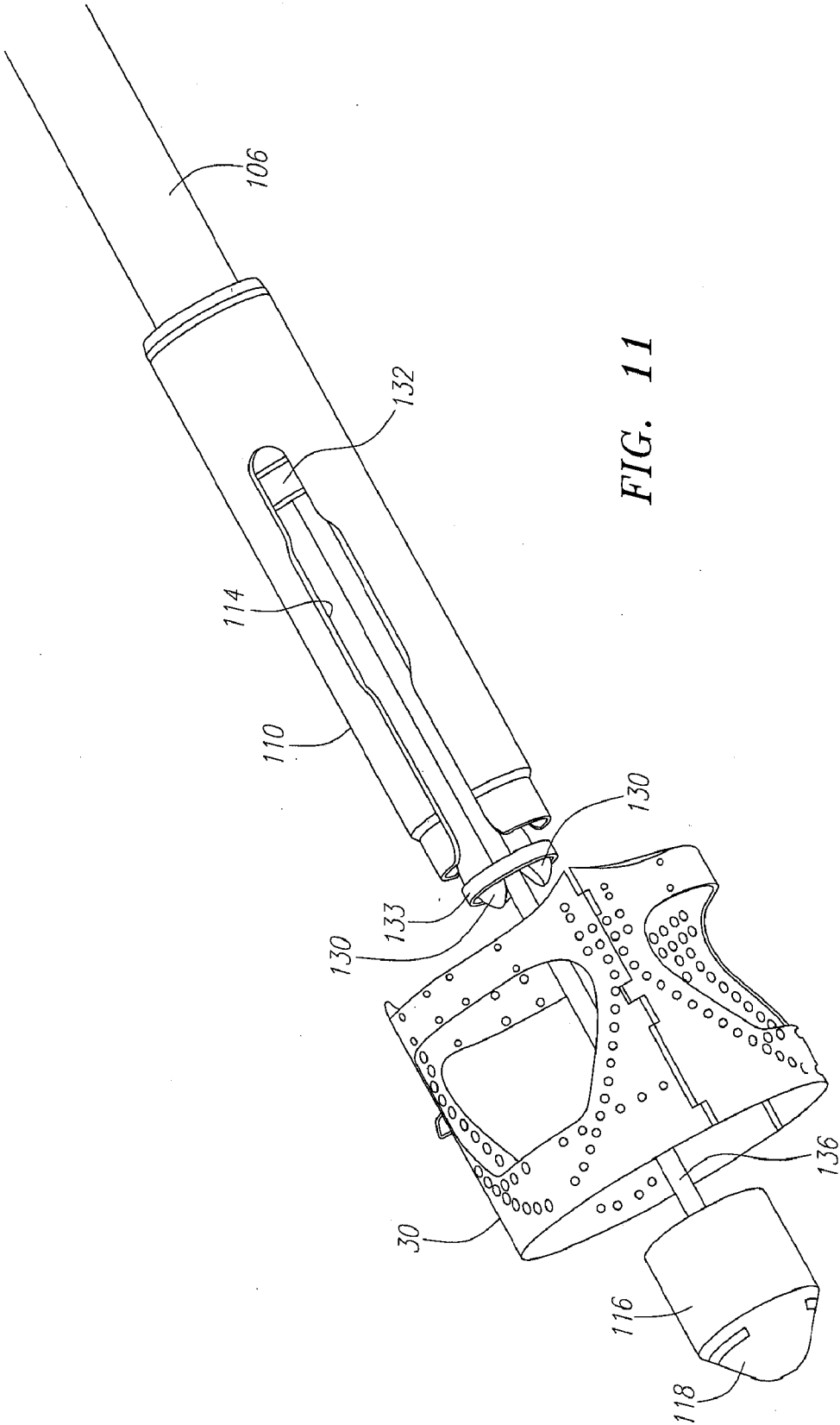


FIG. 11

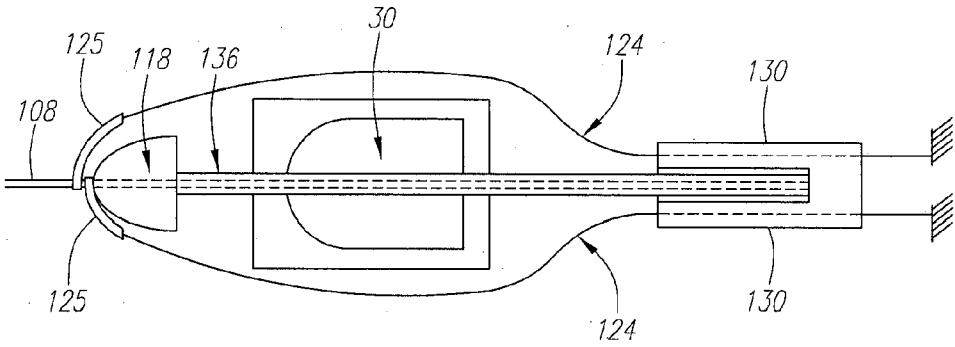


FIG. 12A

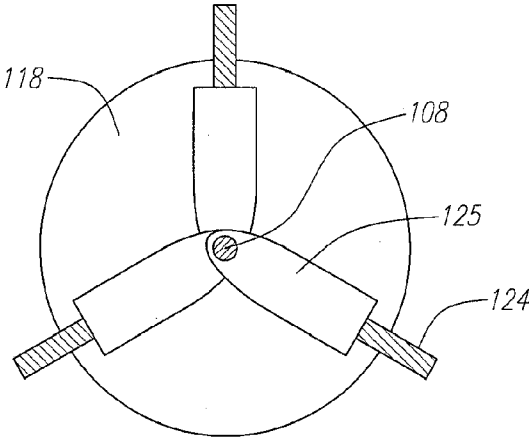


FIG. 12B

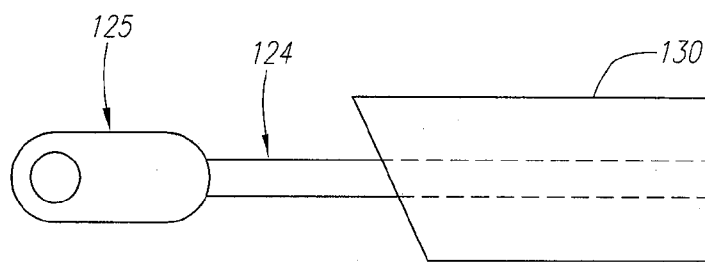


FIG. 12C

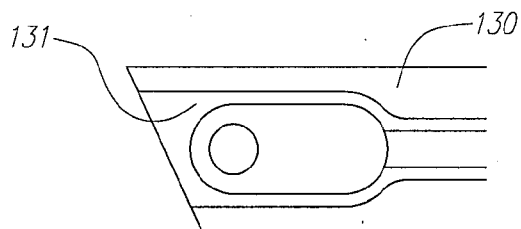


FIG. 12D

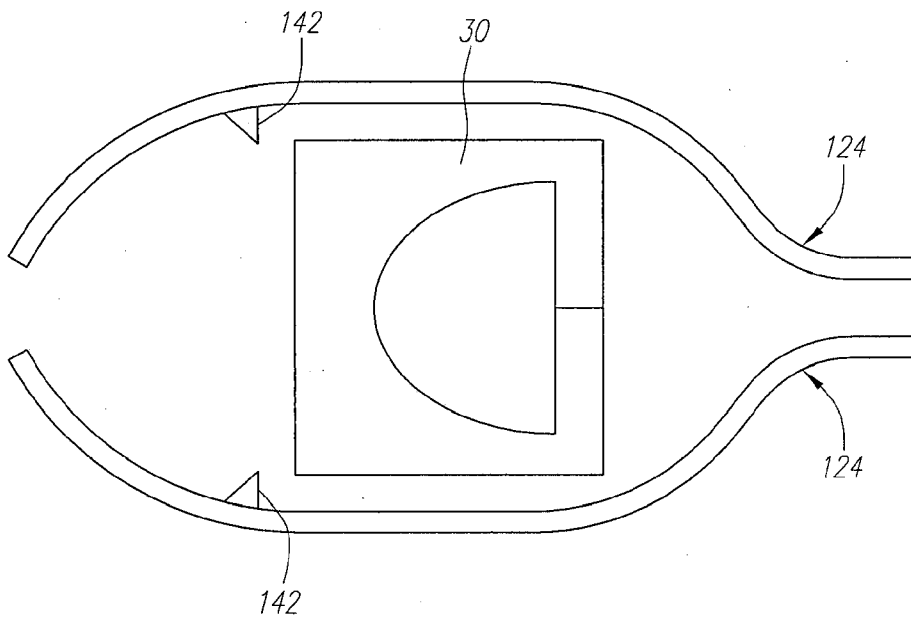


FIG. 13

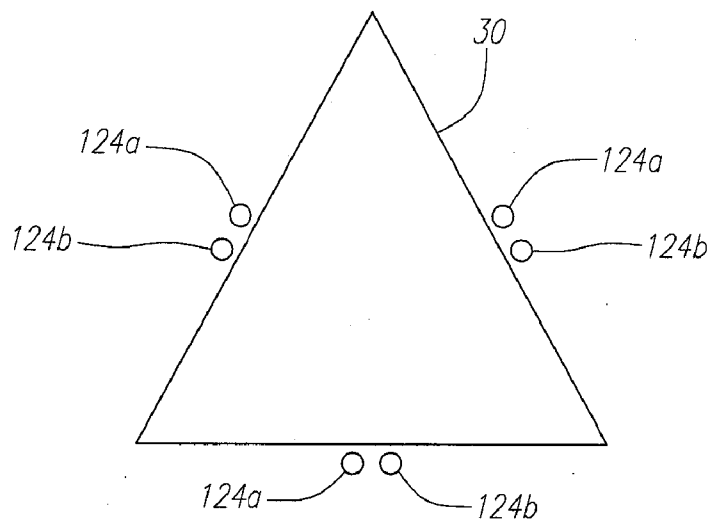


FIG. 12E

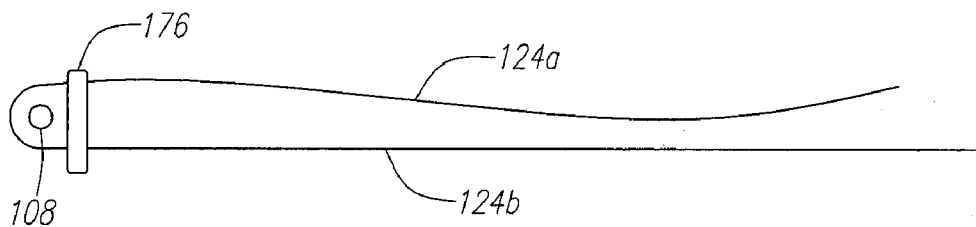


FIG. 12F

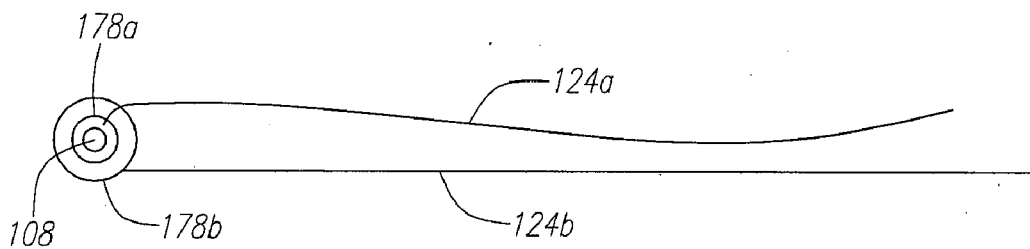


FIG. 12G

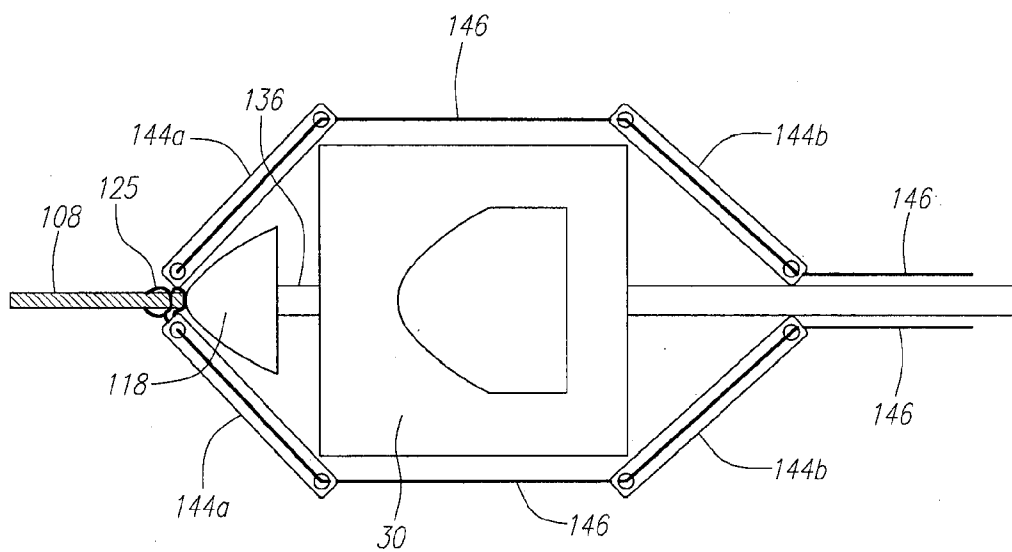


FIG. 14A

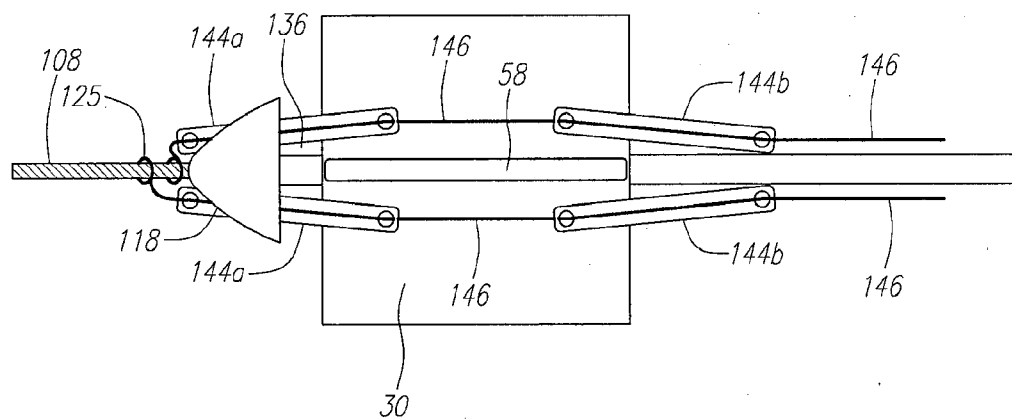


FIG. 14B

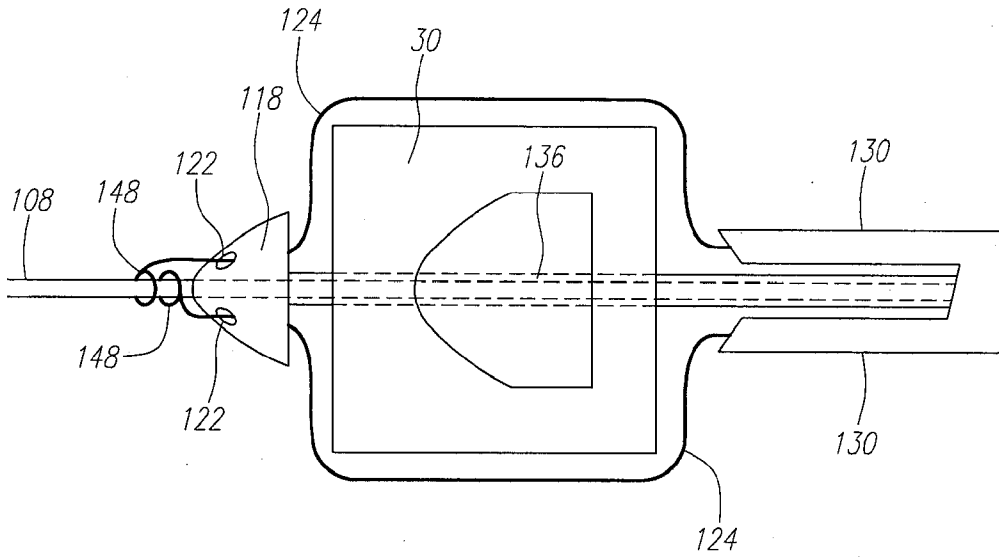


FIG. 15

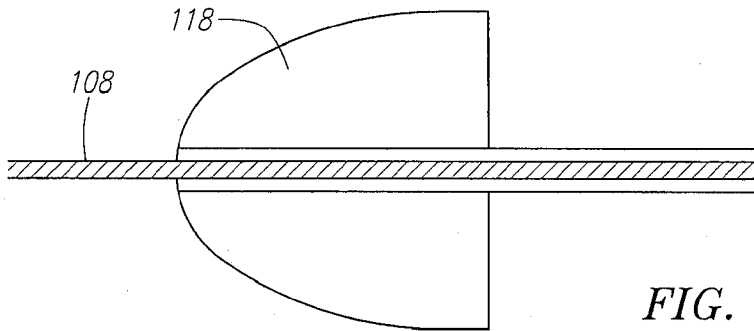


FIG. 16A

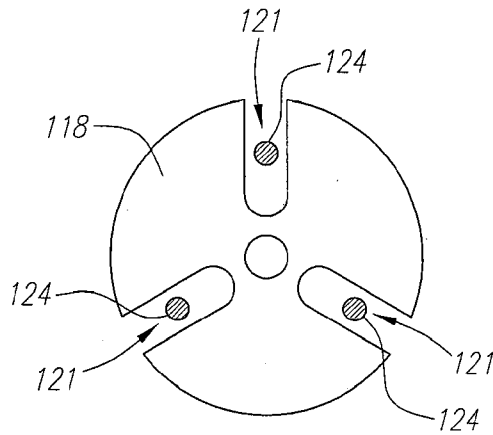


FIG. 16B

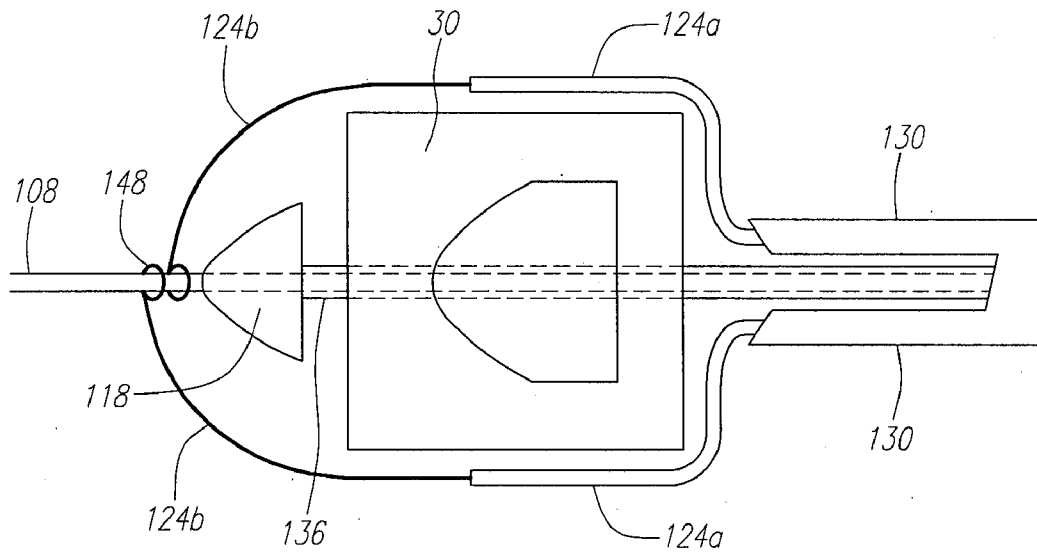


FIG. 17

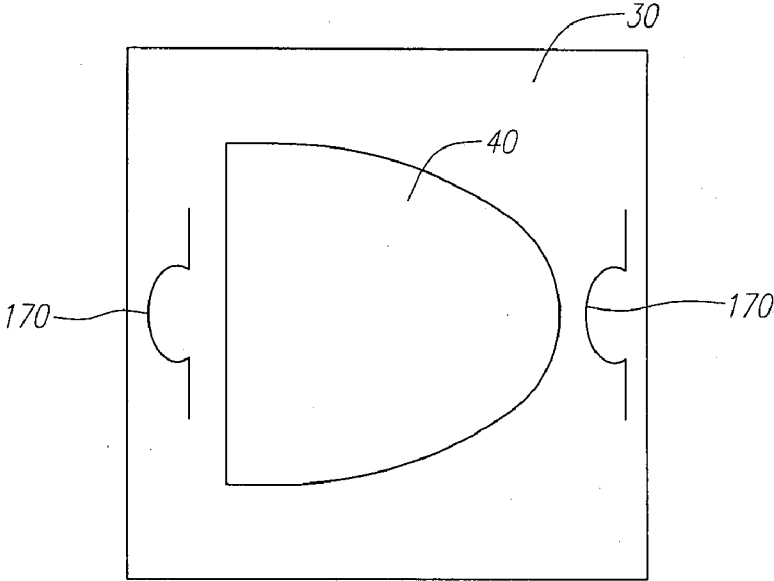


FIG. 18A

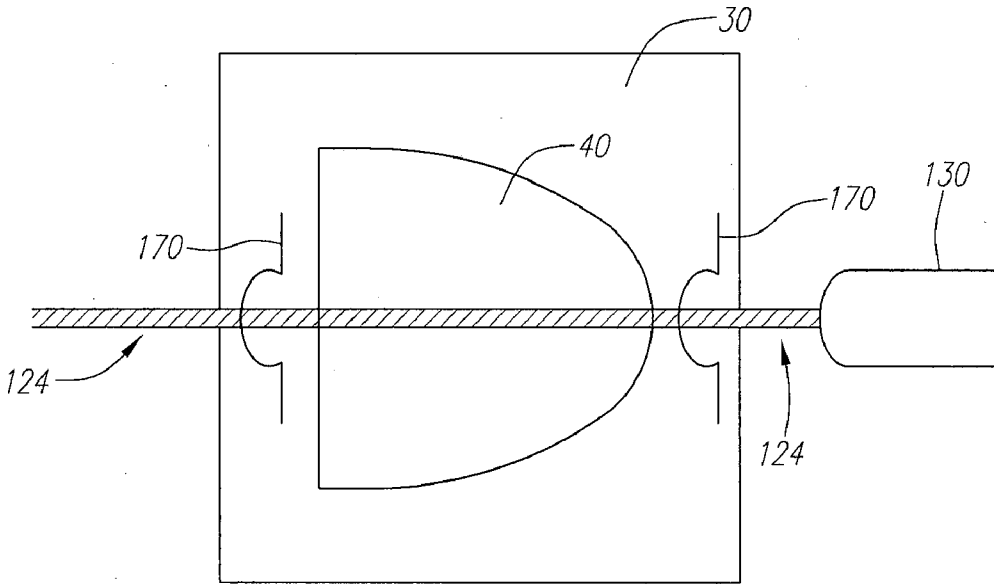


FIG. 18B

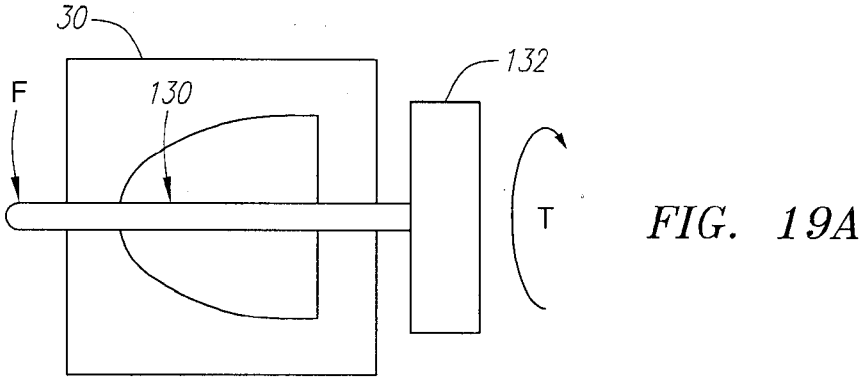


FIG. 19A

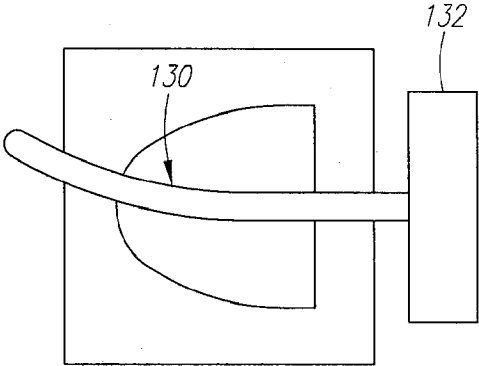


FIG. 19B

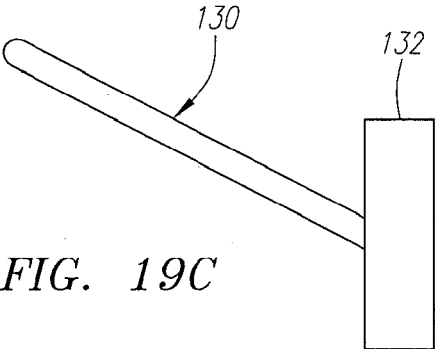


FIG. 19C

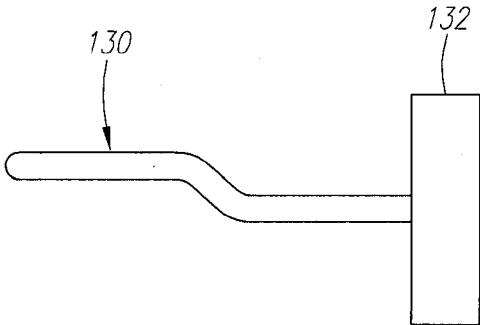


FIG. 19D

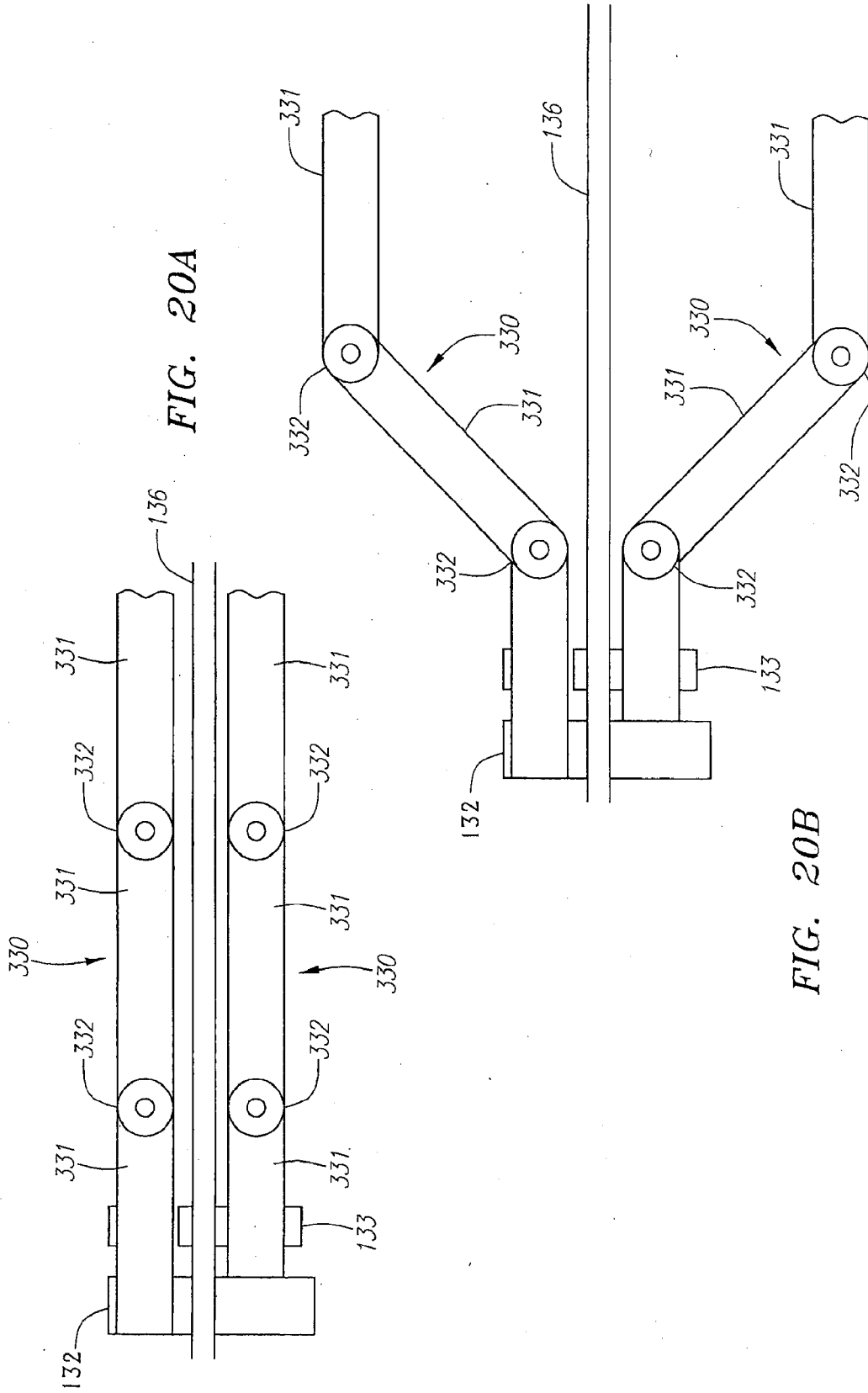


FIG. 20A

FIG. 20B

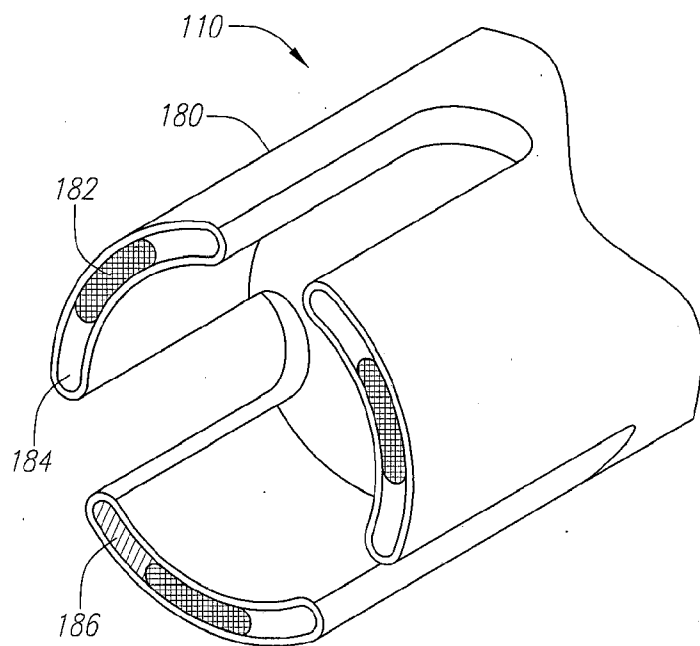


FIG. 21A

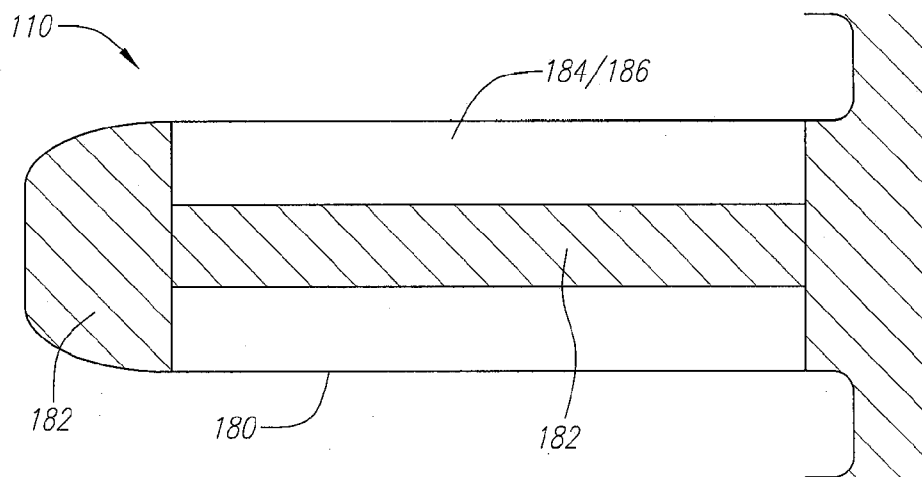


FIG. 21B

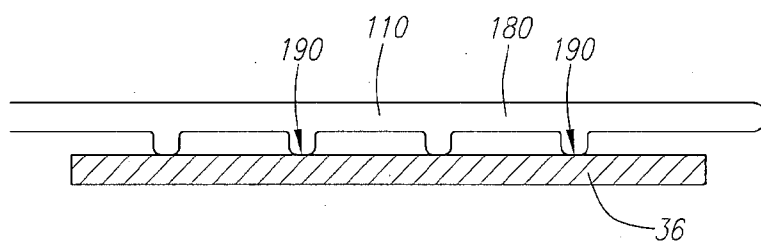
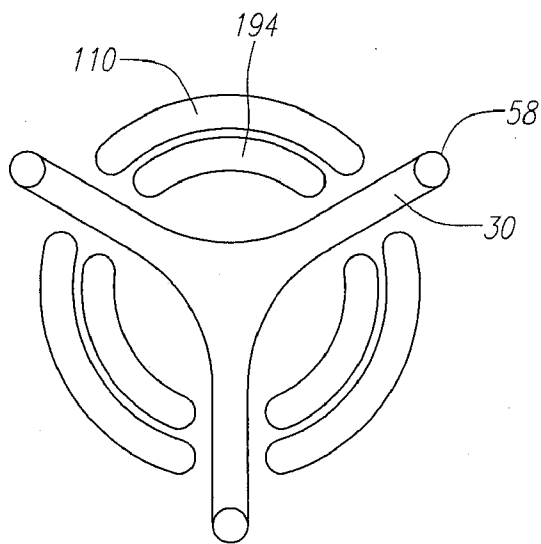
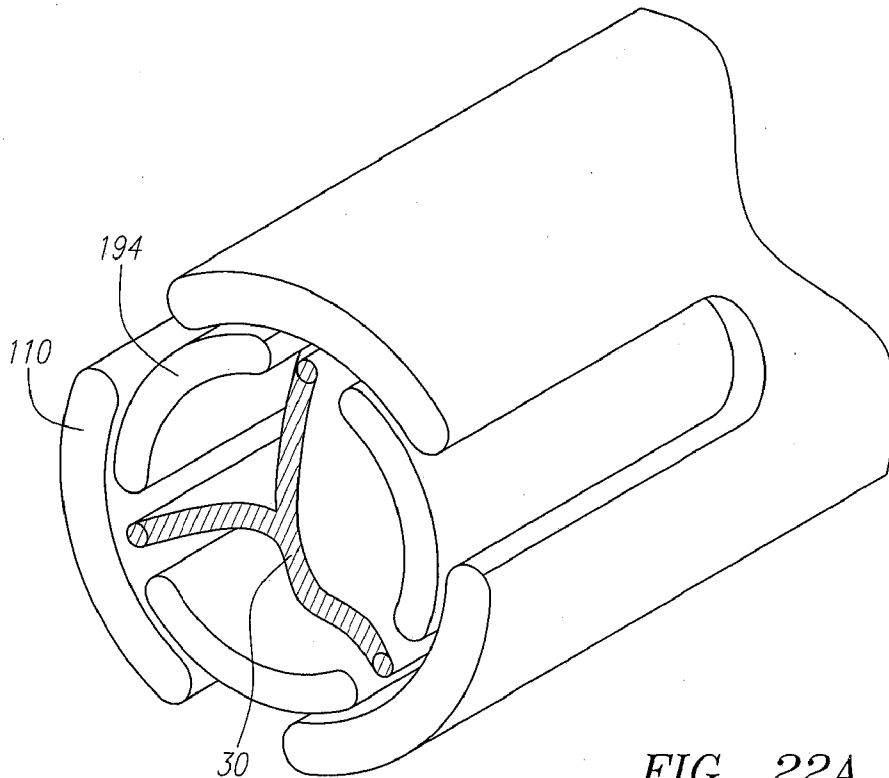


FIG. 21C



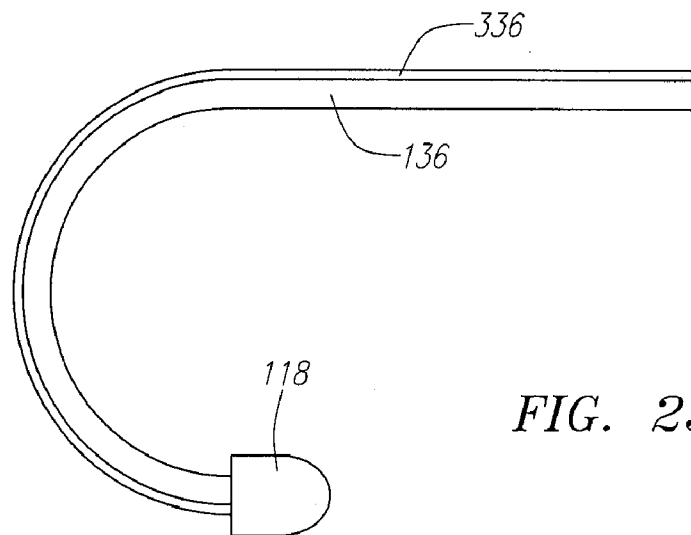


FIG. 23A

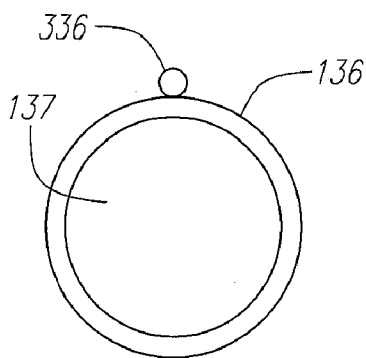


FIG. 23B

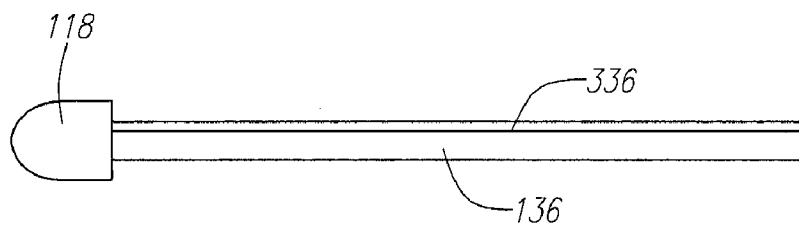


FIG. 23C

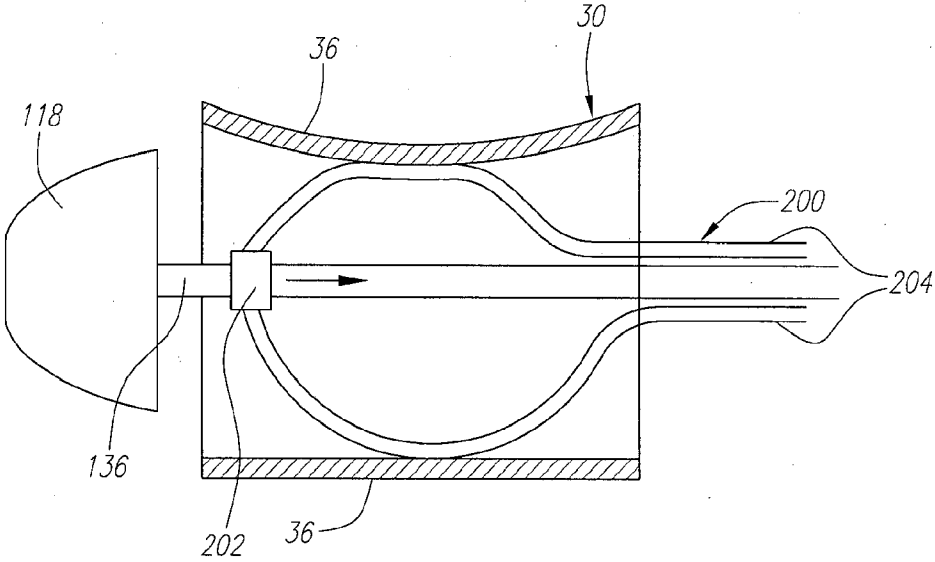


FIG. 24A

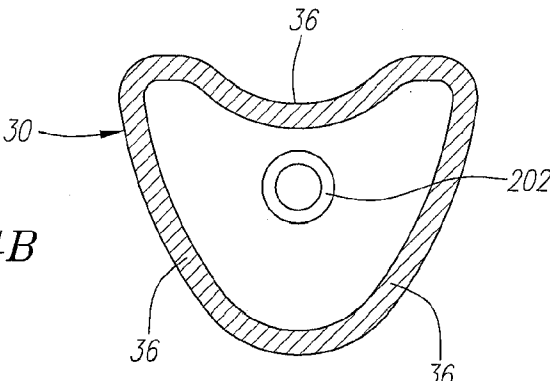


FIG. 24B

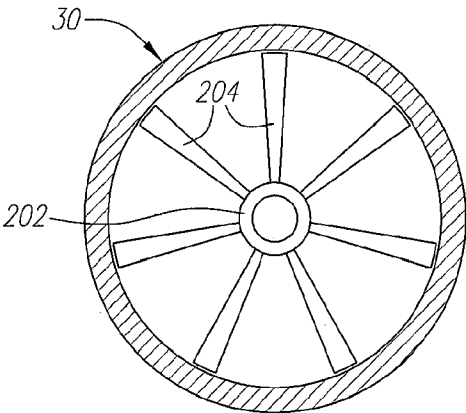


FIG. 24C

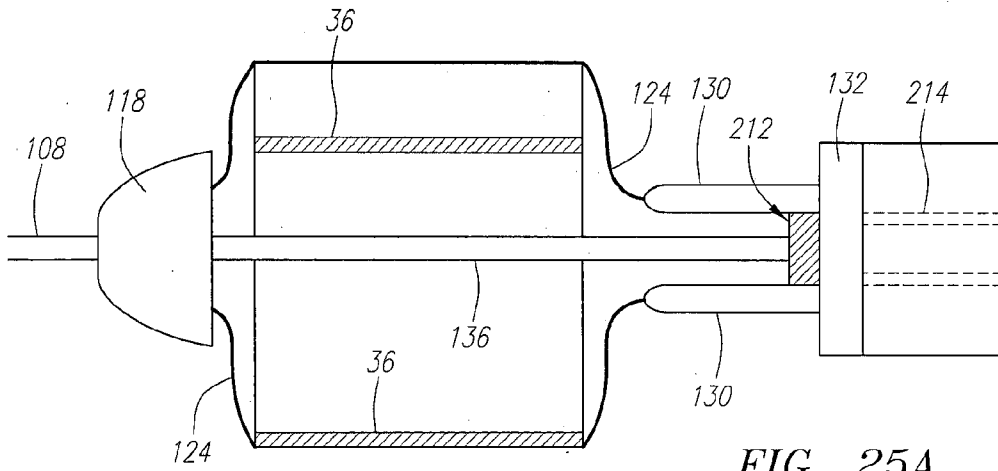


FIG. 25A

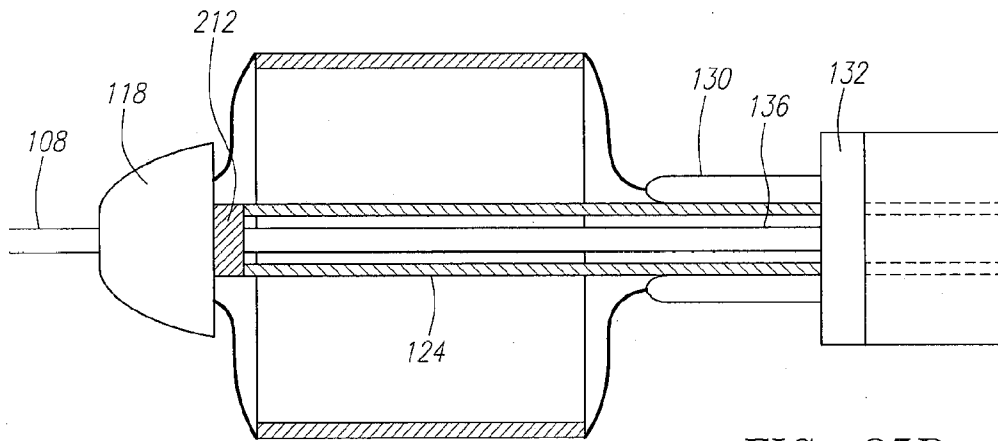


FIG. 25B

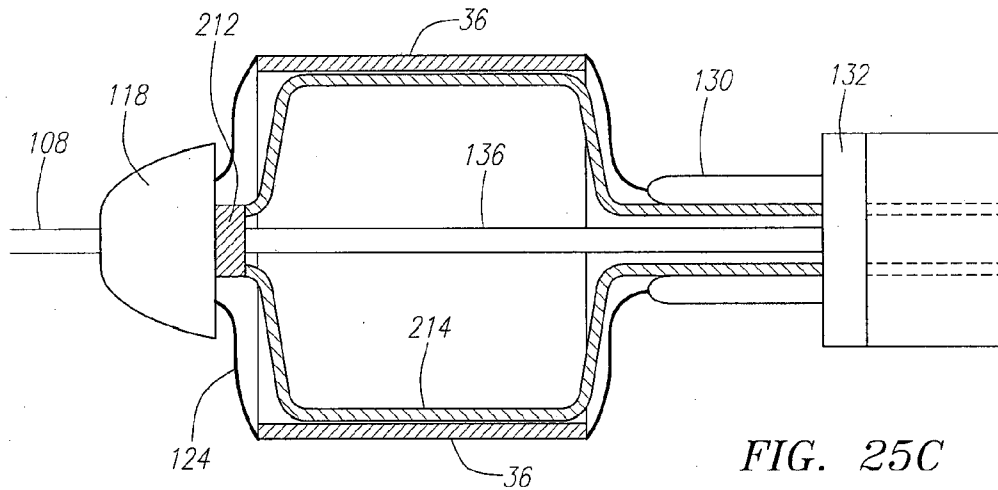


FIG. 25C

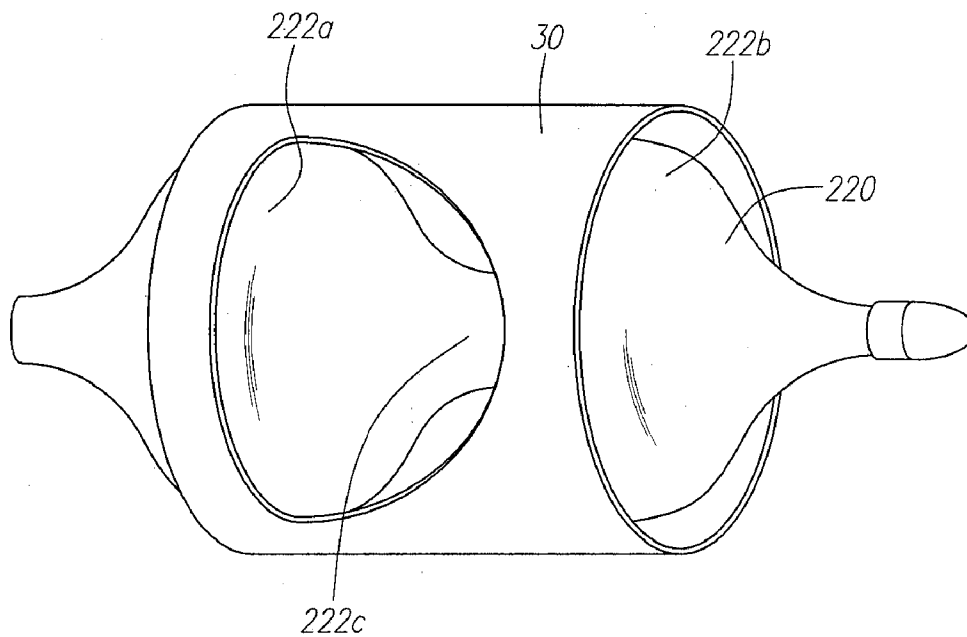


FIG. 26A

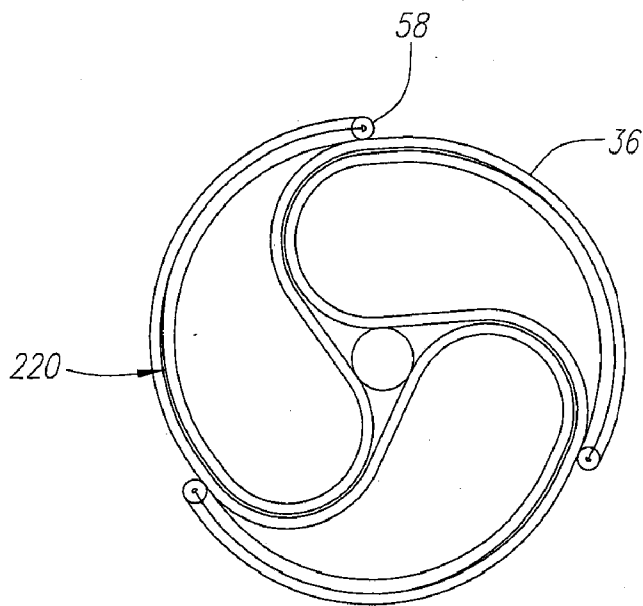


FIG. 26B

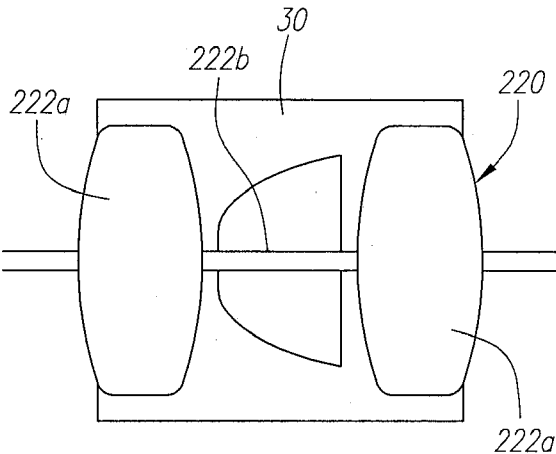


FIG. 26C

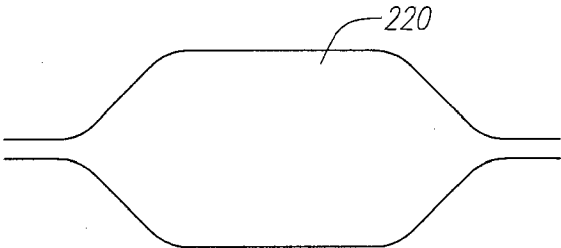


FIG. 26D

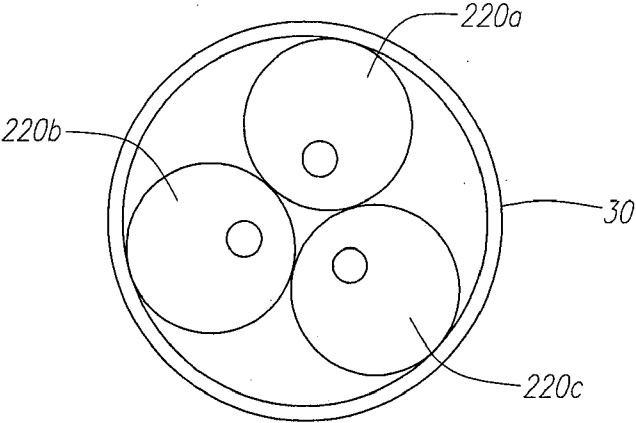


FIG. 26E

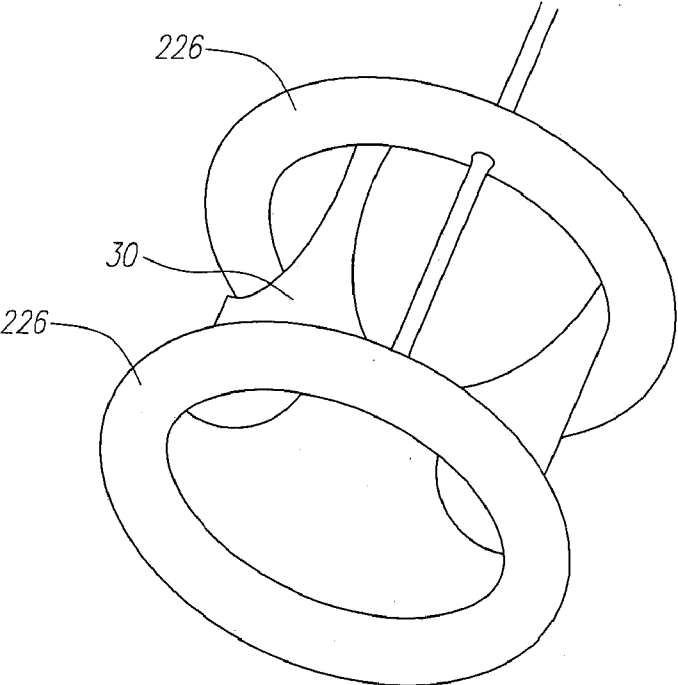


FIG. 27A

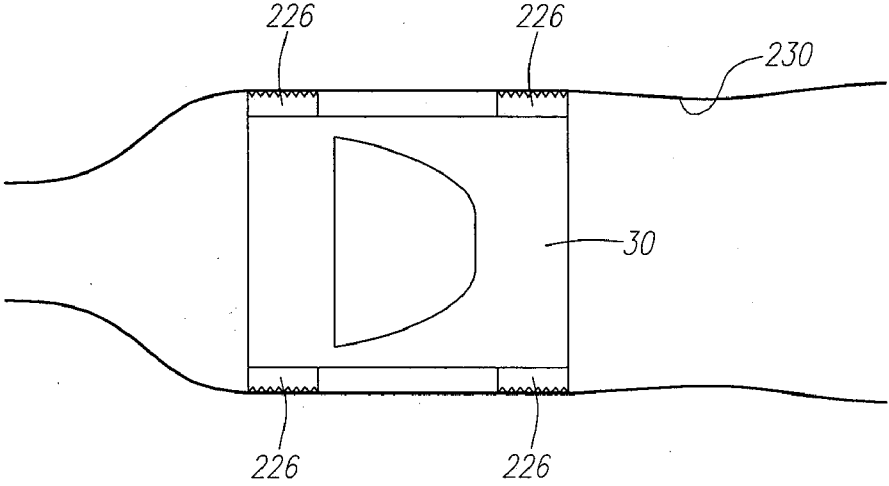


FIG. 27B

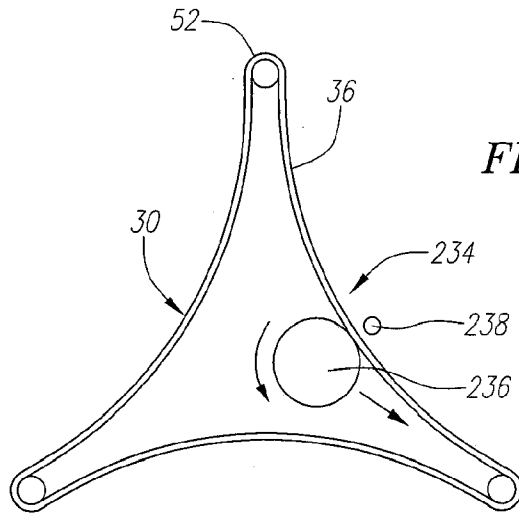


FIG. 28

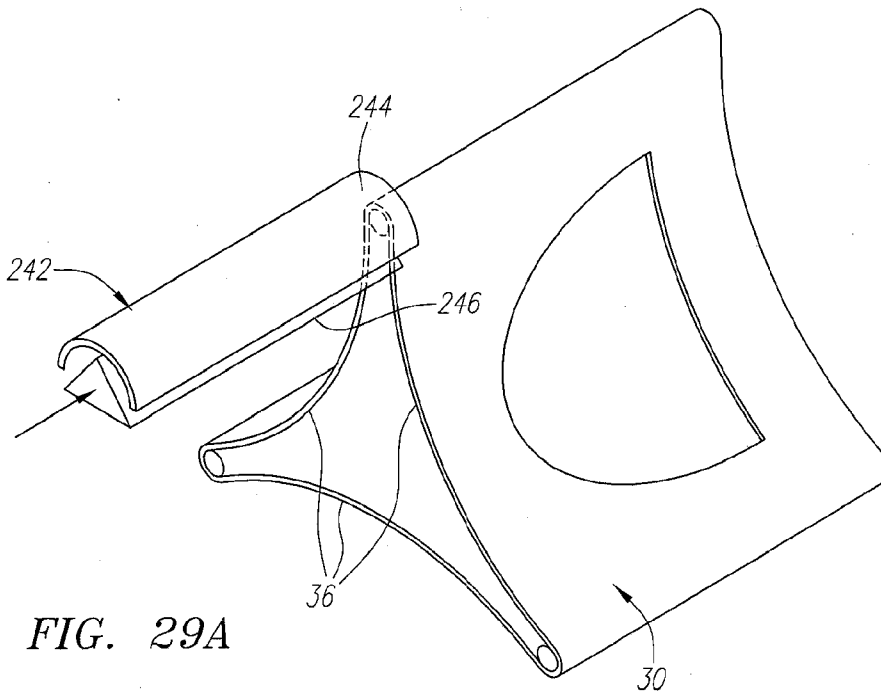


FIG. 29A

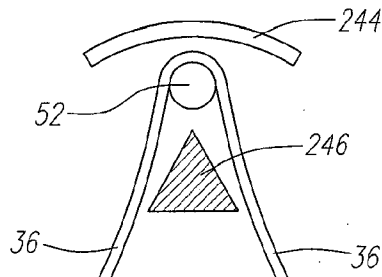


FIG. 29B

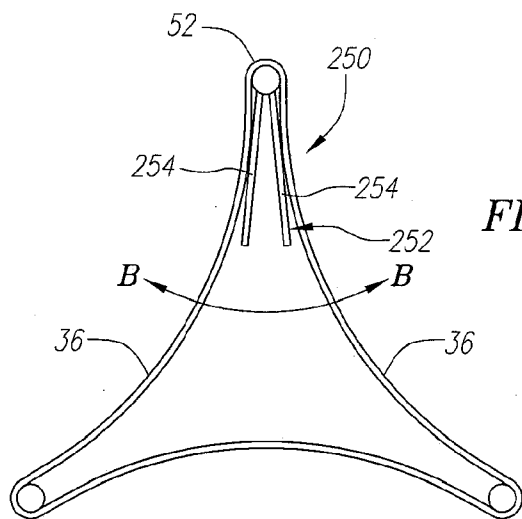


FIG. 30

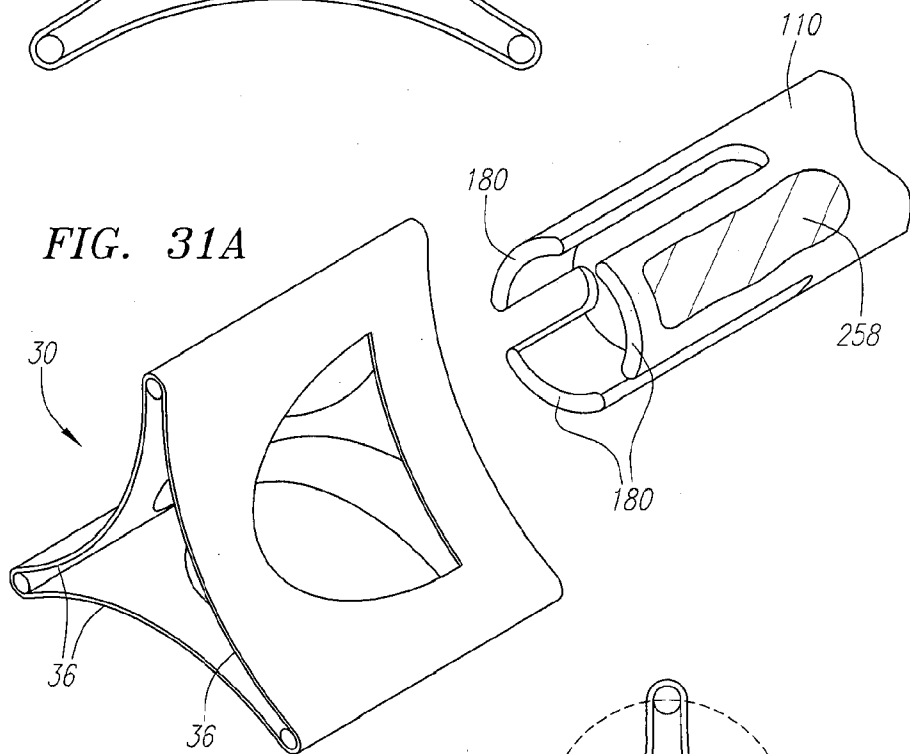


FIG. 31A

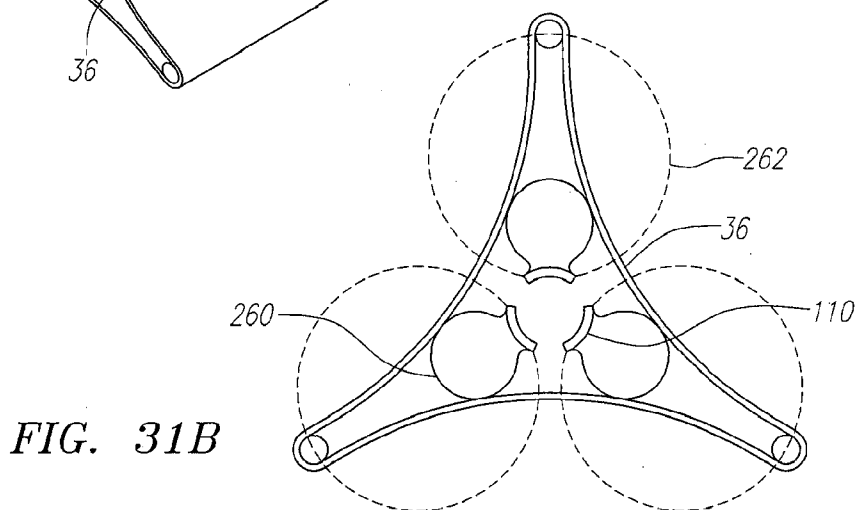


FIG. 31B

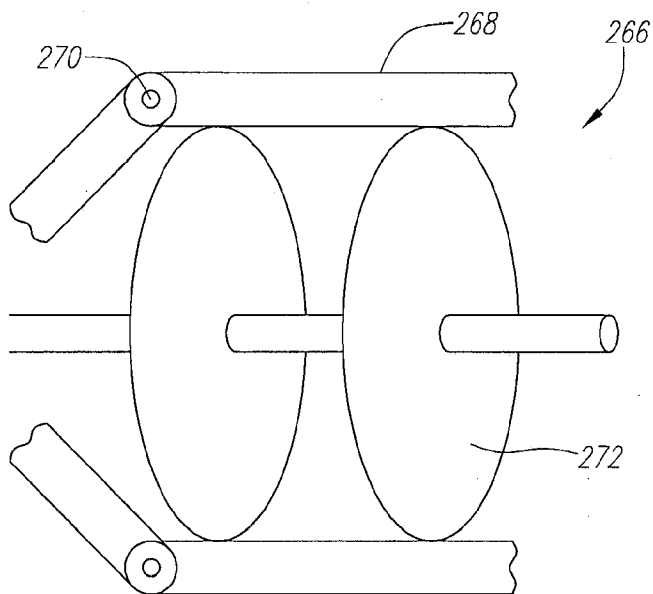


FIG. 32

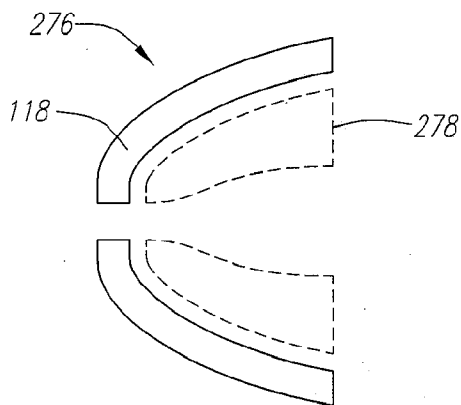


FIG. 33A

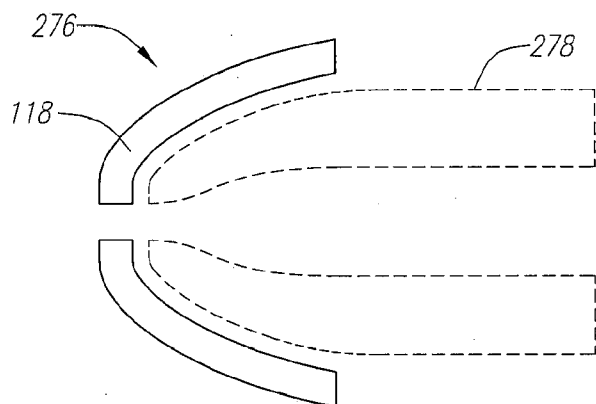


FIG. 33B

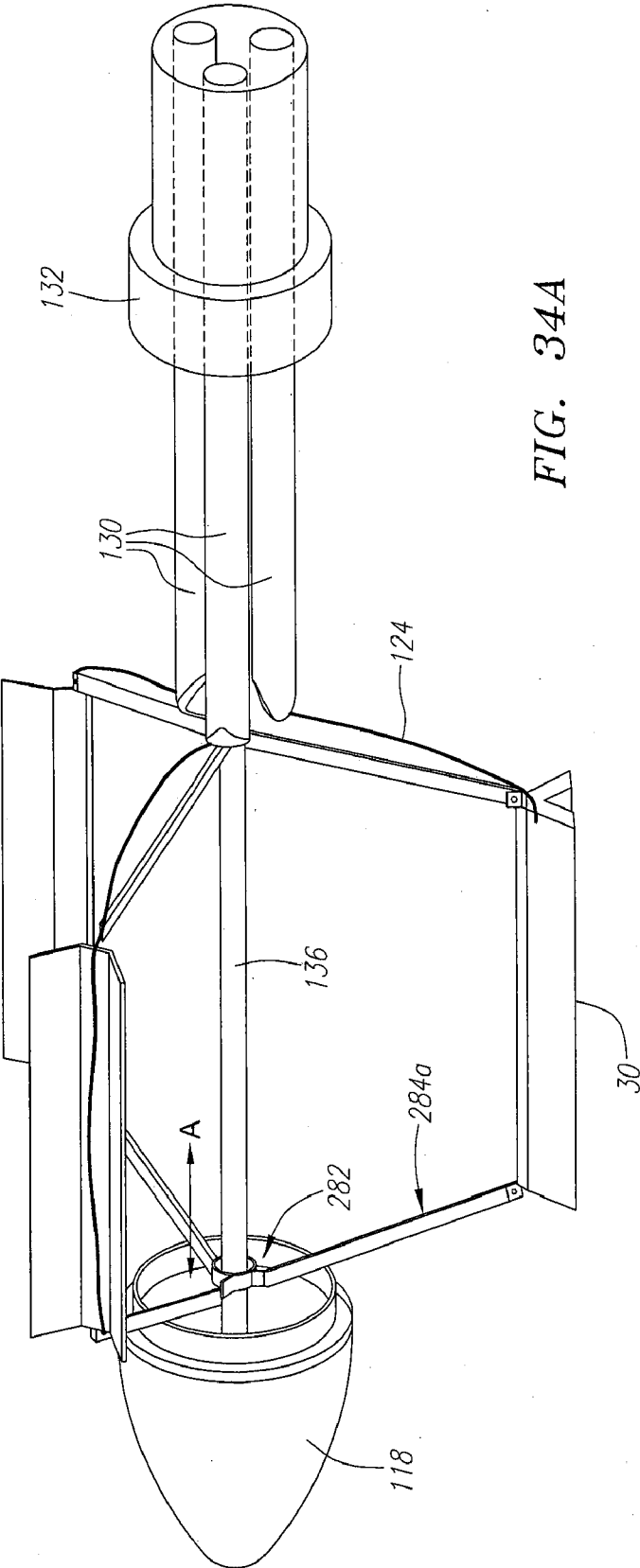


FIG. 34A

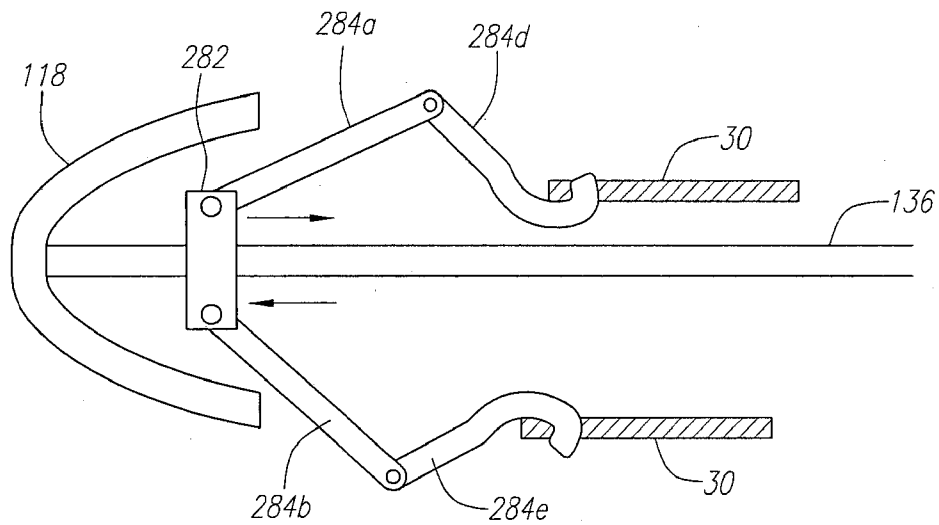


FIG. 34B

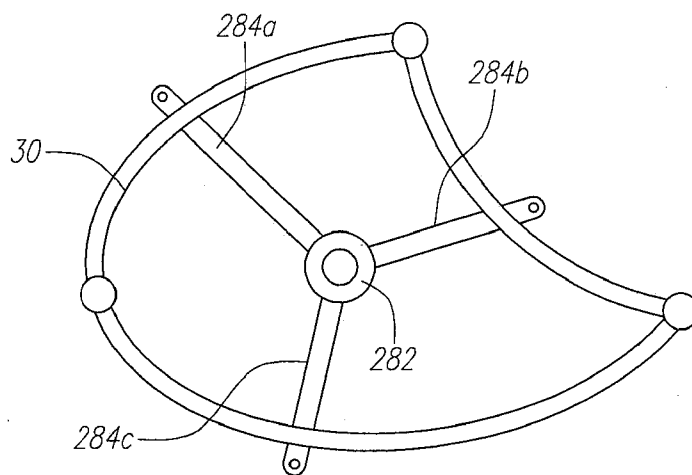


FIG. 34C

METHODS AND DEVICES FOR DELIVERY OF PROSTHETIC HEART VALVES AND OTHER PROSTHETICS

CROSS REFERENCES TO RELATED APPLICATIONS

[0001] This application is a continuation of U.S. patent application Ser. No. 11/364,715, entitled “Methods and Devices for Delivery of Prosthetic Heart Valves and Other Prosthetics,” filed Feb. 27, 2006, which is fully incorporated herein by reference.

FIELD OF THE INVENTION

[0002] The present invention relates generally to medical devices and methods. More particularly, the present invention relates to methods and devices for delivering and deploying prosthetic heart valves and similar structures using minimally invasive surgical methods.

BACKGROUND OF THE INVENTION

[0003] Diseases and other disorders of the heart valve affect the proper flow of blood from the heart. Two categories of heart valve disease are stenosis and incompetence. Stenosis refers to a failure of the valve to open fully, due to stiffened valve tissue. Incompetence refers to valves that cause inefficient blood circulation by permitting backflow of blood in the heart.

[0004] Medication may be used to treat some heart valve disorders, but many cases require replacement of the native valve with a prosthetic heart valve. Prosthetic heart valves can be used to replace any of the native heart valves (aortic, mitral, tricuspid or pulmonary), although repair or replacement of the aortic or mitral valves is most common because they reside in the left side of the heart where pressures are the greatest. Two primary types of prosthetic heart valves are commonly used, mechanical heart valves and prosthetic tissue heart valves.

[0005] The caged ball design is one of the early mechanical heart valves. The caged ball design uses a small ball that is held in place by a welded metal cage. In the mid-1960s, another prosthetic valve was designed that used a tilting disc to better mimic the natural patterns of blood flow. The tilting-disc valves had a polymer disc held in place by two welded struts. The bileaflet valve was introduced in the late 1970s. It included two semicircular leaflets that pivot on hinges. The leaflets swing open completely, parallel to the direction of the blood flow. They do not close completely, which allows some backflow.

[0006] The main advantages of mechanical valves are their high durability. Mechanical heart valves are placed in young patients because they typically last for the lifetime of the patient. The main problem with all mechanical valves is the increased risk of blood clotting.

[0007] Prosthetic tissue valves include human tissue valves and animal tissue valves. Both types are often referred to as bioprosthetic valves. The design of bioprosthetic valves are closer to the design of the natural valve. Bioprosthetic valves do not require long-term anticoagulants, have better hemodynamics, do not cause damage to blood cells, and do not suffer from many of the structural problems experienced by the mechanical heart valves.

[0008] Human tissue valves include homografts, which are valves that are transplanted from another human being, and

autografts, which are valves that are transplanted from one position to another within the same person.

[0009] Animal tissue valves are most often heart tissues recovered from animals. The recovered tissues are typically stiffened by a tanning solution, most often glutaraldehyde. The most commonly used animal tissues are porcine, bovine, and equine pericardial tissue.

[0010] The animal tissue valves are typically stented valves. Stentless valves are made by removing the entire aortic root and adjacent aorta as a block, usually from a pig. The coronary arteries are tied off, and the entire section is trimmed and then implanted into the patient.

[0011] A conventional heart valve replacement surgery involves accessing the heart in the patient’s thoracic cavity through a longitudinal incision in the chest. For example, a median sternotomy requires cutting through the sternum and forcing the two opposing halves of the rib cage to be spread apart, allowing access to the thoracic cavity and heart within. The patient is then placed on cardiopulmonary bypass which involves stopping the heart to permit access to the internal chambers. Such open heart surgery is particularly invasive and involves a lengthy and difficult recovery period.

[0012] A less invasive approach to valve replacement is desired. The percutaneous implantation of a prosthetic valve is a preferred procedure because the operation is performed under local anesthesia, does not require cardiopulmonary bypass, and is less traumatic. Current attempts to provide such a device generally involve stent-like structures, which are very similar to those used in vascular stent procedures with the exception of being larger diameter as required for the aortic anatomy, as well as having leaflets attached to provide one way blood flow. These stent structures are radially contracted for delivery to the intended site, and then expanded/ deployed to achieve a tubular structure in the annulus. The stent structure needs to provide two primary functions. First, the structure needs to provide adequate radial stiffness when in the expanded state. Radial stiffness is required to maintain the cylindrical shape of the structure, which assures the leaflets coapt properly. Proper leaflet coaption assures the edges of the leaflets mate properly, which is necessary for proper sealing without leaks. Radial stiffness also assures that there will be no paravalvular leakage, which is leaking between the valve and aorta interface, rather than through the leaflets. An additional need for radial stiffness is to provide sufficient interaction between the valve and native aortic wall that there will be no valve migration as the valve closes and holds full body blood pressure. This is a requirement that other vascular devices are not subjected to. The second primary function of the stent structure is the ability to be crimped to a reduced size for implantation.

[0013] Prior devices have utilized traditional stenting designs which are produced from tubing or wire wound structures. Although this type of design can provide for crimpability, it provides little radial stiffness. These devices are subject to “radial recoil” in that when the device is deployed, typically with balloon expansion, the final deployed diameter is smaller than the diameter the balloon and stent structure were expanded to. The recoil is due in part because of the stiffness mismatches between the device and the anatomical environment in which it is placed. These devices also commonly cause crushing, tearing, or other deformation to the valve leaflets during the contraction and expansion procedures. Other stenting designs have included spirally wound metallic sheets. This type of design provides high radial stiff-

ness, yet crimping results in large material strains that can cause stress fractures and extremely large amounts of stored energy in the constrained state. Replacement heart valves are expected to survive for many years when implanted. A heart valve sees approximately 500,000,000 cycles over the course of 15 years. High stress states during crimping can reduce the fatigue life of the device. Still other devices have included tubing, wire wound structures, or spirally wound sheets formed of nitinol or other superelastic or shape memory material. These devices suffer from some of the same deficiencies as those described above.

[0014] A number of improved prosthetic heart valves and scaffolding structures are described in co-pending U.S. patent application Ser. No. 11/066,126, entitled "Prosthetic Heart Valves, Scaffolding Structures, and Methods for Implantation of Same," filed Feb. 25, 2005, ("the '126 application") which application is hereby incorporated by reference in its entirety. Several of the prosthetic heart valves described in the '126 application include a support member having a valvular body attached, the support member preferably comprising a structure having three panels separated by three foldable junctions. The '126 application also describes several delivery mechanisms adapted to deliver the described prosthetic heart valve. Although the prosthetic heart valves and delivery systems described in the '126 application represent a substantial advance in the art, additional delivery systems and methods are desired, particularly such systems and methods that are adapted to deliver and deploy the prosthetic heart valves described therein.

SUMMARY OF THE INVENTION

[0015] The present invention provides methods and devices for deploying prosthetic heart valves and other prosthetic devices in body lumens. The methods and devices are particularly adapted for use in percutaneous aortic valve replacement. The methods and devices may also find use in the peripheral vasculature, the abdominal vasculature, and in other ducts such as the biliary duct, the fallopian tubes, and similar lumen structures within the body of a patient. Although particularly adapted for use in lumens found in the human body, the apparatus and methods may also find application in the treatment of animals.

[0016] Without intending to limit the scope of the methods and devices described herein, the deployment devices and methods are particularly adapted for delivery of prosthetic heart valves and scaffolding structures identical or similar to those described in the '126 application described above. A particularly preferred prosthetic heart valve includes a generally cylindrical support structure formed of three segments, such as panels, interconnected by three foldable junctions, such as hinges, a representative embodiment of which is illustrated in FIG. 1A of the '126 application, which is reproduced herein as FIG. 1A. The exemplary prosthetic valve 30 includes a generally cylindrical support member 32 made up of three generally identical curved panels 36 and a valvular body 34 attached to the internal surface of the support member. Each panel includes an aperture 40 through which extends a plurality of interconnecting braces 42 that define a number of sub-apertures 44, 46, 48, 50. A hinge 52 is formed at the junction formed between each pair of adjacent panels. The hinge may be a membrane hinge comprising a thin sheet of elastomeric material 54 attached to the external edge 56 of each of a pair of adjacent panels 36.

[0017] Turning to FIG. 1B-C, a method for transforming a prosthetic valve from its expanded state to its contracted state is illustrated. These Figures show a three-panel support member without a valvular body attached. The method for contracting a full prosthetic valve, including the attached valvular body, is similar to that described herein in relation to the support member alone. As shown in FIG. 1B, each of the panels 36 is first inverted, by which is meant that a longitudinal centerline 80 of each of the panels 36 is forced radially inward toward the central longitudinal axis 82 of the support member. This action is facilitated by having panels formed of a thin, resilient sheet of material having generally elastic properties, and by the presence of the hinges 52 located at the junction between each pair of adjacent panels 36. During the inversion step, the edges 56 of each of the adjacent pairs of panels fold upon one another at the hinge 52. The resulting structure, shown in FIG. 1B, is a three-vertex 58 star shaped structure, referred to herein as a "tri-star" shape. Those skilled in the art will recognize that a similar procedure may be used to invert a four (or more) panel support member, in which case the resulting structure would be a four- (or more) vertex star shaped structure.

[0018] The prosthetic valve 30 may be further contracted by curling each of the vertices 58 of the star shaped structure to form a multi-lobe structure, as shown in FIG. 1C. As shown in that Figure, each of the three vertices 58 is rotated toward the center longitudinal axis 82 of the device, causing each of the three folded-upon edges of the adjacent pairs of panels to curl into a lobe 84. The resulting structure, illustrated in FIG. 1C, is a "tri-lobe" structure that represents the fully contracted state of the prosthetic valve. Those skilled in the art will recognize that a similar procedure may be used to fully contract a four (or more) panel support member, in which case the resulting structure would be a four- (or more) lobed structure.

[0019] The foregoing processes are performed in reverse to transform the prosthetic valve from its contracted state to its expanded state. For example, beginning with the prosthetic valve in its "tri-lobe" position shown in FIG. 1C, the three vertices 58 may be extended radially to achieve the "tri-star" shape shown in FIG. 1B. The "tri-star" shape shown in FIG. 1B is typically not stable, as the panels 36 tend to spontaneously expand from the inverted shape to the fully expanded shape shown in FIG. 1A unless the panels are otherwise constrained. Alternatively, if the panels do not spontaneously transition to the expanded state, it will typically only require a slight amount of force over a relatively short amount of distance in order to cause the panels to fully expand. For example, because of the geometry of the three panel structure, a structure having an expanded diameter of about 21 mm would be fully expanded by insertion of an expanding member having a diameter of only 16 mm into the interior of the structure. In such a circumstance, the 16 mm diameter member would contact the centerline of each panel and provide sufficient force to cause each panel to transform from the inverted shape shown in FIG. 1B to the fully expanded shape shown in FIG. 1A. This is in contrast to a typical "stent"-like support structure, which requires an expanding member to expand the stent to its full radial distance.

[0020] Additional details of this and other embodiments of the prosthetic heart valve and scaffolding structures are provided in the '126 application, to which the present description refers. It is to be understood that those prosthetic heart valves and scaffolding structures are only examples of such valves

and prosthetic devices that are suitable for use with the devices and methods described herein. For example, the present devices and methods are suitable for delivering valves and prosthetic devices having any cross-sectional or longitudinal profile, and is not limited to those valves and devices described in the '126 application or elsewhere.

[0021] Turning to the deployment devices and methods, in one aspect of the present invention, a delivery catheter for prosthetic heart valves and other devices is provided. The delivery catheter is preferably adapted for use with a conventional guidewire, having an internal longitudinal lumen for passage of the guidewire. The delivery catheter includes a handle portion located at a proximal end of the catheter, a deployment mechanism located at the distal end of the catheter, and a catheter shaft interposed between and operatively interconnecting the handle portion and the deployment mechanism. The deployment mechanism includes several components that provide the delivery catheter with the ability to receive and retain a prosthetic valve or other device in a contracted, delivery state, to convert the prosthetic device to a partially expanded state, and then to release the prosthetic valve completely from the delivery device. In several preferred embodiments, the deployment mechanism includes an outer slotted tube, a plurality of wrapping pins attached to a hub and located on the interior of the slotted tube, and a plurality of restraining members that extend through the wrapping pins to the distal end of the catheter. Each of the deployment mechanism components is individually controlled by a corresponding mechanism carried on the handle portion of the catheter. The deployment mechanism preferably also includes a nosecone having an atraumatic distal end.

[0022] In several particularly preferred embodiments, the restraining members comprise tethers in the form of a wire, a cable, or other long, thin member made up of one or more of a metal such as stainless steel, metallic alloys, polymeric materials, or other suitable materials. A particularly preferred form of the tethers is suture material. In several embodiments, the tethers are adapted to engage the guidewire that extends distally past the distal end of the delivery catheter. The tethers preferably engage the guidewire by having a loop, an eyelet, or other similar construction at the distal end of the tether. Optionally, the tether is simply looped around the guidewire and doubles back to the catheter handle. Thus, the tethers are released when the guidewire is retracted proximally into the delivery catheter. In still other embodiments, the tethers may be released from the guidewire by actuation of a member carried on the handle mechanism at the proximal end of the catheter. In still other embodiments, a post or tab is provided on the guidewire, and the tether engages the post or tab but is able to bend or break free from the post or tab when a proximally-oriented force is applied to the tethers.

[0023] In a second aspect of the present invention, several optional active deployment mechanisms are described. The active deployment mechanisms are intended to convert a prosthetic valve, scaffolding structure, or similar device from an undeployed, partially deployed, or not-fully deployed state to its fully expanded state. Several of the active deployment mechanisms take advantage of the fact that the preferred prosthetic valves and scaffolding structures require only a small amount of force applied any any of a large number of points or locations on the valve or structure in order to cause the valve to fully expand. Exemplary embodiments of the active deployment mechanisms include embodiments utilizing expandable members that are placed into the interior of

the prosthetic valve and then expanded; embodiments that operate by causing the hinges of the undeployed prosthetic valve to open, thereby transitioning to the fully expanded state; embodiments that include implements that engage one or more of the panels to cause the panel to expand to its deployed state; and other embodiments described herein.

[0024] Other aspects, features, and functions of the inventions described herein will become apparent by reference to the drawings and the detailed description of the preferred embodiments set forth below.

DESCRIPTION OF THE DRAWINGS

[0025] FIG. 1A is a perspective view of a prosthetic valve suitable for use by the delivery catheter of the present invention.

[0026] FIG. 1B is a top view of a partially contracted support member illustrating inverted panels to form a "tri-star" shape.

[0027] FIG. 1C is a top view of a fully contracted support member illustrating inverted and curled panels to form a "tri-lobe" shape.

[0028] FIG. 2 is a perspective view of a delivery catheter in accordance with the present invention.

[0029] FIG. 3 is a perspective view of a deployment mechanism of the delivery catheter of FIG. 2.

[0030] FIG. 3A is a cross-sectional view of the deployment mechanism shown in FIG. 3.

[0031] FIG. 3B is a perspective view of several of the internal components included in the deployment mechanism shown in FIG. 3.

[0032] FIG. 3C is a perspective view of a wrapping pin stabilizer.

[0033] FIGS. 3D-F are cross-sectional views of wrapping pins and their associated tethers.

[0034] FIG. 3G is another perspective view of a wrapping pin stabilizer.

[0035] FIG. 4 is a perspective view of a handle mechanism of the delivery catheter shown in FIG. 2.

[0036] FIG. 5 is a cross-sectional view of the handle mechanism shown in FIG. 4.

[0037] FIG. 6 is a side view of the handle mechanism of the delivery catheter shown in FIG. 2, illustrating several positions corresponding with steps performed during use of the delivery catheter to deliver a prosthetic device.

[0038] FIG. 7 is a perspective view of the deployment mechanism, shown with a prosthetic valve in a star shape and with the slotted tube fully advanced.

[0039] FIG. 8 is a perspective view of the deployment mechanism, shown with a prosthetic valve in a star shape with the wrapping pins fully advanced and with the slotted tube retracted.

[0040] FIG. 9 is a perspective view of the deployment mechanism, shown with a prosthetic valve in a star shape with the wrapping pins and the slotted tube retracted.

[0041] FIG. 9A is a closeup view of the nosecone and guidewire shown in FIG. 9, showing detail of the manner in which a tether is looped over the guidewire.

[0042] FIG. 10 is a perspective view of the deployment mechanism, shown with a prosthetic valve in expanded shape with tethers retaining the valve in place.

[0043] FIG. 11 is a perspective view of the deployment mechanism, shown with a prosthetic valve in expanded shape, and showing the guidewire and tethers withdrawn to release the valve.

[0044] FIGS. 12A-B are side cross-sectional and end views, respectively, of a portion of the distal end of a delivery catheter, illustrating an eyelet formed on the ends of each tether.

[0045] FIGS. 12C-D are side cross-sectional views of a first wrapping pin having no recess, and a second wrapping pin having an eyelet recess formed therein.

[0046] FIG. 12E is an end cross-sectional view of a prosthetic valve partially restrained by three dual tethers.

[0047] FIGS. 12F-G are illustrations of two methods for selectively attaching dual tethers to a guidewire.

[0048] FIG. 13 is a side view of a portion of a delivery catheter illustrating a valve stop formed on each tether.

[0049] FIGS. 14A-B are side partial cross-sectional views of a portion of a delivery catheter illustrating tethers including linkage members. FIG. 14A shows a valve in its expanded state, and FIG. 14B shows the valve in its “tri-star” state.

[0050] FIG. 15 is a side view in partial cross-section of a delivery catheter illustrating tethers having loops that are routed through throughholes in the nosecone.

[0051] FIGS. 16A-B are a side view in partial cross-section and an end view showing a slotted nosecone.

[0052] FIG. 17 is a side view in partial cross-section of a delivery catheter illustrating tethers having primary and secondary sections.

[0053] FIGS. 18A-B are side views of a portion of a prosthetic valve having loops for engaging a tether to prevent migration.

[0054] FIGS. 19A-D are side views of several embodiments of wrapping pins.

[0055] FIGS. 20A-B are side views in partial cross-section showing a pair (out of three) of articulating wrapping pins, forming a gripper mechanism.

[0056] FIGS. 21A-B are an end perspective view in partial cross-section and a top view in partial cross-section of a slotted tube.

[0057] FIG. 21C is a side cross-sectional view of a slotted tube having runners and a valve panel in its contracted state.

[0058] FIGS. 22A-B are a perspective view and an end view, respectively, of an alternative deployment mechanism for a delivery catheter.

[0059] FIG. 23A is an illustration of a shape set nosecone shaft.

[0060] FIG. 23B is a cross-sectional end view of the shape set nosecone shaft of FIG. 23A.

[0061] FIG. 23C is a side view of the shape set nosecone shaft of FIG. 23A showing the tensioning member in tension.

[0062] FIGS. 24A-C illustrate a side view in partial cross-section and two end views, respectively, of an active deployment mechanism for deploying a valve, in accordance with the present invention.

[0063] FIGS. 25A-C illustrate side views in partial cross-section of another active deployment mechanism for deploying a valve, in accordance with the present invention.

[0064] FIGS. 26A-E illustrate several embodiments of active deployment mechanism employing inflatable members, such as balloons.

[0065] FIGS. 27A-B illustrates another embodiment of an active deployment mechanism employing inflatable members, such as balloons.

[0066] FIG. 28 illustrates an active deployment mechanism utilizing a roller and pincher.

[0067] FIGS. 29A-B illustrate an active deployment mechanism utilizing a wedge.

[0068] FIG. 30 illustrates an active deployment mechanism utilizing a torsion spring.

[0069] FIGS. 31A-B illustrate an active deployment mechanism utilizing a membrane balloon mounted on a slotted tube.

[0070] FIG. 32 illustrates an active deployment mechanism utilizing a plurality of linkages able to be expanded by an inflatable member.

[0071] FIGS. 33A-B illustrate an active deployment mechanism utilizing an expansion balloon mounted within the nosecone of a delivery catheter.

[0072] FIGS. 34A-C illustrate an active deployment mechanism utilizing a yoke and linkage system adapted to extend radially outward upon actuation.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0073] Before the present invention is described, it is to be understood that this invention is not limited to particular embodiments described, as such may, of course, vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting, since the scope of the present invention will be limited only by the appended claims.

[0074] Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which these inventions belong. Although any methods and materials similar or equivalent to those described herein can also be used in the practice or testing of the present invention, the preferred methods and materials are now described. All publications mentioned herein are incorporated herein by reference to disclose and describe the methods and/or materials in connection with which the publications are cited.

[0075] It must be noted that as used herein and in the appended claims, the singular forms “a”, “an”, and “the” include plural referents unless the context clearly dictates otherwise.

[0076] The publications discussed herein are provided solely for their disclosure prior to the filing date of the present application. Nothing herein is to be construed as an admission that the present invention is not entitled to antedate such publication by virtue of prior invention. Further, the dates of publication provided may be different from the actual publication dates which may need to be independently confirmed.

[0077] As will be apparent to those of skill in the art upon reading this disclosure, each of the individual embodiments described and illustrated herein has discrete components and features which may be readily separated from or combined with the features of any of the other several embodiments without departing from the scope or spirit of the present inventions.

A. Delivery Devices and Methods of Use

[0078] Devices for delivering prosthetic valves and other devices to a treatment location in a body lumen are described below, as are methods for their use. The delivery devices are particularly adapted for use in minimally invasive interventional procedures, such as percutaneous aortic valve replacements. FIG. 2 illustrates a preferred embodiment of the device, in the form of a delivery catheter. The delivery catheter 100 includes a handle mechanism 102 located at the proximal end of the catheter, a deployment mechanism 104

located at the distal end of the device, and a shaft **106** extending between and interconnecting the handle mechanism **102** and the deployment mechanism **104**. The catheter **100** is preferably provided with a guidewire lumen extending through the entire length of the catheter, such that a guidewire **108** is able to extend through the delivery catheter in an "over-the-wire" construction. In an optional embodiment (not shown in the drawings), the catheter **100** is provided with a "rapid-exchange" construction whereby the guidewire exits the catheter shaft through an exit port located near the distal end of the catheter. The cross-sectional profile of the deployment mechanism **104** and the shaft **106** are of a sufficiently small size that they are able to be advanced within the vasculature of a patient to a target location, such as the valve root of one or more of the valves of the heart. A preferred route of entry is through the femoral artery in a manner known to those skilled in the art. Thus, the deployment mechanism **104** has a preferred maximum diameter of approximately 24 Fr. It is understood, however, that the maximum and minimum transverse dimensions of the deployment mechanism **104** may be varied in order to obtain necessary or desired results.

[0079] The deployment mechanism **104** is provided with components, structures, and/or features that provide the delivery catheter with the ability to retain a prosthetic valve (or other prosthetic device) in a contracted state, to deliver the valve to a treatment location, to convert the prosthetic valve to its deployed state (or to allow the valve to convert to its deployed state on its own), to retain control over the valve to make any necessary final position adjustments, and to convert the prosthetic valve to its contracted state and withdraw the valve (if needed). These components, structures, and/or features of the preferred deployment mechanism are described below.

[0080] Turning to FIGS. 3 and 3A, the deployment mechanism **104** is shown in its fully contracted state for use when the mechanism **104** has not yet reached the target site within the body of a patient, such as prior to use and during the delivery process. The deployment mechanism **104** includes a slotted tube **110** that is connected to an outer sheath **112** of the catheter shaft **106**, such as by way of the attachment collar **111** (shown in FIG. 3A). Thus, longitudinal movement or rotation of the outer sheath **112** causes longitudinal movement or rotation of the slotted tube **110**. The slotted tube **110** is a generally cylindrical body that includes a plurality of longitudinal slots **114** that extend from the distal end of the slotted tube **110** to near its proximal end. In the preferred delivery catheter, the slotted tube **110** includes three slots **114** spaced equidistantly about the circumference of the slotted tube **110**. The slots **114** have a length and width that are sufficient to accommodate the extension of portions of the prosthetic valve **30** therethrough, as described more fully below in reference to FIG. 7, described elsewhere herein. The slotted tube **110** is preferably formed of stainless steel or other generally rigid material suitable for use in medical devices or similar applications.

[0081] The deployment mechanism **104** may also include a retainer ring **116** and a nosecone **118**. Although the retainer ring **116** and nosecone **118** are not necessary parts of the delivery catheter, each of these components may provide additional features and functionality when present. The nosecone **118** is located at the distal end of the delivery catheter and is preferably provided with a generally blunt, atraumatic tip **120** to facilitate passage of the catheter through the patient's vasculature while minimizing damage to the

vessel walls. The nosecone **118** is preferably formed of any suitable biocompatible material. In several preferred embodiments, the nosecone is formed of a relatively soft elastomeric material, such as a polyurethane, a polyester, or other polymeric or silicone-based material. In other embodiments, the nosecone is formed of a more rigid material, such as a plastic, a metal, or a metal alloy material. The nosecone may be coated with a coating material or coating layer to provide advantageous properties, such as reduced friction or increased protection against damage. It is also advantageous to provide the nosecone with an atraumatic shape, at least at its distal end, or to form the nosecone **118** of materials that will provide the atraumatic properties while still providing structural integrity to the distal end of the device. The nosecone **118** preferably includes a plurality of throughholes **122** that extend through the length of the nosecone to allow passage of a plurality of tethers **124**, which are described more fully below. A pair of slots **119** are formed on the exterior of the nosecone **118**. The slots **119** provide a pair of surfaces for a wrench or other tool to grasp the nosecone **118** to enable manual manipulation of the nosecone **118**, for purposes to be described below.

[0082] The retainer ring **116** is a generally cylindrically shaped ring that is located generally between the slotted tube **110** and the nosecone **118**. More precisely, when the deployment mechanism **104** is in the fully contracted state shown in FIGS. 3 and 3A, the retainer ring **116** preferably overlaps a ledge **126** formed on the distal end of the slotted tube **110**. Alternatively, the inner diameter of the retainer ring **116** may be formed slightly larger than the outer diameter of the slotted tube **110**, thereby allowing the distal ends of the slotted tube **110** to slide within the retainer ring **116** without the need for a ledge **126**. In this way, the retainer ring **116** prevents the distal ends of the slotted tube **110** from bowing outward due to pressure caused by the prosthetic valve being stored within the deployment mechanism **104**.

[0083] The proximal end of the retainer ring **116** engages a bearing **128** that is formed integrally with the nosecone **118**, and that allows the nosecone **118** to rotate inside and independently from the retainer ring **116**. As described below, the slotted tube **110** is rotated relative to the nosecone shaft **136** and the wrapping pins **130** during some operations of the deployment mechanism, primarily during the expansion and contraction of the prosthetic valve. Without the bearing **128** (or a suitable alternative), the prosthetic valve would tend to bind up within the deployment mechanism and prevent relative rotation between the slotted tube **110** and the wrapping pins **130**. Thus, the provision of the bearing **128** engaged with the retainer ring **116** facilitates this rotation of the slotted tube **110**, which engages the retainer ring **116**.

[0084] Additional features of the interior of the deployment mechanism are illustrated in the cross-sectional view shown in FIGS. 3A-G. A plurality of fixed wrapping pins **130** are attached to a wrapping pin hub **132** and extend longitudinally from the hub **132** toward the distal end of the catheter. The preferred embodiment of the delivery catheter includes three wrapping pins **130**, although more or fewer are possible. The hub **132** is attached to a wrapping pin shaft **134** that extends proximally from the hub **132** beneath the outer sheath **112** of the catheter shaft **106**. Thus, movement or rotation of the wrapping pin shaft **134** causes longitudinal movement or rotation of the hub **132** and the three wrapping pins **130**. A wrapping pin stabilizer **133** is slidably attached to the outer surfaces of each of the wrapping pins **130**. The pin stabilizer

133 is a generally disc-shaped member having a center hole **133a** and three equally spaced throughholes **133b** to accommodate the three wrapping pins **130**. As described below, in certain orientations of the deployment mechanism **104**, the pin stabilizer **133** provides support and stability to the wrapping pins **130** extending distally from the wrapping pin hub **132**.

[0085] Turning to FIGS. 3D-F, in several of the preferred embodiments, the tethers **124** extend through or are otherwise engaged with the wrapping pins **130**. The Figures illustrate several methods by which this is done. In the closed configuration, shown in FIG. 3D, the wrapping pin **130** includes a central lumen **131a** through which the tether **124** extends. The lumen **131a** extends through the length of the wrapping pin **130** and through the hub **132**, allowing the tether to extend proximally to the handle mechanism **102**. In the open configuration, shown in FIG. 3E, the wrapping pin **130** includes a channel **131b** formed on its underside. The tether **124** is able to be received in the channel **131b**, although it is not necessarily retained therein. In the guided configuration, shown in FIG. 3F, the wrapping pin **130** includes a channel **131b** formed on its underside. A tether guide **135** is located in the channel **131b**, and is preferably attached to the handle housing **152** by welding, adhesive, or other suitable method. The tether **124** is routed through the guide **135**, and is thereby retained within the guide **135**.

[0086] A nosecone shaft **136** is located internally of the wrapping pin shaft **134**. The nosecone **118** is attached to the nosecone shaft **136**, and the nosecone shaft **136** is slidably received through the wrapping pin hub **132**. However, the nosecone shaft **136** is fixed to the wrapping pin stabilizer **133**. Thus, longitudinal movement of the nosecone shaft **136** causes longitudinal movement of the nosecone **118** and the pin stabilizer **133**, independent of any of the other components of the deployment mechanism **104**. However, rotation of the handle housing **152** causes rotation of the nosecone **118**, the pin stabilizer **133**, and the wrapping pins **130**. The nosecone shaft **136** is hollow, thereby defining a guidewire lumen **137** through its center.

[0087] A plurality of wrapping pin sockets **138** are formed on the proximal side of the nosecone **118**. Each socket **138** is generally cylindrical and has a size adapted to receive the distal portion of a wrapping pin **130** therein. When the distal ends of the wrapping pins **130** are engaged with their respective sockets **138**, the sockets **138** provide support and rigidity to the wrapping pins **130**. This support and rigidity is particularly needed during the wrapping and unwrapping of the prosthetic valve, as described more fully below. During those operations, a large amount of strain is imparted to each of the wrapping pins **130**, which strain is absorbed in part by the sockets **138** formed in the nosecone **118**. Each socket **138** is also provided with a hole **140** that provides access to a respective throughhole **122** in the nosecone **118**. As described more fully below, this provides a passage for a tether **124** that is contained within each wrapping pin **130** to extend through the hole **140** in each socket, through the throughhole **122** to the distal end of the nosecone **118**.

[0088] Although it is not shown in the cross-sectional view in FIG. 3A, a prosthetic valve **30** such as the type described herein in relation to FIGS. 1A-C—and in the '126 application—may be retained on the wrapping pins **130** in the interior of the slotted tube **110**. A suitable method for loading the valve **30** into the device will be described below. The valve **30** is retained in a contracted, multi-lobe state (see, e.g., FIG. 1C)

in which each “lobe” is generally wrapped around a respective wrapping pin **130**, and held in place there by engagement with the interior surface of the slotted tube **110**.

[0089] Turning now to FIGS. 4-6, the handle mechanism **102** will be described. The handle mechanism **102** includes a slotted tube grip **150** that is fixedly connected to the outer sheath **112** while being slidably and rotatably mounted on a handle housing **152**. The handle housing **152** is a generally cylindrical hollow shaft. The slotted tube grip **150** is preferably formed of or covered with a corrugated polymer or rubber material to provide the ability to easily grasp and manipulate the grip **150**. Similarly, a wrapping pin grip **154**, also preferably formed of or covered with a corrugated polymer or rubber material, is slidably mounted to the handle housing **152**. The wrapping pin grip **154** includes a bolt **156** that extends through a slot **158** formed in the handle housing **152**, to engage the proximal end of the wrapping pin shaft **134**. A tether grip **160** is slidably mounted over the proximal end of the handle housing **152**. The tether grip **160** is also generally cylindrical, having a slightly larger diameter than the handle housing **152**, thereby allowing the tether grip **160** to slide over the handle housing **152** in a telescoping manner. A locking screw **162** extends through a slot **164** formed in the tether grip **160** and into the side of the handle housing **152** near its proximal end. The locking screw **162** allows the user to fix the position of the tether grip **160** relative to the handle housing **152** by screwing the locking screw **162** down.

[0090] Three tether clamps **166** extend from the proximal end of the tether grip **160**. Each tether clamp **166** is independently clamped to a tether **124** that extends through the catheter to its distal end, as explained in more detail herein. Each tether clamp **166** also includes a spring mechanism (not shown) that provides independent tensioning for each tether **124**. The proximal end of the nosecone shaft **136** extends out of the proximal end of the tether grip **160**, between the three tether clamps **166**, terminating in a small cylindrical nosecone shaft grip **168**. The guidewire **108** is shown extending out of the proximal end of the nosecone shaft **136**.

[0091] The preferred embodiment of the valve delivery catheter so described is intended to be used to deliver and deploy a prosthetic device, such as a prosthetic heart valve, to a patient using minimally invasive surgical techniques. Turning to FIGS. 6-11, a representative method of use of the device will be described. The device is intended to be introduced to the vasculature of a patient over a standard guidewire that has been previously introduced by any known technique, with access via the femoral artery being the preferred method. The guidewire is advanced to the treatment location under x-ray or other guidance, such as to the root of a heart valve, such as the aortic valve. Once the guidewire is in place, the valve delivery catheter **100** is advanced over the guidewire until the deployment mechanism **104** reaches the treatment location. During the delivery process, the deployment mechanism is in the fully contracted state shown, for example, in FIGS. 2 and 3.

[0092] Once the deployment mechanism **104** is located near the treatment location, the valve deployment process begins. The guidewire **108** is initially left in place through the deployment process, and is not withdrawn until a particular point in the process defined below. The valve deployment process includes manipulation of the slotted tube grip **150**, wrapping pin grip **154**, and tether grip **160** located on the handle mechanism **102**, which cause a series of manipulations of the slotted tube **110**, wrapping pin hub **132** and

wrapping pins **130**, and the tethers **124**, in order to release and deploy the prosthetic valve in a manner that provides control during deployment and the ability to precisely position, reposition, and (if necessary) retrieve the prosthetic valve at any time during the deployment process. FIG. 6 illustrates several of the positions of the components of the handle mechanism **102** during the preferred deployment process. These positions correspond to several of the delivery steps illustrated in FIGS. 7-11.

[0093] As noted elsewhere herein, it is possible to provide valves that are contracted into other sizes and orientations (such as two lobes or four or more lobes), which would also include a delivery catheter having a different number of slots in the slotted tube **110** and a different number of wrapping pins **130**. For clarity, the present description will focus entirely upon the valve **30** having three panels **36** and three hinges **52**, and a delivery catheter **100** having three slots **114** in the slotted tube **110** and three wrapping pins **130**.

[0094] Turning to FIGS. 6 and 7, the first step in deploying the prosthetic valve **30** is to partially expand the contracted valve from the “tri-lobe” shape (see FIG. 1C) to the “tri-star” shape (see FIG. 1B). This is done by causing relative rotation between the slotted tube **110** and the wrapping pins **130**. As shown in FIG. 6, this is done by rotating the slotted tube grip **150** around the longitudinal axis of the delivery catheter, thereby causing the slotted tube **110** to rotate around the wrapping pins **130**, which are maintained stationary. This relative rotation is facilitated by the provision of the bearing **128** in the nosecone **118** of the deployment mechanism **104**, as illustrated in FIG. 3A. As the slotted tube **110** rotates relative to the wrapping pins **130**, each of the vertices **58** of the prosthetic valve **30** is caused to extend outward through its respective slot **114** in the slotted tube **110**. Rotation of the slotted tube grip **150** is stopped when the valve **30** achieves the “tri-star” shape shown in FIG. 7. At all times during the process up to this point, the adjustable components on the handle mechanism (i.e., the tether grip **160**, the wrapping pin grip **154**, and the slotted tube grip **150**) are maintained in position “a”, wherein the tether grip **160** is in its fully retracted position, and the wrapping pin grip **154** and slotted tube grip **150** are each in their fully advanced positions.

[0095] Turning next to FIGS. 6 and 8, the next step in the deployment process is to retract the slotted tube **110** to further expose the prosthetic valve **30**. This is done by retracting the slotted tube grip **150** to position “b” (FIG. 6) while maintaining the wrapping pin grip **154** and tether grip **160** in the same position “b”. Retracting the slotted tube **110** causes the valve **30** to become more exposed, but the valve **30** is maintained in the “tri-star” shape by the wrapping pins **130** which continue to engage each of the three panels **36** of the valve **30**. Although not shown in FIG. 8, the distal ends of the wrapping pins **130** also remain seated in the wrapping pin sockets **138** located in the proximal-facing portion of the nosecone **118**. In this “b” position, the wrapping pin stabilizer **133** is located just proximally of the valve **30** and is just distal of the wrapping pin hub **132**.

[0096] Next, turning to FIGS. 6 and 9, the wrapping pins **130** are retracted by retracting the wrapping pin grip **154** to position “c” (as shown in the Figure, transitioning from position “b” to position “c” requires no adjustment of either the slotted tube grip **150** or the tether grip **160**). Retracting the wrapping pins **130** causes the wrapping pins **130** to become disengaged from the valve **30** and to retract to the interior of the slotted tube **110**. The wrapping pin stabilizer **133**, which

is fixed to the nosecone shaft **136**, slides along the length of the wrapping pins **130** until maximum retraction of the wrapping pins **130**, which corresponds to the position shown in FIG. 9, with the stabilizer **133** near the distal ends of each of the wrapping pins **130**. In this position, the stabilizer **133** provides support and rigidity to the nosecone shaft **136**, which is otherwise only supported by the wrapping pin hub **132**. As shown, for example, in FIG. 9, the stabilizer **133** effectively decreases the cantilever length of the nosecone shaft **136**, thereby providing it with increased stability. The stabilizer **133** also serves as a backing member for the prosthetic valve **30**, preventing the valve **30** from moving proximally as the wrapping pins **130** are retracted. Further, the stabilizer **133** also serves as a guide for the tethers **124** as they extend from the distal ends of the wrapping pins **130**.

[0097] The valve remains in the “tri-star” position due to the presence of the tethers **124**, the spacing of which is maintained by the holes in the stabilizer **133** through which the wrapping pins **130** and tethers **124** extend. In the preferred embodiment shown in FIGS. 9 and 9A, a tether **124** extends through each of the wrapping pins **130**, through the hole **140** in the socket **138**, through the throughholes **122** in the nosecone **118**, and is looped around the guidewire **108** on the distal side of the nosecone **118**. The tethers **124** each extend proximally through and within the catheter shaft **106** and is received and retained in its respective tether clamp **166** near the proximal end of the catheter **100**. In the position shown in FIG. 9, the tethers **124** are all maintained sufficiently taut that they retain the valve **30** in the “tri-star” orientation shown in the Figure. This corresponds with position “c” of the tether grip **160** relative to the handle housing **152**, shown in FIG. 6.

[0098] In an alternative embodiment, the tethers **124** may be tensioned by manipulation of the distal connection of the tethers **124** to the guidewire **108**. For example, rotation of the nosecone shaft **136** will cause the tethers **124** to wrap around the guidewire **108**, thereby providing tension to the tethers **124**. Other suitable methods for tensioning the tethers **124** are also contemplated, as will be understood by those skilled in the art.

[0099] Turning next to FIGS. 6 and 10, expansion of the valve **30** is obtained by loosening the tethers **124** that otherwise hold the valve **30** in the “tri-star” position. This transition is achieved by advancing the tether grip **160** to position “d”, as shown in FIG. 6. (Note: Transitioning from position “c” to position “d” requires no adjustment of either the slotted tube grip **150** or the wrapping pin grip **154**). Advancement of the tether grip **160** relative to the handle housing **152** creates slack in the tethers **124**, which slack is taken up by the radial expansion of the valve **30**. In the typical deployment, the valve **30** will automatically fully expand to the deployment position shown in FIG. 10 when the tension is released from the tethers **124**. For those situations in which the valve **30** does not automatically expand, or when the valve only partially expands, one or more alternative mechanisms and/or methods may be utilized to obtain full expansion. Several of these preferred mechanisms and methods are described below in Section B.

[0100] It is significant that, in the position shown in FIG. 10, the tethers **124** no longer interfere with the expansion of the valve **30**, but they remain in control of the valve **30**. In this position, it is possible to make any final positional adjustments of the valve **30**, if necessary. This can be done by simply advancing or withdrawing the catheter **100**, which tends to drag or push the valve **30** along with it. This may also

be facilitated by slight advancement of the wrapping pin grip **154** and/or retraction of the tether grip **160**, each of which actions will tend to apply tension to the tethers **154**. In this manner, the valve position may be adjusted by the user while the valve is in its fully expanded state, under control of the tethers **124**.

[0101] Alternatively, the valve **30** may be partially or fully contracted once again by increasing the tension on the tethers **124**, as by retracting the tether grip **160** relative to the handle housing **152**. (I.e., moving from position “d” to position “c” in FIG. 6). If necessary, the valve **30** may be fully contracted by retracting the tether grip **160**, and then the deployment mechanism **104** may be fully restored to the undeployed position by simply reversing the above steps, in order. (I.e., moving to position “c”, then position “b”, then position “a”). This reversal of the process includes a step of advancing the wrapping pins **130** back over the contracted valve panels as the valve **30** is maintained in the “tri-star” shape. This process is facilitated by the presence of the tethers **124**, which act as guides for the wrapping pins **130** to “ride up” over the edges of the valve panels under the guidance of the tethers **124**. Once the wrapping pins **130** are in place, the slotted tube **110** is advanced over the valve **30**, with each of the vertices of the valve “tri-star” extending through its respective slot **114**. The slotted tube **110** is then rotated relative to the wrapping pins **130** and valve **30**, causing the valve to transition to the fully contracted “tri-lobe” shape fully contained within the slotted tube **110**. At that point, the delivery catheter may be removed from the patient without deploying the valve **30**. Any or all of these adjustment or removal steps may be taken, depending upon the clinical need or depending upon any situation that may arise during the deployment procedure.

[0102] Turning to FIGS. 6 and 11, assuming that the valve **30** is placed in its final position and is ready to be released, the valve is released from the delivery catheter **100** by retracting the guidewire **108** to a position such that the distal end of the guidewire **108** no longer extends past the distal end of the nosecone **118** of the delivery catheter **100**. At this point, the tethers **124** are released from their engagement with the guidewire **108**. Preferably, the tethers **124** are then retracted at least into the wrapping pins **130**, and may alternatively be fully retracted through and from the proximal end of the delivery catheter **100**. This is reflected as handle position “f” in FIG. 6, in which the tether grip **160** is retracted at least to its initial position, and no change is made to the positions of either the wrapping pin grip **154** or the slotted tube grip **150**. As shown in FIG. 11, the valve **30** is completely free from the delivery catheter **100**. The nosecone **118** remains distal of the valve **30**, and the nosecone shaft **136** extends through the body of the valve **30**.

[0103] To complete the delivery process, the delivery catheter is preferably contracted to its pre-delivery state by advancing the wrapping pins **130** into engagement with the nosecone **118** by advancing the wrapping pin grip **154** on the handle back to position “a”, then by advancing the slotted tube **110** into engagement with the retainer ring **116** by advancing the slotted tube grip **150** on the handle back to position “a”. At this point, the delivery catheter **100** may be removed from the patient, leaving the prosthetic valve **30** in place.

B. Variations in Construction, Components, and/or Features of Delivery Device

[0104] Preferred delivery catheters and methods of use are described above. A number of variations of several of the

components, features, and other aspects of the device have been contemplated, and are described below.

[0105] Turning first to FIGS. 12A-B, an alternative method of connecting the tethers **124** to the guidewire **108** is shown. In the embodiment described above, the tethers **124** are looped over the guidewire **108**. In the embodiment shown in FIGS. 12A-B, each tether **124** has an eyelet **125** formed at its distal end. The eyelet **125** is connected to the tether by an adhesive bond, or by crimping, or by any other suitable method. Each eyelet **125** has a hole formed at its distal end that is large enough to accommodate the guidewire **108** extending therethrough. The eyelet **125** may have a generally curved shape to rest alongside the nosecone **118**, and a terminal end that is generally perpendicular to the longitudinal axis defined by the guidewire **108**.

[0106] Turning to FIGS. 12C-D, an optional recess **131** may be formed in the distal end of each of the wrapping pins **130**. The recess **131** is preferably formed having a shape and size to accommodate the eyelet **125** that is optionally provided at the distal end of each of the tethers **124**. Accordingly, when no recess **131** is available (see, e.g., FIG. 12C), the eyelet **125** may be unable to be withdrawn into the lumen provided for passage of the tether **124**. When a recess **131** is provided (see, e.g., FIG. 12D), the eyelet **125** is retracted into the recess **131** and does not extend out of the distal end of the wrapping pin **130**.

[0107] FIG. 12E illustrates an embodiment including a plurality of dual or redundant tethers **124a-b**. As shown in the Figure, a pair of tethers **124a-b** are provided on each of the panels of the valve **30**. The dual tethers **124a-b** may be provided to increase tether strength, where needed, or to provide redundancy in the case of failure of one of the tethers. FIGS. 12F and 12G illustrate two possible methods for attaching the dual tethers **124a-b** to a guidewire **108**. In the first method, shown in FIG. 12F, a collar **176** is formed near the distal ends of and is attached to both of the tethers **124a-b** near their distal ends, thereby forming a loop through which the guidewire **108** extends. In this construction, the loop will remain even if one of the tethers fails. In the second method, shown in FIG. 12G, each of the tethers **124a-b** includes a separate attachment loop **178a-b**, through which the guidewire **108** extends. In each method, the tethers **124a-b** are released when they are disengaged from the guidewire **108** in the manner described above.

[0108] Turning to FIG. 13, a valve stop **142** may be provided on each of the tethers **124**. Each valve stop **142** is in the form of a small cleat, barb, tab, or other transverse extension from the tether **124**. The valve stop **142** is intended to provide another mechanism to prevent the valve **30** from slipping or migrating relative to the tethers **124** when the tethers **124** are in engagement with the valve **30**. Thus, the valve stop **142** is located at a particular known position on each tether **124** to provide an optimal amount of control to the device **100** when the tethers **124** are engaged with the valve **30**.

[0109] FIGS. 14A-B illustrate tethers formed of linkages **144** and tether sections **146**. Each tether includes an eyelet **125** at its distal end connecting the tether to the guidewire **108**. The eyelet **125** is connected directly to a first linkage member **144a**, which may comprise a relatively rigid member formed of a metallic material, a rigid polymeric material, or the like. The linkage **144** is of a length sufficient to accommodate the valve **30** in its expanded state, as shown in FIG. 14A. The first linkage **144a** is connected to a tether section **146** that extends through the length of the valve **30**, and then

connects to a second linkage member **144b**. The second linkage member **144b** then connects to another section of the tether **146**, which extends proximally into the remainder of the delivery catheter. Each linkage member **144a**, **144b** includes a pivot at each end thereof, thereby enabling the linkage member **144a**, **144b** to pivot relative to the member to which it is attached. Thus, when the tethers are relaxed, the valve **30** is allowed to expand, as shown in FIG. **14A**. However, when the tethers are pulled taut, the linkages **144a**, **144b** pivot, thereby causing the tethers to become taut and to convert the valve to its “tri-star” shape, as shown in FIG. **14B**. Preferably, the nosecone **118** is provided with slots that accommodate the first linkage members **144a** when they are pulled taut in the position shown in FIG. **14B**.

[0110] FIG. **15** illustrates a slight variation of the preferred embodiment described above. In this embodiment, the tethers **124** each include a loop **148** formed on their distal ends. Each loop **148** is adapted to engage the guidewire **108**. The tethers **124**, in turn, are routed through throughholes **122** formed in the nosecone **118**, as described above. Each tether **124** is then routed through a lumen formed in its respective wrapping pin **130**. This particular routing orientation provides a mechanical advantage over other routing orientation because the tethers are captured by the nosecone **118** and wrapping pins **130** in close relation to the valve **30**. This orientation also results in less migration of the tethers from side-to-side relative to the valve **30**.

[0111] Turning next to FIGS. **16A-B**, an alternative method for routing the tethers **124** in and around the nosecone **118** is to provide a plurality of slots **121** on the exterior of the nosecone **118**. Each slot **121** is adapted to receive and retain a tether **124** when the tethers **124** are pulled taut. The slots **121** also allow the tethers to arise out of and disengage from its respective slot **121**, for example, when the tethers **124** are slack and the valve **30** expands.

[0112] FIG. **17** illustrates another embodiment containing tethers formed of two separate components, including a thick, or broad primary tether **124a** and a thin, or narrow secondary tether **124b**. The primary tether **124a** may be formed of a round or flat wire, and may be provided as either a straight component or it may be provided with a degree of shape memory. The secondary tether **124b** may be made from a finer, smaller diameter material that is less traumatic to the vessel when it is pulled from between the valve **30** and the vessel. The secondary tether **124b** may also be more easily retracted through the wrapping pins **130**. Although a two-component tether **124** is shown, it should be appreciated that three or more components may also be incorporated to make up the tether **124** and to obtain various performance characteristics.

[0113] Turning next to FIGS. **18A-B**, a pair of loops **170** are shown formed on the external surface of the valve **30**. The loops **170** are intended to provide an engagement member on the surface of the valve **30** for the tethers **124** to engage to prevent the tethers **124** from migrating on the surface of the valve **30**. For example, if the tether **124** migrates from the centerline of a valve panel **36**, it may no longer have the ability to cause the valve panel **36** to invert or to restrain it in its inverted shape. By providing the loops **170**, such migration of the tethers **124** is substantially prevented. It will be appreciated that mechanisms other than loops **170** may also be provided to restrain tether migration. For example, holes, barbs, slots, bumps, or other members may be provided on the surface or integrated into the body of the valve panel **36** to

substantially restrain tether migration. One or more such members may be sufficient to provide sufficient restraining capability.

[0114] Turning to FIGS. **19A-D**, several alternative wrapping pin embodiments are illustrated. The alternative embodiments represent several methods by which wrapping pin deflection may be overcome. As shown, for example, in FIG. **19A**, when the wrapping pin hub **132** is rotated to cause wrapping up of a prosthetic valve **30** by the wrapping pins **130**, an amount of torque “T” is imparted to the hub **132**, and a corresponding deflecting force “F” is imparted to the distal end of the wrapping pin **130**. The deflecting force “F” tends to cause the wrapping pin **130** to deflect in the direction of the deflecting force “F”, which tends to interfere with the wrapping procedure. To counteract the deflection force, the wrapping pin **130** may be formed having a gradual curving shape, as shown in FIG. **19B**, to offset the deflection and to provide more even wrapping of the valve **30**. The degree and nature of the curvature will vary depending upon the materials, sizes, and other properties of the delivery device and the valve, although the curvature will typically be directed toward the deflecting force. Alternatively, the wrapping pin **130** may be attached to the hub **132** at a fixed angle, or canted, as illustrated in FIG. **19C**. Once again, the cant angle may be determined and will vary. Another alternative is shown in FIG. **19D**, in which the wrapping pin **130** is provided with an offset between its proximal and distal ends. Once again, the degree of offset may be varied according to need for a given device.

[0115] Turning to FIGS. **20A-B**, in several additional alternative embodiments, the wrapping pins **330** are not fixed in shape or orientation relative to the hub **332**. In several such embodiments, the wrapping pins **330** include articulating segments **331** connected by rotating joints **332**, thereby allowing each wrapping pin **330** to move radially relative to the longitudinal axis of the device. The concerted movement of the multiple wrapping pins **330** (three pins being preferred, but more or fewer also being possible) allows the structure to act as a gripper for manipulating the prosthetic valve **30**. In the preferred embodiments, movement of each articulated wrapping pin **330** is independently controlled, thereby allowing the user to move each articulated wrapping pin **330** independently from a position generally comparable to that of the fixed wrapping pins **330** illustrated in the drawings (see FIG. **20A**), to a position substantially radially outwardly spaced from the longitudinal axis of the device (see FIG. **20B**). Thus, the close-in position (FIG. **20A**) is suitable for restraining the valve in its contracted or “tri-star” shape, while the radially spaced position (FIG. **20B**) is suitable for releasing the valve to its expanded state, or for retrieving the valve from its expanded state in order to transition the valve back to its contracted state.

[0116] FIGS. **21A-B** illustrate an alternative construction for the slotted tube **110**. In this construction, each of the longitudinal members **180** forming the slotted tube **110** includes an internal base portion **182** formed of a rigid material such as stainless steel or other metallic material, or a rigid polymeric material. The base portion **182** is intended to provide strength and resiliency to the slotted tube **110** to perform its functions of receiving, retaining, and manipulating the valve **30** in response to manipulations of the components contained on the handle mechanism **102** of the delivery catheter. Surrounding the base portion **182** of the slotted tube **110** are a number of air gaps **184** and/or filled sections **186** that are filled with a more flexible, less rigid material relative to the

material forming the base portion **182**. A wide variety of filler materials are possible, including several polymeric material such as polyurethane, or other soft materials such as one or more silicone based materials. The purpose for the air gaps **184** and/or filled portions **186** are to provide a less traumatic construction to reduce the likelihood of causing damage to the valve **30** or any of its panels **36** or hinges **52** while the valve is being loaded, stored, or deployed. By providing an air gap **184** or filled sections **186** on the edges of the longitudinal sections **180** of the slotted tube **110**, the valve **30** is more protected during roll-up or deployment of the valve, during which time the edges of the longitudinal members **180** impose force against the valve panels **36** to cause them to roll up within the deployment mechanism **104** or to deploy out of the slotted tube **110**.

[0117] Turning to FIG. 21C, another mechanism for protecting the valve panels **36** while they are retained within the slotted tube **110** is comprised of a series of runners **190** formed on the internal-facing surfaces of the longitudinal members **180** making up the slotted tube **110**. The runners **190** provide a raised surface upon which the panels **36** will ride to minimize the contact between the panels **36** and the slotted tube **110**. The runners **190** also serve to decrease friction between the two components and decrease the amount of abrasion that is imparted to the panels.

[0118] FIGS. 22A-B illustrate another alternative construction for a portion of the deployment mechanism **104** of the delivery catheter **100**. In this alternative construction, the wrapping pins **130** are not needed. Instead, an inner slotted tube **194** is provided coaxially with and interior to the outer slotted tube **110**. As the inner slotted tube **194** is rotated relative to the outer slotted tube **110**, the valve **30** is converted from a “tri-star” shape to a “tri-lobe” shape, as shown, for example, in FIG. 22A. Reversing the relative rotation causes the valve **30** to extend out of the slots formed in each of the inner slotted tube **194** and the outer slotted tube **110** to form the “tri-star” shape shown in FIG. 22B. The valve **30** may then be deployed by retracting both the inner slotted tube **194** and the outer slotted tube **110** relative to the valve **30**, thereby allowing the valve to expand to its deployed state.

[0119] FIGS. 23A-C illustrate an optional shape set nosecone shaft **136**. The shape set nosecone shaft **136** includes a pre-set shape formed into the distal end of the nosecone shaft **136** to facilitate the ability for the distal end of the delivery catheter **100** to pass over the aortic arch. This is particularly useful when the delivery catheter **100** is used for delivery of a prosthetic aortic valve. The shape set shown in FIG. 23A is generally in the form of a hook-shape, although other shapes is possible in order to improve the performance of the catheter. The shape set is also useful to stabilize the position of the catheter once it is delivered over the aortic arch. The shape set may be imparted by any mechanical or other method known to those skilled in the art. An optional tensioning member **336** may be provided on the external surface of the nosecone shaft **136**. The tensioning member **336** is used to straighten the curvature of the shape set nosecone shaft **136** under the user’s control. For example, as a tension force “T” is imparted to the tensioning member **336**, such as by the user pulling proximally on the tensioning member **336** from the handle mechanism **102**, the nosecone shaft **136** is straightened, as shown in FIG. 23C. The operation of the tensioning member **336** thereby provides the ability to manipulate the distal end of the delivery catheter **100** in a manner that provides an ability for the user to effectively steer the catheter over difficult or tor-

tuos portions of the patient’s vasculature. Other uses of the tensioning member **336** are described elsewhere herein.

C. Active Deployment of Undeployed and Not-Fully Deployed Valves

[0120] Although typically a prosthetic valve **30** such as those illustrated and described above in relation to FIGS. 1A-C—and those described in the ‘126 application and elsewhere—will fully deploy once it is released from the delivery catheter, it sometimes occurs that the valve does not deploy, or does not fully deploy. In most of these circumstances, the failure to deploy or to fully deploy is due to the fact that one or more panels **36** of a multi-panel valve **30** fails to change from its inverted state to its expanded state. One such example is illustrated in FIG. 24B, in which two panels **36** of a three-panel prosthetic valve **30** have expanded, but the upper panel **36** remains in a partially inverted state. Several mechanisms and methods for actively correcting these undeployed and not-fully deployed valves are described herein.

[0121] Several of the described mechanisms take advantage of the fact that, in most circumstances of non-full deployment, only a point contact is needed to cause the valve to fully expand. Accordingly, it may not be necessary to fully occlude the vessel in order to cause the valve or similar prosthetic device to fully expand. Thus, in most of the mechanisms and methods described, fluid flow or perfusion is still allowed through the valve and vessel as the active deployment procedure takes place. This is to be distinguished from the deployment methods applicable to most stent-like prosthetic devices in which fibrillation is induced to decrease flow during the deployment procedure. No such fibrillation is required for delivery and deployment of the prosthetic valves and similar devices described herein, nor for the active deployment mechanisms and methods described.

[0122] Turning to FIGS. 24A-C, a first such mechanism **200** includes a collar **202** and a plurality of wire forms **204** extending proximally from the collar **202**. The mechanism **200** is intended to ride closely along the nosecone shaft **136** on any of the embodiments of the delivery catheter **100** described herein. As the mechanism **200** is advanced distally, it will enter and pass through the body of the partially-expanded valve **30**. Once it is located there, the collar **202** may be retracted proximally, as shown by the arrow “A” in FIG. 24A, thereby causing the wire forms **204** to bow radially outward, (see, e.g., FIGS. 24A and 24C), engaging any inverted panels **36** of the valve **30** and causing them to expand to the fully expanded state. Preferably, the collar **202** is retracted by a tether or other control member that is connected to the collar **202** and that extends proximally to the handle where it can be manipulated by the user. Once the valve **30** is fully expanded, the collar **202** is advanced distally to cause the wire forms **204** to return to their unbowed state. The mechanism **200** may then be retracted into the delivery catheter **100**. In alternative embodiments, the collar **202** may be provided with threads that engage threads formed on the nosecone shaft **136**. Any other engagement providing relative movement between the collar **202** and the nosecone shaft **136** is also suitable.

[0123] As an alternative to the wire forms **204** shown in the above embodiment, a continuous segment of metallic or polymeric material having sufficient elasticity to expand and contract in the manner shown may be used. Other alternatives including using only a single band or material, or two, three, or more bands. Other alternative constructions and materials

capable of expanding and contracting in the involved space internal of the undeployed or partially deployed prosthetic valve 30 are also contemplated, and are suitable for use as the active deployment mechanism 200 described herein.

[0124] Another alternative construction for the active deployment mechanism is illustrated in FIGS. 25A-C. A partially deployed valve 30 includes an upper panel 36 that has not yet fully deployed. The deployment mechanism 200 comprises a collar 212 and a plurality of wire forms 214 extending proximally from the collar 212. Prior to use, the collar 212 is located internally of the catheter shaft 106 along the nosecone shaft 136, and the wire forms 214 lie flat along the nosecone shaft 136 proximally to the collar 212. (See FIG. 25A). The collar 212 is advanced distally through the partially deployed valve 30 until the collar 212 engages the proximal side of the nosecone 118, where further distal advancement is stopped. (See FIG. 25B). As additional distal-oriented force is applied to the mechanism 200, the wire forms 214 are caused to bow radially outward within the valve 30 to cause the upper panel 36 to fully deploy, as shown in FIG. 25C. The mechanism 200 is then collapsed and retracted proximally.

[0125] Turning to FIGS. 26A-E, several alternative balloon-based active deployment mechanisms are described. The balloon-based systems include use of a balloon or other expandable member to cause an otherwise non-fully deployed valve 30 to expand to its fully expanded state upon deployment. Preferably, each of the balloons described herein includes an inflation lumen that is communicatively connected to the handle mechanism 102 or otherwise provided with a mechanism for selectively inflating the balloon(s) as needed.

[0126] FIG. 26A illustrates a first embodiment in which a balloon 220 is provided internally of a prosthetic valve 30. The balloon 220 includes a pair of broad portions 222a that correspond with the proximal and distal ends of the valve 30, and a narrowed waist portion 222b that corresponds with the middle portion of the valve 30. The balloon 220 may optionally be provided in a fixed relationship with the valve body, as illustrated in FIG. 26B, wherein the balloon 220 is packaged with the valve 30 as the valve 30 is loaded into the delivery catheter and delivered to a treatment location. Thus, if the valve 30 is found not to have fully expanded after deployment, the balloon 220 may be inflated to cause full deployment.

[0127] A number of optional balloon shapes and sizes are illustrated in FIGS. 26C-E. For example, in FIG. 26C, a single balloon 220 is shown having two large diameter portions 222a and a narrow, or smaller diameter portion 222b connecting the other two portions. In FIG. 26D, a single balloon 220 is shown, and would preferably extend through the entire length of the valve 30. In FIG. 26E, three separate balloons 220a-c are illustrated in an offset-tangent arrangement. The offset-tangent arrangement provides a number of benefits, including the ability to selectively inflate only one or more of the balloons 220a-c depending upon which valve panel 36 requires expansion. Also, the offset-tangent arrangement removes the need to fully occlude the vessel, thereby allowing fluid to flow around the balloon structure.

[0128] Turning to FIGS. 27A-B, in another alternative arrangement, a pair of toroidal balloons 226 are attached to the external surface of a prosthetic valve 30 near its proximal and distal ends, respectively. The pair of toroidal balloons 226 may be selectively expandable in order to actively deploy an otherwise non-fully deployed prosthetic valve 30. Upon

expansion of the valve, the balloons 226 may then be deflated and left in place to serve as a seal against the vessel wall 230, as shown in FIG. 27B. Alternatively, the toroidal balloons 226 may be attached to the internal wall of the prosthetic valve 30, and may then be selectively detached from the valve 30 after the valve has been fully deployed.

[0129] FIG. 28 illustrates another active deployment mechanism 234 that includes a roller member 236 and a pincher member 238, each of which may be included on the distal end of a shaft that may be included with, or separate from, the delivery catheter 100. The roller 236 and pincher 238 advance along a panel 36 until the components encounter a hinge 52. Because of the diameter of the roller 236 relative to the hinge 52, when the roller 236 and pincher 238 engage the hinge 52, they force the hinge 52 to open, thereby causing the valve panel 36 to fully deploy.

[0130] FIGS. 29A-B illustrate yet another deployment mechanism 242 that includes a wedge-shaped member having an upper guide 244 and a lower separator 246. As with the previous deployment mechanism 234, the present embodiment 242 be included on the distal end of a shaft that may be included with, or separate from, the delivery catheter 100. The wedge mechanism 242 is intended to be guided onto each of the hinges 52 of the undeployed or not-fully deployed valve 30. Because of the relative size and shape of the separator 246 portion of the wedge, the separator 246 causes the hinges 52 to open, thereby causing the valve panels 36 to expand to the fully deployed state.

[0131] Turning next to FIG. 30, another deployment mechanism 250 includes a torsion spring 252 mounted to the internal surface of the valve 30. The torsion spring 252 may be integrated into and/or may form part of the hinge 52 of the valve 30, but is provided with a pair of arms 254 that extend into the interior of the valve 30, and which are biased to force the valve panels 36 radially outward to fully deploy the valve 30. The torsion spring 252 may be formed integrally with the valve 30, in which case it remains in place after valve deployment.

[0132] Turning to FIGS. 31A-B, yet another active valve deployment mechanism 256 includes a membrane balloon 258 formed on or attached to the external surface of each of the longitudinal members 180 of the slotted tube 110. The membrane balloons 258 are selectively and independently inflatable, as needed to actively deploy one or more undeployed panels of a prosthetic valve 30. As shown in FIG. 31A, the slotted tube 110 is first inserted into the valve 30, then one or more of the membrane balloons 258 is expanded. The expansion is initially to a first state 260 in which the membrane balloon engages the valve body panels 36, then, ultimately, to a second state 262 corresponding with full valve deployment. After deployment, the balloon may be deflated and the device removed from the patient's vasculature.

[0133] Turning to FIG. 32, a still further alternative active valve deployment mechanism 266 includes a plurality of (preferably three) linkage members 268, each including a pivot 270 allowing the linkage member to expand radially, such as under the expansion force of an internal balloon 272 or other expandable member. Thus, as the deployment mechanism 266 is inserted into the undeployed prosthetic valve 30, it is able to be expanded by expanding or inflating the balloon 272.

[0134] FIGS. 33A-B illustrate another active deployment mechanism 276 that incorporates a balloon 278 or other expandable member that is formed within the internal volume

of the nosecone 118. In its undeployed state, shown in FIG. 33A, the balloon 278 does not extend past the distal end of the nosecone 118. However, if needed to expand the undeployed or not-fully deployed valve 30, the balloon 278 is expanded, as shown in FIG. 33B, thereby expanding the valve 30 to its expanded state.

[0135] FIGS. 34A-C illustrate an active deployment mechanism that includes a yoke 282 that is slidably engaged over the nosecone shaft 136. A set of rotating linkages 284a-f are connected to the sliding yoke 282 such that, when the yoke 282 slides proximally along the nosecone shaft 136, as shown by the arrows "A" in FIG. 34A, the linkages 284a-f extend radially outward from the shaft 136. In the preferred embodiment, the free ends 284d-f of each of the linkages 284a-f are selectively attached to a respective panel of the valve 30 by a temporary mechanism. For example, the free ends 284d-f of the linkages may be attached to the valve panels by the tethers 124, such that when the tethers 124 are retracted, the valve panels are released from the linkages 284a-f. The nosecone 118 is preferably hollow to accommodate the mechanism prior to deployment.

[0136] Another optional active deployment mechanism utilizes the shape set nosecone shaft 136 and tensioning member 336 shown in FIGS. 23A-C. In the case of a valve 30 that does not fully deploy, it may be possible to manipulate the tensioning member 336 to cause either the nosecone 118, the nosecone shaft 136, or some other portion of the deployment mechanism 104 to engage the undeployed portion of the valve sufficiently to cause it to fully deploy. In a particularly preferred method, the tethers 124 associated with all of the fully deployed panels are allowed to remain slack, while the tether 124 associated with the undeployed panel is pulled taut to apply tension to the tether. By doing so, the nosecone 118 and the respective wrapping pin 130 are pulled to the respective distal and proximal edges of the valve panel, creating a relatively rigid linkage between the components. Once this is done, the tensioning member 336 (or other suitable steering

mechanism) is actuated in order to cause the relatively rigid linkage to bias the still-inverted panel radially outward to the expanded position. This process may be repeated for each panel that is not fully expanded.

[0137] Finally, another alternative active deployment mechanism is to pressurize the aorta (or other treatment vessel) to cause the tissue defining the vessel to expand, thereby providing an adequate (increased) volume within which the valve 30 or other device is able to expand to its fully expanded state. Pressurization of the aorta (or other vessel) may be obtained by simply occluding the vessel, or by actively pressuring the vessel using an external source.

[0138] The preferred embodiments of the inventions that are the subject of this application are described above in detail for the purpose of setting forth a complete disclosure and for the sake of explanation and clarity. Those skilled in the art will envision other modifications within the scope and spirit of the present disclosure. Such alternatives, additions, modifications, and improvements may be made without departing from the scope of the present inventions, which is defined by the claims.

What is claimed is:

1. Apparatus for delivering a prosthetic device to a treatment location within a patient by way of the patient's vasculature, comprising:

- a catheter having proximal and distal ends, the distal end of said catheter being adapted to carry a prosthetic device, said prosthetic device having a longitudinal axis, a fully contracted state for delivery to a treatment location, and an expanded state for deployment at the treatment location,
- a guidewire extending through a lumen formed in at least a portion of said catheter, and
- one or more tethers selectively attached to said guidewire, said one or more tethers adapted to selectively restrain said prosthetic device.

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