

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property

Organization

International Bureau

(43) International Publication Date

27 October 2022 (27.10.2022)



(10) International Publication Number

WO 2022/222986 A1

(51) International Patent Classification:

C07K 7/64 (2006.01)

A61K 38/12 (2006.01)

A61K 47/64 (2017.01)

TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

(21) International Application Number:

PCT/CN2022/088117

Published:

— with international search report (Art. 21(3))

— with sequence listing part of description (Rule 5.2(a))

(22) International Filing Date:

21 April 2022 (21.04.2022)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

PCT/CN2021/089305

23 April 2021 (23.04.2021) CN

(71) Applicant: MICROBIO (SHANGHAI) CO., LTD.

[CN/CN]; No.1188, Guangxing Rd., Songjiang District, Shanghai 201613 (CN).

(72) Inventors: CHANG, Yi-Chung; No. 1188, Guangxing Rd.,

Songjiang District, Shanghai 201613 (CN). CHEN, Hui-

Yu; No. 1188, Guangxing Rd., Songjiang District, Shanghai

201613 (CN). YANG, Chi-Fan; No. 1188, Guangxing Rd.,

Songjiang District, Shanghai 201613 (CN). CHEN, Huai-

Yi; No. 1188, Guangxing Rd., Songjiang District, Shanghai

201613 (CN).

(74) Agent: LIU, SHEN & ASSOCIATES; 10th Floor, Build-

ing 1, 10 Caihefang Road, Haidian District, Beijing 100080

(CN).

(81) Designated States (unless otherwise indicated, for every

kind of national protection available): AE, AG, AL, AM,

AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ,

CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO,

DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN,

HR, HU, ID, IL, IN, IR, IS, IT, JM, JO, JP, KE, KG, KH,

KN, KP, KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA,

MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI,

NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU,

RW, SA, SC, SD, SE, SG, SK, SL, ST, SV, SY, TH, TJ, TM,

TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, WS, ZA, ZM,

ZW.

(84) Designated States (unless otherwise indicated, for every

kind of regional protection available): ARIPO (BW, GH,

GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ,

UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ,

TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK,

EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV,

MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM,

(54) Title: CYCLIC PEPTIDE-N-ACETYLGALACTOSAMINE (GALNAC) CONJUGATES FOR DRUG DELIVERY TO LIVER CELLS

(57) Abstract: A conjugate comprising a cyclic peptide scaffold and one or more N-acetylgalactosamine (GalNAc) moieties. The conjugate may further carry a diagnostic or therapeutic agent for use in delivering the agent to liver cells. In some embodiments, the cyclic peptide may have 4-10 amino acid residues. The GalNAc moieties can be covalently bound to the cyclic peptide scaffold via a first linker and the agent can be covalently bound to the cyclic peptide scaffold via a second linker.



WO 2022/222986 A1

CYCLIC PEPTIDE-N-ACETYLGALACTOSAMINE (GALNAC) CONJUGATES FOR DRUG DELIVERY TO LIVER CELLS

CROSS REFERENCE TO RELATED APPLICATIONS

5 This application claims the benefit of the filing dates of International Patent Application No. PCT/CN2021/089305, filed on April 23, 2021, the entire contents of which is incorporated by reference herein.

REFERENCE TO SEQUENCE LISTING SUBMITTED ELECTRONICALLY

10 The content of the electronically submitted sequence listing in ASCII text file (Name: 112319-0026-70002WO2_SEQ.txt; Size: 2,507 bytes and Date of Creation: April 19, 2022) filed with the application is incorporated herein by reference in its entirety.

BACKGROUND OF THE INVENTION

15 N-acetylgalactosamine (GalNAc) has a high binding affinity with asialoglycoprotein receptor (ASGPR), which is highly expressed on hepatocytes. As such, this moiety is commonly used for delivering to liver cells therapeutic or diagnostic agents conjugated to the GalNAc moiety.

GalNAc conjugation is one of the leading approaches for delivering oligonucleotide-based therapeutic agents to liver cells. Multiple GalNAc-siRNA conjugate drug candidates are currently
20 in clinical trials to treat a wide variety of diseases. It is therefore of great interest to develop improved scaffold for preparing GalNAc-drug conjugates having high liver cell targeting efficiency and high endosomal escape efficiency such that the GalNAc-drug conjugates can enter the cytoplasm to induce robust therapeutic effects.

25 SUMMARY OF THE INVENTION

The present disclosure is based, at least in part, on the development of cyclic peptide-based scaffold for conjugating GalNAc moieties and agents of interest. The resultant GalNAc-conjugates prepared using such scaffold structures showed high liver cell targeting efficiency and high endosomal escape efficiency. Accordingly, the cyclic peptide-based scaffold and the GalNAc
30 conjugates prepared thereby would be expected to serve as an effective drug delivery platform for targeting liver cells.

Accordingly, in some aspects, the present disclosure provides a conjugate, comprising a

cyclic peptide scaffold and one or more *N*-acetylgalactosamine (GalNAc) moieties. The cyclic peptide scaffold may contain 4-10 amino acid residues. In some embodiments, the cyclic peptide scaffold may contain 4-8 amino acid residues, for example, 4-6 amino acid residues. In one example, the cyclic peptide consists of 6 amino acid residues. In some instances, the cyclic peptide contains Glu, Asp, Lys, Arg, or a combination thereof. For example, the cyclic peptide may contain at least one Glu residue and at least one Lys residue. In addition, the cyclic peptide may further contain Gly, Ala, or Val. The amino acid residues in the cyclic peptide may be in D form.

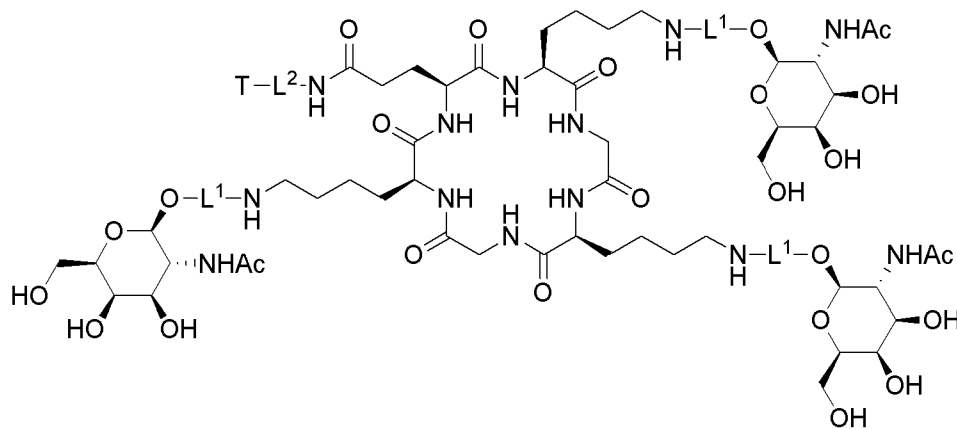
In some examples, the cyclic peptide scaffold has the amino acid sequence of Lys-Glu-Lys-Gly-Lys-Gly (SEQ ID NO: 5). Alternatively, the cyclic peptide scaffold has the amino acid sequence of Lys-Glu-Lys-Ala-Lys-Ala (SEQ ID NO: 6). One or more amino acid residues in the cyclic peptide scaffold can be in D form. In one example, the cyclic peptide scaffold has the amino acid sequence of Lys-Glu-Lys- β Ala-Lys- β Ala (SEQ ID NO: 7). Other exemplary cyclic peptide scaffolds include, but are not limited to, CPS-001, CPS-002, CPS-003, and CPS-031. See, *e.g.*, **Table 1** and **FIGs. 13A-13D**). In some instances, the exemplary cyclic peptide scaffold may be a functional equivalent of any one of CPS-001, CPS-002, CPS-003, and CPS-031, which contains the same core structure (*e.g.*, a cyclic peptide scaffold containing the same amino acid residues or an isomer thereof and the same linkers). A functional equivalent of any one of CPS-001, CPS-002, CPS-003, and CPS-031 (the reference conjugate) may be a stereoisomer of a reference conjugate (*e.g.*, *S*-enantiomer to *R*-enantiomer switch at one or more chiral centers). Alternatively or in addition, a functional equivalent may contain a different protecting group as the Cbz group in any one of CPS-001, CPS-002, CPS-003, and CPS-031.

In any of the conjugates disclosed herein, each of the GalNAc moieties can be covalently bound to the cyclic peptide scaffold *via* a first linker. In some instances, the cyclic peptide scaffold includes one or more Lys residues and each first linker can be covalently bound to at least one of the Lys residues. In some examples, each first linker may comprise a linear chain having 3-8 atoms, for example, C, O, or a combination thereof. Specific examples of the first linker can be the linker in Gal-1, Gal-2, Gal-3, Gal-4, or Gal-5. See, *e.g.*, **Table 2**.

Any of the conjugates disclosed herein may further comprise an agent (*e.g.*, a therapeutic agent or a diagnostic agent), which can be covalently bound to the cyclic peptide scaffold *via* a second linker. In some instances, the cyclic peptide scaffold includes one or more Glu residues and the second linker can be covalently bound to at least one of the Glu residues. In some examples,

the second linker is a lipid linker. In other examples, the second linker can be a polyethylene glycol (PEG) linker. In yet other examples, the second linker can be an alkyl amine linker.

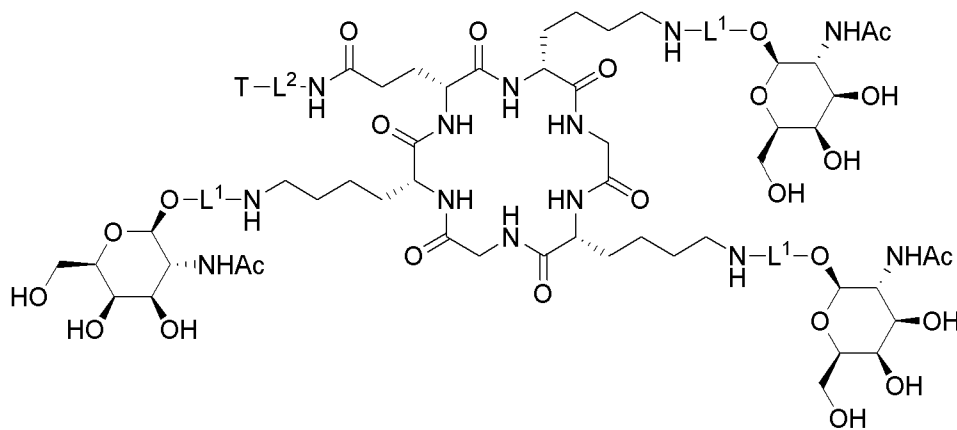
In some examples, the conjugate disclosed herein may have the structure of Formula (I):



(I), in which T is the

5 agent; L¹ is the first linker, which can be the linker in Gal-1, Gal-2, Gal-3, Gal-4, or Gal-5; and L² is the second linker.

In other examples, the conjugate disclosed herein can have the structure of Formula (II):



(II), in which T is

10 the agent; L¹ is the first linker, which can be the linker in Gal-1, Gal-2, Gal-3, Gal-4, or Gal-5; and L² is the second linker.

In some embodiments, the agent is a diagnostic agent. In other embodiments, the agent can be a therapeutic agent. In some examples, the agent is a small molecule. Alternatively, the agent is a nucleic acid, for example, a small interfering RNA (siRNA), an antisense oligonucleotide (ASO), or a nucleic acid aptamer.

15 Specific exemplary of the conjugates disclosed herein include 5-FAM-CPMB-0011, 5-FAM-CPMB-0012, 5-FAM-CPMB-0013, 5-FAM-CPMB-0014, 5-FAM-CPMB-0015,

5-FAM-CPMB-0021, 5-FAM-CPMB-0023, 5-FAM-CPMB-0025, 5-FAM-CPMB-0031,
5-FAM-CPMB-0033, 5-FAM-CPMB-0034, 5-FAM-CPMB-0035, 5-FAM-CPMB-0311,
5-FAM-CPMB-0313, CPMB-0013, CPMB-0023, CPMB-0013-DOTMr, or CPMB-0023-DOTMr.

In other aspects, the present disclosure provides a pharmaceutical composition, comprising
5 any of the conjugates disclosed herein and a pharmaceutically acceptable excipient.

Further, provided herein is a method of delivering an agent to liver cells, comprising
contacting a liver cell with a conjugate as disclosed herein or a composition comprising such. In
some embodiments, the contacting step comprises administering the conjugate or the composition
comprising such to a subject in need thereof. In other embodiments, the contacting step comprising
10 incubating the conjugate or the composition comprising such with liver cells *in vitro*. In this case,
the method may further comprise administering to a subject in need thereof the liver cells after
being contacted with the conjugate or composition.

Also with the scope of the present disclosure are any of the conjugates or compositions
comprising such for use in delivering a diagnostic or therapeutic agent to liver cells, as well as use
15 of such a conjugate or composition comprising such for manufacturing a medicament for use in
diagnosing or treating a liver disease.

The details of one or more embodiments of the invention are set forth in the description
below. Other features or advantages of the present invention will be apparent from the following
drawings and detailed description of several embodiments, and also from the appended claims.

20

BRIEF DESCRIPTION OF THE DRAWINGS

The following drawings form part of the present specification and are included to further
demonstrate certain aspects of the present disclosure, which can be better understood by reference
to the drawing in combination with the detailed description of specific embodiments presented
25 herein.

FIG. 1 is a schematic diagram illustrating an exemplary synthesis scheme for producing
CPMB-002.

FIGs. 2A-2B include schematic diagrams illustrating exemplary synthesis schemes for
producing 5-FAM-CPMB-0013. **FIG. 2A**: exemplary synthesis scheme for producing CPMB-
30 0013-A. **FIG. 2B**: exemplary synthesis scheme for producing 5-FAM-CPMB-0013 from CPMB-
0013-A.

FIG. 3 is a schematic diagram illustrating an exemplary synthesis scheme for producing CPMB-0013.

FIG. 4 is a schematic diagram illustrating an exemplary synthesis scheme for producing CPMB-0013-DMTr.

5 **FIGs. 5A-5B** include schematic diagrams illustrating exemplary synthesis schemes for producing CPG-PEG4-CPMB-0013-DMTr. **FIG. 5A**: exemplary synthesis scheme for producing CPG-PEG4. **FIG. 5B**: exemplary synthesis scheme for producing CPG-PEG4-CPMB-0013-DMTr from CPG-PEG4.

10 **FIGs. 6A-6B** include schematic diagrams illustrating exemplary synthesis schemes for producing 5-FAM-CPMB-0023. **FIG. 6A**: exemplary synthesis scheme for producing CPMB-0023-A. **FIG. 6B**: exemplary synthesis scheme for producing 5-FAM-CPMB-0023 from CPMB-0023-A.

FIG. 7 is a schematic diagram illustrating an exemplary synthesis scheme for producing CPMB-0023.

15 **FIG. 8** is a schematic diagram illustrating an exemplary synthesis scheme for producing CPMB-0023-DMTr.

FIG. 9 is a schematic diagram illustrating an exemplary synthesis scheme for producing CPG-PEG4-CPMB-0023-DMTr.

20 **FIG. 10** is a diagram showing improved stability of cyclic peptide-Tri-GalNAc conjugates as compared with tri-GalNAc.

FIG. 11 is a diagram showing endosomal escape of cyclic peptide-Tri-GalNAc conjugates as compared with tri-GalNAc.

FIG. 12 is an illustrative diagram showing the structure of a cyclic peptide-GalNAc conjugate disclosed herein.

25 **FIGs. 13A-13D** include diagrams showing structures of representative cyclic peptide scaffolds attached to linkers. **FIG. 13A**: CPS-001. **FIG. 13B**: CPS-002. **FIG. 13C**: CPS-003. **FIG. 13D**: CPS-031.

30 **FIGs. 14A-14T** include diagrams showing structures of representative cyclic peptide-GalNAc-agent conjugates. **FIG. 14A**: 5-FAM-CPMB-0011. **FIG. 14B**: 5-FAM-CPMB-0012. **FIG. 14C**: 5-FAM-CPMB-0013. **FIG. 14D**: 5-FAM-CPMB-0014. **FIG. 14E**: 5-FAM-CPMB-0015. **FIG. 14F**: 5-FAM-CPMB-0021. **FIG. 14G**: 5-FAM-CPMB-0023. **FIG. 14H**: 5-FAM-

CPMB-0025. **FIG. 14I:** 5-FAM-CPMB-0031. **FIG. 14J:** 5-FAM-CPMB-0033. **FIG. 14K:** 5-FAM-CPMB-0034. **FIG. 14L:** 5-FAM-CPMB-0035. **FIG. 14M:** 5-FAM-CPMB-0311. **FIG. 14N:** 5-FAM-CPMB-0313. **FIG. 14O:** CPMB-0013. **FIG. 14P:** CPMB-0023. **FIG. 14Q:** 5-FAM-tri-GalNAc (positive control). **FIG. 14R:** 5-FAM-CPMB-0031-Ac (negative control). **FIG. 14S:** CPMB-0013-DOTMr. **FIG. 14T:** CPMB-0023-DOTMr.

DETAILED DESCRIPTION OF THE INVENTION

Provided herein are development of cyclic peptide molecules that can serve as scaffold for conjugating multiple copies of N-acetylgalactosamine (GalNAc) moieties (*e.g.*, three) and an agent of interest to be delivered to liver cells (*e.g.*, a therapeutic agent or a diagnostic agent). The GalNAc moieties and/or the agent of interest can be conjugated (*e.g.*, covalently) to the cyclic peptide scaffold via flexible linkers. The flexible linkers can be designed to minimize interference among the various GalNAc moieties and the agent of interest, and to maximize binding affinity of the GalNAc moieties to the ASGPR on liver cells. Exemplary cyclic peptide-GalNAc conjugates provided herein showed high binding activity to liver cells and high endosomal escape rates, indicating that such cyclic peptide-GalNAc conjugates can effectively deliver agents of interest (*e.g.*, nucleic acid-based such as small interfering RNAs, antisense oligonucleotides, or nucleic acid aptamers) inside liver cells to exert the intended bioactivity.

Accordingly, provided herein are cyclic peptide-GalNAc conjugates, pharmaceutical compositions comprising such, and method of using such conjugates to deliver diagnostic or therapeutic agents into liver cells.

I. Cyclic Peptide Scaffold-GalNAc Conjugates

In some aspects, the present disclosure provides cyclic peptide-GalNAc conjugates, each of which comprises a cyclic peptide scaffold to which one or more GalNAc moieties (*e.g.*, 3) via a flexible linker (the first linker). The conjugates may further comprise an agent of interest via a flexible linker (the second linker).

Some of the compounds according to the present disclosure may exist as stereoisomers, *i.e.*, having the same atomic connectivity of covalently bonded atoms yet differing in the spatial orientation of the atoms. For example, compounds may be optical stereoisomers, which contain one or more chiral centers, and therefore, may exist in two or

more stereoisomeric forms (*e.g.*, enantiomers or diastereomers). Thus, such compounds may be present as single stereoisomers (*i.e.*, essentially free of other stereoisomers), racemates, and/or mixtures of enantiomers and/or diastereomers. As another example, stereoisomers include geometric isomers, such as *cis*- or *trans*- orientation of substituents on adjacent carbons of a double bond. Unless specified to the contrary, all such stereoisomeric forms are included within the formulae provided herein.

An enantiomer can be characterized by the absolute configuration of its asymmetric center and is described by the (*R*) and (*S*) sequencing rules of Cahn and Prelog, or by the manner in which the molecule rotates the plane of polarized light and designated as dextrorotatory or levorotatory (*i.e.*, as (+) or (-)-isomers respectively). A chiral compound can exist as either individual enantiomer or as a mixture thereof. A mixture containing equal proportions of the enantiomers is called a “racemic mixture”. Unless otherwise indicated, the description is intended to include individual stereoisomers as well as mixtures. The methods for the determination of stereochemistry and the separation of stereoisomers are well-known in the art (see discussion in Chapter 4 of *ADVANCED ORGANIC CHEMISTRY*, 6th edition J. March, John Wiley and Sons, New York, 2007) differ in the chirality of one or more stereocenters. All such single stereoisomers, racemates and mixtures thereof are intended to be within the scope of the present disclosure.

A. Cyclic Peptide Scaffold

The cyclic peptide scaffold used in any of the conjugates disclosed herein may contain 4-10 amino acid residues. In some instances, it may contain 4-8 amino acid residues. In one example, the cyclic peptide scaffold disclosed herein contains 6 amino acid residues.

The cyclic peptide scaffold disclosed herein may contain one or more amino acid residues, the side chain of which contains a functional group (*e.g.*, -COOH, -NH₂, -SH, or -OH). Such a functional group can be used in a chemical reaction for covalent conjugation of a GalNAc moiety (*e.g.*, via a linker) and/or an agent of interest (*e.g.*, via a linker). For example, a cyclic peptide scaffold disclosed herein may contain at least one Arg or Lys (*e.g.*, Lys) residues, the -NH₂ functional group in the side chain of which can be used for covalent conjugation of a GalNAc moiety or an agent of interest. In another example, a cyclic peptide scaffold disclosed herein may contain at least one Asp or Glu residues, the -COOH functional

group in the side chain of which can be used for covalent conjugation of a GalNAc moiety or an agent of interest.

In some embodiments, the cyclic peptide scaffold may contain at least two different types of amino acid residues having different functional groups in side chains (*e.g.*, a Lys residue and an Glu residue) to facilitate conjugation of the GalNAc moieties and the agent of interest. For example, the cyclic peptide scaffold may contain multiple Lys residues (*e.g.*, 3 Lys residues), each of which can serve as an anchor for conjugating a GalNAc moiety, and one Asp or Glu amino acid residue, which can serve as the anchor for conjugating the agent of interest.

Any of the cyclic peptide scaffolds disclosed herein may further contain one or more amino acid residues having aliphatic side chains, for example, Gly, Ala, Val, Ile, or Leu. In some examples, the cyclic peptide scaffold may contain Gly, Ala, or a combination thereof.

The amino acid residues in the cyclic peptide scaffold may be in L form, in D form, or a mixture thereof. In some examples, the cyclic peptide scaffold may contain at least one amino acid residue in D form, for example, one or more D-Lys or one D-Glu. Exemplary cyclic peptide scaffolds are provided in **Table 1** below. See also **FIGs. 13A-13D** for their chemical structures.

Table 1. Exemplary Cyclic Peptide Scaffolds

Peptide ID	Peptide sequence	Chemical structure
CPS-001	Cyclic[Lys-Glu(Cbz-Linker2)-Lys-Gly-Lys-Gly] (SEQ ID NO: 1)	FIG. 13A
CPS-002	Cyclic[DLys-DGlu(Cbz-Linker2)-DLys-Gly-DLys-Gly] (SEQ ID NO: 2)	FIG. 13B
CPS-003	Cyclic[Lys-DGlu(Cbz-Linker2)-Lys-Gly-Lys-Gly] (SEQ ID NO: 3)	FIG. 13C
CPS-031	Cyclic[Lys-Glu(Linker2)-Lys- β -Ala-Lys- β -Ala] (SEQ ID NO: 4)	FIG. 13D

B. GalNAc-Linker Moieties

The conjugates disclosed herein comprises any of the cyclic peptide scaffolds disclosed herein and one or more GalNAc moieties, which can be covalently conjugated to the cyclic

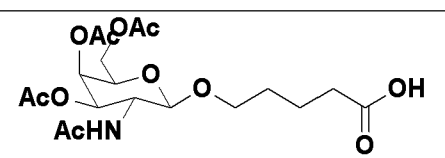
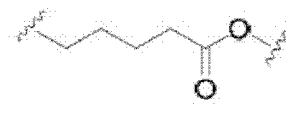
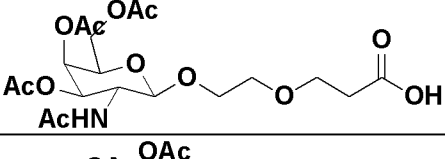
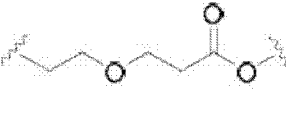
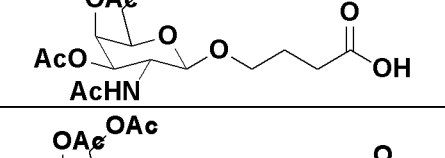
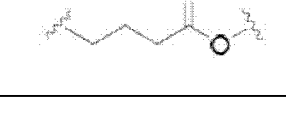
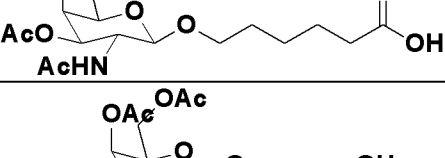
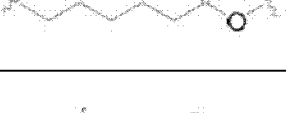
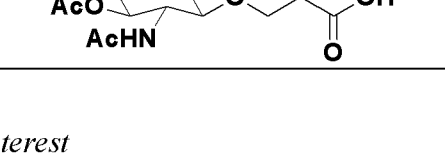
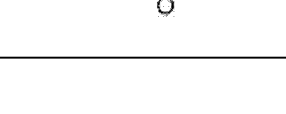
peptide scaffold via a flexible linker (first linker).

Any flexible linker may be used in making the conjugates disclosed herein. In some embodiments, the first linker can be a linear chain containing 3-8 atoms, which may be C, O, N, or a combination thereof. Such a length of the first linker could minimize interference among the multiple GalNAc moieties and achieve overall binding affinity to liver cells.

Table 2 below provides exemplary GalNAc-linker structures for use in making the conjugates disclosed herein. The $-COOH$ functional group in Gal-1 to Gal-5 listed in **Table 2** can be used to react with an $-NH_2$ functional group in a cyclic peptide scaffold, leading to covalent conjugation of the GalNAc linker moieties onto the cyclic peptide scaffold.

10

Table 2. Exemplary GalNAc-Linker Structures

Compound ID	Chemical structure	Linker Structure
Gal-1		
Gal-2		
Gal-3		
Gal-4		
Gal-5		

C. Agents of Interest

The conjugates disclosed herein may further comprise an agent of interest, which can be conjugated (*e.g.*, covalently) to the cyclic peptide scaffold via a second flexible linker. In

some embodiments, the second flexible linker is different from the first flexible linker.

Any flexible linker may be used for conjugating the agent of interest to the cyclic peptide scaffold disclosed herein. Examples include, but are not limited to, lipid linkers, polyethylene glycol linkers, or aliphatic chain linkers. The second flexible linker may contain two functional groups (*e.g.*, two different functional groups) at both ends, one for reacting with the agent of interest, and the other for reacting with the cyclic peptide scaffold. For example, the second linker may contain an -NH₂ functional group at one end, which can reach with an -COOH functional group in the cyclic peptide scaffold (*e.g.*, in an Asp or Glu residue). Selection of the functional group for linking the agent of interest would be determined by the type of agents, *e.g.*, functional groups contained therein, which would be within the knowledge of a skilled person in the pertinent art.

The agent may be of any type, for example, a small molecule, a peptide or polypeptide, an oligosaccharide, a lipid, or a nucleic acid (*e.g.*, RNA or DNA, double strand or single strand). In some embodiments, the agent of interest can be a therapeutic agent, for example, a therapeutic agent for treatment of a liver disease. In other embodiments, the agent of interest can be a diagnostic agent, which may be further conjugated with a label capable of releasing a detectable signal, either directly or indirectly.

In some embodiments, the agent of interest conjugated to the cyclic peptide scaffold can be a nucleic acid, for example, a small interfering RNA, an antisense oligonucleotide (RNA or DNA), a messenger RNA, or a nucleic acid-based aptamer. Any of such nucleic acid may contain non-naturally-occurring nucleobases, sugars, or covalent internucleoside linkages (backbones). Such a modified oligonucleotide confers desirable properties, for example, enhanced cellular uptake, improved affinity to the target nucleic acid, and increased *in vivo* stability.

In one example, the nucleic acid-based agent described herein (*e.g.*, siRNAs) may have a modified backbone, including those that retain a phosphorus atom (see, *e.g.*, U.S. Pat. Nos. 3,687,808; 4,469,863; 5,321,131; 5,399,676; and 5,625,050) and those that do not have a phosphorus atom (see, *e.g.*, U.S. Pat. Nos. 5,034,506; 5,166,315; and 5,792,608). Examples of phosphorus-containing modified backbones include, but are not limited to, phosphorothioates, chiral phosphorothioates, phosphorodithioates, phosphotriesters, aminoalkyl-phosphotriesters, methyl and other alkyl phosphonates including 3'-alkylene phosphonates, 5'-alkylene

phosphonates and chiral phosphonates, phosphinates, phosphoramidates including 3'-amino phosphoramidate and aminoalkylphosphoramidates, thionophosphoramidates, thionoalkylphosphonates, thionoalkylphosphotriesters, selenophosphates and boranophosphates having 3'-5' linkages, or 2'-5' linkages. Such backbones also include those having inverted polarity, *i.e.*, 3' to 3', 5' to 5' or 2' to 2' linkage. Modified backbones that do not include a phosphorus atom are formed by short chain alkyl or cycloalkyl internucleoside linkages, mixed heteroatom and alkyl or cycloalkyl internucleoside linkages, or one or more short chain heteroatomic or heterocyclic internucleoside linkages. Such backbones include those having morpholino linkages (formed in part from the sugar portion of a nucleoside); siloxane backbones; sulfide, sulfoxide and sulfone backbones; formacetyl and thioformacetyl backbones; methylene formacetyl and thioformacetyl backbones; riboacetyl backbones; alkene containing backbones; sulfamate backbones; methyleneimino and methylenehydrazino backbones; sulfonate and sulfonamide backbones; amide backbones; and others having mixed N, O, S and CH₂ component parts.

In another example, the nucleic acid-based agent described herein (*e.g.*, siRNAs) may include one or more substituted sugar moieties. Such substituted sugar moieties can include one of the following groups at their 2' position: OH; F; O-alkyl, S-alkyl, N-alkyl, O-alkenyl, S-alkenyl, N-alkenyl; O-alkynyl, S-alkynyl, N-alkynyl, and O-alkyl-O-alkyl. In these groups, the alkyl, alkenyl and alkynyl can be substituted or unsubstituted C1 to C10 alkyl or C2 to C10 alkenyl and alkynyl. They may also include at their 2' position heterocycloalkyl, heterocycloalkaryl, aminoalkylamino, polyalkylamino, substituted silyl, an RNA cleaving group, a reporter group, an intercalator, a group for improving the pharmacokinetic properties of an oligonucleotide, or a group for improving the pharmacodynamic properties of an oligonucleotide. Preferred substituted sugar moieties include those having 2'-methoxyethoxy, 2'-dimethylaminoethoxy, and 2'-dimethylaminoethoxyethoxy. See Martin et al., *Helv. Chim. Acta*, 1995, 78, 486-504.

Alternatively or in addition, the nucleic acid-based agent described herein (*e.g.*, siRNAs) may include one or more modified native nucleobases (*i.e.*, adenine, guanine, thymine, cytosine and uracil). Modified nucleobases include those described in U.S. Pat. No. 3,687,808, *The Concise Encyclopedia Of Polymer Science And Engineering*, pages 858-859, Kroschwitz, J. I., ed. John Wiley & Sons, 1990, Englisch et al., *Angewandte Chemie, International Edition*, 1991, 30, 613, and Sanghvi, Y. S., Chapter 15, *Antisense Research and Applications*, pages 289-302, CRC Press, 1993. Certain of these nucleobases are particularly useful for increasing the binding affinity of the

interfering RNA molecules to their targeting sites. These include 5-substituted pyrimidines, 6-azapyrimidines and N-2, N-6 and O-6 substituted purines (*e.g.*, 2-aminopropyl-adenine, 5-propynyluracil and 5-propynylcytosine). See Sanghvi, et al., eds., *Antisense Research and Applications*, CRC Press, Boca Raton, 1993, pp. 276-278).

5 Alternatively or in addition, the nucleic acid-based agent described herein (*e.g.*, siRNAs) may comprise one or more locked nucleic acids (LNAs). An LNA, often referred to as inaccessible RNA, is a modified RNA nucleotide, in which the ribose moiety is modified with an extra bridge connecting the 2' oxygen and 4' carbon. This bridge “locks” the ribose in the 3'-endo (North) conformation, which is often found in the A-form duplexes.

10 In some examples, the agent may be an intermediate for further attachment or synthesis of a nucleic acid-based therapeutic or diagnostic agent. For example, the agent conjugated to the cyclic peptide scaffold may be a solid support (*e.g.*, control pore glass or CPG), which can be conjugated to the cyclic peptide scaffold via a second linker. The second linker may be attached to the cyclic peptide scaffold via a ribose moiety, which can carry a DMTO protection
15 moiety. This conjugate can be used for adding a desired nucleic acid agent using a nucleic acid synthesis device, which adds nucleotide residues to the ribose moiety via routine nucleic acid synthesis. After synthesis of the nucleic acid agent, which is covalently conjugated to the cyclic peptide scaffold, the final cyclic peptide-GalNAc-nucleic acid conjugates can be released from the solid support (*e.g.*, CPG). See Examples below.

20 *D. Exemplary GalNAc-Cyclic Peptide Conjugates*

The GalNAc-cyclic peptide conjugates disclosed herein may contain any of the cyclic peptide scaffold disclosed herein and one or more GalNAc moieties (*e.g.*, 3) via any of the first linkers disclosed herein. The conjugate may further comprise a second linker, *e.g.*, those disclosed herein, to which any of the agents of interest disclosed herein are attached (*e.g.*,
25 covalently).

Table 3 provides non-limiting examples of the GalNAc-cyclic peptide conjugates disclosed herein. See also **FIGs. 14A-14P** for their chemical structures.

Table 3. Exemplary GalNAc-Cyclic Peptide Conjugates

Compound ID	Peptide scaffold	GalNAc linker	Chemical structure
5-FAM-CPMB-0011	CPS-001	Gal-1	FIG. 14A

5-FAM-CPMB-0012	CPS-001	Gal-2	FIG. 14B
5-FAM-CPMB-0013	CPS-001	Gal-3	FIG. 14C
5-FAM-CPMB-0014	CPS-001	Gal-4	FIG. 14D
5-FAM-CPMB-0015	CPS-001	Gal-5	FIG. 14E
5-FAM-CPMB-0021	CPS-002	Gal-1	FIG. 14F
5-FAM-CPMB-0023	CPS-002	Gal-3	FIG. 14G
5-FAM-CPMB-0025	CPS-002	Gal-5	FIG. 14H
5-FAM-CPMB-0031	CPS-003	Gal-1	FIG. 14I
5-FAM-CPMB-0033	CPS-003	Gal-3	FIG. 14J
5-FAM-CPMB-0034	CPS-003	Gal-4	FIG. 14K
5-FAM-CPMB-0035	CPS-003	Gal-5	FIG. 14L
5-FAM-CPMB-0311	CPS-031	Gal-1	FIG. 14M
5-FAM-CPMB-0313	CPS-031	Gal-3	FIG. 14N
CPMB-0013	CPS-001	Gal-3	FIG. 14O
CPMB-0023	CPS-002	Gal-3	FIG. 14P
5-FAM-tri-GalNAc (positive control)			FIG. 14Q
5-FAM-CPMB-0031-Ac (negative control)	CPS-003	Gal-1	FIG. 14R
CPMB-0013-DOTMr	CPS-001	Gal-3	FIG. 14S
CPMB-0023-DOTMr	CPS-002	Gal-3	FIG. 14T

In some examples, the exemplary GalNAc-cyclic peptide conjugate disclosed herein contains the CPS001 cyclic peptide scaffold or the functional equivalent disclosed herein and the GalNAc linker of Gal-3. In other examples, the exemplary GalNAc-cyclic peptide conjugate disclosed herein contains the CPS002 cyclic peptide scaffold or the functional equivalent disclosed herein and the GalNAc linker of Gal-3. In yet other examples, the exemplary GalNAc-cyclic peptide conjugate disclosed herein contains the CPS003 cyclic peptide scaffold or the functional equivalent disclosed herein and the GalNAc linker of Gal-5.

10 *E. Synthesis of GalNAc-Cyclic Peptide Conjugates*

The conjugates described above can be prepared by methods well known in the art, as

well as by the synthetic routes disclosed herein. The chemicals used in the synthetic routes may include, for example, solvents, reagents, catalysts, and protecting group and deprotecting group reagents. The methods described herein may also additionally include steps, either before or after the steps described specifically herein, to add or remove suitable protecting groups in order to ultimately allow synthesis of the conjugates or an intermediate thereof. In addition, various synthetic steps may be performed in an alternate sequence or order to give the desired compounds. Synthetic chemistry transformations and protecting group methodologies (protection and deprotection) useful in synthesizing applicable indole compounds are known in the art and include, for example, those described in R. Larock, *Comprehensive Organic Transformations*, VCH Publishers (1989); T.W. Greene and P.G.M. Wuts, *Protective Groups in Organic Synthesis*, 3rd Ed., John Wiley and Sons (1999); L. Fieser and M. Fieser, *Fieser and Fieser's Reagents for Organic Synthesis*, John Wiley and Sons (1994); and L. Paquette, ed., *Encyclopedia of Reagents for Organic Synthesis*, John Wiley and Sons (1995) and subsequent editions thereof.

Briefly, GalNAc moieties coupled with a suitable linker as disclosed herein may be synthesized following routine methods or methods disclosed herein. Separately, cyclic peptide scaffolds as disclosed herein can be prepared following routine methods or methods disclosed herein. The GalNAc moiety and the cyclic peptide scaffold can be reacted at suitable conditions to form a covalent bond, thereby conjugating the GalNAc moieties onto the cyclic peptide scaffold. Similar approaches can be applied for conjugating an agent of interest to the cyclic peptide scaffold via a linker following routine methods or methods disclosed herein.

As a non-limiting example of the synthesis of GalNAc moieties, a suitable lactone moiety can be hydrolyzed to make a terminal hydroxyl-substituted carboxylic acid. The carboxylic acid can be protected and the free hydroxyl substitutes the acetylated hydroxyl on the anomeric carbon of a peracetylated N-glucoseamine. The carboxylic acid can then be deprotected to make the GalNAc coupling partner.

As a non-limiting example of the synthesis of the cyclic peptide, a peptide can be synthesized using known Fmoc-protected solid-phase peptide synthesis with the side chains protected as needed. Peptide synthesis can be followed by derivatization, deprotection of the C- and N-termini, and cyclization of the peptide. Derivatization includes adding an amine linker to a suitable side chain, *e.g.*, glutamic acid. The appropriate amino acid (*e.g.*, lysine) side

chains are deprotected and the GalNAc coupling partners are attached using known peptide-bond forming conditions. The amine linker is then used to conjugate agents as desired.

An example of coupling an agent to the amine linker can be 4, 4'-dimethoxytrityl (DMTr), which can be used in synthesizing oligonucleotide polymers. By attached a DMTr group to the GalNAc cyclic peptides described above, the resultant conjugate can be used as the substrate for synthesizing an oligonucleotide (*e.g.*, siRNA) using an oligonucleotide synthesizer.

Exemplary synthesis schemes for the cyclic peptide-GalNAc conjugates disclosed herein or any intermediates therein are provided in the Examples below.

10 II. Pharmaceutical Compositions

Any of the cyclic peptide-GalNAc conjugates disclosed herein, which comprises a diagnostic or therapeutic agent (*e.g.*, a nucleic acid-based agent such as an siRNA molecule) may be formulated into a suitable pharmaceutical composition. The pharmaceutical compositions as described herein can further comprise pharmaceutically acceptable carriers, excipients, or stabilizers in the form of lyophilized formulations or aqueous solutions. Remington: The Science and Practice of Pharmacy 20th Ed. (2000) Lippincott Williams and Wilkins, Ed. K. E. Hoover. Such carriers, excipients or stabilizers may enhance one or more properties of the active ingredients in the compositions described herein, *e.g.*, bioactivity, stability, bioavailability, and other pharmacokinetics and/or bioactivities.

Acceptable carriers, excipients, or stabilizers are nontoxic to recipients at the dosages and concentrations used, and may comprise buffers such as phosphate, citrate, and other organic acids; antioxidants including ascorbic acid and methionine; preservatives (such as octadecyldimethylbenzyl ammonium chloride; hexamethonium chloride; benzalkonium chloride, benzethonium chloride; phenol, butyl or benzyl alcohol; alkyl parabens such as methyl or propyl paraben; catechol; resorcinol; cyclohexanol; 3-pentanol; benzoates, sorbate and m-cresol); low molecular weight (less than about 10 residues) polypeptides; proteins, such as serum albumin, gelatin, or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone; amino acids such as glycine, glutamine, asparagine, histidine, arginine, serine, alanine or lysine; monosaccharides, disaccharides, and other carbohydrates including glucose, mannose, or dextrans; chelating agents such as EDTA; sugars such as sucrose, mannitol, trehalose or sorbitol; salt-

forming counter-ions such as sodium; metal complexes (*e.g.*, Zn-protein complexes); and/or non-ionic surfactants such as TWEENTM (polysorbate), PLURONICSTM (nonionic surfactants), or polyethylene glycol (PEG).

In some examples, the pharmaceutical composition described herein can be formulated in sustained-release format. Suitable examples of sustained-release preparations include semipermeable matrices of solid hydrophobic polymers, which matrices are in the form of shaped articles, *e.g.*, films, or microcapsules. Examples of sustained-release matrices include polyesters, hydrogels (for example, poly(2-hydroxyethyl-methacrylate), or poly(vinylalcohol), polylactides (U.S. Pat. No. 3,773,919), copolymers of L-glutamic acid and 7 ethyl-L-glutamate, non-degradable ethylene-vinyl acetate, degradable lactic acid-glycolic acid copolymers such as the LUPRON DEPOTTM (injectable microspheres composed of lactic acid-glycolic acid copolymer and leuprolide acetate), sucrose acetate isobutyrate, and poly-D-(-)-3-hydroxybutyric acid.

The pharmaceutical compositions to be used for *in vivo* administration must be sterile. This can be accomplished by, for example, filtration through sterile filtration membranes. Therapeutic compositions are generally placed into a container having a sterile access port, for example, an intravenous solution bag or vial having a stopper pierceable by a hypodermic injection needle or a sealed container to be manually accessed.

The pharmaceutical compositions described herein can be in unit dosage forms such as solids, solutions or suspensions, or suppositories, for administration by inhalation or insufflation, intrathecal, intrapulmonary or intracerebral routes, oral, parenteral or rectal administration.

For preparing solid compositions, the principal active ingredient can be mixed with a pharmaceutical carrier, *e.g.*, conventional tableting ingredients such as corn starch, lactose, sucrose, sorbitol, talc, stearic acid, magnesium stearate, dicalcium phosphate or gums, and other pharmaceutical diluents, *e.g.*, water, to form a solid preformulation composition containing a homogeneous mixture of a compound of the present invention, or a non-toxic pharmaceutically acceptable salt thereof. When referring to these preformulation compositions as homogeneous, it is meant that the active ingredient is dispersed evenly throughout the composition so that the composition may be readily subdivided into equally effective unit dosage forms such as powder collections, tablets, pills and capsules. This solid preformulation composition is then subdivided into unit dosage forms of the type described above containing a suitable amount of the active ingredient in the composition.

Suitable surface-active agents include, in particular, non-ionic agents, such as polyoxyethylenesorbitans (*e.g.*, TWEEN 20, 40, 60, 80 or 85) and other sorbitans (*e.g.*, SPAN 20, 40, 60, 80 or 85). Compositions with a surface-active agent will conveniently comprise between 0.05 and 5% surface-active agent, and can be between 0.1 and 2.5%. It will be appreciated that other ingredients may be added, for example mannitol or other pharmaceutically acceptable vehicles, if necessary.

Suitable emulsions may be prepared using commercially available fat emulsions, such as INTRALIPID™, LIPOSYN™, INFONUTROL™, LIPOFUNDIN™, and LIPIPHYSAN™. The active ingredient may be either dissolved in a pre-mixed emulsion composition or alternatively it may be dissolved in an oil (*e.g.*, soybean oil, safflower oil, cottonseed oil, sesame oil, corn oil or almond oil) and an emulsion formed upon mixing with a phospholipid (*e.g.*, egg phospholipids, soybean phospholipids or soybean lecithin) and water. It will be appreciated that other ingredients may be added, for example glycerol or glucose, to adjust the tonicity of the emulsion. Suitable emulsions will typically contain up to 20% oil, for example, between 5 and 20%.

Pharmaceutical compositions for inhalation or insufflation include solutions and suspensions in pharmaceutically acceptable, aqueous or organic solvents, or mixtures thereof, and powders. The liquid or solid compositions may contain suitable pharmaceutically acceptable excipients as set out above. In some embodiments, the compositions are administered by the oral or nasal respiratory route for local or systemic effect. In some embodiments, the compositions are composed of particle sized between 10 nm to 100 nm.

Compositions in preferably sterile pharmaceutically acceptable solvents may be nebulized by use of gases. Nebulized solutions may be breathed directly from the nebulizing device or the nebulizing device may be attached to a face mask, tent, endotracheal tube and/or intermittent positive pressure breathing machine (ventilator). Solution, suspension or powder compositions may be administered, preferably orally or nasally, from devices which deliver the formulation in an appropriate manner.

In some embodiments, any of the pharmaceutical compositions herein may further comprise a second therapeutic agent based on the intended therapeutic uses of the composition.

III. Delivery of Agents to Liver Cells

Any of the cyclic peptide-GalNAc conjugates disclosed herein can be used for

delivering the agent of interest carried by the conjugates (*e.g.*, a diagnostic agent or a therapeutic agent) into liver cells, either *in vitro* or *in vivo*. Accordingly, provided herein is a method for delivering an agent of interest into liver cells, the method comprising contacting any of the any of the cyclic peptide-GalNAc conjugates disclosed herein with liver cells to allow for delivery of the agent carried by the conjugate into the liver cells.

In some embodiments, the contacting step can be performed *in vitro*, *e.g.*, in a cell culture system. For example, an effective amount of the cyclic peptide-GalNAc conjugate as disclosed herein may be incubated with liver cells under suitable culturing conditions for a suitable period of time, allowing for uptake of the conjugate by the liver cells via interaction between the GalNAc moieties and the ASGPR receptor on liver cells. Liver cells containing the conjugate may be enriched and/or expanded. Such liver cells may be administered to a subject for treating a target disease, *e.g.*, those disclosed herein.

Alternatively, any of the cyclic peptide-GalNAc conjugates disclosed herein or a pharmaceutical composition comprising such may be administered to a subject who needs the treatment via suitable route.

To practice the method disclosed herein, an effective amount of the pharmaceutical composition described herein can be administered to a subject (*e.g.*, a human) in need of the treatment *via* a suitable route, such as intravenous administration, *e.g.*, as a bolus or by continuous infusion over a period of time, by intramuscular, intraperitoneal, intratumoral, intracerebrospinal, subcutaneous, intra-articular, intrasynovial, intrathecal, oral, inhalation or topical routes. Commercially available nebulizers for liquid formulations, including jet nebulizers and ultrasonic nebulizers are useful for administration. Liquid formulations can be directly nebulized and lyophilized powder can be nebulized after reconstitution. Alternatively, the antibodies as described herein can be aerosolized using a fluorocarbon formulation and a metered dose inhaler or inhaled as a lyophilized and milled powder.

The subject to be treated by the methods described herein can be a mammal, more preferably a human. Mammals include, but are not limited to, farm animals, sport animals, pets, primates, horses, dogs, cats, mice and rats. A human subject who needs the treatment may be a human patient having, at risk for, or suspected of having a target disease/disorder, for example, a liver disease such as liver cancer. Examples of such target diseases/disorders include acute hepatic porphyria, alagille syndrome, alcohol-related liver disease, alpha-1 antitrypsin deficiency,

autoimmune hepatitis, benign liver tumors, biliary atresia, cirrhosis, crigler-najjar syndrome, galactosemia, gilbert syndrome, hemochromatosis, hepatic encephalopathy, hepatitis a, hepatitis b, hepatitis c, hepatorenal syndrome, intrahepatic cholestasis of pregnancy (ICP), lysosomal acid lipase deficiency (LAL-D), liver cysts, liver cancer, newborn jaundice, non-alcoholic fatty liver disease, non-alcoholic steatohepatitis, primary biliary cholangitis (PBC), primary sclerosing cholangitis (PSC), progressive familial intrahepatic cholestasis (PFIC), reye syndrome, type I glycogen storage disease, wilson disease.

A subject having a target disease can be identified by routine medical examination, *e.g.*, laboratory tests, organ functional tests, CT scans, or ultrasounds. In some embodiments, the subject to be treated by the method described herein may be a human cancer patient who has undergone or is subjecting to another therapy, *e.g.*, an anti-cancer therapy, for example, chemotherapy, radiotherapy, immunotherapy, or surgery.

A subject suspected of having any of such target disease/disorder might show one or more symptoms of the disease/disorder. A subject at risk for the disease/disorder can be a subject having one or more of the risk factors for that disease/disorder.

As used herein, “an effective amount” refers to the amount of each active agent required to confer therapeutic effect on the subject, either alone or in combination with one or more other active agents. Determination of whether an amount of the conjugate achieved the therapeutic effect would be evident to one of skill in the art. Effective amounts vary, as recognized by those skilled in the art, depending on the particular condition being treated, the severity of the condition, the individual patient parameters including age, physical condition, size, gender and weight, the duration of the treatment, the nature of concurrent therapy (if any), the specific route of administration and like factors within the knowledge and expertise of the health practitioner. These factors are well known to those of ordinary skill in the art and can be addressed with no more than routine experimentation. It is generally preferred that a maximum dose of the individual components or combinations thereof be used, that is, the highest safe dose according to sound medical judgment.

Empirical considerations, such as the half-life, generally will contribute to the determination of the dosage. For example, antibodies that are compatible with the human immune system, such as humanized antibodies or fully human antibodies, may be used to prolong half-life of the conjugate, particularly the agent of interest contained therein, and to prevent the conjugate

being attacked by the host's immune system. Frequency of administration may be determined and adjusted over the course of therapy, and is generally, but not necessarily, based on treatment and/or suppression and/or amelioration and/or delay of a target disease/disorder. Alternatively, sustained continuous release formulations of a conjugate as disclosed herein may be appropriate. Various formulations and devices for achieving sustained release are known in the art.

In one example, dosages for a conjugate as described herein may be determined empirically in individuals who have been given one or more administration(s) of the conjugate. Individuals are given incremental dosages of the agonist. To assess efficacy of the agonist, an indicator of the disease/disorder can be followed.

For the purpose of the present disclosure, the appropriate dosage of a cyclic peptide-GalNAc conjugate as described herein will depend on the specific conjugate, particularly the specific agent of interest carried by the conjugate, the type and severity of the disease/disorder, whether the conjugate is administered for preventive or therapeutic purposes, previous therapy, the patient's clinical history and response to the agonist, and the discretion of the attending physician. Typically the clinician will administer a conjugate, until a dosage is reached that achieves the desired result. Methods of determining whether a dosage resulted in the desired result would be evident to one of skill in the art. Administration of one or more conjugates can be continuous or intermittent, depending, for example, upon the recipient's physiological condition, whether the purpose of the administration is therapeutic or prophylactic, and other factors known to skilled practitioners. The administration of a conjugate disclosed herein may be essentially continuous over a preselected period of time or may be in a series of spaced dose, *e.g.*, either before, during, or after developing a target disease or disorder.

As used herein, the term "treating" refers to the application or administration of a composition including one or more active agents to a subject, who has a target disease or disorder, a symptom of the disease/disorder, or a predisposition toward the disease/disorder, with the purpose to cure, heal, alleviate, relieve, alter, remedy, ameliorate, improve, or affect the disorder, the symptom of the disease, or the predisposition toward the disease or disorder.

Alleviating a target disease/disorder includes delaying the development or progression of the disease, or reducing disease severity or prolonging survival. Alleviating the disease or prolonging survival does not necessarily require curative results. As used therein, "delaying" the development of a target disease or disorder means to defer, hinder, slow, retard, stabilize, and/or

postpone progression of the disease. This delay can be of varying lengths of time, depending on the history of the disease and/or individuals being treated. A method that “delays” or alleviates the development of a disease, or delays the onset of the disease, is a method that reduces probability of developing one or more symptoms of the disease in a given time frame and/or reduces extent of the symptoms in a given time frame, when compared to not using the method. Such comparisons are typically based on clinical studies, using a number of subjects sufficient to give a statistically significant result.

“Development” or “progression” of a disease means initial manifestations and/or ensuing progression of the disease. Development of the disease can be detectable and assessed using standard clinical techniques as well known in the art. However, development also refers to progression that may be undetectable. For purpose of this disclosure, development or progression refers to the biological course of the symptoms. “Development” includes occurrence, recurrence, and onset. As used herein “onset” or “occurrence” of a target disease or disorder includes initial onset and/or recurrence.

Conventional methods, known to those of ordinary skill in the art of medicine, can be used to administer the pharmaceutical composition to the subject, depending upon the type of disease to be treated or the site of the disease. This composition can also be administered via other conventional routes, *e.g.*, administered orally, parenterally, by inhalation spray, topically, rectally, nasally, buccally, vaginally or via an implanted reservoir. The term “parenteral” as used herein includes subcutaneous, intracutaneous, intravenous, intramuscular, intraarticular, intraarterial, intrasynovial, intrasternal, intrathecal, intralesional, and intracranial injection or infusion techniques. In addition, it can be administered to the subject via injectable depot routes of administration such as using 1-, 3-, or 6-month depot injectable or biodegradable materials and methods. In some examples, the pharmaceutical composition is administered intraocularly or intravitreally.

Injectable compositions may contain various carriers such as vegetable oils, dimethylacetamide, dimethylformamide, ethyl lactate, ethyl carbonate, isopropyl myristate, ethanol, and polyols (glycerol, propylene glycol, liquid polyethylene glycol, and the like). For intravenous injection, water soluble antibodies can be administered by the drip method, whereby a pharmaceutical formulation containing the conjugate and a physiologically acceptable excipient is infused. Physiologically acceptable excipients may include, for example, 5% dextrose, 0.9%

saline, Ringer's solution or other suitable excipients. Intramuscular preparations can be dissolved and administered in a pharmaceutical excipient such as Water-for-Injection, 0.9% saline, or 5% glucose solution.

In one embodiment, a conjugate as disclosed herein can be administered *via* site-specific or targeted local delivery techniques. Examples of site-specific or targeted local delivery techniques include various implantable depot sources of the conjugate or local delivery catheters, such as infusion catheters, an indwelling catheter, or a needle catheter, synthetic grafts, adventitial wraps, shunts and stents or other implantable devices, site specific carriers, direct injection, or direct application. See, *e.g.*, PCT Publication No. WO 00/53211 and U.S. Pat. No. 5,981,568.

Treatment efficacy for a target disease/disorder can be assessed by methods well-known in the art.

Kits for Use in Treatment of Diseases

The present disclosure also provides kits for use in delivering an agent of interest (*e.g.*, a diagnostic agent or a therapeutic agent) to liver cells, either *in vitro* or *in vivo*, and/or for treating or alleviating a target disease. Such kits can include one or more containers comprising a cyclic peptide-GalNAc conjugate, *e.g.*, any of those described herein. In some instances, the conjugate as disclosed herein may be co-used with a second therapeutic agent.

In some embodiments, the kit can comprise instructions for use in accordance with any of the methods described herein. The included instructions can comprise a description of administration of the conjugate, and optionally the second therapeutic agent, to treat, delay the onset, or alleviate a target disease as those described herein. The kit may further comprise a description of selecting an individual suitable for treatment based on identifying whether that individual has the target disease, *e.g.*, applying the diagnostic method as described herein. In still other embodiments, the instructions comprise a description of administering a conjugate as disclosed herein to an individual at risk of the target disease.

The instructions relating to the use of a cyclic peptide-GalNAc conjugate generally include information as to dosage, dosing schedule, and route of administration for the intended treatment. The containers may be unit doses, bulk packages (*e.g.*, multi-dose packages) or sub-unit doses. Instructions supplied in the kits of the invention are typically written instructions on a label or

package insert (e.g., a paper sheet included in the kit), but machine-readable instructions (e.g., instructions carried on a magnetic or optical storage disk) are also acceptable.

The label or package insert indicates that the composition is used for treating, delaying the onset and/or alleviating the disease, such as a liver disease, e.g., liver cancer. Instructions may be provided for practicing any of the methods described herein.

The kits of this invention are in suitable packaging. Suitable packaging includes, but is not limited to, vials, bottles, jars, flexible packaging (e.g., sealed Mylar or plastic bags), and the like. Also contemplated are packages for use in combination with a specific device, such as an inhaler, nasal administration device (e.g., an atomizer) or an infusion device such as a minipump. A kit may have a sterile access port (for example the container may be an intravenous solution bag or a vial having a stopper pierceable by a hypodermic injection needle). The container may also have a sterile access port (for example the container may be an intravenous solution bag or a vial having a stopper pierceable by a hypodermic injection needle). At least one active agent in the composition is a cyclic peptide-GalNAc conjugate as those described herein.

Kits may optionally provide additional components such as buffers and interpretive information. Normally, the kit comprises a container and a label or package insert(s) on or associated with the container. In some embodiments, the invention provides articles of manufacture comprising contents of the kits described above.

General techniques

The practice of the present disclosure will employ, unless otherwise indicated, conventional techniques of molecular biology (including recombinant techniques), microbiology, cell biology, biochemistry, and immunology, which are within the skill of the art. Such techniques are explained fully in the literature, such as *Molecular Cloning: A Laboratory Manual*, second edition (Sambrook, et al., 1989) Cold Spring Harbor Press; *Oligonucleotide Synthesis* (M. J. Gait, ed. 1984); *Methods in Molecular Biology*, Humana Press; *Cell Biology: A Laboratory Notebook* (J. E. Cellis, ed., 1989) Academic Press; *Animal Cell Culture* (R. I. Freshney, ed. 1987); *Introduction to Cell and Tissue Culture* (J. P. Mather and P. E. Roberts, 1998) Plenum Press; *Cell and Tissue Culture: Laboratory Procedures* (A. Doyle, J. B. Griffiths, and D. G. Newell, eds. 1993-8) J. Wiley and Sons; *Methods in Enzymology* (Academic Press, Inc.); *Handbook of Experimental Immunology* (D. M. Weir and C. C. Blackwell, eds.): Gene

Transfer Vectors for Mammalian Cells (J. M. Miller and M. P. Calos, eds., 1987); Current Protocols in Molecular Biology (F. M. Ausubel, et al. eds. 1987); PCR: The Polymerase Chain Reaction, (Mullis, et al., eds. 1994); Current Protocols in Immunology (J. E. Coligan et al., eds., 1991); Short Protocols in Molecular Biology (Wiley and Sons, 1999); Immunobiology (C. A. Janeway and P. Travers, 1997); Antibodies (P. Finch, 1997); Antibodies: a practice approach (D. Catty., ed., IRL Press, 1988-1989); Monoclonal antibodies: a practical approach (P. Shepherd and C. Dean, eds., Oxford University Press, 2000); Using antibodies: a laboratory manual (E. Harlow and D. Lane (Cold Spring Harbor Laboratory Press, 1999); The Antibodies (M. Zanetti and J. D. Capra, eds. Harwood Academic Publishers, 1995); *DNA Cloning: A practical Approach*, Volumes I and II (D.N. Glover ed. 1985); *Nucleic Acid Hybridization* (B.D. Hames & S.J. Higgins eds.(1985»); *Transcription and Translation* (B.D. Hames & S.J. Higgins, eds. (1984»); *Animal Cell Culture* (R.I. Freshney, ed. (1986»); *Immobilized Cells and Enzymes* (IRL Press, (1986»); and B. Perbal, *A practical Guide To Molecular Cloning* (1984); F.M. Ausubel *et al.* (eds.).

Without further elaboration, it is believed that one skilled in the art can, based on the above description, utilize the present invention to its fullest extent. The following specific embodiments are, therefore, to be construed as merely illustrative, and not limitative of the remainder of the disclosure in any way whatsoever. All publications cited herein are incorporated by reference for the purposes or subject matter referenced herein.

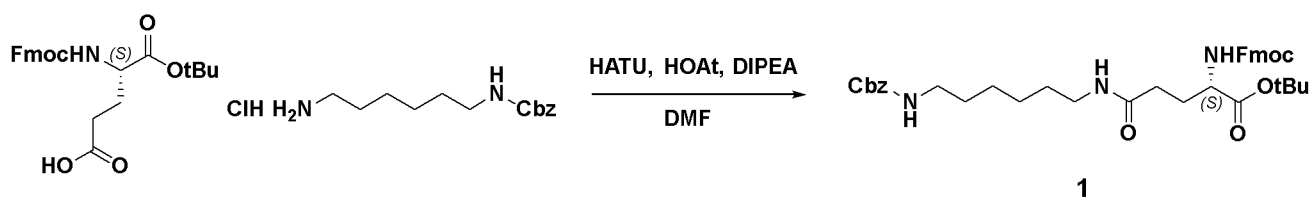
20

Example 1: Synthesis of L-Fmoc-Glu(linker-Cbz)-OH and D-Fmoc-Glu(linker-Cbz)-OH

A: L-Fmoc-Glu(linker-Cbz)-OH

25

Step 1: Synthesis of tert-butyl N²-(((9H-fluoren-9-yl)methoxy)carbonyl)-N⁵-(6-(((benzyloxy)carbonyl)amino)hexyl)-L-glutamate (Compound-1)



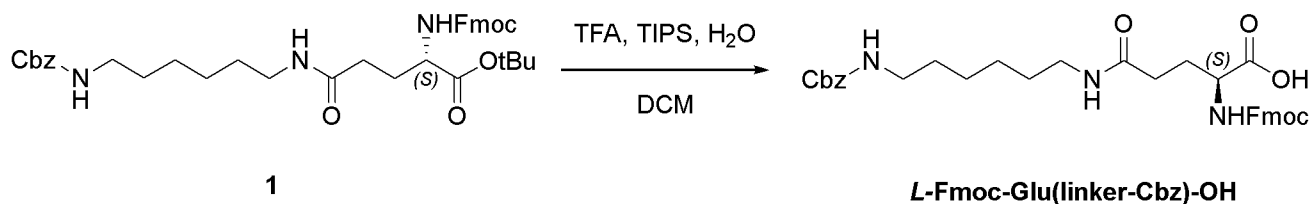
30

An exemplary synthesis scheme of Compound-1 is provided above. A brief description is provided below.

To a solution of (*S*)-4-(((9*H*-fluoren-9-yl)methoxy)carbonyl)amino)-5-(*tert*-butoxy)-5-oxopentanoic acid (10.0 g, 23.5 mmol, 1.0 equiv.) in DMF (40 mL) was added HATU (8.9 g, 23.5 mmol, 1.0 equiv.), DIPEA (12.3 mL, 70.5 mmol, 3.0 equiv.), HOAt (3.2 g, 23.5 mmol, 1.0 equiv.) in order. The resulting mixture was stirred at 25 °C for 10 min. Once the mixture turned to homogeneous solution, the benzyl (6-aminohexyl)carbamate hydrochloride (8.1 g, 28.2 mmol, 1.2 equiv.) was added and the resulting solution was stirred at 25 °C for 2 h. The reaction progress was monitored by LCMS. Upon completion, the solution was diluted with EtOAc (100 mL) and washed with brine (3 × 40 mL). The organic layer was dried over Na₂SO₄, filtered and concentrated in *vacuo* and the crude product was directly used for the next step (yellow solid, 15.5 g).

LCMS: (ESI) $m/z = 658.2 [M + H]^+$.

Step 2: *N*²-(((9*H*-fluoren-9-yl)methoxy)carbonyl)-*N*⁵-(6-(((benzyloxy)carbonyl)amino)hexyl)-*L*-glutamine (*L*-Fmoc-Glu(linker-Cbz)-OH)



An exemplary synthesis scheme of *L*-Fmoc-Glu(linker-Cbz)-OH is provided above. A brief description is provided below.

To a solution of **compound 1** (15.5 g, 23.5 mmol) in DCM (150 mL) 60 mL of TFA/TIS/H₂O (10/1/1) was added and the resulting solution was stirred overnight at 25 °C and monitored by LCMS. Upon completion, the solution was concentrated in *vacuo* and the residue was purified by reversed phase chromatography eluting with H₂O (0.01 % v/v TFA)/MeCN (95/5 to 5/95). This resulted in 7.0 g (50 % yield) of **L-Fmoc-Glu(linker-Cbz)-OH** as white solid.

LCMS: (ESI) $m/z = 602.3 [M + H]^+$.

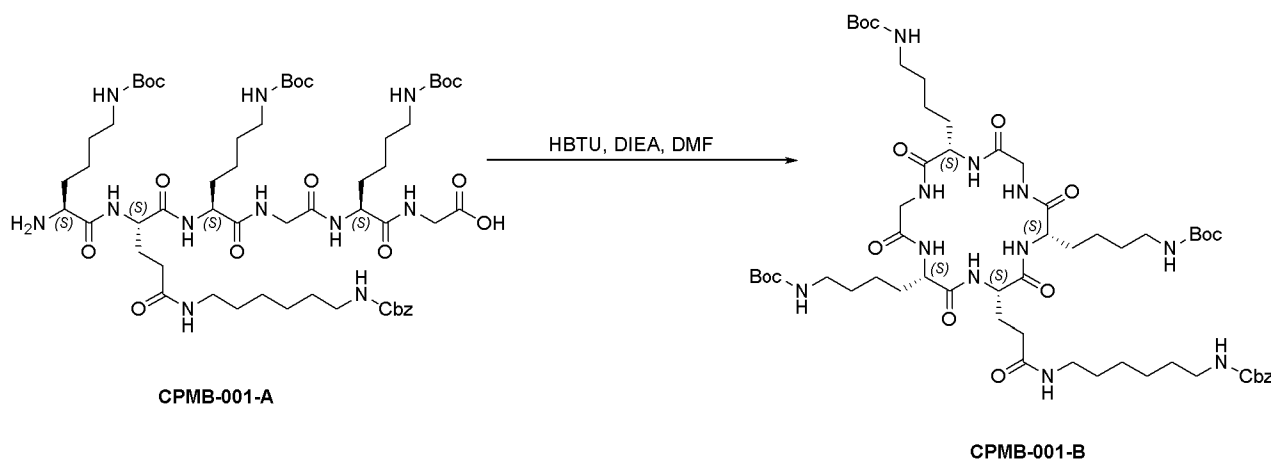
B: D-Fmoc-Glu(linker-Cbz)-OH

The same procedure described above was used in the synthesis of D-Fmoc-Glu(linker-Cbz)-OH. See also the exemplary synthesis scheme below. From 10.0 g of D-Fmoc-Glu-OtBu, 5.7 g of D-Fmoc-Glu(linker-Cbz)-OH was obtained, total yield was 40%. LCMS: (ESI) $m/z =$

The resulting resin was treated with 20% piperidine/DMF (50 mL) for 20 minutes to remove the Fmoc group. The resulting resin was washed with DMF and treated with a solution of Fmoc-Lys(Boc)-OH (1874 mg, 4.0 mmol), HBTU (1517 mg, 4.0 mmol), HOBt (540 mg, 4 mmol) and DIPEA (1034 mg, 8.0 mmol) in DMF (80 mL) at room temperature for 1 h, whereby Lys was introduced to give Fmoc-Lys(Boc)-Gly-CTC resin. In a similar manner with, Gly, Lys(Boc), Glu(tBu), and Lys(Boc) were introduced to give NH₂-Lys(Boc)-Glu(linker-Cbz)-Lys(Boc)-Gly-Lys(Boc)-Gly-CTC resin (SEQ ID NO: 8). The linear peptide was cleaved from resin using cold HFIP/DCM (3/7, 100 mL), to the above dry resin this mixture solution was added and the mixture was shaken for 1.0 h. The resin was filtered off and washed with DCM (10 mL×3). The filtrates were combined and the solvent was removed under *vacuo*. The crude peptide was dissolved in H₂O/CH₃CN and was lyophilized to remove the remaining solvent. The linear peptide **CPMB-001-A** was collected as a white solid (1200 mg, crude).

LCMS: (ESI) $m/z = 540.0 [M + 2H]/2^+$

Step 2: Synthesis of Benzyl (6-(3-((2S,5S,11S,17S)-5,11,17-tris(4-((tert-butoxycarbonyl)amino)butyl)-3,6,9,12,15,18-hexaoxo-1,4,7,10,13,16-hexaazacyclooctadecan-2-yl)propanamido)hexyl)carbamate (CPMB-001-B)



20

An exemplary synthesis scheme for producing CPMB-001-B from CPMB-001-A is provided above. Below is a brief description of the synthesis procedures.

HBTU (774 mg, 2.04 mmol, 2.0 eq.) was dissolved in DMF (20 mL) and DIEA (168 μL) was added. A solution of **CPMB-001-A** (1200 mg, 1.02 mmol, 1.0 eq.) and DIEA (506 μL) in

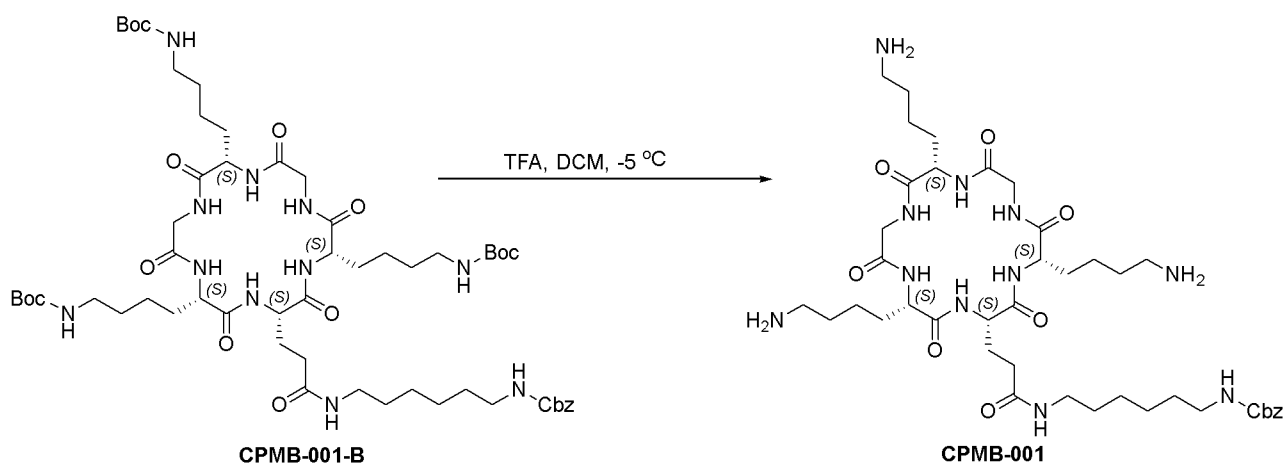
25

DMF (150 mL) was then added dropwise to the HBTU solution over a period of 2 h at room temperature. A brown mixture was obtained, and LCMS indicated entire conversion of **CPMB-001-A**. The reaction was then quenched by addition of water (170 mL). The resulting mixture was extracted with ethyl acetate (200 mL \times 3). The organic layer was washed with a solution of NaCl (brine 100 mL with water 100 mL), dried over anhydrous Na_2SO_4 and concentrated under reduced pressure to obtain pale-brown oil. The oil was triturated in water (100 mL) and filtered. The residue was triturated again in *n*-hexane (100 mL, containing 5% ethyl acetate) for 2 h and filtered to obtain the target cyclic peptide as pale-yellow solid (742 mg, yield: 62.7%).

LCMS: (ESI) $m/z = 1162.9$ $[\text{M} + \text{H}]^+$.

10

Step 3: Synthesis of Benzyl (6-(3-((2S,5S,11S,17S)-5,11,17-tris(4-aminobutyl)-3,6,9,12,15,18-hexaoxo-1,4,7,10,13,16-hexaazacyclooctadecan-2-yl)propanamido)hexyl)carbamate (CPMB-001)



15

An exemplary synthesis scheme for producing CPMB-001 from CPMB-001-B is provided above. Below is a brief description of the synthesis procedures.

The **CPMB-001-B** (742 mg, 0.64 mmol) was dissolved in DCM (1 mL) and cooled to -5°C and then iced TFA/DCM (2 mL, v:v = 1:1) was added. The solution was stirred at -5°C for 1 h and the reaction was monitored by LCMS. After the complete conversion of **CPMB-001-B**, iced MTBE (40 mL) was added and white sediment was generated. The suspension was centrifuged at 3200 r/min for 3 min and the supernatant was poured out. The sediment was washed with MTBE (40 mL \times 2) and centrifuged for two extra times. The white residue was dried under reduced

20

pressure to obtain the target compound (**CPMB-001**) as white solid (760 mg salt, yield: 98.8%).

LCMS: (ESI) $m/z = 860.3 [M + H]^+$.

Example 3: Synthesis of CPMB-002 and CPMB-003

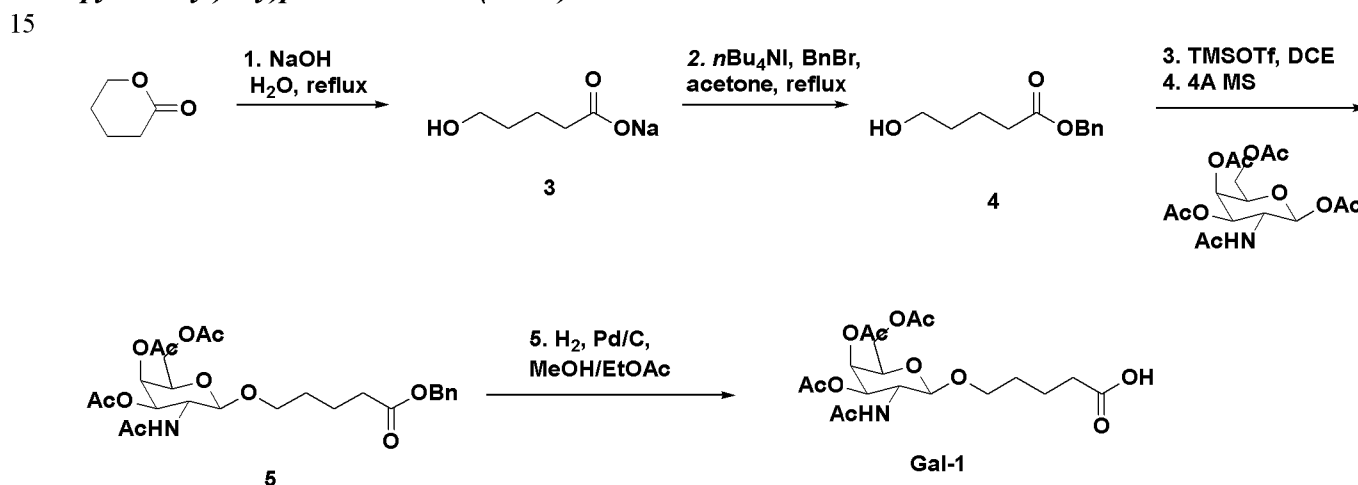
5 The same procedure was used in the synthesis of **CPMB-002**. See the exemplary synthesis scheme in **FIG. 1**.

From 5.0 g (1.1 mmol) of CTC resin, 700 mg of **CPMB-002** was obtained, total yield was 50%. LCMS: (ESI) $m/z = 860.3 [M + H]^+$.

10 From 5.0 g (1.1 mmol) of CTC resin, 781 mg of **CPMB-003** was obtained, total yield was 65.0%. LCMS: (ESI) $m/z = 860.3 [M + H]^+$.

Example 4: Synthesis of Gal-1

Gal-1: 5-(((2R,3R,4R,5R,6R)-3-acetamido-4,5-diacetoxy-6-(acetoxymethyl) tetrahydro-2H-pyran-2-yl)oxy)pentanoic acid (Gal-1).



An exemplar synthesis scheme for **Gal-1** is provided above.

20 *Step 1 and 2: Synthesis of sodium 5-hydroxypentanoate (Compound 3) and benzyl 5-hydroxypentanoate (Compound 4)*

The mixture of tetrahydro-2H-pyran-2-one (10.0 g, 99.9 mmol, 1.0 equiv.) and NaOH (4.0 g, 99.9 mmol, 1.0 equiv.) were dissolved in H₂O (100 mL). The solution was refluxed at 100 °C overnight and monitored by LCMS. Upon completion, the solution was concentrated in *vacuo*.

25 The resulting white solid was taken up in acetone (200 mL) and *n*Bu₄NI (1.8 g, 5.0 mmol, 5 mol %)

and benzyl bromide (14.2 mL, 119.9 mmol, 1.2 equiv.) were added in order. The mixture was refluxed at 60 °C overnight and monitored by LCMS. Upon completion, the solution was concentrated in *vacuo*. The subsequent residue was dissolved in EtOAc (150 mL) and washed with aqueous NaHSO₄ (10.0 g in 150 mL H₂O). The aqueous layer was then extracted with EtOAc (3 × 50 mL). The combined organic layer was washed with saturated aqueous NaHCO₃ (100 mL), brine (40 mL), dried over Na₂SO₄, filtered and concentrated in *vacuo*. The residue was purified by silica gel column eluting with PE/EtOAc (8/2). This resulted in 17.9 g (86 % yield) of benzyl 5-hydroxypentanoate (**Compound 4**) as colorless oil. LCMS: (ESI) $m/z = 209.1$ [M + H]⁺.

10 *Step 3: Synthesis of (2R,3R,4R,5R,6R)-5-acetamido-2-(acetoxymethyl)-6-((5-(benzyloxy)-5-oxopentyl)oxy)tetrahydro-2H-pyran-3,4-diyl diacetate (Compound 5)*

To a suspension of (2S,3R,4R,5R,6R)-3-acetamido-6-(acetoxymethyl)tetrahydro-2H-pyran-2,4,5-triyl triacetate (10.0 g, 25.7 mmol, 1.0 equiv.) in dry DCE (200 mL) was added trimethylsilyl trifluoromethanesulfonate (7.0 mL, 38.5 mmol, 1.5 equiv.). The mixture was stirred for 2 h at 25 °C, followed by **Compound 4** (7.5 g, 36.0 mmol, 1.4 equiv.) and 4Å molecular sieve (5.0 g). The mixture was stirred overnight at 25 °C and monitored by LCMS. Once the reaction was completed, the mixture was filtered to remove the 4Å molecular sieve. The filtrate was added aqueous saturated NaHCO₃ (50 mL) and extracted with DCM (3 × 50 mL). The combined organic layer was washed with brine (40 mL), dried over Na₂SO₄, filtered and concentrated in *vacuo*. The subsequent yellow oil was directly used for the next step. LCMS: (ESI) $m/z = 538.0$ [M + H]⁺.

Step 4: Synthesis of 5-(((2R,3R,4R,5R,6R)-3-acetamido-4,5-diacetoxy-6-(acetoxymethyl)tetrahydro-2H-pyran-2-yl)oxy)pentanoic acid (Gal-1)

To the solution of **Compound 5** in MeOH/EtOAc (10.0 mL/30.0 mL) was added Pd/C (1.0 g, 10.0 %). The flask was evacuated and flushed 3 times with H₂. The suspension was stirred overnight at 25 °C and monitored by LCMS. Upon completion, the solution was filtered and concentrated in *vacuo*. The residue was purified by reversed phase chromatography eluting with H₂O (0.01 % v/v TFA)/MeCN (95/5 to 5/95). This resulted in 7.4 g (64 % yield) of **Gal-1** as white foam.

30 LCMS: (ESI) $m/z = 448.0$ [M + H]⁺.

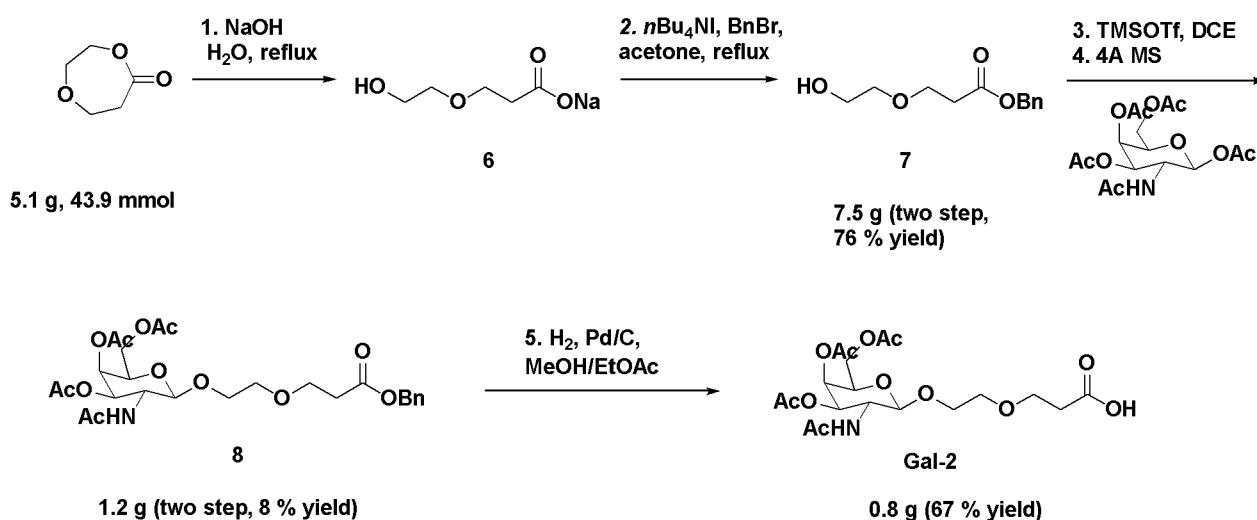
¹H NMR (400 MHz, DMSO) δ 12.02 (br, 1H), 7.82 (d, $J = 9.2$ Hz, 1H), 5.21 (d, $J = 3.4$

Hz, 1H), 4.96 (dd, $J = 11.2, 3.4$ Hz, 1H), 4.48 (d, $J = 8.5$ Hz, 1H), 4.03 (s, 3H), 3.88 (dt, $J = 11.2, 8.9$ Hz, 1H), 3.73 – 3.66 (m, 1H), 3.46 – 3.37 (m, 1H), 2.20 (t, $J = 7.1$ Hz, 2H), 2.11 (s, 3H), 2.00 (s, 3H), 1.89 (s, 3H), 1.77 (s, 3H), 1.54 – 1.43 (m, 4H).

5 Example 5: Synthesis of Gal-2

Gal-2: Synthesis of 3-(2-(((2R,3R,4R,5R,6R)-3-acetamido-4,5-diacetoxy-6-(acetoxymethyl)tetrahydro-2H-pyran-2-yl)oxy)ethoxy)propanoic acid (Gal-2)

The same procedure is used in the synthesis of **Gal-1**. An exemplary synthesis scheme is provided below. From 5.1 g (43.9 mmol) of 1,4-dioxepan-5-one, 0.8 g of **Gal-2** was obtained, the total yield was 4.1%.

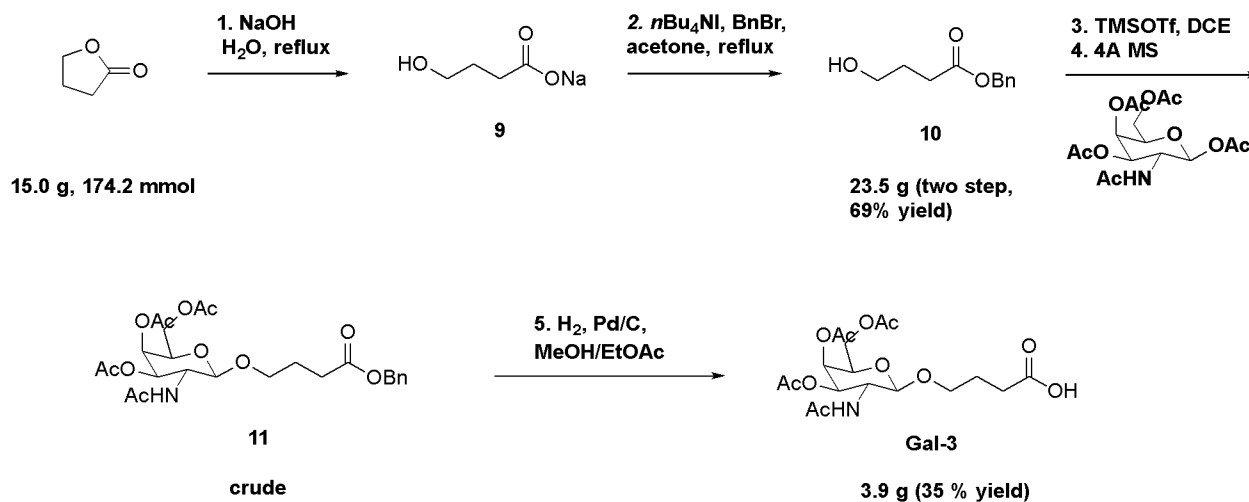


LCMS: (ESI) $m/z = 464.4$ $[M + H]^+$.

15 Example 6: Synthesis of Gal-3

Gal-3: Synthesis of 4-(((2R,3R,4R,5R,6R)-3-acetamido-4,5-diacetoxy-6-(acetoxymethyl)tetrahydro-2H-pyran-2-yl)oxy)butanoic acid (Gal-3)

The same procedure is used in the synthesis of **Gal-1**. A synthesis scheme is provided below. From 15.0 g (174.2 mmol) of dihydrofuran-2(3H)-one, 3.9 g of **Gal-3** as white foam was obtained, the total yield was 5.2%.



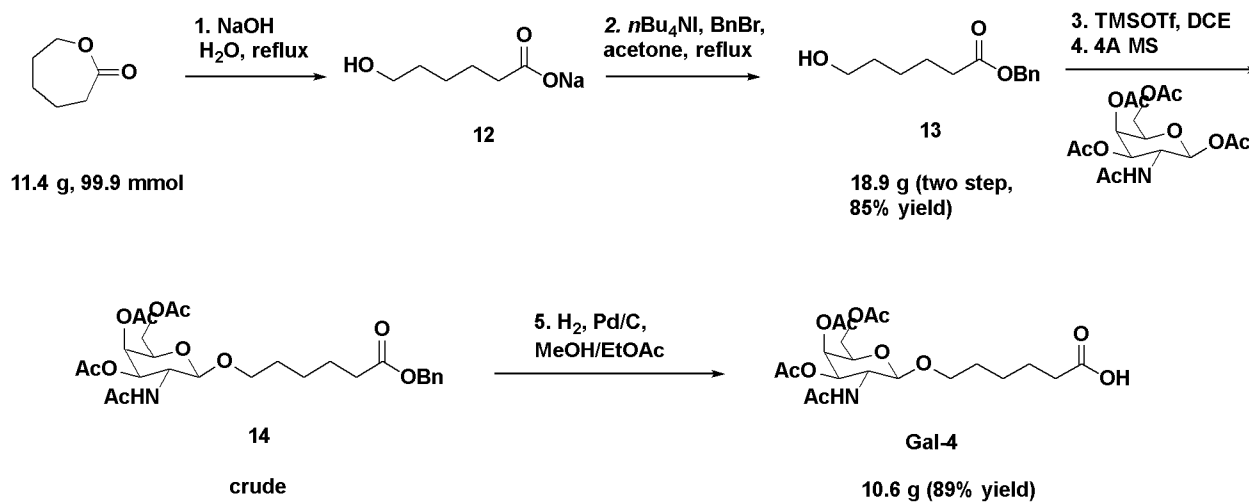
LCMS: (ESI) $m/z = 434.2 [M + H]^+$.

$^1\text{H NMR}$ (400 MHz, DMSO) δ 12.03 (br, 1H), 7.83 (d, $J = 9.2$ Hz, 1H), 5.75 (s, 1H), 5.21 (d, $J = 3.4$ Hz, 1H), 4.95 (dd, $J = 11.3, 3.4$ Hz, 1H), 4.47 (d, $J = 8.5$ Hz, 1H), 4.07 – 3.98 (m, 3H), 3.87 (dt, $J = 11.3, 8.9$ Hz, 1H), 3.70 (dt, $J = 10.0, 6.1$ Hz, 1H), 2.23 (t, $J = 7.4$ Hz, 2H), 2.10 (s, 3H), 1.99 (s, 3H), 1.89 (s, 3H), 1.77 (s, 3H), 1.72 – 1.64 (m, 2H).

Example 7: Synthesis of Gal-4

Gal-4: Synthesis of 6-(((2R,3R,4R,5R,6R)-3-acetamido-4,5-diacetoxy-6-(acetoxymethyl)tetrahydro-2H-pyran-2-yl)oxy)hexanoic acid (Gal-4)

The same procedure is used in the synthesis of **Gal-1**. An exemplary synthesis scheme is provided below. From 11.4 g (99.9 mmol) of oxepan-2-one, 10.6 g of **Gal-4** as white foam was obtained, the total yield was 23%.



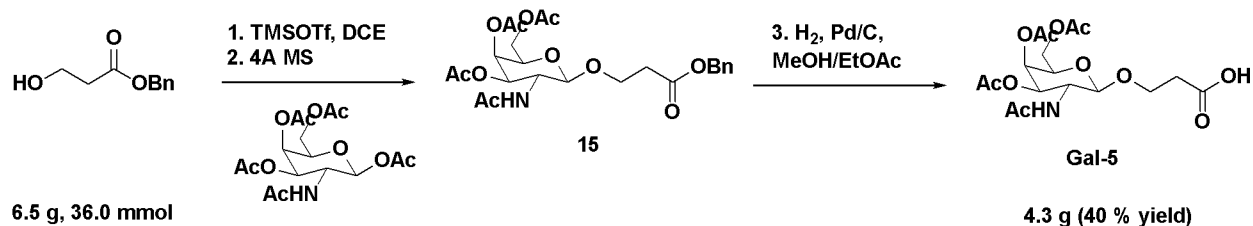
LCMS: (ESI) $m/z = 462.2 [M + H]^+$.

$^1\text{H NMR}$ (400 MHz, DMSO) δ 12.07 (br, 1H), 7.82 (d, $J = 9.2$ Hz, 1H), 5.21 (d, $J = 3.4$ Hz, 1H), 4.96 (dd, $J = 11.2, 3.4$ Hz, 1H), 4.48 (d, $J = 8.5$ Hz, 1H), 4.05 – 4.01 (m, 3H), 3.87 (dt, $J = 11.2, 8.9$ Hz, 1H), 3.69 (dt, $J = 9.9, 6.4$ Hz, 1H), 3.41 (dt, $J = 9.9, 6.4$ Hz, 1H), 2.18 (t, $J = 7.4$ Hz, 2H), 2.11 (s, 3H), 2.00 (s, 3H), 1.89 (s, 3H), 1.77 (s, 3H), 1.54 – 1.41 (m, 4H), 1.30 – 1.24 (m, 2H).

Example 8: Synthesis of Gal-5

Gal-5: Synthesis of 3-(((2R,3R,4R,5R,6R)-3-acetamido-4,5-diacetoxy-6-(acetoxymethyl)tetrahydro-2H-pyran-2-yl)oxy)propanoic acid (Gal-5)

The same procedure is used in the synthesis of Gal-1. An exemplary synthesis scheme is provided below. From 6.5 g (36 mmol) of benzyl 3-hydroxypropanoate, 4.3 g of Gal-5 as white foam was obtained, the total yield was 28.5%.



LCMS: (ESI) $m/z = 420.0 [M + H]^+$.

$^1\text{H NMR}$ (400 MHz, DMSO) δ 12.27 (br, 1H), 7.77 (d, $J = 9.2$ Hz, 1H), 5.21 (d, $J = 3.4$ Hz, 1H), 4.96 (dd, $J = 11.2, 3.4$ Hz, 1H), 4.53 (d, $J = 8.5$ Hz, 1H), 4.08 – 3.98 (m, 3H), 3.92 – 3.80 (m, 2H), 3.68 (dt, $J = 10.3, 6.4$ Hz, 1H), 2.46 (t, $J = 6.4$ Hz, 2H), 2.10 (s, 3H), 2.00 (s, 3H), 1.89 (s, 3H), 1.77 (s, 3H).

Example 9: Synthesis of 5-Fam-CPMB-0013

Step 1: Synthesis of CPMB-0013-A

An exemplary synthesis scheme for producing CPMB-0013A is provided in FIG. 2A.

To a solution of benzyl (6-(3-((2S,5S,11S,17S)-5,11,17-tris(4-aminobutyl)-3,6,9,12,15,18-hexaoxo-1,4,7,10,13,16-hexaazacyclooctadecan-2-yl)propanamido)hexyl)carbamate (CPMB-001) (898 mg, 747 μmol , 1.0 equiv.) in DMF (5 mL) was added EDCI·HCl (859 mg, 4.48 mmol,

6.0 equiv.), HOAt (610 mg, 4.48 mmol, 6.0 equiv.), DIPEA (1.17 mL, 6.72 mmol, 9.0 equiv.), 4-(((2*R*,3*R*,4*R*,5*R*,6*R*)-3-acetamido-4,5-diacetoxy-6-(acetoxymethyl)tetrahydro-2*H*-pyran-2-yl)oxy)butanoic acid (**Gal-3**) (1.07 g, 2.47 mmol, 3.3 equiv.) in order. The resulting solution was stirred at 25 °C overnight and monitored by LCMS. Upon completion, the solution was diluted with H₂O (15 mL), extracted with DCM (3 × 20 mL), dried over Na₂SO₄, filtered and concentrated in *vacuo*.
5 The residue was purified by reversed phase chromatography eluting with H₂O (0.01 % v/v TFA)/MeCN (95/5 to 5/95) to afford the compound **CPMB-0013-A** as white foam (1.22 g, 78% yield).

Purification method:

10 Mobile Phase: A: 0.05% TFA in water; B: 0.05% TFA in acetonitrile
Column: Waters XBridge Prep C18, 19 × 250 mm, 10 μm, 110 Å
Flow rate: 25 mL/min
Eluants: elution on a lineal density gradient of A/B = 71/29 – 61/39 (20 mins)
LCMS: (ESI) $m/z = 1053.3 [M/2 + H]^+$.

15

Step 2: Synthesis of 5-Fam-CPMB-0013

An exemplary synthesis scheme for producing **5-Fam-CPMB-0013** is provided in FIG. 2B.

To a solution of **CPMB-0013-A** (14.3 mg, 6.8 μmol, 1.0 equiv.) in MeOH (2.0 mL) was added Pd/C (4.0 mg, 10.0 %). The flask was evacuated and flushed 3 times with H₂. The resulting mixture was stirred for 16 h at 25 °C and monitored by LCMS. Upon completion, the mixture was filtered through a syringe equipped with a filter membrane (0.5 μm, NAVIGATOR®). To the filtrate was added a solution of NaOMe in MeOH (100 μL, 30.0 wt%, 5.4 M). The resulting solution was stirred for 20 min at 25 °C and monitored by LCMS. Upon completion, the solution was neutralized
25 by addition of acetic acid (31.0 μL). The solution was concentrated in *vacuo* and the residue was dissolved in saturated aqueous NaHCO₃ (1 mL) was diluted with H₂O (1.0 mL), then added 2,5-dioxopyrrolidin-1-yl 3',6'-dihydroxy-3-oxo-3*H*-spiro[isobenzofuran-1,9'-xanthene] -5-carboxylate (5FAM-OSu, 4.8 mg, 10.2 μmol, 1.5 equiv.). The flask was covered with the aluminum foil to prevent the solution from light. The resulting solution was stirred for 16 h at 25 °C and
30 monitored by LCMS. Upon completion, the solution was diluted with MeCN (2.0 mL) and purified

by prep-HPLC to afford **5-Fam-CPMB-0013** as orange solid (5.0 mg, 38 % yield).

Purification method:

Mobile Phase: A: 0.05% TFA in water; B: 0.05% TFA in acetonitrile

Column: Phenomenex Gemini C18, 21.2 × 250 mm, 10 μm, 110 Å

Flow rate: 25 mL/min

Eluants: elution on a lineal density gradient of A/B = 84/16 – 74/26 (20 mins)

LCMS: (ESI) $m/z = 976.2 [M + 2H]/2^+$.

HPLC: 97.57% (214 nm), RT = 12.776 min

Mobile Phase: A: Water(0.05%TFA) B: ACN(0.05%TFA)

Gradient: 5%B for 3 min, increase to 65%B within 20 min, increase to 95% within 2 min, hold for 5 min, back to 5%B within 0.1 min.

Flow Rate: 1.0 mL/min

Column: XBridge peptide BEH column C18, 4.6 × 150 mm, 3.5 μm, 130 Å

Column Temperature: 20 °C

Example 10: Synthesis of CPMB-0013

An exemplary synthesis scheme for producing **CPMB-0013** is provided in **FIG. 3**.

To a solution of **CPMB-0013-A** (695 mg, 0.33 mmol, 1.0 equiv.) in MeOH (20.0 mL) was added Pd/C (0.18 g, 10.0 %). The flask was evacuated and flushed 3 times with H₂. The resulting mixture was stirred for 16 h at 25 °C and monitored by LCMS. Upon completion, the mixture was filtered and concentrated. The residue was dissolved in 10 mL DMF and EDCI·HCl (94.8 mg, 0.49 mmol, 1.5 equiv.), HOAt (67.3 mg, 0.49 mmol, 1.5 equiv.), DIPEA (173 μL, 1 mmol, 3.0 equiv.), and 4-((2*S*,4*R*)-4-acetoxy-2-(acetoxymethyl)pyrrolidin-1-yl)-4-oxobutanoic acid (**4-((2*S*,4*R*)-4-acetoxy-2-(acetoxymethyl) pyrrolidin-1-yl)-4-oxobutanoic acid**: 119.2 mg, 0.39 mmol, 1.2 equiv.) were added in order. The reaction was stirred at rt for 3 h and monitored by LCMS. After the completion of reaction, the mixture was directly purified by reversed phase chromatography eluting with H₂O (0.01 % v/v TFA)/MeCN (95/5 to 5/95) to afford the compound **CPMB-0013-D** as white powder. (0.65 g, 87% yield).

The compound **CPMB-0013-D** (0.65 g, 0.29 mmol, 1.0 eq.) was dissolved in 20 mL MeOH

and a solution of NaOMe in MeOH (30 wt%, 500 μ L) was added. The solution was stirred at rt for 20 min and the LCMS indicated that the deacetylation process was completed. The acetic acid (155 μ L) was then added to the mixture to neutralize the solution. The mixture was diluted with water (15 mL) and purified with prep-HPLC eluting with H₂O (10 mmol NH₄OAc)/MeCN to afford 280 mg desired compound **CPMB-0013** as white foam. (54% yield)

Purification method:

Mobile Phase: A: 10 mmol NH₄OAc; B: ACN

Column: Waters XBridge Prep C18, 19 \times 250 mm, 10 μ m, 130 Å

Flow rate: 25 mL/min

Eluants: elution on a linear density gradient of A/B = 95/5 – 85/15 (20 mins), fraction at 20.92 min was collected and lyophilized

LCMS: (ESI) $m/z = 795.7 [(M-GalNAc)_2 + H]^+$

HPLC: 90.04% (214 nm), RT = 12.28 min

Mobile Phase: A: Water (0.01%TFA) B: ACN (0.01%TFA)

Gradient: 2%B for 4 min, increase to 32% B within 15 min, increase to 95% B within 3 min, hold for 5 min, back to 5% B within 0.1 min.

Flow Rate: 1 mL/min

Column: XBridge Peptide BEH C18, 4.6 \times 150 mm, 3.5 μ m, 130 Å

Column Temperature: 40 °C

Example 11: Synthesis of CPMB-0013-DMTr

An exemplary synthesis scheme for producing **CPMB-0013-DMTr** is provided in FIG. 4.

Step 1: Synthesis of CPMB-0013-F

To a solution of **CPMB-0013-A** (210 mg, 0.10 mmol, 1.0 equiv.) in MeOH (20.0 mL) was added Pd/C (50 mg, 10.0 %). The flask was evacuated and flushed 3 times with H₂. The resulting mixture was stirred for 16 h at 25 °C and monitored by LCMS. Upon completion, the mixture was filtered through a syringe equipped with a filter membrane (0.5 μ m, NAVIGATOR[®]). The residue was dissolved in 1 mL DMF and EDCI·HCl (28.6 mg, 0.15 mmol, 1.5 equiv.), HOAt (20.3 mg, 0.15 mmol, 1.5 equiv.), DIPEA (52 μ L, 0.30 mmol, 3.0 equiv.), and **Int-DMTr** (84.6 mg, 0.12

mmol, 1.2 equiv.) were added in order. The reaction was stirred at rt for 3 h and monitored by LCMS. After the completion of reaction, the mixture was directly purified by reversed phase chromatography eluting with H₂O (0.01 % v/v NH₄HCO₃)/MeCN (95/5 to 5/95) to afford the compound **CPMB-0013-F** as white powder. (177 mg, 67% yield).

5

Purification method:

Mobile Phase: A: 10 mmol NH₄OAc; B: ACN

Column: Waters XBridge Prep C18, 19 × 250 mm, 10 μm, 130Å

Flow rate: 25 mL/min

10 Eluants: elution on a lineal density gradient of A/B = 56/44 – 46/54 (20 mins),
fraction at 19.52 min with 100% was collected and lyophilized

LCMS: (ESI) $m/z = 1181.4 [(M-DMTr)/2 + H]^+$

Step 2: Synthesis of CPMB-0013-DMTr

To the solution of **CPMB-0013-F** in MeOH/EtOAc (20.0 mL, 1:1) was added Pd/C (40
15 mg, 10.0 %). The flask was evacuated and flushed 3 times with H₂. The suspension was stirred for
6 h at 25 °C and monitored by LCMS. Upon completion, the solution was filtered and concentrated
in *vacuo*. The residue was purified by prep-HPLC eluting with H₂O (0.01 % v/v NH₄HCO₃)/MeCN
(95/5 to 5/95) to afford 33 mg desired compound **CPMB-0013-DMTr** as white powder. (19 %
yield)

20 Purification method:

Mobile Phase: A: 10 mmol NH₄OAc; B: ACN

Column: Waters XBridge Prep C18, 19 × 250 mm, 10 μm, 130Å

Flow rate: 25 mL/min

25 Eluants: elution on a lineal density gradient of A/B = 68/32 – 58/42 (20 mins),
fraction at 15.65 min with 98.4% was collected and lyophilized

LCMS: (ESI) $m/z = 1285.6 [M/2 - H]^-$.

HPLC: > 99% (214 nm), RT = 10.58 min

Mobile Phase: A: Water (10mM NH₄HCO₃) B: ACN

30 Gradient: 5%B for 1 min, increase to 95% B within 20 min, increase to 95% B for
5 min, back to 5% B within 0.1 min.

Flow Rate: 1 mL/min

Column: XBridge Peptide BEH C18, 4.6 × 150 mm, 3.5 μm, 130 Å

Column Temperature: 40 °C

5 **Example 12: Synthesis of CPMB-0013-DTMr-PEG4-CPG resin**

Step 1: Synthesis of CPG-PEG4

An exemplary synthesis scheme for producing **CPG-PEG4** is provided in FIG. 5A.

Shake the CPG resin (488 mg, 35-50 μmol/g), 1-(9H-fluoren-9-yl)-3-oxo-2,7,10,13,16-pentaoxa-4-azaoctadecan-18-oic acid (FmocNH-PEG4-CH₂COOH) (23 mg, 48.8 μmol, 2.0 equiv.), DIPEA (17 μL, 97.6 μmol, 4.0 equiv.), HOBt (6.6 mg, 48.8 μmol, 2.0 equiv.) and HBTU (18.5 mg, 48.8 μmol, 2.0 equiv.) in DMF (2 mL) at room temperature for 24 h. Then wash the resin with DMF (3 mL × 3). Kaiser test negative. The resin was then washed with MTBE (5 mL × 3) and dried in *vacuo*. Loading test: take 6.1 mg, 8.0 mg, 12.0 mg CPG resin (m_{CPG}) respectively in 3 EP tubes and add 1 mL DBU (2% in DMF) in each tube, after 30 min, transfer 800 μL of supernatant in 25 mL volumetric flask and fill the flask with MeCN. The spectrophotometry allowed determining the absorbance of Fmoc group ($A_{\text{Avg}304 \text{ nm}}$): 0.069, 0.091, 0.138. Thus, the loading is $\text{Avg} \times 4.1 / m_{\text{CPG}} = 47 \mu\text{mol/g}$, >99% yield.

Step 2: Synthesis of CPG-PEG4-CPMB-0013-DTMr

20 An exemplary synthesis scheme for producing **CPG-PEG4-CPMB-0013-DTMr** is provided in FIG. 5B.

Shake the CPG-PEG4 resin (150 mg, 47 μmol/g), in 3 mL DBU (2% in DMF) for 1 h. Kaiser test indicated the completion of de-Fmoc process, then wash the resin with DMF (3 mL × 3). Shake the resin with **CPMB-0013-DTMr** (27 mg, 10.5 μmol, 1.5 equiv.), DIPEA (3.6 μL, 10.5 μmol, 3.0 equiv.), HOBt (1.4 mg, 10.5 μmol, 1.5 equiv.) and HBTU (4 mg, 10.5 μmol, 1.5 equiv.) in DMF (2 mL) at room temperature for 24 h. Then wash the resin with DMF (3 mL × 3). Charge the resin with pyridine/Ac₂O (1 mL/20 μL) and shake for 1 h. The resin was then washed with DMF (3 mL × 3) and MTBE (5 mL × 3) and dried in *vacuo*. Loading test: take 2.7 mg **CPG-PEG4-CPMB-0013-DTMr** resin, add 200 μL 1N HCl and 200 μL MeCN, LCMS: $S(\text{DMTr}^+) =$

30 691.54 mAU, loading= 32 μmol/g, 51.6 mg.

Example 13: Synthesis of 5-Fam-CPMB-0023*Step 1: Synthesis of CPMB-0023-A*

An exemplary synthesis scheme for producing **CPMB-0023-A** is provided in FIG. 6A.

To a solution of benzyl (6-(3-((2*R*,5*R*,11*R*,17*R*)-5,11,17-tris(4-aminobutyl)-3,6,9,12,15,18-hexaoxo-1,4,7,10,13,16-hexaazacyclooctadecan-2-yl)propanamido)hexyl) carbamate (**CPMB-002**) (904 mg, 752 μ mol, 1.0 equiv.) in DMF (5 mL) was added EDCI-HCl (865 mg, 4.51 mmol, 6.0 equiv.), HOAt (614 mg, 4.51 mmol, 6.0 equiv.), DIPEA (1.18 mL, 6.76 mmol, 9.0 equiv.), 4-(((2*R*,3*R*,4*R*,5*R*,6*R*)-3-acetamido-4,5-diacetoxy-6-(acetoxymethyl)tetrahydro-2*H*-pyran-2-yl)oxy) butanoic acid (**Gal-3**) (1.07 g, 2.48 mmol, 3.3 equiv.) in order. The resulting solution was stirred at 25 °C overnight and monitored by LCMS. Upon completion, the solution was diluted with H₂O (15 mL), extracted with DCM (3 \times 20 mL), dried over Na₂SO₄, filtered and concentrated in *vacuo*. The residue was purified by reversed phase chromatography eluting with H₂O (0.01 % v/v TFA)/MeCN (95/5 to 5/95) to afford the compound **CPMB-0023-A** as white foam (1.49 g, 94% yield).

Purification method:

Mobile Phase: A: 0.05% TFA in water; B: 0.05% TFA in acetonitrile

Column: Waters XBridge Prep C18, 19 \times 250 mm, 10 μ m, 110 Å

Flow rate: 25 mL/min

Eluants: elution on a lineal density gradient of A/B = 71/29 – 61/39 (20 mins),

fraction at 18.35 min was collected and lyophilized

LCMS: (ESI) $m/z = 1053.3 [M/2 + H]^+$.

Step 2: Synthesis of 5-Fam-CPMB-0023

An exemplary synthesis scheme for producing **5-Fam-CPMB-0023** is provided in FIG. 6B.

To a solution of **CPMB-0023-A** (17.0 mg, 8.1 μ mol, 1.0 equiv.) in MeOH (2.0 mL) was added Pd/C (4.0 mg, 10.0 %). The flask was evacuated and flushed 3 times with H₂. The resulting mixture was stirred for 16 h at 25 °C and monitored by LCMS. Upon completion, the mixture was filtered through a syringe equipped with a filter membrane (0.5 μ m, NAVIGATOR[®]). To the filtrate was added a solution of NaOMe in MeOH (100 μ L, 30.0 wt%, 5.4 M). The resulting solution was stirred for 20 min at 25 °C and monitored by LCMS. Upon completion, the solution was neutralized

by addition of acetic acid (31.0 μ L). The solution was concentrated in *vacuo* and the residue was dissolved in saturated aqueous NaHCO₃ (1 mL) was diluted with H₂O (1.0 mL), then added 2,5-dioxopyrrolidin-1-yl 3',6'-dihydroxy-3-oxo-3*H*-spiro[isobenzofuran-1,9'-xanthene]-5-carboxylate (5.7 mg, 12.1 μ mol, 1.5 equiv.). The flask was covered with the aluminum foil to prevent the solution from light. The resulting solution was stirred for 16 h at 25 °C and monitored by LCMS. Upon completion, the solution was diluted with MeCN (2.0 mL) and purified by prep-HPLC to afford **5-Fam-CPMB-0023** as orange solid (8.5 mg, 54 % yield).

Purification method:

Using TFA buffer: A: 0.05% TFA in water; B: 0.05% TFA in acetonitrile
Column: Phenomenex Gemini C18, 21.2 \times 250 mm, 10 μ m, 110 Å
Flow rate: 25 mL/min
Eluants: elution on a lineal density gradient of A/B = 83/17 – 73/27 (20 mins) ,
fraction at 17.85 min was collected and lyophilized
LCMS: (ESI) m/z = 976.7 [M/2 + H]⁺.
HPLC: 98.15% (214 nm), RT = 12.866 min
Mobile Phase: A: Water(0.01%TFA) B: ACN(0.01%TFA)
Gradient: 5%B for 3 min, increase to 65%B within 20 min, increase to 95%
within 2 min, hold for 5 min, back to 5%B within 0.1 min.
Flow Rate: 1.0 mL/min
Column: XBridge peptide BEH column C18, 4.6 \times 150 mm, 3.5 μ m, 130 Å
Column Temperature: 20 °C

Example 14: Synthesis of CPMB-0023

An exemplary synthesis scheme for producing CPMB-0023 is provided in **FIG. 7**.

Step 1: Synthesis of CPMB-0023-D

To a solution of **CPMB-0023-A** (294 mg, 0.14 mmol, 1.0 equiv.) in MeOH (10.0 mL) was added Pd/C (60 mg, 10.0 %). The flask was evacuated and flushed 3 times with H₂. The resulting mixture was stirred for 16 h at 25 °C and monitored by LCMS. Upon completion, the mixture was filtered and concentrated. The residue was dissolved in 2 mL DMF and EDCI·HCl (38.8 mg, 0.20

mmol, 1.5 equiv.), HOAt (27.2 mg, 0.20 mmol, 1.5 equiv.), DIPEA (70 μ L, 0.41 mmol, 3.0 equiv.), and 4-((2*S*,4*R*)-4-acetoxy-2-(acetoxymethyl)pyrrolidin-1-yl)-4-oxobutanoic acid (48.8 mg, 0.16 mmol, 1.2 equiv.) were added in order. The reaction was stirred at rt for 3 h and monitored by LCMS. After the completion of reaction, the mixture was directly purified by reversed phase chromatography eluting with H₂O (0.01 % v/v TFA)/MeCN (95/5 to 5/95) to afford the compound **CPMB-0023-D** as white powder. (303 mg, 96% yield). LCMS: (ESI) $m/z = 1128.2$ [M/2 + H]⁺.

Step 2: Synthesis of CPMB-0023

The compound **CPMB-0023-D** (303 mg, 0.13 mmol, 1.0 eq.) was dissolved in 5 mL MeOH and a solution of NaOMe in MeOH (30 wt%, 200 μ L) was added. The solution was stirred at rt for 20 min and the LCMS indicated that the deacetylation process was completed. The acetic acid (62 μ L) was then added to the mixture to neutralize the solution. The mixture was diluted with water (15 mL) and purified with prep-HPLC eluting with H₂O (10 mmol NH₄OAc)/MeCN to afford 98 mg desired compound **CPMB-0023** as white foam. (42% yield)

Purification method:

Using TFA buffer: A: 0.05% TFA in water; B: 0.05% TFA in acetonitrile

Column: Welch Topsil C18, 21.1 \times 250 mm, 5 μ m, 150 \AA

Flow rate: 25 mL/min

Eluants: elution on a lineal density gradient of A/B = 95/5 – 85/15 (20 mins) ,

fraction at 20.77 min was collected and lyophilized

LCMS: (ESI) $m/z = 795.6$ [(M-GalNAc)/2 + H]⁺.

HPLC: 93.66% (214 nm), RT = 14.31 min

Mobile Phase: A: 0.05% TFA in Water B: 0.05% TFA in ACN

Gradient: 2%B for 3 min, increase to 32%B within 20 min, increase to 95%B within 1 min, hold for 6 min, back to 2%B within 0.1 min.

Flow Rate: 1.0 mL/min

Column: XBridge Peptide BEH column C8, 4.6 \times 50 mm, 3.5 μ m, 130 \AA

Column Temperature: 45 $^{\circ}$ C

Example 15: Synthesis of CPMB-0023-DMTr

An exemplary synthesis scheme for producing **CPMB-0023-DMTr** is provided in FIG. 8.

Step 1: Synthesis of CPMB-0023-F

To a solution of **CPMB-0023-A** (206 mg, 0.10 mmol, 1.0 equiv.) in MeOH (20.0 mL) was added Pd/C (50 mg, 10.0 %). The flask was evacuated and flushed 3 times with H₂. The resulting mixture was stirred for 16 h at 25 °C and monitored by LCMS. Upon completion, the mixture was filtered through a syringe equipped with a filter membrane (0.5 μm, NAVIGATOR®). The residue was dissolved in 1 mL DMF and EDCI·HCl (28.1 mg, 0.15 mmol, 1.5 equiv.), HOAt (20.0 mg, 0.15 mmol, 1.5 equiv.), DIPEA (52 μL, 0.30 mmol, 3.0 equiv.), and **Int-DMTr** (83.4 mg, 0.12 mmol, 1.2 equiv.) were added in order. The reaction was stirred at rt for 3 h and monitored by LCMS. After the completion of reaction, the mixture was directly purified by reversed phase chromatography eluting with H₂O (0.01 % v/v NH₄HCO₃)/MeCN (95/5 to 5/95) to afford the compound **CPMB-0023-F** as white powder. (114 mg, 44% yield)

Purification method:

Mobile Phase: A: 10 mmol NH₄OAc; B: ACN

Column: Waters XBridge Prep C18, 19 × 250 mm, 10 μm, 130Å

Flow rate: 25 mL/min

Eluants: elution on a lineal density gradient of A/B = 56/44 – 46/54 (20 mins), fraction at 19.22 min with 100% was collected and lyophilized

LCMS: (ESI) $m/z = 1181.4 [(M-DMTr)/2 + H]^+$.

Step 2: Synthesis of CPMB-0023-DMTr

To the solution of **CPMB-0023-F** (114 mg, 0.043 mmol, 1.0 eq.) in MeOH/EtOAc (20.0 mL, 1:1) was added Pd/C (30 mg, 10.0 %). The flask was evacuated and flushed 3 times with H₂. The suspension was stirred for 6 h at 25 °C and monitored by LCMS. Upon completion, the solution was filtered and concentrated in *vacuo*. The residue was purified by prep-HPLC eluting with H₂O (0.01 % v/v NH₄HCO₃)/MeCN (95/5 to 5/95) to afford 79 mg desired compound **CPMB-0023-DMTr** as white powder. (72 % yield)

Purification method:

Mobile Phase: A: 10 mmol NH₄OAc; B: ACN

Column: Waters XBridge Prep C18, 19 × 250 mm, 10 μm, 130Å

Flow rate: 25 mL/min

Eluants: elution on a lineal density gradient of A/B = 70/30 – 60/40 (20 mins), fraction at 19.22 min with 99.1% was collected and lyophilized

5 LCMS: (ESI) $m/z = 1285.3 [M/2 - H]^+$.
HPLC: > 99% (214 nm), RT = 10.56 min
Mobile Phase: A: Water (10 mM NH_4HCO_3) B: ACN
Gradient: 5%B for 1 min, increase to 95% B within 20 min, increase to 95% B for 5 min, back to 5% B within 0.1 min.
10 Flow Rate: 1 mL/min
Column: XBridge Peptide BEH C18, 4.6×150 mm, $3.5 \mu m$, 130 \AA
Column Temperature: 45 °C

Example 16: Synthesis of CPMB-0023-DMTr-PEG4-CPG resin

15 An exemplary synthesis scheme for producing **CPMB-0023-DMTr-PEG4-CPG resin** is provided in **FIG. 9**.

Shake the CPG-PEG4 resin (100 mg, $47 \mu mol/g$), in 3 mL DBU (2% in DMF) for 1h. Kaiser test indicated the completion of de-Fmoc process, then wash the resin with DMF ($3 mL \times 3$). Shake the resin with **CPMB-0023-DMTr** (18 mg, $7 \mu mol$, 1.5 equiv.), DIPEA (2.4 μL , $7 \mu mol$, 3.0 equiv.), HOBt (0.9 mg, $7 \mu mol$, 1.5 equiv.) and HBTU (2.7 mg, $7 \mu mol$, 1.5 equiv.) in DMF (2
20 mL) at room temperature for 24h. Then wash the resin with DMF ($3 mL \times 3$). Charge the resin with pyridine/ Ac_2O (1 mL/ $20 \mu L$) and shake for 1 h. The resin was then washed with DMF ($3 mL \times 3$) and MTBE ($5 mL \times 3$) and dried in *vacuo*. Loading test: take 1.5 mg **CPG-PEG4-CPMB-0023-DMTr** resin, add 100 μL 1N HCl and 100 μL MeCN, LCMS: $S(DMTr^+) = 785.43$ mAU,
25 loading= $31 \mu mol/g$, 69 mg.

Example 17: Cellular Uptake of Cyclic Peptide Conjugated with Tri-GalNAc

The human hepatocellular carcinoma (HepG2) cell line was purchased from Shanghai Zhong Qiao Xin Zhou Biotechnology Co., Ltd. and maintained in minimum essential medium
30 (Gibco, ThermoFisher Scientific, USA) with 10% fetal bovine serum (Gibco, ThermoFisher Scientific, USA), 200 units/mL penicillin plus 200 units/ mL streptomycin at 37 °C with 5% CO_2 .

Cells were seeded in 24-well plates with a density of 1.5×10^5 cells per well and incubated under 37°C, 5% CO₂. After 18 h of incubation, media were replaced to MEM with 2% FBS and FAM-labeled ligands were added with final concentrations of 1.6, 8, 40 or 200 nM. After 24 h of incubation, cells were washed twice with 1× PBS and analyzed by LSRFortessa (BD Biosciences, NJ, USA). The binding efficiency was evaluated by the proportion of FAM positive cells.

Table 4 below shows the percentage of ligand-bound HepG2 cells. HepG2 cell was treated by 14 cyclic peptide-tri-GalNAc ligand variants with serial diluted concentration of 1.6, 8, 40 or 200 nM. Commercialized tri-GalNAc used in Givosirna was used as positive control and cyclic peptide w/o tri-GalNAc was negative control. Among 14 variants, 5-FAM-CPMB-0013 (ID013), 5-FAM-CPMB-0023 (ID023) and 5-FAM-CPMB-0035 (ID035) had relatively higher binding ability as compared to others and commercialized tri-GalNAc. Equilibrium dissociation constant (kd) for 5-FAM-CPMB-0013 (ID013), 5-FAM-CPMB-0023 (ID023), 5-FAM-CPMB-0035 (ID035) and commercialized tri-GalNAC were 7.0, 8.6, 5.7 and 18nM, respectively.

Table 4. Efficiency of Cellular Uptake of Cyclic Peptide-Tri-GalNAc Conjugates

		200 nM	40 nM	8 nM	1.6 nM
	Compounds	Efficiency (%)			
New design samples	5-FAM-CPMB-0011 (011)	94.2	67.7	20.1	3.0
	5-FAM-CPMB-0012 (012)	95.9	74.2	19.6	1.2
	5-FAM-CPMB-0013 (013)	98.6	90.7	54.6	10.5
	5-FAM-CPMB-0014 (014)	96.5	71.0	24.4	2.3
	5-FAM-CPMB-0015 (015)	99	89.6	36.3	16.3
	5-FAM-CPMB-0021 (021)	98.8	88.7	46.1	6.8
	5-FAM-CPMB-0023 (023)	99.2	91.2	47.2	6.2
	5-FAM-CPMB-0025 (025)	98.2	83.1	30	1.6
	5-FAM-CPMB-0031 (031)	98.6	87.8	44.2	6.0
	5-FAM-CPMB-0033 (033)	98.2	82.9	34.5	5.3
	5-FAM-CPMB-0034 (034)	95.6	73.6	25.6	2.0
	5-FAM-CPMB-0035 (035)	99.5	95.2	63.2	11.1
	5-FAM-CPMB-0311 (0311)	93.3	68.8	20.8	3.1
	5-FAM-CPMB-0313 (0313)	95.1	73.2	24.6	3.0
Positive control	Commercialized tri-GalNAc	96.2	73.2	27.3	2.5
Negative control	5-FAM-CPMB-0031-Ac (031-Ac)	0.4	0.2	0.1	0.1

Example 18: Stability Assay of Cyclic Peptide Conjugated with Tri-GalNAc

The cytotoxicity effect of cyclic peptide-tri-GalNAc were evaluated with the viability of

HepG2 cells and analyzed by Cell Counting Kit-8 (CCK-8) (Dojindo Laboratories, Japan). The assay was performed according to manufacturer's protocol. Briefly, HepG2 were seeded in 96-well plates with a density of 6×10^4 cells per well and incubated at 37°C with 5% CO₂. As cells reached 70% confluence, medium was changed to MEM with 2% FBS and serial diluted cyclic peptide-tri-GalNAc with final concentration of 50, 25, 12.5, 6.25, 3.125 and 1.5625 μ M were treated. After 24 h of treatment, 10 μ L of CCK-8 solution was added to each well, and incubated for 1~4 h at 37°C. The absorbance at 450 nm was measured by microplate reader (Thermo scientific, Multiskan sky, MA, USA). The survival ratio of cells treated with ID013, ID023 and commercialized tri-GalNAc are from 97~100%.

FAM-labeled tri-GalNAc ligands were prepared with sterile water with final concentration of 200 nM. 10 μ l of ligands was mixed with 90 μ l of human plasma and incubated at 37°C for 0, 24, 48, 72 h. At the end of incubations, each mixture was added 300 μ l of methanol to quench the reaction. The quenched samples were centrifuged at $20,000 \times g$ for 5 min and the supernatant was further filtered with 0.45 μ m filters (Merck Millipore) to remove debris. The filtered samples were measured by UPLC-FLR detector (Waters, MA, USA).

FIG. 10 shows the result of stability assay of 5-FAM-CPMB-0013 (ID013), 5-FAM-CPMB-0023 (ID023) and commercialized tri-GalNAc. 5-FAM-CPMB-0013 (ID013), 5-FAM-CPMB-0023 (ID023) and commercialized tri-GalNAc were incubated with 90% of human plasma for different time points. The amount of each ligand was analyzed and quantified by UPLC system. According to the data, 5-FAM-CPMB-0013 (ID013), 5-FAM-CPMB-0023 (ID023) and commercialized tri-GalNAc are all highly stable within 6 h and then gradually decreased afterward. After 72h of incubation, remaining amount of 5-FAM-CPMB-0013 (ID013), 5-FAM-CPMB-0023 (ID023) and commercialized tri-GalNAc were 92.7%, 85.8% and 79.1%, respectively.

Example 19: Endosomal Escape Assay of Cyclic Peptide Conjugated with Tri-GalNAc

HepG2 cells were seeded in 100 mm culture dish with a density of 1×10^7 cells/10 ml and incubated at 37°C with 5% CO₂. After 18 h of incubation, the culture medium was changed to MEM with 2% FBS contained 1 μ M final concentration of FAM- labeled ligands. After 3 days of treatment, the endosome and cytosol fractions were separated by endosome isolation and cell fractionation kit (ED-028, Invent Biotechnologies, USA) according to manufacturer's protocol. Briefly, 1.5×10^5 cells were collected and washed in cold PBS. After centrifugation, the

supernatant was removed completely, and the pellet was resuspended with 500 μ l of buffer A. Then, the cell suspension was transferred to a filter cartridge, centrifuged at $16,000 \times g$ for 30 s. The filtrate and the pellet were mixed thoroughly by 10 s vortex, and centrifuged at $700 \times g$ for 3 min to sediment undesired nuclei and intact cells. The supernatant was transferred to a fresh tube and further centrifuged at $16,000 \times g$ for 1 h at 4°C to sediment unwanted larger organelles and plasma membranes in pellet. The supernatant was transferred to a new tube, mixed with buffer B in a ratio of 1:1 and incubated at 4°C overnight. The mixture was centrifuged at $10,000 \times g$ for 30 min at 4°C . The supernatant was the cytosol fraction and the pellet was dissolved in buffer as endosome fraction. The fluorescence intensity of cytosol and endosome fractions were determined by Synergy H1 microplate reader (BioTek, VT, USA) at excitation 490 nm and emission 520 nm.

Cells were incubated with 5-FAM-CPMB-0013 (ID013), 5-FAM-CPMB-0023 (ID023), and commercialized tri-GalNAC, and further partitioned into endosome and cytosol fractions. 5-FAM-CPMB-0013 (ID013) and 5-FAM-CPMB-0023 (ID023) were 1.2- and 1.6- fold higher fluorescence intensity than commercialized tri-GalNAC in cytosolic fractions. ID013 and ID023 were 0.7- and 0.5- fold less fluorescence intensity than commercialized tri-GalNAC in endosome fractions, supported that there was more 5-FAM-CPMB-0013 (ID013) or 5-FAM-CPMB-0023 (ID023) released from endosome to cytosol (See **FIG. 11**). Thus, new developed cyclic peptide-tri-GalNAc have a better capability for endosomal escape.

OTHER EMBODIMENTS

All of the features disclosed in this specification may be combined in any combination. Each feature disclosed in this specification may be replaced by an alternative feature serving the same, equivalent, or similar purpose. Thus, unless expressly stated otherwise, each feature disclosed is only an example of a generic series of equivalent or similar features.

From the above description, one skilled in the art can easily ascertain the essential characteristics of the present invention, and without departing from the spirit and scope thereof, can make various changes and modifications of the invention to adapt it to various usages and conditions. Thus, other embodiments are also within the claims.

EQUIVALENTS

While several inventive embodiments have been described and illustrated herein, those of ordinary skill in the art will readily envision a variety of other means and/or structures for performing the function and/or obtaining the results and/or one or more of the advantages described herein, and each of such variations and/or modifications is deemed to be within the scope of the inventive embodiments described herein. More generally, those skilled in the art will readily appreciate that all parameters, dimensions, materials, and configurations described herein are meant to be exemplary and that the actual parameters, dimensions, materials, and/or configurations will depend upon the specific application or applications for which the inventive teachings is/are used. Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific inventive embodiments described herein. It is, therefore, to be understood that the foregoing embodiments are presented by way of example only and that, within the scope of the appended claims and equivalents thereto, inventive embodiments may be practiced otherwise than as specifically described and claimed. Inventive embodiments of the present disclosure are directed to each individual feature, system, article, material, kit, and/or method described herein. In addition, any combination of two or more such features, systems, articles, materials, kits, and/or methods, if such features, systems, articles, materials, kits, and/or methods are not mutually inconsistent, is included within the inventive scope of the present disclosure.

All definitions, as defined and used herein, should be understood to control over dictionary definitions, definitions in documents incorporated by reference, and/or ordinary meanings of the defined terms.

All references, patents and patent applications disclosed herein are incorporated by reference with respect to the subject matter for which each is cited, which in some cases may encompass the entirety of the document.

The indefinite articles “a” and “an,” as used herein in the specification and in the claims, unless clearly indicated to the contrary, should be understood to mean “at least one.”

The phrase “and/or,” as used herein in the specification and in the claims, should be understood to mean “either or both” of the elements so conjoined, i.e., elements that are conjunctively present in some cases and disjunctively present in other cases. Multiple elements listed with “and/or” should be construed in the same fashion, i.e., “one or more” of the elements so conjoined. Other elements may optionally be present other than the elements specifically

identified by the “and/or” clause, whether related or unrelated to those elements specifically identified. Thus, as a non-limiting example, a reference to “A and/or B”, when used in conjunction with open-ended language such as “comprising” can refer, in one embodiment, to A only (optionally including elements other than B); in another embodiment, to B only (optionally including elements other than A); in yet another embodiment, to both A and B (optionally including other elements); etc.

As used herein in the specification and in the claims, “or” should be understood to have the same meaning as “and/or” as defined above. For example, when separating items in a list, “or” or “and/or” shall be interpreted as being inclusive, i.e., the inclusion of at least one, but also including more than one, of a number or list of elements, and, optionally, additional unlisted items. Only terms clearly indicated to the contrary, such as “only one of” or “exactly one of,” or, when used in the claims, “consisting of,” will refer to the inclusion of exactly one element of a number or list of elements. In general, the term “or” as used herein shall only be interpreted as indicating exclusive alternatives (i.e. “one or the other but not both”) when preceded by terms of exclusivity, such as “either,” “one of,” “only one of,” or “exactly one of.” “Consisting essentially of,” when used in the claims, shall have its ordinary meaning as used in the field of patent law.

As used herein in the specification and in the claims, the phrase “at least one,” in reference to a list of one or more elements, should be understood to mean at least one element selected from any one or more of the elements in the list of elements, but not necessarily including at least one of each and every element specifically listed within the list of elements and not excluding any combinations of elements in the list of elements. This definition also allows that elements may optionally be present other than the elements specifically identified within the list of elements to which the phrase “at least one” refers, whether related or unrelated to those elements specifically identified. Thus, as a non-limiting example, “at least one of A and B” (or, equivalently, “at least one of A or B,” or, equivalently “at least one of A and/or B”) can refer, in one embodiment, to at least one, optionally including more than one, A, with no B present (and optionally including elements other than B); in another embodiment, to at least one, optionally including more than one, B, with no A present (and optionally including elements other than A); in yet another embodiment, to at least one, optionally including more than one, A, and at least one, optionally including more than one, B (and optionally including other elements); etc.

It should also be understood that, unless clearly indicated to the contrary, in any methods claimed herein that include more than one step or act, the order of the steps or acts of the method is not necessarily limited to the order in which the steps or acts of the method are recited.

CLAIMS

What Is Claimed Is:

1. A conjugate, comprising a cyclic peptide scaffold and one or more *N*-
5 acetylgalactosamine (GalNAc) moieties,
wherein the cyclic peptide scaffold has 4-10, optionally 4-8, amino acid residues,
which comprise Glu, Asp, Lys, Arg, or a combination thereof; and
wherein each of the GalNAc moieties is covalently bound to the cyclic peptide
scaffold via a first linker.
10
2. The conjugate of claim 1, further comprising an agent, wherein the agent is
covalently bound to the cyclic peptide scaffold *via* a second linker.
3. The conjugate of claim 1 or claim 2, wherein the cyclic peptide scaffold has 6
15 amino acids.
4. The conjugate of any one of claims 1-3, wherein the cyclic peptide scaffold
comprises at least one Glu residue and at least one Lys residue.
- 20 5. The conjugate of claim 4, wherein each first linker is covalently bound to the at
least one Lys residue.
6. The conjugate of claim 4 or claim 5, wherein the second linker is covalently
bound to the at least one Glu residue.
25
7. The conjugate of any one of claims 1-6, wherein the cyclic peptide scaffold
further comprises Gly, Ala, and/or Val.
8. The conjugate of any one of claims 1-7, wherein the cyclic peptide scaffold has
30 the amino acid sequence of

(a) Lys-Glu-Lys-Gly-Lys-Gly (SEQ ID NO: 5), or

(b) Lys-Glu-Lys-Ala-Lys-Ala (SEQ ID NO: 6).

9. The conjugate of claim 8, wherein one or more amino acid residues in the cyclic peptide scaffold is in D form.

5

10. The conjugate of claim 1, wherein the cyclic peptide scaffold is selected from the group consisting of CPS-001, CPS-002, CPS-003, and CPS-031, or a functional equivalent thereof; optionally wherein the cyclic peptide scaffold is CPS-001, CPS-002, CPS-003, or CPS-031.

10

11. The conjugate of any one of claims 1-10, wherein each first linker comprises a linear chain having 3-8 atoms.

15

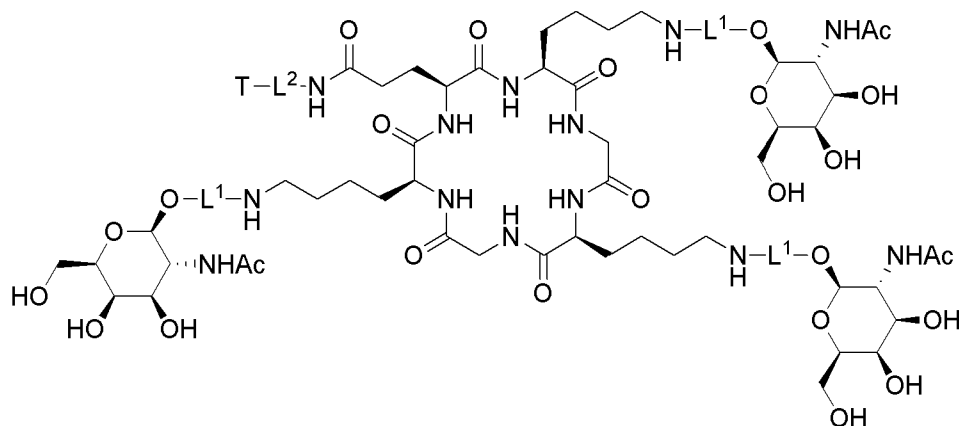
12. The conjugate of claim 11, wherein the 3-8 atoms comprise C, O, or a combination thereof.

13. The conjugate of claim 12, wherein the first linker is the linker in Gal-1, Gal-2, Gal-3, Gal-4, or Gal-5.

20

14. The conjugate of any one of claims 2-13, wherein the second linker is a lipid linker, a polyethylene glycol (PEG) linker, or an alkyl amine linker.

15. The conjugate of any one of claims 2-14, which has the structure of Formula (I):



(I)

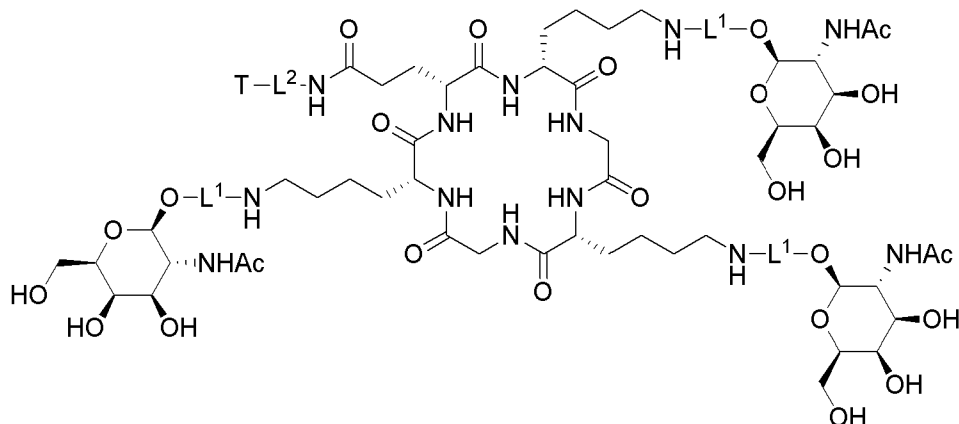
wherein:

T is the agent;

5 L^1 is the first linker, wherein the first linker is the linker in Gal-1, Gal-2, Gal-3, Gal-4, or Gal-5; and

 L^2 is the second linker.

16. The conjugate of any one of claims 2-14, which has a structure of Formula (II):



10

(II)

wherein:

T is the agent;

15 L^1 is the first linker, wherein the first linker is the linker in Gal-1, Gal-2, Gal-3, Gal-4, or Gal-5; and

 L^2 is the second linker.

17. The conjugate of any one of claims 1-14, wherein the cyclic peptide scaffold has the amino acid sequence of Lys-Glu-Lys- β Ala-Lys- β Ala (SEQ ID NO: 7).

5 18. The conjugate of claim 2, which is selected from the group consisting of 5-FAM-CPMB-0011, 5-FAM-CPMB-0012, 5-FAM-CPMB-0013, 5-FAM-CPMB-0014, 5-FAM-CPMB-0015, 5-FAM-CPMB-0021, 5-FAM-CPMB-0023, 5-FAM-CPMB-0025, 5-FAM-CPMB-0031, 5-FAM-CPMB-0033, 5-FAM-CPMB-0034, 5-FAM-CPMB-0035, 5-FAM-CPMB-0311, 5-FAM-CPMB-0313, CPMB-0013, CPMB-0023, CPMB-0013-DOTMr,
10 and CPMB-0023-DOTMr.

19. The conjugate of any one of claims 2-18, wherein the agent is a diagnostic agent or a therapeutic agent.

15 20. The conjugate of any one of claims 2-19, wherein the agent is a small molecule or a nucleic acid.

21. The conjugate of claim 20, wherein the agent is the nucleic acid, which is an siRNA or a nucleic acid aptamer.

20

22. A pharmaceutical composition, comprising the conjugate of any one of claims 1-21 and a pharmaceutically acceptable excipient.

23. A method of delivering an agent to liver cells, comprising contacting liver cells
25 with a conjugate of any one of claims 2-21, or the composition of claim 22.

24. The method of claim 23, wherein the contacting step comprises administering the conjugate or the composition to a subject in need thereof.

30 25. The method of claim 23, further comprising administering to a subject in need thereof the liver cells after being contacted with the conjugate or composition.

26. A method for treating a liver disease, comprising administering to a subject in need thereof an effective amount of the conjugate of any one of claims 2-21 or the composition of claim 22.

FIG. 1

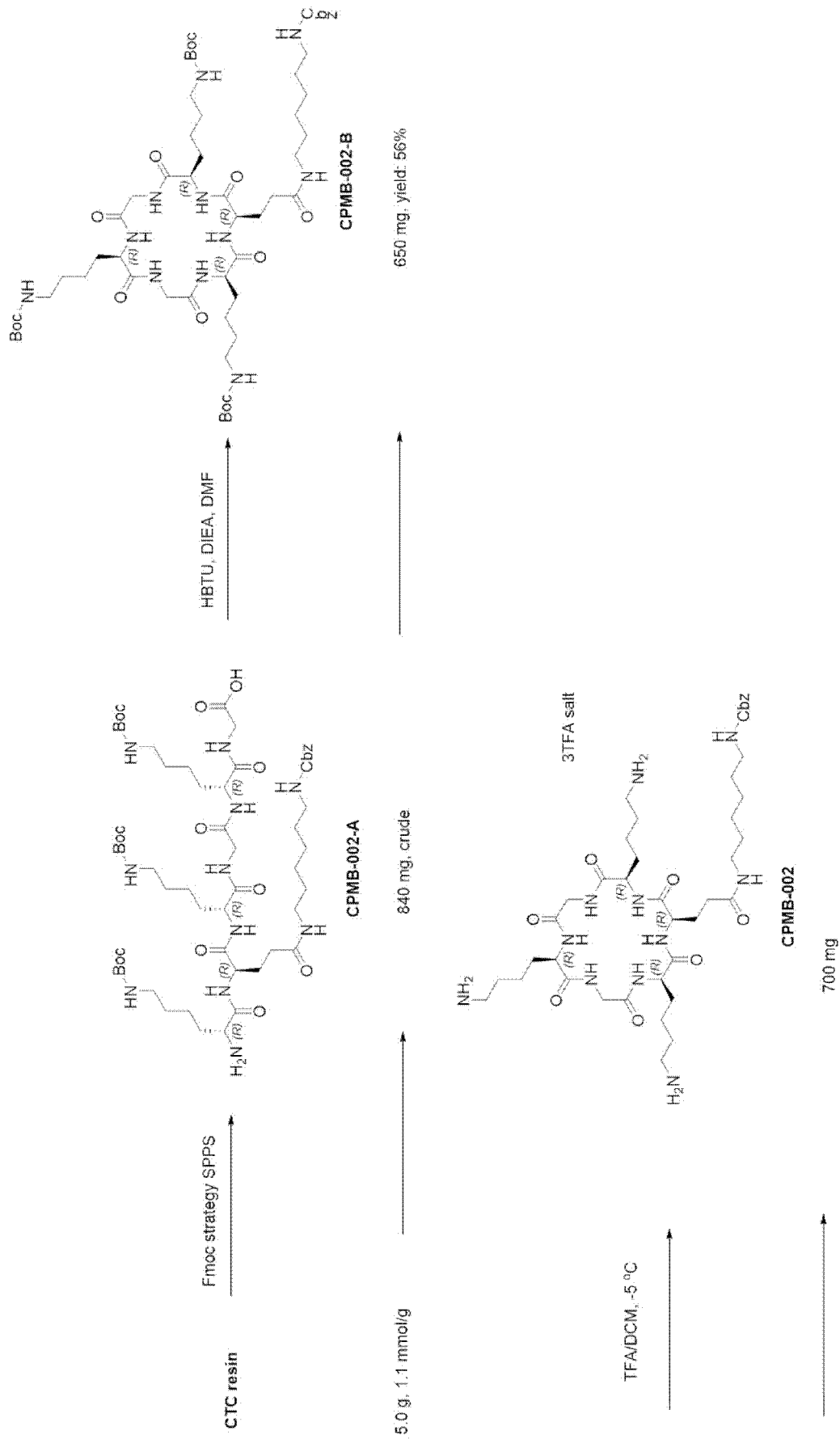


FIG. 2A

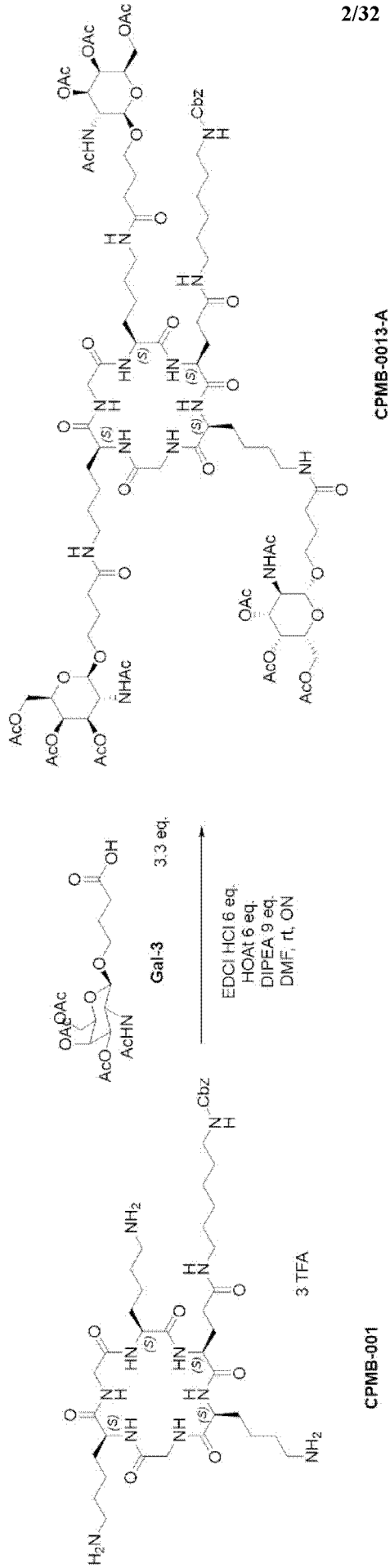


FIG. 2B

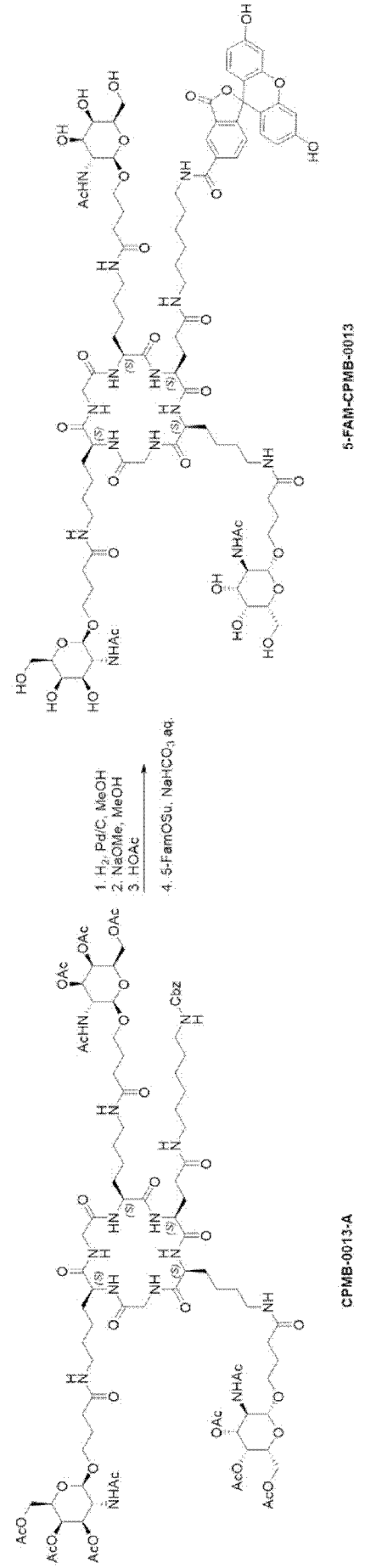


FIG. 3

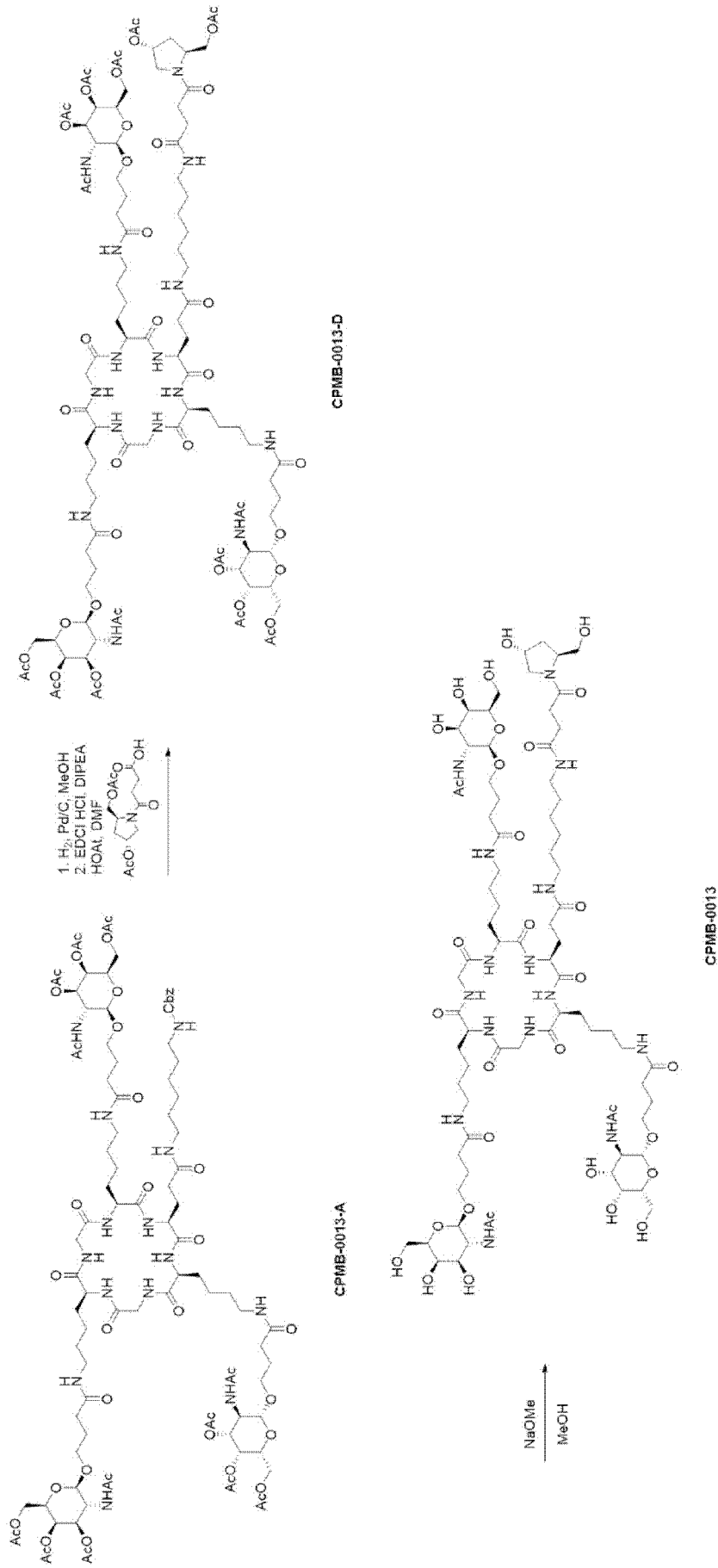


FIG. 4

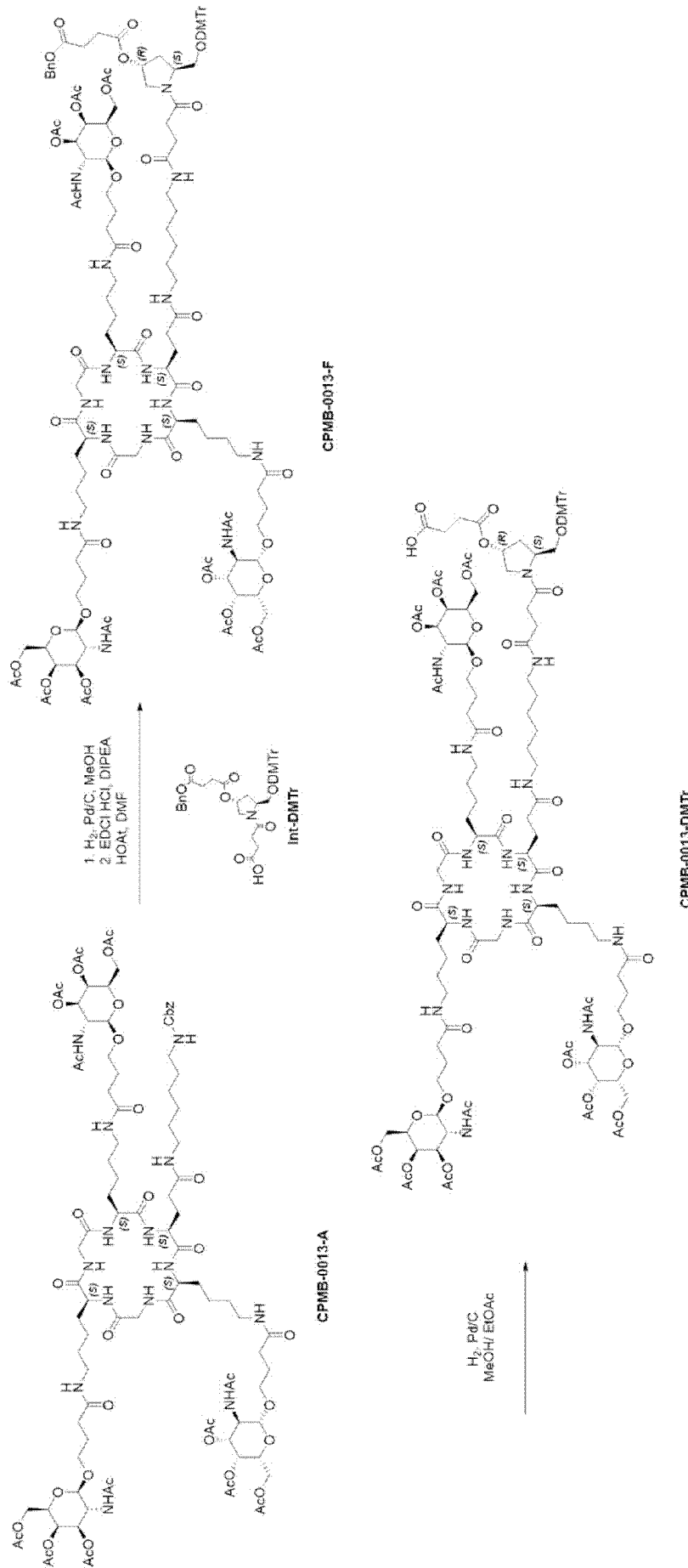


FIG. 5A

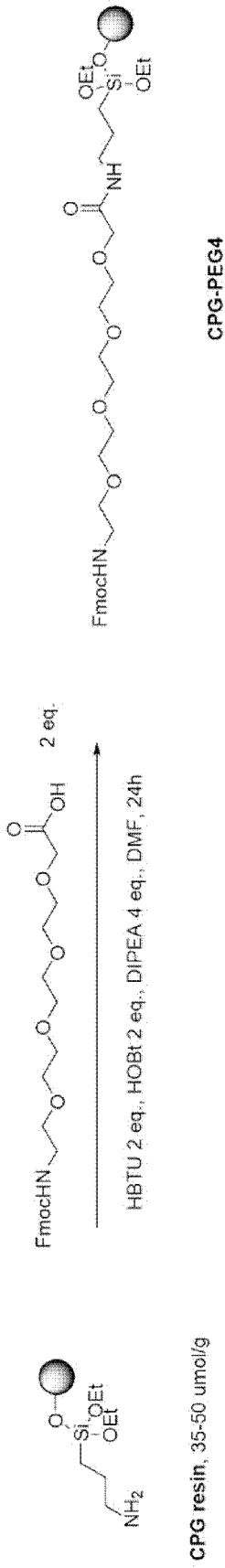


FIG. 5B

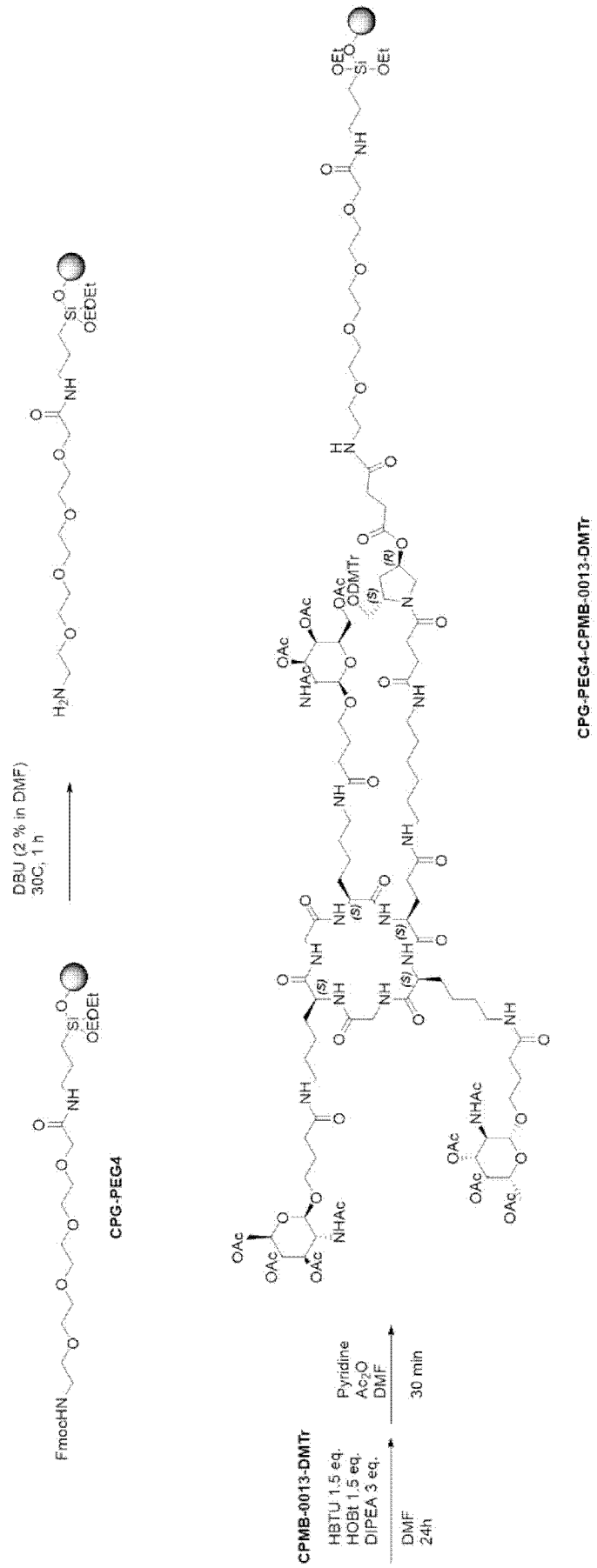


FIG. 6A

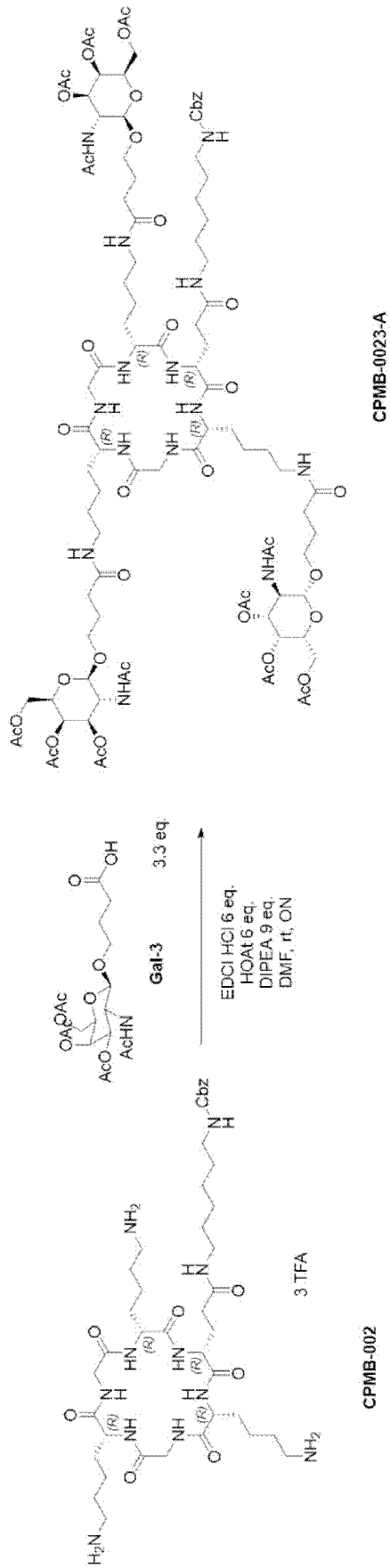


FIG. 6B

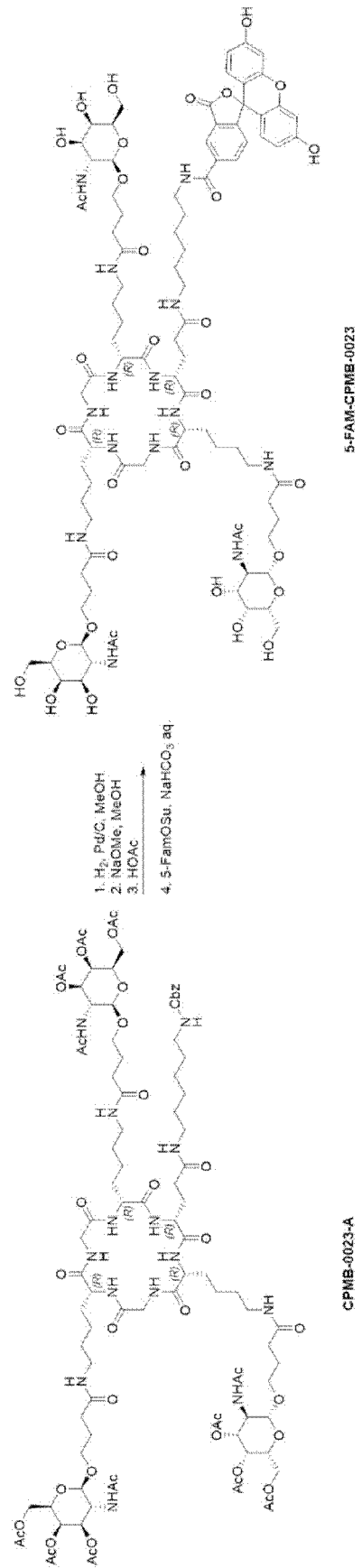


FIG. 7

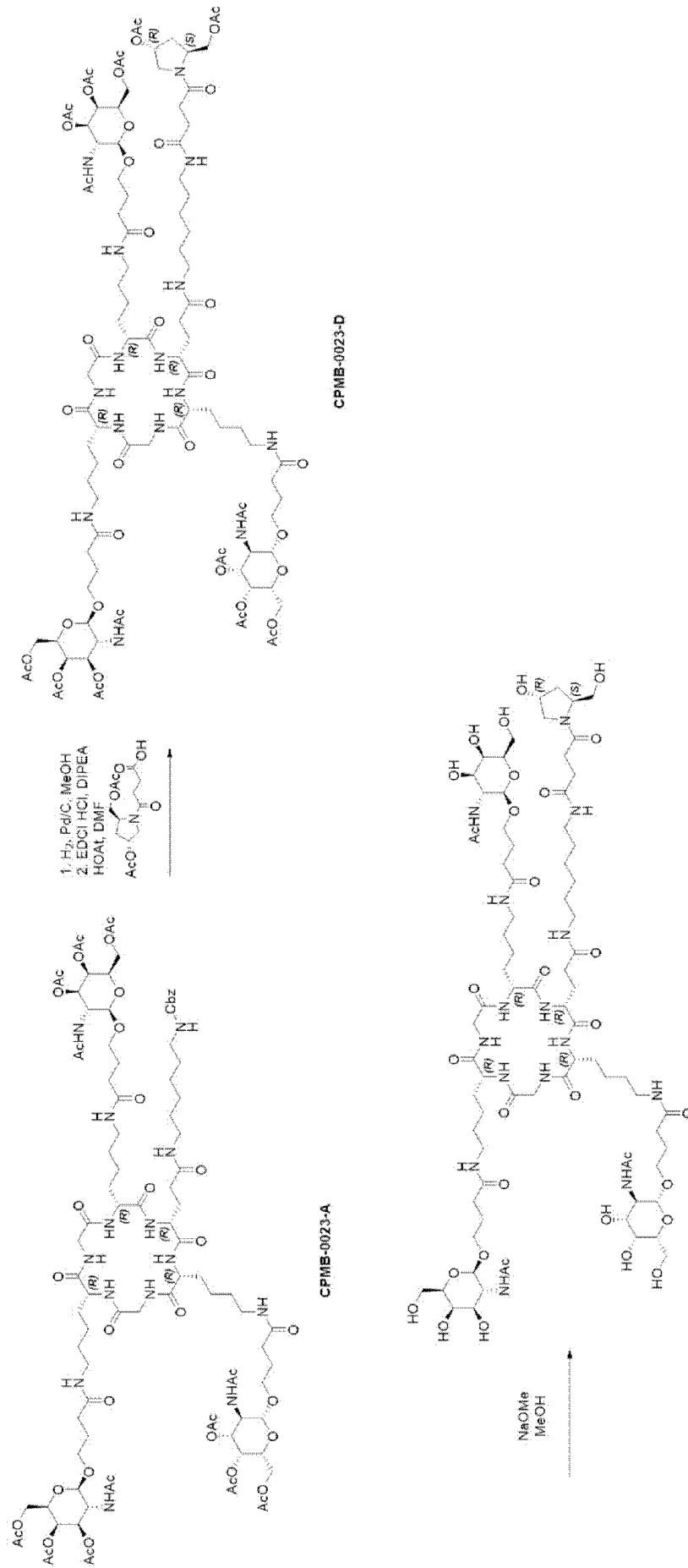


FIG. 8

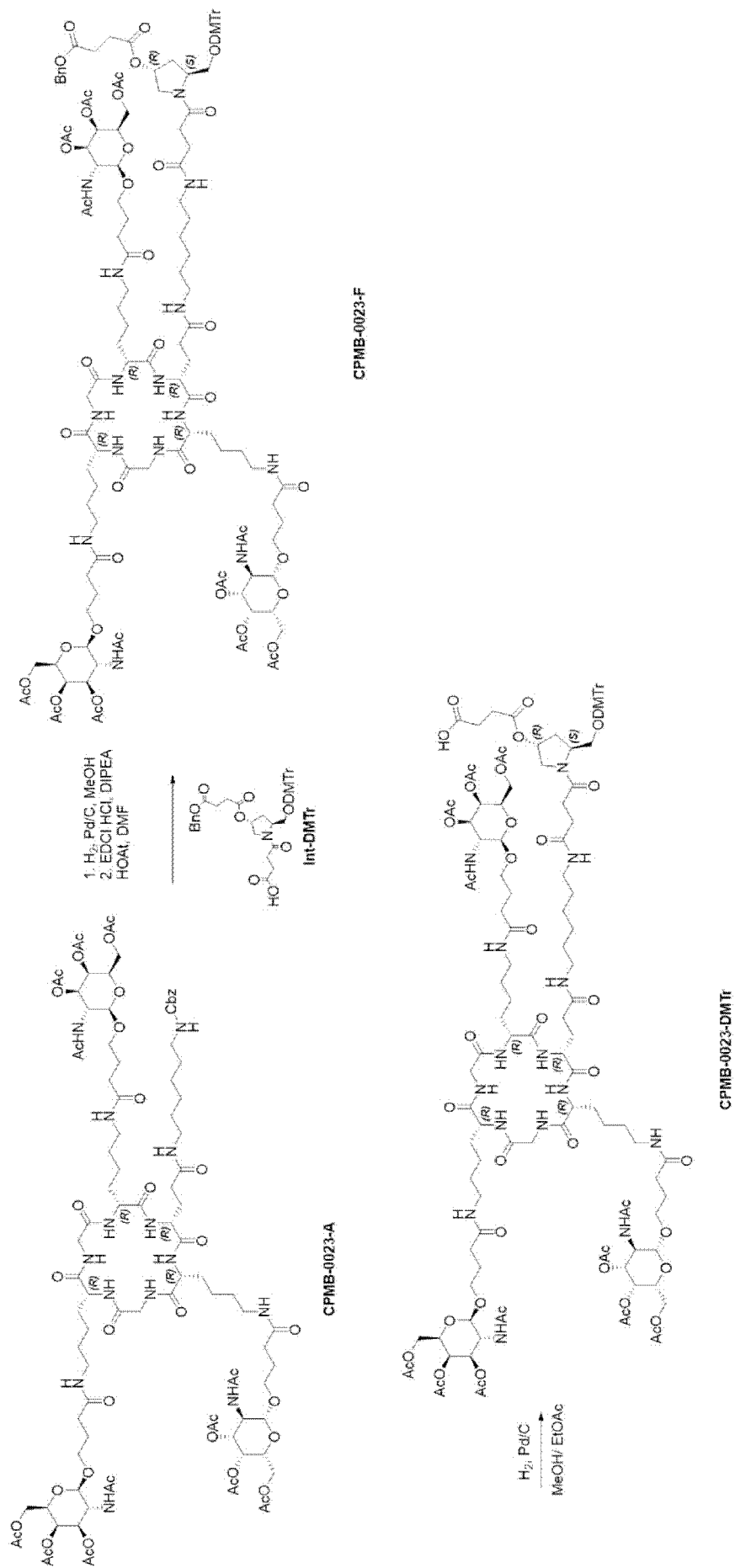


FIG. 9

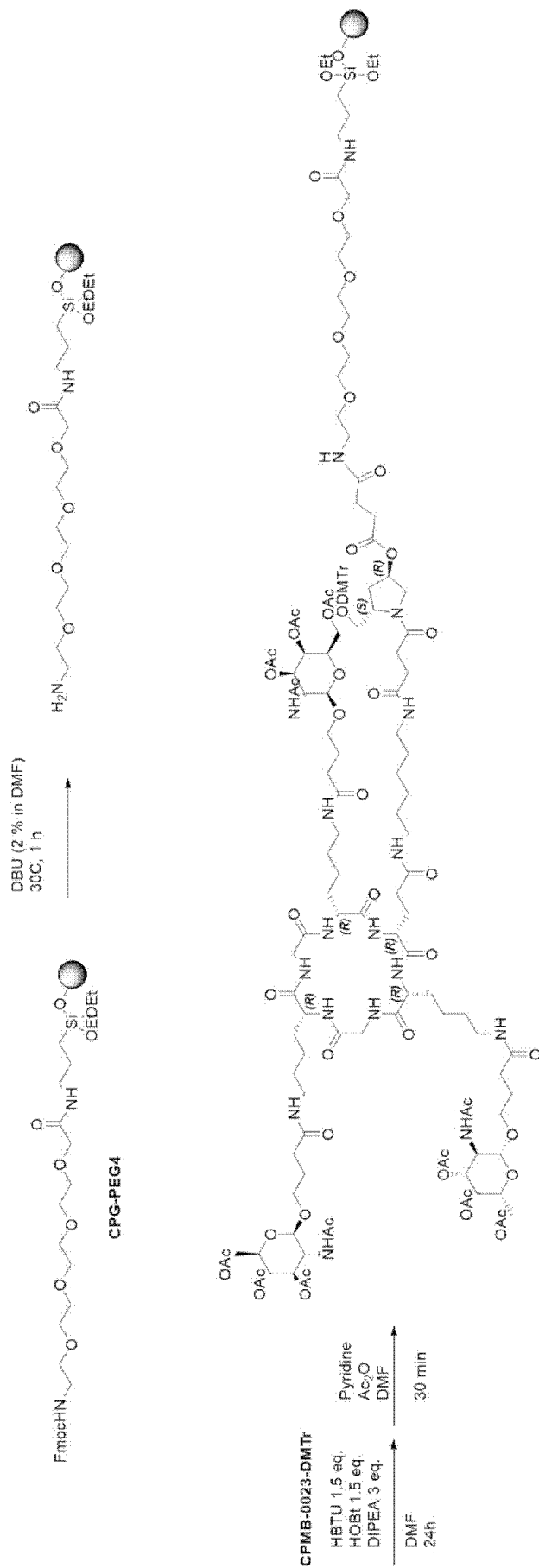


FIG. 10

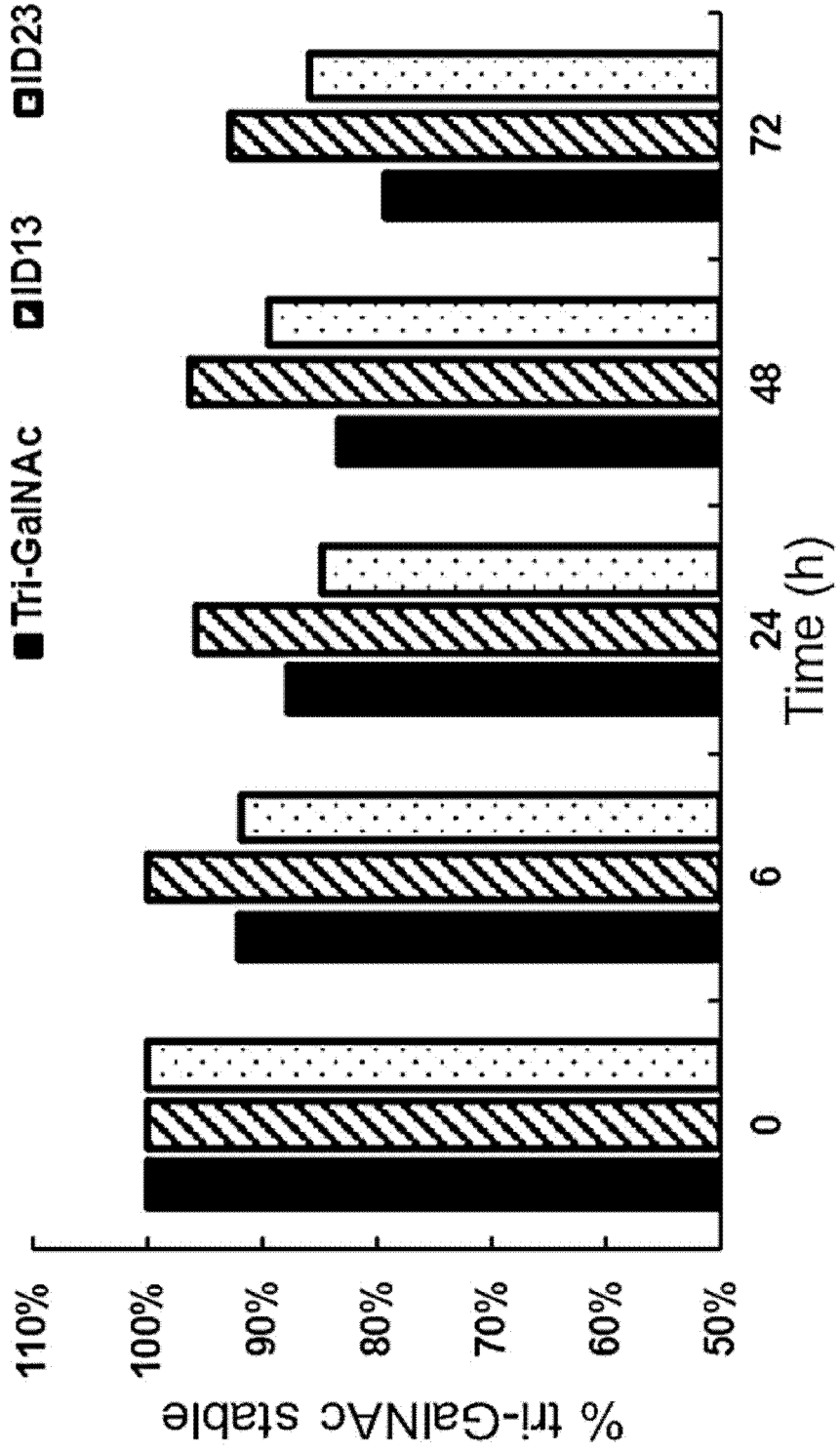


FIG. 11

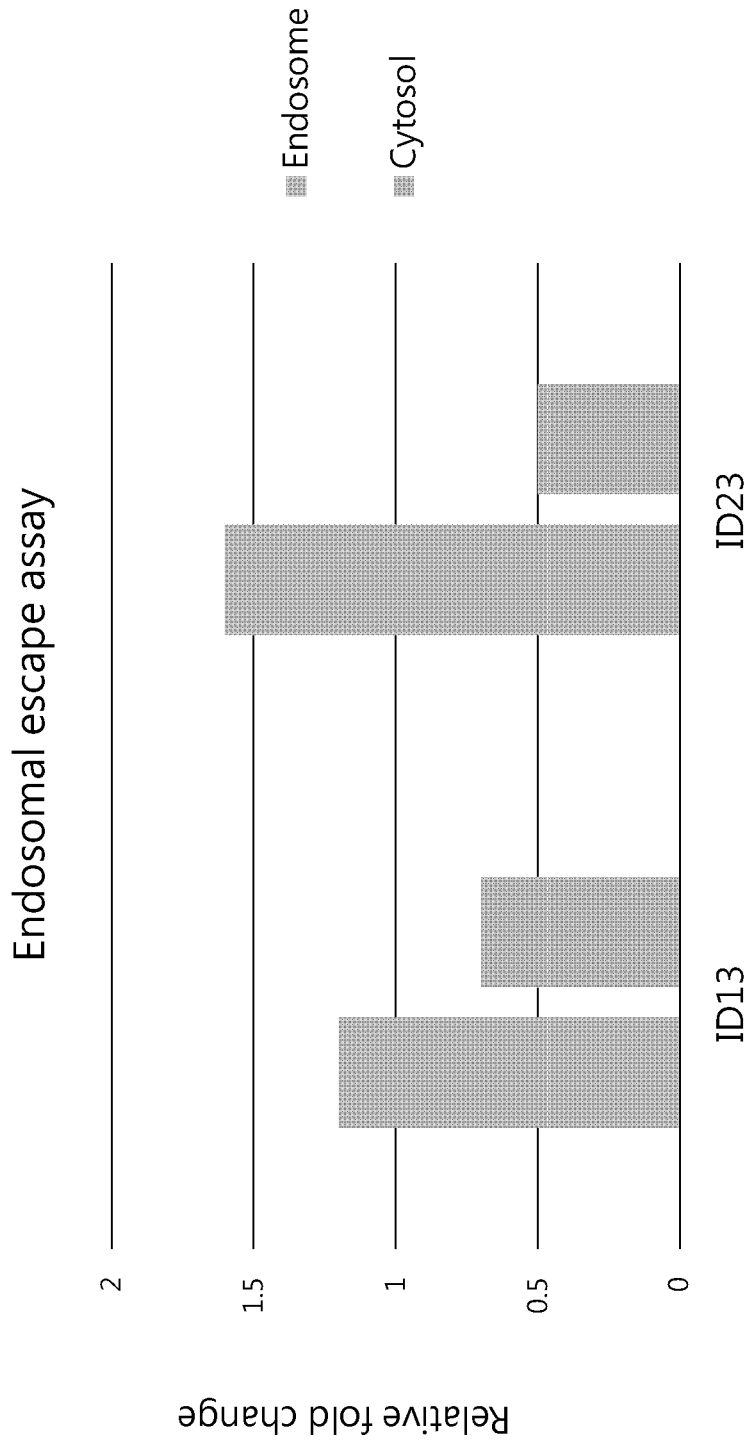


Fig. 12

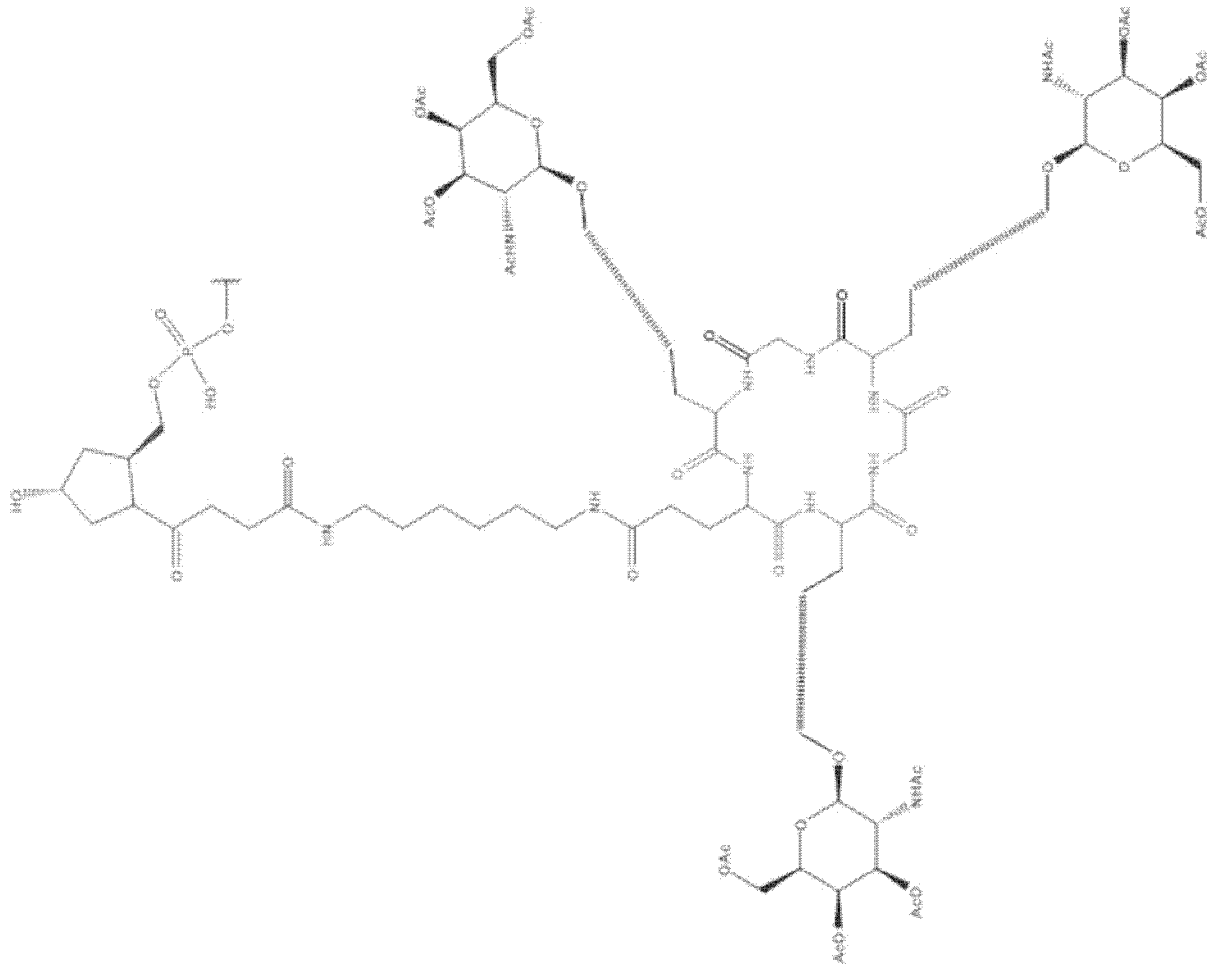


Fig. 13B

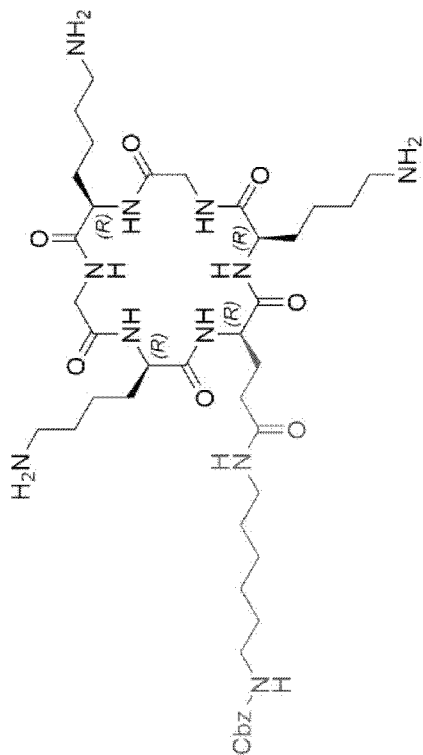


Fig. 13D

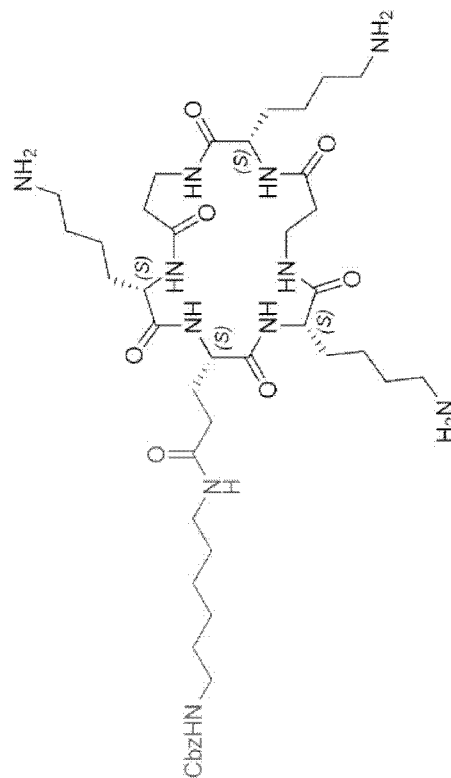


Fig. 13A

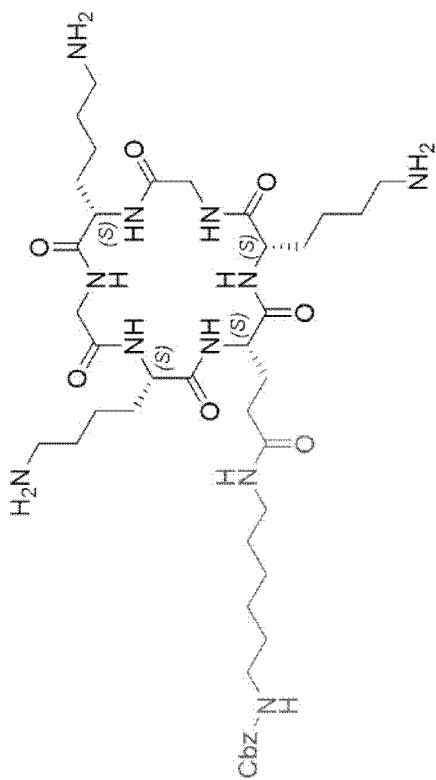


Fig. 13C

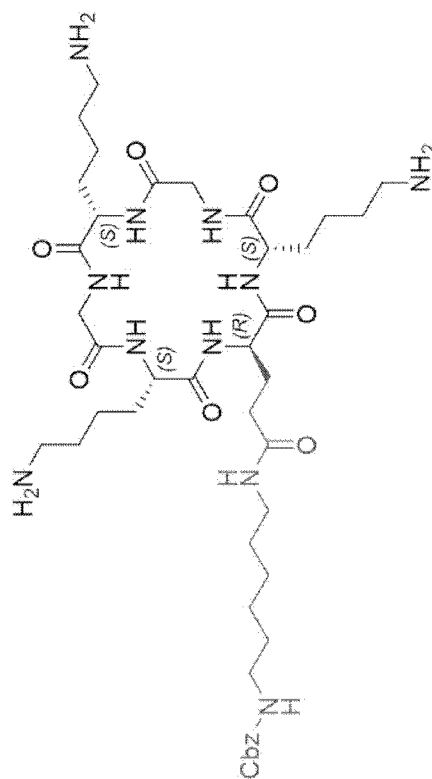


Fig. 14A

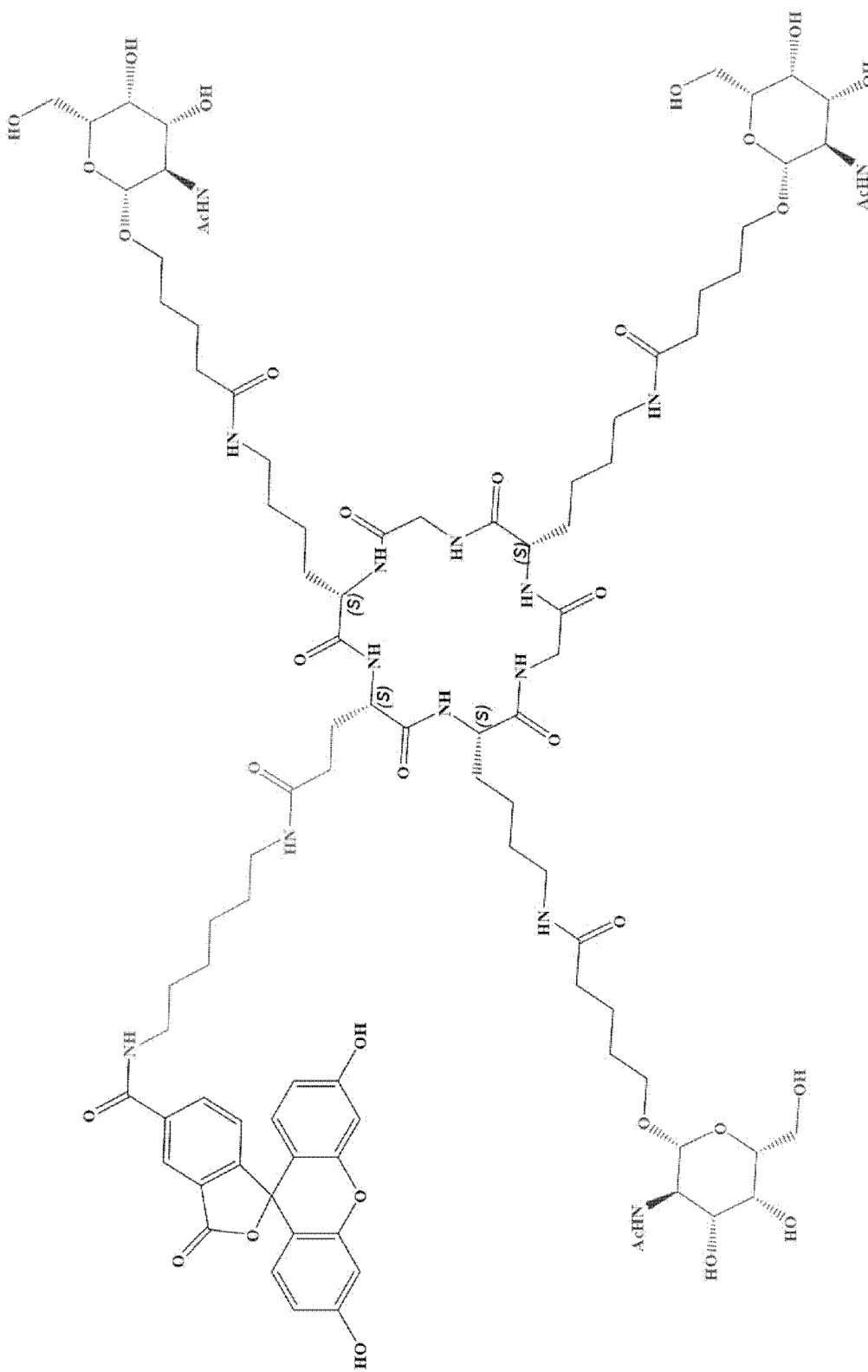


Fig. 14B

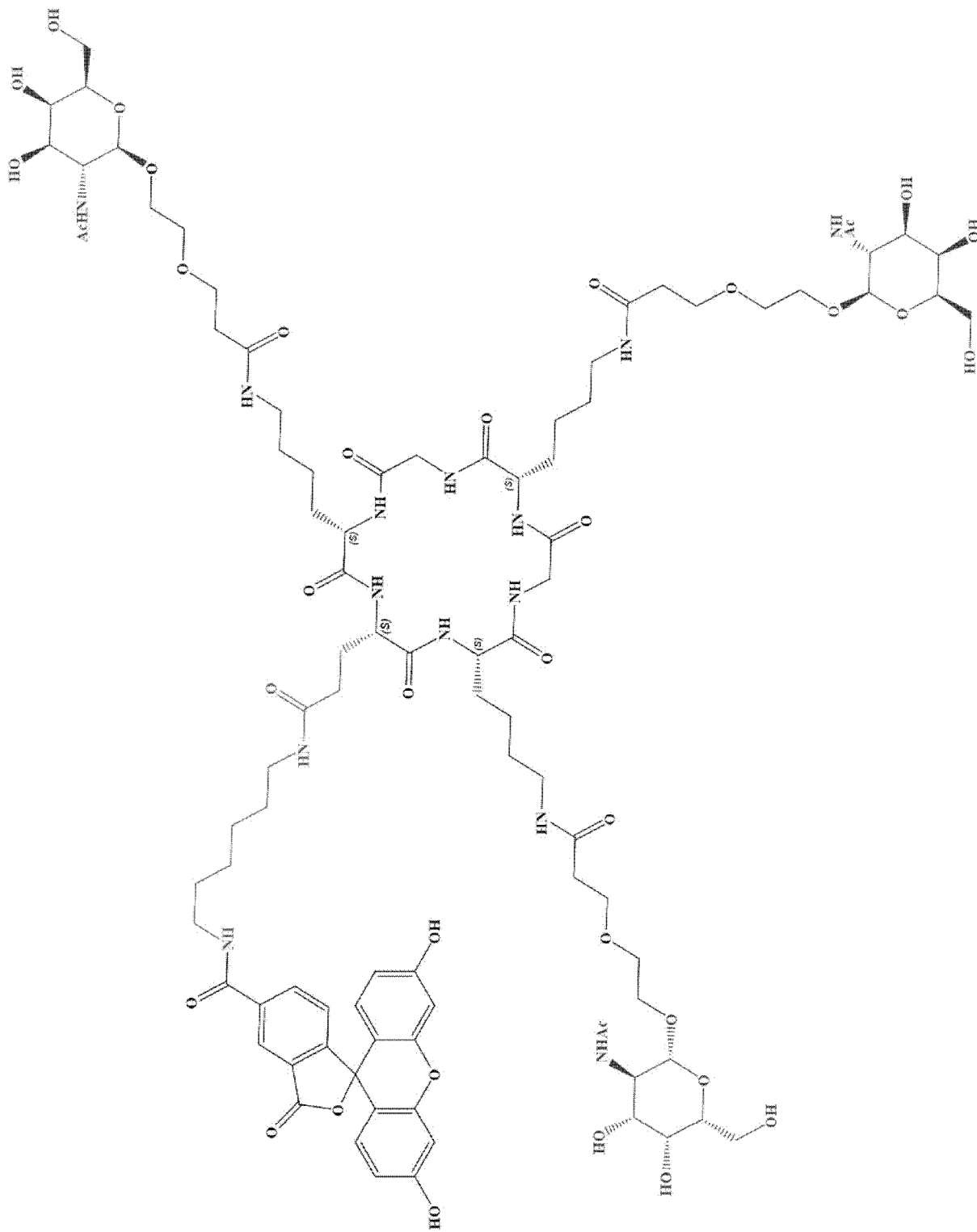


Fig. 14C

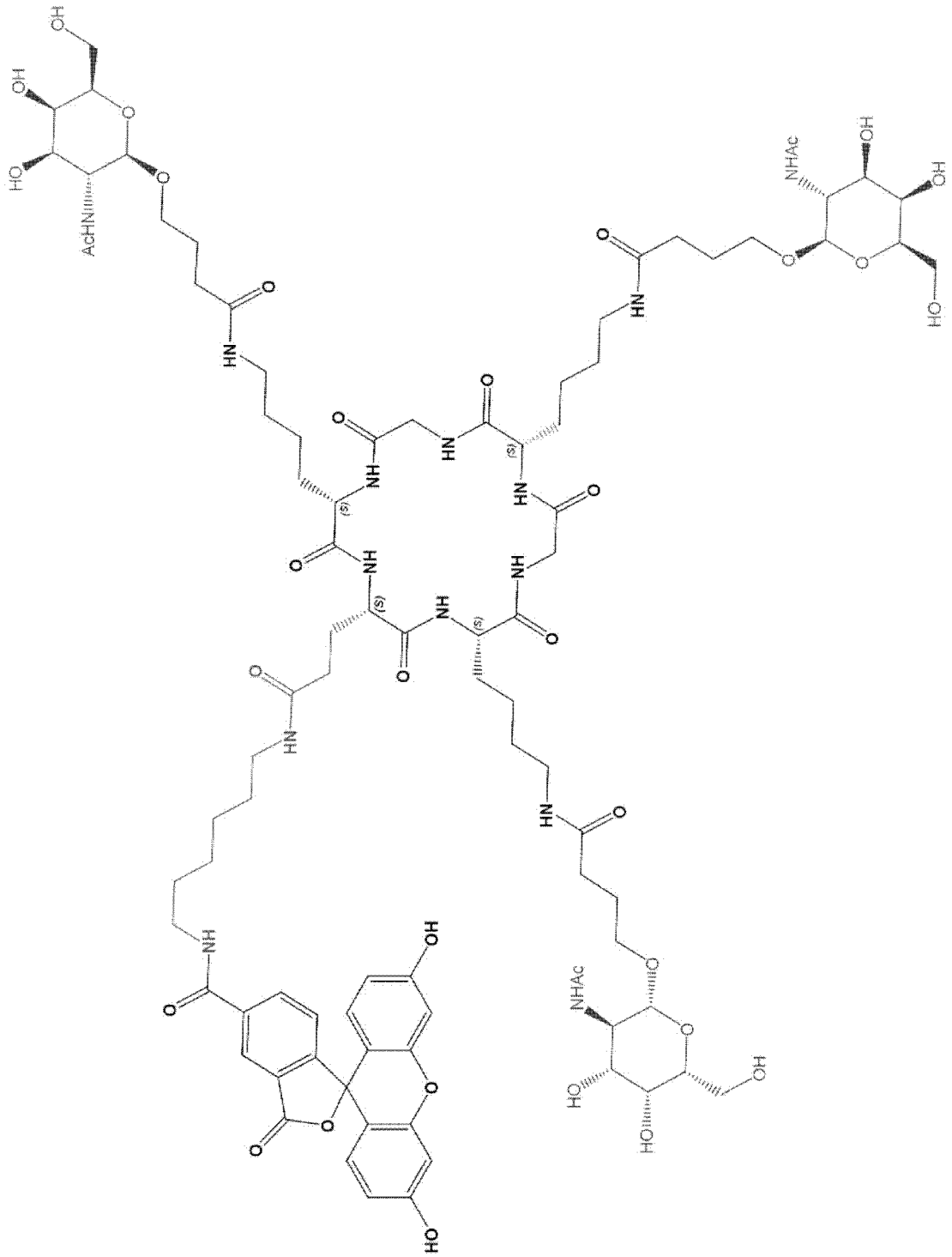


Fig. 14D

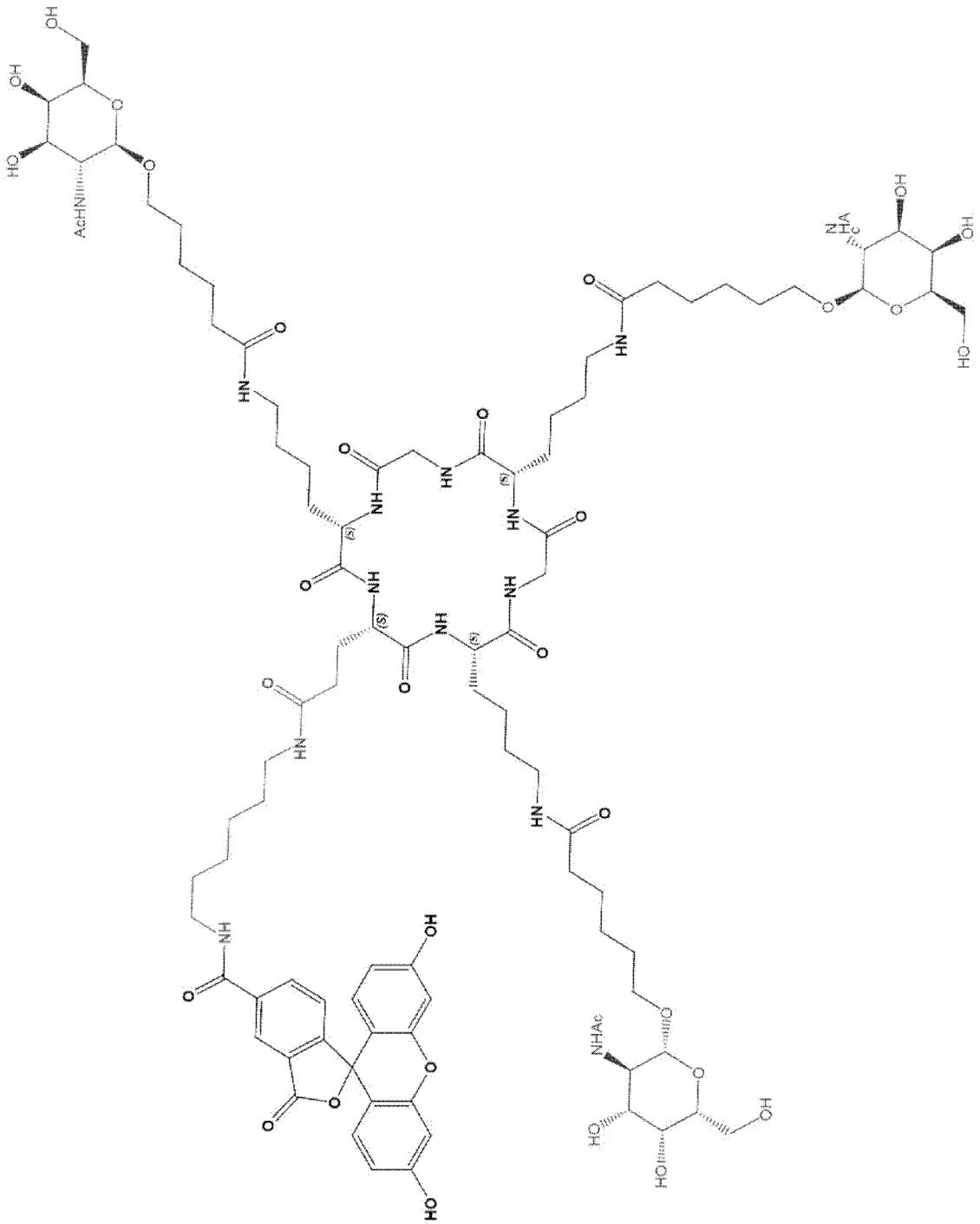


Fig. 14E

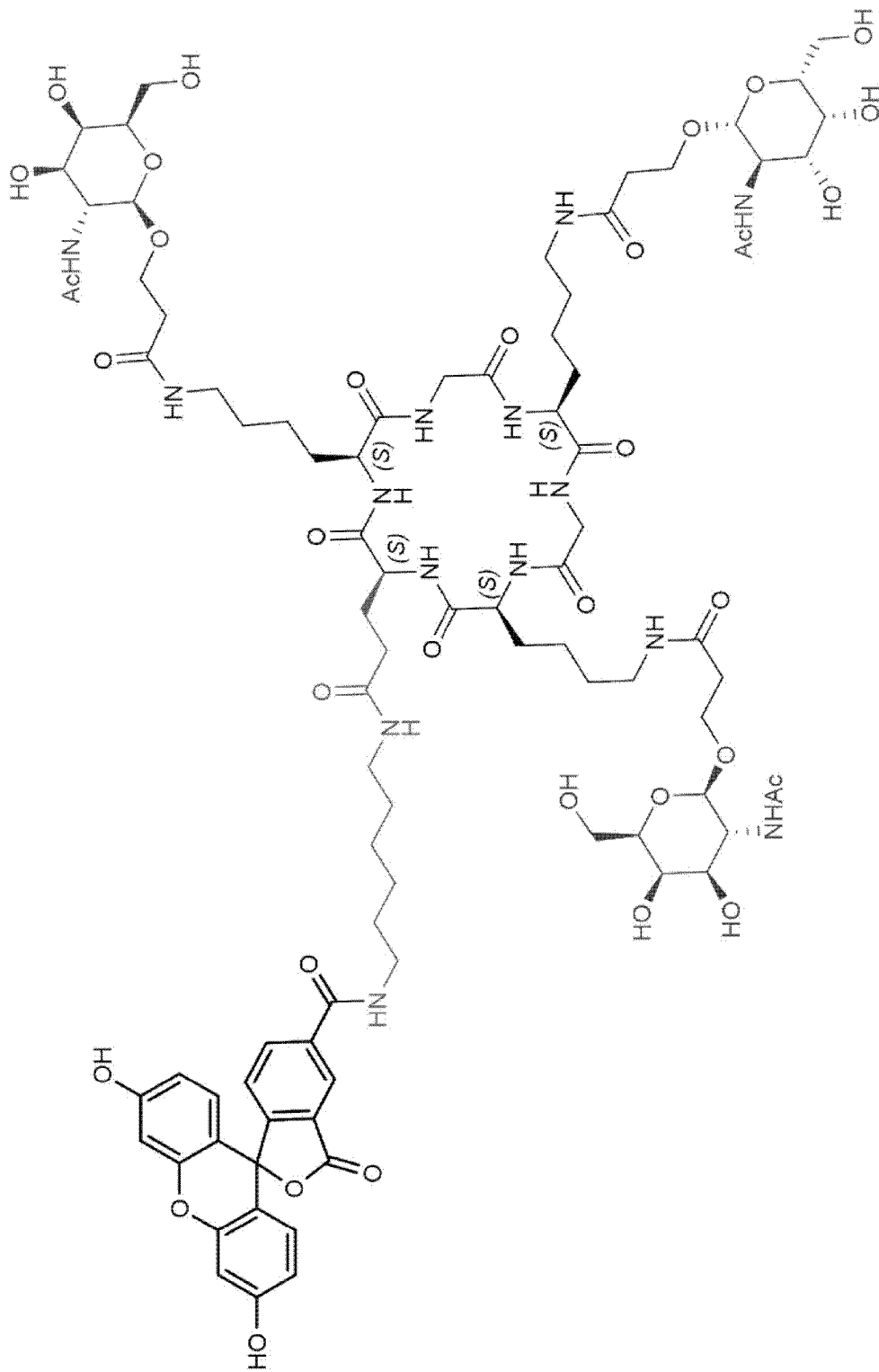


Fig. 14F

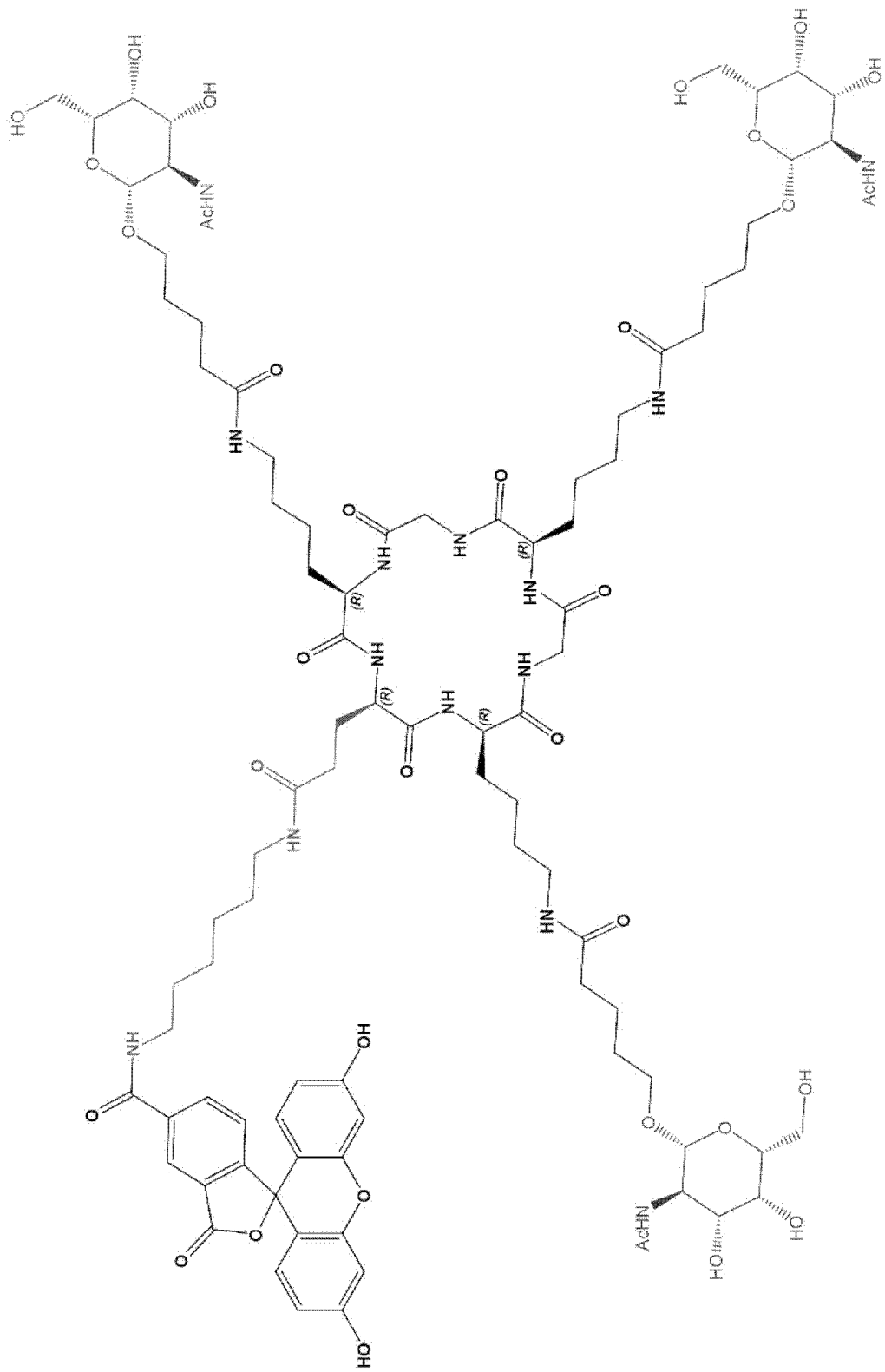


Fig. 14G

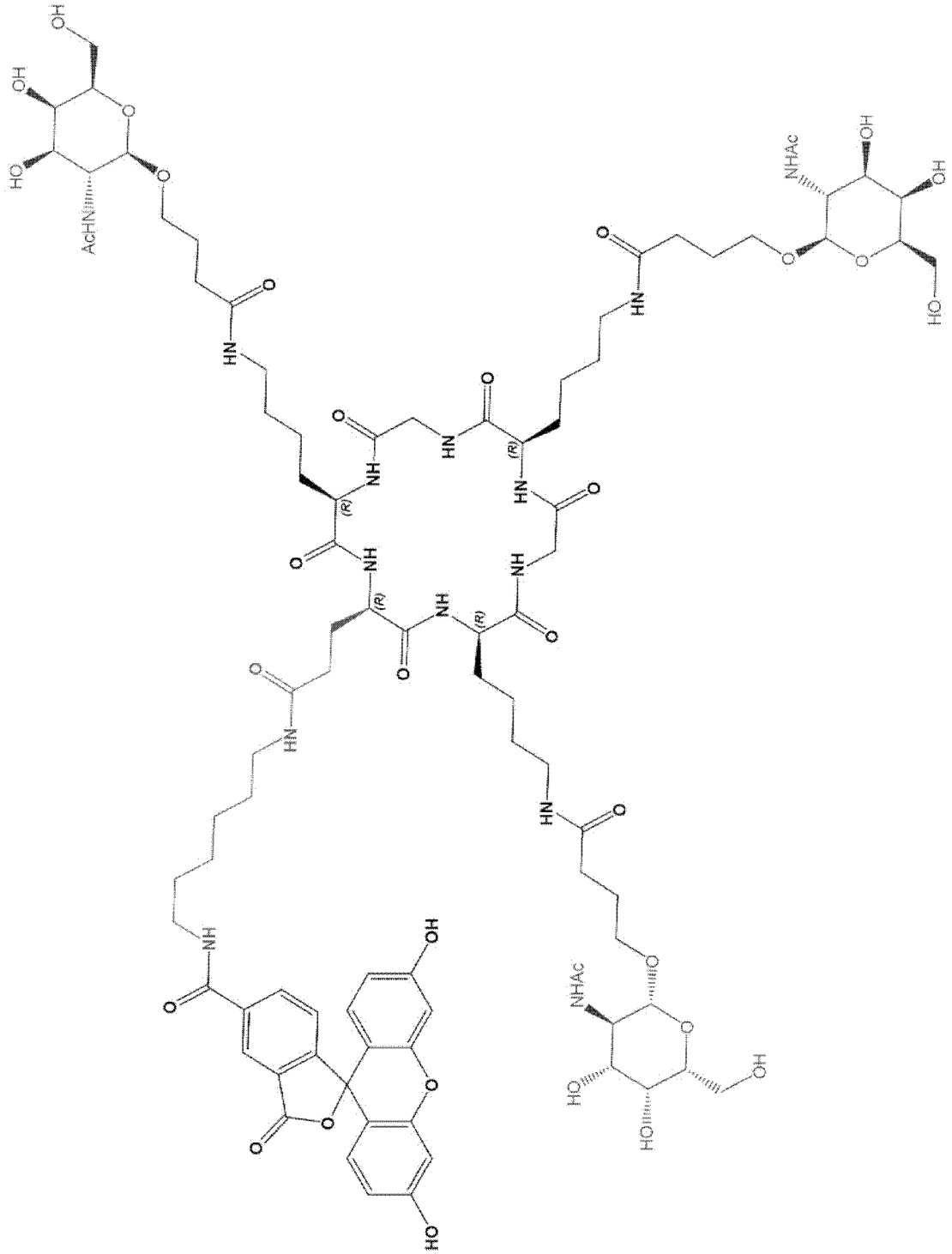


Fig. 14H

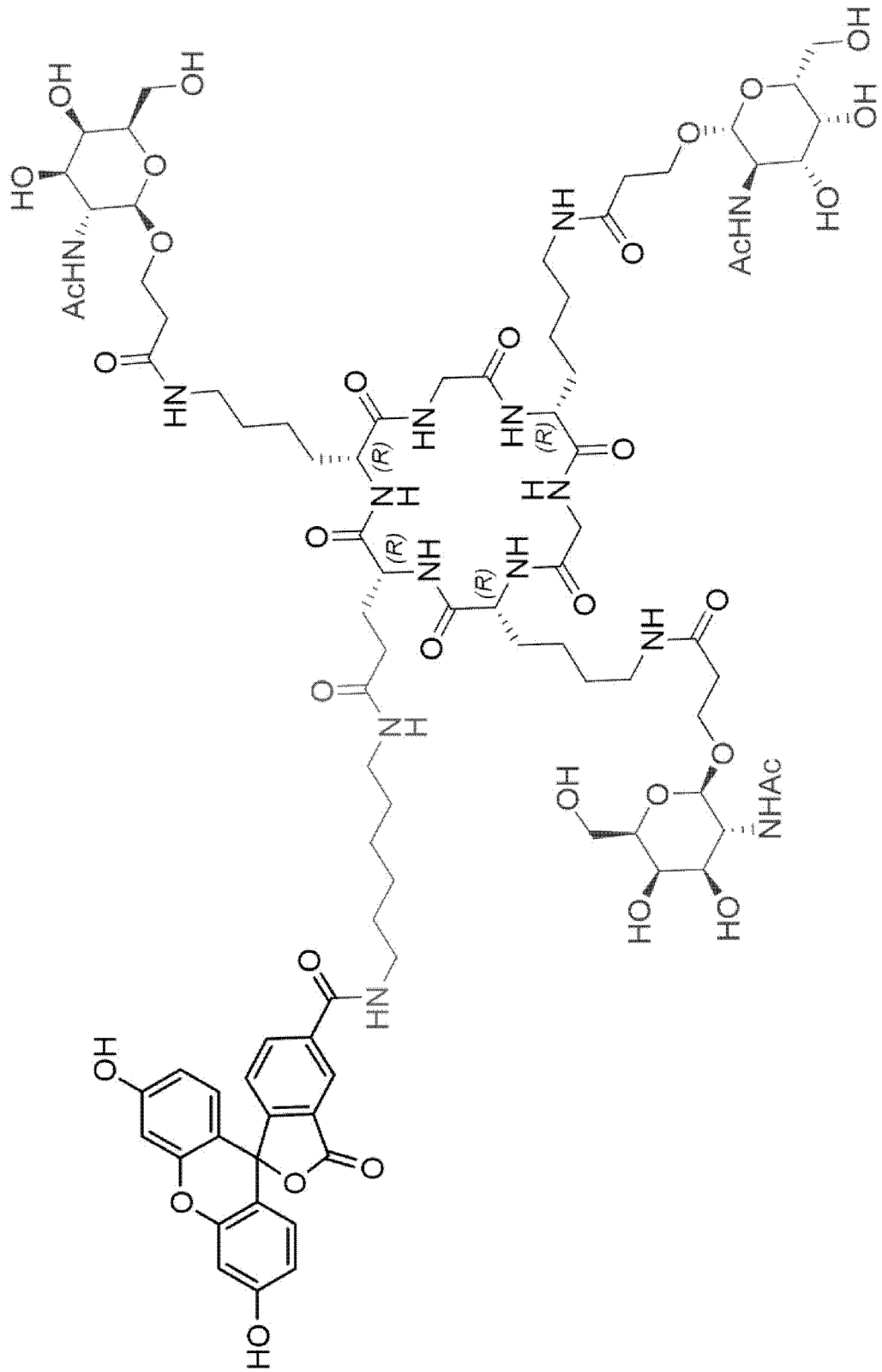


Fig. 14I

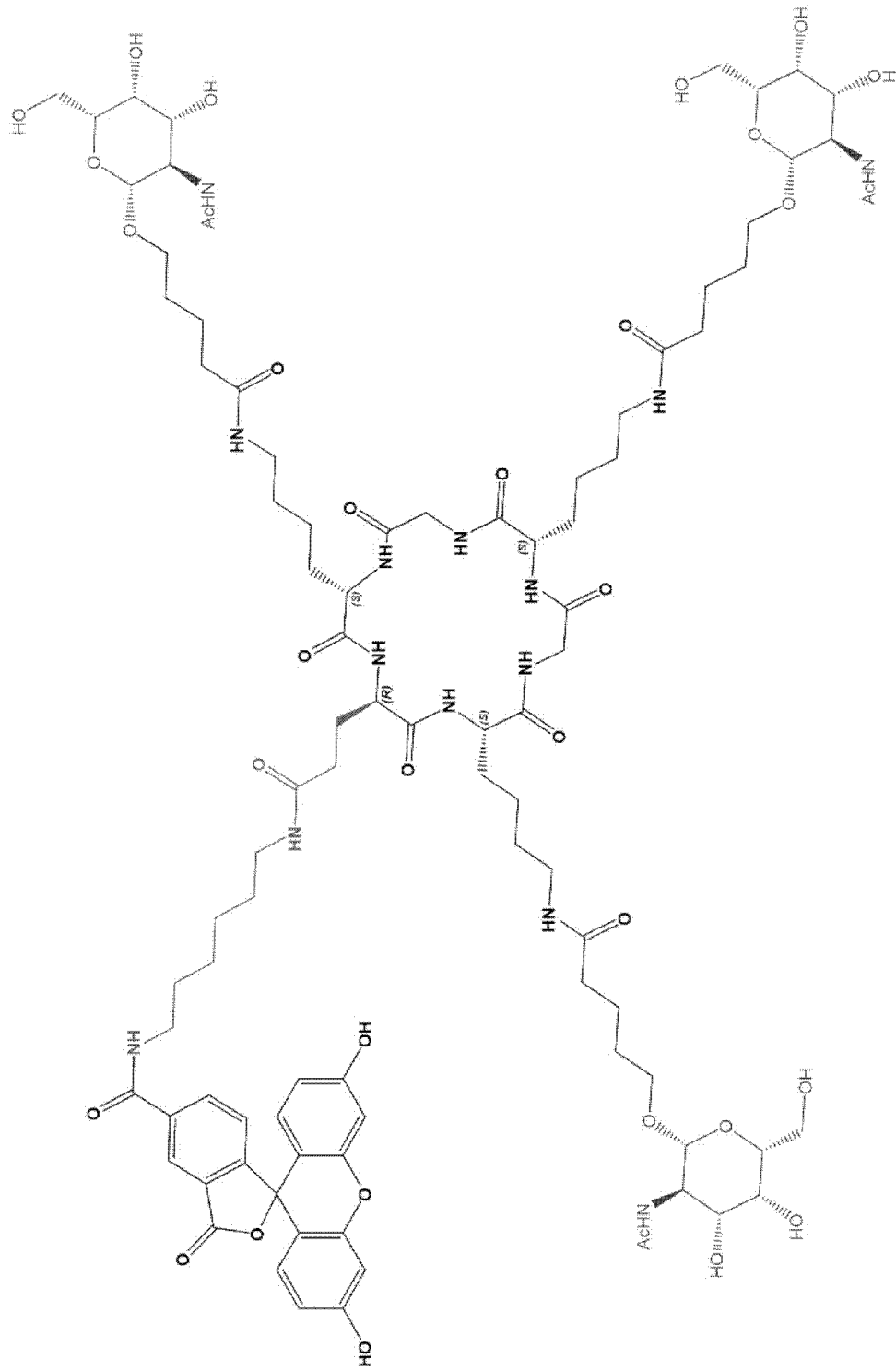


Fig. 14J

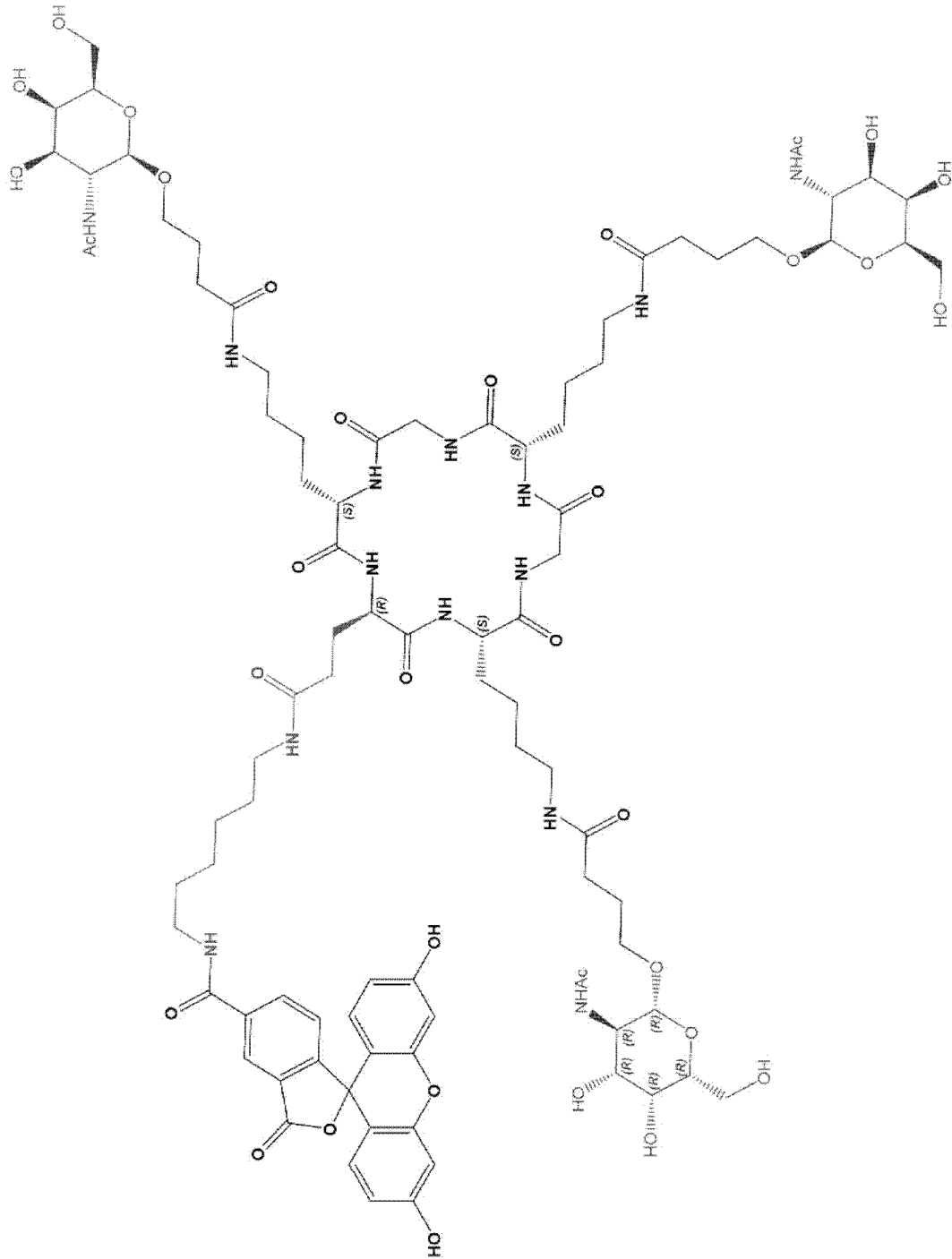


Fig. 14K

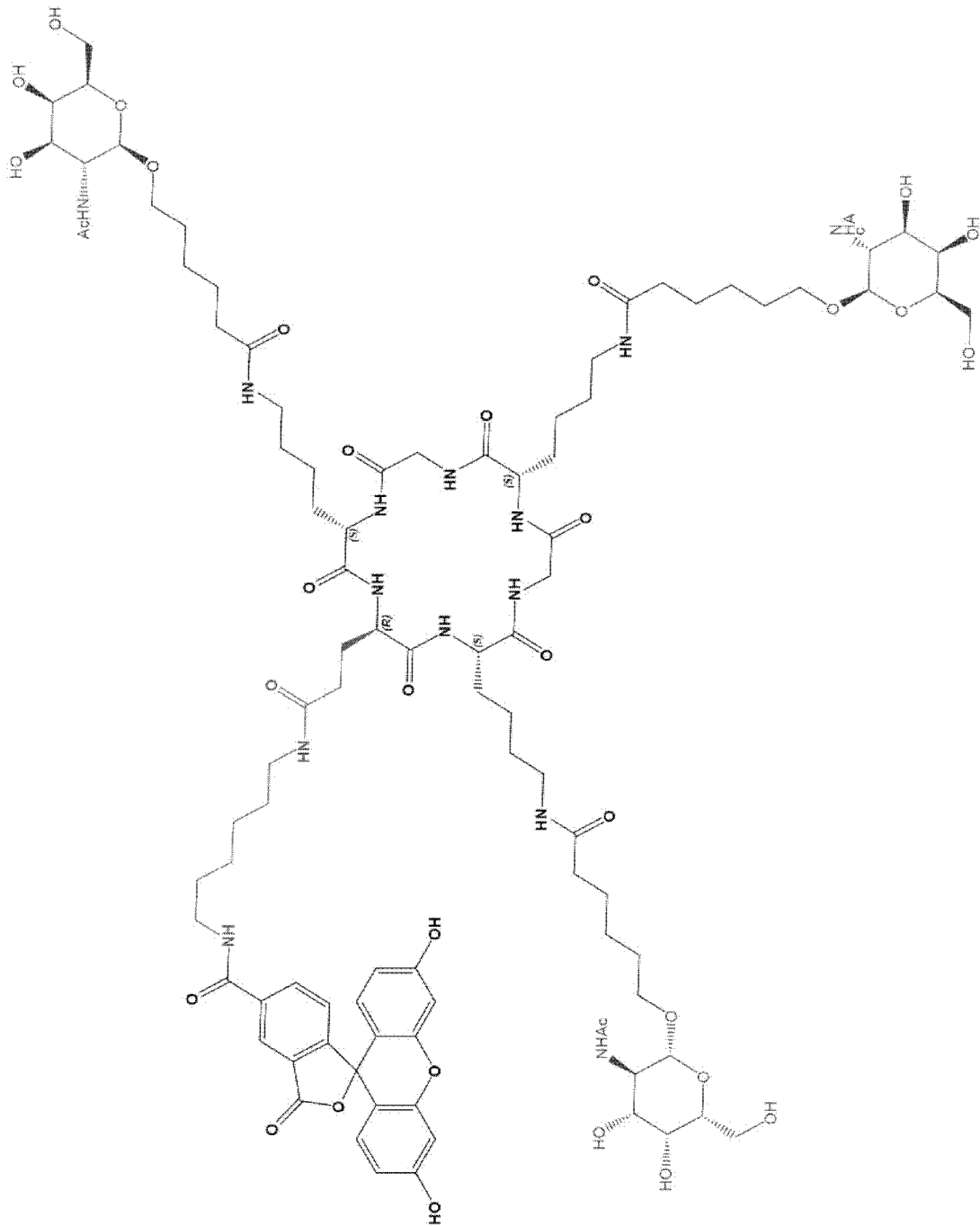


Fig. 14L

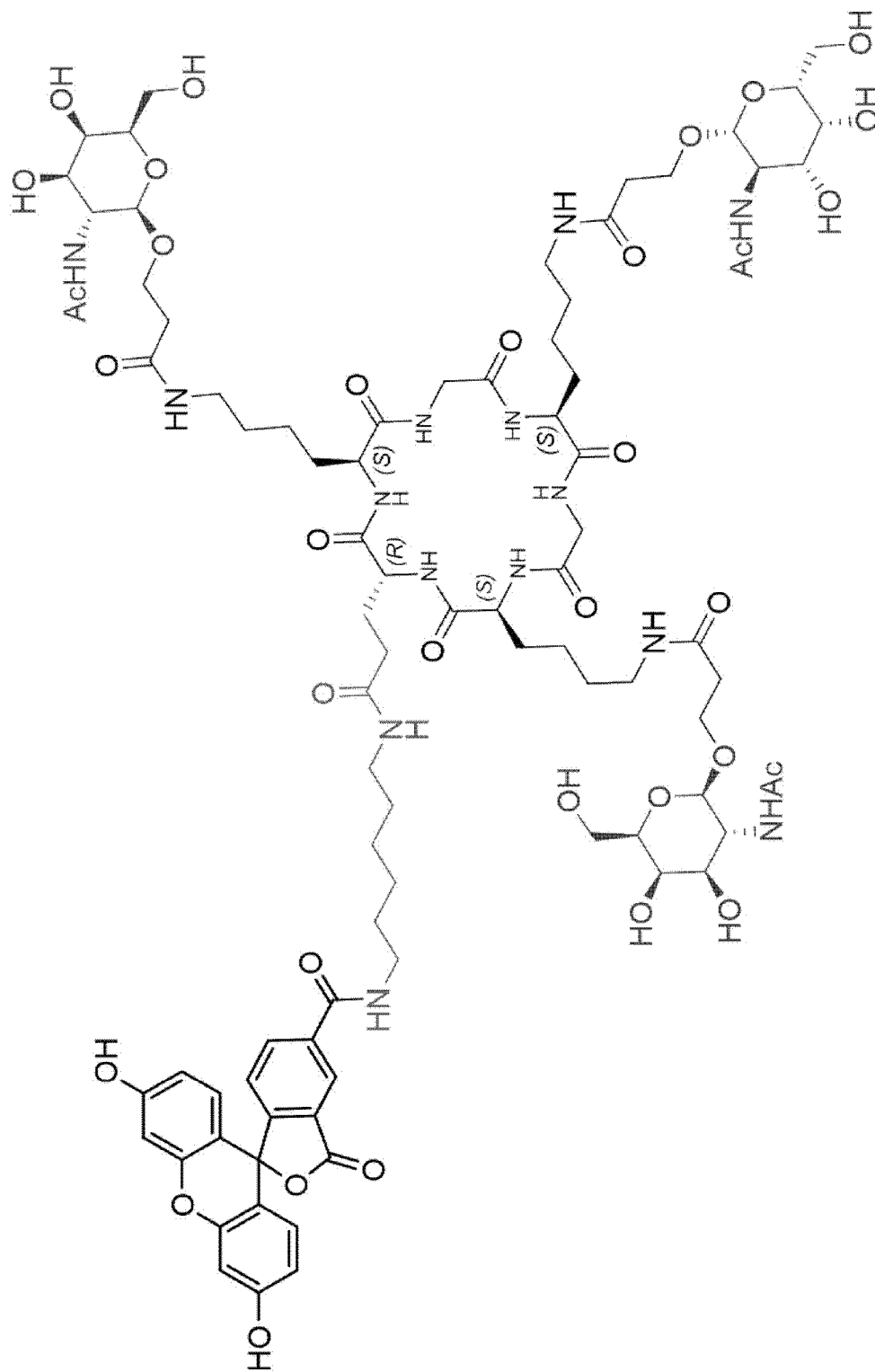


Fig. 14M

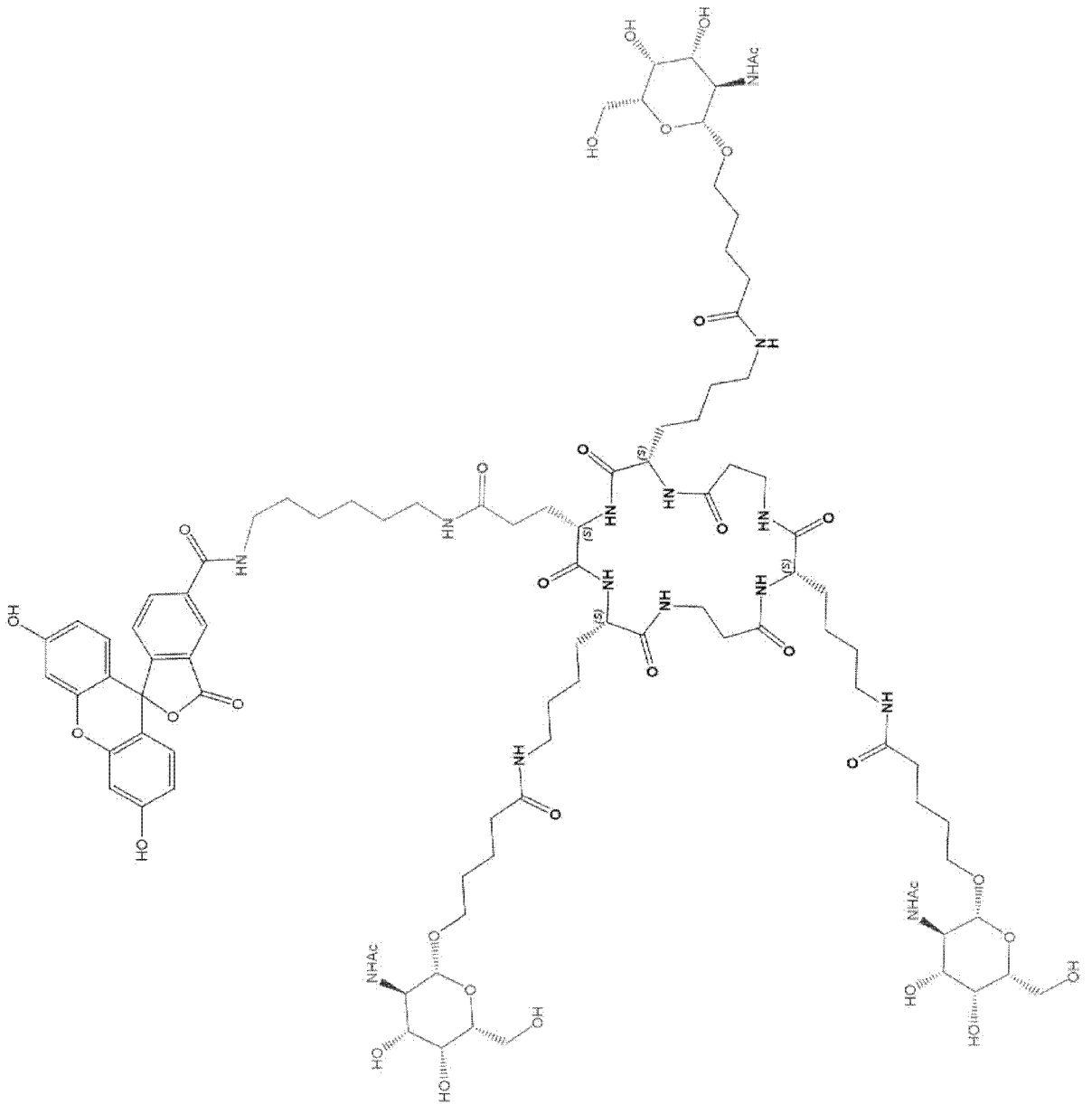


Fig. 14N

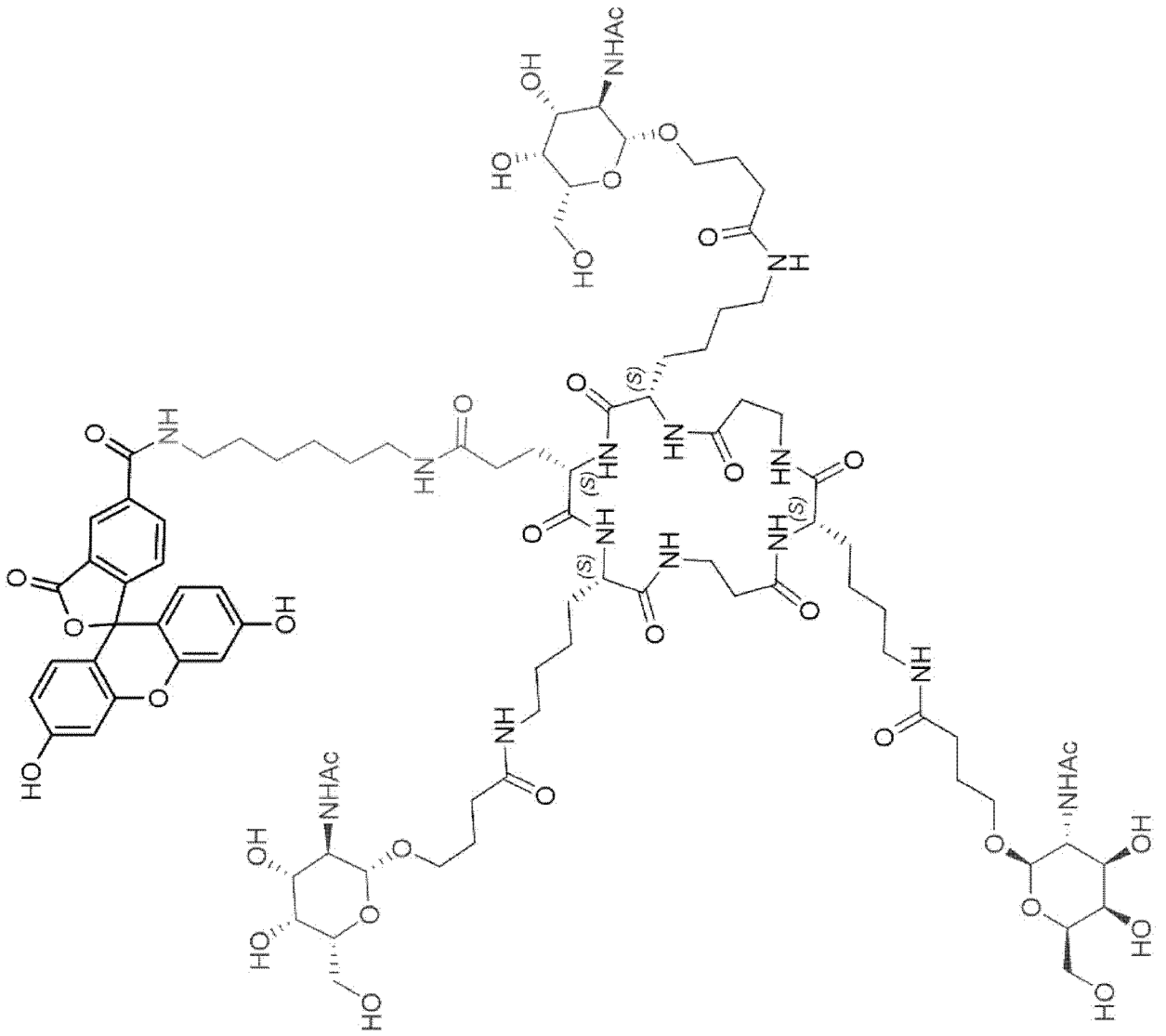


Fig. 140

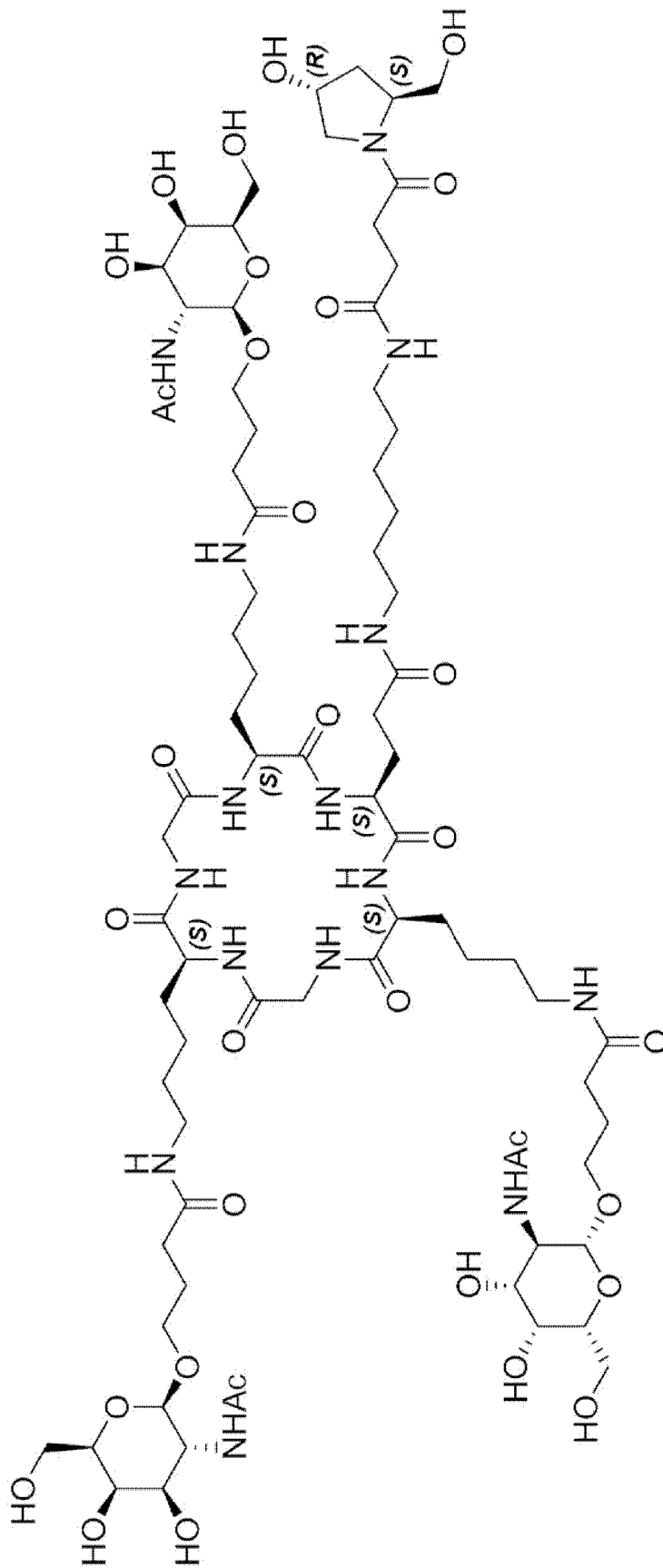


Fig. 14P

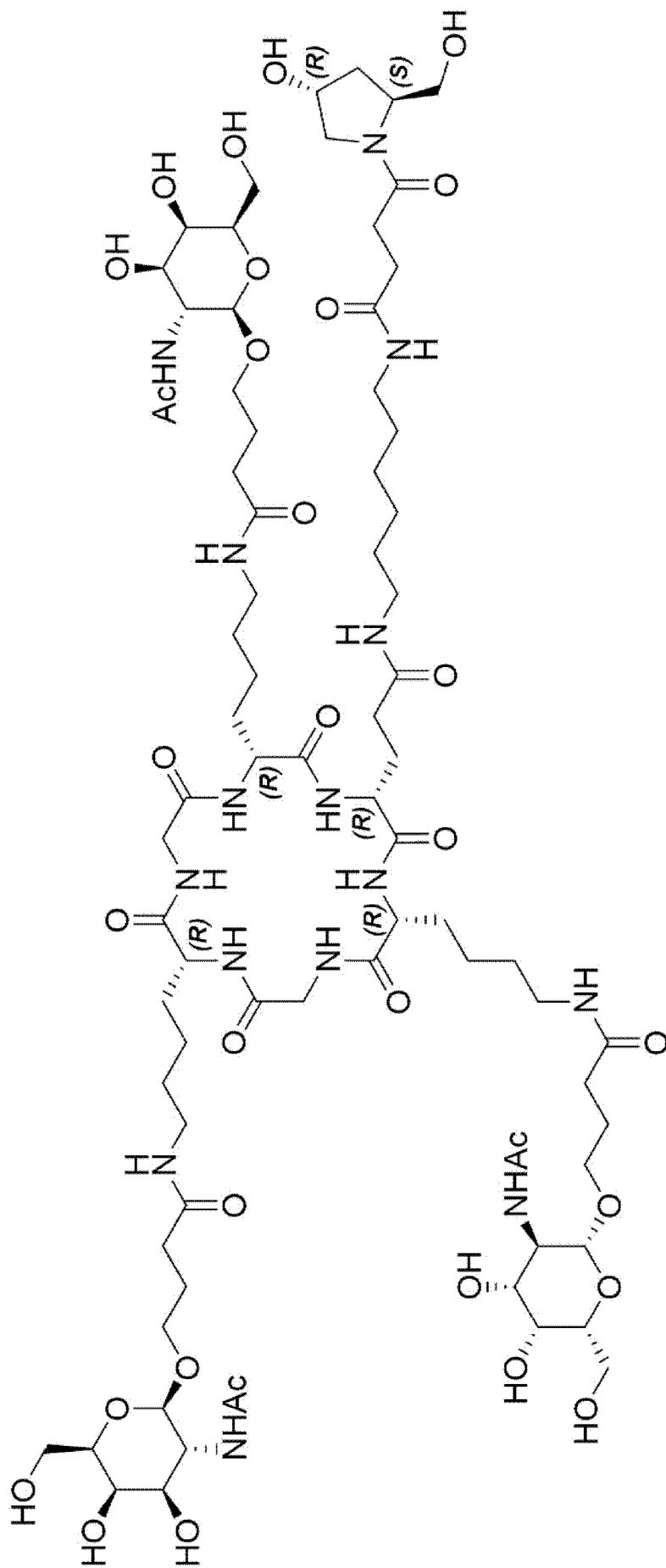


Fig. 14Q

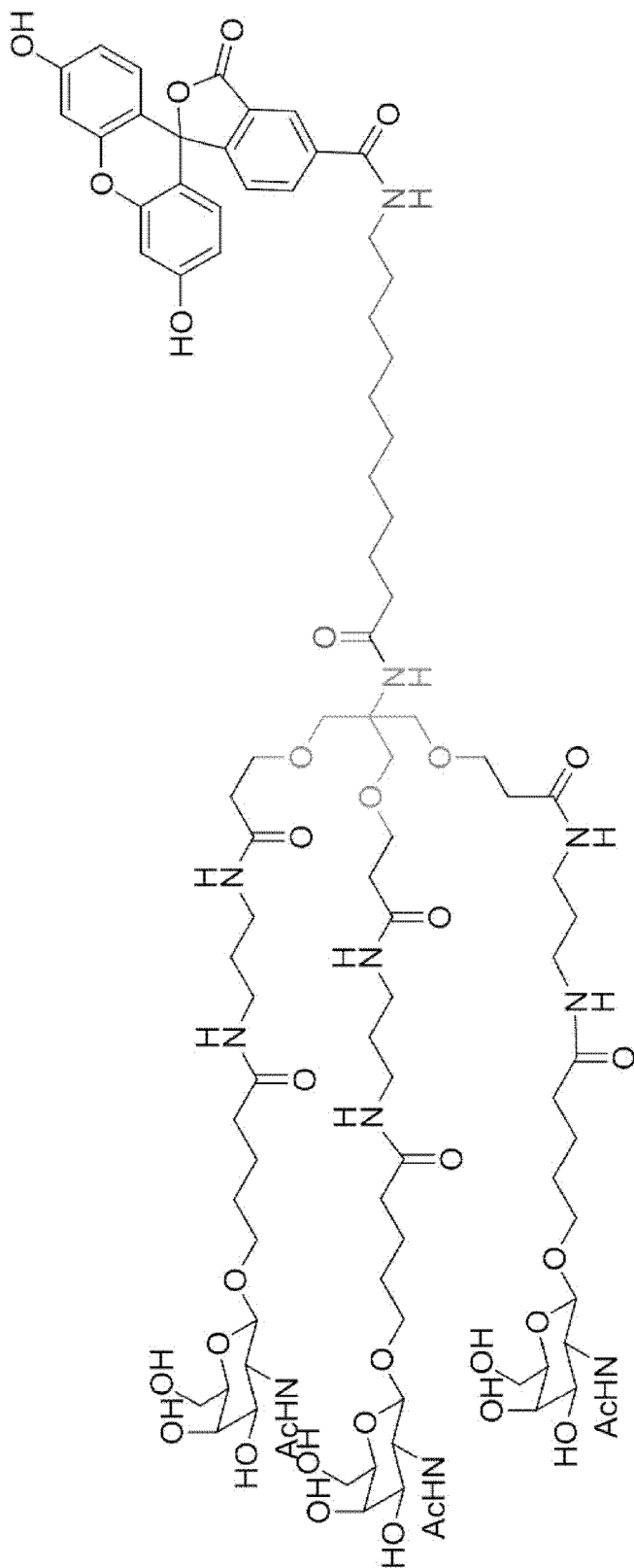


Fig. 14R

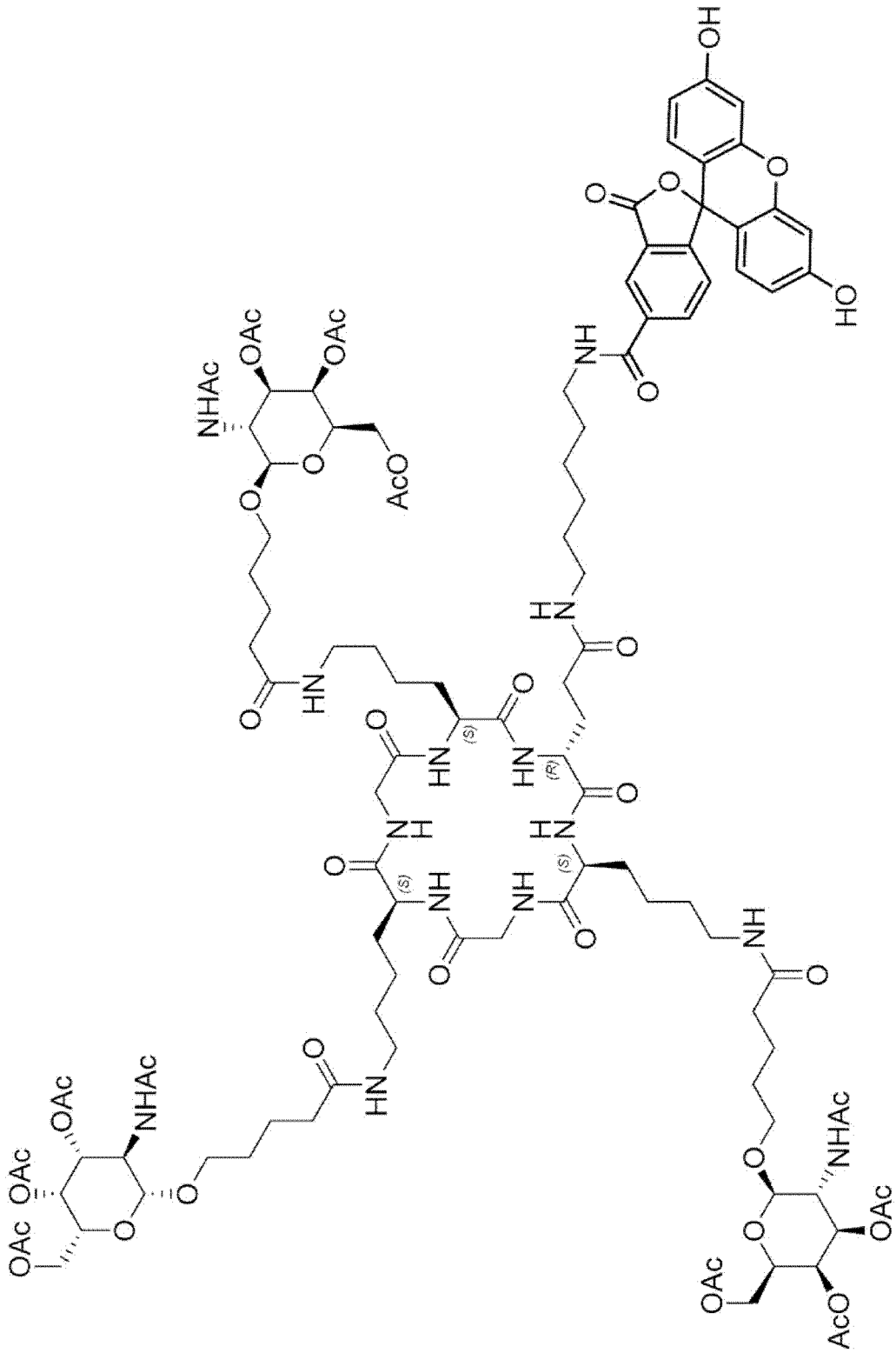


Fig. 14S

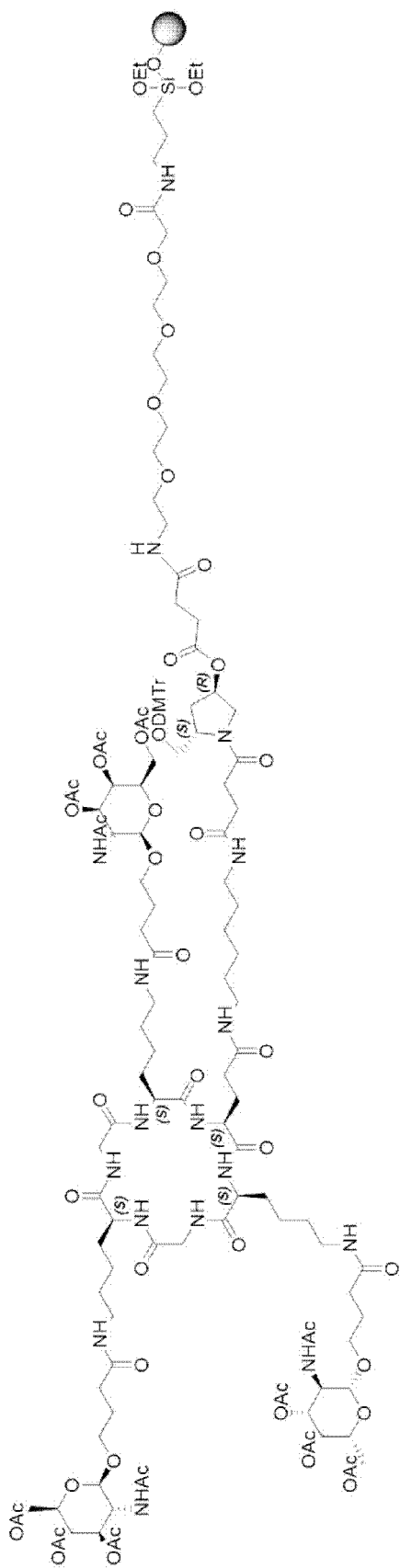


Fig. 14T

