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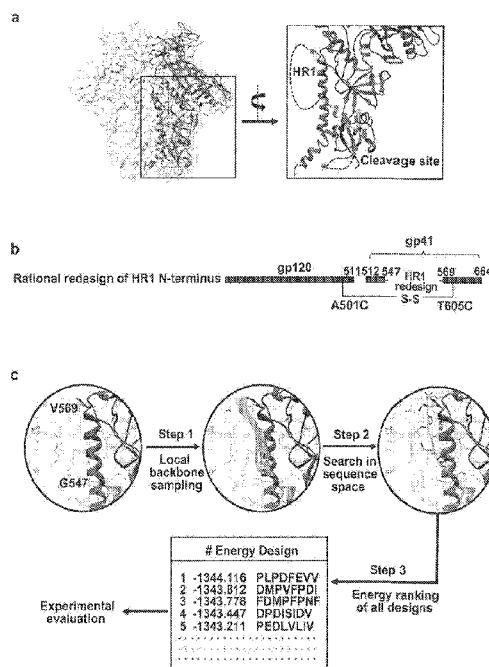


FIG. 1

(57) Abstract: The present invention provides HIV-1 vaccine immunogens. Some of the immunogens contain a soluble gp140-derived protein that harbors a modified N-terminus of the HR1 region in gp41. Some of the immunogens contain an HIV-1 Env-derived trimer protein that is presented on 8 nanoparticle platform. The invention also provides methods of using the HIV-1 vaccine immunogens for eliciting an immune response or treating HIV infections.



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## COMPOSITIONS AND METHODS RELATED TO HIV-1 IMMUNOGENS

## CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] The subject patent application claims the benefit of priority to U.S. Provisional Patent Application Numbers 62/330,604 (filed May 2, 2016). The full disclosure of the priority application is incorporated herein by reference in its entirety and for all purposes.

## STATEMENT OF GOVERNMENT SUPPORT

[0002] This invention was made with government support under AI100663 and 10 AI084817 awarded by the National Institutes of Health. The government has certain rights in the invention.

## BACKGROUND OF THE INVENTION

[0003] Human immunodeficiency virus type 1 (HIV-1) is the primary cause of the 15 acquired immune deficiency syndrome (AIDS) which is regarded as one of the world's major health problems. It is an RNA virus of the family Retroviridae. The HIV-1 genome encodes at least nine proteins which are divided into three classes: the major structural proteins Gag, Pol and Env, the regulatory proteins Tat and Rev, and the accessory proteins Vpu, Vpr, Vif and Nef. HIV-1 can be divided into several different 20 clades, for example A, B, C, D, E, F, G, H, J and K, which vary in prevalence throughout the world. Each clade comprises different strains of HIV-1 which have been grouped together on the basis of their genetic similarity.

[0004] The initial phase of the HIV-1 replicative cycle involves the attachment of 25 the virus to susceptible host cells followed by fusion of viral and cellular membranes. These events are mediated by the exterior viral envelope glycoproteins which are first synthesized as a fusion-incompetent precursor envelope glycoprotein (Env) known as gp160. The genetic diversity of HIV-1 renders extremely difficult for the development of an effective vaccine against strains from multiple HIV-1 clades. Tremendous efforts have been expended in the past two decades to produce a preventive HIV vaccine.

While several candidate vaccines have been developed, they all failed to prevent HIV-1 infection in clinical testing.

[0005] The generation of an antibody response capable of neutralizing a broad range of clinical isolates remains a major challenge in human immunodeficiency virus type 1 (HIV-1) vaccine development. There is a strong and urgent need for a vaccine that is safe and efficacious around the world. The present invention addresses this and other unmet needs in the art.

#### SUMMARY OF THE INVENTION

10 [0006] In one aspect, the invention provides modified HIV-1 envelope gp140 proteins. The proteins are composed of a gp120 polypeptide and a gp41 polypeptide, with the N-terminus of heptad 1 region (HR1) of the gp41 polypeptide being replaced by a loop sequence of about 6 to about 14 amino acid residues in length that stabilizes the pre-fusion gp140 structure. In some of these proteins, the gp41 polypeptide is 15 gp41<sub>3CTO</sub>. Preferably, the modified HIV-1 gp140 protein is a trimer. In some embodiments, the gp120 polypeptide and the gp41 polypeptide are derived from the same HIV-1 strain or subtype. For example, both the gp120 polypeptide and the gp41 polypeptide in the modified HIV-1 gp140 protein can be derived from HIV-1 strain BG505. In some embodiments, the gp120 polypeptide and the gp41 polypeptide are 20 derived from different HIV-1 strains or subtypes. For example, an engineered gp41 polypeptide from HIV-1 strain BG505 as exemplified herein can be used to form chimeric gp140 immunogens with a gp120 polypeptide derived from many other HIV- strains or subtypes.

[0007] In some modified HIV-1 envelope gp140 proteins of the invention, the loop sequence contains (GS)<sub>n</sub> (SEQ ID NO:23), with n being any integer between 3 and 7, inclusive. In some of these embodiments, the loop sequence is (GS)<sub>4</sub> (SEQ ID NO:24). In some embodiments, the loop sequence is obtained via rational redesign. In some of these embodiments, the loop sequence is obtained by ensemble-based protein design. In some modified HIV-1 gp140 proteins of the invention, the loop sequence contains 10 30 amino acid residues. Some examples of these loop sequences are shown in SEQ ID NOs:1-5. In some other modified HIV-1 gp140 proteins, the loop sequence contains 8 amino acid residues. Some examples of these loop sequences are shown in SEQ ID NOs:6-10.

[0008] In some embodiments, the modified HIV-1 gp140 proteins of the invention further contain a flexible linker sequence that substitutes for the cleavage site sequence between gp120 and gp41. In some of these embodiments, the linker sequence has a sequence of (G<sub>4</sub>S)<sub>2</sub> (SEQ ID NO:22) or SGS, which substitutes for residues 508-511 at the cleavage site. In some other embodiments, the linker sequence contains 8 amino acid residues and substitutes for residues 501-518 at the cleavage site. In these embodiments, numbering of the amino acid residues corresponds to that of HIV-1 strain BG505, SOSIP.664 gp140. In some exemplified proteins, the linker sequence contains the sequence shown in any one of SEQ ID NOs:16-20.

10 [0009] In some embodiments, the modified HIV-1 gp140 proteins of the invention further contains (a) an engineered disulfide bond between gp120 and gp41 and/or (b) a stabilizing mutation in gp41. In some of these embodiments, the engineered disulfide bond is between residues A501C and T605C, and the stabilizing mutation is I559P.

15 [0010] Some modified HIV-1 gp140 proteins of the invention contain a gp140 trimer derived from HIV-1 strain BG505, with each gp140 monomer containing a gp120 polypeptide and a gp41<sub>ECTO</sub> polypeptide, and the N-terminus of heptad 1 region (HRI) (SEQ ID NO:28) in gp41<sub>ECTO</sub> polypeptide being replaced with a loop sequence shown in SEQ ID NO:6. In some of these embodiments, the protein additionally contains (a) a linker sequence (G<sub>4</sub>S)<sub>2</sub> (SEQ ID NO:22) that substitutes for residues 508-511 at the cleavage site, and (b) an engineered disulfide bond between residues A501C and T605C.

20 [0011] In another aspect, the invention provides HIV-1 vaccine immunogens that contain a modified trimeric HIV-1 envelope gp140 protein. In these immunogens, the gp140 protein contains a gp120 polypeptide and a gp41<sub>ECTO</sub> polypeptide, with the N-terminus of heptad 1 region (HRI) of the gp41<sub>ECTO</sub> polypeptide being replaced with a loop sequence of about 6 to about 14 amino acid residues that stabilizes the pre-fusion gp140 structure. In some embodiments, the loop sequence contains (GS)<sub>n</sub> (SEQ ID NO:23), with n being any integer between 3 and 7, inclusive. In some of these embodiments, the loop sequence has a sequence of (GS)<sub>4</sub> (SEQ ID NO:24). In some embodiments, the loop sequence is obtained via rational redesign, e.g., by ensemble-based protein design. In some embodiments, the loop sequence contains 10 amino acid residues, e.g., any sequence as shown in SEQ ID NOs:1-5. In some other

embodiments, the loop sequence contains 8 amino acid residues, e.g., a sequence as shown in any one of SEQ ID NOs:6-10.

[0012] Some HIV-1 vaccine immunogens of the invention additionally contain a flexible linker sequence that substitutes for the cleavage site sequence between gp120 and gp41<sup>ECTO</sup>. In some of these embodiments, the linker sequence contains (G<sub>4</sub>S)<sub>2</sub> or SGS, and substitutes for residues 508-511 at the cleavage site. In some embodiments, the linker sequence contains 8 amino acid residues and substitutes for residues 501-518 at the cleavage site. In these embodiments, numbering of the amino acid residues corresponds to that of HIV-1 strain BG505, SOSIP.664 gp140. In some embodiments, 10 the linker sequence contains a sequence as shown in any one of SEQ ID NOs:16-20.

[0013] Some HIV-1 vaccine immunogens of the invention additionally contain an engineered disulfide bond between gp120 and gp41. In some of these embodiments, the engineered disulfide bond is between residues A501C and T605C. Some of the HIV-1 vaccine immunogens contain a gp140 trimer derived from HIV-1 strain BG505, 15 with each gp140 monomer containing a gp120 polypeptide and a gp41<sup>ECTO</sup> polypeptide, and with the N-terminus of heptad 1 region (HR1) (SEQ ID NO:28) in gp41<sup>ECTO</sup> polypeptide being replaced with a loop sequence shown in SEQ ID NO:6. In some embodiments, the modified HIV-1 gp140 protein further contains (a) a linker sequence (G<sub>4</sub>S)<sub>2</sub> (SEQ ID NO:22) that substitutes for residues 508-511 at the cleavage site, and 20 (b) an engineered disulfide bond between residues A501C and T605C.

[0014] In another aspect, the invention provides HIV-1 vaccine compositions that contain an HIV-1 Env-derived trimer immunogen presented on a self-assembling nanoparticle or a virus-like particle (VLP). In some of these embodiments, the HIV-1 Env-derived trimer immunogen is V1V2, gp120 or gp140. In some embodiments, the 25 HIV-1 Env-derived trimer immunogen is a modified gp140 protein that contains a gp120 polypeptide and a gp41<sup>ECTO</sup> polypeptide that has the N-terminus of heptad 1 region (HR1) of the gp41<sup>ECTO</sup> polypeptide being replaced with a loop sequence of about 6 to about 14 amino acid residues that stabilizes the pre-fusion gp140 structure.

[0015] In some embodiments, the loop sequence contains (a) a sequence of (GS)<sub>n</sub> (SEQ ID NO:23), with n being any integer between 3 and 7, inclusive, or (b) a rationally redesigned sequence via ensemble-based protein design. In some 30 embodiments, the modified gp140 protein is covalently fused to the nanoparticle platform. In various embodiments, the nanoparticle platform contains a trimeric

sequence. In some of these embodiments, the nanoparticle platform is dibydrolioyl acyltransferase (E2P), ferritin, or lumazine synthase (LS). In some embodiments, the nanoparticle platform has one or more 3-fold axes on the surface with the N-terminus of each monomer subunit being in close proximity to the 3-fold axis, and the spacing of 5 the three N-termini matching the spacing of the C-termini of the modified gp140 protein trimer. In some embodiments, the C-terminus of the modified gp140 protein sequence is fused to the N-terminus of the subunit of the nanoparticle platform sequence. In some embodiments, the nanoparticle platform contains a self-assembling nanoparticle with a diameter of about 20nm or less that is assembled from 12 or 24 10 subunits. Some HIV-1 vaccine compositions of the invention can further contain an adjuvant.

[0016] In some HIV-1 vaccine compositions of the invention, the gp140 trimer is derived from HIV-1 strain BG505, with a loop sequence as shown in SEQ ID NO:6. Some of the compositions further contains (a) a linker sequence (G<sub>4</sub>S)<sub>2</sub> (SEQ ID 15 NO:22) that substitutes for residues 508-511 at the cleavage site, and (b) an engineered disulfide bond between residues A501C and T605C.

[0017] In still another aspect, the invention provides methods of preventing HIV-1 infection in a subject. These methods entail administering to the subject a therapeutically effective amount of the HIV-1 immunogen or vaccine composition 20 described herein. The administration of the immunogen results in prevention of HIV-1 infection in the subject. In a related aspect, the invention provides methods of treating HIV-1 infection or eliciting an immune response against HIV-1 in a subject. These methods involve administering to the subject a pharmaceutical composition that contains a therapeutically effective amount of the HIV-1 immunogen or vaccine 25 described herein.

[0018] A further understanding of the nature and advantages of the present invention may be realized by reference to the remaining portions of the specification and claims.

## 30 DESCRIPTION OF THE DRAWINGS

[0019] Figure 1 illustrates computational redesign of HIV N-terminus and cleavage site. (a) Atomic model and molecular surface of BG505 SOSIP.664 trimer (PDB ID: 4TVP) with gp120 and two regions of gp41<sup>ECTO</sup> (residues 518-547 and 569-

664) within one gp140 protomer colored in blue, orange, and red, respectively. A zoomed-in view of the gp140 structure surrounding the HR1 N-terminus (residues 548-568) and the cleavage site-containing region (residues 505-518) is shown on the right with the structural gaps connected by black dotted lines. (b) Schematic presentation of 5 the HR1 redesign. (c) Computational procedure for ensemble-based *de novo* protein design of the HR1 region (residues 548-568). After local backbone sampling in torsion space (step 1) and exhaustive search in sequence-structure space (step 2), the designed sequences #1-5 (SEQ ID NOs:11-15, respectively) are ranked by energy (step 3) prior to manual selection of candidates for experimental validation.

10 [0020] Figure 2 shows design and validation of a generic HR1 loop sequence (linker),  $(GS)_4$  (SEQ ID NO:24), to stabilize Env trimer. (a) Schematic representation of a generic HR1 linker (HR1-G) design. (b) SEC profiles of SOSIP and HR1-G trimers from a Superdex 200 10/300 column for clade-A BG505 (top, left), clade-B JRFL (top, right), clade-C DU172.17 (middle, two HR1 redesigns obtained from ensemble-based 15 *de novo* protein design included on the right), and B'/C recombinant strain CH115.12 (bottom, CSF-SOS included on the right). The UV value of the trimer peak and the ratios of UV values for aggregate peak (at 9 mL) and dimer/monomer peak (at 12 mL) relative to the trimer peak (at 10.5 mL) are labeled.

19 [0021] Figure 3 shows ensemble-based protein design of the HR1 region with loop 20 lengths of 8 and 10 residues. (a) Conformational ensembles of 8- (left) and 10-residue (right) HR1 loops colored in green with gp120 and two gp41<sub>ECTO</sub> regions (518-547 and 569-664) within one gp140 protomer colored in blue, orange, and red, respectively. (b)  $C\alpha$  root-mean-square (RMS) fluctuation of 8- (upper panel) and 10-residue (lower panel) redesigned HR1 loops. (c) Correlation between RAPDF score and  $C\alpha$  root-mean-square (RMS) fluctuation determined for 8- (left) and 10-residue (right) 25 redesigned HR1 loops. (d) 5 top-ranking sequences manually selected for 8-residue HR1 redesign (left; SEQ ID NOs:6-10, respectively) and 10-residue HR1 redesign (right; SEQ ID NOs:1-5, respectively) between residues G547 and T569. HR1 sequence encompassing its N-terminus (SEQ ID NO:27), including residues 548-568 30 (SEQ ID NO:28) being replaced by the loop sequences, is shown above these top ranking loop sequences. The region in WT SOSIP.664 that was subjected to computational design.

[0022] Figure 4 shows ensemble-based protein design of the cleavage site-containing region (500-519) and biochemical characterization of top 5 designs. (a) Conformational ensemble of 8-residue loops connecting R500 and F519 (left), C $\alpha$  RMSF distribution of 8-residue loops (upper right), and correlation between RAPDF score and C $\alpha$  RMSF (lower right). (b) 5 top-ranking 8-residue CST designs (SEQ ID NOs:16-20, respectively) are shown below the cleavage site-containing sequence (SEQ ID NO:21). The region in WT SOSIP.664 that was subjected to computational design is highlighted in yellow. (c) BN-PAGE of five 293 F-expressed, GNL-purified cleavage site truncated (CST) BG505 constructs, CST1-5, after SEC on a Superdex 200 10/300 column. For each trimer construct, the range of SEC fractions is labeled. For CST 1, trimer, dimer, monomer, and an unknown Env form are labeled on the BN gel.

## DETAILED DESCRIPTION

### I. Overview

[0023] The goal of vaccine development for human immunodeficiency virus type-1 (HIV-1) is to induce protective or therapeutic broadly neutralizing antibody (bNAb) responses by vaccination. All bNAbs identified thus far target the envelope glycoprotein (Env) trimer on the surface of HIV-1 virions. The precursor Env protein, gp160, is trafficked from the endoplasmic reticulum (ER) to the Golgi and cleaved by cellular proteases of the furin family into its mature form. The cleaved Env trimer engages host receptors to mediate viral entry and is the primary target of humoral immune responses. Functional Env is a trimer of heterodimers, each containing a receptor-binding protein, gp120, and a transmembrane protein, gp41, which are held together by non-covalent interactions. This mature form of Env is metastable as it is poised to undergo dramatic and irreversible conformational changes upon binding to host receptor and co-receptor to mediate membrane fusion. Env metastability also facilitates immune evasion by causing gp120 shedding and generating a diverse assortment of native, more open and non-native conformations.

[0024] Various strategies have been proposed in attempts to overcome Env metastability, and to create stable, homogeneous gp140 trimers for structural and vaccine studies. For example, development of the BG505 SOSIP.664 gp140 trimer (Sanders et al., PLoS Pathog. 9(9):e1003618, 2013) has facilitated high-resolution structural analyses, provided a rational basis for trimer-based vaccine design, allowed

expansion of the SOSIP design to other HIV-1 strains and incorporation of new stabilizing mutations, and removal of furin dependency by cleavage site modification. However, a premium is placed on trimer purification in order to minimize unwanted Env forms and misfolded trimers. Complex methods such as bNAb affinity purification, 5 negative selection, and multi-cycle SEC have been developed for trimer purification, which can certainly be adapted for industrial scale production but will likely require special considerations. It is plausible that trimer impurity and general protein production inefficiency are linked to the fundamental causes of metastability that have not been completely solved by previous HIV-1 trimer designs.

10 [0025] The present invention is predicated in part on the present inventors' development of computationally redesigned HIV-1 Env trimer molecules as vaccine immunogens. As detailed in the Examples below, the inventors investigated the primary causes of HIV-1 trimer metastability and explored alternative trimer designs. The inventors hypothesized that the disorder observed at the HR1 N-terminus (residues 15 548-568) is indicative of metastability that could potentially be minimized by protein engineering. The inventors redesigned a largely disordered bend in heptad region 1 (HR1) that connects the long, central HR1 helix to the fusion peptide region, substantially improving the yield of well-folded trimers. Additionally the cleavage site between gp120 and gp41 was replaced with various linkers in the context of the HR1 redesign. Specifically, the inventors tested 10 BG505 trimers with the N-terminus 20 region of HR1 redesigned computationally. These constructs showed substantially higher trimer yield and purity, with SOSIP-like properties demonstrated by crystal structures, EM, and antibody binding. The inventors then examined the structural and antigenic effect of replacing the furin cleavage site between gp120 and gp41 with a 25 linker in the context of a selected HR1 redesign. These studies uncovered the sensitivity of gp140 folding to modification of this proteolytic site, with a fusion intermediate state observed for trimers with short linkers lacking the SOS mutation. By contrast, the HR1-redesigned trimers with a long linker, termed uncleaved pre-fusion-optimized (UFO) trimers, adopted a native-like conformation that resembled many salient features 30 of the SOSIP trimer. Additionally, the inventors demonstrated the utility of a generic HR1 linker in trimer stabilization for diverse strains of HIV-1. Further studies undertaken by the inventors showed that the engineered gp41 domains described herein can be used to pair with a gp120 polypeptide from many different HIV-1 strains or

subtypes to form “chimeric” gp140 trimers, e.g., “UFO-BG” or “UFO-U” as exemplified herein. Together, these studies demonstrated a general approach for stabilization of Env trimers from diverse HIV-1 strains.

[0026] Other than the gp140-derived soluble trimer immunogens with modified 5 HR1 region, the inventors further investigated the display of trimeric HIV-1 antigens on nanoparticles with an in-depth structural and antigenic characterization. The inventors hypothesized that the trimeric Env antigens, such as V1V2 and gp120, can be presented in native-like conformations around the threefold axes on the surface of nanoparticles. To test this hypothesis, the inventors designed constructs containing V1V2 and gp120 10 fused to the N-terminus of ferritin subunit. These chimeric antigens assembled into nanoparticles with high affinity for bNAbs targeting the apex as well as other key epitopes consistent with native-like trimer conformations. The inventors then investigated the particulate display of a stabilized gp140 trimer with a redesigned heptad repeat 1 (HR1) bend that showed substantial improvement in trimer purity. To 15 facilitate this analysis, the inventors designed three gp140-ferritin constructs containing different linkers, with gp41 truncated at either position 664 or 681. While all gp140-ferritin nanoparticles bound to the apex-directed bNAbs with sub-picomolar affinities, the MPER-containing gp140 nanoparticle could also be recognized by MPER-specific bNAb 4E10. In addition to ferritin, the inventors also examined the utility of a large, 20 60-meric E2p nanoparticle to present gp120 and gp140 trimers. As demonstrated herein, the gp140-E2p nanoparticle carrying 20 well-folded trimers demonstrated efficient particle assembly and desired antigenicity.

[0027] In accordance with these exemplified studies, the invention provides 25 various HIV-1 vaccine immunogens and their clinical applications. Some HIV-1 vaccine immunogens of the invention are soluble gp140-derived protein that harbors a modified N-terminus of the HR1 region in gp41 as disclosed herein. Some HIV-1 immunogens of the invention contain an HIV-1 Env-derived trimer protein that is presented on a nanoparticle platform. Therapeutic and preventive uses of the HIV-1 vaccine compositions of the invention are also provided in the invention.

30 [0028] Unless otherwise specified herein, the vaccine immunogens of the invention, the encoding polynucleotides, expression vectors and host cells, as well as the related therapeutic applications, can all be generated or performed in accordance with the procedures exemplified herein or routinely practiced methods well known in

the art. See, e.g., *Methods in Enzymology*, Volume 289: Solid-Phase Peptide Synthesis, J. N. Abelson, M. I. Simon, G. B. Fields (Editors), Academic Press; 1st edition (1997) (ISBN-13: 978-0121821906); U.S. Pat. Nos. 4,965,343, and 5,849,954; 5 Sambrook et al., *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor Press, N.Y., (3<sup>rd</sup> ed., 2000); Brent et al., *Current Protocols in Molecular Biology*, John Wiley & Sons, Inc. (ringbou ed., 2003); Davis et al., *Basic Methods in Molecular Biology*, Elsevier Science Publishing, Inc., New York, USA (1986); or *Methods in Enzymology: Guide to Molecular Cloning Techniques* Vol. 152, S. L. Berger and A. R. Kimmier Eds., Academic Press Inc., San Diego, USA (1987); *Current Protocols in Protein 10 Science (CPPS)* (John E. Coligan, et. al., ed., John Wiley and Sons, Inc.), *Current Protocols in Cell Biology (CPCB)* (Juan S. Bonifacino et. al. ed., John Wiley and Sons, Inc.), and *Culture of Animal Cells: A Manual of Basic Technique* by R. Ian Freshney, Publisher: Wiley-Liss; 5th edition (2005), *Animal Cell Culture Methods (Methods in Cell Biology*, Vol. 57, Jennie P. Mather and David Barnes editors, Academic Press, 1st 15 edition, 1998). The following sections provide additional guidance for practicing the compositions and methods of the present invention.

## II. Definitions

[0029] Unless defined otherwise, all technical and scientific terms used herein 20 have the same meaning as commonly understood by those of ordinary skill in the art to which this invention pertains. The following references provide one of skill with a general definition of many of the terms used in this invention: *Academic Press Dictionary of Science and Technology*, Morris (Ed.), Academic Press (1<sup>st</sup> ed., 1992); *Oxford Dictionary of Biochemistry and Molecular Biology*, Smith et al. (Eds.), Oxford 25 University Press (revised ed., 2000); *Encyclopaedic Dictionary of Chemistry*, Kumar (Ed.), Anmol Publications Pvt. Ltd. (2002); *Dictionary of Microbiology and Molecular Biology*, Singleton et al. (Eds.), John Wiley & Sons (3<sup>rd</sup> ed., 2002); *Dictionary of Chemistry*, Hunt (Ed.), Routledge (1<sup>st</sup> ed., 1999); *Dictionary of Pharmaceutical Medicine*, Nahler (Ed.), Springer-Verlag Telos (1994); *Dictionary of Organic 30 Chemistry*, Kumar and Anandand (Eds.), Anmol Publications Pvt. Ltd. (2002); and *A Dictionary of Biology (Oxford Paperback Reference)*, Martin and Hine (Eds.), Oxford University Press (4<sup>th</sup> ed., 2000). Further clarifications of some of these terms as they apply specifically to this invention are provided herein.

[0030] As used herein, the singular forms "a," "an," and "the," refer to both the singular as well as plural, unless the context clearly indicates otherwise. For example, "an Env-derived trimer" can refer to both single or plural Env-derived trimer molecules, and can be considered equivalent to the phrase "at least one Env-derived trimer."

5 [0031] As used herein, the terms "antigen" or "immunogen" are used interchangeably to refer to a substance, typically a protein, which is capable of inducing an immune response in a subject. The term also refers to proteins that are immunologically active in the sense that once administered to a subject (either directly or by administering to the subject a nucleotide sequence or vector that encodes the 10 protein) is able to evoke an immune response of the humoral and/or cellular type directed against that protein.

[0032] Conservative amino acid substitutions providing functionally similar amino acids are well known in the art. The following six groups each contain amino acids that are conservative substitutions for one another: 1) Alanine (A), Serine (S), Threonine (T); 15 2) Aspartic acid (D), Glutamic acid (E); 3) Asparagine (N), Glutamine (Q); Arginine (R), Lysine (K); 5) Isoleucine (I), Leucine (L), Methionine (M), Valine (V); and 6) Phenylalanine (F), Tyrosine (Y), Tryptophan (W). Not all residue positions within a protein will tolerate an otherwise "conservative" substitution. For instance, if an amino acid residue is essential for a function of the protein, even an otherwise conservative 20 substitution may disrupt that activity, for example the specific binding of an antibody to a target epitope may be disrupted by a conservative mutation in the target epitope.

[0033] Epitope refers to an antigenic determinant. These are particular chemical groups or peptide sequences on a molecule that are antigenic, such that they elicit a specific immune response, for example, an epitope is the region of an antigen to which 25 B and/or T cells respond. Epitopes can be formed both from contiguous amino acids or noncontiguous amino acids juxtaposed by tertiary folding of a protein.

[0034] Effective amount of a vaccine or other agent that is sufficient to generate a desired response, such as reduce or eliminate a sign or symptom of a condition or disease, such as AIDS. For instance, this can be the amount necessary to inhibit viral 30 replication or to measurably alter outward symptoms of the viral infection, such as increase of T cell counts in the case of an HIV-1 infection. In general, this amount will be sufficient to measurably inhibit virus (for example, HIV) replication or infectivity. When administered to a subject, a dosage will generally be used that will achieve target

tissue concentrations (for example, in lymphocytes) that has been shown to achieve in vitro inhibition of viral replication. In some examples, an "effective amount" is one that treats (including prophylaxis) one or more symptoms and/or underlying causes of any of a disorder or disease, for example to treat HIV. In one example, an effective amount 5 is a therapeutically effective amount. In one example, an effective amount is an amount that prevents one or more signs or symptoms of a particular disease or condition from developing, such as one or more signs or symptoms associated with AIDS.

[0035] Ferritin is a globular protein found in all animals, bacteria, and plants. It acts primarily to control the rate and location of polynuclear  $\text{Fe(III)}_2\text{O}_3$  formation 10 through the transportation of hydrated iron ions and protons to and from a mineralized core. The globular form of ferritin is made up of monomeric subunit proteins (also referred to as monomeric ferritin subunits), which are polypeptides having a molecule weight of approximately 17-20 kDa.

[0036] As used herein, a fusion protein is a recombinant protein containing amino 15 acid sequence from at least two unrelated proteins that have been joined together, via a peptide bond, to make a single protein. The unrelated amino acid sequences can be joined directly to each other or they can be joined using a linker sequence. As used herein, proteins are unrelated, if their amino acid sequences are not normally found joined together via a peptide bond in their natural environment(s) (e.g., inside a cell). 20 For example, the amino acid sequences of monomeric subunits that make up ferritin, and the amino acid sequences of HIV-1 gp120 or gp41 glycoproteins are not normally found joined together via a peptide bond.

[0037] HIV-1 envelope protein (Env) is initially synthesized as a longer precursor 25 protein of 845-870 amino acids in size, designated gp160. gp160 forms a homotrimer and undergoes glycosylation within the Golgi apparatus. *In vivo*, gp160 glycoprotein is endo-proteolytically processed to the mature envelope glycoproteins gp120 and gp41, which are noncovalently associated with each other in a complex on the surface of the virus. The gp120 surface protein contains the high affinity binding site for human CD4, the primary receptor for HIV, as well as domains that interact with fusion coreceptors, 30 such as the chemokine receptors CCR5 and CXCR4. The gp41 protein spans the viral membrane and contains at its amino-terminus a sequence of amino acids important for the fusion of viral and cellular membranes. The native, fusion-competent form of the HIV-1 envelope glycoprotein complex is a trimeric structure composed of three gp120

and three gp41 subunits. The receptor-binding (CD4 and co-receptor) sites are located in the gp120 moieties, whereas the fusion peptides are located in the gp41 components. Exemplary sequence of wildtype gp160 polypeptides are shown in GenBank, e.g., under accession numbers AAB05604 and AAD12142.

5 [0038] gp140 refers to an oligomeric form of HIV envelope protein, which contains all of gp120 and the entire gp41 ectodomain.

[0039] gp120 is an envelope protein of the Human Immunodeficiency Virus (HIV). gp120 contains most of the external, surface-exposed, domains of the HIV envelope glycoprotein complex, and it is gp120 which binds both to cellular CD4 receptors and

10 to cellular chemokine receptors (such as CCR5). The mature gp120 wildtype polypeptides have about 500 amino acids in the primary sequence. Gp120 is heavily N-glycosylated giving rise to an apparent molecular weight of 120 kD. The polypeptide is comprised of five conserved regions (C1-05) and five regions of high variability (V1-V5). In its tertiary structure, the gp120 glycoprotein is comprised of three major

15 structural domains (the outer domain, the inner domain, and the bridging sheet) plus the variable loops. See, e.g., Wyatt et al., *Nature* 393, 705-711, 1998; and Kwong et al., *Nature* 393, 649-59, 1998. The inner domain is believed to interact with the gp41 envelope glycoprotein, while the outer domain is exposed on the assembled envelope glycoprotein trimer.

20 [0040] Variable region 1 and Variable Region 2 (V1/V2 domain) of gp120 are comprised of about 50-90 residues which contain two of the most variable portions of HIV-1 (the V1 loop and the V2 loop), and one in ten residues of the V1/V2 domain are N-glycosylated.

[0041] gp41 is a proteolytic product of the precursor HIV envelope protein. It

25 contains an N-terminal fusion peptide (FP), a transmembrane domain, as well as an ectodomain that links the fusion peptide and a transmembrane domain. gp41 remains in a trimeric configuration and interacts with gp120 in a non-covalent manner. The amino acid sequence of an exemplary gp41 is set forth in GenBank, under Accession No. CAD20975.

30 [0042] BG505 SOSIP.664 gp140 is a HIV-1 Env immunogen developed with the gp140 trimer from clade-A strain BG505. It contains a covalent linkage between the cleaved gp120 and gp41<sub>ECTO</sub> with an engineered disulfide bond (termed SOS). In addition, it has an I559P mutation (termed IP) to destabilize the gp41 post-fusion

conformation and also a truncation of the membrane-proximal external region (MPER) at residue 664 to improve solubility. This HIV-1 immunogen has an outstanding antigenic profile and excellent structural mimicry of the native spike. Using the SOSIP trimer as a sorting probe, new bNAbs have been identified and characterized. The

5     SOSIP design has also been extended to other HIV-1 strains and permitted the incorporation of additional stabilizing mutations. Recently, immunogenicity of SOSIP trimers in rabbits and nonhuman primates was reported, paving the way for human vaccine trials.

[0043]     HXB2 numbering system is a reference numbering system for HIV protein and nucleic acid sequences, using HIV-1 HXB2 strain sequences as a reference for all other HIV strain sequences. The person of ordinary skill in the art is familiar with the HXB2 numbering system, and this system is set forth in "Numbering Positions in HIV Relative to HXB2CG," Bette Korber et al., Human Retroviruses and AIDS 1998: A Compilation and Analysis of Nucleic Acid and Amino Acid Sequences. Korber B, 10     Kuiken C L, Foley B, Hahn B, McCutchan F, Mellors J W, and Sodroski J, Eds. Theoretical Biology and Biophysics Group, Los Alamos National Laboratory, Los 15     Alamos, N. Mex.

[0044]     Immunogen is a protein or a portion thereof that is capable of inducing an immune response in a mammal, such as a mammal infected or at risk of infection with a 20     pathogen. Administration of an immunogen can lead to protective immunity and/or proactive immunity against a pathogen of interest.

[0045]     Immunogenic surface is a surface of a molecule, for example a protein such as gp120, capable of eliciting an immune response. An immunogenic surface includes the defining features of that surface, for example the three-dimensional shape and the 25     surface charge. In some examples, an immunogenic surface is defined by the amino acids on the surface of a protein or peptide that are in contact with an antibody, such as a neutralizing antibody, when the protein and the antibody are bound together. A target epitope includes an immunogenic surface. Immunogenic surface is synonymous with antigenic surface.

30     [0046]     Immune response refers to a response of a cell of the immune system, such as a B cell, T cell, or monocyte, to a stimulus. In some embodiment, the response is specific for a particular antigen (an "antigen-specific response"). In some embodiments, an immune response is a T cell response, such as a CD4+ response or a CD8+ response.

In some other embodiments, the response is a B cell response, and results in the production of specific antibodies.

[0047] Immunogenic composition refers to a composition comprising an immunogenic polypeptide that induces a measurable CTL response against virus 5 expressing the immunogenic polypeptide, or induces a measurable B cell response (such as production of antibodies) against the immunogenic polypeptide.

[0048] Sequence identity or similarity between two or more nucleic acid sequences, or two or more amino acid sequences, is expressed in terms of the identity or similarity 10 between the sequences. Sequence identity can be measured in terms of percentage identity; the higher the percentage, the more identical the sequences are. Homologs or orthologs of nucleic acid or amino acid sequences possess a relatively high degree of sequence identity/similarity when aligned using standard methods. Methods of alignment of sequences for comparison are well known in the art. Various programs and alignment algorithms are described in: Smith & Waterman, *Adv. Appl. Math.* 2:482, 15 1981; Needleman & Wunsch, *J. Mol. Biol.* 48:443, 1970; Pearson & Lipman, *Proc. Natl. Acad. Sci. USA* 85:2444, 1988; Higgins & Sharp, *Gene*, 73:237-44, 1988; Higgins & Sharp, *CABIOS* 5:151-3, 1989; Corpet et al., *Nuc. Acids Res.* 16:10881-90, 1988; Huang et al. *Computer Appl. in the Biosciences* 8, 155-65, 1992; and Pearson et al., *Meth. Mol. Bio.* 24:307-31, 1994. Altschul et al., *J. Mol. Biol.* 215:403-10, 1990, 20 presents a detailed consideration of sequence alignment methods and homology calculations.

[0049] Rotational symmetry, also known in biological contexts as radial symmetry, refers to the property of an object that looks the same after a certain amount of rotation. An object may have more than one rotational symmetry; for instance, if reflections or 25 turning it over are not counted. The degree of rotational symmetry is how many degrees the shape has to be turned to look the same on a different side or vertex. It cannot be the same side or vertex. Rotational symmetry of order  $n$ , also called  $n$ -fold rotational symmetry, or discrete rotational symmetry of the  $n$ th order, with respect to a particular point (in 2D) or axis (in 3D; e.g., 3-fold axis described herein) means that rotation by 30 an angle of  $360^\circ/n$  ( $180^\circ$ ,  $120^\circ$ ,  $90^\circ$ ,  $72^\circ$ ,  $60^\circ$ ,  $51\ 3/7^\circ$ , etc.) does not change the object.

[0050] Bacteriophage Q<sub>β</sub> (Q<sub>β</sub> or Q as denoted herein) is an icosahedral virus with a diameter of 25 nm. Its host is *Escherichia coli*. Q<sub>β</sub> enters its host cell through the side of the F pilus. The genome of Q<sub>β</sub> is 4217 nucleotides long. The genome has three open

reading frames and encodes four proteins: A1, A2, CP and qβ replicase. See, e.g., van Duin et al., "Single-stranded RNA phages. Chapter 15". In Calendar, R. L. The Bacteriophages (Second ed., 2006). Oxford University Press, pp. 175–196. The genome of Q<sub>β</sub> is highly structured, which regulates gene expression and protects the genome from host RNases.

5 [0051] The term "subject" refers to any animal classified as a mammal, e.g., human and non-human mammals. Examples of non-human animals include dogs, cats, cattle, horses, sheep, pigs, goats, rabbits, and etc. Unless otherwise noted, the terms "patient" or "subject" are used herein interchangeably. Preferably, the subject is human.

10 [0052] The term "treating" or "alleviating" includes the administration of compounds or agents to a subject to prevent or delay the onset of the symptoms, complications, or biochemical indicia of a disease (e.g., an HIV infection), alleviating the symptoms or arresting or inhibiting further development of the disease, condition, or disorder. Subjects in need of treatment include those already suffering from the 15 disease or disorder as well as those being at risk of developing the disorder. Treatment may be prophylactic (to prevent or delay the onset of the disease, or to prevent the manifestation of clinical or subclinical symptoms thereof) or therapeutic suppression or alleviation of symptoms after the manifestation of the disease.

20 [0053] Vaccine refers to a pharmaceutical composition that elicits a prophylactic or therapeutic immune response in a subject. In some cases, the immune response is a protective immune response. Typically, a vaccine elicits an antigen-specific immune response to an antigen of a pathogen, for example a viral pathogen, or to a cellular constituent correlated with a pathological condition. A vaccine may include a 25 polynucleotide (such as a nucleic acid encoding a disclosed antigen), a peptide or polypeptide (such as a disclosed antigen), a virus, a cell or one or more cellular constituents.

30 [0054] Virus-like particle (VLP) refers to a non-replicating, viral shell, derived from any of several viruses. VLPs are generally composed of one or more viral proteins, such as, but not limited to, those proteins referred to as capsid, coat, shell, surface and/or envelope proteins, or particle-forming polypeptides derived from these proteins. VLPs can form spontaneously upon recombinant expression of the protein in an appropriate expression system. Methods for producing particular VLPs are known in the art. The presence of VLPs following recombinant expression of viral proteins can

be detected using conventional techniques known in the art, such as by electron microscopy, biophysical characterization, and the like. See, for example, Baker et al. (1991) *Biophys. J.* 60:1445-1456; and Hagensee et al. (1994) *J. Virol.* 68:4503-4505. For example, VLPs can be isolated by density gradient centrifugation and/or identified 5 by characteristic density banding. Alternatively, cryoelectron microscopy can be performed on vitrified aqueous samples of the VLP preparation in question, and images recorded under appropriate exposure conditions.

### III. Modified HIV-1 gp140 proteins and immunogens with redesigned HR1 region

10 [0055] HIV-1 Env is a heterodimer of a transmembrane glycoprotein (gp41) and a surface glycoprotein (gp120). These dimers are organized as trimers on the surface of the viral membrane. The HIV-1 trimer immunogens of the invention are formed of a gp140-related protein that contains a gp120-derived polypeptide and a gp41-derived polypeptide with a redesigned N-terminus (residues 548-568) of the heptad region 1 15 (HR1) in gp41. The gp140-related protein should maintain an appropriate trimeric structure described herein (e.g., a native-like trimeric conformation). The gp120-derived polypeptide and the gp41-derived polypeptide can be associated non-covalently as in the natural HIV-1 gp140 protein or covalently linked via a linker sequence described herein. The well characterized gp120 glycoprotein contains the core and 20 several variable loops or domains (e.g., the V1V2 domain and the V3 domain). Various gp120-derived polypeptides can be employed in the practice of the invention. The gp120-derived polypeptide does not have to contain the full-length sequence of a wildtype gp120 glycoprotein. Thus, the gp120-derived polypeptide can be, e.g., the natural gp120 protein, the V1V2 domains of the gp120 glycoprotein, the gp120 core 25 (i.e., the inner domain and the outer domain), and just the outer domain of gp120 core. In some embodiments, the employed gp120-derived polypeptide encompasses its gp41-interactive region and the antigenic epitopes (e.g., the outer domain).

30 [0056] Typically, the gp140-derived polypeptide should harbor and expose the native epitopes (e.g., “sites of HIV-1 vulnerability” or “broadly neutralizing epitopes”) recognized by one or more of the well characterized HIV bnAbs (e.g., PG9, PG16, CH03, PGDM1400, VRC01, 4E10 and 10E8). For example, PG9 is a broadly neutralizing monoclonal antibody that specifically binds to the V1/V2 domain of HIV-1 gp120 and prevents HIV-1 infection of target cells (see, e.g., Walker et al., *Nature*,

477:466-470, 2011; and WO/2010/107939). In addition, sequences with conservative amino acid substitutions or sequences that are substantially identical to the gp140-derived polypeptide exemplified herein can also be used in the invention. In various embodiments, the vaccine immunogens of the invention are further characterized by 5 their antigenicity of specifically binding to one or more (e.g., 2, 3, 4, 5 or more) of the well known HIV-1 bnAbs (e.g., PG9, PG16, CH03, PGDM1400, VRC01, 4E10 and 10E8). Such antigenicity can be readily assessed via methods routinely practiced in the art, e.g., the Octet measurement (ForteBio, Inc.). See, e.g., Fera et al., Proc. Natl. Acad. Sci. USA. 111: 10275–10280, 2014; and McGuire et al., J. Virol. 88: 2645-2657, 2014.

10 [0057] Other than the gp120-derived polypeptide, the gp140-related protein for producing the HIV-1 trimer immunogens of the invention also contains a gp41-derived polypeptide with a redesigned N-terminus (residues 548-568) of the heptad region 1 (HR1). In some embodiments, the gp120 and gp41 polypeptides in the engineered gp140 immunogens of the invention are derived from the same HIV-1 strain or subtype.

15 In some embodiments, the gp120 and gp120 polypeptides in the gp140 protein are derived from different HIV-1 strains or subtypes. For example, as exemplified herein, a modified gp41 from strain BG505 or a universal gp41 domain derived from the HIV-1 sequence database can be combined with gp120 from various other HIV-strains or subtypes to form different chimeric gp140 trimer immunogens. The modified gp41-derived polypeptide in the engineered gp140 immunogens of the invention typically 20 harbors the HR1 region of the native gp41 protein except for the N-terminus modification described herein. The HR1 region undergoes drastic conformational change during vial fusion with host cells. Preferably, the gp41-derived polypeptide is a soluble polypeptide that has the transmembrane region truncated, e.g., a polypeptide containing the ectodomain (gp41<sub>ECO</sub>) or a polypeptide containing the fusion peptide and the ectodomain. In various embodiments, the 21 residue N-terminus of HR1 25 (residues 548-568) of the gp41-derived polypeptide is replaced with a shorter loop sequence to stabilize the pre-fusion gp140 structure. The loop sequence can contain from about 6 to about 14 amino acid residues. Specific loop sequences suitable for the HIV-1 trimer immunogens of the invention can be obtained by rational design to ensure 30 proper function (e.g., stabilizing the pre-fusion conformation of gp140). For example, shorter loop sequences replacing the HR1 N-terminus can be designed via the ensemble-based *de novo* protein design method exemplified herein. As detailed in the

Examples herein, almost all HR1 redesigns based on the computational method showed substantial improvement in terms of trimer yield and purity.

[0058] In some embodiments, the inserted loop sequence replacing the HR1 N-terminus contains 10 amino acid residues. Specific examples of such loop sequences

5 are shown in SEQ ID NOs:1-5. In some other embodiments, the substituting loop sequence contains 8 amino acid residues. Examples of such loop sequences are shown in SEQ ID NOs:6-15. In still some other embodiments, the loop sequence replacing the HR1 N-terminus can contain about 6, 7, 9, 11, 12, 13, or 14 amino acid residues. Such loop sequences can be readily obtained by applying the same rational resign methods

10 exemplified herein for the 8-residue and 10-residue loop sequences. In some other embodiments, a generic loop sequence containing 2-7 tandem repeats of GS ((GS)<sub>n</sub>; SEQ ID NO:23) can be used in the redesign of the HR1 N-terminus. As demonstrated herein (e.g., Fig. 2a), a generic loop sequence (GS)<sub>4</sub> (SEQ ID NO:24) was shown to be effective in constructing modified gp140 immunogens from various HIV-1 strains.

15 [0059] In addition to the HR1 N-terminus modification, some gp140-derived proteins for forming HIV-1 trimer immunogen of the invention also have the protease cleavage site between gp120 and gp41 replaced with a linker sequence to create non-cleavable gp140 protein. As exemplified herein, various cleavage site linkers can be used in the gp140-derived protein immunogens of the invention. In various

20 embodiments, the linkers can contain different amino acid residues of varying length. In some embodiments, the 4-residue cleavage site (i.e., residues 508-511) is replaced with the linker sequence. For example, the cleavage site can be replaced with a linker containing one or more tandem repeats of a SGS motif. Alternatively, the cleavage site can be replaced with a linker of (G<sub>4</sub>S)<sub>2</sub> (SEQ ID NO:22). In some other embodiments,

25 a longer cleavage site-containing region (e.g., residues 501-518) is replaced with the linker sequence. In some of these embodiments, the linker contains an 8-amino acid residue sequence. Some specific linker sequences that replace the cleavage site in the gp140-derived protein are shown in SEQ ID NOs:16-20. As exemplified herein, a combination of the cleavage site linker sequence and the redesigned HR1 N-terminus in

30 the gp140 immunogens of the invention lead to further improvement in trimer yield and purity.

[0060] In some embodiments, the association between gp120 and gp41 can be stabilized by the introduction of a correctly positioned intermolecular disulfide bond to

make a soluble form of Env, SOS gp140. Such a stabilized, native Env complex would increase the time that the trimeric gp120-gp41 complex is presented to the immune system. The gp120-gp41 interactions in SOS gp140 can also be stabilized by deleting the first and second variable (V1 and V2) loops and by introducing amino acid 5 substitutions into the N-terminal heptad repeat region around position 559 of gp41 (see, e.g., WO 03/022869). One such modified gp140 protein is SOSIP gp140, which contains an I559P substitution. SOSIP gp140 is properly folded, proteolytically cleaved, substantially trimeric, and has appropriate receptor binding and antigenic properties. Stability and immunogenicity of gp140 or other Env-derived trimers can be 10 additionally enhanced by the trimer-presenting formats described herein.

[0061] In some embodiments, the modified gp140-related protein may additional include modified glycan site at residue 332 (T332N). In some other embodiments, the modified gp140 protein harboring a redesigned HR1 N-terminus also has other mutations or alterations introduced at the cleavage site, e.g., replacing REKR (SEQ ID 15 NO:25) with RRRRRR (SEQ ID NO:26). In various embodiments, the C terminus of the modified gp140 protein can be truncated to either residue 664 or 681 (according to HXB2 nomenclature), resulting in the two gp140 versions like "BG505 SOSIP.gp140.664" and "BG505 SOSIP.gp140.681" which are known in the art. Also, the HIV-1 immunogens of the invention can employ the different gp140 derived 20 proteins from various HIV-1 clades or strains (e.g., strains BG505 (clade A), JRFL (clade B) CAP45 (clade C), ZM109 (clade C), DU172.17 (clade C), and CH115.12 (clade B'/C) exemplified herein). HIV-1 can be classified into four groups: the "major" group M, the "outlier" group O, group N, and group P. Within group M, there are several genetically distinct clades (or subtypes) of HIV-1. The gp140 trimers for the 25 present invention can be derived from any subtype of HIV, such as groups M, N, O, or P or Glade A, B, C, D, F, G, H, J or K and the like. Sequences encoding HIV-1 Env glycoproteins and methods for the manipulation and insertion of such nucleic acid sequences into vectors, are known (see, e.g., HIV Sequence Compendium, Division of AIDS, National Institute of Allergy and Infectious Diseases (2003); HIV Sequence 30 Database ([hiv-web.lanl.gov/content/hiv-db/mainpage.html](http://hiv-web.lanl.gov/content/hiv-db/mainpage.html)); Sambrook et al., Molecular Cloning: A Laboratory Manual, Cold Spring Harbor Press, N.Y., (3<sup>rd</sup> ed., 2000); and Brent et al., Current Protocols in Molecular Biology, John Wiley & Sons, Inc. (ringbou ed., 2003). Further, there is an HR1-type region in most enveloped viruses that employ

a similar type-1 fusion mechanism, such as influenza virus, Ebola, and respiratory syncytial virus (RSV). The strategy for generating HIV-1 gp140 immunogens of the invention can also be employed for stabilizing Env spikes in designing and producing vaccine immunogens for the other enveloped viruses.

5 [0062] As detailed below, the gp140-derived protein may be conjugated to the presenting platform (e.g., nanoparticles or VLPs) via various means. Preferably, the conjugation is achieved via covalent linkage, e.g., protein fusions or insertions. In some preferred embodiments, the protein sequence is fused with the presenting platform sequence via a linker sequence. In the various immunogens of the invention, 10 other modifications can also be made to the gp140-derived trimers or the conjugating partner in order to improve stability or antigenicity.

15 [0063] The various gp140-derived proteins used in the invention can be obtained or generated in accordance with the protocols exemplified herein or methods well known in the art. Upon recombinant expression (e.g., in HEK293 F cells as detailed herein), the proteins can be purified by any of the routinely practiced procedures. See for example Guide to Protein Purification, ed. Deutscher, Meth. Enzymol. 185, Academic Press, San Diego, 1990; and Scopes, Protein Purification: Principles and Practice, Springer Verlag, New York, 1982. Substantial purification denotes purification from other proteins or cellular components. A substantially purified protein 20 is at least 60%, 70%, 80%, 90%, 95% or 98% pure. Once purified, antigenicity and other properties of gp140 trimer immunogens formed of the gp140 derived protein can also be readily examined with standard methods, e.g., antigenic profiling using known bNAbs and non-Nabs, differential scanning calorimetry (DSC), electron microscopy, binding analysis via ELISA and Biolayer Light Interferometry (BLI), and co-crystallography analysis as exemplified herein.

#### IV. Scaffolded HIV-1 trimer immunogen compositions

25 [0064] Other than soluble gp140-based trimer immunogens described above, the invention also provides HIV-1 immunogens that contain a heterologous scaffold that presents or incorporates a trimeric Env-derived protein. In some embodiments, the heterologous scaffold is a nanoparticle or virus-like particle (VLP). Various nanoparticle platforms can be employed in generating the vaccine compositions of the invention. In general, the nanoparticles employed in the invention need to be formed

by multiple copies of a single subunit. Additionally or alternatively, the amino-terminus of the particle subunit has to be exposed and in close proximity to the 3-fold axis, and the spacing of three amino-termini has to closely match the spacing of the carboxyol-termini of various HIV-1 trimeric components. In some preferred 5 embodiments, the immunogens comprise self-assembling nanoparticles with a diameter of about 20nm or less (usually assembled from 12, 24, or 60 subunits) and 3-fold axes on the particle surface. Such nanoparticles provide suitable particle platforms to produce multivalent HIV-1 trimer vaccines.

[0065] In some embodiments, the HIV-1 trimer-presenting nanoparticles are 10 naturally existing nanoparticles such as ferritin ion cages with 3-fold axes on the surface. They allow presentation of multiple copies of the trimeric component of HIV-1 envelope complex (Env), enabling a series of multivalent trimer vaccine candidates. As an example, one of such nanoparticles is the ferritin nanoparticle from *Helicobacter pylori*. Ferritin is a globular protein found in all animals, bacteria, and plants. Its 15 primary function is to control the rate and location of polynuclear Fe(III)<sub>2</sub>O<sub>3</sub> formation through the transportation of hydrated iron ions and protons to and from a mineralized core. The globular form of ferritin is made up of monomeric subunit proteins (also referred to as monomeric ferritin subunits), which are polypeptides having a molecule weight of approximately 17-20 kDa.

[0066] A monomeric ferritin subunit used in the invention is a full length, single 20 polypeptide of a ferritin protein, or any portion thereof, which is capable of directing self-assembly of monomeric ferritin subunits into the globular form of the protein. Amino acid sequences from monomeric ferritin subunits of any known ferritin protein 25 can be used to produce fusion proteins of the present invention, so long as the monomeric ferritin subunit is capable of self-assembling into a nanoparticle displaying HIV-1 epitopes on its surface. In addition to ferritin, the invention can also employ many other self-assembling nanoparticles with similar molecular traits. These include, e.g., molecules with the following PDB IDs: 1JG (12-mer Dlp-2 from *Bacillus antracis*), 1UVH (12-mer DPS from *Mycobacterium Smegmatis*), 2YGD (24-mer eye 30 lens chaperone  $\alpha$ B-crystallin), 3CS0 (24-mer DegP24), 3MH6 and 3MH7 (24-mer HtrA proteases), 3PV2 (12-mer HtrA homolog DegQ WT), 4A8C (12-mer DegQ from *E. Coli*), 4A9G (24-mer DegQ from *E. Coli*), 4EVE (12-mer HP-NAP from *Helicobacter pylori* strain YS29), and 4GQU (24-mer HisB from *Mycobacterium tuberculosis*).

[0067] In some embodiments, the HIV-1 trimer immunogen presenting nanoparticles are thermostable 60-meric nanoparticles, e.g., dihydrolipoyl acyltransferase (E2p) from *Bacillus stearothermophilus*. In some embodiments, the employed nanoparticles can be lumazine synthase (LS) from *Aquifex aeolicus*. E2p is a 5 hollow dodecahedron with a diameter of 23.2 nm and 12 large openings separating the threefold vertices on the particle surface. LS, with a diameter of 14.8 nm, is an assembly of 60 subunits arranged in a capsid with T 1/4 1 icosahedral symmetry. As exemplified herein, trimer immunogens presented on these nanoparticles (e.g., E2p) have excellent structural and functional properties, including an optimal size for direct 10 uptake by DCs and increased recognition by bNAbs.

[0068] Any Env-derived HIV-1 trimer proteins can be used in the nanoparticle-presented vaccine compositions. In some embodiments, the nanoparticles present a native trimeric form of HIV-1 Env based glycoproteins or domains, e.g., gp140, gp120 or V1V2 domains as exemplified herein (see, e.g., Table 2). In some embodiments, the 15 nanoparticles present a modified gp140 trimer immunogen, e.g., a HR1-modified gp140 trimer described herein. As the receptor-binding protein of HIV-1 Env, gp120 has been extensively studied as a vaccine immunogen, but is now considered suboptimal due to the exposure of a non-neutralizing face that is buried within the native spike. As demonstrated herein, display of full-length gp120 with ferritin and E2p nanoparticles 20 can restore the native-like trimer conformation in the absence of gp41. With SOSIP-like antigenicity and variations in particle size and surface spacing, these nanoparticles provide versatile platforms to investigate gp120-based HIV-1 vaccines.

[0069] In addition, the Env-derived trimer protein can be obtained from various HIV-1 strains. In some embodiments, the Env-derived trimer is from HIV-1 strain 25 BG505. As exemplifications, V1V2-ferritin nanoparticles were produced with trimer proteins of HIV-1 strains ZM109 and CAP45. Also exemplified herein are nanoparticles (E2p or ferritin) displaying gp140 trimers, full length gp120, full length gp120 with an additional disulfide bond to stabilize the gp120 termini, and gp120 molecules of different lengths. These exemplifications indicate that the general 30 nanoparticle structure and design described herein strategy can be applied to create multivalent HIV-1 vaccine candidates based on other HIV-1 strains.

[0070] In various embodiments, nanoparticle displaying any of these HIV-1 Env-derived immunogens can be constructed by fusing the trimer immunogen to the subunit

of the nanoparticle (e.g., E2p or ferritin subunit). The antigenicity and structural integrity of these nanoparticle based HIV-1 immunogens can be readily analyzed via standard assays, e.g., antibody binding assays and negative-stain electron microscopy (EM). As exemplified herein, the various fusion molecules can all self-assemble into 5 nanoparticles that display immunogenic epitopes of the Env-derived trimer (e.g., gp140). By eliciting a robust trimer-specific bnAbs, these nanoparticles are useful for vaccinating individuals against a broad range of HIV-1 viruses.

[0071] In some embodiments, the heterologous scaffold that presents or incorporates a trimeric Env-derived protein, e.g., a gp140-derived trimer protein 10 described herein, is a virus-like particle (VLP) such as bacteriophage Q<sub>8</sub> VLP as exemplified herein, or a self-assembling nanoparticle possessing the same molecular and geometric traits as a VLP. In general, the VLPs to be used in the present invention need to meet at least one, and preferably all, of the following criteria: (1) the VLP has to be formed by multiple copies of a single subunit; (2) the VLP has to have 3-fold axes 15 displayed on the surface; and (3) the N-terminus of each VLP subunit has to be exposed and in close proximity to the 3-fold axis, and the spacing of three N-termini match the spacing of the C-termini of an HIV-1 trimeric antigen so that the HIV-1 antigen can be fused to the N-terminus of the VLP subunit. Or alternatively, the 3-fold axis is surrounded by three surface loops, each from a VLP subunit, where the HIV-1 antigen 20 can be inserted into the subunit chain.

[0072] In various embodiments, the VLP based HIV-1 immunogens of the invention can have a minimum of 20-25 epitopes spaced by 5-10nm, which is sufficient for B-cell activation. In some embodiments, the VLPs have a diameter of 30-40 nm and 3-fold axes on the surface, which provide an ideal platform to develop multivalent 25 HIV-1 trimer vaccines. In some embodiments, the VLP based HIV-1 immunogens can employ any of the VLPs identified by the inventors via bioinformatic analysis of an annotated database for icosahedral virus capsids, VIPERdb (<http://viperdb.scripps.edu/>). These include bacteriophage Q with a 3.5Å crystal structure (PDB ID: 1QBE), flock 30 house virus (FHV) capsid with a 3.5Å crystal structure (PDB ID: 4FSJ), Orsay virus capsid with a 3.25Å crystal structure (PDB ID: 4NWW) in the PDB database (<http://www.rcsb.org/pdb>), and B-cell activating factor (BAFF) with a 3.0Å crystal structure (PDB ID: 1JHS), which forms a 60-mer VLP-like assembly. In some preferred embodiments, bacteriophage Q is used due to its optimal structural features.

Additional VLPs suitable for the invention can be readily identified via bioinformatic search of similar particle assembly and subunit structure as that identified for any of these exemplified VLPs. For example, bacteriophages MS2 (PDB ID: 2WBH) and P22 (2XYY and 2XYZ) have been used to engineer antigen-presenting VLP vaccine platforms. These two bacteriophage VLPs can also be used to construct multivalent HIV-1 vaccine immunogens of the invention.

[0073] The multivalently scaffolded HIV-1 trimer immunogens of the invention can be constructed in accordance with the methods described herein (e.g., Examples 9-13). Various nanoparticle presenting HIV-1 trimer immunogens are exemplified herein. These include V1V2 trimers presented on ferritin (SEQ ID NOs:29-31), gp120 trimers presented on ferritin (SEQ ID NOs:32-34), gp120 trimers presented by E2p or LS (SEQ ID NOs:35-36), gp140 trimers presented on ferritin nanoparticles (SEQ ID NOs:37-39), and gp140 trimer immunogens presented on LS or E2p nanoparticles (SEQ ID NOs:40-41). In general, to construct the VLP presenting HIV-1 trimer immunogens, the trimer sequences can either be fused with the VLP sequence (e.g., at the N-terminus of the VLP) or inserted into the VLP sequence. In some embodiments, the VLP is fused at its N-terminus with the HIV-1 Env-derived trimer, e.g., HIV-1 V1V2, gp120, and the two versions of SOSIP gp140 trimer noted above can be presented on the VLP. In some other embodiments, the HIV-1 Env-derived trimer is inserted into the VLP. In these embodiments, the HIV-1 trimer can be the V1V2 domains or the gp120 protein. Since the N- and C-termini of gp140 are distant, this Env-derived trimer is not suited for insertion into the VLP. As exemplified herein, a series of VLP constructs were generated by fusing HIV-1 V1V2, gp120, and two versions of SOSIP gp140 to the Q subunit, by inserting V1V2 into the surface loops of FHV and Orsay subunits, and by inserting V1V2 and gp120 into a surface loop of BAFF. As detailed in the Examples below, antigenicity and VLP assembly were validated for all Q-based VLPs with antibody binding assays and negative stain electron microscopy (EM). Antigenicity was also validated for the FHV-, Orsay-, and BAFF-based VLPs.

30 V. Pharmaceutical compositions and therapeutic applications

[0074] The invention provides pharmaceutical compositions and related methods of using the HIV-1 immunogens (e.g., soluble gp140-derived proteins or nanoparticles displaying an Env-derived trimer) described herein for preventing and treating HIV-1

infections. In some embodiments, the immunogens disclosed herein are included in a pharmaceutical composition. The pharmaceutical composition can be either a therapeutic formulation or a prophylactic formulation. Typically, the composition additionally includes one or more pharmaceutically acceptable vehicles and, optionally, 5 other therapeutic ingredients (for example, antibiotics or antiviral drugs). Various pharmaceutically acceptable additives can also be used in the compositions.

[0075] Some of the pharmaceutical compositions of the invention are vaccines. For vaccine compositions, appropriate adjuvants can be additionally included.

Examples of suitable adjuvants include, e.g., aluminum hydroxide, lecithin, Freund's 10 adjuvant, MPL™ and IL-12. In some embodiments, the HIV-1 immunogens disclosed herein can be formulated as a controlled-release or time-release formulation. This can be achieved in a composition that contains a slow release polymer or via a microencapsulated delivery system or bioadhesive gel. The various pharmaceutical compositions can be prepared in accordance with standard procedures well known in 15 the art. See, e.g., Remington's Pharmaceutical Sciences, 19.sup.th Ed., Mack Publishing Company, Easton, Pa., 1995; Sustained and Controlled Release Drug Delivery Systems, J. R. Robinson, ed., Marcel Dekker, Inc., New York, 1978); U.S. Pat. Nos. 4,652,441 and 4,917,893; U.S. Pat. Nos. 4,677,191 and 4,728,721; and U.S. Pat. No. 4,675,189.

[0076] The pharmaceutical compositions of the invention can be readily employed 20 in a variety of therapeutic or prophylactic applications for treating HIV-1 infection or eliciting an immune response to HIV-1 in a subject. For example, the composition can be administered to a subject to induce an immune response to HIV-1, e.g., to induce production of broadly neutralizing antibodies to HIV-1. For subjects at risk of 25 developing an HIV infection, a vaccine composition of the invention can be administered to provide prophylactic protection against viral infection. Depending on the specific subject and conditions, the pharmaceutical compositions of the invention can be administered to subjects by a variety of administration modes known to the person of ordinary skill in the art, for example, intramuscular, subcutaneous, 30 intravenous, intra-arterial, intra-articular, intraperitoneal, or parenteral routes. In general, the pharmaceutical composition is administered to a subject in need of such treatment for a time and under conditions sufficient to prevent, inhibit, and/or ameliorate a selected disease or condition or one or more symptom(s) thereof. The

immunogenic composition is administered in an amount sufficient to induce an immune response against HIV-1. For therapeutic applications, the compositions should contain a therapeutically effective amount of the HIV-1 immunogen described herein. For prophylactic applications, the compositions should contain a prophylactically effective 5 amount of the HIV-1 immunogen described herein. The appropriate amount of the immunogen can be determined based on the specific disease or condition to be treated or prevented, severity, age of the subject, and other personal attributes of the specific subject (e.g., the general state of the subject's health and the robustness of the subject's immune system). Determination of effective dosages is additionally guided with 10 animal model studies followed up by human clinical trials and is guided by administration protocols that significantly reduce the occurrence or severity of targeted disease symptoms or conditions in the subject.

[0077] For prophylactic applications, the immunogenic composition is provided in advance of any symptom, for example in advance of infection. The prophylactic 15 administration of the immunogenic compositions serves to prevent or ameliorate any subsequent infection. Thus, in some embodiments, a subject to be treated is one who has, or is at risk for developing, an HIV infection, for example because of exposure or the possibility of exposure to HIV. Following administration of a therapeutically effective amount of the disclosed therapeutic compositions, the subject can be 20 monitored for HIV-1 infection, symptoms associated with HIV-1 infection, or both.

[0078] For therapeutic applications, the immunogenic composition is provided at or after the onset of a symptom of disease or infection, for example after development 25 of a symptom of HIV-1 infection, or after diagnosis of HIV-1 infection. The immunogenic composition can thus be provided prior to the anticipated exposure to HIV virus so as to attenuate the anticipated severity, duration or extent of an infection and/or associated disease symptoms, after exposure or suspected exposure to the virus, or after the actual initiation of an infection.

[0079] The pharmaceutical composition of the invention can be combined with other agents known in the art for treating or preventing HIV infections. These include, 30 e.g., antibodies or other antiviral agents such as nucleoside reverse transcriptase inhibitors, such as abacavir, AZT, didanosine, emtricitabine, lamivudine, stavudine, tenofovir, zalcitabine, zidovudine, and the like, non-nucleoside reverse transcriptase inhibitors, such as delavirdine, efavirenz, nevirapine, protease inhibitors such as

amprenavir, atazanavir, indinavir, lopinavir, nelfinavir, osamprenavir, ritonavir, saquinavir, tipranavir, and the like, and fusion protein inhibitors such as enfuvirtide and the like. Administration of the pharmaceutical composition and the known anti-HIV agents can be either concurrently or sequentially.

5 [0080] The HIV-1 vaccine immunogens or pharmaceutical compositions of the invention can be provided as components of a kit. Optionally, such a kit includes additional components including packaging, instructions and various other reagents, such as buffers, substrates, antibodies or ligands, such as control antibodies or ligands, and detection reagents. An optional instruction sheet can be additionally provided in  
10 the kits.

#### EXAMPLES

15 [0081] The following examples are offered to illustrate, but not to limit the present invention.

Example 1 Ensemble-based protein design for the HR1 region

[0082] We hypothesized that the N-terminus of HR1 (residues 548-568) is a critical determinant of HIV-1 trimer metastability because it is poised to elongate during fusion and is disordered in all but one reported structure of the SOSIP trimer,  
20 where it still appears less ordered compared to the surrounding regions (Fig. 1a). Disorder at the top of the long HR1 central helix is somewhat unexpected because this region is at the core of the Env complex; however, this region is expected to refold and become helical in the post-fusion form, as in the equivalent region of influenza hemagglutinin and other type 1 viral fusion proteins (Wilson et al., *Nature* 289, 1981),  
25 and therefore less ordered in the pre-fusion form or at least adopt a completely different conformation. In the SOSIP design, in addition to an engineered disulfide bond (A501C/T605C), the I559P mutation was introduced to destabilize the post-fusion state and was critical for production of high-quality Env protein, strongly supporting the notion that this HR1 region might be related to Env metastability.

30 [0083] In this study, the HR1 bend was subjected to rational redesign aimed to stabilize the pre-fusion conformation, rather than to just destabilize the post-fusion conformation as in the BG505 SOSIP.664 trimer (Fig. 1b). Although this wild-type (WT) HR1 region consists of 21 residues, the C $\alpha$  distance between G547 and T569 is

merely 24.8Å, which is equivalent to a fully extended polypeptide backbone of only 6.3 residues. Here, we decided to examine two loop lengths – 8 and 10 amino acids – for the HR1 redesign, allowing for a small degree of flexibility while dramatically shortening the WT HR1 loop. We utilized ensemble-based protein design (see

5 Methods) to identify sequences that may stabilize the pre-fusion trimer structure (Fig. 1c). Given a specified loop length, a large ensemble of backbone conformations was generated to bridge the gap between G547 and T569 (Fig. 3a). For 8-residue loops, the C $\alpha$  root-mean-square fluctuation (RMSF) ranges from 1.3 to 5.7Å with an average of 2.3Å, whereas for 10-residue loops, a greater conformational space was sampled with 10 an average C $\alpha$  RMSF of 3.6Å (Fig. 3b). After an exhaustive sampling in sequence space, all designs were ranked by their energy scores (Fig. 3c). The 5 top-ranking sequences for each loop length, totaling 10, were advanced to experimental validation (Fig. 3d).

15 Example 2 Biochemical and biophysical characterization of HR1 redesigns

[0084] As demonstrated for SOSIP, sc-gp140, and NFL trimers, biochemical and biophysical properties provide an initial assessment of trimer designs. Following a similar strategy, we assessed the 10 HR1-redesigned BG505 trimers containing the same T332N (to restore the N332 epitope), SOS (A501C/T605C), and R6 mutations as 20 the SOSIP.664 trimer (except for I559P). As noted, various purification protocols can produce trimers of varying quality. Here, we adopted a rather simple protocol utilizing materials that are readily available to most researchers and can be scaled up in an industrial setting. All constructs were expressed transiently in HEK293 F cells with co-transfected furin as previously described (Sanders et al. PLoS Pathog. 9, e1003618, 25 2013). The secreted Env proteins were purified using a *Galanthus nivalis* lectin (GNL) column followed by a single SEC on a Superdex 200 10/300 column. One-liter expression produced sufficient quantities (3-7 mg) of HR1-redesigned trimers, compared to three separate two-liter expressions for the SOSIP trimer. Although GNL purification does not yield the purest trimers, it enables the comparison of basic 30 properties for various trimer constructs such as monomer/dimer and higher multimeric species that would otherwise be filtered out by more sophisticated purification methods.

[0085] We compared the SEC profiles based on simple metrics utilizing the ultraviolet 280 nm absorbance values (UV). The UV value of the trimer peak was used

as an indicator of the trimer yield, with the aggregate and dimer/monomer peaks measured as ratios of their UV values versus that of the trimer peak. The two-liter SOSIP expression showed an average UV value of 371 for the trimer peak, with average ratios of 31% and 49% for the aggregate and dimer/monomer peaks, 5 respectively. The five 8-residue HR1 redesigns (named HR1-redesign 1-5, respectively) showed significantly increased trimer yield with reduced aggregate and dimer/monomer peaks in the SEC profiles. Overall, HR1 redesigns 1 and 2 appeared to be the best performers in this group. For example, the HR1 redesign 2 showed a near two-fold increase in the UV value of the trimer peak, with a 16% and 22% reduction in UV 10 values for aggregate and dimer/monomer peaks relative to SOSIP, indicative of improvement in both trimer yield and purity. The five 10-residue HR1 redesigns (named HR1-redesign 6-10, respectively) presented a similar trend, but less pronounced improvement. Notwithstanding, HR1 redesign 10 showed a UV value for the trimer peak that is comparable to the SOSIP trimer from two-liter expression, with the same 15 low level of unwanted Env species as HR1 redesigns 1 and 2. This finding was consistent with the *blue native* polyacrylamide gel electrophoresis (*BN-PAGE*) analysis that showed more concentrated trimer bands on the gel. The trimer-containing fractions were eluted at 10.25-10.75 mL for the initial assessment of thermal stability by differential scanning calorimetry (DSC). For all 10 tested HR1 redesigns, the DSC 20 profiles showed similar unfolding peaks with a thermal denaturation midpoint ( $T_m$ ) ranging from 65.7 to 69.2°C, closely resembling the  $T_m$  of 68.1°C reported for the SOSIP trimer.

[0086] Overall, shortening and redesign of this HR1 region exerted a positive effect on the composition of produced Env proteins. In addition to increasing the trimer yield and reducing other Env species, HR1 redesigns retained the thermal stability of parent SOSIP.664 trimer, supporting the notion that this HR1 connecting loop region is a key determinant of HIV-1 trimer metastability with respect to expression and the presence of unwanted Env species.

30 Example 3 Crystallographic analysis of two representative HR1 redesigns

[0087] HR1 redesigns 1 and 9 were selected for crystallographic analysis. These two constructs differed not only in the redesigned loop length (8 versus 10 amino acids), but also notably in their SEC profiles, with redesign 9 displaying higher

quantities of dimer, providing an opportunity to examine how HR1 truncation and design variation affect gp140 trimer structure. Due to the stringent requirement of sample homogeneity for crystallization, we prepared the HR1-redesigned and WT SOSIP trimers as previously described in Kong et al. *Acta Crystallogr. Sect. D-Biol. Crystallogr.* 71, 2099-2108, 2015. In brief, all trimers were produced in *N*-acetylglucosaminyltransferase I-negative (GnTI<sup>-</sup>) HEK293 S cells and purified using a 2G12 affinity column followed by SEC on a Superdex 200 16/600 column. For the WT SOSIP trimer, the SEC profile displayed a notable aggregate peak of high molecular weight, with a UV value that is 58% of the trimer peak and a lower peak containing monomeric gp140. By contrast, the 2G12-purified HR1 redesigns showed a marked improvement in trimer yield and purity. Of particular note, the HR1 redesign 1 showed an almost undetectable level of gp140 monomer, whereas HR1 redesign 9 still contained a small fraction of monomer. Nevertheless, the SEC profiles of 293 S-expressed, 2G12-purified trimers are consistent with that of the 293 F-expressed, GNL-purified trimers described above. This finding was further confirmed by BN-PAGE and the thermal stability of the modified trimers measured by DSC, suggesting that the improved trimer properties are an intrinsic feature of the HR1 redesigns and independent of the expression and purification systems.

[0088] Co-crystallization with antigen-binding fragments (Fab) of PGT128 and SANC195 yielded complex structures at resolutions of 6.9 and 6.3 Å for the 8- and 10-residue HR1-redesigned trimers, respectively (Table 1). Overall, the redesigned trimers displayed nearly identical structures to that of the SOSIP trimer at this modest solution, with C<sub>α</sub> root-mean-square deviations (RMSD) < 0.25 Å. Thus the results confirmed that gp140 trimers with shortened and redesigned HR1 still adopt a SOSIP-like pre-fusion structure. Limited by the resolution, we could only determine the approximate backbone conformation of the redesigned HR1 loop, which alluded to how these two distinct designs stabilize the pre-fusion trimer. We speculated that the shortened loop length (8 or 10 versus 21 amino acids) and a redesigned sequence disrupted the heptad motif and stabilized the pre-fusion form. Furthermore, both HR1 redesigns contained prolines, at positions 2 and 6 in the 8-residue loop and at position 8 in the 10-residue loop, which likely increased the rigidity of the backbone. Of note, Asp 6 in HR1 redesign 9 is poised to form a salt bridge with Arg 579 of the neighboring HR1 helix, stabilizing the slightly turned loop. In conclusion, gp140 appears to be highly tolerant

of the HR1 redesign, which greatly enhances protein production efficiency without sacrificing overall structural integrity.

**Example 4 Antigenic profiling of HR1-redesigned BG505 gp140 trimers**

5 [0089] The BG505 SOSIP.664 trimer represents a close mimic of the native spike in immune recognition by antibodies. Here we sought to investigate whether HR1 redesign would affect Env trimer binding to bNAbs or affect binding to non-NAbs using bio-layer interferometry (BLI) and immunoglobulin G (*IgG*). Again, we studied trimers prepared using a simple GNL purification so we could more readily compare 10 the basic properties of different trimer constructs. BN-PAGE of SEC fractions obtained from a Superdex 200 16/600 column following GNL purification was performed to facilitate selection of well-folded trimers for antigenic profiling. In this context, we also characterized the HR1 redesign 1 by negative-stain EM. In the unliganded state, the 22Å reconstruction displayed a morphology closely resembling that of the SOSIP 15 trimer prepared using the same protocol. The agreement of crystal and EM structures further confirmed the integrity of HR1-redesigned trimers prior to antigenic characterization.

20 [0090] First, we measured trimer binding to a panel of representative bNAbs. We utilized V1V2 apex-directed, quaternary bNAbs PGDM1400, PGT145, and PG16 to examine whether the trimeric structure with associated glycan shield was native-like. For PGDM1400, the HR1 redesigns 1 and 9 displayed faster on- and off-rates than WT SOSIP, with a comparable KD (Koff/Kon) of 7 to 11 nM. A similar pattern was observed for PG16 and PGT145. For VRC01, a representative of a class of CD4-binding site (CD4bs)-directed bNAbs, all three trimers showed nearly identical binding 25 profiles, suggesting that the HR1 redesign had little effect on the presentation of this conserved site of vulnerability. A similar pattern was also seen for NAb b12, which engages the CD4bs with a different angle of approach relative to VRC01. For bNAbs targeting the V3 stem and surrounding glycans (PGT121, PGT128 and PGT135) and the high-mannose gp120 glycan cluster (2G12), all three trimers showed identical 30 binding profiles, indicating that these glycan epitopes remained intact upon HR1 redesign. Finally, we measured trimer binding to two bNAbs that recognize conformational epitopes spanning regions in both gp120 and gp41. All three trimers

bound strongly to PGT151 with a fast on-rate and a flat dissociation curve, with subtle differences observed in 35O22 binding kinetics.

[0091] Next, we measured trimer binding to a panel of representative non-NAbs.

All three tested trimers bound to CD4bs-specific MAbs, b6 and F105. The HR1-

5 redesigned trimers displayed weaker binding to F105 than did the SOSIP trimer, with a slightly faster off-rate detected for HR1 redesign 1. However, no differences in kinetics were observed for b6. For two V3-specific MAbs, 19b and 447-52D, all three trimers showed fast association and slow dissociation, indicative of some V3 exposure that was confirmed by surface plasmon resonance (SPR) using the 2G12-purified SOSIP trimer.

10 Previously, 19b was found to bind the SOSIP trimer by ELISA, but only to a limited extent by EM. Nevertheless, the V3 exposure may be minimized by conformational fixation as demonstrated recently for the SOSIP trimer. We then tested two MAbs targeting the immunodominant epitopes in cluster I of gp41<sub>ECTO</sub>, F240 and 7B2. The SOSIP trimer appeared to bind both MAbs at a low level with a slight preference for

15 F240. Interestingly, the two HR1 redesigns showed reduced binding to F240 and an almost negligible binding to 7B2, indicating a more closed or less flexible gp41<sub>ECTO</sub>. We also investigated the binding of two CD4i MAbs, 17b and A32. All three trimers showed no binding to 17b in the absence of sCD4, with the HR1 redesign 1 exhibiting only a minimal level of A32 recognition although all trimers bound weakly to this

20 MAb.

[0092] Overall, the two HR1 redesigns displayed broadly similar patterns in their recognition by bNAbs with an exception of altered kinetics for apex-directed quaternary bNAbs. While all three trimers showed some V3 exposure, the two HR1 redesigns appeared to shield non-neutralizing gp41<sub>ECTO</sub> epitopes more effectively. The 25 observed binding to non-NAbs may be attributed to the use of IgG instead of Fab and a different immobilization strategy in the BLI experiment.

#### Example 5 Replacing the furin cleavage site with short linkers

[0093] Sharma *et al.* recently reported a native-like, cleavage-independent gp140

30 trimer designated NFL (Cell Rep. 11, 539-550, 2015). In a separate study, Georgiev *et al.* replaced the cleavage site between gp120 and gp41 with linkers of up to 20 residues designated sc-gp140 (J. Virol. 89, 5318-5329, 2015). Although the presence of aberrant structures was speculated for sc-gp140 trimers with short linkers, the precise

effect of cleavage site modification on gp140 folding and structure remained unclear. Here, we addressed this critical issue in the context of the HR1 redesign 1 that had been validated both structurally and antigenically (Figs. 2 and 3).

[0094] We first examined the outcome of replacing the cleavage site-containing region (residues 500-519) with a redesigned connecting loop between gp120 and gp41. The C<sub>α</sub> distance between R500 and F519 is 16.8 Å, equivalent to a fully extended backbone of 4.4 residues. Ensemble-based protein design yielded a large pool of 8-residue loops connecting R500 and F519 (Fig. 4a). Of note, this design strategy was rather aggressive in that these loops may pack differently than the uncleaved WT sequence due to a 10-residue truncation in this region, and exclusion of the SOS mutation since A501 was now part of the region subjected to redesign (with the T605C mutation reversed). Similar to the HR1 redesign, the 5 top-ranking designs (termed CST1-5, Fig. 4b) were characterized by SEC following transient expression in HEK293 F cells without furin followed by GNL purification. Overall, CST1-5 showed reduced trimer yield, as well as increased aggregates compared to the parent HR1 redesign, indicated by a higher shoulder left of the main trimer peak in the SEC profiles. For all 5 CST redesigns, an extra band was observed in BN-PAGE analysis, suggesting the presence of an uncharacterized Env species in the produced proteins (Fig. 4c).

[0095] We next examined the effect of replacing the cleavage site (<sub>508</sub>REKR<sub>511</sub>) with a near full-length SGS linker (termed CSF). Interestingly, CSF displayed a notably reduced aggregate peak in the SEC profile compared to CST1-5, which was further improved by adding back the SOS mutation (termed CSF-SOS). Similar to CST1-5, an extra band was observed for the CSF trimer in BN-PAGE analysis of trimer-containing fractions after SEC on a Superdex 200 16/600 column, suggesting a common pattern associated with short cleavage site linkers. To identify this unknown Env species, we used negative-stain EM to obtain 3D reconstructions for the CSF trimer. Remarkably, two distinct morphologies were observed for the unliganded trimer: one in the pre-fusion state (20 Å) similar to the SOSIP trimer and the other in a non-pre-fusion state (17 Å) that has not been previously reported. This non-pre-fusion trimer conformation contains an extended gp41 (approximately 40-45 Å) and is termed “fusion intermediate” hereafter. The ~20 Å EM reconstructions of PGV04-bound CSF trimers in the two different states showed some unoccupied densities that could not be interpreted at this resolution. By contrast, a single conformation was observed for the EM reconstruction

of the CSF-SOS trimer in both unliganded (21Å) and PGV04-bound form (20Å). In summary, EM suggested that with short cleavage site linkers the CST and CSF trimers contain a fusion intermediate state that can be effectively suppressed by the SOS mutation.

5 [0096] We then tested the CSF and CSF-SOS trimer binding to a small panel of bNAbs and non-NAbs. For bNAbs, we utilized PGDM1400, VRC01, and PGT151, which target the V1V2 apex, CD4bs, and gp120-gp41 interface, respectively. Both CSF and CSF-SOS trimers bound to PGDM1400 with similar kinetic profiles and affinities. However, due to the two mixed trimer forms, CSF showed a reduced binding relative to 10 CSF-SOS. CSF and CSF-SOS exhibited identical VRC01 binding profiles similar to that of the SOSIP trimer, suggesting that the CD4bs is equally accessible in these trimers. For PGT151, CSF and CSF-SOS showed reduced binding with a notable off-rate, suggesting that the linker between gp120 and gp41 may affect PGT151 binding. Three non-neutralizing MAbs were also tested. CSF bound more strongly to CD4bs-directed F105 than CSF-SOS due to the mixed fusion intermediates. For the V3-directed 19b, both CSF trimers displayed similar binding profiles relative to the SOSIP trimer and HR1 redesign 1. By contrast, CSF showed enhanced binding to the gp41-directed F240 that was effectively reduced by the SOS mutation in CSF-SOS.

20 Example 6 Replacing the furin cleavage site with long linkers

[0097] Based on our analysis thus far and the reports on NFL and sc-gp140 trimers, we hypothesized that HR1 redesign combined with a long cleavage site linker may overcome the tendency to form fusion intermediates and render an uncleaved, pre-fusion optimized (UFO) trimer. To this end, we tested two trimers based on the HR1 redesign 1 and an NFL-like linker (2×G<sub>4</sub>S). These two constructs, termed CSL and CSL-SOS, were transiently expressed in HEK293 F cells followed by GNL purification and SEC on a Superdex 200 16/600 column. Both CSL trimers showed reasonable yields with similar SEC profiles to that of the CSF trimers. For CSL, although no extra bands were definitively identified on the BN gel, the trimer bands appeared to be more diffuse than those observed for CSL-SOS. To further characterize their structures, we obtained EM reconstructions for the unliganded CSL and CSL-SOS trimers at 17 and 20Å resolutions, respectively. The CSL trimer showed a somewhat different morphology than of WT SOSIP, HR1 redesign 1, pre-fusion CSF and CSF-SOS trimers:

the density of CSL trimer appeared to be narrower at the top of the trimer apex with additional densities pointing outwards and a wider bottom around gp41. The overall shape of the CSL-SOS trimer was consistent with that of the CSF-SOS trimer. The ~20Å reconstructions of PGV04-bound CSL and CSL-SOS trimers resembled that of the SOSIP trimer, indicative of stabilization upon bNAb binding. Taken together, a long cleavage site linker can reduce the formation of fusion intermediates, likely at the cost of greater conformational variability, as suggested by EM.

[0098] We performed antigenic profiling for the CSL and CSL-SOS trimers using the same panel of bNabs and non-NAbs as for the two HR1 redesigns. For apex-directed bNabs PGDM1400, PG16, and PGDM145, two CSL trimers showed similar binding kinetics and  $K_D$  values to those of HR1 redesign 1. For CD4bs-directed bNab (VRC01), NAb b12, and glycan-reactive bNabs (PGT121, PGT128, PGT135 and 2G12), the two CSL trimers showed nearly identical binding profiles to those of HR1 redesign 1 and the SOSIP trimer. As expected, the most visible difference was found for bNabs PGT151 and 35O22. For PGT151, which binds an epitope consisting of one gp120 and two adjacent gp41s in trimer, the cleaved HR1-redesigned trimers and SOSIP trimer showed flat dissociation curves. However, the CSL trimers showed faster off-rates similar to those observed for the CSF trimers, indicating a consistent effect caused by cleavage site linkers. By contrast, for 35O22, which binds to gp120 and gp41 in a single gp140 protomer, the off-rates appeared to be cleavage-independent. MAbs F240 and 7B2 revealed less accessible non-neutralizing epitopes on gp41 for CSL-SOS but not for CSL, consistent with observations for the two CSF trimers.

[0099] In summary, an NFL-like long linker between gp120 and gp41 used in combination with an optimal HR1 redesign yielded an uncleaved gp140 that retained the most desirable traits of a pre-fusion trimer. Our detailed analysis of linker length also revealed complex consequences of cleavage site modification. Thus, changing the linker length at the cleavage site must be carefully evaluated in each case in trimer immunogen design.

30 Example 7 A generic HR1 redesign to stabilize Eny trimers of diverse HIV strains

[0100] Although cleavage site linkage might cause complications, the HR1 redesign appeared to have an overall positive effect on trimer structure and antigenicity. In light of this finding, we revisited the HR1 redesign strategy by examining the utility

of a simple GS linker (Fig. 2a). Such a “generic” HR1 linker (termed HR1-G), if proven successful, will not only confirm the role of this HR1 region in Env metastability but also enable development of stable trimers for diverse HIV-1 strains. To this end, we tested the generic HR1 linker in the backgrounds of clade-A BG505, clade-B JRFL, 5 clade-C DU172.17, and a B'/C recombinant strain CH115.12 (tier 3), with their SOSIP trimers included for comparison. All trimer constructs were transiently expressed in HEK293 F cells with furin, followed by GNL purification and SEC on a Superdex 200 10/300 column. For the four strains studied, the generic HR1 linker showed consistent improvement on trimer yield and purity (Fig. 2b). The most substantial improvement 10 was observed for the clade-C strain: a 46% increase of trimer peak relative to WT SOSIP with the aggregate and dimer/monomer peaks reduced by 34% and 37%, respectively. For this clade-C strain, two top-ranking HR1 redesigns from ensemble-based protein design further increased the trimer peak by ~50% with identical SEC profiles to the generic HR1 redesign, HR1-G. The results thus indicate that the generic 15 HR1 linker offers a general framework for stabilization of Env while further optimization of trimer properties can be achieved by computational design in a strain-specific manner.

Example 8 Some exemplified methods for HR1 redesigned HIV-1 immunogen

20 [00101] Ensemble-based *de novo* protein design. We developed an ensemble-based *de novo* protein design method (Fig. 1c). Given the trimer structure (PDB ID: 4TVP) and a specified loop length, a three-step design process was undertaken: (1) an ensemble (1,000) of backbone conformations is generated to connect the two anchor residues using a torsion-space loop sampling algorithm<sup>48</sup>; (2) for each backbone, a 25 starting sequence is selected from a pool of 50 random sequences based on the RAPDF potential<sup>49</sup> and subjected to 500 steps of Monte Carlo simulated annealing (MCSA) with the temperature linearly decreasing from 300 to 10K; (3) the lowest-energy sequence for each backbone is recorded and all MCSA-derived designs are ranked based on energy at the completion of the process. The top 20 designs are manually 30 inspected to facilitate selection of 5 candidates for experimental validation.

[00102] Antibodies for antigenic profiling. We utilized a panel of bNAbs and non-NAbs to characterize the antigenicity of designed trimers. The bNAbs 2G12 and b12 as well as MAbs F240, 7B2, 17b, and A32 were requested from the NIH AIDS Reagent

Program (<https://www.aidsreagent.org/>). Other bNAbs and non-NAbs were provided by D.S. and D.R.B.

[00103] Expression and purification of HIV-1 Env trimers. Env trimers were transiently expressed in HEK293 F cells (Life Technologies, CA) except for

5 crystallographic analysis. Briefly, 293F cells were thawed and incubated with FreeStyle™ 293 Expression Medium (Life Technologies, CA) in the Shaker incubator at 37°C, with 120 rpm and 8% CO<sub>2</sub>. When the cells reached a density of 2.0×10<sup>6</sup>/ml, expression medium was added to reduce cell density to 1.0×10<sup>6</sup>/ml for transfection with polyethyleneimine (PEI) (Polysciences, Inc). For SOSIP and HR1-redesigned trimers,

10 800 µg of Env plasmid and 300 µg of furin plasmid in 25 ml of Opti-MEM transfection medium (Life Technologies, CA) was mixed with 5 ml of PEI-MAX (1.0 mg/ml) in 25 ml of Opti-MEM, whereas for uncleaved trimers, 900 µg of Env plasmid was used without furin. After incubation for 30 min, the DNA-PEI-MAX complex was added to 1L 293F cells. Culture supernatants were harvested five days after transfection,

15 clarified by centrifugation at 1800 rpm for 20 min, and filtered using a 0.45 µm filters (Thermo Scientific). The Env proteins were extracted from the supernatants using a *Galanthus nivalis* lectin (GNL) column (Vector Labs). The bound proteins were eluted with PBS containing 500 mM NaCl and 1 M methyl-α-D-mannopyranoside and then purified by size exclusion chromatography (SEC) on a Superdex 200 Increase 10/300

20 GL column for initial assessment and a HiLoad 16/600 Superdex 200 PG column (GE Healthcare) for EM analysis and antigenic profiling. Protein concentrations were determined using UV<sub>280</sub> absorbance with theoretical extinction coefficients.

[00104] Blue Native (BN) PAGE. Env proteins were analyzed by *blue native* polyacrylamide gel electrophoresis (*BN-PAGE*) and stained with Coomassie blue. The protein samples were mixed with loading dye and loaded onto a 4-12% Bis-Tris NuPAGE gel (Life Technologies). BN PAGE gels were run for 2 hours at 150 V using NativePAGE™ running buffer (Life Technologies) according to the manufacturer's instructions.

[00105] Differential Scanning Calorimetry (DSC). Thermal melting curves of

30 SOSIP and HR1-redesigned trimers were obtained with a MicroCal VP-Capillary calorimeter (Malvern). The SEC purified glycoproteins were buffer exchanged into 1X PBS and concentrated to 0.5-1 µM prior to analysis by the instrument. Melting was probed at a scan rate of 90 °C/hr. Data processing including buffer correction,

normalization, and baseline subtraction were conducted using the standardized protocol from the Origin 7.0 software.

[00106] Protein production and purification for crystallization. The two HR1-redesigned trimers, as well as the 8ANC195 and PGT128 Fabs, were produced and 5 purified as described in Kong et al., *Acta Crystallogr. Sect. D-Biol. Crystallogr.* 71, 2099-2108, 2015. Briefly, all constructs were cloned into the expression vector phCMV3. Fabs were transiently transfected into mammalian Freestyle™ 293F cells, and trimers were transiently transfected in in GnT1™ 293 S cells. After one week of transfection, the supernatants of the antibody transfected cells were harvested and 10 purified using a Lambda or a Kappa Capture Select column (BAC BV) for PGT128 and 8ANC195 respectively before further purification using ion exchange chromatography and SEC. The supernatants of the trimer transfected cells were purified using a 2G12 affinity column followed by SEC. The trimer complexes used for crystallization trials were prepared by mixing the trimer proteins with Fabs PGT128 and 8ANC195 at a 15 molar ratio of 1.0:1.2:1.2 at room temperature for 20 min. This mixture was then deglycosylated using endoglycosidase H (EndoH) in 200 mM NaCl, 50 mM sodium citrate, pH 5.5, for 37 °C for 1 hr following the manufacturer's protocol (New England Biolabs) before final purification by SEC.

[00107] Protein crystallization and data collection. Two purified protein complexes 20 containing Fabs PGT128 and 8ANC195 bound to HR1-redesigned trimers were prepared for crystallization by buffer exchange into 50 mM NaCl, 20 mM Tris-HCl, pH 7.2. The complexes were then concentrated to 5 mg/ml and passed through a 0.22 µm filter before crystal screening using the IAVI/JCSG/TSRI CrystalMation robot (Rigaku) at the JCSG. Similarly to a previously described complex containing Fabs PGT128 and 25 8ANC195 bound to SOSIP gp140 trimer, the HR-1 redesigned trimer/Fab complexes crystallized at 25 °C in 0.05 M lithium sulfate, 0.05 M sodium sulfate, 20% (w/v) PEG 400 and 0.05 M Tris-HCl, pH 8.7 (JCSG Core Suite condition: JCSGI A03, Qiagen). All crystals for X-ray data collection were cryoprotected by brief immersion in mother 30 liquor supplemented with 40% PEG 400 prior to flash-cooling in liquid nitrogen. For the HR1 redesign 1 complex, diffraction data to 6.3 Å resolution were collected at beamline 23ID-B at the Advanced Photon Source, processed with HKL-2000, and indexed in space group I23 with 100% completeness and an  $\langle I \rangle / \langle \sigma \rangle$  of 2.3 in the highest resolution shell. For the HR1 redesign 9 complex, diffraction data to 6.9 Å

resolution were collected at beamline 12-2 at the Stanford Synchrotron Radiation Lightsource, processed with HKL-2000, and indexed in space group I23 with 100% completeness and an  $\langle J \rangle / \langle \sigma \rangle$  of 1.3 in the highest resolution shell. Data collection and processing statistics are summarized in Table 1.

5 [00108] Structure determination and refinement. The structures of PGT128 and 8ANC195 bound to the HR1-redesigned gp140 trimers were solved by the molecular replacement method using the Phaser software and a search model consisting of a complex with SOSIP gp140 trimer bound to Fab PGT128 and 8ANC195 (PDBID: 5C7K). As described previously, refinement consisted of alternating rounds of manual  
10 model building using Coot-0.7 and automated refinement as implemented by the Phenix program. Given the limited resolution of the datasets, grouped *B*-factor refinement for each residue was used. Furthermore, positional coordinate refinement was enforced using a reference model set of restraints. The starting model for each automated refinement session in Phenix was defined as the reference model for that session.  
15 Finally, the model was minimally modified except at the HR1 site of redesign. The final  $R_{\text{crys}}$  and  $R_{\text{free}}$  values converged at 28.1% and 32.2%, and 28.4% and 32.2% for the complex structures of HR1 redesigns 1 and 9, respectively. See Table 1 for final refinement statistics. The Buried molecular surface areas were analyzed with the Molecular Surface Package  
20 ([http://www.csb.yale.edu/userguides/graphics/msp/msu\\_local.html](http://www.csb.yale.edu/userguides/graphics/msp/msu_local.html)) using a 1.7 Å probe radius and standard van der Waals radii. Fab residues were numbered according to Kabat nomenclature and gp140 was numbered using the standard HXBc2 convention.  
[00109] Electron microscopy sample preparation. The gp140 trimers alone, and in complex with PGV04, were analyzed by negative stain EM. A 3 µL aliquot containing  
25 ~0.02 mg/mL of the trimers was applied for 15 s onto a carbon-coated 400 Cu mesh grid that had been glow discharged at 20 mA for 30 s, then negatively stained with 2% uranyl formate for 30 s. Data were collected using a FEI Tecnai Spirit electron microscope operating at 120 kV, with an electron dose of ~30 e/Å<sup>2</sup> and a magnification of 52,000 x that resulted in a pixel size of 2.05 Å at the specimen plane. Images were  
30 acquired with a Tietz 4k × 4k TemCam-F416 CMOS camera using a nominal defocus of 1000 nm and the Leginon package.  
[00110] Electron microscopy data processing and image reconstruction. Particles were picked automatically using DoG Picker and put into a particle stack using the

Appion software package. Initial, reference-free, two-dimensional (2D) class averages were calculated using particles binned by two via iterative multivariate statistical analysis (MSA)/multireference alignment (MRA) and sorted into classes. Particles corresponding to trimers or to trimers bound to PGV04 were selected into a substack 5 and binned by two before another round of reference-free alignment was carried out using the iterative MSA/MRA and Xmipp Clustering and 2D alignment programs. To analyze the quality of the trimers (closed native-like, open native-like, and non-native), the reference free 2D class averages were examined by eye using the metrics described in Pugach *et al.* *J. Virol.* 89, 3380-3395, 2015). An *ab initio* common lines model was 10 calculated from reference-free 2D class averages in EMAN2 imposing symmetry C3. This model was then refined against raw particles for an additional 25 cycles using EMAN (Ludtke *et al.*, *J. Struct. Biol.* 128, 82-97, 1999). The resolutions of the final models were determined using a Fourier Shell Correlation (FSC) cut-off of 0.5.

15 [00111] Binding Analysis by ELISA and Biolayer Light Interferometry (BLI). The kinetics of trimer binding to bNAbs and non-NAbs was measured using an Octet Red96 instrument (fortéBio, Pall Life Sciences). All assays were performed with agitation set to 1000 rpm in forteBIO 1× kinetic buffer. The final volume for all the solutions was 200  $\mu$ l/well. Assays were performed at 30 °C in solid black 96-well plates (Geiger Bio-One). 5  $\mu$ g/ml of protein in 1× kinetic buffer was used to load an antibody on the 20 surface of anti-human Fc Capture Biosensors (AHC) for 300s. A 60s biosensor baseline step was applied prior to the analysis of the association of the antibody on the biosensor to the Env trimer in solution for 200s. A two-fold concentration gradient of trimer starting at 200 nM was used in a titration series of six. The dissociation of the 25 interaction was followed for 300s. Correction of baseline drift was performed by subtracting the averaged shift recorded for a sensor loaded with antibody but not incubated with trimer, or a sensor without antibody but incubated with trimer. Octet data were processed by forteBio's data acquisition software v.8.1. Experimental data were fitted for V1V2 apex-directed bNAbs using a global fit 1:1 model to determine the  $K_D$  values and other kinetic parameters.

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Example 9 Ferritin nanoparticles presenting trimeric V1V2 with a native-like apex

[00112] The V1V2 region of gp120 ranges from 50 to 90 residues in length with 1 in 10 residues *N*-glycosylated, forming a dense glycan shield on the HIV-1 Env. The

V1V2-encoded glycan epitopes can be recognized by bNAbs such as PG9/PG16, CH01-04, PGT141-145, and PGDM1400. Despite sequence variation, a short segment centered at N160 defines the specificity for most known V1V2-specific bNAbs. The crystal structure of scaffolded V1V2 in complex with PG9 has been determined for two 5 clade C strains, CAP45 and ZM109, revealing a Greek key motif with strands B and C harboring two critical glycans. The EM structures of PG9 and PGDM1400 in complex with BG505 SOSIP.664 gp140 trimer indicated that these two bNAbs are directed to the trimeric apex with different angles of approach as described in, e.g., Julien *et al.*, *Proc. Natl. Acad. Sci. USA* 110, 4351-4356, 2013; and Sok *et al.*, *Proc. Natl. Acad. Sci. USA* 111, 17624-17629, 2014. In this study, we hypothesized that the threefold axes on ferritin nanoparticle can be utilized to present V1V2 in a native-like trimeric conformation found in the cryo-EM and crystal structures of SOSIP trimer. To test this 10 possibility, we designed two constructs based on the V1V2 of clade C ZM109: one containing all three disulfide bonds (termed V1V2Ext) and a shortened version 15 containing two (termed V1V2Sht), with both V1V2 sequences fused to the N-terminus (D5) of ferritin subunit (FR) (Table 2a) (See, e.g., Kanekiyo *et al.*, *Nature* 499, 102-106, 2013; and He *et al.*, *Sci. Rep.* 5, 12501, 2015). After fitting the C-termini of trimeric V1V2 to the N-termini of ferritin subunits around each threefold axis on the 20 particle surface, structural modeling yielded C $\alpha$  root-mean-square deviations (RMSDs) of 3.7 and 0.8 Å for V1V2Ext-FR and V1V2Sht-FR, respectively. Further analysis revealed a dense glycan surface for both nanoparticles with diameters of 16.6 and 14.3 nm for V1V2Ext-FR and V1V2Sht-FR, respectively.

[00113] The two V1V2-ferritin constructs and the monomeric V1V2 were expressed transiently in *N*-acetylglucosaminyltransferase I-negative (GnTI<sup>-</sup>) HEK293 S cells and 25 purified using a *Galanthus nivalis* lectin (GNL) column followed by SEC on a Superdex 200 10/300 GL column. For both V1V2-FR designs, the SEC profiles displayed a single peak indicative of well-formed nanoparticles, which were confirmed by *blue native* polyacrylamide gel electrophoresis (BN-PAGE). We then utilized negative stain EM to visualize the purified nanoparticles. Indeed, imaging by EM 30 showed homogeneous V1V2Ext-FR particles, which enabled the calculation of two-dimension (2D) class averages. Similar results were observed for V1V2Sht-FR particles, suggesting that homogeneity and purity are intrinsic to these nanoparticles despite their differences in the V1V2 length and the number of disulfide bonds

contained. However, the trimeric V1V2 spikes appeared diffuse in the 2D class averages, indicative of some mobility. To probe the antigenicity of the V1V2 apex, we measured particle binding to PG9, which recognizes V1V2 in both monomeric and trimeric forms, and PGDM1400, which targets the apex of the SOSIP-like trimer 5 conformation. Analysis of both nanoparticles by enzyme-linked immunosorbent assay (ELISA) showed enhanced bNAb binding relative to the monomeric V1V2, with PGDM1400 demonstrating preferential binding to V1V2Ext-FR. Using bio-layer interferometry (BLI) and immunoglobulin G (*IgG*), we characterized the kinetics of V1V2 binding to bNAbs PG9 and PGDM1400 in monomeric and particulate forms. As 10 expected, monomeric V1V2 bound to PG9 with low affinity and showed no binding to PGDM1400. By contrast, V1V2Ext-FR bound to both bNAbs with high affinity, but different kinetics. For PGDM1400, V1V2Ext-FR showed an extremely fast on-rate, indicating a stable apex that can be readily recognized by this apex-directed bNAb. V1V2Sht-FR exhibited similar binding kinetics with respect to V1V2Ext-FR, but with a 15 reduced affinity for PGDM1400 that suggests an adversary effect of the shortened V1V2 on the apex structure and antigenicity consistent with ELISA.

[00114] Our results demonstrate that the V1V2 region of HIV-1 Env can be presented in a native-like trimeric conformation on ferritin nanoparticles. Of note, this design strategy is likely strain-independent, since nanoparticles were also observed for 20 V1V2Ext-FR designed based on clade C CAP45 (Figs. 8b-8d). Overall, particulate display of trimeric V1V2 substantially improved its recognition by apex-directed bNAbs, suggesting that V1V2 nanoparticles may provide promising alternatives to gp140 trimers and focus B cell responses to this quaternary epitope.

25 Example 10 Ferritin nanoparticles presenting trimeric V1V2 with a native-like apex

[00115] A 60-meric LS nanoparticle presenting an engineered gp120 core lacking variable loops (V1V2 and V3) and inner domain has been used to target germline precursors of VRC01, a CD4-binding site (CD4bs)-directed bNAb. However, structures of BG505 SOSIP trimer in complex with the VRC01-class bNAb PGV04 revealed that 30 glycans on the neighboring gp140 protomer are also involved in CD4bs recognition, suggesting an angle of approach constrained by the trimeric context. The importance of trimer constraints for HIV-1 neutralization was further demonstrated in human Ig knock-in mice, in which only BG505 SOSIP trimer, but not eOD-LS nanoparticle,

elicited NAb responses. Based on these previous studies and the results of V1V2 nanoparticles, we hypothesized that ferritin nanoparticle can be used to present full-length gp120 and expose all its encoded bNAb epitopes in their native-like conformations as does the SOSIP gp140 trimer. This design strategy may generate 5 alternative immunogens lacking the complications intrinsic to the gp140 trimers containing metastable gp41. To test this possibility, we designed three ferritin fusion constructs based on clade A BG505 gp120: gp120Ext-FR and gp120Sht-FR contained different lengths of gp120, while gp120SS-FR incorporated an additional disulfide bond aimed to stabilize the gp120 termini (Table 2b). In the case of gp120Ext-FR, 10 structural modeling revealed a nearly perfect superposition of gp120 C-terminus (G495) and ferritin N-terminus (D5) around each threefold axis on the particle surface, with a C $\alpha$  RMSD of 1.9 Å and a diameter of 26.2 nm for the resulting nanoparticle.

[00116] All three gp120-ferritin constructs and the monomeric gp120 were expressed transiently in HEK293 F cells. The secreted proteins were purified using a 15 GNL column followed by SEC on a Superose 6 10/300 GL column. Among the three chimeric constructs, gp120Sht-FR showed the most pronounced particle peak in the SEC profile. Of note, no particle peak was observed for gp120SS-FR, suggesting misfolding of the mutant gp120. BN-PAGE revealed high molecular weight (m.w.) bands for both gp120Ext-FR and gp120Sht-FR, corresponding to fully assembled 20 nanoparticles. We then employed negative stain EM to visualize the purified gp120-FR nanoparticles. Homogeneous particles were observed for both gp120Ext-FR and gp120Sht-FR, with 2D class averages calculated for the latter. Since gp120Sht-FR displayed more efficient particle assembly, cryo-EM was utilized to further characterize the nanoparticles, showing a particle surface decorated with gp120 spikes.

[00117] To assess the antigenicity of gp120 nanoparticles, we measured the kinetics of particle binding to a panel of representative bNAbs and non-NAbs. We first tested apex-directed bNAbs PG16 and PGDM1400. As expected, gp120 monomer exhibited only minimal binding to PG16 and almost undetectable binding to PGDM1400. By contrast, gp120 nanoparticles showed substantially enhanced binding to both bNAbs 30 with sub-nanomolar affinities, with gp120Sht-FR slightly outperforming gp120Ext-FR. This confirmed that three gp120s around each threefold axis on a ferritin nanoparticle can indeed form SOSIP-like trimer conformations. For CD4bs-directed VRC01, both nanoparticles displayed an increased on-rate with flat dissociation curves. A similar

trend was observed for NAb b12, which targets the same site. The avidity effect resulting from multivalent display was most pronounced for bNAb PGT121, which targets the V3 base and surrounding glycans: while monomeric gp120 bound to PGT121 at a lower level with a fast off-rate, both gp120 nanoparticles showed 5 enhanced binding with faster on-rates and flat dissociation curves. We then measured particle binding to non-neutralizing MAbs. For F105, which prefers an open gp120 conformation, monomeric gp120 displayed rapid on- and off-rates, whereas nanoparticles showed slower on- and off-rates that may result from the steric hindrance caused by the dense display of gp120 trimers. However, gp120 nanoparticles did show 10 enhanced recognition by the V3-specific 19b in comparison to monomeric gp120, which may be minimized by conformational fixation as recently demonstrated for the SOSIP trimer. Lastly, gp120-FR nanoparticles showed almost negligible binding to the CD4i MAb 17b in contrast to a notable recognition of monomeric gp120 by this MAb.

15 **Example 11 60-meric nanoparticles presenting trimeric gp120**

[00118] We also investigated whether 60-meric nanoparticles could be utilized to present trimeric gp120. We selected two thermostable 60-mers with distinct structural features – LS (Zhang et al., *J. Mol. Biol.* 306, 1099-1114, 2001) and E2p (Izard et al., *Proc. Natl. Acad. Sci. USA* 96, 1240-1245, 1999) – to examine this possibility. 20 Compared to the 12.2-nm diameter of ferritin, LS is only slightly larger in size, with a diameter of 14.8 nm. Structural modeling of the gp120Sht-LS nanoparticle indicated that the LS surface would be covered entirely by 20 trimeric gp120 spikes with an estimated diameter of 28.7 nm. Following transient expression in HEK293 F cells and GNL purification, the secreted protein was analyzed by SEC. However, no particle 25 peak was observed in the SEC profile of this LS construct. Consistently, BN-PAGE showed a predominant pentamer band, which was confirmed by the negative stain EM analysis. In brief, our results indicate that the relatively small LS nanoparticle may not be optimal for displaying full-length gp120 trimeric spikes.

[00119] We next examined E2p, which is a hollow dodecahedron with a diameter of 30 23.2 nm and 12 large openings separating the threefold vertices on the particle surface. Structural modeling yielded a gp120Sht-E2p nanoparticle with a diameter of 37.6 nm (Table 2c), which is close to the optimal size for direct uptake by DCs. The HEK293 F-expressed, GNL-purified gp120Sht-E2p protein was analyzed by SEC on a Superose 6

10/300 GL column, which showed a distinctive high m.w. peak corresponding to the chimeric E2p particles. Concordantly, BN-PAGE showed a concentrated nanoparticle band on the gel, with a lighter band of low m.w. suggestive of dissociated gp120-E2p trimer. We then utilized negative stain EM to characterize the assembly of this 60-mer  
5 and observed large, homogeneous nanoparticles. The 2D class averages revealed hollow protein cages resembling the crystal structure. However, while the EM analysis validated the robustness of E2p as a nanoparticle platform for large and complex antigens such as trimeric gp120, it also showed unexpected 2D class averages lacking the gp120 spikes. It was unclear from the EM analysis alone whether the trimeric gp120  
10 spikes formed but remained mobile due to the large spacing, or if the three gp120s around each threefold axis failed to assemble into a stable trimer. To address this issue, we measured E2p particle binding to a small panel of bNAbs and non-NAbs by BLI. Remarkably, gp120Sht-E2p bound to the apex-directed bNAbs PG16 and PGDM1400 with sub-picomolar affinities. The fast on-rate and flat dissociation curves indicated  
15 native-like apexes resembling that of the SOSIP trimer but with additional advantage of avidity. Similar to the case of gp120-ferritin nanoparticles, we observed increased recognition of the CD4bs and V3 base by bNAbs VRC01 and PGT121, as well as NAb b12. For non-neutralizing MAbs, gp120Sht-E2p bound to the CD4bs-specific Mab F105 and V3-specific 19b at a level similar to gp120Sht-FR, suggesting a common  
20 feature shared by gp120 nanoparticles irrespective of the size. Lastly, only minimal binding was observed for the CD4i MAb, 17b.

[00120] As the receptor-binding protein of HIV-1 Env, gp120 has been extensively studied as a vaccine immunogen, but is now considered suboptimal due to the exposure of a non-neutralizing face that is buried within the native spike. Our results indicate that  
25 display of full-length gp120 with ferritin and E2p nanoparticles can restore the native-like trimer conformation in the absence of gp41. With SOSIP-like antigenicity and variations in particle size and surface spacing, these nanoparticles provide versatile platforms to investigate gp120-based HIV-1 vaccines.

30 Example 12 Ferritin nanoparticles presenting stabilized gp140 trimers

[00121] The design and immunogenicity of a ferritin nanoparticle presenting the BG505 SOSIP gp140 trimer were recently reported (Sliepen et al., Retrovirology, 12:82, 2015). The analysis of this gp140 nanoparticle by ELISA showed notably reduced

binding to the apex-directed bNAbs PG9 and PGT145, and to a bNAb directed to the gp120-gp41 interface, PGT151. This is somewhat surprising given the antigenic profiles we observed for gp120 nanoparticles using BLI. In this study, we sought to approach the ferritin display of gp140 trimer with a new stabilization design containing 5 a modified HR1 bend (residues 548-568, termed HR1 redesign 1), and a detailed analysis of linker length and gp41 truncation. The gp140 constructs tested here included: a gp140 truncated at position 664 (gp140.664), gp140.664 with a 10-residue linker (gp140.664-10aa), and a gp140 truncated at position 681 to include MPER with the same linker (gp140.681-10aa) (Table 2d). Structural modeling of the HR1- 10 redesigned gp140-ferritin particles indicated well-separated trimer spikes with diameters of 30.1, 35.7, and 40.1 nm for gp140.664-FR, gp140.664-10aa-FR, and gp140.681-10aa-FR, respectively.

[00122] Since contaminant Env species cannot be eliminated during particle assembly, the purity of gp140 trimer will have a significant impact on the quality of 15 gp140 nanoparticles. To illustrate this problem, we compared the SEC profiles of the BG505 SOSIP trimer and an HR1-redesigned gp140 trimer, which showed substantial differences in aggregate and dimer/monomer peaks, as indicated by the UV absorbance at 280 nm. All gp140-ferritin nanoparticles, including a SOSIP-based design, were transiently co-expressed with furin in HEK293 F cells and purified using GNL followed 20 by SEC on a Superose 6 10/300 GL column. Using negative stain EM, we first confirmed the assembly of SOSIP-ferritin nanoparticles. The GNL-purified gp140- ferritin proteins containing the HR1 redesign 1 exhibited similar SEC profiles with high trimer and dimer/monomer peaks relative to the particle-containing peak at 8.5-10.5 mL. For gp140.664-10aa-FR, which contained more nanoparticles, EM revealed an 25 unknown protein species with a hexagonal structure mixed with aggregates and well-assembled particles. To improve the particle purity, we investigated the utility of Capto Core 700 column, which has been used for VLP purification. After purification using Capto Core 700 and GNL columns, gp140.664-10aa-FR showed reduced non-particle peaks in the SEC profile. Consistently, higher-quality EM images were obtained with 30 the hexagonal structures still present, indicating that an HIV-1 Env-specific purification method is required. To this end, we examined the combined use of Capto Core 700 and 2G12 affinity columns, the latter of which has been widely used to purify SOSIP trimers. Overall, gp140.664-10aa-FR remained the best performer, showing a more

visible particle peak in the SEC profile with a reduced trimer peak and no dimer/monomer peak. For all three constructs, the particle-containing fractions were analyzed by BN-PAGE, which showed high m.w. bands corresponding to fully assembled gp140 nanoparticles relative to the individual trimer. Of note, these bands 5 are consistent with the SEC profile and the estimated shift from the gp120-ferritin particle bands, in contrast to the Sliepen et al. report. For gp140.664-10aa-FR, homogeneous nanoparticles with visible spikes on the surface were observed in negative stain EM. The stability of gp140.664-10aa-FR nanoparticles was confirmed by EM analysis of a sample that had been frozen and thawed. We also observed well-10 formed nanoparticles for gp140.664-FR and gp140.681-10aa-FR in negative stain EM. Taken together, our results validated the assembly of gp140-ferritin nanoparticles, and highlighted the importance of proper purification and characterization in the development of gp140-based nanoparticles.

[00123] We characterized the antigenic profiles of gp140 nanoparticles using BLI 15 and a panel of representative bNAbs with the HR1-redesigned trimer included as a control. We first utilized V1V2 apex-directed bNAbs PGDM1400, PGT145, and PG16 to probe the apex of gp140 trimers displayed on the particle surface. Remarkably, all gp140 nanoparticles showed sub-picomolar affinities compared to the individual trimer, with flat dissociation curves due to avidity. For bNAb PGT121, which targets the V3 20 epitope centered at N332, gp140 nanoparticles showed similar binding profiles to that of the HR1-redesigned trimer with an increased on-rate. For CD4bs-directed bNAb VRC01, gp140-ferritin particles displayed slow association similar to gp120-ferritin particles. For bNAbs PGT151 and 35O22 that target the gp120-gp41 interface, we 25 found enhanced recognition, but with different kinetics. For PGT151, a faster on-rate was observed with an unchanged dissociation pattern. By contrast, an increased on-rate was observed for 35O22 that was accompanied by a rapid dissociation. In brief, all three gp140 nanoparticles showed improved recognition by bNAbs except for VRC01, suggesting that the crowded surface display of gp140 trimers may have the most 30 significant impact on the VRC01-class bNAbs that bind the CD4bs with a restricted angle of approach.

[00124] We next measured the binding kinetics of gp140 nanoparticles to non-NAbs. Notably, reduced binding was observed for the CD4bs-specific MAbs F105 and b6, with a more significant reduction seen for b6. In the case of V3-specific MAb 19b,

a slower association was observed for gp140 nanoparticles compared to trimer and gp120 nanoparticles, suggesting a minimized V3 exposure due to the dense display of gp140 trimers. For MAb F240, which targets the immunodominant epitopes in cluster I of gp41, gp140 nanoparticles exhibited undetectable binding compared to the residual 5 binding observed for the HR1-redesigned trimer. For both gp140 trimer and gp140 nanoparticles, binding to CD4i MAbs 17b and A332 was not detected. Lastly, we utilized MPER-directed bNAbs 4E10 and 10E8 to probe this gp41 epitope in the context of gp140.681-10aa-FR. Surprisingly, although this nanoparticle bound to 4E10 with a rapid on-rate and flat dissociation curve, it showed only minimal binding to 10 10E8, which recognizes a conformational epitope spanning beyond the 4E10 binding site. As revealed by structural modeling, since MPER is proximal to the ferritin surface with a distance of  $\geq$  10 nm from the outer surface, steric hindrance may have a more significant impact on bNAbs such as 10E8 that select for certain epitope conformations.

[00125] Our analyses revealed critical characteristics of the designed gp140-ferritin 15 nanoparticles. In contrast to the Sliepen et al. report, the antigenic profiling by BLI clearly demonstrated enhanced recognition by bNAbs and reduced binding to non-NAbs. The results suggest that these gp140 nanoparticles may be superior immunogens to individual gp140 trimers in eliciting robust B cell responses towards the bNAb epitopes. Of note, the intrinsic purity of HR1-redesigned trimer has played an 20 indispensable role in the production of nanoparticles with native-like gp140 trimers that demonstrated SOSIP-like antigenic profiles with notably reduced binding to non-NAbs.

Example 13 A 60-meric E2p nanoparticle presenting stabilized gp140 trimer

[00126] Based on the promising results of gp140-ferritin nanoparticles, we 25 investigated the particulate display of gp140 trimer on two 60-mers, LS and E2p. Given the small size of LS, we first designed a construct containing a 10-residue linker between the C-terminus of LS subunit and N-terminus of gp140 (Table 2e). Structural modeling of LS-10aa-gp140.664 yielded an estimated diameter of 39.2 nm. Following furin co-expression in HEK293 F cells and GNL purification, the chimeric protein was 30 analyzed by SEC and negative stain EM, in which well-formed nanoparticles were not identified. We then examined the utility of 60-meric E2p nanoparticle by fusing the BG505 gp140.664 containing a redesigned HR1 bend to the N-terminus of E2p core subunit (Table 2e). Structural modeling indicated that the gp140.664-E2p nanoparticle,

41.5 nm in diameter, can expose all bNAb epitopes except for MPER. The gp140.664-E2p construct was co-transfected with furin in HEK293 F cells followed by the GNL purification and SEC on a Superose 6 10/300 GL column. Although the overall expression was low, the highest peak in the SEC profile corresponded to the large E2p 5 nanoparticles, which was further confirmed by the SEC analysis of 2G12-purified sample. A possible explanation for the improved efficiency in particle assembly is that the association of three gp41 subunits can facilitate gp140 trimerization and E2p assembly simultaneously. BN-PAGE showed a band on the top of the gel characteristic of high m.w. nanoparticles. Homogeneous gp140.664-E2p nanoparticles with a dense 10 layer of trimer spikes were observed from the EM analysis. Our results thus indicate that gp140.664-E2p can form homogenous, VLP-size nanoparticles with desired structural regularity of gp140 trimers poised for immune recognition.

[00127] We then characterized the antigenicity of gp140.664-E2p nanoparticle using a panel of bNAbs. For apex-directed PG16 and PGDMI400, gp140.664-E2p 15 showed a slow on-rate with flat dissociation curves and less than picomolar affinities. For CD4bs-directed VRC01, gp140.664-E2p showed notably reduced binding and flat dissociation curves reminiscent of the gp140-ferritin nanoparticles, but weaker. For bNAb PGT121, which binds to a glycan epitope at the V3 stem, we observed trimer-like kinetics with slightly reduced on-rate. For PGT151 and 35O22, gp140.664-E2p 20 exhibited binding profiles similar to those of the gp140-ferritin nanoparticles. Overall, gp140.664-E2p showed reduced recognition by the bNAbs tested in this analysis, with the most visible change observed for VRC01 and the least for PGT151. This result raised the possibility that non-native gp140 trimer conformations were displayed on the E2p nanoparticle. To address this critical issue, we tested particle binding to a panel of 25 non-NAbs. For CD4bs-specific MAb F105, we observed weakened binding with a more rapid dissociation relative to the individual trimer. Furthermore, to our surprise, 19b binding revealed a notably reduced V3 exposure, as opposed to the enhancement observed for all other gp120 and gp140 nanoparticles. The gp140.664-E2p nanoparticle bound to the CD4i MAb 17b at a minimal level, consistent with the previous 30 observation for gp140-ferritin nanoparticles. For gp41-specific MAb F240, however, gp140.664-E2p showed slightly increased binding relative to the trimer and gp140-ferritin nanoparticles. Further analysis revealed an approximately 9 Å edge that made up the threefold vertices for E2p compared to a 20 Å edge for ferritin, suggesting that a

short linker might have caused some strain in the gp41-E2p connecting region, and consequently a less favorable gp41 conformation.

[00128] The gp140.664-E2p nanoparticle, with an optimal size (40-50 nm) for DC update, may be more advantageous than gp140-ferritin nanoparticles in eliciting strong and sustained B cell responses. Given the efficient assembly of gp140.664-E2p nanoparticles, it is possible that the particulate display of gp140.681 can be achieved using E2p, and warrants further investigation. In summary, our characterization of gp140.664-E2p nanoparticle has provided an important step towards the development of high-valency, VLP-like HIV-1 vaccines.

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Table 1. X-ray crystallographic data collection and refinement statistics.

Data collection	HR1 redesign 1+Fab <sup>s</sup> 8ANC195 and PGT128	HR1 redesign 9+Fab <sup>s</sup> 8ANC195 and PGT128
X-ray Source	APS 23ID-D	SSRL 12-2
Wavelength (Å)	1.033	0.980
Space group	I23	I23
Unit cell parameters	$a = b = c = 266.3 \text{ \AA}$ $\alpha = \beta = \gamma = 90.0^\circ$	$a = b = c = 262.0 \text{ \AA}$ $\alpha = \beta = \gamma = 90.0^\circ$
Resolution (Å)	50.0-6.30 (6.52-6.30) <sup>a</sup>	50.0-6.90 (7.15-6.90) <sup>a</sup>
Observations	104,666	96,139
Unique reflections	6,914 (685) <sup>a</sup>	5,022 (496) <sup>a</sup>
Redundancy	15.1 (15.7) <sup>a</sup>	19.1 (20.3) <sup>a</sup>
Completeness (%)	100.0 (100.0) <sup>a</sup>	100.0 (100.0) <sup>a</sup>
$\langle I/\sigma_I \rangle^b$	17.9 (2.3) <sup>a</sup>	15.5 (1.3) <sup>a</sup>
$R_{\text{sym}}^c$	0.10 (2.09) <sup>a</sup>	0.16 (4.21) <sup>a</sup>
$R_{\text{free}}^c$	0.04 (0.54) <sup>a</sup>	0.05 (0.81) <sup>a</sup>
CC <sub>1/2</sub>	0.51 <sup>a</sup>	0.33 <sup>a</sup>
Refinement statistics		
Resolution (Å)	40.14-6.31 (6.79-6.31) <sup>a</sup>	47.83-6.92 (7.61-6.92) <sup>a</sup>
Reflections (work)	6,208 (1,236) <sup>a</sup>	4,519 (1,135) <sup>a</sup>
Reflections (test)	684 (139) <sup>a</sup>	492 (109) <sup>a</sup>
$R_{\text{cryst}}^c$ (%) <sup>c</sup>	28.1	28.4
$R_{\text{free}}$ (%) <sup>d</sup>	32.2	32.2
Average $B$ -value (Å <sup>2</sup> )	350	292
Wilson $B$ -value (Å <sup>2</sup> )	356	407
RMSD from ideal geometry		
Bond length (Å)	0.004	0.004
Bond angles (°)	0.841	0.882
Ramachandran statistics (%) <sup>e</sup>		
Favored	95.1	95.2
Outliers	0.2	0.1
PDB ID		

<sup>a</sup> Numbers in parentheses refer to the highest resolution shell.

<sup>b</sup> Calculated as average( $I$ )/average( $\sigma I$ )

<sup>c</sup>  $R_{\text{sym}} = \sum_{hkl} \sum_{i} |I_{hkl,i} - \langle I_{hkl} \rangle| / \sum_{hkl} \sum_{i} I_{hkl,i}$ , where  $I_{hkl,i}$  is the scaled intensity of the  $i^{\text{th}}$  measurement of reflection  $h, k, l$ ,  $\langle I_{hkl} \rangle$  is the average intensity for that reflection, and  $n$  is the redundancy.  $R_{\text{sym}}$  is a redundancy-independent measure of the quality of intensity measurements.  $R_{\text{sym}} = \sum_{hkl} (1/(n-1))^{1/2} \sum_{i} |I_{hkl,i} - \langle I_{hkl} \rangle| / \sum_{hkl} \sum_{i} I_{hkl,i}$ , where  $I_{hkl,i}$  is the scaled intensity of the  $i^{\text{th}}$  measurement of reflection  $h, k, l$ ,  $\langle I_{hkl} \rangle$  is the average intensity for that reflection, and  $n$  is the redundancy.

<sup>d</sup>  $R_{\text{free}} = \sum_{hkl} |F_o - F_c| / \sum_{hkl} |F_o| \times 100$

<sup>e</sup>  $R_{\text{free}}$  was calculated as for  $R_{\text{crys}}$  but on a test set comprising 10% of the data excluded from refinement.

<sup>f</sup> These values were calculated using MolProbity (<http://molprobity.biochem.duke.edu/>).

Table 2. Amino acid sequences of HIV-1 trimer-presenting nanoparticles.<sup>a</sup>

Construct name	Amino acid sequence
<b>a. V1V2 trimer-presenting ferritin nanoparticles</b>	
ZM109 V1V2Ext-FR (SEQ ID NO:29)	[PCVKLTPLCVTLNCTSPAAHNESETRVKHCSFNITTDVKDRK QKVNATFYDLDIVPLSSSDNSSNSSLYRLISCNTTITQACP]4S GDIKLLNEQVNKEMQSSNLYMSMSSWCYTHSLDGAGLFLFD HAAEEYEHAKKLIIFLNENNVPQLTSISAPEHKFEGLTQIFQK AYEHEQHISESINNIVDHAIKSKDHATFNFLQWYVAEQHEEEV LFKDILDKIELIGNENHGLYLAQYVVKGIAKSRKS
ZM109 V1V2Sht-FR (SEQ ID NO:30)	[ACVTLNCTSPAAHNESETRVKHCSFNITTDVKDRKQKVNATF YDLDIVPLSSSDNSSNSSLYRLISCA]4SGDIKLLNEQVNKEMQ SSNLYMSMSSWCYTHSLDGAGLFLFDHAAEEYEHAKKLIIFL NENNVPQLTSISAPEHKFEGLTQIFQKAYEHEQHISESINNIV DHAIKSKDHATFNFLQWYVAEQHEEEVLFKDILDKIELIGNEN HGLYLAQYVVKGIAKSRKS
CAP45 V1V2Ext-FR (SEQ ID NO:31)	[PCVKLTPLCVTLRCTNATINGSLTEEVKNCSFNITTEL RDKKQ KAYALFYRPDVVPLNKNSPSGNSSEYILINCNTTITQACP]4SG DIKLLNEQVNKEMQSSNLYMSMSSWCYTHSLDGAGLFLFDH AAEEYEHAKKLIIFLNENNVPQLTSISAPEHKFEGLTQIFQKA YEHEQHISESINNIVDHAIKSKDHATFNFLQWYVAEQHEEEVL FKDILDKIELIGNENHGLYLAQYVVKGIAKSRKS
<b>b. gp120 trimer-presenting ferritin nanoparticles</b>	
BG505 gp120Ext-FR (SEQ ID NO:32)	[GVPWKDAETTLFCASDAKAYDTEKHNVWATHACVPTDPN PQEIHLENVTEEFNMWKNNMVEQMHTDIISLWDQSLKPCVKL TPLCVTLQCTNVTNNITDDMRGELKNCSFNMTTEL RDKKQK VYSLFYRLDVVQINENQGNRSNNSNKEYRLINCNTSAITQACP KVSFEPIPIHYCAPAGFAILKCKDKKFNGTGPCPSVSTVQCTHG IKPVVSTQQLLNGSLAEEEVMIRSENITNNAKNILVQFNTPVQI NCTRPNNNTRKSIRIGPGQAFYATGDIIGDIRQAHCNVSKATW NETLGKVVVKQLRKHFGNNTIRFANSSGGDLEVTHSFNCGGE FFYCNTSGLFNSTWISNTSVQGSNSTGSNDSITLPCRIKQINM WQRIGQAMYAPPIQGVIRCVSNITGLILTRDGGSTNSTTETFRP GGGDMRDNRWSELYKYKVVKIEPLG]4SGDIKLLNEQVNKE MQSSNLYMSMSSWCYTHSLDGAGLFLFDHAAEEYEHAKKLI

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FLNENNVPVQLTSISAPEHKFEGLTQIFQKAYEHEQHISESINNI  
VDHAIKSKDHA~~T~~FNFLQWYVAEQHEEEVLFKDILDKIELIGNE  
NHGLY~~L~~ADQYVKGIAKS~~R~~K~~S~~

BG505 gp120Sht-FR  
(SEQ ID NO:33)

[GYWKDAETTLFCASDAKAYDTEKHNWATHACVPTDPNPQ  
EIHLENVTEEFNMWKNNMVEQMHTDIISLWDQSLKPCVKLTP  
LCVTLQCTNVTNNITDDMRGELKNCSFNMTTEL RDKKQKVY  
SLFYRLDVVQINENQGNRSNNSNKEYRLINCNTSAITQACPKV  
SFEPPIHYCAPAGFAILKCKDKKFNGTGPCPSVSTVQCTHGIK  
PVVSTQLLLNGSLAEEEVMIRSENITNNAKNILVQFNTPVQINC  
TRPNNNTRKSIRIGPGQAFYATGDIIGDIRQAHCNVSKATWNE  
TLGKVVKQLRKHFGNNTIIRFANSSGGDLEVTHSFNC~~G~~EFF  
YCNTSGLFNSTWISNTSVQGSNSTGSNDSITLPCRIKQIINMWQ  
RIGQAMYAPPIQGVIRCVSNITGLILTRDGGSTNSTETPRPGG  
GDMRDNWRSELYKYKVV~~KIEG~~14SGDIKLLNEQVNKE~~M~~QSS  
NLYMSMSSWCYTHSLDGAGLFLFDHAABEYEHAKKLI~~F~~LNE  
NNVPVQLTSISAPEHKFEGLTQIFQKAYEHEQHISESINNIVDH  
AIKS~~K~~DHA~~T~~FNFLQWYVAEQHEEEVLFKDILDKIELIGNE~~N~~HG  
LYLADQYVKGIAKS~~R~~K~~S~~

BG505 gp120S8-FR  
(SEQ ID NO:34)

[GWCDAETTLFCASDAKAYDTEKHNWATHACVPTDPNPQE~~I~~  
HLENVTEEFNMWKNNMVEQMHTDIISLWDQSLKPCVKLTP  
CVTLQCTNVTNNITDDMRGELKNCSFNMTTEL RDKKQKVYS  
LFYRLDVVQINENQGNRSNNSNKEYRLINCNTSAITQACPKV~~S~~  
FEPPIHYCAPAGFAILKCKDKKFNGTGPCPSVSTVQCTHGIK~~P~~  
VVSTQLLLNGSLAEEEVMIRSENITNNAKNILVQFNTPVQINC~~T~~  
RPNNNTRKSIRIGPGQAFYATGDIIGDIRQAHCNVSKATWNET  
LGKVVKQLRKHFGNNTIIRFANSSGGDLEVTHSFNC~~G~~EFFY  
CNTSGLFNSTWISNTSVQGSNSTGSNDSITLPCRIKQIINMWQR  
IGQAMYAPPIQGVIRCVSNITGLILTRDGGSTNSTETPRPGG  
DMRDNWRSELYKYKVV~~CIG~~14SGDIKLLNEQVNKE~~M~~QSSNL  
YMSMSSWCYTHSLDGAGLFLFDHAABEYEHAKKLI~~F~~LNNEN  
VPVQLTSISAPEHKFEGLTQIFQKAYEHEQHISESINNIVDHAIK  
SKDH~~A~~~~T~~FNFLQWYVAEQHEEEVLFKDILDKIELIGNE~~N~~HGLY~~L~~  
ADQYVKGIAKS~~R~~K~~S~~ (SEQ ID NO:34)

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c. gp120 trimer-presenting LS and E2p nanoparticles

BG505 gp120Sht-LS  
(SEQ ID NO:35)

[GYWKDAETTLFCASDAKAYDTEKHNWATHACVPTDPNPQ  
EIHLENVTEEFNMWKNNMVEQMHTDIISLWDQSLKPCVKLTP  
LCVTLQCTNVTNNITDDMRGELKNCSFNMTTEL RDKKQKVY  
SLFYRLDVVQINENQGNRSNNSNKEYRLINCNTSAITQACPKV  
SFEPPIHYCAPAGFAILKCKDKKFNGTGPCPSVSTVQCTHGIK  
PVVSTQLLLNGSLAEEEVMIRSENITNNAKNILVQFNTPVQINC  
TRPNNNTRKSIRIGPGQAFYATGDIIGDIRQAHCNVSKATWNE  
TLGKVVKQLRKHFGNNTIIRFANSSGGDLEVTHSFNC~~G~~EFF  
YCNTSGLFNSTWISNTSVQGSNSTGSNDSITLPCRIKQIINMWQ  
RIGQAMYAPPIQGVIRCVSNITGLILTRDGGSTNSTETPRPGG  
GDMRDNWRSELYKYKVV~~KIEG~~14SGM~~QI~~YEGKLTAEGLRFGI  
VASRFNHALVDR~~L~~VEGAIDCIVRHGGRE~~EDITL~~VRVPGSWEIP

VAAGELARKEDIDAVIAIGVLIRGATPHFDYIASEVSKGLANL  
**A**LELRKPITFGVITADTLEQAIERAGTKHGNKGWEAALSAIEM  
 ANLFKSLR

BG505 gp120Sht-E2p  
 (SEQ ID NO:36)

[GVWKDAETTLFCASDAKAYDTEKHNVWATHACVPTDPNPQ  
 EIHLENVTEEFNMWKNNMVEQMHTDIISLWDQSLKPCVKLTP  
 LCVTLQCTNVTNNITDDMRGELKNCSFNMTTELRDKKQKVY  
 SLFYRLDVVQINENQGNRSNNSNKEYRLINCNNTSAITQACPKV  
 SFEPPIHYCAPAGFAILKCKDKKFNGTGPVPSVSTVQCTHGIK  
 PVVSTQLLLNGSLAEEEVMIRSENITNNAKNILVQFNTPVQINC  
 TRPNNNTRKSIRIGPGQAFYATGDIIGDIRQAHCVSKATWNE  
 TLGKVVKQLRKHFGNNTIIRFANSGGDLEVTHSFNCGEFF  
 YCNTSGLFNSTWISNTSVQGSNSTGSNDSITLPCRIKQIINMWQ  
 RIGQAMYAPPIQGVIRCVSNITGLITRDGGSTNSTTETFRPGG  
 GDMRDNWRSELYKYKVVKIEGLASGAAAKPATTEGEFFPETRE  
 KMSGIRRAIAKAMVHSKHTAPHVTLMDEADVTKLVAHRKKF  
 KAIAAEKGIKLTFLPYVVKALVSALREYPVLNTAIDDETEIIQ  
 KHYYNIGIAADTDRGLLVPVIKHADRKPIFALAQEINELAEGA  
 RDGKLTGEMKGASCTITNIGSAGGQWFTPVINHPEVALGIG  
 RIAEKPIVRDGEIVAAPMLALSLSDHRMIDGATAQKALNHIK  
 RLLSDPELLM

d. gp140 trimer-presenting ferritin nanoparticles<sup>b</sup>

BG505 gp140.664-FR  
 (SEQ ID NO:37)

[AENLWVTVYYGVPWKDAETTLFCASDAKAYETEKHNWV  
 ATHACVPTDPNPQEIHLENVTEEFNMWKNNMVEQMHTDIISL  
 WDQSLKPCVKLTPLCVTLQCTNVTNNITDDMRGELKNCSFN  
 MTTELRDKKQKVYSLFYRLDVVQINENQGNRSNNSNKEYRLI  
 NCNTSAITQACPKVSFEPPIHYCAPAGFAILKCKDKKFNGTGP  
 CPSVSTVQCTHGIKPVVSTQLLLNGSLAEEEVMIRSENITNN  
 KNILVQFNTPVQINC TRPNNNTRKSIRIGPGQAFYATGDIIGDIR  
 QAHCVSKATWNETLGKVVKQLRKHFGNNTIIRFANSGGDL  
 EVTTHSFNCGEFFY CNTSGLFNSTWISNTSVQGSNSTGSNDSI  
 TLPCRIKQIINMWQRIGQAMYAPPIQGVIRCVSNITGLITRDG  
 GSTNSTTETFRPGGDMRDNWRSELYKYKVVKIEPLGVAPTR  
 CKRRVVGRRRRRAVGIGAVFLGFLGAAGSTMGAASMTLT  
 VQARNLLSGNPDWLPDMTVWGKQLQARVLAVERYLRDQQL  
 LGIWGCSGKLCCTNVPWNSSWSNRNLSEIWDNMTWLQWDK  
 EISNYTQIIYGLLEESQNQQEKNEQDLLALDJASGDIKLLNEQV  
 NKEMQSSNLYMSMSSWCYTHSLDGAGLFLFDHAAEYEHA  
 KLIIFLNENNVPVQLTSISAPEHKFEGLTQIFQKAYBHEQHISES  
 INNIVDHAIKSKDHATNFLQWYVAEQHEEEVLFKDILDKIELI  
 GNENHGLYLAQYVKGIAKSRKS

BG505 gp140.664-10aa-FR (SEQ ID NO:38)

[AENLWVTVYYGVPWKDAETTLFCASDAKAYETEKHNWV  
 ATHACVPTDPNPQEIHLENVTEEFNMWKNNMVEQMHTDIISL  
 WDQSLKPCVKLTPLCVTLQCTNVTNNITDDMRGELKNCSFN  
 MTTELRDKKQKVYSLFYRLDVVQINENQGNRSNNSNKEYRLI  
 NCNTSAITQACPKVSFEPPIHYCAPAGFAILKCKDKKFNGTGP

CPSVSTVQCTHGIKPVVSTQLLNGSLAEEEVMIRSENITNN  
 KNILVQFNTPVQINCTRPNNNTRKSIRIGPGQAFYATGDIIGDIR  
 QAHCVSKATWNETLGKVVKQLRKHFGNNTHRFANSGGDL  
 EVTTHSFNCGGEFFYCNTSGLFNSTWISNTSVQGSNSTGSNSDI  
 TLPCRIKQIINMWQRIGQAMYAPPIQGVIRCVSNITGLITRDG  
 GSTNSTTETFRPGGGDMRDNRSELYKYKVVKIEPLGVAPTR  
CKRRVVGRRRRRRAVGIGAVFLGFLGAAGSTMGAASMTLT  
VQARNLLSGNPDWLPDMTVWGKQLQARVLAVERYL RDQQL  
 LGIWGCSGKLCCTNVPWNSSWSNRNLSEIWDNMTWLQWDK  
 EISNYTQIHYGLLEESQNQQEKNEQDLLALDJGSGSGSGSGSASG  
 DIKLLNEQVNKEMQSSNLYMSMSSWCYTHSLDGAGLFLFDH  
 AAEYEYEHAKKLIFLNNENVPVQLTSISAPEHKFEGLTQIFQKA  
 YEHEQHISESINNIVDHAIKSKDHATFNFLQWYVAEQHEEEVL  
 FKDILDKIELIGNENHGLYLADQYVKGIAKSRKS

BG505 gp140.681-10aa-  
 FR (SEQ ID NO:39)

[AENLWVTVYYGVPVWKDAETTLFCASDAKAYETEKHN  
 VVATHACVPTDPNPQEIHLENVTEEFNMWKNNMVEQMHTD  
 IISLWDQSLKPCVKLTPLCVTLQCTNVTNNITDDMRGELKNC  
 SFNMTTELRDKKQKVYSLFYRLDVVQINENQGNRSNN  
 SKEYRLINCN  
 TSIAITQACPKVSFEPPIHYCAPAGFAILKCKDKK  
 FNGTGP  
 CPSVSTVQCTHGIKPVVSTQLLNGSLAEEEVMIRSENITNN  
 KNILVQFNTPVQINCTRPNNNTRKSIRIGPGQAFYATGDIIGDIR  
 QAHCVSKATWNETLGKVVKQLRKHFGNNTHRFANSGGDL  
 EVTTHSFNCGGEFFYCNTSGLFNSTWISNTSVQGSNSTGSNSDI  
 TLPCRIKQIINMWQRIGQAMYAPPIQGVIRCVSNITGLITRDG  
 GSTNSTTETFRPGGGDMRDNRSELYKYKVVKIEPLGVAPTR  
CKRRVVGRRRRRRAVGIGAVFLGFLGAAGSTMGAASMTLT  
VQARNLLSGNPDWLPDMTVWGKQLQARVLAVERYL RDQQL  
 LGIWGCSGKLCCTNVPWNSSWSNRNLSEIWDNMTWLQWDK  
 EISNYTQIHYGLLEESQNQQEKNEQDLLALDKWASLWN  
 WFDTINWLWYIRAGSGSGSGSASGDIKLLNEQVN  
 KEMQSSNLYMSMSSWCYTHSLDGAGLFLFDHAAEYEYEHAK  
 KLIIFLNNENVPVQLTSISAPEHKFEGLTQIFQKA  
 YEHEQHISESINNIVDHAIKSKDHATFNFLQWYVAEQHEEE  
 VLFKDILDKIELIGNENHGLYLADQYVKGIAKSRKS

e. gp140 trimer-presenting LS and E2p nanoparticles

BG505 LS-10aa-  
 gp140.664 (SEQ ID  
 NO:40)

MQIYEGKLTAEGLRGIVASRFNHALVDRLVEGAIDCIVRHGG  
 REEDITLVRVPGSWEIPVAAGELARKEDIDAVIAIGVLIRGATP  
 HFDYIASEVSKGLANLALELRKPIFGVITADTLEQQAIERAGTK  
 HGNKGWEAALSSAIEMANLFKSLRGSGSGSGSGSASGIAENLW  
 VTVYYGVPVWKDAETTLFCASDAKAYETEKHN  
 VVWATHACV  
 PTDPNPQEIHLENVTEEFNMWKNNMVEQMHTD  
 IISLWDQSLKPCVKLTPLCVTLQCTNVTNNITDDMRGELKNC  
 SFNMTTELRDKKQKVYSLFYRLDVVQINENQGNRSNN  
 SKEYRLINCN  
 TSIAITQACPKVSFEPPIHYCAPAGFAILKCKDKK  
 FNGTGP  
 CPSVSTVQCTHGIKPVVSTQLLNGSLAEEEVMIRSENITNN  
 AKNILVQF  
 NTPVQINCTRPNNNTRKSIRIGPGQAFYATGDIIGDIRQAHCV  
 SKATWNETLGKVVKQLRKHFGNNTHRFANSGGDL  
 ETTTHS

	FNCGGGEFFYCNTSGLFNSTWISNTSVQGSNSTGSNDSITLPCRI KQIINMWQRIGQAMYAPPIQGVIRCVSNITGLITRDGGSTNST TETFRPGGGDMRDNRSELYKYKVVKIEPLGVAPTR <u>C</u> KRRV <u>V</u> GR <u>RRRRR</u> AVGIGAVFLGFLGAAGSTMGAASMTLVQARN LLSGN <u>P</u> D <u>W</u> <u>L</u> <u>P</u> <u>D</u> <u>M</u> <u>T</u> VWGIKQLQARVLAVERYLRDQQLLGIGW CSGK <u>L</u> <u>I</u> <u>C</u> <u>C</u> TNVPWNSSWSNRNLSEIWDNMTWLQWDKEISNY TQIYGLLEESQNQQEKNEQDLLALD]
BG505 gp140.664-E2p (SEQ ID NO:41)	[AENLWVTIVYYGVPVWKDAETTLFCASDAKAYETEKHNVV ATHACVPTDPNPQEIHLENVTEEFNMWKNNMVEQMHTDIISL WDQLSLKPCVKLTPLCVTLQCTNVTNNITDDMRGELKNCSFN MTTELRDKKQKVYSLFYRLDVQINENQGNRSNNSNKEYRLI NCNTSAITQACPKVSFEPPIHYCAPAGFAILKCKDKKFNGTGP CPSVSTVQCTHGIKPVVSTQLLLNGSLAEEEVMIRSENITNNA KNILVQFNTPVQINCTRPNNNTRKSIRIGPGQAFYATGDIIGDIR QAH <u>C</u> N <u>V</u> SKATWNETLGKVVKQLRKHPGNNTIRFANSGGDL EV <u>T</u> HSFNCGGGEFFYCNTSGLFNSTWISNTSVQGSNSTGSNDSI TLPCRIKQIINMWQRIGQAMYAPPIQGVIRCVSNITGLITRDG GSTNSTTETFRPGGGDMRDNRSELYKYKVVKIEPLGVAPTR <u>C</u> KRRV <u>V</u> GR <u>RRRRR</u> AVGIGAVFLGFLGAAGSTMGAASMTLT VQARNLLSGN <u>P</u> D <u>W</u> <u>L</u> <u>P</u> <u>D</u> <u>M</u> <u>T</u> VWGIKQLQARVLAVERYLRDQQL LGIWGCSGK <u>L</u> <u>I</u> <u>C</u> <u>C</u> TNVPWNSSWSNRNLSEIWDNMTWLQWDK EISNYT <u>Q</u> IYGLLEESQNQQEKNEQDLLALD]ASGAAAKPATTE GEFPETREKMSGIRRAIAKAMVHSKHTAPHVTLMDEADVTKL VAHRKKFKAAAEKGIKLTFLPYVVKALVSALREYPVLT <u>A</u> ID DETE <u>E</u> IQKHYYNIGIAADTDRGLLVPVIKHADRKP <u>I</u> ALA <u>Q</u> EI NELAEKARDGKLTPGEMKGASCTTINIGSAGGQWFTPVINHP EVAILGIGRIAEKPIVRDGEIVAAPMLALSLSDHRMIDGATAQ KALNHIKRLLSDP <u>E</u> LLM

<sup>a</sup> For each construct, the HIV-1 antigen is shown in brackets with the mutations shown in underlined font. Mutations in the nanoparticle sequence aimed to remove N-linked glycosylation sites are shown in double underlined font. The enzymatic site (ASG) between HIV-1 antigen and particle subunit is shown in italic font.

<sup>b</sup> The gp140 sequences contain a redesigned heptad repeat 1 (HR1) region that has been found to significantly improve trimer yield and purity while retaining the SOSIP-like structure and antigenicity. The modified HR1 region is shown in italic/double underlined font, and the 10 residue GS linker (SEQ ID NO:42) is shown in italic/underlined font. A leader sequence "MDAMKRGLCCVLLLCGAVFVSPSQEIJHARFRRGAR" (SEQ ID NO: 43) is used for all gp140 nanoparticle constructs.

#### Example 14 Some exemplified methods for HIV-1 trimer presenting nanoparticles

[00129] Nanoparticle design and modeling. A Perl script was developed to (1)

search for three-fold vertices on the surface of a given nanoparticle or VLP, (2)

5 superpose the C-termini of trimeric HIV-1 antigen onto N-termini of three particle

subunits around each three-fold axis on the particle surface, and (3) generate XYZ

coordinates of the trimer-presenting particle with the diameter and other structural

parameters calculated at the completion of the process. The particle model obtained was

visualized using UCSF Chimera (<https://www.cgl.ucsf.edu/chimera/>) for manual inspection and selection of proper linkers.

[00130] Antibodies for antigenic profiling. We utilized a panel of bNAbs and non-NAbs to characterize the antigenicity of designed trimers. The bNAbs 2G12 and b12 as well as MAbs P240, 7B2, 17b, and A32 were requested from the NIH AIDS Reagent Program (<https://www.aidsreagent.org/>). Other bNAbs and non-NAbs were obtained elsewhere.

[00131] Expression and purification of HIV-1 Env antigens and nanoparticles.

Trimers and trimer-presenting nanoparticles were transiently expressed in HEK293 F

10 cells (Life Technologies, CA), with monomeric V1V2 and V1V2 nanoparticles transiently expressed in HEK293 S cells. Briefly, HEK293 F/S cells were thawed and incubated with FreeStyle™ 293 Expression Medium (Life Technologies, CA) in a Shaker incubator at 37°C, with 120 rpm and 8% CO<sub>2</sub>. When the cells reached a density of 2.0×10<sup>6</sup>/ml, expression medium was added to reduce cell density to 1.0×10<sup>6</sup>/ml for 15 transfection with polyethyleneimine (PEI) (Polysciences, Inc). For gp140 nanoparticles, 800 µg of fusion protein plasmid and 300 µg of furin plasmid in 25 ml of Opti-MEM transfection medium (Life Technologies, CA) was mixed with 5 ml of PEI-MAX (1.0 mg/ml) in 25 ml of Opti-MEM; whereas for V1V2 and gp120 nanoparticles, 900 µg of chimeric Env plasmid was used without furin. After incubation for 30 min, the DNA-

20 PEI-MAX complex was added to 1L 293F/S cells. Culture supernatants were harvested five days after transfection, clarified by centrifugation at 1800 rpm for 22 min, and filtered using 0.45 µm filters (Thermo Scientific). The proteins were extracted from the supernatant using a *Galanthus nivalis* lectin (GNL) column (Vector Labs). The bound proteins were eluted with PBS containing 500 mM NaCl and 1 M methyl-α-D-mannopyranoside and purified by size exclusion chromatography (SEC) on a Superdex 25

25 Increase 10/300 GL column or a Superose 6 10/300 GL column (GE Healthcare). Protein concentrations were determined using UV<sub>280</sub> absorbance with theoretical extinction coefficients. For gp140-ferritin nanoparticles, Capto 700 Core column (GE Healthcare) and 2G12 affinity column<sup>6</sup> were used to improve particle purity.

30 [00132] Blue Native (BN) PAGE. HIV-1 trimer-presenting nanoparticles were analyzed by *blue native* polyacrylamide gel electrophoresis (BN-PAGE) and stained using Coomassie blue. The protein samples were mixed with loading dye and loaded onto a 4-12% Bis-Tris NuPAGE gel (Life Technologies). BN-PAGE gels were run for

2 hours at 150 V using NativePAGE™ running buffer (Life Technologies) according to the manufacturer's instructions.

[00133] Electron microscopy sample preparation and data processing. The purified nanoparticles were analyzed by negative stain EM. A 3  $\mu$ L aliquot containing ~0.01 mg/mL of the sample was applied for 15 s onto a carbon-coated 400 Cu mesh grid that had been glow discharged at 20 mA for 30 s, then negatively stained with 2% uranyl formate for 45s. Data were collected using a FEI Tecnai Spirit electron microscope operating at 120 kV, with an electron dose of ~30 e/ $\text{\AA}^2$  and a magnification of 52,000 x that resulted in a pixel size of 2.05  $\text{\AA}$  at the specimen plane. Images were acquired with a Tietz 4k  $\times$  4k TemCam-F416 CMOS camera using a nominal defocus of 1000 nm and the Leginon package. The nanoparticles were picked automatically using DoG Picker and put into a particle stack using the Appion software package. Reference-free, two-dimensional (2D) class averages were calculated using particles binned via the iterative msa/mra Clustering 2D Alignment and IMAGIC software systems and sorted into classes.

[00134] Binding Analysis by Enzyme-Linked Immunosorbent Assay (ELISA). Costar™ 96-well assay plates (Corning) were coated with V1V2 antigens overnight at 4°C. The wells were washed once with PBS + 0.05 % Tween 20, and then incubated with 150  $\mu$ l of blocking buffer (PBS with 5% w/v dry milk) per well for 1 hour at room temperature (RT) followed by 5 times of washing in PBS + 0.05% Tween 20. 50  $\mu$ l of apex-directed bNAbs in blocking buffer were added, with a maximum concentration of 2  $\mu$ g/ml and a 5-fold dilution series, and incubated for 1 hour at RT. After washing 5 times in PBS + 0.05% Tween 20, the wells were incubated with 50  $\mu$ l of Peroxidase-AffiniPure Goat Anti-Human IgG antibody (Jackson ImmunoResearch Laboratories, Inc) at 1:5000 in PBS + 0.05% Tween 20 per well for 1h at RT. After washed 5 times in PBS + 0.05% Tween 20, the wells were developed using TMB at RT for 5 min and the reaction stopped with 2 N sulfuric acid. The readout was measured at a wavelength of 450 nm.

[00135] Binding Analysis by Biolayer Light Interferometry. The kinetics of HIV-1 antigen and nanoparticle binding to bNAbs and non-NAbs was measured using an Octet Red96 instrument (fortéBio, Pall Life Sciences). All assays were performed with agitation set to 1000 rpm in fortéBIO 1 $\times$  kinetic buffer. The final volume for all the solutions was 200  $\mu$ l/well. Assays were performed at 30°C in solid black 96-well plates

(Geiger Bio-One). 5 µg/ml of protein in 1× kinetic buffer was used to load an antibody on the surface of anti-human Fc Capture Biosensors (AHC) for 300s. A 60s biosensor baseline step was applied prior to the analysis of the association of the antibody on the biosensor to the Env trimer in solution for 200s. A two-fold concentration gradient of 5 testing antigens was used in a titration series of six. The dissociation of the interaction was followed for 300s. Correction of baseline drift was performed by subtracting the averaged shift recorded for a sensor loaded with antibody but not incubated with trimer, or a sensor without antibody but incubated with trimer. Octet data were processed by forteBio's data acquisition software v.8.1. Experimental data were fitted for V1V2 10 apex-directed bNAbs using a global fit 1:1 model to determine the  $K_D$  values and other kinetic parameters.

Example 15 Further modification of the gp41 domain to improve trimer properties

[00136] We hypothesize that other regions within gp41 also contribute to the HIV-1 15 Env metastability, in addition to the N-terminal bend of the heptad region 1 (HR1), which is the primary cause of metastability. This is the master hypothesis that can be realized in two different implementations.

[00137] In the first implementation, we hypothesize that the BG505 gp41 domain containing a redesigned HR1 N-terminal bend and a cleavage-site linker can be used to 20 replace the gp41 domain of diverse HIV-1 strains or subtypes to render "chimeric" gp140 trimers (termed "UFO-BG") with the same high yield, purity, and stability as the BG505 UFO gp140 trimer. Since the gp120 domain encodes most of the Env epitopes and thus defines the identity of an HIV-1 strain or subtype, such a "chimeric" gp140 25 trimer will be antigenically similar to the wild-type (WT) gp140 trimer but with significantly improved yield, purity, and stability. To validate this hypothesis, we selected 10 HIV-1 strains of 5 diverse clades (A, B, C, B'/C, and A/E), covering the 30 majority of the circulating HIV-1 isolates in different geographic regions around the globe. These 10 HIV-1 strains include BG505 (clade A, tier 2), Q842-d12 (clade A, tier 2), 6240.08.TA5.4622 (clade B, tier 2), H078.14 (clade B, tier 3), Du172.17 (clade C, tier 2), 16055-2.3 (clade C, tier 2), CN54 (clade B'/C, tier unknown), CH115.12 (clade B'/C, tier 3), 95TNIH022 (clade A/E, tier unknown), and 93JP\_NH1 (clade A/E, tier unknown). Indeed, the profiles obtained from size-exclusion chromatography (SEC) on a Superdex 200 16/600 Hi-Load column demonstrated exceptional purity for the UFO-

BG trimers derived from diverse HIV-1 strains. Using bio-layer interferometry (BLI), we further measured the antigenic profiles for these 10 UFO-BG trimers against a panel of 11 broadly neutralizing antibodies (bNAbs) and 8 non-NAbs, showing broadly similar patterns to the wild-type (WT) gp140 trimers without swapping the gp41 domain. Negative-stain EM analysis of 10 UFO-BG trimers indicated that 80-100% of the produced Env protein corresponds to native-like gp140 trimers. Finally, a crystal structure has been determined for the UFO-BG gp140 trimer of a clade-B, tier-3 strain, H078.14, confirming the structural integrity of such chimeric gp140 designs.

[00138] In the second implementation, we hypothesize that a “universal” (or “consensus”) gp41 domain derived from the HIV-1 sequence database containing a redesigned HR1 N-terminal bend and a cleavage-site linker can be used to replace the gp41 domain of diverse HIV-1 strains or subtypes to render “chimeric” gp140 trimers (termed “UFO-U”) with improved yield, purity, and antigenicity. Such universal (or consensus) gp41 can be calculated from the database of all HIV-1 sequence (UFO-UALL), or from the database of HIV-1 sequences belonging to a specific HIV-1 subtype (for example, UFO-UA, -UB, -UC, -UBC, and -UAE). These databases and their use for obtaining “consensus” sequences are well known in the art. See, e.g., Kothe et al., *Virol.* 352:438-449, 2006; and Korber et al., *Brit. Med. Bullet.* 58:19-42, 2001. To demonstrate the effectiveness of this design strategy, we selected 5 representative HIV-1 strains, each from a different clade, to characterize the UFO-UALL trimers. Similar to the UFO-BG trimers, we observed improved SEC profiles and BLI antibody binding profiles for the UFO-UALL trimers compared to the wild-type (WT) gp140 trimers without swapping the gp41 domain. Negative-stain EM analysis of the selected UFO-UALL trimers indicated that 90-100% of the produced Env protein corresponds to native-like gp140 trimers.

Example 16 Displaying UFO gp140 trimers on bacteriophage Q<sub>8</sub> virus-like particle

[00139] Bacteriophage Q<sub>8</sub> is an icosahedral virus with a diameter of 25 nm that can infect *Escherichia coli* (E. coli). The virus-like particle (VLP) derived from Q<sub>8</sub> has been used as a multivalent carrier to display foreign antigens in vaccine development against influenza (Phase I), allergic rhinitis and asthma (Phase II, NCT00890734), malignant melanoma (Phase II, NCT00651703), Alzheimer’s disease (Phase II, NCT01097096), hypertension (NCT00500786), nicotine addiction (Phase II, NCT01280968), and type II

diabetes mellitus (Phase I, NCT00924105). Clinical trials have demonstrated its safety and immunogenicity in humans. The Q<sub>β</sub> VLP is made of 180 identical subunits and can in principle display 60 HIV-1 gp41 trimers. However, structural modeling suggested that there might not be enough space on the VLP surface to accommodate 60 gp140 trimers. To reduce the surface density, we engineered the Q<sub>β</sub> VLP by covalently linking two Q<sub>β</sub> subunits with a short glycine-serine (GS) linker. We further removed an inward-facing glycosylation site that may affect the assembly of Q<sub>β</sub> VLP in mammalian cells. A trimeric foldon of the T4 phagehead fibrin (PDB Identifiers: 4NCV, 4NCW, 4NCU, 1RFO) was then included as a “neck” to connect the UFO gp140 and engineered Q<sub>β</sub> subunit. The resulting construct, when expressed in mammalian cells, assembled into stable VLPs displaying 30 UFO gp140 trimers on each particle.

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[00140] The invention thus has been disclosed broadly and illustrated in reference to representative embodiments described above. It is understood that various modifications can be made to the present invention without departing from the spirit and scope thereof.

[00141] It is further noted that all publications, patents and patent applications cited herein are hereby expressly incorporated by reference in their entirety and for all purposes as if each is individually so denoted. Definitions that are contained in text incorporated by reference are excluded to the extent that they contradict definitions in this disclosure.

WHAT IS CLAIMED IS:

1. A modified HIV-1 envelope gp140 protein, comprising a gp120 polypeptide and a gp41 polypeptide, wherein the N-terminus of heptad 1 region (HR1) of the gp41 polypeptide is replaced with a loop sequence of about 6 to about 14 amino acid residues in length that stabilizes the pre-fusion gp140 structure.
2. The modified HIV-1 gp140 protein of claim 1, wherein the gp41 polypeptide is gp41<sub>ECTO</sub>.
3. The modified HIV-1 gp140 protein of claim 1, which is a trimer.
- 10 4. The modified HIV-1 gp140 protein of claim 1, wherein the gp120 and gp41 polypeptides are from different HIV-1 strains.
5. The modified HIV-1 gp140 protein of claim 1, which is derived from HIV-1 strain BG505.
- 15 6. The modified HIV-1 gp140 protein of claim 1, wherein the loop sequence comprises (GS)<sub>n</sub> (SEQ ID NO:23), wherein n is any integer between 3 and 7, inclusive.
7. The modified HIV-1 gp140 protein of claim 1, wherein the loop sequence comprises (GS)<sub>4</sub> (SEQ ID NO:24).
- 20 8. The modified HIV-1 gp140 protein of claim 1, wherein the loop sequence comprises 10 amino acid residues.
9. The modified HIV-1 gp140 protein of claim 8, wherein the loop sequence comprises any one of SEQ ID NOs:1-5.
10. The modified HIV-1 gp140 protein of claim 1, wherein the loop sequence comprises 8 amino acid residues.
- 25 11. The modified HIV-1 gp140 protein of claim 10, wherein the loop sequence comprises any one of SEQ ID NOs:6-10.

12. The modified HIV-1 gp140 protein of claim 1, further comprising a flexible linker sequence that substitutes for the cleavage site sequence between gp120 and gp41.

13. The modified HIV-1 gp140 protein of claim 12, wherein the linker sequence comprises (G<sub>4</sub>S)<sub>2</sub> (SEQ ID NO:22) or SGS and substitutes for residues 508-511 at the cleavage site.

14. The modified HIV-1 gp140 protein of claim 12, wherein the linker sequence comprises 8 amino acid residues and substitutes for residues 501-518 at the cleavage site, and wherein numbering of the amino acid residues corresponds to that of HIV-1 strain BG505, SOSIP.664 gp140.

15. The modified HIV-1 gp140 protein of claim 14, wherein the linker sequence comprises the sequence shown in any one of SEQ ID NOs:16-20.

16. The modified HIV-1 gp140 protein of claim 1, further comprising (a) an engineered disulfide bond between gp120 and gp41 and/or (b) a stabilizing mutation in gp41.

17. The modified HIV-1 gp140 protein of claim 16, wherein the engineered disulfide bond is between residues A501C and T605C, and the stabilizing mutation is I559P.

18. The modified HIV-1 gp140 protein of claim 1, comprising a gp140 trimer with each monomer comprising a gp120 polypeptide and a gp41<sub>ECTO</sub> polypeptide, wherein the gp41<sub>ECTO</sub> polypeptide is derived from HIV-1 strain BG505, and wherein the N-terminus of heptad 1 region (HR1) (SEQ ID NO:28) in the gp41<sub>ECTO</sub> polypeptide is replaced with a loop sequence shown in SEQ ID NO:6.

19. The modified HIV-1 gp140 protein of claim 18, further comprising (a) a linker sequence (G<sub>4</sub>S)<sub>2</sub> (SEQ ID NO:22) that substitutes for residues 508-511 at the cleavage site, and (b) an engineered disulfide bond between residues A501C and T605C.

20. An HIV-1 vaccine immunogen, comprising a modified trimeric HIV-1 envelope gp140 protein of claim 1.

21. A HIV-1 vaccine composition, comprising an HIV-1 Env-derived trimer immunogen presented on a self-assembling nanoparticle or a virus-like particle (VLP).

22. The HIV-1 vaccine composition of claim 21, wherein the HIV-1 Env-derived trimer immunogen is V1V2, gp120 or gp140.

23. The HIV-1 vaccine composition of claim 21, wherein the HIV-1 Env-derived trimer immunogen is a modified gp140 protein comprising a gp120 polypeptide and a gp41<sub>ECTO</sub> polypeptide, and wherein the N-terminus of heptad 1 region (HR1) of the gp41<sub>ECTO</sub> polypeptide is replaced with a loop sequence of about 6 to about 10 14 amino acid residues that stabilizes the pre-fusion gp140 structure.

24. The HIV-1 vaccine composition of claim 23, wherein the loop sequence comprises (a) (GS)<sub>n</sub> (SEQ ID NO:23), wherein n is any integer between 3 and 7, inclusive or (b) a rationally redesigned sequence via ensemble-based protein design.

25. The HIV-1 vaccine composition of claim 23, wherein the modified gp140 protein is covalently fused to the nanoparticle platform.

26. The HIV-1 vaccine composition of claim 23, wherein the nanoparticle platform comprises a trimeric sequence.

27. The HIV-1 vaccine composition of claim 23, wherein the nanoparticle platform comprises dihydrolipoyl acyltransferase (E2P), ferritin, or 20 lumazine synthase (LS).

28. The HIV-1 vaccine composition of claim 23, wherein the nanoparticle platform has one or more 3-fold axes on the surface with the N-terminus of each monomer subunit being in close proximity to the 3-fold axis, and the spacing of the three N-termini matching the spacing of the C-termini of the modified gp140 protein trimer.

29. The HIV-1 vaccine composition of claim 23, wherein the C-terminus of the modified gp140 protein sequence is fused to the N-terminus of the subunit of the nanoparticle platform sequence.

30. The HIV-1 vaccine composition of claim 23, the nanoparticle platform comprises a self-assembling nanoparticle with a diameter of about 20nm or less that is assembled from 12 or 24 subunits.

31. The HIV-1 vaccine composition of claim 23, further comprising an  
5 adjuvant.

32. The HIV-1 vaccine composition of claim 23, wherein the gp140 trimer is derived from HIV-1 strain BG505, and the loop sequence has a sequence shown in SEQ ID NO:6.

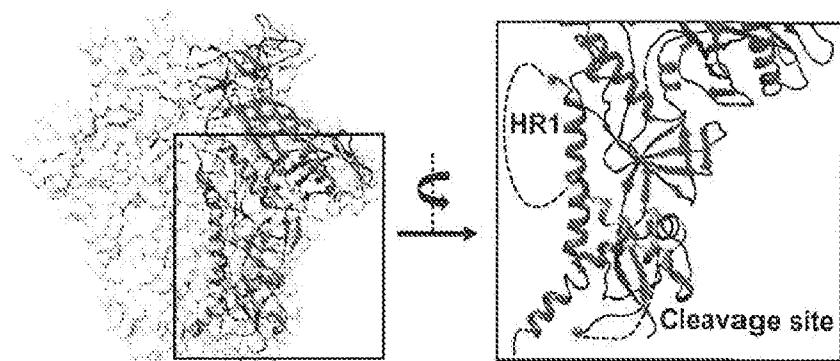
33. The modified HIV-1 gp140 protein of claim 32, further comprising (a)  
10 a linker sequence (G<sub>4</sub>S)<sub>2</sub> (SEQ ID NO:22) that substitutes for residues 508-511 at the cleavage site, and (b) an engineered disulfide bond between residues A501C and T605C.

34. A method of preventing HIV-1 infection in a subject, comprising administering to the subject a therapeutically effective amount of the vaccine immunogen of claim 20, thereby preventing HIV-1 infection in the subject.

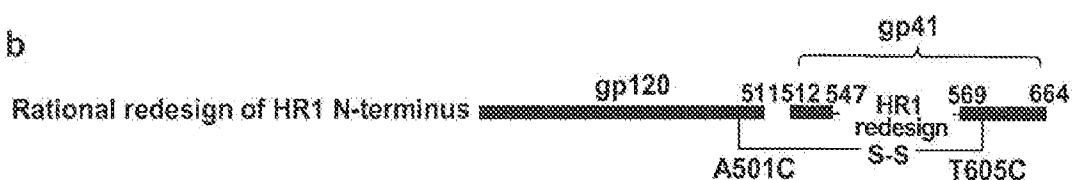
15 35. A method of treating HIV-1 infection or eliciting an immune response against HIV-1 in a subject, comprising administering to the subject a pharmaceutical composition comprising a therapeutically effective amount of the vaccine immunogen of claim 20, thereby treating HIV-1 infection or eliciting an immune response against HIV-1 in the subject.

1/4

a



b



c

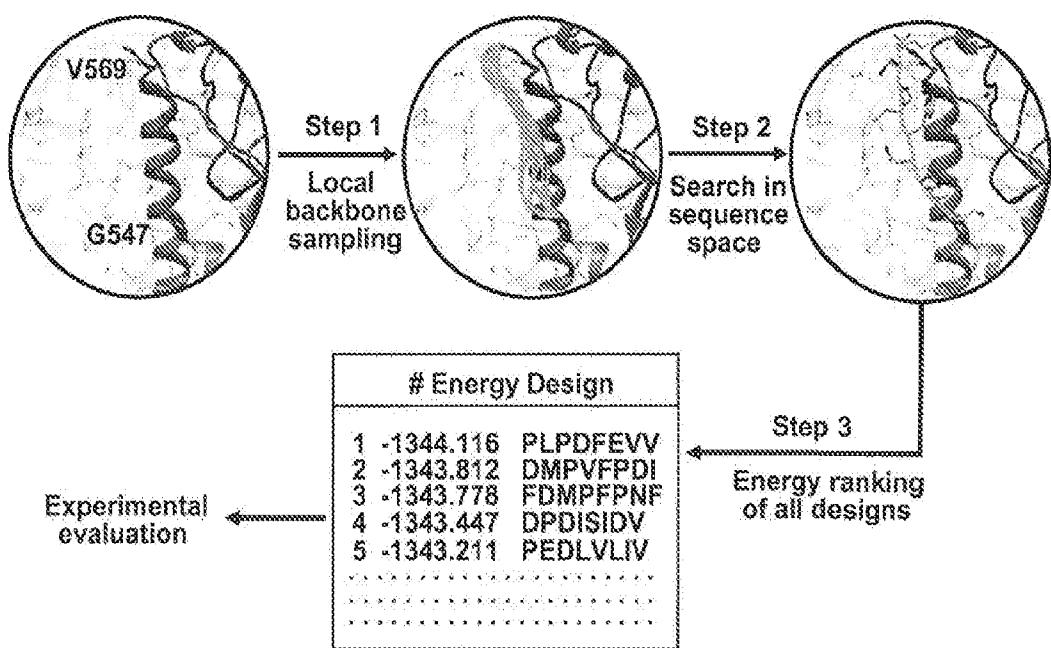


FIG. 1

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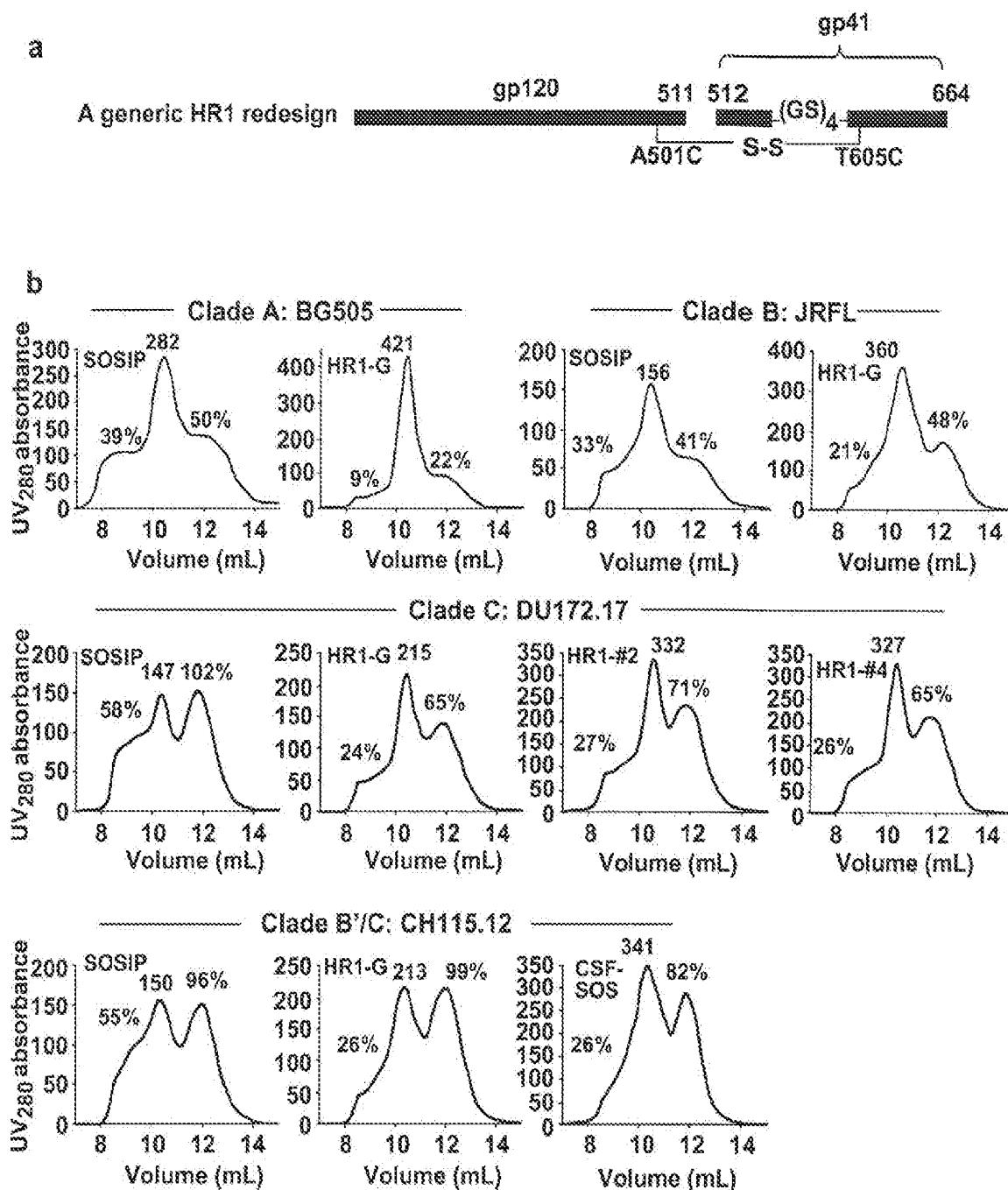


FIG. 2

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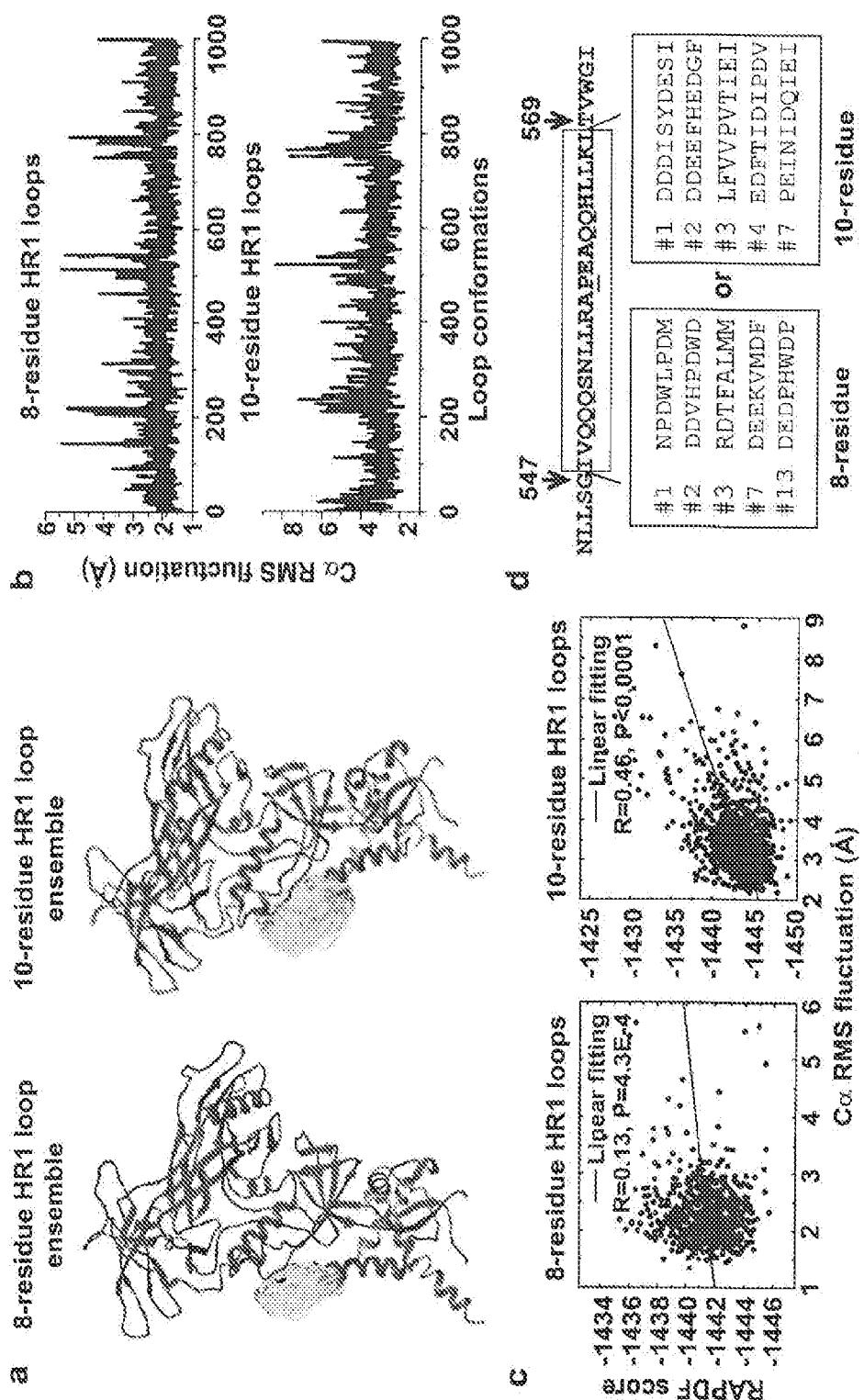


FIG. 3

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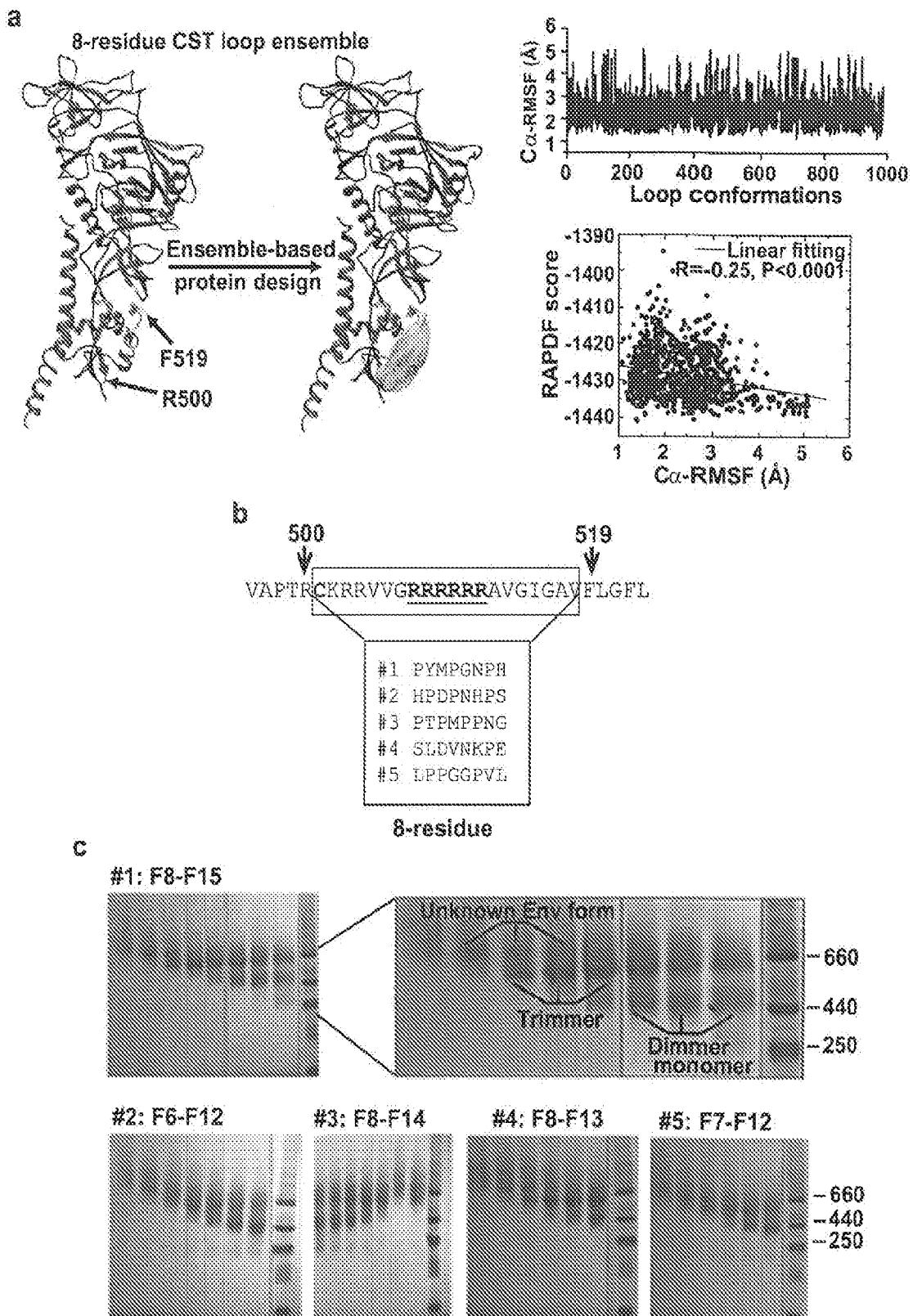


FIG. 4

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 17/30375

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - C07K 14/005, A61K 45/06, C12N 7/00 (2017.01)

CPC - A61K 39/12, C12N 2740/16034, C12N 2740/16023, C12N 2740/16051, A61K 2039/64

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

See Search History Document

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

See Search History Document

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

See Search History Document

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	GEORGIEV et al. Single-Chain Soluble BG505.SOSIP gp140 Trimers as Structural and Antigenic Mimics of Mature Closed HIV-1 Env. J Virol. (May 2015) Vol. 89 No. 10, abstract pg 5319, col 1 para 2, col 2, para 2, pg 5323, col 2, para 1, Fig. 1, Table 2	1, 3, 5-6, 10, 12-13, 16-17, 19 ----- 2, 4, 20, 34, 35
X --- Y	WO 2016/037154 A1 (THE UNITED STATES OF AMERICA, AS REPRESENTED BY THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES) 10 March 2015 (10.03.2015), abstract, claim 4, claim 68, pg 2 In 8-11, pg 3, In 33-35, In 34-36, pg 13 In 24-27, pg 67, In 25-27, pg 69, In 27-29, In 33-35, pg 70, In 1-3, pg 71, In 21-25, In 28-33	21-31 ----- 4, 20, 34, 35
Y	US 2014/0212458 A1 (INTERNATIONAL AIDS VACCINE INITIATIVE et al.) 31 July 2014 (31.07.2014) para [0069], [0231]	2

 Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
10 September 2017	04 OCT 2017
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-8300	Authorized officer: Lee W. Young PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US 17/30375

**Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

----- See extra sheet -----

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-6, 10, 12-13, 16 17, 19-31, 34-35, limited to SEQ ID NOS: 22, 24

**Remark on Protest**

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US 11/30375

Continuation of Box No. III, Observations where unity of invention is lacking:

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

**Group I+:** Claims 1-35, drawn to a trimeric modified HIV-1 envelope gp140 protein composition, comprising a gp120 polypeptide and a gp41 polypeptide, wherein the N-terminus of heptad 1 region (HRI) of the gp41 polypeptide is replaced with a loop sequence of about 6 to about 14 amino acid residues in length; and the cleavage site between gp120 and gp41 is replaced with a linker sequence; a vaccine composition and a method of use, thereof. The composition will be searched to the extent that gp41 loop encompasses (GS)4 (SEQ ID NO:24); and the linker sequence encompass (G4S)2 (SEQ ID NO: 22) . It is believed that claims 1-6, 10, 12-13, 16-17, 19-35, encompass this first named invention, and thus these claims will be searched without fee to the extent that they encompass SEQ ID NOS: 22, 24. Additional trimeric modified HIV-1 envelope gp140 proteins will be searched upon the payment of additional fees. Applicants must specify the claims that encompass any additionally elected trimeric modified HIV-1 envelope gp140 proteins. Applicants must further indicate, if applicable, the claims which encompass the first named invention, if different than what was indicated above for this group. Failure to clearly identify how any paid additional invention fees are to be applied to the "+" group(s) will result in only the first claimed invention to be searched. An exemplary election would be trimeric modified HIV-1 envelope gp140 encompassing the loop of SEQ ID NO: 1 and the linker of SEQ ID NO: 16 (claims 1-5, 8-9, 12, 14-17, 19-23, 25-31, 34-35).

[Note: Claims 32 and 33 are excluded from the first invention, because they are drawn to a non-elected subject matter, a loop with a sequence shown in SEQ ID NO: 6.]

The inventions listed as Group I+ do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

**Special Technical Features**

The technical feature of each of the inventions listed as Group I+ is specific loop sequences and linker sequences of the modified trimeric HIV-1 envelope gp140 protein, recited therein. Each invention of Group I+ requires a specific loop sequence and a specific linker sequence, not required by any of the other inventions.

**Common Technical Features** The feature shared by Group I+ is a trimeric modified HIV-1 envelope gp140 protein composition, comprising a gp120 polypeptide and a gp41 polypeptide, wherein the N-terminus of heptad 1 region (HRI) of the gp41 polypeptide is replaced with a loop sequence of about 6 to about 14 amino acid residues in length that stabilizes the pre-fusion gp 140 structure, the gp41 polypeptide is gp41sub.ecto., gp120 and gp41 are from different HIV strains, the gp140 protein is derived from HIV-1 strain BG505, a linker that replaces the cleavage site between gp120 and gp41, and an engineered disulfide bond between residues A501 C and T605C of gp120 and gp41.

These shared technical features do not represent a contribution over prior art, because the shared technical features are anticipated by the article entitled "Single-Chain Soluble BG505.SOSIP gp140 Trimers as Structural and Antigenic Mimics of Mature Closed HIV-1 Env" by Georgiev et al. (hereinafter 'Georgiev'). Georgiev teaches a trimeric modified HIV-1 envelope gp140 protein composition, comprising a gp120 polypeptide and a gp41 polypeptide, comprising a gp120 polypeptide and a gp41 polypeptide (abstract "the HIV-1 envelope glycoprotein (Env) requires proteolytic activation; specifically, cleavage of a gp160 precursor into gp120 and gp41 subunits ... we report the redesign of a current state-of-the-art soluble Env mimic, BG505.SOSIP, to make it cleavage independent.... The trimeric HIV-1 envelope glycoprotein (Env) is the sole target of virus-directed neutralizing antibody responses and a primary focus of vaccine design" ), wherein the N-terminus of heptad 1 region (HRI) of the gp41 polypeptide is replaced with a loop sequence of about 6 to about 14 amino acid residues in length that stabilizes the pre-fusion gp 140 structure (pg 5319, col 2, para 2 "The BG505.SOSIP sc-gp140 construct ... was modeled using the BG505.SOSIP crystal structure ... Potential loop conformations for the segment encompassing residues 502 to 521 were generated using the default parameters ... with the rest of the trimer structure remaining in a fixed conformation", abstract "we replaced the furin cleavage site between gp120 and gp41 with Gly-Ser linkers of various lengths"), gp120 and gp41 are from different HIV strains (pg 5326 col 2 para 1 - pg5327, col 1, para 1" The eliminated requirement for furin cleavage may make such single-chain gp140 constructs especially useful for multimerization ... as well as potentially for developing immunogens from diverse viral strains", the gp140 protein is derived from HIV-1 strain BG505"), gp140 protein is derived from HIV-1 strain BG505 (pg 5319, col 2, para 2 "The BG505.SOSIP sc-gp140 construct ... was modeled using the BG505.SOSIP crystal structure"), a linker that replaces the cleavage site between gp120 and gp41 (pg 5322, col 1 para 2 "Screening of linker insertions to replace the gp120-gp41 cleavage site.We next elected to keep the residues surrounding the gp120-gp41 cleavage site but to replace only the site itself with flexible linkers of various lengths (Fig. 1 and Table 2)."), and an engineered disulfide bond between residues A501 C and T605C of gp120 and gp41 (pg 5319, col 1, para 2"Specifically, BG505.SOSIP is truncated at residue 664 in gp41 and includes the trimer-stabilizing gp120-gp41 disulfide bridge between residues 501 and 605 (termed SOS)").

The feature shared by Group I+ further encompasses a HIV vaccine presented on a self-assembling nanoparticles or a virus-like particle. These shared technical features do not represent a contribution over prior art, because the shared technical features are anticipated by US 2015/0313990 A1 to THE USA, AS REPRESENTED BY THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES (hereinafter 'HHS') (para [0170] Vaccine: Composition that when administered to a subject, induces a decrease of the severity of the symptoms of a disorder or disease. In one specific, non-limiting embodiment, a vaccine decreases the severity of the symptoms associated with HIV infection and/or decreases the viral load.", para [0225] "In some examples ... HIV-1 envelope proteins or immunogenic fragments thereof is linked to the N- or C-terminus, or placed within an internal loop of a ferritin ... protein, for example with a linker, such as a Ser-Gly linker ...the fusion proteins are secreted from the cells and self-assembled into nanoparticles.", para [0231] In some embodiments, a virus-like particle (VLP) is provided that includes HIV-1 neutralizing peptides or sulfated HIV-1 envelope proteins or immunogenic fragments thereof.).

----- see next extra sheet -----

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US 17/30375

Continuation of Box No. III, Observations where unity of invention is lacking:

The feature shared by Group I+ further includes a method of preventing HIV-1 infection in a subject. These shared technical features do not represent a contribution over prior art, because the shared technical features are anticipated by HHS (para [0260] "The HIV-1 ... envelope proteins ... protein nanoparticles, polynucleotides encoding the HIV-1 ... HIV-1 envelope proteins and fragments thereof, vectors and compositions, can be used in methods of preventing, inhibiting and treating an HIV-1 infection, as well as methods of inducing an immune response to HIV-1").

As the technical feature was known in the art at the time of the invention, this cannot be considered a special technical feature that would otherwise unify the inventions.

Group I+ therefore lacks unity of invention under PCT Rule 13 because they do not share a same or corresponding special technical feature.