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(54) Title: RAMAN CLUSTER TAGGED MOLECULES FOR BIOLOGICAL IMAGING

(57) Abstract: This invention provides nucleoside polyphosphate analogs each of which comprises a tag comprising a plurality of Raman-scattering moieties; compounds comprising said nucleoside polyphosphate analogs; and methods for determining the sequence of a single-stranded DNA or RNA using said nucleoside polyphosphate analogs. This invention also provides methods for detecting the interaction of a plurality of predetermined compounds, at least one of which having attached thereto a tag comprising a plurality of Raman-scattering moieties.



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RAMAN CLUSTER TAGGED MOLECULES FOR BIOLOGICAL IMAGING

5 Throughout this application, certain publications are referenced,
the latter by authors and publication year. Full citations for
these publications may be found immediately preceding the claims.
The disclosures of these publications in their entireties are hereby
10 incorporated by reference into this application in order to describe
more fully the state of the art to which this invention relates.

Background of the Invention

High throughput DNA sequencing is essential to a broad array of
genomic studies, such as whole genome and metagenome sequencing,
15 expression profiling of mRNAs and miRNAs, discovery of alternatively
spliced and polyadenylated transcripts, histone and chromatin
changes involved in epigenetic events, and identification of binding
sites for transcription factors and RNA binding proteins.
Sequencing of individual human genomes is especially appealing, with
20 its potentially unlimited but as yet unachieved promise for
personalized medicine.

Given the ever-growing importance of high throughput DNA sequencing
for biological and anthropological research, agriculture and
25 medicine, there is a need for sequencing technologies that are low-
cost and rapid on the one hand, and have high sensitivity and
accuracy on the other. Sequencing by Synthesis (SBS) has driven much
of the "next generation" sequencing technology, allowing the field
to approach the \$100,000 Genome [Fuller et al. 2009, Hawkins et al.
30 2010, Morozova et al. 2009, and Park 2009]. With further
improvements in nucleotide incorporation detection methods, SBS
could be an engine that drives third-generation platforms leading to
the reality of the "\$1,000 Genome". At the same time, since non-
fluorescent detection approaches are likely to decrease the cost of
35 obtaining data by avoiding expensive cameras and imaging tools, SBS
also offers the possibility of high sensitivity, leading to both
longer reads and permitting single molecule sequencing, thereby

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removing one of the most time-consuming and biased steps - the generation and amplification of DNA templates.

Current commercial next-generation sequencing platforms have
5 certainly made substantial inroads in this direction, with the
current cost of sequencing a human genome at high draft coverage
significantly below \$10,000 [Fuller et al. 2009, Hawkins et al.
2010, Morozova et al. 2009, and Metzker 2010]. Expression studies
(e.g. using RNA-Seq) and epigenetic studies (e.g. using Methyl-Seq,
10 ChIP-Seq), among many others, have also benefited greatly from these
platforms [Ozsolak et al. 2011, Varley et al. 2010, and Park 2009].
Nonetheless, these costs are still prohibitive for most laboratories
and for clinical applications.

15 All of the current approaches have one or more additional
limitations: biased coverage of GC-rich or AT-rich portions of
genomes; inability to accurately sequence through homopolymer
stretches; inability to directly sequence RNA; high reagent costs;
difficulty in sequencing beyond 200 or so nucleotides resulting in
20 difficulty in *de novo* assembly of previously unsequenced genomes;
insufficient throughput due to ceiling on number of possible reads
per run.

To overcome these obstacles, a number of third-generation sequencing
25 platforms have appeared on the market, or are in development. All
of these have issues with accuracy and most have limited throughput.
For example, attempts to sequence DNA using Raman detection have
been reported [Kneipp et al. 1998] but thus far have been
unsuccessful.

30 In addition to high throughput DNA sequencing, detection of protein-
protein interactions are essential for study of cell biology.
Examples of protein-protein interactions include generation of
protein assemblies for enzymatic reactions in metabolic pathways
35 (e.g., fatty acid synthesis), ribosomes (protein synthesis),
ubiquitin association with proteins destined to be degraded, for
transport of ions (multi-subunit membrane channels and pumps), for

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enhancing or inhibiting transcription of genes (cooperating transcription factors), formation of cellular junctions and cell-cell interactions, and countless other examples. Mutations in these proteins affecting their assembly or interactions are crucial for a
5 number of diseases, and particularly relevant to the development of tumors.

Numerous assays have been developed for detection of specific protein-protein interactions. Biochemical approaches include gel
10 shift assays, cross-linking assays, immunoprecipitation, immunoblotting, etc. The yeast two-hybrid and three-hybrid systems are genetic approaches that have been developed to identify target proteins that can bind to a bait protein molecule. Several of these methods characterize the partners and the complexes by gel
15 electrophoresis with at least one of the partners radiolabeled. Other assays including surface binding assays, for example protein arrays, may use fluorescent tags. Finally, it is possible to reveal the interacting proteins by mass spectrometry.

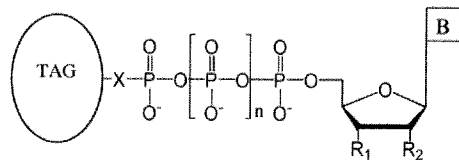
20 Recently, use of Raman spectroscopy for molecular detection has been considered. When combined with modified surfaces decorated with colloidal gold or other metals, that coat structures such as pillars or antennae, taking advantage of surface plasmonics, one can obtain extraordinarily enhanced Raman signals (as much as 15 orders of
25 magnitude). However, despite its impressive potential for signal enhancement, a major problem with surface-enhanced Raman spectroscopy (SERS) is consistency, which is related in part to the disposition of the Raman scattering group relative to the gold particles or nanocrystals. Thus it is clear that transformative
30 methods are needed.

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Summary of the Invention

This invention provides a nucleoside polyphosphate analog having the structure:

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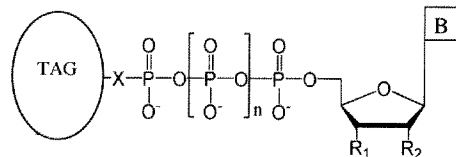
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wherein B is a base and is adenine, guanine, cytosine, uracil, thymine, or a derivative thereof, wherein R₁ is OH, wherein R₂ is OH or H, wherein n is 1, 2, 3, or 4, wherein X is a linker, and wherein tag comprises a plurality of Raman-scattering moieties.

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This invention also provides a composition comprising four deoxyribonucleoside polyphosphate (dNPP) analogs, each dNPP analog having the structure:

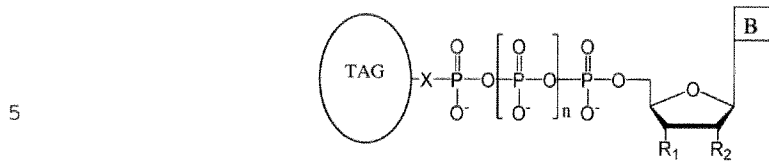
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25 wherein B is a base and is adenine, guanine, cytosine, thymine, or a derivative thereof, wherein R₁ is OH, wherein R₂ is OH or H, wherein n is 1, 2, 3, or 4, wherein X is a linker, wherein tag comprises a plurality of Raman-scattering moieties, wherein (i) the Raman spectroscopy peak of the tag on each dNPP analog is distinguishable
30 from the Raman spectroscopy peak of the tag on each of the remaining three dNPP analogs, and (ii) each dNPP analog comprises a base which is different from the base of each of the remaining three dNPP analogs.

35 This invention also provides a composition comprising four ribonucleoside polyphosphate (rNPP) analogs, each rNPP analog having the structure:

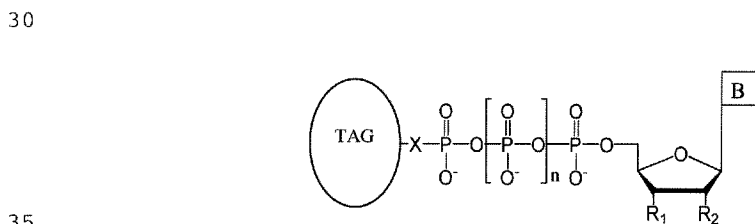
-5-



wherein B is a base and is adenine, guanine, cytosine, uracil, or a derivative thereof, wherein R₁ is OH, wherein R₂ is OH, wherein n is 1, 2, 3, or 4, wherein X is a linker, wherein tag comprises a plurality of Raman-scattering moieties, wherein (i) the Raman spectroscopy peak of the tag on each rNPP analog is distinguishable from the Raman spectroscopy peak of the tag on each of the remaining three rNPP analogs, and (ii) each rNPP analog comprises a base which is different from the base of each of the remaining three rNPP analogs.

This invention also provides a method for determining the sequence of a single-stranded DNA comprising:

- 20 (a) contacting the single-stranded DNA having a primer hybridized to a portion thereof with a DNA polymerase and four deoxyribonucleoside polyphosphate (dNPP) analogs under conditions permitting the DNA polymerase to catalyze incorporation onto the primer of a dNPP analog complementary to a nucleotide residue of the single-stranded DNA which is immediately 5' to a nucleotide residue of the single-stranded DNA hybridized to the 3' terminal nucleotide residue of the primer, so as to form a DNA extension product, wherein each dNPP analog has the structure:



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wherein B is a base and is adenine, guanine, cytosine, thymine, or a derivative thereof, wherein R₁ is OH, wherein R₂ is OH or H, wherein n is 1, 2, 3, or 4, wherein X is a linker, wherein tag comprises a plurality of Raman-scattering moieties,

5

wherein (i) the Raman spectroscopy peak of the tag on each dNPP analog is distinguishable from the Raman spectroscopy peak of the tag on each of the remaining three dNPP analogs, and (ii) each dNPP analog comprises a base which is different from the base of each of the remaining three dNPP analogs, and wherein the incorporation of the dNPP analog releases a tagged polyphosphate;

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- (b) determining the wavenumber of the Raman spectroscopy peak of the tagged polyphosphate released in step (a), so as to thereby determining the identity of the incorporated dNPP analog and the identity of the complementary nucleotide residue in the single-stranded DNA; and
- (c) iteratively performing steps (a) and (b) for each nucleotide residue of the single-stranded DNA to be sequenced so as to thereby determining the sequence of the single-stranded DNA.

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This invention further provides a method for determining the sequence of a single-stranded RNA comprising:

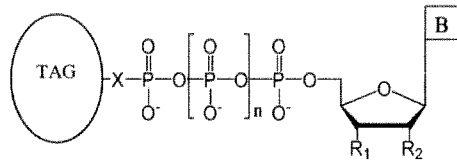
- (a) contacting the single-stranded RNA having a primer hybridized to a portion thereof with an RNA polymerase and four ribonucleoside polyphosphate (rNPP) analogs under conditions permitting the RNA polymerase to catalyze incorporation onto the primer of an rNPP analog complementary to a nucleotide residue of the single-stranded RNA which is immediately 5' to a nucleotide residue of the single-stranded RNA hybridized to the 3' terminal nucleotide residue of the primer, so as to form an RNA extension product, wherein each rNPP analog has the structure:

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wherein B is a base and is adenine, guanine, cytosine, uracil, or a derivative thereof, wherein R₁ is OH, wherein R₂ is OH, wherein n is 1, 2, 3, or 4, wherein X is a linker, wherein tag comprises a plurality of Raman-scattering moieties,

10

wherein (i) the Raman spectroscopy peak of the tag on each rNPP analog is distinguishable from the Raman spectroscopy peak of the tag on each of the remaining three rNPP analogs, and (ii) each rNPP analog comprises a base which is different from the base of each of the remaining three rNPP analogs, and

15

wherein the incorporation of the rNPP analog releases a tagged polyphosphate;

- (b) determining the wavenumber of the Raman spectroscopy peak of the tagged polyphosphate released in step (a), so as to thereby determining the identity of the incorporated rNPP analog and the identity of the complementary nucleotide residue in the single-stranded RNA; and
- (c) iteratively performing steps (a) and (b) for each nucleotide residue of the single-stranded RNA to be sequenced so as to thereby determining the sequence of the single-stranded RNA.

25

This invention even further provides a method for detecting interaction of a plurality of predetermined compounds comprising:

- (a) contacting at least a first predetermined compound immobilized on a solid surface with a solution containing at least a second predetermined compound, wherein the second predetermined compound having attached thereto a tag comprises a plurality of Raman-scattering moieties, under conditions permitting binding of said at least first and second predetermined compounds such that only said second predetermined compound bound to said immobilized first

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predetermined compound is within the detection range of a detector; and

- (b) performing Raman spectroscopy, wherein detection of a Raman spectroscopy peak indicating presence of the tag and binding of said at least first and second predetermined compounds, so as to thereby detecting the interaction of the plurality of predetermined compounds.

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BRIEF DESCRIPTION OF THE FIGURES

Figure 1. Scheme for continuous nucleotide incorporation and testing by Raman microscopy.

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Figure 2. Scheme of a SERS-SBS platform. A single polymerase is immobilized on a surface-enhanced Raman spectroscopy (SERS) hot spot. Only the perfect matched labeled nucleotide complexes with polymerase and the released tag yields a SERS signal.

10

Figure 3. Coumarin-PEG-dG4P compounds. General structure of the coumarin-PEG-dG4P compounds is shown at top. MALDI-TOF mass spectra are shown for the DNA extension products with coumarin-(PEG)₂₄-dG4P (left) or coumarin-(PEG)₃₆-dG4P nucleotides (right). Both are incorporated at ~100% efficiency, and yield identical DNA extension products after release of the P3-(PEG)_n-coumarin tags. The same results are obtained for coumarin-(PEG)₁₆-dG4P and coumarin-(PEG)₂₀-dG4P.

15

Figure 4. Scheme for continuous DNA Sequencing By Synthesis (middle) using reversible terminators, 3'-O-azidomethyl-dNTPs, with the expected Raman (left) and MALDI-TOF MS spectra (right) obtained at each step. Only the 1950-2300 cm⁻¹ Raman interval is shown, the azide peak appearing at Raman shift ~2125 cm⁻¹. Pol = DNA polymerase.

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Figure 5. Surface-enhanced Raman spectroscopy for azido group in compound 2-azido-3-(benzyloxy)propanoic acid. Typical experimental Raman spectrum of 1μM solution on Klarite substrate (left) and corresponding reference spectrum of 100mM solution dried on aluminum coated slide (right). An enhancement factor of ~10⁶ was obtained.

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Figure 6. Synthesis of phosphate-labeled-nucleoside-5'-tetrphosphates with 3 Raman-scattering moieties.

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Figure 7. Synthesis of phosphate-labeled-nucleoside-5'-tetrphosphates with 9 Raman-scattering moieties.

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Figure 8. Various crosslinking strategies to conjugate a 9-Raman-scattering moieties tag with proteins, such as antibodies or peptides. A small number of protein functional groups (Y) comprise selectable targets for practical conjugation methods. A number of chemical reactive groups (X) have been characterized and used to target the protein functional groups for crosslinking.

Figure 9. Scheme for synthesis of arbitrary proteins conjugated with a dendrimer tag comprising 3 Raman-scattering moieties.

Figure 10. Scheme for synthesis of arbitrary proteins conjugated with a dendrimer tag comprising 9 Raman-scattering moieties.

Figure 11. Scheme of antigen-antibody SERS detection. **(A)** Antibodies are immobilized on the gold-coated SERS nanostructures using conventional attachment chemistries and the antigen is introduced, which is labeled with a Raman cluster tag. **(B)** The antibody uniquely recognizes the antigen, allowing the two structures to bind together with precision. The bound antigen is positioned such that the Raman cluster tag is located close to the SERS hotspots upon laser irradiation. Large SERS enhancement is observed due to the mutual interplay between the localized surface plasmonic field and the strong vibration modes of the Raman cluster tag.

Figure 12. Scheme of aptamer-peptide SERS detection. **(A)** Aptamers are immobilized on the gold-coated SERS nanostructures via thiol-gold bonding and peptides labeled with Raman cluster tags are introduced. **(B)** Peptides bind to aptamer counterparts with high affinity and specificity. When peptides trapped by the aptamer are close enough to a SERS hotspot, a strong Raman signal emanates from at least one of the cluster tags upon laser irradiation.

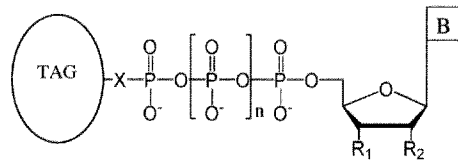
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Detailed Description of the Invention

This invention provides a nucleoside polyphosphate analog having the structure:

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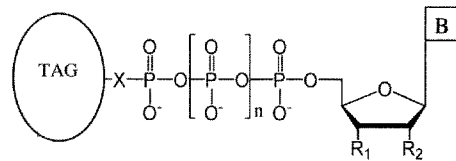
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wherein B is a base and is adenine, guanine, cytosine, uracil, thymine, or a derivative thereof, wherein R₁ is OH, wherein R₂ is OH or H, wherein n is 1, 2, 3, or 4, wherein X is a linker, and wherein tag comprises a plurality of Raman-scattering moieties.

15

This invention also provides a composition comprising four deoxyribonucleoside polyphosphate (dNPP) analogs, each dNPP analog having the structure:

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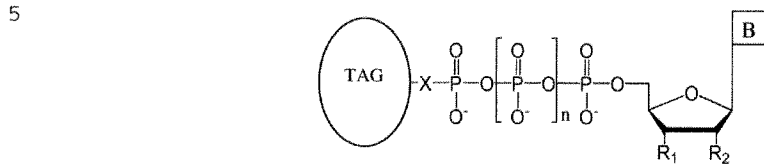


25 wherein B is a base and is adenine, guanine, cytosine, thymine, or a derivative thereof, wherein R₁ is OH, wherein R₂ is OH or H, wherein n is 1, 2, 3, or 4, wherein X is a linker, wherein tag comprises a plurality of Raman-scattering moieties, wherein (i) the Raman spectroscopy peak of the tag on each dNPP analog is distinguishable from the Raman spectroscopy peak of the tag on each of the remaining three dNPP analogs, and (ii) each dNPP analog comprises a base which is different from the base of each of the remaining three dNPP analogs.

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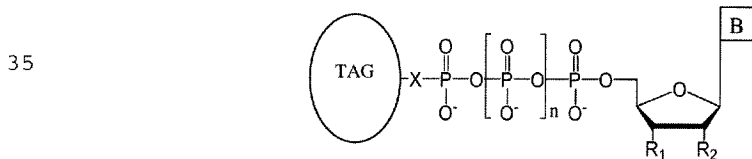
This invention also provides a composition comprising four ribonucleoside polyphosphate (rNPP) analogs, each rNPP analog having the structure:



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 wherein B is a base and is adenine, guanine, cytosine, uracil, or a derivative thereof, wherein R₁ is OH, wherein R₂ is OH, wherein n is 1, 2, 3, or 4, wherein X is a linker, wherein tag comprises a plurality of Raman-scattering moieties, wherein (i) the Raman spectroscopy peak of the tag on each rNPP analog is distinguishable from the Raman spectroscopy peak of the tag on each of the remaining three rNPP analogs, and (ii) each rNPP analog comprises a base which is different from the base of each of the remaining three rNPP analogs.

20
 This invention also provides a method for determining the sequence of a single-stranded DNA comprising:

(a) contacting the single-stranded DNA having a primer hybridized to a portion thereof with a DNA polymerase and four deoxyribonucleoside polyphosphate (dNPP) analogs under conditions permitting the DNA polymerase to catalyze incorporation onto the primer of a dNPP analog complementary to a nucleotide residue of the single-stranded DNA which is immediately 5' to a nucleotide residue of the single-stranded DNA hybridized to the 3' terminal nucleotide residue of the primer, so as to form a DNA extension product, wherein each dNPP analog has the structure:



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wherein B is a base and is adenine, guanine, cytosine, thymine, or a derivative thereof, wherein R_1 is OH, wherein R_2 is OH or H, wherein n is 1, 2, 3, or 4, wherein X is a linker, wherein tag comprises a plurality of Raman-scattering moieties,

5

wherein (i) the Raman spectroscopy peak of the tag on each dNPP analog is distinguishable from the Raman spectroscopy peak of the tag on each of the remaining three dNPP analogs, and (ii) each dNPP analog comprises a base which is different from the base of each of the remaining three dNPP analogs, and wherein the incorporation of the dNPP analog releases a tagged polyphosphate;

10

- (b) determining the wavenumber of the Raman spectroscopy peak of the tagged polyphosphate released in step (a), so as to thereby determining the identity of the incorporated dNPP analog and the identity of the complementary nucleotide residue in the single-stranded DNA; and
- (c) iteratively performing steps (a) and (b) for each nucleotide residue of the single-stranded DNA to be sequenced so as to thereby determining the sequence of the single-stranded DNA.

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This invention further provides a method for determining the sequence of a single-stranded RNA comprising:

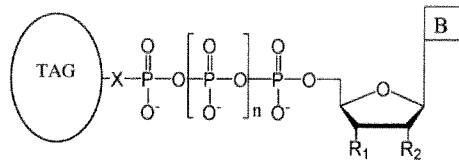
- (a) contacting the single-stranded RNA having a primer hybridized to a portion thereof with an RNA polymerase and four ribonucleoside polyphosphate (rNPP) analogs under conditions permitting the RNA polymerase to catalyze incorporation onto the primer of an rNPP analog complementary to a nucleotide residue of the single-stranded RNA which is immediately 5' to a nucleotide residue of the single-stranded RNA hybridized to the 3' terminal nucleotide residue of the primer, so as to form an RNA extension product, wherein each rNPP analog has the structure:

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wherein B is a base and is adenine, guanine, cytosine, uracil, or a derivative thereof, wherein R₁ is OH, wherein R₂ is OH, wherein n is 1, 2, 3, or 4, wherein X is a linker, wherein tag comprises a plurality of Raman-scattering moieties,

10

wherein (i) the Raman spectroscopy peak of the tag on each rNPP analog is distinguishable from the Raman spectroscopy peak of the tag on each of the remaining three rNPP analogs, and (ii) each rNPP analog comprises a base which is different from the base of each of the remaining three rNPP analogs, and

15

wherein the incorporation of the rNPP analog releases a tagged polyphosphate;

- (b) determining the wavenumber of the Raman spectroscopy peak of the tagged polyphosphate released in step (a), so as to thereby determining the identity of the incorporated rNPP analog and the identity of the complementary nucleotide residue in the single-stranded RNA; and
- (c) iteratively performing steps (a) and (b) for each nucleotide residue of the single-stranded RNA to be sequenced so as to thereby determining the sequence of the single-stranded RNA.

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In an embodiment of the invention, the Raman spectroscopy peak is determined using surface-enhanced Raman spectroscopy (SERS).

In an embodiment, the polymerase is immobilized on a solid surface.

30

In a preferred embodiment, the polymerase is immobilized on a SERS substrate.

In an embodiment, the polymerase is immobilized such that only the tagged polyphosphate released in step (a) is within the detection

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range of the SERS detector. In another embodiment, the polymerase

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is immobilized such that the tagged polyphosphate released in step (a) is within a cavity on the SERS substrate.

- This invention even further provides a method for detecting interaction of a plurality of predetermined compounds comprising:
- (a) contacting at least a first predetermined compound immobilized on a solid surface with a solution containing at least a second predetermined compound, wherein the second predetermined compound having attached thereto a tag comprises a plurality of Raman-scattering moieties, under conditions permitting binding of said at least first and second predetermined compounds such that only said second predetermined compound bound to said immobilized first predetermined compound is within the detection range of a detector; and
 - (b) performing Raman spectroscopy, wherein detection of a Raman spectroscopy peak indicating presence of the tag and binding of said at least first and second predetermined compounds, so as to thereby detecting the interaction of the plurality of predetermined compounds.

In an embodiment of the invention, the Raman spectroscopy peak is determined using surface-enhanced Raman spectroscopy (SERS).

In an embodiment, the solid surface is a SERS substrate.

In an embodiment, the predetermined compound is immobilized via covalent or ionic bonds.

In certain embodiments, at least one of the predetermined compounds is a protein. In a preferred embodiment, the protein is an antibody or an antigen.

In certain embodiments, at least one of the predetermined compounds is non-proteinaceous. In an embodiment, the non-proteinaceous compound is a single stranded or double-stranded DNA molecule, an

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RNA molecule, including microRNA (miRNA) or messenger (RNA), or an oligonucleotide.

In an embodiment of the invention, at least one of the predetermined compounds is an aptamer.

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In an embodiment of the invention, the tag attaches to the predetermined compound via a cleavable linker. In a preferred embodiment, the cleavable linker is a photocleavable linker or a chemically cleavable linker.

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In an embodiment, a plurality of identical predetermined compounds is immobilized on the solid surface. In another embodiment, a plurality of different predetermined compounds is immobilized on the solid surface.

15

In an embodiment of the invention, the solution comprises a plurality of different predetermined compounds, wherein the tag attached to each predetermined compound is distinguishable from any tag attached to any other predetermined compound present in the solution.

20

In an embodiment of the invention disclosed herein, each of the plurality of Raman-scattering moieties has a Raman spectroscopy peak with wave number from 2000 cm^{-1} to 2300 cm^{-1} .

25

In certain embodiments, each of the plurality of Raman-scattering moieties is selected from the group consisting of $-\text{N}=\text{N}=\text{N}$, $-\text{C}\equiv\text{N}$, $-\text{C}\equiv\text{CH}$, and $-\text{C}\equiv\text{C}-\text{CH}_3$.

30

In certain embodiments of the invention, the tag comprising a plurality of identical Raman-scattering moieties. In other embodiments, the tag comprising a plurality of different Raman-scattering moieties.

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In certain specific embodiments, the tag comprises 3, 9, or 27 Raman-scattering moieties.

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In an embodiment, the plurality of Raman-scattering moieties forms a linear tag. In another embodiment, the plurality of Raman-scattering moieties forms a non-linear tag. In a preferred embodiment, the non-linear tag is a dendrimer tag.

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In an embodiment, the tag has a Raman spectroscopy peak with wavenumber from 2125 cm^{-1} to 2260 cm^{-1} .

10 In an embodiment of the invention, the linker is selected from the group consisting of O, NH, S, CH_2 , amino acids, peptides, proteins, carbohydrates, polyethylene glycols of different length and molecular weights, aliphatic acids, aromatic acids, alcohols or thiol groups (substituted or unsubstituted), cyano groups, nitro groups, alkyl groups, alkenyl groups, alkynyl groups, and azido
15 groups.

For the foregoing embodiments, each embodiment disclosed herein is contemplated as being applicable to each of the other disclosed embodiments. In addition, the elements recited in the compound
20 embodiments can be used in the composition and method embodiments described herein and vice versa.

As used herein, and unless stated otherwise, each of the following terms shall have the definition set forth below.

25	A	-	Adenine;
	C	-	Cytosine;
	G	-	Guanine;
	T	-	Thymine;
	U	-	Uracil;
30	DNA	-	Deoxyribonucleic acid;
	RNA	-	Ribonucleic acid;

"Nucleic acid" shall mean, unless otherwise specified, any nucleic acid molecule, including, without limitation, DNA, RNA and hybrids
35 thereof. In an embodiment the nucleic acid bases that form nucleic acid molecules can be the bases A, C, G, T and U, as well as

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derivatives thereof. Derivatives of these bases are well known in the art, and are exemplified in PCR Systems, Reagents and Consumables (Perkin Elmer Catalogue 1996-1997, Roche Molecular Systems, Inc., Branchburg, New Jersey, USA).

5

"Solid substrate" shall mean any suitable medium present in the solid phase to which a nucleic acid or an agent may be affixed. Non-limiting examples include chips, beads, nanopore structures and columns. In an embodiment the solid substrate can be present in a solution, including an aqueous solution, a gel, or a fluid.

"Hybridize" shall mean the annealing of one single-stranded nucleic acid to another nucleic acid based on the well-understood principle of sequence complementarity. In an embodiment the other nucleic acid is a single-stranded nucleic acid. The propensity for hybridization between nucleic acids depends on the temperature and ionic strength of their milieu, the length of the nucleic acids and the degree of complementarity. The effect of these parameters on hybridization is well known in the art (see Sambrook J, Fritsch EF, Maniatis T. 1989. Molecular cloning: a laboratory manual. Cold Spring Harbor Laboratory Press, New York.). As used herein, hybridization of a primer sequence, or of a DNA extension product, to another nucleic acid shall mean annealing sufficient such that the primer, or DNA extension product, respectively, is extendable by creation of a phosphodiester bond with an available nucleotide or nucleotide analog capable of forming a phosphodiester bond.

"Antibody" shall include, without limitation, (a) an immunoglobulin molecule comprising two heavy chains and two light chains and which recognizes an antigen; (b) a polyclonal or monoclonal immunoglobulin molecule; and (c) a monovalent or divalent fragment thereof. Immunoglobulin molecules may derive from any of the commonly known classes, including but not limited to IgA, secretory IgA, IgG, IgE and IgM. IgG subclasses are well known to those in the art and include, but are not limited to, human IgG1, IgG2, IgG3 and IgG4. Antibodies can be both naturally occurring and non-naturally occurring. Furthermore, antibodies include chimeric antibodies,

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wholly synthetic antibodies, single chain antibodies, and fragments thereof. Antibodies may be human or nonhuman. Antibody fragments include, without limitation, Fab fragments, Fv fragments and other antigen-binding fragments.

5

"Apatmer" shall mean single-stranded DNA or RNA, or peptide that can bind to pre-selected targets, including proteins and peptides, with high affinity and specificity. These molecules can assume a variety of shapes due to their propensity to form helices and
10 single-stranded loops. Apatmers are used as sensors, and therapeutic tools, and to regulate cellular processes, as well as to guide drugs to their specific cellular targets. Apatmer's specificity and characteristics are not directly determined by their primary sequence, but instead by their tertiary structure.

15

As used herein, unless otherwise specified, a base which is "different from" another base or a recited list of bases shall mean that the base has a different structure from the other base or bases. For example, a base that is "different from" adenine,
20 thymine, and cytosine would include a base that is guanine or a base that is uracil.

As used herein, unless otherwise specified, a tag moiety which is different from the tag moiety of a referenced molecule means that
25 the tag moiety has a different chemical structure from the chemical structure of the other/referenced tag moiety.

In some embodiments of the invention, vibrational spectroscopy is used to detect the presence of incorporated nucleotide analogs.
30 Vibrational spectroscopy is a spectrographic analysis where the sample is illuminated with incident radiation in order to excite molecular vibrations. Vibrational excitation, caused by molecules of the sample absorbing, reflecting or scattering a particular discrete amount of energy, is detected and can be measured. The two
35 major types of vibrational spectroscopy are infrared (usually FTIR) and Raman. If FTIR is employed, then the IR spectra of the nucleotide analogs are measured. If Raman is employed, then the

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Raman spectra of the nucleotide analogs is measured (for example of the nucleotide analogs and in the methods described herein).

In certain embodiments, the polymerase, single-stranded DNA, RNA, primer, or probe is bound to the solid substrate via 1,3-dipolar azide-alkyne cycloaddition chemistry. In an embodiment the polymerase, DNA, RNA, primer, or probe is bound to the solid substrate via a polyethylene glycol molecule. In an embodiment the polymerase, DNA, RNA, primer, or probe is alkyne-labeled. In an embodiment the polymerase, DNA, RNA, primer, or probe is bound to the solid substrate via a polyethylene glycol molecule and the solid substrate is azide-functionalized. In an embodiment the polymerase, DNA, RNA, primer, or probe is immobilized on the solid substrate via an azido linkage, an alkynyl linkage, or biotin-streptavidin interaction. Immobilization of nucleic acids is described in Immobilization of DNA on Chips II, edited by Christine Wittmann (2005), Springer Verlag, Berlin, which is hereby incorporated by reference. In an embodiment the DNA is single-stranded DNA. In an embodiment the RNA is single-stranded RNA.

In other embodiments, the solid substrate is in the form of a chip, a bead, a well, a capillary tube, a slide, a wafer, a filter, a fiber, a porous media, a porous nanotube, or a column. This invention also provides the instant method, wherein the solid substrate is a metal, gold, silver, quartz, silica, a plastic, polypropylene, a glass, or diamond. This invention also provides the instant method, wherein the solid substrate is a porous non-metal substance to which is attached or impregnated a metal or combination of metals. The solid surface may be in different forms including the non-limiting examples of a chip, a bead, a tube, a matrix, a nanotube. The solid surface may be made from materials common for DNA microarrays, including the non-limiting examples of glass or nylon. The solid surface, for example beads/micro-beads, may be in turn immobilized to another solid surface such as a chip.

In one embodiment, the solid surface is a SERS-prepared surface designed specifically for detection of a label nucleotide. The

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surface may include one or more nanoplasmonic antenna, wherein the nanoplasmonic antenna may be a nanoplasmonic bowtie antenna. In one embodiment, the nanoplasmonic bowtie antenna comprises crossed-bowtie structure in which one pair of triangles couples to incident
5 field, while another pair of triangles couples to Raman scattered field in an orthogonal polarization. It is also contemplated that the nanoplasmonic antenna may be an array of antennas. In addition, the nanoplasmonic antenna may include DNA functionalized sites, and may have a gap size range from 50 nm to 8 nm. In another
10 embodiment, a DNA polymerase is immobilized within the gap.

In another embodiment, the surface comprises a DNA origami scaffold or an array of DNA origami scaffolds. It is also contemplated that the DNA origami scaffold further comprising a primer molecules
15 positioned between Au and Ag nanoparticles and nanorods located at specified binding sites.

In a further embodiment, the surface comprises plasmonic crystals or an array of plasmonic structures. For example, the plasmonic
20 structures may be periodic TiO-Au-TiO structures.

In various embodiments the polymerase, nucleic acid samples, DNA, RNA, primer, or probe are separated in discrete compartments, wells or depressions on a surface.
25

In this invention methods are provided wherein about 1000 or fewer copies of the polymerase, nucleic acid sample, DNA, RNA, primer, or probe, are bound to the substrate. This invention also provides the instant methods wherein 2×10^7 , 1×10^7 , 1×10^6 or 1×10^4 or fewer copies
30 of the polymerase, nucleic acid sample, DNA, RNA, primer, or probe are bound to the substrate.

In some embodiments, the immobilized polymerase, nucleic acid sample, DNA, RNA, primer, or probe is immobilized at a high density.
35 This invention also provides the instant methods wherein over or up to 1×10^7 , 1×10^8 , 1×10^9 copies of the polymerase, nucleic acid sample, DNA, RNA, primer, or probe, are bound to the solid substrate.

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In other embodiments of the methods and/or compositions of this invention, the DNA is single-stranded. In an embodiment of the methods or of the compositions described herein, the RNA is single-stranded.

In certain embodiments, UV light is used to photochemically cleave the photochemically cleavable linkers and moieties. In an embodiment, the photocleavable linker is a 2-nitrobenzyl moiety. In an embodiment of the processes and methods described herein monochromatic light is used to irradiate Raman-label-containing nucleotide analogs (e.g. incorporated into a primer or DNA extension product) so as to elicit a signal measurable by Raman spectroscopy. In one such embodiment, the laser is a 532nm, 633nm, or 785nm laser. In another such embodiment, near infra-red light is used to irradiate Raman-label-containing nucleotide analogs. In certain embodiments of the processes and methods of this invention near infra-red light is used to irradiate Raman-label-containing polynucleotide analogs.

A "nucleotide residue" is a single nucleotide in the state it exists after being incorporated into, and thereby becoming a monomer of, a polynucleotide. Thus, a nucleotide residue is a nucleotide monomer of a polynucleotide, e.g. DNA, which is bound to an adjacent nucleotide monomer of the polynucleotide through a phosphodiester bond at the 3' position of its sugar and is bound to a second adjacent nucleotide monomer through its phosphate group, with the exceptions that (i) a 3' terminal nucleotide residue is only bound to one adjacent nucleotide monomer of the polynucleotide by a phosphodiester bond from its phosphate group, and (ii) a 5' terminal nucleotide residue is only bound to one adjacent nucleotide monomer of the polynucleotide by a phosphodiester bond from the 3' position of its sugar.

Because of well-understood base-pairing rules, determining the wavenumber of the Raman spectroscopy peak of a dNTP analog incorporated into a primer or DNA extension product, and thereby the

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identity of the dNTP analog that was incorporated, permits identification of the complementary nucleotide residue in the single stranded polynucleotide that the primer or DNA extension product is hybridized to. Thus, if the dNTP analog that was incorporated has a
5 unique wavenumber in the Raman spectroscopy peak identifying it as comprising an adenine, a thymine, a cytosine, or a guanine, then the complementary nucleotide residue in the single stranded DNA is identified as a thymine, an adenine, a guanine or a cytosine, respectively. The purine adenine (A) pairs with the pyrimidine
10 thymine (T). The pyrimidine cytosine (C) pairs with the purine guanine (G). Similarly, with regard to RNA, if the dNTP analog that was incorporated comprises an adenine, a uracil, a cytosine, or a guanine, then the complementary nucleotide residue in the single stranded RNA is identified as a uracil, an adenine, a guanine or a
15 cytosine, respectively.

Incorporation into an oligonucleotide or polynucleotide (such as a primer or DNA extension strand) of a dNTP analog means the formation of a phosphodiester bond between the 3' carbon atom of the 3'
20 terminal nucleotide residue of the polynucleotide and the 5' carbon atom of the dNTP analog resulting in the loss of pyrophosphate from the dNTP analog.

As used herein, a deoxyribonucleoside polyphosphate (dNPP) analog,
25 unless otherwise indicated, is a dNPP having connected via a linker to the terminal phosphate thereof, a chemical group which has Raman spectroscopy peak with wavenumber of from 2000 cm^{-1} to 2300 cm^{-1} and which does not prevent the dNPP analog from being incorporated into a polynucleotide, such as DNA, by formation of a phosphodiester
30 bond. A deoxyribonucleotide analog residue is deoxyribonucleotide analog which has been incorporated into a polynucleotide and which still comprises its chemical group having a Raman spectroscopy peak with wavenumber of from 2000 cm^{-1} to 2300 cm^{-1} . In an embodiment the chemical group has a Raman spectroscopy peak with wavenumber of from
35 2000 cm^{-1} to 2300 cm^{-1} .

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As used herein, a ribonucleoside polyphosphate (rNPP) analog, unless otherwise indicated, is an rNPP having connected via a linker to the terminal phosphate thereof, a chemical group which has a Raman spectroscopy peak with wavenumber of from 2000 cm^{-1} to 2300 cm^{-1} and which does not prevent the rNPP analog from being incorporated into a polynucleotide, such as RNA, by formation of a phosphodiester bond. A ribonucleotide analog residue is ribonucleotide analog which has been incorporated into a polynucleotide and which still comprises its chemical group having a Raman spectroscopy peak with wavenumber of from 2000 cm^{-1} to 2300 cm^{-1} . In an embodiment the chemical group has a Raman spectroscopy peak with wavenumber of from 2000 cm^{-1} to 2300 cm^{-1} .

A Raman spectroscopy system, as can be used in the methods described herein, typically comprises an excitation source (such as a laser, including a laser diode in appropriate configuration, or two or more lasers), a sample illumination system and light collection optics, a wavelength selector (such as a filter or spectrophotometer), and a detection apparatus (such as a CCD, a photodiode array, or a photomultiplier). Interference (notch) filters with cut-off spectral range of $\pm 80\text{-}120\text{ cm}^{-1}$ from the laser line can be used for stray light elimination. Holographic gratings can be used. Double and triple spectrometers allow taking Raman spectra without use of notch filters. Photodiode Arrays (PDA) or a Charge-Coupled Devices (CCD) can be used to detect Raman scattered light.

In an embodiment, surface enhanced Raman spectroscopy (SERS) is used which employs a surface treated with one or more of certain metals known in the art to cause SERS effects. In an embodiment the surface is a surface to which the polymerase, polynucleotide, single-stranded DNA, single-stranded RNA, primer, DNA extension strand, or oligonucleotide probe of the methods described herein is attached. Many suitable metals are known in the art. In an embodiment the surface is electrochemically etched silver or treated with/comprises silver and/or gold colloids with average particle size below 20 nm . The wavenumber of the Raman spectroscopy peak of an entity is identified by irradiating the entity with the

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excitation source, such as a laser, and collecting the resulting Raman spectrum using a detection apparatus. The wavenumber of the Raman spectroscopy peak is determined from the Raman spectrum. In an embodiment, the spectrum measured is from 2000 cm^{-1} to 2300 cm^{-1} and the wavenumber of the Raman spectroscopy peak is the peak wavenumber within that spectrum. In an embodiment the spectrum measured is a sub-range of 2000 cm^{-1} to 2300 cm^{-1} and the Raman spectroscopy peak wavenumber is the peak wavenumber within that spectrum sub-range.

Where a range of values is provided, unless the context clearly dictates otherwise, it is understood that each intervening integer of the value, and each tenth of each intervening integer of the value, unless the context clearly dictates otherwise, between the upper and lower limit of that range, and any other stated or intervening value in that stated range, is encompassed within the invention. The upper and lower limits of these smaller ranges may independently be included in the smaller ranges, and are also encompassed within the invention, subject to any specifically excluded limit in the stated range. Where the stated range includes one or both of the limits, ranges excluding (i) either or (ii) both of those included limits are also included in the invention.

As used herein, "alkyl" includes both branched and straight-chain saturated aliphatic hydrocarbon groups having the specified number of carbon atoms and may be unsubstituted or substituted. Thus, C1-Cn as in "C1-Cn alkyl" includes groups having 1, 2, ..., n-1 or n carbons in a linear or branched arrangement. For example, a "C1-C5 alkyl" includes groups having 1, 2, 3, 4, or 5 carbons in a linear or branched arrangement, and specifically includes methyl, ethyl, n-propyl, isopropyl, n-butyl, t-butyl, and pentyl.

As used herein, "alkenyl" refers to a non-aromatic hydrocarbon group, straight or branched, containing at least 1 carbon to carbon double bond, and up to the maximum possible number of non-aromatic carbon-carbon double bonds may be present, and may be unsubstituted or substituted. For example, "C2-C5 alkenyl" means an alkenyl group

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having 2, 3, 4, or 5, carbon atoms, and up to 1, 2, 3, or 4, carbon-carbon double bonds respectively. Alkenyl groups include ethenyl, propenyl, and butenyl.

5 The term "alkynyl" refers to a hydrocarbon group straight or branched, containing at least 1 carbon to carbon triple bond, and up to the maximum possible number of non-aromatic carbon-carbon triple bonds may be present, and may be unsubstituted or substituted. Thus, "C2-C5 alkynyl" means an alkynyl group having 2 or 3 carbon atoms
10 and 1 carbon-carbon triple bond, or having 4 or 5 carbon atoms and up to 2 carbon-carbon triple bonds. Alkynyl groups include ethynyl, propynyl and butynyl.

The term "substituted" refers to a functional group as described
15 above such as an alkyl, or a hydrocarbyl, in which at least one bond to a hydrogen atom contained therein is replaced by a bond to non-hydrogen or non-carbon atom, provided that normal valencies are maintained and that the substitution(s) result(s) in a stable compound. Substituted groups also include groups in which one or
20 more bonds to a carbon(s) or hydrogen(s) atom are replaced by one or more bonds, including double or triple bonds, to a heteroatom. Non-limiting examples of substituents include the functional groups described above, and for example, N, e.g. so as to form -CN.

25 It is understood that substituents and substitution patterns on the compounds of the instant invention can be selected by one of ordinary skill in the art to provide compounds that are chemically stable and that can be readily synthesized by techniques known in the art, as well as those methods set forth below, from readily
30 available starting materials. If a substituent is itself substituted with more than one group, it is understood that these multiple groups may be on the same carbon or on different carbons, so long as a stable structure results.

35 In choosing the compounds of the present invention, one of ordinary skill in the art will recognize that the various substituents, i.e.

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R₁, R₂, etc. are to be chosen in conformity with well-known principles of chemical structure connectivity.

In the compound structures depicted herein, hydrogen atoms, except
5 on ribose and deoxyribose sugars, are generally not shown. However, it is understood that sufficient hydrogen atoms exist on the represented carbon atoms to satisfy the octet rule.

All combinations of the various elements described herein are within
10 the scope of the invention. All sub-combinations of the various elements described herein are also within the scope of the invention.

This invention will be better understood by reference to the
15 Experimental Details which follow, but those skilled in the art will readily appreciate that the specific experiments detailed are only illustrative of the invention as described more fully in the claims which follow thereafter.

20

EXPERIMENTAL DETAILS

Disclosed herein is synthesis of new molecules for a novel approach involving the well-established sequencing by synthesis (SBS) protocol, and taking advantage of the high sensitivity of surface
25 enhanced Raman spectroscopy (SERS) [Nie et al. 1997, Hsiao et al. 2011, Kleinman et al. 2011, and Li et al. 2011]. The ability to conduct such a SERS-SBS procedure with a single chemically cleavable Raman tag on all four bases has been demonstrated. By labeling nucleotides with clusters of Raman tags, the approach could achieve
30 sequencing of single DNA molecules.

The overall approach is illustrated in **Fig. 1**. After nucleotide incorporation by DNA polymerase, the released by-product of this reaction, Raman tag-polyphosphates are obtained and the extended DNA
35 strand is free of any modifications. This is advantageous because such remnants on growing DNA chains can affect their ability to be recognized by polymerase with increasing nucleotide additions,

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eventually terminating further DNA synthesis. The released Raman tag-attached polyphosphate are assayed to evaluate sequencing sensitivity and accuracy. In initial experiments, Raman tags are tested for their sensitivity before running SBS reactions. Using
5 different Raman tags for the four nucleotides to generate four different tagged-polyphosphates allows the DNA sequence to be determined. As an example, 5'-polyphosphate-tagged deoxynucleosides with templates that permit addition of one or a few nucleotides at a time is used.

10

Real-time single-molecule detection using the SERS-SBS method is shown in **Fig. 2**. A polymerase is covalently attached to the SERS substratum at the position of the detector. DNA is threaded through the polymerase and with addition of each nucleotide only the
15 perfectly matched labeled nucleotide complexes with the polymerase. The released tag from the appropriate complementary nucleotide is within range for SERS detection, with unreacted tagged nucleotides at a much greater average distance, thus do not yield a SERS signal. This resembles the approach with the use of zero-mode waveguides; in
20 which case only reacting 5'-phospho linked fluorescent molecules are within the range of the detector [Korlach et al. 2010]. In this case, one could take advantage of the nano-gap hot spots between gold particles that produce SERS, with the SERS substrate acts as a simple "waveguide".

25

I. SERS-SBS With Nucleotides Bearing Single Raman Tag

The ability to generate single Raman moiety-bearing nucleotide reversible terminators and their use for continuous DNA SBS
30 reactions has been demonstrated. SERS with four different tags, and the ability of DNA polymerase to accept nucleotides with extensive modifications at their 5'-phosphate terminus, also have been shown.

I.A Incorporation Of Long PEGylated 5'-Modified Nucleotides

35

The ability of DNA polymerase to accurately and efficiently incorporate dGTP with long coumarin labeled polyethylene glycol chains (16, 20, 24 and 36 PEG units) attached to the terminal

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phosphate of 5'-tetraphosphates has been shown. During the polymerase reaction, after cleavage of the α - β phosphate bond, close to 100% incorporation of the natural dGTP was obtained for all four coumarin PEG-modified dG4Ps as monitored by near absence of primer peaks (~7950d) in MALDI-TOF mass spectra (**Fig. 3**). This shows that polymerase is forgiving of extensive modifications, as has been reported previously [Kumar et al. 2005, Sood et al. 2005, and Koriach et al. 2008]. This also addresses the concern whether one will be able to incorporate nucleotides possessing the tagged dendrons on the 5'-terminal phosphates, especially larger ones with 9 or even 27 tags.

I.B SERS-SBS with Single Tags

As shown in **Fig. 4**, 4 rounds of SERS SBS with 3'-O-azidomethyl dATP, 3'-O-azidomethyl dCTP, 3'-O-azidomethyl dGTP, and 3'-O-azidomethyl dTTP are conducted in turn, with intermediate cleavage of the 3'-blocking groups to restore the free hydroxyl moiety on the base to allow the next cycle of synthesis. A 3'-O-azidomethyl modified complementary nucleotide is incorporated into the growing strand of the DNA in a polymerase reaction. After removing unreacted nucleotides, the DNA extension products were spotted on a Klarite SERS substrate for Raman detection. The appearance of strong specific Raman peaks for the azido group at ~2125 cm^{-1} indicated incorporation of 3'-azidomethyl-dNTPs into the DNA strand (**Fig. 4** left). Treatment with 50mM TCEP [Tris(2-carboxyethyl)phosphine] solution cleaved the azidomethyl group, with the disappearance of its characteristic Raman peak. This regenerated the 3'-OH on the DNA, allowing initiation of the next cycle. In this manner, all 4 N_3 -dNTPs (A, C, G, T) are continuously incorporated into the growing strand of the DNA with high efficiency. The cleavage reaction completely removed and destroyed the N_3 blocking group, which does not interfere with subsequent detection. The newly produced DNA contains only natural, unmodified bases, which yield high quality SBS data. The DNA extension and cleavage products were also characterized by MALDI-TOF-mass spectroscopy as shown in **Fig. 4** right.

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I.C SERS With Different Raman Tags

An example of SERS using commercial SERS substrates (Klarite chips) is shown in **Fig. 5** for the azido moiety where $\sim 10^6$ -fold enhancement was obtained. SERS was also demonstrated with cyano and methyl-substituted alkyne containing compounds.

II. Design and Synthesis of Terminal Phosphate-Tagged Nucleoside-5'-tetrphosphates With 3 Raman Signal Moieties

A set of four nucleotides (A, C, G, and T), each with a unique cluster of 3 identical Raman-scattering moieties ($-\text{C}\equiv\text{N}$, $-\text{N}=\text{N}=\text{N}$, $-\text{C}\equiv\text{CH}$, or $-\text{C}\equiv\text{C}-\text{CH}_3$) are attached as dendrons to the 5'-terminal phosphate of the nucleotides. These nucleotide analogs are not expected to present difficulties in polymerase reactions, based on experience with other very extensive modifications at this site, and should provide enhanced Raman signals relative to singly tagged nucleotides.

Synthesis of dendrons with 3 Raman signal moieties can be carried out as shown in **Fig. 6**. First, the commercially available amino-triester (1) is reacted with Fmoc-protected amino-PEG-NHS ester followed by hydrolysis with formic acid to provide Fmoc-protected amino-PEG-triacid (3) [Ornelas et al. 2009]. The acid groups in compound (3) are converted with HATU/DMF to active esters and then reacted with the desired Raman signal carrying molecules, such as aminopropyl azide, 3-amino-propylnitrile, propargyl amine, or pent-3-yn-1-amine to furnish compound (4) which is then deprotected with piperidine/DMF to give the desired amino terminated 3-Raman tag attached dendron (5), ready to react with a nucleoside-5'-tetrphosphate.

For synthesis of Raman substrates tagged at the terminal phosphate of the nucleoside-5'-tetrphosphates, for instance, the corresponding triphosphate is first reacted with CDI in DMF to activate the terminal phosphate group which is then reacted with

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phosphoric acid to give the tetraphosphate (Fig. 6). The terminal phosphate on the tetraphosphate is further activated with EDAC in 0.1M imidazole buffer followed by reaction with an appropriate Raman group tagged dendron to provide the desired Raman tagged nucleoside-5'-tetraphosphate.

III. Design and synthesis of terminal phosphate-tagged nucleoside-5'-tetraphosphates with 9 Raman signal moieties

Similarly, based on the strategies established in section (II), four nucleotides are tagged with dendrons containing 9 identical Raman-scattering groups. These nucleotide analogs are expected to provide very strong Raman signals.

Synthesis of 9 Raman signal moiety carrying dendrons is carried out as shown in **Fig. 7**. Compound (3) is first activated with HATU/DMF followed by reaction with amino-triester to provide compound (7) with nine acid groups. The acid groups are further activated and reacted with appropriate Raman tagged molecules, such as aminopropyl azide, 3-aminopropyl nitrile, propargyl amine, or pent-3-yn-1-amine to furnish compounds which are then deprotected with piperidine/DMF to give the desired amino-terminated 9-Raman-tag-attached dendron (8), ready to react with the nucleoside-5'-tetraphosphates.

The activation of triphosphate and reaction with dendron (8) follows the same reaction sequence as shown in Fig. 6. Synthesis of Raman-tagged-nucleoside-5'-tetraphosphates are shown since tetraphosphates are better substrates for DNA polymerases than the corresponding triphosphates [Kumar et al. 2005, Sood et al. 2005, and Korlach et al. 2008].

IV. Polymerase Incorporation of Synthesized Nucleotides

Nucleotides generated in sections (II) and (III) are tested in DNA polymerase reactions. Incorporation of nucleotides are tracked using MALDI-TOF MS, a procedure for analysis of incorporation of a

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wide variety of nucleotide analogs. Templates are designed to allow addition of one or more nucleotides at a time.

During the polymerase reaction, the tag-containing dendrons are released due to cleavage of the bond between the α and β phosphates. This leave the primer chain extended by dAMP, dCMP, dGMP or dTMP, whichever is complementary to the template strand. Using short primers of less than 20 bases hybridized to linear templates, successful extension reactions are identified using MALDI-TOF MS as the disappearance of a primer peak and the appearance of a peak representing a primer extended by a single base. The mass of the extension peak thus indicates which base was added. By designing templates with 1, 2, or more consecutive As, Cs, Gs, or Ts, one could demonstrate the accuracy and processivity of the polymerase reactions. As an alternative, primer-loop-templates may be used to increase the efficiency of the reactions.

Nucleotides with 3 Raman signaling moieties are incorporated with high efficiency and accuracy. There is no difficulty with incorporation of nucleotides with 9 Raman signal moieties, though incorporation reaction parameters need to be further optimized for high efficiency. Alternatively, instead of dendron disposed tags, linear tags such as those for the coumarin-PEG-nucleotides may be used.

25

V. Raman Enhancement by 3- and 9-Member Cluster Tags

The nucleotides are tested for their ability to generate Raman signals on commercial SERS substrates, such as Klarite SERS surfaces produced by Renishaw Diagnostics (Glasgow, UK). The 3-tag and 9-tag nucleotides are compared with 1-tag nucleotides for increased SERS signal.

Surface enhanced Raman signals obtained using nucleotides containing single Raman tag and the necessary methodology for testing SERS have been demonstrated in (I) above. Results obtained with these compounds are compared with the 3- and 9-member Raman cluster tags

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described in (II) and (III). Glass or aluminum coated slides are used to obtain baseline Raman measurements and measure the level of enhancement on Klarite slides. Different Raman tags with distinct wavenumbers (e.g., azido at 2125 cm^{-1} , alkyne at 2139 cm^{-1} , methyl-

5 substituted alkyne at 2250 cm^{-1} , and cyano at 2260 cm^{-1}) are tested for their relative enhancement on this substrate. Increasing numbers of tags leads to a higher likelihood of obtaining productive SERS, and thus higher sensitivity and signals. Depending on the results, the test may even extend to higher numbers of Raman tags

10 since simply extending the synthesis method (reacting compounds (7) and (1) and proceeding as in Fig. 7) yields 27 tags.

Discussion

15 While approaches developed and commercialized in the last half decade, methods which usually referred to as next generation sequencing are still too costly and time-consuming for routine use. Many of these rely on real-time sequencing, especially sequencing by synthesis (SBS), and typically require fluorescently labeled

20 nucleotides. Single molecule SBS is a particular need, as it can avoid amplification biases in expression and epigenetic analyses, and overcome potential errors and read length limitations introduced by asynchronous extension of multiple strands.

25 Although detection of fluorescently labeled molecules offers higher sensitivity than standard Raman spectroscopy, application of nanophotonic theory and use of metal colloids and microfabricated surfaces have dramatically increased Raman sensitivity by many orders of magnitude, with single molecule measurements having been

30 described [Nie et al. 1997, Hsiao et al. 2011, and Kleinman et al. 2011]. Nonetheless, the consistency of measurements with surface-enhanced Raman scattering (SERS) is limited by the requirement for appropriate disposition of the molecule to be measured relative to the surface.

35 Likelihood of SERS is increased by taking advantage of nucleotide analogs with multiple identical Raman tags (for example, cluster

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tags of 3 or 9 units) displayed on dendrons linked to the 5'-terminal phosphate group of the nucleotides, hence displaying various angular vectors relative to the backbone. With a different Raman scattering entity on each of the 4 nucleotides, they can be added at the same time and correct extension can be monitored by the resulting Raman signature. Data demonstrated (1) SERS with tags (-N=N=N; -C≡N; -C≡CH, -C≡C-CH₃) displaying Raman shifts (2000-2300 cm⁻¹) [Socrates 2001] that are distinct from those of all the other reaction components including DNA and proteins; (2) multi-step polymerase reactions with all four nucleotides containing a single chemically cleavable Raman tag (azidomethyl groups attached to the 3'-OH group of all four nucleotides); and (3) the ability of polymerase to accept nucleotides with extensive modifications at the 5' phosphate (for example, up to 49 unit PEG molecules).

Disclosed herein is design and synthesise of a novel set of nucleotide analogs which enables a new sequencing approach with the potential to lower costs and increase accuracy. Nucleotides are modified at the 5'-terminal phosphate with clusters of small chemical groups with unique Raman signatures. Incorporation of a complementary nucleotide leads to the release of these base-specific Raman tags which can be detected using surface-enhanced Raman scattering (SERS). The presence of multiple Raman scattering groups contribute to high sensitivity, and the nucleotides regenerated at each step bears no traces of modification, enabling long reads. This cluster Raman tagging approach is superior to fluorescent labeling, because multiple fluorophores on a single nucleotide will lead to fluorescence quenching.

Synthesis of sets of nucleotides with 3- and 9-Raman-tag nucleotides, test of their incorporation efficiency, and the ability of the tags to produce strong and specific Raman signals using commercial SERS templates are demonstrated above. Consecutive addition of four nucleotides with single chemically cleavable Raman tags in polymerase reactions and their detection by SERS have been shown as well. Therefore, these nucleotides may be incorporate into a platform allowing continuous "4-Raman signature" extension and

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detection with immobilized polymerases on SERS substrates to accomplish real-time single-molecule sequencing.

In developing a full SERS-SBS real-time single-molecule sequencing
5 platform, it is essential to measure the Raman signatures of the released tags while avoiding measurement of the tagged nucleotides that are far away from the SERS hot spot. By adopting the system to a solid-state platform in which the DNA polymerase molecules are covalently attached to the SERS substrate; analogous to the existing
10 system using waveguides. In such a system, only released tags and the perfect match nucleotides bearing the Raman cluster tags complexed with the immobilized polymerase are close enough to the surface to generate SERS and be measured.

15 In summary, new substrates for conducting SERS-SBS are generated that bear several advantageous features. Conditions are established for these new molecules to be incorporated into the growing strand of DNA in the polymerase reaction with high fidelity and efficiency and to provide high signals with fairly standard SERS surfaces,
20 providing for a single molecule sequencing strategy using a broad array of newer SERS designs.

VI. Detection of Protein-Protein Interactions

25 Also disclosed herein is an approach to obtain consistently enhanced Raman signals, by tagging proteins with clusters of chemical groups that display Raman scattering at a particular wavenumber that is distinct from other molecules in the assay. This approach uses clusters of the Raman tag rather than a single instance of the
30 moiety.

Despite its impressive potential for signal enhancement, a major problem with surface-enhanced Raman Spectroscopy (SERS) is its consistency, which is related in part to the disposition of the
35 Raman scattering group relative to the gold particles or nanocrystals.

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To solve this problem, clusters containing several copies of the Raman scattering chemical group placed in a geometry that increases the likelihood that at least one and perhaps a few of the tags in each cluster will have an appropriate orientation to best take
5 advantage of SERS (e.g., will fit in the gap between two gold particles or into the confines of a nanocrystal) are developed. While the many orders of magnitude enhancement is still due to the SERS, this approach provides a higher likelihood that this enhancement will occur for any individual molecule due to the
10 presence of an array of 10-20 tags with various orientations.

In the range of 2000-2200 cm^{-1} , a region of the Raman spectrum where proteins and most other biological molecules do not display peaks, one can utilize tags that do have discrete peaks in this window,
15 including azido (2125 cm^{-1}), cyano (2259 cm^{-1}), alkyne (2138 cm^{-1}), and methylalkyne (2249 cm^{-1}). To get more than four signatures, mixtures of cyano, alkyne, azido, etc., on the same dendrimer may also be used.

20 Proteins are readily labeled covalently with tags by taking advantage of exposed reactive groups (e.g., amino of lysine or arginine; sulfhydryl of cysteine; hydroxyl of serine or threonine; carboxyl of aspartic acid or glutamic acid). Traditionally, these tags may be radioisotopes, fluorophores, colorimetric groups, etc.
25 In this approach, small Raman tags arranged in series (polymers), cycles (multi-ring or similar structures), or parallel (branched dendrimers) are used. Tags may be attached via chemically cleavable or photocleavable linkers, though this is not necessary for most of the uses described below.

30 Various crosslinking strategies to conjugate the 9 Raman-scattering moiety with proteins (antibodies, peptides) is shown in **Fig. 8**. **Figs. 9 and 10** each shows a synthesis scheme of an arbitrary protein conjugated with a dendrimer tag comprising 3 or 9 Raman-scattering
35 moieties, respectively. A small number of protein functional groups (Y) comprise selectable targets for practical conjugation methods, such as: primary amines ($-\text{NH}_2$), carboxyls ($-\text{COOH}$), sulfhydryls ($-\text{SH}$)

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and carbonyls (-CHO). A number of chemical reactive groups (X) have been characterized and used to target the protein functional groups for crosslinking. A list of exemplary reactive groups are listed below on Table I.

5

Table I

Possible crosslinker reactive groups for protein to amino-dendrone conjugation.

10

Reactivity Class (Y)	Chemical Group (X)	Activated Crosslink (Z)
Carboxyl-to-amine reactive groups	Carbodiimide (e.g., EDC)	Amide bond
Amine-reactive groups	NHS ester Imidoester	Amide bond Amidine bond
Sulfhydryl-reactive groups	Maleimide Haloacetyl (Bromo- or Iodo-) Pyridyldisulfide	Thioether bond Thioether bond Disulfide bond
Aldehyde-reactive groups i.e., oxidized sugars (carbonyls)	Hydrazide Alkoxyamine	Hydrazone bond Oxime bond

In this approach, one of the proteins, generally an untagged protein, is attached via covalent or ionic bonds (e.g. azido-alkyne, amino-NHS ester, amino-carboxyl, or biotin-avidin) to a SERS surface. (Covalent bond induced by reacting an alkyne and azido moiety results in a ring structure that may have a Raman signal of its own in the part of the spectrum of interest. Therefore, such bonds are generally avoided, except in cases when one wants to use such signal as background or for localization.)

These untagged proteins are generally the baits or the targets in assays; the partner protein would be tagged with Raman detectable clusters as shown in Figures 8, 9, and 10. A number of examples of detection of protein-protein interactions are provided below, although there are many others not enumerated herein are also contemplated:

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1. Unlabeled bait protein is covalently or ionically attached to a SERS surface, e.g., via thiol-gold interactions. A second protein is derivatized via lysine residues with dendrimer of azido or other
5 (cyano, alkyne, methyl alkyne, etc.) tags. After binding, SERS is carried out to determine affinity, avidity, etc., for the bait protein. Placement and order of addition of the target and bait proteins can be reversed such that the former is attached to the surface and the latter is tagged as the probe.
10
2. A modification would feature proteins (receptors) on surfaces of cells which may be lysed and disposed on the SERS surface. Various concentrations of Raman cluster tag-labeled ligands in solution are added to (a) discover if the receptor is present on the
15 cells; (b) the density of the receptors on the cell surface; or (c) the binding affinity, etc. This is of particular interest for studies in tumor development where receptors or their ligands are gained/lost (oncogenes/tumor suppressors).
- 20 3. Where multiple different receptors may be present, or where receptors have low specificity for binding of ligands, one can take advantage of distinct tag clusters with different signatures (Raman groups) on each ligand to determine each ligand's relative affinity for the receptor.
25
4. Similarly, the method may be used to study multi-protein assemblies, and to determine the order of protein addition. One can attach one of the proteins to the surface, and have each of the other proteins labeled with a different tag cluster (i.e., azido for
30 one, alkyne for a second, cyano for the third, etc). SERS detection combined with statistical analysis of the signatures obtained indicate which order of addition of the different proteins produces the final assembly.
- 35 5. Another use of the approach would be for protein arrays, wherein peptide antigens modified with the Raman cluster tags are used to probe the arrays of antibodies displayed on the surface. As

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shown in **Fig. 11(A)**, antibodies are immobilized on the gold-coated SERS nanostructures using conventional attachment chemistries [Shipway et al. 2000] and the antigen is introduced, which is labeled with a Raman cluster tag. As shown in **Fig.**
5 **11(B)**, the antibody uniquely recognizes the antigen, allowing the two structures to bind together with precision. The bound antigen is positioned such that the Raman cluster tag is located close to the SERS hotspots upon laser irradiation. Large SERS enhancement is observed due to the mutual interplay
10 between the localized surface plasmonic field and the strong vibration modes of the Raman cluster tag.

In each scenario, with chemically cleavable or photocleavable linkers, alternative options exist such as the ability to add
15 interacting molecules one-by-one. This is particularly useful for measuring the order of addition of subunits of a multi-subunit protein or protein complex.

A major goal of this approach is to identify very low levels of a
20 protein in a cell or tissue, approaching or surpassing the sensitivity of fluorescent techniques, and approaching single molecule sensitivity.

VII. Detection of DNA-Protein Interactions

25 In order to understand gene regulation at the transcriptional level, it is critical to know the binding efficiency of transcription factors ("TFs") to their binding motifs (promoter or enhancer elements). To detect such DNA-protein interactions, one attaches
30 the transcription factor to SERS surface and label the promoter or enhancer element (usually 4-10 bases) with the Raman cluster tags.

Productive binding usually involves high affinity interactions, and may require binding of multiple transcription factors to nearby
35 motifs and to each other. Therefore, a promoter or enhancer stretch of DNA containing 2 or more motifs may be attached to SERS surface,

-40-

and two or more transcription factors added, each with a different signature Raman tag cluster (one with azido tag cluster and one with cyano tag cluster, for instance). This approach may be adapted to detect 3, 4, or more TFs or associated factors, using multiple tag
5 clusters each containing a unique or combinations of Raman scattering chemical groups. Using the above approach, one could obtain information such as co-operativity among TFs for enhancement or silencing, and competition for the same binding motifs or regions.

10

To bind DNA to the surface, one may use a variety of established methods, including interaction of biotinylated DNA to streptavidin-coated SERS surfaces, attachment of NHS-ester end-modified DNA to an amino-decorated surface, or generation of amide bonds to carboxy-
15 coated surfaces.

It is also possible to use a reverse approach, i.e., bind one or more of the transcription factors to the surface, and label one or more DNA molecules with Raman tag clusters.

20

Finally, using either cleavable or non-cleavable tag clusters allows one to add a multiplicity of proteins to the DNA in specific order.

Another application deals with aptasensors. In aptasensors, aptamers (short single-stranded oligonucleotides) bind to targets
25 with high affinity and selectivity. Their use as molecular recognition elements has emerged as a viable approach for biosensing, diagnostics, and therapeutics. A molecular recognition platform using Raman cluster tag labeled peptides and SERS substrate bound aptamers is shown in **Fig. 12**. Specifically, **Fig. 12(A)** shows
30 aptamers immobilized on the gold-coated SERS nanostructures via thiol-gold bonding and introduction of peptides labeled with Raman cluster tags; **Fig. 12(B)** shows the peptides bind to aptamer counterparts with high affinity and specificity. When peptides
35 trapped by the aptamer are close enough to a SERS hotspot, a strong Raman signal emanates from at least one of the cluster tags upon laser irradiation.

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VIII. Detection of RNA-Protein Interaction

RNA binding proteins are involved in important aspects of RNA
5 maturation and regulation, including regulation of splicing, and
potential translation enhancement. They are part of
ribonucleoprotein complexes and bind to specific single-stranded or
double-stranded RNA recognition motifs. Applying the methodology
10 for detection of DNA-protein interactions, one can attach the RNA
motif to a SERS surface, and probe with RNA-binding proteins tagged
with Raman tag clusters. Alternately, one can label the RNA with
the clustered tags and react with unlabeled RNA-binding proteins
attached to the SERS surface. Binding of RNA to a surface is
similar to that for DNA discussed above.

15

IX. Detection of RNA-RNA Interaction

MicroRNAs (miRNAs) are known to bind to messenger RNA (mRNA)
molecules, usually to motifs found in the 3'-UTRs of the latter,
20 whereby miRNAs cause mRNA degradation or inhibition of translation.
Such interactions can be detected using the approach described
herein by label either the RNA motifs or the miRNAs with the Raman
tag clusters, with the respective unlabeled partner molecule
attached to a SERS surface.

25

X. Detection of Other Interactions

DNA-RNA or DNA-DNA hybridization on solid supports (microarray
assays), DNA-DNA or RNA-RNA ligation reactions, protein-metabolite
interactions, receptor-non ligand interactions, and study of members
30 of interaction pathways could all benefit from the placement of
clustered tags on one of the interacting partners.

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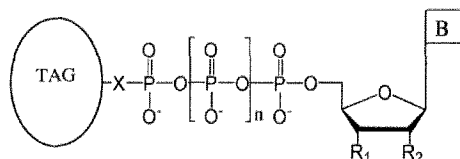
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What is claimed is:

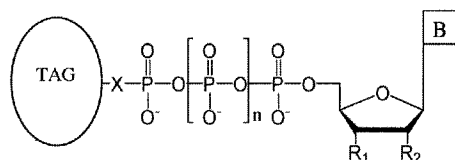
1. A nucleoside polyphosphate analog having the structure:



- wherein B is a base and is adenine, guanine, cytosine, uracil, thymine, or a derivative thereof, wherein R₁ is OH, wherein R₂ is OH or H, wherein n is 1, 2, 3, or 4, wherein X is a linker, and wherein the tag comprises a plurality of Raman-scattering moieties.
2. The nucleoside polyphosphate analog of claim 1, wherein each of the plurality of Raman-scattering moieties has a Raman spectroscopy peak with wavenumber from 2000 cm⁻¹ to 2300 cm⁻¹.
 3. The nucleoside polyphosphate analog of claim 1 or 2, wherein each of the plurality of Raman-scattering moieties is selected from the group consisting of -N=N=N, -C≡N, -C≡CH, and -C≡C-CH₃.
 4. The nucleoside polyphosphate analog of any one of claims 1-3, wherein the tag comprising a plurality of identical Raman-scattering moieties.
 5. The nucleoside polyphosphate analog of any one of claims 1-3, wherein the tag comprising a plurality of different Raman-scattering moieties.
 6. The nucleoside polyphosphate analog of any one of claims 1-5, wherein the tag comprising 3 Raman-scattering moieties.
 7. The nucleoside polyphosphate analog of any one of claims 1-5, wherein the tag comprising 9 Raman-scattering moieties.
 8. The nucleoside polyphosphate analog of any one of claims 1-5, wherein the tag comprising 27 Raman-scattering moieties.

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9. The nucleoside polyphosphate analog of any one of claims 1-8, wherein the plurality of Raman-scattering moieties forms a linear tag.
10. The nucleoside polyphosphate analog of any one of claims 1-8, wherein the plurality of Raman-scattering moieties forms a non-linear tag.
11. The nucleoside polyphosphate analog of claim 10, wherein the non-linear tag is a dendrimer tag.
12. The nucleoside polyphosphate analog of any one of claims 1-11, wherein the tag has a Raman spectroscopy peak with wavenumber from 2125 cm^{-1} to 2260 cm^{-1} .
13. The nucleoside polyphosphate analog of any one of claims 1-12, wherein the linker is selected from the group consisting of O, NH, S, CH_2 , amino acids, peptides, proteins, carbohydrates, polyethylene glycols of different length and molecular weights, aliphatic acids, aromatic acids, alcohols or thiol groups (substituted or unsubstituted), cyano groups, nitro groups, alkyl groups, alkenyl groups, alkynyl groups, and azido groups.
14. A composition comprising four deoxyribonucleoside polyphosphate (dNPP) analogs, each dNPP analog having the structure:



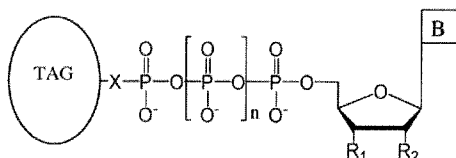
wherein B is a base and is adenine, guanine, cytosine, thymine, or a derivative thereof, wherein R_1 is OH, wherein R_2 is OH or H, wherein n is 1, 2, 3, or 4, wherein X is a linker,

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wherein tag comprises a plurality of Raman-scattering moieties,

wherein (i) the Raman spectroscopy peak of the tag on each dNPP analog is distinguishable from the Raman spectroscopy peak of the tag on each of the remaining three dNPP analogs, and (ii) each dNPP analog comprises a base which is different from the base of each of the remaining three dNPP analogs.

15. A composition comprising four ribonucleoside polyphosphate (rNPP) analogs, each rNPP analog having the structure:



wherein B is a base and is adenine, guanine, cytosine, uracil, or a derivative thereof, wherein R_1 is OH, wherein R_2 is OH, wherein n is 1, 2, 3, or 4, wherein X is a linker, wherein the tag comprises a plurality of Raman-scattering moieties, wherein (i) the Raman spectroscopy peak of the tag on each rNPP analog is distinguishable from the Raman spectroscopy peak of the tag on each of the remaining three rNPP analogs, and (ii) each rNPP analog comprises a base which is different from the base of each of the remaining three rNPP analogs.

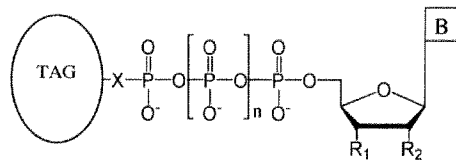
16. The composition of claim 14 or 15, wherein each of the plurality of Raman-scattering moieties has a Raman spectroscopy peak with wavenumber from 2000 cm^{-1} to 2300 cm^{-1} .
17. The composition of any one of claims 14-16, wherein each of the plurality of Raman-scattering moieties is selected from the group consisting of $-\text{N}=\text{N}=\text{N}$, $-\text{C}\equiv\text{N}$, $-\text{C}\equiv\text{CH}$, and $-\text{C}\equiv\text{C}-\text{CH}_3$.
18. The composition of any one of claims 14-17, wherein the tag comprising a plurality of identical Raman-scattering moieties.

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19. The composition of any one of claims 14-17, wherein the tag comprising a plurality of different Raman-scattering moieties.
20. The composition of any one of claims 14-19, wherein the tag comprising 3 Raman-scattering moieties.
21. The composition of any one of claims 14-19, wherein the tag comprising 9 Raman-scattering moieties.
22. The composition of any one of claims 14-19, wherein the tag comprising 27 Raman-scattering moieties.
23. The composition of any one of claims 14-22, wherein the plurality of Raman-scattering moieties forms a linear tag.
24. The composition of any one of claims 14-22, wherein the plurality of Raman-scattering moieties forms a non-linear tag.
25. The composition of claim 24, wherein the non-linear tag is a dendrimer tag.
26. The composition of any one of claims 14-25, wherein the tag has a Raman spectroscopy peak with wavenumber from 2125 cm^{-1} to 2260 cm^{-1} .
27. The composition of any one of claims 14-26, wherein the linker is selected from the group consisting of O, NH, S, CH_2 , amino acids, peptides, proteins, carbohydrates, polyethylene glycols of different length and molecular weights, aliphatic acids, aromatic acids, alcohols or thiol groups (substituted or unsubstituted), cyano groups, nitro groups, alkyl groups, alkenyl groups, alkynyl groups, and azido groups.
28. A method for determining the sequence of a single-stranded DNA comprising:
 - (a) contacting the single-stranded DNA having a primer hybridized to a portion thereof with a DNA polymerase and four deoxyribonucleoside polyphosphate (dNPP) analogs

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under conditions permitting the DNA polymerase to catalyze incorporation onto the primer of a dNPP analog complementary to a nucleotide residue of the single-stranded DNA which is immediately 5' to a nucleotide residue of the single-stranded DNA hybridized to the 3' terminal nucleotide residue of the primer, so as to form a DNA extension product, wherein each dNPP analog has the structure:



wherein B is a base and is adenine, guanine, cytosine, thymine, or a derivative thereof, wherein R₁ is OH, wherein R₂ is OH or H, wherein n is 1, 2, 3, or 4, wherein X is a linker, wherein tag comprises a plurality of Raman-scattering moieties,

wherein (i) the Raman spectroscopy peak of the tag on each dNPP analog is distinguishable from the Raman spectroscopy peak of the tag on each of the remaining three dNPP analogs, and (ii) each dNPP analog comprises a base which is different from the base of each of the remaining three dNPP analogs, and

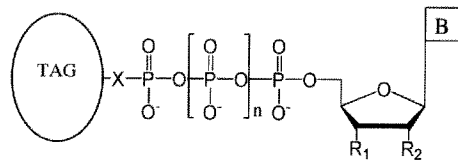
wherein the incorporation of the dNPP analog releases a tagged polyphosphate;

- (b) determining the wavenumber of the Raman spectroscopy peak of the tagged polyphosphate released in step (a), so as to thereby determining the identity of the incorporated dNPP analog and the identity of the complementary nucleotide residue in the single-stranded DNA; and
- (c) iteratively performing steps (a) and (b) for each nucleotide residue of the single-stranded DNA to be sequenced so as to thereby determining the sequence of the single-stranded DNA.

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29. A method for determining the sequence of a single-stranded RNA comprising:

- (a) contacting the single-stranded RNA having a primer hybridized to a portion thereof with an RNA polymerase and four ribonucleoside polyphosphate (rNPP) analogs under conditions permitting the RNA polymerase to catalyze incorporation onto the primer of an rNPP analog complementary to a nucleotide residue of the single-stranded RNA which is immediately 5' to a nucleotide residue of the single-stranded RNA hybridized to the 3' terminal nucleotide residue of the primer, so as to form an RNA extension product, wherein each rNPP analog has the structure:



wherein B is a base and is adenine, guanine, cytosine, uracil, or a derivative thereof, wherein R_1 is OH, wherein R_2 is OH, wherein n is 1, 2, 3, or 4, wherein X is a linker, wherein tag comprises a plurality of Raman-scattering moieties,

wherein (i) the Raman spectroscopy peak of the tag on each rNPP analog is distinguishable from the Raman spectroscopy peak of the tag on each of the remaining three rNPP analogs, and (ii) each rNPP analog comprises a base which is different from the base of each of the remaining three rNPP analogs, and

wherein the incorporation of the rNPP analog releases a tagged polyphosphate;

- (b) determining the wavenumber of the Raman spectroscopy peak of the tagged polyphosphate released in step (a), so as to thereby determining the identity of the incorporated

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rNPP analog and the identity of the complementary nucleotide residue in the single-stranded RNA; and

- (c) iteratively performing steps (a) and (b) for each nucleotide residue of the single-stranded RNA to be sequenced so as to thereby determining the sequence of the single-stranded RNA.
30. The method of claim 28 or 29, wherein the polymerase is immobilized on a solid surface.
31. The method of any one of claims 28-30, wherein the Raman spectroscopy peak is determined using surface-enhanced Raman spectroscopy (SERS).
32. The method of any one of claims 28-31, wherein the polymerase is immobilized on a SERS substrate.
33. The method of any one of claims 28-32, wherein the polymerase is immobilized such that only the tagged polyphosphate released in step (a) is within the detection range of the SERS detector.
34. The method of any one of claims 28-33, wherein the polymerase is immobilized such that the tagged polyphosphate released in step (a) is within a cavity on the SERS substrate.
35. The method of any one of claims 28-34, wherein each of the plurality of Raman-scattering moieties has a Raman spectroscopy peak with wave number from 2000 cm^{-1} to 2300 cm^{-1} .
36. The method of any one of claims 28-35, wherein each of the plurality of Raman-scattering moieties is selected from the group consisting of $-\text{N}=\text{N}=\text{N}$, $-\text{C}\equiv\text{N}$, $-\text{C}\equiv\text{CH}$, and $-\text{C}\equiv\text{C}-\text{CH}_3$.
37. The method of any one of claims 28-36, wherein the tag comprising a plurality of identical Raman-scattering moieties.
38. The method of any one of claims 28-36, wherein the tag comprising a plurality of different Raman-scattering moieties.

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39. The method of any one of claims 28-38, wherein the tag comprising 3 Raman-scattering moieties.
40. The method of any one of claims 28-38, wherein the tag comprising 9 Raman-scattering moieties.
41. The method of any one of claims 28-38, wherein the tag comprising 27 Raman-scattering moieties.
42. The method of any one of claims 28-41, wherein the plurality of Raman-scattering moieties forms a linear tag.
43. The method of any one of claims 28-41, wherein the plurality of Raman-scattering moieties forms a non-linear tag.
44. The method of claim 43, wherein the non-linear tag is a dendrimer tag.
45. The method of any one of claims 28-44, wherein the tag has a Raman spectroscopy peak with wavenumber from 2125 cm^{-1} to 2260 cm^{-1} .
46. The method of any one of claims 28-45, wherein the linker is selected from the group consisting of O, NH, S, CH_2 , amino acids, peptides, proteins, carbohydrates, polyethylene glycols of different length and molecular weights, aliphatic acids, aromatic acids, alcohols or thiol groups (substituted or unsubstituted), cyano groups, nitro groups, alkyl groups, alkenyl groups, alkynyl groups, and azido groups.
47. A method for detecting interaction of a plurality of predetermined compounds comprising:
 - (a) contacting at least a first predetermined compound immobilized on a solid surface with a solution containing at least a second predetermined compound, wherein the second predetermined compound having attached thereto a tag comprises a plurality of Raman-scattering moieties, under conditions permitting binding of said at least

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first and second predetermined compounds such that only said second predetermined compound bound to said immobilized first predetermined compound is within the detection range of a detector; and

- (b) performing Raman spectroscopy, wherein detection of a Raman spectroscopy peak indicating presence of the tag and binding of said at least first and second predetermined compounds, so as to thereby detecting the interaction of the plurality of predetermined compounds.

48. The method of claim 47, wherein the Raman spectroscopy peak is determined using surface-enhanced Raman spectroscopy (SERS).
49. The method of claim 47 or 48, wherein the solid surface is a SERS substrate.
50. The method of any one of claims 47-49, wherein the predetermined compound is immobilized via covalent bonds.
51. The method of any one of claims 47-49, wherein the predetermined compound is immobilized via ionic bonds.
52. The method of any one of claims 47-51, wherein at least one of the predetermined compounds is a protein.
53. The method of claim 52, wherein the protein is an antibody or an antigen.
54. The method of any one of claims 47-53, wherein at least one of the predetermined compounds is non-proteinaceous.
55. The method of claim 54, wherein the non-proteinaceous compound is a DNA molecule, an RNA molecule, or an oligonucleotide.
56. The method of claim 55, wherein the RNA molecule is a microRNA (miRNA) or a messenger RNA (mRNA).
57. The method of any one of claims 47-56, wherein at least one of the predetermined compounds is an aptamer.

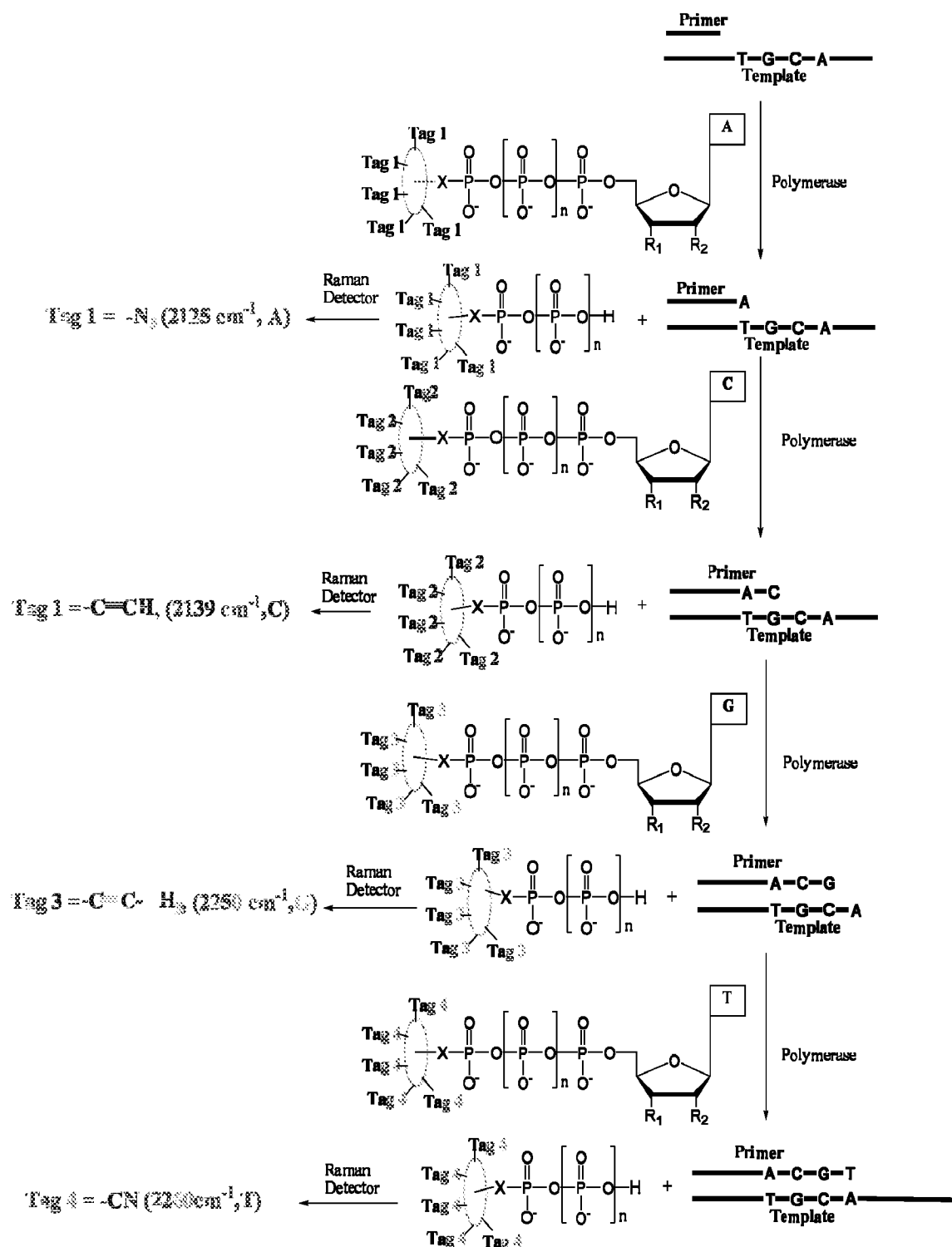
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58. The method of any one of claims 47-57, wherein the tag attaches to the predetermined compound via a cleavable linker.
59. The method of claim 58, wherein the cleavable linker is a photocleavable linker.
60. The method of claim 58, wherein the cleavable linker is a chemically cleavable linker.
61. The method of any one of claims 47-60, wherein a plurality of identical predetermined compounds is immobilized on the solid surface.
62. The method of any one of claims 47-60, wherein a plurality of different predetermined compounds is immobilized on the solid surface.
63. The method of any one of claims 47-62, wherein the solution comprises a plurality of different predetermined compounds, wherein the tag attached to each predetermined compound is distinguishable from any tag attached to any other predetermined compound present in the solution.
64. The method of any one of claims 47-63, wherein each of the plurality of Raman-scattering moieties has a Raman spectroscopy peak with wave number from 2000 cm^{-1} to 2300 cm^{-1} .
65. The method of any one of claims 47-64, wherein each of the plurality of Raman-scattering moieties is selected from the group consisting of $-\text{N}=\text{N}=\text{N}$, $-\text{C}\equiv\text{N}$, $-\text{C}\equiv\text{CH}$, and $-\text{C}\equiv\text{C}-\text{CH}_3$.
66. The method of any one of claims 47-65, wherein the tag comprising a plurality of identical Raman-scattering moieties.
67. The method of any one of claims 47-65, wherein the tag comprising a plurality of different Raman-scattering moieties.
68. The method of any one of claims 47-67, wherein the tag comprising 3 Raman-scattering moieties.

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69. The method of any one of claims 47-67, wherein the tag comprising 9 Raman-scattering moieties.
70. The method of any one of claims 47-67, wherein the tag comprising 27 Raman-scattering moieties.
71. The method of any one of claims 47-70, wherein the plurality of Raman-scattering moieties forms a linear tag.
72. The method of any one of claims 47-70, wherein the plurality of Raman-scattering moieties forms a non-linear tag.
73. The method of claim 72, wherein the non-linear tag is a dendrimer tag.
74. The method of any one of claims 47-73, wherein the tag has a Raman spectroscopy peak with wavenumber from 2125 cm^{-1} to 2260 cm^{-1} .

Figure 1



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Figure 2

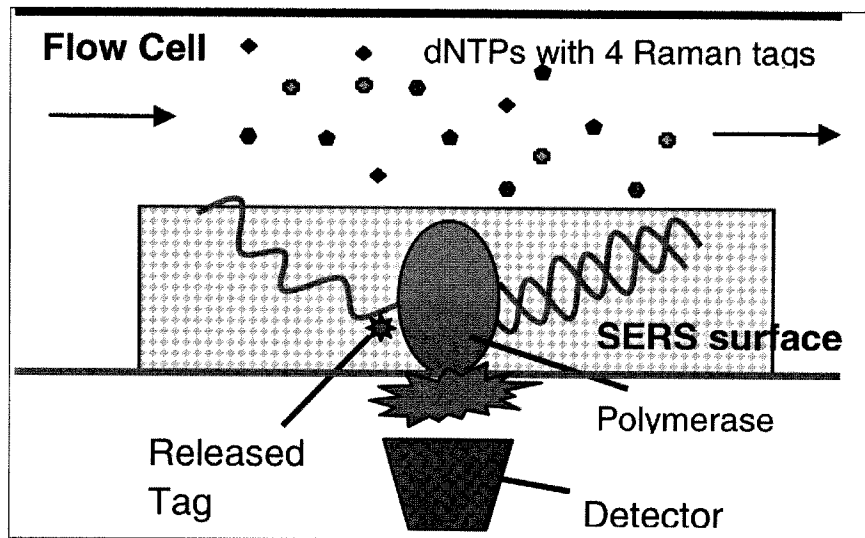


Figure 3

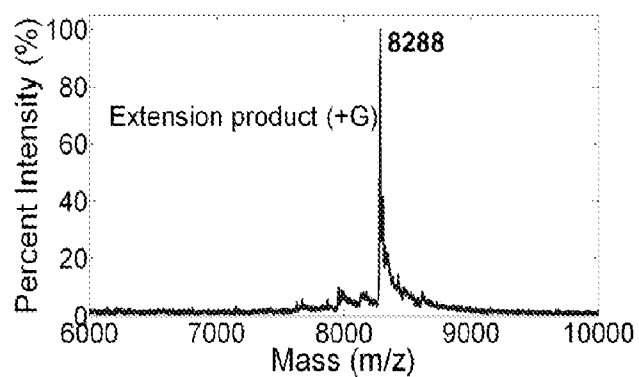
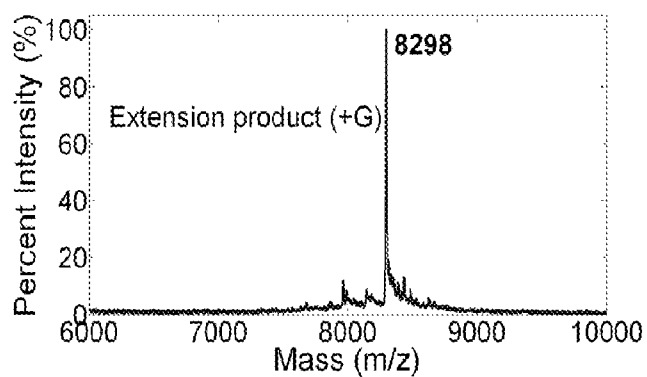
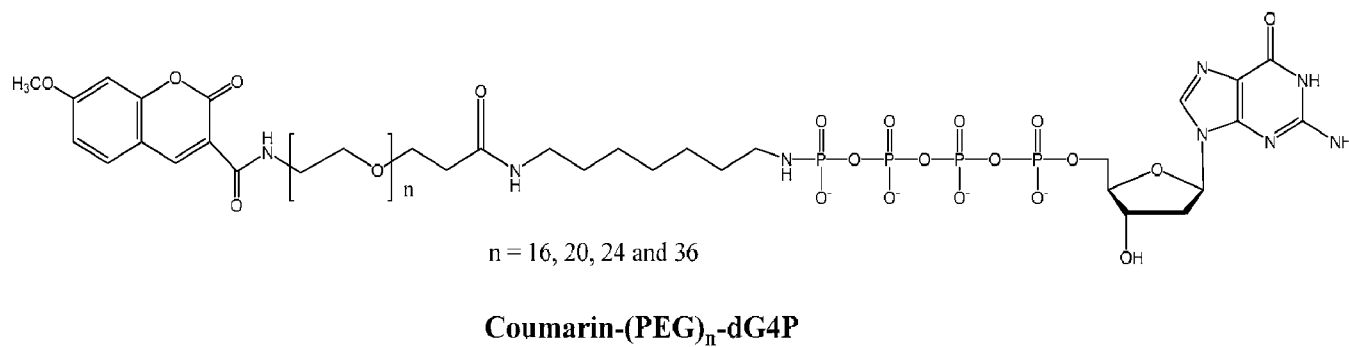
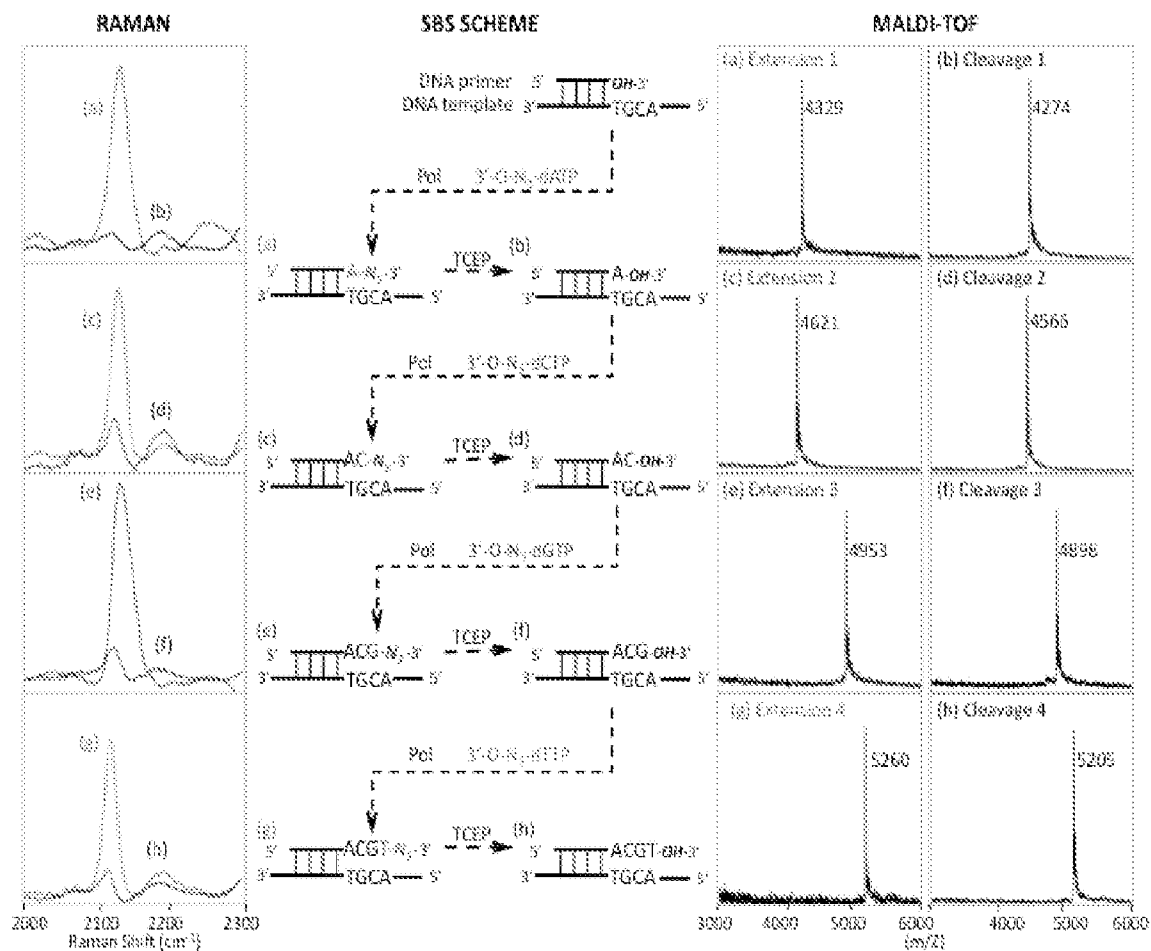


Figure 4



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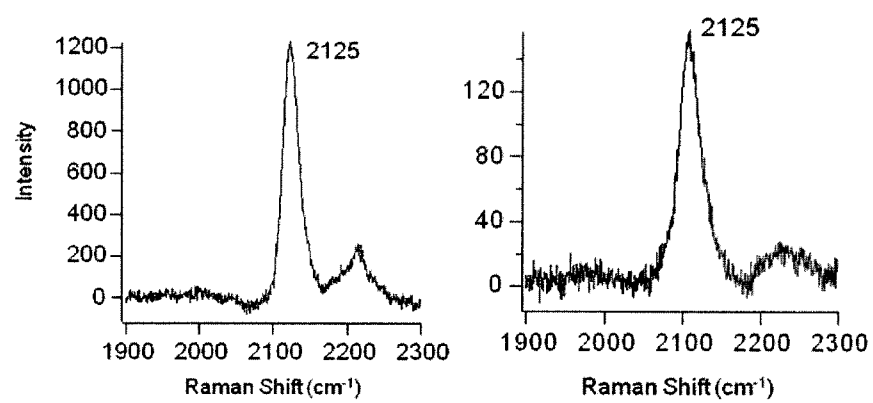
Figure 5

Figure 6

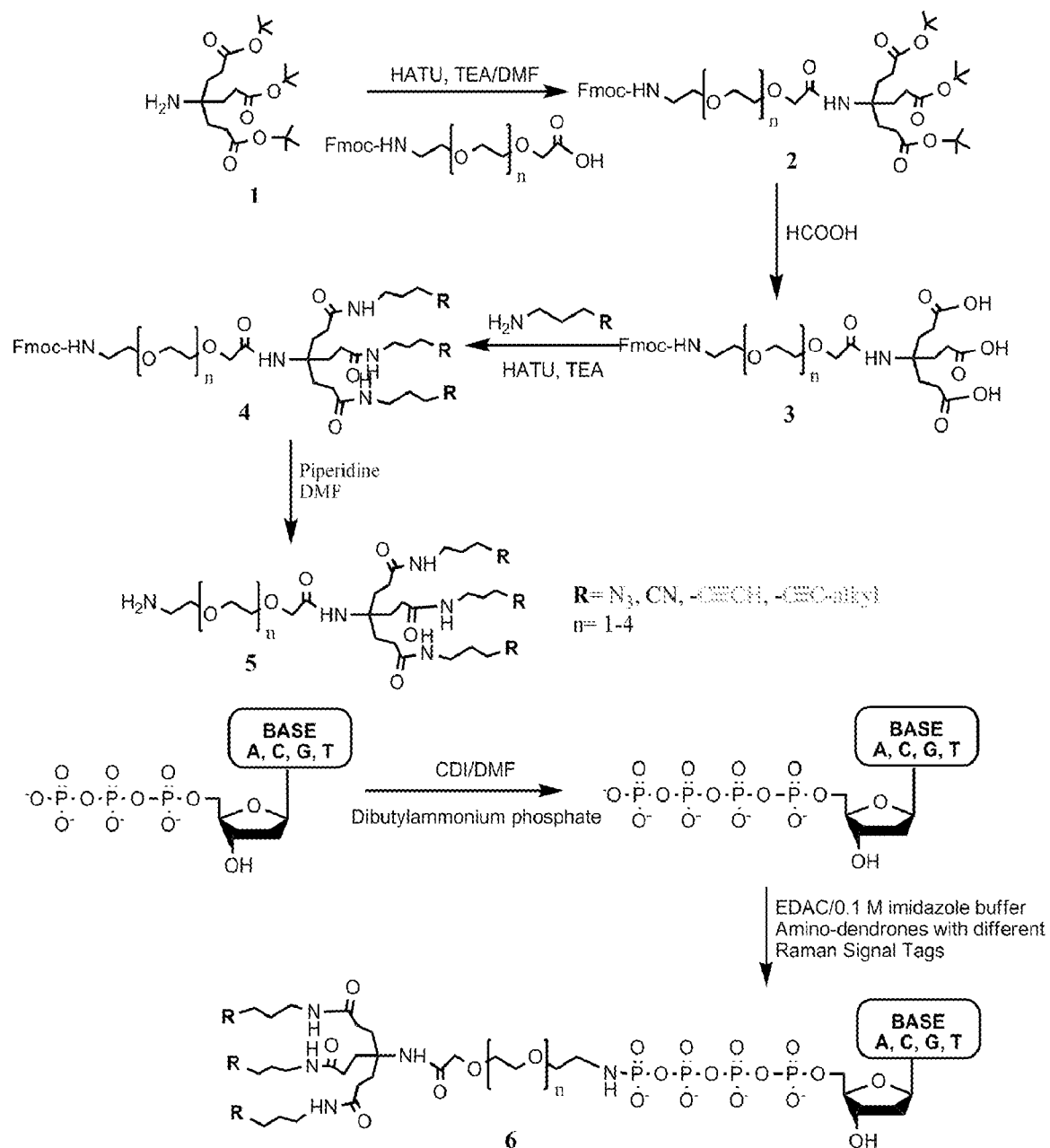


Figure 7

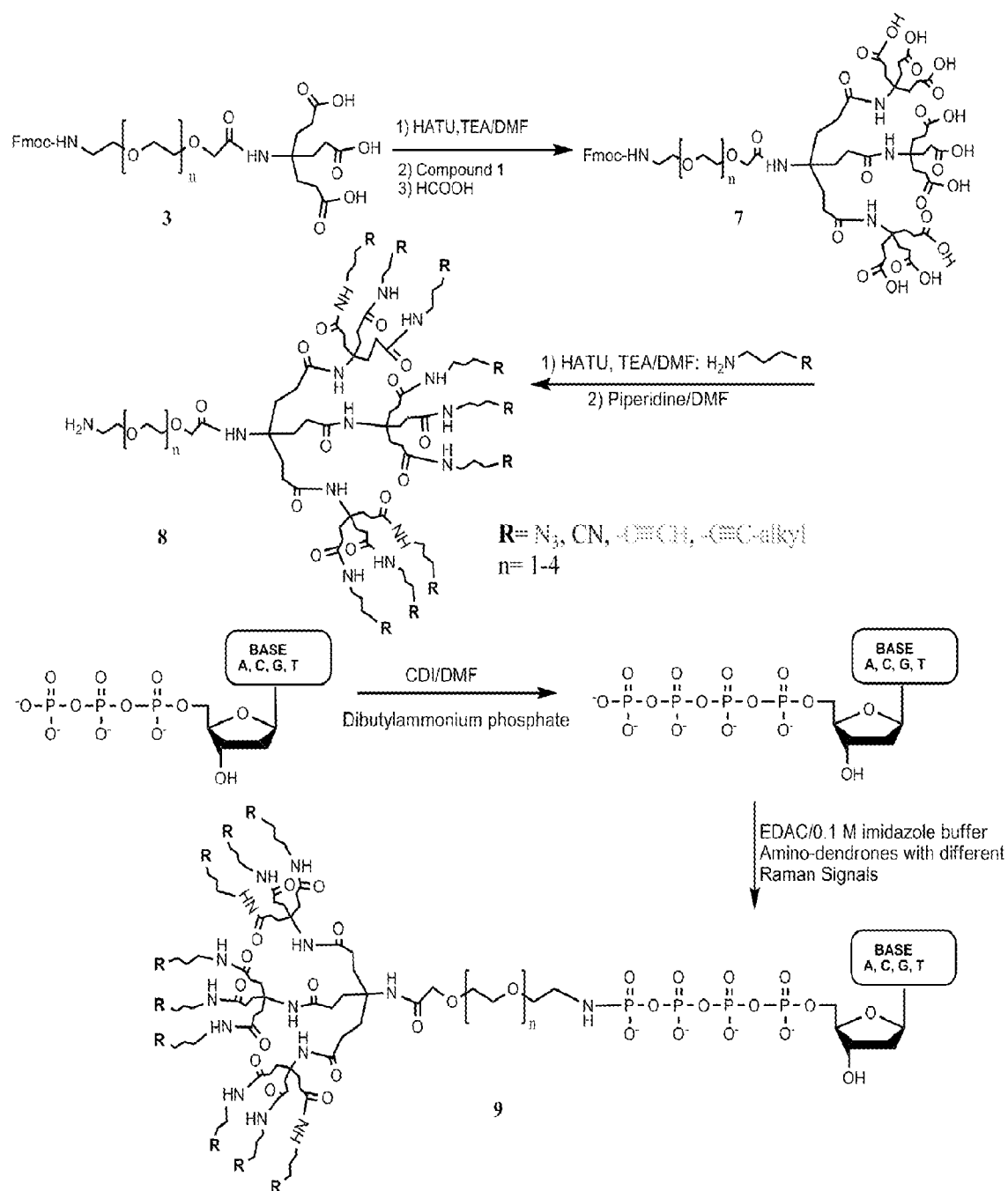
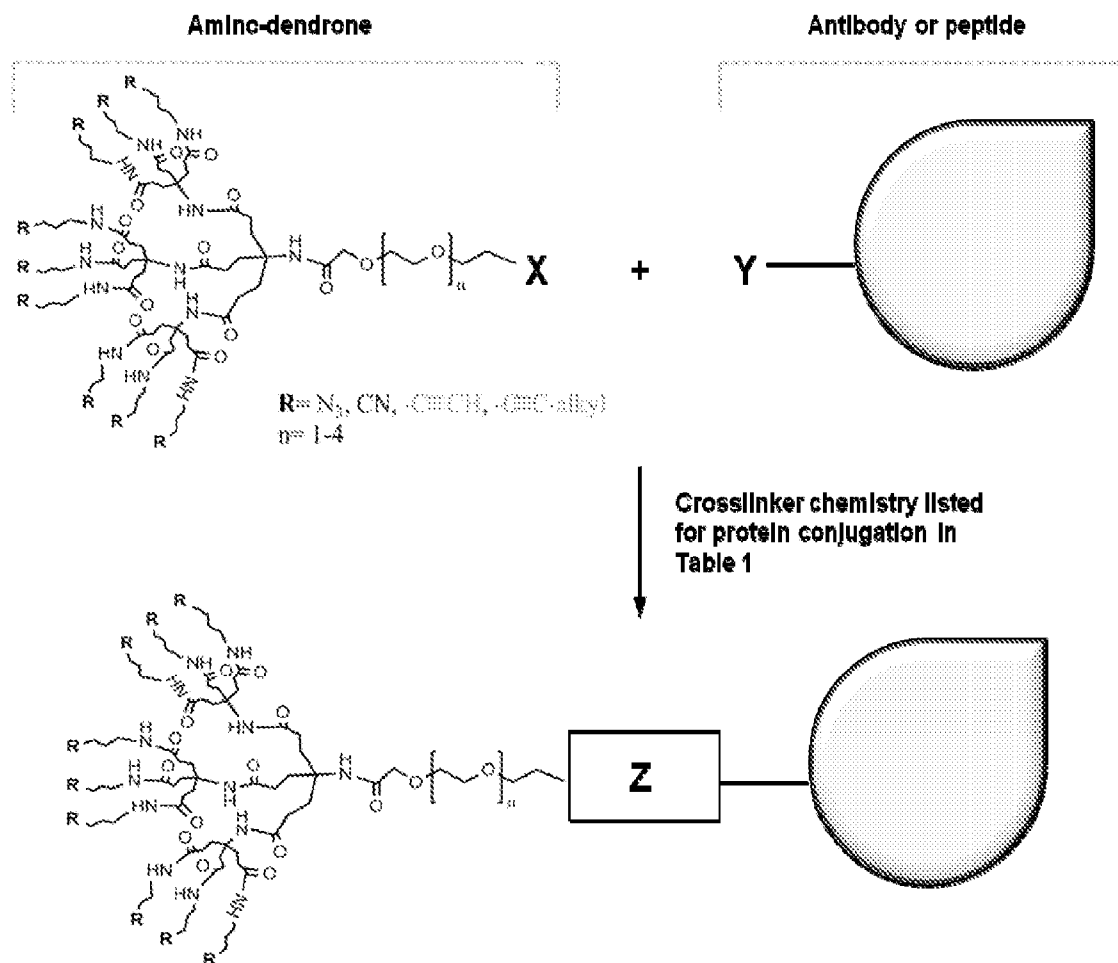
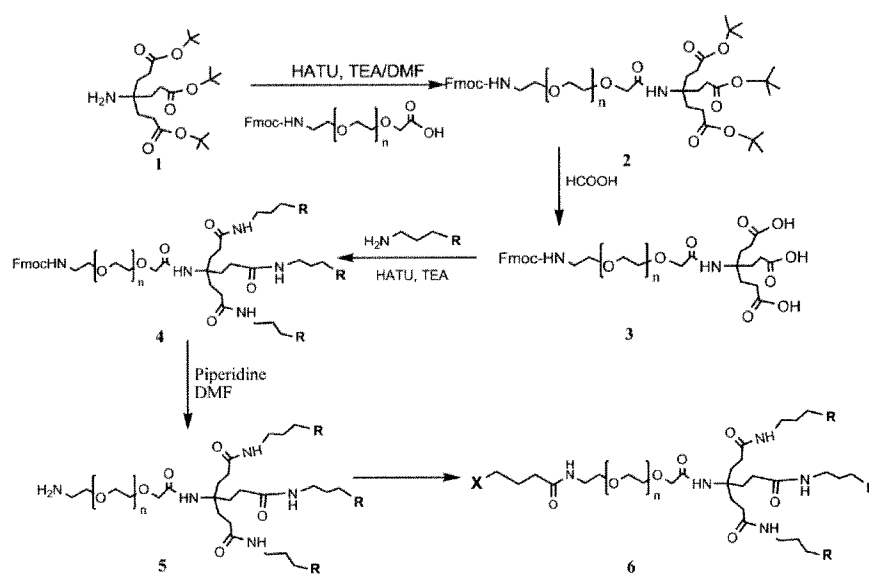


Figure 8



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Figure 9

R= N₃, CN, C≡CH, C=C-R'X= Reactive group to react with -SH or -NH₂ group in protein

n= 1-20

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Figure 10

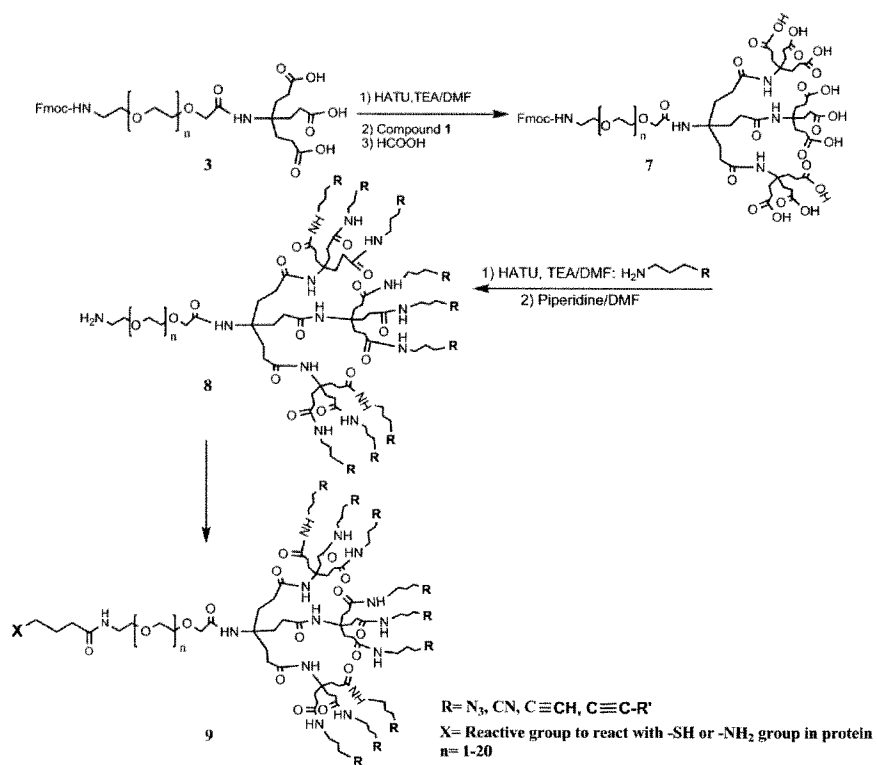
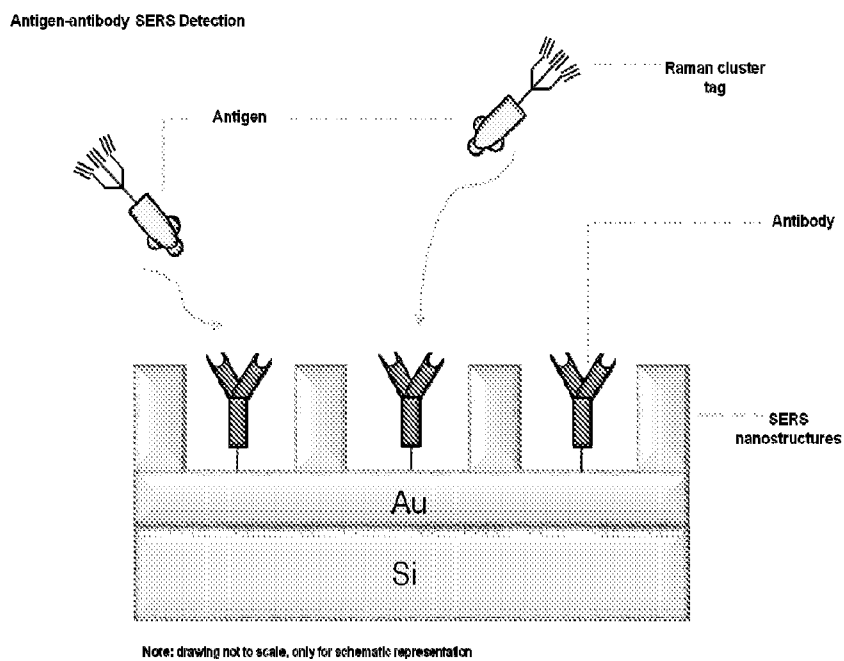


Figure 11

(A)



(B)

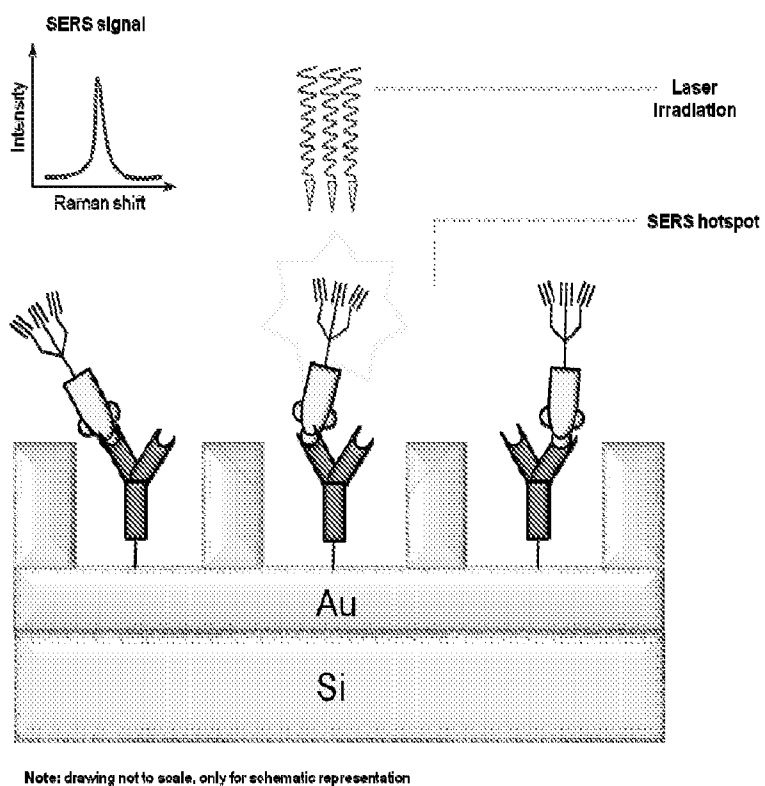
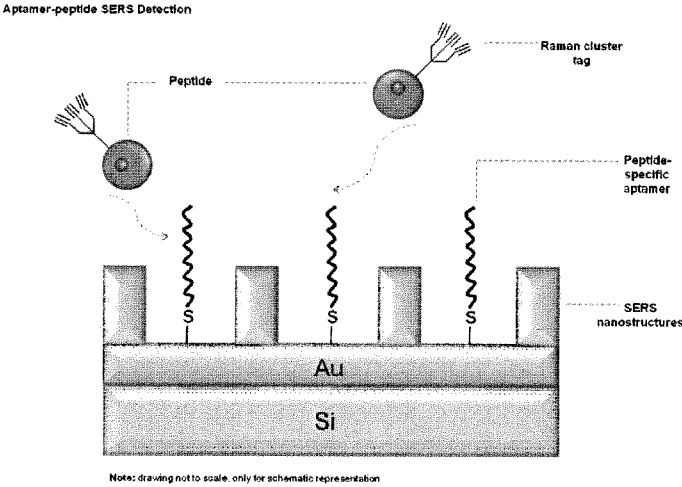
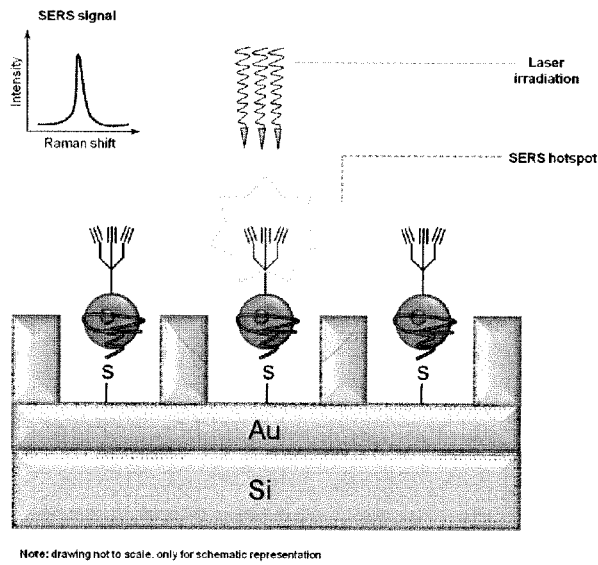


Figure 12

(A)



(B)



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 14/29477

A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - G01J 3/44, C12P 19/38, C12Q 1/68 (2014.01)

USPC - 356/301, 536/26.1, 435/6.12, 435/91.2

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC(8): G01J 3/44, C12P 19/38, C12Q 1/68 (2014.01)

USPC: 356/301, 536/26.1, 435/6.12, 435/91.2

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

USPC: 435/6.1, 536/22.1

(keyword limited; terms below)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

PatBase, Google Patents, Google Scholar, Google Web, search terms: nucleoside polyphosphate analog, deoxyribonucleoside polyphosphate (dNPP), ribonucleoside polyphosphate (rNPP) analog, tagged polyphosphate, Raman spectroscopy, surface-enhanced Raman spectroscopy (SERS), Raman-scattering, -N3, -CN, -CCH -CC-CH3

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	WO 2012/162429 A2 (JU et al.) 29 November 2012 (29.11.2012) pg 3, ln 1-19, pg 24, ln 9-30, pg 27, ln 11-25, pg 43, ln 12-17, 34-37, pg 44, ln 1-2, 30-36, pg 47, ln 16-26, pg 51, ln 1-10, pg 54, ln 29-37, pg 58, ln 8-20, Fig. 5	47-49 ----- 1-3, 14-16, 28-30
Y	WO 2012/083249 A2 (JU et al.) 21 June 2012 (21.06.2012) pg 4, all, pg 5, ln 1-4, 6-28, pg 22, ln 5-25, pg 23, ln 4-37, pg 24, ln 1-3, pg 52, ln 9-19, pg 58, ln 4-28 - pg 59, ln 1-7	1-3, 14-16, 28-30
Y	US 2006/0160113 A1 (KORLACH et al.) 20 July 2006 (20.07.2006) para [0017], [0025], [0036], [0044], [0059], Fig. 4	1-3, 14-16, 28-30



Further documents are listed in the continuation of Box C.



* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

03 July 2014 (03.07.2014)

Date of mailing of the international search report

11 AUG 2014

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US, Commissioner for Patents
P.O. Box 1450, Alexandria, Virginia 22313-1450

Facsimile No. 571-273-3201

Authorized officer:

Lee W. Young

PCT Helpdesk: 571-272-4300
PCT OSP: 571-272-7774

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 14/29477

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☒ Claims Nos.: 4-13, 17-27, 31-46, 50-74
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.