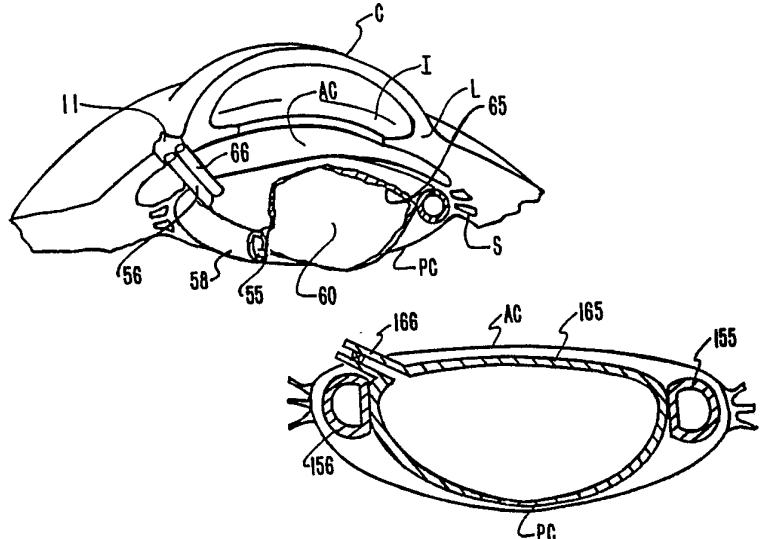


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(21) International Application Number: PCT/US89/05029 (22) International Filing Date: 8 November 1989 (08.11.89) (71)(72) Applicant and Inventor: PATEL, Jayant, K. [US/US]; 25 South Raymond, #204, Alhambra, CA 91801 (US). (74) Agents: BERG, Richard, P. et al.; Ladas & Parry, 3600 Wilshire Boulevard, Suite 1520, Los Angeles, CA 90010 (US). (81) Designated States: AT (European patent), BE (European patent), CH (European patent), DE (European patent)*, FR (European patent), GB (European patent), IT (Euro- pean patent), LU (European patent), NL (European pa- tent), SE (European patent).		Published <i>With international search report.</i>
(54) Title: EXPANDABLE LENS REPLACEMENT <div style="text-align: center;">  </div> (57) Abstract <p>A method and apparatus for implanting synthetic lenses into a human eye (E) in which the capsular bag (AC, PC) is first evacuated of the natural lens substance (LS) and thereafter a peripheral spreader (55, 155, 156) is inserted into the evacuated capsular bag (AC, PC) within which an expandable lens sack (50, 165) is received. In one alternative the spreader (55) forms the exterior position of the lens and is thus inserted as a collapsed structure into the capsular bag (AC, PC) and in the other alternative the spreader (155, 156) comprises a plurality of arcuate segments (155, 156) expelled through a needle into the lens capsule (AC, PC).</p>		

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EXPANDABLE LENS REPLACEMENTBACKGROUND OF THE INVENTIONField of the Invention

5 The present invention relates to surgically inserted intraocular lenses, and more particularly to the apparatus and method for inserting liquid filled lenses into the natural capsular bag of an eye.

Description of the Prior Art

10 Surgical implantation of synthetic intraocular lenses is a known practice in the treatment of optical disease cosmetic enhancements, and is a method of preference in the treatment of cataracts. Most typically the implanted lens is of a hard material (acrylic or silicon polymer) inserted in the ciliary
15 sulcus or within the natural lens capsule on the eye after removal of the anterior capsule and the lens substance. While acceptable in many instances, a hard lens implant occasionally causes irritation.

20 While widely practiced, both the hard lens and the soft lens implants are large and thus entail extensive surgical incision with consequent extensive trauma. More importantly, lens shape, and position must be selected at the time of surgery and the lens must be securely fixed against movement. All these
25 factors prompted alternative procedures, particularly procedures which permit post-operative lens modification with minimal trauma.

One such procedure is described in U.S. Patent 4,373,218 to Schackar. This procedure entails the insertion of an expandable sack into the cavity previously occupied by the lens capsule of the eye. A
5 tube or neck projecting from the sack is then available for adding or withdrawing fluid which thus controls the inserted shape of the sack.

While suitable for the purposes intended this last procedure entails extraction of the natural lens
10 capsule, an event coupled with unavoidable trauma, and the movement of vitreous liquid anteriorly. Accordingly, less traumatic corrections of a diseased eye are extensively sought and it is one such technique that is disclosed herein.

15 Summary of the Invention

It is, therefore, the general purpose and object of the present invention to produce a lens replacement technique which substantially retains the natural lens capsule and eliminates the need for a large surgical
20 incision.

Other objects of the invention are to provide a technique for lens replacement with minimal trauma.

These and other purposes and objects are uniquely resolved by the instant technique which is
25 best described by reference to the natural processes occurring in the eye. In the human eye the posterior and anterior lens capsules contain lens substance which occasionally is diseased, as in cataract, and thus is evacuated to restore full vision. When the lens
30 substance is evacuated the intraocular fluid pressure collapses the anterior and posterior lens capsules into proximity which then causes natural regrowth of the lens substance. This regrowth commences dominantly in the capsular periphery and occasionally in other
35 capsular locations. See "Lens Refilling and Regrowth of Lens Substance in the Rabbit Eye: by Julius Kessler,

M.D., Annals of Ophthalmology, August, 1975 at pp. 1059-1062. This regrowth of lens substance, furthermore, includes fibrous content which, while inconsequential to hard lens implants, produces some distortion with time to soft lens implants or the implants of fluid filled lenses. This regrowth effect is particularly pronounced in young patients.

Accordingly, the recent prior art suggests hard lens replacements which are sometimes anchored in placed by peripheral ribs or radial extension as in U.S. Patents 4,589,147 to Nevyas, 4,591,358 and 4,477,931 to Kelman and 4,073,014 to Poler. In each instance large and therefore traumatic incisions are necessary in the insertion procedure of the intraocular lens. Less invasive techniques, exemplified by the teachings of U.S. Patent 4,542,542 to Wright, suggest the removal of the lens substance in the capsular bag and replacement by polymeric compositions which then cure in place. This procedure results in a lens implant of a shape defined by the capsular bag of the eye and the pressure at which the compositions are introduced.

To provide a minimally invasive lens replacement technique which is of a more predictable shape and which also allows for adjustment of the lens shape I have devised a method in which a captic or peripheral spreader is inserted into the evacuated capsular bag and within which an expandable sack is received. The expandable sack is then filled with clear fluid to pressure providing the lens shape by controlled expansion of shaped sack surfaces.

In one preferred form the sack and the peripheral spreader are parts of an expandable structure, the peripheral spreader forming a concentric, toroidal, cavity around the central sack. Both of these expandable structures are formed of a resilient, collapsible, film which is rolled or folded

and inserted into the evacuated capsular bag. Thereafter, the peripheral spreader and sack volumes are separately expanded, the first to separate the anterior, equatorial and posterior capsules and the
5 second to define the necessary optical shape.

Alternatively, the peripheral spreaders may be formed as arcuate segments insertable seriatim through a small opening into the capsular bag, the same opening being utilized for the insertion of the collapsed sack
10 to minimize trauma.

In both implementations the sack material may be formed in distributed varying thickness which then controls the resulting lens shape by internal pressure. Moreover, expandable sacks may include ports, valves
15 and/or filter extensions through which the internal fluid is injected.

In both forms the sack is implanted without the usual removal of the natural capsule bag, the peripheral spreader then separating the anterior and
20 posterior capsules to limit the problematic regrowth of lens substance. The procedure thus summarized minimized the operative trauma while controlling growth and at the same time permitting post-surgical adjustments to the lens.

25 Brief Description of the Drawings

FIG. 1 is a sectional view of a human eye useful in setting out the invention herein;

FIG. 2 is a sectional detail of the eye shown in FIG. 1 illustrating the initial step of the inventive
30 procedure set out herein;

FIG. 3 is yet another sectional detail of the eye illustrating a further step of the inventive procedure;

FIG. 4 is a perspective illustration of the eye,
35 in partial section, illustrating a first example of an

implantable lens in accordance with the present invention;

FIG. 5 is a sectional view taken along the line 5-5 of FIG. 4;

5 FIG. 6 is yet another sectional detail, in perspective, illustrating an alternative implant sequence in accordance with the present invention;

FIG. 7 is a further sectional detail in the sequence shown in FIG. 6; and

10 FIG. 8 is a sectional view taken along line 8-8 of FIG. 7.

Description of the Preferred Embodiments

As shown in FIG. 1, the anatomical structure of a human eye E is characterized by a cornea C on the
15 frontal segment of the limbus L extending over the variable aperture in the iris I. Below the iris I, suspended on ligaments (zonules) S is a capsular structure CS defined by an anterior capsule AC and a
20 posterior capsule PC between which the clear lens substance LS is contained. Muscular contraction at the ligaments (zonules) S then, by tensile extension, modifies the shape of the lens capsules and thus modifies the optical path of the lens.

A variety of pathological processes are known
25 which in one way or another affect the lens. Most prominent amongst these processes is cataract. The pathology of cataract involves the lens substance LS with a consequent reduction of optical functions through the lens.

30 Typically the effect of this disease is corrected by the removal of the lens including one or both capsular membranes. The function of the lens is then replaced either by a synthetic lens implant or by extremely thick and heavy glasses which must thereafter
35 be consistently worn.

Heretofore techniques were devised for ultrasonic or mechanical withdrawal of the diseased lens substance from within the capsular bag. Typically, such withdrawal is by way of narrow instruments e.g., a syringe, illustrated in FIG. 2 by a syringe needle N. To evacuate the lens substance LS a narrow opening 11 is made in the cornea or limbus of the eye and through this opening the needle N is extended to pass through the anterior capsule AC. The lens substance LS is then evacuated from between the capsules.

In accordance with the present invention, following the evacuation of the lens substance LS, a second, somewhat larger, syringe needle 21, illustrated in FIG. 3, is inserted through opening 11. Of course, other tools, like forceps, may be useful for the purpose herein. Needle 21 includes a central bore 22 in which a collapsed lens sack, generally at 50, is stored in a longitudinal, rolled column. A syringe 23, attached to needle 22, is then useful to expel the sack.

As shown in FIGS. 4 and 5, sack 50 may comprise two concentric cavities 55 and 65, cavity 55 formed as a toroidal, peripheral, tube around the central cavity 65. This concentric structure may be formed from a variety of resilient, biologically acceptable materials and may be provided with a resilient manifold, or tubular projections, 56 and 66 extending to communicate with cavities 55 and 65. When collapsed and folded for storage within needle 21 these tubular projections 56 and 66 are aligned rearmost, towards the syringe, within bore 22 and when the sack is expelled into the evacuated capsular bag formed by capsules AC and PC the projections emerge towards the incision 11. In this position both cavities 55 and 65 may be selectively expanded by further injection of clear fluid from a fine tipped syringe 57 to the shape defined by the

fluid pressure and the elastic coefficient of the sack walls.

By particular reference to FIGS. 4 and 5 the inserted sack 50, formed of an elastomer-like clear polyethylene or silicon, includes the aforementioned peripheral cavity 55 extending about the central lens sack 65. Cavity 55 operates as a capsular spreader and thus its toroidal wall 58 is formed at a thickness sufficient for partial expansion by the elastic stiffness alone. Thus once expelled from the insertion needle 21 into the evacuated capsular bag, the toroidal cavity 55 begins to uncurl and with the manipulative assistance by the attending physician, is positioned in the capsular bag for peripheral alignment. The lens sack 65, in turn, may comprise various wall thicknesses shown at 68a and b which, at pressure effect the desired lens shape. Accordingly, the tubular projections 56 and 66 are useful both to effect the positioning of the unexpanded sack 50 and thereafter for the injection of the internal fluid 60 once so positioned. For this purpose, the projections may be provided with self-sealing orifices 56a and 66a or may be clamped off and sealed in place.

In the alternative, as shown in FIGS. 6-8, a plurality of arcuate, resilient spreader segments 155 and 156 may be inserted by expulsion from a syringe needle 121 into the evacuated capsular bag. To provide manipulative convenience, needle 121 may be arced in the direction of the arc pre-stress of each segment 155 and 156. Once in position around the capsular periphery, the spreaders then present a central cavity into which a lens sack 165, provided with a tubular projection 166, is received. This lens capsule, once again, may be stored in a syringe needle 123 to be expelled therefrom by syringe pressure, and when expelled is thereafter pressurized in the manner described above.

In both examples the peripheral juncture of the anterior and posterior capsules AC and DC is separated to limit the post-operative regrowth of lens substance. This mechanical spreading of the capsular membranes for receipt of the lens sack is particularly useful since the natural capsular membranes are extremely thin and delicate and thus easily torn. The incidence of capsular tearing is thus reduced particularly with well rounded edges on the resilient inserts described herein.

Obviously, many modifications and changes may be made to the foregoing without departing from the spirit of the invention. It is therefore intended that the scope of the invention be determined solely on the claims appended hereto.

CLAIMS

1. A method for replacing eyeglasses with implanted synthetic lenses into the natural capsular bag of a human eye, comprising the steps of:

5 making an incision in said eye communicating into the interior of said capsular bag;

evacuating the natural lens substance from said capsular bag through said incision;

10 inserting a collapsed, expandable lens insert into a hollow needle, said lens insert including an exterior toroidal cavity formed at the periphery of a substantially circular lens cavity;

15 expelling said lens insert from said hollow needle through said incision into said evacuated capsular bag;

pressurizing by injecting liquid under pressure into said toroidal cavity; and

expanding by liquid pressure said lens cavity.

20 2. A method in accordance with claim 1 wherein: said toroidal cavity and said lens cavity each comprise resilient material structures, each further including elongate, hollow projections proximately aligned to extend from said capsular bag into said incision.

25 3. A method in accordance with claim 2 further comprising the steps of:

aligning said lens insert in said capsular bag in the course of expelling thereof the present said toroidal cavity thereof towards the periphery of said
30 capsular bag.

4. A method for replacing eyeglasses by implanting synthetic lenses into the natural capsular bag of an eye, comprising the steps of:

forming an incision in said eye to communicate
5 from the exterior thereof into said capsular bag
evacuating the natural lens substance from said
capsular bag through said incision;

inserting a corresponding resilient spreader
segment into a hollow needle, said spreader segment
10 being of an elongate configuration and pre-stressed to
form an arc segment, when free, of an arc dimension
substantially equal to the peripheral arc of said
capsular bag;

expelling sequentially a plurality of said
15 segments into said capsular bag into a serial alignment
adjacent the periphery thereof;

convolving a resilient lens sack of
substantially circular planform into the interior of a
tubular probe; and

20 ejecting said lens sack into said capsular bag
within said segments.

5. A method according to claim 4 comprising the
further step of:

pressurizing said lens sack after the ejection
25 thereof to a pressure selected for elastic expansion
thereof.

6. Apparatus for implanting a synthetic lens
into the natural capsular bag of an eye, comprising:

a first syringe characterized by a first hollow
30 needle conformed for insertion into said capsular bag;

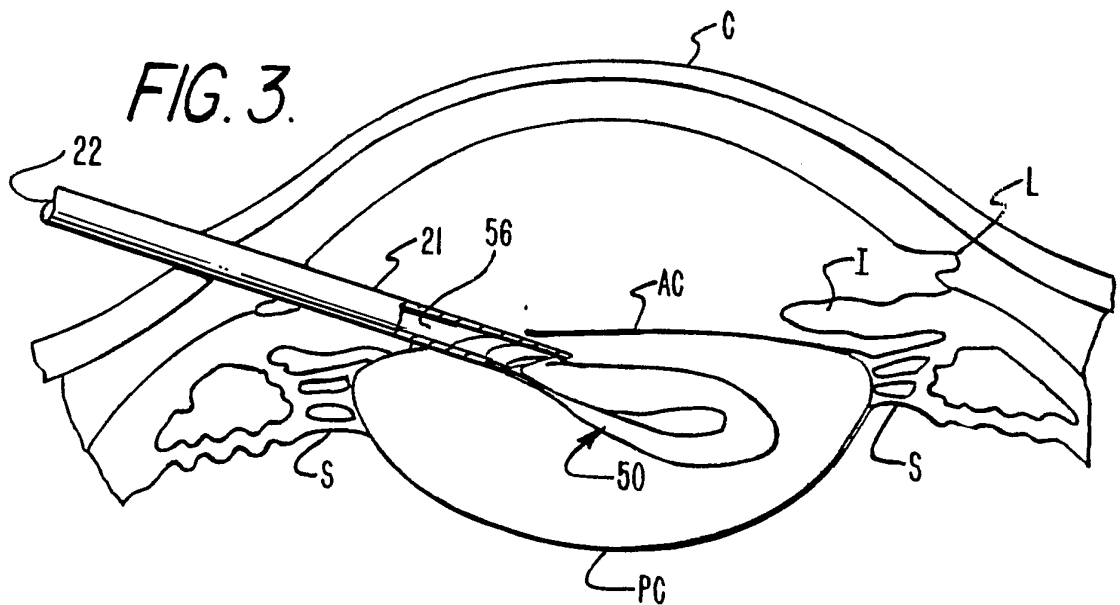
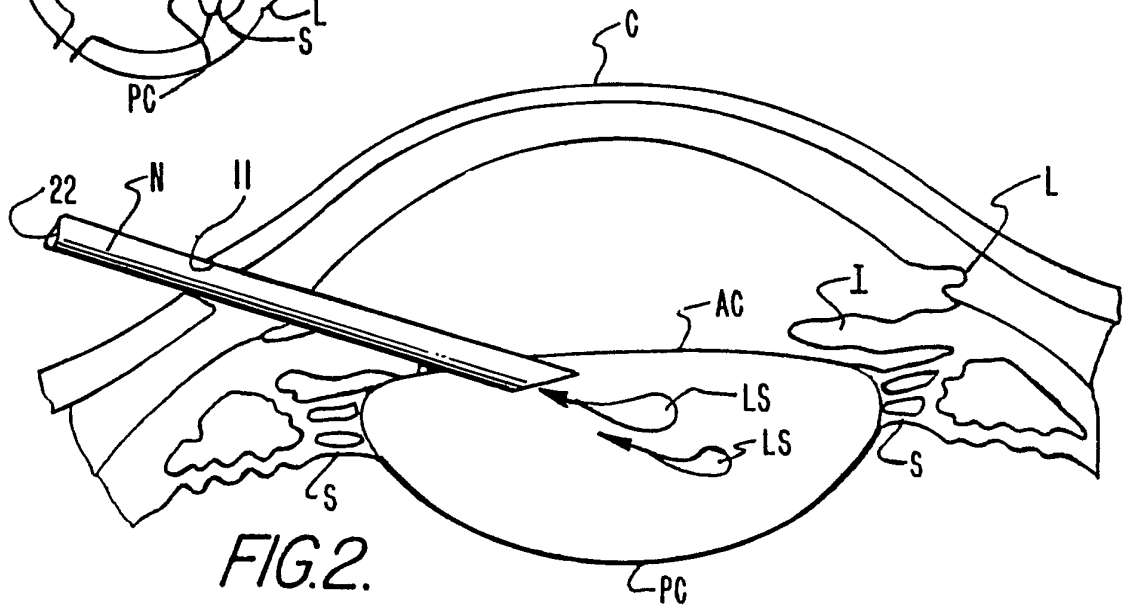
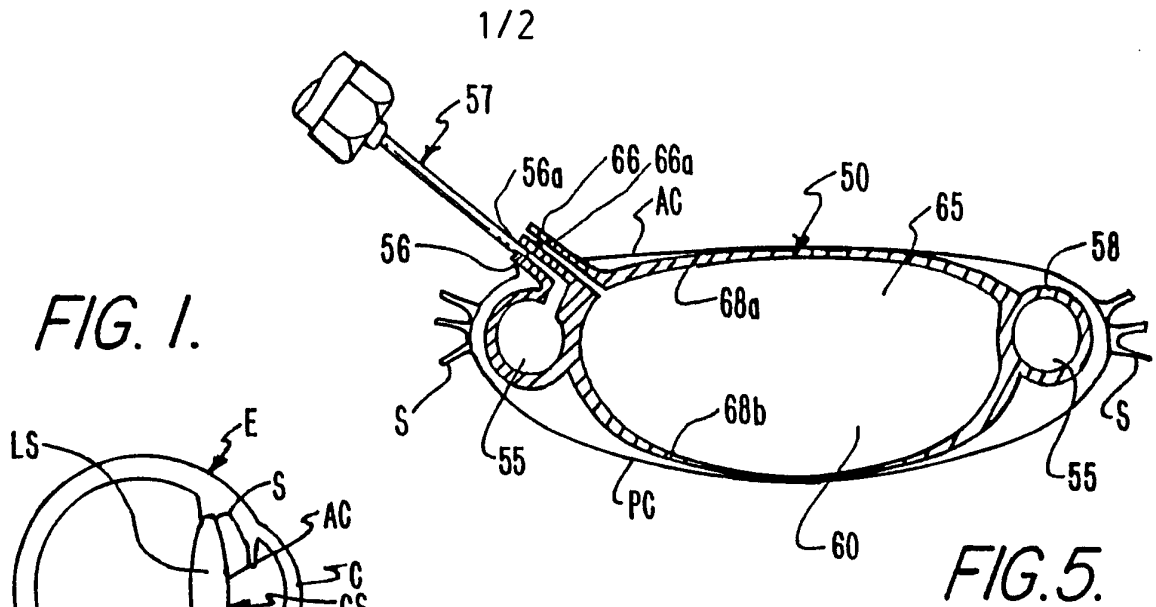
a collapsible thin-membraned, hollow,
elastomeric sack collapsed for receipt in the interior
of said hollow needle, said sack including a tubular
projection provided with a self-sealing valve, said
35 projecting being received in said first needle, said

sack being further characterized by enlarged peripheral edge; and

5 a second syringe provided with a second hollow needle for injecting fluid into said projecting upon insertion of said sack into said capsular bag.

7. Apparatus according to Claim 6 further comprising:

10 an arcuate resilient spreader received in said first needle for insertion into said capsular bag, said spreader being conformed to peripheral shape of said bag.



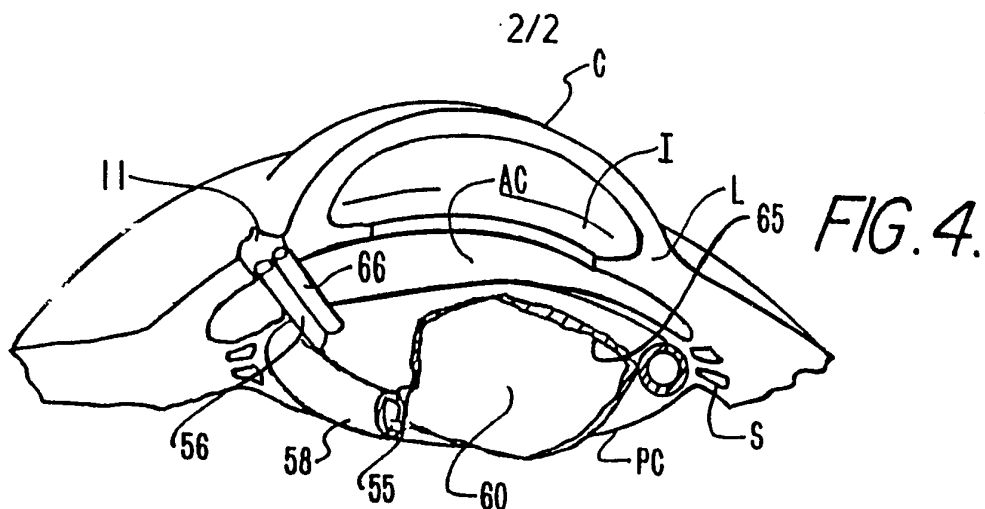


FIG. 8.

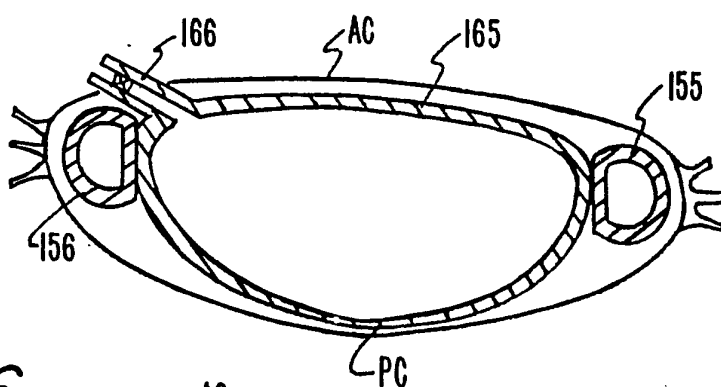
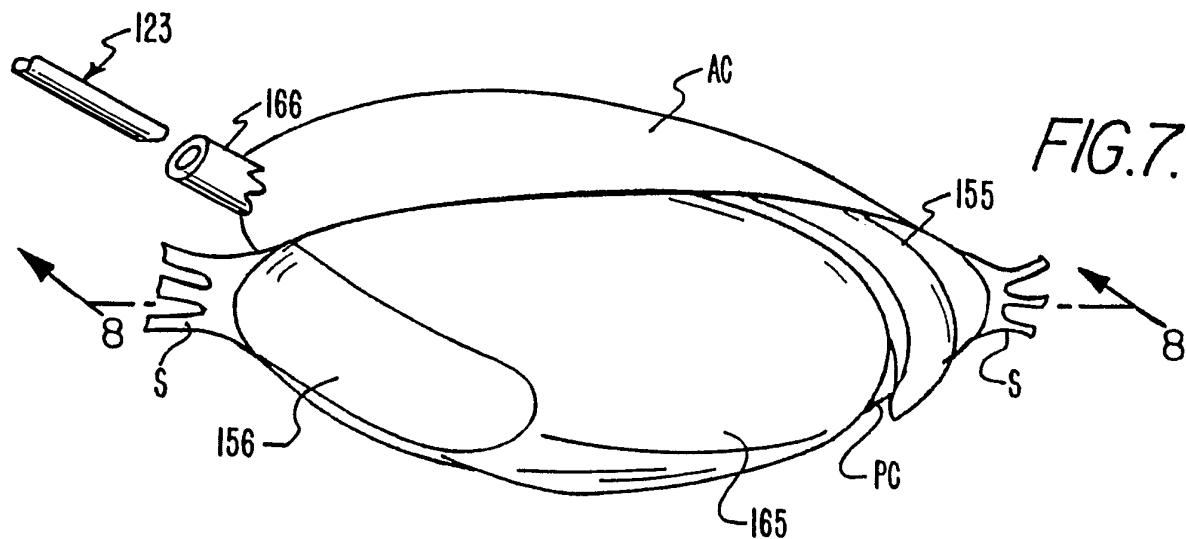
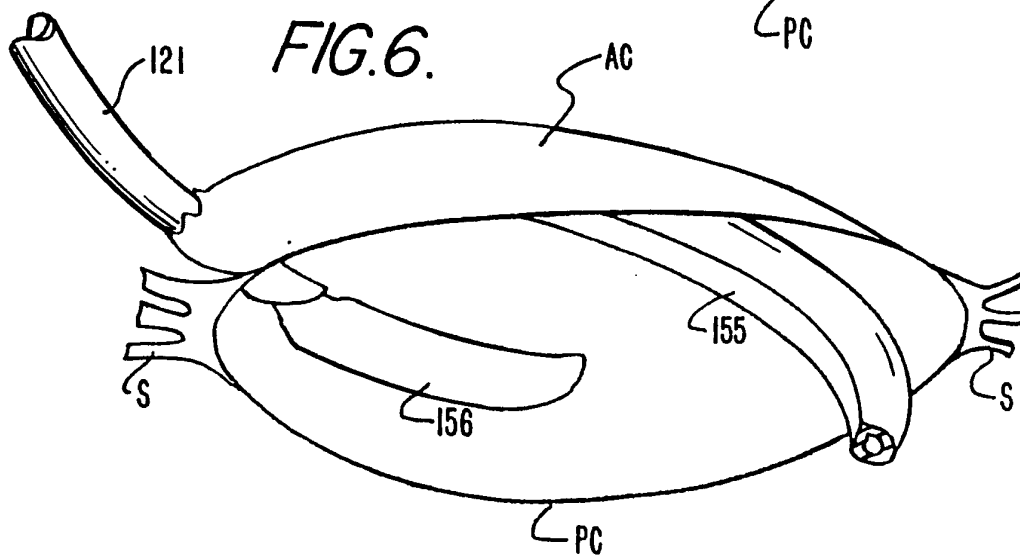


FIG. 6.



INTERNATIONAL SEARCH REPORT

International Application No. PCT/US89/05029

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ⁶		
According to International Patent Classification (IPC) or to both National Classification and IPC		
IPC (5): A61F 2/16		
U.S. Cl. 623/6, 606/107		
II. FIELDS SEARCHED		
Minimum Documentation Searched ⁷		
Classification System	Classification Symbols	
U.S.	623/6, 606/107	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁸		
III. DOCUMENTS CONSIDERED TO BE RELEVANT ⁹		
Category *	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³
Y	US, A, 4,373,218 (Schachar) 15 February 1983 (See Figures 1-4, column 3, lines 3-45 and 54-68, column 4 in its entirety and column 5, lines 1-2).	5
X Y	US, A, 4,585,457 (Kalb) 29 April 1986 (See Figures 1-4, column 2, lines 24-68 and column 3, lines 1-23).	5 5
A	US, A, 4,619,662 (Juergens, Jr.) 28 October 1986 (See column 2 in its entirety, column 3, lines 1-17 and column 4, lines 1-2).	1-7
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>* Special categories of cited documents: ¹⁰</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p> </div> </div>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search		Date of Mailing of this International Search Report
01 MARCH 1990		22 MAR 1990
International Searching Authority		Signature of Authorized Officer
ISA/US		Ronald L. Frinks