

- [54] **METHOD AND APPARATUS FOR GENERATING PLASMA**
- [75] Inventor: **Forrest G. Brayshaw**, Salt Lake City, Utah
- [73] Assignee: **Hogle-Kearns International**, Salt Lake City, Utah
- [22] Filed: **Oct. 12, 1970**
- [21] Appl. No.: **79,840**

Related U.S. Application Data

- [63] Continuation-in-part of Ser. No. 704,500, Jan. 12, 1968, abandoned, which is a continuation-in-part of Ser. No. 651,224, July 5, 1967, abandoned.
- [52] U.S. Cl. **128/303.14; 313/231.3; 315/111.2**
- [51] Int. Cl.²..... **A61B 17/32; A61N 3/00**
- [58] **Field of Search** 128/303.1, 303.12-303.17, 128/395, 396, 404, 413, 414, 421, 422, 423; 219/121 P; 315/111.2; 313/231.3, 0.6; 230/43

References Cited

UNITED STATES PATENTS

3,434,476	3/1969	Shaw et al.	128/303.1
3,483,107	12/1969	Schwartz	315/111
3,566,184	2/1971	Maskell	315/111

FOREIGN PATENTS OR APPLICATIONS

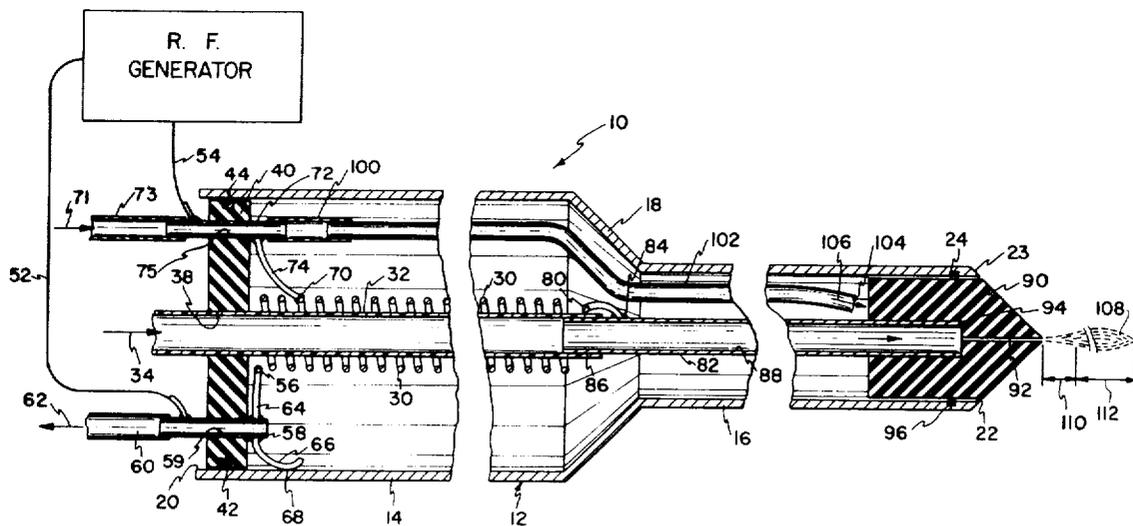
1,052,959	3/1959	Germany	315/111
-----------	--------	---------	---------

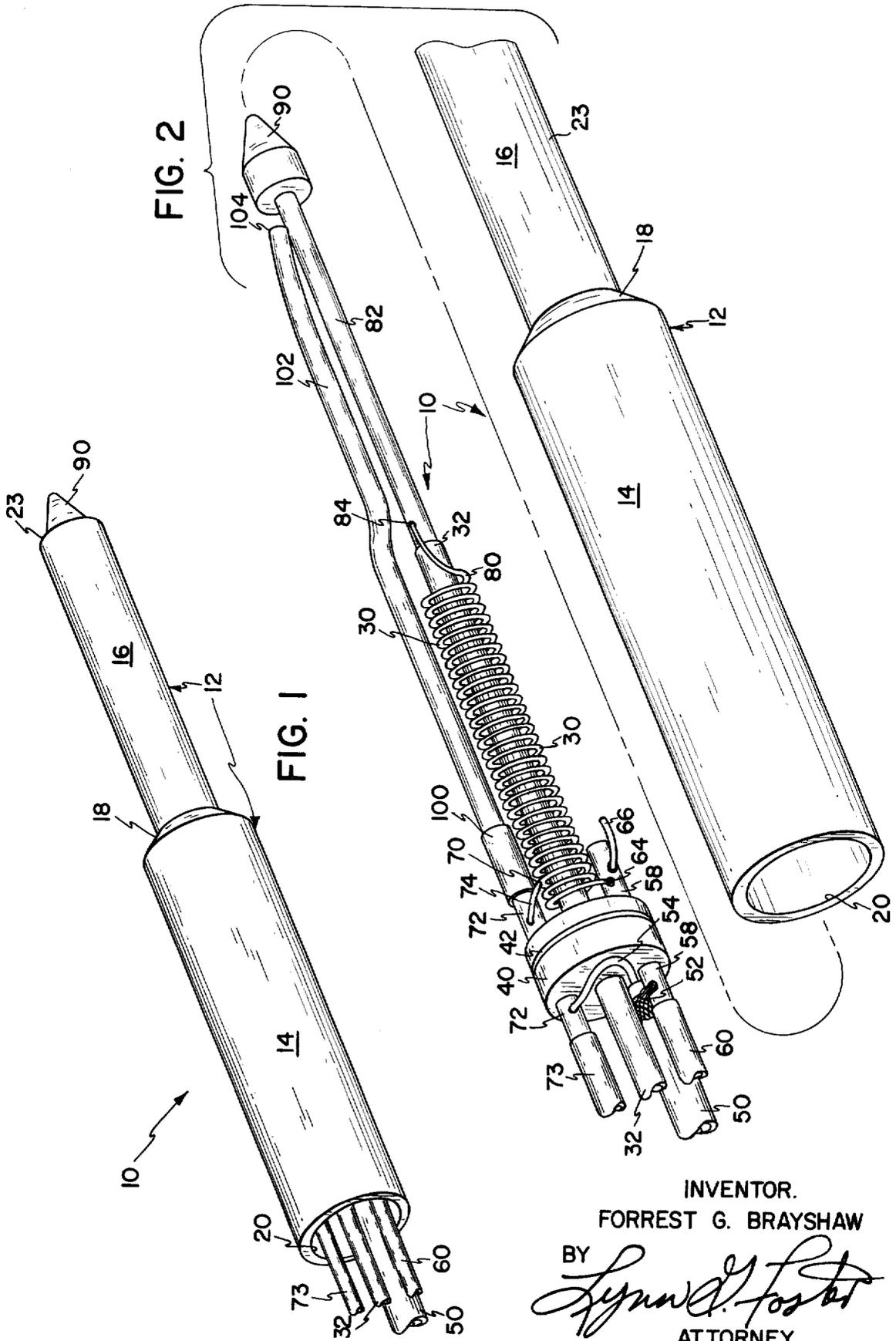
Primary Examiner—William E. Kamm
 Attorney, Agent, or Firm—David V. Trask

[57] **ABSTRACT**

Apparatus for producing an electric-field plasma is constructed with a hollow, electrically-conductive conduit connected in series with a radio frequency resonant circuit. The spatial relationship of the hollow conduit and the inductance of the resonant circuit is selected to avoid transformer action. The components of the generator (including the plasma) interact to effect a high Q under unloaded conditions and a low Q under loaded conditions. Flowable material, usually including a carrier gas, is displaced through the conduit while RF energy is applied to the resonant circuit. By proper adjustment of the process parameters, the gas may be excited to and maintained at preselected energization levels. Plasmas may be initiated by the application of RF energy alone without auxiliary initiation techniques. Plasmas generated at ambient pressures may optionally be either at close to thermal equilibrium or at substantial thermal nonequilibrium. Plasmas at thermal nonequilibrium may comprise noble gases (or other substances susceptible to excitation to a metastable state) in a metastable state.

15 Claims, 5 Drawing Figures





INVENTOR.
FORREST G. BRAYSHAW

BY
Edward J. Foster
ATTORNEY

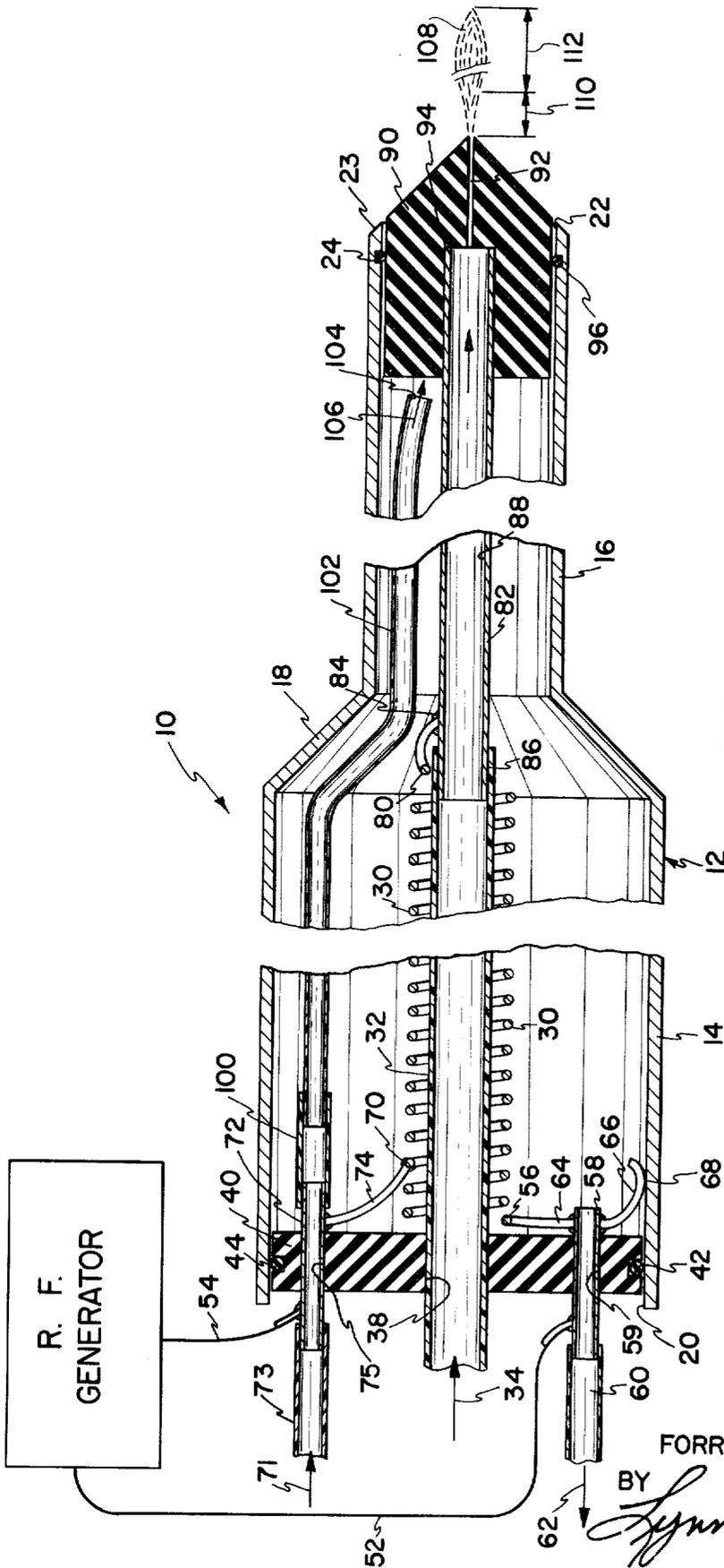


FIG. 3

INVENTOR.
FORREST G. BRAYSHAW

BY *Lynn D. Foster*
ATTORNEY

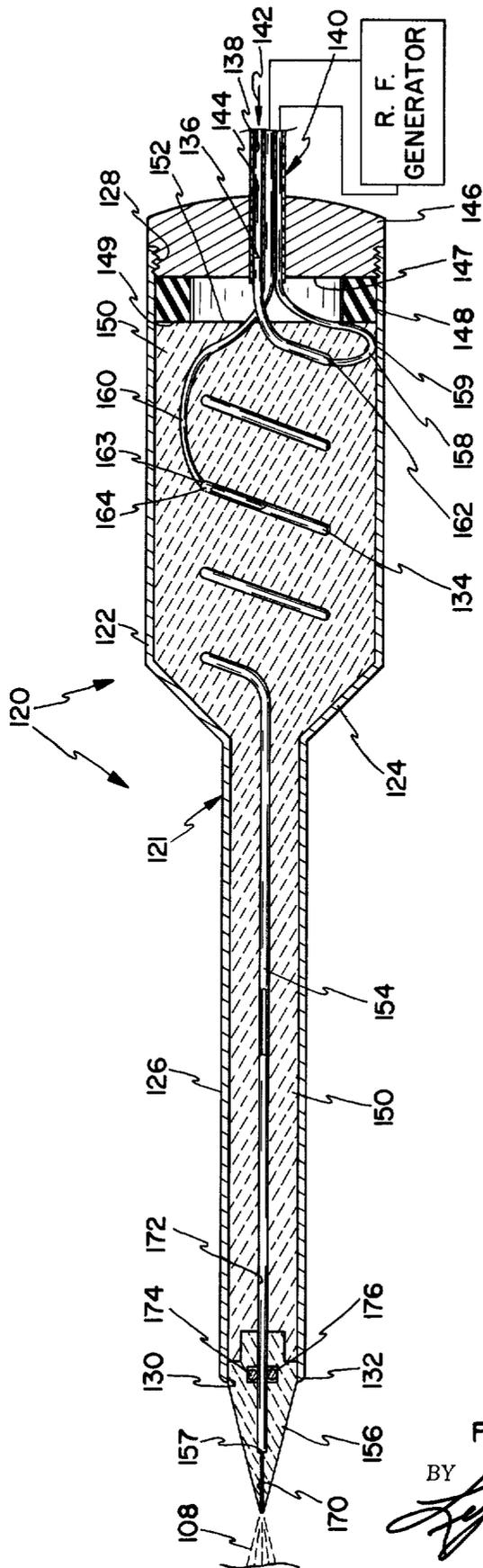


FIG. 4

INVENTOR.
FORREST G. BRAYSHAW

BY *Lyman J. Foster*
ATTORNEY

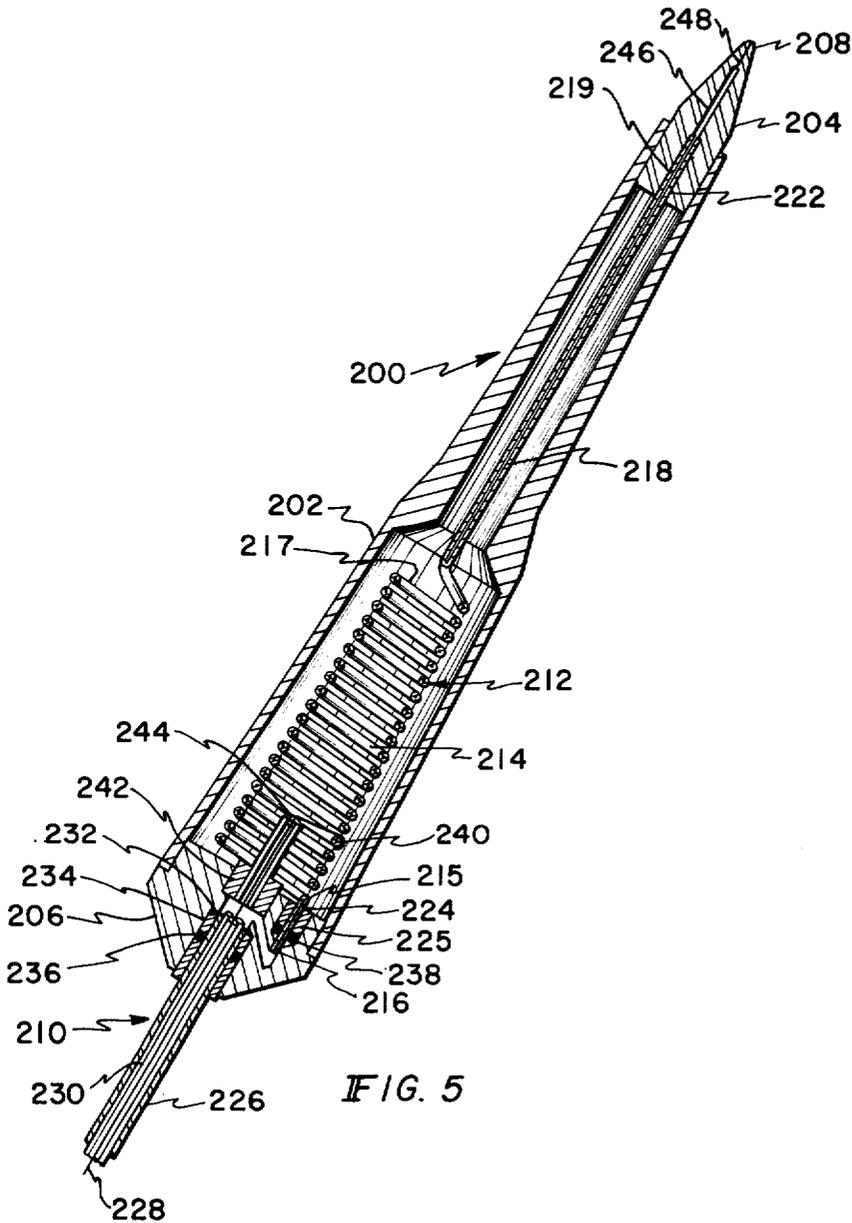


FIG. 5

INVENTOR
Forrest G. Brayshaw
BY *[Signature]*
His Attorney

METHOD AND APPARATUS FOR GENERATING PLASMA

RELATED APPLICATIONS

This application is a continuation-in-part of commonly assigned, copending application Ser. No. 704,500, filed Jan. 12, 1968, now abandoned, which is a continuation-in-part of commonly assigned application Ser. No. 651,224, filed July 5, 1967 (now abandoned).

BACKGROUND OF THE INVENTION

Field

This invention relates to electric-field plasmas and provides methods and apparatus for producing such plasmas. It is particularly directed to the production of "cold plasmas," (which may include gases in the "metastable" state) without the necessity for maintaining low pressure conditions, and to the self-initiation of plasmas.

State of the Art

Various methods for plasma generation are known. Of most interest, from the standpoint of this invention, are those which involve the release of electrical energy into a carrier gas, notably argon, helium, nitrogen (including air), and hydrogen. Such plasmas may be termed "electric-field plasmas" and are commonly classified as "arc," "glow discharge," or "corona discharge," depending upon the physical condition of the plasma and its appearance. When the electrical energy released into the carrier gas is alternating current (ac), any of the aforementioned classes of electric-field plasmas may exist with or without electrodes in contact with the carrier gas.

Glow discharge phenomena are well known. The most familiar applications of such phenomena are in lighting, e.g., in fluorescent, neon, sodium, and mercury lamps. Glow discharge plasmas are often described as cold plasmas because the energy density and wall-heating effect of such plasmas are very low. Such plasmas may also be regarded as being at thermal nonequilibrium because their gas temperatures are characteristically much lower than their "electron temperatures." The term electron temperature denotes a temperature (usually several thousand degrees) corresponding to the energy possessed by the electrons in a plasma. It is commonly understood that the operating conditions productive of cold plasmas are high voltage (1-100kV) and low pressure (usually below 10 torr). The term cold plasma, as used in the following specification and claims, is intended to include plasmas at thermal nonequilibrium which evidence a low wall-heating effect, whether or not such plasmas exhibit the appearance and other physical characteristics normally associated with the specific cold plasma and glow discharge phenomena heretofore recognized in the art. According to this invention, cold plasmas may be produced which possess a very high energy density, for example.

As used in this specification and in the appended claims, the term plasma is used in its broadest context and refers to an at least partially ionized gas, which may include molecules, atoms, ions, electrons, and free radicals, each moving with a velocity dependent upon its mass and its temperature. (A plasma is regarded as at thermal equilibrium only when the distribution of its particle velocities is such that the average energy of

each species is approximately the same.) The average energy of a particle (e.g., an electron) can be expressed as a temperature (e.g., electron temperature) according to the relationship $\frac{1}{2}mV^2 = (3/2)kT$, where m is the mass of the particle, V is the root-mean-square velocity of the particle, k is Boltzmann's constant, and T is the absolute temperature of the particle. The term plasma includes gases ionized to a very limited extent, e.g., 0.1 percent of its molecules, although it is often preferred to refer to such gases as being in an "energized" state. The term energized gas refers to any gas, whether ionized or not, which is storing energy, as a result of the application of electrical energy, in a form capable of subsequent release as heat and/or light. This term thus includes a gas which is ionized, disassociated, or in an "excited" state, including the "metastable" state. A gas is considered to be in an excited state when an electron of an inner orbital shell of a species (molecules, atoms, and/or ions) has absorbed a quantum of energy so that it is at a higher than its ground state energy level with respect to the nucleus; it is considered to be in the metastable state when an inner electron is excited to a level from which the return to ground state via electromagnetic emission is of extremely low probability. A species in the metastable state generally loses its excess energy either by imparting kinetic energy to its surroundings or by exciting other molecules, atoms or ions.

U.S. Pat. No. 3,424,533 discloses and claims an apparatus for spectrographic analysis which relies upon a radio frequency (RF) "discharge" to vaporize the sample. The apparatus disclosed includes an RF oscillator with a hollow induction coil of its output resonant circuit surrounding and electrically connected to a hollow conductor. The device is constructed such that there is transformer action between the induction coil and the hollow conductor. An atomized sample is introduced with a carrier gas through the induction coil to the central conductor, and the discharge originates at the opposite end of the conductor. The sample is "vaporized" by the plasma so it is apparent that the plasma produced is very hot.

A similar apparatus is disclosed in an article by Roddy, et al., "The Radio-Frequency Plasma Torch," *Electronics World*, February, 1961, Vol. 65, pp 29-31 and 117. The apparatus of this article also includes a central conductor (which terminates as a torch tip) within the inductor of the output resonant circuit of a conventional tuned-plate, untuned-grid, RF oscillator. The plate circuit tap point on the inductor and the degree of feedback of the grid circuit are adjusted to obtain matched operation with an ignited flame. According to the article, operation of the torch takes place at relatively low pressures and low gas velocities, and it is necessary to provide a source of free electrons to initiate the plasma. An auxiliary electrode is used for this purpose. The torch tip is constructed of molybdenum and both the induction coil and the torch are of necessity water-cooled.

General Description of the Invention

The apparatus of this invention may be embodied in various forms and sizes, but in any event, comprises a radio frequency resonant circuit (preferably of the parallel-resonant type) with capacitive and inductive legs selected to effect a high Q at the resonant frequency of the circuit. The inductive leg may include a coil dis-

posed about a gas inlet tube, but in such embodiments the tube is ordinarily constructed of dielectric material to avoid inductive coupling of RF energy to gas flowing through the tube. In any event, transformer action between the inductance of the resonant circuit and the gas inlet tube is avoided, either by proper shielding or by the spatial relationship of these components. The inductive leg is connected at one end to a source of high RF voltage, and at the other end to a reference potential of much lower magnitude, typically the chassis ground of the RF source.

The electrical parameters of the inductive and capacitive legs of the resonant circuit are selected such that under "no load" conditions (e.g., prior to the initiation of a plasma), its effective Q is very high, but under load conditions (when current is being drawn from the resonant circuit, e.g., when the plasma is coupled to ground, a workpiece, or the atmosphere) its effective Q drops very substantially. Accordingly, the inductance to capacitance ratio should be high, usually at least above 10 in a parallel-resonant circuit. In general, the effective Q of the resonant circuit under no-load conditions should exceed about 20. Usually, the no-load Q will exceed 50, the presently preferred values being between about 100 and about 300. Under loaded conditions the effective Q should drop sufficiently to broaden the operational band width of the resonant circuit. Suitable loaded Q values are below about 20, usually below about 15. When the plasma is well grounded, the load effective Q value of the resonant circuit is often reduced to substantially below 10, in some instances, below 2.

The high potential end of the inductive leg of the parallel-resonant circuit is directly connected to a hollow, conductive conduit. The conduit is provided with an inlet for the introduction of displaceable, usually pneumatically-flowable (conveyable), materials and terminates in an outlet for the discharge of the displaceable material. The outlet is generally formed as a burner or torch tip designed and constructed for a specific application, such as cutting, heating or spraying. The term "pneumatically-flowable material" includes any carrier gas (with or without additional particulate, atomized or gaseous constituents) capable of being displaced through a hollow conduit. Although virtually any gas as well as liquids and solids may theoretically be energized by the methods and apparatus of this invention, the gases found most useful in the prior art for electric-field plasma applications are generally most useful in connection with similar applications of this invention for the same reasons. The conductive conduit is either shielded or isolated from the inductive components of the resonant circuit to avoid transformer interaction. Otherwise, it is not feasible to maintain the high Q values required for the apparatus of this invention.

In operation, when RF energy is first applied to the resonant circuit, the high effective Q of this circuit provides a substantial voltage buildup so that a potential is applied to the hollow conduit sufficiently above the reference potential to initiate a plasma in a carrier gas flowing through the conduit. Plasmas may readily be self-initiated in gases such as argon, helium, hydrogen and nitrogen (even in impure form such as air), in this fashion. By "self-initiated" is meant initiation solely by the application of electrical energy to the hollow, gas-carrying conduit; i.e., without the external aids conventionally employed to initiate a plasma.

Upon initiation of a plasma, the effective Q of the resonant circuit normally drops very substantially. An exception to this effect is sometimes observed when a plasma is initiated in a noble gas, such as argon. A metastable argon plasma, for example, can be maintained while drawing such small amounts of current from the resonant circuit that any decrease in potential at the conductive conduit (compared to the no-load potential) is undetectable on a conventional RF volt meter. The current flow from the resonant circuit (resulting in a substantial drop in the Q of the circuit) is increased by coupling such metastable plasmas to ground or a conductor, or by tuning the RF energy source to match more closely the resonant frequency of the resonant circuit.

The drop in effective Q which results from loading of the plasma (any condition resulting in current flow from the resonant circuit) is a very useful phenomenon from the standpoint of this invention. The lower Q permits greater energy flow into a plasma at a given power setting of the RF source, but even more important, the operational band width of the plasma generator is increased as the Q is decreased. Thus, the characteristics of the plasma may be altered appreciably by tuning the input frequency to the resonant circuit without extinguishing the plasma. In this fashion, the characteristics of a plasma may be selected with a broad spectrum of greater or lesser degrees of thermal nonequilibrium.

A notable characteristic of this invention is the capability of producing a plasma possessing many of the desirable properties of the art-recognized cold plasmas at ambient pressure conditions. Although the precise physical mechanism of this invention is not completely understood, and while applicant does not intend to be bound hereby, it appears that the more useful plasmas produced in accordance with this invention are at substantial thermal nonequilibrium. Moreover, this invention energizes noble gases, notably argon, to a metastable state at ambient pressures in a useful plasma column. Other gases, such as helium or vaporized elements, such as mercury vapor may also be excited to a metastable state, but with more difficulty.

Although the wall heating effect of plasmas produced in accordance with this invention may be maintained at very low levels, their energy densities appear to be substantially higher than has been typical of cold plasmas. In any event, many plasmas of this invention appear to be exceptionally efficient in transferring energy (in the form of heat) to a workpiece. The plasmas produced in accordance with this invention have ideal properties for many applications, such as mineral processing, chemical production, surfical cutting and metal spraying; they may be sustained under widely varying degrees of attenuation, gas velocities, pressure conditions, and power levels, and the apparatus may be scaled to produce and sustain plasmas of widely varying volumes and energy levels. It is possible to energize many gases, notably nitrogen, to a highly ionized state with no substantial population of particles in a metastable state using the apparatus and procedures of this invention.

For surgical applications, plasma of metastable noble gas is preferred. In general, the plasma should be attenuated to a cross section which permits a narrow region of contact between the plasma and the tissue to be cut. A metastable argon plasma with a diameter between about 0.005 and about 0.015 inch is preferred. RF en-

ergy applied at between about 30 and about 200 (ideally between about 80 and about 100) magahertz, between about 50 and about 300 volts, and between about 30 and about 300 watts to a scalpel relying upon a parallel-resonant circuit having a Q above about 20 (preferably above about 100) produces a good metastable argon plasmas. RF energy applied to the resonant circuit at frequencies up to about 5 percent above its resonant frequency produces metastable argon plasmas ideal for surgical applications. In some instances, notably the treatment of brain lesions, it is desirable to supply RF energy to the resonant circuit of the scalpel at slightly (1 or 2 percent) below its resonant frequency. Acceptable flow rates for the gas are generally below about 5, preferably below about 2, but rarely below about one-tenth cubic feet per hour

BRIEF DESCRIPTION OF THE DRAWINGS

In the drawings, which illustrate what are presently regarded as the best modes for carrying out the invention:

FIG. 1 is a perspective view of a plasma torch constructed according to this invention;

FIG. 2, an enlarged, exploded perspective view of the plasma torch of FIG. 1;

FIG. 3, a cross sectional view taken along the longitudinal center-line axis 3—3 of the plasma torch of FIG. 1;

FIG. 4, a longitudinal cross sectional view of an alternative plasma torch embodiment of this invention; and

FIG. 5, a longitudinal cross sectional view of the apparatus of this invention embodied as a surgical scalpel.

DESCRIPTION OF THE ILLUSTRATED EMBODIMENTS

The plasma torch 10 illustrated by FIGS. 1 through 3 comprises a generally cylindrical conductive shield or casing, e.g., of aluminum, including a rear cylinder sleeve 14, a tapered transitional section 18 and a forward cylindrical sleeve of reduced diameter. The shield 12 is provided with a rear opening 20, a front opening 22 and a recess or groove 24 above the inside surface near its leading end 23.

The shield 12 contains a radio frequency coil 30, of copper or other conductive material, which surrounds a dielectric (plastic) gas inlet tube 32. Gas may be displaced through the inlet tube 32 generally unidirectionally by pressure in the direction indicated by arrow 34 (FIG. 3). The induction coil 30 has substantially no ionization effect upon the gas flowing through the inlet tube 32 and there is no transformer action between the coil 30 and the tube 32. The gas inlet tube 32 could optionally be placed external the coil 30, but it is located as shown as a matter of convenience. The tube 32 is desirably constructed of thermally-resistant material, such as teflon or nylon.

The gas inlet tube 32 enters the shield 12 through an aperture 38 centrally disposed in a dielectric plug 40. The peripheral edge of the plug 40 contains a groove 42 adapted to receive a high-temperature resistant O-ring 44 to seal the plug against the shield so that the interior of the shield 12 is fluid-tight but also so that a suitable manually exerted force will pull the shield off from around the remainder of the torch 10, as shown in FIG. 2.

Radio frequency energy is directly conductively applied from an RF generator through a coaxial cable 50

(FIGS. 1 and 2) to the torch head assembly. The ground lead 52 of the coaxial cable 50 is connected to the low potential or trailing end 56 of the coil 30 through a metal sleeve 58, which passes through aperture 59 in plug 40. The sleeve 58 also accommodates discharge of coolant from within the shield into a coolant outlet tube 60, shown as being fabricated plastic material. The coolant effluent flows generally in the direction of arrow 62 to a suitable heat exchanger (not shown). A short electrical lead 64 connects the metal sleeve 58 to the low potential (as illustrated, the grounded) end 56 of the coil.

A resilient wire or spring 66 electrically connects the ground end 56 of the coil 30, at the sleeve 58, to the shield 12 (68, FIG. 3).

The central coaxial cable lead 54 of the coaxial cable 50 is connected directly to the coil 30 a few turns forward of its ground end 56 at a tap 70 through a coolant influent metallic tube 72 and a short lead 74. The coil 30 constitutes the inductive leg of a parallel-resonant circuit, as explained more fully hereinafter.

The exact turn of the coil at which the tap 70 is located is determined either experimentally or by mathematical calculations so as to match as closely as possible the impedance of the coaxial cable and to obtain a low standing wave ratio, preferably on the order of 1 to 1.5, on the cable. The output impedance of the RF generator should also be adjusted to approximately match the impedance of the coaxial cable. When it is impractical to approximately match the input impedance of the resonant circuit with a coaxial cable, other expedients, such as conventional Pi circuits between the cable and the resonant circuit, may be used to improve the impedance match.

The hot end 80 of the coil 30 is directly connected, at 84, to a hollow metal conduit 82. The conduit 82 is of suitable diameter to accommodate easy coupling, as at 86, to the gas inlet tube 32 and is provided with a hollow central bore 88 through which the gas to be excited, or any other pneumatically flowable material, is displaced.

The leading end 94 of the conduit 82 terminates at a tip or nozzle 90 of high temperature, ceramic material, such as boron nitride or aluminum oxide, having good thermal conductivity and good dielectric qualities. The gas-carrying conduit 82 should be made of conductive material, such as copper, having both good heat conducting and good electrical conducting characteristics.

The tip or nozzle 90, in the illustrated embodiment, is machined or otherwise prepared to effect a fluid seal with a high-temperature resistant O-ring 96 when the interior part of the plasma torch is manually press-fit into assembled condition (FIG. 3). The inside dimension and shape of the opening 92 of the tip 90 and the length and shape of the tip 90 itself are determined by the application to which the plasma discharge 108 is to be put and the desired power of the plasma to be generated. The illustrated tip 90 may be eliminated or replaced by other types of flow-restricting or attenuating nozzles or tips. The tip can be press-fit over the forward end 94 of the conduit 82 or otherwise suitably secured in position, as by use of a suitable bonding agent.

A cooling fluid may be delivered from a heat exchanger (not shown) in the direction indicated by arrow 71 through a coolant intake tube 73 and the coolant influent conductive tube 72. Tube 72 passes

through an aperture 77 in plug 40. A coupling sleeve 100 and an influent delivery tube 102 may be provided as shown for the delivery of influent coolant at opening 104 to directly impinge on the tip 90, as indicated by arrow 106. The sleeve 100 and tube 102 are dispensed with in other embodiments.

As shown, the influent coolant first contacts the nozzle or tip 90. Thereafter, the coolant flows front to rear generally in contact with the internal surface of the shield, the external surfaces of the electrode 82, tubes 102 and 100, and the gas inlet tube 32, totally immersing the inductance coil 30 in the coolant. Cooling liquid then returns to the heat exchanger (not shown) through the serially disposed sleeve 58 and outlet tube 60. Accordingly, the electrode tube, the ceramic tip or nozzle, the radio frequency coil, the gas inlet tube, the coolant influent and effluent tubes, including the radio frequency power connectors, and the cylindrical shield are all contacted by the coolant.

This shield 12, which is shown at ground potential due to lead 66, acts as one condenser plate and the conduit 82 and coil 30 act as a multiplicity of higher potential condenser plates. Capacitance is developed between the shield 12 and the conduit 82 and the shield 12 and each region of the coil 30 across the dielectric contained within the shield (in the illustrated instance, the coolant). The physical dimensions of the shield, the coil, and the conduit, the relative spacings thereof, and the properties of the dielectric help determine the capacitance in parallel with the inductive coil 30.

Any coolant used must of course be selected on the basis of both its cooling properties and its electrical properties. An important aspect of this invention is that when cold plasmas are initiated and maintained, no special cooling is required so that the dielectric may be noncirculating air enclosed by the shield 12.

During operation, the cooling solution is first caused to flow serially through the cooling inlet tubes 73, 72, 100 and 102, and circulate across the ceramic tip 90, back along the metal electrode 82, around the immersed radio frequency coil 30 and out the outlet tubes 58 and 60. Gas, under pressure, is caused to flow into the gas inlet tube 32 as indicated by arrow 34, through the hollow central bore 88 of the conduit 82 and out to the atmosphere through the orifice opening 92 in the ceramic tip 90.

The plasma torch 120 illustrated by FIG. 4 comprises a generally cylindrical conductive shield or casing 121 integrally consisting of a rear cylindrical sleeve 122, a tapered transitional section 124 and a forward cylindrical sleeve 126 of reduced diameter. The shield 121 is provided with a rear, internally threaded, opening 128 and a front opening 130 adjacent the leading end 132.

The shield 121 envelopes in spaced relation a radio frequency hollow coil 134 terminating in a hollow conductor 154 and formed of tubular metal, such as brass. The hollow interior passage of the coil 134 and the conductor 154 are in fluid communication with the interior of a gas inlet tube 138, comprising part of a coaxial cable 140. Influent gas flowing in the direction of arrow 142 enters the coil 134 at the influent end 136 which is in fluid-tight relation with the tube 138.

The coaxial cable 140 passes interior of the shield 121 through an aperture 144 centrally disposed in a peripherally threaded plug 146, adapted to threadedly engage the rear opening of the shield 121 at 128. The inside or forward face 147 of the plug 146 compressively

engages an annular washer 148 of elastomeric or other suitable material.

The forward face 149 of the annular washer 148 compressively contacts the trailing edge 152 of a body of ceramic material 150, cast to closely fit within the shield 121 and held in stationary position within the shield 121 by the force exerted by the compressed washer 148. The coil 134 and the integral, forwardly-projecting electrode 154 are permanently embedded in the ceramic body 150. When desired, the coil 134, the electrode 154 and the ceramic body 150 can be removed from the shield 121 through the rear opening at 128. One preferable dielectric ceramic material is boron nitride, although equivalent ceramic with a good high frequency electrical strength could be used.

Ceramic dielectrics are not always suitable, and in some cases elimination thereof results in improved operation. Ceramic materials tend to absorb power at high frequencies and are not, therefore, suitable dielectrics at such operating frequencies. Utilization of a dead air space in place of ceramic between the interior surface of the shield 121 and the spaced electrode 154 and the spaced coil 134 is effective, particularly when the operating frequency of the generator is on the order of 30 megacycles or more. The use of cooling fluids is generally required for applications in which the plasma exhibits a high wall-heating effect. In those instances, the plasma behaves more nearly as though it were in thermal equilibrium. Such plasmas are usually, but not necessarily, produced by the application of high power; e.g., on the order of 200 watts or more.

Radio frequency energy is coupled from an RF generator through the coaxial cable ground lead 158 and the "hot" cable lead 160. The ground lead 158 is in turn conductively joined at 159 to the shield 121 and at 162 to the ground end of the coil 134. The hot coaxial cable lead 160 is satisfactorily coupled at 163 to an intermediate turn 164, illustrated as approximately one turn from ground potential. The exact placement of the connection of the lead 160 to the coil 134 is determined by the impedance of the coaxial cable. Of course, the coaxial cable may be replaced by any other suitable bundle of conductors, e.g. an open line cable.

The leading end 157 of the electrode 154 is in communication with a narrow passage 170 of the nozzle 156, which is manually pressfit into the front opening 130 of the shield 121. In this way, the tip 156 can be manually removed and replaced with a differently configured nozzle for producing plasma of varying types and characteristics. The forward end portion of the electrode 154 fits within a close tolerance bore 172 opening toward the rear of the nozzle 156. A high temperature-resistant O-ring 174, situated in an annular groove 176 in the nozzle 156, holds the nozzle tightly in place during use but permits the mentioned manual removal.

During operation, gas is caused to flow through inlet 136 into the hollow of the coil 134 and electrode 154, as indicated by arrow 142. The flow is preferably substantially laminar. The plasma gas at introduction into the coil is at ground potential, and with proper control, is excited to plasma only at the high voltage leading end 157 of the conduit 154.

The plasma generator 200 illustrated by FIG. 5 is of presently preferred construction for use as a surgical scalpel. It is generally similar to the embodiment of FIG. 4 but is of more convenient shape for a surgical

handpiece. Thus, the outer casing 202, which may be of aluminum, among other conductive materials, is of generally tapered shape and is sealed at its opposite open ends by a press-fit tip 204 and a press-fit plug 206, respectively. The tip 204 is of ceramic material and is configured at its forward end as a nozzle 208. The plug 206 has a central bore for accommodating a flexible supply cord 210.

As in the case of the previously described embodiment (FIG. 4), a continuous hollow metal conduit 212 is formed as an RF inductor coil 214, terminating at its low potential end 215 as gas feed conduit 216 and at its high potential end 217 as a hollow conductor 218. The conduit 218 functions as an electrode for the initiation of a plasma, as a supply passage for an excitable gas, and a high potential capacitor plate. The coil 214 is tapered to conform generally with the internal configuration of the outer casing or housing 202, and it is supported as shown by its feed end 216 and by the leading end 219 of the electrode 218. The leading end 219 of the electrode is inserted in a central bore 222 of the tip 204 in a press-fit relationship, and the low potential end 215 of the coil 214 is soldered 224 to a metal connector 225 mounted in the plug 206 to effect a fluid-tight seal.

The supply cord 210 comprises a coaxial cable with a grounded metal shield 226, internal conductor 228, and a bundle 230 of flexible gas supply tubes. The metal shield 226 is soldered 232 to a metal connector 234 so that the entire plug 206, housing 202 and low potential end 215 of the coil 214 are at ground potential (or other convenient reference potential of the shield). O-rings 236, 238 may be used as previously described to effect fluid-tight seals within the plug 206 so that gas introduced through the supply tubes 230 can only enter the feed end 216 of the coil 214. The central conductor 228 is connected at the appropriate tap point 240 on the coil, being brought through an insulated spacer 242 as shown. The spacer 242 is sealed, e.g., by a solder plug 244 to prevent gas leakage. Thus, according to this embodiment, the dielectric between the coil 214 and electrode 218, respectively, and the housing 202 is either air or some other entrapped gas.

The tip 204 is machined with bores 246 and 248 of decreasing diameter following the terminus 219 of the electrode 218 to attenuate the gas stream before it exits the nozzle 208. Of course, nozzles of varying shapes and sizes may be substituted, depending upon the characteristics desired for the plasma.

The invention will be better understood by reference to the drawings in connection with the following specific examples:

EXAMPLE I

A plasma generator was constructed as illustrated by FIG. 5. When assembled, the resonant frequency of the parallel-resonant circuit comprised of the inductance coil and the capacitive elements in circuit therewith was 90 megahertz. The inductance of the circuit was determined by a Marconi, Model TF1313A, bridge to be about 0.6 microhenries, and the capacitance of the circuit was thus determined to be about 5 picofarads. Under loaded conditions, the Q of the plasma generator was determined to be above 140. The hollow electrode was fitted with a nozzle having an orifice diameter of about 0.007 inches. One hundred ten watts of RF power was delivered to the tap of the coil at approxi-

mately 100 volts. The RF source was capable of being tuned to output frequencies ranging from about 80 to about 100 megahertz. Argon gas was displaced through the coil to exit the nozzle at a rate of about 1 cubic foot per hour.

a. With the RF source tuned to 90 megahertz, a plasma was initiated spontaneously within a fraction of a second after the power was turned on. The plasma was visible for about 1 inch beyond the terminus of the nozzle and had the blue-white color and general appearance typical of an argon plasma. The Q of the plasma generator under these conditions was determined to be below about 15. Paper was readily ignited by the plasma, and copper wire about 0.030 in diameter was quickly melted upon contact by the plasma. An ozone odor was detectable in the vicinity of the plasma.

b. After the plasma was initiated, the RF source was tuned to 92 megahertz. The length of the plasma decreased by about half, and the plasma remained blue-white in color but emitted much less light. Paper could not be ignited by the plasma. Dielectric materials, such as plastics, rubber, cloth and paper, were apparently unaffected by being contacted with the plasma. Electrically conductive materials, such as metals and electrolytic solutions (e.g., isotonic solutions), were contacted by the plasma and received energy therefrom, as evidenced by heating or destruction of the contacted regions of the material.

The plasma was brought into contact with animal (both mouse and human) tissues by sweeping the plasma across an incision path. The tissue vaporized in a thin line to produce a substantially hemorrhage-free incision characterized by a complete absence of charred tissue. For surgical applications, nozzle orifices between 0.0050 and 0.0130 inches in diameter have been successfully used with this plasma generator.

c. Attempts were made to initiate a plasma with the RF source tuned at frequencies ranging from several megahertz above to several megahertz below resonant frequency (90 Mhz). Spontaneous initiation of a plasma occurred at frequencies as high as 94 megahertz but would not occur at frequencies significantly below 88 megahertz.

d. After a plasma was initiated, the RF source was tuned from 90 megahertz to progressively higher frequencies and the nature of the plasma was observed. A cold plasma of the type described in (b) above was established at a frequency of about 92 Mh and was maintained up to a frequency of about 95 Mh, at which time the plasma extinguished. At all times until the plasma extinguished, it could be coupled to conductive material, such as tissue or metal; i.e., energy would be transferred into such material when it was contacted by the plasma.

e. After a plasma was initiated, the RF source was tuned from 90 megahertz to progressively lower frequencies, and the nature of the plasma was observed. A cold plasma capable of coupling to conductive materials was produced at frequencies only slightly below 90 megahertz, but at frequencies below about 88 Mh, the plasma lost its ability to couple to even good conductors, such as copper. The plasma grew progressively weaker in appearance as the source frequency was decreased until it extinguished at about 86 Mh.

EXAMPLE II

The plasma generator of Example II was operated in

the same fashion as described in Example I except that the RF source was tuned to provide power at the resonant frequency of the generator (90 Mhg). The power supplied to the generator was varied and the nature of the plasma was observed.

a. At a power setting of 500 watts, the plasma was visible to about 4 inches beyond the terminus of the nozzle. The plasma was blue-white for about 1 inch beyond the nozzle but the remainder of the plasma was dull orange. The Q of the plasma generator under these conditions was determined to be about 6. The orange portion of the plasma was very hot (above 4500°K) but could not be made to arc to ground. The diameter of the plasma flared out from the nozzle to more than 10 times the diameter of the orifice. When the plasma was applied to tissue, the tissue was charred and burned without producing a useful incision. The plasma behaved generally as a blowtorch.

b. The power setting was increased to 1500 watts. The plasma was visible for a length of about 6 inches and was entirely dull orange. Within 5 seconds, the hollow electrode melted in the vicinity of the nozzle.

c. At a power setting of 50 watts, the plasma was blue-white and was visible for approximately one-fourth inch beyond the nozzle. Paper could not be ignited by this plasma. When applied to tissue, the plasma produced an unacceptably wide, U-shaped incision at a rate too slow for practical surgery.

EXAMPLE III

The plasma generator of Example I was used successfully for microwelding and microcutting by tuning the RF source to about 94 Mh at about 500 watts, and by increasing the rate of argon gas flow to between about 5 and about 15 cfh. The plasma diameter tended to be smaller than the orifice of the nozzle and was blue-white in color. The plasma was visible for about ½ to about 1 inch in length. When the plasma was sustained in air, the Q of the generator was about 12. When the plasma was brought into contact with a workpiece, the Q dropped to about 6. When helium was substituted for argon, an orange plasma of much higher temperature was produced. The helium plasma, being hotter, is faster and even more effective for many cutting and heating applications.

EXAMPLE IV

A plasma generator (torch) was constructed generally as illustrated by FIGS. 1 through 3. As assembled, the resonant frequency of the torch was about 74 Mh. The inductance of the parallel-resonant circuit of the torch was determined to be about 0.8 microhenries and the capacitance of this circuit was determined to be about 6 picofarads. A nozzle was selected with an orifice diameter of 0.030 inches. Argon was displaced through the generator at a rate of about 6 cubic feet per hour. Fifteen hundred watts of RF power was applied to the tap of the coil at approximately 500 volts. The unloaded Q of the apparatus was about 200, but the Q dropped to about 13 upon initiation of a plasma.

a. With power supplied at resonant frequency, the visible length of the plasma was about 4 inches. The plasma was blue-white in appearance for about one-half inch beyond the tip of the nozzle, changing to orange-white in the core of the plasma beyond that point. The plasma color became a duller orange away

from the core and toward the plasma boundary. The blue-white portion of the plasma could be made to arc to ground (evidencing the presence of RF energy) but the orange portion of the plasma could not be made to arc to ground and was apparently electrically neutral but at very high temperature.

b. With power supplied at about 75 MH, the visible plasma was entirely blue-white and was reduced to about 1 inch in length beyond the tip of the nozzle. As the frequency of the RF power was increased further, the length of the visible plasma was correspondingly reduced until the plasma ultimately extinguished at about 78 Mh. When the plasma was coupled into either conducting or semi-conducting material, the temperature of the plasma carrier gas was observed to increase appreciably.

c. With power supplied at about 73 Mh, the visible plasma decreased to about 1 inch and could not be made to couple into semi-conducting material. The plasma extinguished when the frequency of the power source was reduced further.

EXAMPLE V

The plasma generator of Example IV was operated at various frequencies of applied power, using a nozzle with a tip diameter of 0.020 inches and substituting first nitrogen and then helium for argon as the displaced gas. In each instance, the gas was displaced at a rate of 15 cfh (cubic feet per hour).

When nitrogen was used, the plasma was blue-white in color and appeared to contain some RF energy (evidenced by a propensity to arc to ground). At resonance (power supplied at about 74 Mh), the plasma was visible for about 2 inches beyond the tip of the nozzle. The visible length decreased to about one-half inch when power was supplied at 78 Mh and to about one-tenth inch when power was supplied at 70 Mh.

When helium was used, the plasma was orange in color and evidenced little or no RF energy. The visible plasma length at resonance was about 12 inches, decreasing to about 2 inches at 78 Mh and about one-half inch at 70 Mh supplied power, respectively.

Plasmas can also be sustained in other gases, such as ammonia, methane and propane, with the generator of this example by proper adjustment of flow rates and power levels.

EXAMPLE VI

A plasma generator similar to that of Examples IV and V was constructed, using circuit parameters which resulted in a resonant frequency of 100 Mh. The parallel-resonant circuit had an inductance of about 0.5 microhenries and capacitance of about 5 picofarads. Argon was displaced through the generator at about 15 cfh through a nozzle with a tip diameter of about 0.020 inches. Power was supplied at 1500 watts and 500 volts. A blue-white plasma was produced with a visible length of about 8 inches when power was supplied at 100 Mh. The visible length of the plasma decreased to about 2 inches when the frequency of the power was increased to 105 Mh and to one-half inch when power was supplied at 95 Mh.

I claim:

1. A method for performing surgery which comprises:
 - establishing and maintaining a cold plasma of a sufficiently small cross section to permit a narrow re-

13

gion of contact between the plasma and tissue; and applying said plasma to tissue to produce an incision.

2. A method according to claim 1, wherein the plasma is produced by applying RF energy to a noble gas.

3. A method according to claim 2, wherein the noble gas is Argon and sufficient RF energy is applied to said gas to excite it to a metastable state.

4. A method according to claim 2, wherein the noble gas is displaced through a hollow electrode terminating in an effluent nozzle and RF energy is applied conductively to said electrode through a parallel-resonant circuit.

5. A method according to claim 4, wherein RF energy is applied to said parallel-resonant circuit at a frequency close to, but different from, the resonant frequency of said circuit.

6. A method according to claim 5, wherein RF energy is applied to said parallel-resonant circuit at a frequency up to about 5 percent higher than the resonant frequency of said circuit.

7. A method according to claim 6, wherein the noble gas is Argon, the diameter of the plasma is between about 0.005 and about 0.015 inches, and the resonant frequency of the parallel-resonant circuit is between about 30 and about 200 megahertz.

8. A method according to claim 7, wherein the resonant frequency of the parallel-resonant circuit is be-

14

tween about 80 and about 100 megahertz, and the flow rate of the Argon gas is below about 5 cubic feet per hour.

9. A method according to claim 8, wherein the flow rate of the Argon gas is between about 1/10 and about 2 cubic feet per hour, the unloaded Q of the parallel-resonant circuit is above about 100, and RF energy is applied to said circuit at between about 30 and about 300 watts and between about 50 and about 300 volts.

10. A method according to claim 1, wherein the plasma is produced by applying electrical energy to a noble gas.

11. A method according to claim 10, wherein the diameter of the plasma is adjusted to between about 0.005 and about 0.015 inches.

12. A method according to claim 10, wherein the noble gas is Argon and said gas is excited to a metastable state by the application of electrical energy.

13. A method according to claim 12, wherein the diameter of the plasma is adjusted to between about 0.005 and about 0.015 inches.

14. A method according to claim 13, wherein the Argon is displaced through an effluent nozzle at a flow rate below about 5 cubic feet per hour.

15. A method according to claim 14, wherein the flow rate of the Argon is held between about 1/10 and about 2 cubic feet per hour.

* * * * *

30

35

40

45

50

55

60

65