

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

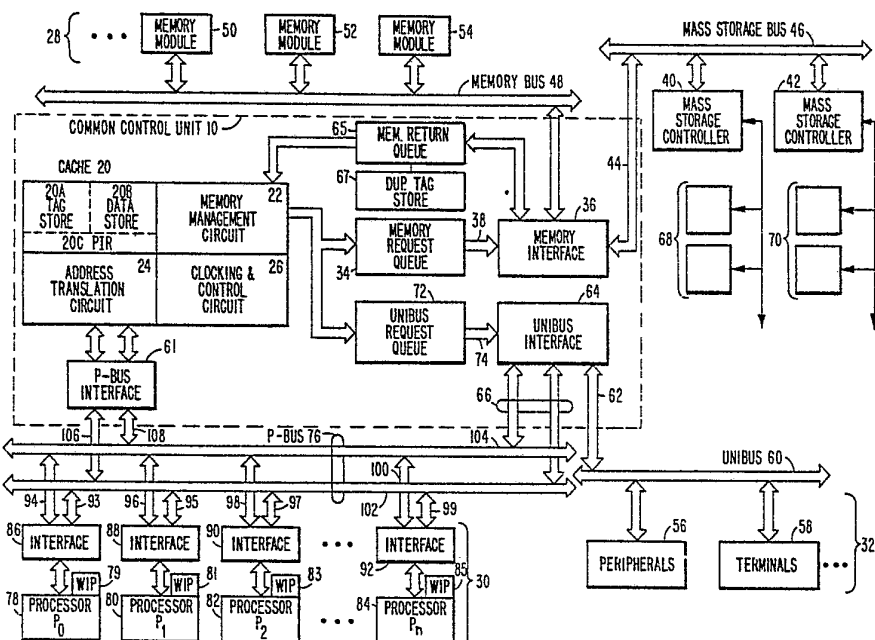
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ³ : G06F 9/38, 15/16	A1	(11) International Publication Number: WO 81/02210 (43) International Publication Date: 6 August 1981 (06.08.81)
(21) International Application Number: PCT/US81/00126 (22) International Filing Date: 28 January 1981 (28.01.81) (31) Priority Application Number: 116,083 (32) Priority Date: 28 January 1980 (28.01.80) (33) Priority Country: US (71) Applicant: DIGITAL EQUIPMENT CORPORATION [US/US]; 146 Main Street, Maynard, MA 01754 (US). (72) Inventors: ARULPRAGASAM, Jega, A.; 79 Edgehill Road, Stow, MA 01775 (US). GIGGI, Robert, A.; 4 Hutchinson Road, Merrimack, NH 03054 (US). LA-RY, Richard, F.; 1140 Big Valley Drive, Colorado Springs, CO 80907 (US). SULLIVAN, Daniel, T.; Wa-taquadle Hill Road, Bolton, MA 01740 (US). (74) Agent: HARBIN, Lawrence; Cesari and McKenna, Union Wharf East, Boston, MA 02109 (US).		(81) Designated States: DE, FR (European patent), GB, JP. Published <i>With international search report</i> <i>Before of the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments</i>

(54) Title: CACHED MULTIPROCESSOR SYSTEM WITH PIPELINE TIMING

(57) Abstract

A multiprocessor data processing system including a main memory system, the processors (30) of which share a common control unit (CCU10) that includes a write-through cache memory (20), for accessing copies of memory data therein without undue delay in retrieving data from the main memory system. A synchronous processor bus (76) having conductors (104) couples the processors (30) to the CCU. An asynchronous input/output bus (60) couples input/output devices (32) to an interface circuit (64) which, in turn, couples the information signals thereof to the synchronous processor bus (76) of the CCU so that both the processors (30) and the I/O devices (32) can gain quick access to memory data rather than in the cache memory (20). When a read command "misses" the cache memory (20), the CCU accesses the memory modules (28) for allocating its cache memory (20) and for returning read data to the processors (30) or input/output devices (32). To inhibit reads to locations in the cache for which there is a write-in-progress, the CCU includes a Processor Index random-access-memory (PIR 20) that temporarily stores memory addresses for which there is a write-in-progress. The PIR is used by the cache memory to force a "miss" for all references to the memory address contained therein until the CCU updates the cache memory. The CCU also includes a duplicate tag store (67) that maintains a copy of the cache memory address tag store (20A) thereby to enable the CCU to update its cache memory when data is written into a main memory location that is to be maintained in the cache memory.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	KP	Democratic People's Republic of Korea
AU	Australia	LI	Liechtenstein
BR	Brazil	LU	Luxembourg
CF	Central African Republic	MC	Monaco
CG	Congo	MG	Madagascar
CH	Switzerland	MW	Malawi
CM	Cameroon	NL	Netherlands
DE	Germany, Federal Republic of	NO	Norway
DK	Denmark	RO	Romania
FI	Finland	SE	Sweden
FR	France	SN	Senegal
GA	Gabon	SU	Soviet Union
GB	United Kingdom	TD	Chad
HU	Hungary	TG	Togo
JP	Japan	US	United States of America

Cached Multiprocessor System with Pipeline Timing

Cross References to Related U.S. Patent Applications and Patents

5

References are made in the Description of an Illustrative Embodiment to the following patents and patent applications which are assigned to the same assignee as the following invention and incorporated
10 herein by reference.

U.S. Patent No. 3,815,099 issued June 4, 1974 for DATA PROCESSING SYSTEM,

U.S. Patent No. 3,999,163 issued December 21, 1976 for SECONDARY STORAGE FACILITY FOR DATA PROCESSING
15 SYSTEMS,

U.S. Patent No. 4,045,781 issued August 30, 1977 for MEMORY MODULE WITH SELECTABLE BYTE ADDRESSING FOR DIGITAL DATA PROCESSING SYSTEM,

U.S. Patent No. 4,229,791 issued October 21, 1980 for DISTRIBUTED ARBITRATION CIRCUITRY FOR DATA PROCESSING
20 SYSTEM.

U.S. Patent No. 3,614,741 issued October 19, 1971 for DATA PROCESSING SYSTEM.

U.S. Patent No. 3,893,084 issued July 1, 1975 for
25 MEMORY ACCESS CONTROL SYSTEM.

U.S. Patent No. 3,854,126 issued December 10, 1974 for CIRCUIT FOR CONVERTING VIRTUAL ADDRESSES INTO PHYSICAL ADDRESSES.

U.S. Patent No. 3,710,324 issued January 9, 1973 for
30 DATA PROCESSING SYSTEM.

U.S. Patent No. 4,055,851 issued October 25, 1977 for MEMORY MODULE WITH MEANS FOR GENERATING CONTROL SIGNAL.

35 Background of the Invention

This invention relates to a digital data processing system, and more specifically, to a multiprocessor system

comprised of a plurality of digital data processors sharing common control circuitry, memory and peripherals.

A number of digital data processing systems have been built heretofore based on multiple processors.

5 These systems, referred to as multiprocessor systems, have had many diverse constructions. They have ranged from independent processors with no interconnection, through tightly coupled processor networks that
10 communicate with each other by passing messages, to multiprocessors that share common control circuitry, memory and peripherals. An excellent discussion of multiprocessor systems and of the trade-offs involved in system designs depending upon the degree of coupling and sharing involved in the systems is presented in Chapter
15 16 of the book entitled Computer Engineering, by C. Gordon Bell et al, Digital Press (1978).

Until recently, use of multiprocessor systems have been restricted to highly specialized applications, such as those requiring high availability, or high reliability
20 and performance beyond that capable with a single processor with a given technology. One reason for this has been the widespread acceptance of the philosophy that a better higher performance single processor system can always be built. High performance in single processor
25 systems, however, is generally achieved only at the expense of considerable additional design and engineering development which often restricts availability and involves trade-offs in system reliability and flexibility. Further, for the end user desiring higher
30 performance, the substitution of new single processor system for an existing system can involve problems of system adaptation and training.

The advent of low-cost microprocessors with substantial functionability, such as those of the PDP-11
35 data processing family manufactured by the assignee hereof, has created new possibilities for multiprocessor systems. Because of the high availability and low cost

per compute cycle of such microprocessors, multiprocessor systems comprised thereof have the potential of providing computational capabilities that span ranges typically covered by many conventional single processor systems at comparable costs. For the end user, they offer the advantage of enabling modular incremental growth over limited and well-defined performance ranges with minimum training and other field service costs.

It is, therefore, an object of this invention to provide a new and improved digital data processing system based on the use of multiple processors.

Another object of the invention is to provide a multiprocessor system that exhibits performance capabilities comparable to conventional single processor systems at comparable cost.

Another object of the invention is to provide a multiprocessor system that takes advantage of proven technologies of memory management and input/output control.

Still another object of the invention is to provide a multiprocessor system that exhibits a high degree of multiprocessing efficiency while maintaining a compatibility with existing processor protocols.

Summary

An objective of the this invention is to provide a multiprocessing system that can be constructed of a varied number of modular components including processor modules, memory modules, mass storage devices, and input/output devices which together intercommunicate through and share a common control unit.

A more specific objective of this invention is to provide such a modular multiprocessing system, the processors of which, share a common cache memory without undue degradation of overall system performance.

A more general objective of this invention is to provide such a modular multiprocessing system that can be



economically assembled in one configuration, and subsequently expanded into a larger configuration as the demand for processing and data storage capacity increases without the necessity of replacing or modifying existing system components.

A further objective of this invention is to provide such a modular multiprocessing system wherein the common control unit is compatible with various synchronous and asynchronous protocols, address translating circuits, and memory management routines desired in multiprocessing systems having different operating characteristics.

In accordance with these and other objectives of this invention, a multiprocessing system comprises a common control unit including a memory management circuit, an address translation circuit, and a cache memory which shared in an ordered pipelined sequence by at least one processor, at least one mass storage controller including at least one data storage device, at least one memory module, and at least one input/output device (e.g., an I/O terminal). All processors connect to the common control unit via a non-interlocked synchronous backplane processor bus. The processor bus comprises a set of address and control conductors for transferring with the common control unit device identification signals, memory address signals and function code signals; and a set of data and control conductors for carrying processed, storage, and memory data together with other control signals. All input/output devices connect to the common control unit via an asynchronous input/output bus whose signals are also transferred to the common control unit via the processor bus. Memory modules also connect to the common control unit via an asynchronous memory bus. All mass storage controllers connect to the common control unit via a synchronous mass storage bus. The memory bus and the mass storage bus connect to a memory interface circuit in the common control unit for accessing main

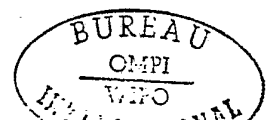


memory. The processors and input/output devices, when necessary, also access main memory through the memory interface circuit.

Thus, commands can be initiated on the processor bus, mass storage bus, or the input/output bus thereby to transfer information with any responding device connected to the processor bus, memory bus, mass storage bus, or the input/output bus, as appropriate. As some transactions require two accesses to the resource units of the common control unit in order to retrieve memory data that is not resident in the cache memory, or to write data into the cache memory, the common control includes control means so that it may access its own elements to update or allocate its cache memory and/or return memory data to the commanding device.

The preferred structure of the common control unit comprises a separate control information path and a separate storage data path for respectively transferring among the devices of the multiprocessing system, device identification, memory address signals, and function code signals, on one hand; and processed data, storage data, memory data, and associated control signals, on the other hand.

In the control information path of the common control unit, control information is transferred through a multi-stage register, the first of which receives device identification signals, function code signals, and virtual address signals. Internal control signals and physical address signals are generated by the common control unit, and then are recirculated in control information registers for transactions involving a second pass through the common control unit. The control information path also includes, at one stage, a memory management circuit that restricts the type of operations that can be performed with certain locations in the memory modules; at a subsequent stage, an address translation circuit that converts virtual addresses



generated by a commanding device into a physical address in a responding device; and at a yet subsequent stage, a cache compare circuit controls read, update, and allocate operations in a cache memory.

5 The data path of the common control unit also includes a multi-stage register arrangement. A first-stage register receives processed or memory data from a commanding device; or processed, storage, or memory data retrieved from a responder device.

10 Another aspect of the invention includes a Processor Index RAM and a Write-in-Progress flag. Because certain transactions initiated by a commanding device require a second pass through the resources of the common control unit to update the cache memory on a "write hit" or
15 allocate the cache memory on a "read miss", and because another commanding device may alter the contents of cache memory before a second pass completes the transaction, the cache memory further includes a Processor Index
20 Random-Access-Memory (PIR) having an index location associated with each processor for storing an address tag of a location being written and an associated write-in-progress (WIP) flag, which when set, inhibits other
25 commanding devices from reading that location in the cache memory. When the write is completed on the second pass through the common control, the WIP flag is cleared.

 Since the PIR contains only one address tag for each commanding device, and because a commanding device can subsequently issue another write command before
30 completing its first write command, the multiprocess system includes, preferably in the commanding device, a WIP flag control bit that is used for inhibiting a commanding device from issuing the subsequent write command while its first write command is in progress.

35 Accordingly, the common control unit can accommodate a plurality of identical processors, memory modules, mass storage devices, and input/output devices and thereby



provide an economical expendable modular multiprocessing system.

The foregoing describes a preferred form of the invention. The scope of the invention, however, is pointed out with particularity in the appended claims. The above and further objects and advantages of this invention may be better understood by referring to the following description taken in conjunction with the accompanying drawings.

Brief Description of the Drawings

Fig. 1 is a block diagram of a multiprocessing system embodied in accordance with this invention;

Figs. 2A through 2E depict various clocking signals that synchronize transfers of information between the elements shown in Fig. 1;

Figs. 3A through 3E depict the timing intervals for the elements shown in Fig. 1 when a processor performs a read operation to a memory location contained in the cache of Fig. 1;

Figs. 4A through 4D depict the timing intervals for the elements shown in Fig. 1 when a processor performs a transaction requiring two accesses to the cache of Fig. 1;

Fig. 5 is a table listing internal operations performed in the common control unit in response to various conditions occurring therein;

Fig. 6 depicts a more detailed diagram of the common control unit used in Fig. 1;

Fig. 7 depicts a diagram of the internal memory arbitration and memory return circuit of the common control unit of Fig. 6;

Figs. 8A and 8B show functional elements of the shared resources in the common control unit of Fig. 1.



Description of Illustrative Embodiment

A. General Description of Multiprocessing System

In the following description, reference is made to certain terms which may have varied meanings. However, to facilitate understanding of this invention, we make the following definitions.

By using the expression "information", we mean to include device identification signals, memory address signals, signals that control the operation of a device (e.g., control and timing signals), and data signals from memory, storage, or processing devices. Alternatively, "information" broadly includes address, control, and data signals. The expression "device" means any component of a data processing system. The expression "memory data" refers to information associated with a random access memory module. The expression "storage data" means information associated with a mass storage device, such as a disk file drum unit, or magnetic tape unit. The expression "cache data" means information associated with a cache memory. Broadly speaking, the expression "data" means memory data, storage data, register data, or cache data. The expression "storage information" includes data and its concomitant control signals which are routed to/from a data storage device. The expression "identification signal" or "ID signals" means signals that identify a device in a data processing system. The expression "address signal" or "memory address signal" means signals that identify an addressable location in a data storage device. The expression "function code" or "control bits" means signals that specify how a device is to function or operate. The expression "control information" includes address, control and data signals which together determine how a device will function or operate within a given set of operations. The expressions "bus" means a plurality of parallel conductors to which several devices may connect in parallel for the transfer of information. The expression



"bus connection" means the electrical connection between a device and a bus. The expression "connection" means a direct interconnection between two devices by way of a signal conductor or plural conductors. A "commanding" device is a device which issues a command. A "responding" device is a device which responds to a commanding device. A "transaction" means all the operations necessary to perform a command initiated by a commanding device. In this specification, it means the performance of all steps in an order pipeline sequence of events that are necessary to complete the command.

With the foregoing definitions at hand, we describe a multiprocessing system constructed in accordance with this invention. Such a system is shown in Fig. 1. All devices thereof intercommunicate through a common control unit (CCU) 10. The CCU 10 includes what are termed "shared pipeline resources" including a cache memory 20, a memory management circuit 22, an address translation circuit 24, and a clocking and control circuit 26. Other "shared pipeline resources include input registers for receiving sets of command signals and output registers for receiving data signals associated with the sets of command signals. The cache memory 20 is used for storing copies of information contained in random access memory modules 28 so that data can be quickly accessed by any one of the processors 30 (P, P, . . . P) which together perform their processing cycles faster than the rate which memory data can be retrieved from the memory modules 28. In this system, the memory access time for retrieving data might, for example, be 500 nanoseconds while the rate with which the CCU 10 can make a memory reference to the cache memory 20 is 133 nanoseconds. A cache memory circuit 20 that may be used with this invention is described in incorporated U.S. Patent No. 4,055,851. The memory management circuit 22 in the CCU 10 permits appropriate accesses to certain read-only and write-only locations in the random access memory modules



28 according to preestablished rules. The memory management circuit 22 also provides a relocation constant that is used by the address translation circuit 24. A memory management circuit 22 which could be used in this invention is that described in incorporated U.S. Patent No. 3,893,084 issued July 1, 1975. The address translation circuit 24 is used for converting virtual addresses to physical addresses. Virtual addresses are memory addresses generated by a commanding device that do not define a physical address which uniquely defines a specific address location in a responder device. Physical addresses define unique addresses. The translation circuit 24 establishes a physical address by using an arithmetic unit to add the relocation constant from a register in the circuit 22 to the virtual address obtained from the commanding device. For example, the address translation circuit 24 converts an 18-bit addresses generated by a processor 30 into 22 bit addresses that uniquely define a unique location in memory modules 28. Such an address translation circuit 24 that can be used with this invention is also described in incorporated U.S. Patent No. 3,854,126 issued December 10, 1974.

The common control unit 10 also includes a memory request queue 34, subsequently described, for queuing requests for access to the memory modules 28. When requested data is not resident in the cache memory 20, the CCU causes the memory request queue 34 to store ID signals identifying the commanding device, physical address signal identifying the physical address in memory modules 28 involved in the transfer, and function code signals (e.g. read or write command) identifying the operation that is to be performed with the memory modules 28. These requests are then transmitted to a memory interface circuit 36 via a connection 38. The queue 34 holds 32 sets of command information. A queue is necessary because the request demand varies during



operation of the multiprocessing system. These requests may emanate from control circuits in the clocking and control circuit 26, from processors 30, I/O devices 32, or the cache memory circuit 20.

5 The memory interface circuit 36 also receives requests to retrieve or store storage information from the mass storage controllers 40 and 42 through a bus connection 44. The controllers 40 and 42 are connected
10 to a 32-bit wide synchronous mass storage bus 46 which transfers both storage and control information. The mass storage bus 46 and controllers 40 and 42 are described in U.S. Patent No. 3,999,163 and is commercially known as a PDP 11/70 Large Scale memory bus.

15 A conventional arbitration circuit in the memory interface 36 determines whether requests from the memory request queue 34 or the mass storage controllers 40 or 42 will be honored. Although not shown, the memory
20 interface circuit could also be adapted to handle requests for access directly from the UNIBUS devices 32 via UNIBUS interface circuit 64. Once arbitration is completed, both control information signals and data
25 signals are transferred to a memory bus 48, which signals are then transferred to an appropriate one of the memory modules 50, 52, or 54. The memory bus 48 also contains
30 other control circuits for routing control information and memory data between the memory modules 28 and the memory interface circuit 36. A more detailed description of the memory bus 48 and memory modules 28, with
35 associated control logic, is described in incorporated U.S. Patent 4,045,781.

A memory return queue 65 stores the addressed memory data together with ID signals and the physical address from whence it came. Data to be written into the memory modules 28 also is stored in the queue 65. Write data is
35 used for a subsequent update of the cache memory 20. This information is transmitted back to the CCU 10 to be recirculated in the pipeline resources to update or



allocate the cache memory 20, if necessary, and ultimately transferred to the commanding device that originally requested the memory data. Whether or not a cache memory update is made depends upon the result of address comparisons in the duplicate tag store 67. Its advantage and operation is subsequently described.

Transfers of control information and data also take place between I/O devices 32, such as peripheral device 56 and terminal 58 (e.g., operator console, teletypewriter or even a remote processor), via an asynchronous I/O bus 60, commercially known as a UNIBUS and described in incorporated U.S. Patent No. 3,710,324. Commands emanating from the I/O devices 32 access the common control unit 10 through the P-Bus interface 64. These commands first enter the UNIBUS interface circuit 64 via a bus connection 62 and subsequently are transferred to the P-Bus interface circuit 61 via separate address and data bus connections 66. Thereafter, clocking and control circuit 26 controls the execution of the commands originating from the I/O devices 32 to access internal registers in the pipeline resources, the cache memory 20, the memory modules 28, or the mass storage controllers 40 and 42 or their devices 68 and 70. Processor 30 commands directed to the I/O devices 32 on the UNIBUS, on the other hand, enter the UNIBUS request queue 72. Thereafter, these commands, as well as commands from other devices in the system, enter the UNIBUS interface circuit 64, which then relays the command to the appropriate I/O device 32 via the bus connection 62.

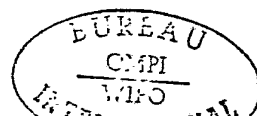
The processors 30 communicate with the common control unit 10 via a processor bus 76, also called a P-Bus. In the preferred embodiment, processors 30 may comprise any number of processor units 78 through 84. Each of the processors 30 connect to the P-Bus 76 through respective interface circuits 86 through 92. These circuits include distributed arbitration networks for



controlling the processors' access to the P-Bus 76. See incorporated U.S. Patent 4,229,791 for a description of the arbitration network. Each interface circuit 86 through 92 couples the P-Bus 76 via their respective pairs of address and data bus connections 93 through 100.

The P-Bus 76 is a non-interlocked synchronous backplane bus and includes a separate "address" bus 102, and a separate "data" bus 104. Each of the buses 102 and 104 include a number of parallel conductors. Address bus 102 includes conductors for carrying device identification information (ID) signals, function code signals (F) signals, memory address information (ADRS) signals, and other control information, such as parity checking bits and timing signals. The ID signal identifies a commanding device when directed to the CCU 10. Otherwise, the ID signal identifies the responding device. Data and control bus 104 carries data signals between the processors 30 and cache memory 20. The processors 30 may also communicate with each other via the P-Bus 76 without using the pipeline resources 20 through 26.

Likewise, the respective interface circuits 86 through 92 include a set of "address" bus connections 93, 95, 97, and 99; and a set of "data" bus connections 94, 96, 98, and 100. These bus connections 93 through 100 enable the processors 30 to communicate with the common control unit 10 via separate address bus conductors 106, on one hand, and separate data bus conductors 108, on the other hand. Each set of conductors establishes a bus connection between the P-Bus 76 and P-Bus interface 61. The separation of conductors permit the simultaneous use of bus 102 by one device, and use of bus 104 by another device. For example, if processor 78 is performing a read command that does not require use of the data bus 104 of the P-Bus 76, the common control unit 10 need not wait to use bus 104 for returning data to an awaiting



processor or UNIBUS device. This arrangement improves overall performance of the multiprocessing system.

Operations carried out in the P-Bus and in the processes 30 are synchronized with an internal clock of the CCU 10. Specifically, an internal four-phase clock in the clocking and control circuit 26 drives the operations on the P-Bus 76 and processors 30.

In the specific embodiment implemented by this invention, the processors 78 through 84 are PDP 11 data processing systems manufactured by the assignee hereof. These systems are described in incorporated U.S. Patent No. 3,614,741. Their description also may be obtained from the "Micro-computer Processor Handbook" (1979) published by the assignee thereof.

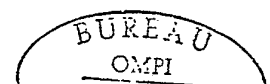
Because the transactions occurring in the pipeline resources are non-interlock, certain other transactions can occur in the common control unit 10 prior to the cache memory being allocated or updated with the new information. Interlocking of commands that alter the contents of cache memory 20 would degrade overall system performance. Accordingly, in another aspect of the invention, we provide a Processor Index Ram (PIR) 20C that obviates the necessity to interlock these transactions and yet maintain time-wise efficiency in a multiprocessing system. To understand the significance of the PIR 20C, we briefly explain the operation of the cache memory 20. The cache memory circuit 20 of the common control unit 10 is a direct mapping, write-through, cache memory. That is, the random access memory modules 28 are always written before the cache 20 can be updated. Therefore, new data written in the random access memory modules 28 must be reflected in the cache memory 20, if the physical address being written corresponds with one of the addresses in tag store 20A. Otherwise, cache memory data could become stale. Since we provide a write-through feature, certain operational requirements must exist among the devices of the



processing system in order for maintaining fresh data in the cache memory 20.

5 The cache memory 20 contains a data store 20B having a certain number of address storage locations, and an address tag store 20A having a corresponding number of data storage locations. The data store 20B contains copies of memory data located in the memory modules 28. The specific address location in memory modules 28 that are duplicated in the data store 20B is identified by the 10 corresponding address information contained in a corresponding location in tag store 20A. Thus the cache memory 20 is said to be "associated" with the memory modules 28, and therefore, it is also referred to as an "associative" memory. 15

15 When a commanding device in the data processing system requests information from a location in the memory modules 28, the pipeline resources of the CCU 10, during a CACHE interval, checks the tag store 20A to determine whether or not it must access memory 28 to retrieve the 20 requested data. If negative, cache data is returned to the commanding device from the cache memory 20B at the end of the first pass through the pipeline resources. Thus, in this case, the commanding device, on its request for information from the memory modules 28, is said to 25 "hit" the cache. Oppositely, the commanding device is said to "miss" the cache when the requested data is not formed therein. In this case, any cache data appearing on the data lines is invalidated at the end of the first pass through the pipeline resources. At the appropriate 30 period, the clocking and control circuit 26 queues the request in the memory queue 34 for a subsequent request to the memory modules 28. The transaction initiated by the commanding device cannot be completed until a second pass is made through the pipeline resources. The second 35 pass is made by the CCU 10 on behalf of the commanding device to read the data. When the requested data is retrieved, it is then returned to the commanding device



by the CCU 10. Meanwhile, the commanding device awaits the requested information. On the second pass through the pipeline resources, the common control unit 10
5 allocates both the tag store 20A and data stored 20B with the new information from the memory modules 28.

The write-through feature requires that the system embody a write-in-progress (WIP) control bit in WIP circuits 79, 81, 83 and 85 associated with processors 78, 80, 82 and 84, respectively; and a Processor Index RAM
10 (PIR) associated with the cache 20. These circuits inhibit a processor 30 from reading a location in memory module 28 for which it has a write in progress. Specifically, when the WIP bit is set, the processor checks the address in the PIR. If the processor seeks to
15 write that location in the PIR, these circuits force the processor to "miss" the cache on each subsequent read command until the WIP control bit is cleared. This control bit is cleared by the CCU 10 on its second pass through the pipeline resources to update itself in
20 response to the previously issued "write hit" issued by the processor. Since the PIR only contains one address storage location for each processor, each processor 30 checks its WIP control flag bit 79, 81, 83, and 85, respectively, and if set, foregoes the issuance of its
25 subsequent write command.

Similarly, other devices, such as input/output devices 32 or mass storage controllers 40 and 42, may also affect the cache memory data by issuing of a "write
30 hit" command. They too, although not shown, might incorporate a Device-Index-RAM (DIR) and a corresponding WIP control flag bit, which together, enable the system to maintain fresh data in the cache 20.

When a commanding device issues a write command, the common control unit 10 checks a duplicate tag store 67,
35 subsequently described, to determine if it contains the address in memory to which the write command is directed. If affirmative, control circuit 26 updates the affected



location in cache store 20B, while the corresponding tag store location remains unchanged. The update operation on this write cycle is interlocked so that no other operation can occur within the cache circuit 20 while
5 being updated. Thus, the cache memory 20 is a direct mapping write-through cache memory.

In a manner subsequently described herein, clocking and central circuit 26 controls a pieplined sequence of events in the CCU 10 with order preserved for all
10 commands entering the pipeline. In the preferred embodiment, a four-phase clocking signal, having 133 monosecond intervals, successively activates each resource in the common control unit in an ordered sequence thereby to step each command through the stages
15 of the CCU 10. As previously indicated, commands can be initiated by any one of the processors 30, UNIBUS devices 56 or 58, or mass storage controllers 40 or 42. The common control unit 10 also includes internal registers which can be read by a device to acquire status
20 information. These control registers can also be written by any device or with control information generated by the CCU itself thereby to control its internal operation when it makes a second pass to update or allocate its cache memory and to pass the requested data to a
25 commanding device.

(2) The P-bus

The P-bus is divided into two sections: an address and control section 102, and a data and control section
30 104. The address section carries virtual address signals (VA) which identify memory locations, function code signals (F) which indicate what type of transaction is being done, and identification signals (ID) which tell what devices are involved in the transaction. For
35 example, the ID signals may tell what device initiated the transaction or to what device the transaction is directed. In those cases where the ID identifies the



initiating device, the transaction is directed to the common control CC.

Access to the P-bus 76 is controlled by arbitration circuits that are distributed among the respective
5 devices connected to the P-bus 76 (i.e., the common control CC and each of the processors PC). These arbitration circuits, which form part of the P-bus interface circuits 86, 88, 90, 92, and 61, are described
10 in the copending application of John V. Levy et al, Serial No. 954,456 which is assigned to the assignee hereof and incorporated herein. Each such device has a distinct priority and an independent request line that forms part of the address section of the P-bus. To gain
15 access to the P-bus, the device asserts its request line, and if no higher priority request is present when the P-bus becomes available, the device wins control of the P-bus. The common control CC is assigned the highest priority. The processors PC have distinct, lower
20 priorities determined by their respective positions along the P-bus. Requests for access to the P-bus from the UNIBUS 60 are serviced through the request line of the common control CC.

A clocking circuit 26 in the common control CC
25 distributes the timing signals that control transactions over the P-bus. These timing signals are distributed to each of the interface circuits 86, 88, 90, 92, and 61. As shown in Figs. 2A through 2D, the timing signals include P0 CLK through P3 CLK quadrature-phase signals, each having a 50% duty cycle. The leading edges of the
30 P0 CLK through P3 CLK pulses define P0 through P3 clocking times, as shown in Fig. 2E. The time interval between successive P0 clocking times defines a complete bus cycle and therefore is called a bus cycle time. In general, any device seeking access to the P-bus asserts
35 its request line at P0 during a given bus cycle. Arbitration is performed between P0 and P3 of that same bus cycle, and if control is won, it is awarded at P3 of



that cycle. Other operations follow sequentially, as described below.

In this specific embodiment, the interval between successive P0 times, and therefore, the bus cycle time, is about 133 nanoseconds.

(3) Pipeline Resources

The P-bus 76, and those parts of the common control unit 10 needed by a processor Pn to read data from the cache 20, are organized in a pipeline. Further, the design of the pipeline is optimized to minimize the access time of a processor Pn doing a memory read that hits in the cache 20. The resources in the pipeline are the following:

- 1) address, ID, F, and Internal Registers
- 2) memory management circuit 22
- 3) address translation circuit 24 in common control CC;
- 4) cache 20;
- 5) cache hit check circuit 38 and
- 6) data and control information registers.

Anytime any device wins control of the P-bus, it is allocated all of the above pipeline resources. There is no way for any device to request only part of the resources in the pipeline. However, not all of the resources need be used by the device. Thus, one or more resources may be idle during a given transaction if those resources are not necessary for the transaction.

The resources in the pipeline are always allocated sequentially in the order listed above. In other words, a device that wins control of the P-bus uses the address section of the P-bus during a first time slot that starts immediately when the P-bus is allocated. The device then

uses the memory management circuit 22 in the common control unit 10 during a second time slot that starts at the end of the first time slot, and so on until use of the data registers of the P-bus is completed. As noted, this order is selected to minimize the access time of a processor P_n doing a memory read that hits in the cache 20. Cache simulation studies indicate that read hits predominate in PDP-11 data processing systems of the type used in this specific embodiment. See the above-referenced book entitled Computer Engineering, by C. Gordon Bell et al, Digital Press (1978), particularly Chapter 10 thereof. The beginning and end of each resource time slot are synchronized to the clocking times P0 through P3 of Fig. 2E, as explained below.

Fig. 3 illustrates the timing for a processor P_n doing a memory read that hits in the cache 20. The clocking times P0 through P3 of Fig. 2E are repeated in Fig. 3A. Assume processor PC0, desiring to perform a memory read, asserts its request line at P0 of a given bus cycle. If the processor P has the highest priority of those requesting the P-bus at that time, it is awarded the P-bus at P3 of the same bus cycle. The time interval between the request and the grant is labeled REQUEST in Fig. 3B.

From P3 of the same bus cycle to P2 of the next bus cycle, the processor P uses the address section of the P-bus to transmit a function code F indicative of a memory read, its ID, and the virtual address VA of the memory location to be read to the common control CC. This time interval is labeled TRANSMIT in Fig. 3B.

From that P2 until the next P1, that is, during a MANAGE interval in Fig. 3B, the processor P uses the memory management circuit 22 in the common control CC. During the MANAGE interval, the memory management circuit checks the function code F and the virtual address VA received from the processor PC0 to determine if the location defined by the address is one for which a read



is permitted. If the location can be read, the circuit 22 also generates a relocation constant.

From that P1 until the next P0, that is, during a TRANSLATE interval in Fig. 3B, the processor P uses the address translation circuit 24 in the common control unit 10. An arithmetic unit in the circuit 24 adds the relocation constant to the virtual address VA received from the processor PC0 to generate a physical address PA.

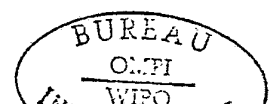
The next interval is CACHE. During this interval, the cache 20 is read using the physical address PA. The CACHE interval extends a full bus cycle, that is, from the last P0 until the P0 that follows it, and is thus the longest time interval of all the resources in the pipeline. The CACHE interval, however, is made long enough to permit only one access to the cache 20.

The next interval is HIT CHECK. During this interval, the cache hit check circuit in the common control unit 10 is used to determine if the read hit in the cache 20. The HIT CHECK interval extends from the P0 at the end of the CACHE interval to the next P3.

The last interval in Fig. 3B is the DATA interval. During the DATA interval, which extends from the last P3 to the next P2, the data section of the P-bus is used to transmit the data read from the cache 20 back to the processor P. At the end of the DATA interval, the memory read is completed.

If the hit check circuit indicates that the memory read hit in the cache 20, the ID sent by the processor P is not needed. The processor P, which is synchronized to the P-bus timing, strobes the data section of the P-bus during the DATA interval to receive the data. The ID is needed when the memory read misses the cache 20, as explained below.

At this stage of the description, some additional timing nomenclature is useful. As noted, all transactions begin when a device asserts its request line and end when the last resource in the pipeline completes



its operation. Time from the beginning of a transaction can be counted in bus cycles and clocking times. Thus, let $T_{m,n}$ refer to a transaction time that occurs on the n -th clocking time after the m -th bus cycle after the beginning of a transaction. Thus, for example, the processor PC0 described above doing a memory read asserts its request line at $T_{0,0}$, uses the address section of the P-bus from $T_{0,3}$ until $T_{1,2}$, and so on until it receives the data at $T_{5,2}$. The timing of the pipeline for the processor PC0 is shown in Fig. 3B in terms of transaction times $T_{m,n}$.

It is possible to allocate the resources of the pipeline for a memory read that hits in the cache 20 at P3 of each consecutive P-bus cycle. If this happens, every resource in the pipeline at a given time will be doing an operation for a different memory read. Fig. 3D shows the timing for a read hit that is initiated by a processor P having a lower priority than the processor P described above. Fig. 3E shows the timing for the read hit by the processor P in terms of transaction times $T_{m,n}$.

With the pipeline resource organization described above, the data register of the P-bus are used after the cache 20 is accessed. As a result, memory reads that hit in the cache 20 require only one pass through the pipeline to retrieve the desired data. However, memory reads that miss in the cache 20, and all memory writes, require access to the backing store memory units 28. Further, to maintain the validity of the data stored in the cache 20, memory read misses must allocate the cache 20 (i.e., change both its tag store 20A and data store 20B), while memory writes into locations contained in the cache 20 must update the cache 20 (i.e., change its data store 20B). Thus, unlike read hits, read misses and writes require a second pass through the pipeline resources. The latter transactions are explained below.



(4) Common Control Functions In Memory Request Queuing

Fig. 6 illustrates further details of the common control CC. The operation of the common control unit 10 is best illustrated by describing how it responds to memory reads that miss in the cache 20 and to memory writes. Read misses will be discussed first.

Assume processor P desires to read data from a memory location that is not contained in the cache 20. The processor P will proceed synchronously through the resources of the pipeline in the same manner described above for the processor P doing a read that hits in the cache 20. In fact, data is sent back to the processor P through the data registers of the P-bus during the DATA interval of the pipeline even if the hit check circuit 162 determines that the read missed in the cache 20. The data returned is thus incorrect. To account for this, the hit check circuit 162, upon detecting a miss, negates a DATA VALID signal and transmits the negated DATA VALID from the register 163 signal back to the processor P over the data section of the P-bus with the incorrect data. The negated DATA VALID signal puts the processor P in a WAIT state until, during TRANSMIT interval of a subsequent pipeline sequence, the processor P senses its own ID on the P-bus. The correct data for the location read by the processor P is received four cycles later from the data section of the P-bus during the DATA interval of that subsequent pipeline sequence.

Transaction times are indicated above the components shown in Fig. 4. They indicate the time when the corresponding component completes its operation in the pipeline.

As noted, the processor P is attempting a read from a memory location that is not contained in the cache 20. Upon detecting the miss, the hit check circuit in the cache 20 enters a function code F for a read, the ID of the processor P, and the physical address PA of the memory location to be read in the registers of



multiplexer 158. The information is latched into the registers at T5,2. At T6,1, the same information is entered in the appropriate queues or buffer 172, 174, or 176. Once the information is stored in the queues or buffer, the first pass of the read miss through the pipeline resources is completed.

As noted previously, the memory bus 48 operates asynchronously with respect to the P-bus. The memory references are invoked asynchronously through a memory bus arbitration circuit 186 until the queue is empty. The memory bus arbitration circuit 76 is described in the above referenced U.S. Patent No. 4,045,781. Order is preserved in each of the queues 172 and 176 and therefore, all memory accesses are thus treated on a first-in-first-out basis.

After a command wins control of the memory bus 48, it accesses the desired location in the backing store memory units 184. After the memory access is completed, the physical address PA and data read from the memory units 184 are passed from the memory bus 48 to a memory return queue 188. The memory return queue 188 also operates on a first-in-first-out basis. A duplicate tag store 182 is also maintained at the entry to the memory return queue 188 as a copy of the tag store 20A in the cache 20. Upon entry of the data in the memory return queue 188, a duplicate tag in the duplicate tag store 182 is written indicative of the physical address PA of the memory location just read. The purpose of the duplicate tag store 182 will be explained below.

With the data from memory stored in the memory return queue 188, the common control CC must request the pipeline in order to again access the cache 20 and to send the correct data back to the processor Pn, which initiated the read miss. The common control unit 10 thus initiates a new transaction by asserting its request line at T0,0 of a new bus cycle. As with all devices connected to the P-bus, the common control CC must



arbitrate for access to the P-bus. The common control CC, however, has the highest priority of all P-bus devices. When access to the P-bus is granted at T₀,₃, the common control CC places a function code F indicative of a read, the physical address PA and the ID from the memory return queue 188 on the address section of the P-bus. At T₄,₀, the common control CC allocates the cache 20 by writing the tag store 20A of the cache 20 with the physical address PA of the memory location just read and by inserting the data in the corresponding location of the cache data store 20B. At T₄,₃, the data is placed on the data section of the P-bus for reception by the processor P. The read miss operation is then complete.

From a device point of view, write operations to locations in memory are simple. The device initiating such a write requests the pipeline, places virtual address information VA on the address section of the P-bus during the TRANSMIT interval, places the data to be written on the data section of the P-bus during the DATA interval, and is then done. For the common control CC, however, writes are more involved. The common control CC must update the cache 20 if the location being written is in the cache 20. Since the order of the resources in the pipeline is such that the data to be written is not available to the common control CC when the cache 20 is accessed during the first pass through the pipeline, a second pipeline pass must be initiated.

If, during the first pass through the resources of the pipeline, the common control unit 10 detects a function code F indicative of a write to memory, it places the function code F, the physical address PA of the location to be written and the data to be written in the register 158. The information is then transferred to the queue 172 or 176 or to buffer 174, depending upon the ID signals, when the first pass through the pipeline resources is completed.



After the command wins control of the memory bus 48, it does a write in the backing store memory units 184. When the memory cycle is completed, the data from memory and the physical address PA of the location just written into memory 184 are loaded into the memory return queue 188. The duplicate tag store 182 is then checked to determine if the memory location just written is one that is contained in the cache 20. If it is not, the common control CC is done processing the write. If it is, then the common control CC requests the pipeline to initiate a second pass through the resources of the pipeline. During the second pass, the data store 20B of the cache 20 is updated with the data written into memory.

As noted, the duplicate tag store 86 is maintained as a copy of the tag store 20A in the cache 20. The validity of this copy is maintained by interlocking changes in the duplicate tag 182 with changes in the cache tag store 20A. The duplicate tag store 182 prevents a particular cache location that has yet to be updated by a write that hits in the cache 20 from being reallocated to different memory location between the two passes of the write through the resources of the pipeline. Such an erroneous reallocation could occur, for example, if the second pass of a read that missed the cache 20 occurred in time between the first and second pass of a write.

To illustrate, assume that the duplicate tag store 182 is eliminated, and that at a given time T0, cache location X corresponds to memory location A. Assume also that, at the time T0, the first pass of a read through the resources of the pipeline that misses the cache 20 is completed, but not the second pass of that read. At a later time T1, a write to memory location A is received by the common control CC. Because memory location A is contained in cache location X, the write would be treated like a cache hit. Assume further that at time T2, the second pass of the read that missed the cache 20



27

allocates cache location X to a different memory location, e.g., memory location B. At time T3, the second pass of the write being treated as a cache hit updates cache location X with the new data from memory location A. This results in erroneous data being stored in cache location X.

This problem is avoided according to the invention through the implementation of the duplicate tag store 182 at the entry of the memory return queue 188. It was recognized that only entries from the memory return queue 188 can change a cache tag. By placing the duplicate tag store 182 at the entry to the memory return queue 188 and by preserving order through the queue 188, it can be assured that the tag found in the duplicate tag store 182 is identical to that actually in the cache 20 at the time the particular entry reached the cache 20. If a particular cache location X happens to be reallocated to a new memory location before the write completes its second pass through the pipeline, a check of the duplicate tag store 182 reveals this. In that event, the write is treated as a cache miss even though the desired location may have been in the cache 20 during the first pass through the resources of the pipeline. As a result, the cache 20 is not erroneously updated.

The duplicate tag store 182 serves another purpose. As noted, the contents of the backing store memory units 184 can be changed by the mass storage controllers 40 and 42. When a memory location that is in the cache 20 is changed by an I/O transaction from the units 40 or 42, this change must be reflected in the contents of the cache 20. It is inefficient, however, to go through the cache 20 continually whenever the contents of the memory units 184 are changed by the units 40 or 42.

In this system, all accesses to the backing store memory units 28, including those from the secondary storage facilities 40 or 42, are serviced through the memory arbitration circuit 186 and memory return queue

BUREAU

188 with order preserved. This eliminates conflicts between transactions initiated by the units 40 and 42 and those initiated by P-bus devices which involve the same location in the memory units 184. Further, transaction
5 from the units 40 or 42, like those from P-bus devices, check the duplicate tag store 182 prior to initiating a cache update. If the duplicate tag store 182 indicates that the location written to by the units 40 or 42 is in
10 the cache 20, the cache 20 is updated; otherwise, it is not. This allows the cache 20 to be used only when an actual update is necessary thereby eliminating continual use of the cache 20 for every memory location written by
15 the units 40 or 42. In this manner, the cache 20 can be utilized for P-bus activity without sharing the bandwidth of the cache 20 with updates from the units 40 and 42.

As noted, writes that hit a given location in the cache 20 require a second pass through the resources of the pipeline to update the cache 20. It is possible that
20 a processor PC that is in the process of updating the location will attempt to read the same location before the update is completed. This would result in the return of unmodified, invalid data.

This problem could be avoided by invalidating the location in the cache 20 that is being written on the
25 first pass of the write through the resources of the pipeline. However, this would force the processor performing the write to be stalled for the entire duration of the write. It could also result in the invalidation of a cache location being used by another P-
30 bus device. Both would degrade overall system performance.

In accordance with the invention, a Processor Indexed Ram (PIR) 167 is implemented as part of the cache
35 20. The PIR 167 is used in lieu of any cache invalidation scheme. The PIR 167 includes one location for each processor 30 in the system 10. The PIR 90 is indexed by the ID of the processors PC and is one bit



wider than the 12-bit index of the cache 20. The additional bit in the PIR index is used as an indicator of when a write operation is in progress.

On the first pass of a write operation through the resources of the pipeline, the location of the PIR 167 corresponding to the processor P_n performing the write is written with the tag of the memory location to be written. At the same time, the additional bit in that location of the PIR 167 is set. Whenever a processor P_n attempts to read a location in the cache 20, it accesses its corresponding location in the PIR 167 during the CACHE time interval and checks the tag and the additional bit stored therein to determine if the location it is trying to read is one for which it has a write in progress. If it is, the read operation is treated as a read miss even if the location to be read is in the cache 20. The read is thus forced to enter the memory queue 172 behind the write. If it is not, the read operation proceeds as it would normally, i.e., as a read hit if the location is in the cache 20, or as a read miss if the location is not in the cache 20. When the write passes through the resources of the pipeline the second time, it clears the additional bit in the PIR 167 during the CACHE interval to signify that the write to the location has been completed.

Fig. 4B illustrates the timing for a read operation that is initiated by the processor P and that misses the cache 20. Fig. 4C illustrates the timing for a write operation that is initiated by a processor P that hits the cache 20. Processor P has a lower priority than processor P. Fig. 4D illustrates the timing for a write operation that is initiated by a processor P that misses the cache 20. Processor P has a lower priority than processor P and processor P. The clocking times P0 through P3 are shown in Fig. 4A.

In this specific embodiment, the PIR 90 includes only one location for each processor PC in the system 10.

Thus, the PIR 167 can account for only a single write in progress for each processor Pn . It is possible, however, for any given processor Pn to have more than one write operation in progress at a given time. If that happens, the PIR 90 prevents only the first of the locations being written to from being read. The succeeding locations being written to can be read by the processor PC, resulting in the return of invalid data.

In this specific embodiment, this problem is avoided through the implementation of a WRITE IN PROGRESS (WIP) flag in the hardware of the processors 30. The WIP flag, in effect, prevents any single processor Pn from having more than one write outstanding at any given time. When a processor PC desires to initiate a write operation, it checks its WIP flag before it requests the P-bus. If the WIP flag is set, then the processor PC continues to check the WIP flag until the WIP flag is cleared. When the WIP flag is cleared, the processor Pn requests the P-bus, transmits its ID, a write function code F and the VA of the location to be written over the address section of the P-bus, and then sets its WIP flag. When the processor Pn thereafter sees the common control unit 10 access the pipeline resources to update the cache and to clear the additional bit in the PIR 167, the processor Pn also clears its WIP flag. With this approach, all write operations must be forced to make a second pass through the pipeline resources, even if a check of the duplicate tag store 182 indicates that the location being written to is not contained in the cache 20, so as to clear the additional bit in the PIR 167 and the WIP flag in the processor Pn.

As an alternative to this approach, the PIR 167 could be implemented so as to include more than one location for each processor Pn in the system 10. The PIR 167 would then be capable of accounting for the case where a single processor PC has more than one write operation outstanding at any given time.



In a typical operation, input/output devices 32 (which might also include a remote processor) and the processors 30 initiate transactions with the pipeline resources by sending ID, function code, and virtual address signals to the high-speed P-Bus interface circuitry 61. The memory modules 28 are only accessed by the CCU 10 when these transactions "miss" the cache when performing a read command, or "hit" the cache when performing a write command. As previously explained, some of these transactions occur synchronously, others occur asynchronously, and some may even directly access the memory modules 28 or internal command/status registers of the CCU 10 when the command so authorizes. The mass storage controllers 40 and 42, on the other hand, always directly access the memory modules 28 via the memory interface 36 and therefore do not use the resources of the pipeline. However, the common control unit 10 does monitor write commands issued by the mass storage controllers 40 and 42, and if a location in the cache memory 20 is affected, an update of the cache is made by the CCU 10. Thus, it is apparent that, apart from synchronous accesses to the cache 20, requests for access to the memory modules 28 occur at various random time periods. To optimumly handle these requests, we show in Figs. 6 and 7 a queuing and memory arbitration arrangement for receiving the requests for access to the memory modules 28 thereby to provide efficient data throughput for our multiprocessing system. All queues operate on a first-in-first-out (FIFO) basis.

In Fig. 6, physical addresses, function codes, and ID signals, and data signals (if a write request) enter the first stage register 152 from the P-Bus 76 or from an internal arbitrator 150. These signals enter from a bus connection 156 on a first pass through the pipeline, or enter from bus connection 154 on a second pass through the pipeline as a result of an internal request by the CCU 10 to update or allocate its cache memory, to pass

data to a UNIBUS device, or to recirculate information signals, as necessary. Assuming that the request is generated internally, a multiplexer 158 selects the inputs to the register 152 according to the function code signals and the internally generated CCF control bits CCF2-CCF0 generated by a CCF generator, subsequently described. These control bits are altered from all zeroes on a first pass through the pipeline, as depicted in Table I, in response to function code signals, ID signals, and the hit check circuit 162. The CCU 10 includes control circuits responsive to these control bits to alter the internal operation of the CCU 10 on its second pass through the pipeline. The internal arbitration network 150 determines whether the CCU 10 gains access to its resources to update or allocate its cache 166, a UNIBUS device gains access to perform a transaction, or whether the memory arrangement 168 gains access to return requested data. Mass bus devices do not compete for use of the resources.

To further illustrate, assume that a read "miss" occurred on a first pass through the pipeline. This could occur when the requested data is not in the cache 20 or when the request is made to a location for which there is a write in progress. No data is returned over the P-Bus 76. Instead, at T, a multiplexer 170 sends the appropriate function code, physical address, and ID signals to a processor/UNIBUS queue 172, a UNIBUS/MEMORY buffer 174, or to a processor/memory queue 176. Likewise, on a write "hit", instead of updating the cache, the multiplexer 170 queues the same signals in the appropriate queue 170 or 172 or buffer 174. It also includes control circuitry to select the data signals associated with the function code, physical address and ID signals. The data signals, as previously noted, follow the address signals by four P-Bus cycles.

The processor/UNIBUS queue 172 passes its command to a UNIBUS interface circuit 178 which handles the command



according to the asynchronous UNIBUS protocol mentioned in U.S. Patent 3,710,324. In the preferred embodiment, queue 172 accommodates up to 16 sets of data, physical address, function code, and ID signals. It is loaded at the T time period as previously indicated. When a UNIBUS device responds to a processor/UNIBUS command, it places its data, function code, and ID signals in a UNIBUS return buffer 178. When the CCU arbitration circuit 150 accepts information from the UNIBUS return buffer 178, the CCF generator sends the appropriate control information to the processor/UNIBUS queue 172 thereby to permit another set of command information to enter the UNIBUS interface circuit 178. These procedures enable the CCU 10 to be compatible with the UNIBUS protocol.

Likewise, the UNIBUS/memory buffer 174 stores a single set of data, address, and control signals that emanate from a UNIBUS device. A command from a UNIBUS device enters the UNIBUS return buffer 178, and once it successfully gains access to the pipeline resources, it gets read data from the cache 166 via the processor/UNIBUS queue 172 if the data is resident in the cache 166. Otherwise, it places its read or write command information in the UNIBUS/memory buffer 174. If the UNIBUS command resulted in a "read miss", the CCU returns the read data to the processor/UNIBUS queue 172 on a subsequent pass through the pipeline. If the UNIBUS command resulted in a write "hit", the CCU notes that transaction and updates its cache 166 accordingly. The CCU generator notes the status of the transaction as they are stepped through the stages pipeline thereby to generate the appropriate control bits CCF2-CCF0 for controlling the internal operation of the CCU 10 at each stage thereof.

A processor/memory queue 176 receives commands from the processors 30 that are directed to the memory modules 184. These commands include read, write, write/modify, or reads and writes to internal registers resident in the

memory modules or the CCU 10. Again, assuming that a "read miss" or "write hit" occurred, all command information is queued in the queue 176 at T. The commands are subsequently selected for execution by the memory arbitration circuit 186. When executed, the write data (to memory) or read data (from memory) is returned via a memory return queue 188, together with their associated function code and ID signals. Eventually, the command returned from the memory return queue 188 gains access to the pipeline resources through the internal arbitration network 150. Thereafter, the appropriate second-pass CCU functions specified in Table I are performed in the common control unit.

Commands from devices on the mass storage bus 46, on the other hand, enter the memory arbitration circuit 176 from a buffer in the interface circuit 177. The only occasion during which a memory access by a mass storage device requires the use of the pipeline resources is when a mass storage device performs a write to the memory 184 at a location that is maintained in the cache memory 166. This determination is made with information from a duplicate tag store 182. Since the duplicate tag memory 182 is not part of the pipeline resources, only write "hits" need access the pipeline. This arrangement improves the overall performance of the multiprocessing system.

As mentioned, a CCU generator produces control bits CCF2-CCF0 that control the arbitrator 150 to give itself the highest priority when it needs to update or allocate its cache memory 166. Table 1 shows the control bits CCF2-CCF0 generated by the CCF generator 160 during a first pass through the pipeline resources for use by the CCU during its second pass through the pipeline resources. These control bits, together with the ID and function code signals, are supplied to a decoder in the CCU generator to produce the appropriate control signals at the appropriate time periods thereby to control the

multiplexer, gates, latches and registers within the CCU 10 according to the above described algorithm. A conventional read-only-memory (ROM) might constitute a decoder 180. Specifically, the ROM might, for example, be recorded with information so that the contents of the memory address identified by the ID and function code signals represents the CCF bits stored thereat. These CCF bits are then recirculated in the pipeline thereby to control its action during the second pass.

Some specific actions performed by the CCU in its preferred embodiment during the second pass include: selecting inputs to the register 152 by controlling multiplexer 158; activating queues 172, 174, or 176 to queue a request; updating or allocating the cache 166; updating the PIR; and updating the duplicate tag store 182. Other operations also may be performed. The specific gating and control circuitry resides in the skill of a person ordinarily skilled in the art, and therefore are not herein shown in detail.

All references to memory 184 from the processors 30, UNIBUS devices 32, or mass bus devices 68 and 70 enter the memory arbitration circuit 186. The memory arbitration circuit 186 decides which of the buffer 174, queue 172, and queue 176 will gain access to the memory modules 184 to perform, for example, a memory read or write command. Read data returned from the memory module 184 is temporarily stored in a memory return queue 188 prior to being returned to the common control unit 10. Since the common control unit 10 employs a write-through cache memory 20, data that is written into the memory module 184 is also temporarily stored in memory return queue 188 for later use by the common control unit 10 to update its cache memory 20. Whether or not an update operation is performed depends upon whether a "write hit" occurs in the duplicate tag store 182. It was previously mentioned that the duplicate tag store 182 keeps track of all allocations of the cache memory 20 so as to



maintain a current list of address tags corresponding to the memory data resident therein. This feature permits the common control unit 10 to update its cache memory 20 on a single pass through the pipeline resources. Two
5 passes would otherwise be required because the hit check circuit is not utilized in the pipeline until after the cache memory 20 is accessed.

Fig. 7 depicts the memory arbitration and return circuit 168. As shown therein, the memory bus
10 arbitration circuit 186 receives physical address signals, function code signals, identification signals, and data signals (if a write command) from the processor/memory queue 172, from the UNIBUS/memory buffer 174, and from a mass storage interface circuit 177. Each
15 of the connections comprises a separate set of conductors for transferring physical address signals, function code signals, identification signals, and data signals from a selected buffer 174, queue 176, or interface circuit 177. As with Fig. 6, the drawings only reflect the paths along
20 which these conductors extend and does not show the individual separate conductors. Selection can be performed by conventional techniques, such as rotational, assigned priority, or dependant upon number of commands in the queues.

25 Once a particular command is selected by the arbitration circuit 186, the physical address signals, write data (if any), function code signals, and ID signals are transferred over the conductors 198 to a command buffer 196. From the command buffer 196, these
30 signals are conveyed to both the memory modules 184 and a memory command queue 200 over separate sets of conductors 202, 204, and 206. A command decoder 208 receives the function code signals and the ID signals and decodes the same thereby to produce the appropriate control signals
35 for controlling memory operations in the memory modules 184. If a read command is to be executed, memory data is returned from the modules 184 via memory bus connection



210 to a multiplexer 212. If a write command is to be executed, control circuitry in the memory module 184 enters the write data at the location identified by the physical address on the physical address conductors 202, and then records the same write data in the memory command queue 200. Depending upon the function code associated with the command, multiplexer 212 is switched by the control logic in the memory command queue 200 in order for transmitting read data from the memory modules 184 or for returning write data from the memory command queue 200 thereby to place the appropriate data, together with its function code, ID, and physical address signals, in the memory return queue 188, which is the preferred embodiment, can accommodate up to 16 sets of commands.

The output of the memory return queue 188 is then conveyed to the mass storage bus interface circuitry 177, the common control internal arbitration network 150, and the duplicate tag store 182. If the function code and ID signals specify that read data is to be returned to a mass storage device, the mass storage bus interface circuit 177 accepts the read data without use of the pipeline resources and therefore does not access, nor allocate, the cache memory 20 nor any other pipeline resource. The address of data written into memory modules 184 by a mass storage device, or by any other device for that matter, is monitored by a tag compare circuit 214, and if a write "hit" occurs in the duplicate tag memory 182, the CCU 10 requests access to the pipeline resources to update its cache memory 20. Such update operations are accomplished by transferring the write command from the memory return queue 188 to the internal arbitration network 150 which grants immediate access to the resources. Cache update commands have one of the highest priorities for access to the pipeline resources.

Data read from memory module 184 that is directed back to the processors 30 or UNIBUS devices 32 will



record their associated memory addresses in the duplicate tag store 182 prior to being loaded into the memory return queue 188. Accordingly, the duplicate tag store 182 contains addresses of all tag addresses of the cache memory 20 whether or not the cache tag store 20A has yet been allocated. Write data commands in the queue 188 that is scheduled to update the cahce memory 20 to access the duplicate tag store 182 after exiting from the queue 188. Thus, even though the cache 20 has not yet been allocated by read data scheduled to do so, a write command will not update the cache if there is an allocate operation pending in the queue 188. Therefore, in accordance with another aspect of this invention, the queuing arrangement prevents false updates of a cache location that will be imminently allocated by a read command resident, but not yet executed, in the queue 188. This arrangement obviates the need to interlock commands that alter the tag store 20A of the cache memory 20. Such interlocking would seriously degrade overall performance of the system.

As previously stated, the P-Bus 76 comprises separate address and data sections for conveying respectively address and control signals on one hand, and data signals on the other hand. Correspondingly, the resource of the common control unit is divided into separate address section, depicted in Fig. 8A, and a separate data section depicted if Fig. 8B. In a command that has associated data signals is placed on the P-Bus 76 by a P-Bus device, the function code signals, ID signals, and address signals are placed in a first stage register 352 during a first P-Bus cycle, and the data signals are placed in the first stage register 306 of the data section of the common control unit four cycles later. When the next set of command information is placed in the first stage section 352, the first set of command signals advances to the second stage registers 354, and the associated data signals with the second

command, if any, is placed in the register 306. The previous set of data signals advanced to the second stage data register 308. Thus, as each set of command information is placed in the register 352, its
5 corresponding data signal follows four cycles later in the data register 306. The advantage of transferring the data signals four cycles behind the function code, address and ID signals is apparent from a brief review of the common control unit 10 depicted in Fig. 6. Assume
10 that a miss occurred in the cache 166 thereof. An access to the memory modules is made and the corresponding data is returned through the internal arbitration network 150. The function code, ID and physical address signals emanate from the arbitration network 150 onto data
15 conductors 155 while the corresponding data signals are carried via conductors 154 to the first stage register 152. When the function code, ID, and physical address signals reach the P-Bus 76 by way of the output registers 163, the P-Bus device which initiated the read command is
20 notified of its upcoming data signals which will be propagated through the common control unit resources four cycles later. Since the function code, ID and address signals need not use the resources of the common control, specifically the memory management circuit and the
25 address translation circuit 24, those resources can be available to other sets of command information that is being propagated through the pipeline resource. The separation of the address and data signals in this fashion provides for efficient operation and use of the
30 common control resources.

Refer now to Figs. 8A and 8B. The CCF generator 340 controls the operation of all the registers, multiplexers, and internal logic of the registers of Figs. 8A and 8B. The common control arbitrator 336 and
35 the CCF generator 340 monitor the information signals associated with the commands as they are sequenced through the resources of the pipeline. On the first pass

of the set of command information through the pipeline, the CCF generator 340 receives information that is to be returned to the P-Bus 76 by way of conductors 370, 374, and 378. In response to that information, the CCF generator 340 produces a set of control bits CCF2-CCF0 which are then supplied to the CCF section of the register 360. Other control signals from the CCF generator 340 are supplied to a multiplexer control circuit 350 which controls the selection of the multiplexers 364, 366, and 368 on subsequent passes of command information through the pipeline resources. Additionally, the multiplexer control circuit 350 controls the selection of cache data on conductors 318 or pipeline data transmitted over conductor 316 through the multiplexer 314. The conditions by which the selection is made was previously explained. The multiplexer control circuit 350 also controls a multiplexer 300 thereby to select data signals from the pipeline via conductors 302 or data signals from the internal arbitration circuit 150 via conductors 304.

The common control unit 10 also includes a Processor Index RAM 326 shown in Fig. 8B. The Processor Index RAM contains an index position 325 for storing physical addresses and ID signals and a write-in-progress bit 327 for indicating whether the device identified by the ID signals therein has transmitted a write command that has not yet been written in the cache memory. When the command information of a commanding device reaches the cache memory stage of the pipeline resources, it not only checks the address tag store in the cache memory 328 to ascertain whether its requested data is resident in the cache memory, it also checks its index position 325 and its write-in-progress bit 327. Thus, even though the requested information is resident in the cache memory 328, if the write-in-progress bit 327 is set, the circuit 330 will force the commanding device to "miss" its memory reference to the cache. The force "miss" circuit 330

alters the control logic in the tag compare and data valid logic circuit 342 thereby to negate its data valid signal when the driver 346 is activated. These operations are permitted to occur by a delay line 348 which delays the transmission of the command and data information until such time as a tag compare and access to the processor index RAM 326 can be made.

To further optimize efficient use of the pipeline resources, when requested read data is scheduled to be returned through the data section of the pipeline resources, the common control arbitration network 336 allocates the return information to a P-Bus slot only if data is not being transmitted with another command in the pipeline. If this type of time slot is available, the multiplexer control circuit 350 will cause the multiplexers 364, 366, and 368 to enter the function code signals, ID signals, and a portion of the physical address signals into the register 360, which signals are thereafter driven onto the P-Bus 76 at the same time that the requested read data is supplied to the pipeline input buffer 306. When the data that was supplied to the data register 306 reaches the output buffer 320 just prior to being driven into the pipeline, the common control unit will have recirculated the function code signals, ID signals, and physical address signals to itself so that all of this information reaches the output buffers 360 and 320 at the same time so that they together may be placed on the P-Bus 76. Other operations are also performed within the common control unit depending on the status of the CCF generator control bits CCF2-CCF0. These control bits are recirculated through the register stages 352, 354, 356, 358, and 350 and 360 thereby to initiate certain action within the processor index RAM 326 and the cache memory 328. Fig. 5 depicts all operations that are performed internally within the common control unit in accordance with the type of command, the physical address range, and the function

code signals F3-F0. The appropriate information is supplied from the registers to control circuitry in the common control unit to effect these operations.

5 The foregoing description is limited to a specific embodiment of this invention. It will be apparent, however, that this invention can be practiced with data processing systems having diverse basic construction or with systems that use different internal circuitry than is described in this specification with the attainment of
10 some or all of the foregoing objects and advantages of this invention. Therefore, it is the object of the appended claims to cover all such variations and modifications as come within the true spirit and scope of this invention.

15 What we claim as new and desire to secure by Letters Patent of the United States is:



CLAIMS:

1. A multiprocessor data processing system comprising:
 - A. a common control unit comprising
 - i. pipeline resource means including associative memory means that includes a plurality of addressable storage locations for storing information therein,
 - ii. input means including a control section for receiving control information signal that enables the use of said pipeline resource means and a data section for receiving data signals transmitted thereto, and
 - iii. output means including a control section for transmitting control information therefrom and a data section for transmitting data signals therefrom,
 - iv. memory interface means for transferring information signals to and from said common control unit, and
 - v. input and output interface means for transferring external information signals to and from said common control unit,
 - B. random access memory means connected to said memory interface means comprising
 - i. at least one memory module that includes addressable storage locations therein for storing information signals, and
 - ii. memory bus means interconnecting said memory interface means and said memory modules for transferring information signals therebetween,
 - C. secondary storage means connected to said memory interface means comprising
 - i. at least one secondary storage device for storing information signals therein,



- ii. controller means connected to said secondary storage devices for controlling the transfer of information signals to and from said secondary storage devices, and
 - iii. mass storage bus means interconnecting said memory interface means and said secondary storage controller means for transferring information signals therebetween,
- D. input and output means connected to said input and output interface means comprising
- i. at least one input and output device for providing external communication with said data processing system, and
 - ii. input and output bus means interconnecting said input and output interface means and said input and output devices for transferring information signal therebetween, and
- E. multiprocessing means connected to said input means and to said output means of said common control unit, said multiprocessing means comprising
- i. a plurality of processors, and
 - ii. processor bus means for synchronously transferring information between said plurality of processing means and said common control unit, said processor bus means including a first set of conductor means connected to the control sections of said input means and said output means for synchronously transferring address and control signals with said common control unit, and a second set of conductor means connected to the data sections of said input means and said output means for synchronously transferring data signals with said common control unit.

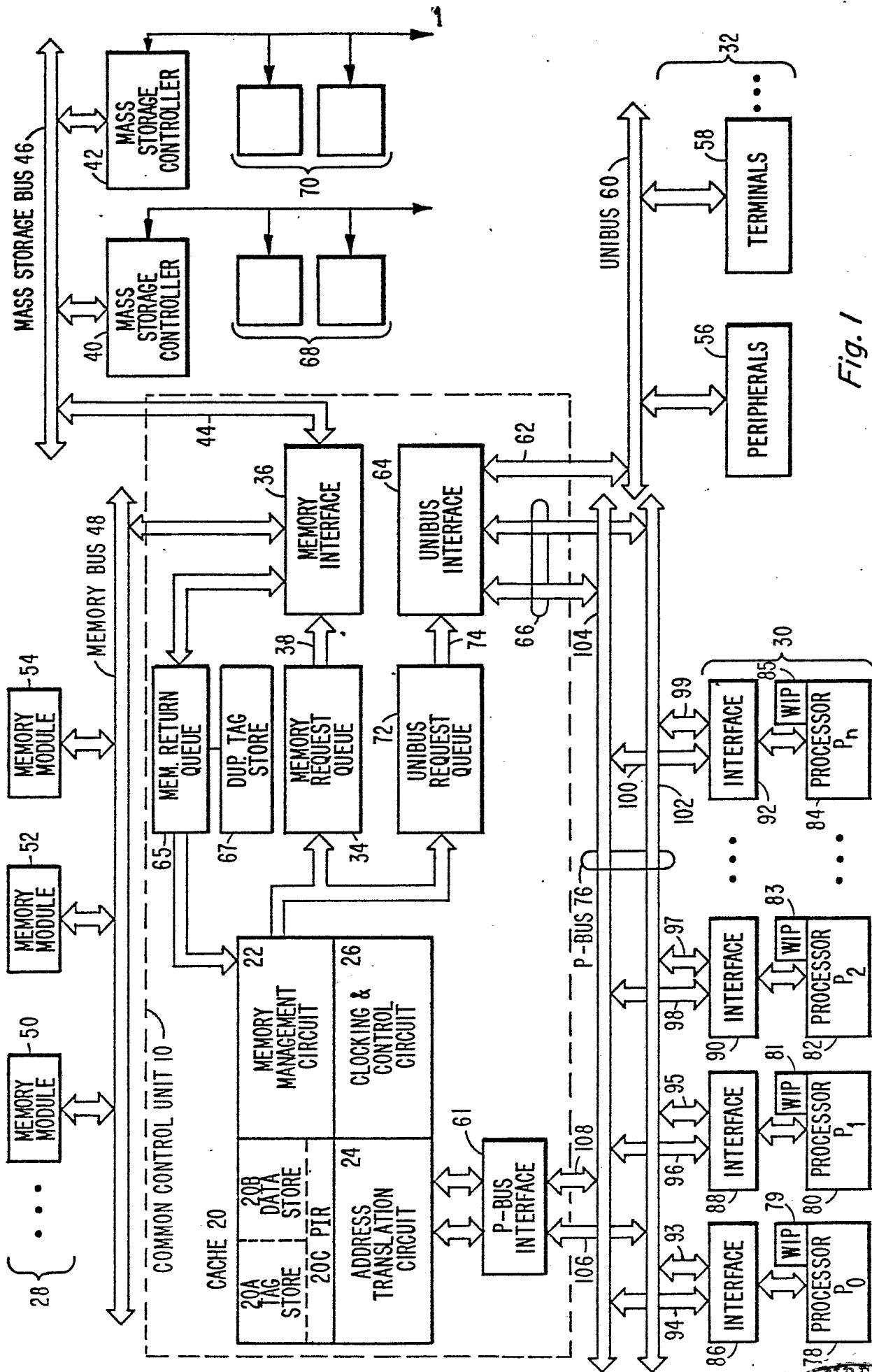


Fig. 1

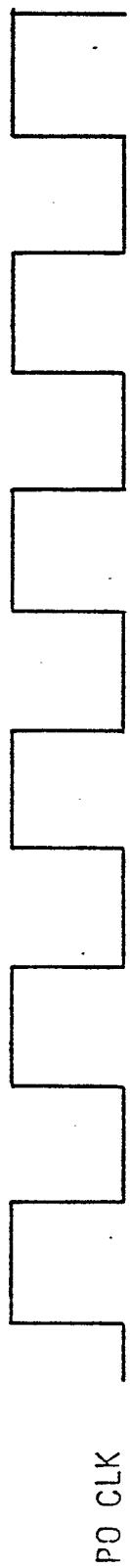


Fig. 2a

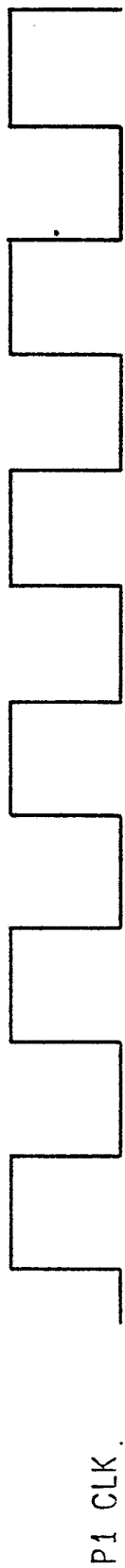


Fig. 2b

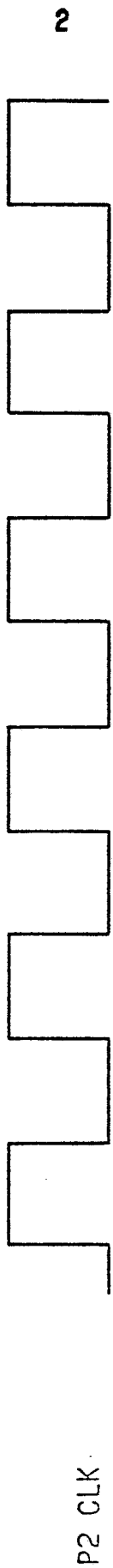


Fig. 2c

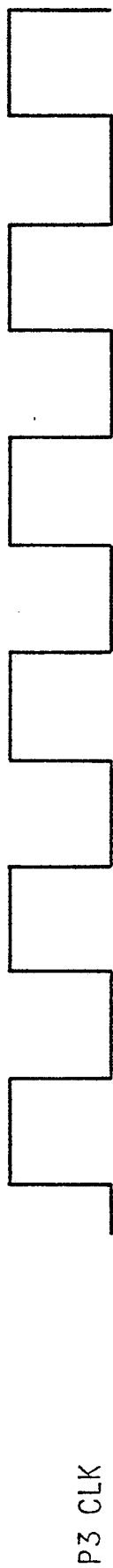


Fig. 2d

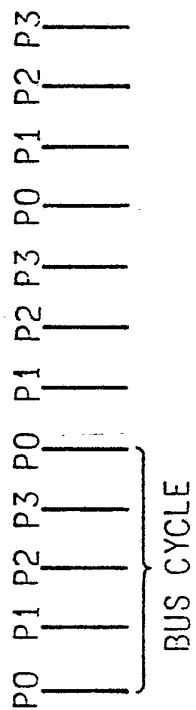


Fig. 2e

32

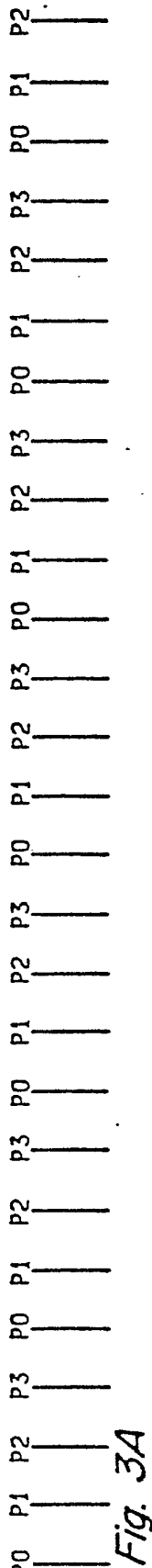


Fig. 3A

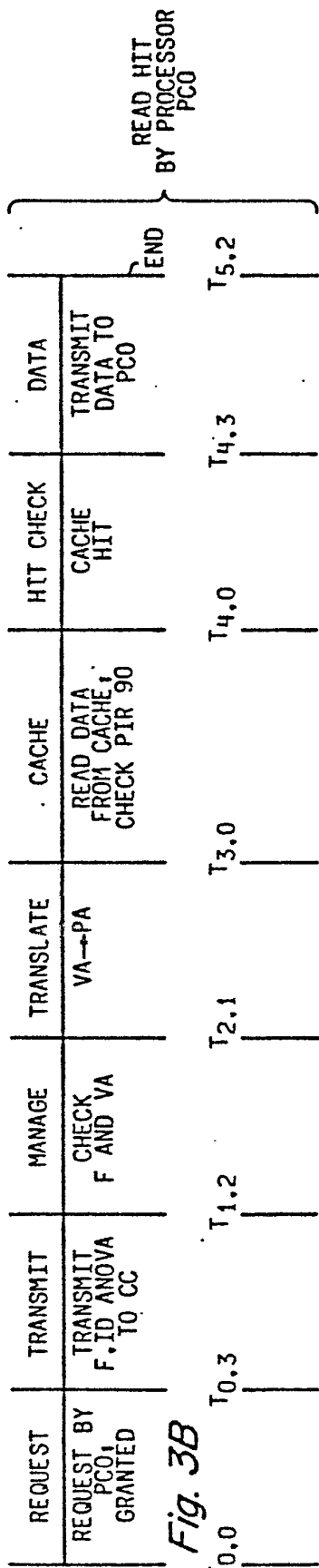


Fig. 3B

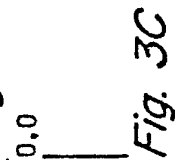


Fig. 3C

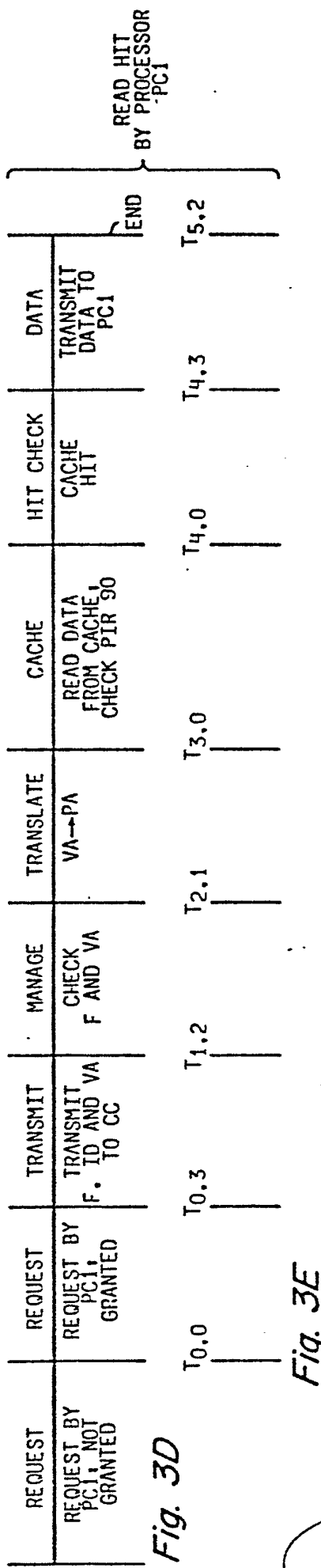


Fig. 3D

Fig. 3E



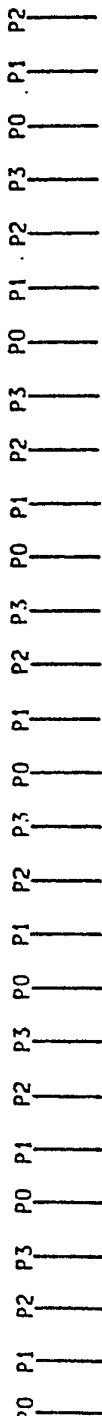


Fig. 4A

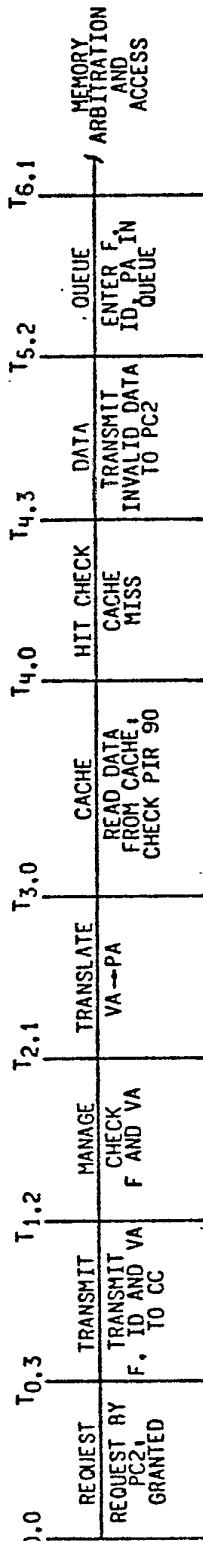


Fig. 4B

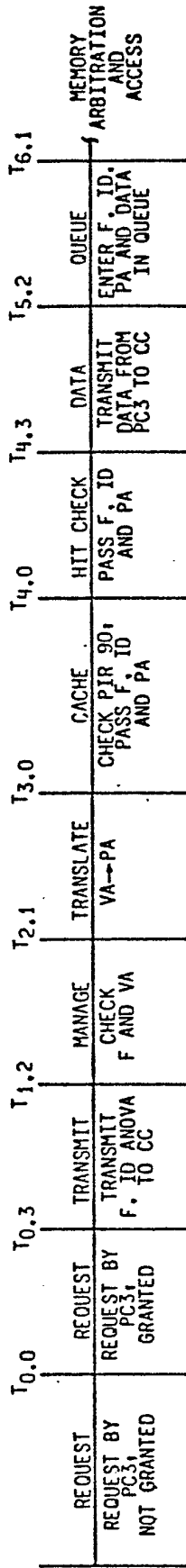


Fig. 4C

WRITE ENDS WHEN CHECK OF DUPLICATE TAG STORE 86 INDICATES MISS

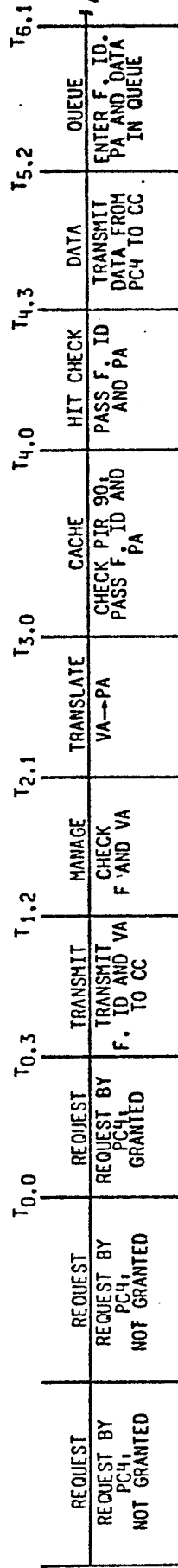


Fig. 4D

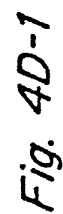
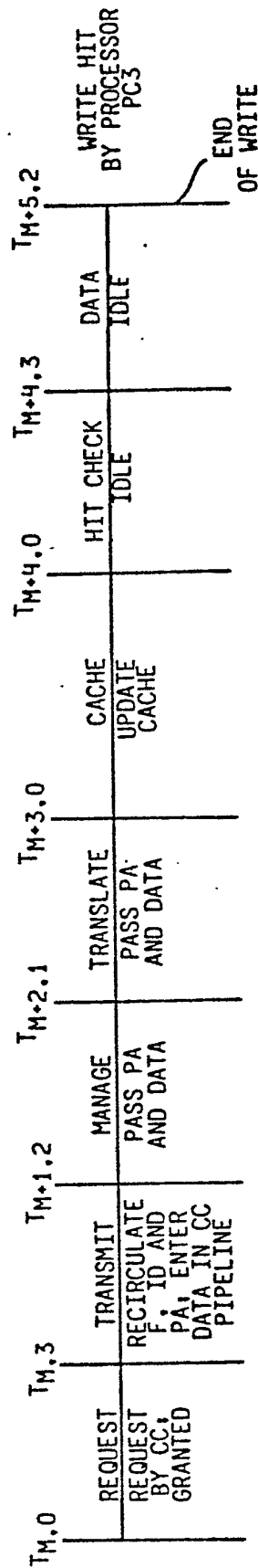
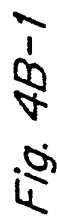
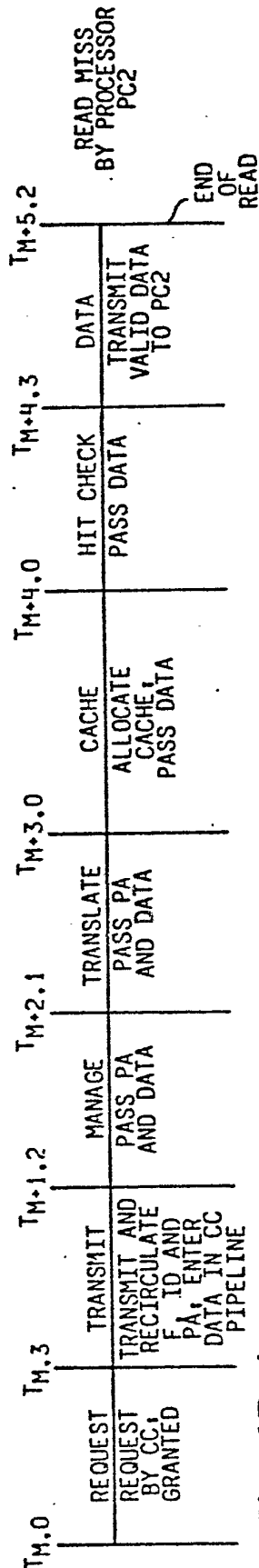
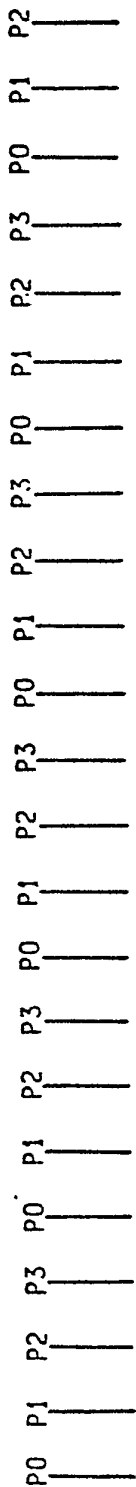


TABLE I

PIPELINE PASS	P-BUS FUNCTION	PHYSICAL ADDRESS RANGE	F3-F0 BITS	CCF2-CCF0 BITS	CCU FUNCTION
1ST	READ	UNIBUS OR MEMORY	0010	000	GET DATA FROM CACHE, MEM OR UNIBUS
2ND	READ	INTERNAL REGISTER	0010	001	GET REG. DATA
2ND	READ	CACHE	0010	010	GET CACHE DATA
2ND	READ	MEMORY	0010	011	WRITE DUP TAG MEM, ALLOCATE CACHE, RETURN DATA
2ND	READ	UNIBUS	0010	100	RETURN DATA
1ST	WRITE	UNIBUS OR MEMORY	0100	000	WRITE PIR, SET WIP BIT, WRITE MEM OR UNIBUS
2ND	WRITE	INTERNAL REGISTER	0100	001	WRITE INT.REG., CLEAR PIR & WIP BITS, NOTIFY PN.
2ND	WRITE	INTERNAL REGISTER	0100	010	WRITE CACHE REG. CLEAR PIR & WIP BITS, NOTIFY PN.
2ND	WRITE	MEMORY	0100	011	CLEAR PIR, UPDATE CACHE IF DUP. TAG. HIT, NOTIFY PN.
2ND	WRITE	UNIBUS	0100	100	CLEAR PIR & WIP BITS, NOTIFY PN.

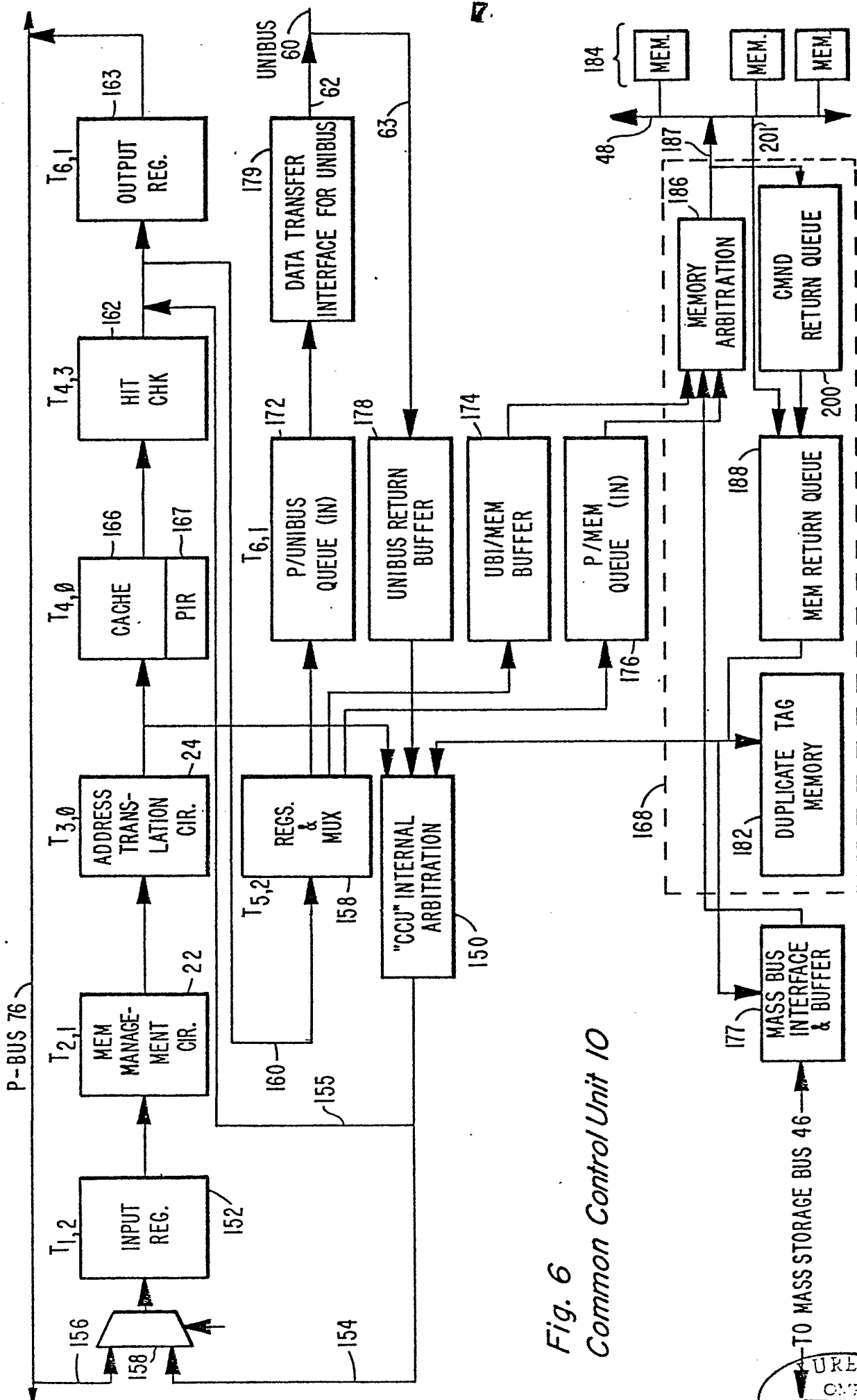


Fig. 6
Common Control Unit 10

RECEIVED
BUREAU
CMT

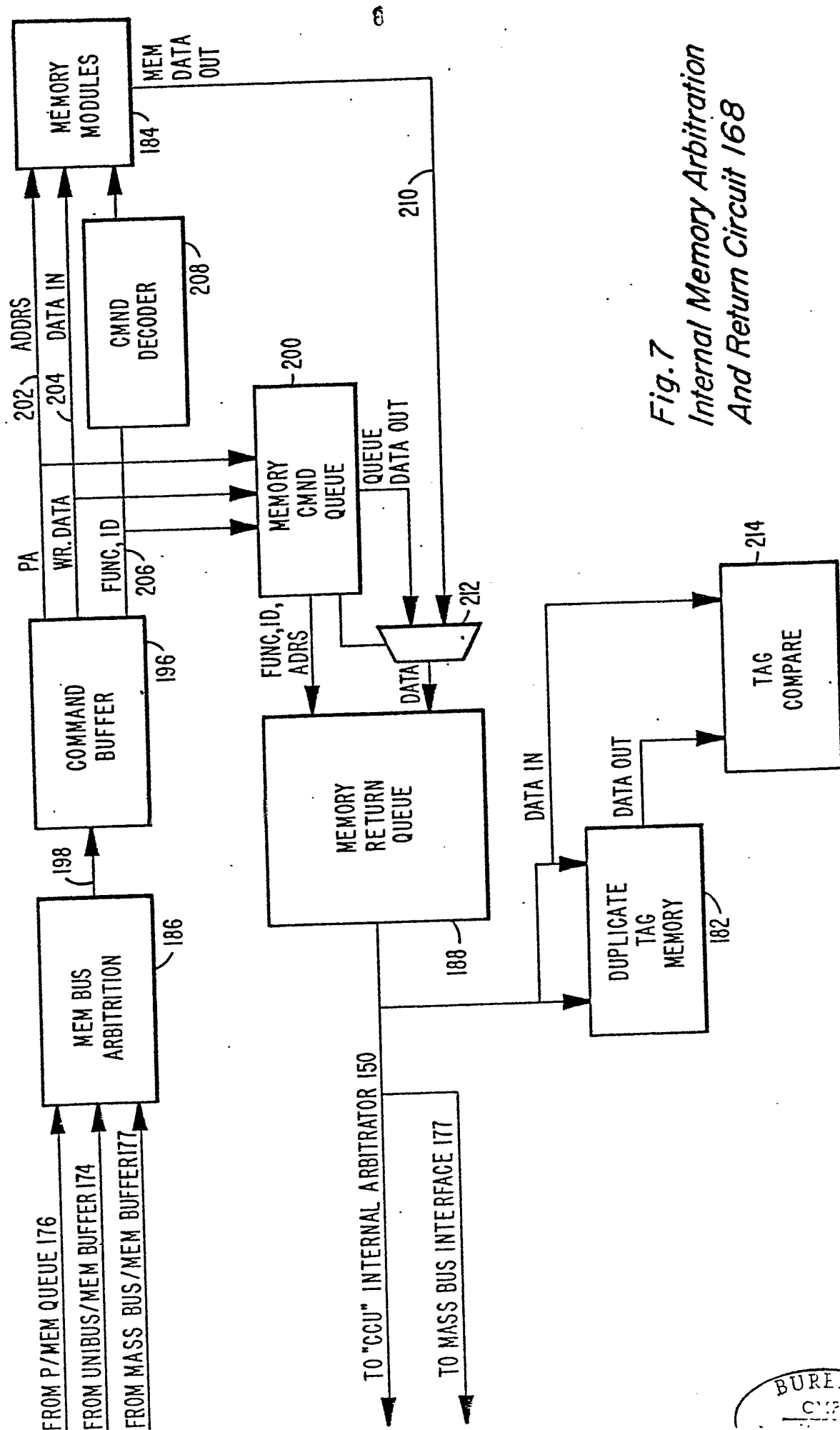


Fig. 7
Internal Memory Arbitration
And Return Circuit 168

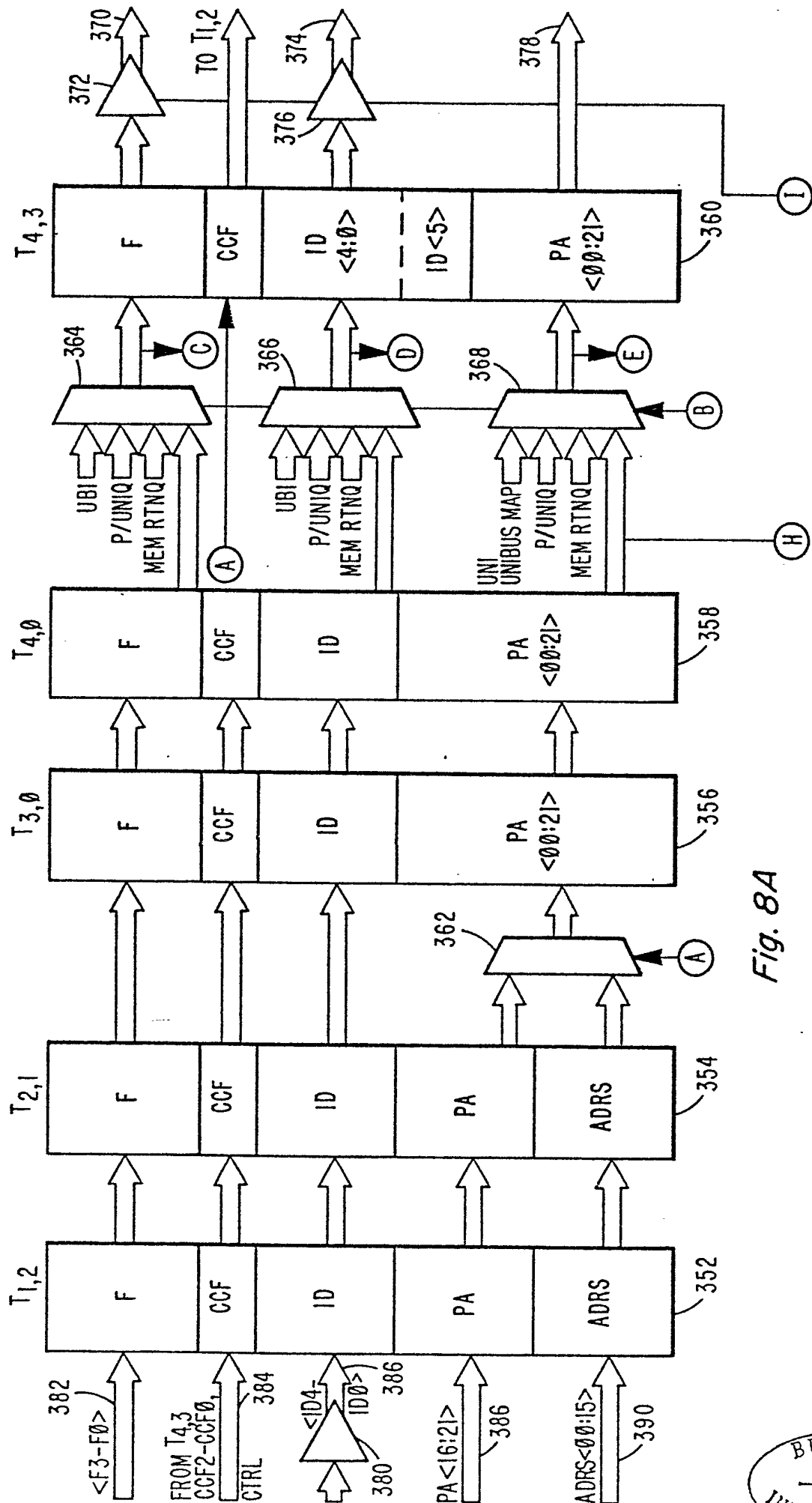


Fig. 8A

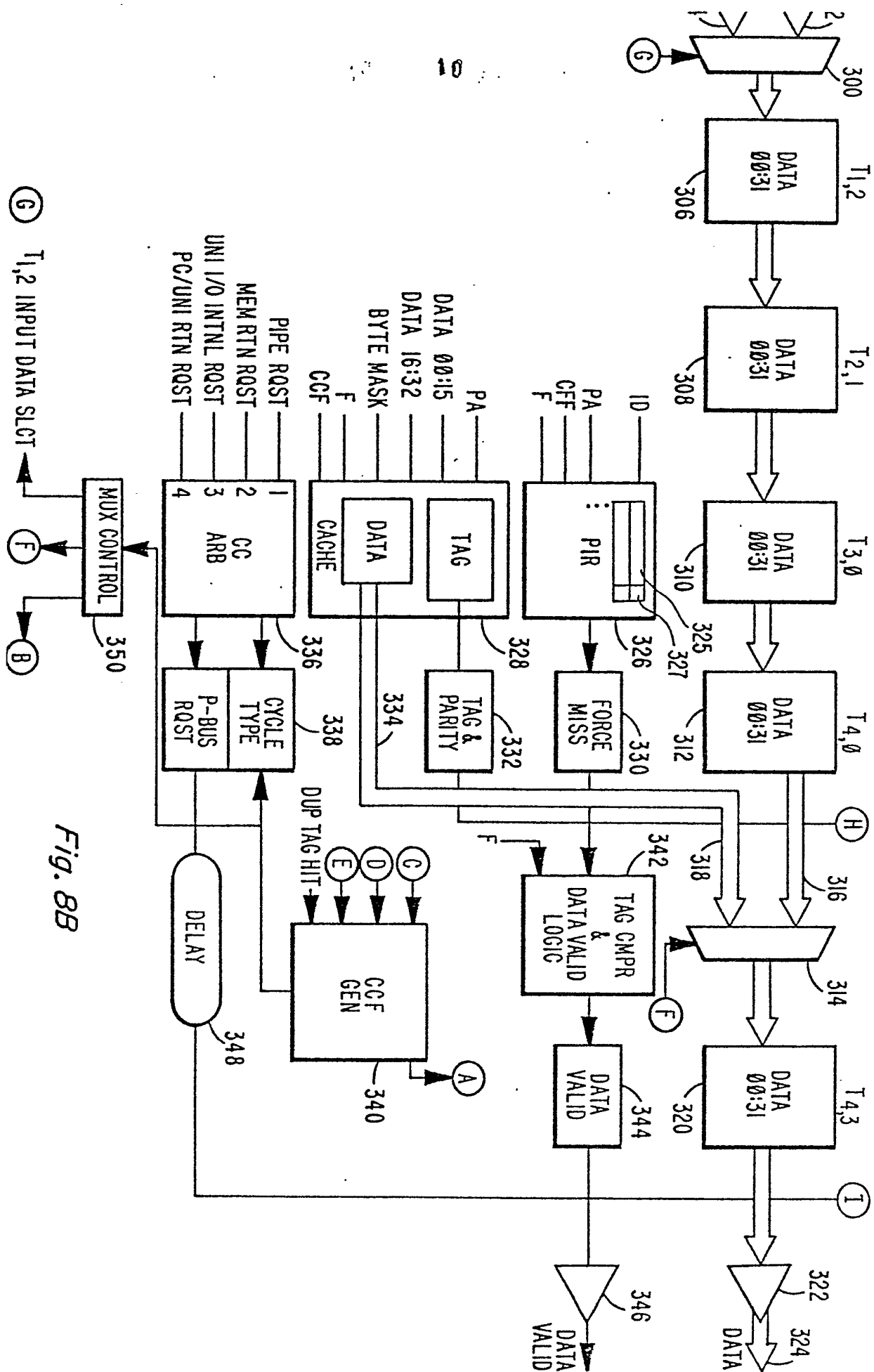


Fig. 8B

BUREAU

INTERNATIONAL SEARCH REPORT

International Application No PCT/US81/00126

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) *		
According to International Patent Classification (IPC) or to both National Classification and IPC		
U.S. CL. 364/200		
INT. CL. ³ G06F 9/38, 15/16		
II. FIELDS SEARCHED		
Minimum Documentation Searched ⁴		
Classification System	Classification Symbols	
U.S.	364/200	
Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched ⁵		
III. DOCUMENTS CONSIDERED TO BE RELEVANT ¹⁴		
Category *	Citation of Document, ¹⁶ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No. ¹⁸
A	US, A, 3,771,137 Published 06 November 1973, Barner et al	1
A	US, A, 3,787,673 Published 22 January 1974, Watson et al	1
A	US, A, 4,045,781 Published 30 August 1977, Levy et al	1
A,P	US, A, 4,245,304 Published 13 January 1981, Porter et al	1
<p>* Special categories of cited documents: ¹⁵</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>"A" document defining the general state of the art</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document cited for special reason other than those referred to in the other categories</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> </div> <div style="width: 45%;"> <p>"P" document published prior to the international filing date but on or after the priority date claimed</p> <p>"T" later document published on or after the international filing date or priority date and not in conflict with the application, but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance</p> </div> </div>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search ²	Date of Mailing of this International Search Report ²	
18 May 1981	28 MAY 1981	
International Searching Authority ¹	Signature of Authorized Officer ²⁰	
ISA/US	<i>Thomas M. Hecker</i>	