

(54) Title  
**Vaccines with higher carbohydrate antigen density and novel saponin adjuvant**

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(56) Related Art  
**US 5,057,540 A**  
**US 6,524,584 B2**  
**Perico M.E. et al. "Development of a new vaccine formulation that enhances the immunogenicity of tumor-associated antigen CaMBr1" Cancer Immunol. Immunother. (2000) 49: 296-304**

## ABSTRACT

The present invention provides vaccines comprising carbohydrate antigen conjugated to  
5 a diphtheria toxin (DT) as a carrier protein, wherein the ratio of the number of  
carbohydrate antigen molecule to the carrier protein molecule is higher than 5: 1. Also  
disclosed herein is a novel saponin adjuvant and methods to inhibit cancer cells, by  
administering an effective amount of the vaccine disclose herein.

VACCINES WITH HIGHER CARBOHYDRATE ANTIGEN DENSITY AND  
NOVEL SAPONIN ADJUVANT

**CROSS-REFERENCE TO RELATED APPLICATIONS**

5 [0001] This application claims the benefit of U.S. Application No. 61/748,880,  
filed on 4 January 2013, the entire disclosure of which is incorporated herein by  
reference. This application is a divisional application of Australian Patent  
Application No. 2014203977, the contents of which are incorporated herein by  
reference.

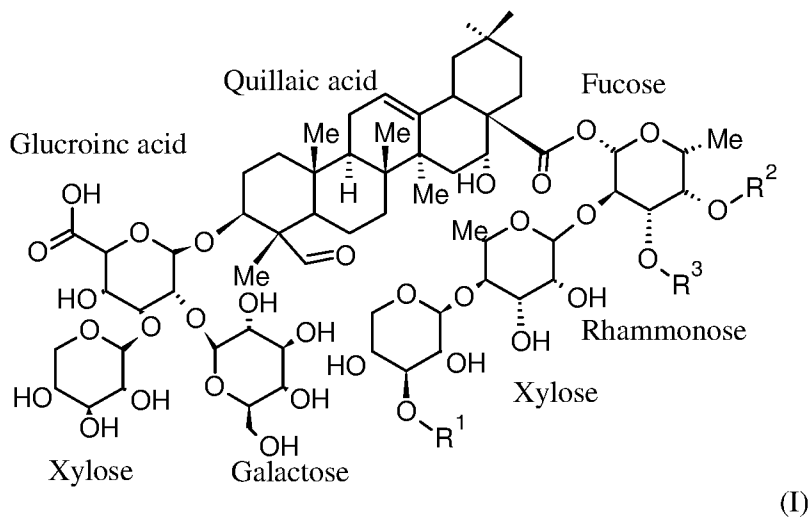
10 **BACKGROUND OF THE INVENTION**

[0002] Cancer vaccines are designed to treat cancers by boosting the body's  
natural ability to protect itself, through the immune system. It has always  
represented a very attractive therapeutic approach, especially in light of the many  
shortcomings of conventional surgery, radiation and chemotherapies in the  
15 management of cancer. However, due to the low immunogenicity of the cancer  
carbohydrate antigen and the fact that many synthetic vaccines induce mainly IgM  
and to a lesser extent IgG antibody, the effectiveness of such cancer vaccine is still  
low. Various approaches have been explored, such as the use of an adjuvant, to aid  
immune recognition and activation.

20 [0003] There is an unmet need to develop a cancer vaccine and an effective  
adjuvant with improved immune response, especially IgG response. The present  
invention provides vaccines against carbohydrate antigens and adjuvant to satisfy  
these and other needs.



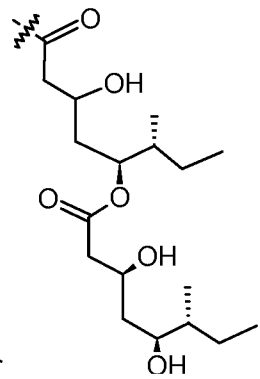
[0006] Another embodiment of the present invention provides for pharmaceutical compositions comprising a compound of formula (I)



or a pharmaceutically acceptable salts thereof,

5 wherein

$R^1$  is selected from  $\beta$ -D-Apiose or  $\beta$ -D-Xylose;



$R^2$  and  $R^3$  are selected from H, alkyl or

and a pharmaceutically acceptable carrier.

[0007] A third embodiment of the present invention provides for a novel saponin  
 10 adjuvant, OBI-821, which comprises 1857 compound V1A, 1857 compound V1B,  
 1857 compound V2A and 1857 compound V2B.

[0008] A fourth embodiment of the present invention provides for vaccines  
 comprising a carbohydrate antigen or its immunogenic fragment; and OBI-821  
 saponin adjuvant. In one embodiment, the vaccine further comprises a carrier protein.

15 It has been discovered that the IgG production, antibody-dependent cell-mediated

cytotoxicity (ADCC) and/or complement-dependent cytotoxicity (CDC) activities of the vaccine with OBI-821 saponin adjuvant are higher compare to that of a vaccine without the OBI-821 saponin adjuvant.

[0009] The present invention is also directed to methods for (i) inhibiting cancer cells, 5 comprising administering an effective amount of the vaccine described herein, wherein the cancer cells are inhibited; and, (ii) inducing an immune response, comprising administering an effective amount of the vaccine described herein to a subject in need thereof.

[0010] The present invention also discloses a pharmaceutical composition comprising 10 the vaccine described herein and a pharmaceutically acceptable excipient or carrier.

[0011] Statements containing these terms should be understood not to limit the subject matter described herein or to limit the meaning or scope of the patent claims below. Embodiments of the invention covered by this patent are defined by the claims below, not this summary. This summary is a high-level overview of various aspects of the 15 invention and introduces some of the concepts that are further described in the Detailed Description section below. This summary is not intended to identify key or essential features of the claimed subject matter, nor is it intended to be used in isolation to determine the scope of the claimed subject matter. The subject matter should be understood by reference to appropriate portions of the entire specification, 20 any or all drawings and each claim.

[0012] The invention will become more apparent when read with the accompanying figures and detailed description which follow.

## BRIEF DESCRIPTION OF THE DRAWINGS

[0013] Illustrative embodiments of the present invention are described in detail below with reference to the following Figures:

5 [0014] Fig. 1A is a bar graph illustrating quantitative Anti-Globo H IgG titer on Day 24 for the following compositions: Globo H/KLH/ OBI-821 saponin, Globo H/DT/OBI-821 saponin, Globo H/DT/C34 and Globo H/KLH/C34.

[0015] Fig. 1B is a line plot illustrating the Anti-Globo H IgG titer over a 24-day period of the compositions in Fig. 1A.

10 [0016] Fig. 2 is an assembly of bar graphs showing *in vivo* ADCC and CDC activities of G2 vaccine (Globo H/DT (8:1)); G3 vaccine (Globo H/DT (8:1)/OBI-821); and G4 vaccine (Globo H/DT (24:1)/OBI-821) in mice over a 24-day period. Fig. 2A illustrates the ADCD raw data, Fig. 2B illustrates the ADCD normalized data, Fig. 2C illustrates the CDC raw data and Fig. 2D illustrates the CDC  
15 normalized data.

[0017] Fig. 3A and Fig. 3 B are line plots illustrating the overall IgM and IgG titers of the following compositions over a 24-day period: G1 (Globo H/KLH/ OBI-821), G2 (Globo H/DT (3:1)/ OBI-821), G3 and G4 (Globo H/DT (8:1)/ OBI-821), G5 (Globo H/DT (8:1)/C34), G6 (Globo H/KLH/C34), G7 (Globo H/DT(16:1)/ OBI-821) and G8  
20 (PBS).

[0018] Fig. 4 is an assembly of bar graphs showing the IgM and IgG response at Day 10, Day 17 and Day 24 of the compositions listed in Fig. 3: Panel (A)-(C) illustrate the IgM response of the compositions listed in Fig 3. on day 10, 17 and 24 respectively. Panel (D)-(F) illustrate the IgG response of the compositions listed in  
25 Fig. 3 on day 10, 17 and 24 respectively.

[0019] Fig 5A- Fig. 5C are mass spectrum images of OBI-821 (comprising

compounds 1989 and 1857).

[0020] Fig. 6 is a chromatogram LC-UV image of OBI-821.

[0021] Fig. 7 is an assembly of chromatogram LC-MS images of OBI-821.



## DETAILED DESCRIPTION OF THE INVENTION

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[0022] In order to provide a clear and ready understanding of the present invention, certain terms are defined herein. Unless defined otherwise, all technical and scientific terms used herein have the same meanings as is commonly understood by one of skill in the art to which this invention belongs.

[0023] An “effective amount,” as used herein, refers to a dose of the vaccine or pharmaceutical composition that is sufficient to reduce the symptoms and signs of cancer, which include, but are not limited to, weight loss, pain and tumor mass, which is detectable, either clinically as a palpable mass or radiologically through various imaging means.

[0024] The term “subject” can refer to a vertebrate having cancer or to a vertebrate deemed to be in need of cancer treatment. Subjects include warm-blooded animals, such as mammals, such as a primate, and, more preferably, a human. Non-human primates are subjects as well. The term subject includes domesticated animals, such as cats, dogs, etc., livestock (for example, cattle, horses, pigs, sheep, goats, etc.) and laboratory animals (for example, mouse, rabbit, rat, gerbil, guinea pig, etc.). Thus, veterinary uses and medical formulations are contemplated herein.

[0025] As used herein, the term “alkyl” refers to a straight or branched monovalent hydrocarbon containing, unless otherwise stated, 1-20 carbon atoms, e.g., C<sub>1</sub>-C<sub>8</sub> or C<sub>1</sub>-C<sub>4</sub>, which can either be substituted or unsubstituted (other chain lengths, e.g., 21-30, may be encompassed by the invention). Examples of alkyl include, but are not limited to, methyl, ethyl, *n*-propyl, *i*-propyl, *n*-butyl, *i*-butyl, and *t*-butyl.

[0026] The term “substantially pure” means substantially free from compounds normally associated with the saponin in its natural state and exhibiting constant and reproducible chromatographic response, elution profiles, and biologic activity. The

term "substantially pure" is not meant to exclude artificial or synthetic mixtures of the saponin with other compounds.

[0027] All numbers herein may be understood as modified by "about."

5 **Vaccines With Higher Carbohydrate Ratio**

[0028] Tumor associated carbohydrate antigens generally exhibit poor immunogenicity. A carbohydrate antigen conjugated with a carrier protein has been adopted to increase the immunogenicity of said carbohydrate antigen. For example, about 700 Globo H molecules are conjugated to one non-toxic keyhole limpet hemocyanin (KLH) protein, an average of about 2 to 4 Globo H molecules are conjugated to diphtheria toxin (DT), about 8 Globo H molecules are conjugated to bovine serum albumin (BSA), and about 6 Globo H molecules are conjugated to Tetanus Toxoid (Table 1 of US Pat. No. 8,268,969).

[0029] The present invention provides for a vaccine comprising a carbohydrate antigen or its immunogenic fragment; and a toxoid protein, wherein the ratio of carbohydrate antigen to toxoid protein ranges from 5:1 to 39:1, and the ratio reflects the number of molecules of carbohydrate antigen or its immunogenic fragment to molecules of toxoid protein. Such vaccine exhibits a better immunogenicity compare to a vaccine with a carbohydrate antigen molecule to toxoid protein molecule ratio equal to or less than 4:1. Other ranges are also encompassed by the invention, including ratios of number of molecules of carbohydrate antigen or its immunogenic fragment to molecules of toxoid protein of 4:1, 5:1, 6:1, 7:1, 8:1, 9:1, 10:1, 11:1, 12:1, 13:1, 14:1, 15:1, 16:1, 17:1, 18:1, 19:1, 20:1, 21:1, 22:1, 23:1, 24:1, 25:1, 26:1, 27:1, 28:1, 29:1, 30:1, 31:1, 32:1, 33:1, 34:1, 35:1, 36:1, 37:1, 38:1 or 39:1.

25 [0030] In one embodiment, the toxoid protein is tetanus toxoid (TT) and the ratio of carbohydrate antigen to TT in the carbohydrate-TT vaccine ranges from 7:1 to 12: 1.

[0031] The present invention provides for a vaccine comprising a carbohydrate antigen or its immunogenic fragment; and a diphtheria toxin (DT), wherein the ratio of carbohydrate antigen to DT ranges from 5:1 to 39:1, where the ratio reflects the number of molecules of carbohydrate antigen or its immunogenic fragment to molecules of DT. In another embodiment, the ratio of carbohydrate antigen to DT in the carbohydrate-DT vaccine ranges from 8:1 to 24: 1.

[0032] Examples of carbohydrate antigens include, but are not limited to Globo H, stage-specific embryonic antigen 3 (SSEA3) (also called Gb5), stage-specific embryonic antigen 4 (SSEA-4), Gb-4, Gb-3, Lewis antigens such as sLe<sup>x</sup>, Le<sup>x</sup>, sLe<sup>a</sup>, Le<sup>a</sup>, Le<sup>y</sup>, polysaccharide antigens such as polysialic acid (PSA), sTn(c), Tn(c), Thomsen-Friedenreich antigen (TF(c)), the ganglioside such as GD1, GD2, GD3, Fucosyl,GM1, GM1, GM2, GM3, GD1 $\alpha$  and GM2. Other carbohydrate antigens include, but are not limited to:  $\alpha$ -Galactose,  $\alpha$ -Man-6-phosphate,  $\alpha$ -L-Rhamnose, $\alpha$ -GalNAc(Tn),  $\alpha$ -NeuAc-OCH<sub>2</sub>C<sub>6</sub>H<sub>4</sub>-p-NHCOOCH<sub>2</sub>, Fuc $\alpha$ 1-2Gal $\beta$ 1-4GalNAc $\beta$  (H types3), NeuAc $\alpha$ 2-8NeuAc $\alpha$ ,(NeuAc $\alpha$ 2-8)<sub>2</sub> Polysialic acid, NeuAca2-6Galb, NeuAc $\beta$ 2-6Gala(STn), Gala1-3Galb1-4GlaNAcb (NeuAca2-8)<sub>3</sub>, GalNAca $\alpha$ -3(Fuc $\alpha$ 1-2)Gal $\beta$  (Blood Group A), Gal $\alpha$ 1-3(Fuc $\alpha$ 1-2)Gal $\beta$ (Blood Group B), 6Gal-HSO<sub>3</sub>-SiaLex, 6GluNAc-HSO<sub>3</sub>-SiaLex and  $\alpha$ 2-6 sialylated diantennary N-glycans. In one embodiment, the carbohydrate antigen is Globo H. "Globo H" is a hexasaccharide (Fuc $\alpha$ 1 $\rightarrow$  2Gal $\beta$ 1 $\rightarrow$  3GalNAc $\beta$ 1  $\rightarrow$  3Gal $\alpha$ 1  $\rightarrow$  4Gal $\beta$ 1  $\rightarrow$  4Glc $\beta$ 1) which was originally isolated from the human breast cancer cell line MCF-7 (Menard S, Tagliabue E, Canevari S, Fossati G, Colnaghi MI. (1983) Generation of monoclonal antibodies reacting with normal and cancer cells of human breast. *Cancer Res*, **43**, 1295-300; and Bremer EG, Lavery SB, Sonnino S, Ghidoni R, Canevari S,

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Kannagi R, Hakomori S. (1984) Characterization of a glycosphingolipid antigen defined by the monoclonal antibody MBr1 expressed in normal and neoplastic epithelial cells of human mammary gland. *J Biol Chem*, **259**, 14773-7). Globo H is expressed in a variety of epithelial cell tumors such as colon, ovarian, gastric, pancreatic, endometrial, lung, prostate and breast cancers (Menard S et al. *supra*; Bremer EG et al., *supra*; Canevari S, Fossati G, Balsari A, Sonnino S, Colnaghi MI. (1983). Globo H is commercially available (for example, Carbosynth, UK) and can be synthesized by attaching glycoside to ceramide using methods well known in the art.

5

10 **[0033]** The vaccine with a carbohydrate antigen to toxoid protein ratio greater than or equal to 5:1 are manufactured in a basic condition, i.e. at a pH over or equal to 8, over or equal to 9, over or equal to 10, over or equal to 11, or over or equal to 12. The ratio of carbohydrate antigen to toxoid protein can be determined by methods known in the art, for example, MALDI-TOF Mass Spectrometry. U.S. Patent No.

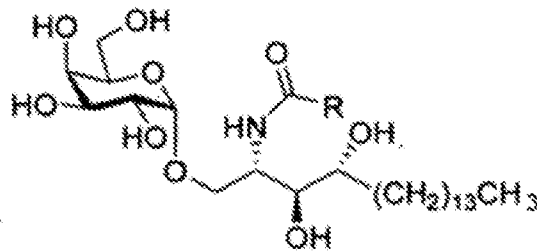
15 8,268,969; see also, Morelle W, Faid V, Chirat F, Michalski JC. *Methods Mol Biol.* 2009;534:5-21. doi: 10.1007/978-1-59745-022-5\_1. Analysis of N- and O-linked glycans from glycoproteins using MALDI-TOF mass spectrometry.

**[0034]** The vaccine may further comprise an adjuvant, where the adjuvant is a saponin, such as OBI-821, which is described herein or synthetic analogs of  $\alpha$ -Galactosyl-ceramide ( $\alpha$ -GalCer or C1).

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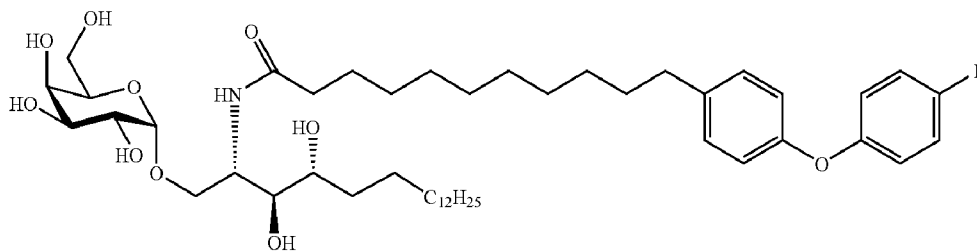
**[0035]** The terms " $\alpha$ -galactosyl-ceramide" and " $\alpha$ -GalCer" refer to a glycolipid that stimulates natural killer T cells to produce both T helper (TH)1 and TH2 cytokine, as described in US Pat. No. 8,268,969, the content of which is incorporate by reference in its entirety. In one embodiment,  $\alpha$ -GalCer adjuvant has the following

25 structure:



wherein R is  $(\text{CH}_2)_{24}\text{CH}_3$ ,  $(\text{CH}_2)_7\text{PhF}$ ,  $(\text{CH}_2)_{10}\text{PhOPhF}$  or  $(\text{CH}_2)_{10}\text{PhF}$ .

[0036] In one embodiment, R is  $(\text{CH}_2)_{10}\text{PhOPhF}$ , known as C34 adjuvant with the following structure:



5

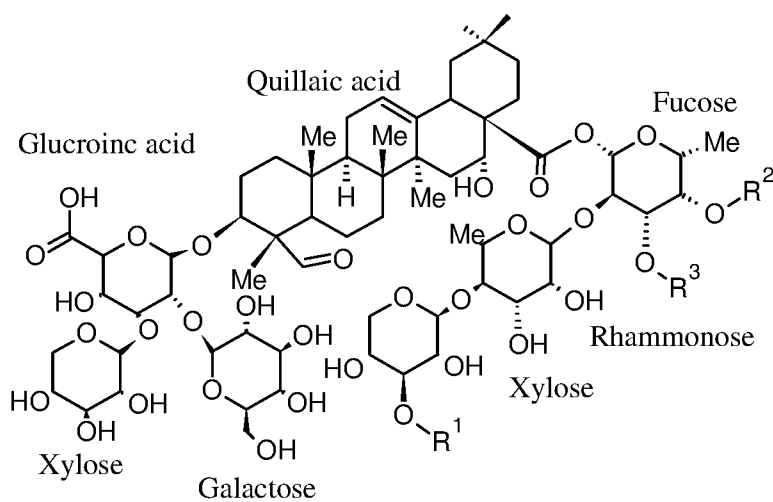
### **Novel Saponin Adjuvant**

[0037] The present invention provides for OBI-821 saponins which can be substantially pure. The invention encompasses both OBI-821 saponin which are  
 10 substantially pure as well as biologically active fragments. The invention may also encompass impure forms of OBI-821 saponins. The purified OBI-821 saponins exhibit enhanced adjuvant effect when administered with a vaccine described herein or admixed with other substantially pure saponin or non-saponin adjuvants.

[0038] OBI-821 saponins are naturally occurring glycosides, extracted in high  
 15 purify from the bark of the *Quillaja saponaria* Molina tree, by high pressure liquid chromatography (HPLC), low pressure liquid silica chromatography, and hydrophilic interactive chromatography (HILIC) as described in, for example, U.S. Patent No. 5,057,540 and U.S. Patent No. 6,524,584, the content of which is incorporate by reference in its entirety. High-pressure liquid chromatography analysis shows that

OBI-821 are a mixture of structurally related isomeric compounds. Different purified isomeric compounds of OBI-821 saponins have been identified and disclosed herein.

[0039] OBI-821 saponin comprise at least one isolated compound of formula I as follows:

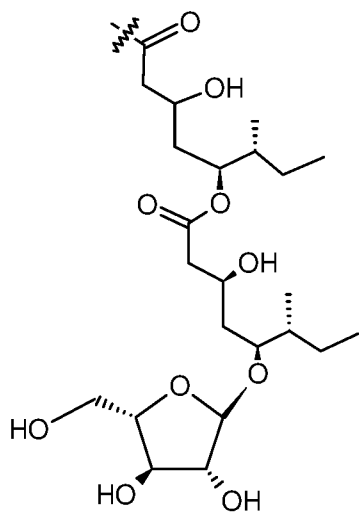


Formula (I)

wherein

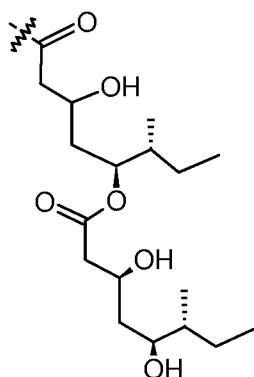
R<sup>1</sup> is β-D-Apiose or β-D-Xylose; and

R<sup>2</sup> and R<sup>3</sup> are independently H, alkyl,



10

(Fatty acyl moiety for the 1989 Compound), or



(Fatty acyl moiety for the 1857 Compound).

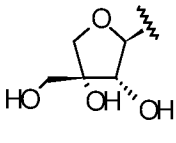
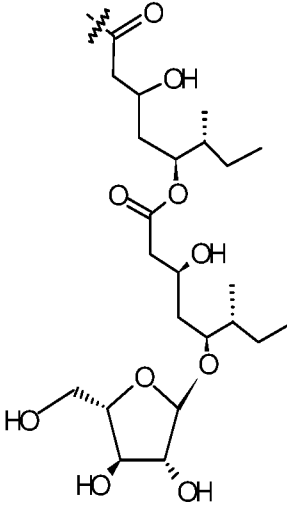
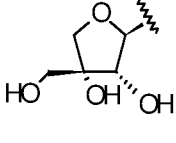
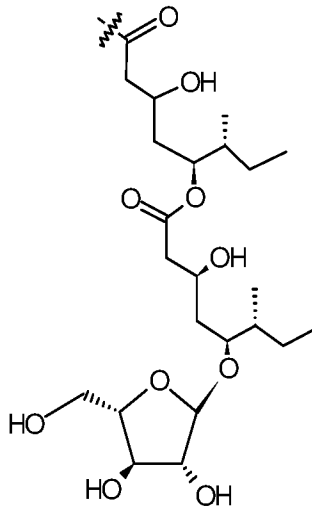
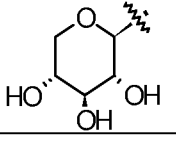
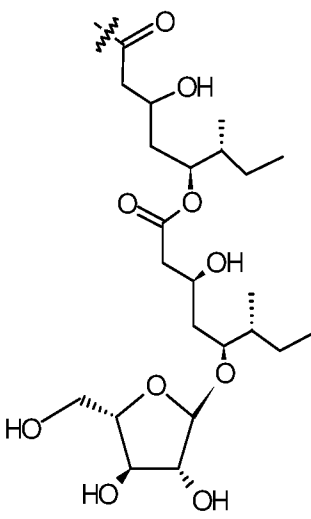
[0040] OBI-821 saponin can also comprise an isolated compound of formula I wherein (i)  $R^1$  is  $\beta$ -D-Apiose,  $R^2$  is the fatty acyl moiety for the 1989 compound depicted above, and  $R^3$  is H (1989 compound V1A); (ii)  $R^1$  is  $\beta$ -D-Apiose,  $R^2$  is H, and  $R^3$  is the fatty acyl moiety fatty acyl moiety for the 1989 compound depicted above (1989 compound V1B); (iii)  $R^1$  is  $\beta$ -D-Xylose,  $R^2$  is the fatty acyl moiety fatty acyl moiety for the 1989 compound depicted above, and  $R^3$  is H (1989 compound V2A); or (iv)  $R^1$  is  $\beta$ -D- Xylose,  $R^2$  is H, and  $R^3$  is the fatty acyl moiety fatty acyl moiety for the 1989 compound depicted above (1989 compound V2B). Collectively, 1989 compound V1A, 1989 compound V1B, 1989 compound V2A and 1989 compound V2B are called “1989 compounds mixture.”

[0041] Table 1 summarizes the functional groups of 1989 compounds and the mole % of each 1989 compound in the 1989 compounds mixture.

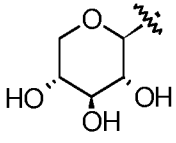
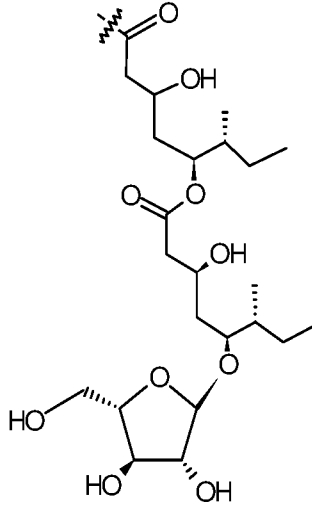
15 [0042]

**Table 1**

Mole %	$R^1$	$R^2$	$R^3$

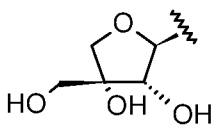
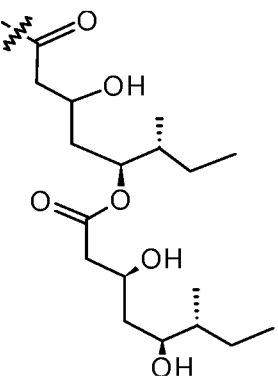
1989 Compound V1A 64.5%	$\beta$ -D-Apiose 		H
1989 Compound V1B 1.5%	$\beta$ -D-Apiose 	H	
1989 Compound V2A 33.3%	$\beta$ -D-Xylose 		H

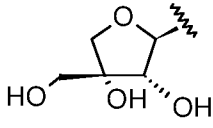
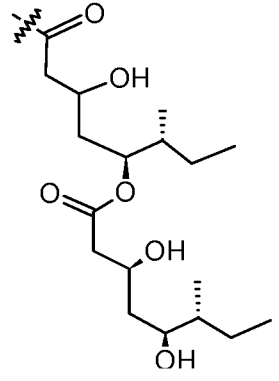
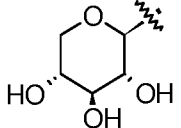
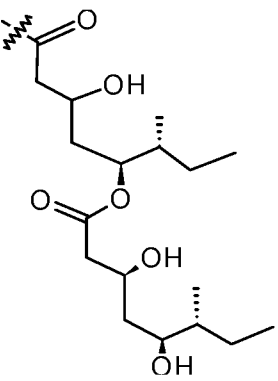
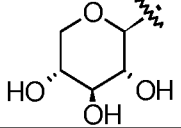
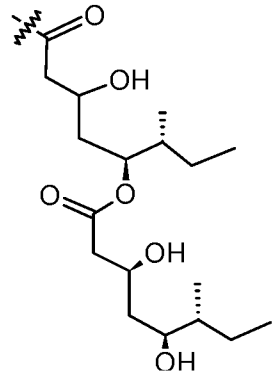


1989 Compound V2B 0.7%	<p><math>\beta</math>-D-Xylose</p> 	H	
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- [0043]** OBI-821 saponin can comprise an isolated compound of formula I where: (i)  $R^1$  is  $\beta$ -D-Apiose,  $R^2$  is the fatty acyl moiety for the 1857 compound depicted above, and  $R^3$  is H (1857 compound V1A); (ii)  $R^1$  is  $\beta$ -D-Apiose,  $R^2$  is H, and  $R^3$  is the fatty acyl moiety for the 1857 compound depicted above (1857 compound V1B); (iii)  $R^1$  is  $\beta$ -D-Xylose,  $R^2$  is the fatty acyl moiety for the 1857 compound depicted above, and  $R^3$  is H (1857 compound V2A); or, (iv)  $R^1$  is  $\beta$ -D-Xylose,  $R^2$  is H, and  $R^3$  is the fatty acyl moiety for the 1857 compound depicted above (1857 compound V2B). Collectively, 1857 compound V1A, 1857 compound V1B, 1857 compound V2A and 1857 compound V2B are called “1857 compounds mixture.”
- 10 **[0044]** Table 2 summarizes the functional groups of 1857 compounds and the mole % of each 1857 compound in the 1857 compounds mixture.

**[0045]****Table 2**

Mole %	$R^1$	$R^2$	$R^3$
1857 Compound V1A 64.7%	<p><math>\beta</math>-D-Apiose</p> 		H

1857 Compound V1B 1.3%	$\beta$ -D-Apiose 	H	
1857 Compound V2A 33.4%	$\beta$ -D-Xylose 		H
1857 Compound V2B 0.6%	$\beta$ -D-Xylose 	H	

**[0046]** OBI-821 saponin comprises one or more of the following compounds: (i) 1857 compound V1A; (ii) 1857 compound V1B; (iii) 1857 compound V2A; (iii) 1857 compound V2B; (iv) 1989 compound V1A; (v) 1989 compound V1B; (vi) 1989 compound V2A; or (vii) 1989 compound V2B. The percentages of the 1857 compounds mixture and the 1989 compound mixture in OBI-821 saponin can range as follows:

(i) about 1 mole % to about 15 mole % of OBI-821 comprising an 1857 compounds mixture; and

(ii) about 85 mole % to about 99 mole % of OBI-821 comprising an 1989 compounds mixture.

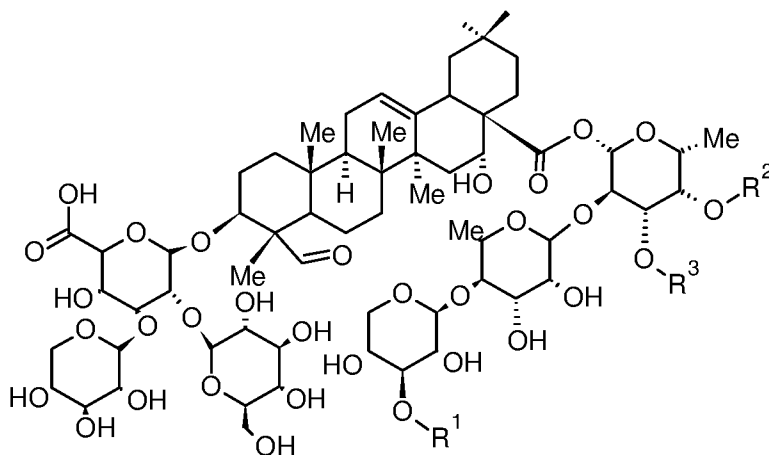
All of the mole % can be varied by 0.1% increment (e.g. about 87% to about 90%, about 90.5% to about 97%, about 3.5% to about 11%, about 10% to about 14%).

5 [0047] The 1989 compounds mixture may comprise about 60-70 mole % of 1989 compound V1A; about 1-5 mole % of 1989 compound V1B; about 30-40 mole % of 1989 compound V2A; and , about 0.1-3 mole % of 1989 compound V2B. All of the mole % can be varied by 0.1 increment (e.g. 65%, 2.5%, 35.6%).

10 [0048] The 1857 compounds mixture may comprise about 60-70 mole % of 1857 compound V1A; about 1-5 mole % of 1857 compound V1B; about 30-40 mole % of 1857 compound V2A; and, about 0.1-3 mole % of 1857 compound V2B. All of the mole % can be varied by 0.1 increment (e.g. 67%, 1.5%, 33.9%).

15 [0049] In another embodiment, the substantially pure OBI-821 is purified from a crude Quillaja saponaria extract, wherein said OBI-821 is characterized by a single predominant peak which comprises 90% or more of the total area of all peaks of a chromatogram, excluding the solvent peak, when analyzed on reverse phase-HPLC on a Symmetry C18 column having 5 um particle size, 100 Å pore, 4.6mm IDx25cm L with a elution program comprising mobile phase of A:B 95%:5% to 75%:25% in 11 minutes , which mobile phase A is distilled water with 0.1% trifluoroacetic acid, and  
20 mobile phase B is acetonitrile with 0.1 % trifluoroacetic acid at a flow rate of 1ml/min.

[0050] In one embodiment, the pharmaceutical composition comprises the compound of formula (I)

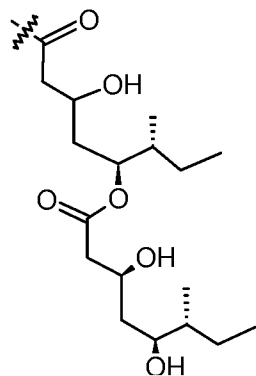


Formula (I)

wherein,

$R^1$  is  $\beta$ -D-Apiose or  $\beta$ -D-Xylose; and

$R^2$  and  $R^3$  are independently H, alkyl, or



5

(Fatty acyl moiety for the 1857 Compound),

and a pharmaceutically acceptable carrier.

[0051] The vaccine can comprise a carbohydrate antigen or its immunogenic fragment and an OBI-821 saponin. In another embodiment, the vaccine comprises a carbohydrate antigen selected from Globo H, SSEA-3, SSEA-4, Gb-4 or a mixture thereof, a DT, and an OBI-821 saponin. In yet another embodiment, the vaccine comprises a carbohydrate antigen or its immunogenic fragment; a carrier protein and an OBI-821 saponin. Non limiting examples of carrier protein include toxoid proteins and non-toxoid protein such as KLH.

**Toxoid Protein**

[0052] The toxoid protein conjugated to carbohydrate antigen may be a diphtheria toxins (DT) or tetanus toxoids (TT).

[0053] Toxins can be inactivated, for example, by treatment with formaldehyde, glutaraldehyde, UDP-dialdehyde, peroxide, oxygen or by mutation (e.g., using recombinant methods). Relyveld *et al.*, *Methods in Enzymology*, 93:24, 1983. Woodrow and Levine, eds., *New Generation Vaccines*, Marcel Dekker, Inc., New York, 1989. Genth *et al.*, *Inf. and Immun.*, 68(3):1094-1101, 2000. Mutant diphtheria toxins with reduced toxicity can also be produced using recombinant methods. U.S. Patent Nos. 5,085,862; 5,221,618; 5,244,657; 5,332,583; 5,358,868; and 5,433,945.

[0054] DT is diphtheria toxin cross-reacting materials (DT-CRM) or diphtheria toxoids. An DT-CRM refers to a mutant diphtheria toxin, e.g., by mutation or by chemical modification, such that it no longer possesses sufficient ADP-ribosyl. Non limiting examples of DT-CRM include DT-CRM 30, DT-CRM 45, DT-CRM 176, DT-CRM 197 and DT-CRM 228. A diphtheria toxoid is a formaldehyde-inactivated diphtheria toxin. DT is commercially available from or can be prepared by methods known in the art, such as recombinant DNA technology as described in U.S. Patent No. 5,614,382, the content of which is incorporated by reference in its entirety.

[0055] The carbohydrate antigen of the vaccine described herein may be covalently bonded to a carrier protein, via a p-nitrophenyl linker by a synthetic process described in U.S. Patent No. 8,268,969, the content of which is incorporate by reference in its entirety.

[0056] The vaccines of the present invention can induce one or more of the following activities: a higher IgG titer as compare to IgM titer, a higher complement-dependent cytotoxicity (CDC) activity, and/or a higher antibody-dependent cell-mediated

cytotoxicity (ADCC) activity. In another embodiment, the vaccines induce one or more of the following cells: natural killer cells, CD4+ T lymphocytes or CD8+ T lymphocytes. Other immunological parameters may be measured, including, but not limited to, T helper cell activation.

- 5 [0057] The invention also provides a pharmaceutical composition comprising the vaccines described herein and a pharmaceutically acceptable vehicle, excipient or carrier. Suitable vehicles are, for example, water, saline, dextrose, glycerol, ethanol, or the like, and combinations thereof. In addition, the vehicle can contain other excipients, such as wetting or emulsifying agents, pH buffering agents, or adjuvants.
- 10 Pharmaceutically acceptable carriers can contain a physiologically acceptable compound that acts to, *e.g.*, stabilize, or increase or decrease the absorption or clearance rates of the pharmaceutical compositions of the invention. Physiologically acceptable compounds can include, *e.g.*, carbohydrates, such as glucose, sucrose, or dextrans, antioxidants, such as ascorbic acid or glutathione, chelating agents, low
- 15 molecular weight proteins, detergents, liposomal carriers, or other stabilizers and/or buffers. The excipients may be nonionic surfactants, polyvinylpyrrolidone, human serum albumin, aluminum hydroxide, agents with anesthetic action, and various unmodified and derivatized cyclodextrins. More preferably, the nonionic surfactants may include Polysorbate 20, Polysorbate 40, Polysorbate 60, and Polysorbate 80. The
- 20 polyvinylpyrrolidone may preferably be Plasdone C15, a pharmaceutical grade of polyvinylpyrrolidone. The agent having anesthetic action preferably is benzyl alcohol. Other physiologically acceptable compounds include wetting agents, emulsifying agents, dispersing agents or preservatives. See *e.g.*, the 21st edition of Remington's Pharmaceutical Science, Mack Publishing Company, Easton, Pa. ("Remington's").
- 25 The pharmaceutical compositions of the present invention can also include ancillary substances, such as pharmacological agents, cytokines, or other biological response

modifiers. The pharmaceutical composition comprising such excipient or carrier are formulated by well-known conventional methods.

5 [0058] The vaccine may be formulated for the following route of administration: intramuscular, intradermal, oral, dermal, nasal, buccal, rectal, vaginal, by inhalation, or by subcutaneous administration. Other modes of administration may be applicable as long as a satisfactory immunogenicity can be induced.

10 [0059] The pharmaceutical compositions of the present invention can be prepared as injectables, either as liquid solutions or suspensions, or as solid forms which are suitable for solution or suspension in liquid vehicles prior to injection. The pharmaceutical composition can also be prepared in solid form, emulsified or the active ingredient encapsulated in liposome vehicles or other particulate carriers used for sustained delivery. For example, the pharmaceutical composition can be in the form of an oil emulsion, water-in-oil emulsion, water-in-oil-in-water emulsion, site-specific emulsion, long-residence emulsion, stickyemulsion, microemulsion, 15 nanoemulsion, liposome, microparticle, microsphere, nanosphere, nanoparticle and various natural or synthetic polymers, such as nonresorbable impermeable polymers such as ethylenevinyl acetate copolymers and Hytrel® copolymers, swellable polymers such as hydrogels, or resorbable polymers such as collagen and certain polyacids or polyesters such as those used to make resorbable sutures, that allow for 20 sustained release of the vaccine.

[0060] Pharmaceutically acceptable salts of the compounds of the invention and physiologically functional derivatives thereof include salts derived from an appropriate base, such as an alkali metal (for example, sodium, potassium), an alkaline earth metal (for example, calcium, magnesium), ammonium and  $NX_4^+$  25 (wherein X is  $C_1$  - $C_4$  alkyl). Pharmaceutically acceptable salts of an amino group

include salts of organic carboxylic acids, such as tartaric, aliphatic, cycloaliphatic, aromatic, heterocyclic, carboxylic and sulfonic classes of organic acids, such as, for example, formic, glucuronic, malic, maleic, fumaric, pyruvic, aspartic, glutamic, benzoic, anthranilic, mesylic, salicylic, hydroxybenzoic, phenylacetic, mandelic, embonic (pamoic), methanesulfonic, ethanesulfonic, benzenesulfonic, pantothenic, toluenesulfonic, 2-hydroxyethanesulfonic, sulfanilic, stearic, algenic, hydroxybutyric, cyclohexylaminosulfonic, galactaric and galacturonic acid and the like, lactobionic, fumaric, and succinic acids; organic sulfonic acids, such as methanesulfonic, ethanesulfonic, isothionic, benzenesulfonic and p-toluenesulfonic acids; and inorganic acids such as hydrochloric, hydrobromic, hydroiodic, nitric, carbonic, sulfuric, sulfamic and phosphoric acid and the like. Pharmaceutically acceptable salts of a compound having a hydroxy group consist of the anion of said compound in combination with a suitable cation such as  $\text{Na}^+$ ,  $\text{NH}_4^+$  or  $\text{NX}_4^+$  (wherein X is, for example, a  $\text{C}_1$  -  $\text{C}_4$  alkyl group),  $\text{Ca}^{++}$ ,  $\text{Li}^+$ ,  $\text{Mg}^{++}$ , or,  $\text{K}^+$  and zinc or organic salts made from primary, secondary and tertiary amines, cyclic amines, N,N'-dibenzylethylenediamine, chlorprocaine, choline, diethanolamine, ethylenediamine, meglumine (N-methylglucamine) and procaine and the like. All of these salts may be prepared by conventional means from the corresponding compound by reacting, for example, the appropriate acid or base with the compound in free form.

20

### **Methods for Inducing Immune Response/Inhibiting Cancer Cells**

[0061] Another aspect of the present invention directed to methods for inducing immune response comprising administering an effective amount of the vaccine described herein to a subject in need thereof. The immune response includes but is not limited to, NK cell response, ADCC and CDC activity, and IgM and IgG production.



- [0062] In yet another aspect, the present invention provides methods for inhibiting cancer cells, comprising administering an effective amount of the vaccine described herein to a subject in need thereof. In one embodiment, the cancer is selected from breast cancer, lung cancer, esophageal cancer, rectal cancer, biliary cancer, liver  
5 cancer, buccal cancer, gastric cancer, colon cancer, nasopharyngeal cancer, kidney/renal cancer, brain tumor, prostate cancer, ovarian cancer, cervical cancer, endometrial cancer, pancreatic cancer, testicular cancer, bladder cancer, head and neck cancer, oral cancer, neuroendocrine cancer, adrenal cancer, thyroid cancer, bone cancer, skin cancer (e.g. basal cell carcinoma, squamous cell carcinoma or melanoma).
- 10 In another embodiment, the cancer is a Globo H expressing cancer. Non limiting examples of Globo H expressing cancer include breast cancer, lung cancer gastric cancer, colon cancer, pancreatic cancer, prostate cancer, ovarian cancer and endometrial cancer. The antibody generated by the vaccine, such as anti-Globo H antibody, inherently inhibits Globo H expressing cancer.
- 15 [0063] In certain embodiments, the effective amount of a vaccine is to induce desired immunological effects, such as stimulating IgG production against a specific carbohydrate antigen (e.g. Globo H) in a subject. The effective amount or dose of a vaccine or a pharmaceutical composition may vary depending on the amount of carbohydrate antigen, the type of adjuvant employed, the mode of administration, and  
20 the age, size, and condition of the subject to be treated. Precise amount of the vaccine or pharmaceutical composition required to induce immunogenicity will be determined by the medical practitioner.
- [0064] The vaccine can be administered as a stat dose with or without one or more booster dose at a specific time intervals, to achieve a long term immune protective  
25 effect between several months to several years. The frequency of administration can vary depending on any of a variety of factors, e.g., severity of the symptoms, degree

of immunoprotection desired, whether the pharmaceutical composition is used for prophylactic or curative purposes, etc. For example, in one embodiment, the pharmaceutical composition according to the invention is administered once per month, twice per month, three times per month, every other week (qow), once per week (qw), twice per week (biw), three times per week (tiw), four times per week, 5 five times per week, six times per week, every other day (qod), daily (qd), twice a day (qid), or three times a day (tid). The vaccine can also be administered with other conventional therapy such as chemotherapy, targeted therapy or antibodies targeting the tumor associated carbohydrate antigen for cancer treatment, either simultaneously 10 or sequentially.

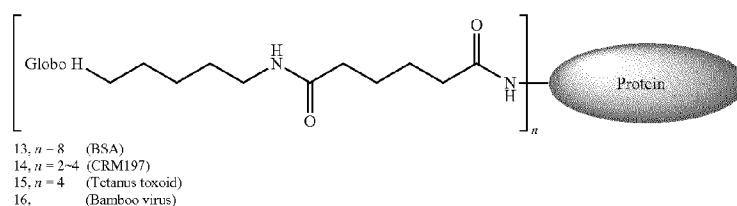
[0065] The present invention is further illustrated by the following examples, which are provided for the purpose of demonstration rather than limitation. Those of skill in the art should, in light of the present disclosure, appreciate that many changes can be made in the specific embodiments which are disclosed and still obtain a like or 15 similar result without departing from the spirit and scope of the invention.

[0066] **Example 1: Preparation of a Vaccine with a Higher Carbohydrate/Toxin Protein Ratio and Extraction of OBI-821**

[0067] Globo H was conjugated with KLH or DT, according to methods known 20 in the art, for example, as described in U.S. Patent No. 6,544,952 or 8,268,969, the content of which are hereby incorporated by reference in its entirety. The resultant vaccine comprised Globo H:DT (ratio of molecules of Globo H to DT=2-4:1)

**[0068]** General Procedure for Generating Glycoconjugates

Glycoconjugates were manufactured as follows:



BSA, DT-CRM197, and Tetanus toxoid (Adimmune, Taiwan) was dissolved in 100  
 5 mM phosphate buffer pH 7.2 (~5 mg/ml), and 30 to 40 equivalents of Globo H half  
 ester 35 were added to the solution. The mixture was stirred gently for 24 h at room  
 temperature. The mixture was then diluted with deionized water and dialyzed against  
 5 changes of deionized water. The solution was then lyophilized to a white powder.  
 The obtained Globo H-protein conjugates can be characterized by MALDI-TOF  
 10 analysis to determine the carbohydrate incorporation rate. 41 (GH-BSA),  
 MALDI-TOF found 76029, 42 (GH-DT-CRM197) found 62138, 43 (GH-TT) found  
 162902, 44 (GH-BaMV) was not determined. MALDI-TOF MS Analysis for  
 Glycoconjugates. The glycoconjugates and primary carrier proteins can be  
 reconstituted with ddH<sub>2</sub>O (~1 µg/µl). The matrix, sinapinic acid, was freshly  
 15 prepared with acetonitrile and deionized water 1:1, making final matrix concentration  
 in 10 mg/ml including 0.1% TFA. Gently loaded and mixed the matrix solution and  
 glycoconjugates, then air dried the plate. Calibration was imperative using bovine  
 serum albumin before measurement. Each glycoconjugate and primary protein  
 sample was detected under linear positive mode. The average molecular weight  
 20 allows the calculation of the average number of carbohydrate molecules incorporated  
 on the carrier protein.

**[0069]** A vaccine with carbohydrate antigen molecule:toxin protein molecule ratio  
 over 5:1 was manufactured according to the following steps:

- (a) 10ml-25ml of Globo H (available from OBI Pharma, Taiwan) and *p*-nitrophenyl ester linker (available from OBI Pharma, Taiwan) was dissolved in 25 $\mu$ l DMF (commercially available from Sigma-Aldrich, USA).
- 5 (b) 25mg of DT was dissolved with 2.5ml of phosphate buffer (i.e. a basic buffer with pH>8).
- (c) The mixture in step (a) was added to mixture in step (g) at room temperature overnight. The resultant mixture had a pH between 8 to 9.2

[0070] Results: 10ml of Globo H resulted in vaccines comprising Globo H: DT  
10 (8:1) and 25 of Globo H resulted in vaccines comprising Globo H: DT (24:1), as determined by MALDI-TOF MS.

[0071] Preparation of OBI-821 saponin

[0072] OBI-821 saponin was extracted from *Quillaja saponaria* extract accordingly to the following steps:

- 15 (a) *Quillaja saponaria* extract was pre-filtered by large particle C18 reverse phase chromatography, then purified by silica based preparative normal phase chromatography. This resulted in crude OBI-821.
- (b) The crude OBI-821 in Step (a) was again pre-filtered by a large particle C18 reverse phase chromatography, followed by reverse phase preparative HPLC.
- 20 OBI-821 substance was finished sequentially by desalting and lyophilization process.

[0073] Purified OBI-821 saponin extracted from the bark of the *Quillaja saponaria* Molina tree was analyzed by mass spectrum. The mass peak at 1989.01 in Fig. 5A, the mass peak at 1989.12 in Fig. 5B and the mass peak at 1989.13 illustrate the presence of compounds with a molecular weight about 1989. The mole ratio of  
25 compounds with a molecular weigh about 1989 are: 89.8% in Fig. 5A, 96.8% in Fig. 5B and 87.0% in Fig. 5C. Similarly, the mass peak at 1856.97 in Fig. 5A, the mass

peak at peak at 1856.02 in Fig. 5B and the mass peak at 1857.09 illustrate the presence of compounds with a molecular weight about 1857. The mole ratio of compounds with a molecular weight about 1857 are: 10.2% in Fig. 5A, 3.2% in Fig. 5B and 13% in Fig. 5C.

5 [0074] Purified OBI-821 saponin was further analyzed by chromatography. Fig. 6 is a chromatogram LC-UV image (Column: PolyLC PolyHYDROXYETHYL A 200\* 4.6mm 5um, 300A). The first peak illustrates the presence of 1989 V1 (A & B) compounds and 1857 compounds V1 (A & B) compounds (about 65.94%), and the second peak illustrates the presence of 1989 V2 (A & B) compounds and 1857 V2 (A & B) compounds (about 34.06%). Fig. 7 is a chromatogram LC-MS image (Column: 10 Waters Symmetry ODS 150\*2.1mm). Peak 1 in the top panel illustrates the presence of 1989 compound V1B and V2B (about 2.2%), whereas Peak 4 illustrates the presence of 1989 compound V1A and V2A (about 97.8%). Peak 2 in the lower panel illustrates the presence of 1857 compound V1 B and 1857 compound V2 B 15 (about 1.9%) and Peak 3 illustrates the presence of 1857 compound V1A and 1857 compound V2A.

[0075] **Example 2: Immunogenicity of Vaccines with Higher Carbohydrate/Toxin Protein Ratio and Adjuvant Efficacy of OBI-281 Saponin**

20 [0076] An *in vivo* immunogenicity evaluation of Globo H/DT (8:1) vaccine in Example 1 and the adjuvant efficacy of OBI-821 saponin was performed using CL57B/6 mice.

[0077] CL57B/6 mice of approximately eight weeks old were randomized into the following 4 study groups:

Group	Treatment	N (number	Route of administration	Day of immunization

		of mice)		
Globo H-DT/S	<b>Globo H/KLH/ OBI-821 saponin</b>	6	subcutaneous	Day 0, 7, 14 and 21
Globo H-KLH/C34	<b>Globo H/KLH/C34</b>	6		
Globo H-DT/S	<b>Globo H/DT( ratio of molecules of Globo H to DT=8:1)/ OBI-821 saponin</b>	6		
Globo H-DT/C34	<b>Globo H/DT(ratio of molecules of Globo H to DT=8:1)/C34</b>	6		

[0078] Blood samples were collected through retro-orbital or facial vein without anticoagulant prior to the first injection or Day 0, and three days after each injection (i.e., on Day 10, 17 and 24). Blood samples were centrifuged to separate serum and blood cells. Sera were collected and stored at  $-20^{\circ}\text{C}$ , which were later analyzed by ELISA. Serum from each mouse was diluted serially for anti-Globo H IgG analysis. Globo H-ceramide was coated on assay plate overnight before blocked for 30 minutes with 1X blocking buffer (Sigma) and washed with PBST. Diluted serum samples were added to assay plate, incubated for 1 hr at room temperature (RT) and washed. Goat anti-mouse IgG-AP secondary antibody (Southern Biotech) was added to the sample and incubated for 45 minutes at RT. Plate was washed again, followed by the addition of chromogen substrate and incubation at  $37^{\circ}\text{C}$  for 20 minutes. The reaction was terminated by adding a stop solution. The optical density was quantified by a plate reader (Molecular Device) at 405 nm wavelength. Mann-Whitney t-test was used for statistical analysis. Fig. 1A and Fig. 1B show the quantitative Anti-Globo H

IgG titer of the tested vaccines.

[0079] Results: The IgG titer from Globo H/DT (ratio 8:1)-immunized mice was significantly higher than that of Globo H/KLH with a C34 adjuvant ( $P < 0.01$ ). The IgG titer from Globo H/DT (ratio 8:1)-immunized mice was higher than that of Globo H/KLH with an OBI-821 saponin adjuvant. (see Fig. 1(A). Regardless of the type of carrier protein used, the OBI-821 saponin elicited a statistically significant higher IgG titer compare to the C34 adjuvant ( $P < 0.05$ , see Fig. 1A and Fig 1B).

[0080] **Example 3: Immunogenicity Evaluation of Vaccines with a Higher Carbohydrate/Toxin Protein Ratio and Adjuvant Efficacy of OBI-281 Saponin**

10 **Using ADCC and CDC Assays**

[0081] Four groups of Lewis rats were immunized with the vaccines in Table 3.

[0082] Table 3: Vaccine Composition

Groups	Vaccine compositions
G1	Phosphate buffered saline (PBS)
G2	7.5 $\mu$ g GH-DT(8:1 ratio of molecules of Globo H to DT)
G3	7.5 $\mu$ g GH-DT(8:1 ratio of molecules of Globo H to DT) + 25 $\mu$ g OBI-821 saponin
G4	7.5 $\mu$ g GH-DT(24:1 ratio of molecules of Globo H to DT) + 25 $\mu$ g OBI-821 saponin

[0083] The rats were immunized s.c. with the vaccines listed in Table 3 on day 0, 7, 14, and 21. Peripheral blood mononuclear cells (PBMC) and plasma were collected prior to the first injection (i.e. day 0) and on Day 10, Day 17 and Day 24.

[0084] ADCC and CDC assays were performed using a Calcein AM release method known in the art. The procedure is described as follows:

[0085] *Target cell labeling with Calcein AM*

[0086] MCF-7 breast cancer cells (target cells) were cultured in Minimum Essential Medium supplemented with 2 mM L-glutamine, 1 mM sodium pyruvate and 0.01 mg/mL insulin, 10% fetal bovine serum. The target cells were added to 96 well plates ( $5 \times 10^3$  cells per well), and incubated at  $37^\circ\text{C}$  in a humidified 5%  $\text{CO}_2$  atmosphere overnight. The medium was discarded and each well was washed once with PBS. 100  $\mu\text{L}$  of 20 M Calcein-AM solution was added into each well (2 nmole per well) and incubated at  $37^\circ\text{C}$  in a humidified 5%  $\text{CO}_2$  atmosphere for 2 hour. The supernatant was dried and each well was washed three times with PBS.

[0087] *Target cell incubated with sample plasma*

[0088] Sample plasma was heat-inactivated and 50  $\mu\text{L}$  of 1/5X heat-inactivated sample plasma was added into each well, except for the “Total release” and “Background” control. The final dilution fold would be 1/10X after the addition of 50  $\mu\text{L}$  of PBMC or serum. The plates were incubated at  $37^\circ\text{C}$  (in dark) for 30 min.

[0089] *Target cell incubated with PBMC or complement*

[0090] After incubation, 50 microliter of PBMC ( $2 \times 10^6$  cells/mL) (for E:T ratio:20:1) were added to each well in the ADCC assay, and 50 microliter of 1/10 X diluted serum was added to each well in the CDC assay, except for the “Total release” and “Background” control. The mixtures of reaction were incubated at  $37^\circ\text{C}$  in a humidified 5%  $\text{CO}_2$  atmosphere for 4 hour. The phenol-red free MEM containing 2% Triton solution (50 microliter) was added to the “Total release” control at the last 15 min of incubation time, and the phenol-red free MEM (50 microliter) was added to the “Background” control. The plates were centrifuged at 100g for 5 min and then the supernatant 80 microliter was transferred to 96-well black plates. The fluorescence was measured at 485 nm excitation and 538 nm emission wavelengths.

[0091] Figs. 2A to 2D show the *in vivo* ADCC and CDC activities of G2, G3 and G4



vaccines.

[0092] Results: As illustrate in Fig. 2B and 2D, ADCC and CDC activities of G3 vaccine (with a OBI-821 saponin adjuvant) on Day 24 were higher than those of G2 vaccine (without a saponin advjuant). As illustrated in Fig. 2B and 2D, ADCD and  
 5 CDC activities of G4 vaccine (Globo H/DT ratio is 24:1) on Day 24 were higher than those of G3 vaccine (Golbo H/DT ratio is 8:1). These results show that OBI-821 saponin adjuvant and a vaccine with a carbohydrate antigen/toxin protein ratio over 5:1 enhance and induce longer lasting ADCC and CDC response.

[0093] **Example 4: Immune Response of Vaccines With a Higher**  
 10 **Carbohydrate/Toxin Protein Ratio and Adjuvant Efficacy of OBI-821 Saponin**

[0094] An *in vivo* evaluation of Globo H/DT (8:1) and Globo H/DT (16:1) vaccines in Example 1 and OBI-821 saponin adjuvant was performed using CL57B/6 mice or Balb/c mice.

[0095] CL57B/6 mice of approximately eight weeks old were randomized into the  
 15 following 8 study groups:

Group	Treatment	N (number of mice)	Immunization Dose and Schedule
G1	<b>Globo H/KLH/ OBI-821 saponin</b>	6	2 x s.c.
G2	<b>Globo H/DT(3:1 ratio of molecules of Globo H to DT)/ OBI-821 saponin</b>	6	injections on Day 0, 7, 14, and 21.
G3	<b>H/DT(8:1)/OBI-821 saponin</b>	6	Each injection is 100 uL
G4	<b>Globo H/DT(8:1 ratio of molecules of Globo H to DT)/OBI-821 saponin</b>	6	
G5	<b>Globo H/DT(8:1 ratio of molecules</b>	6	

	of Globo H to DT)/C34		
G6	<b>Globo H/KLH/C34</b>	6	
G7	<b>Globo H/DT(16:1 ratio of molecules of Globo H to DT)/OBI-821 saponin</b>	6	
G8	<b>PBS (Phosphate Buffered Saline)</b>	3	

[0096] Blood samples were collected through retro-orbital or facial vein without anticoagulant prior to the first injection or Day 0, Day 10, 17 and 24. Blood samples were centrifuged to separate serum and blood cells. Sera were collected and stored at 5 -20°C, which were later analyzed by ELISA. Serum from each mouse was diluted serially for anti-Globo H IgG and IgM analysis. Fig. 3A, Fig. 3B and Fig. 4 show the quantitative Anti-Globo H IgM and Anti-Globo H IgG titer of the tested vaccines.

[0097] Results: Vaccines with an OBI-821 saponin adjuvant induce a statistically significant more Anti-Globo H IgM and Anti-Globo H IgG compare to vaccines with a C34 adjuvant (See Fig. 3A, Fig 3B and Fig. 4). The following statistical significant differences were noted:

- IgM titer of G3 vaccine (OBI-821 saponin) was significantly higher than that of G5 vaccine (C34) on Day 17 (p=0.02);
- IgM titer of G1 vaccine (OBI-821 saponin) was significantly higher than that of 15 G6 vaccine (C34) on Day 17 (p = 0.03),
- IgM titer of G3 vaccine (OBI-821 saponin) was significantly higher than that of G5 vaccine (C34) on Day 24 (p=0.03),
- IgG titer of G3 vaccine (OBI-821 saponin) was significantly higher than that of G5 vaccine (C34) on Day 17 (p=0.001),
- 20 ● IgG titer of G1 vaccine (OBI-821 saponin) was significantly higher than that of

G6 vaccine (C34) on Day 17 ( $p=0.003$ ),

- IgG titer of G3 vaccine (OBI-821 saponin) was significantly higher than that of G5 vaccine (C34) on Day 24 ( $p=0.03$ ), and

- IgG titer of G1 vaccine (OBI-821 saponin) was significantly higher than that of G6 vaccine (C34) on Day 24 ( $p=0.004$ ).

These results illustrate that OBI-821 saponin adjuvant significantly enhances IgM and IgG response compare to C34 adjuvant.

**[0098]** Globo H/KLH/ OBI-821 Saponin (G1) induces a significantly higher IgM and IgG titers compare to Globo H/DT(3:1)/ OBI-821 Saponin (G2) on Day 17 and

Day 24. Without being bound by a particular theory, it is believed that G1 has a higher carbohydrate density (about 700 Glob H units per KLH carrier protein) and elicited a stronger immune response whereas G2 has a lower carbohydrate density (3

Globo H units per DT carrier protein) and elicited a weaker immune response. The following statistical significant differences were noted:

- IgM titer of G1 vaccine (KLH) was significantly higher than that of G2 vaccine (DT) on Day 17 ( $p=0.003$ ),

- IgM titer of G1 vaccine (KLH) was significantly higher than that of G2 vaccine (DT) on Day 24 ( $p=0.03$ ),

- IgG titer of G1 vaccine (KLH) was significantly higher than that of G2 vaccine (DT) on Day 24 ( $p=0.004$ ).

**[0099]** IgM and IgG titers of Globo H/DT (8:1 – ratio of molecules of Globo H to DT)/ OBI-821 Saponin (G3 and G4) and Globo H/DT(16:1– ratio of molecules of Globo H to DT)/ OBI-821 Saponin (G7) are comparable to those of Globo H/KLH/ OBI-821 Saponin (G1) on Day 17 and Day 24. (See Fig. 4). Despite lower

carbohydrate density than GH-KLH (700:1– ratio of molecules of Globo H to DT), GH-DT(8:1– ratio of molecules of Globo H to DT) exhibited comparable

immunogenicity with GH-KLH.

**[00100]** Vaccines with higher Globo H/DT ratio (8:1 or 16:1– ratio of molecules of Globo H to DT) induce a higher and longer lasting IgM and IgG titers compare to vaccine with a lower Globo H/DT ratio (3:1). The following statistical significant  
5 differences were noted:

- IgM titer of G3 vaccine (8:1 ratio– ratio of molecules of Globo H to DT) was significantly higher than that of G2 vaccine (3:1 ratio) on Day 17 (p=0.02),
- IgM titer of G7 vaccine (16:1 ratio– ratio of molecules of Globo H to DT) was significantly higher than that of G2 vaccine (3:1 ratio) on Day 17 (p=0.006),
- 10 ● IgG titer of G3 vaccine (8:1 ratio– ratio of molecules of Globo H to DT) was significantly higher than that of G2 vaccine (3:1 ratio) on Day 17 (p=0.01),
- IgG titer of G7 vaccine (16:1 ratio– ratio of molecules of Globo H to DT) was significantly higher than that of G2 vaccine (3:1 ratio– ratio of molecules of Globo H to DT) on Day 17 (p=0.03),
- 15 ● IgG titer of G3 vaccine (8:1 ratio– ratio of molecules of Globo H to DT) was significantly higher than that of G2 vaccine (3:1 ratio) on Day 24 (p=0.01),
- IgG titer of G7 vaccine (16:1 ratio– ratio of molecules of Globo H to DT) was significantly higher than that of G2 vaccine (3:1 ratio– ratio of molecules of Globo H to DT) on Day 24 (p=0.01),

20 **[00101]** IgG titer of Globo H/DT (8:1– ratio of molecules of Globo H to DT)/ OBI-821 Saponin (G3) and Globo H/DT(16:1)/ OBI-821 Saponin (G7) are significantly higher than that of Globo H/DT(3:1– ratio of molecules of Globo H to DT)/ OBI-821 Saponin (G2) on Day 17 and 25 (P<0.05).

25 **[00102]** All publications, patents, and patent applications cited in this specification are herein incorporated by reference as if each individual publication or patent application was specifically and individually indicated to be incorporated by

reference.

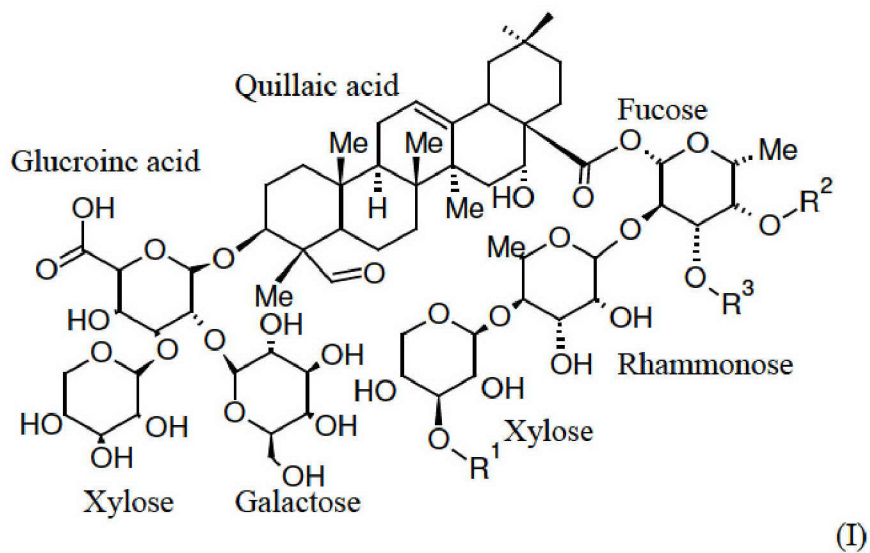
[00103] The present invention is not to be limited in the scope of the embodiments disclosed herein, which are intended as single illustrations of individual aspects of the invention, but not limitation to the scope of the invention. Various  
5 modifications to the models and methods of the invention, in addition to those described herein, will become apparent to those skilled in the art from the foregoing description and teachings, and are similarly intended to fall within the scope of the invention. Such modifications or other embodiments can be practiced without departing from the true scope and spirit of the invention.

10 [00104] Any discussion of documents, acts, materials, devices, articles or the like which has been included in the present specification is not to be taken as an admission that any or all of these matters form part of the prior art base or were common general knowledge in the field relevant to the present disclosure as it existed before the priority date of each claim of this application.

15 [00105] Throughout this specification the word "comprise", or variations such as "comprises" or "comprising", will be understood to imply the inclusion of a stated element, integer or step, or group of elements, integers or steps, but not the exclusion of any other element, integer or step, or group of elements, integers or steps.

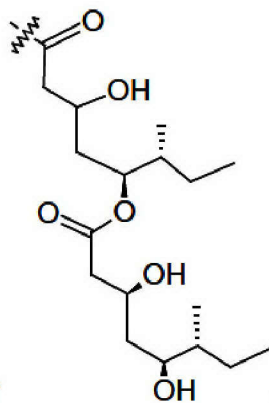
## CLAIMS:

1. An isolated compound of formula (I)



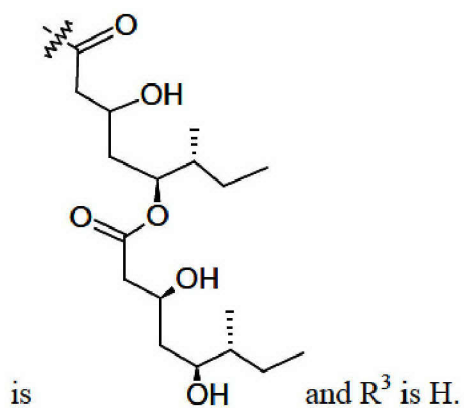
or a pharmaceutically acceptable salt thereof, wherein,

$R^1$  is selected from  $\beta$ -D-Apiose or  $\beta$ -D-Xylose; and,

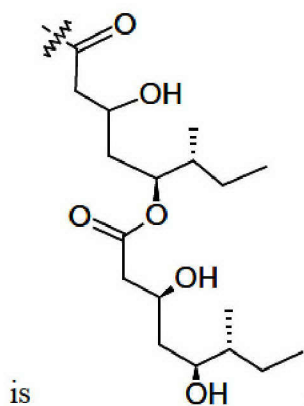


$R^2$  and  $R^3$  are selected from H, alkyl or

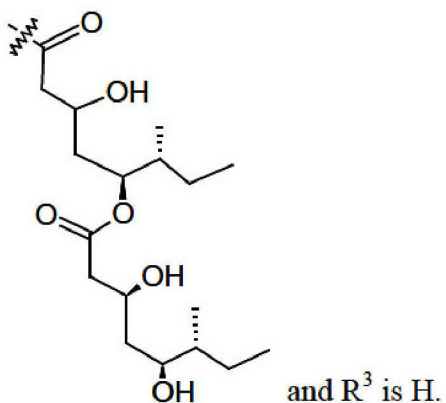
2. The isolated compound of claim 1, wherein  $R^1$  is  $\beta$ -D-Apiose,  $R^2$



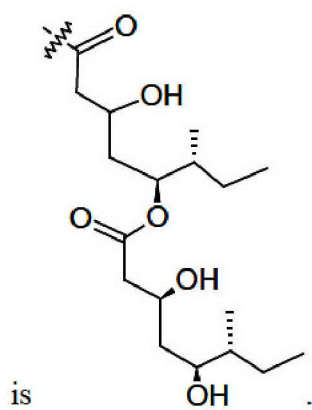
3. The isolated compound of claim 1, wherein  $R^1$  is  $\beta$ -D-Apiose,  $R^2$  is H and  $R^3$



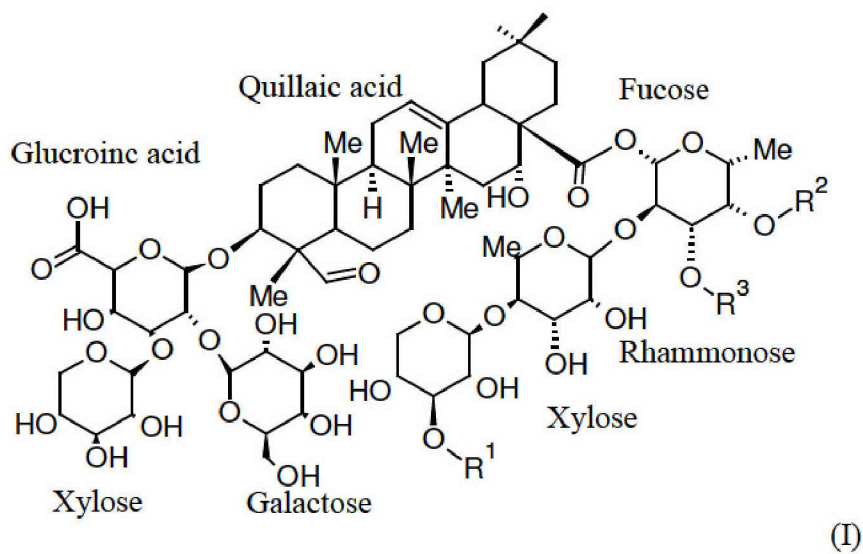
4. The isolated compound of claim 1, wherein  $R^1$  is  $\beta$ -D-Xylose,  $R^2$  is



5. The isolated compound of claim 1, wherein  $R^1$  is  $\beta$ -D-Xylose,  $R^2$  is H and  $R^3$

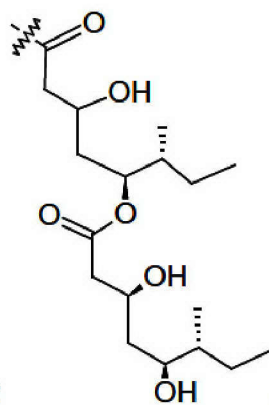


6. A pharmaceutical composition, comprising a compound of formula (I)



or a pharmaceutically acceptable salt thereof, wherein,

$R^1$  is selected from  $\beta$ -D-Apiose or  $\beta$ -D-Xylose; and,

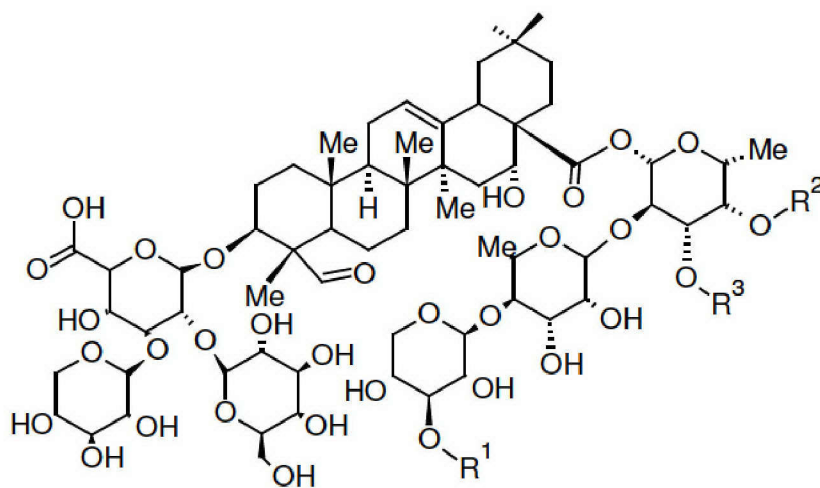


$R^2$  and  $R^3$  are selected from H, alkyl or

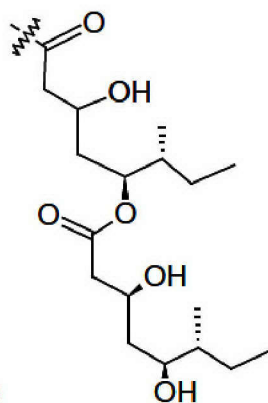
and a pharmaceutically acceptable carrier.

7. An isolated OBI-821 saponin, comprising one or more of the following isolated compounds of formula (I):

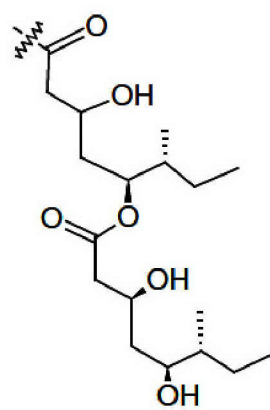




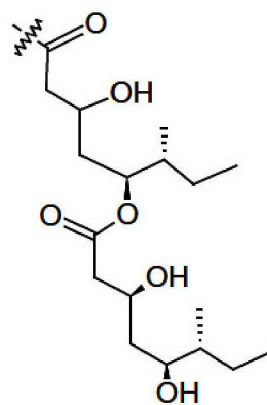
(I)



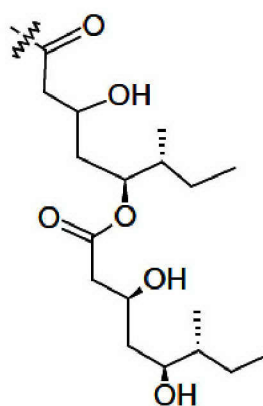
(a) wherein  $R^1$  is  $\beta$ -D-Apiose,  $R^2$  is saponin compound V1A), and  $R^3$  is H (the 1857



(b) wherein  $R^1$  is  $\beta$ -D-Apiose,  $R^2$  is H, and  $R^3$  is saponin 1857 compound V1B);

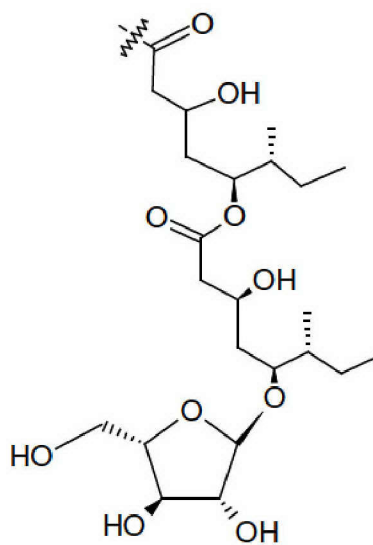


(c) wherein  $R^1$  is  $\beta$ -D-Xylose,  $R^2$  is (the 1857 compound V2A); and

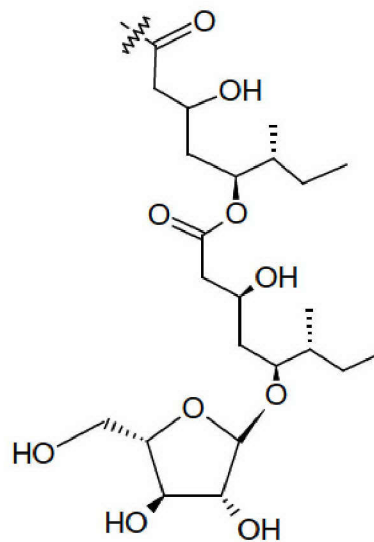


(d) wherein  $R^1$  is  $\beta$ -D- Xylose,  $R^2$  is H, and  $R^3$  is (the 1857 compound V2B).

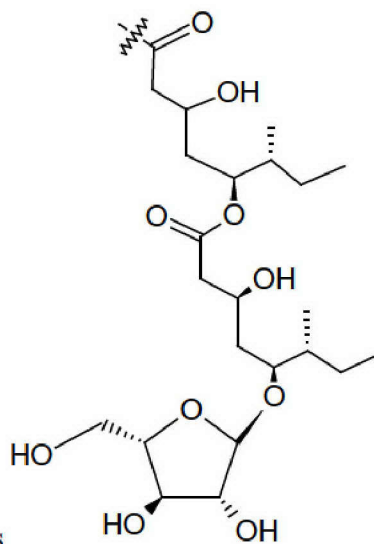
8. The isolated OBI-821 saponin of claim 7, further comprising one or more of the following isolated compounds of formula (I):



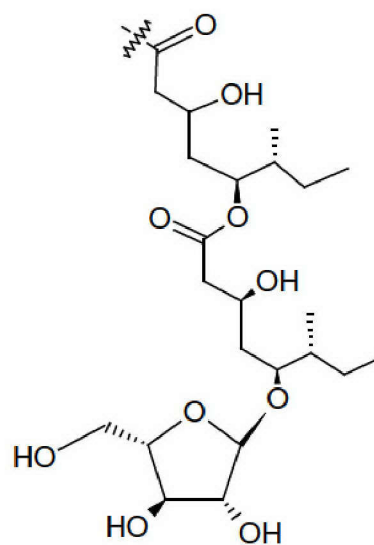
(a) wherein  $R^1$  is  $\beta$ -D-Apiose,  $R^2$  is (the 1989 compound V1A); and  $R^3$  is H



(b) wherein  $R^1$  is  $\beta$ -D-Apiose,  $R^2$  is H, and  $R^3$  is  
(the 1989 compound V1B);



(c) wherein  $R^1$  is  $\beta$ -D-Xylose,  $R^2$  is  
, and  $R^3$  is H (the  
1989 compound V2A); and



(d) wherein  $R^1$  is  $\beta$ -D- Xylose,  $R^2$  is H, and  $R^3$  is

(the 1989 compound V2B).

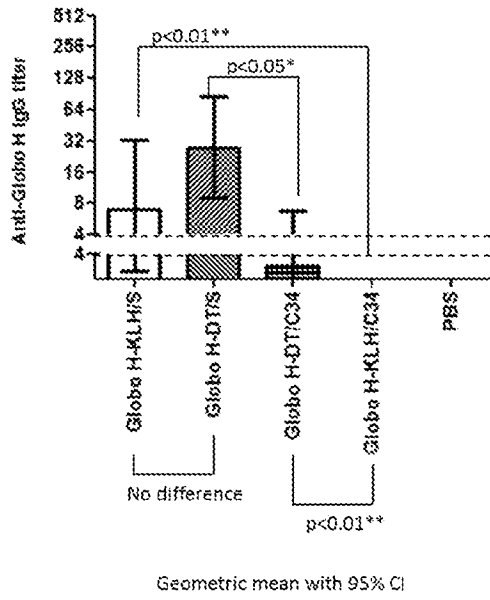
9. An isolated OBI-821 saponin, comprising:
  - (a) about 1 to about 15 mole % of a 1857 compounds mixture, wherein the 1857 compounds mixture comprises about 60-70 mole % of the 1857 Compound V1A of claim 7; about 1-5 mole % of the 1857 Compound V1B of claim 7, about 30-40 mole % of the 1857 Compound V2A of claim 7, and about 0.1-3 mole % of the 1857 Compound V2B of claim 7; and
  - (b) about 85 to about 99 mole % of a 1989 compounds mixture, wherein the 1989 compounds mixture comprises about 60-70 mole % of the 1989 Compound V1A of claim 8, about 1-5 mole % of the 1989 Compound V1B of claim 8, about 30-40 mole % of the 1989 Compound V2A of claim 8, and about 0.1-3 mole % of the 1989 Compound V2B of claim 8.
10. A vaccine, comprising:
  - (a) a carbohydrate antigen or its immunogenic fragment; and
  - (b) an isolated OBI-821 saponin of claims 7, 8 or 9.
11. The vaccine of claim 10, wherein the carbohydrate antigen or its immunogenic fragment is selected from Globo H, SSEA-3, SSEA-4, Gb-4 or a mixture thereof.
12. The vaccine of claim 10, further comprises a carrier protein.
13. The vaccine of claim 12, wherein the carrier protein is a diphtheria toxin (DT).
14. The vaccine of claim 13, wherein the DT is diphtheria toxin cross-reacting material or diphtheria toxoid.
15. The vaccine of claim 14, wherein the diphtheria toxin cross-reacting material is selected from CRM 30, CRM 45, CRM 176, CRM 197 or CRM 228.
16. The vaccine of claim 12, wherein the carrier protein is Keyhole limpet hemocyanin (KLH).
17. A pharmaceutical composition, comprising

- (a) the vaccine of claim 10; and
- (b) a pharmaceutically acceptable carrier.

18. A method for inhibiting cancer cells, comprising administering an effective amount of the vaccine of claim 10 to a subject in need thereof, wherein the cancer cells are inhibited.
19. The method according to claim 18, wherein the cancer is Globo H expressing cancer.
20. The method of claim 19, wherein the Globo H expressing cancer is breast cancer, lung cancer, gastric cancer, colon cancer, pancreatic cancer, prostate cancer, ovarian cancer, endometrial cancer, esophageal cancer, rectal cancer, biliary cancer, liver cancer, buccal cancer, nasopharyngeal cancer, kidney/renal cancer, cervical cancer, testicular cancer, bladder cancer, head and neck cancer, oral cancer, neuroendocrine cancer, adrenal cancer, thyroid cancer, bone cancer, skin cancer, basal cell carcinoma, squamous cell carcinoma, melanoma, or brain tumor.
21. The method of any one of claims 18 to 20, wherein the subject is human.
22. Use of a vaccine of any one of claims 10-16 in the preparation of a medicament for inhibiting cancer cells in a subject.
23. The use of claim 22, wherein the subject is human.

Fig. 1

A



B

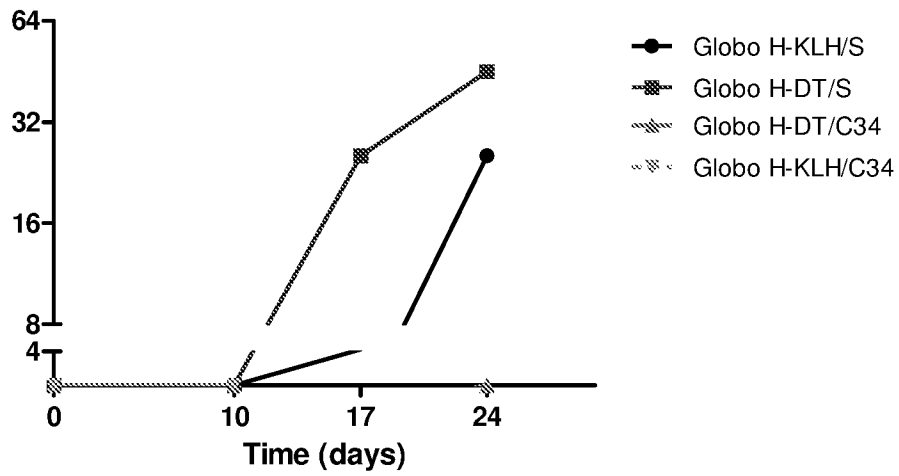


Fig. 2

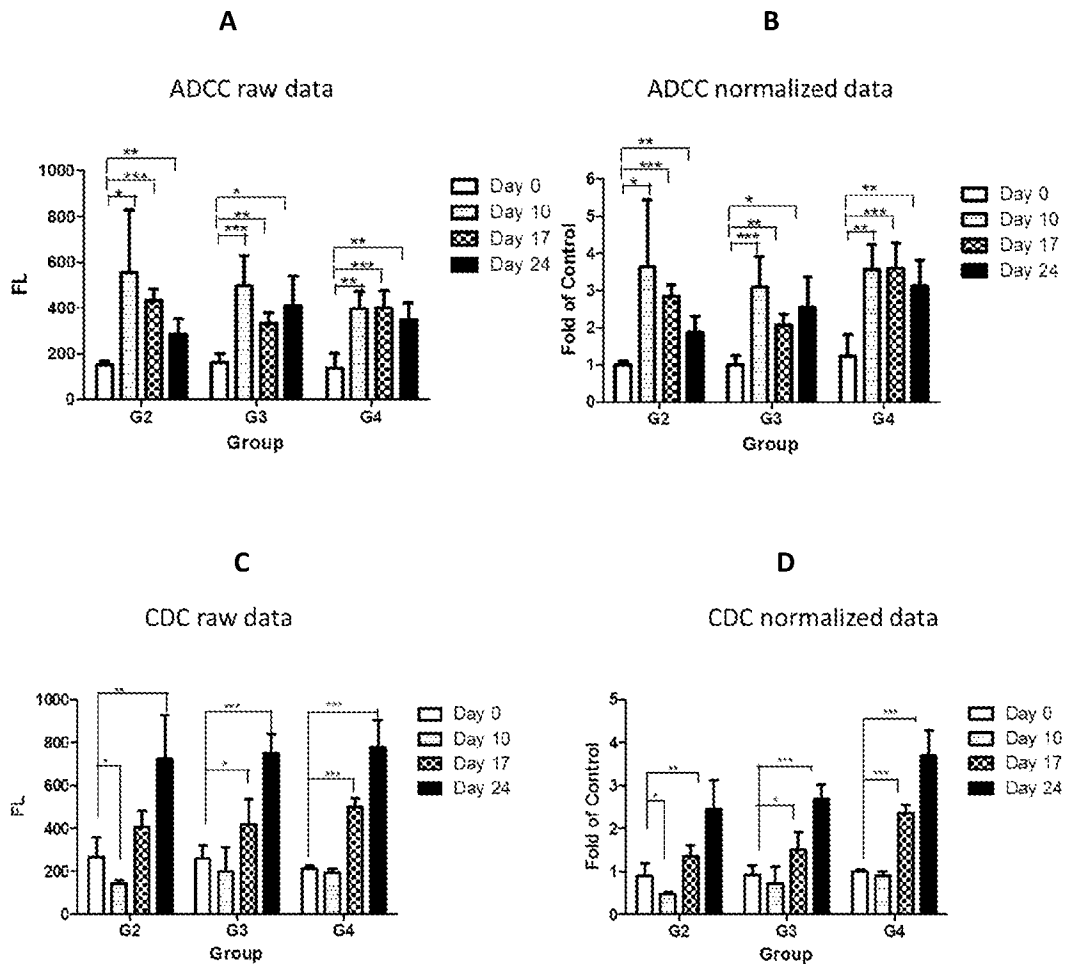
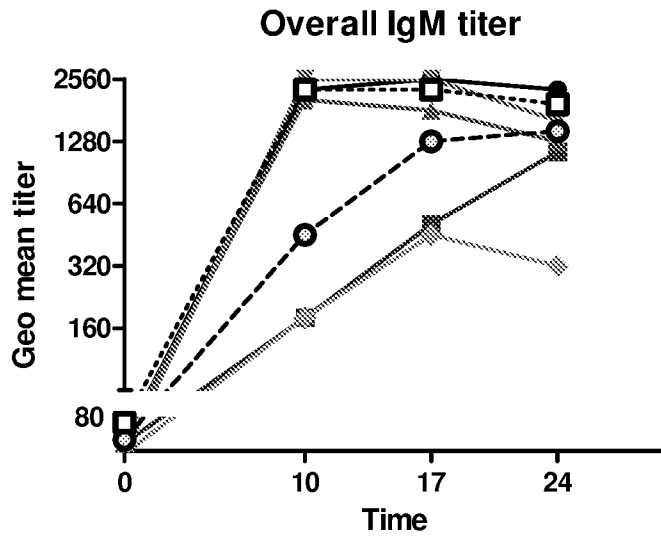
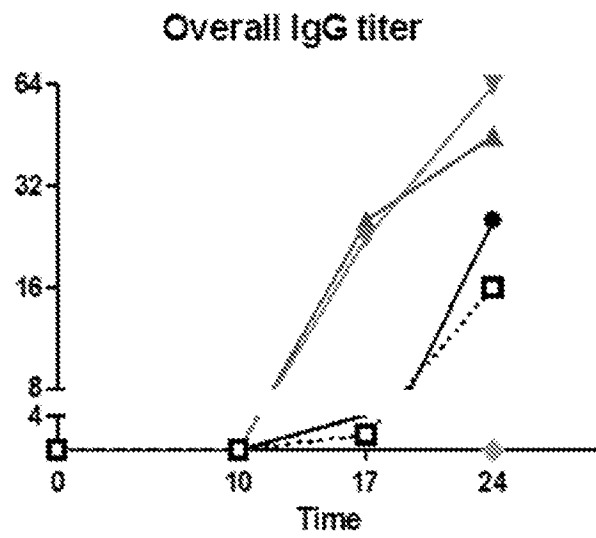


Fig. 3

A



B



- G1
- G2
- G3
- G4
- G5
- G6
- G7



Fig. 4

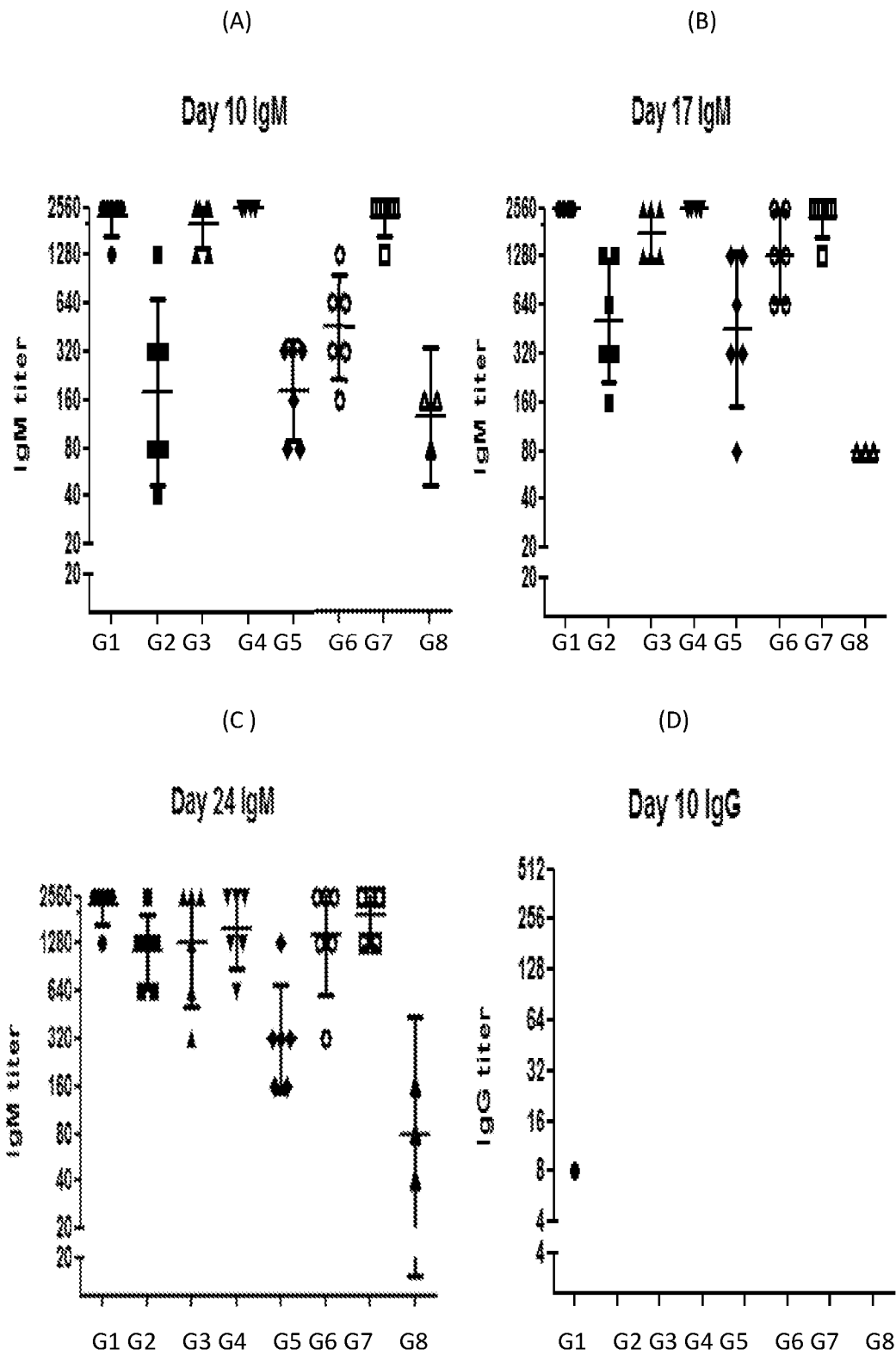
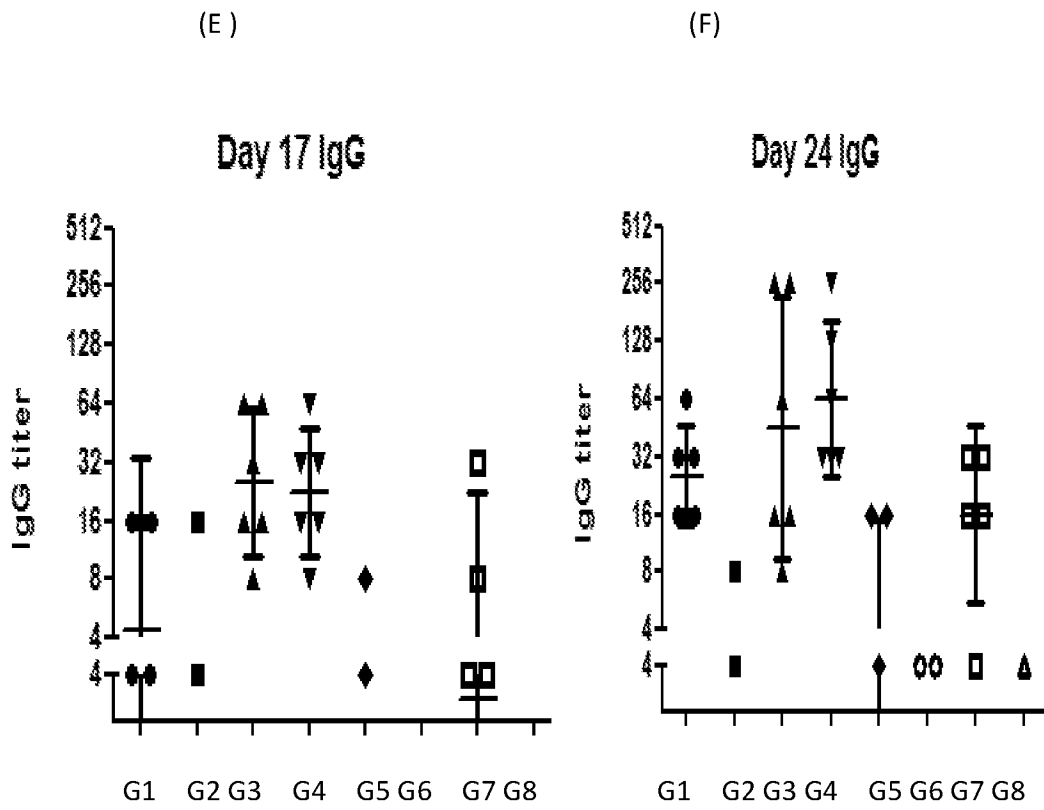


Fig. 4



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Fig. 5A

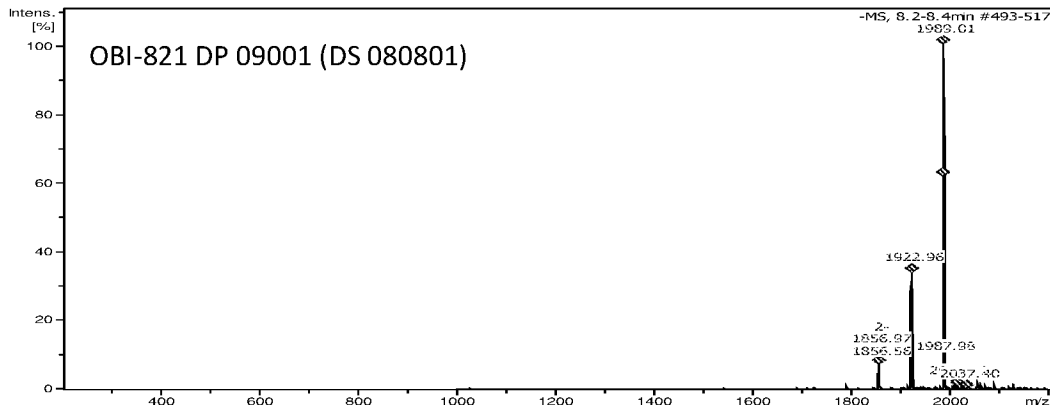


Fig. 5B

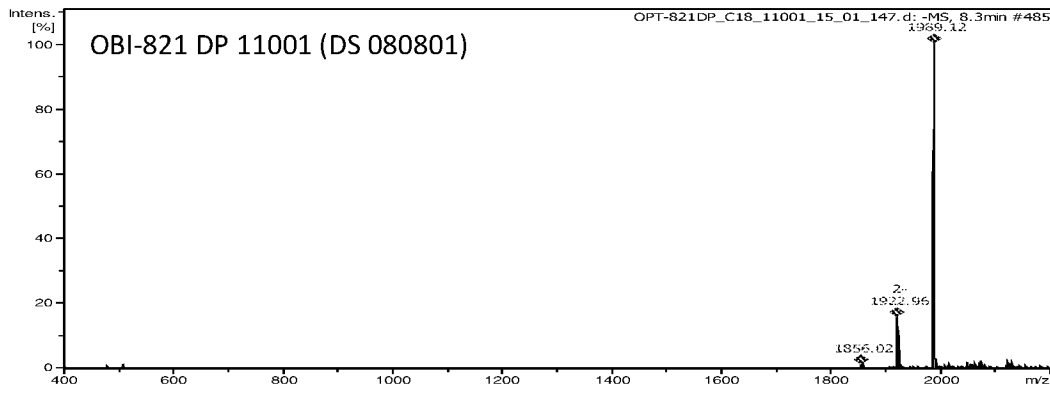


Fig. 5C

OBI-821 DP 13001 (DS 120531)

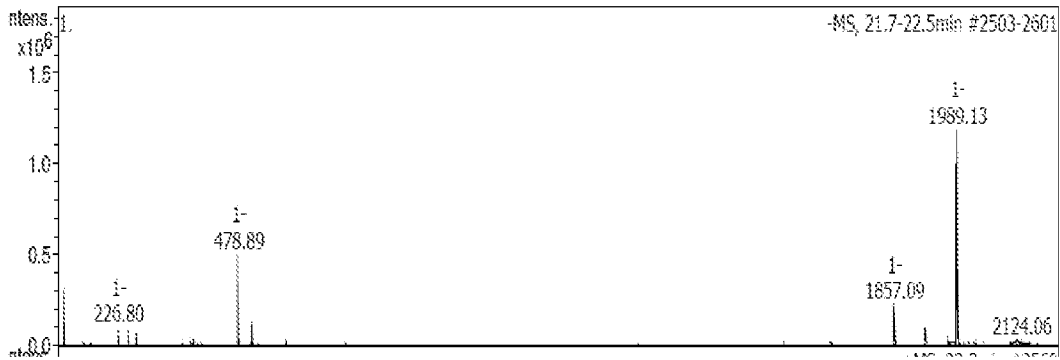


Fig. 6

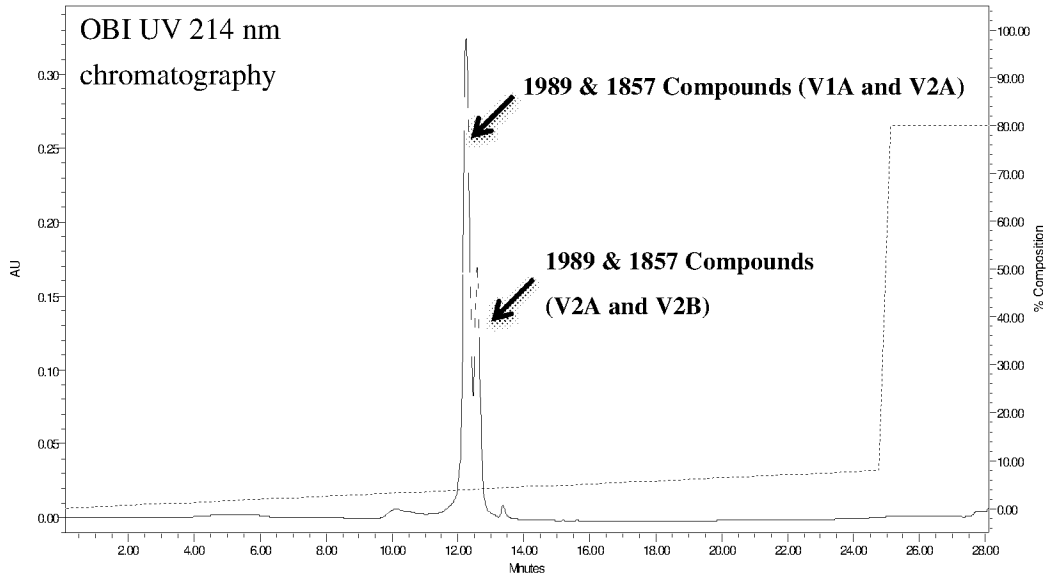


Fig. 7

