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(54) Title: LUBRICANT FOR HOT FORGING APPLICATIONS

(57) Abstract: A substantially lead-free lubricant for use in hot forging of metals, especially forging of aluminum and aluminum alloy components. The lubricant comprises one or more oils, graphite, and one or more phosphorus- based additives. Additional additives, such as metallic lubricants and dispersants may also be included. The lubricant does not burn when subjected to temperatures in excess of 300°C.



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LUBRICANT FOR HOT FORGING APPLICATIONS

FIELD OF THE INVENTION

Forged metal articles are frequently used for various parts in many items, including airplanes, automobiles, electronic components, etc. Iron has traditionally been the metal of choice for most forged metal applications, however the preferred
5 material for many recent applications is changing from iron to lighter alloys, such as aluminum alloys, in order to meet the demand for reducing the overall weight of the material. In recent years, the demand for lightweight products of high quality with good workability has increased and has led to a similar increase
10 in the use of aluminum alloy forging technology.

In the metal forging work or process, a lubricant or lubricating oil is disposed between the metal mold and the workpiece to be molded so as to avoid adhesion between them and to improve the separation ability of the forged article from
15 the metal mold. The proper lubricant is critical in order to allow for proper movement in the die cavities and to allow the forging process to be repeated as many times as possible in succession

without re-application of lubricant. Conventional lubricants, which include oil-soluble lubricants with added graphite and water-soluble lubricants consisting of synthetic esters, silicone oils, graphite, extreme-pressure additives and surface active agents, have been typically used as lubricants in aluminum alloy forging.

It is difficult to satisfy the demands of hot aluminum forging by using conventional lubricants. With hot aluminum forging, the existing oil-soluble lubricants have disadvantages, such as flammability, causing smoking and/or workshop contamination, etc. In particular, many oil-soluble lubricants that contain graphite burn when the application temperature is greater than 300°C, such as is required for hot forging of aluminum, causing unsafe working conditions and an increased risk of accidents. Furthermore, the existing water-soluble lubricants have disadvantages including the requirement for treatment of waste water to control water pollution after use which results in cost increases, equipment investment and processing inefficiency.

Many non-flammable additives have been unsuccessfully tested for use in hot forging. For example, halogenated products have been tested, but they produce halogenated

residues that are unwelcome for safety and environmental purposes. Magnesium- and borate-based flame retardants have been tested, however they generate residues that negatively affect the lubrication properties. Organic flame retardants in
5 general are not effective because they are not designed to work at temperatures above 300°C. Further, antimony and barium based products are generally not effective in hot forging applications.

Conventional hot aluminum forging lubricants that best
10 facilitate die movement typically include one or more organic lead compounds, such as lead naphthenate and lead stearate. The use of lead in lubricating compositions has come into disfavor because of the health hazards caused by the release of lead into the atmosphere. During the hot forging of aluminum
15 some of the organic lead present in the lubricant is dispersed into the air in quantities which are unacceptable to most local and national governmental regulatory agencies. Such agencies have banned the use of lead in many industries and, where it is still allowed, severely limited the concentrations that may be
20 discharged into the atmosphere. In order to continue using lead-containing lubricants, the hot forging industry would be required to install very expensive exhaust and air filtration

systems to lower the concentration of atmospheric lead to acceptable limits. Such exhaust and filtration systems would not be economically feasible for many aluminum hot forging facilities.

5 It would therefore be advantageous to provide a substantially lead-free lubricant for use in the aluminum and aluminum alloy hot forging industry. Such lubricants provide superior lubrication properties and should not produce smoke or be flammable at temperatures at or above 300°C.

10

SUMMARY OF THE INVENTION

The present invention discloses a lubricant for use in hot forging or metal deformation of metals, especially aluminum and aluminum alloy components, titanium and superalloys. The
15 lubricant comprises one or more oils, graphite, and one or more phosphorus-based additives. Additional additives, such as metallic lubricants, dispersants, thickeners and wetting agents may also be included. The lubricant of the present invention is substantially lead-free and does not burn when subjected to
20 temperatures in excess of 300°C.

DETAILED DESCRIPTION OF THE INVENTION

The present invention discloses a lubricant for use in hot-forging applications, such as those utilized in forging aluminum and aluminum workpieces into the desired articles. Such hot
5 forging applications require lubricant materials that do not produce smoke or flame in the general temperature range of about 300°C to about 600°C. In order to comply with safety and health regulations, such lubricants are substantially lead-free.

The lubricant of the present invention is an oil-based
10 lubricant. The oil utilized may be virtually any composition known in the art, including but not limited to mineral oil, such as naphthenic, aliphatic, paraffinic or steam cylinder oil, vegetable oil, such as sunflower oil, olive oil or rapeseed oil, animal oil, such as lard oil, synthetic oil, such as polyalphaolefins and
15 silicone oil, semi-synthetic oil, such as glycerol trioleate and mixtures thereof. Preferred oils include vegetable, mineral and animal oils. Such oils are commercially available as Process Oil 1000 from Texaco (UK), Lard oil from Welch, Holm and Clark Co. (USA), Soybean oil from BG International (USA.).

20 A second component of the lubricant is a graphite additive. The graphite may be in any form known in the art, including but not limited to coarse, fine, milled, unmilled, natural,

synthetic or mixtures thereof. The graphite component of the lubricant provides for a physical separation between the workpiece and the die during the forging operation. Fine graphite having a particle size distribution with about 90% of the
5 particles below 15 microns in size is especially preferred. Such graphite is commercially available from Acheson Industries, Inc.

One or more phosphorus-based additives are included in the lubricant. The phosphorus additive reduces and eliminates burning of the lubricant at high temperatures. The phosphorus
10 can be in any desired form, such as phosphate, ester phosphate, phosphate amine, ammonium phosphate or mixtures thereof. One preferred phosphorous-based material is phosphate ester. Such phosphorous is commercially available from Connect Chemical (F), Ferro (USA).

15 The hot forging lubricant composition optionally contains one or more metallic lubricating additives. The metallic additives may be chosen from one or more of the metallic elements, including tin, bismuth, zinc, aluminum or any alloys thereof.

The lubricant composition may optionally contain
20 additional ingredients such as dispersants, rheology modifiers, biocides, anticorrosives, extreme pressure additives, antifoam agents, wetting agents, metal soaps and mixtures thereof.

The lubricant of the present invention comprises in the range of about 1 to about 99 weight percent oil, preferably in the range of about 1 to about 70% oil and most preferably in the range of about 15 to about 40 weight percent oil. The lubricant
5 contains in the range of about 1 to about 99 weight percent graphite, preferably in the range of about 1 to about 30 weight percent graphite and most preferably in the range of about 3 to about 20 weight percent graphite. The lubricant contains in the range of about 1 to about 99 weight percent phosphorous
10 additive, preferably in the range of about 1 to about 80 weight percent phosphorous additive and most preferably in the range of about 30 to about 80 weight percent of the phosphorous additive.

A further embodiment of the invention comprises a
15 method for forging an article. The method comprises the steps of applying the lead-free lubricant to one or both of the forging apparatus or the workpiece to be forged. The workpiece is inserted into the forging apparatus and forged into the desired article. The forging apparatus is opened and the article is easily
20 removed due to the presence of the lubricant. In an alternative embodiment, the workpiece is inserted before the application of

the lubricant and the workpiece and die surface are then lubricated simultaneously

The invention is further illustrated by the following non-limiting example:

- 5 Example. A lubricant composition may be produced by adequately mixing the raw materials together via standard mixing techniques, such as mastication or stirring. The composition of the samples is shown in Table 1.

10 Table 1. Lubricant Sample Composition

Ingredient	Weight Percent
Vegetable/Animal Oil	15%
Fine Graphite	4.4%
Mineral Oil	3.2%
Metal containing Fatty Acid Derivative	3.2%
Phosphate Ester	73%
Dispersant	0.2%

- The lubricant composition of Table 1 was applied on hot forging dies at temperatures of $440^{\circ}\text{C} \pm 40^{\circ}\text{C}$. The dies were utilized for a series of hot aluminum forging applications and the lubricant did not produce flames during the process. In addition, the lubricant properties relating to the die and the aluminum part being forged were superior.
- 15

Many modifications and variations of this invention can be made without departing from its spirit and scope, as will be apparent to those skilled in the art. The specific embodiments described herein are offered by way of example only, and the
5 invention is to be limited only by the terms of the appended claims, along with the full scope of equivalents to which such claims are entitled.

We claim:

1. A lead-free lubricant for hot forging comprising one or
5 more oils, graphite and one or more phosphorus-based
additives.
2. The lubricant of claim 1, further comprising one or more
metallic lubricating additives.
3. The lubricant of claim 1, wherein the one or more oils are
10 selected from the group consisting of mineral oil, vegetable oil,
animal oil, synthetic oil, semi-synthetic oil or mixtures thereof.
4. The lubricant of claim 1, wherein the graphite is selected
from the group consisting of coarse, fine, milled, unmilled,
natural, synthetic or mixtures thereof.
- 15 5. The lubricant of claim 1, wherein the phosphorus-based
additive is selected from the group consisting of phosphate,
ester phosphate, phosphate amine, ammonium phosphate or
mixtures thereof.
6. The lubricant of claim 1, wherein the phosphorus-based
20 additive is phosphate ester.

7. The lubricant of claim 2, wherein the one or more metallic additives are selected from the group consisting of bismuth, zinc, tin, aluminum or any alloys thereof.
8. The lubricant of claim 1, wherein the one or more oils
5 comprise in the range of about 1 to about 99 weight percent of the lubricant.
9. The lubricant of claim 1, wherein the one or more oils comprise in the range of about 1 to about 70 weight percent of the lubricant.
- 10 10. The lubricant of claim 1, wherein the one or more oils comprise in the range of about 15 to about 40 weight percent of the lubricant.
11. The lubricant of claim 1, wherein the graphite comprises in the range of about 1 to about 99 weight percent of the
15 lubricant.
12. The lubricant of claim 1, wherein the graphite comprises in the range of about 1 to about 30 weight percent of the lubricant.
13. The lubricant of claim 1, wherein the graphite comprises
20 in the range of about 3 to about 20 weight percent of the lubricant.

14. The lubricant of claim 1, wherein the one or more phosphorus based additives comprise in the range of about 1 to about 99 weight percent of the lubricant.
15. The lubricant of claim 1, wherein the one or more phosphorus based additives comprise in the range of about 1 to about 80 weight percent of the lubricant.
16. The lubricant of claim 1, wherein the one or more phosphorus based additives comprise in the range of about 30 to about 80 weight percent of the lubricant.
17. The lubricant of claim 1, further comprising one or more of the group consisting of dispersants, rheology modifiers, biocides, anticorrosives, extreme pressure additives, antifoam agents, wetting agents, metal soaps or mixtures thereof.
18. The lubricant of claim 1, wherein the lubricant does not burn at temperatures greater than about 300°C.
19. The lubricant of claim 1, wherein the lubricant does not burn at temperatures in the range of about 300°C to about 600°C.
20. A method of hot forging an aluminum or aluminum alloy comprising the steps of applying a coating of the lead-free lubricant of claim 1 to a hot forging apparatus, inserting a

workpiece into the apparatus and forging an article from the workpiece.

21. The method of claim 20, further comprising the step of coating the workpiece with the lubricant.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2007/078113**A. CLASSIFICATION OF SUBJECT MATTER*****C10M 169/04(2006.01)i, C10M 103/02(2006.01)i***

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 8 C10M 169/04, C10M 103/02

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
KR, JP IPC as aboveElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)
eKIPASS(KIPO internal) "lead-free, lubricant, graphite, phosphorus, oils, metallic"**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US2002/0042348 A1 (Hugh A. Meneil et al.) 11 APRIL 2002 See abstract and claims 1-41.	1-21
A	US5864745 A (Taiho Kogyo Co., Ltd.) 26 JANUARY 1999 See abstract and claim 1.	1-21
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A	US5413756 A (Magnolia Metal Corporation) 9 MAY 1995 See abstract and claims 1-12.	1-21
A	US3977838 A (Toyota Jidosha Kogyo Kabushiki Kaisha) 31 AUGUST 1976 See abstract and claim 1.	1-21

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

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"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

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"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

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INTERNATIONAL SEARCH REPORT

Information on patent family members

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