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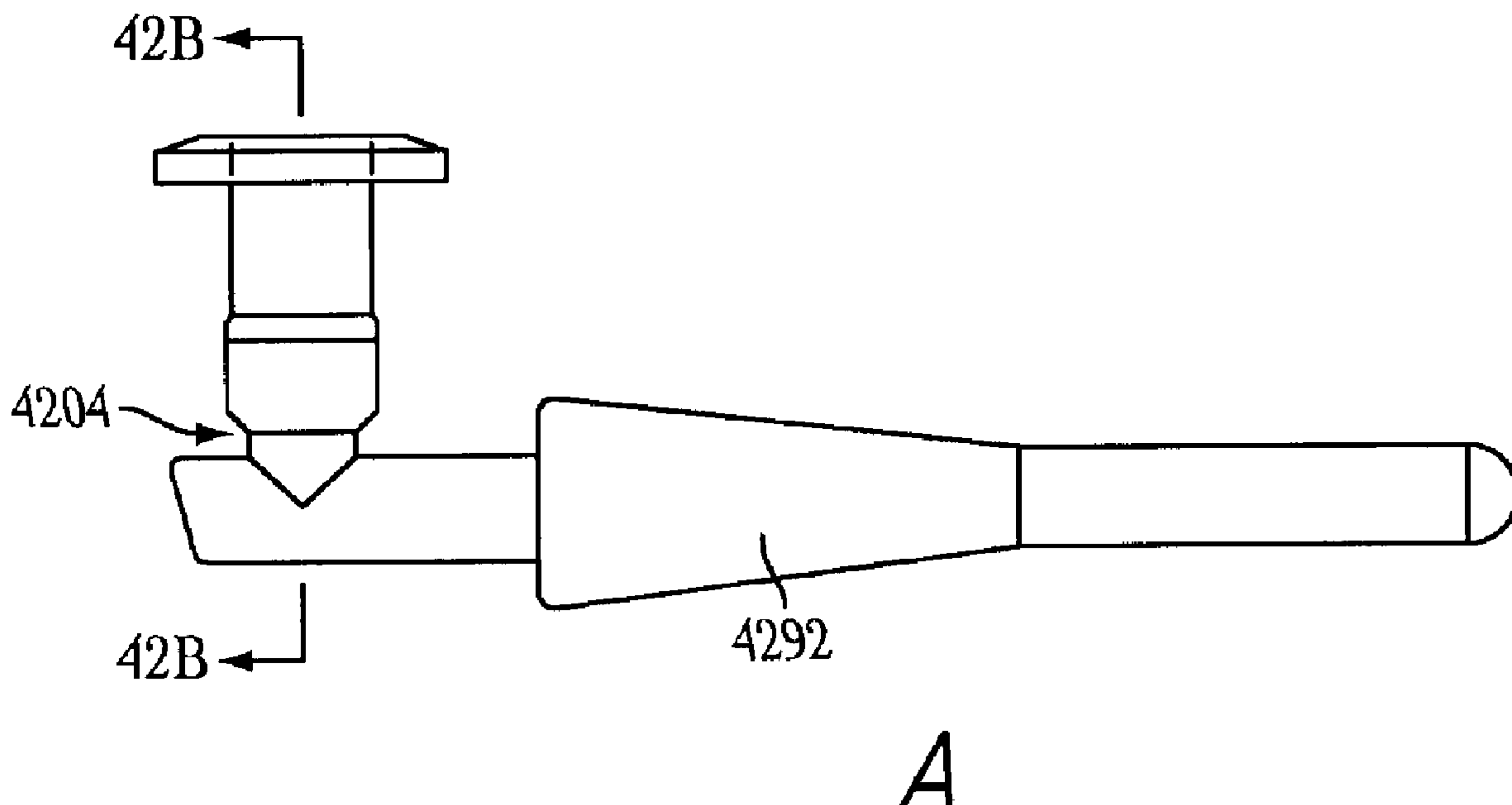
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(54) Titre : IMPLANTS LACRYMAUX ET PROCEDES ASSOCIES

(54) Title: LACRIMAL IMPLANTS AND RELATED METHODS



(57) Abrégé/Abstract:

Lacrimal implants and related methods for improved residence time of tears on an eye and delivery of drugs or other therapeutic agents to an eye are disclosed. The lacrimal implants comprise an implant body configured for at least partial insertion through the

(57) **Abrégé(suite)/Abstract(continued):**

lacrimal punctum and into the canaliculus. The implant body include first and second portions, and extend from a proximal end of the first portion defining a longitudinal proximal axis to a distal end of the second portion defining a longitudinal distal axis. The implant body is configured such that, when implanted using an integral dilator, an angled intersection exists between the proximal and distal axes. In this way, at least a portion of the implant body is biased against at least a portion of the lacrimal canaliculus located at or more distal to a canalicular curvature, thereby retaining an implanted position of the lacrimal implant using anatomical structures.

ABSTRACT

Lacrimal implants and related methods for improved residence time of tears on an eye and delivery of drugs or other therapeutic agents to an eye are disclosed. The lacrimal implants comprise an implant body configured for at least partial insertion through the lacrimal punctum and into the canaliculus. The implant body include first and second portions, and extend from a proximal end of the first portion defining a longitudinal proximal axis to a distal end of the second portion defining a longitudinal distal axis. The implant body is configured such that, when implanted using an integral dilator, an angled intersection exists between the proximal and distal axes. In this way, at least a portion of the implant body is biased against at least a portion of the lacrimal canaliculus located at or more distal to a canalicular curvature, thereby retaining an implanted position of the lacrimal implant using anatomical structures.

LACRIMAL IMPLANTS AND RELATED METHODS

This is a divisional application of Canadian Patent Application Number 2,752,645, filed February 23, 2010.

CROSS REFERENCES TO RELATED APPLICATIONS

This patent application claims the benefit of priority from U.S. Provisional Patent Application Serial Nos. 61/154,693, filed February 23, 2009, entitled “LACRIMAL IMPLANTS AND RELATED METHODS,” 61/209,036, filed March 2, 2009, entitled “LACRIMAL IMPLANTS AND RELATED METHODS,” 61/209,630, filed March 9, 2009, entitled “LACRIMAL IMPLANTS AND RELATED METHODS,” 61/271,862, filed July 27, 2009, entitled “LACRIMAL IMPLANTS AND RELATED METHODS,” and 61/252,057, filed October 15, 2009, entitled “LACRIMAL IMPLANTS AND RELATED METHODS”.

This patent application is related to U.S. Patent Application Serial No. 12/231,989 filed September 5, 2008, entitled “LACRIMAL IMPLANTS AND RELATED METHODS,” which is pending.

TECHNICAL FIELD

This patent document pertains generally to ophthalmic devices, and particularly to ocular implants. More particularly, but not by way of limitation, this patent document pertains to lacrimal implants, methods of making such implants, and methods of treating ocular, respiration, inner ear or other diseases or disorders (e.g., pulmonary or immunological disorders) using such implants.

BACKGROUND

Dry eye, including keratoconjunctivitis sicca, is a common ocular condition that can require therapy. Dry eye has been experienced by a broad demographic band, and is common in elderly individuals. A variety of current treatment modalities target physiological conditions that contribute to dry eye, including augmentation of normal tear fluid, enhancement of tear film component production, and methods to enhance the residence time of tears, such as blocking the tear flow from an eye into and through a lacrimal canaliculus.

Many current tear flow blockage techniques have drawbacks, including being irreversible in nature. For instance, some tear flow blockage techniques involve closing a canalicular canal by stitching the associated punctal opening shut or by using electrical or laser cauterization to seal the punctal opening. Although such procedures can provide the desired result of blocking tear flow to treat dry eye, they are not reversible without reconstructive surgery.

In addition to dry eye symptom relief, a variety of challenges face patients and physicians in the area of ocular, respiration and inner ear disease or disorder management, including adequate drug or other therapeutic agent delivery to the eyes, nasal passage or inner ear. In ocular management, for example, many current ocular drug delivery systems require repetitive manual administration and are often ineffective due to a lack of patient compliance or inadequate drug concentrations reaching the eye. For instance, when an eye drop is instilled in an eye, it often overfills the conjunctival sac (i.e., the pocket between the eye and the lids) causing a substantial portion of the drop to be lost due to overflow of the lid margin and spillage onto the cheek. A large portion of the drop remaining on the ocular surface can be washed away into and through a lacrimal canaliculus shortly after application, thereby diluting the concentration of the drug before it can absorbably treat the eye. Moreover, topically applied drugs often have a peak ocular effect for about two hours post-application, after which additional applications of the drugs should be, but are often not, administered to maintain the desired drug therapeutic benefit.

In a field different from ocular management, control of respiration-related (e.g., allergies) and inner ear diseases or disorders often requires repetitive manual digestion or other intake of a medication (e.g., drugs or other therapeutic agents), and can be ineffective due to a lack of patient compliance or non-localized drug delivery.

EXEMPLARY ASPECTS AND EMBODIMENTS OF THE INVENTION

The present inventors have recognized various promising techniques to increase the residence time of tears on an eye and delivery of drug or other therapeutic agent to the eye, nasal passage, inner ear or other bodily system. These techniques can include placing a removable, and optionally drug releasing, lacrimal implant through a lacrimal punctum and into the associated canaliculus. It is believed that by designing lacrimal

implants that utilize the features of the nasolacrimal drainage system (e.g., by mimicking the shape of the lacrimal canaliculus), patient comfort and implant retention in the ocular anatomy can be satisfied. In this way, the present lacrimal implants can overcome some of the drawbacks associated with current dry eye relief, such as being irreversible in nature, and manual drop or digestion-based drug administration, such as poor patient compliance, waste, untimely application, or non-localized delivery.

Further yet, the present inventors have recognized that a lacrimal implant can benefit from one or more of: the ability to be easily implanted and removed without much biasing of the lacrimal punctum or associated canaliculus, the ability to be securely retainable in the lacrimal canaliculus upon implantation, optionally without being pre-sized to a particular lacrimal punctum or canaliculus diameter, the ability to permit tear fluid, drug or other agent to flow into the nasolacrimal system, and, when made and used as a drug delivery system, the ability to allow for the sustained, localized release of one or more drugs or other therapeutic agents at a desired therapeutic level for an extended period of time.

In light of these recognitions, lacrimal implants for treating diseases or disorders are disclosed. More particularly, lacrimal implants, methods of making such implants, and methods of treating ocular, respiration, inner ear, pulmonary or immunological diseases or disorders using such implants are disclosed. Clinical trials to evaluate the safety, tolerability, comfort, ease of handling, insertion and removal, retention, efficacy and dosing of the various lacrimal implants disclosed in this patent document indicate that the lacrimal implants, such as the lacrimal implants shown in FIGS. 12, 13 and 43A-43C, are effective and well tolerated by clinical test patients. In addition, retention rates of certain lacrimal implants, such as the lacrimal implants of the type shown in FIG. 13 have been found to be about 60% or higher at 8 weeks, and about 47% percent or higher at 12 weeks, while retention rates of other lacrimal implants, such as the type those shown in FIGS. 12 and 43A-C, have been found to be about 75% or higher after eight weeks.

To better illustrate the subject matter described herein, a non-limiting list of exemplary aspects and embodiments is provided here:

1. A lacrimal implant insertable into a lacrimal canaliculus, comprising: an implant body, including first and second portions, the implant body extending from a proximal end of the first portion to a distal end of the second portion; the proximal end of the first portion defining a longitudinal proximal axis and including a retainment projection laterally protruding non-equidistantly around its circumference; the distal end of the second portion defining a longitudinal distal axis; and the implant body configured such that, when implanted in the lacrimal canaliculus, an angled intersection exists between the proximal axis and the distal axis for biasing at least a portion of the implant body against at least a portion of the lacrimal canaliculus located at or more distal to a canalicular curvature.

2. The lacrimal implant according to aspect 1, wherein a proximal end of the retainment projection of the first portion laterally protrudes outward in a non-equal lateral distance about its circumference and tapers down to an outer diameter of a distal end of the first portion.

3. The lacrimal implant according to aspect 2, comprising a graspable projection extending at least partially from the proximal end of the first portion, the graspable projection configured to seat against or near a lacrimal punctum when the implant body is implanted; and wherein the proximal end of the retainment projection of the first portion includes a perimeter numerically about equal to a perimeter of the graspable projection.

4. The lacrimal implant according to any of aspects 2 or 3, wherein the proximal end of the retainment projection of the first portion protrudes outward in opposite directions on opposing first and second sides without protruding outwardly from the outer diameter on opposing third and fourth sides.

5. The lacrimal implant according to any of aspects 1-4, further comprising one or more therapeutic agents.

6. The lacrimal implant according to aspect 5, wherein the one or more therapeutic agents are provided in a drug insert at least partially positioned in the first portion, the drug insert configured to deliver a sustained release of the one or more therapeutic agents.

7. A kit comprising the lacrimal implant according to any of aspects 1-6, and an instruction for using the lacrimal implant to treat an eye disorder.

8. A lacrimal implant insertable into a lacrimal canaliculus, comprising: an implant body, including first and second portions, the implant body extending from a proximal end of the first portion to a distal end of the second portion; the proximal end of the first portion defining a longitudinal proximal axis; the distal end of the second portion defining a longitudinal distal axis and including a retainment projection laterally protruding around its circumference, the retainment projection including an outward lateral step at one of a proximal end of the retainment projection or a distal end of the retainment projection; and the implant body configured such that, when implanted in the lacrimal canaliculus, an angled intersection exists between the proximal axis and the distal axis for biasing at least a portion of the implant body against at least a portion of the lacrimal canaliculus located at or more distal to a canalicular curvature.

9. The lacrimal implant according to aspect 8, wherein the lateral step extends laterally outward in a direction perpendicular from a direction in which the second portion extends, the lateral step being greater than or equal to about 0.14 mm.

10. The lacrimal implant according to any of aspects 8 or 9, wherein the lateral step is positioned at the proximal end of the retainment projection and tapers toward an outer diameter of the second portion at the distal end of the retainment projection.

11. The lacrimal implant according to aspect 10, wherein the distal end of the retainment projection includes an integral dilator to facilitate implantation of the implant body into the lacrimal canaliculus.

12. The lacrimal implant according to any of aspects 8-11, further comprising one or more therapeutic agents.

13. The lacrimal implant according to aspect 12, wherein the one or more therapeutic agents are provided in a drug insert at least partially positioned in the first portion, the drug insert configured to deliver a sustained release of the one or more therapeutic agents.

14. The lacrimal implant according to aspect 13, wherein the drug insert comprises at least about 44 micrograms of the one or more therapeutic agents.
15. The lacrimal implant according to aspect 13, wherein the drug insert comprises at least about 81 micrograms of the one or more therapeutic agents.
16. A kit comprising the lacrimal implant according to any of aspects 8-15, and an instruction for using the lacrimal implant to treat an eye disorder.
17. A lacrimal implant for insertion into a lacrimal canaliculus, comprising: an implant body non-linearly extending from a proximal end portion positionable within a vertical section of the lacrimal canaliculus to a distal end portion positionable within a horizontal section of the lacrimal canaliculus and having an intermediate portion therebetween; the intermediate portion partially extending in a first direction toward the proximal end portion and partially extending in a second direction toward the distal end portion such that, when implanted in the lacrimal canaliculus; and wherein the intermediate portion includes a recess storing an expandable material, the expandable material configured for partially expanding in a third direction, substantially opposite the second direction, toward a lacrimal canaliculus ampulla when the implant body is implanted.
18. The lacrimal implant according to aspect 17, wherein the expandable material includes hydrogel.
19. The lacrimal implant according to any of aspects 17 or 18, wherein the expandable material partially expands laterally, relative to the second direction, when the implant body is implanted, the lateral expansion urging one or more surrounding portions of the implant body outward against a wall of the lacrimal canaliculus.
20. The lacrimal implant according to any of aspects 17-19, wherein at least one of the proximal end portion or the distal end portion comprises at least one intermediately-disposed retainment projection having a cross-sectional size greater than an adjacent implant body portion.
21. The lacrimal implant according to any of aspects 17-20, further comprising one or more therapeutic agents.

22. The lacrimal implant according to aspect 21, wherein the one or more therapeutic agents are provided in a drug insert at least partially positioned in the proximal end portion, the drug insert including at least one exposed surface configured to deliver a sustained release of the one or more therapeutic agents.

23. A kit comprising the lacrimal implant according to any of aspects 17-22, and an instruction for using the lacrimal implant to treat an eye disorder.

24. A lacrimal implant insertable into a lacrimal canaliculus, comprising: an implant body, including first and second portions, the implant body extending from a proximal end of the first portion to a distal end of the second portion; the proximal end of the first portion defining a longitudinal proximal axis and including a retainment projection laterally protruding around its circumference; the distal end of the second portion defining a longitudinal distal axis; the retainment projection including an outward lateral step at a proximal end thereof and tapering directly into the second portion; and the implant body configured such that, when implanted in the lacrimal canaliculus, an angled intersection exists between the proximal axis and the distal axis for biasing at least a portion of the implant body against at least a portion of the lacrimal canaliculus located at or more distal to a canalicular curvature.

25. The lacrimal implant according to aspect 24, wherein a length of the retention projection is about 0.96 mm or more.

26. The lacrimal implant according to any of aspects 24 or 25, wherein a distal end of the retainment projection includes an integral dilator to facilitate implantation of the implant body into the lacrimal canaliculus.

27. The lacrimal implant according to any of aspects 24-26, further comprising one or more therapeutic agents.

28. The lacrimal implant according to aspect 27, wherein the one or more therapeutic agents are provided in a drug insert at least partially positioned in the first portion, the drug insert configured to deliver a sustained release of the one or more therapeutic agents.

29. The lacrimal implant according to aspect 28, wherein the drug insert is positioned within a first cavity of the first portion, the first cavity having a diameter at least about 0.56 mm.

30. The lacrimal implant of to any of aspects 28 or 29, wherein the drug insert comprises at least about 81 micrograms of the one or more therapeutic agents.

31. A kit comprising the lacrimal implant according to any of aspects 24-28, and an instruction for using the lacrimal implant to treat an eye disorder.

32. A lacrimal implant insertable into a lacrimal canaliculus, comprising: an implant body non-linearly extending from a proximal end portion positionable within a vertical section of the lacrimal canaliculus to a distal end portion positionable within a horizontal section of the lacrimal canaliculus and having an intermediate portion; the intermediate portion partially extending in a first direction toward the proximal end portion and partially extending in a second direction, in a generally narrowing manner, toward the distal end portion such that when implanted in the lacrimal canaliculus, the implant body directionally biases laterally against at least a portion of the lacrimal canaliculus located at or more distal to a canalicular curvature; the extension in the second direction having a longitudinal length less than four times a longitudinal length of the extension in the first direction; and wherein the intermediate portion partially extends in a third direction, substantially opposite the second direction, toward a lacrimal canaliculus ampulla when the implant body is implanted, the extension in the third direction including a flat hull-like shape.

33. The lacrimal implant according to aspect 32, comprising a graspable projection extending at least partially from the proximal end portion, the graspable projection including an ovoid shape.

34. The lacrimal implant according to any of aspects 32 or 33, wherein the flat hull-like shape includes a length between about 0.4 to 0.5 millimeters, and a thickness of about 0.5 to 0.6 millimeters.

35. The lacrimal implant according to any of aspects 32-34, further comprising a therapeutic agent.

36. The lacrimal implant according to aspect 35, comprising at least one drug insert, distinct from the implant body, disposed in a cavity of the proximal end portion, the drug insert comprising a polymeric matrix including the therapeutic agent.

37. The lacrimal implant according to any of aspects 35 or 36, wherein the therapeutic agent is integrated into one or more portions of the implant body.

38. A kit comprising the lacrimal implant according to any of aspects 32-37, and an instruction for using the lacrimal implant to treat an eye disease.

39. A lacrimal implant insertable into a lacrimal canaliculus, comprising: an implant body, including first and second portions, the implant body extending from a proximal end of the first portion to a distal end of the second portion, the proximal end of the first portion defining a longitudinal proximal axis and the distal end of the second portion defining a longitudinal distal axis; the implant body configured such that, when implanted in the lacrimal canaliculus, an angled intersection exists between the proximal axis and the distal axis for biasing at least a portion of the implant body against at least a portion of the lacrimal canaliculus located at or more distal to a canalicular curvature; and a graspable projection extending at least partially from the proximal end of the first portion, the graspable projection including an inward-extending retaining lip that overhangs a cavity within the first portion.

40. The lacrimal implant according to aspect 39, further comprising at least one drug insert, distinct from the implant body, disposed in the cavity of the first portion, the drug insert comprising a therapeutic agent.

41. The lacrimal implant according to aspect 40, wherein the inward-extending retaining lip overhangs a proximal surface of the drug insert, when fully seated in the cavity, thereby securing a position of the insert.

42. The lacrimal implant according to aspect 41, wherein the overhang does not appreciably effect a release rate of the drug stored in the drug insert.

43. A method of manufacturing a lacrimal implant insertable into a lacrimal canaliculus, the method comprising: forming an implant body extending from a proximal end of a first body portion to a distal end of a second body portion, including forming a cavity in the first body portion, extending the second body portion to a

longitudinal length which is less than four times a longitudinal length of the first body portion, and configuring the proximal end and the distal end to respectively define, when implanted in the lacrimal canaliculus, a longitudinal proximal axis and a longitudinal distal axis that intersect at an angle such that the implant body is configured to directionally bias laterally against at least a portion of the lacrimal canaliculus located at or more distal to a canaliculus curvature; and disposing a drug insert, distinct from the implant body, in the cavity of the first body portion, including positioning an exposed surface of the drug insert above the proximal end of the first body portion.

44. A lacrimal implant for insertion into a lacrimal canaliculus, comprising: an implant body extending from a proximal end portion positionable within a vertical section of the lacrimal canaliculus to a distal end portion positionable within a horizontal section of the lacrimal canaliculus and having an intermediate portion therebetween; the intermediate portion partially extending in a first direction toward the proximal end portion and partially extending in a second direction toward the distal end portion such that, when implanted in the lacrimal canaliculus, the implant body directionally biases laterally against at least a portion of the lacrimal canaliculus located at or more distal to a canalicular curvature; and a retention projection disposed at or near the distal end portion.

45. The lacrimal implant according to aspect 44, wherein the second direction extension includes a generally concave shape relative to the first direction extension; and wherein a radius of the generally concave shape is less than the radius of the canaliculus curvature.

46. A lacrimal implant for insertion into a lacrimal canaliculus, comprising: an implant body including at least one cavity; a drug insert, distinct from the implant body, disposed in the at least one cavity; the drug insert including a polymeric matrix and therapeutic agent; and wherein the implant body includes therapeutic agent integrated with one or more body portions.

47. The lacrimal implant according to aspect 46, further comprising a sheath body surrounding one or more surfaces of the drug insert.

48. The lacrimal implant according to any of aspects 46 or 47, further comprising a coating applied to one or more portions of an outer implant body surface.

49. The lacrimal implant according to aspect 48, wherein a first coating thickness is applied to a first implant body surface portion and a second coating thickness, different from the first coating thickness, is applied to a second implant body surface portion.

50. The lacrimal implant according to any of aspects 48 or 49, wherein the coating includes at least one of parylene, ceramic or silver.

51. The lacrimal implant according to any of aspects 46-50, wherein the drug insert includes a first supply of therapeutic agent and the implant body includes a second supply of therapeutic agent.

These and other embodiments, advantages, and aspects of the present lacrimal implants and methods will be set forth in part in following Detailed Description. This Exemplary Embodiment section is intended to provide an overview of subject matter of the present patent application. It is not intended to provide an exclusive or exhaustive explanation of the present inventive implants. The Detailed Description is included to provide further information about the present patent document.

BRIEF DESCRIPTION OF THE DRAWINGS

In the drawings, like numerals can be used to describe similar components throughout the several views. Like numerals having different letter suffixes can be used to represent different instances of similar components. The drawings illustrate generally, by way of example, but not by way of limitation, various embodiments discussed in the present document.

FIGS. 1-2 illustrate example views of anatomical tissue structures associated with the eye, certain of these tissue structures providing a suitable environment in which a lacrimal implant can be used.

FIG. 3A illustrates an isometric view of an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the

lacrimal implant including an angled intersection between first and second implant body portions.

FIG. **3B** illustrates a cross-sectional view of an example lacrimal implant taken along a line parallel to a longitudinal axis of the implant, such as along line **3B-3B**, and a dilation of implant-receiving anatomical tissue structure.

FIG. **4** illustrates a side view of an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including an integral dilator.

FIG. **5** illustrates a schematic view of an example lacrimal implant retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including at least one drug or other therapeutic agent.

FIG. **6A** illustrates an isometric view of an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including a portion disposable within a lacrimal canaliculus ampulla.

FIG. **6B** illustrates a cross-sectional view of an example lacrimal implant taken along a line parallel to a longitudinal axis of the implant, such as along line **6B-6B**.

FIG. **7A** illustrates an isometric view of an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including an annular graspable projection.

FIG. **7B** illustrates a cross-sectional view of an example lacrimal implant taken along a line parallel to a longitudinal axis of the implant, such as along line **7B-7B**.

FIG. **8A** illustrates an isometric view of an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including a portion disposable within a lacrimal canaliculus ampulla and including an insertion-facilitating depression.

FIG. **8B** illustrates a cross-sectional view of an example lacrimal implant taken along a line parallel to a longitudinal axis of the implant, such as along line **8B-8B**.

FIG. **9** illustrates a side view of an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including an insertion-facilitating depression.

FIG. **10A** illustrates an isometric view of an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including a portion disposable within a lacrimal canaliculus ampulla.

FIG. **10B** illustrates a cross-sectional view of an example lacrimal implant taken along a line parallel to a longitudinal axis of the implant, such as along line **10B-10B**.

FIGS. **11-17** illustrate side or isometric views of various lacrimal implant examples configured to be retained within a lacrimal punctum and associated canalicular anatomy, each lacrimal implant including at least one intermediately-disposed retainment projection.

FIGS. **18-19** illustrate example lacrimal implants configured to be retained within a lacrimal punctum and associated canalicular anatomy, each lacrimal implant including a non-linear second implant body portion.

FIG. **20** illustrates an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including one or more material cutouts allowing for flexure of a second body portion.

FIGS. **21A-22B** illustrate side views of example lacrimal implants configured to be retained within a lacrimal punctum and associated canalicular anatomy, each lacrimal implant including one or more laterally extendable arms.

FIGS. **23A-23B** illustrate a side view of an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including an expandable retention element disposed around one or more portions of the implant body.

FIG. **24** illustrates a schematic view of an example lacrimal implant retained within a lacrimal punctum and associated canalicular anatomy.

FIGS. **25A-25B** illustrate an isomeric view of example lacrimal implants configured to be retained within a lacrimal punctum and associated canalicular anatomy, each lacrimal implant including an implant body portion having a generally concave shape.

FIG. 26 illustrates an isometric view of an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including an implant body portion having a generally convex shape.

FIG. 27 illustrates a side view of an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including an implant body portion having an undulating shape.

FIG. 28 illustrates a side view of an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including at least one intermediately-disposed retainment projection.

FIGS. 29-32 illustrate side views of various lacrimal implant examples configured to be retained within a lacrimal punctum and associated canalicular anatomy, each lacrimal implant including a fluid swellable retention element.

FIG. 33 illustrates a side view of an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including an expandable retention element.

FIGS. 34A-34B illustrate schematic views of example lacrimal implants retained within a lacrimal punctum and associated canalicular anatomy, each lacrimal implant including an oriented graspable projection.

FIGS. 35-38 illustrate isomeric views of various lacrimal implant proximal end portions, each proximal end portion including a graspable projection or void.

FIGS. 39A-39B illustrate an isomeric view of example drug inserts and a removal-facilitating filament.

FIG. 40 illustrates an example method of manufacturing a lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy.

FIG. 41 illustrates a side view of an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including at least one intermediately-disposed retainment projection.

FIGS. 42A-42D illustrate an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant

including at least one intermediately-disposed retainment projection, such as with a retention mechanism on a distal second segment that can include an abrupt step.

FIGS. 43A-43C illustrate an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including at least one intermediately-disposed retainment projection, such as with a retention mechanism on a proximal segment that can taper into a distal segment.

FIGS. 44A-44C illustrate an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including a recess extending from a proximal end of a distal segment.

FIGS. 45A-45C illustrate an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including a recess extending from a proximal end of a distal segment and including an expandable material therein.

FIGS. 46-47 illustrate example experimental results of a lacrimal implant including a recess extending from a proximal end of a distal segment, the recess including an expandable material therein.

FIGS. 48A-48E illustrate an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including a robust projection disposable within a lacrimal canaliculus ampulla.

FIGS. 49A-49F illustrate an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including a retaining lip configured to help secure a distinct drug insert within an implant body cavity.

FIGS. 50A-50B illustrate an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including a raised drug insert.

FIGS. 51-52 illustrate an example lacrimal implant configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including a retention element at or near a distal end.

FIGS. 53A-53D illustrate example lacrimal implants configured to be retained within a lacrimal punctum and associated canalicular anatomy, the lacrimal implant including one or both of a distinct drug insert or drug integrated with an implant body.

DETAILED DESCRIPTION

In this patent document, lacrimal implants and related methods providing secure, wedgable retention within a lacrimal punctum and associated canaliculus of an eye are described. The lacrimal implants can comprise an implant body configured for *at least partial insertion through the lacrimal punctum and into the associated canaliculus*. The implant body can include first and second portions, and can extend from a proximal end of the first portion defining a longitudinal proximal axis to a distal end of the second portion defining a longitudinal distal axis. The implant body can be configured such that, when implanted using an integral dilator, an at least 45 degree angled intersection, for example, exists between the proximal axis and the distal axis. In this way, at least a portion of the implant body can be biased against at least a portion of the lacrimal canaliculus located at or more distal to a canalicular curvature, thereby retaining an implanted position of the lacrimal implant using anatomical structures.

In various examples, the lacrimal implant can further comprise a distinct drug insert or integrated drug or other agent disposed in at least one of the first portion or the second portion of the implant body, providing a sustained release of a drug or other therapeutic agent to one or more of an eye, nasal passage or inner ear system.

FIGS. 1-2 illustrate example views of anatomical tissue structures associated with an eye 100. Certain of the anatomical tissue structures shown can be suitable for treatment using the various lacrimal implants and methods discussed herein. The eye 100 is a spherical structure including a wall having three layers: an outer sclera 102, a middle choroid layer 104 and an inner retina 106. The sclera 102 includes a tough fibrous coating that protects the inner layers. It is mostly white except for the transparent area at the front, commonly known as the cornea 108, which allows light to enter the eye 100.

The choroid layer **104**, situated inside the sclera **102**, contains many blood vessels and is modified at the front of the eye **100** as a pigmented iris **110**. A biconvex lens **112** is situated just behind the pupil. A chamber **114** behind the lens **112** is filled with vitreous humour, a gelatinous substance. Anterior and posterior chambers **116** are situated between the cornea **108** and iris **110**, respectively and filled with aqueous humour. At the back of the eye **100** is the light-detecting retina **106**.

The cornea **108** is an optically transparent tissue that conveys images to the back of the eye **100**. It includes avascular tissue to which nutrients and oxygen are supplied via bathing with lacrimal fluid and aqueous humour as well as from blood vessels that line the junction between the cornea **108** and sclera **102**. The cornea **108** includes a pathway for the permeation of drugs into the eye **100**.

Turning to FIG. 2, other anatomical tissue structures associated with the eye **100** including the lacrimal drainage system, which includes a secretory system **230**, a distributive system and an excretory system, are shown. The secretory system **230** comprises secretors that are stimulated by blinking and temperature change due to tear evaporation and reflex secretors that have an efferent parasympathetic nerve supply and secrete tears in response to physical or emotional stimulation. The distributive system includes the eyelids **202** and the tear meniscus around the lid edges of an open eye, which spread tears over the ocular surface by blinking, thus reducing dry areas from developing.

The excretory part of the lacrimal drainage system includes, in order of flow drainage, the lacrimal puncta, the lacrimal canaliculi, the lacrimal sac **204** and the lacrimal duct **206**. From the lacrimal duct **206**, tears and other flowable materials drain into a passage of the nasolacrimal system. The lacrimal canaliculi include an upper (superior) lacrimal canaliculus **208** and a lower (inferior) lacrimal canaliculus **210**, which respectively terminate in an upper **212** and lower **214** lacrimal punctum. The upper **212** and lower **214** punctum are slightly elevated at the medial end of a lid margin at the junction **216** of the ciliary and lacrimal portions near a conjunctival sac **218**. The upper **212** and lower **214** punctum are generally round or slightly ovoid openings surrounded by a connective ring of tissue. Each of puncta **212**, **214** leads into a vertical portion **220**, **222** of their respective canaliculus before turning more horizontal at a canaliculus curvature **250** to join one another at the entrance of the

lacrimal sac **204**. The canaliculi **208, 210** are generally tubular in shape and lined by stratified squamous epithelium surrounded by elastic tissue, which permits them to be dilated. As shown, a lacrimal canaliculus ampulla **252** exists near an outer edge of each canaliculus curvature **250**.

FIG. **3A** illustrates an example lacrimal implant **300** that can be insertable through a lacrimal punctum **212, 214** and into the associated canaliculus **208, 210** (FIG. **2**). The insertion of the lacrimal implant **300** through the lacrimal punctum **212, 214** and into the associated canaliculus **208, 210** can allow for one or more of: inhibition or blockage of tear flow therethrough (e.g., to treat dry eyes) or the sustained delivery of a drug or other therapeutic agent to an eye (e.g., to treat an infection, inflammation, glaucoma or other ocular disease or disorder), a nasal passage (e.g., to treat a sinus or allergy disorder) or an inner ear system (e.g., to treat dizziness or a migraine).

As shown in this example, the lacrimal implant **300** can comprise an implant body **302** including first **304** and second **306** portions, and can extend from a proximal end **308** of the first portion **304** to a distal end **310** of the second portion **306**. In various examples, the proximal end **308** can define a longitudinal proximal axis **312** and the distal end **310** can define a longitudinal distal axis **314**. The implant body **300** can be configured such that, when implanted within the lacrimal punctum and associated canaliculus, an at least 45 degree angled intersection **316** exists between the proximal axis **312** and the distal axis **314** for biasing at least a portion of the implant body **302** against at least a portion of a lacrimal canaliculus **208, 210** (FIG. **2**) located at or more distal to a canaliculus curvature **250** (FIG. **2**). In some examples, the implant body **302** can be configured such that the angled intersection **316** is between about 45 degrees and about 135 degrees. In this example, the implant body **302** is configured such that the angled intersection **316** is about 90 degrees (i.e., the intersection **316** between the proximal axis **312** and the distal axis **314** is about perpendicular). In various examples, a distal end **326** of the first portion **304** can be integral with the second portion **306** at or near a proximal end **328** of the second portion **306**.

In certain examples, the implant body **302** can include angularly disposed cylindrical-like structures comprising one or both of a first cavity **318** disposed near the proximal end **308** or a second cavity **320** disposed near the distal end **310**. In this example, the first cavity **318** extends inward from the proximal end **308** of the first

portion **304**, and the second cavity **320** extends inward from the distal end **310** of the second portion **306**. Optionally, one or more portions of the implant body **302** can include an ovoid cross-sectional shape for anatomical fitting purposes.

A first drug-releasing or other agent-releasing insert (e.g., drug core) **322** can be disposed in the first cavity **318** to provide a sustained drug or other therapeutic agent release to an eye, while a second drug-releasing or other agent-releasing insert (e.g., drug core) **324** can alternatively or conjunctively be disposed in the second cavity **320** to provide a sustained drug or other therapeutic agent release to a nasal passage or inner ear system, for example. An implant body septum **330** can be positioned between the first cavity **318** and the second cavity **320**, and can be used to inhibit or prevent communication of a material (e.g., agent) between the first drug insert **322** and the second drug insert **324**. In various examples, one or both of the first drug-releasing or other agent-releasing insert **322** or the second drug-releasing or other agent-releasing insert **324** can include at least 21 micrograms, at least 42 micrograms, at least 44 micrograms, at least 81 micrograms, or at least 95 micrograms of a drug (e.g., latanoprost), such as is further discussed in commonly-owned Butuner et al., U.S. Patent Application No. 12/463,279, entitled “SUSTAINED RELEASE DELIVERY OF ACTIVE AGENTS TO TREAT GLAUCOMA AND OCULAR HYPERTENSION,” filed May 8, 2009, and commonly-owned Utkhede, U.S. Patent Application No. 61/277,000, entitled “IMPROVED DRUG CORES FOR SUSTAINED OCULAR RELEASE OF THERAPEUTIC AGENTS,” filed September 18, 2009, including their descriptions of drug or other agent concentration.

In some examples, the implant body **302** is substantially solid in the fact that it does not include one or more cavities or other voids for receiving a drug-releasing or other agent-releasing insert. Rather, the implant body **302** can be configured to receive one or more drugs or other agents integrated throughout one or more body portions. In this way, the entire implant body **302**, or portions thereof, can act as the drug-releasing or other agent-releasing insert, and agent release can be directed using a preformed opening(s) in an impermeable or substantially impermeable cover (e.g., parylene cover) surrounding portions of the implant body **302**. In other examples, a permeable cover material can be used to allow for drug or other agent release.

In some examples, the drug or other therapeutic agent release can occur, at least in part, via an exposed, non-sheath covered, surface of the drug inserts **322**, **324**. By controlling geometry of the exposed surface, a predetermined drug or agent release rate can be achieved. For instance, the exposed surface can be constructed with a specific geometry or other technique appropriate to control the release rate of the drug or other therapeutic agent onto an eye **100**, such as on an acute basis or on a chronic basis, between outpatient doctor visits. Further description regarding effective release rates of one or more drugs or other therapeutic agents from a drug insert **322**, **324** can be found in commonly-owned DeJuan et al., U.S. Patent Application No. 11/695,545, entitled “NASOLACRIMAL DRAINAGE SYSTEM IMPLANTS FOR DRUG THERAPY,” including its description of obtaining particular release rates.

In some examples, such as is shown in FIG. **3B**, the exposed surface of the drug insert **322**, **324** can be flush or slightly below the proximal end **308** of the first portion **304** or the distal end **310** of the second portion **306**, respectively, such that the drug insert does not protrude outside of the implant body **302**. In some examples, such as is shown in FIG. **4**, the exposed surface of the first drug insert **322**, for instance, can be positioned above the proximal end **308** such that the first drug insert **322** at least partially protrudes outside of the implant body **302**.

The implant body **302** can include a graspable or other projection **332**, such as one or more projections extending laterally at least partially from or around a proximal end **308** of the first implant body portion **304**. In some examples, the graspable or other projection **332** can include a set of wings for use in inserting the lacrimal implant **300** into, or removing the lacrimal implant **300** from, an implanted position. The set of wings or other projection **332** can be configured without migration in mind, as the non-linear configuration of the implant body **302** can prevent implant **300** migration by assuming a size or shape of the canaliculus curvature **250** and optionally, the lacrimal canaliculus ampulla **252** (FIG. **2**). In some examples, the graspable or other projection **332** can be configured to seat against or near the punctal opening **212**, **214**, such as for inhibiting or preventing the lacrimal implant **300** from passing completely within the lacrimal canaliculus **208**, **210**, or for providing tactile or visual feedback information to an implanting user, e.g., as to whether the implant is fully implanted.

As shown in FIGS. **34A-34B**, and discussed further below, the graspable or other projection **332** can extend laterally in a direction parallel to or away from an eye **100** when implanted. It is believed that this may reduce irritation to the eye **100**, as compared to a case in which a portion of the projection extends toward the eye **100**. In addition, a lateral extension direction of the projection **332** from the proximal end **308** can be substantially the same as a lateral extension direction of the second implant body portion **306** relative to the distal end **326** of the first implant body portion **304**, as shown in FIGS. **3A-3B**, for example. This can also avoid projection extension toward the eye and facilitate insertion orientation for an implanting caregiver physician. The first drug insert **322** can partially extend through the region of the projection **332**, such as to provide sustained release of a first drug or other therapeutic agent onto an eye.

In various examples, the implant body **302** can be molded using an elastic material, such as silicone, polyurethane or other urethane-based polymer or copolymer, NuSil (e.g., NuSil 4840 with 2% 6-4800) or an acrylic of a non-biodegradable, partially biodegradable or biodegradable nature (i.e., erodeable within the body) allowing an implant body **302** configured such that, when implanted in a lacrimal canaliculus **208**, **210**, an angled intersection **316** exists between a proximal **312** and distal **314** axis to be formed. Silicone, for example, is believed to be soft enough to be comfortable for patients, and stiff enough to facilitate insertion by a caregiver physician. In various examples, a biocompatible colorant (e.g., green colorant) can be mixed with the elastic material of the implant body **302** allowing patients and their caregivers to more easily see the implant and verify it remains in an implanted position. In some examples, the biocompatible colorant can be mixed with materials of the drug insert **322** for implant feedback or to indicate the type, size, agent or other characteristic of the implant.

In some examples, the biodegradable elastic materials can include cross-linked polymers, such as poly (vinyl alcohol). In some examples, the implant body **302** can comprise a silicone/polyurethane co-polymer. Other co-polymers that can be used to form the implant body **302** include, but are not limited to, silicone/urethane, silicone/poly (ethylene glycol) (PEG), and silicone/2hydroxyethyl methacrylate (HEMA). As discussed in commonly-owned Utkhede et al., U.S. Patent Application No. 12/231,986, Attorney Docket No. 2755.045US1, entitled “DRUG CORES FOR SUSTAINED RELEASE OF THERAPEUTIC AGENTS,” filed September 5, 2008,

urethane-based polymer and copolymer materials allow for a variety of processing methods and bond well to one another.

FIG. 3B illustrates an example cross-sectional view of the lacrimal implant **300** taken along a line parallel to a longitudinal axis of the implant, such as along line **3B-3B** of FIG. 3A. As shown in FIG. 3B, the lacrimal implant **300** can include an implant body **302** including first **304** and second **306** portions, and can extend from a proximal end **308** of the first portion **304** to a distal end **310** of the second portion **306**. In various examples, the proximal end **308** can define a longitudinal proximal axis **312** and the distal end **310** can define a longitudinal distal axis **314**. The implant body **300** can be configured such that, when implanted, an at least 45 degree angled intersection **316** exists between the proximal axis **312** and the distal axis **314** for biasing at least a portion of the implant body **302** against at least a portion of a lacrimal canaliculus **208**, **210** (FIG. 2) located at or more distal to a canaliculus curvature **250** (FIG. 2). In this example, the implant body **300** is configured such that the angled intersection **316** is approximately about 90 degrees.

In various examples, a distal end **326** of the first portion **304** can be integral with the second portion **306** at or near a proximal end **328** of the second portion **306**. In some examples, the second portion **306** can include a length having a magnitude less than four times a length of the first portion **304**. In one example, the second portion **306** can include a length of less than about 10 millimeters and have a configuration similar to that shown in FIG. 3B. In another example, the second portion **306** can include a length less than about 2 millimeters and have a configuration similar to that shown in FIG. 24.

In various examples, the second portion **306** can comprise an integral dilator **350** to dilate anatomical tissue **352**, such as one or both of a lacrimal punctum **212**, **214** (FIG. 2) or associated canaliculus **208**, **210**, to a sufficient diameter as the lacrimal implant **300** is being implanted. In this way, the lacrimal implant **300** can be implanted in various sized ocular anatomies without the need for pre-dilation via a separate enlarging tool. The dilator **350** can be formed so as to not be traumatic to an inner lining of the punctum **212**, **214** and the canaliculus **208**, **210**. In some examples, a lubricious coating disposed on, or impregnated in, an outer surface of the implant body

302 can be used to further aid insertion of the lacrimal implant **300** into the anatomical tissue **352**. In one example, the lubricious coating can include a silicone lubricant.

The dilator **350** can generally narrow from a location near the proximal end **328** of the second portion **306** to the distal end **310** of the second portion **306**, such as from a diameter of about 0.6 millimeters to a diameter of about 0.2 millimeters. In some examples, an outer surface slope of the dilator **350**, as measured from the location near the proximal end **328** of the second portion **306** to the distal end **310** of the second portion **306**, can be between about 1 degree and about 10 degrees (e.g., 2 degrees, 3 degrees, 4 degrees, or 5 degrees) with respect to the longitudinal distal axis **314**. In some examples, the slope of the dilator **350** can be less than 45 degrees with respect to the longitudinal distal axis **314**. Among other factors, a determination of a desirable dilator **350** slope for a given implant situation can be made by balancing an implant body **302** strength desirable for implantation with a desire to have a soft, flexible and conforming implant body (e.g., to conform to a lacrimal canaliculus anatomy) upon implantation. In some examples, a diameter of a dilator tip **354** can be between about 0.2 millimeters and about 0.5 millimeters.

In certain examples, the proximal end **328** of the second implant body portion **306** can include a retention element **356** configured to bias against at least a portion of a lacrimal canaliculus ampulla **252** (FIG. 2) when implanted. In this example, the retention element **356** projects proximally from the intersection between the first **304** and second **306** implant body portions, such as in an opposite direction as the extension of the dilator **350**. When present and implanted in the ampulla **252**, the retention element **356** can help secure a seated position of the graspable or other projection **332** against the punctal opening **212, 214**.

In certain examples, the implant body **302** includes a first cavity **318** disposed near the proximal end **308**. In this example, the first cavity **318** extends inward about 2 millimeters or less from the proximal end **308**, and houses a first drug-releasing or other agent-releasing drug insert **322** to provide a sustained drug or other agent release to an eye. In some examples, the drug insert **322** can include a plurality of therapeutic agent inclusions **360**, which can be distributed in a matrix **362**. In some examples, the inclusions **360** can comprise a concentrated (e.g., crystalline) form of the therapeutic agent. In some examples, the matrix **362** can comprise a silicone matrix or the like, and

the distribution of inclusions **360** within the matrix can be substantially homogenous or non-homogeneous. In some examples, the agent inclusions **360** can include droplets of oil, such as Latanoprost oil. In still other examples, the agent inclusions **360** can comprise solid particles, such as Bimatoprost particles in crystalline form. In some examples, the drug insert **322** comprises a urethane-based (e.g., polyurethane) polymer or copolymer comprising therapeutic agent inclusions deliverable into the eye or surrounding tissues. The inclusions can be of many sizes and shapes. For instance, the inclusions can include microparticles having dimensions on the order of about 1 micrometer to about 100 micrometers. Further discussion of drug-releasing or other agent-releasing drug inserts can be found in commonly-owned Utkhede et al., U.S. Patent Application No. 12/231,986, Attorney Docket No. 2755.045US1, entitled “DRUG CORES FOR SUSTAINED RELEASE OF THERAPEUTIC AGENTS,” filed September 5, 2008.

In various examples, the drug insert **322** can include a sheath body **366** disposed over at least a portion of the insert to define at least one insert exposed surface **368**. The exposed surface **368** can be located at or near the proximal end **308** of the implant body **302**, for example, thereby allowing direct contact with a tear or a tear film fluid and release of a drug or other therapeutic agent from the drug insert **322** over a sustained time period when the lacrimal implant **300** is inserted through the lacrimal punctum **212**, **214** and into the associated canaliculus **208**, **210**.

FIG. 4 illustrates an example side view of another integral dilator **450** of an implant body **402** second portion **406** of a lacrimal implant **400**. In this example, the dilator **450** narrows abruptly near a distal end **410** of the second portion **406**. As shown, an implant body first portion **404** can include a first cavity **418** disposed near the proximal end **408**. In this example, the first cavity **418** extends inward from the proximal end **408**, and houses a first drug-releasing or other agent-releasing drug insert **422** to provide a sustained drug or other therapeutic agent release to an eye, for instance. In some examples, the drug or other therapeutic agent can be released to an eye via an exposed, non-sheath covered surface **468** of the drug insert **422**. In this example, the exposed surface **468** of the drug insert **422** is positioned above the proximal end **408** such that the drug insert **422** at least partially protrudes outside of the implant body **402**.

In various examples, the outer surface **482** of the implant body **402** can be formed, or surface treated to be, generally smooth to inhibit bacteria from attaching to the lacrimal implant **400** and incubating. The generally smooth outer surface **482** can also prevent damage to the inner lining of the receiving anatomical tissue, such as a lacrimal punctum **212**, **214** (FIG. 2) or associated canaliculus **208**, **210** (FIG. 2), during implantation. As further discussed in commonly-owned Rapacki et al., U.S. Patent Application No. 12/283,002, Attorney Docket No. 2755.036US1, entitled "SURFACE TREATMENT OF IMPLANTS AND RELATED METHODS," filed September 5, 2008, the outer surface **482** of the implant body **402** can be surface treated to be generally smooth via a polishing process. The polishing process can include causing a molded implant body **402** to be impacted with polishing media during an ongoing period of time in which the body **402** is in an enlarged, swelled state. This can smooth one or more surfaces or edges of the implant body **402**. In various examples, the polishing media can include at least some granules that are greater than about 3 millimeters in diameter.

In various examples, an antimicrobial coating **484** can be disposed on or impregnated in at least a portion of the outer surface **482** to further prevent bacteria growth on the implant body **402**. In some examples, the antimicrobial coating **484** can include an agent selected from the group consisting of 2-bromo-2-nitropropane-1,3-diol, 5-bromo-5-nitro-1,3-dioxane, 7-ethyl bicyclooxazolidine, benzalkonium chloride, benzethonium chloride, benzoic acid, benzyl alcohol, boric acid, bronopol, cetylpyridinium chloride, chlorhexidine digluconate, chloroacetamide, chlorobutanol, chloromethyl isothiazolinone and methyl isothiazoline, dimethoxane, dimethyl oxazolidine, dimethyl hydroxymethyl pyrazole, chloroxylenol, dehydroacetic acid, diazolidinyl urea, dichlorobenzyl alcohol, DMDM hydantoin, ethyl alcohol, formaldehyde, glutaraldehyde, hexachlorophene, hexetidine, hexamethylenetramine, imidazolidinyl urea, iodopropynyl butylcarbamate, isothiazolinones, methenammonium chloride, methyldibromo glutaronitrile, MDM hydantoin, minocycline, ortho phenylphenol, p-chloro-m-cresol, parabens (butylparaben, ethylparaben, methylparaben), phenethyl alcohol, phenoxyethanol, piroctane olamine, polyaminopropyl biguanide, polymethoxy bicyclic oxazolidine, polyoxymethylene, polyquaternium-42, potassium benzoate, potassium sorbate, propionic acid,

quaternium-15, rifampin, salicylic acid, selenium disulfide, sodium borate, sodium iodate, sodium hydroxymethylglycinate, sodium propionate, sodium pyrithione, sorbic acid, thimerosal, triclosan, triclocarban, undecylenic acid, zinc phenosulfonate, and zinc pyrithione. In some examples, the antimicrobial coating **484** can include a material selected from the group consisting of silver lactate, silver phosphate, silver citrate, silver acetate, silver benzoate, silver chloride, silver iodide, silver iodate, silver nitrate, silver sulfadiazine, silver palmitate, or one or more mixtures thereof. In some examples, the antimicrobial coating **484** can include at least one of an antibiotic or an antiseptic. For instance, the antimicrobial coating **484** can include a temporary anesthetic lasting, on average, between a few hours and a day. In still other examples, the antimicrobial coating **484** can include a drug or other therapeutic agent used to treat an underlying disease, such as a bolus, for immediate effect.

FIG. 5 illustrates an example schematic view of a lacrimal implant, such as the lacrimal implant **300** shown in FIG. 3, implanted in a lower lacrimal punctum **214** and associated canaliculus **210**. In some examples, a lacrimal implant **300** can be implanted in an upper lacrimal punctum **212** and canaliculus **208**. As further discussed above, the lacrimal implant **300** can comprise an implant body **302** including first **304** and second **306** portions. In various examples, the implant body **302** can be configured such that, when implanted, at least a portion of the implant body **302** is biased against at least a portion of the lacrimal canaliculus **210** located at or more distal to a canaliculus curvature **250** to securely retain an implanted position of the implant **300**. As shown, the first portion **304** can be configured to be inserted through the lacrimal punctum **214** and into the associated canaliculus **210** and rest between the punctal opening and a lacrimal canaliculus ampulla **252**, while the second portion **306** can be configured to insert through the lacrimal punctum **214** and into the canaliculus **210** and rest between the ampulla **252** and the lacrimal sac **204**. In certain examples, a retention element **356** projecting from a proximal end of the second portion **306** can be configured to bias into and against at least a portion of the ampulla **252** when implanted. In various examples, the first **304** and second **306** portions can be configured to bend, stretch or collapse, as desired, to maintain an adequate anatomical implanted fit without unduly stretching ocular anatomy.

In certain examples, to further secure an implant **300** within the lacrimal punctum **214** and canaliculus **210** or to make the implant body **302** adjustable in size, a hydrogel or other fluid swellable material can be disposed (e.g., coated) on an outer surface portion of the implant body **302**. The fluid swellable material can effectively expand an outer surface diameter portion of the implant body **302** when implanted. In certain examples, the outer surface of the implant body **302** can include longitudinal channels or grooves or coatings of a wicking material so as to allow fluid flow around the implant body **302**. Using one or a combination of these techniques, a lacrimal implant **300** can be configured to completely occlude or only partially occlude the lacrimal canaliculus **208, 210** when implanted therein. For instance, using the longitudinal channels or grooves in one or both of the first **304** or second **306** portions of the implant body **302** can allow diminished volumes or tear drainage can occur, potentially facilitating the release of a drug or other therapeutic agent from a drug insert.

Forceps or another insertion tool can be used to implant the lacrimal implant **300** through the lacrimal punctum **212, 214** and into the associated canaliculus **208, 210**. In some examples, an insertion tool as discussed in commonly-owned De Juan, et al., U.S. Patent Application No. 12/231,984, Attorney Docket No. 2755.018US1, entitled "INSERTION AND EXTRACTION TOOLS FOR LACRIMAL IMPLANTS," filed September 5, 2008, can be used to implant the lacrimal implant **300**. In various examples, the second portion **306** of the implant body **302** can be advanced into the depth of the lacrimal canaliculus **208, 210** by manipulation of the inserter tool until a graspable or other projection **332**, if present, can be seated against the punctal opening **212, 214**.

In various examples, after a punctal size has been measured and an appropriately-sized implant **300** (e.g., small, medium or large) is selected, the punctum **212, 214** can optionally be dilated pre-insertion or during insertion (e.g., via an implant's integral dilator). The implant **300** can be grasped at the second portion **306** with forceps or another insertion tool and introduced into the punctum **212, 214** vertically. The implant **300** can then be rotated to advance it into the horizontal portion of the lacrimal canaliculus **208, 210** up to the heel-like retention element **356**. The forceps or other insertion tool can further be used to grasp the retention element **356**

portion of the implant **300** to rotate it into the punctum **212, 214** such that the graspable or other cap-like projection **332** can be seated on the punctum.

When it is desired to remove the lacrimal implant **300**, the projection **332** can be grasped with the forceps, for example, and withdrawn from the punctal opening **212, 214** through a gentle tugging motion. Optionally, a drop or two of an anesthetic can be administered prior to implant **300** removal. Care may need to be taken not to grasp the outermost edge of the projection **332**, as this may cause the projection **332** to tear or separate.

In certain examples, the implant body **302** can include one or both of a first cavity **318** disposed near the proximal end **308** or a second cavity **320** disposed near the distal end **310**. In this example, the first cavity **318** extends inward from the proximal end **308** of the first portion **304**, and the second cavity **320** extends inward from the distal end **310** of the second portion **306**. A first drug-releasing or other agent-releasing drug insert **322** can be disposed in the first cavity **318** to provide a sustained drug or other therapeutic agent release to the eye (e.g., to treat an infection, inflammation, glaucoma or other ocular disease or disorder), while a second drug-releasing or other agent-releasing drug insert **324** can be disposed in the second cavity **320** to provide a sustained drug or other therapeutic agent release to the nasal passage (e.g., to treat a sinus or allergy disorder) or inner ear system (e.g., to treat dizziness or a migraine), for example.

FIGS. **6A-6B** illustrate another lacrimal implant **600** example that is insertable through a lacrimal punctum **212, 214** and into the associated canaliculus **208, 210** (FIG. **2**). In this example, the lacrimal implant **600** can comprises an implant body **602** including first **604** and second **606** portions, and can extend from a proximal end **608** of the first portion **604** to a distal end **610** of the second portion **606**. The proximal end **608** can define a longitudinal proximal axis **612** and the distal end **610** can define a longitudinal distal axis **614**. The implant body **600** can be configured such that, when implanted, an angled intersection of approximately 90 degrees exists between the proximal axis **612** and the distal axis **614** for biasing at least a portion of the implant body against at least a portion of the lacrimal canaliculus **208, 210** (FIG. **2**) located at or more distal to a canaliculus curvature **250** (FIG. **2**).

In this example, a proximal end **628** of the second implant body portion **606** can include a retention element **656** configured to bias against at least a portion of a lacrimal canaliculus ampulla **252** (FIG. 2) when implanted. In this example, the implant body **602** includes a first cavity **618**, configured to receive a first drug-releasing or other agent-releasing drug insert, disposed near the proximal end **608** of the first implant body portion **604**. Also in this example, the implant body **602** can include a graspable or other projection **632**, such as a set of wings having a combined length of about 1 millimeter, for example, and extending laterally from the proximal end **308**.

FIG. **6B** illustrates an example cross-sectional view of the lacrimal implant **600** taken along a line parallel to a longitudinal axis of the implant, such as along line **6B-6B** of FIG. **6A**. As shown in FIG. **6B**, a distal end **626** of the first portion **604** can be integral with the second portion **606** at or near a proximal end **628** of the second portion **606**. In various examples, the second portion **606** can include a longitudinal length, as measured from the proximal axis **612** to the distal end **610**, having a magnitude less than four times a longitudinal length of the first portion **604**, as measured from the proximal end **608** to the distal axis **614**. In some examples, the first portion can include a longitudinal length of about 1.54 millimeters and the second portion can include a longitudinal length of between about 4.5 millimeters to about 5.42 millimeters.

In various examples, the second portion **606** can comprise an integral dilator **650** to dilate anatomical tissue, such as one or both of the lacrimal punctum **212**, **214** (FIG. 2) or associated canaliculus **208**, **210**, to a sufficient diameter as the lacrimal implant **600** is being implanted. In some examples, the second portion **606** tapers from a diameter of the proximal end of between about 0.50 millimeters to about 0.75 millimeters to a dilator tip **654** diameter of about 0.36 millimeters.

FIGS. **7A-7B** illustrate another lacrimal implant **700** example that is insertable through a lacrimal punctum **212**, **214** and into the associated canaliculus **208**, **210** (FIG. 2). In this example, the lacrimal implant **700** can comprises an implant body **702** including first **704** and second **706** portions, and can extend from a proximal end **708** of the first portion **704** to a distal end **710** of the second portion **706**. The proximal end **708** can define a longitudinal proximal axis **712** and the distal end **710** can define a longitudinal distal axis **714**. The implant body **700** can be configured such that, when implanted, an angled intersection of approximately 90 degrees exists between the

proximal axis **712** and the distal axis **714** for biasing at least a portion of the implant body against at least a portion of the lacrimal canaliculus **208, 210** (FIG. 2) located at or more distal to a canaliculus curvature **250** (FIG. 2). As shown in the example of FIG. 7A, a smooth transition can exist between the first **704** and second **706** portions.

In this example, the implant body **702** includes a first cavity **718** configured to receive a first drug-releasing or other agent-releasing drug insert, disposed near the proximal end **708** of the first implant body portion **704**. Also in this example, the implant body **702** can include a graspable or other projection **732**, such as an annular projection extending laterally from, and completely around, the proximal end **708**. In some examples, the graspable or other projection **732** includes a partially trimmed projection having a trimmed width of about 0.75 millimeters and extending varying amounts around the proximal end **708**.

FIG. 7B illustrates an example cross-sectional view of the lacrimal implant **700** taken along a line parallel to a longitudinal axis of the implant, such as along line **7B-7B** of FIG. 7A. As shown in FIG. 7B, a distal end **726** of the first portion **704** can be integral with the second portion **706** at or near a proximal end **728** of the second portion **706**. In various examples, the second portion **706** can include a longitudinal length, as measured from the proximal axis **712** to the distal end **710**, having a magnitude less than four times a longitudinal length of the first portion **704**, as measured from the proximal end **708** to the distal axis **714**. In some examples, the first portion can include a longitudinal length of about 1.5 millimeters and the second portion can include a longitudinal length of about 5 millimeters.

In various examples, the second portion **706** can comprise an integral dilator **750** to dilate anatomical tissue, such as one or both of the lacrimal punctum **212, 214** (FIG. 2) or associated canaliculus **208, 210**, to a sufficient diameter as the lacrimal implant **700** is being implanted. In some examples, the second portion **706** tapers from a diameter of the proximal end of about 0.46 millimeters to a dilator tip **754** diameter of about 0.36 millimeters.

FIGS. 8A-8B illustrate another lacrimal implant **800** example that is insertable through a lacrimal punctum **212, 214** and into the associated canaliculus **208, 210** (FIG. 2). In this example, the lacrimal implant **800** can comprises an implant body **802**

including first **804** and second **806** portions, and can extend from a proximal end **808** of the first portion **804** to a distal end **810** of the second portion **806**. The proximal end **808** can define a longitudinal proximal axis **812** and the distal end **810** can define a longitudinal distal axis **814**. The implant body **800** can be configured such that, when implanted, an angled intersection of approximately 90 degrees exists between the proximal axis **812** and the distal axis **814** for biasing at least a portion of the implant body against at least a portion of the lacrimal canaliculus **208, 210** (FIG. 2) located at or more distal to a canaliculus curvature **250** (FIG. 2).

In this example, a proximal end **828** of the second implant body portion **806** can include a retention element **856** configured to bias against at least a portion of a lacrimal canaliculus ampulla **252** (FIG. 2) when implanted. The retention element **856** can include an insertion-facilitating depression **875** or other gripping means to aid in one or both of implant insertion or removal. In this example, the implant body **802** includes a first cavity **818** configured to receive a first drug-releasing or other agent-releasing drug insert, disposed near the proximal end **808** of the first implant body portion **804**. Also in this example, the implant body **802** can include a graspable or other projection **832**, such as an annular projection extending laterally from, and completely around, the proximal end **808**. In some examples, the graspable or other projection **832** includes a partially trimmed projection extending varying amounts around the proximal end **808**.

FIG. **8B** illustrates an example cross-sectional view of the lacrimal implant **800** taken along a line parallel to a longitudinal axis of the implant, such as along line **8B-8B** of FIG. **8A**. As shown in FIG. **8B**, a distal end **826** of the first portion **804** can be integral with the second portion **806** at or near the proximal end **828** of the second portion **806**. In various examples, the second portion **806** can include a longitudinal length, as measured from the proximal axis **812** to the distal end **810**, having a magnitude less than four times a longitudinal length of the first portion **804**, as measured from the proximal end **808** to the distal axis **814**. In some examples, the first portion can include a longitudinal length of between about 1.725 millimeters to about 1.77 millimeters and the second portion can include a longitudinal length of between about 4.77 millimeters to about 5 millimeters.

In various examples, the second portion **806** can comprise an integral dilator **850** to dilate anatomical tissue, such as one or both of the lacrimal punctum **212, 214** (FIG. 2) or associated canaliculus **208, 210**, to a sufficient diameter as the lacrimal implant **800** is being implanted. In some examples, the second portion **806** tapers from a diameter of the proximal end **828** of about 0.46 millimeters to a dilator tip **854** diameter of about 0.36 millimeters.

FIG. 9 illustrates another lacrimal implant **900** example that is insertable through a lacrimal punctum **212, 214** and into the associated canaliculus **208, 210** (FIG. 2). In this example, the lacrimal implant **900** can comprises an implant body **902** including first **904** and second **906** portions, and can extend from a proximal end **908** of the first portion **904** to a distal end **910** of the second portion **906**. The proximal end **908** can define a longitudinal proximal axis **912** and the distal end **910** can define a longitudinal distal axis **914**. The implant body **900** can be configured such that, when implanted, an angled intersection of approximately 90 degrees exists between the proximal axis **912** and the distal axis **914** for biasing at least a portion of the implant body against at least a portion of the lacrimal canaliculus **208, 210** (FIG. 2) located at or more distal to a canaliculus curvature **250** (FIG. 2).

As shown, a smooth transition can exist between the first **904** and second **906** portions. In this example, the smooth transition can include an insertion-facilitating depression **975** or other gripping means to aid in one or both of implant insertion or removal. Also in this example, the implant body **902** can include a graspable or other projection **932**, such as an annular projection extending laterally from, and completely around, the proximal end **908**. In some examples, the graspable or other projection **932** includes a partially trimmed projection extending varying amounts around the proximal end **908**.

FIGS. 10A-10B illustrate another lacrimal implant **1000** example that is insertable through a lacrimal punctum **212, 214** and into the associated canaliculus **208, 210** (FIG. 2). In this example, the lacrimal implant **1000** can comprises an implant body **1002** including first **1004** and second **1006** portions, and can extend from a proximal end **1008** of the first portion **1004** to a distal end **1010** of the second portion **1006**. The proximal end **1008** can define a longitudinal proximal axis **1012** and the distal end **1010** can define a longitudinal distal axis **1014**. The implant body **1000** can

be configured such that, when implanted, an angled intersection of approximately 90 degrees exists between the proximal axis **1012** and the distal axis **1014** for biasing at least a portion of the implant body against at least a portion of the lacrimal canaliculus **208, 210** (FIG. 2) located at or more distal to a canaliculus curvature **250** (FIG. 2).

In this example, a proximal end **1028** of the second implant body portion **1006** can include a retention element **1056** configured to bias against at least a portion of a lacrimal canaliculus ampulla **252** (FIG. 2) when implanted. The retention element **1056** can include an insertion-facilitating depression **1075** or other gripping means to aid in one or both of implant insertion or removal. In this example, the implant body **1002** includes a first cavity **1018** configured to receive a first drug-releasing or other agent-releasing drug insert, disposed near the proximal end **1008** of the first implant body portion **1004**. Also in this example, the implant body **1002** can include a graspable or other projection **1032**, such as an annular projection having a diameter of about 1.3 millimeters extending laterally from, and completely around, the proximal end **1008**. In some examples, the graspable or other projection **1032** includes a partially trimmed projection extending varying amounts around the proximal end **1008**.

FIG. **10B** illustrates an example cross-sectional view of the lacrimal implant **1000** taken along a line parallel to a longitudinal axis of the implant, such as along line **10B-10B** of FIG. **10A**. As shown in FIG. **10B**, a distal end **1026** of the first portion **1004** can be integral with the second portion **1006** at or near a proximal end **1028** of the second portion **1006**. In various examples, the second portion **1006** can include a longitudinal length, as measured from the proximal axis **1012** to the distal end **1010**, having a magnitude less than four times a longitudinal length of the first portion **1004**, as measured from the proximal end **1008** to the distal axis **1014**. In some examples, the first portion can include a longitudinal length of about 1.5 millimeters and the second portion can include a longitudinal length of about 5 millimeters.

In various examples, the second portion **1006** can comprise an integral dilator **1050** to dilate anatomical tissue, such as one or both of the lacrimal punctum **212, 214** (FIG. 2) or associated canaliculus **208, 210**, to a sufficient diameter as the lacrimal implant **1000** is being implanted. In some examples, the second portion **1006** tapers from a proximal end **1028** diameter of about 0.46 millimeters to a dilator tip **1054** diameter of about 0.36 millimeters.

FIGS. 11-17 illustrate examples of other lacrimal implants **1100, 1200, 1300, 1400, 1500, 1600, 1700** that are insertable through a lacrimal punctum **212, 214** and into the associated canaliculus **208, 210** (FIG. 2). In these examples, each lacrimal implant **1100, 1200, 1300, 1400, 1500, 1600, 1700** can comprises an implant body **1102, 1202, 1302, 1402, 1502, 1602, 1702** including first **1104, 1204, 1304, 1404, 1504, 1604, 1704** and second **1106, 1206, 1306, 1406, 1506, 1606, 1706** portions, and can extend from a proximal end **1108, 1208, 1308, 1408, 1508, 1608, 1708** of the first portion **1104, 1204, 1304, 1404, 1504, 1604, 1704** to a distal end **1110, 1210, 1310, 1410, 1510, 1610, 1710** of the second portion **1106, 1206, 1306, 1406, 1506, 1606, 1706**. Each implant body **1102, 1202, 1302, 1402, 1502, 1602, 1702** can include at least one intermediately-disposed retainment projection **1192, 1292, 1392, 1492, 1592, 1692, 1792** to potentially further secure an implanted position of the lacrimal implants. The intermediately-disposed retainment projections **1192, 1292, 1392, 1492, 1592, 1692, 1792** can be positioned on one or both of the first **1104, 1204, 1304, 1404, 1504, 1604, 1704** or second **1106, 1206, 1306, 1406, 1506, 1606, 1706** implant body portions, and can take the form of annular, semi-annular, column-like or barrel-like projection. The intermediately-disposed retainment projections **1192, 1292, 1392, 1492, 1592, 1692, 1792** can include a cross-sectional size greater than adjacent implant body portions and can slightly deform a portion of a canalicular wall to provide the added securement to keep the implants in place for the duration of use (*see, e.g.*, FIG. 5).

It is believed that the occlusion of the lower lacrimal canaliculus **210**, for example, by a lacrimal implant may cause back pressure to build-up within the canaliculus **210**, thereby urging the implant from an implanted position. It is thought that this back pressure could, for example, occur during a blink (where tears are being pumped from an anterior surface of the eye down a drainage system) or a sneeze (where pressure is emanating up from the pulmonary system). Accordingly, one of more of the additional retention features now shown in the form of at least one intermediately-disposed retainment projection **1192, 1292, 1392, 1492, 1592, 1692, 1792** may be used to prevent implant migration and further secure an implanted lacrimal implant position. These additional retention features can be designed to prevent migration in the proximal direction while not increasing implant implantation difficulty an appreciable degree.

Ongoing clinical trials are performed to evaluate the safety, tolerability, comfort, ease of handling and insertion/removal, retention, efficacy and dosing of the various lacrimal implants disclosed in this patent document. Preliminary reports indicate the lacrimal implants, such as the lacrimal implants shown in FIGS. 12, 13 and 43A-43C, are effective and well tolerated by patients participating in the trials. For instance, based on preliminary data measured at 4 weeks of follow-up following placement of the lacrimal implants of the type shown in FIG. 13, the overall adverse events range from only 1.7% to 11.7%, when adverse events were noted. The most common adverse events are eye itching (commonly seen with initial implant wear and usually a part of adaptation), lacrimation and eye irritation (11.7%, 6.7% and 5.0%, respectively). Other adverse events with less reported frequency than the former include burning, ocular discomfort, superficial punctate keratitis. No conjunctival or ocular hyperemia was observed at 4 weeks of follow-up. Week-4 patient-reported comfort and tearing scores for the implant including the 44- μ g latanoprost drug insert were as follows: 88% of patients rated comfort as 'no awareness' or 'mild awareness,' while 76% of patients rated tearing as 'none.' Physician handling assessments of lacrimal implants of the type shown in FIGS. 12, 13 and 43A-43C indicate that the implants are easy to insert and remove, with physicians rating lacrimal implants of the type shown in FIGS. 12 and 43A-43C as easy to insert (80%) and remove (100%).

In an example, as shown generally in FIG. 12, the proximal end 1208 can provide a projection such as a cap having an outer diameter of about 1.2 mm, and a cap thickness of between about 0.13 mm to about 0.19 mm in a longitudinal direction of the first portion 1204. In this example, the proximal end 1208 cap portion can be separated from the retainment projection 1292 of the first portion 1204, such as by a shaft portion that can have an outer diameter of about 0.56 mm and a longitudinal shaft length of about 0.6 mm. In this example, the retainment projection 1292 of the first portion 1204 can have a proximal outer diameter of about 0.9 mm, which can taper down to a distal outer diameter (such as where the first portion 1204 and the second portion 1206 meet) that is less than or equal to the outer diameter of the shaft portion. Better retention may be obtained with a more sharply tapered retainment projection 1292 of the first portion 1204, which, in another example, can instead have a proximal outer diameter of about 1.1 mm. In still another example, the proximal end 1208 can

instead provide a cap having an outer diameter of about 1.4 mm and the proximal outer diameter of the retainment projection **1292** of the first portion **1204** can instead be about 1.4 mm.

Similar dimensions and dimensional variations can be applied to the other examples described herein, including the examples shown generally in FIGS. **11-17**. For instance, as shown generally in FIG. **13**, the retainment projection **1392** of the first portion **1304** can barrel outward from a starting diameter of about 0.56 mm to about 0.70 mm. The first cavity within the first portion **1304** can have a diameter of about 0.42 mm (approx. 0.0165 inches) and a depth of about 1.22 mm (approx. 0.048 inches). Such a first cavity size can result in a first portion wall thickness surrounding the cavity of at least about 0.07 mm. In some examples, a drug insert having about 44 micrograms (μg) of latanoprost (assuming approx. 33% drug load) is inserted into and secured within the first cavity. Optionally, more or less drug or other agent can be inserted within the first cavity by increasing or decreasing the size (e.g., diameter or depth), respectively, of the cavity and the drug insert that can be placed therein. As an example, as shown and described in association with FIGS. **43A-43C**, the first cavity can have a diameter of about 0.56 mm (approx. 0.022 inches) and a depth of 1.22 mm (approx. 0.048 inches). Such a first cavity size can receive a drug insert having about 81 μg of latanoprost (assuming approx. 33% drug load).

FIGS. **18-19** illustrate examples of other lacrimal implants **1800**, **1900** that are insertable through a lacrimal punctum **212**, **214** and into the associated canaliculus **208**, **210** (FIG. **2**). In these examples, each lacrimal implant **1800**, **1900** can comprise an implant body **1802**, **1902** including first **1804**, **1904** and second **1806**, **1906** portions, and can extend from a proximal end **1808**, **1908** of the first portion **1804**, **1904** to a distal end **1810**, **1910** of the second portion **1806**, **1906**. As shown, an intermediate portion **1896**, **1996** of each implant body **1802**, **1902** can be angled relative to one or both of the first **1804**, **1904** or second **1806**, **1906** implant body portions to potentially further secure an implanted position of the lacrimal implants.

It is believed that the angling of the intermediate portion **1896**, **1996** may help capture the anatomy of the lacrimal punctum **212**, **214** and canaliculus **208**, **210** to keep the lacrimal implants **1800**, **1900** in an implanted position, such as via a directional force applied by the angling against the lacrimal canaliculus. This directional force can

be designed to continuously urge a feedback or other projection **1832, 1932** flush with the punctum **212, 214**.

FIG. **20** illustrates another lacrimal implant **2000** example that is insertable through a lacrimal punctum **212, 214** and into the associated canaliculus **208, 210** (FIG. **2**). In this example, the lacrimal implant **2000** can comprises an implant body **2002** including first **2004** and second **2006** portions, and can extend from a proximal end **2008** of the first portion **2004** to a distal end **2010** of the second portion **2006**. The proximal end **2008** can define a longitudinal proximal axis **2012** and the distal end **2010** can define a longitudinal distal axis **2014**. The implant body **2000** can be configured such that, when implanted, an angled intersection of approximately 90 degrees exists between the proximal axis **2012** and the distal axis **2014** for biasing at least a portion of the implant body against at least a portion of a lacrimal canaliculus **208, 210** (FIG. **2**) located at or more distal to a canaliculus curvature **250** (FIG. **2**). In various examples, a distal end **2026** of the first portion **2004** can be integral with the second portion **2006** at or near a proximal end **2028** of the second portion **2006**.

In this example, one or more material cutouts **2080** are made in an outer surface of the implant body **2002**. As a result, the angled intersection between the proximal axis **2012** and the distal axis **2014** can become more linearly aligned during implant, as shown in phantom, to facilitate insertion through the lacrimal punctum **212, 214** and into the associated canaliculus **208, 210**.

FIGS. **21A-21B** and **22A-22B** illustrate examples of a side view of other lacrimal implants **2100, 2200** that are insertable through a lacrimal punctum **212, 214** and into the associated canaliculus **208, 210** (FIG. **2**). In these examples, each lacrimal implant **2100, 2200** can comprises an implant body **2102, 2202** including first **2104, 2204** and second **2106, 2206** portions, and can extend from a proximal end **2108, 2208** of the first portion **2104, 2204** to a distal end **2110, 2210** of the second portion **2106, 2206**. Each second portion **2106, 2206** can include one or more arm members **2170, 2270** movable between a first configuration, in which the one or more arm members **2170, 2270** are adjacent the implant body, and a second configuration, in which the one or more arm members **2170, 2270** laterally extend from a side of the implant body. In the first configuration, the one or more arm members **2170, 2270** facilitate insertion of the lacrimal implant through the lacrimal punctum **212, 214** and into the associated

canaliculus **208, 210** by providing a narrow profile. In the second configuration, the one or more arm members **2170, 2270** laterally extend to fill at least one of a lacrimal canaliculus ampulla **252** (FIG. 2) or the canaliculus **208, 210** when implanted. Optionally, the one or more arm members **2170, 2270** can include a fluid swellable material, such as hydrogel, to further secure an implanted lacrimal implant within the lacrimal ampulla **252** or canaliculus **208, 210** when hydrated.

In some examples, the one or more arm members **2170, 2270** can be incorporated into a mold that is also used to form the implant body **2102, 2202**. The one or more arm members **2170, 2270** can alternatively be attached by molding or gluing onto an existing implant body **2102, 2202**. Different thicknesses and shapes for the one or more arm members **2170, 2270** can be employed for different stiffness and securing/removal characteristics. Beyond hydrogel, the one or more arm members **2170, 2270** can be made of other materials, such as those used for the haptics on the intraocular lenses or the like.

FIGS. **23A-23B** illustrate an example side view of another lacrimal implant **2300** that is insertable through a lacrimal punctum **212, 214** and into the associated canaliculus **208, 210** (FIG. 2). In this example, the lacrimal implant **2300** can comprises an implant body **2302** including first **2304** and second **2306** portions, and can extend from a proximal end **2308** of the first portion **2304** to a distal end **2310** of the second portion **2306**. The second portion **2306** can be surrounded, at least in part, by an expandable retention element (e.g., an inflatable balloon) **2372**, which is configured to bias the second portion **2306** away from a lacrimal canaliculus wall upon expansion.

In some examples, the expandable retention element **2372** contains or can be inflated by an agent to be delivered to a tissue of the eye or nasolacrimal system. In some examples, the expandable retention element **2372** can employ one or more balloons which are separate from any drug insert or other agent retaining structure. The one or more balloons may optionally be similar to those used on balloon catheters, with an inflation lumen or the like optionally being included in an implant insertion tool so as to allow controlled inflation of the balloon. In such an example, the lacrimal implant **2300** may be inserted with the balloons deflated, as shown in FIG. **23A**. Once the

lacrimal implant **2300** is in place, the balloons can then be inflated to secure an implanted position of the implant, as shown in FIG. **23B**.

The balloons can also be deflatable to make removal of the lacrimal implant **2300** easier. The balloons can optionally partially or substantially conform to variations in the size and shape of the canaliculus **208, 210**. Alternative examples of balloons may be inflated by swelling of a material disposed within the balloon, such as swelling of a hydrogel by absorption of water through perforations or openings in the balloon. The one or more balloons can be annular structures disposed around the supporting implant body, or may be disposed eccentrically about an axis of the implant body. As illustrated in FIG. **23B**, the balloons may be disposed sufficiently distal to reside within or adjacent a horizontal portion of the tear drainage duct, within or adjacent a lacrimal ampulla of the tear drainage system, or the like. Alternative examples can include one or more balloons which are more proximal.

FIG. **24** illustrates an example schematic view of another lacrimal implant **2400** implanted through a lower lacrimal punctum **214** and into the associated canaliculus **210**. The lacrimal implant **2400** can comprise an implant body **2402** including first **2404** and second **2406** portions. In various examples, the implant body **2402** can be configured such that, when implanted, at least a portion of the implant body **2402** is biased against at least a portion of the lacrimal canaliculus **210** located at or more distal to a canaliculus curvature **250** to securely retain an implanted position of the implant **2400**. In this example, the second portion **2406** includes a longitudinal length less than about 2 millimeters, such as a size greater than a diameter of the first portion **2404**, but less than 2 millimeters. Also in this example, the implant body **2402** can include a graspable or other projection **2432**, such as extending laterally at least partially around a proximal end of the first implant body portion **2404**.

FIGS. **25A-25B** illustrate examples of another lacrimal implant **2500** that is insertable through a lacrimal punctum **212, 214** and into the associated canaliculus **208, 210** (FIG. **2**). In these examples, the lacrimal implant **2500** can comprise an implant body **2502** including first **2504** and second **2506** portions, and can extend from a proximal end **2508** of the first portion **2504** to a distal end **2510** of the second portion **2506**. The implant body can include a general shape, which can generally match the anatomical features of a canaliculus **208, 210** to provide patient comfort and secure

retainment, for example. The proximal end **2508** can define a longitudinal proximal axis **2512** and the distal end **2510** can define a longitudinal distal axis **2514**. The implant body **2502** can be configured such that, when implanted, an angled intersection of between 45-90 degrees exists between the proximal axis **2512** and the distal axis **2514** such as for biasing at least a portion of the implant body **2502** against at least a portion of a lacrimal canaliculus **208, 210** (FIG. 2) located at or more distal to a canaliculus curvature **250** (FIG. 2).

In the examples of FIGS. **25A-25B**, the implant body **2502** includes both of a first cavity **2518** disposed near the proximal end **2508** and a second cavity **2520** disposed near the distal end **2510**. The first cavity **2518** extends inward from the proximal end **2508** of the first portion **2504**, and the second cavity **2520** extends inward from the distal end **2510** of the second portion **2506**. A first drug-releasing or other agent-releasing drug insert can be disposed in the first cavity **2518** to provide a sustained drug or other therapeutic agent release to an eye, while a second drug-releasing or other agent-releasing drug insert can be disposed in the second cavity **2520** to provide a sustained drug or other therapeutic agent release to a nasal passage or inner ear system, for example. In some examples, the first cavity **2518** can extend inward from the proximal end **2508** of the first portion **2504** to a position near the distal end **2510** of the second portion **2506**, such as is shown in FIG. 26, and is filled with a first drug-releasing or other agent-releasing drug insert. In some examples, the second cavity **2520** can extend inward from the distal end **2510** of the second portion **2506** to a position near the proximal end **2508** of the first portion **2504** and is filled with a second drug-releasing or other agent-releasing drug insert.

In certain examples, the second portion **2506** comprises an integral dilator **2550** to dilate anatomical tissue, such one or both of the lacrimal punctum **212, 214** or canaliculus **208, 210**, to a sufficient diameter as the lacrimal implant **2500** is being implanted. In this way, the lacrimal implant **2500** can be implanted in various size ocular anatomies without the need for pre-dilation via a separate enlarging tool. In these examples, the integral dilator **2550** includes a generally concave shape related to the first portion **2504**. In some examples, the concave shape includes a radius less than a radius of the canaliculus curvature **250**. In some examples, the concave shape includes a radius substantially the same as the radius of the canaliculus curvature **250**.

As shown in the example of FIG. **25B**, a smooth transition can exist between the first **2504** and second **2506** portions.

In certain examples, a proximal end **2528** of the second implant body portion **2506** can include a retention element **2556** configured to bias against at least a portion of a lacrimal canaliculus ampulla **252** (FIG. **2**) when implanted. In the example of FIG. **25A**, the retention element **2556** projects proximally from the intersection between the first **2504** and second **2506** implant body portions.

FIG. **26** illustrates another lacrimal implant **2600** example that is insertable through a lacrimal punctum **212**, **214** and into the associated canaliculus **208**, **210** (FIG. **2**). In this example, the lacrimal implant **2600** comprises an implant body **2602** including first **2604** and second **2606** portions, and extends from a proximal end **2608** of the first portion **2604** to a distal end **2610** of the second portion **2606**. The proximal end **2608** can define a longitudinal proximal axis **2612** and the distal end **2610** can define a longitudinal distal axis **2614**. The implant body **2600** can be configured such that, when implanted, an angled intersection of between 90-135 degrees exists between the proximal axis **2612** and the distal axis **2614** for biasing at least a portion of the implant body against at least a portion of a lacrimal canaliculus **208**, **210** located at or more distal to a canaliculus curvature **250** (FIG. **2**).

In certain examples, the implant body **2602** can include a first cavity **2618** disposed near the proximal end **2608**. In this example, the first cavity **2618** extends inward from the proximal end **2608** of the first portion **2604** to a position near the distal end **2610** of the second portion **2606**. A first drug-releasing or other agent-releasing drug insert having a volume between about 0.2 cubic centimeters to about 0.25 cubic centimeters, for example, can be disposed in the first cavity **2618** to provide a extended sustained drug or other therapeutic agent release to an eye.

In certain examples, the second portion **2606** comprises an integral dilator **2650** to dilate anatomical tissue, such one or both of the lacrimal punctum **212**, **214** or canaliculus **208**, **210**, to a sufficient diameter as the lacrimal implant **2600** is being implanted. In this way, the lacrimal implant **2600** can be implanted in various size ocular anatomies without the need for pre-dilation via a separate enlarging tool. In this example, the dilator **2650** includes a generally convex shape relative to the first portion

2604. In some examples, the convex shape includes a radius less than a radius of the canaliculus curvature **250**. In some examples, the convex shape includes a radius substantially the same as the radius of the canaliculus curvature **250**.

In certain examples, a proximal end **2628** of the second implant body portion **2606** can include a retention element **2656** configured to bias against at least a portion of a lacrimal canaliculus ampulla **252** (FIG. 2) when implanted. In this example, the retention element **2656** projects proximally from the intersection between the first **2604** and second **2606** implant body portions. In some examples, such as is shown in FIGS. 29-30, a proximal end **2628** of the second implant body portion **2606** can include a retention element **2656** comprising a hydrogel retention element, which is configured to expand into the ampulla **252** when the implant body **2602** is implanted.

FIG. 27 illustrates an example side view of another lacrimal implant **2700** that is insertable through a lacrimal punctum **212**, **214** and into the associated canaliculus **208**, **210** (FIG. 2). In this example, the lacrimal implant **2700** comprises an implant body **2702** including first and second portions, which prior to implant, are linear relative to one another. The implant body **2702** extends from a proximal end **2708** of the first portion to a distal end **2710** of the second portion. The proximal end **2708** can define a longitudinal proximal axis **2712** and the distal end **2710** can define a longitudinal distal axis **2714**. The implant body **2702** can be configured such that, when implanted, an angled intersection of between 45-135 degrees exists between the proximal axis **2712** and the distal axis **2714** such as for biasing at least a portion of the implant body **2702** against at least a portion of a lacrimal canaliculus **208**, **210** (FIG. 2) located at or more distal to a canaliculus curvature **250** (FIG. 2). In this example, the second portion of the implant body **2702** includes at least one undulation **2790** to facilitate the biasing of the implant body **2702** against the portion of the lacrimal canaliculus **208**, **210**.

FIG. 28 illustrates an example side view of another lacrimal implant **2800** that is insertable through a lacrimal punctum **212**, **214** and into the associated canaliculus **208**, **210** (FIG. 2). In this example, the lacrimal implant **2800** comprises an implant body **2802** including first and second portions, which prior to implant, are linear relative to one another. The implant body **2802** extends from a proximal end **2808** of the first portion to a distal end **2810** of the second portion. The proximal end **2808** can define a longitudinal proximal axis **2812** and the distal end **2810** can define a longitudinal distal

axis **2814**. The implant body **2802** can be configured such that, when implanted, an angled intersection of between 45-135 degrees exists between the proximal axis **2812** and the distal axis **2814** for biasing at least a portion of the implant body **2802** against at least a portion of a lacrimal canaliculus **208, 210** (FIG. 2) located at or more distal to a canaliculus curvature **250** (FIG. 2). In this example, the second portion of the implant body **2802** includes at least one intermediately-disposed retainment projection **2892**, such an annular rib-like projection. The retainment projection **2892** includes a cross-sectional size greater than an adjacent implant body portion and can facilitate the securement of an implanted position of the implant body **2802**, while the adjacent narrower implant body portion can facilitate the biasing of the implant body **2802** against the portion of the lacrimal canaliculus **208, 210**.

FIGS. 29-32 illustrate examples of a side view of other lacrimal implants **2900, 3000, 3100, 3200** that are insertable through a lacrimal punctum **212, 214** and into the associated canaliculus **208, 210** (FIG. 2). In these examples, each lacrimal implant **2900, 3000, 3100, 3200** can comprise an implant body **2902, 3002, 3102, 3202** including first **2904, 3004, 3104, 3204** and second **2906, 3006, 3106, 3206** portions, and can extend from a proximal end **2908, 3008, 3108, 3208** of the first portion **2904, 3004, 3104, 3204** to a distal end **2910, 3010, 3110, 3210** of the second portion **2906, 3006, 3106, 3206**. The proximal end **2908, 3008, 3108, 3208** can define a longitudinal proximal axis **2912, 3012, 3112, 3212**.

The second portion **2906, 3006, 3106, 3206** can include a fluid swellable retention element **2994, 3094, 3194, 3294** configured to expand laterally, relative to the proximal axis **2912, 3012, 3112, 3212**, when the implant body **2902, 3002, 3102, 3202** is implanted. In various examples, the fluid swellable retention element **2994, 3094, 3194, 3294** can be formed such that one or both of expansion direction or expansion amount can be controlled. For instance, the fluid swellable retention element **2994, 3094, 3194, 3294** can expand more in one plane than another to securely anchor the lacrimal implants. In some examples, the fluid swellable retention element **2994, 3094, 3194, 3294** includes a portion configured to expand laterally, relative to the proximal axis **2912, 3012, 3112, 3212**, in a direction away from a lacrimal canaliculus ampulla **252** (FIG. 2) when the implant body is implanted. In some examples, as shown in FIGS. 29-30, the fluid swellable retention element **2994, 3094, 3194, 3294** includes a

portion configured to expand laterally, relative to the proximal axis **2912**, **3012**, **3112**, **3212**, in a direction toward the lacrimal canaliculus ampulla **252** (FIG. 2) when the implant body is implanted.

In some examples, the fluid swellable retention element **2994**, **3094**, **3194**, **3294** can comprise hydrogel, which is insertable through the lacrimal punctum **212**, **214** and into the associated canaliculus **208**, **210** in a narrow profile. After insertion, the hydrogel or other fluid swellable retention element can hydrate and expand to a wide configuration. Protrusions, such as at least one intermediately-disposed retainment projection **2992**, **3092**, **3192**, **3292**, can be used to retain to an implanted position of the lacrimal implants while the hydrogel or other swellable element expands.

FIG. 33 illustrates an example side view of another lacrimal implant **3300** that is insertable through a lacrimal punctum **212**, **214** and into the associated canaliculus **208**, **210** (FIG. 2). In this example, the lacrimal implant **3300** can comprise an implant body **3302** including first **3304** and second **3306** portions, and can extend from a proximal end **3308** of the first portion **3304** to a distal end **3310** of the second portion **3306**. As shown, the second portion **3306** can include an expandable retention element **3393** comprising at least one of a coil, a braid, a stent, a mesh tube, a suture, a thermoset polymer, a thermoplastic, a heat activatable material, or a shape memory material. The expandable retention element **3393** can be configured to expand laterally, relative to a proximal axis **3312** defined by the first portion **3304**, when the implant body is implanted. Protrusions, such as at least one intermediately-disposed retainment projection **3392**, can be used to potentially further secure an implanted position of the lacrimal implant.

FIGS. 34A-34B illustrate examples of a schematic view of another lacrimal implant **3400** and an implant environment. In various examples, the implant body **3402** can include a graspable or other projection **3432**, such as one or more projections extending laterally at least partially from or around a proximal end **3408** of a first implant body portion. In some examples, such as is shown in FIG. 34B, the projections **3432** can include a set of wings for use in inserting the lacrimal implant **3400** into, or removing the implant from, an implanted position. The set of wings can be configured without migration in mind, as the implanted, non-linear configuration of the implant

body **3402** can prevent migration by assuming a size or shape of a canaliculus curvature **250** and optionally, a lacrimal canaliculus ampulla **252**.

In the examples of FIGS. **34A-34B**, the one or more projections **3432** extend laterally in a direction parallel to or away from an eye **100** when implanted. In this way, the projections **3432** can still act as a graspable or feedback feature, but can limit patient discomfort when the lacrimal implant **3400** is implanted. In addition, the projections **3432**, by extending away from the eye **100**, may not be buried in tissue and may be easily recognized by the patient or physician. This can allow for a quick determination if the lacrimal implant **3400** is being retained in its proper place without having to dig and search in the soft tissue surrounding the eye **100**. In some instances, a simple pull on the lower eyelid can expose the projection **3432** pointed in a direction away from the eye **100**. In the example of FIG. **34B**, a lateral extension of at least one projection **3432** from the proximal end **3408** is substantially the same as a lateral extension direction of a second implant body portion relative to a distal end of the first implant body portion.

FIGS. **35-38** illustrate examples of an isometric view of various graspable projections or other gripping means **3532, 3632, 3732, 3832** extending from a proximal end of a lacrimal implant **3500, 3600, 3700, 3800**. The graspable or other projections **3532, 3632, 3732, 3832** can be used for various functions, including providing a structure to which a user can grasp onto during implant insertion or removal, inhibiting or preventing the associated lacrimal implant from passing completely within a lacrimal punctum **212, 214** and associated canaliculus **208, 210** (FIG. **2**), or for providing tactile or visual feedback information to the user, e.g., as to whether the implant is fully implanted.

In some examples, as shown in FIG. **35**, the graspable projection **3532** can include two or more expandable arm members, which are sized to rest on an exterior of the lacrimal punctum. The arm members can be affixed to an implant body **3502**, for example, via molding, adhesion or welding. The expandable arm members are capable of expanding so as to limit penetration of the lacrimal implant **3500** through the lacrimal punctum **212, 214** and into the associated canaliculus **208, 210**. While two arm members are shown, some include more than two arm members, such as four arm members. The expandable arm members can assume an expanded profile separation

distance **3505** that corresponds to about twice a diameter of the implant body, such that proximal ends of the proximal expandable arm members remain on the exterior of the punctum. The expandable arm members can expand in many ways from the narrow profile configuration to the expanded profile configuration, and can include at least one of a coil, a braid, a suture, a thermoset polymer, a thermoplastic, a heat activated material, Nitinol, a shape memory material, a polymer, polypropylene, polyester, nylon, natural fibers, stainless steel, polymethylmethacrylate or polyimide. In some examples, the expandable arm members can be expanded manually, for example by a physician, after the lacrimal implant has been positioned in the canalicular lumen **208, 210**.

In some examples, as shown in FIG. **36**, the graspable projection **3632** can include a loop of a filament embedded in the proximal end of the lacrimal implant **3600** to permit removal of the implant with proximal tension to the loop, for example with forceps. In some examples, the loop of filament assumes a shape similar to a purse handle that extends from the lacrimal implant with a loop so as to facilitate removal of the lacrimal implant. The filament can comprise at least one of a heat activated material, Nitinol, a shape memory material, a polymer, polypropylene, polyester, nylon, natural fibers, stainless steel, polymethylmethacrylate or polyimide. In some embodiments, the filament may comprise an absorbable thermo plastic polymer, for example at least one of polylactic acid (PLA), poly glycolic acid (PGA) or polylactic co-glycolic acid (PLGA). A distal end of the filament can be embedded in, molded to or other affixed to an implant body **3602** so as to secure the filament to the lacrimal implant.

In some examples, as shown in FIG. **37**, the graspable projection **3732** can include at least one axially extending projection coupled with an implant body **3702**, which is configured to bias an outer most portion of the lacrimal canaliculus **208, 210**. Due to the natural constriction against outward biasing of the canaliculus, the interplay between the axially extending projections and the canaliculus inhibits over insertion of an associated lacrimal implant **3700**.

In some examples, as shown in FIG. **38**, a longitudinal indentation, channel or other recess **3832** in an implant body **3802** can be used in lieu of a graspable projection to permit insertion or removal of a lacrimal implant **3800**. The indentation, channel or other recess **3832** may extend axially along only a portion of an implant body a

sufficient distance to facilitate removal of an associated lacrimal implant. In further examples, a lacrimal implant can include a filament molded into an implant body and extending proximally for removal of the implant from the punctum.

FIGS. **39A-39B** illustrate examples of an isometric view of a drug insert **322** and a removal facilitating filament **3999**. In some examples, as shown in FIG. **39A**, the filament **3999** can extend from the drug insert **322** and is molded therein for removal purposes. Among other things, the filament **3999** can comprise a suture, a thermoset polymer, or a shape memory alloy. In some examples, as shown in FIG. **39B**, the filament **3999** extends along the drug insert **322** adjacent an implant body **3902** and is bonded to a distal end of the insert for removal purposes. Filament can be bonded to the distal end of the drug core insert with an adhesive, such as cyanoacrylate, acrylic, epoxy, urethane or a hot melt adhesive.

FIG. **40** is a block diagram illustrating an example method **4000** of manufacturing a lacrimal implant configured to be at least partially insertable through a lacrimal punctum and into the associated canaliculus. At **4002**, an implant body extending from a proximal end of a first body portion to a distal end of a second body portion is formed. In various examples, the proximal end is formed to define a longitudinal proximal axis and the distal end is formed to define a longitudinal distal axis. A formation of the implant body can be configured such that, when implanted, the proximal axis and the distal axis intersect at an angle of at least 45 degrees to laterally bias at least a portion of the implant body against at least a portion of a lacrimal canaliculus located at or more distal to a canaliculus curvature. Optionally, formation of the implant body can include integrating one or more drug or other therapeutic agent particles into the body. The implant body can then be optionally coated with a permeable or impermeable material to direct agent release, as desired, to a patient's bodily tissue(s).

In some examples, various sizes of implant bodies are formed to fit various patient anatomies. To determine a patient's punctal size, one or two drops of a topical ophthalmic anesthetic can be applied to an eye when the patient is in a reclined position with his/her eyelids closed for a brief period of time (e.g., approximately 60 seconds). Subsequently, a punctal gauge can be used to measure a diameter of one or both of the upper or lower puncta. The punctal gauge can be urged into a punctum until a slight

resistance is felt on the gauge, at which time a measurement corresponding to a gradation on the gauge can be read and recorded.

In some examples, the second body portion is formed to include a dilator generally narrowing from a location near a proximal end of the second body portion to the distal end of the second body portion. In some examples, the dilator is formed by sloping an outer surface of the second portion of the implant body between about 1 degree and about 10 degrees with respect to the longitudinal distal axis. In some examples, the outer surface of the second implant body portion is sloped to a dilator tip of between about 0.2 millimeters and about 0.5 millimeters.

In some examples, the implant body is formed to include a graspable or other projection extending laterally from the proximal end of the first body portion. In certain examples, the projection is formed to substantially align with a lateral extension direction of the second body portion relative to the first body portion. In certain examples, the projection is formed such that, when implanted, it laterally extends from the proximal end of the first body portion in a direction that is parallel to or away from an eye.

At **4004**, a drug insert can be disposed in at least one of the first body portion or the second body portion. In various examples, the drug insert is positioned such that an exposed drug insert surface sits adjacent at least one of the proximal end or the distal end for providing a sustained drug or other therapeutic agent release to an eye, nasal passage or inner ear, for example. In certain examples, a first drug insert is disposed in the first body portion and a second drug insert is disposed in the second body portion. In various examples, the one or more drug inserts comprise drug cores including the drug or other therapeutic agent.

At **4006**, an outer surface portion of the implant body or implant body coating can be coated with at least one of a fluid swellable material, a lubricious coating or an antimicrobial coating. In various examples, the outer surface portion of the implant body is polished using a polishing process.

Other Examples:

FIG. **41** shows an example in which a lacrimal implant, such as the example of FIG. **12**, can be modified such that the retention mechanism **1292** of the first portion

1204 need not laterally protrude equidistantly around its circumference. Instead, in an example such as shown in FIG. 41, a proximal end of a retention mechanism **4192** of the first portion **1204** can laterally protrude outward in a non-equal lateral distance around its circumference, and the retention mechanism **4192** of the first portion **1204** can taper down to an outer diameter of the first portion **1204** at a distal end of the retention mechanism **4192**. In an example, the proximal end of the retention mechanism **4192** can include a perimeter numerically equal to a perimeter of a cap **1208** or other projection at a proximal end of the first portion **1204**.

In an example, the proximal end of the retention mechanism **4192** of the first portion **1204** can protrude outward contralaterally, such as in opposite directions on opposing first and second sides of the retention mechanism **4192** of the first portion **1204**, without protruding outwardly from the shaft portion outer dimension on opposing third and fourth sides of the retention mechanism (wherein the third and fourth sides define a second lateral direction that is substantially orthogonal to a first lateral direction defined by the first and second sides). In this way, the contralaterally protruding portions of the retention mechanism **4192** of the first portion **1204** can define an end-to-end lateral distance in the first lateral direction that can be substantially equal to the protruding outer diameter of the proximal end of the retention mechanism **1292** of the first portion **1204**, such as shown and described with respect to FIG. 12.

Various other options for the implant are also possible. Smoothed corners can optionally be provided, such as to reduce tissue irritation or damage. Sharp corners can optionally be provided, such as to enhance retention. In a perpendicular second lateral direction, however, there can be no protrusion beyond the outer shaft diameter of the retention mechanism **4192** of the first portion **1204**. In an example, the first lateral direction of the contralaterally protruding portions of the retention mechanism **4192** of the first portion **1204** is also substantially orthogonal to the direction in which the second portion **1206** extends from the first portion **1204**, which can provide better retention. However, in another example, the first lateral direction of the contralaterally protruding portions of the retention mechanism **4192** of the first portion **1204** can be substantially parallel to the direction in which the second portion **1206** extends from the first portion **1204**. In still other examples, the first lateral direction of the

contralaterally protruding portions of the retention mechanism **4192** of the first portion **1204** can be at other angles (e.g., between 0 degrees and 90 degrees) with respect to the direction in which the second portion **1206** extends from the first portion **1204**.

FIGS. **42A-42D** show an example in which a lacrimal implant, such as those shown in the examples of FIGS. **12** and **41**, can be modified such that the retention mechanism **1292** of the second portion **1206**, need not gradually bulge outward from the lateral outer dimensional profile of the second portion **1206**. More specifically, FIG. **42A** shows a side view of the example lacrimal implant, FIG. **42B** shows a cross-sectional view taken along the cutline A-A shown in FIG. **42A**, FIG. **42C** shows a top view of the lacrimal implant, and FIG. **42D** shows an end view of the lacrimal implant such as when viewed from a proximal end of the second portion **1206**.

In the examples of **42A-42D**, the second portion **1206** can include a retention mechanism **4292** that has a more abrupt change profile, such as an outward lateral step, at one of the proximal end portion **4201** of the retention mechanism **4292** or the distal end portion **4202** of the retention mechanism **4292**. For example, the retention mechanism **4292** can include at its proximal end portion **4201** an abrupt step, such as from an outer diameter of the second portion **1206** (e.g., about 0.46 mm to about 0.62 mm) to an at least partially circumferentially protruding outer diameter of the proximal end portion **4201** of the retention mechanism **4292** (e.g., about, 0.76 mm, about 0.86 mm or about 0.89 mm). Smoothed corners can optionally be provided, such as to reduce tissue irritation or damage. Sharp corners can optionally be provided, such as to enhance retention. In this example, the outer diameter of the retention mechanism **4292** can then taper back down toward the outer diameter of the second portion **1206** (e.g., about 0.46 mm to about 0.62 mm), as the distal end portion **4202** of the retention mechanism **4292** is approached.

The example of FIGS. **42A-42D** can include the tapered retention mechanism **4192** of the first portion **1204** extending laterally outward in a direction perpendicular from the direction in which the second portion **1206** extends outward from the first portion **1204**, without extending laterally outward in a direction parallel to the direction in which the second portion **1206** extends outward from the first portion **1204**, and providing a shaft portion defining a narrowed, at least partially circumferential, neck **4203** portion between the proximal cap **1208** of the first portion **1204** and the retention

mechanism **4192** of the first portion **1204**, such as explained above with respect to FIG. **41**. A narrowed, at least partially circumferential, neck portion **4204** can also be provided at the juncture between the first portion **1204** and the second portion **1206** for retainment purposes. It is believed that canalicular tissue can compress into and around the neck portion **4204**, thereby helping to secure an implanted position of the lacrimal implant.

FIGS. **43A-43C** show an example in which a lacrimal implant, such as those shown in the examples of FIGS. **12**, **41** and **42A-42D**, can be modified such that the retention mechanism **1292**, **4192** of the first portion **1204** need not include a neck portion at the juncture between the first portion **1204** and the second portion **1206**. More specifically, FIG. **43A** shows a side view of the example lacrimal implant, FIG. **43B** shows a bottom view of the lacrimal implant, and FIG. **43C** shows a cross-sectional view of the lacrimal implant taken along the cutline A-A shown in FIG. **43B**.

In the example of FIGS. **43A-43C**, the proximal end **1208** can provide a projection such as a cap having an outer diameter of about 1.1 mm, and a cap thickness of about 0.13 mm in a longitudinal direction of the first portion **1204**. In this example, the proximal end **1208** cap portion can be separated from a retainment projection **4392** of the first portion **1204**, such as by a shaft portion that can have an outer diameter of about 0.56 mm and a longitudinal shaft length of about 0.66 mm. In this example, the retainment projection **4392** of the first portion **1204** can have a proximal outer diameter of about 1.1 mm, which can taper down over about 0.96 mm directly into the second portion **1206**, such that there is no neck portion provided at the juncture **4305** between the first **1204** and second **1206** portions. By eliminating the neck portion, such as is shown in FIGS. **42A-42D**, the length of the retainment projection **4392** can be made effectively longer allowing for wider proximal outer diameters, such as diameters greater than about 1.1 mm, and/or increased dilation and easier insertability via a more gradual taper angle. In some examples, the proximal outer perimeter can optionally include sharp corners, such as to enhance retention.

Other dimensional options for the example insert of FIGS. **43A-43C** can be as follows. The proximal end **1208** can provide a projection such as a cap having an outer diameter of about 1.4 mm. The retainment projection **4392** of the first portion **1204** can have a proximal outer diameter of about 1.3 mm. The neck portion between the

proximal cap **1208** and the retainment projection **4392** can have a diameter of about 0.7 mm and a first cavity within the first portion **1304** can have a diameter of about 0.56 mm. A thickness of the retainment projection **4392** at the juncture **4305** between the first **1204** and second **1206** portions, as measured perpendicular to an outer converging surface of the projection **4392**, can be 0.043 mm, 0.086 mm, or 0.175 mm, for example, depending on the depth of the first cavity and the configuration and position of the projection **4392** relative to the first portion **1204**.

In certain examples, the retention mechanisms **1292**, **4192**, **4392** of the first portion **1204** or the retention mechanisms **1292**, **4292** of the second portion **1206** can optionally be implanted as a self-expanding hydrogel coating upon a plug body, wherein the self-expanding coating expands to form a shape that is generally similar to those such as shown in FIGS. **41**, **42A-42D**, and **43A-43C**.

FIGS. **44A-44C** and **45A-45C** show examples in which a lacrimal implant, such as those shown in the examples of FIGS. **12**, **41**, **42A-42D**, and **43A-43C**, can be modified such that a relatively deep recess **4405** or relatively shallow recess **4505** can extend from a proximal end of the second portion **1206**, such as extending into the second portion **1206**. FIGS. **44A** and **45A** show examples of an end view of the lacrimal implant such as when respectively viewed from a distal end and the proximal end of a second portion **1206**, FIGS. **44B** and **45B** show examples of a cross-sectional view of the lacrimal implant taken along the cutlines A-A shown in FIGS. **44A** and **45A**, respectively, and FIGS. **44C** and **45C** show examples of a top view of the lacrimal implant.

In an example, the recess **4405**, **4505** can be used to assist during implant such as by allowing insertion of an instrument into the recess **4405**, **4505**. In an example, the recess **4405**, **4505** can include an expandable material **4407**, such as a hydrogel (e.g., “TG-500” or “TG-2000” manufactured by Lubrizol Corporation of Cleveland, Ohio), therein, which can expand when implanted and exposed to bodily fluid. In an example, the recess **4405**, **4505** can serve both purposes, allowing insertion of an instrument during implant, and also allowing expansion of a hydrogel, when implanted, such as to assist retention. A depth of the recess **4405**, **4505** relative to the proximal end of the second portion **1206** can be adequate to allow for at least some expansion of the expandable material **4407**, if present. In some examples, the depth of the recess is

twice a longitudinal length of the expandable material **4407**. In some examples, the depth of the recess is slightly greater than the longitudinal length of the expandable material **4407**.

The expandable material **4407** can be configured and positioned within the recess **4405**, **4505** such as to expand in various directions (e.g., laterally and/or circumferentially in a balloon-like manner), when implanted, such as to urge one or more portions of an implant body **1202** outward and against a wall of a lacrimal canaliculus. Through an engagement between the outwardly-urged portions of the implant body **1202** and the canalicular wall, the lacrimal implant can be more securely retained within the punctum. Optionally, the location of the expandable material **4407** within the recess **4405**, **4505** of the second portion **1206** can be adjusted, as needed, such as to achieve desired retainment characteristics. In some examples, the expandable material **4407** is positioned within the recess **4405**, **4505** such that upon expansion, a portion of the expandable material **4407** protrudes externally relative to the recess **4405**, **4505**. In other examples, the expandable material **4407** is positioned within the recess **4405**, **4505** such that upon expansion, the expandable material **4407** is substantially retained within the recess, and does not substantially protrude externally relative to the recess **4405**, **4505**.

In various examples, the implant body **1202** can comprise an elastic material, such as silicone, polyurethane or other urethane-based material, or an acrylic of a non-biodegradable, partially biodegradable or biodegradable nature (i.e., erodeable within the body), such as for allowing at least partial outward deformation of the implant body **1202** as the expandable material **4407** absorbs or otherwise retains fluid. In some examples, different portions of the implant body **1202** can be made of different materials. For instance, the first portion **1204** can comprise a more rigid, less expandable material and the second portion **1206** can comprise a more elastic material. The second portion **1206** can also comprise a fluid permeable material such as to promote or allow for fluid to better infiltrate the expandable material **4407**. Optionally, a wall thickness of the second portion **1206** surrounding the expandable member **4407** can be made thinner such as to facilitate outward deformation as the expandable member **4407** absorbs or otherwise retains fluid.

As shown in the examples of FIGS. **44B** and **45B**, the expandable material **4407** can have a non-expanded, “dry” state, which can aid insertion through the punctum and into the lacrimal canaliculus. Once placed in the canaliculus, the expandable material **4407** can absorb or otherwise retain canalicular or other bodily fluid, such as via an orifice of the recess **4405**, **4505** or via a fluid permeable material of, or lumen in, the second portion **1206** surrounding the material **4407**. In some examples, the expandable material **4407** can include a material that is non-biodegradable. In some examples, the expandable material **4407** can include a material that is biodegradable. Other options for the expandable material **4407** can also be used. For instance, the expandable material **4407** can be molded with the implant body **1202** as a single piece, or can be formed separately and subsequently coupled to, or otherwise disposed in, the implant body **1202**.

Desired expansion characteristics of the expandable material **4407** can be achieved such as through appropriate material configuring and processing. In some examples, the expandable material such as a hydrogel, is extruded with a high draw-down ratio such as to result in a dimension (e.g., diameter of about 0.3 millimeter) configured to fit within the recess **4405**, **4505**. It has been found that extrusions formed with a high draw-down ratio can have greater diametrical expansion than longitudinal expansion. In some examples, the expandable material **4407** is molded at a temperature and pressure found to result in a desirable expansion characteristics, such as greater longitudinal expansion than diametrical expansion. For instance, in some examples, the expandable material **4407** is configured and positioned to laterally expand out of the recess **4405**, **4505**, when implanted, such as into the ampulla to assist retention of the lacrimal implant within the punctum. The lateral expansion of the expandable material **4407** has been found to be able to continuously urge a cap **1208** or other projection at a proximal end of the first portion **1204** flush with the punctum. In an example, the expandable material **4407** can allow for an expansion capacity of up to about one time its “dry” volume, up to about ten times its “dry” volume, or up to about twenty times its “dry” volume.

It has been found that expansion of the expandable material **4407** within the recess **4405**, **4505**, when implanted, can help lock the angled intersection **4450** between the implant body first portion **1204** and the implant body second portion **1206** such as

to assist retention of the lacrimal implant within the punctum. It is believed that as the expandable material **4407** expands in various directions within the recess **4405**, **4505**, portions of the implant body **1202** are urged outward becoming less elastic as these body portions become larger.

As shown in FIGS. **44B** and **45B**, the lacrimal implant can include a septum **4430** such as between a first cavity or recess **4418** configured to receive a drug-releasing or other agent-releasing insert (e.g., drug core) and the second recess **4405**, **4505**. The septum **4430** can be used to inhibit or prevent expansion of the expandable material **4407** into the drug insert-receiving recess **4418**. However, in an example, the septum **4430** may include a lumen or porous portion allowing drug or other therapeutic agent(s) to travel from the insert and into and through the expandable material **4407** to surrounding canalicular tissue, thereby providing systemic drug or other agent release.

FIGS. **48A-48E** show an example lacrimal implant **4800** including a robust retention element **4856** disposable within a lacrimal canaliculus ampulla, when implanted, an ovoid-shaped graspable or other projection **4832**, and a lack of intermediate projections on first **4804** or second **4806** implant body portions. More specifically, FIG. **48A** shows an isometric view of the example lacrimal implant, FIG. **48B** shows a side view of the lacrimal implant, FIG. **48C** shows a cross-sectional view taken along the cutline A-A shown in FIG. **48B**, FIG. **48D** shows a top view of the lacrimal implant, and FIG. **48E** shows an end view of the lacrimal implant, such as when viewed from a proximal end of the second portion **4806**.

In the example of FIGS. **48A-48D**, the implant body **4802** includes the ovoid-shaped graspable or other projection **4832**, which can be configured to seat against or near a punctal opening **212**, **214** (FIG. **2**) when the implant **4800** is fully inserted within a lacrimal canaliculus **208**, **210**. The projection **4832** can inhibit or prevent the implant **4800** from passing completely within the lacrimal canaliculus or provide tactile or visual feedback information to an implanting caregiver physician. In some examples, the ovoid shape can include a width of about 1.36 millimeters, a length of about 1.92 millimeters, and a thickness of about 0.30 millimeters.

A proximal end **4828** of the second implant body portion **4806** can include the robust retention element **4856**, which is configured to bias against at least a portion of a

lacrimal canaliculus ampulla **252** when the lacrimal implant is implanted. The retention element **4856** projects proximally from the intersection between the first **4804** and second **4806** implant body portions, in an opposite direction as the extension of a longitudinal dilator **4850** of the second body portion. In some examples, the retention element **4856** can project proximally about 0.44 millimeters and have a height or thickness of about 0.53 millimeters. In this example, the retention element **4856** includes a boat hull-like shape and can include an insertion-facilitating depression **4875** graspable by an insertion tool. When implanted in the ampulla **252**, the retention element **4856** can help secure a seated position of the graspable or other projection **4832** against the punctal opening **212, 214**.

In various examples, the second portion **4806** can include a length having a magnitude less than four times a length of the first portion **4804**. In one example, the second portion **4806** can include a length less than about 10 millimeters and have a configuration including the longitudinal dilator **4850** and a constant diameter portion **4890**. In some example, the implant body **4802** includes a first cavity **4818** disposed near a proximal end **4808**. In this example, the first cavity **4818** extends inward about 1.22 millimeters from the proximal end **4808**, and houses a first drug-releasing or other agent-releasing drug insert having an outer diameter of about 0.56 millimeters.

FIGS. **49A-49F** show an example in which a lacrimal implant **4900**, such as the implant example of FIG. **12**, can be modified such that the graspable or other projection **4932** includes a retaining lip **4990**. The retaining lip **4990** can be configured to secure a seated position of a drug-releasing or other agent-releasing drug insert placed in an implant body cavity **4918**. More specifically, FIG. **49A** shows an isometric view of the example lacrimal implant, FIG. **49B** shows a side view of the lacrimal implant, FIG. **49C** shows a cross-sectional view taken along the cutline **A-A** shown in FIG. **49B**, FIG. **49D** shows a top view of the lacrimal implant, FIG. **49E** shows an end view of the lacrimal implant such as when viewed from a proximal end of the second portion **4906**, and FIG. **49F** shown an enlarged view of section **B-B** shown in FIG. **49C**.

In various examples, the retaining lip **4990** can be configured to secure a position of a drug insert placed in the implant body cavity **4918** without appreciably effecting the release rate of drug or other agent stored in the insert. In some examples, the retaining lip **4990** extends inward about 0.05 millimeters (± 0.02 millimeters) from a

surface of the cavity **4918**. In some examples, the retaining lip **4990** includes a thickness of about 0.05 millimeters (± 0.02 millimeters). Other options to secure the drug insert within the implant cavity **4918** can include one or more of a tighter interference fit between an outer surface of the drug insert and an surface of the cavity, or an overlapping (e.g., snap fit-like) design between the drug insert and cavity at an intermediate or distal portion of the insert.

Due to the presence of the retaining lip **4990**, an exposed, outward-facing surface of the drug insert fully seated in the implant cavity **4918** may be slightly below a proximal end **4908** of the first portion **4904** or a distal end **4910** of the second portion **4906**; however, this sunken arrangement does not, and is not intended to create, any type of pore used to control the rate of drug or other agent release from the insert.

FIGS. **50A** and **50B** show an example in which a lacrimal implant **5000**, such as the example of FIGS. **44A-44C** (with the exception of the recess and expandable material), can be modified such that a proximal surface of a drug insert **5022** is positioned above a proximal end **5008** of the implant prior to being fully implanted within a patient. More specifically, FIG. **50A** shows a side view of the example lacrimal implant, and FIG. **50B** shows a cross-sectional view taken along the cutline A-A shown in FIG **50A**.

In some examples, the proximal surface of the drug insert **5022** can be positioned about 0.25 millimeters (± 0.05 millimeters) above the proximal end **5008** of the implant. The distal end surface of the drug insert **5022** can be positioned a similar amount above the base of an implant body cavity **5018**, which is configured to receive the insert. In this way, the exposed portion of the drug insert **5022** can be used to facilitate insertion of the lacrimal implant **5000** through a punctum and into an associated canaliculus. Then, post-implant, the drug insert **5022** can be urged fully, or near fully, within the cavity **5018**. As the drug insert **5022** is urged within the cavity **5018**, an optionally slightly larger insert diameter, relative to a cavity diameter, can result in biasing of an outer implant body surface against a portion of the lacrimal canaliculus providing further implant securing.

FIGS. **51** and **52**, like FIGS. **25A** and **25B**, illustrate an example of another lacrimal implant **5100** that is insertable through a lacrimal punctum **212**, **214** and into

the associated canaliculus **208, 210** (FIG. 2). In this example, the lacrimal implant **5100** can comprise an implant body **5102** including first **5104** and second **5106** portions, and can extend from a proximal end **5108** of the first portion **5104** to a distal end **5110** of the second portion **5106**. The implant body can include a shape generally matching the anatomical features of a lacrimal canaliculus **208, 210**, thereby providing patient comfort and secure retainment. In some examples, a concave shape between the first **5104** and second **5106** portions includes a radius substantially the same as the radius of the canaliculus curvature **250** (FIG. 2).

In certain examples, a retention element **5192** can be disposed at or near the distal end **5110** of the second implant body portion **5106**. In this way, the implant **5100** can take advantage of the more rigid lacrimal bone region and the smaller, deeper diameter region of the canaliculus to help secure an implanted position. Further, tear fluid flowing from an eye and around the implant may generate a force against a proximal surface of the distally-located retention element **5192** to aid implant retainment. The retention element **5192** can be passive or active (e.g., using hydrogel or other expandable materials). In some examples, the second implant body portion **5106** includes a length between about 6 to 12 millimeters, such as about 10 millimeters.

FIG. 52 illustrates an example schematic view of the lacrimal implant **5100** implanted in a lower lacrimal punctum **214** and associated canaliculus **210**. In some examples, the lacrimal implant **5100** can be implanted in an upper lacrimal punctum **212** and canaliculus **208**. As shown, the first portion **5104** can be configured to be inserted through the lacrimal punctum **214** and into the associated canaliculus **210** and rest between the punctal opening and a lacrimal canaliculus ampulla **252**, while the second portion **5106** can be configured to insert through the lacrimal punctum **214** and into the canaliculus **210** and rest between the ampulla **252** and the lacrimal sac **204**.

Similar dimensions and dimensional variations as shown and described with regard to FIGS. 41, 42A-42D, 43A-43C, 44A-44D, 45A-45D, 48A-48E, 49A-49F, and 50-52 can be applied to the other examples described throughout this patent document.

FIGS. 53A-53D illustrate further lacrimal implant **5300** examples (not shown in their entirety) configured to be retained within a lacrimal punctum and associated canalicular anatomy, which include one or both of a distinct drug insert **5322**

or drug integrated with one or more portions of an implant body **5302**. Drug or other therapeutic agent stored in the distinct drug insert **5322** or integrated with the implant body **5302** can be delivered on a sustained release basis, at a desired rate, to one or more of an eye, nasal passage or inner ear system. Where greater amounts of drug or other agent are desired, it is possible that the implant body **5302** can be used as a storing mechanism, with or without the drug insert **5322**, due to its greater volume.

In some examples, such as is shown in FIGS. **53A**, **53B** and **53C**, a first amount of agent is stored in a drug insert **5322** and a second amount of agent is stored in the implant body **5302**. It is believed that such an arrangement may provide for the greatest agent holding capacity, since drug load may negatively impact curing of the implant body material or strength. Accordingly, the amount of drug load in the implant body **5302** may be limited. Optionally, the drug insert **5322** may not include a sheath body **5366** covering one or more portions of the insert **5322**, and as a result, drug or agent diffusion between the implant body **5302** and the insert **5322** is possible.

In some examples, such as is shown in FIG. **53D**, the implant body **5302** is substantially solid in the fact that it does not include one or more cavities or other voids for receiving a distinct drug-releasing or other agent-releasing insert. Rather, the implant body **5302** can be configured to receive one or more drugs or other agents integrated throughout one or more body portions. In this way, the entire implant body **5302**, or portions thereof, can act as the drug-releasing or other agent-releasing insert, and agent release can be directed using preformed openings in an impermeable or substantially impermeable cover (e.g., parylene cover) surrounding portions of the implant body **5302**. In other examples, a permeable cover material can be used to allow for drug or other agent release.

Coating materials **5396** can be applied in varying thicknesses to one or more portions of an outer implant body surface, depending on a desired release rate and direction. In some examples, such as is shown in FIG. **53A**, a coating material **5396** is applied to a majority of the implant body surfaces except for surfaces of a graspable or other projection **5332** located at a proximal end **5308**. In some examples, such as is shown in FIG. **53B**, a coating material **5396** is applied to a majority of implant body surfaces, but does not cover an exposed surface of the drug insert **5322**. In some examples, such as is shown in FIGS. **53C** and **53D**, a coating material **5396** having a

first thickness (e.g., about 5-6 μm) is applied to a majority of implant body surfaces, and a coating material **5398** having a second thickness (e.g., about 1 μm) is applied to a proximal surface **5308** of the implant body **5302** and/or drug insert **5322**.

Some preferred coating materials are believed to be parylene, ceramic and silver, all of which can exhibit good flexibility. In some examples, a parylene coating material is used and can advantageously be vapor-deposited on an implant body at relatively low temperatures.

EXPERIMENTAL EXAMPLES

In order that the present lacrimal implants of FIGS. **44A-44C** and **45A-45C** can be more fully understood, the following examples are given by way of illustration but not of limitation.

Experimental Example 1:

FIG. **46** illustrates a lacrimal implant comprising a recess extending from a proximal end of an implant body second portion. In the recess, an expandable hydrogel material is disposed. To allow fluid to be received by the hydrogel material, an orifice of the recess is left open.

At **4602**, the hydrogel material and the partially surrounding implant body is shown at a time of 30 minutes hydration. At **4604**, the hydrogel material and the partially surrounding implant body is shown at a time of 120 minutes hydration. At **4606**, the hydrogel material and the partially surrounding implant body is shown at a time of 240 minutes hydration. At **4608**, the hydrogel material and the partially surrounding implant body is shown at a time of 1440 minutes hydration. As shown, the expansion of the hydrogel material causes surrounding portions of the implant body, particularly the second portion of the implant body, to expand outward such as to a size and shape of a canalicular wall to securely retain a desired position of the implant. Further, the expansion of the hydrogel material locks an angled intersection between the implant body first portion and the implant body second by pressing against the septum, which separates a first cavity or recess holding a drug-releasing or other agent-releasing insert (e.g., drug core) and the second recess holding the hydrogel material.

Experimental Example 2:

FIG. 47 illustrates a lacrimal implant comprising a recess extending from a proximal end of an implant body second portion. In the recess, an expandable hydrogel material is disposed. To allow fluid to be received by the hydrogel material, an orifice of the recess is left open.

In this example, the hydrogel material is configured and positioned to laterally expand out of the recess, when implanted, and into an ampulla-like region 4770 to assist retention of the lacrimal implant within the punctum. As shown, the lateral expansion of the hydrogel material urges a cap or other projection at a proximal end of the first portion flush with a punctum-like surface 4772.

Sheath Body Examples:

In various ways, the sheath body can comprise appropriate shapes and materials to control migration of drug or other therapeutic agents from a distinct drug insert or an implant body including integrated drug or other agent. In some examples, the sheath body is configured to be conformable to an implant anatomy, such as an anatomy of a lacrimal punctum or associated canaliculus. In some examples, the sheath body at least partially covers or surrounds the drug insert and can fit snugly against an outer surface of a matrix/agent mixture. In other examples, the sheath body covers or surrounds portions of an implant body including one or more integrated agents. The sheath body can be made from a material that is substantially impermeable to the drug or other therapeutic agent so that the rate of migration of the drug or agent is largely controlled by an exposed surface area of the drug insert or implant body that is not covered by the sheath body. In many examples, migration of the agents through the sheath body can be about one tenth of the migration of the agent through the exposed surface of the drug insert, or less.

Suitable sheath body materials can include, among others, polyimide, polyethylene terephthalate (PET), or parylene. The sheath body can have a thickness, as defined from the sheath surface adjacent the outer matrix/agent mixture surface to an opposing sheath surface away from the outer surface, of about 0.00025 inches to about 0.0015 inches. The total diameter of the sheath that extends across a drug insert can range from about 0.2 millimeters to about 1.2 millimeters. The drug insert can be formed by dip coating the matrix in the sheath body. In some examples, the sheath

body can comprise a tube into which the matrix/agent mixture is introduced. The sheath body can also be dip coated around the matrix/agent mixture, for example dip coated around a pre-formed matrix/agent core or implant body.

The sheath body can be provided with one or more additional features such as to facilitate clinical use of the lacrimal implants discussed herein. For example, the sheath can receive a drug insert that is exchangeable *in situ*, while the implant body remains implanted in the patient, or after its removal. In some examples, the sheath body can be provided with one or more external protrusions that apply force to the sheath body when squeezed, which cause the matrix/agent mixture to be ejected from the sheath body. A replacement drug insert can then be positioned in the sheath body.

Therapeutic Agent Examples:

A therapeutic agent (or simply “agent”) can comprise, among other things, a drug made from one or any combination of the following or their equivalents, derivatives or analogs, including, anti-glaucoma medications, (e.g. adrenergic agonists, adrenergic antagonists (beta blockers), carbonic anhydrase inhibitors (CAIs, systemic and topical), parasympathomimetics, prostaglandins and hypotensive lipids, and combinations thereof), antimicrobial agent (e.g., antibiotic, antiviral, antiparasitic, antifungal, etc.), a corticosteroid or other anti-inflammatory (e.g., an NSAID or other analgesic and pain management compounds), a decongestant (e.g., vasoconstrictor), an agent that prevents or modifies an allergic response (e.g., an antihistamine, cytokine inhibitor, leucotriene inhibitor, IgE inhibitor, immunomodulator), a mast cell stabilizer, cycloplegic, mydriatic or the like.

Example available agents include, but are not limited to, thrombin inhibitors; antithrombogenic agents; thrombolytic agents; fibrinolytic agents; vasospasm inhibitors; vasodilators; antihypertensive agents; antimicrobial agents, such as antibiotics (such as tetracycline, chlortetracycline, bacitracin, neomycin, polymyxin, gramicidin, cephalixin, oxytetracycline, chloramphenicol, rifampicin, ciprofloxacin, tobramycin, gentamycin, erythromycin, penicillin, sulfonamides, sulfadiazine, sulfacetamide, sulfamethizole, sulfisoxazole, nitrofurazone, sodium propionate), antifungals (such as amphotericin B and miconazole), and antivirals (such as idoxuridine trifluorothymidine, acyclovir, gancyclovir, interferon); inhibitors of surface

glycoprotein receptors; antiplatelet agents; antimitotics; microtubule inhibitors; anti-secretory agents; active inhibitors; remodeling inhibitors; antisense nucleotides; anti-metabolites; antiproliferatives (including antiangiogenesis agents); anticancer chemotherapeutic agents; anti-inflammatories (such as hydrocortisone, hydrocortisone acetate, dexamethasone 21-phosphate, fluocinolone, medrysone, methylprednisolone, prednisolone 21-phosphate, prednisolone acetate, fluoromethalone, betamethasone, triamcinolone, triamcinolone acetonide); non steroidal anti-inflammatories (NSAIDs) (such as salicylate, indomethacin, ibuprofen, diclofenac, flurbiprofen, piroxicam, indomethacin, ibuprofen, naxopren, piroxicam and nabumetone). Examples of such anti-inflammatory steroids contemplated for use with the present lacrimal implants, include triamcinolone acetonide (generic name) and corticosteroids that include, for example, triamcinolone, dexamethasone, fluocinolone, cortisone, prednisolone, flumetholone, and derivatives thereof.); antiallergenics (such as sodium chromoglycate, antazoline, methapyrilene, chlorpheniramine, cetirizine, pyrilamine, propenpyridamine); anti proliferative agents (such as 1,3-cis retinoic acid, 5-fluorouracil, taxol, rapamycin, mitomycin C and cisplatin); decongestants (such as phenylephrine, naphazoline, tetrahydrazoline); miotics and anti-cholinesterase (such as pilocarpine, salicylate, carbachol, acetylcholine chloride, physostigmine, eserine, diisopropyl fluorophosphate, phospholine iodine, demecarium bromide); antineoplastics (such as carmustine, cisplatin, fluorouracil); immunological drugs (such as vaccines and immune stimulants); hormonal agents (such as estrogens, estradiol, progestational, progesterone, insulin, calcitonin, parathyroid hormone, peptide and vasopressin hypothalamus releasing factor); immunosuppressive agents, growth hormone antagonists, growth factors (such as epidermal growth factor, fibroblast growth factor, platelet derived growth factor, transforming growth factor beta, somatotropin, fibronectin); inhibitors of angiogenesis (such as angiostatin, anecortave acetate, thrombospondin, anti-VEGF antibody); dopamine agonists; radiotherapeutic agents; peptides; proteins; enzymes; extracellular matrix; components; ACE inhibitors; free radical scavengers; chelators; antioxidants; anti polymerases; photodynamic therapy agents; gene therapy agents; and other therapeutic agents such as prostaglandins, antiprostaglandins, prostaglandin precursors, including antiglaucoma drugs including beta-blockers such as Timolol, betaxolol, levobunolol, atenolol, and

prostaglandin analogues such as bimatoprost, travoprost, latanoprost etc; carbonic anhydrase inhibitors such as acetazolamide, dorzolamide, brinzolamide, methazolamide, dichlorphenamide, diamox; and neuroprotectants such as lubezole, nimodipine and related compounds; and parasympathomimetics such as pilocarpine, carbachol, physostigmine and the like.

Additional agents that can be used with the present lacrimal implants include, but are not limited to, drugs that have been approved under Section 505 of the United States Federal Food, Drug, and Cosmetic Act or under the Public Health Service Act, some of which can be found at the U.S. Food and Drug Administration (FDA) website <http://www.accessdata.fda.gov/scripts/cder/drugsatfda/index>. The present lacrimal implants can also be used with drugs listed in the Orange Book, either in paper or in electronic form, which can be found at the FDA Orange Book website (<http://www.fda.gov/cder/ob/>)), that has or records the same date as, earlier date than, or later date than, the filing date of this patent document. For example, these drugs can include, among others, dorzolamide, olopatadine, travoprost, bimatoprost, cyclosporin, brimonidine, moxifloxacin, tobramycin, brinzolamide, aciclovir timolol maleate, ketorolac tromethamine, prednisolone acetate, sodium hyaluronate, nepafenac, bromfenac, diclofenac, flurbiprofen, suprofenac, binoxan, patanol, dexamethasone/tobramycin combination, moxifloxacin, or acyclovir.

Examples of diseases or disorders that can be treated with above-listed agents include, but are not limited to, glaucoma, pre- and post-surgical ocular treatments, dry eye, anti-eye allergy, anti-infective, post-surgical inflammation or pain, respiration-related disorders, such as allergies, inner ear disorders, such as dizziness or migraines, or other systemic disorders, such as hypertension, cholesterol management, pulmonary disorders or immunological disorders. In some examples, the therapeutic agent can include a lubricant or a surfactant, for example a lubricant to treat dry eye. In other examples, the therapeutic agent can include an absorbent capable of absorbing tear from an eye.

Drug Insert Examples:

A drug insert can comprise one or more drugs or other therapeutic agents, and in some examples, one or more matrix materials to provide sustained release of the

drug or other agents. Similarly, where greater amounts of agent are desired, substantial portions of an implant body can comprise one or more integrated drugs or other agents and matrix materials configured to provide release of the agents.

The one or more drugs or other therapeutic agents can migrate from an exposed surface of the drug insert to the target tissue based, at least in part, on a solubility of the drugs or agents in the matrix. The rate of migration of the drugs or agents from the exposed surface can also be related to the concentration of drugs or agents dissolved in the matrix. In some examples, the concentration of drugs or agents dissolved in the drug insert can be controlled to provide the desired release rate of the drugs or agents. In addition or in combination, the rate of migration of drugs or agents from the exposed surface can be related to one or more properties of the matrix in which the drugs or agents dissolve, such as the properties of a silicone matrix formulation. In some examples, the drugs or agents included in the drug insert can include liquid, solid, solid gel, solid crystalline, solid amorphous, solid particulate, or dissolved forms. In one such example, liquid Latanoprost droplets or solid Bimatoprost particles are dispersed in a silicone matrix.

The drug insert can comprise one or more biocompatible materials capable of providing a sustained release of the one or more drugs or agents. Although the drug insert is primarily discussed above with respect to an example comprising a matrix including a substantially non-biodegradable silicone matrix with dissolvable inclusions of the drugs or agents located therein, the drug insert can include other structures that provide sustained release of the drugs or agents, for example a biodegradable matrix, a porous drug insert, a liquid drug insert or a solid drug insert. A matrix that includes the drugs or agents can be formed from either biodegradable or non-biodegradable polymers. In some examples, a non-biodegradable drug insert can include silicone, acrylates, polyethylenes, polyurethane, polyurethane, hydrogel, polyester (e.g., DACRON.RTM. from E. I. Du Pont de Nemours and Company, Wilmington, Del.), polypropylene, polytetrafluoroethylene (PTFE), expanded PTFE (ePTFE), polyether ether ketone (PEEK), nylon, extruded collagen, polymer foam, silicone rubber, polyethylene terephthalate, ultra high molecular weight polyethylene, polycarbonate urethane, polyurethane, polyimides, stainless steel, nickel-titanium alloy (e.g., Nitinol), titanium, stainless steel, cobalt-chrome alloy (e.g., ELGILOY.RTM. from Elgin

Specialty Metals, Elgin, Ill.; CONICHROME.RTM. from Carpenter Metals Corp., Wyomissing, Pa.). In some examples, a biodegradable drug insert can comprise one or more biodegradable polymers, such as protein, hydrogel, polyglycolic acid (PGA), polylactic acid (PLA), poly(L-lactic acid) (PLLA), poly(L-glycolic acid) (PLGA), polyglycolide, poly-L-lactide, poly-D-lactide, poly(amino acids), polydioxanone, polycaprolactone, polygluconate, polylactic acid-polyethylene oxide copolymers, modified cellulose, collagen, polyorthoesters, polyhydroxybutyrate, polyanhydride, polyphosphoester, poly(alpha-hydroxy acid) and combinations thereof. In some examples, the drug insert can comprise a hydrogel polymer.

Closing Notes:

Among other things, lacrimal implants and related methods providing secure retention within a lacrimal punctum and canaliculus of an eye are discussed. The lacrimal implants can comprise an implant body configured for at least partial insertion through the lacrimal punctum and into the canaliculus. The implant body can include first and second portions, and can extend from a proximal end of the first portion defining a longitudinal proximal axis to a distal end of the second portion defining a longitudinal distal axis. The implant body can be configured such that, when implanted using an integral dilator, an at least 45 degree angled intersection exists between the proximal axis and the distal axis. In this way, at least a portion of the implant body can be biased against at least a portion of the lacrimal canaliculus located at or more distal to a canalicular curvature, thereby retaining an implanted position of the lacrimal implant using anatomical structures. In various examples, the lacrimal implant can further comprise a drug insert disposed in at least one of the first portion or the second portion of the implant body to provide a sustained release of a drug or other therapeutic agent to an eye, nasal passage, or inner ear system, for instance. The drug insert can include a distinct drug core disposed within an implant body cavity or can include a mixture of drug or other agent particles throughout one or more implant body portions, or both.

Advantageously, in some examples, the present lacrimal implants can successfully block the flow of tears or provide sustained delivery of a drug or other therapeutic agent to an eye, nasal passage, or inner ear for varying periods of time, such as from days to months to years. In addition, by optionally including first and second

implant body cavities or drug releasing implant body portions, a dual drug or other agent releasing profile can be possible. For instance, two separate drugs can be released from two different implant locations. Further, the canalicular curve retaining configuration of the present implant body can reduce over-stretching of the lacrimal punctum and canaliculus and inadvertent fall out of implants. It is believe the present lacrimal implants can, but need not, be implemented so-as-to provide a one-size-fits-all regime, as an expandable coating or other expandable retention material can be applied to or within the implant body, such as to fit in and against hollow tissue structures of varying sizes. The expandable nature of the present lacrimal can allow for easier implantation, as some of the retention features of the implant can be activated post-implantation.

The present lacrimal implant may also be better retained within a punctum and canaliculus of a patient due to the combination of, for example, a cap-like projection at a proximal end of a first implant portion, a heel-like retainment projection at a proximal end of a second implant portion, or one or more intermediate or distally located projections on the first or second implant portions. As further discussed above, the cap-like projection may inhibit the implant wholly from migrating below the punctum and into the lacrimal canaliculus. The intermediate, distal and heel-like projections may help hold the implant in place until a caregiver physician chooses to remove it.

The above Detailed Description includes references to the accompanying drawings, which form a part of the Detailed Description. The drawings show, by way of illustration, specific embodiments in which the invention can be practiced. These embodiments are also referred to herein as “examples.” In the event of inconsistent usages between this document and those documents so incorporated by reference, the usage in the incorporated references should be considered supplementary to that of this document; for irreconcilable inconsistencies, the usage in this document controls.

In this document, the terms “a” or “an” are used, as is common in patent documents, to include one or more than one, independent of any other instances or usages of “at least one” or “one or more.” In this document, the term “or” is used to refer to a nonexclusive or, such that “A or B” includes “A but not B,” “B but not A,” and “A and B,” unless otherwise indicated. In this document, the term “about” is used

to refer to an amount that is approximately, nearly, almost, or in the vicinity of being equal to a stated amount.

In this document, the term “proximal” refers to a location relatively closer to the cornea of an eye, and the term “distal” refers to a location relatively further from the cornea and inserted deeper into a lacrimal canaliculus.

In this document, the term “hydrogel” is used to refer to an absorbing or otherwise retaining material (e.g., adsorbing material), such as super-absorbent polymers, hydrocolloids, and water-absorbent hydrophilic polymers, for example. Examples of hydrogels for use with the present lacrimal implants include, among others, aliphatic thermoplastic polyurethanes (TPU), such as hydrophilic, aliphatic, and polyether-based thermoplastic polyurethanes. Suitable thermoplastic polyurethanes include those commercially available from the Lubrizol Corporation of Cleveland, Ohio under the trade name, Tecophilic. In certain applications, hydrogels commercially available under the trade names “Tecophilic TG-500” (or simply “TG-500”) and “Tecophilic TG-2000” (or simply “TG-2000”) can be utilized. The term “hydrogel” can refer to super-absorbent polymer particles in a “dry” state, such as when the hydrogel is not expanded and contains less to no water weight. The term “hydrogel” can also be used to refer to super-absorbent polymer particles in a hydrated or expanded state, more specifically, hydrogels that have absorbed at least their weight in water, such as several hundred times their weight in water (e.g., TG-500, which can absorb about 500 times its weight in water and TG-2000, which can absorb about 2000 times its weight in water). As the hydrogel material absorbs fluid, its size can increase (e.g., swell) and its shape can change to bias against, or cause a surrounding material to bias against, at least a portion of a lacrimal ampulla or lacrimal canalicular wall.

In the appended claims, the terms “including” and “in which” are used as the plain-English equivalents of the respective terms “comprising” and “wherein.” Also, in the following claims, the terms “including” and “comprising” are open-ended, that is, a system, assembly, device, article, or process that includes elements in addition to those listed after such a term in a claim are still deemed to fall within the scope of that claim. Moreover, in the following claims, the terms “first,” “second,” and “third,” etc. are used merely as labels, and are not intended to impose numerical requirements on their objects.

The above Detailed Description is intended to be illustrative, and not restrictive. For example, the above-described examples (or one or more features thereof) can be used in combination with each other. As an example, one or more dimensions from the various implant embodiments shown or described may be grouped together to form an implant embodiment capable of providing a desired drug concentration. Other embodiments can be used, such as by one of ordinary skill in the art upon reviewing the above description. Also, in the above Detailed Description, various features can be grouped together to streamline the disclosure.

The scope of the claims should not be limited by the preferred embodiments set forth in the examples, but should be given the broadest interpretation consistent with the specification as a whole.

WHAT IS CLAIMED IS:

1. A lacrimal implant insertable into a lacrimal canaliculus, comprising:

an implant body, including first and second portions, the implant body extending from a proximal end of the first portion to a distal end of the second portion, the proximal end of the first portion defining a longitudinal proximal axis and the distal end of the second portion defining a longitudinal distal axis;

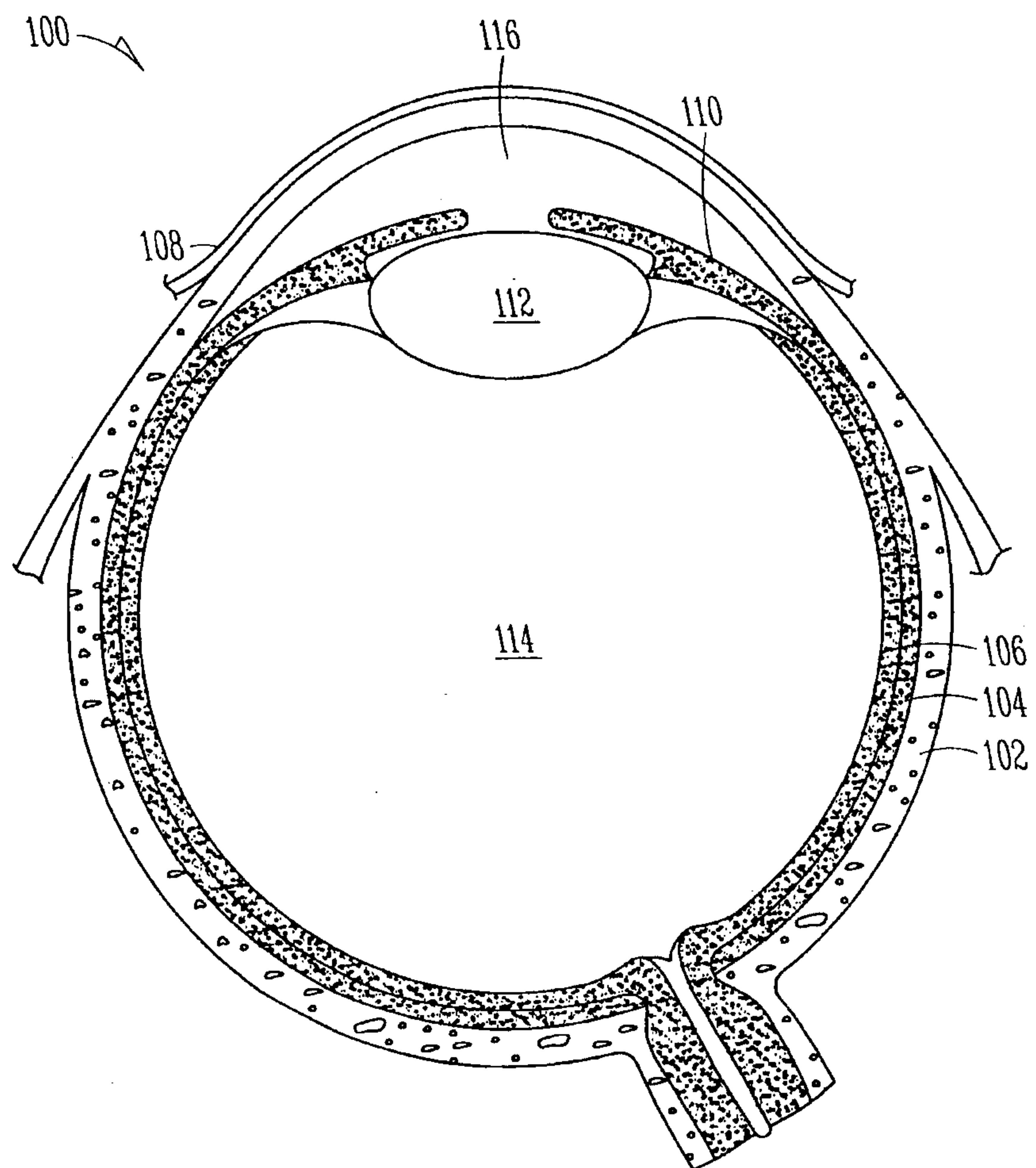
the implant body configured such that, when implanted in the lacrimal canaliculus, an angled intersection exists between the proximal axis and the distal axis for biasing at least a portion of the implant body against at least a portion of the lacrimal canaliculus located at or more distal to a canalicular curvature; and

a graspable projection extending at least partially from the proximal end of the first portion, the graspable projection including an inward-extending retaining lip that overhangs a cavity within the first portion.

2. The lacrimal implant of claim 1, further comprising at least one drug insert, distinct from the implant body, disposed in the cavity of the first portion, the drug insert comprising a therapeutic agent.

3. The lacrimal implant of claim 2, wherein the inward-extending retaining lip overhangs a proximal surface of the drug insert, when fully seated in the cavity, thereby securing a position of the insert.

4. The lacrimal implant of claim 3, wherein the overhang does not appreciably effect a release rate of the drug stored in the drug insert.

**FIG. 1**

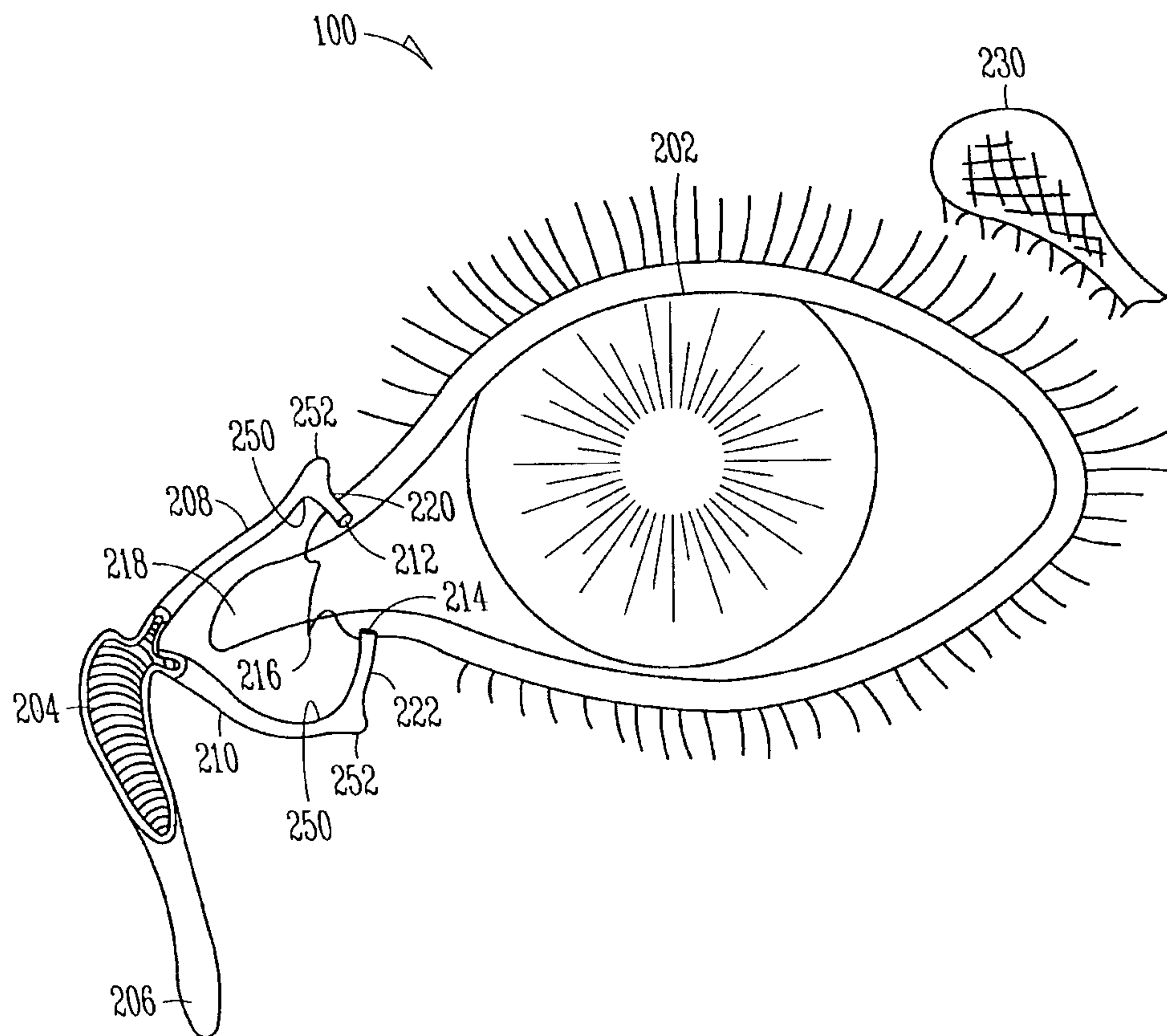


FIG. 2

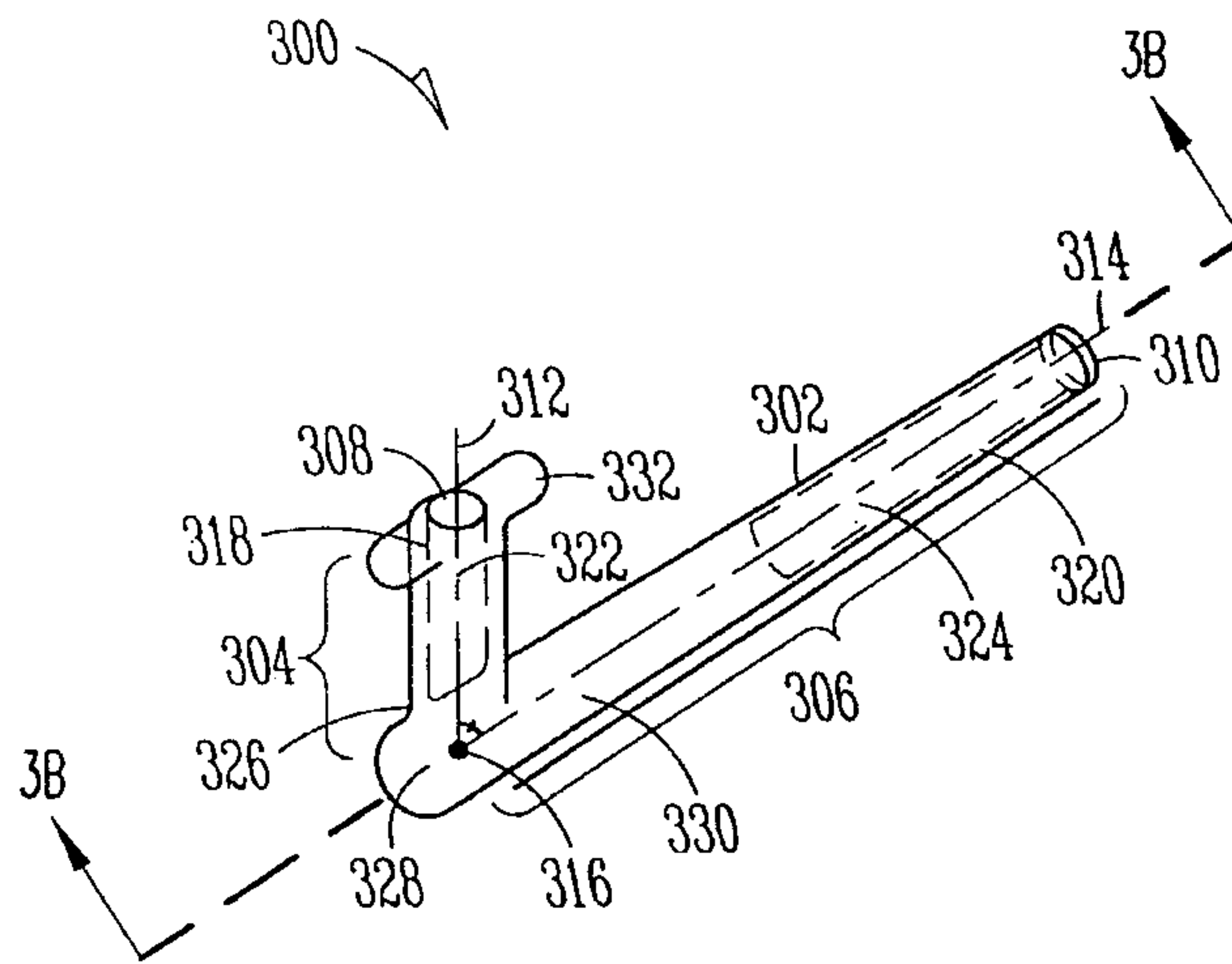
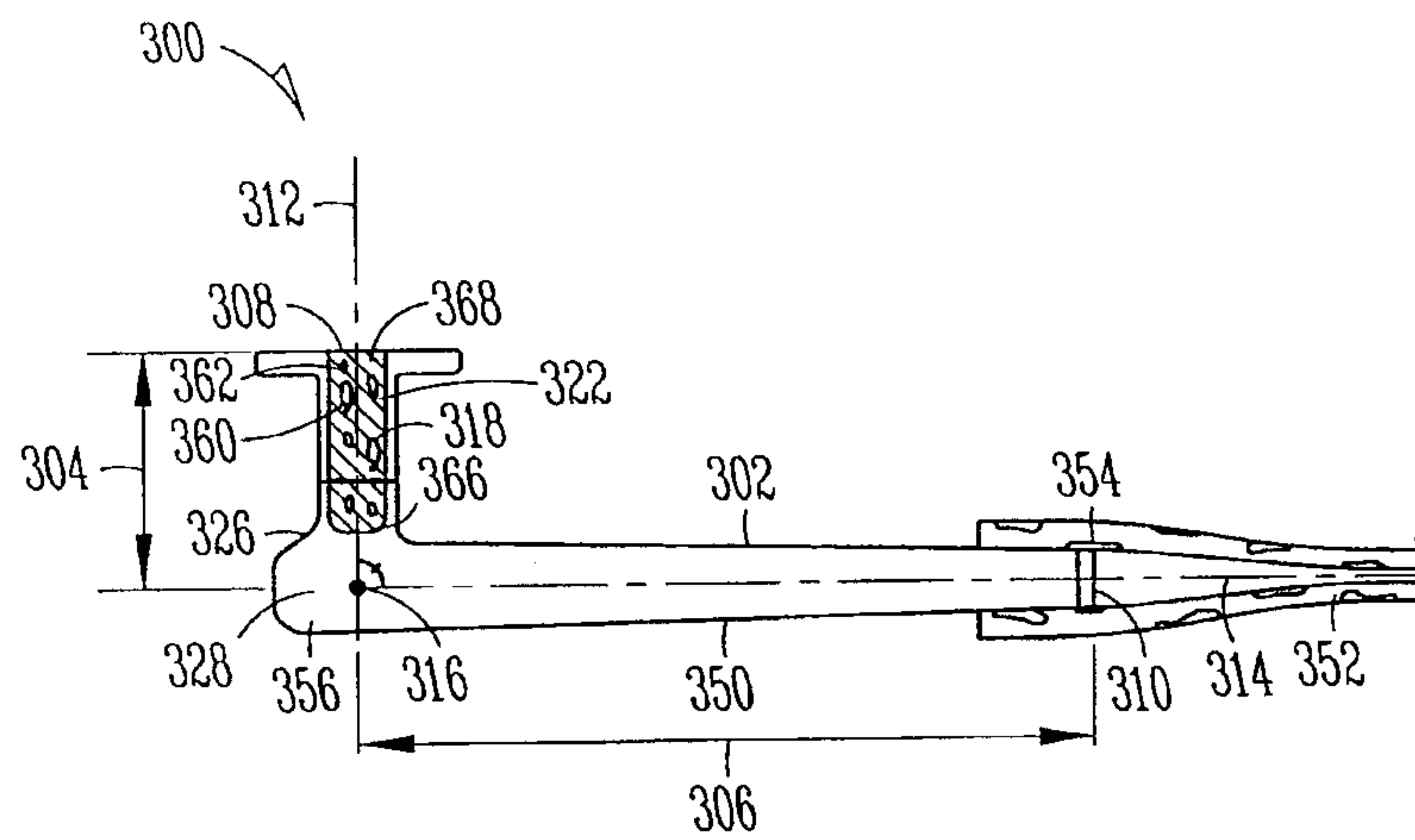


FIG. 3A



SECTION 3B-3B

FIG. 3B

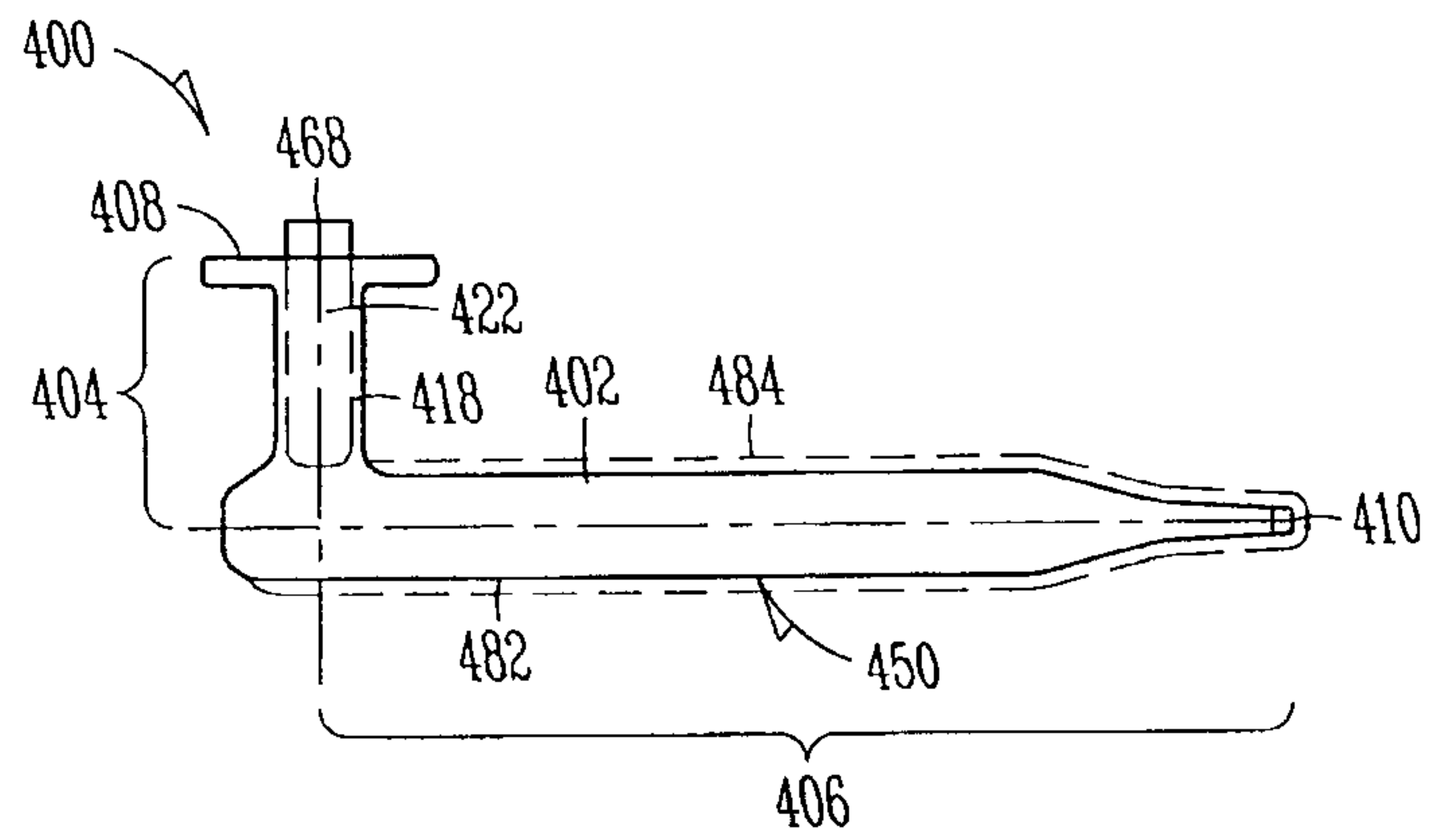


FIG. 4

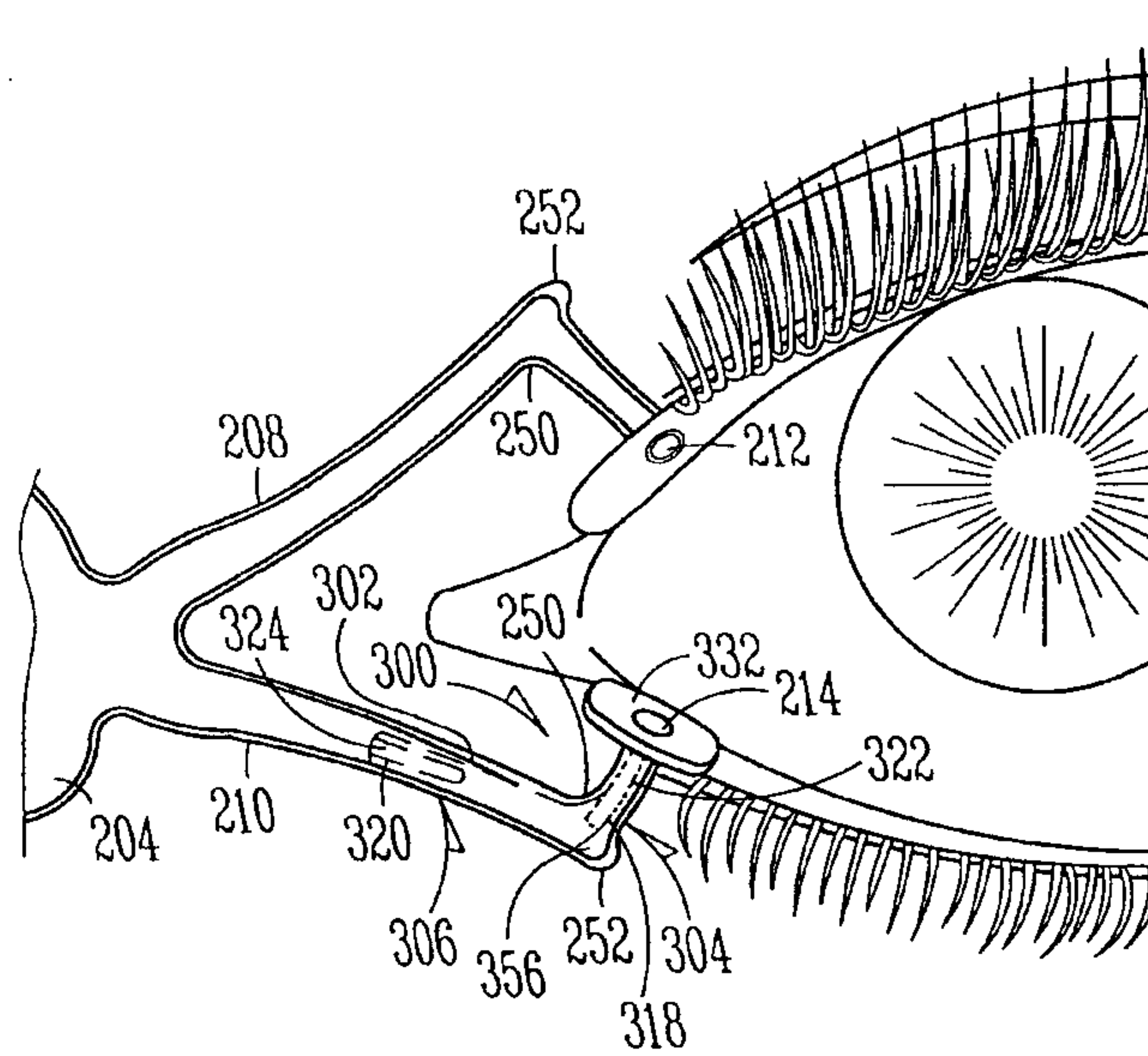
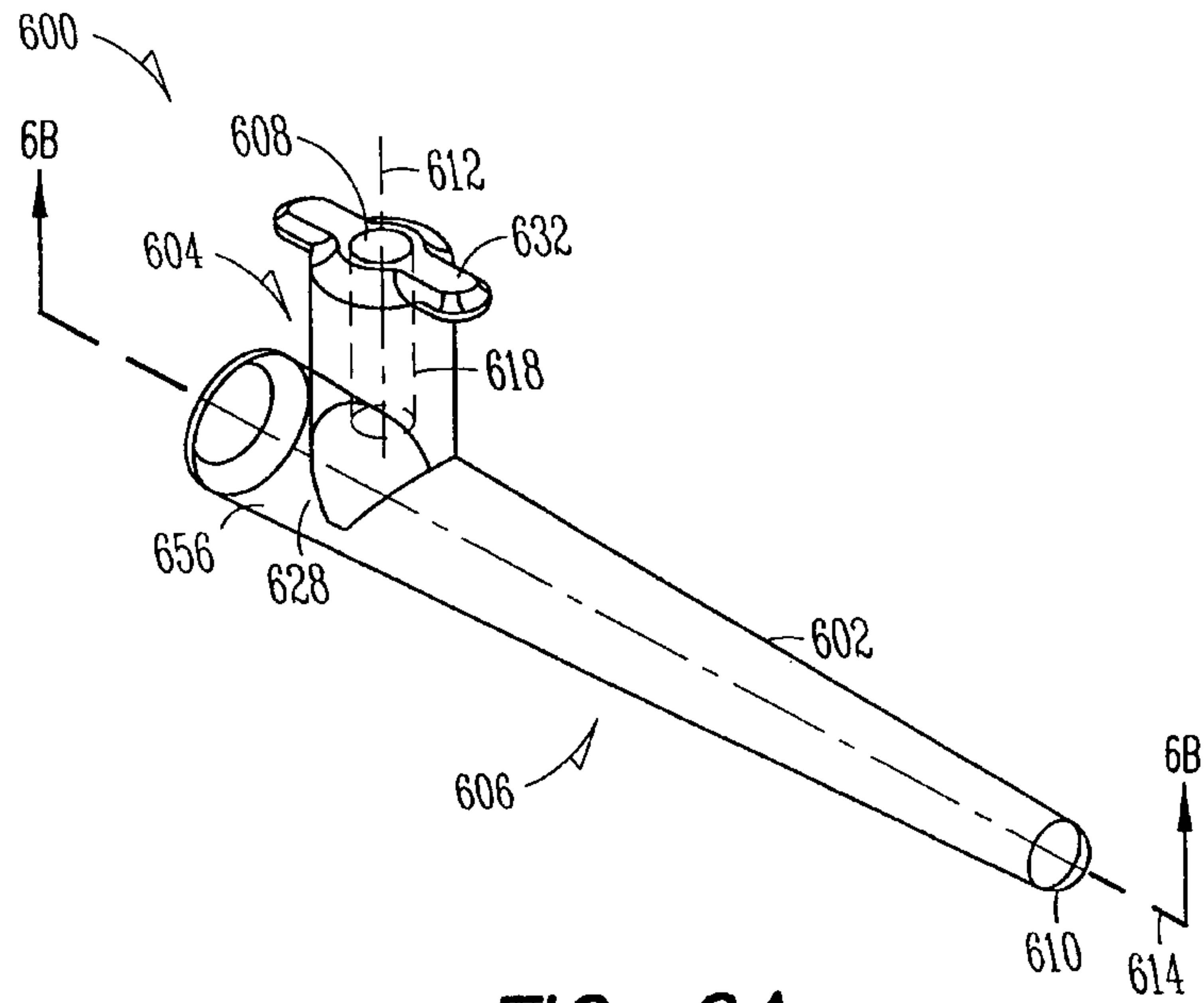
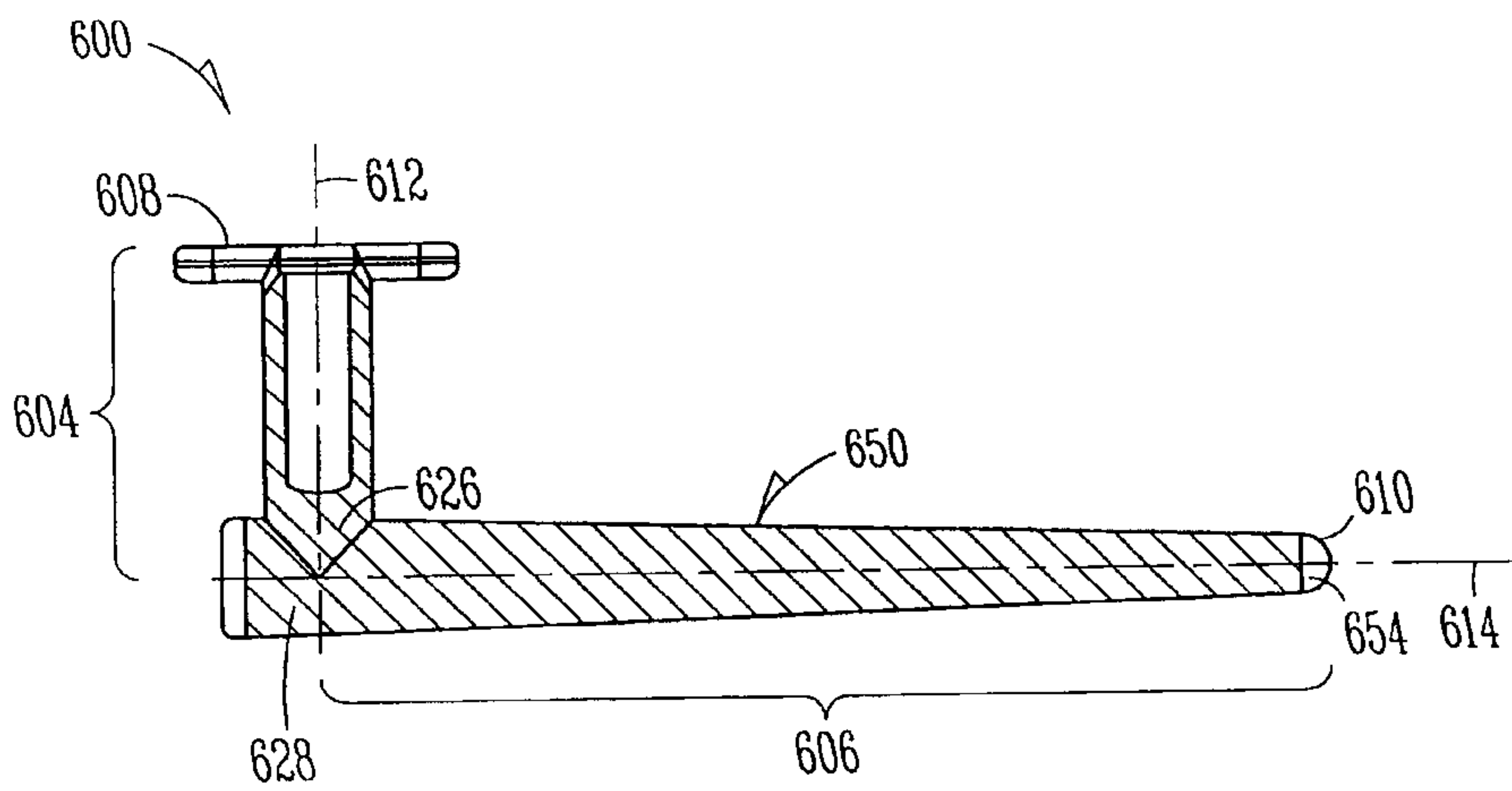


FIG. 5

**FIG. 6A**

SECTION 6B-6B

FIG. 6B

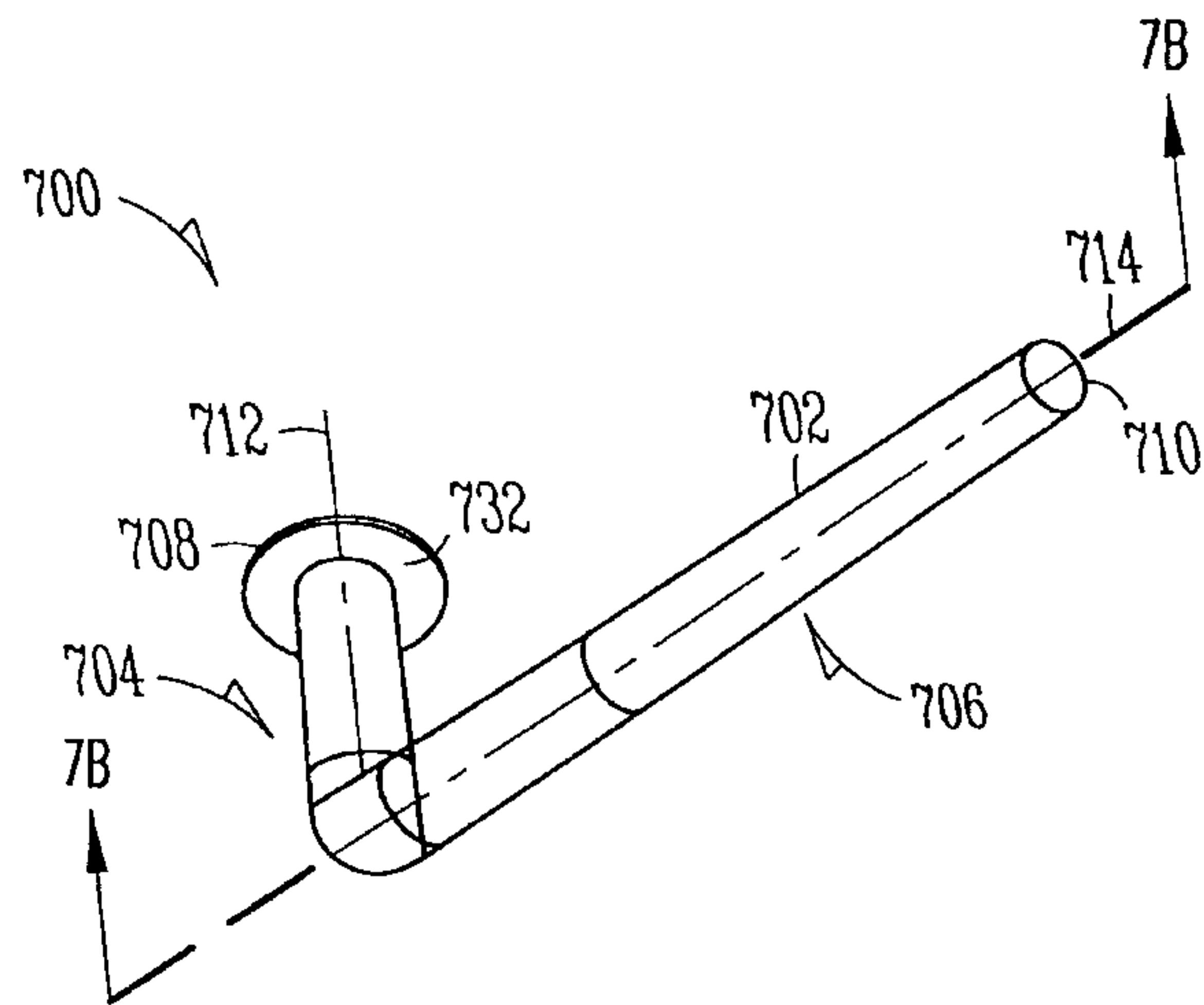


FIG. 7A

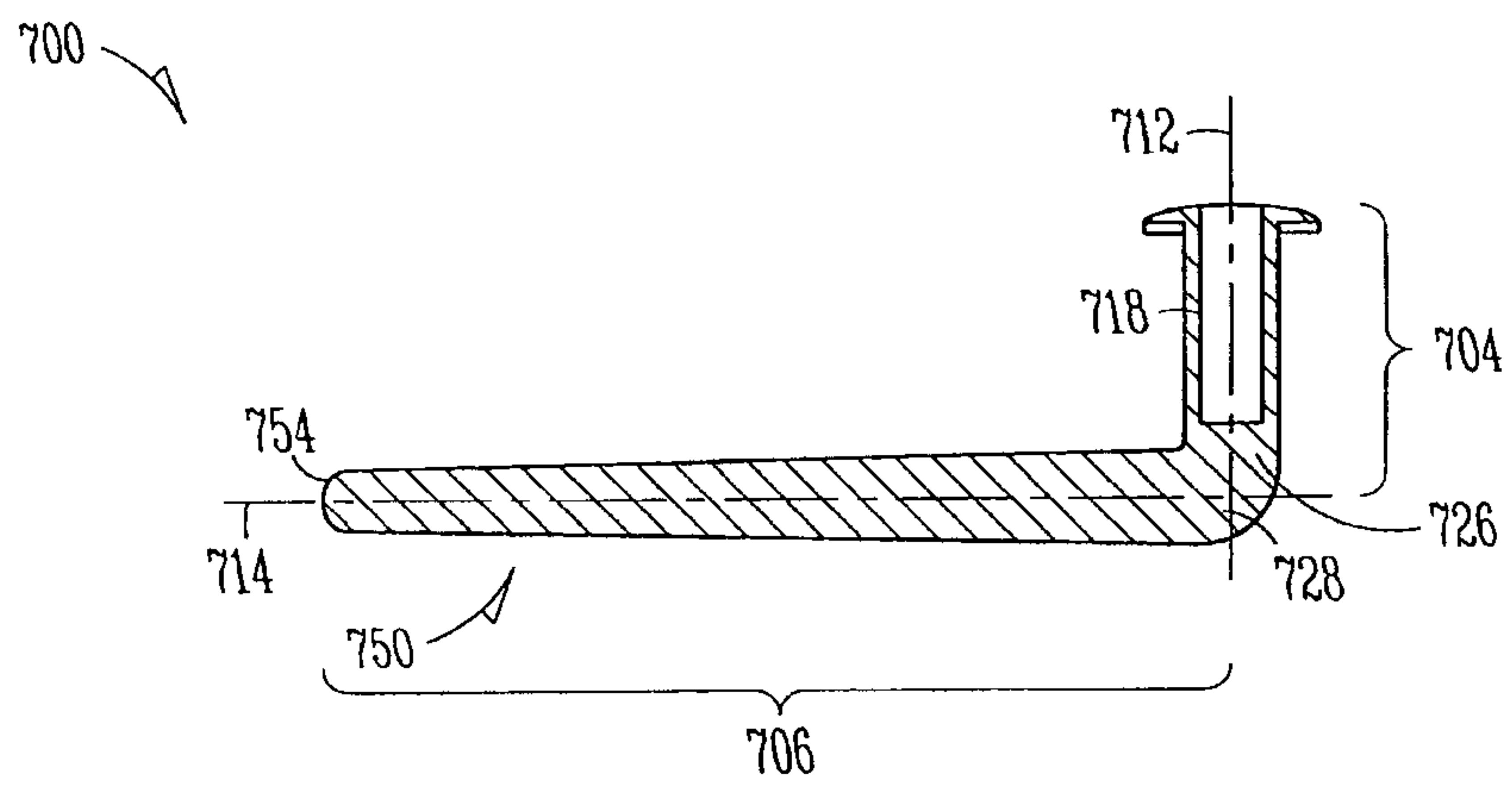


FIG. 7B

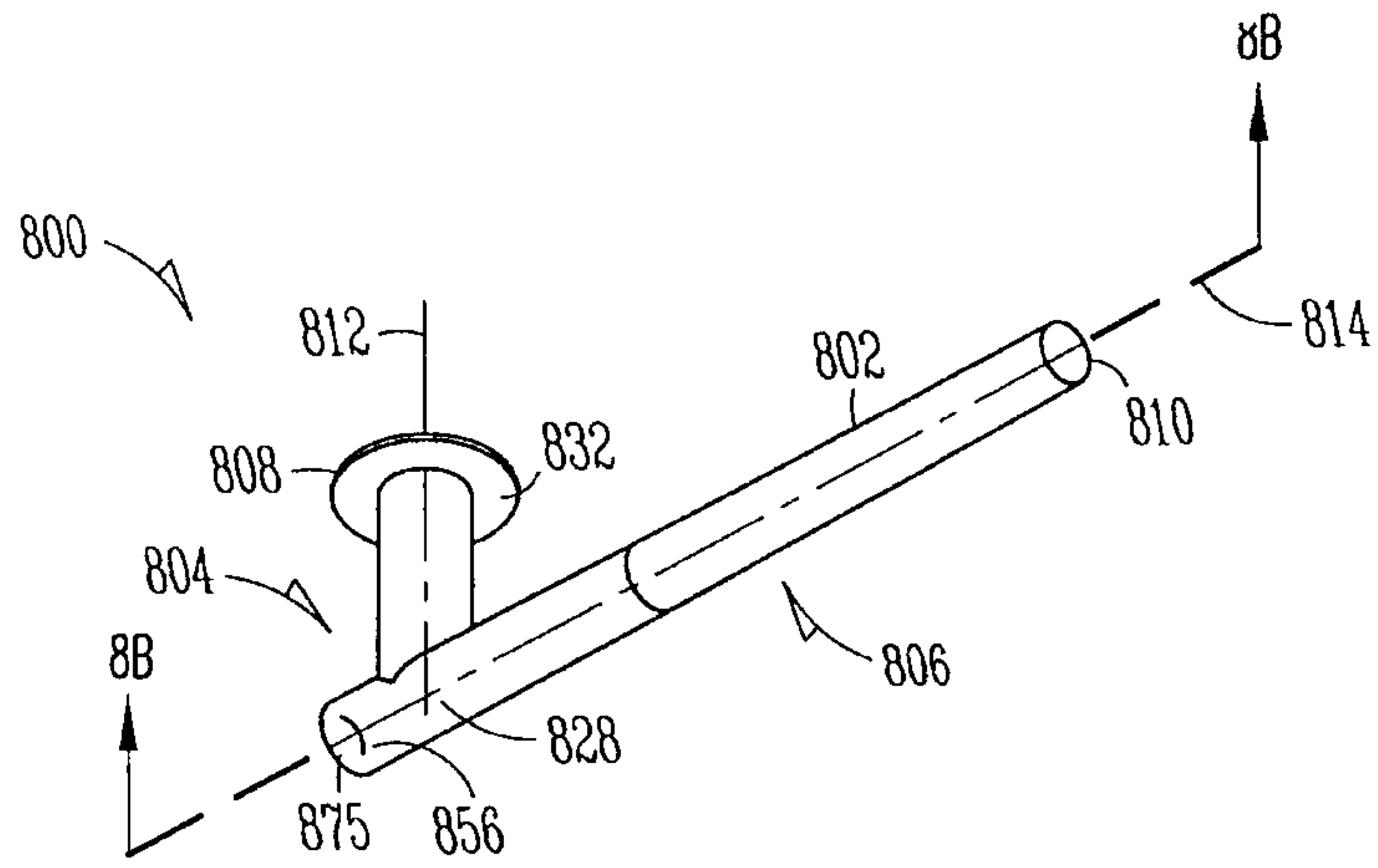
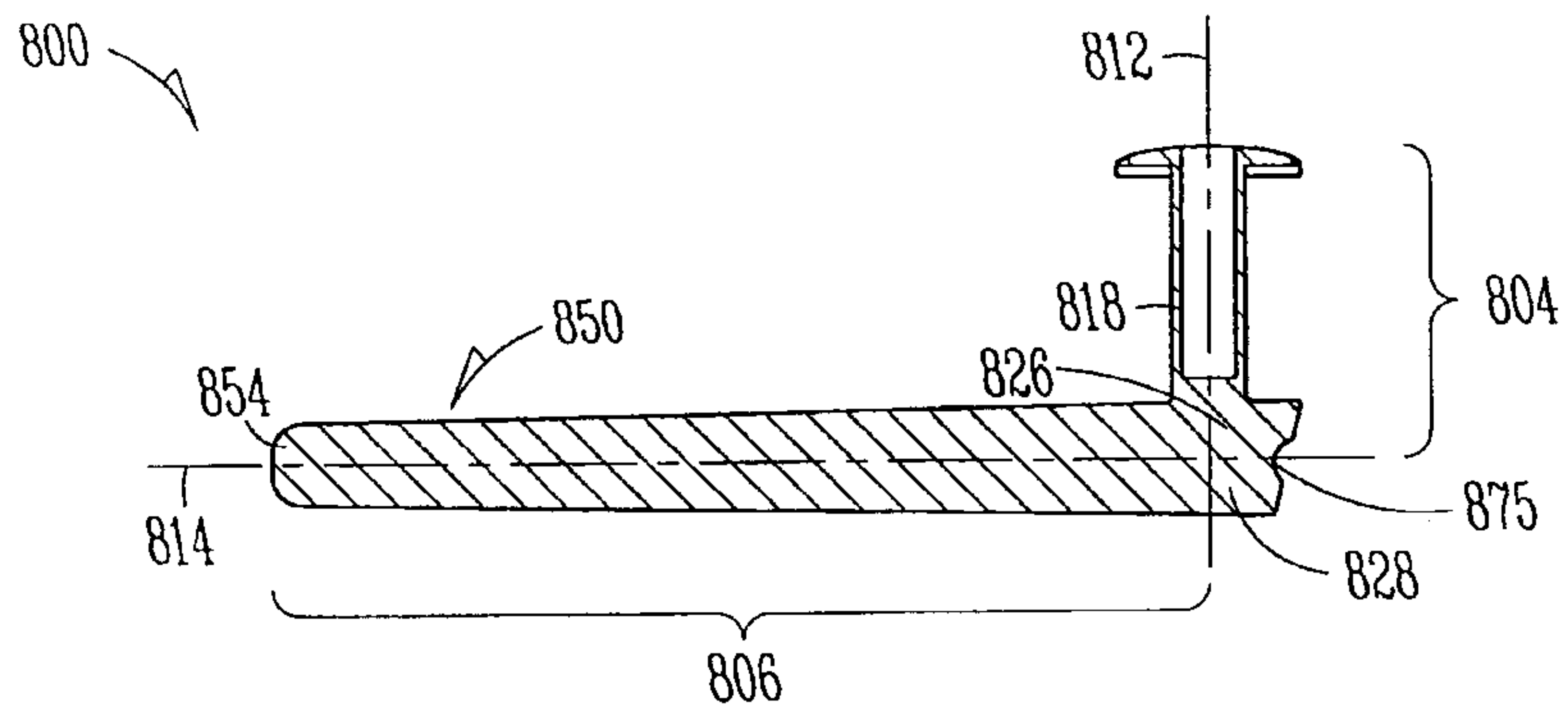


FIG. 8A



SECTION 8B-8B

FIG. 8B

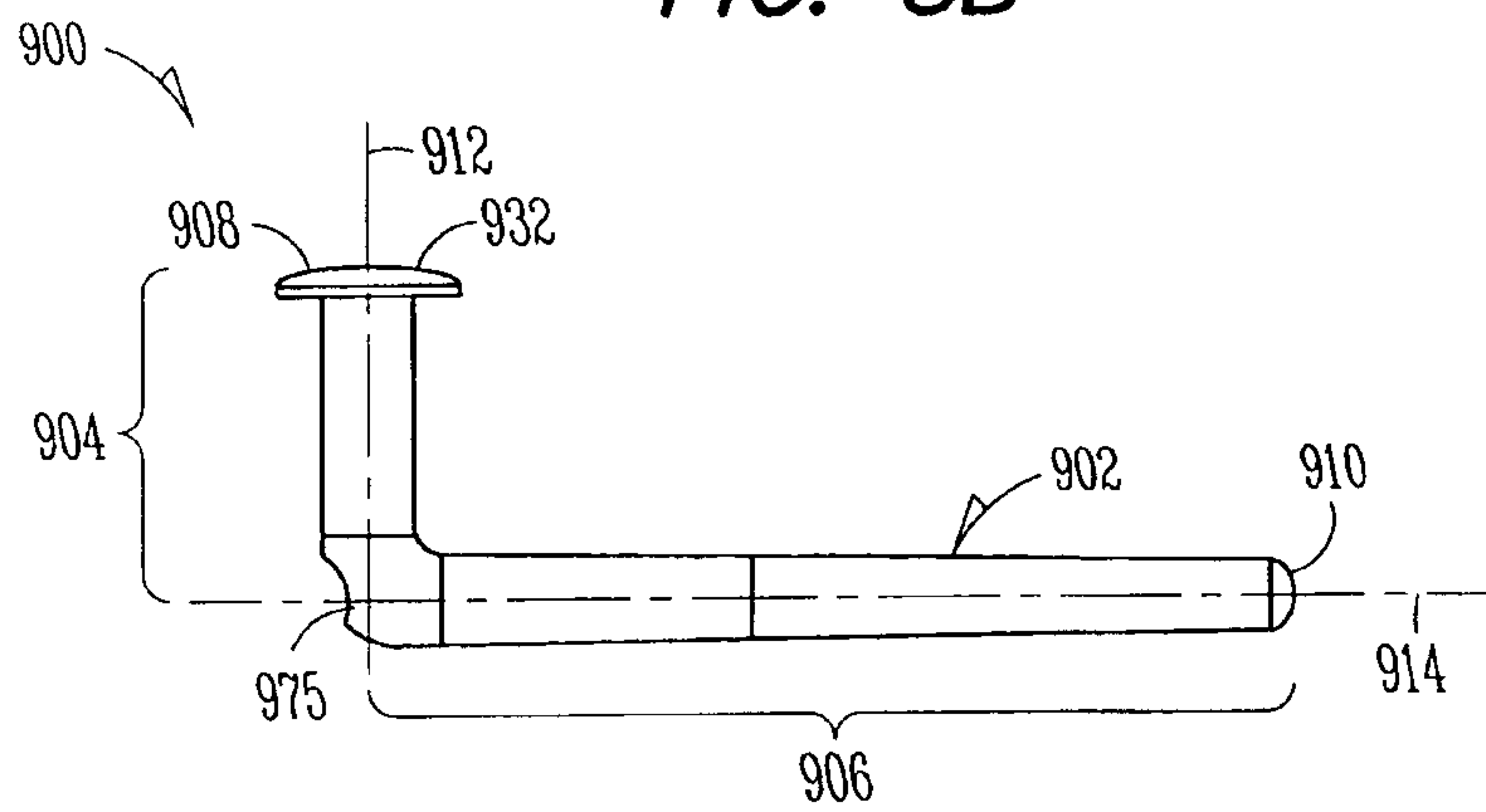
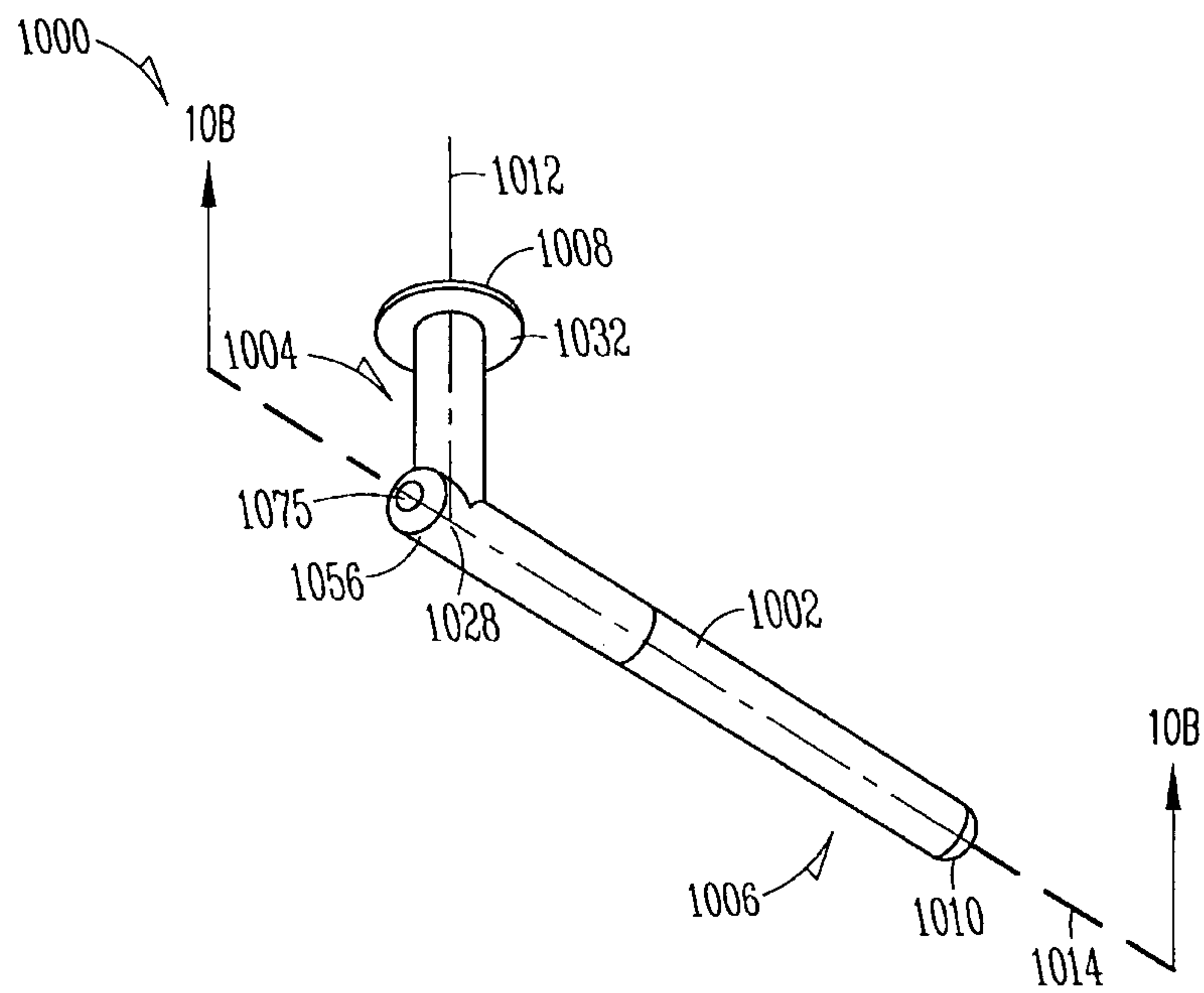
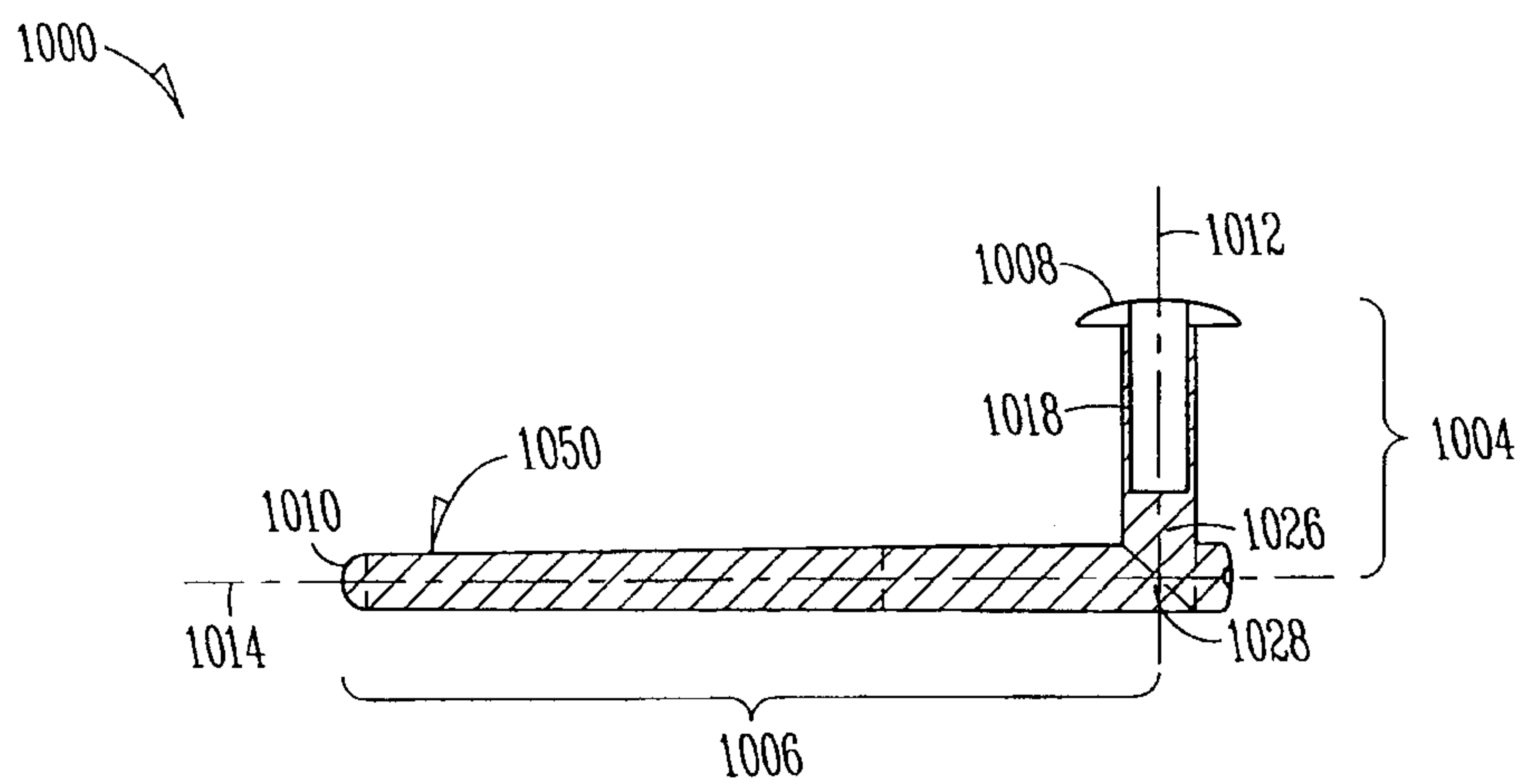


FIG. 9

**FIG. 10A**

SECTION 10B-10B

FIG. 10B

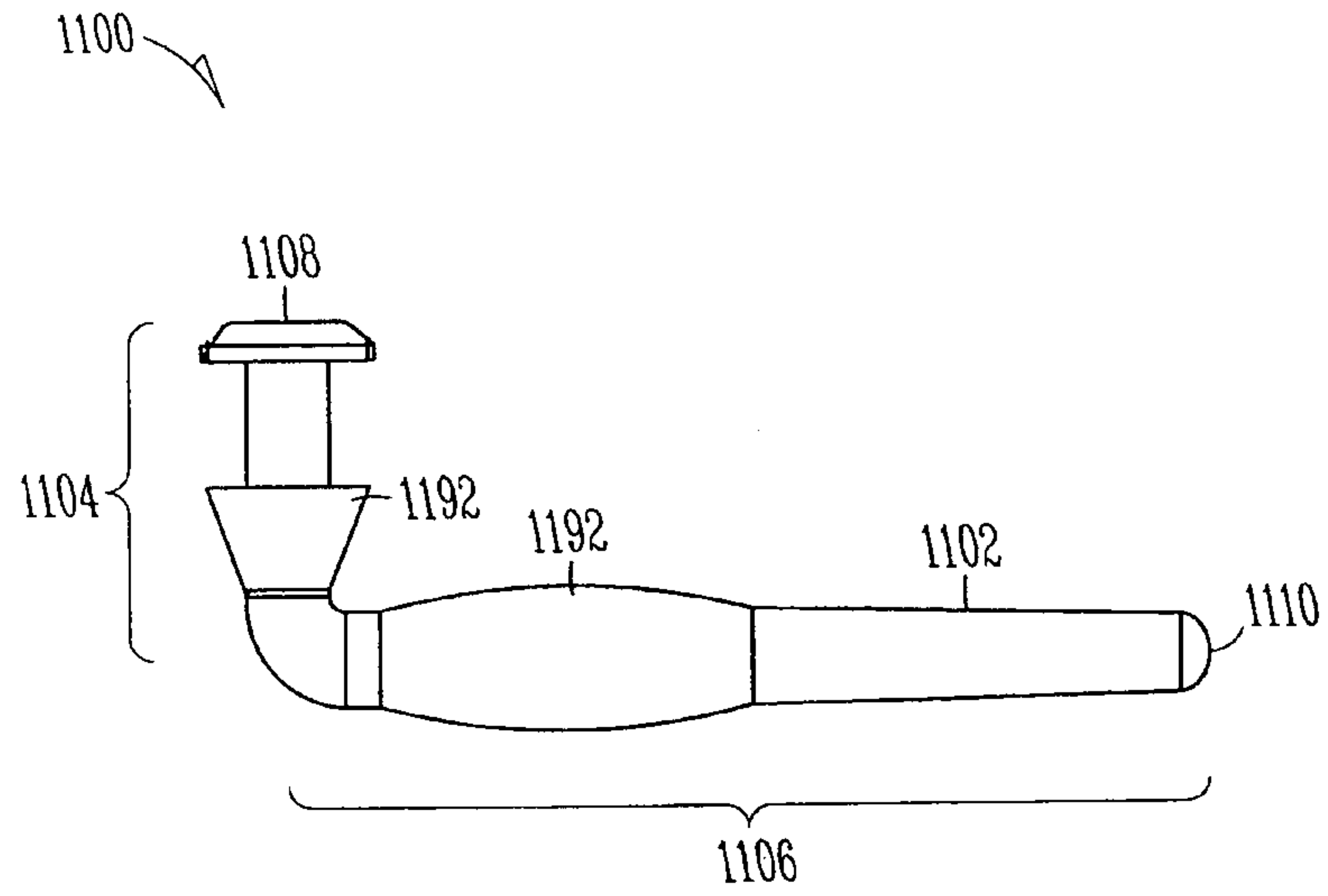


FIG. 11

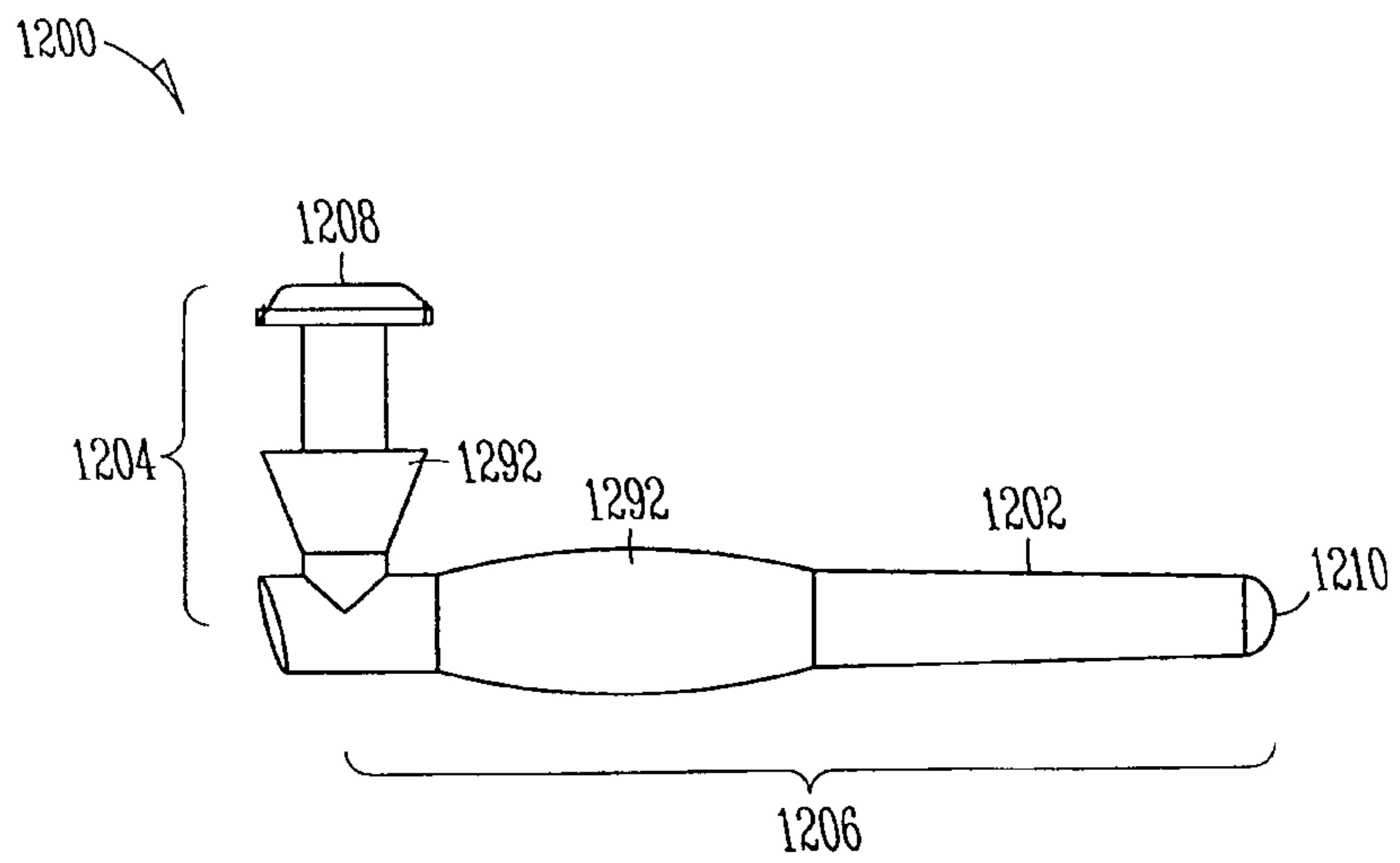


FIG. 12

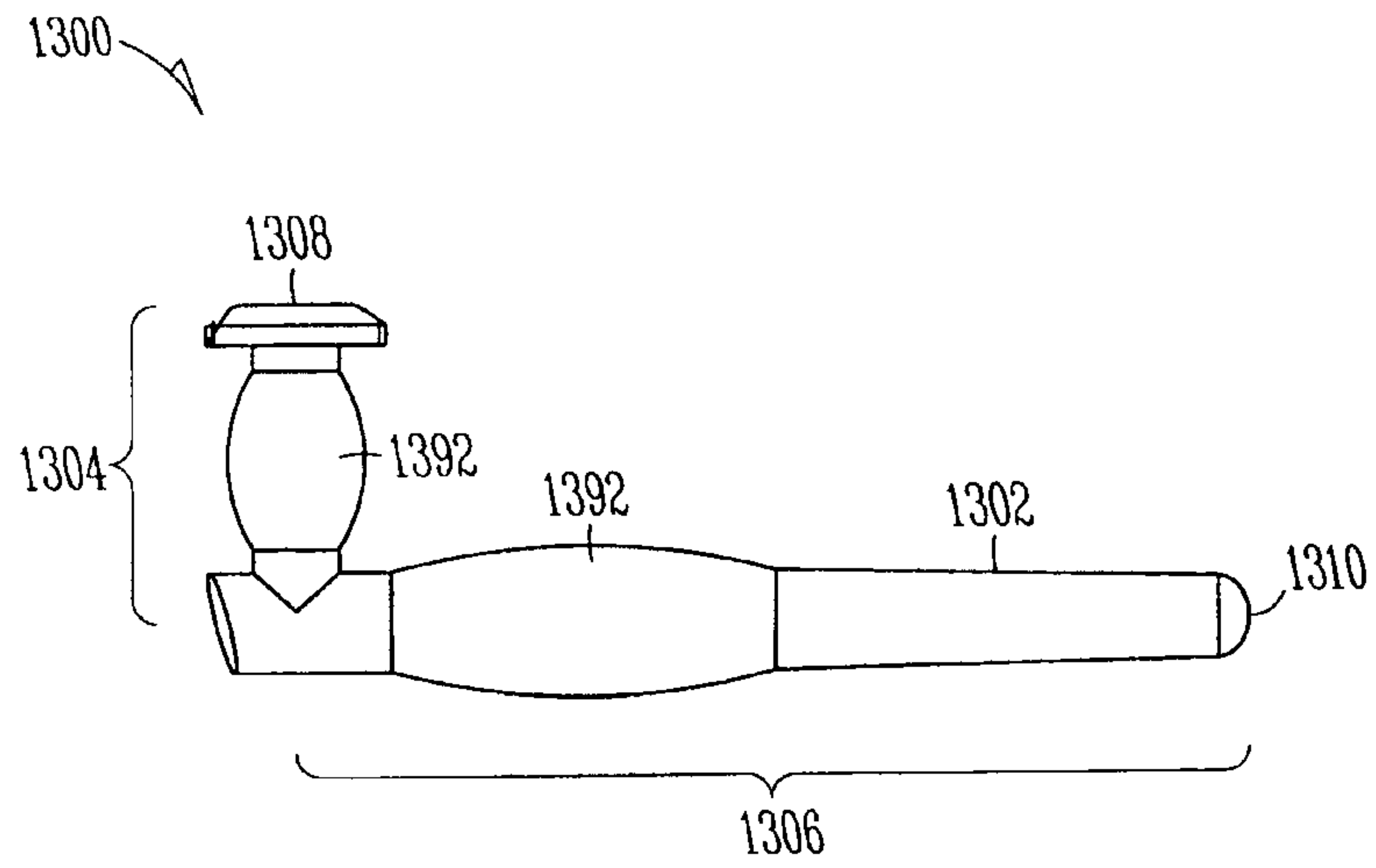


FIG. 13

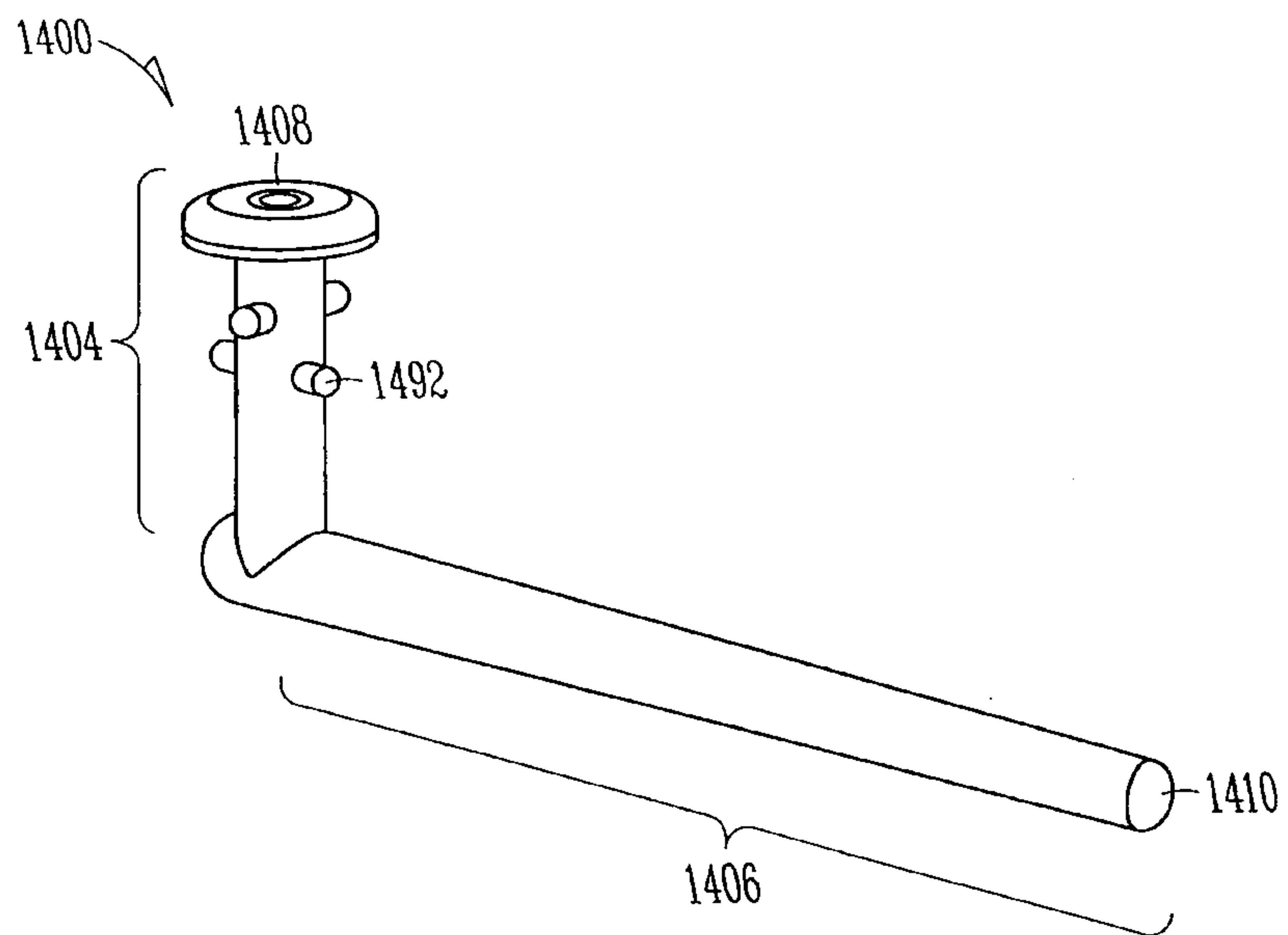


FIG. 14

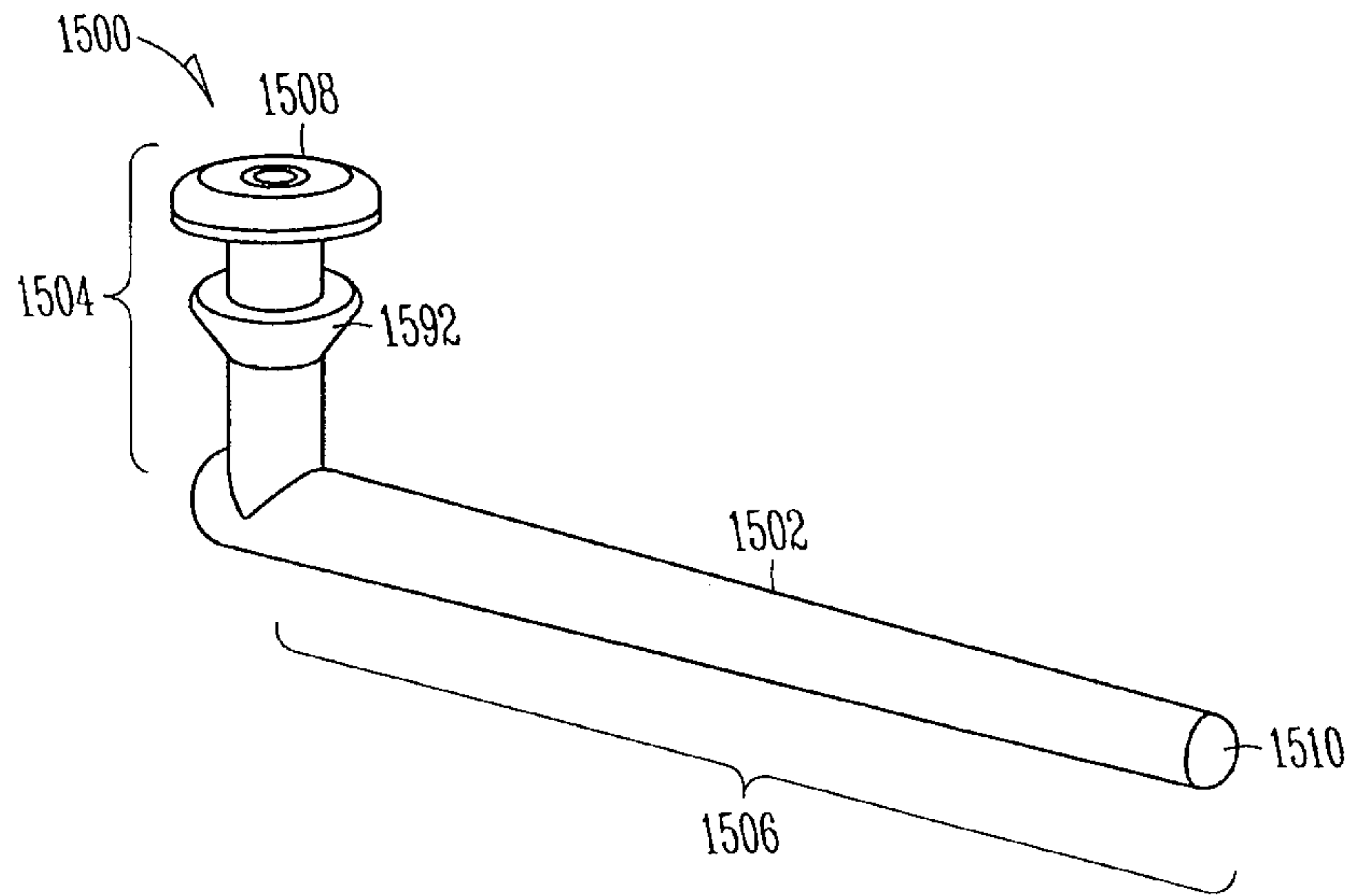


FIG. 15

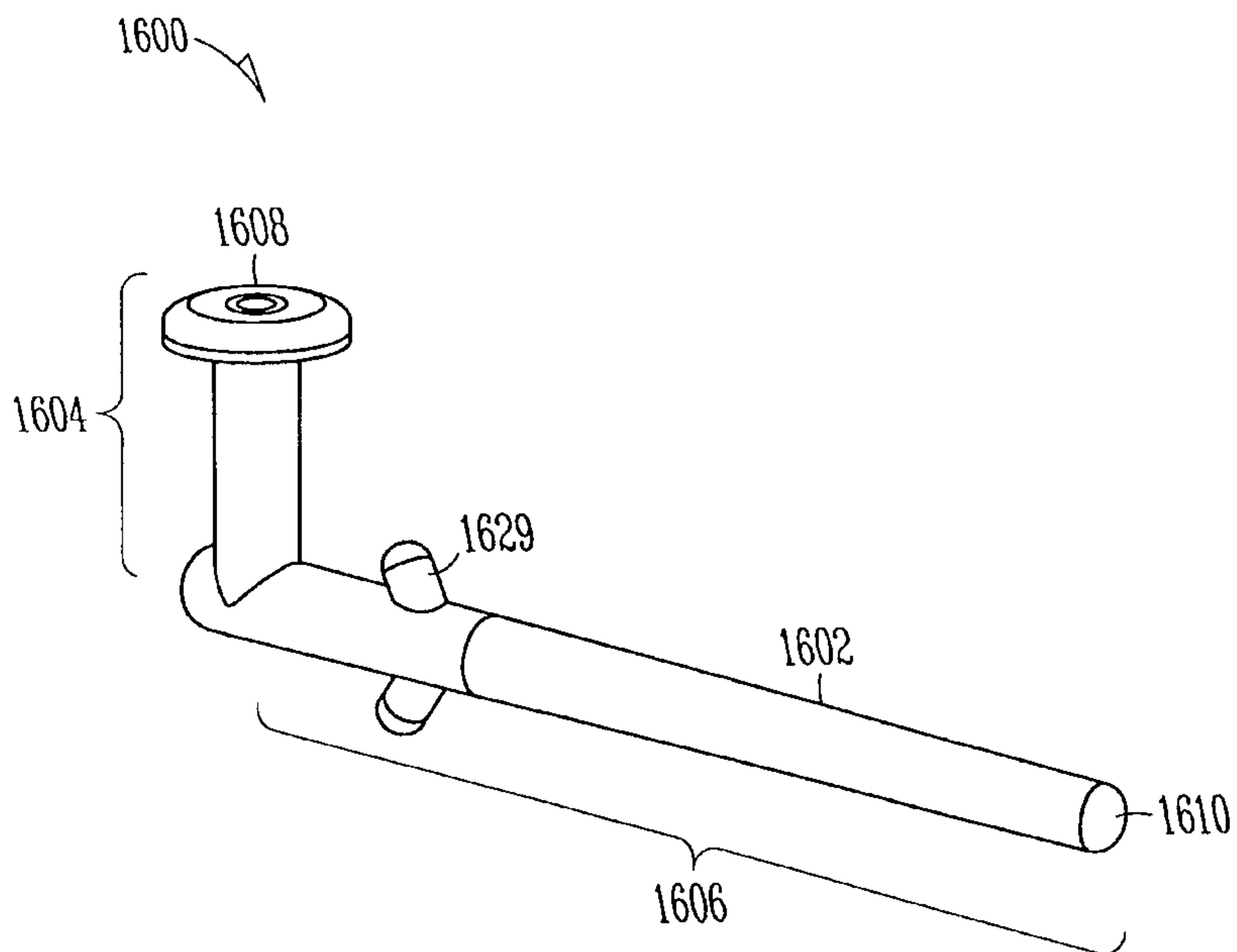


FIG. 16

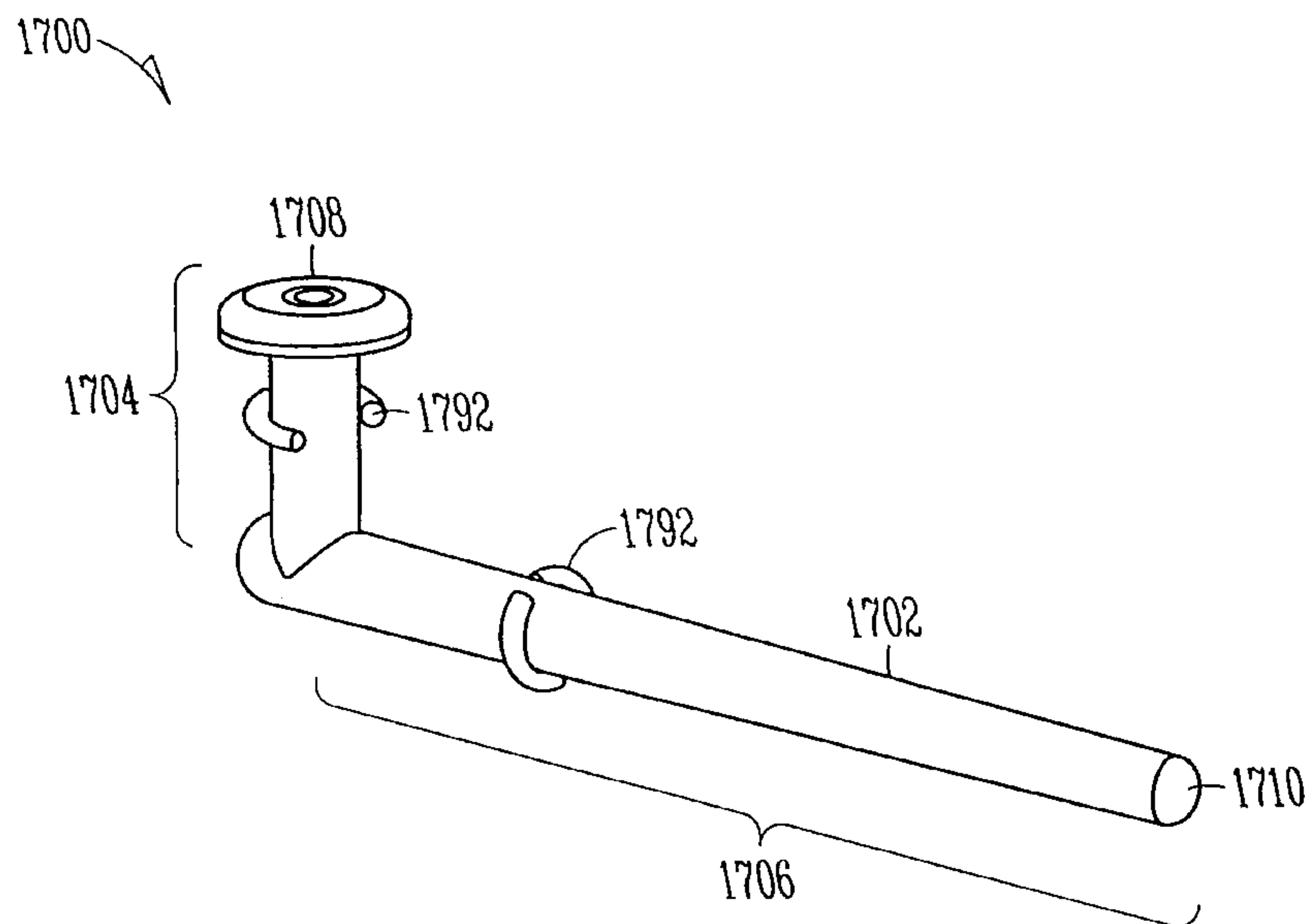


FIG. 17

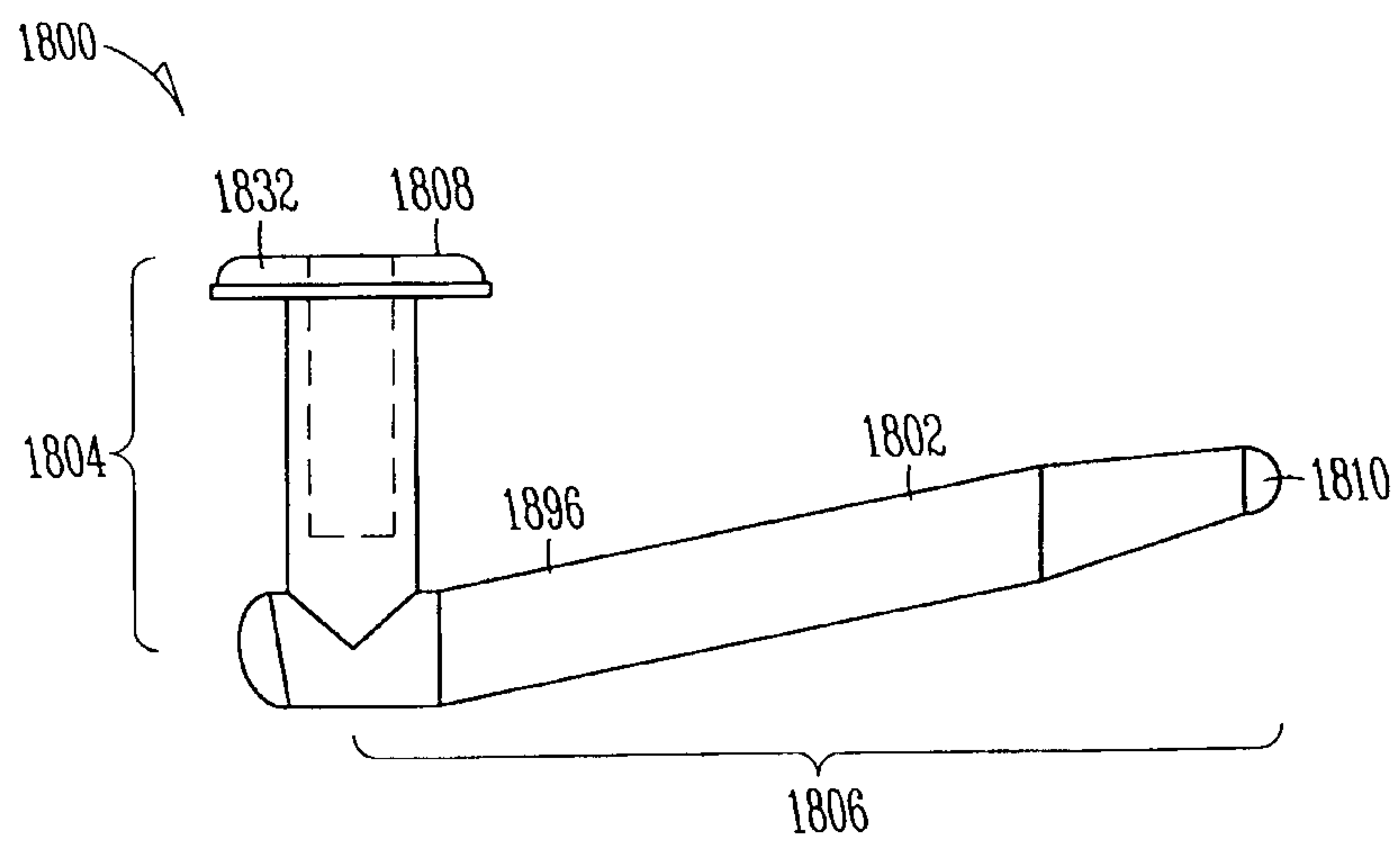


FIG. 18

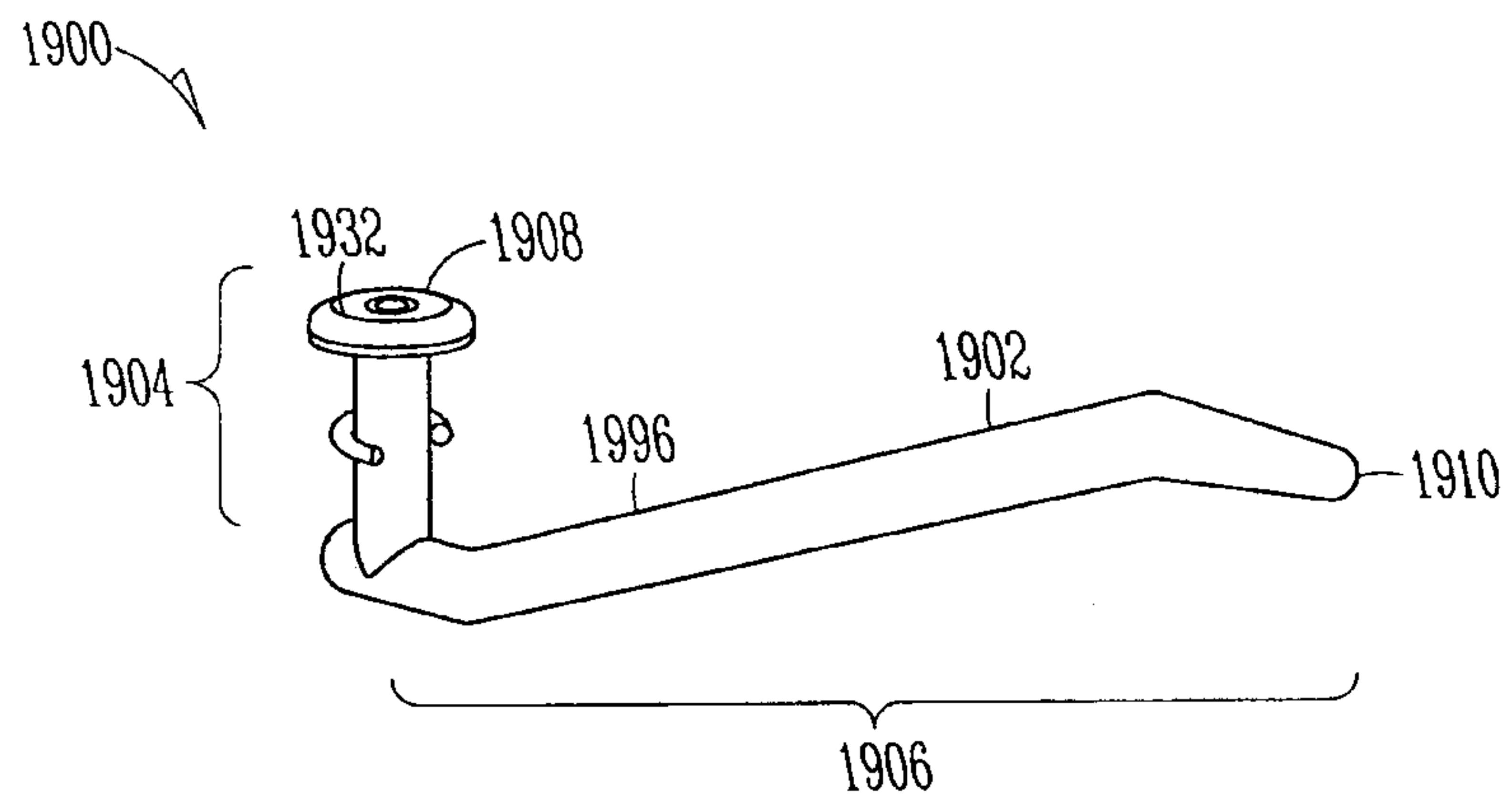


FIG. 19

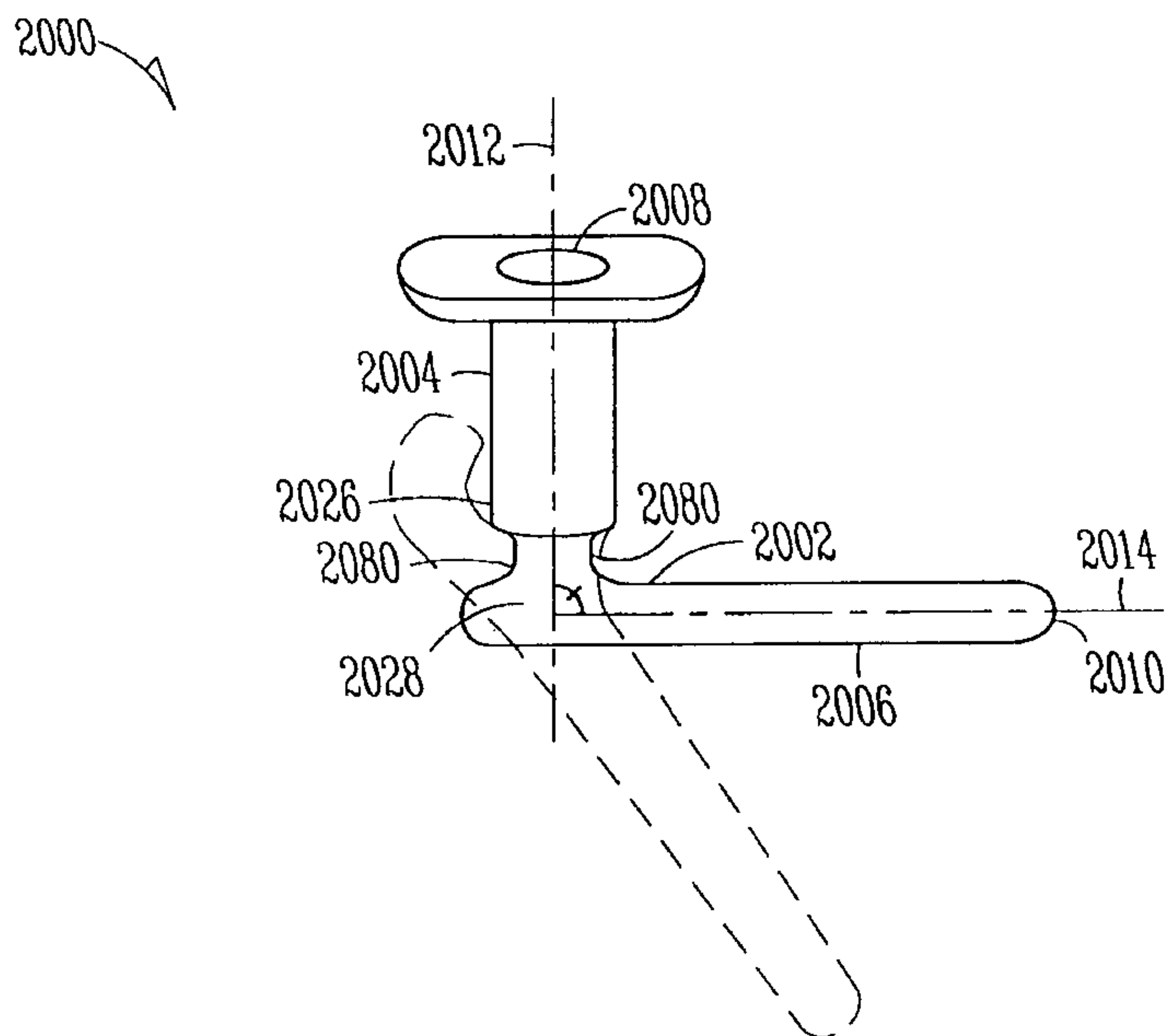


FIG. 20

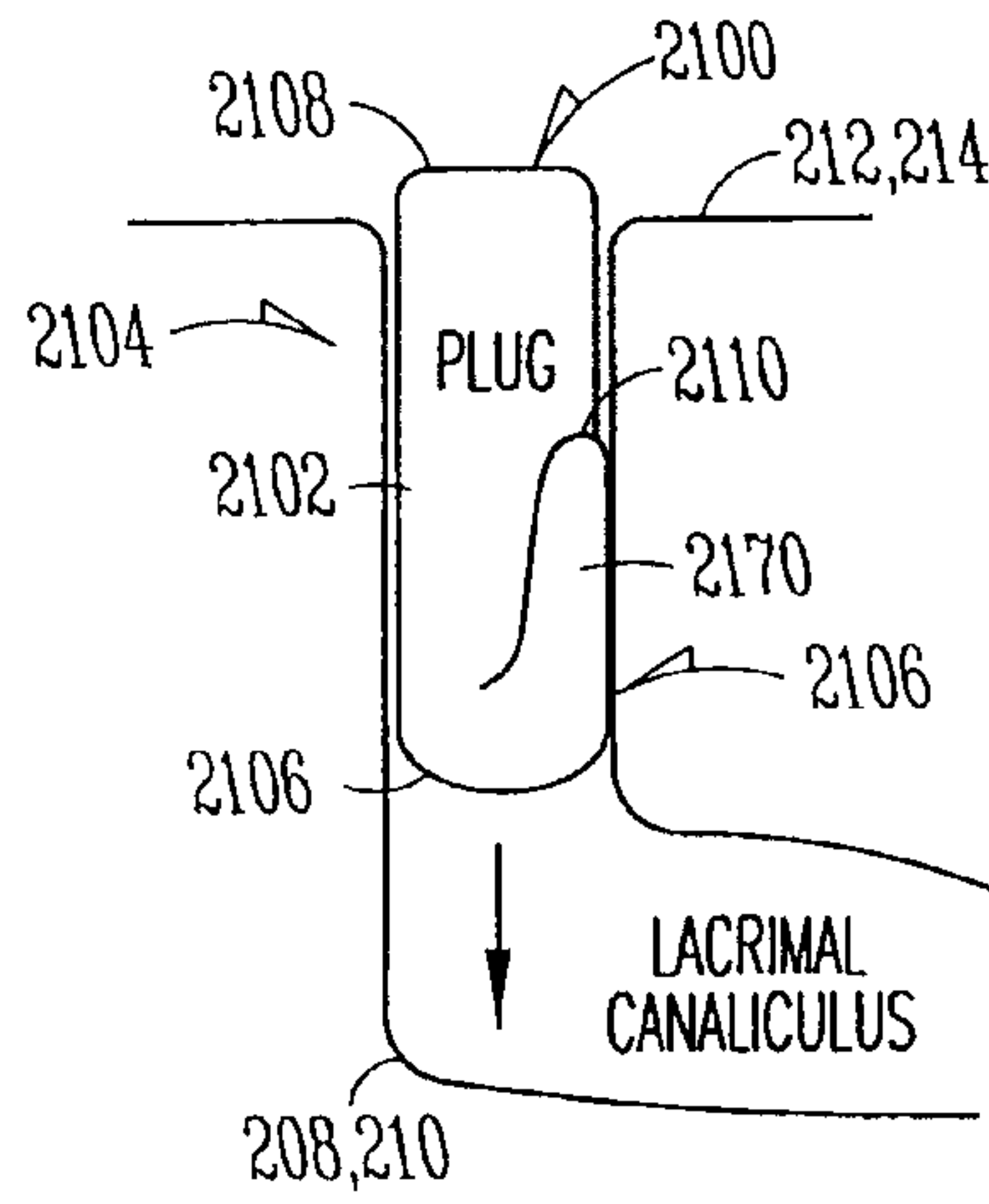


FIG. 21A

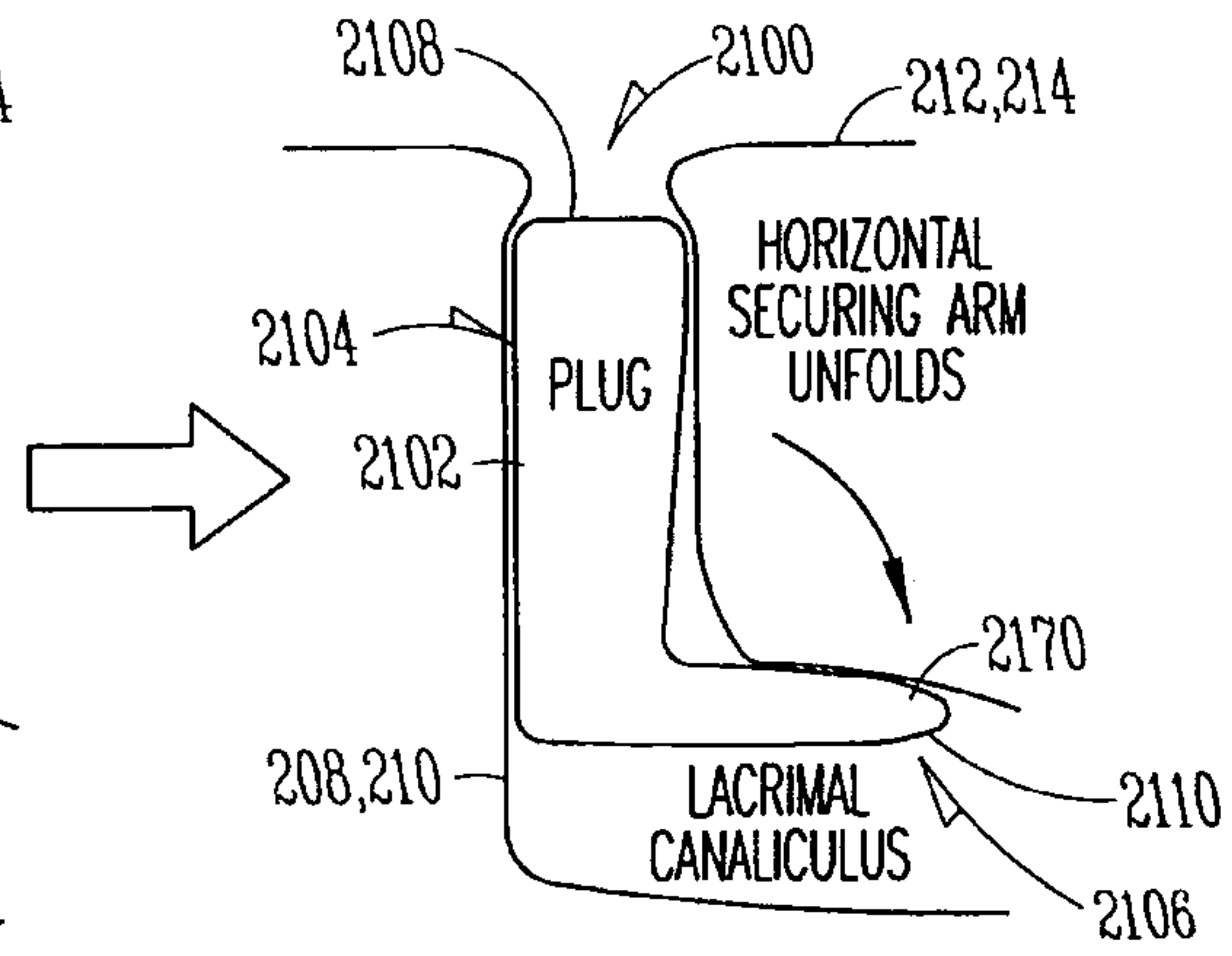


FIG. 21B

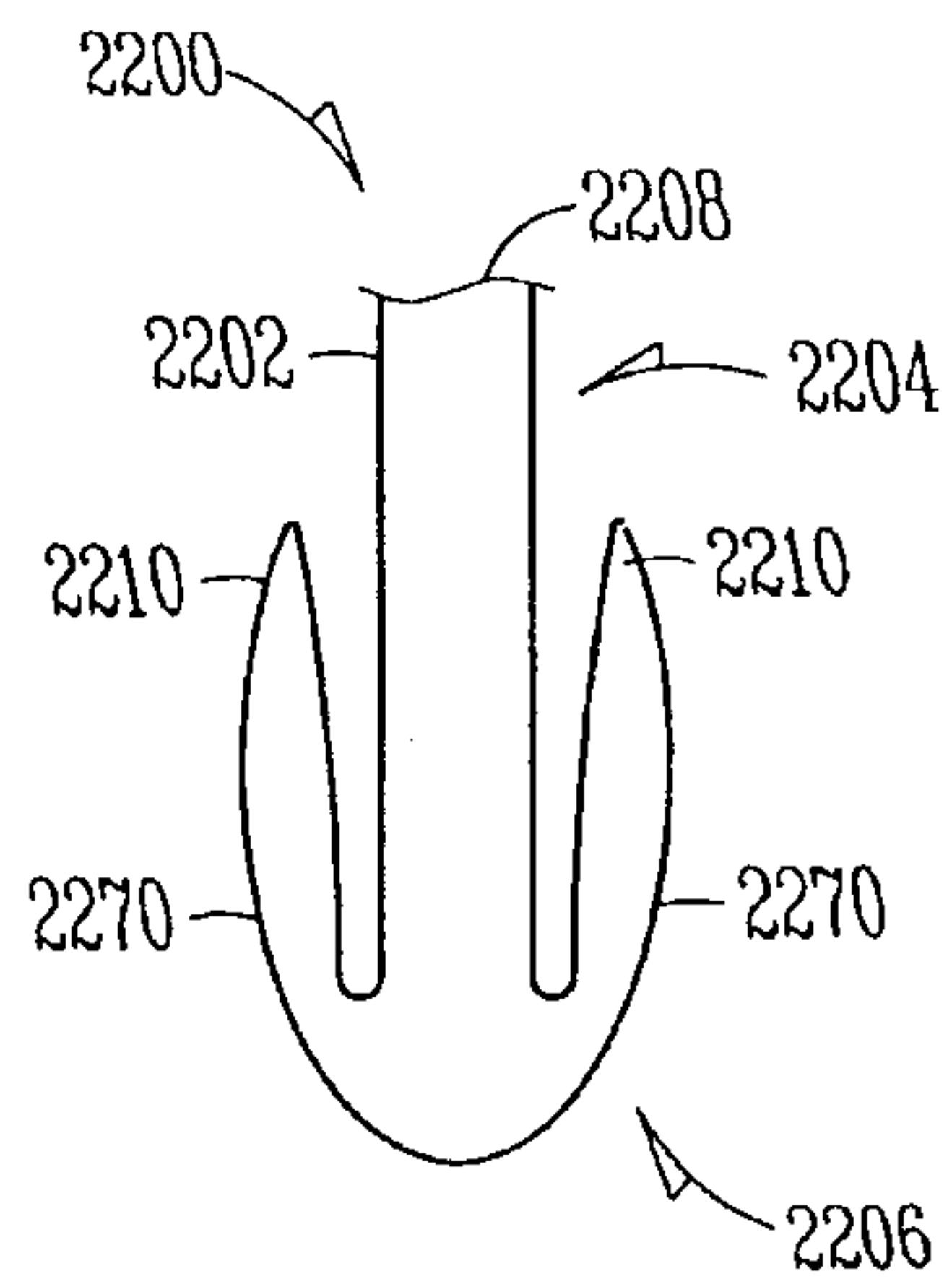


FIG. 22A

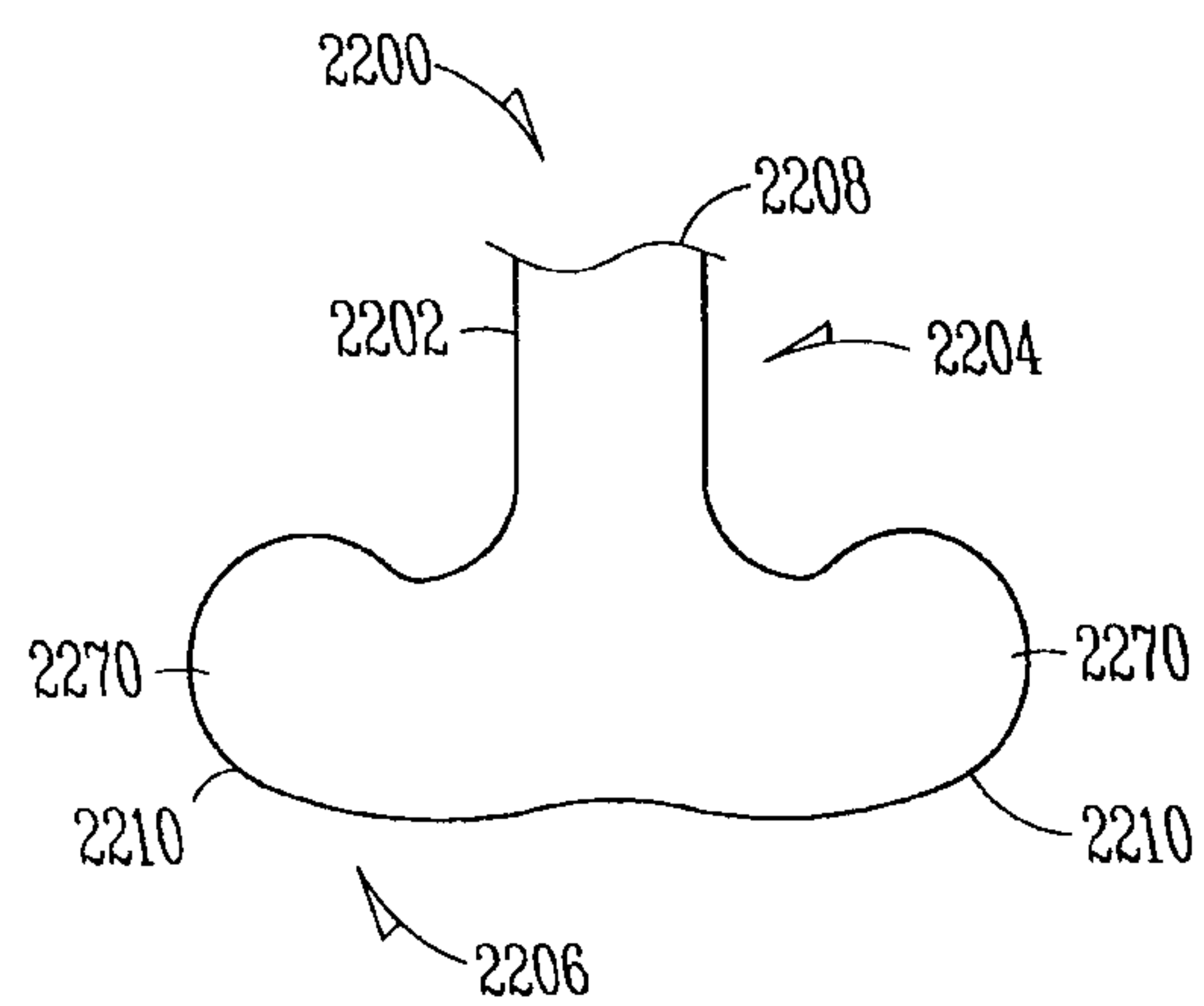


FIG. 22B

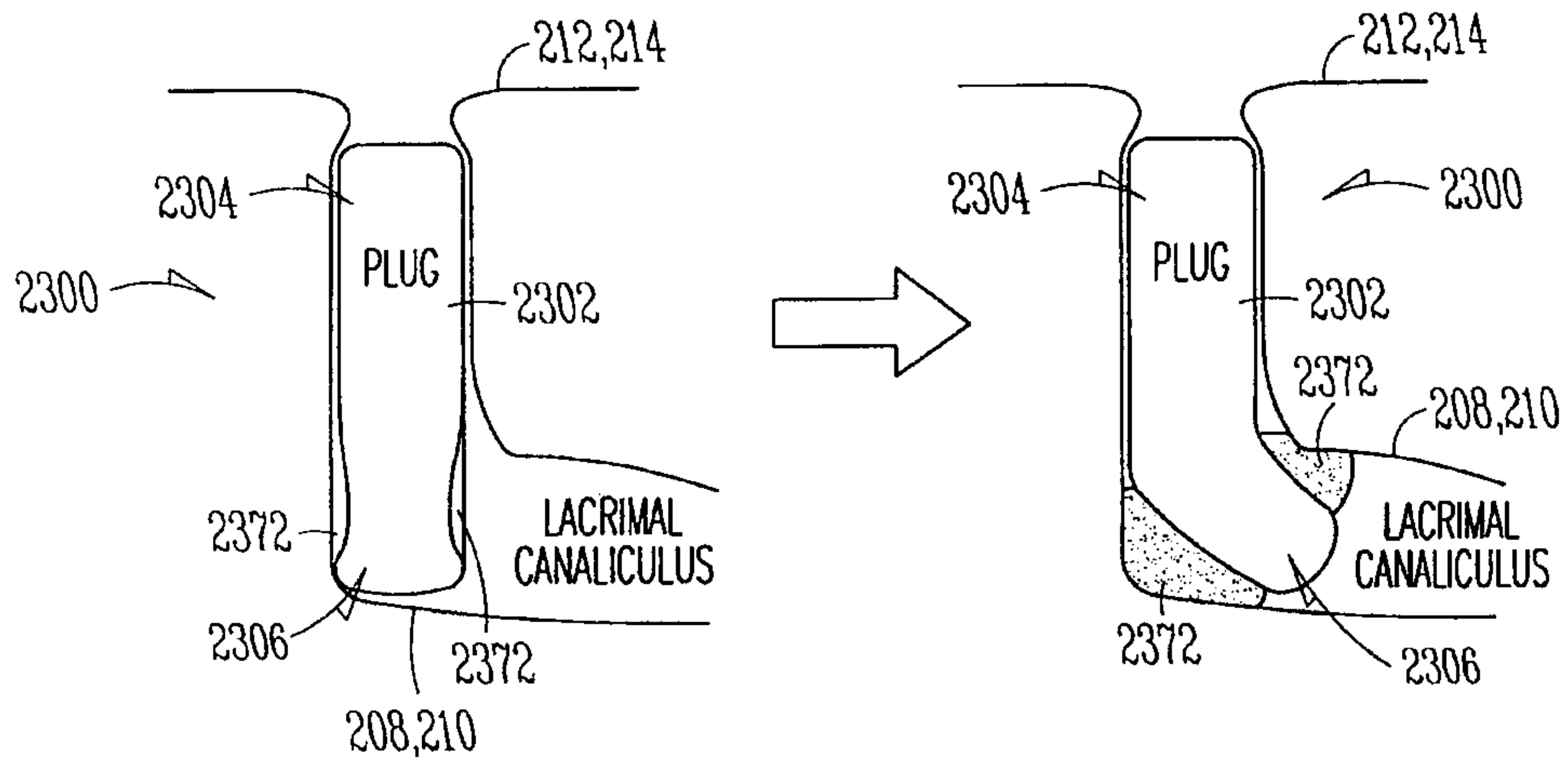


FIG. 23A

FIG. 23B

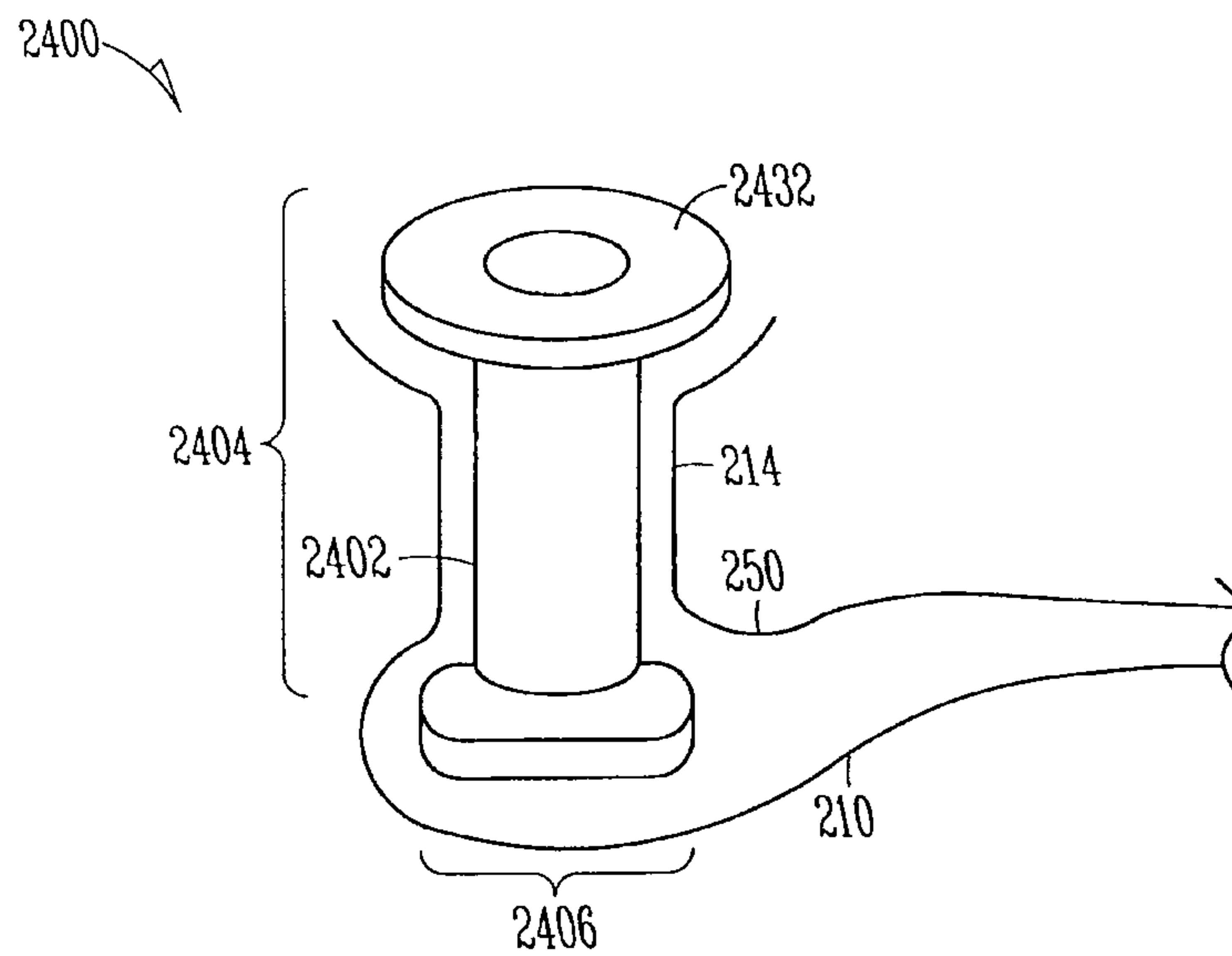


FIG. 24

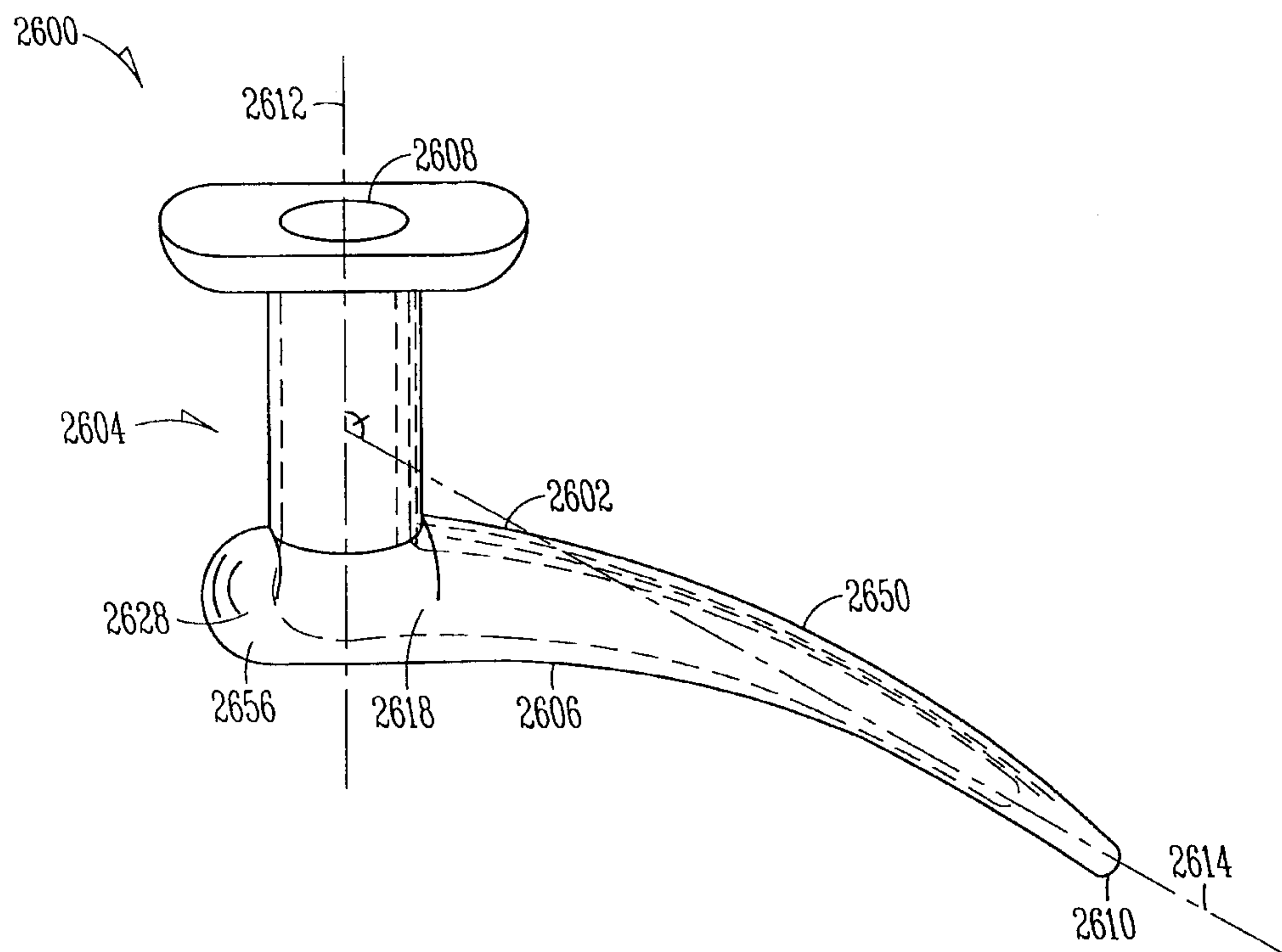


FIG. 26

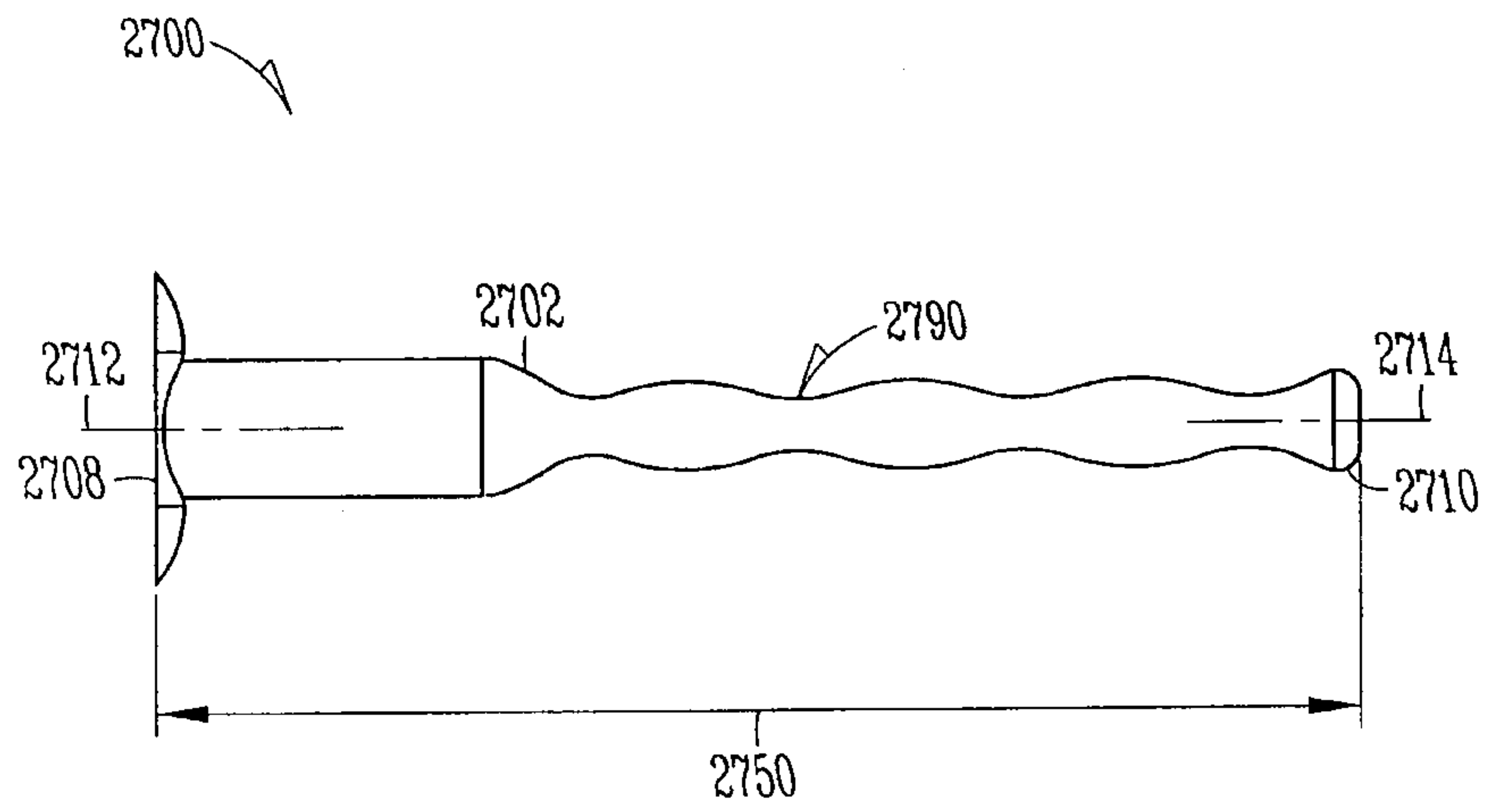


FIG. 27

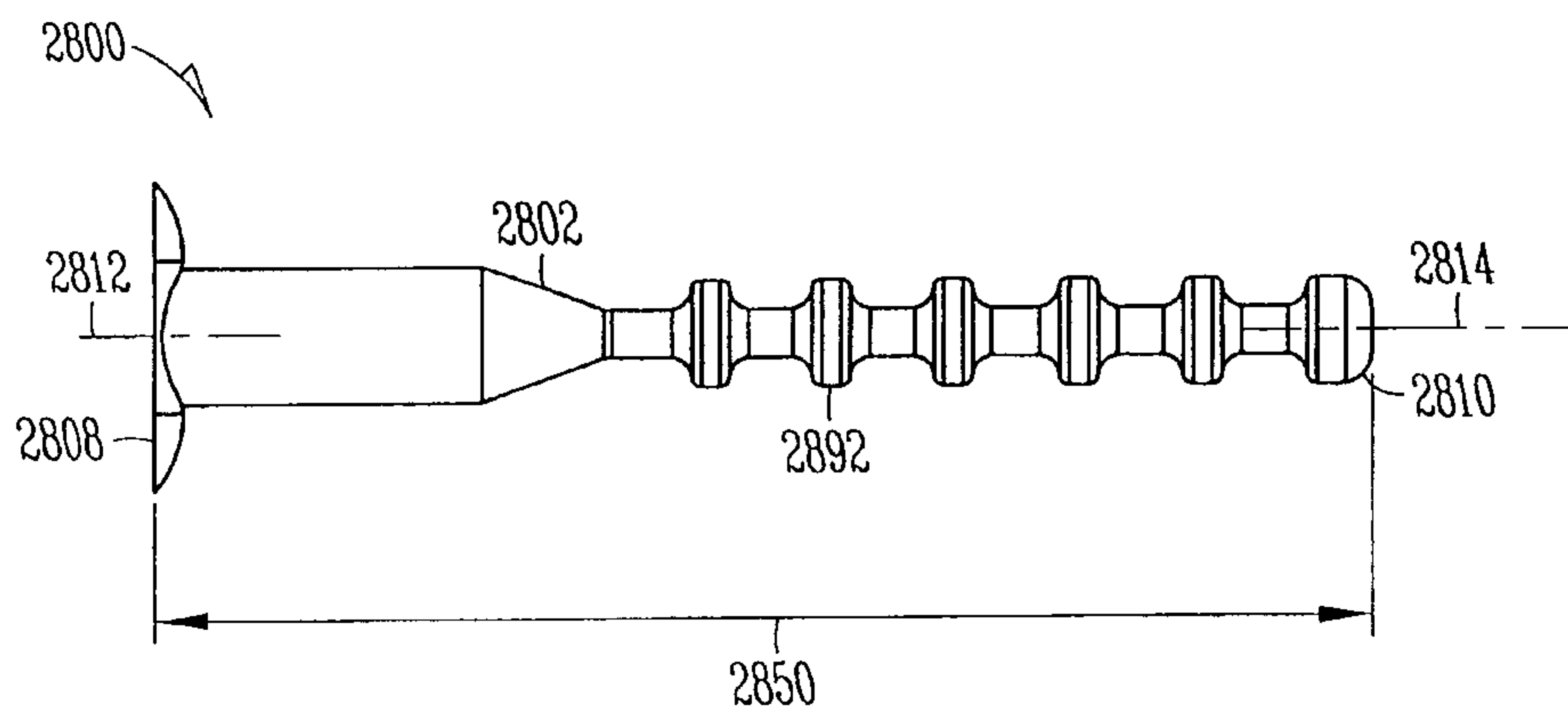


FIG. 28

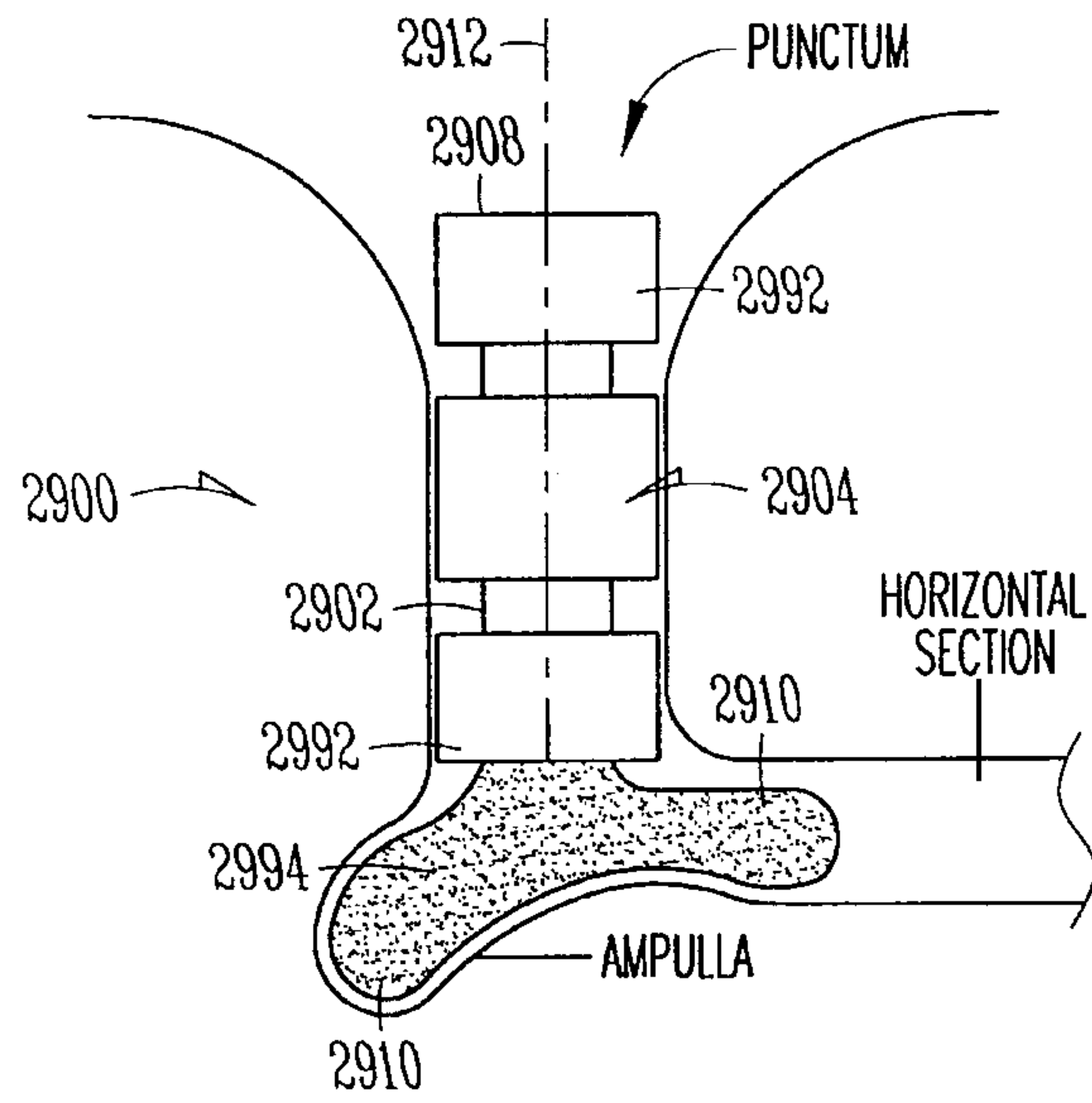


FIG. 29

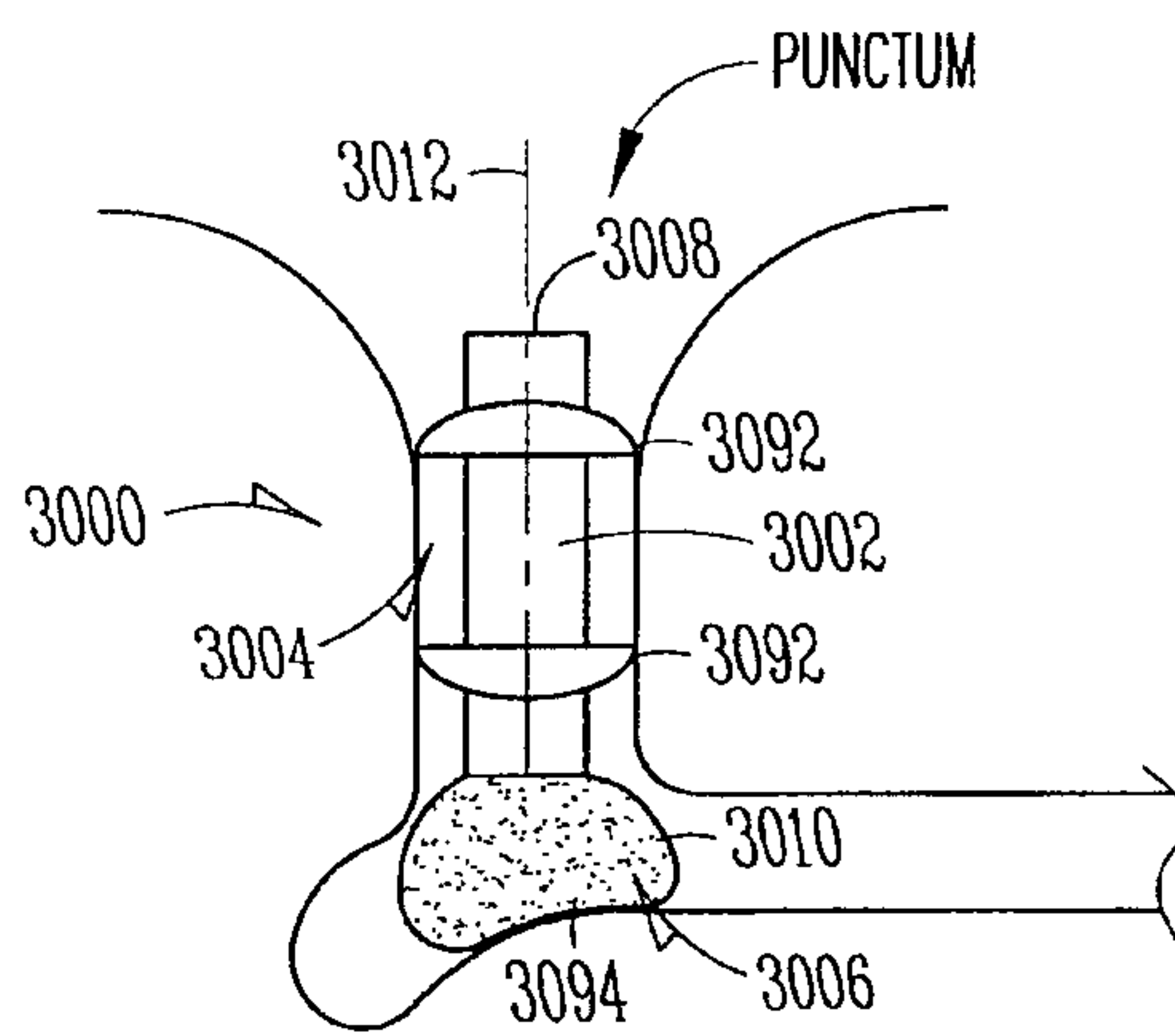


FIG. 30

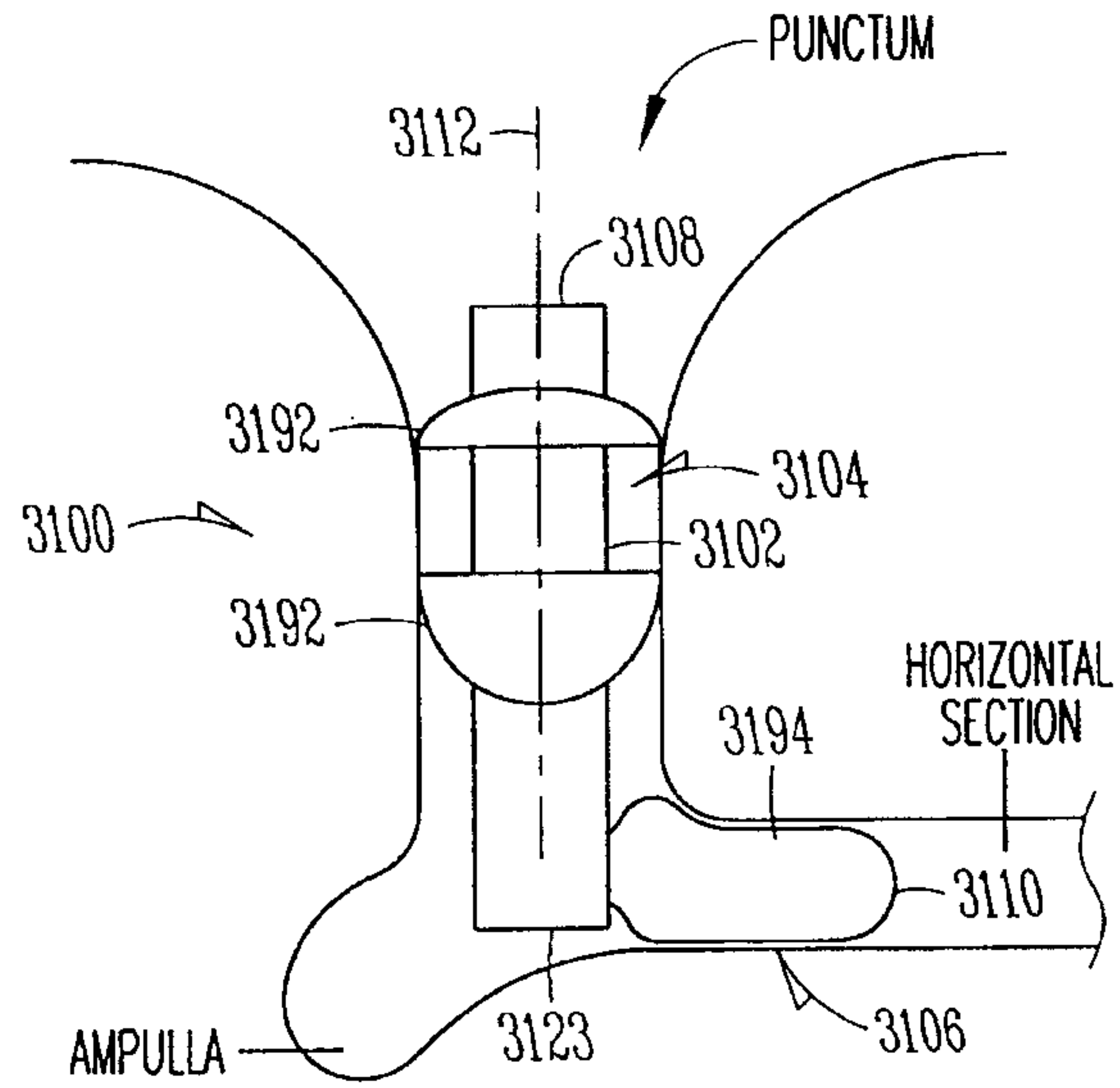


FIG. 31

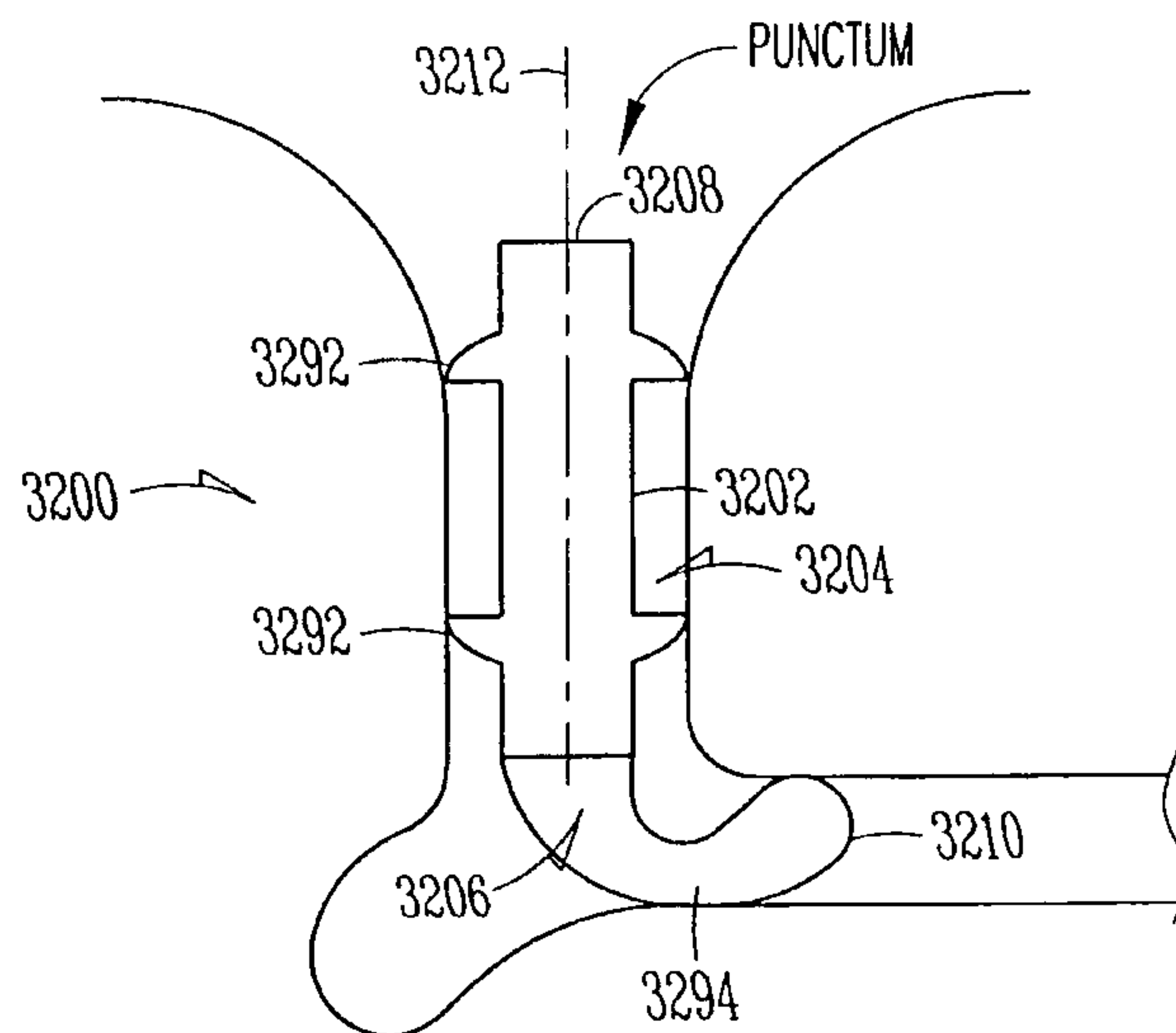


FIG. 32

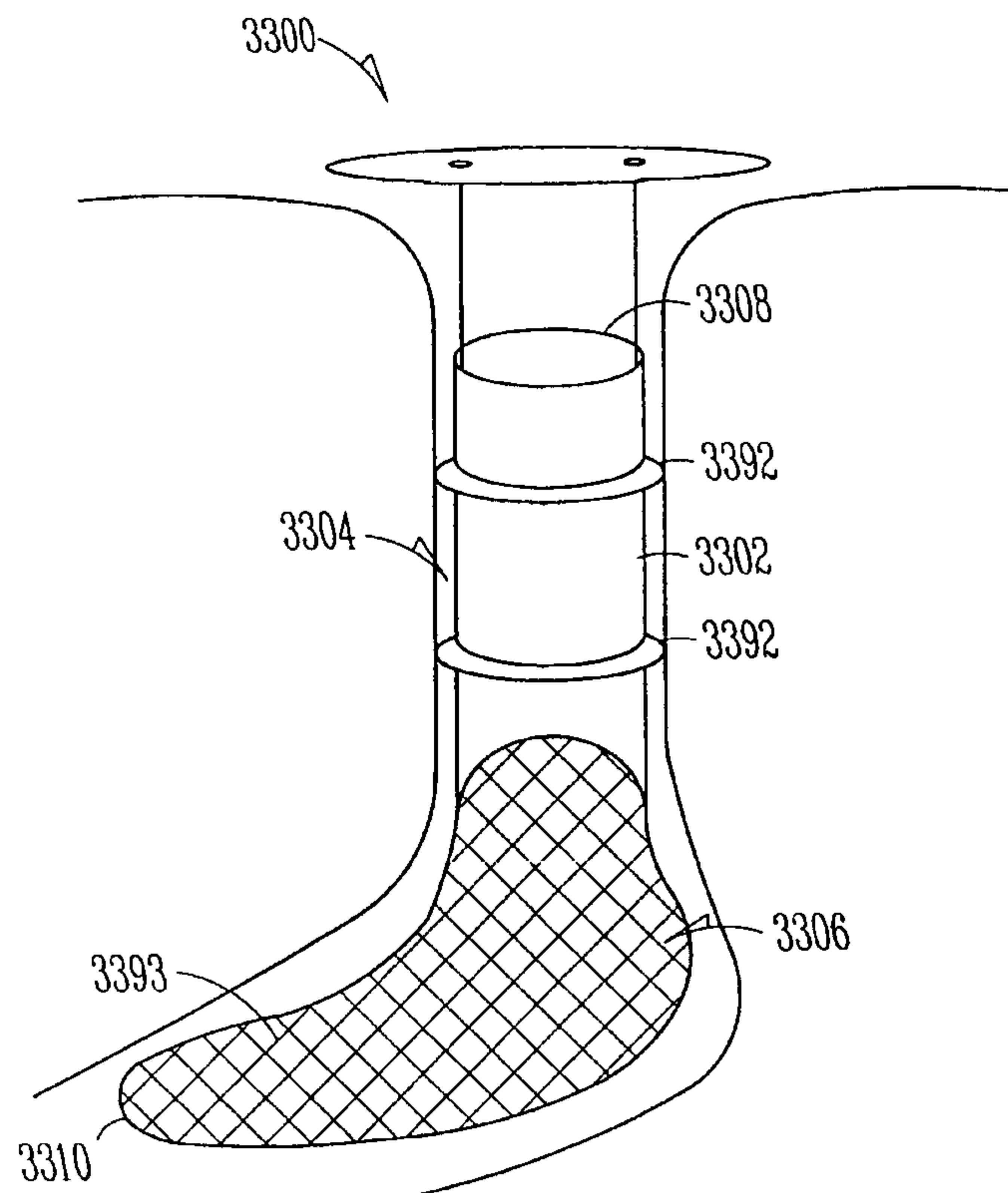


FIG. 33

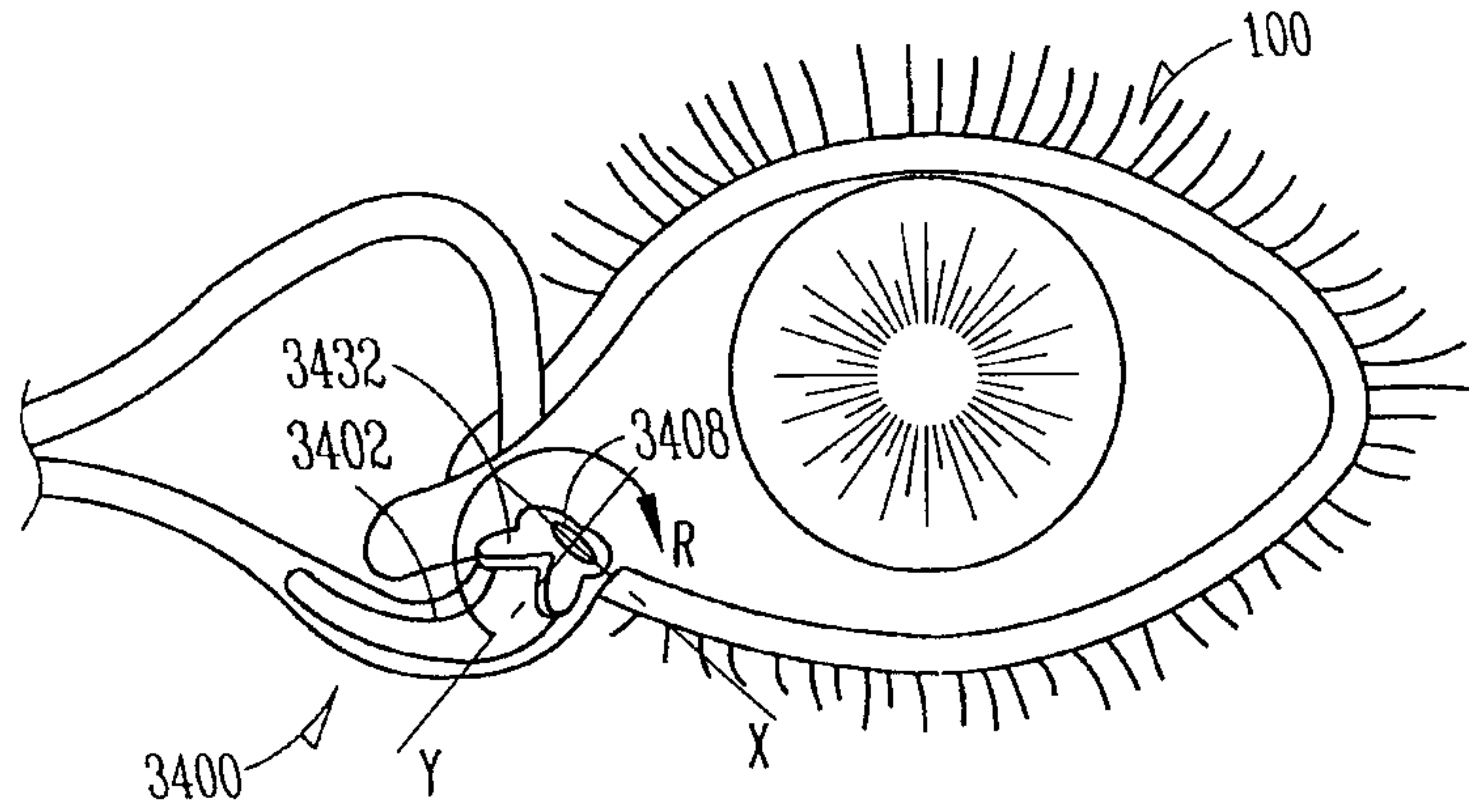


FIG. 34A

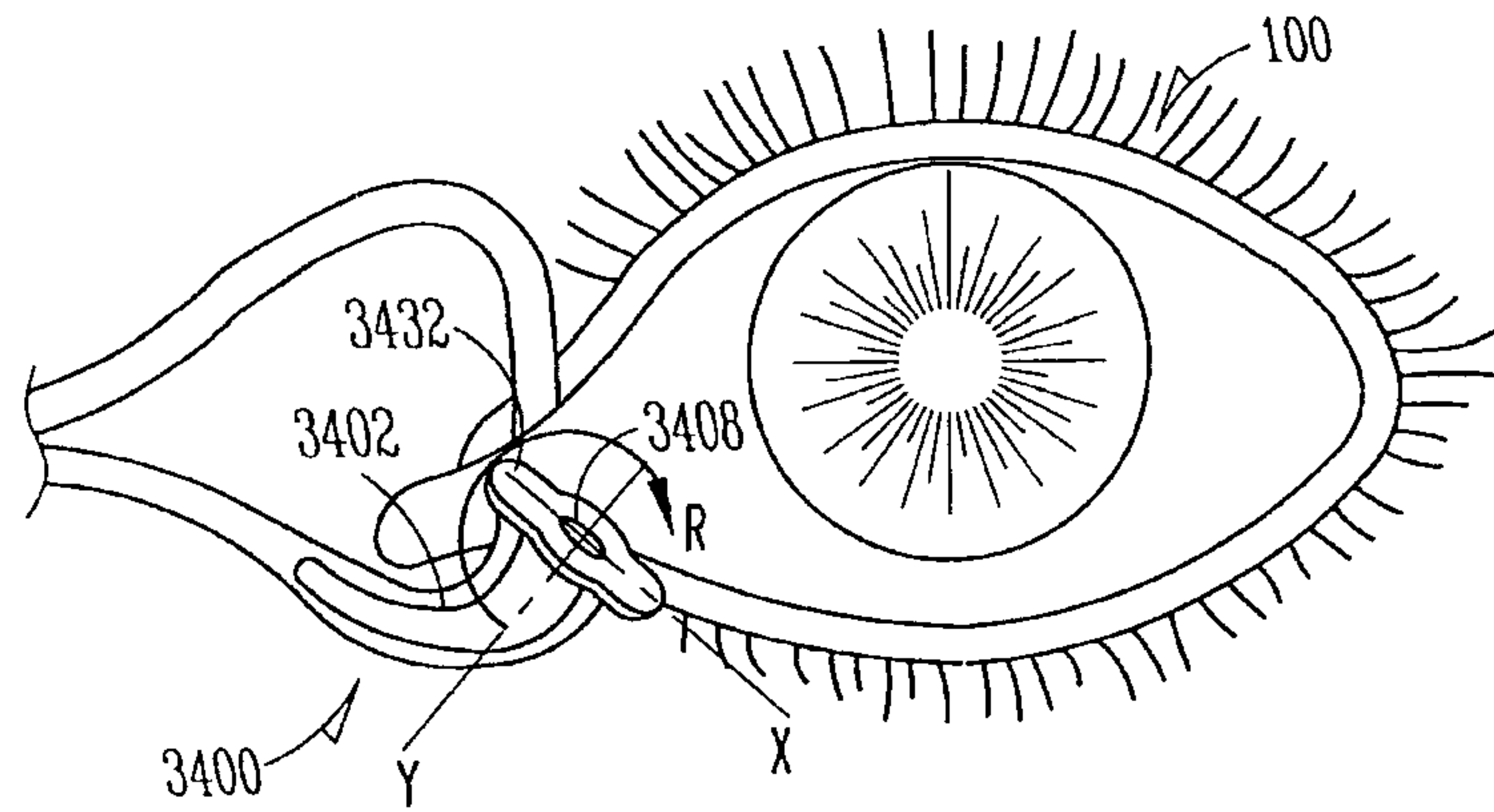


FIG. 34B

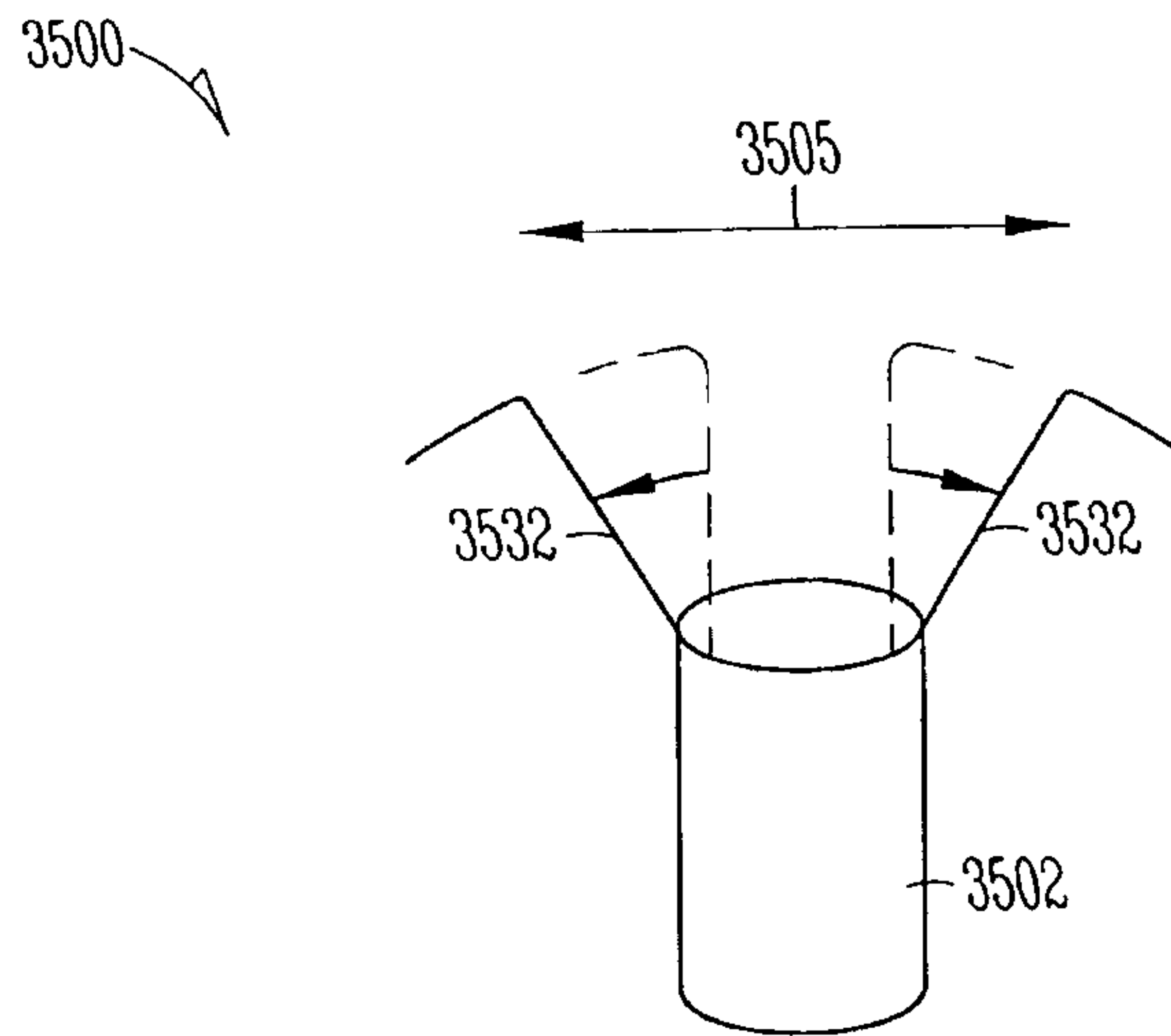


FIG. 35

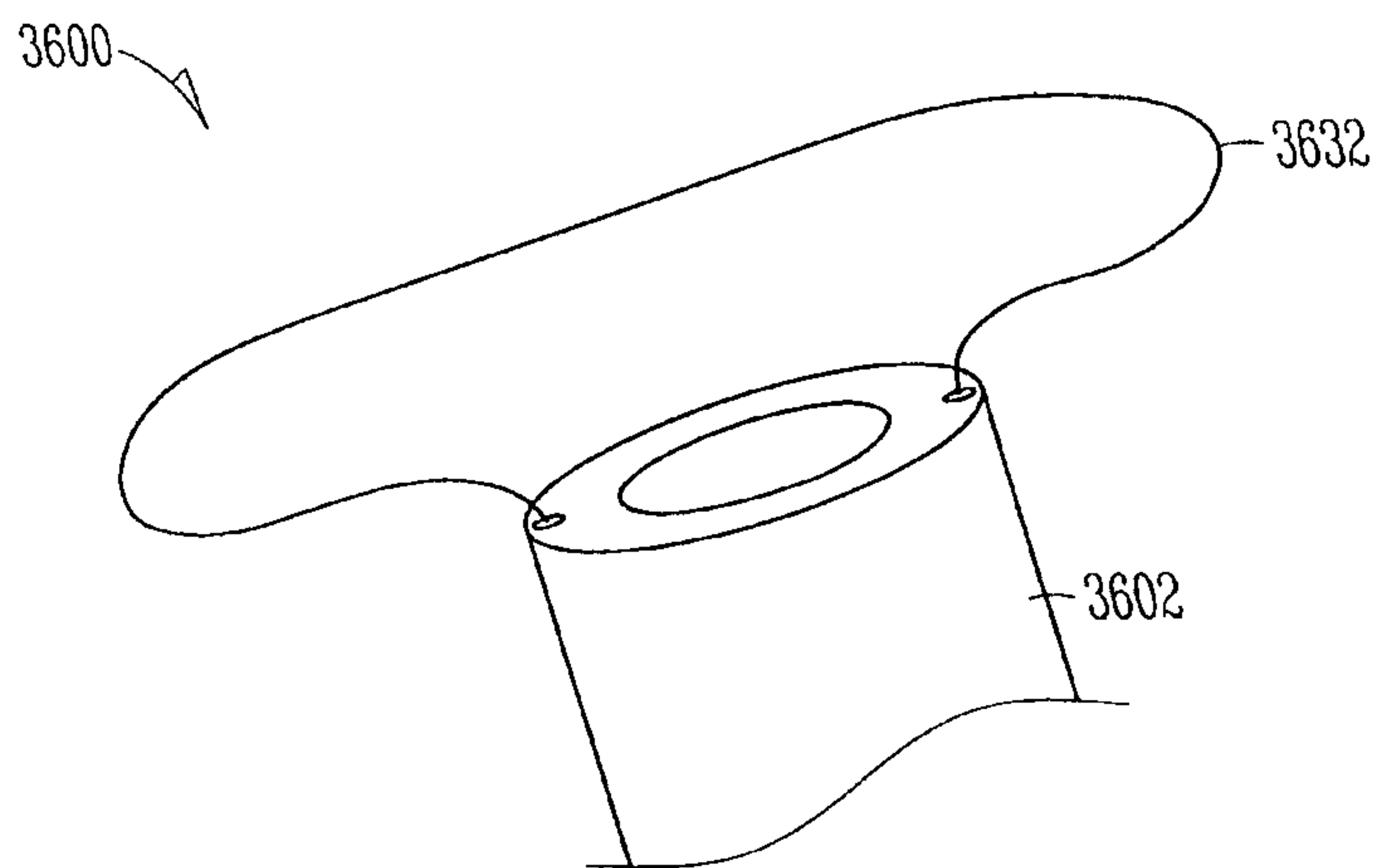


FIG. 36

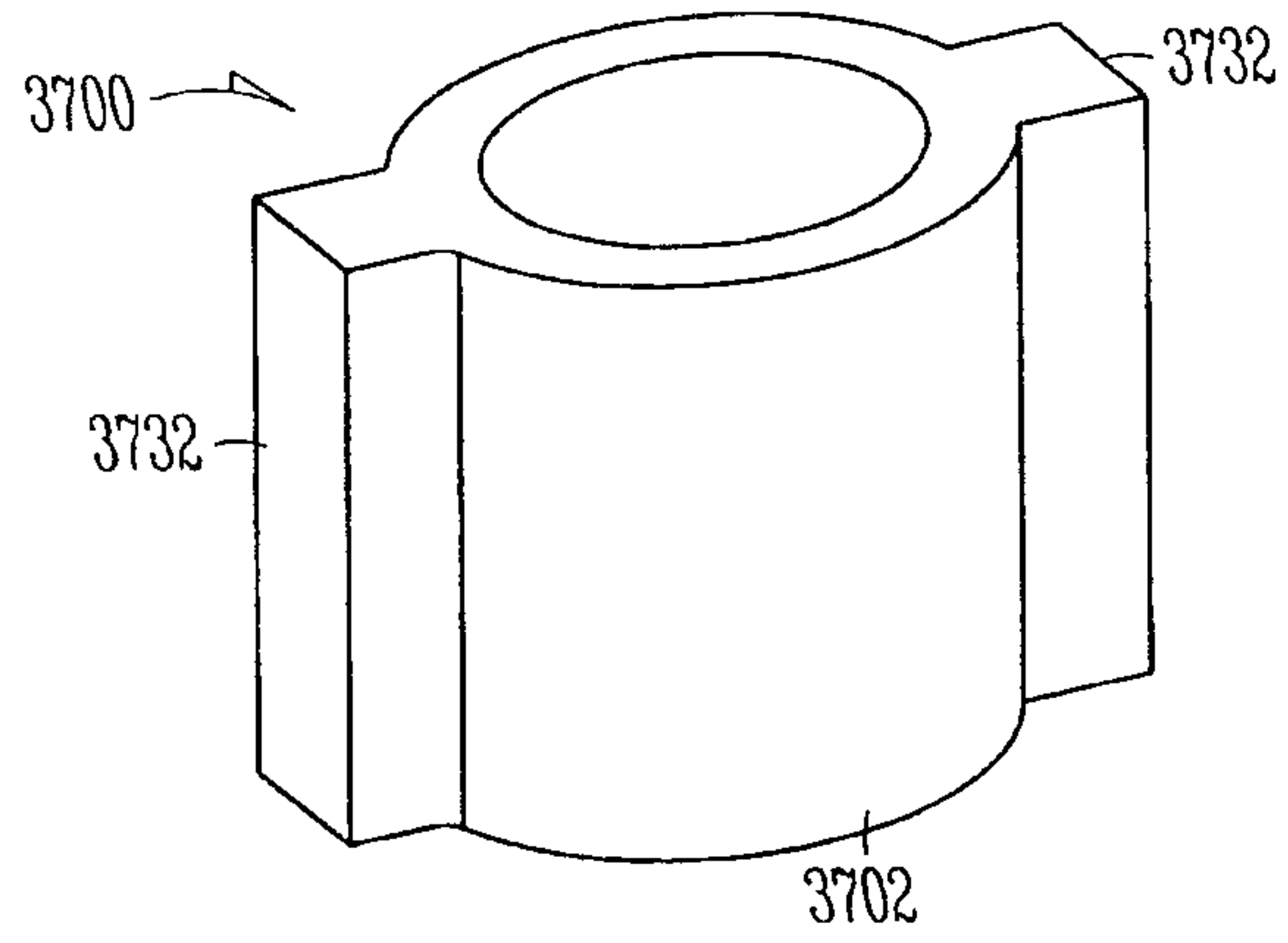


FIG. 37

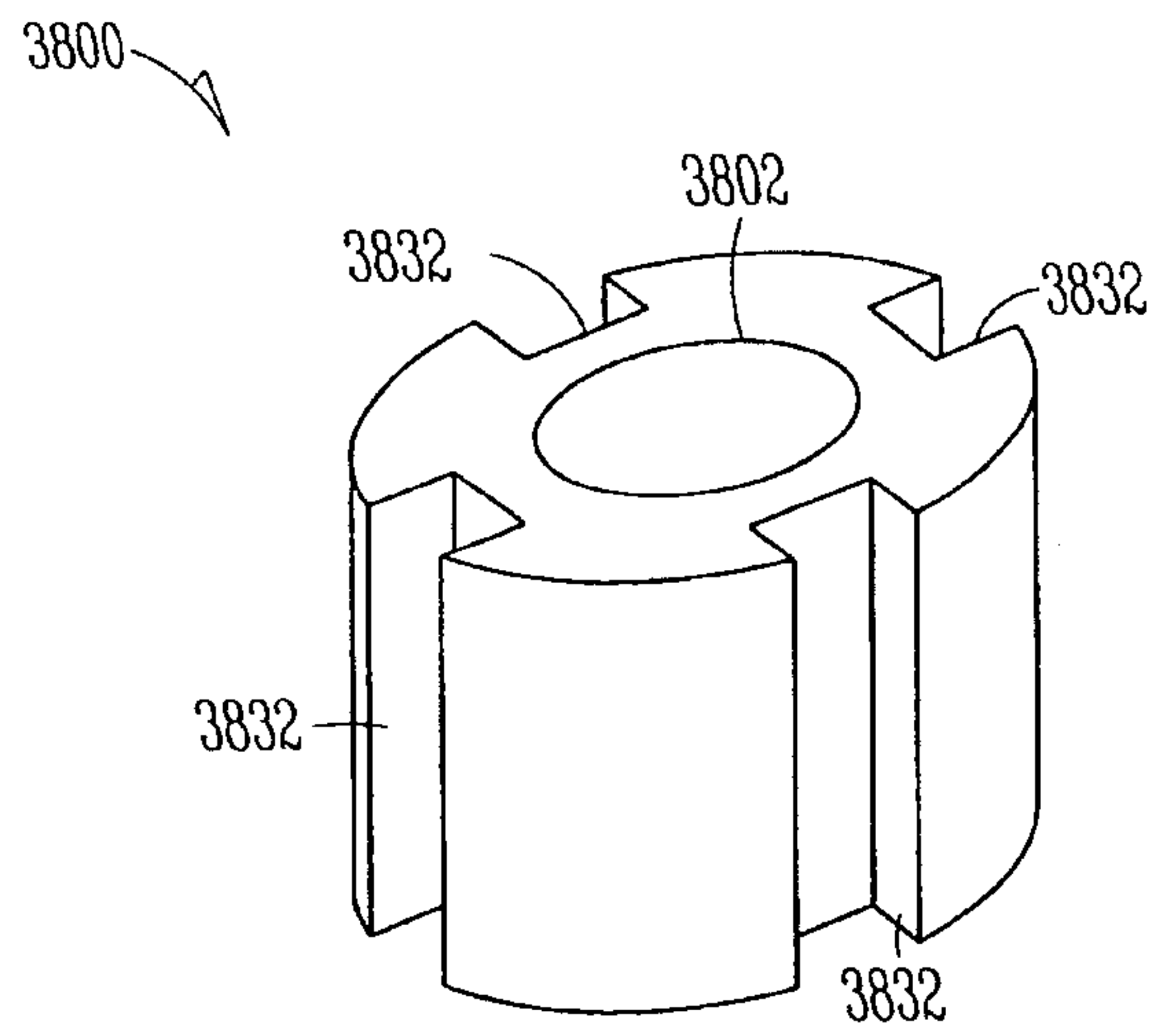


FIG. 38

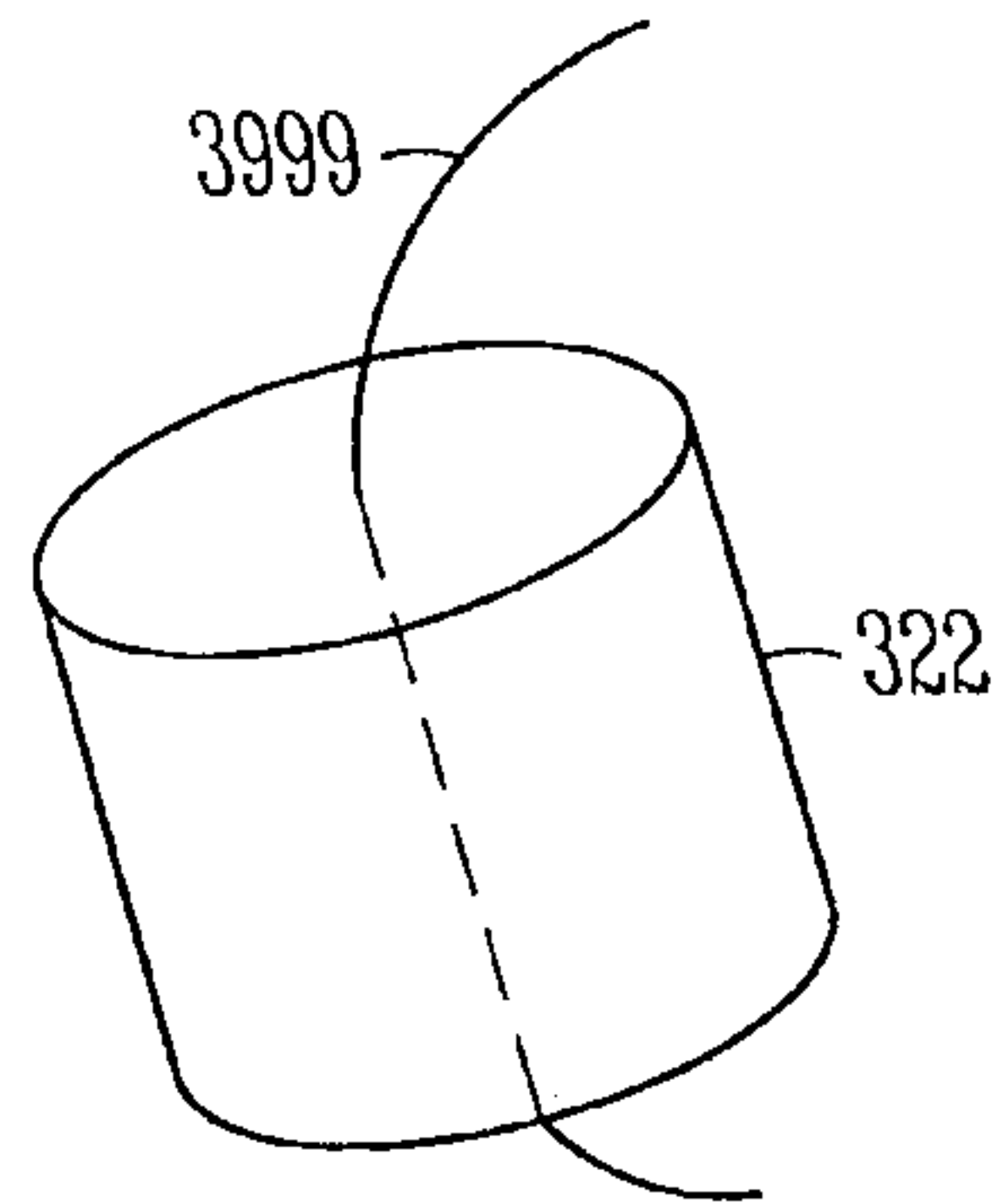


FIG. 39A

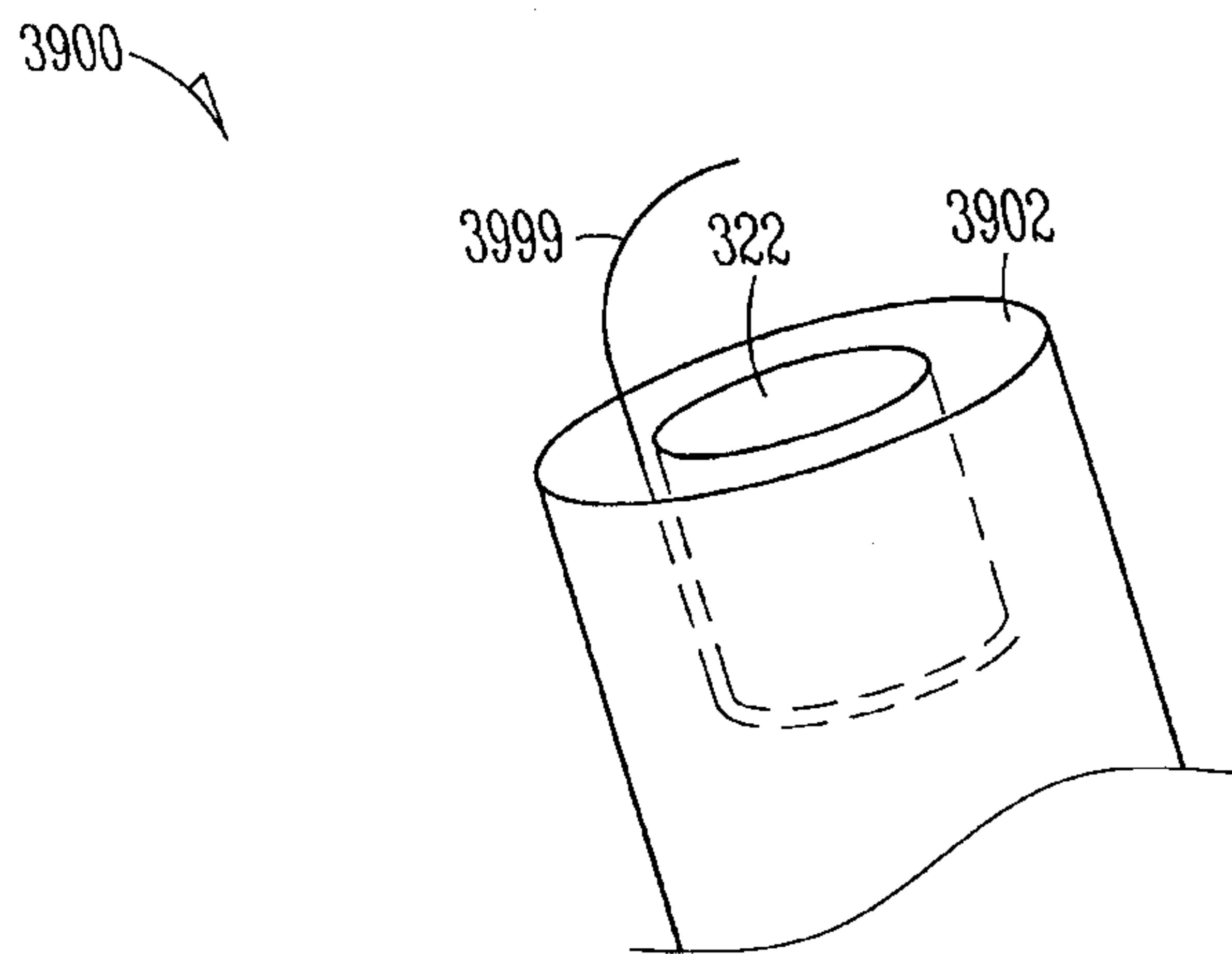
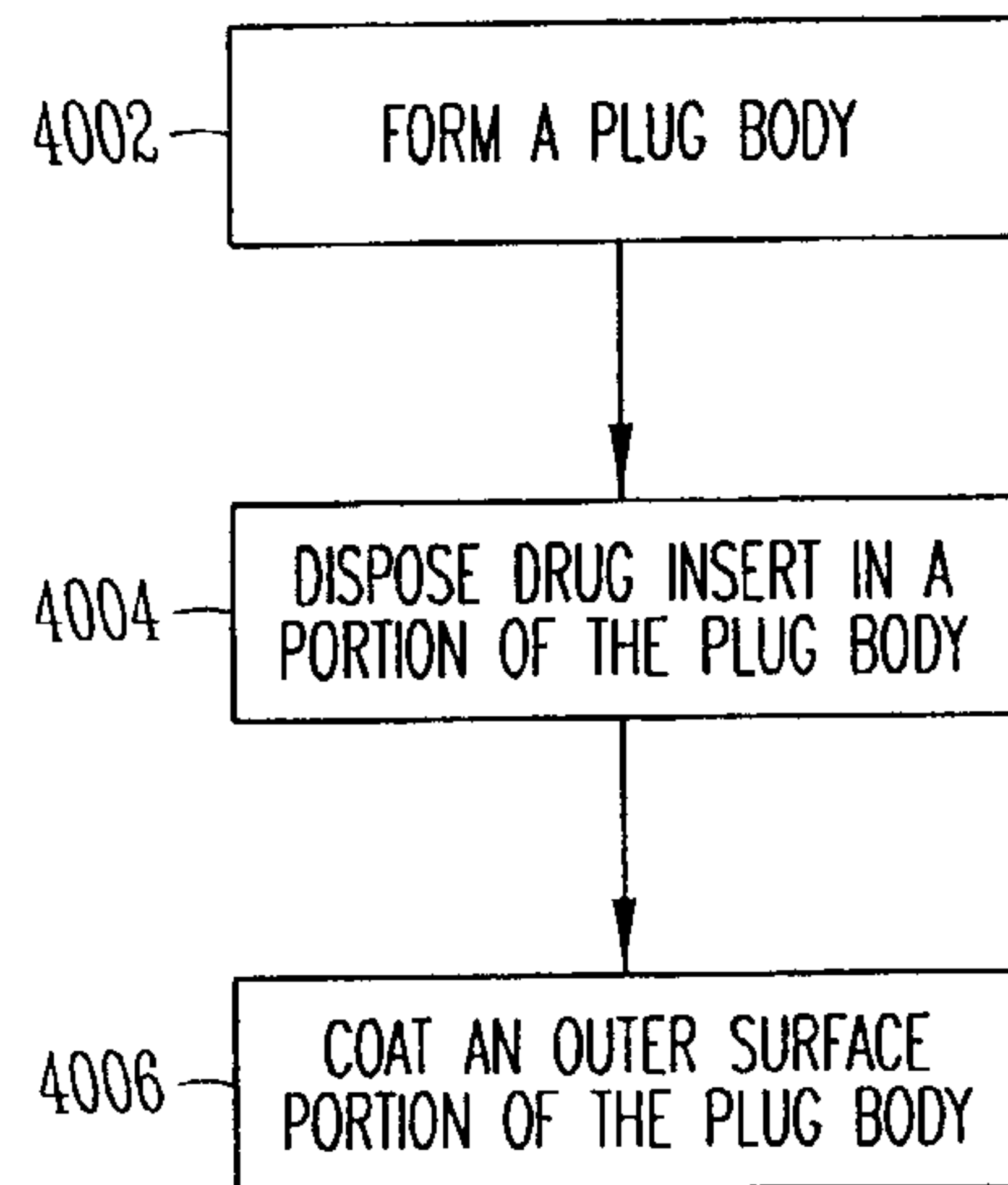


FIG. 39B

4000 ↗

**FIG. 40**

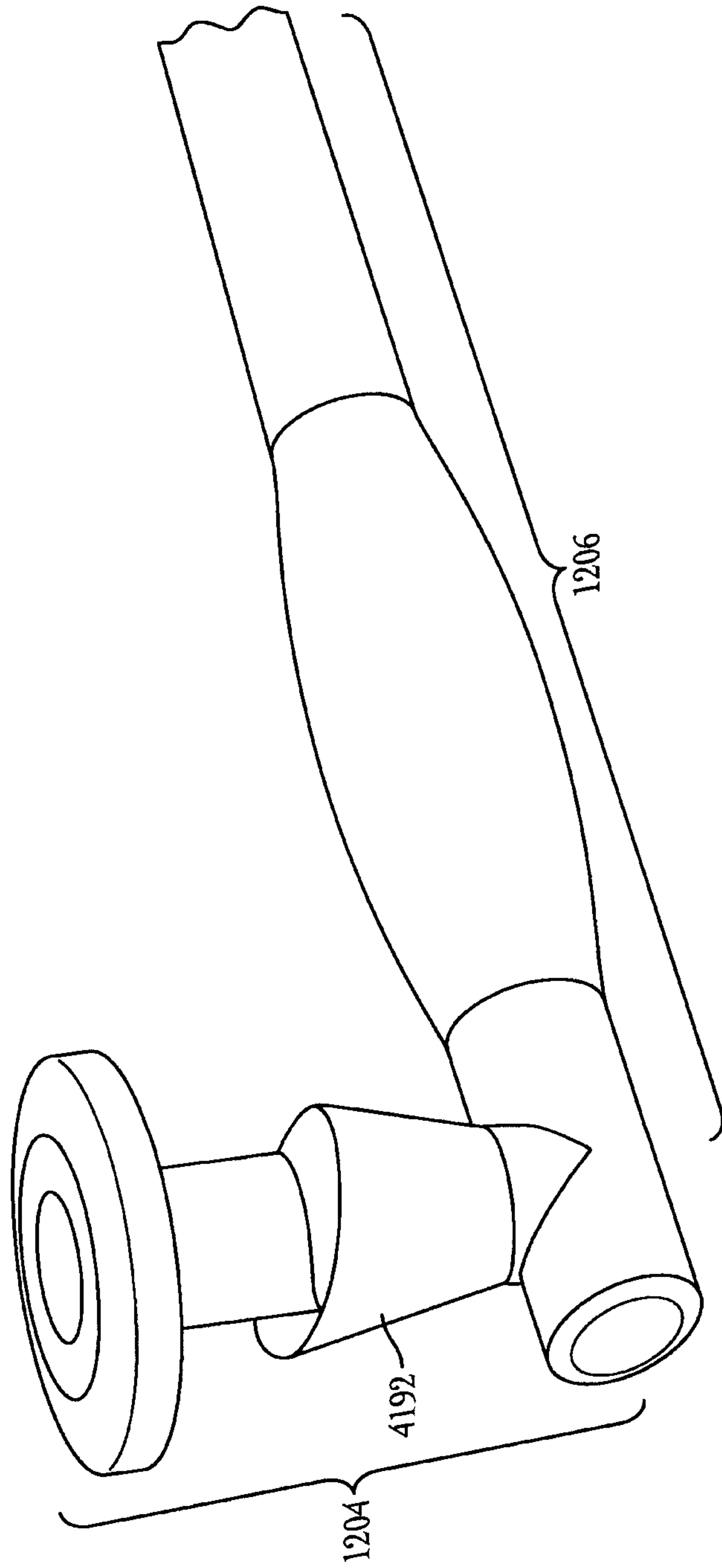


FIG. 41

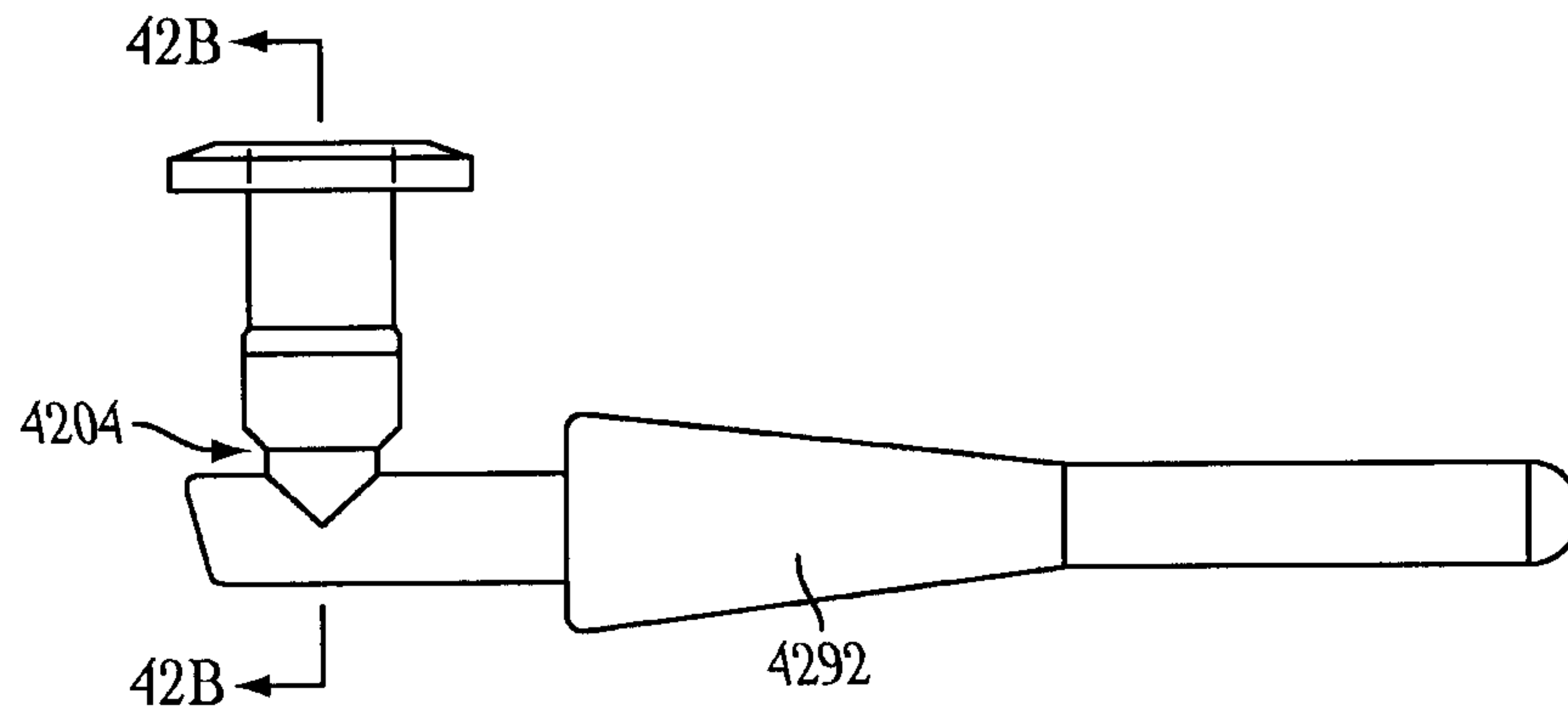
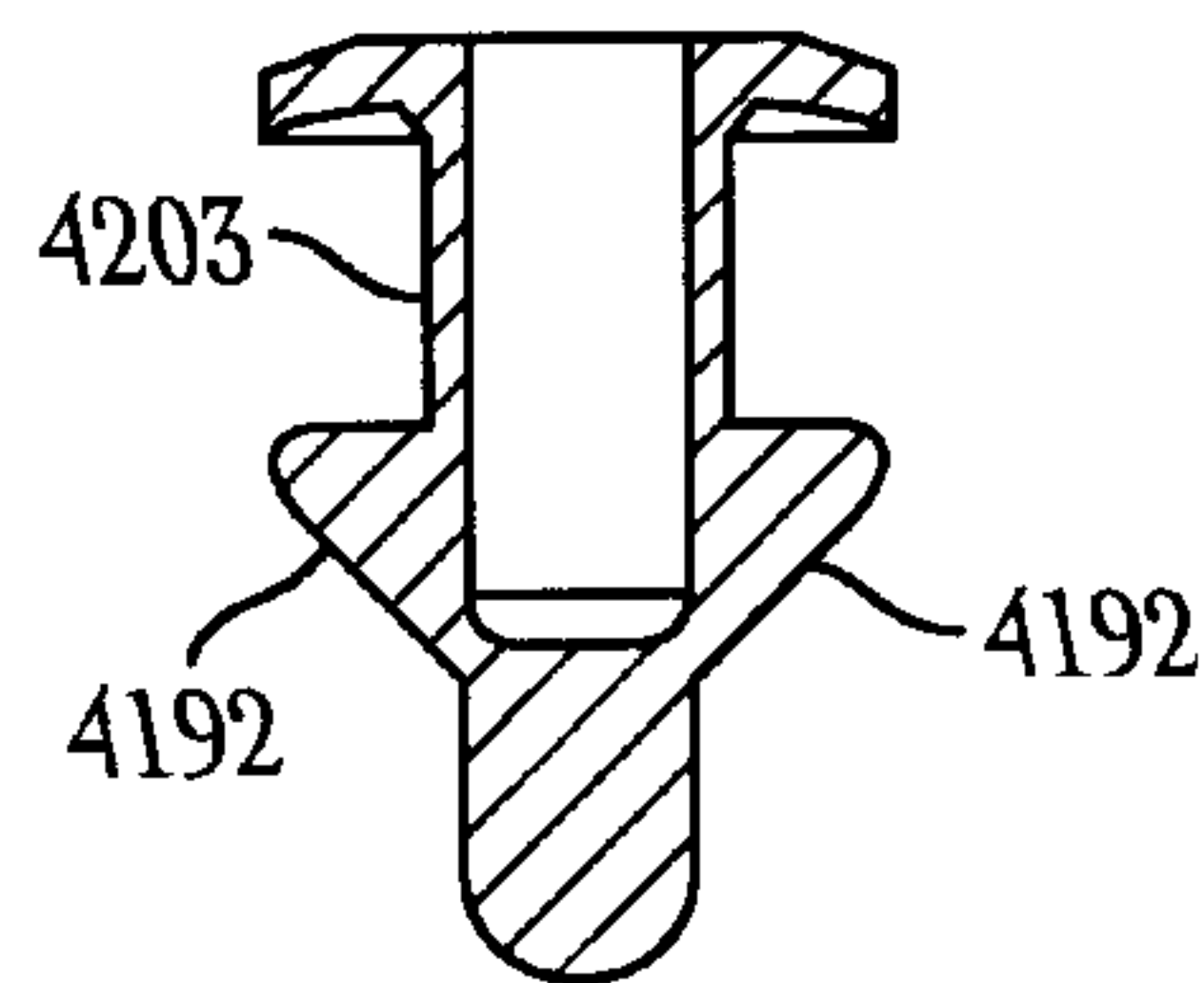


FIG. 42A



SECTION 42B-42B

FIG. 42B

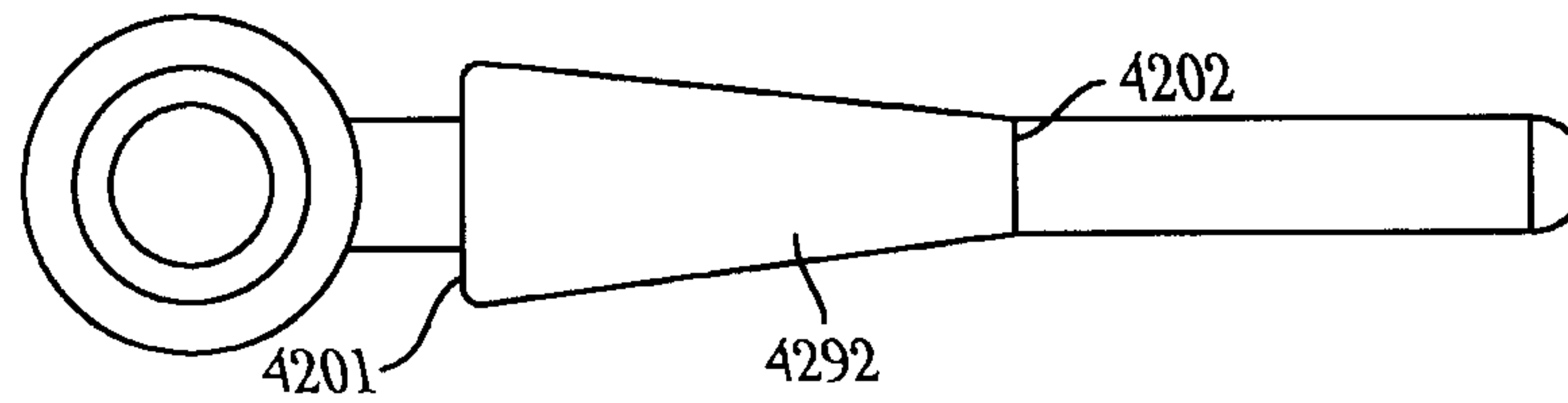


FIG. 42C

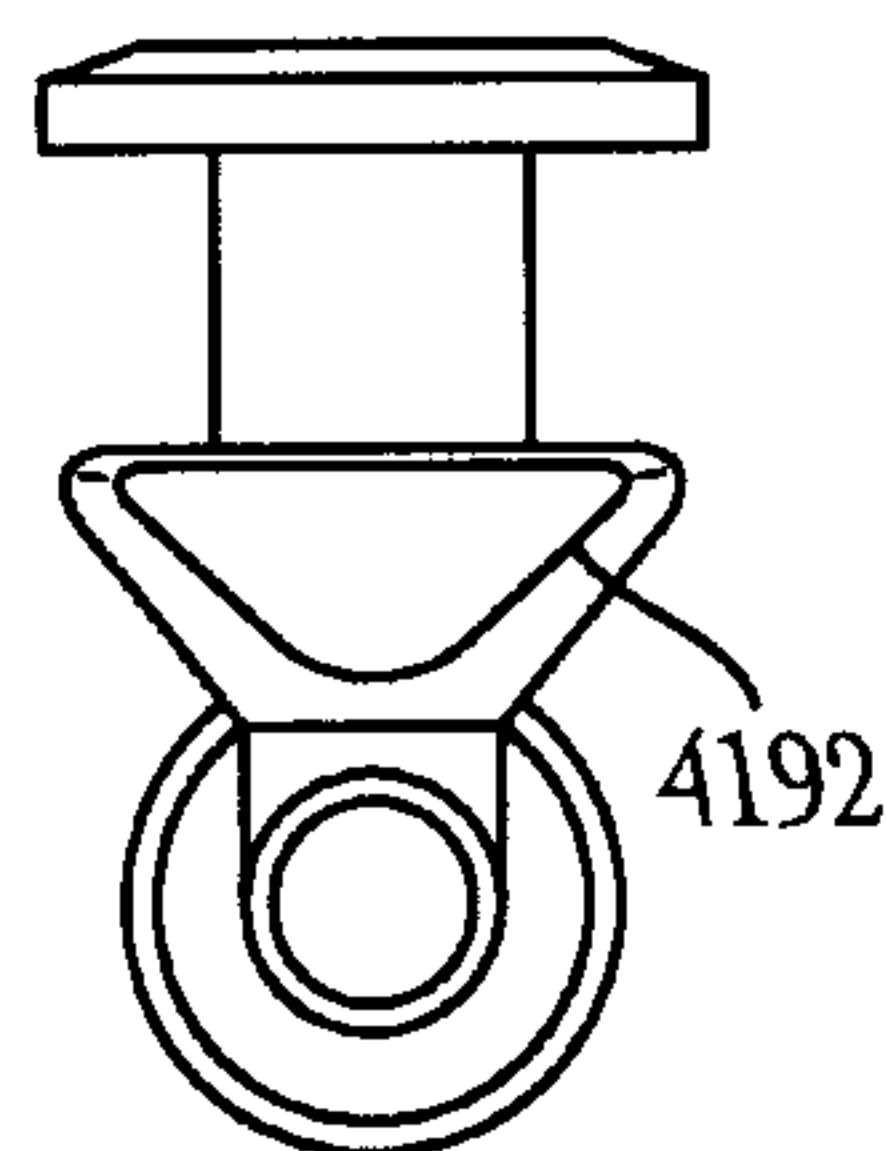
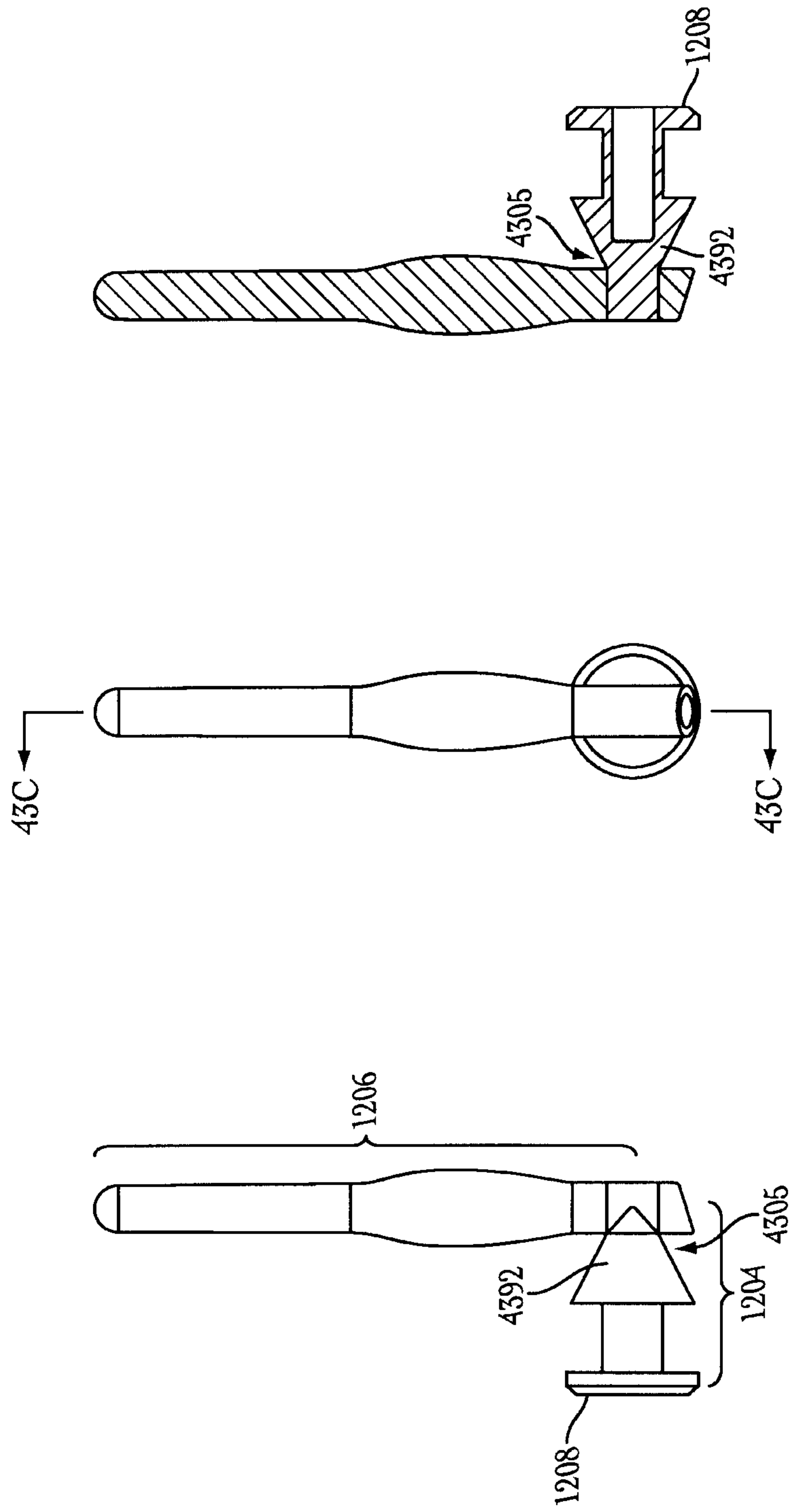


FIG. 42D



SECTION 43C-43C
FIG. 43C

FIG. 43B

FIG. 43A

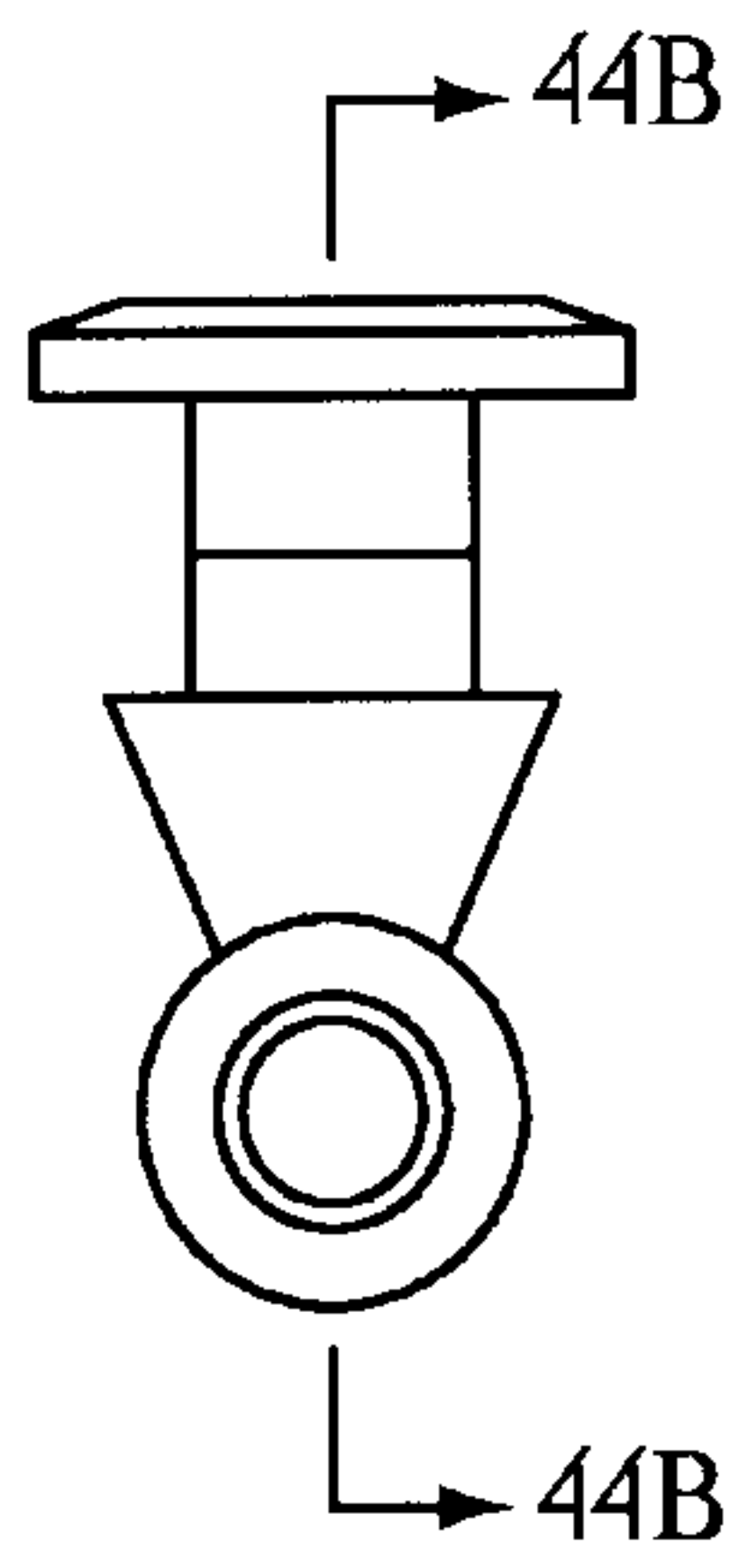
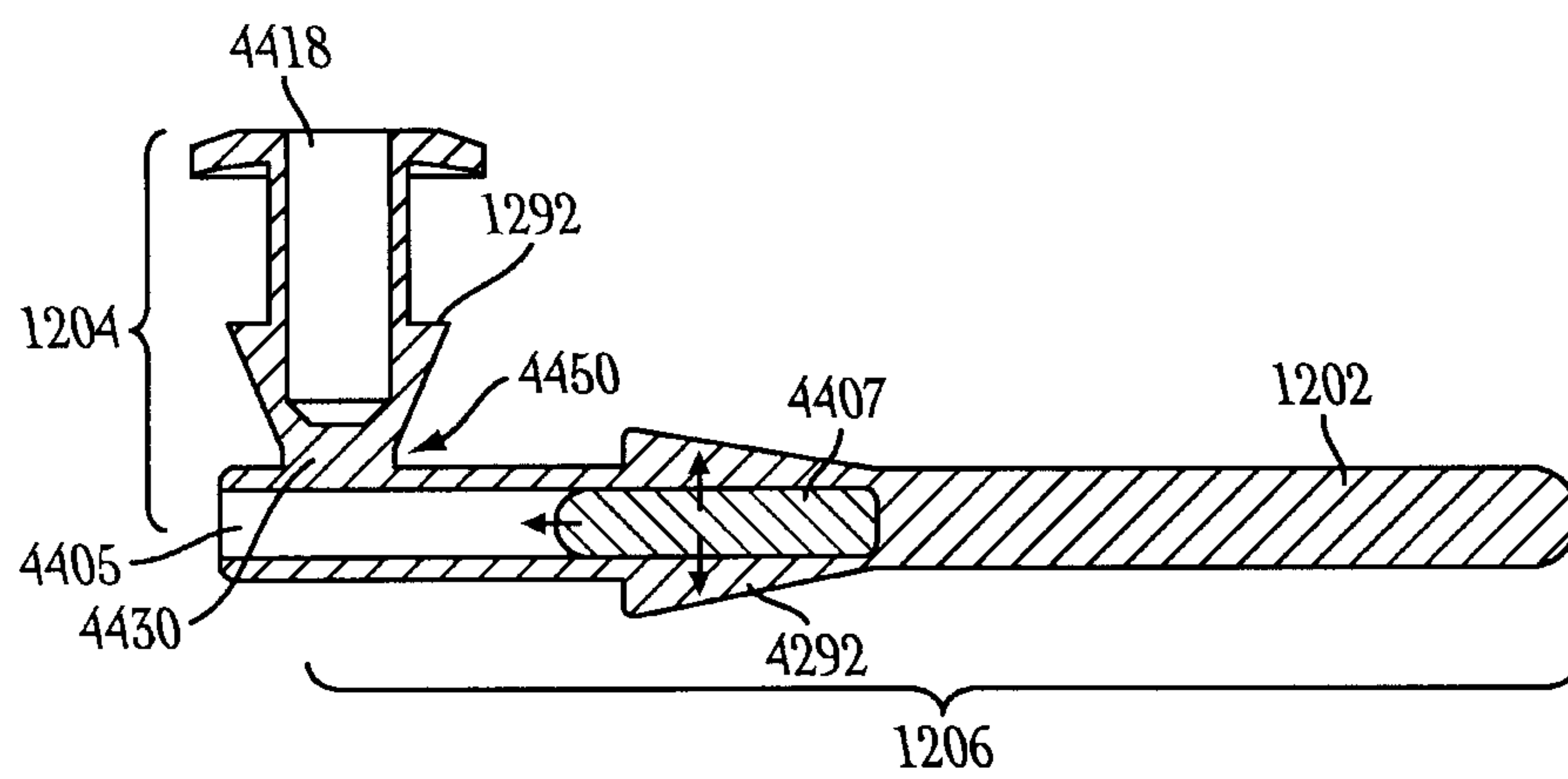


FIG. 44A



SECTION 44B-44B

FIG. 44B

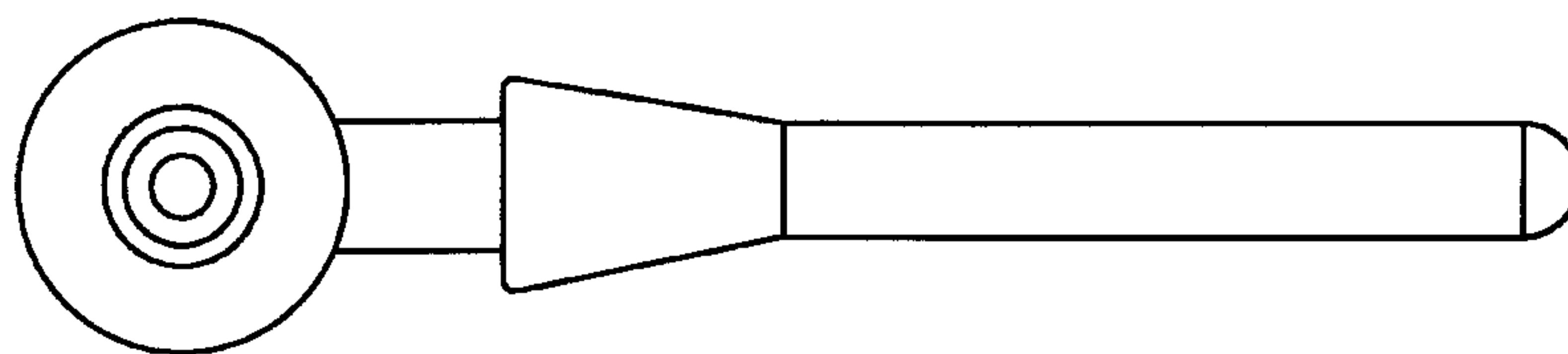


FIG. 44C

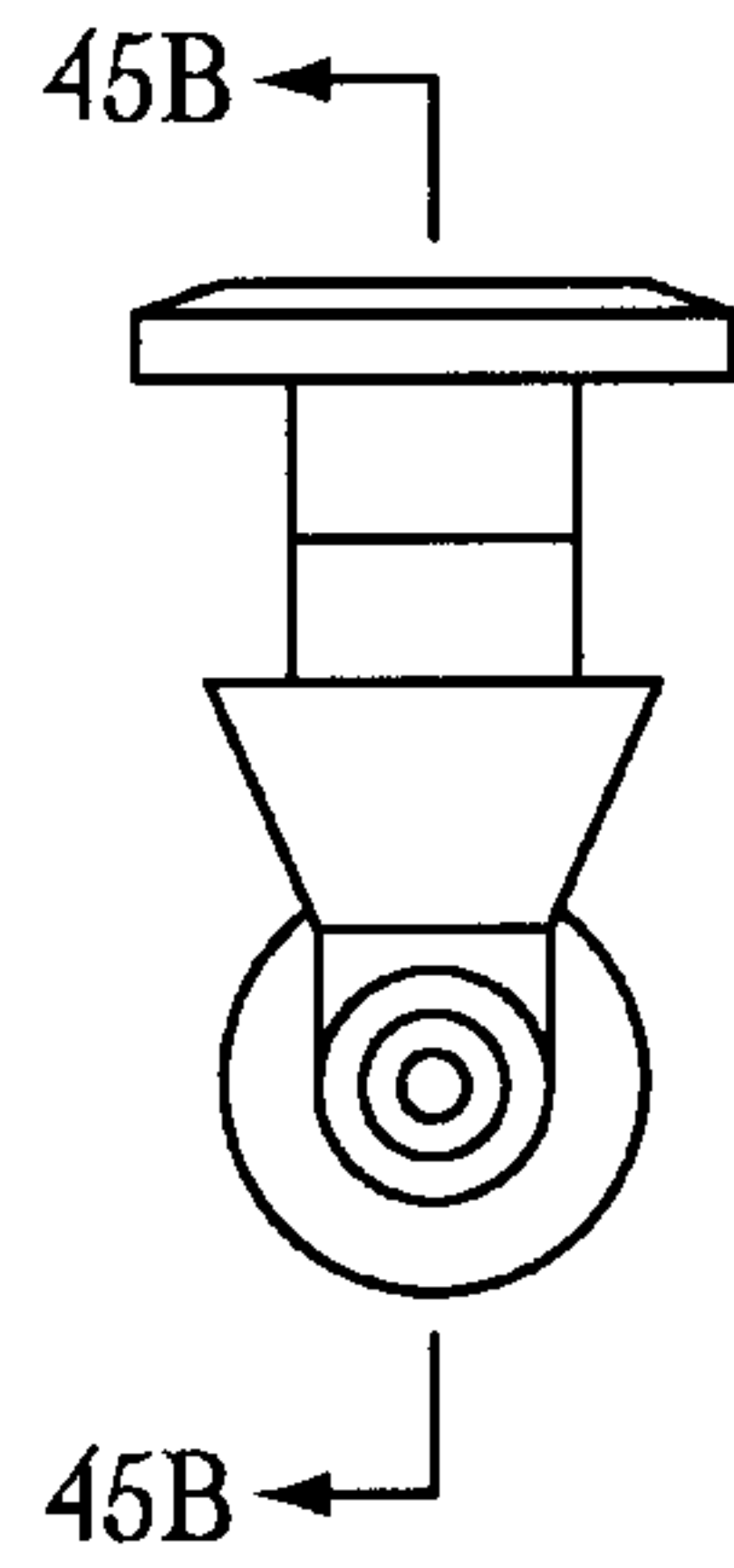
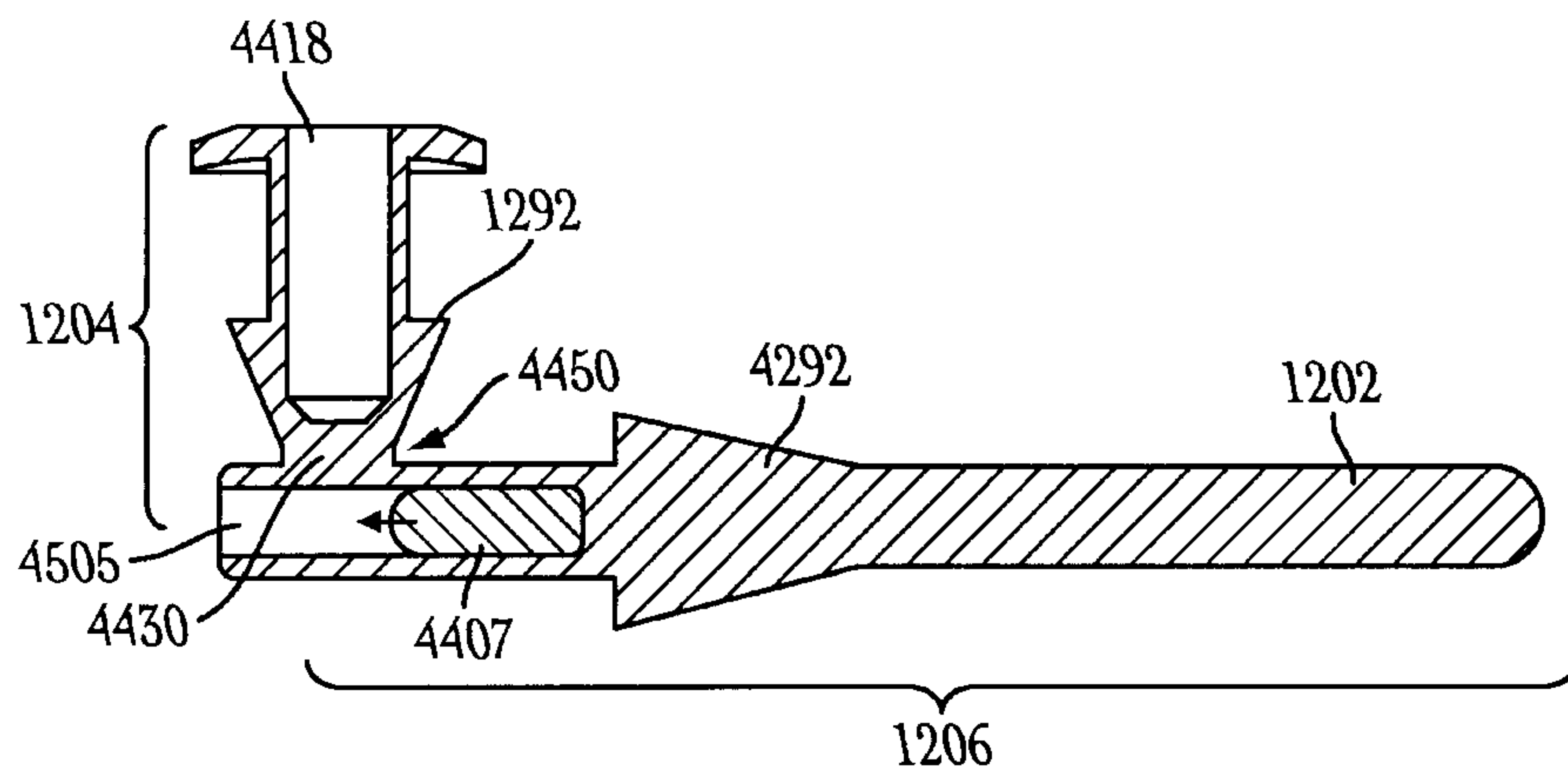


FIG. 45A



SECTION 45B-45B

FIG. 45B

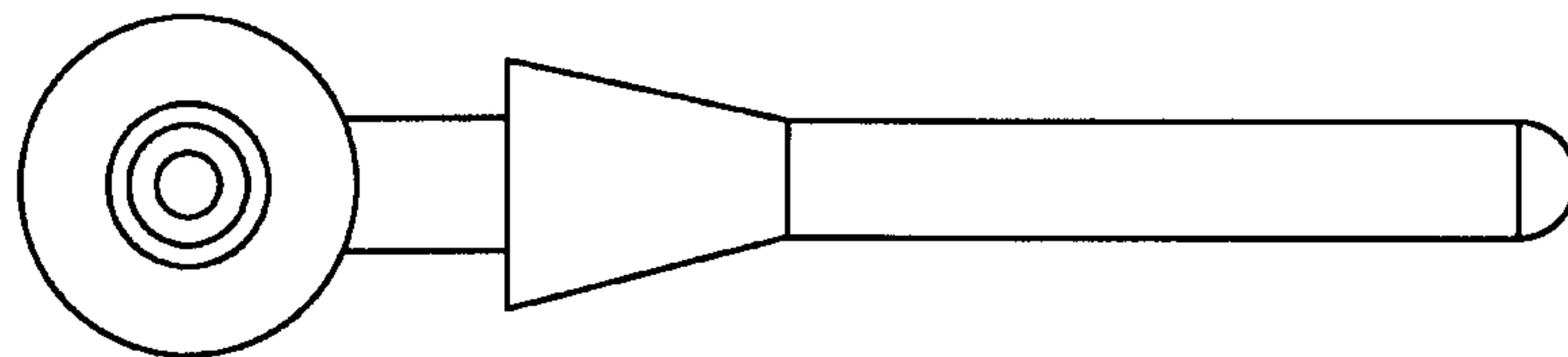


FIG. 45C

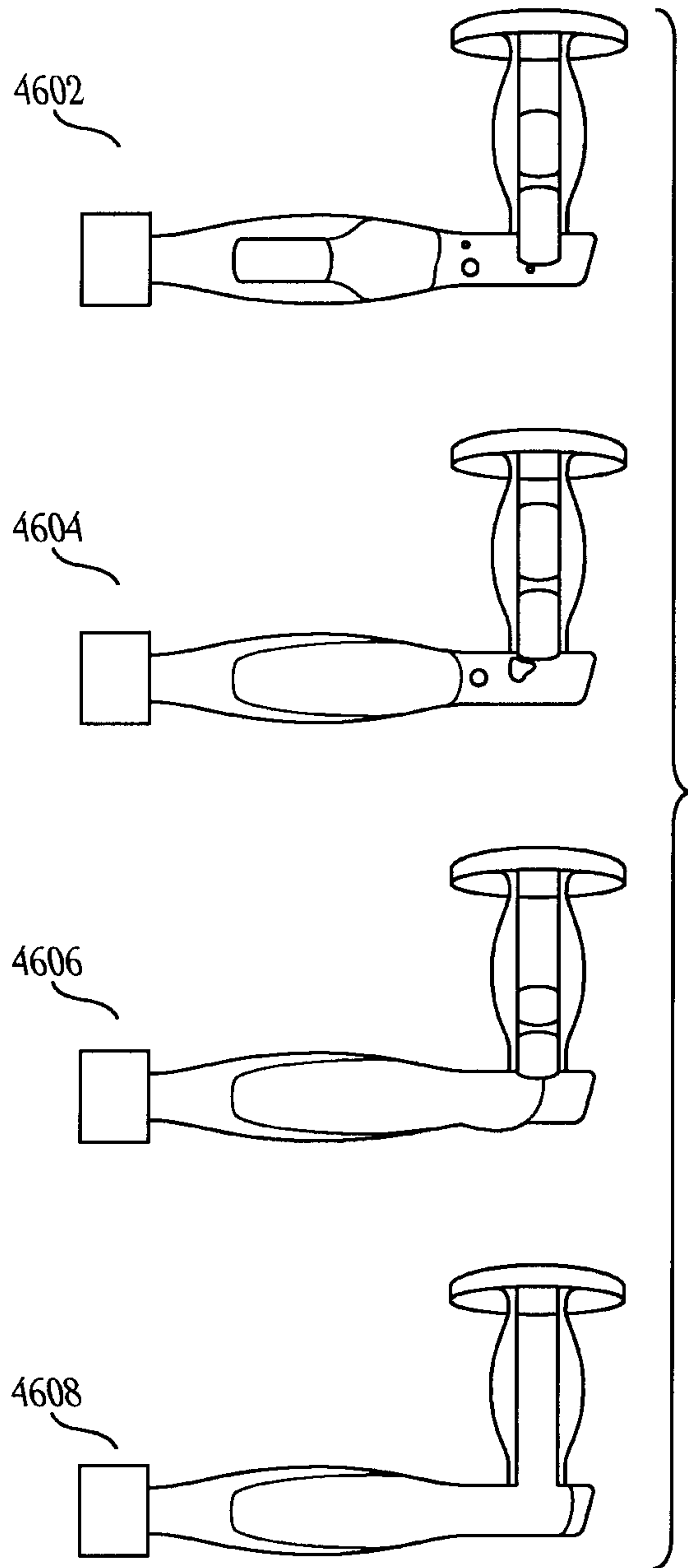


FIG. 46

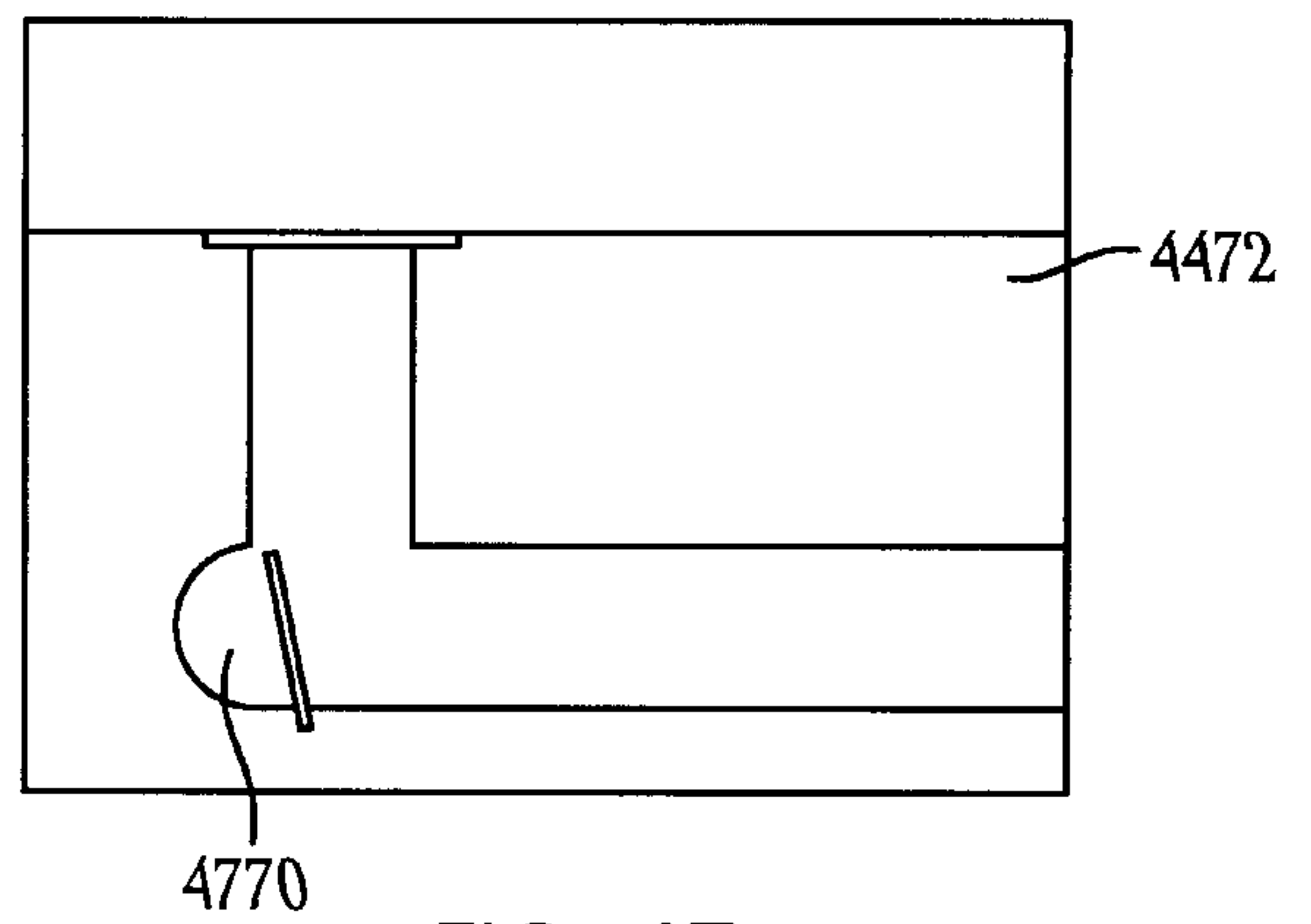


FIG. 47

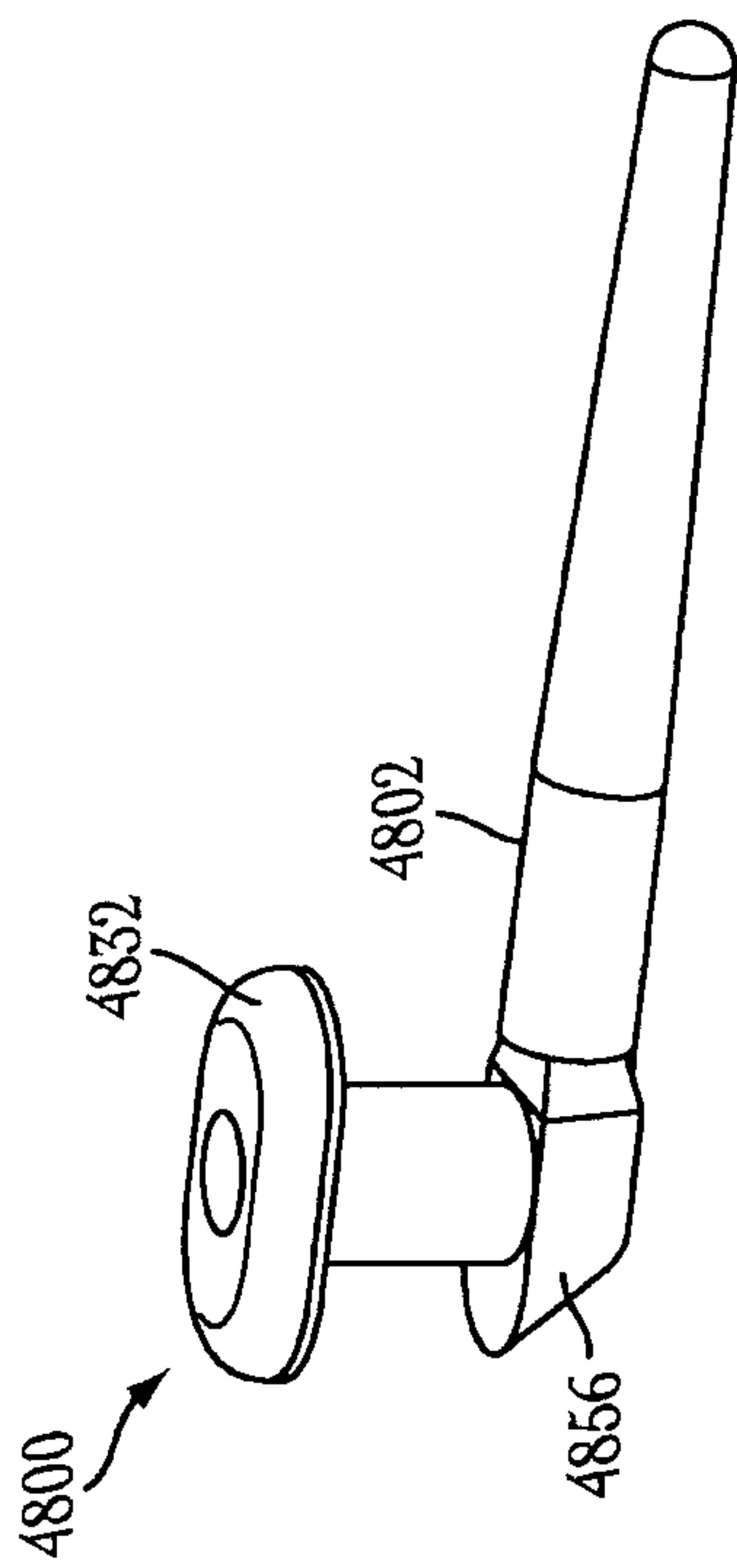


FIG. 48A

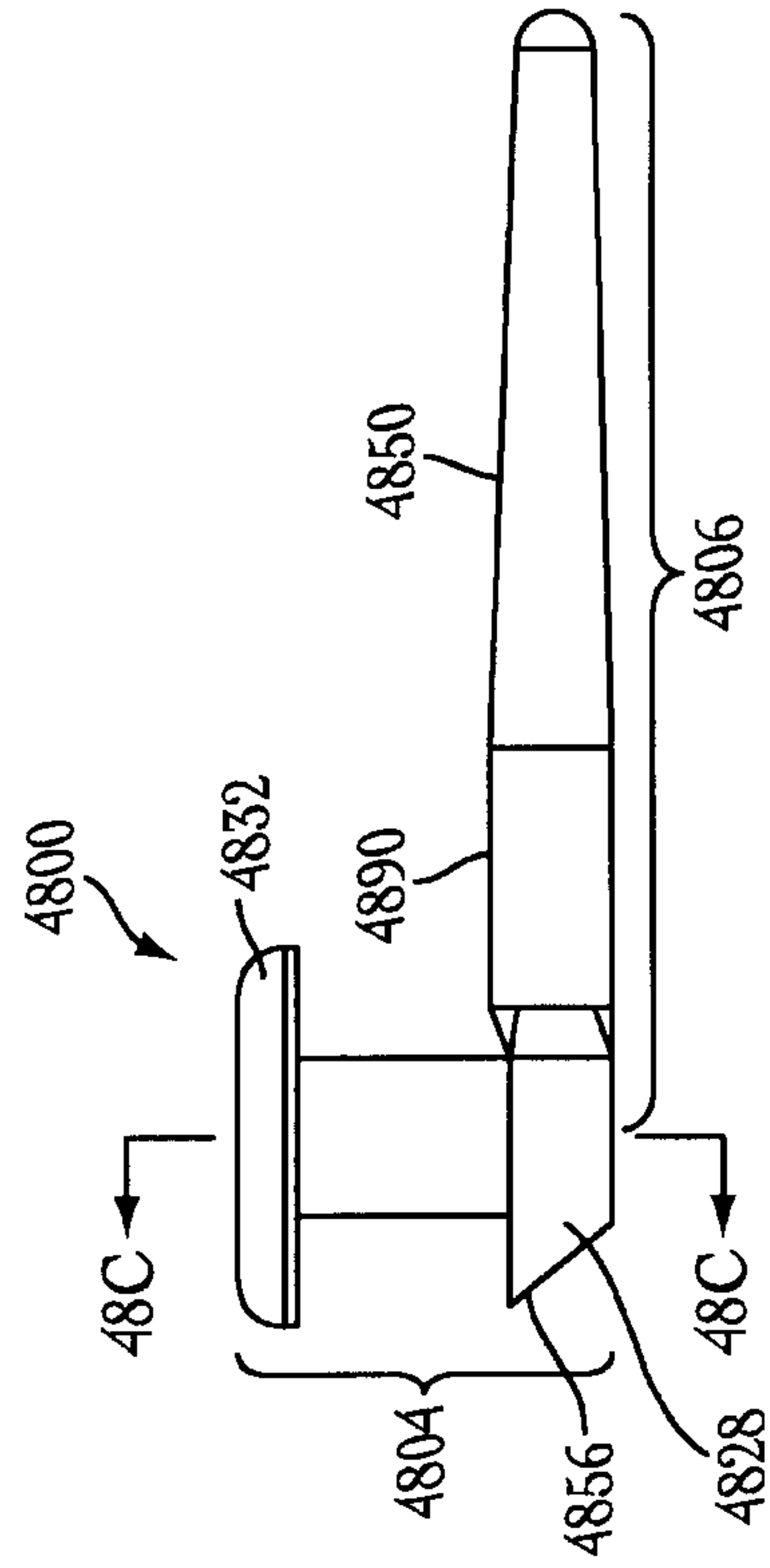


FIG. 48B

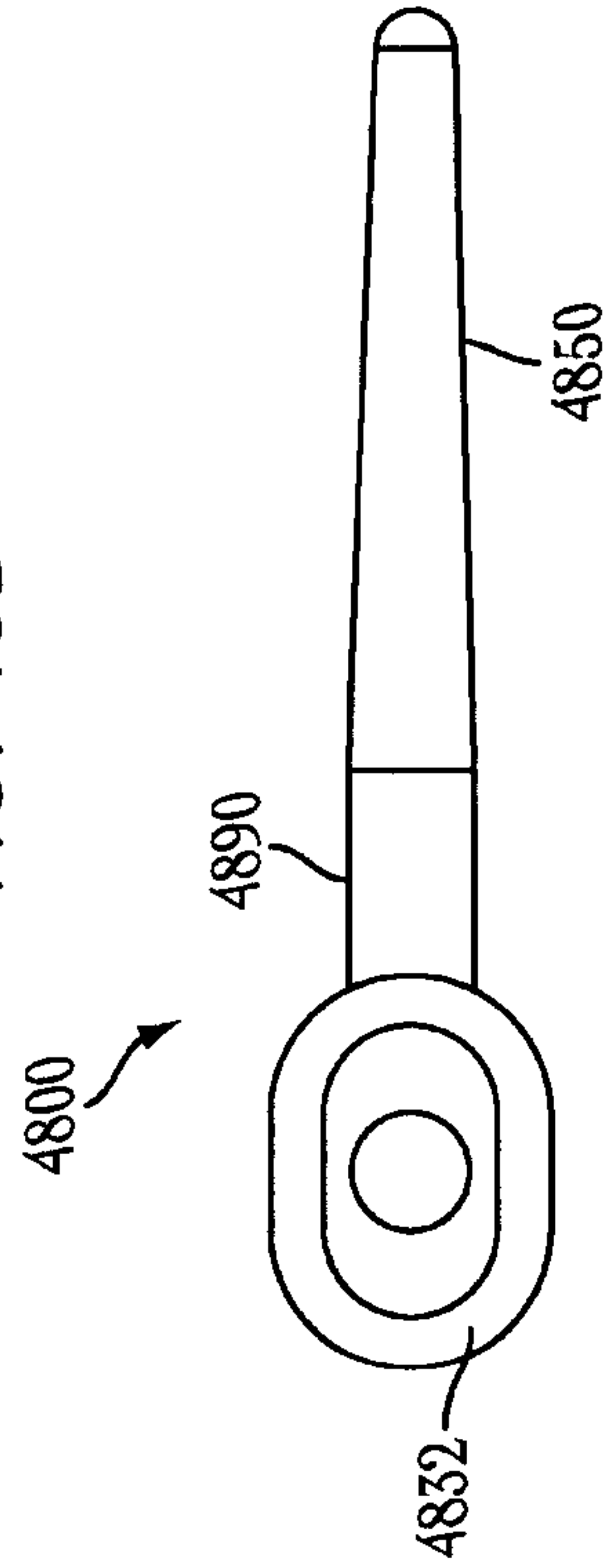
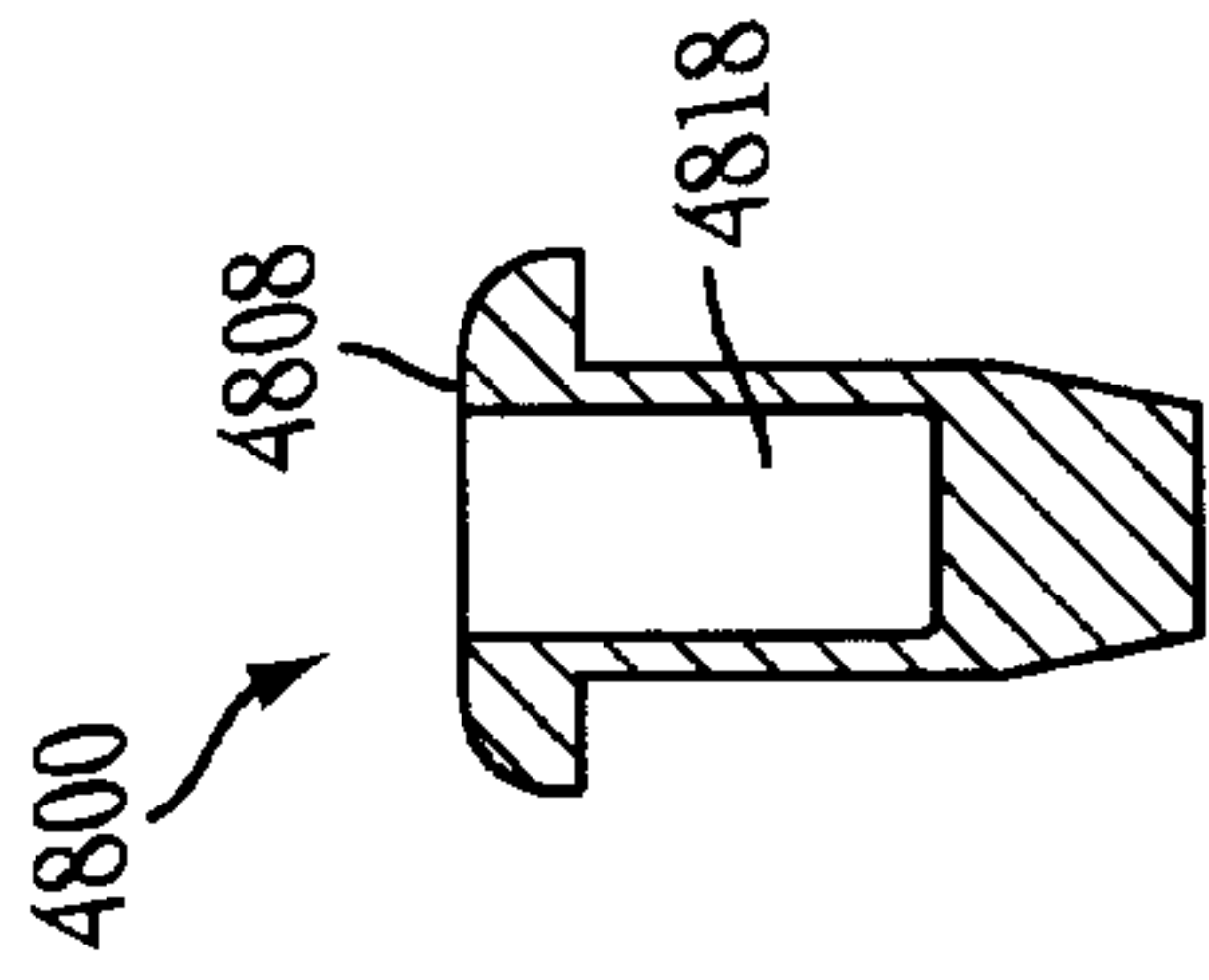


FIG. 48D



SECTION 48C-48C
FIG. 48C

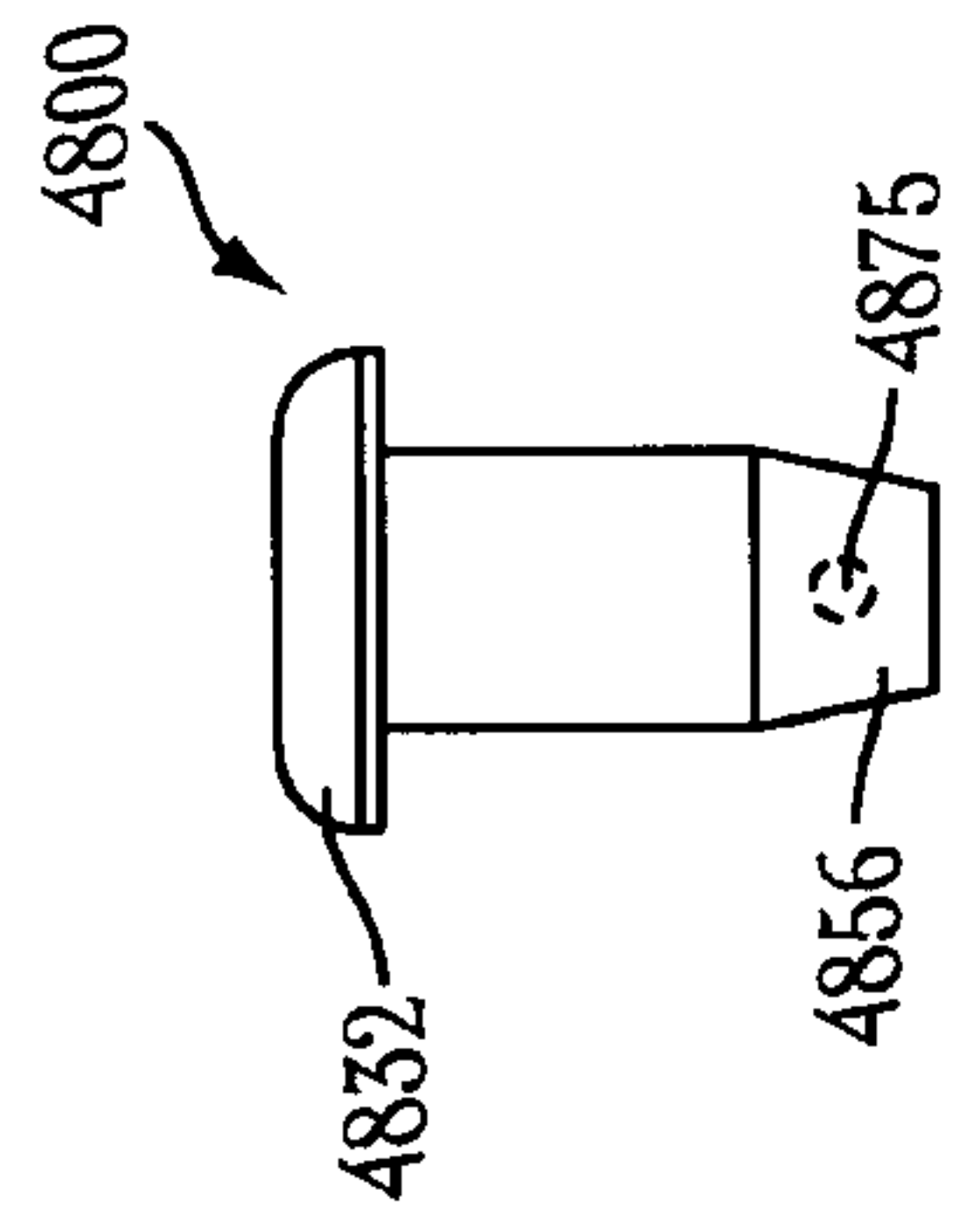
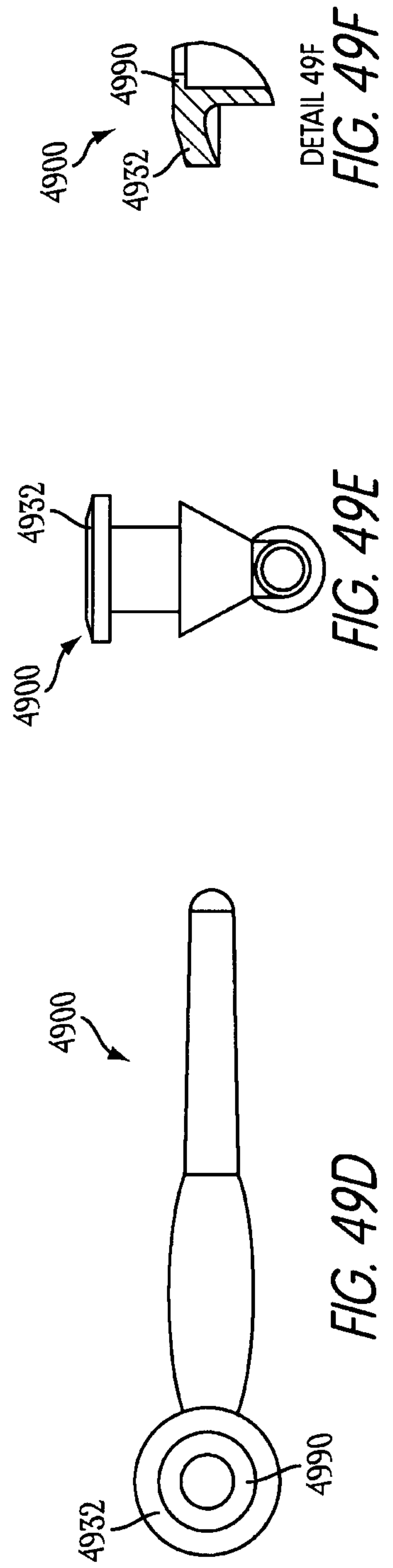
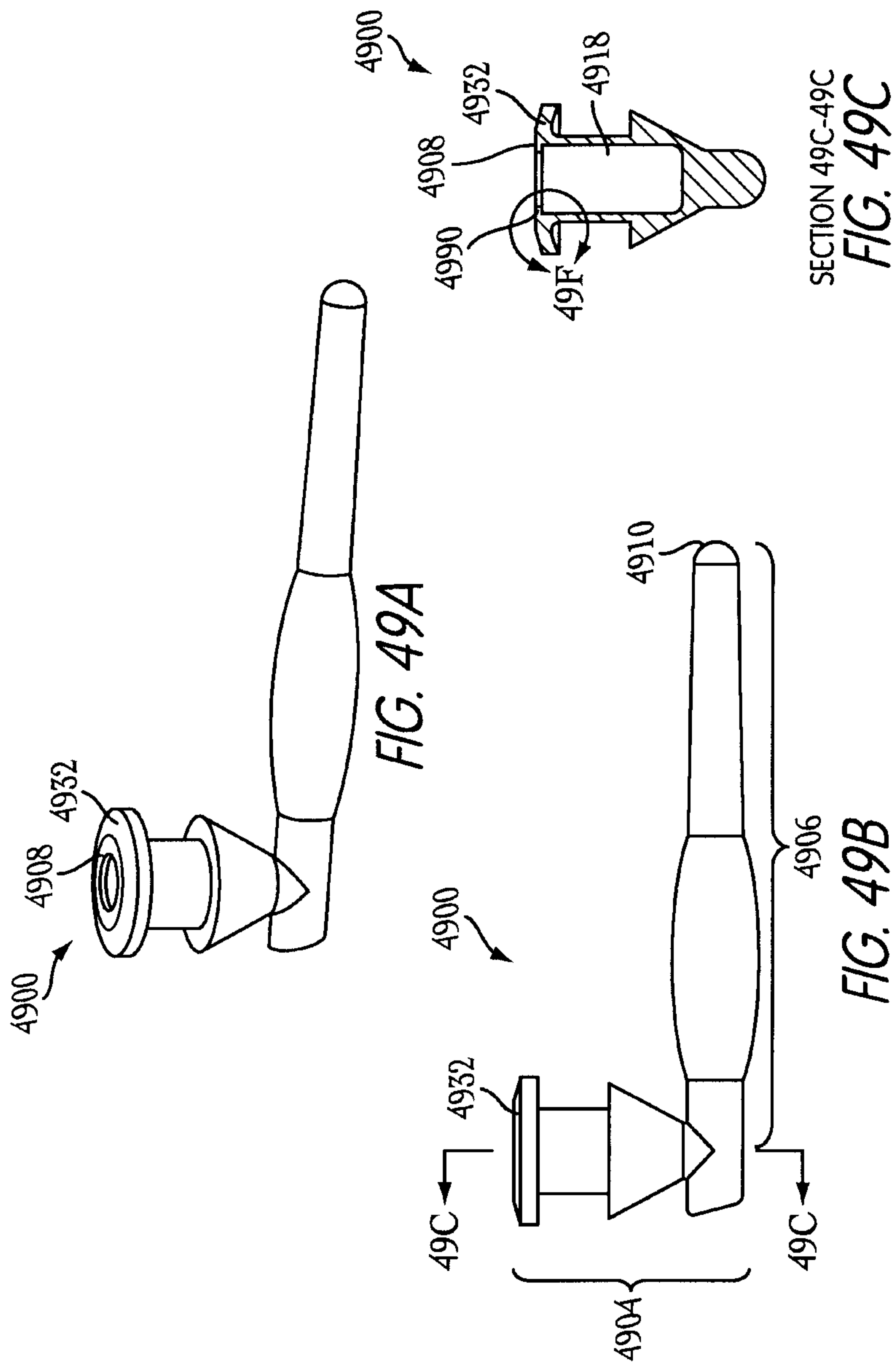


FIG. 48E



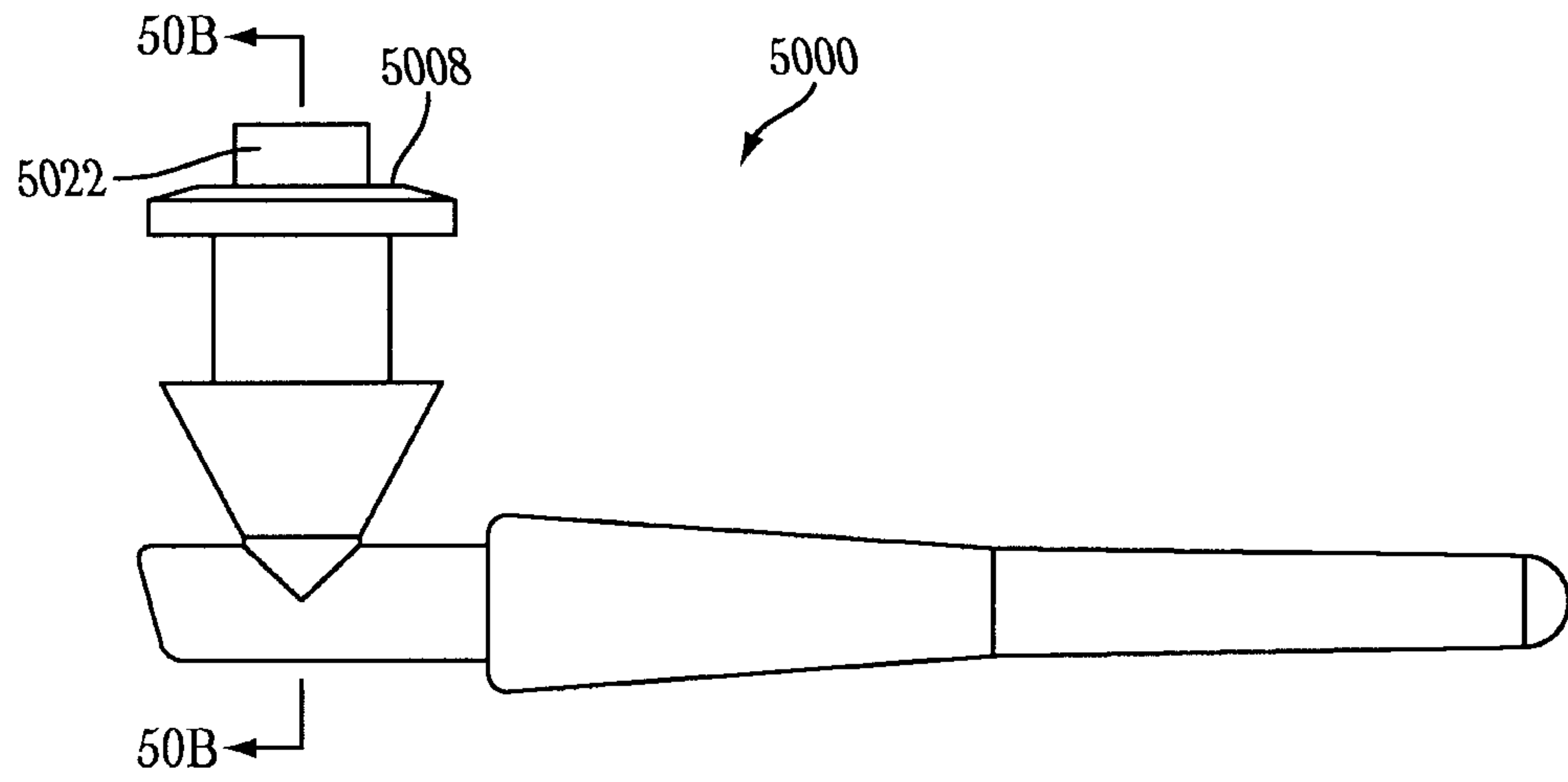
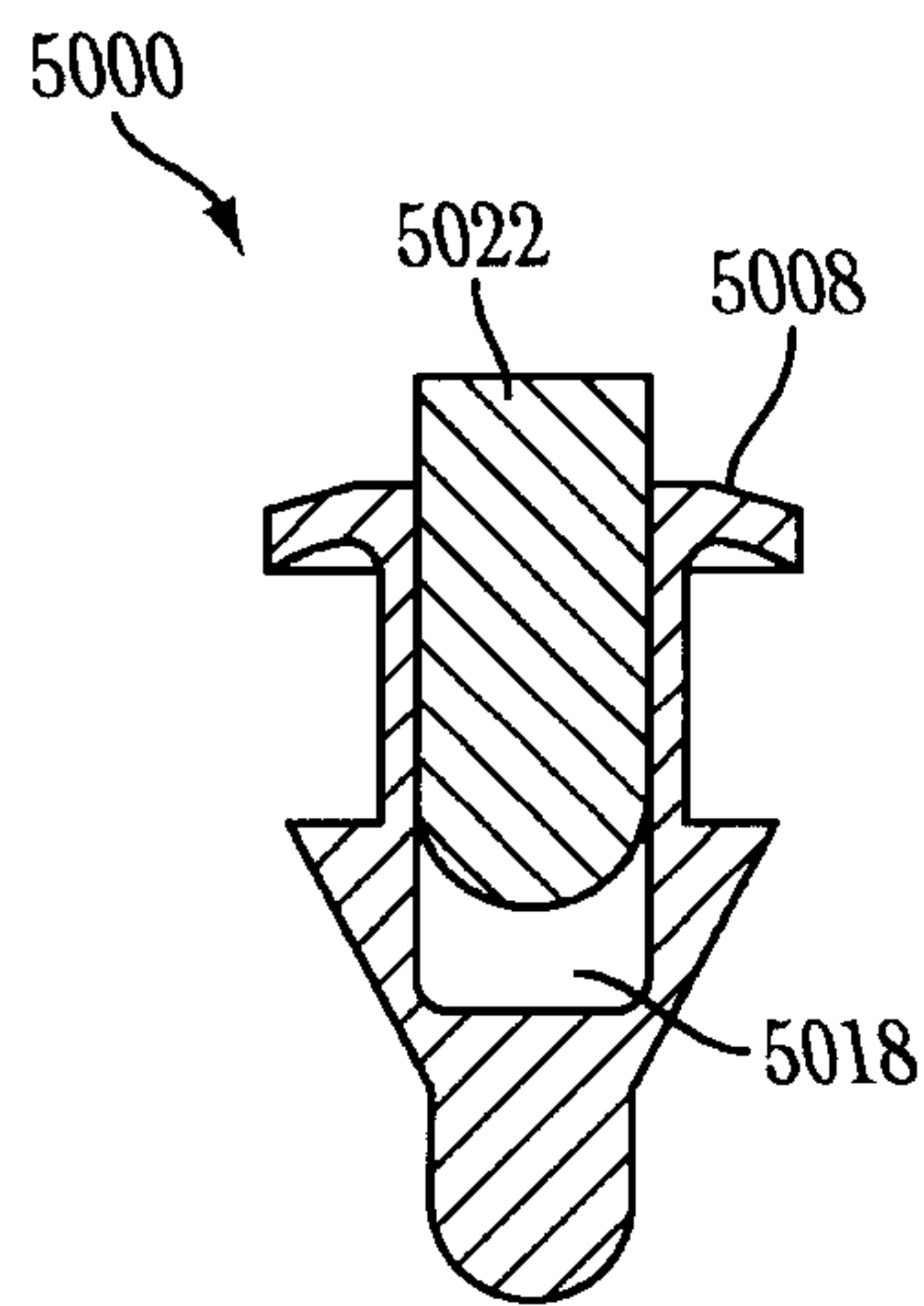
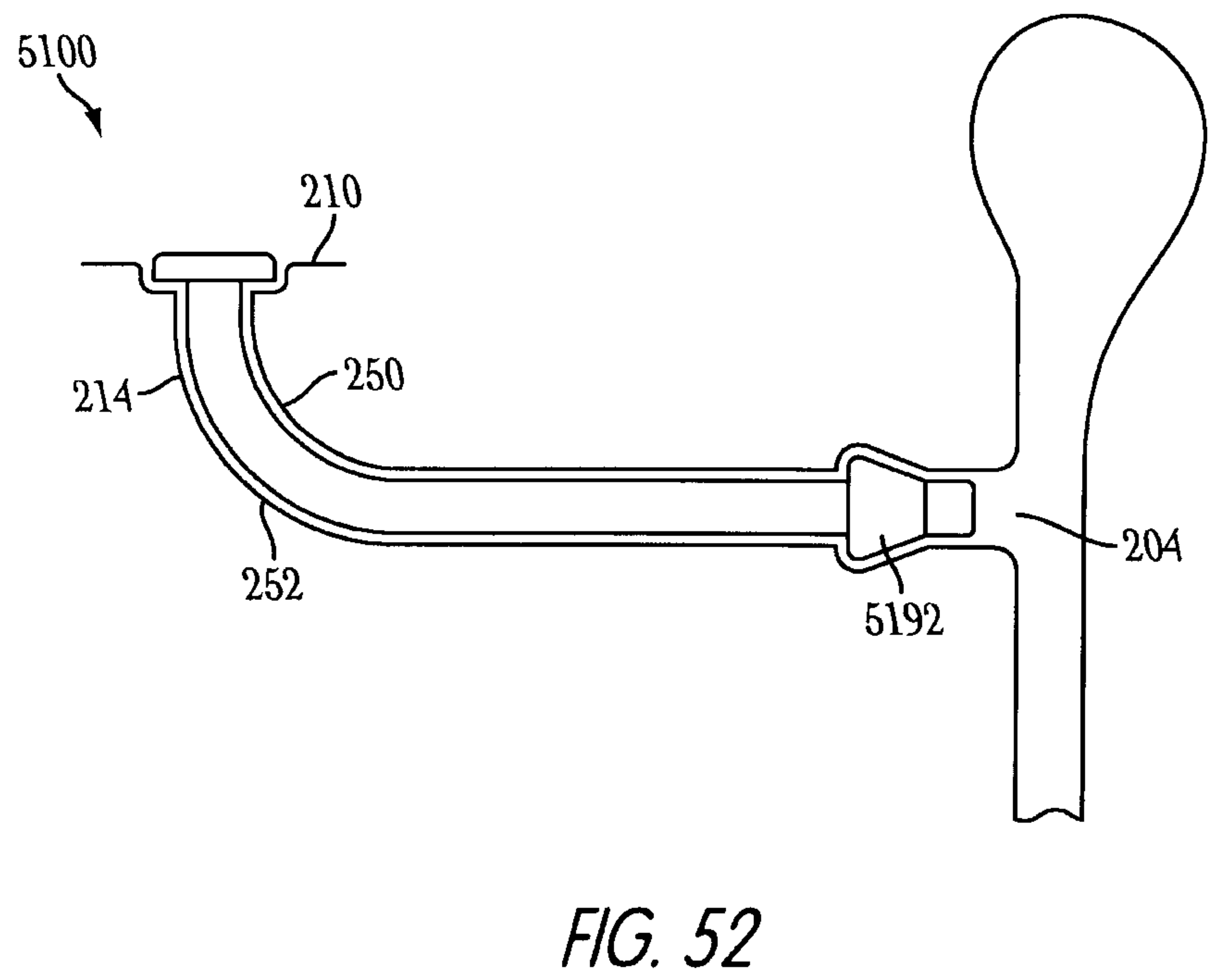
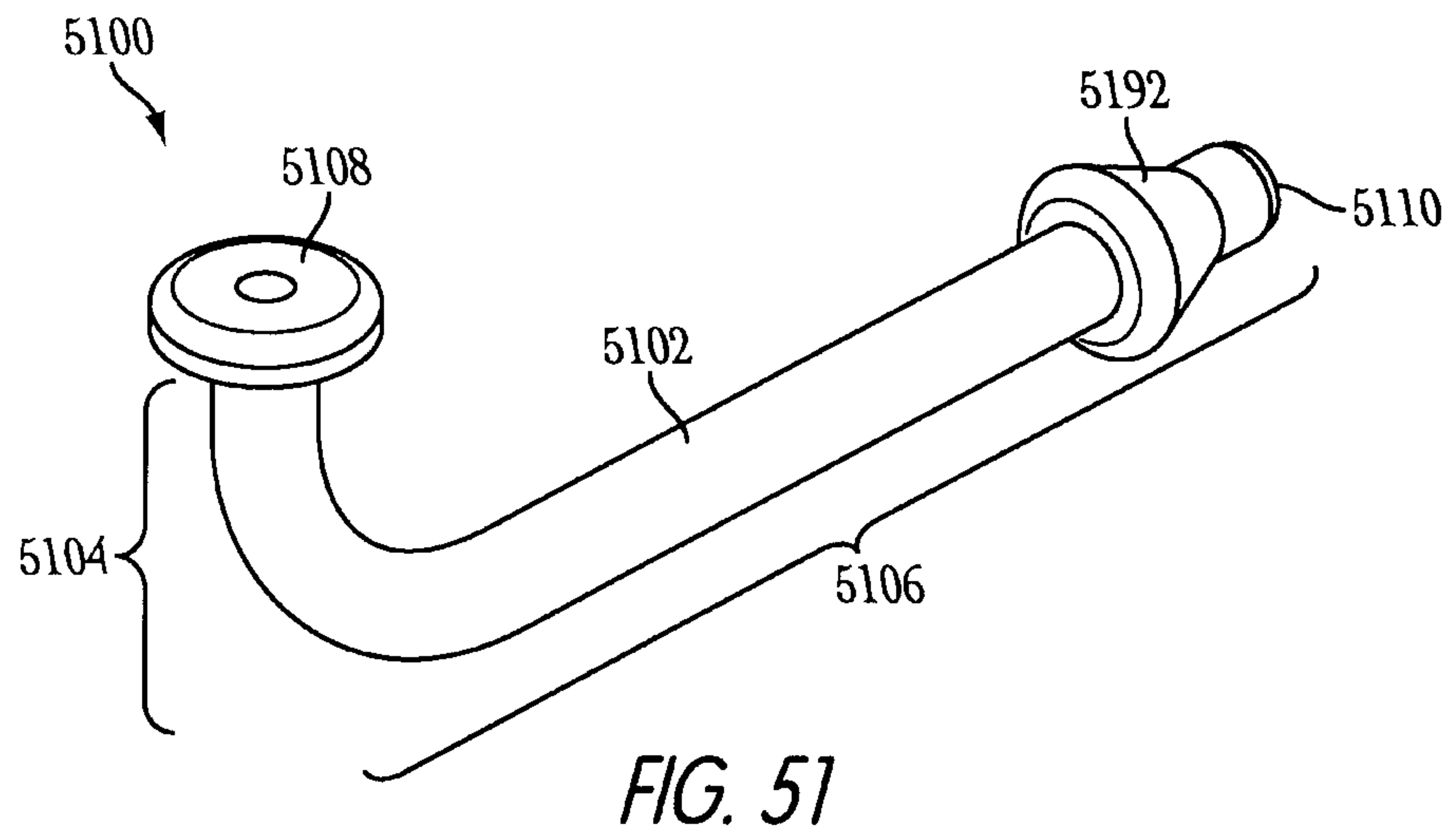


FIG. 50A



SECTION 50B-50B
FIG. 50B



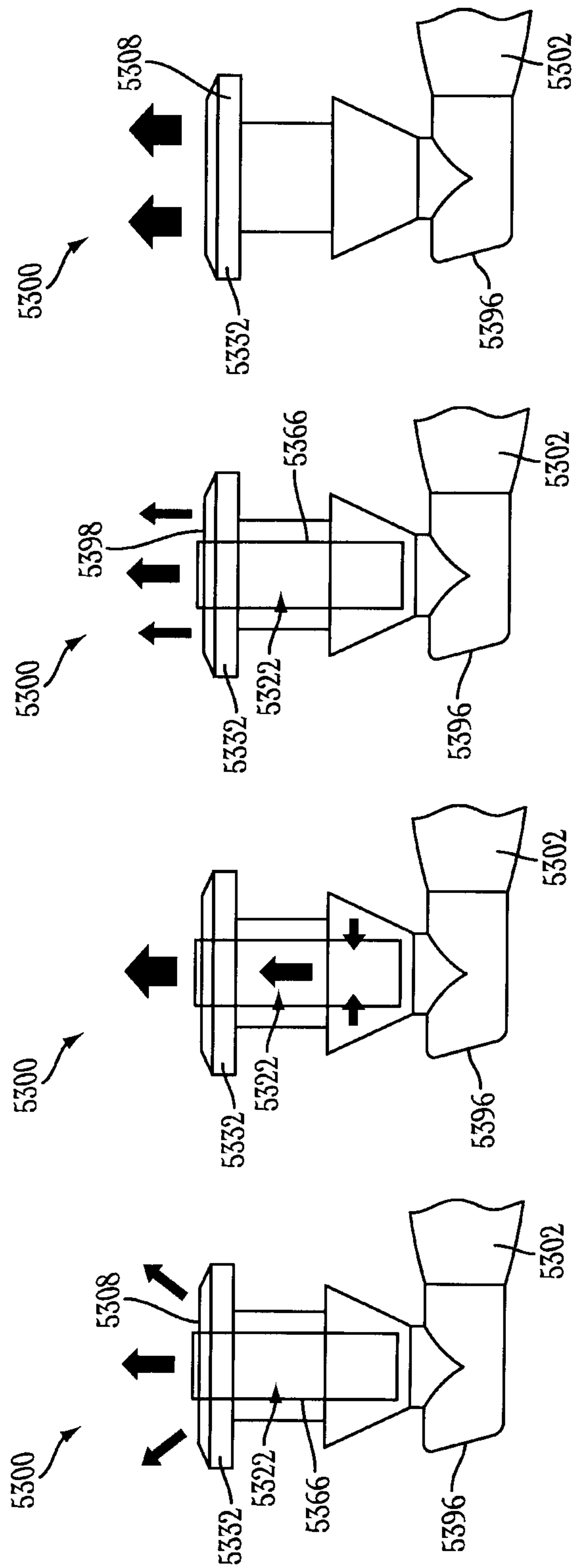
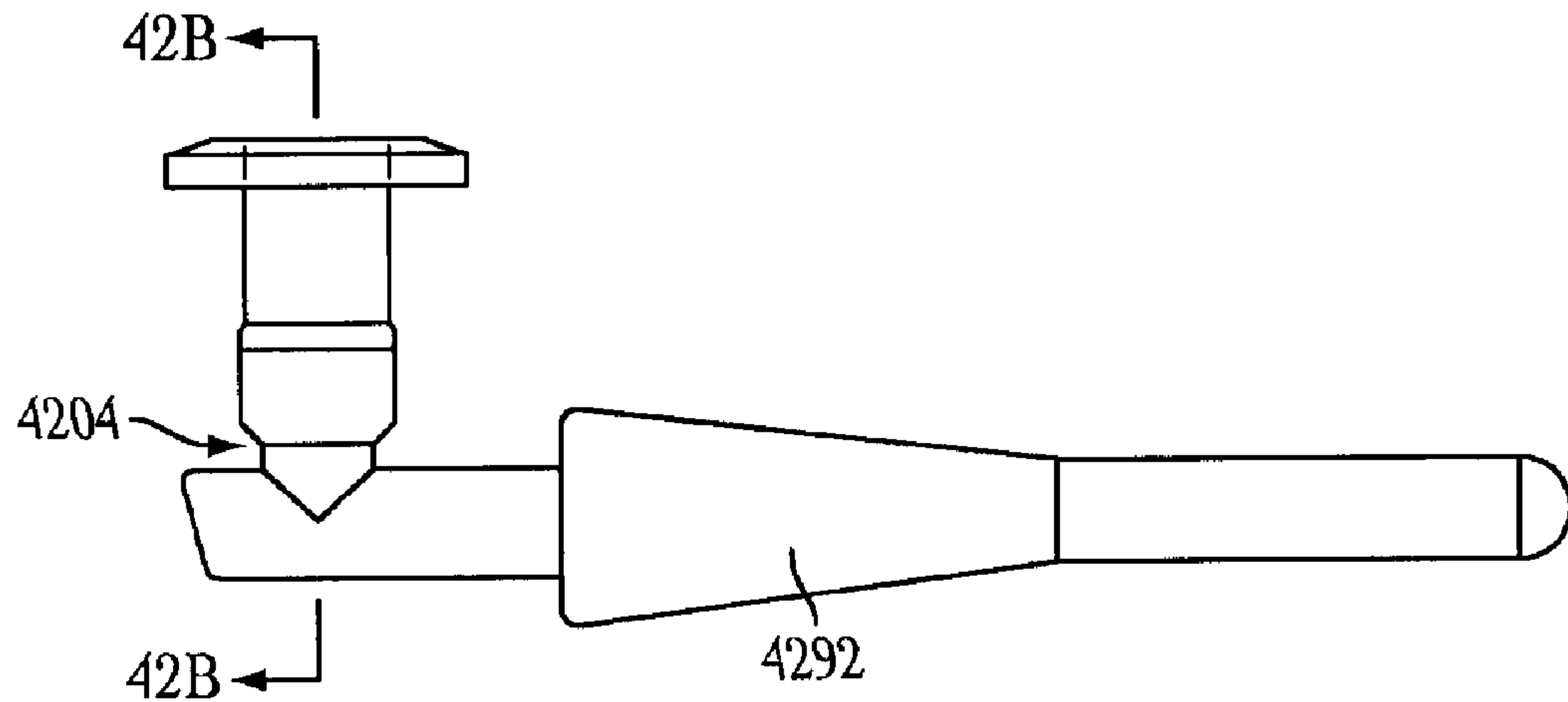


FIG. 53A

FIG. 53B

FIG. 53C

FIG. 53D



A