



(43) International Publication Date

12 May 2016 (12.05.2016)

(51) International Patent Classification:

<i>H01M 4/04</i> (2006.01)	<i>H01M 10/04</i> (2006.01)
<i>H01M 4/133</i> (2010.01)	<i>H01M 10/0525</i> (2010.01)
<i>H01M 4/1393</i> (2010.01)	<i>H01M 10/058</i> (2010.01)
<i>H01M 4/36</i> (2006.01)	<i>H01M 4/134</i> (2010.01)
<i>H01M 4/38</i> (2006.01)	<i>H01M 4/1395</i> (2010.01)
<i>H01M 4/587</i> (2010.01)	<i>H01M 4/485</i> (2010.01)
<i>H01M 4/62</i> (2006.01)	

(72) Inventors; and

(71) **Applicants** : **FUKUSHIMA, Takaaki** [JP/JP]; 2-24-16 Fukutomi Nishi, Minami-ku, Okayama-shi, Okayama, JP 702-8031 (JP). **MISHIMA, Hiromitsu** [JP/JP]; 1100 Mitsugi Hayato-cho, Kirishima-shi, Kagoshima (JP).

(72) **Inventors**: **OTA, Naoki**; 318 Katahdin Drive, Lexington, Massachusetts 02421 (US). **DUDUTA, Mihai**; 41 Brastow Ave, Unit 1, Somerville, Massachusetts 02143 (US). **YU, Hiuling** **Zoe**; 6 Highpoint Circle, #312, Quincy, Massachusetts 02169 (US). **TAN, Taison**; 287 Harvard St. #24, Cambridge, Massachusetts 02139 (US).

(21) International Application Number:

PCT/US2015/058770

(22) International Filing Date:

3 November 2015 (03.11.2015)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

62/074,372 3 November 2014 (03.11.2014) US

(71) **Applicant**: **24M TECHNOLOGIES, INC.** [US/US]; 130 Brookline Street, Suite 200, Cambridge, Massachusetts 02139 (US).

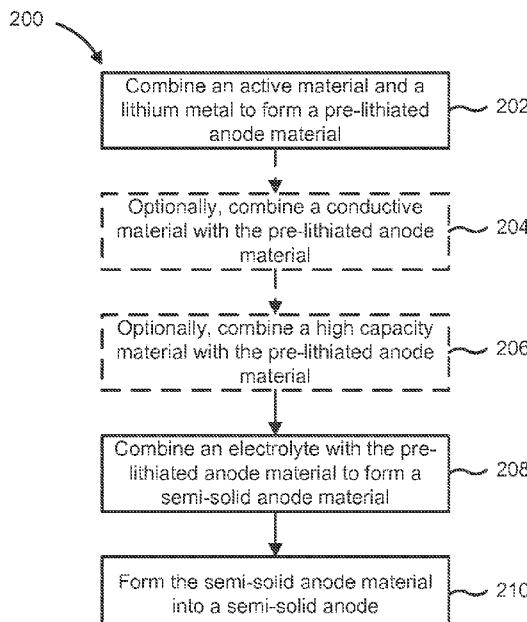
(74) **Agents**: **WESTON, Scott B.** et al.; Cooley LLP, 1299 Pennsylvania Ave., Suite 700, Washington, District of Columbia 20004 (US).

(81) **Designated States** (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM,

[Continued on next page]

(54) Title: PRE-LITHIATION OF ELECTRODE MATERIALS IN A SEMI-SOLID ELECTRODE

Fig. 2



(57) **Abstract**: Embodiments described herein relate generally to electrochemical cells having pre-lithiated semi-solid electrodes, and particularly to semi-solid electrodes that are pre-lithiated during the mixing of the semi-solid electrode slurry such that a solid-electrolyte interface (SEI) layer is formed in the semi-solid electrode before the electrochemical cell formation. In some embodiments, a semi-solid electrode includes about 20% to about 90% by volume of an active material, about 0% to about 25% by volume of a conductive material, about 10% to about 70% by volume of a liquid electrolyte, and lithium (as lithium metal, a lithium-containing material, and/or a lithium metal equivalent) in an amount sufficient to substantially pre-lithiate the active material. The lithium metal is configured to form a solid-electrolyte interface (SEI) layer on a surface of the active material before an initial charging cycle of an electrochemical cell that includes the semi-solid electrode.



PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) **Designated States** (*unless otherwise indicated, for every kind of regional protection available*): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE,

SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

- *as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))*

Published:

- *with international search report (Art. 21(3))*

PRE-LITHIATION OF ELECTRODE MATERIALS IN A SEMI-SOLID ELECTRODE

Cross-Reference to Related Applications

[0001] This application claims priority to and the benefit of U.S. Provisional Patent Application Serial No. 62/074,372, filed November 3, 2014 and titled “Pre-Lithiation of Electrode Materials in a Semi-Solid Electrode,” the disclosure of which is hereby incorporated by reference in its entirety.

Background

[0002] As the demand for batteries having better electronic performance, for example, higher charge capacity, energy density, conductivity, and rate capabilities increases, new electrode designs are needed to meet these criteria. Lithium-ion electrodes and particularly anodes suffer from irreversible capacity loss at the battery formation stage (i.e., the initial cycling step which includes charging and discharging of the electrochemical cell). The irreversible capacity loss can happen due to the transfer of lithium ions from the cathode active material to the anode, where they are used in the formation of the solid-electrolyte interface (SEI) layer.

Summary

[0003] Embodiments described herein relate generally to electrochemical cells having pre-lithiated semi-solid electrodes (e.g., anodes), and particularly to semi-solid electrodes that are pre-lithiated during the mixing of the semi-solid electrode slurry such that a solid-electrolyte interface (SEI) layer is formed in the semi-solid electrode prior to the electrochemical cell formation and/or initial cycling. In some embodiments, a semi-solid electrode includes about 20% to about 90% by volume of an active material, about 0% to about 25% by volume of a conductive material, about 10% to about 70% by volume of a liquid electrolyte, and lithium (as lithium metal, a lithium-containing material, and/or a lithium metal equivalent) in an amount sufficient to substantially pre-lithiate the active material. The lithium metal is configured to form a solid-electrolyte interface (SEI) layer on a surface of the active material and in some cases lithiate and charge the anode material before an initial charging cycle of an electrochemical cell that includes the semi-solid electrode.

Brief Description of the Drawings

[0004] FIG. 1 is a schematic illustration of an electrochemical cell according to an embodiment.

[0005] FIG. 2 is a schematic flow diagram of a method of preparing a pre-lithiated anode, according to an embodiment.

[0006] FIG. 3 shows an optical image of a copper foil coated with lithium used to pre-lithiate a semi-solid anode. The semi-solid anode is shown peeled off from the copper foil after pre-lithiation.

[0007] FIG. 4A is a voltage vs capacity profile of a standard electrochemical cell that includes a standard semi-solid anode, and a pre-lithiated electrochemical cell that includes a pre-lithiated semi-solid anode. FIG. 4B is a differential capacitance (dQ/dV) versus charge capacity plot of the standard electrochemical cell and the pre-lithiated electrochemical cell.

[0008] FIG. 5A is plot of Coulomb efficiency and FIG. 5B is a plot of capacity retained by the second electrochemical cell that includes the pre-lithiated semi-solid anode shown in FIG. 3, after 8 charge and discharge cycles.

[0009] FIG. 6A shows an optical image of a semi-solid anode suspension on which lithium metal powder is disposed before mixing. FIG. 6B shows the semi-solid anode suspension after mixing and storing for 1 day.

Detailed Description

[0010] Embodiments described herein relate generally to electrochemical cells having pre-lithiated semi-solid electrodes, and particularly to semi-solid electrodes that are pre-lithiated during the mixing of the semi-solid electrode slurry such that a solid-electrolyte interface (SEI) layer is formed in the semi-solid electrode before the electrochemical cell formation. Consumer electronic batteries have gradually increased in energy density with the progress of lithium-ion battery technology. The stored energy, or charge capacity, of a manufactured battery is a function of: (1) the inherent charge capacity of the active material (mAh/g), (2) the volume of the electrodes (cm^3) (i.e., the product of the electrode thickness, electrode area, and number of layers (stacks)), and (3) the loading of active material in the electrode media (e.g., grams of active material per cm^3 of electrode media). Therefore, to

enhance commercial appeal (e.g., increased energy density and decreased cost), it is generally desirable to increase the areal charge capacity (mAh/cm²) and also to reduce the irreversible capacity loss that can occur, particularly in lithium-ion batteries.

[0011] Semi-solid electrodes described herein can be made: (i) thicker (e.g., greater than about 250 μ m - up to about 2,000 μ m or even greater) due to the reduced tortuosity and higher electronic conductivity of the semi-solid electrode, (ii) with higher loadings of active materials, and (iii) with a simplified manufacturing process utilizing less equipment. These semi-solid electrodes can be formed in fixed or flowable configurations and decrease the volume, mass and cost contributions of inactive components with respect to active components, thereby enhancing the commercial appeal of batteries made with the semi-solid electrodes. The reduced tortuosity and a higher electronic conductivity of the semi-solid electrodes described herein, results in superior rate capability and charge capacity of electrochemical cells formed from the semi-solid electrodes.

[0012] Since the semi-solid electrodes described herein can be made substantially thicker than conventional electrodes, the ratio of active materials (i.e., the semi-solid cathode and/or anode) to inactive materials (i.e. the current collector and separator) can be much higher in a battery formed from electrochemical cell stacks that include semi-solid electrodes relative to a similar battery formed from electrochemical cell stacks that include conventional electrodes. This substantially increases the overall charge capacity and energy density of a battery that includes the semi-solid electrodes described herein. Examples of electrochemical cells utilizing thick semi-solid electrodes and various formulations thereof are described in U.S. Patent Application No. 13/872,613 (also referred to as “the ‘613 application”), filed April 29, 2013, entitled “Semi-Solid Electrodes Having High Rate Capability,” and U.S. Patent Application No. 14/202,606 (also referred to as “the ‘606 application”), filed March 10, 2014, entitled “Asymmetric Battery Having a Semi-Solid Cathode and High Energy Density Anode,” the entire disclosures of which are incorporated by reference herein.

[0013] Lithium-ion electrodes, and particularly their anodes, can suffer from irreversible capacity loss at the battery formation stage (i.e., the initial cycling step which includes charging and discharging of the electrochemical cell that includes the electrodes). Irreversible capacity loss can occur due to consumption of lithium ions from the cathode active material by the anode, which uses those lithium ions in the formation of the SEI layer. This quantity of consumed lithium becomes unavailable for subsequent use in electric charge

storage, and therefore represents an undesirable and irreversible capacity loss. Moreover, this irreversible capacity loss can be accompanied by volumetric expansion of the anode due to the lithium ions being irreversibly trapped in the anode material. This volumetric expansion problem is exacerbated in semi-solid anodes that include high capacity anode materials (e.g., silicon or tin) in the semi-solid anode formulation, since high capacity anode materials are capable of incorporating a larger amount of lithium (and enable higher energy cell designs), as compared with conventional materials such as graphite. For example, while graphite can incorporate about 1 lithium atom for every 6 carbon atoms, silicon can theoretically incorporate about 4.4 lithium atoms for every silicon atom. This higher capacity can allow the formation of electrochemical cells with much higher charge capacity per unit area relative to conventional electrochemical cells, however the higher number of lithium ions incorporated also implies that the semi-solid anodes that include high capacity materials consume more of the lithium from the cathode to form the SEI layer, leading to an even higher magnitude of the irreversible capacity. Furthermore, silicon experiences substantial volumetric expansion due to the incorporation of the lithium ions into the silicon atoms. The repeated volume changes (i.e., expansion and/or contraction) can negatively impact the charge capacity, and cause irreversible mechanical damage which can reduce the life of the electrochemical cell. Further discussion of the effects of lithiation on stress and morphology of silicon electrodes can be found in “*In situ* Measurements of Stress Evolution in Silicon Thin Films During Electrochemical Lithiation and Delithiation,” by V. Sethuraman, et al., Journal of Power Sources 195 (2010) 5062-5066, the contents of which are hereby incorporated by reference in their entirety.

[0014] Embodiments of the semi-solid electrodes described herein are pre-lithiated with lithium during the preparation of the semi-solid electrode suspension and before formation of an electrochemical cell, thereby overcoming, at least in part, the irreversible capacity loss and volumetric expansion problems discussed above. The semi-solid electrodes described herein allow the mixing of the lithium metal during the mixing process of the electrode slurry, unlike conventional electrodes. This is possible because the semi-solid electrodes described herein includes the electrolyte mixed into the semi-solid electrode composition. The electrolyte provides a medium for lithium ions provided by the lithium metal to interact with the active materials included in the semi-solid electrode, particularly the active materials (e.g., graphite) or high capacity materials (e.g., silicon or tin) included in a semi-solid anode. This allows the SEI layer to form during the mixing step such that when such a pre-lithiated

semi-solid anode is paired with a cathode in an electrochemical cell, the lithium ions from the cathode are not used to form the SEI layer. Said another way, because of pre-lithiation, the lithium ions from the cathode do not contribute to irreversible capacity loss at the anode, allowing the cathode to maintain its initial capacity after electrochemical cell formation. Moreover, the electrolyte included in the semi-solid electrode composition also protects the lithium metal from the ambient environment (e.g., moisture or humidity of the ambient environment) and thereby, allows the lithium metal to remain stable during the mixing process.

[0015] Another advantage provided by pre-lithiation of the semi-solid electrodes described herein is that the anode can be pre-lithiated such that it is completely charged before it is paired with a cathode. This enables the use of cathodes that do not include any available lithium for forming the SEI layer in the anode. Thus, carbon based anode materials can be used instead of lithium metal leading to better cycle stability and safety. Furthermore, intercalation of the lithium ions into high capacity materials included in the anode can also occur during the mixing step, which allows any expansion of the high capacity material to occur during the mixing step. Said another way, the pre-lithiation can pre-expand the semi-solid anode such that the semi-solid anode experiences less expansion during electrochemical cell formation and subsequent charge/discharge cycles. In this manner, any physical damage to the electrochemical cell due to the semi-solid anode expansion is substantially reduced or in certain cases possibly eliminated. Thus, electrochemical cells that include such pre-lithiated semi-solid anodes can have substantially higher mechanical stability and longer life compared to anodes (e.g., semi-solid anodes) that are not pre-lithiated.

[0016] Embodiments of the pre-lithiated semi-solid electrodes described herein provide several advantages over conventional electrodes including, for example: (1) formation of SEI layer on an active material of the semi-solid electrode (e.g., the anode) before the electrochemical cell is formed; (2) limiting or otherwise substantially eliminating the formation of an SEI layer with lithium ions extracted from the other electrode (e.g., the cathode); (3) retaining of substantially all of an initial capacity of the other electrode (e.g., the cathode) after electrochemical cell formation; (4) pre-expansion of semi-solid anodes that include a high capacity material by pre-lithiation before electrochemical cell formation to limit and or reduce any volumetric expansion of the semi-solid anode on electrochemical cell formation and during regular use; and (5) enhancing the charge capacity and operational lifetime of the electrochemical cell.

[0017] In some embodiments, a semi-solid electrode includes about 20% to about 90% by volume of an active material, about 0% to about 25% by volume of a conductive material, about 10% to about 70% by volume of a liquid electrolyte, and lithium (as lithium metal, a lithium-containing material, and/or a lithium metal equivalent) in an amount sufficient to substantially pre-lithiate the active material. The lithium is configured to form a solid-electrolyte interface (SEI) layer on a surface of the active material before an initial charging cycle of an electrochemical cell that includes the semi-solid electrode. In some embodiments, the lithium metal can include at least one of lithium metal powder, lithium salt, lithium foil, and lithium metal disposed on a semi-solid electrode current collector.

[0018] In some embodiments, a semi-solid electrode, excluding the electrolyte component, includes about 75% to about 100% by weight of an active material, about 0% to 50% by weight of a conductive material, and about 1% to 50% lithium metal or lithium-ion equivalents. The total solid components of the electrode are composed of the active material, conductive material, and lithium-ion equivalents. The solid components compose of 35% to about 90% by volume of the semi-solid electrode and the electrolyte composes of 10% to about 65% by volume of the semi-solid electrode. The lithium metal is added to the electrode in order to consume the irreversible capacity of the anode material, which can range from 1% to 50% of the theoretical first charge capacity of the anode material. In other usages, the lithium metal may be mixed with a non-lithiated cathode material to create a cathode material that is lithiated for use in a secondary battery such as FeS₂. In this case, the amount of lithium metal or lithium-ion equivalents used would be equal to the total available capacity of the cathode. Another usage of pre-lithiation is to lithiate the anode to not only consume all the irreversible capacity but also further lithiate the anode in order to provide a buffer for active lithium-ions that would be saved as a reserve in future cycling usage. In such embodiments, the amount of lithium metal used would range from 10% to 50% of the theoretical capacity of the anode. In another example, the pre-lithiation process could be used to lithiate the cathode material to provide it with an excess amount of lithium ions (i.e., to “over-lithiate” the cathode), thus possibly making the material more stable during electrochemical cycling.

[0019] In some embodiments, a method of preparing a pre-lithiated anode includes combining an active material and a lithium metal to form a pre-lithiated anode. An electrolyte is combined with the pre-lithiated material to form a semi-solid anode material. The semi-solid anode material is then formed into a semi-solid anode. In some embodiments, a conductive material can optionally be combined with the pre-lithiated anode material. In

some embodiments, a high capacity material can optionally be combined with the pre-lithiated anode material.

[0020] As used herein, the term “about” and “approximately” generally mean plus or minus 10% of the value stated, e.g., about 250 μm would include 225 μm to 275 μm , about 1,000 μm would include 900 μm to 1,100 μm .

[0021] As used herein, the term “semi-solid” refers to a material that is a mixture of liquid and solid phases, for example, such as particle suspension, colloidal suspension, emulsion, gel, or micelle.

[0022] As used herein, the terms “conductive carbon network” and “networked carbon” relate to a general qualitative state of an electrode. For example, an electrode with a carbon network (or networked carbon) is such that the carbon particles within the electrode assume an individual particle morphology and arrangement with respect to each other that facilitates electrical contact and electrical conductivity between particles and through the thickness and length of the electrode. Conversely, the term “unnetworked carbon” relates to an electrode wherein the carbon particles either exist as individual particle islands or multi-particle agglomerate islands that may not be sufficiently connected to provide adequate electrical conduction through the electrode.

[0023] As used herein, the term “electrochemical cell formation” refers to the initial charge and/or discharge cycle performed on an electrochemical cell after the components of the electrochemical cell (e.g., cathode, anode, spacer, current collectors, etc.) are assembled for the first time to form the electrochemical cell.

[0024] As used herein, the term “capacity” may be synonymous with “battery capacity,” “volumetric energy density,” and/or “specific energy.”

[0025] FIG. 1 shows a schematic illustration of an electrochemical cell 100. The electrochemical cell 100 includes a positive current collector 110, a negative current collector 120 and a separator 130 disposed between the positive current collector 110 and the negative current collector 120. The positive current collector 110 is spaced from the separator 130 by a first distance t_1 and at least partially defines a positive electroactive zone. The negative current collector 120 is spaced from the separator 130 by a second distance t_2 and at least partially defines a negative electroactive zone. A semi-solid cathode 140 is disposed in the positive electroactive zone and a semi-solid anode 150 is disposed in the negative

electroactive zone. In some embodiments, the thickness of the positive electroactive zone defined by the distance t_1 and/or the thickness of the negative electroactive zone defined by the distance t_2 can be in range of about 250 μm to about 2,000 μm .

[0026] The semi-solid cathode 140 and/or the semi-solid anode 150 can be disposed on a current collector, for example, coated, casted, drop coated, pressed, roll pressed, or deposited using any other suitable method. The semi-solid cathode 140 can be disposed on the positive current collector 110 and the semi-solid anode 150 can be disposed on the negative current collector 120. For example the semi-solid cathode 140 and/or the semi-solid anode 150 can be coated, casted, calendered and/or pressed on the positive current collector 110 and the negative current collector 120, respectively. The positive current collector 110 and the negative current collector 120 can be any current collectors that are electronically conductive and are electrochemically inactive under the operating conditions of the cell. Typical current collectors for lithium cells include copper, aluminum, or titanium for the negative current collector 120 and aluminum for the positive current collector 110, in the form of sheets or mesh, or any combination thereof.

[0027] Current collector materials can be selected to be stable at the operating potentials of the semi-solid cathode 140 and the semi-solid anode 150 of the electrochemical cell 100. For example, in non-aqueous lithium systems, the positive current collector 110 can include aluminum, or aluminum coated with conductive material that does not electrochemically dissolve at operating potentials of 2.5-5.0 V with respect to Li/Li^+ . Materials coating an aluminum current collector may include platinum, gold, nickel, conductive metal oxides such as vanadium oxide, and carbon. The negative current collector 120 can include copper or other metals that do not form alloys or intermetallic compounds with lithium, carbon, and/or coatings comprising such materials disposed on another conductor.

[0028] The semi-solid cathode 140 and the semi-solid anode 150 included in an electrochemical cell can be separated by a separator 130. For example, the separator 130 can be any conventional membrane that is capable of ion transport. In some embodiments, the separator 130 is a liquid impermeable membrane that permits the transport of ions therethrough, namely a solid or gel ionic conductor. In some embodiments the separator 130 is a porous polymer membrane infused with a liquid electrolyte that allows for the shuttling of ions between the semi-solid cathode 140 and the semi-solid anode 150 electroactive materials, while preventing the transfer of electrons. In some embodiments, the separator 130

is a microporous membrane that prevents particles forming the positive and negative electrode compositions from crossing the membrane. In some embodiments, the separator 130 is a single or multilayer microporous separator, optionally with the ability to fuse or “shut down” above a certain temperature so that it no longer transmits working ions, of the type used in the lithium ion battery industry and well-known to those skilled in the art. In some embodiments, the separator 130 can include a polyethyleneoxide (PEO) polymer in which a lithium salt is complexed to provide lithium conductivity, or Nafion™ membranes which are proton conductors. For example, PEO based electrolytes can be used as the separator 130, which is pinhole-free and a solid ionic conductor, optionally stabilized with other membranes such as glass fiber separators as supporting layers. PEO can also be used as a slurry stabilizer, dispersant, etc. in the positive or negative redox compositions. PEO is stable in contact with typical alkyl carbonate-based electrolytes. This can be especially useful in phosphate-based cell chemistries with cell potential at the positive electrode that is less than about 3.6 V with respect to Li metal. The operating temperature of the redox cell can be elevated as necessary to improve the ionic conductivity of the membrane.

[0029] The semi-solid cathode 140 can be a semi-solid stationary cathode. The semi-solid cathode 140 can include an ion-storing solid phase material which can include, for example, an active material and/or a conductive material. The quantity of the ion-storing solid phase material can be in the range of about 0% to about 90% by volume. The semi-solid cathode 140 can include an active material such as, for example, a lithium bearing compound (e.g., Lithium Iron Phosphate (LFP), LiCoO₂, LiCoO₂ doped with Mg, LiNiO₂, Li(Ni, Co, Al)O₂ (known as “NCA”), Li(Ni, Mn, Co)O₂ (known as “NMC”), LiMn₂O₄ and its derivatives, etc.). The semi-solid cathode 140 can also include a conductive material such as, for example, graphite, carbon powder, pyrolytic carbon, carbon black, carbon fibers, carbon microfibers, carbon nanotubes (CNTs), single walled CNTs, multi walled CNTs, fullerene carbons including “bucky balls,” graphene sheets, aggregates of graphene sheets, and/or any other conductive material, alloys or combination thereof. The semi-solid cathode 140 can also include a non-aqueous liquid electrolyte such as, for example, ethylene carbonate, dimethyl carbonate, diethyl carbonate, propylene carbonate, gamma butyrolactone, or any other electrolyte described herein or combination thereof. In some embodiments, the electrolyte comprises one or more of the following salts: lithium hexafluorophosphate (LiPF₆), LiPF₅(CF₃), LiPF₅(C₂F₅), LiPF₅(C₃F₇), LiPF₄(CF₃)₂, LiPF₄(CF₃)(C₂F₅), LiPF₃(CF₃)₃, LiPF₃(CF₂CF₃)₃, LiPF₄(C₂O₄)₂, LiBF₄, LiBF₃(C₂F₅), LiBOB, lithium bis(oxalato)borate

(LiBOP), lithium oxalyldifluoroborate (LIODFB), lithium difluoro(oxalato)borate (LiDFOB), lithium bis(trifluoro methanesulfonyl)imide (LiTFSI), LiN(SO₂CF₃)₂, lithium bis(fluorosulfonyl)imide (LiFSI), LiN(SO₂F)₂, LiN(SO₂C₂F₅)₂, LiCF₃SO₃, LiAsF₆, LiSbF₆, LiClO₄, LiTFSI, LiFSI, and/or other organic or inorganic anions and/or compounds, for example belonging to the families listed herein.

[0030] In some embodiments, the semi-solid anode 150 includes an ion-storing solid phase material which can include, for example, an active material and/or a conductive material. The quantity of the ion-storing solid phase material can be in the range of about 0% to about 90% by volume. The anode 150 can include an anode active material such as, for example, lithium metal, carbon, lithium-intercalated carbon, graphite, lithium nitrides, lithium alloys and lithium alloy forming compounds of silicon, bismuth, boron, gallium, indium, zinc, tin, tin oxide, antimony, aluminum, titanium oxide, molybdenum, germanium, manganese, niobium, vanadium, tantalum, gold, platinum, iron, copper, chromium, nickel, cobalt, zirconium, yttrium, molybdenum oxide, germanium oxide, silicon oxide, silicon carbide, any other materials or alloys thereof, and any other combination thereof.

[0031] The semi-solid anode 150 (e.g., a semi-solid anode) can also include a conductive material which can be a carbonaceous material such as, for example, graphite, carbon powder, pyrolytic carbon, carbon black, carbon fibers, carbon microfibers, carbon nanotubes (CNTs), single walled CNTs, multi walled CNTs, fullerene carbons including “bucky balls”, graphene sheets and/or aggregate of graphene sheets, any other carbonaceous material or combination thereof. In some embodiments, the semi-solid anode 150 can also include a non-aqueous liquid electrolyte such as, for example, ethylene carbonate, dimethyl carbonate, diethyl carbonate, propylene carbonate, or any other electrolyte described herein or combination thereof.

[0032] In some embodiments, the semi-solid cathode 140 and/or the semi-solid anode 150 can include active materials and optionally conductive materials in particulate form suspended in a non-aqueous liquid electrolyte. In some embodiments, the semi-solid cathode 140 and/or the semi-solid anode 150 particles (e.g., cathodic or anodic particles, which in some embodiments are secondary particles formed by the agglomeration of primary particles) can have an effective diameter of at least about 1 μm . In some embodiments, the cathodic or anodic particles have an effective diameter between about 1 μm and about 10 μm . In other embodiments, the cathodic or anodic particles have an effective diameter of at least about 10

μm or more. In some embodiments, the cathodic or anodic particles have an effective diameter of less than about 1 μm. In other embodiments, the cathodic or anodic particles have an effective diameter of less than about 0.5 μm. In other embodiments, the cathodic or anodic particles have an effective diameter of less than about 0.25 μm. In other embodiments, the cathodic or anodic particles have an effective diameter of less than about 0.1 μm. In other embodiments, the cathodic or anodic particles have an effective diameter of less than about 0.05 μm. In other embodiments, the cathodic or anodic particles have an effective diameter of less than about 0.01 μm.

[0033] In some embodiments, the semi-solid cathode 140 includes about 20% to about 90% by volume of an active material. In some embodiments, the semi-solid cathode 140 can include about 40% to about 75% by volume, about 50% to about 75% by volume, about 60% to about 75% by volume, or about 60% to about 90% by volume of an active material.

[0034] In some embodiments, the semi-solid cathode 140 can include about 0% to about 25% by volume of a conductive material. In some embodiments, the semi-solid cathode 140 can include about 0.5% to about 25% by volume, about 1% to about 6% by volume, about 6% to about 12%, or about 2% to about 15% by volume of a conductive material.

[0035] In some embodiments, the semi-solid cathode 140 can include about 10% to about 70% by volume of an electrolyte. In some embodiments, the semi-solid cathode 140 can include about 30% to about 60%, about 40% to about 50%, or about 10% to about 40% by volume of an electrolyte.

[0036] In some embodiments, the semi-solid anode 150 can include about 20% to about 90% by volume of an active material. In some embodiments, the semi-solid anode 150 can include about 40% to about 75% by volume, about 50% to about 75%, about 60% to about 75%, or about 60% to about 90% by volume of an active material.

[0037] In some embodiments, the semi-solid anode 150 can include about 0% to about 20% by volume of a conductive material. In some embodiments, the semi-solid anode 150 can include about 1% to about 10%, 1% to about 6%, about 0.5% to about 2% by volume, about 2% to about 6%, or about 2% to about 4% by volume of a conductive material.

[0038] In some embodiments, the semi-solid anode 150 can include about 10% to about 70% by volume of an electrolyte. In some embodiments, the semi-solid anode 150 can include about 30% to about 60%, about 40% to about 50%, or about 10% to about 40% by

volume of an electrolyte.

[0039] Examples of active materials, conductive materials, and/or electrolytes that can be used in the semi-solid cathode 140 and/or the semi-solid anode 150 compositions, various formulations thereof, and electrochemical cells formed therefrom, are described in the '613 application and the '606 application.

[0040] In some embodiments, the semi-solid anode 150 can also include about 1% to about 30% by volume of a high capacity material. Such high capacity materials can include, for example, silicon, bismuth, boron, gallium, indium, zinc, tin, antimony, aluminum, titanium oxide, molybdenum, germanium, manganese, niobium, vanadium, tantalum, iron, copper, gold, platinum, chromium, nickel, cobalt, zirconium, yttrium, molybdenum oxide, germanium oxide, silicon oxide, silicon carbide, any other high capacity materials or alloys thereof, and any combination thereof. In some embodiments, the semi-solid anode can include about 1% to about 5% by volume, about 1% to about 10% by volume, or about 1% to about 20% by volume of the high capacity material. In some embodiments, the high capacity material can constitute up to 50% of the volume of the semi-solid anode. In other embodiments, the high capacity material can constitute up to 100%, or substantially all, of the volume of the semi-solid anode. Examples of high capacity materials that can be included in the semi-solid anode 150, various formulations thereof and electrochemical cells formed therefrom, are described in the '606 application.

[0041] The semi-solid anode 150 and/or the semi-solid cathode 140 can also include a lithium metal, a lithium-containing material (e.g., LiFePO_4 , $\text{Li}(\text{Mn}_{1/3}\text{Ni}_{1/3}\text{Co}_{1/3})\text{O}_2$ and LiMn_2O_4 , and/or LiCoO_2), and/or a lithium metal equivalent (e.g., a lithium ion, which may or may not be intercalated and/or associated with an electrode material) in the semi-solid electrode composition. In some embodiments, the lithium metal can be included/introduced in the semi-solid anode 150 and/or the semi-solid cathode 140 during the mixing of the semi-solid electrode suspension. The lithium metal, lithium-containing material, and/or lithium metal equivalent can be present within the semi-solid anode and/or the semi-solid cathode in an amount that is sufficient to at least partially (or, in some embodiments, substantially fully) "pre-lithiate" the semi-solid anode and/or semi-solid cathode. For example, the lithium metal, lithium-containing material, and/or lithium metal equivalent can be included in the semi-solid anode 150 and/or semi-solid cathode 140 before the electrochemical cell 100 is assembled and formed. The lithium metal, lithium-containing material, and/or lithium metal

equivalent can be configured to form an SEI layer on a surface of the active material included in the semi-solid electrode (e.g., the semi-solid anode 150) before an initial charging cycle of the electrochemical cell 100 (i.e., before electrochemical cell formation). Thus, little or no amount of lithium from the semi-solid cathode 140 is consumed in forming the SEI layer on the surface(s) of the semi-solid anode active material during the electrochemical cell 100 formation stage. Therefore, the possibility of loss in capacity of the semi-solid cathode 140 due to consumption of cathodic lithium ions in the anodic SEI layer formation is reduced or eliminated.

[0042] In some embodiments, the amount of lithium metal, lithium-containing material, and/or lithium metal equivalent may be sufficient to fully compensate for (i.e., prevent) the traditional lithium consumption that occurs in the formation of an SEI layer during initial cell cycling (e.g., lithium ions that are “robbed” from the cathode). In other embodiments, the amount of lithium metal, lithium-containing material, and/or lithium metal equivalent may **exceed** the amount required to fully compensate for the traditional SEI layer formation. In such embodiments, the excess lithium contained within the anode may help to offset capacity degradation due to side reactions occurring in the anode, and/or may constitute a “pre-charging” of the anode itself. The amount of pre-charging of the anode, by virtue of excess intercalated lithium (e.g., metallic Li or a compound or ion thereof), depends upon the amount of lithium metal, lithium-containing material, and/or lithium metal equivalent added. As a result, it is theoretically possible to achieve or exceed a “fully charged” anode state of the anode through pre-lithiation alone. As illustrated in Tables 1 through 6 (discussed below), the required amount of lithium (e.g., as provided in the form of lithium metal, lithium-containing material, and/or lithium metal equivalent) may be calculated by taking into account several factors. These factors may include, depending upon the embodiment, the capacity (e.g., charge capacity) of one or more active materials, the weight of one or more active materials, the percentage(s) of the capacity of one or more active materials that is irreversible, the weight and type of one or more additives, the irreversible capacity of the one or more additives, the capacity of lithium (e.g., lithium metal, lithium-containing material, and/or lithium metal equivalent), the overall capacity of the electrode, and the amount of additional (i.e., “buffer”) capacity desired.

[0043] The amount of lithium metal included in the semi-solid anode 150 can depend on the active material included in the semi-solid anode 150. For example, in semi-solid anodes 150 that include graphite as the active material, the loss due to SEI layer formation is

manifested essentially as an irreversible capacity loss during the first cycle (i.e., electrochemical cell formation) of the electrochemical cell 100. The added lithium metal can itself form an SEI contact with the electrolyte, which constitutes an additional irreversible loss. Therefore, the amount of lithium metal added to the semi-solid anode 150 can be directly related to the first cycle irreversible capacity loss of the electrochemical cell 100. Generally, in graphite anodes, the irreversible capacity loss can be about 5% to about 30% of the initial capacity of the anode. The amount of lithium metal that can be included in anodes that include graphite as the active material (e.g., graphite semi-solid anodes or any other anode that includes graphite) can be calculated as follows. Given that Faraday's constant is 96,500 C/mole, the formula weight of graphite is 12 grams per mole (i.e., a "6-C" ring has a molecular weight of ~72 g/mole), and its density is 2.2 grams per cm³, the theoretical capacity of graphite is 372 mAh/g for pre-lithiation (for composition LiC₆), and the theoretical volumetric capacity is 818 mAh/cm³. The calculation of the theoretical capacity of graphite (for LiC₆) is as follows:

$$\begin{array}{l}
 \text{Molecular weight of LiC}_6: 79\text{g/gmole (Each Li takes one 6-C ring)} \\
 \text{1gmole Li}^+ \text{ released 1gmole LiC}_6 \quad 96500\text{coul} \quad \text{sec Amp} \quad 1\text{hr} \quad 1000\text{mhr} = 372 \text{ mAh} \\
 \text{1gmole LiC}_6 \quad 72\text{g C} \quad \text{1gmole Li}^+ \text{ released} \quad 1\text{coul.} \quad 3600\text{sec} \quad 1\text{hr} \quad \text{g C}
 \end{array}$$

Lithium metal has a formula weight of 6.94 grams per mole and a density of 0.5 grams per cm³. The theoretical capacity of lithium metal is about 3,839 mAh/g, and its theoretical volumetric capacity is 1,915 grams per cm³. Similar calculations can be performed for other commonly used active materials in anodes such as, for example, glassy carbon. In some embodiments, the amount of lithium metal included in the semi-solid anode 150 that includes graphite as an active material, can be in the range of about 1% to about 20% by volume. The lithium metal added to the semi-solid anode 150 and/or the semi-solid cathode 140 can also partially lithiate the semi-solid anode 150 and/or the semi-solid cathode. In such embodiments, during use of the electrochemical cell 100, the limiting concentrations of lithium in the charged and discharged state of the semi-solid anode 150 and/or the semi-solid cathode 140 can have a positive impact on the electrochemical cell voltage, capacity, life or other electronic properties.

[0044] In some embodiments in which the semi-solid anode 150 includes a high capacity material (e.g., silicon, tin or any other high capacity material described herein), the degree of lithiation should maintain the material in an operating range over which the least amount of volume change occurs. In the case of silicon, this would be somewhere in the range of 10%-

80% lithiation. This can extend the life of the semi-solid anode 150 during charge/discharge cycling by limiting the expansion or contraction of the semi-solid anode 150. This is because the pre-lithiation of the semi-solid anode 150 allows the lithium to be intercalated into the high capacity material (e.g., silicon) before electrochemical cell formation. See “*In situ* Measurements of Stress Evolution in Silicon Thin Films During Electrochemical Lithiation and Delithiation,” by V. Sethuraman, et al., Journal of Power Sources 195 (2010) 5062-5066, referenced above. The lithium in the pre-lithiated semi-solid anode 150 permanently shifts the lithium concentration range over which the anode is cycled, and increases the minimum lithium concentration in the semi-solid anode 150 at the end of the discharge (i.e., the semi-solid anode remains substantially lithiated throughout the charging and discharging of the cell before, during and after the electrochemical cell 100 formation). Therefore, in semi-solid anodes that include a high capacity material, the amount of lithium metal to be added for effective pre-lithiation can be determined by the optimum cycling range for the semi-solid anode 150 and can be calculated in a similar fashion as described herein with respect to graphite. For example, silicon has a formula weight of 29 grams per mole and density of 2.33 grams per cm³ and can be pre-lithiated to a composition as high as Li_{4.4}Si. At this composition, the theoretical capacity of the pre-lithiated silicon is 4,212 mAh/g, and the corresponding theoretical volumetric capacity is 9,814 mAh/cm³, which is based on the mass and volume of the starting silicon. Semi-solid anodes of the present disclosure that include high-capacity materials (e.g., silicon) may therefore be formulated to include sufficient lithium (i.e., at the “pre-lithiation stage”) to minimize volume changes during cycling.

[0045] Since the lithium metal added to the semi-solid anode 150 that includes a high capacity material can be used to form the SEI layer as well as for partial lithiation of the anode, the amount of pre-lithiation can be determined based on the amount of active material present in the semi-solid anode 150. For example, in a semi-solid anode 150 that includes a high capacity material and inactive material but no active material, the amount of lithium added in the pre-lithiation will be used only to pre-lithiate the high capacity material (e.g., intercalate in the high capacity material). By contrast, in a semi-solid anode 150 that includes an active material, silicon as a high capacity material, and an inactive material, the amount of lithium added in the pre-lithiation will be utilized by the active material (e.g., in forming the SEI layer) as well as by the high capacity material (e.g., intercalation of the high capacity material). In addition, for a semi-solid anode that has large volume changes during cycling, additional loss of working lithium may be incurred during cycling as fracture of particles

and/or aggregates of particles exposes new surface area to the electrolyte, and new SEI layer(s) can form on such surfaces.

[0046] Any suitable form of lithium metal can be included in the semi-solid anode 150 and/or the semi-solid cathode 140 for pre-lithiation. For example, the lithium metal can include lithium metal powder, lithium salt, and/or lithium foil. Furthermore, the lithium metal can have any shape or size such as, for example, powder, microparticles, nanoparticles, pieces, foil, etc. In some embodiments, lithium metal can be first deposited on a metal foil (e.g., a copper foil or an aluminum foil) or electrode. The semi-solid anode 150 and/or the semi-solid cathode 140 can be disposed on the metal foil or other electrode material and can be pre-lithiated from the metal foil or otherwise electrode by diffusion or electroplating before assembling the electrochemical cell 100.

[0047] The lithium metal used for pre-lithiation can be mixed in with the semi-solid anode 150 and/or semi-solid cathode 140 suspension during preparation of the suspensions. The lithium metal can have one or more coatings or treatments to protect it from the ambient environment such that the lithium metal does not react with ambient moisture during the mixing process. For example, the lithium metal can be treated with CO₂ or coated with Al-Li to protect the lithium metal from reacting with the environment. Once mixed, the electrolyte included in the semi-solid anode 150 and the semi-solid cathode 140 formulations can protect the lithium metal from reacting with the environment. In some embodiments, the coating on the lithium metal can be formulated to dissolve in the electrolyte such that lithium metal can interact with the components of the semi-solid anode 150 and/or the semi-solid cathode (e.g., form an SEI layer on the active material of the semi-solid anode 150).

[0048] In some embodiments, semi-solid anode 150 and semi-solid cathode 140 suspensions described herein can be mixed in a batch process, for example, with a batch mixer that can include, for example, a high shear mixture, a planetary mixture, a centrifugal planetary mixture, a sigma mixture, a CAM mixture, and/or a roller mixture, with a specific spatial and/or temporal ordering of component addition. In some embodiments, a semi-solid anode 150 and/or semi-solid cathode 140 suspension can be mixed in a continuous process (e.g. in an extruder), with a specific spatial and/or temporal ordering of component addition. Once the slurry components have been properly mixed, solid particles, for example, ion conductive polymers, can be further mixed with the semi-solid electrode slurry. In some embodiments, the slurry mixing can be performed at a low temperature, for example, lower

than about 25 degrees Celsius (e.g., about 5 degrees Celsius). Once the semi-solid anode 150 and/or semi-solid cathode 140 suspensions have been cast into the semi-solid electrode, the temperature can be allowed to increase, for example, to greater than about 37 degrees Celsius. In some embodiments, mixing can be performed in a vacuum, in a moisture free environment, and/or in an inert gas atmosphere (e.g., under N₂ or Argon).

[0049] The mixing and forming of the components of the semi-solid cathode 140 and the semi-solid anode 150 suspensions generally includes: (i) raw material conveyance and/or feeding, (ii) mixing, (iii) mixed slurry conveyance, (iv) dispensing and/or extruding, and (v) forming. In some embodiments, multiple steps in the process can be performed at the same time and/or with the same piece of equipment. For example, the mixing and conveyance of the slurry can be performed at the same time with an extruder. Each step in the process can include one or more possible embodiments of that step. For example, each step in the process can be performed manually or by any of a variety of process equipment. Each step can also include one or more sub-processes and, optionally, an inspection step to monitor process quality.

[0050] In some embodiments, an anode according to the disclosure is mixed and dispensed via standard methods. A stabilized lithium metal powder is weighed such that the total mass of lithium (excluding inactive stabilizing coating) has the equivalent capacity to the target pre-lithiation capacity. The lithium powder is selected such that the average diameter of a particle is at least one order of magnitude smaller than the target height of the anode electrode. The powder is dispersed such that it uniformly covers the top of the anode electrode. Afterwards a separator is applied with a slight pressure to the top of the electrode, and a cathode electrode is placed on top of the separator to create a unit cell.

[0051] In some embodiments, the process conditions can be selected to produce a prepared semi-solid cathode 140 and/or semi-solid anode 150 suspensions having a mixing index of at least about 0.80, at least about 0.90, at least about 0.95, or at least about 0.975. In some embodiments, the process conditions can be selected to produce a semi-solid cathode 140 and/or semi-solid anode 150 suspension having an electronic conductivity of at least about 10⁻⁶ S/cm, at least about 10⁻⁵ S/cm, at least about 10⁻⁴ S/cm, at least about 10⁻³ S/cm, at least about 10⁻² S/cm, at least about 10⁻¹ S/cm, at least about 1 S/cm, or at least about 10 S/cm. In some embodiments, the process conditions can be selected to produce a semi-solid cathode 150 and/or semi-solid anode 140 suspension having an apparent viscosity at room

temperature of less than about 100,000 Pa-s, less than about 10,000 Pa-s, or less than about 1,000 Pa-s, all at an apparent shear rate of 1,000 s⁻¹. In some embodiments, the process conditions can be selected to produce a semi-solid cathode 140 and/or semi-solid anode 150 suspension having two or more properties as described herein. Examples of systems and methods that can be used for preparing the semi-solid electrode compositions described herein are described in U.S. Patent Application No. 13/832,861 (also referred to as “the ‘861 application”), filed March 15, 2013, entitled “Electrochemical Slurry Compositions and Methods for Preparing the Same,” the entire disclosure of which is incorporated by reference herein.

[0052] FIG. 2 is a schematic illustration of a method 200 for preparing a pre-lithiated semi-solid anode, for example, the semi-solid anode 150 or any other semi-solid anode described herein. The method 200 includes combining an active material and a lithium metal to form a pre-lithiated anode material, at 202. The active material can include any active material described with respect to the semi-solid anode 150, for example, graphite. The lithium metal can be in any form such as, for example, lithium metal powder, lithium salt, or lithium foil. Furthermore, the lithium metal can have any shape or size such as, for example, powder, microparticles, nanoparticles, pieces, foil, etc. In some embodiments, the lithium metal can be deposited on the surface of the active material. For example, microparticles or nanoparticles of the lithium metal can be deposited on the surface of the active material (e.g., graphite). In some embodiments, the lithium metal mixed with active material can be in liquid form. For example, the lithium metal can be in a molten state, or dissolved in an appropriate solvent to form a solution which can be mixed with the active material. In some embodiments, the lithium metal can have one or more coatings or treatments to protect it from the ambient environment such that the lithium metal does not react with ambient moisture during the mixing process. For example, the lithium metal can be treated with CO₂ or coated with Al-Li to protect the lithium metal from reacting with the environment. The thickness of the coating can be controlled to reduce reactivity of the lithium metal. Moreover, in some embodiments, the coating on the lithium metal can be formulated to dissolve in an electrolyte included in the semi-solid anode formulation, as described herein, such that lithium metal can interact with the components of the semi-solid anode, i.e., the active material. Combining the lithium metal with the active material can allow the lithium metal to form an SEI layer on a surface of the active material, as described herein.

[0053] In some embodiments, a conductive material can be combined with the pre-

lithiated anode material, at 204. The conductive material can include carbon powder, CNTs or any other conductive material described with respect to the semi-solid anode 150.

[0054] In some embodiments, a high capacity material can also be combined with the pre-lithiated anode material, at 206. The high capacity material can include silicon, tin or any other high capacity material described with respect to the semi-solid anode 150. The lithium metal included in the pre-lithiated anode can also form an SEI layer on the high capacity material (e.g., silicon). Furthermore, the ions of the lithium metal can intercalate into the high capacity material, thereby urging the high capacity material to expand. In some embodiments, the lithium metal can be combined with a small portion of the total quantity of an active material and/or the high capacity material included in the semi-solid anode, and mixed such that a SEI layer forms over the portion of the active material and the high capacity material. Once the SEI layer is formed, the remaining active material and/or high capacity material can be combined with the pre-lithiated portion of the active material and/or the high capacity material.

[0055] An electrolyte is combined with the pre-lithiated anode material to form a semi-solid anode material, at 208. The electrolyte can include any suitable electrolyte, for example, any electrolyte described with respect to the semi-solid anode 150. The electrolyte can, for example, enable formation of the semi-solid anode suspension as well as short circuit the active material, conductive material, and/or high capacity material included in the semi-solid anode reducing tortuosity and impedance of the semi-solid anode. Furthermore, the electrolyte can dissolve any protective coating on the lithium metal and also facilitate the formation of the SEI layer by short circuiting the lithium metal with the active material and/or the high capacity material.

[0056] The semi-solid anode material is then formed into a semi-solid anode, at 210. For example, the semi-solid anode material can be casted, drop coated, or formed into the semi-solid anode using any suitable method described with respect to the semi-solid anode 150. The formed semi-solid anode can be paired with a cathode, for example, a semi-solid cathode (e.g., the semi-solid cathode 140) and included in an electrochemical cell, for example, the electrochemical cell 100. Since the pre-lithiated semi-solid anode formed using the method 200 already has the SEI layer formed over the active material, little or no amount of lithium is consumed from the cathode for forming the SEI layer during the electrochemical cell formation. Thus, the cathode can retain substantially all of its initial capacity (i.e., capacity

before electrochemical cell formation) after the electrochemical cell formation process. Furthermore, if the pre-lithiated semi-solid anode formed using the method 200 includes a high capacity material, the lithium metal is already intercalated into the high capacity material such that the high capacity material, and thereby the pre-lithiated semi-solid anode, are pre-expanded. Thus, the pre-lithiated anode experiences only a negligible amount of expansion during the electrochemical cell formation process. This can substantially reduce any mechanical damage to the semi-solid anode due to the expansion, prevent reduction in voltage and/or capacity of the cell (e.g., cracking of the electrode and/or “capacity fade”), and enhance the performance and operational life of the pre-lithiated semi-solid anode and thereby, of the electrochemical cell.

[0057] The following examples show pre-lithiated anodes and electronic performance of pre-lithiated anodes prepared using the methods described herein. These examples are only for illustrative purposes and are not intended to limit the scope of the present disclosure.

Example 1: Pre-lithiation of Semi-Solid Anode using Lithium Coated Copper Foil

[0058] In this example, a semi-solid anode was pre-lithiated using a lithium coated copper foil. A semi-solid anode was prepared by mixing about 50% by volume of mesophase graphite powder (MGP-A from China Steel Chemical Corporation) as the active material, with about 2% by volume of carbon black (C45 obtained from Timcal) as the conductive material, and about 48% by volume of an electrolyte. The electrode included ethylene carbonate (EC) and gamma butyrolactone (GBL) in a 30:70 ratio, about 1.1 moles of LiBF₄, about 2% by weight of vinylene carbonate (VC), about 1.5% by weight of LiBOB, and about 0.5% by weight of tris (2-ethylhexyl) phosphate (TOP). The semi-solid anode components were mixed in a RESODYN® mixer for about 12 mins. The semi-solid anode was disposed on two sides of a lithium metal coated copper foil which also serves as the negative current collector. The semi-solid anode was paired with a semi-solid cathode. The semi-solid cathode included about 50% by volume of LFP as the active material, about 0.8% by volume of Ketjen black as the conductive material, and about 49.2% by volume of an electrolyte, which was same the electrolyte used to prepare the semi-solid anode suspension. The components of the semi-solid cathode were mixed in a speed mixer at about 1,250 rpm for about 90 seconds. The semi-solid cathode was disposed on one side of a current collector and paired with the semi-solid anode, with a spacer disposed therebetween to prepare a pre-lithiated electrochemical cell. Since the anode was disposed on both sides of the lithium

coated copper foil, two cathodes were prepared and paired with the semi-solid anode disposed on each side of the lithium coated copper foil. The pre-lithiated electrochemical cell was disposed in a vacuum seal pouch and kept under dry conditions for 3 days to allow the lithium metal disposed on the lithium coated copper foil to pre-lithiate the anode.

[0059] FIG. 3 shows a test semi-solid anode, prepared using the same process as the semi-solid anode described herein, peeled off from a lithium metal coated copper foil after three days of storage in a vacuum sealed pouch. The portion of the lithium metal coated copper foil on which the test semi-solid anode was disposed is devoid of any lithium after the anode is peeled off such that the underlying copper can be seen. This shows that the lithium metal disposed on the portion of the copper foil in contact with the test semi-solid anode diffused and/or reacted with the graphite included in the test semi-solid anode and possibly formed an SEI layer on the graphite thus, pre-lithiating the semi-solid anode.

[0060] A standard electrochemical cell was prepared in exactly the same manner as the pre-lithiated electrochemical cell except that the negative current collector included a bare copper foil not coated with the lithium metal. Thus, the semi-solid anode of the standard electrochemical cell was not pre-lithiated.

[0061] The pre-lithiated electrochemical cell which included the pre-lithiated semi-solid anode was subjected to electrochemical tests to determine the electronic performance of the electrochemical cell. The electrochemical was subjected to 2 cycles at a C-Rate of C/10 and 10 cycles at a C-rate of C/4. All testing was performed using a MACCOR® battery tester.

[0062] FIG. 4A shows a plot of voltage vs capacity of the pre-lithiated electrochemical cell and the standard electrochemical cell after one charge/discharge cycle, and FIG. 4B shows a plot of differential capacity (dQ/dV) vs capacity of the pre-lithiated and standard electrochemical cells, derived from FIG 4A. The Coulomb efficiency of the pre-lithiated electrochemical cell was about 96.6%, and the Coulomb efficiency of the standard electrochemical cell was about 88.2%. As can be seen in FIGS. 4A and 4B, the pre-lithiated electrochemical cell charges substantially faster compared to the standard electrochemical cell. While the final capacity retained by the pre-lithiated electrochemical cell is slightly lower than the standard electrochemical cell, this is attributed to lower cell quality and data variation. One explanation can be that since the semi-solid anode is disposed on the lithium coated copper current collector and a portion of the lithium in contact with the semi-solid anode intercalates with the semi-solid anode material to pre-lithiate the semi-solid anode, the

copper foil disposed below the lithium metal contacts the pre-lithiated semi-solid anode. This contact can however, be of poor quality which can increase impedance and reduce overall charge capacity of the pre-lithiated electrochemical cell prepared using the lithium coated copper foil method.

[0063] FIG. 5A shows a plot of Coulomb efficiency of the pre-lithiated electrochemical cell after 8 cycles, while FIG. 5B shows a plot of capacity retained by the pre-lithiated electrochemical cell after 8 cycles. The pre-lithiated electrochemical cell retained about 96% of its initial Coulomb efficiency, and retains about 72% of its initial capacity after 8 cycles. This again is attributed to the low quality of the pre-lithiated electrochemical cell because of the low quality of the electronic contact between the pre-lithiated semi-solid anode with the copper foil negative current collector after the lithium metal coating reacts with the semi-solid anode active material and is incorporated into the semi-solid anode.

Example 2: Pre-lithiation by Mixing Lithium Powder in Semi-Solid Anode Suspension

[0064] In this example, a lithium powder was incorporated into a semi-solid anode during the preparation of the semi-solid anode suspension to pre-lithiate the anode. A semi-solid anode suspension was prepared similar to the semi-solid anode described in Example 1. The semi-solid anode was mixed with lithium powder and stored for 1 day. The quantity of the lithium powder mixed with the semi-solid anode suspension was such that the capacity of the lithium powder was about 15% of the total charge capacity (including that of the graphite) included in the semi-solid anode. FIG. 6A shows an optical image of the semi-solid anode with the lithium powder added but not mixed into the semi-solid anode suspension. The semi-solid anode suspension appeared wet showing that lithium metal has not reacted with the semi-solid anode active material, i.e., graphite. FIG. 6B shows the semi-solid anode suspension after mixing and storing for 1 day. The semi-solid anode suspension appeared dry after 1 day which indicated that the lithium had reacted with the graphite, possibly forming an SEI layer on the graphite.

[0065] Tables 1-6 below contain exemplary electrode component parameters according to some embodiments of the disclosure, as well as calculations of the theoretical percentage of lithium present in a prelithiated semi-solid anode prior to the application of current under various scenarios. Table 1 shows exemplary parameters and calculations for an anode formulation in which a single active material (mesophase graphite powder (MGP-A)) is used, and in which the lithium content is sufficient for fully “pre-lithiating” (i.e., compensating for

the traditional SEI formation) without any additional “buffer” or extra capacity by way of excess intercalated lithium. Table 2 shows exemplary parameters and calculations for an anode formulation in which a single active material (MGP-A) is used, and in which the lithium percentage is calculated to include a 5% buffer (i.e., the graphite is ~5% charged prior to cell assembly and/or cycling). Tables 3 and 4 include parameters and calculations similar to those in Table 2, but are directed to buffer percentages of 50% and 100%, respectively. Table 5 shows exemplary parameters and calculations for an anode formulation in which two active materials (MGP-A and soft carbon) are used, and in which the lithium percentage is calculated to include a 5% buffer. Table 6 shows exemplary parameters and calculations for an anode formulation in which two active materials (MGP-A and silicon, a high-capacity material) are used, and in which the lithium percentage is calculated to include a 5% buffer.

Table 1 - One Active Material, No BufferTotal electrode weight

1 g

Active Material 1 = mesophase graphite powder (MGP-A) 50% by volume
 Carbon additive = carbon black 2% by volume
 Electrolyte 48% by volume

Electrolyte composition

ethylene carbonate (EC)	30:00:00	ratio
gamma butyrolactone (GBL)	70:00:00	
LiBF4	1.1 moles	
vinylene carbonate (VC)	2% by weight	
LiBOB	1.5% by weight	
Tris (2-ethylhexyl) phosphate (TOP)	0.5% by weight	

Density

Active Material 1 = mesophase graphite powder (MGP-A)	2.27 g/cc
Carbon additive = carbon black	1.9 g/cc
Electrolyte	1.26 g/cc

Active Material 1 = mesophase graphite powder (MGP-A)	63.84% by weight
Carbon additive = carbon black	2.14% by weight
Electrolyte	34.02% by weight

Weight

g1: Active Material 1 = mesophase graphite powder (MGP-A)	0.638 g
c: Carbon additive = carbon black	0.021 g
e: Electrolyte	0.340 g
a1: Capacity of Active Material 1 (mesophase graphite):	360 mAh/g (c- @0.1C)
x1: Estimated % of a1 that is irreversible:	10%
y: Irreversible capacity of carbon additive	200 mAh/g
b: Capacity of lithium metal	3860 mAh/g
d: $(a1*g1*x1 + a2*g2*x2 + \dots + an*gn*xn)/b$	

$$d = \frac{(a1*g1*x1 + a2*g2*x2 + \dots + an*gn*xn)/b}{0.00595 \text{ g}}$$

$\%w = d/(g+d+c+e) = \%$ lithium present in the prelithiated semi-solid anode prior to the application of current

$$\%w = \frac{0.00595}{0.00595 + 0.638 + 0.021 + 0.340} = 0.592\%$$

Table 2 - One Active Material, 5% Buffer

<u>Total electrode weight</u>	1 g
Active Material 1 = mesophase graphite powder (MGP-A)	50% by volume
Carbon additive = carbon black	2% by volume
Electrolyte	48% by volume
<u>Electrolyte composition</u>	
ethylene carbonate (EC)	30:00:00 ratio
gamma butyrolactone (GBL)	70:00:00
LiBF ₄	1.1 moles
vinylene carbonate (VC)	2% by weight
LiBOB	1.5% by weight
Tris (2-ethylhexyl) phosphate (TEGP)	0.5% by weight
<u>Density</u>	
Active Material 1 = mesophase graphite powder (MGP-A)	2.27 g/cc
Carbon additive = carbon black	1.9 g/cc
Electrolyte	1.26 g/cc
Active Material 1 = mesophase graphite powder (MGP-A)	53.84% by weight
Carbon additive = carbon black	2.14% by weight
Electrolyte	34.02% by weight
<u>Weight</u>	
g1: Active Material 1 = mesophase graphite powder (MGP-A)	0.638 g
c: Carbon additive = carbon black	0.021 g
e: Electrolyte	0.340 g
a1: Capacity of Active Material 1 (mesophase graphite):	360 mAh/g (<- @0.1C)
x1: Estimated % of a1 that is irreversible:	10%
b: Capacity of lithium metal	3860 mAh/g
z: Extra (for improving cycle life)	5% <- buffer
y: Irreversible capacity of carbon additive	200 mAh/g
f: Capacity of electrode	206.85116 mAh
d: (a1*g1*x1 + a2*g2*x2 + ... an*gn*xn+c*y+h*z)/b	
d=	0.00974 g
%w= d/(g+d+c+e) = % lithium present in the prelithiated semi-solid anode prior to the application of current	
%w=	0.965%

Table 3 - One Active Material, 50% Buffer

<u>Total electrode weight</u>	1 g
Active Material 1 = mesophase graphite powder (MGP-A)	50% by volume
Carbon additive = carbon black	2% by volume
Electrolyte	48% by volume
<u>Electrolyte composition</u>	
ethylene carbonate (EC)	30:60:00 ratio
gamma butyrolactone (GBL)	70:00:00
LiPF ₄	1.1 moles
vinylene carbonate (VC)	2% by weight
LiBOB	1.5% by weight
Tris (2-ethylhexyl) phosphate (TOP)	0.5% by weight
<u>Density</u>	
Active Material 1 = mesophase graphite powder (MGP-A)	2.27 g/cc
Carbon additive = carbon black	1.9 g/cc
Electrolyte	1.26 g/cc
Active Material 1 = mesophase graphite powder (MGP-A)	63.84% by weight
Carbon additive = carbon black	2.14% by weight
Electrolyte	34.02% by weight
<u>Weight</u>	
g1: Active Material 1 = mesophase graphite powder (MGP-A)	0.638 g
c: Carbon additive = carbon black	0.021 g
e: Electrolyte	0.340 g
a1: Capacity of Active Material 1 (mesophase graphite):	360 mAh/g {-- @0.1C}
x1: Estimated % of a1 that is irreversible:	10%
b: Capacity of lithium metal	3860 mAh/g
z: Extra (for improving cycle life)	50% {-- buffer}
y: Irreversible capacity of carbon additive	200 mAh/g
h: Capacity of electrode	206.8512 mAh
d: (a1*g1*x1 + a2*g2*x2 + ... + an*gn*xn + c*y + h*z)/b	
d=	0.03385 g
%w= d/(g+d+c+e)	% lithium present in the prelithiated semi-solid anode prior to the application of current
%w=	3.275%

Table 4 - One Active Material, 100% Buffer

<u>Total electrode weight</u>	1 g
Active Material 1 = mesophase graphite powder (MGP-A)	50% by volume
Carbon additive = carbon black	2% by volume
Electrolyte	48% by volume
<u>Electrolyte composition</u>	
ethylene carbonate (EC)	30:00:30 ratio
gamma butyrolactone (GBL)	70:00:00
LiBF4	1.1 moles
vinylene carbonate (VC)	2% by weight
LiBOB	1.5% by weight
Tris (2-ethylhexyl) phosphate (TEP)	0.5% by weight
<u>Density</u>	
Active Material 1 = mesophase graphite powder (MGP-A)	2.27 g/cc
Carbon additive = carbon black	1.9 g/cc
Electrolyte	1.26 g/cc
Active Material 1 = mesophase graphite powder (MGP-A)	63.84% by weight
Carbon additive = carbon black	2.14% by weight
Electrolyte	34.02% by weight
<u>Weight</u>	
g1: Active Material 1 = mesophase graphite powder (MGP-A)	0.638 g
c: Carbon additive = carbon black	0.021 g
e: Electrolyte	0.340 g
a1: Capacity of Active Material 1 (mesophase graphite):	360 mAh/g (← @0.1C)
x1: Estimated % of a1 that is irreversible:	10%
b: Capacity of lithium metal	3850 mAh/g
z: Extra (for improving cycle life)	100% ← buffer
y: Irreversible capacity of carbon additive	230 mAh/g
h: Capacity of electrode	205.8512 mAh
d: (a1*g1*x1 + a2*g2*x2 + ... an*gn*xn+c*y+h*z)/b	
d=	0.08065 g

%w= d/(g+d+c+e) = % lithium present in the prelithiated semi-solid anode prior to the appl'n of current

%w= 5.718%

Table 5 - Two Active Materials (MGP-A and soft Carbon), 5% Buffer

<u>Total electrode weight</u>	1 g
Active Material 1 = mesophase graphite powder (MGP-A)	25% by volume
Active Material 2 = soft carbon	25% by volume
Carbon additive = carbon black	2% by volume
Electrolyte	48% by volume
<u>Electrolyte composition</u>	
ethylene carbonate (EC)	30:30:00 ratio
gamma butyrolactone (GBL)	70:00:00
LiBF4	1.1 moles
vinylene carbonate (VC)	2% by weight
LiBOB	1.5% by weight
Tris (2-ethylhexyl) phosphate (TOP)	0.5% by weight
<u>Density</u>	
Active Material 1 = mesophase graphite powder (MGP-A)	2.27 g/cc
Active Material 2 = soft carbon	2 g/cc
Carbon additive = carbon black	1.9 g/cc
Electrolyte	1.26 g/cc
Active Material 1 = mesophase graphite powder (MGP-A)	33.18% by weight
Active Material 2 = soft carbon	29.23% by weight
Carbon additive = carbon black	2.23% by weight
Electrolyte	35.36% by weight
<u>Weight</u>	
g1: Active Material 1 = mesophase graphite powder (MGP-A)	0.319 g
g2: Active Material 2 = soft carbon	0.150 g
c: Carbon additive = carbon black	0.022 g
e: Electrolyte	0.654 g
a1: Capacity of Active Material 1 (mesophase graphite):	360 mAh/g (← @0.1C)
a2: Capacity of Active Material 2 (soft carbon):	391 mAh/g (← @0.1C)
x1: Estimated % of a1 that is irreversible:	10%
x2: Estimated % of a2 that is irreversible:	10%
b: Capacity of lithium metal	3860 mAh/g
z: Extra (for improving cycle life)	5% ← buffer
y: Irreversible capacity of carbon additive	200 mAh/g
h: Capacity of electrode	156.1 mAh
d: (a1*g1*x1 + a2*g2*x2 + ... an*gn*xn+c*y+h*z)/b	
d=	0.00767 g
%w= d/(g+d+c+e) = % lithium present in the prelithiated semi-solid anode prior to the application of current	
%w=	1.091%

Table 6 - Two Active Materials (MGP-A and Silicon) 5% Buffer

Total electrode weight	1 g
Active Material 1 = mesophase graphite powder (MGP-A)	25% by volume
Active Material 2 = silicon	25% by volume
Carbon additive = carbon black	2% by volume
Electrolyte	40% by volume
<u>Electrolyte composition</u>	
ethylene carbonate (EC)	30:00:00 ratio
gamma butyrolactone (GBL)	70:00:00
LiBF4	1.1 moles
vinylene carbonate (VC)	2% by weight
LiSOB	1.5% by weight
Tris (2-ethylhexyl) phosphate (TOP)	0.5% by weight
<u>Density</u>	
Active Material 1 = mesophase graphite powder (MGP-A)	2.27 g/cc
Active Material 2 = silicon	2.33 g/cc
Carbon additive = carbon black	1.8 g/cc
Electrolyte	1.26 g/cc
Active Material 1 = mesophase graphite powder (MGP-A)	31.65% by weight
Active Material 2 = silicon	32.49% by weight
Carbon additive = carbon black	2.12% by weight
Electrolyte	33.73% by weight
<u>Weight</u>	
g1: Active Material 1 = mesophase graphite powder (MGP-A)	0.317 g
g2: Active Material 2 = silicon	0.325 g
c: Carbon additive = carbon black	0.021 g
e: Electrolyte	0.337 g
a1: Capacity of Active Material 1 (mesophase graphite):	360 mAh/g (← @0.1C)
a2: Capacity of Active Material 2 (silicon):	4200 mAh/g (← @0.1C)
x1: Estimated % of a1 that is irreversible:	10%
x2: Estimated % of a2 that is irreversible:	33%
b: Capacity of lithium metal	3850 mAh/g
z: Extra (for improving cycle life)	5% ← buffer
y: Irreversible capacity of carbon additive	200 mAh/g
h: Capacity of electrode	1015.86 mAh
d: (a1*g1*x1 + a2*g2*x2 + ... an*gn*xn+c*y+h*z)/b	
d=	0.13389 g
%w= d/(g+d+c+e) = % lithium present in the prelithiated semi-solid anode prior to the application of current	
%w=	16.550%

[0066] While various embodiments of the system, methods and devices have been described above, it should be understood that they have been presented by way of example only, and not limitation. Where methods and steps described above indicate certain events occurring in certain order, those of ordinary skill in the art having the benefit of this disclosure would recognize that the ordering of certain steps may be modified and such modification are in accordance with the variations of the invention. Additionally, certain of the steps may be performed concurrently in a parallel process when possible, as well as

performed sequentially as described above. The embodiments have been particularly shown and described, but it will be understood that various changes in form and details may be made.

Claims

1. A semi-solid electrode, comprising:
about 20% to about 90% by volume of an active material;
0% to about 25% by volume of a conductive material;
about 10% to about 70% by volume of a liquid electrolyte; and
lithium in an amount sufficient to substantially pre-lithiate the active material,
wherein the lithium comprises at least one of lithium metal, a lithium-containing
material and/or a lithium metal equivalent.
2. The semi-solid electrode of claim 1, wherein the lithium amount is sufficient to form
a solid-electrolyte interface (SEI) layer on a surface of the active material prior to an initial
charging cycle of an electrochemical cell that includes the semi-solid electrode.
3. The semi-solid electrode of claim 1, wherein the lithium includes at least one of
lithium metal powder, lithium salt, lithium foil, and lithium metal deposited on a current
collector.
4. The semi-solid electrode of claim 1, wherein the semi-solid electrode is an anode.
5. The semi-solid electrode of claim 1, having a thickness of greater than about 250
microns.
6. The semi-solid electrode of claim 4, wherein the active material is graphite.
7. The semi-solid electrode of claim 6, wherein the lithium constitutes about 1% to about
12% by volume thereof.
8. The semi-solid electrode of claim 4, wherein the semi-solid anode further comprises
about 1% to about 50% by volume of a high capacity material.
9. The semi-solid electrode of claim 8, wherein the high capacity material includes at
least one of tin, silicon, antimony, aluminum, titanium oxide, and/or an oxide or alloy of tin,
silicon, antimony, or aluminum.

10. The semi-solid electrode of claim 8, configured such that the lithium intercalates with the high capacity material, the intercalation expanding the semi-solid anode before an initial charging cycle of an electrochemical cell.
11. A method of preparing a pre-lithiated semi-solid anode, comprising:
 - combining an active material and a lithium metal or lithium-containing material to form a pre-lithiated anode material;
 - combining an electrolyte with the pre-lithiated anode material to form a semi-solid anode material, and
 - forming the semi-solid anode material into a semi-solid anode.
12. The method of claim 11, further comprising:
 - combining a conductive material with the pre-lithiated anode material.
13. The method of claim 11, further comprising:
 - combining a high capacity material with the pre-lithiated anode material.
14. A method of manufacturing an anode, the method comprising:
 - preparing an anode mixture comprising an active material, a conductive material, an electrolyte, and a lithium metal and/or lithium-containing material; and
 - storing the anode mixture in a dry environment for a duration sufficient to substantially pre-lithiate the anode mixture prior to its incorporation into an electrochemical cell.
15. The method of claim 14, wherein the storage duration is sufficient to form a solid-electrolyte interface (SEI) layer on substantially all of the surface area of the active material.
16. The method of claim 14, wherein the active material constitutes between 20% and 90% of the anode mixture.
17. The method of claim 14, wherein the liquid electrolyte constitutes between 10% and 70% of the anode mixture.

18. The method of claim 14, wherein the anode mixture further comprises a high capacity material.
19. A method of manufacturing an electrochemical cell, the method comprising:
 - assembling a cell stack, the assembling including:
 - preparing an anode mixture comprising an active material, a conductive material, and an electrolyte;
 - applying the anode mixture onto a lithium-bearing substrate;
 - placing a separator membrane atop the anode mixture; and
 - placing a cathode atop the separator membrane; and
 - storing the cell stack in a dry environment for a duration sufficient to substantially pre-lithiate the anode mixture prior to cycling.
20. The method of claim 19, wherein the storage duration is sufficient to form a solid-electrolyte interface (SEI) layer on substantially all of the surface area of the active material.
21. The method of claim 19, wherein the active material constitutes between 20% and 90% of the anode mixture.
22. The method of claim 19, wherein the anode mixture further comprises a high capacity material.
23. The method of claim 19, the assembling further including:
 - preparing a cathode mixture comprising:
 - a further active material;
 - a further conductive material;
 - a further electrolyte; and
 - lithium in an amount sufficient to increase the stability of the cathode, and
 - forming the cathode from the cathode mixture.
24. A battery cell comprising the semi-solid electrode according to claim 5.

25. The battery cell according to claim 23, wherein the semi-solid electrode is an anode, the battery cell further comprising a semi-solid cathode having a thickness of greater than about 250 microns.

Fig. 1

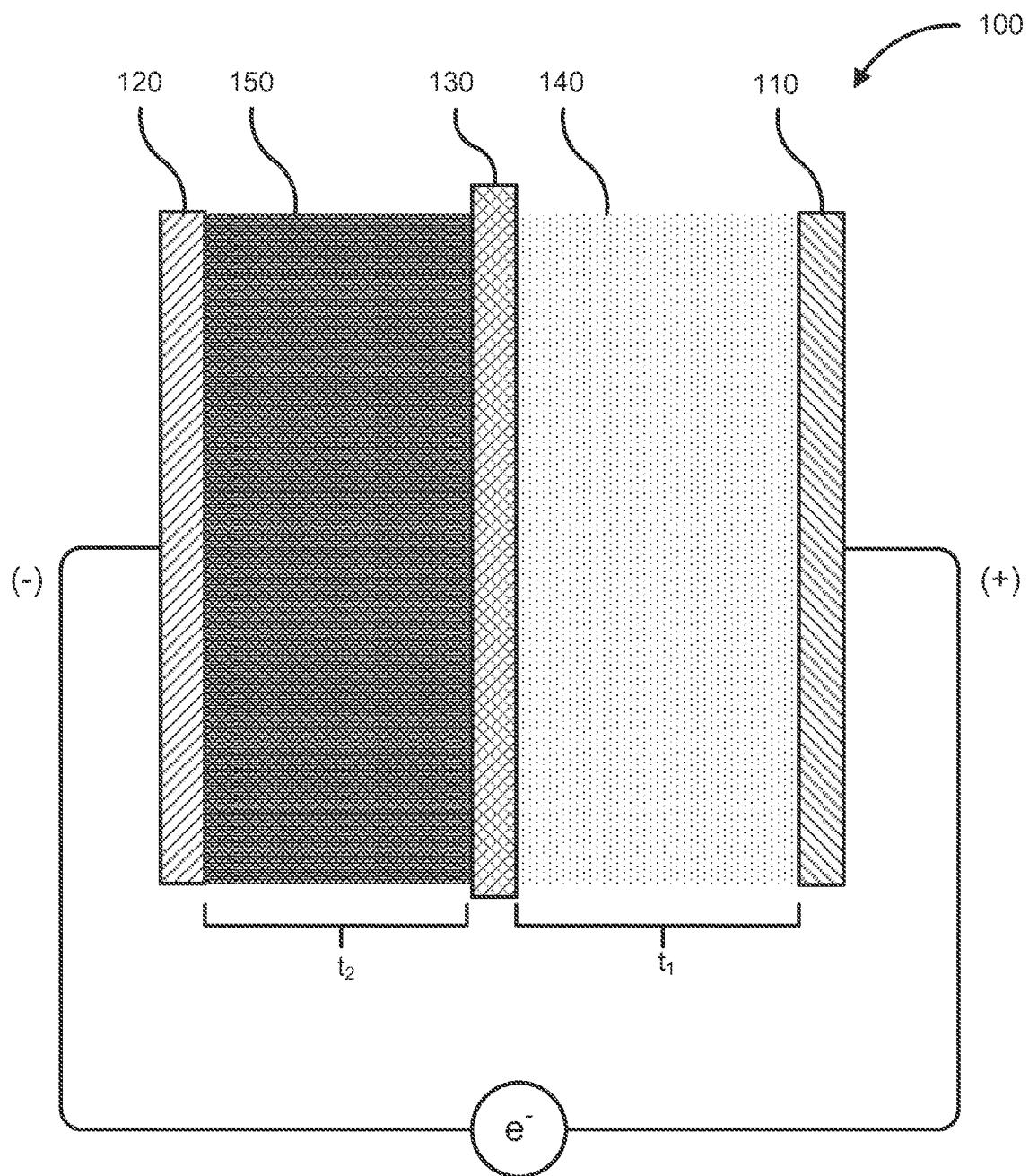


Fig. 2

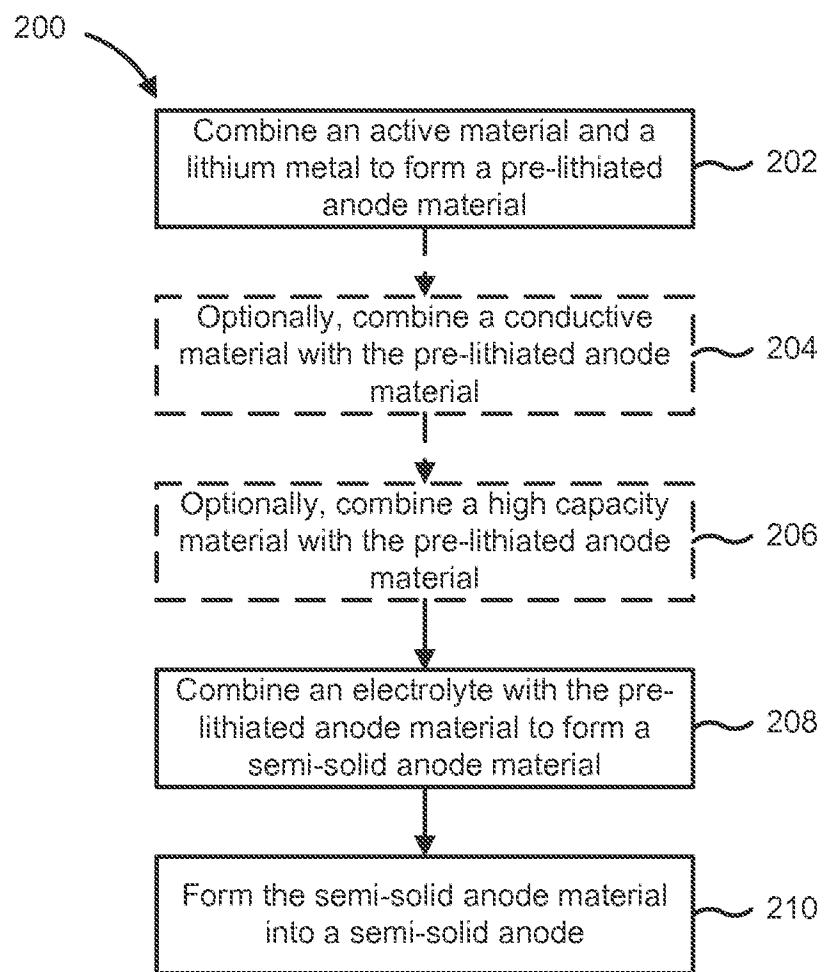


Fig. 3
Lithium coated on the
copper foil
Pre-lithiated semi-solid
anode

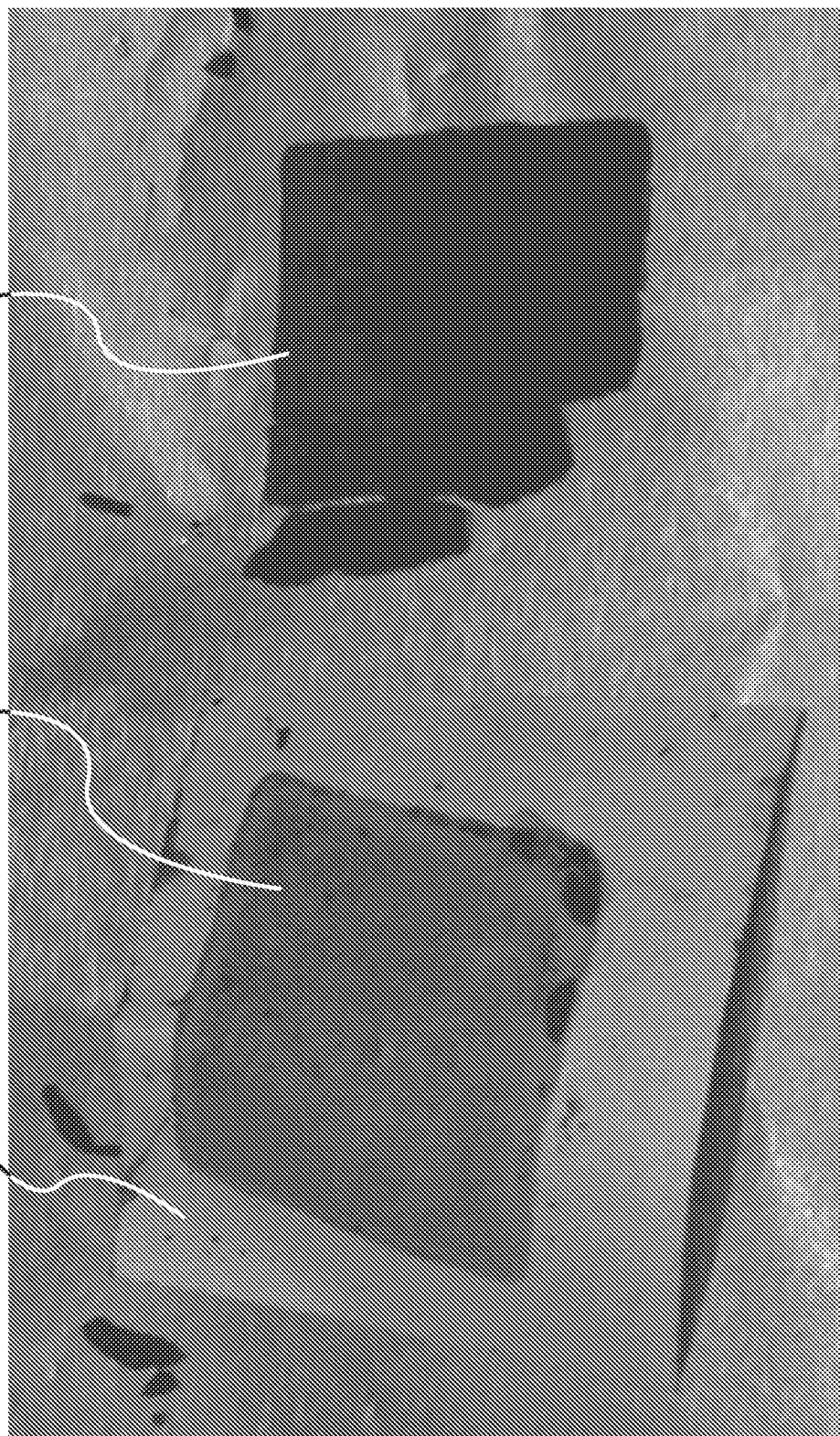


Fig. 4A

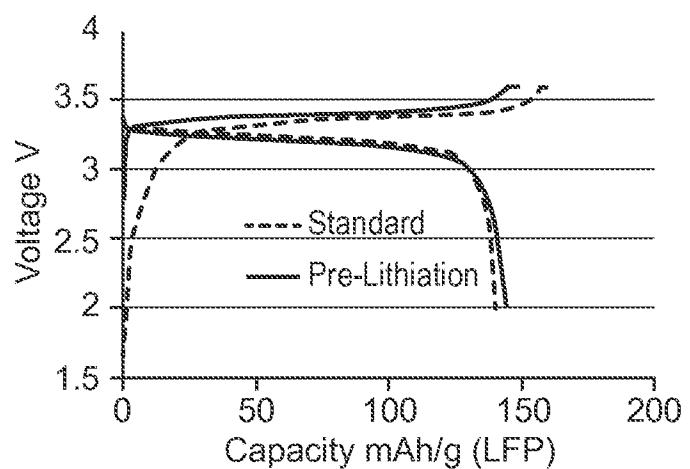


Fig. 4B

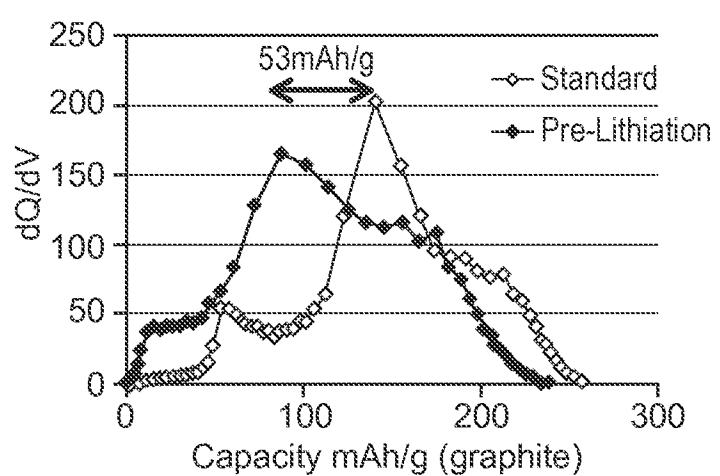


Fig. 5A

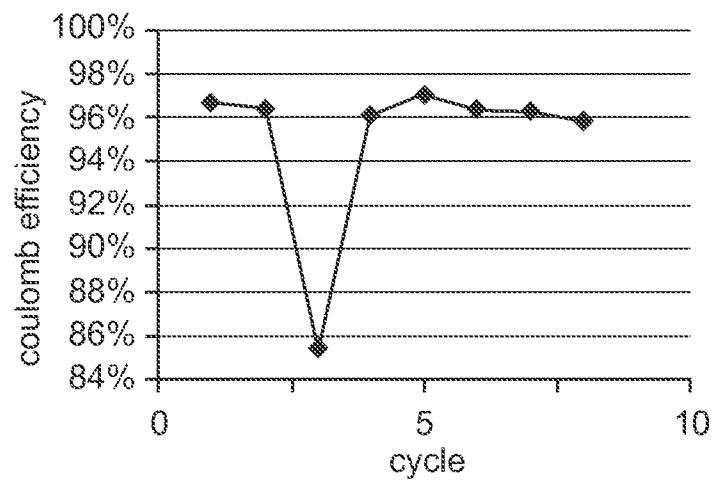


Fig. 5B

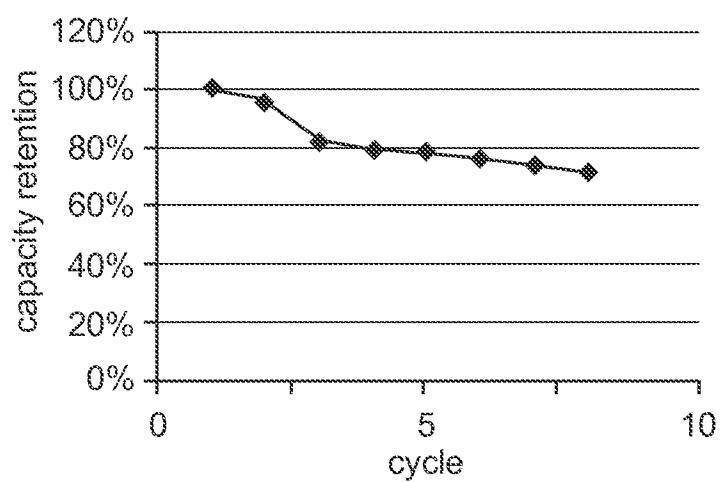
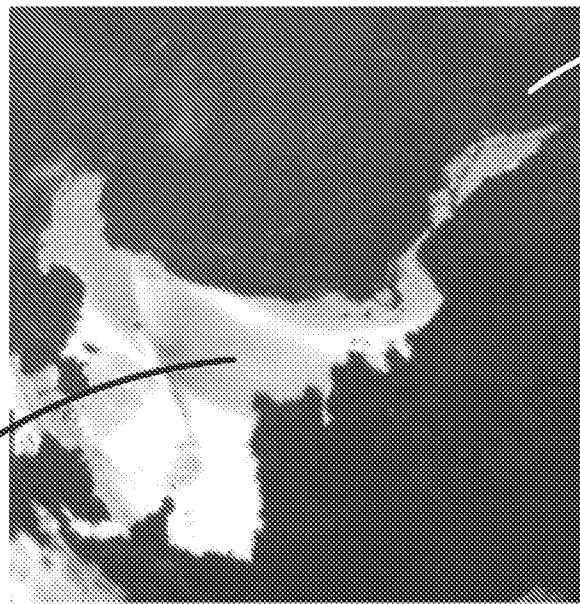


Fig. 6A

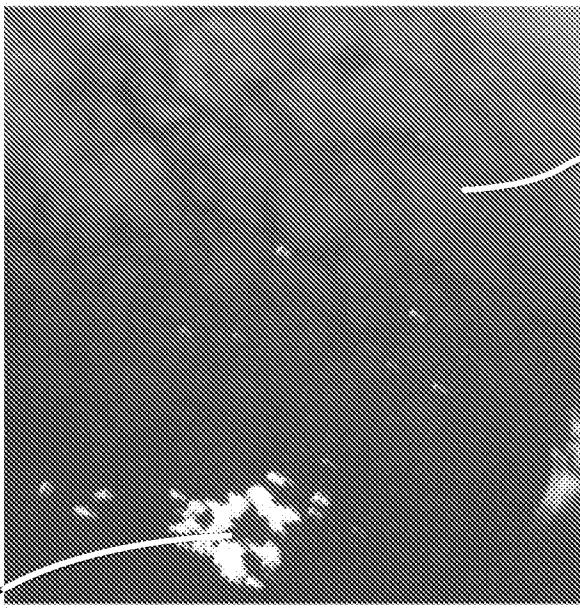
Lithium metal



Semi-solid anode
suspension

Fig. 6B

Lithium metal



Semi-solid anode
suspension

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2015/058770

A. CLASSIFICATION OF SUBJECT MATTER				
INV.	H01M4/04	H01M4/133	H01M4/1393	H01M4/36
	H01M4/587	H01M4/62	H01M10/04	H01M10/0525
ADD.	H01M4/134	H01M4/1395	H01M4/485	H01M10/058

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
H01M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	SEONG I W ET AL: "Electrochemical behavior of a lithium-pre-doped carbon-coated silicon monoxide anode cell", JOURNAL OF POWER SOURCES, ELSEVIER SA, CH, vol. 189, no. 1, 18 November 2008 (2008-11-18), pages 511-514, XP025982737, ISSN: 0378-7753, DOI: 10.1016/J.JPOWSOUR.2008.11.029 [retrieved on 2008-11-18] Y Section 2, Experiments ----- -/-	1-4,6-10
Y		14-18

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search 18 December 2015	Date of mailing of the international search report 07/01/2016
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Rosciano, Fabio

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2015/058770

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	NIAN LIU ET AL: "Prelithiated Silicon Nanowires as an Anode for Lithium Ion Batteries", ACS NANO, vol. 5, no. 8, 23 August 2011 (2011-08-23), pages 6487-6493, XP055064678, ISSN: 1936-0851, DOI: 10.1021/nn2017167 Methods - Prelithiated SiNW Fabrication and Characterization Methods - Prelithiated SiNW Anode/Sulfur Cathode Full Cell figures 1, 3 -----	1-5,24
X	YANG ET AL: "Nanosized silicon-based composite derived by in situ mechanochemical reduction for lithium ion batteries", JOURNAL OF POWER SOURCES, ELSEVIER SA, CH, vol. 164, no. 2, 20 January 2007 (2007-01-20), pages 880-884, XP005737146, ISSN: 0378-7753, DOI: 10.1016/J.JPOWSOUR.2006.11.010 Experimental Section -----	1-4,6-10
Y	SEONG I W ET AL: "Electrochemical behavior of a silicon monoxide and Li-powder double layer anode cell", JOURNAL OF POWER SOURCES, ELSEVIER SA, CH, vol. 195, no. 18, 15 September 2010 (2010-09-15), pages 6143-6147, XP027148155, ISSN: 0378-7753 [retrieved on 2010-02-02] Section 2.1 - Double layer anode fabrication Section 2.2 - Test cell assembly figures 1, 3 -----	11-13
Y	WO 2005/013397 A1 (FMC CORP [US]; GAO YUAN [US]; YAKOVLEVA MARINA [US]; ENGEL JOHN [US];) 10 February 2005 (2005-02-10) claims 1, 2, 4, 26 paragraph [0033] paragraph [0083] -----	11-23
Y	US 2013/045427 A1 (ZHAMU ARUNA [US] ET AL) 21 February 2013 (2013-02-21) figure 3a paragraph [0077] - paragraph [0081] paragraph [0127] paragraph [0139] -----	19-23

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2015/058770

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 2005013397	A1 10-02-2005	CA 2532986 A1 CN 1830110 A DE 112004001344 T5 EP 1652252 A1 GB 2417608 A GB 2435541 A JP 2007500922 A KR 20060056969 A RU 2354012 C2 US 2005130043 A1 WO 2005013397 A1	10-02-2005 06-09-2006 29-06-2006 03-05-2006 01-03-2006 29-08-2007 18-01-2007 25-05-2006 27-04-2009 16-06-2005 10-02-2005
US 2013045427	A1 21-02-2013	NONE	