(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau





(10) International Publication Number WO 2014/037328 A1

(43) International Publication Date 13 March 2014 (13.03.2014)

(51) International Patent Classification: C12P 7/64 (2006.01) C07C 59/147 (2006.01) C07C 55/20 (2006.01)

(21) International Application Number:

PCT/EP2013/068144

(22) International Filing Date:

3 September 2013 (03.09.2013)

(25) Filing Language:

English

(26) Publication Language:

English

EP

(30) Priority Data:

12183534.2 7 September 2012 (07.09.2012)

- (71) Applicant: BASF SE [DE/DE]; 67056 Ludwigshafen (DE).
- (71) Applicant (for CY only): BASF SCHWEIZ AG [CH/CH]; Klybeckstrasse 141, CH-4057 Basel (CH).
- (72) Inventors: PIATESI, Andrea; Im oberen Falltor 20, 68239 Mannheim (DE). BALDENIUS, Kai-Uwe; Häusserstr. 49, 69115 Heidelberg (DE). DITRICH, Klaus; Hermann-Sinsheimer-Weg 11, 67161 Gönnheim (DE). KINDLER, Alois; Rosenweg 3, 67269 Grünstadt (DE). ZAJACZKOWSKI-FISCHER, Marta; Otto-Dill-Straße 5, 67141 Neuhofen (DE). BÖHLING, Ralf; Theodor-Heuss-Str. 8, 64653 Lorsch (DE). REHFINGER, Alwin; Rosenstr.10, 67112 Mutterstadt (DE).
- (74) Common Representative: BASF SE; 67056 Ludwigshafen (DE).

- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

#### Published:

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))
- with sequence listing part of description (Rule 5.2(a))



(54) Title: PROCESS FOR PREPARING SEBACIC ACID

(57) Abstract: A process for preparing sebacic acid by reacting in a first step (i) linoleic acid with water catalyzed by an oleate hydratase to form10-hydroxy-12-octadecenoic acid, in a second step (ii) pyrolysing the 10-hydroxy-12-octadecenoic acid to 1-octene and 10-oxo-decanoic acid and in a third step (iii) oxidizing the 10-oxo-decanoic acid to sebacic acid.

WO 2014/037328 PCT/EP2013/068144

Process for preparing sebacic acid

5

The present invention relates to a novel process for preparing sebacic acid. In particular, this invention relates to the chemo-enzymatic production of sebacic acid starting from linoleic acid which is hydroxylated to 10-hydroxy-12-octadecenoic acid and further transformed to sebacic acid.

10

State of the art

Sebacic acid is currently produced from castor oil by alkaline cleavage of ricinoleic acid (12-hydroxy-9-cis-octadecenoic acid) under pressure and high temperatures.

15 Sebacic acid and its derivatives are important components in biodegradable polymers, plasticizers, lubricants, hydraulic fluids, candles and cosmetics.

An overview on the microbial oxidation of unsaturated fatty acids is described in following publication: Hou C. T. (1995) Adv. Appl. Microbiol., 41, 1-23.

The enzymatic hydration of linoleic acid to 10-hydroxy-12-octadecenoic acid by a pseudomonas preparation is described with a 57% yield by Schroepfer G.J. et al. (1970) J. Biol. Chem., 245, 3798-3801).

In US 4,582,804 Litchfield & Pierce disclose that cells of *Rhodococcus rhodochrous* catalyze the hydration of linoleic acid to 10-hydroxy-12-octadecenoic acid with a 22% yield.

Hou reported the hydration of linoleic acid to 10-hydroxy-12-octadecenoic acid by the *Flavobacterium DS5* enzyme system with a 55% yield (Hou C.T. (1994) J. Am. Oil Chem. Soc., 71, 975-978).

The same conversion has also been shown using strains of *Enterococcus faecalis* from the ovin rumen with a 22% yield (Hudson J.A. et al. (1998) FEMS Microbiology Letters, 169, 277-282).

A report by Demir et al. describes the chemoenzymatic conversion of linoleic acid to cis-9,trans-11-octadecadienoic acid (CLA), a compound having anticancer, fat-reducing and hypertension -suppressing properties. Linoleic acid was converted to 10-hydroxy-12octadecenoic acid by *Lactobacillus plantarum*, followed by a treatment with iodine under microwave irradiation to produce CLA in high yield (Demir A.S. et al. (2010) J. Agric. Food 35 Chem., 58, 1646-1652). Although many reports have been describing the use of whole microorganisms or cell extracts for the hydration of unsaturated fatty acids, no enzyme has been characterized in detail until 2009. Bevers et al. first described the isolation, recombinant expression in E. coli and characterization of the oleate hydratase (EC 4.2.1.53) from *Elizabethkingia meningoseptica* (Bevers L.E. et al. (2009) J. Bacteriol., 191, 5010-5012).

A method for the production of hydroxy fatty acids by using a hydratase from *Streptococcus pyogenes* was described in WO 2008/119735.

Recent reports showed that a oleate hydratase from *Stenotrophomonas maltophilia* and from *Lysinibacillus fusiformis* are able to hydrate linoleic acid, although with reduced specific activity compared to oleic acid (Young-Chul Joo et al. (2012) J. Biotechnol., 158, 17-23 and Bi-Na Kim et al. (2011) Appl. Microbiol. Biotechnol., online)

#### Objective

5

10

15

20

Due to the increasing demand of sebacic acid it is therefore an object of the present invention to provide a novel route to the synthesis of sebacic acid starting from educts other than ricinoleic acid which are easily accessible.

# Subject matter of the invention

The object is achieved in accordance with the claims by a process for preparing sebacic acid by reacting in a first step (i) linoleic acid with water catalyzed by an oleate-hydratase to form 10-hydroxy-12-octadecenoic acid, in a second step (ii) pyrolysing the 10-hydroxy-12-octadecenoic acid to 1-octene and 10-oxo-decanoic acid and in a third step(iii) oxidizing the 10-oxo-decanoic acid to sebacic acid.

# Step (i)

5

10

15

30

The process according to the invention starts with the conversion of linoleic acid to 10-hydroxy-12-octadecenoic acid. For step (i) of the inventive process chemically pure linoleic acid can be used as well as substrates which contain linoleic acid as a main component, preferably more than 60%, more preferred more than 70% or 80% by weight of the substrate.

Such substrates can be prepared from oil having a high linoleic acid content in form of glycerol esters by hydrolyzing the glycerol ester and recovering the linoleic acid in form of the free acid or its salts. Such oils are for example safflower oil (78% linoleic acid), grape seed oil (73% linoleic acid), poppy seed oil (70% linoleic acid) or mostly preferred sunflower oil (68% linoleic acid).

If linoleic acid is produced from a complex oil such as sunflower oil, the fatty acid preparation may contain in addition to linoleic acid other fatty acids which can be present when performing step (i) of the inventive reaction. These other fatty acids can be eliminated from the reaction at later reaction steps, preferably after step (ii).

As enzymes suitable for step (i) there are numerous oleate-hydratases in the prior art as described above e.g. from the organisms *Pseudomonas*, *Rhodoccocus*, *Flavobacterium*, *Enterococcus*, *Lysinibacillus*, *Lactobacillus*, *Stenotrophomonas*, *Elizabethkingia*.

These enzymes are known in the art to convert linoleic acid to 10-hydroxy-12-octadecenoic acid. In addition to those enzymes other oleate-hydratases can be easily found by the skilled person by screening microorganisms using as a model reaction the conversion of oleic acid to 10-hydroxystearic acid or the targeted reaction linoleic acid to 10-hydroxy-12-octadecenoic acid. This reaction can be performed in test tube assays and so simultaneously thousands of microorganisms can be screened in short time.

As the sequence of some oleate-hydratases is known it is also possible to screen in silico genomes of microorganisms for other oleate-hydratases and test the positive populations for the conversion of oleic acid to 10-hydroxystearic acid or the targeted reaction linoleic acid to 10-hydroxy-12-octadecenoic acid.

Another way is the genetic engineering of known oleate hydratases in order come to enzymes with improved activity or better temperature or solvent resistance by comparing the sequences from known oleate hydratases in order to detect conserved or homologous regions and to find starting points for a directed gene mutagenesis.

A preferred enzyme is the oleate hydratase according to EC 4.2.1.53. A representative of this class of enzymes is the enzyme from *Elizabethkingia miningoseptica* (Bevers et al.

(2009) J.Bacteriol. 191, 5010-5012). The nucleotide sequence and the corresponding amino acid sequence are disclosed as SEQ ID NO:1 and 2.

A preferred enzyme is one having SEQ ID NO: 2, or a fragment of said polypeptide sequence, wherein said fragment is sufficient for a protein having the enzymatic activity of an oleate hydratase, or nucleic acid sequences comprising a nucleotide sequence which codes for an oleate hydratase and which hybridizes to a complementary strand of the nucleotide sequence coding for SEQ ID NO:2 under stringent conditions, or comprising a fragment of said nucleotide sequence, wherein the fragment is sufficient to code for a protein having the enzymatic activity of an oleate-hydratase.

- The invention further relates to an enzyme having the enzymatic activity of an oleate-hydratase and the amino acid sequence depicted in SEQ ID NO: 2 or an amino acid sequence which is at least 75% or 80%, preferably at least 85%, 90% or 95%, more preferably at least 95% or 97% and most preferably at least 98% or 99% identical to the amino acid sequence depicted in SEQ ID NO:2.
- To improve enzyme solubility and expression level, such enzymes can be recombinantely expressed with N- (or C)-terminal fusion partners (protein or peptide). Typical proteins or tags used as fusion partners for improved solubility and/or expression levels are: maltose binding protein, thioredoxin, green fluorescence protein, glutathione-S-transferase, disulfide oxidoreductase/isomerase, T7 tag, SET tag, Nus A, Mistic and SUMO.
- In the context of this invention the term "hybridization under stringent conditions" means that the hybridization is performed in vitro under conditions stringent enough to ensure a specific hybridization. Stringent in vitro hybridization conditions are known to the person skilled in the art, and can be found in the literature (e.g. Sambrook and Rus-sell (2001) Molecular Cloning: A Laboratory Manual, 3rd edition, Cold Spring Har-bour Laboratory Press, Cold Spring Harbour, NY). The term "specific hybridization" refers to the fact that a molecule preferably binds to a certain nucleic acid sequence, the target sequence, under stringent conditions, if the target sequence is part of a complex mixture of, for example, DNA or RNA molecules, but does not bind, or at least to a considerably lesser degree, to other sequences.
- 30 Stringent conditions depend on the circumstances. Longer sequences hybridize specifically at higher temperatures. In general, stringent conditions are selected so that the hybridization temperature is approximately 5°C below the melting point (Tm) for the specific sequence at a defined ionic strength and a defined pH value. Tm is the temperature (at a defined pH value, a defined ionic strength and a defined nucleic acid concentration) at which 50% of the molecules complementary to the target sequence hybridize to the target sequence in the equilibrium state. Typically, stringent conditions are those in which the salt

concentration is at least about 0.01 to 1.0 M of sodium ion concentration (or the concentration of another salt) at a pH of between 7.0 and 8.3 and the temperature is at least 30°C for short molecules (i.e. for example 10 to 50 nucleotides). Furthermore, stringent conditions can comprise the addition of agents, such as formamide, which destabilize the hybrids. In hybridization under stringent conditions as used herein, nucleotide sequences which are at least 60% homologous to each other usually remain hybridized to each other. Preferably, the stringent conditions are selected in such a way that sequences which are homologous to each other by at least about 65%, prefer-ably at least about 70%, and especially preferably at least about 75%, or more, usually remain hybridized to each other. A preferred, non-limiting example for stringent hybridization conditions are hybridizations in 6 x sodium chloride/sodium citrate (SSC) at about 45°C, followed by one or more washing steps in 0.2 x SSC, 0.1% SDS at 50 to 65°C. The temperature ranges, for example, under standard hybridization conditions depending on the type of nucleic acid, between 42°C and 58°C in an aqueous buffer at a concentration of 0.1 to 5 x SSC (pH 7.2).

5

10

15

20

25

30

35

If an organic solvent, e.g. 50% formamide, is present in the above-mentioned buffer, the temperature under standard conditions is about 42°C. Preferably, the hybridization conditions for DNA:DNA hybrids are for example 0.1 x SSC and 20°C to 45°C, preferably 30°C to 45°C. Preferably, the hybridization conditions for DNA:RNA hybrids are for example 0.1 x SSC and 30°C to 55°C, preferably between 45°C to 55°C. The hybridization temperatures mentioned above are determined for example for a nucleic acid having a length of about 100 base pairs and a G/C content of 50% in the absence of formamide. The person skilled in the art knows how the required hybridization conditions can be determined using the above mentioned, or the following, textbooks: Current Protocols in Molecular Biology, John Wiley & Sons, N. Y. (1989), Hames und Higgins (publisher) 1985, Nucleic Acids Hybridization: A Practical Approach, IRL Press at Oxford University Press, Oxford; Brown (publisher) 1991, Essential Molecular Biology: A Practical Approach, IRL Press at Oxford University Press, Oxford.

The stereospecificity of the enzymatic hydration is not critical for the inventive process. Consequently either the 10(R) or the 10(S)-hydroxy-12-octadecenoic acid or mixtures (racemates) produced in step (i) can be used in the following step (ii).

Enzymatic conversion of linoleic acid to 10-hydroxy-12-octadecenoic acid can be performed in a reaction medium which contains the linoleic acid and water. If the linoleic acid is used in form of the free acid (the oil phase) the water or a buffered water containing solution with the enzyme forms a second liquid phase (the water phase) .The two liquid phase should be mixed thoroughly in order to form an emulsion for a quick reaction.

However, step (ii) can also be performed with immobilized enzymes, which can easily be removed from the reaction medium and be recycled. Enzymes can be generally immobilized by different methods such as adsorption, covalent binding, membrane encapsulation, gel encapsulation and cross-linking. The properties of the carrier material for immobilization shall be optimized in order to avoid enzyme inactivation. Typical carriers can be either organic (natural and non-natural) or inorganic materials. Inorganic materials usually have good tolerance against pressure, while organic materials show good chemical stability. Inorganic carriers are typically porous materials based on silicon- or aluminum oxides, or mixtures thereof. Natural organic carriers are for example polysaccharides like cellulose, starch, dextran, agar or chitin. Proteins like collagen, gelatin or albumin can also be used. Synthetic organic carriers include poly(meth)acrylates, polyacrylamide, vinyl- and allylpolymers, polyesters, polyamides.

5

10

15

25

30

35

Step (i) can be performed in a 2-phase system, where the enzyme preparation (aqueous phase) is added to the organic phase containing linoleic acid. The ratio aqueous phase/ linoleic acid phase can be varied in a broad range.

The reaction can be performed with or without additional solvents. With regard to the selection of the solvent, the person skilled in the art is guided by the product yield, reaction rate, manageability of the suspensions formed and the cost of the solvent.

Advantageous solvents are those which can be mixed with the linoleic acid, are chemically inert, i.e. do not react with the enzyme or inhibit the enzymatic activity.

Typical organic solvents in biocatalytic processes are: hexane, heptane, dodecane, hexadecane, ethyl ether, isopropyl ether, butyl ether, tetrahydrofuran, dioxane, toluene, dimethyl sulfoxide, acetone, 2-pentanone, 2-heptanone. The reaction temperature for step (i) depends from the thermal stability of the enzyme used and is usually between 10 and 50°C, preferred between 20 and 40°C. However, if an enzyme with a high thermal stability is used also reaction temperatures of above 50°C are possible.

The formed 10-hydroxy-12-octadecenoic acid can be recovered from reaction medium by conventional processes such as crystallization or extraction.

For the next step of the inventive reaction, i.e. step (ii), the 10-hydroxy-12-octadecenoic acid can be used in the form of the free acid or in the form of an ester, preferred a lower alkyl ester such as methyl or ethyl ester of 10-hydroxy-12-octadecenoic acid.

If an ester of 10-hydroxy-12-octadecenoic acid shall be used in the reaction, the 10-hydroxy-12-octadecenoic acid recovered from step (i) can be esterified by chemical or enzymatic methods before the pyrolysis of step (ii). A preferred way for esterification is the enzymatic conversion by a lipase.

# Step (ii)

10

15

20

25

30

35

For the description of this step (ii) the term "10-hydroxy-12-octadecenoic acid" shall mean either the free acid 10-hydroxy-12-octadecenoic acid or an ester of 10-hydroxy-12-octadecenoic acid, for example a methyl or ethyl ester of 10-hydroxy-12-octadecenoic acid.

The pyrolysis of 10-hydroxy-12-octadecenoic acid to 10-oxo-decanoic acid is a retro-enetype reaction. In order to select for the retro-ene rearrangement and to suppress the competing dehydration reaction it is best to have a fast vaporization of the 10-hydroxy-12octadecenoic acid.

The reaction can be performed at temperatures from above 400 up to 800°C, preferred from 500 to 600°C. The optimum temperature range depends on the residence time of the substrate as well as on the nature of the substrate. If a methyl ester of 10-hydroxy-12-octadecenoic acid is used the best results have been achieved with temperatures of 600°C and a residence time of 1 – 2 seconds in a microreactor. If the free acid 10-hydroxy-12-octadecenoic acid is used instead of the ester a complete conversion of the 10-hydroxy-12-octadecenoic acid could be detected lower temperatures such as 575°C. However, the selectivity for the retro-ene reaction over the dehydration is less with the free acid 10-hydroxy-12-octadecenoic acid than with the methylester of 10-hydroxy-12-octadecenoic acid. For details compare the working examples.

The reaction can be performed in a milli- or microreactor, e.g. a capillary with a diameter of 0.1 to 3mm. Crucial aspects are a high heating rate and a fast vaporization in a milli- or microevaporator with residence times of <10 seconds, preferably <1 second. In order to maintain these characteristics, milli- or microstructured apparatus known to those skilled in the art are suitable. The reaction can be performed with or without a solvent. If a solvent is used the solvent can be added in up to 99% (w/w). The solvent used should not react or decompose at the temperatures and conditions used during the pyrolysis. A preferred solvent is chosen from the group of stable ethers, such as THF or dioxane. THF is the most preferred solvent for step (ii).

Water can also be added to the reaction mixture.

The 10-oxo-decanoic acid formed in step (ii) can be dependent on the starting material 10-hydroxy-12-octadecenoic acid either the free acid 10-oxo-decanoic acid or the corresponding ester of 10-oxo-decanoic acid. For the description of this step (ii) the term "10-oxo-decanoic acid" shall mean the free acid as well as the ester of 10-oxo-decanoic acid.

The 10-oxo-decanoic acid can be separated from the second product of the retro-ene rearrangement (1-octene) by conventional methods such as distillation or extraction. In the

case of a non pure linoleic acid as starting material, f.ex. sunflower oil hydrolysates, the non-transformed fatty acids (f.ex. stearic acid or 10-hydroxostearic acid) can be removed by conventional methods such as distillation, crystallisaion or extraction.

For the next step, the oxidation of 10-oxo-decanoic acid to sebacic acid, the product mixture of step (ii), consisting of 10-oxo-decanoic acid, 1-octene and possibly other fatty acids, can in general be taken without in between purification or the 10-oxo-decanoic acid can be purified by the above mentioned methods. Both, the methyl ester or the free fatty acid can be used.

# Step (iii)

5

15

20

10 For step (iii) the recovered 10-oxo-decanoic acid can be used in the form of the free acid or the ester.

The oxidation of the aldehyde function in 10-oxo-decanoic acid to the dicarbonic acid sebacic acid can be performed according to well-known procedures, as for example in the oxidation of oxo-aldehydes to oxo carbonic acids, by using mild oxidizing agents like oxygen or air at up to 100°C and up to 7bar, either without catalyst or homogenously catalyzed by redox active metals as for example Cu, Fe, Co, Mn, etc. (Industrial Organic Chemistry, Wiley-VCH, H.-J. Arpe (publisher), 2007, pp.149).

Depending on the purity of the starting material used as linoleic acid source in step (i) and depending on the application of the sebacic acid it could be necessary to have additional purification and recovery steps in the process according to the invention which are well known *per se* for the person skilled in the art. When using the ester of 10-oxo-decanoic acid in step (iii), hydrolysis of the ester will lead to the free sebacic acid.

Working Examples

Example 1

5

10

15

20

25

Expression and Characterization of an Oleate Hydratase

The gene encoding the oleate hydratase form *Elizabethkingia meningoseptica* has been synthesized with a codon usage optimized for *E. coli*. The following production procedure was adapted from Bevers et al. (Bevers L.E. et al. (2009) J. Bacteriol., 191, 5010-5012).

For recombinant enzyme production, the gene was cloned into the pBAD(HisA) vector (Invitrogen), which allows induction of expression with arabinose. E. coli TOP10 one shot (Invitrogen) was transformed with pBAD(HisA)-OH and plated on LB-Agar-Amp plates (o/n at 37°C). A single colony was inoculated in 2xYT-Amp and cultured for additional 5h at 37°C.

Induction of protein expression was achieved by adding 5 mL of this culture to 500 mL 2xYT-Amp supplemented with 0,2% arabinose and by incubation at 37° for additional 18 h. After induction cells were collected by centrifugation (20', 4000 rpm, 4°C) and resuspended in 20 mM Tris-HCl (pH 8), 50 mM NaCl and 1mM CaCl2.

The cell suspension was sonicated (3', 15" on/off cycles, 80% amplitude at 4°C) and the clear supernatant was used in most of the biocatalytic conversions described in this report (typically 40 mg/mL total protein; ≈13% oleate hydratase based on Agilent 2100 Bioanalyzer). The enzyme has also been further purified by Ni-affinity-chromatography (Histag purification). In this case the induced cells were resuspended in 20 mM Tris-HCI (pH8), 50 mM NaCl, 1 mM CaCl2 and 10 mM imidazole. The washing buffer during purification contained 20 mM imidazole and protein elution was achieved with 500 mM in the same buffer. The fractions containing oleate hydratase were collected and dialyzed against 20 mM Tris-HCI (pH 8), 50 mM NaCl and 1 mM CaCl2. The enzyme stock solution (5,8 mg/mL) was stored at 4°C.

The identity of the of the expressed protein has also been confirmed by a N-terminal protein sequencing.

## Example 2

15

20

30

Conversion of Oleic acid to 10-hydroxy-stearic acid (10-HSA)

As a first step the recombinantely produced oleate hydratase of Example 1 was characterized for its wild-type activity on oleic acid (OA) to produce 10-hydroxystearic acid (10-HSA). Bacteria expressing the oleate hydratase have been sonicated as described in example 1 and 200 μL of the clear supernatant (5 mg/mL total protein content, ≈ 600 μg oleate hydratase) were added to an emulsion containing 10 mM oleic acid in 20 mM Tris-10 HCl (pH 8), 50 mM NaCl and 1 mM CaCl₂ (final volume 2 mL).

As a negative control, the same reaction was carried out using the supernatant of sonicated *E. coli* TOP10 not expressing the oleate hydratase (5,6 mg/mL total protein content, no oleate hydratase). The reaction mixture was incubated under stirring o/n at room temperature. The reaction was stopped by adding 50 µL of 3M HCI (final pH 1-2).

At this point 4 mL of MTBE were added to the reaction mixture in order to extract the organic substrate (OA) and product (10-HSA). The reaction products were derivatized by adding 500  $\mu$ L trimethylsulfoniumhydroxide (TMSH; 0,1 M in methanol) to 100  $\mu$ L of product solution (30' at 100 °C) and analyzed by GC .

Figure 1 shows the GC analytics of this enzymatic conversion.

Fig. 1 (A) Oleic acid incubated o/n at RT with *E.coli* TOP10 only. Retention time of oleic acid: 8,161 min. (B) Oleic acid incubated o/n at RT with *E. coli* TOP10 expressing oleate hydratase. Retention time of 10-HSA: 9,340 min.

As expected, the oleate hydratase expressed in TOP10 *E. coli* cells was able to convert oleic acid to 10-HSA almost completely (>95%). The sonicated *E. coli* TOP10 cells without oleate hydratase did not convert oleic acid.

## Example 3

Conversion of linoleic acid to 10-hydroxy-12-octadecenoic acid (10-HOA)

- The oleate hydratase was expressed in *E. coli* TOP10 (10 L culture) according to example 1. Cell lysis was accomplished by resuspending the cell pellet in 100 mL of 20 mM Tris-HCl (pH 8), 50 mM NaCl, 1 mM CaCl<sub>2</sub> followed by sonication, as previously described. The total protein concentration was 26 mg/mL (13% oleate hydratase).
- The supernatant (300-400 mg of oleate hydratase) was added to a solution containing 900 mL of 20 mM Tris-HCl (pH 8), 50 mM NaCl, 1 mM CaCl₂ and 14,4 g linoleic acid (≈ 50 mM). The reaction mixture was stirred at RT for 72 h. Upon completion of the reaction, the pH was adjusted to 1,5 by adding 3M HCl. The product was then extracted with 1L MTBE and filtered over 100 g of Celite 535. After removal of MTBE by destillation, the reaction product 10-HOA was obtained in high yield (13,6 g, yield: 89 %). Samples for GC and GC-MS analytics were prepared by adding 400 μL N-trimethylsilylimidazole (TSIM) to 100 μL of product solution (30' at 100 °C).
  - Fig. 2 (A) GC analysis of 10-HOA: retention time of 10-HOA: 9,971 min. (B) GC-MS analysis of the reaction product, showing the typical fragmentation pattern for 10-HOA.

### Example 4

20

35

40

Pyrolysis of 10-hydroxy-12-octadecenoic acid (10-HOA) in a microreactor

A microreactor was used for the pyrolysis reactions. The schematic experimental setup is shown in Fig. 3. The feed is dosed into the reactor using a Bischoff HPLC pump. The reactor is a steel tube with an inner diameter of 1/16" immersed in a solid copper block that can be heated up to 800°C. After passing a cooler (aluminium block at room temperature), the product mixture is collected in a flask. With this setup, residence times < 1 second are possible. Moreover, the whole mixture is heated up to the desired temperature very fast.

Fig. 3 shows the schematic experimental setup for thermal cleavage of 10-hydroxy-12-octadecenoic acid (10-HOA) in a microreactor.

# a. Pyrolysis of 10-HOA methyl ester (Me-10-HOA)

In the first microreactor experiments, the methyl ester of 10-hydroxy-12-octadecenoic acid was chosen as reactant in order to have an easier handling (i.e. better vaporization properties). MTBE and THF were tested as solvents. In the case of MTBE, a large amount of dimethylated 10-oxo-decanoic acid (acetal formation w/ methanol) was observed, leading to the conclusion that the solvent is cleaved during the reaction. Therefore, THF was chosen for

further experiments (no cleavage products observed). Different temperatures and residence times were evaluated, as well as the effect of water addition (almost equimolar amount of water dissolved in reactant solution). The results are summarized in Table 2.

5

10

15

20

25

30

As seen in Table 2, the temperature has the largest effect on the conversion of 10-hydroxy-12-octadecenoic acid methyl ester, whereas the residence time variation has only a small influence (a greater variation of residence time was not feasible due to the reactor setup but would probably have a larger impact on conversion and selectivity). At 500°C, only around 25% of 10-hydroxy-12-octadecenoic acid methyl ester are converted (entry 1 and 2), at 550°C it's already around 40% (entries 3-6) and at 600°C we can have full conversion (entry 7 and 8). Addition of water seems to have a beneficial effect on selectivity. The best results were obtained at 600°C and a residence time of T = 1.3 sec with complete conversion of 10-hydroxy-12-octadecenoic acid methyl ester, around 75% selectivity towards the retro-ene product and only 6.5% selectivity towards the dehydration products (linoleic acid methyl ester and isomers, entry 8).

Table 2: Results of 10-hydroxy-12-octadecenoic acid methyl ester pyrolysis in microreactor (analyzed by GC of silylated sample).

NI.	temp.	residence	4	conv.	sel. 10-ODA	sel. dehydration
No.	[°C]	time [sec]	water	[%]	sel. 10-ODA [%] 18.7 17.6 26.8 26.8 29.8 29.1 51.7	[%]
1	500	2.2	no	25.6	18.7	10.3
2	500	2.1	yes	21.4	17.6	8.1
3	550	2.0	no	37.6	26.8	10.5
4	550	1.5	no	39.9	26.8	9.6
5	550	1.9	yes	39.2	29.8	11.6
6	550	1.3	yes	41.0	29.1	11.0
7	600	2.0	no	94.2	51.7	10.5
8	600	1.3	yes	99.5	74.2	6.5

b. Pyrolysis of 10-hydroxyoctadec-12-enoic acid (10-hydroxy-12-octadecenoic acid)

As the use of 10-hydroxy-12-octadecenoic acid methyl ester as reactant would mean one more step in the overall scheme from linoleic acid to sebacic acid the successful microreactor setup was also tested using the free acid 10-hydroxy-12-octadecenoic acid (as 10wt% solution in THF). In comparison to the pyrolysis of 10-hydroxy-12-octadecenoic acid methyl ester, full conversion of 10-hydroxy-12-octadecenoic acid is already obtained at lower temperatures (575°C, entry 5 in Table 3). As in the case of10-hydroxy-12-octadecenoic acid methyl ester, the residence time does not influence the reaction to a large extent. Nevertheless, the selectivity towards 10-oxo-decanoic acid is significantly lower (48 vs. 74%,

compare entry 8 in Table 2). More dehydration as side reaction is observed, which could be due to the poor vaporization behaviour of the free fatty acid compared to its methyl ester.

Table 3: Results of 10-hydroxy-12-octadecenoic acid pyrolysis in microreactor (analyzed by GC of silylated sample).

No. 1 2 3 4	temp.	residence time	conv.	sel. 10-ODA	sel. dehydration
INO.	[°C]	[sec]	[%]	[%] 17.0 21.3	[%]
1	500	2.0	27.2	17.0	8.5
2	525	1.9	42.3	21.3	18.7
3	550	1.9	72.2	32.3	35.1
4	550	1.4	73.0	33.2	32.8
5	575	1.9	99.8	48.3	38.1

# Example 5 Oxidation of 10-oxo-decanoic acid to sebacic acid

5

The oxidation of 10-oxo-decanoic acid to sebacic acid can be performed as described by H.-J. Arpe (Industrial Organic Chemistry, Wiley-VCH, 2007, pp. 149): The aldehyde is oxidized with mild oxidizing agents, as e.g. air or pure oxygen in liquid phase at up to 100°C and up to 7 bar, either uncatalyzed or homogenously catalyzed by redox active metals, as e.g. Cu, Fe, Co, Mn.

#### Claims:

5

10

15

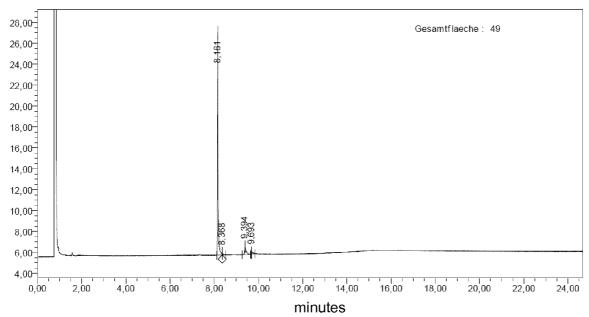
20

- 1. A process for preparing sebacic acid by reacting
  - in a first step (i) linoleic acid with water catalyzed by an oleate hydratase to form10- hydroxy-12-octadecenoic acid,
  - in a second step (ii) pyrolysing the 10-hydroxy-12-octadecenoic acid to 1-octene and 10-oxo-decanoic acid and
  - in a third step(iii) oxidizing the 10-oxo-decanoic acid to sebacic acid.
- The process according to claim 1, wherein the oleate hydratase is a polypeptide having SEQ ID NO:2
  - 3. The process according to claim 1, wherein after step (i) the 10-hydroxy-12-octadecenoic acid is esterified to a 10-hydroxy-12-octadecenoic acid ester and subsequently the 10-hydroxy-12-octadecenoic acid ester is pyrolysed in step (ii).
  - 4. The process according to claim 3, wherein the 10-hydroxy-12-octadecenoic acid ester is the methylester.
- 5. The process according to claim 1, wherein the pyrolysis in step (ii) is performed at a temperature between 400 and 800°C, more preferably between 500 and 600°C
  - 6. The process according to claim 1, wherein the pyrolysis is carried out in a microstructured apparatus.
- 7. The process according to claim 1, wherein the pyrolysis in step (ii) is performed in THF as a solvent.
  - 8. The process according to claim 1, wherein the oxidation in step (iii) is performed by air.
  - 9. The process according to claim 1, wherein the oxidation step (iii) is catalyzed by a redox active metal.

Fig.1 of 3



5 **mV** 



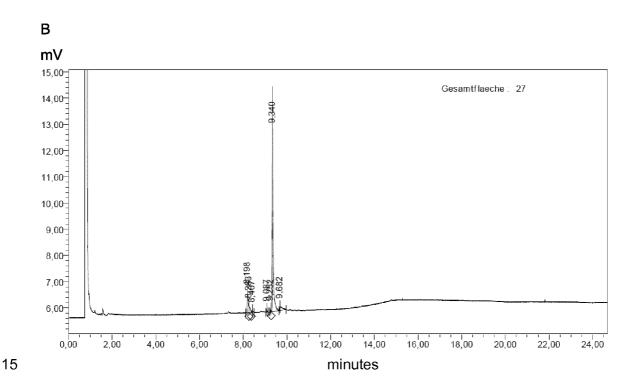
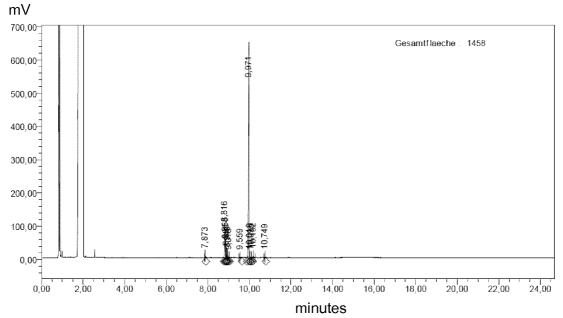


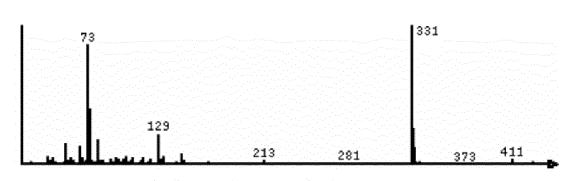
Fig. 2 of 3





В

5



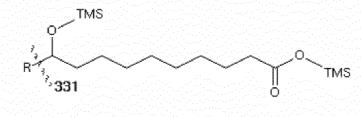
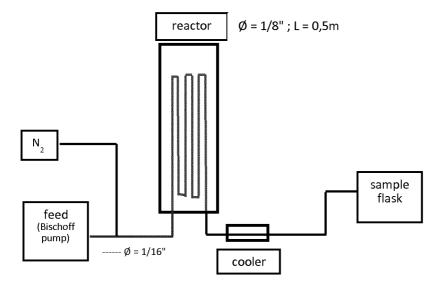


Fig. 3 of 3



International application No PCT/EP2013/068144

A. CLASSIFICATION OF SUBJECT MATTER INV. C12P7/64 C07C55/20

C. DOCUMENTS CONSIDERED TO BE RELEVANT

ADD.

C07C59/147

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

C12P C12Y C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data, BIOSIS, COMPENDEX, EMBASE, FSTA, CHEM ABS Data, Sequence Search

Category*	Citation of document, with indication, where appropriate, of the	relevant passages	Relevant to claim No.
Α	US 5 952 517 A (RIES CHARLES DA AL) 14 September 1999 (1999-09- abstract; claims 1-26; examples	14)	1
A	DATABASE WPI Week 197423 Thomson Scientific, London, GB; AN 1974-42600V XP002690865, & JP 49 019085 A (ASAHI ELECTRO LTD) 20 February 1974 (1974-02- abstract		1
X Furth	ner documents are listed in the continuation of Box C.	X See patent family annex.	
"A" docume to be o "E" earlier a filing d	ategories of cited documents:  Int defining the general state of the art which is not considered for particular relevance supplication or patent but published on or after the international ate on the internation of the int	"T" later document published after the inter date and not in conflict with the applica the principle or theory underlying the ii "X" document of particular relevance; the considered novel or cannot be conside step when the document is taken alon	ation but cited to understand nvention laimed invention cannot be ered to involve an inventive
cited to specia "O" docume means "P" docume	o establish the publication date of another citation or other I reason (as specified) ent referring to an oral disclosure, use, exhibition or other	"Y" document of particular relevance; the considered to involve an inventive step combined with one or more other such being obvious to a person skilled in the "&" document member of the same patent f	laimed invention cannot be p when the document is n documents, such combination e art

08/01/2014

Authorized officer

Date of mailing of the international search report

Schröder, Gunnar

Name and mailing address of the ISA/

Date of the actual completion of the international search

NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016

European Patent Office, P.B. 5818 Patentlaan 2

19 December 2013

International application No
PCT/EP2013/068144

C(Continua	ation). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	HOU CHING T: "Production of hydroxy fatty acids from unsaturated fatty acids by Flavobacterium sp. DS5 hydratase, a C-10 positional- and cis unsaturation-specific enzyme", JOURNAL OF THE AMERICAN OIL CHEMISTS' SOCIETY, vol. 72, no. 11, 1995, pages 1265-1270, XP055051242, ISSN: 0003-021X abstract page 1265, right-hand column, last paragraph - page 1266, left-hand column, paragraph 1	1-9
Y	H. KENNETH. SPENCER ET AL: "Asymmetric induction in the pyrolysis of .betahydroxyolefins", THE JOURNAL OF ORGANIC CHEMISTRY, vol. 41, no. 14, 1 July 1976 (1976-07-01), pages 2485-2487, XP055050881, ISSN: 0022-3263, DOI: 10.1021/jo00876a031 abstract scheme 1; page 2485, left-hand column, paragraph 1 - right-hand column, paragraph 2 reference 2	1-9
Υ	US 4 582 804 A (LITCHFIELD JOHN H [US] ET AL) 15 April 1986 (1986-04-15) cited in the application abstract; claims 1, 3 column 1, lines 18-28	1-9
Y	HOU CHING T: "Conversion of linoleic acid to 10-hydroxy-12(Z)-octadecenoic acid by Flavobacterium sp. (NRRL B-14859)", JAOCS, JOURNAL OF THE AMERICAN OIL CHEMISTS' SOCIETY 1994 SEPT AMERICAN OIL CHEMISTS' SOC, vol. 71, no. 9, September 1994 (1994-09), pages 975-978, XP008159544, cited in the application abstract; figure 1 page 976, left-hand column, paragraph 4 page 975, left-hand column, lines 3-7, paragraph 3	1-9

International application No
PCT/EP2013/068144

C(Continua	ntion). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	KISHIMOTO NORIAKI ET AL: "Two distinct pathways for the formation of hydroxy FA from linoleic acid by lactic acid bacteria", LIPIDS, SPRINGER, vol. 38, no. 12, 1 December 2003 (2003-12-01), pages 1269-1274, XP002664098, ISSN: 0024-4201, DOI: 10.1007/S11745-003-1188-4 abstract page 1273, right-hand column, paragraph 3	1
A	BEVERS LOES E ET AL: "Oleate Hydratase Catalyzes the Hydration of a Nonactivated Carbon-Carbon Bond", JOURNAL OF BACTERIOLOGY,, vol. 191, no. 15, 1 August 2009 (2009-08-01), pages 5010-5012, XP002589640, DOI: 10.1128/JB.00306-09 cited in the application abstract & DATABASE UniProt [Online]	2
	22 September 2009 (2009-09-22),  "RecName: Full=Oleate hydratase; EC=4.2.1.53; AltName: Full=Fatty acid double bond hydratase; AltName: Full=Fatty acid hydratase;", retrieved from EBI accession no. UNIPROT:C7DLJ6 Database accession no. C7DLJ6 sequence	
Α	US 2010/203600 A1 (DUBOIS JEAN-LUC [FR]) 12 August 2010 (2010-08-12) abstract; example 3 paragraphs [0004] - [0015]	1,3-5
A	DAS G ET AL: "Heptaldehyde and undecylenic acid from castor oil", JAOCS, JOURNAL OF THE AMERICAN OIL CHEMISTS' SOCIETY 1989 JUL, vol. 66, no. 7, July 1989 (1989-07), pages 938-941, XP002690868, abstract	

Information on patent family members

International application No
PCT/EP2013/068144

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5952517 A	14-09-1999	AU 6151998 A BR 9807392 A CA 2280967 A1 CN 1252045 A EP 0970032 A1 JP 2001511809 A US 5952517 A WO 9835925 A1	08-09-1998 14-03-2000 20-08-1998 03-05-2000 12-01-2000 14-08-2001 14-09-1999 20-08-1998
JP 49019085 A US 4582804 A	20-02-1974 15-04-1986	NONE	
US 2010203600 A1	12-08-2010	AT 509115 T CN 101868551 A EP 2188380 A2 FR 2921364 A1 JP 5260662 B2 JP 2010539227 A US 2010203600 A1 WO 2009047446 A2	15-05-2011 20-10-2010 26-05-2010 27-03-2009 14-08-2013 16-12-2010 12-08-2010 16-04-2009