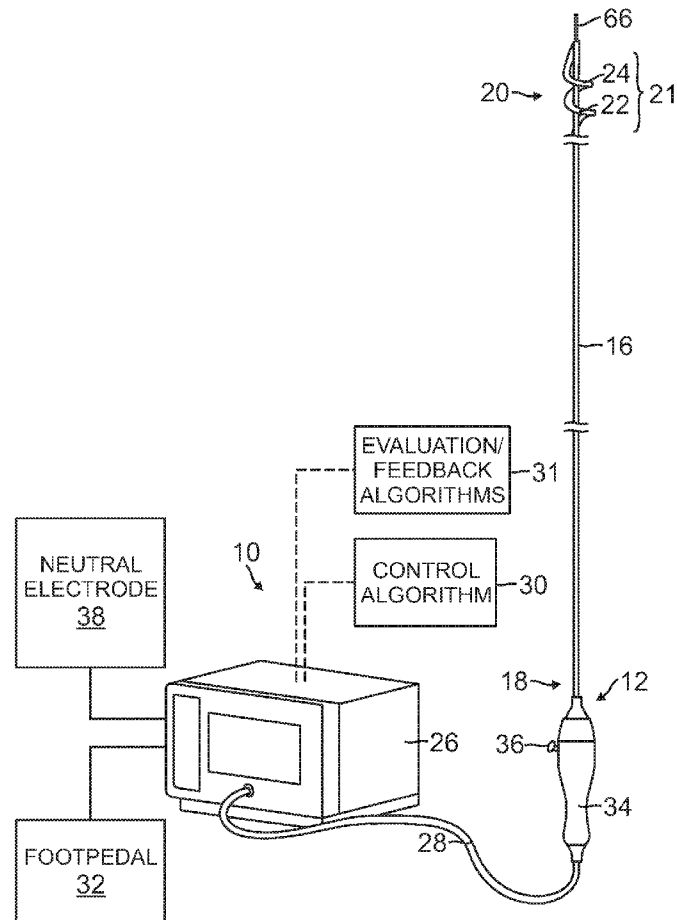




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(2013.01); **A61N 1/403** (2013.01); **A61B**
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(US)**(21) Appl. No.: **14/992,379**(22) Filed: **Jan. 11, 2016****Related U.S. Application Data**(62) Division of application No. 13/842,277, filed on Mar.
15, 2013, now Pat. No. 9,333,113.(57) **ABSTRACT**

A catheter apparatus for treatment of a human patient is described, the catheter apparatus having a central axis and comprising a shaping structure moveable between a delivery state having a first helical shape, and a deployed state having a second helical shape. The shaping structure is configured to have a reverse taper with a structural diameter that varies over the length of the shaping structure such that the structural diameter of the shaping structure at the proximal end is smaller than the structural diameter of the shaping structure at the distal end.



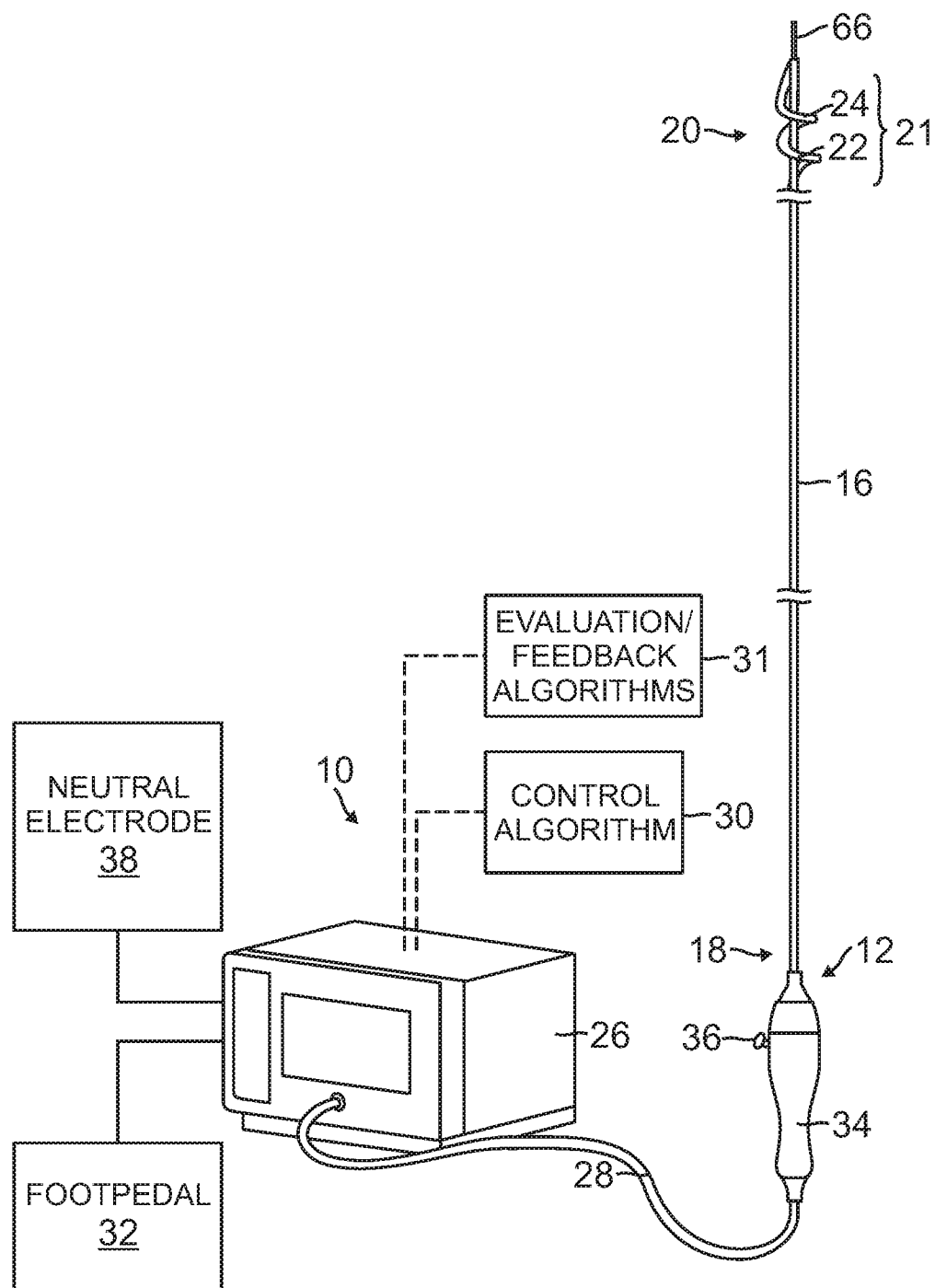


FIG. 1

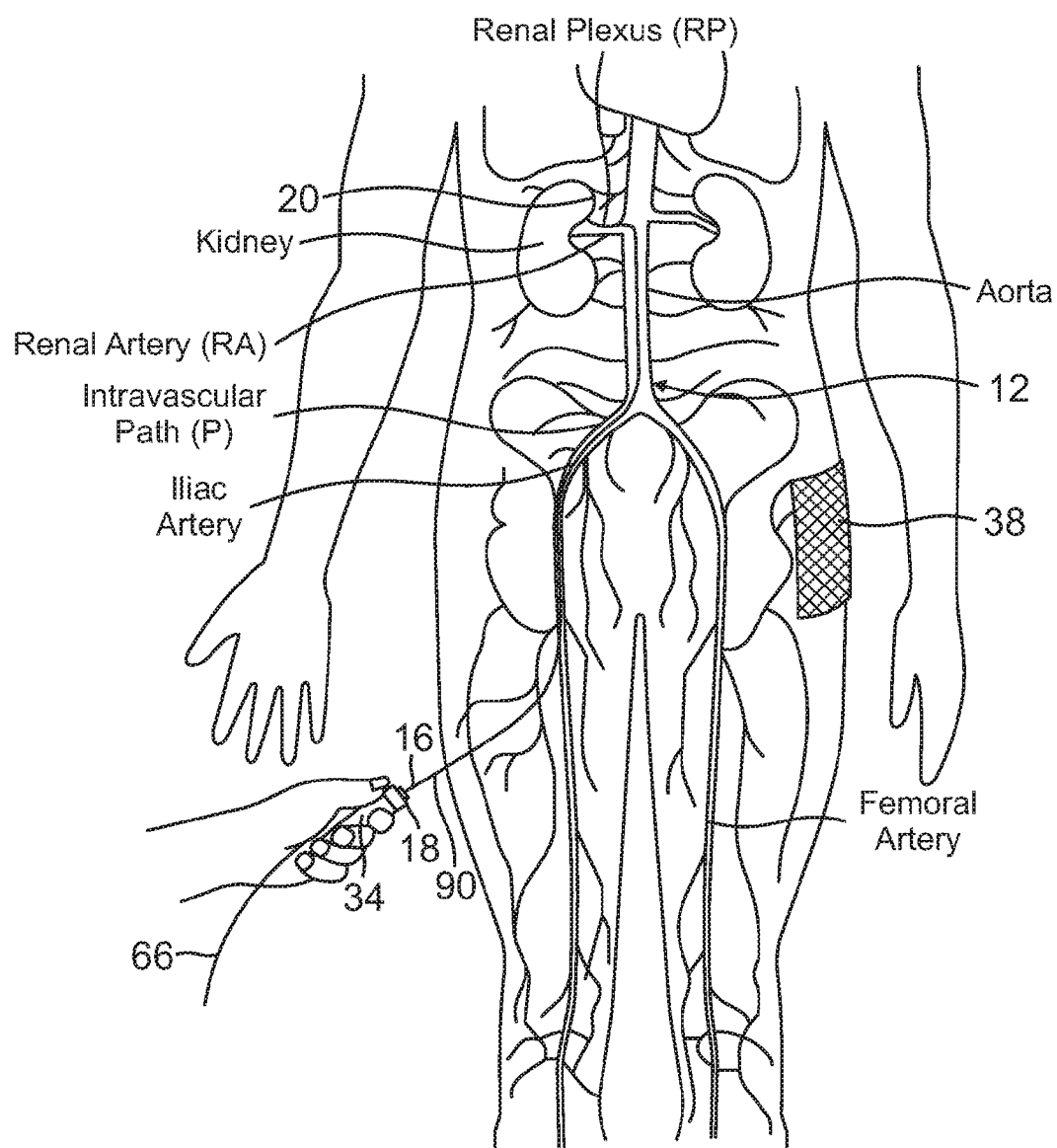


FIG. 2

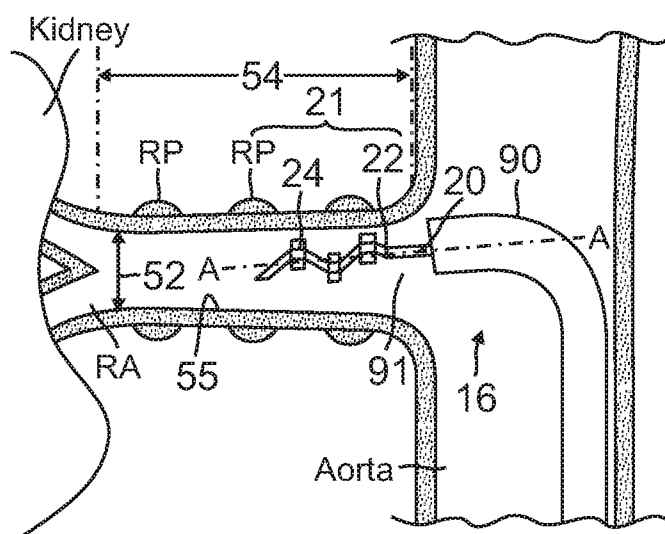


FIG. 3A

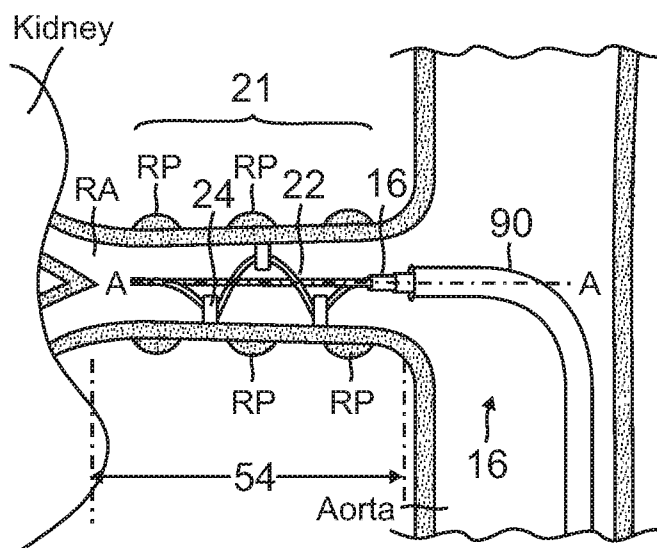


FIG. 3B

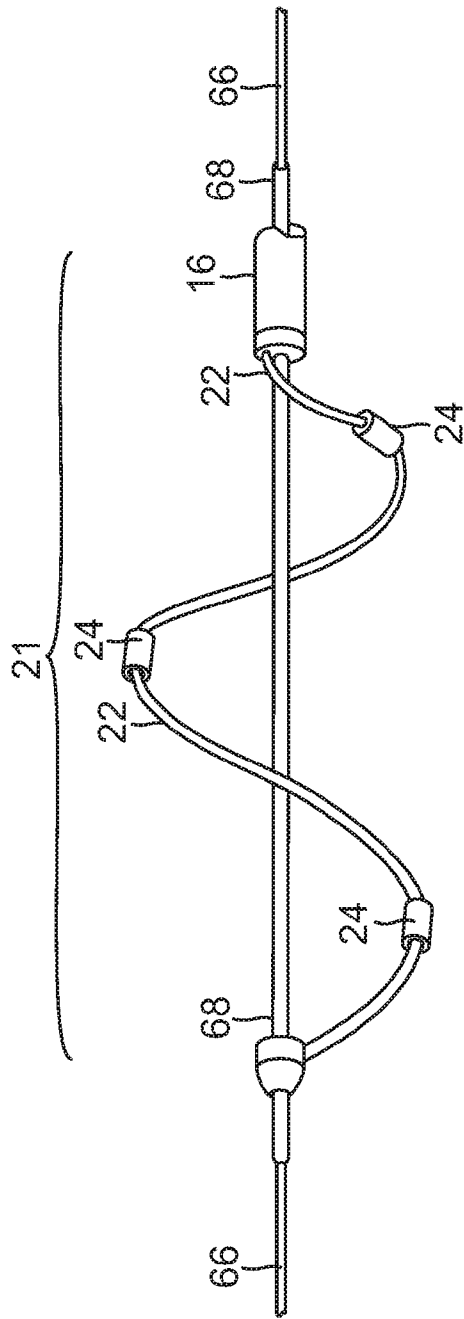


FIG. 4A

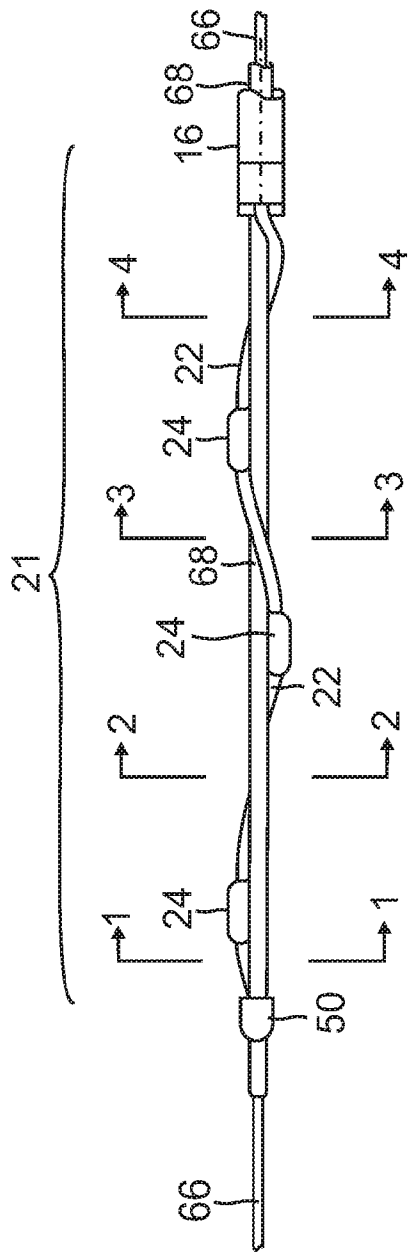


FIG. 4B

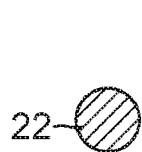


FIG. 4C1

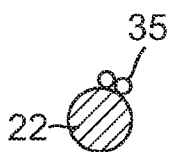


FIG. 4C2

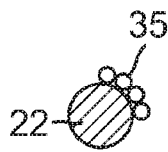


FIG. 4C3

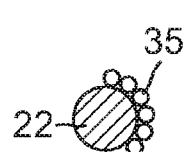


FIG. 4C4

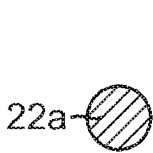


FIG. 4D1

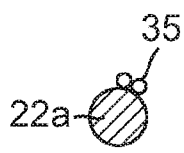


FIG. 4D2

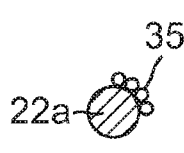


FIG. 4D3

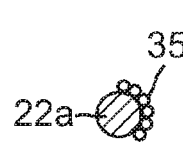


FIG. 4D4

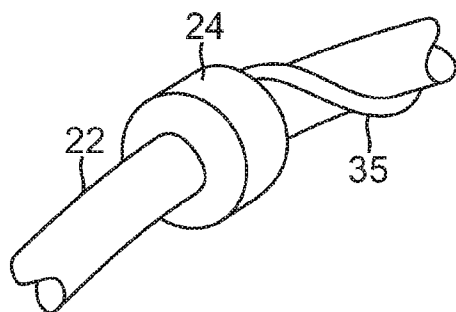


FIG. 5A

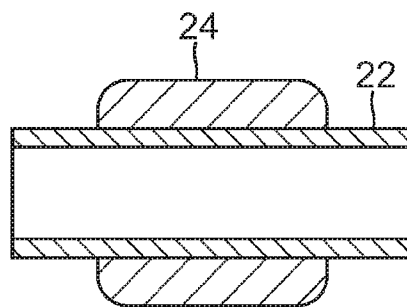


FIG. 5B

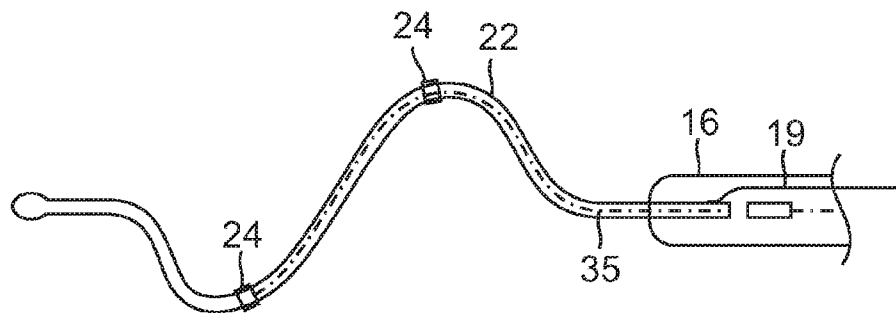


FIG. 5C

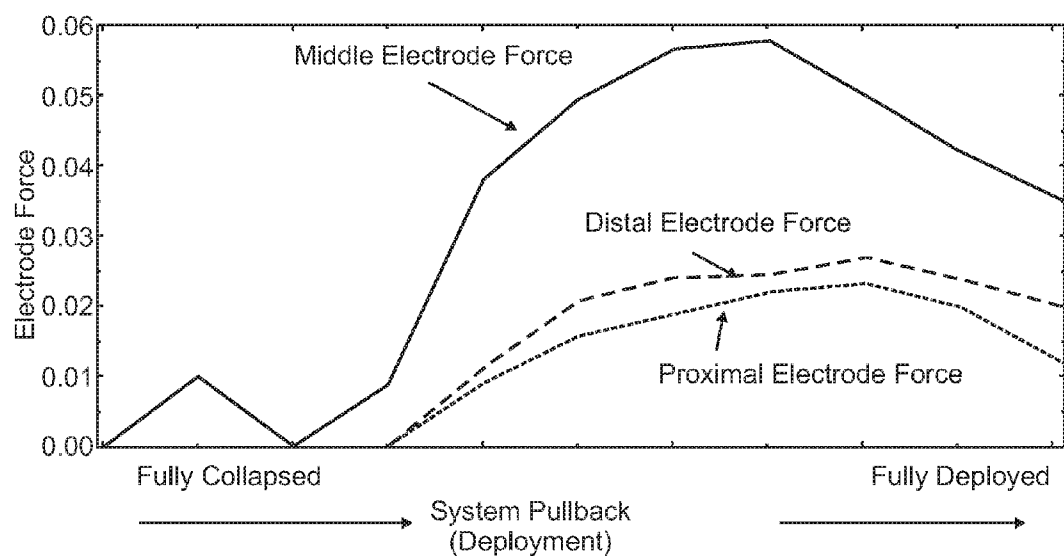


FIG. 6

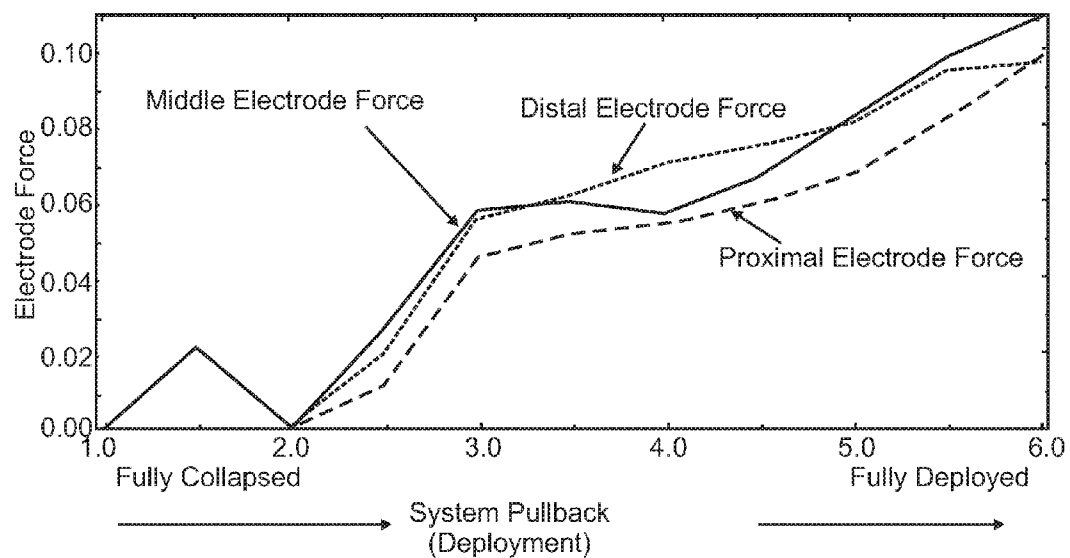


FIG. 7

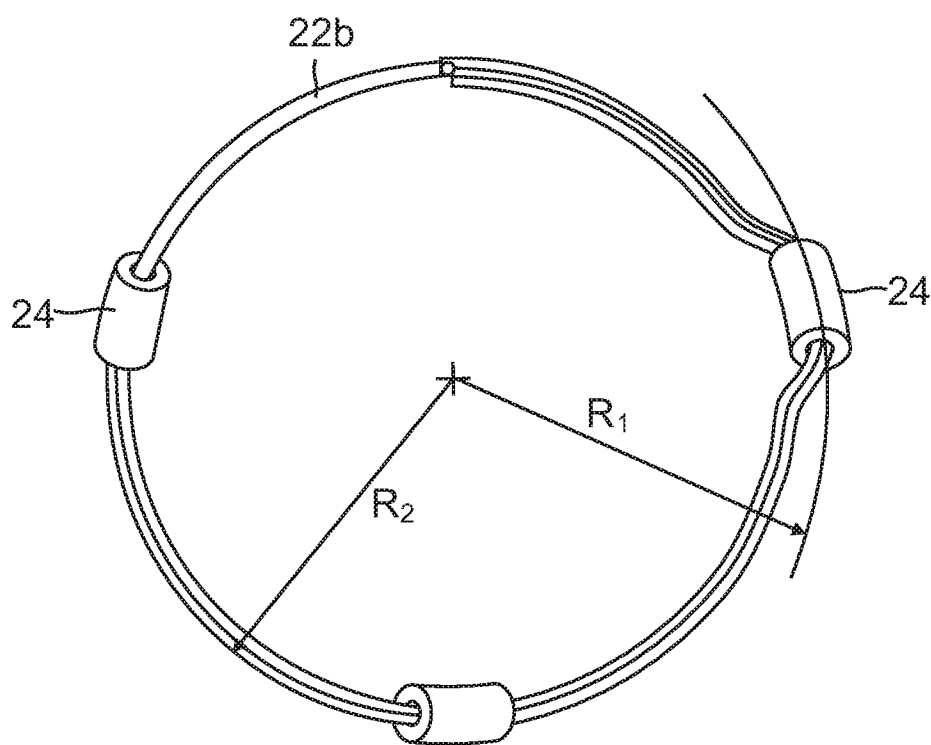
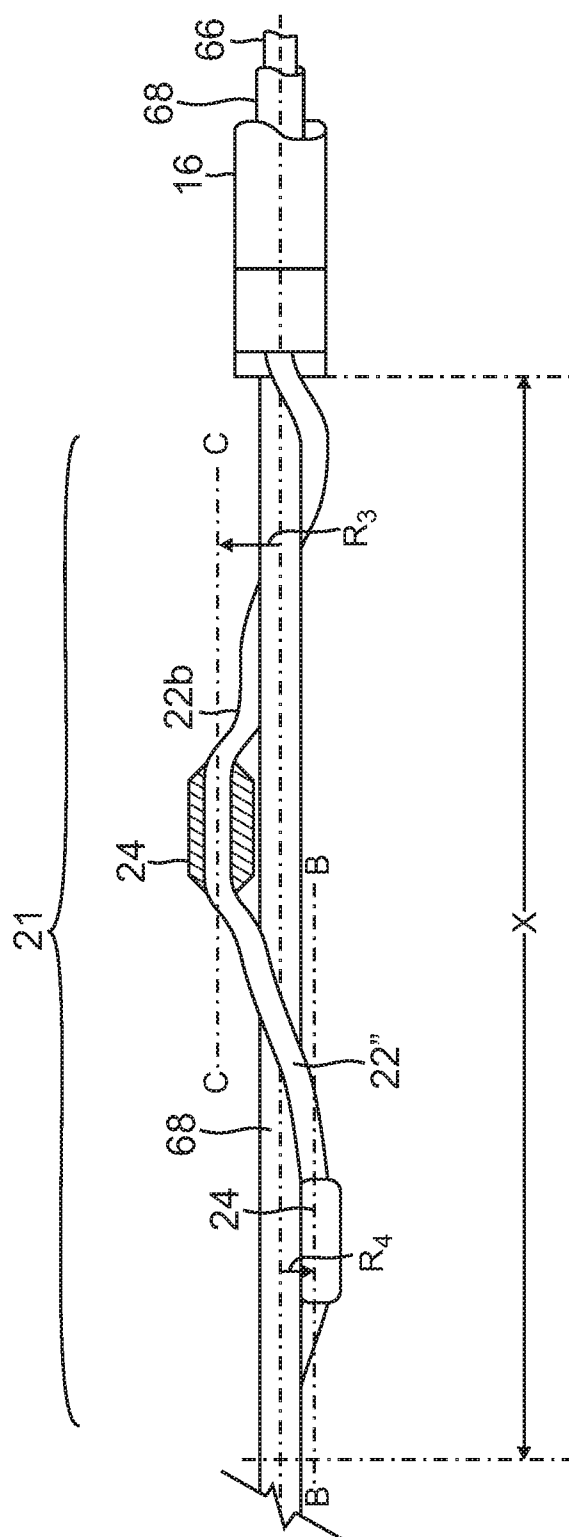


FIG. 8



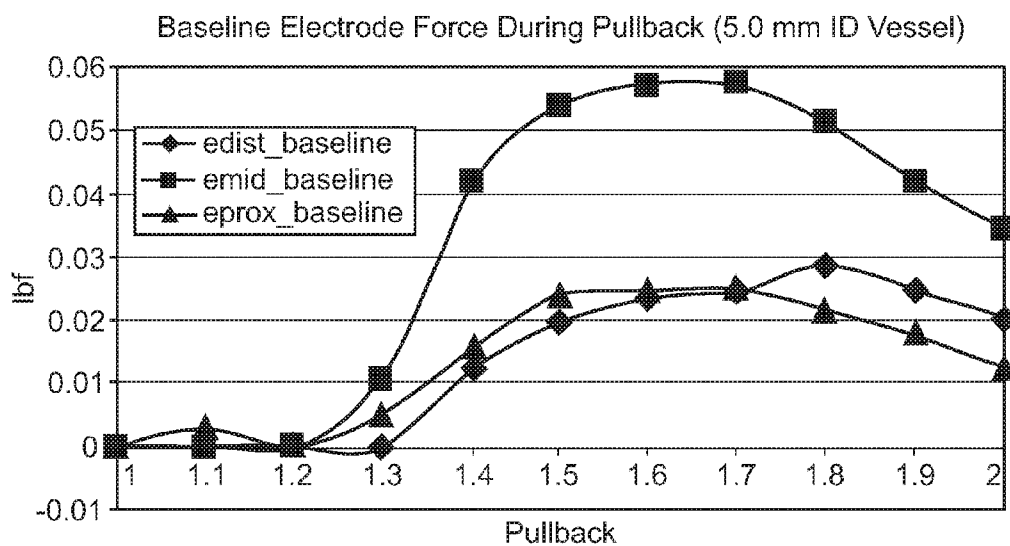


FIG. 10

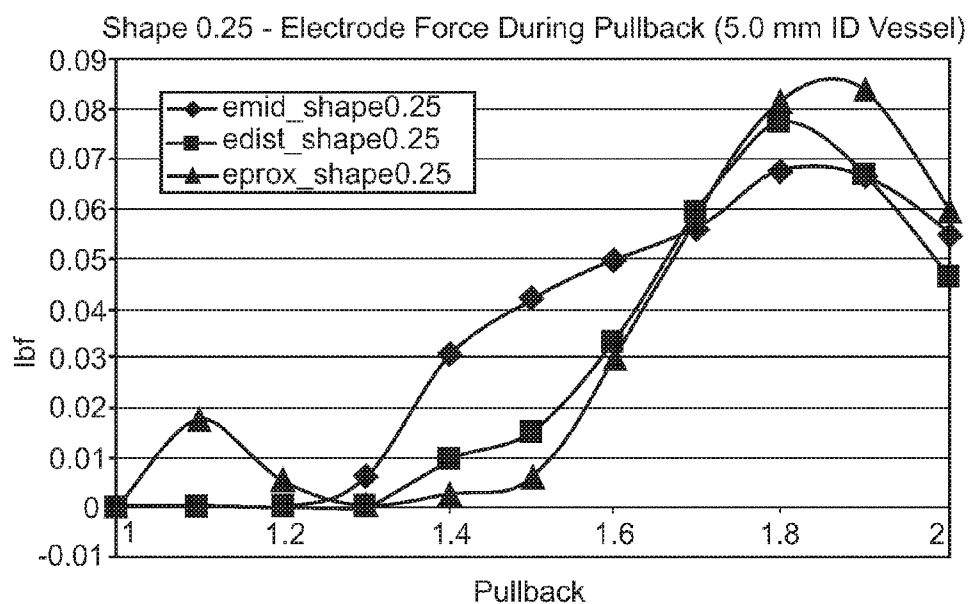


FIG. 11

SYSTEM AND METHOD FOR DENERVATION

CROSS REFERENCE TO RELATED APPLICATION

[0001] This application is a division of U.S. Ser. No. 13/842,277, filed Mar. 15, 2013, the entire contents of each of which are incorporated by reference herein and priority is claimed thereto.

BACKGROUND

[0002] This invention relates to methods and devices for treatment of diseases that include congestive heart failure, chronic renal failure and hypertension. Specifically, the invention relates to improving conditions in patients by modulating or blocking signals to the renal nerve.

[0003] Congestive Heart Failure (CHF) is a form of heart disease that is becoming ever more common. The number of patients with CHF is expected to grow in increasing numbers as the so-called "Baby Boomers" reach 50 years of age. CHF is a health condition that occurs when the heart becomes damaged, resulting in a reduced blood flow to the organs of the body. If blood flow decreases sufficiently, kidney function becomes impaired and results in fluid retention, abnormal hormone secretions and increased constriction of blood vessels. These results increase the stress on the heart to do work, and further decrease the capacity of the heart to pump blood through the kidney and vascular circulation system. This reduced capacity further reduces blood flow to the kidney. It is believed that this cycle of reduced kidney perfusion is the principal non-cardiac cause perpetuating a patient's downward spiral into CHF. Moreover, the fluid overload and associated clinical symptoms resulting from these changes are predominant causes for excessive hospital admissions, reduced quality of life and overwhelming costs to the health care system.

[0004] While many different diseases may cause initial damage to the heart, once such damage is present, CHF is identifiable under two types: Chronic CHF and Acute CHF. Despite its name, the chronic form is the less acute form of the two but is a longer term, slowly progressive, degenerative disease and may lead to cardiac insufficiency. Chronic CHF is clinically categorized by the patient's mere inability to exercise or perform normal activities of daily living.

[0005] By contrast, patients with Acute CHF may experience a more severe deterioration in heart function than those with Chronic CHF. The Acute form results in the inability of the heart to maintain sufficient blood flow and pressure to keep vital organs of the body alive. This condition can occur when extra stress (such as by infection) significantly increases the workload on the heart in a patient with an otherwise stable form of CHF. By contrast to a mere stepwise downward progression that is observable in patients with Chronic CHF, a patient suffering Acute CHF may deteriorate rapidly from even the earliest stages of CHF to severe hemodynamic collapse. Moreover, Acute CHF can occur within hours or days following an Acute Myocardial Infarction (AMI), which is a sudden, irreversible injury to the heart muscle, identified in common parlance as a heart attack.

[0006] Against this background, the kidneys are known to play an important regulatory role in maintaining the homeostatic balance of the body. The kidneys eliminate foreign chemicals from the body, regulate inorganic substances, and function as endocrine glands to secrete hormonal substances

like renin and erythropoietin. The main functions of the kidney are to maintain the water balance of the body and control metabolic homeostasis by making the urine more or less concentrated, thus either reabsorbing or excreting more fluid. However, when renal disease arises, some otherwise ordinary and regular physiological functions may become detrimental to the patient's health. When this occurs, the process is known as overcompensation. In the case of Chronic Renal Failure (CRF) the event of overcompensation may manifest itself as hypertension that has the effect of damaging the heart and blood vessels, and can eventually result in a stroke or death. Thus, without proper function by the kidneys, a patient may suffer water retention, reduced urine flow, and an accumulation of waste toxins in the blood and body. These conditions resulting from reduced renal function, or renal failure (kidney failure), tend to increase the workload placed upon the heart. In a patient, simultaneous occurrence of both CRF and CHF may cause the heart to further deteriorate as the water build-up and blood toxins accumulate due to the poorly functioning kidneys and may, in turn, cause the heart further harm.

[0007] It has been observed, in connection with human kidney transplantation, that there is evidence to suggest that the nervous system plays a major role in kidney function. It was noted for example that after a transplant, when all the renal nerves are severed, the kidney was observed to increase excretion of water and sodium. This phenomenon has also been observed in animals when renal nerves are cut or chemically destroyed. The phenomenon has been termed "denervation diuresis" because the denervation acted on a kidney in a similar way to a diuretic medication. Later, observation of "denervation diuresis" was found to be associated with vasodilatation of the renal arterial system that led to the increase of the blood flow through the kidney. This observation was confirmed by the further observation in animals that reducing blood pressure supplying the kidney could reverse the "denervation diuresis".

[0008] It was also observed that after several months passed after kidney transplant surgery in successful cases, the "denervation diuresis" in transplant recipients stopped, and the kidney function returned to normal. Initially, it was believed that "renal diuresis" is merely a passing phenomenon and that the nerves conducting signals from the central nervous system to the kidney are not essential for kidney function. Later discoveries led to the present generally held conclusion that the renal nerves have an ability to regenerate, and that the reversal of the "denervation diuresis" is attributable to the growth of the new nerve fibers supplying kidneys with the necessary stimuli.

[0009] In summary then, it is known from clinical experience and also from the existing large body of animal research that stimulation of the renal nerve leads to the vasoconstriction of blood vessels supplying the kidney, decreased renal blood flow, decreased removal of water and sodium from the body and increased renin secretion. It is also known that reduction of the sympathetic renal nerve activity, achieved by renal denervation, can beneficially reverse these processes.

[0010] There has therefore already been identified a need in the art for methods and devices that may apply the observed effects set forth above to halt and reverse the symptoms of Congestive Heart Failure. Thus, certain methods and devices have already been developed in the art to reduce renal nerve activity, in order to meet the aforesaid need. For example, the following patents and applications are directed to the stated need: U.S. Pat. No. 8,347,891, and U.S. Application 2012/

0143293, which are incorporated herein by reference. In some approaches configured to induce selective damage to the renal nerves (renal denervation), manufacturers have developed and used radio frequency (RF) catheters, which, while being minimally invasive, have problems related to positioning electrodes within a vessel, and maintaining uniform contact between the electrodes and the vessel wall. For example, in certain systems for denervation, treatment assemblies are used which comprise a helical shaping structure for supporting a plurality of electrodes which are deployed to place the electrodes in contact with a vessel wall. Experience of using these systems reveals that problems arise when attempting to force each electrode against the vessel wall with an equal force, or approximately equal force. It is found, for example, that some electrodes experience a greater contact force than others, even where the helical member is configured to have a helical diameter of constant magnitude over its length.

[0011] Thus, there is a need in the medical arts to produce a system and method for RF-based renal therapy which is relatively simple, accurate, effective, and produces an enhanced measure of electrode apposition control. The present invention addresses these and other needs

SUMMARY OF THE INVENTION

[0012] In some embodiments, the invention is a catheter apparatus for treatment of a human patient. The catheter apparatus has a central axis and comprises a shaping structure having a distal end and a proximal end and a length therebetween, the shaping structure being moveable between a delivery state having a first helical shape, and a deployed state having a second helical shape. A plurality of electrodes are provided, that are carried by the shaping structure, the electrodes being positioned with one electrode at the proximal end, one electrode at the distal end, and at least one electrode between the proximal end and the distal end, and wherein the electrodes are spaced apart from one another. In some embodiments, the electrodes are spaced evenly from one another. A plurality of electric wires is provided, wherein each of the electrodes is connected to at least one electric wire, the at least one electric wire extending via the proximal end of the shaping structure to a proximally positioned electric energy supply source. A deployment member is operably coupled to the distal end of the shaping structure and is disposed parallel to the central axis, the deployment member being configured such that distal axial movement of the deployment member places the shaping structure in the delivery state, and proximal axial movement of the deployment member places the shaping structure in the deployed state. The shaping structure is configured to have a reverse taper with a structural diameter that varies over the length of the shaping structure such that the structural diameter of the shaping structure at the proximal end is smaller than the structural diameter of the shaping structure at the distal end, whereby the diameter of the shaping structure at the location of each successive electrode, moving in the distal direction, is larger than the diameter of the shaping structure at the location of the adjacent proximally spaced electrode. In some embodiments, the reverse taper has an enlargement rate of between 1.8% and 2.4% diametric enlargement per mm of length, and in further embodiments, the reverse taper has an enlargement rate of between 2.0% and 2.2% diametric enlargement per mm of length. In some embodiments, the reverse taper has an overall diametric enlargement of between

150% diametric enlargement and 300% diametric enlargement along the length of the shaping structure between the proximal end and the distal end. In some embodiments, the overall diametric enlargement follows a monotonic increase in diameter over the length of the shaping structure, while in other embodiments the overall diametric enlargement follows a stepped increase in diameter over the length of the shaping structure. In some embodiments, the plurality of electric wires are attached to the shaping structure along the length of the shaping structure, and the at least one electric wire is two wires in number. In further embodiments, the at least one electrode between the proximal end and the distal end is only one in number. In some embodiments, the deployment member comprises a tubular member defining a central lumen, and the central lumen is configured to receive a guide wire, and in yet other embodiments, the shaping structure has a pre-formed shape.

[0013] In another embodiment, the invention is a catheter apparatus for treatment of a human patient. The catheter apparatus has a central axis and comprises a shaping structure having a distal end and a proximal end, the shaping structure being moveable between a delivery state having a first helical shape, and a deployed state having a second helical shape. A plurality of electrodes are provided, that are carried by the shaping structure, and are axially spaced apart from one another. A plurality of electric wires are provided, each electric wire extending from a respective one of each of the plurality of electrodes, and extend via the proximal end of the shaping structure to an electric energy supply source. A deployment member is operably coupled to the distal end of the shaping structure and disposed so that the shaping structure winds around the deployment member, the deployment member being configured such that distal axial movement of the deployment member places the shaping structure in the delivery state, and proximal axial movement of the deployment member places the shaping structure in the deployed state. The first helical shape is configured to have a first helical radius at a location of a first electrode, and a second helical radius at a location of a second electrode that is sequentially and distally adjacent the first electrode, wherein the first helical radius exceeds the second helical radius by an amount within the range of from 0.4 mm to 0.8 mm. In some embodiments, the first helical radius exceeds the second helical radius by an amount within the range of from 0.5 mm to 0.7 mm. In some embodiments, the first electrode is the most proximal electrode on the shaping structure. In further embodiments, the deployment member comprises a tubular member defining a central lumen, and the central lumen is configured to receive a guide wire, and optionally, the shaping structure has a pre-formed shape.

[0014] These and other advantages will become clearer when read in conjunction with the drawings and the detailed description of preferred embodiments.

BRIEF DESCRIPTION OF THE DRAWINGS

[0015] Many aspects of the present disclosure can be better understood with reference to the following drawings. The components in the drawings are not necessarily to scale. Instead, emphasis is placed on illustrating the principles of the present disclosure.

[0016] FIG. 1 illustrates an intravascular renal neuromodulation system configured in accordance with an embodiment of the present technology.

[0017] FIG. 2 illustrates modulating renal nerves with a multi-electrode catheter apparatus in accordance with an embodiment of the present technology.

[0018] FIG. 3A is a view of a distal portion of a catheter shaft and a multi-electrode array in a delivery state (e.g., low-profile or collapsed configuration) within a renal artery used in conjunction with a guide catheter in accordance with an embodiment of the present technology.

[0019] FIG. 3B is a view of the distal portion of the catheter shaft and the multi-electrode array of FIG. 3A in a deployed state (e.g., expanded configuration) within a renal artery in accordance with an embodiment of the technology.

[0020] FIG. 4A is a schematic side view of an embodiment of the invention, shown in an expanded condition for deployment.

[0021] FIG. 4B is a schematic side view of the embodiment of FIG. 4A, shown in a collapsed condition for delivery.

[0022] FIG. 4C1 through FIG. 4C4 are sectional views, in one configuration, taken substantially through the lines marked as 1-1, 2-2, 3-3, and 4-4 in FIG. 4B.

[0023] FIG. 4D1 through FIG. 4D4 are sectional views, in a different configuration being an embodiment of the invention, taken substantially through the lines marked as 1-1, 2-2, 3-3, and 4-4 in FIG. 4B.

[0024] FIG. 5A is a schematic perspective view of an electrode positioned on a shaping structure.

[0025] FIG. 5B is a sectional view of the view seen in FIG. 5A.

[0026] FIG. 5C is a schematic view of an embodiment showing electrical lead line connecting to an electrode.

[0027] FIG. 6 is a diagrammatic plot of force exerted (during deployment of a shaping structure) by each of three electrodes on a helical shaping structure having a uniform shape, as calculated by finite element analysis.

[0028] FIG. 7 is a diagrammatic plot of force exerted (during deployment of a shaping structure) by each of three electrodes on a helical shaping structure having a reverse tapered shape according to an embodiment of the invention, as calculated by finite element analysis.

[0029] FIG. 8 is a view along the elongate axis of a shaping structure having features of one embodiment of the invention, shown in an expanded condition for deployment.

[0030] FIG. 9 is a side view of the shaping structure shown in FIG. 8, shown in a collapsed condition for delivery.

[0031] FIG. 10 is a diagrammatic plot of force exerted (during deployment) by each of three electrodes on a helical shaping structure having uniform shape according to an embodiment of the invention, as calculated by finite element analysis.

[0032] FIG. 11 is a diagrammatic plot of force exerted (during deployment) by each of three electrodes on a helical shaping structure having an offset configuration according to an embodiment of the invention, as calculated by finite element analysis.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0033] The applicants base the present application on the known discovery, as set forth above, that it may be desirable to perform a denervation treatment of the renal artery (renal denervation, or, renal neuromodulation) to positively affect a medical condition. In embodiments of the invention, such treatment may apply energy to zones of the renal artery normal to the artery wall. In some treatments, energy may be

applied circumferentially. However, continuous circumferential lesions that extend continuously about a full 360° of the circumference of a cross-section normal to the body lumen or tissue in proximity to the body lumen may increase a risk of acute and/or late stenosis formation within the blood vessel. Therefore, embodiments described herein are directed to forming discrete lesions that do not form a circle in a single plane normal to the axis of the vessel.

[0034] Embodiments herein are configured to provide a non-continuous circumferential treatment that is performed over a lengthwise segment of the blood vessel (body lumen), as compared to a continuous circumferential treatment at a single normal cross-section or radial plane. Target structures such as nerves, including nerve fiber bundles, extending along the longitudinal dimension of the vessel are thus circumferentially affected, but not in continuous circumference about a single point of the vessel. Thus, the resulting lesion does not form a continuous circumferential lesion along any normal cross-section or radial plane of the vessel, but rather forms a helical lesion that may in some embodiments be a continuous helical lesion or in other embodiments a helical lesion with discontinuities along its path. This helical characteristic is believed to reduce the risk of acute or late stenosis formation within the blood vessel (body lumen) when compared with lesions that are formed to extend around a normal cross section of the vessel in single plane.

[0035] The non-continuous circumferential treatment is achieved in embodiments of the invention via apparatus positioned within a body lumen in proximity to target neural fibers for application of energy to the target neural fibers. The treatment may be induced, for example, via the application of electrical and/or electro-magnetic energy. Such treatment may be achieved, for example, via a thermal or non-thermal electric field, via a continuous or pulsed electric field, or via a stimulation electric field.

[0036] In some embodiments, methods and apparatus for real-time monitoring of the treatment and its effects on the target or support structures, and/or in non-target tissue, may be provided. Likewise, real-time monitoring of the energy delivery apparatus may be provided. Power or total energy delivered, impedance and/or the temperature, or other characteristics of the target or non-target tissue, or of the apparatus, additionally or alternatively may be monitored.

[0037] When utilizing an electric field to achieve desired circumferentially non-continuous treatment, the electric field parameters may be altered and combined in any combination, as desired. Such parameters can include, but are not limited to, frequency, voltage, power, field strength, pulse width, pulse duration, the shape of the pulse, the number of pulses and/or the interval between pulses (e.g., duty cycle).

[0038] When utilizing thermal or indirect thermal mechanisms to achieve the desired treatment, protective elements may be provided to protect the non-target tissue (such as the smooth muscle cells) from thermal damage during the thermally-induced non-continuous circumferential treatment. For example, when heating target nerves or support structures located about a vessel, protective cooling elements, such as convective cooling elements, may be provided to protect the non-target tissue. Likewise, when cooling target nerves or support structures, protective heating elements, such as convective heating elements, may be utilized to protect the non-target tissue. Thermal energy may be applied either directly or indirectly for a brief or a sustained period of time in order to achieve, for example, desired neuromodulation or denerva-

tion. Feedback, such as sensed temperature and/or impedance, along target or non-target tissue or along the apparatus, may be used to control and monitor delivery of the thermal energy.

[0039] The non-target tissue optionally may be protected during, e.g., the neuromodulation or denervation, by utilizing blood flow as a conductive and/or convective thermal sink that absorbs excess thermal energy (hot or cold). For example, when blood flow is not blocked, the circulating blood may provide a relatively constant temperature medium for removing the excess thermal energy from the non-target tissue during the procedure. The non-target tissue additionally or alternatively may be protected by focusing the thermal (or other) energy on the target or support structures, such that an intensity of the energy is insufficient to induce thermal damage in the non-target tissue distant from the target or support structures.

[0040] Embodiments of Catheter Apparatus

[0041] FIG. 1 illustrates a renal neuromodulation system 10 configured in accordance with an embodiment of the present technology. The system 10 includes an intraluminal device 12 operably coupled to an energy source or energy generator 26. In the embodiment shown in FIG. 1, the intraluminal device 12 (e.g., a catheter) includes an elongated shaft 16 having a proximal portion 18, a handle 34 at a proximal region of the proximal portion 18, and a distal portion 20 extending distally relative to the proximal portion 18. The intraluminal device 12 further includes a treatment assembly or treatment section 21 at the distal portion 20 of the shaft 16. As explained in further detail below, the treatment assembly 21 can include an array of two or more electrodes 24 configured to be delivered to a renal blood vessel (e.g., a renal artery) in a low-profile configuration. Upon delivery to the target treatment site within the renal blood vessel, the treatment assembly 21 is further configured to be deployed into an expanded state (e.g., a generally helical or spiral configuration) for delivering energy at the treatment site and providing therapeutically-effective electrically- and/or thermally-induced renal neuromodulation. In some embodiments, the treatment assembly 21 may be placed or transformed into the deployed state or arrangement via remote actuation, e.g., via an actuator 36, such as a knob, pin, or lever carried by the handle 34. In other embodiments, however, the treatment assembly 21 may be transformed between the delivery and deployed states using other suitable mechanisms or techniques.

[0042] The proximal end of the treatment assembly 21 is carried by or affixed to the distal portion of the elongated shaft 16. A distal end of the treatment assembly 21 may terminate the intraluminal device 12 with, for example, an atraumatic rounded tip or cap. Alternatively, the distal end of the treatment assembly 21 may be configured to engage another element of the system 10 or intraluminal device 12. For example, the distal end of the treatment assembly 21 may define a passageway for engaging a guide wire 66 for delivery of the intraluminal device using over-the-wire (“OTW”) or rapid exchange (“RX”) techniques.

[0043] The energy source or energy generator 26 (e.g., a RF energy generator) is configured to generate a selected form and magnitude of energy for delivery to the target treatment site via the electrodes 24. The energy generator 26 can be electrically coupled to the intraluminal device 12 via a cable 28. At least one supply wire (not shown) passes along the elongated shaft 16 or through a lumen in the elongated shaft

16 to the electrodes 24 and transmits the treatment energy to the electrodes 24. In some embodiments, each electrode 24 includes its own supply wire. In other embodiments, however, two or more electrodes 24 may be electrically coupled to the same supply wire. A control mechanism, such as foot pedal 32, may be connected (e.g., pneumatically connected or electrically connected) to the energy generator 26 to allow the operator to initiate, terminate and, optionally, adjust various operational characteristics of the generator, including, but not limited to, power delivery. The system 10 may also include a remote control device (not shown) that can be positioned in a sterile field and operably coupled to the electrodes 24. The remote control device is configured to allow for selectively turning on/off the electrodes. In other embodiments, the remote control device may be built into the handle assembly 34. The energy generator 26 can be configured to deliver the treatment energy via an automated control algorithm and/or under the control of the clinician. In addition, the energy generator 26 may include one or more evaluation or feedback algorithms to provide feedback to the clinician before, during, and/or after therapy.

[0044] In some embodiments, the system 10 may be configured to provide delivery of a monopolar electric field via the electrodes 24. In such embodiments, a neutral or dispersive electrode may be electrically connected to the energy generator 26 and attached to the exterior of the patient (as shown in FIG. 2). Additionally, one or more sensors (not shown), such as one or more temperature (e.g., thermocouple, thermistor, etc.), impedance, pressure, optical, flow, chemical or other sensors, may be located proximate to or within the electrodes 24 and connected to one or more supply wires (not shown). For example, a total of two supply wires may be included, in which both wires could transmit the signal from the sensor and one wire could serve dual purpose and also convey the energy to the electrodes 24. Alternatively, a different number of supply wires may be used to transmit energy to the electrodes 24.

[0045] The energy generator 26 may be part of a device or monitor that may include processing circuitry, such as a microprocessor, and a display. The processing circuitry may be configured to execute stored instructions relating to a control algorithm. The monitor may be configured to communicate with the intraluminal device 12 (e.g., via cable 28) to control power to the electrodes 24 and/or to obtain signals from the electrodes 24 or any associated sensors. The monitor may be configured to provide indications of power levels or sensor data, such as audio, visual or other indications, or may be configured to communicate the information to another device. For example, the energy generator 26 may also be configured to be operably coupled to a catheter lab screen or system for displaying treatment information.

[0046] FIG. 2 illustrates modulating renal nerves with an embodiment of the system 10. The intraluminal device 12 provides access to the renal plexus RP through an intravascular path P, such as a percutaneous access site in the femoral (illustrated), brachial, radial, or axillary artery to a targeted treatment site within a respective renal artery RA. As illustrated, a section of the proximal portion 18 of the shaft 16 is exposed externally of the patient. By manipulating the proximal portion 18 of the shaft 16 from outside the intravascular path P, the clinician may advance the shaft 16 through the sometimes tortuous intravascular path P and remotely manipulate the distal portion 20 of the shaft 16. Image guidance, e.g., computed tomography (CT), fluoroscopy, intravas-

cular ultrasound (IVUS), optical coherence tomography (OCT), or another suitable guidance modality, or combinations thereof, may be used to aid the clinician's manipulation. Further, in some embodiments, image guidance components (e.g., IVUS, OCT) may be incorporated into the intraluminal device **12** itself. After the treatment assembly **21** is adequately positioned in the renal artery RA, it can be radially expanded using the handle **34** or other suitable means until the electrodes **24** are in stable contact with the inner wall of the renal artery RA. The purposeful application of energy from the electrodes **24** is then applied to tissue to induce one or more desired neuromodulating effects on localized regions of the renal artery and adjacent regions of the renal plexus RP, which lay intimately within, adjacent to, or in close proximity to the adventitia of the renal artery RA. The purposeful application of the energy may achieve neuromodulation along all or at least a portion of the renal plexus RP.

[0047] The neuromodulating effects are generally a function of, at least in part, power, time, contact between the electrodes **24** and the vessel wall, and blood flow through the vessel. The neuromodulating effects may include denervation, thermal ablation, and non-ablative thermal alteration or damage (e.g., via sustained heating and/or resistive heating).

[0048] Turning now to a more detailed description of certain embodiments, FIG. 3A is a schematic side view illustrating one embodiment of the distal portion of the shaft **16** and the treatment assembly **21** in a delivery state (e.g., low-profile or collapsed configuration) within a renal artery RA, and FIG. 3B illustrates the treatment assembly **21** in a deployed state (e.g., expanded or helical configuration) within the renal artery. Referring first to FIG. 3A, the collapsed or delivery arrangement of the treatment assembly **21** defines a low profile about the longitudinal axis A-A of the assembly such that a transverse dimension of the treatment assembly **21** is sufficiently small to define a clearance distance between an arterial wall **55** and the intraluminal device **12**. The delivery state facilitates insertion and/or removal of the intraluminal device **12** and, if desired, repositioning of the treatment assembly **21** within the renal artery RA.

[0049] The distal portion **20** of the shaft **16** may flex in a substantial fashion to gain entrance into a respective left/right renal artery by following a path defined by a guide catheter, a guide wire, or a sheath. For example, the flexing of distal portion **20** may be imparted by the guide catheter **90**, such as a renal guide catheter with a preformed bend near the distal end that directs the shaft **16** along a desired path, from the percutaneous insertion site to the renal artery RA. In another embodiment, the intraluminal device **12** may be directed to the treatment site within the renal artery RA by engaging and tracking a guide wire (e.g., guide wire **66** of FIG. 2) that is inserted into the renal artery RA and extends to the percutaneous access site. In operation, the guide wire is preferably first delivered into the renal artery RA and the elongated shaft **16** comprising a guide wire lumen is then passed over the guide wire into the renal artery RA.

[0050] After locating the treatment assembly **21** at the distal portion **20** of the shaft **16** in the renal artery RA, the treatment assembly **21** is transformed from its delivery state to its deployed state or deployed arrangement. The transformation may be initiated using an arrangement of device components as described herein with respect to the particular embodiments and their various modes of deployment. As described in greater detail below and in accordance with one or more embodiments of the present technology, the treat-

ment assembly may be deployed by a deployment member, such as for example a pull- or tension-wire engaged with the shaping structure of the treatment assembly to apply a deforming or shaping force to the assembly to transform it into its deployed state.

[0051] Further manipulation of the shaping structure **22** and the electrodes **24** within the respective renal artery RA establishes apposition of the electrodes **24** against the tissue along an interior wall of the respective renal artery RA. For example, as shown in FIG. 3B, the treatment assembly **21** is expanded within the renal artery RA such that the electrodes **24** are in contact with the renal artery wall **55**.

[0052] As best seen in FIG. 3B, in the deployed state, the treatment assembly **21** defines a substantially helical shaping structure **22** in contact with the renal artery wall **55** along a helical path. One advantage of this arrangement is that pressure from the shaping structure can be applied to a large range of radial directions without applying pressure to a circumference of the vessel. Thus, the helically-shaped treatment assembly **21** is configured to provide stable contact between the electrodes **24** and the artery wall **55** when the wall moves in any direction. Furthermore, pressure applied to the vessel wall **55** along a helical path is less likely to stretch or distend a circumference of a vessel that could thereby cause injury to the vessel tissue. Still another feature of the expanded shaping structure is that it may contact the vessel wall in a large range of radial directions and maintain a sufficiently open lumen in the vessel allowing blood to flow through the helix during therapy.

[0053] As best seen in FIG. 3B, in the deployed state, the shaping structure **22** defines a maximum axial length of the treatment assembly **21** that is approximately equal to or less than a renal artery length **54** of a main renal artery (i.e., a section of a renal artery proximal to a bifurcation). Because this length can vary from patient to patient, it is envisioned that the deployed helical-shaped shaping structure **22** may be fabricated in different sizes (e.g., with varying lengths and/or diameters) that may be appropriate for different patients. Referring to FIG. 3B, in the deployed state, the helical-shaped treatment assembly **21** provides for circumferentially discontinuous contact between the electrodes **24** and the inner wall **55** of the renal artery RA. That is, the helical path may comprise a partial arc (i.e., $<360^\circ$), a complete arc (i.e., 360°) or a more than complete arc (i.e., $>360^\circ$) along the inner wall of a vessel about the longitudinal axis of the vessel.

[0054] FIGS. 4A and 4B illustrate in more detail a distal portion of an intraluminal device **12** configured in accordance with embodiments of the present technology. More specifically, FIGS. 4A and 4B illustrate a treatment assembly **21** having an elongate shaping structure **22** helically wrapped about a deployment member **68** with a plurality of electrodes **24** disposed about the shaping structure **22**.

[0055] In the illustrated embodiment, a distal region or portion of the shaping structure **22** terminates in an end piece (e.g., a conical or bullet-shaped tip **50**) or, alternatively, a collar, shaft, or cap. The tip **50** can include a rounded distal portion to facilitate atraumatic insertion of the intraluminal device **12** into a renal artery. A proximal region or portion of the shaping structure **22** is coupled to and affixed to the elongated shaft **16** of the intraluminal device **12**. The elongated shaft **16** defines a central passageway for passage of a deployment member **68**. The deployment member **68** may be, for example, a solid wire made from a metal or polymer. The deployment member **68** extends from the elongated shaft **16**

and is affixed to the distal region of the shaping structure 22 at the tip 50. Moreover, the deployment member 68 slidably passes through the elongated shaft 16 to an actuator 36 in a handle assembly 34.

[0056] In this embodiment, the deployment member 68 is configured to move distally and proximally through the elongated shaft 16 so as to move the distal region of the shaping structure 22 accordingly. Distal and proximal movement of the distal region respectively lengthen and shorten the axial length of the helix of the shaping structure 22 so as to transform the treatment assembly 21 between a delivery (FIG. 4B) and deployed state (FIG. 4A) such that the electrodes 24 move a radial distance to engage the walls of the renal artery (not shown).

[0057] In a preferred embodiment, deployment member 68 comprises a hollow tube defining an internal passage for a guide wire 66 to facilitate insertion of the treatment assembly 21 through an intravascular path to a renal artery. Accordingly, the intraluminal device 12 may be configured for an OTW or RX delivery. The deployment member 68 defines an internal lumen extending through the deployment member and composed of, for example, a polyimide tube with wall thickness less than about 0.003 inch (0.08 mm) (e.g., about 0.001 inch (0.02 mm)) and a lumen with a diameter of less than about 0.015 inch (0.38 mm) (e.g., about 0.014 inch (0.36 mm)). In addition to engaging and tracking along the guide wire 66, the device 12 transforms the configuration of the treatment assembly 21 between the delivery state and the deployed state.

[0058] It should be understood that the embodiments provided herein may be used in conjunction with one or more electrodes 24. As described in greater detail below, the deployed helically-shaped structure carrying the electrodes 24 is configured to provide a therapeutic energy delivery to the renal artery without any repositioning. Illustrative embodiments of the electrodes 24 are shown in FIGS. 5A-5C. The electrodes 24 associated with the shaping structure 22 may be separate elements or may be an integral part of the shaping structure 22. In some patients, it may be desirable to use the electrode(s) 24 to create a single lesion or multiple focal lesions that are spaced around the circumference of the renal artery. A single focal lesion with desired longitudinal and/or circumferential dimensions, one or more full-circle lesions, multiple circumferentially spaced focal lesions at a common longitudinal position, spiral-shaped lesions, interrupted spiral lesions, generally linear lesions, and/or multiple longitudinally spaced discrete focal lesions at a common circumferential position alternatively or additionally may be created. In still further embodiments, the electrodes 24 may be used to create lesions having a variety of other geometric shapes or patterns.

[0059] Depending on the size, shape, and number of the electrodes 24, the formed lesions may be spaced apart around the circumference of the renal artery and the same formed lesions also may be spaced apart along the longitudinal axis of the renal artery. In particular embodiments, it is desirable for each formed lesion to cover at least 10% of the vessel circumference to increase the probability of affecting the renal plexus. Furthermore, to achieve denervation of the kidney, it is considered desirable for the formed lesion pattern, as viewed from a proximal or distal end of the vessel, to extend at least approximately all the way around the circumference of the renal artery. In other words, each formed lesion covers an arc of the circumference, and each of the lesions, as viewed

from an end of the vessel, abut or overlap adjacent or other lesions in the pattern to create either an actual circumferential lesion or a virtually circumferential lesion. The formed lesions defining an actual circumferential lesion lie in a single plane perpendicular to a longitudinal axis of the renal artery. A virtually circumferential lesion is defined by multiple lesions that may not all lie in a single perpendicular plane, although more than one lesion of the pattern can be so formed. At least one of the formed lesions comprising the virtually circumferential lesion is axially spaced apart from other lesions. In a non-limiting example, a virtually circumferential lesion can comprise six lesions created in a single helical pattern along the renal artery such that each lesion spans an arc extending along at least one sixth of the vessel circumference such that the resulting pattern of lesions completely encompasses the vessel circumference when viewed from an end of the vessel. In other examples, however, a virtually circumferential lesion can comprise a different number of lesions. It is also desirable that each lesion be sufficiently deep to penetrate into and beyond the adventitia to thereby affect the renal plexus. However, lesions that are too deep (e.g., >5 mm) run the risk of interfering with non-target tissue and tissue structures (e.g., a renal vein) so a controlled depth of energy treatment is also desirable.

[0060] Referring back to FIG. 3B, the individual electrodes 24 are connected to energy generator 26 (FIG. 1) and are sized and configured to contact an internal wall of the renal artery. In the illustrated embodiment, the electrode 24 may be operated in a monopolar or unipolar mode. In this arrangement, a return path for the applied RF electric field is established, e.g., by an external dispersive electrode (shown as element 38 in FIGS. 1 and 2), also called an indifferent electrode or neutral electrode. The monopolar application of RF electric field energy serves to ohmically or resistively heat tissue in the vicinity of the electrode. The application of the RF electrical field thermally injures tissue. The treatment objective is to thermally induce neuromodulation (e.g., necrosis, thermal alteration or ablation) in the targeted neural fibers. The thermal injury forms a lesion in the vessel wall. Alternatively, a RF electrical field may be delivered with an oscillating or pulsed intensity that does not thermally injure the tissue whereby neuromodulation in the targeted nerves is accomplished by electrical modification of the nerve signals.

[0061] The active surface area of the electrode 24 is defined as the energy transmitting area of the element 24 that may be placed in intimate contact against tissue. Too much contact area between the electrode and the vessel wall may create unduly high temperatures at or around the interface between the tissue and the electrode, thereby creating excessive heat generation at this interface. This excessive heat may create a lesion that is circumferentially too large. This may also lead to undesirable thermal application to the vessel wall. In some instances, too much contact can also lead to small, shallow lesions. Too little contact between the electrode and the vessel wall may result in superficial heating of the vessel wall, thereby creating a lesion that is too small (e.g., <10% of vessel circumference) and/or too shallow.

[0062] In certain embodiments, the shaping structure 22 may be formed of an electrically conductive material. For example, the shaping structure 22 may be made from nitinol wire, cable, or tube. As shown in FIG. 5C, wire leads 19 may connect the shaping structure 22 to energy generator 26. The shaping structure 22 forms a contact region with the renal artery wall and acts as the electrode 24. In this configuration,

the shaping structure **22** is capable of producing a continuous helical lesion. A shaping structure **22** that is configured to be an electrode **24** may optionally comprise sensors positioned on, in, and/or proximate to the shaping structure **22** and may be electrically connected to supply wires.

[0063] In other embodiments, the electrically conductive shaping structure **22** is insulated at least in part. That is, the conductive shaping structure is partially covered with an electrically insulating material and the uncovered portions of the shaping structure **22** serve as one or more conductive electrodes **24**. The electrodes **24** may be any size, shape, or number, and may be positioned relative to one another as provided herein.

[0064] Electrode **24** may be configured to deliver thermal energy, i.e., to heat up and conduct thermal energy to tissue. For example, electrodes may be an electrically resistive element such as a thermistor or a coil made from electrically resistive wire so that when electrical current is passed through the electrode heat is produced. An electrically resistive wire may be for example an alloy such as nickel-chromium with a diameter for example between 48 and 30 AWG. The resistive wire may be electrically insulated for example with polyimide enamel.

[0065] Turning now to a novel and advantageous embodiment which has a helical shaping structure of the general kind that is shown in FIGS. 4A and 4B: In some embodiments of the technology, a helical shaping structure may have a uniform structural diameter over the length of the shaping structure that carries the electrodes. The shaping structure will support a decreasing number of lead wires **35** to the electrodes. It can be appreciated that the number of wires will decrease from the proximal end to the distal end of the shaping structure, because each pair of lead wires are adapted to provide electrical energy to only one electrode **24**. Thus, in a configuration of the technology exemplified in FIG. 4A, it can be appreciated that six lead wires (not shown in FIGS. 4A and 4B) may extend up until the first electrode (as seen in FIG. 4C4), four lead wires extend up until the second electrode (as seen in FIG. 4C3), and two wires extend up to the third and final electrode (as seen in FIG. 4C2). After the third and final electrode (in this example), no lead wires extend any further (as seen in FIG. 4C1) because there are no further electrodes.

[0066] It has been observed in relation to this type of shaping structure configuration having a uniform structural diameter that a performance problem may tend to arise for some diameters of vessel to be treated. Specifically, it has been observed that this configuration may tend not to produce equal arterial contact force with the vessel wall at all three electrodes. Animal studies performed with three electrodes have demonstrated that, in the case of only three electrodes, the middle electrode tends to have a higher vessel wall contact force and, as a result, may burn the vessel wall. It is observed that there is reduced contact at the distal electrode, and even less (if any) contact at the proximal electrode. It is well known from cardiac ablation science that increased electrode to tissue contact force leads to increased power transfer, and an increased lesion size.

[0067] A finite element simulation of system pullback by the deployment member confirms this observed result in a 5 mm ID blood vessel, as shown below in FIG. 6. Here, it is seen that when a helical shaping structure having a uniform structural diameter and carrying three electrodes is deployed, the middle electrode will experience a greater contact force with the vessel wall than the proximal and distal electrodes. It can

be appreciated that this may be an undesirable outcome. (As used herein, the term “structural radius/diameter” shall refer to the gauge radius/diameter of the elongate element that forms the shaping member which is eventually given a helical shape; whereas “helical radius/diameter” shall refer to the larger radius/diameter formed by the outside profile of the deformed shaping structure when it is configured to have the shape of a helix.)

[0068] Accordingly, a novel and advantageous feature of shaping structure **22a** is provided in some embodiments of the invention. A cross section of such an embodiment is exemplified in FIG. 4D1 through FIG. 4D4. Although not drawn to scale, these figures show that the structural diameter of the shaping structure **22a** increases between the proximal end and the distal end of the shaping structure. Although the shaping structure **22a** of this embodiment may follow a similar general outline to that of configurations exemplified in FIGS. 4A and 4B, here, the shaping structure **22a** is configured to define a reverse taper along its length. In other words, the structural diameter of the shaping structure **22a** increases from the proximal end towards the distal end. In some embodiments, the ranges for the proximal structural diameter may be between 0.004" and 0.010" and the distal structural diameter may be between 0.006" and 0.012". Furthermore, the length of the shaping structure that lies between the most proximal electrode and the most distal electrode may be between 10 mm and 30 mm. While the increasing diameter of this embodiment may be configured to be monotonically increasing, it is within the scope of the invention that the increasing diameter may also have a gradually stepped increase.

[0069] The behavior of an embodiment falling within these dimensions was simulated in a further finite element simulation, results of which are shown in FIG. 7. The model tested here was for a smooth NiTi wire configured to have a reversed taper from 0.006" (proximal end) to 0.010" (distal end). It will be seen in FIG. 7 that by configuring the shaping structure **22a** to have a reverse taper, the forces exerted by the three electrodes against a vessel wall upon deployment tend toward a substantially equal magnitude. As can be appreciated, this is an advantageous result. A series of simulations revealed that a shaping structure having a reverse taper that has an enlargement rate over its length of between 1.8% and 2.4% diametric enlargement per mm of length has a beneficial effect on electrode contact force distribution; and in further embodiments, an enlargement rate of between 2.0% and 2.2% diametric enlargement per mm of length, has a preferred improved effect on electrode contact force distribution. Simulations also show that an overall diametric enlargement of between 150% diametric enlargement and 300% diametric enlargement over the length of the shaping structure between the proximal end and the distal end has a beneficial effect on electrode contact force distribution.

[0070] Furthermore, animal tests also show that an improved contact profile may be achieved, as a practical matter, under which the three electrodes in the test model having a reverse tapered shaping structure were found to provide vascular lesions of substantially equal magnitude.

Second Embodiment

[0071] In another embodiment, a device is described that includes a shaping structure **22b**, similar to the embodiment in FIGS. 4A and 4B. A plurality of electrodes **24** (three in this example) are disposed along the length of the shaping struc-

ture, and these are connected to an electric power source as in earlier embodiments. However, in this embodiment, the shaping structure **22b** is bent and configured so that at least some of the electrodes **24** are elevated to be offset radially outwardly from the path followed by the balance of the shaping structure. For example, with reference to FIG. **8**, it is seen that one of the electrodes **24** is offset radially outwardly from the circular path to have a helical radius **R1** which is greater than the helical radius **R2** followed by the balance of the shaping structure when in the expanded condition. The result of this offset (**R1** minus **R2**) has the result that the electrode that is radially outwardly offset will, when the shaping structure is activated to its deployed condition, exert a greater force against the vessel wall than it would do without the offset. This has particular utility because it tends to equalize the forces exerted by the electrodes against the vessel wall. FIG. **10** exemplifies the results of a finite element analysis demonstrating the effect that, under circumstances where a shaping strut is unmodified by any offset, the force exerted by the middle electrode may, for example, be twice the force exerted by the proximal and distal electrodes. As noted, this disparity of forces is undesirable, and may cause the middle electrode to inflict a burn injury to the vessel wall, while the outer two electrodes may be ineffectual in imposing the required level of energy to achieve renal neuromodulation. It has been found that by providing the proximal electrode with a radially outward offset (**R1** minus **R2**) the forces applied by each of the three electrodes tend to align towards the same magnitude. This effect is demonstrated analytically in FIG. **11**, where a finite element analysis was conducted using a shaping structure that was modified as described.

[0072] It will be appreciated that when the shaping structure **22b** of this embodiment is in the delivery condition (i.e. not yet deployed) as exemplified in FIG. **9**, an offset will still be present, and will slightly increase the profile of the shaping structure for delivery. However, with appropriate ergonomic shaping, the offset leads to the advantage in uniform forces occasioned by this feature. For example, as seen in FIG. **9**, a first electrode **24** is positioned on shaping structure **22b** in the delivery condition where the shaping structure has a helical radius **R3**. An adjacent electrode **24** is positioned on the shaping structure **22b** where the shaping structure has a helical radius **R4**. As may be seen, **R3** is greater than **R4**. Analysis has identified that when the radius **R3** exceeds **R4** by an amount that falls within the range of from 0.4 mm to 0.8 mm, then the force of contact between each of a system of three electrodes and the vessel wall tends to approach substantially the same force. Analysis further identified that when the radius **R3** exceeds **R4** by an amount that falls within the range of from 0.5 mm to 0.7 mm, then the force of contact between each of a system of three electrodes and the vessel wall tends to substantially approach the same force, within an even narrower band. This aspect then, contributes a second solution to the problem identified, of uneven electrode apposition. (It should be noted that, as used herein, **R1** to **R4** and related references to the applicable radius, are measured from the center of the deployment member to the center of the wire forming the shaping structure **22b**.)

[0073] As previously discussed, energy delivery may be monitored and controlled via data collected with one or more sensors, such as temperature sensors (e.g., thermocouples, thermistors, etc.), impedance sensors, pressure sensors, optical sensors, flow sensors, chemical sensors, etc., which may be incorporated into or on the electrodes **24**, the shaping

structure **22**, and/or in/on adjacent areas on the distal portion **20**. A sensor may be incorporated into the electrode(s) **24** in a manner that specifies whether the sensor(s) are in contact with tissue at the treatment site and/or are facing blood flow. The ability to specify sensor placement relative to tissue and blood flow is highly significant, since a temperature gradient across the electrode from the side facing blood flow to the side in contact with the vessel wall may be up to about 15° C. Significant gradients across the electrode in other sensed data (e.g., flow, pressure, impedance, etc.) also are expected.

[0074] The sensor(s) may, for example, be incorporated on the side of one or more electrodes **24** that contact the vessel wall at the treatment site during power and energy delivery or may be incorporated on the opposing side of one or more electrodes **24** that face blood flow during energy delivery, and/or may be incorporated within certain regions of the electrodes **24** (e.g., distal, proximal, quadrants, etc.). In some embodiments, multiple sensors may be provided at multiple positions along the electrode or electrode array and/or relative to blood flow. For example, a plurality of circumferentially and/or longitudinally spaced sensors may be provided. In one embodiment, a first sensor may contact the vessel wall during treatment, and a second sensor may face blood flow.

[0075] Additionally or alternatively, various microsensors may be used to acquire data corresponding to the electrodes **24**, the vessel wall and/or the blood flowing across the electrodes **24**. For example, arrays of micro thermocouples and/or impedance sensors may be implemented to acquire data along the electrodes **24** or other parts of the intraluminal device. Sensor data may be acquired or monitored prior to, simultaneous with, or after the delivery of energy or in between pulses of energy, when applicable. The monitored data may be used in a feedback loop to better control therapy, e.g., to determine whether to continue or stop treatment, and it may facilitate controlled delivery of an increased or reduced power or a longer or shorter duration therapy.

[0076] Although preferred illustrative variations of the present invention are described above, it will be apparent to those skilled in the art that various changes and modifications may be made thereto without departing from the invention. For example, it will be appreciated that combinations of the features of different embodiments may be combined to form another embodiment. Furthermore, although in the described embodiments the apparatus and methods are for conducting in a blood vessel, it should be understood that treatment alternatively may be conducted in other body lumens. It is intended in the appended claims to cover all such changes and modifications that fall within the true spirit and scope of the invention.

1-12. (canceled)

13. A catheter apparatus for treatment of a human patient, the catheter apparatus having a central axis and comprising:
 a shaping structure having a distal end and a proximal end, the shaping structure being moveable between a delivery state having a first helical shape, and a deployed state having a second helical shape;
 a plurality of electrodes that are carried by the shaping structure, and are axially spaced apart from one another;
 a plurality of electric wires, each electric wire extending from a respective one of each of the plurality of electrodes, and extending via the proximal end of the shaping structure to an electric energy supply source; and
 a deployment member operably coupled to the distal end of the shaping structure and disposed so that the shaping

structure winds around the deployment member, the deployment member being configured such that distal axial movement of the deployment member places the shaping structure in the delivery state, and proximal axial movement of the deployment member places the shaping structure in the deployed state;

wherein, the first helical shape is configured to have a first helical radius at a location of a first electrode, a second helical radius at a location of a second electrode that is sequentially adjacent the first electrode, wherein the first helical radius exceeds the second helical radius by an amount within the range of from 0.4 mm to 0.8 mm.

14. The catheter apparatus of claim **13**, wherein the first helical radius exceeds the second helical radius by an amount within the range of from 0.5 mm to 0.7 mm.

15. The catheter apparatus of claim **13**, wherein the first electrode is the most proximal electrode on the shaping structure.

16. The catheter apparatus of claim **13** wherein the deployment member comprises a tubular member defining a central lumen, and the central lumen is configured to receive a guide wire.

17. The catheter apparatus of claim **13** wherein the shaping structure has a pre-formed shape.

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