



(86) Date de dépôt PCT/PCT Filing Date: 2015/03/10  
 (87) Date publication PCT/PCT Publication Date: 2015/10/08  
 (45) Date de délivrance/Issue Date: 2022/03/15  
 (85) Entrée phase nationale/National Entry: 2016/09/20  
 (86) N° demande PCT/PCT Application No.: US 2015/019589  
 (87) N° publication PCT/PCT Publication No.: 2015/153074  
 (30) Priorité/Priority: 2014/03/31 (US14/230,565)

(51) Cl.Int./Int.Cl. *A61K 9/00* (2006.01),  
*A61K 31/506* (2006.01), *A61K 47/10* (2017.01),  
*A61K 9/14* (2006.01), *A61K 9/50* (2006.01)  
 (72) Inventeurs/Inventors:  
 SUN, YING, US;  
 WU, JEFFREY M., US;  
 FASSIH, ALI, US  
 (73) Propriétaire/Owner:  
 JOHNSON & JOHNSON CONSUMER INC., US  
 (74) Agent: SMART & BIGGAR LLP

(54) Titre : COMPOSITIONS ET METHODES POUR AMELIORER L'APPLICATION TOPIQUE D'UN AGENT BENEFIQUE BASIQUE  
 (54) Title: COMPOSITIONS AND METHODS FOR ENHANCING THE TOPICAL APPLICATION OF A BASIC BENEFIT AGENT

(57) Abrégé/Abstract:

A powder is disclosed including core/shell particles having an average particle size of less than 1000 microns, each particle contains a liquid core that is substantially free of water and includes A) a polar liquid having a percent surface polarity of at least 24%, a basic active ingredient, and C) from about 0.1% to about 20% by weight of at least one acidic solubility enhancing agent that is not an active ingredient; and a shell comprising hydrophobic particles. The powder can be used to topically administer the active ingredient to a human or animal.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau(10) International Publication Number  
**WO 2015/153074 A1**(43) International Publication Date  
8 October 2015 (08.10.2015)

## (51) International Patent Classification:

*A61K 8/25* (2006.01)      *A61K 8/02* (2006.01)  
*A61K 8/34* (2006.01)      *A61K 31/00* (2006.01)  
*A61K 8/36* (2006.01)      *A61K 8/11* (2006.01)  
*A61Q 7/00* (2006.01)

## (21) International Application Number:

PCT/US2015/019589

## (22) International Filing Date:

10 March 2015 (10.03.2015)

## (25) Filing Language:

English

## (26) Publication Language:

English

## (30) Priority Data:

14/230,565      31 March 2014 (31.03.2014)      US

(71) Applicant: **JOHNSON & JOHNSON CONSUMER COMPANIES, INC.** [US/US]; 199 Grandview Road, Skillman, New Jersey 08558 (US).(72) Inventors: **SUN, Ying**; 90 Woodrow Drive, Belle Mead, New Jersey 08502 (US). **WU, Jeffrey M.**; 37 McComb Road, Princeton, New Jersey 08540 (US). **FASSIH, Ali**; 48 Arthur Glick Road, Franklin Park, New Jersey 08823 (US).(74) Agents: **PLANTZ, Bernard F.** et al.; Johnson & Johnson, One Johnson & Johnson Plaza, New Brunswick, New Jersey 08933 (US).(81) Designated States (*unless otherwise indicated, for every kind of national protection available*): AE, AG, AL, AM,

AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (*unless otherwise indicated, for every kind of regional protection available*): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

## Declarations under Rule 4.17:

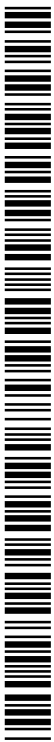
- *as to the identity of the inventor (Rule 4.17(i))*
- *as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))*
- *as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))*

## Published:

- *with international search report (Art. 21(3))*

(54) Title: COMPOSITIONS AND METHODS FOR ENHANCING THE TOPICAL APPLICATION OF A BASIC BENEFIT AGENT

(57) Abstract: A powder is disclosed including core/shell particles having an average particle size of less than 1000 microns, each particle contains a liquid core that is substantially free of water and includes A) a polar liquid having a percent surface polarity of at least 24%, a basic active ingredient, and C) from about 0.1% to about 20% by weight of at least one acidic solubility enhancing agent that is not an active ingredient; and a shell comprising hydrophobic particles. The powder can be used to topically administer the active ingredient to a human or animal.



WO 2015/153074 A1

## COMPOSITIONS AND METHODS FOR ENHANCING THE TOPICAL APPLICATION OF A BASIC BENEFIT AGENT

The present invention relates to compositions and methods for enhancing the  
5 topical application of a benefit agent. The compositions are powder-to-liquid  
particles comprising a liquid core that is substantially free of water and comprises a  
polar liquid that has a percent surface polarity of at least 24%, at least one basic  
benefit or active agent and at least one acidic solubility enhancing agent surrounded  
10 by a shell comprising hydrophobic particles. The particles are stable in dry form and  
yet quickly transform into a liquid or cream-like form when subjected to shear. They  
can be advantageously formulated with other ingredients, particularly those unstable  
in the presence of water, into personal care compositions.

### BACKGROUND OF THE INVENTION

15 It is known that in the presence of a hydrophobic powder, such as a  
hydrophobic silicon dioxide powder (silicone-coated silica powder), water can be  
dispersed into fine droplets and enveloped by the hydrophobic material, thus  
preventing the droplets from rejoining. Such material has been described as "dry  
water," "powdered water," or "powder-to-liquid" and can have a water content of over  
20 95%. It is formed by the intensive mixing of water with hydrophobic material. During  
this process water droplets are sheathed by the solid particles and prevented from  
flowing together again. The first experiments on the use of "dry water" as a cosmetic  
base date from the 1960's. See US 3,393,155. These free-flowing, fine powders  
liquefy when rubbed on the skin.

25 More recently, US 6,290,941 describes cosmetic or pharmaceutical powder-  
to-liquid compositions comprising hydrophobically coated silica particles into which  
are incorporated water and a water soluble polymer, the composition containing  
substantially no oil. Such compositions are said to require less silica while retaining  
the water-holding capacity and permitting substantial elimination of added oil from  
30 the formula.

WO 2011/075418 discloses a powdery composition comprising a) at least one  
powder in the form of core-shell particles, the core comprising liquid water or a liquid  
aqueous phase and the shell comprising hydrophobic or hydrophobized particles,

and b) at least one powder comprising carrier, and b1) at least partially water soluble liquid and/or b2) a water reactive substrate each located in and/or on the carrier.

Eshtiaghi et al., *Powder Technology*, Vol.223, 2012, pages 65-76 describes a variety of powder-to-liquid materials and proposes mechanisms for their formation.

5 Shell materials used included hydrophobic (silicone-coated) silica, hydrophobic glass beads and polytetrafluoroethylene (PTFE or TEFLON) powder. Core materials included water, glycerol, and polyethylene glycol (PEG). Reported particle sizes for materials containing glycerin were 1200 and 3400 microns.

US 2012/0315312 teaches core-shell particles, the shell of which includes  
10 aggregated, hydrophobicized silicon dioxide particles and the core of which includes a liquid phase. The ratio of the silicon dioxide particles to the liquid phase is 2:98 to 40:60 based on the total weight of the particles and 60-100% by weight of glycerol is present in the liquid phase.

U.S. Application Serial No. 13/719,649, filed December 19, 2012 teaches a  
15 powder comprising core/shell particles having an average particle size of less than 1000 microns, each particle comprising: 1) a liquid core that is substantially free of water and comprises a polar liquid having a percent surface polarity of at least 24%, and 2) a shell comprising hydrophobic particles. The particles can include an active.

20 U.S. Patent No. 6,946,120 teaches a pharmaceutical composition for topical administration, including, as the pharmaceutically active component, at least 5% by weight of minoxidil; an acid in an amount to completely solubilize the minoxidil; a solvent composition including at least two of water, a lower alcohol and a co-solvent selected from one or more of the group consisting of aromatic and polyhydric  
25 alcohols; wherein when the co-solvent includes propylene glycol, it is present in an amount of less than approximately 10% by weight.

Although water-based powder-to-liquids are commonly described, they are not suitable for formulating with active agents that are unstable or incompatible with  
30 water. e.g., plant extracts prone to oxidation and/or hydrolysis. In addition, water-containing particles generally lack structural stability and are prone to collapse or leak during storage, and allow evaporation of water from the core.

There is also a need for compositions that improve skin penetration of benefit agents. United States Patent 6,419,913 teaches micellar compositions that enhance skin penetration. Although effective, these compositions can be difficult to manufacture and the cost of the products are relatively high.

5 Applicants have now discovered novel compositions and a method of enhancing the topical application of benefit agents. The compositions include powder-to-cream particles containing a core without water and at least one acidic solubility enhancer. Such particles are stable and useful for formulating with a variety of active agents, even those that are prone to oxidation and/or hydrolysis.

10 Compositions containing such particles are also convenient to use while providing a cream-like, pleasant skin feel and skin substantivity (the ability to remain on the skin). The compositions can be used in cosmetic, skin care, wound care, dermatologic, and other personal care products, as well as in other applications and industries.

15

#### **SUMMARY OF THE INVENTION**

The invention provides a powder including core/shell particles having an average particle size of less than 1000 microns, each particle contains a liquid core that is substantially free of water and includes A) a polar liquid having a percent

20 surface polarity of at least 24%, B) a basic active ingredient, and C) from about 0.1% to about 20% by weight of at least one acidic solubility enhancing agent that is not an active ingredient; and a shell comprising hydrophobic particles.

The invention also provides a method for topically administering the active ingredient by rubbing the powder on the skin of a human or animal. The powder

25 turns into a liquid or gel like or cream like composition from which the active or benefit agent is absorbed into the skin.

#### **DETAILED DESCRIPTION OF THE INVENTION**

As used herein, unless otherwise specified, all percentages are by weight

30 based on the total weight of composition referred to.

As used herein, "substantially free" of an ingredient means containing about 5% by weight or less of that ingredient. Preferably, substantially free of an ingredient means containing about 2% or less, or about 1% or less, or about 0.5% or less or about 0.1% or less, or about 0.05% or less, or about 0.01% or less, by weight of such ingredient. In certain embodiments, substantially free of an ingredient means completely free of the ingredient, *i.e.*, containing none of that ingredient.

As used herein, an "active agent" or "benefit agent" is a compound (*e.g.*, a synthetic compound or a compound isolated from a natural source) that has a cosmetic or therapeutic effect on tissue (*e.g.*, a material capable of exerting a biological effect on the human body) such as therapeutic drugs or cosmetic agents. Examples of active agents include small molecules, peptides, proteins, nucleic acid materials, and nutrients such as minerals and extracts. The amount of the active agent used will depend on the active agent and/or the intended use of the end product. Active agents or benefit agents may be liquid, solid, or semi-solid. Further, active agents or benefit agents may be incorporated into the liquid core and/or the shell of the core/shell particles.

As used herein "solubility enhancer" means an agent used to enhance or improve the solubility of the active or benefit agent in the composition.

As used herein, "pharmaceutically acceptable," "cosmetically acceptable," or "dermatologically acceptable" means suitable for use in contact with tissues (*e.g.*, the skin, hair, mucosa, epithelium or the like) without undue toxicity, incompatibility, instability, irritation, or allergic response.

As used herein, "safe and effective amount" means an amount sufficient to provide a desired benefit at a desired level, but low enough to avoid serious side effects. The safe and effective amount of the ingredient or composition will vary with the area being treated, the age of the end user, the duration and nature of the treatment, the specific ingredient or composition employed, the particular carrier utilized, and like factors.

As used herein, the term "treating" or "treatment" means the alleviation or elimination of symptoms, cure, prevention, or inhibition of a disease or medical condition, or improvement of tissue growth/healing or cosmetic conditions such as reducing appearance of skin wrinkles/fine lines, under-eye bags, cellulites, skin marks/hyperpigmentation or uneven tone.

To provide a more concise description, some of the quantitative expressions given herein are not qualified with the term "about". It is understood that whether the term "about" is used explicitly or not, every quantity given herein is meant to refer to the actual given value, and it is also meant to refer to the approximation to such  
5 given value that would reasonably be inferred based on the ordinary skill in the art, including approximations due to the experimental and/or measurement conditions for such given value.

To provide a more concise description, some of the quantitative expressions  
10 herein are recited as a range from about amount X to about amount Y. It is understood that wherein a range is recited, the range is not limited to the recited upper and lower bounds, but rather includes the full range from about amount X through about amount Y, or any amount or range therein.

### 15 **Core/Shell Particles**

The powder of the invention comprises core/shell particles. Each particle comprises a liquid core that is substantially free of water and comprises a polar liquid, at least one basic active or benefit agent and from about 0.1% by weight to about 20% by weight of at least one acidic solubility enhancing agent. The polar  
20 liquid has a minimum surface polarity. The liquid core is surrounded by a shell of hydrophobic particles.

Particles according to the present invention have a liquid core surrounded by a shell of hydrophobic particles. The core includes an emulsion or suspension comprising a polar liquid as the continuous (external) phase. The dispersed  
25 (internal) phase comprises a hydrophobic material and/or solid particles.

The hydrophobic particles of the shell are in the form of loose powder held together only by weak liquid-powder and powder-powder interactions via weak van der Waals forces. When subjected to slight forces such as by rubbing with the hands, the core/shell particles collapse and the powder becomes a liquid, cream or  
30 gel.

Overall, the average particle size of the core/shell particles is less than about 1000 micrometers, usually from about 1 micrometer to about 1000 micrometers, or about 2 micrometers to about 200 micrometers, or about 3 micrometers to about 100

micrometers, or about 5 micrometers to about 50 micrometers. The average particle size of the core/shell particles can be determined by any particle size measurement method for dry particles known to the art, such as optical microscopy, electron microscopy, or sieve analysis.

5

### The Core

The liquid core comprises a polar liquid that is not water and from about 0.1% to about 20% by weight of at least one acidic solubility enhancing agent, and at least one basic active or benefit agent, and has a minimum polar component of overall surface tension.

As known in the art, the surface tension of a liquid (i.e., overall surface tension) is divided into two components, one representing a polar component and one representing a nonpolar (or dispersive) component. The polar component, "percent (%) surface polarity," is determined using the method of Fowkes described in Fowkes, *Journal of Achievements in Materials and Manufacturing Engineering*, 24, 1 (2007) 137-145.

Specifically, the overall surface tension of a sample is measured five times via the Wilhelmy plate method (described by Derelinch et. al. "Measurement of Interfacial Tension in Fluid-Fluid Systems", in *Encyclopedia of Surface and Colloid Science*, pages 3152-3166, Ed. By Arthur T. Hubbard, Marcel Dekker, Inc., 2002), using a Kruss Tensiometer K100. The plate used is a standard platinum plate of 19.9 mm x 0.2 mm perimeter.

The contact angle of each sample is also measured five times on a clean piece of poly(tetrafluoroethylene) PTFE using a Kruss Drop Shape Analysis System DSA10. Measuring contact angle on PTFE is done as a means of separating the overall surface tension of each sample into polar and dispersive components. According to the Fowkes surface energy theory, the dispersive component of a liquid can be determined by knowing its overall surface tension and its contact angle against PTFE (which is a completely non-polar surface). The equation is as follows:

30

$$\sigma_L^D = \frac{\sigma_L^2 (\cos \theta_{PTFE} + 1)^2}{72}$$

where  $\theta_{\text{PTFE}}$  = the contact angle measured between PTFE and the sample liquid.  
The dispersive surface tension component ( $\sigma_{\text{L}}^{\text{D}}$ ) can be determined for any liquid for which the overall surface tension ( $\sigma_{\text{L}}$ ) is known simply by measuring the contact  
5 angle between that liquid and PTFE ( $\theta_{\text{PTFE}}$ ) and using the equation above. The polar surface tension component for the liquid is then determined by difference ( $\sigma_{\text{L}}^{\text{P}} = \sigma_{\text{L}} - \sigma_{\text{L}}^{\text{D}}$ ). The percent surface polarity is ( $\% = \sigma_{\text{L}}^{\text{P}} * 100\% / \sigma_{\text{L}}$ ). See also F.M. Fowkes, *Journal of Physical Chemistry*, 67 (1963) 2538-2541.

The polar liquid has a percent surface polarity of at least 24%, or at least  
10 25%, or at least 26%, or at least 30%.

The liquid core is substantially free of water. The liquid core may be completely free of water, that is, anhydrous.

The liquid core contains a safe and effective amount of a basic active agent. The basic active agent has a pH of above 7 to about 14. Preferred compounds are  
15 weak base drugs or active agents. The basic active agent is present in the composition from about 0.001 percent to about 20 percent, or from about 0.01 percent to about 10 percent or from about 1 percent to about 5 percent by weight of the composition.

Compounds suitable for use with the present invention are weak base drugs  
20 containing at least one amine functional group, which can be ionized to carry a positive charge. Non-limiting examples of the weak base drugs or active agents include benzocaine, procaine, lidocaine, codeine, ephedrine, epinephrine, erythromycin, hydroquinone, scopolamine, and any compounds of plant extracts with at least one ionizable amine functional group in the molecular structure.

25 The liquid core contains from about 0.1% by weight to about 30%, for example from about 0.1% to about 20% or from about 0.1% to about 10%, or from about 1% to about 10%, or from about 1% to about 5% by weight of at least one acidic solubility enhancing agent that is not an active ingredient or benefit agent. This enables otherwise insoluble or difficult to solubilize basic actives or basic benefit  
30 agents to be solubilized in the core.

Suitable solubility enhancing agents for basic active agents or benefit agents include acids such as hydrochloric acid, phosphoric acid, nitric acid, acetic acid,

acrylic acid, adipic acid, alginic acid, alkanesulfonic acid, an amino acid, ascorbic acid, benzoic acid, boric acid, butyric acid, carbonic acid, citric acid, a fatty acid, formic acid, fumaric acid, gluconic acid, hydroquinosulfonic acid, isoascorbic acid, lactic acid, malic acid, mandelic acid, maleic acid, methanesulfonic acid, oxalic acid, 5 parabromophenylsulfonic acid, propionic acid, p-toluenesulfonic acid, salicylic acid, stearic acid, succinic acid, tannic acid, tartaric acid, thioglycolic acid, toluenesulfonic acid, uric acid and mixtures thereof.

The liquid core is substantially free of preservatives. The liquid core may be completely free of preservatives.

10 The liquid core may be a single phase (one-phase). Alternatively, the liquid core may comprise multiple phases, for example the liquid core may be an emulsion or a suspension, while at least one basic active agent is completely dissolved in the polar liquid as the continuous phase of the liquid core. Alternatively, for a liquid core composed of an emulsion, at least one basic active agent is completely dissolved in 15 the liquids that make up the liquid core, namely the basic active agent in its dissolved form is distributed among the internal phase liquid (e.g., oil phase), and the external polar liquid phase (e.g., glycerol, polyglycerol, or other polyol phase) is the continuous phase of the liquid emulsion core.

In one embodiment, the liquid core is a substantially, or completely uniform 20 single phase, namely, it is a homogeneous clear liquid containing no visibly detectable inhomogeneities, such as suspended droplets or particles when viewed with the unaided human eye at a distance of approximately 12 inches. The liquid core as a single phase may contain other organic liquids besides the polar liquid, so long as such organic solvents are soluble or substantially soluble, miscible or 25 substantially miscible in the polar liquid to maintain the homogeneity and clarity of the liquid core. When other organic liquids that are partially soluble in or partially miscible with the polar liquid are used, their amounts should be below their saturation concentrations to ensure the liquid core remains a clear solution.

The polar liquid may comprise one or more polyols. Such polyols include, but 30 are not limited to glycerol (glycerin), polyglycerols, glycols, polyglycols, and mixtures thereof.

Examples of polyglycerols include, but are not limited to diglycerol (diglycerin), triglycerol (polyglycerin-3 or polyglycerol-3), tetraglycerol (polyglycerin-4 or polyglycerol-4), other polyglycerols (polycerol-n, where  $n > 4$ ), and mixtures thereof.

Examples of glycols include, but are not limited to propylene glycol, ethylene glycol, butylene glycol and its isomers (e.g., 1,2-butanediol, 1,3-butanediol, 1,4-butanediol and 2,3-butanediol), hexylene glycol and its isomers, propanediol, dipropylene glycol, ethoxydiglycol, methylpropanediol, isopentyldiol, and mixtures thereof.

Examples of polyglycols include, but are not limited to, polyethylene glycol of various molecular weights, namely, molecular weights ranging from 300 g/mol to 10,000,000 g/mol, (e.g., PEG-200, PEG-400, PEG-1000, PEG-2000, PEG-4000, PEG-6000), polypropylene glycol (PPG) of various molecular weights, and mixtures thereof.

The polar liquid may comprise a combination of a polyol with one or more other organic liquids. Such organic liquids include, but are not limited to alcohols, isosorbides, esters, ethers, lactones, and any organic compounds acceptable for therapeutic, cosmetic or personal product applications and capable of maintaining the percent surface polarity of the polar liquid at 24% or above.

Examples of alcohols include, but are not limited to ethyl alcohol, n-propyl alcohol, isopropyl alcohol, n-butyl alcohol, isobutyl alcohol, amyl alcohol, benzyl alcohol, octyldocanol, hexyldecanol, butyloctanol, and mixtures thereof.

Examples of isosorbides include, but are not limited to dimethyl isosorbide, diethyl isosorbide, ethylmethyl isosorbide, and mixtures thereof. Preferably, the isosorbide is an alkyl ester of isosorbide, such as dimethyl isosorbide.

Examples of esters include, but are not limited to benzyl benzoate, triacetin, glycerol trioctanoate, diethyl phthalate, and mixtures thereof.

Examples of ethers include, but are not limited to dicapryl ether, dipropylene glycol monomethyl ether, and mixtures thereof.

Examples of lactones include but are not limited to gluconolactone.

In one embodiment of the invention, the polar liquid is a mixture of a glycerol or polyglycerol with one or more glycols or polyglycols.

In an alternative embodiment of the invention, the polar liquid is a mixture of a glycerol or polyglycerol with one or more alcohols.

In yet another embodiment of the invention, the polar liquid is a mixture of a glycerol or polyglycerol with one or more isosorbides.

Preferably, the polar liquid comprises a glycerol, polyglycerol, or a mixture thereof. The amount of glycerol, polyglycerol, or mixture thereof may be about 50%  
5 to about 100%, or more than about 70%, or more than about 80%, or 85% or more, by weight of the polar liquid of the liquid core. The remainder of the liquid core may be one or more organic liquids such as non-glycerol polyols, alcohols, or isosorbides.

In one embodiment, the liquid core may further comprise at least one hydrophilic polymer, e.g., natural or synthetic hydrophilic polymers. Such hydrophilic  
10 polymer may be soluble or partially soluble in the liquid core. Suitable hydrophilic polymers include, but are not limited to, homo-and copolymers of vinyl pyrrolidone (e.g., PVP, or PVP/PVA copolymer), homo-or copolymers of vinyl alcohol (e.g., polyvinyl alcohol or PVA), polyacrylamide, homo-or copolymers of acrylic and/or methacrylic acids, and salts and esters thereof (e.g., CARBOPO/CARBOMER 934,  
15 940, 941, 980, 1342, and 1382, and ULTREZ 10 and 21), cellulosic polymers (e.g., hydroxymethylcellulose, hydroxyethyl cellulose, carboxy methyl cellulose, carboxy ethyl cellulose), polyurethanes, starch and its derivatives, and synthetic and natural gums (e.g., gum arabic or xanthan gum). Preferred hydrophilic polymers are acrylate polymers and copolymers, particularly polyacrylate neutralized by  
20 anhydrous neutralizers. Polyvinyl ether or methylvinylether/maleic anhydride copolymer (e.g. Gantrez® AN-119, 139, 149 etc)

Incorporation of such polymers in the liquid core enhances interactions between the liquid core and the hydrophobic particles of the shell, thereby facilitating  
25 core-shell particle formation and improving the physical stability of the core/shell particles, which prevents premature particle collapse and liquid leakage during storage.

If used, the amount of the hydrophilic polymer is usually up to about 10%, or equal to or less than about 5%, or equal to or less than about 3%, or equal to or less than about 2%, by weight of the liquid core.

30 In general, the liquid core may contain any additional ingredients (e.g., active agents or formulation excipients) soluble or dispersible in the polar liquid or its components, provided the additional ingredients do not impair the percent surface polarity of the liquid core. Pharmaceutically or cosmetically acceptable active agents

or excipients, such as extracts of plants or minerals, natural or synthetic compounds of small molecular weight or polymers, acids or bases (particularly weak acids or bases) for acidity adjustment, buffers, chelators, antioxidants, thickeners or gelling agents can be used.

5 In particular, the active agents or benefit agents are present in the liquid core.

The liquid core may also comprise one or more emulsifying surfactants (emulsifiers) commonly used in pharmaceutical or cosmetic products.

In one embodiment, the liquid core comprises an emulsion (e.g., simple emulsion, multi-emulsion, or nano-emulsion), in which there is at least one internal  
10 phase (e.g., oil phase), and at least one external polar liquid phase (e.g., glycerol, polyglycerol, or other polyol phase) as the continuous phase of the liquid core. The internal phase includes at least one lipophilic substance, which is a liquid at ambient temperature, and is essentially immiscible with the external polar liquid phases. Non-limiting exemplary oils include oils of plant origins (e.g., vegetable oils and oil  
15 extracts of plants – seeds, legumes or fruits), mineral oils, silicone oils/fluids and their derivatives, and any lipophilic solvents acceptable for pharmaceutical, topical or cosmetic products.

The oils used for the internal oil phase of the liquid core may be volatile or nonvolatile in nature. Hydrophobic solvents suitable for use in the volatile,  
20 hydrophobic solvent component are selected from the group consisting of branched chain hydrocarbons, silicones, fatty acid esters, liquid branched chain fatty alcohols, and triglycerides (e.g., caprylic/capric triglyceride), isopropyl myristate, isopropyl palmitate, and mixtures, thereof. Preferred hydrophobic branched chain hydrocarbons useful as the solvent component herein contain from about 7 to about  
25 14, more preferably from about 10 to about 13, and most preferably from about 11 to about 12 carbon atoms. Saturated hydrocarbons are preferred, although it is not intended to exclude unsaturated hydrocarbons. Examples of such preferred branched chain hydrocarbons include isoparaffins of the above chain sizes. Isoparaffins are commercially available from Exxon Chemical Co; examples include  
30 Isopar E (C.sub.8-C.sub.9 isoparaffins), Isopar™ H and K (C.sub.11-C.sub.12 isoparaffins), and Isopar™ L (C.sub.11-C.sub.13 isoparaffins) or mixtures thereof. Other suitable branched chain hydrocarbons are isododecane and isoundecane.

Isododecane is preferred and is commercially available from Presperse, Inc. (South Plainfield, N.J., USA) as Permethyl™ M 99A.

Preferred silicones useful as the volatile hydrophobic solvent component include volatile siloxanes such as phenyl pentamethyl disiloxane, phenylethylpentamethyl disiloxane, hexamethyl disiloxane, methoxy propylheptamethyl cyclotetrasiloxane, chloropropyl pentamethyl disiloxane, hydroxypropyl pentamethyl disiloxane, octamethyl cyclotetrasiloxane, decamethyl cyclopentasiloxane, and mixtures thereof. More preferred among the silicones are cyclomethicones, examples of which include hexamethyl disiloxane, octamethyl cyclo tetrasiloxane, decamethyl cyclopentasiloxane and cyclohexasiloxane, which are commonly referred to as D4, D5 and D6 cyclomethicone, respectively.

The internal phase of the oil(s) in the emulsion liquid core is physically stabilized by the surfactants in the emulsion liquid core. In one embodiment, the liquid core comprises at least one polymeric surfactant having a molecular weight ranging from about 1,000 Daltons to 50,000 Daltons, including, but not limited to, homo-polymers such as poly(ethylene oxide), poly(vinyl pyrrolidone) and poly(vinyl alcohol), block and graft copolymer polymeric surfactants such as diblock or triblock polymeric surfactants known as PLURONICS manufactured by BASF (Germany) or SYNPERONIC PE manufactured by ICI (U.K.) consisting of two poly-A blocks of poly(ethylene oxide)(PEO) and one block of poly(propylene oxide)(PPO), and diblocks of polystyrene-block-polyvinyl alcohol, triblocks of poly(methyl methacrylate)-block poly(ethylene oxide)-block poly(methyl methacrylate), diblocks of polystyrene block-polyethylene oxide and triblocks of polyethylene oxide-block polystyrene-polyethylene oxide, as well as amphipathic graft copolymer consisting of a polymeric backbone B (polystyrene or polymethyl methacrylate) and several A chains ("teeth") such as polyethylene oxide referred to as a "comb" stabilizer may be used.

In one embodiment, the liquid core comprises at least one hydrophobically modified polysaccharide. Useful polysaccharides include sugars (e.g., inulin), sugar analogs (e.g., dextrans), starches (e.g., starches from potato or tapioca), water-soluble celluloses (e.g., hydroxypropylcellulose), hydrophobically modified inulin (polyfructose) as disclosed in US 6,534,647 (including commercially available INUTEC SP1 (ORAFIT, Tienen, Belgium), hydrophobically modified dextran as

disclosed by O. Carrier et al. ("Inverse emulsions stabilized by a hydrophobically modified polysaccharide", Carbohydrate Polymers, 84(2011)599-604), hydrophobically modified starches from potato or tapioca as disclosed in US 8,258,250, US 7,417,020, US20110082105A1, and US 20110082290A1, (including 5 commercially available NATURASURFTM PS-111, AKZO NOBEL CHEICALS INTERNATIONAL, B.V.), and hydrophobically modified water-soluble hydroxypropylcellulose as disclosed by C. Claro et al. ("Surface tension and rheology of aqueous dispersed systems containing a new hydrophobically modified polymer and surfactants", International Journal of Pharmaceutics, 347(2008)45-53). Other 10 exemplary hydrophobically modified polysaccharides, include, but are not limited to, PEMULEN TR-1, PEMULEN TR-2, ETD 2020, CARBOPOL 1382 (Acrylates/C10-30 alkyl acrylate crosspolymer, by Noveon/Lubrizol, Cleveland, OH), NATROSOL CS Plus 330, 430, POLYSURF 67 (cetyl hydroxyethyl cellulose, Hercules, Wilmington, DE), ACULYN 22 (acrylates /steareth-20 methacrylate copolymer, Rohm & Haas, 15 Philadelphia, PA), ACULYN 25 (acrylates/ laureth-25 methacrylate Copolymer, Rohm & Haas), ACULYN 28 (acrylates /beheneth-25 methacrylate copolymer, Rohm & Haas), ACULYN 46 (PRG-150/stearyl alcohol/SMDI copolymer, Rohm & Haas), STABYLEN 30 (acrylates/vinyl isodecanoate, 3V-Sigma, Georgetown, SC), STRUCTURE 2001 (acrylates/steareth-20 itaconate copolymer, National Starch), 20 STRUCTURE 3001 (acrylates/ceteth-20 itaconate copolymer, National Starch), STRUCTURE PLUS (acrylates/aminoacrylates/C10-30 alkyl PEG 20 itaconate copolymer, National Starch), QUATRISOFT LM-200 (polyquaternium-24, Amerchol, Greensburg, LA), CAPSULE, HI-CAP 100, N-CREAMER 46, CAPSUL TA, and N-LOK-1930 (all by Ingredion Incorporated, formerly National Starch or Corn 25 Products International, Inc.), Westchester, IL.

The amount of hydrophobically modified polysaccharide surfactant used is generally from about 0.01% to about 20%, or from about 0.1% to about 10%, or from about 0.5% to about 5%, or from about 0.1% to about 1%, by weight of the liquid core.

30 Other useful surfactants are described by T. Tadros ("Polymeric Surfactants in Disperse Systems", Advances in Colloid and Interface Science, 147-148,2009, page 281-299), and R.Y. Lochhead and S. Jones ("Polymers in Cosmetics: Recent Advances", Article 2004/07, Happi.com).

In one embodiment, the composition comprises at least one surfactant typically used to prepare oil-in-water (O/W) emulsions as disclosed in US 6,174,533.

The liquid core may comprise from about 0.05% to about 5%, or from about 0.05% to about 1%, by weight of such surfactant. Without intending to be limited by  
5 theory, it is believed that the surfactant assists in dispersing the hydrophobic component in the polar liquid. The surfactant, at a minimum, must be hydrophilic enough to disperse in the hydrophilic component. Preferred surfactants are those having an HLB of at least about 8. The exact surfactant chosen will depend upon the pH of the composition and the other components present.

10 The surfactant can be any of the anionic surfactants, nonionic surfactants, amphoteric surfactants, zwitterionic surfactants cationic surfactants and mixtures clearly as are well known in the art.

Examples of nonionic surfactants that are useful herein are those that can be broadly defined as condensation products of long chain alcohols, e.g. C8-30  
15 alcohols, with sugar or starch polymers, i.e., glycosides. These compounds can be represented by the formula  $(S)_n-O-R$  wherein S is a sugar moiety such as glucose, fructose, mannose, and galactose; n is an integer of from about 1 to about 1000, and R is a C8-30 alkyl group. Examples of long chain alcohols from which the alkyl group can be derived include decyl alcohol, cetyl alcohol, stearyl alcohol, lauryl  
20 alcohol, myristyl alcohol, oleyl alcohol, and the like. Examples of these surfactants include those wherein S is a glucose moiety, R is a C8-20 alkyl group, and n is an integer of from about 1 to about 9. Commercially available examples of these surfactants include decyl polyglucoside (available as APG 325 CS from Henkel) and lauryl polyglucoside (available as APG 600 CS and 625 CS from Henkel).

25 Other useful nonionic surfactants include the condensation products of alkylene oxides with fatty acids (i.e. alkylene oxide esters of fatty acids). These materials have the general formula  $RCO(X)_nOH$  wherein R is a C10-30 alkyl group, X is  $--OCH_2CH_2--$  (i.e. derived from ethylene glycol or oxide) or  $--OCH_2CHCH_3--$  (i.e. derived from propylene glycol or oxide), and n is an integer from about 6 to about  
30 200. Other nonionic surfactants are the condensation products of alkylene oxides with 2 moles of fatty acids (i.e. alkylene oxide diesters of fatty acids). These materials have the general formula  $RCO(X)_nOOCR$  wherein R is a C10-30 alkyl group, X is  $--OCH_2CH_2--$  (i.e., derived from ethylene glycol or oxide) or

OCH<sub>2</sub>CHCH<sub>3</sub>-- (i.e. derived from propylene glycol or oxide), and n is an integer from about 6 to about 100.

Other nonionic surfactants are the condensation products of alkylene oxides with fatty alcohols (i.e. alkylene oxide ethers of fatty alcohols). These materials have the general formula R(X)<sub>n</sub>OR' wherein R is a C10-30 alkyl group, X is --OCH<sub>2</sub>CH<sub>2</sub>-- (i.e. derived from ethylene glycol or oxide) or --OCH<sub>2</sub>CHCH<sub>3</sub>-- (i.e. derived from propylene glycol or oxide), and n is an integer from about 6 to about 100 and R' is H or a C10-30 alkyl group. Still other nonionic surfactants are the condensation products of alkylene oxides with both fatty acids and fatty alcohols (i.e. wherein the polyalkylene oxide portion is esterified on one end with a fatty acid and etherified (i.e. connected via an ether linkage) on the other end with a fatty alcohol). These materials have the general formula RCO(X)<sub>n</sub>OR' wherein R and R' are C10-30 alkyl groups, X is --OCH<sub>2</sub>CH<sub>2</sub> (i.e., derived from ethylene glycol or oxide) or --OCH<sub>2</sub>CHCH<sub>3</sub>-- (derived from propylene glycol or oxide), and n is an integer from about 6 to about 100. Nonlimiting examples of these alkylene oxide derived nonionic surfactants include ceteth-6, ceteth-10, ceteth-12, ceteareth-6, ceteareth-10, ceteareth-12, steareth-6, steareth-10, steareth-12, PEG-6 stearate, PEG-10 stearate, PEG-100 stearate, PEG-12 stearate, PEG-20 glyceryl stearate, PEG-80 glyceryl tallowate, PEG-10 glyceryl stearate, PEG-30 glyceryl cocoate, PEG-80 glyceryl cocoate, PEG-200 glyceryl tallowate, PEG-8 dilaurate, PEG-10 distearate, and mixtures thereof.

Other nonionic surfactants suitable for use herein include sugar esters and polyesters, alkoxyated sugar esters and polyesters, C1-C30 fatty acid esters of C1-C30 fatty alcohols, alkoxyated derivatives of C1-C30 fatty acid esters of C1-C30 fatty alcohols, alkoxyated ethers of C1-C30 fatty alcohols, polyglyceryl esters of C1-C30 fatty acids, C1-C30 esters of polyols, C1-C30 ethers of polyols, alkyl phosphates, polyoxyalkylene fatty ether phosphates, fatty acid amides, acyl lactylates, and mixtures thereof. Nonlimiting examples of these non-silicon-containing emulsifiers include: polyethylene glycol 20 sorbitan monolaurate (Polysorbate 20 or TWEEN 20), polyethylene glycol 5 soya sterol, Steareth-20, Ceteareth-20, PPG-2 methyl glucose ether distearate, Ceteth-10, Polysorbate 80 (TWEEN 80), Polysorbate 40 (TWEEN 40), cetyl phosphate, potassium cetyl phosphate, diethanolamine cetyl phosphate, Polysorbate 60 (TWEEN 60), glyceryl

stearate, polyoxyethylene 20 sorbitan trioleate (Polysorbate 85), sorbitan monolaurate, polyoxyethylene 4 lauryl ether sodium stearate, polyglyceryl-4 isostearate, hexyl laurate, PPG-2 methyl glucose ether distearate, PEG-100 stearate, and mixtures thereof.

5 Other emulsifiers useful herein are fatty acid ester blends based on a mixture of sorbitan or sorbitol fatty acid ester and sucrose fatty acid ester, the fatty acid in each instance being preferably C8-C24, more preferably C10-C20. The preferred fatty acid ester emulsifier is a blend of sorbitan or sorbitol C 16-C 20 fatty acid ester with sucrose C10-C16 fatty acid ester, especially sorbitan stearate and sucrose  
10 cocoate. This is commercially available from ICI under the trade name ARLATONE 2121.

The surfactants useful herein can alternatively or additionally include any of a wide variety of cationic, anionic, zwitterionic, and amphoteric surfactants such as are known in the art. Cationic surfactants useful herein include cationic ammonium salts  
15 such as quaternary ammonium salts, and amino-amides. Nonlimiting examples of anionic surfactants include the alkoyl isethionates (*e.g.*, C12-C30), alkyl and alkyl ether sulfates and salts thereof, alkyl and alkyl ether phosphates and salts thereof, alkyl methyl taurates (*e.g.*, C12-C30), and soaps (*e.g.*, alkali metal salts, *e.g.*, sodium or potassium salts) of fatty acids.

20 Amphoteric and zwitterionic surfactants are also useful herein. Examples of amphoteric and zwitterionic surfactants which can be used in the compositions of the present invention are those which are broadly described as derivatives of aliphatic secondary and tertiary amines in which the aliphatic radical can be straight or branched chain and wherein one of the aliphatic substituents contains from about 8  
25 to about 22 carbon atoms (preferably C8-C18) and one contains an anionic water solubilizing group, *e.g.*, carboxy, sulfonate, sulfate, phosphate, or phosphonate. Examples are alkyl imino acetates, and iminodialkanoates and aminoalkanoates, imidazolium and ammonium derivatives. Other suitable amphoteric and zwitterionic surfactants are those selected from the group consisting of betaines,  
30 sultaines, hydroxysultaines, alkyl sarcosinates (*e.g.*, C12-C30), and alkanoyl sarcosinates.

The liquid core compositions of the present invention may include a silicone containing emulsifier or surfactant. A wide variety of silicone emulsifiers are useful

herein. These silicone emulsifiers are typically organically modified organopolysiloxanes, also known to those skilled in the art as silicone surfactants. Useful silicone emulsifiers include dimethicone copolyols. These materials are polydimethyl siloxanes which have been modified to include polyether side chains  
5 such as polyethylene oxide chains, polypropylene oxide chains, mixtures of these chains, and polyether chains containing moieties derived from both ethylene oxide and propylene oxide. Other examples include alkyl-modified dimethicone copolyols, i.e., compounds which contain C2-C30 pendant side chains. Still other useful dimethicone copolyols include materials having various cationic, anionic, amphoteric,  
10 and zwitterionic pendant moieties.

Dimethicone copolyol emulsifiers may be also be used. Nonlimiting examples of dimethicone copolyols and other silicone surfactants useful as emulsifiers herein include polydimethylsiloxane polyether copolymers with pendant polyethylene oxide sidechains, polydimethylsiloxane polyether copolymers with pendant polypropylene  
15 oxide sidechains, polydimethylsiloxane polyether copolymers with pendant mixed polyethylene oxide and polypropylene oxide sidechains, polydimethylsiloxane polyether copolymers with pendant mixed poly(ethylene)(propylene)oxide sidechains, polydimethylsiloxane polyether copolymers with pendant organobetaine sidechains, polydimethylsiloxane polyether copolymers with pendant carboxylate  
20 sidechains, polydimethylsiloxane polyether copolymers with pendant quaternary ammonium sidechains; and also further modifications of the preceding copolymers containing pendant C2-C30 straight, branched, or cyclic alkyl moieties. Examples of commercially available dimethicone copolyols useful herein sold by Dow Corning Corporation are DOW CORNING 190, 193, Q2-5220, 2501 Wax, 2-5324 fluid, and  
25 3225C (the later material being sold as a mixture with cyclomethicone). Cetyl dimethicone copolyol is commercially available as a mixture with polyglyceryl-4 isostearate (and) hexyl laurate and is sold under the tradename ABIL® WE-09 (available from Goldschmidt). Cetyl dimethicone copolyol is also commercially available as a mixture with hexyl laurate (and) polyglyceryl-3 oleate (and) cetyl  
30 dimethicone and is sold under the tradename ABIL® WS-08 (also available from Goldschmidt). Other nonlimiting examples of dimethicone copolyols also include lauryl dimethicone copolyol, dimethicone copolyol acetate, dimethicone copolyol adipate, dimethicone copolyolamine, dimethicone copolyol behenate, dimethicone

copolyol butyl ether, dimethicone copolyol hydroxy stearate, dimethicone copolyol isostearate, dimethicone copolyol laurate, dimethicone copolyol methyl ether, dimethicone copolyol phosphate, and dimethicone copolyol stearate.

## 5 The Shell

The shell comprises hydrophobic particles. As used herein, "hydrophobic" includes both hydrophobic particles *per se* and hydrophobized particles obtained by reaction of the surface of hydrophilic particles with a hydrophobic surface modifying agent.

10 Useful hydrophobized particles include, but are not limited to, silicone- or silane-coated powders, or fluoropolymer-coated powders, such as talc, kaolin, mica, sericite, dolomite, phlogopite, synthetic mica, lepidolite, biotite, lithia mica, vermiculite, magnesium carbonate, calcium carbonate, aluminum silicate, barium silicate, calcium silicate, magnesium silicate, strontium silicate, tungstenic acid metal  
15 salts, magnesium, silica, zeolite, barium sulfate, calcined calcium sulfate, calcium phosphate, fluorapatite, hydroxyapatite, titania, fumed titania, zinc oxide, alumina and fumed alumina. Other hydrophobic particles include, but are not limited to, particles of hydrophobic compounds or polymers, such as solid long chain fatty acids and their esters, alcohols, and metal salts (*e.g.*, stearic acid, stearyl alcohol, and  
20 magnesium stearate), hydrophobic waxes (*e.g.*, paraffin wax and beeswax), and fluoropolymers (*e.g.*, polyvinylfluoride, polyvinylidene fluoride, polytetrafluoroethylene, polychlorotrifluoroethylene, perfluoroalkoxy polymer, fluorinated ethylene-propylene, polyethylenetetrafluoroethylene, polyethylenechlorotrifluoroethylene, perfluorinated elastomer, fluorocarbon,  
25 chlorotrifluoroethylenevinylidene fluoride and perfluoropolyether.

Among these, hydrophobized silica particles that form a three dimensional network, an aggregated structure, are a preferred shell material. The silica may be a precipitated silica or a fumed silica, the latter being preferred. Fumed silica is obtained in a flame hydrolysis or flame oxidation process. Its purity is higher than 99  
30 wt %, usually higher than 99.8 wt %. Fumed silica usually forms a three-dimensional network of aggregated primary particles and is porous. The fumed silica primary particles bear hydroxyl groups at their surface and are nonporous.

Other hydrophobic fumed metal oxides may also be used, such as hydrophobic fumed titanium oxide and aluminum oxide, such as Aeroxide .TiO<sub>2</sub> T805, and Aeroxide Alu C805 (both from EVONIK, Piscataway, NJ).

Precipitated and fumed silica particles, as well as other hydrophilic particles  
5 may be hydrophobized in a subsequent step. Procedures for this step are known to the person skilled in the art.

WO2011/076518 discloses these and other hydrophobic or hydrophobized silica particles suitable for use as the shell material of the present invention.

Hydrophobic surface modifying agents include silanes, including  
10 organosilanes, holoorganosilanes, and cyclinc polysiloxanes, which may be used individually or as a mixture. Examples of hydrophobic surface modifying agents include octyltrimethoxysilane, octyltriethoxysilane, hexamethyldisilazane, hexadecyltrimethoxysilane, hexadecyltriethoxysilane, dimethylpolysiloxane, nonafluorohexyltrimethoxysilane, tridecafluorooctyltrimethoxysilane, and  
15 tridecafluorooctyltriethoxysilane. With particular preference, it is possible to use hexamethyldisilazane, octyltriethoxysilane and dimethyl polysiloxanes.

The hydrophobic particles may be hydrophobized silica particles having a BET surface area of 30 m<sup>2</sup>/g to 500 m<sup>2</sup>/g, or 100 m<sup>2</sup>/g to 350 m<sup>2</sup>/g. Due to the reaction with the surface modifying agent these particles may contain 0.1 to 15 wt %, usually 0.5 to 5 wt %, of carbon.  
20

Examples of useful hydrophobic particles include AEROSIL® R104 (octamethylcyclotetrasiloxane; 150 m<sup>2</sup>/g; 55); AEROSIL® R106 (octamethylcyclotetrasiloxane; 250 m<sup>2</sup>/g; 50), AEROSIL® R202 (polydimethylsiloxane; 100 m<sup>2</sup>/g; 75), AEROSIL® R805 (octylsilane; 150 m<sup>2</sup>/g; 60),  
25 AEROSIL® R812 (hexamethyldisilazane; 260 m<sup>2</sup>/g; 60), AEROSIL® R812S (hexamethyldisilazane; 220 m<sup>2</sup>/g; 65), and AEROSIL® R8200 (hexamethyldisilazane; 150 m<sup>2</sup>/g; 65). The indications in parenthesis refer to the surface modifying agent, the approximate BET surface area and the approximate methanol wettability.

30 It may also be beneficial to use hydrophobized fumed silica particles in compacted form or as granules.

Other suitable hydrophobic particles include fine inorganic, organic, or polymeric fine powders coated with silicone, silane or fluoro-compounds, which can

be used alone or as mixture with hydrophobic silica or hydrophobic fumed silica powder.

The amount of hydrophobic particles in the powder is about 2% to about 30%, or about 2.5% to about 20%, or about 3% to about 10%, or about 3% to about 8%,  
5 by weight based on the total weight of powder (comprising core/shell particles).

In one embodiment, the shell consists of hydrophobized fumed silica particles that are obtained by reacting a hydrophilic fumed silica having a BET surface area of from 30 to 500 m<sup>2</sup>/g.

In another embodiment, the hydrophobized fumed silica particles are obtained  
10 by reacting a hydrophilic fumed silica having a BET surface area from 270 to 330 m<sup>2</sup>/g with hexamethyldisilazane to give hydrophobized fumed silica particles having a BET surface area of from 200 to 290 m<sup>2</sup>/g and a carbon content of 2 to 4 wt % and methanol wettability of at least 50.

In one embodiment, active agents and/or additional ingredients are present in  
15 the shell.

### **Second Powder**

The powder comprising core/shell particles may be mixed with a second powder. The mixing process is usually done during the product manufacturing  
20 process. However, the mixing process may also be carried out post-manufacturing by a user prior to use. In this case, the second powder and powder comprising core/shell particles may be packaged in a dual chamber container or separate containers.

In one embodiment, the second powder comprises one or more solid active  
25 agents, liquid actives impregnated into absorbent powder materials, solid cosmetic/pharmaceutical formulation excipients, or liquid cosmetic/pharmaceutical formulation excipients impregnated into absorbent powder materials.

Solid active agents that may be used in the second powder include unstable  
30 actives such as certain vitamins (e.g., ascorbic acid), and natural extracts containing antioxidants suitable for use in the compositions of this invention, include, but not limited to plant extracts containing flavonoids, phenolic compounds, flavones, flavanones, isoflavonoids, mono, di- and tri-terpenes, sterols and their derivatives.

Examples of such plant extracts include grape seed, green tea, pine bark and propolis extracts and legume extracts and the like.

Absorbent powder materials include pharmaceutically or cosmetically acceptable porous powders such as silica and fumed silica, powders of starches, and clays, synthetic and natural fibers, as well as the materials described as useful for the hydrophobic particles of the shell.

#### **Method of Making Core/Shell Particles**

A single phase liquid core can be made by simple blending or mixing of the liquid ingredients until uniform. High shearing is not required for this step, as blending of miscible liquids does not require high energy. The liquid mixing for this step may be done with equipment such as blenders, lab scale mixers, or homogenizers. The sample may be heated in cases where an active agent contained therein requires higher temperature to dissolve in the liquid mixture. The resulting homogeneous liquid can be converted to a powder by mixing with the hydrophobic particles of the shell under high shear, such as with a blender or a rotor-stator mixer or other inline high rotational speed mixers. It is preferred to run the powderization step with all contents at room temperature or below.

In the case of a liquid core comprising an emulsion, the ingredients of the liquid core, including immiscible liquids, and/or active agents, and emulsifiers are mixed together under high shear until an emulsion is formed. The mixing for this step may be done with equipment such as blenders or homogenizers. The sample may be heated in cases where the active agent requires a higher temperature to dissolve in the liquid. The resulting emulsion can be converted to a powder by mixing with the hydrophobic particles under high shear, such as with a blender or a rotor-stator mixer or other inline high rotational speed mixers. It is preferred to run the powderization step with all contents at room temperature or below.

Methods of using high rotational speed mixers for powder-liquid mixing to prepare the core/shell compositions are known in the art. The energy of mixing should be high enough to break the liquid into fine droplets to be covered or encapsulated by the hydrophobic powder shell. L. Forny et. al. ("Influence of mixing characteristics for water encapsulation by self-assembling hydrophobic silica nanoparticles," Powder Technology 189, 2009, pages 263-269) describe the method

and requirements for such preparation.

### **Use**

The powder comprising core/shell particles has great versatility in application, and can be used in many consumer and medical products for human and animal use  
5 such as ingestible compositions (such as tablets and capsules), topical compositions (such as creams, lotions, gels, shampoos, cleansers, powders patches, bandages, and masks for application to the skin or mucosal membranes), garments (such as undergarments, underwear, bras, shirts, pants, pantyhose, socks, head caps, facial masks, gloves, and mittens), linens (such as towels, pillow covers or cases and bed  
10 sheets), sanitizing products for household and clinical settings, microcides for plants, and devices (such as toothbrushes, dental flosses, periodontal implants or inserts, orthodontic braces, joint wraps/supports, buccal patches, ocular inserts or implants such as contact lenses, nasal implants or inserts, and contact lens cleaning products, wound dressings, diapers, sanitary napkins, wipes, tampons, rectal and  
15 vaginal suppositories, and in coatings or embedded surfaces on medical devices and other surfaces where antimicrobial or other beneficial effects are desired).

The powder comprising core/shell particles can be incorporated onto fibers, nonwovens, hydrocolloids, adhesives, films, polymers, and other substrates. In one embodiment, the powder is in contact with a tissue interface. Methods of applying  
20 the powder on substrates include electrostatic spray coating, mechanical sieving, co-extrusion, adhesive spraying.

The powder comprising core/shell particles may contain a wide range of active agents used for various applications as described in the sections below.

The powder comprising core/shell particles may be administered topically,  
25 locally (via buccal, nasal, retal or vaginal route), or systemically (e.g., peroral route) to a subject (e.g., a human) in need of treatment for a condition or disease, or to otherwise provide a therapeutic effect. Such therapeutic effects include, but are not limited to: antimicrobial effects (e.g., antibacterial, antifungal, antiviral, and anti-parasitic effects); anti-inflammation effects including effects in the superficial or deep  
30 tissues (e.g., reduce or elimination of soft tissue edema or redness); elimination or reduction of pain, itch or other sensory discomfort; regeneration or healing enhancement of hard tissues (e.g., enhancing growth rate of the nail or regrowth of

hair loss due to alopecia) or increase soft tissue volume (e.g., increasing collagen or elastin in the skin or lips); increasing adipocyte metabolism or improving body appearance (e.g., effects on body contour or shape, and cellulite reduction); and increasing circulation of blood or lymphocytes.

- 5           The powder comprising core/shell particles may be combined with one or more other active agents not contained in a second powder.

### Topical Skin Compositions

10           In one embodiment, the invention provides a topical composition containing the powder comprising core/shell particles that is suitable for administering to mammalian skin, such as human skin. In one embodiment, such topical composition contains a safe and effective amount of (i) the powder comprising core/shell particles, and (ii) a cosmetically- or pharmaceutically-acceptable carrier.

15           The topical compositions may be made into a wide variety of products that include but are not limited to leave-on products (such as lotions, creams, gels, sticks, sprays, and ointments), skin cleansing products (such as liquid washes, solid bars, and wipes), hair products (such as shampoos, conditioners, sprays, and mousses), shaving creams, film-forming products (such as masks), make-up (such as foundations, eye liners, and eye shadows), deodorant and anti-perspirant  
20           compositions, and the like. These product types may contain any of several cosmetically- or pharmaceutically-acceptable carrier forms including, but not limited to solutions, suspensions, emulsions such as microemulsions and nanoemulsions, gels, and solids carrier forms. Other product forms can be formulated by those of ordinary skill in the art.

25           In one embodiment, the topical composition is used for the treatment of skin conditions. Examples of such skin conditions include, but are not limited to acne (e.g., blackheads and whiteheads), rosacea, nodule-cystic, and other microbial infections of the skin; visible signs of skin aging (e.g., wrinkles, sagging, sallowness, and age-spots); loose or lax skin, folliculitis and pseudo-folliculitis barbae; excess  
30           sebum (e.g., for sebum reduction or oily/shining skin appearance inhibition or control); pigmentation (e.g., for reduction of hyperpigmentation such as freckles, melasma, actinic and senile lentiginos, age-spots, post-inflammatory hypermelanosis, Becker's naevus, and facial melanosis or enhancing the

pigmentation of light skin); excess hair growth (e.g., skin on the leg), or insufficient hair growth (e.g., on the scalp); dermatitis, (e.g., atopic, contact, or seborrheic dermatitis), eczema, dark circles under the eye, stretch marks, cellulite, excessive sweating (e.g., hyperhidrosis), and/or psoriasis.

5 (a) Topical Anti-Acne/Anti-Rosacea Compositions

In one embodiment, the topical composition also contains an anti-acne and/or anti-rosacea active agent. Examples of anti-acne and anti-rosacea agents include, but are not limited to: retinoids such as tretinoin, isotretinoin, motretinide, adapalene, tazarotene, azelaic acid, and retinol; salicylic acid; resorcinol; sulfacetamide; urea;  
 10 antibiotics such as tetracycline, clindamycin, metronidazole, and erythromycin; anti-inflammatory agents such as corticosteroids (e.g., hydrocortisone), ibuprofen, naproxen, and hetprofen; and imidazoles such as ketoconazole and elubiol; and salts and prodrugs thereof. Other examples of anti-acne active agents include essential oils, alpha-bisabolol, dipotassium glycyrrhizinate, camphor,  $\beta$ -glucan,  
 15 allantoin, feverfew, flavonoids such as soy isoflavones, saw palmetto, chelating agents such as EDTA, lipase inhibitors such as silver and copper ions, hydrolyzed vegetable proteins, inorganic ions of chloride, iodide, fluoride, and their nonionic derivatives chlorine, iodine, fluorine, and synthetic phospholipids and natural phospholipids such as ARLASILK™ phospholipids CDM, SV, EFA, PLN, and GLA  
 20 (commercially available from Uniqema, ICI Group of Companies, Wilton, UK).

(b) Topical Anti-Aging Compositions

In one embodiment, the topical composition also contains an anti-aging agent. Examples of suitable anti-aging agents include, but are not limited to; retinoids; dimethylaminoethanol (DMAE), copper containing peptides, vitamins such as vitamin  
 25 E, vitamin A (retinol and its derivatives, e.g., retinyl palmitate), vitamin C (ascorbic acid and its derivative, e.g., Ascorbic Acid 2-Glucoside/AA2G), and vitamin B (e.g., niacinamide, niacin) and vitamin salts or derivatives such as ascorbic acid di-glucoside and vitamin E acetate or palmitate; alpha hydroxy acids and their precursors such as glycolic acid, citric acid, lactic acid, malic acid, mandelic acid,  
 30 ascorbic acid, alpha-hydroxybutyric acid, alpha-hydroxyisobutyric acid, alpha-hydroxyisocaproic acid, atrolactic acid, alpha-hydroxyisovaleric acid, ethyl pyruvate, galacturonic acid, glucoheptonic acid, glucoheptono 1,4-lactone, gluconic acid, gluconolactone, glucuronic acid, glucuronolactone, isopropyl pyruvate, methyl

pyruvate, mucic acid, pyruvic acid, saccharic acid, saccharic acid 1,4-lactone, tartaric acid, and tartronic acid; beta hydroxy acids such as beta-hydroxybutyric acid, beta-phenyl-lactic acid, and beta-phenylpyruvic acid; tetrahydroxypropyl ethylene-diamine, N,N,N',N'-Tetrakis(2-hydroxypropyl)ethylenediamine (THPED); and  
 5 botanical extracts such as green tea, soy, milk thistle, algae, aloe, angelica, bitter orange, coffee, goldthread, grapefruit, hoellen, honeysuckle, Job's tears, lithospermum, mulberry, peony, puerarua, nice, and safflower; and salts and prodrugs thereof.

(c) Topical Depigmentation Compositions

10 In one embodiment, the topical composition contains a depigmentation agent. Examples of suitable depigmentation agents include, but are not limited to: soy extract; soy isoflavones; retinoids such as retinol; kojic acid; kojic dipalmitate; hydroquinone; arbutin; transexamic acid; vitamins such as niacinamide, niacin and vitamin C (ascorbic acid and AA2G; azelaic acid; linolenic acid and linoleic acid;  
 15 placertia; licorice; and extracts such as chamomile, grape seeds and green tea; and salts and prodrugs thereof.

(d) Topical Antipsoriatic Compositions

In one embodiment, the topical composition contains an antipsoriatic active agent. Examples of antipsoriatic active agents (*e.g.*, for seborrheic dermatitis or  
 20 eczema treatment) include, but are not limited to, corticosteroids (*e.g.*, betamethasone dipropionate, betamethasone valerate, clobetasol propionate, diflorasone diacetate, halobetasol propionate, triamcinonide, dexamethasone, fluocinonide, fluocinolone acetonide, halcinonide, triamcinolone acetate, hydrocortisone, hydrocortisone verlerate, hydrocortisone butyrate, aclometasone  
 25 dipropionte, flurandrenolide, mometasone furoate, methylprednisolone acetate), methotrexate, cyclosporine, calcipotriene, anthraline, shale oil and derivatives thereof, elubiol, ketoconazole, coal tar, salicylic acid, zinc pyrithione, selenium sulfide, hydrocortisone, sulfur, menthol, and pramoxine hydrochloride, and salts and prodrugs thereof

30 (e) Other Topical Ingredients

In one embodiment, the topical composition contains a plant extract as an active agent. Examples of plant extracts include, but are not limited to, feverfew, soy, glycine soja, oatmeal, what, aloe vera, cranberry, witch-hazel, alnus, arnica,

artemisia capillaris, asiasarum root, birch, calendula, chamomile, cnidium, comfrey, fennel, galla rhois, hawthorn, houttuynia, hypericum, jujube, kiwi, licorice, magnolia, olive, shea butter, coconut, peppermint, philodendron, salvia, sasa albo-marginata, natural isoflavonoids, soy isoflavones, and natural essential oils.

5 In one embodiment, the topical composition contains one or more buffering agents such as citrate buffer, phosphate buffer, lactate buffer, malate buffer, glycolate buffer, gluconate buffer, or gelling agent, thickener, or polymer.

In one embodiment, the composition or product contains a fragrance effective for reducing stress, calming, and/or affecting sleep such as lavender and chamomile.

10 The powder comprising core/shell particles can be incorporated into compositions for the treatment of periodontal disease with actives such as, but not limited to minocycline.

#### **Topical Compositions for Treatment of Wounds, Lesions and Scars**

15 In one embodiment, the powder comprising core/shell particles is incorporated into wound dressings or bandages to provide healing enhancement or scar prevention. Wounds or lesions that may be treated include, but are not limited to acute wounds as well as chronic wounds including diabetic ulcer, venus ulcer, and pressure sores.

20 In one embodiment, the wound dressing or bandage contains an active agent commonly used as for topical wound and scar treatment, such as antibiotics, anti-microbials, wound healing enhancing agents, antifungal drugs, anti-psoriatic drugs, and anti-inflammatory agents.

25 Examples of antifungal drugs include but are not limited to miconazole, econazole, ketoconazole, sertaconazole, itraconazole, fluconazole, voriconazole, clioquinol, bifoconazole, terconazole, butoconazole, tioconazole, oxiconazole, sulconazole, saperconazole, clotrimazole, undecylenic acid, haloprogin, butenafine, tolnaftate, nystatin, ciclopirox olamine, terbinafine, amorolfine, naftifine, elubiol, griseofulvin, and their pharmaceutically acceptable salts and prodrugs. In one  
30 embodiment, the antifungal drug is an azole, an allylamine, or a mixture thereof.

Examples of antibiotics (or antiseptics) include but are not limited to mupirocin, neomycin sulfate bacitracin, polymyxin B, 1-ofloxacin, tetracyclines (chlortetracycline hydrochloride, oxytetracycline-10 hydrochloride and tetrachycline

hydrochloride), clindamycin phosphate, gentamicin sulfate, metronidazole, hexylresorcinol, methylbenzethonium chloride, phenol, quaternary ammonium compounds, tea tree oil, and their pharmaceutically acceptable salts and prodrugs.

Examples of antimicrobials include but are not limited to salts of chlorhexidine, such as iodopropynyl butylcarbamate, diazolidinyl urea, chlorhexidene digluconate, chlorhexidene acetate, chlorhexidene isethionate, and chlorhexidene hydrochloride. Other cationic antimicrobials may also be used, such as benzalkonium chloride, benzethonium chloride, triclocarbon, polyhexamethylene biguanide, cetylpyridium chloride, methyl and benzethonium chloride. Other antimicrobials include, but are not limited to: halogenated phenolic compounds, such as 2,4,4',-trichloro-2-hydroxy diphenyl ether (Triclosan); parachlorometa xylenol (PCMX); and short chain alcohols, such as ethanol, propanol, and the like. In one embodiment, the alcohol is at a low concentration (e.g., less than about 10% by weight of the carrier, such as less than 5% by weight of the carrier) so that it does not cause undue drying of the barrier membrane.

Examples of anti-viral agents for viral infections such as herpes and hepatitis, include, but are not limited to, imiquimod and its derivatives, podofilox, podophyllin, interferon alpha, acyclovir, famcyclovir, valcyclovir, reticulos and cidofovir, and salts and prodrugs thereof.

Examples of anti-inflammatory agents include, but are not limited to, suitable steroidal anti-inflammatory agents such as corticosteroids such as hydrocortisone, hydroxyltriamcinolone alphasethyl dexamethasone, dexamethasone-phosphate, beclomethasone dipropionate, clobetasol valerate, desonide, desoxymethasone, desoxycorticosterone acetate, dexamethasone, dichlorisone, diflorasone diacetate, diflucortolone valerate, fluadrenolone, fluciarolone acetonide, fludrocortisone, flumethasone pivalate, fluosinolone acetonide, fluocinonide, flucortine butylester, flucortolone, fluprednidene (fluprednylidene)acetate, flurandrenolone, halcinonide, hydrocortisone acetate, hydrocortisone butyrate, methylprednisolone, triamcinolone acetonide, cortisone, cortodoxone, flucetonide, fludrocortisone, difluorosone diacetate, fluradrenalone acetonide, medrysone, amciamel, amcinafide, betamethasone, chlorprednisone, chlorprednisone acetate, clacortelone, clescinolone, dichlorisone, difluprednate, flucloronide, flunisolide, fluoromethalone, fluperolone, fluprednisolone, hydrocortisone valerate, hydrocortisone

cyclopentylpropionate, hydrocortamate, meprednisone, paramethasone, prednisolone, prednisone, beclomethasone dipropionate, betamethasone dipropionate, triamcinolone, and salts are prodrugs thereof. In one embodiment, the steroidal anti-inflammatory for use in the present invention is hydrocortisone. A  
5 second class of anti-inflammatory agents which is useful in the compositions of the present invention includes the nonsteroidal anti-inflammatory agents.

Examples of wound healing enhancing agents include recombinant human platelet-derived growth factor (PDGF) and other growth factors, ketanserin, iloprost, prostaglandin E1 and hyaluronic acid, scar reducing agents such as mannose-6-  
10 phosphate, analgesic agents, anesthetics, hair growth enhancing agents such as minoxidil, hair growth retarding agents such as eflornithine hydrochloride, antihypertensives, drugs to treat coronary artery diseases, anticancer agents, endocrine and metabolic medication, neurologic medications, medication for cessation of chemical additions, motion sickness, protein and peptide drugs.

15

#### **Topical Treatment of Microbial Infections of the Body**

In one embodiment, the powder comprising core/shell particles is used, with or without other antifungal active agents, to treat or prevent fungal infections (e.g., dermatophytes such as trichophyton mentagrophytes), including, but not limited to,  
20 onychomycosis, sporotrichosis, tinea unguium, tinea pedis (athlete's foot), tinea cruris (jock itch), tinea corporis (ringworm), tinea capitis, tinea versicolor, and candida yeast infection-related diseases (e.g., candida albicans) such as diaper rash, oral thrush, cutaneous and vaginal candidiasis, genital rashes, Malassezia furfur infection-related diseases such as Pityriasis versicolor, Pityriasis folliculitis,  
25 seborrheic dermatitis, eczema and dandruff.

In another embodiment, the powder comprising core/shell particles is used, with or without other antibacterial active agents, to treat and prevent bacterial infections, including, but not limited to, acne, cellulitis, erysipelas, impetigo, folliculitis, and furuncles and carbuncles, as well as acute wounds and chronic  
30 wounds (venous ulcers, diabetic ulcers and pressure ulcers).

In another embodiment, the powder comprising core/shell particles is used, with or without other antiviral active agents, to treat and prevent viral infections of the

skin and mucosa, including, but not limited to, molluscum contagiosum, warts, herpes simplex virus infections such as cold sores, kanker sores and genital herpes.

In another embodiment, the powder comprising core/shell particles is used, with or without other antiparasitic active agents, to treat and prevent parasitic  
5 infections, including, but not limited to, hookworm infection, lice, scabies, sea bathers' eruption and swimmer's itch.

In one embodiment, the powder comprising core/shell particles is administered to treat ear infections (such as those caused by streptococcus  
10 pneumoniae), rhinitis and/or sinusitis (such as caused by Haemophilus influenzae, Moraxella catarrhalis, Staphylococcus aureus and Streptococcus pneumoniae), and strep throat (such as caused by Streptococcus pyogenes).

In one embodiment, the powder comprising core/shell particles is orally administered to an animal (e.g., as animal feed) or a human (e.g., as a dietary  
15 supplement) to prevent outbreaks of food borne illnesses (e.g., stemming from food borne pathogens such as Campylobacter jejuni, Listeria monocytogenes, and Salmonella enterica).

### Topical Nail Treatment

The powder comprising core/shell particles can also be used to stimulate nail  
20 growth, enhance nail strength, and reduce nail infection or discoloration. The powder comprising core/shell particles can be incorporated into compositions for the treatment of onychomycosis with actives such as, but not limited to miconazole, econazole, ketoconazole, sertaconazole, itraconazole, fluconazole, voriconazole, clioquinol, bifoconazole, terconazole, butoconazole, tioconazole, oxiconazole,  
25 sulconazole, saperconazole, clotrimazole, undecylenic acid, haloprogin, butenafine, tolnaftate, nystatin, ciclopirox olamine, terbinafine, amorolfine, naftifine, elubiol, griseofulvin, and their pharmaceutically acceptable salts and prodrugs. The powder comprising core/shell particles can be incorporated into compositions for improving the look and feel of nails with ingredients such as, but not limited to: biotin, calcium  
30 panthotenate, tocopheryl acetate, panthenol, phytantriol, cholecalciferol, calcium chloride, Aloe Barbadensis (Leaf Juice), silk protein, soy protein, hydrogen peroxide, carbamide peroxide, green tea extract, acetylcysteine and cysteine.

### **Topical Treatment for Hair, Hair Follicles and Scalp Skin**

The powder comprising core/shell particles can be combined with certain active agents for the growth or hair, or improving or thickening of hair of the scalp, eye brow, eye lash or beard may be used to treat hair conditions topically.

- 5 Compositions containing drug(s) and/or active agents to stimulate hair grow and/or prevent hair loss, including, but not limited to, minoxidil, finasteride, lithium chloride or lumigan may be employed.

The powder comprising core/shell particles has a unique advantage over conventional hair treatment compositions due to its excellent flowability. For  
10 example, the powder can easily reach the scalp through thinned hair in the case of alopecia treatment. The powder is easily broken by gentle rubbing with a hand or comb, releasing the active agent (e.g., minoxidil, finasteride, bimatoprost) to the scalp skin near the roots of hair follicles (i.e., the hair bulb, which is the target site for the topical hair growth treatment) without loss of the active onto the hair shafts,  
15 disturbing the style of the hair, or causing an undesirable hair appearance as conventional liquid gel, aerosol, foam, or spray products may do.

### **Topical Compositions for Pain and Itch**

The powder comprising core/shell particles may contain certain analgesic active agents and as such may be prepared for topical treatment of pain, such as  
20 pain at or from the back, shoulder, joints, muscle sore/pain, menstrual cramps, or pain from cold sore or canker sore. Active agents to relieve pain include, but are not limited to, Nonsteroidal Anti-Inflammatory Drugs (NSAIDs) such as ibuprofen, naproxen, salicylic acid, ketoprofen, and diclofenac and pharmaceutically acceptable salts thereof. Other topical analgesic active agents for treating pain and itch include,  
25 but are not limited to, methyl salicylate, menthol, trolamine salicylate, capsaicin, lidocaine, benzocaine, pramoxine hydrochloride, and hydrocortisone.

### **Ingestible Compositions**

Ingestible compositions, suitable for ingestion by a mammal such as a human,  
30 may be made using the powder of the invention.

In one embodiment, such an ingestible composition contains a safe and effective amount of (i) at least active agent or drug, and (ii) the powder comprising core/shell particles within which or with which the active agent or drug is located.

The active agent may belong to any drug category for any treatment, including as an oral medicine, or may be a nutritional supplement. In one embodiment, the ingestible composition contains, per dosage unit (*e.g.*, powder, capsule, teaspoonful, or the like) an amount of the active agent necessary to deliver a dose effective for  
5 the needed treatment.

In one embodiment, the ingestible composition comprises a hard gelatin capsule filled with the powder of the invention, wherein one or more active agents are loaded into the liquid core, the shell, and/or outside the powder but inside the hard shell gelatin capsule. In one embodiment, the composition is in unit dosage  
10 form such as unit-packaged capsules, powders, or granules.

In another embodiment, an ingestible composition comprises two or more powders of the invention each containing an active agent loaded into the liquid core or shell of each powder. This composition is particularly suitable for active agents that are chemically incompatible.

15 Ingestible compositions comprising active agents contained in powders of the invention are advantageous in that: (a) some or all of the active agent may be dissolved in the liquid core of the core/shell particles, thus enabling faster gastrointestinal absorption in comparison to solid dosage forms such as tablets, dry powders, or conventional dry particle-filled hard gelatin capsules; and (b) chemically  
20 incompatible active agents may be dissolved in separate powders to avoid undesirable chemical interaction/reactions but still provide the convenience and safety of a single product (such as in a hard gelatin capsule) to the patient.

Exemplary treatments using ingestible compositions containing a powder of the invention and active agents include the following.

25 (a) Gastro-intestinal Disorder Treatment

In one embodiment, the ingestible compositions according to the invention are used for the treatment of gastrointestinal disorders, such as ulcers, diarrhea, and gastrointestinal pain.

30 Active agents for treating diarrhea include, but are not limited to: bismuths (such as Bismuth Subsalicylate), Loperamide, Simethicone, Nitazoxanide, Ciprofloxacin, and Rifaximin, salts and prodrugs (such as esters) thereof.

Active agents for treating gastric ulcers include, but are not limited to: Lansoprazole, Naproxen, Esomeprazole, Famotidine, Nizatidine, Ranitidine, and Omeprazole, and salts and prodrugs thereof.

Active agents for treating intra-abdominal infections include, but are not limited to: Moxifloxacin, Ciprofloxacin, Ceftazidime, Gentamicin, Ertapenem; Cefepime, Cefoxitin, Cilastatin, Imipenem; Ceftriaxone, Clavulanate, and Ticarcillin, and salts and prodrugs thereof.

(b) Pain or Cough Treatment with Ingestible Compositions

In one embodiment, ingestible compositions according to the invention are used for treatment of pain (such as throat pain). Oral dosage forms for this purpose can be in the form of, but not limited to, hard gelatin capsules, lozenges, or spray powder. Active agents known to treat sore throat, include, but are not limited to: Acetaminophen, Dextromethorphan, Pseudoephedrine, Chlorpheniramine, Pseudoephedrine, Guaifenesin, Doxylamine, Zinc, and Ibuprofen, and salts and prodrugs thereof.

(c) Oral Supplement and Medical Food Ingestible Compositions

In one embodiment, ingestible compositions according to the invention, such as hard gelatin capsules or powder dosage form, are used for oral supplement products. Active agents for such purpose include vitamins and minerals, which include, but are not limited to: Dibasic Calcium Phosphate, Magnesium Oxide, Potassium Chloride, Microcrystalline Cellulose, Ascorbic Acid (Vit. C), Ferrous Fumarate, Calcium Carbonate, dl-Alpha Tocopheryl Acetate (Vit. E), Acacia, Ascorbyl Palmitate, Beta Carotene, Biotin, BHT, Calcium Pantothenate, Calcium Stearate, Chromic Chloride, Citric Acid, Crospovidone, Cupric Oxide, Cyanocobalamin (Vit. B 12 ), Ergocalciferol (Vit. D), Folic Acid, Gelatin, Hypromellose, Lutein, Lycopene, Magnesium Borate, Magnesium Stearate, Manganese Sulfate, Niacinamide, niacin, Nickelous Sulfate, Phytonadione (Vit. K), Potassium Iodide, Pyridoxine Hydrochloride (Vit. B 6 ), Riboflavin (Vit. B 2 ), Silicon Dioxide, Sodium Aluminum Silicate, Sodium Ascorbate, Sodium Benzoate, Sodium Borate, Sodium Citrate, Sodium Metavanadate, Sodium Molybdate, Sodium Selenate, Sorbic Acid, Stannous Chloride, Sucrose, Thiamine Mononitrate (Vit. B 1 ), Titanium Dioxide, Tribasic Calcium Phosphate, Vitamin A Acetate (Vit. A), and Zinc Oxide., and salts and prodrugs thereof.

For ingestible compositions comprising the powder of the invention, hydrophobic fumed silica, AEROSIL 972 Pharma, from EVONIK DEGUSSA CORPORATION, is particularly suitable for use as the hydrophobic particles of the shell.

5

### EXAMPLES

Examples are set forth below to further illustrate the nature of the invention and the manner of carrying it out. However, the invention should not be considered as being limited to the details thereof.

10

#### Example 1. Preparation of 5% Minoxidil Powder

A powder of total weight of 200 g was made following the procedures and composition below (in weight %):

15

1. 5% of citric acid, 82% of glycerin, and 5% of minoxidil powder were added to a glass container and mixed until the solution was clear at room temperature.
2. The above liquid mixture was added into a high speed blender (e.g. Oster Blender model BCBG08). 8% of fumed silica (e.g. AEROSIL R812S, Evonik Degussa) was added at room temperature and the mixture was blended at the highest setting for about 10-20 seconds, yielding an off-white powder.

20

25

Example 2 - In vitro skin permeation of 5% minoxidil compositions through human cadaver skin.

A skin penetration study evaluated the penetration of minoxidil into different skin layers for the inventive cream powder sample prepared as disclosed in Example 1 vs. a commercially available 5% minoxidil solution sample (Men's Rogaine Extra Strength Hair Regrowth Treatment, 5% Minoxidil solution)

30

A well-known Franz diffusion cell method (as taught in US20020006418 A1) was used. Franz cells had a diameter of 0.5 cm<sup>2</sup> and a volume of liquid receptor of 5 ml. A magnetic stirrer bar was added in the donor compartment. The liquid receptor was filled with Phosphate-buffered saline (PBS) solution. Air bubbles in the donor compartment were removed. The system was thermostated at 37 °C above a magnetic stirrer to ensure the homogeneity of the liquid receptor during the experiment. A cadaver skin sample from a commercial tissue bank (Ohio Valley Tissue and Skin Center, Cincinnati, OH, dermatomed to approximately 0.4 mm) was cut to fit the glass diffusion cell and mounted skin on the Franz cell. A test sample of 20 microliters was applied on the skin surface. Samples were collected from the receptor compartment at scheduled time points of 0, 1, 3 and 6 hours.

At the end of the study the skin surface was washed with a cotton swab of liquid receptor (PBS). After washing, skin extraction was performed either on full skin or on separated skin layers of epidermis and dermis. Samples collected from the receptor compartment and from the skin extraction were analyzed for minoxidil levels with a Waters High-performance liquid chromatography (HPLC) system with the procedure listed below. The results are shown in Table 1. The final average minoxidil levels in different skin layers are reported in micrograms (µg) for 3 different replicates. A minoxidil mass balance study was also conducted and the % of recovery of minoxidil was better than 95% for both the control and the inventive formulation.

Table 1

	Time (hr)	Commercial 5% Minoxidil Solution (microgram)	Powder-to-liquid 5% Minoxidil Composition Example 1 (microgram)	Ratio of Minoxidil: Powder-to-liquid/Commercial solution
Cumulative Minoxidil in Receptor	0	0.0	0.0	-
	3	26.5	37.8	1.4
	6	48.5	92.1	1.9
Dermis	6	8.7	17.5	2.0
Epidermis	6	14.8	18.9	1.3
Tapes	6	18.5	13.5	-
Wash	6	737.0	629.9	-
% Recovered	6	95.5	95.3	-

Because the target tissue for topical minoxidil delivery is the hair follicles (hair "roots") residing deep in the dermis, only minoxidil that penetrated into and cross the dermis layer could reach the hair follicles, and therefore, are of practical significance. It is surprising that the powder-to-liquid composition of the present invention has enhanced minoxidil delivery deep into the human skin significantly (i.e., by about 100% into the dermis which is where the hair bulb is located) in comparison to the commercial minoxidil solution of the same drug concentration, as demonstrated by the results in Table 1. This is an unexpected finding since the commercial minoxidil solution contains significant amount of two well known skin permeation enhancers, ethanol (30%) and propylene glycol (50%) whereas the powder-to-liquid composition of the present invention contains none of these skin permeation enhancers, but only glycerin. Glycerin is not generally regarded as a skin permeation enhancer.

#### HPLC Procedure for Minoxidil Quantification

A HPLC System (Waters Alliance® HPLC system) was used to measure minoxidil with UV absorption response at 286 nm. A Luna 5µM C18(2) 250 x 4.6-mm HPLC column (Phenomenex) was used to separate the minoxidil analyte from

other impurities in the extract samples for surface rinse, stripped tape, epidermis, dermis, and receptor solution. The mobile phase was an isocratic 80% (70:29:1 water /methanol/acetic acid – pH 3.3); 20% methanol.

5

### Example 3. Mice hair growth study

#### Procedure

An in vivo hair growth study was conducted in a mouse model similar to that described in US6419913 B1. Five female mice (C3H mice, Charles River Breeding  
10 Laboratories, Kingston, N.Y) were included for each test article. The mice were shaved with a short hair clipper to hairless on their back (2 x 5cm<sup>2</sup> area) at the start of the study. The test articles were applied to the shaved areas of the mice daily at 0.2 ml per dose. Both the hair anagen phase and the hair coverage were observed visually and recorded daily for each mouse's hair condition (Telogen phase: resting  
15 phase in hair growth cycle - shaved skin shows no dark hair bulbs/roots; Anagen phase: anagen follicles, i.e. follicles in the growth state of the hair growth cycle - shaved skin shows dark hair bulbs/roots)

As shown in Table 2, the composition according to the present invention  
20 resulted in hair follicles turning from resting state to growth state in about four days before the commercially available composition.

As shown in Table 3, the composition according to the present invention  
25 started growing hair sooner and grew more hair than the commercially available composition.

Table 2 - Anagen Phase Log

Duration after treatment	Untreated	Benchmark (5% Solution)	Powder-to Cream (Example 1)
Day 1	Telogen	Telogen	Telogen
Day 2	Telogen	Telogen	Anagen
Day 3	Telogen	Telogen	Anagen
Day 6	Telogen	Anagen	Anagen
Week 2	Telogen	Anagen	Anagen
Week 3	Telogen	Anagen	Anagen
Week 4	Telogen	Anagen	Anagen
Week 5	Telogen	Anagen	Anagen
Week 6	Telogen	Anagen	Anagen

## Hair Coverage Scoring System

- 5    Grading      Description
- 0      No hair at all
- 1      A few patches of hair growth, less than  $\frac{1}{4}$  of the dorsal area
- 2      Hair growth covering about  $\frac{1}{4}$  of the dorsal area
- 3      Hair growth covering about  $\frac{1}{2}$  of the dorsal area
- 10    4      Hair growth covering more than  $\frac{3}{4}$  of the dorsal area
- 5      5      Hair growth completely covering treatment area

15

Table 3 - Hair Coverage Score Table for Mice Shaved Hair (n=5 per cell at the study start)

Weeks	Untreated		Benchmark (5% minoxidil Solution)		5% Minoxidil Powder-to-Cream (Example 1)	
	Individual Score	Average Score	Individual Score	Average Score	Individual Score	Average Score
Wk 1	0,0,0	0	0	0	1,1,1,1,1	1
WK2	0,0,0	0	1,1,1,1,1	1	1,1,1,1,1	1
Wk3	0,0,0	0	1,1,1,1,1	1	1,1,1,1,1	1
Wk4	0,0,0	0	1,1,1,1,1	1	1,1,2,2,2	1.6
Wk5	0,0,	0	1,1,1,1,1	1	2,2,2,2,2	2
Wk6	0,0,0	0	1,2,3*	2	1,2,2,4,4	2.6
WK7	1,1,1	1	1,4,4*	3	3,4,5,5,5	4.4

\*Two test mice were sacrificed after Week 5 evaluation for tissue histology

#### 5 Example 4 – Ibuprofen Powder

Preparation of 200 grams of respective ibuprofen containing Formula A and Formula B were made with the compositions shown in Table 4 below, using the process described in Example 1. Although these powder compositions of powder-to-liquid were made initially, the powders of these compositions began to stick to each other and formed aggregates after one day, indicating these powder-to-liquid compositions were not stable as free-flowing powder due to the presence of too much nonpolar components (DMI and/or Neutrol TE, in addition to the drug ibuprofen) in the liquid core.

15

Table 4. (In Parts)

Chemical Name	Formula A	Formula B
Ibuprofen	5	5
Glycerol	72	76
Dimethylisorbide (DMI)	5	0
Tetrahydroxypropyl Ethylenediamine (NEUTROL TE)	10	11
Fumed hydrophobic silica (AEROSIL R812S)	8	8
Total:	100	100

Preparation of 215 grams of respective ibuprofen containing Formula C and Formula D were made with the compositions shown in Table 5 below, using the process described in Example 1. In contrast to Formulas A & B, the structures of the powder of powder-to-liquid Formulas C and D remained stable with excellent free-flowing property.

Table 5. (In Parts)

Chemical Name	Formula C	Formula D
Ibuprofen	5	5
Glycerol	90	83
Sodium Hydroxide (50% w/w in water)	2	2
Water	3	10
Fumed hydrophobic silica (AEROSIL R812S)	7.5	7.5
Total:	107.5	107.5

10

While the invention has been described above with reference to specific embodiments thereof, it is apparent that many changes, modifications, and variations can be made without departing from the inventive concept disclosed herein. Accordingly, it is intended to embrace all such changes, modifications, and variations that fall within the spirit and broad scope of the appended claims.

15

CLAIMS:

1. A powder comprising core/shell particles having an average particle size of less than 1000 microns, each particle comprising:
  - a liquid core that is substantially free of water and comprises
    - 5 A) a polar liquid having a percent surface polarity of at least 24%,
    - B) a basic active agent, wherein the basic active agent is selected from the group consisting of minoxidil, finasteride, lumigan and mixtures thereof, and
    - 10 C) from about 0.1% to about 20% by weight of at least one acidic solubility enhancing agent that is not an active ingredient; and
  - a shell comprising hydrophobic particles.
2. The powder of claim 1, wherein the hydrophobic particles comprise hydrophobic fumed silica.
3. The powder of claim 1 or 2, wherein the polar liquid comprises a polyol
  - 15 selected from the group consisting of glycerols, polyglycerols, glycols, polyglycols, and mixtures thereof.
4. The powder of claim 3, wherein the polyol is selected from the group consisting of glycerol, diglycerol, triglycerol, tetraglycerol, polyglycerols having more than 4 glycerol groups, and mixtures thereof.
- 20 5. The powder of claim 3, wherein the polar liquid comprises at least 50 percent by weight of a glycerol, polyglycerol, or mixture thereof.
6. The powder of any one of claims 1 to 5, wherein the basic active agent comprises from about 0.001% to about 20% by weight of the powder.
7. The powder of claim 6, wherein the basic active agent comprises from
  - 25 about 0.01% to about 10% by weight of the powder.

8. The powder of claim 7, wherein the basic active agent comprises from about 0.1% to about 5% by weight of the powder.
9. The powder of any one of claims 1 to 8, wherein the acidic solubility enhancer is selected from the group consisting of hydrochloric acid, phosphoric acid, nitric acid, acetic acid, acrylic acid, adipic acid, alginic acid, alkanesulfonic acid, an amino acid, ascorbic acid, benzoic acid, boric acid, butyric acid, carbonic acid, citric acid, a fatty acid, formic acid, fumaric acid, gluconic acid, glycolic acid, hydroquinosulfonic acid, isoascorbic acid, lactic acid, malic acid, mandelic acid, maleic acid, methanesulfonic acid, oxalic acid, parabromophenylsulfonic acid, propionic acid, p-toluenesulfonic acid, salicylic acid, stearic acid, succinic acid, tannic acid, tartaric acid, thioglycolic acid, toluenesulfonic acid, uric acid and mixtures thereof.
10. The powder of any one of claims 1 to 9, wherein the basic active agent is minoxidil.
11. The powder of any one of claims 1 to 10, wherein the acidic solubility enhancer is in an amount from about 1% to about 5% by weight of the powder.
12. A method for enhancing the topical application of a basic active agent, wherein the basic active agent is selected from the group consisting of minoxidil, finasteride, lumigan and mixtures thereof, which comprises topically administering to a human or animal the powder composition according to any one of claims 1 to 11.