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(54) Title: ASSESSING TRANSPLANT COMPLICATION RISK WITH TOTAL CELL-FREE DNA

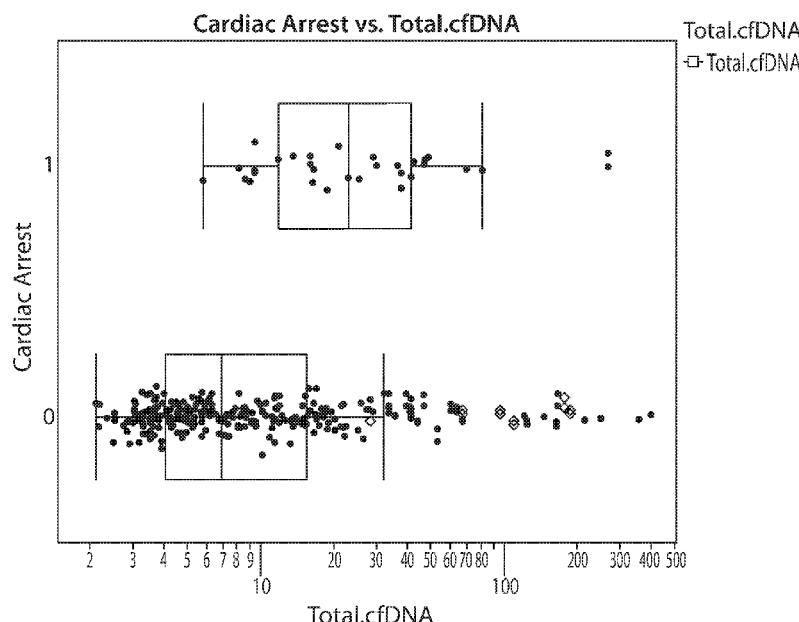


Fig. 4

(57) Abstract: This invention relates to methods and compositions for assessing an amount of total cell-free DNA, such as from a transplant subject. The methods and composition provided herein can be used to determine risk of complications following transplantation, including infection, cardiac arrest, and death, in a subject.

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## **ASSESSING TRANSPLANT COMPLICATION RISK WITH TOTAL CELL-FREE DNA**

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### **RELATED APPLICATIONS**

This application claims the benefit of priority under 35 U.S.C. § 119 to U.S. Provisional Application No. 62/522,533, filed June 20, 2017 and U.S. Provisional Application No. 62/572,556, filed October 15, 2017, the entire contents of each of which are incorporated herein by reference.

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### **FIELD OF THE INVENTION**

This invention relates to methods and compositions for assessing an amount of total cell-free nucleic acids in a sample from a transplant subject. Such amounts can be used to determine risk of one or more complications associated with transplantation. This invention 15 further relates to methods and compositions for assessing the amount of total cell-free deoxyribonucleic acid (cf-DNA) using assays such as multiplexed optimized mismatch amplification (MOMA) and/or sequencing techniques for the assessment of the risk of transplant complications.

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### **SUMMARY OF INVENTION**

The present disclosure is based, at least in part on the surprising discovery that risk of complications following transplantation, such as organ transplantation, is correlated with the amount of total cell-free DNA. Using any one of a variety of means to quantify total cell-free DNA in a sample, the risk of transplant complications, including infection, cardiac arrest, and 25 death can be determined as well as monitored over time.

Provided herein are methods, compositions and kits related to such a determination. The methods, compositions, or kits can be any one of the methods, compositions, or kits, respectively, provided herein, including any one of those of the **Examples** or **Figures**.

In one embodiment of any one of the methods provided, the method further comprises 30 obtaining a sample from the subject.

In one embodiment, any one of the embodiments for the methods provided herein can be an embodiment for any one of the compositions, kits or reports provided. In one embodiment, any one of the embodiments for the compositions, kits or reports provided herein can be an embodiment for any one of the methods provided herein.

In one aspect, a report or database comprising one or more of the amounts provided herein is provided.

In one aspect, any one of the methods provided herein is provided. In one embodiment of any one of the methods provided herein, the amount indicative of a specific 5 risk or complication is any one of the cutpoints or ranges thereof described herein. In one embodiment of any one of the methods provided herein, the time for obtaining the sample is any one of the times described herein. In one embodiment of any one of the methods provided herein, the subject is any one of the subjects described herein.

In one aspect, a method of treating a subject, determining a treatment regimen for a 10 subject or providing information about a treatment to the subject, based on the amount of total cell-free DNA or any one of the methods of analysis provided herein is provided. In one embodiment of any one of such methods, the method comprises a step of treating the subject or providing information about a treatment to the subject. In one embodiment of any one of the methods of treating, the treatment may be any one of the treatments provided herein. In 15 one embodiment of any one of the methods of treating, the treatment is for any one of the conditions provided herein. Examples of which are provided herein or otherwise known to those of ordinary skill in the art.

In one aspect, any one of the methods provided herein may be a method of treating a transplant subject, such as a cardiac transplant subject.

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## BRIEF DESCRIPTION OF FIGURES

The accompanying figures are not intended to be drawn to scale. The figures are illustrative only and are not required for enablement of the disclosure.

**Fig. 1** provides an exemplary, non-limiting diagram of MOMA primers. In a 25 polymerase chain reaction (PCR) assay, extension of the sequence containing SNV A is expected to occur, resulting in the detection of SNV A, which may be subsequently quantified. Extension of the SNV B; however, is not expected to occur due to the double mismatch.

**Fig. 2** illustrates an example of a computer system with which some embodiments 30 may operate.

**Fig. 3** is a graph depicting the total cell-free DNA (cf-DNA) of different samples and whether or not the subject was undergoing treatment for infection at the time of the sample.

**Fig. 4** is a graph depicting the total cell-free DNA (cf-DNA) of different samples and whether each subject went into cardiac arrest (1) or did not (0).

**Fig. 5** is a graph depicting the total cell-free DNA (cf-DNA) of different samples and whether each subject died (1) or survived (0).

**Fig. 6** is a graph showing the experimental determination of a cutpoint (threshold) for infection using the final sample from each subject (N=88).

5       **Fig. 7** is a graph showing the experimental determination of a cutpoint (threshold) for infection using total cf-DNA and excluding those subjects on mechanical support (N=292).

**Fig. 8** is a graph showing the experimental determination of a cutpoint (threshold) for cardiac arrest using total cf-DNA from 298 samples.

10      **Fig. 9** is a graph showing the experimental determination of a cutpoint (threshold) for cardiac arrest using total cf-DNA from 292 samples. Samples from subjects on mechanical support were excluded from the analysis.

**Fig. 10** is a graph showing the experimental determination of a cutpoint (threshold) for death using total cf-DNA from 298 samples.

15      **Fig. 11** is a graph showing the experimental determination of a cutpoint (threshold) for death using total cf-DNA. Samples from subjects on mechanical support were excluded from the analysis.

**Fig. 12** is a graph showing the experimental determination of a cutpoint (threshold) for death using total cf-DNA from the final sample from each subject (N=88).

20      **Fig. 13** is a graph showing the experimental determination of a cutpoint (threshold) for infection using total cf-DNA from 298 samples.

**Fig. 14** is a graph showing the experimental determination of a cutpoint (threshold) for cardiac arrest using total cf-DNA from the final sample of each subject (N=88).

**Fig. 15** is a table showing the experimental determination of a cutpoint (threshold) for death using total cf-DNA from 85 samples.

25      **Fig. 16** is a graphical representation of the results of **Fig. 15**, showing the experimental determination of a cutpoint (threshold) for death using total cf-DNA from the 85 samples.

**Fig. 17** is a table showing the experimental determination of a cutpoint (threshold) for cardiac arrest using total cf-DNA from 85 samples.

30      **Fig. 18** is a graphical representation of the results of **Fig. 17**, showing the experimental determination of a cutpoint (threshold) for cardiac arrest using total cf-DNA from the 85 samples.

**Fig. 19** is a table showing the experimental determination of a cutpoint (threshold) for infection (i.e., whether the subject was undergoing treatment for infection at the time of the sample) using total cf-DNA from 292 samples.

**Fig. 20** is a graphical representation of the results of **Fig. 19**, showing the 5 experimental determination of a cutpoint (threshold) for infection (i.e., whether the subject was undergoing treatment for infection at the time of the sample) using total cf-DNA from the 292 samples.

## DETAILED DESCRIPTION OF THE INVENTION

10 It has been found that total cell-free DNA (total cf-DNA) is correlated with transplant complications and can be used to assess and/or monitor a subject as a result. Complications include, but are not limited to, infection, cardiac arrest, and/or death. Therefore, aspects of the disclosure relate, at least in part, to methods of quantifying total cf-DNA in a sample in order to assess or determine a transplant complication or risk associated therewith. In some 15 embodiments, the subject may be on mechanical support (e.g., a ventilator) and can be monitored with any one of the methods provided herein.

As used herein, “cell-free DNA” (or “cf-DNA”) is DNA that is present outside of a cell, e.g., in the blood, plasma, serum, urine, etc. of a subject. Without wishing to be bound by any particular theory or mechanism, it is believed that cf-DNA is released from cells, e.g., 20 via apoptosis of the cells. “Total cell-free DNA” (or “total cf-DNA”) is the amount of cf-DNA present in a sample, and can include both donor and recipient cf-DNA when assessing a sample from a transplant recipient. As used herein, the compositions and methods provided herein can be used to determine an amount of total cell-free DNA and a subject’s risk of complications associated with a transplant.

25 Provided herein are methods and compositions that can be used to measure total cf-DNA, which may then be used to assess the subject’s risk of complications associated with a transplant. As used herein, “transplant” refers to the moving of an organ or tissue from a donor to a recipient for the purpose of replacing the recipient’s damaged or absent organ or tissue. Any one of the methods or compositions provided herein may be used on a sample 30 from a subject that has undergone a transplant of an organ or tissue. In some embodiments, the transplant is a heart transplant.

Importantly, amounts of total cf-DNA can be used to assess or determine a risk of a transplant complication. Transplant complications include, cardiac arrest, infection and death. As provided herein, any one of the methods can be used to assess a subject that has or

is suspected of having a transplant complication. As used herein, “suspected of having” refers to a subject whereby a clinician believes there is a likelihood the subject has a specific condition, such as a transplant complication. In one embodiment of any one of the methods provided herein, the subject may be one that has a transplant complication or that a clinician 5 believes there is a likelihood of having a transplant complication. In some embodiments, any one of the methods can be used to assess a subject that has had or is at risk of having a transplant complication. Subjects may be suspected of having, determined to have had, or determined to have a likelihood or risk of having a transplant complication based on symptoms (and/or lack thereof). However, in some embodiments, the subject is suspected of 10 having, determined to have had, or determined to have a likelihood or risk of having a transplant complication based on one or more other tests. In such an embodiment, the methods provided herein can be used to confirm such a finding or monitor such a subject for worsening or improving condition.

A subject may be assessed by determining or obtaining one or more amounts of total 15 cf-DNA. An amount of total cf-DNA may be determined with experimental techniques, such as those provided elsewhere herein. “Obtaining” as used herein refers to any method by which the respective information or materials can be acquired. Thus, the respective information can be acquired by experimental methods. Respective materials can be created, designed, etc. with various experimental or laboratory methods, in some embodiments. The 20 respective information or materials can also be acquired by being given or provided with the information, such as in a report, or materials. Materials may be given or provided through commercial means (i.e. by purchasing), in some embodiments.

Because of the ability to determine amounts of nucleic acids, such as cf-DNA, and the correlation with transplant complications, the methods and compositions provided herein can 25 be used to assess subjects. Thus, a risk of improving or worsening condition can be determined in such subjects. A “risk” as provided herein, refers to the presence or absence or progression of any undesirable condition in a subject, or an increased likelihood of the presence or absence or progression of such a condition. As provided herein “increased risk” refers to the presence or progression of any undesirable condition in a subject or an increased 30 likelihood of the presence or progression of such a condition. As provided herein, “decreased risk” refers to the absence of any undesirable condition or progression in a subject or a decreased likelihood of the presence or progression (or increased likelihood of the absence or nonprogression) of such a condition.

As provided herein, early detection or monitoring of transplant complications can facilitate treatment and improve clinical outcomes. As mentioned above, any one of the methods provided can be performed on a subject that has or is suspected of having a transplant complication. Such methods can be used to monitor a subject over time, with or without treatment. Further, such methods can aid in the selection, administration and/or monitoring of a treatment or therapy. Accordingly, the methods provided herein can be used to determine a treatment or monitoring regimen. The subject may be any one of the subjects provided herein. In one embodiment of any one of the methods provided herein, the subject is one that is on mechanical support or that is in need of mechanical support.

“Determining a treatment regimen”, as used herein, refers to the determination of a course of action for treatment of the subject. In one embodiment of any one of the methods provided herein, determining a treatment regimen includes determining an appropriate therapy or information regarding an appropriate therapy to provide to a subject. In some embodiments of any one of the methods provided herein, the determining includes providing an appropriate therapy or information regarding an appropriate therapy to a subject. As used herein, information regarding a treatment or therapy or monitoring may be provided in written form or electronic form. In some embodiments, the information may be provided as computer-readable instructions. In some embodiments, the information may be provided orally.

Treatments include any treatment that is indicated based on the complication risk that is determined. In one embodiment, the treatment is a cardiac arrest treatment. Cardiac arrest treatments include, for example, blood pressure medications, involuntary nervous system blockers, and anti-arrhythmic agents. Further, a subject may be treated with coronary catheterization and/or a cardioverter-defibrillator may be implanted.

In another embodiment, the treatment can be a treatment for infection. In some embodiments, therapies for treating infection include therapies for treating a bacterial, fungal and/or viral infection. Such therapies include antibiotics. Other examples include, but are not limited to, amebicides, aminoglycosides, anthelmintics, antifungals, azole antifungals, echinocandins, polyenes, diarylquinolines, hydrazide derivatives, nicotinic acid derivatives, rifamycin derivatives, streptomyces derivatives, antiviral agents, chemokine receptor antagonist, integrase strand transfer inhibitor, neuraminidase inhibitors, NNRTIs, NS5A inhibitors, nucleoside reverse transcriptase inhibitors (NRTIs), protease inhibitors, purine nucleosides, carbapenems, cephalosporins, glycylcyclines, leprostatics, lincomycin

derivatives, macrolide derivatives, ketolides, macrolides, oxazolidinone antibiotics, penicillins, beta-lactamase inhibitors, quinolones, sulfonamides, and tetracyclines.

Anti-rejection therapies include, for example, immunosuppressives.

Immunosuppressives include, but are not limited to, corticosteroids (e.g., prednisolone or

5 hydrocortisone), glucocorticoids, cytostatics, alkylating agents (e.g., nitrogen mustards (cyclophosphamide), nitrosoureas, platinum compounds, cyclophosphamide (Cytoxan)), antimetabolites (e.g., folic acid analogues, such as methotrexate, purine analogues, such as azathioprine and mercaptopurine, pyrimidine analogues, and protein synthesis inhibitors), cytotoxic antibiotics (e.g., dactinomycin, anthracyclines, mitomycin C, bleomycin, 10 mithramycin), antibodies (e.g., anti-CD20, anti-IL-1, anti-IL-2Ralpha, anti-T-cell or anti-CD-3 monoclonals and polyclonals, such as Atgam, and Thymoglobuline), drugs acting on immunophilins, ciclosporin, tacrolimus, sirolimus, interferons, opioids, TNF-binding proteins, mycophenolate, fingolimod and myriocin. In some embodiments, anti-rejection therapy comprises blood transfer or marrow transplant. Therapies can also include intravenous fluids, 15 antibiotics, surgical drainage, early goal directed therapy (EGDT), vasopressors, steroids, activated protein C, drotrecogin alfa (activated), oxygen and appropriate support for organ dysfunction. This may include hemodialysis in kidney failure, mechanical ventilation in pulmonary dysfunction, transfusion of blood products, and drug and fluid therapy for circulatory failure. Ensuring adequate nutrition—preferably by enteral feeding, but if necessary, by parenteral nutrition—can also be included particularly during prolonged illness. Other associated therapies can include insulin and medication to prevent deep vein 20 thrombosis and gastric ulcers. Other such therapies are known to those of ordinary skill in the art.

Other therapies are known to those of ordinary skill in the art.

25 Administration of a treatment or therapy may be accomplished by any method known in the art (see, e.g., Harrison's Principle of Internal Medicine, McGraw Hill Inc.). Preferably, administration of a treatment or therapy occurs in a therapeutically effective amount. Administration may be local or systemic. Administration may be parenteral (e.g., intravenous, subcutaneous, or intradermal) or oral. Compositions for different routes of 30 administration are known in the art (see, e.g., Remington's Pharmaceutical Sciences by E. W. Martin).

The treatment and clinical course may be determined by the subject's condition as determined as provided herein and/or the subject's associated expected outcome. For

example, if the amount of total cf-DNA is 8 ng/mL or greater, the subject may be treated with, or provided information related thereto, a therapy, such as those described above.

“Determining a monitoring regimen”, as used herein, refers to determining a course of action to monitor a condition in the subject over time. In one embodiment of any one of the methods provided herein, determining a monitoring regimen includes determining an appropriate course of action for determining the amount of total cf-DNA in the subject over time or at a subsequent point in time, or suggesting such monitoring to the subject. This can allow for the measurement of variations in a clinical state and/or permit calculation of normal values or baseline levels (as well as comparisons thereto). In some embodiments of any one of the methods provided herein determining a monitoring regimen includes determining the timing and/or frequency of obtaining samples from the subject and/or determining or obtaining an amount of total cf-DNA.

In some embodiments of any one of the methods provided herein, the total cf-DNA may be detected as soon as 4 days after transplant surgery. In other embodiments, the total cf-DNA may be quantified within 5, 6, 7 or 8 or more days after transplant. In order to monitor the subject’s total cf-DNA levels, samples may be taken at monthly, bimonthly, or at more frequent intervals for up to 6 months, up to 8 months, up to 10 months, up to 12 months, or longer. As increasing levels of total cf-DNA have been found to correlate with increased risk, a clinician may determine that a subject should undergo more frequent sampling if the subject’s total cf-DNA is found to increase between time points. If a subject is found to have decreasing levels of total cf-DNA between time points, a clinician may determine that less frequent sampling is sufficient. Timing and/or frequency of monitoring may also be determined by a comparison to one or more threshold values. For example, if the amount of total cf-DNA is equal to or greater than 8 ng/mL (or any one of the thresholds provided herein) and/or is increasing, more frequent sampling may be needed, whereas, if the amount of total cf-DNA is less than 8 ng/mL (or any one of the thresholds provided herein), and/or is not increasing, less frequent sampling may be required. Generally, subjects with higher or increasing amounts of total cf-DNA require closer monitoring and more frequent sampling. In some embodiments of any one of the methods provided herein, each amount and time point may be recorded in a report or in a database.

Reports with any one or more of the values as provided herein are also provided in an aspect. Reports may be in oral, written (or hard copy) or electronic form, such as in a form that can be visualized or displayed. Preferably, the report provides the amount of total

nucleic acids, such as total cf-DNA, in a sample. In some embodiments, the report provides amounts of total nucleic acids, such as total cf-DNA, in samples from a subject over time.

In some embodiments, the amounts are in or entered into a database. In one aspect, a database with such values is provided. From the amount(s), a clinician may assess the need 5 for a treatment or monitoring of a subject. Accordingly, in any one of the methods provided herein, the method can include assessing the amount of nucleic acids in the subject at more than one point in time. Such assessing can be performed with any one of the methods or compositions provided herein.

As used herein, “amount” refers to any quantitative value for the measurement of 10 nucleic acids and can be given in an absolute or relative amount. Further, the amount can be a total amount, frequency, ratio, percentage, etc. As used herein, the term “level” can be used instead of “amount” but is intended to refer to the same types of values. Generally, unless otherwise provided, the amounts provided herein represent the total cf-DNA in a sample.

In some embodiments, any one of the methods provided herein can comprise 15 comparing an amount of total nucleic acids to a threshold value, or to one or more prior amounts, to identify a subject at increased or decreased risk. In some embodiments of any one of the methods provided herein, a subject having an increased amount of total nucleic acids compared to a threshold value, or to one or more prior amounts, is identified as being at increased risk. In some embodiments of any one of the methods provided herein, a subject 20 having a decreased or similar amount of total nucleic acids compared to a threshold value, or to one or more prior amounts, is identified as being at decreased or not increased risk.

“Threshold” or “threshold value” or “cutpoint”, as used herein, refers to any 25 predetermined level or range of levels that is indicative of the presence or absence of a condition or the presence or absence of a risk. The threshold value can take a variety of forms. It can be single cut-off value, such as a median or mean. It can be established based upon comparative groups, such as where the risk in one defined group is double the risk in another defined group. It can be a range, for example, where the tested population is divided equally (or unequally) into groups, such as a low-risk group, a medium-risk group and a high-risk group, or into quadrants, the lowest quadrant being subjects with the lowest risk and the 30 highest quadrant being subjects with the highest risk. The threshold value can depend upon the particular population selected. For example, an apparently healthy population will have a different ‘normal’ range. As another example, a threshold value can be determined from baseline values before the presence of a condition or risk or after a course of treatment. Such a baseline can be indicative of a normal or other state in the subject not correlated with the

risk or condition that is being tested for. In some embodiments, the threshold value can be a baseline value of the subject being tested. Accordingly, the predetermined values selected may take into account the category in which the subject falls. Appropriate ranges and categories can be selected with no more than routine experimentation by those of ordinary skill in the art. The threshold value of any one of the methods provided herein, can be any one of the threshold values provided herein, such as in the **Examples or Figures**.

The threshold values provided herein can be used to determine a risk of transplant complication in a subject. Accordingly, if the amount of total cf-DNA measured is equal to or greater than 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 or 20 ng/mL, then the subject may 5 be determined to be at increased risk of a complication. For example, an amount equal to or greater than 8 or 9 ng/mL may be indicative of cardiac arrest. As another example, an amount equal to or greater than 20 ng/mL may be indicative of infection. The determination 10 can be done based on any one of the comparisons as provided herein with or without other indicators of such a complication.

15 The threshold values can also be used for comparisons to make treatment and/or monitoring decisions. For example, if the amount of total cf-DNA is greater than one of the thresholds provided herein and/or increasing over time, further monitoring may be indicated. As a further example, if the amount is greater than any one of the thresholds provided herein, treatment of the subject may be indicated. If the amount is greater than any one of the 20 thresholds provided herein, additional testing of the subject, such as with a biopsy may be indicated.

Accordingly, any one of the methods provided herein may further include an additional test(s) for assessing the subject, or a step of suggesting such further testing to the subject (or providing information about such further testing). The additional test(s) may be 25 any one of the methods provided herein. The additional test(s) may be any one of the other methods provided herein or otherwise known in the art as appropriate. The type of additional test(s) will depend upon the condition of the subject and/or is well within the determination of the skilled artisan.

Exemplary additional tests for subjects suspected of infection include, but are not 30 limited to, blood tests, urine tests, throat swabs, and spinal tap.

Exemplary additional tests for subjects, include, but are not limited to, echocardiogram, coronary angiography, intravascular ultrasound (IVUS), biopsy (e.g., endomyocardial biopsy), stress echocardiography, CT coronary angiography, coronary flow reserve assessment (contrast-enhanced echocardiography), stress myocardial perfusion

scintigraphy, positron emission tomography (PET) scanning, and measurement of serum biomarkers, such as BNP and/or troponin.

The amount of total cf-DNA may be determined by a number of methods. In some embodiments such a method is a sequencing-based method. For example, the total cf-DNA 5 may be measured by analyzing the DNA of a sample to identify multiple loci, an allele of each of the loci may be determined, and informative loci may be selected based on the determined alleles. As used herein, “loci” refer to nucleotide positions in a nucleic acid, e.g., a nucleotide position on a chromosome or in a gene. As used herein, “informative loci” refers to a locus where the genotype of the subject is homozygous for the major allele, while 10 the genotype of the donor is homozygous or heterozygous for the minor allele. As used herein, “minor allele” refers to the allele that is less frequent in the population of nucleic acids for a locus. In some embodiments, the minor allele is the nucleotide identity at the locus in the nucleic acid of the donor. A “major allele”, on the other hand, refers to the more frequent allele in a population. In some embodiments, the major allele is the nucleotide 15 identity at the locus in the nucleic acid of the subject.

In some embodiments, the informative loci and alleles can be determined based on prior genotyping of the nucleic acids of the subject and the nucleic acids of the donor. For example, the genotype of the recipient and donor can be compared, and informative loci can be identified as those loci where the recipient is homozygous for a nucleotide identity and the 20 donor is heterozygous or homozygous for a different nucleotide identity. Methods for genotyping are well known in the art and further described herein. In this example, the minor and major allele may be identified by determining the relative quantities of each allele at the informative locus and/or may be identified as the nucleotide identity at the informative locus in the donor DNA (minor allele) and the recipient DNA (major allele). Accordingly, the 25 methods provided can further include a step of genotyping the recipient and donor, or obtaining or being provided with such genotypes.

The DNA may be analyzed using any suitable next generation or high-throughput sequencing and/or genotyping technique. Examples of next generation and high-throughput sequencing and/or genotyping techniques include, but are not limited to, massively parallel 30 signature sequencing, polony sequencing, 454 pyrosequencing, Illumina (Solexa) sequencing, SOLiD sequencing, ion semiconductor sequencing, DNA nanoball sequencing, heliscope single molecule sequencing, single molecule real time (SMRT) sequencing, MassARRAY®, and Digital Analysis of Selected Regions (DANSR™) (see, e.g., Stein RA (1 September 2008). "Next-Generation Sequencing Update". Genetic Engineering & Biotechnology News

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In one embodiment, any one of the methods for determining total cf-DNA may be any 15 one of the methods of U.S. Publication No. 2015-0086477-A1, and such methods are incorporated herein by reference in their entirety.

An amount of total cf-DNA may also be determined by a MOMA assay. In one embodiment, any one of the methods for determining total cf-DNA may be any one of the methods of PCT Publication No. WO 2016/176662 A1, and such methods are incorporated 20 herein by reference in their entirety.

The total cf-DNA may be determined for a plurality of SNV targets. A "plurality of SNV targets" refers to more than one SNV target where for each target there are at least two alleles. In some embodiments, each SNV target is biallelic and a primer pair specific to each allele of the SNV target is used to specifically amplify nucleic acids of each allele, where 25 amplification occurs if the nucleic acid of the specific allele is present in the sample.

In an embodiment of any one of the methods or compositions provided herein, one or more primer pairs for SNV target(s) can be pre-selected based on knowledge that the SNV targets will be informative, such as with knowledge of genotype. In other embodiments of any one of the methods provided herein, the genotype of the donor is unknown. In an 30 embodiment of such cases, the donor genotype may be inferred with an expectation maximization method. As an example, using the known recipient genotype, targets known to be homozygous in the recipient can be selected. Any contaminants can be attributed to donor-specific nucleic acids, and the resulting assay collection will consist of a tri-modal

distribution: non-, half-, and fully-informative assays. With a sufficient number of recipient homozygous assays, the presence of donor fully-informative assays can be inferred.

In another embodiment of any one of the methods or compositions provided herein, primer pairs for a plurality of SNV targets can be selected for the likelihood at least one (or 5 more) may be informative. In such embodiments, primer pairs for a panel of SNV targets are used in any one of the methods provided herein. In some embodiments, the panel of SNV targets is a panel of at least 30, 35, 40, 45, 50, 55, 60, 65, 70, 75, 80, 85, 90, 95 or more possible targets.

As used herein, “an informative SNV target” is one in which amplification with 10 primers as provided herein occurs, and the results of which are informative. “Informative results” as provided herein are the results that can be used to quantify the level of total nucleic acids in a sample. The amount of total nucleic acids may be determined with the quantities of the major and minor alleles in some embodiments.

Primers for use in MOMA assays may be obtained, and any one of the methods 15 provided herein can include a step of obtaining one or more primer pairs for performing the amplification-based quantification assays, such as PCR assays. Generally, the primers possess unique properties that facilitate their use in quantifying amounts of nucleic acids. For example, a forward primer of a primer pair can be mismatched at a 3' nucleotide (e.g., penultimate 3' nucleotide). In some embodiments of any one of the methods or compositions 20 provided, this mismatch is at a 3' nucleotide but adjacent to the SNV position. In some embodiments of any one of the methods or composition provided, the mismatch positioning of the primer relative to a SNV position is as shown in **Fig. 1**. Generally, such a forward primer, even with the 3' mismatch, will produce an amplification product (in conjunction with a suitable reverse primer) in an amplification reaction, such as a PCR reaction, thus 25 allowing for the amplification and resulting detection of a nucleic acid with the respective SNV. If the particular SNV is not present, and there is a double mismatch with respect to the other allele of the SNV target, an amplification product will generally not be produced.

Preferably, in some embodiments of any one of the methods or compositions provided herein, for each SNV target, a primer pair is obtained whereby specific amplification of each allele 30 can occur without amplification of the other allele(s). “Specific amplification” refers to the amplification of a specific allele of a target without substantial amplification of another nucleic acid or without amplification of another nucleic acid sequence above background or noise. In some embodiments, specific amplification results only in the amplification of the specific allele.

In some embodiments of any one of the methods or compositions provided herein, for each SNV target that is biallelic, there are two primer pairs, each specific to one of the two alleles and thus have a single mismatch with respect to the allele it is to amplify and a double mismatch with respect to the allele it is not to amplify (if nucleic acids of these alleles are present). In some embodiments of any one of the methods or compositions provided herein, the mismatch primer is the forward primer. In some embodiments of any one of the methods or compositions provided herein, the reverse primer of the two primer pairs for each SNV target is the same.

These concepts can be used in the design of primer pairs for any one of the methods and compositions provided herein. It should be appreciated that the forward and reverse primers are designed to bind opposite strands (e.g., a sense strand and an antisense strand) in order to amplify a fragment of a specific locus of the template. The forward and reverse primers of a primer pair may be designed to amplify a nucleic acid fragment of any suitable size to detect the presence of, for example, an allele of a SNV target according to the disclosure. Any one of the methods provided herein can include one or more steps for obtaining one or more primer pairs as described herein.

It should be appreciated that the primer pairs described herein may be used in a multiplex amplification-based quantification assay, such as a PCR assay. Accordingly, in some embodiments of any one of the methods or compositions provided herein, the primer pairs are designed to be compatible with other primer pairs in a PCR reaction. For example, the primer pairs may be designed to be compatible with at least 1, at least 2, at least 3, at least 4, at least 5, etc. other primer pairs in a PCR reaction. As used herein, primer pairs in a PCR reaction are “compatible” if they are capable of amplifying their target in the same PCR reaction. In some embodiments, primer pairs are compatible if the primer pairs are inhibited from amplifying their target DNA by no more than 1%, no more than 2%, no more than 3%, no more than 4%, no more than 5%, no more than 10%, no more than 15%, no more than 20%, no more than 25%, no more than 30%, no more than 35%, no more than 40%, no more than 45%, no more than 50%, or no more than 60% when multiplexed in the same PCR reaction. Primer pairs may not be compatible for a number of reasons including, but not limited to, the formation of primer dimers and binding to off-target sites on a template that may interfere with another primer pair. Accordingly, the primer pairs of the disclosure may be designed to prevent the formation of dimers with other primer pairs or limit the number of off-target binding sites. Exemplary methods for designing primers for use in a multiplex PCR assay are known in the art or otherwise described herein.

In some embodiments, the primer pairs described herein are used in a multiplex amplification-based quantification assay, such as a PCR assay, to quantify an amount of total nucleic acids. Accordingly, in some embodiments of any one of the methods or compositions provided herein, the primer pairs are designed to detect genomic regions that are diploid, 5 excluding primer pairs that are designed to detect genomic regions that are potentially non-diploid. In some embodiments of any one of the methods or compositions provided herein, the primer pairs used in accordance with the disclosure do not detect repeat-masked regions, known copy-number variable regions, or other genomic regions that may be non-diploid.

In some embodiments of any one of the methods provided herein, the amplification-based quantitative assay is any quantitative assay, such as whereby nucleic acids are amplified and the amounts of the nucleic acids can be determined. Such assays include those whereby nucleic acids are amplified with the MOMA primers as described herein and quantified. Such assays include simple amplification and detection, hybridization techniques, separation technologies, such as electrophoresis, next generation sequencing and the like.

15 In some embodiments of any one of the methods provided herein the PCR is quantitative PCR meaning that amounts of nucleic acids can be determined. Quantitative PCR include real-time PCR, digital PCR, TAQMANTM, etc. In some embodiments of any one of the methods provided herein the PCR is “real-time PCR”. Such PCR refers to a PCR reaction where the reaction kinetics can be monitored in the liquid phase while the 20 amplification process is still proceeding. In contrast to conventional PCR, real-time PCR offers the ability to simultaneously detect or quantify in an amplification reaction in real time. Based on the increase of the fluorescence intensity from a specific dye, the concentration of the target can be determined even before the amplification reaches its plateau.

The use of multiple probes can expand the capability of single-probe real-time PCR. 25 Multiplex real-time PCR uses multiple probe-based assays, in which each assay can have a specific probe labeled with a unique fluorescent dye, resulting in different observed colors for each assay. Real-time PCR instruments can discriminate between the fluorescence generated from different dyes. Different probes can be labeled with different dyes that each have unique emission spectra. Spectral signals are collected with discrete optics, passed through a 30 series of filter sets, and collected by an array of detectors. Spectral overlap between dyes may be corrected by using pure dye spectra to deconvolute the experimental data by matrix algebra.

A probe may be useful for methods of the present disclosure, particularly for those methods that include a quantification step. Any one of the methods provided herein can

include the use of a probe in the performance of the PCR assay(s), while any one of the compositions or kits provided herein can include one or more probes. Importantly, in some embodiments of any one or more of the methods provided herein, the probe in one or more or all of the PCR quantification assays is on the same strand as the mismatch primer and not on the opposite strand. It has been found that in so incorporating the probe in a PCR reaction, additional allele specific discrimination can be provided.

As an example, a TAQMANTM probe is a hydrolysis probe that has a FAMTM or VIC® dye label on the 5' end, and minor groove binder (MGB) non-fluorescent quencher (NFQ) on the 3' end. The TAQMANTM probe principle generally relies on the 5'-3' exonuclease activity of Taq® polymerase to cleave the dual-labeled TAQMANTM probe during hybridization to a complementary probe-binding region and fluorophore-based detection. TAQMANTM probes can increase the specificity of detection in quantitative measurements during the exponential stages of a quantitative PCR reaction.

PCR systems generally rely upon the detection and quantitation of fluorescent dyes or reporters, the signal of which increase in direct proportion to the amount of PCR product in a reaction. For example, in the simplest and most economical format, that reporter can be the double-stranded DNA-specific dye SYBR® Green (Molecular Probes). SYBR® Green is a dye that binds the minor groove of double-stranded DNA. When SYBR® Green dye binds to a double-stranded DNA, the fluorescence intensity increases. As more double-stranded amplicons are produced, SYBR® Green dye signal will increase.

It should be appreciated that the PCR conditions provided herein may be modified or optimized to work in accordance with any one of the methods described herein. Typically, the PCR conditions are based on the enzyme used, the target template, and/or the primers. In some embodiments, one or more components of the PCR reaction is modified or optimized. Non-limiting examples of the components of a PCR reaction that may be optimized include the template DNA, the primers (e.g., forward primers and reverse primers), the deoxynucleotides (dNTPs), the polymerase, the magnesium concentration, the buffer, the probe (e.g., when performing real-time PCR), the buffer, and the reaction volume.

In any of the foregoing embodiments, any DNA polymerase (enzyme that catalyzes polymerization of DNA nucleotides into a DNA strand) may be utilized, including thermostable polymerases. Suitable polymerase enzymes will be known to those skilled in the art, and include E. coli DNA polymerase, Klenow fragment of E. coli DNA polymerase I, T7 DNA polymerase, T4 DNA polymerase, T5 DNA polymerase, Klenow class polymerases, Taq polymerase, Pfu DNA polymerase, Vent polymerase, bacteriophage 29, REDTaq™

Genomic DNA polymerase, or sequenase. Exemplary polymerases include, but are not limited to *Bacillus stearothermophilus* pol I, *Thermus aquaticus* (Taq) pol I, *Pyrococcus furiosus* (Pfu), *Pyrococcus woesei* (Pwo), *Thermus flavus* (Tfl), *Thermus thermophilus* (Tth), *Thermus litoris* (Tli) and *Thermotoga maritime* (Tma). These enzymes, modified versions of these enzymes, and combination of enzymes, are commercially available from vendors including Roche, Invitrogen, Qiagen, Stratagene, and Applied Biosystems. Representative enzymes include PHUSION® (New England Biolabs, Ipswich, MA), Hot MasterTaq™ (Eppendorf), PHUSION® Mpx (Finnzymes), PyroStart® (Fermentas), KOD (EMD Biosciences), Z-Taq (TAKARA), and CS3AC/LA (KlenTaq, University City, MO).

10        Salts and buffers include those familiar to those skilled in the art, including those comprising MgCl<sub>2</sub>, and Tris-HCl and KCl, respectively. Typically, 1.5-2.0nM of magnesium is optimal for Taq DNA polymerase, however, the optimal magnesium concentration may depend on template, buffer, DNA and dNTPs as each has the potential to chelate magnesium. If the concentration of magnesium [Mg<sup>2+</sup>] is too low, a PCR product may not form. If the 15 concentration of magnesium [Mg<sup>2+</sup>] is too high, undesired PCR products may be seen. In some embodiments the magnesium concentration may be optimized by supplementing magnesium concentration in 0.1mM or 0.5mM increments up to about 5 mM.

20        Buffers used in accordance with the disclosure may contain additives such as surfactants, dimethyl sulfoxide (DMSO), glycerol, bovine serum albumin (BSA) and polyethylene glycol (PEG), as well as others familiar to those skilled in the art. Nucleotides are generally deoxyribonucleoside triphosphates, such as deoxyadenosine triphosphate (dATP), deoxycytidine triphosphate (dCTP), deoxyguanosine triphosphate (dGTP), and deoxythymidine triphosphate (dTTP), which are also added to a reaction adequate amount for amplification of the target nucleic acid. In some embodiments, the concentration of one or 25 more dNTPs (e.g., dATP, dCTP, dGTP, dTTP) is from about 10 µM to about 500µM which may depend on the length and number of PCR products produced in a PCR reaction.

25        In some embodiments, the concentration of primers used in the PCR reaction may be modified or optimized. In some embodiments, the concentration of a primer (e.g., a forward or reverse primer) in a PCR reaction may be, for example, about 0.05 µM to about 1 µM. In 30 particular embodiments, the concentration of each primer is about 1 nM to about 1 µM. It should be appreciated that the primers in accordance with the disclosure may be used at the same or different concentrations in a PCR reaction. For example, the forward primer of a primer pair may be used at a concentration of 0.5 µM and the reverse primer of the primer pair may be used at 0.1 µM. The concentration of the primer may be based on factors

including, but not limited to, primer length, GC content, purity, mismatches with the target DNA or likelihood of forming primer dimers.

In some embodiments, the thermal profile of the PCR reaction is modified or optimized. Non-limiting examples of PCR thermal profile modifications include

5 denaturation temperature and duration, annealing temperature and duration and extension time.

The temperature of the PCR reaction solutions may be sequentially cycled between a denaturing state, an annealing state, and an extension state for a predetermined number of cycles. The actual times and temperatures can be enzyme, primer, and target dependent. For 10 any given reaction, denaturing states can range in certain embodiments from about 70 °C to about 100 °C. In addition, the annealing temperature and time can influence the specificity and efficiency of primer binding to a particular locus within a target nucleic acid and may be important for particular PCR reactions. For any given reaction, annealing states can range in certain embodiments from about 20 °C to about 75 °C. In some embodiments, the annealing 15 state can be from about 46 °C to 64°C. In certain embodiments, the annealing state can be performed at room temperature (e.g., from about 20 °C to about 25 °C).

Extension temperature and time may also impact the allele product yield. For a given enzyme, extension states can range in certain embodiments from about 60 °C to about 75 °C.

Quantification of the amounts of the alleles from a PCR assay can be performed as 20 provided herein or as otherwise would be apparent to one of ordinary skill in the art. As an example, amplification traces are analyzed for consistency and robust quantification. Internal standards may be used to translate the cycle threshold to amount of input nucleic acids (e.g., DNA). The amounts of alleles can be computed as the mean of performant assays and can be adjusted for genotype.

25 Other methods for determining total cell-free DNA in a sample are known in the art. In some embodiments of any one of the methods provided herein, the total cell-free DNA is determined with TAQMAN™ Real-time PCR using RNase P as a target.

Any one of the methods provided herein can comprise extracting nucleic acids, such as total -free DNA, from a sample obtained from a subject. Such extraction can be done 30 using any method known in the art or as otherwise provided herein (see, e.g., Current Protocols in Molecular Biology, latest edition, or the QIAamp circulating nucleic acid kit or other appropriate commercially available kits). An exemplary method for isolating cell-free DNA from blood is described. Blood containing an anti-coagulant such as EDTA or DTA is collected from a subject. The plasma, which contains cf-DNA, is separated from cells

present in the blood (e.g., by centrifugation or filtering). An optional secondary separation may be performed to remove any remaining cells from the plasma (e.g., a second centrifugation or filtering step). The cf-DNA can then be extracted using any method known in the art, e.g., using a commercial kit such as those produced by Qiagen. Other exemplary methods for extracting cf-DNA are also known in the art (see, e.g., Cell-Free Plasma DNA as a Predictor of Outcome in Severe Sepsis and Septic Shock. Clin. Chem. 2008, v. 54, p. 1000-1007; Prediction of MYCN Amplification in Neuroblastoma Using Serum DNA and Real-Time Quantitative Polymerase Chain Reaction. JCO 2005, v. 23, p.5205-5210; Circulating Nucleic Acids in Blood of Healthy Male and Female Donors. Clin. Chem. 2005, v. 51, 10 p.1317-1319; Use of Magnetic Beads for Plasma Cell-free DNA Extraction: Toward Automation of Plasma DNA Analysis for Molecular Diagnostics. Clin. Chem. 2003, v. 49, p. 1953-1955; Chiu RWK, Poon LLM, Lau TK, Leung TN, Wong EMC, Lo YMD. Effects of blood-processing protocols on fetal and total DNA quantification in maternal plasma. Clin Chem 2001;47:1607-1613; and Swinkels et al. Effects of Blood-Processing Protocols on Cell-free DNA Quantification in Plasma. Clinical Chemistry, 2003, vol. 49, no. 3, 525-526).

In some embodiments of any one of the methods provided herein, a pre-amplification step is performed. An exemplary method of such an amplification is as follows, and such a method can be included in any one of the methods provided herein. Approximately 15 ng of cell-free plasma DNA is amplified in a PCR using Q5 DNA polymerase with approximately 13 targets where pooled primers were at 4uM total. Samples undergo approximately 25 cycles. Reactions are in 25 ul total. After amplification, samples can be cleaned up using several approaches including AMPURE bead cleanup, bead purification, or simply ExoSAP-IT™, or Zymo.

As used herein, the sample from a subject can be a biological sample. Examples of such biological samples include whole blood, plasma, serum, urine, etc. In some embodiments, addition of further nucleic acids, e.g., a standard, to the sample can be performed.

In another aspect, compositions and kits comprising one or more primer pairs as provided herein are provided. Other reagents for performing an assay, such as a PCR assay, may also be included in the composition or kit.

Various aspects of the present invention may be used alone, in combination, or in a variety of arrangements not specifically discussed in the embodiments described in the foregoing and are therefore not limited in their application to the details and arrangement of

components set forth in the foregoing description or illustrated in the drawings. For example, aspects described in one embodiment may be combined in any manner with aspects described in other embodiments.

Also, embodiments of the invention may be implemented as one or more methods, of which an example has been provided. The acts performed as part of the method(s) may be ordered in any suitable way. Accordingly, embodiments may be constructed in which acts are performed in an order different from illustrated, which may include performing some acts simultaneously, even though shown as sequential acts in illustrative embodiments.

Use of ordinal terms such as “first,” “second,” “third,” etc., in the claims to modify a claim element does not by itself connote any priority, precedence, or order of one claim element over another or the temporal order in which acts of a method are performed. Such terms are used merely as labels to distinguish one claim element having a certain name from another element having a same name (but for use of the ordinal term).

The phraseology and terminology used herein is for the purpose of description and should not be regarded as limiting. The use of “including,” “comprising,” “having,” “containing”, “involving”, and variations thereof, is meant to encompass the items listed thereafter and additional items.

Having described several embodiments of the invention in detail, various modifications and improvements will readily occur to those skilled in the art. Such modifications and improvements are intended to be within the spirit and scope of the invention. Accordingly, the foregoing description is by way of example only, and is not intended as limiting. The following description provides examples of the methods provided herein.

25

## EXAMPLES

### **Example 1 – Examples of Computer-Implemented Embodiments**

In some embodiments, the diagnostic techniques described above may be implemented via one or more computing devices executing one or more software facilities to analyze samples for a subject over time, measure nucleic acids (such as cell-free DNA) in the samples, and produce a diagnostic result based on one or more of the samples. **Fig. 2** illustrates an example of a computer system with which some embodiments may operate,

though it should be appreciated that embodiments are not limited to operating with a system of the type illustrated in **Fig. 2**.

The computer system of **Fig. 2** includes a subject 802 and a clinician 804 that may obtain a sample 806 from the subject 806. As should be appreciated from the foregoing, the 5 sample 806 may be any suitable sample of biological material for the subject 802 that may be used to measure the presence of nucleic acids (such as cell-free DNA) in the subject 802, including a blood sample. The sample 806 may be provided to an analysis device 808, which one of ordinary skill will appreciate from the foregoing will analyze the sample 808 so as to determine (including estimate) a total amount of nucleic acids (such as cell-free DNA) in the 10 sample 806 and/or the subject 802. For ease of illustration, the analysis device 808 is depicted as single device, but it should be appreciated that analysis device 808 may take any suitable form and may, in some embodiments, be implemented as multiple devices. To determine the amounts of nucleic acids (such as cell-free DNA) in the sample 806 and/or subject 802, the analysis device 808 may perform any of the techniques described above, and is not limited to 15 performing any particular analysis. The analysis device 808 may include one or more processors to execute an analysis facility implemented in software, which may drive the processor(s) to operate other hardware and receive the results of tasks performed by the other hardware to determine on overall result of the analysis, which may be the amounts of nucleic acids (such as cell-free DNA) in the sample 806 and/or the subject 802. The analysis facility 20 may be stored in one or more computer-readable storage media, such as a memory of the device 808. In other embodiments, techniques described herein for analyzing a sample may be partially or entirely implemented in one or more special-purpose computer components such as Application Specific Integrated Circuits (ASICs), or through any other suitable form of computer component that may take the place of a software implementation.

25 In some embodiments, the clinician 804 may directly provide the sample 806 to the analysis device 808 and may operate the device 808 in addition to obtaining the sample 806 from the subject 802, while in other embodiments the device 808 may be located geographically remote from the clinician 804 and subject 802 and the sample 806 may need to be shipped or otherwise transferred to a location of the analysis device 808. The sample 30 806 may in some embodiments be provided to the analysis device 808 together with (e.g., input via any suitable interface) an identifier for the sample 806 and/or the subject 802, for a date and/or time at which the sample 806 was obtained, or other information describing or identifying the sample 806.

The analysis device 808 may in some embodiments be configured to provide a result of the analysis performed on the sample 806 to a computing device 810, which may include a data store 810A that may be implemented as a database or other suitable data store. The computing device 810 may in some embodiments be implemented as one or more servers,

5 including as one or more physical and/or virtual machines of a distributed computing platform such as a cloud service provider. In other embodiments, the device 810 may be implemented as a desktop or laptop personal computer, a smart mobile phone, a tablet computer, a special-purpose hardware device, or other computing device.

In some embodiments, the analysis device 808 may communicate the result of its 10 analysis to the device 810 via one or more wired and/or wireless, local and/or wide-area computer communication networks, including the Internet. The result of the analysis may be communicated using any suitable protocol and may be communicated together with the information describing or identifying the sample 806, such as an identifier for the sample 806 and/or subject 802 or a date and/or time the sample 806 was obtained.

15 The computing device 810 may include one or more processors to execute a diagnostic facility implemented in software, which may drive the processor(s) to perform diagnostic techniques described herein. The diagnostic facility may be stored in one or more computer-readable storage media, such as a memory of the device 810. In other embodiments, techniques described herein for analyzing a sample may be partially or entirely 20 implemented in one or more special-purpose computer components such as Application Specific Integrated Circuits (ASICs), or through any other suitable form of computer component that may take the place of a software implementation.

The diagnostic facility may receive the result of the analysis and the information 25 describing or identifying the sample 806 and may store that information in the data store 810A. The information may be stored in the data store 810A in association with other information for the subject 802, such as in a case that information regarding prior samples for the subject 802 was previously received and stored by the diagnostic facility. The information regarding multiple samples may be associated using a common identifier, such as an 30 identifier for the subject 802. In some cases, the data store 810A may include information for multiple different subjects.

The diagnostic facility may also be operated to analyze results of the analysis of one or more samples 806 for a particular subject 802, identified by user input, so as to determine a diagnosis for the subject 802. The diagnosis may be a conclusion of a risk that the subject 802 has, may have, or may in the future develop a particular condition. The diagnostic facility

may determine the diagnosis using any of the various examples described above, including by comparing the amounts of nucleic acids (such as cell-free DNA) determined for a particular sample 806 to one or more thresholds or by comparing a change over time in the amounts of nucleic acids (such as cell-free DNA) determined for samples 806 over time to one or more thresholds. For example, the diagnostic facility may determine a risk to the subject 802 of a condition by comparing a total amount of nucleic acids (such as cell-free DNA) for one or more samples 806 to a threshold. Based on the comparisons to the thresholds, the diagnostic facility may produce an output indicative of a risk to the subject 802 of a condition.

As should be appreciated from the foregoing, in some embodiments, the diagnostic facility may be configured with different thresholds to which amounts of nucleic acids (such as cell-free DNA) may be compared. The different thresholds may, for example, correspond to different demographic groups (age, gender, race, economic class, presence or absence of a particular procedure/condition/other in medical history, or other demographic categories), different conditions, and/or other parameters or combinations of parameters. In such embodiments, the diagnostic facility may be configured to select thresholds against which amounts of nucleic acids (such as cell-free DNA) are to be compared, with different thresholds stored in memory of the computing device 810. The selection may thus be based on demographic information for the subject 802 in embodiments in which thresholds differ based on demographic group, and in these cases demographic information for the subject 802 may be provided to the diagnostic facility or retrieved (from another computing device, or a data store that may be the same or different from the data store 810A, or from any other suitable source) by the diagnostic facility using an identifier for the subject 802. The selection may additionally or alternatively be based on the condition for which a risk is to be determined, and the diagnostic facility may prior to determining the risk receive as input a condition and use the condition to select the thresholds on which to base the determination of risk. It should be appreciated that the diagnostic facility is not limited to selecting thresholds in any particular manner, in embodiments in which multiple thresholds are supported.

In some embodiments, the diagnostic facility may be configured to output for presentation to a user a user interface that includes a diagnosis of a risk and/or a basis for the diagnosis for a subject 802. The basis for the diagnosis may include, for example, amounts of nucleic acids (such as cell-free DNA) detected in one or more samples 806 for a subject 802. In some embodiments, user interfaces may include any of the examples of results, values, amounts, graphs, etc. discussed above. They can include results, values, amounts, etc. over time. For example, in some embodiments, a user interface may incorporate a graph similar to

that shown in any one of the figures provided herein. In such a case, in some cases the graph may be annotated to indicate to a user how different regions of the graph may correspond to different diagnoses that may be produced from an analysis of data displayed in the graph. For example, thresholds against which the graphed data may be compared to determine the

5 analysis may be imposed on the graph(s).

A user interface including a graph, particularly with the lines and/or shading, may provide a user with a far more intuitive and faster-to-review interface to determine a risk of the subject 802 based on amounts of nucleic acids (such as cell-free DNA), than may be provided through other user interfaces. It should be appreciated, however, that embodiments

10 are not limited to being implemented with any particular user interface.

In some embodiments, the diagnostic facility may output the diagnosis or a user interface to one or more other computing devices 814 (including devices 814A, 814B) that may be operated by the subject 802 and/or a clinician, which may be the clinician 804 or another clinician. The diagnostic facility may transmit the diagnosis and/or user interface to

15 the device 814 via the network(s) 812.

Techniques operating according to the principles described herein may be implemented in any suitable manner. Included in the discussion above are a series of flow charts showing the steps and acts of various processes that determine a risk of a condition based on an analysis of amounts of nucleic acids (such as cell-free DNA). The processing and

20 decision blocks discussed above represent steps and acts that may be included in algorithms that carry out these various processes. Algorithms derived from these processes may be implemented as software integrated with and directing the operation of one or more single- or multi-purpose processors, may be implemented as functionally-equivalent circuits such as a Digital Signal Processing (DSP) circuit or an Application-Specific Integrated Circuit (ASIC),

25 or may be implemented in any other suitable manner. It should be appreciated that embodiments are not limited to any particular syntax or operation of any particular circuit or of any particular programming language or type of programming language. Rather, one skilled in the art may use the description above to fabricate circuits or to implement computer software algorithms to perform the processing of a particular apparatus carrying out the types

30 of techniques described herein. It should also be appreciated that, unless otherwise indicated herein, the particular sequence of steps and/or acts described above is merely illustrative of the algorithms that may be implemented and can be varied in implementations and embodiments of the principles described herein.

Accordingly, in some embodiments, the techniques described herein may be embodied in computer-executable instructions implemented as software, including as application software, system software, firmware, middleware, embedded code, or any other suitable type of computer code. Such computer-executable instructions may be written using 5 any of a number of suitable programming languages and/or programming or scripting tools, and also may be compiled as executable machine language code or intermediate code that is executed on a framework or virtual machine.

When techniques described herein are embodied as computer-executable instructions, these computer-executable instructions may be implemented in any suitable manner,

10 including as a number of functional facilities, each providing one or more operations to complete execution of algorithms operating according to these techniques. A “functional facility,” however instantiated, is a structural component of a computer system that, when integrated with and executed by one or more computers, causes the one or more computers to perform a specific operational role. A functional facility may be a portion of or an entire 15 software element. For example, a functional facility may be implemented as a function of a process, or as a discrete process, or as any other suitable unit of processing. If techniques described herein are implemented as multiple functional facilities, each functional facility may be implemented in its own way; all need not be implemented the same way.

Additionally, these functional facilities may be executed in parallel and/or serially, as 20 appropriate, and may pass information between one another using a shared memory on the computer(s) on which they are executing, using a message passing protocol, or in any other suitable way.

Generally, functional facilities include routines, programs, objects, components, data structures, etc. that perform particular tasks or implement particular abstract data types.

25 Typically, the functionality of the functional facilities may be combined or distributed as desired in the systems in which they operate. In some implementations, one or more functional facilities carrying out techniques herein may together form a complete software package. These functional facilities may, in alternative embodiments, be adapted to interact with other, unrelated functional facilities and/or processes, to implement a software program 30 application.

Some exemplary functional facilities have been described herein for carrying out one or more tasks. It should be appreciated, though, that the functional facilities and division of tasks described is merely illustrative of the type of functional facilities that may implement the exemplary techniques described herein, and that embodiments are not limited to being

implemented in any specific number, division, or type of functional facilities. In some implementations, all functionality may be implemented in a single functional facility. It should also be appreciated that, in some implementations, some of the functional facilities described herein may be implemented together with or separately from others (i.e., as a single unit or separate units), or some of these functional facilities may not be implemented.

Computer-executable instructions implementing the techniques described herein (when implemented as one or more functional facilities or in any other manner) may, in some embodiments, be encoded on one or more computer-readable media to provide functionality to the media. Computer-readable media include magnetic media such as a hard disk drive, 10 optical media such as a Compact Disk (CD) or a Digital Versatile Disk (DVD), a persistent or non-persistent solid-state memory (e.g., Flash memory, Magnetic RAM, etc.), or any other suitable storage media. Such a computer-readable medium may be implemented in any suitable manner, including as a portion of a computing device or as a stand-alone, separate storage medium. As used herein, “computer-readable media” (also called “computer-readable 15 storage media”) refers to tangible storage media. Tangible storage media are non-transitory and have at least one physical, structural component. In a “computer-readable medium,” as used herein, at least one physical, structural component has at least one physical property that may be altered in some way during a process of creating the medium with embedded information, a process of recording information thereon, or any other process of encoding the 20 medium with information. For example, a magnetization state of a portion of a physical structure of a computer-readable medium may be altered during a recording process.

In some, but not all, implementations in which the techniques may be embodied as computer-executable instructions, these instructions may be executed on one or more suitable computing device(s) operating in any suitable computer system, including the exemplary 25 computer system of **Fig. 2**, or one or more computing devices (or one or more processors of one or more computing devices) may be programmed to execute the computer-executable instructions. A computing device or processor may be programmed to execute instructions when the instructions are stored in a manner accessible to the computing device or processor, such as in a data store (e.g., an on-chip cache or instruction register, a computer-readable 30 storage medium accessible via a bus, etc.). Functional facilities comprising these computer-executable instructions may be integrated with and direct the operation of a single multi-purpose programmable digital computing device, a coordinated system of two or more multi-purpose computing device sharing processing power and jointly carrying out the techniques described herein, a single computing device or coordinated system of computing device (co-

located or geographically distributed) dedicated to executing the techniques described herein, one or more Field-Programmable Gate Arrays (FPGAs) for carrying out the techniques described herein, or any other suitable system.

Embodiments have been described where the techniques are implemented in circuitry and/or computer-executable instructions. It should be appreciated that some embodiments may be in the form of a method, of which at least one example has been provided. The acts performed as part of the method may be ordered in any suitable way. Accordingly, embodiments may be constructed in which acts are performed in an order different than illustrated, which may include performing some acts simultaneously, even though shown as sequential acts in illustrative embodiments. Any one of the aforementioned, including the aforementioned devices, systems, embodiments, methods, techniques, algorithms, media, hardware, software, interfaces, processors, displays, networks, inputs, outputs or any combination thereof are provided herein in other aspects.

### **Example 2 – Total Cell-free DNA (cf-DNA) Correlation with Transplant Complications**

The total cf-DNA of transplant recipients was quantified using the methods described above. The correlation between total cf-DNA and different transplant complications was examined and the graphical results are presented in **Figs. 3-14**.

Statistics of the death outcome analysis are presented in **Table 1** below.

**Table 1. Summary of Death Outcome Statistics**

	AUC	sensitivity	specificity	Cutoff	Repeated model
1. Total cfDNA all 298	0.8664	0.786	0.793	15.96	$-1.9463 + 0.0023 * \text{Total cfDNA}$ ( $p=0.03$ )
2. Total cfDNA all 292 (Mech support excluded)	0.8484	0.944	0.609	8.72	$-2.0805 + 0.0019 * \text{Total cfDNA}$ ( $p=0.04$ )
3. Last sample from all ( $n=88$ )	0.9385	~1.0	0.769	8.77	$-3.3358 + 0.0480 * \text{Total cfDNA}$ ( $p=0.01$ )

### **Example 3 – Total Cell-free DNA (cf-DNA) Correlation with Transplant Complications**

Blood samples were collected prospectively from heart transplant recipients around time of transplantation, any treatment for rejection, readmission, and prior to biopsy and/or angiography. Cf-DNA was quantified. The correlation between total cf-DNA and different transplant complications was examined and the tabular and graphical results are presented in **Figs. 15-20**. Biopsy and angiography results, as well as cardiac arrest, death, and treatment for infection were correlated to cf-DNA levels at a cutpoint of 15 nanograms per milliliter

(ng/mL). 298 samples from 88 recipients were analyzed. Cf-DNA of > 15 ng/mL was strongly associated with death [p<0.001, OR 20.10 (95% CI 3.55-113.69)], and treatment for infection [p0.006, OR 3.50 (95% CI 1.36-9.03)]. Total circulating cf-DNA was strongly associated with death and treatment for infection at time of draw.

2018288832 23 Jan 2020

In the present specification and claims (if any), the word 'comprising' and its derivatives including 'comprises' and 'comprise' include each of the stated integers but does not exclude the inclusion of one or more further integers.

It will be clearly understood that, if a prior art publication is referred to herein, this reference does not constitute an admission that the publication forms part of the common general knowledge in the art in Australia or in any other country.

2018288832 14 Feb 2025

What is claimed is:

### CLAIMS

1. A method of assessing a sample from a transplant subject, the method comprising:
  - (a) determining an amount of total cf-DNA in a sample from the subject, wherein the subject has, is suspected of having, has had, or is at risk of having a transplant complication; and
  - (b) reporting and/or recording the amount of total cf-DNA;
  - (c) comparing the amount of total cf-DNA to a threshold total cf-DNA value or at least one prior total cf-DNA amount; and/or
  - (d) determining that the subject has, or as being at increased risk of having, a transplant complication based on the determined amount of total cf-DNA compared to the threshold total cf-DNA value and/or at least one prior total cf-DNA amount,  
wherein the threshold total cf-DNA is 8 ng/mL.
2. A method of assessing a transplant subject, the method comprising:
  - (a) obtaining an amount of total cf-DNA in a sample from the subject, wherein the subject has, is suspected of having, has had, or is at risk of having a transplant complication;
  - (b) comparing the amount of total cf-DNA to a threshold total cf-DNA value and/or at least one prior total cf-DNA amount; and
  - (c) determining a treatment or monitoring regimen for the subject based on the determined amount of total cf-DNA compared to the threshold total cf-DNA value and/or at least one prior total cf-DNA amount,  
wherein the threshold total cf-DNA is 8 ng/mL.
3. The method of claim 2, wherein the method further comprises classifying the subject as having or as being at increased risk of having a transplant complication based on the determined amount of total cf-DNA compared to the threshold total cf-DNA value and/or at least one prior total cf-DNA amount.
4. The method of any one of the preceding claims, wherein the subject is on is in need of mechanical support.

14 Feb 2025  
2018288832

5. The method of any one of the preceding claims, wherein the subject has been determined to have a transplant complication.
6. The method of any one of the preceding claims, wherein the subject was determined to have the transplant complication with one or more additional test(s) prior applied to the subject or the method further comprises performing one or more additional test(s) on the subject.
7. The method of any one of the preceding claims, wherein the assessment or determination is done without determining or comparing an amount of donor-specific cf-DNA.
8. The method of any one of the preceding claims, wherein the transplant complication is cardiac arrest, infection or death.
9. The method of any one of the preceding claims, wherein the total cf-DNA amount is provided in a report.
10. The method of claim 9, wherein the amount is provided in a report that also contains at least one prior amount of total cf-DNA that was in a sample from the subject.
11. The method of any one of the preceding claims, wherein the total cf-DNA amount is recorded in a database.
12. The method of claim 11, wherein the database also contains at least one prior amount of total cf-DNA that was in a sample from the subject.
13. The method of any one of the preceding claims, wherein the amount of total cf-DNA is determined or obtained by:
  - (a) for a plurality of single nucleotide variant (SNV) targets, performing an amplification-based quantification assay, such as a polymerase chain reaction (PCR) quantification assay, on the sample, or a portion thereof, with at least two primer pairs, wherein each primer pair comprises a forward primer and a reverse primer, wherein one of the at least two primer pairs comprises a 3' penultimate mismatch in a primer relative to one

2018288832 14 Feb 2025

allele of the SNV target, but a 3' double mismatch relative to another allele of the SNV target and specifically amplifies the one allele of the SNV target, and another of the at least two primer pairs specifically amplifies to another allele of the SNV target, and

(b) assessing the amount of total cf-DNA based on the results.

14. The method of claim 13, wherein the genotype of the donor is known.

15. The method of claim 13, wherein the genotype of the donor is unknown.

16. The method of any one of claims 1-12, wherein the amount of total cf-DNA is determined or obtained using an amplification-based quantification assay.

17. The method of claim 16, wherein the amplification-based quantification assay is quantitative real-time PCR (qRT-PCR).

18. The method of any one of claim 1-12, wherein the amount of total cf-DNA is determined or obtained using sequencing, such as high throughput or next generation sequencing.

19. The method of any one of the preceding claims, wherein an amount of total cf-DNA of 8 or 9 ng/mL or greater represents a risk of cardiac arrest or death.

20. The method of any one of the preceding claims, wherein an amount of total cf-DNA of 20 ng/mL or greater represents the presence of an infection or an increased risk of infection.

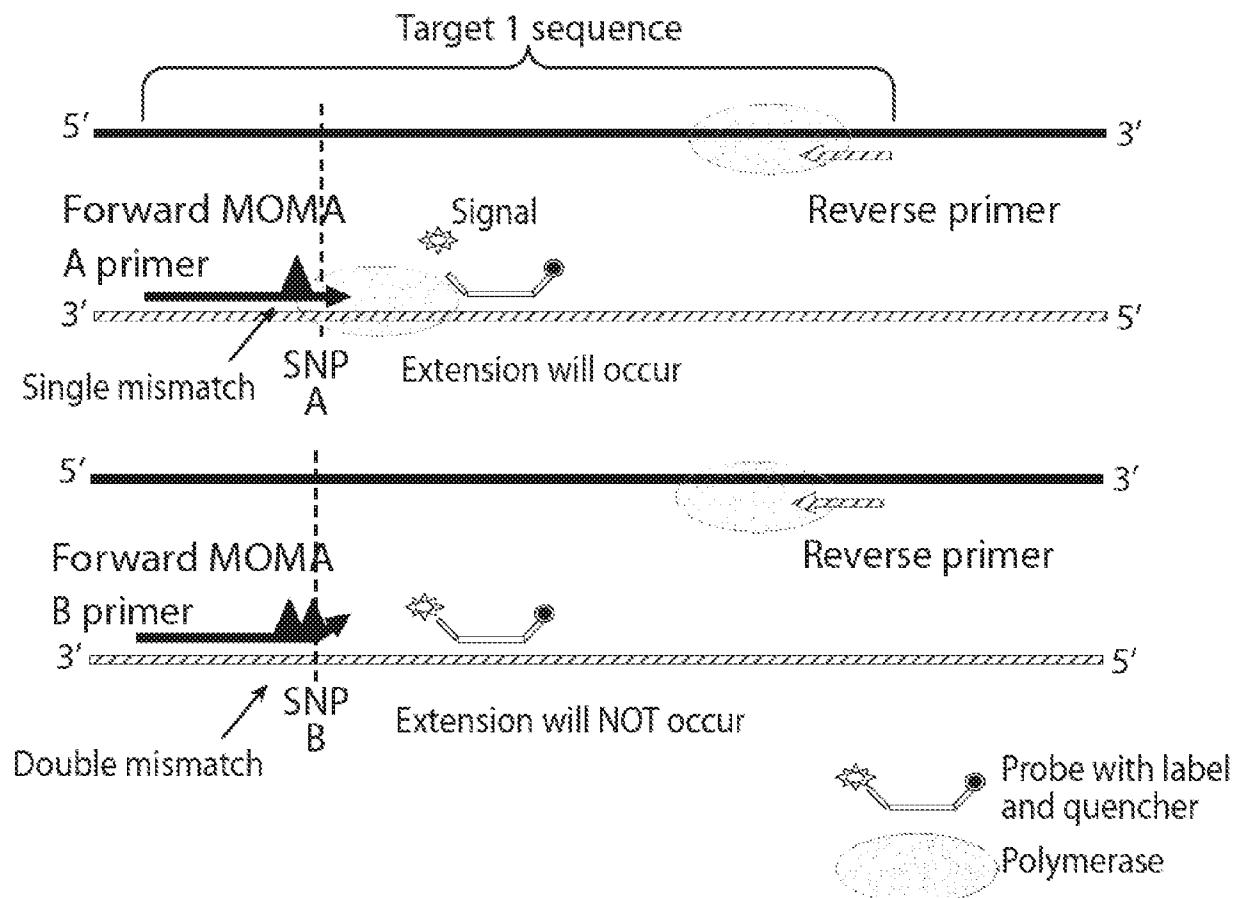
21. The method of any one of the preceding claims, wherein at least one amount is determined in a sample taken from the subject within 4, 5, 6, 7, or 8 days following an organ transplant.

22. The method of any one of the preceding claims, wherein an amount of total cf-DNA that is greater than the threshold value and/or is increased relative to the amount from an earlier time point represents an increased or increasing risk.

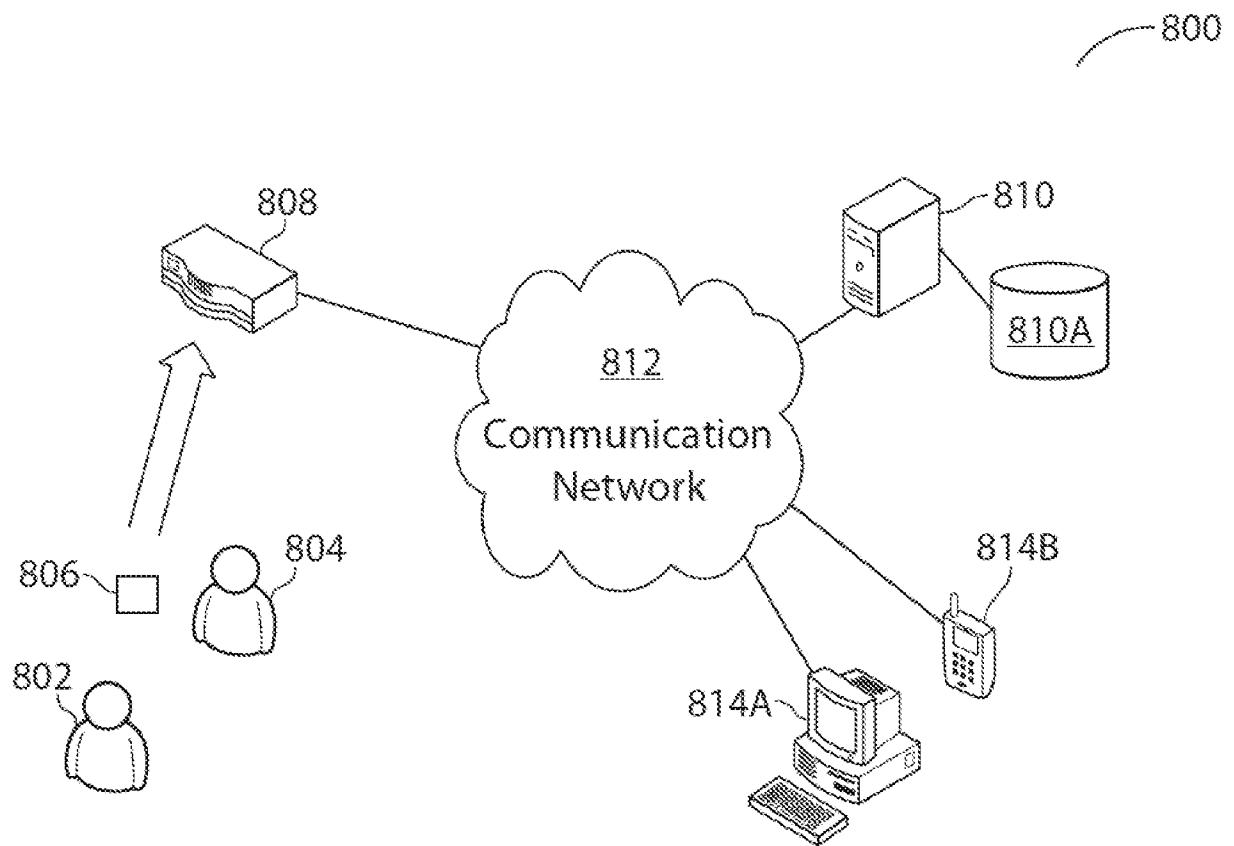
23. The method of any one of the preceding claims, wherein an amount of total cf-DNA that is lower than the threshold value and/or is decreased relative to the amount from an earlier time point represents a decreased or decreasing risk.
24. The method of any one of claims 2-23, wherein the determining a monitoring regimen comprises determining the amount of total cf-DNA in the subject over time or at a subsequent point in time, or suggesting such monitoring to the subject.
25. The method of claim 24, wherein the subject is assessed monthly or bimonthly.
26. The method of any one of the preceding claims, wherein the subject is assessed for up to 6 months, up to 8 months, up to 10 months, or up to one year.
27. The method of any one of the preceding claims, wherein the time between samples is decreased if the amount of total cf-DNA is increased relative to the threshold or an amount from an earlier time point.
28. The method of any one of the preceding claims, wherein the additional amount(s) of total cf-DNA are recorded or reported.
29. The method of claim 28, wherein the additional amount(s) are provided in a report.
30. The method of claim 28, wherein the additional amount(s) are recorded in a database.
31. The method of any one of claims 2-30, wherein the determining a monitoring regimen comprises using or suggesting the use of one or more additional test(s) to assess the subject.
32. The method of any one of claims 2-31, wherein the determining a treatment regimen comprises selecting or suggesting a treatment for the subject.
33. The method of any one of claims 2-32, wherein the determining a treatment regimen comprises treating the subject.

14 Feb 2025  
2018288832

34. The method of any one of claims 2-33, wherein the treatment comprises cardiac arrest treatment, a treatment for infection, an anti-infection treatment, or an anti-rejection treatment.
35. The method of any one of claims 2-34, wherein the determining a treatment regimen comprises providing information about a treatment to the subject.
36. The method of any one of claims 2-35, wherein the treatment is an anti-infection treatment.
37. The method of any one of claims 2-35, wherein the treatment is an anti-rejection treatment.
38. The method of any one of claims 2-35, wherein the treatment is cardiac arrest treatment.
39. The method of any one of the preceding claims, wherein the sample is a blood, plasma or serum sample.
40. The method of claim 39, wherein the blood sample is a plasma sample.
41. The method of any one of the preceding claims, wherein the transplant subject is a heart transplant subject.



**Fig. 1**



**Fig. 2**

3/20

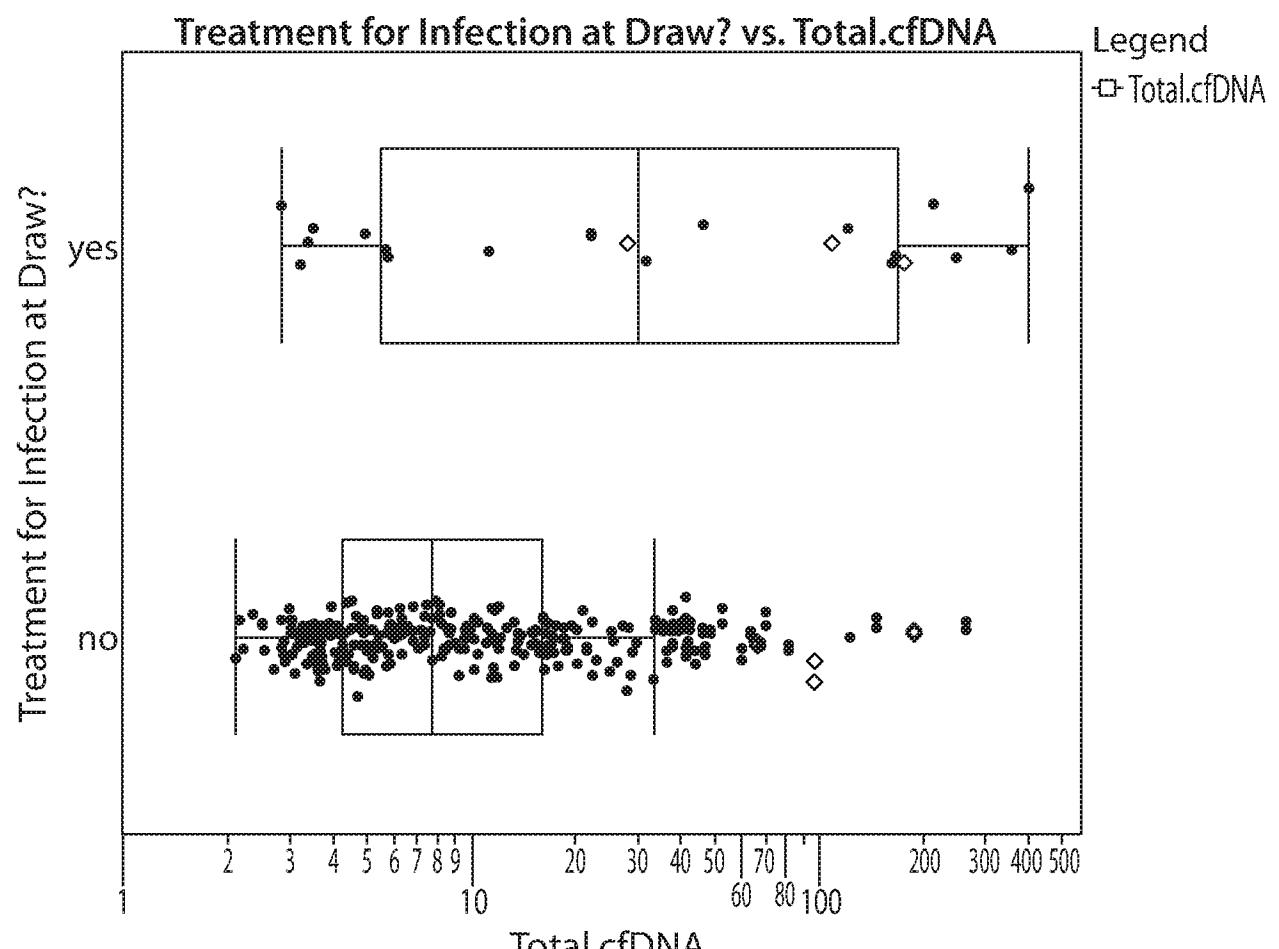


Fig. 3

4/20

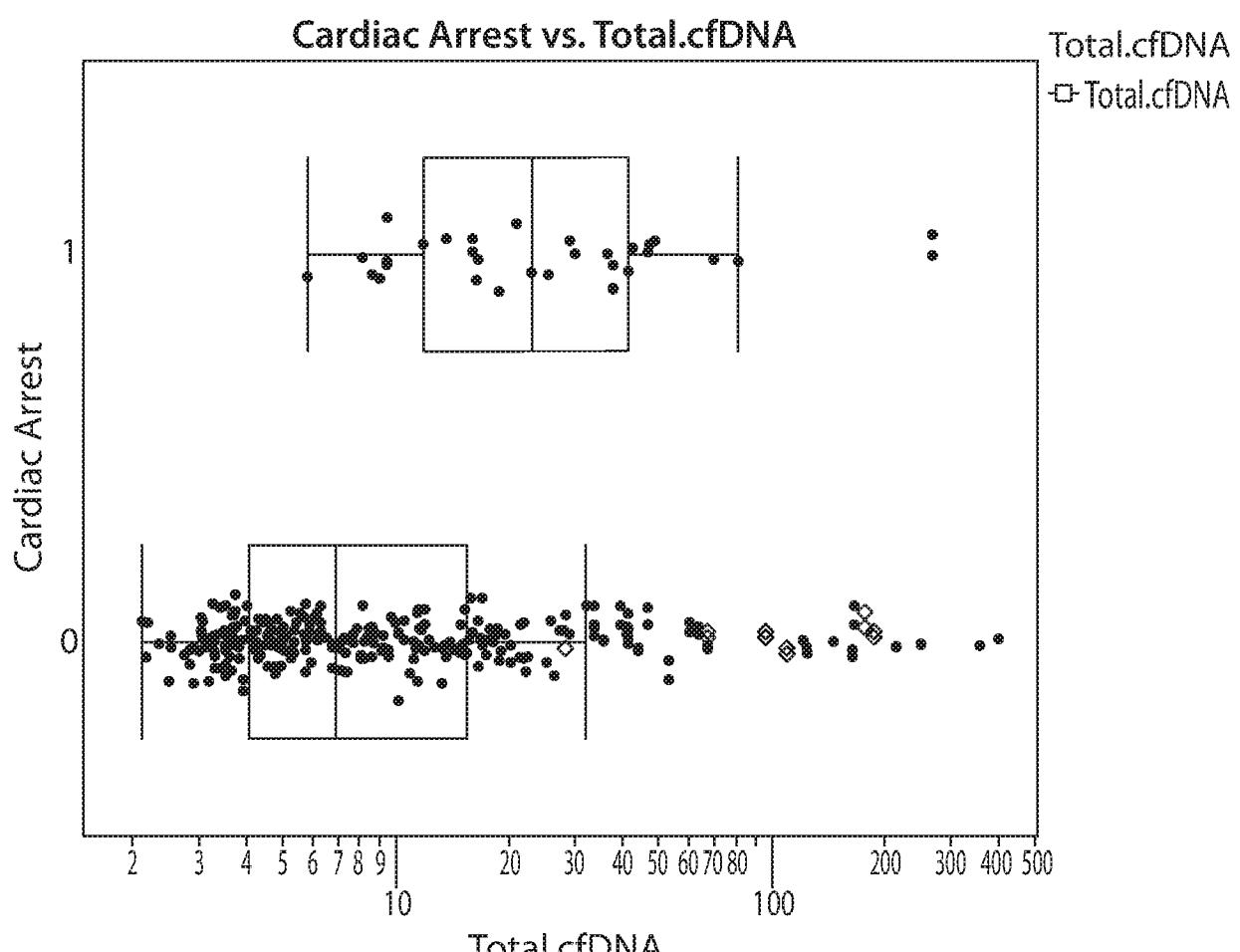


Fig. 4

5/20

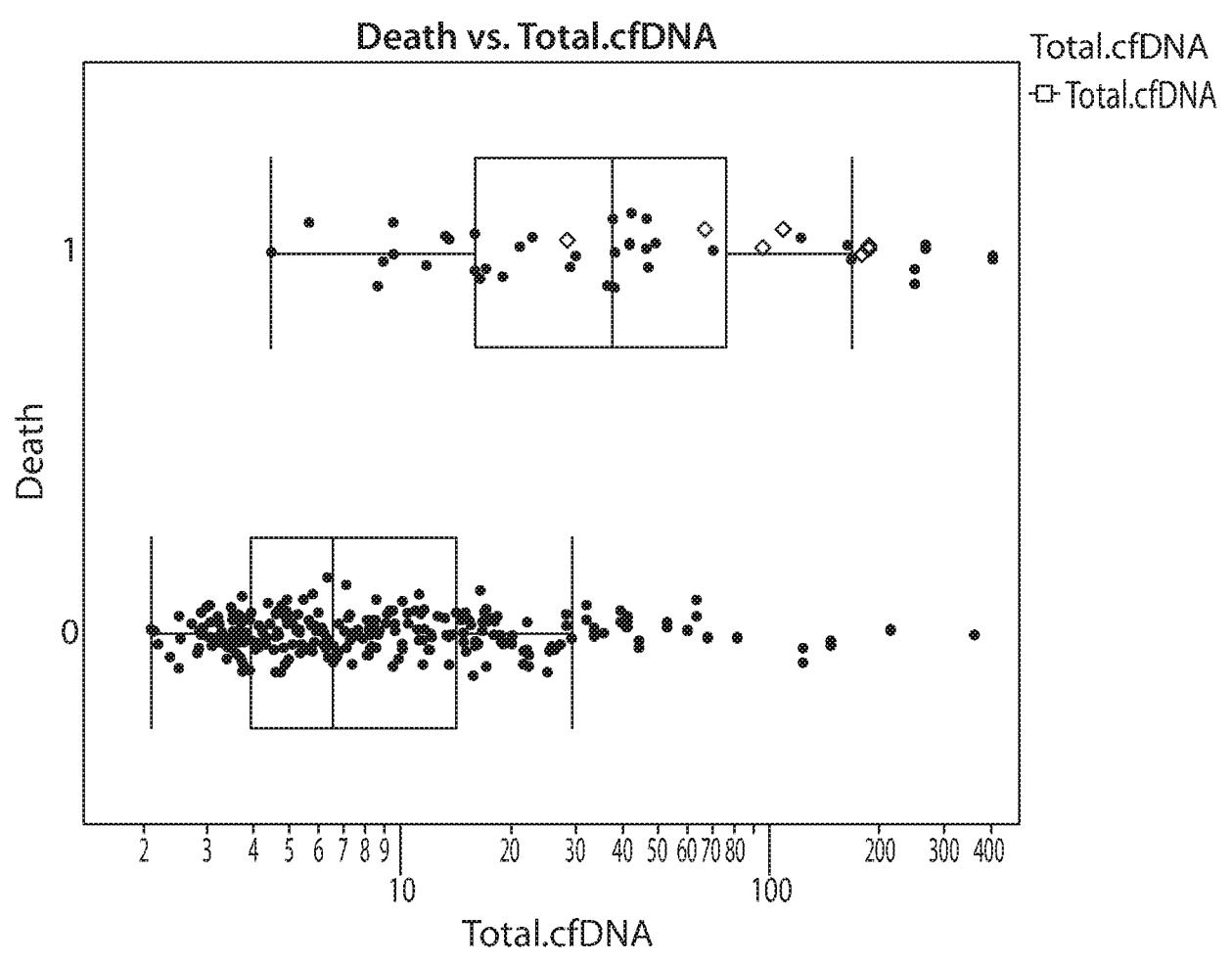
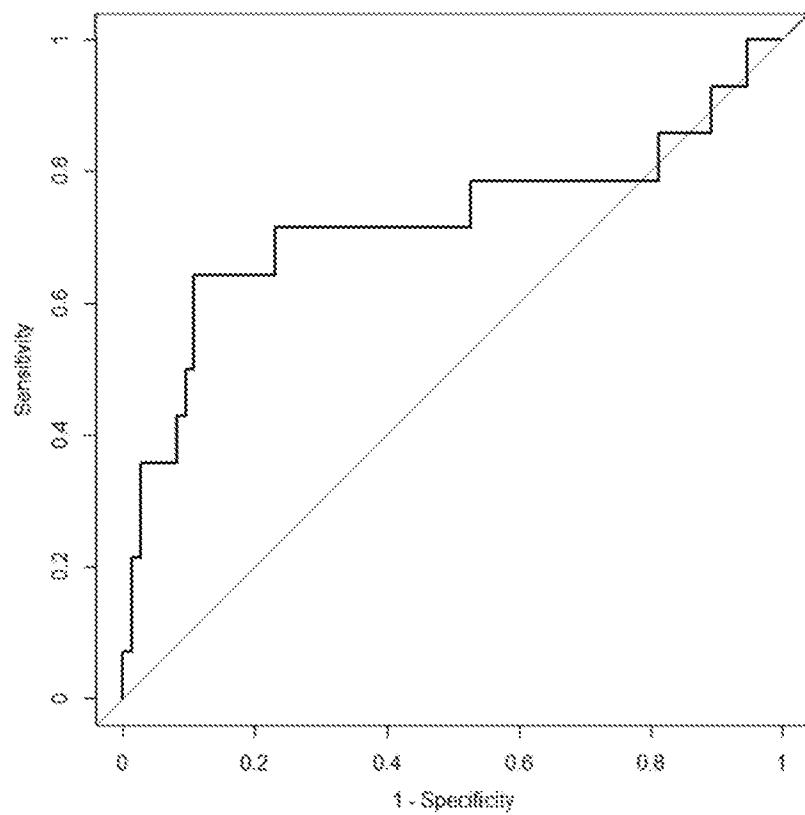


Fig. 5

**3. Infection(y) ~ Total cfDNA (1 sample per subject - n=88)**

Last sample from all - last infection sample and last sample from the others

-2.1845 + 0.0137 \* Total cfDNA (p=0.003)



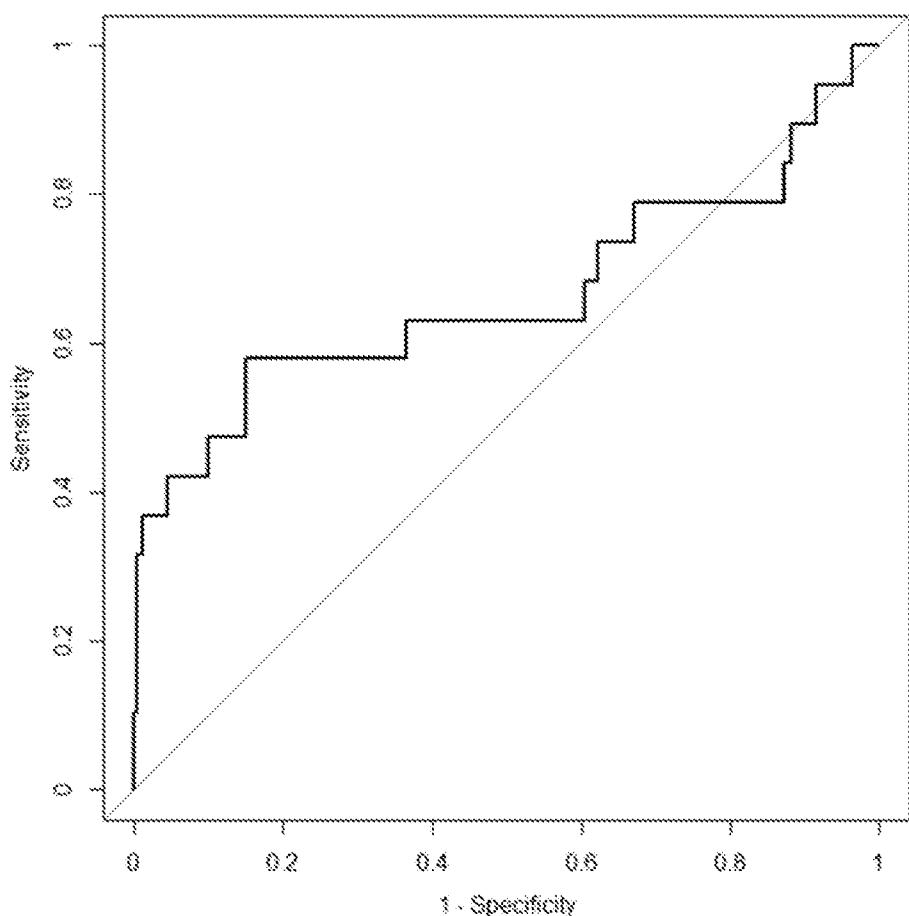
AUC = 0.723  
Sensitivity = 0.643  
Specificity = 0.892  
Cutoff = 20.39

**Fig. 6**

## 2. Infection(y) ~ Total cfDNA (mech support excluded)

6 Mechanical support samples were excluded

$\sim 3.0684 + 0.0159 \times \text{Total cfDNA}$  ( $p < 0.0001$ )



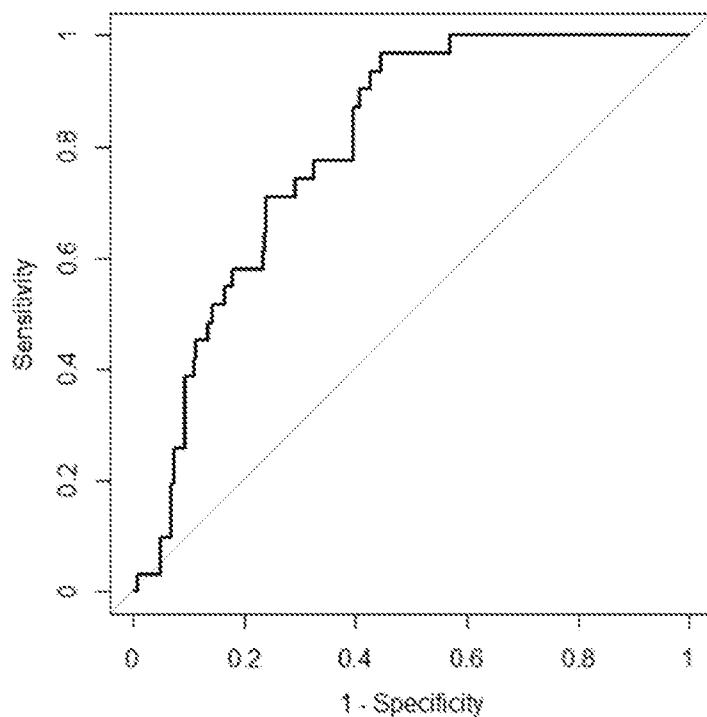
AUC = 0.6649  
Sensitivity = 0.579  
Specificity = 0.850  
Cutoff = 21.67

**Fig. 7**

**1. Arrest - Total cfDNA (all 298 samples)**

All 298 are used

$-2.3156 + 0.0005 * \text{Total\_cfDNA}$  ( $p=0.04$ )



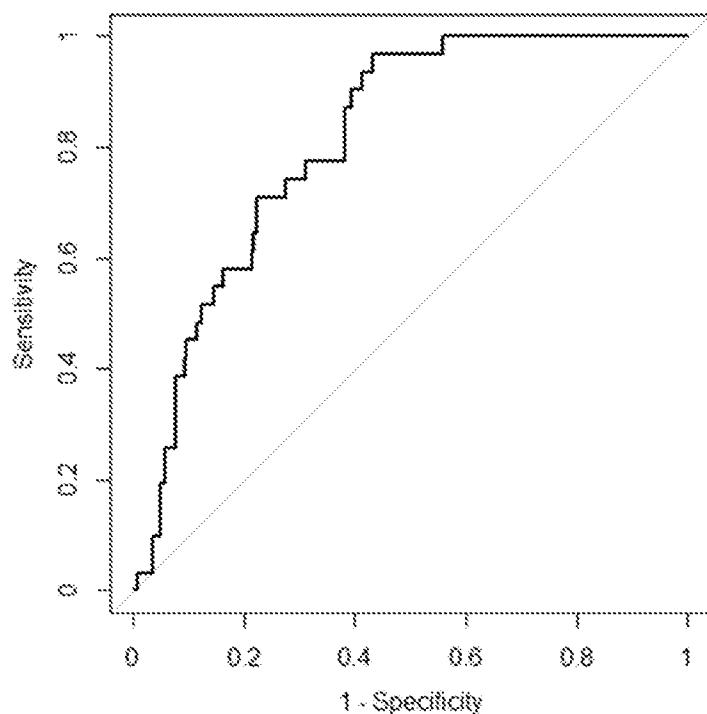
AUC = 0.7974  
sensitivity = 0.968  
specificity = 0.554  
Cut=8.18

**Fig. 8**

**2. Arrest - Total cfDNA (292 samples after mech support excluded)**

All 292 are used

-2.3036 +0.0005 \* Total\_cfDNA ( $p=0.04$ )



AUC = 0.8131  
sensitivity = 0.968  
specificity = 0.567  
Cut=9.06

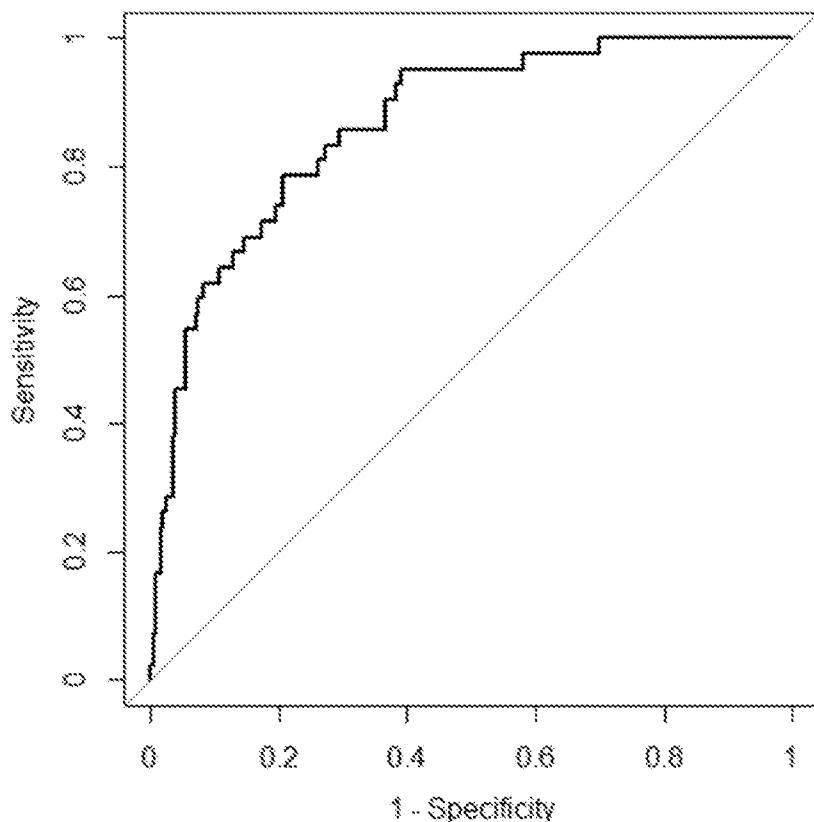
**Fig. 9**

10/20

## 1. Death - Total cfDNA (all 298 samples)

All 298 are used

$-1.9463 + 0.0023 * \text{Total cfDNA}$  ( $p=0.03$ )



AUC = 0.8664

Sensitivity = 0.786

Specificity = 0.793

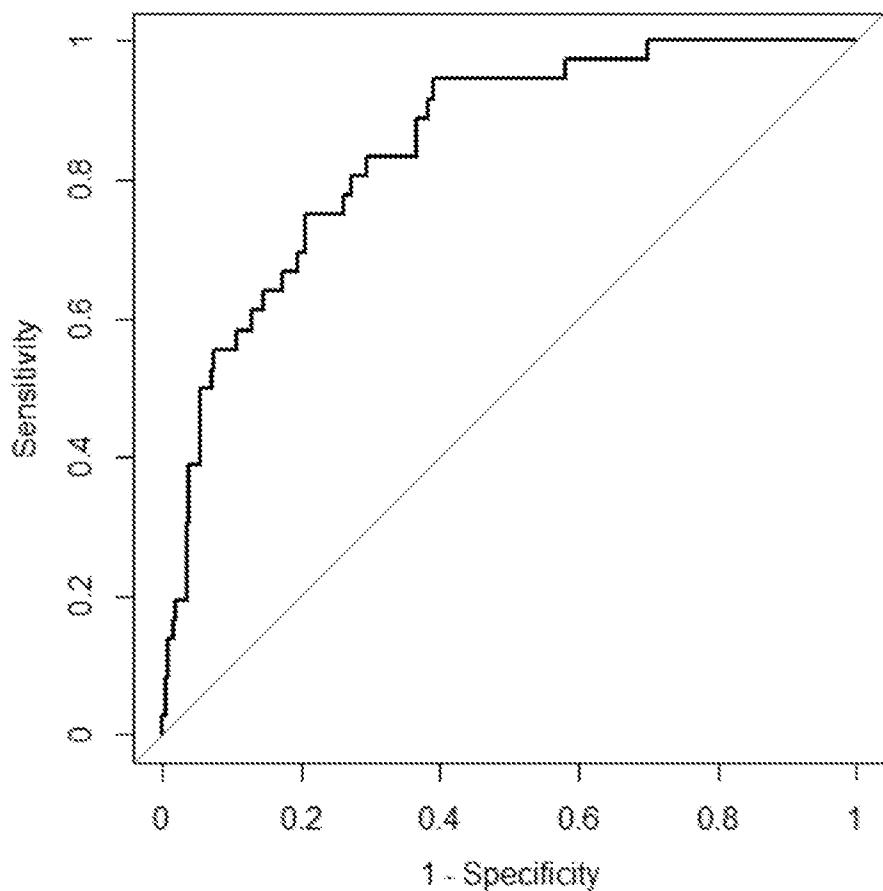
Cutoff = 15.96

**Fig. 10**

## 2. Death - Total cfDNA (mech support excluded)

6 Mechanical support samples were excluded

$-\mathbf{2.0805} + 0.0019 * \text{Total cfDNA}$  ( $p=0.04$ )



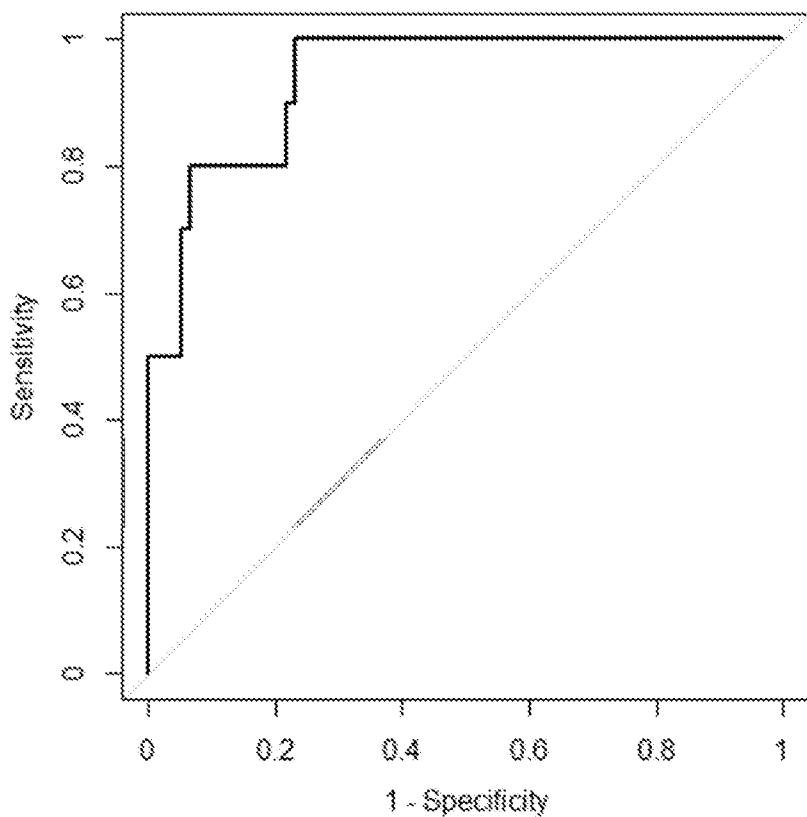
AUC = 0.8484  
Sensitivity = 0.944  
Specificity = 0.609  
Cutoff = 8.72

**Fig. 11**

12/20

**3. Death - Total cfDNA (1 sample per subject - n=88)**

Last sample from all (N=88)

 $-3.3358 + 0.0480 * \text{Total cfDNA}$  ( $p=0.01$ )

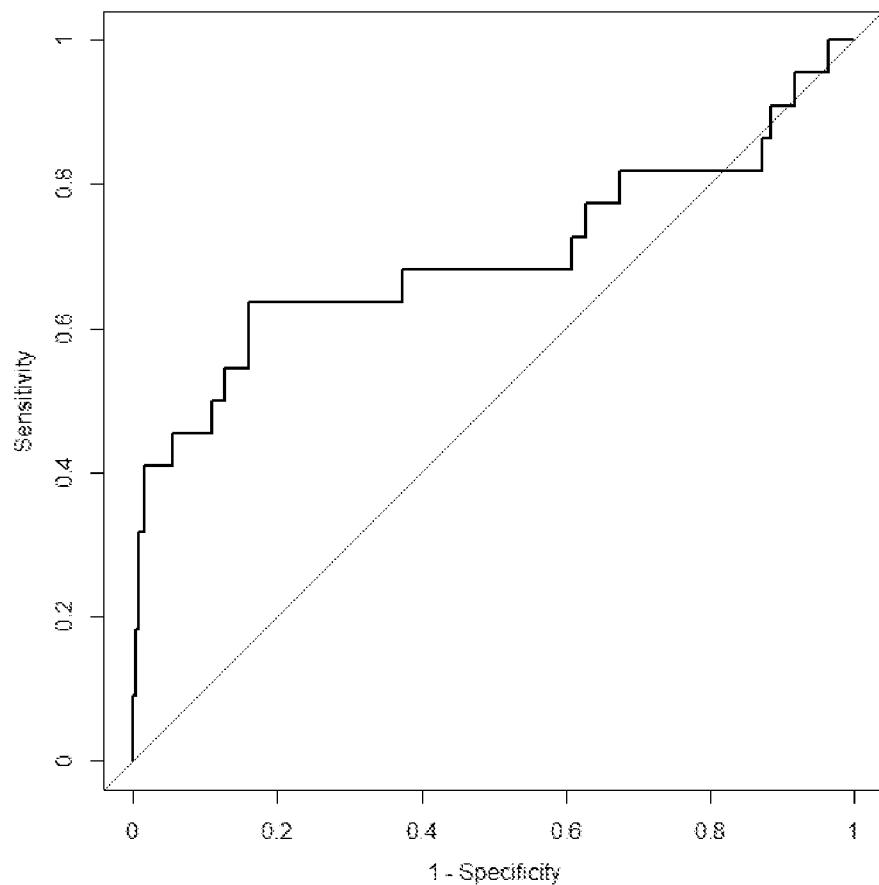
AUC = 0.9385  
Sensitivity ~ 1.0  
Specificity = 0.769  
Cutoff = 8.77

**Fig. 12**

13/20

**1. Infection(y) – Total cfDNA (all 298 samples)**

All 298 are used

$$-2.9484 + 0.0146 * \text{TotalcdDNA}$$
 (p<0.0001)

AUC = 0.7006

Sensitivity = 0.636

Specificity = 0.833

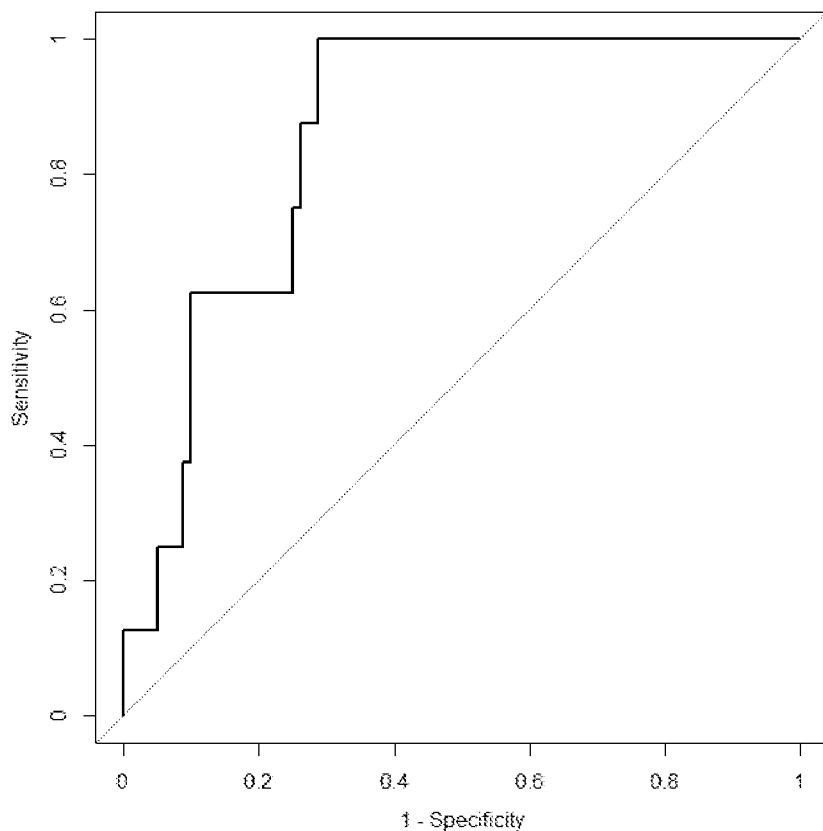
Cutoff = 21.18

**Fig. 13**

14/20

**Arrest - Total cfDNA (1 sample per subject - n=88)**

Last sample from all (N=88)

 $-2.6123 + 0.0101 * \text{Total cfDNA}$  ( $p=0.05$ )

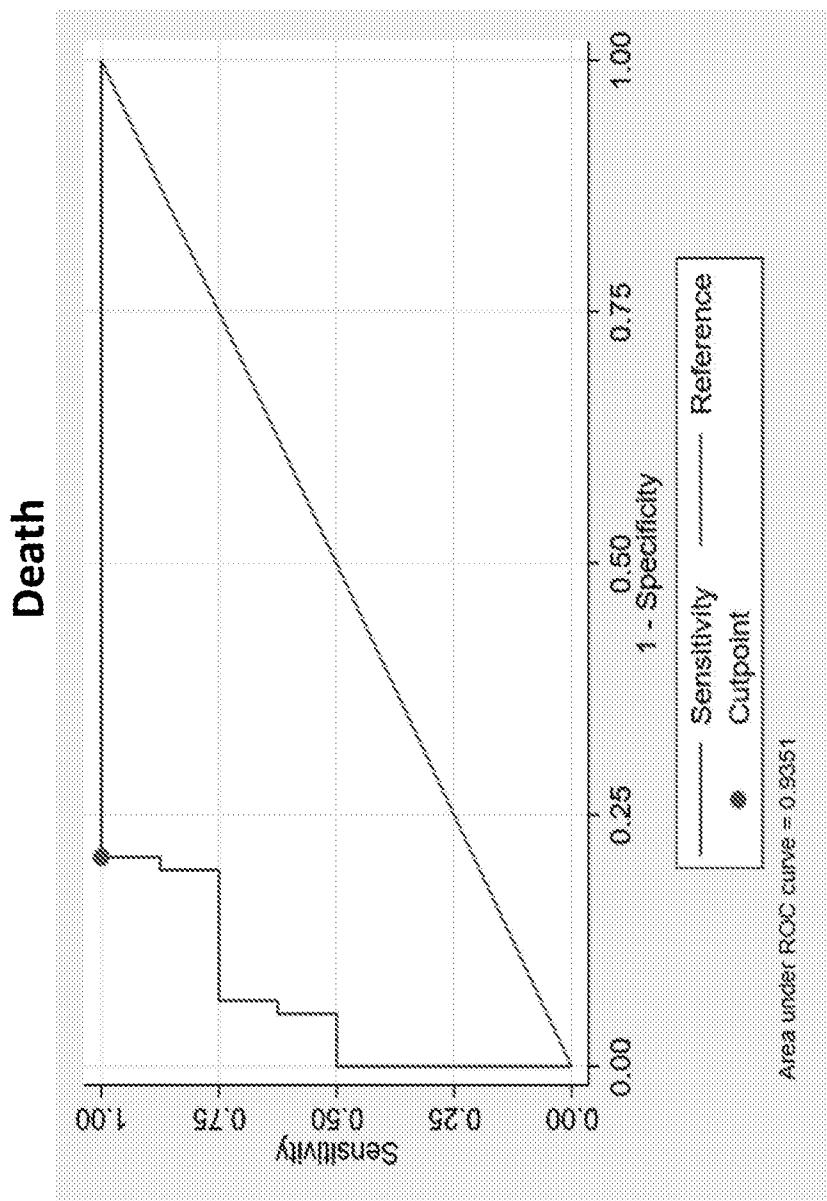
AUC = 0.8578  
sensitivity ~ 1  
specificity = 0.712  
Cut= 8.18

**Fig. 14**

		No	Death	Yes		p-value
Total cfDNA						
N		77		8		
median [IQR]	4.98 [3.70, 8.19]					
OR (95% CI) per doubling *	3.17 [1.71, 5.91]	75.56 [14.84, 204.98]			<0.001	
* odds ratio of alive versus dead per doubling of cfDNA					<0.001	
Reference Variable			Death			
Classification variable:			Total cfDNA			
Empirical optimal cutpoint(95% CI)			8.62 [3.48, 21.38]			p<0.001
Sensitivity at cutpoint:				1.00		
Specificity at cutpoint:				0.79		
Area under the ROC curve at cutpoint				0.90		
ppv				33.3% [24.4, 43.6]		
NPV				100%		

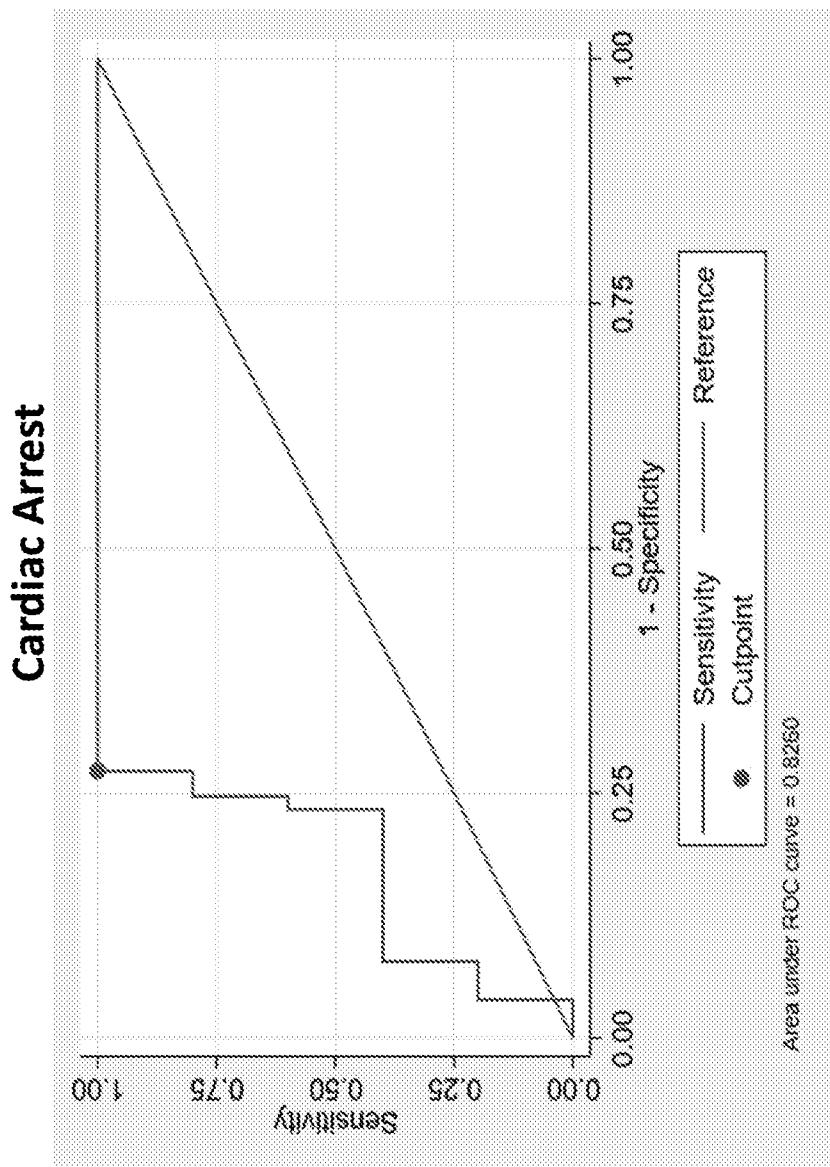
Fig. 15

16/20

**Fig. 16**

	Cardiac Arrest		
	No	Yes	p-value
Total cfDNA			
N	77	5	
median [IQR]	5.00 [3.78, 8.51]	9.51 [9.03, 20.96]	0.012
OR (95% CI) per doubling *	1.61 (0.99, 2.63)		0.056
*odds ratio of alive versus dead per doubling of cfDNA			
Reference Variable	Cardiac Arrest		
Classification variable:	Total cfDNA		
Empirical optimal cutpoint (95% CI)	8.17 (5.21, 12.81)		p<0.001
Sensitivity at cutpoint:	1.00		
Specificity at cutpoint:	0.73		
Area under the ROC curve at cutpoint	0.86		
PPV	19.2% (14.2, 25.5)		
NPV	100%		

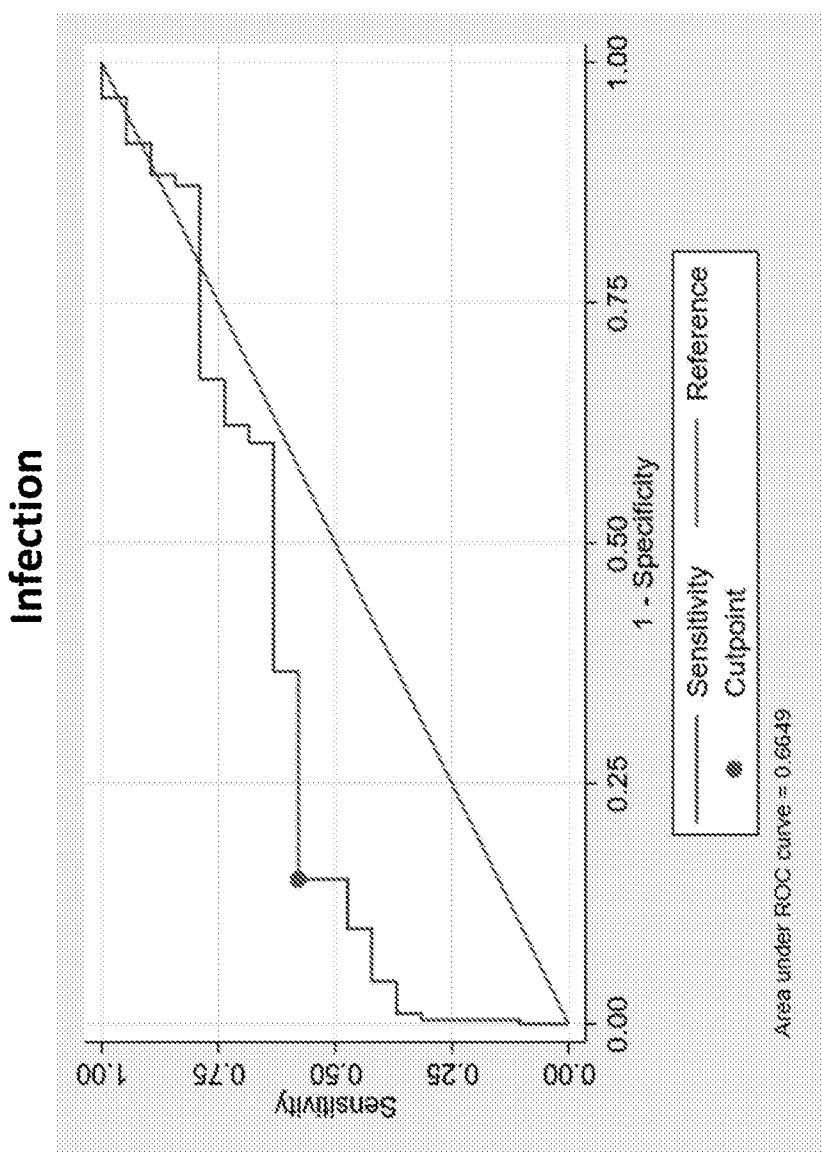
Fig. 17

**Fig. 18**

	Treatment for Infection at Draw		Null Hypothesis	Statistical Test
	No	Yes	The medians are the same across treatment for infection	
N	median [IQR] 273	median [IQR] 19		
Total cfDNA	7.67 [4.29, 15.94]	21.97 [4.98, 166.07]	p=0.343	Independent samples median test
Cutpoint estimation				
Reference Variable	Infection			
Classification variable:	Total cfDNA			
Empirical optimal cutpoint(95% CI)	21.44 (10.17, 45.18)			
Sensitivity at cutpoint:	0.58			
Specificity at cutpoint:	0.85			
Area under the ROC curve at cutpoint	0.71			
PPV	21.2% (14.3, 30.2)			
NPV	96.7% (94.5, 98.0)			

Fig. 19

20/20

**Fig. 20**