



(51) **International Patent Classification:**
C07K 16/28 (2006.01) A61K 39/395 (2006.01)

(21) **International Application Number:**
PCT/IB20 16/054692

(22) **International Filing Date:**
3 August 2016 (03.08.2016)

(25) **Filing Language:** English

(26) **Publication Language:** English

(30) **Priority Data:**
62/200,779 4 August 2015 (04.08.2015) US
62/204,555 13 August 2015 (13.08.2015) US

(71) **Applicants:** GLAXOSMITHKLINE INTELLECTUAL
PROPERTY DEVELOPMENT LIMITED [GB/GB];
980 Great West Road, Brentford Middlesex TW89GS
(GB). MERCK SHARP & DOHME [US/US]; 126 East
Lincoln Avenue, Rahway, New Jersey 07065 (US).

(72) **Inventors:** HOOS, Axel; 1250 South Collegeville Road,
Collegeville, Pennsylvania 19426 (US). KAUFMAN,
David; 351 North Sumneytown Pike, North Wales,
Pennsylvania 19454 (US). PINHEIRO, Elaine; 33 Aven-
ue Louis Pasteur, Boston, Massachusetts 02115 (US).
STRUEMPER, Herbert; 5 Moore Drive, Durham, North
Carolina 27709 (US). YANAMANDRA, Niranjan; 1250
South Collegeville Road, Collegeville, Pennsylvania 19426
(US).

(81) **Designated States** (unless otherwise indicated, for every
kind of national protection available): AE, AG, AL, AM,

AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY,
BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM,
DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT,
HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR,
KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG,
MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM,
PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC,
SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN,
TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) **Designated States** (unless otherwise indicated, for every
kind of regional protection available): ARIPO (BW, GH,
GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ,
TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU,
TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE,
DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU,
LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK,
SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ,
GW, KM, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(H))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(in))
- of inventorship (Rule 4.17(iv))

Published:

- with international search report (Art. 21(3))
- with sequence listing part of description (Rule 5.2(a))



(54) **Title:** COMBINATION TREATMENTS AND USES AND METHODS THEREOF

(57) **Abstract:** The present invention provides methods of treating cancer in a human in need thereof comprising administering to the human: a therapeutically effective amount of a monoclonal antibody that binds to human OX40 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:1; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:2; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:3; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:7; (e) a light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:8; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:9; and a therapeutically effective amount of a monoclonal antibody that binds to human PD-1 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:54; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:55; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:56; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:57; (e) a light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:58; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:59.

Combination Treatments and Uses and Methods Thereof**CROSS REFERENCE TO RELATED APPLICATIONS**

This application claims priority to U.S. Application Serial No. 62/200,779, filed on August 4, 2015 and U.S. Application Serial No. 62/204,555, filed on August 13, 2015.

- 5 The disclosure of the prior applications are considered part of (and are incorporated by reference in) the disclosure of this application.

SEQUENCE LISTING

- 10 The instant application contains a Sequence Listing which has been submitted electronically in ASCII format and is hereby incorporated by reference in its entirety. Said ASCII copy, created on July 29, 2016, is named PU65945PCT_SL.txt and is 110,170 bytes in size.

FIELD OF THE INVENTION

- 15 The present invention relates to a method of treating cancer in a mammal and to combinations useful in such treatment. In particular the present invention relates to combinations of anti-OX40 antigen binding proteins (ABPs), including monoclonal antibodies to human OX40 and one or more anti-PD-1 ABPs, including monoclonal antibodies to human PD-1 .

BACKGROUND OF THE INVENTION

- 20 Effective treatment of hyperproliferative disorders including cancer is a continuing goal in the oncology field. Generally, cancer results from the deregulation of the normal processes that control cell division, differentiation and apoptotic cell death and is characterized by the proliferation of malignant cells which have the potential for unlimited growth, local expansion and systemic metastasis. Deregulation of
25 normal processes include abnormalities in signal transduction pathways and response to factors which differ from those found in normal cells.

- Immunotherapies are one approach to treat hyperproliferative disorders. A major hurdle that scientists and clinicians have encountered in the development of various types of cancer immunotherapies has been to break tolerance to self antigen
30 (cancer) in order to mount a robust anti-tumor response leading to tumor regression. Unlike traditional development of small and large molecule agents that target the tumor, cancer immunotherapies target cells of the immune system that have the

potential to generate a memory pool of effector cells to induce more durable effects and minimize recurrences.

OX40 is a costimulatory molecule involved in multiple processes of the immune system. Antigen binding proteins and antibodies that bind OX-40 receptor and modulate OX40 signaling are known in the art and are disclosed as immunotherapy, for example for cancer.

Binding of the PD-1 ligands, PD-L1 and PD-L2, to the PD-1 receptor found on T cells, inhibits T cell proliferation and cytokine production. Upregulation of PD-1 ligands occurs in some tumors and signaling through this pathway can contribute to inhibition of active T-cell immune surveillance of tumors. Antigen binding proteins and antibodies that bind to the PD-1 receptor and block its interaction with PD-L1 and PD-L2 may release PD-1 pathway-mediated inhibition of the immune response, including the anti-tumor immune response.

Enhancing anti-tumor T cell function and inducing T cell proliferation is a powerful and new approach for cancer treatment. Three immune-oncology antibodies (e.g., immuno-modulators) are presently marketed. Anti-CTLA-4 (YERVOYO/ipilimumab) is thought to augment immune responses at the point of T cell priming and anti-PD-1 antibodies (OPDIVOO/nivolumab and KEYTRUDAO/pembrolizumab) are thought to act in the local tumor microenvironment, by relieving an inhibitory checkpoint in tumor specific T cells that have already been primed and activated.

Though there have been many recent advances in the treatment of cancer, there remains a need for more effective and/or enhanced treatment of an individual suffering the effects of cancer. The combinations and methods herein that relate to combining therapeutic approaches for enhancing anti-tumor immunity address this need.

SUMMARY OF THE INVENTION

Provided herein are methods of treating cancer in a human in need thereof comprising administering a therapeutically effective amount of a monoclonal antibody that binds to human OX40 comprising (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO: 1; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO: 2; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO. 3; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO. 7; (e) a light chain variable region CDR2 comprising the amino acid sequence

of SEQ ID NO. 8; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO. 9, and pembrolizumab, or an antibody having 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity thereto. Also provided herein are pharmaceutical compositions and kits comprising a
5 monoclonal antibody that binds human OX40 and pembrolizumab.

Further provided herein are methods of treating cancer in a human in need thereof comprising administering a therapeutically effective amount of a monoclonal antibody that binds to human OX40 comprising a VH (variable heavy chain) region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%,
10 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 4 and a VL (variable light chain) region comprising and amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 10,
15 97%, 98%, 99% or 100% sequence identity thereto. Also provided herein are pharmaceutical compositions and kits comprising a monoclonal antibody that binds human OX40 and pembrolizumab.

Also provided herein are methods of treating cancer in a human in need thereof comprising administering a therapeutically effective amount of a monoclonal antibody
20 that binds to human OX40 comprising a VH (variable heavy chain) region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 5 and a VL (variable light chain) region comprising and amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or
25 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 11, and pembrolizumab, or an antibody having 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity thereto. Also provided herein are pharmaceutical compositions and kits comprising a monoclonal antibody that binds human OX40 and pembrolizumab.

30 Also provided herein are methods of reducing tumor size in a human having cancer comprising administering a therapeutically effective amount of ANTIBODY 106-222 and a therapeutically effective amount of pembrolizumab to said human.

In some aspects, the disclosure provides a method of treating cancer in a human in need thereof comprising administering to the human:

a therapeutically effective amount of a monoclonal antibody that binds to human OX40 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:1; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:2; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:3; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:7; (e) a light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:8; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:9; and

10 a therapeutically effective amount of a monoclonal antibody that binds to human PD-1 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:54; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:55; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:56; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:57; (e) a light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:58; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:59.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:1 1.

25 In some embodiments, the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 30 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%

or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:1, and the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:48 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:49.

In some embodiments, the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:50 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:48 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:49, and the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 50 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO:48 and a light chain comprising the amino acid sequence set forth in SEQ ID

NO:49, and the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO: 50 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:51.

5 In some embodiments, the cancer is a solid tumor. In some embodiments, the cancer is selected from the group consisting of: melanoma, lung cancer, kidney cancer, breast cancer, head and neck cancer, colon cancer, ovarian cancer, pancreatic cancer, liver cancer, prostate cancer, bladder cancer, and gastric cancer.

In some embodiments, the monoclonal antibody that binds to OX40 and the monoclonal antibody that binds to human PD-1 are administered at the same time.

10 In some embodiments, the monoclonal antibody that binds to human OX40 and the monoclonal antibody that binds to human PD-1 are administered sequentially, in any order.

In some embodiments, the monoclonal antibody that binds to OX40 and/or the monoclonal antibody that binds to human PD-1 are administered intravenously.

15 In some embodiments, the monoclonal antibody that binds to OX40 and/or the monoclonal antibody that binds to human PD-1 are administered intratumorally.

In some embodiments, the monoclonal antibody that binds to OX40 is administered at a dose of about 0.1 mg/kg to about 10 mg/kg.

20 In some embodiments, the monoclonal antibody that binds to OX40 is administered at a frequency selected from the group consisting of: once daily, once weekly, once every two weeks (Q2W), and once every three weeks (Q3W).

In some embodiments, the monoclonal antibody that binds to human PD-1 is administered at a dose of about 200 mg.

25 In some embodiments, the monoclonal antibody that binds to human PD-1 is administered Q3W.

In some aspects, the disclosure provides a method of reducing tumor size in a human having cancer comprising administering a therapeutically effective amount of a monoclonal antibody that binds to human OX40 that comprises a heavy chain
30 comprising the amino acid sequence set forth in SEQ ID NO:48 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:49, and a

therapeutically effective amount of a monoclonal antibody that binds to human PD-1 that comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO: 50 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:51. to said human.

5 In some embodiments, the human demonstrates complete response or partial response according to RECIST version 1.1 .

In some embodiments, the monoclonal antibody that binds to human PD-1 is intravenously administered to the human starting at least 1 hour and no more than 2 hours following the end of intravenous administration of the monoclonal antibody that
10 binds to human OX40.

In some aspects, the disclosure provides a pharmaceutical composition or kit comprising

a therapeutically effective amount of a monoclonal antibody that binds to
15 human OX40 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:1; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:2; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:3; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:7; (e) a
20 light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:8; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:9; and

a therapeutically effective amount of a monoclonal antibody that binds to human PD-1 comprising: (a) a heavy chain variable region CDR1 comprising the
25 amino acid sequence of SEQ ID NO:54; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:55; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:56; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:57; (e) a light chain variable region CDR2 comprising the amino acid sequence of SEQ ID
30 NO:58; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:59.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%,

92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:11.

- 5 In some embodiments, the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

- 15 In some embodiments, the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:11, and the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

- 25 In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:48 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:49.

- 30 In some embodiments, the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:50 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:48 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:49, and the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 50 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO:48 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:49, and the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO: 50 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:51.

In some aspects, the disclosure provides a combination kit comprising a pharmaceutical composition or kit described herein together with one or more pharmaceutically acceptable carriers.

In some aspects, the disclosure provides use of the pharmaceutical composition or kit described herein in the manufacture of a medicament for the treatment of cancer.

25

In some aspects, the disclosure provides a kit for use in the treatment of cancer comprising:

a therapeutically effective amount of a monoclonal antibody that binds to human OX40 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:1; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:2; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:3; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:7; (e) a

30

light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:8; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:9; and

5 a therapeutically effective amount of a monoclonal antibody that binds to human PD-1 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:54; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:55; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:56; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:57; (e) 10 light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:58; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:59; and

instructions for use in the treatment of cancer.

15 In some embodiments, the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:1 1.

20 In some embodiments, the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 25 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:1 1, 30 and the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set

forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

5 In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:48 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in
10 SEQ ID NO:49.

In some embodiments, the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:50 and a light chain comprising an amino acid
15 sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the
20 amino acid sequence as set forth in SEQ ID NO:48 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:49, and the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%,
25 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 50 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51.

In some embodiments, the monoclonal antibody that binds to human OX40
30 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO:48 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:49, and the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO: 50 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:51.

In some embodiments, the monoclonal antibody that binds to human OX40 and the monoclonal antibody that binds to human PD-1 are each individually formulated with one or more pharmaceutically acceptable carriers.

5 In some embodiments, the cancer is a solid tumor. In some embodiments, the cancer is selected from the group consisting of: melanoma, lung cancer, kidney cancer, breast cancer, head and neck cancer, colon cancer, ovarian cancer, pancreatic cancer, liver cancer, prostate cancer, bladder cancer, and gastric cancer.

In some aspects, the disclosure provides

10 a therapeutically effective amount of a monoclonal antibody that binds to human OX40 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:1; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:2; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:3; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:7; (e) a light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:8; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:9; and

20 a therapeutically effective amount of a monoclonal antibody that binds to human PD-1 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:54; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:55; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:56; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:57; (e) a light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:58; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:59, for use (e.g., simultaneous or sequential use) in treating cancer in a human in need thereof.

30 In some embodiments, the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:1 1.

In some embodiments, the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:11, and the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:48 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:49.

In some embodiments, the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:50 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:48 and a light chain comprising an

amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:49, and the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 50 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO:48 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:49, and the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO: 50 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:51.

In some embodiments, the cancer is a solid tumor. In some embodiments, the cancer is selected from the group consisting of: melanoma, lung cancer, kidney cancer, breast cancer, head and neck cancer, colon cancer, ovarian cancer, pancreatic cancer, liver cancer, prostate cancer, bladder cancer, and gastric cancer.

In some embodiments, the monoclonal antibody that binds to OX40 and the monoclonal antibody that binds to human PD-1 are to be administered at the same time.

In some embodiments, the monoclonal antibody that binds to human OX40 and the monoclonal antibody that binds to human PD-1 are to be administered sequentially, in any order.

In some embodiments, the monoclonal antibody that binds to OX40 and/or the monoclonal antibody that binds to human PD-1 are to be administered intravenously.

In some embodiments, the monoclonal antibody that binds to OX40 and/or the monoclonal antibody that binds to human PD-1 are to be administered intratumorally.

In some embodiments, the monoclonal antibody that binds to OX40 is to be administered at a dose of about 0.1 mg/kg to about 10 mg/kg.

In some embodiments, the monoclonal antibody that binds to OX40 is to be administered at a frequency selected from the group consisting of: once daily, once weekly, once every two weeks (Q2W), and once every three weeks (Q3W).

5 In some embodiments, the monoclonal antibody that binds to human PD-1 is to be administered at a dose of about 200 mg.

In some embodiments, the monoclonal antibody that binds to human PD-1 is to be administered Q3W.

10 In some aspects, the disclosure provides a therapeutically effective amount of a monoclonal antibody that binds to human OX40 that comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO:48 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:49, and a therapeutically effective amount of a monoclonal antibody that binds to human PD-1 that comprises a heavy chain comprising the amino acid sequence set forth in SEQ
15 ID NO: 50 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:51 for use (e.g., simultaneous or sequential use) in reducing tumor size in a human having cancer.

In some embodiments, the human demonstrates complete response or partial response according to RECIST version 1.1 .

20 In some embodiments, the monoclonal antibody that binds to human PD-1 is to be intravenously administered to the human starting at least 1 hour and no more than 2 hours following the end of intravenous administration of the monoclonal antibody that binds to human OX40.

25 In some aspects, the disclosure provides use (e.g., simultaneous or sequential use) of

a therapeutically effective amount of a monoclonal antibody that binds to human OX40 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:1; (b) a heavy chain variable region CDR2
30 comprising the amino acid sequence of SEQ ID NO:2; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:3; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:7; (e) a

light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:8; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:9; and

5 a therapeutically effective amount of a monoclonal antibody that binds to human PD-1 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:54; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:55; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:56; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:57; (e) 10 light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:58; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:59 for the preparation of a medicament for treating cancer in a human in need thereof.

15 In some embodiments, the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:1 1.

20 In some embodiments, the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 25 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:1 1, 30 and the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at 35

least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%,
5 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:48 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:49.

10 In some embodiments, the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:50 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or
15 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:48 and a light chain comprising an
20 amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:49, and the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid
25 sequence as set forth in SEQ ID NO: 50 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51.

In some embodiments, the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID
30 NO:48 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:49, and the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO: 50 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:51.

In some embodiments, the cancer is a solid tumor. In some embodiments, the cancer is selected from the group consisting of: melanoma, lung cancer, kidney cancer, breast cancer, head and neck cancer, colon cancer, ovarian cancer, pancreatic cancer, liver cancer, prostate cancer, bladder cancer, and gastric cancer.

5 In some embodiments, the monoclonal antibody that binds to OX40 and the monoclonal antibody that binds to human PD-1 are administered at the same time.

In some embodiments, the monoclonal antibody that binds to human OX40 and the monoclonal antibody that binds to human PD-1 are administered sequentially, in any order.

10 In some embodiments, the monoclonal antibody that binds to OX40 and/or the monoclonal antibody that binds to human PD-1 are administered intravenously.

In some embodiments, the monoclonal antibody that binds to OX40 and/or the monoclonal antibody that binds to human PD-1 are administered intratumorally.

15 In some embodiments, the monoclonal antibody that binds to OX40 is administered at a dose of about 0.1 mg/kg to about 10 mg/kg.

In some embodiments, the monoclonal antibody that binds to OX40 is administered at a frequency selected from the group consisting of: once daily, once weekly, once every two weeks (Q2W), and once every three weeks (Q3W).

20 In some embodiments, the monoclonal antibody that binds to human PD-1 is administered at a dose of about 200 mg.

In some embodiments, the monoclonal antibody that binds to human PD-1 is administered Q3W.

25 In some aspects, the disclosure provides use (e.g., simultaneous or sequential use) of a therapeutically effective amount of a monoclonal antibody that binds to human OX40 that comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO:48 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:49, and a therapeutically effective amount of a monoclonal antibody that binds to human PD-1 that comprises a heavy chain comprising the amino acid
30 sequence set forth in SEQ ID NO: 50 and a light chain comprising the amino acid

sequence set forth in SEQ ID NO:51 for the preparation of a medicament for reducing tumor size in a human having cancer.

In some embodiments, the human demonstrates complete response or partial response according to RECIST version 1.1 .

- 5 In some embodiments, the monoclonal antibody that binds to human PD-1 is intravenously administered to the human starting at least 1 hour and no more than 2 hours following the end of intravenous administration of the monoclonal antibody that binds to human OX40.

10

BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1-12 show sequences of the anti-OX40 ABPs of a combination of the invention, or a method or use thereof, e.g. CDRs and VH and VL sequences.

- 15 Figure 13 a and b is a pair of line graphs showing OX86 monotherapy results in a statistically significant increase in survival in nonclinical mouse model; (a) all dose levels tested and (b) the 5 ug (microgram) dose.

Figure 14 is a line graph showing OX86 and anti-PD1 in CT26 syngeneic mouse tumor model: combination therapy vs. monotherapy.

Figure 15 is a schematic showing the study design.

- 20 Figure 16 is a series of four panels showing the anti-tumor effect of concurrent administration of a PD-1 antagonist and an OX40 agonist. Combination treatment is superior to monotherapy with either agent alone in MC38 tumor-bearing mice. CR (complete responses); PR (partial responses). Experimental details are described in Example 2.

25

DETAILED DESCRIPTION OF THE INVENTION

Compositions and Combinations

- Improved function of the immune system is a goal of immunotherapy for cancer. While not being bound by theory, it is thought that for the immune system to be activated and effectively cause regression or eliminate tumors, there must be efficient
30 cross talk among the various compartments of the immune system as well at the at the tumor bed. The tumoricidal effect is dependent on one or more steps, e.g. the

uptake of antigen by immature dendritic cells and presentation of processed antigen via MHC I and II by mature dendritic cells to naive CD8 (cytotoxic) and CD4 (helper) lymphocytes, respectively, in the draining lymph nodes. Naive T cells express molecules such as CTLA-4 and CD28 that engage with co-stimulatory molecules of the B7 family on antigen presenting cells (APCs) such as dendritic cells. In order to keep T cells in check during immune surveillance, B7 on APCs preferentially binds to CTLA-4, an inhibitory molecule on T lymphocytes. However, upon engagement of the T cell receptor (TCR) with MHC Class I or II receptors via cognate peptide presentation on APCs, the co-stimulatory molecule disengages from CTLA-4 and instead binds to the lower affinity stimulatory molecule CD28, causing T cell activation and proliferation. This expanded population of primed T lymphocytes retains memory of the antigen that was presented to them as they traffic to distant tumor sites. Upon encountering a tumor cell bearing the cognate antigen, they eliminate the tumor via cytolytic mediators such as granzyme B and perforins. This apparently simplistic sequence of events is highly dependent on several cytokines, co-stimulatory molecules and check point modulators to activate and differentiate these primed T lymphocytes to a memory pool of cells that can eliminate the tumor.

Thus, an emerging immunotherapeutic strategy is to target T cell co-stimulatory molecules, e.g. OX40. OX40 (e.g. human OX40 (hOX40) or hOX40R) is a tumor necrosis factor receptor family member that is expressed, among other cells, on activated CD4 and CD8 T cells. One of its functions is in the differentiation and long-term survival of these cells. The ligand for OX40 (OX40L) is expressed by activated antigen-presenting cells. Not wishing to be bound by theory, the anti-OX40 ABPs of a combination of the invention, or a method or use thereof, modulate OX40 and promote growth and/or differentiation of T cells and increase long-term memory T-cell populations, e.g. in overlapping mechanisms as those of OX40L, by "engaging" OX40. Thus, in one embodiment of the ABPs of a combination of the invention, or a method or use thereof, bind and engage OX40. In another embodiment, the anti-OX40 ABPs of a combination of the invention, or a method or use thereof, modulate OX40. In a further embodiment, the ABPs of a combination of the invention, or a method or use thereof, modulate OX40 by mimicking OX40L. In another embodiment, the anti-OX40 ABPs of a combination of the invention, or a method or use thereof, are agonist antibodies. In another embodiment, the anti-OX40 ABPs of a combination of the invention, or a method or use thereof, modulate OX40 and cause proliferation of T cells. In a further embodiment, the anti-OX40 ABPs of a combination of the invention, or a method or use thereof, modulate OX40 and improve, augment, enhance, or increase proliferation of CD4 T cells. In another

embodiment, the anti-OX40 ABPs of a combination of the invention, or a method or use thereof, improve, augment, enhance, or increase proliferation of CD8 T cells. In further embodiment, the anti-OX40 ABPs of a combination of the invention, or a method or use thereof, improve, augment, enhance, or increase proliferation of both
5 CD4 and CD8 T cells. In another embodiment, the anti-OX40 ABPs of a combination of the invention, or a method or use thereof, enhance T cell function, e.g. of CD4 or CD8 T cells, or both CD4 and CD8 T cells. In a further embodiment, the anti-OX40 ABPs of a combination of the invention, or a method or use thereof, enhance effector
10 T cell function. In another embodiment, the anti-OX40 ABPs of a combination of the invention, or a method or use thereof, improve, augment, enhance, or increase long-term survival of CD8 T cells. In further embodiments, any of the preceding effects occur in a tumor microenvironment.

Not being bound by theory, of equal importance is the blockade of a potentially robust immunosuppressive response at the tumor site by mediators produced both
15 by T regulatory cells (Tregs) as well as the tumor itself (e.g. Transforming Growth Factor (TGF-B) and interleukin-10 (IL-10)). Not wishing to be bound by theory, a key immune pathogenesis of cancer can be the involvement of Tregs that are found in tumor beds and sites of inflammation. In general, Treg cells occur naturally in circulation and help the immune system to return to a quiet, although vigilant state,
20 after encountering and eliminating external pathogens. They help to maintain tolerance to self antigens and are naturally suppressive in function. They are phenotypically characterized as CD4+, CD25+, FOXP3+ cells. Not wishing to be bound by theory, but in order to break tolerance to effectively treat certain cancers, one mode of therapy is to eliminate Tregs preferentially at tumor sites. Targeting and
25 eliminating Tregs leading to an antitumor response has been more successful in tumors that are immunogenic compared to those that are poorly immunogenic. Many tumors secrete cytokines, e.g. TGF-B that may hamper the immune response by causing precursor CD4+25+ cells to acquire the FOXP3+ phenotype and function as Tregs.

30 "Modulate" as used herein, for example with regard to a receptor or other target means to change any natural or existing function of the receptor, for example it means affecting binding of natural or artificial ligands to the receptor or target; it includes initiating any partial or full conformational changes or signaling through the receptor or target, and also includes preventing partial or full binding of the receptor
35 or target with its natural or artificial ligands. Also included in the case of membrane bound receptors or targets are any changes in the way the receptor or target

interacts with other proteins or molecules in the membrane or change in any localization (or co-localization with other molecules) within membrane compartments as compared to its natural or unchanged state. Modulators are therefore compounds or ligands or molecules that modulate a target or receptor. Modulate includes
5 agonizing, e.g. signaling, as well as antagonizing, or blocking signaling or interactions with a ligand or compound or molecule that happen in the unchanged or unmodulated state. Thus, modulators may be agonists or antagonists. Further, one of skill in the art will recognize that not all modulators will be have absolute selectivity for one target or receptor, but are still considered a modulator for that target or
10 receptor; for example, a modulator may also engage multiple targets.

As used herein the term "agonist" refers to an antigen binding protein including but not limited to an antibody, which upon contact with a co-signaling receptor causes one or more of the following (1) stimulates or activates the receptor, (2) enhances, increases or promotes, induces or prolongs an activity, function or presence of the
15 receptor (3) mimics one or more functions of a natural ligand or molecule that interacts with a target or receptor and includes initiating one or more signaling events through the receptor, mimicking one or more functions of a natural ligand, or initiating one or more partial or full conformational changes that are seen in known functioning or signaling through the receptor and/or (4) enhances, increases, promotes or
20 induces the expression of the receptor. Agonist activity can be measured in vitro by various assays know in the art such as, but not limited to, measurement of cell signaling, cell proliferation, immune cell activation markers, cytokine production. Agonist activity can also be measured in vivo by various assays that measure surrogate end points such as, but not limited to the measurement of T cell
25 proliferation or cytokine production.

As used herein the term "antagonist" refers to an antigen binding protein including but not limited to an antibody, which upon contact with a co-signaling receptor causes one or more of the following (1) attenuates, blocks or inactivates the receptor and/or blocks activation of a receptor by its natural ligand, (2) reduces, decreases or
30 shortens the activity, function or presence of the receptor and/or (3) reduces, decrease, abrogates the expression of the receptor. Antagonist activity can be measured in vitro by various assays know in the art such as, but not limited to, measurement of an increase or decrease in cell signaling, cell proliferation, immune cell activation markers, cytokine production. Antagonist activity can also be
35 measured in vivo by various assays that measure surrogate end points such as, but not limited to the measurement of T cell proliferation or cytokine production.

Thus, in one embodiment, an agonist anti-OX40 ABP inhibits the suppressive effect of Treg cells on other T cells, e.g. within the tumor environment.

Accumulating evidence suggests that the ratio of Tregs to T effector cells in the tumor correlates with anti tumor response. Therefore, in one embodiment, the OX40
5 ABPs (anti-OX40 ABPs) of a combination of the invention, or a method or use thereof, modulate OX40 to augment T effector number and function and inhibit Treg function.

Enhancing, augmenting, improving, increasing, and otherwise changing the anti-tumor effect of OX40 is an object of a combination of the invention, or a method or
10 use thereof. Described herein are combinations of an anti-OX40 ABP of a combination of the invention, or a method or use thereof, and another compound, such as a PD-1 modulator (e.g. anti-PD-1 ABP) described herein.

Thus, as used herein the term "combination of the invention" refers to a combination comprising an anti-OX40 ABP, suitably an agonist anti-OX40 ABP, and an anti-PD-1
15 ABP, suitably an antagonist anti-PD-1 ABP, each of which may be administered separately or simultaneously as described herein.

As used herein, the terms "cancer," "neoplasm," and "tumor," are used interchangeably and in either the singular or plural form, refer to cells that have undergone a malignant transformation or undergone cellular changes that result in
20 aberrant or unregulated growth or hyperproliferation. Such changes or malignant transformations usually make such cells pathological to the host organism, thus precancers or precancerous cells that are or could become pathological and require or could benefit from intervention are also intended to be included. Primary cancer cells (that is, cells obtained from near the site of malignant transformation) can be
25 readily distinguished from non-cancerous cells by well-established techniques, particularly histological examination. The definition of a cancer cell, as used herein, includes not only a primary cancer cell, but any cell derived from a cancer cell ancestor. This includes metastasized cancer cells, and *in vitro* cultures and cell lines derived from cancer cells. When referring to a type of cancer that normally manifests
30 as a solid tumor, a "clinically detectable" tumor is one that is detectable on the basis of tumor mass; e.g., by procedures such as CAT scan, MR imaging, X-ray, ultrasound or palpation, and/or which is detectable because of the expression of one or more cancer-specific antigens in a sample obtainable from a patient. In other words, the terms herein include cells, neoplasms, cancers, and tumors of any stage,
35 including what a clinician refers to as precancer, tumors, in situ growths, as well as

late stage metastatic growths, Tumors may be hematopoietic tumor, for example, tumors of blood cells or the like, meaning liquid tumors. Specific examples of clinical conditions based on such a tumor include leukemia such as chronic myelocytic leukemia or acute myelocytic leukemia; myeloma such as multiple myeloma; lymphoma and the like.

As used herein the term "agent" is understood to mean a substance that produces a desired effect in a tissue, system, animal, mammal, human, or other subject. Accordingly, the term "anti-neoplastic agent" is understood to mean a substance producing an anti-neoplastic effect in a tissue, system, animal, mammal, human, or other subject. It is also to be understood that an "agent" may be a single compound or a combination or composition of two or more compounds.

By the term "treating" and derivatives thereof as used herein, is meant therapeutic therapy. In reference to a particular condition, treating means: (1) to ameliorate the condition or one or more of the biological manifestations of the condition; (2) to interfere with (a) one or more points in the biological cascade that leads to or is responsible for the condition or (b) one or more of the biological manifestations of the condition; (3) to alleviate one or more of the symptoms, effects or side effects associated with the condition or one or more of the symptoms, effects or side effects associated with the condition or treatment thereof; (4) to slow the progression of the condition or one or more of the biological manifestations of the condition and/or (5) to cure said condition or one or more of the biological manifestations of the condition by eliminating or reducing to undetectable levels one or more of the biological manifestations of the condition for a period of time considered to be a state of remission for that manifestation without additional treatment over the period of remission. One skilled in the art will understand the duration of time considered to be remission for a particular disease or condition. Prophylactic therapy is also contemplated thereby. The skilled artisan will appreciate that "prevention" is not an absolute term. In medicine, "prevention" is understood to refer to the prophylactic administration of a drug to substantially diminish the likelihood or severity of a condition or biological manifestation thereof, or to delay the onset of such condition or biological manifestation thereof. Prophylactic therapy is appropriate, for example, when a subject is considered at high risk for developing cancer, such as when a subject has a strong family history of cancer or when a subject has been exposed to a carcinogen.

As used herein, "prevention" is understood to refer to the prophylactic administration of a drug to substantially diminish the likelihood or severity of a condition or biological

manifestation thereof, or to delay the onset of such condition or biological manifestation thereof. The skilled artisan will appreciate that "prevention" is not an absolute term. Prophylactic therapy is appropriate, for example, when a subject is considered at high risk for developing cancer, such as when a subject has a strong
5 family history of cancer or when a subject has been exposed to a carcinogen.

As used herein, the term "effective amount" means that amount of a drug or pharmaceutical agent that will elicit the biological or medical response of a tissue, system, animal or human that is being sought, for instance, by a researcher or clinician. Furthermore, the term "therapeutically effective amount" means any
10 amount which, as compared to a corresponding subject who has not received such amount, results in improved treatment, healing, prevention, or amelioration of a disease, disorder, or side effect, or a decrease in the rate of advancement of a disease or disorder. The term also includes within its scope amounts effective to enhance normal physiological function.

15 The administration of a therapeutically effective amount of the combinations of the invention (or therapeutically effective amounts of each of the components of the combination) are advantageous over the individual component compounds in that the combinations provide one or more of the following improved properties when compared to the individual administration of a therapeutically effective amount of a
20 component compound: i) a greater anticancer effect than the most active single agent, ii) synergistic or highly synergistic anticancer activity, iii) a dosing protocol that provides enhanced anticancer activity with reduced side effect profile, iv) a reduction in the toxic effect profile, v) an increase in the therapeutic window, or vi) an increase in the bioavailability of one or both of the component compounds.

25 The invention further provides pharmaceutical compositions, which include one or more of the components herein, and one or more pharmaceutically acceptable carriers, diluents, or excipients. The combination of the invention may comprise two pharmaceutical compositions, one comprising an anti-OX40 ABP of the invention, suitably an agonist anti-OX40 ABP, and the other comprising an anti-PD-1 ABP,
30 suitably an antagonist anti-PD-1 ABP, each of which may have the same or different carriers, diluents or excipients. The carrier(s), diluent(s) or excipient(s) must be acceptable in the sense of being compatible with the other ingredients of the formulation, capable of pharmaceutical formulation, and not deleterious to the recipient thereof.

The components of the combination of the invention, and pharmaceutical compositions comprising such components may be administered in any order, and in different routes; the components and pharmaceutical compositions comprising the same may be administered simultaneously.

- 5 In accordance with another aspect of the invention there is also provided a process for the preparation of a pharmaceutical composition including admixing a component of the combination of the invention and one or more pharmaceutically acceptable carriers, diluents or excipients.

10 The components of the invention may be administered by any appropriate route. For some components, suitable routes include oral, rectal, nasal, topical (including buccal and sublingual), vaginal, and parenteral (including subcutaneous, intramuscular, intravenous, intradermal, intrathecal, and epidural). It will be appreciated that the preferred route may vary with, for example, the condition of the recipient of the combination and the cancer to be treated. It will also be appreciated that each of the
15 agents administered may be administered by the same or different routes and that the components may be compounded together or in separate pharmaceutical compositions.

In one embodiment, one or more components of a combination of the invention are administered intravenously. In another embodiment, one or more components of a
20 combination of the invention are administered intratumorally. In another embodiment, one or more components of a combination of the invention are administered systemically, e.g. intravenously, and one or more other components of a combination of the invention are administered intratumorally. In another embodiment, all of the components of a combination of the invention are
25 administered systemically, e.g. intravenously. In an alternative embodiment, all of the components of the combination of the invention are administered intratumorally. In any of the embodiments, e.g. in this paragraph, the components of the invention are administered as one or more pharmaceutical compositions.

Antigen Binding Proteins that bind OX40

30 "Antigen Binding Protein (ABP)" means a protein that binds an antigen, including antibodies or engineered molecules that function in similar ways to antibodies. Such alternative antibody formats include triabody, tetrabody, miniantibody, and a minibody, Also included are alternative scaffolds in which the one or more CDRs of any molecules in accordance with the disclosure can be arranged onto a suitable
35 non-immunoglobulin protein scaffold or skeleton, such as an affibody, a SpA scaffold,

an LDL receptor class A domain, an avimer (see, e.g., U.S. Patent Application Publication Nos. 2005/0053973, 2005/0089932, 2005/0164301) or an EGF domain.

An ABP also includes antigen binding fragments of such antibodies or other molecules. Further, an ABP of a combination of the invention, or a method or use thereof, may comprise the VH regions formatted into a full length antibody, a (Fab')₂ fragment, a Fab fragment, a bi-specific or biparatopic molecule or equivalent thereof (such as scFV, bi- tri- or tetra-bodies, Tandabs etc.), when paired with an appropriate light chain. The ABP may comprise an antibody that is an IgG1, IgG2, IgG3, or IgG4; or IgM; IgA, IgE or IgD or a modified variant thereof. The constant domain of the antibody heavy chain may be selected accordingly. The light chain constant domain may be a kappa or lambda constant domain. The ABP may also be a chimeric antibody of the type described in WO86/01533 which comprises an antigen binding region and a non-immunoglobulin region.

Thus, herein an anti-OX40 ABP of a combination, or a method or use thereof, of the invention or protein is one that binds OX40, and in preferred embodiments does one or more of the following: modulate signaling through OX40, modulates the function of OX40, agonize OX40 signaling, stimulate OX40 function, or co-stimulate OX40 signaling. One of skill in the art would readily recognize a variety of well known assays to establish such functions.

The term "antibody" as used herein refers to molecules with an antigen binding domain, and optionally an immunoglobulin-like domain or fragment thereof and includes monoclonal (for example IgG, IgM, IgA, IgD or IgE and modified variants thereof), recombinant, polyclonal, chimeric, humanized, biparatopic, bispecific and heteroconjugate antibodies, or a closed conformation multispecific antibody. An "antibody" included xenogeneic, allogeneic, syngeneic, or other modified forms thereof. An antibody may be isolated or purified. An antibody may also be recombinant, i.e. produced by recombinant means; for example, an antibody that is 90% identical to a reference antibody may be generated by mutagenesis of certain residues using recombinant molecular biology techniques known in the art. Thus, the antibodies of the present invention may comprise heavy chain variable regions and light chain variable regions of a combination of the invention, or a method or use thereof, which may be formatted into the structure of a natural antibody or formatted into a full length recombinant antibody, a (Fab')₂ fragment, a Fab fragment, a bi-specific or biparatopic molecule or equivalent thereof (such as scFV, bi- tri- or tetra-bodies, Tandabs etc.), when paired with an appropriate light chain. The antibody may be an IgG1, IgG2, IgG3, or IgG4 or a modified variant thereof. The constant

domain of the antibody heavy chain may be selected accordingly. The light chain constant domain may be a kappa or lambda constant domain. The antibody may also be a chimeric antibody of the type described in WO86/01533 which comprises an antigen binding region and a non-immunoglobulin region.

- 5 One of skill in the art will recognize that the anti-OX40 ABPs of a combination herein, or method or use thereof, of the invention bind an epitope of OX40; likewise an anti-PD-1 ABP of a combination herein, or a method or use thereof, of the invention binds an epitope of PD-1. The epitope of an ABP is the region of its antigen to which the ABP binds. Two ABPs bind to the same or overlapping epitope if each competitively
10 inhibits (blocks) binding of the other to the antigen. That is, a 1x, 5x, 10x, 20x or 100x excess of one antibody inhibits binding of the other by at least 50% but preferably 75%, 90% or even 99% as measured in a competitive binding assay compared to a control lacking the competing antibody (see, e.g., Junghans et al., Cancer Res. 50:1495, 1990, which is incorporated herein by reference). Alternatively, two
15 antibodies have the same epitope if essentially all amino acid mutations in the antigen that reduce or eliminate binding of one antibody reduce or eliminate binding of the other. Also the same epitope may include "overlapping epitopes" e.g. if some amino acid mutations that reduce or eliminate binding of one antibody reduce or eliminate binding of the other.
- 20 The strength of binding may be important in dosing and administration of an ABP of the combination, or method or use thereof, of the invention. In one embodiment, the ABP of the invention binds its target (e.g. OX40 or PD-1) with high affinity. For example, when measured by Biacore, the antibody binds to OX40, preferably human OX40, with an affinity of 1-1000nM or 500nM or less or an affinity of 200nM or less or
25 an affinity of 100nM or less or an affinity of 50 nM or less or an affinity of 500pM or less or an affinity of 400pM or less, or 300pM or less. In a further aspect the antibody binds to OX40, preferably human OX40, when measured by Biacore of between about 50nM and about 200nM or between about 50nM and about 150nM. In one aspect of the present invention the antibody binds OX40, preferably human OX40,
30 with an affinity of less than 100nM.

In a further embodiment, binding is measured by Biacore. Affinity is the strength of binding of one molecule, e.g. an antibody of a combination of the invention, or a method or use thereof, to another, e.g. its target antigen, at a single binding site. The binding affinity of an antibody to its target may be determined by equilibrium methods
35 (e.g. enzyme-linked immunoabsorbent assay (ELISA) or radioimmunoassay (RIA)),

or kinetics (e.g. BIACORE analysis). For example, the Biacore methods known in the art may be used to measure binding affinity.

Avidity is the sum total of the strength of binding of two molecules to one another at multiple sites, e.g. taking into account the valency of the interaction.

- 5 In an aspect, the equilibrium dissociation constant (KD) of the ABP of a combination of the invention, or a method or use thereof, and OX40, preferably human OX40, interaction is 100 nM or less, 10 nM or less, 2 nM or less or 1 nM or less. Alternatively the KD may be between 5 and 10 nM; or between 1 and 2 nM. The KD may be between 1 pM and 500 pM; or between 500 pM and 1 nM. A skilled person
10 will appreciate that the smaller the KD numerical value, the stronger the binding. The reciprocal of KD (i.e. 1/KD) is the equilibrium association constant (KA) having units M⁻¹. A skilled person will appreciate that the larger the KA numerical value, the stronger the binding.

- The dissociation rate constant (kd) or "off-rate" describes the stability of the complex
15 of the ABP on one hand and OX40, preferably human OX40 on the other hand, i.e. the fraction of complexes that decay per second. For example, a kd of 0.01 s⁻¹ equates to 1% of the complexes decaying per second. In an embodiment, the dissociation rate constant (kd) is 1x10⁻³ s⁻¹ or less, 1x10⁻⁴ s⁻¹ or less, 1x10⁻⁵ s⁻¹ or less, or 1x10⁻⁶ s⁻¹ or less. The kd may be between 1x10⁻⁵ s⁻¹ and 1x10⁻⁴ s⁻¹; or
20 between 1x10⁻⁴ s⁻¹ and 1x10⁻³ s⁻¹.

- Competition between an anti-OX40 ABP of a combination of the invention, or a method or use thereof, and a reference antibody, e.g. for binding OX40, an epitope of OX40, or a fragment of the OX40, may be determined by competition ELISA, FMAT or Biacore. In one aspect, the competition assay is carried out by Biacore. There are
25 several possible reasons for this competition: the two proteins may bind to the same or overlapping epitopes, there may be steric inhibition of binding, or binding of the first protein may induce a conformational change in the antigen that prevents or reduces binding of the second protein.

- "Binding fragments" as used herein means a portion or fragment of the ABPs of a
30 combination of the invention, or a method or use thereof, that include the antigen-binding site and are capable of binding OX40 as defined herein, e.g. but not limited to capable of binding to the same epitope of the parent or full length antibody.

Functional fragments of the ABPs of a combination of the invention, or a method or use thereof, are contemplated herein.

Thus, "binding fragments" and "functional fragments" may be an Fab and F(ab')₂ fragments which lack the Fc fragment of an intact antibody, clear more rapidly from the circulation, and may have less non-specific tissue binding than an intact antibody (Wahl et al., J. Nuc. Med. 24:316-325 (1983)). Also included are Fv fragments
5 (Hochman, J. et al. (1973) Biochemistry 12:1 130-1 135; Sharon, J. et al.(1976) Biochemistry 15:1591-1594). These various fragments are produced using conventional techniques such as protease cleavage or chemical cleavage (see, e.g., Rousseaux et al., Meth. Enzymol., 121 :663-69 (1986)).

"Functional fragments" as used herein means a portion or fragment of the ABPs of a
10 combination of the invention, or a method or use thereof, that include the antigen-binding site and are capable of binding the same target as the parent ABP, e.g. but not limited to binding the same epitope, and that also retain one or more modulating or other functions described herein or known in the art.

As the ABPs of the present invention may comprise heavy chain variable regions and
15 light chain variable regions of a combination of the invention, or a method or use thereof, which may be formatted into the structure of a natural antibody, a functional fragment is one that retains binding or one or more functions of the full length ABP as described herein. A binding fragment of an ABP of a combination of the invention, or a method or use thereof, may therefore comprise the VL or VH regions, a (Fab')₂
20 fragment, a Fab fragment, a fragment of a bi-specific or biparatopic molecule or equivalent thereof (such as scFV, bi- tri- or tetra-bodies, Tandabs etc.), when paired with an appropriate light chain.

The term "CDR" as used herein, refers to the complementarity determining region amino acid sequences of an antigen binding protein. These are the hypervariable
25 regions of immunoglobulin heavy and light chains. There are three heavy chain and three light chain CDRs (or CDR regions) in the variable portion of an immunoglobulin.

It will be apparent to those skilled in the art that there are various numbering conventions for CDR sequences; Chothia (Chothia et al. (1989) Nature 342: 877-883), Kabat (Kabat et al., Sequences of Proteins of Immunological Interest, 4th Ed.,
30 U.S. Department of Health and Human Services, National Institutes of Health (1987)), AbM (University of Bath) and Contact (University College London). The minimum overlapping region using at least two of the Kabat, Chothia, AbM and contact methods can be determined to provide the "minimum binding unit". The minimum binding unit may be a subportion of a CDR. The structure and protein
35 folding of the antibody may mean that other residues are considered part of the CDR

sequence and would be understood to be so by a skilled person. It is noted that some of the CDR definitions may vary depending on the individual publication used.

Unless otherwise stated and/or in absence of a specifically identified sequence, references herein to "CDR", "CDRL1" (or "LC CDR1"), "CDRL2" (or "LC CDR2"), "CDRL3" (or "LC CDR3"), "CDRH1" (or "HC CDR1"), "CDRH2" (or "HC CDR2"), "CDRH3" (or "HC CDR3") refer to amino acid sequences numbered according to any of the known conventions; alternatively, the CDRs are referred to as "CDR1," "CDR2," "CDR3" of the variable light chain and "CDR1," "CDR2," and "CDR3" of the variable heavy chain. In particular embodiments, the numbering convention is the Kabat convention.

The term "CDR variant" as used herein, refers to a CDR that has been modified by at least one, for example 1, 2 or 3, amino acid substitution(s), deletion(s) or addition(s), wherein the modified antigen binding protein comprising the CDR variant substantially retains the biological characteristics of the antigen binding protein pre-modification. It will be appreciated that each CDR that can be modified may be modified alone or in combination with another CDR. In one aspect, the modification is a substitution, particularly a conservative substitution, for example as shown in Table 1.

Table 1

Side chain	Members
Hydrophobic	Met, Ala, Val, Leu, Ile
Neutral hydrophilic	Cys, Ser, Thr
Acidic	Asp, Glu
Basic	Asn, Gln, His, Lys, Arg
Residues that influence chain orientation	Gly, Pro
Aromatic	Trp, Tyr, Phe

20

For example, in a variant CDR, the amino acid residues of the minimum binding unit may remain the same, but the flanking residues that comprise the CDR as part of the Kabat or Chothia definition(s) may be substituted with a conservative amino acid residue.

25 Such antigen binding proteins comprising modified CDRs or minimum binding units as described above may be referred to herein as "functional CDR variants" or "functional binding unit variants".

The antibody may be of any species, or modified to be suitable to administer to a cross species. For example the CDRs from a mouse antibody may be humanized for

administration to humans. In any embodiment, the antigen binding protein is optionally a humanized antibody.

A "humanized antibody" refers to a type of engineered antibody having its CDRs derived from a non-human donor immunoglobulin, the remaining immunoglobulin-derived parts of the molecule being derived from one (or more) human immunoglobulin(s). In addition, framework support residues may be altered to preserve binding affinity (see, e.g., Queen et al., Proc. Natl Acad Sci USA, 86:10029-10032 (1989), Hodgson et al., Bio/Technology, 9:421 (1991)). A suitable human acceptor antibody may be one selected from a conventional database, e.g., the K^ABAT® database, Los Alamos database, and Swiss Protein database, by homology to the nucleotide and amino acid sequences of the donor antibody. A human antibody characterized by a homology to the framework regions of the donor antibody (on an amino acid basis) may be suitable to provide a heavy chain constant region and/or a heavy chain variable framework region for insertion of the donor CDRs. A suitable acceptor antibody capable of donating light chain constant or variable framework regions may be selected in a similar manner. It should be noted that the acceptor antibody heavy and light chains are not required to originate from the same acceptor antibody. The prior art describes several ways of producing such humanized antibodies - see for example EP-A-0239400 and EP-A-054951.

In yet a further embodiment, the humanized antibody has a human antibody constant region that is an IgG. In another embodiment, the IgG is a sequence as disclosed in any of the above references or patent publications.

For nucleotide and amino acid sequences, the term "identical" or "identity" indicates the degree of identity between two nucleic acid or two amino acid sequences when optimally aligned and compared with appropriate insertions or deletions.

The percent sequence identity between two sequences is a function of the number of identical positions shared by the sequences (i.e., % identity = number of identical positions/total number of positions multiplied by 100), taking into account the number of gaps, and the length of each gap, which need to be introduced for optimal alignment of the two sequences. The comparison of sequences and determination of percent identity between two sequences can be accomplished using a mathematical algorithm, as described below.

Percent identity between a query nucleic acid sequence and a subject nucleic acid sequence is the "Identities" value, expressed as a percentage, which is calculated by

the BLASTN algorithm when a subject nucleic acid sequence has 100% query coverage with a query nucleic acid sequence after a pair-wise BLASTN alignment is performed. Such pair-wise BLASTN alignments between a query nucleic acid sequence and a subject nucleic acid sequence are performed by using the default
5 settings of the BLASTN algorithm available on the National Center for Biotechnology Institute's website with the filter for low complexity regions turned off. Importantly, a query nucleic acid sequence may be described by a nucleic acid sequence identified in one or more claims herein.

Percent identity between a query amino acid sequence and a subject amino acid
10 sequence is the "Identities" value, expressed as a percentage, which is calculated by the BLASTP algorithm when a subject amino acid sequence has 100% query coverage with a query amino acid sequence after a pair-wise BLASTP alignment is performed. Such pair-wise BLASTP alignments between a query amino acid sequence and a subject amino acid sequence are performed by using the default
15 settings of the BLASTP algorithm available on the National Center for Biotechnology Institute's website with the filter for low complexity regions turned off. Importantly, a query amino acid sequence may be described by an amino acid sequence identified in one or more claims herein.

In any embodiment of a combination of the invention, or a method or use thereof,
20 herein, the ABP may have any one or all CDRs, VH, VL, HC, LC, with 99, 98, 97, 96, 95, 94, 93, 92, 91, or 90, or 85, or 80, or 75, or 70 percent identity to the sequence shown or referenced, e.g. as defined by a SEQ ID NO disclosed herein.

ABPs that bind human OX40 receptor are provided herein (i.e. an anti-OX40 ABP and an anti-human OX40 receptor (hOX-40R) antibody, sometimes referred to herein
25 as an "anti-OX40 ABP" or an "anti- OX40 antibody" and/or other variations of the same). These antibodies are useful in the treatment or prevention of acute or chronic diseases or conditions whose pathology involves OX40 signaling. In one aspect, an antigen binding protein, or isolated human antibody or functional fragment of such protein or antibody, that binds to human OX40R and is effective as a cancer
30 treatment or treatment against disease is described, for example in combination with another compound such as an anti-PD-1 ABP, suitably an antagonist anti-PD1 ABP. Any of the antigen binding proteins or antibodies disclosed herein may be used as a medicament. Any one or more of the antigen binding proteins or antibodies may be used in the methods or compositions to treat cancer, e.g. those disclosed herein.

The isolated antibodies as described herein bind to OX40, and may bind to OX40 encoded from the following genes: NCBI Accession Number NP_003317, Genpept Accession Number P23510, or genes having 90 percent homology or 90 percent identity thereto. The isolated antibody provided herein may further bind to the OX40
5 receptor having one of the following GenBank Accession Numbers: AAB39944, CAE1 1757, or AAI05071 .

Antigen binding proteins and antibodies that bind and/or modulate OX-40 receptor are known in the art. Exemplary anti-OX40 ABPs of a combination of the invention, or a method or use thereof, are disclosed, for example in International Publication
10 No. WO2013/028231 (PCT/US2012/024570), international filing date 9 Feb. 2012, and WO2012/027328 (PCT/US2011/048752), international filing date 23 August 2011, each of which is incorporated by reference in its entirety herein (To the extent any definitions conflict, this instant application controls).

In one embodiment, the OX-40 antigen binding protein is one disclosed in
15 WO2012/027328 (PCT/US2011/048752), international filing date 23 August 2011. In another embodiment, the antigen binding protein comprises the CDRs of an antibody disclosed in WO2012/027328 (PCT/US2011/048752), international filing date 23 August 2011, or CDRs with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the disclosed CDR
20 sequences. In a further embodiment the antigen binding protein comprises a VH, a VL, or both of an antibody disclosed in WO2012/027328 (PCT/US2011/048752), international filing date 23 August 2011, or a VH or a VL with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the disclosed VH or VL sequences.

25 In another embodiment, the OX-40 antigen binding protein is one disclosed in WO2013/028231 (PCT/US2012/024570), international filing date 9 Feb. 2012. In another embodiment, the antigen binding protein comprises the CDRs of an antibody disclosed in WO2013/028231 (PCT/US2012/024570), international filing date 9 Feb. 2012, or CDRs with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%,
30 98%, or 99%, or 100%) sequence identity to the disclosed CDR sequences. In a further embodiment the antigen binding protein comprises a VH, a VL, or both of an antibody disclosed in WO2013/028231 (PCT/US2012/024570), international filing date 9 Feb. 2012, or a VH or a VL with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the disclosed VH
35 or VL sequences.

Figures 1-12 show sequences of the anti-OX40 ABPs of a combination of the invention, or a method or use thereof, e.g. CDRs and VH and VL sequences of the ABPs. In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises one or more of the CDRs or VH or VL

5 sequences, or sequences with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity thereto, shown in the Figures herein. Figure 1 includes a disclosure of residues 1-30, 36-49, 67-98, and 121-131 of SEQ ID NO: 108. X61012 is disclosed as SEQ ID NO: 108. Figure 2 includes a disclosure of residues 1-23, 35-49, 57-88, and 102-111 of SEQ ID NO: 109.

10 AJ388641 is disclosed as SEQ ID NO: 109. Figure 3 includes a disclosure of the amino acid sequence as SEQ ID NO: 110. Figure 4 includes a disclosure of the amino acid sequence as SEQ ID NO: 111. Figure 5 includes a disclosure of residues 17-46, 52-65, 83-114, and 126-136 of SEQ ID NO: 112. Z14189 is disclosed as SEQ ID NO: 112. Figure 6 includes a disclosure of residues 21-43, 55-69, 77-108, and

15 118-127 of SEQ ID NO: 113. M29469 is disclosed as SEQ ID NO: 113. Figure 7 protein is disclosed as SEQ ID NO: 114. Figure 8 protein is disclosed as SEQ ID NO: 115.

Figure 1 shows the alignment of the amino acid sequences of 106-222, humanized 106-222 (Hu106), and human acceptor X61012 (GenBank accession number) VH

20 sequences are shown. Amino acid residues are shown in single letter code. Numbers above the sequences indicate the locations according to Kabat et al. (Sequences of Proteins of Immunological Interests, Fifth edition, NIH Publication No. 91-3242, U.S. Department of Health and Human Services, 1991). The same sequences as claimed herein are also provided in the Sequence Listing and the

25 position numbers may be different. In Figure 1, CDR sequences defined by Kabat et al. (1991) are underlined in 106-222 VH. CDR residues in X61012 VH are omitted in the figure. Human VH sequences homologous to the 106-222 VH frameworks were searched for within the GenBank database, and the VH sequence encoded by the human X61012 cDNA (X61012 VH) was chosen as an acceptor for humanization.

30 The CDR sequences of 106-222 VH were first transferred to the corresponding positions of X61012 VH. Next, at framework positions where the three-dimensional model of the 106-222 variable regions suggested significant contact with the CDRs, amino acid residues of mouse 106-222 VH were substituted for the corresponding human residues. These substitutions were performed at positions 46 and 94

35 (underlined in Hu106 VH). In addition, a human framework residue that was found to be atypical in the corresponding V region subgroup was substituted with the most

typical residue to reduce potential immunogenicity. This substitution was performed at position 105 (double-underlined in Hu106 VH).

Figure 2 shows alignment of the amino acid sequences of 106-222, humanized 106-222 (Hu106), and human acceptor AJ388641 (GenBank accession number) VL sequences is shown. Amino acid residues are shown in single letter code. Numbers above the sequences indicate the locations according to Kabat et al. (1991). The same sequences as claimed herein are also provided in the Sequence Listing although the position numbers may be different. CDR sequences defined by Kabat et al. are underlined in 106-222 VH. CDR residues in AJ388641 VL are omitted in the figure. Human VL sequences homologous to the 106-222 VL frameworks were searched for within the GenBank database, and the VL sequence encoded by the human AJ388641 cDNA (AJ388641 VL) was chosen as an acceptor for humanization. The CDR sequences of 106-222 VL were transferred to the corresponding positions of AJ388641 VL. No framework substitutions were performed in the humanized form.

Figure 3 shows the nucleotide sequence of the Hu106 VH gene flanked by Spel and HindIII sites (underlined) is shown along with the deduced amino acid sequence. Amino acid residues are shown in single letter code. The signal peptide sequence is in italic. The N-terminal amino acid residue (Q) of the mature VH is double-underlined. CDR sequences according to the definition of Kabat et al. (1991) are underlined. The same sequences as claimed herein are also provided in the Sequence Listing and the position numbers may be different in the Sequence Listing. The intron sequence is in italic.

Figure 4 shows the nucleotide sequence of the Hu106-222 VL gene flanked by NheI and EcoRI sites (underlined) is shown along with the deduced amino acid sequence. Amino acid residues are shown in single letter code. The signal peptide sequence is in italic. The N-terminal amino acid residue (D) of the mature VL is double-underlined. CDR sequences according to the definition of Kabat et al. (1991) are underlined. The intron sequence is in italic. The same sequences as claimed herein are also provided in the Sequence Listing although the position numbers may be different in the Sequence Listing.

Figure 5 shows the alignment of the amino acid sequences of 119-122, humanized 119-122 (Hu119), and human acceptor Z14189 (GenBank accession number) VH sequences are shown. Amino acid residues are shown in single letter code. Numbers above the sequences indicate the locations according to Kabat et al.

(Sequences of Proteins of Immunological Interests, Fifth edition, NIH Publication No. 91-3242, U.S. Department of Health and Human Services, 1991). CDR sequences defined by Kabat et al. (1991) are underlined in 119-122 VH. CDR residues in Z14189 VH are omitted in the figure. Human VH sequences homologous to the 119-122 VH frameworks were searched for within the GenBank database, and the VH sequence encoded by the human Z14189 cDNA (Z14189 VH) was chosen as an acceptor for humanization. The CDR sequences of 119-122 VH were first transferred to the corresponding positions of Z14189 VH. Next, at framework positions where the three-dimensional model of the 119-122 variable regions suggested significant contact with the CDRs, amino acid residues of mouse 119-122 VH were substituted for the corresponding human residues. These substitutions were performed at positions 26, 27, 28, 30 and 47 (underlined in the Hu1 19 VH sequence) as shown on the figure. The same sequences as claimed herein are also provided in the Sequence Listing although the position numbers may be different in the Sequence Listing.

Figure 6 shows the alignment of the amino acid sequences of 119-122, humanized 119-122 (Hu1 19), and human acceptor M29469 (GenBank accession number) VL sequences are shown. Amino acid residues are shown in single letter code. Numbers above the sequences indicate the locations according to Kabat et al. (1991). CDR sequences defined by Kabat et al. (1) are underlined in 119-122 VL. CDR residues in M29469 VL are omitted in the sequence. Human VL sequences homologous to the 119-122 VL frameworks were searched for within the GenBank database, and the VL sequence encoded by the human M29469 cDNA (M29469 VL) was chosen as an acceptor for humanization. The CDR sequences of 119-122 VL were transferred to the corresponding positions of M29469 VL. No framework substitutions were needed in the humanized form. The same sequences as claimed herein are also provided in the Sequence Listing although the position numbers may be different in the Sequence Listing.

Figure 7 shows the nucleotide sequence of the Hu1 19 VH gene flanked by SpeI and HindIII sites (underlined) is shown along with the deduced amino acid sequence. Amino acid residues are shown in single letter code. The signal peptide sequence is in italic. The N-terminal amino acid residue (E) of the mature VH is double-underlined. CDR sequences according to the definition of Kabat et al. (1991) are underlined. The intron sequence is in italic. The same sequences as claimed herein are also provided in the Sequence Listing although the position numbers may be different in the Sequence Listing.

Figure 8 shows the nucleotide sequence of the Hu1 19 VL gene flanked by NheI and EcoRI sites (underlined) is shown along with the deduced amino acid sequence. Amino acid residues are shown in single letter code. The signal peptide sequence is in italic. The N-terminal amino acid residue (E) of the mature VL is double-
5 underlined. CDR sequences according to the definition of Kabat et al. (1991) are underlined. The intron sequence is in italic. The same sequences as claimed herein are also provided in the Sequence Listing although the position numbers may be different in the Sequence Listing.

Figure 9 shows the nucleotide sequence of mouse 119-43-1 VH cDNA along with the
10 deduced amino acid sequence. Amino acid residues are shown in single letter code. The signal peptide sequence is in italic. The N-terminal amino acid residue (E) of the mature VH is double-underlined. CDR sequences according to the definition of Kabat et al. (Sequences of Proteins of Immunological Interests, Fifth edition, NIH Publication No. 91-3242, U.S. Department of Health and Human Services, 1991) are
15 underlined.

Figure 10 shows the nucleotide sequence of mouse 119-43-1 VL cDNA is shown the deduced amino acid sequence. Amino acid residues are shown in single letter code. The signal peptide sequence is in italic. The N-terminal amino acid residue (D) of the mature VL is double-underlined. CDR sequences according to the
20 definition of Kabat et al. (1991) are underlined.

Figure 11 shows the nucleotide sequence of the designed 119-43-1 VH gene flanked by SpeI and HindIII sites (underlined) along with the deduced amino acid sequence. Amino acid residues are shown in single letter code. The signal peptide sequence is in italic. The N-terminal amino acid residue (E) of the mature VH is double-
25 underlined. CDR sequences according to the definition of Kabat et al. (1991) are underlined. The intron sequence is in italic.

Figure 12 shows the nucleotide sequence of the designed 119-43-1 VL gene flanked by NheI and EcoRI sites (underlined) along with the deduced amino acid sequence. Amino acid residues are shown in single letter code. The signal peptide sequence is
30 in italic. The N-terminal amino acid residue (D) of the mature VL is double-underlined. CDR sequences according to the definition of Kabat et al. (1991) are underlined. The intron sequence is in italic.

In one embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises the CDRs of the 106-222 antibody e.g. CDRH1 ,

CDRH2, and CDRH3 having the amino acid sequence as set forth in SEQ ID NOs 1, 2, and 3, and e.g. CDRL1, CDRL2, and CDRL3 having the sequences as set forth in SEQ ID NOs 7, 8, and 9 respectively. In one embodiment, the ABP of a combination of the invention, or a method or use thereof, comprises the CDRs of the 106-222, Hu106 or Hu106-222 antibody as disclosed in WO2012/027328 (PCT/US201 1/048752), international filing date 23 August 201 1. As described herein, ANTIBODY 106-222 is a humanized monoclonal antibody that binds to human OX40 as disclosed in WO20 12/027328 and described herein an antibody comprising CDRH1, CDRH2, and CDRH3 having the amino acid sequence as set forth in SEQ ID NOs 1, 2, and 3, and e.g. CDRL1, CDRL2, and CDRL3 having the sequences as set forth in SEQ ID NOs 7, 8, and 9, respectively and an antibody comprising VH having an amino acid sequence as set forth in SEQ ID NO:4 and a VL having an amino acid sequence as set forth in SEQ ID NO: 10. In a further embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises the VH and VL regions of the 106-222 antibody as shown in Figures 6-7 herein, e.g. a VH having an amino acid sequence as set forth in SEQ ID NO:4 and a VL having an amino acid sequence as set forth in SEQ ID NO: 10. In another embodiment, the ABP of a combination of the invention, or a method or use thereof, comprises a VH having an amino acid sequence as set forth in SEQ ID NO: 5, and a VL having an amino acid sequence as set forth in SEQ ID NO:1 1. In a further embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises the VH and VL regions of the Hu1 06-222 antibody or the 106-222 antibody or the Hu106 antibody as disclosed in WO2012/027328 (PCT/US201 1/048752), international filing date 23 August 201 1. In a further embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, is 106-222, Hu1 06-222 or Hu106, e.g. as disclosed in WO2012/027328 (PCT/US201 1/048752), international filing date 23 August 201 1. In a further embodiment, the ABP of a combination of the invention, or a method or use thereof, comprises CDRs or VH or VL or antibody sequences with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the sequences in this paragraph.

In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises the CDRs of the 119-122 antibody, e.g. CDRH1, CDRH2, and CDRH3 having the amino acid sequence as set forth in SEQ ID NOs 13, 14, and 15 respectively. In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises the CDRs of the 119-122 or Hu1 19 or Hu1 19-222 antibody as disclosed in WO2012/027328

(PCT/US201 1/048752), international filing date 23 August 201 1. In a further embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises a VH having an amino acid sequence as set forth in SEQ ID NO: 16, and a VL having the amino acid sequence as set forth in SEQ ID NO: 22. In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises a VH having an amino acid sequence as set forth in SEQ ID NO: 17 and a VL having the amino acid sequence as set forth in SEQ ID NO: 23. In a further embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises the VH and VL regions of the 119-122 or Hu1 19 or Hu1 19-222 antibody as disclosed in WO2012/027328 (PCT/US201 1/048752), international filing date 23 August 201 1. In a further embodiment, the ABP of a combination of the invention, or a method or use thereof, is 119-222 or Hu1 19 or Hu1 19-222 antibody, e.g. as disclosed in WO2012/027328 (PCT/US201 1/048752), international filing date 23 August 201 1. In a further embodiment, the ABP comprises CDRs or VH or VL or antibody sequences with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the sequences in this paragraph.

In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises the CDRs of the 119-43-1 antibody as disclosed in WO2013/028231 (PCT/US201 2/024570), international filing date 9 Feb. 2012. In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises the CDRs of the 119-43-1 antibody as disclosed in WO2013/028231 (PCT/US201 2/024570), international filing date 9 Feb. 2012. In a further embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises one of the VH and one of the VL regions of the 119-43-1 antibody. In a further embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises the VH and VL regions of the 119-43-1 antibody as disclosed in WO201 3/028231 (PCT/US20 12/024570), international filing date 9 Feb. 2012. In a further embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, is 119-43-1 or 119-43-1 chimeric. In a further embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, as disclosed in WO201 3/028231 (PCT/US2012/024570), international filing date 9 Feb. 2012. In further embodiments, any one of the anti-OX40 ABPs described in this paragraph are humanized. In further embodiments, any one of the any one of the ABPs described in this paragraph are engineered to make a humanized antibody. In a further embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof,

comprises CDRs or VH or VL or antibody sequences with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the sequences in this paragraph.

5 In another embodiment, further embodiment, any mouse or chimeric sequences of any anti-OX40 ABP of a combination of the invention, or a method or use thereof, are engineered to make a humanized antibody.

10 In one embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO: 1; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO: 2; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO. 3; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO. 7; (e) a light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO. 8; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO. 9.

20 In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO: 13; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO: 14; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO. 15; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO. 19; (e) a light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO. 20; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO. 21.

25 In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises: a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO: 1 or 13; a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO: 2 or 14; and/or a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO: 3 or 30 15, or a heavy chain variable region CDR having 90 percent identity thereto.

In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises: a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO: 7 or 19; a light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO: 8 or 20 and/or a light chain

variable region CDR3 comprising the amino acid sequence of SEQ ID NO: 9 or 21, or a heavy chain variable region having 90 percent identity thereto.

- 5 In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises: a light chain variable region ("VL") comprising the amino acid sequence of SEQ ID NO: 10, 11, 22 or 23, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequences of SEQ ID NO: 10, 11, 22 or 23. In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises a heavy chain variable region ("VH")
- 10 comprising the amino acid sequence of SEQ ID NO: 4, 5, 16 and 17, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequences of SEQ ID NO: 4, 5, 16 and 17. In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises a variable heavy sequence of
- 15 SEQ ID NO:5 and a variable light sequence of SEQ ID NO: 11, or a sequence having 90 percent identity thereto. In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises a variable heavy sequence of SEQ ID NO:17 and a variable light sequence of SEQ ID NO: 23 or a sequence having 90 percent identity thereto.
- 20 In another embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises a variable light chain encoded by the nucleic acid sequence of SEQ ID NO: 12, or 24, or a nucleic acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the nucleotide sequences of SEQ ID NO: 12 or 24. In another
- 25 embodiment, the anti-OX40 ABP of a combination of the invention, or a method or use thereof, comprises a variable heavy chain encoded by a nucleic acid sequence of SEQ ID NO: 6 or 18, or a nucleic acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to nucleotide sequences of SEQ ID NO: 6 or 18.
- 30 Also provided herein are monoclonal antibodies. In one embodiment, the monoclonal antibodies comprise a variable light chain comprising the amino acid sequence of SEQ ID NO: 10 or 22, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequences of SEQ ID NO: 10 or 22. Further
- 35 provided are monoclonal antibodies comprising a variable heavy chain comprising the amino acid sequence of SEQ ID NO: 4 or 16, or an amino acid sequence with at

least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequences of SEQ ID NO: 4 or 16.

Also provided herein are monoclonal antibodies. In one embodiment, the monoclonal antibodies comprise a variable light chain comprising the amino acid sequence of SEQ ID NO: 11 or 23, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequences of SEQ ID NO: 11 or 23. Further provided are monoclonal antibodies comprising a variable heavy chain comprising the amino acid sequence of SEQ ID NO: 5 or 17, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequences of SEQ ID NO: 5 or 17.

Another embodiment of a combination of the invention, or a method or use thereof, includes CDRs, VH regions, and VL regions, and antibodies and nucleic acids encoding the same as disclosed in the below Sequence Listing.

15 Heavy Chain of ANTIBODY 106-222:

QVQLVQSGSELKKPGASVKVSCASGYTFTDYSM HWVRQAPGQGLKWMGWI NTE
 TGEPTYADDFKGRFVFSL DTSVSTAYLQISSLKA EDTAVYYCANPYDYVSYA MD
 YWGQGT TTVTVSSASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVTVSWNS
 GALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGTQTYICNVNHKPSNTKVDKKVEP
 20 KSCDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEVTCVVDVSHEDPEV
 KFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKVSNKAL
 PAPIEKTISKAKGQPREPQVYTLPPSRDELTKNQVSLTCLVKGFYPSDIAVEWESNG
 QPENNYKTTTPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQKS
 LSLSPGK (SEQ ID NO:48)

25 Light Chain of ANTIBODY 106-222:

DIQMTQSPSSLSASVGRVTITCKASQDVSTAVAWYQQKPGKAPKLLIYSASYLYTG
 VPSRFSGSGSGTDFTFTISSLQPEDATYYCQQHYSTPRTFGQGTKLEIKRTVAAPS
 VFIFPPSDEQLKSGTASVCLLNFPYFREAKVQWKVDNALQSGNSQESVTEQDSK
 DSTYLSSTLTLSKADYEKHKVYACEVTHQGLSSPVTKSFNRGEC (SEQ ID
 30 NO:49)

Heavy Chain Variable Region of ANTIBODY 106-222:

QVQLVQSGSELKKPGASVKVSCASGYTFTDYSM HWVRQAPGQGLKWMGWI NTE
 TGEPTYADDFKGRFVFSL DTSVSTAYLQISSLKA EDTAVYYCANPYDYVSYA MD
 35 YWGQGT TTVTVSS (SEQ ID NO:5)

Light Chain Variable Region of ANTIBODY 106-222:

DIQMTQSPSSLSASVGDRVTITCKASQDVSTAVAWYQQKPGKAPKLLIYSASYLYTG
 VPSRFSGSGSGTDFFTISSLQPEDIATYYCQQHYSTPRTFGQGTKLEIK (SEQ ID
 NO:1 1)

5

CDR sequences of ANTIBODY 106-222:

HC CDR1 : Asp Tyr Ser Met His (SEQ ID NO:1)

HC CDR2: Trp Iie Asn Thr Glu Thr Gly Glu Pro Thr Tyr Ala Asp Asp Phe Lys Gly
 (SEQ ID NO:2)

10 HC CDR3: Pro Tyr Tyr Asp Tyr Val Ser Tyr Tyr Ala Met Asp Tyr (SEQ ID NO:3)

LC CDR1 : Lys Ala Ser Gin Asp Val Ser Thr Ala Val Ala (SEQ ID NO:7)

LC CDR2: Ser Ala Ser Tyr Leu Tyr Thr (SEQ ID NO:8)

LC CDR3: Gin Gin His Tyr Ser Thr Pro Arg Thr (SEQ ID NO:9)

15 OX40 Antibody Sequence Listing

<140> UNKNOWN
 <141> 2014-02-24

20 <150> PCT/US2012/024570
 <151> 2012-02-09

<150> PCT/US2011/048752
 <151> 2011-08-23

25

<160> 47

<170> PatentIn version 3.5

30

<210> 1
 <211> 5
 <212> PRT
 <213> Mus sp.

35

<400> 1
 Asp Tyr Ser Met His
 1 5

<210> 2
<211> 17
<212> PRT
<213> Mus sp.

5

<400> 2
Trp lie Asn Thr Glu Thr Gly Glu Pro Thr Tyr Ala Asp Asp Phe
Lys
1 5 10 15

10

Gly

15

<210> 3
<211> 13
<212> PRT
<213> Mus sp.

20

<400> 3
Pro Tyr Tyr Asp Tyr Val Ser Tyr Tyr Ala Met Asp Tyr
1 5 10

25

<210> 4
<211> 122
<212> PRT
<213> Mus sp.

30

<400> 4
Gin lie Gin Leu Val Gin Ser Gly Pro Glu Leu Lys Lys Pro Gly
Glu
1 5 10 15

35

Thr Val Lys lie Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Asp
Tyr
20 25 30

40

Ser Met His Trp Val Lys Gin Ala Pro Gly Lys Gly Leu Lys Trp
Met
35 40 45

45

Gly Trp lie Asn Thr Glu Thr Gly Glu Pro Thr Tyr Ala Asp Asp
Phe
50 55 60

50

Lys Gly Arg Phe Ala Phe Ser Leu Glu Thr Ser Ala Ser Thr Ala
Tyr
65 70 75 80

55

Leu Gin lie Asn Asn Leu Lys Asn Glu Asp Thr Ala Thr Tyr Phe
 Cys
 85 90 95

5
 Ala Asn Pro Tyr Tyr Asp Tyr Val Ser Tyr Tyr Ala Met Asp Tyr
 Trp
 100 105 110

10
 Gly His Gly Thr Ser Val Thr Val Ser Ser
 115 120

15
 <210> 5
 <211> 122
 <212> PRT
 <213> Artificial Sequence

20
 <220>
 <223> Description of Artificial Sequence: Synthetic
 polypeptide

<400> 5

25
 Gin Val Gin Leu Val Gin Ser Gly Ser Glu Leu Lys Lys Pro Gly
 Ala
 1 5 10 15

30
 Ser Val Lys Val Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Asp
 Tyr
 20 25 30

35
 Ser Met His Trp Val Arg Gin Ala Pro Gly Gin Gly Leu Lys Trp
 Met
 35 40 45

40
 Gly Trp lie Asn Thr Glu Thr Gly Glu Pro Thr Tyr Ala Asp Asp
 Phe
 50 55 60

45
 Lys Gly Arg Phe Val Phe Ser Leu Asp Thr Ser Val Ser Thr Ala
 Tyr
 65 70 75 80

50
 Leu Gin lie Ser Ser Leu Lys Ala Glu Asp Thr Ala Val Tyr Tyr
 Cys
 85 90 95

55
 Ala Asn Pro Tyr Tyr Asp Tyr Val Ser Tyr Tyr Ala Met Asp Tyr
 Trp
 100 105 110

Gly Gin Gly Thr Thr Val Thr Val Ser Ser
 115 120

5

<210> 6
 <211> 458
 <212> DNA

10 <213> Artificial Sequence

<220>
 <223> Description of Artificial Sequence: Synthetic polynucleotide

15

<400> 6
 actagtacca ccatggcttg ggtgtggacc ttgctattcc tgatggcagc
 tgcccaaagt 60

20

atccaagcac aggttcagtt ggtgcagtct ggatctgagc tgaagaagcc
 tggagcctca 120

gtcaaggttt cctgcaaggc ttctggttat accttcacag actattcaat
 gcaactgggtg 180

25

cgacaggctc caggacaagg tttaaagtgg atgggctgga taaacactga
 gactggtgag 240

30

ccaacatatg cagatgactt caagggacgg tttgtcttct ctttggacac
 ctctgtcagc 300

actgcctatt tgcagatcag cagcctcaaa gctgaggaca cggctgtgta
 ttactgtgct 360

35

aatccctact atgattacgt ctcttactat gctatggact actgggggtca
 gggaaccacg 420

gtcaccttct cctcaggtaa gaatggcctc tcaagctt
 458

40

<210> 7
 <211> 11
 <212> PRT

45 <213> Mus sp.

<400> 7
 Lys Ala Ser Gin Asp Val Ser Thr Ala Val Ala
 1 5 10

50

<210> 8
 <211> 7
 <212> PRT

55 <213> Mus sp.

<400> 8

Ser Ala Ser Tyr Leu Tyr Thr
1 5

5 <210> 9
<211> 9
<212> PRT
<213> Mus sp.

10 <400> 9
Gin Gin His Tyr Ser Thr Pro Arg Thr
1 5

15 <210> 10
<211> 107
<212> PRT
<213> Mus sp.

20 <400> 10
Asp lie Val Met Thr Gin Ser His Lys Phe Met Ser Thr Ser Val
Arg
1 5 10 15

25 Asp Arg Val Ser lie Thr Cys Lys Ala Ser Gin Asp Val Ser Thr
Ala
20 25 30

30 Val Ala Trp Tyr Gin Gin Lys Pro Gly Gin Ser Pro Lys Leu Leu
Ile
35 40 45

35 Tyr Ser Ala Ser Tyr Leu Tyr Thr Gly Val Pro Asp Arg Phe Thr
Gly
50 55 60

40 Ser Gly Ser Gly Thr Asp Phe Thr Phe Thr Ile Ser Ser Val Gin
Ala
65 70 75 80

45 Glu Asp Leu Ala Val Tyr Tyr Cys Gin Gin His Tyr Ser Thr Pro
Arg
85 90 95

50 Thr Phe Gly Gly Gly Thr Lys Leu Glu Ile Lys
100 105

55 <210> 11
<211> 107
<212> PRT

<213> Artificial Sequence

<220>

5 <223> Description of Artificial Sequence: Synthetic polypeptide

<400> 11

10 Asp Ile Gin Met Thr Gin Ser Pro Ser Ser Leu Ser Ala Ser Val
Gly
1 5 10 15

15 Asp Arg Val Thr Ile Thr Cys Lys Ala Ser Gin Asp Val Ser Thr
Ala
20 25 30

20 Val Ala Trp Tyr Gin Gin Lys Pro Gly Lys Ala Pro Lys Leu Leu
H e
35 40 45

25 Tyr Ser Ala Ser Tyr Leu Tyr Thr Gly Val Pro Ser Arg Phe Ser
Gly
50 55 60

30 Ser Gly Ser Gly Thr Asp Phe Thr Phe Thr Ile Ser Ser Leu Gln
Pro
65 70 75 80

35 Glu Asp Ile Ala Thr Tyr Tyr Cys Gin Gin His Tyr Ser Thr Pro
Arg
85 90 95

40 Thr Phe Gly Gin Gly Thr Lys Leu Glu Ile Lys
100 105

<210> 12

<211> 416

<212> DNA

45 <213> Artificial Sequence

<220>

50 <223> Description of Artificial Sequence: Synthetic polynucleotide

<400> 12

gctagcacca ccatggagtc acagattcag gtctttgtat tegtgtttct
ctggttgtct 60

55 ggtgttgacg gagacattca gatgaccag tctccatcct ccctgtccgc
atcagtggga 120

gacagggtca ccatcacctg caaggccagt caggatgtga gtactgctgt
 agcctggat 180

5 caacagaaac caggaaaagc ccctaaacta ctgatttact cggcatccta
 cctctacact 240

ggagtccctt cacgcttcag tggcagtgga tctgggacgg atttcacttt
 caccatcagc 300

10 agtctgcagc ctgaagacat tgcaacatat tactgtcagc aacattatag
 tactcctcgg 360

acgttcggtc agggcaccaa gctggaaatc aaacgtaagt agaatccaaa gaattc
 416

15

<210> 13

<211> 5

<212> PRT

20 <213> Mus sp.

<400> 13

Ser His Asp Met Ser

1 5

25

<210> 14

<211> 17

<212> PRT

30 <213> Mus sp.

<400> 14

Ala lie Asn Ser Asp Gly Gly Ser Thr Tyr Tyr Pro Asp Thr Met

Glu

35 1 5 10 15

Arg

40

<210> 15

<211> 11

<212> PRT

45 <213> Mus sp.

<400> 15

His Tyr Asp Asp Tyr Tyr Ala Trp Phe Ala Tyr

1 5 10

50

<210> 16

<211> 120

<212> PRT

55 <213> Mus sp.

<400> 16

Glu Val Gin Leu Val Glu Ser Gly Gly Gly Leu Val Gin Pro Gly
 Glu
 1 5 10 15

5 Ser Leu Lys Leu Ser Cys Glu Ser Asn Glu Tyr Glu Phe Pro Ser
 His
 20 25 30

10 Asp Met Ser Trp Val Arg Lys Thr Pro Glu Lys Arg Leu Glu Leu
 Val
 35 40 45

15 Ala Ala lie Asn Ser Asp Gly Gly Ser Thr Tyr Tyr Pro Asp Thr
 Met
 50 55 60

20 Glu Arg Arg Phe lie lie Ser Arg Asp Asn Thr Lys Lys Thr Leu
 Tyr
 65 70 75 80

25 Leu Gin Met Ser Ser Leu Arg Ser Glu Asp Thr Ala Leu Tyr Tyr
 Cys
 85 90 95

30 Ala Arg His Tyr Asp Asp Tyr Tyr Ala Trp Phe Ala Tyr Trp Gly
 Gin
 100 105 110

35 Gly Thr Leu Val Thr Val Ser Ala
 115 120

40 <210> 17
 <211> 120
 <212> PRT
 <213> Artificial Sequence

45 <220>
 <223> Description of Artificial Sequence: Synthetic
 polypeptide

<400> 17

50 Glu Val Gin Leu Val Glu Ser Gly Gly Gly Leu Val Gin Pro Gly
 Gly
 1 5 10 15

55 Ser Leu Arg Leu Ser Cys Ala Ala Ser Glu Tyr Glu Phe Pro Ser
 His
 20 25 30

Asp Met Ser Trp Val Arg Gin Ala Pro Gly Lys Gly Leu Glu Leu
 Val
 5 35 40 45

Ala Ala lie Asn Ser Asp Gly Gly Ser Thr Tyr Tyr Pro Asp Thr
 Met
 10 50 55 60

Glu Arg Arg Phe Thr lie Ser Arg Asp Asn Ala Lys Asn Ser Leu
 Tyr
 15 65 70 75 80

Leu Gin Met Asn Ser Leu Arg Ala Glu Asp Thr Ala Val Tyr Tyr
 Cys
 20 85 90 95

Ala Arg His Tyr Asp Asp Tyr Tyr Ala Trp Phe Ala Tyr Trp Gly
 Gin
 25 100 105 110

Gly Thr Met Val Thr Val Ser Ser
 115 120

30

<210> 18
 <211> 451
 <212> DNA
 35 <213> Artificial Sequence

<220>
 <223> Description of Artificial Sequence: Synthetic
 polynucleotide

40

<400> 18
 actagtacca ccatggactt cgggctcagc ttggttttcc ttgtccttat
 tttaaaaagt 60

45 gtacagtgtg aggtgcagct ggtggagtct gggggaggct tagtgcagcc
 tggagggtcc 120

ctgagactct cctgtgcagc ctctgaatac gagttccctt cccatgacat
 gtcttgggtc 180

50 cgccaggctc cggggaaggg gctggagttg gtcgcagcca ttaatagtga
 tgggtgtagc 240

acctactatc cagacaccat ggagagacga ttcaccatct ccagagacaa
 55 tgccaagaac 300

tcactgtacc tgcaaatgaa cagtctgagg gccgaggaca cagccgtgta
ttactgtgca 360

5 agacactatg atgattacta cgcttggttt gcttactggg gcccaaggac
tatggtcact 420

gtctcttcag gtgagtccta acttcaagct t
451

10

<210> 19
<211> 15
<212> PRT
<213> Mus sp.

15

<400> 19
Arg Ala Ser Lys Ser Val Ser Thr Ser Gly Tyr Ser Tyr Met His
1 5 10 15

20

<210> 20
<211> 7
<212> PRT
<213> Mus sp.

25

<400> 20
Leu Ala Ser Asn Leu Glu Ser
1 5

30

<210> 21
<211> 9
<212> PRT
<213> Mus sp.

35

<400> 21
Gin His Ser Arg Glu Leu Pro Leu Thr
1 5

40

<210> 22
<211> 111
<212> PRT
<213> Mus sp.

45

<400> 22
Asp lie Val Leu Thr Gin Ser Pro Ala Ser Leu Ala Val Ser Leu
Gly
1 5 10 15

50

Gin Arg Ala Thr lie Ser Cys Arg Ala Ser Lys Ser Val Ser Thr
Ser

55

20 25 30

Gly Tyr Ser Tyr Met His Trp Tyr Gin Gin Lys Pro Gly Gin Pro
 Pro
 35 40 45

5
 Lys Leu Leu Ile Tyr Leu Ala Ser Asn Leu Glu Ser Gly Val Pro
 Ala
 50 55 60

10
 Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Asn Ile
 His
 65 70 75 80

15
 Pro Val Glu Glu Glu Asp Ala Ala Thr Tyr Tyr Cys Gin His Ser
 Arg
 85 90 95

20
 Glu Leu Pro Leu Thr Phe Gly Ala Gly Thr Lys Leu Glu Leu Lys
 100 105 110

25
 <210> 23
 <211> 111
 <212> PRT
 <213> Artificial Sequence

30
 <220>
 <223> Description of Artificial Sequence: Synthetic
 polypeptide

<400> 23

35
 Glu Ile Val Leu Thr Gin Ser Pro Ala Thr Leu Ser Leu Ser Pro
 Gly
 1 5 10 15

40
 Glu Arg Ala Thr Leu Ser Cys Arg Ala Ser Lys Ser Val Ser Thr
 Ser
 20 25 30

45
 Gly Tyr Ser Tyr Met His Trp Tyr Gin Gin Lys Pro Gly Gin Ala
 Pro
 35 40 45

50
 Arg Leu Leu Ile Tyr Leu Ala Ser Asn Leu Glu Ser Gly Val Pro
 Ala
 50 55 60

55
 Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile
 Ser
 65 70 75 80

Ser Leu Glu Pro Glu Asp Phe Ala Val Tyr Tyr Cys Gin His Ser
 Arg
 5 85 90 95

Glu Leu Pro Leu Thr Phe Gly Gly Gly Thr Lys Val Glu lie Lys
 10 100 105 110

<210> 24
 <211> 428
 <212> DNA
 15 <213> Artificial Sequence

<220>
 <223> Description of Artificial Sequence: Synthetic
 polynucleotide
 20

<400> 24
 gctagcacca ccatggagac agacacactc ctgttatggg tactgctgct
 ctgggttcca 60

25 ggttccactg gtgaaattgt gctgacacag tctcctgcta ccttatcttt
 gtctccaggg 120

gaaagggcca ccctctcatg cagggccagc aaaagtgtca gtacatctgg
 ctatagttat 180
 30

atgcactggg accaacagaa accaggacag gctcccagac tcctcatcta
 tcttgcattc 240

35 aacctagaat ctgggggtccc tgccaggttc agtggcagtg ggtctgggac
 agacttcacc 300

ctcaccatca gcagcctaga gcctgaggat tttgcagttt attactgtca
 gcacagtagg 360

40 gagcttccgc tcacgttcgg cggagggacc aaggctcgaga tcaaacgtaa
 gtacactttt 420

ctgaattc
 428
 45

<210> 25
 <211> 5
 <212> PRT
 50 <213> Mus sp.

<400> 25
 Asp Ala Trp Met Asp
 1 5
 55

<210> 26

<211> 19
 <212> PRT
 <213> Mus sp.

5 <400> 26
 Glu Ile Arg Ser Lys Ala Asn Asn His Ala Thr Tyr Tyr Ala Glu
 Ser
 1 5 10 15

10 Val Asn Gly

15 <210> 27
 <211> 8
 <212> PRT
 <213> Mus sp.

20 <400> 27
 Gly Glu Val Phe Tyr Phe Asp Tyr
 1 5

25 <210> 28
 <211> 414
 <212> DNA
 <213> Mus sp.

30 <400> 28
 atgtacttgg gactgaacta tgtattcata gtttttctct taaatgggtg
 ccagagtgaa 60

35 gtgaagcttg aggagtctgg aggaggcttg gtgcaacctg gaggatccat
 gaaactctct 120

tgtgctgcct ctggattcac ttttagtgac gcctggatgg actgggtccg
 ccagtctcca 180

40 gagaaggggc ttgagtgggt tgctgaaatt agaagcaaag ctaataatca
 tgcaacatac 240

tatgctgagt ctgtgaatgg gaggttcacc atctcaagag atgattccaa
 aagtagtgtc 300

45 tacctgcaaa tgaacagctt aagagctgaa gacactggca tttattactg
 tacgtggggg 360

50 gaagtgttct actttgacta ctggggccaa ggcaccactc tcacagtctc ctca
 414

55 <210> 29
 <211> 138
 <212> PRT
 <213> Mus sp.

<400> 29
 Met Tyr Leu Gly Leu Asn Tyr Val Phe Ile Val Phe Leu Leu Asn
 Gly
 1 5 10 15
 5

Val Gin Ser Glu Val Lys Leu Glu Glu Ser Gly Gly Gly Leu Val
 Gin
 20 25 30
 10

Pro Gly Gly Ser Met Lys Leu Ser Cys Ala Ala Ser Gly Phe Thr
 Phe
 35 40 45
 15

Ser Asp Ala Trp Met Asp Trp Val Arg Gin Ser Pro Glu Lys Gly
 Leu
 50 55 60
 20

Glu Trp Val Ala Glu Ile Arg Ser Lys Ala Asn Asn His Ala Thr
 Tyr
 65 70 75 80
 25

Tyr Ala Glu Ser Val Asn Gly Arg Phe Thr Ile Ser Arg Asp Asp
 Ser
 85 90 95
 30

Lys Ser Ser Val Tyr Leu Gin Met Asn Ser Leu Arg Ala Glu Asp
 Thr
 100 105 110
 35

Gly Ile Tyr Tyr Cys Thr Trp Gly Glu Val Phe Tyr Phe Asp Tyr
 Trp
 115 120 125
 40

Gly Gin Gly Thr Thr Leu Thr Val Ser Ser
 130 135
 45

<210> 30
 <211> 448
 <212> DNA
 <213> Artificial Sequence
 50

<220>
 <223> Description of Artificial Sequence: Synthetic
 polynucleotide

<400> 30
 actagtagca ccatgtactt gggactgaac tatgtattca tagtttttct
 cttaaagtgt 60
 55

```

gtccagagtg aagtgaagct ggaggagtct ggaggaggct tggtgcaacc
tggaggatcc          120

5  atgaaactct cttgtgctgc ctctggattc acttttagtg acgcctggat
   ggactgggtc          180

   cgccagtctc cagagaaggg gcttgagtgg gttgctgaaa ttagaagcaa
10  agctaataat          240

   catgcaacat actatgctga gtctgtgaat gggagggttca ccatctcaag
   agatgattcc          300

15  aaaagtagtg tctacctgca aatgaacagc ttaagagctg aagacactgg
   catttattac          360

   tgtacgtggg ggggaagtgtt ctactttgac tactggggcc aaggcaccac
   tctcacagtc          420

20  tcctcaggtg agtccttaaa acaagctt
   448

25  <210> 31
   <211> 138
   <212> PRT
   <213> Artificial Sequence

30  <220>
   <223> Description of Artificial Sequence: Synthetic
       polypeptide

   <400> 31
35  Met Tyr Leu Gly Leu Asn Tyr Val Phe Ile Val Phe Leu Leu Asn
   Gly
       1          5          10          15

40  Val Gin Ser Glu Val Lys Leu Glu Glu Ser Gly Gly Gly Leu Val
   Gin
       20          25          30

45  Pro Gly Gly Ser Met Lys Leu Ser Cys Ala Ala Ser Gly Phe Thr
   Phe
       35          40          45

50  Ser Asp Ala Trp Met Asp Trp Val Arg Gin Ser Pro Glu Lys Gly
   Leu
       50          55          60

55  Glu Trp Val Ala Glu Ile Arg Ser Lys Ala Asn Asn His Ala Thr
   Tyr
       65          70          75          80

```

Tyr Ala Glu Ser Val Asn Gly Arg Phe Thr lie Ser Arg Asp Asp
 Ser
 85 90 95

5

Lys Ser Ser Val Tyr Leu Gin Met Asn Ser Leu Arg Ala Glu Asp
 Thr
 100 105 110

10

Gly lie Tyr Tyr Cys Thr Trp Gly Glu Val Phe Tyr Phe Asp Tyr
 Trp
 115 120 125

15

Gly Gin Gly Thr Thr Leu Thr Val Ser Ser
 130 135

20

<210> 32
 <211> 11
 <212> PRT
 <213> Mus sp.

25

<400> 32
 Lys Ser Ser Gin Asp lie Asn Lys Tyr lie Ala
 1 5 10

30

<210> 33
 <211> 7
 <212> PRT
 <213> Mus sp.

35

<400> 33
 Tyr Thr Ser Thr Leu Gin Pro
 1 5

40

<210> 34
 <211> 8
 <212> PRT
 <213> Mus sp.

45

<400> 34
 Leu Gin Tyr Asp Asn Leu Leu Thr
 1 5

50

<210> 35
 <211> 378
 <212> DNA
 <213> Mus sp.

55

<400> 35

atgagaccgt ctattcagtt cctggggctc ttgttggtct ggcttcatgg
 tgctcagtgt 60
 5 gacatccaga tgacacagtc tccatcctca ctgtctgcat ctctgggagg
 caaagtcacc 120
 atcacttgca agtcaagcca agacattaac aagtatatag cttggtacca
 acacaagcct 180
 10 ggaaaaggtc ctaggctgct catacattac acatctacat tacagccagg
 catcccatca 240
 aggttcagtg gaagtgggctc tgggagagat tattccttca gcatcagcaa
 cctggagcct 300
 15 gaagatattg caacttatta ttgtctacag tatgataatc ttctcagtt
 cggtgctggg 360
 20 accaagctgg agctgaaa
 378
 <210> 36
 <211> 126
 25 <212> PRT
 <213> Mus sp.
 <400> 36
 30 Met Arg Pro Ser Ile Gin Phe Leu Gly Leu Leu Leu Phe Trp Leu
 His
 1 5 10 15
 35 Gly Ala Gin Cys Asp Ile Gin Met Thr Gin Ser Pro Ser Ser Leu
 Ser
 20 25 30
 40 Ala Ser Leu Gly Gly Lys Val Thr Ile Thr Cys Lys Ser Ser Gin
 Asp
 35 40 45
 45 Ile Asn Lys Tyr Ile Ala Trp Tyr Gin His Lys Pro Gly Lys Gly
 Pro
 50 55 60
 50 Arg Leu Leu Ile His Tyr Thr Ser Thr Leu Gin Pro Gly Ile Pro
 Ser
 65 70 75 80
 55 Arg Phe Ser Gly Ser Gly Ser Gly Arg Asp Tyr Ser Phe Ser Ile
 Ser
 85 90 95

Asn Leu Glu Pro Glu Asp lie Ala Thr Tyr Tyr Cys Leu Gin Tyr
 Asp

5 100 105 110

Asn Leu Leu Thr Phe Gly Ala Gly Thr Lys Leu Glu Leu Lys
 115 120 125

10

<210> 37
 <211> 413
 <212> DNA
 <213> Artificial Sequence

15

<220>
 <223> Description of Artificial Sequence: Synthetic polynucleotide

20

<400> 37
 gctagcacca ccatgagacc gtctattcag ttctctggggc tcttggtggt
 ctggcttcat 60

25

ggtgctcagt gtgacatcca gatgacacag tctccatcct cactgtctgc
 atctctggga 120

ggcaaagtca ccatcacttg caagtcaagc caagacatta acaagtatat
 agcttggtag 180

30

caacacaagc ctggaaaagg tcctaggctg ctcatacatt acacatctac
 attacagcca 240

35

ggcatcccat caaggttcag tggaagtggg tctgggagag attattcctt
 cagcatcagc 300

aacctggagc ctgaagatat tgcaacttat tattgtctac agtatgataa
 tcttctcagc 360

40

ttcggtgctg ggaccaagct ggagctgaaa cgtaagtaca cttttctgaa ttc
 413

45

<210> 38
 <211> 126
 <212> PRT
 <213> Artificial Sequence

50

<220>
 <223> Description of Artificial Sequence: Synthetic polypeptide

<400> 38
 Met Arg Pro Ser lie Gin Phe Leu Gly Leu Leu Leu Phe Trp Leu
 His

55 1 5 10 15

Gly Ala Gin Cys Asp Ile Gin Met Thr Gin Ser Pro Ser Ser Leu
 Ser
 20 25 30

5
 Ala Ser Leu Gly Gly Lys Val Thr Ile Thr Cys Lys Ser Ser Gin
 Asp
 35 40 45

10
 Ile Asn Lys Tyr Ile Ala Trp Tyr Gin His Lys Pro Gly Lys Gly
 Pro
 50 55 60

15
 Arg Leu Leu Ile His Tyr Thr Ser Thr Leu Gin Pro Gly Ile Pro
 Ser
 65 70 75 80

20
 Arg Phe Ser Gly Ser Gly Ser Gly Arg Asp Tyr Ser Phe Ser Ile
 Ser
 85 90 95

25
 Asn Leu Glu Pro Glu Asp Ile Ala Thr Tyr Tyr Cys Leu Gin Tyr
 Asp
 100 105 110

30
 Asn Leu Leu Thr Phe Gly Ala Gly Thr Lys Leu Glu Leu Lys
 115 120 125

35
 <210> 39
 <211> 20
 <212> DNA
 <213> Artificial Sequence

40
 <220>
 <223> Description of Artificial Sequence: Synthetic
 primer

45
 <400> 39
 cgctgttttg acctccatag
 20

50
 <210> 40
 <211> 20
 <212> DNA
 <213> Artificial Sequence

55
 <220>
 <223> Description of Artificial Sequence: Synthetic
 primer

<400> 40
tgaaagatga gctggaggac
20

5

<210> 41
<211> 20
<212> DNA
<213> Artificial Sequence

10

<220>
<223> Description of Artificial Sequence: Synthetic
primer

15

<400> 41
ctttcttgtc caccttggtg
20

20

<210> 42
<211> 19
<212> DNA
<213> Artificial Sequence

25

<220>
<223> Description of Artificial Sequence: Synthetic
primer

30

<400> 42
gctgtcctac agtcctcag
19

35

<210> 43
<211> 18
<212> DNA
<213> Artificial Sequence

40

<220>
<223> Description of Artificial Sequence: Synthetic
primer

45

<400> 43
acgtgccaag catcctcg
18

50

<210> 44
<211> 1407
<212> DNA
<213> Artificial Sequence

55

<220>
<223> Description of Artificial Sequence: Synthetic
polynucleotide

<400> 44

	atgtacttgg ccagagtgaa	gactgaacta 60	tgtattcata	gtttttctct	taaatggtgt
5	gtgaagctgg gaaactctct	aggagtctgg 120	aggaggcttg	gtgcaacctg	gaggatccat
	tgtgctgcct ccagtctcca	ctggattcac 180	ttttagtgac	gcctggatgg	actgggtccg
10	gagaaggggc tgcaacatac	ttgagtgggt 240	tgctgaaatt	agaagcaaag	ctaataatca
	tatgctgagt aagtagtgtc	ctgtgaatgg 300	gaggttcacc	atctcaagag	atgattccaa
15	tacctgcaaa tacgtggggg	tgaacagctt 360	aagagctgaa	gacactggca	tttattactg
	gaagtgttct ctcagcctcc	actttgacta 420	ctggggccaa	ggcaccactc	tcacagtctc
20	accaagggcc tgggggcaca	catcgggtctt 480	ccccctggca	ccctcctcca	agagcacctc
25	gcggccctgg gtcgtggaac	gctgcctggg 540	caaggactac	ttccccgaac	cggtgacggt
	tcaggcggcc ctcaggactc	tgaccagcgg 600	cgtgcacacc	ttcccggctg	tcctacagtc
30	tactccctca gacctacatc	gcagcgtggg 660	gaccgtgccc	tccagcagct	tgggcacca
	tgcaacgtga gccc aaatct	atcacaagcc 720	cagcaacacc	aaggtggaca	agaaagtga
35	tgtgacaaaa gggaccgtca	ctcacacatg 780	cccaccgtgc	ccagcacctg	aactcctggg
40	gtcttctct ccctgaggtc	tcccccaaaa 840	acc caaggac	accctcatga	tctcccggac
	acatgcgtgg ctggtacgtg	tgggtggacgt 900	gagccacgaa	gaccctgagg	tcaagttcaa
45	gacggcgtgg caacagcacg	aggtgcataa 960	tgccaagaca	aagccgcggg	aggagcagta
	taccgtgtgg caaggagtac	tcagcgtcct 1020	caccgtcctg	caccaggact	ggctgaatgg
50	aagtgcaagg ctccaaagcc	tctccaacaa 1080	agccctccca	gccccatcg	agaaaacat
55	aaagggcagc tgagctgacc	cccgagaacc 1140	acaggtgtac	accctgcccc	catcccggga

aagaaccagg tcagcctgac ctgcctggtc aaaggcttct atcccagcga
 catcgccgtg 1200

5 gagtgggaga gcaatgggca gccggagaac aactacaaga ccacgcctcc
 cgtgctggac 1260

tccgacggct ccttcttct ctacagcaag ctcaccgtgg acaagagcag
 gtggcagcag 1320

10 gggaaagtct tctcatgctc cgtgatgcat gaggctctgc acaaccacta
 cacgcagaag 1380

agcctctccc tgtctccggg taaatga
 1407

15

<210> 45
 <211> 469
 <212> PRT
 20 <213> Artificial Sequence

<220>
 <223> Description of Artificial Sequence: Synthetic
 polypeptide

25

<400> 45
 Met Tyr Leu Gly Leu Asn Tyr Val Phe Ile Val Phe Leu Leu Asn
 Gly
 1 5 10 15

30

Val Gin Ser Glu Val Lys Leu Glu Glu Ser Gly Gly Gly Leu Val
 Gin
 20 25 30

35

Pro Gly Gly Ser Met Lys Leu Ser Cys Ala Ala Ser Gly Phe Thr
 Phe
 35 40 45

40

Ser Asp Ala Trp Met Asp Trp Val Arg Gin Ser Pro Glu Lys Gly
 Leu
 50 55 60

45

Glu Trp Val Ala Glu Ile Arg Ser Lys Ala Asn Asn His Ala Thr
 Tyr
 65 70 75 80

50

Tyr Ala Glu Ser Val Asn Gly Arg Phe Thr Ile Ser Arg Asp Asp
 Ser
 85 90 95

55

	Lys	Ser	Ser	Val	Tyr	Leu	Gin	Met	Asn	Ser	Leu	Arg	Ala	Glu	Asp
	Thr														
				100					105					110	
5	Gly	lie	Tyr	Tyr	Cys	Thr	Trp	Gly	Glu	Val	Phe	Tyr	Phe	Asp	Tyr
	Trp														
				115				120					125		
10	Gly	Gin	Gly	Thr	Thr	Leu	Thr	Val	Ser	Ser	Ala	Ser	Thr	Lys	Gly
	Pro														
				130				135					140		
15	Ser	Val	Phe	Pro	Leu	Ala	Pro	Ser	Ser	Lys	Ser	Thr	Ser	Gly	Gly
	Thr														
	145					150						155			
	160														
20	Ala	Ala	Leu	Gly	Cys	Leu	Val	Lys	Asp	Tyr	Phe	Pro	Glu	Pro	Val
	Thr														
					165					170					175
25	Val	Ser	Trp	Asn	Ser	Gly	Ala	Leu	Thr	Ser	Gly	Val	His	Thr	Phe
	Pro														
				180						185				190	
30	Ala	Val	Leu	Gin	Ser	Ser	Gly	Leu	Tyr	Ser	Leu	Ser	Ser	Val	Val
	Thr														
				195				200					205		
35	Val	Pro	Ser	Ser	Ser	Leu	Gly	Thr	Gin	Thr	Tyr	lie	Thr	Cys	Asn
	Val														
				210				215					220		
40	Asn	His	Lys	Pro	Ser	Asn	Thr	Lys	Val	Asp	Lys	Lys	Val	Glu	Pro
	Lys														
	225					230						235			
45	240														
50	Ser	Cys	Asp	Lys	Thr	His	Thr	Cys	Pro	Pro	Cys	Pro	Ala	Pro	Glu
	Leu														
					245					250					255
55	Leu	Gly	Gly	Pro	Ser	Val	Phe	Leu	Phe	Pro	Pro	Lys	Pro	Lys	Asp
	Thr														
				260						265				270	

	Leu	Met	lie	Ser	Arg	Thr	Pro	Glu	Val	Thr	Cys	Val	Val	Val	Asp
	Val														
			275					280					285		
5	Ser	His	Glu	Asp	Pro	Glu	Val	Lys	Phe	Asn	Trp	Tyr	Val	Asp	Gly
	Val														
		290					295					300			
10	Glu	Val	His	Asn	Ala	Lys	Thr	Lys	Pro	Arg	Glu	Glu	Gin	Tyr	Asn
	Ser														
	305					310					315				
	320														
15	Thr	Tyr	Arg	Val	Val	Ser	Val	Leu	Thr	Val	Leu	His	Gin	Asp	Trp
	Leu														
				325						330					335
20	Asn	Gly	Lys	Glu	Tyr	Lys	Cys	Lys	Val	Ser	Asn	Lys	Ala	Leu	Pro
	Ala														
			340						345					350	
25	Pro	lie	Glu	Lys	Thr	lie	Ser	Lys	Ala	Lys	Gly	Gin	Pro	Arg	Glu
	Pro														
			355					360					365		
30	Gin	Val	Tyr	Thr	Leu	Pro	Pro	Ser	Arg	Asp	Glu	Leu	Thr	Lys	Asn
	Gin														
		370					375					380			
35	Val	Ser	Leu	Thr	Cys	Leu	Val	Lys	Gly	Phe	Tyr	Pro	Ser	Asp	lie
	Ala														
	385					390					395				
40	400														
45	Val	Glu	Trp	Glu	Ser	Asn	Gly	Gin	Pro	Glu	Asn	Asn	Tyr	Lys	Thr
	Thr														
				405						410					415
50	Pro	Pro	Val	Leu	Asp	Ser	Asp	Gly	Ser	Phe	Phe	Leu	Tyr	Ser	Lys
	Leu														
			420						425					430	
55	Thr	Val	Asp	Lys	Ser	Arg	Trp	Gin	Gin	Gly	Asn	Val	Phe	Ser	Cys
	Ser														
			435					440					445		

Val Met His Glu Ala Leu His Asn His Tyr Thr Gin Lys Ser Leu
 Ser
 450 455 460

5

Leu Ser Pro Gly Lys
 465

10

<210> 46
 <211> 702
 <212> DNA
 <213> Artificial Sequence

15

<220>
 <223> Description of Artificial Sequence: Synthetic polynucleotide

20

<400> 46
 atgagaccgt ctattcagtt cctggggctc ttgttggttct ggcttcatgg
 tgctcagtgt 60

25

gacatccaga tgacacagtc tccatcctca ctgtctgcat ctctgggagg
 caaagtcacc 120

atcacttgca agtcaagcca agacattaac aagtatatag cttggtacca
 acacaagcct 180

30

ggaaaaggtc ctaggctgct catacattac acatctacat tacagccagg
 catcccatca 240

aggttcagtg gaagtgggtc tgggagagat tattccttca gcatcagcaa
 cctggagcct 300

35

gaagatattg caacttatta ttgtctacag tatgataatc ttctcacggt
 cggtgctggg 360

40

accaagctgg agctgaaacg aactgtggct gcaccatctg tcttcatctt
 cccgccatct 420

gatgagcagt tgaaatctgg aactgcctct gttgtgtgcc tgctgaataa
 cttctatccc 480

45

agagaggcca aagtacagtg gaaggtggat aacgccctcc aatcgggtaa
 ctcccaggag 540

agtgtcacag agcaggacag caaggacagc acctacagcc tcagcagcac
 cctgacgctg 600

50

agcaaagcag actacgagaa acacaaagtc tacgcctgcg aagtcaccca
 tcagggctg 660

agctcgcccg tcacaaagag cttcaacagg ggagagtgtt ag
 702

55

<210> 47

<211> 233
 <212> PRT
 <213> Artificial Sequence

5 <220>
 <223> Description of Artificial Sequence: Synthetic polypeptide

<400> 47

10 Met Arg Pro Ser lie Gin Phe Leu Gly Leu Leu Leu Phe Trp Leu
 His
 1 5 10 15

15 Gly Ala Gin Cys Asp lie Gin Met Thr Gin Ser Pro Ser Ser Leu
 Ser
 20 25 30

20 Ala Ser Leu Gly Gly Lys Val Thr lie Thr Cys Lys Ser Ser Gin
 Asp
 35 40 45

25 lie Asn Lys Tyr lie Ala Trp Tyr Gin His Lys Pro Gly Lys Gly
 Pro
 50 55 60

30 Arg Leu Leu lie His Tyr Thr Ser Thr Leu Gin Pro Gly lie Pro
 Ser
 65 70 75 80

35 Arg Phe Ser Gly Ser Gly Ser Gly Arg Asp Tyr Ser Phe Ser lie
 Ser
 85 90 95

40 Asn Leu Glu Pro Glu Asp lie Ala Thr Tyr Tyr Cys Leu Gin Tyr
 Asp
 100 105 110

45 Asn Leu Leu Thr Phe Gly Ala Gly Thr Lys Leu Glu Leu Lys Arg
 Thr
 115 120 125

50 Val Ala Ala Pro Ser Val Phe lie Phe Pro Pro Ser Asp Glu Gin
 Leu
 130 135 140

55 Lys Ser Gly Thr Ala Ser Val Val Cys Leu Leu Asn Asn Phe Tyr
 Pro

KD may be between 1 pM and 500 pM; or between 500 pM and 1 nM. A skilled person will appreciate that the smaller the KD numerical value, the stronger the binding. The reciprocal of KD (i.e. $1/KD$) is the equilibrium association constant (KA) having units M^{-1} . A skilled person will appreciate that the larger the KA numerical value, the stronger the binding.

The dissociation rate constant (kd) or "off-rate" describes the stability of the complex of the ABP on one hand and PD-1, preferably human PD-1 on the other hand, i.e. the fraction of complexes that decay per second. For example, a kd of $0.01 s^{-1}$ equates to 1% of the complexes decaying per second. In an embodiment, the dissociation rate constant (kd) is $1 \times 10^{-3} s^{-1}$ or less, $1 \times 10^{-4} s^{-1}$ or less, $1 \times 10^{-5} s^{-1}$ or less, or $1 \times 10^{-6} s^{-1}$ or less. The kd may be between $1 \times 10^{-5} s^{-1}$ and $1 \times 10^{-4} s^{-1}$; or between $1 \times 10^{-4} s^{-1}$ and $1 \times 10^{-3} s^{-1}$.

Competition between an anti- PD-1 ABP of a combination of the invention, or a method or use thereof, and a reference antibody, e.g. for binding PD-1, an epitope of PD-1, or a fragment of the PD-1, may be determined by competition ELISA, FMAT or Biacore. In one aspect, the competition assay is carried out by Biacore. There are several possible reasons for this competition: the two proteins may bind to the same or overlapping epitopes, there may be steric inhibition of binding, or binding of the first protein may induce a conformational change in the antigen that prevents or reduces binding of the second protein.

"Binding fragments" as used herein means a portion or fragment of the ABPs of a combination of the invention, or a method or use thereof, that include the antigen-binding site and are capable of binding PD-1 as defined herein, e.g. but not limited to capable of binding to the same epitope of the parent or full length antibody.

ABPs that bind human PD-1 receptor are provided herein (i.e. an anti- PD-1 ABP, sometimes referred to herein as an "anti- PD-1 ABP" or an "anti- PD-1 antibody" and/or other variations of the same). These antibodies are useful in the treatment or prevention of acute or chronic diseases or conditions whose pathology involves PD-1 signalling. In one aspect, an antigen binding protein, or isolated human antibody or functional fragment of such protein or antibody, that binds to human PD-1 and is effective as a cancer treatment or treatment against disease is described, for example in combination with another compound such as an anti-OX40 ABP, suitably an agonist anti-OX40 ABP. Any of the antigen binding proteins or antibodies disclosed herein may be used as a medicament. Any one or more of the antigen

binding proteins or antibodies may be used in the methods or compositions to treat cancer, e.g. those disclosed herein.

The isolated antibodies as described herein bind to human PD-1, and may bind to human PD-1 encoded by the gene *Pdcd1*, or genes or cDNA sequences having 90
5 percent homology or 90 percent identity thereto. The complete hPD-1 mRNA sequence can be found under GenBank Accession No. U64863. The protein sequence for human PD-1 can be found at GenBank Accession No. AAC51 773.

Antigen binding proteins and antibodies that bind and/or modulate PD-1 are known in the art. Exemplary anti- PD-1 ABPs of a combination of the invention, or a method or
10 use thereof, are disclosed, for example in U.S. Patent Nos. 8,354,509; 8,900,587; 8008,449, each of which is incorporated by reference in its entirety herein (To the extent any definitions conflict, this instant application controls). PD-1 antibodies and methods of using in treatment of disease are described in US Patent Nos.: US
15 7,595,048; US 8,168,179; US 8,728,474; US 7,722,868; US 8,008,449; US 7,488,802; US 7,521,051; US 8,088,905; US 8,168,757; US 8,354,509; and US Publication Nos. US201 10171220; US201 10171215; and US201 10271358. Combinations of CTLA-4 and PD-1 antibodies are described in US Patent No. 9,084,776.

In another embodiment, further embodiment, any mouse or chimeric sequences of
20 any anti-PD-1 ABP of a combination of the invention, or a method or use thereof, are engineered to make a humanized antibody.

In another embodiment, the anti- PD-1 ABP of a combination of the invention, or a method or use thereof, comprises one or more (e.g. all) of the CDRs or VH or VL or HC (heavy chain) or LC (light chain) sequences of pembrolizumab, or sequences
25 with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity thereto.

The HC and LC CDRs of permolizumab are provided below. In one embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises: (a) a heavy chain variable region CDR1 of pembrolizumab; (b) a heavy
30 chain variable region CDR2 of pembrolizumab; (c) a heavy chain variable region CDR3 of pembrolizumab; (d) a light chain variable region CDR1 of pembrolizumab; (e) a light chain variable region CDR2 of pembrolizumab; and (f) a light chain variable region CDR3 of pembrolizumab.

In another embodiment, the anti- PD-1of a combination of the invention, or a method or use thereof, comprises: a heavy chain variable region CDR1 of pembrolizumab; a heavy chain variable region CDR2 of pembrolizumab and/or a heavy chain variable region CDR3 of pembrolizumab.

- 5 In another embodiment, the anti-PD-1 of a combination of the invention, or a method or use thereof, comprises: a light chain variable region CDR1 of pembrolizumab; a light chain variable region CDR2 of pembrolizumab and/or a light chain variable region CDR3 of pembrolizumab.

- 10 In another embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises: a light chain variable region ("VL") of pembrolizumab, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the VL of pembrolizumab.

- 15 In another embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises a heavy chain variable region ("VH") of pembrolizumab, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the VH of pembrolizumab.

- 20 In another embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises: a light chain variable region ("VL") of pembrolizumab, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the VL of pembrolizumab and the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises a heavy chain variable region
25 ("VH") of pembrolizumab, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the VH of pembrolizumab.

- 30 In another embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises: a light chain ("LC") of pembrolizumab, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the LC of pembrolizumab.

In another embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises a heavy chain ("HC") of pembrolizumab, or an

amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the HC of pembrolizumab.

5 In another embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises: a light chain ("LC") of pembrolizumab, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the LC of pembrolizumab and the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises a heavy chain ("HC") of pembrolizumab, or an
10 amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the HC of pembrolizumab.

Another embodiment of a combination of the invention, or a method or use thereof, includes CDRs, VH regions, and VL regions, and antibodies and nucleic acids
15 encoding the same as disclosed in the below Sequence Listing.

An anti-OX40 ABP (e.g., an agonist ABP, e.g. an anti-hOX40 ABP, e.g. antibody), e.g., an antibody described herein, can be used in combination with an ABP (e.g., antagonist ABP, e.g antagonist antibody) against PD-1 (e.g. human PD-1). For example, an anti-OX40 antibody can be used in combination with pembrolizumab.

20 While in development, pembrolizumab (KEYTRUDA®) was known as MK3475 and as lambrolizumab. Pembrolizumab (KEYTRUDA®) is a human programmed death receptor-1 (PD-1)-blocking antibody indicated for the treatment of patients with unresectable or metastatic melanoma and disease progression following ipilimumab and, if BRAF V600 mutation positive, a BRAF inhibitor. The recommended dose of
25 pembrolizumab is 2 mg/kg administered as an intravenous infusion over 30 minutes every 3 weeks until disease progression or unacceptable toxicity.

Pembrolizumab is a humanized monoclonal antibody that blocks the interaction between PD-1 and its ligands, PD-L1 and PD-L2. Pembrolizumab is an IgG4 kappa immunoglobulin with an approximate molecular weight of 149 kDa.

30 Pembrolizumab for injection is a sterile, preservative-free, white to off-white lyophilized powder in single-use vials. Each vial is reconstituted and diluted for intravenous infusion. Each 2 mL of reconstituted solution contains 50 mg of pembrolizumab and is formulated in L-histidine (3.1 mg), polysorbate-80 (0.4 mg),

sucrose (140 mg). May contain hydrochloric acid/sodium hydroxide to adjust pH to 5.5.

Pembrolizumab injection is a sterile, preservative-free, clear to slightly opalescent, colorless to slightly yellow solution that requires dilution for intravenous infusion.

5 Each vial contains 100 mg of pembrolizumab in 4 ml of solution. Each 1 ml of solution contains 25 mg of pembrolizumab and is formulated in: L-histidine (1.55 mg), polysorbate 80 (0.2 mg), sucrose (70 mg), and Water for Injection, USP.

10 Binding of the PD-1 ligands, PD-L1 and PD-L2, to the PD-1 receptor found on T cells, inhibits T cell proliferation and cytokine production. Upregulation of PD-1 ligands occurs in some tumors and signaling through this pathway can contribute to inhibition of active T-cell immune surveillance of tumors. Pembrolizumab is a monoclonal antibody that binds to the PD-1 receptor and blocks its interaction with PD-L1 and PD-L2, releasing PD-1 pathway-mediated inhibition of the immune response, including the anti-tumor immune response. In syngeneic mouse tumor models, 15 blocking PD-1 activity resulted in decreased tumor growth.

Pembrolizumab is described, e.g in U.S. Patent Nos. 8,354,509 and 8,900,587.

The approved product is pembrolizumab (KEYTRUDA®) for injection, for intravenous infusion of the active ingredient pembrolizumab, available as a 50 mg lyophilized powder in a single-use vial for reconstitution. Pembrolizumab has been approved for 20 the treatment of patients with unresectable or metastatic melanoma and disease progression following ipilimumab and, if BRAF V600 mutation positive, a BRAF inhibitor. Pembrolizumab (KEYTRUDA®) is a humanized monoclonal antibody that blocks the interaction between PD-1 and its ligands, PD-L1 and PD-L2.

Pembrolizumab is an IgG4 kappa immunoglobulin with an approximate molecular 25 weight of 149 kDa. The amino acid sequence for pembrolizumab is as follows, and is set forth using the same one-letter amino acid code nomenclature provided in the table at column 15 of the U.S. Pat. No. 8,354,509:

Heavy Chain of pembrolizumab:

30	QVQLVQSGVE	VKKPGASVKV	SCKASGYTFT	NYYMYWVRQA	PGQGLEWMGG	50
	INPSNGGTFN	NEKFKNRVTL	TTDSSTTTAY	MELKSLQFDD	TAVYYCARRD	100
	YRFDMGFDYW	GQGTTVTVSS	ASTKGPSVFP	LAPCSRSTSE	STAALGCLVK	150
	DYFPEPVTVS	WNSGALTSGV	HTFPAVLQSS	GLYSLSSWT	VPSSSLGTKT	200
	YTCNVDHKPS	NTKVDKRVES	KYGPPCPPCP	APEFLGGPSV	FLFPPKPKDT	250
	LMISRTPEVT	CVWDVSDQED	PEVQFNWYVD	GVEVHNAKTK	PREEQFNSTY	300
35	WSVLTVLH	QDWLNGKEYK	CKVSNKGLPS	SIEKTISKAK	GQPREPQVYT	350
	LPPSQEEMTK	NQVSLTCLVK	GFYPSDIAVE	WESNGQPENN	YKTTTPVLDS	400
	DGSFFLYSRL	TVDKSRWQEG	NVFSCSVME	ALHNHYTQKS	LSLSLGK	447 (SEQ ID

NO: 50)

Light Chain of pembrolizumab:

5 EIVLTQSPAT LSLSPGERAT LSCRASKGVS TSGYSYLHWY QQKPGQAPRL 50
 LIYLASYLES GVPARFSGSG SGTDFTLTIS SLEPEDFAVY YCQHSRDLPL 100
 TFGGGTKVEI KRTVAAPSVF IFPPSDEQLK SGTASWCLL NNFYPREAKV 150
 QWKVDNALQS GNSQESVTEQ DSKDSTYSLs STLTLsKADY EKHKVYACEV 200
 THQGLSSPVT KSFNRGEC 218 (SEQ ID NO: 51)

Heavy Chain Variable Region of pembrolizumab:

10 QVQLVQSGVE VKKPGASVKV SCKASGYTFT NYMYWVRQA PGQGLEWMGG 50
 INPSNGGTNF NEKFKNRVTL TTDSSTTTAY MELKSLQFDD TAVYYCARRD 100
 YRFDMGFYDW GQGTTVTVSS (SEQ ID NO: 52)

Light Chain Variable Region of pembrolizumab:

15 EIVLTQSPAT LSLSPGERAT LSCRASKGVS TSGYSYLHWY QQKPGQAPRL 50
 LIYLASYLES GVPARFSGSG SGTDFTLTIS SLEPEDFAVY YCQHSRDLPL 100
 TFGGGTKVEI K (SEQ ID NO: 53)

CDR sequences of pembrolizumab:

20 HC CDR1 : Asn Tyr Tyr Met Tyr (SEQ ID NO:54)

HC CDR2: Gly lie Asn Pro Ser Asn Gly Gly Thr Asn Phe Asn Glu Lys Phe Lys Asn
 (SEQ ID NO:55)

HC CDR3: Arg Asp Tyr Arg Phe Asp Met Gly Phe Asp Tyr (SEQ ID NO:56)

25 LC CDR1 : Arg Ala Ser Lys Gly Val Ser Thr Ser Gly Tyr Ser Tyr Leu His (SEQ ID
 NO:57)

LC CDR2: Leu Ala Ser Tyr Leu Glu Ser (SEQ ID NO:58)

LC CDR3: Gin His Ser Arg Asp Leu Pro Leu Thr (SEQ ID NO:59)

Sequence Listing from U.S. Pat. No. 8,354,509

30 <210>
 <211> 435
 <212> DNA
 <213> Artificial Sequence

35 <220> 60
 <223> hPD-1.08A heavy chain variable region

<400>
 atgrgatgga gctgtatcat kctctttttg gtagcaacag ctacaggtgt
 ccactcccag 60
 5
 gtccaactgc agcagcctgg ggctgaactg gtgaagcctg gggcttcagt
 gaagttgtcc 120
 10
 tgcaaggcct ctggctacac cttcaccagt tattatctgt actggatgaa
 acagaggcct 180
 ggacaaggcc ttgagtggat tggggggggt aatcctagta atggtggtac
 taacttcagt 240
 15
 gagaagttca agagcaaggc cacactgact gtagacaaat cctccagcac
 agcctacatg 300
 caactcagca gcctgacatc tgaggactct gcggtctatt actgtacaag
 aagggattct 360
 20
 aactacgacg ggggctttga ctactggggc caaggcacta ctctcacagt
 ctctcagcc 420
 25
 aaaacgacac ccca
 435
 <210> 61
 <211> 453
 30 <212> DNA
 <213> Artificial Sequence
 <220>
 <223> hPD-1.08A light chain variable region
 35
 <400>
 atggagacag acacactcct gctatgggta ctgctgctct gggttccagg
 ttccactggt 60
 40
 gacattgtgc tgacacagtc tcctacttcc ttagctgtat ctctggggca
 gagggccacc 120
 atctcatgca gggccagcaa aagtgtcagt acatctggct ttagttattt
 gcactggtac 180
 45
 caacagaaac caggacagcc acccaaactc ctcatctttc ttgcatccaa
 cctagagtct 240
 50
 ggggtccctg ccaggttcag tggcagtggg tctgggacag acttcacct
 caacatccat 300
 cctgtggagg aggaggacgc tgcaacctat tattgtcagc acagttggga
 gcttcegctc 360
 55
 acgttcggtg ctgggaccaa gctggagctg aaacgggctg atgctgcacc
 aactgtatcc 420

atcttcccac catccagtaa gcttgggaag ggc
453

5 <210> 62
<211> 464
<212> DNA
<213> Artificial Sequence

10 <220>
<223> hPD-1.09A heavy chain variable region

<400>

15 atgraatgca gctgggttat yctctttttg gtagcaacag ctacaggtgt
ccactcccag 60

gtccaactgc agcagcctgg ggctgaactg gtgaagcctg ggacttcagt
gaagttgtcc 120

20 tgcaaggctt ctggctacac cttcaccaac tactatatgt actgggtgaa
gcagaggcct 180

ggacaaggcc ttgagtggat tgggggggatt aatcctagca atggtggtac
taacttcaat 240

25 gagaagttca agaacaaggc cacactgact gtagacagtt cctccagcac
aacctacatg 300

30 caactcagca gcctgacatc tgaggactct gcggtctatt actgtacaag
aagggattat 360

aggttcgaca tgggctttga ctactggggc caaggcacca ctctcacagt
ctcctcagcc 420

35 aaaacgacac ccccatccgt ytatcccbtg gcccctggaa gctt
464

40 <210> 63
<211> 438
<212> DNA
<213> Artificial Sequence

45 <220>
<223> hPD-1.09A light chain variable region

<400>

50 atggagwcag acacactsct gytatgggta ctgctgctct gggttccagg
ttccactggc 60

gacattgtgc tgacacagtc tcttgettcc ttagctgtat ctctgggaca
gagggccgcc 120

55 atctcatgca gggccagcaa aggtgtcagt acatctggct atagttattt
gcactggtag 180

caacagaaac caggacagtc acccaaactc ctcatctatc ttgcatccta

cctagaatct 240

ggggtccctg ccaggttcag tggcagtggg tctgggacag acttcaccct
 caacatccat 300

5 cctgtggagg aggaggatgc tgcaacctat tactgtcagc acagtaggga
 ccttccgctc 360

10 acgttcggta ctgggaccaa gctggagctg aaacgggctg atgctgcacc
 aactgtatcc 420

atcttccac catccagt
 438

15 <210> 64
 <211> 122
 <212> PRT
 <213> Artificial Sequence

20 <220>
 <223> hPD-1.08A heavy chain variable region

<400>

25 Gin Val Gin Leu Gin Gin Pro Gly Ala Glu Leu Val Lys Pro Gly
 Ala
 1 5 10 15

30 Ser Val Lys Leu Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Ser
 Tyr
 20 25 30

35 Tyr Leu Tyr Trp Met Lys Gin Arg Pro Gly Gin Gly Leu Glu Trp
 Ile
 35 40 45

40 Gly Gly Val Asn Pro Ser Asn Gly Gly Thr Asn Phe Ser Glu Lys
 Phe
 50 55 60

45 Lys Ser Lys Ala Thr Leu Thr Val Asp Lys Ser Ser Ser Thr Ala
 Tyr
 65 70 75 80

50 Met Gin Leu Ser Ser Leu Thr Ser Glu Asp Ser Ala Val Tyr Tyr
 Cys
 85 90 95

55 Thr Arg Arg Asp Ser Asn Tyr Asp Gly Gly Phe Asp Tyr Trp Gly
 Gin

		100		105		110
5	Gly Thr Thr Leu Thr Val Ser Ser Ala Lys	115		120		
10	<210> 65 <211> 111 <212> PRT <213> Artificial Sequence					
15	<220> <223> hPD-1.08A light chain variable region <400>					
20	Asp Ile Val Leu Thr Gin Ser Pro Thr Ser Leu Ala Val Ser Leu Gly	1	5	10		15
25	Gin Arg Ala Thr Ile Ser Cys Arg Ala Ser Lys Ser Val Ser Thr Ser		20	25		30
30	Gly Phe Ser Tyr Leu His Trp Tyr Gin Gin Lys Pro Gly Gin Pro Pro		35	40		45
35	Lys Leu Leu Ile Phe Leu Ala Ser Asn Leu Glu Ser Gly Val Pro Ala		50	55		60
40	Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Asn Ile His	65		70		75
45	Pro Val Glu Glu Glu Asp Ala Ala Thr Tyr Tyr Cys Gin His Ser Trp		85	90		95
50	Glu Leu Pro Leu Thr Phe Gly Ala Gly Thr Lys Leu Glu Leu Lys	100		105		110
55	<210> 66 <211> 122 <212> PRT <213> Artificial Sequence <220>					

<223> hPD-1.09A heavy chain variable region

<400>

5 Gin Val Gin Leu Gin Gin Pro Gly Ala Glu Leu Val Lys Pro Gly
 Thr
 1 5 10 15

10 Ser Val Lys Leu Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Asn
 Tyr
 20 25 30

15 Tyr Met Tyr Trp Val Lys Gin Arg Pro Gly Gin Gly Leu Glu Trp
 Ile
 35 40 45

20 Gly Gly Ile Asn Pro Ser Asn Gly Gly Thr Asn Phe Asn Glu Lys
 Phe
 50 55 60

25 Lys Asn Lys Ala Thr Leu Thr Val Asp Ser Ser Ser Ser Thr Thr
 Tyr
 65 70 75 80

30 Met Gin Leu Ser Ser Leu Thr Ser Glu Asp Ser Ala Val Tyr Tyr
 Cys
 85 90 95

35 Thr Arg Arg Asp Tyr Arg Phe Asp Met Gly Phe Asp Tyr Trp Gly
 Gin
 100 105 110

40 Gly Thr Thr Leu Thr Val Ser Ser Ala Lys
 115 120

45 <210> 67
 <211> 111
 <212> PRT
 <213> Artificial Sequence

<220>

50 <223> hPD-1.09A light chain variable region

<400>
 Asp Ile Val Leu Thr Gin Ser Pro Ala Ser Leu Ala Val Ser Leu
 Gly

55 1 5 10 15

Gin Arg Ala Ala Ile Ser Cys Arg Ala Ser Lys Gly Val Ser Thr
 Ser
 20 25 30

5
 Gly Tyr Ser Tyr Leu His Trp Tyr Gin Gin Lys Pro Gly Gln Ser
 Pro
 35 40 45

10
 Lys Leu Leu Ile Tyr Leu Ala Ser Tyr Leu Glu Ser Gly Val Pro
 Ala
 50 55 60

15
 Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Asn Ile
 His
 65 70 75 80

20
 Pro Val Glu Glu Glu Asp Ala Ala Thr Tyr Tyr Cys Gin His Ser
 Arg
 85 90 95

25
 Asp Leu Pro Leu Thr Phe Gly Thr Gly Thr Lys Leu Glu Leu Lys
 100 105 110

30 <210> 68
 <211> 15
 <212> PRT
 <213> Artificial Sequence

35 <220>
 <223> hPD-1.08A light chain CDR1
 <400>

40 Arg Ala Ser Lys Ser Val Ser Thr Ser Gly Phe Ser Tyr Leu His
 1 5 10 15

45 <210> 69
 <211> 7
 <212> PRT
 <213> Artificial Sequence
 <220>
 <223> hPD-1.08A light chain CDR2
 <400>

55 Leu Ala Ser Asn Leu Glu Ser
 1 5

<210> 70
 <211> 9
 <212> PRT
 <213> Artificial Sequence
 5
 <220>
 <223> hPD-1-08A light chain CDR3
 <400>
 10
 Gin His Ser Trp Glu Leu Pro Leu Thr
 1 5
 15 <210> 71
 <211> 5
 <212> PRT
 <213> Artificial Sequence
 20 <220>
 <223> hPD-1.08A heavy chain CDR1
 <400>
 25 Ser Tyr Tyr Leu Tyr
 1 5
 30 <210> 72
 <211> 17
 <212> PRT
 <213> Artificial Sequence
 <220>
 35 <223> hPD-1.08A heavy chain CDR2
 <400>
 Gly Val Asn Pro Ser Asn Gly Gly Thr Asn Phe Ser Glu Lys Phe
 40 Lys
 1 5 10 15
 Ser
 45
 <210> 73
 <211> 11
 <212> PRT
 50 <213> Artificial Sequence
 <220>
 <223> hPD-1.08A heavy chain CDR3
 55 <400>
 Arg Asp Ser Asn Tyr Asp Gly Gly Phe Asp Tyr

1 5 10

5 <210> 74
 <211> 15
 <212> PRT
 <213> Artificial Sequence

10 <220>
 <223> hPD-1.09A light chain CDR1
 <400>

15 Arg Ala Ser Lys Gly Val Ser Thr Ser Gly Tyr Ser Tyr Leu His
 1 5 10 15

20 <210> 75
 <211> 7
 <212> PRT
 <213> Artificial Sequence

25 <220>
 <223> hPD-1.09A light chain CDR2
 <400>

30 Leu Ala Ser Tyr Leu Glu Ser
 1 5

35 <210> 76
 <211> 9
 <212> PRT
 <213> Artificial Sequence

40 <220>
 <223> hPD-1.09A light chain CDR3
 <400>

45 Gin His Ser Arg Asp Leu Pro Leu Thr
 1 5

50 <210> 77
 <211> 5
 <212> PRT
 <213> Artificial Sequence

55 <220>
 <223> hPD-1.09A heavy chain CDR1
 <400>

Asn Tyr Tyr Met Tyr
 1 5

5 <210> 78
 <211> 17
 <212> PRT
 <213> Artificial Sequence

 <220>
 10 <223> hPD-1.09A heavy chain CDR2

 <400>

 Gly Ile Asn Pro Ser Asn Gly Gly Thr Asn Phe Asn Glu Lys Phe
 Lys
 15 1 5 10 15

 Asn
 20

 <210> 79
 <211> 11
 <212> PRT
 25 <213> Artificial Sequence

 <220>
 <223> hPD-1.09A heavy chain CDR3

 30 <400>

 Arg Asp Tyr Arg Phe Asp Met Gly Phe Asp Tyr
 1 5 10

 35
 <210> 80
 <211> 417
 <212> DNA
 <213> Artificial Sequence
 40

 <220>
 <223> 109A-H heavy chain variable region

 45 <220>
 <221> sig_peptide
 <222> (1)..(57)

 <400>
 50 atggactgga cctggagcat ccttttcttg gtggcagcac caacaggagc
 ccaactccaa 60

 gtgcagctgg tgcagtctgg agttgaagtg aagaagcccg gggcctcagt
 gaaggtctcc 120
 55
 tgcaaggctt ctggctacac cttaccaac tactatatgt actgggtgcg
 acaggcccct 180

```

ggacaagggc ttgagtggat gggagggatt aatcctagca atggtggtac
taacttcaat          240

5  gagaagttca agaacagagt caccttgacc acagactcat ccacgaccac
   agcctacatg          300

   gaactgaaga gcctgcaatt tgacgacacg gccgtttatt actgtgcgag
10  aagggattat          360

   aggttcgaca tgggcttga ctactggggc caagggacca cggtcaccgt ctcgagc
   417

15  <210> 81
   <211> 417
   <212> DNA
   <213> Artificial Sequence

20  <220>
   <223> Codon optimized 109A-H heavy chain variable region

   <220>
25  <221> sig_peptide
   <222> (1)..(57)

   <400>
30  atggactgga cctgggtctat cctgttcctg gtggccgctc ctaccggcgc
   tcactcccag          60

   gtgcagctgg tgcagtccgg cgtggaggtg aagaagcctg ggcctccgt
   caaggtgtcc          120

35  tgcaaggcct ccggctacac cttcaccaac tactacatgt actgggtgcg
   gcaggctccc          180

   ggccagggac tggagtggat gggcggcatc aacccttcca acggcggcac
40  caacttcaac          240

   gagaagttca agaaccgggt gaccctgacc accgactcct ccaccaccac
   cgctacatg          300

45  gagctgaagt ccttgcagtt cgacgacacc gccgtgtact actgcgccag
   gcgggactac          360

   cggttcgaca tgggcttcga ctactggggc cagggcacca ccgtgaccgt gtcctcc
   417

50  <210> 82
   <211> 1398
   <212> DNA
   <213> Artificial Sequence

55  <220>
   <223> Codon optimized 409A-H heavy chain full length

```

```

<220>
<221>  sig_peptide
5  <222>  (1)..(57)

<400>
atggcctgctgct  tgggcctgct  gttctgctg  gtgaccttcc  cttcctgctg
10  gctgtcccag      60

gtgcagctgg  tgcagtccgg  cgtggagggtg  aagaagcctg  ggcctccgt
caaggtgtcc      120

15  tgtaaggcct  cgggctacac  cttcaccaac  tactacatgt  actgggtgctg
gcaggcccca      180

ggccaggac  tggagtggat  gggcggcatc  aacccttcca  acggcggcac
caacttcaac      240

20  gagaagttca  agaaccgggt  gaccctgacc  accgactcct  ccaccacaac
cgctacatg      300

gaactgaagt  cctgacagtt  cgacgacacc  gccgtgtact  actgcccag
gcgggactac      360
25  cggttcgaca  tgggcttcga  ctactggggc  cagggcacca  ccgtgaccgt
gtcctccgct      420

agcaccaagg  gcccttccgt  gttccctctg  gcccttgct  cccgggtccac
ctccgagtcc      480
30  accgccctc  tgggctgtct  ggtgaaggac  tacttccctg  agcctgtgac
cgtgagctgg      540

35  aactctggcg  cctgacctc  cggcgtgcac  accttccctg  ccgtgctgca
gtcctccggc      600

ctgtactccc  tgtcctccgt  ggtgaccgtg  ccttccctcct  ccctgggcac
caagacctac      660
40  acctgcaacg  tggaccacaa  gccttccaac  accaaggtgg  acaagcgggt
ggagtccaag      720

tacggcctc  cttgccctcc  ctgccctgcc  cctgagttcc  tgggcggacc
ctccgtgttc      780
45  ctgttcctc  ctaagcctaa  ggacaccctg  atgatctccc  ggaccctga
ggtgacctgc      840

50  gtgggtggtg  acgtgtccca  ggaagatcct  gaggtccagt  tcaattggta
cgtggatggc      900

gtggaggtgc  acaacgcaa  gaccaagcct  cgggaggaac  agttcaactc
cacctaccgg      960
55  gtgggtgtctg  tgctgaccgt  gctgcaccag  gactggctga  acggcaagga
atacaagtgc      1020

```

aaggtcagca acaagggcct gccctcctcc atcgagaaaa ccatctccaa
 ggccaagggc 1080

5 cagcctcgcg agcctcaggt gtacaccctg cctcctagcc aggaagagat
 gaccaagaat 1140

10 caggtgtccc tgacatgcct ggtgaagggc ttctaccctt ccgatatcgc
 cgtggagtgg 1200

gagagcaacg gccagccaga gaacaactac aagaccaccc ctctctgtgct
 ggactccgac 1260

15 ggctccttct tctgtactc caggctgacc gtggacaagt cccggtggca
 ggaaggcaac 1320

gtcttttctt gctccgtgat gcacgaggcc ctgcacaacc actacacca
 gaagtcctg 1380

20 tcctgtctc tgggcaag
 1398

<210> 83
 25 <211> 393
 <212> DNA
 <213> Artificial Sequence

<220>
 30 <223> K09A-L-11 light chain variable region

<220>
 <221> sig_peptide
 35 <222> (1)..(60)

<400>
 atggaagccc cagctcagct tctcttctc ctgctactct ggctcccaga
 40 taccaccgga 60

gaaattgtgt tgacacagtc tccagccacc ctgtctttgt ctccagggga
 aagagccacc 120

45 ctctcctgca gggccagcaa aggtgtcagt acatctggct atagttattt
 gcactggtat 180

caacagaaac ctggccaggc tcccaggctc ctcatctatc ttgcatccta
 cctagaatct 240

50 ggcgtcccag ccaggttcag tggtagtggg tctgggacag acttcaactct
 caccatcagc 300

agcctagagc ctgaagattt tgcagtttat tactgtcagc acagcagggga
 55 ccttccgctc 360

acgttcggcg gagggaccaa agtggagatc aaa
 393

5 <210> 84
 <211> 393
 <212> DNA
 <213> Artificial Sequence

10 <220>
 <223> K09A-L-16 light chain variable region

15 <220>
 <221> sig peptide
 <222> (1)7. (60)

20 <400>
 atggaaaccc cagcgcagct tctcttctc ctgctactct ggctcccaga
 taccaccgga 60

25 gaaattgtgc tgactcagtc tccactctcc ctgcccgtca cccctggaga
 gccggcctcc 120

30 atctcttgca gggccagcaa aggtgtcagt acatctggct atagttattt
 gcattggtac 180

35 ctccagaagc cagggcagtc tccacagctc ctgatctatc ttgcatccta
 cctagaatct 240

40 ggggtccctg acaggttcag tggcagtgga tcaggcacag attttacact
 gaaaatcagc 300

45 agagtggagg ctgaggatgt tggggtttat tactgccagc atagtaggga
 ccttccgctc 360

50 acgtttggcc aggggaccaa gctggagatc aaa
 393

55 <210> 85
 <211> 393
 <212> DNA
 <213> Artificial Sequence

60 <220>
 <223> K09A-L-17 light chain variable region

65 <220>
 <221> sig peptide
 <222> (1)7. (60)

70 <400>
 atgaggctcc ctgctcagct cctggggctg ctaatgctct gggctctctgg
 atccagtggg 60

75 gatattgtga tgaccagac tccactctcc ctgcccgtca cccctggaga
 gccggcctcc 120

```

atctcctgca gggccagcaa aggtgtcagt acatctggct atagttattt
gcattggtat          180

5  ctgcagaagc cagggcagtc tccacagctc ctgatctatc ttgcataccta
cctagaatct          240

ggagtcccag acaggttcag tggcagtgagg tcaggcactg ctttcacact
gaaaatcagc          300
10 aggggtggagg ctgaggatgt tggactttat tactgcccagc atagtaggga
ccttccgctc          360

acgtttggcc aggggaccaa gctggagatc aaa
15 393

<210> 86
<211> 390
20 <212> DNA
    <213> Artificial Sequence

<220>
<223> Codon optimized K09A-L-11 light chain variable region
25

<220>
<221> sig_peptide
<222> (1)..(57)
30

<400>
atggccctg tgcagctgct gggcctgctg gtgctgttcc tgccctgcat
gcggtgcgag          60

35 atcgtgctga cccagtcccc tgccaccctg tccctgagcc ctggcgagcg
ggctaccctg          120

agctgcagag cctccaaggg cgtgtccacc tccggctact cctacctgca
ctggtatcag          180
40 cagaagccag gccaggcccc tcggctgctg atctacctgg cctcctacct
ggagtccggc          240

gtgcctgccc ggttctccgg ctccggaagc ggcaccgact tcaccctgac
catctctcc          300

45 ctggagcctg aggacttcgc cgtgtactac tgccagcact cccgggacct
gcctctgacc          360

50 tttggcggcg gaacaaaggt ggagatcaag
390

<210> 87
55 <211> 390
    <212> DNA
    <213> Artificial Sequence

```

<220>
 <223> Codon optimized K09A-L-16 light chain variable region

5

<220>
 <221> sig peptide
 <222> (1)7. (57)

10

<400>
 atggcccctg tgcagctgct gggcctgctg gtgctgttcc tgcctgccat
 gcggtgcgag 60

15

atcgtgctga cccagtcccc tctgtccctg cctgtgacct ctggcgagcc
 tgcctccatc 120

tctgcccggg cctccaaggg cgtgtccacc tccggctact cctacctgca
 ctggtatctg 180

20

cagaagcctg gccagtcccc ccagctgctg atctacctgg cctcctacct
 ggagtccggc 240

gtgctgacc ggttctccgg ctccggcagc ggcaccgact tcaccctgaa
 gatctcccgg 300

25

gtggaggccg aggacgtggg cgtgtactac tgccagcact cccgggacct
 gcctctgacc 360

30

ttcggccagg gcaccaagct ggagatcaag
 390

<210> 88
 <211> 390
 <212> DNA
 <213> Artificial Sequence

35

<220>
 <223> Codon optimized K09A-L-17 light chain variable region

40

<220>
 <221> sig peptide
 <222> (1)7. (57)

45

<400>
 atggcccctg tgcagctgct gggcctgctg gtgctgttcc tgcctgccat
 gcggtgcgac 60

50

atcgtgatga cccagacccc tctgtccctg cctgtgacct ctggcgagcc
 tgcctccatc 120

tctgcccggg cctccaaggg cgtgtccacc tccggctact cctacctgca
 ctggtatctg 180

55

cagaagcctg gccagtcccc tcagctgctg atctacctgg cctcctacct
 ggagtccggc 240

gtgcctgacc ggttctccgg ctccggaagc ggcaccgctt ttaccctgaa
 gatctccaga 300

5 gtggaggccg aggacgtggg cctgtactac tgccagcact cccgggacct
 gcctctgacc 360

ttcggccagg gcaccaagct ggagatcaag
 390

10

<210> 89
 <211> 139
 <212> PRT

15 <213> Artificial Sequence

<220>
 <223> 109A-H heavy chain variable region

20

<220>
 <221> sig_peptide
 <222> (1)..(19)

25 <400>

Met Asp Trp Thr Trp Ser Ile Leu Phe Leu Val Ala Ala Pro Thr
 Gly
 1 5 10 15

30

Ala His Ser Gin Val Gin Leu Val Gin Ser Gly Val Glu Val Lys
 Lys
 20 25 30

35

Pro Gly Ala Ser Val Lys Val Ser Cys Lys Ala Ser Gly Tyr Thr
 Phe
 35 40 45

40

Thr Asn Tyr Tyr Met Tyr Trp Val Arg Gin Ala Pro Gly Gin Gly
 Leu
 50 55 60

45

Glu Trp Met Gly Gly Ile Asn Pro Ser Asn Gly Gly Thr Asn Phe
 Asn
 65 70 75 80

50

Glu Lys Phe Lys Asn Arg Val Thr Leu Thr Thr Asp Ser Ser Thr
 Thr
 85 90 95

55

Thr Ala Tyr Met Glu Leu Lys Ser Leu Gin Phe Asp Asp Thr Ala

Val
 100 105 110

5 Tyr Tyr Cys Ala Arg Arg Asp Tyr Arg Phe Asp Met Gly Phe Asp
 Tyr
 115 120 125

10 Trp Gly Gin Gly Thr Thr Val Thr Val Ser Ser
 130 135

15 <210> 90
 <211> 466
 <212> PRT
 <213> Artificial Sequence

20 <220>
 <223> 409A-H heavy chain full length

25 <220>
 <221> sig_peptide
 <222> (1)..(19)
 <400>

30 Met Ala Val Leu Gly Leu Leu Phe Cys Leu Val Thr Phe Pro Ser
 Cys
 1 5 10 15

35 Val Leu Ser Gin Val Gin Leu Val Gin Ser Gly Val Glu Val Lys
 Lys
 20 25 30

40 Pro Gly Ala Ser Val Lys Val Ser Cys Lys Ala Ser Gly Tyr Thr
 Phe
 35 40 45

45 Thr Asn Tyr Tyr Met Tyr Trp Val Arg Gin Ala Pro Gly Gin Gly
 Leu
 50 55 60

50 Glu Trp Met Gly Gly lie Asn Pro Ser Asn Gly Gly Thr Asn Phe
 Asn
 65 70 75 80

55 Glu Lys Phe Lys Asn Arg Val Thr Leu Thr Thr Asp Ser Ser Thr
 Thr
 85 90 95

	Thr	Ala	Tyr	Met	Glu	Leu	Lys	Ser	Leu	Gln	Phe	Asp	Asp	Thr	Ala
	Val														
5				100					105					110	
	Tyr	Tyr	Cys	Ala	Arg	Arg	Asp	Tyr	Arg	Phe	Asp	Met	Gly	Phe	Asp
	Tyr														
10			115					120					125		
	Trp	Gly	Gln	Gly	Thr	Thr	Val	Thr	Val	Ser	Ser	Ala	Ser	Thr	Lys
	Gly														
15		130					135					140			
	Pro	Ser	Val	Phe	Pro	Leu	Ala	Pro	Cys	Ser	Arg	Ser	Thr	Ser	Glu
	Ser														
20	145					150					155				
	160														
	Thr	Ala	Ala	Leu	Gly	Cys	Leu	Val	Lys	Asp	Tyr	Phe	Pro	Glu	Pro
	Val														
25				165						170					175
	Thr	Val	Ser	Trp	Asn	Ser	Gly	Ala	Leu	Thr	Ser	Gly	Val	His	Thr
	Phe														
30			180						185					190	
	Pro	Ala	Val	Leu	Gln	Ser	Ser	Gly	Leu	Tyr	Ser	Leu	Ser	Ser	Val
	Val														
35			195					200					205		
	Thr	Val	Pro	Ser	Ser	Ser	Leu	Gly	Thr	Lys	Thr	Tyr	Thr	Cys	Asn
	Val														
40		210					215					220			
	Asp	His	Lys	Pro	Ser	Asn	Thr	Lys	Val	Asp	Lys	Arg	Val	Glu	Ser
	Lys														
45	225					230					235				
	240														
	Tyr	Gly	Pro	Pro	Cys	Pro	Pro	Cys	Pro	Ala	Pro	Glu	Phe	Leu	Gly
	Gly														
50				245						250					255
	Pro	Ser	Val	Phe	Leu	Phe	Pro	Pro	Lys	Pro	Lys	Asp	Thr	Leu	Met
	Ile														
55			260						265					270	

	Ser	Arg	Thr	Pro	Glu	Val	Thr	Cys	Val	Val	Val	Asp	Val	Ser	Gin
	Glu														
5			275					280					285		
	Asp	Pro	Glu	Val	Gin	Phe	Asn	Trp	Tyr	Val	Asp	Gly	Val	Glu	Val
10	His		290				295					300			
	Asn	Ala	Lys	Thr	Lys	Pro	Arg	Glu	Glu	Gin	Phe	Asn	Ser	Thr	Tyr
15	Arg					310					315				
	305														
	320														
	Val	Val	Ser	Val	Leu	Thr	Val	Leu	His	Gin	Asp	Trp	Leu	Asn	Gly
20	Lys				325					330					335
	Glu	Tyr	Lys	Cys	Lys	Val	Ser	Asn	Lys	Gly	Leu	Pro	Ser	Ser	lie
25	Glu			340					345					350	
	Lys	Thr	lie	Ser	Lys	Ala	Lys	Gly	Gin	Pro	Arg	Glu	Pro	Gin	Val
30	Tyr		355					360					365		
	Thr	Leu	Pro	Pro	Ser	Gin	Glu	Glu	Met	Thr	Lys	Asn	Gin	Val	Ser
35	Leu		370				375						380		
	Thr	Cys	Leu	Val	Lys	Gly	Phe	Tyr	Pro	Ser	Asp	lie	Ala	Val	Glu
40	Trp					390						395			
	385														
	400														
	Glu	Ser	Asn	Gly	Gin	Pro	Glu	Asn	Asn	Tyr	Lys	Thr	Thr	Pro	Pro
45	Val				405					410					415
	Leu	Asp	Ser	Asp	Gly	Ser	Phe	Phe	Leu	Tyr	Ser	Arg	Leu	Thr	Val
50	Asp			420					425					430	
	Lys	Ser	Arg	Trp	Gin	Glu	Gly	Asn	Val	Phe	Ser	Cys	Ser	Val	Met
55	His		435					440					445		

Glu Ala Leu His Asn His Tyr Thr Gin Lys Ser Leu Ser Leu Ser
 Leu
 450 455 460

5

Gly Lys
 465

10

<210> 91
 <211> 130
 <212> PRT
 <213> Artificial Sequence

15

<220>
 <223> K09A-L-11 light chain variable region

20

<220>
 <221> sig_peptide
 <222> (1)..(19)

<400>

25

Met Ala Pro Val Gin Leu Leu Gly Leu Leu Val Leu Phe Leu Pro
 Ala
 1 5 10 15

30

Met Arg Cys Glu lie Val Leu Thr Gin Ser Pro Ala Thr Leu Ser
 Leu
 20 25 30

35

Ser Pro Gly Glu Arg Ala Thr Leu Ser Cys Arg Ala Ser Lys Gly
 Val
 35 40 45

40

Ser Thr Ser Gly Tyr Ser Tyr Leu His Trp Tyr Gin Gin Lys Pro
 Gly
 50 55 60

45

Gin Ala Pro Arg Leu Leu lie Tyr Leu Ala Ser Tyr Leu Glu Ser
 Gly
 65 70 75 80

50

Val Pro Ala Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr
 Leu
 85 90 95

55

Thr lie Ser Ser Leu Glu Pro Glu Asp Phe Ala Val Tyr Tyr Cys
 Gin

	100		105		110
5	His Ser Arg Asp Leu Pro Leu Thr Phe Gly Gly Gly Thr Lys Val Glu	115	120	125	
10	lie Lys 130				
15	<210> 92 <211> 130 <212> PRT <213> Artificial Sequence				
20	<220> <223> K09A-L-16 light chain variable region				
25	<220> <221> sig_peptide <222> (1)..(19) <400>				
30	Met Ala Pro Val Gin Leu Leu Gly Leu Leu Val Leu Phe Leu Pro Ala 1 5 10 15				
35	Met Arg Cys Glu lie Val Leu Thr Gin Ser Pro Leu Ser Leu Pro Val 20 25 30				
40	Thr Pro Gly Glu Pro Ala Ser lie Ser Cys Arg Ala Ser Lys Gly Val 35 40 45				
45	Ser Thr Ser Gly Tyr Ser Tyr Leu His Trp Tyr Leu Gin Lys Pro Gly 50 55 60				
50	Gin Ser Pro Gin Leu Leu lie Tyr Leu Ala Ser Tyr Leu Glu Ser Gly 65 70 75 80				
55	Val Pro Asp Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu 85 90 95				

Lys lie Ser Arg Val Glu Ala Glu Asp Val Gly Val Tyr Tyr Cys
 Gin
 100 105 110

5

His Ser Arg Asp Leu Pro Leu Thr Phe Gly Gin Gly Thr Lys Leu
 Glu
 115 120 125

10

lie Lys
 130

15

<210> 93
 <211> 130
 <212> PRT
 <213> Artificial Sequence

20

<220>
 <223> K09A-L-17 light chain variable region

25

<220>
 <221> sig_peptide
 <222> (1)..(19)
 <400>

30

Met Ala Pro Val Gin Leu Leu Gly Leu Leu Val Leu Phe Leu Pro
 Ala
 1 5 10 15

35

Met Arg Cys Asp lie Val Met Thr Gin Thr Pro Leu Ser Leu Pro
 Val
 20 25 30

40

Thr Pro Gly Glu Pro Ala Ser lie Ser Cys Arg Ala Ser Lys Gly
 Val
 35 40 45

45

Ser Thr Ser Gly Tyr Ser Tyr Leu His Trp Tyr Leu Gin Lys Pro
 Gly
 50 55 60

50

Gin Ser Pro Gin Leu Leu lie Tyr Leu Ala Ser Tyr Leu Glu Ser
 Gly
 65 70 75 80

55

Val Pro Asp Arg Phe Ser Gly Ser Gly Ser Gly Thr Ala Phe Thr
 Leu
 85 90 95

Lys lie Ser Arg Val Glu Ala Glu Asp Val Gly Leu Tyr Tyr Cys
 Gin
 5 100 105 110

His Ser Arg Asp Leu Pro Leu Thr Phe Gly Gin Gly Thr Lys Leu
 Glu
 10 115 120 125

lie Lys
 130
 15

<210> 94
 <211> 469
 <212> PRT
 20 <213> Artificial Sequence

<220>
 <223> 109A-H heavy chain full length
 25

<220>
 <221> sig_peptide
 <222> (1)..(19)
 30 <400>

Met Ala Val Leu Gly Leu Leu Phe Cys Leu Val Thr Phe Pro Ser
 Cys
 1 5 10 15
 35

Val Leu Ser Gin Val Gin Leu Val Gin Ser Gly Val Glu Val Lys
 Lys
 20 25 30
 40

Pro Gly Ala Ser Val Lys Val Ser Cys Lys Ala Ser Gly Tyr Thr
 Phe
 35 40 45
 45

Thr Asn Tyr Tyr Met Tyr Trp Val Arg Gin Ala Pro Gly Gin Gly
 Leu
 50 55 60
 50

Glu Trp Met Gly Gly lie Asn Pro Ser Asn Gly Gly Thr Asn Phe
 Asn
 65 70 75 80
 55

Glu Lys Phe Lys Asn Arg Val Thr Leu Thr Thr Asp Ser Ser Thr

	Thr														
					85				90					95	
5	Thr Val	Ala	Tyr	Met	Glu	Leu	Lys	Ser	Leu	Gin	Phe	Asp	Asp	Thr	Ala
				100					105					110	
10	Tyr Tyr	Tyr	Cys	Ala	Arg	Arg	Asp	Tyr	Arg	Phe	Asp	Met	Gly	Phe	Asp
			115					120					125		
15	Trp Gly	Gly	Gin	Gly	Thr	Thr	Val	Thr	Val	Ser	Ser	Ala	Ser	Thr	Lys
		130					135					140			
20	Pro Gly	Ser	Val	Phe	Pro	Leu	Ala	Pro	Ser	Ser	Lys	Ser	Thr	Ser	Gly
	145 160					150					155				
25	Thr Val	Ala	Ala	Leu	Gly	Cys	Leu	Val	Lys	Asp	Tyr	Phe	Pro	Glu	Pro
				165					170					175	
30	Thr Phe	Val	Ser	Trp	Asn	Ser	Gly	Ala	Leu	Thr	Ser	Gly	Val	His	Thr
				180					185					190	
35	Pro Val	Ala	Val	Leu	Gin	Ser	Ser	Gly	Leu	Tyr	Ser	Leu	Ser	Ser	Val
			195					200					205		
40	Thr Val	Val	Pro	Ser	Ser	Ser	Leu	Gly	Thr	Gin	Thr	Tyr	lie	Cys	Asn
		210					215					220			
45	Asn Lys	His	Lys	Pro	Ser	Asn	Thr	Lys	Val	Asp	Lys	Lys	Val	Glu	Pro
	225 240					230					235				
50	Ser Leu	Cys	Asp	Lys	Thr	His	Thr	Cys	Pro	Pro	Cys	Pro	Ala	Pro	Glu
				245						250					255
55	Leu	Gly	Gly	Pro	Ser	Val	Phe	Leu	Phe	Pro	Pro	Lys	Pro	Lys	Asp

Ser 435 440 445

5 Val Met His Glu Ala Leu His Asn His Tyr Thr Gin Lys Ser Leu
 Ser 450 455 460

10 Leu Ser Pro Gly Lys
 465

15 <210> 95
 <211> 237
 <212> PRT
 <213> Artificial Sequence

20 <220>
 <223> K09A-L-11 light chain full length

25 <220>
 <221> sig_peptide
 <222> (1) ..(19)

30 <400>
 Met Ala Pro Val Gin Leu Leu Gly Leu Leu Val Leu Phe Leu Pro
 Ala 1 5 10 15

35 Met Arg Cys Glu lie Val Leu Thr Gin Ser Pro Ala Thr Leu Ser
 Leu 20 25 30

40 Ser Pro Gly Glu Arg Ala Thr Leu Ser Cys Arg Ala Ser Lys Gly
 Val 35 40 45

45 Ser Thr Ser Gly Tyr Ser Tyr Leu His Trp Tyr Gin Gin Lys Pro
 Gly 50 55 60

50 Gin Ala Pro Arg Leu Leu lie Tyr Leu Ala Ser Tyr Leu Glu Ser
 Gly 65 70 75 80

55 Val Pro Ala Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr
 Leu 85 90 95

Thr lie Ser Ser Leu Glu Pro Glu Asp Phe Ala Val Tyr Tyr Cys
 Gin
 100 105 110

5 His Ser Arg Asp Leu Pro Leu Thr Phe Gly Gly Gly Thr Lys Val
 Glu
 115 120 125

10 lie Lys Arg Thr Val Ala Ala Pro Ser Val Phe lie Phe Pro Pro
 Ser
 130 135 140

15 Asp Glu Gin Leu Lys Ser Gly Thr Ala Ser Val Val Cys Leu Leu
 Asn
 145 150 155
 160

20 Asn Phe Tyr Pro Arg Glu Ala Lys Val Gin Trp Lys Val Asp Asn
 Ala
 165 170 175

25 Leu Gin Ser Gly Asn Ser Gin Glu Ser Val Thr Glu Gin Asp Ser
 Lys
 180 185 190

30 Asp Ser Thr Tyr Ser Leu Ser Ser Thr Leu Thr Leu Ser Lys Ala
 Asp
 195 200 205

35 Tyr Glu Lys His Lys Val Tyr Ala Cys Glu Val Thr His Gin Gly
 Leu
 210 215 220

40 Ser Ser Pro Val Thr Lys Ser Phe Asn Arg Gly Glu Cys
 225 230 235

45 <210> 96
 <211> 237
 <212> PRT
 <213> Artificial Sequence

50 <220>
 <223> K09A-L-16 light chain full length

55 <220>
 <221> sig peptide
 <222> (1)7. (19)

<400>

5	Met Ala 1	Ala	Pro	Val	Gin	Leu	Leu	Gly	Leu	Leu	Val	Leu	Phe	Leu	Pro
				5					10					15	
10	Met Val	Arg	Cys	Glu	lie	Val	Leu	Thr	Gin	Ser	Pro	Leu	Ser	Leu	Pro
				20					25					30	
15	Thr Val	Pro	Gly	Glu	Pro	Ala	Ser	lie	Ser	Cys	Arg	Ala	Ser	Lys	Gly
			35					40					45		
20	Ser Gly	Thr	Ser	Gly	Tyr	Ser	Tyr	Leu	His	Trp	Tyr	Leu	Gin	Lys	Pro
		50					55					60			
25	Gin Gly	Ser	Pro	Gin	Leu	Leu	lie	Tyr	Leu	Ala	Ser	Tyr	Leu	Glu	Ser
	65					70					75				80
30	Val Leu	Pro	Asp	Arg	Phe	Ser	Gly	Ser	Gly	Ser	Gly	Thr	Asp	Phe	Thr
					85					90					95
35	Lys Gin	lie	Ser	Arg	Val	Glu	Ala	Glu	Asp	Val	Gly	Val	Tyr	Tyr	Cys
				100					105					110	
40	His Glu	Ser	Arg	Asp	Leu	Pro	Leu	Thr	Phe	Gly	Gin	Gly	Thr	Lys	Leu
			115					120					125		
45	lie Ser	Lys	Arg	Thr	Val	Ala	Ala	Pro	Ser	Val	Phe	lie	Phe	Pro	Pro
		130					135					140			
50	Asp Asn	Glu	Gin	Leu	Lys	Ser	Gly	Thr	Ala	Ser	Val	Val	Cys	Leu	Leu
	145 160					150						155			
55	Asn Ala	Phe	Tyr	Pro	Arg	Glu	Ala	Lys	Val	Gin	Trp	Lys	Val	Asp	Asn
				165						170					175

Leu Gin Ser Gly Asn Ser Gin Glu Ser Val Thr Glu Gin Asp Ser
 Lys
 5 180 185 190

Asp Ser Thr Tyr Ser Leu Ser Ser Thr Leu Thr Leu Ser Lys Ala
 Asp
 10 195 200 205

Tyr Glu Lys His Lys Val Tyr Ala Cys Glu Val Thr His Gin Gly
 Leu
 15 210 215 220

Ser Ser Pro Val Thr Lys Ser Phe Asn Arg Gly Glu Cys
 225 230 235
 20

<210> 97
 <211> 237
 <212> PRT
 25 <213> Artificial Sequence

<220>
 <223> K09A-L-17 light chain full length
 30

<220>
 <221> sig peptide
 <222> (1)7. (19)
 35 <400>

Met Ala Pro Val Gin Leu Leu Gly Leu Leu Val Leu Phe Leu Pro
 Ala
 1 5 10 15
 40

Met Arg Cys Asp Ile Val Met Thr Gin Thr Pro Leu Ser Leu Pro
 Val
 20 25 30
 45

Thr Pro Gly Glu Pro Ala Ser Ile Ser Cys Arg Ala Ser Lys Gly
 Val
 35 40 45
 50

Ser Thr Ser Gly Tyr Ser Tyr Leu His Trp Tyr Leu Gin Lys Pro
 Gly
 50 55 60
 55

Gin Ser Pro Gin Leu Leu Ile Tyr Leu Ala Ser Tyr Leu Glu Ser

	Gly															
	65					70					75					80
5	Val	Pro	Asp	Arg	Phe	Ser	Gly	Ser	Gly	Ser	Gly	Thr	Ala	Phe	Thr	
	Leu															
						85					90					95
10	Lys	lie	Ser	Arg	Val	Glu	Ala	Glu	Asp	Val	Gly	Leu	Tyr	Tyr	Cys	
	Gin															
						100					105					110
15	His	Ser	Arg	Asp	Leu	Pro	Leu	Thr	Phe	Gly	Gin	Gly	Thr	Lys	Leu	
	Glu															
						115					120					125
20	lie	Lys	Arg	Thr	Val	Ala	Ala	Pro	Ser	Val	Phe	lie	Phe	Pro	Pro	
	Ser															
						130										140
25	Asp	Glu	Gin	Leu	Lys	Ser	Gly	Thr	Ala	Ser	Val	Val	Cys	Leu	Leu	
	Asn															
	145						150						155			
	160															
30	Asn	Phe	Tyr	Pro	Arg	Glu	Ala	Lys	Val	Gin	Trp	Lys	Val	Asp	Asn	
	Ala															
						165						170				175
35	Leu	Gin	Ser	Gly	Asn	Ser	Gin	Glu	Ser	Val	Thr	Glu	Gin	Asp	Ser	
	Lys															
						180						185				190
40	Asp	Ser	Thr	Tyr	Ser	Leu	Ser	Ser	Thr	Leu	Thr	Leu	Ser	Lys	Ala	
	Asp															
						195						200				205
45	Tyr	Glu	Lys	His	Lys	Val	Tyr	Ala	Cys	Glu	Val	Thr	His	Gin	Gly	
	Leu															
						210										220
50	Ser	Ser	Pro	Val	Thr	Lys	Ser	Phe	Asn	Arg	Gly	Glu	Cys			
	225															
						230										235

As another example, an **anti-OX40** antibody can be used in combination with nivolumab (**OPDIVO®**). Nivolumab (OPDIVO®) is a programmed death receptor-1 (PD-1) blocking antibody indicated for the treatment of patients with:

- 5 - unresectable or metastatic melanoma and disease progression following ipilimumab and, if BRAF V600 mutation positive, a BRAF inhibitor. This indication is approved under accelerated approval based on tumor response rate and durability of response. Continued approval for this indication may be contingent upon verification and description of clinical benefit in the confirmatory trials.
- 10 - metastatic squamous non-small cell lung cancer with progression on or after platinum-based chemotherapy.

The recommended dose of nivolumab (**OPDIVO®**) is 3 mg/kg administered as an intravenous infusion over 60 minutes every 2 weeks until disease progression or unacceptable toxicity.

15 Binding of the PD-1 ligands, PD-L1 and PD-L2, to the PD-1 receptor found on T cells, inhibits T-cell proliferation and cytokine production. Upregulation of PD-1 ligands occurs in some tumors and signaling through this pathway can contribute to inhibition of active T-cell immune surveillance of tumors.

20 Nivolumab is a human immunoglobulin G4 (IgG4) monoclonal antibody that binds to the PD-1 receptor and blocks its interaction with PD-L1 and PD-L2, releasing PD-1 pathway-mediated inhibition of the immune response, including the anti-tumor immune response. In syngeneic mouse tumor models, blocking PD-1 activity resulted in decreased tumor growth.

25 U.S. Patent No. 8,008,449 exemplifies seven anti-PD-1 HuMAbs: 17D8, 2D3, 4H1, 5C4 (also referred to herein as nivolumab or BMS-936558), 4A1, 7D3 and 5F4. See also U.S. Patent No. 8,779,105. Any one of these antibodies, or the CDRs thereof (or an amino acid sequence with at least **90%** (e.g., **90%**, **91%**, **92%**, **93%**, **94%**, **95%**, **96%**, **97%**, **98%**, or **99%**, or **100%**) sequence identity to any of these amino acid sequences), can be used in the compositions and methods described
30 herein.

Heavy Chain of nivolumab:

QVQLVESGGGVVQPGRSLRLDCKASGITFSNSGMHWVRQAPGKGLEWVAVI
WYDGSKRYYADSVKGRFTISRDNKNTLFLQMNSLRAEDTAVYYCATNDDY

WGQGLTVTVSSASTKGPSVFPLAPCSRSTSESTAALGCLVKDYFPEPVTVSWN
 SGALTSQVHTFPAVLQSSGLYSLSSVVTVPSSSLGTQKTYTCNVDPKPKNTKVD
 KRVESKYGPPCPPCPAPEFLGGPSVFLFPPKPKDTLMISRTPEVTCVVDVDSQE
 DPEVQFNWYVDGVEVHNAKTKPREEQFNSTYRVVSVLTVLHQDWLNGKEY
 5 KCKVSNKGLPSSIEKTISKAKGQPREPQVYTLPPSQEEMTKNQVSLTCLVKGF
 YPSDIAVEWESNGQPENNYKTTTPVLDSDGSFFLYSRLTVDKSRWQEGNVFS
 CSVMHEALHNHYTQKSLSLGLGK (SEQ ID NO:98)

Light Chain of nivolumab:

EIVLTQSPATLSLSPGERATLSCRASQSVSSYLAWYQQKPGQAPRLLIYDASN
 10 RATGIPARFSGSGSGTDFTLTISSLEPEDFAVYYCQQSSNWPRTFGQGTKVEIK
 RTVAAPSVFIFPPSDEQLKSGTASVVCLLNNFYPREAKVQWKVDNALQSGNS
 QESVTEQDSKDSSTLSSTLTLSKADYEEKHKVYACEVTHQGLSSPVTKSFNRG
 EC (SEQ ID NO:99)

15 Heavy Chain Variable region of nivolumab:

	Gin	Val	Gin	Leu	Val	Glu	Ser	Gly	Gly	Gly	Val	Val	Gin	Pro	Gly	Arg
	1				5					10					15	
	Ser	Leu	Arg	Leu	Asp	Cys	Lys	Ala	Ser	Gly	lie	Thr	Phe	Ser	Asn	Ser
				20					25					30		
20	Gly	Met	His	Trp	Val	Arg	Gin	Ala	Pro	Gly	Lys	Gly	Leu	Glu	Trp	Val
			35					40					45			
	Ala	Val	lie	Trp	Tyr	Asp	Gly	Ser	Lys	Arg	Tyr	Tyr	Ala	Asp	Ser	Val
		50					55					60				
	Lys	Gly	Arg	Phe	Thr	lie	Ser	Arg	Asp	Asn	Ser	Lys	Asn	Thr	Leu	Phe
25	65					70					75					80
	Leu	Gin	Met	Asn	Ser	Leu	Arg	Ala	Glu	Asp	Thr	Ala	Val	Tyr	Tyr	Cys
				85						90					95	
	Ala	Thr	Asn	Asp	Asp	Tyr	Trp	Gly	Gin	Gly	Thr	Leu	Val	Thr	Val	Ser
				100					105					110		
30	Ser															

(SEQ ID NO:100)

Light Chain Variable region of nivolumab:

	Glu	lie	Val	Leu	Thr	Gin	Ser	Pro	Ala	Thr	Leu	Ser	Leu	Ser	Pro	Gly
	1				5						10				15	
35	Glu	Arg	Ala	Thr	Leu	Ser	Cys	Arg	Ala	Ser	Gin	Ser	Val	Ser	Ser	Tyr
				20					25					30		
	Leu	Ala	Trp	Tyr	Gin	Gin	Lys	Pro	Gly	Gin	Ala	Pro	Arg	Leu	Leu	lie
			35				40						45			
	Tyr	Asp	Ala	Ser	Asn	Arg	Ala	Thr	Gly	lie	Pro	Ala	Arg	Phe	Ser	Gly
40	50						55					60				
	Ser	Gly	Ser	Gly	Thr	Asp	Phe	Thr	Leu	Thr	lie	Ser	Ser	Leu	Glu	Pro
	65					70					75					80
	Glu	Asp	Phe	Ala	Val	Tyr	Tyr	Cys	Gin	Gin	Ser	Ser	Asn	Trp	Pro	Arg
				85						90					95	

Thr Phe Gly Gin Gly Thr Lys Val Glu lie Lys (SEQ ID NO: 101)
 100 105

CDR sequences of nivolumab:

5 HC CDR1 : Asn Ser Gly Met His (SEQ ID NO:102)

HC CDR2: Val lie Trp Tyr Asp Gly Ser Lys Arg Tyr Tyr Ala Asp Ser Val Lys
 Gly (SEQ ID NO:103)

HC CDR3: Asn Asp Asp Tyr (SEQ ID NO: 104)

LC CDR1 : Arg Ala Ser Gin Ser Val Ser Ser Tyr Leu Ala (SEQ ID NO:105)

10 LC CDR2: Asp Ala Ser Asn Arg Ala Thr (SEQ ID NO: 106)

LC CDR3: Gin Gin Ser Ser Asn Trp Pro Arg Thr (SEQ ID NO:107)

In another embodiment, the anti- PD-1 ABP of a combination of the invention, or a method or use thereof, comprises one or more (e.g. all) of the CDRs or VH or VL or HC (heavy chain) or LC (light chain) sequences of nivolumab, or sequences with at
 15 least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity thereto.

The HC and LC CDRs of nivolumab are provided herein. In one embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises: (a) a heavy chain variable region CDR1 of nivolumab; (b) a heavy chain
 20 variable region CDR2 of nivolumab; (c) a heavy chain variable region CDR3 of nivolumab; (d) a light chain variable region CDR1 of nivolumab; (e) a light chain variable region CDR2 of nivolumab; and (f) a light chain variable region CDR3 of nivolumab.

In another embodiment, the anti- PD-1 of a combination of the invention, or a method
 25 or use thereof, comprises: a heavy chain variable region CDR1 of nivolumab; a heavy chain variable region CDR2 of nivolumab and/or a heavy chain variable region CDR3 of nivolumab.

In another embodiment, the anti-PD-1 of a combination of the invention, or a method
 30 or use thereof, comprises: a light chain variable region CDR1 of nivolumab; a light chain variable region CDR2 of nivolumab and/or a light chain variable region CDR3 of nivolumab.

In another embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises: a light chain variable region ("VL") of nivolumab,

or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the VL of nivolumab.

5 In another embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises a heavy chain variable region ("VH") of nivolumab, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the VH of nivolumab.

10 In another embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises: a light chain variable region ("VL") of nivolumab, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the VL of nivolumab and the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises a heavy chain variable region ("VH") of nivolumab,
15 or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the VH of nivolumab.

In another embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises: a light chain ("LC") of nivolumab, or an amino
20 acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the LC of nivolumab.

In another embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises a heavy chain ("HC") of nivolumab, or an amino
25 acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the HC of nivolumab.

In another embodiment, the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises: a light chain ("LC") of nivolumab, or an amino
30 acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, or 99%, or 100%) sequence identity to the amino acid sequence of the LC of nivolumab and the anti-PD-1 ABP of a combination of the invention, or a method or use thereof, comprises a heavy chain ("HC") of nivolumab, or an amino acid sequence with at least 90% (e.g., 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%,

or 99%, or 100%) sequence identity to the amino acid sequence of the HC of nivolumab.

Another embodiment of a combination of the invention, or a method or use thereof, includes CDRs, VH regions, and VL regions, HC, and LC, and antibodies and nucleic acids encoding the same as disclosed in the below Sequence Listing.

An anti-OX40 ABP (e.g., an agonist ABP, e.g. an anti-hOX40 ABP, e.g. antibody), e.g., an antibody described herein, can be used in combination with an ABP (e.g., antagonist ABP, e.g antagonist antibody) against PD-1 (e.g. human PD-1). For example, an anti-OX40 antibody can be used in combination with nivolumab.

Thus, in one embodiment, methods are provided for treating cancer in a human in need thereof comprising administering a therapeutically effective amount of a monoclonal antibody that binds to human OX40 comprising a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 4 and a VL comprising and amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 10, and pembrolizumab, or an antibody having 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity thereto. In one embodiment, the monoclonal antibody that binds OX40 comprises a heavy chain variable region comprising the amino acid sequence set forth in SEQ ID NO:4 and a light chain variable region comprising the amino acid sequence set forth in SEQ ID NO: 10.

In one aspect, the cancer is a solid tumor. In one aspect, the cancer is selected from: melanoma, lung cancer, kidney cancer, breast cancer, head and neck cancer, colon cancer, ovarian cancer, pancreatic cancer, liver cancer, prostate cancer, bladder cancer, gastric cancer. In another aspect, the cancer is selected from: NSCLC, squamous cell carcinoma of the head and neck (SCCHN), renal cell carcinoma (RCC), melanoma, bladder cancer, soft tissue sarcoma (STS), triple-negative breast cancer (TNBC), and colorectal carcinoma displaying microsatellite instability (MSI CRC).

In one embodiment, the monoclonal antibody that binds to OX40 is ANTIBODY 106-222. In one embodiment, the monoclonal antibody that binds to OX40 and pembrolizumab are administered at the same time. In one embodiment, the monoclonal antibody that binds to OX40 and pembrolizumab are administered sequentially, in any order. In one embodiment, the monoclonal antibody that binds to

OX40 and/or the pembrolizumab are administered intravenously. Suitably, the monoclonal antibody that binds to OX40 and/or the pembrolizumab are administered intratumorally.

5 In one embodiment, the monoclonal antibody that binds to OX40 is administered at a dose of about 0.1 mg/kg to about 10 mg/kg. The monoclonal antibody that binds to OX40 can be administered at a frequency selected from: once daily, once weekly, once every two weeks (Q2W) and once every three weeks (Q3W). Suitably, the antibody that binds to OX40 is administered once every three weeks. In one aspect, the pembrolizumab is administered at a dose of 200 mg Q3W.

10 In one embodiment pharmaceutical compositions are provided comprising a therapeutically effective amount of an a monoclonal antibody that bind to OX40 comprising a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and comprising a VL comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:11, and pembrolizumab, or an antibody comprising 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity thereto. Suitably, the monoclonal antibody that binds OX40 comprises a variable heavy chain
15
20 comprising the amino acid sequence set forth in SEQ ID NO:5 and a variable light chain comprising the amino acid sequence set forth in SEQ ID NO: 11. Suitably, the pharmaceutical composition comprises pembrolizumab.

Also provided as part of the instant invention are combination kits comprising a pharmaceutical compositions of the present invention together with one or more
25 pharmaceutically acceptable carriers.

The present invention also provides use of the pharmaceutical compositions or kit of the invention in the manufacture of a medicament for the treatment of cancer.

In one embodiment, the present invention provides methods of reducing tumor size in a human having cancer comprising administering a therapeutically effective amount
30 of ANTIBODY 106-222 and a therapeutically effective amount of pembrolizumab to said human. In one aspect, the human demonstrates complete response or partial response according to RECIST version 1.1 .

In some embodiments of the methods presented herein (e.g., methods of treating cancer and/or reducing tumor size in a human), the monoclonal antibody that binds

to human OX40 (e.g., a monoclonal antibody that binds to human OX40 comprising a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 5 and a VL comprising and amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:1 1) (e.g., ANTIBODY 106-222) (e.g., a therapeutically effective amount thereof) is administered to the human first and pembrolizumab (or an antibody having 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity thereto) (e.g., a therapeutically effective amount thereof) is administered second. In some embodiments, the monoclonal antibody that binds to human OX40 (e.g., a monoclonal antibody that binds to human OX40 comprising a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 5 and a VL comprising and amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:1 1) (e.g., ANTIBODY 106-222) is administered intravenously (e.g., intravenous infusion). In some embodiments, pembrolizumab (or an antibody having 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity thereto) is administered intravenously. In some embodiments, pembrolizumab (or an antibody having 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity thereto) is administered to subjects starting at least 1 hour and no more than 2 hours following the end of administration of the monoclonal antibody that binds to human OX40 (e.g., a monoclonal antibody that binds to human OX40 comprising a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 5 and a VL comprising and amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:1 1) (e.g., ANTIBODY 106-222). In some embodiments, pembrolizumab (or an antibody having 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity thereto) is intravenously administered to the human starting at least 1 hour and no more than 2 hours following the end of infusion (e.g., intravenous infusion) of the monoclonal antibody that binds to human OX40 (e.g., a monoclonal antibody that binds to human OX40 comprising a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 5 and a VL comprising and amino acid

sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:1 1) (e.g., ANTIBODY 106-222). In some embodiments, the monoclonal antibody that binds to OX40 is administered at a dose of about 0.003 mg/kg to about 10 mg/kg
5 (e.g., 0.1 mg/kg to about 10 mg/kg) (e.g., Q3W). In some embodiments, the pembrolizumab is administered at a dose of 200 mg (e.g., Q3W).

In some embodiments of the methods presented herein (e.g., methods of treating cancer and/or reducing tumor size in a human), the monoclonal antibody that binds to human OX40 (e.g., a monoclonal antibody that binds to human OX40 comprising a
10 VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 5 and a VL comprising and amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:1 1)
15 (e.g., ANTIBODY 106-222) (e.g., a therapeutically effective amount thereof) is administered intravenously (e.g., by intravenous infusion) (to the human) first and pembrolizumab (or an antibody having 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity thereto) (e.g., a therapeutically effective amount thereof) is administered intravenously (to the human) second, wherein
20 pembrolizumab (or an antibody having 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity thereto) is intravenously administered to the human starting at least 1 hour and no more than 2 hours following the end of infusion (e.g., intravenous infusion) of the monoclonal antibody that binds to human OX40 (e.g., a monoclonal antibody that binds to human OX40 comprising a VH region
25 comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 5 and a VL comprising and amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:1 1) (e.g., ANTIBODY 106-
30 222). In some embodiments, the monoclonal antibody that binds to OX40 is administered at a dose of about 0.003 mg/kg to about 10 mg/kg (e.g., 0.1 mg/kg to about 10 mg/kg) (e.g., Q3W). In some embodiments, the pembrolizumab is administered at a dose of 200 mg (e.g., Q3W).

Methods of Treatment

The combinations of the invention are believed to have utility in disorders wherein the engagement of OX40 (e.g., agonistic engagement) and/or PD-1 (e.g., antagonistic engagement), is beneficial.

- 5 The present invention thus also provides a combination of the invention, for use in therapy, particularly in the treatment of disorders wherein the engagement of OX40 (e.g., agonistic engagement) and/or PD-1 (e.g., antagonistic engagement), is beneficial, particularly cancer.

- 10 A further aspect of the invention provides a method of treatment of a disorder wherein engagement of OX40 (e.g., agonistic engagement) and/or PD-1 (e.g., antagonistic engagement), comprising administering a combination of the invention.

- 15 A further aspect of the present invention provides the use of a combination of the invention in the manufacture of a medicament for the treatment of a disorder engagement of OX40 (e.g., agonistic engagement) and/or PD-1 (e.g., antagonistic engagement), is beneficial. In preferred embodiments the disorder is cancer.

- Examples of cancers that are suitable for treatment with combination of the invention include, but are limited to, both primary and metastatic forms of head and neck, breast, lung, colon, ovary, and prostate cancers. Suitably the cancer is selected from: brain (gliomas), glioblastomas, astrocytomas, glioblastoma multiforme, 20 Bannayan-Zonana syndrome, Cowden disease, Lhermitte-Duclos disease, breast, inflammatory breast cancer, Wilm's tumor, Ewing's sarcoma, Rhabdomyosarcoma, ependymoma, medulloblastoma, colon, head and neck, kidney, lung, liver, melanoma, ovarian, pancreatic, prostate, sarcoma, osteosarcoma, giant cell tumor of bone, thyroid, lymphoblastic T cell leukemia, Chronic myelogenous leukemia, 25 Chronic lymphocytic leukemia, Hairy-cell leukemia, acute lymphoblastic leukemia, acute myelogenous leukemia, AML, Chronic neutrophilic leukemia, Acute lymphoblastic T cell leukemia, plasmacytoma, Immunoblastic large cell leukemia, Mantle cell leukemia, Multiple myeloma Megakaryoblastic leukemia, multiple myeloma, acute megakaryocyte leukemia, promyelocytic leukemia, Erythroleukemia, 30 malignant lymphoma, hodgkins lymphoma, non-hodgkins lymphoma, lymphoblastic T cell lymphoma, Burkitt's lymphoma, follicular lymphoma, neuroblastoma, bladder cancer, urothelial cancer, lung cancer, vulval cancer, cervical cancer, endometrial cancer, renal cancer, mesothelioma, esophageal cancer, salivary gland cancer, hepatocellular cancer, gastric cancer, nasopharyngeal cancer, buccal cancer, cancer 35 of the mouth, GIST (gastrointestinal stromal tumor) and testicular cancer.

Additionally, examples of a cancer to be treated include Barret's adenocarcinoma; biliary tract carcinomas; breast cancer; cervical cancer; cholangiocarcinoma; central nervous system tumors including primary CNS tumors such as glioblastomas, astrocytomas (e.g., glioblastoma multiforme) and ependymomas, and secondary
 5 CNS tumors (i.e., metastases to the central nervous system of tumors originating outside of the central nervous system); colorectal cancer including large intestinal colon carcinoma; gastric cancer; carcinoma of the head and neck including squamous cell carcinoma of the head and neck; hematologic cancers including leukemias and lymphomas such as acute lymphoblastic leukemia, acute
 10 myelogenous leukemia (AML), myelodysplasia syndromes, chronic myelogenous leukemia, Hodgkin's lymphoma, non-Hodgkin's lymphoma, megakaryoblastic leukemia, multiple myeloma and erythroleukemia; hepatocellular carcinoma; lung cancer including small cell lung cancer and non-small cell lung cancer; ovarian cancer; endometrial cancer; pancreatic cancer; pituitary adenoma; prostate cancer;
 15 renal cancer; sarcoma; skin cancers including melanomas; and thyroid cancers.

Suitably, the present invention relates to a method for treating or lessening the severity of a cancer selected from: brain (gliomas), glioblastomas, astrocytomas, glioblastoma multiforme, Bannayan-Zonana syndrome, Cowden disease, Lhermitte-Duclos disease, breast, colon, head and neck, kidney, lung, liver, melanoma,
 20 ovarian, pancreatic, prostate, sarcoma and thyroid.

Suitably, the present invention relates to a method for treating or lessening the severity of a cancer selected from ovarian, breast, pancreatic and prostate.

Suitably, the present invention relates to a method for treating or lessening the severity of NSCLC (non small cell lung cancer), squamous cell carcinoma of
 25 the head and neck (SCCHN), renal cell carcinoma (RCC), melanoma, bladder cancer, soft tissue sarcoma (STS), triple-negative breast cancer (TNBC), and colorectal carcinoma displaying microsatellite instability (MSI CRC).

Suitably, the present invention relates to a method for treating or lessening the severity of melanoma, e.g. metastatic melanoma.

30 Suitably, the present invention relates to a method for treating or lessening the severity of squamous non-small cell lung cancer, e.g. metastatic squamous non-small cell lung cancer.

Suitably the present invention relates to a method for treating or lessening the severity of pre-cancerous syndromes in a mammal, including a human, wherein the

pre-cancerous syndrome is selected from: cervical intraepithelial neoplasia, monoclonal gammopathy of unknown significance (MGUS), myelodysplasia syndrome, aplastic anemia, cervical lesions, skin nevi (pre-melanoma), prostatic intraepithelial (intraductal) neoplasia (PIN), Ductal Carcinoma in situ (DCIS), colon
5 polyps and severe hepatitis or cirrhosis.

The combination of the invention may be used alone or in combination with one or more other therapeutic agents. The invention thus provides in a further aspect a further combination comprising a combination of the invention with a further
10 therapeutic agent or agents, compositions and medicaments comprising the combination and use of the further combination, compositions and medicaments in therapy, in particular in the treatment of diseases susceptible engagement of OX40, e.g. agonism of OX40, and/or PD-1, e.g. antagonism of PD-1.

In the embodiment, the combination of the invention may be employed with other therapeutic methods of cancer treatment. In particular, in anti-neoplastic therapy,
15 combination therapy with other chemotherapeutic, hormonal, antibody agents as well as surgical and/or radiation treatments other than those mentioned above are envisaged. Combination therapies according to the present invention thus include the administration of an anti-OX40 ABP of a combination, or method or use thereof, of the invention and/or an anti-PD-1 ABP of a combination, or method or use thereof,
20 of the invention as well as optional use of other therapeutic agents including other anti-neoplastic agents. Such combination of agents may be administered together or separately and, when administered separately this may occur simultaneously or sequentially in any order, both close and remote in time. In one embodiment, the pharmaceutical combination includes an anti-OX40 ABP, suitably an agonist anti-
25 OX40 ABP and an anti-PD-1 ABP, suitably an antagonist anti-PD1 ABP, and optionally at least one additional anti-neoplastic agent.

In one embodiment, the further anti-cancer therapy is surgical and/or radiotherapy.

In one embodiment, the further anti-cancer therapy is at least one additional anti-neoplastic agent.

30 Any anti-neoplastic agent that has activity versus a susceptible tumor being treated may be utilized in the combination. Typical anti-neoplastic agents useful include, but are not limited to, anti-microtubule agents such as diterpenoids and vinca alkaloids; platinum coordination complexes; alkylating agents such as nitrogen mustards, oxazaphosphorines, alkylsulfonates, nitrosoureas, and triazenes; antibiotic agents
35 such as anthracyclins, actinomycins and bleomycins; topoisomerase II inhibitors such

as epipodophyllotoxins; antimetabolites such as purine and pyrimidine analogues and anti-folate compounds; topoisomerase I inhibitors such as camptothecins; hormones and hormonal analogues; signal transduction pathway inhibitors; non-receptor tyrosine angiogenesis inhibitors; immunotherapeutic agents; proapoptotic agents; and cell cycle signaling inhibitors.

Anti-microtubule or anti-mitotic agents: Anti-microtubule or anti-mitotic agents are phase specific agents active against the microtubules of tumor cells during M or the mitosis phase of the cell cycle. Examples of anti-microtubule agents include, but are not limited to, diterpenoids and vinca alkaloids.

10 Diterpenoids, which are derived from natural sources, are phase specific anti-cancer agents that operate at the G₂/M phases of the cell cycle. It is believed that the diterpenoids stabilize the β-tubulin subunit of the microtubules, by binding with this protein. Disassembly of the protein appears then to be inhibited with mitosis being arrested and cell death following. Examples of diterpenoids include, but are not
15 limited to, paclitaxel and its analog docetaxel.

Paclitaxel, 5p,20-epoxy-1,2α,4,7β,10β,13a-hexa-hydroxytax-11-en-9-one 4,10-diacetate 2-benzoate 13-ester with (2R,3S)-N-benzoyl-3-phenylisoserine; is a natural diterpene product isolated from the Pacific yew tree *Taxus brevifolia* and is commercially available as an injectable solution TAXOL®. It is a member of the
20 taxane family of terpenes. Paclitaxel has been approved for clinical use in the treatment of refractory ovarian cancer in the United States (Markman et al., Yale Journal of Biology and Medicine, 64:583, 1991; McGuire et al., Ann. Intern. Med., 111:273,1989) and for the treatment of breast cancer (Holmes et al., J. Nat. Cancer Inst., 83:1797,1991.) It is a potential candidate for treatment of neoplasms in the skin
25 (Einzig et. al., Proc. Am. Soc. Clin. Oncol., 20:46) and head and neck carcinomas (Forastire et. al., Sem. Oncol., 20:56, 1990). The compound also shows potential for the treatment of polycystic kidney disease (Woo et. al., Nature, 368:750. 1994), lung cancer and malaria. Treatment of patients with paclitaxel results in bone marrow suppression (multiple cell lineages, Ignoff, R.J. et. al, Cancer Chemotherapy Pocket
30 Guide_A 1998) related to the duration of dosing above a threshold concentration (50nM) (Kearns, CM. et. al., Seminars in Oncology, 3(6) p.16-23, 1995).

Docetaxel, (2R,3S)- N-carboxy-3-phenylisoserine,N-tert-butyl ester, 13-ester with 5β-20-epoxy-1,2α,4,7p,10p,13a-hexahydroxytax-11-en-9-one 4-acetate 2-benzoate, trihydrate; is commercially available as an injectable solution as TAXOTERE®.

35 Docetaxel is indicated for the treatment of breast cancer. Docetaxel is a

semisynthetic derivative of paclitaxel *q.v.*, prepared using a natural precursor, 10-deacetyl-baccatin III, extracted from the needle of the European Yew tree.

5 Vinca alkaloids are phase specific anti-neoplastic agents derived from the periwinkle plant. Vinca alkaloids act at the M phase (mitosis) of the cell cycle by binding specifically to tubulin. Consequently, the bound tubulin molecule is unable to polymerize into microtubules. Mitosis is believed to be arrested in metaphase with cell death following. Examples of vinca alkaloids include, but are not limited to, vinblastine, vincristine, and vinorelbine.

10 Vinblastine, vincalurekoblature sulfate, is commercially available as VELBAN® as an injectable solution. Although, it has possible indication as a second line therapy of various solid tumors, it is primarily indicated in the treatment of testicular cancer and various lymphomas including Hodgkin's Disease; and lymphocytic and histiocytic lymphomas. Myelosuppression is the dose limiting side effect of vinblastine.

15 Vincristine, vincalurekoblature, 22-oxo-, sulfate, is commercially available as ONCOVIN® as an injectable solution. Vincristine is indicated for the treatment of acute leukemias and has also found use in treatment regimens for Hodgkin's and non-Hodgkin's malignant lymphomas. Alopecia and neurologic effects are the most common side effect of vincristine and to a lesser extent myelosuppression and gastrointestinal mucositis effects occur.

20 Vinorelbine, 3',4'-didehydro -4'-deoxy-C'-norvincalurekoblature [R-(R*,R*)-2,3-dihydroxybutanedioate (1:2)(salt)], commercially available as an injectable solution of vinorelbine tartrate (NAVELBINE®), is a semisynthetic vinca alkaloid. Vinorelbine is indicated as a single agent or in combination with other chemotherapeutic agents, such as cisplatin, in the treatment of various solid tumors, particularly non-small cell lung, advanced breast, and hormone refractory prostate cancers. Myelosuppression is the most common dose limiting side effect of vinorelbine.

30 Platinum coordination complexes: Platinum coordination complexes are non-phase specific anti-cancer agents, which are interactive with DNA. The platinum complexes enter tumor cells, undergo, aquation and form intra- and interstrand crosslinks with DNA causing adverse biological effects to the tumor. Examples of platinum coordination complexes include, but are not limited to, oxaliplatin, cisplatin and carboplatin.

35

Cisplatin, cis-diamminedichloroplatinum, is commercially available as PLATINOL® as an injectable solution. Cisplatin is primarily indicated in the treatment of metastatic testicular and ovarian cancer and advanced bladder cancer.

5 Carboplatin, platinum, diammine [1,1-cyclobutane-dicarboxylate(2-)-0,0], is commercially available as PARAPLATIN® as an injectable solution. Carboplatin is primarily indicated in the first and second line treatment of advanced ovarian carcinoma.

10 Alkylating agents: Alkylating agents are non-phase anti-cancer specific agents and strong electrophiles. Typically, alkylating agents form covalent linkages, by alkylation, to DNA through nucleophilic moieties of the DNA molecule such as phosphate, amino, sulfhydryl, hydroxyl, carboxyl, and imidazole groups. Such alkylation disrupts nucleic acid function leading to cell death. Examples of alkylating agents include, but
15 are not limited to, nitrogen mustards such as cyclophosphamide, melphalan, and chlorambucil; alkyl sulfonates such as busulfan; nitrosoureas such as carmustine; and triazenes such as dacarbazine.

Cyclophosphamide, 2-[bis(2-chloroethyl)amino]tetrahydro-2H-1,3,2-oxazaphosphorine 2-oxide monohydrate, is commercially available as an injectable
20 solution or tablets as CYTOXAN®. Cyclophosphamide is indicated as a single agent or in combination with other chemotherapeutic agents, in the treatment of malignant lymphomas, multiple myeloma, and leukemias.

25 Melphalan, 4-[bis(2-chloroethyl)amino]-L-phenylalanine, is commercially available as an injectable solution or tablets as ALKERAN®. Melphalan is indicated for the palliative treatment of multiple myeloma and non-resectable epithelial carcinoma of the ovary. Bone marrow suppression is the most common dose limiting side effect of melphalan.

30 Chlorambucil, 4-[bis(2-chloroethyl)amino]benzenebutanoic acid, is commercially available as LEUKERAN® tablets. Chlorambucil is indicated for the palliative treatment of chronic lymphatic leukemia, and malignant lymphomas such as lymphosarcoma, giant follicular lymphoma, and Hodgkin's disease.

35

Busulfan, 1,4-butanediol dimethanesulfonate, is commercially available as MYLERAN® TABLETS. Busulfan is indicated for the palliative treatment of chronic myelogenous leukemia.

5 Carmustine, 1,3-[bis(2-chloroethyl)-1-nitrosourea, is commercially available as single vials of lyophilized material as BiCNU®. Carmustine is indicated for the palliative treatment as a single agent or in combination with other agents for brain tumors, multiple myeloma, Hodgkin's disease, and non-Hodgkin's lymphomas.

10 Dacarbazine, 5-(3,3-dimethyl-1-triazeno)-imidazole-4-carboxamide, is commercially available as single vials of material as DTIC-Dome®. Dacarbazine is indicated for the treatment of metastatic malignant melanoma and in combination with other agents for the second line treatment of Hodgkin's Disease.

15 Antibiotic anti-neoplastics: Antibiotic anti-neoplastics are non-phase specific agents, which bind or intercalate with DNA. Typically, such action results in stable DNA complexes or strand breakage, which disrupts ordinary function of the nucleic acids leading to cell death. Examples of antibiotic anti-neoplastic agents include, but are not limited to, actinomycins such as dactinomycin, anthrocyclins such as daunorubicin and doxorubicin; and bleomycins.

20

Dactinomycin, also know as Actinomycin D, is commercially available in injectable form as COSMEGEN®. Dactinomycin is indicated for the treatment of Wilm's tumor and rhabdomyosarcoma.

25 Daunorubicin, (8S-cis-)-8-acetyl-10-[(3-amino-2,3,6-trideoxy- α -L-lyxo-hexopyranosyl)oxy]-7,8,9,10-tetrahydro-6,8,11-trihydroxy-1-methoxy-5,12-naphthacenedione hydrochloride, is commercially available as a liposomal injectable form as DAUNOXOME® or as an injectable as CERUBIDINE®. Daunorubicin is indicated for remission induction in the treatment of acute nonlymphocytic leukemia and advanced HIV associated Kaposi's sarcoma.

30

Doxorubicin, (8S, 10S)-10-[(3-amino-2,3,6-trideoxy- α -L-lyxo-hexopyranosyl)oxy]-8-glycoloyl, 7,8,9, 10-tetrahydro-6,8,11-trihydroxy-1-methoxy-5,12 naphthacenedione hydrochloride, is commercially available as an injectable form as RUBEX® or
35 ADRIAMYCIN RDF®. Doxorubicin is primarily indicated for the treatment of acute lymphoblastic leukemia and acute myeloblasts leukemia, but is also a useful component in the treatment of some solid tumors and lymphomas.

Bleomycin, a mixture of cytotoxic glycopeptide antibiotics isolated from a strain of *Streptomyces verticillus*, is commercially available as BLENOXANE®. Bleomycin is indicated as a palliative treatment, as a single agent or in combination with other agents, of squamous cell carcinoma, lymphomas, and testicular carcinomas.

Topoisomerase II inhibitors: Topoisomerase II inhibitors include, but are not limited to, epipodophyllotoxins.

Epipodophyllotoxins are phase specific anti-neoplastic agents derived from the mandrake plant. Epipodophyllotoxins typically affect cells in the S and G₂ phases of the cell cycle by forming a ternary complex with topoisomerase II and DNA causing DNA strand breaks. The strand breaks accumulate and cell death follows. Examples of epipodophyllotoxins include, but are not limited to, etoposide and teniposide.

Etoposide, 4'-demethyl-epipodophyllotoxin 9[4,6-O-(R)-ethylidene-p-D-glucopyranoside], is commercially available as an injectable solution or capsules as VePESID® and is commonly known as VP-16. Etoposide is indicated as a single agent or in combination with other chemotherapy agents in the treatment of testicular and non-small cell lung cancers.

Teniposide, 4'-demethyl-epipodophyllotoxin 9[4,6-O-(R)-thenylidene-p-D-glucopyranoside], is commercially available as an injectable solution as VUMON® and is commonly known as VM-26. Teniposide is indicated as a single agent or in combination with other chemotherapy agents in the treatment of acute leukemia in children.

Antimetabolite neoplastic agents: Antimetabolite neoplastic agents are phase specific anti-neoplastic agents that act at S phase (DNA synthesis) of the cell cycle by inhibiting DNA synthesis or by inhibiting purine or pyrimidine base synthesis and thereby limiting DNA synthesis. Consequently, S phase does not proceed and cell death follows. Examples of antimetabolite anti-neoplastic agents include, but are not limited to, fluorouracil, methotrexate, cytarabine, mecaptopurine, thioguanine, and gemcitabine.

5-fluorouracil, 5-fluoro-2,4- (1H,3H) pyrimidinedione, is commercially available as fluorouracil. Administration of 5-fluorouracil leads to inhibition of thymidylate synthesis and is also incorporated into both RNA and DNA. The result typically is cell death. 5-fluorouracil is indicated as a single agent or in combination with other
5 chemotherapy agents in the treatment of carcinomas of the breast, colon, rectum, stomach and pancreas. Other fluoropyrimidine analogs include 5-fluoro deoxyuridine (floxuridine) and 5-fluorodeoxyuridine monophosphate.

Cytarabine, 4-amino-1- β -D-arabinofuranosyl-2 (1H)-pyrimidinone, is commercially
10 available as CYTOSAR-U® and is commonly known as Ara-C. It is believed that cytarabine exhibits cell phase specificity at S-phase by inhibiting DNA chain elongation by terminal incorporation of cytarabine into the growing DNA chain. Cytarabine is indicated as a single agent or in combination with other chemotherapy agents in the treatment of acute leukemia. Other cytidine analogs include 5-
15 azacytidine and 2',2'-difluorodeoxycytidine (gemcitabine).

Mercaptopurine, 1,7-dihydro-6H-purine-6-thione monohydrate, is commercially available as PURINETHOL®. Mercaptopurine exhibits cell phase specificity at S-phase by inhibiting DNA synthesis by an as of yet unspecified mechanism.
20 Mercaptopurine is indicated as a single agent or in combination with other chemotherapy agents in the treatment of acute leukemia. A useful mercaptopurine analog is azathioprine.

Thioguanine, 2-amino-1,7-dihydro-6H-purine-6-thione, is commercially available as
25 TABLOID®. Thioguanine exhibits cell phase specificity at S-phase by inhibiting DNA synthesis by an as of yet unspecified mechanism. Thioguanine is indicated as a single agent or in combination with other chemotherapy agents in the treatment of acute leukemia. Other purine analogs include pentostatin, erythrohydroxynonyladenine, fludarabine phosphate, and cladribine.

30 Gemcitabine, 2'-deoxy-2', 2'-difluorocytidine monohydrochloride (β -isomer), is commercially available as GEMZAR®. Gemcitabine exhibits cell phase specificity at S-phase and by blocking progression of cells through the G1/S boundary. Gemcitabine is indicated in combination with cisplatin in the treatment of locally
35 advanced non-small cell lung cancer and alone in the treatment of locally advanced pancreatic cancer.

Methotrexate, N-[4[(2,4-diamino-6-pteridinyl) methyl]methylamino] benzoyl]-L-glutamic acid, is commercially available as methotrexate sodium. Methotrexate exhibits cell phase effects specifically at S-phase by inhibiting DNA synthesis, repair and/or replication through the inhibition of dihydrofolate reductase which is
5 required for synthesis of purine nucleotides and thymidylate. Methotrexate is indicated as a single agent or in combination with other chemotherapy agents in the treatment of choriocarcinoma, meningeal leukemia, non-Hodgkin's lymphoma, and carcinomas of the breast, head, neck, ovary and bladder.

10 Topoisomerase I inhibitors: Camptothecins, including, camptothecin and camptothecin derivatives are available or under development as Topoisomerase I inhibitors. Camptothecins cytotoxic activity is believed to be related to its Topoisomerase I inhibitory activity. Examples of camptothecins include, but are not limited to irinotecan, topotecan, and the various optical forms of 7-(4-
15 methylpiperazino-methylene)-10,11-ethylenedioxy-20-camptothecin described below.

Irinotecan HCl, (4S)-4,11-diethyl-4-hydroxy-9-[(4-piperidinopiperidino) carbonyloxy]-1H-pyrano[3',4',6,7]indolizino[1,2-b]quinoline-3,14(4H,12H)-dione hydrochloride, is commercially available as the injectable solution CAMPTOSAR®. Irinotecan is a
20 derivative of camptothecin which binds, along with its active metabolite SN-38, to the topoisomerase I - DNA complex. It is believed that cytotoxicity occurs as a result of irreparable double strand breaks caused by interaction of the topoisomerase I : DNA : irinotecan or SN-38 ternary complex with replication enzymes. Irinotecan is indicated for treatment of metastatic cancer of the colon or rectum.

25 Topotecan HCl, (S)-10-[(dimethylamino)methyl]-4-ethyl-4,9-dihydroxy-1H-pyrano[3',4',6,7]indolizino[1,2-b]quinoline-3,14-(4H,12H)-dione monohydrochloride, is commercially available as the injectable solution Hycamtin®. Topotecan is a derivative of camptothecin which binds to the topoisomerase I - DNA complex and
30 prevents religation of single strand breaks caused by Topoisomerase I in response to torsional strain of the DNA molecule. Topotecan is indicated for second line treatment of metastatic carcinoma of the ovary and small cell lung cancer.

Hormones and hormonal analogues: Hormones and hormonal analogues are useful compounds for treating cancers in which there is a relationship between the
35 hormone(s) and growth and/or lack of growth of the cancer. Examples of hormones and hormonal analogues useful in cancer treatment include, but are not limited to, adrenocorticosteroids such as prednisone and prednisolone which are useful in the

treatment of malignant lymphoma and acute leukemia in children; aminoglutethimide and other aromatase inhibitors such as anastrozole, letrozole, vorazole, and exemestane useful in the treatment of adrenocortical carcinoma and hormone dependent breast carcinoma containing estrogen receptors; progestrins such as
5 megestrol acetate useful in the treatment of hormone dependent breast cancer and endometrial carcinoma; estrogens, androgens, and anti-androgens such as flutamide, nilutamide, bicalutamide, cyproterone acetate and 5 α -reductases such as finasteride and dutasteride, useful in the treatment of prostatic carcinoma and benign prostatic hypertrophy; anti-estrogens such as tamoxifen, toremifene, raloxifene,
10 droloxifene, idoxyfene, as well as selective estrogen receptor modulators (SERMS) such those described in U.S. Patent Nos. 5,681,835, 5,877,219, and 6,207,716, useful in the treatment of hormone dependent breast carcinoma and other susceptible cancers; and gonadotropin-releasing hormone (GnRH) and analogues thereof which stimulate the release of leutinizing hormone (LH) and/or follicle
15 stimulating hormone (FSH) for the treatment prostatic carcinoma, for instance, LHRH agonists and antagagonists such as goserelin acetate and luprolide.

Signal transduction pathway inhibitors: Signal transduction pathway inhibitors are those inhibitors, which block or inhibit a chemical process which evokes an intracellular change. As used herein this change is cell proliferation or differentiation.
20 Signal transduction inhibitors useful in the present invention include inhibitors of receptor tyrosine kinases, non-receptor tyrosine kinases, SH2/SH3 domain blockers, serine/threonine kinases, phosphotidyl inositol-3 kinases, myo-inositol signaling, and Ras oncogenes.

25 Several protein tyrosine kinases catalyse the phosphorylation of specific tyrosyl residues in various proteins involved in the regulation of cell growth. Such protein tyrosine kinases can be broadly classified as receptor or non-receptor kinases.

Receptor tyrosine kinases are transmembrane proteins having an extracellular ligand binding domain, a transmembrane domain, and a tyrosine kinase domain. Receptor
30 tyrosine kinases are involved in the regulation of cell growth and are generally termed growth factor receptors. Inappropriate or uncontrolled activation of many of these kinases, i.e. aberrant kinase growth factor receptor activity, for example by over-expression or mutation, has been shown to result in uncontrolled cell growth. Accordingly, the aberrant activity of such kinases has been linked to malignant tissue
35 growth. Consequently, inhibitors of such kinases could provide cancer treatment methods. Growth factor receptors include, for example, epidermal growth factor

receptor (EGFr), platelet derived growth factor receptor (PDGFr), erbB2, erbB4, ret, vascular endothelial growth factor receptor (VEGFr), tyrosine kinase with immunoglobulin-like and epidermal growth factor identity domains (TIE-2), insulin growth factor - I (IGFI) receptor, macrophage colony stimulating factor (cfms), BTK, 5 ckit, cmet, fibroblast growth factor (FGF) receptors, Trk receptors (TrkA, TrkB, and TrkC), ephrin (eph) receptors, and the RET protooncogene. Several inhibitors of growth receptors are under development and include ligand antagonists, antibodies, tyrosine kinase inhibitors and anti-sense oligonucleotides. Growth factor receptors and agents that inhibit growth factor receptor function are described, for instance, in 10 Kath, John C, *Exp. Opin. Ther. Patents* (2000) 10(6):803-818; Shawver et al *DDT Vol 2, No. 2* February 1997; and Lofts, F. J. et al, "Growth factor receptors as targets", *New Molecular Targets for Cancer Chemotherapy*, ed. Workman, Paul and Kerr, David, CRC press 1994, London.

Tyrosine kinases, which are not growth factor receptor kinases are termed non- 15 receptor tyrosine kinases. Non-receptor tyrosine kinases useful in the present invention, which are targets or potential targets of anti-cancer drugs, include cSrc, Lck, Fyn, Yes, Jak, cAbl, FAK (Focal adhesion kinase), Brutons tyrosine kinase, and Bcr-Abl. Such non-receptor kinases and agents which inhibit non-receptor tyrosine kinase function are described in Sinh, S. and Corey, S.J., (1999) *Journal of* 20 *Hematotherapy and Stem Cell Research* 8 (5): 465 - 80; and Bolen, J.B., Brugge, J.S., (1997) *Annual review of Immunology*. 15: 371-404.

SH2/SH3 domain blockers are agents that disrupt SH2 or SH3 domain binding in a variety of enzymes or adaptor proteins including, PI3-K p85 subunit, Src family kinases, adaptor molecules (She, Crk, Nek, Grb2) and Ras-GAP. SH2/SH3 domains 25 as targets for anti-cancer drugs are discussed in Smithgall, T.E. (1995), *Journal of Pharmacological and Toxicological Methods*. 34(3) 125-32.

Inhibitors of Serine/Threonine Kinases including MAP kinase cascade blockers which include blockers of Raf kinases (rafk), Mitogen or Extracellular Regulated Kinase (MEKs), and Extracellular Regulated Kinases (ERKs); and Protein kinase C family 30 member blockers including blockers of PKCs (alpha, beta, gamma, epsilon, mu, lambda, iota, zeta). Ikb kinase family (IKKa, IKKb), PKB family kinases, akt kinase family members, and TGF beta receptor kinases. Such Serine/Threonine kinases and inhibitors thereof are described in Yamamoto, T., Taya, S., Kaibuchi, K., (1999), *Journal of Biochemistry*. 126 (5) 799-803; Brodt, P, Samani, A., and Navab, R. 35 (2000), *Biochemical Pharmacology*, 60. 1101-1107; Massague, J., Weis-Garcia, F. (1996) *Cancer Surveys*. 27:41-64; Philip, P.A., and Harris, A.L. (1995), *Cancer*

Treatment and Research. 78: 3-27, Lackey, K. et al Bioorganic and Medicinal Chemistry Letters, (10), 2000, 223-226; U.S. Patent No. 6,268,391; and Martinez-lacaci, L , et al, Int. J. Cancer (2000), 88(1), 44-52.

5 Inhibitors of Phosphotidyl inositol-3 Kinase family members including blockers of PI3-kinase, ATM, DNA-PK, and Ku are also useful in the present invention. Such kinases are discussed in Abraham, R.T. (1996), Current Opinion in Immunology. 8 (3) 412-8; Canman, C.E., Lim, D.S. (1998), Oncogene 17 (25) 3301-3308; Jackson, S.P. (1997), International Journal of Biochemistry and Cell Biology. 29 (7):935-8; and Zhong, H. et al, Cancer res, (2000) 60(6), 1541-1545.

10 Also useful in the present invention are Myo-inositol signaling inhibitors such as phospholipase C blockers and Myoinositol analogues. Such signal inhibitors are described in Powis, G., and Kozikowski A., (1994) New Molecular Targets for Cancer Chemotherapy ed., Paul Workman and David Kerr, CRC press 1994, London.

15 Another group of signal transduction pathway inhibitors are inhibitors of Ras Oncogene. Such inhibitors include inhibitors of farnesyltransferase, geranyl-geranyl transferase, and CAAX proteases as well as anti-sense oligonucleotides, ribozymes and immunotherapy. Such inhibitors have been shown to block ras activation in cells containing wild type mutant ras, thereby acting as antiproliferation agents. Ras oncogene inhibition is discussed in Scharovsky, O.G., Rozados, V.R., Gervasoni, S.I. 20 Matar, P. (2000), Journal of Biomedical Science. 7(4) 292-8; Ashby, M.N. (1998), Current Opinion in Lipidology. 9 (2) 99 - 102; and BioChim. Biophys. Acta, (19899) 1423(3):19-30.

25 As mentioned above, antibody antagonists to receptor kinase ligand binding may also serve as signal transduction inhibitors. This group of signal transduction pathway inhibitors includes the use of humanized antibodies to the extracellular ligand binding domain of receptor tyrosine kinases. For example Imclone C225 EGFR specific antibody (see Green, M.C. et al, Monoclonal Antibody Therapy for Solid Tumors, Cancer Treat. Rev., (2000), 26(4), 269-286); Herceptin ® erbB2 antibody (see Tyrosine Kinase Signalling in Breast cancererbB Family Receptor 30 Tyrosine Kinases, Breast cancer Res., 2000, 2(3), 176-183); and 2CB VEGFR2 specific antibody (see Brekken, R.A. et al, Selective Inhibition of VEGFR2 Activity by a monoclonal Anti-VEGF antibody blocks tumor growth in mice, Cancer Res. (2000) 60, 5 117-5124).

35 Anti-angiogenic agents: Anti-angiogenic agents including non-receptorMEKngiogenesis inhibitors may also be useful. Anti-angiogenic agents such

as those which inhibit the effects of vascular endothelial growth factor, (for example the anti-vascular endothelial cell growth factor antibody bevacizumab [Avastin™], and compounds that work by other mechanisms (for example linomide, inhibitors of integrin $\alpha v \beta 3$ function, endostatin and angiostatin);

- 5 Immunotherapeutic agents: Agents used in immunotherapeutic regimens may also be useful in combination with the compounds of formula (I). Immunotherapy approaches, including for example ex-vivo and in-vivo approaches to increase the immunogenicity of patient tumour cells, such as transfection with cytokines such as interleukin 2, interleukin 4 or granulocyte-macrophage colony stimulating factor,
- 10 approaches to decrease T-cell anergy, approaches using transfected immune cells such as cytokine-transfected dendritic cells, approaches using cytokine-transfected tumour cell lines and approaches using anti-idiotypic antibodies

Proapoptotic agents: Agents used in proapoptotic regimens (e.g., bcl-2 antisense oligonucleotides) may also be used in the combination of the present invention.

- 15 Cell cycle signalling inhibitors: Cell cycle signalling inhibitors inhibit molecules involved in the control of the cell cycle. A family of protein kinases called cyclin dependent kinases (CDKs) and their interaction with a family of proteins termed cyclins controls progression through the eukaryotic cell cycle. The coordinate activation and inactivation of different cyclin/CDK complexes is necessary for normal
- 20 progression through the cell cycle. Several inhibitors of cell cycle signalling are under development. For instance, examples of cyclin dependent kinases, including CDK2, CDK4, and CDK6 and inhibitors for the same are described in, for instance, Rosania et al, Exp. Opin. Ther. Patents (2000) 10(2):215-230.

- In one embodiment, the combination of the present invention comprises an anti-
- 25 OX40 ABP and a PD-1 modulator (e.g. anti-PD-1 ABP) and at least one anti-neoplastic agent selected from anti-microtubule agents, platinum coordination complexes, alkylating agents, antibiotic agents, topoisomerase II inhibitors, antimetabolites, topoisomerase I inhibitors, hormones and hormonal analogues, signal transduction pathway inhibitors, non-receptor tyrosine kinase inhibitors,
- 30 inhibitors, immunotherapeutic agents, proapoptotic agents, and cell cycle signaling inhibitors.

- In one embodiment, the combination of the present invention comprises an anti-
- OX40 ABP and a PD-1 modulator (e.g. anti-PD-1 ABP) and at least one anti-neoplastic agent which is an anti-microtubule agent selected from diterpenoids and
- 35 vinca alkaloids.

In a further embodiment, the at least one anti-neoplastic agent is a diterpenoid.

In a further embodiment, the at least one anti-neoplastic agent is a vinca alkaloid.

In one embodiment, the combination of the present invention comprises an anti-OX40 ABP and a PD-1 modulator (e.g. anti-PD-1 ABP) and at least one anti-
5 neoplastic agent, which is a platinum coordination complex.

In a further embodiment, the at least one anti-neoplastic agent is paclitaxel, carboplatin, or vinorelbine.

In a further embodiment, the at least one anti-neoplastic agent is carboplatin.

In a further embodiment, the at least one anti-neoplastic agent is vinorelbine.

10 In a further embodiment, the at least one anti-neoplastic agent is paclitaxel.

In one embodiment, the combination of the present invention comprises an anti-OX40 ABP and a PD-1 modulator (e.g. anti-PD-1 ABP) and at least one anti-neoplastic agent which is a signal transduction pathway inhibitor.

In a further embodiment the signal transduction pathway inhibitor is an inhibitor of a
15 growth factor receptor kinase VEGFR2, TIE2, PDGFR, BTK, erbB2, EGFr, IGFR-1, TrkA, TrkB, TrkC, or c-fms.

In a further embodiment the signal transduction pathway inhibitor is an inhibitor of a serine/threonine kinase rafk, akt, or PKC-zeta.

In a further embodiment the signal transduction pathway inhibitor is an inhibitor of a
20 non-receptor tyrosine kinase selected from the src family of kinases.

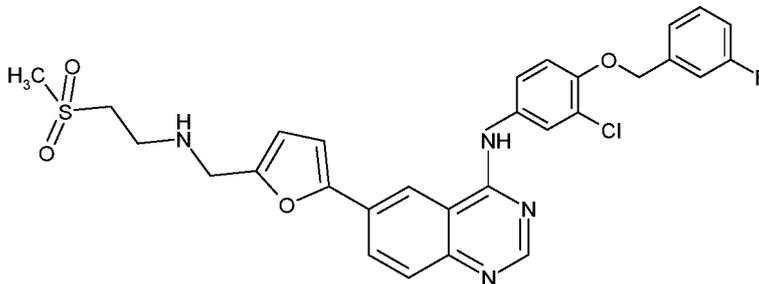
In a further embodiment the signal transduction pathway inhibitor is an inhibitor of c-src.

In a further embodiment the signal transduction pathway inhibitor is an inhibitor of Ras oncogene selected from inhibitors of farnesyl transferase and geranylgeranyl
25 transferase.

In a further embodiment the signal transduction pathway inhibitor is an inhibitor of a serine/threonine kinase selected from the group consisting of PI3K.

In a further embodiment the signal transduction pathway inhibitor is a dual EGFr/erbB2 inhibitor, for example N-{3-Chloro-4-[(3-fluorobenzyl) oxy]phenyl}-6-[5-

(([2-(methanesulphonyl) ethyl]amino)methyl)-2-furyl]-4-quinazolinamine (structure below):



In one embodiment, the combination of the present invention comprises a compound
 5 of formula I or a salt or solvate thereof and at least one anti-neoplastic agent which is a cell cycle signaling inhibitor.

In further embodiment, cell cycle signaling inhibitor is an inhibitor of CDK2, CDK4 or CDK6.

In one embodiment the mammal in the methods and uses of the present invention is
 10 a human.

As indicated, therapeutically effective amounts of the combinations of the invention (an anti-OX40 ABP and a PD-1 modulator (e.g. anti-PD-1 ABP)) are administered to a human. Typically, the therapeutically effective amount of the administered agents of the present invention will depend upon a number of factors including, for example,
 15 the age and weight of the subject, the precise condition requiring treatment, the severity of the condition, the nature of the formulation, and the route of administration. Ultimately, the therapeutically effective amount will be at the discretion of the attendant physician.

20 The following examples are intended for illustration only and are not intended to limit the scope of the invention in any way.

EXAMPLES

Example 1:

An Open Label Dose Escalating Study of ANTIBODY 106-222 Administered Alone
 25 and in Combination with Anticancer Agents including Pembrolizumab in Subjects with Selected Advanced Solid Tumors

The stimulation of antitumor T-cell activity, through inhibition of negative T-cell regulatory pathways with immunotherapeutic checkpoint inhibitors, has been very successful in the treatment of melanoma and non-small cell lung cancer (NSCLC). Another approach that provides an attractive target for the development of immunotherapy anticancer agents is the modulation of costimulatory pathways to enhance T-cell function. OX40 is a potent costimulatory receptor expressed primarily on activated CD4+ and CD8+ T cells. OX40 agonists have been shown to increase antitumor immunity and improve tumor-free survival in non-clinical models and OX40 agonist monoclonal antibodies (mAbs) are currently being evaluated in Phase I clinical trials. ANTIBODY 106-222 (described herein as an antibody comprising CDRH1, CDRH2, and CDRH3 having the amino acid sequence as set forth in SEQ ID NOs 1, 2, and 3, and e.g. CDRL1, CDRL2, and CDRL3 having the sequences as set forth in SEQ ID NOs 7, 8, and 9, respectively and an antibody comprising VH region having an amino acid sequence as set forth in SEQ ID NO: 5 and a VL region having an amino acid sequence as set forth in SEQ ID NO: 11) is a humanized wild-type immunoglobulin G1 (IgG1) anti-OX40 agonistic mAb (anti-human OX40 agonistic monoclonal antibody) and will be evaluated as a single-agent treatment in Part 1 of the current study. ANTIBODY 106-222 is also described, e.g. in WO 2012/027328 and in the Figures of the present application.

The anticancer immune response is a multistep process and it is expected that tumors may utilize redundant mechanisms to block the antitumor response; in these instances, combination therapies will likely be required. Combining an OX40 agonist with a programmed death receptor-1 (PD-1) inhibitor targets two different steps in the cancer-immunity cycle; OX40 agonism is expected to increase priming/activation of T cells, while inhibition of PD-1 blocks its interaction with programmed death ligand 1 (PD-L1) and programmed death ligand 2 (PD-L2), releasing the PD-1 pathway-mediated inhibition of the immune response. Based on non-clinical data, combination treatment with an OX40 agonist and a PD-1 inhibitor is anticipated to have synergistic antitumor activity, compared with single-agent treatment. The combination of ANTIBODY 106-222 with the PD-1 inhibitor pembrolizumab will be evaluated in Part 2 of the current study.

Objectives/Endpoints

The primary objectives of the study are to evaluate the safety and tolerability and to identify the maximum tolerated dose (MTD) or maximum administered dose (MAD) of ANTIBODY 106-222 when administered intravenously as monotherapy (Part 1) or in combination with pembrolizumab (Part 2) to subjects with selected advanced or

recurrent solid tumors. Secondary objectives include: the evaluation of antitumor activity; characterization of pharmacokinetics (PK) for ANTIBODY 106-222 when administered alone; characterization of PK for ANTIBODY 106-222 and pembrolizumab when administered in combination; evaluation of pharmacodynamic activity in the blood and tumor microenvironment; and determination of the immunogenicity of ANTIBODY 106-222 when administered alone or for ANTIBODY 106-222 and pembrolizumab when administered in combination.

- **Safety endpoints:** Adverse events (AEs), serious adverse events (SAEs), dose-limiting toxicity (DLT), withdrawals due to AEs, dose reductions or delays, and changes in safety assessments (e.g., laboratory parameters, vital signs, and cardiac parameters).
- **Antitumor activity endpoints:** Objective response rate (ORR) and Disease Control Rate (DCR) (complete response [CR]+partial response [PR]+stable disease [SD] ≥ 12 weeks), time to response, duration of response, progression-free survival (PFS), and overall survival (OS). Unless otherwise specified, all response endpoints will be assessed by Response Evaluation Criteria in Solid Tumors (RECIST) v1.1 and by irRECIST (immune-related RECIST).
- **PK endpoints:** Plasma ANTIBODY 106-222 and serum pembrolizumab concentrations and PK parameters including maximum observed concentration (C_{max}), area under the concentration-time curve over the dosing interval (AUC(O-T)), and minimum observed concentration (C_{min}).
- **Pharmacodynamic endpoints:** Assessment of lymphocyte OX40 receptor membrane expression and occupancy by ANTIBODY 106-222, along with the phenotype, quantity, and activation state of T cells in the periphery. Assessment of tumor biopsies via immunohistochemistry (IHC) for the numbers of tumor-infiltrating lymphocytes and other immune cells expressing key phenotypic markers.
- **Immunogenicity endpoints:** Number and percentage of subjects who develop detectable antidrug antibodies (ADA).

Overall Design

This is a first time in human (FTIH), open-label, non-randomized, multicenter study designed to evaluate the safety, tolerability, PK, pharmacodynamics, and preliminary clinical activity of ANTIBODY 106-222 administered intravenously to subjects with

selected advanced or recurrent solid tumors. The study will be conducted in 2 parts, each part consisting of a dose-escalation phase followed by a cohort expansion phase. Part 1 will evaluate ANTIBODY 106-222 monotherapy, while Part 2 will evaluate ANTIBODY 106-222 in combination with pembrolizumab. ANTIBODY 106-222 will first be evaluated as monotherapy in escalating doses. Once a dose of ANTIBODY 106-222 has been identified that is both tolerable and demonstrates pharmacodynamic activity, enrollment of Part 2 may begin. In Part 2, escalating doses of ANTIBODY 106-222 will be evaluated with fixed doses of pembrolizumab. The transition of the study from dose-escalation to cohort expansion and from monotherapy (Part 1) to combination therapy with pembrolizumab (Part 2) will be performed under the guidance of a Protocol Steering Committee. The remit, membership, roles, and responsibilities of the Steering Committee are described in a Steering Committee Charter. Pending a review of emerging data from this study and under the guidance of the Steering Committee, the protocol may be subsequently amended to include investigation of additional anticancer agent combinations with ANTIBODY 106-222.

Treatment Arms and Duration

The study includes a screening period, a treatment period, and a follow-up period. Subjects will be screened for eligibility beginning approximately 4 weeks before the start of treatment. The maximum duration of treatment with ANTIBODY 106-222 will be 48 weeks; the maximum duration of treatment with pembrolizumab will be 2 years. The follow-up period for safety assessments will be a minimum of 3 months from the date of the last dose. The post-treatment follow-up period includes disease assessments every 12 weeks until confirmed disease progression (PD). Following PD, subjects will be contacted every 3 months to assess survival status.

In Part 1, dose escalation for ANTIBODY 106-222 monotherapy will begin with a starting dose of 0.003 mg/kg ANTIBODY 106-222 administered once every 3 weeks (Q3W). In Part 2, dose escalation for ANTIBODY 106-222 + pembrolizumab combination therapy will begin with a fixed dose of 200 mg pembrolizumab administered Q3W and a starting dose of ANTIBODY 106-222 that is two dose levels below a tolerated dose of ANTIBODY 106-222 monotherapy that has also demonstrated pharmacodynamic activity in Part 1A of the study. Dose adjustments are allowed to address tolerability and safety issues.

Type and Number of Subjects

The study will enroll up to approximately 180 subjects with tumor types that may include NSCLC, squamous cell carcinoma of the head and neck (SCCHN), renal cell carcinoma (RCC), melanoma, bladder cancer, soft tissue sarcoma (STS), triple-negative breast cancer (TNBC), and colorectal carcinoma displaying microsatellite instability (MSI CRC).

Analysis

During the dose-escalation phases of the study, safety, PK, and pharmacodynamic marker data will be examined while the study is being conducted in order to determine subsequent dosing levels. After each dosing cohort, a continual reassessment method (CRM) analysis may be used to recommend the next dose level based on observed DLTs.

In each expansion cohort, clinical activity, safety, PK, and pharmacodynamic marker data will be examined on an on-going basis and enrollment within each cohort may be curtailed or expanded in response to unfavorable or favorable outcomes. Tumor response data will be monitored and a tumor cohort may be terminated if there is insufficient evidence of clinical activity. The futility stopping rules are based on the methodology of Lee & Liu [Lee, 2008]. CRM-recommended dose-escalation levels, futility stopping rules, and posterior probabilities are only guidelines and the totality of the data will be considered by the team in decision making.

Study Rationale

The stimulation of antitumor T-cell activity, through inhibition of negative T-cell regulatory pathways with immunotherapeutic checkpoint inhibitors, has been very successful in the treatment of melanoma and NSCLC. Another approach that provides an attractive target for the development of immunotherapy anticancer agents is the modulation of costimulatory pathways to enhance T-cell function. OX40 is a potent costimulatory receptor expressed primarily on activated CD4+ and CD8+ T cells. OX40 agonists have been shown to increase antitumor immunity and improve tumor-free survival in non-clinical models and OX40 agonist mAbs are currently being evaluated in Phase I clinical trials. ANTIBODY 106-222 is a humanized wild-type IgG1 anti-OX40 agonistic mAb and will be evaluated as a single-agent treatment in Part 1 of the current study.

The anticancer immune response is a multistep process and it is expected that tumors may utilize redundant mechanisms to block the antitumor response; in these

instances, combination therapies will likely be required. Combining an OX40 agonist with a PD-1 inhibitor targets two different steps in the cancer-immunity cycle; OX40 agonism is expected to increase priming/activation of T cells, while inhibition of PD-1 blocks its interaction with PD-L1 and PD-L2, releasing the PD-1 pathway-mediated inhibition of the immune response. Based on non-clinical data, combination treatment with an OX40 agonist and a PD-1 inhibitor is anticipated to have synergistic antitumor activity, compared with single-agent treatment. The combination of ANTIBODY 106-222 with the PD-1 inhibitor pembrolizumab will be evaluated in Part 2 of the current study.

10 This FTIH, open-label, dose-escalation study will assess the safety, PK, pharmacodynamics, and preliminary clinical activity of ANTIBODY 106-222 in subjects with selected advanced or recurrent solid tumors as monotherapy (Part 1), in combination with pembrolizumab (Part 2), and potentially in combination with additional therapies.

15 **Brief Background**

Immunotherapy has emerged as a transformative anticancer therapeutic strategy over the past few years. In particular, the inhibition of negative T-cell regulatory pathways with the checkpoint inhibitors has been very successful, first in the treatment of melanoma and, more recently, expanding to additional indications, including NSCLC. Ipilimumab and pembrolizumab are examples of these initial checkpoint inhibitors, which are mAbs that block the activity of the cytotoxic T-lymphocyte-associated antigen 4 (CTLA-4) and PD-1 pathways, respectively, thereby freeing the T-cell priming and T-cell effector functions from their negative regulatory effects.

25 In addition to regulatory mechanisms that negatively regulate effector T-cell function, costimulatory pathways are also attractive targets to modulate for the development of anticancer agents. OX40 (CD134) is a member of the tumor necrosis factor receptor (TNFR) family of transmembrane receptors, which is induced following antigen-dependent stimulation of both CD4+ and CD8+ T cells and following interaction with its cognate ligand (OX40L, expressed on activated antigen presenting cells) generally functions to transduce a costimulatory signal during the process of T-cell activation. In blood and peripheral tissues, OX40 expression is limited to the small subset of recently activated CD4+ and CD8+ cells; however, in tumors, infiltrating T lymphocytes are enriched for OX40 positive cells, where it functions to augment T-cell activation, proliferation, and survival through direct and indirect (e.g., cytokine release) mechanisms [Betting, 2009; Croft, 2010]. In addition to its function on

effector T cells, OX40 is also expressed on tumor infiltrating regulatory T cells (Tregs), which tend to have an inhibitory effect on the immune response. Indeed, the efficacy of anti-OX40 antibodies in animal tumor models relies to some extent also on the depletion of tumor-specific Tregs residing in the tumor microenvironment

5 [Bulliard, 2014; Marabelle, 2013]. As has been shown for anti-CTLA4 and anti-GITR (Glucocorticoid-induced TNFR family related gene) antibodies, this intratumoral Treg depletion is critical for the in vivo antitumor activity of immune checkpoint antibodies and is mediated by activatory FcγR positive myeloid cells residing in the tumor microenvironment [Bulliard, 2013; Selby, 2013; Simpson, 2013]. OX40 signaling has

10 been shown to block the activity of induced Tregs, in part by blocking the release of the inhibitory cytokine interleukin-10 (IL-10), thereby further promoting effector T-cell immune responses [Ito, 2006]. Finally, OX40 can also be found on natural killer (NK) cells where it appears to stimulate NK-mediated antibody-dependent cellular cytotoxicity (ADCC) [Liu, 2008]. Together, these potential mechanisms of action

15 make the stimulation of OX40 with agonistic agents an attractive target for a novel anti-cancer immunotherapy.

ANTIBODY 106-222

Background

ANTIBODY 106-222 is a humanized wild-type IgG1 anti-OX40 agonistic mAb.

20 ANTIBODY 106-222 demonstrated several mechanisms of action in vitro including promoting effector CD4+ T-cell proliferation, inhibiting the induction of IL-10-producing CD4+ Type 1 regulatory (Tr1) cells and blocking the suppressive function of natural Tregs (nTregs), and binding to FcR, which is anticipated to augment OX40 signaling via cross-linking of the antibody via the Fc domain on FcR positive cells.

25 Importantly, it has been shown that OX40 activation gives a costimulatory signal to T cells, dependent on a T-cell receptor (TCR) engagement, suggesting that ANTIBODY 106-222 is not a super agonist in the models tested.

ANTIBODY 106-222 is suitably cross-reactive to cynomolgus monkey OX40 to evaluate the pharmacology, pharmacodynamics, PK, and toxicology in this species.

30 Single and repeat dose studies in cynomolgus monkeys demonstrated that ANTIBODY 106-222 bound to OX40 positive cells. ANTIBODY 106-222 is not cross-reactive with rodent OX40; however, a surrogate mAb to murine OX40 (OX86), was used to generate in vivo nonclinical evidence for both single agent efficacy and combination synergy with a variety of other immunotherapy agents in a range of

35 syngeneic tumor models.

Nonclinical Pharmacokinetics of ANTIBODY 106-222

The nonclinical PK of ANTIBODY 106-222 has been investigated in mice following a single intraperitoneal (IP) administration and in cynomolgus monkeys following single and repeated intravenous (IV) administration.

- 5 The PK of ANTIBODY 106-222 in male mice following a single-dose IP administration had concentration profiles typical for mAbs (very slow plasma clearance and low volume of distribution at steady state) [Wang, 2008], suggesting that ANTIBODY 106-222 was mainly confined to the systemic circulation. Due to lack of cross-reactivity with murine OX40 the impact on PK expression of target is not
10 evaluable in this species.

Similar PK profiles typical for mAbs were observed in monkeys. Following a single IV administration in male cynomolgus monkeys (n=3) at 2 mg/kg, all ANTIBODY 106-222-treated animals showed similar C_{max} values ranging from 31.8 to 39.9 $\mu\text{g/mL}$ and similar systemic exposures (AUC_{0-i68h}) through Day 5. There was a dramatic change
15 in clearance observed from about 7 to 14 days in all treated animals, typical of an immunogenicity response; all animals were confirmed to be positive for ADA in an ADA bridging assay.

Following repeat dose IV administration in cynomolgus monkeys at 10 or 100 mg/kg/week for 4 weeks, the mean AUC_{0-i68h} and C_{max} values for ANTIBODY 106-222 were similar between males and females at both doses during Weeks 1 and 4.
20 The systemic exposure to ANTIBODY 106-222 (as defined by gender-averaged AUC_{0-i68h} and C_{max} values) increased dose-proportionally (n=3/group). The increases in the gender-averaged AUC_{0-i68h} and C_{max} values of ANTIBODY 106-222 from Week 1 to 4 ranged from 1.9- to 2.9 fold at both doses. Instances of decreased plasma
25 concentrations were observed after the fourth dose in monkeys at 10 mg/kg/week due to primate ADA formation.

Nonclinical Safety of ANTIBODY 106-222

The toxicology program was conducted in cynomolgus monkeys. These monkeys were shown to be a suitable species based on OX40 receptor expression in tissues,
30 orthologous protein sequence homology, and similar dose-dependent binding of ANTIBODY 106-222 for both human and monkey OX40 receptor on CD4+ T cells. IHC assessment of OX40 distribution in normal human tissues showed positive staining in cells or lymphoid cell aggregates, considered likely to be a subset of T cells, in a number of the tissues. These results are in general agreement with results

from the evaluation of a microarray gene expression database (Gene Logic, Ocimum Biosolutions, LLC, Houston, TX, USA).

ANTIBODY 106-222 was well tolerated in monkeys following weekly IV dosing for 4 weeks at doses up to 100 mg/kg/week.

5 ADA were observed in monkeys given ≤ 10 mg/kg/week; the incidence occurred inversely to dose. In a PK/pharmacodynamic study, all monkeys (n=3) or two of three monkeys given 2 or 10 mg/kg/week, respectively, demonstrated a dramatic increase in clearance as early as 7 days post-dose (2 mg/kg/week) and were confirmed to be positive for ADA. In monkeys given ANTIBODY 106-222 weekly for 4 weeks followed
10 by a 6 week off-dose period, ADA were detected in two of ten monkeys given 10 mg/kg/week, in which one monkey (titer >10000) demonstrated a decrease in exposure following the fourth weekly dose, and the other monkey (titer = 1000), which did not have detectable ADA until the off-dose period, did not demonstrate a clear association to decreased exposure. As ADA were only noted in animals
15 maintained throughout the off-dose period, the ability to determine toxicity in the terminal necropsy animals at this dose on this study was not compromised by ADA. The generation of ADA in animals administered humanized protein is generally not predictive of a potential for ADA formation in humans.

In an in vivo syngeneic efficacy study with BALB/c mice bearing 4T1 mammary
20 carcinoma cells, mortality and clinical observations consistent with anaphylaxis were observed in the majority of mice given ≥ 20 μ g OX86 (rat wild-type IgG1 mAb against OX40 receptor) at 3 weeks of twice-weekly dosing (5th dose). This effect appears to be unique to this specific model, as other efficacy studies using either BALB/c or C57BL/6 mice with the same batch of OX86 given at comparable doses and duration
25 with other syngeneic cell types demonstrated no tolerability issues. Similar effects have been observed by others using 4T1 tumor-bearing mice given rat anti-PD-1 or hamster PD-L1 [Mall, 2014] or with tumor administration only [duPre, 2007]. To date, anaphylaxis has not been reported in subjects receiving OX40 agonist mAbs or approved PD-1 therapies [Curti, 2013; KEYTRUDA® Prescribing Information, 2014;
30 OPDIVO® Prescribing Information, 2014]. Therefore, based upon the data and literature findings described above, it suggests that the anaphylaxis seen in 4T1 tumor-bearing mice given OX86 is model specific and that the proposed safety monitoring strategy (real time monitoring of ADA and acute hypersensitivity reactions, see Dose and Safety Management Guidelines Section) adequately addresses the
35 potential risk of this effect.

The potential for ANTIBODY 106-222 to induce cytokine release has been investigated. In a human in vitro assay, using whole blood or isolated peripheral blood mononuclear cells (PBMCs) (with and without prior anti-CD3 and anti-CD28 stimulation), no release of cytokines in response to soluble or immobilized ANTIBODY 106-222 was observed. However, to further explore the potential for cytokine release, human PBMCs were incubated at higher (10X) cell density, incubated with immobilized ANTIBODY 106-222 and stimulated instead with submaximal levels of immobilized anti-CD3 (10 and 100X lower), to provide more sensitive assay conditions. In these assays increased cytokine production (IL-2, IFN γ , TNF α) was observed compared to anti-CD3 alone. Similar cytokine increases, along with proliferation, were also observed using isolated human CD4+ T cells with immobilized ANTIBODY 106-222, prior anti-CD3 and anti-CD28 stimulation to upregulate OX40 expression and longer incubation periods (48-72 hours compared with 24 hours). In repeat dose monkey studies up to 4 weeks in duration of dosing over a dose range of 0.03 to 100 mg/kg/week, there were no ANTIBODY 106-222-related changes in plasma cytokine levels at either 4 or 24 hours of the first dose or 4 hours after the second weekly dose. While low levels of cytokine release in subjects given ANTIBODY 106-222 is expected by activated T cells as part of the pharmacodynamics, the response may not be fully predicted by these in vitro assays, and close clinical monitoring is planned.

Single-dose safety pharmacology studies have not been conducted with ANTIBODY 106-222. Evaluations of cardiovascular function were performed on the 3rd week of the 4-week monkey toxicology study, which evaluated heart rate, electrocardiogram (ECG) waveform evaluation, and corrected QT interval duration (QTc) evaluation. There were no ANTIBODY 106-222-related effects on these cardiovascular measurements nor were there any clinical observations of respiratory or general behavior effects of the antibody.

The no observed adverse effect level (NOAEL) was determined to be 100 mg/kg/week, the highest dose tested (Week 4 gender average mean AUC_{0-i68h} : 594 mg.h/mL, range 548 to 634 mg.h/mL; C_{max}: 4.88 mg/mL, range 4.27 to 5.46 mg/mL).

Nonclinical Activity and Pharmacodynamics of ANTIBODY 106-222

In vitro studies

ANTIBODY 106-222 demonstrated several mechanisms of action in vitro, including promoting effector CD4+ T-cell proliferation, inhibiting the induction of IL-10

producing CD4+ Tr1 cells and blocking the suppressive function of nTregs, and binding to FcR, which is anticipated to augment OX40 signaling via cross-linking of the antibody via the Fc domain on FcR positive cells.

5 ANTIBODY 106-222 bound specifically to the recombinant OX40 extracellular domain from cynomolgus monkeys (Kd 408 nM) and humans (Kd 4.9 nM), but not to the related human receptors DcR3 and CD40. ANTIBODY 106-222 bound to both activated cynomolgus monkey and human CD4+ T cells with similar EC₅₀ values (0.35 and 0.30 µg/mL, respectively). These data suggest that affinity differences observed for binding to recombinant OX40 are not truly reflective of the binding to
10 cell-surface OX40.

Many anti-TNFR family antibodies (including anti-OX40) appear to require the formation of high-density antibody complexes and costimulation which may occur in vivo during cell:cell interactions in tissues expressing various FcγRs [White, 2013]. In the case of OX40 antibodies, this can be mimicked in vitro by immobilizing the
15 antibody to the surface of plastic tissue-culture plates and incubating cells on this plate-bound antibody. Importantly, it was shown that OX40 activation gives a costimulatory signal to T cells dependent on TCR engagement (e.g., CD3 ligation), suggesting that ANTIBODY 106-222 is not a super agonist in the in vitro systems tested in absence of TCR signal. ANTIBODY 106-222 (immobilized) stimulated
20 proliferation of immobilized anti-CD3 activated cynomolgus monkey CD4+ T cells with a mean EC₅₀ value of 0.72 µg/mL (4.8 nM) and anti-CD3 induced proliferation of activated human CD4+ T cells with a mean EC₅₀ value of 0.19 µg/mL (1.3 nM).

OX40 agonist antibodies have been shown to reduce the suppressive function of human Tregs. Human purified CD4+ T cells were differentiated into induced Tregs
25 using vitamin D3 and dexamethasone and cultured with human CD32a (FcγRIIA)-expressing L-cells (which could facilitate antibody crosslinking via the FCγRIIA). Addition of ANTIBODY 106-222 in solution during the differentiation phase was able to prevent naive T cells from differentiating into IL-10+ Tr1 cells.

As expected for an IgG1 antibody, ANTIBODY 106-222 bound to cynomolgus
30 monkey and human FcγRs (and to human complement C1q) and showed low but measureable levels of reporter FcγRIIA engagement in a reporter assay system. In ADCC assays, some cell lysis of an OX40+ target cell line was observed with ANTIBODY 106-222 treatment. However, in primary human PBMC assays, ANTIBODY 106-222 generally did not impact the viability of CD4+ and CD8+ T cells.
35 Statistical analysis of the PBMC ADCC data did not support a robust effect on

viability with ANTIBODY 106-222. In all cases the reduction in viability with ANTIBODY 106-222 was less than observed for anti-CD52 or anti-CD20 positive control antibodies. Overall these in vitro findings suggest that ANTIBODY 106-222 may have the potential to cause ADCC of OX40+ target cells in vivo, however effects were not consistent across donors and these in vitro assays may not fully reflect the immune microenvironments in vivo.

In vivo studies

In cynomolgus monkeys given a single IV dose (2 mg/kg) of ANTIBODY 106-222 there was a transient decrease in the percentage of OX40+/CD4+ T cells on Day 2, recovering by Day 7, suggesting that ANTIBODY 106-222 appears to bind to OX40+ cells in peripheral blood and that these cells may reflect margination rather than depletion of these cells. In a repeat-dose IV study, cynomolgus monkeys were given ANTIBODY 106-222 (10 mg/kg/week) for 4 weeks. As observed in the single-dose study, the percentage of free OX40 on peripheral blood T cells was reduced in groups treated with ANTIBODY 106-222 compared with the vehicle group, which was sustained for the duration of the study. This indirectly suggests that ANTIBODY 106-222 was bound to OX40+ cells following dosing. OX40-positive cells did not appear to be depleted as they could be detected using a non-competitive anti-OX40 antibody. No clear evidence of changes in T-cell activation markers were observed in peripheral blood, spleen, or lymph nodes in treated groups compared with non-treated groups in either study. OX40 positive cells were also detected in these tissues in both treated and non-treated groups suggesting cells were not depleted in tissues by ANTIBODY 106-222. There were no clinical observations considered related to treatment in either study.

A surrogate mAb to murine OX40 (OX86) was used to generate in vivo nonclinical evidence for monotherapy activity in syngeneic tumor models. In a series of experiments, female BALB/c mice bearing CT26 mouse colon carcinoma tumors (n=10/group), were given twice weekly IP doses of OX86 ranging from 1 to 400 µg/mouse in phosphate-buffered saline for 3 weeks. All doses showed a significant increase in survival compared to control groups (Figure 13 a and b). Assuming similar potency between anti-OX86 and ANTIBODY 106-222, exposures that have demonstrated efficacy preclinically are predicted to be achievable in human subjects.

Additional in vivo experiments were performed with BALB/c mice bearing A20 mouse lymphoma cell line tumors and showed modest tumor reduction and with C57BL/6 mice bearing B16F10 mouse melanoma cell line tumors with no significant effect on

tumor reduction or survival noted. Additionally, the mouse adoptive cell transfer (ACT) model, MC38/gp100 was utilized to evaluate ANTIBODY 106-222 in vivo since a humanized mouse model is unavailable. Overall the ACT model was not robust and produced highly variable results.

- 5 In vivo studies in syngeneic tumor models were also performed with ANTIBODY 106-222 in combination with anti-PD-1 antibodies and anti-CTLA-4 antibodies; the anti-PD-1 combination studies are briefly described below.

Pembrolizumab

- 10 Refer to the pembrolizumab IB/approved labeling for detailed background information on pembrolizumab [KEYTRUDA® Prescribing Information, 2014; Merck Sharp & Dohme Corp, 2014].

PD-1 as a Therapeutic Target

- 15 The PD-1 receptor-ligand interaction is a major pathway hijacked by tumors to suppress immune control [Pedoeem, 2014]. The normal function of PD-1, expressed on the cell surface of activated T cells under healthy conditions, is to down-modulate unwanted or excessive immune responses, including autoimmune reactions. PD-1 (encoded by the gene *Pdcd1*) is an Ig superfamily member related to CD28 and CTLA-4, which has been shown to negatively regulate antigen receptor signaling upon engagement of its ligands (PD-L1 and/or PD-L2). The structures of murine PD-1 alone [Zhang, 2004] and in complex with its ligands were first resolved [Lazar-Molnar, 2008; Lin, 2008], and more recently the NMR-based structure of the human PD-1 extracellular region and analyses of its interactions with its ligands were also reported [Cheng, 2013]. PD-1 and family members are type I transmembrane glycoproteins containing an Ig Variable-type (V-type) domain responsible for ligand binding and a cytoplasmic tail which is responsible for the binding of signaling molecules. The cytoplasmic tail of PD-1 contains 2 tyrosine-based signaling motifs, an immunoreceptor tyrosine-based inhibition motif (ITIM) and an immunoreceptor tyrosine-based switch motif (ITSM). Following T-cell stimulation, PD-1 recruits the tyrosine phosphatases SHP-1 and SHP-2 to the ITSM motif within its cytoplasmic tail, leading to the dephosphorylation of effector molecules, such as $\text{C}\ddot{\text{u}}3\zeta$, PKC θ and ZAP70, which are involved in the CD3 T-cell signaling cascade [Sheppard, 2004]. The mechanism by which PD-1 down-modulates T-cell responses is similar to, but distinct from that of CTLA-4 [Ott, 2013]. PD-1 was shown to be expressed on activated lymphocytes, including peripheral CD4+ and CD8+ T cells, B cells, Tregs and NK cells [Yao, 2014]. Expression has also been shown during thymic
- 20
25
30
35

development on CD4-CD8- (double negative) T cells [Nishimura, 1996], as well as subsets of macrophages [Huang, 2009] and dendritic cells [Pea-Cruz, 2010]. The ligands for PD-1 (PD-L1 and PD-L2) are constitutively expressed or can be induced in a variety of cell types [Keir, 2008]. PD-L1 is expressed at low levels on various non-hematopoietic tissues, most notably on vascular endothelium, whereas PD-L2 protein is only detectably expressed on antigen-presenting cells found in lymphoid tissue or chronic inflammatory environments [Keir, 2008]. Both ligands are type I transmembrane receptors containing both IgV- and IgC-like domains in the extracellular region and short cytoplasmic regions with no known signaling motifs.

Binding of either PD-1 ligand to PD-1 inhibits T-cell activation triggered through the T-cell receptor. PD-L2 is thought to control immune T-cell activation in lymphoid organs, whereas PD-L1 serves to dampen unwarranted T-cell function in peripheral tissues. Although healthy organs express little (if any) PD-L1, a variety of cancers were demonstrated to express abundant levels of this T-cell inhibitor [Karim, 2009, Taube, 2012], which, via its interaction with the PD-1 receptor on tumor-specific T cells, plays a critical role in immune evasion by tumors [Sanmamed, 2014]. As a consequence, the PD-1/PD-L1 pathway is an attractive target for therapeutic intervention in cancer [Topalian, 2012].

Pembrolizumab Background and Clinical Trials

Pembrolizumab [KEYTRUDA® (US); previously known as lambrolizumab, MK-3475 and SCH 9000475] is a potent and highly selective humanized mAb of the IgG4/kappa isotype designed to directly block the interaction between PD-1 and its ligands, PD-L1 and PD-L2. Pembrolizumab was recently approved in the US and is indicated for the treatment of subjects with unresectable or metastatic melanoma and disease progression following ipilimumab and, if BRAF V600 mutation positive, a BRAF inhibitor [KEYTRUDA® Prescribing Information, 2014; Poole, 2014]. It is the first anti-PD-1 therapy to receive regulatory approval in the US, and is currently under regulatory review in the EU.

Ongoing clinical trials are being conducted in advanced melanoma, NSCLC, head and neck cancer, urothelial cancer, gastric cancer, TNBC, Hodgkin's lymphoma and a number of other advanced solid tumor indications and hematologic malignancies. For study details please refer to the IB [Merck Sharp & Dohme Corp, 2014].

Rationale for Pembrolizumab Dose Selection

An open-label Phase I trial (KEYNOTE-001) is being conducted to evaluate the safety and clinical activity of single agent pembrolizumab. The dose escalation

portion of this trial evaluated three dose levels, 1 mg/kg, 3 mg/kg, and 10 mg/kg, administered every 2 weeks (Q2W) in subjects with advanced solid tumors. All three dose levels were well tolerated and no dose-limiting toxicities were observed. This first in human study of pembrolizumab showed evidence of target engagement and objective evidence of tumor size reduction at all dose levels (1 mg/kg, 3 mg/kg and 10 mg/kg Q2W). No MTD has been identified.

In KEYNOTE-001, two randomized cohort evaluations of melanoma subjects receiving pembrolizumab at a dose of 2 mg/kg versus 10 mg/kg Q3W have been completed, and one randomized cohort evaluating of 10 mg/kg Q3W versus 10 mg/kg Q2W has also been completed. The clinical efficacy and safety data demonstrate a lack of clinically important differences in efficacy response or safety profile at these doses. For example, in Cohort B2, advanced melanoma subjects who had received prior ipilimumab therapy were randomized to receive pembrolizumab at 2 mg/kg versus 10 mg/kg Q3W. The ORR was 26% (21/81) in the 2 mg/kg group and 26% (20/76) in the 10 mg/kg group [Robert, 2014]. The proportion of subjects with drug-related AE, grade 3-5 drug-related AE, serious drug-related AE, death or discontinuation due to an AE was comparable between groups or lower in the 10 mg/kg group. In Cohort B3, advanced melanoma subjects (irrespective of prior ipilimumab therapy) were randomized to receive pembrolizumab at 10 mg/kg Q2W versus 10 mg/kg Q3W. The ORR was 30.9% (38/123) in the 10mg/kg Q2W group and 24.8% (30/121) in the 10 mg/kg Q3W group. The proportion of subjects with drug-related AE, grade 3-5 drug-related AE, serious drug-related AE, death or discontinuation due to an AE was comparable between groups.

PK data analysis of pembrolizumab administered Q2W and Q3W showed slow systemic clearance, limited volume of distribution, and a long half-life [Merck Sharp & Dohme Corp, 2014]. Pharmacodynamic data (IL-2 release assay) suggested that peripheral target engagement is durable (>21 days). This early PK and pharmacodynamic data provides scientific rationale for testing a Q3W dosing schedule. Because Q3W dosing is more convenient for subjects, Q3W dosing will be further studied.

The rationale for further exploration of 2 mg/kg and comparable doses of pembrolizumab in solid tumors is based on: 1) similar efficacy and safety of pembrolizumab when dosed at either 2 mg/kg or 10 mg/kg Q3W in melanoma subjects, 2) the flat exposure-response relationships of pembrolizumab for both efficacy and safety in the dose ranges of 2 mg/kg Q3W to 10 mg/kg Q3W, 3) the lack of effect of tumor burden or indication on distribution behavior of pembrolizumab (as

assessed by the population PK model) and 4) the assumption that the dynamics of pembrolizumab target engagement will not vary meaningfully with tumor type.

The choice of the 200 mg Q3W fixed dosing regimen is based on simulations performed using the population PK model of pembrolizumab showing that the fixed
5 dose of 200 mg every 3 weeks will provide exposures that 1) are optimally consistent with those obtained with the 2 mg/kg dose every 3 weeks, 2) will maintain individual subject exposures in the exposure range established in melanoma as associated with maximal efficacy response and 3) will maintain individual subjects exposure in the exposure range established in melanoma that are well tolerated and safe.

10 A fixed dose regimen will also be simpler and more convenient for physicians and to reduce potential for dosing errors. A fixed dosing scheme will reduce complexity in the logistical chain at treatment facilities and reduce wastage.

Rationale for OX40 agonist and PD-1 inhibitor Combination

The anticancer immune response is a multistep process that includes antigen
15 processing and presentation, T-cell priming and activation, tumor infiltration, and subsequent destruction by activated effector T cells [Chen, 2013]. Each of these steps can be negatively regulated, which provides the malignant tumor with redundant mechanisms by which to block an anticancer immune response. In some cases, tumors will be highly dependent on a single mechanism, and in these cases,
20 there is the potential to achieve significant clinical activity with a single immunomodulatory therapy. However, it is expected that tumors will often utilize redundant mechanisms to block the antitumor immune response. In these instances, combination therapies will likely be required. A recently described example of the benefit of combination immunotherapy is the clinical data generated by the
25 combination of ipilimumab (anti-CTLA-4) and nivolumab (anti-PD-1) in subjects with metastatic melanoma [Wolchok, 2013].

The rationale for combining an OX40 agonist with an anti-PD-1 agent is based on the fact that these two agents target different steps in the cancer-immunity cycle. Similar to the ipilimumab/nivolumab combination, ANTIBODY 106-222 is expected to
30 increase the priming/activation of antitumor T cells while the anti-PD-1 agent pembrolizumab prevents the inhibitory effect of the PD-1/PD-L1 pathway on effector T cells in the tumor. Recently, Guo et al reported synergistic antitumor activity for the combination of PD-1 blockade and OX40 agonism in a murine ID8 ovarian cancer model. The activity of the combination treatment was associated with increased

CD4+ and CD8+ cells and decreased CD4+FoxP3+ Tregs and CD11b+Gr-1+ myeloid suppressor cells [Guo, 2014].

A surrogate mAb to murine OX40 (OX86) was used to generate in vivo nonclinical evidence for combination synergy with a PD-1 inhibitor in syngeneic tumor models.

- 5 In a series of experiments, female BALB/c mice bearing CT26 mouse colon carcinoma cell line tumors (n=10/group) were given twice weekly IP dosing with OX86 at 1 to 400 µg/mouse and in combination with anti-PD-1 mAb at 20 or 200 µg/mouse for 4 weeks. Both OX86 and anti-PD-1 mAb monotherapy decreased tumor volume and increased survival compared with saline and isotype controls;
- 10 however, the combination of OX86/anti-PD-1 significantly increased survival compared with monotherapy and was well tolerated (Figure 14). Similar combination efficacy and survival outcomes were reported for female BALB/c mice bearing A20 mouse lymphoma cell line tumors; however, no synergy or additive effects were reported in female C57BL/6 mice bearing B16F10 mouse melanoma cell line tumors.

Objectives and Endpoints

Objectives	Endpoints
Part 1: ANTIBODY 106-222 Monotherapy	
Primary	
<ul style="list-style-type: none"> To evaluate the safety and tolerability and identify the MTD^a or the MAD of ANTIBODY 106-222 administered intravenously to subjects with selected advanced or recurrent solid tumors. 	<ul style="list-style-type: none"> AEs, SAEs, DLT, withdrawals due to AEs, dose reductions or delays, and changes in safety assessments (e.g., laboratory parameters, vital signs, and cardiac parameters).
Secondary	
<ul style="list-style-type: none"> To evaluate the antitumor activity of ANTIBODY 106-222 in subjects with selected advanced or recurrent solid tumors. To characterize the PK of ANTIBODY 106-222 monotherapy. To evaluate the pharmacodynamic activity of ANTIBODY 106-222 in the periphery, i.e., blood and in the tumor microenvironment. To determine the immunogenicity of ANTIBODY 106-222. 	<ul style="list-style-type: none"> ORR and DCR (CR+ PR+ SD \geq12 weeks), time to response, duration of response, PFS, and OS.^b ANTIBODY 106-222 concentrations in plasma and PK parameters including C_{max}, AUC(0-τ), and C_{min}. Assessment of lymphocyte OX40 receptor membrane expression and occupancy by ANTIBODY 106-222, along with the phenotype, quantity, and activation state of T cells in the periphery. Assessment of tumor biopsies via IHC for the numbers of tumor-infiltrating lymphocytes and other immune cells expressing key phenotypic markers. Number and percentage of subjects who develop detectable ADA.

Objectives	Endpoints
Part 1: ANTIBODY 106-222 Monotherapy	
Exploratory	
<ul style="list-style-type: none"> To explore the relationship between antitumor activity, PK parameters, and pharmacodynamic response after treatment with ANTIBODY 106-222. To explore the association between treatment with ANTIBODY 106-222 and changes in genomic DNA, gene expression (RNA and protein), measures of immune function in tissue and blood and antitumor activity. 	<ul style="list-style-type: none"> Evaluation of antitumor activity (CR, PR, SD, PD), PK parameters, and pharmacodynamic activity. Correlation between antitumor activity and expression of immune related genes, e.g., but not limited to TCR sequences and gene signatures, along with the expression of circulating soluble factors such as cytokines and stress-related proteins in both tissues and blood.
<ul style="list-style-type: none"> To explore the immune response biomarkers in tumor tissue and their association with the antitumor activity with ANTIBODY 106-222. Pharmacogenetics (PGx): To evaluate the association of genetic variations in the host DNA and response to therapy. 	<ul style="list-style-type: none"> Determination of the correlation between the immune response in tumor samples and antitumor activity of ANTIBODY 106-222 to identify potential selection biomarkers for subject enrichment. Germline genetic evaluations may be conducted for: <ul style="list-style-type: none"> Medicine response, including ANTIBODY 106-222 or any concomitant medicines. Disease susceptibility, severity, and progression and related conditions.
Hypothesis	
<p>For the primary objective, no formal statistical hypothesis will be tested; analysis will be descriptive and exploratory.</p> <ol style="list-style-type: none"> In the final determination of the MTD, all available safety and tolerability data will be considered Unless otherwise specified, all response endpoints will be assessed by Response Evaluation Criteria in Solid Tumors (RECIST) v1.1 and by irRECIST (immune-related RECIST); irRECIST will be used to determine treatment decisions. 	

RNA = Ribonucleic acid; DNA = Deoxyribonucleic acid

Objectives	Endpoints
PART 2: Combination ANTIBODY 106-222 plus pembrolizumab	
Primary	
<ul style="list-style-type: none"> To evaluate the safety and tolerability and identify the MTD^a or the MAD of ANTIBODY 106-222 administered intravenously in combination with IV pembrolizumab to subjects with selected advanced or recurrent solid tumors. 	<ul style="list-style-type: none"> AEs, SAEs, DLTs, withdrawals due to AEs, dose reductions or delays, and changes in safety assessments (e.g., laboratory parameters, vital signs, and cardiac parameters).
Secondary	
<ul style="list-style-type: none"> To evaluate the antitumor activity of ANTIBODY 106-222 in combination with pembrolizumab in subjects with selected advanced or recurrent solid tumors. To characterize the PK of ANTIBODY 106-222 and pembrolizumab when administered in combination. To evaluate the pharmacodynamic activity of ANTIBODY 106-222 in combination with pembrolizumab in the periphery, i.e., blood and in the tumor microenvironment. To determine the immunogenicity of ANTIBODY 106-222 and pembrolizumab when administered in combination. 	<ul style="list-style-type: none"> ORR and DCR (CR+PR+SD \geq 12 weeks), time to response, duration of response, PFS, and OS.^b Plasma ANTIBODY 106-222 and serum pembrolizumab concentrations and PK parameters including C_{max}, AUC(O-T), and C_{min}. Assessment of lymphocyte OX40 receptor membrane expression and occupancy by ANTIBODY 106-222, along with the phenotype, quantity, and activation state of T cells in the periphery. Assessment of tumor biopsies via IHC for the numbers of tumor-infiltrating lymphocytes and other immune cells expressing key phenotypic markers. Number and percentage of subjects who develop detectable ADA.

Objectives	Endpoints
PART 2: Combination ANTIBODY 106-222 plus pembrolizumab	
Exploratory	
<ul style="list-style-type: none"> • To explore the relationship between antitumor activity, PK parameters, and pharmacodynamic response after treatment with ANTIBODY 106-222 in combination with pembrolizumab. • To explore the association between treatment with ANTIBODY 106-222 in combination with pembrolizumab and changes in genomic DNA, gene expression (RNA and protein), measures of immune function in tissue and blood and antitumor activity. • To explore the immune response biomarkers in tumor tissue and their association with the antitumor activity with ANTIBODY 106-222 in combination with pembrolizumab. • PGx: To evaluate the association of genetic variations in the host DNA and response to therapy. 	<ul style="list-style-type: none"> • Evaluation of antitumor activity (CR, PR, SD, PD), PK parameters, and pharmacodynamic activity. • Correlation between antitumor activity and expression of immune-related genes, e.g., but not limited to TCR sequences and gene signatures, along with the expression of circulating soluble factors such as cytokines and stress related proteins in both tissues and blood. • Determination of the correlation between the immune response in tumor samples and antitumor activity of ANTIBODY 106-222 to identify potential selection biomarkers for subject enrichment. • Germline genetic evaluations may be conducted for: <ul style="list-style-type: none"> • Medicine response, including GSK317499 and pembrolizumab or any concomitant medicines. • Disease susceptibility, severity, and progression and related conditions.
Hypothesis	
<p>For the primary objective, no formal statistical hypothesis will be tested; analysis will be descriptive and exploratory.</p>	

- a. In the final determination of the MTD, all available safety and tolerability data will be considered
- b. Unless otherwise specified, all response endpoints will be assessed by RECIST v1.1 and by irRECIST; irRECIST will be used to determine treatment decisions.

RNA = Ribonucleic acid; DNA = Deoxyribonucleic acid

Study design

Overall Design

This is a FTIH, open-label, non-randomized, multicenter study designed to evaluate the safety, tolerability, PK, pharmacodynamics, and preliminary clinical activity of
5 ANTIBODY 106-222 administered intravenously to subjects with selected advanced or recurrent solid tumors.

The study will be conducted in 2 parts, each part consisting of a dose-escalation phase followed by a cohort expansion phase (see Figure 15). Part 1 will evaluate
10 ANTIBODY 106-222 monotherapy, while Part 2 will evaluate ANTIBODY 106-222 in combination with pembrolizumab. As shown in Figure 15, ANTIBODY 106-222 will first be evaluated as monotherapy in escalating doses. Once a dose of ANTIBODY 106-222 has been identified that is both tolerable and demonstrates
15 pharmacodynamic activity, enrollment of Part 2 may begin. In Part 2, escalating doses of ANTIBODY 106-222 will first be evaluated with fixed doses of pembrolizumab. Each part will also include expansion cohorts for up to three different tumor types.

The study will enroll up to approximately 180 subjects with tumor types that may include NSCLC, SCCHN, RCC, melanoma, bladder cancer, STS, TNBC, and MSI
20 CRC. In the dose-escalation phase of the study, subjects with any of the aforementioned tumor types may be included; whereas in the cohort expansion phase of the study, each expansion cohort will enroll subjects with one specific tumor type selected from the aforementioned list. Up to three expansion cohorts may be included for each part of the study.

A subject's disease status and determination of disease progression at postbaseline
25 visits will be evaluated by the local investigators' assessments of radiology by RECIST v1.1 and irRECIST; a decision to discontinue treatment due to disease progression will be based upon irRECIST; however, the primary endpoint analysis will use RECIST v1.1. Scans will be collected centrally and stored to allow for the option of central radiologic audit or review.

30 A Steering Committee will be established to review safety, PK, and other clinical data during the course of the study, to provide objective interpretation of study results, and guidance for key decisions. The remit of the Steering Committee will include guidance for the transition of the study from dose-escalation to cohort expansion and from Part 1 to Part 2, the selection of specific tumor types to include in the expansion
35 cohorts, and the selection of the recommended Phase 2 dose (RP2D); the study

team will also seek endorsement from GSK Medical Governance for the transition of the study from one part to another. In the final determination of the MTD and RP2D, all available safety and tolerability data will be considered. Pending a review of emerging data from this study and under the guidance of the Steering Committee, the protocol may be subsequently amended to include investigation of additional anticancer agent combinations with ANTIBODY 106-222. The remit, membership, roles and responsibilities of the Steering Committee are described in a Steering Committee Charter. Key decisions of the Steering Committee will be documented and reported to all participating principal investigators (Pis) and Institutional Review Boards (IRBs)/Independent Ethics Committees (IECs).

Dose Escalation

For the first two dose levels (see Table 2), an accelerated titration design is planned with one subject enrolled at each of these dose levels. Each subject must complete the 4 week DLT evaluation period and the available safety data must be reviewed before a decision is made on whether to proceed to the next dose level. If a subject experiences a DLT, then this will trigger the implementation of the modified 3+3 design as shown in Table 2. If a subject withdraws from the study before the completion of the 4 week DLT evaluation period for reasons other than DLT, the subject will be replaced.

For subsequent dose levels, a modified 3+3 design will be used for dose escalation as shown in Table 2. The first three subjects treated at the third dose level will begin treatment 1 week apart to allow assessment of initial safety data in each subject before beginning the next subject's treatment. Evaluation of the available safety data over the first 4 weeks of treatment is required from at least 3 subjects before a decision is made whether to enroll additional subjects at the same, or the next higher dose level. Subjects who withdraw from the study before the completion of 4 weeks treatment for reasons other than DLT may be replaced. After the third dose level cohort is completed, subsequent dose levels may initially enroll up to 4 subjects and subjects will begin treatment at least 24 hours apart.

If 1 of 3 (or 1 of 4) subjects experiences a DLT at a particular dose level, additional subjects will be enrolled at that dose level so that a total of 6 subjects are treated at that dose level. If at least 2 of 6 subjects experience a DLT at a particular dose level, a lower (or intermediate) dose level may be explored to better define the MTD. The Steering Committee may propose that a given dose-escalation cohort be expanded up to a total of 12 subjects if (i) further evaluation of the frequency of a given toxicity

is warranted, based upon the observed safety profile in the 6 subjects already recruited in the cohort or (ii) further evaluation of pharmacodynamic markers to aid dose selection is warranted; in either case, the incidence of confirmed DLT must not exceed 33%. Dose-escalation decisions will be documented in writing with copies maintained at each site and the study files.

Table 2: 3 + 3 Dose-Escalation Guidelines

Number of Subjects with DLT at a Given Dose Level	Action ^a
0 out of 3 subjects	Escalate to next dose level and enter 3 subjects
1 out of 3 subjects	Accrue 3 additional evaluable subjects at current dose level for a total of 6 evaluable subjects <ul style="list-style-type: none"> • If 0 of the 3 additional subjects experience a DLT, proceed to next dose level. • If 1 or more of the additional subjects experience a DLT, the dose escalation is stopped and this dose is declared the MTD.
1 out of 6 subjects	Escalate to next dose level
2 or more subjects in a dosing cohort (up to 6 subjects)	Dose escalation will be stopped. At this dose level, the MTD has been exceeded (highest dose administered).

a. The Steering Committee may propose that a given dose-escalation cohort be expanded up to a total of 12 subjects if (i) further evaluation of the frequency of a given toxicity is warranted, based upon the observed safety profile in the 6 subjects already recruited in the cohort or (ii) further evaluation of pharmacodynamic markers to aid dose selection is warranted; in either case, the incidence of confirmed DLT must not equal or exceed 33%.

DLT = Dose-limiting toxicity; MTD = Maximum tolerated dose

Part 1A: Monotherapy Dose Escalation

Dose escalation for ANTIBODY 106-222 monotherapy will begin with a starting dose of 0.003 mg/kg ANTIBODY 106-222 administered Q3W (see Dose Justification Section). Table 3 illustrates the maximum dose that may be selected for each dose level increase. The maximum increase in dose is 3.33-fold or less. Dose levels intermediate to those in Table 3, or schedules other than once every three weeks may be explored if exposure is significantly higher than predicted, if there is

excessive toxicity, or if further evaluation of pharmacodynamic markers to aid dose selection is warranted.

Table 3 Part 1A Dose Levels

Dose Level	ANTIBODY 106-222 (mg/kg) ^a
1	0.003
2	0.01
3	0.03
4	0.1
5	0.3
6	1.0
7	3.0
8	10.0

a. Lower dose intensities may be explored if exposure is significantly higher than predicted, if there is excessive toxicity, or if further evaluation of pharmacodynamic markers to aid dose selection is warranted. This may be achieved by reducing the dose or by alternate dosing schedules.

5 Part 2A: Combination Dose Escalation (ANTIBODY 106-222 + Pembrolizumab)

Dose escalation for ANTIBODY 106-222 + pembrolizumab combination therapy will begin with a fixed dose of 200 mg pembrolizumab administered Q3W and a starting dose of ANTIBODY 106-222 that is at least 2 dose levels below a tolerated dose of ANTIBODY 106-222 monotherapy that has also demonstrated pharmacodynamic activity in Part 1A of the study. An example of potential combinations of ANTIBODY 106-222 and pembrolizumab is described in Table 4. In this example, a dose of 1 mg/kg ANTIBODY 106-222 alone was tolerated in at least 3 subjects in Part 1A of the study.

Table 4 Example of Part 2A Dose Levels

Dose Level	ANTIBODY 106-222 (mg/kg)	Pembrolizumab (mg)
1	0.1	200
2	0.3	200
3	1.0	200
4	3.0	200
5	10.0	200

15

If the combination doses in the starting dose cohort of Part 2A are not tolerable, lower doses of ANTIBODY 106-222 may be evaluated in combination with 200 mg pembrolizumab. The dose of pembrolizumab will remain fixed at 200 mg throughout the study.

- 5 Dose escalation will proceed until the MTD of the combination regimen is identified, as described in Dose Escalation Section. Dose-escalation decisions will take into account all available data, including the safety profile of prior cohorts throughout the time subjects are on study, which will be reviewed by the investigator(s), GSK Medical Monitor, pharmacokineticist, and statistician. The dose-escalation decision
10 for the subsequent cohort and rationale will be documented in writing with copies maintained at each site and the study files.

- Any cohort may be expanded beyond the 3 to 6 subjects enrolled during dose escalation, to a maximum of 12 to facilitate collection of additional safety, PK, and pharmacodynamic data. A total of up to 12 subjects may be treated at the dose of
15 ANTIBODY 106-222 selected for Parts 1B and 2B to better characterize the safety, PK, and pharmacodynamic data at that dose, before opening the Dose-Expansion phase.

Dose-Limiting Toxicity

- All toxicities will be graded using National Cancer Institute - Common Toxicity Criteria
20 for Adverse Events (NCI-CTCAE) (version 4.0).

- An AE is considered to be a DLT if it is considered by the investigator to be clinically relevant and attributed (definitely, probably, or possibly) to the study treatment during the first 4 weeks (i.e., 28 days) of treatment and meets at least one of the criteria listed in Table 5. If an AE is considered related to the underlying disease it is not a
25 DLT. For Part 2, \geq Grade 3 toxicities that are known to occur with pembrolizumab and are controlled within 2 weeks using the recommended supportive measures may not be considered dose-limiting.

Table 5 Dose-Limiting Toxicity Criteria

Toxicity	DLT Definition
Hematologic	<ul style="list-style-type: none"> • Febrile neutropenia • Grade 4 neutropenia of >7 days' duration or requiring G-CSF • Grade 4 anemia of any duration • Grade 4 thrombocytopenia of any duration or Grade 3 thrombocytopenia with bleeding
Non-hematologic	<ul style="list-style-type: none"> • Grade 4 toxicity • Grade 3 toxicity that does not downgrade to ≤Grade 2 within 3 days despite optimal supportive care^a, with the following exceptions: <ul style="list-style-type: none"> ○ Laboratory abnormality that is not clinically significant according to the investigators (for example, isolated Grade 3 elevation of amylase or lipase not associated with clinical or radiographic evidence of pancreatitis) ○ Grade 3 endocrinopathy that is adequately controlled by hormonal replacement • Any Grade 2 ocular toxicity requiring systemic steroids, or any ≥ Grade 3 ocular toxicity
Other	<ul style="list-style-type: none"> • Toxicity that results in permanent discontinuation of ANTIBODY 106-222 or ANTIBODY 106-222 and pembrolizumab during the first 4 weeks of treatment • Any other toxicity considered to be dose-limiting that occurs beyond 4 weeks will be considered in the selection of the dose for expansion cohorts • Any other event which in the judgment of the investigator and GSK Medical Monitor is considered to be a DLT

a. Suggested management guidelines described in Dose and Safety Management Guidelines Section for toxicity and may include systemic corticosteroids for immune-related toxicities; if use of systemic corticosteroids delays administration of the second dose of study treatment but the event does not otherwise meet the DLT criteria for non-hematologic toxicity, the dose delay will not be considered a DLT.

DLT = Dose-limiting toxicity; G-CSF = Granulocyte colony-stimulating factor; AST = Aspartate aminotransferase; ALT = Alanine aminotransferase; ULN = Upper limit of normal; GSK = GlaxoSmithKline

If a subject experiences a DLT in the first 4 weeks of treatment, the subject will be discontinued from study therapy unless the investigator considers it in the best interest of the subject to continue on study (e.g., in case of tumor regression, symptomatic disease improvement, and/or if the type of DLT is viewed as preventable in subsequent cycles, e.g., by pre-medication). Such cases will require approval by the Sponsor before continuation on study treatment at the same or lower dose.

Guidance for the management of toxicity, including dose modification algorithms, is provided in Dose and Safety Management Guidelines Section and is based on the experience of management of immune-related adverse events (irAEs) since the development of ipilimumab and PD-1 inhibitors such as pembrolizumab. Dose and Safety Management Guidelines Section includes general guidance for the management of non-hematologic AEs, general guidance for the management of irAEs (General Guidelines for Immune-Related Adverse Events Section), general principles of irAE identification and evaluation (General Principles of Immune-Related Adverse Events Identification and Evaluation Section), and specific guidance for: hepatotoxicity (Management of Hepatotoxicity Section), gastrointestinal events (Management of Gastrointestinal Events (Diarrhea or Colitis) Section), skin toxicity (Management of Skin Toxicity Section), endocrine events (Management of Endocrine Events Section), pneumonitis (Management of Pneumonitis Section), hematologic events (Management of Hematologic Events Section), uveitis/iritis (Uveitis/Iritis Section), infusion reactions or severe cytokine release (Management of Infusion Reactions or Severe Cytokine Release Syndrome (sCRS) Section) and dose delay (Dose Delay Section).

Cohort Expansion

Any dose level(s) in Parts 1A and 2A (dose escalation) may be selected for cohort expansion in Parts 1B and 2B of the study in order to collect additional data on safety, PK, pharmacodynamic activity, and clinical activity.

Each expansion cohort will include subjects with a single tumor type and will enroll up to approximately 20 subjects who will be treated at the selected dose level. In both Part 1B and Part 2B, up to three expansion cohorts will be enrolled with one indication per cohort. Selection of tumor indications will be based in part on data generated in Part 1A and Part 2A, respectively. The Steering Committee will review the available preliminary safety, PK, pharmacodynamic, and clinical activity data before selecting the dose level indications for all 3 cohorts. Criteria that may be

considered in the determination of which dose level(s) to expand and which tumor types to select for cohort expansion may include:

- 5 • **Target engagement and pharmacodynamic activity:** Observed OX40 receptor occupancy and pharmacodynamic activity. Pharmacodynamic activity will be determined by an evaluation of markers of T-cell activation and proliferation in whole blood. The changes in numbers and activation state of lymphocytes will also be assessed and correlated with individual responses as well as immune cell populations within the tumor (see Biomarkers/Pharmacodynamic Markers Section).
- 10 • **Tolerability:** The frequency of DLT, AEs of special interest (AESI), and the extent of dose modifications for either ANTIBODY 106-222 or the combination agent.
- **Clinical activity:** Evidence of clinical response, including SD of at least 12 weeks and/or minor responses.

15 After 10 subjects have been enrolled in a given expansion cohort, the Steering Committee may recommend continued accrual in that expansion cohort up to a total of approximately 20 subjects. While it is anticipated that the additional 10 subjects in each cohort will be treated at the same dose level as the initial 10 subjects, the Steering Committee may recommend exploration of a different dose level on the
20 basis of emerging data.

The selection of dose level(s) and tumor types selected for cohort expansion will be communicated to the sites in writing.

Intra-Subject Dose Escalation

25 There will be no intra-subject dose escalation, except as follows. Upon determination of the dose selected for Part 1B, subjects being treated at lower doses in Part 1A may be considered for escalation/titration to the Part 1B dose. For such subjects, the decision whether to dose escalate will be made on a case-by-case basis after agreement by the investigator and the GSK Medical Monitor.

There will be no intra-subject dose escalation in Part 2 of the study.

30 **Treatment Arms and Duration**

The study includes a screening period, a treatment period, and a follow-up period. Subjects will be screened for eligibility beginning approximately 4 weeks before the

start of treatment. The maximum duration of treatment with ANTIBODY 106-222 will be 48 weeks; the maximum duration of treatment with pembrolizumab will be 2 years (Table 6). The follow-up period for safety assessments will be a minimum of 3 months from the date of the last dose. The post-treatment follow-up period includes disease assessments every 12 weeks until documented PD. Following PD, subjects will be contacted every 3 months to assess survival status.

Subjects with confirmed PR or CR will be followed for response duration and may be eligible for additional treatment with ANTIBODY 106-222 at the time of relapse/progression. The decision whether a subject will receive additional treatment will be discussed and agreed upon by the treating investigator and the Sponsor/Medical Monitor on a case-by-case basis.

Table 6 Study Treatments

Study Part	Study Treatment
Part 1: ANTIBODY 106-222 Monotherapy	
1A – Dose escalation	ANTIBODY 106-222 IV ^a Q3W for up to 48 weeks
1B – Cohort expansion	ANTIBODY 106-222 IV ^b Q3W for up to 48 weeks
Part 2: ANTIBODY 106-222 in combination with pembrolizumab	
2A – Dose escalation	ANTIBODY 106-222 IV ^c Q3W for up to 48 weeks Pembrolizumab 200mg IV Q3W for up to 2 years
2B – Cohort expansion	ANTIBODY 106-222 IV ^b Q3W for up to 48 weeks Pembrolizumab 200 mg IV Q3W for up to 2 years

- a. For dose levels see Table 3.
 - b. At one or two dose levels shown to be tolerable in dose escalation of each part.
 - c. For dose levels see Table 4.
- IV = Intravenous; Q3W = Every 3 weeks

Type and Number of Subjects

The number of dose levels and the level at which the MTD is reached cannot be determined in advance. An adequate number of subjects will be enrolled into the study to establish the recommended dose(s) for further study. It is estimated that a total of up to approximately 180 subjects will be enrolled in this two-part study (approximately 60 subjects in Parts 1A and 2A [dose escalation]; approximately 120 subjects in Parts 1B and 2B [cohort expansion]).

In Parts 1A and 2A, if a subject prematurely discontinues before the completion of 4 weeks treatment, for reasons other than DLT, a replacement subject may be enrolled at the discretion of the Sponsor in consultation with the investigator. Subjects will not be replaced in Parts 1B and 2B of the study.

5 **Design Justification**

This study evaluates the safety, tolerability, pharmacodynamic effects, and preliminary clinical activity of ANTIBODY 106-222 as a monotherapy and in combination with anti-PD-1, pembrolizumab. The safety, tolerability, and pharmacodynamics of monotherapy ANTIBODY 106-222 will be evaluated in a modified 3+3 dose escalation that includes an accelerated titration design for the first two dose levels. The dose escalation will be followed by expansion cohorts in defined subject populations. Upon demonstration of clear pharmacodynamic immune activation, exploration of the combination of ANTIBODY 106-222 with pembrolizumab may commence, in parallel with the continuing monotherapy exploration. Dose escalation of ANTIBODY 106-222 in combination with a 200 mg fixed dose of pembrolizumab will begin at a dose of ANTIBODY 106-222 that is at least 2 dose levels below a dose of ANTIBODY 106-222 that has been demonstrated to be safe at that point in time.

In the dose escalation phase, subjects will be enrolled with selected solid tumors that are likely to respond to anti-OX40 therapy (e.g., indications previously reported to have a response to immunotherapies, predicted immunogenicity, and/or expression of OX40). The tumor types to be evaluated in dose escalation are as follows: NSCLC, SCCHN, RCC, melanoma, bladder cancer, STS, TNBC, and MSI CRC.

Almost all of these histologies have demonstrated prior response to anti-CTLA-4 and/or anti-PD-1/PD-L1 therapies [Zamarin, 2015]. In addition, gene expression data [TCGA, 2014] suggest that all of these tumor types have at least moderate expression of OX40.

The inclusion of the combination with pembrolizumab is based on the preference to identify potential transformational activity early in development. Although ANTIBODY 106-222 is expected to have meaningful clinical activity as a monotherapy, the full potential of the molecule is likely to be discovered in combination with other agents, particularly immunotherapies. Pembrolizumab is an ideal combination partner for ANTIBODY 106-222 because it targets a different aspect of the cancer-immunity cycle, has a toxicity profile of mainly Grade 1 or 2 events, and preclinical data strongly supports the potential for synergy.

In order to ensure sufficient safety and pharmacodynamic data are available before beginning enrollment to the ANTIBODY 106-222/pembrolizumab combination (Part 2), available clinical data, including safety, pharmacodynamics and efficacy, will be reviewed by the Steering Committee. The study team will also seek endorsement
5 from GSK Medical Governance in order to initiate Part 2 of the study. Upon deciding to open Part 2, the decision will be documented and reported to all participating Pis and IRBs/ IECs.

Dose Justification

Part 1: Starting Dose

10 According to the International Council on Harmonization of Technical Requirements for Registration of Pharmaceuticals for Human Use (ICH)S9 guidance, calculating a starting dose based on 1/6 of the highest non-severely toxic dose (HNSTD) in the most relevant species (cynomolgus monkey) yields a starting dose of
-16 mg/kg/week. By determining the minimum anticipated biological effect level
15 (MABEL), a more conservative starting dose of 0.003 mg/kg ANTIBODY 106-222 Q3W was selected as a safe starting dose for this FTIH study. The projected human ANTIBODY 106-222 exposure predicted by cynomolgus monkey PK, ANTIBODY 106-222 binding characteristics, and OX86 efficacy data in mouse, as well as consideration of prior clinical experience with agonizing the OX40 pathway also
20 factored into the selection of the starting dose.

Predicted exposure: The PK of ANTIBODY 106-222 was assessed in several cynomolgus monkey studies, with single and repeat doses ranging from 0.03 mg/kg to 100 mg/kg; ANTIBODY 106-222 exposure was approximately dose-proportional. Across the dose levels tested, PK profiles did not demonstrate any evidence of
25 target-mediated disposition. These results suggest that allometric methods are appropriate to predict human PK; furthermore, human PK of ANTIBODY 106-222 is expected to be similar to PK of mAbs of the same isotype. Assuming a plasma volume of 3L for a 70 kg subject, a Cmax of 0.07 µg/mL is predicted for a dose of 0.003 mg/kg.

30 **Nonclinical safety:** In repeat-dose toxicology studies, ANTIBODY 106-222 was well tolerated in cynomolgus monkeys following weekly IV dosing for up to 4 weeks at doses ranging from 0.03 to 100 mg/kg/week. In these studies, there were no test article related changes, including those associated with T-cell modulation. The NOAEL was determined to be 100 mg/kg/week, the highest dose tested, which is

well above the proposed clinical starting dose regardless of the method for computing the human equivalent dose (HED).

Potential for severe cytokine release: Several lines of investigation were followed to assess the potential of ANTIBODY 106-222 to induce an excessive cytokine response as observed for super agonist TGN1412. In vitro and ex vivo data show that OX40 is expressed on only a small proportion of T cells, i.e., recently activated effector and regulatory T cells in blood and tissues such as lymph node and spleen. Moreover, stimulation through the TCR and CD28 pathways is required for optimal T-cell activation with OX40 agonism. A range of conditions were explored in vitro to assess the potential of ANTIBODY 106-222 to induce cytokine release. In some assays, no evidence of cytokine release was observed; however, under the most sensitive assay conditions using immobilized ANTIBODY 106-222 in pre-activated CD4+ T cells, increased cytokine production (IL-2, IFN γ , and tumor necrosis factor alpha [TNF- α]) was observed. In vivo cytokine monitoring in repeat dose monkey toxicology studies (doses of 0.03-100 mg/kg) and the CT26 mouse tumor model did not demonstrate excessive cytokine release. These data suggest that ANTIBODY 106-222 is not a super agonist and has a low potential for severe cytokine release syndrome (sCRS).

MABEL determination: The MABEL assessment is based on receptor occupancy as characterized by in vitro binding experiments with primary human target cells. Using receptor occupancy as a surrogate for biological activity is appropriate as receptor occupancy provides a general characterization of ANTIBODY 106-222 signaling in target cells and since individual biological effects of ANTIBODY 106-222 are not yet prioritized in terms of their impact on subject safety. The binding of ANTIBODY 106-222 to its ligand and target cells was characterized in several experiments yielding different binding coefficients depending on the degree of cellular activation and OX40 expression.

OX40 expression in blood is limited to the small subset of recently activated CD4+ and CD8+ cells [Croft, 2010]. In ex vivo studies, the frequency of OX40+ T-cells in human blood or PBMC cultures from healthy volunteers ranged from <1%. Also in cancer patients lower OX40 expression was observed in peripheral blood compared to tumor sites and draining lymph nodes [Vetto, 1997]. Based on these findings, the unstimulated human whole-blood binding assay, which exhibited a low but quantifiable level of OX40 binding, was considered most representative for the OX40 response in peripheral blood and was selected to determine the MABEL. ANTIBODY 106-222 was shown to bind to lymphocytes in whole blood in a concentration-

dependent manner with an EC50 value of 1.45 $\mu\text{g}/\text{mL}$ (pooled data derived from 4 donors). Using the Cmax of 0.07 $\mu\text{g}/\text{mL}$ predicted for the first 0.003 mg/kg dose, a receptor occupancy of 5% is predicted based on the binding to lymphocytes in human whole blood (the MABEL dose corresponding to 10% receptor occupancy in this experiment is 0.007 mg/kg). Note, that the receptor occupancy calculations here and below assume that the difference between free and total ANTIBODY 106-222 is negligible, i.e. receptor occupancy = $C_{\text{max}} / (EC_{50} + C_{\text{max}})$. This approach yields higher receptor occupancy and more conservative dose estimates compared to an approach which assumes a specific level of target expression.

OX40 expression in certain tissues may be higher than observed in peripheral blood; for example, in the spleen and additionally in the microenvironment of a tumor. In normal cynomolgus monkeys, where ANTIBODY 106-222 was well tolerated, a frequency of 2-30% of OX40+ lymphocytes was detected in lymphoid tissue (spleen). In the tumor and draining lymph nodes of cancer patients the frequency of activated CD4+/OX40+ T-cells was reported to be up to 30% in tumor and draining lymph node samples compared with 0% in peripheral blood [Vetto, 1997]. Data from stimulated OX40 binding experiments, in which cells are activated and OX40 expression is highly upregulated, are therefore expected to be more representative for the OX40 response to ANTIBODY 106-222 in tumor and draining lymph node tissues. For stimulated PBMC half-maximal binding was typically achieved between 0.1 $\mu\text{g}/\text{mL}$ to 0.3 $\mu\text{g}/\text{mL}$ and similarly, ANTIBODY 106-222 bound to activated human CD4+ T cells with a mean EC50 value of 0.19 $\mu\text{g}/\text{mL}$. Monoclonal antibody concentrations in peripheral tissues are expected to be substantially lower than time-matched concentrations in serum [Tabrizi, 2010; Shah, 2013]. Assuming an antibody biodistribution coefficient of 25% [Shah, 2013] for a given tumor tissue, the peak concentrations are expected to be ≤ 0.018 $\mu\text{g}/\text{mL}$ resulting in $\leq 8\%$ receptor occupancy when using a binding EC50 of 0.2 $\mu\text{g}/\text{mL}$ for the stimulated PBMC or CD4+ T cell assays. Applying the stimulated binding EC50 of 0.2 $\mu\text{g}/\text{mL}$ to the Cmax of 0.07 $\mu\text{g}/\text{mL}$ in peripheral blood yields predicted receptor occupancy of 26%. However, this prediction is not considered representative of the clinical OX40 response as the stimulated assays had much larger degrees of cellular activation than would be expected in patient blood.

In summary, the starting dose of 0.003 mg/kg is expected to result in less than 10% occupancy of OX40 receptors in blood (based on unstimulated binding experiments) and tumor tissues and draining lymph nodes (based on stimulated binding experiments), which is generally assumed a safe level of receptor engagement for immune agonists.

Clinical experience with OX40 agonism: Clinical experience with MEDI6469 did not show cytokine release syndrome (CRS) or other severe toxicity in subjects dosed with single cycles of 0.1 to 2 mg/kg of the antibody [Curti, 2013]. MEDI6469 did not show a significant dose-dependent difference in efficacy for single cycles of 0.1, 0.4, and 2 mg/kg dose levels. Maximal biological activity as defined by stimulation of T-cell proliferation measured by changes in Ki-67 expression in response to MEDI6469 dosing was achieved at the 0.4 mg/kg dose level. With an EC50 of 0.048 $\mu\text{g/mL}$ for binding as measured by ELISA [Curti, 2013], the potency of MEDI6469 appears to be comparable to (or possibly higher than) that of ANTIBODY 106-222. Using the same approach as specified above to predict Cmax and binding, the starting dose of 0.1 mg/kg MEDI6469 with a binding constant of 0.048 $\mu\text{g/mL}$ [Curti, 2013] leads to a predicted receptor occupancy of 98% in the central circulation at Cmax, further supporting the starting dose of ANTIBODY 106-222 of 0.003 mg/kg.

Potential for clinical benefit: Efficacy for agonizing the OX40 pathway has been assessed with an anti-OX86 antibody, a surrogate mAb to murine OX40. In the CT26 mouse colon cancer model, robust efficacy was observed at doses as low as 5 μg per mouse in the most sensitive experiments (Figure 13b). Assuming similar potency between anti-OX86 and ANTIBODY 106-222, the HED is estimated to be 0.015 or 0.027 mg/kg assuming that AUC drives efficacy and using a clearance based scaling approach with allometric scaling exponents of 0.67 (body surface area normalization) or 0.75 (quarter power scaling) for systemic clearance and mouse and human weights of 0.025 kg and 70 kg, respectively. Assuming that Cmax drives efficacy and using a volume of distribution based scaling approach the HED is estimated to be 0.2 mg/kg assuming an allometric scaling exponent of 1 for volume of distribution. The starting dose is therefore predicted to lie at the lower end of the predicted therapeutic dose range in subjects.

Dosing frequency: In a clinical study with MEDI6469 a key biomarker, Ki-67 expression on T cells exhibited maximal stimulation at about 14 days after the first dose [Curti, 2013]. The Ki-67 stimulation declined by about 28 days after the first dose (or 23 days after the last dose in the cycle). Guided by these biomarker dynamics and the expectation of standard IgG1 mAb PK (terminal elimination half-life longer than 2 weeks), a dosing frequency of Q3W for ANTIBODY 106-222 was chosen. This dosing frequency also increases subject convenience for administration with the planned combination partner pembrolizumab which is also dosed Q3W per label.

In summary, the proposed 0.003 mg/kg starting dose of ANTIBODY 106-222 Q3W in study 201212 is anticipated to be safe and tolerable. Subjects will undergo extended clinical observation following ANTIBODY 106-222 dosing and other measures to monitor and treat all subjects for any possible excessive cytokine release.

5 **Part 2: Starting Dose**

No drug-drug interaction affecting the PK for the combination is expected for ANTIBODY 106-222 and pembrolizumab. As a checkpoint inhibitor rather than a direct immune-stimulator pembrolizumab is not expected to substantially increase the potential for excessive cytokine release in response to ANTIBODY 106-222, but
10 specific synergies cannot be excluded a priori. In the CT26 mouse efficacy experiments, no differences with regard to indicators of excessive immune stimulation were noted in the anti-PD-1 combination versus the OX86 monotherapy groups at all the dose levels tested. Similar to monotherapy, robust efficacy was
15 seen for OX86 doses as low as 5 µg per mouse (in combination with 200 µg anti-PD-1 mouse homolog) and combination dosing was well tolerated.

The starting dose of ANTIBODY 106-222 for the Part 2/Combination Dose-Escalation phase will be at least 2 dose levels below a dose that has been shown to be tolerated during the monotherapy dose escalation. This determination is based on an allowance that a 10-fold lower dose of ANTIBODY 106-222 should provide a
20 sufficient safety margin when pembrolizumab is added.

The dose of pembrolizumab will be 200 mg IV Q3W.

Benefit: Risk Assessment

The following section outlines the risk assessment and mitigation strategy for this protocol.

25 In toxicology studies performed in monkeys with ANTIBODY 106-222, no adverse effects were observed. Additionally, nonclinical data with ANTIBODY 106-222 and a rat surrogate antibody in mouse models do not suggest CRS as a significant concern (limitations of nonclinical models are recognized).

Another agonistic anti-OX40 antibody was previously administered in subjects
30 without evidence of severe cytokine release [Curti, 2013]. MEDI6469 (mouse IgG1 mAb currently being developed by Medimmune/AZ) was very well tolerated for doses of 0.1 to 2 mg/kg (single cycle of 3 doses per week), with transient lymphopenia and Grade 1/2 flu-like symptoms as primary toxicities. The proposed starting dose for ANTIBODY 106-222 is well below those administered in the study using MEDI6469.

In addition, OX40 is expressed on a small proportion of T cells, primarily recently activated effector T cells and Tregs. This significantly limits the potential for sCRS. OX40 is not a super agonist and requires stimulation through TCR and CD28 for optimal T-cell activation.

- 5 Due to the mechanism of action of ANTIBODY 106-222, toxicities commonly associated with other immune-modulating agents such as checkpoint inhibitors may also occur after administration of ANTIBODY 106-222. However, these toxicities were not seen in nonclinical models. Table 7 outlines the risk assessment and mitigation strategy for this protocol.

Risk Assessment

Table 7 Risk Assessment

Potential Risk of Clinical Significance	Summary of Data/Rationale for Risk	Mitigation Strategy
Hypersensitivity reaction	<ul style="list-style-type: none"> Risk for infusion reactions and hypersensitivity is inherent to many mAbs [Brennan, 2010] 	<p>Subjects Severe hypersensitivity to another mAb are not eligible for participation in this study</p> <p>See Management of Infusion Reactions or Severe Cytokine Release Syndrome (sCRS) Section</p>
Severe cytokine release syndrome (sCRS)	<ul style="list-style-type: none"> OX40 is a costimulatory receptor that can stimulate proliferation and activation of T cells ANTIBODY 106-222 is an OX40 agonist that can costimulate T-cell activation in the context of TCR signal and CD28 cosignal. An anti-CD28 super agonist (TGN1412) induced rapid-onset catastrophic CRS in 6 healthy volunteers 	<p>See Management of Infusion Reactions or Severe Cytokine Release Syndrome (sCRS)Section</p>
Other immune-related AEs	<p>Inflammatory AEs such as diarrhea/colitis, pneumonitis, and hepatotoxicity are well established after treatment with immune-modulating agents, and are consistent with the immune-stimulatory mechanism of action of these agents.</p>	<ul style="list-style-type: none"> Subjects with the following medical history are not eligible for participation in this study <ul style="list-style-type: none"> Toxicity (\geqGrade 3) related to prior immunotherapy leading to study treatment discontinuation Severe hypersensitivity to another mAb
<p>TCR = T-cell receptor; mAb = Monoclonal antibody; AEs = Adverse Events</p>		

Overall Benefit:Risk Conclusion

- 5 This is an open-label, dose escalation study and the FTIH study of this agent to be conducted in subjects with relapsed/refractory solid tumors for which no standard therapies are anticipated to result in a durable remission. ANTIBODY 106-222 has nonclinical activity in vivo, however it is unknown whether ANTIBODY 106-222 will have clinical activity, thus any potential beneficial effect for an individual subject
- 10 attributable to ANTIBODY 106-222 is unknown. Data obtained in this study may help identify individuals more likely to benefit or have side effects from ANTIBODY 106-

222. Study participants may benefit from the medical tests and screening performed during the study.

Selection of Study Population and Withdrawal Criteria

- 5 Deviations from inclusion and exclusion criteria are not allowed because they can potentially jeopardize the scientific integrity of the study, regulatory acceptability, or subject safety. Therefore, adherence to the criteria as specified in the protocol is essential.

Inclusion Criteria

- 10 Subjects eligible for enrollment in the study must meet all of the following criteria:
1. Provide signed, written informed consent.
 2. Male and female subjects, age ≥ 18 years (at the time consent is obtained).
 3. Histological documentation of locally advanced, recurrent or metastatic solid malignancy that has progressed after standard therapy appropriate for the
15 specific tumor type, or for which standard therapy has proven to be ineffective, intolerable, or is considered inappropriate. Subjects should not have received more than 5 prior lines of therapy for advanced disease including both standards of care and investigational therapies. Subjects whose cancers harbor molecular alterations for which targeted therapy is standard of care should have received
20 health authority-approved appropriate targeted therapy for their tumor types before enrollment.
 4. Subjects with the following solid tumors are eligible for screening: NSCLC, SCCHN, RCC, melanoma, bladder, STS, TNBC, and MSI CRC.
 5. A biopsy of the tumor tissue obtained at anytime from the initial diagnosis to
25 study entry. Although a fresh biopsy obtained during screening is preferred, archival tumor specimen is acceptable if it is not feasible to obtain a fresh biopsy. For Part 1B and Part 2B, any archival tumor specimen must have been obtained within 3 months of starting study drug.
 6. Measurable disease per RECIST version 1.1. Palpable lesions that are not
30 measurable by radiologic or photographic evaluations may not be utilized as the only measurable lesion.
 7. Eastern Cooperative Oncology Group (ECOG) performance status (PS) 0-1.
 8. Life expectancy of at least 12 weeks.

9. Adequate organ function (see **Table 8**):

Table 8 Definitions for Adequate Organ Function

System	Laboratory Values
Hematologic	
ANC	≥1.5x10 ⁹ /L
Lymphocyte count	>1,000/mm ³
Hemoglobin	≥9 g/dL
Platelets	≥100x10 ⁹ /L
Hepatic	
Total bilirubin <i>For subjects with Gilbert's Syndrome (only if direct bilirubin ≤35%)</i>	≤1.5xULN ≤3.0xULN
ALT	≤1.5xULN
Renal	
Serum Creatinine OR Calculated CrCl ^a	≤1.5xULN > 50 mL/min
Endocrine	
TSH ^b	WNL

ANC = Absolute neutrophil count; ALT = alanine aminotransferase; CrCl = creatinine clearance; TSH = thyroid-stimulating hormone; ULN = upper limit of normal; WNL = within normal limits

- a. Estimated CrCl should be calculated using the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) formula
- b. If TSH is not within normal limits at baseline, the subject may still be eligible if total T3 or free T3 and free T4 are within the normal limits.

10. QT duration corrected for heart rate by Fridericia's formula (QTcF) <450 msec or QTcF <480 msec for subjects with bundle branch block.

5 The QTcF is the QT interval corrected for heart rate according to Fridericia's formula, machine-read or manually over-read.

11. In France, a subject will be eligible for inclusion in this study only if either affiliated to or a beneficiary of a social security category.

12. Female subject: is eligible to participate if she is not pregnant (as confirmed by a negative serum beta-human chorionic gonadotrophin (β-hCG) test), not lactating, and at least one of the following conditions applies:

- a. Non-reproductive potential defined as:

10

- Pre-menopausal females with one of the following:
 - Documented tubal ligation
 - Documented hysteroscopic tubal occlusion procedure with follow-up confirmation of bilateral tubal occlusion
- 5
- Hysterectomy
 - Documented Bilateral Oophorectomy
- Postmenopausal defined as 12 months of spontaneous amenorrhea [in questionable cases a blood sample with simultaneous follicle stimulating hormone (FSH) and estradiol levels consistent with menopause (refer to laboratory reference ranges for confirmatory levels)]. Females on hormone replacement therapy (HRT) and whose menopausal status is in doubt will be required to use one of the highly effective contraception methods if they wish to continue their HRT during the study. Otherwise, they must discontinue HRT to allow confirmation of post-menopausal status prior to study enrolment.
- 10
- 15

b. Reproductive potential and agrees to follow one of the options listed below in the GSK Modified List of Highly Effective Methods for Avoiding Pregnancy in Females of Reproductive Potential (FRP) requirements from 30 days prior to the first dose of study medication and until 120 days after the last dose of study medication and completion of the follow-up visit.

20

GSK Modified List of Highly Effective Methods for Avoiding Pregnancy in Females of Reproductive Potential (FRP)

This list does not apply to FRP with same sex partners, when this is their preferred and usual lifestyle or for subjects who are and will continue to be abstinent from penile-vaginal intercourse on a long term and persistent basis.

25

- Contraceptive subdermal implant with a <1% rate of failure per year, as stated in the product label
 - Intrauterine device or intrauterine system with a <1% rate of failure per year, as stated in the product label [Hatcher, 2007]
 - Oral Contraceptive, either combined or progestogen alone [Hatcher, 2007]
 - Injectable progestogen [Hatcher, 2007]
- 30

- Contraceptive vaginal ring [Hatcher, 2007]
- Percutaneous contraceptive patches [Hatcher, 2007]
- Male partner sterilization with documentation of azoospermia prior to the female subject's entry into the study, and this male is the sole partner for that subject [Hatcher, 2007].

These allowed methods of contraception are only effective when used consistently, correctly and in accordance with the product label. The investigator is responsible for ensuring that subjects understand how to properly use these methods of contraception.

- 10 13. Male subjects with female partners of child bearing potential must comply with the following contraception requirements from the time of first dose of study medication until 120 days after the last dose of study medication.
- a. Vasectomy with documentation of azoospermia.
 - b. Male condom plus partner use of one of the contraceptive options below:

- Contraceptive subdermal implant with a <1% rate of failure per year, as stated in the product label [Hatcher, 2007]
- Intrauterine device or intrauterine system with a <1% rate of failure per year, as stated in the product label [Hatcher, 2007]
- Oral Contraceptive, either combined or progestogen alone [Hatcher, 2007]
- Injectable progestogen [Hatcher, 2007]
- Contraceptive vaginal ring [Hatcher, 2007]
- Percutaneous contraceptive patches [Hatcher, 2007]

These allowed methods of contraception are only effective when used consistently, correctly and in accordance with the product label. The investigator is responsible for ensuring that subjects understand how to properly use these methods of contraception.

Exclusion Criteria

A subject will not be eligible for inclusion in this study if any of the following criteria apply:

- 30 1. Prior treatment with the following agents (from last dose of prior treatment to first dose of ANTIBODY 106-222):

- TNFR agonists, including OX40, CD27, CD137 (4-1 BB), CD357 (GITR): at any time.
 - Checkpoint inhibitors, including PD-1 , PD-L1, and CTLA-4 inhibitors: within 8 weeks.
- 5 · Other anticancer therapy, including chemotherapy, targeted therapy, and biological therapy: within 4 weeks or 5 half lives of the drug, whichever is shorter. Prior radiation therapy is permissible if at least one unirradiated measurable lesion is available for assessment via RECIST version 1.1. A wash out of at least two weeks before start of study drug for palliative
- 10 radiation to the extremities for osseous bone metastases and 4 weeks for radiation to the chest, brain, or visceral organs is required.
- Investigational therapy: if the subject has participated in a clinical trial and has received an investigational product: within 30 days or 5 half-lives of the investigational product (whichever is shorter). At least 14 days must have
- 15 passed between the last dose of prior investigational agent and the first dose of study drug. Note: if the agent is a TNFR agonist or a checkpoint inhibitor, the above exclusions take precedence.
2. Prior treatment with Receptor activator of nuclear factor-kappaB ligand (RANKL) inhibitors (e.g., denosumab) within 4 weeks of the start of study drug.
- 20 3. Prior allogeneic or autologous bone marrow transplantation or other solid organ transplantation.
4. Toxicity from previous treatment:
- Subjects with \geq Grade 3 toxicity related to prior immunotherapy leading to study treatment discontinuation are not eligible.
- 25 · Subjects whose toxicity related to prior treatment has not resolved to $<$ Grade 1 (except alopecia, or endocrinopathy managed with replacement therapy) are not eligible.
5. Malignancy other than disease under study, except as noted below:
- Any other malignancy from which the subject has been disease-free for
- 30 more than 2 years and, in the opinion of the principal investigators and GSK Medical Monitor, will not affect the evaluation of the effects of this clinical trial treatment on currently targeted malignancy, can be included in this clinical trial.
6. Central nervous system (CNS) metastases, with the following exception:

- Subjects who have previously-treated CNS metastases, are asymptomatic, and have had no requirement for steroids or anti-seizure medication for 2 weeks prior to first dose of study drug.

Note: Subjects with carcinomatous meningitis are excluded regardless of clinical stability.

5

7. Has received transfusion of blood products (including platelets or red blood cells) or administration of colony stimulating factors (including granulocyte colony-stimulating factor [G-CSF], granulocyte-macrophage colony-stimulating factor [GM-CSF], recombinant erythropoietin) within 2 weeks before the first dose of study drug.

10

8. Major surgery <4 weeks before the first dose of study treatment. Subjects must have also fully recovered from any surgery (major or minor) and/or its complications before initiating study treatment.

9. Active autoimmune disease that has required systemic treatment within the last 2 years (i.e., with use of disease modifying agents, corticosteroids or immunosuppressive drugs). Replacement therapy (e.g., thyroxine or physiologic corticosteroid replacement therapy for adrenal or pituitary insufficiency, etc.) is not considered a form of systemic treatment.

15

10. Concurrent medical condition requiring the use of systemic immunosuppressive medications within 28 days before the first dose of study treatment. Physiologic doses of corticosteroids for treatment of endocrinopathies or steroids with minimal systemic absorption, including topical, inhaled, or intranasal corticosteroids may be continued if the subject is on a stable dose.

20

11. Active infection, known human immunodeficiency virus infection, or positive test for hepatitis B surface antigen or hepatitis C.

25

12. Current active liver or biliary disease (with the exception of Gilbert's syndrome or asymptomatic gallstones, liver metastases, or otherwise stable chronic liver disease per investigator assessment).

NOTE: Stable chronic liver disease should generally be defined by the absence of ascites, encephalopathy, coagulopathy, hypoalbuminemia, esophageal or gastric varices, persistent jaundice, or cirrhosis.

30

13. Known drug or alcohol abuse.
14. Recent history (within the past 6 months) of acute diverticulitis, inflammatory bowel disease, intra-abdominal abscess, or gastrointestinal obstruction

15. Receipt of any live vaccine within 4 weeks.
16. Recent history of allergen desensitization therapy within 4 weeks of starting study treatment.
17. History of severe hypersensitivity to other mAbs.
- 5 18. History or evidence of cardiovascular risk including any of the following:
 - Recent (within the past 6 months) history of serious uncontrolled cardiac arrhythmia or clinically significant ECG abnormalities including second degree (Type II) or third degree atrioventricular block.
 - Documented cardiomyopathy, myocardial infarction, acute coronary syndromes (including unstable angina pectoris), coronary angioplasty, stenting, or bypass grafting within the past 6 months before enrollment.
 - Documented congestive heart failure (Class II, III, or IV) as defined by the New York Heart Association functional classification system (NYHA, 1994).
 - Recent (within the past 6 months) history of symptomatic pericarditis.
- 10 19. History of idiopathic pulmonary fibrosis, pneumonitis, interstitial lung disease, or organizing pneumonia, or evidence of active, non-infectious pneumonitis. Note: post-radiation changes in the lung related to prior radiotherapy and/or asymptomatic radiation-induced pneumonitis not requiring treatment may be permitted if agreed by the investigator and Medical Monitor.
- 15 20. Recent history (within 6 months) of uncontrolled symptomatic ascites or pleural effusions.
- 20 21. Any serious and/or unstable pre-existing medical, psychiatric disorder, or other condition that could interfere with the subject's safety, obtaining informed consent, or compliance to the study procedures.
- 25 22. Is or has an immediate family member (e.g., spouse, parent/legal guardian, sibling or child) who is investigational site or sponsor staff directly involved with this trial, unless prospective IRB approval (by chair or designee) is given allowing exception to this criterion for a specific subject.

Screening Failures

- 30 In order to ensure transparent reporting of screen failure subjects, meet the Consolidated Standards of Reporting Trials (CONSORT) publishing requirements, and respond to queries from Regulatory authorities, a minimal set of screen failure

information is required including Demography, Screen Failure details, Eligibility Criteria, and any SAE.

Withdrawal/Stopping Criteria

5 Subjects will receive study treatment for the scheduled time period, unless one of the following occurs earlier: disease progression (as determined by irRECIST), death, or unacceptable toxicity, including meeting stopping criteria for liver chemistry defined in Liver Chemistry Stopping Criteria Section. In addition, study treatment might be permanently discontinued for any of the following reasons:

- Deviation(s) from the protocol
- 10 • Request of the subject or proxy (withdrawal of consent by subject or proxy)
- Investigator's discretion
- Subject is lost to follow-up
- Study is closed or terminated
- Subjects with infusion delays >49 days (i.e., 2 missed doses + 7 days)
- 15 should discontinue study drug(s) unless the treating investigator and Sponsor/Medical Monitor agree there is strong evidence supporting continued treatment.

Note: Subjects who require permanent discontinuation of one of the study treatments due to toxicity in a given treatment combination must

20 permanently discontinue both treatments (unless continued treatment with the remaining agent is agreed upon by the treating investigator and Sponsor/Medical Monitor) in that combination and the reason for discontinuation must be recorded. The treatment discontinuation visit (TDV) should be conducted within 30 days of the decision to discontinue study

25 drug(s).

- Intercurrent illness that prevents further administration of study treatment(s)
- Criteria for discontinuation of study drug(s) as described in Dose and Safety Management Guidelines Section (Safety Management Guidelines) have been met
- 30 • Criteria described in QTcF Stopping Criteria Section have been met
- Criteria described in Stopping Rules for Clinical Deterioration Section have been met

The primary reason study treatment was permanently discontinued must be documented in the subject's medical records and electronic case report form (eCRF).

All subjects who permanently discontinue study treatment without disease progression will be followed for disease progression according to the protocol schedule until;

5

- New anticancer therapy is initiated
- Disease progression
- Death

A subject with a CR requires confirmation of response via imaging at least 4 weeks after the first imaging showed a CR. Early discontinuation of ANTIBODY 106-222 and/or pembrolizumab may be considered for subjects who have attained a confirmed complete response per RECIST 1.1 that have been treated for at least 6 months and had at least two treatments beyond the date when the initial CR was declared.

10

Once a subject has permanently discontinued from study treatment, the subject will not be allowed to be re-treated, except as described in Study Treatment Restart or Rechallenge Section and in the following scenario. Re-treatment of subjects who progress after a best overall response of PR or CR may be considered on a case-by-case basis after discussion between the treating investigator and the Sponsor/Medical Monitor.

20

All subjects who permanently discontinue study treatment will be followed for a minimum of 6 months from the date of the last dose. The follow-up period for safety assessments will be a minimum of 3 months from the date of the last dose. The post treatment follow-up period includes disease assessments every 12 weeks until documented PD. Following PD, subjects will be contacted every 3 months to assess survival status.

25

If the subject voluntarily discontinues from treatment due to toxicity, 'AE' will be recorded as the primary reason for permanent discontinuation on the eCRF.

All subjects who discontinue from study treatment will undergo safety assessments at the time of discontinuation and during post-study treatment follow-up as specified in the Time and Events Table.

30

Liver Chemistry Stopping Criteria

Liver chemistry stopping and increased monitoring criteria have been designed to assure subject safety and evaluate liver event etiology (in alignment with the Food and Drug Administration [FDA] pre-marketing clinical liver safety guidance).

- 5 <http://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM174090.pdf>

If any of the following criteria are met, study treatment must be discontinued:

Liver Chemistry Stopping Criteria –Liver Stopping Event	
ALT-absolute	ALT ≥ 5xULN
ALT Increase	ALT ≥ 3xULN but <5xULN persists for ≥4 weeks
Bilirubin^{1, 2}	ALT ≥ 3xULN and bilirubin ≥ 2xULN (>35% direct bilirubin)
INR²	ALT ≥ 3xULN and INR>1.5, if INR measured
Cannot Monitor	ALT ≥ 3xULN but <5xULN and cannot be monitored weekly for ≥4 weeks
Symptomatic³	ALT ≥ 3xULN associated with symptoms (new or worsening) believed to be related to liver injury or hypersensitivity

1. Serum bilirubin fractionation should be performed if testing is available. If serum bilirubin fractionation is not immediately available, discontinue study treatment for that subject if ALT ≥ 3xULN **and** bilirubin ≥ 2xULN. Additionally, if serum bilirubin fractionation testing is unavailable, **record presence of detectable urinary bilirubin on dipstick**, indicating direct bilirubin elevations and suggesting liver injury.
2. All events of ALT ≥ 3xULN **and** bilirubin ≥ 2xULN (>35% direct bilirubin) or ALT ≥ 3xULN **and** INR>1.5, if INR measured which may indicate severe liver injury (possible Hy's Law), **must be reported as an SAE (excluding studies of hepatic impairment or cirrhosis)**; INR measurement is not required and the threshold value stated will not apply to subjects receiving anticoagulants
3. New or worsening symptoms believed to be related to liver injury (such as fatigue, nausea, vomiting, right upper quadrant pain or tenderness, or jaundice) or believed to be related to hypersensitivity (such as fever, rash or eosinophilia)

For additional guidance on the management of hepatotoxicity, please see

- 10 Management of Hepatotoxicity Section.

Study Treatment Restart or Rechallenge

If a subject meets liver chemistry stopping criteria do not restart/rechallenge the subject with study treatment unless:

- GSK Medical Governance approval is granted
- 5 • Ethics and/or IRB approval is obtained, if required, and
- Separate consent for treatment restart/rechallenge is signed by the subject

QTcF Stopping Criteria

- 10 • The QTcF correction formula *must* be used for *each individual subject* to determine eligibility for and discontinuation from the study. This formula may not be changed or substituted once the subject has been enrolled.
- The QTcF should be based on single or averaged QTcF values of triplicate ECGs obtained over a brief (e.g., 5-10 minute) recording period.

If a subject meets either of the following criteria, they must be discontinued.

- 15 • QTcF >500 msec

OR

- Change from baseline of QTcF >60 msec

For subjects with underlying **bundle branch block**, follow the discontinuation criteria listed below:

Baseline QTcF with Bundle Branch Block	Discontinuation QTcF with Bundle Branch Block
<450 msec	≥500 msec
450 – 480 msec	≥530 msec

QTcF = QT duration corrected for heart rate by Fridericia's formula

20

Stopping Rules for Clinical Deterioration

Accumulating clinical evidence indicates that the emergence of objective responses to agents that activate antitumor immune responses may follow delayed kinetics of weeks or months, and can be preceded by initial apparent progression with
 25 appearance of new lesions or some enlarging lesions while certain index lesions are regressing ("mixed response"). Therefore, it is reasonable to allow a subject

experiencing apparent progression to continue to receive treatment until progression is confirmed at the next imaging assessment at least 4 weeks later. These considerations should be balanced by clinical judgment as to whether the subject is clinically deteriorating and unlikely to receive any benefit from continued treatment.

- 5 Such deterioration will be assessed to have occurred after a clinical event that, in the investigator's opinion, is attributable to disease progression, is unlikely to reverse with continued study treatment and therefore indicates that the subject is not benefiting from study treatment **and** cannot be managed by the addition of supportive care (e.g., bisphosphonates and/or bone directed radiotherapy, thoracentesis, or
- 10 paracentesis for accumulating effusions). The decision to stop treatment should be discussed with the Sponsor's Medical Monitor. Examples of events that may, in the investigator's opinion, indicate a lack of clinical benefit include, but are not limited to, the following:
- ECOG PS decrease of at least 2 points from baseline
 - 15 • Skeletal related events defined by the following:
 - pathologic bone fracture in the region of cancer involvement
 - cancer related surgery to bone, and/or
 - spinal cord or nerve root compression
 - Development of new CNS metastases
 - 20 • Any setting where the initiation of new antineoplastic therapy has been deemed beneficial to the subject even in the absence of any such documented clinical events

Subject and Study Completion

25 A subject will be considered to have completed the study if they complete screening assessments, received at least one dose of study treatment(s), and the TDV, or are receiving ongoing study treatment at the time of the Sponsor's decision to close the study.

For both Part 1 (dose-escalation phase) and Part 2 (expansion cohort), a completed subject is one who has discontinued study treatment for reasons listed in

30 Withdrawal/Stopping Criteria Section and completed a TDV or has died while receiving study treatment.

The end of the study is defined as the last subject's last visit.

STUDY TREATMENT**Investigational Product and Other Study Treatment**

The term 'study treatment' is used throughout the protocol to describe any combination of products received by the subject as per the protocol design. Study
5 treatment may therefore refer to the individual study treatments or the combination of those study treatments.

ANTIBODY 106-222 will be intravenously administered to subjects at each study site under medical supervision of an investigator or designee. When administered in combination with pembrolizumab in Part 2 of the study, ANTIBODY 106-222 will be
10 administered first. The date and time of administration will be documented in the source documents and reported in the eCRF.

In Part 2 of the study, pembrolizumab (Table 9) will be intravenously administered to subjects starting at least 1 hour and no more than 2 hours following the end of the ANTIBODY 106-222 infusion under medical supervision of an investigator or
15 designee. The date and time of administration will be documented in the source documents and reported in the eCRF.

For drug administered by an investigator or designee, the dose of study treatment and study participant identification will be confirmed at the time of dosing by a member of the study site staff other than the person administering the study
20 treatment. The specific time of study treatment administration (e.g., time of the week for first administration; time of the day for each administration) should take into consideration PK sampling time points and study visit procedures. Infusions may be administered up to 72 hours before or after the planned date of treatment for administrative reasons only (e.g., scheduling an infusion around a holiday).

25 The Study Reference Manual (SRM) contains specific instructions for the calculation of ANTIBODY 106-222 doses, and for the preparation of both ANTIBODY 106-222 and pembrolizumab infusions, and administration of these infusions.

Table 9 Investigational Product Dosage/Administration

	Study Treatment	
Product name:	ANTIBODY 106-222	Pembrolizumab
Dosage form:	Lyophilized powder for reconstitution	Solution for infusion or Lyophilized powder for reconstitution ^c
Unit dose strength(s)/ Dosage level(s):	40 mg lyophilized powder Dose range: 0.003 to ≤10 mg/kg	100 mg/ 4 mL solution or 50 mg lyophilized powder Dose range: 200 mg
Route of Administration	IV infusion – 30 min ^{a, b}	IV infusion – 30 min ^a
Frequency of Administration	Q3W ^b	Q3W ^b
Dosing instructions:	Make every effort to target infusion timing to be as close to 30 min as possible. However, given the variability of infusion pumps from site to site, a window of -5 min and +10 min is permitted (i.e., infusion time is 30 min: -5 min/+10 min or 25 to 40 min).	Make every effort to target infusion timing to be as close to 30 min as possible. However, given the variability of infusion pumps from site to site, a window of -5 min and +10 min is permitted (i.e., infusion time is 30 min: -5 min/+10 min or 25 to 40 min).
Manufacturer/ source of procurement	GSK	Merck

- a. Infusions may be prolonged in the event of an infusion reaction. If multiple subjects experience clinically significant infusion reactions, the infusion rate may be slowed for all future administrations of study drug(s) for all subjects. Should this global change in infusion rate be required, it will be communicated to the sites in writing.
- b. Dose levels 1 and 2 will be administered less than 30 min, please refer to the SRM for infusion directions.
- c. The dosage form of pembrolizumab will be either solution or lyophilized powder for all subjects/doses. This will be decided and communicated prior to start of the combination phase of the study.

Q3W = Every 3 weeks; GSK = GlaxoSmithKline

Treatment Assignment

5 Subjects will be identified by a unique subject number that will remain consistent for the duration of the study.

Upon completion of all the required screening assessments, eligible subjects will be registered into a GSK designated registration and medication ordering system, by the investigator or authorized site staff.

10 Subjects will be assigned to study treatment in the order in which they complete screening assessments (i.e., the study is not randomized).

Planned Dose Adjustments**Dose and Safety Management Guidelines**

Distinct safety management guidelines, including dose modification algorithms, are provided in this section for subjects treated with:

- 5 . ANTIBODY 106-222
 - Pembrolizumab

Please note: In instances where the investigator is directed to permanently discontinue study treatment, these instructions are mandatory as described in Withdrawal/Stopping Criteria Section.

- 10 An overview of the available dose modification guidelines is presented in Table 10.

All AEs are to be graded according to NCI-CTCAE (version 4.0) (<http://ctep.cancer.gov>). All dose modifications and the reason(s) for the dose modification must be documented in the eCRF.

Table 10 General Dose Modification and Management Guidelines for Drug-related Non-Hematologic Adverse Events Not Otherwise Specified

Severity	Management	Follow-up
Grade 1	<ul style="list-style-type: none"> Administer symptomatic treatment as appropriate Continue study drug(s)^a 	<p><i>Symptoms resolve to baseline within 7 days:</i></p> <ul style="list-style-type: none"> Provide close follow-up to evaluate for increased severity <p><i>Symptoms ongoing >7 days:</i></p> <ul style="list-style-type: none"> Consider following algorithm for Grade 2 events
Grade 2	<ul style="list-style-type: none"> Administer symptomatic treatment Investigate etiology Consider consulting subspecialist, biopsy, and/or diagnostic procedure Discuss with Sponsor/Medical Monitor 	<p><i>Symptoms ongoing >7 days or worsening</i></p> <ul style="list-style-type: none"> Consider interruption of study drug(s)^a <ul style="list-style-type: none"> Resume study drug(s) at the same dose if symptoms have improved to Grade 1 and steroid dose is 10 mg prednisone/day or less Consider starting moderate dose systemic corticosteroids (e.g., 0.5 mg/kg/day of prednisone or equivalent) <ul style="list-style-type: none"> Continue steroids until improvement to Grade 1 or resolution; taper steroids as medically appropriate If symptoms continue or worsen to Grade 3-4, see below
Grade 3-4	<ul style="list-style-type: none"> Interrupt study drug(s)^a Consult subspecialist Administer 1-2 mg/kg/day IV methylprednisolone Discuss with Sponsor/Medical Monitor 	<p><i>Symptoms improve to ≤Grade 2:</i></p> <ul style="list-style-type: none"> Continue steroids until improvement to ≤Grade 1 or baseline; taper steroids over at least 1 month, then if symptoms have improved to Grade 1 and steroid dose is 10 mg prednisone/day or less, consider resumption of study drug(s) at the next lower dose level <p><i>Symptoms ongoing:</i></p> <ul style="list-style-type: none"> Discuss further management with consultant and Sponsor/Medical Monitor Consider alternative immunosuppressive therapy

a. If multiple study drugs are administered per protocol, guidance may apply to one or more study agents; discuss management on a case-by-case basis with the Sponsor/Medical Monitor.

General Guidelines for Immune-Related Adverse Events

5 An irAE is defined as a clinically significant AE of any organ that is associated with study treatment exposure, is of unknown etiology, and is consistent with an immune-related mechanism. Special attention should be paid to AEs that may be suggestive of potential irAEs. An irAE can occur shortly after the first dose or several months after the last dose of treatment.

Early recognition of irAEs and initiation of treatment are critical to reduce the risk of complications, since the majority of irAEs are reversible with the use of steroids and other immune suppressants [Pardoll, 2012; Weber, 2012]. If an irAE is suspected, the subject should return to the study site as soon as possible instead of waiting for his/her next scheduled visit. Subjects who experience a new or worsening irAE should be contacted and/or evaluated at the study site more frequently.

If an irAE is suspected, a thorough evaluation should be conducted in an effort to possibly rule out neoplastic, infectious, metabolic, toxin, or other etiologic causes before diagnosing an irAE. Serological, immunological, and histological (biopsy) data should be considered to support the diagnosis of an immune-related toxicity. Consultation with the appropriate medical specialist should be considered when investigating a possible irAE.

Organs most frequently affected by irAEs include the skin and the colon due to their rapid regeneration rate. Less frequently affected tissues are lung, liver, and the pituitary and thyroid glands. Mild irAEs are usually treated symptomatically and do not require dosing delays or discontinuation. Higher grade and persistent lower grade irAEs typically necessitate interrupting or discontinuing treatment and administration of systemic steroids or other immunosuppressive agents (such as TNF blockers) when systemic steroids are not effective.

General Principles of Immune-Related Adverse Events Identification and Evaluation

Before administration of study treatment, investigators are to review a subject's AEs, concomitant medications, and clinical evaluation results e.g., vital signs, lab results, ECGs, ECOG PS, physical exam findings, responses, etc. as outlined in the Time and Events Table to monitor for new or worsening irAEs and ensure continued dosing is appropriate.

AESI are defined as events of potential immunologic etiology. Such events recently reported after treatment with other immune modulatory therapy include ≥ 2 Grade colitis, uveitis, hepatitis, pneumonitis, \geq Grade 3 diarrhea, endocrine disorders, and specific cutaneous toxicities, as well as other events that may be immune mediated, including but not limited to demyelinating polyneuropathy, myasthenia gravis-like syndrome, non-infectious myocarditis, or non-infectious pericarditis.

For subjects who experience signs or symptoms that may be consistent with an AESI, sites are strongly encouraged to immediately notify the GSK Medical Monitor

of the event via email and/or phone. Documentation of events potentially qualifying for AESI should occur after discussion between the investigator and the Sponsor/Medical Monitor. Even events without clear confirmation of their immunologic etiology may qualify for AESI. Many of these events may also qualify as an SAE. See the SRM for details.

General Guidelines for Clinically Significant Toxicities Not Otherwise Specified

While specific guidance is provided for AESI, it is possible that other clinically significant drug-related toxicities that are not specifically described may occur and warrant dose modification.

10 Investigators must contact the GSK Medical Monitor for all Grade 3 or greater clinically significant non-hematological drug-related toxicities where interruption or permanent discontinuation of study treatment may be warranted according to the guidelines provided in Dose and Safety Management Guidelines Section. Otherwise, investigators are encouraged to contact the GSK Medical Monitor as needed to discuss any case that warrants separate discussion outside of the scope of current guidelines.

20 In case toxicity does not resolve to Grade 0 to 1 within 12 weeks after the last infusion, study treatment should be permanently discontinued after consultation with the Sponsor. With investigator and Sponsor agreement, subjects with a laboratory AE still at Grade 2 after 12 weeks may continue treatment in the trial only if asymptomatic and controlled.

25 For subjects who experience a recurrence of the same AE(s) at the same grade or greater with rechallenge of study treatment, a consultation between the GSK Medical Monitor and investigator should occur to determine whether the subject should continue in the study. Recurrence of an SAE at the same grade or greater with rechallenge of study treatment must result in permanent discontinuation of the study treatment.

Management of Hepatotoxicity

30 In the event of treatment-emergent hepatotoxicity, potential contributing factors such as concomitant medications, viral hepatitis and other infectious causes, choledocholithiasis, and hepatic metastases, and myositis should be investigated. Concomitant medications known to be hepatotoxic which may be contributing to liver dysfunction should be discontinued or replaced with alternative medications to allow for recovery of liver function. As generally understood, AST or ALT >3xULN and

concomitant bilirubin $\geq 2.0 \times \text{ULN}$ ($>35\%$ direct bilirubin), in the absence of elevated alkaline phosphatase or biliary injury, suggests significant liver injury. Record alcohol use on the liver event alcohol intake form in the eCRF. Liver dysfunction must be fully evaluated even if clinical signs and symptoms indicate progression of liver tumor lesions. Imaging studies must be obtained to document potential progression of malignancy. Guidelines for management of emergent hepatotoxicity are shown in Table 11.

Table 11 Guidelines for Dose Modification and Management of Hepatotoxicity

Severity	Management	Follow-up
<p>Grade 1</p> <p>ALT > ULN to 3x ULN</p> <p>OR</p> <p>Total bilirubin > ULN to 1.5x ULN</p>	<p>MONITOR</p> <ul style="list-style-type: none"> Assess liver function at least weekly 	<p>Monitor the subject at least weekly until liver chemistries resolve, stabilize, or return to within baseline</p> <p><i>Hepatotoxicity improves to \leq Grade 1 or baseline or remains stable:</i></p> <ul style="list-style-type: none"> Provide close follow-up to evaluate for increased severity. <p><i>Hepatotoxicity worsens to \geq Grade 2:</i></p> <ul style="list-style-type: none"> see below
<p>Grade 2</p> <p>ALT >3-5x ULN</p> <p>OR</p> <p>Total bilirubin >1.5-3xULN</p>	<p>INTERRUPT/HOLD</p> <ul style="list-style-type: none"> Consider interruption of study drug(s) after discussion with Sponsor/Medical Monitor^a Assess for infection and liver metastases Repeat liver chemistries (include ALT, AST, alkaline phosphatase, bilirubin) and perform liver event follow-up assessments within 24-72 hours (see below) Assess liver function at least twice weekly Discuss with Sponsor/Medical Monitor within 24 hours Consider consultation with a hepatologist 	<p>Monitor the subject at least twice weekly until liver chemistries resolve, stabilize, or return to within baseline</p> <p><i>Hepatotoxicity improves to \leq Grade 1 or baseline within 7 days:</i></p> <ul style="list-style-type: none"> Resume study drug(s) (note: requirements specified in Study Treatment Restart or Rechallenge Section must be met before treatment can restart) Provide close follow-up to evaluate for recurrence <p><i>Hepatotoxicity ongoing > 7 days:</i></p> <ul style="list-style-type: none"> Start systemic corticosteroids (e.g., 0.5 mg/kg/day of prednisone or equivalent) Continue steroids until improvement to \leq Grade 1 or baseline or resolution; taper steroids over at least one month Resume study drug(s) if hepatotoxicity improves to \leq Grade 1 or baseline and steroid dose is 10 mg prednisone/day or less Provide close follow-up to evaluate for recurrence

Severity	Management	Follow-up
		<p>Discontinue study treatment if:</p> <ul style="list-style-type: none"> • Hepatotoxicity continues or worsens to ALT >5x ULN or total bilirubin to >3x ULN (follow instructions below) • ALT ≥ 3xULN but <5xULN persists for ≥4 weeks • ALT ≥ 3xULN but <5xULN and cannot be monitored weekly for ≥4 weeks • Unable to reduce corticosteroid dose to 10 mg or less of prednisone or equivalent per day within 12 weeks <p>Recurrence after rechallenge:</p> <ul style="list-style-type: none"> • Discontinue study drug(s) permanently • Monitor subject closely for clinical signs and symptoms • Perform full panel LFTs a weekly or more frequently if clinically indicated until ALT decreases to ≤ Grade 1 • At the time of the recurrence, complete the eCRF liver event forms.

Severity	Management	Follow-up
<p>Grade 3</p> <p>ALT >5 x ULN OR Total bilirubin >3x ULN</p>	<p>DISCONTINUE</p> <ul style="list-style-type: none"> • Immediately discontinue study drug(s)^a • Assess for infection and liver metastases • Repeat liver chemistries (include ALT, AST, alkaline phosphatase, bilirubin) and perform liver event follow-up assessment within 24 hours (see below) • Assess liver function at least twice weekly • Consider administration of 1-2 mg/kg/day IV methylprednisolone • Discuss with Sponsor/Medical Monitor within 24 hours • Consider consultation with a hepatologist 	<p>Monitor the subject at least twice weekly until liver chemistries resolve, stabilize, or return to within baseline</p> <ul style="list-style-type: none"> • If ALT or bilirubin have not decreased within 72 hours in the absence of other etiologies and steroid treatment has not been administered, initiate treatment with 1-2 mg/kg/day IV methylprednisolone • Continue steroids until improvement to ≤ Grade 1 or baseline or resolution; taper steroids over at least one month • If serum transaminase levels do not decrease 48 hours after initiation of systemic steroids, oral mycophenolate mofetil 500 mg every 12 hours may be given. Infliximab is not recommended due to its potential for hepatotoxicity.
<p>Grade 4</p> <p>ALT >20 x ULN OR Total bilirubin >10x ULN</p> <p>Additional Stopping Criteria: ALT ≥3x ULN AND Total bilirubin ≥2x ULN (>35% direct bilirubin)^b</p> <p>ALT ≥3xULN and INR>1.5, if INR measured^c</p> <p>ALT ≥ 3xULN associated with symptoms (new or worsening) believed to be related to liver injury or hypersensitivity^d</p>	<p>DISCONTINUE</p> <ul style="list-style-type: none"> • Immediately discontinue study drug(s)^a • Assess for infection and liver metastases • Repeat liver chemistries (include ALT, AST, alkaline phosphatase, bilirubin) and perform liver event follow-up assessment within 24 hours (see below) • Assess liver function at least twice weekly • Administer 1-2 mg/kg/day IV methylprednisolone • Discuss with Sponsor/Medical Monitor within 24 hours • Consider consultation with a hepatologist 	<p>Monitor the subject at least twice weekly until liver chemistries resolve, stabilize, or return to within baseline</p> <ul style="list-style-type: none"> • Continue steroids until improvement to ≤ Grade 1 or baseline or resolution; taper steroids over at least one month • If serum transaminase levels do not decrease 48 hours after initiation of systemic steroids, oral mycophenolate mofetil 500 mg every 12 hours may be given. Infliximab is not recommended due to its potential for hepatotoxicity.

- a. If multiple study drugs are administered per protocol, guidance may apply to one or more study agents; discuss management on a case-by-case basis with the Sponsor/Medical Monitor.
 - b. Serum bilirubin fractionation should be performed if testing is available. If serum bilirubin fractionation is not immediately available, discontinue study treatment for that subject if ALT \geq 3xULN and bilirubin \geq 2xULN. Additionally, if serum bilirubin fractionation testing is unavailable, record presence of detectable urinary bilirubin on dipstick, indicating direct bilirubin elevations and suggesting liver injury.
 - c. All events of ALT \geq 3xULN and bilirubin \geq 2xULN (>35% direct bilirubin) or ALT \geq 3xULN and INR>1.5, if INR measured which may indicate severe liver injury (possible 'Hy's Law'), must be reported as an SAE (excluding studies of hepatic impairment or cirrhosis); INR measurement is not required and the threshold value stated will not apply to subjects receiving anticoagulants
 - d. New or worsening symptoms believed to be related to liver injury (such as fatigue, nausea, vomiting, right upper quadrant pain or tenderness, or jaundice) or believed to be related to hypersensitivity (such as fever, rash or eosinophilia)
- ALT = Alanine aminotransferase; ULN = Upper limit of normal; AST = Aspartate aminotransferase; IV = Intravenous LFT = liver function tests; INR = International Normalized Ratio

Liver Event Follow-up Assessments

- **Viral hepatitis serology:** Hepatitis A IgM antibody; Hepatitis B surface antigen and Hepatitis B Core Antibody (IgM); Hepatitis C RNA;
 - 5 Cytomegalovirus IgM antibody; Epstein-Barr viral capsid antigen IgM antibody (or if unavailable, obtain heterophile antibody or monospot testing); Hepatitis E IgM antibody
- **Quantitative hepatitis B DNA and hepatitis delta antibody:** Only in those
 - 10 with underlying chronic hepatitis B at study entry (identified by positive hepatitis B surface antigen). If hepatitis delta antibody assay cannot be performed, it can be replaced with a polymerase chain reaction of Hepatitis D RNA virus (where needed) [Le Gal, 2005].
- **Blood sample for PK analysis,** obtained within 28 days after last dose of
 - 15 study drug: Record the date/time of the PK blood sample draw and the date/time of the last dose of study treatment prior to blood sample draw on the eCRF. If the date or time of the last dose is unclear, provide the subject's best approximation. If the date/time of the last dose cannot be approximated OR a PK sample cannot be collected in the time period indicated above, do not obtain a PK sample
- 20 • **Serum creatine phosphokinase (CPK) and lactate dehydrogenase (LDH)**
- **Fractionate bilirubin,** if total bilirubin \geq 2xULN
- **Obtain complete blood count with differential** to assess eosinophilia

- **Record the appearance or worsening of clinical symptoms** of liver injury, or hypersensitivity, on the AE report form
- **Record use of concomitant medications** on the concomitant medications report form including acetaminophen, herbal remedies, other over the counter medications
- **Record alcohol use** on the liver event alcohol intake case report form
- **For bilirubin or INR criteria:**
 - Anti-nuclear antibody, anti-smooth muscle antibody, Type 1 anti-liver kidney microsomal antibodies, and quantitative total IgG (or gamma globulins).
 - Serum acetaminophen adduct high-performance liquid chromatography (HPLC) assay (quantifies potential acetaminophen contribution to liver injury in subjects with definite or likely acetaminophen use in the preceding week [James, 2009]).
- **Liver imaging** (ultrasound, magnetic resonance, or computerized tomography) and /or liver biopsy to evaluate liver disease; complete Liver Imaging and/or Liver Biopsy eCRF forms.

Management of Gastrointestinal Events (Diarrhea or Colitis)

Signs/symptoms may include, but are not limited to: diarrhea, constipation, abdominal pain, cramping and/or bloating, nausea and/or vomiting, blood and/or mucus in stool with or without fever, rectal bleeding, peritoneal signs consistent with bowel perforation, and ileus.

Differential diagnosis: All attempts should be made to rule out other causes such as metastatic disease, bacterial or parasitic infection, viral gastroenteritis, or the first manifestation of an inflammatory bowel disease by examination for stool leukocytes, stool cultures, and a *Clostridium difficile* titer. Dose modification guidelines for gastrointestinal events are provided in Table 12.

Table 12 Guidelines for Dose Modification and Management of Gastrointestinal Events (Diarrhea or Colitis)

Severity	Management	Follow-up
Grade 1	<ul style="list-style-type: none"> Administer anti-diarrheal and symptomatic treatment as appropriate 	<p><i>Symptoms resolve to baseline within 7 days:</i></p> <ul style="list-style-type: none"> Provide close follow-up to evaluate for increased severity. <p><i>Symptoms ongoing > 7 days:</i></p> <ul style="list-style-type: none"> Consider following algorithm for Grade 2 events
Grade 2	<ul style="list-style-type: none"> Interrupt study drug(s)^a Administer antidiarrheal and symptomatic treatment Discuss with Sponsor/Medical Monitor 	<p><i>Symptoms resolve to ≤ Grade 1 or baseline within 3 days:</i></p> <ul style="list-style-type: none"> resume study drug(s) <p><i>Symptoms ongoing >3 days, blood or mucus in stool, or ulceration/bleeding on endoscopy:</i></p> <ul style="list-style-type: none"> consider GI consultation and endoscopy to confirm or rule out colitis Start systemic corticosteroids (e.g. 0.5 mg/kg/day of prednisone or equivalent) Continue steroids until improvement to Grade 1 or resolution; taper steroids as medically appropriate Resume study drug(s) if symptoms have improved to Grade 1 and steroid dose is 10 mg prednisone/day or less If symptoms continue or worsen to Grade 3-4, see below
Grade 3	<ul style="list-style-type: none"> Interrupt study drug(s)^a Assess for bowel perforation; do not administer corticosteroids if present Consult gastrointestinal (GI) service, perform endoscopy with biopsy Administer 1-2 mg/kg/day IV methylprednisolone Discuss with Sponsor/Medical Monitor 	<ul style="list-style-type: none"> When symptoms improve to ≤Grade 1, taper steroids over at least 1 month. If corticosteroid therapy does not reduce initial symptoms within 48 to 72 hours, treat with additional anti-inflammatory measures. Discontinue additional anti-inflammatory measures upon symptom relief and initiate a prolonged steroid taper over 45-60 days. If symptoms worsen during steroid taper, retaper starting at a higher dose followed by a more prolonged taper. <p>Recurrence after rechallenge:</p> <ul style="list-style-type: none"> Discontinue study drug(s) permanently unless otherwise agreed upon by the Sponsor/Medical Monitor and Investigators
Grade 4	<ul style="list-style-type: none"> Discontinue study drug(s) Immediately inform Sponsor/Medical Monitor 	<ul style="list-style-type: none"> Management as per Grade 3

a. If multiple study drugs are administered per protocol, guidance may apply to one or more study agents; discuss management on a case-by-case basis with the Sponsor/Medical Monitor.

IV = Intravenous

Management of Skin Toxicity

Differential diagnosis: All attempts should be made to rule out other causes such as metastatic disease, infection, or allergic dermatitis. Dose modification guidelines for skin toxicity are provided in Table 13.

5 **Table 13 Guidelines for Dose Modification and Management of Skin Toxicity**

Severity	Management	Follow-up
Localized rash	<ul style="list-style-type: none"> • Symptomatic management 	Provide close follow-up
Non-localized rash (diffuse, ≤50% of skin)	<ul style="list-style-type: none"> • Interrupt study drug(s)^a • Discuss with Sponsor/Medical Monitor • Consider dermatology consultation and biopsy 	<p><i>Symptoms resolve to baseline within 7 days:</i></p> <ul style="list-style-type: none"> • resume study drug(s) <p><i>Symptoms ongoing > 7 days:</i></p> <ul style="list-style-type: none"> • Start topical or systemic corticosteroids (e.g. 0.5-1 mg/kg/day of prednisone or equivalent) • Continue steroids until improvement to Grade 1 or resolution; taper steroids as medically appropriate • Resume study drug(s) if symptoms have improved to Grade 1 and steroid dose is 10 mg prednisone/day or less • If symptoms continue or worsen, see below
Stevens-Johnson syndrome, toxic epidermal necrolysis, or rash complicated by full thickness dermal ulceration, or necrotic, bullous, or hemorrhagic manifestations	<ul style="list-style-type: none"> • Permanently discontinue study drug(s)^a • Administer 1-2 mg/kg/day IV methylprednisolone • Discuss with Sponsor/Medical Monitor • Consider dermatology consultation and biopsy 	<ul style="list-style-type: none"> • When dermatitis is controlled, taper steroids over at least 1 month

a. If multiple study drugs are administered per protocol, guidance may apply to one or more study agents; discuss management on a case-by-case basis with the Sponsor/Medical Monitor.

IV = Intravenous

Management of Endocrine Events

Signs/symptoms may include, but are not limited to: fatigue, weakness, headache, mental status and/or behavior changes, fever, vision disturbances, cold intolerance, abdominal pain, unusual bowel habits, loss of appetite, nausea and/or vomiting, and
5 hypotension. Endocrine events may include the following AE terms: adrenal insufficiency, hyperthyroidism, hypophysitis, hypopituitarism, hypothyroidism, thyroid disorder, and thyroiditis.

Dose modification guidelines for endocrine events are provided in Table 14.

10 **Table 14 Guidelines for Dose Modification and Management of Endocrine Events**

Hypophysitis with clinically significant adrenal insufficiency and hypotension, dehydration, and/or electrolyte abnormalities (such as hyponatremia and hyperkalemia) constitutes an adrenal crisis and must be considered a medical emergency.

Severity	Management	Follow-up
<p>Moderate</p> <ul style="list-style-type: none"> • Signs and/or symptoms of dysfunction • Endocrinopathies requiring hormone replacement or medical intervention 	<ul style="list-style-type: none"> • Consider interruption of study drug(s)^a if symptomatic • Assess endocrine function • Consider pituitary imaging • Administer up to 1-2 mg/kg/day IV methylprednisolone if clinically indicated • Initiate appropriate hormone-replacement therapy • Consider consultation with endocrinology • Discuss with Sponsor/Medical Monitor 	<p><i>Consider resuming study agent(s) when:</i></p> <ul style="list-style-type: none"> • Subject is stable (on hormone-replacement therapy if indicated) and symptoms have resolved or return to baseline • Subject is receiving ≤10 mg prednisone or equivalent per day
<p>Severe</p> <ul style="list-style-type: none"> • Adrenal crisis or other adverse reactions requiring hospitalization, urgent medical intervention. 	<ul style="list-style-type: none"> • Consider interruption of study drug(s)^a • Discuss with Sponsor/Medical Monitor • Consider immediate initiation of 1-2 mg/kg/day IV methylprednisolone • Consult endocrinology • Other management as above 	<p><i>Consider resuming study agent(s) when:</i></p> <ul style="list-style-type: none"> • Subject is stable (on hormone-replacement therapy if indicated) and symptoms have resolved or return to baseline • Subject is receiving ≤10 mg prednisone or equivalent per day

a. If multiple study drugs are administered per protocol, guidance may apply to one or more study agents; discuss management on a case-by-case basis with the Sponsor/Medical Monitor.

IV = Intravenous

1.1.1.1. Management of Pneumonitis

Signs/symptoms may include, but are not limited to: dyspnea, dry cough, hemoptysis, fever, chest pain and/or tightness, abnormal breath sounds, and fatigue. If

5 symptoms indicate possible new or worsening cardiac abnormalities additional testing and/or a cardiology consultation should be considered. Pneumonitis events may include the following AE terms: pneumonitis, interstitial lung disease, and acute interstitial pneumonitis.

If symptoms indicate possible new or worsening cardiac abnormalities additional testing and/or a cardiology consultation should be considered.

Differential diagnosis: All attempts should be made to rule out other causes such as metastatic disease, and bacterial or viral infection. It is important that subjects with a suspected diagnosis of pneumonitis be managed as per the guidance below until treatment-related pneumonitis is excluded. Treatment of both a potential infectious etiology and pneumonitis in parallel may be warranted. Management of the treatment of suspected pneumonitis with steroid treatment should not be delayed for a therapeutic trial of antibiotics. If an alternative diagnosis is established, the subject does not require management as below; however the AE should be reported regardless of etiology. Dose modification guidelines for pneumonitis are provided in Table 15.

Table 15 Guidelines for Dose Modification and Management of Pneumonitis

Severity	Management	Follow-up
<p>Grade 1 (asymptomatic with radiographic findings only)</p>	<ul style="list-style-type: none"> • Discuss continued treatment with study drug(s) with Sponsor/Medical Monitor • Consider pulmonary consultation and/or bronchoscopy if clinically indicated 	<ul style="list-style-type: none"> • Serial imaging
<p>Grade 2</p>	<p><i>First episode:</i></p> <ul style="list-style-type: none"> • Interrupt study drug(s)^a • Consider pulmonary consultation with bronchoscopy and bronchoalveolar lavage (BAL) • Administer 1-2 mg/kg/day IV methylprednisolone • Discuss with Sponsor/Medical Monitor <p><i>Second episode:</i></p> <ul style="list-style-type: none"> • Permanently discontinue study drug(s)^a 	<ul style="list-style-type: none"> • When symptoms improve to ≤ Grade 1, taper steroids over at least 1 month. Permanently discontinue study drug(s) if unable to reduce corticosteroid dose to ≤10 mg prednisone or equivalent daily. • Rechallenge with study drug(s) at the same dose(s) may be considered if a first event improves to Grade 1 or resolves within 12 weeks of onset. Repeat chest imaging monthly as clinically indicated.
<p>Grade 3 and 4</p>	<ul style="list-style-type: none"> • Permanently discontinue study drug(s)^a • Bronchoscopy with biopsy and BAL is recommended • Administer 1-2 mg/kg/day IV methylprednisolone • Discuss with Sponsor/Medical Monitor 	<ul style="list-style-type: none"> • When symptoms improve to ≤Grade 1, taper steroids over at least 1 month. • If corticosteroid therapy does not reduce initial symptoms within 48 to 72 hours, treat with additional anti-inflammatory measures. Discontinue additional anti-inflammatory measures upon symptom relief and initiate a prolonged steroid taper over 45-60 days. • If symptoms worsen during steroid taper, retaper starting at a higher dose followed by a more prolonged taper. • Add anti-infective prophylaxis as appropriate.

a. If multiple study drugs are administered per protocol, guidance may apply to one or more study agents; discuss management on a case-by-case basis with the Sponsor/Medical Monitor.

IV = Intravenous

1.1.1.2. Management of Hematologic Events

Dose modification guidelines for hematologic events are provided in Table 16.

Table 16 Guidelines for Dose Modification and Management of Hematologic Events

Severity	Management	Follow-up
Grade 1-2	<ul style="list-style-type: none"> • As clinically indicated 	<ul style="list-style-type: none"> • Provide close follow-up to evaluate for increased severity
Grade 3	<ul style="list-style-type: none"> • Consider interruption of study drug(s) • Discuss with Medical Monitor • Obtain flow cytometry study of T and B lymphocytes • Consult hematology • Further management as clinically indicated 	<ul style="list-style-type: none"> • As clinically indicated • Retreatment with study drug(s) may be considered on a case-by-case basis if agreed upon by investigator and Sponsor/Medical Monitor.
Grade 4	<ul style="list-style-type: none"> • Consider discontinuation of study drug(s) for any severe or life threatening event ^a • Consult hematology • Obtain flow cytometry study of T and B lymphocytes. • Discuss with Sponsor/Medical Monitor 	<ul style="list-style-type: none"> • As clinically indicated • Retreatment with study drug(s) may be considered on a case-by-case basis if agreed upon by investigator and Sponsor/Medical Monitor.

a. If multiple study drugs are administered per protocol, guidance may apply to one or more study agents; discuss management on a case-by-case basis with the Sponsor/Medical Monitor.

IV = Intravenous

5

Uveitis/Iritis

All attempts should be made to rule out other causes such as metastatic disease, infection or other ocular disease (e.g. glaucoma or cataracts). However the AE should be reported regardless of etiology. Dose modification guidelines for

10 uveitis/iritis are provided in Table 17.

Table 17 Guidelines for Dose Modification and Management of Uveitis/Iritis

Severity	Management	Follow-up
Grade 1	Symptomatic treatment as appropriate	<p><i>Symptoms resolve to baseline within 7 days:</i></p> <ul style="list-style-type: none"> • Provide close follow-up to evaluate for increased severity. <p><i>Symptoms ongoing > 7 days:</i></p> <ul style="list-style-type: none"> • Consider following algorithm for Grade 2 events
Grade 2	<ul style="list-style-type: none"> • Interrupt study drug(s)^a • Consultation with ophthalmologist is strongly recommended • Treat with topical steroids such as 1% prednisolone acetate suspension and iridocyclitics • Discuss with Sponsor/Medical Monitor 	<p><i>Symptoms resolve to baseline within 7 days:</i></p> <ul style="list-style-type: none"> • resume study drug(s) <p><i>Symptoms ongoing > 7 days:</i></p> <ul style="list-style-type: none"> • Discontinue study drugs • If symptoms continue or worsen to Grade 3-4, see below
Grade 3	<ul style="list-style-type: none"> • Interrupt study drug(s)^a • Administer 1-2 mg/kg/day IV methylprednisolone (local administration of corticosteroids may be considered after consultation with an ophthalmologist) • Consultation with ophthalmologist is strongly recommended • Discuss with Sponsor/Medical Monitor 	<p><i>Symptoms improve to ≤ Grade 2:</i></p> <ul style="list-style-type: none"> • continue steroids until improvement to ≤ Grade 1 or baseline; taper steroids over at least one month <p><i>Symptoms ongoing ≥ 12 weeks</i></p> <ul style="list-style-type: none"> • permanently discontinue study drugs
Grade 4	<ul style="list-style-type: none"> • Discontinue study drug(s) • Immediately inform Sponsor/Medical Monitor 	<ul style="list-style-type: none"> • Management as per Grade 3

a. If multiple study drugs are administered per protocol, guidance may apply to one or more study agents; discuss management on a case-by-case basis with the Sponsor/Medical Monitor.

IV = Intravenous

Management of Infusion Reactions or Severe Cytokine Release Syndrome (sCRS)

5 Infusion reactions are a well-documented AE associated with the administration of mAbs. Infusion reactions typically develop within 30 minutes to 2 hours after initiation of drug infusion, although symptoms may be delayed for up to 48 hours. The incidence of infusion reactions varies by mAb agent, and there are multiple mechanisms known to lead to infusion-related reactions including both IgE-dependant anaphylactic and non-IgE dependent anaphylactoid hypersensitivities. Cytokine release syndrome, and when severe, cytokine "storm", has been identified
10 as a sequelae of the immune system activation associated with infusion reactions.

Infusion Reaction

15 Infusion reactions may affect any organ system in the body. Most are mild in severity, although severe and even fatal reactions occur. As a group, infusion reactions (including both cytokine mediated and allergic) usually occur during or within a few hours of drug infusion. Occasionally, a reaction may occur one to two days after administration. The NCI-CTCAE (version 4.0) for grading adverse reactions during chemotherapy administration has a scale for grading the severity of infusion reactions and separate grading scales for allergic reactions and anaphylaxis. While use of these separate grading scales may be useful for classifying the nature
20 of an infusion reaction for research purposes, they are less useful for clinical care, since it may not be obvious if the subject is having an allergic infusion reaction or a non allergic infusion reaction.

25 Clinically infusion reaction may present with flushing, itching, urticaria, and/or angioedema, repetitive cough, sudden nasal congestion, shortness of breath, chest tightness, wheeze, sensation of throat closure or choking, and/or change in voice quality, faintness, tachycardia (or less often bradycardia), hypotension, hypertension and/or loss of consciousness, nausea, vomiting, abdominal cramping, and/or diarrhea, sense of impending doom, tunnel vision, dizziness, and/or seizure, severe back, chest, and pelvic pain.

Cytokine Release Syndrome

30 Cytokine-associated toxicity, also known as CRS, is a non-antigen-specific toxicity that occurs as a result of strong immune activation. The magnitude of immune activation typically required to mediate clinical benefit using modern immunotherapies exceeds levels of immune activation that occurs in more natural

settings. As immune-based therapies have become more potent, CRS is becoming increasingly recognized.

Symptomatology associated with CRS and the severity of symptoms varies greatly, and management can be complicated by intercurrent conditions in these subjects.

- 5 Fever is a hallmark, and many features of CRS mimic infection. It is not uncommon for subjects to experience temperatures exceeding 40°C.

Potentially life-threatening complications of CRS include cardiac dysfunction, adult respiratory distress syndrome, neurologic toxicity, renal and/or hepatic failure, and disseminated intravascular coagulation. Of particular concern is cardiac dysfunction, which can be rapid onset and severe, but is typically reversible.

It is difficult to determine the exact etiology of this AE in the clinical setting proximate to its occurrence, which makes it difficult to differentiate between a typical infusion reaction and CRS. Since there is a wide commonality in the clinical presentation of these events, the immediate treatment does not vary with respect to the etiology.

- 15 In order to better understand the underlying etiology of these events, serum tryptase, C-reactive protein (CRP), ferritin, and a cytokine panel should be drawn during the occurrence of an infusion reaction/CRS of any grade. The serum tryptase, CRP and ferritin panels should be performed at the PI's designated local laboratory. The serum cytokine panel will be performed at a GSK designated laboratory. These results will help us better understand (albeit retrospectively) the etiology of the AE, as outlined in Table 18.

Table 18 Biomarker Panel

Biomarker	Relationship to Adverse Event
Serum tryptase ^a	IgE-related infusion reaction (Allergic/anaphylaxis) [Schwartz, 2006]
Serum CRP ^a	Elevated in CRS [Lee, 2014]
Serum ferritin ^a	Elevated in CRS [Lee, 2014]
Serum cytokine panel ^b (IFN-γ ^{*^} , TNF-α ^{*^} , IL-2 [*] , IL-4, IL-5 [*] , IL-6 ^{*^} , IL-8 [*] , IL-10 [*] , IL-12p70, IL-13, and IL-17)	* Reported to be elevated in CRS [Lee, 2014] ^ consistently reported as elevated in CRS [Lee, 2014]

CRP=C-reactive protein; CRS= Cytokine release syndrome; IFN-γ = Interferon gamma; TNF-a = Tumor necrosis factor alpha; IL = Interleukin.

a. Performed by PI designated local laboratory

b. Performed by GSK designated laboratory

Treatment of Study Treatment Overdose

ANTIBODY 106-222 Overdose

An overdose is defined as administration of a dose that is at least 50% greater than the intended dose. In the event of an overdose the investigator should:

- 5
- Contact the Medical Monitor immediately.
 - Closely monitor the subject for AEs/SAEs and laboratory abnormalities for at least 130 days.
 - Obtain a plasma sample for PK analysis within 28 days from the date of the last dose of study treatment if requested by the Medical Monitor (determined on a case-by-case basis).
- 10
- Document the quantity of the excess dose as well as the duration of the overdosing in the eCRF.

Decisions regarding dose interruptions or modifications will be made by the investigator in consultation with the Medical Monitor based on the clinical evaluation of the subject.

15

There is no specific antidote for overdose with ANTIBODY 106-222. In the event of a suspected overdose, it is recommended that the appropriate supportive clinical care should be instituted, as dictated by the subject's clinical status.

Pembrolizumab Overdose

20 An overdose of pembrolizumab will be defined as ≥ 1000 mg of pembrolizumab. No specific information is available on the treatment of overdose of pembrolizumab. In the event of overdose, the subject should be observed closely for signs of toxicity. Appropriate supportive treatment should be provided if clinically indicated.

Treatment after the End of the Study

25 The investigator is responsible for ensuring that consideration has been given to the post-study care of the subject's medical condition.

Refer to Withdrawal/Stopping Criteria Section and Time and Events Table Section for follow-up assessments of subjects who are to be followed for disease progression and/or survival after they permanently discontinue from study treatment.

Concomitant Medications and Non-Drug Therapies

Subjects will be instructed to inform the investigator before starting any new medications from the time of first dose of study treatment until the end of the study (Final Study Visit). Any concomitant medication(s), including non-prescription
5 medication(s) and herbal product(s), taken during the study will be recorded in the eCRF. The minimum requirement is that drug name, dose, and the dates of administration are to be recorded. Additionally, a complete list of all prior anticancer therapies will be recorded in the eCRF.

10 Questions regarding concomitant medications should be directed to the GSK Medical Monitor for clarification.

If future changes are made to the list of permitted/prohibited medications, formal documentation will be provided by GSK and stored in the study file. Any such changes will be communicated to the investigative sites in the form of a letter.

15

Time and Events Table

Table 19 Time and Events Table - Monotherapy and Combination Therapy

Week ^a	Scrn ^{a,b}	Treatment ^a											Follow-up		
		0	1	2	3	4	5	6	9	12	> 12 - 48 ^c	≥49 - 105 ^c	TDV ^d	DFS FU ^e	SFU ^f
Day	≤28	1	8	15	22	29	36	43	64	85	106-337	≥344-736	30d after last dose	Q12W	Q12W
Dose		1			2			3	4	5	6-17	18-36			
Informed Consent	X														
Inclusion/Exclusion	X	X													
Demographics, Medical History, Prior Medications	X														
Concomitant Medications					Assess at each visit from first dose until the TDV visit										
Subject Registration		X													
Anti-Cancer Treatment													X	X	X
Part 1 Study Treatment Monotherapy															
Administer ANTIBODY 106-222 (± 2 days) ^g		X			X			X	X	X	X				
Part 2 Study Treatment Combination Therapy (note: administer pembrolizumab 1 hour after the end of the ANTIBODY 106-222 infusion)															
Administer ANTIBODY 106-222 ^g		X			X			X	X	X	X				
Administer Pembrolizumab ^g		X			X			X	X	X	X	X			
Safety															
AE/SAE Assessment		Assess at each visit from first dose until the TDV visit for AEs and until 90 days after last dose for AESI and SAEs ^d													
ECOG PS	X	X	X	X	X	X	X	X	X	X	X	Q6w	Q6w	X	

Week ^a	Scrn ^{a,b}	Treatment ^a												Follow-up			
		0	1	2	3	4	5	6	9	12	> 12 - 48 ^c	≥49 -105 ^c	TDV ^d	DFS FU ^e	SFU ^f		
Day	≤28	1	8	15	22	29	36	43	64	85	106-337	≥344-736	30d after last dose	Q12W	Q12W		
Dose		1			2			3	4	5	6-17	18-36					
Physical Examination	X	X			X			X	X	X	Q6w	Q6w					
Vital Signs and Weight	X	X	X	X	X	X	X	X	X	X	X	X	X				
12-lead ECG ^k	X	X ^k		X ^k				X	X	X ^k	Q12w	Q12w	X				
Laboratory Assessments (Safety) – perform assessments pre-dose on each dosing day																	
Hepatitis B and C	X																
Pregnancy Test: Serum β-hCG	≤3d										As clinically indicated						
Clinical Chemistry	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
Hematology	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
Thyroid function tests	X							X		X	X	Q6W	X				
Calculated CrCl	X			X				X	X	X	X	X	X				
Urinalysis	X		X	X	X	X	X	X	X	X	X	X	X				
Disease Assessments																	
Tumor imaging ^h	X									X				Q12W			
Telephone call for survival status ^f																X	
Tumor Biopsies																	
Archived tumor ⁱ	X																
Fresh tissue sample ⁱ	X					X									X		
PD tissue sample ⁱ															X ⁱ		

Visit Abbreviations: Scrn = Screen; TDV = Treatment Discontinuation Visit; DFSFU = Disease-Free Survival Follow-up; SFU = Survival Follow-up; PD = Progressive Disease; AE = Adverse Event; SAE = Serious Adverse Event; AESI = Adverse Events of Special Interest; ECOG PS = Eastern Cooperative Oncology Group performance status; ECG = Electrocardiogram; β -hCG = Beta-human chorionic gonadotropin; CrCl = Creatinine clearance

- a. **Visit Windows:** With the exception of Screening/baseline and Day 1 visits and unless otherwise specified, assessments performed at <3-week intervals will have a ± 3 day window and assessments performed at >3 week intervals will have a ± 1 week window. For Screening/Baseline and Day 1 visits, all procedures must be completed before first dose.
- b. Screening assessments or procedures to be performed within 4 weeks (28 days) of the first dose (unless otherwise specified, with the exception of the serum pregnancy test which must be performed within 3 days of the first dose of study treatment).
- c. The frequency of safety assessments from week 12 onwards will be as follows (unless stated otherwise in the table):
 Every ANTIBODY 106-222 or pembrolizumab dosing day (pre-dose): Clinical chemistry, hematology, urinalysis, vital signs and weight
 Every 6 weeks: Thyroid function tests, ECOG PS assessments, physical examination
 Every 12 weeks: 12-lead ECG,
- d. The treatment discontinuation visit should be completed 30 days from the last dose of study treatment. The window for this visit is ± 10 days. All AEs and concurrent medications will be collected until at least 30 days after the last dose of study treatment. All AESIs and SAEs and any concurrent medications relevant to the reported AESIs and SAEs will be collected until at least 90 days after the last dose of study treatment or until the start of new anticancer therapy, whichever occurs first. Any drug or study-related SAEs occurring after the 90-day window will be reported according to directions provided in Time Period and Frequency for Collecting Adverse Events and Serious Adverse Events Information Section.
- e. If study treatment has been permanently discontinued in the absence of PD, the subject will return for disease assessments every 12 weeks until PD is documented (by irRECIST), another anticancer treatment is initiated, or death, whichever occurs first. These visits are described as Disease Free Survival Follow-up (DFS FU) visits.
- f. The Survival FU visit should be completed every 12 weeks after documented disease progression (or after initiation of another anticancer treatment). Subjects should be contacted every 12 weeks (± 2 weeks) until death occurs.
- g. Dosing of ANTIBODY 106-222 and pembrolizumab at every 3-week intervals is shown in the Time and Events Table; however, dosing of ANTIBODY 106-222 may be delayed due to toxicity. During the combination phase, ANTIBODY 106-222 should be administered first, and pembrolizumab should be administered at least 1 hour and no more than 2 hours following the end of the ANTIBODY 106-222 infusion. ANTIBODY 106-222 will be dosed for a maximum of 48 weeks. Pembrolizumab will be dosed for a maximum of 2 years.
- h. Screening tumor imaging must be obtained within 28 days of the first dose. Tumor imaging will be performed every 12 weeks (± 1 week) until disease progression has been confirmed by irRECIST; additional scans may be obtained if PD is suspected but not confirmed. Immune-related RECIST will be used to determine

- treatment decisions for PD. If a subject has achieved a CR or PR in the previous radiologic assessment, a repeat scan should be performed as a part of the confirmation of response, within 4-6 weeks to confirm the response. At the TDV, tumor imaging is only required if the last disease assessment did not show PD and was performed >6 weeks before TDV. During the DFS FU visits (performed when a subject has permanently discontinued study treatment before disease progression has been documented), tumor imaging will be obtained every 12 weeks (± 1 week) until PD, initiation of a new anticancer treatment, or death, whichever comes first.
- i. A fresh tumor biopsy should be attempted at screening (before first dose) and at Week 6 (after the 3rd dose of study treatment ± 1 week). Once evaluable paired tumor biopsies are collected for up to 10 subjects in the dose expansion phases, this requirement may be waived. Tumor lesions planned for biopsy must not be used as indicator lesions for assessment of disease. Although a fresh biopsy obtained during screening is preferred, a recent archival tumor specimen is acceptable if it is not feasible to obtain a fresh biopsy.
 - j. Progressive Disease Tissue Sample: An optional fresh tumor biopsy should be attempted at the time of disease progression.
 - k. For Part 1 only: On Day 1 ECG measurements will be performed in triplicate predose and at the following times after the infusion: EOI+30m, EOI + 4h, EOI +24h, on Day 22 ECG measurements will be performed in triplicate predose and on Day 85 ECG measurements will be performed in triplicate predose and at the following times after the infusion: EOI+30m.

Table 20 Time and Events Table - Pharmacokinetics, Antidrug Antibodies, and Pharmacodynamics (Parts 1 and 2)

Day	Treatment														30 D after Last Dose	12 Wks Post-Treatment ± 1 week	
	1	2	8	1	5	22	23	2	9	6	3	43	64	85			106
Dose	1					2						3	4	5	6	≥ 8	
Pharmacogenetics (6 mL) ^a	X																
Receptor occupancy and phenotyping panels(10 mL)	Pre EOI+4h	EOI+24h	X	X	Pre EOI+4h						Pre EOI + 4h						X
Plasma + PBMC prep (20 mL) ^b	Pre		X		Pre						Pre						X
Cytokines (5 mL)	Pre EOI+4h	EOI+24h	X		Pre EOI+4h						Pre EOI+4h						
ANTIBODY 106-222 Pharmacokinetics (1 mL)	Pre EOI+30m EOI+4h	EOI+24h ^d	X	X	Pre EOI+30m EOI+4h	EOI+24	X	X			Pre EOI+30m	Pre EOI+30m	Pre EOI+30m	Pre EOI+30m	Pre EOI+30m	Pre ^e	X
Part 2 only: Pembrolizumab Pharmacokinetics (3 mL)	Pre EOPI+30m	EOI+24h	X	X	Pre							Pre	Pre	Pre	Pre	Pre ^e	X

Screening and Critical Baseline Assessments

Demographic and Baseline Assessments

The following demographic parameters will be captured: year of birth, sex, race, and ethnicity.

- 5 Medical/medication/family history will be assessed as related to the inclusion/exclusion criteria listed in Selection of Study Population and Withdrawal Criteria Section.

Procedures conducted as part of the subject's routine clinical management (e.g., blood counts, ECG, scans, etc) and obtained prior to signing of informed consent may be utilized for screening or baseline purposes provided the procedure meets the protocol-defined
10 criteria and has been performed in the timeframe of the study.

Critical Baseline Assessments

Cardiovascular medical history/risk factors (as detailed in the eCRF) will be assessed at screening.

Baseline Documentation of Target and Non-Target Lesions

- 15
- All baseline lesion assessments must be performed within 28 days before the first dose.
 - Lymph nodes that have a short axis of <10 mm are considered non-pathological and should not be recorded or followed.
 - Pathological lymph nodes with <15 mm, but ≥ 10 mm short axis are considered non-
20 measurable.
 - Pathological lymph nodes with ≥ 15 mm short axis are considered measurable and can be selected as target lesions; however, lymph nodes should not be selected as target lesions when other suitable target lesions are available.
 - Measurable lesions up to a maximum of 2 lesions per organ and 5 lesions in total,
25 representative of all involved organs, should be identified as target lesions, and recorded and measured at baseline. These lesions should be selected on the basis of their size (lesions with the longest diameter) and their suitability for accurate repeated measurements (either by imaging techniques or clinically).

30 **Note:** Cystic lesions thought to represent cystic metastases should not be selected as target lesions when other suitable target lesions are available.

Note: Measurable lesions that have been previously irradiated and have not been shown to be progressing following irradiation should not be considered as target lesions.

- Lytic bone lesions or mixed lytic-blastic lesions, with identifiable soft tissue components, that can be evaluated by computed tomography (CT) or magnetic resonance imaging (MRI) can be considered measurable. Bone scans, fluorodeoxyglucose-positron-emission tomography (FDG-PET) scans or X-rays are not considered adequate imaging techniques to measure bone lesions.
- All other lesions (or sites of disease) should be identified as non-target and should also be recorded at baseline. Non-target lesions will be grouped by organ. Measurements of these lesions are not required, but the presence or absence of each should be noted throughout follow-up.

The following are required at baseline: A CT scan with contrast of the chest, abdomen, and pelvis, other areas as indicated by the subject's underlying disease, and clinical disease assessment for palpable lesions. For subjects with head and neck cancer, a CT or MRI of the head and neck area is required. At each post-baseline assessment, evaluations of the sites of disease identified by these scans are required.

NOTE: Although CT scan is preferred, MRI may be used as an alternative method of baseline disease assessment, especially for those subjects where a CT scan is contraindicated due to allergy to contrast, provided that the method used to document baseline status is used consistently throughout study treatment to facilitate direct comparison.

Confirmation of CR and PR are required per protocol. Confirmation assessments must be performed within 4 to 6 weeks after the criteria for response have initially been met and may be performed at the next protocol scheduled assessment. If a confirmation assessment is performed prior to the next protocol schedule assessment, the next protocol scheduled evaluation is still required (e.g., evaluations must occur at each protocol scheduled time point regardless of unscheduled assessments).

Efficacy

Evaluation of Anticancer Activity

- Lesion assessment method and timing, evaluation of disease, disease progression and response criteria will be conducted according to RECIST (version 1.1) [Eisenhauer, 2009] and irRECIST as outlined below. irRECIST will be used to

determine treatment decisions and will be used for the primary analysis of anticancer activity.

- 5 • Disease assessment modalities may include imaging (e.g., CT scan, MRI, bone scan, plain radiography) and physical examination (as indicated for palpable/superficial lesions).
- The baseline disease assessment will be completed within 4 weeks prior to the first dose of ANTIBODY 106-222, then every 12 weeks thereafter, and at the final study visit. See the Time and Events Table for the schedule of assessments of anticancer activity.
- 10 • Assessments must be performed on a calendar schedule and should not be affected by dose interruptions/delays.
- For post-baseline assessments, a window of ± 7 days is permitted to allow for flexible scheduling. If the last radiographic assessment was more than 12 weeks prior to the subject's withdrawal from study and PD has not been documented, a disease
15 assessment should be obtained at the time of withdrawal from the study.
- Subjects whose disease responds (either CR or PR) should have a confirmatory disease assessment performed 4 weeks after the date of assessment during which the response was demonstrated. More frequent disease assessments may be performed at the discretion of the investigator.
- 20 • To ensure comparability between the baseline and subsequent assessments, the same method of assessment and the same technique will be used when assessing response.

Safety

- 25 Planned time points for all safety assessments are listed in the Time and Events Table.

Adverse Events and Serious Adverse Events

The investigator and their designees are responsible for detecting, documenting, and reporting events that meet the definitions of an AE or SAE.

Time Period and Frequency for Collecting Adverse Events and Serious Adverse 30 Events Information

- AEs and SAEs will be collected from the start of study treatment until the follow-up contact at the time points specified in the Time and Events Table

- Medical occurrences that begin prior to the start of study treatment but after obtaining informed consent may be recorded on the Medical History/Current Medical Conditions section of the eCRF.
- 5 • Any AESI and SAEs assessed as related to study participation (e.g., protocol-mandated procedures, invasive tests, or change in existing therapy) or related to a GSK product will be recorded from the time a subject consents to participate in the study up to 90 days after the last dose of study drug(s). If another anti-cancer agent is started during this time, AESI and SAEs should be recorded until 30 days after the last dose, or initiation of other anti-cancer agent (whichever is later). SAEs must be reported within 24 hours to the Sponsor either by electronic media or paper
- 10 • All AESI and SAEs will be recorded and reported to GSK within 24 hours.
- Investigators are not obligated to actively seek AEs or SAEs in former study subjects. However, if the investigator learns of any SAE, including a death, at any time after a subject has been discharged from the study, and he/she considers the event
- 15 reasonably related to the study treatment or study participation, the investigator must promptly notify GSK.

NOTE: The method of recording, evaluating, and assessing causality of AEs and SAEs plus procedures for completing and transmitting SAE reports to GSK.

20 Before each ECG test, the subject should be at rest for approximately 10 minutes. The subject should be in the semi-recumbent or supine position; the same position must be used for all subsequent ECG tests.

For Part 1 of the study, ECG measurements will be performed in triplicate at specified times. All other measurements may be performed as single ECG measurements.

25 All laboratory tests with values that are considered clinically significantly abnormal during participation in the study or within 30 days after the last dose of study treatment should be repeated until the values return to normal or baseline. If such values do not return to normal within a period judged reasonable by the investigator, the etiology should be identified and the Sponsor notified.

Pharmacokinetics

Blood Sample Collection

5 Blood samples for PK analysis of ANTIBODY 106-222 and pembrolizumab will be collected at the time points described in Time and Events Table Section (Table 20). The actual date and time of each blood sample collection will be recorded in the eCRF. The timing of PK samples may be altered and/or PK samples may be obtained at additional time points to ensure thorough PK monitoring. Details on PK blood sample collection, processing, storage, and shipping procedures are provided in the SRM.

10 Blood samples (1 mL) for analysis of plasma ANTIBODY 106-222 concentrations and blood samples (3 mL) for analysis of serum pembrolizumab concentrations will be collected from all subjects at the times indicated in Table 20.

Processing, storage and shipping procedures are provided in the SRM.

Blood Sample Analysis

15 Plasma or serum analysis for ANTIBODY 106-222 and pembrolizumab will be performed under the control of PTS-DMPK/Scinovo, GSK or Merck Sharp & Dohme Corp the details of which will be included in the SRM. Concentrations of ANTIBODY 106-222 and pembrolizumab will be determined in plasma and serum samples, respectively, using the currently approved bioanalytical methodology. Raw data will be archived at the bioanalytical site (detailed in the SRM). Once the plasma or serum has been analyzed for ANTIBODY
20 106-222 and pembrolizumab any remaining plasma may be analyzed for other compound-related metabolites and the results reported under a separate PTS-DMPK/Scinovo, GSK or Merck Sharp & Dohme protocol.

Biomarkers/Pharmacodynamic Markers

Blood Biomarkers

25 Blood samples will be collected and analyzed by flow cytometry to evaluate the binding of ANTIBODY 106-222 to the OX40 receptor, and its pharmacodynamic effect on lymphocytes. OX40 receptor occupancy will be determined prior to dosing of ANTIBODY 106-222, after treatment, and at selected treatment intervals. The numbers of T cells, B cells, and NK cells as well as subsets of T cells will be simultaneously evaluated in whole blood by flow
30 cytometry. The activation and proliferation status of T cells will also be simultaneously assessed in the same sample.

Blood samples will also be collected for isolation of PBMC and plasma. Plasma samples will be used for an analysis of circulating soluble factors in relation to T-cell activation and may

be analyzed for soluble OX40 and soluble OX40-drug complex depending on the availability of the assays. Factors to be analyzed may include but are not limited to: the presence of IFN- γ , TNF- α , IL-2, IL-4, IL-5, IL-6, IL 10, IL-8, IL-12p70, IL-13, and IL-17 as well as antibodies against tumor, self, or viral antigens.

- 5 PBMCs isolated from whole blood will be preserved and stored for flow cytometry of additional cell types such as immune regulatory populations which may include but are not limited to myeloid derived suppressor cells, subsequent functional analysis or assessment of the diversity of the T-cell repertoire, its relationship to clinical responses, and changes in response to treatment with ANTIBODY 106-222. The functional state of PBMCs may be
- 10 analyzed for expression of cytokines which may include but not limited to IFN- γ , IL-2, TNF α , IL-17, Granzyme B, and CD107a. PBMCs may also be evaluated for genomic (DNA) and gene expression (RNA or protein) alterations to determine treatment-related changes in immune-related signatures.

Tumor Tissue

- 15 Archival tumor tissue as well as fresh pre- and post-treatment biopsies in at least 10 subjects of the dose-expansion cohorts and if possible in the dose escalation cohorts will be evaluated by IHC for expression of phenotypic and functional immune cell markers on tumor infiltrating lymphocytes (TILs) and other immune cells and as well as immune signaling markers on the surface of tumor cells, to understand antitumor immune responses. In
- 20 addition, when possible, similar analyses will be performed on tumor tissue samples obtained upon progression. Additionally, tumor tissue may be sequenced to assess TCR diversity as well as evaluated for any DNA/RNA/protein changes correlating with response.

Other biomarkers may be evaluated as determined by additional data. Details for sample collection, processing, storage, and shipment will be provided in the SRM.

Genetics

Information regarding genetic research is included in Appendix 7.

Data Management

- 30 For this study subject data will be entered into GSK defined eCRFs, transmitted electronically to GSK or designee, and combined with data provided from other sources in a validated data system.

Management of clinical data will be performed in accordance with applicable GSK standards and data cleaning procedures to ensure the integrity of the data, e.g., removing errors and inconsistencies in the data.

5 AEs and concomitant medications terms will be coded using MedDRA (Medical Dictionary for Regulatory Activities) and an internal validated medication dictionary, GSKDrug.

eCRFs (including queries and audit trails) will be retained by GSK, and copies will be sent to the investigator to maintain as the investigator copy. Subject initials will not be collected or transmitted to GSK according to GSK policy.

Data Analysis Considerations

10 In the dose escalation cohorts, the dose will be escalated based on all available data, including biomarker and PK data and the safety profile of prior cohorts. In addition, the recommended dose from a Continuous Reassessment Method (N-CRM) analysis [Neuenschwander, 2008] may be calculated. The N-CRM is a type of Bayesian adaptive dose-escalation scheme. The method is fully adaptive and makes use of all the DLT
15 information available at the time of each dose assignment. The Fixed and Adaptive Clinical Trial Simulator (FACTS) will be used to conduct the N-CRM analysis. The DLT information on all subjects enrolled in the trial are used to update the estimated dose-toxicity relationship and provide supportive information in addition to the 3+3 design in the next escalation/de-escalation decision; the 3+3 algorithm is expected to be used as the primary criteria for dose
20 escalation.

The expansion phases are designed to evaluate preliminary efficacy. A futility assessment will be conducted and enrollment may be paused in order to evaluate accumulating data including safety, responses and pharmacodynamic data. The methodology is based on the predictive probability of success if enrollment continues until all planned subjects are
25 recruited [Lee, 2008].

For Part 1: Monotherapy Dose Expansion, after 10 subjects have been enrolled in each cohort, the number of observed responses may be used to guide further enrollment according to the rules summarized in Table 2.1. However, all available data will be considered in making enrollment decisions.

Table 21 Monotherapy Expansion Cohort Enrollment Guidance

Number of Subjects ^a	Number of Responses				
	0	1	2	3	≥4
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					

a. The shaded regions are the specific regions for pausing enrollment.

Starting with 10 subjects and allowing for a maximum sample size of 20, this design will have a type I error rate (α) of 0.128 and 88% power when the true response rate is 30%.

5 The trial is not designed to stop early for efficacy but is designed to assess futility if the predictive probability of success is 1% or less. The type I error rate, power, and predictive probability of success to assess futility were derived from explicitly stating the minimum and maximum sample size, futility stopping rate, and selection of the optimizing criterion as the maximization of power under the alternative hypothesis. The Bayesian prior used in

10 determining the design was Beta (0.1, 0.9), a relatively non-informative distribution with a mean response rate of 10%. Under the null hypothesis, if the true response rate is 10%, the expected sample size of the design is 16 subjects per expansion cohort and the probability of early termination is 73%. Under the alternative hypothesis, if the true response rate is

15 30%, the expected sample size of the design is 20 subjects per expansion cohort and the probability of early termination is 6%.

These operating characteristics assume that the futility assessment rules are followed. If not and the trial continues to enroll until 20 subjects are evaluated, the overall type 1 error rate increases from 0.128 to 0.133, with an increase in power from 88% to 89%.

The statistical approach for creating futility assessment rules for the expansion phase of the combination cohorts will be similar to that of the monotherapy phase, determined according to which tumor types are selected for study. In addition, a Bayesian hierarchical model may be used to share information across cohorts if PK and biomarker data suggest a strong
5 similarity in clinical activity among cohorts.

CRM-recommended dose-escalation levels, futility assessment rules, and posterior probabilities are only guidelines and the totality of the data will be considered by the team in decision making.

Analysis Populations

10 The **All Treated Population** is defined as all subjects who receive at least one dose of ANTIBODY 106-222. Safety and anticancer activity will be evaluated based on this analysis population.

The **PK Population** will consist of all subjects from the All Treated Population for whom a PK sample is obtained and analyzed.

15 .

Anticancer Activity Analyses

The All Treated Population will be used for anticancer activity analyses. Since this is a Phase I study, anticancer activity will be evaluated based on clinical evidence and response criteria. If data warrant, the response data will be summarized by dose level.

20 If the data warrant, PFS and duration of response will be calculated and listed for each subject. PFS is defined as time from the date of first dose to the date of disease progression according to clinical or radiological assessment or death due to any causes, whichever occurs earliest. Duration of response is defined as the time from first documented evidence of CR or PR until disease progression or death due to any cause among subjects who
25 achieve an overall response (i.e., unconfirmed or confirmed CR or PR). If the subject does not have a documented date of event, PFS will be censored at the date of the last adequate assessment. Further details on rules of censoring will be provided in the RAP. PFS will be summarized using the Kaplan-Meier method if the data warrant.

Secondary Analyses**Pharmacokinetic Analyses****Pharmacokinetic Parameters**

PK analysis of ANTI BODY 106-222 and pembrolizumab will be the responsibility of the
 5 Clinical Pharmacology Modeling and Simulation (CPMS) Department, GSK or Merck Sharp
 and Dohme Corp.

PK analysis of drug concentration-time data will be conducted by non-compartmental
 methods under the direction of CPMS, Quantitative Sciences, GSK. The following PK
 parameters will be determined if data permit:

- 10 · C_{max}
- time to C_{max} (t_{max})
- C_{min}
- area under the plasma concentration-time curve (AUC(O-t), AUC(O-T) (repeat dosing)
 and/or AUC(0-∞) (single dose)
- 15 · apparent terminal phase elimination rate constant (λ_z) (single dose)
- apparent terminal phase half-life (t_{1/2}) (single dose)
- systemic clearance of parent drug (CL)

Statistical Analysis of Pharmacokinetic Data

Statistical analyses of the PK parameters data will be the responsibility of Clinical Statistics,
 20 GSK.

Drug concentration-time data will be listed for each subject and summarized by descriptive
 statistics at each time point by cohort. PK parameter data will be listed for each subject and
 summarized by descriptive statistics by cohort.

The data from this study may be combined with the data from other studies for a population
 25 PK analysis, which will be reported separately.

Pharmacokinetic/Pharmacodynamic Analyses

Data obtained from the pharmacodynamic samples will be descriptively and/or graphically
 summarized, and if warranted, exploratory PK/Pharmacodynamic analyses will be conducted
 to inform dose selection decisions.

Immunogenicity Analyses

Serum samples will be collected and tested for the presence of antibodies that bind to ANTIBODY 106-222 and pembrolizumab. Serum samples for testing anti-ANTIBODY 106-222 and anti-pembrolizumab antibodies will be collected as described in the Time and Events schedule (Time and Events Table Section). The actual date and time of each blood sample collection will be recorded. Details of blood sample collection (including volume to be collected), processing, storage, and shipping procedures are provided in the SRM.

The timing and number of planned immunogenicity samples may be altered during the course of the study, based on newly-available data to ensure appropriate safety monitoring. In the event of a hypersensitivity reaction that is either 1) clinically-significant in the opinion of the investigator, or 2) leads to the subject withdrawing from the study, blood samples should be taken from the subject for immunogenicity testing at the time of the event and again 30 days, 12 weeks, and 24 weeks after. For subjects who prematurely withdraw from the study, immunogenicity testing will occur at withdrawal and at follow-up 30 days, 12 weeks, and 24 weeks after the last dose.

Serum will be tested for the presence of anti-ANTIBODY 106-222 antibodies using the currently approved analytical methodology using a tiered testing schema: screening, confirmation and titration steps. The presence of treatment emergent ADA will be determined using a ANTIBODY 106-222 bridging style ADA assay with a bio-analytically determined cut-point determined during assay validation. Samples taken after dosing with ANTIBODY 106-222 that have a value at or above the cut-point will be considered treatment-emergent ADA-positive. These ADA positive samples will be further evaluated in a confirmatory assay, and confirmed positive samples will be further characterized by assessment of titer. Results of anti-ANTIBODY 106-222 antibody testing will be reported at the end of the study and will include incidence and titer. The presence or absence of antibodies to ANTIBODY 106-222 in dosed subjects will be analyzed, then summarized descriptively and/or graphically presented.

Other Analyses

Translational Research Analyses

The results of translational research investigations may be reported in the main clinical study report (CSR). All endpoints of interest from all comparisons will be descriptively and/or graphically summarized as appropriate to the data.

Further details on the translational research analyses will be addressed in the RAP.

Novel Biomarker(s) Analyses

The results of these biomarker investigations may be reported separately from the main clinical study report. All endpoints of interest from all comparisons will be descriptively and/or graphically summarized as appropriate to the data.

- 5 Additional exploratory analyses may be performed to further characterize the novel biomarker.

Pharmacogenetic Analyses

Further details on PGx analyses will be addressed in

Appendix 7 and the PGx RAP.

10

References

- Andrade RJ, Robles M, Lucena MI. Rechallenge in drug-induced liver injury: the attractive hazard. *Expert Opin Drug Saf.* 2009; 8:709-714.
- 15 Betting DJ, Yamada RE, Kafi K, Said J, van Rooijen N, Timmerman JM. Intratumoral But Not Systemic Delivery of CpG Oligodeoxynucleotide Augments the Efficacy of Anti-CD20 Monoclonal Antibody Therapy Against B Cell Lymphoma. *J Immunother.* 2009;32:622-631 .
- Brennan FR, Morton LD, Spindeldreher S, Kiessling A, Allenspach R, Hey A, et al. Safety and immunotoxicity assessment of immunomodulatory monoclonal antibodies. *mAbs.* 2010; 2:233-255.
- 20 Bulliard, Y., Jolicoeur, R., Windman, M., Rue, S. M., Ettenberg, S., Knee, D. A., Brogdon, J. L. (2013). Activating Fcγ receptors contribute to the antitumor activities of immunoregulatory receptor-targeting antibodies. *The Journal of Experimental Medicine*, 210(9), 1685-93. <http://doi.org/10.1084/jem.20130573>
- 25 Bulliard, Y., Jolicoeur, R., Zhang, J., Dranoff, G., Wilson, N. S., & Brogdon, J. L. (2014). OX40 engagement depletes intratumoral Tregs via activating FcγRs, leading to antitumor efficacy. *Immunology and Cell Biology*. <http://doi.org/10.1038/icb.2014.26>
- Chen DS, Mellman I. Oncology meets immunology: The cancer-immunity cycle. *Immunity.* 2013; 39:1-10.

- Cheng X, Veverka V, Radhakrishnan A, Waters LC, Muskett FW, Morgan SH, et al. Structure and interactions of the human programmed cell death 1 receptor. *J Biol Chem.* 2013; 288:1 1771-1 1785.
- 5 Croft M. Control of Immunity by the TNFR-Related Molecule OX40 (CD134). *Ann Rev Immunol.* 2010;28:57-78.
- Curti BD, Kovacsovics-Bankowski M, Morris N, Walker E, Chisholm L, Floyd K, et al. OX40 is a potent immune-stimulating target in late-stage cancer patients. *Cancer Res.* 2013; 73:7189-7198.
- 10 duPre' SA, Hunter Jr. KW. Murine Mammary carcinoma 4T1 induces a leukemoid reaction with splenomegaly: Association with tumor-derived growth factors. *Exp Mol Pathol.* 2007; 82:12-24.
- Eisenhauer EA, Therasse P, Bogaerts J, Schwartz LH, Sargent D, Ford R, et al. New response evaluation criteria in solid tumors: Revised RECIST guidelines (version 1.1). *Eur J Cancer.* 2009; 45:228-247.
- 15 Guo Z, Wang X, Cheng, D, et al. PD-1 blockade and OX40 triggering synergistically protects against tumor growth in a murine model of ovarian cancer. *PLOS ONE.* 2014;9:1-10
- Hatcher RA, Trussell J, Nelson AL, Cates W Jr, Stewart F, Kowal D, editors. *Contraceptive Technology.* 19th edition. New York: Ardent Media, 2007; 24. Table 3-2.
- 20 Huang X, Venet F, Wang YL, Lepape A, Yuan Z, Chen Y, et al. PD-1 expression by macrophages plays a pathologic role in altering microbial clearance and the innate inflammatory response to sepsis. *Proc Natl Acad Sci U S A.* 2009; 106:6303-6308.
- Hunt, CM. Mitochondrial and immunoallergic injury increase risk of positive drug rechallenge after drug-induced liver injury: A systematic review. *Hepatology.* 2010; 52:2216-2222.
- 25 Ito T, Wang YH, Duramad O, Hanabuchi S, Perng OA, et al. OX40 ligand shuts down IL-10-producing regulatory T cells. *PNAS USA.* 2006; 103: 13138-13143.
- James LP, Letzig L, Simpson PM, Capparelli E, Roberts DW, Hinson JA, et al. Pharmacokinetics of acetaminophen-adduct in adults with acetaminophen overdose and acute liver failure. *Drug Metab Dispos.* 2009; 37:1779-1784.

- Karim R, Jordanova ES, Piersma SJ, Kenter GG, Chen L, Boer JM, et al. Tumor-expressed B7-H1 and B7-DC in relation to PD-1+ T-cell infiltration and survival of patients with cervical carcinoma. *Clin Cancer Res.* 2009; 15:6341-6347.
- 5 Keir ME, Butte MJ, Freeman GJ, Sharpe AH. PD-1 and its ligands in tolerance and immunity. *Ann Rev Immunol.* 2008; 26:677-704.
- KEYTRUDA (pembrolizumab) prescribing information. Merck Sharp & Dohme Corporation, Whitehouse Station, New Jersey, USA, September 2014.
- Lazar-Molnar E, Yan Q, Cao E, Ramagopal U, Nathenson SG, Almo SC. Crystal structure of the complex between programmed death-1 (PD-1) and its ligand PD-L2. *Proc Natl Acad Sci U S A.* 2008; 105:10483-10488.
- 10 Le Gal F, Gordien E, Affolabi D, Hanslik T, Alloui C, Deny P, et al. Quantification of hepatitis delta virus RNA in serum by consensus real-time per indicates different patterns of virological response to interferon therapy in chronically infected patients. *J Clin Microbiol.* 2005; 43:2363-2369.
- 15 Lee DW, Gardner R, Porter DL, Louis CU, Ahmed N, Jensen M, et al. Current concepts in the diagnosis and management of cytokine release syndrome. *Blood.* 2014; 124:188-195.
- Lee JJ, Liu DD. A predictive probability design for Phase II cancer clinical trials. *Clin Trials.* 2008; 5:93-106.
- Levey AS, Stevens LA, Schmid CH, Zhang Y, Castro AF, Feldman HI, et al. A New Equation to Estimate Glomerular Filtration Rate. *Ann Intern Med.* 2009; 150:604-612.
- 20 Lin DY, Tanaka Y, Iwasaki M, Gittis AG, Su H.-P, Mikami B, et al. The PD-1/PD-L1 complex resembles the antigen-binding Fv domains of antibodies and T cell receptors. *Proc Natl Acad Sci U S A.* 2008; 105:3011-3016.
- Liu C, Lou Y, Lizee G, Qin H, Liu S, et al. Plasmacytoid dendritic cells induce NK cell-dependent tumor antigen-specific T cell cross-priming and tumor regression in mice. *J Clin Invest.* 2008; 118:165-75.
- 25 Mall C, Sckisel GD, Mirsoian A, Grossenbacher SK, Murphy WJ. Monoclonal antibody therapies targeting immune checkpoints induce fatal anaphylactic reactions in a murine model of breast cancer. *J Immunother Cancer.* 2014; 2:P111.

- Marabelle, A., Kohrt, H., Sagiv-Barfi, I., Ajami, B., Axtell, R., Zhou, G., Levy, R. (2013). Depleting tumor-specific Tregs at a single site eradicates disseminated tumors. *Journal of Clinical Investigation*, Jun 3; 123(6), 2447-2463. <http://doi.org/10.1172/JCI64859>
- 5 Maude SL, Barrett D, Teachey DT, Grupp SA. Managing cytokine release syndrome associated with novel T cell-engaging therapies. *Cancer J*. 2014; 20:1 19-222.
- Merck Sharp & Dohme Corp. Investigator's brochure for pembrolizumab. 19-Dec-2014
- Neuenschwander B, Branson M, Gsponer T. Critical aspects of the Bayesian approach to phase I cancer trials. *Statistics Med*. 2008; 27:2420-2439.
- 10 Nishimura H, Agata Y, Kawasaki A, Sato M, Imamura S, Minato N, et al. Developmentally regulated expression of the PD-1 protein on the surface of double-negative (CD4-CD8-) thymocytes. *Int Immunol*. 1996; 8:773-780.
- NYHA: The Criteria Committee of the New York Heart Association (NYHA). Nomenclature and criteria for diagnosis of diseases of the heart and great vessels. 9th Ed. Boston, Mass: Little, Brown & Co.; 1994:253-256.
- 15 Oken, MM, Creech, RH, Tormey, DC, Horton, J, Davis, TE, McFadden, ET, et al. Toxicity and response criteria of the Eastern Cooperative Oncology Group. *Am J Clin Oncol*. 1982; 5:649-655.
- OPDIVO® (nivolumab) prescribing information. Bristol-Myers Squibb Company, Princeton, New Jersey, USA, December 2014.
- 20 Ott PA, FS Hodi, Robert C. CTLA-4 and PD-1/PD-L1 blockade: new immunotherapeutic modalities with durable clinical benefit in melanoma patients. *Clin Cancer Res*. 2013; 19:5300-5309.
- Papay JI, Clines D, Rafi R, Yuen N, Britt SD, Walsh JS, et al. Drug-induced liver injury following positive drug rechallenge. *Regul Tox Pharm*. 2009; 54:84-90.
- 25 Pardoll DM. The blockade of immune checkpoints in cancer immunotherapy. *Nature Rev Cancer*. 2012; 12:252-264.
- Pea-Cruz V, McDonough SM, Diaz-Griffero F, Crum CP, Carrasco RD, Freeman GJ. PD-1 on immature and PD-1 ligands on migratory human Langerhans cells regulate antigen-presenting cell activity. *J Invest Dermatol*. 2010; 130:2222-2230.

- Pedoeem A, Azoulay-Alfaguter I, Strazza M, Silverman GJ, Mor A. Programmed death-1 pathway in cancer and autoimmunity. *Clin Immunol.* 2014; 153:145-52.
- Poole RM. Pembrolizumab: First Global Approval. *Drugs.* 2014.
- Robert C, Ribas A, Wolchok JD, Hodi FS, Hamid O, Kefford R, et al. Anti-programmed-death-receptor-1 treatment with pembrolizumab in ipilimumab-refractory advanced melanoma: A randomised dose-comparison cohort of a phase 1 trial. *Lancet.* 2014; 384:1109-1117.
- Sanmamed MF, Chen L. Inducible expression of B7-H1 (PD-L1) and its selective role in tumor site immune modulation. *Cancer J.* 2014; 20:256-261.
- 10 Schwartz LB. Diagnostic value of tryptase in anaphylaxis and mastocytosis. *Immunol Allergy Clin.* 2006; 26:451-463.
- Selby, M. J., Engelhardt, J. J., Quigley, M., Henning, K. A., Chen, T., Srinivasan, M., & Korman, A. J. (2013). Anti-CTLA-4 Antibodies of IgG2a Isotype Enhance Antitumor Activity through Reduction of Intratumoral Regulatory T Cells. *Cancer Immunology Research.* 15 <http://doi.org/10.1158/2326-6066.CIR-13-0013>
- Shah, DK, Betts, AM. Antibody biodistribution coefficients: Inferring tissue concentrations of monoclonal antibodies based on the plasma concentrations in several preclinical species and human. *mAbs.* 2013; 5:2, 297-305.
- Sheppard KA, Fitz LJ, Lee JM, Benander C, George JA, Wooters J, et al. PD-1 inhibits T-cell receptor induced phosphorylation of the ZAP70/CD3zeta signalosome and downstream signaling to PKC θ . *FEBS Lett.* 2004; 574:37-41.
- 20 Simpson, T. R., Li, F., Montalvo-Ortiz, W., Sepulveda, M. A., Bergerhoff, K., Arce, F., Quezada, S. A. (2013). Fc-dependent depletion of tumor-infiltrating regulatory T cells co-defines the efficacy of anti-CTLA-4 therapy against melanoma. *The Journal of Experimental Medicine*, 210(9), 1695-710. <http://doi.org/10.1084/jem.20130579>
- 25 Tabrizi.M, Bornstein, GG, Suria H. Biodistribution Mechanisms of Therapeutic Monoclonal Antibodies in Health and Disease. *The AAPS Journal.* 2010, Vol. 12, No. 1, March 2010.
- Taube JM, Anders RA, Young GD, Xu H, Sharma R, McMiller TL, et al. Colocalization of inflammatory response with B7-h1 expression in human melanocytic lesions supports an adaptive resistance mechanism of immune escape. *Sci Transl Med.* 2012; 4:Article 127ra37.
- 30 TCGA Research Network: <http://cancergenome.nih.gov>. Accessed August 2014.

Topalian SL, Drake CG, Pardoll DM. Targeting the PD-1/B7-H1(PD-L1) pathway to activate anti-tumor immunity. *Curr Opin Immunol.* 2012; 24:207-212.

5 Vetto JT, Lum S, Morris A, Sicotte M, Davis J, Lemon M, Weinberg A. Presence of the T-cell activation marker OX-40 on tumor infiltrating lymphocytes and draining lymph node cells from patients with melanoma and head and neck cancers. *Am J Surg.* 1997 Sep;174(3):258-65

Wang W, Wang EQ, Balthasar JP. Monoclonal Antibody Pharmacokinetics and Pharmacodynamics. *Clin Pharmacol Ther.* 2008; 84:548-558.

10 Weber JS, Kahler KC, Hauschild A. Management of immune-related adverse events and kinetics of response with ipilimumab. *J Clin Oncol.* 2012; 30:2691-2697.

White AL, Chan HT, French RR, Beers SA, Cragg MS, Johnson PW, Glennie MJ. FcyRIIB controls the potency of agonistic anti-TNFR mAbs. *Cancer Immunol Immunother.* 2013 May;62(5):941-8.

15 Wolchok JD, Hoos A, O'Day S, Weber JS, Hamid O, Lebbe C, et al. Guidelines for the Evaluation of Immune Therapy Activity in Solid Tumors: Immune-Related Response Criteria. *Clin Cancer Res* 2009;15(23): 7412-20.

Wolchok JD, Kluger H, Callahan MK, Postow MA, Rizvi NA, Lesokhin AM, et al. Nivolumab plus ipilimumab in advanced melanoma. *N Engl J Med.* 2013; 369:122-133.

20 Yao S, Chen L. PD-1 as an immune modulatory receptor. *Cancer J (United States).* 2014; 20:262-264.

Zamarin D, Postow MA. Immune checkpoint modulation: Rational design of combination strategies. *Pharmacol Ther.* 2015 Jan 10 pii: S0163-7258(15)00004-2. doi: 10.1016/j.pharmthera.2015.01 .003. [Epub ahead of print].

25 Zhang X, Schwartz J-CD, Guo X, Bhatia S, Cao E, Lorenz M, et al. Structural and functional analysis of the costimulatory receptor programmed death-1. *Immunity.* 2004; 20: 337-347.

Abbreviations

ACT	Adoptive cell transfer
ADA	Antidrug antibody
ADCC	Antibody-dependent cellular cytotoxicity
AE	Adverse event(s)

AESI	Adverse events of special interest
ALT	Alanine aminotransferase
ANC	Absolute neutrophil count
AST	Aspartate aminotransferase
AUC(O-t)	Area under the plasma concentration-time curve from time 0 to the time of the last quantifiable concentration)
AUC(O-T)	Area under the concentration-time curve over the dosing interval
BAL	Bronchoalveolar lavage
β-hCG	Beta-human chorionic gonadotropin
BUN	Blood urea nitrogen
CKD-EPI	Chronic Kidney Disease Epidemiology Collaboration
CL	Systemic clearance of parent drug
CrCl	Calculated creatinine clearance
Cmax	Maximum observed concentration
Cmin	Minimum observed concentration
CNS	Central nervous system
CONSORT	Consolidated Standards of Reporting Trials
CPK	Creatine phosphokinase
CPMS	Clinical Pharmacology Modeling and Simulation
CR	Complete response
CRM	Continual reassessment method
CRP	C-reactive protein
CRS	Cytokine release syndrome
CSR	Clinical Study Report
CT	Computed tomography
CTLA-4	Cytotoxic T-lymphocyte-associated antigen 4
CV	Cardiovascular
DCR	Disease Control Rate
DFS	Disease-free survival
DFSFU	Disease-free survival follow-up
DILI	Drug-induced liver injury
dL	Deciliter
DLT	Dose-limiting toxicity
DNA	Deoxyribonucleic acid

DRE	Disease-related event
ECG	Electrocardiogram(s)
ECOG	Eastern Cooperative Oncology Group
EOI	End of infusion
EOPI	End of pembrolizumab infusion
eCRF	Electronic case report form
FACTS	Fixed and Adaptive Clinical Trial Simulator
FcyR	Antibody receptor crystalizable fragments gamma
FDA	Food and Drug Administration
FDG-PET	Fluorodeoxyglucose-positron-emission tomography
FRP	Females of reproductive potential
FSH	Follicle stimulating hormone
FTIH	First time in human
GCP	Good Clinical Practice
G-CSF	Granulocyte colony-stimulating factor
GITR	Glucocorticoid-induced TNFR family related gene
GM-CSF	Granulocyte-macrophage colony-stimulating factor
GSK	GlaxoSmith Kline
HED	Human equivalent dose
HNSTD	Highest non-severely toxic dose
HPLC	High-performance liquid chromatography
h	Hour(s)
HRT	Hormone replacement therapy
IB	Investigator's Brochure
ICH	International Council on Harmonization of Technical Requirements for Registration of Pharmaceuticals for Human Use
IEC	Independent Ethics Committee
igG	Immunoglobulin G
IgM	Immunoglobulin M
IHC	Immunohistochemistry
IL-10	Interleukin 10
INR	International normalized ratio
IP	Intraperitoneal
irAE	Immune-related adverse event(s)

IRB	Institutional Review Board(s)
irRECIST	Immune-related RECIST
ITIM	Immunoreceptor tyrosine-based inhibition motif
ITSM	Immunoreceptor tyrosine-based switch motif
IV	Intravenous
Kd	Equilibrium dissociation constant
kg	Kilogram(s)
L	Liter
LDH	Lactate dehydrogenase
LFT	Liver function Tests
µg	Microgram
mAb	Monoclonal antibody
MABEL	Minimum anticipated biological effect level
MAD	Maximum administered dose
MCH	Mean corpuscular hemoglobin
MCV	Mean corpuscular volume
MedDRA	Medical Dictionary for Regulatory Activities
mg	Milligram(s)
min	Minute(s)
ml	Milliliter(s)
mmHg	Millimeters of mercury
MRI	Magnetic resonance imaging
MSDS	Material Safety Data Sheet
MSI CRC	Colorectal carcinoma displaying microsatellite instability
MTD	Maximum tolerated dose
NCI-CTCAE	National Cancer Institute - Common Terminology Criteria for Adverse Events
NK	Natural killer
NOAEL	No observed adverse effect level
NSCLC	Non-small cell lung cancer
nTregs	Natural Tregs
NYHA	New York Heart Association
ORR	Objective response rate
OS	Overall survival
PBMC	Peripheral blood mononuclear cell

PD-1	Programmed death receptor-1
PD	Progressive disease
PD-L1	Programmed death ligand 1
PD-L2	Programmed death ligand 2
PFS	Progression-free survival
PGx	Pharmacogenetics
PI	Principal investigator
PK	Pharmacokinetic(s)
PR	Partial response
PS	Performance status
Q2W	Every 2 weeks
Q3W	Every 3 weeks
QTc	Corrected QT interval duration
QTcF	QT duration corrected for heart rate by Fridericia's formula
RAP	Reporting and Analysis Plan
RANKL	Receptor activator of nuclear factor-kappaB ligand
RBC	Red blood cells
RCC	Renal cell carcinoma
RECIST	Response Evaluation Criteria in Solid Tumors
RNA	Ribonucleic acid
RP2D	Recommended Phase 2 dose
SAE	Serious adverse event(s)
SCCHN	Squamous cell carcinoma of the head and neck
sCRS	Severe cytokine release syndrome
SD	Stable disease
SFU	Survival follow-up
SLD	Sum of the longest diameters
SRM	Study Reference Manual
STS	Soft tissue sarcoma
TCR	T-cell receptor
TDV	Treatment discontinuation visit
TILs	Tumor infiltrating lymphocytes
TNBC	Triple-negative breast cancer
TNF	Tumor necrosis factor
TNFR	Tumor necrosis factor receptor

Tregs	Regulatory T cells
Tr1	Type 1 regulatory
TSH	Thyroid stimulating hormone
ULN	Upper limit of normal
WBC	White blood cells
WNL	Within normal limits

Trademark Information

Trademarks of the GlaxoSmithKline group of companies
NONE

Trademarks not owned by the GlaxoSmithKline group of companies
KEYTRUDA®
OPDIVO®
YERVOY®

Guidelines for Assessment of Disease, Disease Progression and Response Criteria - adapted from RECIST version 1.1

5

Assessment Guidelines

Please note the following:

10

- The same diagnostic method, including use of contrast when applicable, must be used throughout the study to evaluate a lesion. Contrast agents must be used in accordance with the Image Acquisition Guidelines.

- All measurements should be taken and recorded in millimeters (mm), using a ruler or calipers.

15

- Ultrasound is not a suitable modality of disease assessment. If new lesions are identified by ultrasound, confirmation by CT or MRI is required.

20

- Fluorodeoxyglucose (FDG)-PET is generally not suitable for ongoing assessments of disease. However FDG-PET can be useful in confirming new sites of disease where a positive FDG-PET scans correlates with the new site of disease present on CT/MRI or when a baseline FDG-PET was previously negative for the site of the new lesion. FDG-PET may also be used in lieu of a standard bone scan providing coverage allows interrogation of all likely sites of bone disease and FDG-PET is performed at all assessments.

- If PET/CT is performed then the CT component can only be used for standard response assessments if performed to diagnostic quality, which includes the required anatomical coverage and prescribed use of contrast. The method of assessment should be noted as CT on the eCRF.

5 **Clinical Examination:** Clinically detected lesions will only be considered measurable when they are superficial (e.g., skin nodules). In the case of skin lesions, documentation by color photography, including a ruler/calipers to measure the size of the lesion, is required.

CT and MRI: Contrast enhanced CT with 5mm contiguous slices is recommended.

10 Minimum size of a measurable baseline lesion should be twice the slice thickness, with a minimum lesion size of 10 mm when the slice thickness is 5 mm. MRI is acceptable, but when used, the technical specification of the scanning sequences should be optimized for the evaluation of the type and site of disease and lesions must be measured in the same anatomic plane by use of the same imaging examinations. Whenever possible, the same scanner should be used.

15 **X-ray:** In general, X-ray should not be used for target lesion measurements owing to poor lesion definition. Lesions on chest X-ray may be considered measurable if they are clearly defined and surrounded by aerated lung; however chest CT is preferred over chest X-ray.

Brain Scan: If brain scans are required, then contrast enhanced MRI is preferable to contrast enhanced CT.

20 **Guidelines for Evaluation of Disease**

Measurable and Non-measurable Definitions

Measurable lesion:

A non-nodal lesion that can be accurately measured in at least one dimension (longest dimension) of

- 25
- ≥ 10 mm with MRI or CT when the scan slice thickness is no greater than 5 mm. If the slice thickness is greater than 5 mm, the minimum size of a measurable lesion must be at least double the slice thickness (e.g., if the slice thickness is 10 mm, a measurable lesion must be ≥ 20 mm).
 - ≥ 10 mm caliper/ruler measurement by clinical exam or medical photography.
- 30
- ≥ 20 mm by chest X-ray.

- Additionally lymph nodes can be considered pathologically enlarged and measurable if

≥15 mm in the short axis when assessed by CT or MRI (slice thickness recommended to be no more than 5 mm). At baseline and follow-up, only the short axis will be measured.

5 **Non-measurable lesion:**

All other lesions including lesions too small to be considered measurable (longest diameter <10 mm or pathological lymph nodes with ≥ 10 mm and <15 mm short axis) as well as truly non-measurable lesions, which include: leptomeningeal disease, ascites, pleural or pericardial effusions, inflammatory breast disease, lymphangitic involvement of the skin or lung, abdominal masses/abdominal organomegaly identified by physical exam that is not measurable by reproducible imaging techniques

10

Measurable disease: The presence of at least one measurable lesion. Palpable lesions that are not measurable by radiologic or photographic evaluations may not be utilized as the only measurable lesion.

15

Non-Measurable only disease: The presence of only non-measurable lesions. Note: non-measurable only disease is not allowed per protocol.

Immune-Related RECIST Response Criteria

Table 22: Evaluation of target lesions

New, measurable ^a lesions	Incorporated into tumor burden
New, nonmeasurable lesions	Do not define progression (but preclude mCR)
irCR	Disappearance of all lesions in two consecutive observations not less than 4 weeks apart. Any pathological lymph nodes (whether target or non-target) must have reduction in short axis to <10 mm.
irPR	≥30% decrease in tumor burden compared with baseline in two observations at least 4 weeks apart
irSD	30% decrease in tumor burden compared with baseline cannot be established nor 20% increase compared with nadir
irPD ^b	At least 20% increase in tumor burden compared with nadir (at any single time point) in two consecutive observations at least 4 weeks apart. In addition to the relative increase of 20%, the sum must also demonstrate an absolute increase of at least 5 mm.

a. Measurable according to RECIST v1.1.

b. Treatment decisions will be based upon the immune-related RECIST criteria.

Antitumor response based on total measurable tumor burden

5 For the Modified RECIST criteria based on RECIST v1.1 and Immune-Related RECIST
 Criteria [Wolchok, 2009], the initial index and measurable new lesions are taken into
 account. At the baseline tumor assessment, the sum of the longest diameters (SLD) in the
 plane of measurement of all index lesions (maximum of five lesions total (and a maximum of
 two lesions per organ) representative of all involved) is calculated. Note: If lymph nodes are
 included in the SLD, only the short axis of the lymph node(s) is added into the sum. The
 10 short axis is the longest perpendicular diameter to the longest diameter of a lymph node or
 nodal mass. At each subsequent tumor assessment, the SLD of the baseline index lesions
 and of new, measurable lesions (≥10 mm; up to 5 new lesions per organ: 5 new cutaneous
 lesions and 10 visceral lesions) are added together to provide the total tumor burden:

$$\text{Tumor Burden} = \text{SLD}_{\text{index lesions}} + \text{SLD}_{\text{new, measurable lesions}}$$

Time-point response assessment using the Immune-Related RECIST criteria

Percentage changes in tumor burden per assessment time point describe the size and growth kinetics of both conventional and new, measurable lesions as they appear. At each tumor assessment, the response in index and new, measurable lesions is defined based on the change in tumor burden (after ruling out irPD). Decreases in tumor burden must be assessed relative to baseline measurements (i.e., the SLD of all index lesions at screening).

Evaluation of non-target lesions

Definitions for assessment of response for non-target lesions are as follows:

- Complete Response (CR): The disappearance of all non-target lesions. All lymph nodes identified as a site of disease at baseline must be non-pathological (e.g. <10 mm short axis).
- Non-CR/Non-PD: The persistence of 1 or more non-target lesion(s) or lymph nodes identified as a site of disease at baseline ≥ 10 mm short axis.
- Progressive Disease (PD): Unequivocal progression of existing non-target lesions.
- Not Applicable (NA): No non-target lesions at baseline.
- Not Evaluable (NE): Cannot be classified by one of the four preceding definitions.

Note:

- In the presence of measurable disease, progression on the basis of solely non-target disease requires substantial worsening such that even in the presence of SD or PR in target disease, the overall tumor burden has increased sufficiently to merit discontinuation of therapy.
- Sites of non-target lesions, which are not assessed at a particular time point based on the assessment schedule, should be excluded from the response determination (e.g. non-target response does not have to be "Not Evaluable").

25 New lesions

New malignancies denoting disease progression must be unequivocal. Lesions identified in follow-up in an anatomical location not scanned at baseline are considered new lesions.

Any equivocal new lesions should continue to be followed. Treatment can continue at the discretion of the investigator until the next scheduled assessment. If at the next assessment the new lesion is considered to be unequivocal, progression should be documented.

Evaluation of overall response

Table 23 presents the overall response at an individual time point for all possible combinations of tumor responses in target and non-target lesions with or without the appearance of new lesions for subjects with measurable disease at baseline.

5 **Table 23: Evaluation of Overall Response for Subjects with Measurable Disease at Baseline**

Target Lesions	Non-Target Lesions	New Lesions	Overall Response
CR	CR or NA	No	CR
CR	Non-CR/Non-PD or NE	No	PR
PR	Non-PD or NA or NE	No	PR
SD	Non-PD or NA or NE	No	SD
NE	Non-PD or NA or NE	No	NE
PD	Any	Yes or No	PD
Any	PD	Yes or No	PD
Any	Any	Yes	PD

CR = Complete response, PR = Partial response, SD = Stable disease, PD = Progressive disease, NA = Not applicable, and NE = Not Evaluable

Evaluation of best overall response

10 The best overall response is the best response recorded from the start of the treatment until disease progression/recurrence and will be determined programmatically by GSK based on the investigators assessment of response at each time point.

- To be assigned a status of SD, follow-up disease assessment must have met the SD criteria at least once after the first dose at a minimum interval of days.
 - If the minimum time for SD is not met, best response will depend on the subsequent assessments. For example if an assessment of PD follows the assessment of SD and SD does not meet the minimum time requirement the best response will be PD. Alternatively, subjects lost to follow-up after an SD assessment not meeting the minimum time criteria will be considered not evaluable.
- 15

Confirmation Criteria:

To be assigned a status of PR or CR, a confirmatory disease assessment should be performed no less than 4 weeks (28 days) after the criteria for response are first met.

ECOG Performance Status^a

Grade	Descriptions
0	Normal activity. Fully active, able to carry on all pre-disease performance without restriction.
1	Symptoms, but ambulatory. Restricted in physically strenuous activity, but ambulatory and able to carry out work of a light or sedentary nature (e.g., light housework, office work).
2	In bed <50% of the time. Ambulatory and capable of all self-care, but unable to carry out any work activities. Up and about more than 50% of waking hours.
3	In bed >50% of the time. Capable of only limited self-care, confined to bed or chair more than 50% of waking hours.
4	100% bedridden. Completely disabled. Cannot carry on any self-care. Totally confined to bed or chair.
5	Dead.

a. Oken, 1982.

5

Appendix 7: Genetic Research

Genetic Research Objectives and Analyses

The objectives of the genetic research are to investigate the relationship between genetic variants and:

- 10 · Response to medicine, including ANTIBODY 106-222 or pembrolizumab or any concomitant medicines;

- NSCLC, SCCHN, RCC, melanoma, bladder, STS, TNBC or MSI CRC, susceptibility, severity, and progression and related conditions

Genetic data may be generated while the study is underway or following completion of the study. Genetic evaluations may include focused candidate gene approaches and/or
5 examination of a large number of genetic variants throughout the genome (whole genome analyses). Genetic analyses will utilize data collected in the study and will be limited to understanding the objectives highlighted above. Analyses may be performed using data from multiple clinical studies to investigate these research objectives.

Appropriate descriptive and/or statistical analysis methods will be used. A detailed
10 description of any planned analyses will be documented in a Reporting and Analysis Plan (RAP) prior to initiation of the analysis. Planned analyses and results of genetic investigations will be reported either as part of the clinical RAP and study report, or in a separate genetics RAP and report, as appropriate.

Study Population

15 Any subject who is enrolled in the study can participate in genetic research. Any subject who has received an allogeneic bone marrow transplant must be excluded from the genetic research.

Study Assessments and Procedures

A key component of successful genetic research is the collection of samples during clinical
20 studies. Collection of samples, even when no *a priori* hypothesis has been identified, may enable future genetic analyses to be conducted to help understand variability in disease and medicine response.

- A 6 mL blood sample will be taken for deoxyribonucleic acid (DNA) extraction. A
25 blood sample is collected at the baseline visit, after the subject has met all eligibility requirements and provided informed consent for genetic research. Instructions for collection and shipping of the genetic sample are described in the laboratory manual. The DNA from the blood sample may undergo quality control analyses to confirm the integrity of the sample. If there are concerns regarding the quality of the sample, then the sample may be destroyed. The blood sample is taken on a single occasion
30 unless a duplicate sample is required due to an inability to utilize the original sample.

The genetic sample is labeled (or "coded") with the same study specific number used to label other samples and data in the study. This number can be traced or linked back to the

subject by the investigator or site staff. Coded samples do not carry personal identifiers (such as name or social security number).

5 Samples will be stored securely and may be kept for up to 15 years after the last subject completes the study, or GSK may destroy the samples sooner. GSK or those working with GSK (for example, other researchers) will only use samples collected from the study for the purpose stated in this protocol and in the informed consent form. Samples may be used as part of the development of a companion diagnostic to support the GSK medicinal product.

Subjects can request their sample to be destroyed at any time.

Informed Consent

10 Subjects who do not wish to participate in the genetic research may still participate in the study. Genetic informed consent must be obtained prior to any blood sample for genetic research being taken.

Subject Withdrawal from Study

15 If a subject who has consented to participate in genetic research withdraws from the clinical study for any reason other than being lost to follow-up, the subject will be given a choice of one of the following options concerning the genetic sample, if already collected:

- Continue to participate in the genetic research in which case the genetic DNA sample is retained
 - Discontinue participation in the genetic research and destroy the genetic DNA sample
- 20

If a subject withdraws consent for genetic research or requests sample destruction for any reason, the investigator must complete the appropriate documentation to request sample destruction within the timeframe specified by GSK and maintain the documentation in the site study records.

25 Genotype data may be generated during the study or after completion of the study and may be analyzed during the study or stored for future analysis.

- If a subject withdraws consent for genetic research and genotype data has not been analyzed, it will not be analyzed or used for future research.
 - Genetic data that has been analyzed at the time of withdrawn consent will continue to be stored and used, as appropriate.
- 30

Screen and Baseline Failures

If a sample for genetic research has been collected and it is determined that the subject does not meet the entry criteria for participation in the study, then the investigator should instruct the subject that their genetic sample will be destroyed. No forms are required to
5 complete this process as it will be completed as part of the consent and sample reconciliation process. In this instance a sample destruction form will not be available to include in the site files.

Provision of Study Results and Confidentiality of Subject's Genetic Data

10 GSK may summarize the genetic research results in the clinical study report, or separately and may publish the results in scientific journals.

GSK may share genetic research data with other scientists to further scientific understanding in alignment with the informed consent. GSK does not inform the subject, family members, insurers, or employers of individual genotyping results that are not known to be relevant to the subject's medical care at the time of the study, unless required by law. This is due to the
15 fact that the information generated from genetic studies is generally preliminary in nature, and therefore the significance and scientific validity of the results are undetermined. Further, data generated in a research laboratory may not meet regulatory requirements for inclusion in clinical care.

20 Example 2

The MC38 colon adenocarcinoma tumor model, syngeneic to the C57/BL6 strain, was used to provide evidence for improved anti-tumor activity using the combination of an anti-OX40 agonist antibody with an anti-PD-1 antagonist antibody. This experiment compared the anti-tumor response of MC38 tumor-bearing mice to treatment with one of three regimens:
25 monotherapy with a mouse anti-mouse PD-1 monoclonal antibody (anti-PD-1), monotherapy with a rat anti-mouse OX40 antibody, clone OX-86, (anti-OX40) and combination therapy with these two agents administered concurrently. In this study, anti-PD-1 was administered at 5 mg/kg, intraperitoneal[^] (IP), every 5 days for each of 4 cycles. Anti-OX40 was administered at 10 mg/kg, IP, every 5 days for each of 4 cycles. The isotype control arm
30 entailed a combination of a mouse monoclonal antibody specific for adenoviral hexon 25 of the isotype IgG1, administered at 5 mg/kg, IP, every 5 days for each of 4 cycles, and a rat monoclonal antibody specific for human IL-4 of the isotype IgG1, administered at 10 mg/kg,

IP, every 5 days for each of 4 cycles. Treatment was initiated when the mean tumor volume reached 115 mm³ (Day 0).

As demonstrated by results shown in Figure 16, the mean anti-tumor response of combination therapy with the PD-1 antagonist and anti-OX40 agonist was greater than the anti-tumor response observed with either anti-OX40 single agent treatment (p=0.00005 two-sided p-values Gehan-Breslow nonparametric test) or anti-PD-1 (p=0.00005 two-sided p-values Gehan-Breslow nonparametric test) single agent treatment at Day 18. As shown in Figure 16, the combination of these two agents demonstrated 5 out of 12 complete regressions such that no measureable tumor remained and 7 out of 12 partial regressions wherein tumor volume on Day 18 was less than the starting tumor volume on Day 0. Complete and partial regressions were not observed in the single agent treatment groups. The statistical significance of the responses to the different treatments was determined using a Fishers Exact Pair-Wise Test, and the results are shown in Table 24. Treatment tolerability was assessed by monitoring animal body weight. There was no significant body weight loss associated with administration of single agents or combination therapy indicating that treatments were well tolerated. Three animal deaths (two in the isotype control group, one in the combination group) appeared to be due to fighting-associated wounds and were not treatment related.

Table 24: Two-tailed Fisher's exact test: pairwise comparison of tumor volumes at day 18

Treatment Pairs	Tumor Volume (Day 18)			P Value
	Non-Zero	Zero	Total	
rat Isotype	12	0	12	>0.9999
10 mg/kg anti-OX40	12	0	12	
Total	24	0	24	
Treatment Pairs	Tumor Volume (Day 18)			P Value
	Non-Zero	Zero	Total	
murine Isotype	12	0	12	>0.9999
5 mg/kg anti-mPD-1	12	0	12	
Total	24	0	24	

Treatment Pairs	Tumor Volume (Day 18)			P Value
	Non-Zero	Zero	Total	
5 mg/kg anti-mPD-1	12	0	12	>0.9999
10 mg/kg anti-OX40	12	0	12	
Total	24	0	24	
Treatment Pairs	Tumor Volume (Day 18)			P Value
	Non-Zero	Zero	Total	
5 mg/kg anti-mPD-1	12	0	12	0.0373
5 mg/kg anti-mPD-1 +10 mg/kg anti-OX40	7	5	12	
Total	19	5	24	
Treatment Pairs	Tumor Volume (Day 18)			P Value
	Non-Zero	Zero	Total	
10 mg/kg anti-OX40	12	0	12	0.0373
5 mg/kg anti-mPD-1 +10 mg/kg anti-OX40	7	5	12	
Total	19	5	24	

Two-tailed Fisher's exact test: pairwise comparison of tumor volumes at Day 18

We claim:

1. A method of treating cancer in a human in need thereof comprising administering to the human:

5 a therapeutically effective amount of a monoclonal antibody that binds to human OX40 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:1; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:2; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:3; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:7; (e) a light chain variable
10 region CDR2 comprising the amino acid sequence of SEQ ID NO:8; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:9; and

a therapeutically effective amount of a monoclonal antibody that binds to human PD-1 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:54; (b) a heavy chain variable region CDR2 comprising the
15 amino acid sequence of SEQ ID NO:55; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:56; (d) a light chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:57; (e) a light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:58; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:59.

20 2. The method of claim 1, wherein the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and a VL region comprising an amino acid
25 sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 11.

30 3. The method of claim 1, wherein the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100%
sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

35 4. The method of claim 1, wherein the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino

acid sequence as set forth in SEQ ID NO:5 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 11, and the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

5

10

5. The method of claim 1, wherein the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:48 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:49.

15

20

6. The method of claim 1, wherein the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:50 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51 .

25

30

35

7. The method of claim 1, wherein the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:48 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:49, and the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 50 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51 .

8. The method of claim 1, wherein the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO:48 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:49, and the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO: 50 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:51 .
9. The method of any one of claims 1 to 8, wherein the cancer is a solid tumor.
10. The method of any one of claims 1 to 9 wherein the cancer is selected from the group consisting of: melanoma, lung cancer, kidney cancer, breast cancer, head and neck cancer, colon cancer, ovarian cancer, pancreatic cancer, liver cancer, prostate cancer, bladder cancer, and gastric cancer.
11. The method of any one of claims 1 to 10, wherein the monoclonal antibody that binds to OX40 and the monoclonal antibody that binds to human PD-1 are administered at the same time.
12. The method of any one of claims 1 to 10, wherein the monoclonal antibody that binds to human OX40 and the monoclonal antibody that binds to human PD-1 are administered sequentially, in any order.
13. The method of any one of claims 1 to 12, wherein the monoclonal antibody that binds to OX40 and/or the monoclonal antibody that binds to human PD-1 are administered intravenously.
14. The method of any one of claims 1 to 12, wherein the monoclonal antibody that binds to OX40 and/or the monoclonal antibody that binds to human PD-1 are administered intratumorally.
15. The method of any one of claims 1 to 14, wherein the monoclonal antibody that binds to OX40 is administered at a dose of about 0.1 mg/kg to about 10 mg/kg.
16. The method of claim 1 to 15 wherein the monoclonal antibody that binds to OX40 is administered at a frequency selected from the group consisting of: once daily, once weekly, once every two weeks (Q2W), and once every three weeks (Q3W).

17. The method of anyone of claims 1 to 16 wherein the monoclonal antibody that binds to human PD-1 is administered at a dose of about 200 mg.

5 18. The method of anyone of claims 1 to 17 wherein the monoclonal antibody that binds to human PD-1 is administered Q3W.

19. A method of reducing tumor size in a human having cancer comprising administering a therapeutically effective amount of a monoclonal antibody that binds to human OX40 that comprises a heavy chain comprising the amino acid sequence set
10 forth in SEQ ID NO:48 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:49, and a therapeutically effective amount of a monoclonal antibody that binds to human PD-1 that comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO: 50 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:51. to said human.

15 20. The method of claim 19 wherein the human demonstrates complete response or partial response according to RECIST version 1.1.

21. The method of any one of claims 1-10, or 12-20, wherein the monoclonal antibody that binds to human PD-1 is intravenously administered to the human starting at least 1
20 hour and no more than 2 hours following the end of intravenous administration of the monoclonal antibody that binds to human OX40.

22. A pharmaceutical composition or kit comprising
25 a therapeutically effective amount of a monoclonal antibody that binds to human OX40 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid sequence of SEQ ID NO:1; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:2; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:3; (d) a light chain variable region
30 CDR1 comprising the amino acid sequence of SEQ ID NO:7; (e) a light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:8; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:9; and
a therapeutically effective amount of a monoclonal antibody that binds to human PD-
1 comprising: (a) a heavy chain variable region CDR1 comprising the amino acid
35 sequence of SEQ ID NO:54; (b) a heavy chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:55; (c) a heavy chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:56; (d) a light chain variable region

CDR1 comprising the amino acid sequence of SEQ ID NO:57; (e) a light chain variable region CDR2 comprising the amino acid sequence of SEQ ID NO:58; and (f) a light chain variable region CDR3 comprising the amino acid sequence of SEQ ID NO:59.

5 23. The pharmaceutical composition or kit of claim 22, wherein the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and a VL region
10 comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:11.

15 24. The pharmaceutical composition or kit of claim 22, wherein the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

20 25. The pharmaceutical composition or kit of claim 22, wherein the monoclonal antibody that binds to human OX40 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:5 and a VL region
25 comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:11, and the monoclonal antibody that binds to human PD-1 comprises a VH region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino
30 acid sequence as set forth in SEQ ID NO:52 and a VL region comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:53.

35 26. The pharmaceutical composition or kit of claim 22, wherein the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:48 and a light chain

comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:49.

5 27. The pharmaceutical composition or kit of claim 22, wherein the monoclonal antibody that binds to human PD-1 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:50 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%,
10 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51.

28. The pharmaceutical composition or kit of claim 22, wherein the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:48 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:49, and the monoclonal antibody that binds to human PD-1
15 comprises a heavy chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO: 50 and a light chain comprising an amino acid sequence with at least 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or 100% sequence identity to the amino acid sequence as set forth in SEQ ID NO:51 .
20

25 29. The pharmaceutical composition or kit of claim 22, wherein the monoclonal antibody that binds to human OX40 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO:48 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:49, and the monoclonal antibody that binds to human
30 PD-1 comprises a heavy chain comprising the amino acid sequence set forth in SEQ ID NO: 50 and a light chain comprising the amino acid sequence set forth in SEQ ID NO:51 .

30. A combination kit comprising a pharmaceutical composition or kit according to any one of claims 22 to 29 together with one or more pharmaceutically acceptable carriers.
35

31. Use of the pharmaceutical composition or kit of claims 13 to 15 in the manufacture of a medicament for the treatment of cancer.

Figure 3

Hu106--222 VH
 SpeI
 SEQ ID No.6
ACTAGTACCACCATGGCTTGGGTGGACCTTGCCTATTCTCTGATGGCAGCTGCCCAAAGT
 M A W V W T L L F L M A A Q S
 ATCCAAGCACAGGTTCAAGTTGGTGCAGTCTGGATCTGAGCTGAAGAAGCCCTGGAGCCTCA
 I Q A Q V Q L V Q S G S E L K K P G A S
 GTC AAGGTTTCTGCAAGGCTTCTGGTTATACCTTCACAGACTATTCAATGCACCTGGGTG
 V K V S C K A S G Y T F T D Y S M H W V
 CGACAGGCTCCAGGACAAGGTTTAAAGTGGATGGGCTGGATAAACACTGAGACTGGTGAG
 R Q A P G Q G L K W M G W I N T E T G E
 CCAACATATGCAGATGACTTCAAGGGACGGTTTGTCTTCTTTGGACACCTCTGTCAGC
 P T Y A D D F K G R F V F S L D T S V S
 ACTGCCCTATTTGCAGATCAGCAGCCTCAAAGCTGAGGACACGGCTGTGTATTACTGTGCT
 T A Y L Q I S S L K A E D T A V Y Y C A
 AATCCCTACTATGATTACGTCTTACTATGCTATGGACTACTGGGTCAGGGAACCCACG
 N P Y Y D Y V S Y Y A M D Y W G Q G T T
 GTCACCGTCTCCTCAGGTAAGAAATGGCCCTCTCAAGCTT
 V T V S S
 HindIII
GTCACCGTCTCCTCAGGTAAGAAATGGCCCTCTCAAGCTT

Figure 4

Hu106--222 VL
 NheI
 SEQ ID No.12
GCTAGCACCACCATGGAGTCACAGATTCAGGTCCTTTGTATTGGTGTCTCTGGTTGTCT
 M E S Q I Q V F V F V F L W L S
 GGTGTTGACGGAGACATTCAGATGACCCAGTCTCCATCCCTCCCTGGCATCAGTGGGA
 G V D G D I Q M T Q S P S S L S A S V G
 GACAGGGTCACCATCACCTGCAAGGCCAGTCAGGATGTGAGTACTGCTGTAGCCTGGTAT
 D R V T I T C K A S Q D V S T A V A W Y
 CAACAGAAACCAGGAAAGCCCTAAACTACTGATTTACTCGGCATCCTACCTCTACACT
 Q Q K P G K A P K L L I Y S A S Y L Y T
 GGAGTCCCTTCACCGCTTCAGTGGCAGTGGATCTGGGACCGATTTCACCTTTCACCCATCAGC
 G V P S R F S G S G T D F T F I S
 AGTCTGCAGCCTGAAGACATTCGCAACATAATTACTGTGCAACACATTATAGTACTCCTCGG
 S L Q P E D I A T Y Y C Q Q H Y S T P R
 ACGTTCGGTCAGGGCACCAGCTGGAAATCAAACGTAAGTAGAATCCAAGAATTC
 T F G Q G T K L E I K

ECORI

```

119--122 VH
119-122 VH
Hu119 VH
Z14189
123456789 0123456789 0123456789 0123456789 0123456789 0123456789 0123456789
EVQLVESGG GLVQPGESLK LSCESNEYEF PSHDMSWRK CDR1 SEQ ID No.13
EVQLVESGG GLVQPGGSLR LSCAASEYEF PSHDMSWRQ
EVQLVESGG GLVQPGGSLR LSCAASGFTF S-----WVRQ

119-122 VH
Hu119 VH
Z14189
123456789 01223456789 0123456789 0123456789 0123456789 0123456789 0123456789
TPEKRLELVA AINSDGGSTYY PDTMERRFII SRDNTKKTLY CDR2 SEQ ID No.14
APGKGLELVA AINSDGGSTYY PDTMERRFTI SRDNAKNSLY
APGKGLEWVA -----RFTI SRDNAKNSLY

119-122 VH
Hu119 VH
Z14189
12223456789 0123456789 0000123456789 0123
LQMSLRSEDAL YYCARHYDDY YAWFAYWGQGLV TVSA CDR3 SEQ ID No.15
LQMSLRRAEDTAV YYCARHYDDY YAWFAYWGQGLV TVSS
LQMSLRRAEDTAV YYCAR-----WGQGLV TVSS

```

Figure 5

Figure 7

Hu119--122 VH
 SpeI
 SEQ ID No.18
ACTAGTACCACCATGGACTTCGGGCTCAGCTTGGTTTCCCTTGTCCTTATTTTAAAAAGT
 M D F G L S L V F L V L I L K S
 GTACAGTGTGAGGTGCAGCTGGTGGAGTCTGGGGAGGCTTAGTGCAGCCCTGGAGGGTCC
 V Q C E V Q L V E S G G G L V Q P G G S
 CTGAGACTCTCCTGTGCAGCCTCTGAATACGAGTTCCTCCCTCCCATGACATGCTCTGGGTC
 L R L S C A A S E Y E F P S H D M S W V
 CGCCAGGCTCCGGGAAGGGCTGGAGTTGGTCGCAGCCATTAATAGTGTGGTGGTAGC
 R Q A P G K G L E L V A A I N S D G G S
 ACCTACTATCCAGACACCCATGGAGAGACGATTCCACCATCTCCAGAGACAATGCCAAGAAC
 T Y Y P D T M E R R F T I S R D N A K N
 TCACTGTACCTGCAAAATGAACAGTCTGAGGGCCGAGGACACAGCCGTGTATTACTGTGCA
 S L Y L Q M N S L R A E D T A V Y Y C A
 AGACACTATGATGATTACTACGCCCTGGTTGGCTTACTGGGGCCCAAGGACTATGGTCACT
 R H Y D D Y Y A W F A Y W G Q G T M V T
 GTCTCTTCAGGTGAGTCCTAACCTTCAAGCTT
 HindIII
 V S S

Figure 8

119--122 VL
 NheI
 SEQ ID No.24
GCTAGCACCACCATGGAGACAGACACACTCCTGTTATGGGTACTGCTGCTCTGGGTTCCA
 M E T D T L L L W V L L L L W V P
 GGTTCCACTGGTGAATTGGCTGACACAGTCTCCTGCTACCTTATCTTTGCTCCAGGG
 G S T G E I V L T Q S P A T L S L S P G
 GAAAGGGCCACCCTCTCATGCGAGGGCCAGCAAAAGTGTGAGTACATCTGGCTATAGTTAT
 E R A T L S C R A S K S V S T S G Y S Y
 ATGCACTGGTACCAACAGAAACCAGGACAGGCTCCAGACTCCTCATCTATCTTGCATCC
 M H W Y Q Q K P G Q A P R L L I Y L A S
 AACCTAGAATCTGGGGTCCCTGCCAGGTTGAGTGGCAGTGGGCTCTGGGACAGACTTCACC
 N L E S G V P A R F S G S G S G T D F T
 CTCACCATCAGCAGCCTAGAGCCTGAGGATTTTGCAGTTTATTACTGTCAGCACAGTAGG
 L T I S S L E P E D F A V Y Y C Q H S R
 GAGCTTCCGCTCACGTTCCGGGGAGGACCAAGGTCGAGATCAAACGTAAGTACACTTTT
 E L P L T F G G G T K V E I K
 EcoRI
CTGAATTC

Figure 9

119-43-1 VH mouse

SEQ ID No.28 ——— ATGTACTTGGGACTGAACTAATGATATCAAGTTTCTCTTAAATGGTGTCCAGAGTGAA
SEQ ID No.29 ——— M Y L G L N Y V F I V F L L N G V Q S E

CTGAAGCTTCAGGAGCTCTGGAGGAGGCTTGGTGCACCTGGAGCATCCATGAAACTCTCT
V K L E E S G G L V Q P G G S M K L S

TGTGCTCCCTCTGGATTCACTTTTAGTGACGCCCTGGATGGACTGGTCCGGCCAGTCTCCA
C A A S G F T F S D A W M D N V R O S P **CDR 1 SEQ ID No.25**

GAGAAGGGCCTTGAGTGGTTCGTGAAATTAGAAAGCAAGCTAATAATCATGCAACATAC
E K G L E W V A E I R S K A N N H A T Y

TATGCTGAGTCTGTGAATGGGAGGTTCCACCATCTCAAGAGATGATTCACCAAAAGTAGTGTC
Y A E S V N G R F T I S R D D S K S S V **CDR 2 SEQ ID No.26**

TACCTGCAAAATGAACAGCTTAAGAGCTGAAGACACTGGCAATTATTACTGTACGTGGGGG
Y L Q M N S L R A E D T G I Y Y C T W G

GAAGTCTCTACTTTGACTACTGGGGCCAGGCCACCCTCTCACAGTCTCTCA
E V F Y F D Y W G Q G T F L T V S S **CDR 3 SEQ ID No.27**

Figure 10

119-1-43-VL mouse

SEQ ID No.35-----ATGACACCGCTCTATTACAGTTCCTGGGGCTCTTGTGTTCTGGCTTCATGGGTGCTCAGTGT
SEQ ID No.36-----M R F S I Q F L G L L L F W L H G A Q C
 GACATCCAGATGACACAGTCTCCATCCTCAGTCTGTCATCTCTGGGAGGCCAAAGTCACC
 D I Q M T Q S P S L S A S L G G K V T
 ATCACTTGCCAAGTCAAGCCCAAGACATTAAACAAGTATATAGCTTGGTACCAACACAAAGCCCT
 I T C K S S Q D I N K Y I A W Y Q H K P
CDR 1 SEQ ID No.32-----
 GGAAAGGTCCTAGGCTGCTCATAACATTACACATCTACATACAGCCAGGCCATCCCATCA
 G K G P R L L I H Y T S T L Q E G I P S
CDR 2 SEQ ID No.33-----
 AGGTTCAAGTGGAAAGHGGTCTGGGAGAGATTATTCCTCAGCATCAGCAACCTGGAGCCCT
 R F S G S G S G R D Y S F S I S N L E P
 GAAGATATTGCCAACTTATTATTGCTACAGTATGATAATCTTCTCACGTTCTGGGTGGTGGG
 E D I A T Y Y C L Q Y D N L L T F G A G
CDR 3 SEQ ID No.34-----
 ACCAAGCTGGAGCTGAAA
 T K L E L K

Figure 11

119--43--1 VH chimeric
 SpeI
 SEQ ID No.30 ACTAGTACCACCAATGTAAGTGGACTGAAGTATGATTCATAGTTTCTCTTAAATGGT
 M Y L G L N Y V F I V F L L N G
 SEQ ID No.31 GTCCAGAGTGAAGCTGGAGGAGCTCGAGGAGGCTTGGTGCACCTGGAGGATCC
 V Q S E V K L E E S G G L V Q P G G S
 ATGAAACTCTTGTGCTGCCCTCGATTCACTTTAGTGACGCCCTGGATGGACTGGGTC
 M K L S C A A S G F T F S D A W M D W V
 CGCCAGTCTCCAGAGAGGGCTTGAGTGGTCTGAAATAGAAAGCAAAGCTAATAAT
 R Q S P E K G L E W V A E I R S K A N N
 CATGCAACATACTATGCTGAGTCTGTGAATGGGAGGTTCAACCATCTCAAGAGATGATCC
 H A T Y Y A E S V N G R F T I S R D D S
 AAAAGTAGTCTACCTGCCAAATCAACAGCTTAAGAGCTGAAGACACTGGCATTATTAC
 K S S V Y L Q M N S L R A E D T G I Y Y
 TGTACGTGGGGAGTGTCTACTTTGACTACTGGGCAAGCACCACCTCACAGTC
 C T W G E V E Y F D Y W G Q G T T L T V
 HindIII
 TCCTCAGGTGAGTCCCTTAAACAAGCTT
 S S

Figure 13

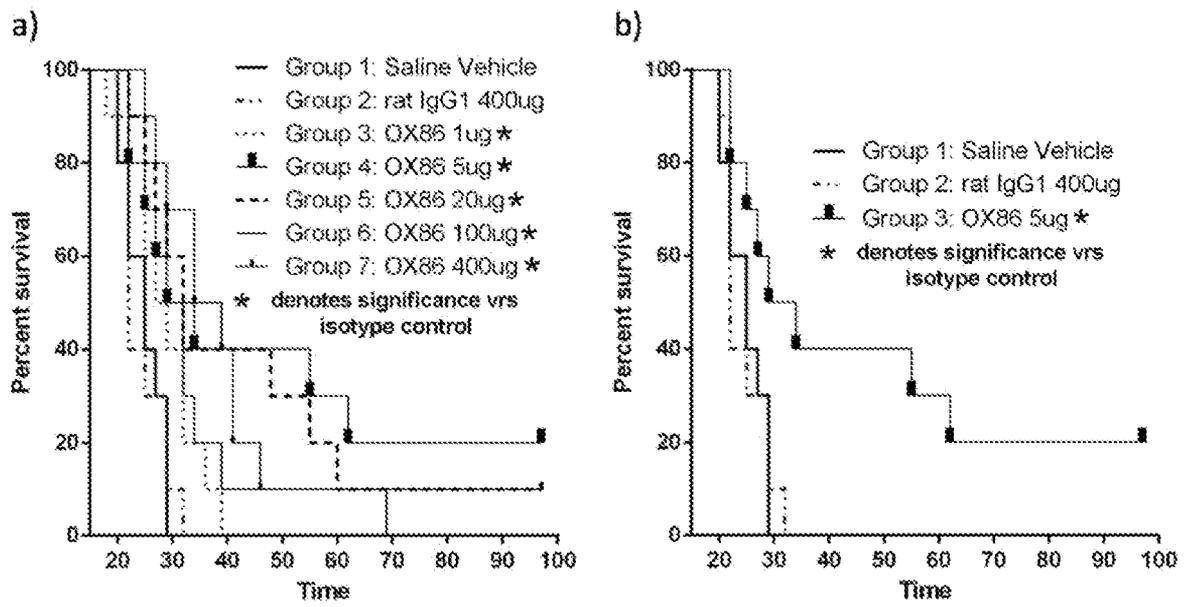


Figure 14

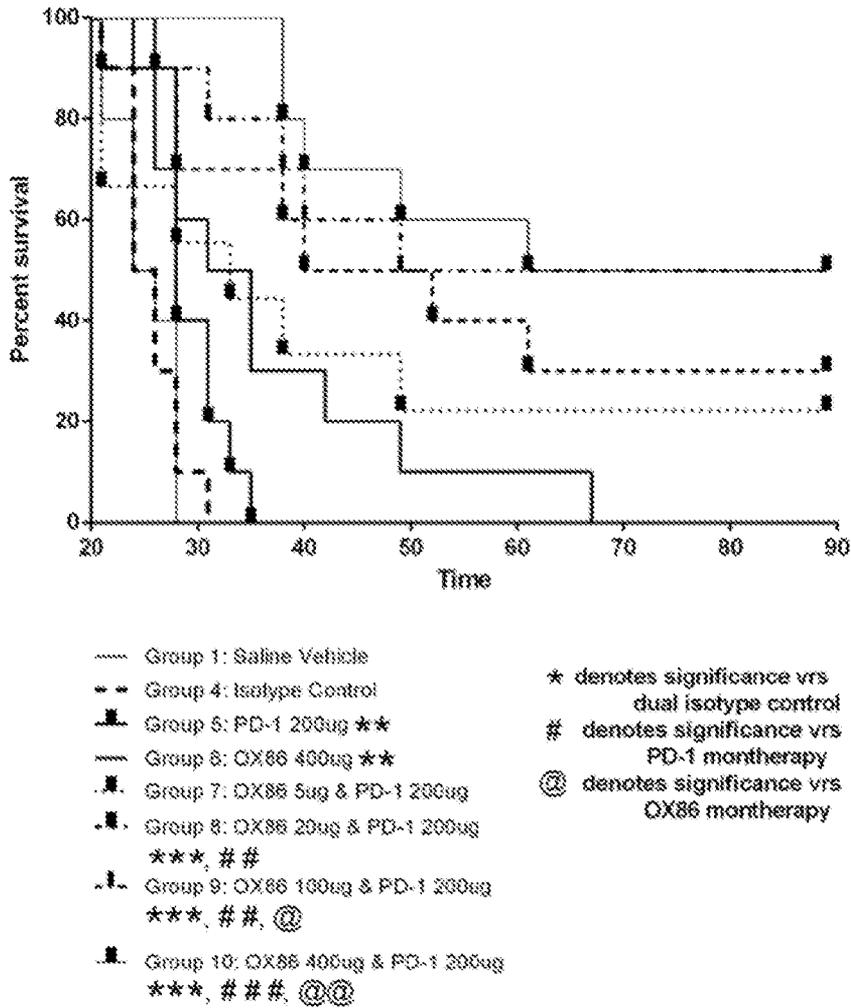


Figure 15

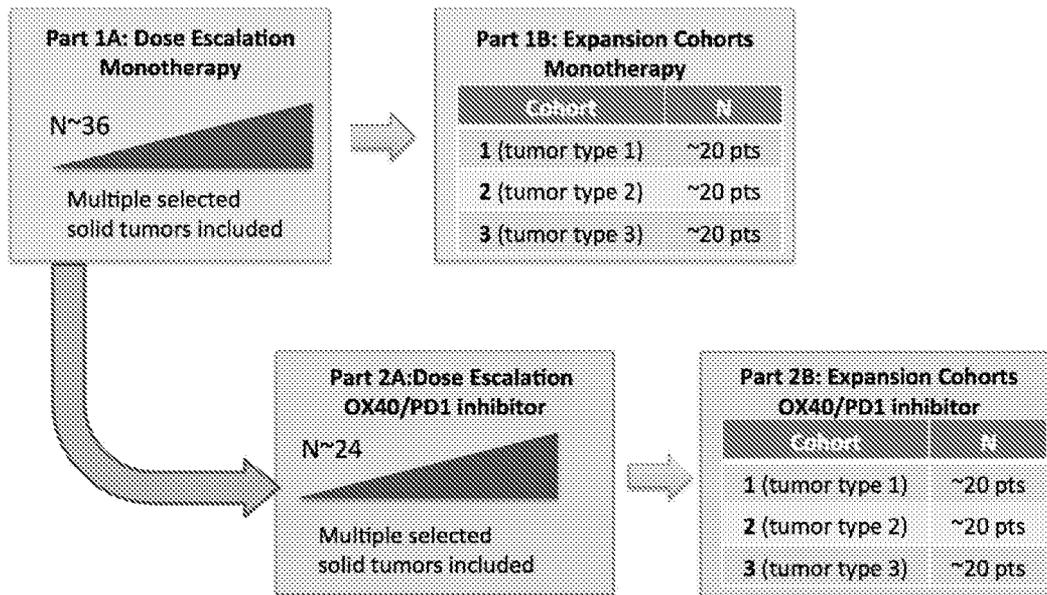
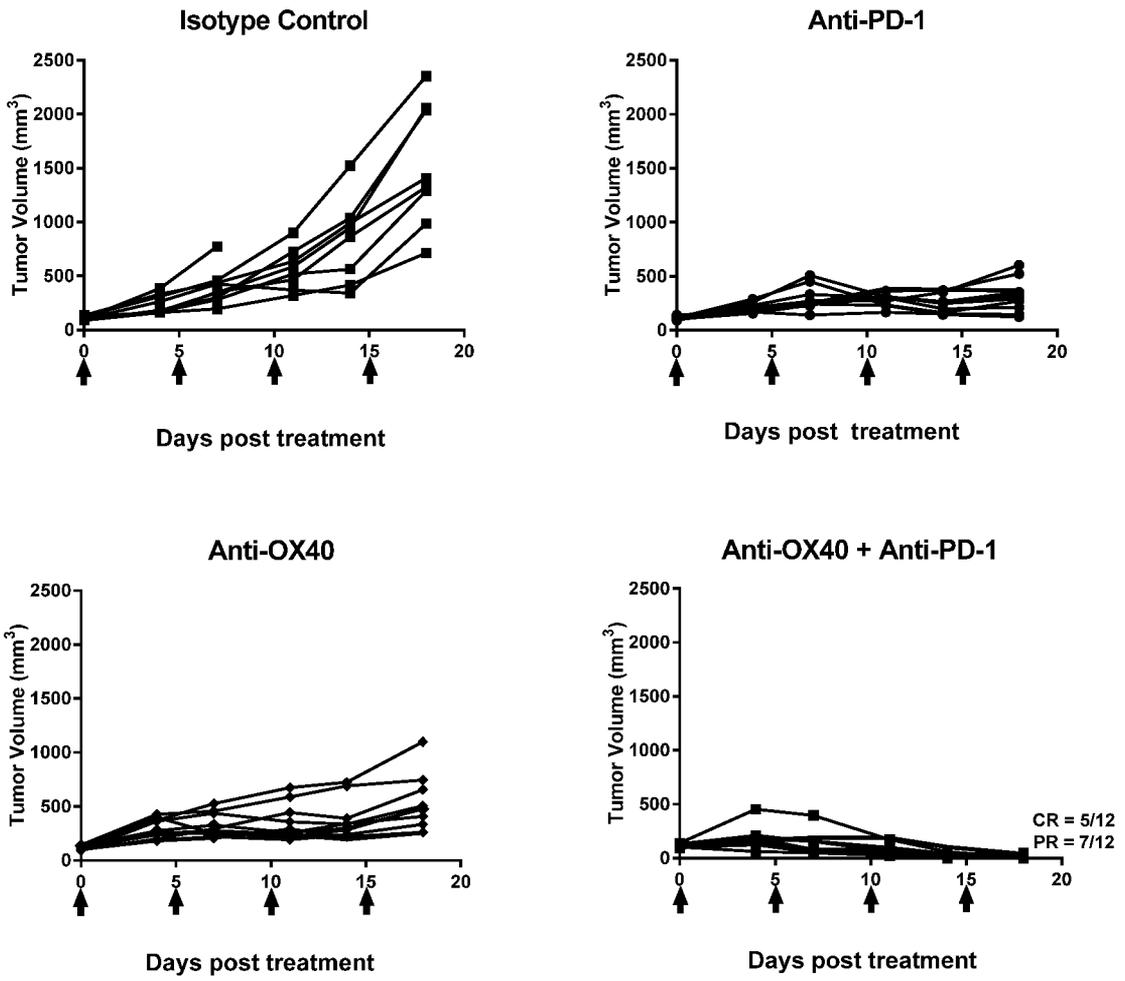


Figure 16



INTERNATIONAL SEARCH REPORT

International application No
PCT/IB2016/054692

A. CLASSIFICATION OF SUBJECT MATTER
INV. C07K16/28 A61K39/395
ADD.
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED
Minimum documentation searched (classification system followed by classification symbols)
C07K A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
EPO-Internal , BIOSIS, EMBASE, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>GUO ZHIQIANG ET AL: "PD-1 blockade and OX40 triggering synergistically protects against tumor growth in a murine model of ovarian cancer.", PLOS ONE, vol. 9, no. 2, E89350, February 2014 (2014-02), pages 1-10, XP002762994, ISSN: 1932-6203, DOI: 10.1371/journal.pone.0089350 page 1 abstract page 4; figure 1</p> <p style="text-align: center;">----- -/-</p>	1-31

Further documents are listed in the continuation of Box C.

See patent family annex.

- * Special categories of cited documents :
- "A" document defining the general state of the art which is not considered to be of particular relevance
 - "E" earlier application or patent but published on or after the international filing date
 - "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
 - "O" document referring to an oral disclosure, use, exhibition or other means
 - "P" document published prior to the international filing date but later than the priority date claimed
 - "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
 - "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
 - "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
 - "&" document member of the same patent family

Date of the actual completion of the international search 17 October 2016	Date of mailing of the international search report 11/11/2016
---	---

Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Si tch , Davi d
--	--

INTERNATIONAL SEARCH REPORT

International application No

PCT/IB2016/054692

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	wo 2012/027328 A2 (UNIV TEXAS [US] ; LIU YONG-JUN [US] ; V00 KUI SHIN [US] ; BOVER LAURA [US] 1 March 2012 (2012-03-01) page 12, paragraph 67 - page 14, paragraph 70 page 45; tabl e 1 -----	1
A	wo 2015/095423 A2 (GENENTECH INC [US] ; HOFFMANN LA ROCHE [CH]) 25 June 2015 (2015-06-25) page 3, paragraphs 11, 12; cl aims 1, 10 -----	1

INTERNATIONAL SEARCH REPORT

International application No.

PCT/IB2016/054692

Box No. I Nucleotide and/or amino acid sequence(s) (Continuation of item 1.c of the first sheet)

1. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of a sequence listing:
 - a. forming part of the international application as filed:
 - in the form of an Annex C/ST.25 text file.
 - on paper or in the form of an image file.
 - b. furnished together with the international application under PCT Rule 13fer1 (a) for the purposes of international search only in the form of an Annex C/ST.25 text file.
 - c. furnished subsequent to the international filing date for the purposes of international search only:
 - in the form of an Annex C/ST.25 text file (Rule 13fer1 (a)).
 - on paper or in the form of an image file (Rule 13fer1 (b) and Administrative Instructions, Section 713).
2. In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that forming part of the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
3. Additional comments:

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/IB2016/054692

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
wo 2012027328	A2	01-03-2012	
		AU 2011293558	AI 21-03 -2013
		BR 112013004266	A2 02- 08-2016
		CA 2809089	AI 01-03 -2012
		CL 2013000545	AI 26-09 -2014
		CL 2015002526	AI 10-06 -2016
		CN 103221427	A 24-07 -2013
		CO 6680693	A2 31-05 -2013
		CR 20130126	A 28-06 -2013
		DO P2013000045	A 28-02 -2014
		EA 201390278	AI 30-08 -2013
		EA 201500204	AI 30-11 -2015
		EP 2609118	A2 03- 07-2013
		EP 2933268	AI 21-10 -2015
		HK 1213272	AI 30-06 -2016
		JP 5984810	B2 06-09 -2016
		JP 2013538057	A 10-10 -2013
		KR 20140093600	A 28- 07-2014
		NZ 608033	A 26-09 -2014
		NZ 629913	A 29- 01-2016
		PE 14032013	AI 10-01 -2014
		SG 187945	AI 28-03 -2013
		US 2013280275	AI 24-10 -2013
		US 2014308276	AI 16-10 -2014
		US 2015315281	AI 05-11 -2015
		US 2016068604	AI 10-03 -2016
		Wo 2012027328	A2 01-03 -2012
		ZA 201301442	B 30- 07-2014

wo 2015095423	A2	25-06-2015	
		AU 2014364606	AI 07-07-2016
		CA 2934028	AI 25-06-2015
		EP 3083687	A2 26-10-2016
		KR 20160099092	A 19-08-2016
		SG 11201604979W	A 28-07-2016
		US 2015190506	AI 09-07-2015
		wo 2015095423	A2 25-06-2015
