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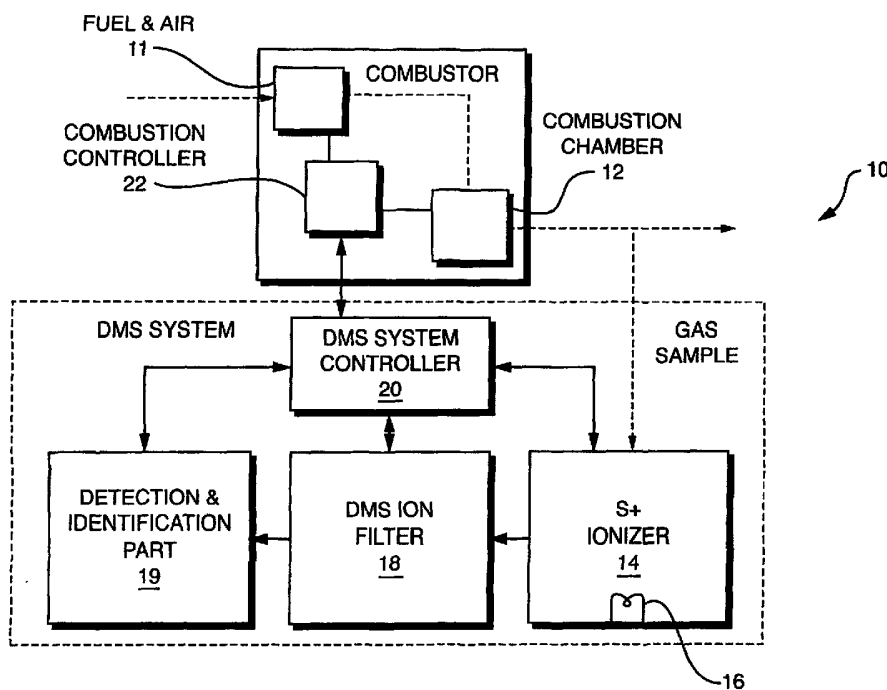
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(54) Title: NO_x MONITOR USING DIFFERENTIAL MOBILITY SPECTROMETRY



(57) Abstract: System for detection and analysis of gas samples in fieldable real-time Differential Mobility Spectrometry (DMS) chemical sensor system which uses non-radioactive ion source for monitoring and detecting NO_x emissions; provides reliable methods for detecting and monitoring of anthropogenic sources of NO_x; also detection of NO in exhaled breath for patient health diagnosis.

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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

NOx Monitor Using Differential Mobility Spectrometry

RELATED APPLICATIONS

This application claims priority to US Provisional Application Serial No. 60/418,235, filed October 12, 2002, entitled FAIMS METHOD AND APPARATUS
5 FOR NOX DETECTION AND ANALYSIS, by Raanan A. Miller, Erkinjon G. Nazarov, and Muning Zhong. The entire teachings of the above application are incorporated herein by reference.

BACKGROUND OF THE INVENTION

The present invention relates to spectrometry, and more particularly, to
10 spectrometer devices providing chemical analysis by aspects of ion mobility in an electric field.

Chemical detection systems are used in a wide array of applications. These devices may take samples directly from the environment, or may incorporate a front end device to separate compounds in a sample before detection. There is particular
15 interest in providing a chemical detection system capable of accurate compound detection and identification, and which may be deployed in various venues, whether in the lab, in the workplace or in the field.

Mass spectrometers are well known as the gold standard of laboratory-based chemical identification. But they are relatively difficult to deploy in the field. Such
20 systems operate at low pressures, resulting in complex systems, and the spectra output can be difficult to interpret, often requiring a highly trained operator.

At times a gas chromatograph (GC) is used as a front-end to an MS, with good results. But the GC-MS is not well-suited for small, low cost, fieldable instruments for real-time chemical detection. Nevertheless there is a continuing need
25 for fieldable instruments generation of real-time detection data.

Detection of species of NOx is a good example of this need. It is well known

that reactive nitrogen oxide species NO_x such as NO, NO₂, and NO₃ play a major role in atmospheric chemistry. These species are important in the ozone and nitrogen cycles, which produce detrimental photochemical smog and acid rain. Tougher environmental regulations to reduce these levels in the atmosphere require higher performance detectors and monitors applied to anthropogenic sources of NO_x (e.g. exhaust from internal-combustion engines, steel mill processing, power plant emissions, etc.) with higher sensitivity and faster response times. Enhancement of fuel economy of internal-combustion engines is another driver for the development of sensors which are able to precisely, and rapidly, monitor NO_x levels in exhaust emissions.

The medical value of detection of NO in exhaled breath has also been recognized for clinical diagnosis. For example, it has been reported that such clinical analysis can provide a noninvasive window into the activities of disease, such as asthma, chronic obstructive pulmonary disorder, and cystic fibrosis, in the lower airways. There is therefore a desire for improved, portable and simple apparatus and method for evaluation of NO in exhaled breath for medical purposes.

There are a number of reliable measurement techniques which have been developed for monitoring nitrogen oxide species: These include ion-based detection, chemiluminescence, electrochemical, acoustic gas sensors, and ZrO₂ solid electrolyte sensors, laser systems, and the like. These techniques, however, generally require sophisticated optical equipment, or suffer from significant drawbacks such as slow response times, or the detection of only certain NO_x species, making them problematic for routine measurements.

Ion Mobility Spectrometry (IMS) has been explored recently as an approach to realizing a more sensitive, selective and robust device for NO_x monitoring. In dry (humidity ~10ppm) operating conditions, the IMS shows high sensitivity (10's of ppms) and fast response times (10's of ms). However, a serious disadvantage is that its response is highly affected by the presence of moisture. For example, in one demonstration, a level of 3% humidity completely suppressed IMS response to a sample at 483 ppm NO₂.

Time-of-flight Ion Mobility Spectrometers (TOF-IMS) are considered to be

functional chemical detectors. High-speed response and low memory effects have been attained, and the gas phase ion chemistry inside the TOF-IMS can be highly reproducible. Widespread use, however, still remains a problem for TOF-IMS. Among other things, TOF-IMS flow channels (also referred to as drift tubes) are still
5 comparatively large and expensive and suffer from losses in detection limits when made small.

The differential ion mobility spectrometer ((DMS), also known as a high field asymmetric waveform ion mobility spectrometer (FAIMS)), is an alternative to the IMS. In a DMS device, a gas sample that contains a chemical compound is
10 subjected to an ionization source. Ions from the ionized gas sample are drawn into an ion filter region, where the ions flow in a compensated high asymmetric RF field generated between filter electrodes, the field being transverse to the ion flow. The field is compensated to allow selected ion species to pass through the filter, based on aspects of their mobility in the field. These ion species are passed downstream to an
15 ion detector. Detections are correlated with field conditions and compensation and species identification is made by reference to known species behavior in the extant compensated DMS field.

The asymmetric field alternates between a high and low field strength condition that causes the ions to move in response to the field according to their
20 mobility characteristics. Typically the mobility in the high field differs from that of the low field. That mobility difference produces a net transverse displacement of the ions as they travel in the gas flow through the filter. This transverse travel of the ions continues until they drive into one of the filter electrodes and are neutralized. However, the field is also compensated such that a particular ion species will remain
25 toward the middle of the flow in the flow path and will pass through the filter without neutralization. The amount of change in mobility in response to changes in the asymmetric field is compound-dependent. This permits separation of ions from each other according to their species based on the applied compensation (usually a dc bias applied to the filter electrodes).

30 In the past, Mine Safety Appliances Co. (MSA) made an attempt at a functional cylindrical FAIMS device with coaxial electrodes, such as disclosed in

US Patent Number 5,420,424. (This FAIMS technology is referred to by MSA as Field Ion Spectrometry (FIS).) The device has been found to be complex, with many parts, and somewhat limited in utility.

It is therefore an object of the present invention to provide a functional,
5 small, spectrometer that overcomes the limitations of the prior art.

It is another object of the present invention to provide a chemical sensor with fast response times for real-time process control, especially for detection and identification of NO_x related species in real-time.

It is another object of the present invention to provide a chemical sensor for
10 detection and identification of NO_x related species in real-time with minimized effect of moisture upon detection results.

It is yet another object of the present invention to provide low cost and compact, reliable instrumentation that is useful for laboratory and field conditions and is capable of making in situ measurements of chemicals present in complex
15 mixtures at various venues.

SUMMARY OF THE INVENTION

The present invention achieves non-radioactive detection and identification of trace amounts of NO_x species in a gas sample. In various embodiment of the invention, method and apparatus (i.e., systems) are provided for non-radioactive
5 detection and quantification of NO_x in a gas sample. Systems of the invention are compact, with fast response times (msec scale), high sensitivity and specificity, and low manufacturing cost. Devices of the invention are capable of being mass-produced with broad applicability.

Systems of the invention can detect positive NO spectra and negative NO₂
10 (and even NO₃) spectra. This detection can be performed simultaneously in a single scheme. Also, total NO_x can be detected upon addition of O₂ gas to the sample to be scanned.

In practice of the invention of preferred embodiments of the invention, it is possible to detect NO_x constituents in a single analytical scheme. A simple, practice
15 of the invention includes Differential Mobility Spectrometry (DMS) systems which operate rapidly and can provide analytical information in real-time. These systems preferably feature non-radioactive ionization, which may be by means of a UV lamp, by corona discharge, by plasma, or by other photon sources. Use of a non-radioactive ionization source reduces risk to users and avoids regulatory issues as
20 well as reducing the ionization energy to a level that avoids fragmenting or otherwise damaging the sample analytes to be detected.

Illustrative applications of the invention include detection of NO_x in
combustion exhaust gas for environmental monitoring purposes or as part of a controller for improving the combustion process. Such innovation addresses the
25 analytical challenge posed by increasingly strict environmental regulations applied to anthropogenic sources of NO_x (e.g., exhaust from internal-combustion engines, steel mill processing, power plant emissions, etc.).

Still another illustrative application of the invention includes a system for non-radioactive detection of NO in exhaled breath. Such detection system enables non-
30 invasive and real-time patient health evaluations, and can be provided in a compact and low-cost package.

It has also been found that DMS practices of the invention minimize the affect of moisture on analyte detection. In one practice of the invention, moisture in a NOx sample does not affect DMS response up to about 1000ppm and above 1000 ppm the compensation voltage increases with increasing humidity. Quite
5 advantageously, this results in an enhanced resolving ability of the DMS in with varying levels of moisture.

In an illustrative DMS practice of the present invention, a gas sample is ionized. Ions from the ionized gas sample are drawn into a DMS ion filter and are subjected to differential ion mobility filtering to determine presence and amount of
10 NOx.

This DMS filtering accentuates differences in ion mobility of the ionized sample in a high-low alternating asymmetric RF field. The filter field is compensated such that selected ion species are allowed through the field and are passed to an ion detector. Passing of an ion species is based on high field ion
15 mobility characteristics of the species in the changing filter field conditions. All other species are neutralized within the filter.

Ion species identification itself follows upon downstream detection of the passed ion species and comparison of detection results against known detection behavior for the particular field conditions. In a preferred embodiment of the
20 invention, positive and negative ion species are detected simultaneously.

Embodiments of the invention employ compact DMS systems made according to the principles of 1) US Patent 6,495,823, entitled MICROMACHINED FIELD ASYMMETRIC ION MOBILITY FILTER AND DETECTION SYSTEM, by Raanan A. Miller and Erkinjon G. Nazarov, incorporated herein by reference, 2)
25 US Patent Application Serial Number 10/187464, filed 06/28/02, internal Attorney Docket M070, entitled SYSTEM FOR COLLECTION OF DATA AND IDENTIFICATION OF UNKNOWN ION SPECIES IN AN ELECTRIC FIELD, by Lawrence A. Kaufman, Raanan A. Miller, Erkinjon G. Nazarov, Evgeny Krylov, Gary Eiceman, incorporated herein by reference, and/or 3) US Patent Application
30 Serial Number 10/462206, entitled SYSTEM FOR COLLECTION OF DATA AND IDENTIFICATION OF UNKNOWN ION SPECIES IN AN ELECTRIC FIELD, by

Lawrence A. Kaufman, Raanan A. Miller, Erkinjon G. Nazarov, Evgeny Krylov,
Gary Eiceman, incorporated herein by reference.

These and other aspects of the present invention are set forth below.

BRIEF DESCRIPTION OF THE DRAWINGS:

The disclosed and other objects, features and advantages of the invention will be apparent from the following description of illustrative and preferred embodiments of the invention, and as illustrated in the accompanying drawings in which like reference characters refer to the same parts throughout the different views. The drawings are not necessarily to scale, emphasis instead being placed upon illustrating the principles of the invention, wherein:

Figure 1A is a diagram of a fast DMS combustion control system in practice of the invention.

Figure 1B is a diagram of a fast DMS system for breath analysis.

Figure 2 (a-d) shows four related DMS scans of an NO_x sample: (a) showing presence of NO and NO₂, in N₂ carrier gas; (b) same, with 1% O₂ added to carrier; (c) same, taken 4 seconds after O₂ removed from gas flow of (b); and (d) same, 18 seconds after shut off of O₂ of (b).

Figure 3 shows spectra for (a) NO and (b) NO₂ in clean nitrogen transport gas, with RF voltage at 1200V; the upper scan shows the spectrum for positive ions and the lower scan shows the negative response in each.

Figure 4 shows concentration dependence of DMS for (a) nitric oxide and (b) nitrogen dioxide, comparing peak area (squares) to peak height (diamonds).

Figures 5a, 5b, 5c and 5d show DMS spectra for negative (lower traces) and positive (higher traces) ion species for NO_x samples, with transport gas at a mixture of : 23.6 ppm of SO₂; 121.2 ppm of H₂; 398 ppm of CO, 8.1% of O₂; 10% of CO₂; and N₂ as the balance gas.

Figure 6 shows the effect of changing NO_x concentration on DMS Spectra.

Figure 7 shows the effect of humidity on negative mode NO_x peak position and peak intensity for transport gases of clean nitrogen and the complex mixture discussed in section e.

Figure 8 shows the response of DMS to a transport gas composed of propene, O₂, N₂ and water.

Figure 9 shows the response of DMS when NO_2 and NO samples are added to the DMS transport gas.

Figure 10 is a schematic of an illustrative DMS/MS interface in application of the invention.

5 Figure 11 shows mass-spectra for positive NO_x ions: (a) 20ppm of NO in dry N_2 transport gas, (b) 20 ppm of NO in dry N_2+O_2 (10%) transport gas, similar spectra obtained with NO_2 in dry N_2 , and (c) 20ppm of NO in humidified N_2 transport gas.

10 Figure 12 shows mass-spectra for negative NO_x ions : (a) 20ppm of NO_2 in dry N_2 ; and (b) 20ppm of NO in humidified N_2 , and similar spectra when NO_2 sampling.

Figure 13 is a table of ionization energies and proton and electron affinities.

DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT

We are able to detect NO_x, NO₂, N₂O₄, HNO₃, among other constituents, in a gas sample of NO_x, and we are able to distinguished between such constituents in a single analytical framework. Systems of the invention obtain actual measurement
5 of NO_x activity in a changing environment in real-time. Applications include combustion control, whether for automotive engines or commercial furnaces or locomotives, or marine engines or the like, or medical tools, for example.

Turning to Figure 1A, in a preferred illustrative embodiment of the invention, a DMS system 10 is coupled to a combustor 11 (such as in a factory or
10 generator or an automotive engine or the like) for real-time control of combustion conditions resulting in cleaner combustion and improved fuel economy. Fuel and air are drawn into the combustor and are mixed under control of a combustion controller and are combusted in a combustion chamber 12. Exhaust from the chamber includes many compounds. Of high interest is NO_x and its constituents.
15 For example, inefficient burning can result in emission of high levels of HNO₃, which can result in acid rain. Detection of NO and NO₂ is also important in controlling combustion.

In Figure 1A, a sample of exhaust S from the combustion chamber 12 is drawn into an ionization chamber 14. Selection of an ionization source is important.
20 We have found that a source that provides soft ionization conditions is best for NO_x detection of combustion components. A higher energy source can result in ionization of the nitrogen in the background air and therefore can corrupt detection and analysis of NO_x constituents of the combustion effluent. A non-radioactive source is therefore preferred. A UV source 16 provides adequate energy to ionize the target
25 NO_x without ionization of the nitrous background. A UV lamp is a conventional soft ionization source and would be adequate and even preferred in practices of the present invention.

In Figure 1A, the sample is ionized in chamber I4 and the ionized sample S⁺ is flowed into the DMS filter 18 wherein it is filtered according to known DMS
30 principles, as earlier described. Species detection data from detector 19 is processed to enable identification of the NO_x constituents. This process is managed under

control of a DMS System controller 20. Based on such identification, a data signal is issued by the system controller 20 to the combustion controller 22 for improvement of fuel combustion. Such detection, identification and combustion adjustments are enabled by reference to a store of known system behavior, which may be
5 incorporated within controller 20.

Because we can distinguish the effects of humidity on analytical results, we can provide real-world, real-time and accurate detection of NO_x and its constituents, unlike IMS systems. Therefore, the combustion controller of the invention performs combustion control functions which can be used to improve combustion efficiency
10 in real-time and in real-life environments, in situ, as will be fully appreciated by a person skilled in the art.

Other embodiments of the invention enable portable medical equipment for detection of NO in patient breath as a non-invasive diagnostic, such as for of lower airway diagnosis. Turning to Figure 1B, a patient breath output is captured as sample
15 S and is introduced into a DMS system 10 by a exhale capture device 13 for real-time detection of NO in the patient's breath. As in Figure 1A, sample S is drawn into an ionization chamber 14 and ionization, filtering, detection and identification proceed accordingly. In an illustrative application, daily measurements of NO in the breath can be used to regulate medication, such as glucocorticoids, for such diseases
20 as asthma.

An illustration of the invention:

An illustrative operation of the invention is discussed with reference to Figure 2, in which four related DMS scans of a NO_x sample are shown (Frames a-
25 d). Figure 2(a) shows presence of NO and NO₂ in N₂ carrier gas (flow rate of 6ppm) and is a plot of intensity versus compensation voltage in the DMS filter with detection of NO at intensity of about 3.5 at about -32v compensation and with trace NO₂ at about -20v.

In Figure 2(b) the conditions of Figure 2(a) were repeated but with 1% O₂
30 added to the gas flow. Reaction of the NO_x and O₂ results in formation of NO₂, as indicated by several intensity peaks (as shown by the arrows) at several

compensation levels. It will be observed that the 1% O₂ resulted in essentially total conversion of NO to NO₂ in this situation.

In Figure 2(c) the conditions of Figure 2(a) were repeated but and a reading was taken 4 seconds after the O₂ was removed from the gas flow. Owing to some
5 latency in the system, some amount of O₂ remains in the flow path as indicated by the moderate presence of N₂O detected at about -21 compensation, and the return of NO as seen at -33 compensation.

In Figure 2(d) , the conditions of Figure 2(a) were repeated but with 1% O₂ added to the gas flow and the detection was made 18 seconds after shut off of the
10 O₂. Figure 2(d) shows that the O₂ has cleared the flow path 18 seconds after it was removed from the flow. No attempt was made to accelerate this clearing, as might be done by heating or other purging processes. Nonetheless, this shows that the system has purged and nearly returned to the condition of Figure 2(a) . Furthermore, under realistic operating conditions, at elevated temperatures, the sample would be purged
15 all the more readily.

Each of these frames shows both positive and negative mode detections for the NO_x constituents of the sample in practice of the invention. Positive and negative mode detections are made in practice of a preferred compact DMS embodiments of the invention as taught in Serial Number 10/187464, as
20 incorporated herein.

It will thus be appreciated that in practice of the invention, a controlled gas flow can be used for detection of NO_x and its constituents, and that such is achieved with high resolution and specificity. This process is both repeatable and predictable.

25

EXAMPLES

Ion energy considerations for photo-ionization and ion formation of NO_x species in air at atmospheric pressure in practice of the invention are provided below. First, an understanding of the ion energetics and ion chemistry is provided, as this is part of the understanding of operation of the preferred DMS method and
30 apparatus of the invention and is a foundation for interpreting the resulting spectra.

As an illustration, Figure 13 shows the typical chemical composition of an engine exhaust. Select ion energy properties of these components are: ionization energy (EI), electron (EA) and proton affinity (PA), and potential for ion formation via a UV ionization source with photon energy of 10.67 eV.

5 Analysis of the data from Figure 13 shows that only NO, NO₂, and propene species have an ionization energy lower than the photon energy (10.6eV) provided by the UV ionization lamp used in this work. Consequently only these three components of the exhaust gas will directly form ions, in this case positive ions. The other components cannot directly form ions. However, there are other pathways for
10 ion formation at atmospheric pressure due to proton and electron charge exchange reactions. For example in the positive mode, due to proton transfer reactions, we expect formation of ion species with the highest proton affinity. These are propene and protonated water cluster ions. In the negative mode, ion formation will occur as follows: free electrons which are formed due to photo-ionization of NO_x and
15 propene molecules, at atmospheric pressure conditions, will be captured by components which have positive electron affinity. In our experimental conditions, it may be oxygen, CO, and nitrogen dioxide molecules. NO₂ molecules have significantly higher proton affinity compared with the other components. Given sufficient time all free electrons will be transferred to the nitrogen dioxide
20 molecules. Therefore, based only on ion energetic arguments it is expected that with UV ionization, and monitoring of the DMS negative spectra, it should be possible to detect and monitor different levels of NO₂ in an exhaust stream.

In an illustrative practice of the invention with UV ionization, a Krypton filled UV lamp (PID Lamp $\lambda=123.9\text{nm}$ and 116.9nm with energies 10.0 eV and
25 10.6eV) was used. Standard gases includes NO 1500 ppm balanced with nitrogen, NO 1500 ppm, NO₂ 1500 ppm. Drift Gas was grade 5 nitrogen (99.9995%). The drift gas (nitrogen) was flowed at 1500 cc/min, with a range of DMS compensation voltage (CV) between -45V and +12V, with scan time at 1 sec. RF voltage was at 1200V,. Scans to average = 1, Steps to average = 1, Number of steps/scan = 250.
30 Mass flow controllers (MFC) were used at 2000cc/min, 100cc/min, 50cc/min and 10cc/min for nitrogen and 50cc/min for oxygen. Performance was at room temperature. Drift gas and NO_x standard gases were introduced and mixed via

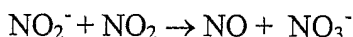
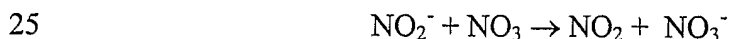
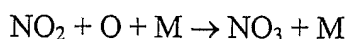
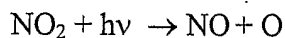
MFCs at designated levels. Oxygen at 10% was introduced with a flow controller for NO/NO₂ dynamic determination.

A preliminary set of control experiments was conducted prior to introduction of real samples. First, the DMS system was run with a transport gas of pure nitrogen to verify that the background spectrum was clean. As expected, no peaks were observed in both positive and negative modes. When small amounts of NO_x samples were mixed with the transport gas, peaks appeared in the DMS spectra which behaved consistently with ion energetic considerations of the analytes. NO samples produced dominant positive ion peaks and NO₂ samples exhibited mostly negative ion peaks.

NO and NO₂ spectra in positive and negative modes

Figure 3 shows individual spectra for NO (Figure 3a) and NO₂ (Figure 3b) samples. As expected, the NO sample spectra shows a single positive peak at V_c = -23V. The low intensity negative peak around V_c = 0V is believed to be an impurity.

The NO₂ sample spectra showed a major single peak in the negative mode at V_c ~ -10V. Two peaks with relatively small intensities are also apparent in the positive polarity at V_c = -23V (same location as for the NO sample), and at V_c = 17V. These positive peaks are believed related to NO⁺ and NO₂⁺ and may be formed due to photolysis of the NO₂ molecules into NO, O, and a combination of these molecular species (e.g. NO₃), as shown in equation (1):



Because molecules of NO₂ and NO₃ have very high electron affinities (2.3 and 3.9 eV respectively), these species will capture electrons from the other

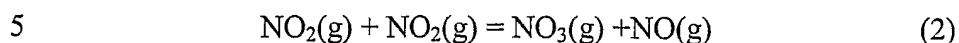
compounds (including unidentified impurities) due to charge exchange processes, and form negative ion species. This may explain the presence of a stable single peak in the negative spectra. In this model the intensity of the negative peak should be proportional to the concentration of NO_x in the gas mixture.

5 It is well known that mixtures of NO and NO₂ exist in dynamic equilibrium with their relative concentrations dependant on experimental conditions. Figure 3 illustrates that the DMS has the ability to directly measure the amounts of both NO and NO₂ species actually existing in the mixture. The positive ion spectra, provides information on the NO species while the negative ion spectra provides information
10 on the amount of NO₂ (or NO₃) species present. This was confirmed by a validating experiment performed by oxidizing NO. 10% of oxygen was added to the standard nitrogen transport gas and blended with the standard NO sample. This resulted in a decreased intensity of the positive NO ion peak at V_c=-23V and simultaneously an increase in the intensity of the positive ion peak at V_c=-17V. Meanwhile in the
15 negative polarity, a new peak at V_c=-10V, related to NO₂ appeared. After the oxygen flow was turned off, the peaks transformed back to the characteristic NO spectra.

Concentration dependences for NO and NO₂ species

20 Figure 4 (a & b) shows the DMS intensity response to different concentrations of NO (positive polarity ions) and NO₂ (negative polarity ions) samples. Plots for peak height (blue) and for peak area (pink) are presented. One can see that for NO these plots have a linear range ($Y = 4.6x - 6.1$ e.g. for area) up to 6 ppm. The NO₂ plots are nonlinear but can be fitted very well by quadratic
25 approximations ($Y = 0.0055x^2 + 1.65x - 1.9$ for area plot). The resulting reactions for positive and negative ion formation have different reaction orders. Positive ion formation has a first reaction order while the negative ion formation has a second reaction order. This means that the rate of negative ion formation is increased with increasing concentration of NO₂. One of the possible explanations is that in the
30 negative mode NO and NO₂ are oxidized to NO₃ producing the negative spectral peak. The oxidation process may be enhanced due to the UV lamp radiation,

according to reactions (1). Another mechanism which is specific for nitrogen dioxide is due to collision of neutral molecules of NO₂ with oxygen atoms resulting in their transformation from one molecule to other.



With increasing concentration of NO₂ the rate of this reaction increases due to increasing collision frequency. In this case, the amount of free electrons is increased due to ionization of NO resulting in positive ions (NO has the lowest
10 ionization energy) and a second product NO₃ which has the highest electron affinity (3.9 eV). This results in a condition favoring increased efficiency of negative ion formation. The estimated limit of detection for both chemicals according to Figure 4 are 0.3ppm for NO and 1ppm for NO₂.

15 **NO and NO₂ spectra in positive and negative modes for complex transport gases**

Laboratory tests were also conducted with more complex, and realistic, transport gases. The transport gas used had the following composition: 23.6 ppm of SO₂; 121.2 ppm of H₂; 398 ppm of CO, 8.1% of O₂; 10% of CO₂; with nitrogen as
20 the balance gas. In this part of work the transport gas flow rate was 400cc/min. As used, the NO₂ flow rate was constant at 5 cc/min and concentration of NO₂ in sample mixture was 1500 ppm. NO flow rate varied from 0.62cc/min to 10cc/min and concentration of NO in sample mixture was 1500pp. Figure 5 (a,b,c,d) shows the results of these experiments.

25 No peaks in both the positive and negative spectra are evident in the background gas mixture shown in Figure 5a. This is because all the components of this gas mixture have significantly higher ionization energies than the photon energy provided by the UV lamp. Consequently, no positive or negative ions will form directly. After introduction of 18ppm NO₂ the negative ion peak at V_c=-10.5V
30 appeared, and only traces of the positive ions (see Figure 5b) were seen. This

condition is similar to the case when only clean nitrogen transport gas was passed through the DMS (see Figure 3b). When NO at 36ppm was added, Figure 5c, simultaneously both positive and negative ion peaks were observed. The positive ion peaks have different positions compared to when only the nitrogen transport gas is used, but the negative ion peak has the same compensation voltage seen for NO₂.
5 One explanation of this result is due to the presence of oxygen (10%) which can oxidize the NO and produce neutral NO₂ (or NO₃). NO₃ has very high electron affinity and will form negative ions by direct capture of free electrons, or by electron exchange processes from negative ions of O₂ and CO which may have formed
10 earlier but which have significantly lower electron affinities (EA). Simultaneous introduction of NO (36ppm) and NO₂ (18ppm) increases the intensity of the negative ion peak (see Figure 5d).

The response of the DMS to different total amounts of NO_x is shown in Figure 6a. One can see that the peak position for the negative ions remains the same, and only the peak intensity changes. Concentration dependence plots for peak
15 area and peak height are shown in Figure 6b. The two plots look similar, indicating that the peak form does not change. The estimated limit of detection for NO_x under these conditions is around 2 ppm.

20 **Effect of moisture (H₂O) on DMS negative ion spectra**

One significant disadvantage of conventional IMS is the suppression of its response to NO_x species as humidity levels increase. The DMS response to NO_x species at different moisture levels was therefore closely studied. Figure 7 shows results of peak position and peak intensity for the negative ions plotted at different
25 moisture levels.

As is evident in Figure 7, the moisture does not affect the DMS response up to about 1000ppm. Above 1000 ppm the compensation voltage increases with increasing humidity, i.e., shifts the peak to a more negative value away from the zero axis. This results in an enhanced resolving ability of the DMS as the moisture
30 level increases. The intensity of peak decreases, but rather gradually (increasing the moisture level from 1000ppm (relative humidity 4%) to 21000ppm (relative

humidity 85%) changes the intensity from 6V down to 3.0V) as shown in Figure 7. Results of varying the humidity produce similar peak positions shifts and peak intensities with both the mixture and nitrogen transport gases.

5 Effect of Propene on detection of NO/NO₂

Real exhaust typically contains many hydrocarbons. Propene is historically used as a representative compound for the hydrocarbon matrix in exhaust gas. Figure 8 shows the DMS spectra for a transport gas containing 100ppm propene, 10% oxygen in clean nitrogen with moisture at a 70C dew point.

10 The resultant spectra shows a propene peak in the positive mode at a compensation voltage of $V_c = -26V$. The main propene related peak in the negative mode is at a compensation voltage of $V_c = -31V$. When a sample of 100 ppm NO₂ + 20ppm NO is introduced into the DMS, Figure 9, the positive ion propene peak remains at $V_c = -26V$ while the negative ion peak shifts to a compensation voltage of
15 $V_c = -11$ volts. This peak is the same as the one observed for the NO₂ sample. From mass spectrometric analysis this peak has been determined to be an NO₃ related peak. Once again, the negative mode DMS spectra shows a characteristic response for the total NO_x sample.

20 Mass spectrometric investigation of DMS spectral peaks

Analysis of the DMS spectra with NO_x species shows that there are many possible pathways for formation of these ions in air, especially at ambient pressure. Spectra can contain derivative peaks of new species which are formed due to association, dissociation, fragmentation, oxidation and chemical reaction between
25 molecules, fragments, and atmospheric gases. Therefore, validation experiments with direct chemical identification have been provided. For this purpose mass-spectrometric has been carried out. Figure 1A0 shows a schematic of a preferred micromachined DMS coupled to a mass spectrometer.

A photo-ionization source was attached to the DMS sensor for ionization,
30 and a Teflon base was interfaced to pneumatically attach the DMS to the flange of a

TAGA 6000 APCI-tandem mass spectrometer (MS/MS) from Sciex, Inc. (Toronto, Ontario, Canada). The MS/MS was equipped with a computer and API Standard Software, Ver 2.5.1 (PE SCIEX). Analytes (NO_x) were introduced with transport gas and ionized before introduction into ion filter region of the DMS. Once through
 5 the filter region, the ions were injected through a hole in the detector electrode, into the pinhole of the interface plate of the MS/MS. Polarity of injected MS ions could be changed by changing the polarity of the deflector electrode. The significant advantage of this interface is that, under the effect of the deflector voltage and mass-spectrometer plenum gas, the analyzed ions are completely isolated from analyte
 10 neutrals exhausted by the DMS transport gas. Another advantage of this interface is the possibility to simultaneously record DMS and MS spectra.

Figure 11 shows positive ion mass spectra for 20ppm NO in dry N₂, Figure 11a, and Figure 11b, when 10% of oxygen was added to the dry transport gas (N₂). When instead, NO the NO₂ samples were introduced mass-spectra similar to that of
 15 Figure 11 was recorded. One can see that the NO mass-spectra contains two series of ions: related to monomer and cluster nitric oxide ions W_nNO⁺ and proton bounded water ions W_kH⁺. The level of water (W) clustering depends on the moisture level. For dry conditions, with humidity less than 10ppm; the values of **n** and **k** may vary from 0 to 4. The nitric oxide peaks are M/z= 30 [NO⁺], 48 [(W)NO⁺], 66[(W)₂NO⁺],
 20 84[(W)₃NO⁺],102[(W)₄NO⁺], and proton bound water peaks are M/z=19 [W.H⁺], 37 [(W)₂H⁺]; 55 [(W)₃H⁺]; 73 [(W)₄H⁺].

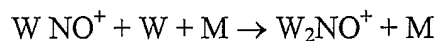
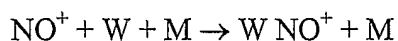
When humidity is higher, the level of clustering increases (see Figure 11c). As a result the light nitric oxide monomer (m/z=30) and cluster (m/z=48) peaks disappear and heavier ions related to NO samples appear: 120[(W)₅NO⁺];
 25 138[(W)₆NO⁺]; 156[(W)₇NO⁺]; 174[(W)₈NO⁺]; 192[(W)₉NO⁺]; and 204[(W)₄NO⁺]₂. Likewise, heavier water clusters also appear: 91[W₅H⁺]; 109[W₆H⁺]; 127[W₇H⁺];145[W₈H⁺];163[W₉H⁺];181[W₁₀H⁺];199[W₁₁H⁺].

The nitrogen dioxide and nitric oxide positive ions mass spectra are significantly different. In contrast to NO, the nitrogen dioxide positive ion mass
 30 spectra (Figure 11b) shows no specific peaks related to NO₂[m/z=46]. The mass-spectrum contains mostly proton bound water cluster ions m/z = 37,55,73,91,

relatively low intensity peak $m/z=30$ [NO^+], and $m/z=102$ [W_4NO^+]. The last two peaks show that a process of dissociation of NO_2 molecules to $\text{NO}+\text{O}$ certainly exists. This is likely key to considering future oxidizing of NO_2 molecules to NO_3 by a series of chemical reaction steps (see, e.g. reactions (1)). The negative ion mass spectra for both samples, provides additional evidence for this mechanism. Mass spectra for both NO and NO_2 samples are similar and contain peaks $m/z=62, 125, 188$ with the same peaks intensity relationship (Figure 1A2).

It is likely that these ions are NO_3^- , $(\text{HNO}_3)\text{NO}_3^-$, and $(\text{HNO}_3)_2\text{NO}_3^-$ which are formed as a result of oxidization (or solvating) of NO and NO_2 to NO_3 (or HNO_3). The humidity effect on the negative ion mass-spectra is smaller than on the positive ions. At elevated moisture conditions the major peaks are the same as for dry conditions $m/z=62, 125, 188$, but several new cluster peaks at significantly increased mass ($\Delta m=18$) also appeared (207, 225, 243, 261, etc.). From the mass spectra experiments it is obvious that water chemistry plays a very significant role in NO_x ion formation, especially in the positive polarity. With increased moisture new cluster ions appear and as a result specific sample ion peak intensities decrease, due to the increased number of proton bounded water ions. (This may be an explanation for the suppression of conventional IMS response.)

According table I the photoionization source could not directly provide ionization of water molecules. A mechanism to explain formation of proton bounded water ions may be described by the following sequence of gas phase reactions:



where M is a non-reacting molecule, generally N_2 .

When the water cluster around the NO^+ is sufficiently large, its proton affinity becomes sufficient for reaction to become exothermic. Theoretical calculations show that this occurs for $n = 3$, i.e. W_3NO^+ is the critical size for the switch from NO^+ chemistry to protonated water chemistry.



(4).

The peak at m/z 102 in the NO_x mass-spectra 2 may be explained by this mechanism and may be the W_4NO^+ ion.

10

Evaluation of memory effects on measurement precision and accuracy

In practice of the invention, memory effects were not a significant problem even though most characterization work was performed at room temperature. Furthermore, in real-world application, the gas temperature will be at least 100C, which will reduce or eliminate any memory effects should they occur.

Based upon the foregoing, it will be understood that we have shown highlights of the preferred practice of the present invention. Some of the highlights in practice of an illustrative embodiment of the invention include: NO samples are shown to produce a spectra containing only positive ion peaks. As expected there are no negative ions produced. NO_2 samples produce spectra containing a number of low intensity peaks in the positive mode (including a peak with the same compensation voltage as observed with the pure NO sample) and one major peak in the negative polarity mode. The NO spectra, even at room temperature in the presence of oxygen, is transformed into a spectrum representative of the NO_2 sample. Concentration dependence of NO and NO_2 samples have different approximations: NO has linear and NO_2 has quadratic approximation.

25

The estimated limit of detection for both species is around 1ppm. Even in the presence of interferants, the negative ion spectra is stable and provides sufficient accuracy for NO_x monitoring under real world conditions.

Mass spectrometric analysis of the positive and negative DMS spectra show that positive mode DMS peaks are mostly related to derivatives of NO^+ and protonated water ions. In the negative mode, the DMS peak contains ions of NO_3^- and its derivatives, which are oxidation products of NO and NO_2 . The formation of ions observed in the mass spectra are consistent with known chemistry but it must be emphasized that the systems are complex and there may be different channels (pathways) of ions formation which can explain obtained DMS/MS spectra.

It will now be appreciated that the present invention provides direct measurement of NO_x , including NO and NO_2 . High spectrometer sensitivity and ability to resolve NO_x samples in real-time, even those not separated in conventional TOF-IMS, has been demonstrated. Further applications include an all-in-one detector that can make measurement of both hydrocarbon and NO_x content in a gas sample in real-time. Thus such embodiment enables the realization of fast, miniature, low cost, high sensitivity, high reliability chemical detectors for detection of NO_x and even of hydrocarbons in a gas sample, simultaneously.

Furthermore, the present invention enables a compact system for other detection needs, such as detection of NO in exhaled breath for monitoring and treatment of medical conditions. The present invention therefore has applications to these and other medical treatments as will be apparent to a person skilled in the art.

Therefore it will now be understood that the present invention discloses improved method and apparatus for gas sample analysis. Apparatus of the invention may feature planar, cylindrical, radial, or other DMS topologies and configurations. A preferred DMS embodiment of the invention enables a practical, small, fast (<100 msec), non-radioactive, sensitive and selective detector for real time monitoring of NO_x .

The examples and embodiments disclosed herein are shown by way of illustration and not by way of limitation. The scope of these and other embodiments is limited only as set forth in the following claims.

Claims

What is claimed is:

- 5 1. System for chemical analysis of a gas sample, comprising:
a flow path,
a soft ionization source,
an ion filter including ion filter electrodes across said flow path,
an electronic input, said electronic input coupled to said ion filter electrodes
10 for generating a high-low varying asymmetric displacement field between said
electrodes across said flow path,
said asymmetric displacement field imparting transverse motion to said flow
of ion species according to mobility characteristics of said ion species and according
to extant field conditions, said transverse motion driving unwanted ion species in
15 said flow of ion species into said ion filter electrodes for neutralization thereof,
said asymmetric displacement field being compensated, said compensation
for selecting at least one ion species out of said flow of ion species and
accommodating travel of said selected ion species in said flow path to said ion outlet
for detection without said neutralization,
20 said ion filter distinguishing between ion species of an ionized NO_x sample
based on differences in ion mobility in said filter field, and
said filter passing selected said ion species of said ionized NO_x sample for
detection based on said compensation, and
said detected, passed, selected, ion species being identified based on
25 historical detection data of said system and on said extant field conditions.
2. System of claim 1 wherein said detected ion species is derived from an
anthropogenic source of NO_x.
- 30 3. System of claim 1 wherein said detected ion species is derived from an in
vivo source of NO.

4. System of claim 1 wherein said detected ion species is NO and said system quantifies said detection.
5. System of claim 1 wherein said constituents of NO_x include NO₂, N₂O₄, and
5 HN03.
6. System of claim 1 wherein NO is detected in a positive detection mode.
7. System of claim 1 wherein NO₂ is detected in a negative detection mode.
10
8. System of claim 1 wherein total NO_x is detected by oxidation of said sample.
9. System of claim 1 wherein said means for ionizing said NO_x sample is non-
radioactive.
15
10. System of claim 9 wherein said means for ionizing said NO_x sample is
photon-based.
11. System of claim 1 wherein said detected ion species is quantified and
20 identified based on historical detection data of said system and on said extant field
conditions.
12. System of claim 1 wherein said detector simultaneously makes negative and
positive mode detections of NO_x components in a gas sample.
25
13. System of claim 1 wherein said detector simultaneously makes detections of
NO_x and hydrocarbon components in a gas sample.

14. System for chemical analysis of a gas sample, comprising:
a differential ion mobility filter apparatus having an ion filter package with
an internal flow path,
said ion filter package including an ion filter, an inlet part and an outlet
5 part, said inlet part, said ion filter, and said outlet part being coupled by said flow
path,
said flow path accommodating flow of ion species from said inlet part to said
ion outlet via said ion filter, said ion filter package and flow path accommodating
flow of at least a selected ion species to said ion outlet,
10 said ion filter including ion filter electrodes,
an electronic part having an input, said electronic input coupled to said ion
filter electrodes for generating a high-low varying asymmetric displacement field
across said flow path,
said asymmetric displacement field imparting transverse motion to said flow
15 of ion species according to mobility characteristics of said ion species and according
to extant field conditions, said transverse motion driving unwanted ion species in
said flow of ion species into said ion filter electrodes for neutralization thereof,
said asymmetric displacement field being compensated, said compensation
for selecting at least one ion species out of said flow of ion species and
20 accommodating travel of said selected ion species in said flow path to said ion outlet
for detection without said neutralization,
said inlet part further including means for receiving a gas sample and means
for ionizing said gas sample,
said ion filter distinguishing between ion species of an ionized NO_x sample
25 based on differences in ion mobility in said filter field, and
said filter passing selected said ion species of said ionized NO_x sample for
detection based on said compensation, and
said detected, passed, selected, ion species being identified based on
historical detection data of said system and on said extant field conditions.
30

15. System of claim 1 further including a combustor for the burning of fuel and controlled by a combustion controller, wherein effluent exhaust from said combustor is evaluated by said system and wherein detection of NO_x is reported from said electronic part to said combustion controller to regulate said burning.

5

16. System of claim 14 further including a combustor for the burning of fuel and controlled by a combustion controller, wherein effluent exhaust from said combustor is evaluated by said system and wherein detection of NO_x is reported from said electronic part to said combustion controller to regulate said burning.

10

17. System of claim 1 further including a breath capture device for delivery of exhaled breath from a patient to said inlet part, wherein said breath is evaluated by said system and wherein detection of NO is reported from said electronic part to indicate the health of said patient.

15

18. System for chemical analysis of a gas sample, comprising the steps of:
ionizer for ionizing a gas sample with soft ionization from a non-radioactive source,
means for submitting the ions to ion related filtering,
20 means for scanning the ionized sample, and
output for generating spectra showing NO_x constituents in said sample.

20

19. Method for chemical analysis of a gas sample, comprising the steps of:
ionizing a gas sample with soft ionization from a non-radioactive source,
25 submitting the ions to ion related filtering,
scanning the ionized sample, and
generating spectra showing NO_x constituents in said sample.

25

20. A medical diagnostic device, comprising:
device for ionizing a gas sample with soft ionization from a non-radioactive
source,
apparatus for submitting the ions to ion related filtering, and
5 system for scanning the ionized sample and for generating spectra showing NO_x
constituents in said sample.

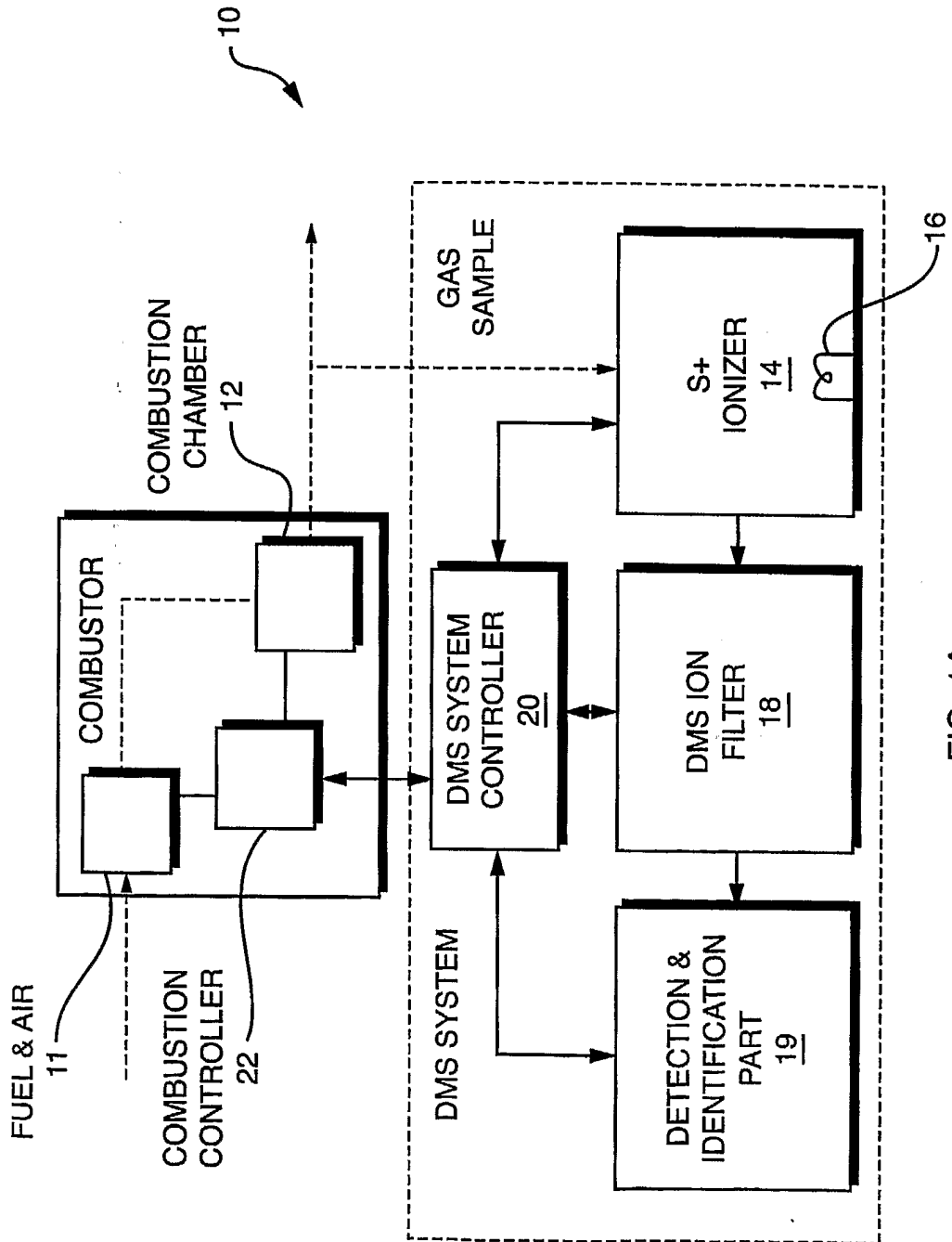


FIG. 1A

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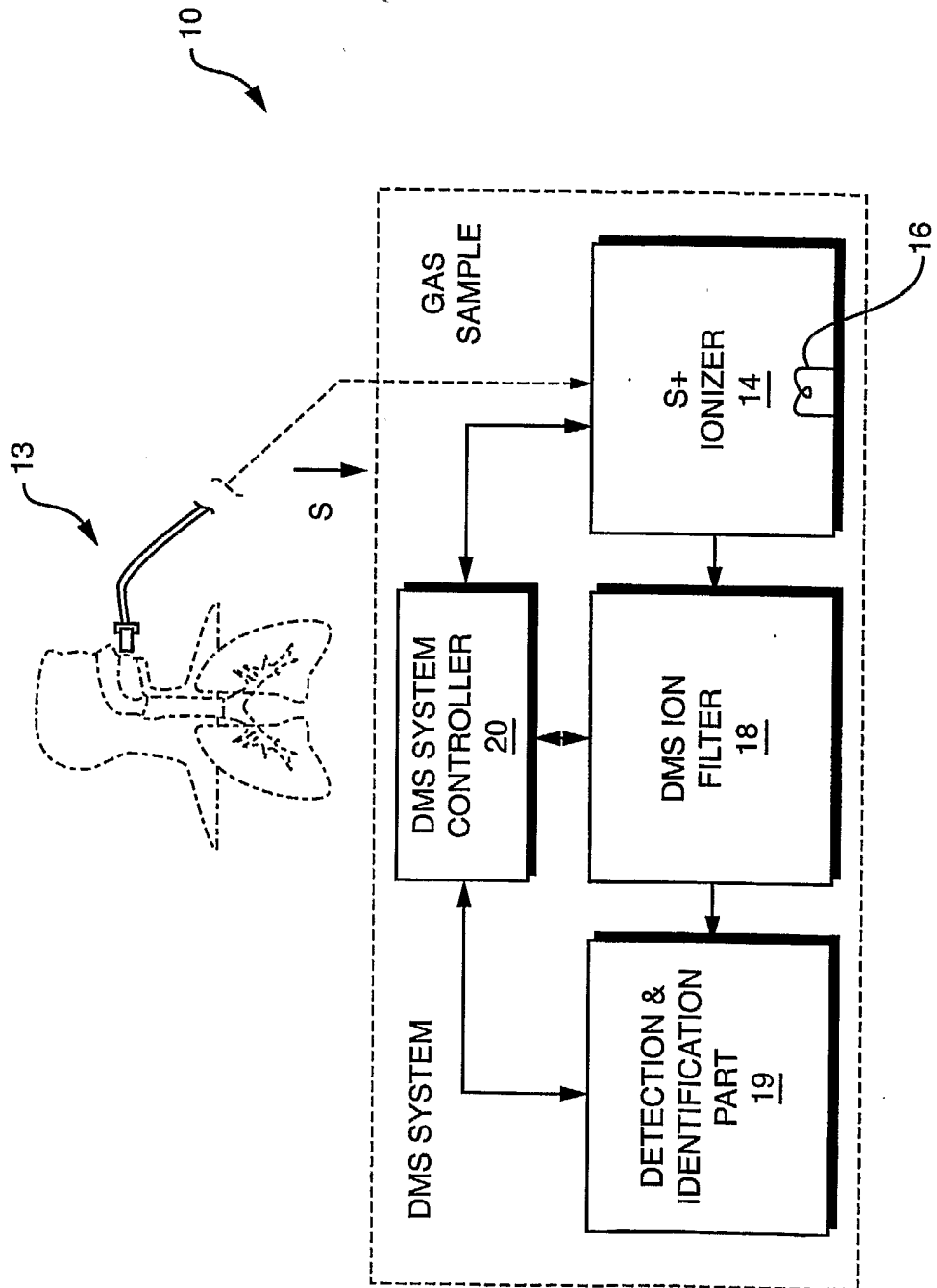


FIG. 1B

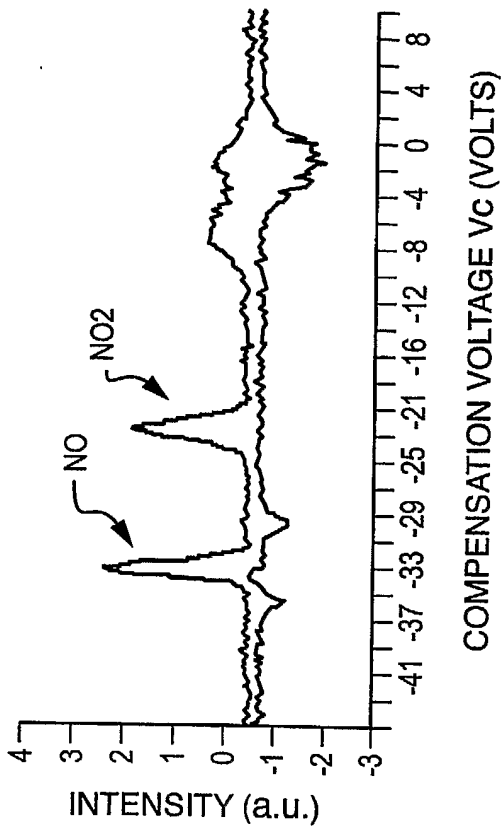


FIG. 2C

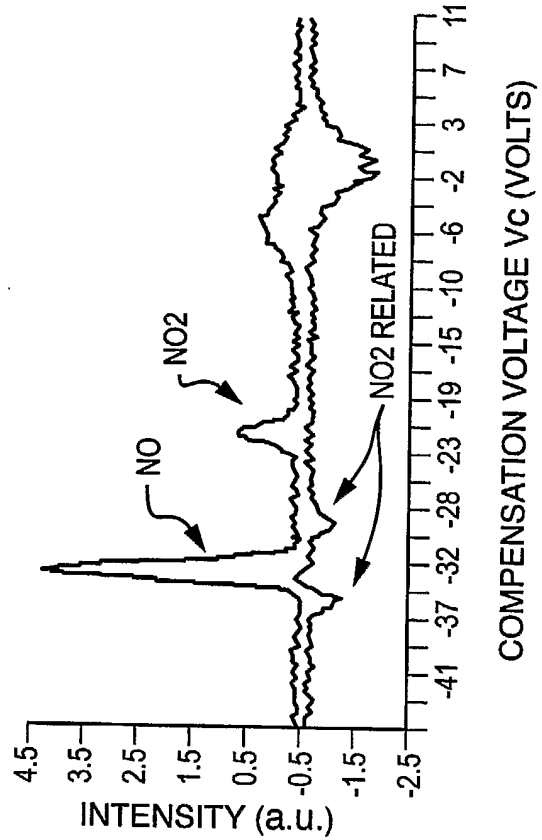


FIG. 2D

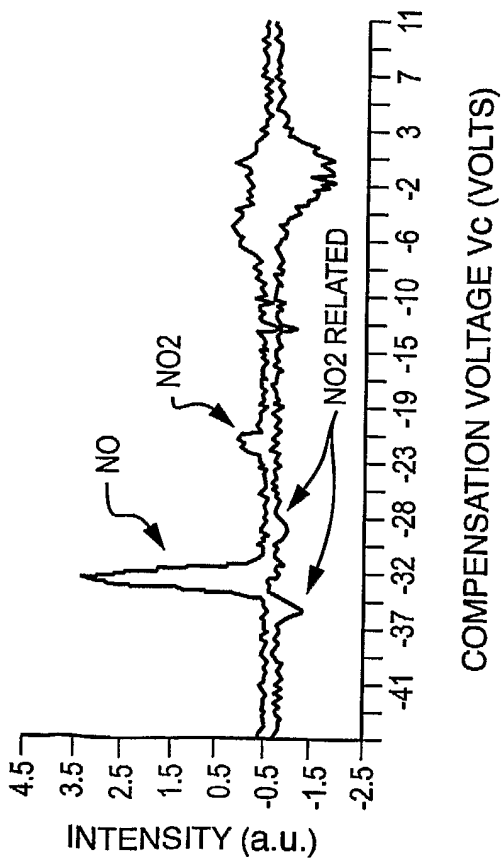


FIG. 2A

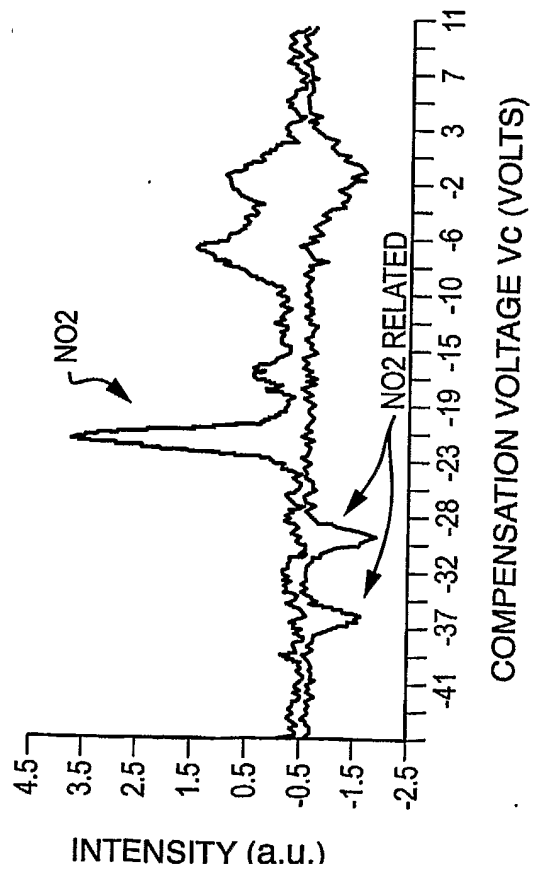


FIG. 2B

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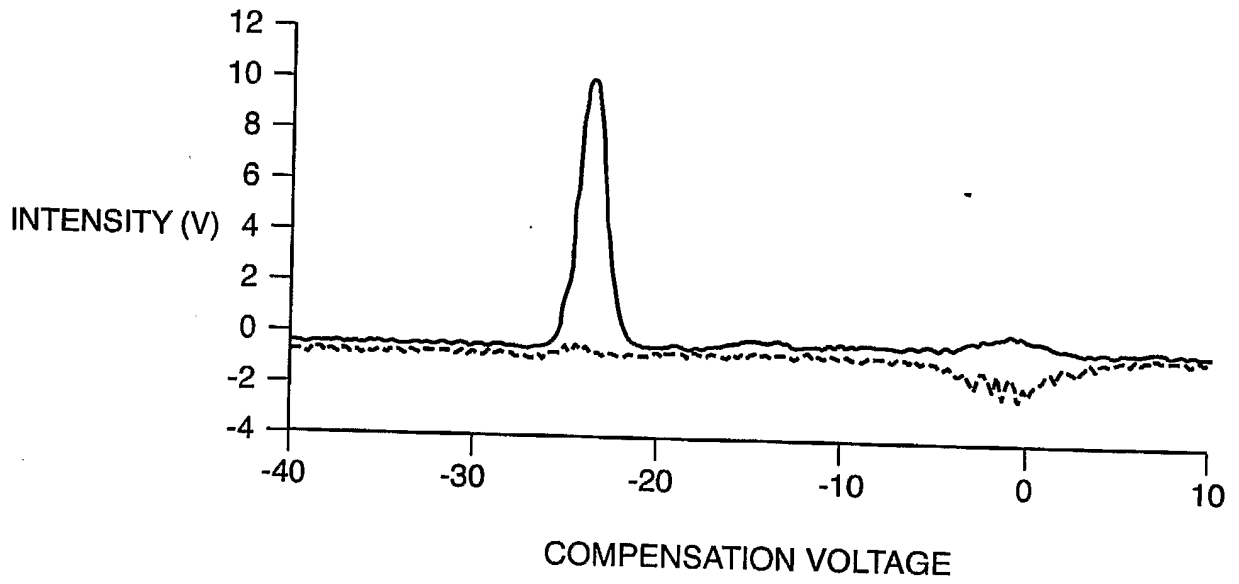


FIG. 3A

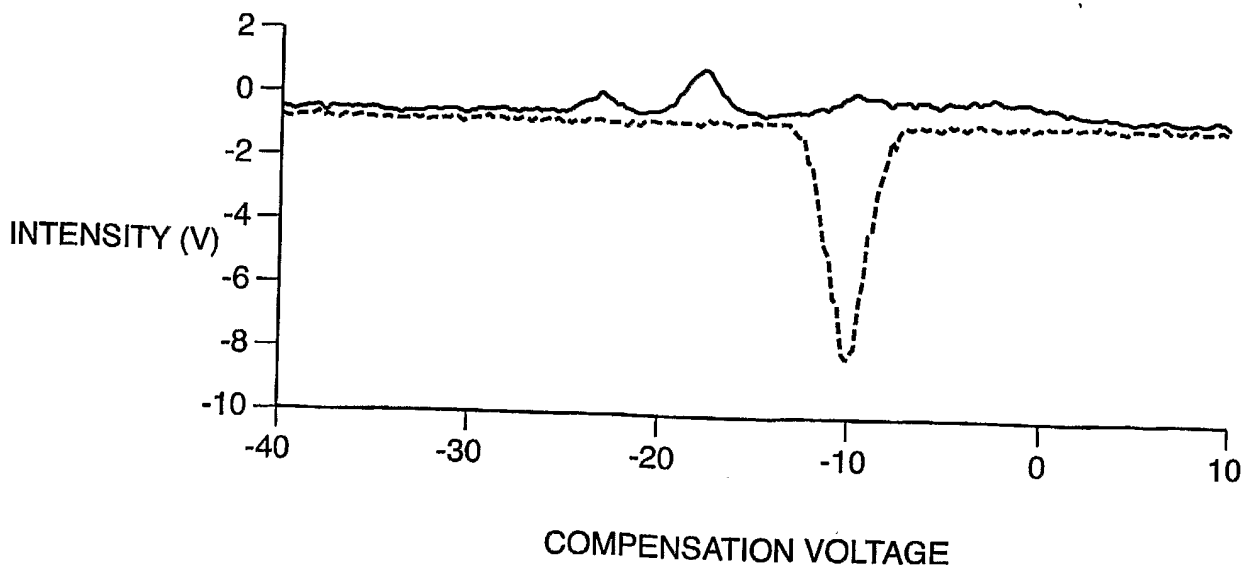


FIG. 3B

5/11

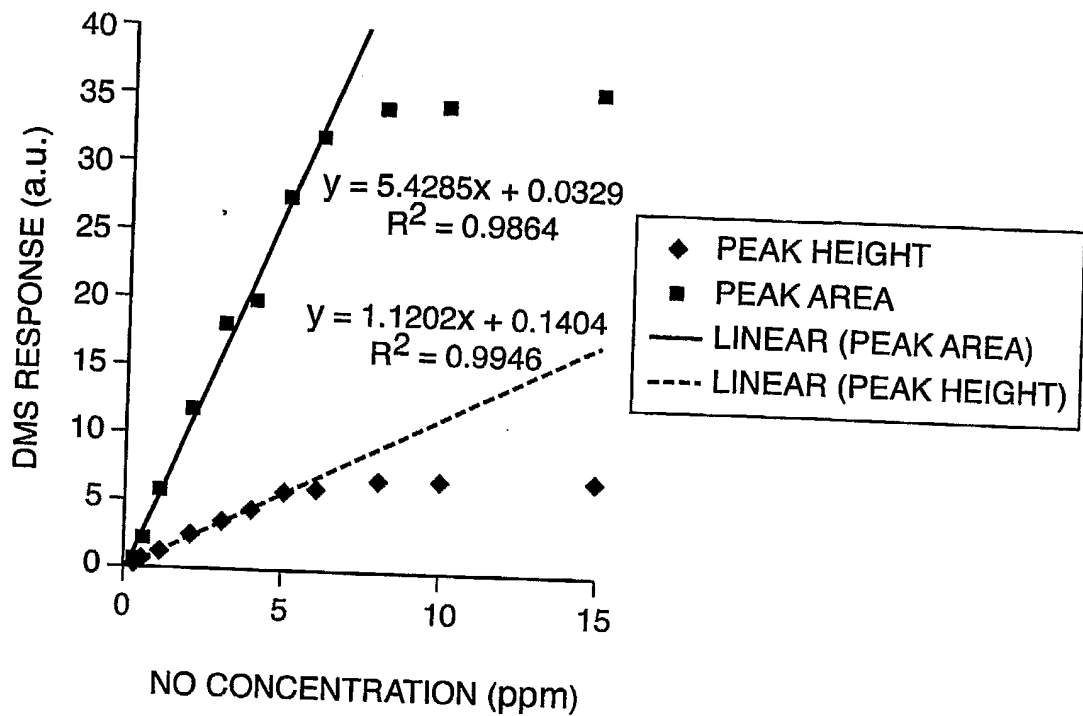


FIG. 4A

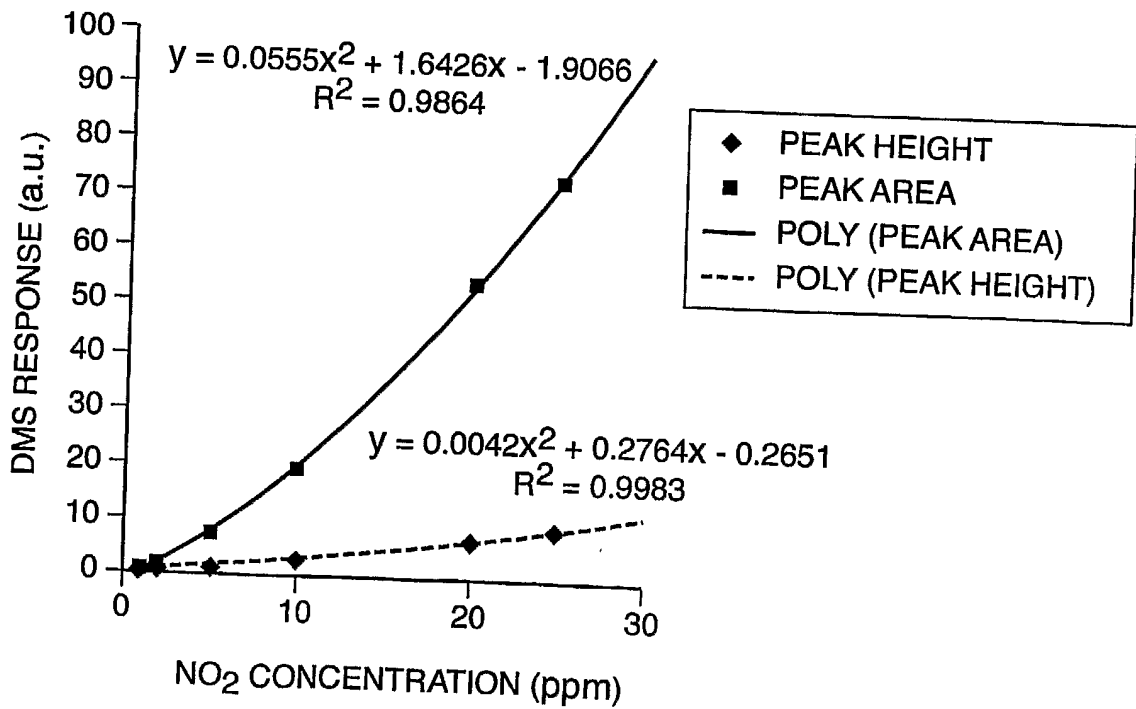


FIG. 4B

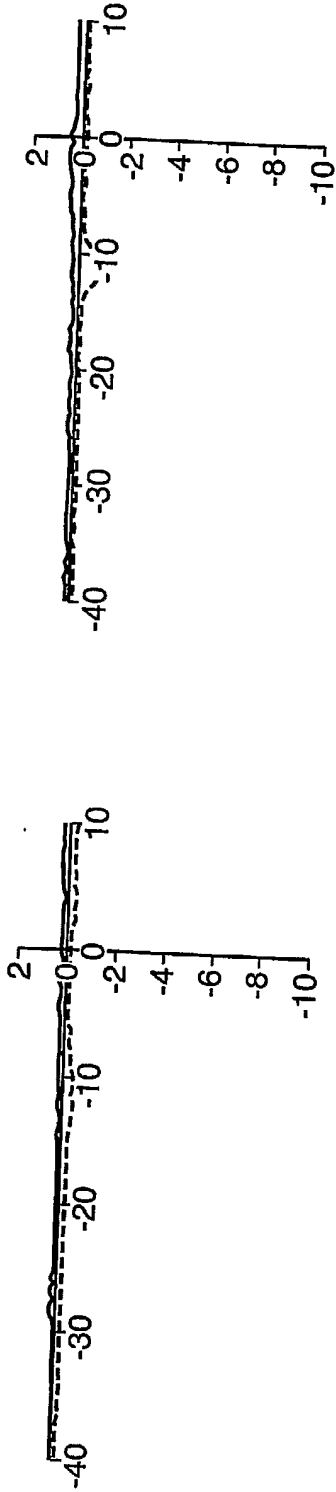


FIG. 5A

FIG. 5B

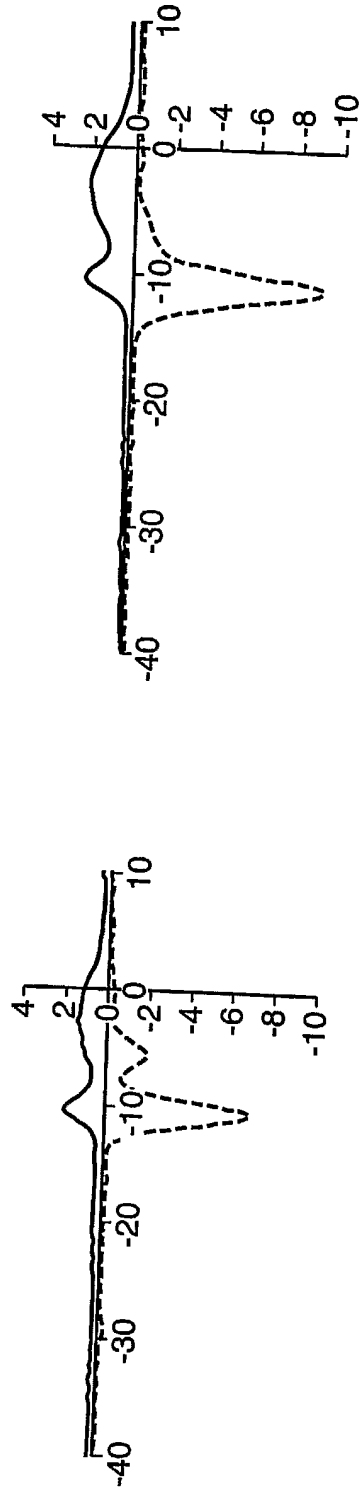


FIG. 5C

FIG. 5D

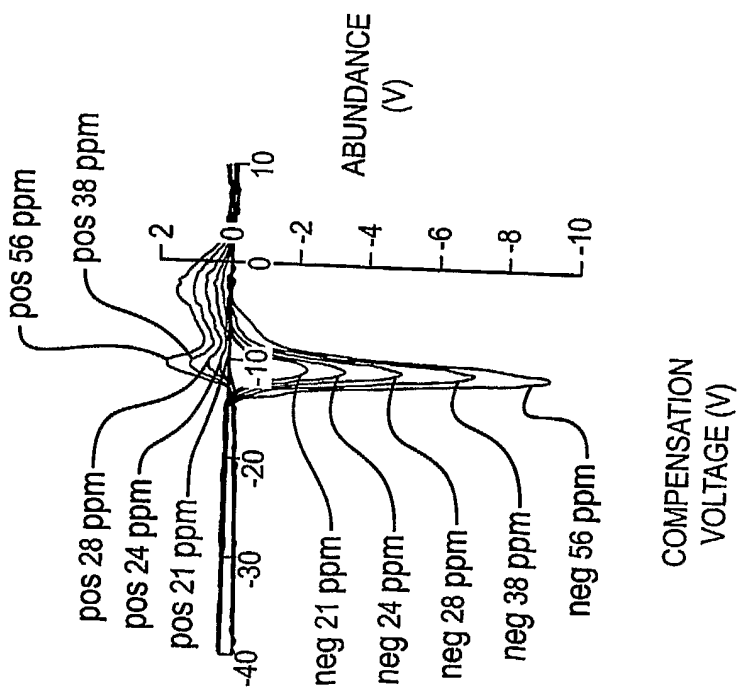


FIG. 6A

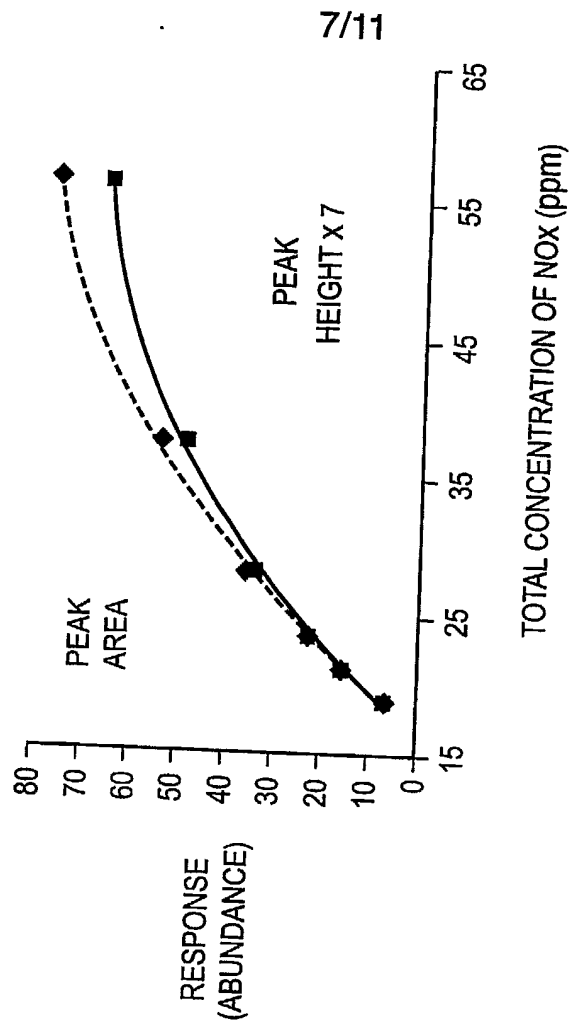


FIG. 6B

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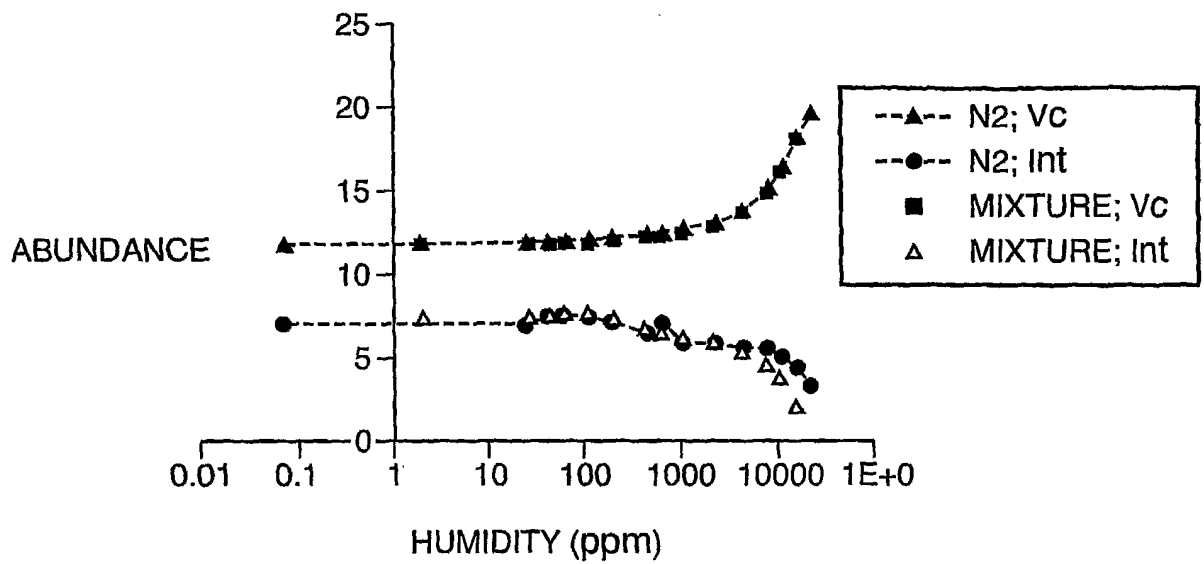


FIG. 7

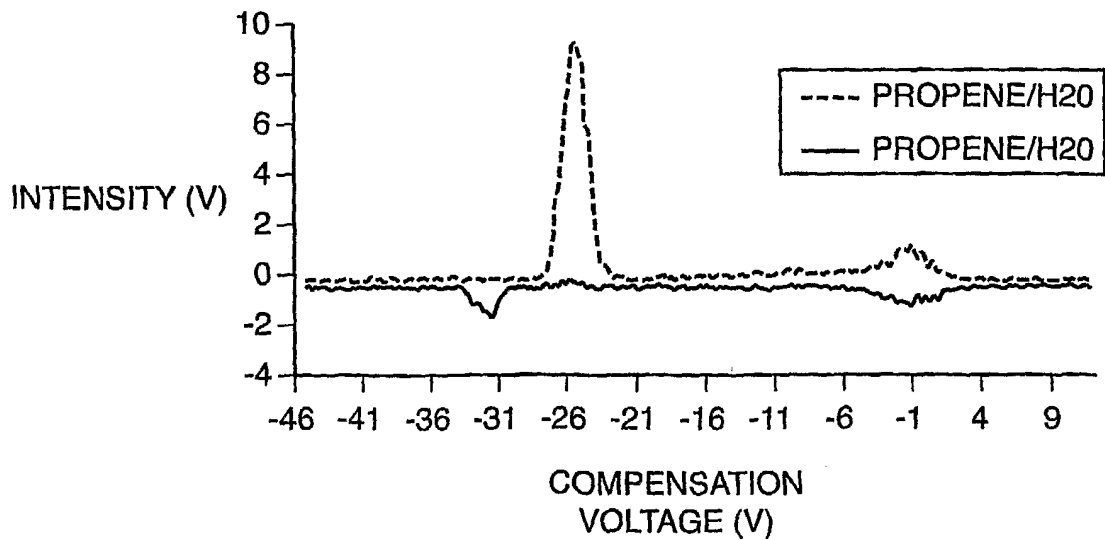


FIG. 8

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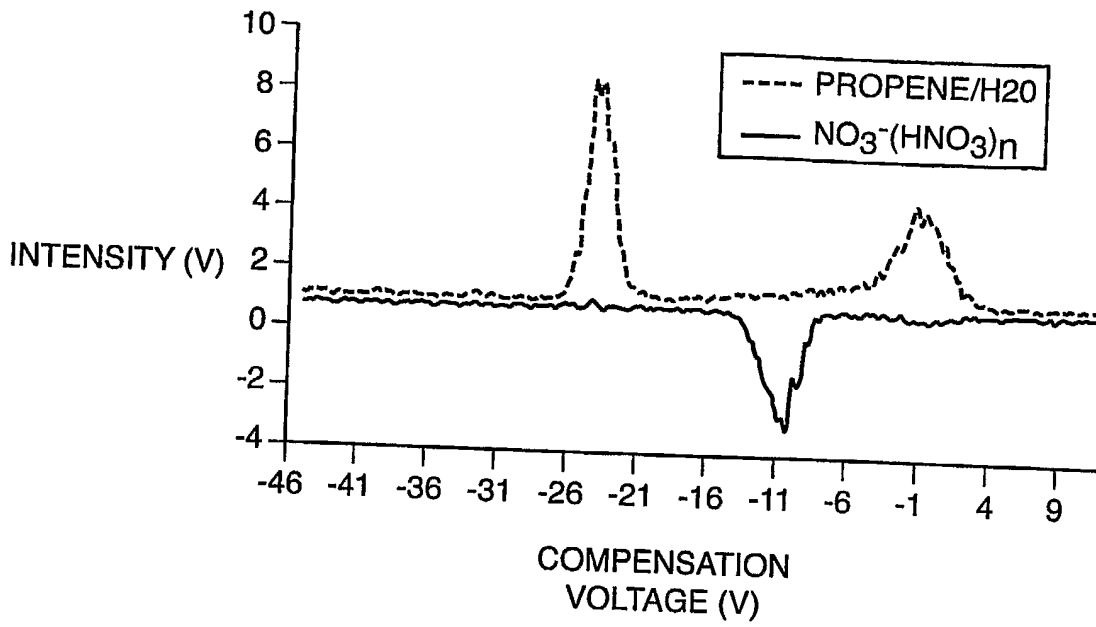


FIG. 9

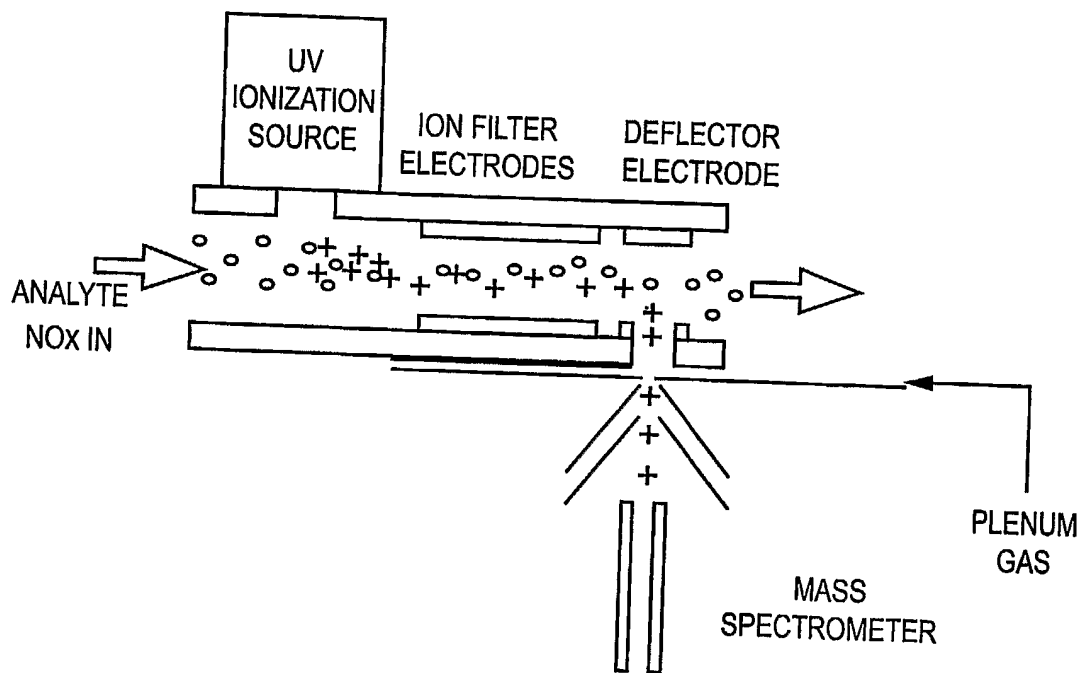


FIG. 10

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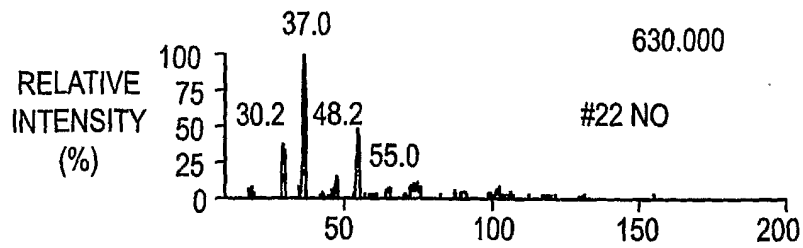


FIG. 11A

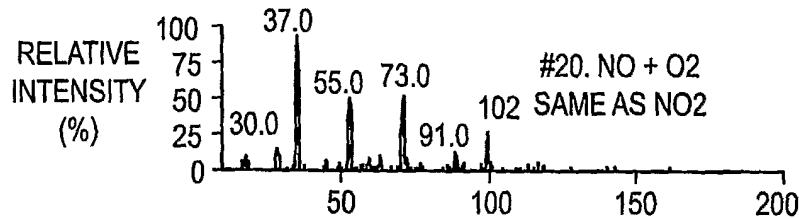


FIG. 11B

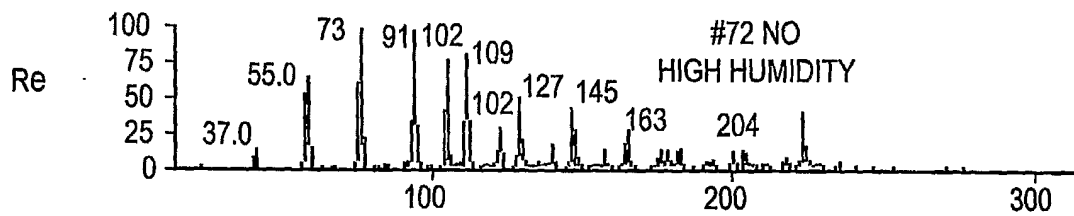


FIG. 11C

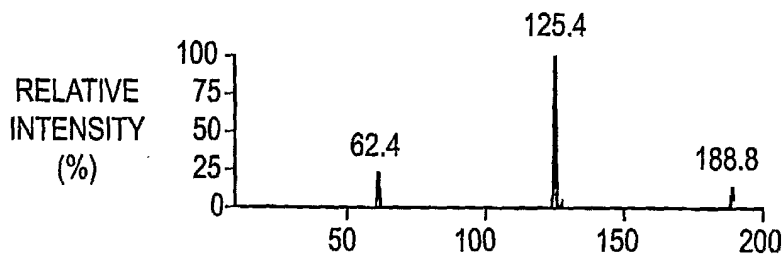


FIG. 12A

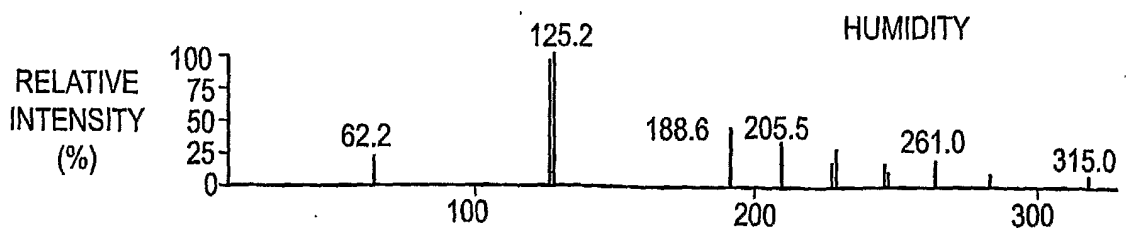


FIG. 12B

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	TOTAL AMOUNT	EI eV	EA eV	PA KJ/mol	POSITIVE IONS	NEGATIVE IONS
NITRIC OXIDES:						
NO	200ppm	9.3	0.026	531	YES	NO
NO2		9.5	2.3	591	YES	YES
H2O	10%	12.6	-	691	NO	NO
OXYGEN	9%	12.06	0.45	421	NO	YES
CO2	5%	13.77	-0.6	540	NO	NO
HYDROCARBONS:						
METHANE	490ppm	12.61	-	543	NO	NO
PROPANE AND	625ppm	10.94	-	625	NO	NO
PROPENE		9.73	-	751	YES	NO
CO	300ppm	14.01	1.37	594	NO	YES
HYDROGEN	150ppm	15.4	-	422	NO	NO
ETHANE	10ppm	11.52	-	596	NO	NO
NITROGEN	BALANCE	15.58	-	493	NO	NO

FIG. 13