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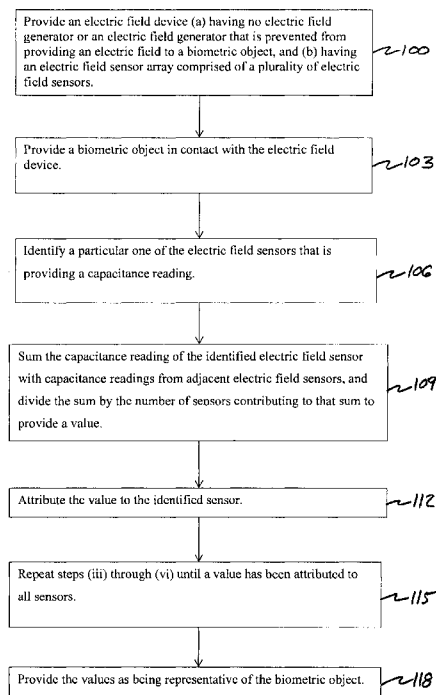
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(54) **Title:** SYSTEM AND METHOD OF USING AN ELECTRIC FIELD DEVICE

Fig. 13



(57) **Abstract:** A biometric scanner having an electric field device and a method of using that scanner are disclosed. The electric field device (a) has no electric field generator or an electric field generator that is prevented from providing an electric field to a biometric object, such as a finger, and (b) has an electric field sensor array comprised of a plurality of electric field sensors. Capacitance readings from the sensor array are used to generate values that are attributed to locations corresponding to the sensors.

SYSTEM AND METHOD OF USING AN ELECTRIC FIELD DEVICE

Cross-Reference to Related Application

[0001] This application claims the benefit of priority to U.S. provisional patent
5 application serial number 61/595,322, filed on February 6, 2012.

Field of the Invention

[0002] The invention relates to fingerprint scanning devices that function by means of measuring the electric field associated with the distributed charge on a biometric object, such as a finger.

Background of the Invention

10 [0003] Since the 1800's fingerprint information has been collected from human fingers and hands by means of ink and paper. For the purposes of this document, the term fingerprint is used to mean the skin surface friction ridge detail of a single fingerprint, partial fingerprint or any portion of the skin surface friction ridge up to and including the entire hand
15 or foot. In recent years various electronic fingerprint scanning systems have been developed utilizing optical, capacitance, direct pressure, thermal, and acoustic methods. Methods based upon acoustics, ultrasound, capacitance, and electric field measurement have proven to be the most accurate, as they are virtually immune to the effects of grease, dirt, paint, ink, and other image contaminants. Capacitance sensors may also offer additional advantage in that they
20 may be able to achieve improved imaging in cases where poor acoustic impedance matching between the friction skin of the fingerprint and the scanner's platen are present, such as may be encountered when the skin on the finger is very dry.

[0004] The electric field method employs a transducer that capacitively couples the finger to an array of electric field measuring devices. The electric field may be a static field
25 or one that employs a generating device that is coupled to the finger by contact with an electrode. Although the electric field is nearly uniform across the finger, there are variations in the electric field that give rise to differences in the measured electric field. For example,

when a ridge of the friction skin of the finger is present, the measured electric field will be different than when a valley of the friction skin is present. Graphically displaying this information creates a contour map of the object (human finger or skin surface) that is in contact with the scanner surface. For example, the depth of any gap structure, such as the ridges and valleys of the fingerprint, may be displayed as a gray-scale bitmap image.

Measuring the electric field via the capacitance coupling to the platen surface makes use of the fact that the electric field is a function of the distance between capacitance plates, i.e., the TFT input pad and the skin of the finger. Ridges of the fingerprint are closer to the input pad and valleys are places where the skin is farther away from the TFT input electrode pad, and thus differing electric field measurements that can be used to identify the location of the ridges and valleys of the fingerprint.

Summary of the Invention

[0005] The invention may be embodied as a biometric scanner having an electric field device and a computer coupled to a sensor array. The electric field device (a) has no electric field generator or an electric field generator that is prevented from providing an electric field to a biometric object, such as a finger, and (b) has an electric field sensor array comprised of a plurality of electric field sensors. The computer is communicatively coupled to the sensor array in order to receive capacitance readings from the sensors. The computer is programmed to process the capacitance readings as follows:

- (i) identify a particular one of the electric field sensors that is providing a capacitance reading;
- (ii) sum the capacitance reading of the identified electric field sensor with capacitance readings from adjacent electric field sensors;
- (iii) divide the sum by the number of sensors contributing to that sum to provide a value;
- (iv) attribute the value to the identified sensor;
- (v) repeat steps (i) through (iv) until a value has been attributed to all sensors.

[0006] The computer may be further programmed to create an image of the biometric object using the values attributed to each sensor. The computer may be further programmed to use the values in determining whether the biometric object matches information in a database.

5 [0007] The invention may be embodied as a method of scanning a biometric object. Such a method may:

- (i) provide 100 an electric field device (a) having no electric field generator or an electric field generator that is prevented from providing an electric field to a biometric object, and (b) having an electric field sensor array comprised of a plurality of electric field
10 sensors;
- (ii) provide 103 a biometric object in contact with the electric field device;
- (iii) identify 106 a particular one of the electric field sensors that is providing a capacitance reading;
- (iv) sum 109 the capacitance reading of the identified electric field sensor with
15 capacitance readings from adjacent electric field sensors;
- (v) divide the sum by the number of sensors contributing to that sum to provide a value;
- (vi) attribute 112 the value to the identified sensor;
- (vii) repeat 115 steps (iii) through (vi) until a value has been attributed to all sensors; and
- (viii) provide 118 the values as being representative of the biometric object.

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[0008] The method may further comprise accepting the provided values and using the values to generate a visual image of the biometric object. The method may further comprise accepting the provided values and using the values to determine whether the biometric object matches information in a database.

25 **Brief Description Of The Drawings**

[0009] For a fuller understanding of the nature and objects of the invention, reference should be made to the accompanying drawings and the subsequent description. Briefly, the drawings are:

FIG. 1 is a simple diagram of an electric field biometric scanner mechanism showing the source of charge and the distributed capacitance layer that is the sensor's outer platen surface.

FIG. 2 is a simplified schematic diagram showing an electric field biometric scanner measurement circuit that receives the electric charge to measure. The finger is simply shown as a node in the circuit between the detector and the electric field source.

FIG. 3 is a simplified schematic diagram showing an electric field biometric scanner measurement circuit that receives the electric charge to measure. The finger is shown bridging multiple pixel nodes in the detection circuit; finger resistance shorts between adjacent capacitors.

FIG. 4 depicts an array of electric field sensors (TFT or CMOS).

FIG. 5 shows an electric field sensor array configured as an electric field type fingerprint scanner.

FIG. 6 shows an electric field sensor array configured as a capacitance type fingerprint scanner.

FIG. 7 is an exploded view of the scanner depicted in figure 5.

FIG. 8 is an exploded view of the scanner depicted in figure 6.

FIG. 9 is a diagram of the operation of the electric field type fingerprint scanner.

FIG. 10 is a diagram of the operation of the capacitance type fingerprint scanner.

FIG. 11 depicts a TFT electric field detection pixel schematic.

FIG. 12 depicts a schematic of the pixel capacitance skin resistance network at any multiple friction skin contact points.

FIG. 13 is a flow chart depicting a method according to the invention.

FIG. 14 is a system according to the invention.

In the figures, certain reference numbers appear. These reference numbers indicate:

- 1 electric field scanner
- 2 TFT circuits
- 3 electrode array
- 4 dielectric layer
- 5 TFT substrate
- 6 antenna or excitation bus
- 7 excitation source (electric field generator)
- 8 capacitance scanner
- 9 finger
- 10 resistance path through the finger
- 11 capacitance plate (part of body conductive finger surface)
- 12 capacitance through the dielectric layer 4 from the electrode 3 to the finger 9
- 13 schematic of finger friction skin resistance and sensor capacitances for a plurality of contact nodes on the platen with the node of interest indicated
- 14 sensor capacitance between any sensor element of the electrode array 3 and the finger 9
- 15 skin resistance

Further Description of the Invention

20 [0010] The invention may be embodied as a method of operating an electric field biometric scanner. Figures 1-5, 7 and 9 depict an electric field finger scanner, when operated according to the prior art. Such a scanner measures the local electric field coming from the surface of a biometric object, such as skin that is in contact with a dielectric layer serving as an imaging platen. The scanner includes (a) an electric field excitation generator, (b) an array
 25 of electrodes, (c) a dielectric layer covering the electrode array, and (d) electric field sensors electrically connected to the electrode array.

[0011] Figures 1 through 9 show aspects of an electric field scanner. For the purpose of clarity, most of the discussion will reference Figure 9. In Figure 9, there is shown a

voltage source 7 that radiates via an antenna (or bus) 6 that is in contact with the finger 9. The electric field conducts through the finger resistance 10 and emerges through the friction skin surface that is in contact with the dielectric surface 4 of a fingerprint reader 1, where the dielectric surface 4 is disposed uniformly over an array of electrodes 3, each connected to an electric field detecting and measuring circuit in an array of such circuits 2 of a TFT. The individual circuits may be row and column addressed and read to provide information to a computer system that displays the area read and the electrical field variation that is associated with each pixel circuit. The circuit for an individual pixel on a TFT is shown in the schematic diagram that is Figure 11. As is known in the prior art, the electric field coming from the fingerprint ridges is stronger than that coming from the fingerprint valleys, and the measured values can be collectively displayed as an image that is a true representation of the fingerprint.

[0012] To operate the fingerprint scanner according to the prior art, the user places a finger 9 in contact with the dielectric platen surface 4, while also contacting the electric field generator's (i.e., transmitter's) antenna (or excitation bus) 6 that may take the form of a metal ring that is the perimeter of the fingerprint platen area. The finger 9 receives and radiates the electric field through the dielectric to the pixel electrode plates 3 that are attached to the electric field detecting circuits 2. The electric field varies in intensity in direct correlation with the finger's fingerprint valleys and ridges. After detecting and measuring this electric field variation at each electric field sensor 2, the sensor outputs are read out in row and column fashion to allow the reading electronic system to reconstruct a grayscale fingerprint image analogous to the variations in the electric field radiating from the finger's ridge and valley skin surface.

[0013] In a method according to the invention, the electric field scanner is operated without the electric field generator. This may be accomplished by turning off the generator, or grounding the output of the generator so that no electric field is provided to the finger. In this mode, the scanner may be operated as a capacitance fingerprint scanner. The signal emanating from each electric field sensor is primarily representative of two things:

(a) the capacitance between an electrode, the dielectric material covering the electrode and the skin that covers the dielectric material, and

(b) the capacitance between the electrode, the dielectric material covering adjacent electrodes, and the skin that covers the dielectric material covering those adjacent portions of the dielectric material.

Ideally, the signal emanating from each electric field sensor would not be influenced by the capacitance described in item “b”, and instead would be only that capacitance that is attributable to item “a”.

[0014] In order to compensate for that part of the capacitance corresponding to item “b”, the reading from a particular electric field sensor and the readings from adjacent electric field sensors are processed to obtain a value which is then attributed to the location of that particular electric field sensor. This process is repeated for each electric field sensor to provide and attribute a value to each electric field sensor location. These attributed values corresponding to the capacitances are used as the information representing the fingerprint.

[0015] A particular process that works well is to sum the reading of a particular electric field sensor with the readings from adjacent electric field sensors, and then divide by the number of sensors contributing to that sum. So, if a particular sensor has eight adjacent sensors, the divisor will be nine. However, if a particular sensor has five adjacent sensors, then the divisor will be six. Figure 13 depicts such a method in flow-chart form.

[0016] In use, a device according to the invention uses electric field sensors capacitively coupled to a finger that is not being excited by an electric field generator. As the finger contacts a dielectric platen covering the array of electric field detecting and measuring circuits on a TFT array, the field is conducted through the skin of the finger and coupled through the dielectric platen to the input electrode of the electric field detecting circuit. The individual pixel circuits, each sensor being part of a pixel, on the TFT are read out via row and column addressing, and the signals are interpreted and translated into an image

representation of the TFT array in order to allow for the creation of an image of the fingerprint associated with the finger that is in contact with the dielectric platen.

[0017] Having provided an overview of a method according to the invention, a device according to the invention will be described, and in doing so additional details about the inventive method will be provided. Figure 10 shows an electric field finger scanner without the electric field generator. The electric field device is operated without the electric field generating device 7 or antenna 6. In this case, the sensor operates as a capacitance fingerprint scanner device 8 and when the finger contacts the dielectric platen 4, the finger completes a resistance-capacitance circuit between adjacent pixel input plates using the resistance 10 of the finger 9. Since this shared charge capacitance receives contributions from multiple pixel input plates 3, the charge is distributed between sensors pixels 2 where the center pixel shares charge with each of its neighbors. This capacitive charge sharing is sufficient to maintain line sharpness and image quality across the sensor surface with the center-contacting-skin pixel receiving a share many times more (approximately 8 for most sensors) than that of any of its immediate neighbors, such as in Figure 12. Although the actual distributed circuit extends outward in all directions and there are contributions from all of the pixels, it is considered for explanation purposes, that only the 8 (for most sensors) immediate neighboring pixels, share a connection with any pixel-of-interest 13, since the contribution from pixels outside of this region are negligible.

[0018] Figure 14 depicts a system according to the invention. In Figure 14 there is shown the electric field device (with no electric field generator) and a computer. The computer is programmed to process the capacitance readings of the electric field sensors in the manner outlined above. Such a computer may be programmed to sum the capacitance reading of a particular electric field sensor with the readings from adjacent electric field sensors, and then divide by the number of sensors contributing to that sum. The resulting value is then attributed to that particular sensor location. This process may be carried out by the computer for all electric field sensors in order to provide a value corresponding to each sensor location. The values generated by the computer may then be used by the computer to

generate an image of the fingerprint, or the values may be used to make a comparison with information in a database in order to determine whether the fingerprint matches a previously analyzed fingerprint.

[0019] Although the present invention has been described with respect to one or more
5 particular embodiments, it will be understood that other embodiments of the present invention may be made without departing from the spirit and scope of the present invention. Hence, the present invention is deemed limited only by the appended claims and the reasonable interpretation thereof.

What is claimed is:

1. A biometric scanner, comprising:

an electric field device (a) having no electric field generator or an electric field generator that is prevented from providing an electric field to a biometric object, and (b) having an

5 electric field sensor array comprised of a plurality of electric field sensors;

a computer coupled to receive capacitance readings from the sensors of the electric field sensor array, and programmed to process the capacitance readings as follows:

(i) identify a particular one of the electric field sensors that is providing a capacitance reading;

10 sum the capacitance reading of the identified electric field sensor with capacitance readings from adjacent electric field sensors;

(ii) divide the sum by the number of sensors contributing to that sum to provide a value;

(iii) attribute the value to the identified sensor;

15 (iv) repeat steps (i) through (iii) until a value has been attributed to all sensors.

2. The biometric scanner of claim 1, wherein the computer is further programmed to create an image of the biometric object using the values.

20 3. The biometric scanner of claim 1, wherein the computer is further programmed to use the values in determining whether the biometric object matches information in a database.

4. A method of scanning a biometric object, comprising:

(i) provide an electric field device (a) having no electric field generator or an electric field
25 generator that is prevented from providing an electric field to a biometric object, and
(b) having an electric field sensor array comprised of a plurality of electric field sensors;

(ii) provide a biometric object in contact with the electric field device;

- (iii) identify a particular one of the electric field sensors that is providing a capacitance reading;
 - (iv) sum the capacitance reading of the identified electric field sensor with capacitance readings from adjacent electric field sensors;
 - 5 (v) divide the sum by the number of sensors contributing to that sum to provide a value;
 - (vi) attribute the value to the identified sensor;
 - (vii) repeat steps (iii) through (vi) until a value has been attributed to all sensors; and
 - (viii) provide the values as being representative of the biometric object.
- 10 5. The method of claim 4, further comprising using the provided values to generate a visual image of the biometric object.
6. The method of claim 4, further comprising using the provided values to determine whether the biometric object matches information in a database.

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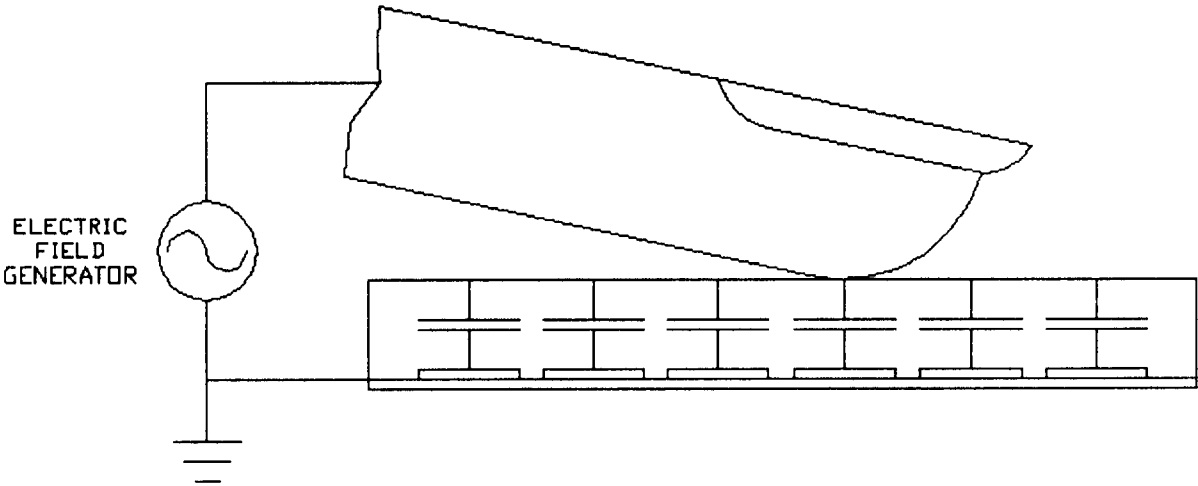


Figure 1

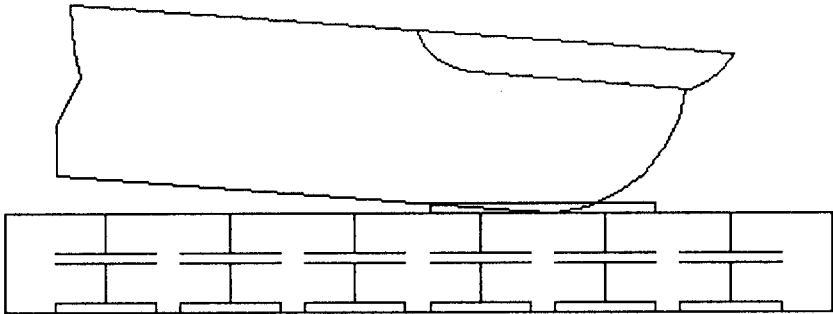


Figure 2

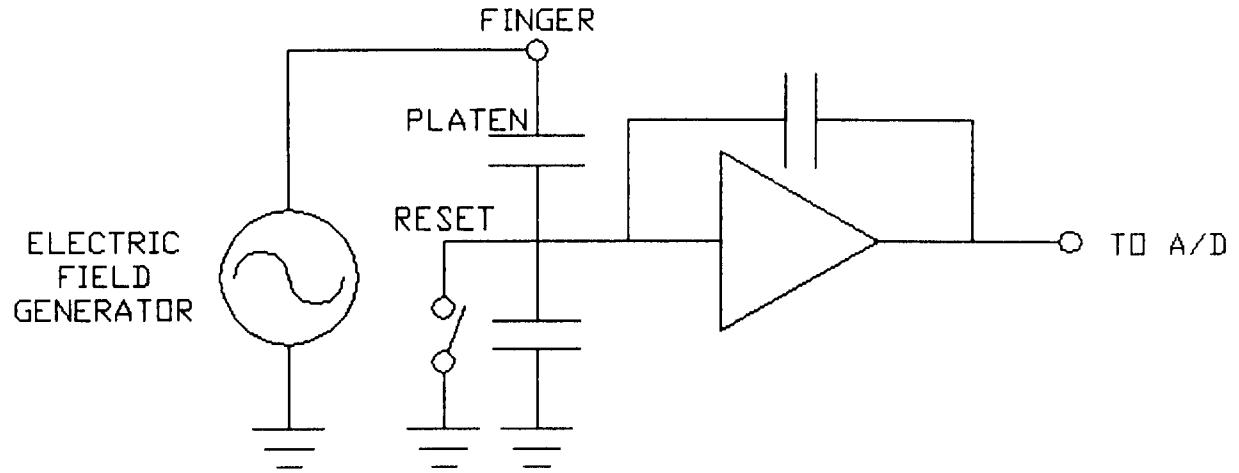


Figure 3

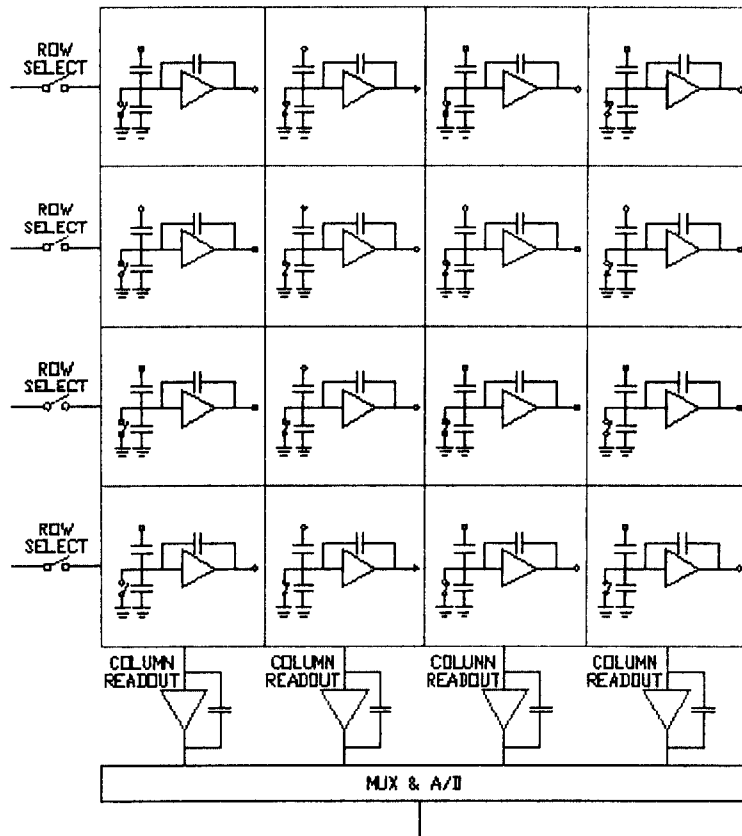


Figure 4

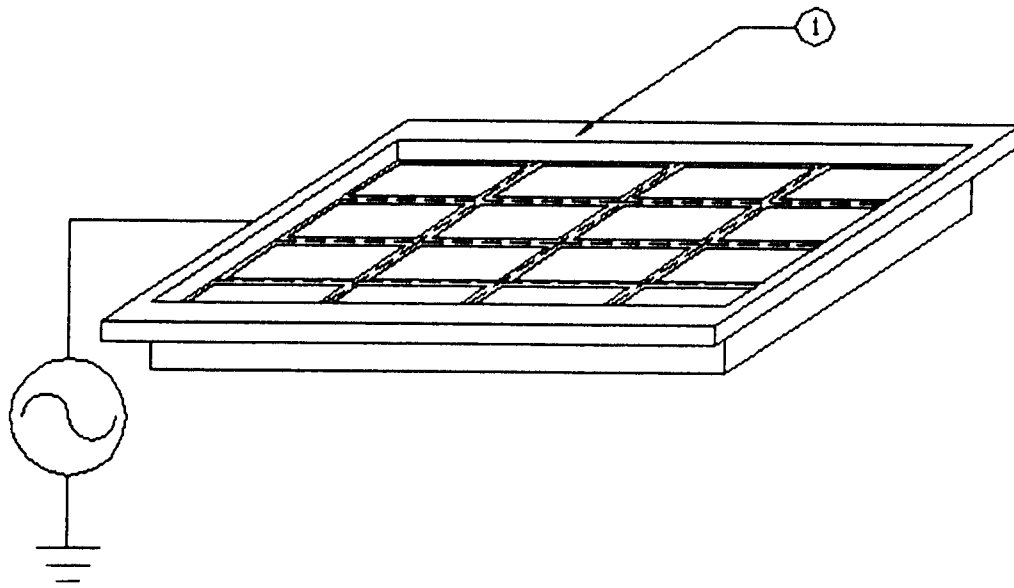


Figure 5

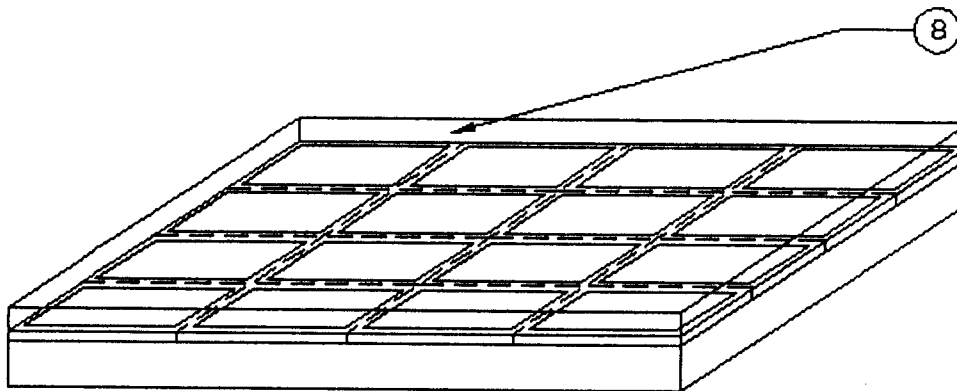


Figure 6

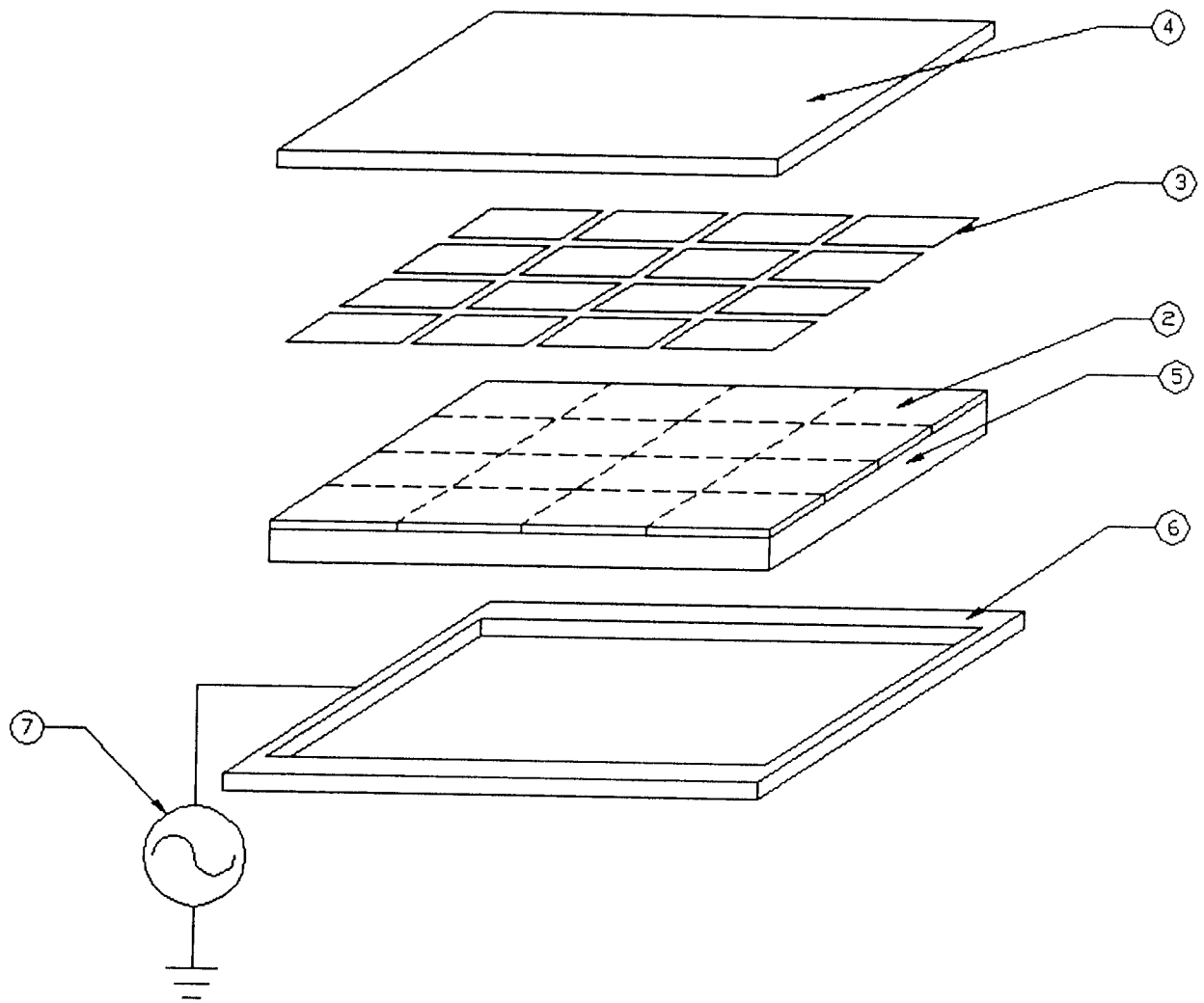


Figure 7

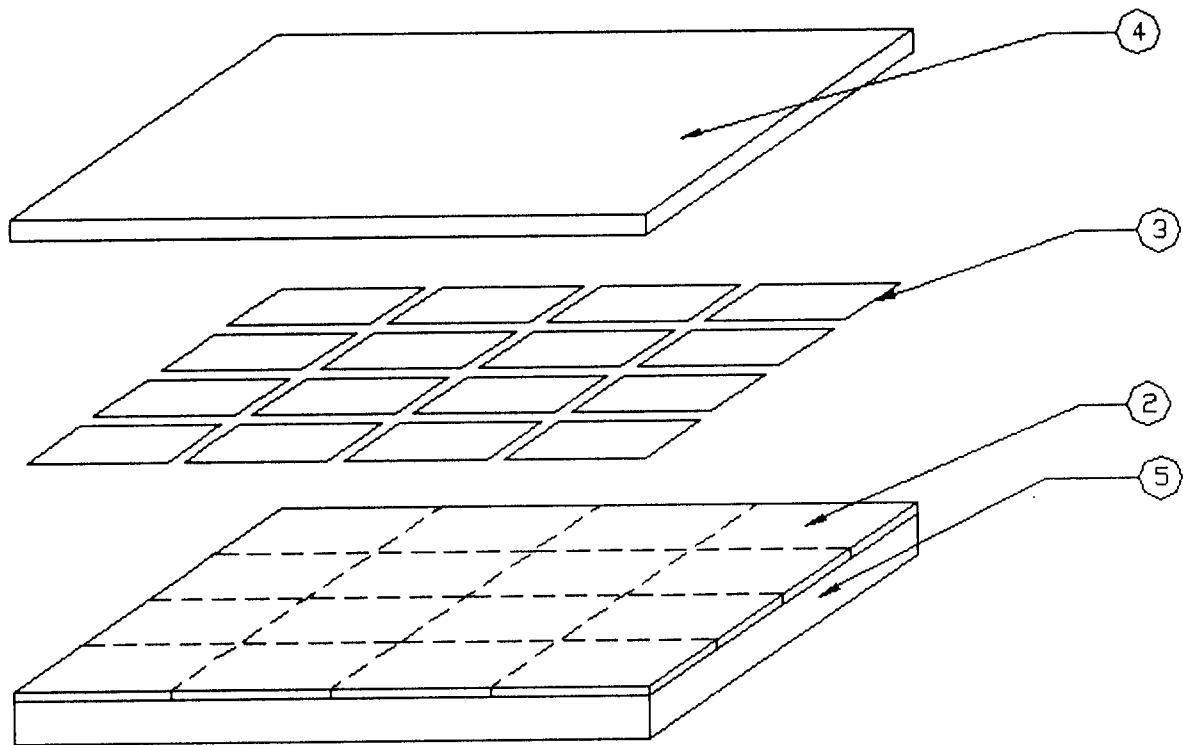


Figure 8

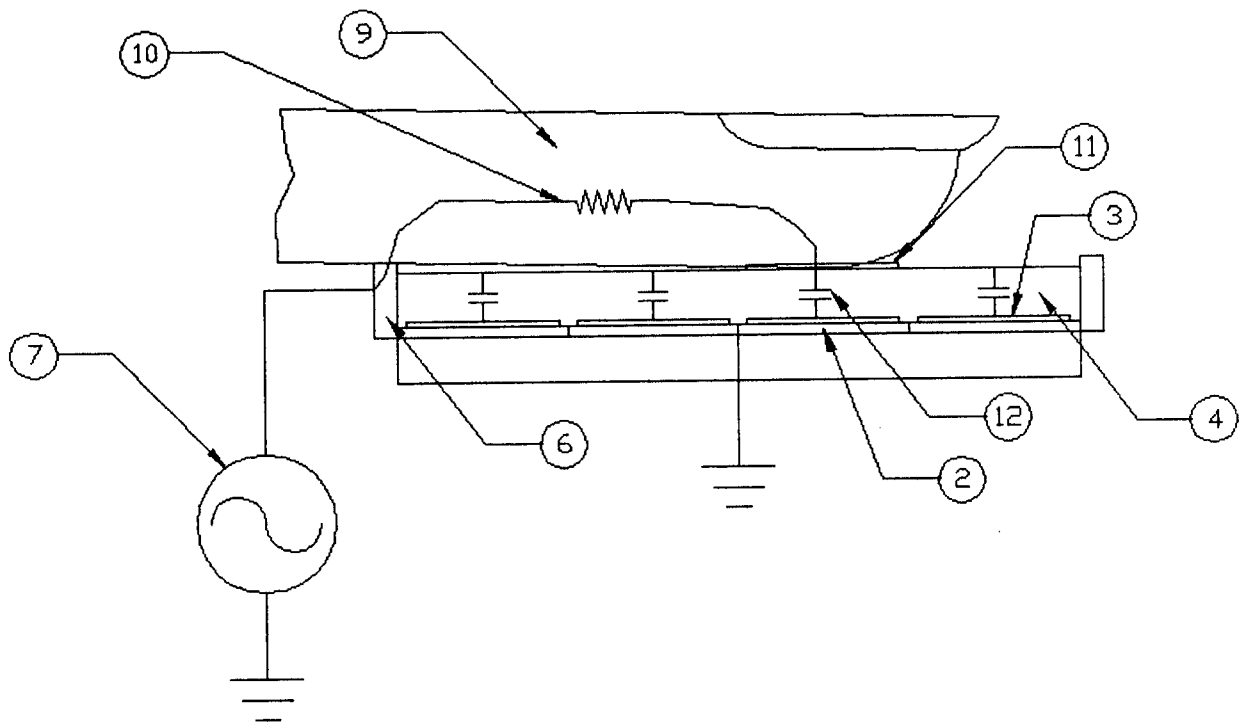


Figure 9

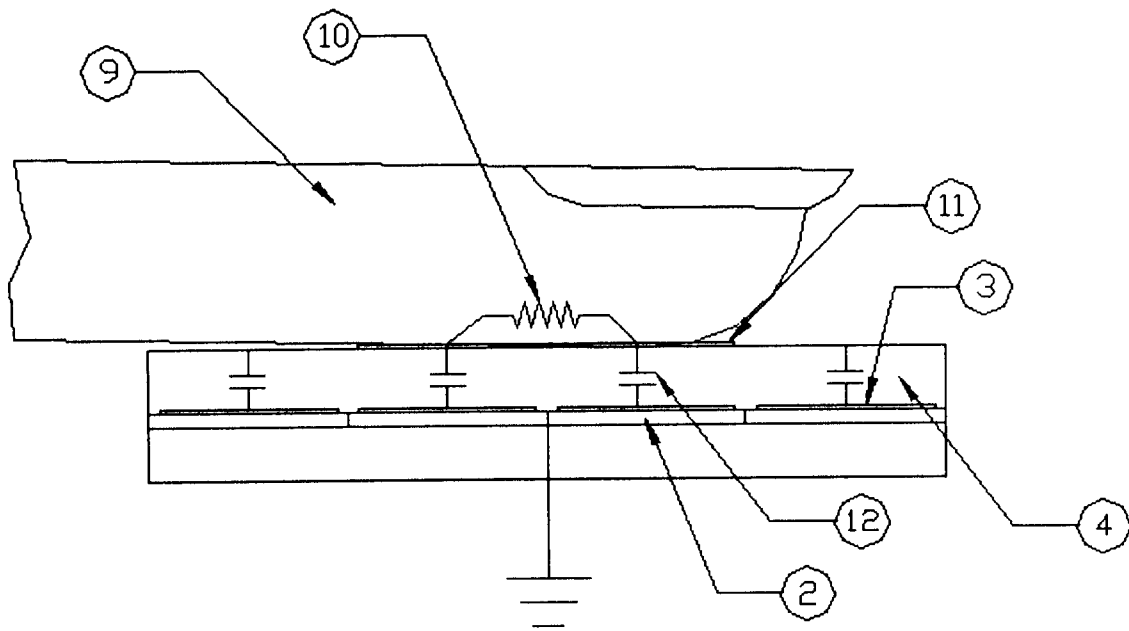


Figure 10

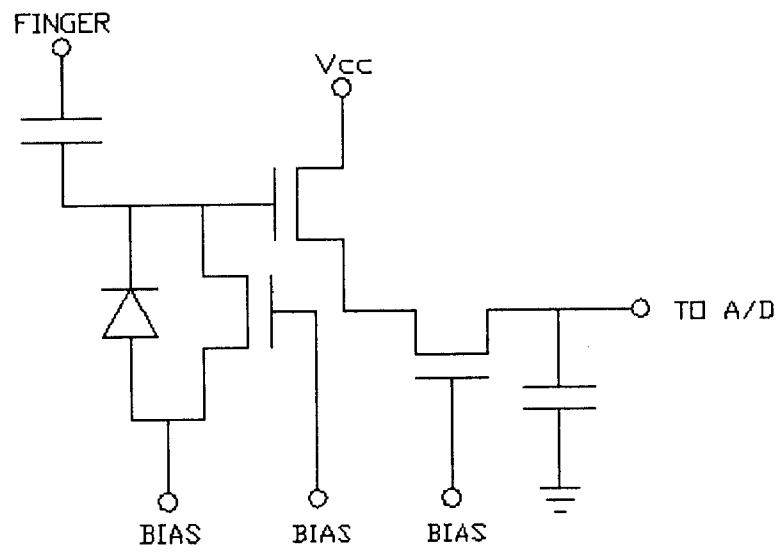


Figure 11

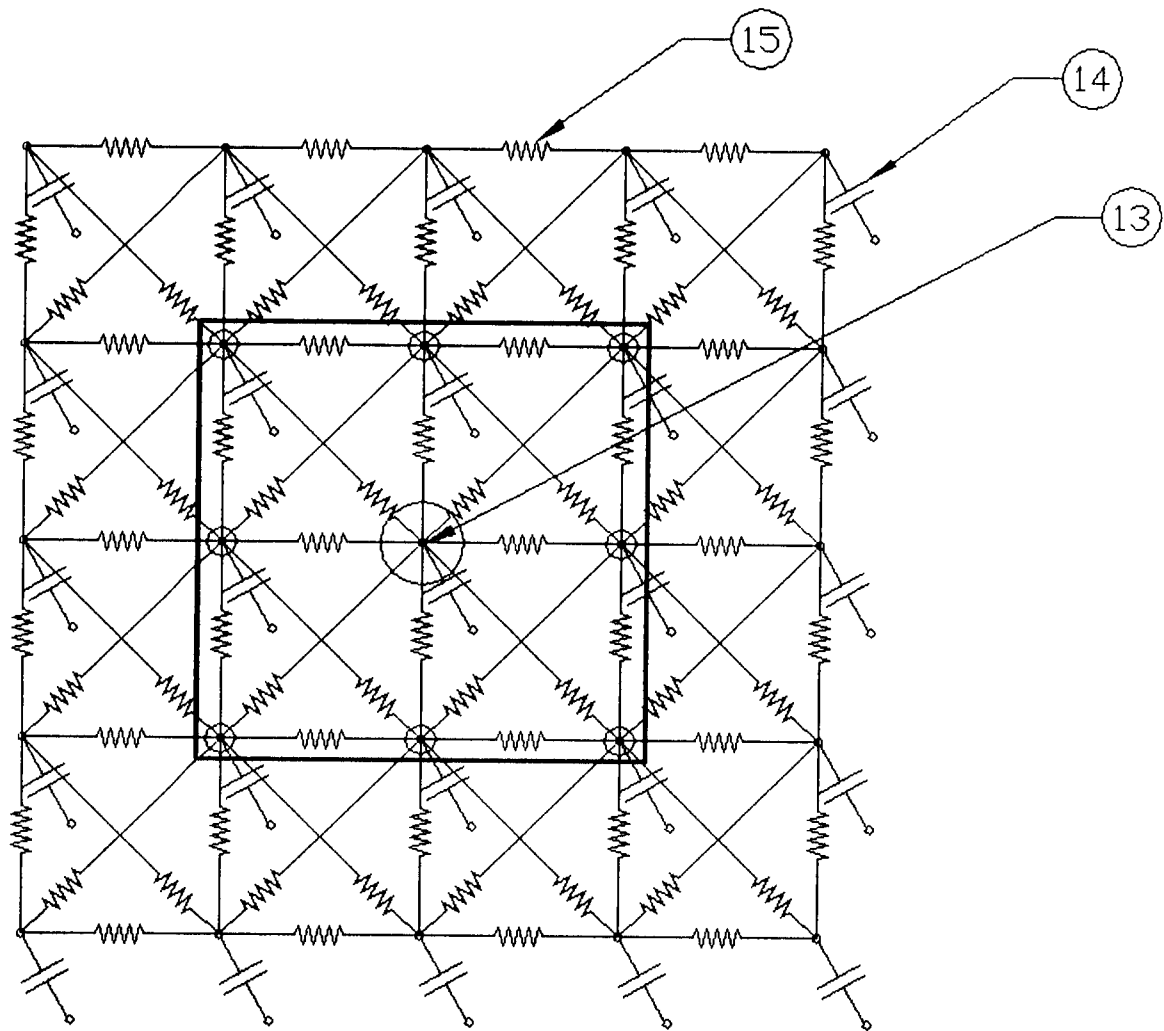
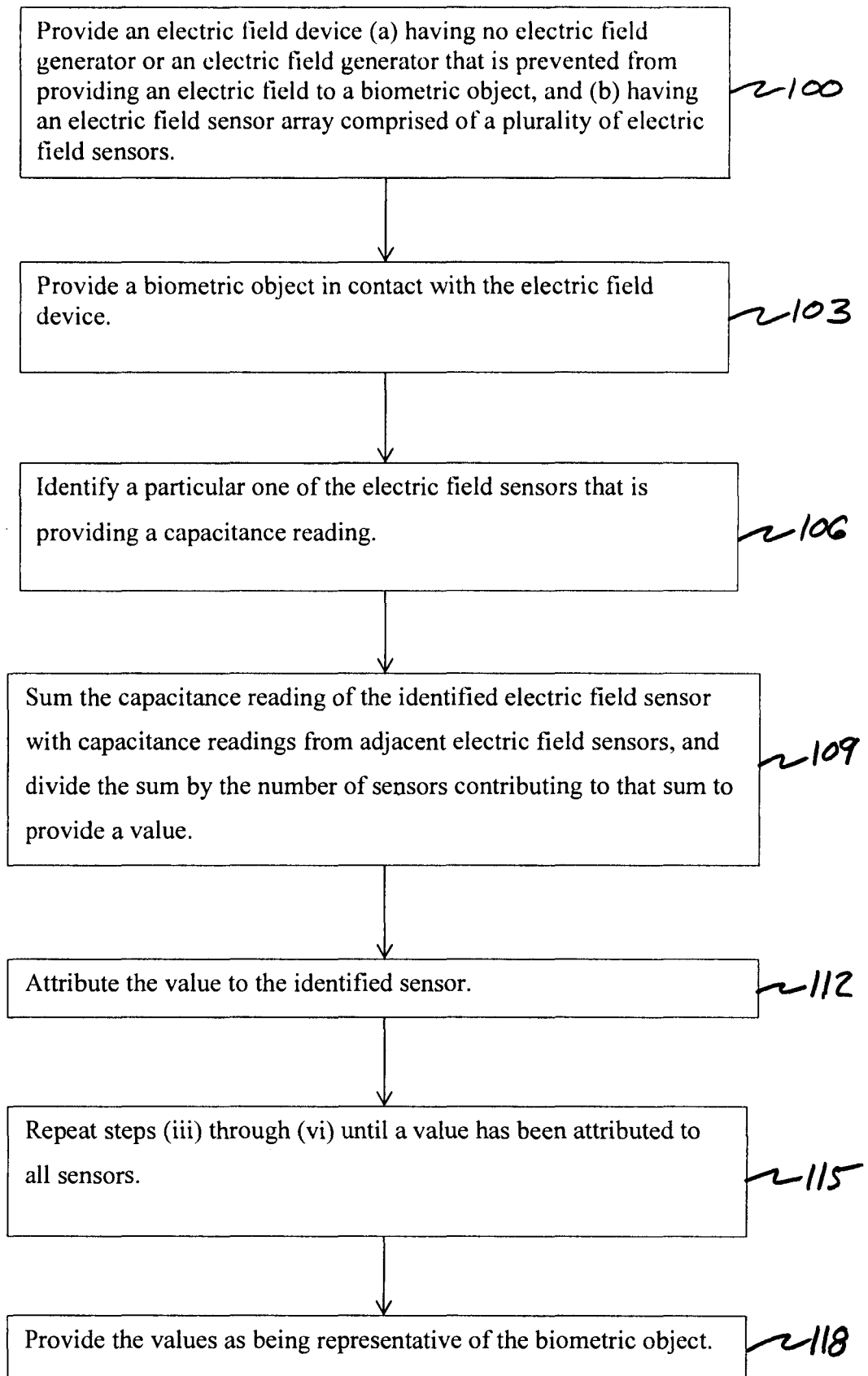


Figure 12

FIG. 13



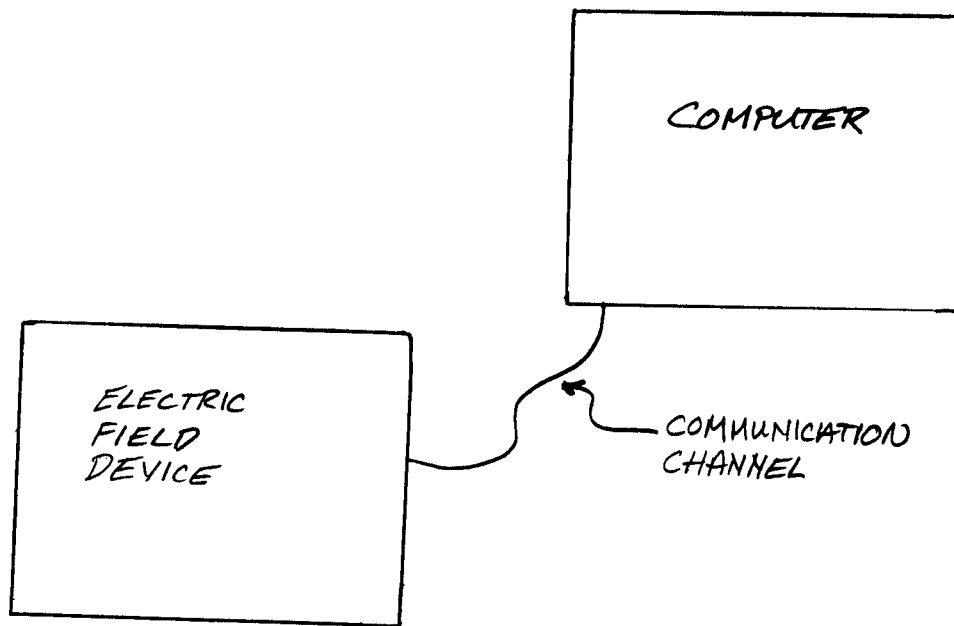


FIG. 14

A. CLASSIFICATION OF SUBJECT MATTER**G06K 9/62(2006.01)i, G06K 9/00(2006.01)i**

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

G06K 9/62; G07C 9/00; G01N 21/55; G06K 9/00; H01L 27/00; C12Q 1/70; G01J 1/58; C12M 3/00; G01L 3/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

eKOMPASS(KIPO internal) & Keywords: electric filed sensorm scan, fingerprint

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0791899 A2 (HARRIS CORPORATION) 27 August 1997 See abstract, columns 5-6, 8-9 and figures 13-21.	1-6
A	US 7391006 B2 (CHENG et al.) 24 June 2008 See abstract, claims 1-5 and figures 1-2, 4.	1-6
A	US 2007-0231790 A1 (SU) 04 October 2007 See abstract, paragraphs [0090]-[0106] and figures 1-3.	1-6
A	US 7773228 B1 (HOLLINGSWORTH et al.) 10 August 2010 See abstract, claims 1-11 and figure 1.	1-6
A	US 2004-0099065 A1 (DECONDE et al.) 27 May 2004 See abstract, paragraphs [0026]-[0037] and figures 1B-1E.	1-6



Further documents are listed in the continuation of Box C.



See patent family annex.

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"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

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"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

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Date of the actual completion of the international search

14 May 2013 (14.05.2013)

Date of mailing of the international search report

15 May 2013 (15.05.2013)

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KANG, Sung Chul

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INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2013/024973

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