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(54) Title: SYSTEM AND METHOD FOR UTILIZING SHAPE ANALYSIS TO ASSESS FETAL ABNORMALITY

(57) Abstract: A method and system for utilizing shape analysis to assess fetal abnormality. According to one embodiment, coordinates of points identifying a shape in a fetal image are received, coefficients of one or more mathematical functions that describe the identified shape are determined, and the determined coefficients are utilized as markers to assess fetal abnormality.

SYSTEM AND METHOD FOR UTILIZING SHAPE ANALYSIS TO ASSESS FETAL ABNORMALITY

5 Cross Reference To Related Applications

[0001] This application claims the benefit under 35 U.S.C. § 119(e) of U.S. Provisional Application No. 60/490,540, filed July 29, 2003 and U.S. Provisional Application No. 60/493,442, filed August 8, 2003, both of which are hereby incorporated by reference as if repeated herein in their entirety.

10 Background Of The Invention

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[0002] Prenatal screening methods are routinely employed to assess the likelihood of fetal abnormalities, commonly referred to as birth defects. For example, Down syndrome or Trisomy 21 is the most common cause of severe learning disability and accounts for approximately one half of all chromosomal anomalies in live born children.

[0003] Current methods to screen prenatally for trisomy 21 involve maternal serum testing for biochemical markers and/or ultrasound evaluation of biophysical markers. Maternal serum screening involves the quantitative analysis of biochemical markers and risk assessment based on likelihood ratios derived from the population distributions of affected and unaffected pregnancies. Ultrasound evaluation, however, has historically involved visual observation of a fetal image and deciding empirically whether the image looks "normal" or "abnormal" (for example, whether the cerebellum appears as a banana sign for open spina bifida). This approach requires extensive experience in the "art" of ultrasound and the interpretation is necessarily subjective.

[0004] Accordingly, there is a need in the art for a system and method that adequately evaluates the morphological changes observed with birth defects during prenatal screening.

Summary Of The Invention

30 [0005] Embodiments of the present invention provide for utilizing shape analysis to assess fetal abnormality. According to one embodiment, coordinates of points

identifying a shape in a fetal image are received, coefficients of one or more mathematical functions that describe the identified shape are determined, and the determined coefficients are utilized as markers to assess fetal abnormality.

Brief Description Of The Drawings

- 5 [0006] FIG. 1 is a flow chart that depicts a process for utilizing shape analysis to assess fetal abnormality in accordance with an embodiment of the present invention.
 - [0007] FIG. 2 is a flow chart that depicts a process for utilizing shape analysis to assess fetal abnormality in accordance with an embodiment of the present invention.
- [0008] FIG. 3 is a block diagram that depicts a user computing device in accordance with an embodiment of the present invention.
 - [0009] FIG. 4 is a block diagram that depicts a network architecture in accordance with an embodiment of the present invention.
 - [0010] FIG. 5 is a flow chart that depicts a process for utilizing shape analysis of a fetal head to determine risk of fetal abnormality in accordance with an embodiment of the present invention.
 - [0011] FIG. 6 is a screen shot that depicts outlining of a fetal head in accordance with an embodiment of the present invention.
 - [0012] FIG. 7 is a screen shot that depicts outlining of a fetal head in accordance with an embodiment of the present invention.
- 20 [0013] FIG. 8 is a flow chart that depicts a process for utilizing shape analysis of a fetal brow to determine risk of fetal abnormality in accordance with an embodiment of the present invention.
 - [0014] FIG. 9 is a screen shot that depicts outlining of a fetal brow in accordance with an embodiment of the present invention.
- 25 [0015] FIG. 10 is a screen shot that depicts outlining of a fetal brow in accordance with an embodiment of the present invention.

[0016] FIG. 11 is a screen shot that depicts outlining of a fetal brow in accordance with an embodiment of the present invention.

[0017] FIG. 12 is a screen shot that depicts outlining of a fetal brow in accordance with an embodiment of the present invention.

5 **Detailed Description**

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OVERVIEW

[0018] FIG. 1 depicts a process for utilizing shape analysis to assess fetal abnormality in accordance with an embodiment of the present invention. Upon receiving coordinates of points identifying a shape in a fetal image (step 100), the coordinates are used to determine coefficients of a mathematical function or functions that describe the identified shape (step 110). These coefficients are used as markers to assess fetal abnormality (step 120).

[0019] As shown in FIG. 2, once the coefficient markers are determined (step 200), they may be used by themselves or with other markers to assess fetal abnormality (step 210). A fetal abnormality may be assessed by comparing a patient's coefficient markers to reference data of coefficient markers by conducting a statistical analysis. The reference data may contain unaffected patients and/or affected patients. The statistical comparison could result in a risk of fetal abnormality, a likelihood ratio for a fetal abnormality or an index value that could be considered within range or outside of range for a fetal abnormality.

[0020] The use of multidimensional coordinates allows for the evaluation of a shape as a whole. In one embodiment, a statistical shape analysis involves the tracing of an outline around the part of a fetal image to be analyzed. The points that make up this curve are then analyzed to derive a function that best fits the individualized points around the outline. The coefficients of this function may be considered random variables and can be determined for each evaluated image. The coefficients may then be analyzed using multivariate statistics to determine if they are outliers compared to the normal population. A subject shape that has coefficients outside the normal ranges observed in control shapes would indicate that the subject shape was significantly different than expected.

[0021] Described below are several embodiments within which the present invention may be implemented.

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ARCHITECTURE

[0022] FIGS. 3 and 4 illustrate the components of a basic computer and network architecture in accordance with an embodiment of the present invention. FIG. 3 depicts user computing device 300, which may be an ultrasound machine (3-D, 4-D or color), MRI or CAT scan machine, fetoscopy machine, workstation, personal computer, handheld personal digital assistant ("PDA"), or any other type of microprocessor-based device. User computing device 300 may include a processor 310, input device 320, output device 330, storage device 340, client software 350, and communication device 360.

[0023] Input device 320 may include a keyboard, mouse, pen-operated touch screen or monitor, voice-recognition device, or any other device that accepts input. Output device 330 may include a monitor, printer, disk drive, speakers, or any other device that provides output.

[0024] Storage device 340 may include volatile and nonvolatile data storage, including one or more electrical, magnetic or optical memories such as a RAM, cache, hard drive, CD-ROM drive, tape drive or removable storage disk. Communication device 360 may include a modem, network interface card, or any other device capable of transmitting and receiving signals over a network. The components of user computing device 300 may be connected via an electrical bus or wirelessly.

[0025] Client software 350 may be stored in storage device 340 and executed by processor 310, and may include, for example, imaging and analysis software that embodies the functionality of the present invention.

[0026] FIG. 4 illustrates a network architecture in accordance with an embodiment of the present invention. The network architecture allows the imaging and analysis functionality of the present invention to be implemented on more than one user computing device 300. For example, in one embodiment user computing device 300 may be an ultrasound machine that performs all of the imaging and analysis

functionality of the present invention. In another embodiment, user computing device 300a may be an ultrasound machine that performs the imaging functionality of the present invention, and then transfers image or coordinate data over network 410 to server 420 or user computing device 300b or 300c for analysis of the data.

The analyzed data could further be transferred to another user computing device 300 belonging to the patient or another medical services provider for testing with others markers.

[0027] Network link 415 may include telephone lines, DSL, cable networks, T1 or T3 lines, wireless network connections, or any other arrangement that implements the transmission and reception of network signals. Network 410 may include any type of interconnected communication system, and may implement any communications protocol, which may secured by any security protocol.

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[0028] Server 420 includes a processor and memory for executing program instructions, as well as a network interface, and may include a collection of servers. In one particular embodiment, server 420 may include a combination of servers such as an application server and a database server. Database 440 may represent a relational or object database, and may be accessed via server 420.

[0029] User computing device 300 and server 420 may implement any operating system, such as Windows or UNIX. Client software 350 and server software 430 may be written in any programming language, such as ABAP, C, C++, Java or Visual Basic.

ANALYSIS OF FETAL HEAD SHAPE EMBODIMENT

[0030] FIG. 5 provides an example embodiment of the present invention in which the shape of a fetal head is analyzed to assess fetal abnormality. Fetal abnormalities identifiable through the use of the present invention may include, among others, Down syndrome, Spina Bifida, Trisomy 18, Trisomy 13, frontal bossing, unbalanced translocation, other chromosomal abnormalities, heart abnormalities and abnormalities of any major body organ, structural abnormalities and craniofacial abnormalities.

[0031] According to this embodiment, a transverse view of the fetal head is obtained by ultrasound (e.g., UCD 300a), saved as a bit-map image and transferred to another computer (e.g., UCD 300b). Then, in step 500, a user (e.g., user 400b) employs digitizing software (e.g., client software 350), such as TPSDIG, DigitX, CalExcel, DSDigit, Digical, Windig or MacMorph, to create an outline of the fetal head to be analyzed. (Digitizing software provides coordinate data when a user clicks on a

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two landmarks on the bit-map image along the OFD (ocipito-frontal diameter) axis so that the image may be aligned against a consistent axis and to allow for a uniform assessment of points on each image.

particular point in a bit-map image.) In creating the outline, the user may identify

of 20 lines, each the length of the OFD axis, may be generated utilizing software employing general algorithms. The first line overlays the OFD axis; the remaining lines are centered at the mid-point of the first line and then are rotated at 9 degrees from the previous line in a counterclockwise manner. Once this "fan" is drawn, the user may place points, via the digitizing software, on the outline of the fetal head where it crosses the equidistant lines of the fan. FIG. 7 shows the resulting 40 points representing the outline. Each small circle connected in the loop represents a point on the image clicked by the user to identify the outline, and the two cross-hair symbols next to the number "2"s represent the OFD positioning landmarks. The use

[0033] In step 510, the user-identified outline points are converted into data coordinates by the digitizing software. TABLE 1 represents the landmarks and outline points of FIG. 7 as stored in an output file. The output file lists the coordinate data in a tabular format, with the x-coordinate listed first followed by the y-coordinate. As indicated in TABLE 1, the two landmark coordinates are listed first, followed by the 40 point coordinates.

of the "fan" allows for more consistency in creating the outlines on different images.

TABLE 1

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LM=2
     401.00000 304.00000
5
     180.00000 347.00000
     CURVES=1
     POINTS=40
     401.00000 302.00000
     399.00000 322.00000
10
     393.00000 337.00000
     386.00000 353.00000
     375.00000 365.00000
     365.00000 376.00000
     356.00000 386.00000
15
     345.00000 395.00000
     334.00000 400.00000
     320.00000 402.00000
     307.00000 402.00000
     294.00000 401.00000
20
     283.00000 400.00000
     271.00000 397.00000
     260.00000 396.00000
     247.00000 391.00000
     236.00000 387.00000
25
     220.00000 381.00000
     209.00000 373.00000
     194.00000 364.00000
     182.00000 349.00000
     178.00000 330.00000
30
     183.00000 313.00000
     189.00000 297.00000
     198.00000 283.00000
     207.00000 270.00000
     221.00000 261.00000
35
     236.00000 254.00000
     248.00000 248.00000
     260.00000 243.00000
     272.00000 240.00000
     287.00000 236.00000
40
     301.00000 236.00000
     315.00000 235.00000
     331.00000 238.00000
     346.00000 244.00000
     359.00000 252.00000
     371.00000 264.00000
45
     382.00000 275.00000
     391.00000 288.00000
     IMAGE=Image1.bmp
     ID=1
50
     SCALE=0.140234
```

[0034] In order to ensure the coordinate data is aligned properly with the two specified landmarks, the user may employ a software program that utilizes general algorithms to adjust the coordinate data by rotating and translating each data point so that the OFD axis lies along the horizontal axis and that the first point lies at the origin, (x,y) = (0,0). These adjustments do not change the shape of the outline being investigated. The software program may employ the following general algorithm:

• Point $1 = \text{Origin: Denote as } X_1, Y_1$

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- Point 2 = Other end of OFD axis: Denote as X_2, Y_2
- Determine Slope of Line: (Y₂- Y₁)/(X₂- X₁)
- Determine the angle of the slope: Theta = arctangent(Slope)
- For each point in the shape rotate the point clockwise:
 - Subtract out the origin such that NewX = $X X_1$, NewY = $Y Y_1$
 - FinalX = NewX*cos(Theta) + NewY*sin(Theta)
 - FinalY = newY*cos(theta) NewX*sin(theta)

15 [0035] The set of FinalX, FinalY values represent the rotated data points such that the OFD axis lies horizontally. In different scenarios, it may be appropriate to rotate the image in a counter clockwise manner; if this is the case then the formulas for FinalX and FinalY are:

- FinalX = NewX*cos(Theta) NewY*sin(Theta)
- FinalY = NewX*sin(Theta) + NewY*cos(Theta)

[0036] In step 520, the user may employ software such as the NTSYSPC program (Exeter Software), which uses an elliptical Fourier analysis program to generate a series of best fit curves to the coordinate data using harmonics. The zero harmonic consists of only 2 coefficients and represents only translation (i.e. movement in the x and y direction) and not shape itself so these 2 coefficients are usually not analyzed. Each harmonic comprises four coefficients of interpolation functions that describe the user-identified outline shape. In general, the more harmonics used, the better the fit to the coordinates; however, if too many harmonics are used the fit may be too good since small differences might appear due to poor placement of the outline point during step 500. In this embodiment, the user evaluates the first harmonic (i.e., the four coefficients a1, b1, c1 and d1).

[0037] The user may choose alternatives to the method described above for aligning the coordinate data. For example, the user could rotate the image so that the long axis of the shape described by the first harmonic is parallel to the x-axis, or the user can align the image such that the first point of the outline is set equal to the end point of the first harmonic to the x-axis, or the user could combine both of these methods. These options are available as part of the Elliptical Fourier Analysis module in the NTSYSPC program.

[0038] In step 530, the determined coefficients may be utilized as markers to assess fetal abnormality by conducting a statistical analysis to compare the patient's determined coefficients with reference parameters derived from reference data of coefficient markers (e.g., a statistical distribution of determined coefficients in the unaffected population and/or affected population). One exemplary method of doing this is to calculate the Mahalanobis Squared Distance (MSD) value for the patient's coefficients.

15 [0039] Prior to determining the outline coefficients, the coordinates may be adjusted by scaling the coordinates so that the area enclosed inside the outline equals 1. The original areas of the enclosed outline could be included as a separate variable in addition to the coefficients as part of the statistical comparison analysis. Also, many shapes change with growth in the fetus. As a result, it may be necessary to adjust the observed coefficients to account for gestational age of the fetus as part of the statistical analysis.

[0040] Several other shape analysis methods besides elliptical Fourier analysis may be utilized by the present invention. All of the following methods determine coefficients of functions that may be used as markers to assess fetal abnormality.

- 25 Some examples of outline methods are:
 - A. polynomials
 - B. cubic splines
 - C. parametric polynomials
 - D. parametric cubic splines
- 30 E. bezier curves

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- F. Fourier analysis of equally spaced radii
- G. dual axis Fourier analysis

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imple open outlines, simple closed outlines, complex open outlines, complex closed outlines. A simple open outline is an open outline that has only one value of y for each x. A complex open outline is an outline that can have more than one y value for each x. Simple closed outlines are closed outlines such that if one draws a line from the center through the outline it only crosses the outline once whereas in a complex outline the line would cross the outline more than once. In most cases an open outline can be analyzed as if it is a closed outline by assuming there is a straight line from the last to first point of the outline or by mirroring all of the coordinates around the x (or y) axis, however the outline can be analyzed as an open outline. Some of the methods above, such as the polynomial method, work with open outlines while others like the elliptical Fourier analysis work with closed outlines. It is also possible to analyze 3-dimensional outlines (x,y,z) in accordance with an alternative embodiment of the present invention.

[0042] To provide the reference data to which the determined coefficients are compared in step 530, a statistical algorithm may be utilized to determine the statistical distribution of the coefficients in the unaffected population using a set of coefficients for a series of unaffected pregnancies. The distribution may be defined by a series of reference parameters for each coefficient or pair of coefficients such as means, standard deviations and correlations. The coefficients associated with an outline in a particular patient could be compared to these reference parameters and the chance that the coefficients could be equal to or more extreme than their observed values could be determined.

[0043] If affected cases are available then they may be included in the reference data set to determine the statistical distribution of the coefficients in the affected population using a set of coefficients from a series of affected pregnancies. The patient's coefficients could then be compared to the distribution of coefficients in the affected population. Alternatively, the patient's coefficients could be compared to both the unaffected distribution and the affected distribution as defined by the reference parameters. For example, if both the unaffected cases and affected cases are multivariately normally distributed, the distribution function for the multivariate

normal distribution can be used with the reference parameters for the unaffected distribution to determine a relative frequency for the unaffected distribution and then again for the affected distribution to determine a relative frequency for the affected distribution, and then a likelihood ratio may be determined. A likelihood ratio equals the quotient of the relative frequency in the affected distribution to the relative frequency in the unaffected distribution. A risk result (e.g., 1 in 100) gives the chance that a patient with the same parameters could have a child with a fetal abnormality. A likelihood ratio gives the relative risk that the patient could have a child with a fetal abnormality.

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[0044] The likelihood ratio can be used to multiply a prior risk to determine a posterior risk (after accounting for the minor adjustment between odds and risk, if necessary). For example, the prior risk of Down syndrome is often based on maternal age. If the statistical distribution of the determined coefficients are independent of the distribution of other markers, the likelihood ratio could also be used to adjust the risk of Down syndrome determined by the other markers to determine the overall risk of Down syndrome based on the outline and the other markers. Alternatively, if the coefficients are not independent of the other markers, then risk of Down syndrome could be determined by utilizing reference parameters for a combination of the coefficients and the other markers together using multivariate normal distributions or other distribution functions.

[0045] Examples of other markers include nuchal translucency, free Beta hCG and PAPP-A, Ductus Venosus, absent or hypoplastic nasal bone observed on ultrasound, maternal blood alpha-fetoprotein, maternal blood hCG, maternal blood unconjugated estriol, maternal blood dimeric inhibin A, maternal urine total estriol, maternal urine beta core fragment, maternal urine hyperglycosylated hCG, maternal blood hyperglycosylated hCG, ultrasound "soft markers" which include for example, nuchal edema or increased nuchal fold, short femur, hyperechogenic bowel, and echogenic foci in the heart, etc.

[0046] As mentioned above, the other markers can be combined statistically with the results from the shape analysis to provide a final result to the patient.

Alternatively, the medical tests for the other markers could be performed prior to the

ultrasound exam and then, when the ultrasound exam is completed, the results of the other marker tests can be thereafter combined with the results from the shape analysis.

[0047] For providing reference data according to this embodiment of the present invention, columns 2-5 of TABLE 2A show the results of evaluating the first harmonic (i.e., the four coefficients a1, b1, c1 and d1) of fetal head outlines in a study of 35 unaffected pregnancies in the first trimester. TABLE 2B lists the reference parameters consisting of the mean and standard deviation of each coefficient and the variance/covariance matrix consisting of the variance (standard deviation squared) and covariance between each pair of coefficients and the formula for calculating a Mahalanobis-Squared Distance (MSD) for each case.

TABLE 2A

<u>ID</u>	<u>a1</u>	<u>b1</u>	<u>c1</u>	<u>d1</u>
1	.618923	021438	.017238	.512385
2	.618007	00636	.004269	.513899
3	.611512	009105	.013914	.519218
4	.620708	.001494	.005816	.511672
5	.620591	001878	.002653	.511512
6	.610873	005378	.009693	.520104
7	.626065	.002194	.00969	.506797
8	.610239	022687	.015904	.520122
9	.619487	009327	.000085	.512371
10	.61309	.002649	.013512	.518273
11	.610239	.009847	00302	.520935
12	.633193	0167	.021139	.500202
13	.617141	031007	.009402	.513915
14	.613927	003108	.020587	.517351
15	.624268	.010912	.002663	.508347
16	.620115	027663	.02827	.510862
17	.613857	034757	.023583	.516377
18	.636496	010523	.021077	.497571
19	.607668	026101	.003049	.522709
20	.614509	.004114	.020143	.517041
21	.627318	034898	.014111	.50485
22	.605777	018182	.013938	.524513
23	.606093	.009761	.007094	.524719
24	.633498	005676	.029437	.500312
25	.634393	00556	.013881	.499904
26	.606259	.006939	016111	.52415
27	.63582	031021	.035875	.497006
28	.607238	040385	.006671	.522681
29	.612626	023636	006856	.518649
30	.6171	.026204	001128	.514461
31	.640095	022288	.018631	.494486
32	.625569	012373	.038643	.506998
33	.611093	02788	.032891	.518468
34	.629932	03224	.033393	.501638
35	.608294	.041921	008744	.522055

TABLE 2B

	Variable		Mean	Std.	Dev.
5	a1 b1 c1 d1	i	6189147 .0104039 0128969 5127587	.009 .018 .013	3979 0582

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Variance/Covariance Matrix (M)

	a1	b1	c1	d1
a1	.000099	000035	.000064	000088
b1	000035	.000338	000125	.000036
c1	.000064	000125	.00071	00006
d1	000088	.000036	00006	.000078

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$$MSD = (X-\mu)^T M^{-1} (X-\mu)$$

where:

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 $(X-\mu)$ is a 4 element vector consisting of the patient's 4 coefficients (a1,b1,c1,d1 minus their respective reference means).

25 $(X-\mu)^T$ is the transpose of the $(X-\mu)$ vector

 ${\rm M}^{-1}$ is the Inverse of the 4x4 Variance/Covariance Matrix

[0048] TABLE 2C shows the MSD calculation in the 35 unaffected cases. A cutoff beyond 95% of the observed data was established representing a point halfway
between the last 2 MSD values (11.714). TABLE 2D shows the results of 2 patients
who happened to be carrying a fetus with Down syndrome, both of which based on
their MSD calculation are outliers. As more data from Down syndrome pregnancies
are gathered, additional reference parameters (e.g., means, standard deviations, and
covariances) based on the Down syndrome cases could be calculated along with
other statistical techniques such as likelihood ratios to determine the odds that a
patient is carrying a fetus with Down syndrome.

TABLE 2C

<u>ID</u>	MSD
1	.5453869
2	.72770054
3	.86797516
4	.99110505
5	1.0513294
6	1.1757276
7	1.3182192
8	1.639374
9	1.7719449
10	1.9632445
11	2.0438778
12	2.0904377
13	2.4440608
14	2.5725717
15	2.6427427
16	2.8276119
17	3.255354
18	3.5018765
19	3.6411678
20	3.7887796
21	3.9182202
22	4.0570078
23	4.5657224
24	4.6067905
25	4.9834947
26	5.5061446
27	5.5437792
28	5.877155
29	6.0931487
30	6.2047977
31	6.3209851
32	6.5548075
33	7.4795723
34	9.0892238
35	14.338667

TABLE 2D

Outcome	<u>a1</u>	<u>b1</u>	<u>c1</u>	<u>d1</u>	<u>MSD</u>
36	.570043	015975	.01023	.558191	94.220565
37	.58333	.076813	056937	.538497	403.86348

[0049] In this embodiment of the present invention, only the data associated with TABLE 2B would have to be stored in the computing device for the statistical comparison analysis of a particular patient to be conducted. This would preserve storage resources in the event of reference data based on very large populations.

ANALYSIS OF FETAL BROW EMBODIMENT

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[0050] FIG. 8 provides an example embodiment of the present invention in which the shape of a fetal brow is analyzed using 3-D ultrasound to assess fetal abnormality. In traditional 2-D ultrasound the challenge to the sonographer is obtaining an image that is in the proper plane of view. The ability to consistently obtain the same angle and depth of view of the fetus requires subjective decision making during the ultrasound exam. Thus it is difficult to obtain consistent views of each fetus from exam to exam. This increases error when trying to analyze shapes from these images.

[0051] 3D ultrasound allows for the simultaneous visualization of the fetus in 3 separate 2-D planes, as shown in FIG. 9. These three planes are called the sagittal (side-view), coronal (front-view) and transverse (top-view). In FIG. 9, the top left image represents the transverse plane, the top right represents the coronal plane, and the bottom right represents the sagittal plane.

[0052] Misalignment of an image in any given plane distorts the images in the other two planes. Therefore, in order to perform proper 2-D image analysis of any given plane, the image should first be aligned properly in all three planes. For example, to analyze an image in the sagittal plane, a user can assure a proper view by aligning the fetus in the coronal and transverse views. In addition, by choosing a landmark in each plane (e.g. the white dot placed at the bridge of the nose in the FIG. 9) the depth of the section can also be defined. Using landmarks and aligning the fetus in all three planes can insure that the view of each fetus in any given plane is the same and thus reduce variation in shape due to differences in ultrasound technique. Ultimately this will improve the ability to see changes due to biological effects. 3D ultrasound further allows for image manipulation after the completion of the examination. Therefore, after capturing a 3-D image the operator can later rotate that image to the appropriate view.

[0053] The use of 3D sonography is a recent advance in prenatal ultrasound. The technique generates a multiplanar display of separate images in the coronal, sagittal and transverse planes obtained by the ascertainment of a single "volume". Once the volume is obtained the images in each plane may be rotated to provide consistent and reproducible planes as part of a "post processing" evaluation. This advance was previously unobtainable using conventional 2D sonographic techniques. Once a given desired image and plane is obtained, it may be superimposed on a digitized screen where geometric morphometric analysis may be performed.

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[0054] According to this embodiment, a fetal brow is analyzed in the sagittal plane - from the bridge of the nose to the midportion of the top of the skull. The fetal image of FIG. 9 is obtained by 3-D ultrasound (e.g., UCD 300a), saved as a bit-map image and transferred to another computer (e.g., UCD 300b). The sonographer (e.g., user 400a) places the landmark at the bridge of the nose in each plane.

[0055] In step 800, a user (e.g., user 400b) employs digitizing software to create an outline of the fetal brow to be analyzed. As illustrated in FIG. 10, the user first places two landmark points on the fetal head in the sagittal plane - one at the bridge of the nose and the other at the midportion of the top of the skull. Once these landmarks are correctly in place, the user employs a software program that utilizes a general algorithm to find a point that has the same horizontal component as the landmark on the bridge of the nose, and the same vertical component as the landmark at the top of the head. Once this point is found, a series of 16 lines of equal length are drawn, as shown in FIG. 11.

[0056] The first line in FIG. 11 starts from the center point and goes through the landmark at the bridge of the nose. The remaining lines start at the center point and then are rotated at 6 degrees from the previous line in a counterclockwise manner until a line is drawn through the point at the top of the head which is at a 90 degree angle from the initial line. Once this "fan" is drawn, the user may place points on the outline of the skull where it crossed the equidistant lines of the "fan" using the digitizing software. This method ensures the user to get curves with less human error and more reproducibility. FIG. 12 shows the 16 resulting points placed in the sagittal plane.

[0057] In step 810, the user-identified outline points are converted into data coordinates by the digitizing software. The user may choose to use only the first eight points in subsequent analysis since these points more determinatively represent the fetal brow.

5 [0058] In step 820, an elliptical Fourier analysis is employed using three harmonics (i.e., three sets of coefficients). The determined coefficients are utilized as markers to assess fetal abnormality by conducting a statistical analysis, such as a principal component (PC) analysis.

[0059] In step 830, PC scores are determined based on a PC analysis of the determined Fourier coefficients, and in step 840, the MSD values of the PC scores are calculated. As discussed above, this shape analysis may also be combined with other markers to more completely assess fetal abnormality.

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[0060] The PC analysis utilized by this embodiment is a statistical technique used with multivariate data to reduce the number of variables used in further statistical analysis. (It can also be used as an exploratory analysis to see which of the variables are most important). The PC analysis is a standard statistical technique that generates a set of linear combinations of the underlying variables. These linear combinations represent new variables that can be used in other statistical analyses. The first linear combination is the most important variable and so on. Higher numbered principal components can be dropped from further analyses since they tend to represent noise. Once the principle components are determined (e.g., $0.3X_1 + 0.2X_2 + 0.4X_3...$), the PC scores can then be calculated which can be used as the variables in an MSD calculation.

[0061] For providing reference data according to this embodiment of the present invention, TABLE 4 shows the results of evaluating the first three harmonics (i.e., three sets of the four coefficients A, B, C and D) of fetal brow outlines in a study of 32 normal pregnancies.

0166 0166 0116 01167 01167 001335 00124 0124 0167 0167 0167 0167 0167 0167 0178 0178 0178 0178 0178 0178 0178 0178 0178 0178 0178 0178)
00464 000216 0103 00577 00577 00354 00267 00452 00452 00122 00122 00122 00122 00154	•
0141 0141 0143 01033 01033 0104 0104 0113 0128 00685 00685 00685 00685 00685 0085 0128 0183 0183 0183 0183 0183 0183 0183	
a3 - 163 - 164 - 164 - 164 - 168 - 168 - 168 - 168 - 168 - 168 - 168 - 177 - 183 - 183 - 183 - 183 - 183 - 183 - 184 - 184	
42 00803 000297 00122 00126 0112 01166 0124 0124 0124 0124 0124 0128 0128 0128 0128 0128 0128 0128 0128 0128 0288 0388 03	•
	· 104
b2 .00658 .008657 .008612 .008613 .009613 .009657 .0090953 .00838 .00838 .00838 .00838 .008966 .00897 .008969 .008969 .00897 .00898 .00898 .00896 .00897 .008988 .008988 .008988 .008988 .00898 .008988 .008988 .008988 .008988 .008988 .00898	1777.
000102 000096 000096 0000643 0006543 0006543 0006543 000543 000123 000123 000123 000123 000123 000123 000137 00137 00114 000573) 0 1 1 1
d1 201 202 203 203 203 203 203 203 203	T/7.
00609 000763 000763 00291 0122 0123 0124 00597 00597 00627 00627 0168 0168 0168 0168 0168 0168 0168 0168 0168 0168	, 0000
10.0995	0.00.1
p. 25 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	01.1
ddnl im	o
Outcome addn1_img 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	>
image 1-4x2 865-16x2 1-7x 1-27x2 1-5x2 1-5x2 1-2x 2-20x2 865-1x2 865-1x2 1-12x1 1-29x2 1-6x2 865-16x2 865-16x2 865-16x2 865-16x2 865-16x2 865-16x2 865-16x2 865-18x2 865-18x2 865-18x2 865-18x2 1-6x2 865-18x2 865-18x2 865-18x2 1-13x1 865-3xx2 865-18x2 1-30x2 1-13x1 865-18x2 1-13x1 865-18x2 1-13x1 1-13x1 1-13x1 1-13x1 1-13x1 1-13x1 1-13x1 1-13x1 1-13x2	7-TOX5

[0062] TABLE 5 shows the resulting PC analysis of the Fourier coefficients.

TABLE 5

PRINCIPAL COMPONENT ANALYSIS

5 . pca a1-d3 if outcome==0 & addnl_img ==0, mineigen(1.0) (obs=32)

С	omponent		igenvalue	Difference	components reta Proportion	
-	1		5.96194	1.82595	0.4968	0.4968
	2		4.13599	3.09719	0.3447	0.8415
	3		1.03880	0.57715	0.0866	0.9281
	4		0.46165	0.21530	0.0385	0.9665
	5		0.24635	0.14543	0.0205	0.9871
	6		0.10092	0.06229	0.0084	0.9955
	7		0.03863	0.02910	0.0032	0.9987
	8		0.00953	0.00396	0.0008	0.9995
	9		0.00558	0.00520	0.0005	0.9999
	10		0.00038	0.00022	0.0000	1.0000
	11		0.00016	0.00010	0.0000	1.0000
	12		0.00006	•	0.0000	1.0000
				661.1		
	**	. 7 1	Scoring Co		2	
_	Varial	4 оте I	1	2	3 .	
		a1	0.39500	-0.01100	-0.08986	
		b1	-0.36639	0.18716	0.08292	
		c1	0.06718	0.44499	-0.25403	
		d1	-0.40589	-0.01047	0.07023	
		a2	-0.03619	-0.41808	0.20309	
		b2	0.33650	0.17614	0.25352	
		c2	0.36181	0.14020	0.32808	
		d2	0.02593	0.46832	-0.22168	
		a3	0.39740	-0.02115	-0.11651	
		b3		0.15894	0.08324	
		c3	0.06250	-0.46168	0.10331	
		d3	-0.01183	0.28823	0.78874	

	Variable	Mean	Std. Dev.	
	a1	-1.53125	.2485798	
45	b1 c1	04715 .0037642	.0221123 .0151056	
	d1 a2	2098125 000394	.0330263 .0018714	
	b2 c2 7	.0091534	.0040555 .014202	
50	d2	.0015418	.0170104	
	a3) b3	1625 0149906	.0312441 .0066018	
	c3 d3	.0026395 0135719	.0092017 .0085003	
55	us ₁	,0100/10	.000000	

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[0063] In this embodiment, the first 3 principal components are retained and used to calculate the associated PC variables. First a z-score for each coefficient is calculated by subtracting the mean of the coefficient and then dividing the difference by the standard deviation of the coefficient from TABLE 5. Each PC variable is then created by multiplying the scoring coefficient for each (a1-d3) by the z-score for each variable and then summing the 12 products, as shown in TABLE 6.

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TABLE 6

	image	outcome	addnl i	mg PCA1	PCA2	PCA3
	1-4x2	0	$\overline{0}$	4907767	8738552	.0987127
10	865-16x2	0	0	6085553	2783825	4990045
	1-7x	0	0	2317154	874534	.4810387
	1-27x2	0	0	.0218282	9802006	.569997
	1-5x2	0	0	1.670697	3829324	.4203361
	1-9x	0	0	.6840906	.4666384	7679284
15	865-1x2	0	0	6142922	1.508776	.3821437
	1-2x	0	0	.0732714	.8884591	.8576227
	2-20x2	0	0	-1.432505	-1.382093	.4184196
	865-10x2	0	0	2.309402	.2540324	5981961
	865-15x2	0	0	.2062483	2.133314	6064944
20	1-12x1	0	0	-1.716844	-1.812474	44028
	1-29x2	0	0	.3513637	-2,44019	.2868603
	865-18x2	0	0	-2.942221	.4870823	.2135515
	865-12x2	0	0	5070165	2.407711	391572
	1-6x2	0	0	.1698279	2595708	1.379145
25	865-6x2	0	0	-1.33174	3922991	1.348731
	865-11x2	0	0	1.119487	-2.558559	6279961
	865-7x2	0	0	1.386343	2.689931	.3561011
	865-9x2	0	0	-3.317	.6986394	8962799
	1-30x2	0	0	2.653671	-3.064767	.526195
30	1-13x1	0	0	1.737869	.6931306	-1.907204
	865-3xx2	0	0	-3.596458	2.816385	203151
	865-8x2	0	0	-3.22584	-2.18013	1.356439
	865-14x2	0	0	-4.802877	-1.925512	.2700403
	2-18x2	0	0	-4.344046	.1713885	-1.659267
35	1-28x2	0	0	.7005095	-2.296043	-2.21848
	2-19x2	0	0	2.251043	.7969418	2.307821
	1-1x	0	0	4.38609	9528349	-1.857011
	1-11x2	0	0	5.014206	-2.781211	.8650386
	1-14x	0	0	0283105	5.296726	.5011879
40	1-10x2	0	0	4.454249	4.126434	.0334842

[0064] The PC variables are used in an MSD calculation to create an atypicality index, as shown in TABLE 7. The PC variables are independent of each other so the variance/covariance matrix is not needed. A cut-off of MSD> 7.81 (95^{th} percentile of the expected X^2 with 3 d.o.f.) was used to define outliers.

5 TABLE 7

	Variable	-	Mean	Std. Dev.	
10	LAD9 SAD9 EAD9	. 35 ! 35	-1.15e-08 -2.79e-09	2.441709 2.033714 1.019216	

MSD = (PCAl/2.441709) +2+(PCA2/2.033714) +2+(PCA3/1.019216) ^2

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[0065] TABLE 8A shows the coefficients from an elliptical Fourier analysis for 6 affected cases and 8 images that represent an additional image from a patient in the reference set. The MSD is calculated using the means and standard deviations of the coefficients, the PC scoring coefficients and the MSD formula for the 32 unaffected patients, the six affected patients and 8 additional images from patients in the group. The results are shown in TABLE 8B. The 3 outliers were all abnormal (trisomy 21, trisomy 18, and one fetus with multiple congenital anomalies). Two trisomy 21 and one case with an unbalanced translocation were not outliers. The affected cases were 1-3x Trisomy 18, 2-21x2 campomelic dysplasia, 2-23x2, 2-23x3, 2-23x4 (same patient) Trisomy 21, 865x2 Trisomy 21, 865-5x2 Trisomy 21, 865-17x2 translocation.

TABLE 8A

ф3	0109	0183	00838	0125	0262	00989	00278	00345	0186	.000243	0232	0208	0131	0189
ივ	00494	00747	.0013	00302	.00843	.0235	00682	0011	.0156	.0177	0167	022	00813	.0283
b 3	00566	0111	00962	0138	0263	0141	0107	0172	0172	0181	0213	018	0156	0133
a3	196	165	209	129	116	167	152	138	159	163	125	133	158	17
d2	.0179	.0213	0147	.0317	.0000546	0298	.0265	.00848	024	0314	.0362	.0455	66900.	0284
c2	.0647	.0725	.0617	8680.	.0853	.079	9060.	.0975	.0721	.0884	.0829	.0837	.0793	.0656
					.0105									
a2	00083	00266	000187	00137	000359	.00453	00117	00186	.00261	.00157	00684	0086	00322	.00368
d1	174	202	163	25	26	204	223	24	208	212	252	242	211	196
c1	.0173	.0201	0153	.0353	.0063	021	.0264	.00953	018	0255	.0336	.0409	.00436	0167
b 1	0179	0308	0263	053	0866	0535	0371	0532	0568	0561	0604	0456	04	05
g a1	-1.8	-1.55	-1.91	-1.25	-1.19	-1.56	-1.43	-1.34	-1.5	-1.52	-1.23	-1.3	-1.5	-1.58
ldnl im	⊷	Н	-	-	-	-	0	0	0	0	0	0	Н	⊢
outcome ad	0	0	0	0	0	0	-	-	Н	-	, , 	Н	Н	1
image	865-11xx2	865-13xx2	1-12x2	1-14x2	1-14x3	1-12x3	2-23x2	865-17x2	865-5x2	1-3x	2-21x2	865-2x2	2-23x4	2-23x3

TABLE 8B

	image	outcome	addnl_i	.mg MSD
	1-4x2	0	0	.2344088
_	865-16x2	0	0	.3205591
5	1-7x	0	0	.4166765
	1-27x2	0	0	.5451415
	1-5x2	0	0	.6737114
	1-9x	0	0	.6988295
	865-1x2	0	0	.754262
10	1-2x	0	0	.8997956
	2-20x2	0	0	.9745739
	865-10x2	0	0	1.254639
	865-15x2	0	0	1.461579
	1-12x1	0	0	1.475262
15	1-29x2	0	0	1.539608
	865-18x2	0	0	1.55325
	865-12x2	0	0	1.592335
	· 1-6x2	0	0	1.852124
	865-6x2	0	0	2.085814
20	865-11x2	0	0	2.172602
	865-7x2	0	0	2.193894
	865-9x2	0	0	2.736778
	1-30x2	0	0	3.718682
	1-13x1	0	0	4.124299
25	865-3xx2	0	0	4.127046
	865-8x2	0	0	4.665785
	865-14x2	0	0	4.835767
	2-18x2	0	0	5.822632
	1-28x2	0	0	6.094747
30	2-19x2	0	0	6.130581
	1-1x	0	0	6.765957
	1-11x2	0	0	6.807669
	1-14x	0	0	7.025152
	1-10x2	. 0	0	7.445808
35	865-11xx2	0	1	2.216359
	865-13xx2	0	1	2.848514
	1-12x2	0	1	2.850898
	1-14x2	0	1	2.998304
	1-14x3	0	1	4.574026
40	1-12x3	0	1	7.325252
	2-23x2	1	0	2.310336
	865-17x2	1	0	2.346174
	865-5x2	1	0	2.474172
	1-3x	1	. 0	10.08229
45	2-21x2	1	0	12.61865
	865-2x2	1	0	15.38419
	2-23x4	1	1	.6735099
	2-23x3	1	1	4.372908
		_	-	

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[0066] In this embodiment of the present invention, only the scoring coefficients, means and standard deviations of the coefficients from TABLE 5 and the standard deviations of the PC variables in TABLE 7 would have to be stored in the computing device for the statistical comparison analysis of a particular patient to be

conducted. This would preserve storage resources in the event of reference data based on very large populations.

[0067] Several embodiments of the invention are specifically illustrated and/or described herein. However, it will be appreciated that modifications and variations of the invention are covered by the above teachings and within the purview of the appended claims without departing from the spirit and intended scope of the invention.

What Is Claimed Is:

1. A computer-implemented method for utilizing shape analysis to assess fetal abnormality, comprising:

receiving coordinates of points identifying a shape in a fetal image;
determining coefficients of one or more mathematical functions that describe
the identified shape; and

utilizing the determined coefficients as markers to assess fetal abnormality.

- 10 2. The method of claim 1, wherein the fetal abnormality is a chromosomal abnormality.
 - 3. The method of claim 2, wherein the chromosomal abnormality is Down syndrome.

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- 4. The method of claim 1, wherein the fetal abnormality is Spina Bifida.
- 5. The method of claim 1, wherein the points are placed upon a computer monitor.
- 20 6. The method of claim 1, wherein the points are placed upon a 3D ultrasound image.
 - 7. The method of claim 1, wherein the points trace an outline around a part of the fetal image to be analyzed.

- 8. The method of claim 1, wherein the coefficients are determined by a Fourier analysis.
- 9. The method of claim 1, wherein the coefficients are determined by a shape
 analysis method selected from the group consisting of elliptical Fourier analysis,
 polynomials, cubic splines, parametric polynomials, parametric cubic splines,
 bezier curves, Fourier analysis of equally spaced radii and dual axis Fourier
 analysis.

10. The method of claim 1, wherein the determined coefficients are utilized as markers to assess fetal abnormality in the first trimester.

- 5 11. The method of claim 1, wherein utilizing the determined coefficients as markers comprises conducting a statistical analysis on the determined coefficients.
 - 12. The method of claim 11, wherein the statistical analysis compares the determined coefficients with reference parameters derived from a statistical distribution of determined coefficients in the unaffected population and/or affected population.
 - 13. The method of claim 12, wherein the conducted statistical analysis on the determined coefficients includes at least one of a means calculation, a standard deviation calculation and a correlation calculation.
 - 14. The method of claim 12, wherein the conducted statistical analysis on the determined coefficients includes a principal component analysis.
- 20 15. The method of claim 12, wherein the conducted statistical analysis results in an indication of risk of fetal abnormality.
 - 16. The method of claim 12, wherein the conducted statistical analysis results in a likelihood ratio for a fetal abnormality.

17 The method of claim 12 whe

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- 17. The method of claim 12, wherein the conducted statistical analysis results in an index value to be considered within range or outside of range for a fetal abnormality.
- 30 18. The method of claim 1, comprising utilizing the determined coefficients as markers in combination with one or more additional markers to assess fetal abnormality.

19. The method of claim 18, wherein the one or more additional markers includes at least one biochemical marker selected from the group consisting of free Beta hCG and PAPP-A, maternal blood alpha-fetoprotein, maternal blood hCG, maternal blood unconjugated estriol, maternal blood dimeric inhibin A, maternal urine total estriol, maternal urine beta core fragment, maternal urine hyperglycosylated hCG and maternal blood hyperglycosylated hCG.

- 20. The method of claim 18, wherein the one or more additional markers includes at least one ultrasound marker selected from the group consisting of nuchal translucency, Ductus Venosus, absent or hypoplastic nasal bone, nuchal edema, short femur, hyperechogenic bowel and echogenic foci in the heart.
- 21. The method of claim 1, further comprising:
 adjusting the received coordinates to align the shape according to a particular
 axis before the coefficients are determined.
 - 22. The method of claim 1, further comprising:

 adjusting the received coordinates before the coefficients are determined by at least one of translating the coordinates, rotating the coordinates and scaling the coordinates.
 - 23. The method of claim 22, wherein utilizing the determined coefficients as markers comprises conducting a statistical analysis on the determined coefficients.
 - 24. The method of claim 23, wherein the statistical analysis compares the determined coefficients with reference parameters derived from a statistical distribution of determined coefficients in the unaffected population and/or affected population.

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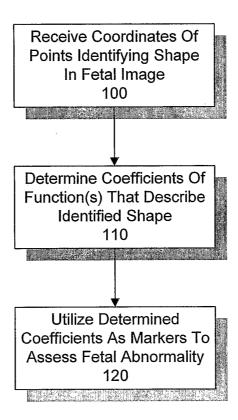
25. An apparatus for utilizing shape analysis to assess fetal abnormality, comprising: a processor; and a memory storing instructions adapted to be executed by said processor to:

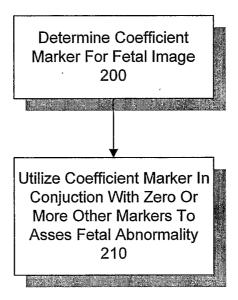
receive coordinates of points identifying a shape in a fetal image;
determine coefficients of one or more mathematical functions that
describe the identified shape; and

utilize the determined coefficients as markers to assess fetal abnormality.

26. A system for utilizing shape analysis to assess fetal abnormality, comprising:
 a means for receiving coordinates of points identifying a shape in a fetal image;

- a means for determining coefficients of one or more mathematical functions that describe the identified shape; and
- a means for utilizing the determined coefficients as markers to assess fetal abnormality.





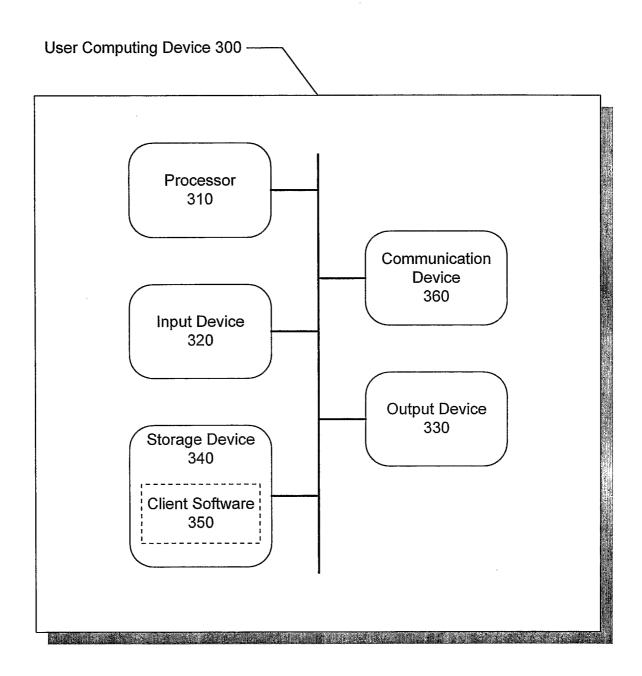


FIG. 3

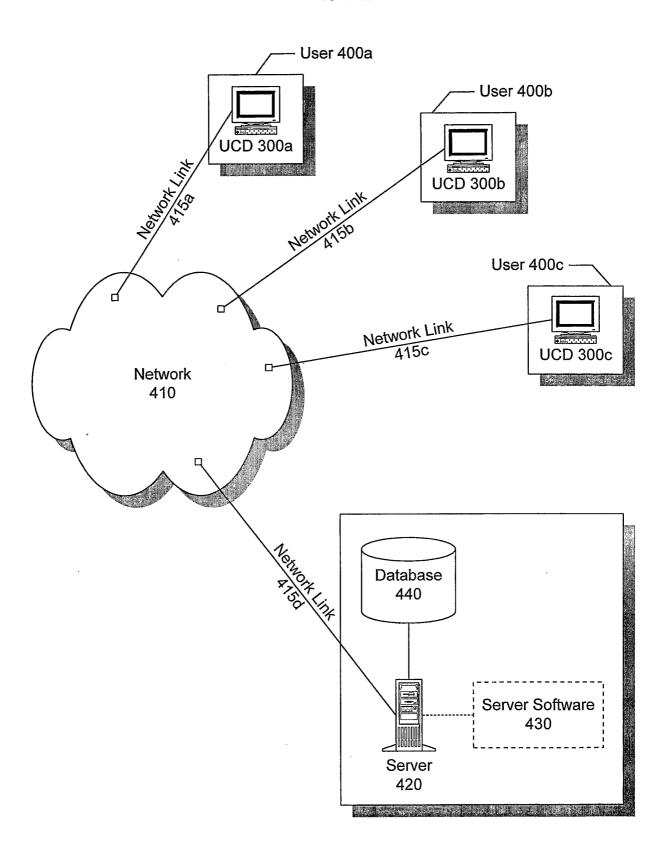
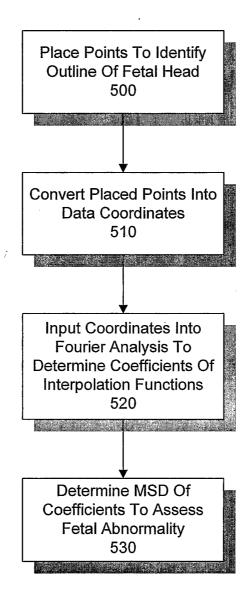


FIG. 4



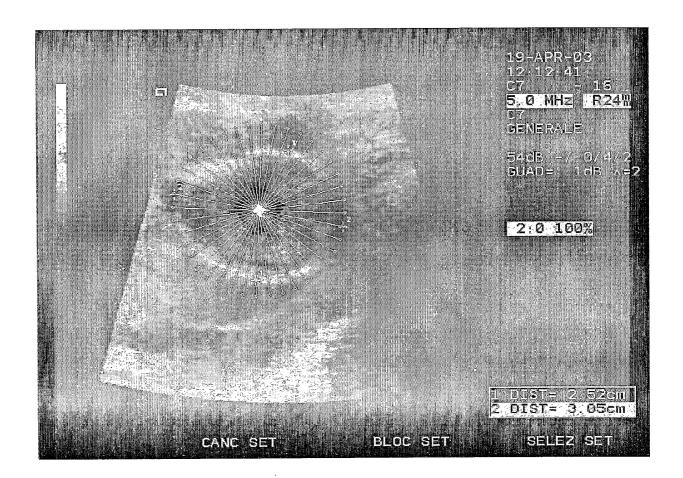
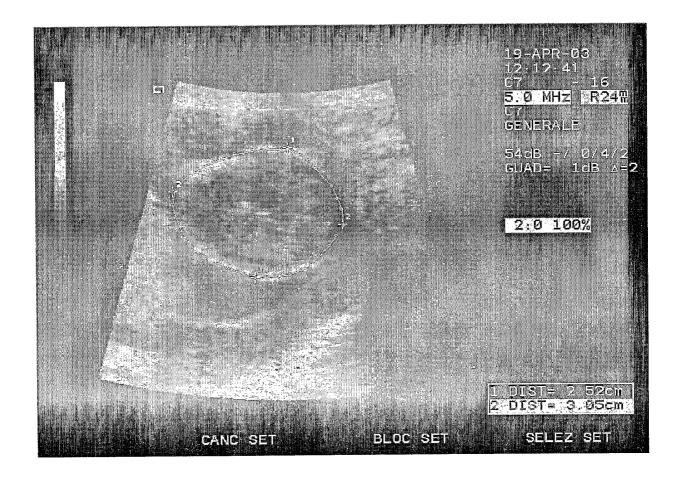


FIG. 6



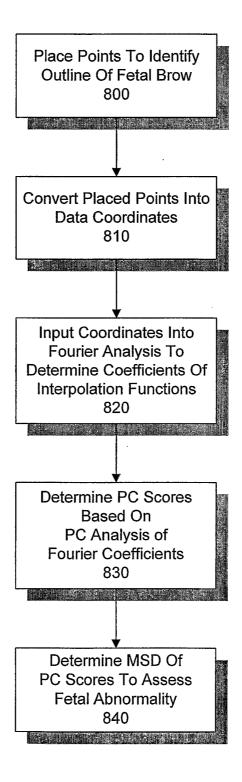
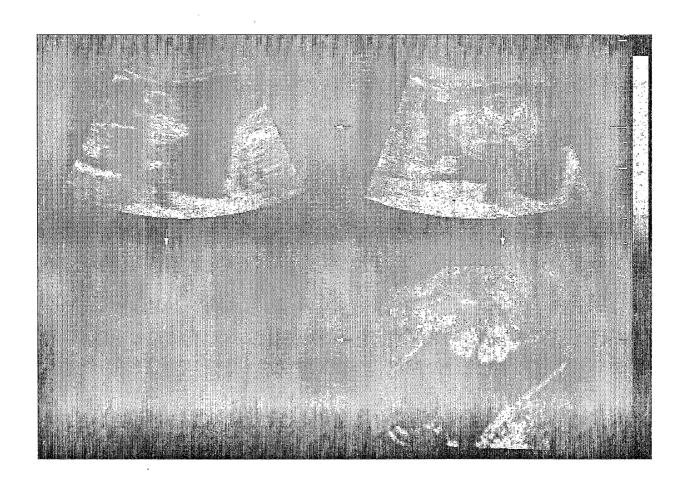
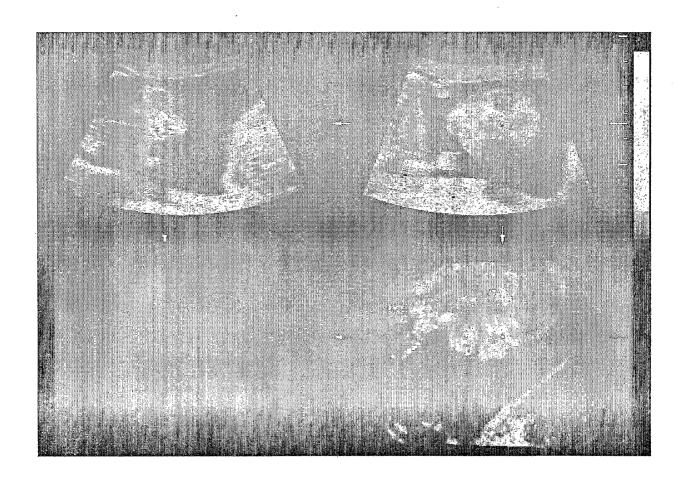
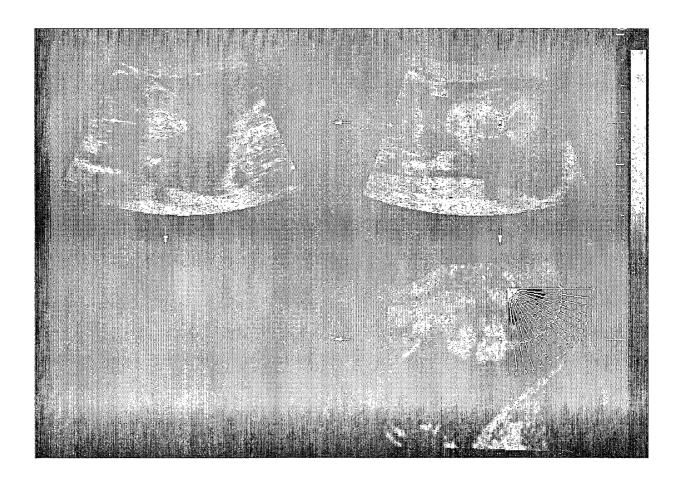


FIG. 8







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