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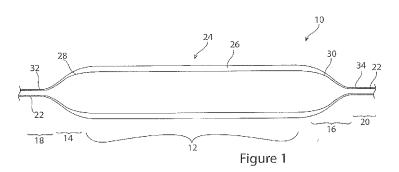
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- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

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(54) Title: SCORING BALLOON AND METHOD OF MAKING SAME



(57) Abstract: A scoring balloon (10) includes a generally cylindrical and straight body portion (12) and both ends of the body portion (12) end cone portions (14, 16). At both extremities of the end cone portions (14, 16) there are neck portions (18,20) which are fixed to a catheter or carrier element (22). A plurality of scoring elements (24) extend from one end of the balloon (10) to the other. Each scoring element (24) has a central uniform section (26) which extends along the body portion (12) of the balloon and tapering sections (28,30) which extend from the central section (26) and along the balloon end cones (14, 16). The tapering sections (28,30) taper from a height generally consistent with the central section (26) to a lower height along the direction of taper of the balloon end cones (18,20). This reduction in height of the scoring elements (24) as the balloon narrows enhances the flexibility of the balloon at the cone portions (18,20) and also ensures that there is no abrupt change in the height of the scoring elements (24) which could present a shoulder or other edge able to snag on a vessel wall or medical device located in a patient's lumen.





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SCORING BALLOON AND METHOD OF MAKING SAME

Description

Technical Field

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The present invention relates to a scoring or cutting balloon and to a method of making a scoring or cutting balloon.

Background of the Invention

Scoring or cutting balloons are known for use in opening vessels which have become constricted or closed as a result, for example, of stenosis, plaque or other build up. The function of such balloons is to break up the material causing the constriction into fragments, which may then be collected for removal from within the patient's vasculature, for instance by use of a filter or aspiration device.

Cutting or scoring balloons have been proposed in a variety of forms, primarily by fixing of a plurality of cutting blades to a balloon or by forming integrally with the balloon radially extending solid protrusions.

There are various considerations with regard to the design and construction of cutting balloons, including the need to retain balloon flexibility, particularly during the introduction of the balloon into the patient's vasculature, the need to protect healthy vessel tissue and the balloon from the cutting elements, the reliability of the device, ease of manufacture and so on.

Some examples of cutting balloon can be found in US-5,733,301, US-5,797,935, US-6,129,076, US-7,306,616, US-2001/0,011,178, and WO-98/14233. Summary of the Invention

The present invention seeks to provide an improved cutting or scoring balloon and an improved method of making a cutting or scoring balloon. Hereinafter the term scoring is used to denote both scoring and cutting as used in the art.

According to an aspect of the present invention, there is provided a scoring balloon structure including a balloon provided with a balloon body portion, proximal and distal end cone portions extending from respective ends of the body portion, proximal and distal neck portions extending respectively from the proximal and distal end cone portions, and at least one scoring element extending across the body portion and the proximal and distal end cone portions, the or each scoring element having a

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height; wherein the height of the or each scoring element decreases along the taper of the proximal and distal end portions.

The provision of a scoring element which extends over the entirety of the body as well as along the cone portions of the balloon can ensure that there are no sharp edges to the ends of the scoring elements which may snag against and potentially damage healthy vessel walls or a medical device located in the patient's vasculature. The decreasing height of the scoring element or elements along the taper of the cone portions ensures that these reduce in stiffness along the cone portions and thus do not adversely affect the flexibility of the balloon. This structure of scoring element also provides important manufacturing advantages as described below.

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It is preferred that the or each scoring element has a substantially even height along the balloon body portion.

The term height as used herein is intended to denote the distance by which the scoring element extends from the surface upon which it is located, be it the balloon body portion, cone portions or end portions.

In a preferred embodiment, the or each scoring element is integral with the balloon. Advantageously, the or each scoring element is formed as a single piece with the balloon and most preferably is formed of the same material as the balloon.

The height of the or each scoring element may decrease in a gradual manner from the wider end to the narrower end of the proximal and distal end cone portions of the balloon and preferably does so in a substantially linear manner. This ensures that no portion of the scoring elements presents a sudden change in height and thus a shoulder or discontinuity which can snag on the vessel wall of a medical device.

It is preferred that the height of the or each scoring element is reduced to substantially zero at the proximal and distal neck portions of the balloon. In this manner, the very ends of the balloon, including of the neck portions, are kept as flexible as possible. These areas of the balloon do not normally perform any significant cutting or scoring function and thus do not require any scoring element. It is envisaged that in some embodiments the or each scoring element could taper to zero height before the end of the cone portions of the balloon.

Advantageously, the or each scoring element extends substantially linearly along the balloon. In another embodiment, at least a portion of the or each scoring

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element may extend non-linearly along the balloon. In some applications, for instance, it may be preferable to have the or at least one scoring element arranged helically or annularly around the circumference of the balloon, for instance, particularly along the balloon body portion.

It is preferred that there are provided at least three or four scoring elements.

Advantageously, the or each scoring element is a co-extrusion with the balloon.

According to another aspect of the present invention, there is provided a method of forming a scoring balloon including the steps of providing a raw material form including a tube with one or more ribs extending along the length of the tube; locating the tube in a mold; constricting first and second ends of the tube and inflating the tube so as to produce a balloon provided with a balloon body portion, first and second balloon end cone portions extending from respective ends of the body portion, and first and second neck portions, and one or more scoring elements extending from said first end to said second end; and reducing the height of the scoring elements along the first and second end cone portions such that the height of the scoring elements reduces in the direction of taper of said end cone portions.

Preferably, the height of the or each scoring element is reduced in a gradual manner along the taper of the proximal and distal end cone portions of the balloon.

Advantageously, the or each rib which forms a scoring element is coextruded with the balloon.

Brief Description of the Drawings

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Embodiments of the present invention are described below, by way of example only, with reference to the accompanying drawings, in which:

Figure 1 is a side elevational view in schematic form of an embodiment of scoring balloon;

Figure 2 is a view of one end of another embodiment of scoring balloon;
Figure 3 is a view of one end of another embodiment of scoring balloon; and
Figures 4A and 4B are perspective and end views respectively of an
embodiment of raw material tubing used to produce the balloons of Figures 1, 2 and 3.

Description of the Preferred Embodiments

The preferred embodiments described herein are based upon the provision of

scoring elements which are integral with the balloon itself, most preferably being of the same material as the balloon and formed as a unitary and singular structure with the balloon, for instance by co extrusion. As described below, this structure can optimise the manufacture of the scoring balloon as well as optimising the integrity and performance of the overall structure. Nevertheless, some embodiments may use scoring elements which are separate elements attached, for instance, by bonding or melting to the balloon itself. It is also envisaged that the elements could be integrally formed with the balloon but be made of a different material from that of the balloon.

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The primary embodiments described below and shown in the drawings have three scoring elements extending longitudinally along the length of the balloon and being substantially equally spaced circumferentially around the balloon. This arrangement, although preferred, is not to be considered as limiting as other embodiments are also envisaged and described below.

Referring to Figure 1, there is shown in schematic form a first embodiment of scoring balloon 10, which can be formed of a conventional material, compliant or relatively non-compliant. Examples of balloon material include nylon, preferably nylon 12, Pebax, polyurethane, PET, PE, and similar materials, and furthermore also including co-extrusions and blends of more than one of these materials.

The balloon 10 includes, in this embodiment, a generally cylindrical and straight body portion 12 which extends along a longitudinal axis of the balloon 10. At both ends of the body portion 12 there are provided end cone portions, 14 and 16 respectively. Although shown in the drawings as conical, the skilled person will know that in practice the end cone portions 14, 16 will typically be slightly rounded since they form part of the inflatable structure of the balloon.

At both extremities of the end cone portions 14, 16 there are neck portions 18, 20, which are fixed to a catheter or carrier element 22, typically in fluid tight manner.

As described below in further detail, the portions 12-20 of the balloon 10 are typically formed from a common raw tubing which is inflated under heating so as to stretch into the shape shown in Figure 1. Thus, in the preferred embodiment, these portions 12-20 of the balloon 10 can be formed from the same material, although may have different wall thicknesses as a result of the different extents to which the raw tubing is inflated, that is stretched during formation of the balloon. Typically, the body

portion will have a thinner balloon wall, whereas the end cone portions 14, 16 will have a wall thickness which increases in the direction of reduction of their taper. The neck portions 18, 20 will have the thickest walls. It is to be appreciated that these differences in thickness may be minimal and thus of no or little material effect to the operating characteristics of the balloon 10. In some circumstances, additional manufacturing steps may be performed to even out these walls thicknesses.

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The balloon structure 10 is also provided with a plurality of scoring elements 24 which extend from one end of the balloon 10 to the other. In the preferred embodiments there may be provided three or four scoring elements, although there could be just one or more than four in other embodiments.

In this example, the scoring elements 24 extend substantially linearly along the axis of the balloon and are substantially equally spaced around the circumference of the balloon.

Each scoring element 24 has a central uniform section 26 which extends along the body portion 12 of the balloon. In the preferred embodiment, the central section 26 of each scoring element 24 has a substantially constant height for the length of the balloon body portion 12, although in other embodiments this height could vary. Each scoring element 24 is also provided with tapering sections 28, 30 which extend from the central section 26 and along the balloon end cones 14, 16. Beyond these tapering sections 28, 30, each scoring element 24 may have extremities 32, 34 extending over the balloon necks. It is to be appreciated that in some embodiments these extremities 32, 34, in the finished balloon 10, may not be visible or may have been removed, for instance by being pressed or molded into the balloon neck portions 18, 20 or by being cut away, as described below.

The tapering sections 28, 30 of each scoring element taper from a height generally consistent with the central section 26 to a lower height, in the preferred embodiment to zero height, along the direction of taper of the balloon end cones 18, 20. This reduction in height of the scoring elements 24 as the balloon narrows enhances the flexibility of the balloon at the cone portions 18, 20 and also ensures that there is no abrupt change in the height of the scoring elements 24 which could present a shoulder or other edge able to snag on a vessel wall or medical device located in a patient's lumen.

Preferably, the tapering sections 28, 30 of each scoring element 24 exhibit a gradual, advantageously linear, reduction in height; although it is not excluded that this reduction could be by small steps insufficiently large to provide any shoulder large enough to cause potential disadvantages in terms of snagging and the like.

Referring now to Figure 2, there is shown a particular embodiment of scoring elements 40. In this embodiment, the scoring elements 40 extend from a central uniform section 42 along the balloon body portion 12 to tapering sections 44 which gradually taper all the way down the balloon end cones 14, 16 to the neck portions 18, 20. The sections 46 of the scoring elements 40 which lie along the balloon neck portions 18, 20 have virtually no height.

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Figure 3 shows another embodiment of scoring element 50, in which the tapering sections 54, which extend from the central section 52, reduce in height to such an extent that they end, that is have no height, at a position 56 located before the narrow extremity of the cone portions 14, 16 of the balloon.

In other embodiments, the tapering sections 30, 44, 54 could have tapers which are other than linear.

It will be apparent to the skilled person that the scoring elements 24, 40, 50 may be slightly rounded at the junction between the balloon body portion 12 and the end cones 14, 16, caused by rounding of the balloon on inflation.

Figures 4a and 4b show an embodiment of raw tubing 60 used for the manufacture of the scoring balloons taught herein. The raw tubing 60 is advantageously a continuous length of tubing having a substantially circular cylindrical tube portion 62 from which extend radially outwardly a plurality of ribs 64. In Figures 4a and 4b the raw tubing 60 has three ribs 64 extending linearly along the outside of the tube 62, although the number will be dependent upon the number of scoring elements desired. The arrangement of the ribs 64 will also be dependent upon the desired shape of the scoring elements of the balloon. For instance, for scoring elements which extend at least partially helically around the balloon, the ribs 64 would also be at least partially helical.

In the preferred embodiment, the ribs 64 are formed to have a generally triangular or otherwise of converging shape in axial cross-section, as apparent from Figures 4a and 4b, and are preferably made of the same material as the balloon 62.

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Advantageously, the ribs 64 and tube 62 are extruded together, such that they are a single piece integral component with no distinction in terms of material. It is not excluded, however, that the ribs 64 could be made from a different material than that of the tube 62, for instance a material which is less flexible.

The raw tubing 60 is, on manufacture, inflated in a suitable mold under heat so as to stretch the central part of the raw tubing 60 to form the body portion 12 and end cones 14, 16 of the balloon, while end portions of the raw tubing are held radially compressed so as not to inflate and to form the neck portions 18, 20.

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In an embodiment, the ribs are kept substantially intact during inflation of the raw tubing. At the of the inflation stage, the portions of the ribs which extend along the end cone and neck portions of the just formed balloon are then reduced in height, preferably by laser cutting or otherwise by ablation, grinding or other suitable method. In another embodiment, the portions of the ribs 64 which overlie the zones of the raw tubing which form the end cones 28, 30 and neck portions 32, 34 are squashed during the balloon inflation process. Specifically, the conical portions of the mold which form the end cones of the have no or reduced grooves to accommodate the ribs 64 of the raw tubing 60, as a result of which during the heating and inflation stages the ribs 64 are softened and heat squashed into the wall of the balloon.

The reduction in height of the scoring elements reduces the stiffness of these, in the preferred embodiments sufficient to offset any increase in stiffness at the end cones 14, 16 and necks 18, 20 of the balloon, caused by their greater wall thickness as a result of having been stretched less during inflation of the raw tubing 60. Thus, the preferred embodiments retain parts of the scoring elements along at least a part of the balloon end cones 14, 16, thus to maintain a scoring function even along the end cones and to avoid any unwanted increase in stiffness. Furthermore, the tapering nature of the scoring elements avoids any abrupt shoulders or edges at the ends of the scoring elements. Although in some embodiments the height of the scoring elements is reduced proportionally to the increase in wall thickness of the end cones, other embodiments may provide a different rate or nature of height reduction, some examples being disclosed above.

Laser cutting is presently a preferred method as it minimizes damage to the balloon or scoring element material and is accurate.

Reducing the height of the scoring elements after or during inflation of the raw tubing facilitates manufacture of the balloon in that it is not necessary to manufacture raw tubing with particular features along its length, which features would form the different parts of the balloon. Instead, a raw tubing which is consistent along its length enables a more efficient manufacture, for instance by extrusion of long lengths of raw tubing which can then be cut to the desired lengths either before or after inflation and formation of a balloon.

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The central portions 24, 42, 52 of the scoring elements need not be of uniform height. They could, in dependence upon the characteristics desired of the balloon, have non-uniform heights, for instance to bulge outwardly towards their middle, to reduce in height towards their middle and even, in some embodiments, to have a plurality of notches along their length.

Although there are described a number of embodiments above, these are not to be understood as limiting the scope of the invention taught herein. For instance, even though the primary embodiments described above have three or four scoring elements extending longitudinally along the length of the balloon and substantially equally spaced circumferentially around the balloon, other embodiments are possible. For instance, a different number of scoring elements could be provided. Similarly, the scoring elements could be arranged non-linearly with respect to the axis of the balloon, such as helically along at least the body portion of the balloon. In other embodiments, the scoring elements could be unevenly spaced around the circumferential extent of the balloon. Various other arrangements are possible.

The disclosures in British patent application number 1019765.5, from which this application claims priority, and in the abstract accompanying this application are incorporated herein by reference.

<u>Claims</u>

1. A scoring balloon structure including a balloon provided with a balloon body portion, proximal and distal end cone portions extending from respective ends of the body portion, proximal and distal neck portions extending respectively from the proximal and distal end cone portions, and at least one scoring element extending across the body portion and the proximal and distal end cone portions, the or each scoring element having a height; wherein the height of the or each scoring element decreases along the taper of the proximal and distal end portions.

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- 2. A scoring balloon according to claim 1, wherein the or each scoring element is integral with the balloon.
- 3. A scoring balloon according to claim 1 or 2, wherein the height of the or each scoring element decreases in a gradual manner from the wider end to the narrower end of the proximal and distal end cone portions of the balloon.
- 4. A scoring balloon according to claim 1, 2 or 3, wherein the height of the or each scoring element decreases in a substantially linear manner along the proximal and distal end cone portions of the balloon.
 - 5. A scoring balloon according to any preceding claim, wherein the height of the or each scoring element is reduced to substantially zero at the proximal and distal neck portions of the balloon.
 - 6. A scoring balloon according to any preceding claim, wherein the or each scoring element has a substantially uniform height along the body portion of the balloon.
 - 7. A scoring balloon according to any preceding claim, wherein the or each scoring element extends substantially linearly along the balloon.
 - 8. A scoring balloon according to any one of claims 1 to 6, wherein at least a portion of the or each scoring element extends non-linearly along the balloon.
 - 9. A scoring balloon according to any preceding claim, wherein there are provided at least three scoring elements.
- 10. A scoring balloon according to any preceding claim, wherein there are provided at least four scoring elements.
- 11. A scoring balloon according to any preceding claim, wherein the or each scoring element is formed of the same material as the balloon.

- 12. A scoring balloon according to any preceding claim, wherein the or each scoring element is a co-extrusion with the balloon.
- 13. A method of forming a scoring balloon including the steps of providing a raw material form including a tube with one or more ribs extending along the length of the tube; locating the tube in a mold; constricting first and second ends of the tube and inflating the tube so as to produce a balloon provided with a balloon body portion, first and second balloon end cone portions extending from respective ends of the body portion, and first and second neck portions, and one or more scoring elements extending from said first end to said second end; and reducing the height of the scoring elements along the first and second end cone portions such that the height of the scoring elements reduces in the direction of taper of said end cone portions.

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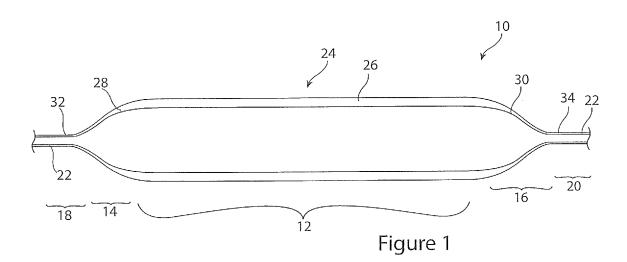
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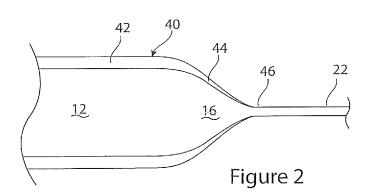
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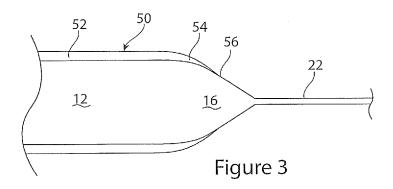
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- 14. A method according to claim 13, wherein the height of the or each scoring element is reduced in a gradual manner along the taper of the proximal and distal end cone portions of the balloon.
- 15. A method according to claim 13 or 14, wherein the height of the or each scoring element is reduced in a substantially linear manner along the taper of the proximal and distal end cone portions of the balloon.
- 16. A method according to claim 13, 14 or 15, wherein the height of the or each scoring element is reduced to substantially zero at the proximal and distal neck portions of the balloon.
- 17. A method according to any one of claims 13 to 16, wherein the or each scoring element is formed to have a substantially uniform height along the body portion of the balloon.
- 18. A method according to any one of claims 13 to 17, wherein the or each scoring element is formed of the same material as the balloon.
- 19. A method according to any one of claims 13 to 18, wherein the or each rib which forms a scoring element is coextruded with the balloon.
- 20. A method according to any one of claims 13 to 16, wherein the height of the or each scoring element is reduced by laser ablation, grinding, etching or heat flattening.

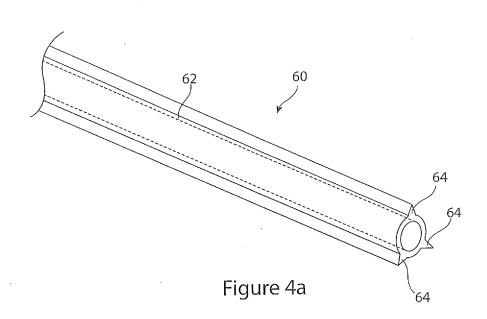
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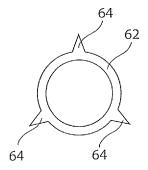


Figure 4b

INTERNATIONAL SEARCH REPORT

International application No PCT/US2011/049968

A. CLASSIFICATION OF SUBJECT MATTER INV. A61B17/32 ADD. A61B17/3207 A61M2 A61M25/10 A61B17/22

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61B A61M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUM	ENTS CONSIDERED TO BE RELEVANT	
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Υ	abstract; figures 3,4,7,12 paragraphs [0030], [0037] - [0038]	13-20
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Α	abstract; figures 1-3 paragraphs [0055] - [0058]	1,2,6,7, 9,10,12
Α	WO 2009/114425 A1 (COOK INC [US]; SABIN CORP [US]; BURTON DAVID G [US]; DREWES DAVID A [U) 17 September 2009 (2009-09-17) abstract; figures 1-3,9-11	1,2,6,7, 9-11,13, 15,17-19
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Y Further documents are listed in the continuation of Box C.	X See patent family annex.	
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family	
Date of the actual completion of the international search 23 November 2011	Date of mailing of the international search report $02/12/2011$	
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Macaire, Stéphane	

INTERNATIONAL SEARCH REPORT

International application No
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C(Continua	ation). DOCUMENTS CONSIDERED TO BE RELEVANT	
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Ą	US 2005/137615 A1 (MAPES KENNETH W [US] ET AL) 23 June 2005 (2005-06-23) abstract; claims 9-13; figures 1-5,8-10	1,2,6,7, 9,10,12, 13,15,17
4	US 5 616 149 A (BARATH PETER [US]) 1 April 1997 (1997-04-01)	1,6,7, 12,13, 17,19
	abstract; figures 1-3,11	17,19

INTERNATIONAL SEARCH REPORT

Information on patent family members

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