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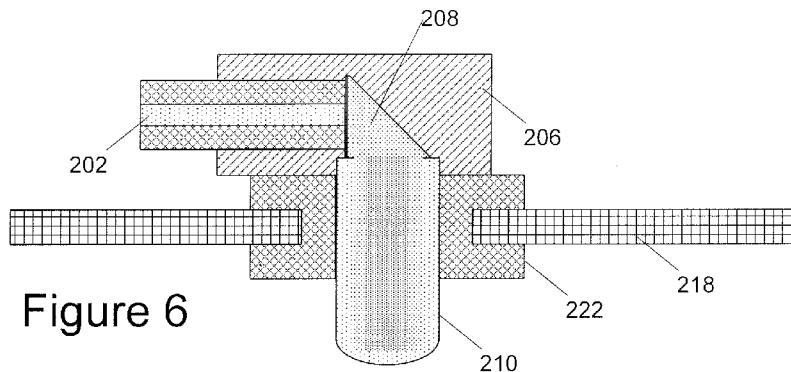


Figure 6

(57) Abstract: An optode for a functional infrared diffuse optical neuroimaging system uses a GRIN lens and prism for coupling to an optical fiber at a right-angle to the lens. The lens is inserted into a grommet for attachment to an elastomeric cap worn by a subject. In an embodiment, the cap also has an array of electroencephalographic electrodes, with optodes arranged such that an optical path exists between a transmitter and a receiver optode beneath each electroencephalographic electrode. In an embodiment, quadfurcated optical cabling is used to permit illumination of each of multiple optodes with wavelengths provided from each of multiple lasers, and permitting each laser to illuminate multiple optodes.



WO 2009/134674 A1

## SYSTEM, OPTODE AND CAP FOR NEAR-INFRARED DIFFUSE-OPTICAL FUNCTIONAL NEUROIMAGING

### RELATED APPLICATIONS

[0001] The present application claims priority to U.S. provisional patent  
5 application number 61/048,446, filed April 28, 2008.

### FIELD

[0002] The present application relates to an optode and an electrode-  
optode cap having multiple optodes for use in diffuse-optical imaging, and in  
particular in Near-InfraRed Diffuse-Optical Tomographic Functional NeuroImaging  
10 (NIR-DOTFNI) and correlation of NIR-DOTFNI with electroencephalography.

### BACKGROUND

[0003] Functional Magnetic Resonance Imaging (fMRI) portrays  
particular parts of the brain that are active during specific activities of a subject. For  
example, experiments have illustrated those parts of the brain that are most active  
15 while performing mental arithmetic, or while opening and clenching a hand. Some  
differences have been noted between activity patterns of the mentally ill and those of  
“normal” subjects. fMRI operates by observing a coupled set of blood flow, volume  
and oxygenation changes in the brain, which are collectively termed the  
hemodynamic response. This hemodynamic response correlates with neuronal activity  
20 in the brain.

[0004] While the spatial resolution of fMRI is good, fMRI requires the  
subject's head remain stationary between poles of a magnet in a large, bulky,  
sometimes noisy, and usually expensive machine throughout a study. The  
requirement of stable head position renders fMRI impractical as a way to observe  
25 patterns of brain activity during many activities of a subject such as – for illustration  
and not by limitation - walking on a treadmill or, even holding an animated  
conversation. Further, the expense and immobility of fMRI machines precludes  
routine clinical use of fMRI on patients of average wealth in diagnosis and treatment  
monitoring of such psychiatric and neurological disorders as schizophrenia,  
30 Parkinsonism, epilepsy, multiple sclerosis, tumors, dementia, stroke rehabilitation and

traumatic brain injury where it is expected that brain activity patterns may differ from the norm.

[0005] It is well known that light, including near-infrared light, penetrates to a limited extent through many human tissues, including the brain, skull and scalp; although that light is scattered by those tissues and some wavelengths are absorbed more than others. It is also well known that a pattern of absorbed wavelengths (or color) of light transmitted by tissue varies with oxygenation of blood in the tissue. Further, volume and flow of blood in the tissue is known to change scattering properties in the tissue.

[0006] Diffuse-optical functional neuroimaging is a technique of determining patterns of brain activity in mammalian or human subjects by projecting light into the subject into selected points on the subject's head while observing patterns of intensity, phase and color of scattered light emitted from the head at selected points. This may be performed using light having wavelengths in the near-infrared, with tomographic processing to obtain some three-dimensional localization of activity regions. It is expected that that brain activity patterns obtained through this near-infrared diffuse-optical functional neuroimaging (NIR-DOTFNI) can be correlated to activity patterns obtained through fMRI, and that these patterns may also correlate with brain activity patterns obtained through electroencephalography (EEG), magnetoencephalogram (MEG), transcranial Doppler sonography (TCD), positron emission tomography (PET), and single-photon emission computed tomography (SPECT).

[0007] NIR-DOTNFI is expected to provide a more portable apparatus for functional neuroimaging than possible with fMRI, thereby providing imaging useful for research, as well as diagnosing a variety of psychiatric conditions and identifying lesions including tumors in a subject. A second advantage of NIR-DOTFNI over fMRI is its superior temporal resolution over fMRI, which permits detailed quantitative analysis of the time-course of the hemodynamic response. A third advantage of NIR-DOTFNI over fMRI is its ability to determine changes in blood volume, oxygenation and flow through the use of multiple colors of laser light and spectroscopic analysis and computer modeling.

[0008] NIR-DOTNFI apparatus may also prove useful for determining truth and falsity of statements made by a suspect, although this is still a subject of

research. Other potential applications of NIR-DOTNFI include, but are not limited to, brain computer interface (BCI), real-time neurofeedback for academic learning, real-time neurofeedback for rehabilitation training, acute patient monitoring in the neuro-intensive care unit, and attention monitoring for pilots and drivers.

5           **[0009]**     An optode is a device for coupling light between optical or optoelectronic components (such as fiber bundles and/or lasers and/or photo-detectors) and a surface (such as skin or mucus membranes) of a subject. An optode may be used to couple light into the surface of the subject, out of the surface, or both. Optodes are typically connected to an end of a flexible light guide such as fiber-optic  
10   fibers or bundles or liquid light guides that in turn connect to light emitting devices such as lasers and/or light measuring and detecting apparatus such as photodiodes; some optodes may be used for light emission into the subject, some for light detection, and some for both. The optic fibers or bundles or liquid light guides may be bifurcated, quadfurcated, or further divided to or from the optode for the purpose  
15   of, for example, coupling multiple colors of laser light into the surface or coupling the light collected from multiple optodes into a single detector. The light emitting apparatus such as lasers and/or light measuring and detecting apparatus may be worn by the subject, or may be located several meters away.

**[0010]**     Some optode designs have proved to be bulky, others have proved  
20   to be incompatible with EEG, MEG or fMRI, others have proved time consuming to attach to a subject, overly difficult to attach, or to be too painful to the subject for practical use.

**[0011]**     US patent 5361316 to Tanaka, et al., describes a probe for coupling  
25   light from an optical fiber into a body cavity for phototherapy, the probe having a ball lens. This device has no prism and does not lend itself to use as a compact optode for functional neuroimaging. A ball lens is also used in the coupling device of Schwarz, et al., Ball Lens Coupled Fiber-Optic Probe For Depth Resolved Spectroscopy Of Epithelial Tissue, Optics Letters, 15 May 2005 1159-1161. The coupling device of Schwarz lacks a prism, and has several stimulus fibers surrounding a central receive  
30   fiber in the same coupling device, and is intended to provide for infrared measurements of skin rather than deeper structures.

**[0012]**     Hamamatsu's NIRO-200 system provides a multiple-channel device for generating infrared light, for coupling this light to an optode having a prism

at the end of an optical fiber for coupling light into scalp regions without hair, and for receiving and measuring transmitted light. The prism optode design of Hamamatsu lacks any small optical component to displace the hair and make direct contact with scalp skin. This device also does not support simultaneous electroencephalography.

5 The optodes of this device have no lens and is bulky enough to preclude the high-density optode arrays required for good resolution and accurate neuroimaging, and is bulky enough to preclude high density optode arrays combined with electroencephalographic arrays.

### SUMMARY

10 [0013] An optode for a functional near-infrared diffuse optical neuroimaging system uses a GRIN lens and prism for coupling to an optical fiber at a right-angle to the lens. The lens is inserted into a grommet for attachment to an elastomeric cap worn by a subject. In an embodiment, the cap also has an array of electroencephalographic electrodes, with optodes arranged such that an optical path  
15 exists between a transmitter and a receiver optode beneath each electroencephalographic electrode.

### BRIEF DESCRIPTION OF THE FIGURES

[0014] Figure 1 is an exploded cross-sectional diagram illustrating a prior optode design.

20 [0015] Figure 2 is a cross-sectional diagram illustrating the prior art optode of Figure 1 assembled.

[0016] Figure 3 is a cross-sectional diagram illustrating a prior art optode with a flange replacing the upper washer.

25 [0017] Figure 4 is an exploded cross-sectional diagram illustrating a new optode design.

[0018] Figure 5 is a cross-sectional diagram illustrating the optode of Figure 3 partially assembled.

[0019] Figure 6 is a cross-sectional diagram illustrating the optode of Figure 3 fully assembled into an elastomeric cap.

30 [0020] Figure 7 is a cross sectional diagram illustrating an alternative embodiment of the optode.

[0021] Figure 8 is an illustration of quadfurcated fibers permitting illumination of the optodes with multiple wavelengths using one laser per optode.

[0022] Figure 9 is an illustration of a 21-electrode prior-art 10-20 electroencephalographic pattern.

5 [0023] Figure 10 is an illustration of a 21-electrode, 24-optode, optical neuroimaging and electroencephalographic pattern.

[0024] Figure 11 is an illustration of a 75-electrode, 64-optode, optical neuroimaging and electroencephalographic combination cap pattern.

10 [0025] Figure 12 is an illustration of optode placement in the cap for use with quadfurcated fiber allowing individual lasers to illuminate multiple widely-separated optodes.

[0026] Figure 13 is an illustration of a system for functional neuroimaging using the cap of Figure 11 and the optodes of Figure 4.

#### DETAILED DESCRIPTION OF THE EMBODIMENTS

15 [0027] In the prior art optode 100 embodiment of Figures 1 and 2, an optical fiber 102 or fiber bundle as known in the art of fiber optics and having a jacket 104 is secured within a 90-degree fitting 106 to form a cable termination assembly 108. Cable termination assembly 108 is slideably engaged within an optode body 110. An elastomeric spring 112 secured within the optode body 110 by an optode cap 20 114 tends to hold the cable termination assembly 108 in an extended position where it may contact a scalp of a mammal (not shown). Optode body 110 is secured into a polyethylene cap 116 by an upper washer 118 and a lower washer 120 or snap, which may be attached to the optode body 110 by glue, a friction fit, or by a shoulder (not shown) on the optode body 110.

25 [0028] In an alternative prior embodiment, as illustrated in Figure 3, upper washer 118 is replaced by a flange of optode body 130.

[0029] The prior art optode 100 has been found to be uncomfortable for subjects because of the sharp square bottom edge of the cable termination assembly 108 and because the elastomeric spring 112 does not always provide for adequate 30 movement of cable termination assembly 108 in body 110. Further, the optode has an overall height 122 that is sufficient to interfere with performance of functional magnetic resonance neuroimaging while cap 116 is being worn by a subject.

[0030] The improved optode 200 of Figure 4, 5, and 6 has a fiber optic fiber or fiber bundle 202 as known in the art of fiber optics and as suitable for transmitting light of a desired wavelength. The optic fiber may have a jacket 204 and is inserted into and attached by glue or a collet into an optode body 206. Optical fiber  
5 202 is optically coupled to a prism 208 also mounted within the optode body 206, and prism 208 is coupled to the high-density central portion of a flat end of a graded-index (GRIN) lens 210. GRIN lens has a convex curved end 211 for optical coupling to a scalp of a subject.

[0031] The GRIN lens 210 is also attached to the optode body 206, and  
10 has an outer circumferential surface 214.

[0032] An elastomeric cap 218, such as a cap formed from a sheet of neoprene rubber or woven elastic has holes 220. Elastomeric grommets 222, such as may be made from neoprene rubber, are inserted into cap 218. The elastomeric grommet 222 has a central hole 224 having diameter small enough to grip the  
15 circumferential surface 214 of the GRIN lens 210.

[0033] In use, GRIN lens 210 of each optode is inserted into hole 224 of the associated elastic grommet 222, as shown in Figure 6; the cap is then secured to the head of a subject such that the convex surface or curved end 211 of each optode is held adjacent to the scalp of a subject (not shown), and the optical fiber 202 is  
20 coupled to an appropriate light source or light measurement device.

[0034] An alternative embodiment of the optode is illustrated in Figure 7. In this embodiment, the optode body 206 has a tubular extension 230 adjacent to a circumferential surface of GRIN lens 210 such that mechanical stresses are passed directly from body 206 extension 230 into grommet 222.

[0035] To perform functional neuroimaging, many optodes, typically in an array of from 24 to 64 optodes, are provided in a cap. Each optode is optically coupled to a suitable light source, such as a pulsed, continuous wave or frequency modulated laser, and/or a suitable light detection and measurement device such as an avalanche photodiode or a photomultiplier tube; the light sources periodically transmit  
25 light into the subject's head and the light detection and measurement devices periodically make measurements of transmitted light that are then processed by a computer to determine a pattern of blood oxygenation and volume in the brain of the subject.  
30

[0036] Optodes typically operate in transmitter/receiver optode pairs. Transmitter optodes pass light from a light source through a scalp surface through tissue including bone into a subject's brain, some of this light is absorbed and some is scattered back out through bone and scalp back through a receiver optode to a light  
5 detection and measurement apparatus. Each transmitter optode, and each receiver optode, participates in multiple transmitter/receiver pairs. The detection and measurement apparatus detects variations in light absorption by tissue beneath and between the optodes of a pair. A computer processes these variations and measurements from multiple transmitter/receiver pairs into an image and may also  
10 perform spectroscopic analysis if multiple wavelengths of light are used.

[0037] It is known that heme changes color with oxygenation. Oxygenated arterial blood (having heme in hemoglobin) tends to be a brighter red while deoxygenated venous blood tends to have a bluer color. This is a consequence of changes in optical absorption of heme with oxygenation that occurs in the near-  
15 infrared as well as visible wavelengths.

[0038] It is therefore possible to determine both heme concentration and approximate oxygenation along the scattering path between transmitter and receiver optode pairs by measuring differences in scattering and absorption at several wavelengths of red and near-infrared light.

[0039] Each time a neuron fires, a small amount of that neuron's reserves of Adenosine TriPhosphate (ATP) is consumed in repolarizing the neuron; mitochondria of the neuron then recharge the ATP supply through a process that consumes oxygen. Areas of the brain having high activity therefore have higher oxygen consumption than those areas having low activity. This increased oxygen  
25 consumption in the active areas is associated with an increase in blood flow in those portions of the brain and results in localized increases in blood volume and oxygen levels – the so called hemodynamic response to brain activation that is monitored by fMRI. The increased blood flow may also lead to an increase in heme concentration along with changes in oxygen tension in active areas of the brain.

[0040] It is therefore desirable to measure differences in optical absorption along the paths between optodes at several wavelengths of light, as well as measuring overall absorption and phase changes in the emitted light; these measurements can  
30



give indications of heme concentration and oxygenation and flow and can thereby monitor changes in activity of areas of the brain along optical paths between optodes.

[0041] Each individual optode may, and usually does, participate in more than one transmitter/receive optode pair, with each pair having an optical path through the head between the optodes of the pair. Pairs may be distinguished by time multiplexing or by frequency encoding modulation on the lasers. Pairs and pathways may also be distinguished by operating transmitter optodes at different wavelengths while using frequency-selective light detection and measurement devices. Pairs, including transmit optodes of the same groups, operating at the same time and frequency but positioned sufficiently far apart on the head that the light transmission along paths between them is effectively zero can also be distinguished from each other and attenuation of pathways between such pairs may be measured simultaneously.

[0042] In an embodiment, transmit optodes are grouped into transmit optode groups, as illustrated in Figure 8. In a transmit optode group each transmit optode, such as optode 402 is fed by an optical guide that is an optical fiber bundle that is quadfurcated – or divided into four separate subguides or strands 404, 406, 408, 410, where each strand 404, 406, 408, 410 has at least one optical fiber, the optical fiber of each strand extending into the optode body 206 and coupled to prism 208.

[0043] In this embodiment, each strand 404, 406, 408, 410 is coupled to be fed by a separate laser of a laser group, such as laser 412 feeding into strand 404, laser 414 feeding into strand 406, laser 416 feeding into strand 408, and laser 418 feeding into strand 410. Each of the four lasers 412, 414, 416, 418 of a laser group operates at a separate wavelength, in an embodiment laser 412 is at six hundred ninety nanometers, laser 414 operates at seven hundred eighty five nanometers, laser 416 operates at eight hundred eight nanometers, and laser 418 operates at eight hundred thirty nanometers.

[0044] Since it would be expensive, albeit functional, to have a four laser laser-group, including lasers 412, 414, 416, 418, for each transmit optode; in an embodiment each laser, such as laser 412, feeds strands, such as strands 404, 420, 422, 424 coupled to multiple optodes, such as optodes 402, 426, 428, 430. This architecture permits construction of the system for significantly lower cost than fMRI

machines. Similarly, the remaining lasers 414, 416, and 418 of the laser group are coupled through optical fiber strands of quadfurcated cables to all four optodes 402, 426, 428, 430, of the optode group.

5 [0045] The four optodes of each transmit optode group are placed in the cap at locations such that pathways through the head to each associated receive optode are short enough to produce strong signals from only one transmit optode of each group, while pathways from other optodes of the group are significantly longer. For example, as illustrated in Figure 12, if optode 610 is in a first group, nearby transmit optodes 602, 612, 630 belong to different groups that have transmit lasers 10 either illuminated at different times, or carrying different frequency modulations. The remaining optodes in the first group are well separated on the head and in the cap, for example and not limitation the optodes of the first group may include optodes 620, 652, and 658 in addition to optode 610. This permits disambiguation of the optode-optode paths.

15 [0046] In a time-division embodiment, one laser selected from lasers 412, 414, 416, 418 of the transmit optode group is illuminated at a time, and that illumination time is distinguished by being illuminated at a time separated from times of illumination of similar wavelengths of other transmit optode groups.

[0047] In an alternative embodiment, each laser of lasers 412, 414, 416, 20 418 is amplitude-modulated at a frequency that is unique within the group, but all four lasers 412, 414, 416, 418 generate light simultaneously. With this embodiment, electronics associated with each receive optode determines at least an intensity of modulation at each laser modulation frequency to disambiguate the lasers and to determine spectral characteristics of absorption along paths from transmit optodes to 25 the receive optode.

[0048] Since all four optodes 402, 426, 428, and 430, of the optode group are illuminated simultaneously, at the same wavelength, and with the same modulation, these optodes are preferably placed well apart on the head such that light emitted from each optode, such as optode 402, does not reach receive optodes near, 30 and having significant optical paths to, another optode, such as optode 426 of the group.

[0049] In an alternative embodiment, the fibers of each transmit optode are bifurcated instead of quadfurcated, and each is coupled to only two lasers operating on different wavelengths.

[0050] A standard arrangement of electroencephalographic electrodes, known as the 10-20 electrode pattern, is illustrated in Figure 7. The 10-20 international system includes 19 scalp electrodes and 2 reference electrodes on the ears.

[0051] In an embodiment where it is desired to correlate electroencephalographic measurements with NIR-DOTFNI, the cap has an array of low-contact-area electroencephalographic electrodes interspersed with a pattern of transmitter and receiver optodes for NIR-DOTFNI. For example, in the embodiment of Figure 11, the electroencephalographic electrodes are laid out in a cap in a standard 10-10 electrode pattern, which includes the 10-20 electrodes. In the embodiment of Figure 11, most of the electroencephalographic electrodes (see Figure 11 for key), with exception of reference electrodes and some electrodes near periphery of the pattern, are arranged such that a transmitter and a receiver optode of a sixty-four optode array are on approximately opposite sides of each of the electroencephalographic electrodes; such that the optode array provides for measurement of neurovascular activity beneath at least three fourth of the electroencephalographic electrodes.

[0052] The cap of Figure 10 using the optodes of Figure 4 may be used without shaving a subject's hair because the GRIN lenses tend to displace hair and to make contact primarily with skin of the scalp.

[0053] Similarly, the embodiment of Figure 10 provides an arrangement for the cap where each electroencephalographic electrode in the standard "10-20" pattern of Figure 9, with exception of reference electrodes, has a transmitter and a receiver optode of an optode array are on approximately opposite sides of each of the electroencephalographic electrodes; such that the optode array provides for measurement of neurovascular activity beneath at least three fourths of the electroencephalographic electrodes.

[0054] In the embodiments of Figures 10 and 11, the electroencephalographic electrodes are sensitive to neural activity primarily beneath each electrode, while the optodes are primarily sensitive to activity along an optical

path describing an arc between the optodes, the arrangements of Figures 10 and 11 therefore provide coincident electroencephalographic and NIR-DOTFNI imaging.

[0055] An embodiment according to Figure 11 has quadfurcated transmit optode groups as illustrated in Figure 12.

5 [0056] The transmit optodes of each group are coupled to transmit lasers as illustrated in the system diagram of Figure 13. In this embodiment, each of the thirty-two receive optodes 504 is coupled by a straight-through optical fiber -- a fiber that is neither bifurcated nor quadfurcated -- to an avalanche photodiode 506 for detecting transmitted light from one or more transmit optodes. In a more-expensive  
10 alternative embodiment, each receive optode 504 is coupled by a bifurcated or quadfurcated optical fibers, these fibers couple through filters for selecting specific laser wavelengths to avalanche photodiodes.

[0057] The avalanche photodiode 506 is coupled to a data acquisition system that uses signals from the photodiode 506 to measure at least an attenuation at  
15 each wavelength from transmit optodes 510 of at least one transmit optode group; this attenuation information is provided to a processor 512 for image construction. The processor 512 also activates transmit lasers 514 of each transmit optode group, providing near-infrared light to the transmit optodes.

[0058] It should be noted that the matter contained in the above  
20 description or shown in the accompanying drawings should be interpreted as illustrative and not in a limiting sense. The following claims are intended to cover generic and specific features described herein, as well as all statements of the scope of the present method and system, which, as a matter of language, might be said to fall therebetween.

## CLAIMS

What is claimed is:

1. An optode for interfacing light to biological tissue comprising:  
at least one flexible light guide;  
5 a prism optically coupled to the flexible light guide;  
a graded incidence (GRIN) refractive lens having a circumferential surface, a  
convex surface and a flat surface, the flat surface optically coupled to  
the prism; and  
a body for holding the optical fiber, prism, and GRIN lens;  
10 wherein the convex surface of the graded incidence refractive lens is capable  
of interfacing light to biological tissue.
2. The optode of claim 1 further comprising an elastomeric grommet  
having a hole, the circumferential surface of the GRIN lens secured in the hole of the  
elastomeric grommet.
- 15 3. The optode of claim 1 wherein the body has a tubular extension, the  
extension having an inner surface in contact with the circumferential surface of the  
GRIN lens and an outer surface in contact with an elastomeric grommet.
4. The optode of claim 1 wherein the GRIN lens and flexible light guide  
are optimized for transparency in the infrared.
- 20 5. The optode of claim 1 wherein the flexible light guide comprises at  
least one optical fiber.
6. The optode of claim 1 wherein the flexible light guide comprises at  
least two optical fibers, and wherein the flexible light guide is at least bifurcated into a  
first and a second subguide.
- 25 7. An optode for interfacing light to biological tissue comprising:  
at least one flexible light guide;  
a prism optically coupled to the flexible light guide;

a refractive lens having at least a convex surface and a second surface, the second surface optically coupled to the prism; and

a body for holding the optical fiber, prism, and refractive lens;

wherein the convex surface of the incidence refractive lens is capable of

5 interfacing light to biological tissue; and

wherein the flexible light guide comprises a plurality of optical fibers and

divides into a plurality of subguides, and wherein at least a first optical

fiber of the plurality of fibers extends from the body to an end of a first

subguide of the subguides, and wherein at least a second optical fiber

10 of the plurality of fibers extends from the body to an end of a second subguide of the subguides.

8. The optode of claim 7 wherein the lens is a GRIN lens.

9. The optode of claim 8 wherein the body has a tubular extension, the extension having an inner surface in contact with a circumferential surface of the lens and an outer surface for contacting an elastomeric grommet.

10. The optode of claim 8 wherein the GRIN lens and flexible light guide are optimized for transparency in the infrared.

11. A cap for attachment to a head of a subject comprising an elastomeric material having a plurality of holes, at least two of the plurality of holes having elastomeric grommets inserted therein, the elastomeric grommets having secured therein an optode, the optode comprising a lens optically coupled to a prism, the prism being optically coupled to at least one optical fiber.

12. The cap of claim 11 wherein the lens is a GRIN lens.

13. The cap of claim 11 further comprising at least two electroencephalographic electrodes.

14. The cap of claim 13 wherein the at least two electroencephalographic electrodes comprise electrodes in the 10-20 pattern, and wherein the optodes provide for optical paths beneath each electrode of the at least two electrodes pattern.

15. The cap of claim 13 wherein the at least two electroencephalographic electrodes comprise electrodes in the 10-10 pattern, and wherein the optodes provide for optical paths beneath each electrode of the 10-10 pattern.

5 16. The cap of claim 13 wherein the at least two electroencephalographic electrodes comprise at least fifteen electrodes in the 10-20 pattern, and wherein the optodes provide for optical paths beneath each electrode of the at least fifteen electrodes.

10 17. The cap of claim 13 wherein the at least two electroencephalographic electrodes comprise at least thirty electrodes in the 10-10 pattern, and wherein the optodes provide for optical paths beneath each electrode of the at least thirty electrodes.

18. A system for performing optical functional neuroimaging comprising:  
at least one receive optode coupled by an optical guide to at least one photodiode;  
15 at least one data acquisition system coupled to the at least one photodiode;  
at least one processor for receiving information from the data acquisition system and for constructing images;  
a plurality of transmit lasers for generating light; and  
an optode having a divided optical guide coupled to a prism, where the prism  
20 is coupled to a lens for coupling light into biological tissue;  
wherein a first branch of the divided optical guide is coupled to be driven by a first laser of the plurality of lasers, and a second branch of the divided optical guide is coupled to be driven by a second laser of the plurality of lasers.

25 19. The system of claim 18 wherein a third branch of the divided optical guide is coupled to be driven by a third laser of the plurality of lasers, and a fourth branch of the divided optical guide is coupled to be driven by a fourth laser of the plurality of lasers.

30 20. The system of claim 18 further comprising a second optode, the second optode having a second divided optical guide, and wherein a first branch of the

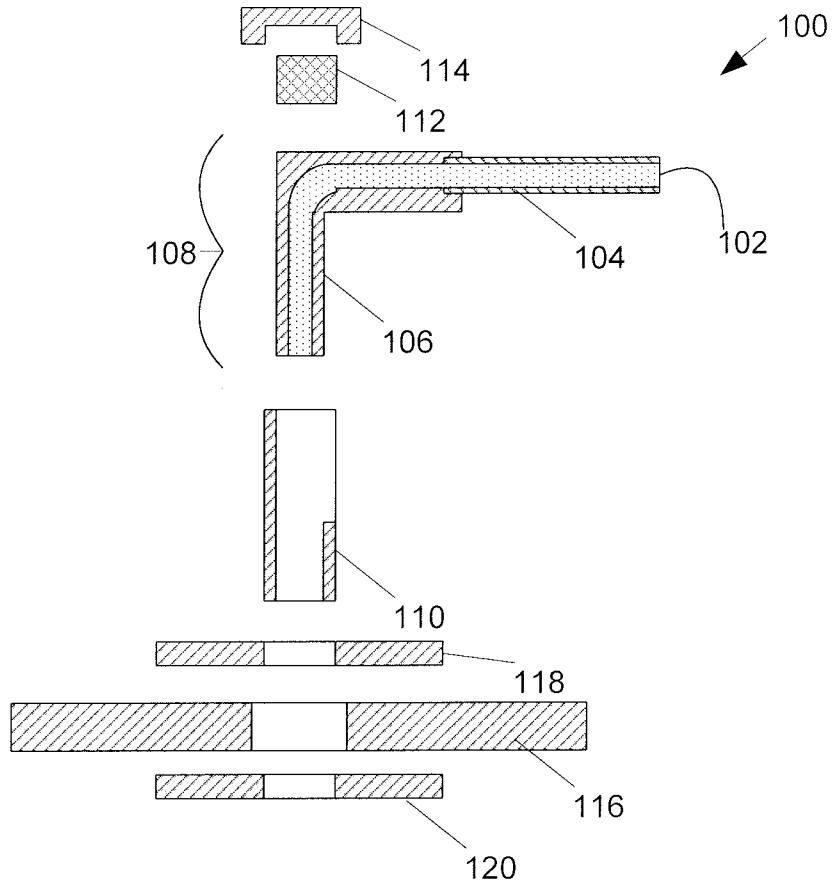
second divided optical guide is coupled to be driven by the first laser of the plurality of lasers, and a second branch of the second divided optical guide is coupled to be driven by the second laser of the plurality of lasers.

21. The system of claim 20, further comprising a third optode, the third  
5 optode having a third divided optical guide, and wherein a first branch of the second divided optical guide is coupled to be driven by a third laser of the plurality of lasers, the third laser not being coupled by an optical guide to the first optode.

22. The system of claim 20, further comprising a plurality of electroencephalographic electrodes coupled to the data acquisition system.



1/8



PRIOR ART

Figure 1

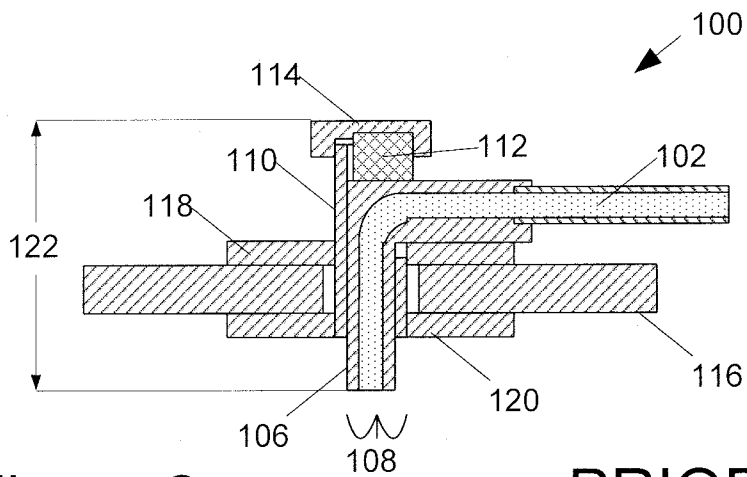


Figure 2

PRIOR ART

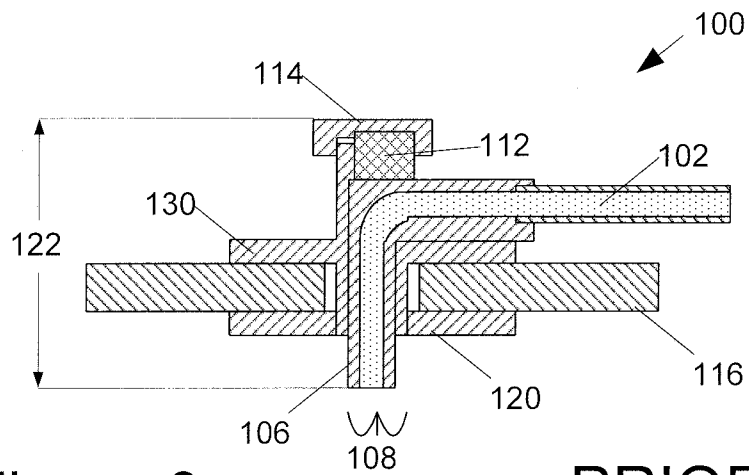


Figure 3

PRIOR ART

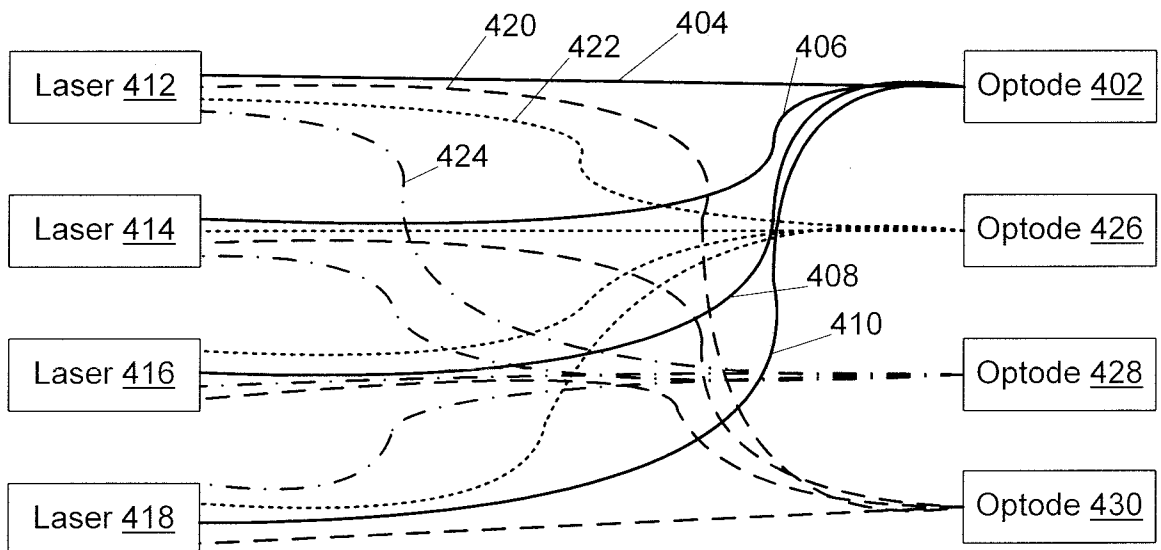


Figure 8

3/8

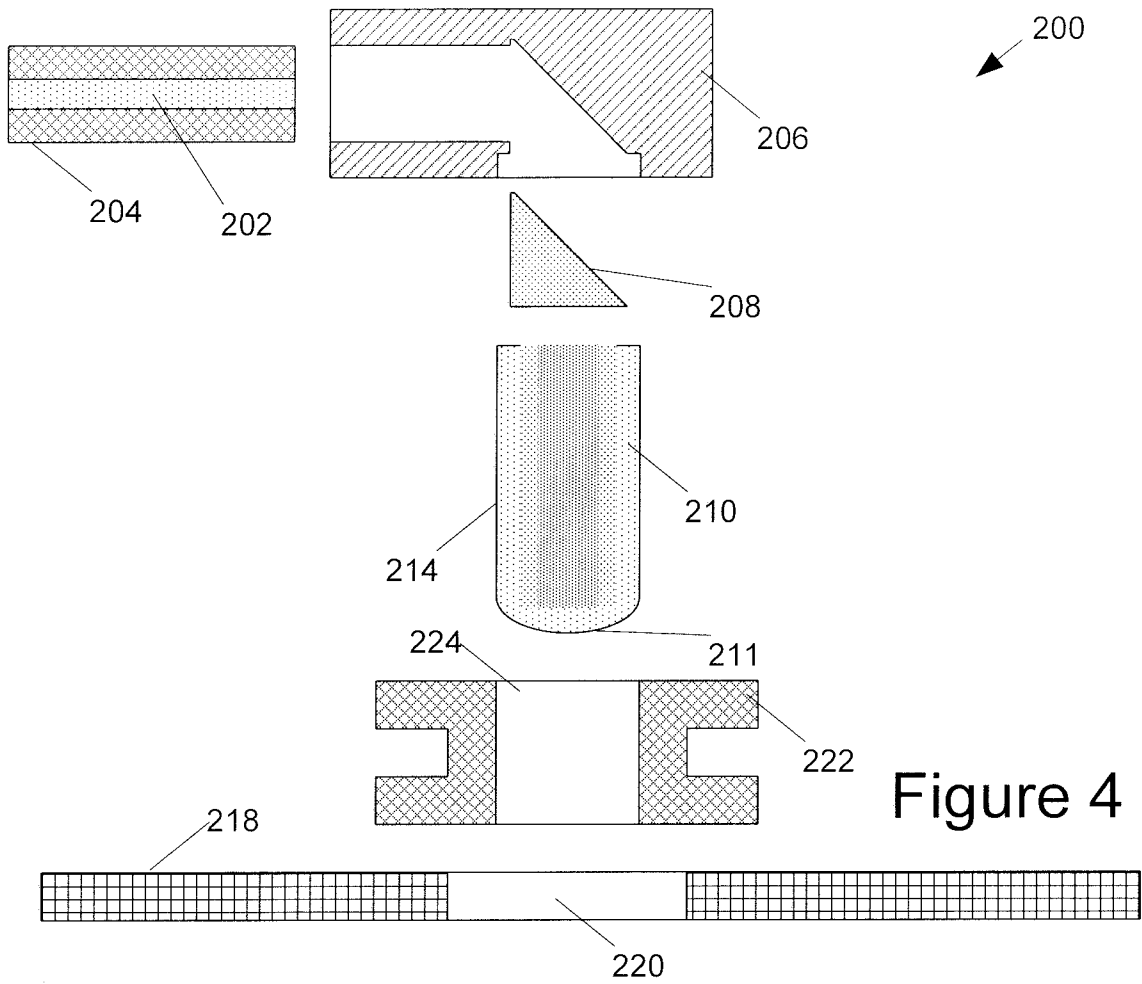


Figure 4

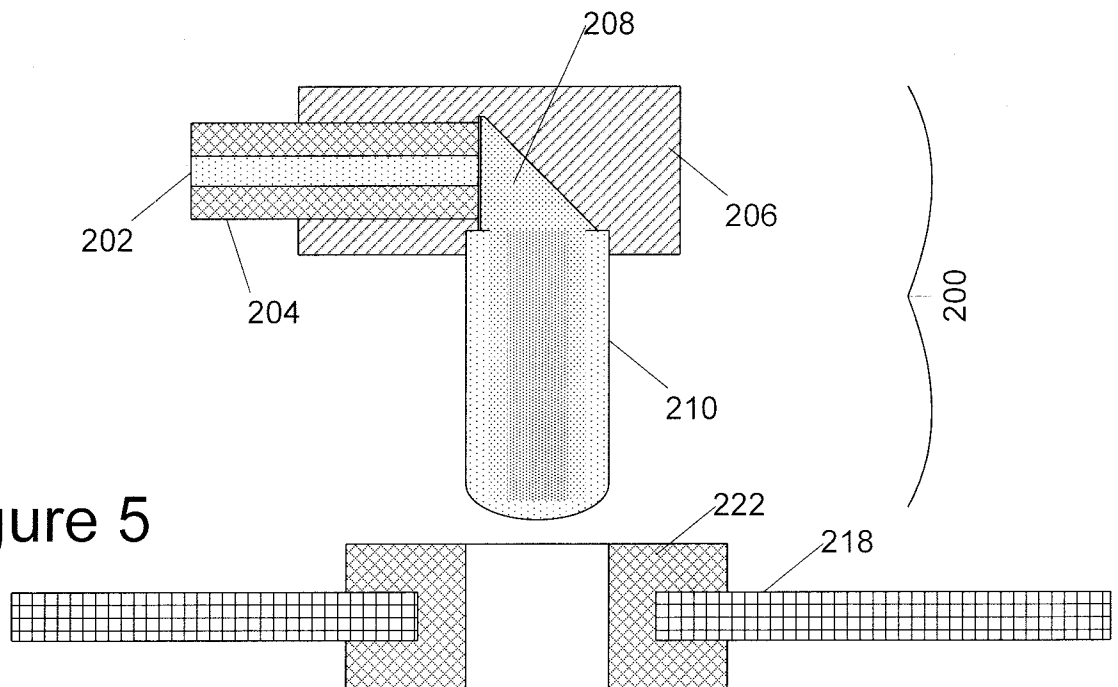
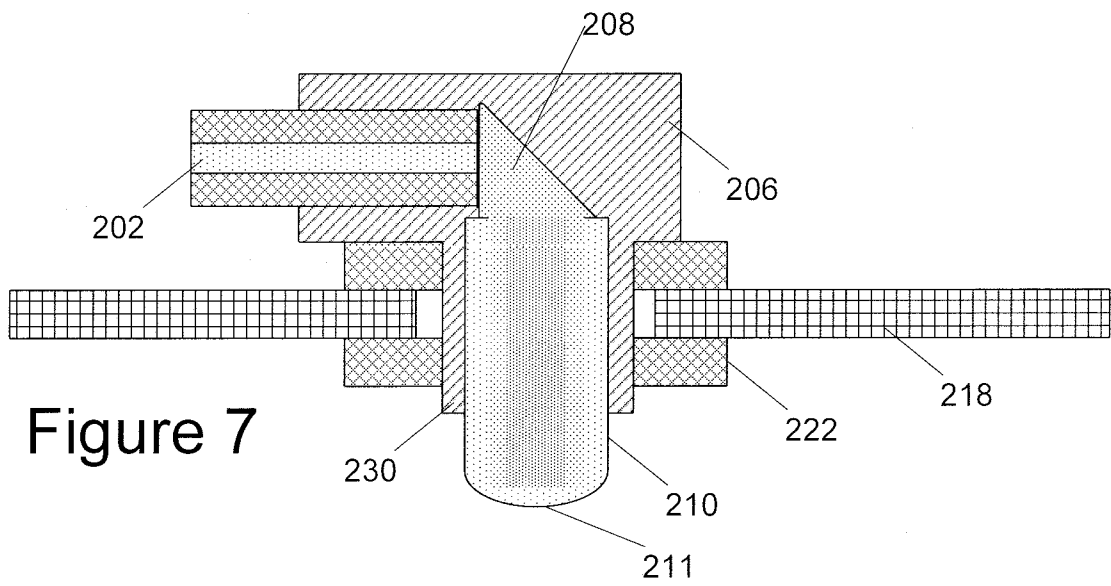
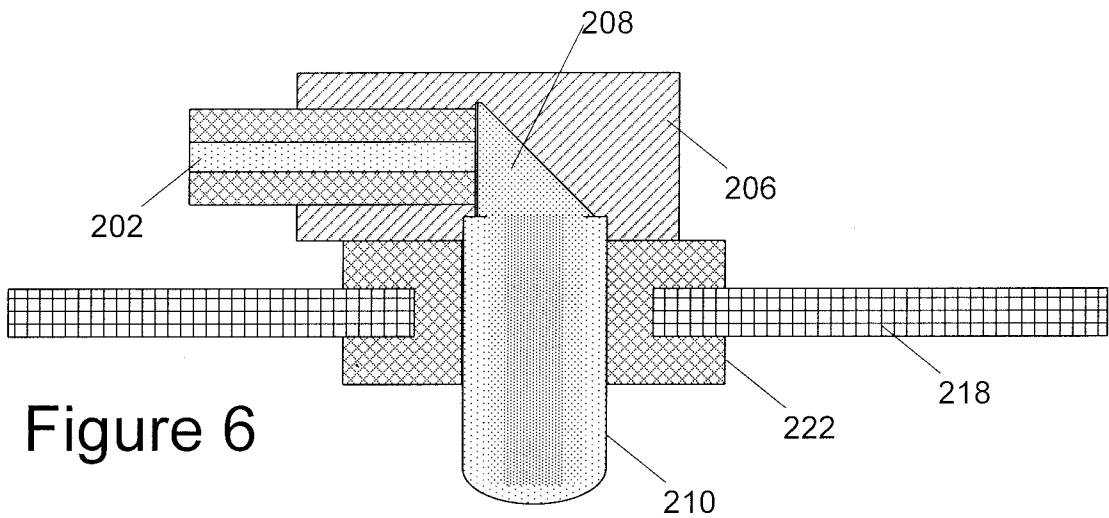
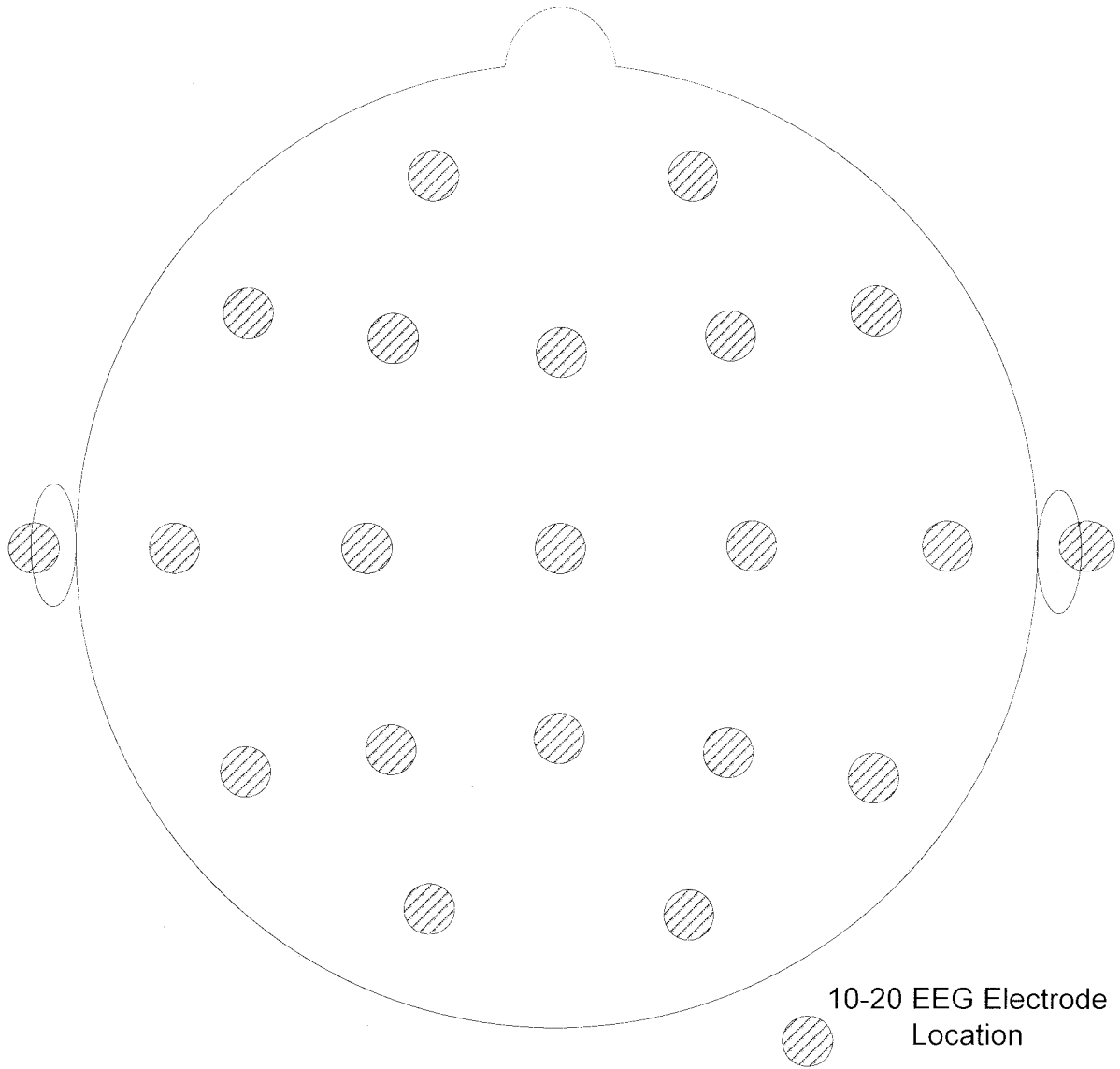


Figure 5





PRIOR ART  
FIGURE 9

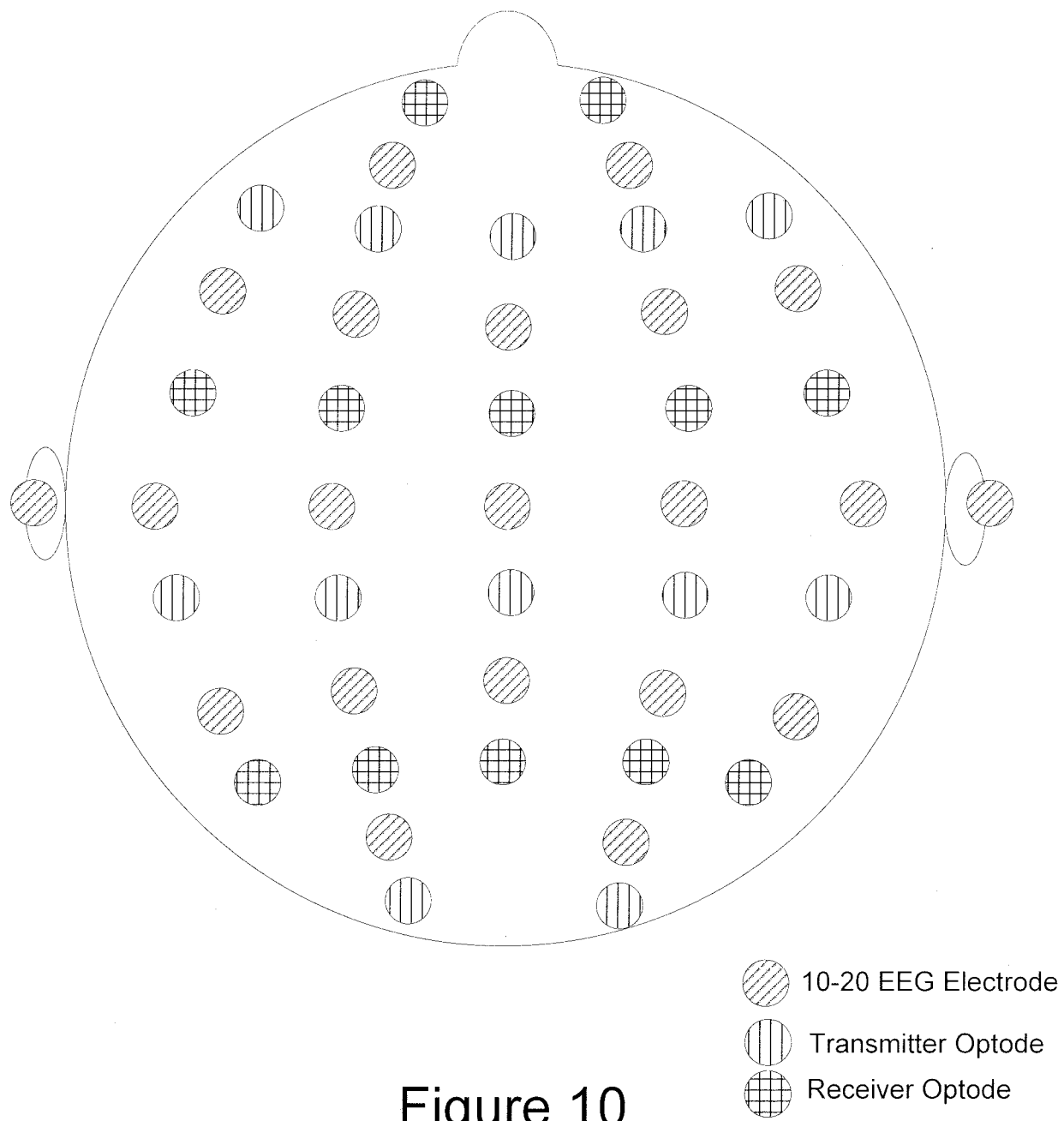


Figure 10

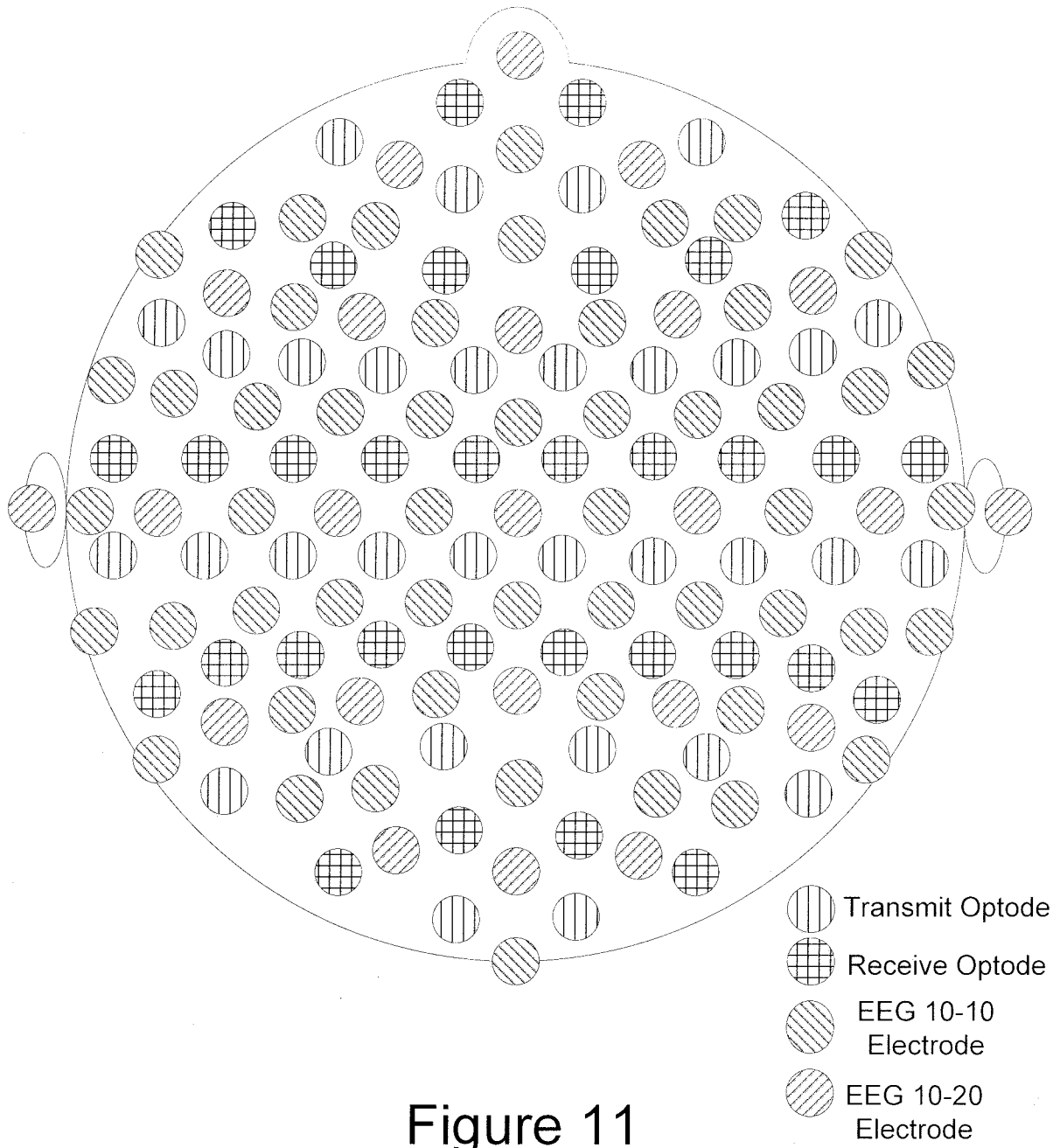


Figure 11

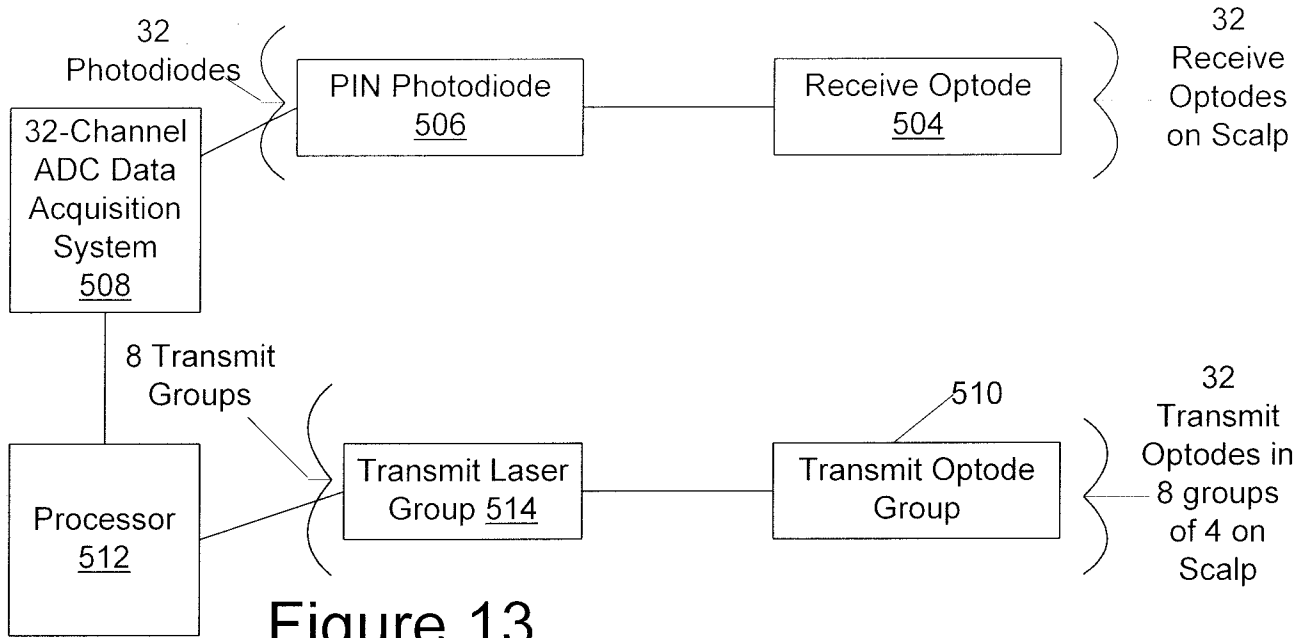


Figure 13

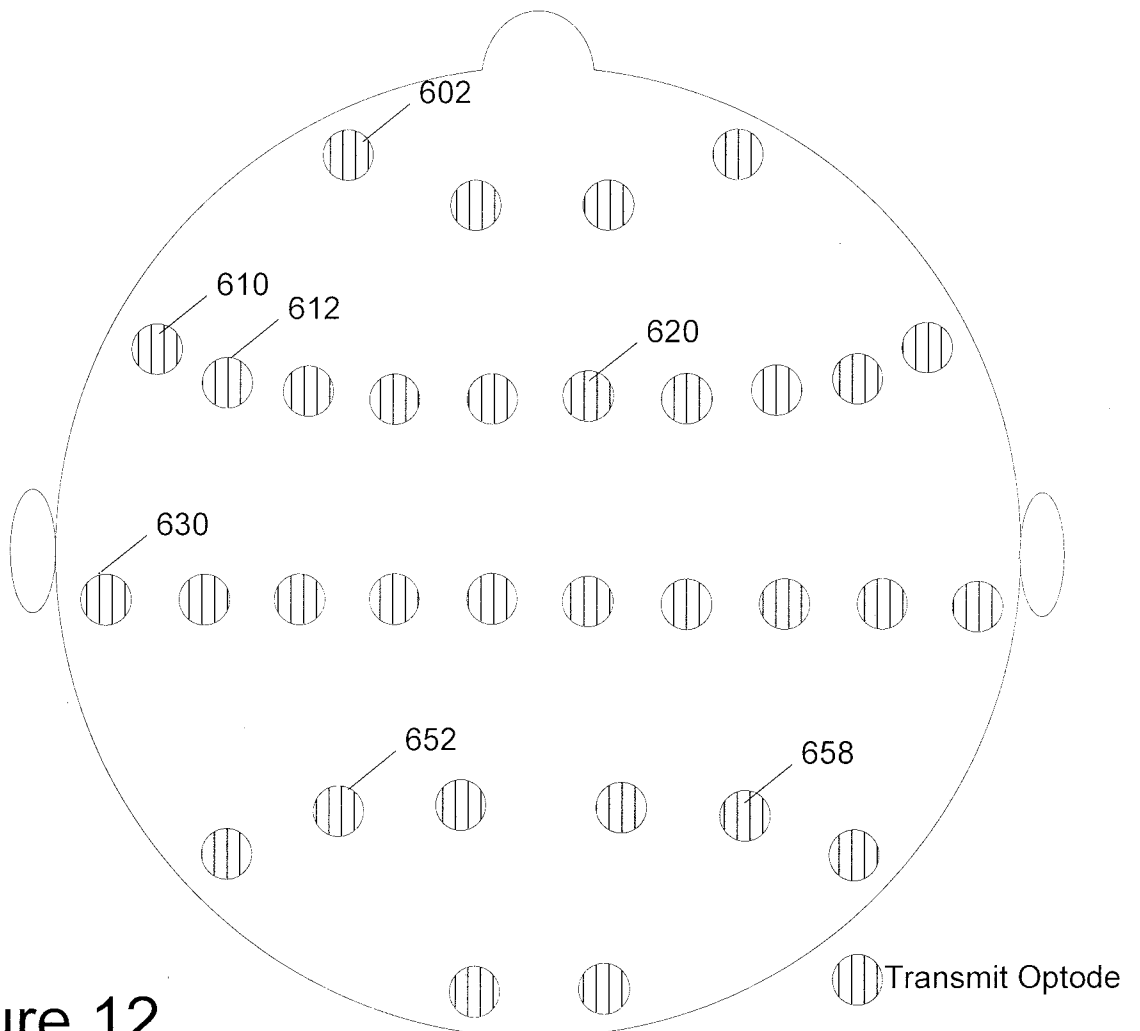


Figure 12



## INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2009/041560

## A. CLASSIFICATION OF SUBJECT MATTER

INV. A61B5/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 2007/048039 A (CAS MEDICAL SYSTEMS INC [US]; BENNI PAUL B [US]) 26 April 2007 (2007-04-26) figures 2-6 paragraphs [0030], [0033], [0039], [0041]	1-6
A	US 2004/106856 A1 (KIMURA MASAHIRO [JP]) 3 June 2004 (2004-06-03) paragraph [0089] figures 8,9	1-6
Y	US 5 321 501 A (SWANSON ERIC A [US] ET AL) 14 June 1994 (1994-06-14) figure 7 column 14, line 22 - line 35	1-6
	-/--	

 Further documents are listed in the continuation of Box C. See patent family annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

23 July 2009

Date of mailing of the international search report

21/10/2009

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2  
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Fax: (+31-70) 340-3016

Authorized officer

De la Hera, Germán

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2009/041560

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	DE 93 08 617 U1 (FA. CARL ZEISS, 7920 HEIDENHEIM, DE) 22 July 1993 (1993-07-22) figure 2a page 6  -----	1-6

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2009/041560

## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1.  As all required additional search fees were timely paid by the applicant, this international search report covers allsearchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-6

### Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-6

an optode for interfacing light to biological tissue  
---

2. claims: 7-10

connection of an optode by means of multiple optical fibre paths  
---

3. claims: 11-17

cap for allocating optodes  
---

4. claims: 18-22

several lasers driving an optode  
---

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2009/041560

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 2007048039 A	26-04-2007	US 2009182209 A1	16-07-2009
US 2004106856 A1	03-06-2004	AT 424140 T EP 1428471 A2	15-03-2009 16-06-2004
US 5321501 A	14-06-1994	DE 69227902 D1 DE 69227902 T2 EP 0581871 A1 JP 3479069 B2 JP 6511312 T JP 3692131 B2 JP 2004105708 A US 5459570 A WO 9219930 A1	28-01-1999 17-06-1999 09-02-1994 15-12-2003 15-12-1994 07-09-2005 08-04-2004 17-10-1995 12-11-1992
DE 9308617 U1	22-07-1993	NONE	