(19) World Intellectual Property Organization

International Bureau





(43) International Publication Date 29 July 2004 (29.07.2004)

(10) International Publication Number WO 2004/064109 A3

(51) International Patent Classification: G01N 33/92 (2006.01) A61K 9/127 (2006.01)

(21) International Application Number:

PCT/US2003/024896

(22) International Filing Date: 7 August 2003 (07.08.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

10/219,440 15 August 2002 (15.08.2002)

THE ROCKEFELLER UNIVERSITY (71) Applicant: [US/US]; 1230 York Avenue, New York, NY 10021 (US).

(72) Inventors: DUBERTRET, Benoit; 504 East 63rd Street, Apt. 1517, New York, NY 10021 (US). NOIREAUX, Vincent; 220 East 70th Street, Apt. 6C, New York, NY 10021 (US). LIBCHABER, Albert; 1161 York Avenue, Apt. 12L, New York, NY 10021 (US).

(74) Agent: FEIT, Irving, N.; Hoffmann & Baron, LLP, 6900 Jericho Turnpike, Syosset, NY 11791 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- (88) Date of publication of the international search report: 6 December 2007

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



(54) Title: WATER SOLUBLE METAL AND SEMICONDUCTOR NANOPARTICLE COMPLEXES

(57) Abstract: The invention provides a water soluble complex comprising an inner core of a metal or semi-conductor nanoparticle. The nanoparticle is coated with a hydrophobic ligand, which is encapsulated in a micelle. In an aqueous medium, the micelle comprises a hydrophilic shell and a hydrophobic core, the hydrophilic shell comprising a plurality of hydrophilic moieties, the hydrophobic core comprising a plurality of hydrophobic moieties, each hydrophobic moiety comprising at least one chain, each chain comprising a minimum of 8 atoms; wherein the total number of atoms in all chains for each moiety comprises at least 24 atoms. The micelle has a minimum average diameter of approximately 5 nm and a maximum average diameter of approximately 45 nm.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/24896

A. CLASSIFICATION OF SUBJECT MATTER IPC: G01N 33/92(2006.01); A61K 9/127(2006.01)			
USPC: 428/402.2,436/829;424/450 According to International Patent Classification (IPC) or to both national classification and IPC			
B. FIELDS SEARCHED			
Minimum documentation searched (classification system followed by classification symbols) U.S.: 428/402.2; 436/829; 424/450; 252/301.4			
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched			
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EAST			
C. DOCUMENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where ap	ppropriate, of the relevant passages	Relevant to claim No.
X 	COLUMN 6, LINE 30 TO COLUMN 7, LINE 34; COLUMN 6, LINES 48-51; COLUMN 12, LINES 10-37.		1-3, 37, 38, 42-56, 71- 74, 76-83
1			4-10, 13-36, 39-41, 60- 70, 75, 84-89
Y US 5,817,856 (TIROSH ET AL) 6 OCTOBER 1998 (56; COLUMN 5, LINE 54 TO COLUMN 6 LINE 6.		(06.10.1998), COLUMN 3, LINES 40-	4-10, 32-35, 36
Y	US 5277913 A (THOMPSON ET AL) 11 JANUARY	7 1994 (11.01.1994), CLAIM 18	11, 12
Further	documents are listed in the continuation of Box C.	See patent family annex.	-
* Special categories of cited documents:		"T" later document published after the inten	
"A" document defining the general state of the art which is not considered to be of particular relevance		and not in conflict with the application but cited to understand the principle or theory underlying the invention	
"E" earlier application or patent published on or after the international filing date		"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)		"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious	
"O" document	t referring to an oral disclosure, use, exhibition or other means	to a person skilled in the art	
"P" document published prior to the international filing date but later than the priority date claimed		"&" document member of the same patent family	
		Date of mailing of the international sear 25 SEP	ch report
	007 (23.08.2007)	Authorized office)	to the second se
Name and mailing address of the ISA/US Mail Stop PCT, Atm: ISA/US Commissioner of Patents		James Seidleck	
P.O. Box 1450 Alexandria, Virginia 22313-1450		Talanhana No. (571) 272 1079	
l .	xandria, Virginia 22313-1450 D. (571) 273-3201	Telephone No. (571) 272-1078	

Form PCT/ISA/210 (second sheet) (July 1998)