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(54) Title: IMPROVED HIGH CAPACITY RECHARGEABLE BATTERIES

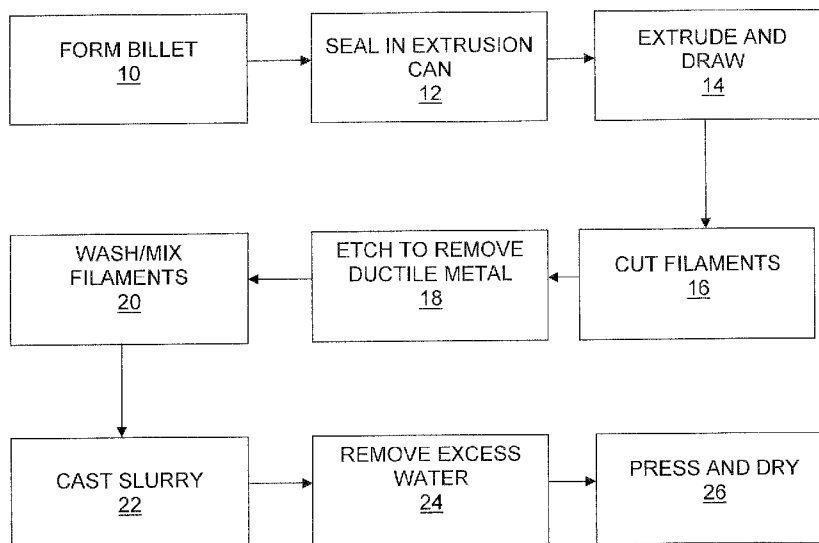


FIG. 1

(57) Abstract: An electrically active electrode material for use with a lithium ion cell, a lithium ion cell, and a method for forming the electrochemically active material electrode material are described. The electrode material is in the form of a sheet or mat formed of a valve metal material formed of filaments of a valve metal not larger than about 10 microns in cross section, and coated with an electrochemically active material such as silicon nanoparticles.



IMPROVED HIGH CAPACITY RECHARGEABLE BATTERIES

The demand for high capacity rechargeable batteries is strong and increasing each year. Many applications, such as aerospace, medical devices, portable electronics, and automotive applications, require high gravimetric and/or volumetric capacity cells. Lithium ion electrode technology can provide significant
5 improvements in this area. However, to date, lithium ion cells employing graphite, is limited to theoretical specific energy density of only 372 mAh/g.

Silicon, germanium, tin, and many other materials are attractive active materials because of their high electrochemical capacity. For example, silicon has a
10 theoretical capacity of about 4200 mAh/g, which corresponds to the $\text{Li}_{0.44}\text{Si}$ phase. Yet, many of these materials are not widely used in commercial lithium ion batteries. One reason is that some of these materials exhibit substantial changes in volume during cycling. For example, silicon swells by as much as 400% when charged to its theoretical capacity. Volume changes of this magnitude can cause
15 substantial stresses in the active material structures, resulting in fractures and pulverization, loss of electrical and mechanical connections within the electrode, and capacity fading.

Conventional electrodes include polymer binders that are used to hold active materials on the substrate. Most polymer binders are not sufficiently elastic to
20 accommodate the large swelling of some high capacity materials. As a result, active material particles tend to separate from each other and the current collector. Overall, there is a need for improved applications of high capacity active materials in battery electrodes that minimize the drawbacks described above.

The foregoing discussion of the prior art derives from U.S. Patent 8,257,866
25 and 8,450,012 in which the inventors propose addressing the elasticity and swelling problems of prior art materials by providing electrochemically active electrode materials comprising a high surface area template containing a metal silicide and a layer of high capacity active material deposited over the template. The template reportedly serves as a mechanical support for the active material and/or an electrical
30 conductor between the active material and, for example, a substrate. According to the inventors, due to the high surface area of the template, even a thin layer of the active material can provide sufficient active material loading and corresponding electrode

capacity per surface area. As such, the thickness of the active material layer may be maintained sufficiently small to be below its fracture threshold to preserve its structural integrity during battery cycling. The thickness and/or composition of the active layer may also be specifically profiled to reduce swelling near the substrate interface and preserve the interface connection.

The present invention overcomes the aforesaid and other disadvantages of the prior art by providing electrodes formed of extremely fine filaments of the valve metal tantalum or other valve metals produced following the teachings of my prior U.S. Patents 5,034,857 and 8,673,025, the contents of which are incorporated herein by reference.

In my prior U.S. Pat. No. 5,034,857, I disclose an approach to the production of extremely fine valve metal filaments, such as tantalum, for capacitor use. The benefits of fine filaments relative to fine powders are higher purity, lower cost, uniformity of cross section, and ease of dielectric infiltration, while still maintaining high surface area for anodization. The uniformity of cross section results in capacitors with high specific energy density, lower ESR and ESL, and less sensitivity to forming voltage and sintering temperature as compared to fine powder compacts.

As disclosed in my aforesaid '857 U.S. patent, valve metal filaments, preferably tantalum, are fabricated by combining filaments of the valve metal with a ductile metal so as to form a billet. The second, ductile metal is different from the metal that forms the filaments. The filaments are substantially parallel, and are separated from each other and from the billet surface by the second, ductile metal. The billet is reduced by conventional means--e.g., extrusion and wire drawing--to the point where the filament diameter is in the range of 0.2 to 5.0 microns in diameter. At that point, the second, ductile metal is removed, preferably by leaching in mineral acids, leaving the valve metal filaments intact. The filaments are suitable for use in tantalum capacitor fabrication.

Other patents involving valve metal filaments and fibers, their fabrication, or articles made therefrom include U.S. Pat. Nos. 3,277,564, (Webber), 3,379,000 (Webber), 3,394,213, (Roberts), 3,567,407 (Yoblin), 3,698,863 (Roberts), 3,742,369 (Douglass), 4,502,884 (Fife), 5,217,526 (Fife), 5,306,462 (Fife), 5,284,531 (Fife), and 5,245,514 (Fife).

See also my earlier U.S. Pat. No. 5,869,196 in which I describe a process for fabrication of fine-valve metal filaments for use as porous metal compacts used in the manufacture of electrolytic capacitors. According to my '196 U.S. patent, a metal billet consisting of multiple filaments of a valve metal, preferably tantalum, is
5 contained within and spaced apart by a ductile metal, preferably copper. The billet is reduced by conventional means, such as extrusion and wire drawing, the resulting composite product is cut into lengths, and the ductile metal separating the valve metal components is removed by leaching in acid. A similar compaction technique has been proposed to fabricate composites by providing continuous layers of tantalum and
10 copper sheets layered together in a jellyroll. The jellyroll is then reduced to a small size by extrusion and drawing. Starting with sheets of tantalum and copper offers advantages over working with filaments. However, at reduced sizes, the copper cannot readily be leached out due to the presence of the continuous tantalum layers.

Also, in my prior U.S. Patent 8,858,738, I describe improvements over the
15 prior art much as described in my '196 U.S. patent by creating one or more open slots in the starting billet stage and filling the slots with ductile metal prior to extrusion and drawing. After extrusion and drawing to small size, the slots remain. As a result, the ductile metal readily may be leached and removed from between the tantalum layers. The resulting product is a series of compacted tantalum layers each progressively of
20 smaller width. In one embodiment of the invention, continuous layers of tantalum and copper are layered together in a jellyroll and formed into a billet which is circular in cross-section, and the slots are concentrically evenly spaced radially around the billet. The resulting product is a series of concentric split tubes each progressively of smaller diameter towards the center.

25 As described in my '738 patent, employing a foil or sheet of tantalum as opposed to filaments greatly simplifies assembly of the billet. Employing sheet tantalum also ensures greater uniformity since the thickness of the starting sheet can be controlled more readily than using a multiple of separate filaments. This in turn produces substantially more uniform capacitor material resulting in substantially
30 higher values of CV/g. See also my prior U.S. Patent 8,257,866 and PCT/US2008/086460.

I have now found that electrodes formed of extremely fine valve metal filaments as described in my aforesaid U.S. Patents advantageously may be employed as electrode material for high capacity rechargeable batteries, particularly lithium ion rechargeable batteries.

5 The present invention in one aspect provides an electrically active electrode material for use with a lithium ion cell, the electrochemically active material electrode material comprising a sheet or mat formed of a valve metal material formed of filaments of a valve metal not larger than about 10 microns in cross section, and coated with an electrochemically active material.

10 In another embodiment the valve metal is selected from the group consisting of tantalum, niobium, an alloy of tantalum, an alloy of niobium, hafnium, titanium and aluminum

In another embodiment the filaments have a thickness of less than about 5-10 microns, preferably below about 1 micron.

15 In another embodiment the electrochemically active material comprises silicon nanoparticles.

In still yet another embodiment the electrode material is formed into an anode.

The present invention also provides a method of forming an electrode substrate useful for forming a lithium ion battery comprising the steps of:

- 20 (a) establishing multiple components of a valve metal in a billet of a ductile material;
- (b) working the billet to a series of reduction steps to form said valve metal components into elongated elements;
- (c) cutting the elongated elements from step (b) into filaments not larger
25 than about 10 microns, and leaching the ductile material from the elements;
- (d) washing the cut elements from step (c) with water to form a slurry in which the filaments are evenly distributed;
- (e) forming the cut elements from step (d) by casting into a stable mat; and
30 (f) coating the mat resulting from step (e) with an electrochemically active material.

In one embodiment the valve metal is selected from the group consisting of tantalum, niobium, an alloy of tantalum, an alloy of niobium, hafnium, titanium and aluminum.

In another embodiment the filaments have a thickness of less than about 5-10
5 microns, preferably below about 1 micron.

In still another embodiment, the electrochemically active material comprises silicon nanoparticles, germanium or tin.

In still yet another embodiment, the electrically active electrode material is formed into an anode.

10 The present invention also provides a lithium ion battery comprising an assembly containing an anode and a cathode separated from one another, and an electrolyte, wherein the anode is formed of electrically active electrode material as claimed in claim 1.

In one embodiment, the valve metal is selected from the group consisting of
15 tantalum, niobium, an alloy of tantalum, an alloy of niobium, hafnium, titanium and aluminum.

In another embodiment the filaments have a thickness of less than about 5-10 microns, preferably below about 1 micron.

In still yet another embodiment electrochemically active material comprises
20 silicon nanoparticles.

Further features and advantages of the present invention will be seen from the following detailed description, taken in conjunction with the accompanying drawings, wherein,

Fig. 1 is a schematic block diagram of a process for producing electrode
25 material useful in the present invention;

Fig. 2 is a simplified side elevational view showing casting of electrode material useful in accordance with the present invention;

Fig. 3 is a schematic block diagram of a process for producing a battery in accordance with the present invention;

30 Fig. 4 is a cross-sectional view of a rechargeable battery in accordance with the present invention;

Fig. 5 is a perspective view of a battery made in accordance with the present invention; and

Figs. 6 and 7 are SEM photographs of Ta filament at different magnification.

Referring to FIGS. 1 and 2, the production process starts with the fabrication
5 of valve metal filaments, preferably tantalum, by combining filaments or wires of tantalum with a ductile material, such as copper to form a billet at step 10. The billet is then sealed in an extrusion can in step 12, and extruded and drawn in step 14 following the teachings of my '196 U.S. patent. The extruded and drawn filaments are then cut or chopped into short segments, typically 1/16th-1/4th inch long at a
10 chopping station 16. Preferably the cut filaments all have approximately the same length. Actually, the more uniform the filament, the better. The chopped filaments are then passed to an etching station 18 where the ductile metal is leached away using a suitable acid. For example, where copper is the ductile metal, the etchant may comprise nitric acid.

15 Etching in acid removes the copper from between the tantalum filaments. After etching, one is left with a plurality of short filaments of tantalum. The tantalum filaments are then washed in water in a washing station 20, and the wash water is partially decanted to leave a slurry of tantalum filaments in water. The slurry of tantalum filaments in water is then cast as a thin sheet using, for example, a Doctor
20 Blade at casting station 22. Excess water is removed, for example, by rolling at a rolling station 24. The resulting mat is then further compressed and dried at a drying station 26.

As an alternative to "Doctor Blade formation", the thin sheet may be formed by spray casting the slurry onto to a substrate, excess water removed and the resulting
25 mat pressed and dried as before.

There results a highly porous thin sheet of tantalum filaments substantially uniform in thickness.

As reported in my aforesaid PCT application, an aqueous slurry of chopped filaments will adhere together sufficiently so that the fibers may be cast as a sheet
30 which can be pressed and dried into a stable mat. This is surprising in that the metal filaments themselves do not absorb water. Notwithstanding, as long as the filaments are not substantially thicker than about 10 microns, they will adhere together. On the

other hand, if the filaments are much larger than about 10 microns, they will not form a stable mat or sheet. Thus, it is preferred that the filaments have a thickness of less than about 10 microns, and preferably below 1 micron thick. To ensure an even distribution of the filaments, and thus ensure production of a uniform mat, the slurry preferably is subjected to vigorous mixing by mechanical stirring or vibration.

The density of the resulting tantalum mat may be varied simply by changing the final thickness of the mat.

Also, if desired, multiple layers may be stacked to form thicker mats 30 that may be desired, for example, for high density applications.

The resulting tantalum mat comprises a porous mat of sub-micron size tantalum filaments in contact with one another, whereby to form a conductive mat.

Referring to Figs. 3-5, mats 30 of electrode material produced by the process above described are then coated with a suitable electrochemical active material at coating station 31. For example, in the case of a lithium ion battery, the electrode material forming the mats should be coated with electrochemically active material such as silicon nanoparticles that take and release lithium ions during cycling of the lithium ion cell. The coated mats are then assembled in a stack at an assembly station 32, between separator sheets 34 to form positive (anode) and negative (cathode) electrodes 36, 38. The electrodes 36, 38 and separator sheets 34 are wound together in a jelly roll and inserted in the case 40 with a positive tab 42 and a negative tab 44 extending from the jelly roll in an assembly station 33. The tabs can then be welded to exposed portions of the electrode substrates, and the case filled with electrolyte and the case sealed. The result is a high capacity rechargeable battery in which the electrode material comprises extremely ductile fine metal filaments capable of repeatably charging and drain without adverse affect.

Figures 6 and 7 are SEM photographs of Ta filament at different magnification.

While the invention has been described in connection with the use of tantalum disposed within a copper matrix, valve metals other than tantalum, such as niobium, an alloy of tantalum or niobium, hafnium, titanium and its alloys can be used. Similarly, ductile metal matrix materials other than copper, such as copper-based

alloys, also may successfully be employed in the practice of the invention. Still other changes may be made without departing from the spirit and scope of the invention.

The invention claimed is:

1. An electrically active electrode material for use with a lithium ion cell, the electrochemically active material electrode material comprising a sheet or mat formed of a valve metal material formed of filaments of a valve metal not larger than about 10 microns in cross section, and coated with an electrochemically active material.
2. The electrically active electrode material of claim 1, wherein the valve metal is selected from the group consisting of tantalum, niobium, an alloy of tantalum, an alloy of niobium, hafnium, titanium and aluminum.
3. The electrically active electrode material of claim 1, wherein the filaments have a thickness of less than about 5-10 microns.
4. The electrically active electrode material of claim 1, wherein the filaments have a thickness below about 1 micron.
5. The electrically active electrode material of claim 1, wherein the electrochemically active material comprises silicon nanoparticles.
6. The electrically active electrode material of claim 1, formed into an anode.
7. A method of forming an electrode substrate useful for forming a lithium ion battery comprising the steps of:
 - (g) establishing multiple components of a valve metal in a billet of a ductile material;
 - (h) working the billet to a series of reduction steps to form said valve metal components into elongated elements;
 - (i) cutting the elongated elements from step (b) into filaments not larger than about 10 microns, and leaching the ductile material from the elements;
 - (j) washing the cut elements from step (c) with water to form a slurry in which the filaments are evenly distributed;
 - (k) forming the cut elements from step (d) by casting into a stable mat; and
 - (l) coating the mat resulting from step (e) with an electrochemically active material.

8. The electrically active electrode material of claim 7, wherein the valve metal is selected from the group consisting of tantalum, niobium, an alloy of tantalum, an alloy of niobium, hafnium, titanium and aluminum.
9. The electrically active electrode material of claim 7, wherein the filaments have a thickness of less than about 5-10 microns.
10. The electrically active electrode material of claim 7, wherein the filaments have a thickness below about 1 micron.
11. The electrically active electrode material of claim 7, wherein the electrochemically active material comprises silicon nanoparticles.
12. The electrically active electrode material of claim 7, formed into an anode.
13. A lithium ion battery comprising a case containing an anode and a cathode separated from one another, and an electrolyte, wherein the anode is formed of electrically active electrode material as claimed in claim 1.
14. The cell of claim 13, wherein the valve metal is selected from the group consisting of tantalum, niobium, an alloy of tantalum, an alloy of niobium, hafnium, titanium and aluminum.
15. The cell of claim 13, wherein the filaments have a thickness of less than about 5-10 microns.
16. The cell of claim 13, wherein the filaments have a thickness below about 1 micron.
17. The cell of claim 13, wherein the electrochemically active material comprises silicon nanoparticles.
18. The cell of claim 13, wherein the electrochemically active material comprises germanium or tin.

25

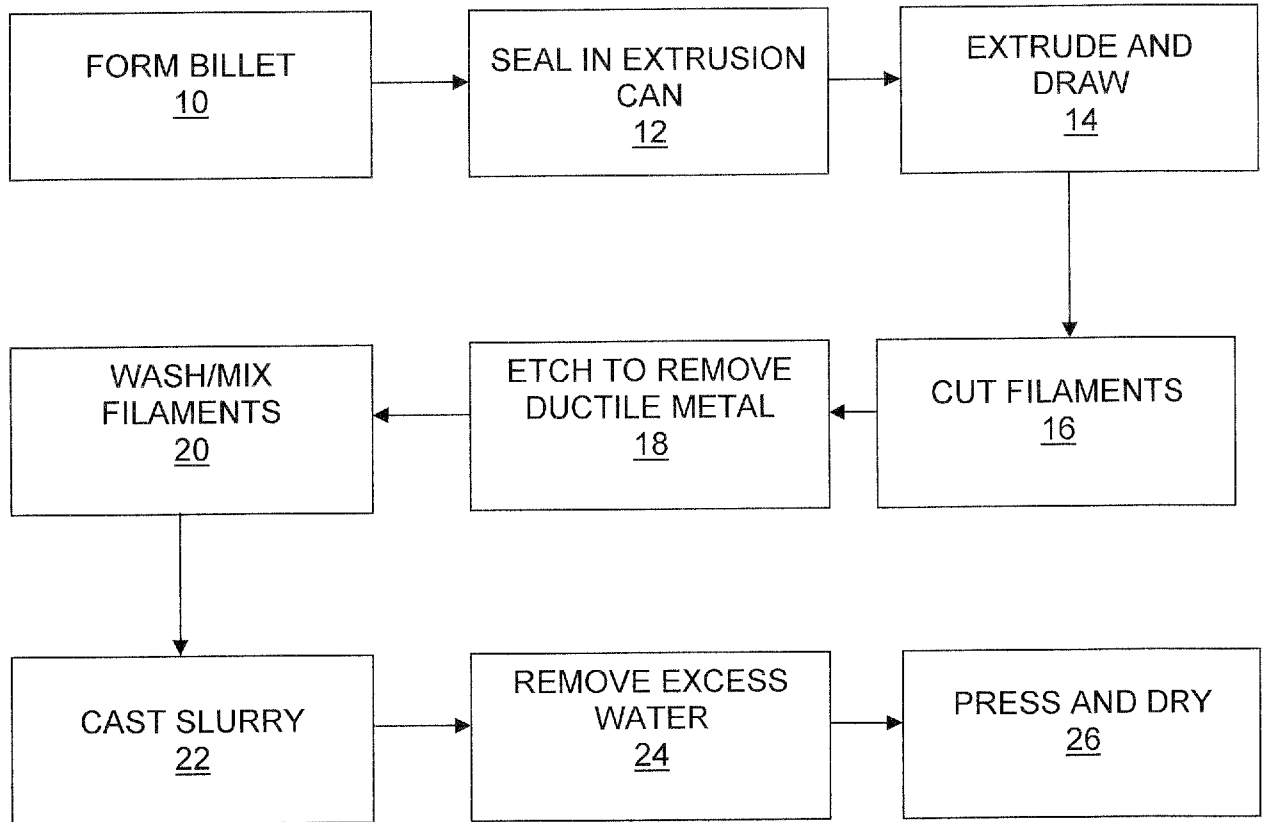


FIG. 1

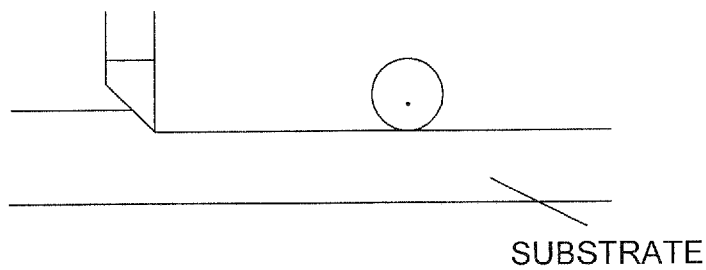


FIG. 2

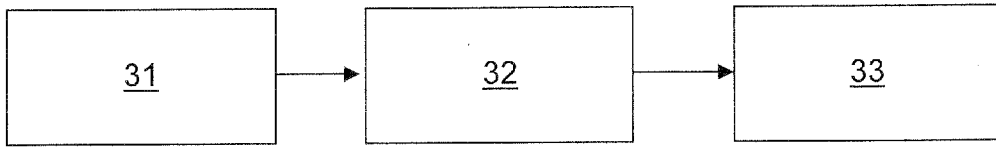


FIG. 3

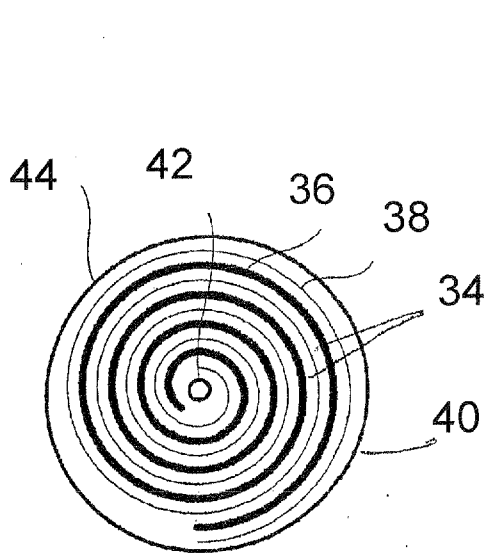


FIG. 4

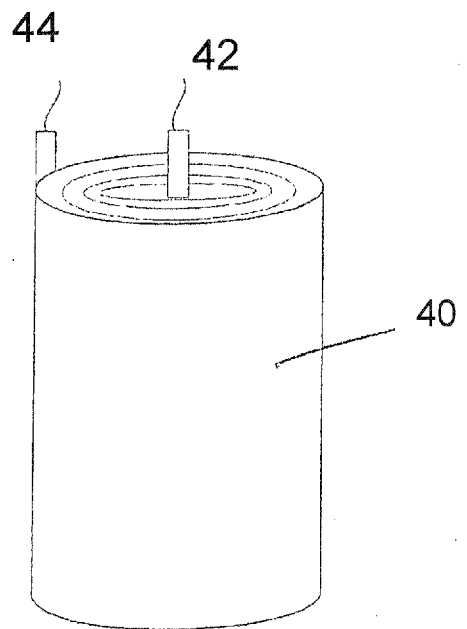
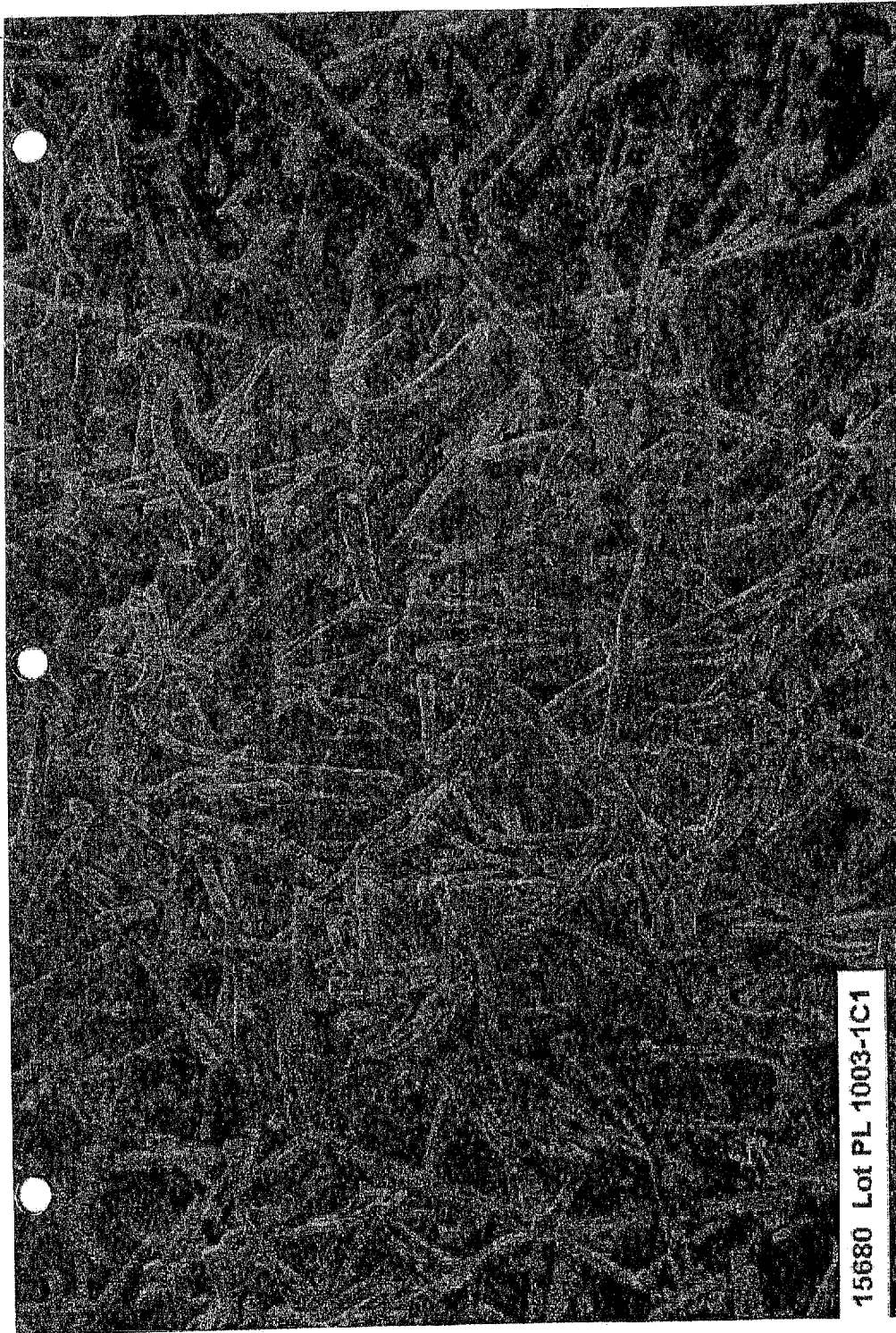


FIG. 5



15680 Lot PL 1003-1C1

10 µm

EHT = 20.00 kV

WD = 7 mm

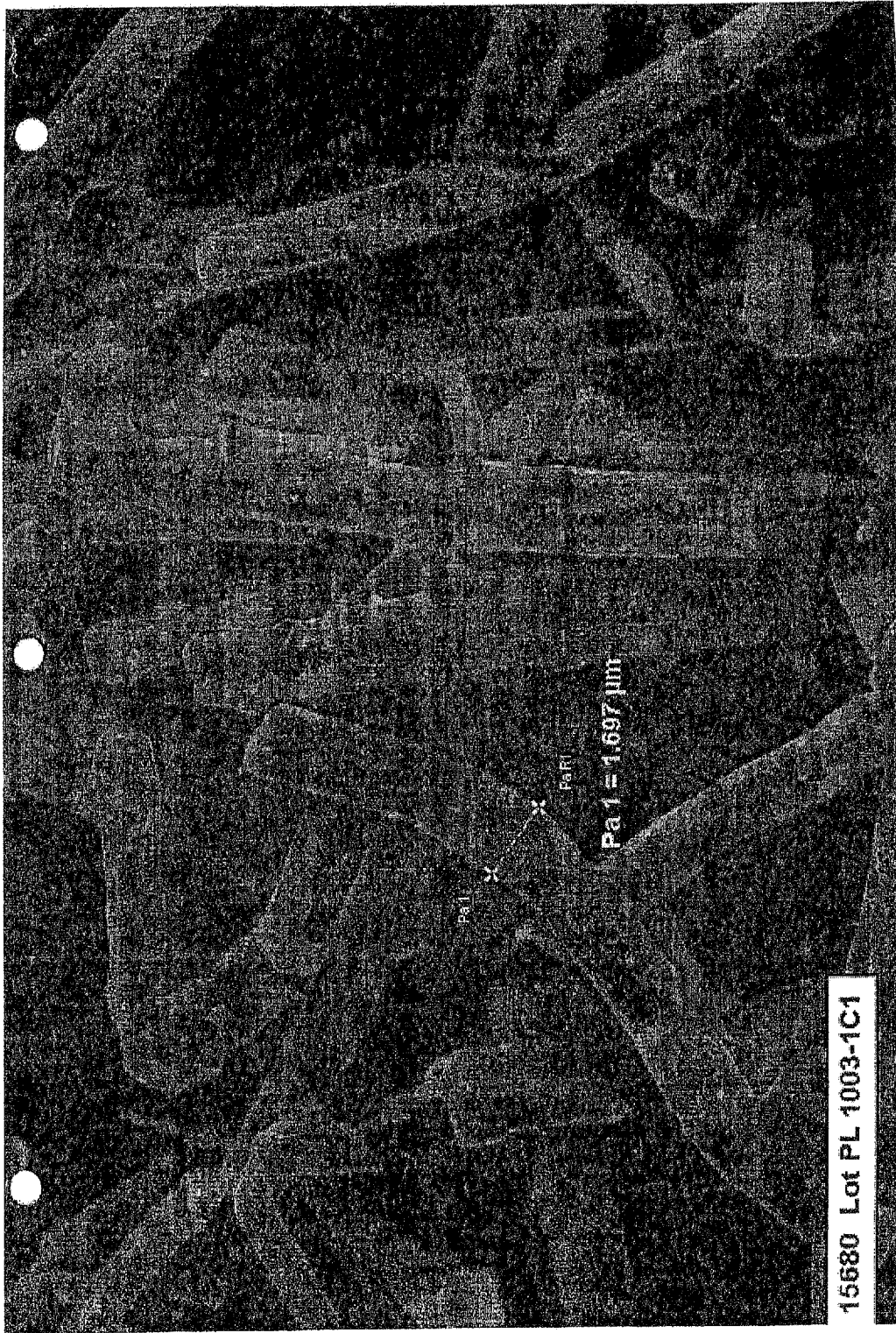
Mag = 1.00 K X

Signal A = SE1

Date: 23 Apr 2015

Time: 11:46:15

FIG. 6



Date :23 Apr 2015
Time :11:50:35

Mag = 4.00 KX
Signal A = SE1

EHT = 20.00 kV
WD = 7 mm

FIG. 7

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2016/032751

A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - H01M 4/74; H01M 4/13; H01M 4/134; H01M 4/36 (2016.01)

CPC - H01M 4/74; H01M 4/13; H01M 4/134; H01M 4/362; H01M 4/386; H01M 4/665; H01M 4/667 (2016.08)

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC - B82Y 30/00; H01M 4/13; H01M 4/134; H01M 4/36; H01M 4/74

CPC - B82Y 30/00; H01M 4/13; H01M 4/134; H01M 4/362; H01M 4/386; H01M 4/665; H01M 4/667; H01M 4/74; Y02E 60/122

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

USPC - 429/233; 429/235; 429/236; 429/241; 429/244; 429/252; 429/302; 429/231.500; 977/707; 977/755; 977/762; 977/765; 977/773; 977/778; 977/813; 977/832; 977/948 (keyword delimited)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Orbit, Google Patents, Google Scholar

Search terms used: lithium, battery, cell, filament, coat, tantalum, hafnium, titanium, aluminum, niobium, coat, thick, silicon, nanoparticles, anode, cathode, separator, electrolyte.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2012/0219860 A1 (WANG et al) 30 August 2012 (30.08.2012) entire document	1-6, 13-18
A	US 2011/0189510 A1 (CARACCILO et al) 04 August 2011 (04.08.2011) entire document	1-6, 13-18
A	US 2015/0099185 A1 (CORNELL UNIVERSITY) 09 April 2015 (09.04.2015) entire document	1-6, 13-18
A	US 2010/0239915 A1 (HOCHGATTERER et al) 23 September 2010 (23.09.2010) entire document	1-6, 13-18
A	US 2013/0019468 A1 (RAMASUBRAMANIAN et al) 24 January 2013 (24.01.2013) entire document	1-6, 13-18
A	US 2009/0176159 A1 (ZHAMU et al) 09 July 2009 (09.07.2009) entire document	1-6, 13-18

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

06 September 2016

Date of mailing of the international search report

22 SEP 2016

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INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2016/032751

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:
See supplemental page

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
1-6, 13-18

Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2016/032751

Continued from Box No. III Observations where unity of invention is lacking

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claims 1-6,13-18, drawn to an electrically active electrode material for use with a lithium ion cell.

Group II, claims 7-12, drawn to a method of forming an electrode substrate useful for forming a lithium ion battery.

The inventions listed as Groups I-II do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: the special technical feature of the Group I invention: a sheet or mat formed of a valve metal material formed of filaments of a valve metal not larger than about 10 microns in cross section, and coated with an electrochemically active material as claimed therein is not present in the invention of Group II. The special technical feature of the Group II invention: leaching the ductile material from the elements; (j) washing the cut elements from step (c) with water to form a slurry in which the filaments are evenly distributed; (k) forming the cut elements from step (d) by casting into a stable mat; and (1) coating the mat resulting from step (e) with an electrochemically active material as claimed therein is not present in the invention of Group I.

Groups I and II lack unity of invention because even though the inventions of these groups require the technical feature of electrode material for use with a lithium ion cell, this technical feature is not a special technical feature as it does not make a contribution over the prior art.

Specifically, US 2010/0239915 A1 (HOCHGATTERER et al) 23 September 2010 (23.09.2010) teaches electrode material for use with a lithium ion cell (Abstract).

Since none of the special technical features of the Group I or II inventions are found in more than one of the inventions, unity of invention is lacking.