(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau





(10) International Publication Number WO 2013/109812 A1

(43) International Publication Date 25 July 2013 (25.07.2013)

(51) International Patent Classification: A61B 17/70 (2006.01) A61F 2/44 (2006.01) A61B 17/86 (2006.01)

(21) International Application Number:

PCT/US2013/022033

(22) International Filing Date:

18 January 2013 (18.01.2013)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

13/352,583 18 January 2012 (18.01.2012)

US

- (71) Applicant: GLOBUS MEDICAL, INC. [US/US]; 2560 General Armistead Avenue, Audubon, PA 19403 (US).
- (72) Inventors: HARPER, Michael; 1116 Temple Road, Pott-stown, PA 19465 (US). GEORGE, Milan; 3000 Valley Forge Circle, Apt 245, King of Prussia, PA 19406 (US).

- (74) Agent: CASTELLANO, Kristina; Castellano PLLC, P.O. Box 1555, Great Falls, VA 22066 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV,

[Continued on next page]

(54) Title: IMPROVED SYSTEMS FOR SPINAL STABILIZATION

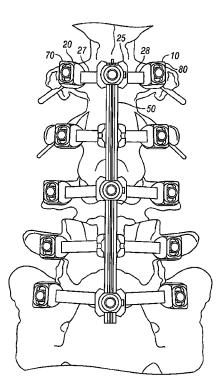


FIG. 1

(57) Abstract: Systems, devices and methods related to spinal stabilization are provided. A system can comprise a plurality of cross-links. Each of the cross-links can include a central rod holder that extends substantially along a mid-line of the spine and a pair of extension members extending from the central rod holder. The extension members can be secured to a vertebral body via a fixation device, such as a spinal screw or a hook member having serrations and/or side-cuts. One or more rod members can be extended across the central rod holders of the cross-links, thereby forming a stable spinal stabilization system providing bilateral stress distribution across different levels of the spine.



MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))

Published:

— with international search report (Art. 21(3))

IMPROVED SYSTEMS FOR SPINAL STABILIZATION

FIELD OF THE INVENTION

[001] The present application is generally directed to orthopedic stabilization systems, and in particular, to central stabilization systems including rod members and fixation members.

BACKGROUND OF THE INVENTION

[002] Many types of spinal irregularities cause pain, limit range of motion, or injure the nervous system within the spinal column. These irregularities can result from, without limitations, trauma, tumor, disc degeneration, and disease. Often, these irregularities are treated by immobilizing a portion of the spine. This treatment typically involves affixing a plurality of screws and/or hooks to one or more vertebrae and connecting the screws or hooks to an elongate rod that generally extends in the direction of the axis of the spine.

[003] Treatment of these spinal irregularities can involve using a system of screws and rods to attain stability between spinal segments. Instability in the spine can create stress and strain on neurological elements, such as the spinal cord and nerve rods. In order to correct this, implants of certain stiffness can be implanted to restore the correct alignment and portion of the vertebral bodies. In many cases, these implants can help restore spinal elements to a pain free situation, or at least may help reduce pain or prevent further injury to the spine.

[004] Accordingly, there is a need for improved systems involving screws and rods for spinal stabilization.

SUMMARY OF THE INVENTION

[005] Various systems, devices and methods related to spinal stabilization are provided. In some embodiments, a method for spinal stabilization comprises providing a plurality of cross-links, each of the cross-links including a central rod holder and first and second extension members extending therefrom. Each of the cross-links can be operably attached to a vertebral body by attaching a first extension member to a first fixation member and attaching a second extension member to a second fixation member. The first fixation member and the second fixation member can be attached to the vertebral body. Once two or more cross-links are installed, a rod member can be secured to the central rod holders of the multiple cross-links, thereby creating a stabilized system across multiple levels of the spine.

[006] In some embodiments, a method for spinal stabilization comprises providing one or more cross-links configured to extend across a mid-line of a spine. A first portion of a cross-link can be attached to a first fixation member, wherein the first fixation member is attached to a vertebral body. A second portion of the cross-link can be attached to a second fixation member, wherein the second fixation member is attached to a vertebral body. At least one of the first fixation member and the second fixation member is a hook member having a pair of arms and a mouth with serrations.

[007] In some embodiments, a method for spinal stabilization comprises providing one or more cross-links configured to extend across a mid-line of a spine. A first portion of a cross-link can be attached to a first fixation member, wherein the first fixation member is attached to a vertebral body. A second portion of the cross-link can be attached to a second fixation member, wherein the second fixation member is attached to a vertebral body. At least one of the first fixation member and the second fixation member is a hook member having a pair of arms forming a channel, wherein at least one of the arms includes a side-cut formed therein.

BRIEF DESCRIPTION OF THE DRAWINGS

- [008] The invention will be more readily understood with reference to the embodiments thereof illustrated in the attached figures, in which:
- [009] FIG. 1 is a top view of a central rod system in use according to some embodiments.
- [010] FIG. 2 is a top view of a central rod system according to some embodiments.
- [011] FIG. 3 is a front view of a cross-link of a central rod system according to some embodiments.
- [012] FIG. 4 is a top perspective view of a cross-link of a central rod system according to some embodiments.
- **[013]** FIG. 5 is a front view of a cross-link of a central rod system having a rod therein according to some embodiments.
- **[014]** FIG. 6A is top view of a cross-link of a central rod system attached to a vertebral body according to some embodiments.

- [015] FIG. 6B is a front view of a cross-link of a central rod system attached to a vertebral body according to some embodiments.
- **[016]** FIG. 7 is a perspective view of a hook member having serrations according to some embodiments.
- [017] FIG. 8 is a side view of a hook member having serrations according to some embodiments.
- [018] FIG. 9 is a perspective view of a hook member having side-cuts according to some embodiments.
- **[019]** FIG. 10 is a perspective view of a hook member having alternative side-cuts according to some embodiments.
- **[020]** FIG. 11 is a perspective view of a hook member having alternative side-cuts according to some embodiments.

DETAILED DESCRIPTION OF THE ILLUSTRATED EMBODIMENTS

- **[021]** Embodiments of the invention will now be described. The following detailed description of the invention is not intended to be illustrative of all embodiments. In describing embodiments of the present invention, specific terminology is employed for the sake of clarity. However, the invention is not intended to be limited to the specific terminology so selected. It is to be understood that each specific element includes all technical equivalents that operate in a similar manner to accomplish a similar purpose.
- [022] The present application is generally directed to orthopedic stabilization systems, and in particular, to central stabilization systems including rod members and fixation members.
- [023] FIG. 1 is a top view of a central rod system in use with a spine according to some embodiments. The central rod system 10 comprises a plurality of cross-links 20 that extend along different spinal levels. Each of the cross-links in the system (shown individually in FIGS. 3 and 4), advantageously include a central rod holder 25 that is designed to hold one or more rod members across multiple spinal levels. As shown in FIG. 1, one or more central rods 50 can extend through the central rod holders 25 of the cross-links 20, thereby helping to create a stable system to ease complex deformity correction. In some embodiments, the

central rods 50 extend over reduced or removed spinal processes such that they are positioned substantially through a center of the spine.

- [024] Each of the central rod holders 25 also includes a pair of extension members 27, 28 that extend outwardly from the central rod holder 25. Each of the extension members 27, 28 can be attached to a fixation element, such as such as a screw. As shown in FIG. 1, extension member 27 is attached to fixation element 70, while extension member 28 is attached to fixation element 80. The fixation elements 70, 80 advantageously help to secure the extension members 27, 28 of the central rod holder 25 bilaterally on opposite sides of a midline of the spine. More details with respect to the central rod holder 25 are discussed below.
- [025] FIG. 2 is a top view of a central rod system according to some embodiments. The central rod system 10 includes seven cross-links 20, each having a central rod holder 25 and a pair of extension members 27, 28 extending outwardly therefrom. In some embodiments, different extension members 27, 28 in a central rod system can have different lengths to advantageously accommodate different levels of the spine. For example, as shown in FIGS. 1 and 2, extension members 27, 28 found on a higher portion of the spine (e.g., in the lumbar region) can be shorter in length than extension members found in a lower portion of the spine (e.g., the sacrum). In some embodiments, the central rod system include extension members 27, 28 that increase in length from a higher portion to a lower portion of the spine.
- **[026]** FIG. 3 is a front view of a cross-link of a central rod system according to some embodiments. As noted above, the cross-link 20 includes a central rod holder 25 with a pair of extension members 27, 28 extending therefrom. In some embodiments, the central rod holder 25 comprises an open or closed connector head that can be offered in a variety of configurations, including but not limited to, a monoaxial head, uniplanar head, polyaxial head, spinning head and a sliding head.
- [027] The central rod holder 25 comprises a pair of arms 31, 32 that form sidewalls of a U-shaped channel 35. In some embodiments, the arms 31, 32 each include recessed portions 33, 34, which can be engaged by an insertion instrument or sleeve to deliver the cross-link to a desired location adjacent a spine. The U-shaped channel 35 is configured to receive one or more rod members that connect across multiple levels of the spine to provide spine stabilization.

[028] FIG. 4 is a top perspective view of a cross-link of a central rod system according to some embodiments. From this view, more details of the arms 31, 32 of the central rod holder 25 are visible. The arms 31, 32 each include individual apertures 37, 38. In some embodiments, the individual apertures 37, 38 can receive a part of an insertion instrument or sleeve, such as a tab member, to assist in securing the instrument to the arms during delivery of the cross-link.

- **[029]** FIG. 5 is a front view of a cross-link of a central rod system having a rod therein according to some embodiments. The rod 50 is positioned within the central rod holder 25 of the cross-link 20.
- [030] In addition, the extension members 27, 28 of the cross-link are operatively attached to fixation members 70, 80. Each of the fixation members 70, 80 includes a head member 72, 74 for receiving extension members 27, 28 therein. The head members 72, 74 are connected to shafts 75, 85 that can be inserted into the spine for fixation.
- [031] Methods related to the central rod systems discussed above are now provided. In some embodiments, a surgical procedure for installing a central rod system involves removing all or a portion of one or more spinal processes. In other embodiments, the spinal processes remain intact while components of a central rod system curve or work around the spinal processes. In some embodiments, once the spinal processes are removed, a plurality of cross-links are provided. Each of the cross-links includes a central rod holder and first and second extension members extending therefrom. The cross-links can be installed individually to a vertebral body by securing the first extension member to the vertebral body via a first fixation member (e.g., a screw or a hook) and by securing the second extension member to the vertebral body via a second fixation member (e.g., a screw or a hook). Locking mechanisms, such as locking caps, can be provided to secure the extension members to the fixation members.
- [032] FIGS. 6A and 6B illustrate a single cross-link member 20 attached to a vertebral body 5. The cross-link member 20 has a central rod holder 25 and extension members 27, 28 extending therefrom that are secured to a vertebral body via fixation members 70, 80. From the view in FIG. 6B, one can see how the cross-link member 20 is attached over a modified spinous process 8. One or more cross-link members can be attached as shown in these figures.

[033] During surgery, one or more cross-links can be installed such that their central rod holders align substantially along a mid-plane of the spine and/or over the modified spinal processes. After installing a plurality of cross-links, one or more rod members can be inserted through the central rod holders and secured therein (e.g., via a locking mechanism or cap). The one or more rod members extend across multiple levels of the spine, thereby creating a stable, central rod system across multiple levels.

[034] By providing a central rod system as discussed above, a number of advantages are achieved for spinal stabilization. Among the advantages include bilateral stress distribution to alleviate unilateral stress concentrations during deformity correction; centralized sagital plant correction; reduced rod bending in planar operations (e.g., lordosis and kyphosis); a centralized rotation axis allowing for a more intuitive local vertebral rotation; and a system that can be utilized to accommodate growing rod applications.

[035] In addition or instead of the components of the central rod system discussed above, other components can be used. For example, a variety of different hook members can be used instead of the pedicle screws 70, 80 shown above for attachment to a vertebral body. While such hook members can be used as part of a central rod system as discussed above, they can also be used in other spine systems that are different from the central rod system. Novel hook members are discussed below.

[036] FIG. 7 is a perspective view of a hook member having serrations according to some embodiments. The hook member 100 includes a pair of arms 131, 132 that form a channel 135 for receiving a rod member therein. The arms can include one or more apertures 138 formed thereon, which can be grasped by an insertion or delivery instrument. The bottom of the channel 135 can sweep downwardly to form a mouth 140. The mouth 140 of the hook member 100 can advantageously be used to grasp a bone member. Advantageously, the serrations 142 provide the hook member 100 with additional fixation in both translational and rotational planes such that the likelihood of back out or migration of the hooks is reduced.

[037] In some embodiments, the mouth 140 of the hook member 100 includes a plurality of serrations 142 thereon. The serrations 142 advantageously provide multiple points of contact that dig into bone when the hook member 100 is press-fitted onto bone.

[038] FIG. 8 is a side view of a hook member having serrations according to some embodiments. From this view, the geometry of the serrations 142 can be seen. As shown in

FIG. 8, the serrations 142 can be evenly spaced, and can resemble triangular or pyramidal teeth. In other embodiments, the hook member 100 can include serrations or frictional contact-surfaces with other shapes, including saw-tooth forms, ridges and rounded bumps.

[039] FIG. 9 is a perspective view of an alternative hook member that can be used alone or in combination with a spinal system, such as the central rod system discussed above. Like the hook member 100 in FIG. 8, the hook member in FIG. 9 includes a pair of arms 131, 132 that form a channel 135 for receiving a rod member therein, as well as a mouth portion 140 for attachment to a vertebral body. The arms 131, 132 can include one or more apertures 138 for gripping by an insertion instrument. Advantageously, the arms 131, 132 of the hook member 100 can also include side-cuts 139. These side-cuts advantageously provide additional points of contact with respect to instruments that hold it while the hook is pressfitted into bone. In other words, the hook member 100 has additional fixation points for the instrument to engage with, in both translational and rotational planes, thereby reducing the chance of hook slippage during implantation.

[040] FIGS. 9-11 each show hook members 100 having side-cuts 139 with different shapes and geometries. In FIG. 9, the hook member 100 includes a side-cut 139a that is rounded and appears as a semi-circle. In FIG. 10, the hook member 100 includes a side-cut 139b that appears as a rectangular recess formed in the arms 131, 132 of the hook member 100. In FIG. 11, the hook member 100 includes a side-cut 139 that is completely rectangular cut-out and not simply a recess. Accordingly, the hook members 100 have a number of differently shaped and oriented side-cuts that be gripped by an insertion instrument.

[041] While the invention herein disclosed has been described by means of specific embodiments and applications thereof, numerous modifications and variations can be made thereto by those skilled in the art without departing from the scope of the invention.

WHAT IS CLAIMED IS:

1. A spinal stabilization system comprising:

a plurality of cross-links, each of the cross-links including a central rod holder and first and second extension members extending and operably attaching each of the cross-links to a vertebral body, and

a rod member to the central rod holder of two or more cross-links, thereby creating a stabilized system across multiple levels of the spine,

wherein the first extension member is coupled to a first fixation member, wherein the first fixation member is attached to the vertebral body and the second extension member is coupled to a second fixation member, wherein the second fixation member is attached to the vertebral body.

- 2. The system of claim 1, wherein the central rod holder comprises a pair of arms forming a channel therein.
- 3. The system of claim 1, wherein a width of the extension members of a first cross-link is different from a width of the extension members of a second cross-link.
- 4. The system of claim 1, wherein the plurality of cross-links comprises providing at least five cross-links.
- 5. The system of claim 4, wherein at least one of the cross-links is configured to attach to a sacrum.
- 6. The system of claim 1, wherein the first fixation member is attached to a pedicle.
- 7. The system of claim 6, wherein the second fixation member is attached to a pedicle.
- 8. The system of claim 1, wherein the first fixation member comprises a hook member having serrations.

- 9. The system of claim 1, wherein the first fixation member comprises a hook member having arms with side-cuts.
- 10. A spinal stabilization system comprising:

one or more cross-links configured to extend across a mid-line of a spine;

a first portion of a cross-link coupled to a first fixation member, wherein the first fixation member is attached to a vertebral body; and

a second portion of the cross-link coupled to a second fixation member, wherein the second fixation member is attached to a vertebral body;

wherein at least one of the first fixation member and the second fixation member is a hook member having a pair of arms and a mouth with serrations.

- 11. The system of claim 1, wherein at least one of the cross-links comprises a central rod holder.
- 12. The system of claim 11, wherein the central rod holder includes a pair of extension members extending therefrom.
- 13. The system of claim 10, wherein the serrations are pyramidal shaped.
- 14. The system of claim 10, wherein the serrations are saw-tooth shaped.
- 15. The system of claim 10, wherein the first fixation member comprises a hook member and the second fixation member comprises a screw.

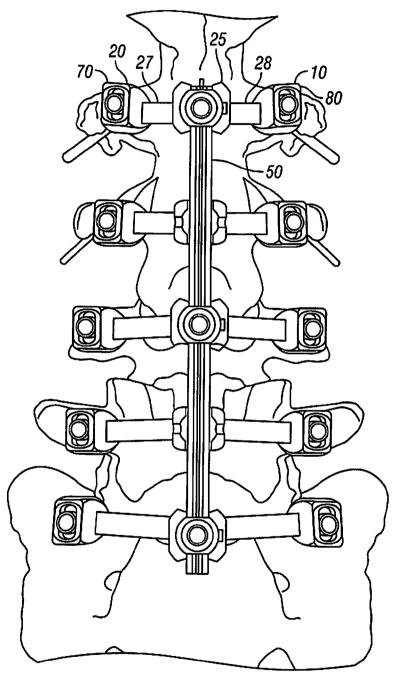


FIG. 1



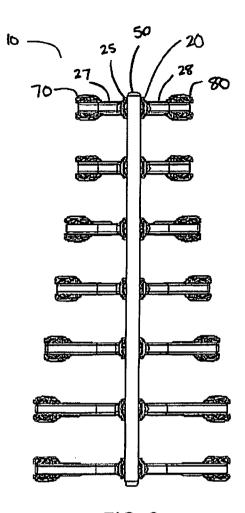


FIG. 2

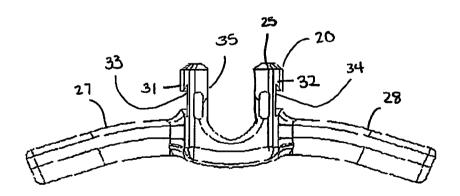


FIG. 3

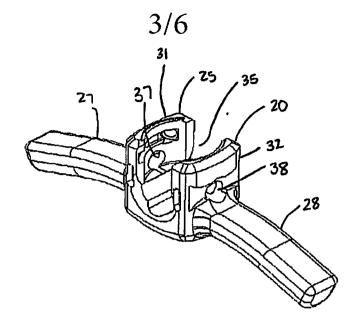


FIG. 4

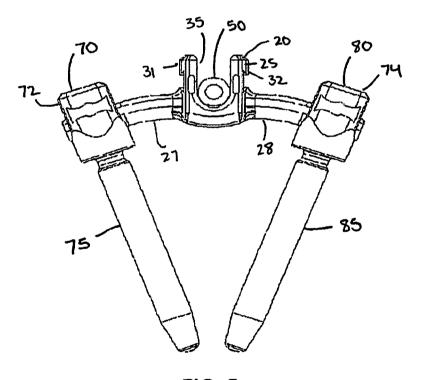


FIG. 5

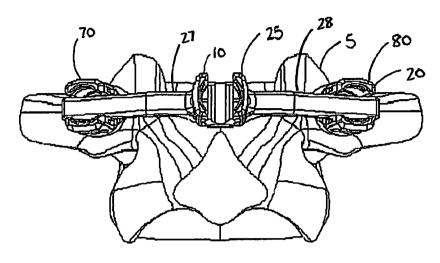


FIG. 6A

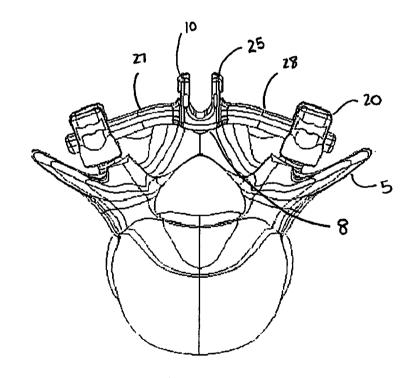


FIG. 6B

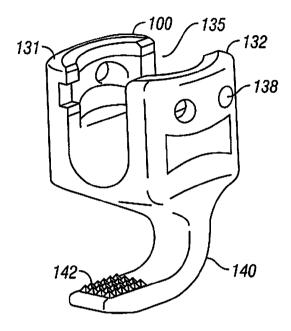
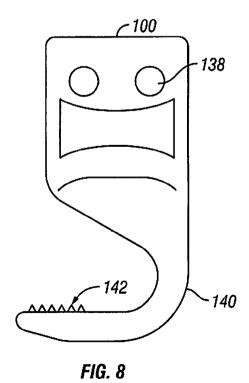


FIG. 7



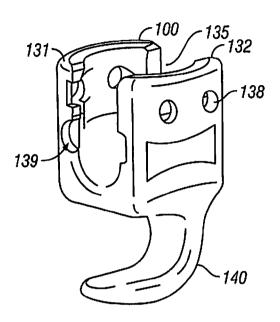
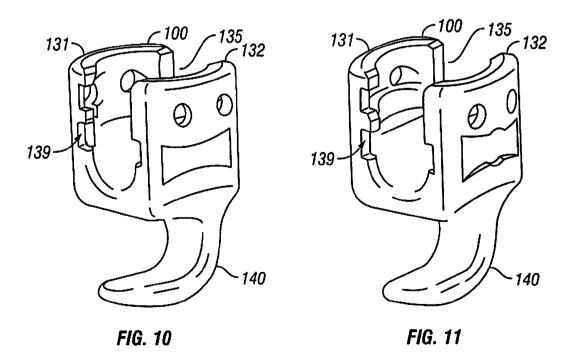


FIG. 9



International application No. PCT/US2013/022033

A. CLASSIFICATION OF SUBJECT MATTER

A61B 17/70(2006.01)i, A61B 17/86(2006.01)i, A61F 2/44(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61B 17/70; A61B 19/00; A61B 17/58; A61B 17/88; A61B 17/56

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) eKOMPASS(KIPO internal) & Keywords: spinal, stabilizer, cross-link, spinal level, multi level, center, hook, serration

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Х	US 7473269 B1 (HYNES, A. R.) 06 January 2009	1-7
Y	See claim 23; column 3, lines 32-39 and 65-67; column 5, lines 9-17; figures 1, 3-4, 6-9.	8-15
Y	US 2003-0187437 A1 (GINSBURG, M. G.) 02 October 2003	8-15
A	See claim 1; paragraphs [0013]-[0014], [0018]-[0019], [0029]; figures 2-5.	1–7
A	US 2010-0137911 A1 (DANT, A. J.) 03 June 2010 See claims 1, 9-11.	1-15
A	US 2010-0023058 A1 (TORINIER, A.) 28 January 2010 See claim 1; figures 1-4.	1-15
А	US 2003-0060823 A1 (BRYAN, W. D.) 27 March 2003 See claims 1-6; figure 1.	1-15
A	US 2008-0140133 A1 (ALLARD, N. R. et al.) 12 June 2008 See claim 1; figures 12-13.	1-15

		Further	documents	are	listed	in	the	conti	nuatior	ιof	`Box	C.
--	--	---------	-----------	-----	--------	----	-----	-------	---------	-----	------	----

 \boxtimes

See patent family annex.

- * Special categories of cited documents:
- "A" document defining the general state of the art which is not considered to be of particular relevance
- 'E" earlier application or patent but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)
- 'O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search
13 May 2013 (13.05.2013)

Date of mailing of the international search report

15 May 2013 (15.05.2013)

Name and mailing address of the ISA/KR



Korean Intellectual Property Office 189 Cheongsa-ro, Seo-gu, Daejeon Metropolitan City, 302-701, Republic of Korea

Facsimile No. 82-42-472-7140

Authorized officer

HAN, In Ho

Telephone No. 82-42-481-3362



INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2013/022033

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 7473269 B1	06.01.2009	US 7220262 B1	22.05.2007
US 2003-0187437 A1	02.10.2003	None	
US 2010-0137911 A1	03.06.2010	US 8043338 B2	25.10.2011
US 2010-0023058 A1	28.01.2010	US 8177811 B2	15.05.2012
US 2003-0060823 A1	27.03.2003	US 7722645 B2 WO 2004-096065 A1	25.05.2010 11.11.2004
US 2008-0140133 A1	12.06.2008	US 7824430 B2	02.11.2010