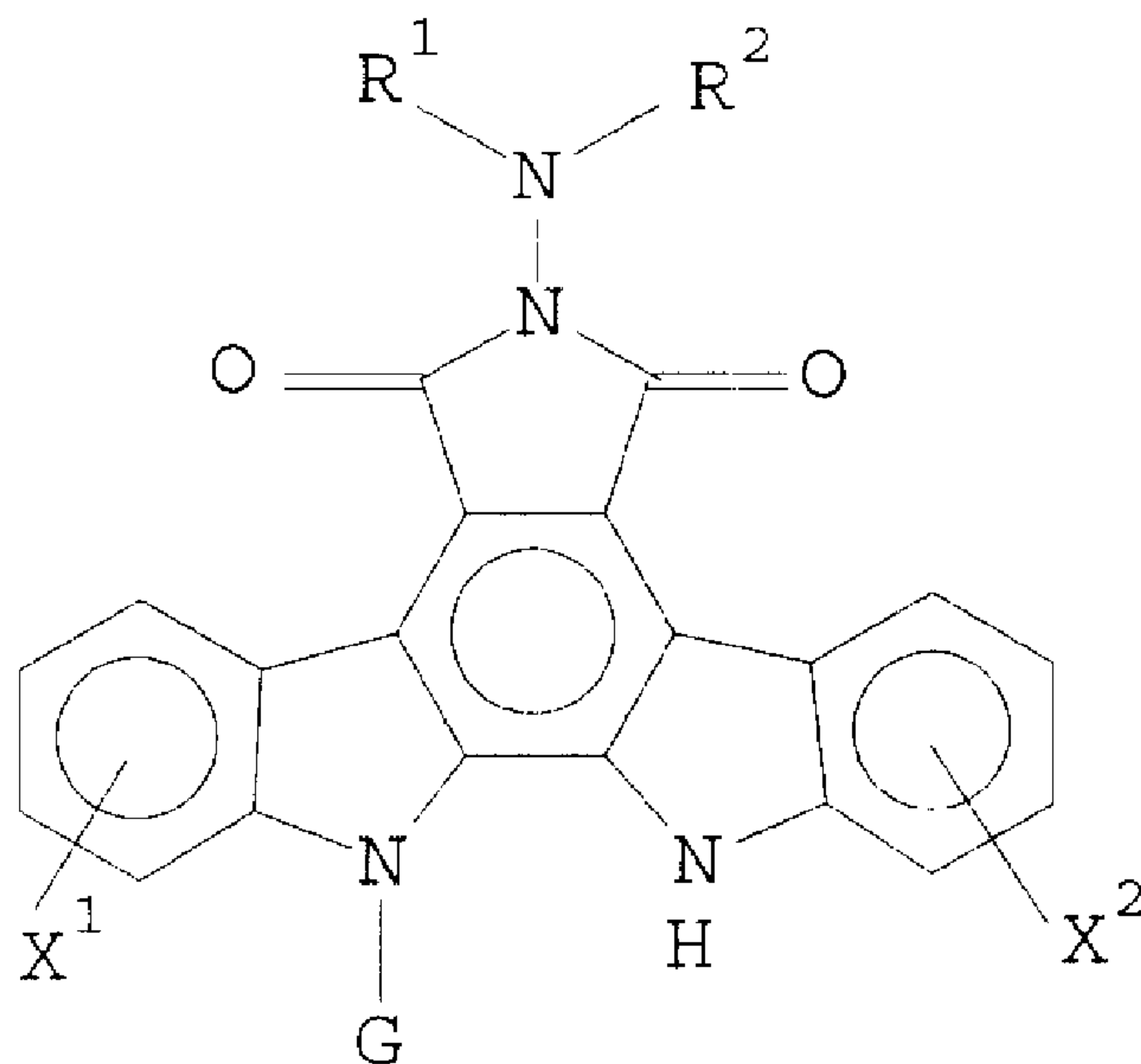




(22) Date de dépôt/Filing Date: 1992/11/23  
 (41) Mise à la disp. pub./Open to Public Insp.: 1993/05/30  
 (45) Date de délivrance/Issue Date: 2003/01/28  
 (30) Priorités/Priorities: 1991/11/29 (341,916/91) JP;  
 1992/02/18 (69,269,92) JP; 1992/09/01 (257,306/92) JP

(51) Cl.Int.<sup>5</sup>/Int.Cl.<sup>5</sup> C07H 5/06, A61K 31/70  
 (72) Inventeurs/Inventors:  
 KOJIRI, KATSUHISA, JP;  
 KONDO, HISAO, JP;  
 ARAKAWA, HIROHARU, JP;  
 OHKUBO, MITSURU, JP;  
 SUDA, HIROYUKI, JP  
 (73) Propriétaire/Owner:  
 BANYU PHARMACEUTICAL CO., LTD., JP  
 (74) Agent: SMART & BIGGAR

(54) Titre : DERIVES INDOLOPYRROLOCARBAZOLE  
 (54) Title: INDOLOPYRROLOCARBAZOLE DERIVATIVES



[ I ]

(57) Abrégé/Abstract:

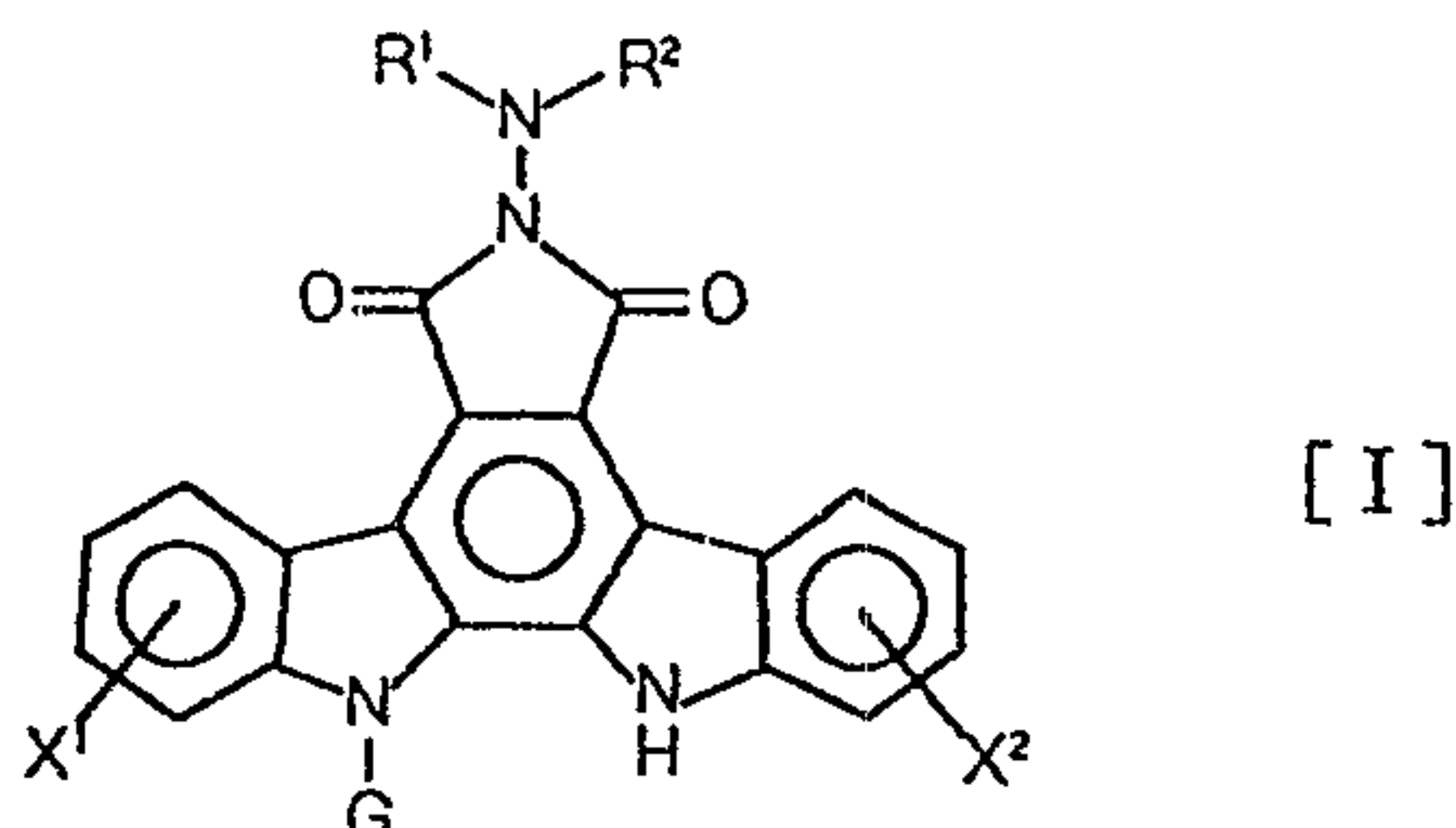
A compound represented by the following general formula and a pharmaceutically acceptable salt thereof (see formula I) wherein R<sup>1</sup> and R<sup>2</sup> each independently represent a hydrogen atom, lower alkyl group, lower alkenyl group, lower alkynyl group, aryl group, aralkyl group or heterocyclic group (the alkyl group, lower alkenyl group, lower alkynyl group, aryl group, aralkyl group and heterocyclic group may each have 1 to 5 substituents selected from the group consisting of carboxyl groups, carbamoyl groups, sulfo groups, amino groups, cyano groups, mono-lower alkylamino groups, di-lower alkylamino groups, hydroxyl groups and halogen atoms), or a group of the formula -Y-R<sup>3</sup>, and therein Y represents a carbonyl group, thio-carbonyl group or sulfonyl group, and R<sup>3</sup> represents a hydrogen atom, lower alkyl group, cycloalkyl group, cycloalkylalkyl group, aryl group, aralkyl group, lower alkoxy group, hydrazino group, amino group, arylamino group, carbamoyl group or heterocyclic group (the lower alkyl group, cycloalkyl group, cycloalkylalkyl group, aryl group, aralkyl group and heterocyclic group may each have 1 to 4 substituents selected from the group consisting of halogen atoms, optionally protected hydroxyl groups, amino groups, carboxyl groups, carbamoyl groups, cyano groups, allyl groups and lower alkoxy carbonyl groups, and the amino group and carbamoyl group may each be mono- or di-substituted by lower alkyl group(s) optionally substituted by substituent(s)

**(57) Abrégé(suite)/Abstract(continued):**

selected from the group consisting of halogen atoms, hydroxyl groups, amino groups, carboxyl groups, carbamoyl groups and lower alkoxy carbonyl groups); or  $R^1$  and  $R^2$  combine to represent a lower alkylidene group (the lower alkylidene group may have 1 to 4 substituents selected from the group consisting of amino groups, mono-lower alkylamino groups, di-lower alkylamino groups, hydroxyl groups, carboxyl groups and sulfonyl groups); or  $R^1$  and  $R^2$  combine together with the nitrogen atom to which they bind to form a heterocyclic group (the heterocyclic group may have on the ring lower alkyl group(s) optionally substituted by group(s) selected from the group consisting of amino groups, hydroxyl groups, carboxyl groups and sulfo group), G represents a pentose group or hexose group, and  $X^1$  and  $X^2$  each independently represent a hydrogen atom, halogen atom, amino group, mono-lower alkylamino group, di-lower alkylamino group, hydroxyl group, lower alkoxy group, aralkoxy group, carboxyl group, lower alkoxy carbonyl group or lower alkyl group. This compound has an excellent antitumor effect.

Abstract of the Disclosure

A compound represented by the following general formula and a pharmaceutically acceptable salt thereof



wherein

$R^1$  and  $R^2$  each independently represent a hydrogen atom, lower alkyl group, lower alkenyl group, lower alkynyl group, aryl group, aralkyl group or heterocyclic group (the alkyl group, lower alkenyl group, lower alkynyl group, aryl group, aralkyl group and heterocyclic group may each have 1 to 5 substituents selected from the group consisting of carboxyl groups, carbamoyl groups, sulfo groups, amino groups, cyano groups, mono-lower alkylamino groups, di-lower alkylamino groups, hydroxyl groups and halogen atoms), or a group of the formula  $-Y-R^3$ , and therein Y represents a carbonyl group, thio-carbonyl group or sulfonyl group, and  $R^3$  represents a hydrogen atom, lower alkyl group, cycloalkyl group, cycloalkylalkyl group, aryl group, aralkyl group, lower alkoxy group, hydrazino group, amino group, arylamino group, carbamoyl group or heterocyclic group (the lower alkyl group, cycloalkyl group, cycloalkylalkyl group, aryl group, aralkyl group and heterocyclic group may each have 1 to 4 substituents selected from the group consisting of halogen atoms, optionally protected hydroxyl groups, amino groups, carboxyl groups, carbamoyl groups, cyano groups, allyl groups and lower alkoxycarbonyl groups, and the amino group and carbamoyl group may each be mono- or di-substituted by lower alkyl group(s) optionally substi-

tuted by substituent(s) selected from the group consisting of halogen atoms, hydroxyl groups, amino groups, carboxyl groups, carbamoyl groups and lower alkoxy carbonyl groups); or

$R^1$  and  $R^2$  combine to represent a lower alkylidene group (the lower alkylidene group may have 1 to 4 substituents selected from the group consisting of amino groups, mono-lower alkylamino groups, di-lower alkylamino groups, hydroxyl groups, carboxyl groups and sulfonyl groups); or

$R^1$  and  $R^2$  combine together with the nitrogen atom to which they bind to form a heterocyclic group (the heterocyclic group may have on the ring lower alkyl group(s) optionally substituted by group(s) selected from the group consisting of amino groups, hydroxyl groups, carboxyl groups and sulfo group),

G represents a pentose group or hexose group, and

$X^1$  and  $X^2$  each independently represent a hydrogen atom, halogen atom, amino group, mono-lower alkylamino group, di-lower alkylamino group, hydroxyl group, lower alkoxy group, aralkoxy group, carboxyl group, lower alkoxy carbonyl group or lower alkyl group.

This compound has an excellent antitumor effect.



## INDOLOPYRROLOCARBAZOLE DERIVATIVES

This invention is useful in the field of medicine, and relates to novel indolopyrrolocarbazole derivatives inhibiting proliferation of antitumor cells and exhibiting an antitumor effect, a process for preparation thereof and a use thereof.

In the field of cancer chemotherapy, many compounds are already put to practical use as an anti-tumor agent. However, the effect thereof on various kind of tumors is not always adequate, and the problem of resistance of tumor cells against these drugs makes clinical use of these antitumor agents complicated [refer to The 47th Japan Society of Cancer General Meeting Article, pages 12 to 15 (1988)].

In such state of things, development of novel carcinostatic substances is always made in the field of cancer therapy. Particularly, substances are necessitated which overcome resistance against existing carcinostatic substances and exhibit effectiveness against such kinds of cancers on which existing carcinostatic substances cannot exhibit sufficient effects.

In the light of such present state of things, the present inventors widely screened microbial metabolic products, as a result, found a novel antitumor activity-possessing compound BE-13793C (12,13-dihydro-1,11-dihydroxy-5H-indolo[2,3-a]pyrrolo[3,4-c]carbazole-5,7(6H)-dione), and disclosed it [refer to Japanese Laid-Open Patent Publication No. 20277/1991 and J. Antibiotics, 44, 723-728 (1991)].

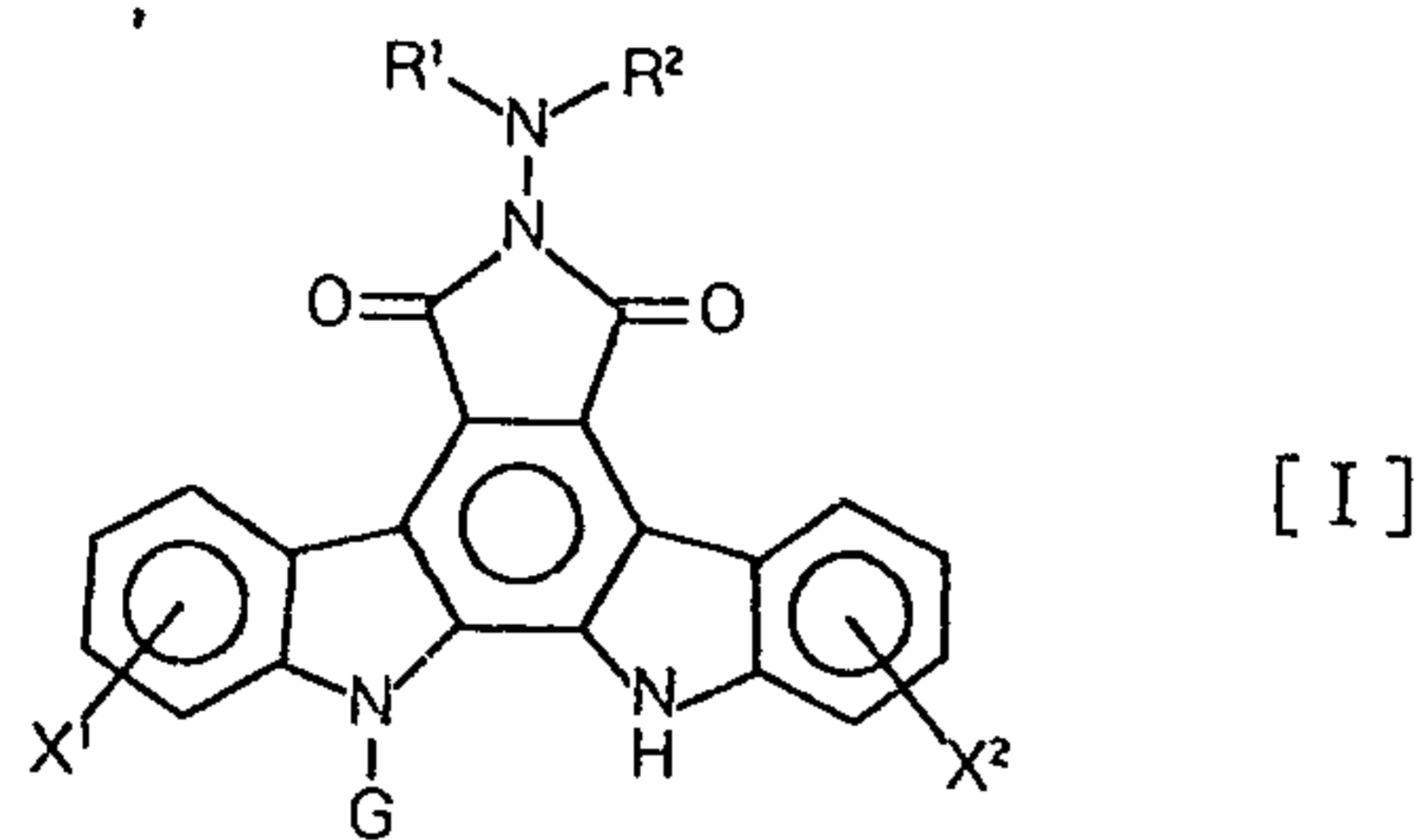
Thereafter, the present inventors created indolopyrrolocarbazole compounds having an excellent antitumor activity by chemically modifying BE-13793C, and disclosed them (refer to PCT/W091/18003).

For the purpose of creating compounds having a

- 2 -

further excellent antitumor activity by chemically modifying previously disclosed indolopyrrolocarbazole antitumor compounds, the present inventors synthesized many indolopyrrolocarbazole derivatives, investigated their antitumor activity, and as a result, now, found that a series of compounds represented by the following general formula are novel compounds having an extremely excellent antitumor activity.

Thus, this invention provides indolopyrrolocarbazole derivatives represented by the following general formula and pharmaceutically acceptable salts thereof.



wherein

$R^1$  and  $R^2$  each independently represent a hydrogen atom, lower alkyl group, lower alkenyl group, lower alkynyl group, aryl group, aralkyl group or heterocyclic group (the lower alkyl group, lower alkenyl group, lower alkynyl group, aryl group, aralkyl group and heterocyclic group may each have 1 to 5 substituents selected from the group consisting of carboxyl groups, carbamoyl groups, sulfo groups, amino groups, cyano groups, mono-lower alkylamino groups, di-lower alkylamino groups, hydroxyl groups and halogen atoms), or a group of the formula  $-Y-R^3$ , and therein Y represents a carbonyl group, thio-carbonyl group or sulfonyl group, and  $R^3$  represents a hydrogen atom, lower alkyl group, cycloalkyl group, cycloalkylalkyl group, aryl group, aralkyl group, lower alkoxy group, hydrazino group, amino group, arylamino group, carbamoyl group or heterocyclic group (the lower alkyl group, cycloalkyl group, cycloalkylalkyl group,



aryl group, aralkyl group and heterocyclic group may each have 1 to 4 substituents selected from the group consisting of halogen atoms, optionally protected hydroxyl groups, amino groups, carboxyl groups, carbamoyl groups, cyano groups and lower alkoxy-carbonyl groups, and the amino group and carbamoyl group may each be mono- or di-substituted by lower alkyl group(s) optionally substituted by substituent(s) selected from the group consisting of halogen atoms, hydroxyl groups, amino groups, carboxyl groups, carbamoyl groups and lower alkoxy-carbonyl groups); or

$R^1$  and  $R^2$  combine to represent a lower alkylidene group (the lower alkylidene group may have 1 to 4 substituents selected from the group consisting of amino groups, mono-lower alkylamino groups, di-lower alkylamino groups, hydroxyl groups, carboxyl groups and sulfonyl groups); or

$R^1$  and  $R^2$  combine together with the nitrogen atom to which they bind to form a heterocyclic group (the heterocyclic group may have on the ring lower alkyl group(s) optionally substituted by group(s) selected from the group consisting of amino groups, hydroxyl groups, a carboxyl groups and sulfo groups),

G represents a pentose group or hexose group, and

$X^1$  and  $X^2$  each independently represent a hydrogen atom, halogen atom, amino group, mono-lower alkyl-amino group, di-lower alkylamino group, hydroxyl group, lower alkoxy group, aralkoxy group, carboxyl group, lower alkoxy-carbonyl group or lower alkyl group.

The term of "lower" used in the present invention means that the carbon number of the group or compound to which this term is attached is 6 or less, preferably 4 or less.

The "lower alkyl group" is a straight-chain or branched chain alkyl group having 1 to 6 carbon atoms,

and examples thereof are a methyl group, an ethyl group, a propyl group, an isopropyl group, a butyl group, an isobutyl group, a sec-butyl group, a tert-butyl group, a pentyl group, an isopentyl group, a neopentyl group, a  
5 hexyl group, etc.

The "lower alkenyl group" includes a straight-chain or branched chain alkenyl group having 3 to 6 carbon atoms, and examples thereof are a propenyl group, a 2-butenyl group, a 3-butenyl group, a 3-pentenyl group,  
10 a 4-hexenyl group, etc.

The "lower alkynyl group" can be a straight-chain or branched chain alkynyl group having 3 to 6 carbon atoms, and examples thereof are a propynyl group, a 2-butynyl group, a 3-butynyl group, a 3-pentynyl group,  
15 a 4-hexynyl group, etc.

The "cycloalkyl group" includes a 3- to 6-membered cycloalkyl group, and examples thereof are a cyclopropyl group, a cyclobutyl group, a cyclopentyl group, a cyclohexyl group, etc.

The "cycloalkyl lower alkyl group" means an alkyl group substituted by a cycloalkyl group wherein the cycloalkyl and lower alkyl parts have the above meanings, respectively, and examples thereof are a cyclopropylmethyl group, a cyclobutylmethyl group, a cyclopentylmethyl group, a cyclohexylmethyl group, a 1-cyclopropylethyl group, a 2-cyclopropylethyl group, a 1-cyclobutylethyl group, a 2-cyclobutylethyl group, a 1-cyclopentylethyl group, a 2-cyclopentylethyl group, a 1-cyclohexylethyl group, a 3-cyclohexylpropyl group, a 3-cyclopentylpropyl group, a 4-cyclohexylbutyl group, a 4-cyclopentylbutyl group, etc., and preferably, the cycloalkylalkyl group has 4 to 10 carbon atoms in total.  
20  
25  
30

The "aryl group" can be monocyclic or polycyclic, and aryl groups having 6 to 12 carbon atoms can be mentioned such as a phenyl group, a naphthyl group and a tetrahydronaphthyl group.  
35



67566-1292

-5-

The "aralkyl" group means a lower alkyl group substituted by an aryl group wherein the aryl and lower alkyl parts have the above meanings, respectively, and aralkyl groups having 7 to 15 carbon atoms can be mentioned  
5 such as, for example, a benzyl group, a phenethyl group, a phenylpropyl group, a phenylbutyl group, a phenylpentyl group, a naphthylmethyl group and a naphthylethyl group.

The "heterocyclic group" includes a 5- or 6-  
10 membered heterocyclic group containing 1 to 4 hetero atoms selected from the group consisting of nitrogen atoms, oxygen atoms and sulfur atoms, and there can be mentioned aromatic heterocyclic groups such as, for example, a pyrrolyl group, a furyl group, a thienyl group, an oxazolyl group, an isoxazolyl group, a thiazolyl group, an isothiazolyl group,  
15 an imidazolyl group, a pyrazolyl group, an oxadiazolyl group, a thiadiazolyl group, a triazolyl group, a tetrazolyl group, a furazanlyl group, a pyridyl group, a pyridazinyl group, a pyrimidinyl group, a pyrazinyl group and a triazinyl group; and nonaromatic heterocyclic groups such  
20 as, for example, a dihydrothienyl group, a tetrahydrothienyl group, a pyrrolinyl group, a pyrrolidinyl group, an imidazolidinyl group, an imidazolinyl group, a piperidinyl group, a piperazinyl group, an oxazolinyl group, an oxazolidinyl group, an isoxazolinyl group, an isoxazolidinyl  
25 group, a thiazolinyl group, a thiazolidinyl group, an isothiazolinyl group, an isothiazolidinyl group, a 1,2-dithiolanyl group, a 1,3-dithiolanyl group, a 1,2-dithiolyl, a 1,3-dithiolyl group, a dihydrothiopyranyl group, a tetrahydrothiopyranyl group, a 1,4-dithianyl group, a 1,4-dithiinyl group, a 1,4-oxathiinyl group and a  
30 thiomorpholinyl group.

67566-1292

-5a-

The "heterocyclic group" formed by  $R^1$  and  $R^2$  together with the nitrogen atom to which they are attached naturally contains the nitrogen atom to which  $R^1$  and  $R^2$  are attached and may additionally contain 1 to 3 hetero atoms  
5 selected from the group consisting of nitrogen atom oxygen atom and sulfur atom.

As the "mono-lower alkylamino groups", there can, for example, be mentioned a methylamino group, an ethylamino group, a propylamino group, an isopropylamino

group, a butylamino group, a pentylamino group, a hexyl-  
amino group, etc., and as the "di-lower alkylamino  
groups" there can, for example, be mentioned a dimethyl-  
amino group, an ethylmethylamino group, a diethylamino  
5 group, an ethylpropylamino group, a dipropylamino group,  
a butylmethylamino group, a dibutylamino group, a butyl-  
ethylamino group, a methylpentylamino group, a hexyl-  
methylamino group, an ethylhexylamino group, etc.

The "arylamino group" means an amino group  
10 substituted by an aryl group wherein the aryl part has  
the above meanings, and the arylamino group can be men-  
tioned such as, for example, a phenylamino group and a  
naphthylamino group.

The "halogen atoms" include a fluorine atom, a  
15 chlorine atom, a bromine atom and an iodine atom.

As the "lower alkylidene groups", there can be  
mentioned straight-chain or branched alkylidene groups  
having 1 to 6 carbon atoms such as, for example, a methy-  
lene group, an ethylidene group, a propylidene group, an  
20 isopropylidene group, a butylidene group, an isobutyli-  
dene group, a sec-butylidene group, a pentylidene group,  
an isopentylidene group, a neopentylidene group and a  
hexylidene group.

The "lower alkoxy group" means a (lower alkyl)-  
25 O-group wherein the lower alkyl part has the above mean-  
ing, and examples thereof are a methoxy group, an ethoxy  
group, a propoxy group, an isopropoxy group, a butoxy  
group, an isobutoxy group, a sec-butoxy group, a tert-  
butoxy group, a pentoxy group, an isopentoxy group, a  
30 neopentoxy group, a hexoxy group, etc.

The "lower alkyloxycarbonyl group" means a  
(lower alkoxy)-CO-group wherein the lower alkoxy part has  
the above meaning, and examples thereof are a methoxy-  
carbonyl group, an ethoxycarbonyl group, a propyloxy-  
35 carbonyl group, an isopropyloxycarbonyl group, a butyl-  
oxycarbonyl group, an isobutyloxycarbonyl group, a



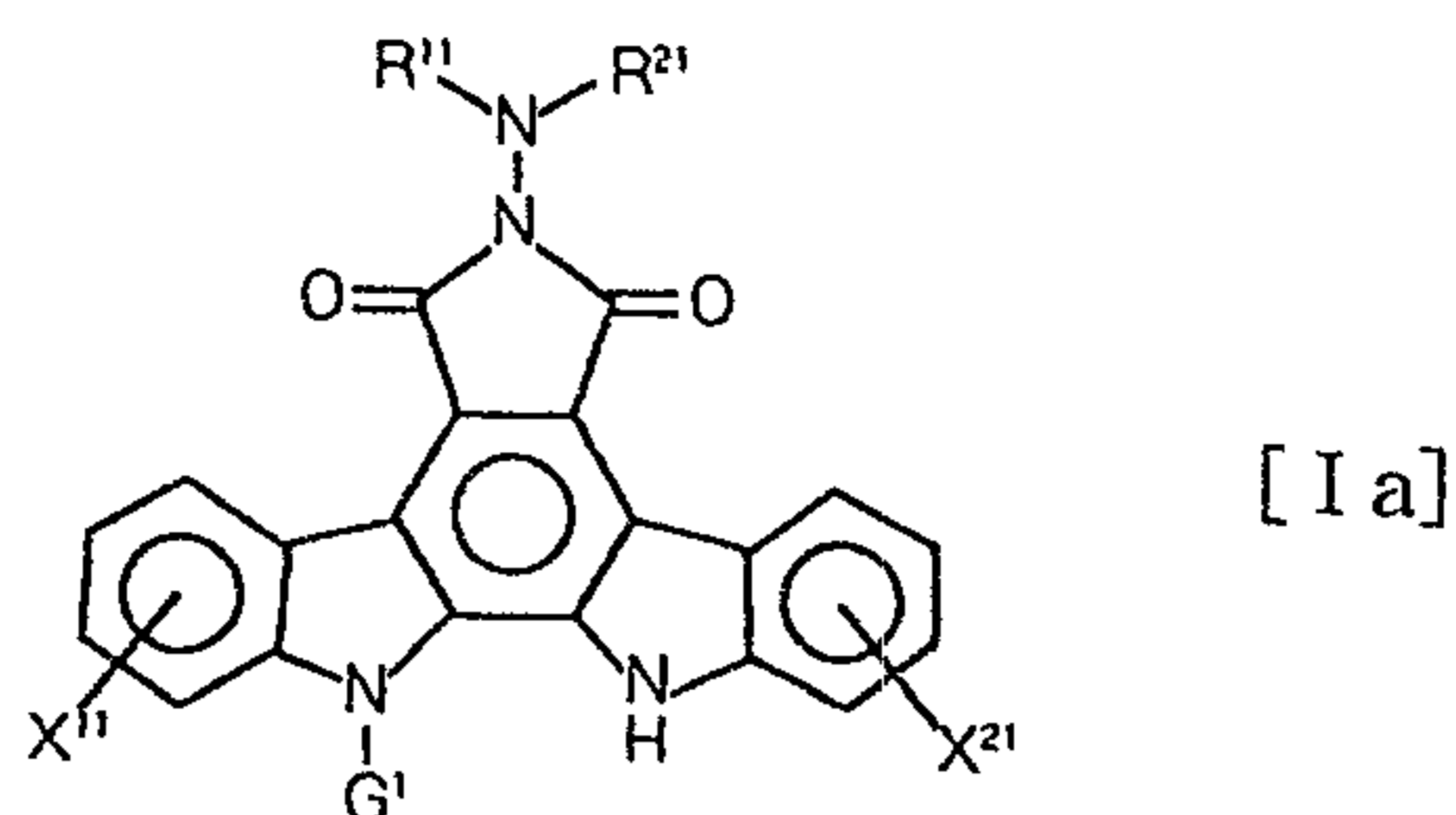
pentylloxycarbonyl group, a hexylloxycarbonyl group, etc.

The "aralkoxy group" means a lower alkoxy group substituted by an aryl group wherein the aryl and lower alkoxy parts have the aforesaid meanings, respectively, and examples thereof are a benzyloxy group, a phenethyloxy group, a phenylpropoxy group, an  $\alpha$ -naphthylmethoxy group, a  $\beta$ -naphthylmethoxy group, a naphthylethoxy group, a tetrahydronaphthylmethoxy group, etc.

Mentioned as examples of the protective group in the "optionally substituted hydroxyl group" are alkanoyl groups having 2 to 6 carbon atoms such as an acetyl group, a propionyl group and a butyryl group; aroyl groups such as a benzoyl group; substituted or unsubstituted aralkyl groups such as a benzyl group and a 4-methoxybenzyl group; groups forming an acetal such as acetonide; etc.

The "pentose group" and "hexose group" mean a pentose group and a hexose group the hydroxyl groups of which may be substituted by the same or different 1 to 3 groups selected from the group consisting of hydrogen atoms, lower alkyl groups, lower alkylcarbonyloxy groups, lower alkoxy groups and amino groups, or oxidized, and there can be mentioned groups derived from pentoses such as, for example, ribose, arabinose, xylose and 2-deoxyribose, and groups derived from hexoses such as, for example, allose, glucose, mannose, galactose, glucosamine, galactosamine, 2-deoxyglucose, 4-O-methylglucose, rhamnose and glucuronic acid.

Preferred among the compounds of the aforesaid formula [I] provided by this invention are compounds represented by the following formula



wherein

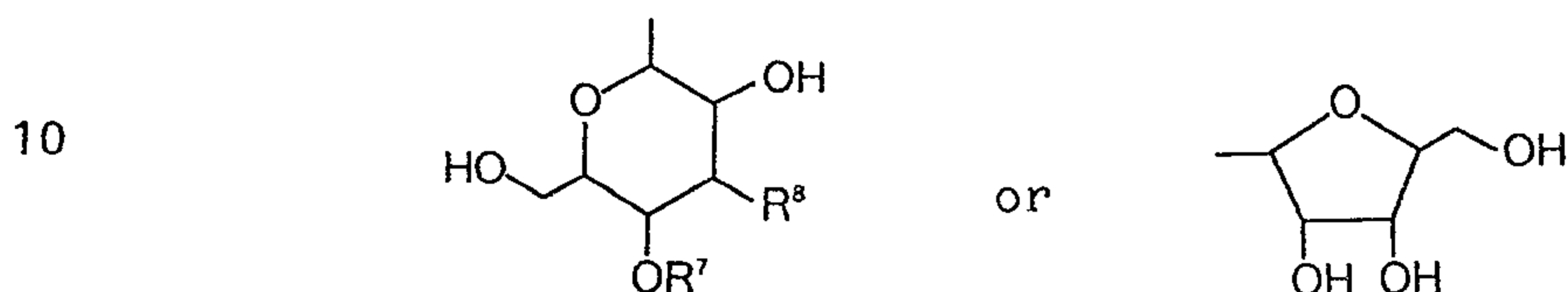
$R^{11}$  and  $R^{21}$  each independently represent a hydrogen atom, lower alkyl group, lower alkenyl group, aryl group, aralkyl group, pyrrolyl group, oxazolyl group, isoxazolyl group, thiazolyl group, imidazolyl group, pyridyl group, pyrimidinyl group, oxazolinyll group, oxazolidinyll group, imidazolinyll group, imidazolidinyll group, pyrrolidinyl group, piperazinyl group, thiazinyl group, thiazolidinyll group (the lower alkyl group, lower alkenyl group, aryl group, aralkyl group and heterocyclic group may have 1 to 5 substituents selected from the group consisting of carboxyl groups, carbamoyl groups, cyano groups and hydroxyl groups), or a group of the formula  $-Y-R^{31}$ , and therein Y represents a carbonyl group, thiocarbonyl group or sulfonyl group, and  $R^{31}$  represents a hydrogen atom, lower alkyl group, aryl group (the lower alkyl group and aryl group may have 1 to 4 substituents selected from the group consisting of halogen atoms, optionally protected hydroxyl groups, amino groups and carboxyl groups), amino group, hydrazino group, arylamino group, lower alkoxy group, carbamoyl group, pyrrolyl group, oxazolyl group, isoxazolyl group, thiazolyl group, imidazolyl group, pyridyl group, pyrimidinyl group, oxazolinyll group, oxazolidinyll group, imidazolinyll group, imidazolidinyll group, pyrrolidinyl group, piperazinyl group, thiazinyl group or thiazolidinyll group; or

- 9 -

$R^{11}$  and  $R^{21}$  combine to represent a lower alkylidene group optionally having carboxyl group(s), or

$R^{11}$  and  $R^{21}$  combine together with the nitrogen atom to which they bind to form a pyrrolidinyl group, imidazolidinyl group, imidazoliny group, piperidino group or, piperazinyl group (these heterocyclic groups may have on the ring lower alkyl group(s) optionally substituted by hydroxy group(s)),

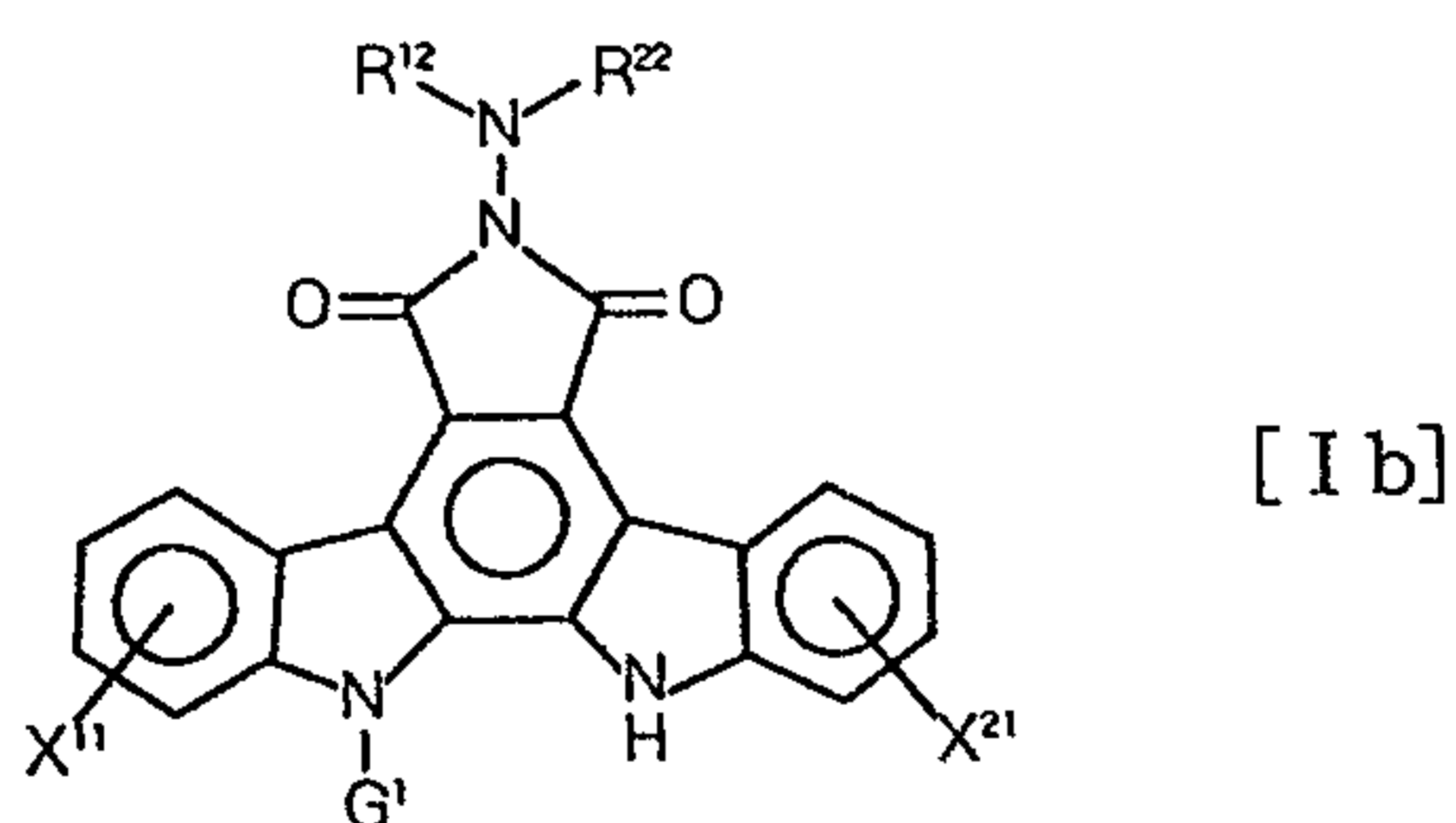
$G^1$  represents a group of the formula



and therein  $R^7$  represents a hydrogen atom or lower alkyl group and  $R^8$  represents a hydroxyl group or amino group, and

$X^{11}$  and  $X^{21}$  bind to the indolopyrrolocarbazole rings at the 1- or 2-position and at the 10- or 11-position, respectively, and each independently represent a halogen atom, hydroxyl group, lower alkoxy group or aralkoxy group.

Further preferred compounds are those represented by the following formula



wherein

$R^{12}$  represents a hydrogen atom or lower alkyl



group,

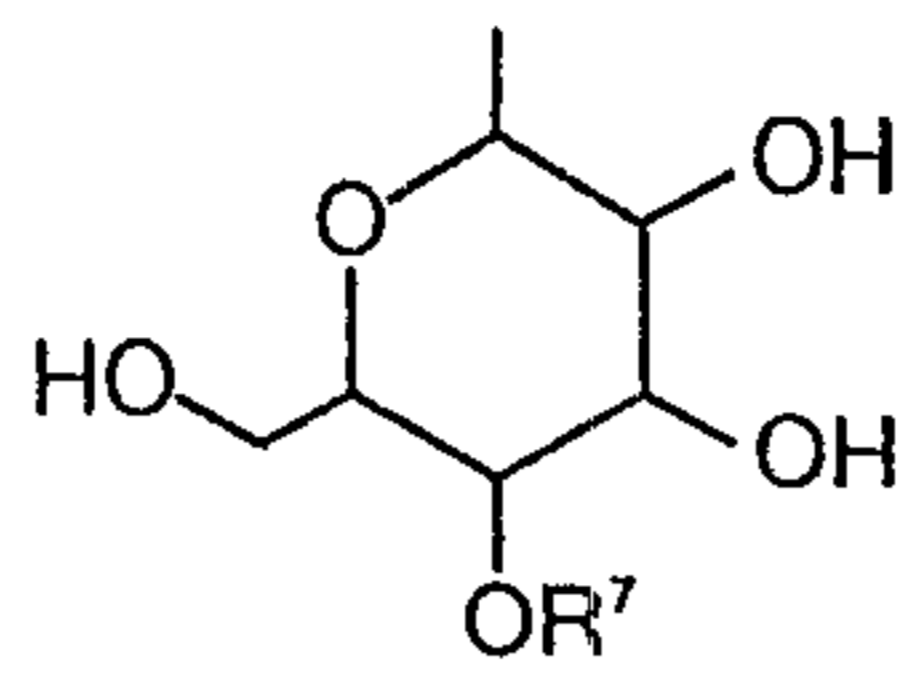
$R^{22}$  represents a hydrogen atom, lower alkyl group (the lower alkyl group may have 1 to 5 substituents selected from the group consisting of carboxyl groups, carbamoyl groups, hydroxyl groups and cyano groups), aryl group, aralkyl group (the aryl group and aralkyl group may have 1 to 4 substituents selected from the group consisting of hydroxyl groups and carboxyl groups), pyridyl group, imidazolyl group, imidazoliny group, thiazolyl group, pyrrolidinyl group, piperazinyl group, or a group of the formula  $-Y-R^{32}$ , and therein Y represents a carbonyl group, thiocarbonyl group or sulfonyl group, and when Y is a carbonyl group or thiocarbonyl group,  $R^{32}$  represents a hydrogen atom, lower alkyl group, aryl group (the lower alkyl group and aryl group may have 1 to 4 substituents selected from the group consisting of halogen atoms, optionally protected hydroxyl groups, amino groups and carboxyl groups), amino group, hydrazino group, arylamino group, lower alkoxy group, carbamoyl group, pyridyl group, pyrimidinyl group, imidazoliny group or pyrrolidinyl group, and when Y is a sulfonyl group,  $R^{32}$  represents a lower alkyl group or aryl group; or

$R^{12}$  and  $R^{22}$  combine to represent a lower alkylidene group having carboxyl group(s); or

$R^{12}$  and  $R^{22}$  combine together with the nitrogen atom to which they bind to form a pyrrolidinyl group, piperidino group or piperazinyl group (these heterocyclic groups may have on the ring lower alkyl group(s) optionally having hydroxyl group(s)), and

$G^1$ ,  $X^{11}$  and  $X^{21}$  have the same meanings as defined in the above formula [Ia].

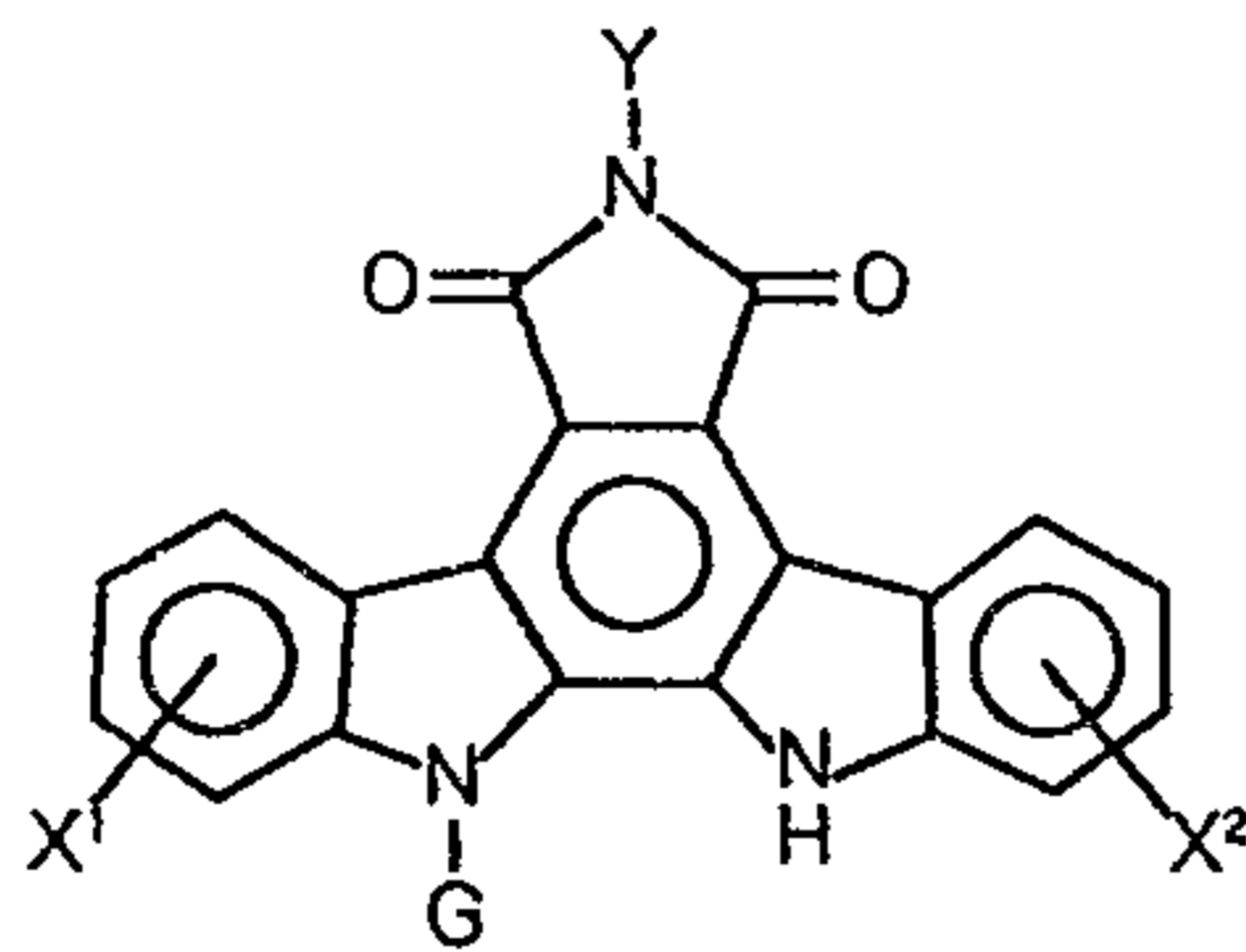
Preferred as  $G^1$  is generally



, and preferred as  $X^{11}$  and  $X^{21}$  are hydroxyl groups bound to the 1-position and 11-position of the indolopyrrolo-carbazole ring, respectively.

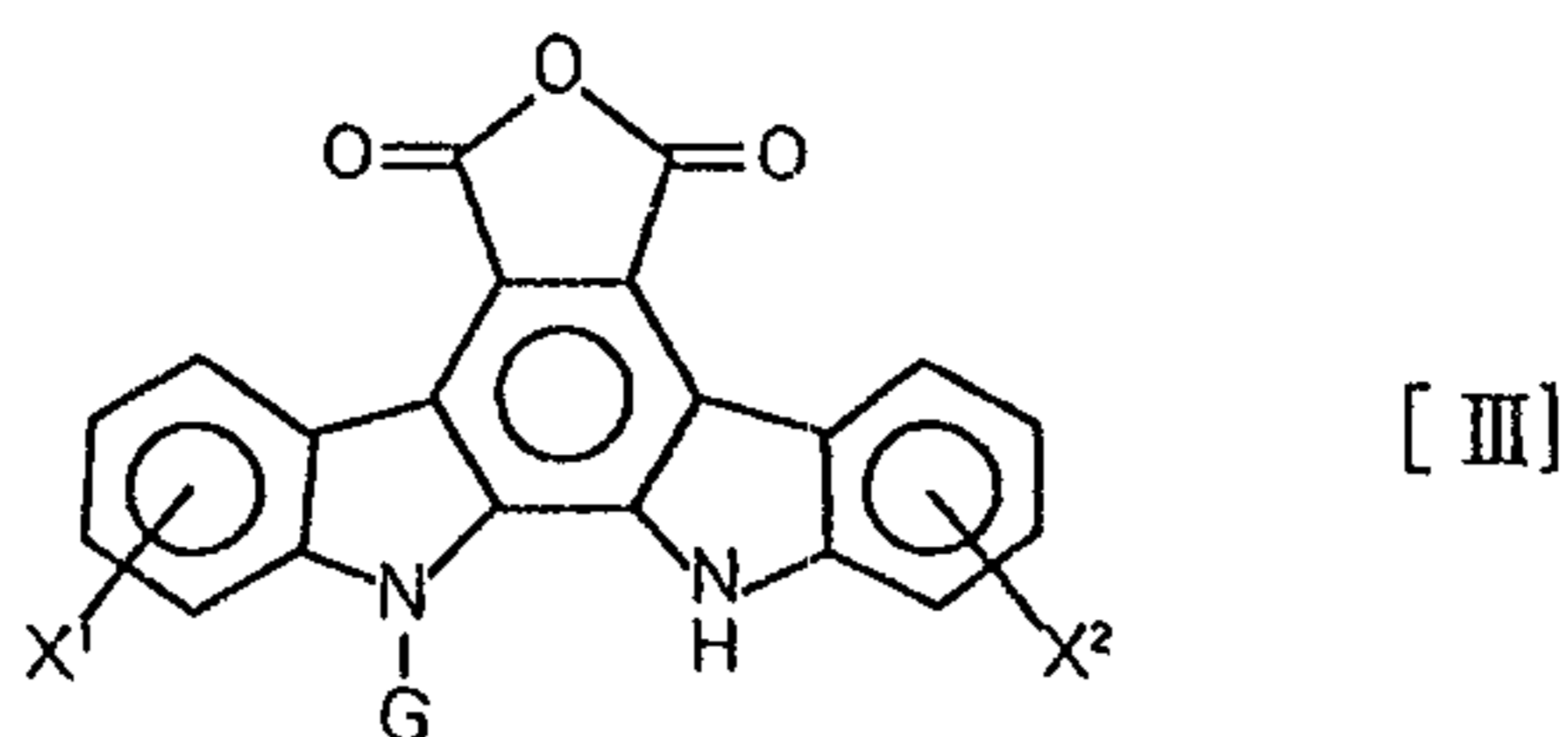
5           The compounds of this invention can exist in the form of pharmaceutical acceptable salts. Such salts include addition salts with inorganic acids such as, for example, hydrochloric acid and sulfuric acid, and with organic acids such as, for example, acetic acid, citric acid, tartaric acid and maleic acid. Further, in case  
10 the compounds of this invention contain an acidic group, the acidic group can exist in the form of alkali metal salts such as, for example, a potassium salt and a sodium salt; alkaline earth metal salts such as, for example, a magnesium salt and a calcium salt; and salts with organic  
15 bases such as an ethylamine salt and an arginine salt.

A compound of the formula [I] set forth in this invention can be prepared by reacting a compound represented by the following formula or a derivative thereof  
20 wherein the functional groups are protected



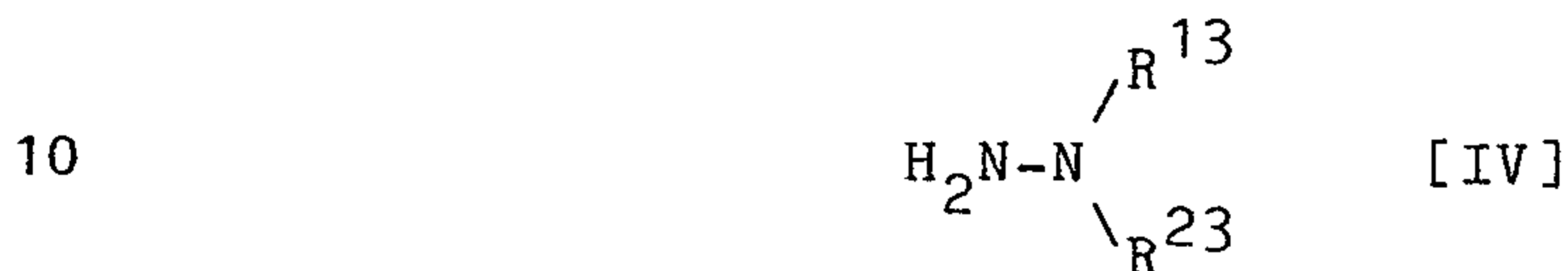
[II]

or



wherein,

Y represents a hydrogen atom or substituted or unsubstituted lower alkyl group, and X<sup>1</sup>, X<sup>2</sup> and  
 5 G have the same meanings as defined above with a compound represented by the following general formula or a derivative thereof wherein in case R<sup>13</sup> and R<sup>23</sup> contain a functional group, the functional group is each protected



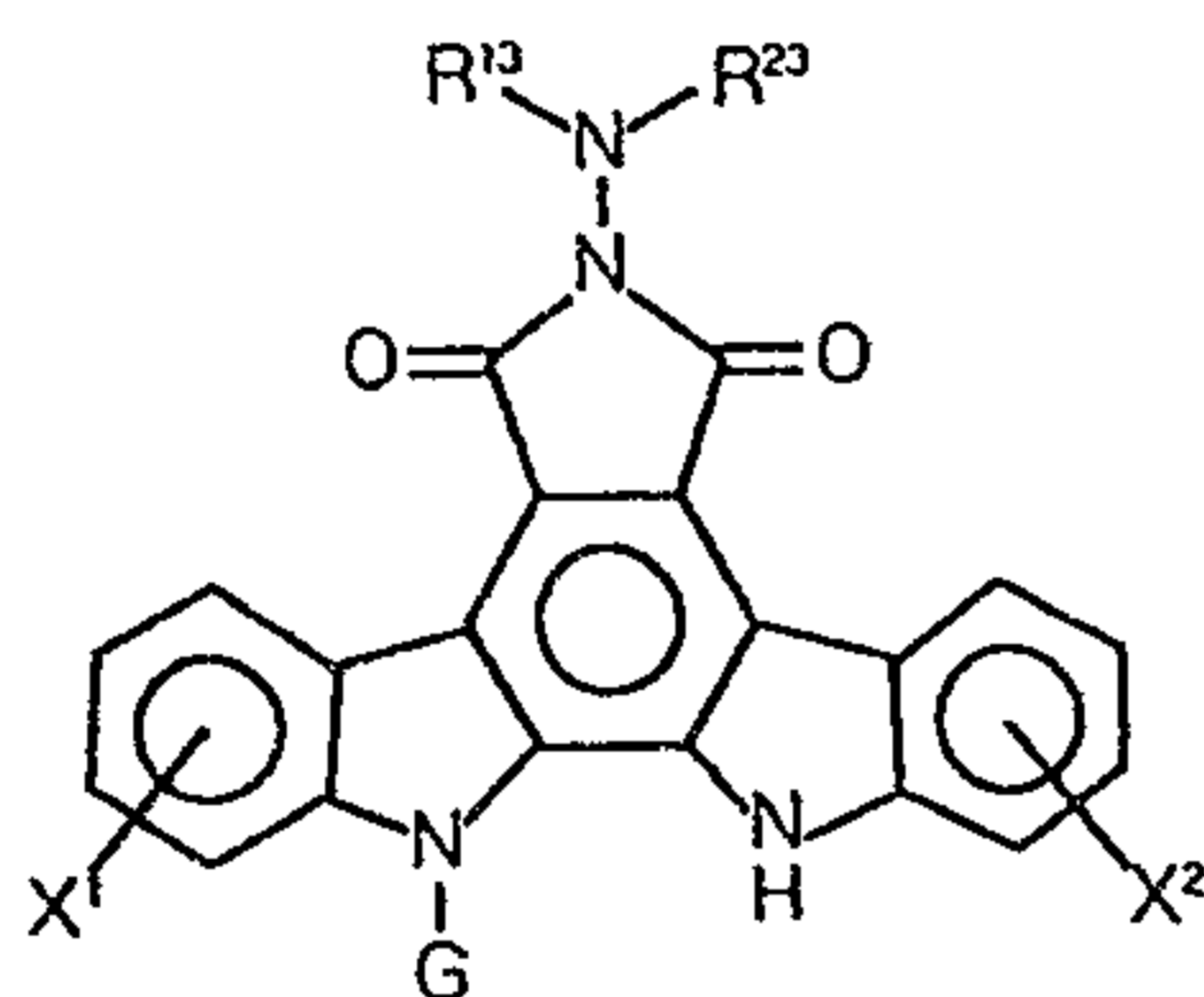
wherein

R<sup>13</sup> and R<sup>23</sup> each independently represent a hydrogen atom, lower alkyl group, lower alkenyl group, lower alkynyl group, aryl group, aralkyl group or hetero-  
 15 cyclic group (the lower alkyl group, lower alkenyl group, lower alkynyl group, aryl group, aralkyl group and hetero-cyclic group may have 1 to 5 substituents selected from the group consisting of carboxyl groups, carbamoyl groups, sulfo groups, amino groups, cyano groups, mono-  
 20 lower alkylamino groups, di-lower alkylamino groups, hydroxyl groups and halogen atoms), or a group of the formula -Y-R<sup>3</sup>, and herein Y represents a carbonyl group, thiocarbonyl group or sulfonyl group, and R<sup>3</sup> represents a hydrogen atom, lower alkyl group, cycloalkyl group,  
 25 cycloalkylalkyl group, aryl group, aralkyl group, lower alkoxy group, hydrazino group, amino group, arylamino group, or carbamoyl group or heterocyclic group (the



lower alkyl group, cycloalkyl group, cycloalkylalkyl group, aryl group, aralkyl group and heterocyclic group may each have 1 to 4 substituents selected from the group consisting of halogen atoms, optionally protected hydroxyl groups, amino groups, carboxyl groups, carbamoyl groups, cyano groups and lower alkoxy-carbonyl groups, and the amino group and carbamoyl group may each be mono- or di-substituted by lower alkyl group(s) optionally substituted by group(s) selected from the group consisting of halogen atoms, hydroxyl groups, amino groups, carboxyl groups, carbamoyl groups and lower alkoxy-carbonyl groups); or

$R^{13}$  and  $R^{23}$  combine together with the nitrogen atom to which they bind to form a heterocyclic group (the heterocyclic group may have on the ring lower alkyl group(s) optionally substituted by group(s) selected from the group consisting of amino groups, hydroxyl groups, carboxyl groups and sulfo groups); if necessary, removing the protective group(s) existing in the product to prepare a compound represented by the general formula



[Ic]

wherein  $R^{13}$ ,  $R^{23}$ ,  $X^1$ ,  $X^2$ ,  $G$  have the same meanings as defined above;

or either formylating, alkylating, alkenylating, alkynylating, aralkylating, carbamoylating, thiocarbamoylating, alkanoylating or sulfonylating the amino group  $\left(-N \begin{matrix} R^{13} \\ R^{23} \end{matrix}\right)$  of

the compound of the above formula [Ic] or the derivative thereof wherein the functional groups are protected when  $R^{13}$  and  $R^{23}$  represent a hydrogen atom, or condensing the above compound or derivative with a compound represented  
5 by the following formula or a derivative thereof wherein a functional group is protected



wherein  $R^6$  represents a hydrogen atom or carboxyl group, or a lower alkyl group optionally  
10 having 1 to 4 substituents selected from the group consisting of amino groups, mono-lower alkylamino groups, di-lower alkylamino groups, hydroxyl groups, carboxyl groups and sulfo groups,

15 and if necessary, removing the protective groups existing in the product; or reducing the double bonds of the compound of the above formula [Ic] when  $R^{13}$  and/or  $R^{23}$  contain a double bond or the compound prepared by condensing the compound [Ic] and the compound [V], or the  
20 derivative thereof wherein the functional groups are protected, and if necessary removing the protective groups existing in the product; and if necessary, converting the resulting compound of the formula [I] into a pharmaceutically acceptable salt.

25 Herein, the terms of alkylation, alkenylation, alkynylation, aralkylation, alkanoylation and sulfonylation are widely interpreted, and mean all of the reactions to introduce substituents corresponding to  $R^1$  and  $R^2$  in the structure of the compounds of this invention,  
30 and for example, alkylation means introduction of a substituted or unsubstituted alkyl group included in this invention.

Reaction of a compound of the formula [III] or [IIII] (hereafter, including a derivative thereof wherein  
35 a functional group is introduced) with a compound of the



formula [IV] (hereafter, including a derivative thereof wherein its functional groups are protected) can be carried out in accordance with reaction known per se of an imide or acid anhydride with a hydrazine or a hydrazine derivative, and can, for example, be carried out in the absence of a solvent or in an inert solvent, for example a solvent such as N,N-dimethylformamide at a temperature between about 0°C and the boiling point of the solvent, preferably in the range of about room temperature to about 80°C.

The use quantity of the compound of the formula [IV] to the compound of the formula [II] or [III] is not particularly limited, and can be varied over a wide range according to the kind of the compound, reaction conditions, etc., but usually, it is suitable to use the compound of the formula [IV] in a quantity in the range of at least 1 mole, preferably 1 to 10 moles, particularly 3 to 5 moles per mole of the compound of the formula [II] or [III]. Further, when the compound of the formula [IV] is liquid in the reaction temperature, it is also possible to use the compound in a largely excessive quantity, for example in a rate of 10 to 40 moles per mole of the compound of the formula [II] or [III] so as to make it serve as a solvent.

Thereby, there can be obtained a compound of the above formula [Ic] wherein the existing functional groups are sometimes protected appropriately.

The thus obtained compound of the formula [Ic] in case  $R^{13}$  and  $R^{23}$  represent a hydrogen atom or a derivative thereof wherein its functional groups are protected (hereafter, generally referred to as a compound of [Ic-1]) can be formylated, alkylated, alkenylated, alkynylated, aralkylated, carbamoylated, thiocarbamoylated, alkanoylated or sulfonylated to give a corresponding compound of the formula [Ic] in case at least one of  $R^{13}$  and  $R^{23}$  represents a group except for a hydrogen atom



defined on these groups.

Formylation of a compound of the formula [Ic-1] can be carried out according to a method usually used in formylation of an amino group, and can, for example, be  
5 carried out by heating it together with formic acid, formamide, dimethylformamide or the like, or by a method to react it with a mixture of formic acid and an acid anhydride in a solvent having no bad influence or without any solvent, or by another means.

10 Reaction of the compound of the formula [Ic-1] with formic acid, formamide, dimethylformamide or the like is usually carried out at a temperature in the range of 30°C to the boiling point of the solvent, but if necessary, can also be carried out at a temperature above  
15 or under such temperature, and reaction time is usually in the range of 30 minutes to 2 days. Preferably, the reaction is carried out usually in the presence of an acid catalyst such as hydrochloric acid or sulfuric acid.

Formylation using a mixture of formic acid with  
20 an acid anhydride is usually carried out at a comparatively low temperature in the range of -5°C to room temperature, but can, if necessary, be carried out in a range above or under this. Further, reaction time is usually 10 minutes to 5 hours, but can, if necessary, be  
25 lengthened or shortened.

Alkylation, alkenylation, alkynylation and aralkylation of a compound of the formula [Ic-1] can be carried out in accordance with a method known per se, for example, reaction with an alkylating agent, alkenylating  
30 agent, alkynylating agent or aralkylating agent such as an alkyl halide, an alkenyl halide, an alkynyl halide, an aralkyl halide, an alkyl mesylate, an alkenyl mesylate, an aralkyl mesylate, an alkyl tosylate or an aralkyl tosylate; or a method to condense it with an aldehyde  
35 compound or a ketone compound and reduce the resultant condensate; or the like. The reduction reaction at that

time can be carried out according to a method using formic acid, a metal or a metal hydride or a usual method such as a catalytic reduction method using palladium-carbon or the like.

5 Carbamoylation and thiocarbamoylation of a compound of the formula [Ic-1] can be carried out by reacting it with a correspond isocyanate compound or thioisocyanate compound in the absence of solvent or in a suitable solvent. Reaction temperature can be in the  
10 range of about  $-20^{\circ}\text{C}$  to the boiling point of the solvent, preferably about 0 to about  $50^{\circ}\text{C}$ .

Alkanoylation of a compound of the formula [Ic-1] can be carried out by a method to react it with a corresponding acid halide or an acid anhydride in the  
15 absence of a solvent or in a suitable solvent. Reaction can usually be carried out at a temperature in the range of about  $-5^{\circ}\text{C}$  to the boiling point of the solvent, and if necessary, can also be carried out at a temperature below this.

20 The acid halide or acid anhydride is, usually, used in a rate of small excess to the compound of the formula [Ic-1], but can, if necessary, be used in a quantity below or above this, and reaction time can, usually, be 30 minutes to 2 days.

25 Sulfonylation of a compound of the formula [Ic-1] can be carried out by reacting it with a reagent such as a corresponding organic sulfonic acid anhydride or organic sulfonyl halide in the presence or absence of a base. Reaction temperature can, usually, be sufficient  
30 in the range of about  $-10^{\circ}\text{C}$  to about  $50^{\circ}\text{C}$ , but can, if necessary, be a temperature above or under this, and reaction time can, usually, be 30 minutes to 3 days. A reagent such as an organic sulfonic acid anhydride or an organic sulfonyl halide is, usually, used in a rate of  
35 small excess, but can also be used, in a quantity above or under this.



Further, condensation reaction of a compound of the formula [Ic-1] with a compound of the above formula [V] (including a derivative thereof wherein the functional groups are protected) is so-called Schiff base formation reaction, and can, for example, usually be carried out in a solvent inert to the reaction, e.g. in a solvent such as tetrahydrofuran, at a temperature between about 0°C to the boiling point of the solvent, preferably in the range of room temperature to about 50°C. Reaction time is usually in the range of 30 minutes to 2 days, but can, if necessary, be a time above or under this.

Use quantity of the compound of the formula [V] to the compound of the formula [Ic-1] is not strictly limited, but usually, it is suitable to use the compound of the formula [V] in a rate of 1 to 50 moles, particularly 3 to 10 moles per mole of the compound of the formula [Ic-1].

The hydrazone compound obtained by the above reaction can be subjected to usual catalytic hydrogenation reaction using palladium-carbon or the like to give a compound of the formula [I] wherein  $R^1$  or  $R^2$  represents a hydrogen atom.

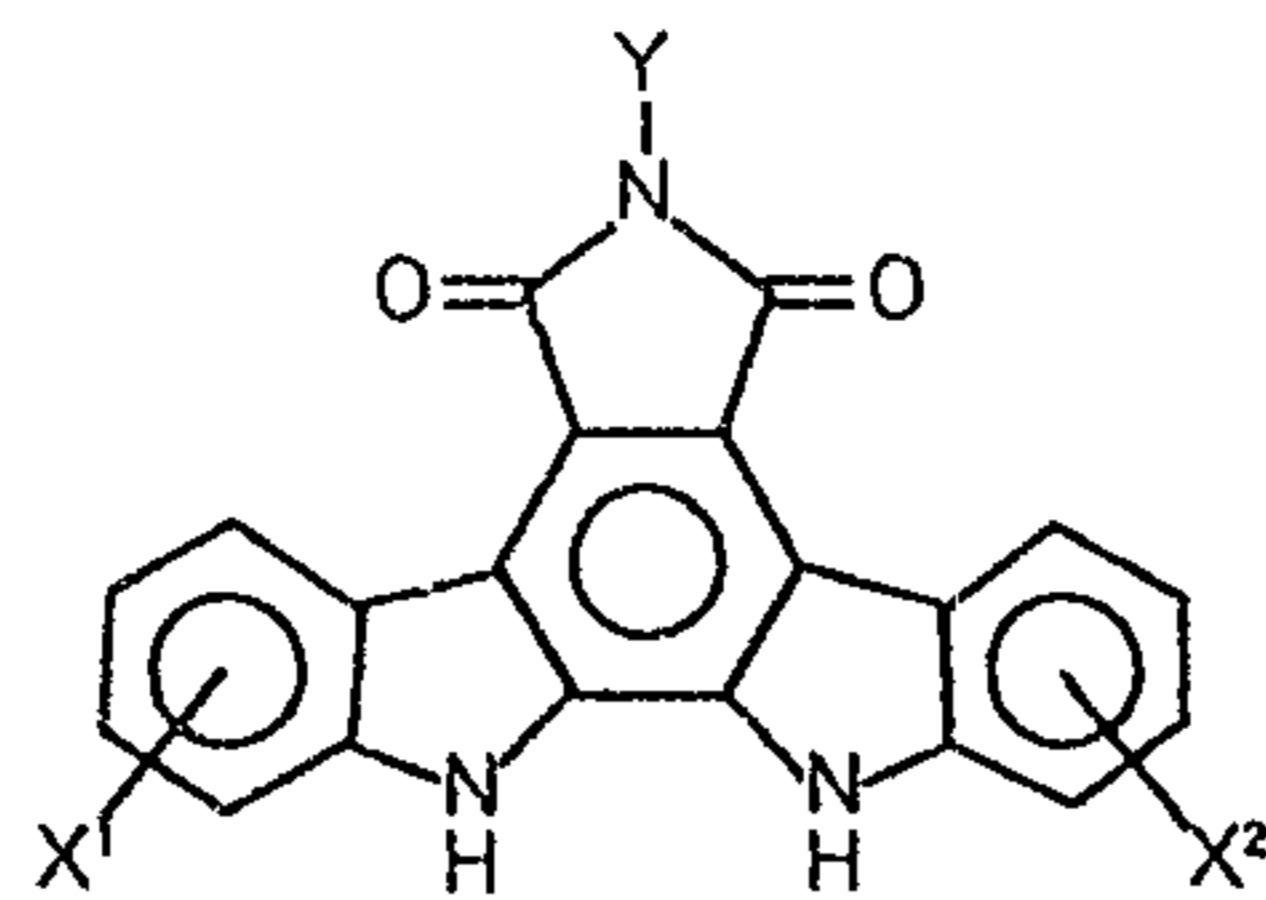
In the foregoing processes, protection of functional groups in raw material compounds and removal of protective groups existing in the formed compounds can be carried out using usual and optional methods widely known in the chemical field.

Further, isolation and purification of compounds produced by the above reactions can be carried out according to methods known per se in the field of organic synthetic chemistry, for example precipitation methods, solvent extraction methods, recrystallization, chromatography, etc.

A compound of the above formula [III] used as a starting raw material in the aforesaid processes can be prepared by glycosidating a compound represented by the



general formula



[VI]

wherein  $X^1$ ,  $X^2$  and Y have the same meanings as defined above

5 prepared by a process known per se [refer to J. Chem. Soc. Perkin Transactions I. pp 2475-2480 (1990)] or a derivative thereof wherein the functional groups are protected.

Glycosidation of the compound of the formula  
 10 [VI] or the derivative thereof wherein the functional groups are protected can be carried out by a process known per se [refer to J. Am. Chem. Soc. 60, 2559 (1938)], for example by condensing it with a reactive derivative of a pentose or hexose wherein the hydroxyl  
 15 groups are protected, e.g. 1-bromo-2,3,4,6-O-tetraacetylglucose, using as an activating agent mercury cyanide, silver carbonate, silver oxide or the like, preferably silver oxide, in an aprotic solvent, e.g. a solvent such as benzene, toluene or methylene chloride at a tempera-  
 20 ture of about 0°C to about 100°C, preferably about 80°C.

Alternatively, a compound of the formula [II] can also be prepared according to the process disclosed in the aforesaid PCT/W091/18003.

Further, a compound of the formula [III] can be  
 25 prepared by treating with a base a thus obtained compound of the formula [II] or derivative thereof wherein the functional groups are protected.

Preferred as the base is an aqueous solution of potassium hydroxide, and treatment with this base can  
 30 usually be carried out at room temperature, but in some

usually be carried out at room temperature, but in some occasions, can also be carried out with heating up to a temperature of about 50°C.

Neutralization or acidification of the reaction mixture can, if necessary, be carried out using hydrochloric acid, and thereby it is possible to precipitate the compound of the formula [III] as crystals.

The compounds of the formula [I] provided by this invention have an excellent antitumor action as shown in the following pharmacological test examples.

(1) Therapeutic effect against mouse tumor (P388)

Therapeutic effect of the compounds of this invention against mouse tumor (P388) is shown in Tables 1 and 2.

Table 1

Effect of the compound of Example 2 against P388

Tumor <sup>(1)</sup>	Dose <sup>(2)</sup> , i.p. (mg/kg/injection)	MST <sup>(3)</sup> (day)	T/C (%) <sup>(4)</sup>
	0	12.3 ± 1.06	100
	1	15.8 ± 0.84	128
P388	3	17.8 ± 1.92	145
	10	>26.4 ± 18.82	>245
	30	>42.2 ± 24.38	>343
	100	>47.2 ± 18.90	>384

Effect of the compound of Example 5 against P388

Tumor <sup>(1)</sup>	Dose <sup>(2)</sup> , i.p. (mg/kg/injection)	MST <sup>(3)</sup> (day)	T/C (%) <sup>(4)</sup>
P388	0	12.3 ± 0.95	100
	1	16.8 ± 0.84	137
	3	17.8 ± 1.92	145
	10	>26.2 ± 10.18	>213
	30	>23.4 ± 9.74	>190
	100	>36.4 ± 8.05	>296

(Footnotes of Tables 1 and 2)

(1) Tumor inoculation :  $10^6$  cancer cells were intraperitoneally inoculated.

5 (2) Dose: After tumor inoculation, each dose was intraperitoneally administered once a day from the 1st day to 10th day.

(3) MST: mean survival number of days

10 (4) T/C (%): (MST of treatment group/MST of control)  
x 100

(5) Standard: in case of  $T/C \geq 125$ , the test compound was judged to have a remarkable antitumor effect in the dose.

15 (2) Proliferation inhibition activity against mouse leukemia cell

Measurement method:

20 100  $\mu$ l portions of a cell culturing medium (10% fetal bovine serum-containing-RPMI-1640 medium) containing  $3 \times 10^3$  mouse leukemia cell (P388) were put in a 96-hole microplate, the cells were cultured under 5%  $CO_2$  at 37°C for 24 hours, 10  $\mu$ l each of test solutions containing test compounds respectively were added respectively, and the cells were further cultured under 5%  $CO_2$  at 37°C for 24 hours. 10  $\mu$ l portions of 0.5% Thiazoyl Blue were



added to the culture broths, and incubation was carried out under 5% CO<sub>2</sub> at 37°C for 2 hours to carry out enzymatic reaction. 20% sodium dodecyl sulfate (SDS) was added to discontinue the reaction, incubation was further  
5 carried out at 37°C for 4 hours to dissolve the formed dye, and absorbance at 550 nm was measured and compared with the control group. The results are shown in Table 3.

- 23 -  
Table 3Proliferation inhibition activity against mouse  
leukemia cell P388

Test compound	50% inhibitory concentration (IC <sub>50</sub> , $\mu$ M)
Compound of Example 1	<0.030
Compound of Example 2	0.29
Compound of Example 3	0.065
Compound of Example 4	0.096
Compound of Example 5	0.28
Compound of Example 6	0.059
Compound of Example 7	0.091
Compound of Example 8	0.30
Compound of Example 9	0.028
Compound of Example 10	0.46
Compound of Example 11	<0.026
Compound of Example 12	0.042
Compound of Example 13	0.22
Compound of Example 14	<0.027
Compound of Example 15	0.31
Compound of Example 17	0.044
Compound of Example 22	0.11
Compound of Example 23	<0.025
Compound of Example 24	0.001
Compound of Example 25	0.048
Compound of Example 27	0.027
Compound of Example 28	<0.029
Compound of Example 29	0.005
Compound of Example 30	0.003
Compound of Example 31	0.011
Compound of Example 33	0.11
Compound of Example 34	0.019
Compound of Example 35	0.17
Compound of Example 36	0.002
Compound of Example 37	0.095

As apparent from the results of the above pharmacological test, the compounds of this invention exhibit an excellent antitumor action, and are useful as an antitumor agent for control or prevention of diseases, particularly for treatment of cancers. When a compound of this invention is used in such uses, it is, usually, formulated into a pharmaceutical preparation comprising an effective quantity of it and a pharmaceutically acceptable carrier or diluent.

As administration forms at the time of use of a compound of this invention, various forms can be selected, and there can be mentioned oral agents such as, for example, tablet, capsules, powders, granules or liquids, or sterilized liquid parenteral agents such as, for example solutions or suspensions, or suppositories, ointments, or the like.

Solid preparations can be prepared, as they are, as forms of tablets, capsules, granules or powders, or can also be prepared using suitable additives. Such additives may be additives usually used, and include saccharides such as, for example, lactose and glucose; starches such as, for example, corn, wheat and rice; fatty acids such as, for example, stearic acid; inorganic salts such as, for example, magnesium metasilicate aluminate and anhydrous calcium phosphate; synthesized macromolecules such as, for example, polyvinylpyrrolidone and polyalkylene glycol; fatty acid salts such as, for example, calcium stearate and magnesium stearate; alcohols such as, for example, stearyl alcohol and benzyl alcohol; synthesized cellulose derivatives such as, for example, methylcellulose, carboxymethylcellulose, ethylcellulose and hydroxypropylmethylcellulose; and further, gelatin, talc, vegetable oils, gum arabic, etc.

Solid preparations such as these tablets, capsules, granules and powders contain an effective ingredient generally at 0.1-100 weight %, preferably at 5-100



weight %.

Liquid preparations are prepared in forms such as suspensions, syrups, injections or drops using suitable additives usually used in liquid preparations such as water, alcohols or oils originated in vegetables such as, for example, soybean oil, peanut oil and sesame oil.

Particularly, solvents suitable in case of parenteral administration in the form of intramuscular injection, intravenous injection or subcutaneous injection include, for example, distilled water for injection, aqueous lidocaine hydrochloride solutions (for intramuscular injection), physiological saline, aqueous glucose solutions, ethanol, polyethylene glycol, liquids for intravenous injection (e.g. aqueous solutions of citric acid and sodium citrate, etc.), electrolyte solutions (for intravenous drip and intravenous injection), etc., and their mixed solvents.

These injections can take forms that powder itself or to which suitable additives were added is dissolved at the time of use, besides such forms that ingredients are dissolved in advance. Such an injection contains usually 0.1-10 weight %, preferably 1-5 weight % of the effective ingredient.

Further, a liquid agent of a suspension, syrup or the like for oral administration can usually contain 0.5-10 weight % of the effective ingredient.

The preferred dose of the compounds of this invention can be varied according to the kind of a compound to be used, the kind and application frequency of the compounded composition, the specified site to be treated, the degree of diseases, the age of patients, diagnosis of doctors, the kind of tumor, etc., but, as an approximate standard, the dose per day and per one adult can, for example, be in the range of 10 to 500 mg in case of oral administration, and in the range of 10 to 100 mg in case of parenteral administration, preferably intra-

67566-1292

-26-

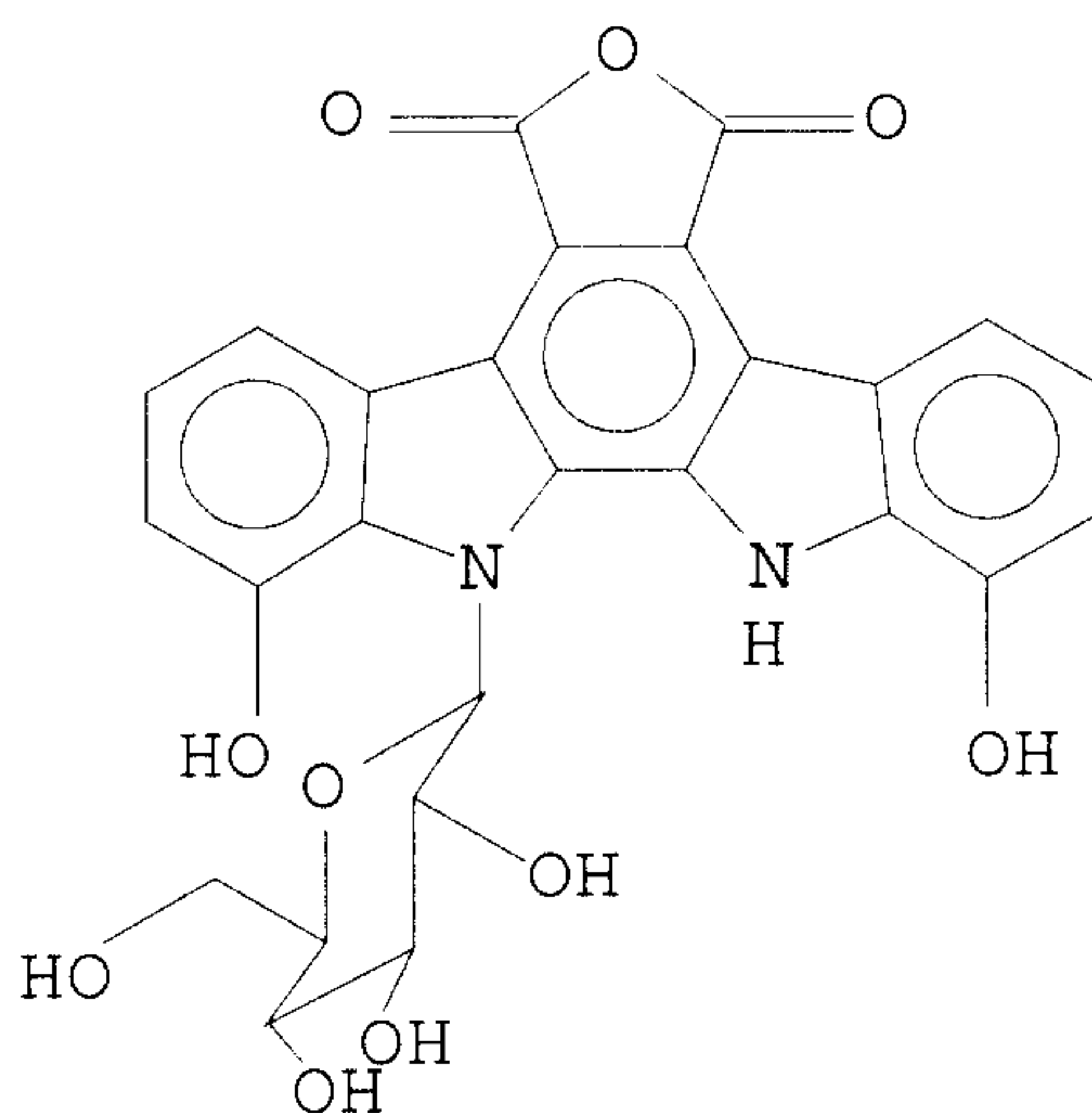
venous injection. The administration frequency varies depending on administration methods and symptoms, but is 1 to 5 times a day. Further, there can also be adopted administration methods such as intermittent administration, e.g. every second day administration or every third day administration.

This invention is more specifically described below by examples, but not limited only by these examples.

The terms "Sephadex", "Celite", "Kiesel gel", "Dianion" and "Chromatolex" appearing in the following examples are trade-marks.

#### Example A

The compound represented by the formula



3.4 g of 12,13-dihydro-1,11-dihydroxy-13-( $\beta$ -D-glucopyranosyl)-5H-indolo[2,3-a]pyrrolo[3,4-c]carbazole-5,7(6H)-dione was dissolved in 120 ml of 10% aqueous potassium hydroxide solution, and the solution was stirred at room temperature for 2 hours. The reaction solution was neutralized with addition of 120 ml of 2N hydrochloric acid,

67566-1292

-26a-

and the precipitated red crystals were filtered, washed with water and dried to give 3.0 g of the captioned compound.

FAB-MS(m/z): 520 (M)<sup>+</sup>, 521 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (400 MHz, DMSO-d<sub>6</sub>), δ(ppm): 3.42 (1H,m), 3.56-3.70  
5 (2H,m), 3.76 (1H,m), 3.95-4.10 (2H,m), 4.95 (1H,d,J=4.6Hz),  
5.24 (1H,d,J=5.4Hz), 5.32 (1H,dd,J=4.9, 5.1Hz), 7.06  
(2H,dd,J=7.6, 7.8Hz), 7.09 (1H,d,J=8.0Hz), 7.20  
(1H,d,J=7.8 Hz), 7.40 (1H,d,J=7.8Hz), 8.36

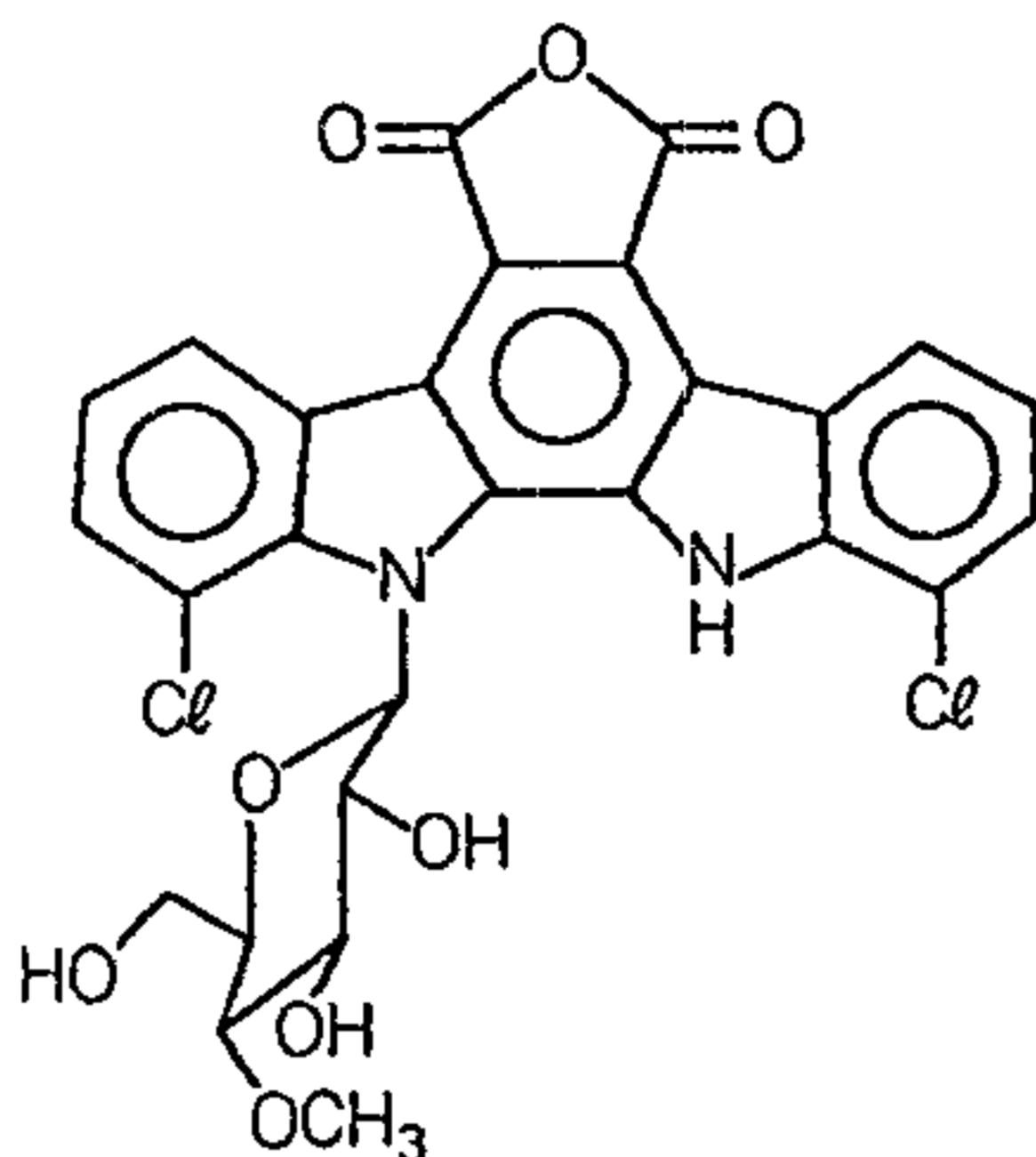


(1H,d,J=7.6Hz), 8.51 (1H,d,J=7.6Hz), 10.13 (1H,s), 10.52 (1H,s), 11.11 (1H,s)

Example B

The compound represented by the formula

5



50 mg of rebeccamycin was dissolved in 5 ml of N,N-dimethylformamide, 5 ml of 2N aqueous sodium hydroxide solution was added, and the mixture was stirred at 80°C for 3 hours. 60 ml of water was added to the reaction solution, the mixture was cooled with ice, and the precipitated yellow precipitate was recovered by filtration. This was subjected to column chromatography on silica gel (inner diameter 1.5 cm, length 45 cm), the column was washed with chloroform, elution was carried out with chloroform-tetrahydrofuran (10:1), and the fraction containing the desired product was concentrated to dryness. The resultant yellow powder was washed with chloroform to give 6.4 mg of the captioned compound.

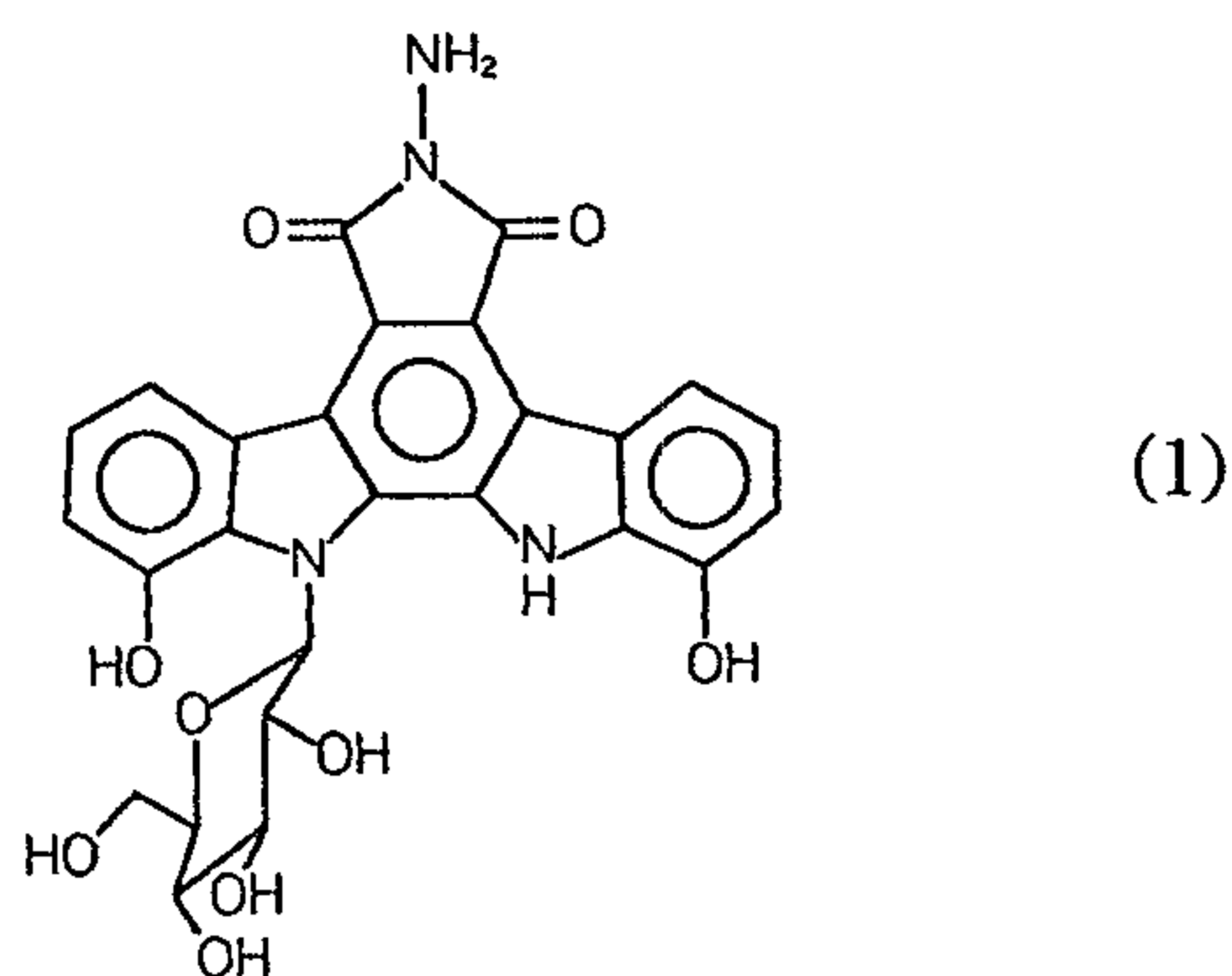
Rf value: 0.51 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol : tetrahydrofuran : acetic acid = 10:1:1:0.2)

FAB-MS(m/z): 571 [M+H]<sup>+</sup>

<sup>1</sup>H-NMR (300 MHz, DMSO-d<sub>6</sub>), δ (ppm): 10.9 (1H,s), 9.07 (1H,d,J=7.8Hz), 8.92 (1H,d,J=7.8Hz), 7.78 (2H,t,J=7.8Hz), 7.53 (1H,d,J=7.8Hz), 7.50 (1H,d,J=7.8Hz), 7.03 (1H,d,J=8.9Hz), 3.96 (2H,m), 3.87 (1H,m), 3.61 (3H,s), 3.54-3.73 (3H,m),

## Example 1

The compound represented by the formula



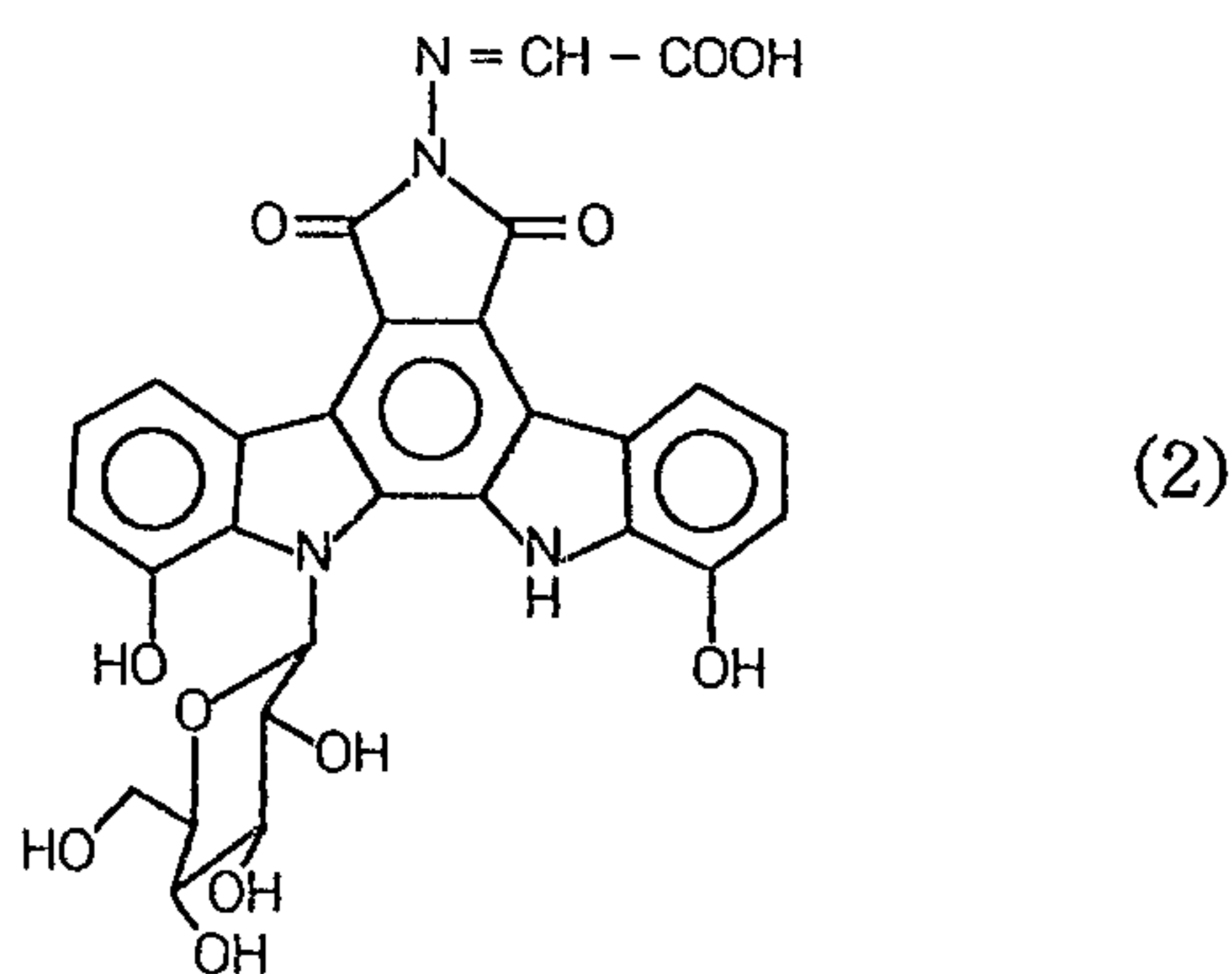
3.51 g of 12,13-dihydro-1,11-dihydroxy-13-( $\beta$ -  
 5 D-glucopyranosyl)-5H-indolo[2,3-a]pyrrolo[3,4-c]carba-  
 zole-5,7(6H)-dione was dissolved in 8 ml of hydrazine  
 hydrate (Wako Pure Chemical Industries, Ltd.), and reac-  
 tion was carried out at room temperature for 2 hours.  
 After the reaction, 180 ml of purified water was added,  
 10 the pH of the solution was adjusted to 5.0 with concen-  
 trated hydrochloric acid, the mixture was sufficiently  
 cooled with ice, and the resulting precipitate was col-  
 lected by filtration, washed with purified water and  
 dried under reduced pressure to give 3.51 g of the cap-  
 15 tioned compound represented by the formula (1). (yield :  
 97%)

FAB-MS ( $m/z$ ) : 535 ( $M+H$ )<sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>),  $\delta$  (ppm) : 10.9  
 (1H, brs), 10.4 (1H, s), 10.0 (1H, s), 8.72  
 (1H, d, J=7.8Hz), 8.54 (1H, d, J=7.8Hz), 7.  
 19 (2H, t, J=7.8Hz), 7.19 (2H, t, J=7.8Hz),  
 7.05 (1H, d, J=9.3Hz), 7.02 (1H, d, J=7.  
 8Hz), 7.00 (1H, d, J=7.8Hz), 5.42 (1H, brd,  
 J=5.8Hz), 5.35 (1H, brs), 5.22 (1H, brd, J=  
 4.4Hz), 4.96 (2H, brs), 4.91 (1H, brd, J=5.  
 3Hz), 4.01 (2H, m), 3.73 (1H, m), 3.63 (2H,  
 m), 3.39 (1H, m)

## Example 2

The compound represented by the formula



3.47 g of the compound obtained in Example 1  
 5 was dissolved in 20 ml of N,N-dimethylformamide (DMF),  
 while the solution was stirred at room temperature, 20 ml  
 of a 100 mg/ml solution of glyoxylic acid (Sigma Co.) was  
 added portionwise, and thereby a precipitate was formed  
 and solidified into a gel-like state. Further, 200 ml of  
 10 purified water was added, the reaction solution was  
 cooled with ice, and the resultant precipitate was col-  
 lected by filtration, washed with purified water and  
 dried under reduced pressure to give 3.85 g of the cap-  
 tioned compound represented by the formula (2). (yield :  
 15 100%)

FAB-MS (m/z) : 591 (M+H) +

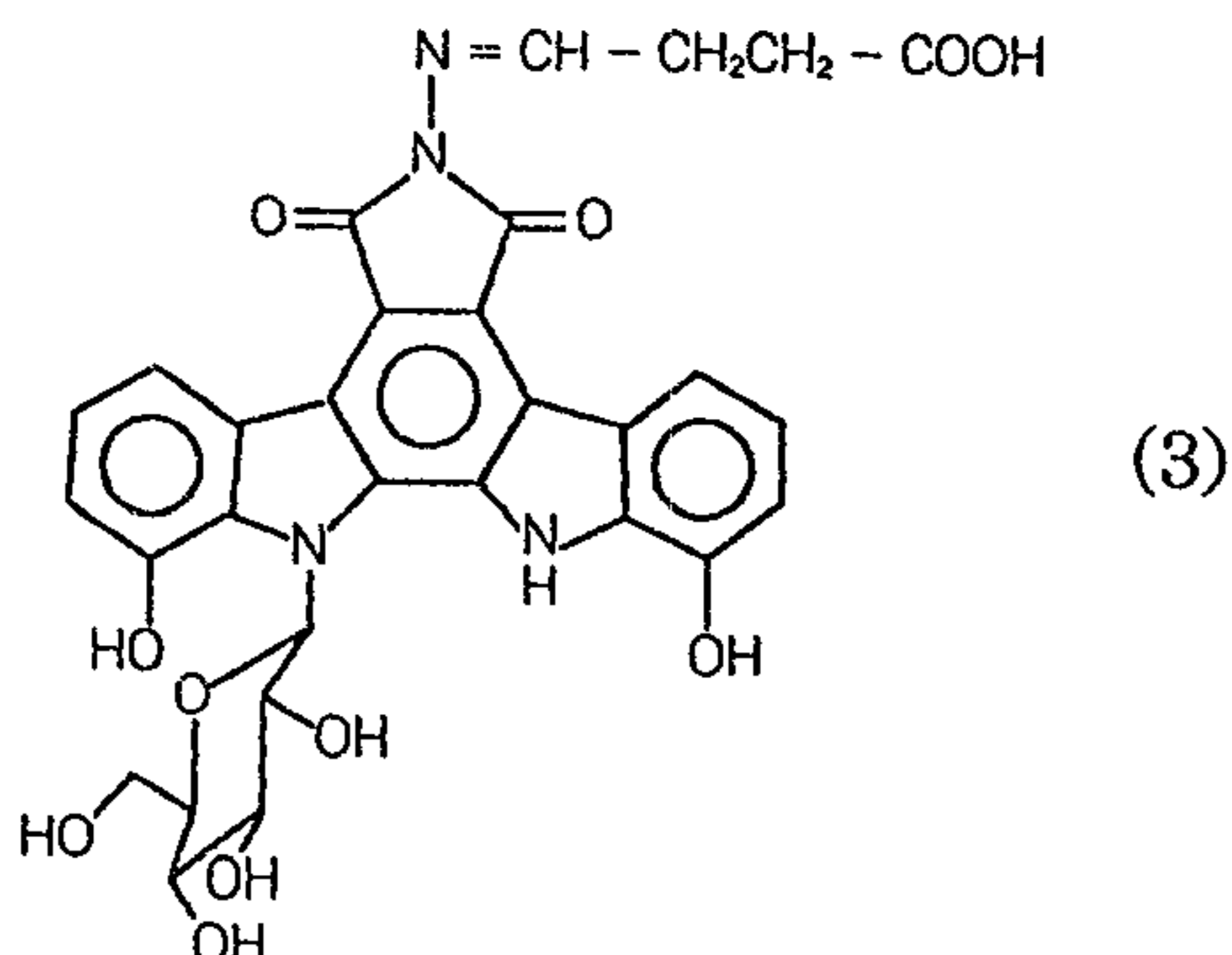
<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.1  
 (1H, brs), 10.5 (1H, brs), 10.1 (1H, brs),  
 9.01 (1H, s), 8.69 (1H, d, J=7.8Hz), 8.53  
 (1H, d, J=7.8Hz), 7.23 (2H, t, J=7.8Hz), 7.  
 10 (1H, d, J=9.3Hz), 7.06 (1H, d, J=7.8Hz),  
 7.04 (1H, d, J=7.8Hz), 5.44 (1H, brs), 5.34  
 (1H, brs), 5.24 (1H, brs), 4.95 (1H, brd, J=  
 5.9Hz), 4.02 (2H, m), 3.76 (1H, m), 3.64  
 (2H, m), 3.40 (1H, m)



- 30 -

## Example 3

The Compound represented by the formula



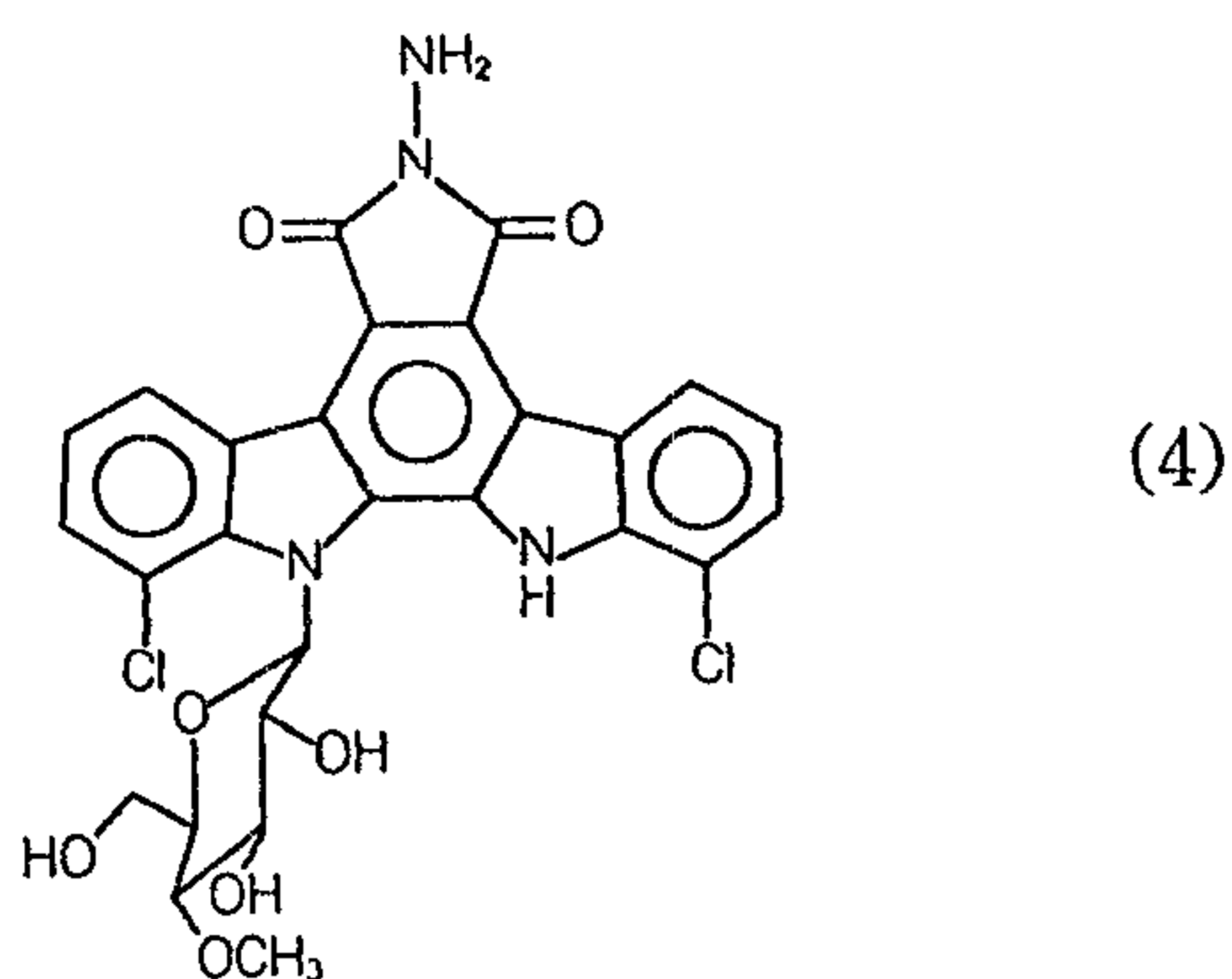
24 mg of the compound obtained in Example 1 was  
 5 dissolved in 0.5 ml of N,N-dimethylformamide (DMF), while  
 the solution was stirred at room temperature, 0.2 ml of  
 15% succinic semialdehyde (Aldrich Chemical Co.) was  
 added, and one hour later, 5 ml of purified water was  
 added. After the reaction solution was cooled with ice,  
 10 and the resultant precipitate was collected by filtra-  
 tion, washed with purified water and dried under reduced  
 pressure to give 25.3 mg of the captioned compound repre-  
 sented by the formula (3). (yield : 91%)

FAB-MS (m/z) : 619 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 12.1  
 (1H, brs), 11.0 (1H, brs), 10.4 (1H, brs),  
 10.0 (1H, brs), 8.69 (1H, brs), 8.68 (1H, d,  
 J=7.8Hz), 8.51 (1H, d, J=8.3Hz), 7.19 (2H,  
 t, J=7.8Hz), 7.07 (1H, d, J=9.3Hz), 7.04  
 (1H, d, J=7.8Hz), 7.01 (1H, d, J=7.8Hz), 5.  
 43 (1H, brd, J=5.4Hz), 5.33 (1H, brs), 5.22  
 (1H, brs), 4.93 (1H, brd, J=4.9Hz), 4.01  
 (2H, m), 3.74 (1H, m), 3.63 (2H, m), 3.40  
 (1H, m)

## Example 4

The compound represented by the formula



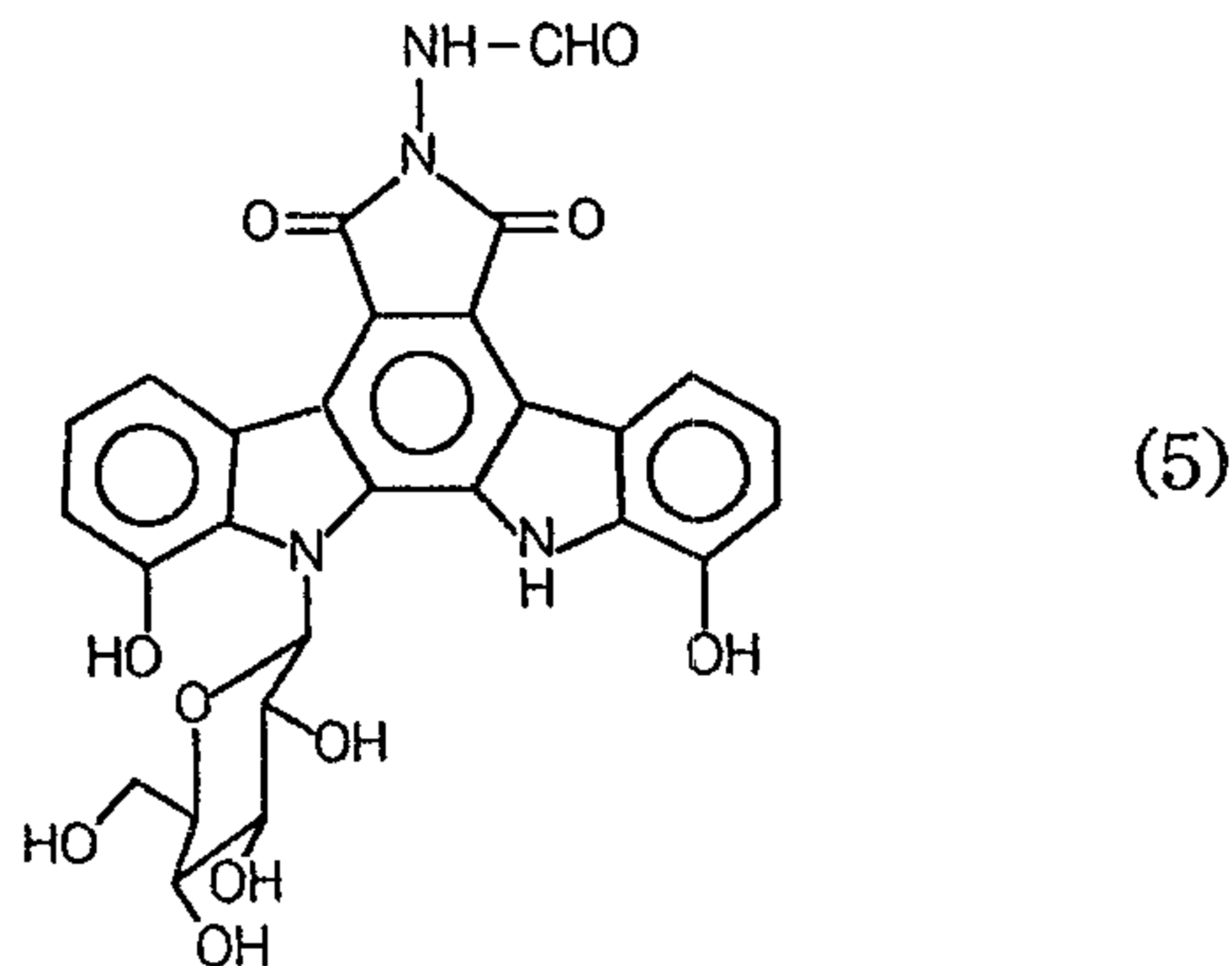
511 mg of rebeccamycin [the compound described  
 5 in J. Antibiotics 40, 668-678 (1987)] was dissolved in 3  
 ml of hydrazine hydrate (Wako Pure Chemical Industries,  
 Ltd.), and the solution was allowed to stand at room  
 temperature for one hour. 200 ml of purified water was  
 added, and the resultant precipitate was collected by  
 10 filtration, washed with 100 ml of purified water and  
 dried under reduced pressure to give 497 mg of the cap-  
 tioned 6-N-aminorebeccamycin represented by the formula  
 (4). (yield : 95%)

FAB-MS (m/z) : 585 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.64  
 (1H, brs), 9.24 (1H, d, J=7.8Hz), 9.07 (1H,  
 d, J=7.8Hz), 7.70 (2H, t, J=7.8Hz), 7.45  
 (1H, d, J=7.8Hz), 7.42 (1H, d, J=7.8Hz), 6.  
 93 (1H, d, J=8.8Hz), 5.42 (1H, d, J=5.8Hz),  
 5.33 (1H, t, J=5.4Hz), 5.03 (3H, brs), 3.97  
 (2H, m), 3.84 (1H, m), 3.59 (3H, s), 3.50~3.  
 70 (3H, m)

## Example 5

The compound represented by the formula



## [Process A]

5                    5 g of the compound obtained in Example 1 was dissolved in 60 ml of N,N-dimethylformamide, 1.8 ml of concentrated hydrochloric acid was added, the mixture was heated at 60°C for 4 hours, 0.8 ml of concentrated hydrochloric acid was further added, and the mixture was

10 warmed at 37°C for 16 hours. This was mixed with 1 l of ethyl acetate, the mixture was washed successively with 2% sodium bicarbonate aqueous solution and water, and then the ethyl acetate layer was dehydrated with anhydrous sodium sulfate and concentrated to dryness to give

15 3.3 g of orange powder. This was dissolved in methanol and subjected to column chromatography on Sephadex LH 20 (inner diameter 3 cm, length 54 cm, eluted with methanol), and the fractions containing the desired product were concentrated to dryness to give 2413.6 mg of the

20 captioned compound represented by the formula (5) as orange powder.

## [Process B]

25.9 mg of the compound obtained in Example A was dissolved in 0.5 ml of N,N-dimethylformamide, 15.0 mg of formohydrazide was added, and the mixture was stirred at 70°C for 2 hours. This was mixed with 70 ml of ethyl acetate, and the mixture was washed with water (20 ml).



- 33 -

The ethyl acetate layer was dehydrated with anhydrous sodium sulfate and concentrated to dryness to give 26.9 mg of orange powder. This was dissolved in methanol and subjected to column chromatography on Sephadex LH 20 (inner diameter 1.5 cm, length 48 cm, eluted with methanol), and the fractions containing the desired product were concentrated to dryness to give 16.3 mg of the captioned compound represented by the formula (5) as orange powder.

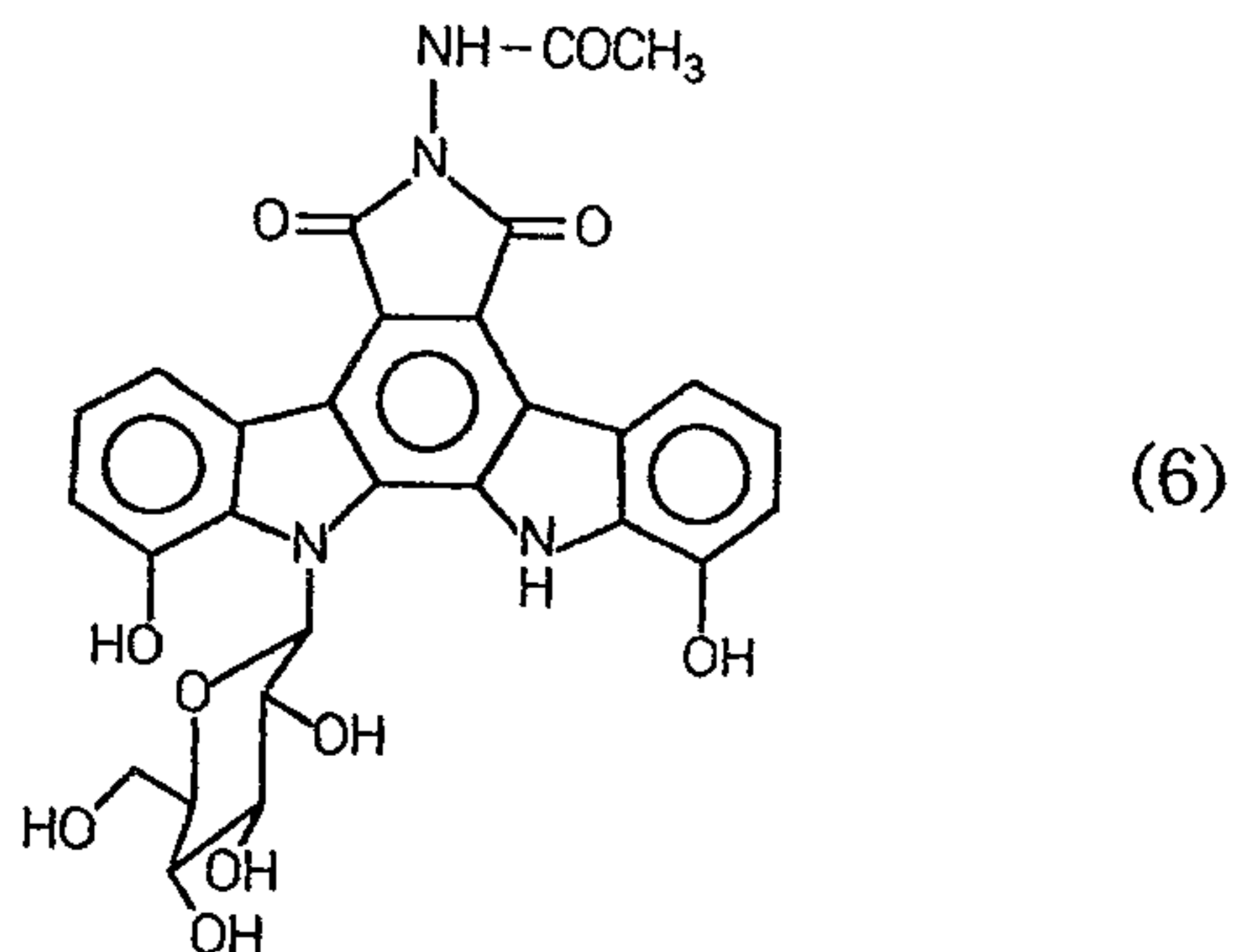
10 Rf value: 0.35 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform-methanol-tetrahydrofuran = 2:1:1)

FAB-MS (m/z) : 562 (M) ·

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.0 (1H, brs), 10.8 (1H, s), 10.4 (1H, s), 10.0 (1H, s), 8.64 (1H, d, J=8.3Hz), 8.47 (1H, d, J=8.3Hz), 8.44 (1H, s), 7.21 (2H, t, J=7.8Hz), 7.06 (1H, d, J=9.7Hz), 7.05 (1H, d, J=7.8Hz), 7.02 (1H, d, J=7.8Hz), 5.43 (1H, d, J=5.8Hz), 5.36 (1H, brs), 5.22 (1H, d, J=5.4Hz), 4.92 (1H, d, J=5.4Hz), 4.02 (2H, m), 3.75 (1H, m), 3.62 (2H, m), 3.39 (1H, m)

#### Example 6

15 The compound represented by the formula



- 34 -

30 ml of acetic acid and 2 ml of acetic anhydride were added to 510 mg of the compound obtained in Example 1, and the compound was dissolved therein with heating at 90°C. Water was added thereto to make the mixture 300 ml, and the reaction product was adsorbed on a column of Diaion HP 20 (inner diameter 3 cm, length 13.5 cm) and after washing the column with 600 ml of water, eluted with 300 ml of methanol. The methanol eluate was concentrated to dryness, the residue was dissolved in 50 ml of methanol, and the solution was concentrated to about 5 ml. 100 ml of ethyl acetate was added thereto, the mixture was allowed to stand overnight at 4°C, and the resultant orange precipitate was collected by filtration to give 426 mg of the captioned compound represented by the formula (6).

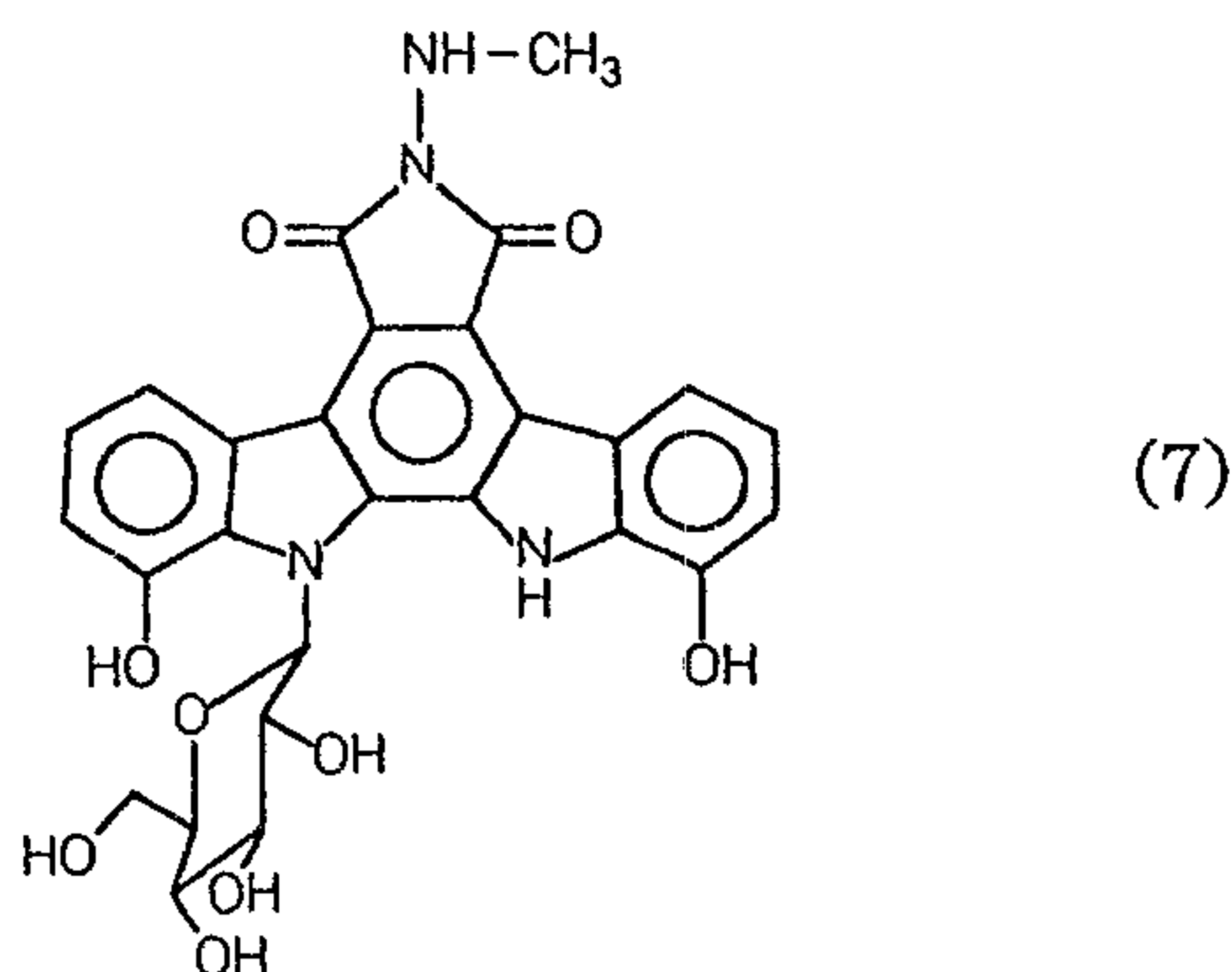
Rf value: 0.43 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform-methanol-tetrahydrofuran = 2:1:1)

FAB-MS (m/z) : 576 (M)

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.0 (1H, s), 10.7 (1H, s), 10.4 (1H, brs), 10.05 (1H, s), 8.64 (1H, d, J=7.8Hz), 8.47 (1H, d, J=7.8Hz), 7.20 (2H, t, J=7.8Hz), 7.01-7.06 (3H, m), 5.35-5.45 (2H, m), 5.23 (1H, brs), 4.92 (1H, brs), 4.02 (2H, m), 3.74 (1H, m), 3.58-3.70 (2H, m), 3.40 (1H, m), 2.10 (3H, s)

## Example 7

The compound represented by the formula



72.5 mg of the compound obtained in Example 1  
 5 was dissolved in a mixture of 8 ml of tetrahydrofuran and  
 5 ml of methanol, 140  $\mu$ l of 2N hydrochloric acid and 13.2  
 $\mu$ l of 37% formaldehyde aqueous solution were added, and  
 the mixture was stirred at room temperature for 2 hours  
 and concentrated to dryness. This was dissolved in 5 ml  
 10 of N,N-dimethylformamide, 80 mg of 10 % palladium carbon,  
 and the mixture was subjected to reduction under hydrogen  
 gas at room temperature for 2 hours and then filtered on  
 Celite. 80 ml of ethyl acetate was added to the resul-  
 tant filtrate, the mixture was washed successively with  
 15 2% sodium bicarbonate aqueous solution and water, and  
 then the resultant ethyl acetate layer was dehydrated and  
 concentrated to dryness to give 28.8 mg of orange powder.  
 This was dissolved in a small quantity of methanol, and  
 the solution was subjected to column chromatography on  
 20 Sephadex LH-20 (inner diameter 1.5 cm, length 90 cm,  
 eluted with methanol) to give 17.1 mg of the captioned  
 compound represented by the formula (7) as orange powder.

Rf value: 0.49 (produced by Merck Co., Kiesel  
 gel 60F<sub>254</sub>, developing solvent; chloroform-methanol-  
 25 tetrahydrofuran-acetic acid = 20:10:10:1)



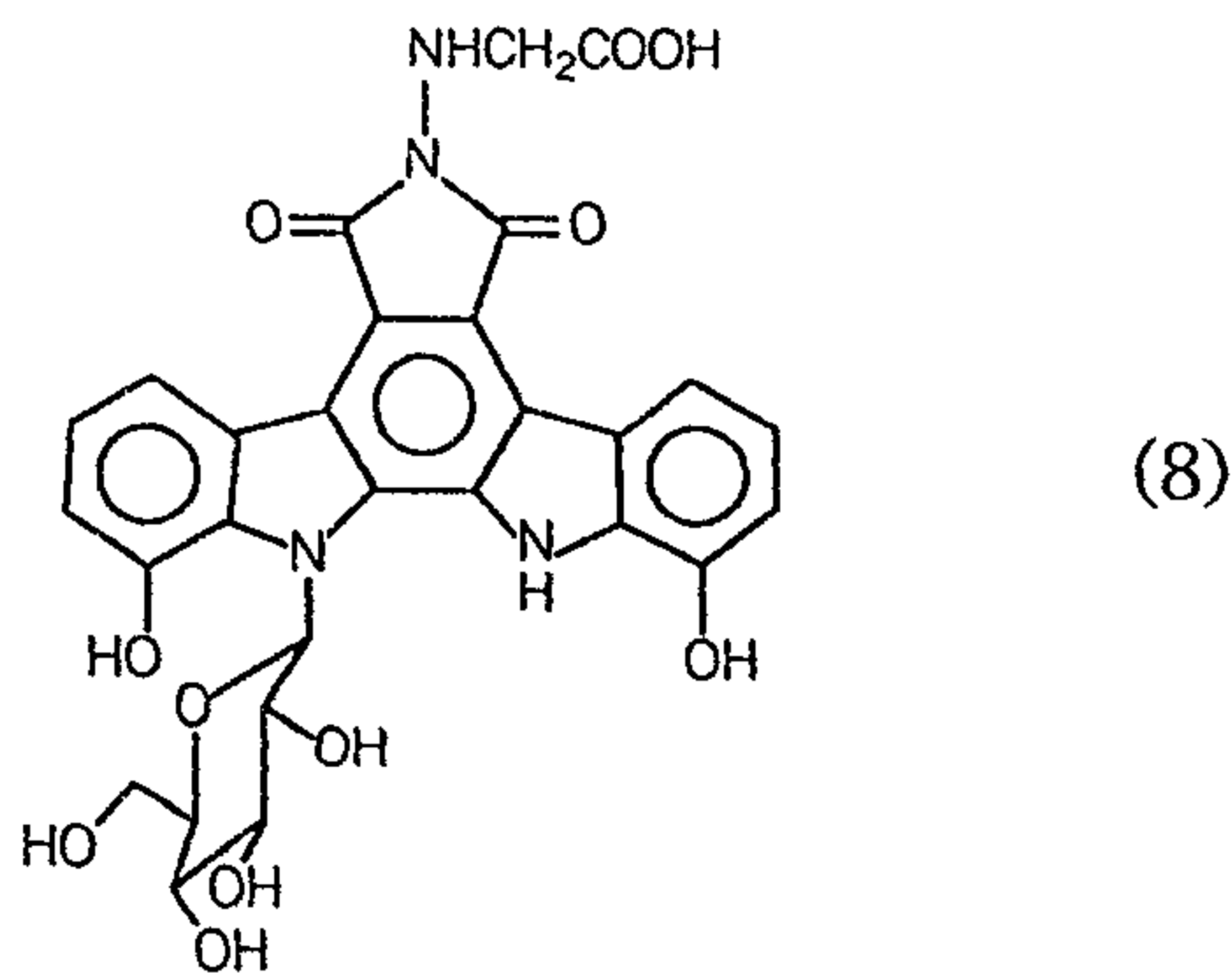
- 36 -

FAB-MS (m/z) : 549 (M+H) <sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.9 (1H, s), 10.4 (1H, s), 9.98 (1H, s), 8.72 (1H, d, J=7.8Hz), 8.54 (1H, d, J=7.8Hz), 7.19 (2H, t, J=7.8Hz), 7.00-7.06 (3H, m), 5.73 (1H, q, J=5.4Hz), 5.43 (1H, d, J=5.7Hz), 5.35 (1H, br s), 5.22 (1H, d, J=5.4Hz), 4.90 (1H, d, J=5.4Hz), 3.96-4.03 (2H, m), 3.74 (1H, m), 3.58-3.70 (2H, m), 3.40 (1H, m), 2.74 (3H, d, J=5.4Hz)

## Example 8

The compound represented by the formula



5                    500 mg of the compound obtained in Example 2  
 was dissolved in 6 ml of N,N-dimethylformamide(DMF), 75  
 mg of 10 % palladium-carbon (Pd-C) was added, and hydro-  
 genation was carried out at room temperature for 3.5  
 hours under the stirring. The reaction mixture was  
 10 filtered using filter paper on which diatom earth was  
 spread to remove Pd-C, and 150 ml of water was added to  
 the filtrate. The mixture was adjusted to pH 5 with 1N  
 NaOH, and then extracted with ethyl acetate (200 ml x 5).  
 The ethyl acetate layer was concentrated, and the preci-  
 15 pitated crystals were collected by filtration to give

- 37 -

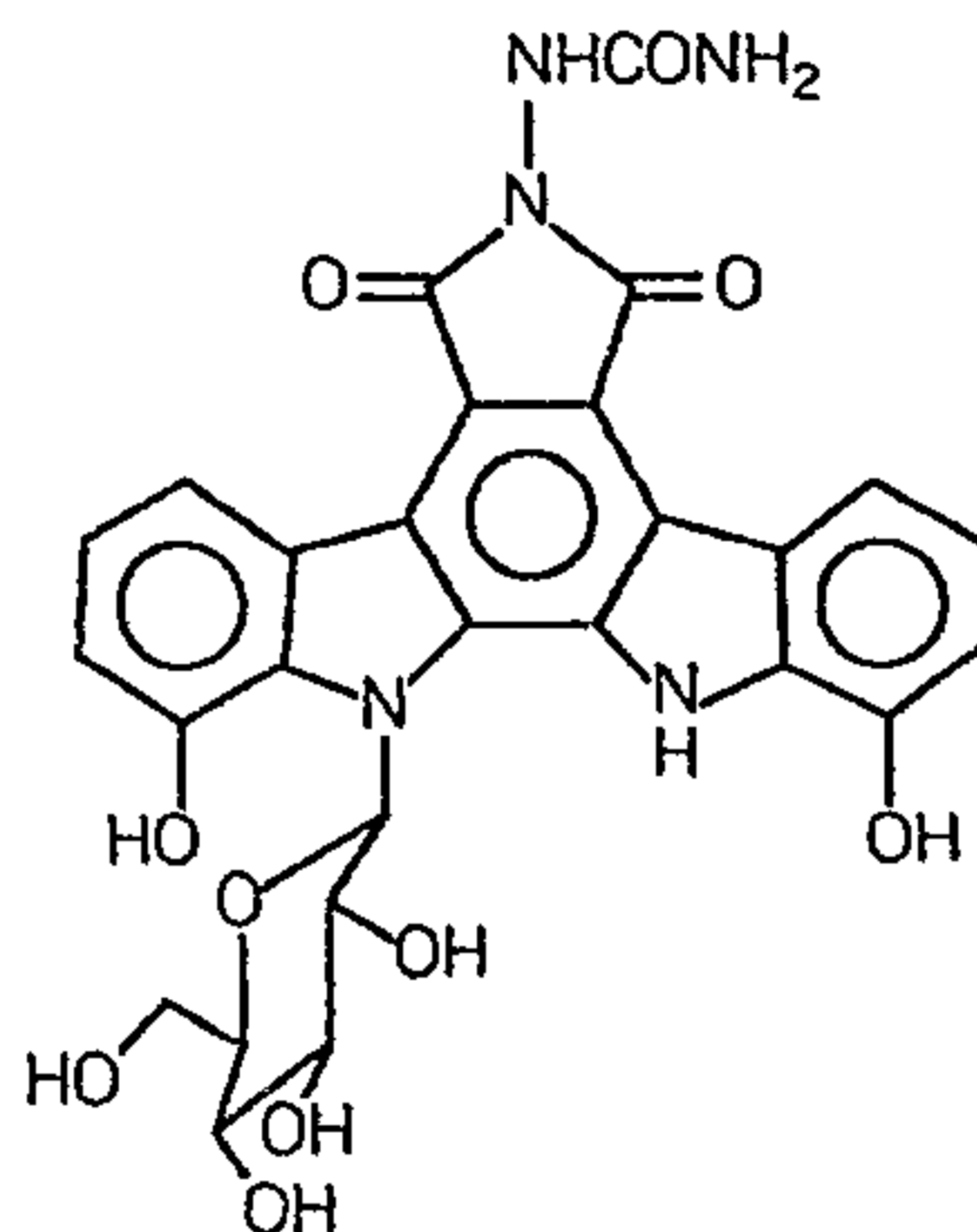
182.3 mg of the captioned compound represented by the formula (8).

FAB-MS (m/z) : 593 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 12.6 (1H, brs), 10.9 (1H, s), 10.4 (1H, s), 10.0 (1H, s), 8.69 (1H, d, J=8.3Hz), 8.52 (1H, d, J=7.8Hz), 7.18 (2H, t, J=7.8Hz), 6.99~7.05 (3H, m), 5.90 (1H, brs), 5.42 (1H, d, J=5.4Hz), 5.35 (1H, t, J=5.4Hz), 5.21 (1H, d, J=4.9Hz), 4.89 (1H, d, J=5.4Hz), 4.03 (2H, m), 3.83 (2H, s), 3.74 (1H, m), 3.63 (2H, m), 3.39 (1H, m)

#### Example 9

5 The compound represented by the formula



(9)

30 ml of methanol was added to 501.7 mg of the compound obtained in Example A and 501.7 mg of semicarbazide hydrochloride, 0.325 ml of triethylamine was then added, and the mixture was refluxed with heating for 8 hours. After the reaction, the reaction solution was concentrated to dryness, 300 ml of methyl ethyl ketone (MEK) and 200 ml of water were added, extraction operation was carried out, and another 300 ml of MEK was added to the water layer to carry out reextraction. The

10

15

- 38 -

MEK layers were combined and concentrated to dryness, 300 ml of methanol was added to the residue to dissolve it, the solution was subjected to a chromatograph tower of Sephadex LH-20 (3 x 28 cm), and elution was carried out  
5 with methanol. The fractions containing the desired product were concentrated to dryness to give 461 mg of the captioned compound represented by the formula (g) as red crystal-like powder.

Rf value: 0.15 (produced by Merck Co., Kiesel  
10 gel 60F<sub>254</sub>, developing solvent; chloroform : methanol : tetrahydrofuran = 2:1:1)

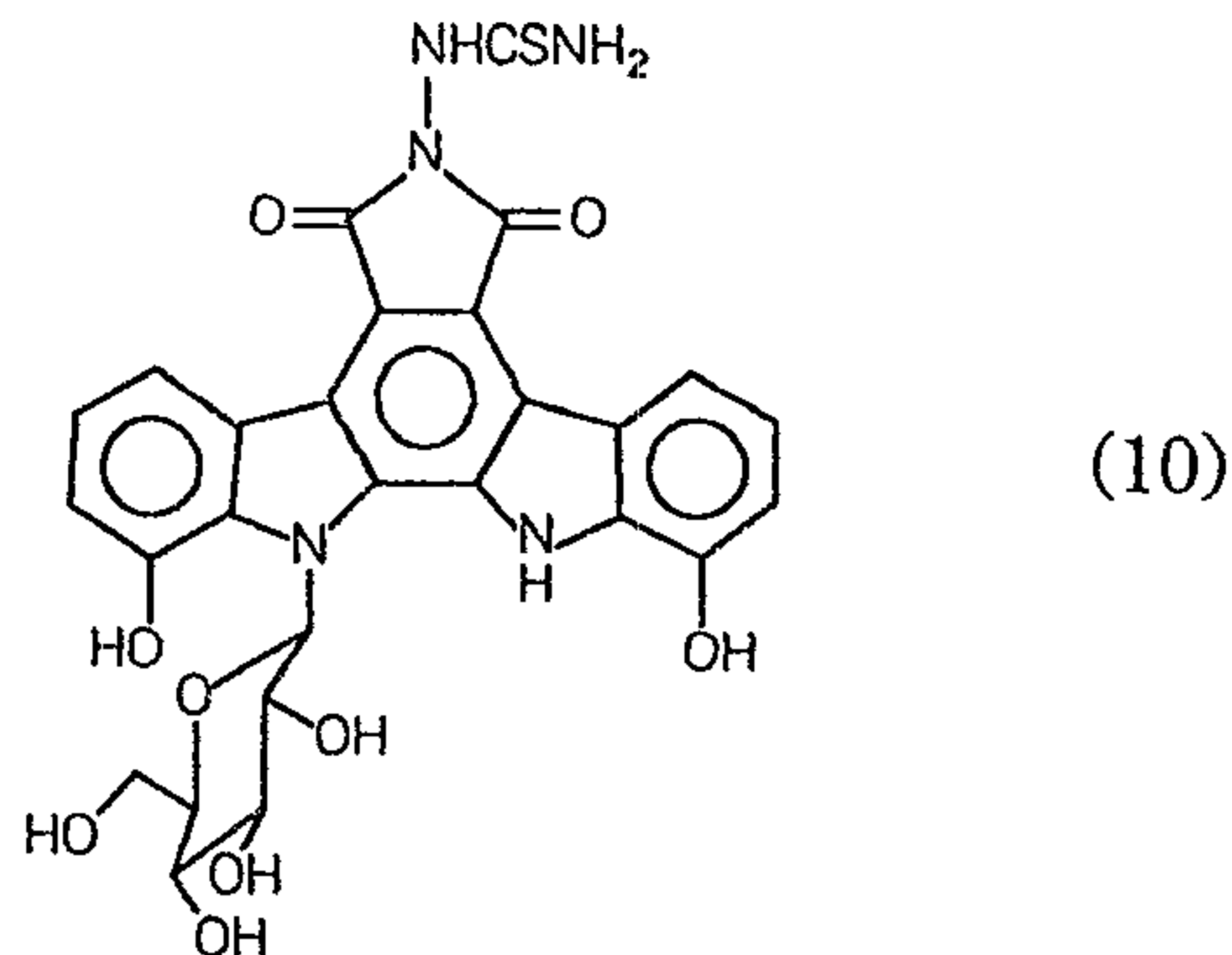
FAB-MS (m/z) : 577 (M) +

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.0 (1H, s), 10.4 (1H, s), 10.0 (1H, s), 8.68 (1H, d, J=7.8Hz), 8.66 (1H, brs), 8.51 (1H, d, J=7.8Hz), 7.20 (2H, t, J=7.8Hz), 7.01~7.07 (3H, m), 6.41 (2H, brs), 5.44 (1H, d, J=5.4Hz), 5.38 (1H, brs), 5.23 (1H, d, J=4.9Hz), 4.91 (1H, brs), 4.00~4.09 (2H, m), 3.75 (1H, m), 3.60~3.68 (2H, m), 3.39 (1H, m)



## Example 10

The compound represented by the formula



4 ml of methanol was added to 22 mg of the  
 5 compound obtained in Example A and 20 mg of thiosemicar-  
 bazide, and the mixture was refluxed with heating for 22  
 hours. The reaction solution was concentrated to dry-  
 ness, the residue was dissolved in 4 ml of methanol, the  
 solution was subjected to a chromatograph tower of Sepha-  
 10 dex LH-20 (1.8 x 35 cm), and elution was carried out with  
 methanol. The fractions containing the desired product  
 were concentrated to dryness to give 10.7 mg of the  
 captioned compound represented by the formula (10).

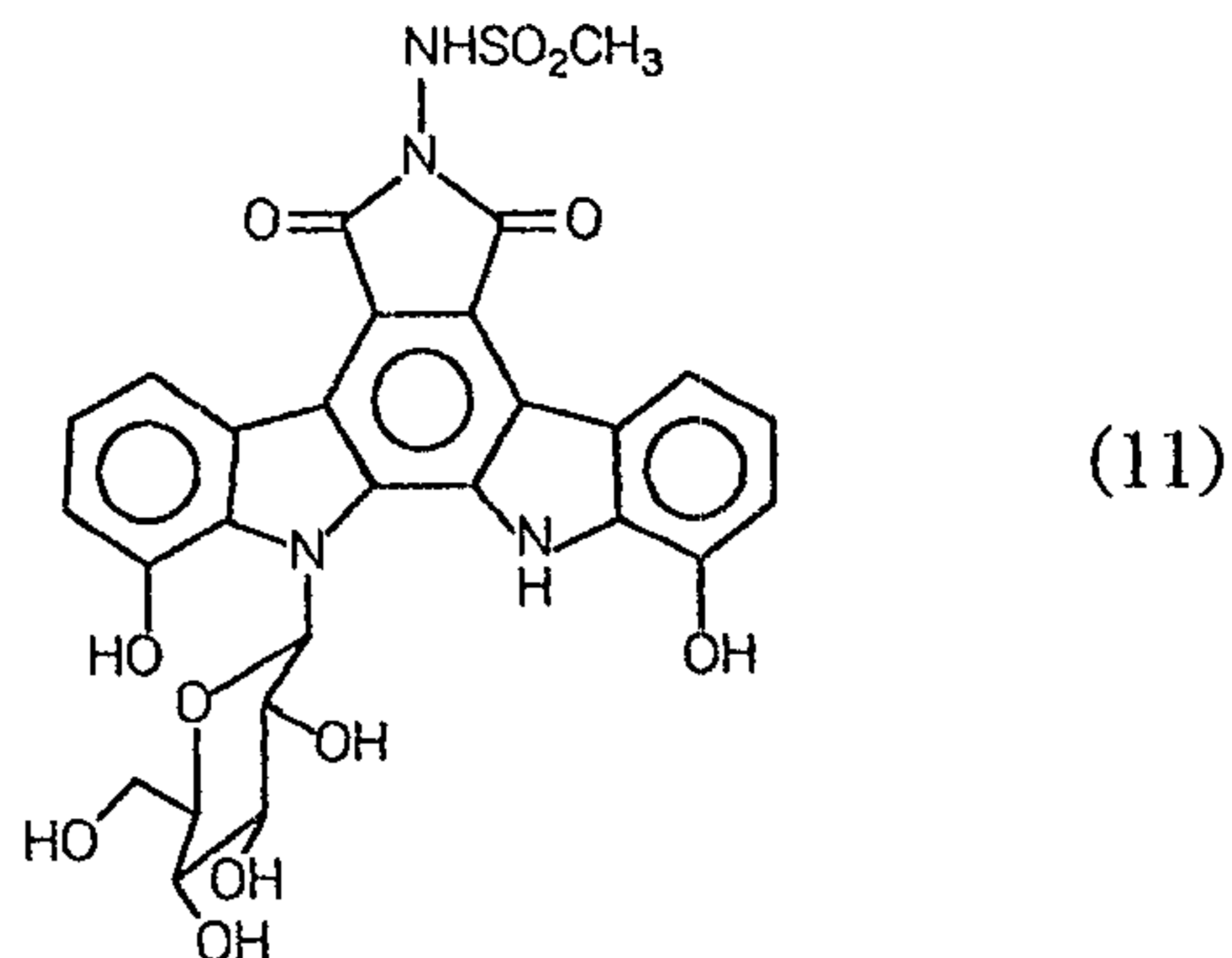
Rf value: 0.29 (produced by Merck Co., Kiesel  
 15 gel 60F<sub>254</sub>, developing solvent; chloroform : methanol :  
 tetrahydrofuran = 2:1:1)

FAB-MS (m/z) : 594 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.0  
 (1H, s), 10.4 (1H, brs), 10.1 (1H, brs), 9.  
 73 (1H, brs), 8.65 (1H, d, J=7.8Hz), 8.49  
 (1H, d, J=7.8Hz), 8.27 (2H, s), 7.21 (2H, t,  
 J=7.8Hz), 7.01~7.12 (3H, m), 5.45 (1H,  
 brs), 5.37 (1H, brs), 5.24 (1H, brs), 4.91  
 (1H, brs), 3.97~4.10 (2H, m), 3.74 (1H, m),  
 3.62 (2H, m), 3.40 (1H, m)

## Example 11

The compound represented by the formula



9.5 mg of the compound obtained in Example 1  
 5 was dissolved in 2 ml of tetrahydrofuran (THF), to the  
 solution was added 30 mg of methanesulfonic anhydride  
 (Aldrich Chemical Co.), and the mixture was allowed to  
 stand at room temperature for 48 hours. The reaction  
 solution was concentrated to dryness, and the residue was  
 10 dissolved in 2 ml of methanol, subjected to a chromato-  
 graph tower of Sephadex LH-20 (1.8 x 34 cm), and eluted  
 with methanol. The fractions containing the desired  
 product were concentrated to dryness to give 8.3 mg of  
 the captioned compound represented by the formula (11).

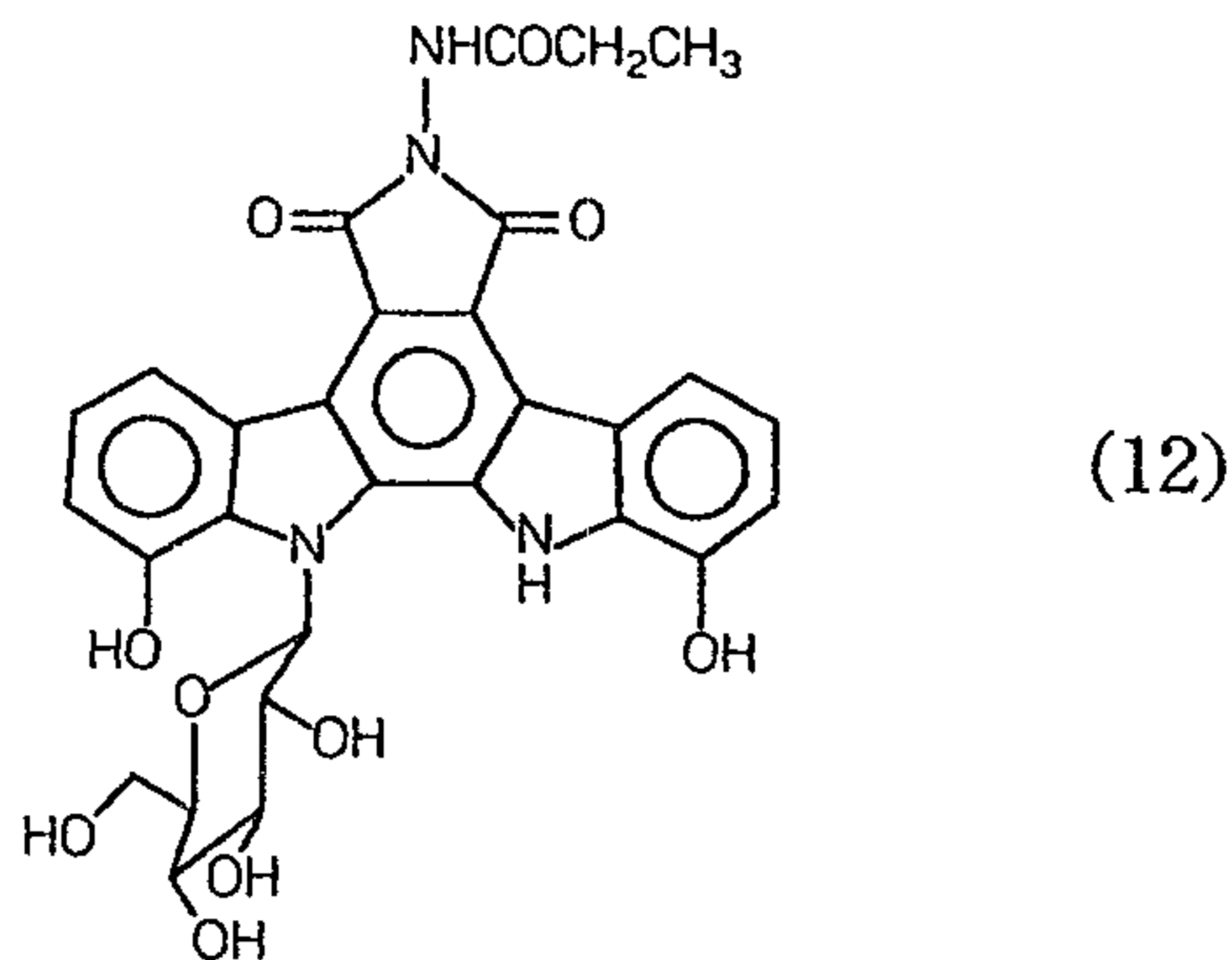
15 Rf value: 0.48 (produced by Merck Co., Kiesel  
 gel 60F<sub>254</sub>, developing solvent; chloroform : methanol :  
 tetrahydrofuran = 2:1:1)

FAB-MS (m/z) : 612 (M)<sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.0  
 (1H, s), 10.5 (1H, brs), 10.4 (1H, s), 10.1  
 (1H, s), 8.67 (1H, d, J=7.9Hz), 8.50 (1H, d,  
 J=7.7Hz), 7.22 (2H, t, J=7.6Hz), 7.02~7.  
 07 (3H, m), 5.43 (1H, d, J=5.8Hz), 5.36 (1H,  
 brs), 5.22 (1H, d, J=5.2Hz), 4.89 (1H, d, J=  
 4.8Hz), 4.03 (2H, m), 3.75 (1H, m), 3.63  
 (2H, m), 3.40 (1H, m)

## Example 12

The compound represented by the formula



1 ml of the methanol and 2 ml of tetrahydro-  
 5 furan were added to 11.7 mg of the compound obtained in  
 Example 1 to make a solution, 0.1 ml of propionic anhyd-  
 ride (Aldrich Chemical Co.) was added, and the mixture  
 was stirred at room temperature for 4 hours. 2 ml of  
 water and 3 ml of methanol were added to the reaction  
 10 solution, the mixture was allowed to stand for 30 minutes  
 and then concentrated to dryness, and 3 ml of methanol  
 was added to make a solution. The solution was subjected  
 to a chromatograph tower of Sephadex LH-20 (1.8 x 30 cm)  
 and eluted with methanol, and the fractions containing  
 15 the desired product were concentrated to dryness to give  
 6.2 mg of the captioned compound represented by the  
 formula (12).

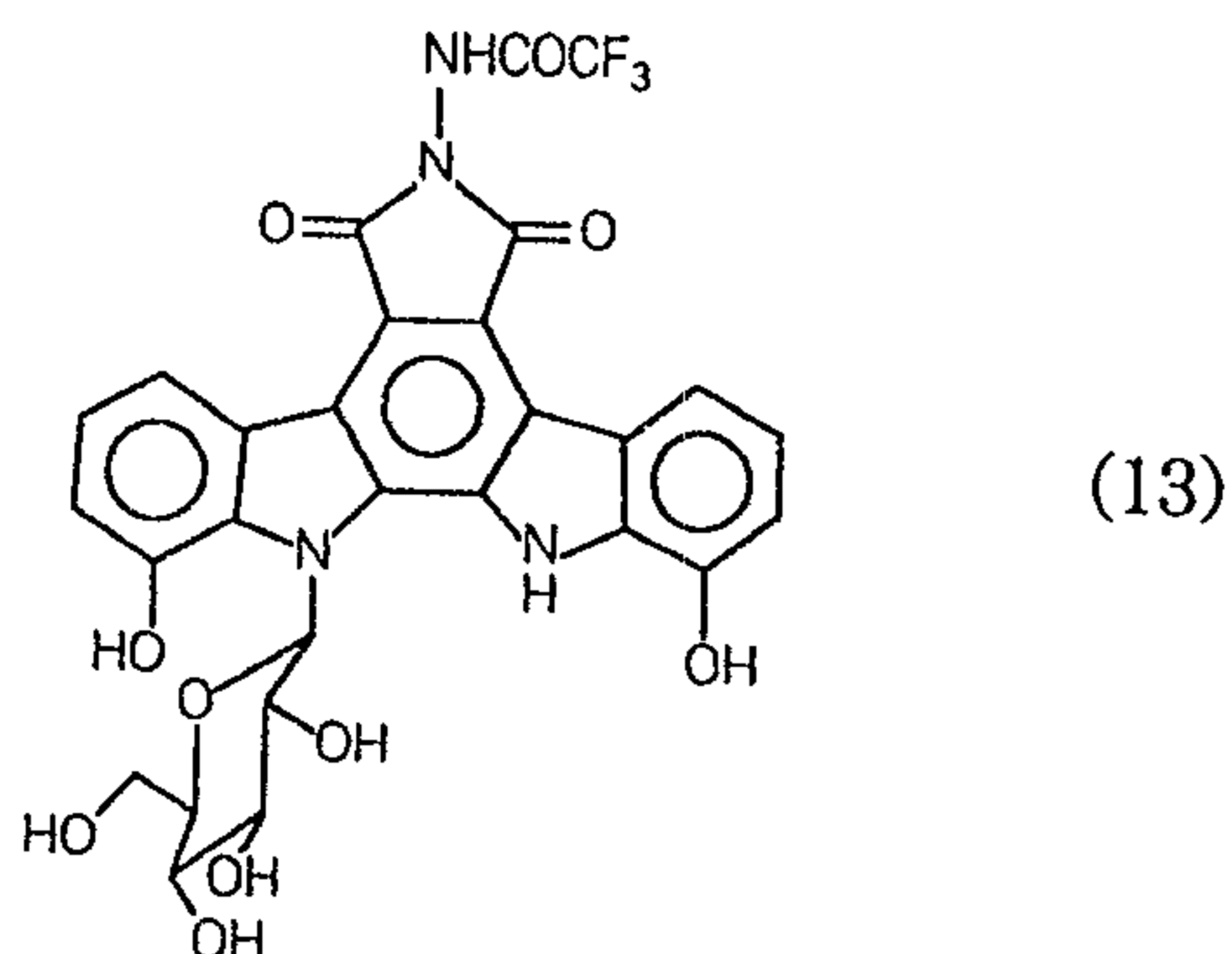
Rf value: 0.55 (produced by Merck Co., Kiesel  
 gel 60F<sub>254</sub>, developing solvent; chloroform : methanol :  
 20 tetrahydrofuran = 2:1:1)

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.0  
 (1H, s), 10.6 (1H, brs), 10.4 (1H, brs), 10.  
 0 (1H, s), 8.64 (1H, d, J=7.8Hz), 8.47 (1H,  
 d, J=7.8Hz), 7.20 (2H, t, J=7.8Hz), 7.00~  
 7.08 (3H, m), 5.30~5.45 (2H, m), 5.21 (1H,  
 m), 4.92 (1H, m), 4.02 (2H, m), 3.75 (1H, m),  
 3.62 (2H, m), 3.38 (1H, m), 2.39 (2H, q, J=9.  
 3Hz), 1.16 (3H, t, J=7.3Hz)



## Example 13

The compound represented by the formula



9.9 mg of the compound obtained in Example 1  
 5 was dissolved in 2 ml of tetrahydrofuran, 0.06 ml of  
 trifluoroacetic anhydride (Aldrich Chemical Co.) was  
 added, and the mixture was allowed to stand at room  
 temperature for 15 minutes. 2 ml of water was added to  
 the reaction solution, the mixture was concentrated to  
 10 dryness, 2 ml of water and 10 ml of ethyl acetate were  
 added, extraction operation was carried out, and the  
 resultant ethyl acetate layer was concentrated to dry-  
 ness. The resultant crude substance was dissolved in 3  
 ml of methanol, subjected to a chromatograph tower of  
 15 Sephadex LH-20 (1.8 x 30 cm) and eluted with methanol,  
 and the fractions containing the desired product were  
 concentrated to dryness to give 9.5 mg of the captioned  
 compound represented by the formula (13).

Rf value: 0.53 (produced by Merck Co., Kiesel  
 20 gel 60F<sub>254</sub>, developing solvent; chloroform : methanol :  
 tetrahydrofuran = 2:1:1)

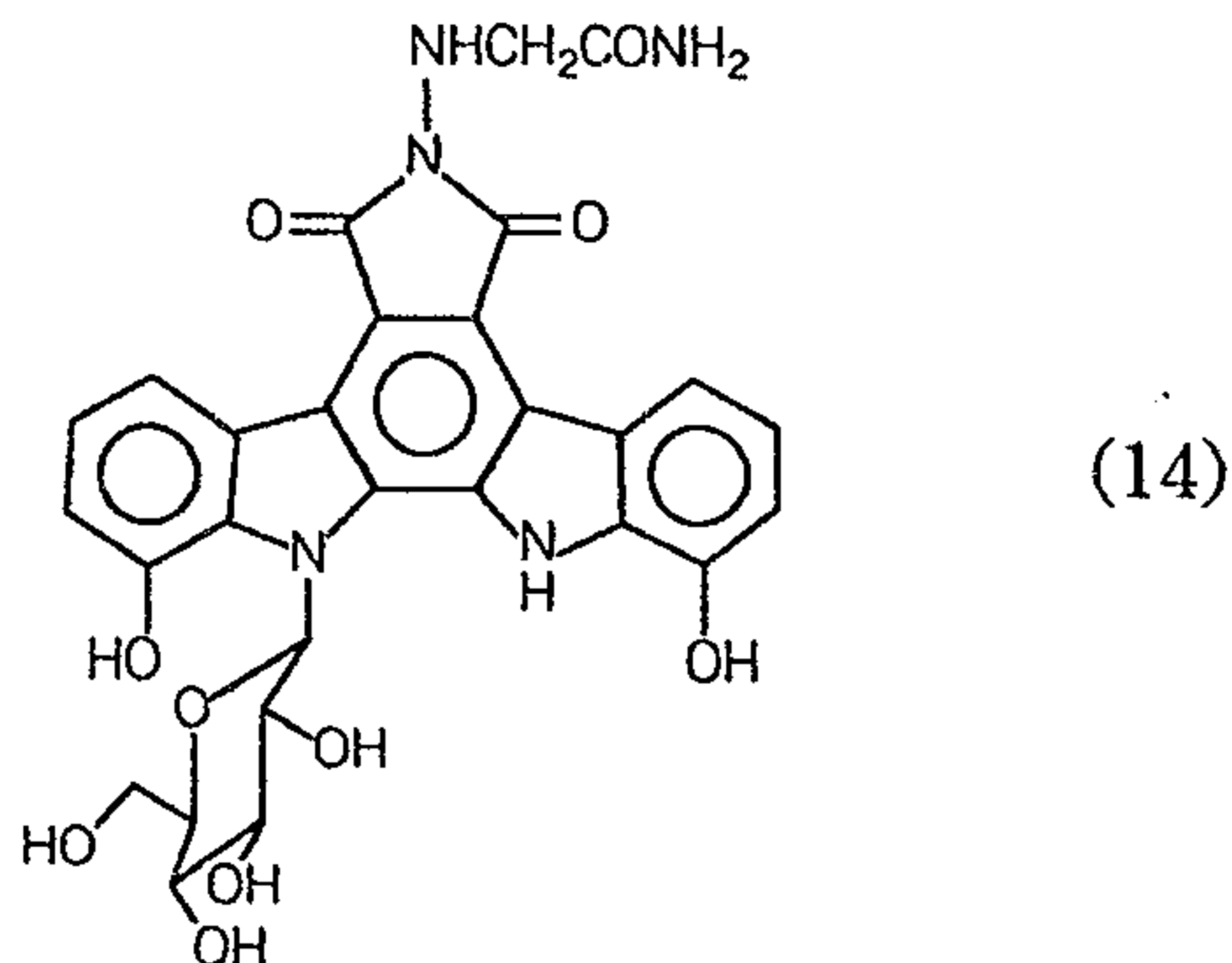
FAB-MS (m/z) : 630 (M)<sup>+</sup>

<sup>1</sup>H-NMR (500MHz, DMSO-d<sub>6</sub>), δ (ppm) : 12.7  
 (1H, brs), 11.0 (1H, brs), 10.5 (1H, brs),  
 10.1 (1H, brs), 8.61 (1H, d, J=7.6Hz), 8.45  
 (1H, d, J=7.9Hz), 7.21 (2H, t, J=7.6Hz), 7.  
 02~7.07 (3H, m), 5.42 (1H, d, J=5.8Hz), 5.

3.5 (1H, brs), 5.21 (1H, brs), 4.91 (1H, d, J=5.5 Hz), 4.02 (2H, m), 3.76 (1H, m), 3.61 (2H, m), 3.39 (1H, m)

Example 14

The compound represented by the formula



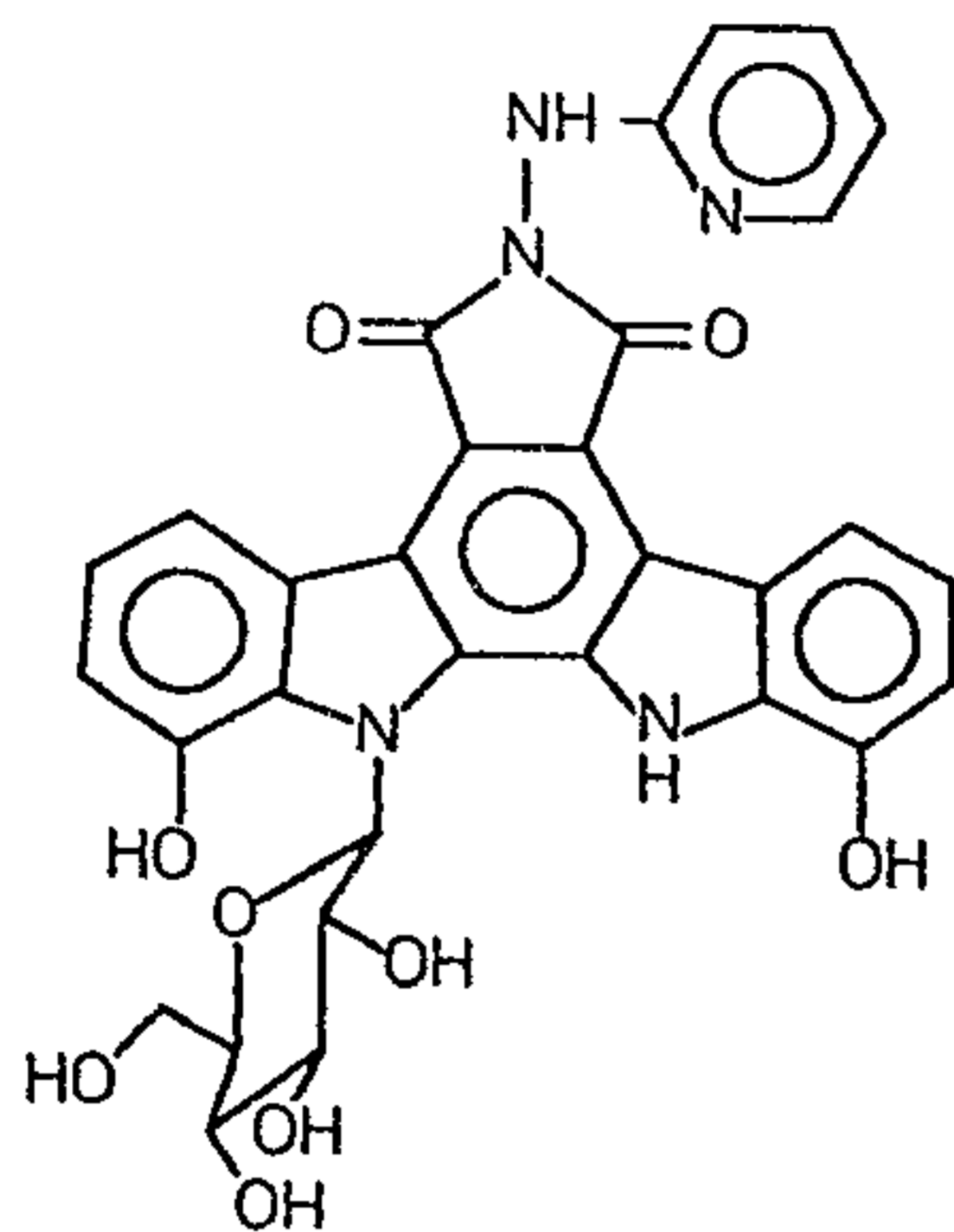
5                    4 ml of methanol and 4 ml of benzene were added to 31.6 mg of the compound obtained in Example 8 to make a solution, 0.15 ml of trimethylsilyldiazomethane (10% hexane solution, Tokyo Kasei Co.) was added, and the mixture was allowed to stand at room for 10 minutes and concentrated to dryness to give 29.3 mg of the methyl ester of the compound obtained in Example 8. This was dissolved in 5 ml of methanol, 0.6 ml of concentrated ammonia water was added, and the mixture was stirred at room temperature for 16 hours. The reaction solution was concentrated to dryness, 3 ml of methanol was added to the residue to make a solution, and the solution was subjected to a chromatograph tower of Sephadex LH-20 (1.8 x 36 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 16.9 mg of the captioned compound represented by the formula (14).

R<sub>f</sub> value: 0.22 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol : tetrahydrofuran = 2:1:1)

FAB-MS (m/z) : 592 (M+H) +

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.9 (1H, s), 10.4 (1H, brs), 10.0 (1H, brs), 8.69 (1H, d, J=7.3Hz), 8.52 (1H, d, J=8.3Hz), 7.77 (1H, brs), 7.39 (1H, brs), 7.19 (2H, t, J=7.8Hz), 6.98~7.05 (3H, m), 6.25 (1H, t, J=3.9Hz), 5.41 (1H, d, J=5.4Hz), 5.35 (1H, brs), 5.20 (1H, d, J=5.4Hz), 4.87 (1H, d, J=5.4Hz), 4.02 (2H, m), 3.74 (1H, m), 3.68~3.70 (4H, m), 3.39 (1H, m)

Example 15



(15)

2 ml of methanol was added to 11 mg of the  
 5 compound obtained in Example A and 10 mg of 2-hydrazino-  
 pyridine (Aldrich Chemical Co.) to make a solution, and  
 the solution was refluxed with heating for 1.5 hours.  
 The reaction solution was concentrated to dryness, 30 ml  
 of water and 50 ml of ethyl acetate were added, the water  
 10 layer was adjusted to pH 5 with 1N hydrochloric acid,  
 extraction operation was carried out, and the resultant  
 ethyl acetate layer was concentrated to dryness. The  
 resultant crude substance was dissolved in 2 ml of metha-  
 nol, subjected to a chromatograph tower of Sephadex LH-20  
 15 (1.8 x 36 cm) and eluted with methanol. The fractions  
 containing the desired product were concentrated to



- 45 -

dryness to give 10 mg of the captioned compound represented by the formula (15).

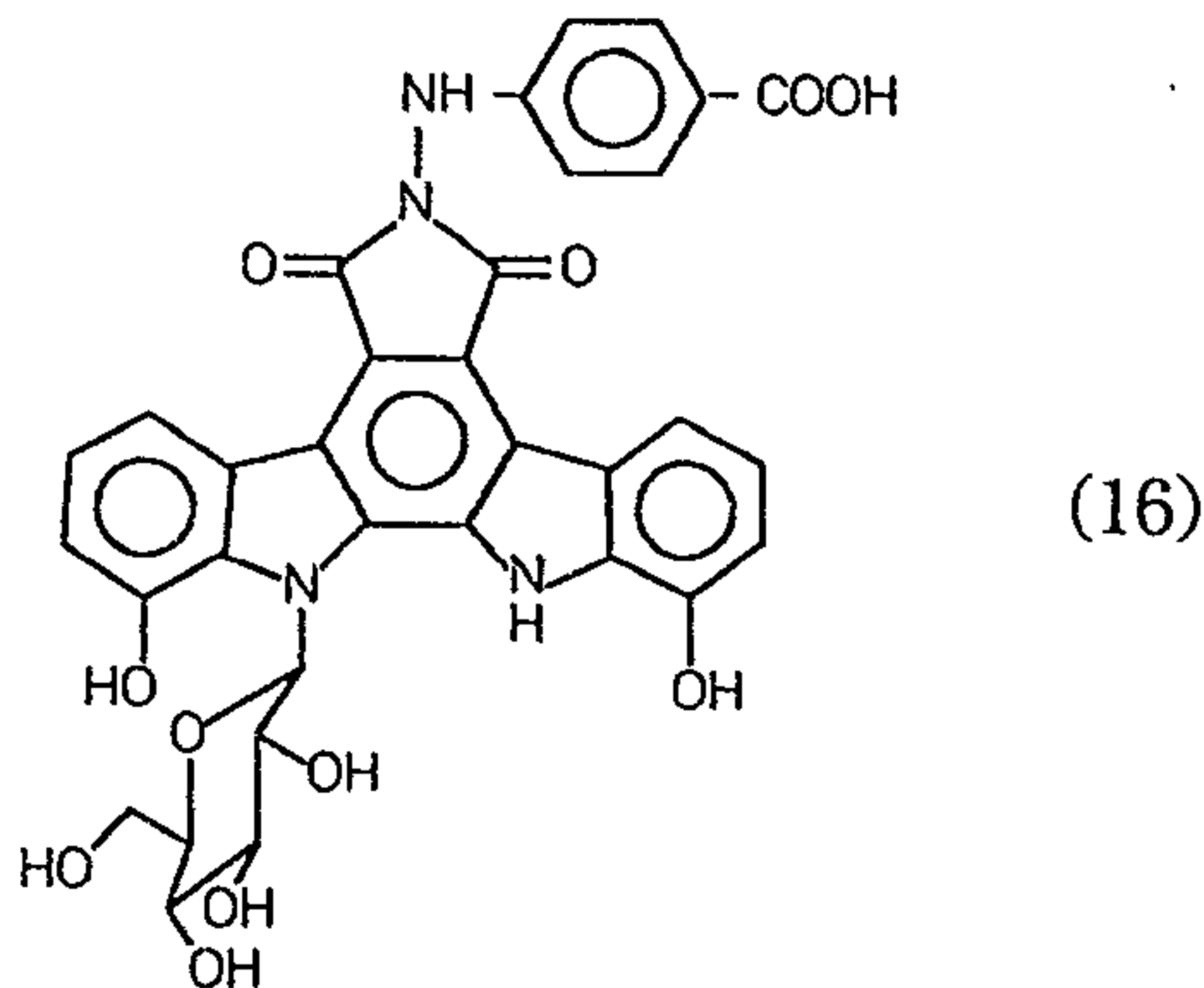
Rf value: 0.46 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol : tetrahydrofuran = 2:1:1)

FAB-MS (m/z) : 612 (M+H) ·

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.0 (1H, s), 10.4 (1H, s), 10.0 (1H, s), 9.34 (1H, s), 8.65 (1H, d, J=8.3Hz), 8.48 (1H, d, J=7.8Hz), 7.95 (1H, d, J=4.9Hz), 7.62 (1H, t, J=7.8Hz), 7.18 (2H, t, J=7.8Hz), 7.00~7.08 (3H, m), 6.86 (1H, d, J=7.8Hz), 6.78 (1H, dd, J=4.9, 7.8Hz), 5.44 (1H, d, J=5.8Hz), 5.37 (1H, brs), 5.23 (1H, d, J=5.8Hz), 4.92 (1H, brs), 4.02 (2H, m), 3.76 (1H, m), 3.64 (2H, m), 3.41 (1H, m)

#### Example 16

The compound represented by the formula



10            4 ml of methanol was added to 24 mg of the  
 compound obtained in Example A and 4-hydrazinobenzoic  
 acid (Aldrich Chemical Co.), and the mixture was refluxed  
 with heating for 2 hours. The reaction solution was  
 subjected to a chromatograph tower of Sephadex LH-20 (1.8  
 15 x 44 cm) and eluted with methanol. The fractions

containing the desired product were concentrated to dryness to give 20.9 mg of the captioned compound represented by the formula (16) as red crystal-like powder.

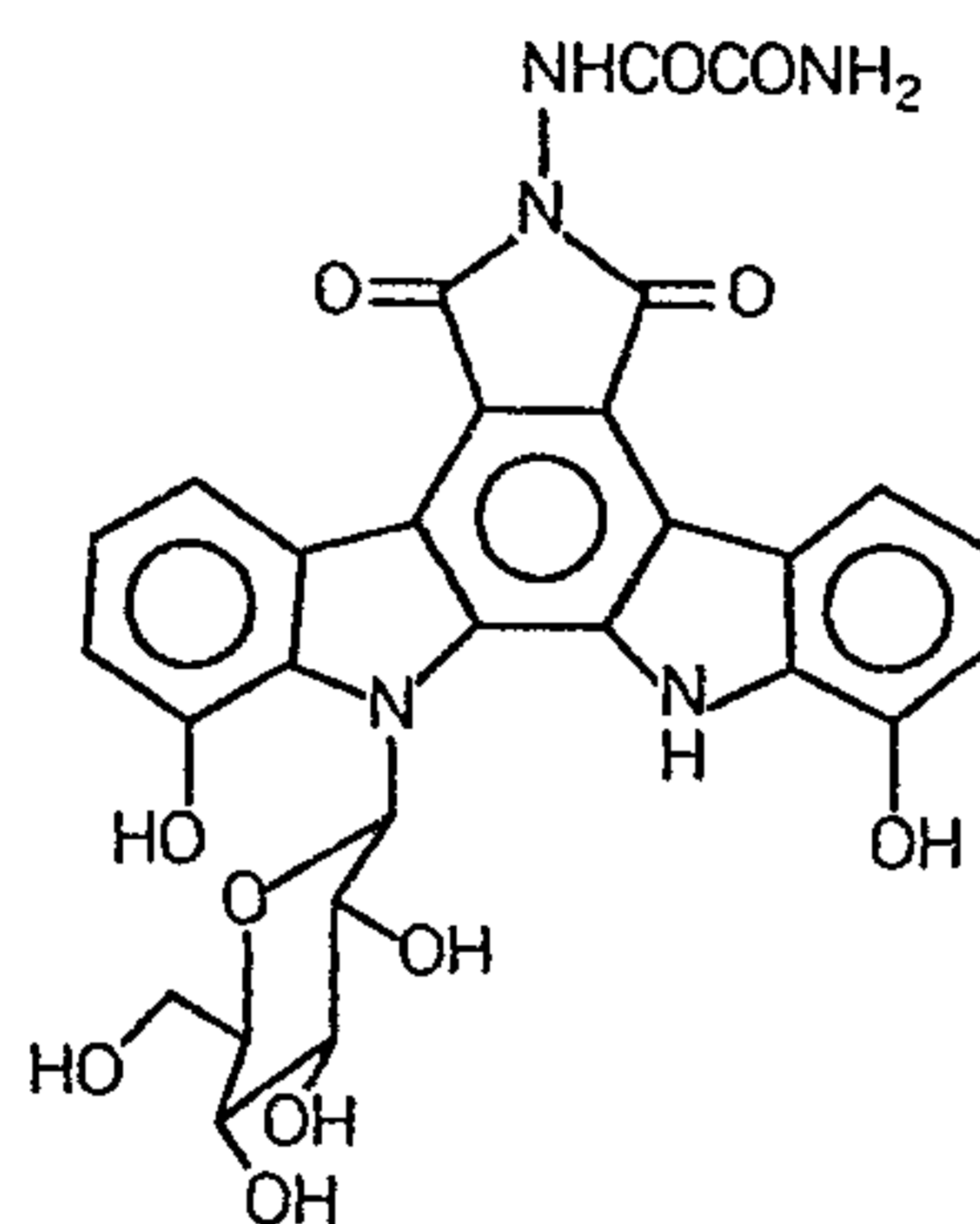
Rf value: 0.31 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol : tetrahydrofuran = 2:1:1)

FAB-MS (m/z) : 655 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (500MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.0 (1H, s), 10.5 (1H, brs), 10.1 (1H, brs), 9.11 (1H, s), 8.65 (1H, d, J=7.9Hz), 8.48 (1H, d, J=7.9Hz), 7.80 (2H, d, J=8.3Hz), 7.18 (2H, t, J=7.6Hz), 7.01~7.08 (3H, m), 6.84 (2H, d, J=8.3Hz), 5.20~5.60 (3H, brs), 4.96 (1H, brs), 4.03 (2H, m), 3.76 (1H, m), 3.65 (2H, m), 3.41 (1H, m)

#### Example 17

The compound represented by the formula



(17)

10

6 ml of 50% methanol was added to 26 mg of the compound obtained in Example A and 38 mg of oxamic hydrazine (Aldrich Chemical Co.), and the mixture was stirred with heating at 80°C for 20 hours. The reaction solution was concentrated to dryness, 15 ml of water and 50 ml of ethyl acetate were added, the mixture was adjusted to pH 2 with 1N hydrochloric acid, and extraction operation was

15

carried out. The ethyl acetate layer was concentrated, and the precipitated crystals were collected by filtration to give 10 mg of the captioned compound represented by the formula (17).

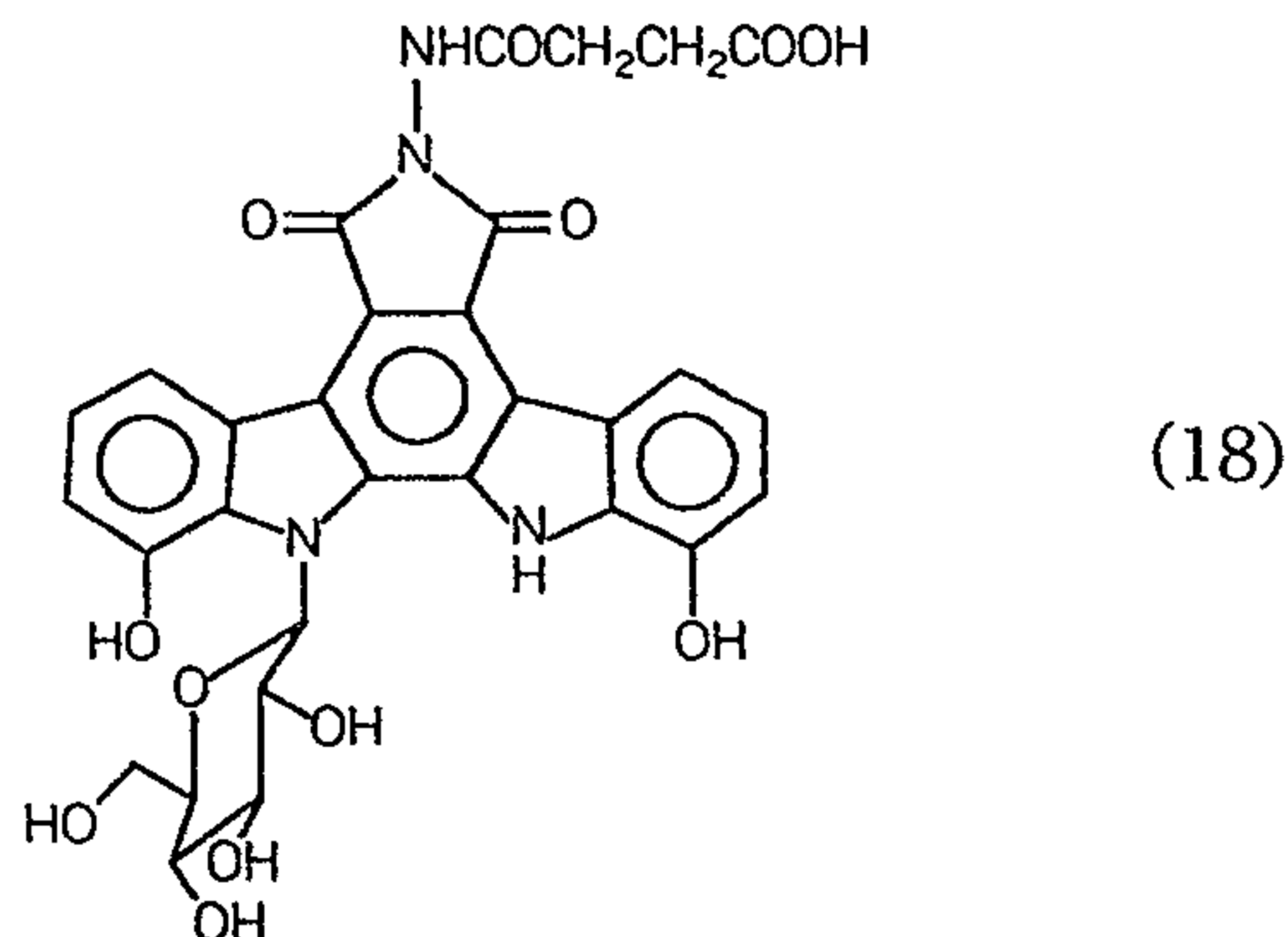
5 Rf value: 0.38 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol : tetrahydrofuran = 2:1:1)

FAB-MS (m/z) : 606 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (500MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.4 (1H, s), 11.0 (1H, s), 10.4 (1H, s), 10.0 (1H, s), 8.63 (1H, d, J=7.9Hz), 8.46 (1H, d, J=7.9Hz), 8.38 (1H, s), 8.11 (1H, s), 7.21 (2H, t, J=7.9Hz), 7.02~7.07 (3H, m), 5.41 (1H, d, J=5.8Hz), 5.35 (1H, t, J=5.8Hz), 5.19 (1H, d, J=5.2Hz), 4.89 (1H, d, J=5.5Hz), 4.03 (2H, m), 3.76 (1H, m), 3.63 (2H, m), 3.40 (1H, m)

#### Example 18

10 The compound represented by the formula



26.7 mg of the compound obtained in Example 1 and 5.5 mg of succinic anhydride were dissolved in 0.5 ml of pyridine, and the solution was stirred at room temperature for 18 hours. This was concentrated to dryness under reduced pressure and the residue was dissolved in a

15



- 48 -

small quantity of N,N-dimethylformamide and subjected to high performance liquid chromatography (HPLC) [Chromatolex ODS, 20 x 250 mm, moving phase : 20% acetonitrile]. The fractions containing the desired product were concentrated to remove acetonitrile, adjusted to pH 2 and extracted with 100 ml of ethyl acetate. The ethyl acetate layer was dehydrated with anhydrous sodium sulfate and concentrated to dryness. The residue was dissolved in methanol and subjected to column chromatography on Sephadex LH-20 (inner diameter 1.5 cm, length 90 cm, eluted with methanol), and the fractions containing the desired product were concentrated to dryness to give 9.7 mg of the captioned compound represented by the formula (18) as orange powder.

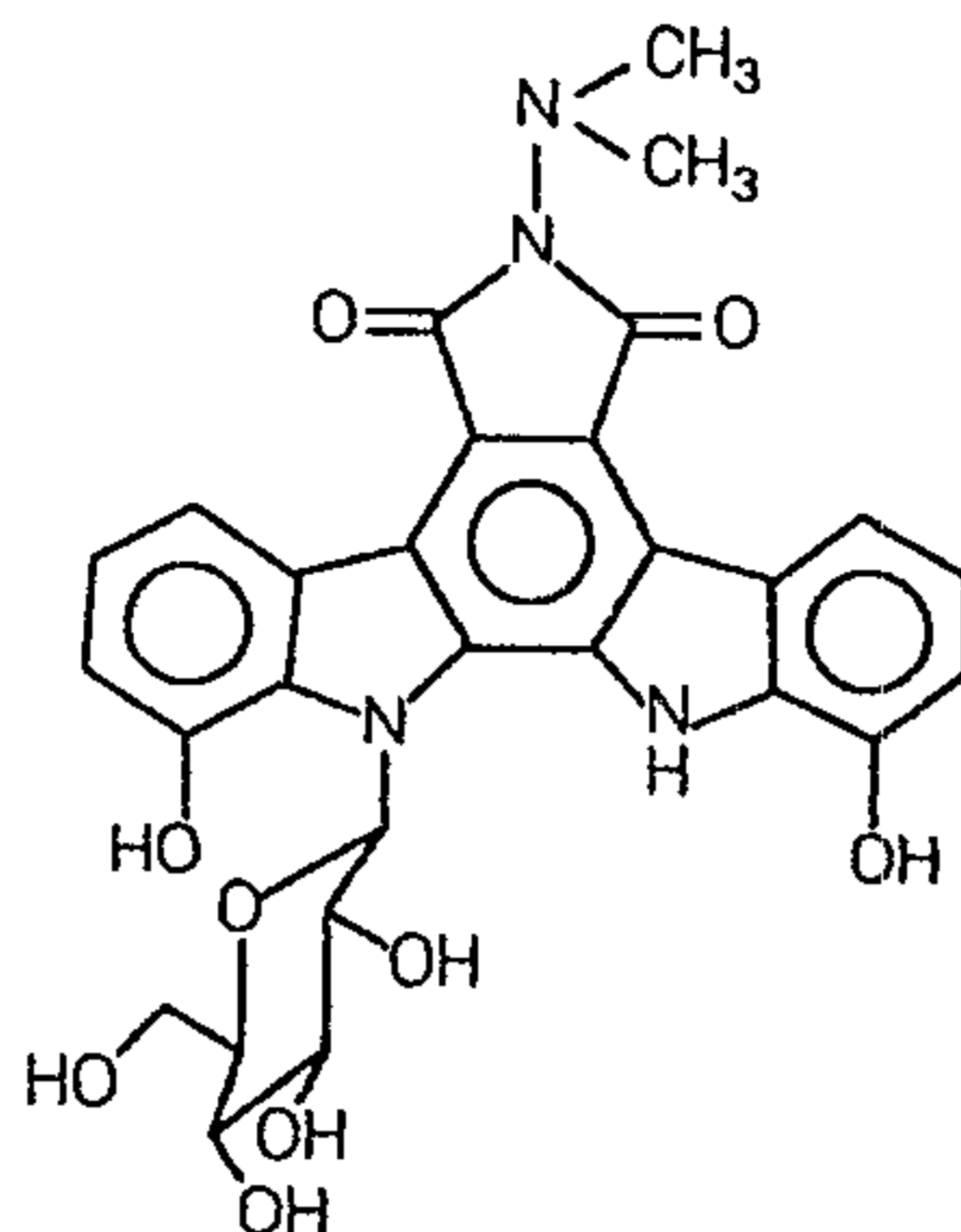
HPLC; Rt, 5.3 minutes (column : Chromatolex ODS, inner diameter 4.6 mm, length 250 mm, detection; UV 305 nm, flow rate; 1 ml/minute, moving phase; 27.5% acetonitrile : trifluoroacetic acid = 1000:1)

FAB-MS (m/z) : 657 (M+Na) +

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.0 (1H, s), 10.7 (1H, brs), 10.4 (1H, brs), 10.1 (1H, brs), 8.64 (1H, d, J=7.9Hz), 8.47 (1H, d, J=7.9Hz), 7.19 (2H, t, J=7.8Hz), 7.01~7.07 (3H, m), 5.42 (2H, brs), 5.22 (1H, brs), 4.92 (1H, brs), 4.02 (2H, m), 3.75 (1H, m), 3.63 (2H, m), 3.40 (1H, m), 2.65 (2H, t, J=7.3Hz), 2.52 (2H, t, J=7.3Hz)

## Example 19

The compound represented by the formula



(19)

30 mg of the compound obtained in Example 1 was  
5 dissolved in 0.5 ml of N,N-dimethylformamide, 0.1 ml of  
methyl iodide was added, and the mixture was stirred at  
room temperature for 18 hours. This was mixed with 50 ml  
of ethyl acetate, the mixture was washed successively  
with 1% sodium bicarbonate aqueous solution and then  
10 water, and the ethyl acetate layer was dehydrated with  
anhydrous sodium sulfate and concentrated to dryness.  
The residue was dissolved in methanol and subjected to  
column chromatography on Sephadex LH-20 (1.5 x 90 cm,  
eluted with methanol), and the fractions containing the  
15 desired product were concentrated to dryness to give 18.0  
mg of the captioned compound represented by the formula  
(19) as orange powder.

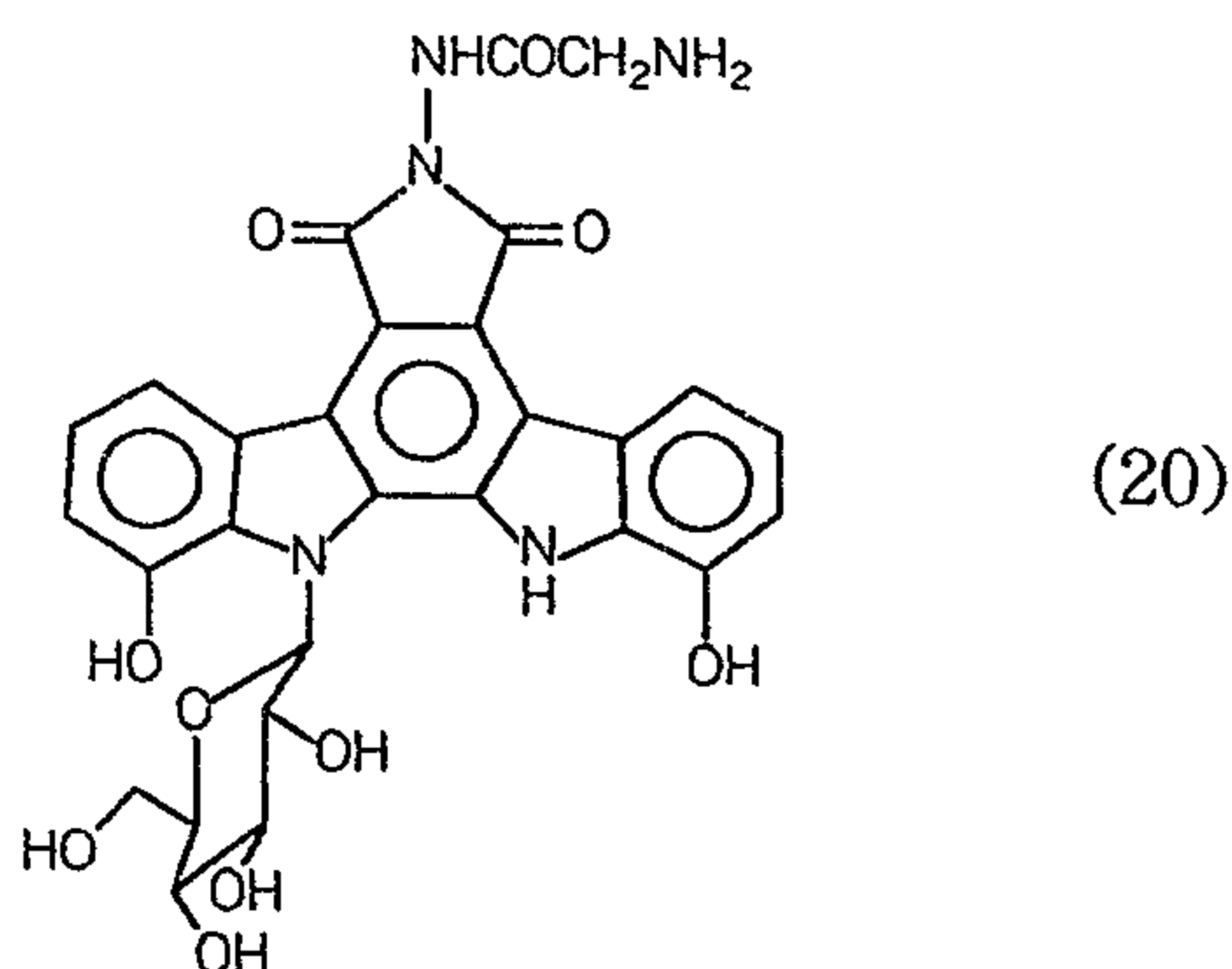
Rf value: 0.51 (produced by Merck Co., Kiesel  
gel 60F<sub>254</sub>, developing solvent; chloroform : methanol :  
20 tetrahydrofuran : acetic acid = 20:10:10:1)

FAB-MS (m/z) : 563 (M+H) +

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.9 (1H, s), 10.3 (1H, s), 9.95 (1H, s), 8.70 (1H, d, J=8.3Hz), 8.53 (1H, d, J=8.3Hz), 7.18 (2H, t, J=7.8Hz), 7.00~7.06 (3H, m), 5.41 (1H, d, J=5.4Hz), 5.34 (1H, t, J=5.4Hz), 5.19 (1H, d, J=5.4Hz), 4.86 (1H, d, J=5.4Hz), 4.02 (2H, m), 3.75 (1H, m), 3.62 (2H, m), 3.39 (1H, m), 3.02 (6H, s)

Example 20

The compound represented by the formula



- 5            82.1 mg of t-butyloxycarbonyl (Boc)-glycine was dissolved in 1 ml of methylene chloride, the solution was stirred under ice cooling for 15 minutes, 96.7 mg of dicyclohexylcarbodiimide dissolved in 1 ml of methylene chloride was added, and the mixture was stirred under ice cooling for 15 minutes. To this was added 227.6 mg of the compound obtained in Example 1 dissolved in 6 ml of pyridine, and the mixture was stirred at room temperature for 17 hours. The reaction solution was concentrated to dryness, the residue was dissolved in ethyl acetate, the solution was washed successively with saturated saline, acidic water (pH 2) and then water, and the ethyl acetate layer was dehydrated with anhydrous sodium sulfate and
- 10
- 15



concentrated to dryness. The residue was subjected to silica gel column chromatography (1.5 x 55 cm, eluted with toluene : methanol = 6:1), and the fractions containing the desired product were concentrated to dryness to give 105.2 mg of the Boc derivative of the captioned compound represented by the formula (20) as orange powder. This was dissolved in 1.2 ml of trifluoroacetic acid, and the solution was stirred at room temperature for 30 minutes to remove the Boc group. The reaction solution was concentrated to dryness, the residue was dissolved in 15 ml of water, and the solution was adjusted to pH 7.5-8 and extracted with n-butanol. 40 ml of water was added to the n-butanol layer (50 ml), and the mixture was adjusted to pH 2 with dilute hydrochloric acid and concentrated to dryness. The resultant orange powder was dissolved in methanol and subjected to column chromatography on Sephadex LH-20 (1.5 x 38 cm, eluted with methanol), and the fractions containing the desired product were concentrated to dryness to give 63.7 mg of the hydrochloride of the captioned compound represented by the formula (20) as orange powder.

HPLC; Rt, 8.7 minutes (column : Chromatolex ODS, inner diameter 4.6 mm, length 250 mm, detection; UV 305 nm, flow rate; 1 ml/minute, moving phase; 20% acetonitrile : trifluoroacetic acid = 1000:1 → 70% acetonitrile : trifluoroacetic acid = 1000:1, 30 minutes linear gradient)

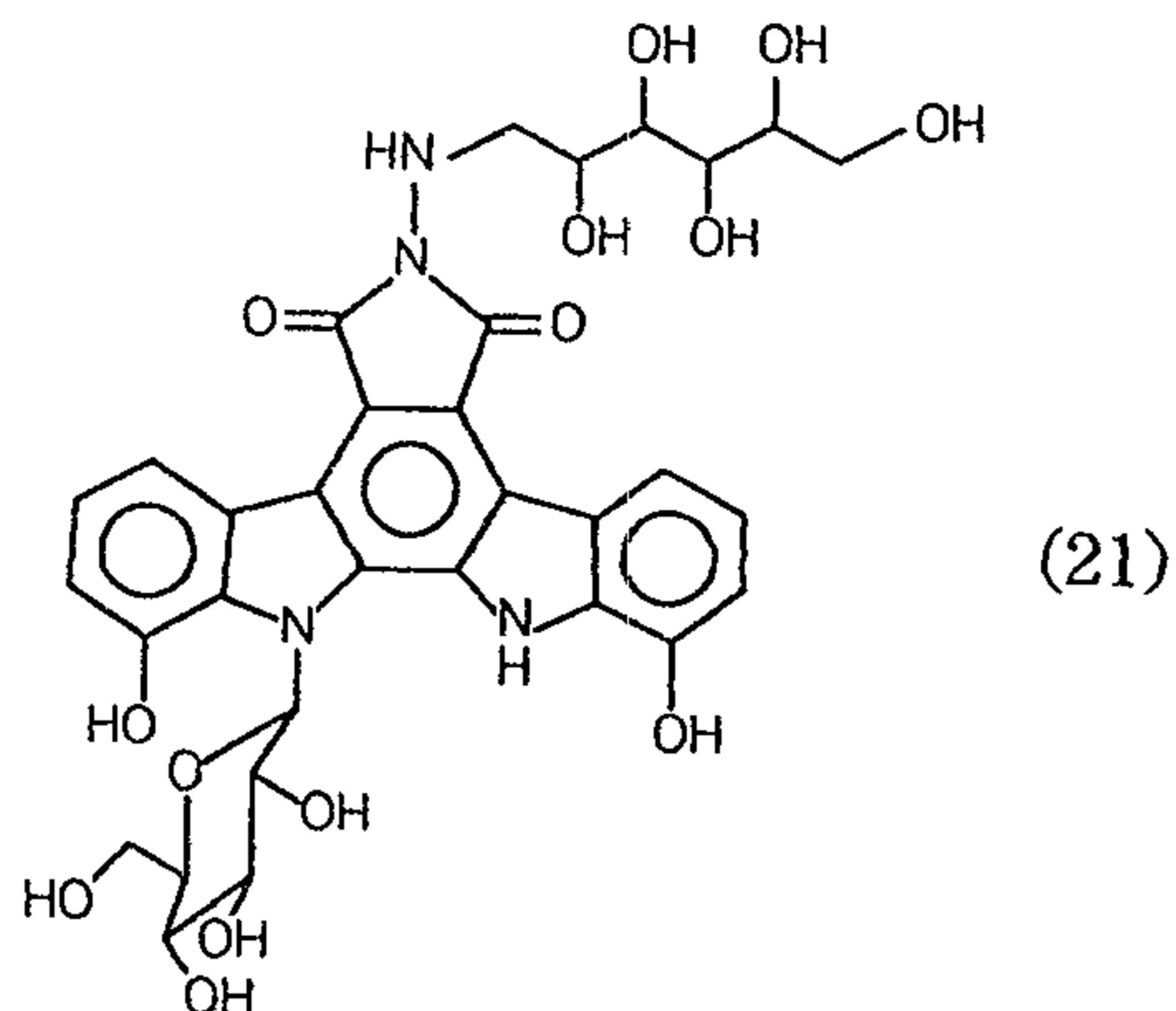
FAB-MS(m/z): 592 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (hydrochloride, 400 MHz, DMSO-d<sub>6</sub>), δ (ppm):

11.3 (1H, brs), 11.0 (1H, brs), 10.5 (1H, s), 10.1 (1H, s), 8.62 (1H, d, J=8.3 Hz), 8.46 (1H, d, J=8.3 Hz), 8.31 (2H, s), 7.19 (2H, t, J=7.8 Hz), 7.03~7.08 (3H, m), 5.46 (1H, brs), 5.34 (1H, brs), 5.27 (1H, brs), 4.91 (1H, brd, J=4.9 Hz), 4.03 (2H, m), 3.98 (2H, s), 3.76 (1H, m), 3.64 (2H, m), 3.40 (1H, m)

## Example 21

The compound represented by the formula



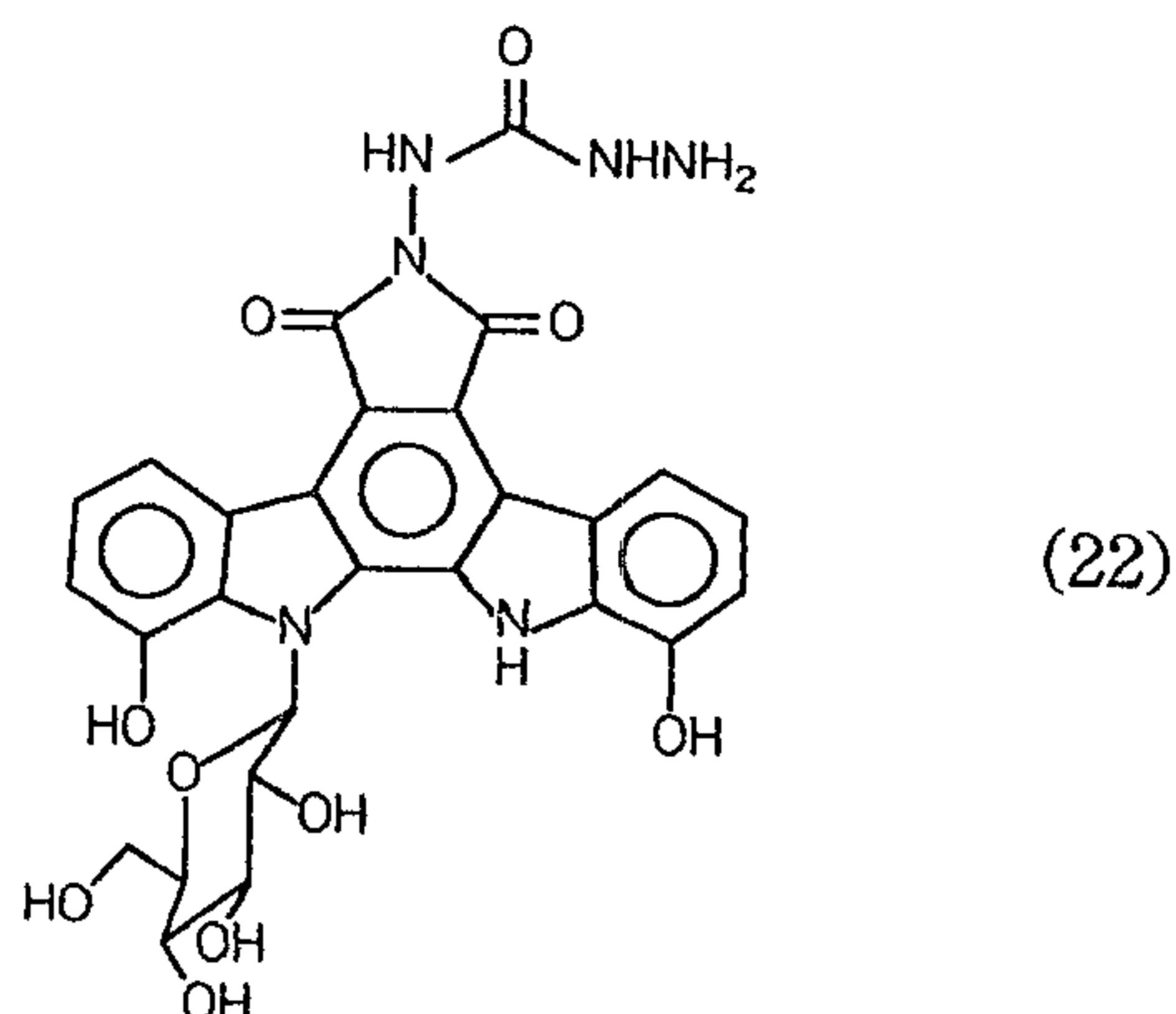
40.0 mg of the compound obtained in Example A  
 5 was dissolved in 3 ml of N,N-dimethylformamide, 42.2 mg  
 of 1-deoxy-1-hydrazino-D-sorbitol and 0.1 ml of triethyl-  
 amine was added, and the mixture was refluxed with heating  
 for 16 hours. This was brought back to room temperature,  
 subjected to a chromatograph tower of Sephadex LH-20  
 10 (1.8 x 20 cm) and eluted with methanol. The fractions  
 containing the desired product were concentrated to  
 dryness to give 20.0 mg of the captioned compound repre-  
 sented by the formula (21).

FAB-MS (m/z) : 699 (M+H) <sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.91  
 (1H, s), 10.35 (1H, brs), 9.96 (1H, brs), 8.  
 73 (1H, d, J=8.9Hz), 8.54 (1H, d, J=8.9Hz),  
 7.20 (2H, t, J=8.4Hz), 7.00-7.10 (3H, m),  
 5.76 (1H, t, J=3.8Hz), 5.42 (1H, d, J=5.  
 5Hz), 5.37 (1H, brs), 5.22 (1H, d, J=5.  
 5Hz), 4.89 (1H, brs), 4.67 (1H, d, J=3.  
 4Hz), 4.45 (1H, d, J=5.1Hz), 4.37 (1H, d, J=  
 7.0Hz), 4.25-4.43 (2H, m), 4.00 (2H, m), 3.  
 55-3.80 (7H, m), 3.44-3.52 (2H, m), 3.35-  
 3.44 (2H, m), 3.05-3.20 (2H, m)

## Example 22

The compound represented by the formula



100 mg of the compound obtained in Example A  
 5 was dissolved in 5 ml of N,N-dimethylformamide, 100 mg of  
 carbohydrazide was added, and the mixture was stirred at  
 80°C for 3 hours and concentrated to dryness. The resi-  
 due was dissolved in methanol and the insoluble matters  
 were removed by Celite filtration. The resultant filt-  
 10 rate was concentrated, and the residue was dissolved in a  
 small quantity of methanol, subjected to a chromatograph  
 tower of Sephadex LH-20 (1.5 x 20 cm) and eluted with  
 methanol. The fractions containing the desired product  
 were concentrated to dryness to give 91.2 mg of the  
 15 captioned compound represented by the formula (22).

Rf value: 0.1 (produced by Merck Co., Kiesel  
 gel 60F<sub>254</sub>, developing solvent; chloroform-methanol-  
 tetrahydrofuran = 2:1:1:)

FAB-MS (m/z) : 593 (M+H) +

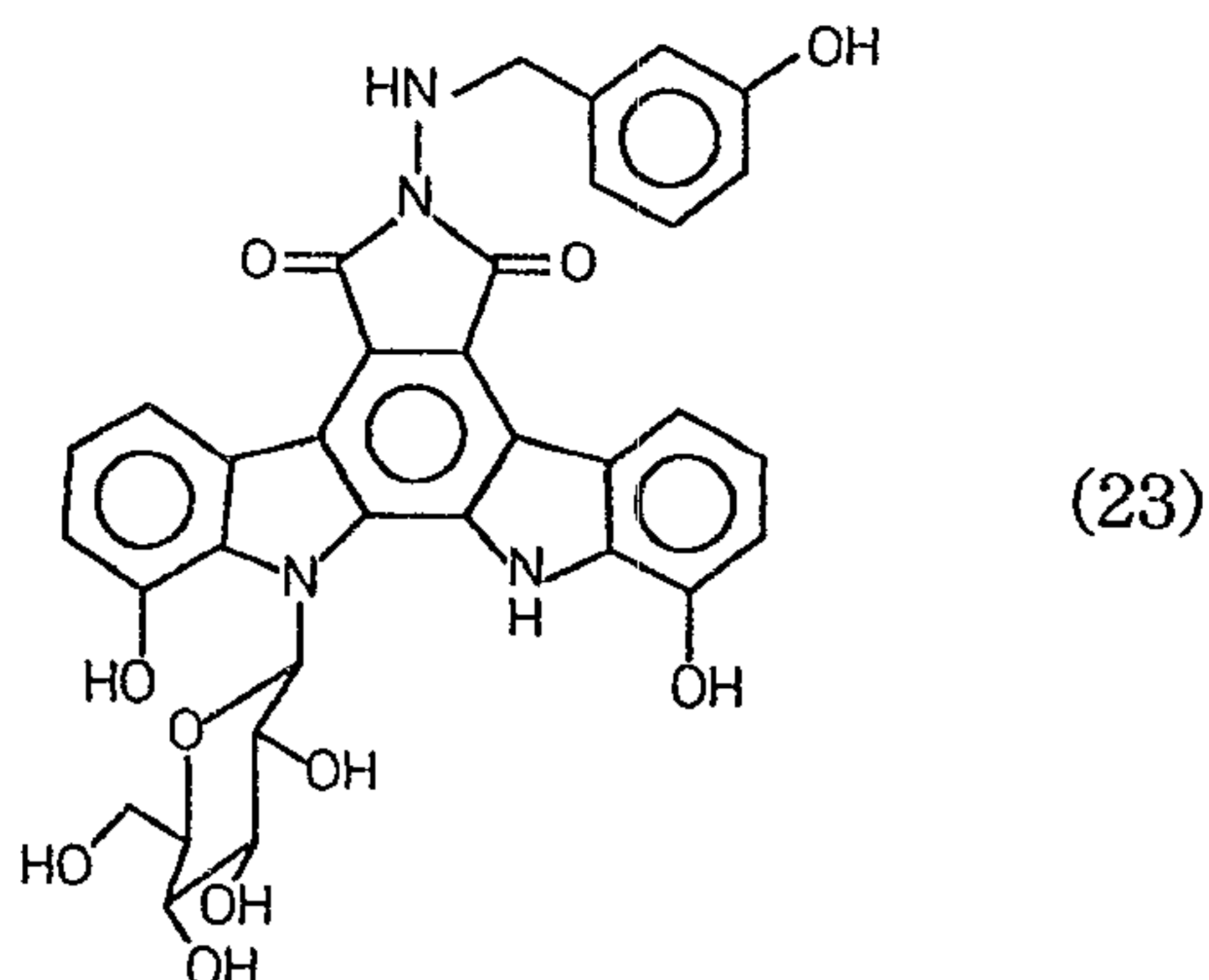
<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.96  
 (1H, s), 10.40 (1H, s), 10.01 (1H, s), 8.95  
 (1H, s), 8.65 (1H, d, J=8.2Hz), 8.50 (1H, d,  
 J=8.2Hz), 7.90 (1H, s), 7.17 (2H, t, J=6.  
 9Hz), 7.00-7.10 (3H, m), 5.43 (1H, d, J=4.  
 1Hz), 5.38 (1H, brs), 5.20 (1H, s), 4.90  
 (1H, s), 4.39 (2H, brs), 4.04 (2H, m), 3.75



(1H, m), 3.55-3.70 (2H, m), 3.38 (1H, m)

Example 23

The compound represented by the formula



5            15.0 mg of the compound obtained in Example A was dissolved in 1 ml of N,N-dimethylformamide, 32 mg of 3-hydroxybenzylhydrazine dihydrochloride and 0.1 ml of 10% sodium bicarbonate aqueous solution were added, and the mixture was stirred at 80°C for 4 hours. This was mixed  
 10 with 50 ml of ethyl acetate, the mixture was washed successively with 0.2N hydrochloric acid and then saturated saline, and the ethyl acetate layer was dehydrated with anhydrous sodium sulfate and concentrated to dryness. The residue was dissolved in a small quantity of  
 15 methanol, subjected to a chromatograph tower of Sephadex LH-20 (1.8 x 15 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 15.3 mg of the captioned compound represented by the formula (23).

20            Rf value: 0.22 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform-methanol-tetrahydrofuran = 5:1:1)

FAB-MS (m/z): 641 (M+H)<sup>+</sup>

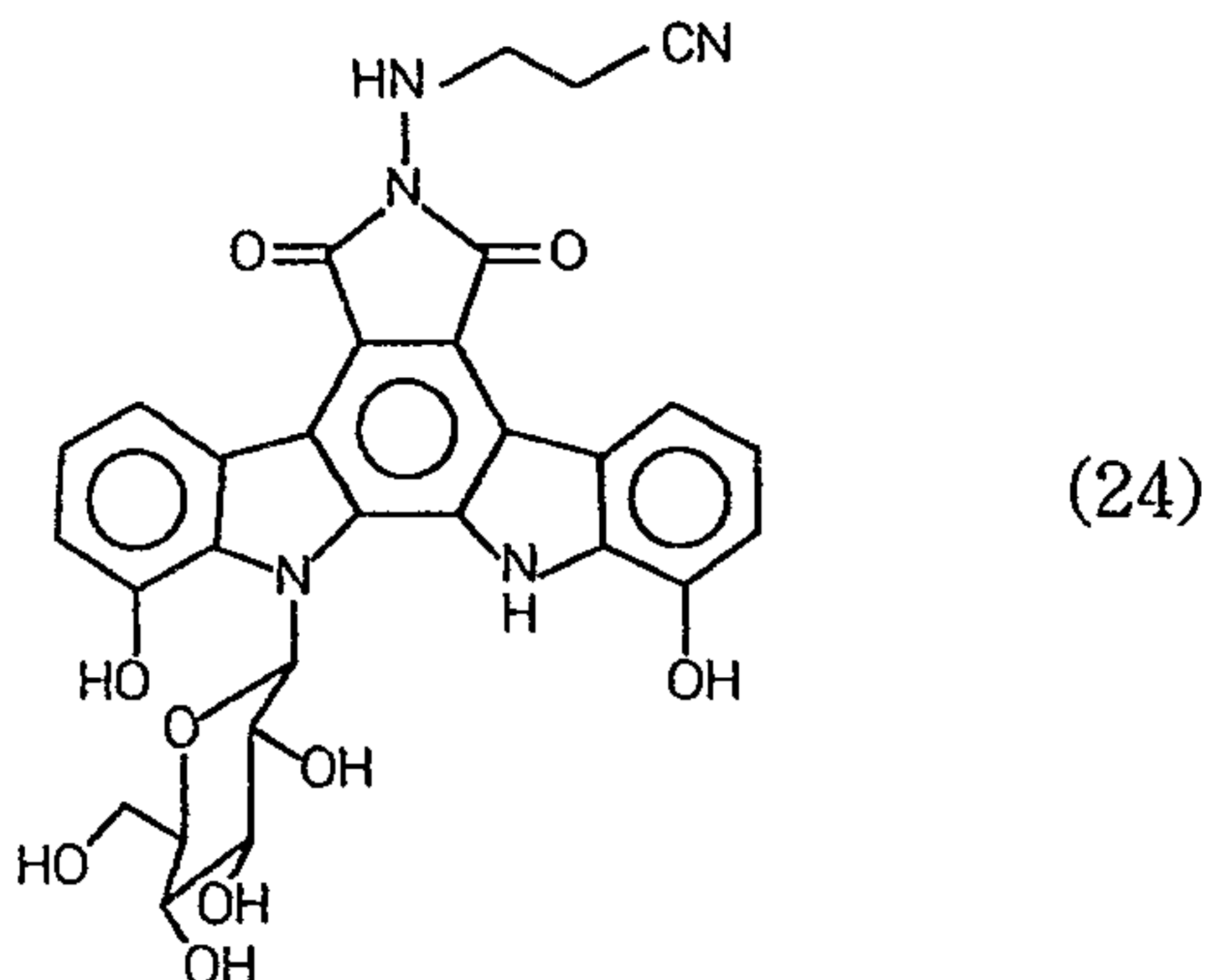
<sup>1</sup>H-NMR (200MHz, DMSO-d<sub>6</sub>), δ (ppm): 10.90 (1H, s), 10.38 (1H, s), 9.99 (1H, s), 9.30 (1H, s), 8.70 (1H, d, J=8.1Hz), 8.53 (1H, d,

- 55 -

$J=8.5\text{ Hz}$ ), 6.86-7.22 (8H, m), 6.61 (1H, dd,  $J=2.2, 8.4\text{ Hz}$ ), 6.03 (1H, t,  $J=5.1\text{ Hz}$ ), 5.43 (1H, d,  $J=5.4\text{ Hz}$ ), 5.35 (1H, t,  $J=5.0\text{ Hz}$ ), 5.22 (1H, d,  $J=5.4\text{ Hz}$ ), 4.89 (1H, d,  $J=5.4\text{ Hz}$ ), 4.19 (2H, d,  $J=5.1\text{ Hz}$ ), 4.00 (2H, m), 3.72 (1H, m), 3.53-3.70 (2H, m), 3.38 (1H, m)

## Example 24

The compound represented by the formula



5                    64.6 mg of the compound obtained in Example A  
 was dissolved in 2 ml of N,N-dimethylformamide, 30 mg of  
 2-cyanoethylhydrazine, and the mixture was stirred at  
 90°C for 1.5 hours. 50 ml of 0.2N hydrochloric acid was  
 added to the reaction solution, and the mixture was  
 10 extracted with ethyl acetate (50 ml x 2). The ethyl  
 acetate layer was concentrated to dryness, and the  
 residue was dissolved in a small quantity of methanol,  
 subjected to a chromatograph tower of Sephadex LH-20  
 (1.8 x 30 cm) and eluted with methanol. The fractions  
 15 containing the desired product were concentrated to  
 dryness to give 45.0 mg of the captioned compound repre-  
 sented by the formula (24).

Rf value: 0.39 (produced by Merck Co., Kiesel  
 gel 60F<sub>254</sub>, developing solvent; chloroform : methanol  
 20 = 3:1)

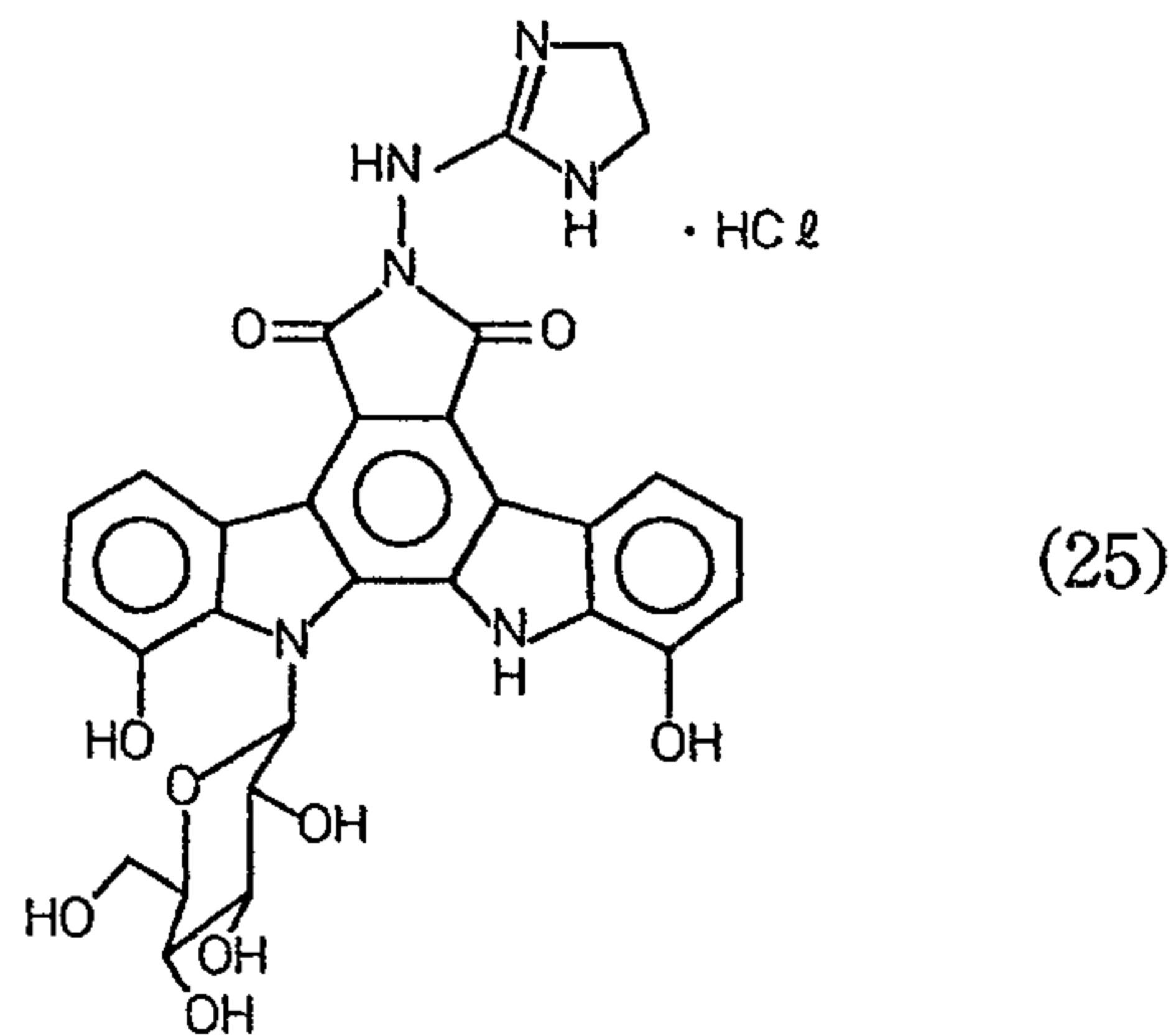
- 56 -

FAB-MS (m/z) : 588 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (200MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.91 (1H, s), 10.36 (1H, s), 9.98 (1H, s), 8.70 (1H, d, J=8.4Hz), 8.53 (1H, d, J=8.4Hz), 7.18 (2H, t, J=8.4Hz), 6.95-7.10 (3H, m), 6.15 (1H, t, J=4.2Hz), 5.42 (1H, d, J=5.7Hz), 5.34 (1H, brs), 5.23 (1H, d, J=4.4Hz), 4.91 (1H, d, J=5.3Hz), 4.00 (2H, m), 3.72 (1H, m), 3.55-3.70 (2H, m), 3.39 (1H, m), 3.30 (2H, td, J=4.2, 6.2Hz), 2.69 (2H, t, J=6.2Hz)

## Example 25

The compound represented by the formula



5                    1.09 g of the compound obtained in Example A was dissolved in 35 ml of N,N-dimethylformamide — 2 ml of water, 455 mg of 2-hydrazino-2-imidazoline hydrobromide and 211 mg of sodium bicarbonate were added, and the mixture was stirred at 80°C for 2 hours and concentrated

10 to dryness. The residue was dissolved in 300 ml of 0.2N hydrochloric acid and extracted with n-butanol (1L x 2). The butanol layer was concentrated to dryness, and the residue was dissolved in a small quantity of methanol,



- 57 -

subjected to a chromatograph tower of Sephadex LH-20 (3.0 x 80 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 650 mg of the captioned compound represented by the formula (25).

Rf value: 0.55 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; n-butanol : acetic acid : water = 4:1:1)

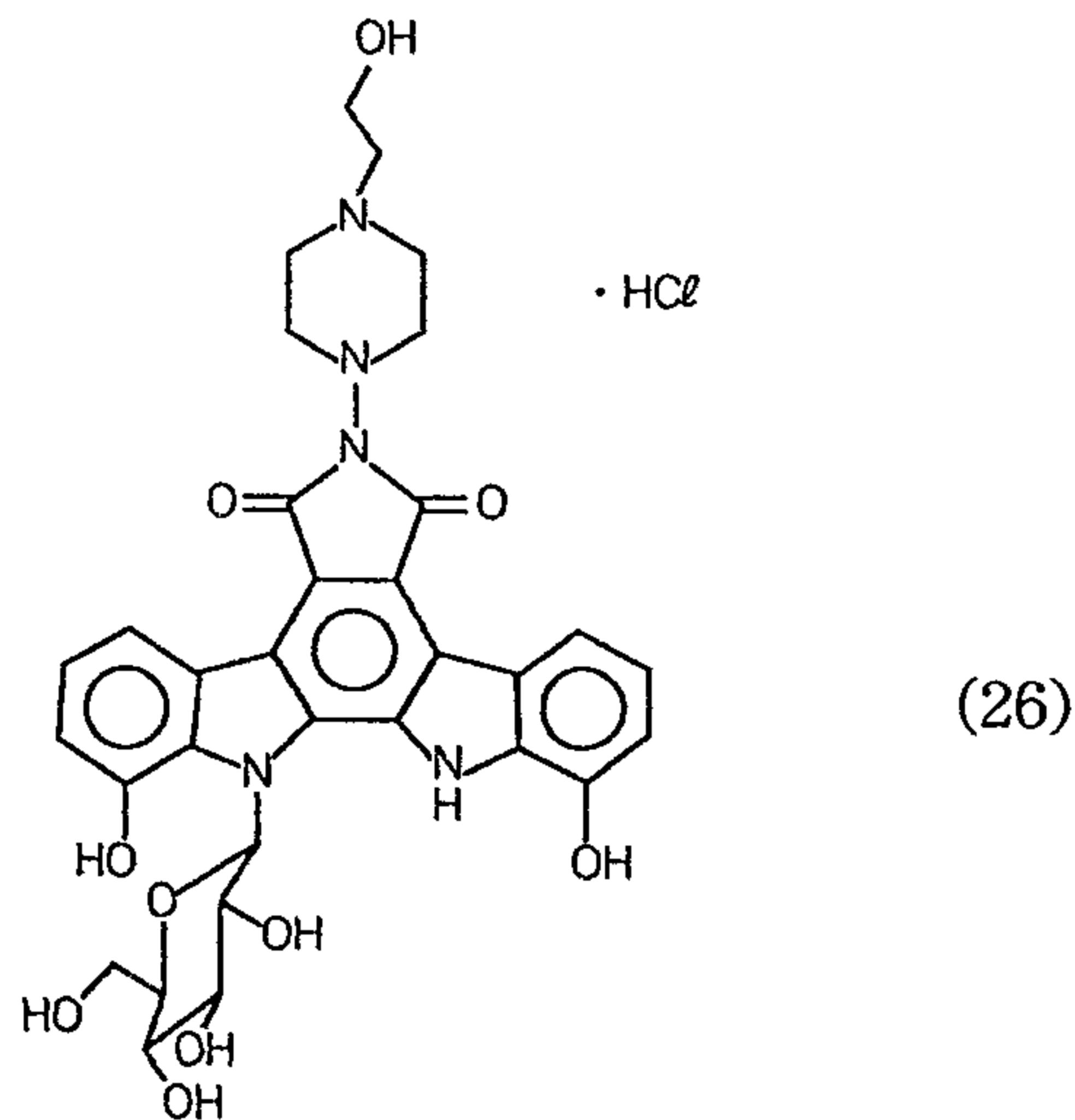
FAB-MS (m/z) : 603 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>). δ (ppm) : 11.2 (1H, s), 10.90 (1H, brs), 10.50 (1H, s), 10.14 (1H, s), 9.42 (1H, brs), 8.92 (1H, brs), 8.62 (1H, d, J=10.6Hz), 8.45 (1H, d, J=9.5Hz), 7.22 (2H, t, J=6.5Hz), 7.02-7.10 (3H, m), 5.48 (1H, d, J=4.7Hz), 5.32 (2H, brm), 4.94 (1H, d, J=3.5Hz), 4.04 (2H, m), 3.70-3.90 (5H, m), 3.54-3.70 (2H, m), 3.41 (1H, m)

10

## Example 26

The compound represented by the formula



48.3 mg of the compound obtained in Example A was dissolved in 1 ml of N,N-dimethylformamide, 14.3 mg of 1-amino-4-(2-hydroxyethyl) piperazine and 0.1 ml of saturated sodium bicarbonate aqueous solution were added, and the mixture was stirred at 80°C for 2 hours. This was distributed between 50 ml of ethyl acetate and 50 ml of water, 5 ml of 0.2 N hydrochloric acid was added to the water layer, and the mixture was extracted with n-butanol (100 ml x 2). The butanol layer was concentrated to dryness, and the residue was dissolved in a small quantity of methanol, subjected to a chromatograph tower of Sephadex LH-20 (1.8 x 30 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 22 mg of the captioned compound represented by the formula (26).

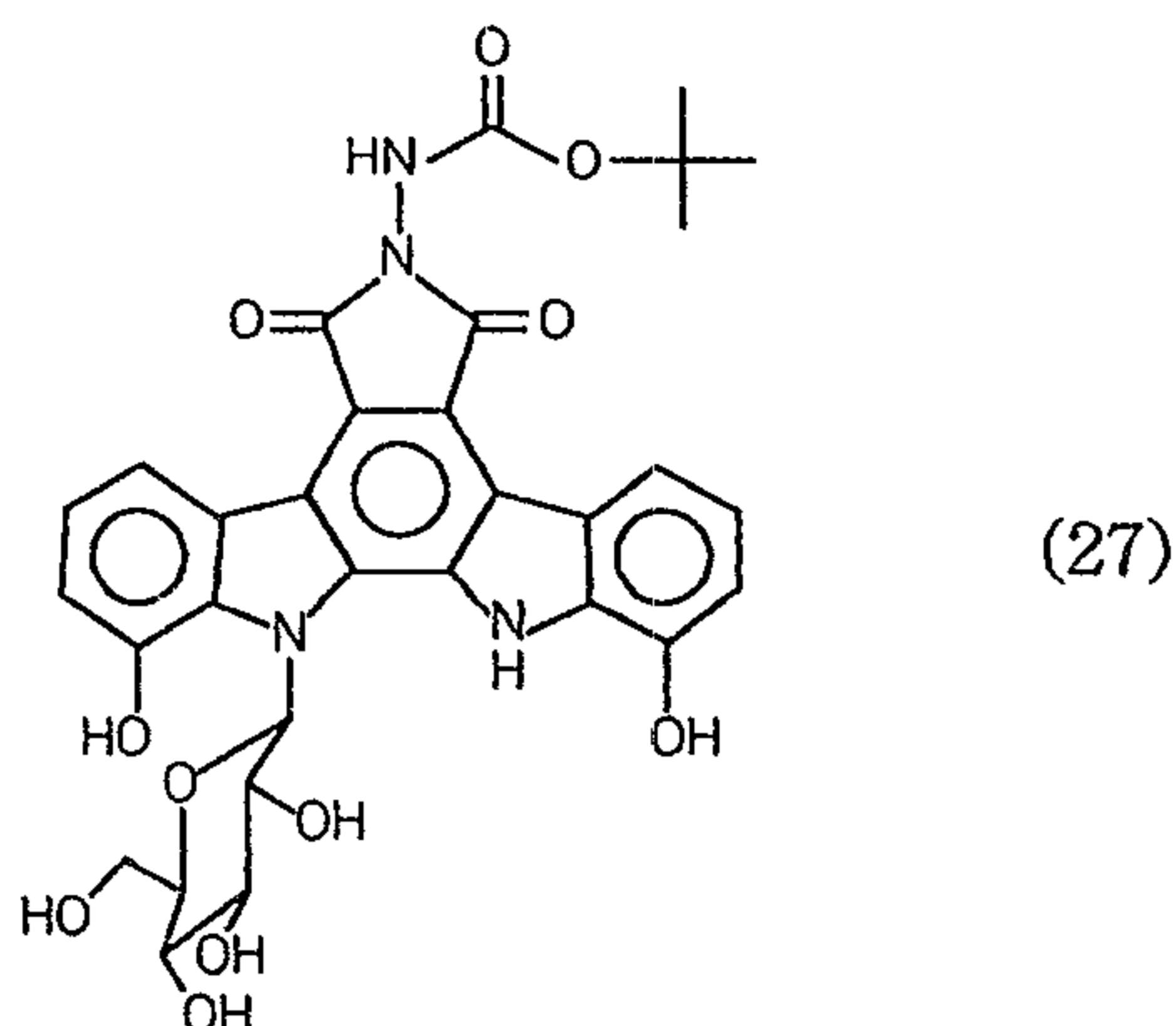
Rf value: 0.53 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; n-butanol : acetic acid : water = 4:1:1)

FAB-MS (m/z) : 648 (M+H) <sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.92 (1H, s), 10.50 (2H, brs), 10.10 (1H, s), 8.66 (1H, d, J=7.2Hz), 8.50 (1H, d, J=8.9Hz), 7.18 (2H, t, J=8.9Hz), 7.02-7.12 (3H, m), 5.46 (1H, d, J=5.6Hz), 5.25-5.40 (3H, brm), 4.86 (1H, d, J=5.6Hz), 3.95-4.20 (4H, m), 3.70-3.90 (4H, m), 3.55-3.70 (4H, m), 3.20-3.50 (6H, m)

## Example 27

The compound represented by the formula



24 mg of the compound obtained in Example A was  
 5 dissolved in 0.6 ml of N,N-dimethylformamide, 10 mg of  
 t-butyl carbazinate acid was added, and the mixture was  
 stirred at 80°C for 6 hours. This was mixed with 50 ml  
 of ethyl acetate, the mixture was washed successively  
 with water (30 ml x 2) and saturated saline, and the  
 10 ethyl acetate layer was dehydrated with anhydrous sodium  
 sulfate and concentrated to dryness. The residue was  
 dissolved in 1 ml of methanol, subjected to a chromato-  
 graph tower of Sephadex LH-20 (1.6 x 20 cm) and eluted  
 with methanol. The fractions containing the desired  
 15 product were concentrated to dryness to give 27.2 mg of  
 the captioned compound represented by the formula (27).

Rf value: 0.42 (produced by Merck Co., Kiesel  
 gel 60F<sub>254</sub>, developing solvent; chloroform : methanol  
 = 4:1)

FAB-MS (m/z) : 634 (M+H)<sup>+</sup>

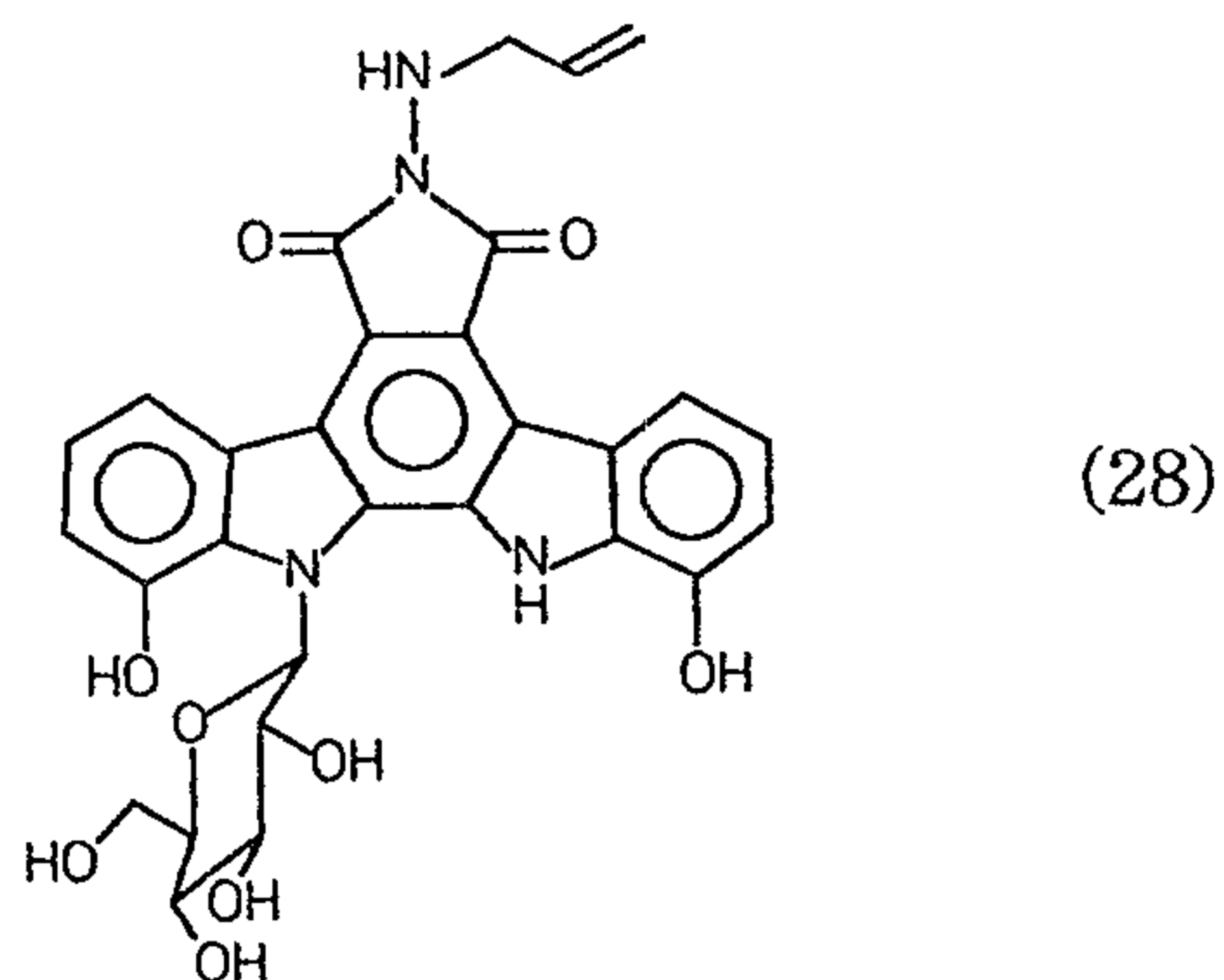
<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.99  
 (1H, s), 10.42 (1H, s), 10.02 (1H, s), 9.82  
 (1H, brs), 8.65 (1H, d, J=7.7Hz), 8.49 (1H,  
 d, J=7.7Hz), 7.18 (2H, t, J=7.7Hz), 7.00-  
 7.10 (3H, m), 5.42 (1H, brs), 5.35 (1H,



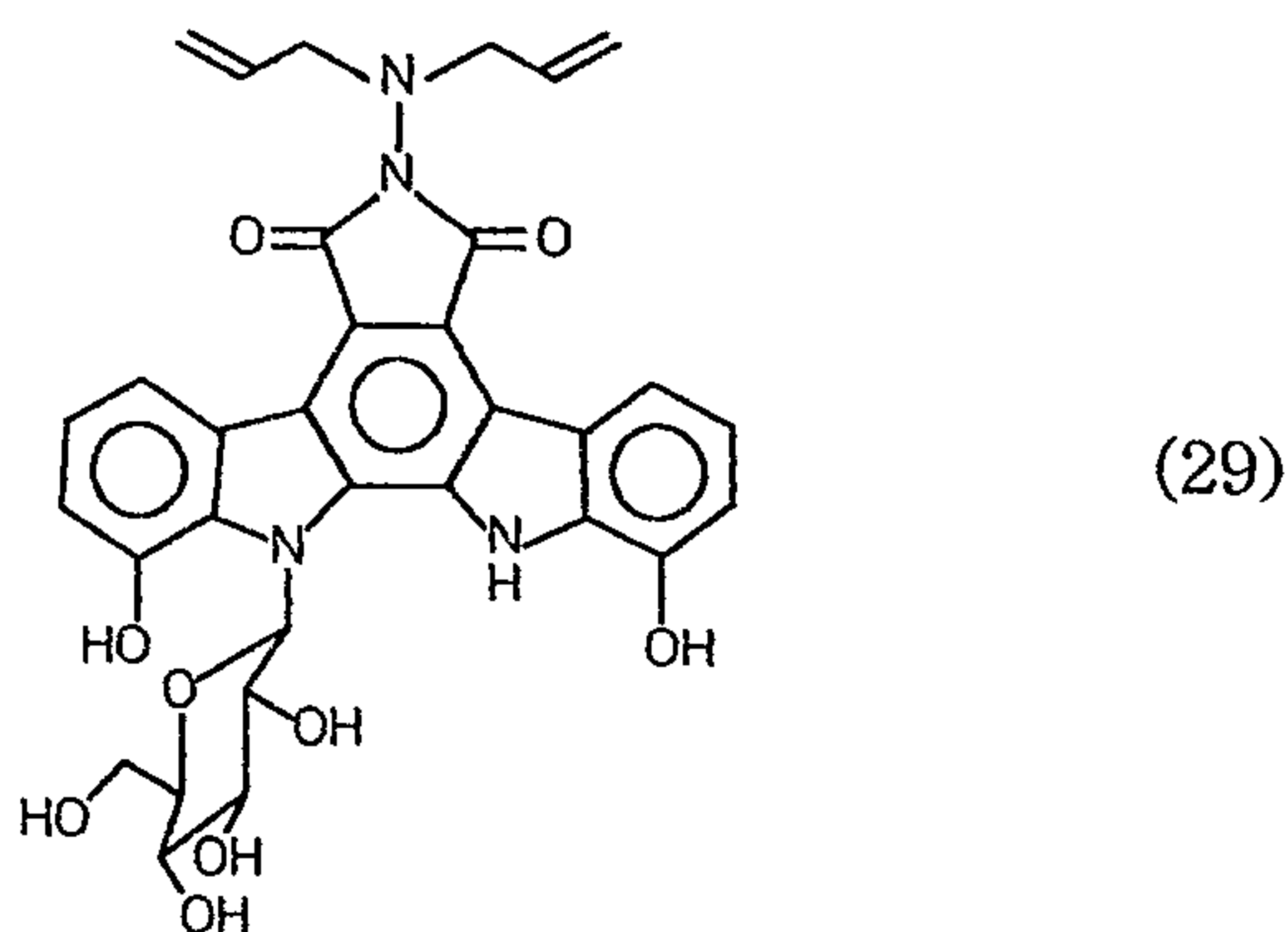
brs), 5.21 (1H, brs), 4.90 (1H, brs), 4.02 (2H, m), 3.72 (1H, m), 3.56-3.70 (2H, m), 3.40 (1H, m), 1.50 (9H, s)

Example 28

The compound represented by the formula



5 and the compound represented by the formula



177 mg of the compound obtained in Example 1 was dissolved in 6 ml of N,N-dimethylformamide, 0.68 ml of allyl bromide was added, and the mixture was stirred at room temperature for 1 day. 200 ml of water was added to the mixture, the mixture was extracted with ethyl acetate (200 ml x 3), and the ethyl acetate layer was

dehydrated with saturated saline and concentrated to dryness. The residue was dissolved in 3 ml of methanol, subjected to a chromatograph tower of Sephadex LH-20 (2.5 x 40 cm) and eluted with methanol. The fractions containing the desired products respectively were concentrated to dryness to give 42.1 mg of the captioned compound represented by the formula (28) and 67.5 mg of the compound represented by the formula (29).

The compound represented by the formula (28)

10 Rf value: 0.68 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol = 2:1)

FAB-MS (m/z) : 575 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.90 (1H, s), 10.38 (1H, s), 9.98 (1H, s), 8.70 (1H, d, J=9.0Hz), 8.52 (1H, d, J=10.2Hz), 7.20 (2H, t, J=7.7Hz), 6.95-7.08 (3H, m), 5.92 (2H, m), 5.40 (1H, d, J=6.4Hz), 5.32 (1H, m), 5.20 (2H, m), 5.05 (1H, d, J=11.5Hz), 4.88 (1H, d, J=5.8Hz), 4.00 (2H, m), 3.67-3.78 (3H, m), 3.58-3.65 (2H, m), 3.35 (1H, m)

The compound represented by the formula (29)

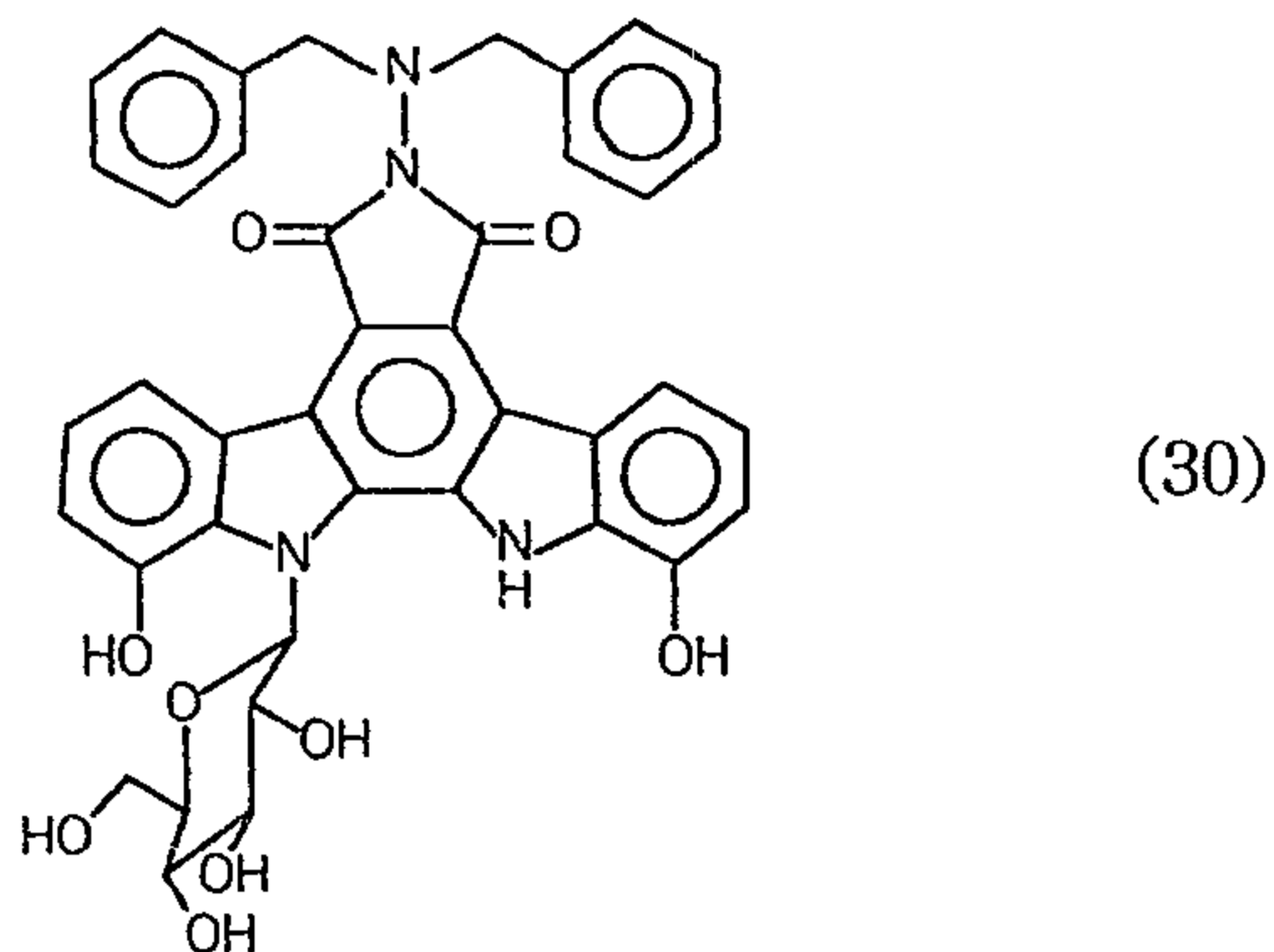
15 Rf value: 0.75 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol = 2:1)

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.91 (1H, s), 10.40 (1H, brs), 10.00 (1H, brs), 8.66 (1H, d, J=9.4Hz), 8.50 (1H, d, J=9.4Hz), 7.18 (2H, t, J=8.0Hz), 7.00-7.10 (3H, m), 5.90 (2H, ddt, J=6.3, 10.2, 17.0Hz), 5.42 (1H, d, J=5.3Hz), 5.33 (1H, brs), 5.23 (2H, d, J=17.0Hz), 5.22 (1H,

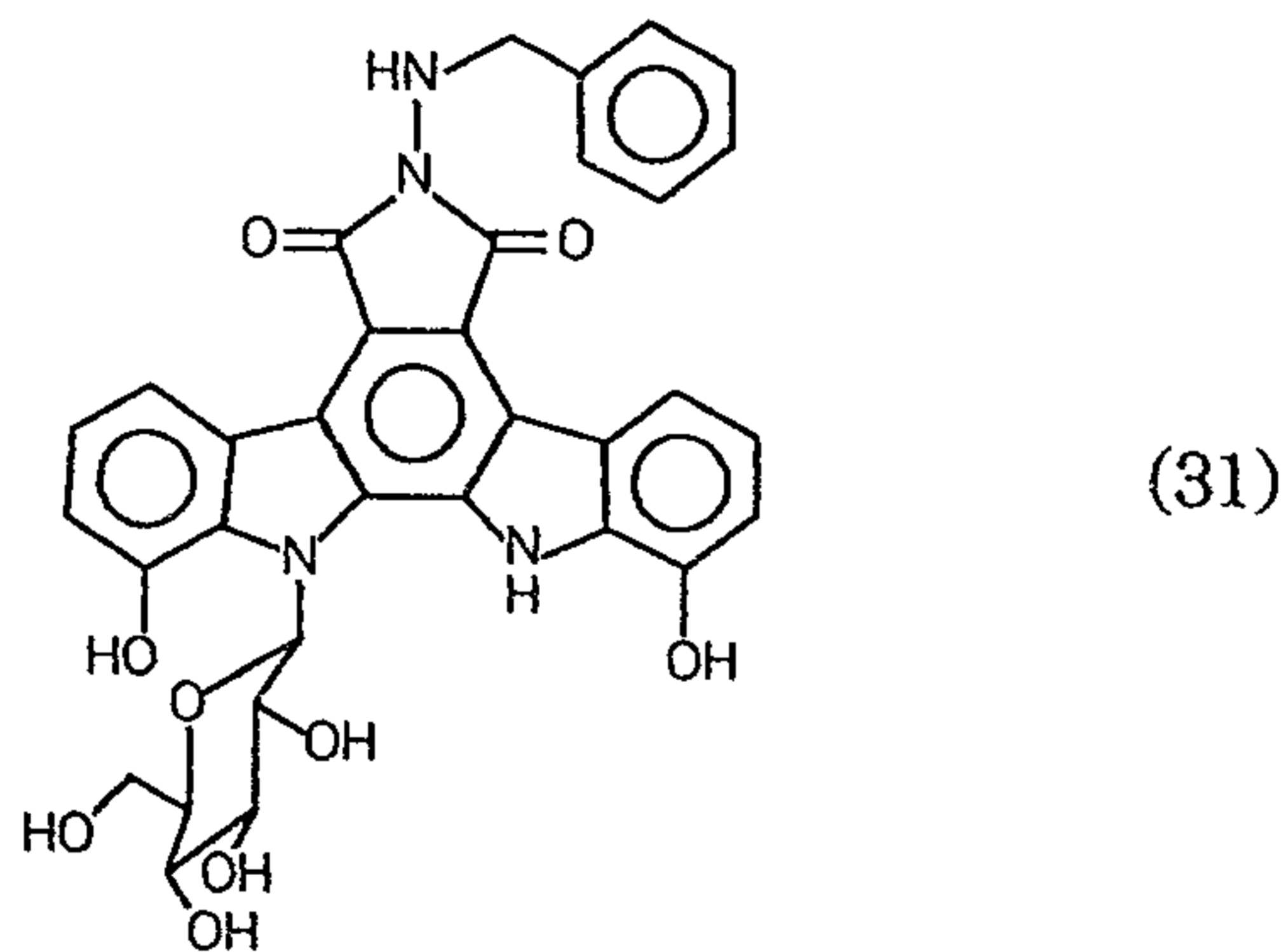
b r s), 5. 0 4 (2H, d, J=10. 2Hz), 4. 9 1 (1H, b r s), 4. 0 2 (2H, m), 3. 9 7 (4H, d, J=6. 3Hz), 3. 7 0 (1H, m), 3. 5 1-3. 6 6 (2H, m), 3. 3 5 (1H, m)

Example 29

The compound represented by the formula



5 and the compound represented by the formula



20 mg of the compound obtained in Example 1 was dissolved in 1 ml of N,N-dimethylformamide, 0.3 ml of benzyl bromide was added, and the mixture was stirred overnight. This was mixed with 40 ml of ethyl acetate, the mixture was washed successively with water (30 ml x



- 63 -

2) and then saturated saline, and the ethyl acetate layer was dehydrated with anhydrous sodium sulfate and concentrated to dryness. The residue was dissolved in 1 ml of methanol, subjected to a chromatograph tower of 5 Sephadex LH-20 (1.6 x 30 cm) and eluted with methanol. The fractions containing the desired products respectively were concentrated to dryness to give 13.2 mg of the captioned compound represented by the formula (30) and 7.2 mg of the compound represented by the formula 10 (31).

The compound represented by the formula (30)

Rf value: 0.44 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol = 3:1)

FAB-MS (m/z) : 715 (M+H) +

<sup>1</sup>H-NMR (200MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.85 (1H, s), 10.35 (1H, s), 9.96 (1H, s), 8.65 15 (1H, d, J=8.5Hz), 8.45 (1H, d, J=9.0Hz), 7.50-7.65 (4H, m), 7.10-7.40 (8H, m), 6.95-7.10 (3H, m), 5.40 (1H, d, J=5.4Hz), 5.30 (1H, brs), 5.18 (1H, d, J=4.9Hz), 4.83 (1H, d, J=4.9Hz), 4.58 (2H, s), 4.55 (2H, s), 4.00 (2H, m), 3.46-3.80 (3H, m), 3.36 (1H, m)

The compound represented by the formula (31)

Rf value: 0.38 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol = 3:1)

FAB-MS (m/z) : 625 (M+H) +

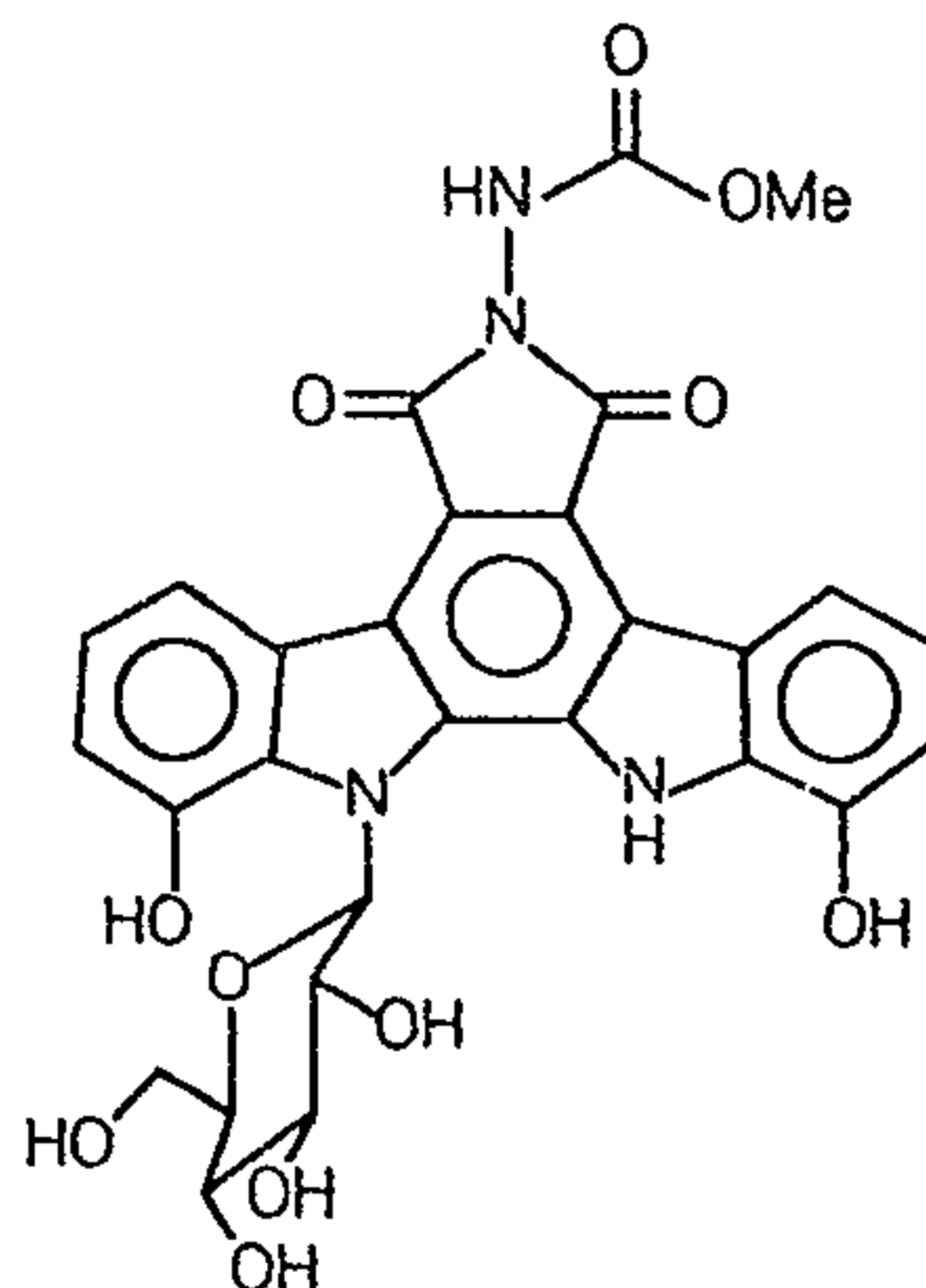
<sup>1</sup>H-NMR (300MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.88 (1H, s), 10.40 (1H, brs), 10.00 (1H, brs), 8.67 (1H, d, J=7.9Hz), 8.51 (1H, d, J=7.3Hz), 7.50 (2H, d, J=6.9Hz), 7.30 (2H, t, J=6.9Hz), 7.21 (1H, t, J=6.9Hz), 7.16 (2H, t, J=7.3Hz), 6.96-7.07 (3H, m), 6.13 (1H, t, J

- 64 -

=5.3 Hz), 5.42 (1H, d, J=5.9 Hz), 5.21 (1H, d, J=5.3 Hz), 4.91 (1H, brs), 4.55 (1H, brs), 4.28 (2H, d, J=5.3 Hz), 4.02 (2H, m), 3.72 (1H, m), 3.55-3.70 (2H, m), 3.40 (1H, m)

## Example 30

The compound represented by the formula



(32)

5            1.4 g of the compound obtained in Example A was dissolved in 30 ml of N,N-dimethylformamide, 1 g of methyl carbazinate was added, and the mixture was stirred at 80°C for 2 hours. 400 ml of water was added to the mixture, and the mixture was extracted with ethyl acetate  
 10 (500 ml x 3). The resultant ethyl acetate layer was concentrated to dryness. The residue was dissolved in 5 ml of methanol, subjected to a chromatograph tower of Sephadex LH-20 (3.0 x 80 cm) and eluted with methanol. The fractions containing the desired product were con-  
 15 centrated to dryness to give 1.3 g of the captioned compound represented by the formula (32).

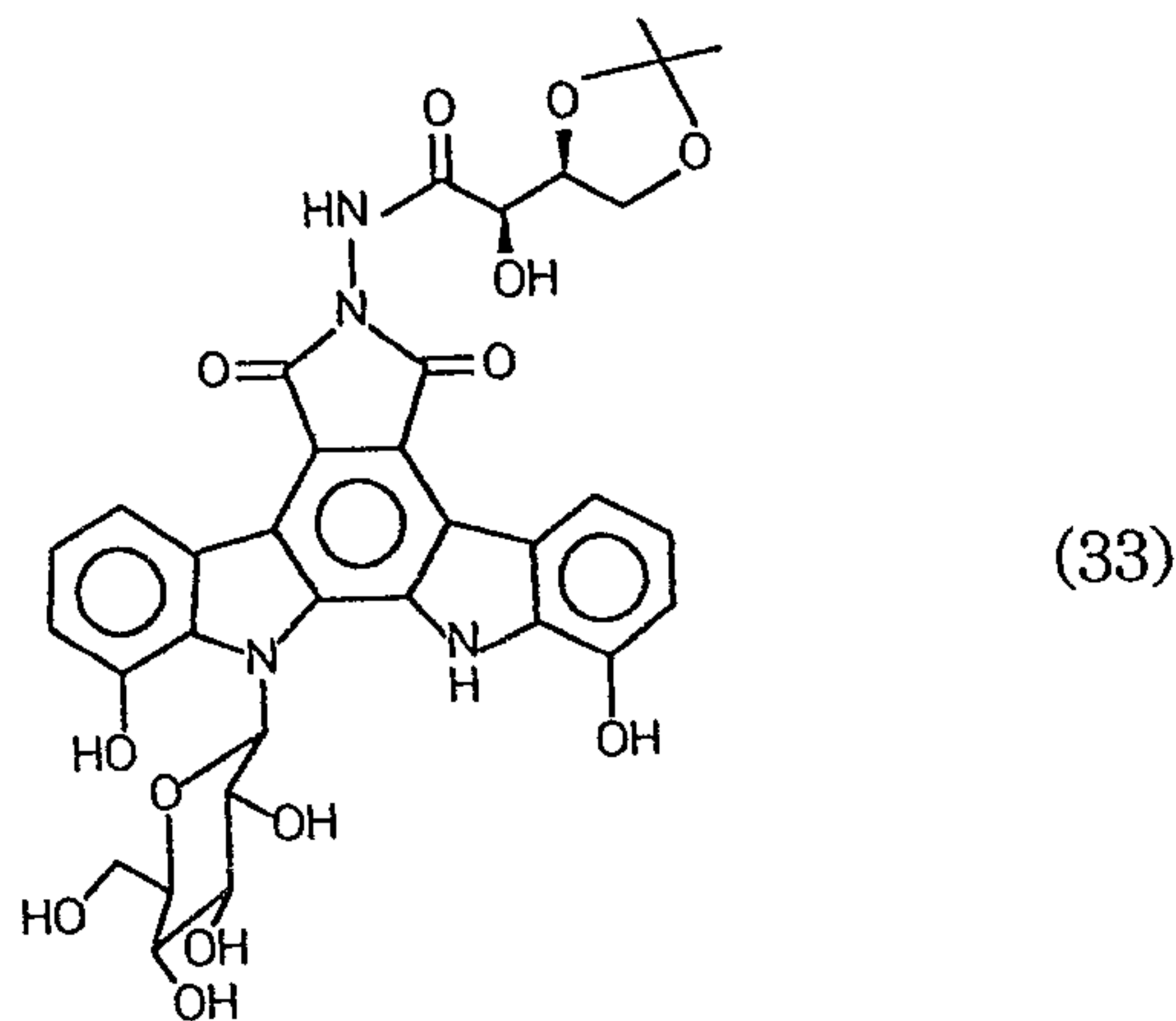
Rf value: 0.18 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol = 4:1)

FAB-MS ( $m/z$ ) : 592 (M+H) <sup>+</sup>

<sup>1</sup>H-NMR (300MHz, DMSO-d<sub>6</sub>),  $\delta$  (ppm) : 11.00 (1H, s), 10.42 (1H, brs), 10.18 (1H, s), 10.04 (1H, brs), 8.64 (1H, d, J=7.6Hz), 8.47 (1H, d, J=8.3Hz), 7.20 (2H, t, J=8.3Hz), 7.00-7.10 (3H, m), 5.42 (1H, brs), 5.35 (1H, brs), 5.21 (1H, brs), 4.91 (1H, brs), 4.02 (2H, m), 3.75 (3H, s), 3.50-3.70 (3H, m), 3.40 (1H, m)

Example 31

The compound represented by the formula



5. 90 mg of the compound obtained in Example A was dissolved in 1 ml of N,N-dimethylformamide, 67 mg of (2R,3S)-3,4-O-isopropylidene-2,3,4-trihydroxybutane carbonylhydrazide, and the mixture was stirred at 80°C for 7 hours and then at room temperature for 3 days. 50 ml of
- 10 water was added to the mixture, and the mixture was extracted with ethyl acetate (50 ml x 2). The resultant ethyl acetate layer was concentrated to dryness. The residue was dissolved in 3 ml of methanol, subjected to a chromatograph tower of Sephadex LH-20 (1.8 x 25 cm) and



- 66 -

eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 112 mg of the captioned compound represented by the formula (33).

5 Rf value: 0.14 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol = 4:1)

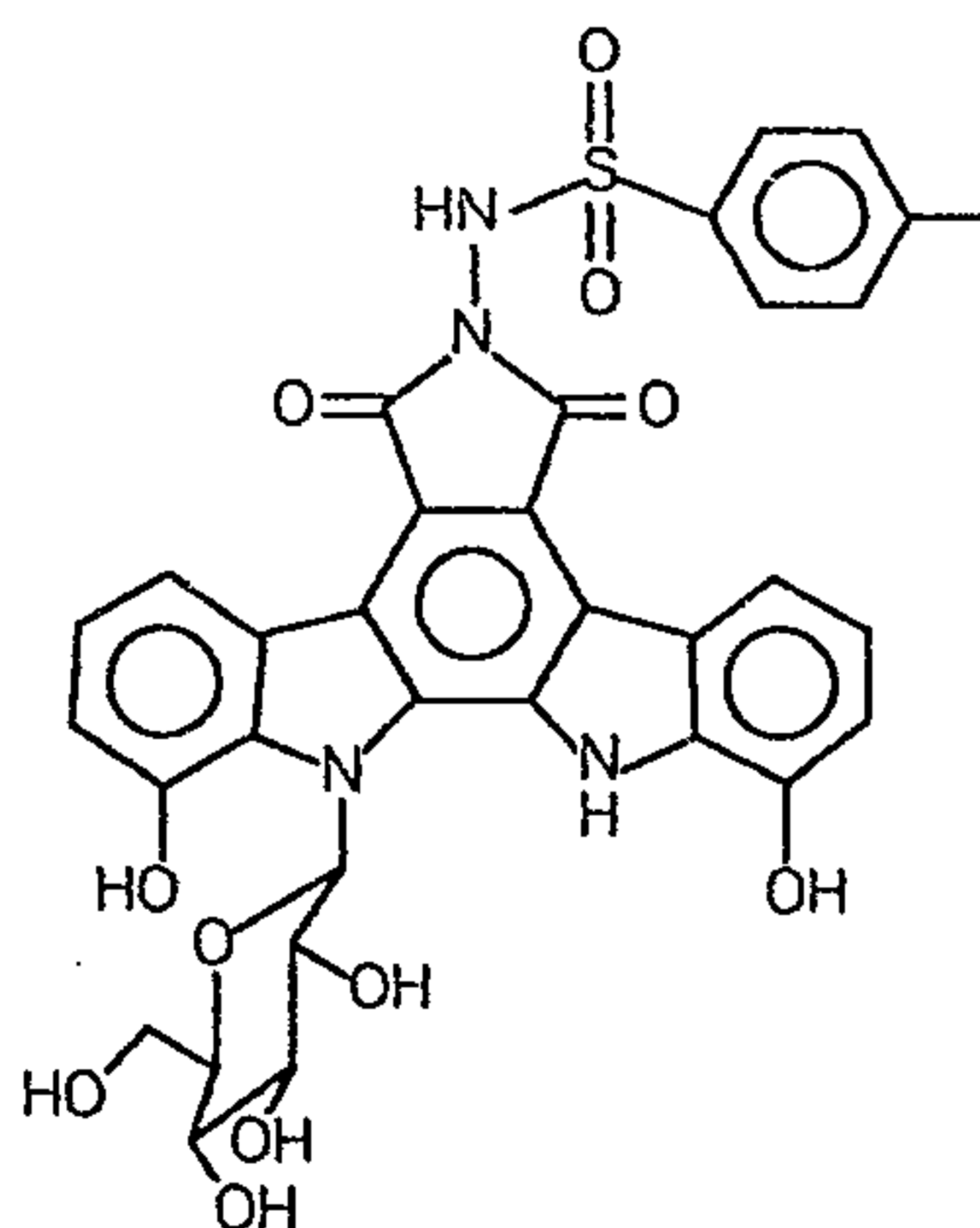
FAB-MS (m/z) : 692 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (300MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.01 (1H, s), 10.70 (1H, s), 10.45 (1H, s), 10.05 (1H, s), 8.75 (1H, d, J=7.4Hz), 8.47 (1H, d, J=7.4Hz), 7.21 (2H, t, J=7.4Hz), 7.00-7.10 (3H, m), 6.26 (1H, d, J=6.7Hz), 5.44 (1H, d, J=5.9Hz), 5.39 (1H, brs), 5.24 (1H, d, J=5.9Hz), 4.93 (1H, d, J=5.9Hz), 4.31 (1H, dd, J=6.7, 11.9Hz), 4.22 (1H, t, J=6.7Hz), 4.10 (1H, ddd, J=6.7, 6.7, 11.9Hz), 4.05 (2H, m), 3.91 (1H, t, J=6.7Hz), 3.76 (1H, m), 3.57-3.71 (2H, m), 3.40 (1H, m), 1.45 (3H, s), 1.36 (3H, s)

Example 32

10

The compound represented by the formula



(34)

25 mg of the compound obtained in Example 1 was dissolved in 5 ml of anhydrous tetrahydrofuran, 10 mg of p-toluenesulfonic anhydride was added, and the mixture was stirred at room temperature for 1 day. The reaction solution was concentrated to dryness, and the residue was dissolved in 1 ml of methanol, subjected to a chromatograph tower of Sephadex LH-20 (1.8 x 20 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 12.3 mg of the captioned compound represented by the formula (34).

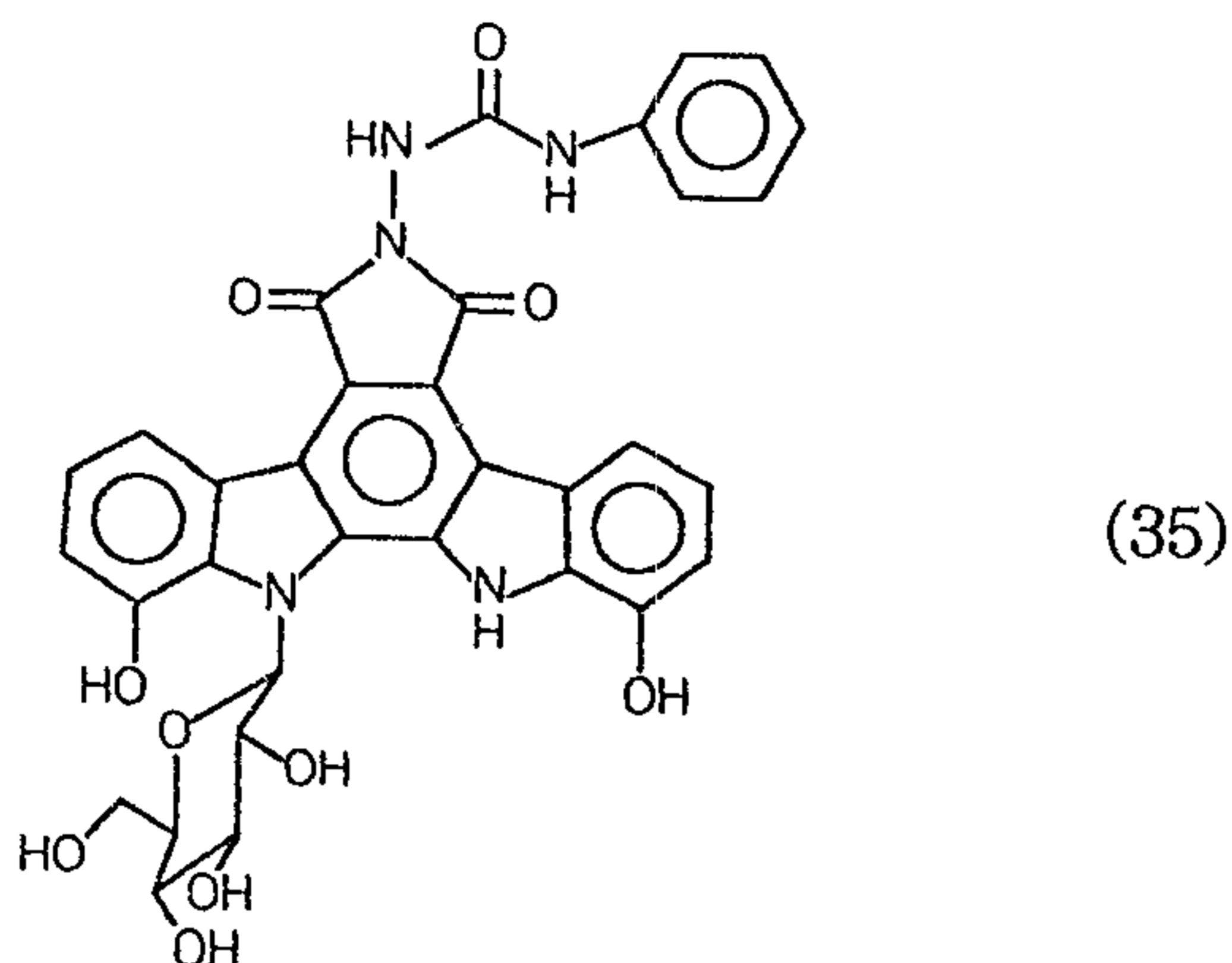
Rf value: 0.49 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol : tetrahydrofuran = 3:1:1)

FAB-MS (m/z) : 688 (M+H) <sup>+</sup>

<sup>1</sup>H-NMR (300MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.98 (1H, s), 10.87 (1H, s), 10.42 (1H, s), 10.05 (1H, s), 8.54 (1H, d, J=7.9Hz), 8.38 (1H, d, J=7.9Hz), 7.84 (2H, d, J=8.7Hz), 7.44 (2H, d, J=8.7Hz), 7.19 (2H, t, J=7.9Hz), 7.00-7.08 (3H, m), 5.43 (1H, d, J=4.7Hz), 5.35 (1H, brs), 5.23 (1H, d, J=4.9Hz), 4.90 (1H, d, J=4.4Hz), 4.04 (2H, m), 3.75 (1H, m), 3.55-3.70 (2H, m), 3.40 (1H, m), 2.42 (3H, s)

## Example 33

The compound represented by the formula



20 mg of the compound obtained in Example 1 was  
 5 dissolved in 2 ml of tetrahydrofuran, 0.1 ml of phenyl  
 isocyanate was added, and the mixture was stirred at room  
 temperature for 2 hours. The reaction solution was  
 concentrated to dryness, and the residue was dissolved in  
 1 ml of methanol, subjected to a chromatograph tower of  
 10 Sephadex LH-20 (1.6 x 30 cm) and eluted with methanol.  
 The fractions containing the desired product were con-  
 centrated to dryness to give 12 mg of the captioned  
 compound represented by the formula (35).

Rf value: 0.38 (produced by Merck Co., Kiesel  
 15 gel 60F<sub>254</sub>, developing solvent; chloroform : methanol :  
 tetrahydrofuran = 2:1:1)

FAB-MS (m/z) : 653 (M+H) <sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.00  
 (1H, s), 10.40 (1H, brs), 10.10 (1H, brs),  
 9.48 (1H, s), 9.50 (1H, s), 8.67 (1H, d, J=8.  
 3Hz), 8.50 (1H, d, J=8.3Hz), 7.48 (2H, d, J=  
 7.8Hz), 7.27 (2H, t, J=7.8Hz), 7.20 (2H, t,  
 J=7.8Hz), 6.95-7.10 (4H, m), 5.43 (1H, d, J  
 =4.2Hz), 5.30 (1H, brs), 5.23 (1H, brs), 4.  
 95 (1H, brs), 4.03 (2H, m), 3.75 (1H, m), 3.

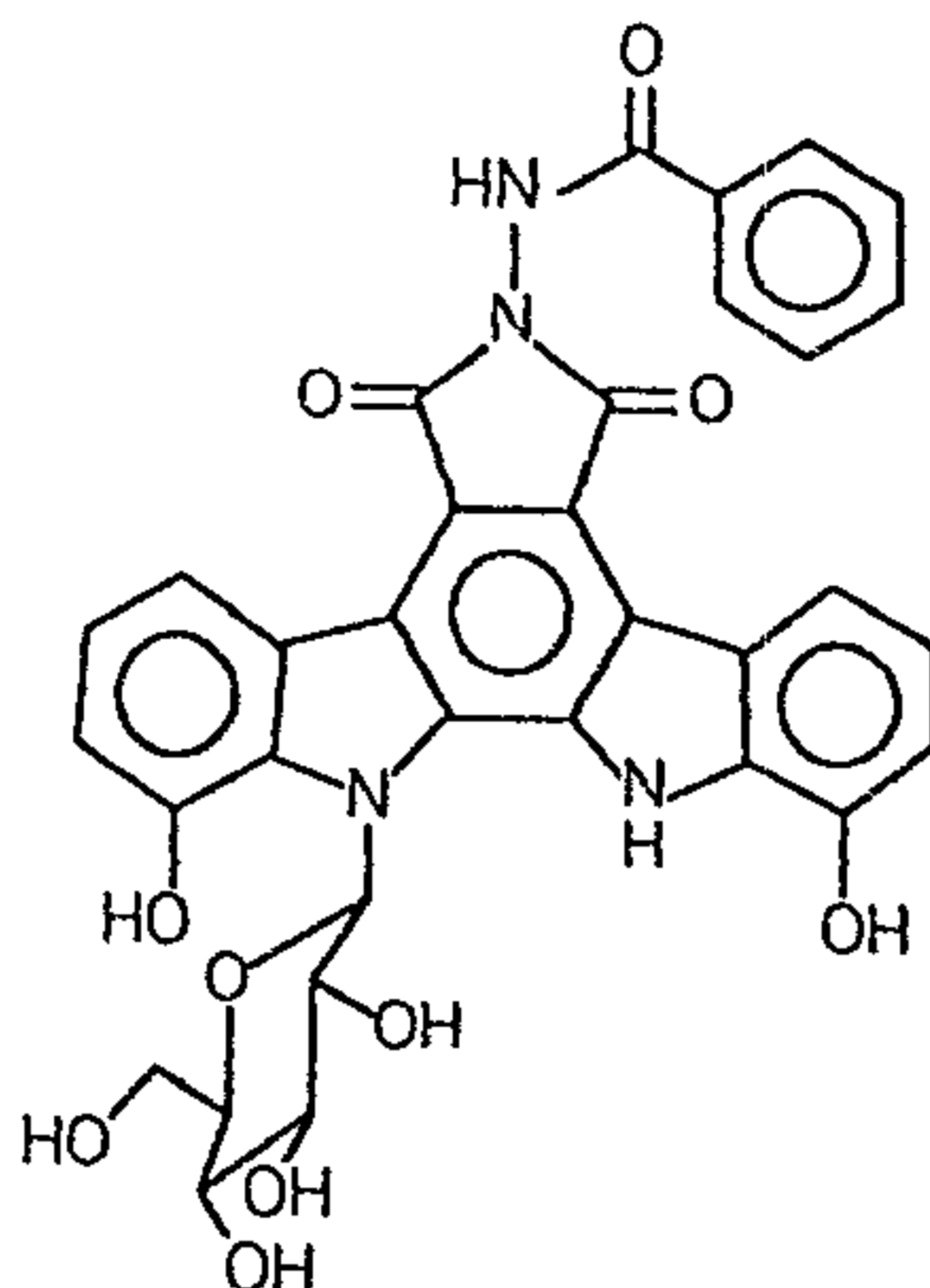


- 69 -

58-3. 70 (2H, m), 3. 38 (1H, m)

## Example 34

The compound represented by the formula



(36)

5            15 mg of the compound obtained in Example 1 was dissolved in 2 ml of tetrahydrofuran, 16  $\mu$ l of benzoyl chloride was added, and the mixture was stirred at room temperature for 2 hours. The solvent was distilled away, and the residue was dissolved in 1 ml of methanol, sub-

10            jected to a chromatograph tower of Sephadex LH-20 (1.6 x 20 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 12 mg of the captioned compound represented by the formula (36).

15            R<sub>f</sub> value: 0.57 (produced by Merck Co., Kieselgel 60F<sub>254</sub>, developing solvent; chloroform : methanol : tetrahydrofuran = 2:1:1)

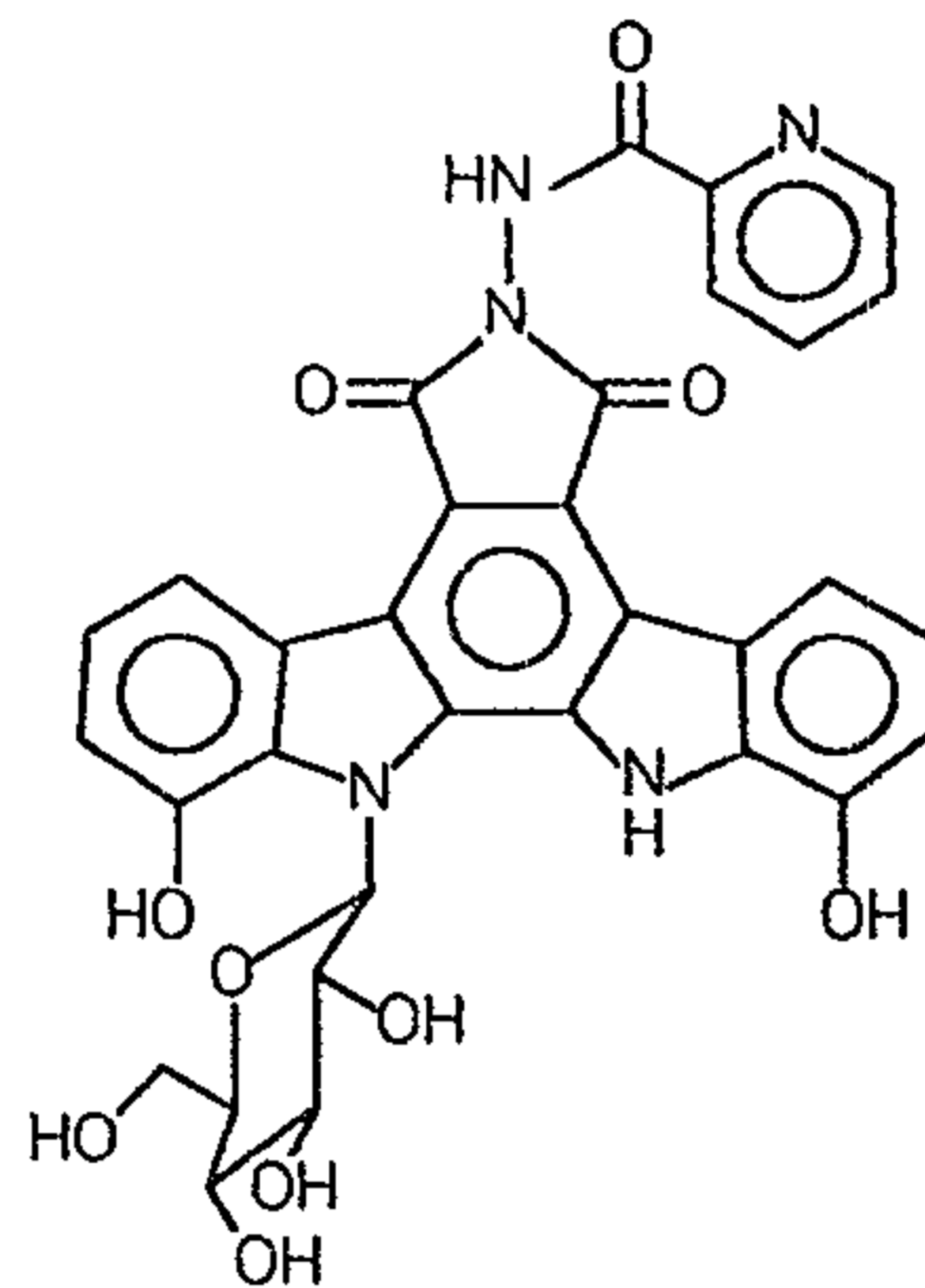
FAB-MS (m/z) : 639 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (200MHz, DMSO-d<sub>6</sub>),  $\delta$  (ppm) : 11.35 (1H, brs), 11.04 (1H, s), 10.45 (1H, brs), 10.08 (1H, brs), 8.66 (1H, d, J=8Hz), 8.49 (1H, d, J=8.5Hz), 8.04 (2H, d, J=7.1Hz), 7.55-7.78 (3H, m), 7.20 (2H, t, J=8.5Hz), 7.00-7.15 (3H, m), 5.45 (2H, brs), 5.25 (1H,

brs), 4.97 (1H, brs), 4.02 (2H, m), 3.55-3.82 (3H, m), 3.41 (1H, m)

Example 35

The compound represented by the formula



(37)

5                    25 mg of the compound obtained in Example A was dissolved in 1.5 ml of N,N-dimethylformamide, 30 mg of  $\alpha$ -picolinohydrazide was added, and the mixture was stirred at 80°C for 2 hours. This was mixed with 50 ml of ethyl acetate, and the mixture was washed successively  
 10 with water and then saturated saline, dehydrated with anhydrous sodium sulfate, and concentrated to dryness. The residue was dissolved in 1 ml of methanol, subjected to a chromatograph tower of Sephadex LH-20 (1.8 x 15 cm) and eluted with methanol. The fractions containing the  
 15 desired product were concentrated to dryness to give 30 mg of the captioned compound represented by the formula (37).

Rf value: 0.58 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol :  
 20 tetrahydrofuran = 2:1:1)

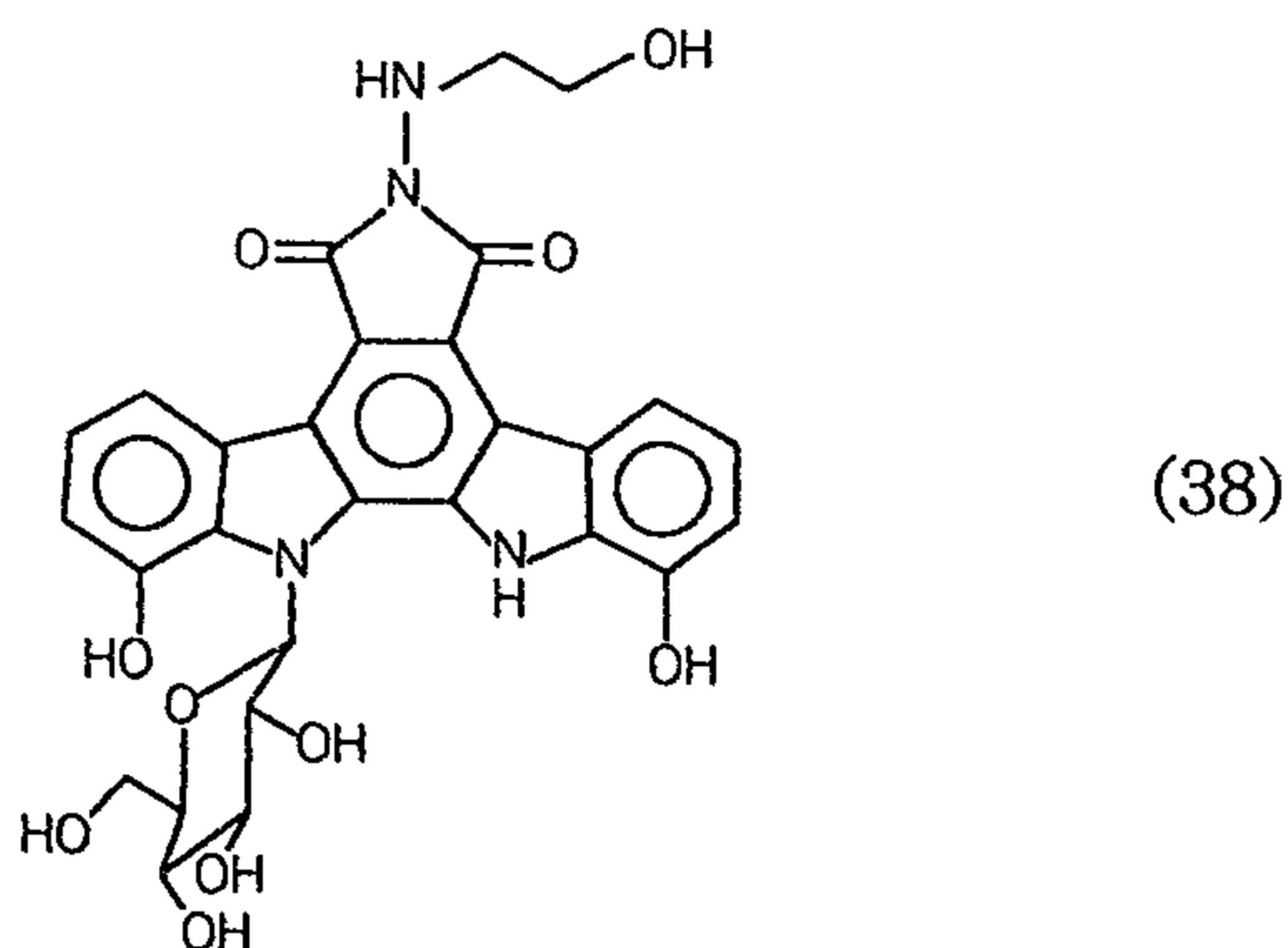
- 71 -

FAB-MS (m/z) : 640 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (300MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.43 (1H, s), 11.02 (1H, s), 10.45 (1H, s), 10.07 (1H, s), 8.82 (1H, d, J=4.2Hz), 8.75 (1H, d, J=7.3Hz), 8.48 (1H, d, J=7.8Hz), 8.12 (2H, m), 7.75 (1H, m), 7.20 (2H, t, J=7.0Hz), 7.00-7.15 (3H, m), 5.45 (1H, d, J=6.3Hz), 5.40 (1H, brs), 5.25 (1H, d, J=6.3Hz), 4.96 (1H, brs), 4.04 (2H, m), 3.76 (1H, m), 3.55-3.72 (2H, m), 3.42 (1H, m)

## Example 36

The compound represented by the formula



5                    30 mg of the compound obtained in Example A was dissolved in 1 ml of N,N-dimethylformamide, 30 mg of 2-hydrazinoethanol was added, and the mixture was stirred at 80°C for 2 hours. This was concentrated to dryness. The residue was dissolved in 1 ml of methanol, subjected  
 10 to a chromatograph tower of Sephadex LH-20 (1.8 x 20 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 32 mg of the captioned compound represented by the formula (38).



- 72 -

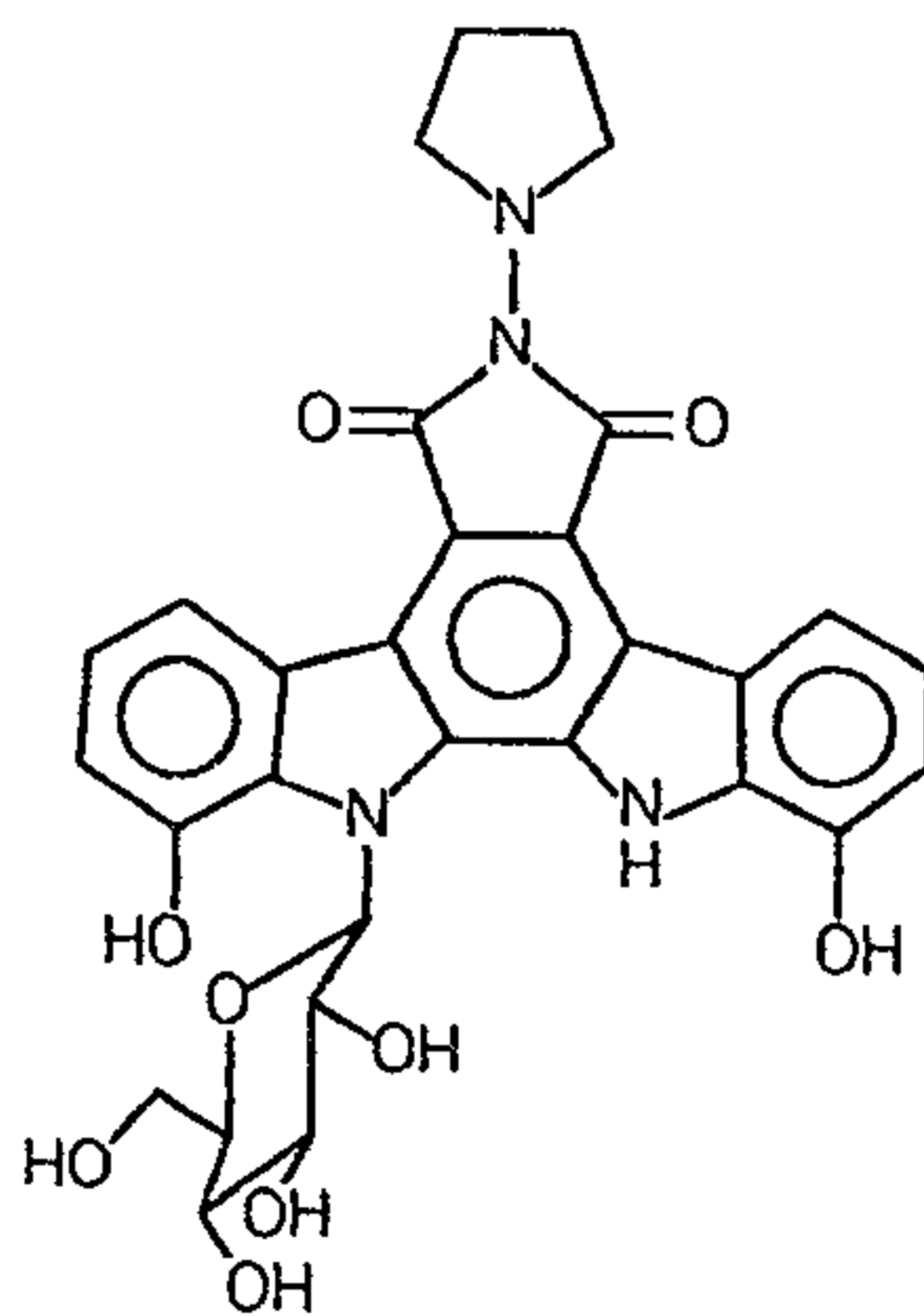
Rf value: 0.32 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol = 2:1)

<sup>1</sup>H-NMR (300MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.91 (1H, s), 10.35 (1H, brs), 9.98 (1H, brs), 8.70 (1H, d, J=6.7Hz), 8.53 (1H, d, J=6.9Hz), 7.18 (2H, t, J=7.6Hz), 6.99-7.06 (3H, m), 5.76 (1H, t, J=5.2Hz), 5.41 (1H, d, J=5.6Hz), 5.32 (1H, brs), 5.20 (1H, d, J=5.2Hz), 4.90 (1H, brs), 4.51 (1H, t, J=4.9Hz), 3.96-4.06 (2H, m), 3.73 (1H, m), 3.55-3.70 (4H, m), 3.39 (1H, m), 3.12 (2H, m)

5

## Example 37

The compound represented by the formula



(39)

40 mg of the compound obtained in Example A was dissolved in 2 ml of N,N-dimethylformamide, 10 mg of 1-aminopyrrolidine hydrochloride and 0.1 ml of sodium bicarbonate aqueous solution were added, and the mixture was stirred at 80°C for 2 hours. 40 ml of water was added thereto and the mixture was extracted with ethyl acetate (40 ml x 2). The resultant ethyl acetate layer was dehydrated with anhydrous sodium sulfate, and con-

- 73 -

centrated to dryness. The residue was dissolved in 1 ml of methanol, subjected to a chromatograph tower of Sephadex LH-20 (1.8 x 20 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 10.0 mg of the captioned compound represented by the formula (39).

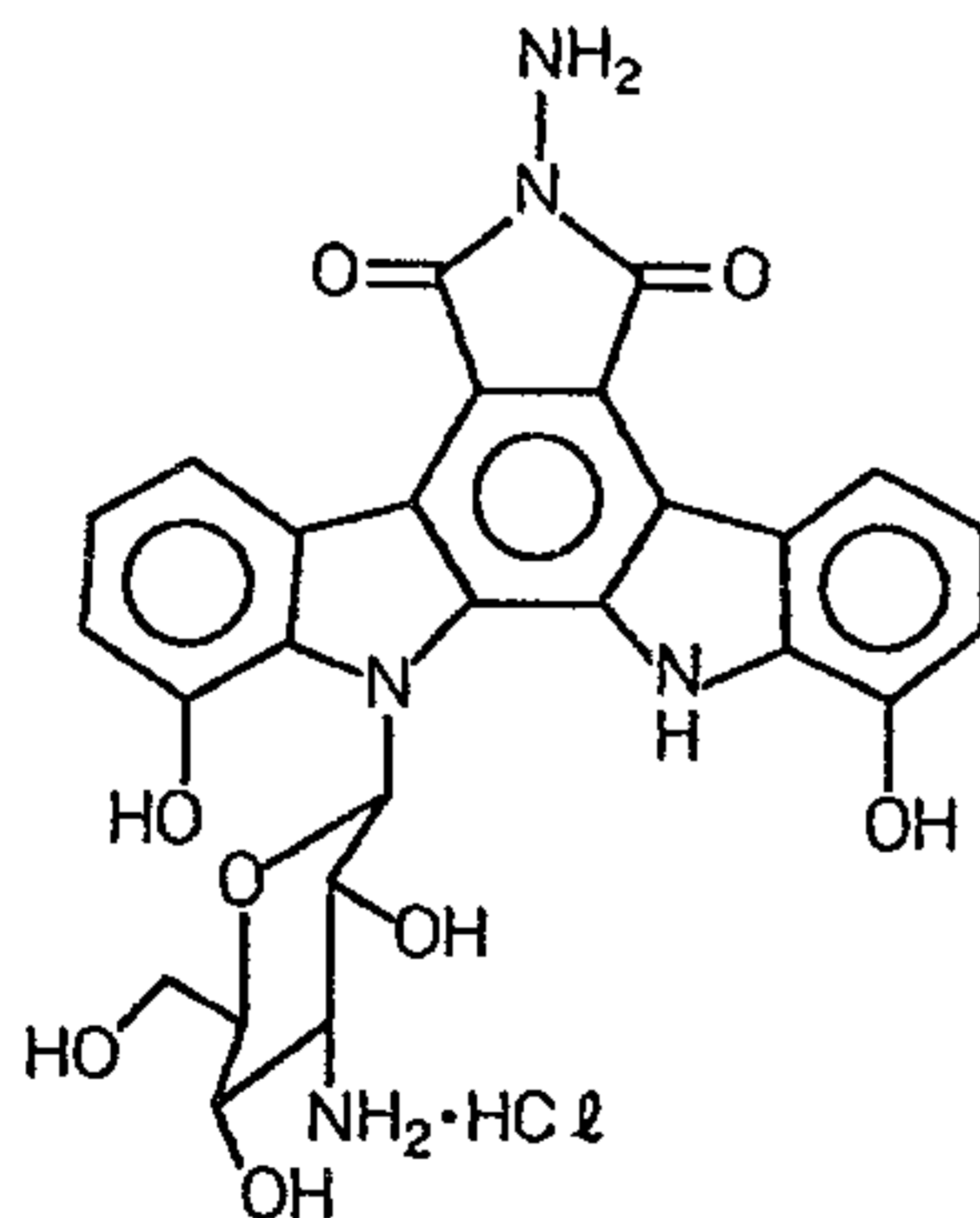
Rf value: 0.33 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol = 4:1)

FAB-MS (m/z) : 589 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (300MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.91 (1H, s), 10.35 (1H, s), 9.95 (1H, s), 8.78 (1H, d, J=8.3Hz), 8.52 (1H, d, J=8.3Hz), 7.16 (2H, t, J=7.6Hz), 6.98-7.06 (3H, m), 5.40 (1H, d, J=5.5Hz), 5.33 (1H, t, J=5.7Hz), 5.18 (1H, d, J=5.5Hz), 4.85 (1H, d, J=4.8Hz), 4.02 (2H, m), 3.74 (1H, m), 3.53-3.68 (2H, m), 3.30-3.42 (5H, m), 1.97 (4H, m)

#### Example 38

The compound represented by the formula



(40)

90 mg of 6-benzyloxymethyl-1,11-dibenzyloxy-12,13-dehydro-5H-indolo[2,3-a]pyrrolo[3,4-c]carbazole-4,7(6H)-dione, a compound disclosed in PCT/W091/18003, 1.3 g of silver oxide and 550 mg of 4Å molecular sieve

- 74 -

were suspended in 30 ml of anhydrous benzene. After reflux with heating for 20 minutes, a solution of 416.4 mg of  $\alpha$ -bromo-3-deoxy-3-azido-2,4,6-triacetyl-D-glucose in 5 ml of anhydrous benzene was added dropwise over a  
5 period of 10 minutes. After further reflux with heating for 2 days, the insoluble matters were filtered using Celite. The filtrate was concentrated to dryness, and the residue was dissolved in 150 ml of ethyl acetate, washed successively with 0.2 N hydrochloric acid, water  
10 and then saturated saline, dehydrated with anhydrous sodium sulfate, and concentrated to dryness. The residue was dissolved in 5 ml of chloroform, subjected to a chromatograph tower of Sephadex LH-20 (3.0 x 80 cm) and eluted with chloroform. The fractions containing the  
15 desired product were concentrated to dryness, and the residue was purified by preparative thin layer chromatography [n-hexane : acetone : tetrahydrofuran = 3:1:0.1 (Rf: 0.5), then toluene : acetone = 10:1 (Rf: 0.5)] to give 9.2 mg of 6-benzyloxymethyl-1,11-dibenzyloxy-12,13-  
20 dehydro-13-( $\beta$ -D-glucopyranosyl)-5H-indolo[2,3-a]pyrrolo-[3,4-c]carbazole-5,7(6H)-dione.

9.2 mg of the resultant compound was dissolved in 1 ml of hydrazine monohydrate, and the solution was stirred at room temperature for 4 hours. This was mixed  
25 with 30 ml of ethyl acetate, and the mixture was washed successively with 0.2 N hydrochloric acid, water and then saturated saline, dehydrated with anhydrous sodium sulfate, and concentrated to dryness. The residue was dissolved in 0.5 ml of tetrahydrofuran - 1 ml of methanol,  
30 palladium black was added, and the mixture was stirred, under a hydrogen stream, at room temperature for 3 hours. The insoluble matters were filtered using Celite, 1.5 ml of 10% hydrogen chloride-methanol was added to the filtrate, and the mixture was concentrated  
35 to dryness. The residue was dissolved in 0.5 ml of methanol, subjected to a chromatograph tower of Sephadex



- 75 -

LH-20 (1.0 x 15 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 2.0 mg of the captioned compound represented by the formula (40).

5 Rf value: 0.5 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; n-butanol : acetic acid : water = 4:1:1)

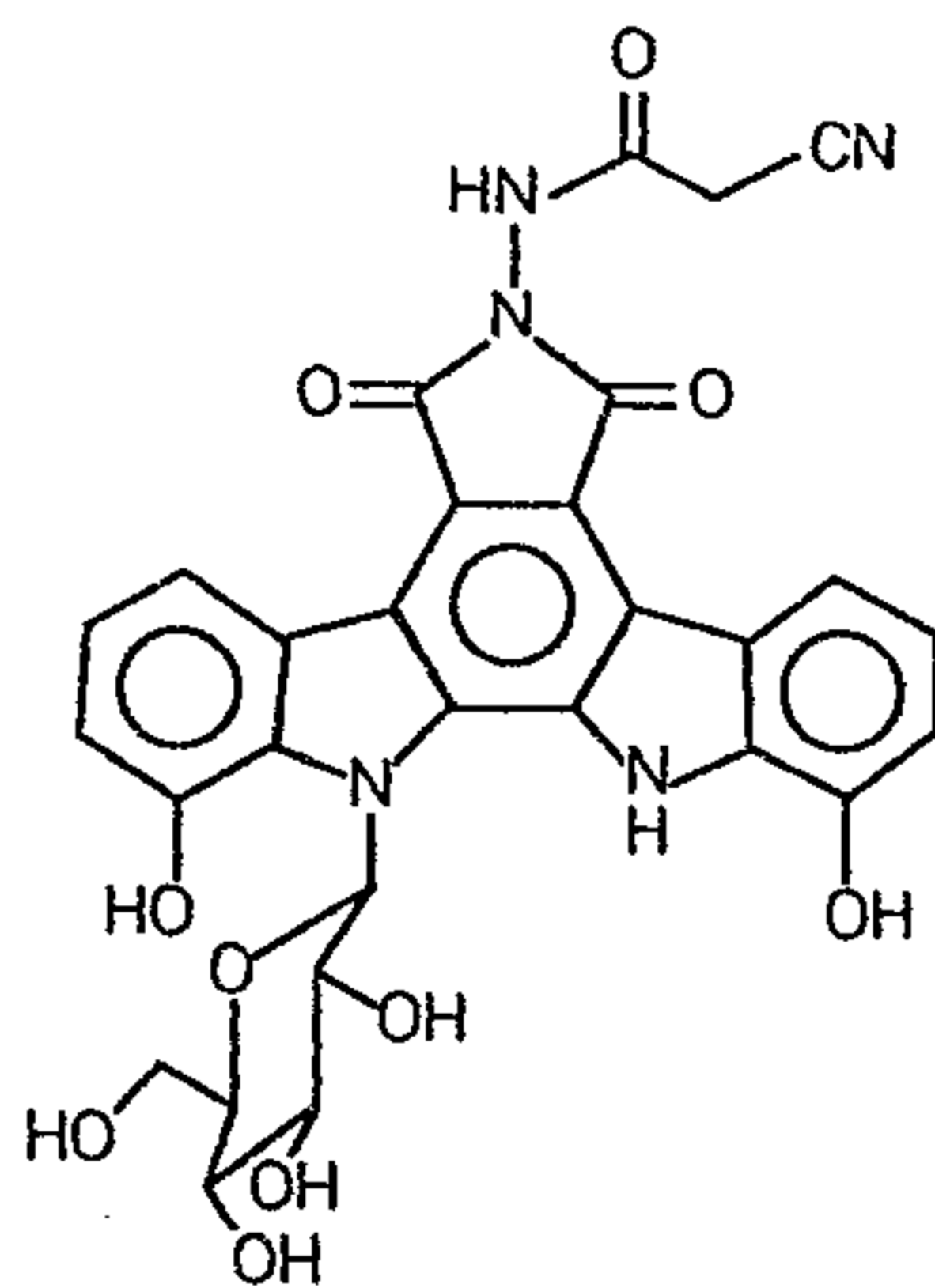
FAB-MS (m/z) : 534 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.80 (1H, s), 10.48 (1H, s), 10.20 (1H, s), 8.79 (1H, d, J=7.9Hz), 8.52 (3H, br), 8.50 (1H, d, J=9.2Hz), 7.61 (1H, d, J=6.6Hz), 7.16 (1H, dd, J=9.2, 9.2Hz), 7.10 (1H, dd, J=9.2, 9.2Hz), 7.05 (1H, dd, J=9.2, 9.2Hz), 7.00 (1H, dd, J=9.2, 9.2Hz), 6.42 (1H, d, J=5.2Hz), 6.16 (1H, d, J=3.9Hz), 5.18 (1H, br), 4.93 (1H, br), 4.40 (1H, m), 4.16 (1H, m), 4.03 (1H, m), 3.78 (1H, m), 3.68 (1H, m), 3.42 (1H, m)

#### Example 39

10

The compound represented by the formula



(41)

- 76 -

30 mg of the compound obtained in Example A was dissolved in 1.5 ml of N,N-dimethylformamide, 60 mg of cyanoacetohydrazide was added, and the mixture was stirred at 80°C for 9 hours. This was mixed with 30 ml of ethyl acetate, the mixture was washed successively with water and then saturated saline, and the ethyl acetate layer was dehydrated with anhydrous sodium sulfate and concentrated to dryness. The residue was dissolved in a small quantity of methanol, subjected to a chromatograph tower of Sephadex LH-20 (1.5 x 15 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 27.8 mg of the captioned compound represented by the formula (41).

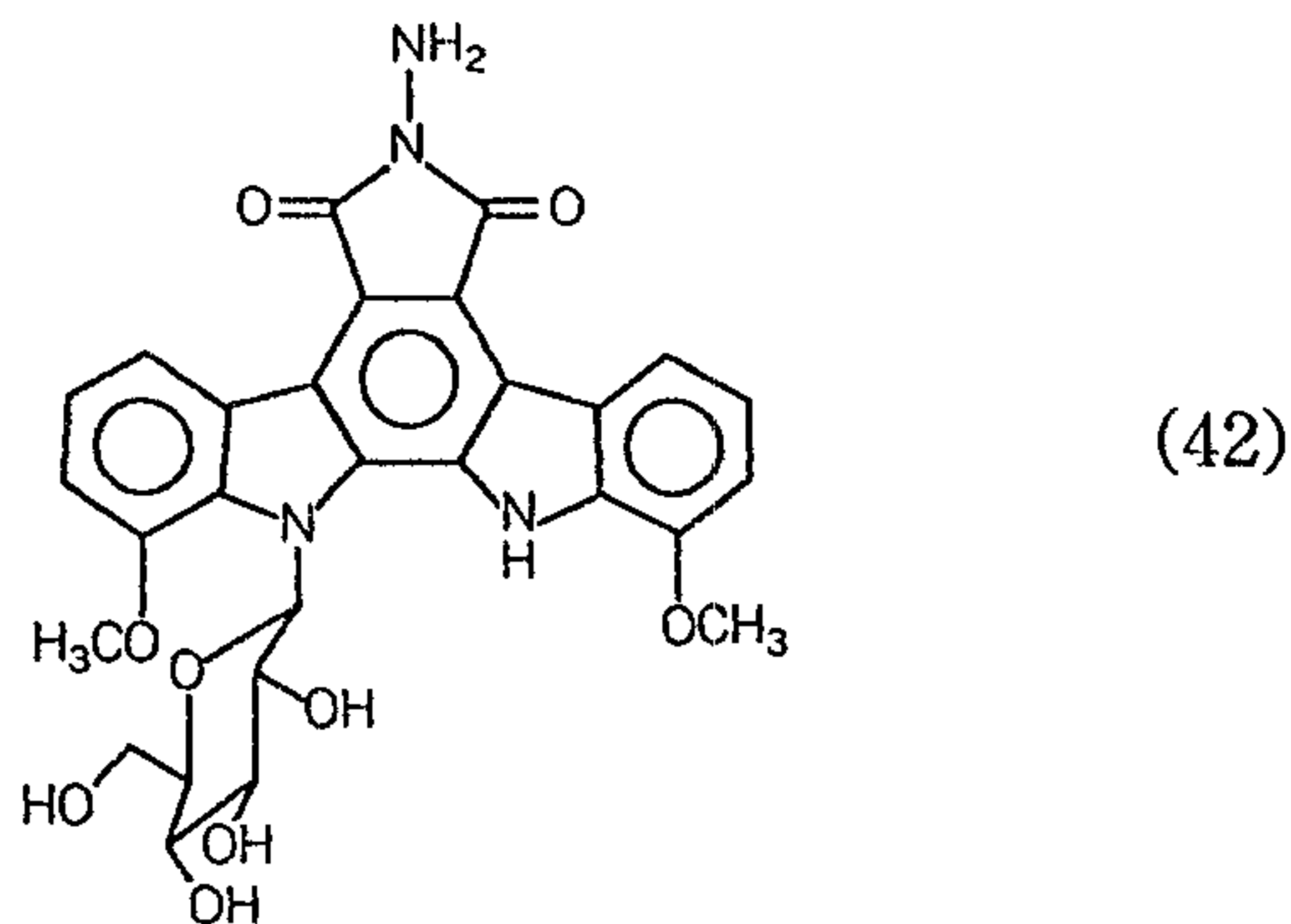
Rf value: 0.53 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol: tetrahydrofuran = 3:1:0.1)

FAB-MS (m/z) : 601 (M+H) ·

<sup>1</sup>H-NMR (300MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.14 (1H, s), 11.01 (1H, s), 10.42 (1H, s), 10.04 (1H, s), 8.65 (1H, d, J=7.6Hz), 8.47 (1H, d, J=7.6Hz), 7.21 (2H, t, J=7.6Hz), 7.05 (3H, t, J=7.6Hz), 5.41 (2H, d, J=4.5Hz), 5.19 (1H, d, J=6.8Hz), 4.90 (1H, d, J=6.8Hz), 4.13 (2H, s), 4.04 (2H, br), 3.75 (1H, m), 3.64 (2H, m), 3.43 (1H, m)

## Example 40

The compound represented by the formula



1 g of 12,13-dihydro-1,11-dihydroxy-13-( $\beta$ -D-  
 5 glucopyranosyl)-5H-indolo[2,3-a]pyrrolo[3,4-c]carbazole-  
 5,7(6H)-dione was dissolved in 25 ml of tetrahydrofuran,  
 an ether solution of an excessive quantity of diazometh-  
 ane was added, the mixture was stirred at 4°C overnight,  
 and the formed yellow precipitate was collected by fil-  
 10 tration. This was dissolved in 3 ml of hydrazine mono-  
 hydrate, and the solution was subjected to reaction at  
 room temperature for 1.5 hours. After the reaction, 200  
 ml of purified water was added, and the resultant preci-  
 pitate was collected by filtration, washed successively  
 15 with purified water and then methanol, and dried under  
 reduced pressure to give 683.4 mg of the captioned com-  
 pound represented by the formula (42).

HPLC; Rt, 10.5 minutes (column : Chromatolex  
 ODS, inner diameter 4.6 mm, length 250 mm, detection; UV  
 20 305 nm, flow rate; 1 ml/minute, moving phase; methanol  
 : water = 6:4)

FAB-MS (m/z) : 563 (M+H)<sup>+</sup>

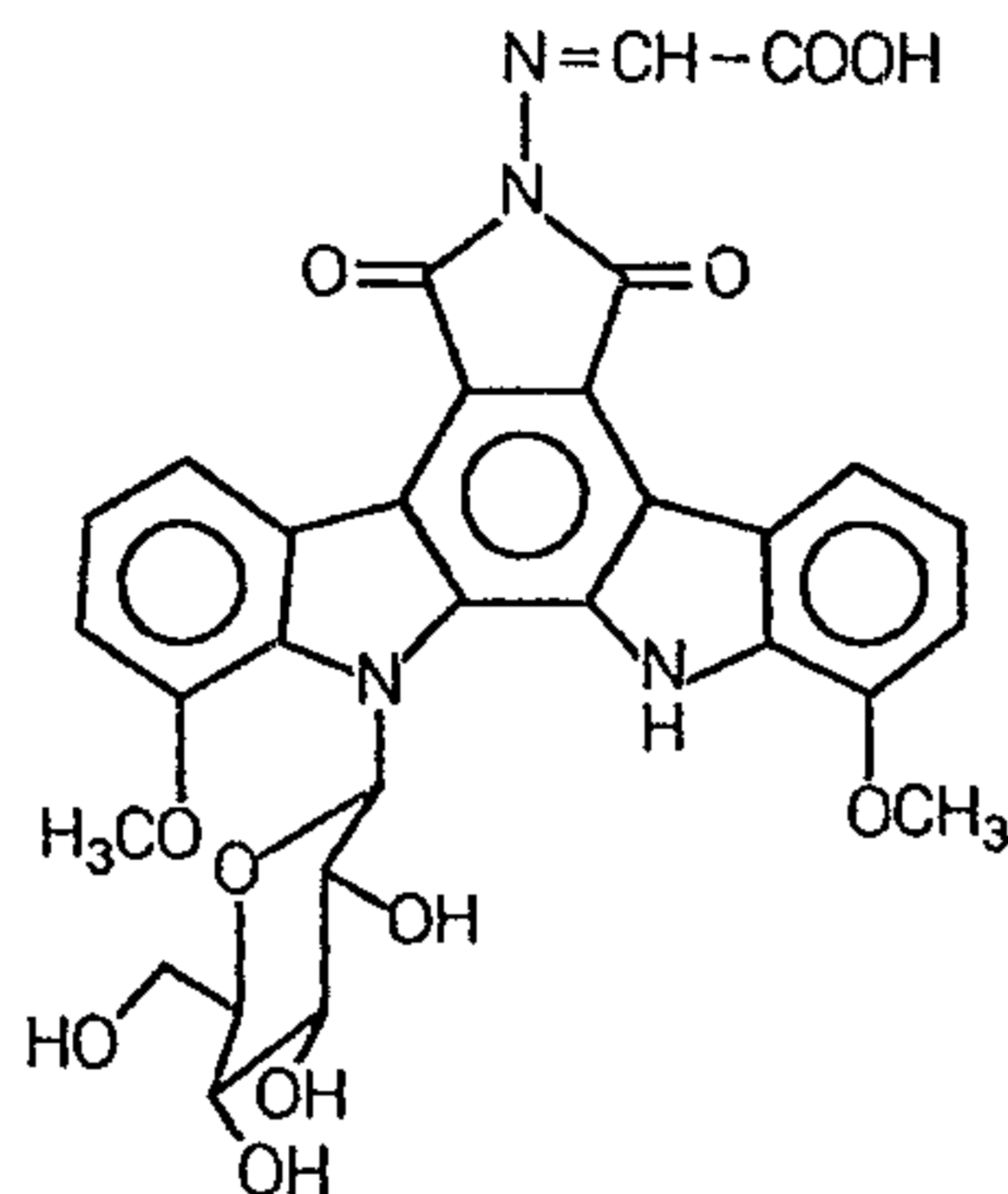
<sup>1</sup>H-NMR (300MHz, DMSO-d<sub>6</sub>),  $\delta$  (ppm) : 10.9  
 (1H, s), 8.87 (1H, d, J=7.8Hz), 8.65 (1H, d,  
 J=7.8Hz), 7.35 (1H, t, J=7.8Hz), 7.23 (1H,  
 t, J=7.8Hz), 7.25 (1H, d, J=7.8Hz), 7.18



(1H, d, J=7.8Hz), 6.90 (1H, d, J=9.3Hz), 5.40 (1H, brs), 5.18 (1H, brs), 5.00 (2H, brs), 4.90 (2H, brs), 4.06 (6H, s), 4.00 (2H, m), 3.78 (1H, m), 3.63 (2H, m), 3.42 (1H, m)

Example 41

The compound represented by the formula



(43)

5           708.8 mg of the captioned compound represented by the formula (43) was obtained from 679 mg of the compound obtained in Example 40, according to the process of Example 2.

10           HPLC; Rt, 10.9 minutes (column : Chromatolex ODS, inner diameter 4.6 mm, length 250 mm, detection; UV 310 nm, flow rate; 1 ml/minute, moving phase; acetonitrile : water = 2.8 → acetonitrile : water = 6:4, 30 minutes linear gradient)

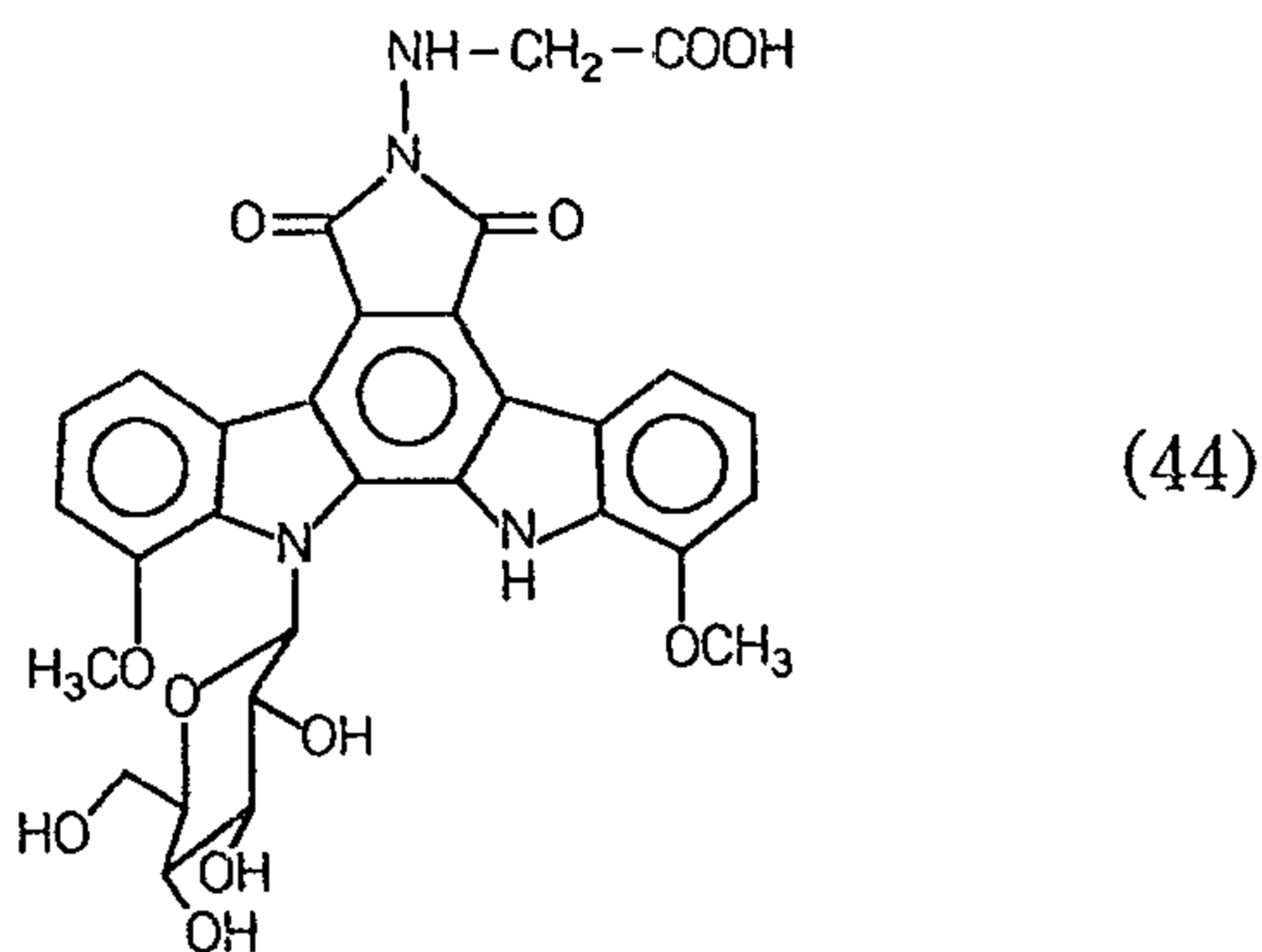
FAB-MS (m/z) : 618 [M]<sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 13.5 (1H, brs), 11.1 (1H, s), 9.01 (1H, s), 8.83 (1H, d, J=7.8Hz), 8.63 (1H, d, J=7.8Hz), 7.39 (1H, t, J=7.8Hz), 7.37 (1H, t, J=7.8Hz), 7.29 (1H, d, J=7.8Hz), 7.22 (1H, d, J=7.8Hz), 6.94 (1H, d, J=9.3Hz), 5.43 (1H, d, J=

5. 4 Hz), 5. 22 (1H, d, J=5. 4 Hz), 5. 01 (1H, br s), 4. 93 (1H, d, J=5. 4 Hz), 4. 07 (6H, s), 4. 05 (1H, m), 3. 96 (1H, m), 3. 79 (1H, m), 3. 60 (2H, m), 3. 44 (1H, m)

Example 42

The compound represented by the formula



5                    704 mg of the compound obtained in Example 41  
 was dissolved in 10 ml of N,N-dimethylformamide, 60 mg of  
 10% palladium-carbon (Pd-C) was added, and the mixture  
 was subjected to hydrogenation at room temperature for 6  
 hours under stirring. The reaction mixture was filtered  
 10 using a sheet of filter paper on which Celite was spread  
 to remove Pd-C, 200 ml of ethyl acetate was added to the  
 filtrate, and the mixture was extracted with 50 ml of  
 sodium bicarbonate aqueous solution (pH 8). The water  
 layer was adjusted to pH 2 and extracted with ethyl  
 15 acetate (500 ml). The ethyl acetate layer was extracted  
 with 2% sodium bicarbonate aqueous solution (70 ml). The  
 2% sodium bicarbonate aqueous solution layer was concent-  
 rated under reduced pressure, adsorbed on a column of  
 Diaion HP 20 (inner diameter 3 cm, length 30 cm), washed  
 20 with water, and then eluted with 300 ml of methanol. The  
 methanol eluate was concentrated to dryness, the residue  
 was dissolved in a small quantity of N,N-dimethylform-  
 amide, and the solution was subjected to preparative HPLC

- 80 -

(column : Chromatolex ODS, inner diameter 20 mm, length 250 mm, detection; UV 310 nm, flow rate; 9 ml/minute, moving phase; acetonitrile : water = 25:75). The fractions containing the desired product were concentrated to dryness, and the residue was dissolved in a small quantity of water, subjected to column chromatography of Sephadex G-15 (inner diameter 3 cm, length 63 cm) and eluted with water : methanol = 9:1. The fractions containing the desired product were concentrated and then freeze dried to give 84.2 mg of the sodium salt of the captioned compound represented by the formula (44).

HPLC; Rt, 8.9 minutes (column : Chromatolex ODS, inner diameter 4.6 mm, length 250 mm, detection; UV 310 nm, flow rate; 1 ml/minute, moving phase; acetonitrile : water : trifluoroacetic acid = 300:700:1)

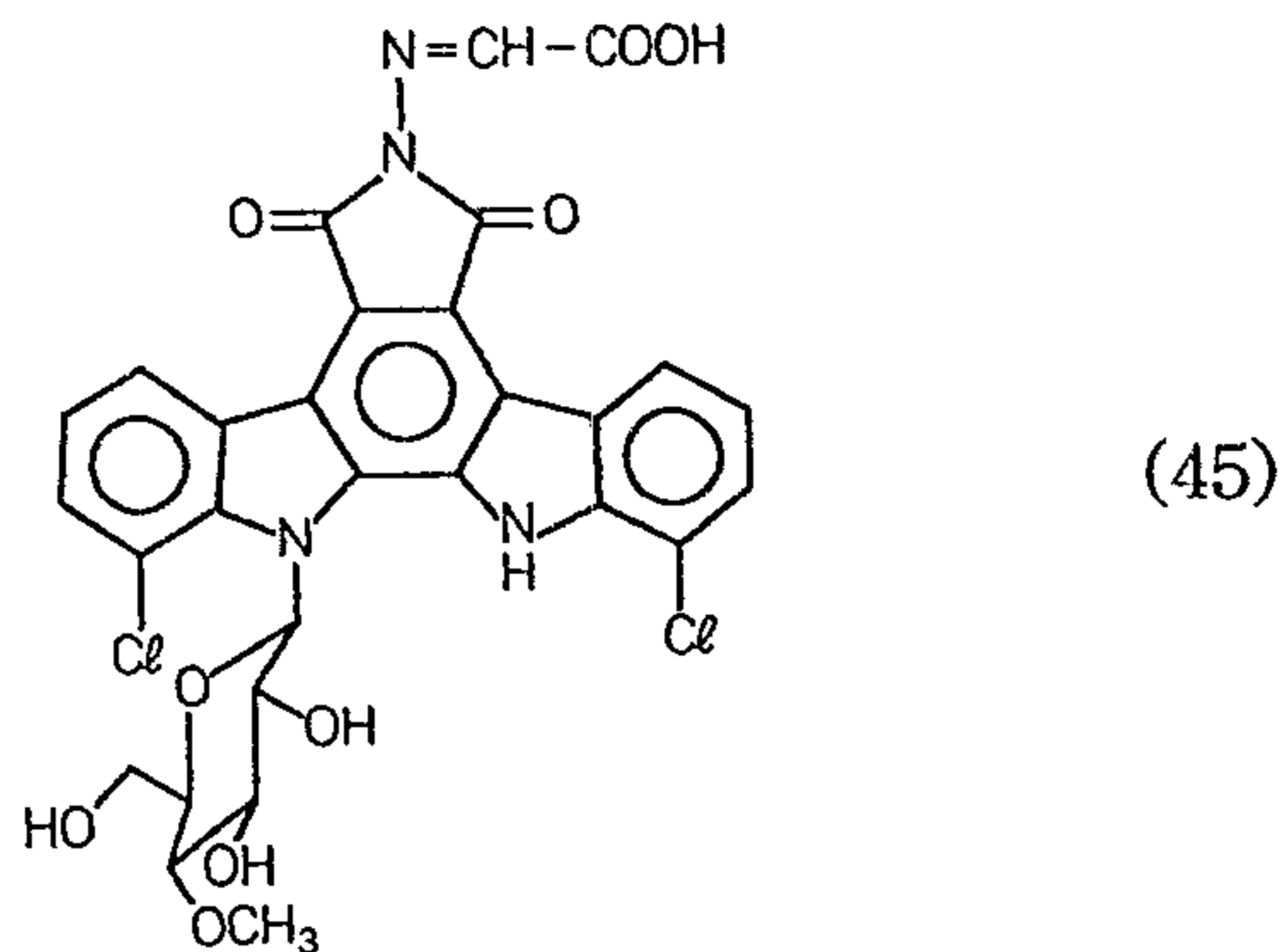
FAB-MS (m/z) : 643 (M+Na) <sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.9 (1H, brs), 8.85 (1H, d, J=7.8Hz), 8.63 (1H, d, J=7.8Hz), 7.33 (1H, t, J=7.8Hz), 7.31 (1H, t, J=7.8Hz), 7.24 (1H, d, J=7.8Hz), 7.16 (1H, d, J=7.8Hz), 6.89 (1H, d, J=9.3Hz), 5.63 (1H, brs), 5.42 (1H, brs), 5.10 (1H, brs), 4.99 (1H, brs), 4.06 (6H, s), 4.02 (2H, m), 3.80 (1H, m), 3.67 (1H, t, J=8.8Hz), 3.58 (1H, m), 3.42 (1H, t, J=8.3Hz), 3.34 (2H, s)



## Example 43

The compound represented by the formula



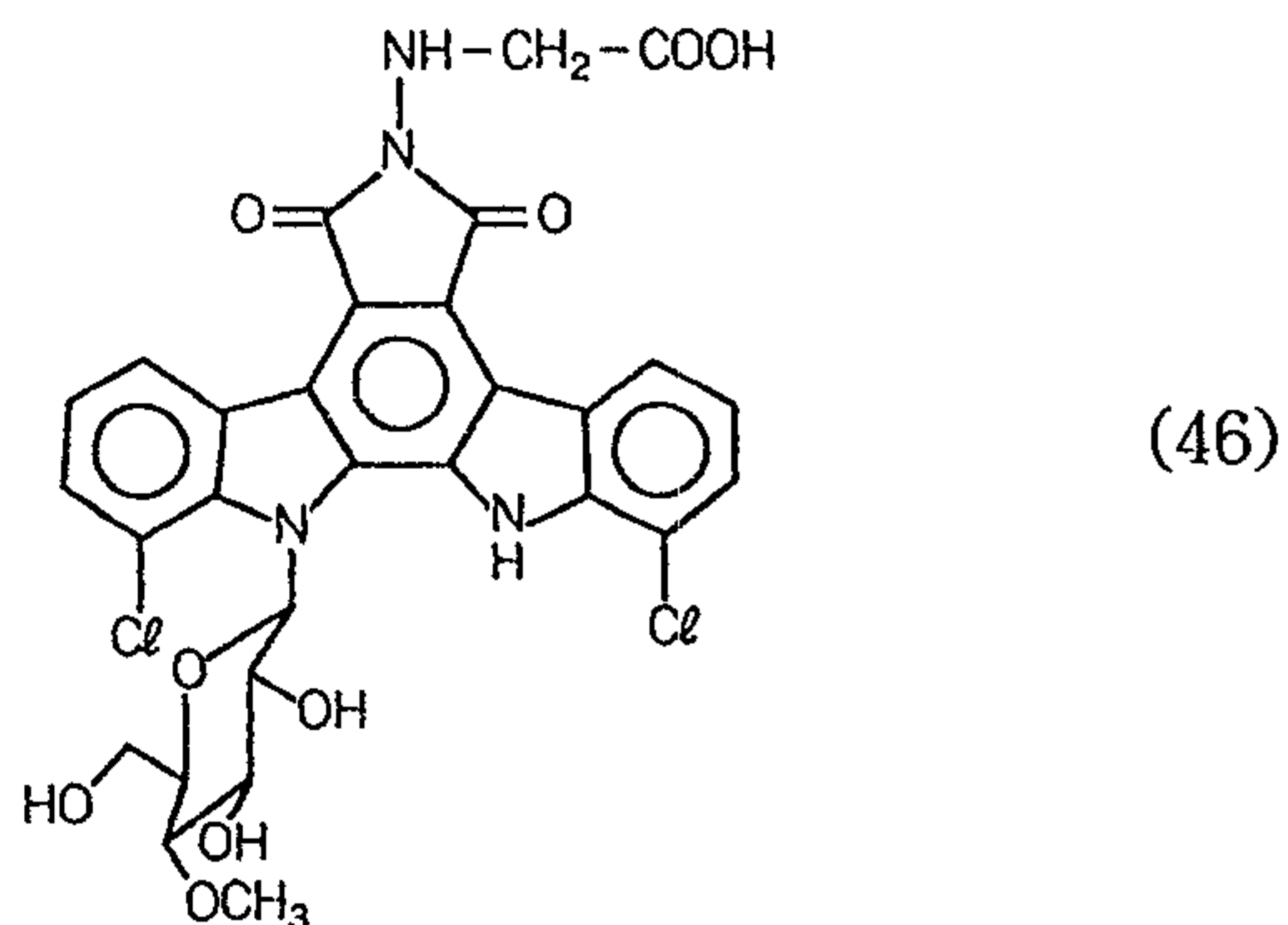
23.8 mg of the captioned compound represented by the formula (45) was obtained from 70 mg of the compound obtained in Example 4, according to the same process as in Example 2.

FAB-MS ( $m/z$ ) : 641 ( $M+H$ )<sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>),  $\delta$  (ppm) : 10.8 (1H, s), 9.26 (1H, d, J=7.8Hz), 9.09 (1H, d, J=7.8Hz), 8.94 (1H, s), 7.78 (1H, d, J=7.8Hz), 7.74 (1H, d, J=7.8Hz), 7.50 (2H, t, J=7.8Hz), 6.98 (1H, d, J=9.3Hz), 5.44 (1H, d, J=5.9Hz), 5.33 (1H, brs), 5.09 (1H, d, J=5.4Hz), 3.96 (2H, m), 3.85 (1H, m), 3.67 (2H, m), 3.59 (3H, s), 3.56 (1H, m)

## Example 44

The compound represented by the formula



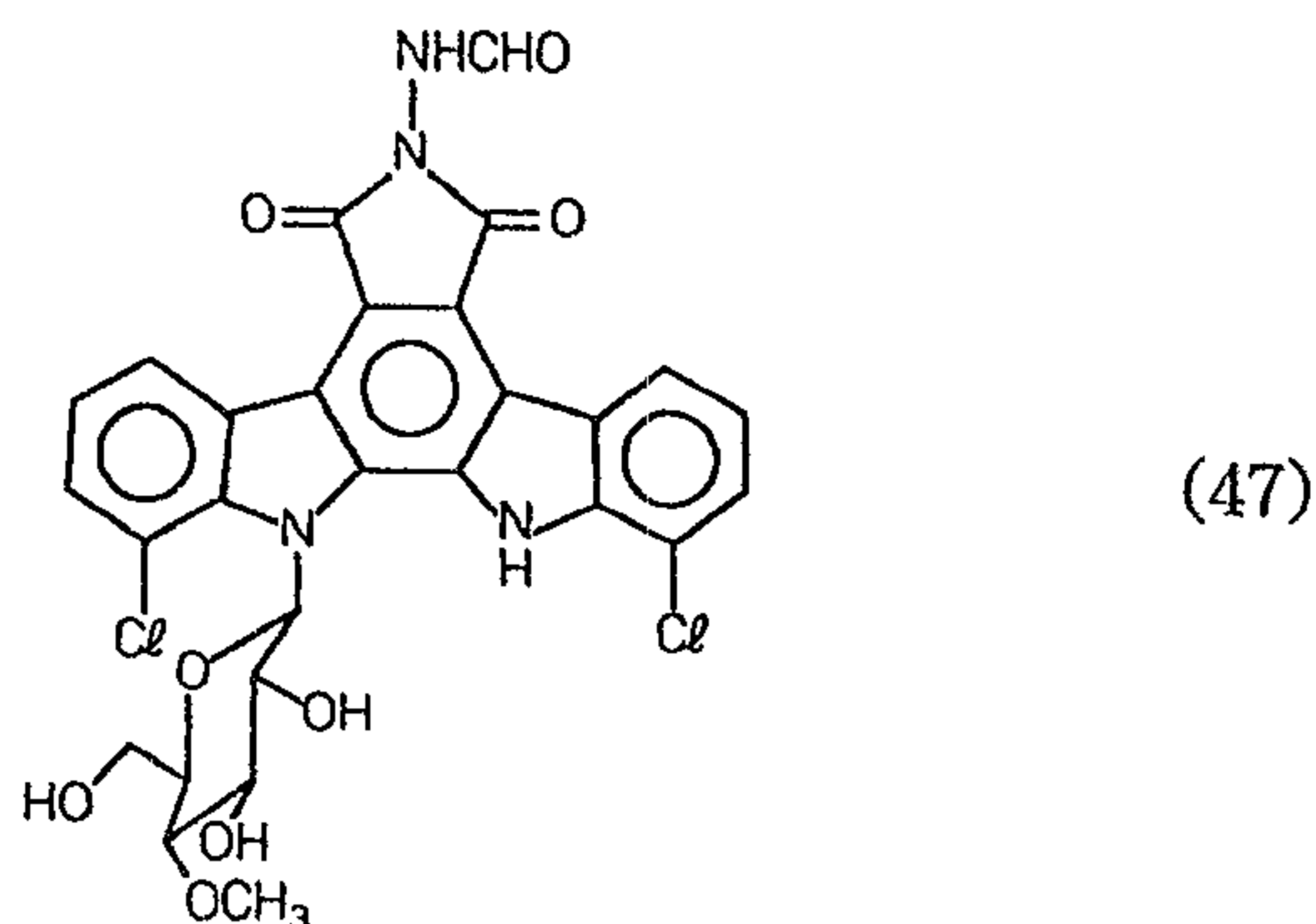
210 mg of the captioned compound represented by the formula (46) was obtained from 1 g of the compound obtained in Example 43, according to the same process as in Example 42.

FAB-MS ( $m/z$ ) : 643 ( $M+H$ )<sup>+</sup>

<sup>1</sup>H-NMR (500MHz, DMSO-d<sub>6</sub>),  $\delta$  (ppm) : 10.7 (1H, s), 9.26 (1H, d,  $J=7.8$ Hz), 9.09 (1H, d,  $J=7.8$ Hz), 7.74 (1H, d,  $J=7.8$ Hz), 7.71 (1H, d,  $J=7.8$ Hz), 7.46 (2H, t,  $J=7.8$ Hz), 6.93 (1H, d,  $J=9.2$ Hz), 6.00 (1H, brs), 5.42 (1H, brs), 5.31 (1H, brs), 5.03 (1H, brs), 3.96 (2H, brs), 3.85 (2H, s), 3.83 (1H, m), 3.59 (3H, s), 3.50-3.70 (3H, m)

## Example 45

The compound represented by the formula



48.2 mg of the captioned compound represented by the formula (47) was obtained from 51.4 mg of the compound obtained in Example 4, according to the same process as in Example 5.

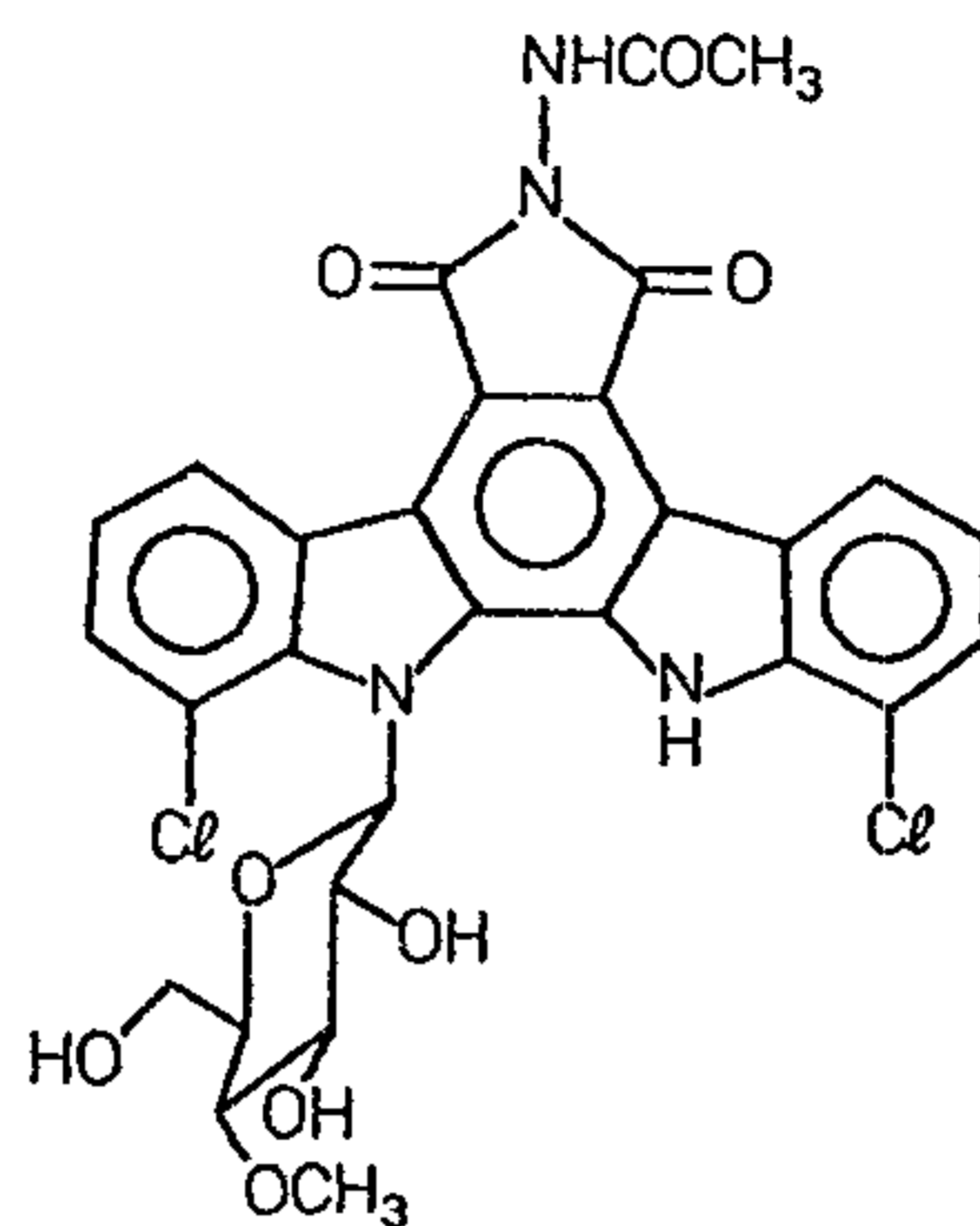
FAB-MS ( $m/z$ ) : 613 ( $M+H$ )<sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>),  $\delta$  (ppm) : 10.9 (1H, brs), 10.8 (1H, brs), 9.20 (1H, m), 9.03 (1H, m), 8.48 (1H, s), 7.75 (1H, d,  $J=7.8$ Hz), 7.70 (1H, d,  $J=7.8$ Hz), 7.45 (2H, t,  $J=7.8$ Hz), 6.93 (1H, brt,  $J=9.3$ Hz), 5.41 (2H, m), 5.04 (1H, d,  $J=5.9$ Hz), 3.99 (2H, brs), 3.86 (1H, m), 3.60 (3H, s), 3.52-3.67 (3H, m)



## Example 46

The compound represented by the formula



(48)

13 mg of the captioned compound represented by the formula (48) was obtained from 14.1 mg of the compound obtained in Example 4, according to the same process as in Example 6.

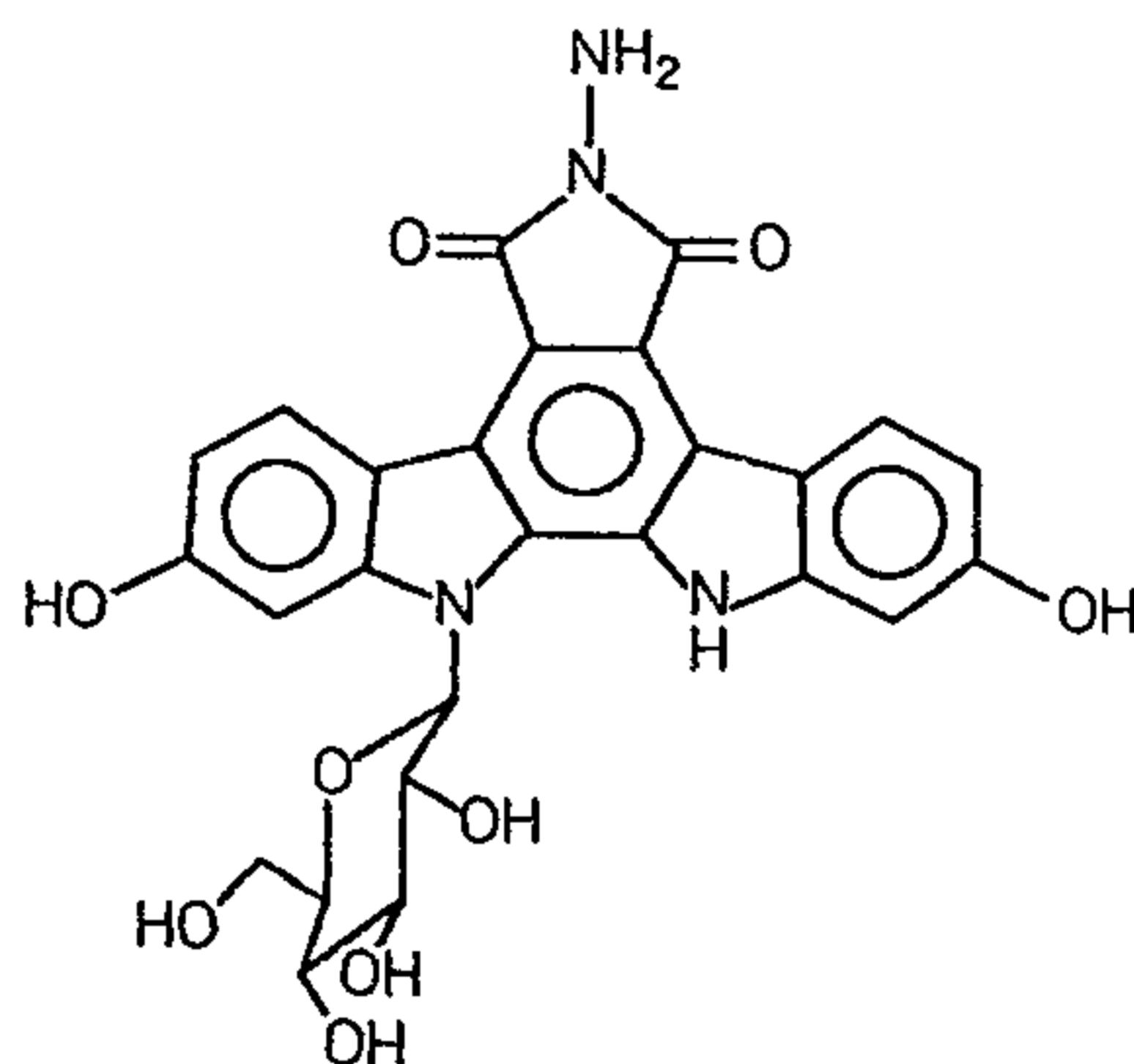
FAB-MS (m/z) : 627 (M+H)<sup>+</sup>

<sup>1</sup>H-NMR (500MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.8 (2H, s), 9.20 (1H, m), 9.04 (1H, m), 7.74 (2H, m), 7.47 (2H, m), 6.93 (1H, m), 5.41 (1H, m), 5.32 (1H, brs), 5.04 (1H, m), 3.96 (2H, brs), 3.85 (1H, m), 3.58 (3H, s), 3.50-3.70 (3H, m), 2.12 (3H, s)

## Example 47

10

The compound represented by the formula



(49)

- 85 -

1 ml of hydrazine monohydrate was added to 3.2 mg of 12,13-dihydro-2,10-dihydroxy-13-( $\beta$ -D-glucopyranosyl)-5H-indolo[2,3-a]pyrrolo[3,4-c]carbazole-5,7(6H)-dione, and the mixture was stirred at room temperature for 2 hours. This was distributed with ethyl acetate-0.2N hydrochloric acid, and the ethyl acetate layer was washed successively with water then saturated saline, and concentrated to dryness. The residue was dissolved in a small quantity of methanol, subjected to a chromatograph tower of Sephadex LH-20 (1.0 x 5 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 3.0 mg of the captioned compound represented by the formula (49).

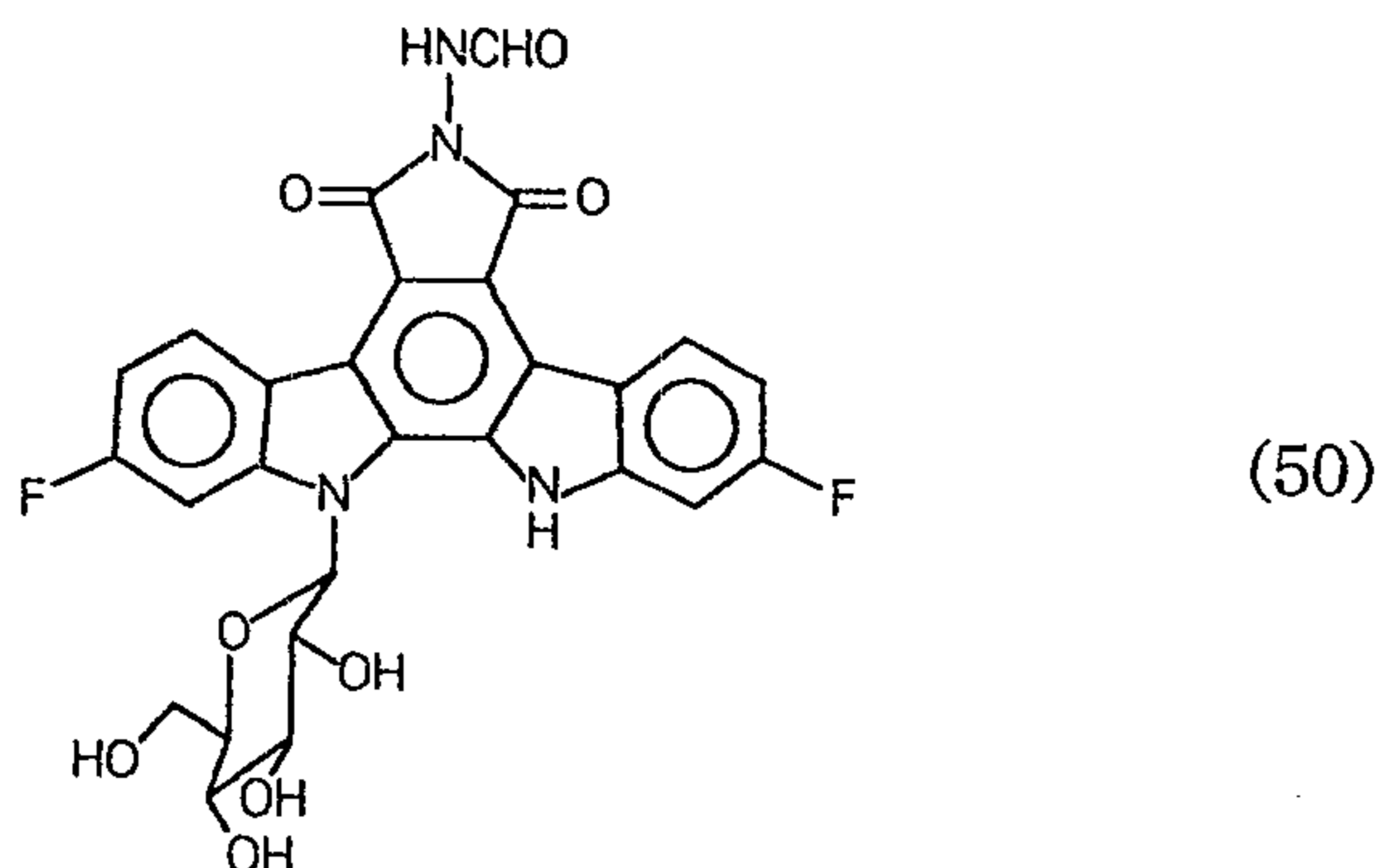
Rf value: 0.22 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol : tetrahydrofuran = 3:1:1)

FAB-MS (m/z) : 534 [M]<sup>+</sup>

<sup>1</sup>H-NMR (300MHz, DMSO-d<sub>6</sub>),  $\delta$  (ppm) : 11.16 (1H, s), 9.76 (1H, s), 9.73 (1H, s), 8.90 (1H, d, J=7.3Hz), 8.82 (1H, d, J=7.3Hz), 7.18 (1H, d, J=2.0Hz), 6.98 (1H, d, J=2.0Hz), 6.83 (2H, dt, J=2.0, 7.3Hz), 5.97 (1H, d, J=7.2Hz), 5.84 (1H, t, J=3.3Hz), 5.32 (1H, d, J=5.3Hz), 5.10 (1H, d, J=5.3Hz), 4.93 (1H, d, J=5.2Hz), 4.90 (2H, s), 4.04-3.86 (2H, m), 3.78 (1H, m), 3.60-3.35 (3H, m)

## Example 48

The compound represented by the formula



0.4 ml of hydrazine hydrate was added to 7.1 mg  
5 of 2,10-difluoro-12,13-dihydro-13-( $\beta$ -D-glucopyranosyl)-  
5H-indolo[2,3-a]pyrrolo[3,4-c]carbazole-5,7(6H)-dione,  
and the mixture was stirred at room temperature for 40  
minutes. 1.34 ml of concentrated hydrochloric acid was  
added thereto, and the mixture was extracted with ethyl  
10 acetate. The ethyl acetate layer was washed with water  
and concentrated. The residue was dissolved in 3.7 ml of  
N,N-dimethylformamide and 0.37 ml of concentrated hydro-  
chloric acid, and the solution was stirred at room tem-  
perature overnight. This was distributed between ethyl  
15 acetate and water, and the ethyl acetate layer was con-  
centrated to dryness. The residue was dissolved in a  
small quantity of ethanol, subjected to a chromatograph  
tower of Sephadex LH-20 and eluted with ethanol. The  
fractions containing the desired product were concent-  
20 rated to dryness to give 4.6 mg of the captioned compound  
represented by the formula (50).



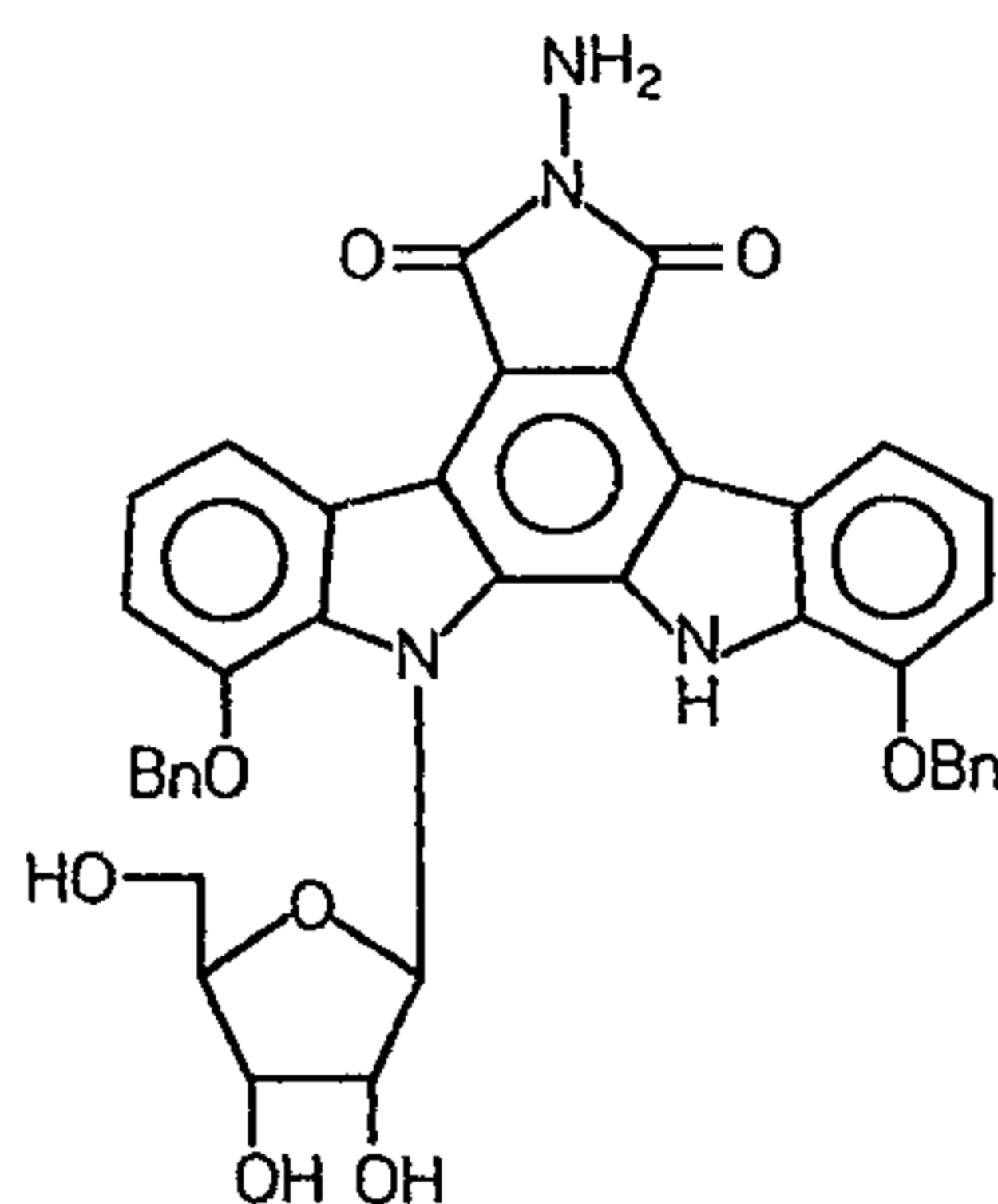
- 87 -

FAB-MS (m/z) : 566 [M]<sup>+</sup>

<sup>1</sup>H-NMR (400MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.9 (1H, s), 10.8 (1H, brs), 9.07 (1H, dd, J=5.8, 8.8Hz), 9.01 (1H, dd, J=5.9, 8.8Hz), 8.45 (1H, s), 7.93 (1H, brd, J=8.8Hz), 7.44 (1H, brd, J=8.8Hz), 7.27 (2H, m), 6.28 (1H, d, J=8.8Hz), 6.20 (1H, brs), 5.42 (1H, brs), 5.13 (1H, brd, J=5.4Hz), 4.96 (1H, d, J=5.4Hz), 4.09 (1H, brd, J=7.3Hz), 3.94 (2H, m), 3.83 (1H, brd, J=7.3Hz), 3.58 (1H, m), 3.45 (1H, m)

## Example 49

The compound represented by the formula



(51)

5 wherein Bn represents a benzyl group.

100 mg of 6-benzyloxymethyl-11,11-dibenzyloxy-12,13-dihydro-5H-indolo[2,3-a]pyrrolo[3,4-c]carbazole-5,7(6H)-dione, 1.4 g of silver oxide and 0.7 g of 4Å molecular sieve were suspended in 40 ml of anhydrous benzene, the suspension was refluxed with heating for 20 minutes, and then a solution of 1-bromo-2,3,5-tri-O-acetyl-D-ribose in 10 ml of anhydrous benzene was added dropwise over a period of 10 minutes. The mixture was further refluxed with heating for 3 hours, and the insoluble matters were filtered using Celite.

The filtrate was concentrated to dryness, and

the residue was dissolved in 100 ml of ethyl acetate and the solution was washed successively with 0.2N hydrochloric acid, water and then saturated saline, dried over anhydrous sodium sulfate, and concentrated to dryness.

5 The residue was dissolved in chloroform, subjected to a chromatograph tower of Sephadex LH-20 (2.5 x 20 cm) and eluted with chloroform. The fractions containing the desired product were concentrated to dryness, the residue was subjected to a chromatograph tower of silica gel (2.5  
10 x 25 cm) and eluted with toluene-ethyl acetate (3:1), and the fractions containing the desired product were concentrated to dryness. The residue was further purified by preparative thin layer chromatography (toluene-ethyl acetate = 5:1 (Rf = 0.6)) to give 20.8 mg of 6-benzyloxy-  
15 methyl-1,11-dibenzyloxy-12,13-dihydro-13-( $\beta$ -D-ribofuranosyl)-5H-indolo[2,3-a]pyrrolo[3,4-c]carbazole-5,7(6H)-dione.

20.8 mg of this compound was dissolved in 2 ml of hydrazine monohydrate, and the solution was stirred at  
20 room temperature for 2 hours. This was mixed with 30 ml of ethyl acetate, the mixture was washed successively with 0.2N hydrochloric acid, water and then saturated saline, and concentrated to dryness. The residue was dissolved in methanol, subjected to a chromatograph tower  
25 of Sephadex LH-20 (1.0 x 15 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness, and the residue was purified by preparative thin layer chromatography (chloroform-methanol = 10:1 (Rf = 0.5)) to give 2.9 mg of the captioned  
30 compound represented by the formula (51).

Rf value: 0.5 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol = 10:1)

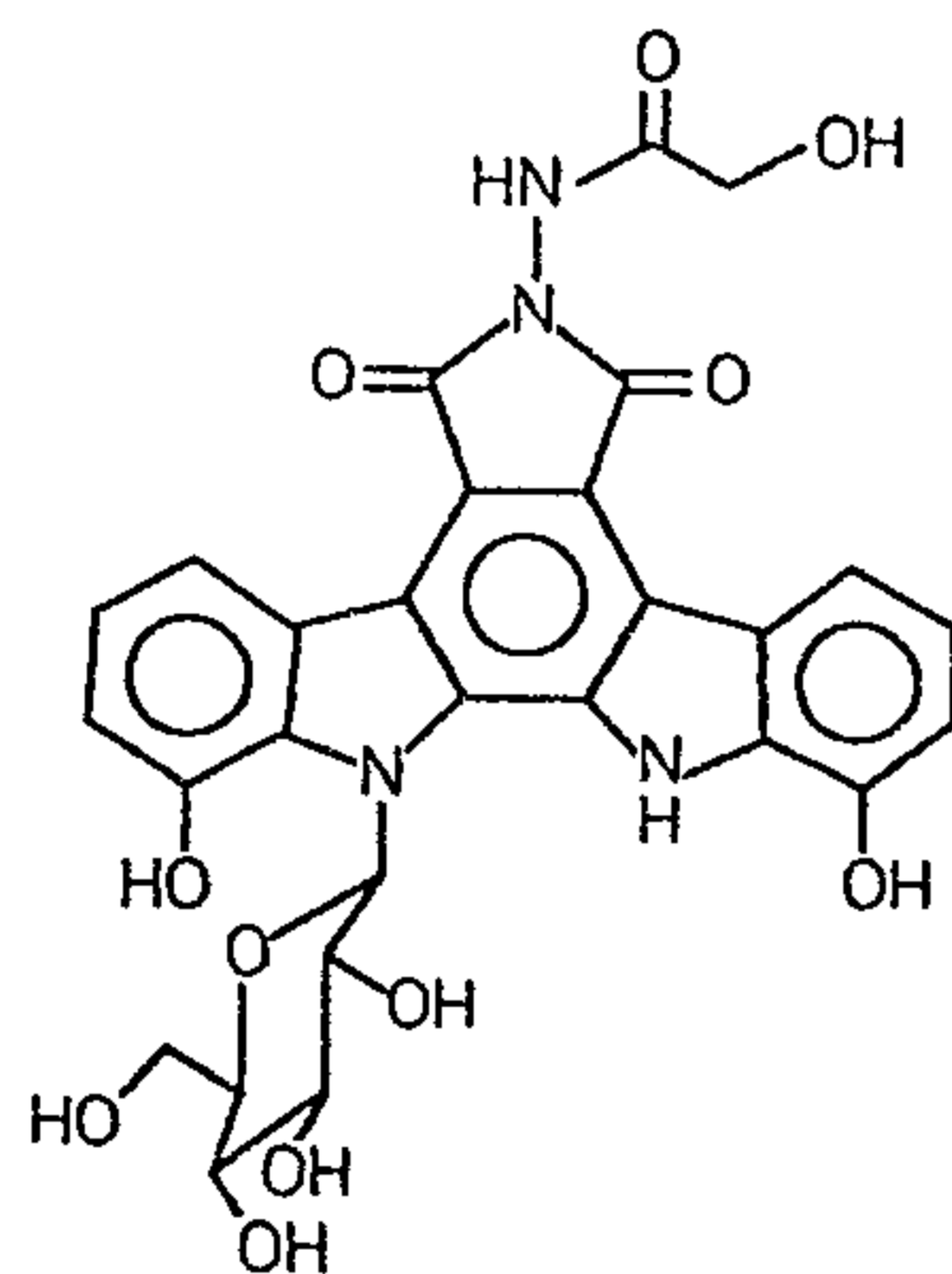
- 89 -

FAB-MS (m/z) : 684 [M]<sup>+</sup>

<sup>1</sup>H-NMR (300MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.45 (1H, s), 8.90 (1H, d, J=0.75Hz), 8.68 (1H, d, J=0.75Hz), 7.18 (2H, d, J=0.75Hz), 7.11 (2H, d, J=0.75Hz), 7.20-7.50 (11H, m), 5.35-5.45 (5H, m), 5.17 (1H, d, J=0.38Hz), 5.10 (1H, d, J=0.45Hz), 4.98 (2H, s), 3.90-4.00 (2H, m), 3.60-3.70 (2H, m)

## Example 50

The compound represented by the formula



(52)

5                    33.0 mg of the compound obtained in Example A was dissolved in 3 ml of N,N-dimethylformamide, 8.4 mg of hydroxyacetohydrazide was added, and the mixture was stirred at 80°C for 2 days. This was concentrated to dryness, and the residue was dissolved in a small quantity of methanol, subjected to a chromatograph tower of  
10                    Sephadex LH-20 (1.5 x 25 cm), and eluted with methanol. The fractions containing the desired product were concentrated to dryness, and the residue was dissolved in 30 ml of ethyl acetate. The solution was washed with water,  
15                    and the ethyl acetate layer was dried over anhydrous sodium sulfate and concentrated to dryness. The residue



- 90 -

was dissolved in a small quantity of methanol, subjected to a chromatograph tower of Sephadex LH-20 (1.5 x 15 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 29.0 mg of the captioned compound represented by the formula (52).

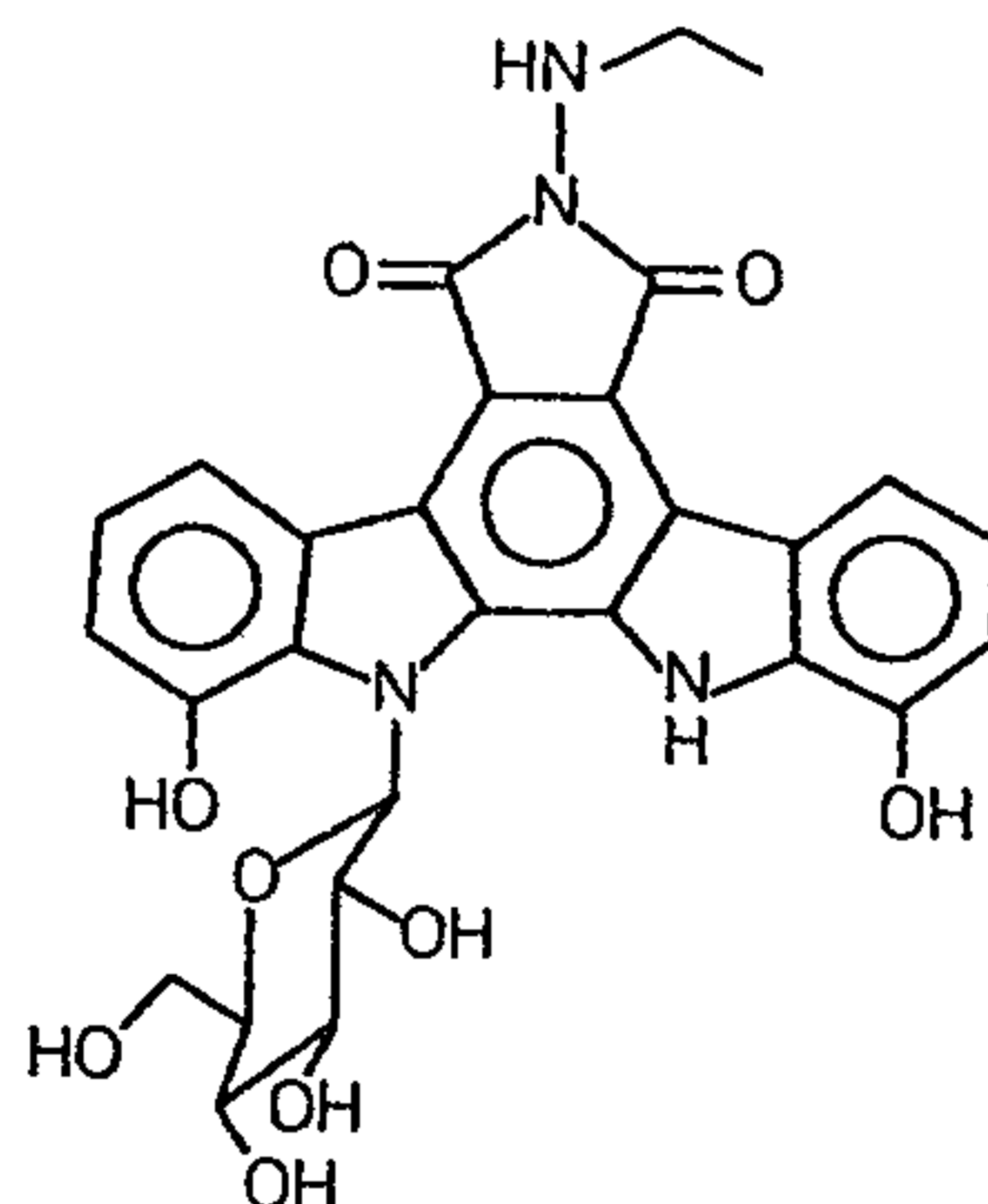
FAB-MS (m/z) : 593 [M+H]<sup>+</sup>

<sup>1</sup>H-NMR (300MHz, DMSO-d<sub>6</sub>), δ (ppm) : 11.00 (1H, s), 10.55 (1H, s), 10.41 (1H, s), 10.02 (1H, s), 8.63 (1H, d, J=7.8Hz), 8.47 (1H, d, J=7.8Hz), 7.20 (2H, t, J=7.8Hz), 7.04 (3H, m), 5.88 (1H, t, J=7.0Hz), 5.41 (1H, d, J=6.2Hz), 5.35 (1H, br), 5.20 (1H, d, J=6.2Hz), 4.90 (1H, d, J=6.2Hz), 4.16 (2H, d, J=5.7Hz), 4.03 (2H, m), 3.74 (1H, m), 3.59-3.68 (2H, m), 3.39 (1H, m)

#### Example 51

The compound represented by the formula

10



(53)

35.0 mg of the compound obtained in Example A was dissolved in 1.0 ml of N,N-dimethylformamide, 35.0 mg of ethylhydrazine oxalate and 0.5 ml of saturated sodium bicarbonate aqueous solution were added, and the mixture was stirred at 80°C for 1 day. This was concentrated to dryness, and the residue was dissolved in a small

15

- 91 -

quantity of methanol, subjected to a chromatograph tower of Sephadex LH-20 (1.5 x 15 cm) and eluted with methanol. The fractions containing the desired product were concentrated to dryness to give 20.8 mg of the captioned  
 5 compound represented by the formula (53).

Rf value: 0.5 (produced by Merck Co., Kiesel gel 60F<sub>254</sub>, developing solvent; chloroform : methanol : tetrahydrofuran = 2:1:1)

FAB-MS (m/z) : 563 [M+H]<sup>+</sup>

<sup>1</sup>H-NMR (300MHz, DMSO-d<sub>6</sub>), δ (ppm) : 10.90 (1H, s), 10.35 (1H, s), 9.96 (1H, s), 8.72 (1H, d, J=7.9Hz), 8.54 (1H, d, J=7.9Hz), 7.17 (2H, t, J=7.9Hz), 7.03 (3H, m), 5.72 (1H, t, J=4.8Hz), 5.41 (1H, d, J=6.3Hz), 5.35 (1H, t, J=4.0Hz), 5.21 (1H, d, J=4.0Hz), 4.87 (1H, d, J=6.3Hz), 3.96-4.09 (2H, m), 3.73-3.77 (1H, m), 3.58-3.67 (2H, m), 3.37-3.45 (1H, m), 3.07 (2H, m), 1.09 (3H, t, J=7.1Hz)

10

#### Example 52

50 g of the compound of Example 5 was dissolved in a solution wherein 600 g of macrogol 400 of the Japanese Pharmacopoeia was dissolved in 400 g of distilled water for injection, and the solution was filtered for  
 15 removal of bacteria using a filter of 0.2 μm. 5 ml portions of the filtrate were filled into washed and sterilized vials according to a conventional method, and the vials were stopped and capped to give an injection containing 250 mg of the compound of Example 5 per vial.  
 20 Administration is made using an agent for intravenous drip wherein 5 to 10 ml of this injection (250 to 500 mg of the compound of Example 5) was added to and diluted with 500 ml of an infusion such as 5% glucose.

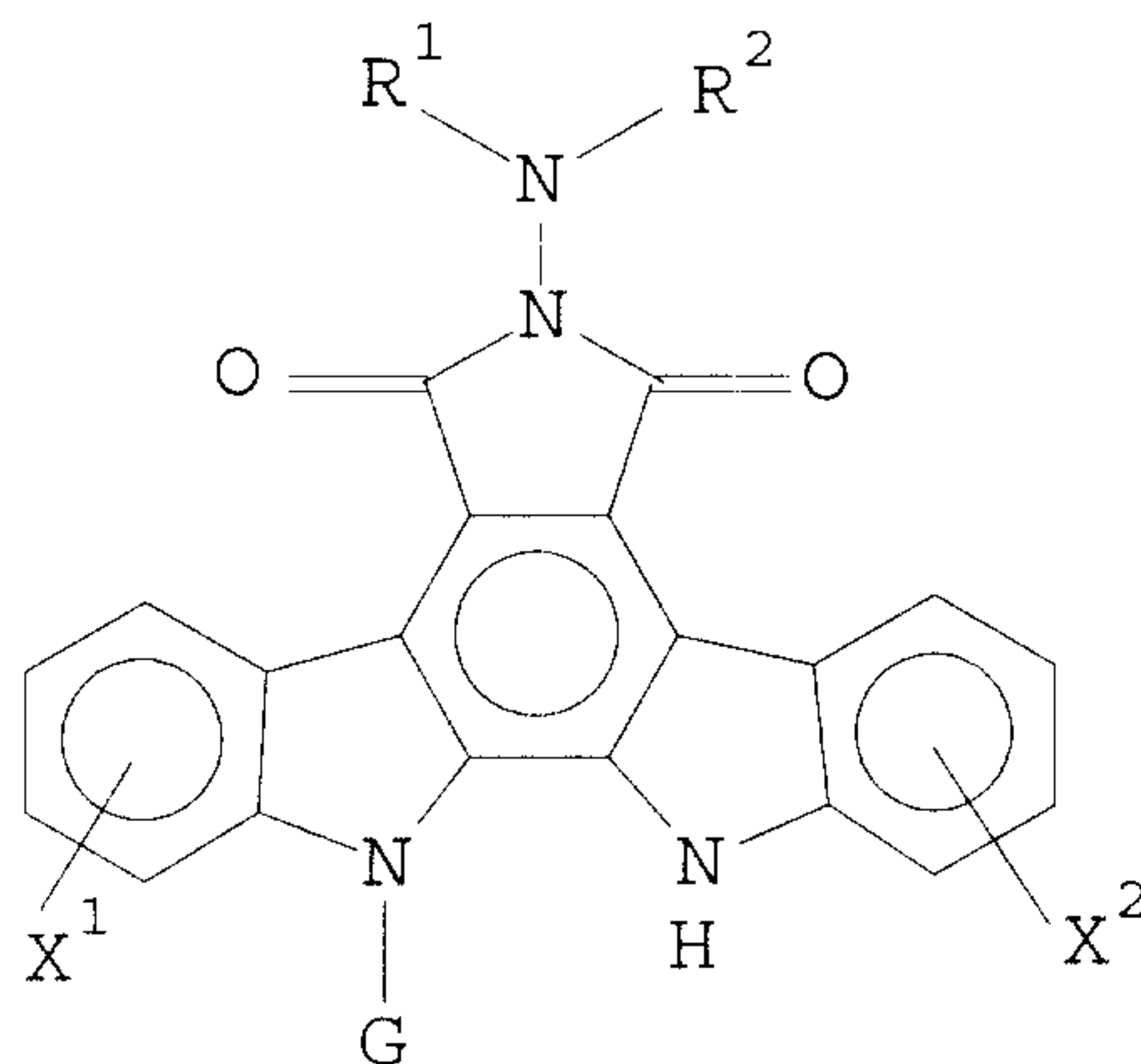
67566-1292

- 92 -

CLAIMS:

1. An indolopyrrolocarbazole compound represented by the following general formula:

5



10 or a pharmaceutically acceptable salt thereof,

wherein:

$R^1$  and  $R^2$  each independently represent a hydrogen atom, a lower alkyl group, a lower alkenyl group, a lower alkynyl group, a  $C_{6-12}$  aryl group, a  $C_{7-15}$  aralkyl group or a  
 15 5- or 6-membered heterocyclic group which contains 1 to 4 hetero atoms selected from the group consisting of nitrogen, oxygen and sulfur (where the lower alkyl group, the lower alkenyl group, the lower alkynyl group, the aryl group, the aralkyl group and the heterocyclic group may each have 1 to  
 20 5 substituents selected from the group consisting of a carboxyl group, a carbamoyl group, a sulfo group, an amino group, a cyano group, a mono-lower alkylamino group, a di-lower alkylamino group, a hydroxyl group and halogen atoms), or a group of the formula:  $-Y-R^3$  in which Y represents a  
 25 carbonyl group, a thiocarbonyl group or a sulfonyl group, and  $R^3$  represents a hydrogen atom, a lower alkyl group, a  $C_{3-6}$ , cycloalkyl group,  $C_{3-6}$  cycloalkyl- $C_{1-6}$  alkyl group, a  $C_{6-12}$  aryl group, a  $C_{7-15}$  aralkyl group, a lower alkoxy group, a



67566-1292

-93-

hydrazino group, an amino group, a C<sub>6-12</sub> arylamino group, a carbamoyl group or a 5- or 6-membered heterocyclic group which contains 1 to 4 hetero atoms selected from the group consisting of nitrogen, oxygen and sulfur (where the lower  
5 alkyl group, the cycloalkyl group, the cycloalkylalkyl group, the aryl group, the aralkyl group and the heterocyclic group may each have 1 to 4 substituents selected from the group consisting of halogen atoms, an optionally protected hydroxyl group, an amino group a  
10 carboxyl group, a carbamoyl group, a cyano group and a lower alkoxy carbonyl group, and the amino group and the carbamoyl group may each be mono- or di-substituted by a lower alkyl group optionally substituted by a substituent selected from the group consisting of halogen atoms, a hydroxyl group, an  
15 amino group, a carboxyl group, a carbamoyl group and a lower alkoxy carbonyl group); or

R<sup>1</sup> and R<sup>2</sup> together represent a lower alkylidene group which may have 1 to 4 substituents selected from the group consisting of an amino group, a mono-lower alkylamino  
20 group, a di-lower alkylamino group, a hydroxyl group, a carboxyl group and a sulfonyl group; or

R<sup>1</sup> and R<sup>2</sup> together with the nitrogen atom to which they are attached, form a 5- or 6-membered nitrogen containing heterocyclic group which may additionally contain  
25 1 to 3 hetero atoms selected from the group consisting of nitrogen, oxygen and sulfur and which may have on its ring a lower alkyl group optionally substituted by a group selected from the group consisting of an amino group, a hydroxyl group, a carboxyl group and a sulfo group;

30 G represents a pentose group or hexose group; and

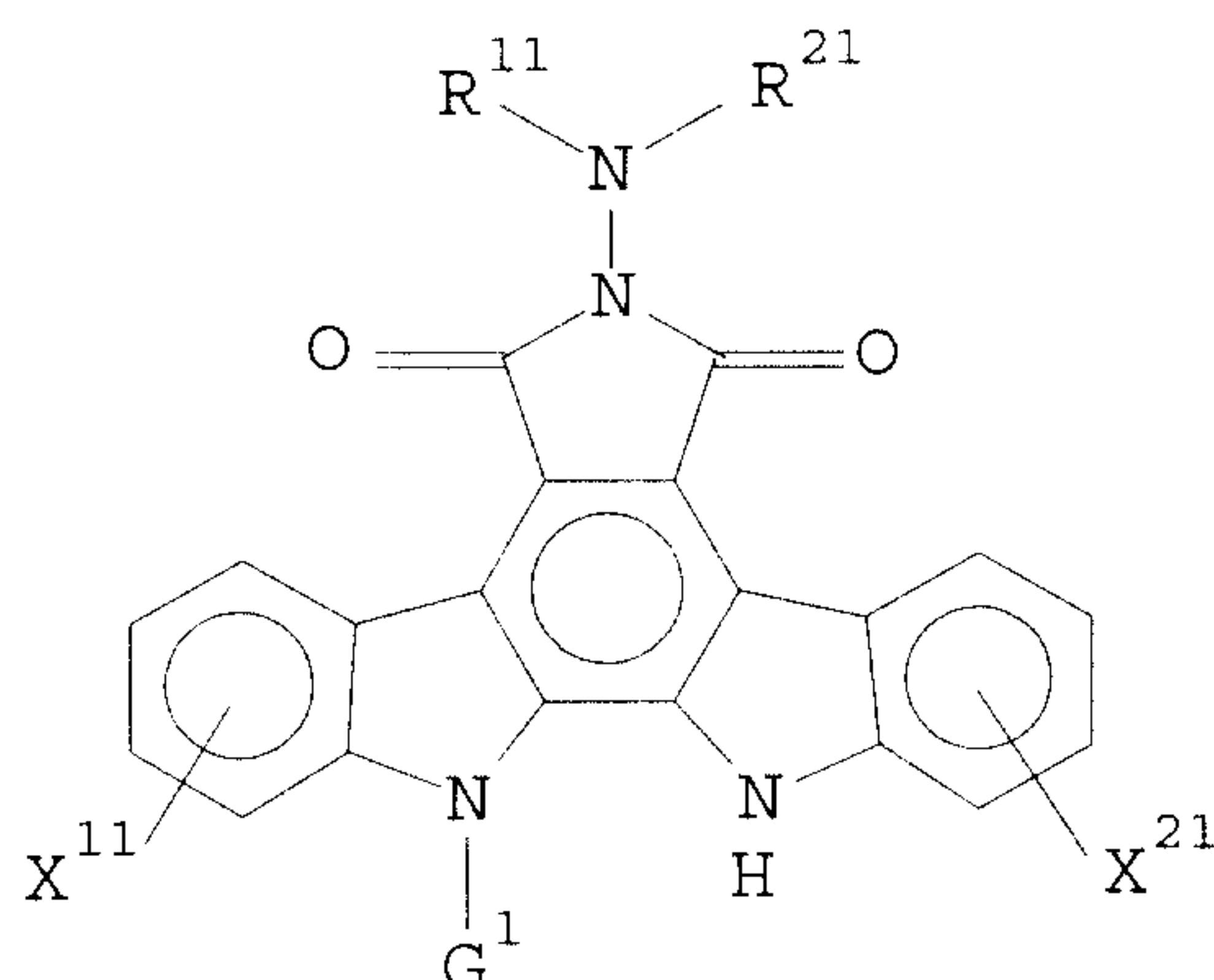
X<sup>1</sup> and X<sup>2</sup> each independently represent a hydrogen atom, a halogen atom, an amino group, a mono-lower alkyl-

67566-1292

-94-

amino group, a di-lower alkylamino group, a hydroxyl group, a lower alkoxy group, a C<sub>6-12</sub>aryl-C<sub>1-6</sub>alkoxy group, a carboxyl group, a lower alkoxycarbonyl group or a lower alkyl group.

2. The compound or salt set forth in claim 1, where  
5 the compound is represented by the formula:



10

wherein:

R<sup>11</sup> and R<sup>21</sup> each independently represent a hydrogen atom, a lower alkyl group, a lower alkenyl group, a C<sub>6-12</sub> aryl  
15 group, a C<sub>7-15</sub> aralkyl group, a heterocyclic group selected from the group consisting of a pyrrolyl group, an oxazolyl group, an isoxazolyl group, a thiazolyl group, an imidazolyl group, a pyridyl group, a pyrimidinyl group, an oxazolinylyl group, an oxazolidinylyl group, an imidazolinylyl group, an  
20 imidazolidinylyl group, a pyrrolidinyl group, a piperazinyl group, thiazinyl group and a thiazolidinylyl group (where the lower alkyl group, the lower alkenyl group, the aryl group, the aralkyl group and the heterocyclic group may have 1 to 5 substituents selected from the group consisting of a  
25 carboxyl group, a carbamoyl group, a cyano group and a hydroxyl group), or a group of the formula -Y-R<sup>31</sup> in which Y represents a carbonyl group, a thiocarbonyl group or a sulfonyl group, and R<sup>31</sup> represents a hydrogen atom, a lower alkyl group, a C<sub>6-12</sub> aryl group (where the lower alkyl group

67566-1292

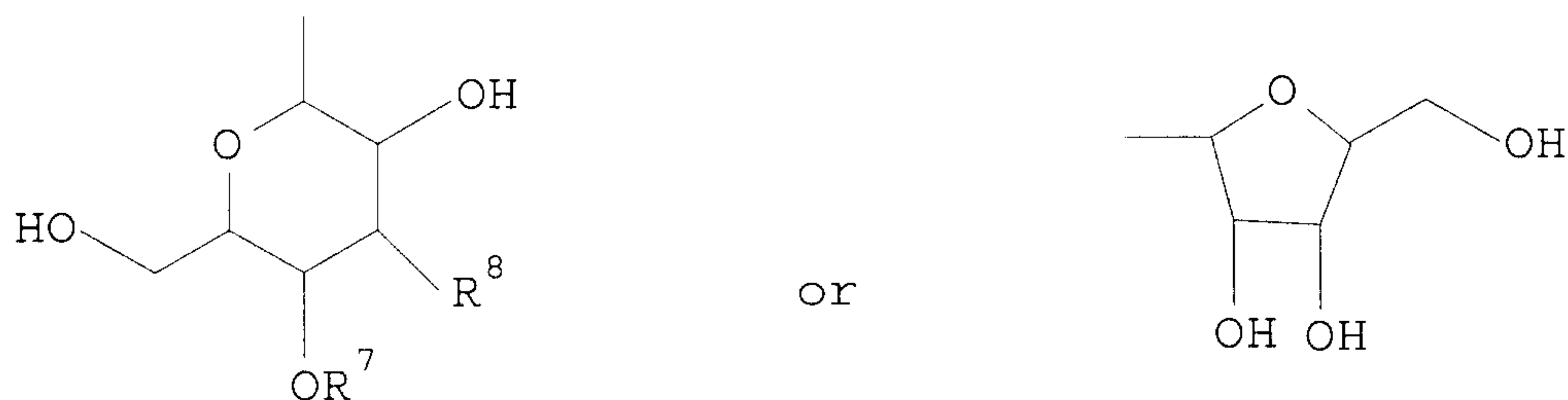
-95-

and the aryl group may have 1 to 4 substituents selected from the group consisting of halogen atoms, an optionally protected hydroxyl group, an amino group and a carboxyl group), an amino group, a hydrazino group, a C<sub>6-12</sub> arylamino group, a lower alkoxy group, a carbamoyl group, a pyrrolyl group, an oxazolyl group, an isoxazolyl group, a thiazolyl group, an imidazolyl group, a pyridyl group, a pyrimidinyl group, an oxazolinyll group, an oxazolidinyll group, an imidazolinyll group, an imidazolidinyll group, a pyrrolidinyl group, a piperazinyl group, a thiazinyl group or a thiazolidinyll group; or

R<sup>11</sup> and R<sup>21</sup> together represent a lower alkylidene group optionally having a carboxyl group, or

R<sup>11</sup> and R<sup>21</sup> together with the nitrogen atom to which they are attached, form a heterocyclic group selected from the group consisting of a pyrrolidinyl group, an imidazolidinyll group, an imidazolinyll group, a piperidino group and a piperazinyl group (where the heterocyclic group may have on its ring, a lower alkyl group optionally substituted by a hydroxyl group);

G<sup>1</sup> represents a group of the formula:



25

in which R<sup>7</sup> represents a hydrogen atom or a lower alkyl group and R<sup>8</sup> represents a hydroxyl group or an amino group; and

X<sup>11</sup> and X<sup>21</sup> are bound to the indolopyrrolocarbazole ring at the 1- or 2-position and at the 10- or 11-position,

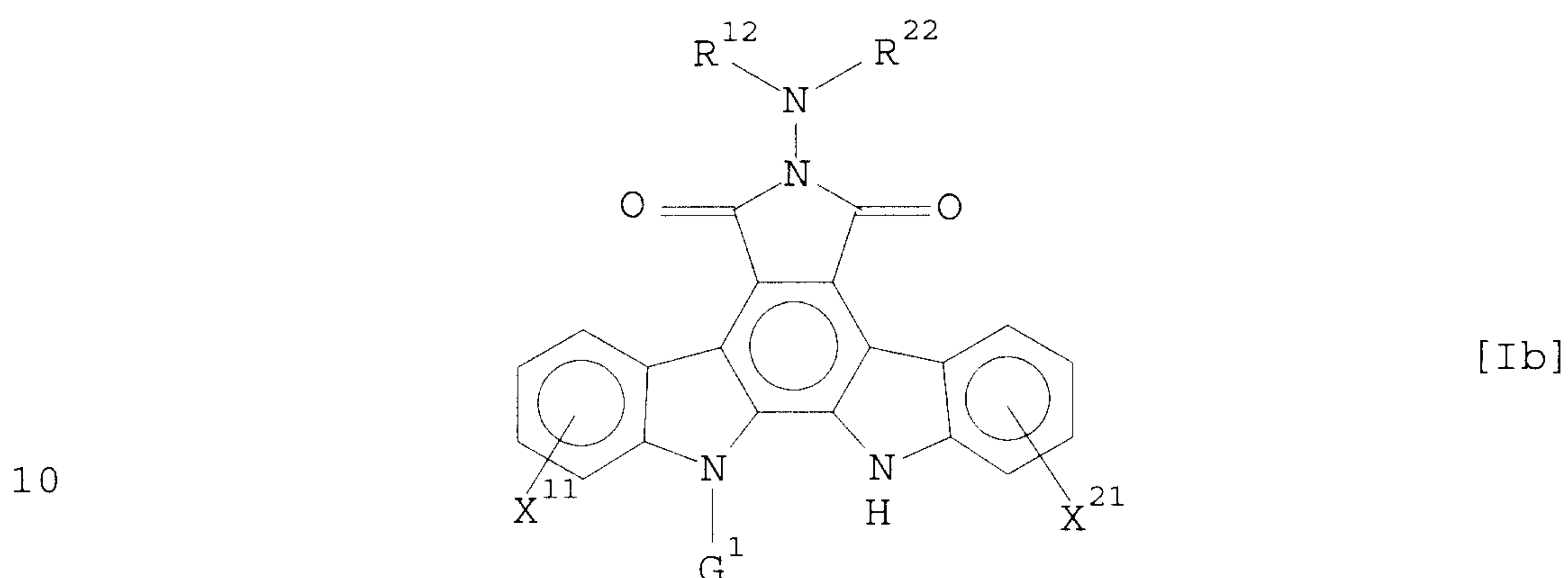


67566-1292

-96-

respectively, and each independently represent a halogen atom, a hydroxyl group, a lower alkoxy group or a C<sub>6-12</sub>aryl-C<sub>1-6</sub>alkoxy group.

3. The compound or salt set forth in claim 1, wherein  
5 the compound is represented by the formula:



wherein:

R<sup>12</sup> represents a hydrogen atom or a lower alkyl group, which may have 1 to 5 substituents selected from the  
15 group consisting of a carboxyl group, a carbamoyl group, a hydroxyl group and a cyano group;

R<sup>22</sup> represents a hydrogen atom, a lower alkyl group (where the lower alkyl group may have 1 to 5 substituents selected from the group consisting of a carboxyl group, a carbamoyl group, a hydroxyl group and a cyano group), a C<sub>6-12</sub>  
20 aryl group, a C<sub>7-15</sub> aralkyl group (where the aryl group and the aralkyl group may have 1 to 4 substituents selected from the group consisting of a hydroxyl group and a carboxyl group), a pyridyl group, an imidazolyl group, an  
25 imidazolinylyl group, a thiazolyl group, a pyrrolidinyl group, a piperazinyl group, or a group of the formula -Y-R<sup>32</sup> in which Y represents a carbonyl group, a thiocarbonyl group, a thiocarbonyl group or a sulfonyl group, and when Y is a

67566-1292

-97-

carbonyl group or a thiocarbonyl group,  $R^{32}$  represents a hydrogen atom, a low alkyl group, a  $C_{6-12}$  aryl group (where the lower alkyl group and the aryl group may have 1 to 4 substituents selected from the group consisting of halogen atoms, an optionally protected hydroxyl group, an amino group and a carboxyl group), an amino group, a hydrazino group, a  $C_{6-12}$  arylamino group, a lower alkoxy group, a carbamoyl group, a pyridyl group, a pyrimidinyl group, an imidazolinylyl group or a pyrrolidinyl group, and when Y is a sulfonyl group,  $R^{32}$  represents a lower alkyl group or a  $C_{6-12}$  aryl group; or

$R^{12}$  and  $R^{22}$  together represent a lower alkylidene group having a carboxyl group; or

$R^{12}$  and  $R^{22}$  together with the nitrogen atom to which they are attached, form a heterocyclic group selected from the group consisting of a pyrrolidinyl group, a piperidino group and a piperazinyl group (where the heterocyclic group may have on its ring, a lower alkyl group optionally having a hydroxyl group); and

$G^1$ ,  $X^{11}$  and  $X^{21}$  have the same meanings as defined in claim 2.

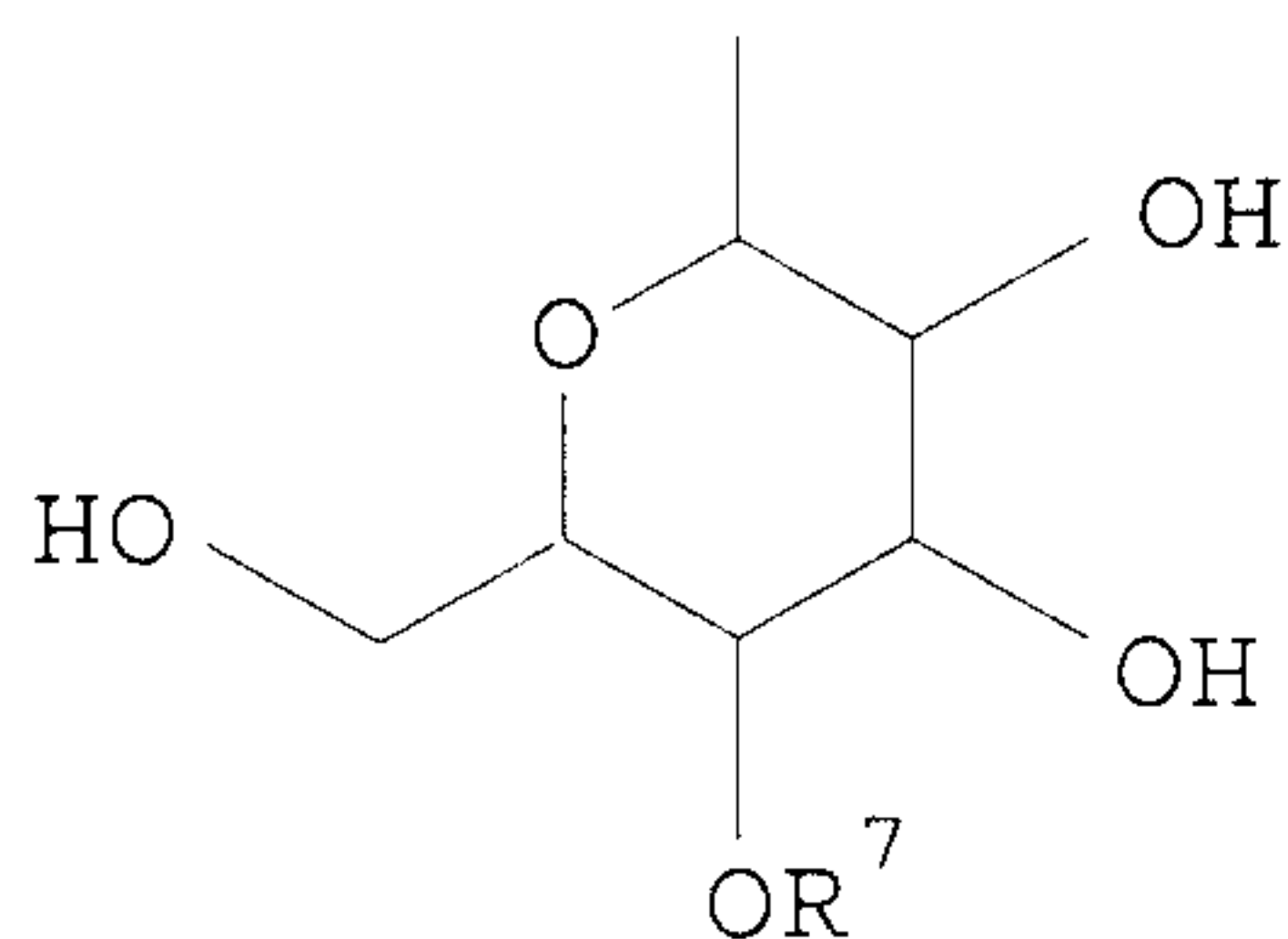
4. The compound or salt set forth in claim 1, wherein:

$R^1$  and  $R^2$  each independently represent a hydrogen atom or a lower alkyl group which may each have 1 to 5 hydroxyl groups;

$G^1$  is a group of the formula:

67566-1292

- 98 -

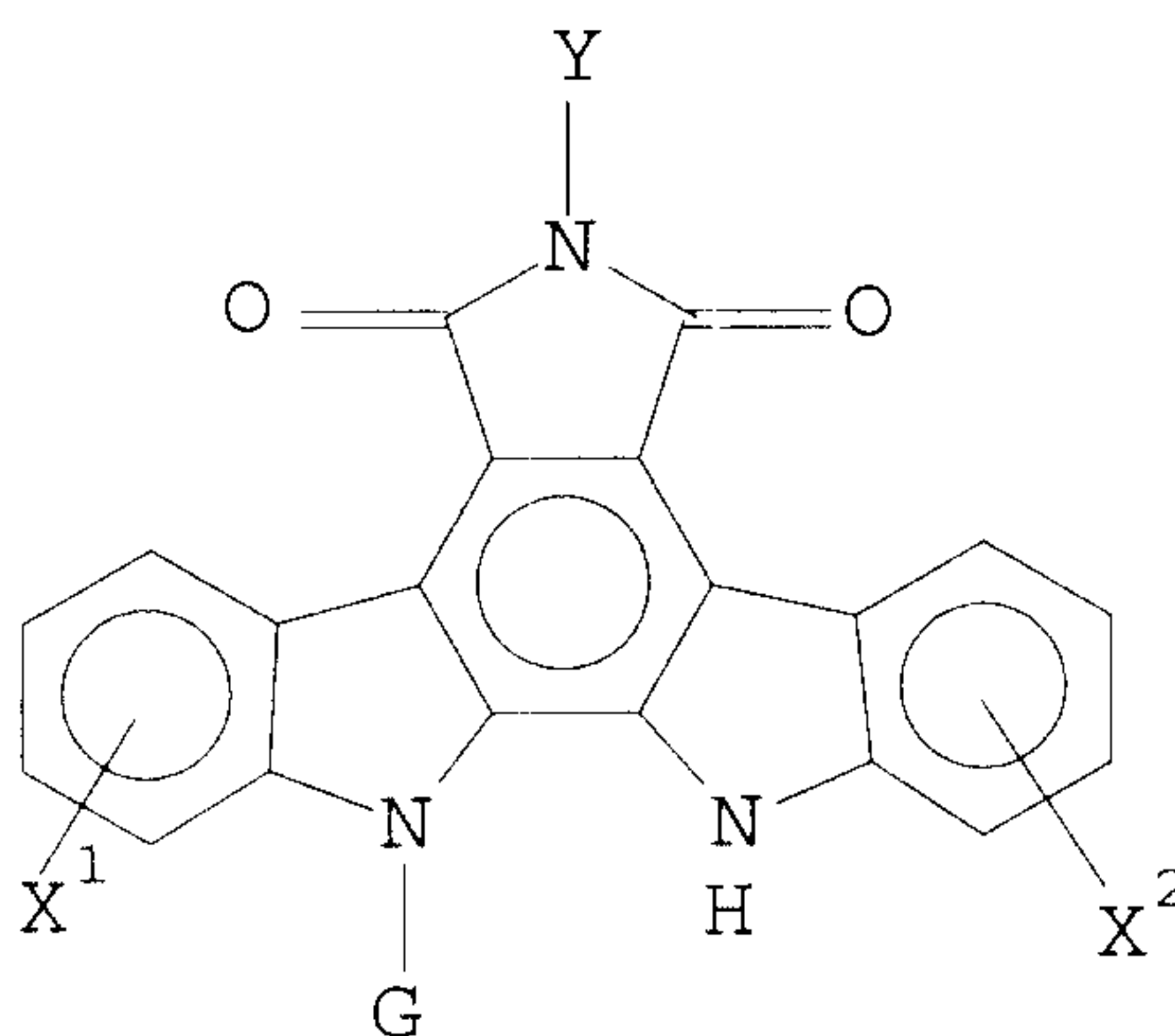


5 in which R<sup>7</sup> represents a hydrogen atom or a lower alkyl group; and X<sup>1</sup> and X<sup>2</sup> each independently represent a hydroxyl group.

5. The compound or salt set forth in any one of claims 1 to 4 as a pharmaceutically acceptable substance.

10 6. A process for preparation of a compound of the general formula [I] set forth in claim 1 or a pharmaceutically acceptable salt thereof, which comprises:

reacting a compound represented by the following formula or a derivative thereof wherein functional groups  
15 are protected:



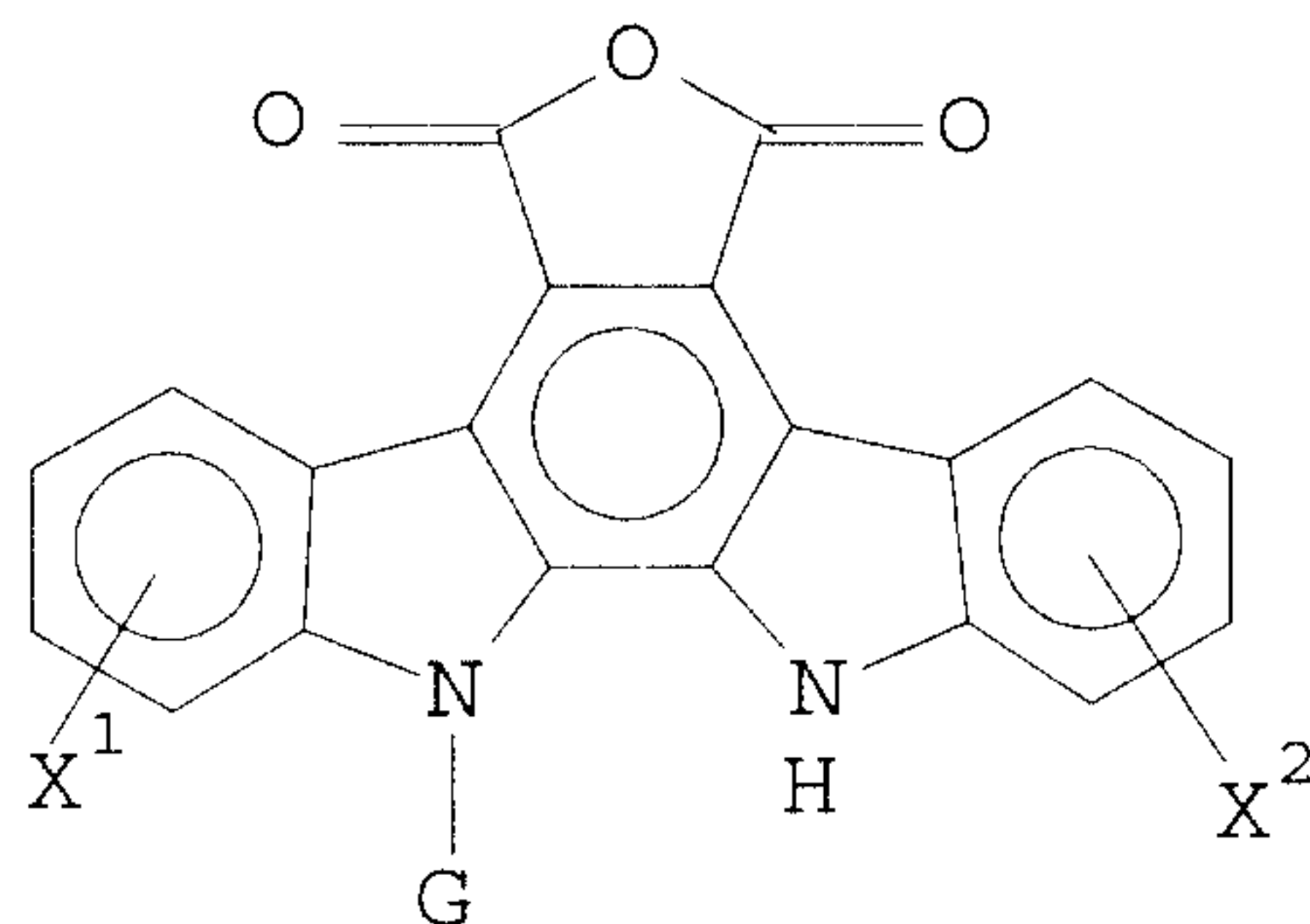
[II]

or



67566-1292

-99-



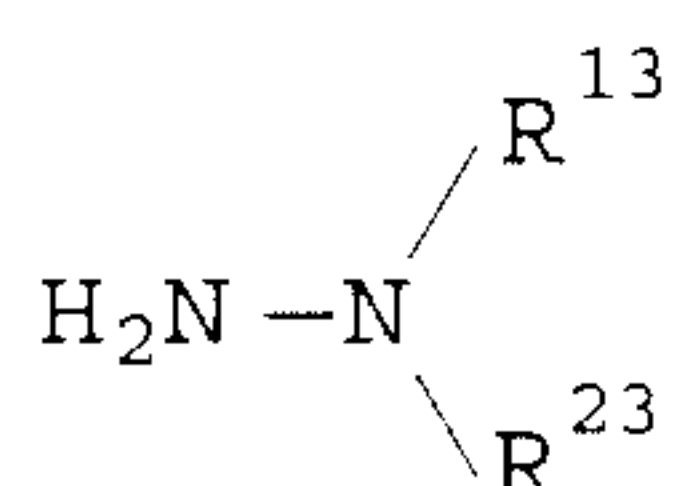
[III]

5

wherein,

Y represents a hydrogen atom or substituted or unsubstituted lower alkyl group, and  $X^1$ , and  $X^2$  and G have the same meanings as defined in claim 1 with a compound represented by the following general formula [IV] or a derivative thereof wherein in case  $R^{13}$  and  $R^{23}$  contain a functional group, the functional group is each protected:

10



[IV]

15

wherein

$R^{13}$  and  $R^{23}$  each independently represent a hydrogen atom, a lower alkyl group, a lower alkenyl group, a lower alkynyl group, a  $C_{6-12}$  aryl group, a  $C_{7-15}$  aralkyl group or a 5- or 6-membered heterocyclic group which contains 1 to 4 hetero atoms selected from the group consisting of nitrogen, oxygen and sulfur (where the lower alkyl group, the lower alkenyl group, the lower alkynyl group, the aryl group, the aralkyl group and the heterocyclic group may have 1 to 5 substituents selected from the group consisting of a carboxyl group, a carbamoyl group, a sulfo group, an amino group, a cyano group, a mono-lower alkylamino group, a di-

20

25

67566-1292

-100-

lower alkylamino group, a hydroxyl group and halogen atoms),  
or a group of the formula  $-Y-R^3$  in which Y represents a  
carbonyl group, a thiocarbonyl group or a sulfonyl group,  
and  $R^3$  represents a hydrogen atom, a lower alkyl group, a  $C_{3-6}$   
5 cycloalkyl group, a  $C_{3-6}$  cycloalkyl- $C_{1-6}$  alkyl group, a  $C_{6-12}$   
aryl group, a  $C_{7-15}$  aralkyl group, a lower alkoxy group, a  
hydrazino group, an amino group, a  $C_{6-12}$  arylamino group, a  
carbamoyl group or a 5- or 6-membered heterocyclic group  
which contains 1 to 4 hetero atoms selected from the group  
10 consisting of nitrogen, oxygen and sulfur (where the lower  
alkyl group, the cycloalkyl group, the cycloalkylalkyl  
group, the aryl group, the aralkyl group and the  
heterocyclic group may each have 1 to 4 substituents  
selected from the group consisting of halogen atoms, an  
15 optionally protected hydroxyl group, an amino group, a  
carboxyl group, a carbamoyl group, a cyano group and a lower  
alkoxycarbonyl group, and the amino group and the carbamoyl  
group may each be mono- or di-substituted by a lower alkyl  
group optionally substituted by a group selected from the  
20 group consisting of halogen atoms, a hydroxyl group, an  
amino group, a carboxyl group, a carbamoyl group and a lower  
alkoxycarbonyl group); or

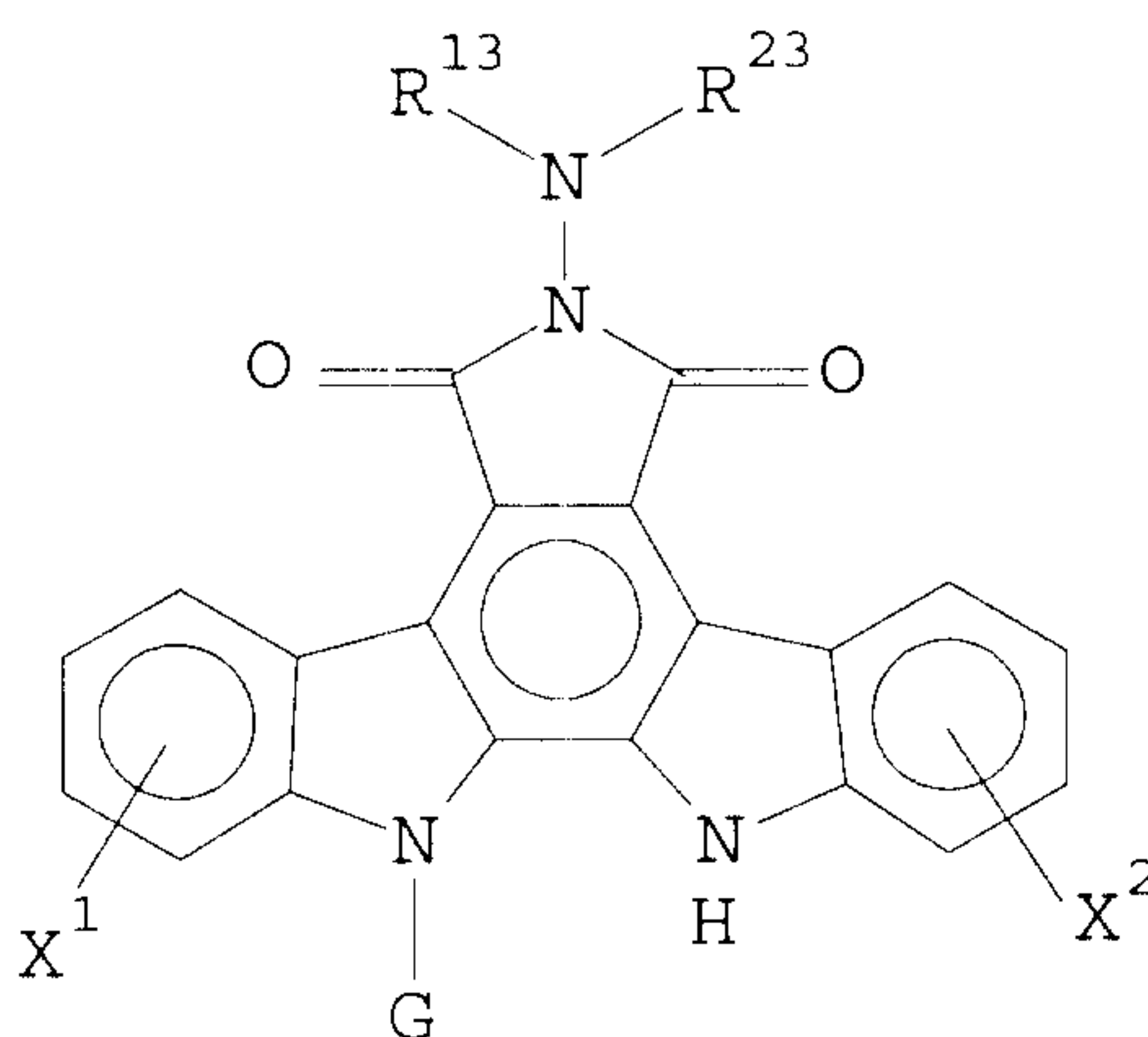
$R^{13}$  and  $R^{23}$  together with the nitrogen atom to which  
they are attached, form a 5- or 6-membered heterocyclic  
25 group which contains 1 to 4 hetero atoms selected from the  
group consisting of nitrogen, oxygen and sulfur (where the  
heterocyclic group may have on its ring, a lower alkyl group  
optionally substituted by a group selected from the group  
consisting of an amino group, a hydroxyl group, a carboxyl  
30 group and a sulfo group);

where necessary, removing the protective group or  
groups existing in a product to prepare a compound  
represented by the general formula:

67566-1292

-101-

5

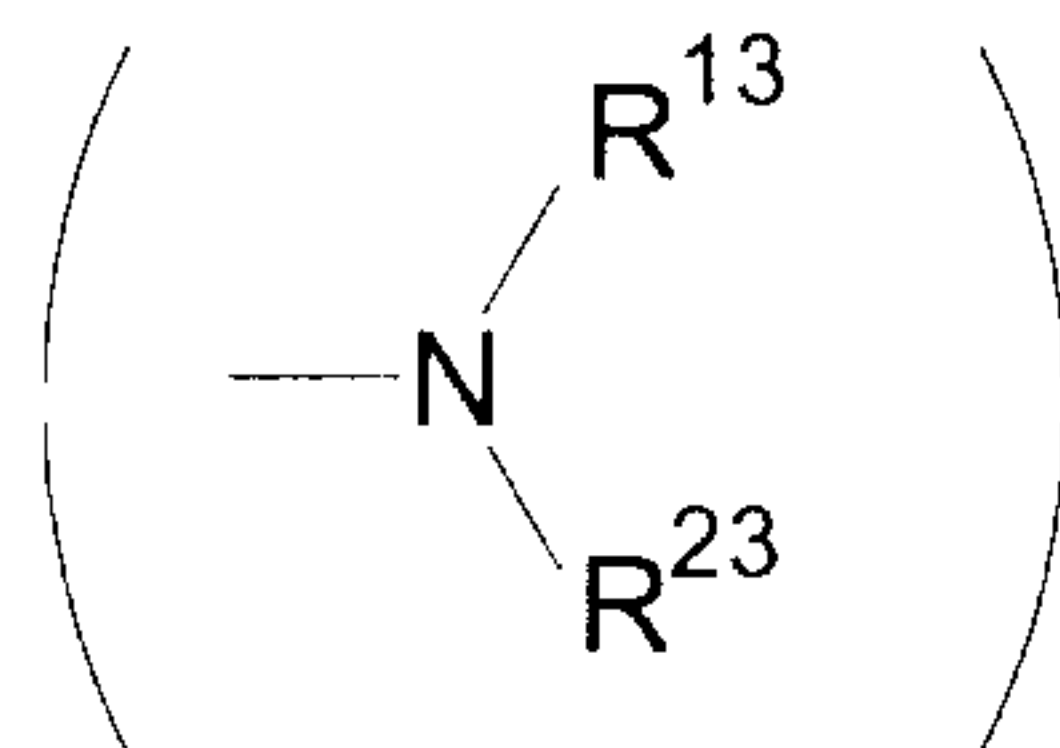


[Ic]

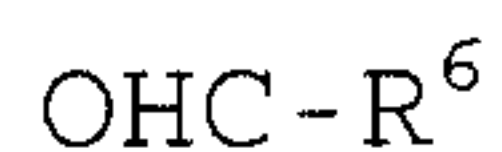
wherein  $R^{13}$ ,  $R^{23}$ ,  $X^1$ ,  $X^2$  and  $G$  have the same meanings as defined above;

or either formylating, alkylating, alkenylating, alkynylating, aralkylating, carbamoylating, thiocarbamoylating, alkanoylating or sulfonylating the amino group

15



of the compound of the above formula [Ic] or a derivative thereof wherein a functional group or groups are protected when  $R^{13}$  and  $R^{23}$  each represent a hydrogen atom, or condensing the above compound [Ic] or derivative with a compound represented by the following formula or a derivative thereof wherein a functional group is protected:



[V]

wherein  $R^6$  represents a hydrogen atom, a carboxyl group or a lower alkyl group optionally having 1 to 4 substituents selected from the group consisting of an amino

25



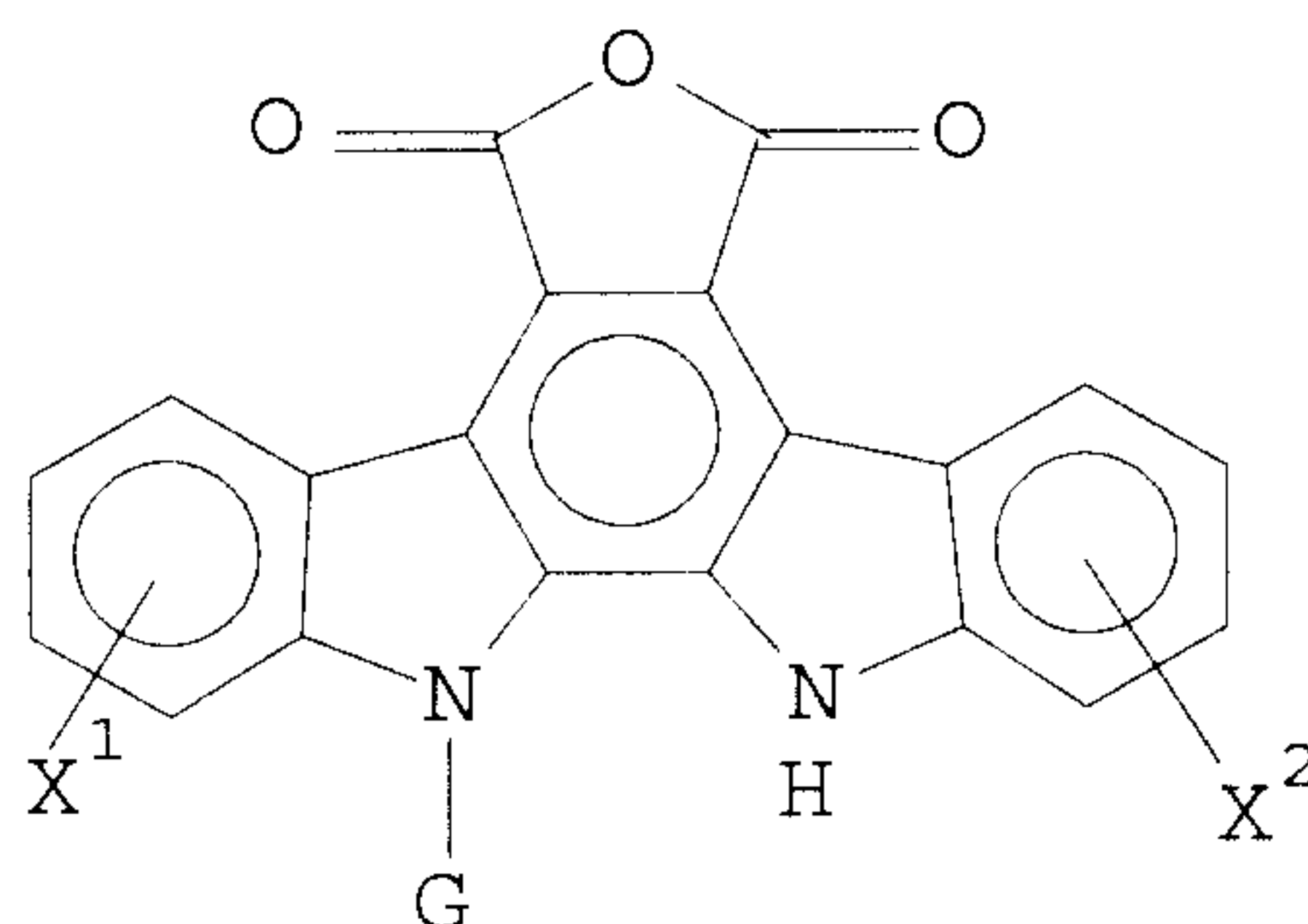
67566-1292

-102-

group, a mono-lower alkylamino group, a di-lower alkylamino group, a hydroxyl group, a carboxyl group and a sulfo group,

and where necessary, removing the protective groups existing in the product; or reducing double bonds of the compound of the above formula [Ic] when  $R^{13}$  and/or  $R^{23}$  contains the double bonds, or the compound prepared by condensing the compound of the formula [Ic] with the compound of the formula [V] or the derivative thereof wherein the functional group is protected, and where necessary removing the protective group existing in the product; and where necessary, converting the resulting compound of the formula [I] into a pharmaceutically acceptable salt.

7. A compound represented by the following general formula or a derivative thereof wherein a functional group is protected:



[III]

20

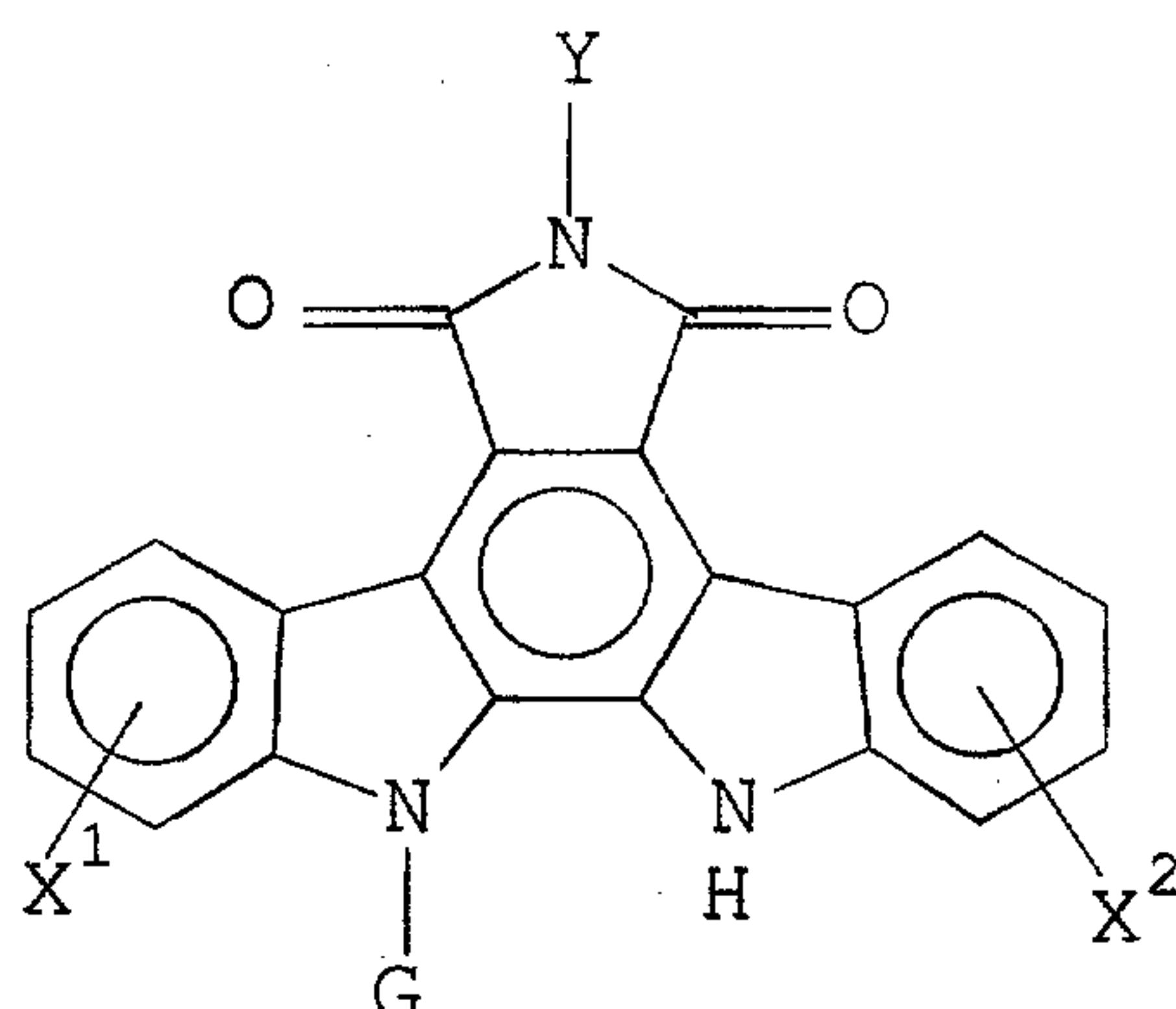
wherein  $X^1$ ,  $X^2$  and G have the same meanings as in claim 1.

8. A process for preparation of a compound of the general formula [III] set forth in claim 6, which comprises:

treating with a base, a compound represented by the following general formula or a derivative thereof wherein a functional group is protected:

67566-1292

-103-



[II]

5

wherein Y represents a hydrogen atom or substituted or unsubstituted lower alkyl group, and X<sup>1</sup>, X<sup>2</sup> and G have the same meanings as defined in claim 1.

9. A medicament containing the compound or salt set forth in any one of claims 1 to 4, and a pharmaceutically acceptable carrier or diluent.

10. An antitumor agent that is a pharmaceutical preparation comprising:

an antitumor effective quantity of the compound or salt according to any one of claims 1 to 4, and

a pharmaceutically acceptable carrier or diluent.

11. The antitumor agent set forth in claim 10, which is for treating cancer.

12. The antitumor agent according to claim 11, which is a formulation adapted for parenteral administration and contains the compound or salt at a dose of 10 to 100 mg per day per adult human patient.

20

67566-1292

-104-

13. A use of the compound or salt according to any one of claims 1 to 4 in the control or prevention of cancer.

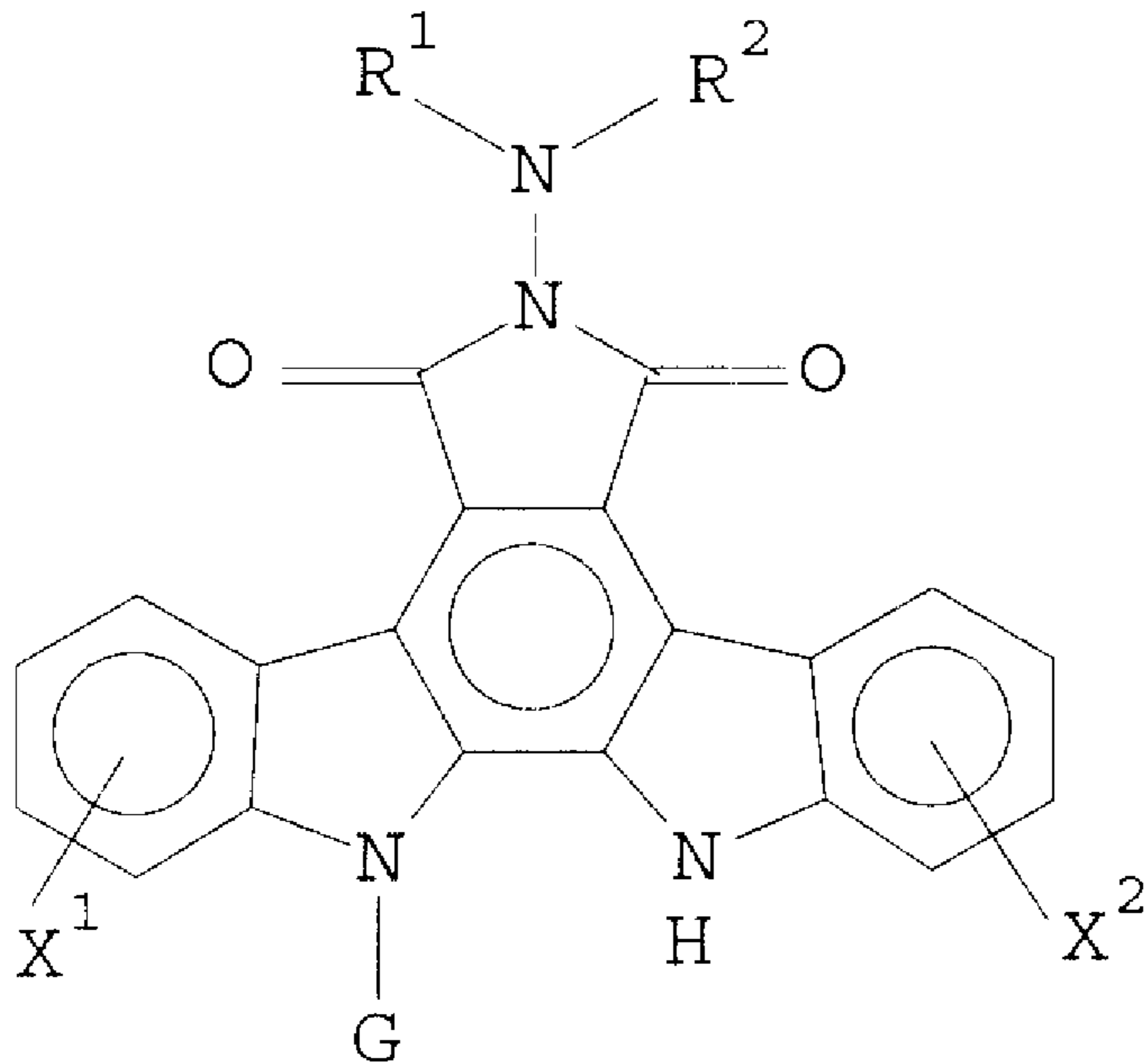
14. A use of the compound or salt according to any one of claims 1 to 4 for the manufacture of antitumor active  
5 medicament.

SMART & BIGGAR

OTTAWA, CANADA

PATENT AGENTS





[I]