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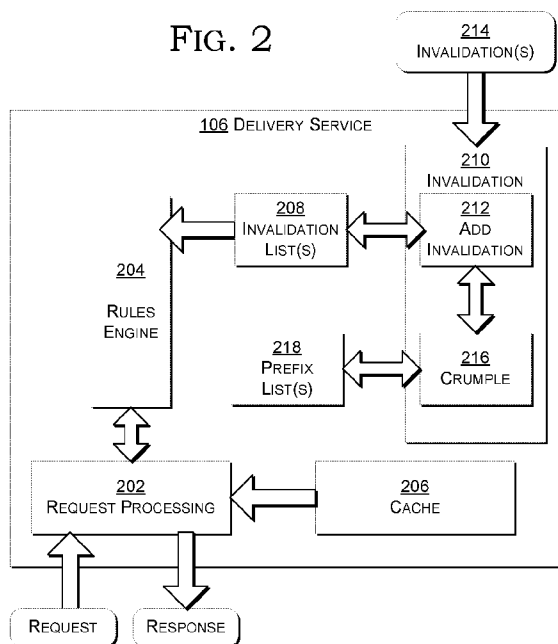
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(54) Title: INVALIDATION IN A CONTENT DELIVERY NETWORK (CDN)

(57) Abstract: A content delivery (CD) service in a CDN maintains property invalidation information on said CD service including, for each property, a list of one or more group invalidation commands. New group invalidation commands are added by selectively merging one or more invalidation commands to form a merged invalidation command and inserting the merged invalidation command into said list, wherein the merging is based on a length of common prefix of elements in said list. A per property common prefix list is maintained for invalidation commands in each property list.

FIG. 2





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INVALIDATION IN A CONTENT DELIVERY NETWORK (CDN)

BACKGROUND OF THE INVENTION

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This Patent Cooperation Treaty (PCT) patent application claims priority to United States Nonprovisional Application No. 15/095,282 entitled “Invalidation In A Content Delivery Network (CDN),” filed on April 11, 2016, the entire contents of which is incorporated herein by reference for all purposes.

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INCORPORATION BY REFERENCE

[0003] The following U.S. Patents are hereby fully incorporated herein by reference for all purposes:

1. U.S. Patent No. 8,060,613 titled “Resource invalidation in a content delivery network,” filed October 31, 2007, issued November 15, 2011.
2. U.S. Patent No. 8,825,830, titled “Content Delivery Framework With Dynamic Service Network Topology,” filed March 15, 2013, issued September 2, 2014.

FIELD OF THE INVENTION

[0004] This invention relates to content delivery and content delivery networks. More specifically, this invention relates to invalidation in content delivery networks.

BRIEF DESCRIPTION OF THE DRAWINGS

[0005] Other objects, features, and characteristics of the present invention as well as the methods of operation and functions of the related elements of structure, and the combination of parts and economies of manufacture, will become more apparent upon

consideration of the following description and the appended claims with reference to the accompanying drawings, all of which form a part of this specification.

[0006] FIG. 1 depicts aspects of a content delivery network (CDN) according to exemplary embodiments hereof;

[0007] FIG. 2 depicts a logical structure of a delivery service according to exemplary embodiments hereof;

[0008] FIG. 3 shows an example data structure for storing invalidation lists according to exemplary embodiments hereof;

[0009] FIG. 4 shows the hierarchical or tree structure of an example property for a particular content provider;

[0010] FIG. 5 is a flow chart of operation of aspects hereof;

[0011] FIG. 6 shows an example data structure for storing common prefix lists according to exemplary embodiments hereof; and

[0012] FIG. 7 depicts aspects of computing according to exemplary embodiments hereof.

DETAILED DESCRIPTION OF THE PRESENTLY PREFERRED EXEMPLARY EMBODIMENTS

GLOSSARY

[0013] As used herein, unless used otherwise, the following terms or abbreviations have the following meanings:

[0014] CDN means content delivery network;

[0015] HTTP means Hyper Text Transfer Protocol;

[0016] HTTPS means HTTP Secure;

[0017] MOV means minimum origin version;

[0018] ROV means resource origin version;

[0019] URI means Uniform Resource Identifier; and

[0020] URL means Uniform Resource Locator.

[0021] A “mechanism” refers to any device(s), process(es), routine(s), service(s), module(s), or combination thereof. A mechanism may be implemented in hardware, software, firmware, using a special-purpose device, or any combination thereof. A mechanism may be integrated into a single device or it may be distributed over multiple

devices. The various components of a mechanism may be co-located or distributed. The mechanism may be formed from other mechanisms. In general, as used herein, the term “mechanism” may thus be considered shorthand for the term device(s) and/or process(es) and/or service(s).

BACKGROUND AND OVERVIEW

[0022] A content delivery network (CDN) distributes content (*e.g.*, resources) efficiently to clients on behalf of one or more content providers, preferably via a public Internet. Content providers provide their content (*e.g.*, resources) via origin sources (origin servers or origins). A CDN can also provide an over-the-top transport mechanism for efficiently sending content in the reverse direction – from a client to an origin server. Both end-users (clients) and content providers benefit from using a CDN. Using a CDN, a content provider is able to take pressure off (and thereby reduce the load on) its own servers (*e.g.*, its origin servers). Clients benefit by being able to obtain content with fewer delays.

[0023] **FIG. 1** shows aspects of an exemplary CDN **100** in which one or more content providers **102** provide content via one or more origin sources **104** and delivery services (servers) **106** to clients **108** via one or more networks **110**. The delivery services (servers) **106** may form a delivery network from which clients may obtain content. The delivery services **106** may be logically and/or physically organized hierarchically and may include edge caches.

[0024] Exemplary CDNs are described in U.S. Patents Nos. 8,060,613 and 8,825,830, the entire contents of both of which have been fully incorporated herein by reference for all purposes.

[0025] Content associated with or provided by a particular content provider may be referred to as a property. A property may be, *e.g.*, a website and related content, and typically comprises multiple resources. A CDN may provide one or more properties associated with and/or on behalf of one or more content providers. A content provider may have more than one property, and thus a CDN may serve/provide one or more properties associated with and/or on behalf of a particular content provider.

[0026] As should be appreciated, components of a CDN (*e.g.*, delivery servers or the like) may use the CDN to deliver content to other CDN components. Thus a CDN

component may itself be a client of the CDN. For example, the CDN may use its own infrastructure to deliver CDN content (e.g., CDN control and configuration information) to CDN components.

[0027] Content (e.g., resources associated with a property of a content provider) may be cached at various locations within the CDN, e.g., at nodes in a delivery network formed by the various delivery services of the CDN. Content may be cached, e.g., when it is served from the CDN.

[0028] A CDN should not serve stale or expired or invalidated (invalid) content, and invalid or expired or stale content should be refreshed before being served (if a valid version of the content exists). Content may be refreshed asynchronously.

[0029] Invalidation is a mechanism through which information stored in a service (e.g., information that may be used to derive responses to future requests) is marked or designated as no longer directly usable for response derivation, thus indicating that some form of state update or alternate derivation path must be used to derive a response to a future request. Services making use of invalidation consume invalidation manifests and locally process the commands contained in these manifests.

[0030] A caching or delivery service is the typical example of a service that makes use of invalidation. A cache stores literal copies of resources and responds to future requests for the resource (or information based on the resource) using the stored literal copy as long as the copy is not stale. As should be appreciated, a request may be for a particular resource itself or for information (e.g., another resource) that is determined or derived based on the particular resource. Staleness in this case could be based, e.g., on an age-based expiration of the original copy that was stored, or on whether or not the copy has explicitly been invalidated since the copy was stored. When an invalidation command is received with the target of the command already in cache, it suffices to mark the cached copy to implement the command. When the resource is not in cache, or when the command refers to a group of potentially many resources, additional steps must be taken to ensure that a copy retrieved later (e.g., from some other cache) satisfies the constraints of the last applicable invalidation command. The term “invalidation” is sometimes used herein to refer to an invalidation command.

[0031] Cached content may be associated with a time-to-live (TTL) value, in which case the content should not be served (i.e., should not be considered valid) after its TTL has expired. Content may also be invalidated by signaling its invalidation to the delivery services (or to the delivery services network). Invalidation may be signaled, e.g., by providing an invalidation instruction specifying one or more resources.

[0032] For the purposes of this description, a resource is or corresponds to an addressable item of content. Each resource provided (e.g., served) by a CDN, including internal CDN resources, may be identified or addressed by a uniform resource identifier (URI) which may be a uniform resource locator (URL). URIs are described, e.g., in Network Working Group, Request for Comments (RFC) 3986, Berners-Lee, January 2005, "Uniform Resource Identifier (URI): Generic Syntax," and URLs are described, e.g., in Network Working Group, Berners-Lee, Request for Comments 1738, December 1994, "Uniform Resource Locators (URL)," the entire contents of both of which are hereby fully incorporated herein by reference for all purposes.

[0033] In preferred embodiments, each resource is addressable by a URL, and an invalidation instruction may specify a single resource or a group of (zero or more) resources. An invalidation instruction that specifies a single resource may specify a URL (or URI) for that resource. A resource group may be specified, e.g., by a set of constraints on the set of possible resources. Thus, in general, a group invalidation specifies a group by one or more constraints that define members of the group.

[0034] A group invalidation instruction may, e.g., specify that all the content in a certain subdirectory or all resources with a common extension are no longer valid. An invalidation instruction that specifies a group of resources may, e.g., use one or more wildcards in the specification of those resources. In some implementations, group invalidations are referred to as wildcard invalidations.

[0035] For example, a particular content provider (CP) may have a property specified by the root "/CP" and may include images under the sub-directory "/CP/Images". A particular image (e.g., "/CP/Images/Image1.jpg") can be invalidated, as can a group of images (e.g., "/CP/Images/Image_September*2014.jpg"), where the star symbol is a metacharacter (or wildcard) that corresponds to zero or more characters. It should be appreciated that a group invalidation may specify zero or more resources. For

example, there may not be any resources that satisfy the constraint “/CP/Images/Image_September*2014.jpg”.

[0036] As used here, “resource” means a (potentially) cached response to a particular request, so theoretically any attributes of the request or the response may be considered to define a group. An actual implementation of a resource group based invalidation system might impose additional constraints on how groups can be defined for efficiency, but such constraints need not be imposed at the architectural level.

[0037] In some preferred implementations hereof, a group may be specified by a pattern language such as a so-called “glob” pattern language. As used herein, a glob pattern language is any pattern language where the “*” metacharacter is used to match any sequence of characters, although other metacharacters may also exist. A glob is a pattern written in a glob pattern language. A *-glob (star glob) pattern language is a glob pattern language with only the “*” metacharacter and literal characters. A *-glob (star-glob) (or *-glob pattern) is a pattern written in a *-glob pattern language. It should be appreciated that the system is not limited in any way by the pattern matching algorithms or languages used or described herein. Nor is the system in any way limited by the particular language or program used to implement the patterns or pattern matching (or related operations) described herein. In particular, it should be appreciated that regular expressions or glob patterns defined on a URL are just some of many possible ways to define groups. Those of skill in the art will realize and understand, upon reading this description, that different and/or other ways of describing groups may be used and are contemplated herein.

Minimum Origin Version (MOV) invalidation

[0038] Invalidation manifests implement an approach to invalidation based on origin versions. When content is invalidated via an invalidation command, a minimum origin version (*mov*) for that invalidated content is incremented. Minimum origin version invalidation assumes each origin is a single resource namespace, and that all invalidation commands are relative to some origin threshold event at a single origin location. This approach allows invalidation to be defined as the setting of a minimum origin version, where each cache in the system estimates the minimum origin version as content enters

from origins. MOV invalidations generally require that the origin server(s) be consistent when publishing content at multiple locations, so that a given URL will receive a consistent resource regardless of which origin is contacted.

[0039] Each resource in a cache has a resource origin version (ROV) associated therewith. The ROV for resource(s) is obtained/set when the cache obtains content directly from an origin server or from another cache. The ROV remains as the actual origin version of that copy of the resource wherever it goes until it is revalidated or refreshed. If a cache requests content from another cache, the client cache uses whatever ROV the server provides as the ROV it stores in cache.

[0040] Each invalidation command (IC) has an associated MOV value. If the ROV value for a resource in a cache is at least as great as the MOV value, then the resource is considered valid and can be served. On the other hand, if the ROV value is less than the MOV value for a cached resource, then a new version of the resource must be obtained before being served. For example, if an invalidation command implicating a particular resource *R* has an *mov=100*, and the cached version of resource *R* has an *rov=99*, then the resource *R* is considered stale and cannot be served. On the other hand, if the cached version of resource *R* has an *rov=100* or higher then the cached version of resource *R* is not stale and can be used (e.g., served).

[0041] MOV invalidations are described in U.S. Patent No. 8,825,830, titled “Content Delivery Framework With Dynamic Service Network Topology,” filed March 15, 2013, issued September 2, 2014, the entire contents of which hereby fully incorporated herein by reference for all purposes, including specifically for the description of invalidation and MOV invalidations.

[0042] **FIG. 2** depicts a logical structure of a delivery service **106** according to exemplary embodiments hereof. As shown in **FIG. 2**, a delivery service **106** includes request/response-processing mechanism **202**, that interacts with a rules engine **204**, to process and to provide responses to incoming requests. A requested resource may already be cached in a local cache **206** associated with the delivery service **106**, although, as explained, it is necessary to determine the validity of any cached resource before serving it. In this regard, the rules engine **204** may access one or more invalidation lists **208** that are maintained at the delivery service **106** by an invalidation mechanism **210**.

[0043] For the purposes of this description the role of the invalidation mechanism **210** is to maintain the invalidation information (e.g., invalidation lists **208**) on the delivery service **106**, as described in greater detail below.

[0044] Preferably each delivery service **106** is responsible for serving a particular property or set of properties, and preferably there is an invalidation list for each property for which a delivery service **106** is responsible. **FIG. 3** shows an example data structure for storing n invalidation lists, one list for each of the properties $P_1, P_2 \dots P_n$ that the delivery service **106** may serve (assume, in this case, that the delivery service is responsible for serving each of the n properties). An exemplary invalidation list for a particular property may include a number of invalidation commands (or constraints) for that property. For example, as shown in **FIG. 3**, an invalidation list for property P_n may include up to m invalidation commands ($IC_1, IC_2, IC_3 \dots IC_m$) for that property. It should be appreciated that one aspect of this approach is that invalidation commands that are received at a cache, and which do not hit resources in that cache (or for properties that could be served from that cache) may need to be kept to ensure that any subsequent fill of a matching resource is done in a coherent manner.

[0045] An invalidation command (IC_j) specifies a *mov* and some resource descriptor or specifier that identifies a single resource or group of resources that may or may not currently be in cache. An IC that specifies exactly one resource (e.g., by name) may also be referred to as a ground resource specifier. Ground ICs may be processed upon receipt, marking the specified resource as invalid when the IC is received. Group invalidations (invalidation commands that specify groups) are evaluated when requests are received. Thus an invalidation command I may be (i) a ground command of the form $I(mov, R)$, where R specifies exactly one resource and *mov* is a MOV value for that resource; or (ii) a group command of the form $I(mov, G)$ where *mov* is a MOV value and G specifies a constraint set. While a ground resource specifier specifies one resource, in some cases, a ground invalidation command may impact multiple resources, all of which may be accessed by a single URL (e.g., resources with multiple content encodings available, or multiple variants).

[0046] **FIG. 4** shows the hierarchical or tree structure of an example property for a particular content provider. For the sake of this example, the property begins with a slash

“/” character and has two main subtrees of resources (“/XYZ” and “/abc”). The sub-tree of resources specified by “/XYZ” includes a subtree of resources “/XYZ/PQR” which includes four sub-trees of resources (“/XYZ/PQR/fa”, “/XYZ/PQR/fan”, “/XYZ/PQR/fun/” and “/XYZ/PQR/fx”). The property may include other subtrees (not shown).

[0047] The following is an example invalidation list for a property configured to have a maximum of ten invalidation commands (ICs), with seven commands stored and having space for three more invalidation commands. Note that the list is MOV ordered, based on the MOV values provided with the invalidation commands or manifests, or on MOV values determined during crumpling (as described below).

	Invalidation Pattern / Constraint	MOV Value
1	/XYZ/PQR/f*	100
2	/XYZ/PQR/fu*	110
3	/abc/def/2*	112
4	/elect/2015*	120
5	/diet/atk*	130
6	/abc/def/4*	140
7	/XYZ/PQR/ab*	200
8		
9		
10		

[0048] In this example list the invalidation commands / constraints are specified in the form of glob-* patterns. Thus, e.g., the first pattern will match any resource that begins with the string “/XYZ/PQR/f”, whereas the second pattern will match any resource that begins with the string “/XYZ/PQR/fu.” Thus, with reference to the property hierarchy shown in **FIG. 4**, the first pattern / constraint (“/XYZ/PQR/f*”) matches the four subtrees of resources “/XYZ/PQR/fa”, “/XYZ/PQR/fan”, “/XYZ/PQR/fun/”, and “/XYZ/PQR/fx”, whereas the second constraint (“/XYZ/PQR/fu*”) only matches the subtree “/XYZ/PQR/fun/”.

[0049] An invalidation command/constraint may implicate valid resources. For example, in the example shown in **FIG. 4**, assume that some resources in the sub-tree

“/XYZ/PQR/fun” are invalid, but that all resources in the other subtrees (“/XYZ/PQR/fa/”, “/XYZ/PQR/fan”, and “/XYZ/PQR/fx”) are still valid. The invalidation command #1 (“/XYZ/PQR/f*”) will implicate all of the resources in the subtrees (“/XYZ/PQR/fa/”, “/XYZ/PQR/fan”, and “/XYZ/PQR/fx”), even though they are valid. On the other hand, the invalidation command #2 will not implicate those valid resources.

[0050] It is generally preferable for an invalidation constraint to implicate (and thus invalidate) as few (if any) valid resources as possible. In other words, it is generally preferable for an invalidation constraint to have the least impact on valid resources while still invalidating all invalid resources.

[0051] The invalidation mechanism **210** includes an add invalidation mechanism **212** that is used to add invalidation commands to the appropriate invalidation list(s) **208**.

When the delivery service **106** receives new invalidation command(s) **214** (e.g., in the form of an invalidation manifest), the add invalidation mechanism **212** tries to add at least some of those commands to appropriate invalidation lists **208**, as described below.

[0052] As noted, each property’s invalidation list has a maximum size (*max*) (i.e., a maximum number of constraints that it may store). This maximum is preferably set by an administrator and is preferably not settable by users (i.e., by the CDN’s subscribers). Each invalidation list may also have a threshold value (*T*) associated therewith (where $T \leq max$). In a presently preferred implementation the maximum global default number of patterns to store and search per property is 100. The motivation for this initial default is to limit the number of glob searches per request (during request/response processing). It should be appreciated that different subscribers may have different maximum list sizes.

[0053] With reference to the flow chart in **FIG. 5**, when the delivery service **106** receives new invalidation command(s) **214**, the add invalidation mechanism **212** checks the new commands **214** against the appropriate invalidation list **208** for duplicates. Exact duplicates of existing patterns are deleted and updated with the new (latest) MOV value as well as the Force MOV value (the MOV tracked if an invalidation is of type force, discussed below). In operation, an invalid resource typically remains in the cache until a request for that resource triggers a revalidation of the resource (e.g., via an origin server or a peer). The revalidation take the form of a conditional GET that gets the resource

only if its been modified. A so-called “forced” invalidation performs an unconditional “GET” of the resource from the origin server (or an appropriate location).

[0054] Upon insertion, if the number of patterns exceeds the allowed number (or the threshold for that property), crumpling is initiated until the number of patterns falls below the maximum allowed number (or the threshold).

CRUMPLING

[0055] For a pattern-based (non-explicit) invalidation approach, invalidations can never safely expire. To try and mitigate the potential performance impacts of this approach, crumpling is optimized. The goal is to crumple two entries such that the result of crumpling produces the least impact.

[0056] Crumpling, in the context of an invalidation list, is performed by a crumple mechanism **216**. Crumpling is the effect of merging or somehow combining two or more invalidation patterns or constraints. Crumpling may be defined in terms of a *crumple* function that takes as input two or more invalidation commands (including their associated MOV values) and returns an invalidation command (the merged command) that is at least as strict as the input invalidation commands. In other words, the merged command should invalidate at least every resource that the input commands would invalidate.

[0057] The combined/merged constraint is inserted into the invalidation list and replaces all of the constraints that were combined/merged and the resulting invalidation constraint should be at least as strict as all combined constraint.

[0058] Note that the inputs to the crumple function may be from the current invalidation list only or they may include one or more of the newly received invalidation commands.

[0059] For crumpling to have the minimum effective impact there are two basic assumptions:

1. Crumpling a directory, especially '/', affects a larger number of resources than crumpling within a directory.
2. Crumpling a larger number of characters within a directory affects a larger number of resources than crumpling a smaller number of characters.

[0060] In preferred implementations there is no index or list of the resources in cache, and there is also generally no way to know how many actual resources are in a sub-directory. Those of ordinary skill in the art will realize and appreciate, upon reading this description, that since there is no way to know how many actual resources are in any sub-directory, these assumptions may not always give an optimal result.

[0061] The crumple mechanism **216** maintains a prefix list (or common prefix array) **218** for each property for which the service **106** is responsible. That is, the crumple mechanism **216** maintains a common prefix array per property for each property for which there is an invalidation list **208** (**FIGS. 2 and 6**). When a new invalidation pattern is received, the new pattern is checked against the existing list of patterns. If a common prefix is found, then the common prefix is added to the prefix array (if it is not already there). The prefix array is sorted from “smallest impact” to “largest impact” (based on the two assumptions given above). The prefix array can be no larger than the maximum number of allowed patterns. Crumpling can also occur within the prefix array but should occur infrequently, and if so, elements are deleted from largest impact to smallest impact order.

[0062] Crumpling then involves taking the smallest impact prefix array element and matching and combining invalidation patterns for that common prefix.

[0063] The following is an example MOV-ordered invalidation list for a property configured to have a maximum of ten invalidation commands, with ten commands stored and having space for no more invalidation commands (ICs). Exemplary MOV values are shown in these examples.

	Invalidation Pattern / Constraint	MOV Value
1	/XYZ/PQR/f*	100
2	/XYZ/PQR/fu*	110
3	/abc/def/2*	112
4	/elect/2015*	120
5	/diet/atk*	130
6	/abc/def/4*	140
7	/elect/2012*	150
8	/XYZ/PQR/ab*	200
9	/diet/nocrb*	220
10	/st/patrick/*	230

[0064] The corresponding prefix list for this property is:

	Common Prefix list
1	/diet/
2	/abc/def/
3	/XYZ/PQR/
4	/elect/201
5	/XYZ/PQR/f
6	
7	
8	
9	
10	

[0065] In this example, when a new invalidation command (e.g., “/st/peter/*”) is received, an entry (“/st/p”) should be added to the prefix list of (the longest common prefix between it and any entries already in the invalidation table) prior to determining which to crumple. Since this crumples 6 characters within the directory, it should be inserted immediately before “/elect/201”, as shown below:

	Common Prefix list
1	/diet/
2	/abc/def/
3	/XYZ/PQR/
4	/st/p
5	/elect/201
6	/XYZ/PQR/f
7	
8	
9	
10	

[0066] It is also necessary to make room on the invalidation list. There are a number of possible merge candidates, e.g.:

- (i) “/XYZ/PQR/f*” and “/XYZ/PQR/fu*” may be merged to “/XYZ/PQR/f*””; or
- (ii) “/diet/atk*” and “/diet/nocrb*” may be merged to “/diet/*””; or
- (iii) “/XYZ/PQR/f*” and “/XYZ/PQR/fu*” and “/XYZ/PQR/ab*” may be merged to “/XYZ/PQR/*””; or

- (iv) “/abc/def/2*” and “/abc/def/4*” may be merged to “/abc/def/*”; or
- (v) “/XYZ/PQR/f*” and “/XYZ/PQR/fu*” and “/XYZ/PQR/ab*” and “/diet/atk*” and “/diet/nocrb*” may all be merged to “/di*”; or
- (vi) “/elect/2015*” and “/elect/2012*” may be merged into “/elect/201*”; or
- (vii) “/st/peter/*” and “/st/patrick/*” may be merged into “/st/p*”; or
- (vii) all of the patterns/constraint may be merged into “/*”.

[0067] Clearly the last one, (vii) will implicate the most resources, as it effectively forces invalidation of every resource for the property.

[0068] However, based on the prefix array, the merger of ICs to the prefix “/XYZ/PQR/f*” should have the smallest impact (on valid resources). Accordingly, the two patterns “/XYZ/PQR/f*” and “/XYZ/PQR/fu*” are merged to “/XYZ/PQR/f*” and the new pattern is inserted into the list.

[0069] The result of the crumpling is shown below:

	Invalidation Pattern / Constraint	MOV Value
1	/XYZ/PQR/f*	110
2	/abc/def/2*	112
3	/elect/2015*	120
4	/diet/atk*	130
5	/abc/def/4*	140
6	/elect/2012*	150
7	/XYZ/PQR/ab*	200
8	/diet/nocrb*	220
9	/st/patrick/*	230
10	/st/peter/*	235

[0070] As noted, the invalidation lists are MOV-ordered. The MOV value is updated during the crumpling. In particular, the MOV value of the merged pattern is the highest MOV value of the patterns being merged. Thus, e.g., when combining “/XYZ/PQR/f*” and “/XYZ/PQR/fu*” the resulting entry would have the highest MOV of the combined invalidation commands; in this case the MOV from the “/XYZ/PQR/fu*” command. The MOV value of “/XYZ/PQR/f*” was 100 and that of “/XYZ/PQR/fu*” was 110, therefore the MOV value of the merged pattern is $110 = \max(100, 110)$. In general, if k

invalidation patterns ($P_1 \dots P_k$) are being merged (or crumpled) into pattern P_c , then the MOV of P_c is $\max(\text{mov}_i, i=1 \dots k)$. Note that a particular pattern being merged may already be the result of a previous merger, and so the MOV of that particular pattern may have been set during that merger. Note too that the pattern P_c may be one of the already present patterns (P_i) that are being merged, however its MOV value may change. For instance, in the example, given, the pattern ““/XYZ/PQR/f*”” was in the list before and after the crumple, but its MOV value changed (from 100 to 110).

[0071] In the above example, the values for “/abc/def/2*” and beyond stay as they were in the initial table.

[0072] Those of ordinary skill in the art will realize and appreciate, upon reading this description, that updating the MOV value of a merged resource may result in more false positive invalidations.

[0073] In the example given here, resources that had a request path of /XYZ/PQR/fABC and that were stored with an ROV of 100 or greater would have been considered fresh with the original invalidation constraint list. However, post-crumpling, only such resources with an ROV of 110 or greater would be considered valid.

[0074] Based on the merger and insert, the common prefix list is updated as follows:

	Common Prefix list
1	/diet/
2	/abc/def/
3	/XYZ/PQR/
4	/st/p
5	/elect/201
6	
7	
8	
9	
10	

[0075] As is apparent from this example, the crumpled invalidation commands do not have to include the new commands, and commands already in the list may be the one(s) that are merged.

[0076] The decision as to which invalidation commands to crumple or merge may be based on the MOV value of the combined commands. In some cases, invalidation commands may be merged based on which have the lowest change in MOV value in the

combined commands. In some cases, invalidation commands may be merged based on which have the lowest actual MOV value in the combined commands. The MOV value may be used, e.g., as a tiebreaker for merge/crumple candidates.

[0077] As an example, consider the four invalidation commands:

IC1: constraint1, mov1

IC2: constraint2, mov2

IC3: constraint3, mov3

IC4: constraint4, mov4

[0078] Suppose that the merge choices are:

IC5= IC1 & IC2 : $mov5 = \text{Max}(mov1, mov2)$

IC6= IC3 & IC4 : $mov6 = \text{Max}(mov3, mov4)$

[0079] In some cases, the merger may be selected that has the lower of mov5 and mov6. In some cases, the merger may be selected that minimizes the difference between (i) *mov5* and $\min(mov1, mov2)$, and (ii) *mov6* and $\min(mov3, mov4)$. Thus, e.g., if $mov1=100$ and $mov2=101$, then $mov5=101$ and the difference between $\min(mov1, mov2)$ is 1. If $mov3=90$ and $mov4=120$, then $mov6=120$ and the difference between *mov6* and $\min(mov3, mov4)$ is 30. The merger that minimizes this difference (i.e., IC5) will be chosen.

REQUEST-RESPONSE PROCESSING

[0080] In preferred implementations, invalidation of an actual resource takes place at the time of a request for that resource. This is advantageous for a number of reasons, including that there is essentially nothing to be done (other than store the invalidation information) if the resource is never requested.

[0081] When the service gets a request for a resource (e.g., an HTTP request), it checks the requested resource against the appropriate list of invalid resources (i.e., against the list of invalid resources for that property). If the resource corresponds to a constraint on the list and the ROV value of the resource is less than the MOV value of the constraint, then a valid/fresh version of the resource must be obtained before the resource can be served. Accordingly, if a constraint on the list indicates that a requested resource is invalid, the service replicates the resource (e.g., from a content provider's content

source such as an origin server or from another location (e.g., a peer) in the CDN). The ROV value of the resource is updated when a new version of the resource is obtained.

[0082] For example, suppose that a user requests the resource “/XYZ/PQR/fa.gif” prior to the crumple described above. In this example, suppose that the cache has a version of “/XYZ/PQR/fa.gif” with an *rov*=101. The requested resource matches the invalidation constraint “/XYZ/PQR/f*”, but the ROV of the cached resource (*rov*=101) is greater than the MOV value (*mov*=100) associated with the constraint. The cached version can be served. After the crumpling described above, the MOV value of the constraint “/XYZ/PQR/f*” is 110, and so the cached resource “/XYZ/PQR/fa.gif”, with an *rov*=101, is considered invalid. The server refreshes the resource “/XYZ/PQR/fa.gif”, e.g., from an origin server or another location in the CDN. The refreshed version must have an ROV of at least 110 in order to be considered valid. As explained above, the version may have already been valid and may have been implicated as invalid (as a false positive) because of the crumpling.

[0083] Exemplary operation of invalidation in a CDN is described in U.S. Patent No. 8,060,613, the entire contents of which are fully incorporated herein by reference for all purposes.

[0084] It should be appreciated that the crumpling need not occur on the same device as served as the content. For example, the crumpling may be done at an edge device or could be done centrally.

COMPUTING

[0085] The services, mechanisms, operations and acts shown and described above are implemented, at least in part, by software running on one or more computers of CDN 100.

[0086] Programs that implement such methods (as well as other types of data) may be stored and transmitted using a variety of media (e.g., computer readable media) in a number of manners. Hard-wired circuitry or custom hardware may be used in place of, or in combination with, some or all of the software instructions that can implement the processes of various embodiments. Thus, various combinations of hardware and software may be used instead of software only.

[0087] One of ordinary skill in the art will readily appreciate and understand, upon reading this description, that the various processes described herein may be implemented by, *e.g.*, appropriately programmed general purpose computers, special purpose computers and computing devices. One or more such computers or computing devices may be referred to as a computer system.

[0088] **FIG. 7** is a schematic diagram of a computer system **700** upon which embodiments of the present disclosure may be implemented and carried out.

[0089] According to the present example, the computer system **700** includes a bus **702** (*i.e.*, interconnect), one or more processors **704**, a main memory **706**, removable storage media **710**, read-only memory **708**, mass storage **712**, and one or more communications ports **714**. Communication port **714** may be connected to one or more networks by way of which the computer system **700** may receive and/or transmit data.

[0090] As used herein, a “processor” means one or more microprocessors, central processing units (CPUs), computing devices, microcontrollers, digital signal processors, or like devices or any combination thereof, regardless of their architecture. An apparatus that performs a process can include, *e.g.*, a processor and those devices such as input devices and output devices that are appropriate to perform the process.

[0091] Processor(s) **704** can be any known processor, such as, but not limited to, an Intel® Itanium® or Itanium 2® processor(s), AMD® Opteron® or Athlon MP® processor(s), or Motorola® lines of processors, and the like. Communications port(s) **714** can be any of an RS-232 port for use with a modem based dial-up connection, a 10/100 Ethernet port, a Gigabit port using copper or fiber, or a USB port, and the like. Communications port(s) **714** may be chosen depending on a network such as a Local Area Network (LAN), a Wide Area Network (WAN), a CDN, or any network to which the computer system **700** connects. The computer system **700** may be in communication with peripheral devices (*e.g.*, display screen **716**, input device(s) **718**) via Input / Output (I/O) port **720**.

[0092] Main memory **706** can be Random Access Memory (RAM), or any other dynamic storage device(s) commonly known in the art. Read-only memory **708** can be any static storage device(s) such as Programmable Read-Only Memory (PROM) chips for storing static information such as instructions for processor **704**. Mass storage **712**

can be used to store information and instructions. For example, hard disks such as the Adaptec® family of Small Computer Serial Interface (SCSI) drives, an optical disc, an array of disks such as Redundant Array of Independent Disks (RAID), such as the Adaptec® family of RAID drives, or any other mass storage devices may be used.

[0093] Bus 702 communicatively couples processor(s) 704 with the other memory, storage, and communications blocks. Bus 702 can be a PCI / PCI-X, SCSI, a Universal Serial Bus (USB) based system bus (or other) depending on the storage devices used, and the like. Removable storage media 710 can be any kind of external hard-drives, floppy drives, IOMEGA® Zip Drives, Compact Disc – Read Only Memory (CD-ROM), Compact Disc – Re-Writable (CD-RW), Digital Versatile Disk – Read Only Memory (DVD-ROM), etc.

[0094] Embodiments herein may be provided as one or more computer program products, which may include a machine-readable medium having stored thereon instructions, which may be used to program a computer (or other electronic devices) to perform a process. As used herein, the term “machine-readable medium” refers to any medium, a plurality of the same, or a combination of different media, which participate in providing data (*e.g.*, instructions, data structures) which may be read by a computer, a processor or a like device. Such a medium may take many forms, including but not limited to, non-volatile media, volatile media, and transmission media. Non-volatile media include, for example, optical or magnetic disks and other persistent memory. Volatile media include dynamic random access memory, which typically constitutes the main memory of the computer. Transmission media include coaxial cables, copper wire and fiber optics, including the wires that comprise a system bus coupled to the processor. Transmission media may include or convey acoustic waves, light waves and electromagnetic emissions, such as those generated during radio frequency (RF) and infrared (IR) data communications.

[0095] The machine-readable medium may include, but is not limited to, floppy diskettes, optical discs, CD-ROMs, magneto-optical disks, ROMs, RAMs, erasable programmable read-only memories (EPROMs), electrically erasable programmable read-only memories (EEPROMs), magnetic or optical cards, flash memory, or other type of media/machine-readable medium suitable for storing electronic instructions.

Moreover, embodiments herein may also be downloaded as a computer program product, wherein the program may be transferred from a remote computer to a requesting computer by way of data signals embodied in a carrier wave or other propagation medium via a communication link (*e.g.*, modem or network connection).

[0096] Various forms of computer readable media may be involved in carrying data (*e.g.* sequences of instructions) to a processor. For example, data may be (i) delivered from RAM to a processor; (ii) carried over a wireless transmission medium; (iii) formatted and/or transmitted according to numerous formats, standards or protocols; and/or (iv) encrypted in any of a variety of ways well known in the art.

[0097] A computer-readable medium can store (in any appropriate format) those program elements that are appropriate to perform the methods.

[0098] As shown, main memory **706** is encoded with application(s) **722** that supports the functionality as discussed herein (the application **722** may be an application that provides some or all of the functionality of the CD services described herein).

Application(s) **722** (and/or other resources as described herein) can be embodied as software code such as data and/or logic instructions (*e.g.*, code stored in the memory or on another computer readable medium such as a disk) that supports processing functionality according to different embodiments described herein.

[0099] During operation of one embodiment, processor(s) **704** accesses main memory **706** via the use of bus **702** in order to launch, run, execute, interpret or otherwise perform the logic instructions of the application(s) **722**. Execution of application(s) **722** produces processing functionality of the service related to the application(s). In other words, the process(es) **724** represent one or more portions of the application(s) **722** performing within or upon the processor(s) **704** in the computer system **700**.

[00100] It should be noted that, in addition to the process(es) **724** that carries (carry) out operations as discussed herein, other embodiments herein include the application **722** itself (*i.e.*, the un-executed or non-performing logic instructions and/or data). The application **722** may be stored on a computer readable medium (*e.g.*, a repository) such as a disk or in an optical medium. According to other embodiments, the application **722** can also be stored in a memory type system such as in firmware, read only memory (ROM), or, as in this example, as executable code within the main memory **706** (*e.g.*, within

Random Access Memory or RAM). For example, application 722 may also be stored in removable storage media 710, read-only memory 708 and/or mass storage device 712.

[00101] Those skilled in the art will understand that the computer system 700 can include other processes and/or software and hardware components, such as an operating system that controls allocation and use of hardware resources.

[00102] As discussed herein, embodiments of the present invention include various steps or operations. A variety of these steps may be performed by hardware components or may be embodied in machine-executable instructions, which may be used to cause a general-purpose or special-purpose processor programmed with the instructions to perform the operations. Alternatively, the steps may be performed by a combination of hardware, software, and/or firmware. The term “module” refers to a self-contained functional component, which can include hardware, software, firmware or any combination thereof.

[00103] One of ordinary skill in the art will readily appreciate and understand, upon reading this description, that embodiments of an apparatus may include a computer/computing device operable to perform some (but not necessarily all) of the described process.

[00104] Embodiments of a computer-readable medium storing a program or data structure include a computer-readable medium storing a program that, when executed, can cause a processor to perform some (but not necessarily all) of the described process.

[00105] Where a process is described herein, those of ordinary skill in the art will appreciate that the process may operate without any user intervention. In another embodiment, the process includes some human intervention (*e.g.*, a step is performed by or with the assistance of a human).

[00106] As used herein, including in the claims, the phrase “at least some” means “one or more,” and includes the case of only one. Thus, *e.g.*, the phrase “at least some services” means “one or more services”, and includes the case of one service.

[00107] As used herein, including in the claims, the phrase “based on” means “based in part on” or “based, at least in part, on,” and is not exclusive. Thus, *e.g.*, the phrase “based on factor X” means “based in part on factor X” or “based, at least in part, on

factor X.” Unless specifically stated by use of the word “only”, the phrase “based on X” does not mean “based only on X.”

[00108] As used herein, including in the claims, the phrase “using” means “using at least,” and is not exclusive. Thus, *e.g.*, the phrase “using X” means “using at least X.” Unless specifically stated by use of the word “only”, the phrase “using X” does not mean “using only X.”

[00109] In general, as used herein, including in the claims, unless the word “only” is specifically used in a phrase, it should not be read into that phrase.

[00110] As used herein, including in the claims, the phrase “distinct” means “at least partially distinct.” Unless specifically stated, distinct does not mean fully distinct. Thus, *e.g.*, the phrase, “X is distinct from Y” means that “X is at least partially distinct from Y,” and does not mean that “X is fully distinct from Y.” Thus, as used herein, including in the claims, the phrase “X is distinct from Y” means that X differs from Y in at least some way.

[00111] As used herein, including in the claims, a list may include only one item, and, unless otherwise stated, a list of multiple items need not be ordered in any particular manner. A list may include duplicate items. For example, as used herein, the phrase “a list of CDN services” may include one or more CDN services.

[00112] It should be appreciated that the words “first” and “second” in the description and claims are used to distinguish or identify, and not to show a serial or numerical limitation. Similarly, the use of letter or numerical labels (such as “(a)”, “(b)”, and the like) are used to help distinguish and / or identify, and not to show any serial or numerical limitation or ordering.

[00113] No ordering is implied by any of the labeled boxes in any of the flow diagrams unless specifically shown and stated. When disconnected boxes are shown in a diagram, the activities associated with those boxes may be performed in any order, including fully or partially in parallel.

[00114] While the invention has been described in connection with what is presently considered to be the most practical and preferred embodiments, it is to be understood that the invention is not to be limited to the disclosed embodiment, but on the contrary, is

intended to cover various modifications and equivalent arrangements included within the spirit and scope of the appended claims.

CLAIMS

We claim:

1. A computer-implemented method, operable on a device in a content
5 delivery network (CDN), wherein said CDN delivers content on behalf of at least
one content provider, and wherein the content associated with said at least one
content provider comprises at least one property, said device implementing a
content delivery (CD) service, the method comprising, by said service on said
device:

10 (A) maintaining property invalidation information on said CD service,
said property invalidation information comprising, for each property handled by
said CD service, a list of one or more group invalidation commands;

(B) in response to receipt by said CD service of at least one new group
15 invalidation command for a particular property, attempting to add said new group
invalidation command to said property invalidation information by:

(B)(1) if a size of said list of one or more group invalidation
commands for said particular property does not exceed a particular threshold, then
inserting said new group invalidation command into said list; otherwise,

(B)(2) if a size of said list of one or more group invalidation
20 commands for said particular property exceeds a particular threshold, then (i)
merging one or more invalidation commands to form a merged invalidation
command, (ii) inserting said merged invalidation command into said list, and (iii)
removing said one or more invalidation commands from said list, wherein said
merging is based on a length of common prefix of the invalidation commands
25 being merged.

2. The method of claim 1 further comprising:

(C) maintaining, on said CD service, a per property common prefix list for invalidation commands in each property list, and wherein said merging uses said common prefix list to determine which invalidation commands to merge.

5

3. The method of claim 2 wherein said per property common prefix list is sorted from smallest impact to largest impact, based on one or more impact assumptions.

10

4. The method of claim 2 wherein, when a new invalidation pattern is received, the new pattern is checked against the existing list of patterns and if a common prefix is found, then the common prefix is selectively added to said common prefix list.

15

5. The method of claim 1 wherein group invalidation commands comprise constraint patterns.

6. The method of claim 5 wherein the constraint patterns comprise glob patterns.

20

7. The method of claim 1 further comprising:

(D) in response to a request received at said CD service for a particular resource, if a copy of said particular resource is in a cache of said CD service, then:

25

(D)(1) determining, using said property invalidation information on said CD service, whether said particular resource is valid, and

(D)(2) based on said determining in (D)(1), when it is determined that said particular resource is not valid, obtaining a valid version of said particular resource and basing a response to said request on said valid version of said particular resource.

5

8. The method of claim 1 wherein said list of one or more group invalidation commands comprises a data structure that maintains the prefix list in a sorted order.

10

9. The method of claim 8 wherein the data structure that maintains the prefix list sorted from smallest impact to largest impact.

15

10. The method of claim 1 wherein each invalidation command in said list of one or more group invalidation commands comprises a constraint and a minimum origin version (MOV) value, and wherein said list of one or more group invalidation commands is a MOV-ordered list.

20

11. The method of claim 10 wherein said merging of one of more invalidation commands in (B)(2)(i), comprises determining an MOV value for the merged invalidation command based on MOV values of the one of more invalidation commands being merged.

25

12. The method of claim 11 wherein the MOV value for the merged invalidation command is set to a maximum of the MOV values of the one of more invalidation commands being merged.

13. The method of claim 10 wherein the constraint of the merged
invalidation command was already in the list prior the merging.

14. The method of claim 13 wherein the constraint of the merged
invalidation command was a constraint of one of the one of more invalidation
commands being merged in (B)(2)(i).

15. An article of manufacture comprising a computer-readable medium
having program instructions stored thereon, the program instructions, operable on
a computer system in a content delivery network (CDN), wherein said CDN
delivers content on behalf of at least one content provider, and wherein the content
associated with said at least one content provider comprises at least one property,
said device implementing a content delivery (CD) service, wherein execution of
the program instructions by one or more processors of said computer system
causes the one or more processors to carry out the acts of:

(A) maintaining property invalidation information on said CD service,
said property invalidation information comprising, for each property handled by
said CD service, a list of one or more group invalidation commands;

(B) in response to receipt by said CD service of at least one new group
invalidation command for a particular property, attempting to add said new group
invalidation command to said property invalidation information by:

(B)(1) if a size of said list of one or more group invalidation
commands for said particular property does not exceed a particular threshold, then
inserting said new group invalidation command into said list; otherwise,

(B)(2) if a size of said list of one or more group invalidation
commands for said particular property exceeds a particular threshold, then (i)
merging one or more invalidation commands to form a merged invalidation
command, (ii) inserting said merged invalidation command into said list, and (iii)

removing said one or more invalidation commands from said list, wherein said merging is based on a length of common prefix of the invalidation commands being merged.

5 16. A device in a content delivery network (CDN), wherein said CDN delivers content on behalf of at least one content provider, and wherein the content associated with said at least one content provider comprises at least one property, said device implementing a content delivery (CD) service, the device:

10 (A) maintaining property invalidation information on said CD service, said property invalidation information comprising, for each property handled by said CD service, a list of one or more group invalidation commands;

 (B) in response to receipt by said CD service of at least one new group invalidation command for a particular property, attempting to add said new group invalidation command to said property invalidation information by:

15 (B)(1) if a size of said list of one or more group invalidation commands for said particular property does not exceed a particular threshold, then inserting said new group invalidation command into said list; otherwise,

 (B)(2) if a size of said list of one or more group invalidation commands for said particular property exceeds a particular threshold, then (i) merging one or more invalidation commands to form a merged invalidation command, (ii) inserting said merged invalidation command into said list, and (iii) removing said one or more invalidation commands from said list, wherein said merging is based on a length of common prefix of the invalidation commands being merged.

25

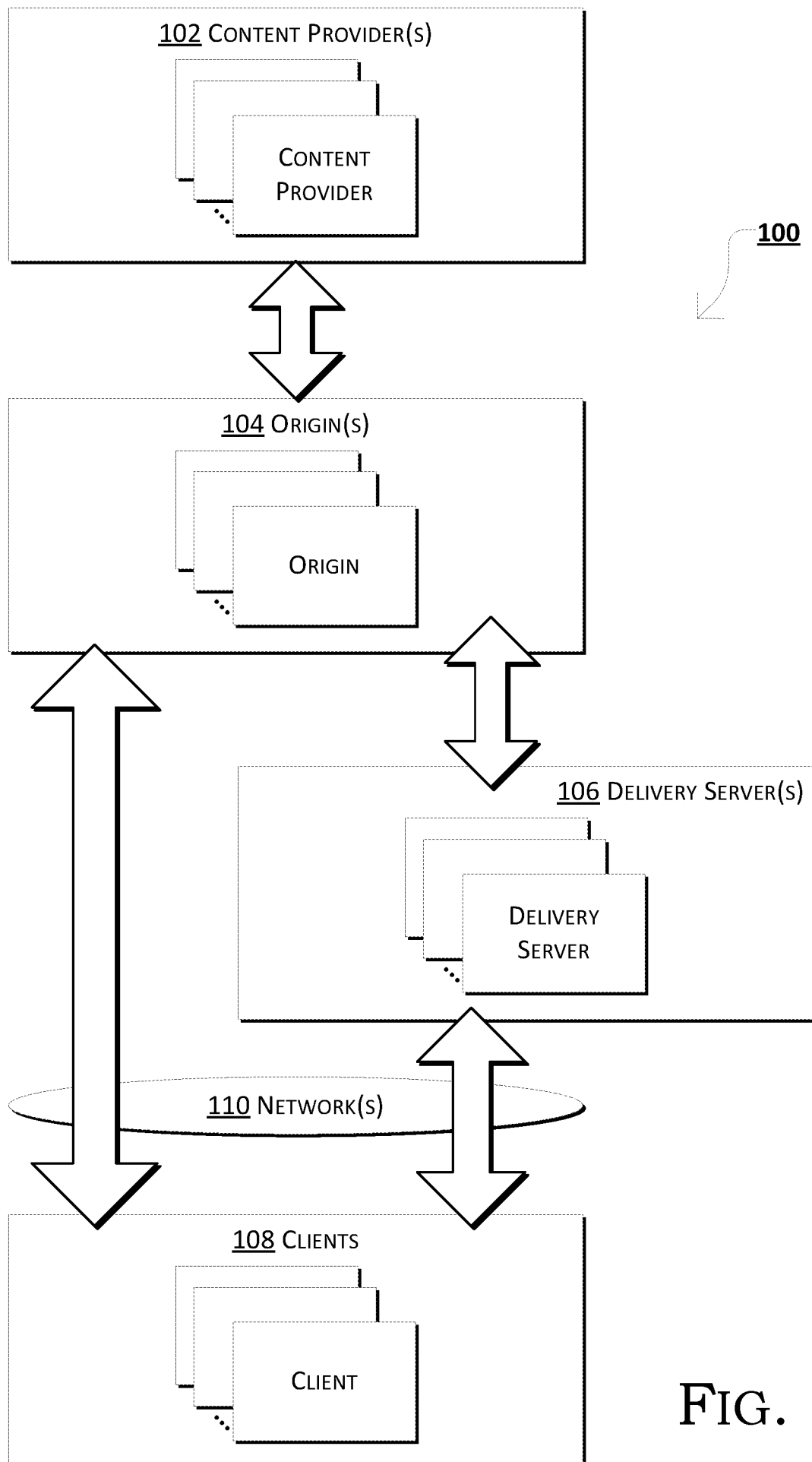
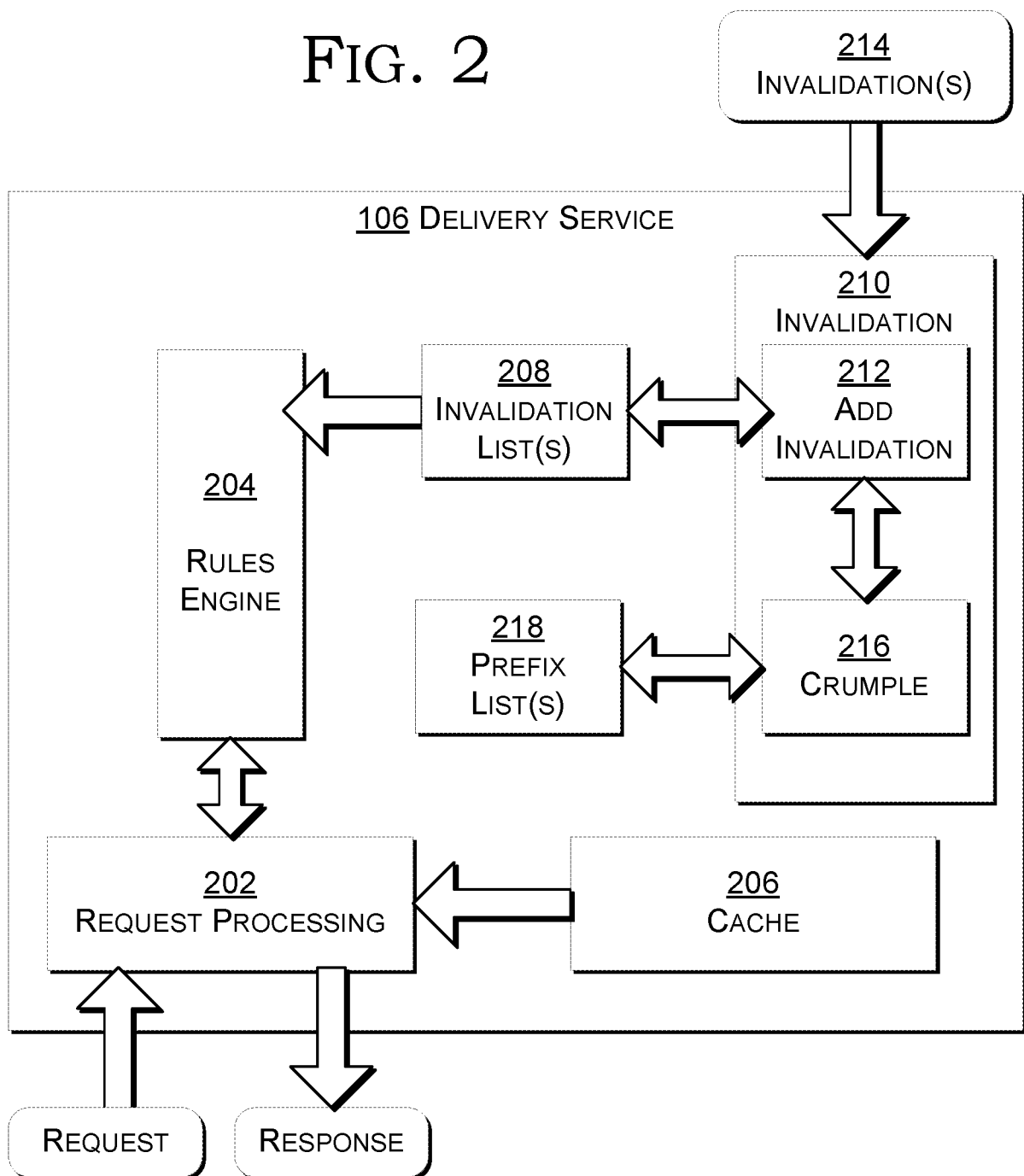


FIG. 1

FIG. 2



PROPERTY	INVALIDATION LIST(S)
P1	INVALIDATION LIST FOR P1
⋮	⋮
PN	INVALIDATION LIST FOR PN

IC1	IC2	IC3		ICm
-----	-----	-----	--	-----

FIG. 3

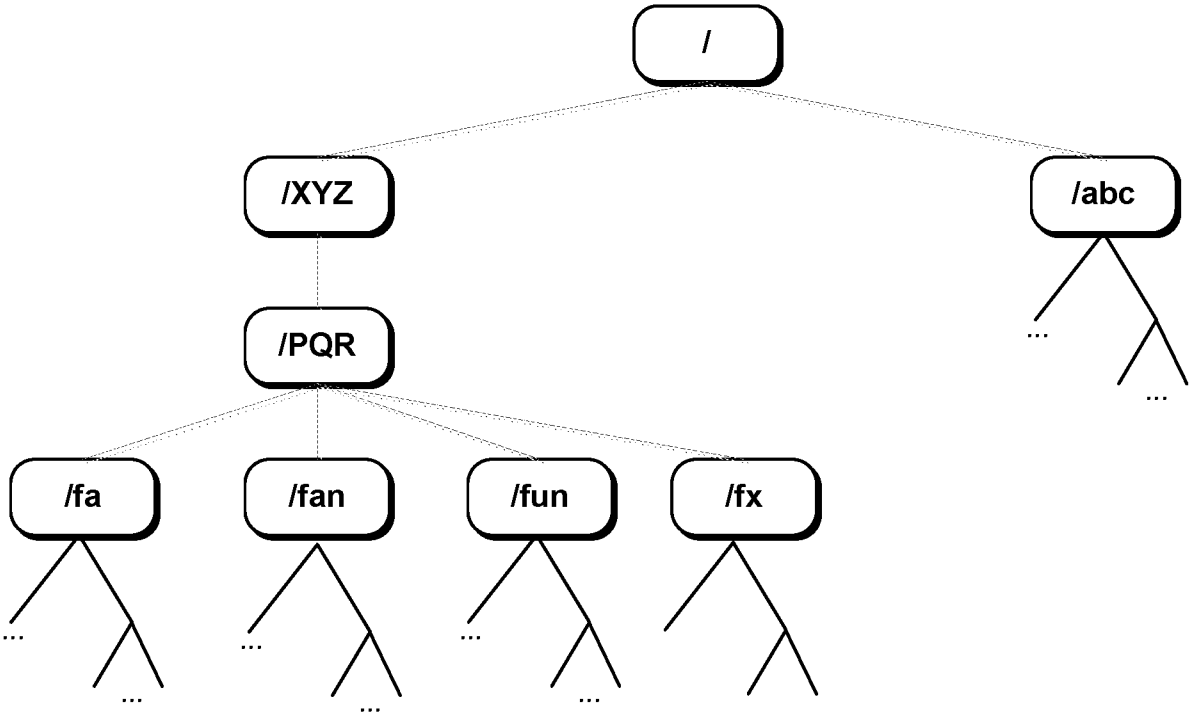


FIG. 4

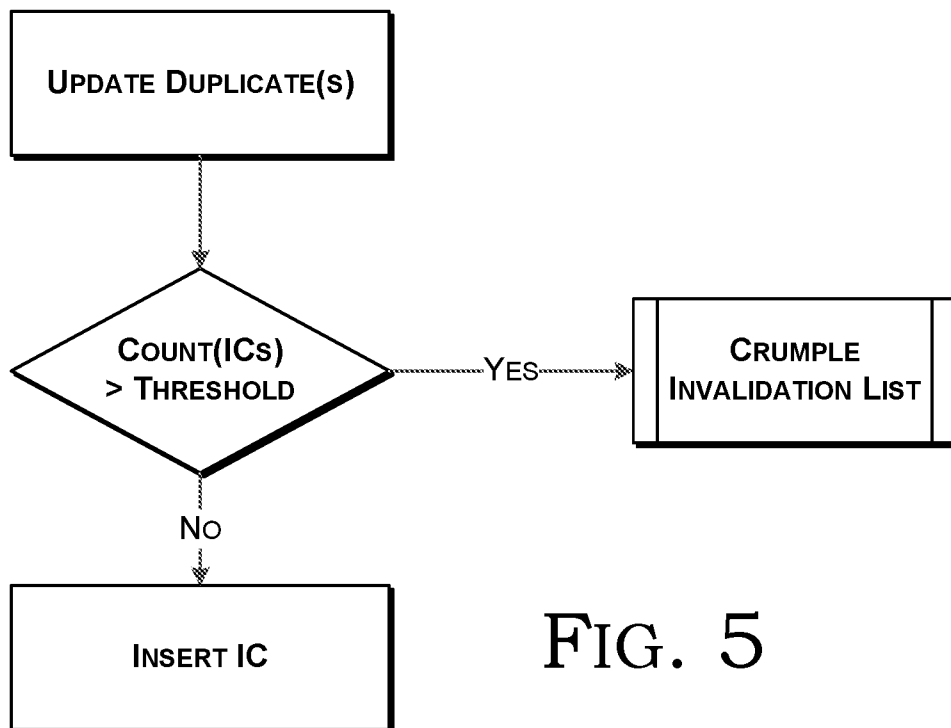
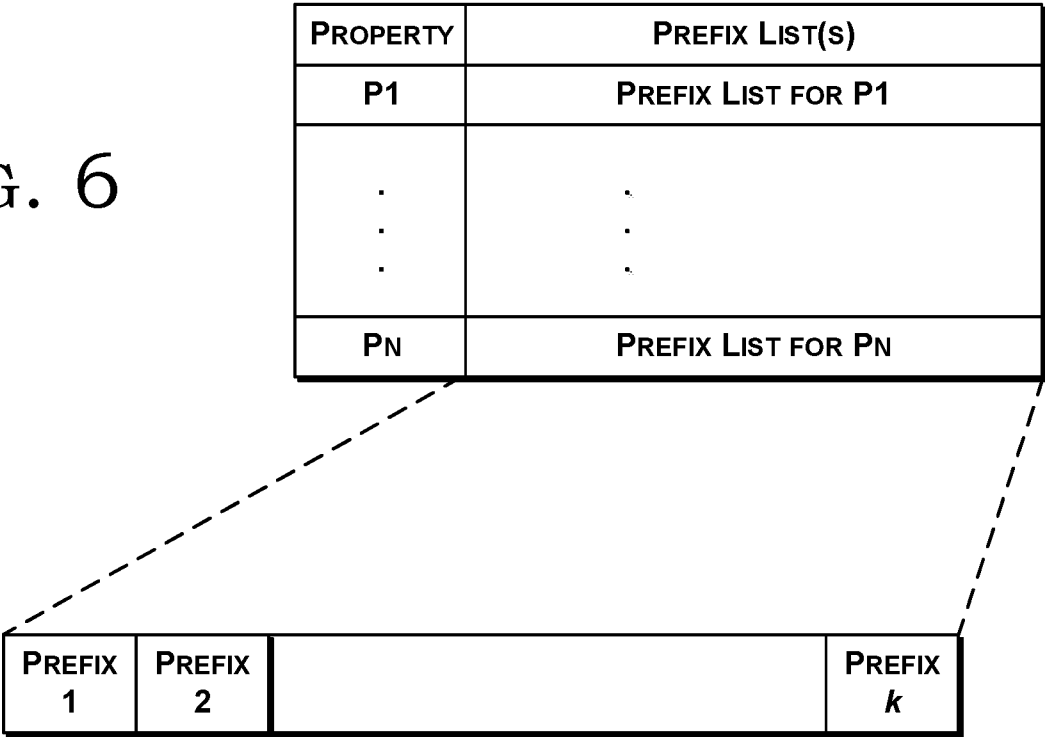


FIG. 5

FIG. 6



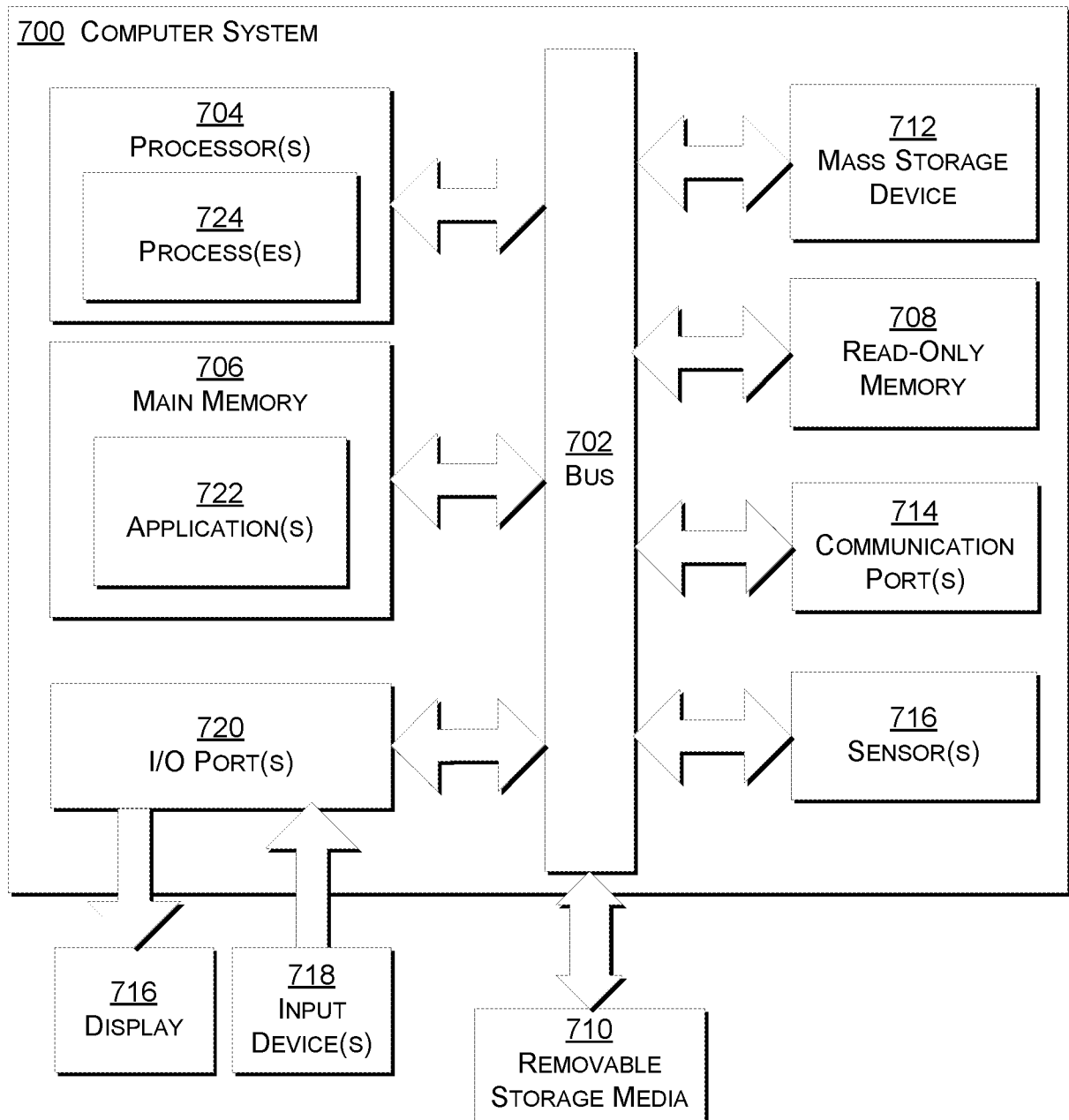


FIG. 7

INTERNATIONAL SEARCH REPORT		International application No. PCT/US17/12048		
A. CLASSIFICATION OF SUBJECT MATTER IPC: G06F 15/16(2006.01),15/167(2006.01),15/173(2006.01),13/00(2006.01),13/28(2006.01) CPC: 709/219; 711/113, 115 According to International Patent Classification (IPC) or to both national classification and IPC				
B. FIELDS SEARCHED				
Minimum documentation searched (classification system followed by classification symbols) CPC : 709/219; 711/113, 115				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)				
C. DOCUMENTS CONSIDERED TO BE RELEVANT				
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
A	US 5,434,994 A (SHAHEEN et al) 18 July 1995 (18.07.1995), see entire documents.	1-16		
A	US 6,981,105 B1 (BOURNE et al) 27 December 2005 (27.12.2005), see entire documents.	1-16		
A	US 8,412,823 B1 (RICHARDSON et al) 02 April 2013 (02.04.2013), see entire documents.	1-16		
A	US 2004/0068622 A1 (Van Doren et al) 08 April 2004 (08.04.2004), see entire documents.	1-16		
A	US 2004/0193656 A1 (PIZZO et al) 30 September 2004 (30.09.2004), see entire documents.	1-16		
A	US 2007/0156876 A1 (SUNDARRAJAN et al) 05 July 2007 (05.07.2007), see entire documents.	1-16		
A	US 2012/0221767 A1 (POST et al) 30 August 2012 (30.08.2012), see entire documents.	1-16		
☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.				
<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> * Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed </td> <td style="width: 50%; vertical-align: top;"> "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family </td> </tr> </table>			* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family
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Date of the actual completion of the international search 31 January 2017 (31.01.2017)		Date of mailing of the international search report 17 FEB 2017		
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