



(86) Date de dépôt PCT/PCT Filing Date: 1998/04/01
(87) Date publication PCT/PCT Publication Date: 1998/10/08
(45) Date de délivrance/Issue Date: 2011/02/08
(85) Entrée phase nationale/National Entry: 1999/09/21
(86) N° demande PCT/PCT Application No.: US 1998/006528
(87) N° publication PCT/PCT Publication No.: 1998/043670
(30) Priorité/Priority: 1997/04/01 (US831,073)

(51) Cl.Int./Int.Cl. *A61K 39/39* (2006.01),
C07H 13/06 (2006.01)
(72) Inventeur/Inventor:
CRANE, R. THOMAS, US
(73) Propriétaire/Owner:
CORIXA CORPORATION, US
(74) Agent: MACRAE & CO.

(54) Titre : COMPOSITIONS D'ADJUVANT IMMUNOLOGIQUE AQUEUSES DE MONOPHOSPHORYLE LIPIDE A
(54) Title: AQUEOUS IMMUNOLOGIC ADJUVANT COMPOSITIONS OF MONOPHOSPHORYL LIPID A

(57) **Abrégé/Abstract:**

An aqueous adjuvant composition comprising an attenuated lipid A derivative and a surfactant or surfactants enhances the immunological response in a warm blooded animal to a protein antigen. Attenuated lipid A derivatives useful according to the subject invention include monophosphoryl lipid A and 3-O-deacylated monophosphoryl lipid A. A surfactant or mixtures of surfactants are dissolved in a solvent. 1,2-Dipalmitoyl-sn-glycero-3-phosphocholine is a preferred surfactant. The dissolved surfactant is added to an attenuated lipid A derivative to obtain a mixture. The molar ratio of attenuated lipid A derivative to surfactant in the mixture is about 4:1. The solvent is evaporated and water is added to the resulting film. The suspension is sonicated in a 60 °C water bath until it becomes clear. Animals administered the adjuvant formulation exhibited increased antibody responses to a given antigen as well as displayed enhanced lymphocyte proliferative and cytotoxic T-lymphocyte responses. Intranasal administration of the aqueous adjuvant composition and an antigen stimulates the production of serum and mucosal secreted IgA.

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : A61K 39/39	A3	(11) International Publication Number: WO 98/43670 (43) International Publication Date: 8 October 1998 (08.10.98)
(21) International Application Number: PCT/US98/06528 (22) International Filing Date: 1 April 1998 (01.04.98) (30) Priority Data: 08/831,073 1 April 1997 (01.04.97) US (71) Applicant: RIBI IMMUNOCHEM RESEARCH, INC. [US/US]; 553 Old Corvallis Road, Hamilton, MT 59840 (US). (72) Inventor: CRANE, R., Thomas; 225 Nighthawk Lane, Hamilton, MT 59840 (US). (74) Agents: KULLICK, Ronald, H.; Ribi ImmunoChem Research, Inc., 553 Old Corvallis Road, Hamilton, MT 59840 (US) et al.		(81) Designated States: AL, AU, BA, BB, BG, BR, CA, CN, CU, CZ, EE, GE, GW, HU, ID, IL, IS, JP, KP, KR, LC, LK, LR, LT, LV, MG, MK, MN, MX, NO, NZ, PL, RO, SG, SI, SK, SL, TR, TT, UA, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> (88) Date of publication of the international search report: 30 December 1998 (30.12.98)
(54) Title: AQUEOUS IMMUNOLOGIC ADJUVANT COMPOSITIONS OF MONOPHOSPHORYL LIPID A (57) Abstract <p>An aqueous adjuvant composition comprising an attenuated lipid A derivative and a surfactant or surfactants enhances the immunological response in a warm blooded animal to a protein antigen. Attenuated lipid A derivatives useful according to the subject invention include monophosphoryl lipid A and 3-O-deacylated monophosphoryl lipid A. A surfactant or mixtures of surfactants are dissolved in a solvent. 1,2-Dipalmitoyl-sn-glycero-3-phosphocholine is a preferred surfactant. The dissolved surfactant is added to an attenuated lipid A derivative to obtain a mixture. The molar ratio of attenuated lipid A derivative to surfactant in the mixture is about 4:1. The solvent is evaporated and water is added to the resulting film. The suspension is sonicated in a 60 °C water bath until it becomes clear. Animals administered the adjuvant formulation exhibited increased antibody responses to a given antigen as well as displayed enhanced lymphocyte proliferative and cytotoxic T-lymphocyte responses. Intranasal administration of the aqueous adjuvant composition and an antigen stimulates the production of serum and mucosal secreted IgA.</p>		

DESCRIPTIONAqueous Immunologic Adjuvant Compositions
of Monophosphoryl Lipid A

5

Background of the Invention

10 The compounds monophosphoryl lipid A (MLA) and 3-O-deacylated
monophosphoryl lipid A (3D-MLA) are attenuated derivatives of the lipid A
component of bacterial lipopolysaccharide (LPS). LPS and lipid A are potent
immunostimulants inducing both a humoral antibody response and a cell-mediated
immune response in patients administered the compounds. Lipid A and LPS however
15 can also display toxic side-effects such as pyrogenicity and local Shwarzman reactions.
MLA and 3D-MLA are lipid A-like molecules that have been modified to attenuate the
toxicity of LPS.

 Like lipid A, the MLA and 3D-MLA molecules have a sugar backbone onto
which long chain fatty acids are attached. The backbone is comprised of two six
20 carbon sugar rings in glycosidic linkage. MLA and 3D-MLA are phosphorylated at the
4 position. Five to eight long chain fatty acids (12-14 carbons) are attached to the
sugar backbone making MLA and 3D-MLA very hydrophobic molecules which are not
readily water soluble.

 The attenuated lipid A derivatives (ALDs) MLA and 3D-MLA are used as
25 immunologic adjuvants in prophylactic vaccines for infectious disease and therapeutic
vaccines for the treatment of cancerous tumors and chronic infections. Antigen
preparations included in most vaccines are often complicated mixtures of water-soluble
proteins making it difficult to formulate the water insoluble adjuvant in a water based
vaccine. Therefore, MLA and 3D-MLA must be first mixed with solvents before they
30 are added to the antigen preparation. However, the presence of solvents can further
complicate the formulation of the vaccine, and in some cases can reduce the efficiency

of its components. Further, solvents can irritate mucosal surfaces or cause inflammation at an injection site. A simple formulation of MLA or 3D-MLA containing no interfering co-solvents would allow maximum benefits to be derived from both the adjuvant and the antigen in a vaccine composition. The instant invention satisfies this need.

Summary of the Invention

The subject invention involves an aqueous formulation of an attenuated lipid A derivative (ALD) and a surfactant and methods for its preparation. Attenuated lipid A derivatives useful according to the subject invention include monophosphoryl lipid A (MLA) and 3-O-deacylated monophosphoryl lipid A (3D-MLA). Aqueous formulations of MLA (MLA/AF) or 3D-MLA (3D-MLA/AF) eliminate the need for undesirable solvents or a co-solvent system for vaccine preparation. The invention provides a stable aqueous composition of the ALD and a surfactant which when administered to mice with an antigen, enhances the cellular and humoral immune response of the animal to that antigen. Surprisingly, the aqueous formulation of the present invention induces high levels of serum and mucosal secreted IgA in immunized animals when administered intranasally. An embodiment of the claimed aqueous composition comprises a MLA or 3D-MLA to surfactant molar ratio of about 4:1 and has a particle size of approximately 50-70 nm. 1,2-Dipalmitoyl-sn-glycero-3-phosphocholine (DPPC) is a preferred surfactant.

A method of preparing the aqueous composition is disclosed. In one embodiment the ALD and the surfactant are dissolved and uniformly admixed in ethanol. The ethanol is then evaporated leaving a film. Water is added to the film. The ALD and surfactant are suspended in the water by sonication. The suspension is sonicated until clear. Animals administered the claimed composition with an antigen display enhanced humoral and cellular immune responses to that antigen. Methods for using the composition to enhance these responses are also disclosed and claimed.

2a

In accordance with one aspect of the present invention, there is provided an adjuvant composition comprising an adjuvant in water which further contains a surfactant which is 1,2-Dipalmitoyl-sn-glycero-3-phosphocholine, characterised in that the adjuvant consists of an attenuated lipid A derivative selected from the group consisting of monophosphoryl lipid A (MLA) and 3-O-deacylated monophosphoryl lipid A (3D-MLA), the water is free of co-solvent and in that the molar ratio of the MLA or 3D-MLA to the surfactant is from about 10:1 to 2:1.

In accordance with another aspect of the present invention, there is provided a method of making an aqueous adjuvant composition, comprising: a) dissolving a surfactant in a solvent, the surfactant being 1,2-Dipalmitoyl-sn-glycero-3-phosphocholine; b) mixing the dissolved surfactant with an attenuated lipid A derivative (ALD) selected from the group consisting of monophosphoryl lipid A (MLA) and 3-O-deacylated monophosphoryl lipid A (3D-MLA); c) evaporating the solvent from the resulting mixture; d) adding water to the evaporated mixture, to obtain a suspension of the ALD in water in a molar ratio (ALD:surfactant) of from about 10:1 to 2:1; and e) heating and sonicating the suspension until clear.

In accordance with a further aspect of the present invention, there is provided the use, for the manufacture of a medicament which is a clear, co-solvent free, aqueous liquid, of MLA or 3D-MLA, water and a surfactant which is 1,2-Dipalmitoyl-sn-glycero-3-phosphocholine, the MLA or 3D-MLA being in a molar ratio to the surfactant of from about 10:1 to 2:1.

Brief Description of the Figures

Figure 1 a-d show the antibody titers of mice administered tetanus toxoid (TT) antigen in 3-O-deacylated monophosphoryl lipid A-aqueous formula (3D-MLA/AF) ★ or tetanus toxoid antigen in saline ◇. Figure 1a shows the total IgG antibody titers of mice administered the tetanus toxoid antigen. Figure 1b shows the IgG2a antibody titers of mice administered the tetanus toxoid antigen. Figure 1c shows the IgG2b antibody titers of mice administered the tetanus toxoid antigen and Figure 1d shows the IgG1 antibody titers for the animals.

Figure 2 shows the T-cell proliferative response in mice immunized with a purified protein derivative. The proliferative response in mice administered tetanus toxoid in 3D-MLA/AF ★ and normal controls◇ are shown 14 days post primary vaccination.

Detailed Description of the Invention

The subject invention involves an aqueous adjuvant formulation of an attenuated lipid A derivative (ALD). The ALD and a surfactant are suspended in water in a molar ratio of approximately 4:1 and sonicated to yield a suspension having a particle size of approximately 50-70 nm.

In accordance with the present invention, an attenuated lipid A derivative can be formulated into an aqueous composition to provide a potent adjuvant. An attenuated lipid A derivative is a lipid A-like compound which displays the advantageous immunostimulatory properties of lipid A yet exhibits less of the adverse side effects of that compound. For example, monophosphoryl lipid A (MLA) and 3-O-deacylated monophosphoryl lipid A (3D-MLA) are ALDs that are potent immunostimulants but are surprisingly less toxic than lipid A. Both MLA and 3D-MLA can be used in the compositions of the subject invention and are known and need not be described in detail herein. See for example U.S. Patent No. 4,436,727 issued March 13, 1984, assigned to Ribit ImmunoChem Research, Inc., which discloses monophosphoryl lipid A and its manufacture. U.S. Patent No. 4,912,094 and reexamination certificate B1 4,912,094 to Myers, *et al.*, also assigned to Ribit ImmunoChem Research, Inc., embodies 3-O-deacylated monophosphoryl lipid A and a

method for its manufacture.

Without going into the details of the prior patents, monophosphoryl lipid A (MLA) as used herein is derived from lipid A, a component of enterobacterial lipopolysaccharides (LPS), a potent but highly toxic immune system modulator. Edgar Ribí and his associates achieved the production of monophosphoryl lipid A (MLA) referred to originally as refined detoxified endotoxin. MLA is produced by refluxing an endotoxin extract (LPS or lipid A) obtained from heptoseless mutants of gram-negative bacteria in mineral acid solutions of moderate strength (e.g. 0.1 N HCl) for a period of approximately 30 minutes. This treatment results in the loss of the phosphate moiety at position 1 of the reducing end glucosamine.

Coincidentally, the core carbohydrate is removed from the 6 position of the non-reducing glucosamine during this treatment. The resulting product (MLA) exhibits considerably attenuated levels of the endotoxic activities normally associated with the endotoxin starting material, such as pyrogenicity, local Shwarzman reactivity, and toxicity as evaluated in the chick embryo 50% lethal dose assay (CELD₅₀). However, it unexpectedly retains the functionality of lipid A and LPS as an immunomodulator.

Another attenuated lipid A derivative which may be utilized in the practice of the present invention is referred to as 3-O-deacylated monophosphoryl lipid A (3D-MLA). 3D-MLA is known as set forth in U.S. patent No. 4,912,094, reexamination certificate B1 4,912,094 (the '094 patent), and differs from MLA in that there is selectively removed from the MLA molecule the β -hydroxymyristic acyl residue that is ester linked to the reducing-end glucosamine at position 3 under conditions that do not adversely affect the other groups. 3-O-deacylated monophosphoryl lipid A is available from Ribí ImmunoChem Research, Inc., Hamilton, Montana 59840.

The MLA and 3D-MLA molecules are a composite or mixture of a number of fatty acid substitution patterns, i.e., heptaacyl, hexaacyl, pentaacyl, etc., with varying fatty acid chain lengths. Thus, these various forms of MLA and 3D-MLA are encompassed by this invention. Further, mixtures of forms of a compound as well as individual compounds produced by synthetic or semisynthetic means are encompassed

by this invention. The lipid A backbone that is illustrated in the --094 patent corresponds to the product that is obtained by 3-deacylation of heptaacyl lipid A from *S. minnesota* R 595. Other fatty acid substitution patterns are encompassed by this disclosure; the essential feature is that the material be 3-O-deacylated.

5 The modified 3D-MLA utilized in the present invention is prepared by subjecting MLA to alkaline hydrolysis under conditions that result in the loss of but a single fatty acid from position 3 of the lipid A backbone. β -hydroxymyristic fatty acid at position 3 is unusually labile in alkaline media. It requires only very mild alkaline treatment to completely 3-deacylate lipid A. The other ester linkages in lipid A require
10 somewhat stronger conditions before hydrolysis will occur so that it is possible to selectively deacylate these materials at position 3 without significantly affecting the rest of the molecule. The reason for the unusual sensitivity to alkaline media of the ester-linked β -hydroxymyristic fatty acid at position 3 is not known at this time.

 Although alkaline hydrolysis procedures are known, it is important to choose
15 conditions that do not cause further hydrolysis beyond the ester linkage to the β -hydroxymyristic at position 3. In general the hydrolysis can be carried out in aqueous or organic media. In the latter case, solvents include methanol (alcohols), dimethyl sulfoxide (DMSO), dimethylformamide (DMF), chloroform, dichloromethane, and the like, as well as mixtures thereof. Combinations of water and one or more of the
20 mentioned organic solvents also can be employed.

 The alkaline base can be chosen from among various hydroxides, carbonates, phosphates and amines. Illustrative bases include the inorganic bases such as sodium hydroxide, potassium hydroxide, sodium carbonate, potassium carbonate, sodium bicarbonate, potassium bicarbonate, and the like, and organic bases such as alkyl
25 amines, and include, but are not limited to, diethylamine, triethylamine, and the like.

 In aqueous media the pH is typically between approximately 10 and 14 with a pH of about 12 to about 13.5 being the preferred range. The hydrolysis reaction is typically carried out at a temperature of from about 20°C to about 80°C, preferably about 50°C to 60°C for a period of about 10 to about 30 minutes. For example, the
30 hydrolysis can be conducted in 3% triethylamine in water at room temperature (22°-25°C) for a period of 48 hours. The only requirement in the choice of temperature and

time of hydrolysis is that deacylation occurs to remove only the β -hydroxymyristic at position 3.

In practice it has been found that a particularly desirable hydrolysis method involves dissolving lipid A or monophosphoryl lipid A in chloroform:methanol 2:1 (v/v), saturating this solution with an aqueous buffer consisting of 0.5 M Na_2CO_3 at pH 10.5, and then flash evaporating the solvent at 45°-50°C under a vacuum or an aspirator (approximately 100 mm Hg). The resulting material is selectively deacylated at position 3. This process can also be carried out with any of the inorganic bases listed above. The addition of a phase transfer catalyst, such as tetrabutyl ammonium bromide, to the organic solution prior to saturation with the aqueous buffer may be desirable in some cases.

In preparing the composition of the subject invention, generally, the attenuated lipid A derivative (ALD) is combined with the surfactant each being dissolved in a solvent. The solvent is evaporated leaving a film. Water is added to the film and the resulting suspension is sonicated while heated until clear. The final suspension has a particle size of approximately 40-150 nm and preferably from about 50 to about 70 nm.

The ALD and surfactant are combined at a molar ratio of about 10 parts ALD to from about 1 part to about 5 parts surfactant. Preferably, the components are combined in a molar ratio of about 4 parts ALD to 1 part surfactant. Surfactants useful according to the subject invention include but are not limited to bile salts, natural phospholipids and sphingolipids. Bile salts such as glycodeoxycholate and deoxycholate are useful as surfactants in the claimed compositions. Other suitable surfactants include sphingolipids such as sphingomyelin and sphingosine and phospholipids such as egg phosphatidylcholine, 1,2-Dimyristoyl-sn-glycero-3-phosphoethanolamine, L- α -Phosphatidylethanolamine, and 1,2-Dipalmitoyl-sn-glycero-3-phosphocholine or mixtures thereof. In a preferred embodiment, the phospholipid 1,2-Dipalmitoyl-sn-glycero-3-phosphocholine (DPPC) is the surfactant. DPPC is accepted for use in humans and is especially effective when the formulation is administered intranasally.

The ALD and surfactant are dissolved and thoroughly admixed in a solvent.

Aqueous or organic solvents useful according to the subject invention include chloroform, alcohols (eg. ethanol), dimethyl sulfoxide (DMSO), dimethylformamide (DMF), and the like, as well as mixtures thereof.

5 The solvent is evaporated from the mixture of ALD and surfactant leaving a film. Water is added to the film and the resulting suspension is sonicated while heated until clear. It is preferred that the suspension be sonicated in a water bath sonicator. The water bath temperature can be from 40°C to 80°C and preferably about 60°C. The suspension can be sonicated for periods of 5 minutes to approximately one hour until clear. Periods of sonication will vary depending upon the volume and
10 concentration of the suspension but can be readily determined by one skilled in the art. The final suspension has a particle size of approximately 40-150 nm and preferably from about 50 to about 70 nm.

An effective amount of the composition of the subject invention is administered to a warm-blooded animal with an antigen to enhance the immune response of the
15 animal to that antigen. The composition of the subject invention enhances both the humoral immune response of an animal to an antigen as well as the cellular immune response. The amount of antigen administered to elicit the desired response can be readily determined by one skilled in the art and will vary with the type of antigen administered, route of administration and immunization schedules. For example, 0.1
20 µg of tetanus toxoid administered with the claimed composition subcutaneously to a mouse in two immunizations 21 days apart elicits a humoral immune response to that antigen. Administered intranasally, the composition of the subject invention and an antigen stimulate the production of cytotoxic T-lymphocytes. Hepatitis B surface antigen (2.5 µg) administered intranasally at days 0 and 21 in the claimed composition
25 stimulated the production of cytotoxic T-lymphocytes in immunized animals. Further, the composition of the subject invention is particularly effective in eliciting an IgA response in immunized animals when administered intranasally. Mice administered 0.5-12.5 µg of tetanus toxoid in an aqueous formulation of 3-O-deacylated monophosphoryl lipid A (3D-MLA/AF) displayed increased IgA titers to that antigen.
30 An effective amount of the composition of the subject invention is that amount which stimulates or enhances an immune response. For example, an effective amount of the

claimed composition can contain from 1 to about 250 micrograms of attenuated lipid A derivative and preferably from about 25 to about 50 micrograms based upon administration to a typical 70 kg adult patient.

5 The following examples are offered to further illustrate but not limit both the compositions and the method of the present invention. It is to be understood that the mouse models presented herein are representative of warm blooded animals and correlate reasonably with events for other warm blooded animals, including humans. All percentages are by weight and all solvent mixture proportions are by volume unless
10 otherwise noted.

Example 1-Preparation of an Aqueous Formulation of an Attenuated Lipid A Derivative.

15 An aqueous preparation of 3-O-deacylated monophosphoryl lipid A (3D-MLA/AF) according to the subject invention comprising 1000 µg/ml 3D-MLA (Ribi ImmunoChem Research, Inc., Hamilton, Montana 59840) , an attenuated form of lipid A from *Salmonella minnesota* R 595 and 118 µg/ml 1,2 Dipalmitoyl-sn-glycero-3-phosphocholine (DPPC) in Water for Injection was prepared as follows:

20 A solution of DPPC was prepared at a concentration of 4 mg/ml in ethanol and vortexed until clear. A 2.7 ml aliquot of the DPPC solution was added to a vial containing 100 mg lyophilized 3D-MLA and swirled gently to wet the 3D-MLA. The ethanol was removed by blowing a stream of filtered nitrogen gently into the vial. Water for Injection (91.7 ml) was added to the vial which was then stoppered, sealed and
25 suspended in a Labline 9303 water bath sonicator. The suspension was sonicated for 10 minutes at 60°C until clear. The resulting aqueous formulation contained particles of 70 nm measured by a PSC100 Spectrometer from Malvern Instruments and was filter sterilized
30 through a 0.2 µm filter.

Example 2-Stimulation of an Antibody Response.

Mice immunized with tetanus toxoid (TT) in the aqueous formulation of the subject invention generated tetanus toxoid specific antibody. The TT-specific total IgG titer and IgG isotypes (2a, 2b, 1) titers were measured by enzyme-linked immunosorbent assay (ELISA) in the sera of mice following immunization.

Female ICR mice were immunized with a dose of vaccine containing 0.1 μ g of tetanus toxoid (TT) + 50 μ g 3D-MLA/AF or 0.1 μ g TT in saline. 3D-MLA/AF was prepared as in Example 1. The vaccines were administered by subcutaneous injection on days 0 and 21. Serum was collected 14 days post secondary immunization and assayed by standard ELISA techniques to report the relative amounts of tetanus-toxoid specific antibody of IgG₁, IgG_{2a} and IgG_{2b} isotypes as well as total IgG.

Figure 1 shows the tetanus toxoid specific antibody titer generated by 3D-MLA/AF. 3D-MLA/AF when administered with the tetanus toxoid antigen stimulates the production of IgG antibody in immunized animals and in particular actively stimulates IgG_{2a} production.

Example 3-Stimulation of Cellular Proliferation.

Mice primed by immunization with the adjuvant composition of the subject invention and a purified protein derivative (PPD) (tuberculin) exhibited a proliferative response *in vitro* when spleen cells were treated with that antigen.

Female BALB/c mice were immunized by subcutaneous injection with a dose of vaccines containing 50 μ g PPD + 50 μ g 3D-MLA/AF. 3D-MLA/AF was prepared as in Example 1. Spleen cells were harvested 14 days after immunization and used as a source of lymphocytes in a proliferation assay. The spleen cells were cultured for 96 hr in microtiter wells at a concentration of 10^6 cells/ml in media containing 0.1, 1 or 10 μ g PPD/ml. Tritiated thymidine was added to the cultures during the final 24 hr of incubation. The cells were harvested on glass fiber filters and tritium incorporation was determined. Stimulation indices were determined by dividing counts per minute (CPM) of cells stimulated with PPD by the CPM of cells cultured in media alone. The resulting data are shown in Figure 2.

Example 4-Stimulation of a Cytotoxic T-lymphocyte Response.

The induction of a cytotoxic T-lymphocyte response after administration of the aqueous adjuvant composition of the subject invention and a protein antigen was detected by a cytotoxicity assay. Groups of C57/BL/6 mice were given a primary immunization subcutaneously (inguinal region) with 25 µg ovalbumin (OVA) formulated in 3D-MLA/AF. 3D-MLA/AF was prepared as in Example 1. The injected volume was 200 µl. Twenty-one days later three mice per experimental group were killed and spleens removed and pooled as single cell suspensions and counted.

Spleen cells (75 X 10⁶ cells in 3-4 ml media) from the experimental groups were placed in a 25 cm² T-flask. Next, 1.0 ml of irradiated (20,000 rads) E.G7 (OVA) cells at 5 X 10⁶/ml were added to the flask. The volume was brought to 10 ml. The cultures were maintained by placing the T-flasks upright in a 37°C, 5% CO₂ incubator for four days. On day 4 the surviving cells were recovered from the flasks, washed 1X, resuspended in 5.0 ml, and counted.

Recovered effector cells were adjusted to 5 X 10⁶ viable cells/ml and 100 µl volumes were diluted serially in triplicate in wells of 96 well round-bottom plates (Corning 25850) using 100 µl/well of media as a diluent. Next, 100 µl volumes of ⁵¹Cr-labelled (see below) targets [E.G7 (OVA)-an ovalbumin gene transfected EL-4 cell line] at 1 X 10⁵ cells/ml were added to the wells. Spontaneous release (SR) wells contained 100 µl of targets and 100 µl of media. Maximal release (MR) wells contained 100 µl of targets and 100 µl detergent (2% Tween™ 20). Effector/target (E/T) ratios were 50:1, 25:1, 12.5:1, 6.25:1. The plates were centrifuged at 400 Xg and incubated at 37°C, 5% CO₂ for 4 hr. After the incubation the well supernatants were collected using a Skatron Supernatant Collection System.

Percent specific lysis=

$$100 \times \left[\frac{(\text{Exp. Release} - \text{SR})}{(\text{MR} - \text{SR})} \right]$$

Target cells, E.G7 (OVA), were labelled with ⁵¹Cr (sodium chromate) as follows. In a total volume of 1.0 ml were mixed 5 X 10⁶ target cells and 250 µCi ⁵¹Cr

in 15 ml conical tube. The cell suspensions was incubated in a 37°C water bath for 90 min., with gentle mixing every 15 min. After incubation the labelled cells were washed 3X by centrifugation and decanting with 15 ml volumes of media. After the third centrifugation the cells were resuspended in 10 ml of fresh media and allowed to stand at room temperature for 30 min. and then centrifuged. The cells were finally resuspended in media to 1×10^5 cells/ml. The results of the cytotoxicity assay are presented in Table 1.

Table 1

		% Cytotoxicity (^{51}Cr -release)			
		<u>Effector: Target Ratio</u>			
10	<u>Material</u>	<u>50:1</u>	<u>25:1</u>	<u>12.5:1</u>	<u>6.25:1</u>
	PBS*	13	10	7	2
	3D-MLA/AF	61	60	59	45
	Non-immune spleen cells	8	4	2	2
	*phosphate buffered saline				

Example 5-Stimulation of an Antibody Response by Intranasal Administration of the Aqueous ALD formulation.

Mice administered tetanus-toxoid (TT) in 3D-MLA/AF intranasally produced IgA titers detectable in both serum and fecal extracts. Further, intranasal administration of the aqueous formulation of the subject invention and TT produced high titers of the IgG isotypes IgG_{2a} and IgG_{2b}.

Groups of ICR mice were given intranasally, 0.5, 2.5, 10 or 12.5 µg tetanus toxoid in phosphate buffered saline (PBS) or admixed with 25 µg 3D-MLA/AF. 3D-MLA/AF was prepared as in Example 1. Mice were primed on day 0, bled on day 10 (d10P1°), boosted on day 14, bled on day 24 (d10P2°), boosted on day 28, bled on day 38 (d10P3°). ELISA for IgG- and IgA specific anti-tetanus toxoid antibody was done on pooled sera from each bleed. Fecal extracts were examined on day 22 (d7P2°). IgG and IgA titers of sera and fecal extracts of immunized mice are shown in Tables 2-5.

Table 2

Serum Anti-Tetanus Toxoid Titer ¹									
Vaccine*	Route	IgG-Specific			IgA-Specific			d10P3°	d10P3°
		d10P1°	d10P2°	d10P3°	d10P1°	d10P2°	d10P3°		
0.5 µg TT + PBS	IN	200	400	25,600	<200	<200	<200	<200	<200
2.5 µg TT+ PBS	IN	400	51,200	25,600	<200	<200	<200	<200	<200
12.5 µg TT + PBS	IN	3,200	51,200	102,400	<200	200	400	400	400
0.5 µg TT + 3D-MLA/AF	IN	12,800	>409,600	>409,600	<200	800	6,400	6,400	6,400
2.5 µg TT + 3D-MLA/AF	IN	51,200	>409,600	>409,600	<200	12,800	25,600	25,600	25,600
12.5µg TT + 3D-MLA/AF	IN	102,400	>409,600	>409,600	<200	25,600	102,400	102,400	102,400
0.5 µg TT + PBS	SQ	800	204,800	409,600	<200	<200	<200	<200	<200

*n=4

Table 3

IgG Isotype Analysis of Serum from d10P3° Bleeds in Table 2.

		Anti-Tetanus Toxoid Titer ¹			
	Vaccine	Route	IgG ₁	IgG _{2a}	IgG _{2b}
10	0.5 µg TT + PBS	IN	25,600	6,400	25,600
	2.5 µg TT + PBS	IN	51,200	3,200	25,600
	12.5 µg TT + PBS	IN	204,800	12,800	51,200
15	0.5 µg TT + 3D-MLA/AF	IN	819,200	409,600	819,200
	2.5 µg TT + 3D-MLA/AF	IN	>819,200	819,200	>819,200
	12.5µg TT + 3D-MLA/AF	IN	>819,200	>819,200	>819,200
20	0.5 µg TT + PBS	SQ	819,200	6,400	25,600
	Normal Mouse Sera	---	<400	<400	<400

Table 4									
Serum Anti-Tetanus Toxoid Titer ¹									
Vaccine	Route	IgG-Specific		IgA-Specific		Fecal Extract d7P2°		IgG	IgA
		d10P2°	d10P3°	d10P2°	d10P3°				
TT* + 3D-MLA/AF/PBS	IN	>102,400	>>102,400	6,400	25,600			<50	1,600
TT + DPPC/PBS	IN	6,400	6,400	100	200			<50	<50
TT + 3D-MLA/AF/PBS	SQ	>102,400	>102,400	100	100			<50	<50
Normal Mouse Sera	---	50	50	100	100			<50	<50

* 10 µg of tetanus toxoid were administered

Table 5

IgG Isotype Analysis of Serum from d10P3° Bleeds in Table 4.

5	Vaccine	Route	Anti-Tetanus Toxoid Titers ⁻¹		
			IgG ₁	IgG _{2a}	IgG _{2b}
	TT + 3D-MLA/AF/PBS	IN	>819,200	102,400	409,600
	TT + DPPC/PBS	IN	25,600	1,600	3,200
	TT + 3D-MLA/AF/PBS	SQ	>819,200	51,200	102,400
	Normal Mouse Sera	---	<400	<400	<400

10

Example 6-Stimulation of an Immune Response to Hepatitis B Surface Antigen by Intranasal Administration of the Aqueous ALD Formulation.

Mice administered hepatitis B surface antigen (HBsAg) in the composition of the subject invention intranasally produced serum IgG and IgA titers to that antigen.

15 Secretory IgA was detected in vaginal washes and the induction of a cytotoxic T-lymphocyte response was detected by a cytotoxicity assay.

Groups of Balb/C mice were given a primary immunization (1°) intranasally with 2.5 µg HBsAg + 10 µg 3D-MLA/AF in a volume of 20 µl. 3D-MLA/AF was prepared as in Example 1. Twenty-one days later mice were given a secondary

20 immunization (2°) of 7.5 µg HBsAg + 10 µg 3D-MLA/AF intranasally in 20 µl. A tertiary immunization (3°) identical in composition to the secondary immunization was administered 28 days after the secondary immunization. Assays were conducted to detect cytotoxic T-lymphocyte activity at 16 days post secondary immunization (d16, post 2°) and 8 days post tertiary immunization (d8, post 3°). Serum and mucosal

25 antibody titers were assessed at 22 days post secondary immunization (d22, post 2°) and 21 days post tertiary immunization (d21, post 3°). All assays were conducted by methods standard in the art and described in previous Examples 2 and 4. Results from this experiment are shown in Tables 6-8.

30

Table 6% Cytotoxicity (⁵¹Cr-release)Effector: Target Ratio

5	<u>Material</u>	<u>Day</u>	<u>50:1</u>	<u>25:1</u>	<u>12.5:1</u>	<u>6.25:1</u>
	3D-MLA/AF	d16, post 2°	38	22	15	9
	Vehicle		3	2	0	0
	Non-immune spleen cells		3	3	0	0
10	3D-MLA/AF	d8, post 3°	82	65	49	36
	Vehicle		5	2	1	1
	Non-immune spleen cells		7	5	3	3

Table 7Anti HBsAg Titer⁻¹

	<u>Material</u>	<u>Day</u>	<u>IgG₁</u>	<u>IgG_{2a}</u>	<u>IgA</u>
	3D-MLA/AF	d22, post 2°	256,000	64,000	1,600
20	Vehicle		<2,000	<2,000	<200
	3D-MLA/AF	d21, post 3°	1,000,000	1,000,000	25,600
	Vehicle		<2,000	<2,000	<200

Groups of Balb/C mice were immunized with 2.5 µg HBsAg + 10 µg 3D-MLA/AF intranasally and boosted intranasally with 7.5 µg HBsAg + 10 µg 3D-MLA/AF 21 days later. Vaginal samples were collected 10 days after the booster immunization.

Table 8

5	Vaginal Wash		
	<u>Anti-HBSAG Titer¹</u>		
	<u>Material</u>	<u>IgG</u>	<u>IgA</u>
	3D-MLA/AF	100	6400
	Vehicle	<50	<50

10 The intranasal administration of HBsAg in the composition of the subject invention stimulated both a humoral and cellular immune response to that antigen. Intranasal immunization with the antigen formulated in 3D-MLA/AF induced a cytotoxic T-lymphocyte response and antigen specific humoral and mucosal immune responses.

15

Example 7-Generation of a Protective Immune Response to Influenza by Intranasal Administration of the Aqueous ALD Formulation.

20 Mice immunized intranasally with FLUSHIELD influenza vaccine containing hemagglutinin antigen formulated in the composition of the subject invention produced both IgG and IgA which were recovered in vaginal washes. Immunized mice were also protected 100% from subsequent influenza challenge.

25 ICR mice were immunized three times at 21 day intervals intranasally with FLUSHIELD influenza vaccine (Wyeth-Lederle) containing 0.3 µg hemagglutinin antigen (HA) + 10 µg 3D-MLA/AF. 3D-MLA/AF was prepared as in Example 1. Vaginal washes were collected 14 days after the final immunization. Mice were challenged with 10 LD₅₀ (lethal dose 50) of infectious influenza A/HK/68 thirty-five days after the final immunization and monitored for mortality.

30

18

Table 9

	<u>Group</u>	<u>IgA</u>	<u>IgG</u>	<u>% Protection</u>
		<u>Vaginal Wash</u>	<u>Vaginal Wash</u>	
	Nonimmune	<20	<20	0
5	Vehicle	160	80	60
	3D-MLA/AF	2560	1280	100

Example 8-Compositions of Monophosphoryl Lipid A.

10 Monophosphoryl lipid A (MLA) can be formulated into the aqueous compositions of the subject invention and administered in the same quantities and amounts as in Examples 1-7 to produce similar results.

15 It is understood that the foregoing examples are merely illustrative of the present invention. Certain modifications of the compositions and/or methods employed may be made and still achieve the objectives of the inventions. Such modifications are contemplated as within the scope of the claimed invention.

What is Claimed is:

1. An adjuvant composition comprising an adjuvant in water which further contains a surfactant which is 1,2-Dipalmitoyl-sn-glycero-3-phosphocholine, characterised in that the adjuvant consists of an attenuated lipid A derivative selected from the group consisting of monophosphoryl lipid A (MLA) and 3-O-deacylated monophosphoryl lipid A (3D-MLA), the water is free of co-solvent and in that the molar ratio of the MLA or 3D-MLA to the surfactant is from about 10:1 to 2:1.
2. The composition of claim 1, wherein the attenuated lipid A derivative is MLA.
3. The composition of claim 1, wherein the attenuated lipid A derivative is 3D-MLA.
4. The composition of any one of claims 1 to 3, wherein the molar ratio of the attenuated lipid A derivative to surfactant is 4:1.
5. The composition of any one of claims 1 to 4 which is a clear aqueous suspension of the MLA or 3D-MLA and the surfactant.
6. The composition of claim 5, wherein the suspension has particles of a size of between 50 nm and 70 nm.
7. The composition of any one of claims 1 to 6 which consists of the MLA or 3D-MLA, the surfactant and water.
8. The composition of any one of claims 1 to 7 which is obtainable by:
combining the MLA or 3D-MLA and the surfactant, the MLA or 3D-MLA and the surfactant being dissolved and thoroughly mixed in a solvent;
evaporating the solvent;
adding water and resuspending the MLA or 3D-MLA and surfactant;
sonicating the suspension while heating until clear.

9. A vaccine comprising an adjuvant in a solvent, wherein the vaccine comprises the composition of any one of claims 1 to 8, the adjuvant consists of the MLA or 3D-MLA and the solvent is water free of a co-solvent.
10. The vaccine of claim 9 which consists of the composition of any one of claims 1 to 8 and an antigen.
11. A method of making an aqueous adjuvant composition, comprising:
 - a) dissolving a surfactant in a solvent, the surfactant being 1,2-Dipalmitoyl-sn-glycero-3-phosphocholine;
 - b) mixing the dissolved surfactant with an attenuated lipid A derivative (ALD) selected from the group consisting of monophosphoryl lipid A (MLA) and 3-O-deacylated monophosphoryl lipid A (3D-MLA);
 - c) evaporating the solvent from the resulting mixture;
 - d) adding water to the evaporated mixture, to obtain a suspension of the ALD in water in a molar ratio (ALD:surfactant) of from about 10:1 to 2:1; and
 - e) heating and sonicating the suspension until clear.
12. The method of claim 11, wherein the molar ratio of the attenuated lipid A derivative to surfactant is 4:1.
13. The method of claim 11 or claim 12, wherein the end product is a clear aqueous suspension of the MLA or 3D-MLA and the surfactant.
14. The method of any one of claims 11 to 13, wherein the solvent is selected from chloroform, alcohols, dimethyl sulfoxide, dimethyl formamide and mixtures thereof.
15. The method of any one of claims 11 to 13, wherein the solvent is ethanol.
16. The method of any one of claims 11 to 15, wherein the suspension is heated to from 60°C to 80°C.

17. The method of any one of claims 11 to 15, wherein the suspension is heated to 60°C.
18. The method of any one of claims 11 to 17, wherein the suspension is sonicated for 5 to 60 minutes.
19. The method of any one of claims 11 to 17, wherein the suspension is sonicated for 10 minutes.
20. The use of the method of any one of claims 11 to 19 in the preparation of a vaccine.
21. The use of the composition of any one of claims 1 to 8 for the manufacture of a medicament for enhancing the immune response, or the serum and mucosal secretory IgA response, of a warm-blooded animal to a protein antigen which is capable of eliciting an immune response in the animal.
22. The use, for the manufacture of a medicament which is a clear, co-solvent free, aqueous liquid, of MLA or 3D-MLA, water and a surfactant which is 1,2-Dipalmitoyl-sn-glycero-3-phosphocholine, the MLA or 3D-MLA being in a molar ratio to the surfactant of from about 10:1 to 2:1.
23. The use of claim 22, wherein the medicament is a vaccine.

1/5

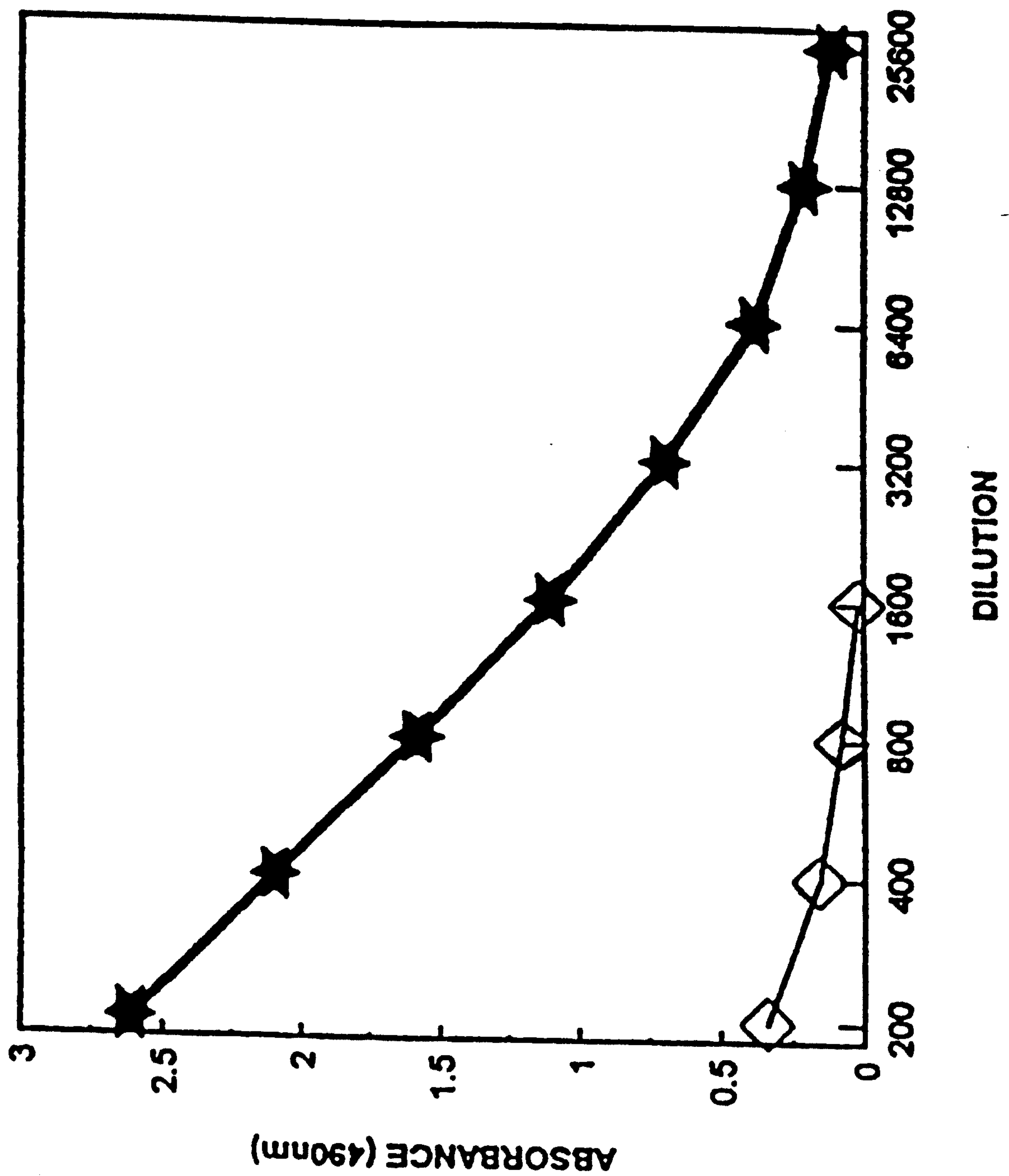


Fig. 1(A)

2/5

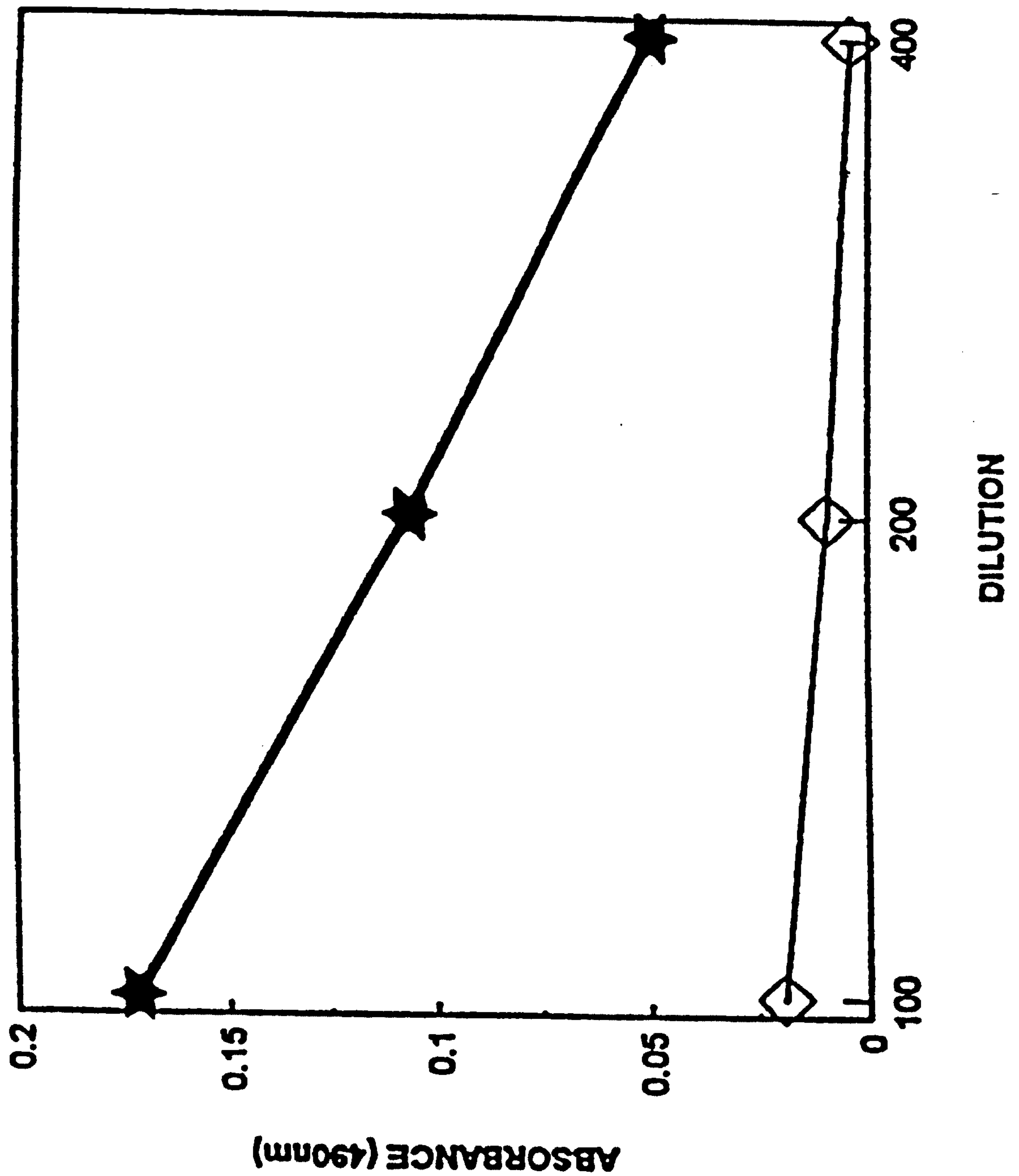


Fig. 1(B)

3/5

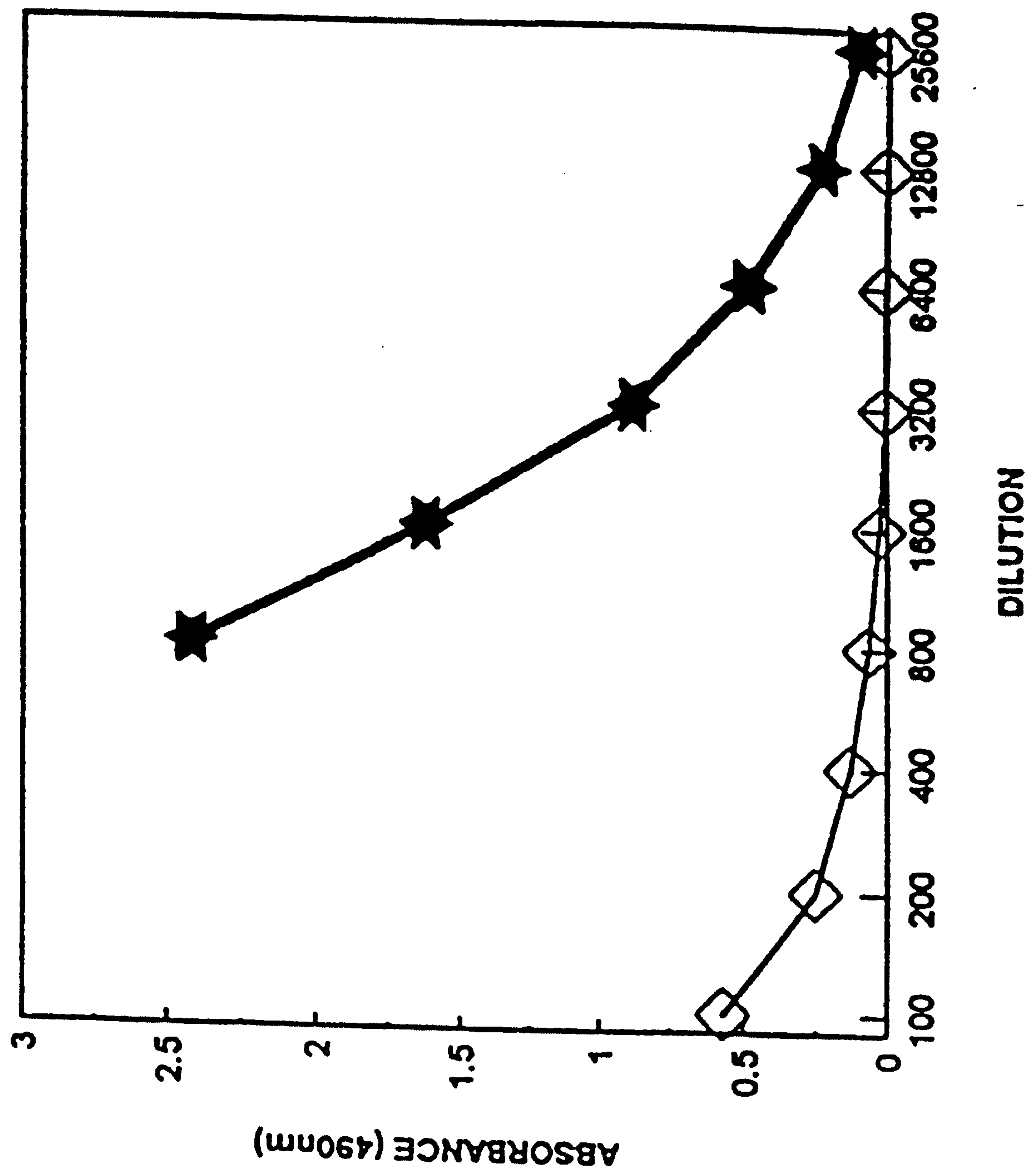


Fig. 1(C)

4/5

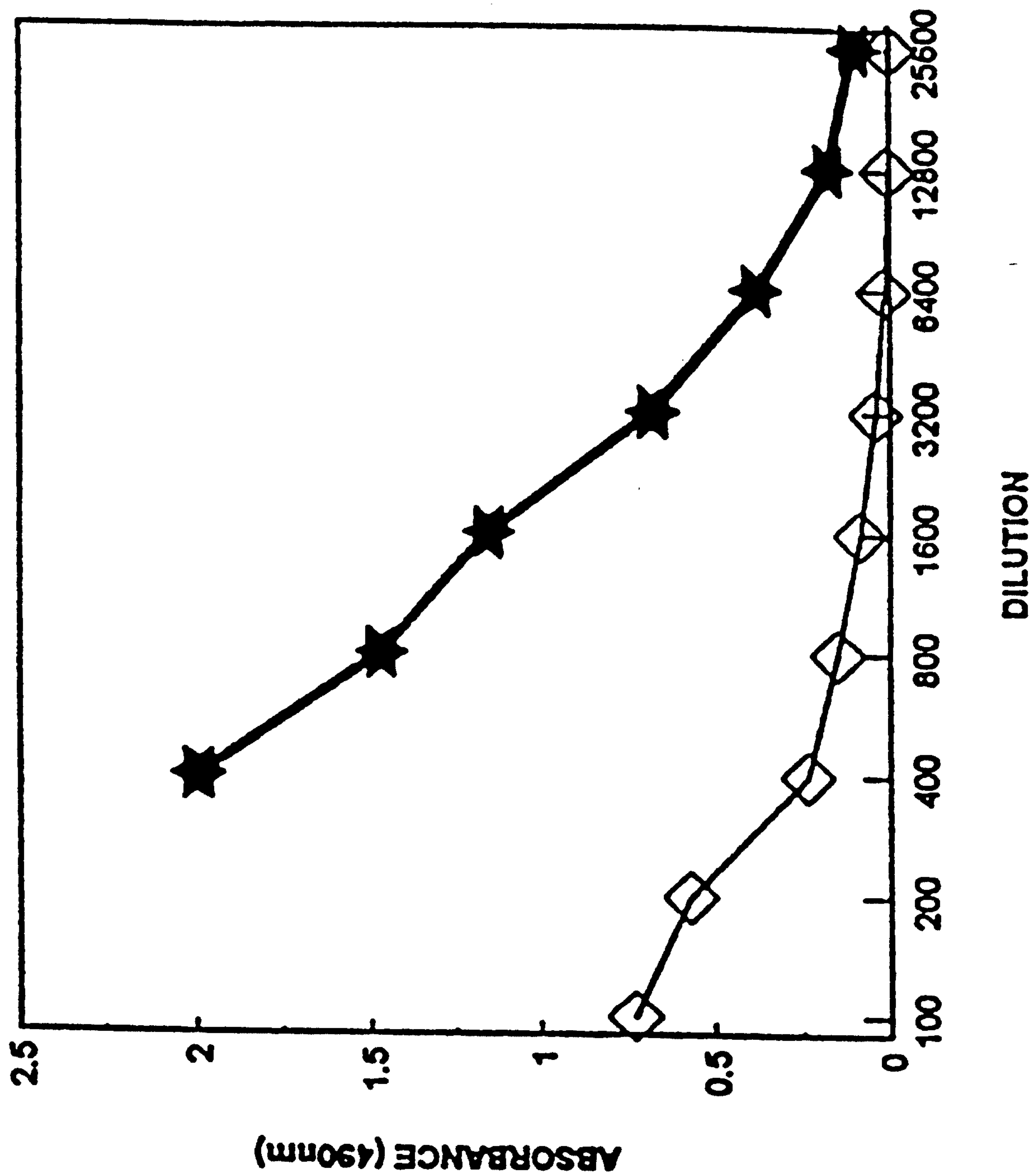


Fig. 1(D)

5/5

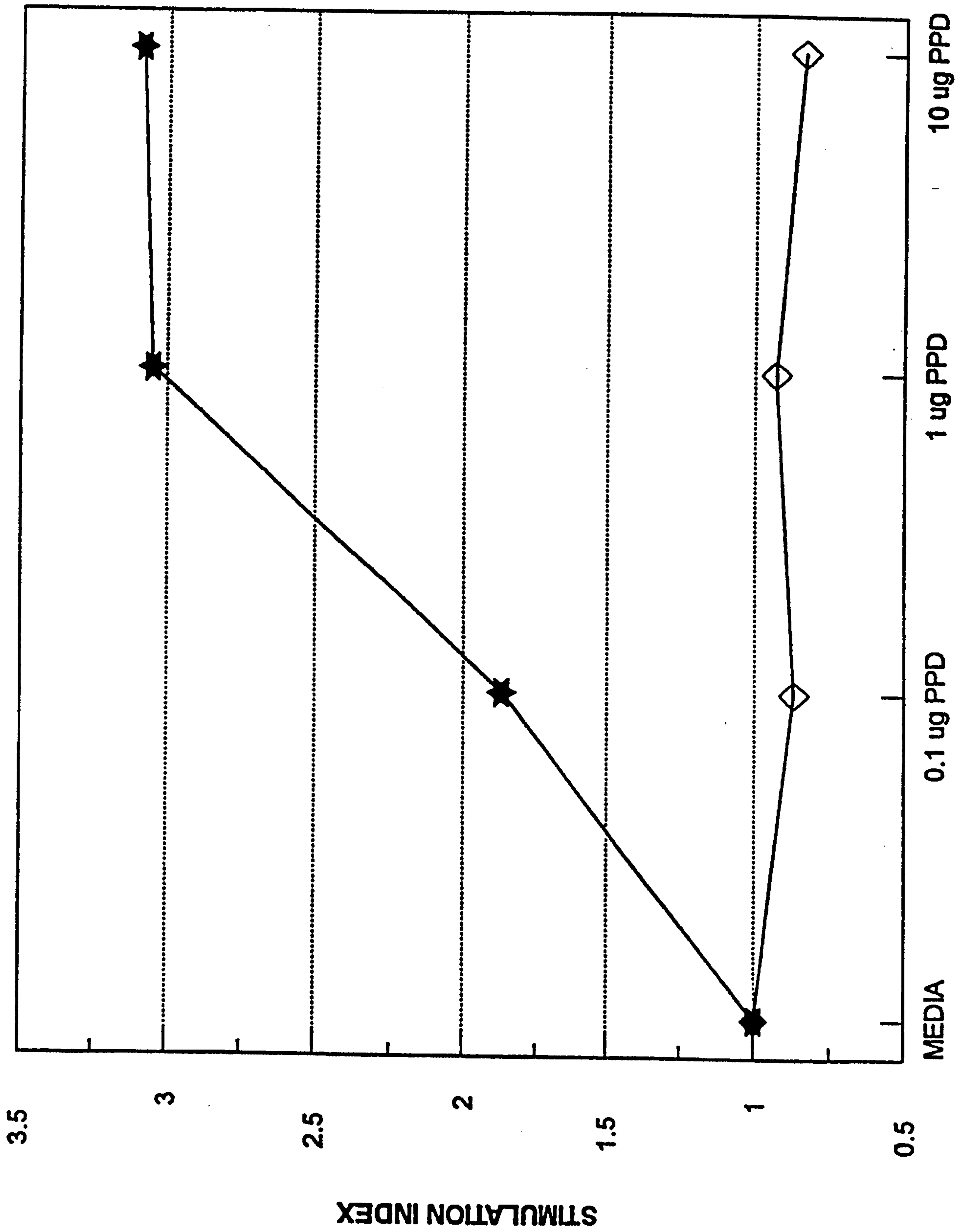


Fig. 2