



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁵ : C07C 231/20, 233/87 C07D 305/14, C07C 69/675	A1	(11) International Publication Number: WO 93/10076 (43) International Publication Date: 27 May 1993 (27.05.93)
(21) International Application Number: PCT/US92/09911 (22) International Filing Date: 19 November 1992 (19.11.92) (30) Priority data: 797,136 22 November 1991 (22.11.91) US (71) Applicant: THE UNIVERSITY OF MISSISSIPPI [US/ US]; University, MI 38677 (US). (72) Inventors: PETERSON, John, R. ; 19903 112th Avenue, N.E., Bothell, WA 98011 (US). ZJAWIONY, Jordan, K. ; P.O. Box 4027, University, MI 38677 (US). ROGERS, Robin, D. ; 123 West Hillcrest Drive, Dekalb, IL 60115 (US).		(74) Agents: RADY, Arnold, I. et al.; Morgan & Finnegan, 345 Park Avenue, New York, NY 10154 (US). (81) Designated States: AT, AU, BB, BG, BR, CA, CH, CS, DE, DK, ES, FI, GB, HU, JP, KP, KR, LK, LU, MG, MN, MW, NL, NO, PL, RO, RU, SD, SE, European pa- tent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, SN, TD, TG). Published <i>With international search report.</i>
(54) Title: SYNTHESIS AND OPTICAL RESOLUTION OF THE TAXOL SIDE CHAIN AND RELATED COMPOUNDS (57) Abstract This invention relates to a method for the production of a substantially optically pure taxane side chain comprising the steps of synthesizing a racemic mixture of enantiomers of the taxane side chain that is capable of exhibiting conglomerate behavior and resolving the substantially optically pure enantiomers by direct crystallization methods. This invention also relates to the semisynthesis of taxanes such as taxol through coupling the substantially optically pure taxane side chain to a taxane ring nucleus.		

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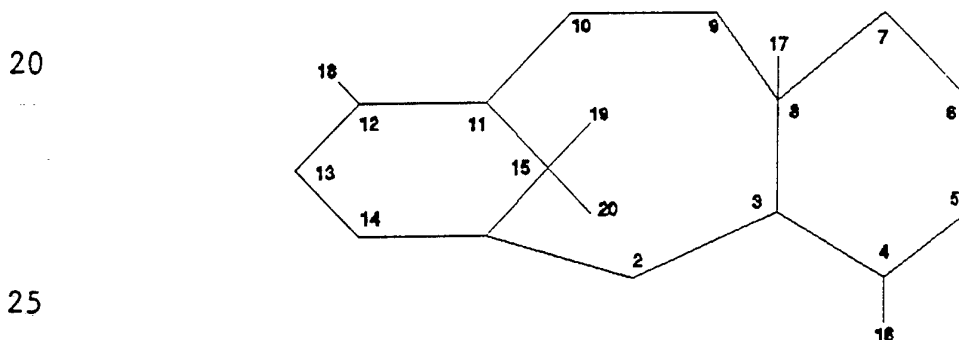
SYNTHESIS AND OPTICAL RESOLUTION OF
THE TAXOL SIDE CHAIN AND RELATED COMPOUNDS

TECHNICAL FIELD OF THE INVENTION

5 This invention relates to the racemic synthesis of taxane side chains and derivatives thereof, such as the taxol side chain. This invention also relates to the resolution of racemic mixtures of taxane side chains to obtain enantiomers in substantially optically pure form.
10 In addition, this invention relates to the semisynthesis of taxanes such as taxol by coupling the resolved substantially optically pure taxane side chain to a taxane ring nucleus.

BACKGROUND OF THE INVENTION

15 Taxanes are alkaloids possessing a taxane nucleus. The taxane nucleus comprises the three ring structure shown below which is also identified as 4,8,12,15,15-pentamethyl-tricyclo [9.3.1.0^{3,8}] pentadecane:



Several members of the taxane series of molecules, of which taxol, taxotere, cephalomannine, desacetylcephalomannine are members, possess antitumor activity. Some of the taxanes, and in particular taxol, have found use in the treatment of ovarian cancer and leukemia. MacGuire et al., Annals of Internal Medicine, Vol. 111, p. 273 (1989).

35 Taxane nucleus molecules such as baccatin-III

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- and 10-desacetylbaccatin-III are inactive compounds as antitumor agents. However, attachment of the C-13 side chain to the molecule confers antitumor activity to the product. For instance, the core diterpene nucleus of taxol is baccatin-III. Thus, baccatin-III and 10-desacetylbaccatin-III are used to prepare taxol or similarly active compounds semi-synthetically by attachment of the C-13 taxol side chain.

Among the taxane molecules that have been studied most with respect to their antitumor activity are taxol, taxotere, 10-desacetyltaxol, cephalomannine and 10-desacetylcephalomannine. The structures of these taxanes are shown below:

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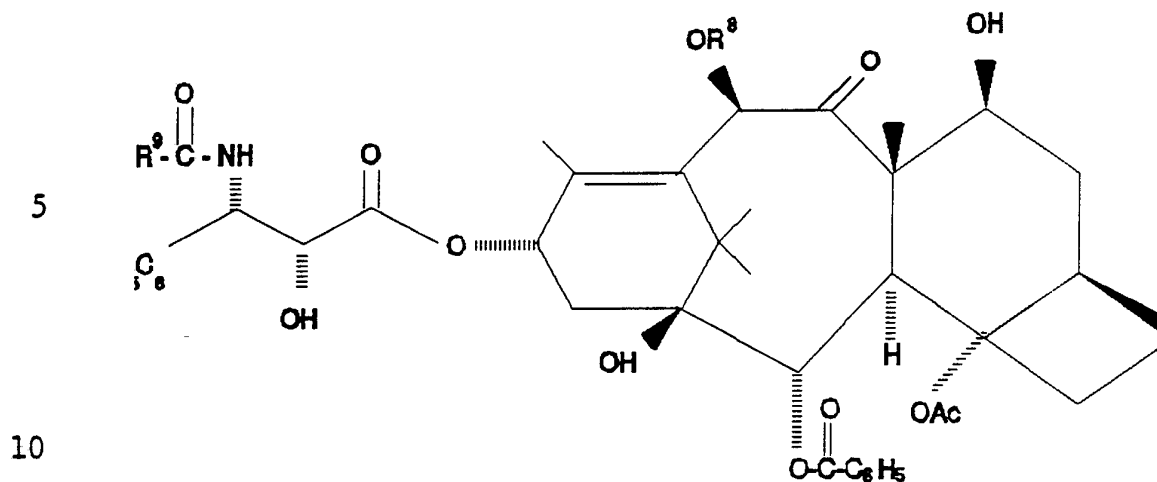
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TAXANE MOLECULES



	$R^8 = \text{Ac}, R^9 = \text{C}_6\text{H}_5$	Taxol
15	$R^8 = \text{H}, R^9 = (\text{CH}_3)_3\text{CO}$	Taxotere
	$R^8 = \text{H}, R^9 = \text{C}_6\text{H}_5$	10-
	Desacetyl	Taxol
	$R^8 = \text{Ac}, R^9 = \text{CH}_3\text{CH} = \text{C}(\text{CH}_3)$	Cephalomann ine
20	$R^8 = \text{H}, R^9 = \text{CH}_3\text{CH} = \text{C}(\text{CH}_3)$	10- Desacetyl cephalomann ine
25	$\text{Ac} = \begin{array}{c} \text{O} \\ \\ \text{CCH}_3 \end{array}$	Acetate

Taxanes such as taxol are believed to exert their antitumor activity by inducing tubulin polymerization and forming extremely stable and nonfunctional microtubules, which has an antiproliferative effect on taxane sensitive cells. Rowinsky et al., Journal of the National Cancer Institute, Vol. 82, No. 15, pp. 1247-59 (1990); Suffness, Gann Monographs Cancer Research, Vol. 36, pp. 21-24 (1989). The taxane known as taxol was first reported to be isolated from the stem bark

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- of the western yew Taxus brevifolia, a slow growing conifer. Its structure was elucidated by Wani et al., Journal of the American Chemical Society, Vol. 93, pp. 2325-27 (1971).

5 Taxanes, such as taxol, are presently obtained in extremely low yield from the bark of T. brevifolia (0.004-0.016%). Because the level of occurrence is so low, large numbers of trees must be harvested to provide sufficient material for even a single course of therapy. Consequently, the availability of trees is insufficient to
10 meet the demand for taxol and related taxanes. Furthermore, wild populations of trees grow under highly variable conditions, which result in inconsistent levels of taxanes produced in the bark. T. brevifolia, therefore, represents a nonrenewable and inconsistent
15 source of taxanes. Unfortunately, this difficulty in obtaining adequate supplies of taxol has significantly limited the extent of clinical investigations despite its reported activity against melanoma and ovarian cancer.

There have been several attempts to isolate
20 taxanes from plant matter. For example, Wani et al., Journal of the American Chemical Society, Vol 93, 2325-27 (1971), National Cancer Institute Natural Products Branch paper NSC #125973 dated July 15, 1983, Witherup et al., Journal of Natural Products, Vol. 53, No. 5, pp. 1249-53
25 (1990), and Witherup et al., Journal of Liquid Chromatography, Vol. 12, No. 11, 2117-32 (1989), refer to the purification of taxol. In addition, Senilh et al., Journal of Natural Products, Vol. 46, No. 1, pp. 131-37 (1984), refers to the purification of taxol and
30 cephalomannine. These attempts are deficient in that the taxanes are isolated in relatively low yield, approximately 50% based upon the theoretical yield of taxanes.

There have been attempts to chemically
35 synthesize taxanes, whose characteristic diterpene ring

- 5 -

system nucleus is a difficult synthetic target. Synthetic efforts to obtain taxol have been hampered by the high degree of structural complexity and the profuse stereochemical attributes of the molecule. Holton et al., Journal of the American Chemical Society, Vol. 110, pp. 6558-60 (1988) and Swindell et al., Journal of Organic Chemistry, Vol. 55, pp. 3-5 (1990), teach various attempted syntheses of the taxanes. These syntheses are deficient because of their complexity. Further, as discussed above, the mere presence of the diterpene nucleus in these synthetic products is inadequate to exhibit "taxol-like" activity. Thus, these final products lack sufficient pharmacological activity to serve as an effective antitumor agent.

Another approach to obtain taxol and related taxanes is through semisynthesis. Generally, semisynthetic methods rely upon a source of the taxane ring nucleus, such as provided by 10-desacetylbaccatin III and baccatin-III, which are readily isolated from plant matter. The taxane ring nucleus is then coupled to an optically pure side chain that is chemically synthesized and that confers the desired pharmacological activity to the product. Denis et al., J. Org. Chem., Vol 55, pp. 1957-59 (1990).

In the synthesis and semisynthesis of the taxane compounds (and organic chemicals in general), a number of stereochemical terms apply. A chiral molecule is any molecule that is not superimposable on its mirror image. The elements that characterize chiral molecules are chiral centers, chiral axes, chiral planes or a combination of these elements. The most commonly occurring cases in organic chemistry are those molecules that contain chiral centers or chiral atoms such as a carbon atom. Such molecules may have greater than one chiral atom.

A chiral carbon atom can exist as two unique spacial dispositions of the four different substituents or

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groups that are chemically bonded to that atom. The two distinct mirror image arrangements of a chiral molecule are known in the art as enantiomers. These enantiomers are nonsuperimposable mirror images of each other. Thus, the enantiomers are said to exist in right- and left-handed forms. A mixture of equal amounts of each enantiomer is called a racemic mixture or racemate. Any synthetic method that results in a racemic mixture is a racemic synthesis. Conversely, any synthetic method that results in the preponderance of one enantiomer over the other is known as an enantioselective synthesis. One method to obtain an enantioselective synthesis is to use asymmetric synthesis techniques.

Stereoisomers that are not enantiomers of one another are called diastereomers of one another. Mixtures of diastereomers are known as diastereomeric mixtures.

A number of nomenclature systems have been developed to describe the arrangement of groups about a chiral atom. A commonly used protocol for the specification of configuration is the Cahn-Ingold-Prelog method. See Morrison and Boyd, Organic Chemistry, 3rd edition, published by Allyn and Bacon, Inc., Boston. According to this method, the prefixes R (right-handed) and S (left-handed) in front of the substituents or compound name are used to designate the absolute configuration of the substituents about the chiral atom(s).

A two-step analysis is needed to designate a chiral atom as either R or S. First, the substituents around the chiral atom are prioritized in decreasing order according to their atomic number. The rules of priority with respect to substituent groups with multiple atoms or with double or triple bonds are described in the Cahn-Ingold-Prelog method. See Cahn, An Introduction to the Sequence Rule, J. Chem. Ed., Vol. 41, p. 116 (1964). Second, the three-dimensional structure of the molecule

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- must be visualized so that the group of lowest priority is as far as possible from the sight of the viewer. The R configuration exists when the sequence of the other groups in decreasing order of priority is viewed in the clockwise (right-handed) direction. The S configuration exists when the sequence obtained is viewed as being in the counterclockwise (left-handed) direction.

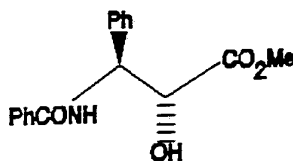
In general, one characteristic of compounds that contain one or more chiral atoms is that they can be optically active. An optically active molecule is one that rotates plane-polarized light in a characteristic manner when such light is passed through a solution of the optically active molecule. If a substance rotates plane-polarized light to the right, it is designated the dextrorotary or "d" form. Such designation is indicated by a "+" sign in the front of the degrees of rotation. If a substance rotates plane-polarized light to the left, it is the levorotary or "l" form and it is indicated with a "-" sign before the degrees of rotation. One enantiomer will rotate plane-polarized light in a positive direction, while the other enantiomer will rotate plane-polarized light in the negative direction. These compounds may simply be referred to as "+" or "-". A racemic mixture of the + and - enantiomers may be referred to as "±". The direction of rotation of plane polarized light by a particular enantiomer is independent of that enantiomer's R/S designation.

The optical purity of a molecule is generally expressed in terms of percent enantiomeric excess. "Enantiomeric excess" is a term that describes the preponderance of one enantiomer of a molecule over the other enantiomer. For example, an enantiomeric excess of 0% applies to a racemic mixture, and an enantiomeric excess of 100% applies to an optically pure compound. Similarly, "diastereomeric excess" is a term used to describe the preponderance of one diastereomer over the

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- other in a mixture of diastereomeric forms of a chiral molecule.

The semisynthetic preparation of taxol and other taxanes depends upon a source of optically pure side chain. The naturally occurring and thus desired form of the taxol side chain is the "-" enantiomer, which is also described as the (2R, 3S)-isomer. Antitumor activity of taxanes requires such a side chain attached to position 13 of the taxane nucleus. Generally, the structure of the taxol side chain, i.e. the (2R,3S)-N-benzoyl-3-phenylisoserine group, is shown below:



(1a)

For example, Senilh et al., C. R. Seances Acad. Sci Ser. 2, Vol. 299, pp. 1039-43 (1984), F. Gueritte-Vogelin, Tetrahedron, Vol. 42, pp. 4451-60 (1986), Colin et al., European patent Application 0 253 278, Colin et al., European patent Application 0 253 739, Denis et al., Journal of Organic Chemistry, Vol. 55, pp. 1957-59 (1990), Denis et al., Journal of Organic Chemistry, Vol. 51, pp. 46-50 (1988), and Ojima et al., Journal of Organic Chemistry, Vol. 56, pp. 1681-83 (1991), teach the semisynthesis of taxol from the coupling of the taxol side chain to naturally procured 10-desacetyl baccatin-III. Each of the methods described are deficient because the optically pure side chain is synthesized using costly and complex asymmetric synthesis techniques.

The attempts to synthesize the (2R,3S)-N-benzoyl-3-phenylisoserine side chain of taxol using

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asymmetric synthesis techniques generally rely upon the use of chiral reagents that impart asymmetry to the final product. See, e.g., Denis et al., J. Org. Chem., Vol. 51, pp. 46-50 (1986); Denis et al., J. Org. Chem., Vol. 55, pp. 1957-59 (1990); Ojima et al., J. Org. Chem., Vol. 56, pp. 1681-83 (1991); Holton, U.S. Patent 5,015,744, issued May 14, 1991; and Denis et al., U.S. Patent 4,924,011, issued May 8, 1990. These methods are deficient in that the chiral reagents employed are extremely expensive, and the amount of optically active side chain produced is extremely small. Also, asymmetric synthetic methods require extremely specific starting materials in order to be effective. Thus, an additional deficiency of these methods is that only a limited number of starting materials and their derivatives may be successfully employed to produce the taxol side chain, thereby limiting the number of different compounds that may be prepared for clinical evaluation.

There have been attempts to prepare structural analogs of the taxol side chain. See, e.g., Swindell et al., Journal of Medicinal Chemistry, Vol. 34, pp. 1176-84 (1991). These attempts are also deficient in that they rely on the use of expensive chiral starting materials.

Generally, there are numerous ways to separate the enantiomers of a particular racemic compound. In the majority of cases, separation of a racemic mixture is carried out by diastereomeric compound crystallization or by chromatography techniques such as those described by Daicel Chemical Industries, Ltd., in Application Guide for Chiral Column Selection (1989), or by kinetic resolution techniques. These methods are expensive, laborious and yield universally low quantities of optically pure material.

For example, Holton, U.S. Patent 5,015,744, refers to a method of producing a taxol side chain precursor in the form of an optically pure oxazinone. The

- 10 -

oxazinone is then contacted with a taxane nucleus in the form of an alcohol to provide a taxol intermediate, which upon mild hydrolysis, is reported to produce taxol. The optically pure oxazinone precursor is derived from an optically pure acyclic taxol side chain, which in turn is derived from an optically pure β -lactam that has been resolved by the crystallization of diastereomeric β -lactam Mosher's esters. This method is deficient in that the indirect resolution of the optically pure β -lactam enantiomer requires the use of expensive chiral reagents as well as two additional reaction steps to obtain the appropriate enantiomer.

There have also been attempts to resolve racemic mixtures of the taxol side chain and its analogs into enantiomers by kinetic resolution. Kinetic resolution methods rely upon the selective reactivity of one enantiomer of a racemic mixture with another chiral substance such as a chiral chemical reagent or an enzyme. See, e.g., Honig et al., Tetrahedron, Vol. 46, no. 11, pp. 3841-50 (1990). These methods are deficient in that the yield of the optically active taxol side chain is too low to be preparatively useful.

There have been attempts to resolve racemic mixtures of compounds unrelated to the taxol side chain by direct crystallization methods. These methods allow for industrial scale resolutions of such compounds. For example, these methods have historically been applied to the resolution of amino acids produced industrially. Collet et al., Chemical Reviews, Vol. 80, No. 3 (1980). Industrially useful direct crystallization techniques include manual sorting of the crystalline enantiomers and localized crystallization, differentiated crystallization and entrainment procedures.

Direct crystallization techniques, if they are to be successful, depend upon the formation of a special crystalline form of the racemic compound that is called a

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conglomerate. A conglomerate is a mixture of two crystalline enantiomers that are separable by physical means. Generally, for a racemic compound to exhibit conglomerate behavior, the melting point of the resolved enantiomers must be at least 20°C higher than the melting point of the racemic mixture. Brittain, Pharmaceutical Research, Vol. 7, pp. 683-90 (1990). Thus, a conglomerate forms only if there is a difference in the solubility of the racemate relative to that of the enantiomers. A compound, when it forms a conglomerate, aggregates into two distinct crystalline forms. Each crystal of a conglomerate contains only one of the two enantiomers in substantially pure form. As such, the enantiomers of a conglomerate-forming compound and its derivatives may be resolved into their substantially optically pure forms by direct crystallization methods.

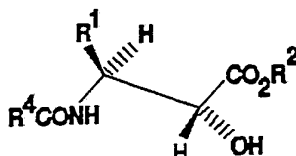
Although conglomerate formation is thus a much desired property for resolving a racemate on an industrial scale, it is estimated that only about 5-10% of all racemic compounds form a conglomerate. Brittain, supra. Hence, it is unknown and would be highly unexpected that a synthetically prepared racemic taxane side chain would exhibit conglomerate behavior prior to the present invention. Furthermore, the conditions for resolving the substantially optically pure enantiomers of a conglomerate forming compound such as the selection of solvents, temperatures and rates of crystallization are stringent.

SUMMARY OF THE INVENTION

In accordance with the present invention, there are provided novel methods for the synthesis of the racemic taxane side chain and derivatives thereof. This invention also provides for an economical method for resolving the substantially optically pure enantiomers of the taxane side chain and its derivatives. This invention also provides for the semisynthetic production of taxanes such as taxol.

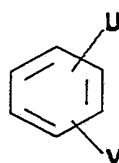
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The invention provides a method for the production of a substantially optically pure taxane side chain having the following formula:



(1)

wherein R^1 is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8 linear or branched alkynyl, C5-C20 aryl, indole, thiophenyl, furanyl, quinoline, C1-C8 hydroxyalkyl, C1-C6 aminoalkyl, and 2-, 3-, or 4-pyridino, or



(3)

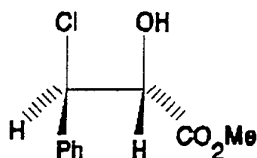
wherein U and V are independently selected from the group consisting of hydrogen, halogen, hydroxyl, thiol, nitro, azide, amino, C2-C8 alkyl- or aryl-N-amido, C2-C8 alkyl- or arylcarboxylate, C1-C8 carboalkoxy, C1-C8 carboaryloxy, C2-C8 alkyl- or aryl-s-thiocarboxylate, C1-C4 alkoxy, C1-C8 monoalkylamino, C1-C8 dialkylamino, C1-C8 linear or branched alkyl, C1-C8 thioalkyl, or C1-C8 alkyl- or arylcarbonate, C1-C8 alkyl- or arylcarbamate, C1-C8 alkyl-

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- or arylurea, trichloromethyl, and trifluoromethyl; R² is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, and C7-C12 alkylphenyl; R⁴ is selected from the group consisting of R¹ or OR⁵, wherein R⁵ is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8 linear or branched alkynyl, C5-C20 aryl.

The method, in general, comprises the steps of preparing a racemic mixture of enantiomers of the taxane side chain capable of exhibiting conglomerate behavior and resolving the racemic taxane side chain into its substantially optically pure enantiomers. Taxanes such as taxol may be produced in accordance with this invention by synthesis of the taxane side chain capable of exhibiting conglomerate behavior, resolution of the side chain into its optically pure enantiomers and coupling the substantially optically pure (2R,3S)-taxane side chain to the taxane ring nucleus.

This invention also provides a method of producing as a novel intermediate, a halohydrin composition of methyl threo-3-chloro-2-hydroxy-3-phenylpropionate having the formula:



(6a)

This invention provides a method wherein the racemic taxane side chain is synthesized in a form capable of exhibiting conglomerate behavior upon crystallization. That property of the synthesized racemic taxane side chain

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- allows for the substantially optically pure enantiomers of the conglomerate forming side chain to be resolved by physical means such as manual sorting, localized crystallization, differentiated crystallization, and entrainment procedures.

5 In addition, this invention provides a method of semisynthesizing taxanes such as taxol. The substantially optically pure (2R,3S)-taxane side chain is prepared for coupling and then coupled to a taxane ring nucleus.

10 Accordingly, it is thus an object of the present invention to provide a method for the efficient racemic synthesis of the taxane side chain capable of exhibiting conglomerate behavior and derivatives thereof.

15 It is a further object of the present invention to provide a method for the synthesis of the racemic taxane side chain capable of exhibiting conglomerate behavior and derivatives thereof that allows flexibility in the selection of starting materials.

20 It is also an object of the present invention to provide an economical source of the enantiomerically pure (2R,3S)-taxane side chain and derivatives thereof.

It is thus an additional object of the present invention to provide an economically viable method for the resolution of racemic taxane side chain and derivatives thereof.

25 It is a further object of this invention to provide an economically viable method for the semisynthesis of taxanes such as taxol.

30 It is an additional object of this invention to provide a novel intermediate that may be used to synthesize the taxane side chain and taxanes such as taxol.

Other objects and features of this invention will become apparent to those skilled in the art from the following description.

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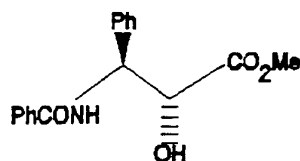
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BRIEF DESCRIPTION OF THE DRAWINGS

Certain objects and advantages of this invention will be apparent upon consideration of the accompanying drawing which generally depicts the preferred entrainment protocol used to resolve the taxol side chain 1a.

DETAILED DESCRIPTION OF THE INVENTIONSYNTHESES OF THE RACEMIC TAXOL SIDE CHAIN

The present invention contemplates multiple methods of synthesizing the racemic taxane side chain 1. The structure of the preferred taxol side chain methyl ester, N-Benzoyl-3-phenylisoserine methyl ester, also referred to in the art as beta- or β -amido ester, is depicted below:

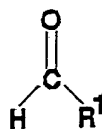


(1a)

The names assigned to the chemical compounds recited herein are based on the IUPAC rules of chemical nomenclature.

In the preferred method of the present invention, the starting materials are an electrophile and a haloester. Preferably, the electrophile has the following formula:

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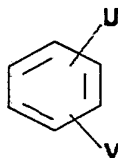


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(2)

10 wherein R¹ is selected from the group consisting of C1-C8
linear or branched alkyl, C3-C8 cycloalkyl, C3-C8
cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8
linear or branched alkynyl, C5-C20 aryl, indole,
thiophenyl, furanyl, quinoline, C1-C8 hydroxyalkyl, C1-C6
aminoalkyl, and 2-, 3-, or 4-pyridino.

15 More preferably, the R¹ group of the
electrophile 2 has the following formula:



20

25

(3)

wherein U and V are independently selected from the group
consisting of hydrogen, halogen, hydroxyl, thiol, nitro,
azide, amino, C2-C8 alkyl- or aryl-N-amido, C2-C8 alkyl-
30 or arylcarboxylate, C1-C8 carboalkoxy, C1-C8 carboaryloxy,
C2-C8 alkyl- or aryl-s-thiocarboxylate, C1-C4 alkoxy,
C1-C8 monoalkylamino, C1-C8 dialkylamino, C1-C8 linear or
branched alkyl, C1-C8 thioalkyl, or C1-C8 alkyl- or
arylcarbonate, C1-C8 alkyl- or arylcarbamate, C1-C8 alkyl-
35 or arylurea, trichloromethyl, and trifluoromethyl.

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- Preferably, U and V are selected from the group consisting of hydrogen, halogen, azide, amino, trichloromethyl, and trifluoromethyl. Most preferably, U and V are both hydrogen, i.e., R¹ of the electrophile 2 is a phenyl group and the electrophile is benzaldehyde.

5 The haloester preferably has the formula:



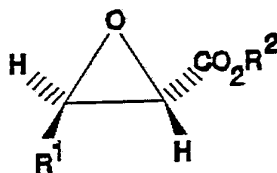
15 (4)
wherein X¹ is selected from the group consisting of chloride, bromide, or iodide and R² is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, and C7-C12 alkylphenyl. Most preferably, X¹
20 is chloride and R² is a methyl group and the haloester is methyl chloroacetate.

The initial step of the synthesis to make a taxane side chain precursor is a Darzens condensation-like reaction between the electrophile and the haloester to
25 make a first heterocyclic epoxide ring in which the groups R¹ and CO₂R² are trans with the following formula:

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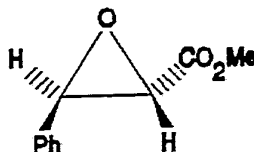
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(5)

wherein R¹ and R² are as described above.

10 Preferably, the heterocycle 5 in which the groups R¹ and CO₂R² are trans is the trans-epoxide, methyl trans-3-phenyloxiranecarboxylate having the following formula:



20

(5a)

It has been found that a particularly advantageous method of synthesizing the heterocycle 5 involves reacting an electrophile, such as an aliphatic or aromatic aldehyde- or ketone-like molecule, with a haloester, as similarly shown in Rietveld et al., Archives of Toxicology, Vol. 61, No. 5, pp. 362-72 (1988), which is incorporated herein by reference. Other suitable methods are described in Organic Synthesis, by Fuhrop and Penzlin, published by Verlag Chemie, and in Organic Synthetic Methods, published by John Wiley, New York, both of which are incorporated herein by reference.

35 Reactions of this sort are commonly performed under basic conditions. Preferably, the base employed is

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of sufficient strength to generate an anionic form of the haloester known as an enolate, while preventing saponification of the ester moiety. Suitable bases include the alkali carbonate bases, such as sodium carbonate, potassium carbonate, or cesium carbonate; amine bases, such as triethylamine, diisopropylethylamine, 1,5-diazabicyclo [4.3.0]non-5-ene and diazobicyclo[5.4.0]undec-7-ene, 1,4-diazabicyclo[2.2.0]octane, and alkali metal amide bases such as lithium diisopropylamide, lithium hexamethyldisilamide, lithium tetramethylpiperidide, and alkali metal hydrides such as sodium or potassium hydride. Preferably, the base is an alkali metal alkoxide selected from the group consisting of sodium methoxide, sodium ethoxide, sodium sec-butyloxyde, and sodium tert-butyloxyde. Alternatively, the sodium counterion may be replaced with a potassium counterion, although some changes in basicity and reaction selectivity may result.

The solvent used in the reaction combining the electrophile and the haloester is preferably one normally associated with Darzens condensation reactions performed under basic conditions. Preferred solvents are alcohols, such as propanol, isopropanol, or butanol; ethers, such as diethyl ether; cyclic ethers, such as tetrahydrofuran; dipolar aprotic solvents, such as dimethylformamide, dimethylsulfoxide, N-methylpyrrolidinone or hexamethylphosphoramide; and mixtures thereof. More preferred solvents are methanol, ethanol, and mixtures thereof.

In this method of the invention, the stereochemistry of the product formed by the Darzens condensation reaction between the electrophile and haloester is highly dependent upon the particular bases and solvents used to carry out the reaction. It is preferred that a base and solvent be chosen which would lead to a Darzens Condensation product 5 whose

- 20 -

- stereochemistry is such that the groups R^1 and CO_2R^2 are trans. It has been found that a trans epoxide may be obtained by using an alkali metal alkoxide in the corresponding alcoholic solvent.

Those of skill in the art will understand that this reaction and all other reactions described herein may proceed best at an optimum temperature. Generally, chemical reactions proceed faster at relatively higher temperatures, and slower at relatively lower temperatures. However, a loss of reaction selectivity is often associated with increased reaction rates occurring at higher temperatures. Thus, a balance must be found between the speed of reaction and the yield of the desired product. In the case of the reaction of the electrophile and the haloester, it has been found that a reaction temperature of between about -30°C and about $+40^\circ\text{C}$ is preferred. More preferably, the reaction is performed at a temperature between about -20°C and about $+20^\circ\text{C}$. Most preferably, the reaction of the electrophile and the haloester is performed between about -10°C and about $+10^\circ\text{C}$.

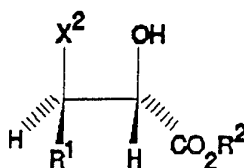
For example, a suitable base/solvent solution is sodium metal in methanol chilled to about 0°C in an ice-salt bath. To this solution, can be added a mixture of the electrophile and haloester, such as benzaldehyde and methyl chloroacetate, at a rate to maintain the reaction temperature at or about 0°C . Preferably, the ratio of electrophile to haloester is from 1:1 to 1:3. More preferably, 1:1.5. The Darzens condensation reaction is sufficiently complete after stirring for about 15 hours at ambient temperature. The reaction product may be purified by conventional means, such as diluting the mixture with water, then extracting with diethyl ether, followed by drying over anhydrous magnesium sulfate, removing the solvent and distilling the residue.

In the next step of this synthetic route, the

- 21 -

- racemic epoxide 5 is cleaved with a gaseous hydrogen halide of the formula HX^2 wherein X^2 is either chloride or bromide; and thereby caused to undergo a syn-ring opening to form a halohydrin having the following formula:

5



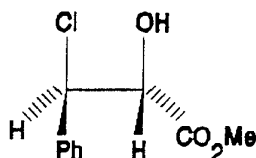
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(6)

wherein X^2 , R^1 and R^2 are as described above.

- More preferably, the halohydrin 6 is the previously unknown threo-chlorohydrin, methyl-threo-chloro-2-hydroxy-3-phenylpropionate with the following formula:

20



25

(6a)

- Solvents and reaction conditions that may be used to accomplish the syn-ring opening and cleave the racemic heterocycle 5 are those that provide for the survival of the ester moiety and allow for the nucleophilic attack of the halide component of the hydrogen halide to provide the correct stereochemistry and regiochemistry. Nonpolar solvents must be used to accomplish these goals. For a discussion of suitable types of solvents, see Tung & Speziale, J. Org. Chem., pp.

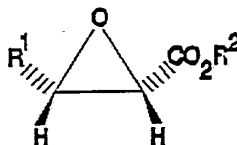
- 22 -

- 2009-12 (1963), which is incorporated herein by reference. Preferred nonpolar solvents include benzene, toluene, xylene, pentane, hexane, heptane, methylene chloride, chloroform, ethyl ether and tetrahydrofuran. More preferred nonpolar solvents include benzene, toluene, xylene, pentane, hexane, and heptane. Most preferred nonpolar solvents include benzene, toluene, and xylene.

For example, syn-ring opening may be accomplished by bubbling hydrogen chloride gas through a solution of 5a in dry benzene. After removing the excess hydrogen chloride by stirring under partial vacuum and removing the solvent, the residue can be triturated with petroleum ether-benzene to yield the chlorohydrin.

In the next step, the halohydrin 6 is treated with base to form a second racemic heterocyclic epoxide ring in which the groups R^1 and CO_2R^2 are cis with the following formula:

20



25

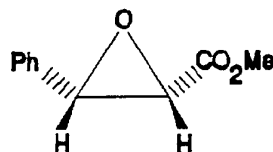
(7)

wherein R^1 and R^2 are as described above.

Preferably, the heterocycle in which the groups R^1 and CO_2R^2 are cis is the cis-epoxide methyl cis-3-phenyloxiranecarboxylate (i.e. the stereoisomer of 5a), which has the following formula:

35

- 23 -



(7a)

10 The steps of 1) opening the first heterocycle 5 to form a halohydrin 6 and 2) closing the halohydrin 6 to form a second heterocyclic ring 7 are performed in a manner to ensure the correct regiochemistry and stereochemistry of functional groups in the final side chain. For example, the group R^1 and the CO_2R^2 group must be cis to one another in the second heterocycle 7 if the heterocyclic ring, upon opening, is to yield the correct stereochemistry of the final side chain.

As stated above, the intramolecular S_N2 ring closure of the halohydrin 6 is performed with a base to generate a heterocycle 7 in which the groups R^1 and CO_2R^2 are cis. Preferably, the base is selected from the group consisting of alkali or alkaline earth metal carbonates, such as sodium carbonate, lithium carbonate, potassium carbonate, cesium carbonate and magnesium carbonate; alkali metal alkoxides, such as sodium methoxide, sodium ethoxide, sodium tert-butyloxyde, lithium methoxide, lithium ethoxide, and lithium tert-butyloxyde; and alkali metal hydrides, such as sodium hydride, potassium hydride, and lithium hydride. Additionally, these bases may be used with or without suitable phase transfer catalysts. For instance, intramolecular S_N2 ring closure can be effected in the presence of a phase transfer catalyst such as Aliquot 336, which is manufactured by the Henkel Corporation. For a discussion of phase transfer

20

25

30

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- 24 -

- catalysts, see Tung and Speziale, Chemistry And Industry, p. 1985 (1963), which is incorporated herein by reference.

Without wishing to be bound by any theory, it is believed that the closure of such a halohydrin may be caused to proceed via two distinct mechanistic pathways, an ionic and a nonionic pathway. The ionic pathway arises from partial or complete dissociation of the halogen from the remainder of the halohydrin, followed by capture of the carbonium ion so formed by the alkoxide. The second pathway is nonionic and involves the direct displacement of the halogen with the alkoxide. It is preferred in this method of the invention that the closure proceed via the nonionic pathway. More preferably, the closure of the halohydrin proceeds via a nonionic S_N2 -like pathway.

In general, the character of the reaction pathway may be influenced by the nature of the solvent used in the reaction. A solvent mixture that is sufficiently polar to permit heterocycle formation via a nonionic mechanism, yet avoid the ionic pathway is preferred. The solvent mixture comprises organic solvents and water. Suitable organic solvents to mix with water may be selected from the group consisting of dimethylformamide, dimethylsulfoxide, methanol, ethanol, isopropanol, and acetone. The ratio of organic solvent to water is preferably 60-90:40-10. A more preferred ratio is about 70:30. The most preferred ratio is about 60:40.

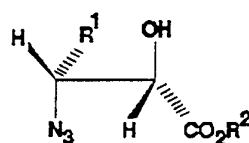
For example, S_N2 ring closure of 6 can be effected by adding sodium carbonate to a suspension of 6 in water followed by addition of acetone, which causes the halohydrin 6 to solubilize. The mixture is stirred at between 10°C and 60°C, preferably at about 50°C for about 2 hours before removing the acetone in vacuo and extracting the residue with diethyl ether. The ethereal extracts are in turn washed with water, dried over a desiccant such as anhydrous magnesium sulfate, and concentrated. The resulting crude oil is distilled to

- 25 -

- obtain the product 7.

Once a racemic heterocycle 7 with cis stereochemistry about the groups R^1 and CO_2R^2 has been prepared, it is selectively cleaved into the hydroxy azide side chain precursor by conventional means, such as selective cleavage with sodium azide in aqueous methanol-methyl formate or with Lewis acid mediated processes such as trimethylsilyl azide with a catalytic amount of zinc chloride. See Denis et al., *J. Org. Chem.*, 55, 1957-59 (1990), Denis et al., *J. Org. Chem.*, 51, 46-50 (1986), which are incorporated herein by reference. The hydroxy azide side chain precursor has the following formula:

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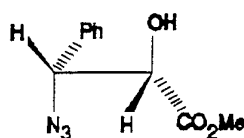
20

(8)

wherein R^1 and R^2 are as described above.

Preferably, the hydroxy azide is methyl 3-Azido-2-hydroxy-3-phenylpropionate and has the following formula:

25



30

(8a)

35

These steps generally comprise treating the

- 26 -

- heterocycle 7 wherein the groups R^1 and CO_2R^2 are cis with a nucleophile, such as sodium azide in aqueous methanol-methyl formate or azidotrimethylsilane with a catalytic amount of zinc chloride, to form the hydroxy azide side chain precursor 8. Other nucleophiles include alkali or alkaline earth metal azides.

For example, in Denis et al., J. Org. Chem., 55, p. 1959-64 (1990), a sample of the epoxide 7a in methanol-water (8:1) was treated with methyl formate and sodium azide and then stirred under argon at 50°C for 46 hours. The crude product was extracted with ether, washed with water, dried over a desiccant, and the solvent was removed by evaporation. The product was then purified by silica gel chromatography with 10% ethyl acetate in hexane to give the hydroxy azide 8a.

An improved procedure eliminates the chromatographic purification and the expenses associated with this process. In this improved procedure, the organic solvent from the solution containing the hydroxy azide 8 is first evaporated and the product is extracted into ether. The ether layer is dried over sodium sulfate and subsequently evaporated to give a product that is sufficiently pure (by NMR analysis) for the next step of the reaction sequence.

In an alternative embodiment of this invention, the trans epoxide 5 undergoes a syn-ring opening with HN_3 , preferably in a nonpolar aprotic solvent such as benzene, toluene, hexane, tetrahydrofuran, diethyl ether, methylene chloride or chloroform to directly form the hydroxy azide 8.

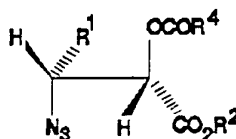
For example, a sample of trans epoxide 5a, methyl trans-3-phenyloxiranecarboxylate, was treated with excess hydrogen azide dissolved in benzene containing a few drops of boron trifluoride etherate for 7 days at room temperature. The excess hydrogen azide was then quenched by addition of solid anhydrous sodium bicarbonate, the

- 27 -

- resulting mixture filtered, and the solvent removed by evaporation. The hydroxy azide product **8a** was purified by silica gel chromatography with 10% ethyl acetate in hexane.

Once the heterocycle has been opened with the nucleophile to form the azide side chain precursor **8**, the hydroxy azide, also called an azido alcohol, is caused to undergo an esterification/hydrogenation/rearrangement to form the racemic taxane side chain.

Generally, in the esterification step, the hydroxyl group of the azido alcohol **8** is protected by conversion to the corresponding azido ester having the following formula:



20

(9)

wherein the COR⁴ group is the hydroxyl protecting group wherein R⁴ is selected from the group consisting of R¹ (as described above) or OR⁵, wherein R⁵ is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8 linear or branched alkynyl, C5-C20 aryl. Preferably, the esterification is a benzylation, i.e., R⁴ is a phenyl group and the resulting azido ester is (±)-methyl threo-3-azido-2-benzoyl-3-phenylpropionate.

The hydroxyl protecting group chosen must be capable of subsequently undergoing transfer from the O (hydroxyl group) to the N (azido group - in either its nascent or subsequently converted form), which is next

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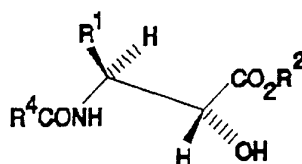
- phase of the esterification/hydrogenation/rearrangement. See Protective Groups in Organic Synthesis, by Theodora W. Greene, published by John Wiley, New York, 1981, incorporated herein by reference, for a description of additional suitable protecting groups.

5 The esterification may be facilitated by the use of chemical reagents to increase the rate of azido ester formation. Generally, such reagents include but are not limited to acyl transfer catalysts, such as pyridine or dimethylaminopyridine; and dehydrating agents, such as
10 dicyclohexylcarbodiimide, sulfonyl chloride, carbonyldiimidazole, oxalyl chloride, triphenylphosphine/ BrCl_3C , and 2-chloropyridinium salts.

 Once the hydroxyl group has been protected by esterification, the hydrogenation/rearrangement is
15 performed. The azido group is hydrogenated by conversion from its nascent form (i.e., N_3) into its desired form, such as an amine group (i.e., NH_2), by reduction. Generally, the reduction is performed either by using hydrogen gas and a hydrogenation catalyst, hydrogen
20 generating source, such as 1,4-cyclohexadiene or ammonium formate and a hydrogenation catalyst, or by using a hydride source such as the boron hydride reagents.

 As the reaction progresses, the rearrangement proceeds as the amine group formed in the hydrogenation-
25 reduction attacks the hydroxyl protecting group, i.e., the COR^4 group. This attack transfers the COR^4 protecting group from the hydroxyl group site of the azido ester to the amine group site (i.e., an $\text{O} \rightarrow \text{N}$ transfer), and results in the formation of the desired taxane side chain
30 having the following formula:

- 29 -

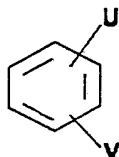


(1)

Preferred taxane side chains synthesized in this manner contemplated by this invention can be selected from the group consisting of compounds having the formula (1), wherein R¹ is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8 linear or branched alkynyl, C5-C20 aryl, indole, thiophenyl, furanyl, quinoline, C1-C8 hydroxyalkyl, C1-C6 aminoalkyl, and 2-, 3-, or 4-pyridino; R² is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, and C7-C12 alkylphenyl; and R⁴ is selected from the group consisting of R¹ or OR⁵ wherein R⁵ is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8 linear or branched alkynyl, C5-C20 aryl.

More preferably, R¹ of the taxane side chain is selected from the group having the formula

- 30 -



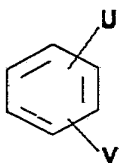
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(3)

10 wherein U and V are independently selected from the group consisting of hydrogen, halogen, hydroxyl, thiol, nitro, azide, amino, C2-C8 alkyl- or aryl-N-amido, C2-C8 alkyl- or arylcarboxylate, C1-C8 carboalkoxy, C1-C8 carboaryloxy, C2-C8 alkyl- or aryl-s-thiocarboxylate, C1-C4 alkoxy, C1-C8 monoalkylamino, C1-C8 dialkylamino, C1-C8 linear or
15 branched alkyl, C1-C8 thioalkyl, or C1-C8 alkyl- or arylcarbonate, C1-C8 alkyl- or arylcarbamate, C1-C8 alkyl- or arylurea, trichloromethyl, and trifluoromethyl; R² is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, and C7-C12 alkylphenyl;
20 and R⁴ is selected from the same group as R¹.

Even more preferably R¹ of the taxane side chain has the formula:

25



30

wherein U and V are selected from the group consisting of hydrogen, halogen, azide, amino, trichloromethyl, and trifluoromethyl; R₂ is a methyl group; and R⁴ is a phenyl
35 group.

- 31 -

Most preferably R¹ and R⁴ are phenyl groups and R² is a methyl group. This is the taxol side chain (1a).

For example, Denis et al., J. Org. Chem., 55, 1959-64 (1990), which is incorporated by reference,

describe a one-pot benzylation-hydrogenation in ethyl acetate of the hydroxy azide 8a, to form the taxol side chain methyl ester 1a. A mixture of hydroxy azide,

benzoyl chloride, triethylamine and 4-

(dimethylamino)pyridine in ethyl acetate was stirred under argon at 20°C for 4 hours, whereupon methanol was added.

After being stirred for an additional 3 hours, the reaction mixture was treated with 10% palladium on carbon and then placed under a hydrogen atmosphere. The

resulting mixture was stirred for 68 hours and then

processed with dichloromethane in the usual manner to

afford the crude product, which was purified by silica gel chromatography with 5% ether in dichloromethane to give

the taxol side chain methyl ester 1a.

It has been observed that the chromatography step can usually be avoided by carefully monitoring the

hydrogenation/rearrangement reaction by TLC to insure it goes to completion. This method has been modified for

"scale-up" to include first dilution with ethyl acetate,

then washes first with dilute aqueous acid (i.e. 5% HCl)

and second with saturated sodium bicarbonate solution.

Subsequent drying of the ethyl acetate layer over a

desiccant (sodium or magnesium sulfate) and evaporation of

the solvent gives a product that can be purified by

crystallization (from alcohol or ethyl acetate/hexane mixtures).

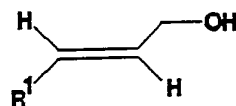
Another method of preparing the taxane side chain according to the present invention starts with the

epoxidation of an appropriately substituted racemic

hydroxylated olefin in which the groups R¹ and CH₂OH are

trans. Preferably, the olefin has the formula:

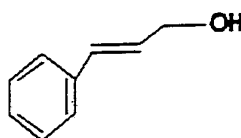
- 32 -



(10)

wherein R¹ is as described above.

More preferably, the olefin in which the groups R¹ and CH₂OH are trans is the trans-cinnamyl alcohol, 3-phenyl-2-propen-1-ol, with the following formula:

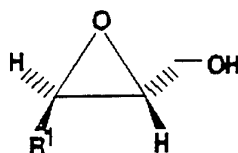


(10a)

The racemic olefin in which the groups R¹ and CH₂OH are trans 10 is treated with an epoxidizing agent such as meta-chloroperbenzoic acid or another peracid, such as perbenzoic acid, peracetic acid, performic acid, peroxytrifluoroacetic acid, or peroxyphthalic acid to provide a racemic hydroxy epoxide in which the groups R¹ and CH₂OH are trans with the following formula:

- 33 -

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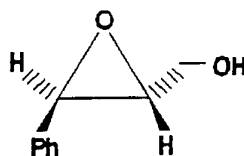
(11)

10

wherein R¹ is as described above.

More preferably, the racemic hydroxy epoxide is (±)-trans-3-phenyloxiranemethanol with the following formula:

15



20

(11a)

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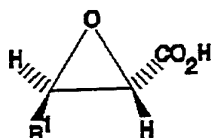
The racemic hydroxy epoxide 11 in which the groups R¹ and CH₂OH are trans is then oxidized and esterified. First, the racemic hydroxy epoxide 11 is oxidized with a mild oxidant, such as ruthenium trichloride-sodium periodate, to form a racemic carboxylic acid epoxide in which the groups R¹ and CO₂H are trans

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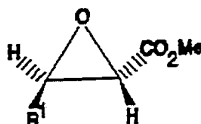
- having the following formula:



(12)

wherein R¹ is as described above. Other mild oxidants are described in H.O. House, Modern Synthetic Reactions, pp. 292-96 (2d Ed., W.A. Benjamin, Menlo Park, CA).

The racemic carboxylic acid epoxide 12 is in turn converted with an esterification agent such as ethereal diazomethane into the racemic heterocycle in which the groups R¹ and CO₂Me are trans with the following formula:



(12a)

Most preferred in the racemic heterocycle in which the groups R¹ and CO₂Me are trans, R¹ is a phenyl group. This is equivalent to 5a, which is produced in the Darzens condensation of the preferred embodiment described above.

These ruthenium trichloride oxidation and diazomethane esterification steps are described by Denis et al., J. Org. Chem., 51, p. 46-50 (1986), which is

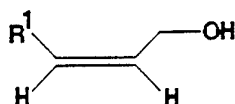
- 35 -

- incorporated herein by reference.

The racemic heterocycle 12a in which the groups R^1 and CO_2Me are trans formed in this embodiment is equivalent to the heterocyclic epoxide ring 5 produced in the Darzens condensation of the preferred embodiment described above, where R^2 is a methyl group. Thus, the taxane side chain 1 may then be formed according to the methods described above for converting the epoxide 5 to the hydroxy azide side chain precursor and then to the taxane side chain.

Another method of producing the taxane side chain according to the present invention starts with the epoxidation of an appropriately substituted hydroxylated olefin in which the groups R^1 and CH_2OH are cis.

Preferably, the cis-olefin has the formula:



(13)

wherein R^1 is as described above. More preferably, R^1 is a phenyl group, i.e., the olefin is the cis-cinnamyl alcohol, cis-3-phenyl-2-propen-1-ol.

The racemic olefin 13 is treated with an epoxidizing agent such as meta-chloroperbenzoic acid or another peracid such as perbenzoic acid, peracetic acid, performic acid, peroxytrifluoroacetic acid, or peroxyphthalic acid to provide a racemic hydroxy epoxide in which the groups R^1 and CH_2OH are cis having the

- 36 -

• following formula:



(14)

10 wherein R¹ is as described above. More preferably, R¹ is a phenyl group, i.e., the racemic hydroxy epoxide is (±)-cis-3-phenyloxiranemethanol.

Preferably, the olefin 13 in which the groups R¹ and CH₂OH are cis is provided in a 0.5M to 2.5M organic solvent solution such as chloroform, methylene chloride, trichloromethane containing sodium or potassium bicarbonate to maintain an alkaline reaction. Most preferably, the olefin/organic solvent solution is about 1.5M. The resulting mixture is stirred while slowly adding, for example, a chloroform solution of meta-chloroperbenzoic acid or another peracid. The mixture is heated to reflux for 3-6 hours and then left undisturbed for 8-24 hours to allow sufficient precipitation of benzoic acid. The mixture is then cooled in an ice bath and the benzoic acid and unreacted peracid precipitate removed by filtration. The organic layer is preferably sequentially washed with about a 20% sodium bisulfite solution to consume the unreacted peracid, a saturated sodium bicarbonate solution to remove carboxylic acid and finally with a saturated sodium chloride solution to remove water. The organic layer is further dried over desiccant such as anhydrous magnesium sulfate and concentrated by rotary evaporation to an oil. The oil is subjected to flash silica gel chromatography using ethyl acetate in petroleum ether as eluant to obtain 14.

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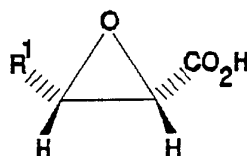
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- 37 -

The racemic hydroxy epoxide **14** in which the groups R^1 and CH_2OH are cis is then oxidized and esterified. First, the racemic hydroxy epoxide is oxidized with a mild oxidant, such as ruthenium trichloride-sodium periodate, to form a racemic carboxylic acid epoxide in which the groups R^1 and CO_2H are cis having the following formula:

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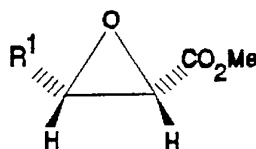
(15)

wherein R^1 is as described above. Other mild oxidants are described in H.O. House, Modern Synthetic Reactions, pp. 292-96 (2d Ed., W.A. Benjamin, Menlo Park, CA).

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The racemic carboxylic acid epoxide **15** is in turn converted with an esterification agent such as ethereal diazomethane into a racemic heterocycle in which the groups R^1 and CO_2Me are cis with the following formula:

25



30

(16)

wherein R^1 is as described above.

Oxidation and esterification steps such as these are described by Denis et al., J. Org. Chem., 51, p. 46-50

35

- 38 -

- (1986), which is incorporated herein by reference.

The racemic heterocycle 16 formed in this embodiment is equivalent to that which is produced in S_N2 ring closure of the halohydrin 6, which is 7, wherein R^2 is a methyl group. Thus, the taxane side chain 1 may then be formed according to the methods described above for converting the epoxide 7 to the hydroxy azide side chain precursor 8 and then to the taxane side chain 1.

RESOLUTION OF THE OPTICALLY PURE TAXOL SIDE CHAIN

Surprisingly, it has now advantageously been found that the racemic taxol side chain and its derivatives can be made to crystallize into conglomerates. It is contemplated by this invention that a racemic taxane side chain or taxane side chain precursor/intermediate whose attachment at the C-13 point of the taxane ring nucleus confers anti-tumor activity in the resulting taxane, will likewise be capable of exhibiting conglomerate behavior. Thus, it is also contemplated by this invention that the substantially optically pure enantiomers of these side chains can be resolved by direct crystallization methods.

There are several ways to determine whether a racemic compound will exhibit conglomerate behavior. In the course of the present invention, X-ray crystallography was utilized to analyze five separate crystals from a racemic mixture of enantiomers of the taxol side chain 1a. Successful mathematical solution of the X-ray data was only possible for the case of a single enantiomer in the asymmetric unit of the acentric space group $P2_1$. In the case of the taxol side chain 1a, the individual crystals analyzed were thus each comprised of only a single enantiomer, i.e., the racemic taxol side chain had crystallized in the form of a conglomerate. Thus, it was discovered that the enantiomers of the racemic taxol side chain are resolvable by industrial scale direct crystallization methods, which heretofore have never been

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used in the production of taxanes.

Another method of determining whether a racemic compound will exhibit conglomerate behavior involves physical testing of the melting points of the racemic and the pure enantiomers. As discussed above, generally if
5 the melting point of the resolved enantiomer is at least 20°C higher than the melting point of the racemic mixture, the racemic compound will crystallize in the form of a conglomerate.

Another method of determining conglomerate
10 behavior involves conducting a visual morphological appearance analysis of the crystals from a racemate. Generally, each crystal of a conglomerate is either completely + or completely -. Further, the + and - crystals are themselves non-superimposable mirror images
15 of each other. These enantiomerically pure crystalline forms can thus be distinguished visually with the aid of even a low power microscope. To determine whether a given racemic compound forms a conglomerate, the practitioner can view a group of crystals of the racemic compound and
20 determine whether they exist in right and left-handed forms. If so, the compound has crystallized into a conglomerate.

The resolution of the substantially optically pure enantiomers of the conglomerate forming racemate is
25 achieved by direct crystallization methods such as manual sorting of the conglomerate crystals, by localized and differentiated crystallization techniques, and by an entrainment procedure as depicted in Figure 1. The entrainment procedure is preferred in that it allows for
30 the resolution of the optically pure side chain in industrial scale quantities.

Direct crystallization methods for the resolution of the enantiomers of the conglomerate provide a high degree of optical purity of the final product. As
35 used herein, "substantially optically pure" means any

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- molecular system which possesses an enantiomeric or diastereomeric excess of greater than about 50%. More preferably, "substantially optically pure" means any molecular system which possesses an enantiomeric or diastereomeric excess of greater than about 75%. Most preferably, "substantially optically pure" means any molecular system which possesses an enantiomeric or diastereomeric excess of greater than about 90%.

Resolution by manual sorting involves separating the + and - forms of the conglomerate on the basis of the morphological appearance of crystals formed during a simple crystallization, while the mother liquor remains racemic. For example, the crystals of the + form and the - form may be sufficiently different in crystalline appearance that they may be separated by manual means. The crystalline forms are in fact mirror images of each other and can thus be distinguished by the right-handed and left-handed nature of the crystalline forms.

Resolution by localized crystallization involves the simultaneous exposure of a solution that is supersaturated with respect to a racemic compound dissolved in the solvent to seed crystals of both + and - enantiomers that are placed in geographically separated locations in the crystallization vessel, and which serves as seed crystals for the further crystallization of the like enantiomers from the racemate. For a description of localized crystallization and its applications to both laboratory and industrial work, see Collet et al., Chemical Reviews, Vol. 60, pp. 215-30 (1980), which is incorporated herein by reference.

The use of differentiated crystallization depends upon the formation of crystals of a different size for each enantiomer, while the mother liquor remains racemic. Such crystals may be grown by adding to a solution that is supersaturated with respect to a racemic compound, a large seed crystal of one enantiomer, such as

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the + enantiomer. As crystallization proceeds, the + enantiomer aggregates and crystallizes directly upon the seed crystal to give rise to even larger crystals of that enantiomer, while the - enantiomer forms smaller crystals distributed about the crystallization flask. Following removal of the solvent, the compound may be resolved by simply sifting the formed crystals. For a detailed description of differentiated crystallization, see Collet et al., Chemical Reviews, Vol. 60, pp. 215-230 (1980).

Industrial scale separation of enantiomers from a conglomerate forming racemate is most readily practiced using a procedure known as resolution by entrainment. The separation of enantiomers is based on the property of conglomerate forming compounds that the solubility of a particular enantiomer will be less than that of the corresponding racemic compound. Crystallization of one enantiomer, such as the + enantiomer, results in the mother liquor being enriched in the opposite - enantiomer. For a detailed description of resolution by entrainment, see Collet et al., Chemical Reviews, Vol. 60, pp. 215-30 (1980).

The following general entrainment protocol is followed for the resolution of a racemic mixture ($\pm$ mixture) into its substantially optically pure + and - enantiomers. First, an amount of the $\pm$ mixture to be resolved is completely dissolved in a warmed solvent along with a small amount of one enantiomer, which is now in excess. The solution is then cooled to a crystallization temperature. Seed crystals of that enantiomer in excess are then added to the solution, also called the "mother liquor." The steps of dissolving the racemic mixture in a warm solvent along with a slight excess of one enantiomer, cooling the solvent and adding seed crystals of the enantiomer in excess, has the effect of creating a solution that is supersaturated with respect to the enantiomer whose seed crystals are added. Preferably, the

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- enantiomer in excess should be present in sufficient quantities to achieve a 1%-15% excess in solution of that enantiomer by weight. More preferably, 3%-8% by weight.

As the solution cools, the enantiomer in excess that supersaturates the solution, for example the + enantiomer, begins to crystallize upon its seed crystals. The crystallization is allowed to proceed for an appropriate duration; for example, 1 to 3 hours was sufficient to perform the entrainment protocol as depicted in Figure 1. Generally, the crystallization should proceed for a duration of time such that 5% to 10% of the total mass of taxol side chain present in solution crystallizes upon the seed crystal as a single enantiomer. The practitioner may then remove the crystals by any convenient means, and determine the mass of the + enantiomer removed. Now, however, the solution mother liquor is saturated with respect to the - enantiomer. The practitioner therefore adds an amount of the racemic compound equal to the amount of the + enantiomer removed above, then warms the solution to completely dissolve the freshly added racemic compound in the solution. Preferably, the solution vessel is warmed to about 35°C-50°C. After sufficient warming to dissolve solids, the solution is cooled to a crystallization temperature. Preferably, the solution is cooled to a crystallization temperature of 10°C-30°C. Most preferably, 15°C-25°C. Upon cooling, seed crystals of the - enantiomer are added to the solution, which now supersaturated with respect to the - enantiomer, begins to precipitate crystals of -. The process may now be repeated as described above, with the addition of racemic material followed by seed crystals of the + enantiomer. See Figure 1 for a more detailed example of this procedure.

A resolution by entrainment is therefore an iterative procedure that may be performed on any scale and with any number of iterations as desired. Thus, a

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- resolution by entrainment is useful on either a laboratory or industrial scale.

In the entrainment method of the present invention, the taxol side chain or its derivatives are preferably dissolved in a solvent, although supercooled melts may also be applicable. More preferably, the side chain or its derivatives are dissolved in a warmed solvent in order to create a supersaturated solution. Any solvent in which the side chain dissolves and in which each enantiomer has a unique solubility relative to that of the racemate may be used in the method of the present invention. Preferred solvents may be selected from the group consisting of dimethylsulfoxide, dimethylformamide, dimethylacetamide, N-methylpyrrolidinone, chloroform, trichloromethane, methylene chloride, acetone, methanol, ethanol, isopropanol and water and mixtures thereof. As stated above, a seed crystal is added to the cooled supersaturated solution. The seed crystal may be added in any convenient manner. For example, the seed crystal may be simply dropped into a solution of material to be resolved, or it may be adhered to a retractable object, such as a glass rod or chemically inert line or string, to which other material subsequently crystallizes. Generally, the highest optical purity will be obtained with the slowest crystallization rates. The crystallization rate is often highly temperature dependent, with the crystallization rate increasing as temperature falls since the solubility of the compound generally decreases as temperature decreases. Further, the level of saturation is highly dependent upon the solvent chosen and the compound in question. To obtain an enantiomeric purity of greater than 90%, the solvent, temperature and crystallization rate must be adjusted accordingly.

The crystallized material may be removed by any convenient method. Commonly used methods of removing

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- solid material from liquid include decanting or centrifugation. Preferred methods include gravity, vacuum or pressure filtration. Alternatively, an object containing adhered crystals may be simply removed from the crystallization liquor.

5 The resolution of the taxane side chain through entrainment according to the process of the present invention is capable of providing large quantities of substantially optically pure enantiomers. Such quantities may be obtained by using the substantially optically pure
10 enantiomers obtained as seed crystals to purify additional racemic solutions of the side chain. These resolutions can be repeated until the desired quantities of substantially pure enantiomers are obtained.

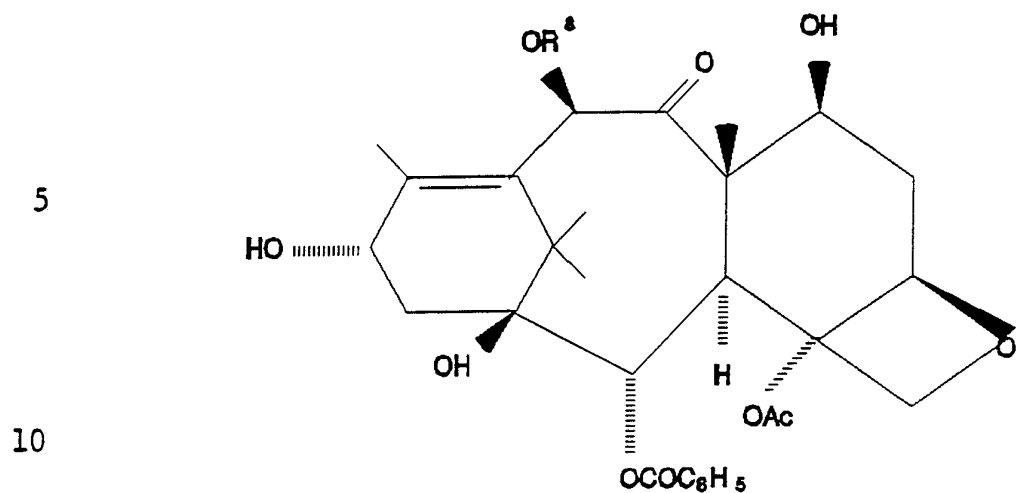
 For instance, approximately 4.0 g of - or
15 (2R,3S)-taxol side chain methyl ester and approximately 3.2 g of + or (2S,3R)-taxol side chain methyl ester were obtained after only nine such cycles while starting with approximately 10 g of the racemate conglomerate and approximately 0.4 g of the (2R,3S)-enantiomer. See Figure
20 1. Furthermore, the isolated products were greater than 95% optically pure; the (2R,3S)-isomer specific rotation $[\alpha]^{25D} - 47.2^\circ$ (MeOH), the (2S,3R)-isomer specific rotation $[\alpha]^{25D} + 47.7^\circ$ (MeOH).

 PREPARATION OF THE TAXANE SIDE CHAIN
25 FOR COUPLING TO THE TAXANE RING NUCLEUS

 This invention also contemplates the synthesis and resolution of the substantially optically pure taxane side chains such as the taxol side chain and derivatives thereof suitable for coupling to a taxane ring nucleus
30 such as 10-desacetylbaccatin III and baccatin III, which

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are depicted below:



(17)

15

III

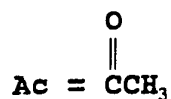
 $R^8 = H$

10-Desacetyl Baccatin

 $R^8 = Ac$

Baccatin III

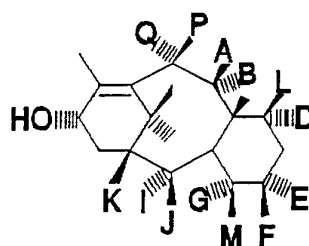
20



Acetate

Additional taxane ring nucleus formulas include:

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(18)

wherein A and B are independently hydrogen or lower
 alkanoyloxy, alkenoyloxy, alkynoyloxy, or aryloxy or A
 and B together form an oxo; L and D are independently

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hydrogen or hydroxy or lower alkanoyloxy, alkenoyloxy, alkynoyloxy, or aryloyloxy; E and F are independently hydrogen or lower alkanoyloxy, alkenoyloxy, alkynoyloxy, or aryloyloxy or; E and F together form an oxo; G is hydrogen or hydroxy or lower alkanoyloxy, alkenoyloxy, alkynoyloxy, or aryloyloxy or G and M together form an oxo or methylene or G and M together form an oxirane or M and F together form an oxetane; J is hydrogen, hydroxy, or lower alkanoyloxy, alkenoyloxy, alkynoyloxy, or aryloyloxy or I is hydrogen, hydroxy, or lower alkanoyloxy, alkenoyloxy, alkynoyloxy, or aryloyloxy; or I and J taken together form an oxo; and K is hydrogen, hydroxy or lower alkoxy, alkanoyloxy, alkenoyloxy, alkynoyloxy, or aryloyloxy; and P and Q are independently hydrogen or lower alkanoyloxy, alkenoyloxy, alkynoyloxy, or aryloyloxy or P and Q together form an oxo.

The above taxane ring nuclei may be obtained from plant matter and then prepared by conventional means such as described in Denis et al., Journal American Chemical Society, Vol. 110, pp. 5917-19 (1988). For example, Denis et al., U.S. Patent 4,924,011, refer to the preparation of the taxane ring nucleus 7-triethylsilylbaccatin-III.

After the racemic taxane side chain has been successfully resolved into its optically pure form, the optically pure side chain must be prepared for coupling to the taxane ring nucleus to produce the taxane.

The resolved (2R,3S)-taxane side chain 1 is first converted to its hydroxyl protected form of the

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- 47 -

following formula:



(19)

10 by attachment of a hydroxyl protecting group R^6 , wherein R^6 is a hydroxyl protecting group selected from the group consisting of ethoxyethyl, methoxymethyl, ethoxymethyl, 2-trimethylsilylethoxymethyl, 2,2,2-trichloroethoxymethyl, methylthiomethyl, 2-methoxyethoxymethyl, 15 tetrahydropyranol, trimethylsilyl, isopropyldimethylsilyl, tert-butyldimethylsilyl, and triphenylmethyldimethylsilyl; R^1 , R^2 , R^4 are as described above. Most preferred hydroxyl protecting groups are selected from the group consisting of ethoxyethyl, methoxymethyl, ethoxymethyl, 2- 20 trimethylsilylethoxymethyl and 2,2,2-trichloroethoxymethyl. These hydroxyl protecting groups and others are described in Denis et al., J. Org. Chem., 51, p. 46-50 (1986); Denis et al., J. Am. Chem. Soc., Vol. 110, pp 5917-19 (1988) and Swindell et al., J. Med. Chem., 25 Vol. 34, pp. 1176-84 (1991).

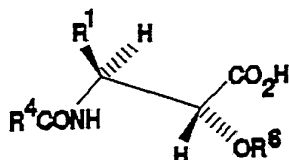
Thereafter, saponification of the R^2 group back to hydrogen is performed to form the protected taxane side

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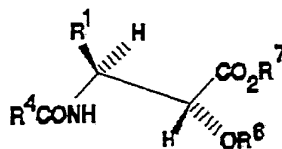
- chain free carboxylic acid of the following formula:



(20)

This protected taxane side chain free carboxylic acid is then coupled to the taxane ring nucleus to provide the desired taxane. This coupling is achieved through the use of an activating reagent. Preferred activating reagents include dicyclohexylcarbodiimide, carbonyldiimidazole, di-2-pyridyl carbonate, oxalyl chloride, sulfonyl chloride or any of the activating or dehydrating agents described in Compendium Of Organic Synthetic Methods, Vols. 1-6, §107 (Wiley & Sons, NY).

Alternatively, the coupling of the taxane side chain free carboxylic acid to the taxane nucleus may be achieved through formation of an activated ester of the following formula:



(21)

wherein R⁷ is selected from the group consisting of dichlorophenyl, 1,3,5-trichlorophenyl, 2-nitrophenyl, 4-nitrophenyl and 2,4-dinitrophenyl. Methodologies for

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- the formation of such activated esters are described in Compendium Of Organic Synthetic Methods, Vols. 1-6, \$107 (Wiley & Sons, NY); Holton, U.S. Patent 5,015,744, issued May 14, 1991 and Denis et al., U.S. Patent 4,924,011, issued May 8, 1990, which are incorporated herein by reference.

The examples that follow, given without implied limitation, show how the invention can be put into practice.

Example 1

- (±)-Methyl trans-3-phenyloxiranecarboxylate
(5a) by Darzens Condensation

To a solution of sodium metal (76.0 g, 3.3 g atom) in methanol (1000 ml), which had been chilled to 0°C in an ice-salt bath, was added a mixture of benzaldehyde 2 (233.5 g, 2.2 mol) and methyl chloroacetate 4 (358.7 g, 3.3 mol) over a period of 3-4 hours at a rate so as to maintain the temperature of the reaction at about 0°C. The mixture was then stirred overnight at ambient temperature. After concentration by rotary evaporation to about 500 ml of volume, the mixture was poured into water (1500 ml). The product was extracted with diethyl ether (4 x 300 ml) and dried over anhydrous magnesium sulfate. The solvent was then removed on a rotary evaporator and the residue distilled (108-110°C, 0.6 mm Hg) to yield (±)-methyl trans-3-phenyloxiranecarboxylate 5a (288.3 g, 73%).

Example 2

(±)-Methyl-threo-3-chloro-2-hydroxy-3-phenylpropionate
(6a) by Syn-Ring Opening

Hydrogen chloride gas was bubbled through a solution of (±)-methyl trans-3-phenyloxiranecarboxylate 5a (89.1 g, 0.50 mol) in dry benzene (1000 ml) at ambient temperature until the starting material was consumed as detected by thin layer chromatography. The reaction was generally judged to be complete in 3-4 hours. The excess hydrogen chloride was then removed by stirring the mixture

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- under a partial vacuum. The colorless residue following removal of the solvent by rotary evaporation was triturated with petroleum ether-benzene to form the substantially pure chlorohydrin ($\pm$)-**6a** (69.8 g, 65%).

Example 3

- 5 ($\pm$)-Methyl cis-3-phenyloxiranecarboxylate (**7a**) by S_N2 Ring Closure

Sodium carbonate (3.5 g, 7% solution) was added to a suspension of the threo-chlorohydrin **6a** (2.15 g, 0.01 mmol) in water (46.5 ml) followed by addition of acetone
10 (50 ml). The mixture was stirred at 50°C for 2 hours before removing the acetone in vacuo and extracting the residue with diethyl ether (2 x 100 ml). The ethereal extracts were in turn washed with water (3 x 50 ml), dried over anhydrous magnesium sulfate, and evaporated to an oil
15 on a rotary evaporator. Distillation (110-112°C, 0.6 mm Hg) of the resulting crude oil afforded the substantially pure compound ($\pm$)-**7a** (0.90 g, 50%).

Example 4

- 20 ($\pm$)-methyl-3-azido-2-hydroxy-3-phenylpropionate (**8a**) by selective cleavage of (**7a**)

To a solution of ($\pm$)-methyl cis-3-phenyloxiranecarboxylate **7a** (27.0 g, 0.15 mol) in methanol (712 mL) was added methyl formate (126 mL) followed by addition of sodium azide (49.2 g, 0.76 mol) in water (88
25 mL). The whole mixture was stirred under nitrogen at 50°C for 46 hours before concentrating the reaction by rotary evaporation. The product was extracted into diethyl ether (4 x 50 mL), and the combined ethereal extracts dried over sodium sulfate and rotary evaporated to give 31.2 g (93%)
30 of ($\pm$)-methyl threo-3-azido-2-hydroxy-3-phenylpropionate that was sufficiently pure (>95% by NMR analysis) for the next step.

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Example 5

(±)-methyl-3-azido-2-hydroxy-3-phenylpropionate (**8a**) by
Syn-Ring opening of (**5a**)

(±)-Methyl trans-3-phenyloxiranecarboxylate **5a**
(17.82 g, 0.1 mol) was added to a solution of benzene (200
5 ml) containing hydrogen azide (0.5 mol). A few drops (5-
10 drops) of boron trifluoride etherate were added and the
reaction allowed to stand at room temperature for 7 days.
Anhydrous sodium carbonate was then added to consume the
10 excess hydrogen azide, followed by filtration of the
resulting mixture. The solvent was then removed by rotary
evaporation and the residue chromatographed on silica gel
while eluting with 10% ethyl acetate in hexane to give
recovered (±)-methyl trans-3-phenyloxirane carboxylate
(5.8 g, 33%) and a mixture of erythro and threo azide
15 alcohols. The latter two compounds were separated by
passage through a second column of silica gel to give (±)-
methyl-3-azido-2-hydroxy-3-phenylpropionate **8a** (8.5 g,
38%).

Example 6

20 (±)-Methyl threo-3-azido-2-benzoyl-3-phenylpropionate (**9**)
Benzoyl chloride (2.55 mL, 22.0 mmol) and 4-
dimethylaminopyridine (25 mg) were added to a solution of
(±)-methyl threo-3-azido-2-hydroxy-3-phenylpropionate **8a**
(4.42 g, 20.0 mmol) and triethylamine (2.42 g, 24.0 mmol)
25 in methylene chloride (50 mL). After stirring for 1 hour
at room temperature, the reaction was quenched by addition
of water (5 mL), the methylene chloride layer was
separated, and the aqueous phase extracted with methylene
chloride (3 x 10 mL). The combined organic phases were
30 washed with saturated sodium bicarbonate solution (3 x 25
mL) and dried over sodium sulfate. The raw product (6.6
g) obtained by rotary evaporation of the solvent was
crystallized from petroleum ether-ethyl acetate to give
pure (±)-methyl threo-3-azido-2-hydroxy-3-phenylpropionate
35 **9** (6.40 g, 98%).

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Example 7

(±)-N-Benzoyl-3-phenylisoserine methyl ester: (±)-taxol side chain methyl ester (**1a**)

A mixture of (±)-methyl threo-3-azido-2-hydroxy-3-phenylpropionate **9** (3.00 g, 9.22 mmol) and 10% palladium on carbon (600 mg) in methanol (185 mL) was vigorously stirred under 1 atmosphere of hydrogen. After 15 hours, the hydrogen was replaced with a nitrogen atmosphere, the mixture was filtered, and the filtrate was allowed to stand at room temperature for 72 hours. The methanol was then removed by rotary evaporation to leave a crude solid that was purified by precipitation from methylene chloride with cyclohexane (2.46 g, 89%). Crystallization of this material from chloroform yielded 1.65 g (60%) of (±)-N-Benzoyl-3-phenylisoserine methyl ester (racemic taxol side chain methyl ester) **1a**.

Example 8

(±)-Trans-3-phenyloxiranemethanol (**11a**) by epoxidation

A 500 mL, three-necked flask fitted with a reflux condenser and a dropping funnel was charged with a chloroform (25 mL), trans-cinnamyl alcohol **10a** (5.00 g, 37.0 mmol) and sodium bicarbonate (4.20 g, 50.0 mmol). The mixture was magnetically stirred while adding a chloroform (100 mL) solution of 85% meta-chloroperoxybenzoic acid (9.07 g, 45.0 mmol) dropwise over the period of one hour. The mixture was then heated to reflux for 3.5 hours and kept overnight at ambient temperature. The contents of the flask were cooled in an ice bath and the precipitated benzoic acid removed by filtration. The organic layer was washed sequentially with 20% sodium bisulfite solution (35 mL) to consume excess peracid, saturated sodium bicarbonate solution (2 x 50 mL) to remove excess benzoic acid, and finally with saturated sodium chloride solution (50 mL) to remove excess water from the organic phase. The organic layer was then dried over magnesium sulfate, filtered to remove the desiccant

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and the solution concentrated by rotary evaporation to an oil. Chromatography of the raw product on silica gel while eluting with 35% ethyl acetate in petroleum ether provided fractions of pure product, which upon rotary evaporation gave ($\pm$)-trans-3-phenyloxiranemethanol **11a** (4.46 g, 82%).

Example 9

($\pm$)-Methyl trans-3-phenyloxiranecarboxylate (**12a**) by oxidation/esterification

Sodium bicarbonate (8.40 g, 100.0 mmol), sodium periodate (12.81 g, 59.9 mmol) and ruthenium trichloride (0.12 g, 0.58 mmol) were added to a vigorously stirred mixture of ($\pm$)-trans-3-phenyloxiranemethanol **11a** (3.00 g, 19.9 mmol) in a solvent system comprised of carbon tetrachloride:acetonitrile:water (1:1:1.5, 140 mL). The reaction was stirred at ambient temperature for 44 hours prior to dilution with water (100 mL). The solution at ice temperature was adjusted to pH 1-2 with 10% hydrochloric acid solution and the resulting cold aqueous solution extracted with precooled diethyl ether (7 x 30 mL). The black or organic extract was dried over sodium sulfate and quickly filtered through a short funnel packed with flash silica, followed by washes with cold diethyl ether (2 x 20 mL). The almost clear ether layer at ice temperature was immediately treated with excess diazomethane, after which the reaction was allowed to gradually warm to ambient temperature and sit in a fume hood overnight. Anhydrous magnesium sulfate was slowly added to the resulting solution, the mixture filtered, and the solvent removed by rotary evaporation. The raw product was purified by flash silica gel chromatography while eluting with 35% ethyl acetate in petroleum ether to give ($\pm$)-methyl trans-3-phenyloxiranecarboxylate **12a** (3.31 g, 93%) upon rotary evaporation of the appropriate column fractions.

Example 10

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- (±)-cis-3-phenyloxiranemethanol (14) by epoxidation

A 250 mL three-necked flask fitted with a reflux condenser, stir bar and a dropping funnel was charged with a chloroform solution (25 mL) of
5 cis-3-phenyl-2-propene-1-ol 13 (5.00 g, 37.0 mmol.) and sodium bicarbonate (4.20 g, 50.0 mmol). The mixture was stirred while adding a chloroform solution (100 mL) of 85% m-chloroperbenzoic acid (9.10 g, 45.0 mmol) dropwise over a period of 1 hour. The mixture was heated to reflux for 3.5 hours and then allowed to sit overnight. The contents
10 of the flask were cooled in an ice bath and the precipitate removed by filtration. The organic layer was sequentially washed with a 20% sodium bisulfite solution (35 mL), a saturated sodium bicarbonate solution (2 x 50 mL) and finally a saturated sodium chloride solution (50
15 mL). The organic layer was dried over anhydrous magnesium sulfate and concentrated to an oil by rotary evaporation. The oil was subjected to flash silica gel chromatography using 35% ethyl acetate in petroleum ether as eluant. The major fraction was identified as the compound (±)-14 (4.60
20 g, 82%).

Example 11

- (±)- Methyl cis-3-phenyloxiranecarboxylate (16) by oxidation/esterification

A 2 L, one-necked flask fitted with a stir bar
25 was charged with (±)-cis-3-phenyloxiranemethanol 14 (3.71 g, 20.9 mmol), and a mixture of carbon tetrachloride:acetonitrile:water (1:1:1.5, 150 mL). Sodium bicarbonate (8.82 g, 105 mmol), sodium periodate
30 (13.46 g, 63.0 mmol) and ruthenium trichloride (0.13 g, 0.61 mmol) were added to the vigorously stirred mixture. The reaction was stirred at ambient temperature for 44 hours before diluting with water (100 mL). Following cooling of the reaction to 0°C, the pH was adjusted to about 1-2 with 10% hydrochloric acid solution. The cold
35 aqueous solution was extracted with precooled diethyl

- 55 -

ether (7 x 30 mL). The black organic solution was dried over anhydrous sodium sulfate and quickly filtered through a short funnel packed with flash silica and washed with cold diethyl ether (2 x 20 mL). The almost clear ether layer at ice temperature was immediately treated with excess diazomethane. The solution was gradually warmed to room temperature and placed and left in a fume hood overnight. Anhydrous magnesium sulfate was slowly added to the solution, the mixture filtered and the solvent evaporated. Flash silica gel chromatography using 35% ethyl acetate in petroleum ether as eluant delivered the title compound ($\pm$)-15 as a yellow oil (3.32 g, 88%).

Example 12

Verification of Conglomerate Formation by X-Ray Crystallography

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Conglomerate formation by the synthesized racemic taxol side chain 1a was demonstrated through X-ray crystal structure analysis wherein successful solution of the structure was possible only in the acentric space group $P2_1$.

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Data were collected on five crystals all of which were found to belong to the acentric monoclinic space group $P2_1$ with unit cell constants $a = 5.414(4)$, $b = 7.813(1)$, $c = 17.802(7)\text{\AA}$, $\beta = 90.87(4)^\circ$. After initial solution of the structure with one quadrant of data out to $\theta = 25^\circ$, an investigation of absolute configuration was carried out by collecting a full sphere of data out to $\theta = 10^\circ$. Refinement of both enantiomers utilizing the full sphere data for each data set revealed that enantiomerically pure crystals of each enantiomer were present in the conglomerate. This and other procedures for the determination of absolute configuration are described in Stout & Jensen, X-ray Structure Determination, A Practical Guide, pp. 410-412 (Wiley & sons, New York, 1989).

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Example 13

Resolution of ($\pm$)-N-Benzoyl-3-phenylisoserine methyl ester
(1a) by Entrainment

Racemic taxol side chain methyl ester 1a
(approx. 10 g) and the (2R, 3S)-enantiomer (approx. 0.4 g)
5 were dissolved in a minimal volume of dimethylsulfoxide
(7.5-10.0 ml) with warming. The solution was then cooled
to about 15-20°C and seed crystals (approx. 10 mg) of the
(2R, 3S)-enantiomer were added. The stirred solution was
allowed to crystallize for 1-3 hours and the (2R,
10 3S)-enantiomer (about 0.8 g) was recovered by filtration.
Additional racemic side chain (0.8 g) was then dissolved
in the filtrate, the solution cooled to 15-20°C, and seed
crystals (approx. 10 mg) of the (2S, 3R)-taxol side chain
methyl ester were added. The stirred solution was again
15 allowed to crystallize for 1-3 hours before recovering the
(2S, 3R)-enantiomer (about 0.8 g) by filtration. This
cycle of adding more racemic side chain equal to the
amount of substantially pure product isolated and
subsequent collection of additional quantities of the (2R,
20 3S)- and (2S, 3R)-isomers was repeated several times.
After nine such cycles, about 4.0 g of the (2R, 3S)-isomer
and 3.2 g of the (2S, 3R)-enantiomer were obtained, each
in greater than 95% optical purity.

Example 14

25 Resolution of ($\pm$)-N-Benzoyl-3-phenylisoserine methyl ester
(1a) by Manual Sorting of Conglomerate

Crystals of N-Benzoyl-3-phenylisoserine methyl ester 1a
(taxol side chain methyl ester) were grown by dissolving
the racemate (0.5 g) in dimethylsulfoxide (2.0 mL) and
30 allowing the solvent to slowly concentrate by evaporation
at ambient temperature. The crystals were sorted by
viewing under a low power stereoscopic microscope.

Example 15

Resolution of ($\pm$)-N-Benzoyl-3-phenylisoserine methyl ester
(1a) by Localized Crystallization

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Crystals of ($\pm$)-N-Benzoyl-3-phenylisoserine methyl ester **1a** (1.0 g) were dissolved in ethanol (20 mL) in a 100 mL beaker. Seed crystals of the (2R,3S)-isomer (~15 mg) were placed on one side of the beaker and seed crystals of the (2S,3R)-isomer (~15 mg) on the opposite side. Crystallization was allowed to proceed until approximately 0.3-0.4 g total of taxol side chain methyl ester had crystallized. The individual crystals within each locale of the beaker were then collected with a flat spatula and dried. Optical purity of each enantiomer was determined to be greater than 80%.

Example 16

Resolution of ($\pm$)-N-Benzoyl-3-phenylisoserine methyl ester (**1a**) by Differentiated Crystallization

Racemic taxol side chain methyl ester **1a** (10 g) was dissolved in warm ethanol (150 mL) and the solution cooled to ambient temperature. Seed crystals of the (2R,3S)-taxol side chain methyl ester (3 g) of size greater than 30 mesh were added and stirring of the mixture was carried out for 0.5.-1.0 hour. The crystals that deposited were filtered, washed with cold ethanol (2 x 5 mL) and dried. The solid was then sifted through a 30 mesh sieve whereupon 5.6 g of crystalline (2R,3S)-taxol side chain methyl ester of optical purity of greater than 85% was obtained.

Example 17

(2R,3S)-N-Benzoyl-O-(1-ethoxyethyl)-3-phenylisoserine methyl ester (**19**); Hydroxyl Group Protection

(2R,3S)-N-benzoyl-3-phenylisoserine methyl ester ("**1a**") (299 mg, 1.0 mmol), dry methylene chloride (10 mL), pyridinium p-toluenesulfonate (25.1 mg, 0.1 mmol) and ethyl vinyl ether (721 mg, 10.0 mmol) were introduced successively into a 25 mL round-bottomed flask equipped with a magnetic stirrer. Pyridine (1 drop) was added when the reaction (ambient temperature) was judged complete by TLC analysis and the reaction mixture was then diluted by

- 58 -

adding methylene chloride (25 mL). The organic phase was washed with water (2 x 15 mL), and then with saturated sodium chloride solution (15 mL) and dried over anhydrous sodium sulfate. After filtration and removal of the solvents by rotary evaporation, N-benzoyl-O-(1-ethoxyethyl)-3-phenylisoserine methyl ester **19** (370 mg, 99%) was obtained in the form of an equimolar mixture of two epimers.

Example 18

(2R,3S)-N-Benzoyl-O-(1-ethoxyethyl)-3-phenylisoserine (**20**); Saponification of Ester

Hydrolysis of (2R,3S)-N-benzoyl-3-phenylisoserine methyl ester **19** (127 mg, 0.37 mmol) was effected by treatment with potassium carbonate (127 mg, 0.92 mmol) in methanol (4 mL) and water (2 mL) for 15 hours at ambient temperature to give 122 mg (100%) of the corresponding free carboxylic acid **20**.

Example 19

Taxol

(2R,3S)-N-Benzoyl-O-(1-ethoxyethyl)-3-phenylisoserine **20** (42.8 mg, 0.12 mmol) in anhydrous toluene (1 mL) was introduced under a nitrogen atmosphere into a 5 mL round-bottomed flask equipped with a magnetic stirrer. Di-2-pyridyl carbonate (25.9 mg, 0.12 mmol) was then added and the mixture left to react for 4 to 5 minutes. 4-Dimethylaminopyridine (4.9 mg, 0.04 mmol) and 7-triethylsilylbaccatin III (14 mg, 0.02 mmol) were then added in a single portion. The colorless and homogeneous solution was left for 3 to 4 minutes, and then heated for 10 hours at 72°-74°C. After cooling, the reaction mixture was diluted with ethyl acetate (10 mL) and the organic solution as washed successively with saturated aqueous sodium bicarbonate solution (3 x 5 mL), water (2 x 5 mL) and finally with saturated sodium chloride solution (5 mL). The organic phase was dried over anhydrous sodium sulfate, the desiccant removed by filtration, and the

- 59 -

solvent removed by rotary evaporation. The residue obtained was purified by analytical thin-layer chromatography on silica, eluting with an diethyl ether/methylene chloride (5:95 by volume) mixture, 4 runs being performed. The product (8.4 mg, 40% yield) was obtained in the form of a mixture of two epimers in the ratio 60:40, melting at 169°-173°C, after recrystallization from a methylene chloride/pentane mixture. The above product (72 mg, 0.009 mmol) was introduced at 0°C under an nitrogen atmosphere into a 10 mL round-bottomed flask equipped with a magnetic stirrer. 0.5% Ethanolic hydrochloric acid solution (3.6 mL), cooled beforehand to 0 °C, was added the mixture stirred at 0 °C for 30 hours. The reaction mixture was then diluted by adding ethyl acetate (20 mL) and water (10 mL) at 0°C. Following separation of the two phases, the organic layer was washed with water (5 x 5 mL) and with saturated sodium chloride solution (2 x 5 mL) and then dried over anhydrous sodium sulfate. After filtration of the desiccant, the solvents were removed by rotary evaporation, and the residue obtained (72 mg) was purified by preparative thin layer chromatography on silica, eluting with a methylene chloride/methanol (90:10 by volume) mixture. 54 mg (0.063 mmol, 91% yield) of taxol was thereby obtained.

While we have hereinbefore described a number of embodiments of this invention, it is apparent that the basic instructions can be altered to provide other embodiments which utilize the methods and compositions of this invention. Therefore, it will be appreciated that the scope of this invention is defined by the claims appended hereto rather than by the specific embodiments which have been presented hereinbefore by way of example.

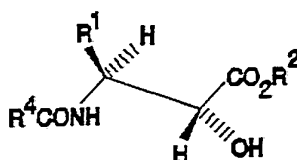
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CLAIMS

We claim:

1. A method for the production of taxanes comprising the steps of:

- 5 preparing a racemic mixture of enantiomers of the taxane side chain capable of exhibiting conglomerate behavior having the formula:

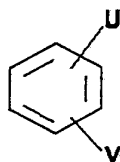


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(1)

wherein R¹ is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8 linear or branched alkynyl, C5-C20 aryl, indole, thiophenyl, furanyl, quinoline, C1-C8 hydroxyalkyl, C1-C6 aminoalkyl, and 2-, 3-, or 4-pyridino, or

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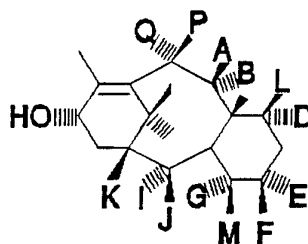
(3)

wherein U and V are independently selected from the group consisting of hydrogen, chloride, bromide, iodide, hydroxyl, thiol, nitro, azide, amino, C2-C8 alkyl- or

35.

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° aryl-N-amido, C2-C8 alkyl- or arylcarboxylate, C1-C8
 carboalkoxy, C1-C8 carboaryloxy, C2-C8 alkyl- or
 aryl-s-thiocarboxylate, C1-C4 alkoxy, C1-C8
 monoalkylamino, C1-C8 dialkylamino, C1-C8 linear or
 branched alkyl, C1-C8 thioalkyl, or C1-C8 alkyl- or
 5 arylcarbonate, C1-C8 alkyl- or arylcarbamate, C1-C8 alkyl-
 or arylurea, trichloromethyl, and trifluoromethyl; R^2 is
 selected from the group consisting of C1-C8 linear or
 branched alkyl, C3-C8 cycloalkyl, and C7-C12 alkylphenyl;
 R^4 is selected from the group consisting of R^1 or OR^5 ,
 10 wherein R^5 is selected from the group consisting of C1-C8
 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8
 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8
 linear or branched alkynyl, C5-C20 aryl;
 resolving the racemic taxane side chain into its
 15 substantially optically pure enantiomers;
 preparing the substantially optically pure (2R,3S)-taxane
 side chain for coupling to a taxane ring nucleus of the
 formula:



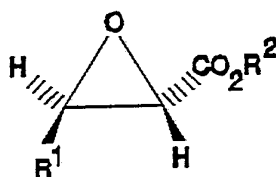
(18)

wherein A and B are independently hydrogen or lower
 alkanoyloxy, alkenoyloxy, alkynoyloxy, or aryloyloxy or A
 30 and B together form an oxo; L and D are independently
 hydrogen or hydroxy or lower alkanoyloxy, alkenoyloxy,
 alkynoyloxy, or aryloyloxy; E and F are independently
 hydrogen or lower alkanoyloxy, alkenoyloxy, alkynoyloxy,
 or aryloyloxy or; E and F together form an oxo; G is
 35 hydrogen or hydroxy or lower alkanoyloxy, alkenoyloxy,

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alkynoyloxy, or aryloyloxy or G and M together form an oxo or methylene or G and M together form an oxirane or M and F together form an oxetane; J is hydrogen, hydroxy, or lower alkanoyloxy, alkenoyloxy, alkynoyloxy, or aryloyloxy or I is hydrogen, hydroxy, or lower alkanoyloxy, alkenoyloxy, alkynoyloxy, or aryloyloxy; or I and J taken together form an oxo; and K is hydrogen, hydroxy or lower alkoxy, alkanoyloxy, alkenoyloxy, alkynoyloxy, or aryloyloxy; and P and Q are independently hydrogen or lower alkanoyloxy, alkenoyloxy, alkynoyloxy, or aryloyloxy or P and Q together form an oxo; and coupling the substantially optically pure taxane side chain to the taxane ring nucleus.

2. The method according to claim 1 wherein the racemic mixture of enantiomers of the taxane side chain (1) capable of exhibiting conglomerate behavior is synthesized by: providing a racemic heterocycle having the following formula:



(5)

in which the R^1 and CO_2R^2 groups are trans; opening the ring (5) with a hydrogen halide having the formula HX^2 , wherein X^2 is either chloride or bromide, in a

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- nonpolar solvent to form a halohydrin having the formula:



- 10 (6)
forming a ring structure by closing the halohydrin with a base in a solvent mixture to form a racemic heterocycle in which the R¹ and CO₂R² groups are cis having the formula:



- 20 (7)
opening the ring of the heterocycle (7) in which the R¹ and CO₂R² groups are cis with a nucleophile to form a
25 racemic hydroxy azide side chain precursor having the

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35

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formula:

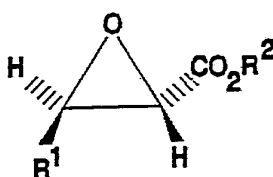


(8)

10 performing an esterification/hydrogenation/rearrangement of the racemic hydroxy azide side chain precursor (8) to provide the racemic taxane side chain (1).

15 3. The method according to claim 1 wherein the racemic mixture of enantiomers of the taxane side chain (1) capable of exhibiting conglomerate behavior is synthesized by providing a racemic heterocycle having the following formula:

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(5)

30 in which the R¹ and CO₂R² groups are trans;
opening the ring (5) with HN₃ in a nonpolar aprotic solvent to form a hydroxy azide side chain precursor

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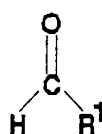
having the formula:



10 performing an esterification/hydrogenation/rearrangement of the hydroxy azide side chain precursor (8) to provide the racemic taxane side chain (1).

15 4. The method according to either one of claims 2 or 3 wherein the racemic heterocycle (5) in which the R¹ and CO₂R² groups are trans is formed by a Darzens Condensation under basic conditions in a solvent between an electrophile having the formula:

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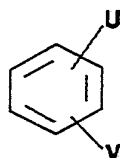
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30 wherein R¹ is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8 linear or branched alkynyl, C5-C20 aryl, indole, thiophenyl, furanyl, quinoline, C1-C8 hydroxyalkyl, C1-C6

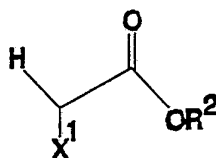
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- 66 -

- aminoalkyl, and 2-, 3-, or 4-pyridino, or



- (3)
- wherein U and V are independently selected from the group consisting of hydrogen, chloride, bromide, iodide, hydroxyl, thiol, nitro, azide, amino, C2-C8 alkyl- or aryl-N-amido, C2-C8 alkyl- or arylcarboxylate, C1-C8 carboalkoxy, C1-C8 carboaryloxy, C2-C8 alkyl- or aryl-s-thiocarboxylate, C1-C4 alkoxy, C1-C8 monoalkylamino, C1-C8 dialkylamino, C1-C8 linear or branched alkyl, C1-C8 thioalkyl, or C1-C8 alkyl- or arylcarbonate, C1-C8 alkyl- or arylcarbamate, C1-C8 alkyl- or arylurea, trichloromethyl, and trifluoromethyl; and a haloester having the formula:



- (4)
- wherein X¹ is selected from the group consisting of chloride, bromide, or iodide and R² is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, and C7-C12 alkylphenyl.

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5. The method according to either one of claims 2 or 3 wherein the racemic heterocycle (5) in which the groups R^1 and CO_2R^2 are trans, where R^2 is a methyl group, is synthesized by:
 epoxidizing a racemic hydroxylated olefin in which the groups R^1 and CH_2OH are trans having the formula:



- (10)
 15 with an epoxidizing agent to form a racemic epoxide in which the groups R^1 and CH_2OH are trans having the formula:



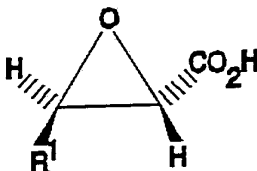
- 25
 (11)
 oxidizing the racemic epoxide (11) in which the groups R^1 and CH_2OH are trans with an oxidant to form a racemic carboxylic acid epoxide in which the groups R^1 and CO_2H are

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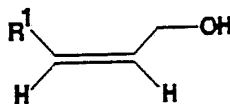
- trans having the formula:



(12)

- 10 converting the racemic carboxylic epoxide (12) in which the groups R^1 and CO_2H are trans with an esterification agent into said racemic heterocycle (5) in which the groups R^1 and CO_2Me are trans.

- 15 6. The method of claim 1 wherein the racemic mixture of enantiomers of the taxane side chain (1) capable of exhibiting conglomerate behavior, wherein R^2 is a methyl group, is synthesized by:
- 20 epoxidizing a hydroxylated olefin in which the groups R^1 and CH_2OH are cis having the formula:



(13)

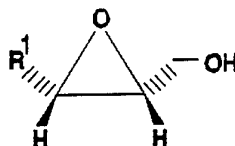
- 30 with an epoxidizing agent to form a racemic epoxide in

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- which the groups R^1 and CH_2OH are cis having the formula:

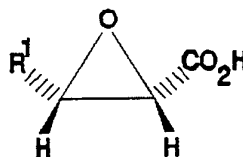
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(14)

- 10 oxidizing the racemic epoxide (14) in which the groups R^1 and CH_2OH are cis with an oxidant to form a racemic carboxylic acid epoxide in which the groups R^1 and CO_2H are cis having the formula:

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(15)

- 25 converting the racemic carboxylic epoxide (15) in which the groups R^1 and CO_2H are cis with an esterification agent into a racemic heterocycle in which the groups R^1 and CO_2Me

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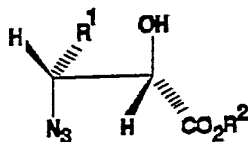
are cis having the formula:



(16)

10 opening the ring of the racemic heterocycle (16) in which the groups R^1 and CO_2Me are cis with a nucleophile to form a racemic hydroxy azide side chain precursor with the following formula wherein R^2 is a methyl group:

15



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(8)

25 performing an esterification/hydrogenation/rearrangement of the hydroxy azide side chain precursor (8) to provide the racemic taxane side chain methyl ester (1).

7. A method of synthesizing a racemic mixture of enantiomers of the taxane side chain (1) capable of

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- exhibiting conglomerate behavior having the formula:



- (1)
- 10 wherein R¹ is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8 linear or branched alkynyl, C5-C20 aryl, indole,
- 15 thiophenyl, furanyl, quinoline, C1-C8 hydroxyalkyl, C1-C6 aminoalkyl, and 2-, 3-, or 4-pyridino, or

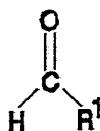


- (3)
- 25 wherein U and V are independently selected from the group consisting of hydrogen, chloride, bromide, iodide, hydroxyl, thiol, nitro, azide, amino, C2-C8 alkyl- or aryl-N-amido, C2-C8 alkyl- or arylcarboxylate, C1-C8 carboalkoxy, C1-C8 carboaryloxy, C2-C8 alkyl- or aryl-s-thiocarboxylate, C1-C4 alkoxy, C1-C8 monoalkylamino, C1-C8 dialkylamino, C1-C8 linear or branched alkyl, C1-C8 thioalkyl, or C1-C8 alkyl- or arylcarbonate, C1-C8 alkyl- or arylcarbamate, C1-C8 alkyl- or arylurea, trichloromethyl, and trifluoromethyl; R² is
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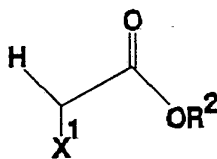
- 72 -

- selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, and C7-C12 alkylphenyl; R^4 is selected from the group consisting of R^1 or OR^5 , wherein R^5 is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8 linear or branched alkynyl, C5-C20 aryl; comprising the steps of:
performing a Darzens Condensation under basic conditions in a solvent between an electrophile having the formula:



(2)

- wherein R^1 is as described above;
and a haloester having the formula:

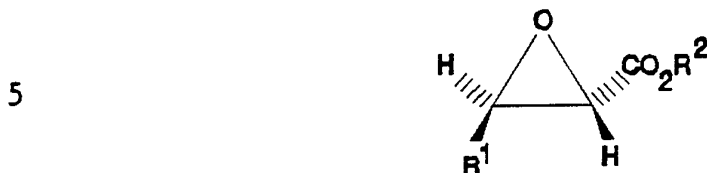


(4)

- wherein X^1 is selected from the group consisting of chloride, bromide or iodide and R^2 is as described above;
to form a racemic heterocycle in which the groups R^1 and

- 73 -

- CO_2R^2 are trans having the formula:

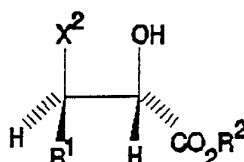


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opening the ring of a racemic heterocycle in which the R^1 and CO_2R^2 groups are trans with a hydrogen halide having the formula HX^2 , wherein X^2 is either chloride or bromide, in a nonpolar solvent to form a halohydrin having the

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forming a ring structure by closing the halohydrin with a base in a solvent mixture to form a racemic heterocycle in

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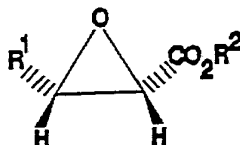
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- which the R^1 and CO_2R^2 groups are cis having the formula:

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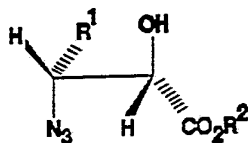


(7)

10

opening the ring of the heterocycle (7) in which the R^1 and CO_2R^2 groups are cis with a nucleophile to form a racemic azide side chain precursor having the formula:

15



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(8)

performing an esterification/hydrogenation/rearrangement of the racemic azide side chain precursor (8) to provide the racemic taxane side chain (1).

25

8. A method of synthesizing a racemic mixture of enantiomers of the taxane side chain (1) capable of

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- exhibiting conglomerate behavior having the formula:



- 10 (1)
 wherein R¹ is selected from the group consisting of C1-C8
 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8
 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8
 linear or branched alkynyl, C5-C20 aryl, indole,
 15 thiophenyl, furanyl, quinoline, C1-C8 hydroxyalkyl, C1-C6
 aminoalkyl, and 2-, 3-, or 4-pyridino, or



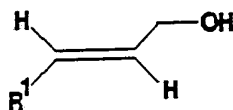
- 25 (3)
 wherein U and V are independently selected from the group
 consisting of hydrogen, chloride, bromide, iodide,
 hydroxyl, thiol, nitro, azide, amino, C2-C8 alkyl- or
 aryl-N-amido, C2-C8 alkyl- or arylcarboxylate, C1-C8
 30 carboalkoxy, C1-C8 carboaryloxy, C2-C8 alkyl- or
 aryl-s-thiocarboxylate, C1-C4 alkoxy, C1-C8
 monoalkylamino, C1-C8 dialkylamino, C1-C8 linear or
 branched alkyl, C1-C8 thioalkyl, or C1-C8 alkyl- or
 arylcarbonate, C1-C8 alkyl- or arylcarbamate, C1-C8 alkyl-
 35 or arylurea, trichloromethyl, and trifluoromethyl; R² is a

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- methoxymethyl group; R^4 is selected from the group consisting of R^1 or OR^5 , wherein R^5 is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8 linear or branched alkynyl, C5-C20 aryl; comprising the
- 5 steps of:
- epoxidizing a racemic hydroxylated olefin in which the groups R^1 and CH_2OH are trans having the formula:

10



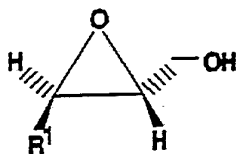
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(10)

with an epoxidizing agent to form a racemic epoxide in which the groups R^1 and CH_2OH are trans having the

20 formula:

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(11)

- 30 oxidizing the racemic epoxide (11) in which the groups R^1 and CH_2OH are trans with an oxidant to a racemic carboxylic acid epoxide in which the groups R^1 and CO_2H are

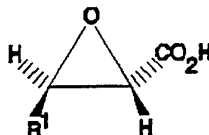
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trans having the formula:

5

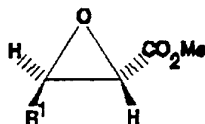


(12)

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converting the racemic carboxylic epoxide (12) in which the groups R^1 and CO_2H are trans with an esterification agent into a racemic heterocycle in which the groups R^1 and CO_2Me are trans having the following formula:

15



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(12a)

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opening the ring of a racemic heterocycle (12a) in which the R^1 and CO_2Me groups are trans with a hydrogen halide having the formula HX^2 , wherein X^2 is either chloride or bromide, in a nonpolar solvent to form a halohydrin having

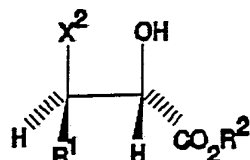
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the formula:

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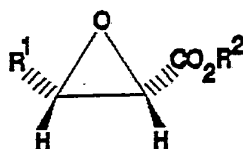


(6)

10

wherein R^2 is a methyl group;
forming a ring structure by closing the halohydrin (6)
with a base in a solvent mixture to form a racemic
heterocycle in which the R^1 and CO_2R^2 groups are cis having
the formula:

15



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(7)

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wherein R^2 is a methyl group;
opening the ring of the heterocycle (7) in which the R^1
and CO_2Me groups are cis with a nucleophile to form a
racemic hydroxy azide side chain precursor having the

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formula:



(8)

10 wherein R^2 is a methyl group;
performing an esterification/hydrogenation/rearrangement
of the racemic azide side chain precursor (8) to provide
the racemic taxane side chain methyl ester.

15 9. A halohydrin composition of methyl threo-3-
Chloro-2-hydroxy-3-phenylpropionate having the formula:



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(6a)

10. A method for the synthesis of the composition
according to claim 9, comprising the steps of:
performing a Darzens Condensation under basic conditions

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- 80 -

in a solvent between an electrophile having the formula:



(2)

10 wherein R¹ is a phenyl group;
and a haloester having the formula:



20

(4)

wherein X¹ is a chloride and R² is a methyl group;
to form a racemic heterocycle in which the phenyl and
CO₂Me groups are trans having the formula:

25



(5a)

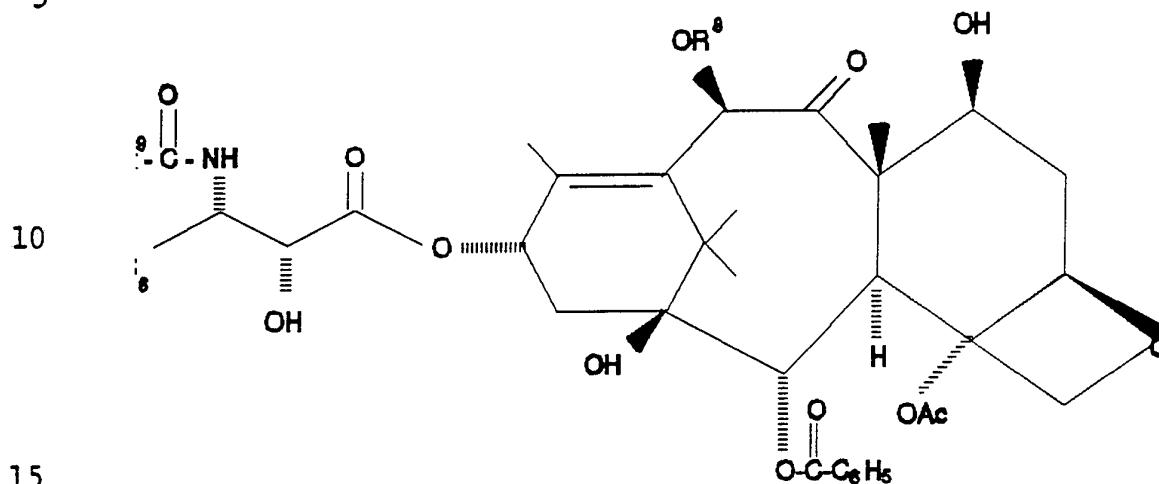
35 opening the ring of a racemic heterocycle (5a) in which

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the phenyl and CO₂Me groups are trans with hydrogen chloride in a nonpolar solvent to form the halohydrin compound (6a) of claim 9.

11. A method of preparing taxol having the formula:

5



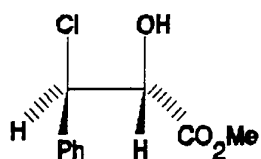
15

wherein R⁸ and Ac are acetate groups and R⁹ is a phenyl group;

comprising the steps of:

20 providing a halohydrin methyl threo-3-chloro-2-hydroxy-3-phenylpropionate having the formula:

25



30

(6a)

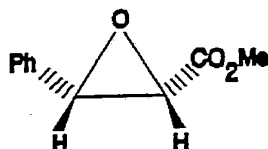
forming a ring structure by closing the halohydrin (**6a**) with a base in a solvent mixture to form a racemic heterocycle in which the phenyl and CO₂Me groups are cis

35

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- having the formula:

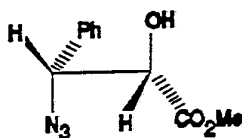
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(7a)

- 10 opening the ring of the heterocycle (7a) in which the phenyl and CO₂Me groups are cis with a nucleophile to form a racemic azide side chain precursor having the formula:

15



20

(8a)

- 25 performing an esterification/hydrogenation/rearrangement of the racemic azide side chain precursor (8a) to provide the racemic taxol side chain methyl ester N-benzoyl-3-phenylisoserine capable of exhibiting conglomerate

30

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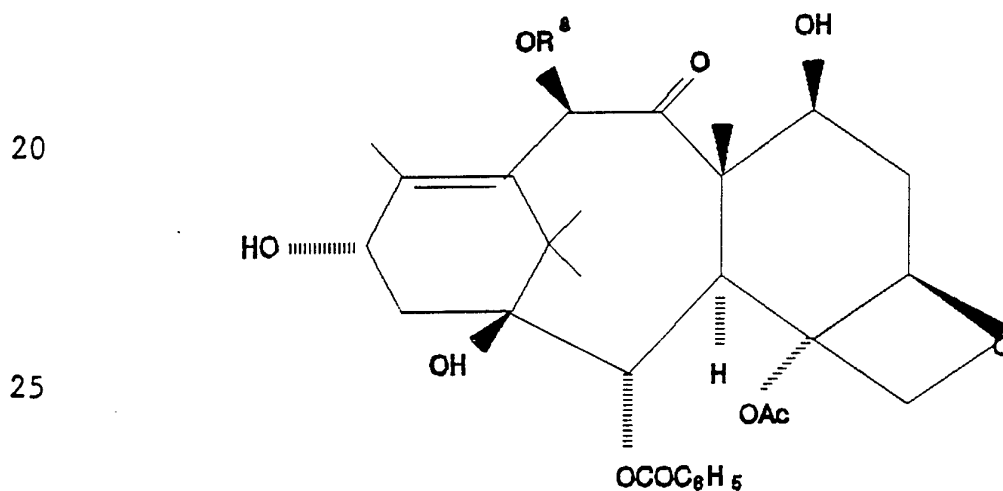
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behavior having the formula:



(1a)

10 resolving the racemic taxol side chain methyl ester into
its substantially optically pure enantiomer (2R,3S)-N-
benzoyl-3-phenylisoserine;
preparing the substantially optically pure (2R,3S)-N-
15 benzoyl-3-phenylisoserine for coupling to a taxane ring
nucleus baccatin III, having the formula:



(17)

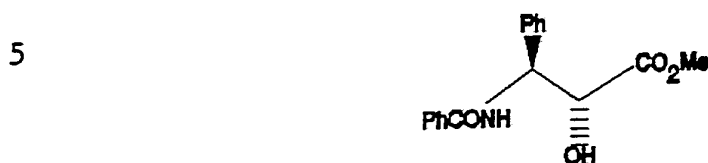
30 wherein R⁸ and Ac are acetate groups;
and coupling the prepared taxol side chain to the taxol
ring nucleus.

35 12. The method according to claim 1 wherein the
taxane side chain capable of exhibiting conglomerate

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- behavior is the taxol side chain (2R,3S)-N-benzoyl-3-phenylisoserine having the following formula:



10 (1a)

13. The method according to either of claims 5, 6, or 8 wherein the epoxidizing agent is selected from the group consisting of meta-chloroperbenzoic acid or another peracid, such as perbenzoic acid, peracetic acid, 15 performic acid, peroxytrifluoroacetic acid, or peroxyphthalic acid.

14. The method according to either of claims 5, 6, or 8 wherein the oxidant is ruthenium trichloride-sodium 20 periodate.

15. The method according to either of claims 5, 6, or 8 wherein the esterification agent is ethereal 25 diazomethane.

16. The method according to either of claims 3, 8, 9 or 11 wherein the hydrogen halide is hydrogen chloride or hydrogen bromide.

17. The method according to either of claims 2, 7, 8 or 10 wherein the nonpolar solvent is selected from the group consisting of: benzene, toluene, xylene, pentane, 30 hexane, heptane, methylene chloride, chloroform, ethyl ether and tetrahydrofuran.

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18. The method according to either of claims 2, 7, 8 or 10 wherein the base is selected from the group consisting of:
sodium carbonate, lithium carbonate, potassium carbonate, cesium carbonate, magnesium carbonate, sodium methoxide, sodium ethoxide, sodium tert-butyloxi-
5 de, lithium methoxide, lithium ethoxide, lithium tert-butyloxi-
de, sodium hydride, potassium hydride, and lithium hydride.
19. The method according to either of claims 2, 7, 8 or 11 wherein the base is used with phase transfer catalysts.
20. The method according to either of claims 2, 7, 8 or 11 wherein the solvent mixture is comprised of an organic solvent selected from the group consisting of
15 dimethylformamide, dimethylsulfoxide, methanol, ethanol, isopropanol, and acetone; and water.
21. The method according to claim 20 wherein the ratio of organic solvent to water in the solvent mixture
20 is from about 60:90 to about 40:10.
22. The method according to claim 20 wherein the ratio of organic solvent to water in the solvent mixture
25 is about 70:30.
23. The method according to claim 20 wherein the ratio of organic solvent to water in the solvent mixture
is about 60:40.
24. The method according to either claims 2, 6, 7, 8 or 11 wherein the nucleophile is selected from the group consisting of sodium azide or azidotrimethylsilane.
25. The method according to claim 2 wherein the

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- nonpolar aprotic solvent is selected from the group consisting of benzene, toluene, hexane, tetrahydrofuran, diethyl ether, methylene chloride and chloroform.

26. The method according to either of claims 4, 7,
5 or 10 wherein the base used is selected from the group consisting of sodium carbonate, potassium carbonate, cesium carbonate, triethylamine, diisopropylethylamine, 1,5-diazabicyclo[4.3.0]non-5-ene, diazobicyclo[5.4.0]undec-7-ene,
10 1,4-diazabicyclo[2.2.0]octane, lithium diisopropylamide, lithium hexamethyldisilamide, lithium tetramethylpiperidide, sodium hydride, potassium hydride, sodium methoxide, sodium ethoxide, sodium sec-butyloxyde, sodium tert-butyloxyde, potassium methoxide, potassium
15 ethoxide, potassium sec-butyloxyde, potassium tert-butyloxyde.

27. The method according to either of claims 4, 7,
or 10 wherein the solvent is selected from the group
20 consisting of:
propanol, isopropanol, butanol, diethyl ether, tetrahydrofuran, dimethylformamide, dimethylsulfoxide, N-methylpyrrolidinone, hexamethylphosphoramide, methanol, ethanol, and mixtures thereof.

28. The method according to either of claims 4, 7,
or 10 wherein the Darzens Condensation reaction between the electrophile (2) and the haloester (4) is performed at a reaction temperature of between about -30°C and about
30 +40°C.

29. The method according to either of claims 4, 7,
or 10 wherein the Darzens Condensation reaction between the electrophile (2) and the haloester (4) is performed at
35 a reaction temperature of between about -20°C and about

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° +20°C.

30. The method according to either of claims 4, 7, or 10 wherein the Darzens Condensation reaction between the electrophile (2) and the haloester (4) is performed at
5 a reaction temperature of between about -10°C and about +10°C.

31. The method according to either of claims 1 or 11 wherein substantially optically pure means any molecular
10 system which possesses an enantiomeric or diastereomeric excess of greater than about 50%.

32. The method according to either of claims 1 or 11 wherein substantially optically pure means any molecular
15 system which possesses an enantiomeric or diastereomeric excess of greater than about 75%.

33. The method according to either of claims 1 or 11 wherein substantially optically pure means any molecular
20 system which possesses an enantiomeric or diastereomeric excess of greater than about 90%.

34. The method according to either of claims 1 or 11 wherein the racemic taxane side chain capable of forming a
25 conglomerate is resolved by direct crystallization means.

35. The method according to claim 34 wherein the conglomerate of substantially optically pure enantiomers is sorted by manual means.

30 36. The method according to claim 34 wherein the substantially optically pure enantiomers are resolved by localized crystallization techniques.

35 37.. The method according to claim 34 wherein the

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- substantially optically pure enantiomers are resolved by differentiated crystallization techniques.

38. The method according to claim 34 wherein the substantially optically pure enantiomers are resolved by entrainment procedures.

39. The method according to claim 38 wherein in each iteration of the entrainment procedure, the enantiomer in excess is present in sufficient quantities to achieve a 1% to 15% excess of that enantiomer by weight in solution.

40. The method according to claim 38 wherein in each iteration of the entrainment procedure, the enantiomer in excess is present in sufficient quantities to achieve a 3% to 8% excess of that enantiomer by weight in solution.

41. The method according to claim 38 wherein in each iteration of the entrainment procedure, the solution is cooled to a crystallization temperature of about 10°C to about 30°C.

42. The method according to claim 38 wherein in each iteration of the entrainment procedure, the solution is cooled to a crystallization temperature of about 15°C to about 25°C.

43. The method according to claim 38 wherein the entrainment procedure is performed utilizing a solvent selected from the group consisting of dimethylsulfoxide, dimethylformamide, dimethylacetamide, N-methylpyrrolidinone, chloroform, trichloromethane, methylene chloride, acetone, methanol, ethanol, isopropanol and water and mixtures thereof.

44. A method for the production of a substantially

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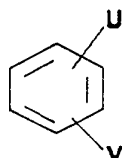
- 89 -

- optically pure taxane side chain having the formula:



- 10
- (1)
- wherein R^1 is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8 linear or branched alkynyl, C5-C20 aryl, indole, thiophenyl, furanyl, quinoline, C1-C8 hydroxyalkyl, C1-C6
- 15 aminoalkyl, and 2-, 3-, or 4-pyridino, or

20



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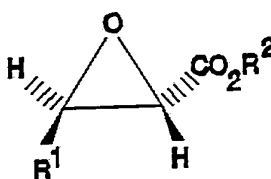
- (3)
- wherein U and V are independently selected from the group consisting of hydrogen, chloride, bromide, iodide, hydroxyl, thiol, nitro, azide, amino, C2-C8 alkyl- or aryl-N-amido, C2-C8 alkyl- or arylcarboxylate, C1-C8 carboalkoxy, C1-C8 carboaryloxy, C2-C8 alkyl- or aryl-s-thiocarboxylate, C1-C4 alkoxy, C1-C8 monoalkylamino, C1-C8 dialkylamino, C1-C8 linear or branched alkyl, C1-C8 thioalkyl, or C1-C8 alkyl- or arylcarbonate, C1-C8 alkyl- or arylcarbamate, C1-C8 alkyl- or arylurea, trichloromethyl, and trifluoromethyl; R^2 is
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- selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, and C7-C12 alkylphenyl; R^4 is selected from the group consisting of R^1 or OR^5 , wherein R^5 is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8 linear or branched alkynyl, C5-C20 aryl; comprising the steps of:
preparing a racemic mixture of enantiomers of the taxane side chain capable of exhibiting conglomerate behavior;
and resolving the racemic taxane side chain into its substantially optically pure enantiomers.

45. The method according to claim 44 wherein the racemic mixture of enantiomers of the taxane side chain (1) capable of exhibiting conglomerate behavior is synthesized by:
providing a racemic heterocycle having the following formula:



(5)

- in which the R^1 and CO_2R^2 groups are trans;
opening the ring (5) with a hydrogen halide having the formula HX^2 , wherein X^2 is either chloride or bromide, in a

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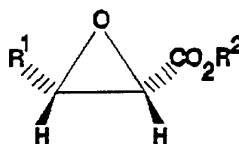
- 91 -

- nonpolar solvent to form a halohydrin having the formula:



- 10 forming a ring structure by closing the halohydrin (6) with a base in a solvent mixture to form a racemic heterocycle in which the R¹ and CO₂R² groups are cis having the formula:

15



20

- 25 opening the ring of the heterocycle (7) in which the R¹ and CO₂R² groups are cis with a nucleophile to form a

30

35

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- racemic azide side chain precursor having the formula:



(8)

- 10 performing an esterification/hydrogenation/rearrangement of the racemic azide side chain precursor (8) to provide the racemic taxane side chain (1).

- 15 46. The method according to claim 44 wherein the racemic mixture of enantiomers of the taxane side chain capable of exhibiting conglomerate behavior is synthesized by:
providing a racemic heterocycle having the following
20 formula:



(5)

- 30 in which the R^1 and CO_2R^2 groups are trans;
opening the ring (5) with HN_3 in a nonpolar aprotic solvent to form a hydroxy azide side chain precursor

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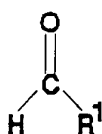
- having the formula:



- 10 performing an esterification/hydrogenation/rearrangement of the hydroxy azide (8) to provide the racemic taxane side chain (1). (8)

- 15 47. The method according to either one of claims 45 or 46 wherein the racemic heterocycle (5) in which the R¹ and CO₂R² groups are trans is formed by a Darzens Condensation under basic conditions in a solvent between an electrophile having the formula:

20



25

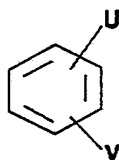
- 30 (2) wherein R¹ is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, C3-C8 cycloalkenyl, C1-C8 linear or branched alkenyl, C1-C8 linear or branched alkynyl, C5-C20 aryl, indole, thiophenyl, furanyl, quinoline, C1-C8 hydroxyalkyl, C1-C6

35

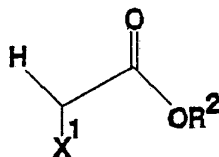
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- aminoalkyl, and 2-, 3-, or 4-pyridino, or



- (3)
- wherein U and V are independently selected from the group consisting of hydrogen, chloride, bromide, iodide, hydroxyl, thiol, nitro, azide, amino, C2-C8 alkyl- or aryl-N-amido, C2-C8 alkyl- or arylcarboxylate, C1-C8 carboalkoxy, C1-C8 carboaryloxy, C2-C8 alkyl- or aryl-s-thiocarboxylate, C1-C4 alkoxy, C1-C8 monoalkylamino, C1-C8 dialkylamino, C1-C8 linear or branched alkyl, C1-C8 thioalkyl, or C1-C8 alkyl- or arylcarbonate, C1-C8 alkyl- or arylcarbamate, C1-C8 alkyl- or arylurea, trichloromethyl, and trifluoromethyl; and a haloester having the formula:



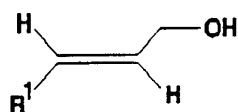
- (4)
- wherein X¹ is a halogen such as chloride, bromide, or iodide and R² is selected from the group consisting of C1-C8 linear or branched alkyl, C3-C8 cycloalkyl, and C7-C12 alkylphenyl.

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48. The method according to either one of claims 45 or 46 wherein the racemic heterocycle (5) in which the groups R^1 and CO_2R^2 are trans, wherein R^2 is a methyl group, is synthesized by:
epoxidizing a racemic hydroxylated olefin in which the groups R^1 and CH_2OH are trans having the formula:

10

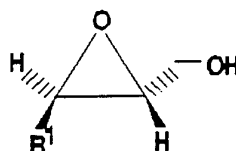


(10)

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with an epoxidizing agent to form a racemic epoxide in which the groups R^1 and CH_2OH are trans having the formula:

20



25

(11)

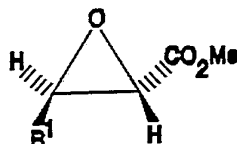
- oxidizing the racemic epoxide (11) in which the groups R^1 and CH_2OH are trans with an oxidant to form a racemic carboxylic acid epoxide in which the groups R^1 and CO_2H are

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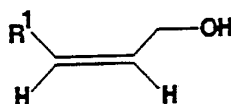
- trans having the formula:



(12)

- converting the racemic carboxylic epoxide (12) in which the groups R¹ and CO₂H are trans with an esterification agent into said racemic heterocycle (5) in which the groups R¹ and CO₂Me are trans.

49. The method of claim 44 wherein the racemic mixture of enantiomers of the taxane side chain (1) capable of exhibiting conglomerate behavior, wherein R² is a methyl group, is synthesized by:
- epoxidizing a hydroxylated olefin in which the groups R¹ and CH₂OH are cis having the formula:



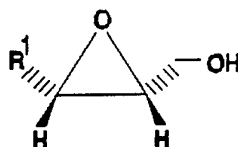
(13)

- with an epoxidizing agent to form a racemic epoxide in

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- which the groups R^1 and CH_2OH are cis having the formula:

5

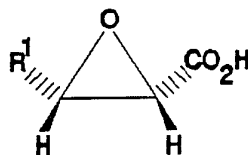


(14)

10

oxidizing the racemic epoxide (14) in which the groups R^1 and CH_2OH are cis with an oxidant to form a racemic carboxylic acid epoxide in which the groups R^1 and CO_2H are cis having the formula:

15



20

(15)

25

converting the racemic carboxylic epoxide (15) in which the groups R^1 and CO_2H are cis with an esterification agent into a racemic heterocycle in which the groups R^1 and CO_2Me

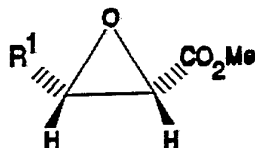
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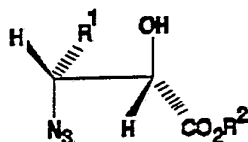
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are cis having the formula:



(16)

opening the ring of the racemic heterocycle (16) in which the groups R^1 and CO_2Me are cis with a nucleophile to form a racemic hydroxy azide side chain precursor with the following formula wherein R^2 is a methyl group:



(8)

performing an esterification/hydrogenation/rearrangement of the hydroxy azide side chain precursor (8) to provide the racemic taxane side chain methyl ester (1).

50. The method according to claim 44 wherein the taxane side chain capable of exhibiting conglomerate behavior is the taxol side chain (2R,3S)-N-benzoyl-3-

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- phenylisoserine having the following formula:



(1a)

- 10 51. The method according to either of claims 48 or 49 wherein the epoxidizing agent is selected from the group consisting of meta-chloroperbenzoic acid or another peracid, such as perbenzoic acid, peracetic acid, 15 performic acid, peroxytrifluoroacetic acid, or peroxyphthalic acid.
- 20 52. The method according to either of claims 48 or 49 wherein the oxidant is ruthenium trichloride-sodium periodate.
- 25 53. The method according to either of claims 48 or 49 wherein the esterification agent is ethereal diazomethane.
- 30 54. The method according to claim 45 wherein the hydrogen halide is hydrogen chloride or hydrogen bromide.
55. The method according to claims 45 wherein the nonpolar solvent is selected from the group consisting of: benzene, toluene, xylene, pentane, hexane, heptane, methylene chloride, chloroform, ethyl ether and tetrahydrofuran.
- 35 56. The method according to claim 45 wherein the base is selected from the group consisting of:

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- sodium carbonate, lithium carbonate, potassium carbonate, cesium carbonate, magnesium carbonate, sodium methoxide, sodium ethoxide, sodium tert-butyloxyde, lithium methoxide, lithium ethoxide, lithium tert-butyloxyde, sodium hydride, potassium hydride, and lithium hydride.

5

57. The method according to claim 45 wherein the base is used with phase transfer catalysts.

10

58. The method according to claim 45 wherein the solvent mixture is comprised of an organic solvent selected from the group consisting of dimethylformamide, dimethylsulfoxide, methanol, ethanol, isopropanol, and acetone; and water.

15

59. The method according to claim 58 wherein the ratio of organic solvent to water in the solvent mixture is from about 60:90 to about 40:10.

20

60. The method according to claim 58 wherein the ratio of organic solvent to water in the solvent mixture is about 70:30.

25

61. The method according to claim 58 wherein the ratio of organic solvent to water in the solvent mixture is about 60:40.

30

62. The method according to either claims 45 or 49 wherein the nucleophile is selected from the group consisting of sodium azide or azidotrimethylsilane.

35

63. The method according to claim 46 wherein the nonpolar aprotic solvent is selected from the group consisting of benzene, toluene, hexane, tetrahydrofuran, diethyl ether, methylene chloride and chloroform.

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64. The method according to claim 47 wherein the base used is selected from the group consisting of sodium carbonate, potassium carbonate, cesium carbonate, triethylamine, diisopropylethylamine, 1,5-diazabicyclo [4.3.0]non-5-ene, diazobicyclo[5.4.0]undec-7-ene, 1,4-diazabicyclo[2.2.0]octane, lithium diisopropylamide, lithium hexamethyldisilamide, lithium tetramethylpiperidide, sodium hydride, potassium hydride, sodium methoxide, sodium ethoxide, sodium sec-butyloxyde, sodium tert-butyloxyde, potassium methoxide, potassium ethoxide, potassium sec-butyloxyde, potassium tert-butyloxyde.
65. The method according to claim 47 wherein the solvent is selected from the group consisting of: propanol, isopropanol, butanol, diethyl ether, tetrahydrofuran, dimethylformamide, dimethylsulfoxide, N-methylpyrrolidinone, hexamethylphosphoramide, methanol, ethanol, and mixtures thereof.
66. The method according to claim 47 wherein the Darzens Condensation reaction between the electrophile (2) and the haloester (4) is performed at a reaction temperature of between about -30°C and about +40°C.
67. The method according to claim 47 wherein the Darzens Condensation reaction between the electrophile (2) and the haloester (4) is performed at a reaction temperature of between about -20°C and about +20°C.
68. The method according to claim 47 wherein the Darzens Condensation reaction between the electrophile (2) and the haloester (4) is performed at a reaction temperature of between about -10°C and about +10°C.
69. The method according to claim 44 wherein

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- substantially optically pure means any molecular system which possesses an enantiomeric or diastereomeric excess of greater than about 50%.

70. The method according to claim 44 wherein
5 substantially optically pure means any molecular system which possesses an enantiomeric or diastereomeric excess of greater than about 75%.

71. The method according to claim 44 wherein
10 substantially optically pure means any molecular system which possesses an enantiomeric or diastereomeric excess of greater than about 90%.

72. The method according to claim 44 wherein the
15 racemic taxane side chain capable of forming a conglomerate is resolved by direct crystallization means.

73. The method according to claim 72 wherein the
20 conglomerate of substantially optically pure enantiomers is sorted by manual means.

74. The method according to claim 72 wherein the
substantially optically pure enantiomers are resolved by
localized crystallization techniques.

25 75. The method according to claim 72 wherein the substantially optically pure enantiomers are resolved by differentiated crystallization techniques.

76. The method according to claim 72 wherein the
30 substantially optically pure enantiomers are resolved by entrainment procedures.

77. The method according to claim 76 wherein in each
iteration of the entrainment procedure, the enantiomer in
35 excess is present in sufficient quantities to achieve a 1%

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- to 15% excess of that enantiomer by weight in solution.

78. The method according to claim 76 wherein in each iteration of the entrainment procedure, the enantiomer in excess is present in sufficient quantities to achieve a 3% to 8% excess of that enantiomer by weight in solution.

79. The method according to claim 76 wherein in each iteration of the entrainment procedure, the solution is cooled to a crystallization temperature of about 10°C to about 30°C.

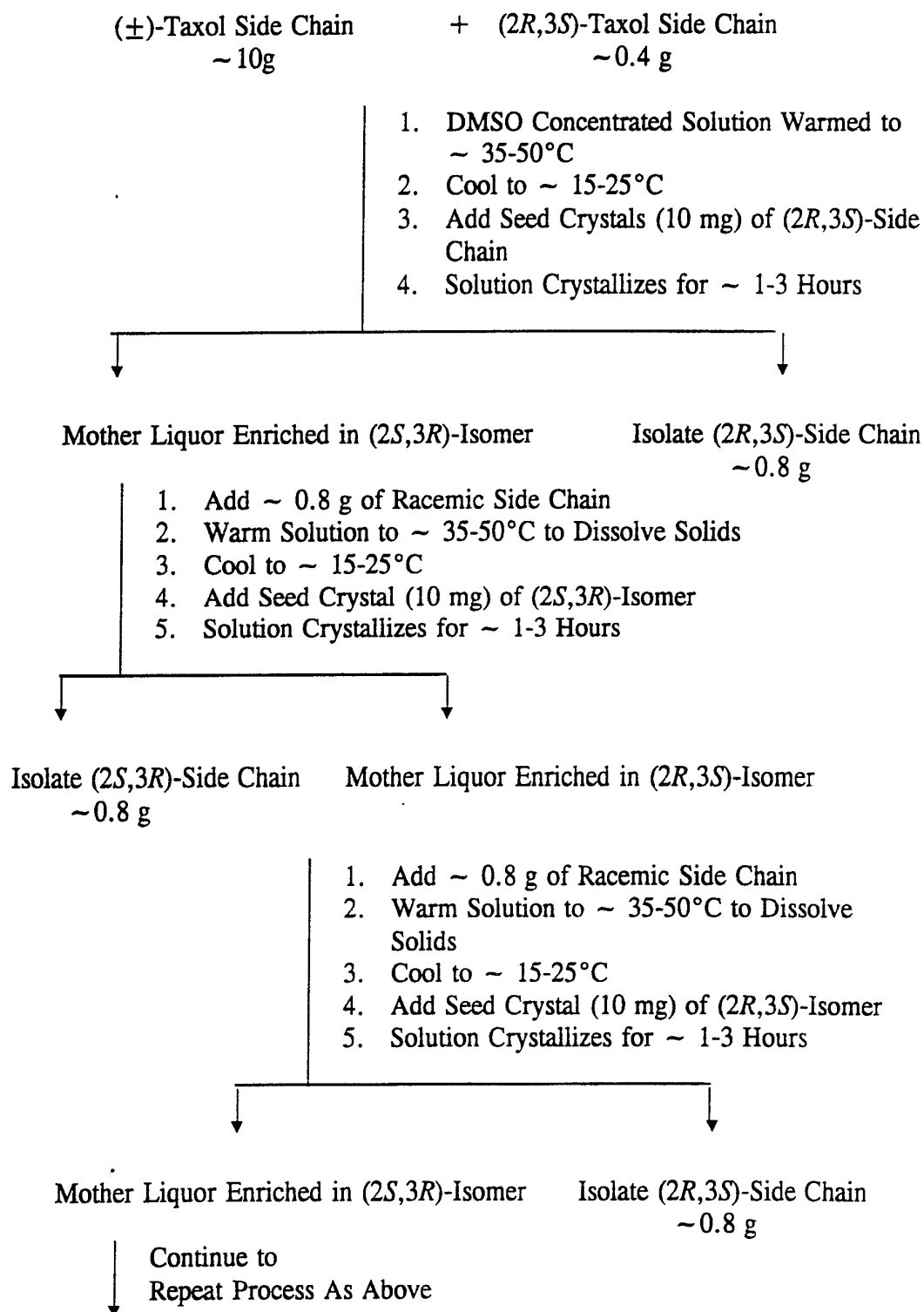
80. The method according to claim 76 wherein in each iteration of the entrainment procedure, the solution is cooled to a crystallization temperature of about 15°C to about 25°C.

81. The method according to claim 76 wherein the entrainment procedure is performed utilizing a solvent selected from the group consisting of dimethylsulfoxide, dimethylformamide, dimethylacetamide, N-methylpyrrolidinone, chloroform, trichloromethane, methylene chloride, acetone, methanol, ethanol, isopropanol and water and mixtures thereof.

- 1/1 -

FIG. 1

SCHEMATIC REPRESENTATION OF TYPICAL ENTRAINMENT PROCEDURE



INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 92/09911

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ⁶		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int.Cl. 5 C07C231/20; C07C233/87; C07D305/14; C07C69/675		
II. FIELDS SEARCHED		
Minimum Documentation Searched ⁷		
Classification System	Classification Symbols	
Int.Cl. 5	C07C ; C07D	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁸		
III. DOCUMENTS CONSIDERED TO BE RELEVANT⁹		
Category ¹⁰	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³
A	JOURNAL OF ORGANIC CHEMISTRY vol. 51, no. 1, 10 January 1986, EASTON US pages 46 - 50 A. E. GREENE 'An Efficient, Enantioselective Synthesis of the Taxol Side Chain' cited in the application see the whole document	1-81
A	JOURNAL OF ORGANIC CHEMISTRY vol. 28, no. 7, June 1963, EASTON US pages 2009 - 2012 C. C. TUNG, A. J. SPEZIALE 'Epoxide Studies. The Ring Opening of cis- and trans-N,N-Diethylphenylglycidamide' cited in the application see the whole document	1-81
¹⁰ Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search		Date of Mailing of this International Search Report
19 FEBRUARY 1993		- 2. 03. 93
International Searching Authority		Signature of Authorized Officer
EUROPEAN PATENT OFFICE		SEUFERT G.H.

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No.
A	JOURNAL OF ORGANIC CHEMISTRY vol. 55, no. 6, 16 March 1990, EASTON US pages 1957 - 1959 A. E. GREENE 'An Improved Synthesis of the Taxol Side Chain and of RP 56976' cited in the application see the whole document ---	1-81
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		EP-A- 0515541	02-12-92
