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(71) Applicant: INERTECH IP LLC [US/US]; 60 Backus Avenue, Danbury, Connecticut 06810 (US).

(72) Inventors: ZHANG, Ming; 1380 Kiefer Bluffs Dr., Ballwin, Missouri 63021 (US). NGUYEN, Ken; 2 Mountainview Terrace, Danbury, Connecticut 06810 (US). SHAPIRO, Doron; 7401 Weil Avenue, St. Louis, Missouri 63119 (US). COSTAKIS, John; PO Box 577, Glasco, New York 12432 (US).

(74) Agent: CANNON, Seth M. et al.; Carter, Deluca, Farrell & Schmidt LLP, 445 Broadhollow Road, Suite 420, Melville, New York 11747 (US).

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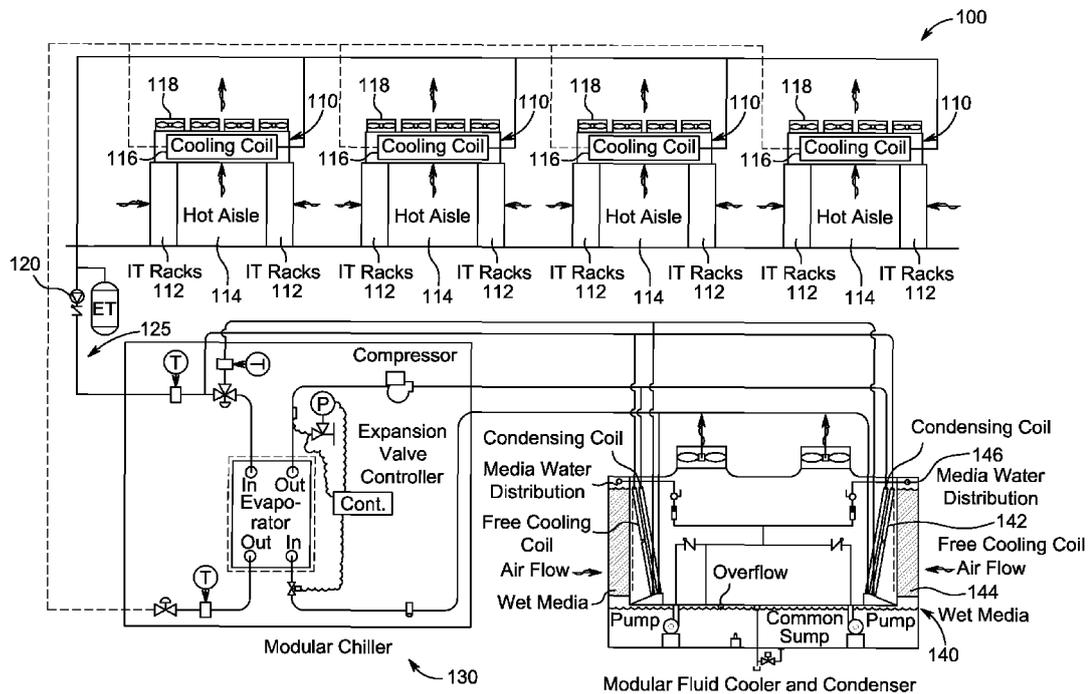


FIG. 1

(57) Abstract: A cooling system includes a heat exchanger having one or more rows of multiple flat tubes, louvered fins disposed between pairs of flat tubes, and special header tube connections to form a counter flow heat exchanger. Heat exchangers having multiple rows may be placed near or close to the server racks and may be in fluid communication with an outdoor heat exchanger having one or more rows. A single-phase fluid is pumped through the fluid circuit or loop, which includes the heat exchangers at the server racks and the outdoor heat exchanger. The single-phase fluid circuit including the heat exchangers at the IT racks may alternatively be in thermal communication with a water circuit that includes an outdoor fluid cooler. The flat tubes can be formed tubes with one or more channels, or extruded tubes with multiple channels. The heat exchangers include header tubes/connections, which facilitate easy fabrication and



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## COOLING SYSTEMS AND METHODS USING SINGLE-PHASE FLUID AND A FLAT TUBE HEAT EXCHANGER WITH COUNTER-FLOW CIRCUITING

### **BACKGROUND**

#### *Technical Field*

[0001] The present disclosure relates to cooling systems and methods.

#### *Description of Related Art*

[0002] Over the past several years, computer equipment manufacturers have expanded the data collection and storage capabilities of their servers. The expansion of server capabilities has led to an increase in total power consumption and total heat output per server and per server rack assembly in data centers. It has also led to an increase in power and temperature control requirements for computer data collection and storage. As a result, the data collection and storage industry has sought and is seeking new, innovative equipment, systems, and design strategies to handle the tremendous and continued growth in capacity of computer data collection and storage.

[0003] Cooling systems for computer server racks have been struggling to keep pace with the ability to cool ever increasing computer server heat loads in data centers. The increase of computer server heat loads (measured in kilowatts (kW)) has required that more space be allotted for the cooling infrastructure within the data rooms or that the cooling systems are concentrated at the heat source, i.e., the computer server racks. Recently, cooling systems have been designed to concentrate the cooling at the computer server racks. These cooling systems include rear-door heat exchangers and rack-top coolers.

**SUMMARY**

In one aspect, the present disclosure features a system for cooling a plurality of information technology (IT) racks. The system includes a heat exchanger disposed at or near a hot aisle formed by the plurality of IT racks. The heat exchanger, in turn, includes a first row including a first plurality of flat tubes and a second row including a second plurality of flat tubes in fluid communication with the first row. The system further includes a fan disposed in air communication with the heat exchanger. The fan moves air from the hot aisle through the heat exchanger from the second row to the first row. The system further includes a single-phase fluid circuit coupled to and in fluid communication with the heat exchanger. The single-phase fluid circuit circulates a single-phase fluid through the heat exchanger from the first flat tube to the second flat tube.

In aspects, each flat tube of the first and second plurality of flat tubes includes one or more channels. Each flat tube of the first and second plurality of flat tubes includes two channels, three channels, or five channels.

In aspects, each flat tube of the first and second plurality of flat tubes is an extruded or brazed aluminum tube.

In aspects, the system includes a plurality of fins disposed between pairs of flat tubes of the first and second plurality of flat tubes. In embodiments, each of the plurality of fins may include a wave pattern in the direction of air flow. In embodiments, the plurality of fins is a louvered fin.

In aspects, the heat exchanger further includes a third row including a third plurality of flat tubes in fluid communication with the second row; and a fourth row including a fourth plurality of flat tubes in fluid communication with the third row. In aspects, the fan moves hot air

from the hot aisle through the heat exchanger from the fourth row to the first row, and the single-phase fluid circuit circulates the single-phase fluid through the heat exchanger from the first row to the fourth row.

In aspects, the first row and the second row are connected using an O-ring and one or more bolts or screws.

In aspects, the single-phase fluid is a fluoroketone (FK) fluid. In aspects, the FK fluid includes micro-encapsulated, phase change material.

In aspects, the system further includes a water circuit; and a second heat exchanger coupled between the single-phase fluid circuit and the water circuit.

In aspects, the system further includes a third heat exchanger disposed in an outdoor fluid cooler and in fluid communication with the water circuit. The third heat exchanger includes one or more rows of a plurality of flat tubes.

In aspects, the system further includes a second heat exchanger disposed in an outdoor fluid cooler and in fluid communication with the single-phase fluid circuit. The second heat exchanger includes one or more rows of a plurality of flat tubes.

In aspects, the heat exchanger is disposed above the hot aisle.

In aspects, the system further includes an air duct coupled between the heat exchanger and the hot aisle.

In another aspect, the present disclosure features a method for cooling a plurality of information technology (IT) racks. The method includes: moving air from a hot aisle formed by a plurality of IT racks across a first plurality of flat, aluminum-formed tubes of a first row of a first heat exchanger and then across a second plurality of flat, aluminum-formed tubes of a second row of the heat exchanger; pumping a single-phase fluid through the heat exchanger from the

second plurality of flat, aluminum-formed tubes to the first plurality of flat, aluminum-formed tubes to transfer heat from the air to the single-phase fluid, and through a first channel of a second heat exchanger; and circulating a cooling water solution through a second channel of the second heat exchanger.

In aspects, the single-phase fluid is a fluoroketone (FK) fluid. In aspects, the FK fluid includes micro-encapsulated, phase change material.

In still another aspect, the present disclosure features a heat exchanger. The heat exchanger includes a first row including a first pair of header tubes and a first plurality of flat tubes coupled between the first pair of header tubes so that the first plurality of flat tubes are in fluid communication with the first pair of header tubes. The heat exchanger also includes a second row including a second pair of header tubes and a second plurality of flat tubes coupled between the second pair of header tubes so that the second plurality of flat tubes are in fluid communication with the second pair of header tubes. The header tube of the first pair of header tubes is coupled to a header tube of the second pair of header tubes without using a brazing process. The heat exchanger also includes a plurality of fins disposed between each pair of the first and second plurality of flat tubes.

In aspects, the first row and the second row are separately constructed using a brazing process. In aspects, the first and second plurality of flat tubes each include two channels, three channels, or five channels. In aspects, the first and second plurality of flat tubes are extruded or brazed aluminum tubes.

In aspects, each of the plurality of fins include a wave pattern in the direction of air flow. In aspects, the plurality of fins is a louvered fin.

In aspects, the heat exchanger includes a fluid inlet coupled to and in fluid communication with the first row, a fluid outlet coupled to and in fluid communication with the second row, and one or more fans configured to move hot air through the heat exchanger from the second row to the first row.

In aspects, the header tube of the first pair of header tubes is coupled to the header tube of the second pair of header tubes by coupling a header connection of the header tube of the first pair of header tubes to a header connection of the header tube of the second pair of header tubes using an O-ring or a gasket and one or more bolts or screws.

In still another aspect, the present disclosure features a method of manufacturing a heat exchanger. The method includes coupling a first plurality of flat tubes between a first pair of header tubes through a brazing process so that the first plurality of flat tubes are in fluid communication with the first pair of header tubes; coupling a second plurality of flat tubes between a second pair of header tubes through a brazing process so that the second plurality of flat tubes are in fluid communication with the second pair of header tubes; and coupling a first header tube of the first pair of header tubes to a second header tube of the second pair of header tubes without using a brazing process.

In aspects, method of manufacturing further includes coupling the first header tube to the second header tube by coupling a first header connection of the first header tube to a second header connection of the second header tube through an O-ring or a gasket.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

[0004] Various aspects and features of the present disclosure are described hereinbelow with references to the drawings, wherein:

[0005] FIG. 1 is a schematic diagram illustrating a single-phase fluid cooling system in accordance with an embodiment of the present disclosure;

[0006] FIG. 2 is a schematic diagram illustrating a single-phase fluid cooling system with an intermediate heat exchanger in accordance with another embodiment of the present disclosure;

[0007] FIG. 3 is a schematic diagram illustrating a single-phase fluid cooling system with an intermediate heat exchanger and individual pump/pipe loops in accordance with yet another embodiment of the present disclosure; and

[0008] FIGS. 4A and 4B are schematic diagrams illustrating a cooling system with hot air return to rooftop air handler, in accordance with still another embodiment of the present disclosure.

[0009] FIG. 5A is a front view of a heat exchanger provided in accordance with the present disclosure;

[0010] FIG. 5B is a cross-sectional view of the heat exchanger of FIG. 5A taken along section line 5A-5A of FIG. 5A;

[0011] FIG. 5C is a top view of the heat exchanger of FIG. 5A;

[0012] FIG. 5D is a cross-sectional view of the heat exchanger of FIG. 5A taken along section line 5D-5D of FIG. 5C;

[0013] FIG. 6 is a top view of another heat exchanger provided in accordance with the present disclosure;

[0014] FIG. 7A is a front view of yet another heat exchanger provided in accordance with the present disclosure;

- [0015] FIG. 7B is a cross-sectional view of the heat exchanger of FIG. 7A taken along section line 7A-7A of FIG. 7A;
- [0016] FIG. 7C is a side view of the heat exchanger of FIG. 7A;
- [0017] FIG. 8A is a front view of yet another heat exchanger provided in accordance with the present disclosure;
- [0018] FIG. 8B is a top view of the heat exchanger of FIG. 8A;
- [0019] FIG. 8C is a cross-sectional view of a flat tube of the heat exchanger of FIGS. 8A and 8B taken along section line 8C-8C of FIG. 8B;
- [0020] FIG. 9A is a top view of heat exchanger fins provided in accordance with some embodiments of this disclosure;
- [0021] FIG. 9B is a front view of the heat exchanger fins of FIG. 9B;
- [0022] FIG. 10A is a detailed front view of the heat exchanger fins of FIGS. 9A and 9B in accordance with some embodiments of this disclosure;
- [0023] FIG. 10B is a cross-sectional view of a heat exchanger fin of FIG. 10A taken along section line 10B-10B of FIG. 10A;
- [0024] FIG. 11A is a top view of yet another heat exchanger provided in accordance with some embodiments of the present disclosure;
- [0025] FIG. 11B is a front view of the heat exchanger of FIG. 11A;
- [0026] FIG. 11C is a left side view of the heat exchanger of FIGS. 11A and 11B; and
- [0027] FIG. 11D is a right side view of the heat exchanger of FIGS. 11A and 11B.

**DETAILED DESCRIPTION**

**[0028]** The present disclosure is directed to heat exchangers having multiple tube rows, and special header tubes to maintain counter flow and facilitate easy connection between rows and inlet/outlet.

**[0029]** Embodiments of the present disclosure are now described in detail with reference to the drawings in which like reference numerals designate identical or corresponding elements in each of the several views. In the drawings and in the description that follows, terms such as front, rear, upper, lower, top, bottom, and similar directional terms are used simply for convenience of description and are not intended to limit the disclosure. Additionally, in the following description, well-known functions or constructions are not described in detail to avoid obscuring the present disclosure in unnecessary detail.

**[0030]** Some computer servers now produce high heat, and rear-door heat exchangers and other similar cooling products on the market have difficulty handling the cooling requirements of these high-density computer servers. Also, traditional fin-copper-tube coils produce significant air side and fluid side pressure drop while single-row flat-tube or microchannel heat exchangers produce high temperature approach for single-phase fluid, resulting in compromised performance.

**[0031]** The present disclosure is related to systems and methods for cooling a data center or other heat load having a high temperature difference. Compared to existing pumped R134a liquid refrigerant systems, the systems according to embodiments of the present disclosure utilize the low specific heat and high temperature difference of a fluoroketone (FK) fluid and counter-flow heat exchangers to achieve higher energy efficiency. The heat exchanger and other portions of the cooling system are less likely to leak due to the FK fluid's low working pressure

and single-phase nature. Also, the FK fluid has a global warming potential (GWP) of only one whereas R134a has a GWP of approximately 1400. Compared to water-based liquid cooling systems, the systems according to the present disclosure are safer because FK fluid does not harm server electronics if a leak occurs, there is no possibility of freezing in low temperature outdoor ambient conditions, and there are no concerns about corrosion compared to water-based systems.

**[0032]** The cooling systems according to embodiments of the present disclosure use a single-phase fluid. For example, the cooling systems may use an FK fluid (e.g., Novec<sup>TM</sup> 649 made by 3M<sup>TM</sup>) or a heat transfer fluid with similar properties. As another example, the cooling systems may use a Hydrofluoroether (HFE) fluid, which is a non-ozone-depleting fluid. The single-phase fluid is pumped to heat exchangers closely coupled to computer server racks or another heat load to provide cooling. The single-phase fluid warmed by the computer server racks or another heat load is then pumped to an outdoor fluid cooler to reject heat to ambient directly for “free cooling” and further cooled (if necessary) through a chiller evaporator to the needed or desired supply temperature (e.g., 16.7 °C). The cooled single-phase fluid is pumped back to the heat exchangers near the server racks to complete the cycle. The single-phase fluid can also be any other liquid fluid that is non-conductive and inert.

**[0033]** Further, compared to a pumped liquid refrigerant system, the fluid system according to embodiments of the present disclosure does not use a fluid that changes from a liquid phase to a vapor phase and works under relatively low pressure, and thus is much more robust to operate. Also the fluid cycle according to embodiments of the present disclosure maintains high temperature change (e.g., between the temperature of the fluid leaving the heat exchangers at the server load and the temperature of the fluid being supplied by the chiller and/or

outdoor fluid cooler) and low temperature approach resulting in a lower fluid flow rate, higher energy efficiency, and more “free cooling” or partial “free cooling” hours than other cooling loop systems.

**[0034]** FIG. 1 shows a schematic diagram of a cooling system 100. Hot air from IT racks 112 is discharged to the hot aisles 114 and then drawn into the FK fluid heat exchangers 116 at the top of the hot aisles by fans 118. The heat exchangers 116, which are described in more detail below, include multiple rows of multiple flat tubes. For example, the heat exchangers 116 may include two or four rows of multiple flat tubes. The hot air is cooled by FK fluid flowing through heat exchangers 116, or another appropriate single-phase fluid, on the tube side of the heat exchangers 116 and discharged back to the room or cold aisle. The warmed FK fluid from the heat exchangers 116 is pumped by a pump 120 to fluid-to-air, free-cooling heat exchanger 142 of an outdoor fluid cooler 140 where the FK fluid is cooled by ambient air. The heat exchanger 142 includes one or more rows of flat tubes. For example, the heat exchanger 142 may include one or two rows of multiple flat tubes. If further cooling of the FK fluid is needed because, for example, of the high temperature of the ambient air, the modular chiller 130 may be operated.

**[0035]** In one example method, if the temperature of the FK fluid, or another appropriate single-phase fluid, leaving from the fluid cooler 140 reaches a needed supply temperature (e.g., 16.7 °C) when ambient air is cool enough (e.g., 13.3 °C), the FK fluid is pumped back to the indoor hot aisle heat exchangers 116 to complete the cycle for full “free cooling” (no compressor or chiller operation is needed, e.g., the modular chiller 130 does not need to be operated). If the FK fluid leaving from the fluid cooler 140 is greater than the needed supply temperature (e.g., 16.7 °C), the chiller 130 is operated to further cool the FK fluid flowing through the chiller 130 (e.g., flowing through the evaporator of the chiller 130) to the setpoint. Then, the further cooled

FK fluid is pumped back to the indoor hot aisle heat exchangers 116 to complete the cycle as “partial free cooling”. Adiabatic wet media 144, over which water is distributed by a media water distribution system 146, or a water spray can be placed at the air inlet of the fluid cooler 140 to cool the entering air temperature to close to the wet bulb temperature and thereby increase the full free cooling or partial free cooling to save energy.

**[0036]** FIG. 2 illustrates a cooling system 200, according to another embodiment of the present disclosure. An intermediate plate heat exchanger 210 is used to thermally couple the FK fluid loop or circuit 205 near the server racks to a water loop or circuit 215, which uses cooling water (or a glycol/water mixture) to cool the FK fluid and reject heat to the outdoor fluid cooler 140 and/or the chiller 130 (when needed). The advantage of this configuration is that the FK fluid charge volume can be significantly reduced.

**[0037]** FIG. 3 illustrates a cooling system 300, according to yet another embodiment of the present disclosure. An intermediate plate heat exchanger 310 is used to thermally couple the FK fluid loop 305 near the IT racks to a water loop 315, which uses cooling water (or glycol/water mixture) to cool the FK fluid and reject heat to the outdoor fluid cooler 140 and chiller 130 (when needed). The cooling system 300 uses small secondary pumps 308 and pipes 305 to pump the FK fluid to each individual heat exchanger 116 at the hot aisle separately, thus avoiding large liquid supply and return pipes and avoiding influencing other heat exchangers if one fails.

**[0038]** FIGS. 4A and 4B illustrate a cooling system 400 according to still another embodiment of the present disclosure. Hot air from the hot aisle is drawn to a rooftop air handler unit 410 where it is cooled and sent back to the room or cold aisle. The chilled liquid (e.g., the FK liquid fluid or other similar fluid) is pumped through a single-phase fluid circuit 405, which

includes the heat exchanger 415 of the air handler 410 and a heat exchanger 420 that is in fluid communication with the outdoor fluid cooler 440 and the chiller 430 (via a water circuit 425) to provide cooling for the air handler 410 and reject heat to the fluid cooler 440 and the chiller 430. The heat exchanger 415 may be a flat-tube heat exchanger including multiple rows of multiple flat tubes according to embodiments disclosed herein, e.g., FIGS. 5A–11D.

**[0039]** According to embodiments of the cooling system, micro-encapsulated, phase-change material (MEPCM) may be added to the liquid FK fluid to increase heat capacity (i.e., increase the thermal mass/heat transfer) and lower the flow rate/pumping power for all the cooling systems in FIGS. 1–4B. The MEPCM includes multiple different chemical compositions tailored for the working temperature range for data center cooling or any other applications.

**[0040]** In embodiments, the cooling system utilizes a multi-row-flat-aluminum-tube-counter-flow heat exchanger for the indoor hot aisle heat exchanger (or air handler heat exchanger) and outdoor fluid cooler. The high efficiency counter flow heat exchanger can make the leaving fluid temperature from the indoor heat exchanger close to the hot air entering temperature, and the air leaving temperature from the outdoor fluid cooler close to the entering FK fluid temperature. Put another way, these heat exchangers have very high number of transfer units (NTU) or high effectiveness (e.g., 95% or higher). This improves the system energy efficiency over an R134a pumped liquid system or other competing technologies.

**[0041]** FIG. 5A shows a front view of a heat exchanger 500 and FIG. 5B shows a cross-sectional view of the heat exchanger 500 taken along section line 5B-5B of FIG. 5A. The heat exchanger 500 has four rows 501: a first row 501a, a second row 501b, a third row 501c, and a fourth row 501d. Alternatively, the heat exchanger 500 may have two rows or any number of rows depending on the specific application. Each of the rows 501 includes multiple tubes 502:

the first row 501a includes multiple tubes including tube 502a, the second row 501b includes multiple tubes including tube 502b, the third row 501c includes multiple tubes including tube 502c, and the fourth row 501d includes multiple tubes including tube 502d.

**[0042]** The tubes 502 may be flat tubes. The flat tubes may be flat aluminum-formed tubes. Each tube 502 may have a single channel, two channels, or multiple channels (not shown). The tubes 502 may also be multi-port extruded aluminum tubes. The louver fin (not shown) is used on the airside 504 (the fins can be stacked with each piece to cover all four rows 502a, 502b, 502c, 502d, or each row 502a, 502b, 502c, 502d has its own fins so the fins are separated for each row 502a, 502b, 502c, 502d). The four rows 501a, 501b, 501c, 501d form a counter flow circuit – liquid fluid enters the fourth row 501d, then passes through the third row 501c, then passes through the second row 501b, and then exits from the first row 501a, while airflow enters the first row 501a and leaves from the fourth row 501d.

**[0043]** Compared to traditional fin-copper-tube coils, the flat tube heat exchanger 500 has better heat transfer performance but lower airflow pressure drop and lower fluid-side pressure drop. Compared to common flat-tube, cross-flow heat exchangers, the multi-rows and counter-flow circuiting of the heat exchanger 500 results in high heat-transfer efficiency with smaller temperature approach between the liquid and air. This is achieved by the entering header tube 506a, intermediate header tube 506b, and exit header tube 506c of the heat exchanger 500.

**[0044]** FIG. 5B shows a header tube 506 at each end of the heat exchanger 500 to cover all four rows 501a, 501b, 501c, 501d of flat tubes 502a, 502b, 502c, 502d to form counter-flow circuiting with internal partitions.

**[0045]** FIG. 5C is a top view of the heat exchanger of FIG. 5A and FIG. 5D is a cross-sectional view of the heat exchanger of FIG. 5A taken along section line 5D-5D of FIG.

5C. As shown in FIG. 5C, a fluid inlet tube 508a is connected to the fourth row 501d so that the fluid inlet tube 508a is in fluid communication with the flat tubes 502d of the fourth row 501d, and a fluid outlet tube 508b is connected to the first row 501a so that the fluid outlet tube 508b is in fluid communication with the flat tubes 502a of the first row 501a. In embodiments of the present disclosure, the fluid inlet tube 508a and the fluid outlet tube 508b may be connected to a single-phase fluid circuit.

**[0046]** FIG. 5D illustrates the multiple flat tubes 502a–502d in each of the rows 501a–501d, respectively. Fins 503a–503d are disposed between pairs of the flat tubes 502a–502d. In some embodiments, the fins 503a–503d are louvered fins.

**[0047]** FIGS. 6–7C show different embodiments of the rows 501 and header tubes 506. FIG. 6 shows two two-row heat exchangers 600 stacked together to form a four-row heat exchanger 610. The connection between the second row 602b and the third row 602c is through two short connection tubes 612, one connection 612 at each end of the header tube (not shown), or one short connection 612 at either end of the header tube. The liquid fluid enters the fourth row 602d and exits from the first row 602a from one end of the header tube 606 of the respective row 602.

**[0048]** FIGS. 7A–7C show four separate rows 602 stacked together to form a single heat exchanger 610, and liquid connection 614 between every two rows 602 and inlet 614a and outlet 614b is through a set of additional connection tubes 616 from the other side. FIG. 7C is a left side view showing the liquid entrance 614a, exit 614b, and transition 616 from the second row 602b to the third row 602c. The connections 614 between the first row 602a and the second row 602b, and between the third row 602c and the fourth row 602d are similar.

**[0049]** In general, the embodiments of the heat exchangers 500, 610 of the present disclosure may be used in any liquid-to-gas heat exchanger. For example, the embodiments of the heat exchangers 500, 610 of the present disclosure may be used for close-coupling heat exchangers near server racks in data center cooling, and also for outdoor fluid coolers for data centers.

**[0050]** FIGS. 8A and 8B illustrate a row of a heat exchanger according to another embodiment. The row includes multiple flat tubes 801 coupled between header tubes 805a, 805b. The flat tubes 801 may be aluminum tubes, e.g., extruded aluminum tubes. The row also includes header connectors 810a, 810b for connecting to one or more other rows. For example, header connectors 810a may connect to header connectors on a first other row and header connectors 810b may connect to header connectors on a second other row. The header connectors 810a, 810b may attach or connect to header connectors on other rows via an O-ring or gasket and one or more bolts or screws. FIG. 8A illustrates a row having three header connectors 805a, 805b on each header tube 810a, 810b, respectively. Other embodiments may include fewer or more header connectors. For example, more header connectors may be used to reduce the pressure differential.

**[0051]** FIG. 8C is a cross-sectional view of a flat tube 801 of the row of the heat exchanger of FIGS. 8A and 8B taken along section line 8C–8C of FIG. 8B. The flat tube 801 includes five channels 820. Other embodiments of the flat tube 801 may include fewer or more channels 820. For example, the flat tube 801 may include one channel, two channels, three channels, or six channels.

**[0052]** FIGS. 9A and 9B illustrate heat exchanger fins 905 provided in accordance with some embodiments of this disclosure. The fins 905 are disposed between the flat tubes 801

illustrated in FIGS. 8A–8C to increase heat transfer between the air flowing through the row of the heat exchanger and the fluid, e.g., single-phase fluid, flowing through the heat exchanger.

**[0053]** FIG. 10A is a detailed front view of the heat exchanger fins of FIGS. 9A and 9B in accordance with some embodiments of this disclosure. FIG. 10B is a cross-sectional view of a heat exchanger fin of FIG. 10A taken along section line 10B-10B of FIG. 10A. The fin includes a linear portion 1005 and a wave portion 1010, which, in the illustrated embodiment, has a saw-tooth pattern or shape. In other embodiments, the wave portion 1010 may have a sine pattern or a triangular pattern.

**[0054]** FIGS. 11A–11D illustrate yet another heat exchanger provided in accordance with other embodiments of the present disclosure. As shown in FIG. 11A, the heat exchanger 1100 includes four rows 1101–1104. Row 1101 includes header tube 1111 and header connector 1121 attached or connected together, e.g., by a brazing process. Row 1102 includes first header tube 1112a and first header connector 1122a connected together, and second header tube 1112b and second header connector 1122b connected together. Row 1103 includes first header tube 1113a and first header connector 1123a connected together, and second header tube 1113b and second header connector 1123b connected together. Row 1104 includes header tube 1114b and header connector 1124 connected together. Row 1102 and row 1103 are connected together by connecting header connectors 1122a and 1123a. For example, as illustrated in FIG. 11B, header connector 1123a includes holes or openings 1143a, 1144a and header connector 1122a includes corresponding holes through which a bolt or other similar fastener may be placed to connect the header connectors 1122a, 1123a together. An O-ring or gasket 1105 may be placed between the header connectors 1122a, 1123a to provide a seal against leakage of fluid from inside the rows

1101–1104 to the airside. Similarly, header connectors 1121 and 1122b are connected together and header connectors 1123b and 1124 are connected together.

**[0055]** For those header connectors that are not connected together to enable fluid communication between the corresponding rows, e.g., header connectors 1111a, 1121a, spacer 1131 is placed between header connectors 1111a, 1121a. Spacer 1131 may include fasteners for attaching the header connectors 1111a, 1121a to the spacer 1131.

**[0056]** As shown in FIG. 11C, the first header tube 1111a of the first row 1101 includes an inlet connector or tube stub 1141 for connecting to a fluid supply line and the fourth header tube 1114a of the fourth row 1104 includes an outlet connector or tube stub 1142 for connecting to a fluid return line.

**[0057]** Compared to regular fin copper-tube coils, embodiments of the heat exchanger of FIGS. 8A–11D have a lower pressure drop on both the air side and the liquid side. And its high effectiveness results in a small temperature approach between the air side and the liquid side.

**[0058]** While several embodiments of the disclosure have been shown in the drawings, it is not intended that the disclosure be limited thereto, as it is intended that the disclosure be as broad in scope as the art will allow and that the specification be read likewise. Therefore, the above description should not be construed as limiting, but merely as exemplifications of particular embodiments. It is contemplated that the embodiments of FIGS. 8C, 9, and 10 are not just applicable to the heat exchangers shown in FIG. 8 or 11, but are also applicable to the embodiments shown in FIGS. 5, 6, and 7.

**CLAIMS**

1. A system for cooling a plurality of information technology (IT) racks, comprising:
  - a heat exchanger disposed at or near a hot aisle formed by the plurality of IT racks, the heat exchanger including:
    - a first row including a first plurality of flat tubes; and
    - a second row including a second plurality of flat tubes in fluid communication with the first row;
  - a fan disposed in air communication with the heat exchanger and configured to move air from the hot aisle through the heat exchanger from the second row to the first row; and
  - a single-phase fluid circuit coupled to and in fluid communication with the heat exchanger, the single-phase fluid circuit circulating a single-phase fluid through the heat exchanger from the first flat tube to the second flat tube.
2. The system of claim 1, wherein each flat tube of the first and second plurality of flat tubes includes one or more channels.
3. The system of claim 2, wherein each flat tube of the first and second plurality of flat tubes includes two channels, three channels, or five channels.
4. The system of claim 2, wherein each flat tube of the first and second plurality of flat tubes is an extruded or brazed aluminum tube.

5. The system of claim 1, further comprising a plurality of fins disposed between pairs of flat tubes of the first and second plurality of flat tubes.

6. The system of claim 5, wherein each of the plurality of fins includes a wave pattern in the direction of air flow.

7. The system of claim 5, wherein the plurality of fins is a louvered fin.

8. The system of claim 1, wherein the heat exchanger further includes:

a third row including a third plurality of flat tubes in fluid communication with the second row; and

a fourth row including a fourth plurality of flat tubes in fluid communication with the third row,

wherein the fan moves hot air from the hot aisle through the heat exchanger from the fourth row to the first row, and

wherein the single-phase fluid circuit circulates the single-phase fluid through the heat exchanger from the first row to the fourth row.

9. The system of claim 1, wherein the first row and the second row are connected using an O-ring and one or more bolts.

10. The system of claim 1, wherein the single-phase fluid is a fluoroketone (FK) fluid.

11. The system of claim 1, wherein the FK fluid includes micro-encapsulated, phase-change material.

12. The system of claim 1, further comprising:

a water circuit; and

a second heat exchanger coupled between the single-phase fluid circuit and the water circuit.

13. The system of claim 12, further comprising:

a third heat exchanger disposed in an outdoor fluid cooler and in fluid communication with the water circuit, the third heat exchanger including one or more rows of a plurality of flat tubes.

14. The system of claim 1, further comprising:

a second heat exchanger disposed in an outdoor fluid cooler and in fluid communication with the single-phase fluid circuit, the second heat exchanger including one or more rows of a plurality of flat tubes.

15. The system of claim 1, wherein the heat exchanger is disposed above the hot aisle.

16. The system of claim 1, further comprising an air duct coupled between the heat exchanger and the hot aisle.

17. A method for cooling a plurality of information technology (IT) racks, comprising:

moving air from a hot aisle formed by a plurality of IT racks across a first plurality of flat, aluminum-formed tubes of a first row of a heat exchanger and then across a second plurality of flat, aluminum-formed tubes of a second row of the heat exchanger;

pumping a single-phase fluid through the heat exchanger from the second plurality of flat, aluminum-formed tubes to the first plurality of flat, aluminum-formed tubes to transfer heat from the air to the single-phase fluid, and through a first channel of a second heat exchanger; and

circulating a cooling water solution through a second channel of the second heat exchanger.

18. The method of claim 17, wherein the single-phase fluid is a fluoroketone (FK) fluid.

19. The method of claim 17, wherein the FK fluid includes micro-encapsulated, phase-change material.

20. A heat exchanger, comprising:

a first row including a first pair of header tubes and a first plurality of flat tubes coupled between the first pair of header tubes so that the first plurality of flat tubes are in fluid communication with the first pair of header tubes;

a second row including a second pair of header tubes and a second plurality of flat tubes coupled between the second pair of header tubes so that the second plurality of flat tubes are in fluid communication with the second pair of header tubes, a header tube of the first pair of

header tubes coupled to a header tube of the second pair of header tubes without using a brazing process; and

a plurality of fins disposed between each pair of the first and second plurality of flat tubes.

21. The heat exchanger of claim 20, wherein the first row and the second row are separately constructed using a brazing process.

22. The heat exchanger of claim 20, wherein the first and second plurality of flat tubes each include two channels, three channels, or five channels.

23. The heat exchanger of claim 20, wherein the first and second plurality of flat tubes are extruded or brazed aluminum tubes.

24. The heat exchanger of claim 20, wherein each of the plurality of fins include a wave pattern in the direction of air flow.

25. The heat exchanger of claim 20, wherein the plurality of fins is a louvered fin.

26. The heat exchanger of claim 20, further comprising:

a fluid inlet coupled to and in fluid communication with the first row;

a fluid outlet coupled to and in fluid communication with the second row; and

one or more fans configured to move hot air through the heat exchanger from the second row to the first row.

27. The system of claim 20, wherein the header tube of the first pair of header tubes is coupled to the header tube of the second pair of header tubes by coupling a header connection of the header tube of the first pair of header tubes to a header connection of the header tube of the second pair of header tubes using an O-ring or a gasket and one or more bolts or screws.

28. A method of manufacturing a heat exchanger, comprising:

coupling a first plurality of flat tubes between a first pair of header tubes through a brazing process so that the first plurality of flat tubes are in fluid communication with the first pair of header tubes;

coupling a second plurality of flat tubes between a second pair of header tubes through a brazing process so that the second plurality of flat tubes are in fluid communication with the second pair of header tubes; and

coupling a first header tube of the first pair of header tubes to a second header tube of the second pair of header tubes without using a brazing process.

29. The method of claim 28, further comprising coupling the first header tube to the second header tube by coupling a first header connection of the first header tube to a second header connection of the second header tube through an O-ring or a gasket.



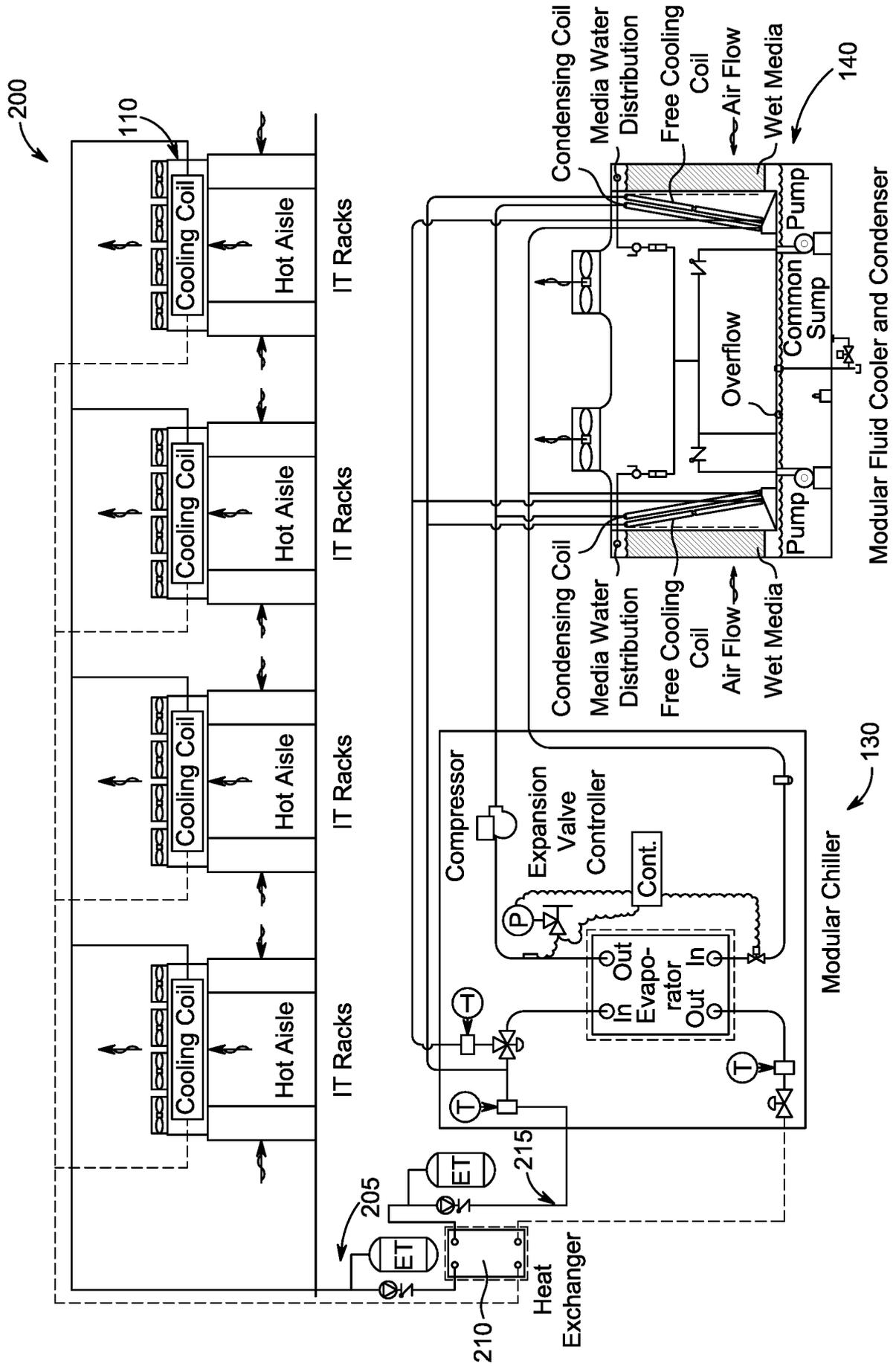


FIG. 2

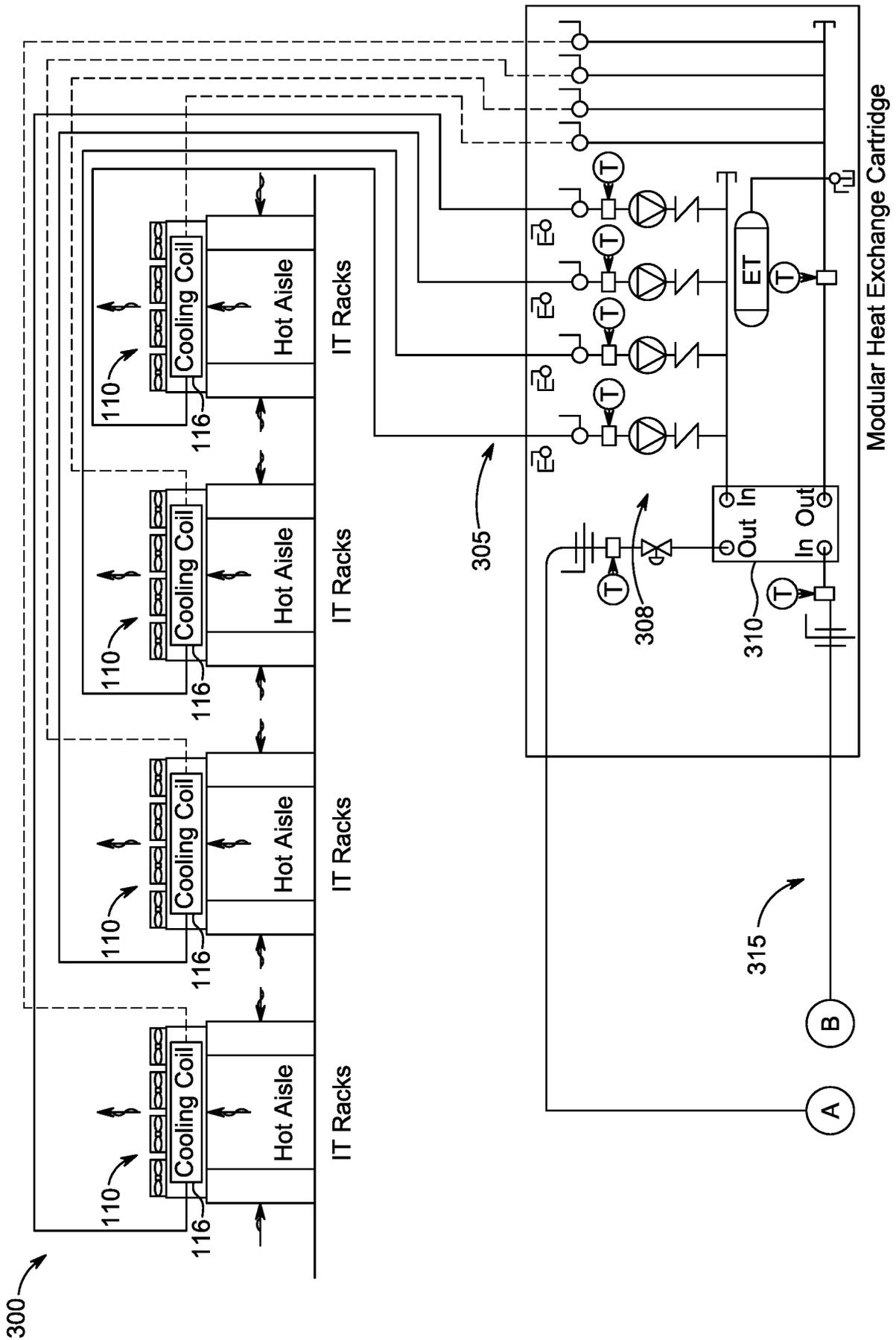


FIG. 3A

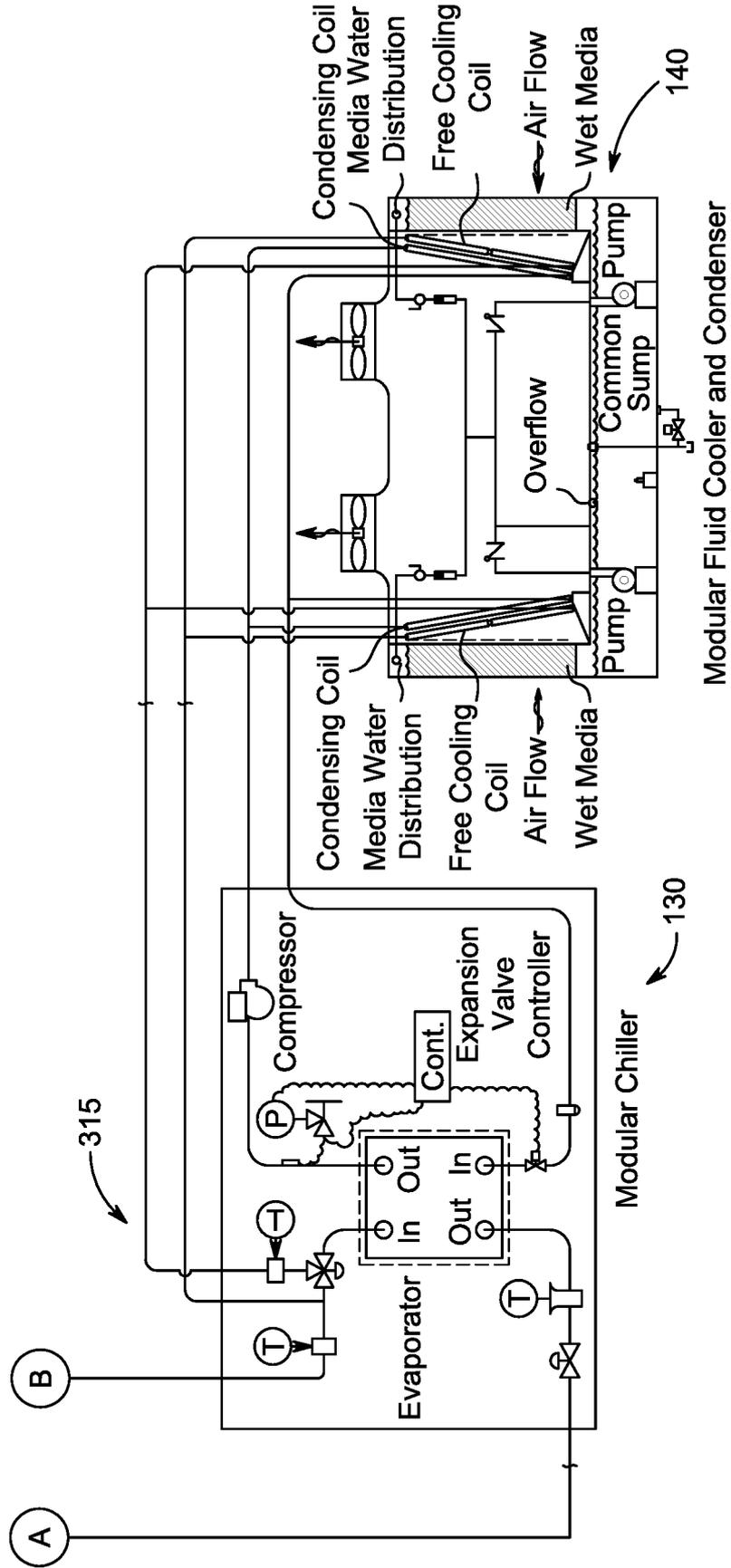


FIG. 3B

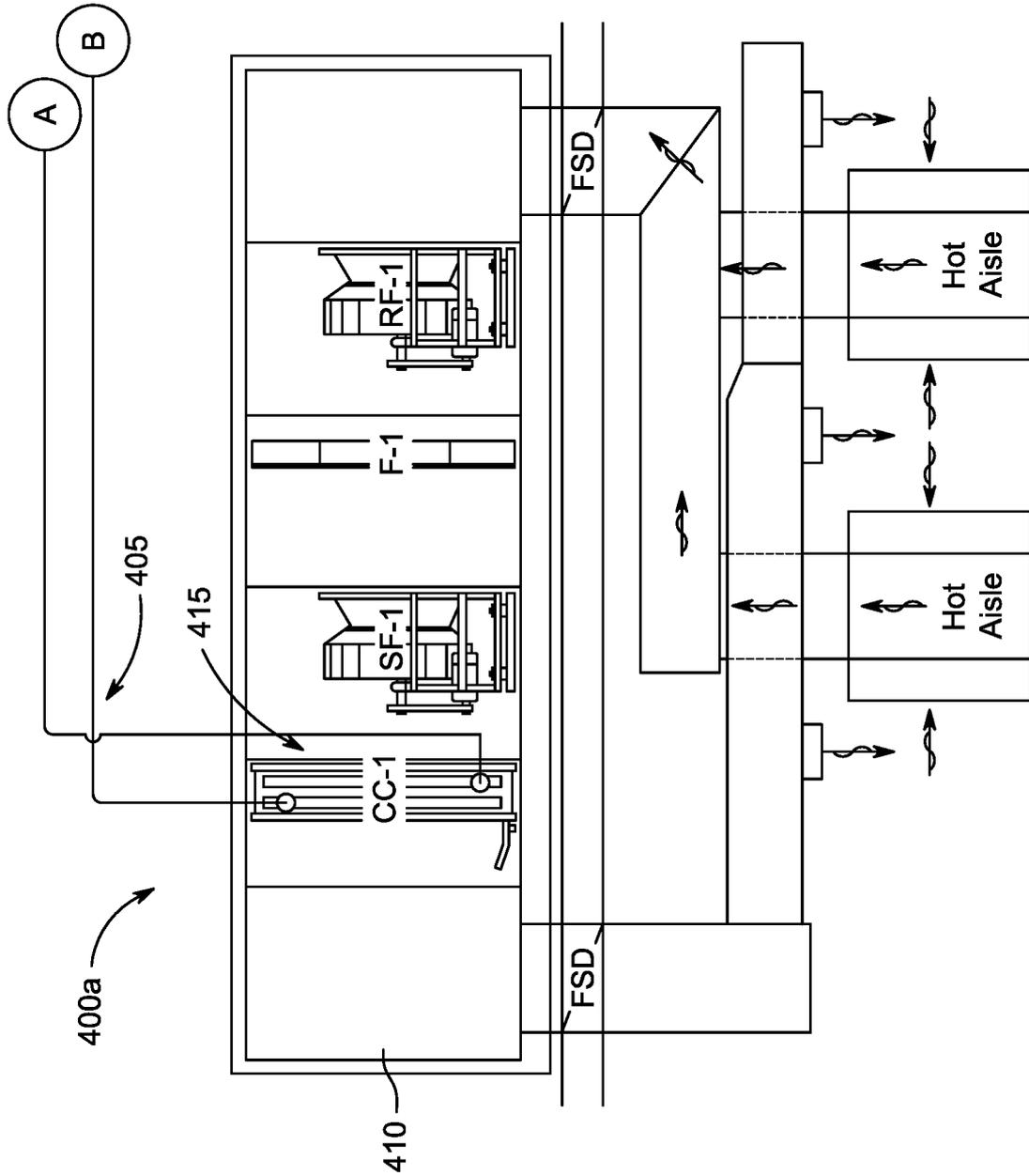


FIG. 4A

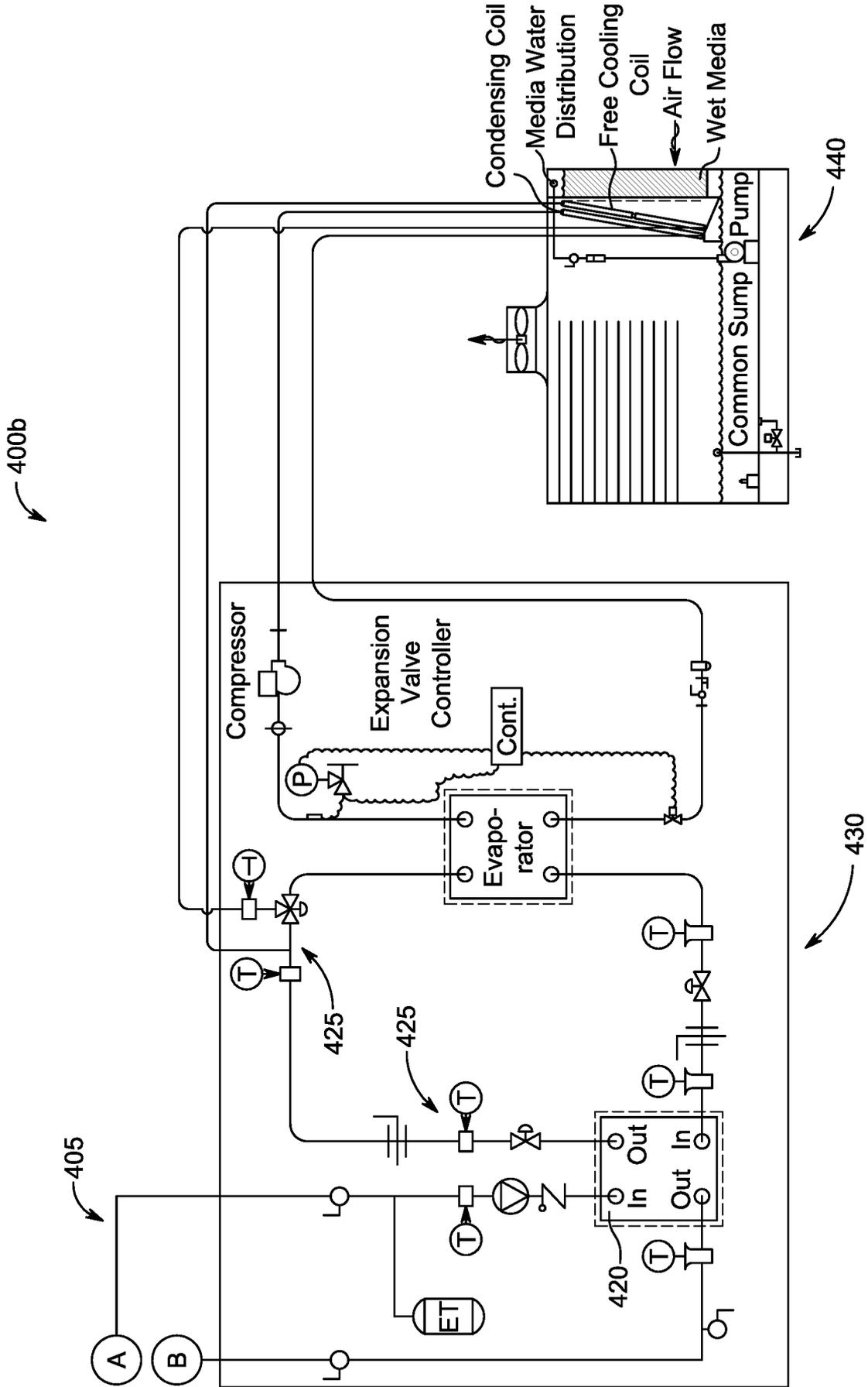


FIG. 4B

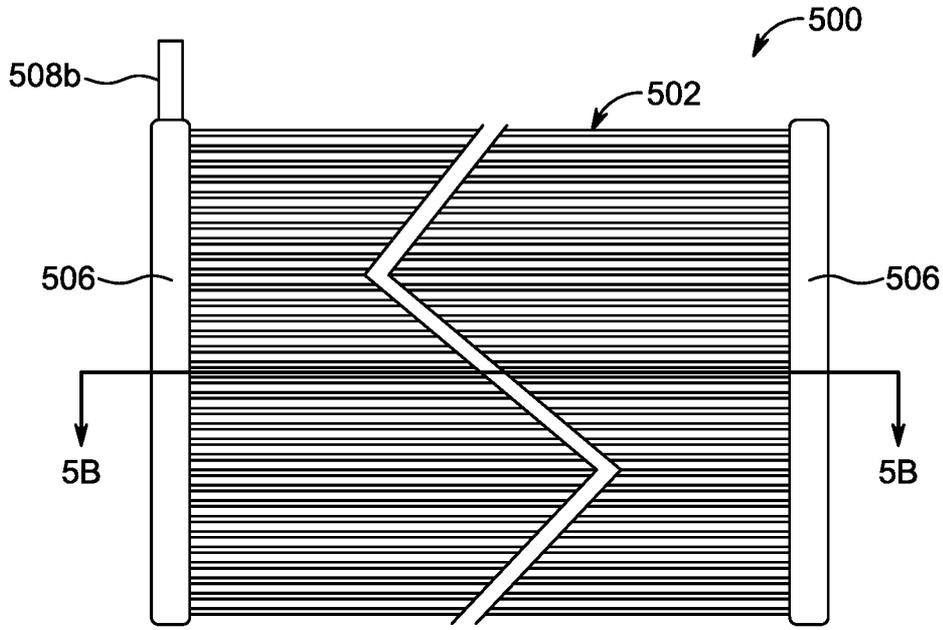


FIG. 5A

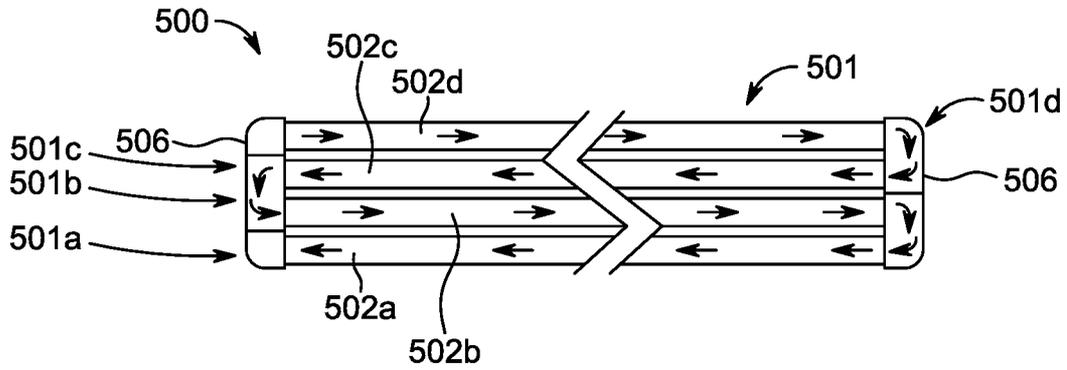


FIG. 5B

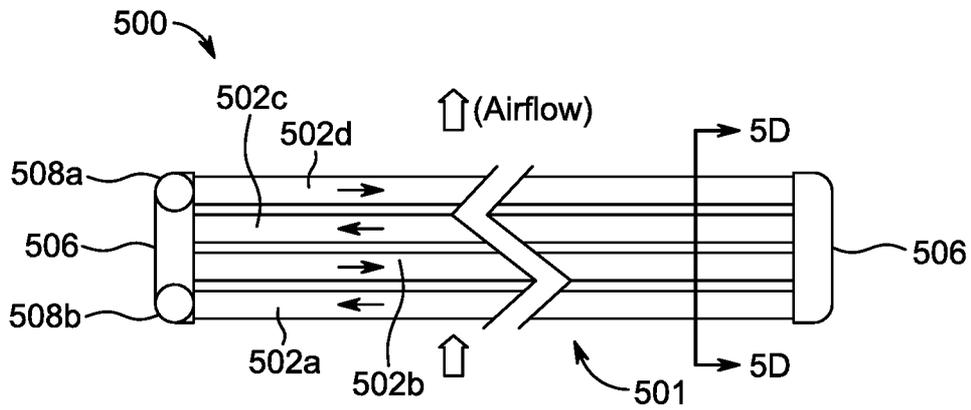


FIG. 5C

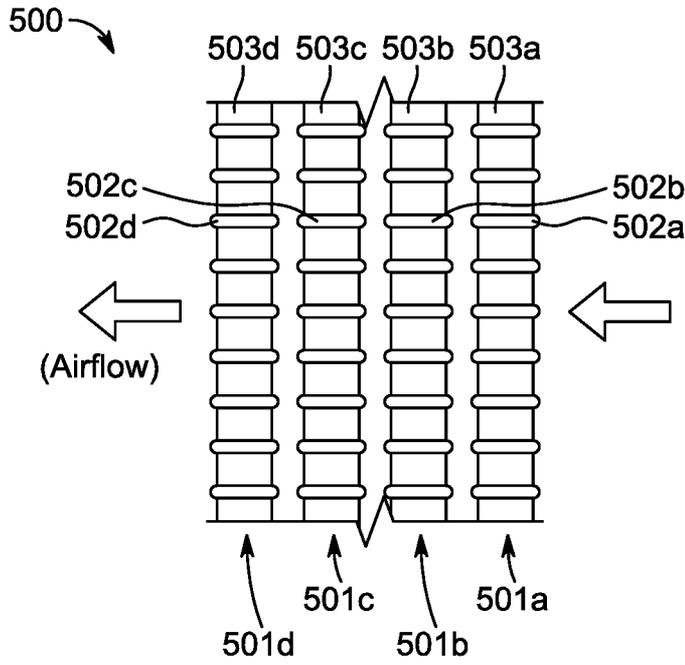


FIG. 5D

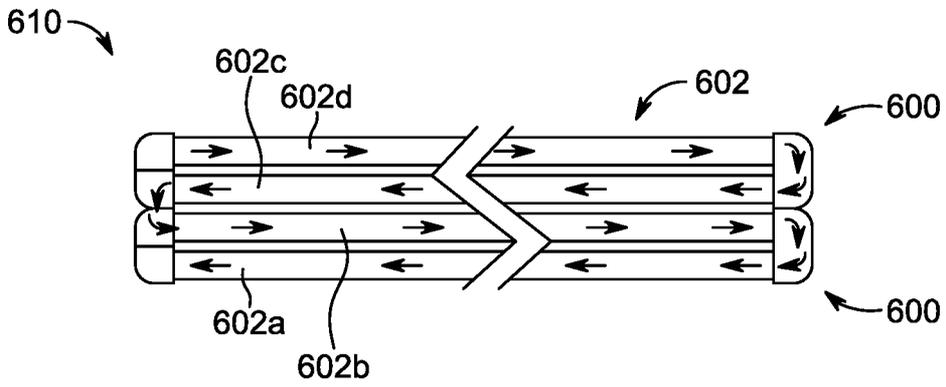


FIG. 6

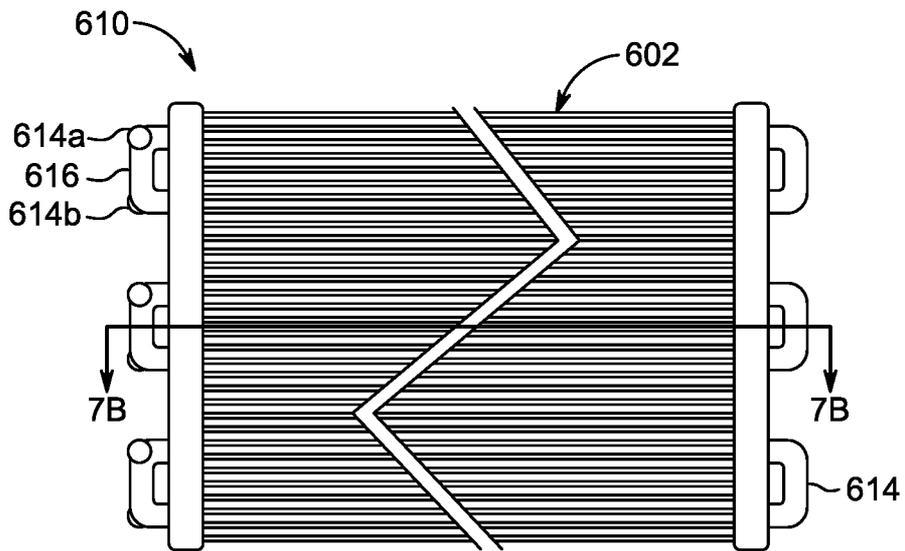


FIG. 7A

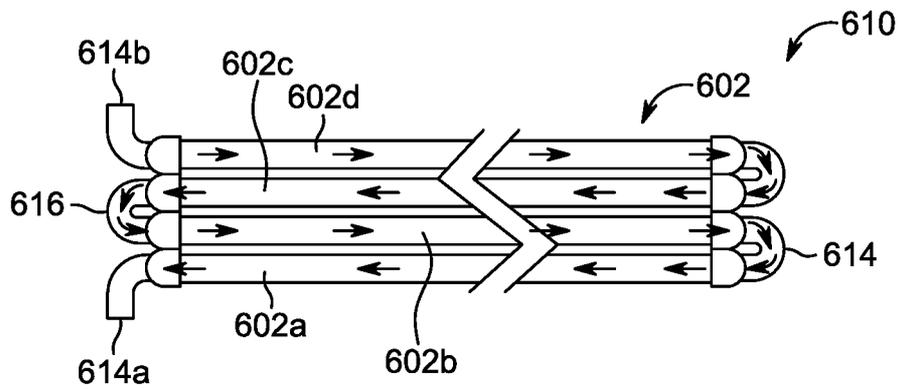


FIG. 7B

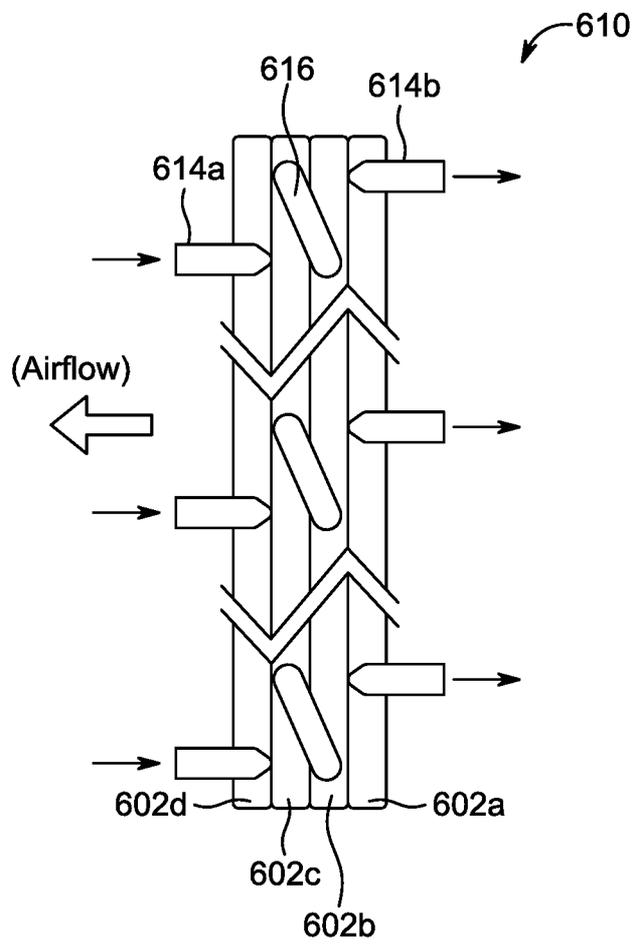


FIG. 7C

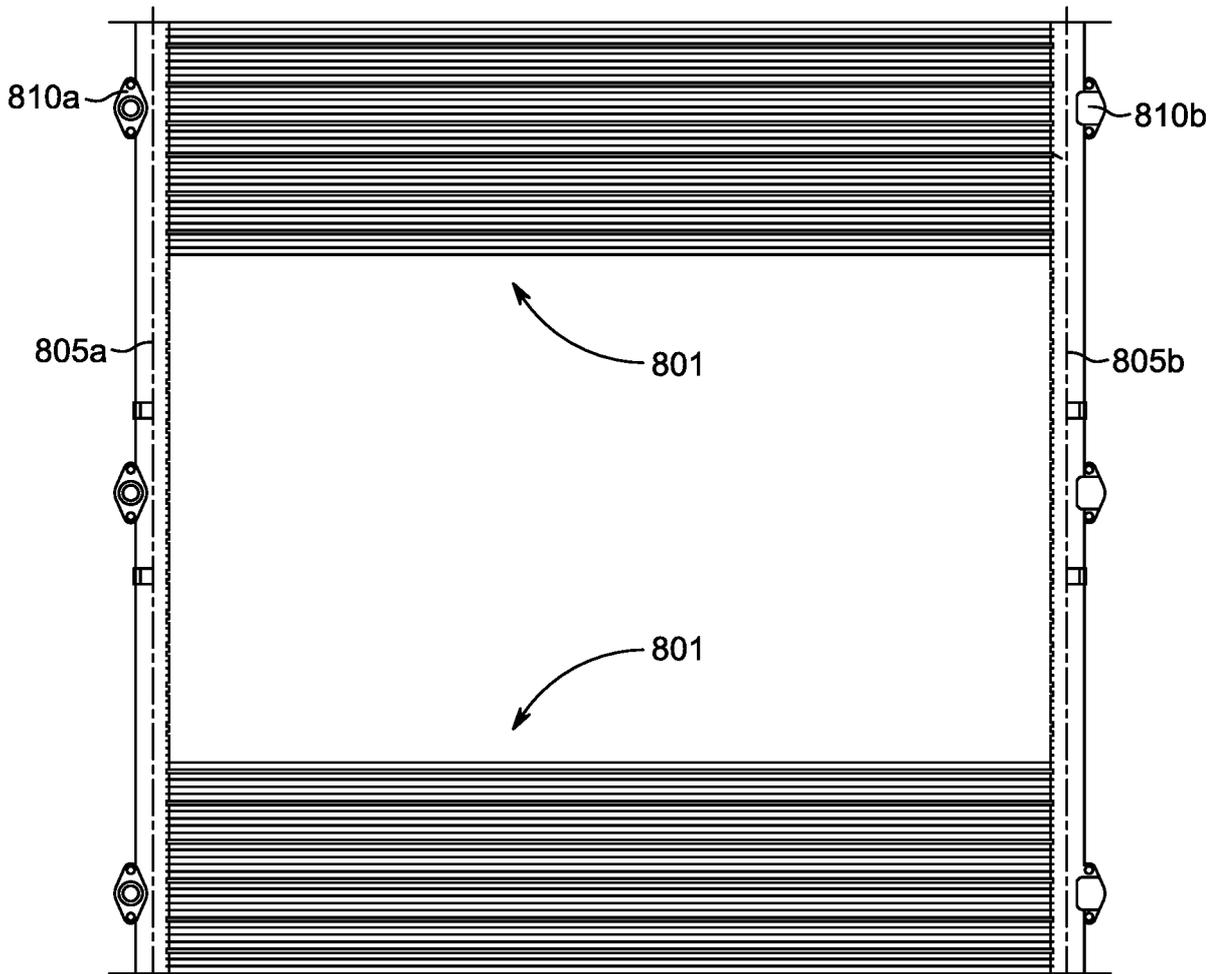


FIG. 8A

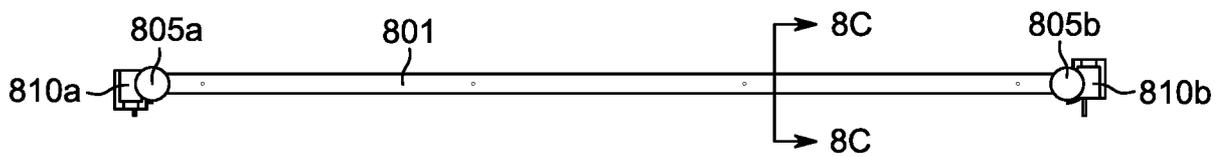


FIG. 8B

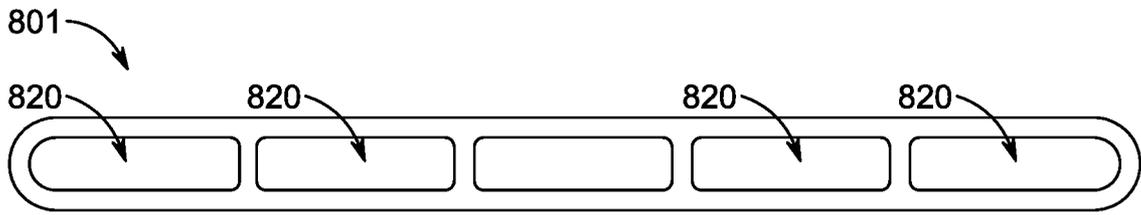


FIG. 8C

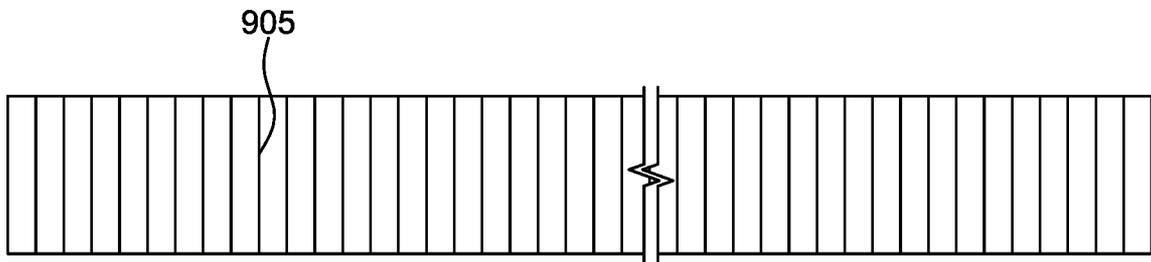


FIG. 9A

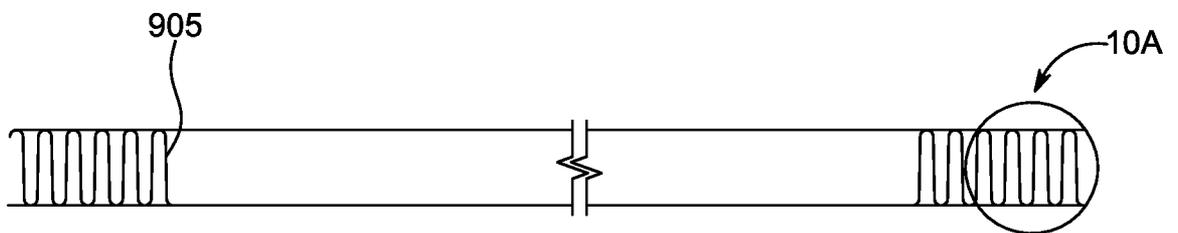


FIG. 9B

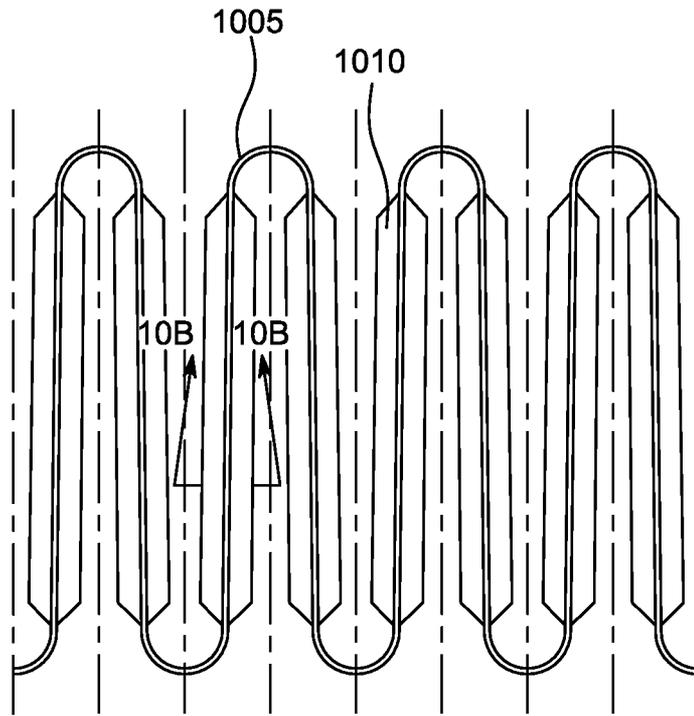


FIG. 10A

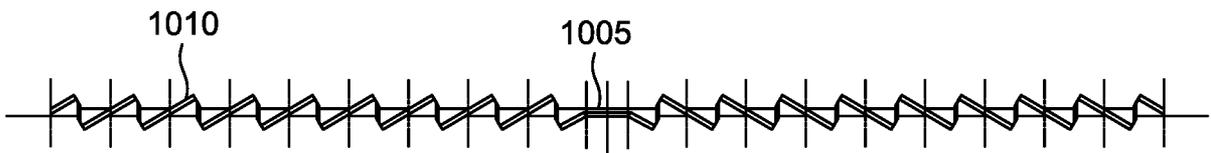


FIG. 10B

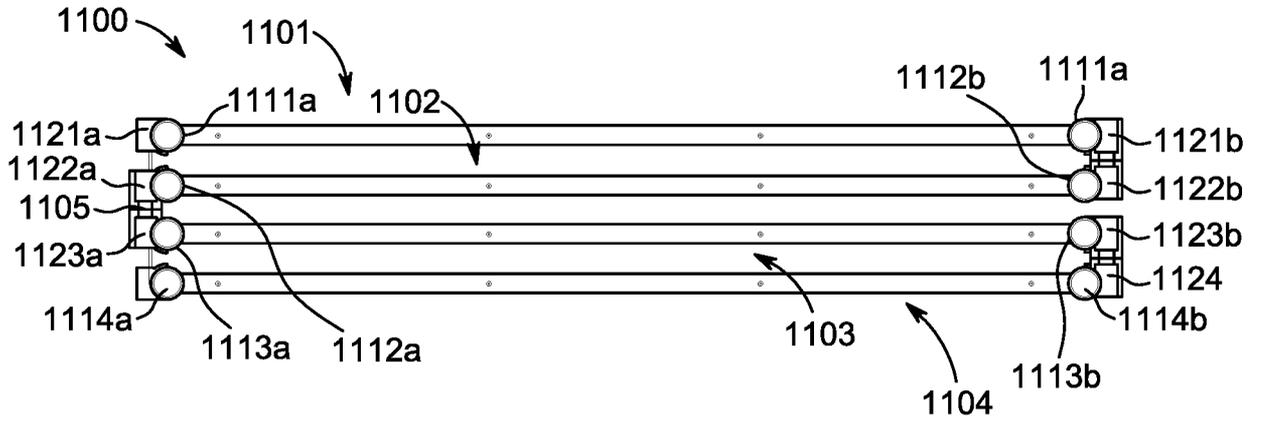


FIG. 11A

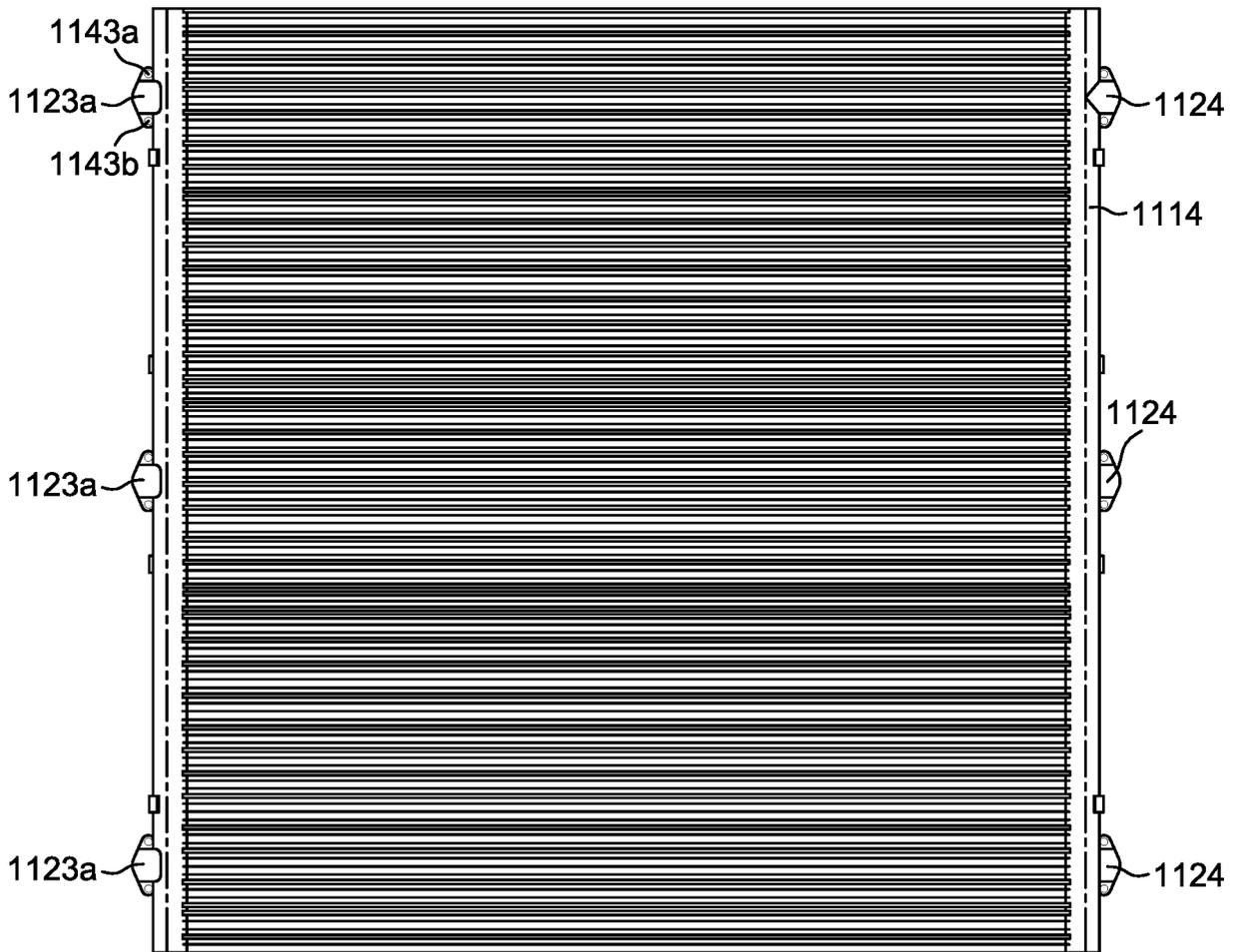


FIG. 11B

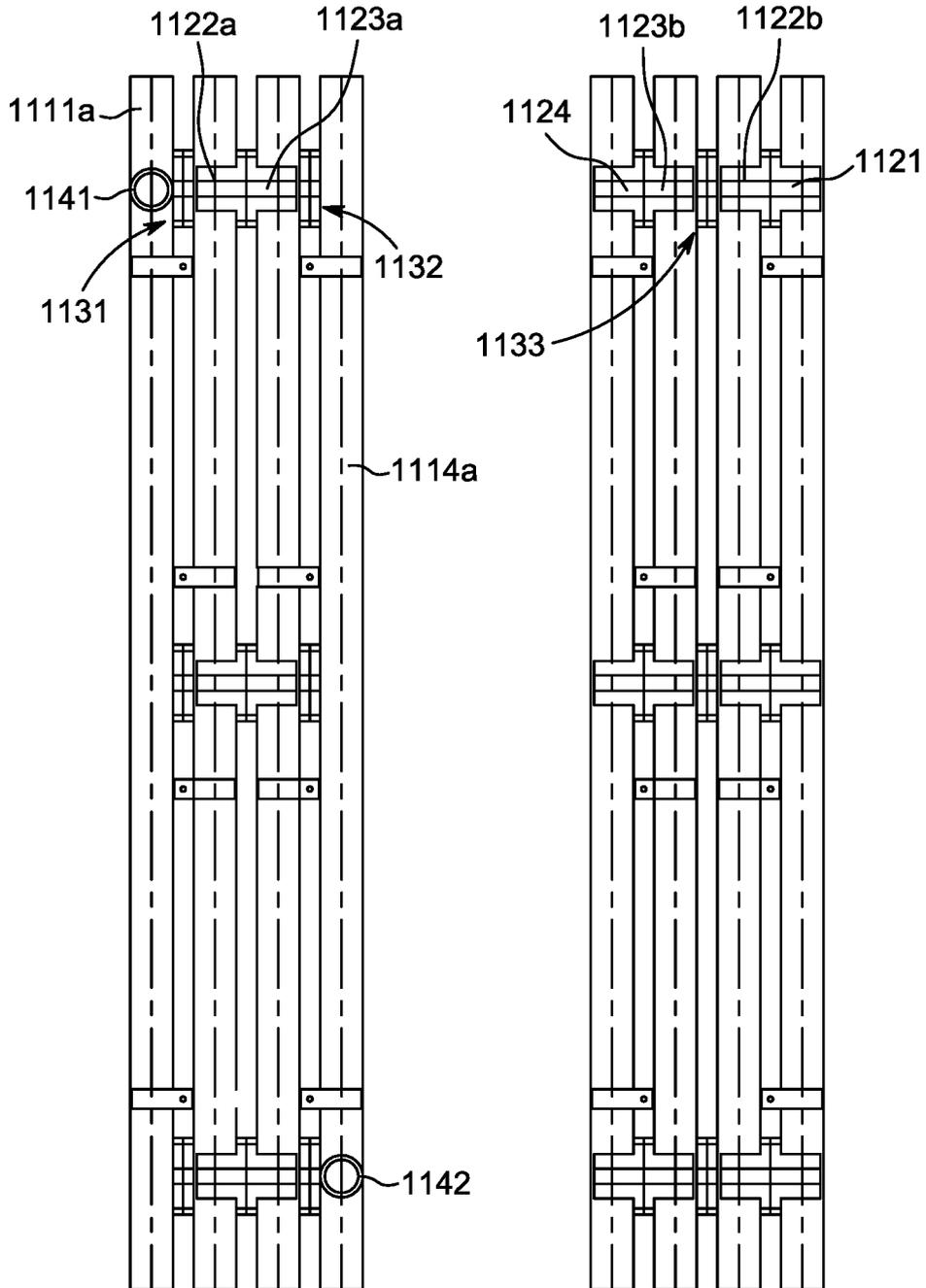


FIG. 11C

FIG. 11D

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2017/048969

**A. CLASSIFICATION OF SUBJECT MATTER**  
 INV. H05K7/20 F28D1/053 F28D1/047 F28F1/02 F28D1/04  
 G06F1/20 F28D21/00  
 ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
 H05K F28D F28F G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y A	EP 2 675 259 A1 (AMERICAN POWER CONV CORP [US]) 18 December 2013 (2013-12-18) paragraph [0028] - paragraph [0051]; figures 1-6 -----	1-10, 12-18 11,19
Y	DE 39 36 109 A1 (PIEMONTESE RADIATORI [IT]) 20 December 1990 (1990-12-20) column 1 - column 2; figures 1,2,5 -----	1,2,4-6, 8,12-17
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A	paragraph [0012] - paragraph [0026]; figures 1A-C,4,5 ----- -/--	2,6,8, 11,15, 16,19

Further documents are listed in the continuation of Box C.  See patent family annex.

\* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family
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Date of the actual completion of the international search  <b>10 November 2017</b>	Date of mailing of the international search report  <b>10/01/2018</b>
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Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  <b>Seifert, Frank</b>
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**INTERNATIONAL SEARCH REPORT**

International application No  
PCT/US2017/048969

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	US 2003/188857 A1 (KAWAKUBO MASAOKI [JP] ET AL) 9 October 2003 (2003-10-09) the whole document -----	1-19
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A	WO 2013/125533 A1 (SANDEN CORP [JP]) 29 August 2013 (2013-08-29) the whole document -----	1-19
Y	US 2016/120067 A1 (MCDONNELL GERALD [US] ET AL) 28 April 2016 (2016-04-28) the whole document -----	13,14
A		1-12, 15-19

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2017/048969

## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-19

### Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-19

System and method for cooling IT racks by a cooling unit configured to be mounted on the two rows of equipment racks such that the cooling unit spans the hot aisle, the unit containing an air movement assembly configured to move air over a heat exchanger and an air-to-liquid heat exchanger in fluid communication with a coolant supply and a coolant return, the heat exchanger featuring at least two rows of flat tubes through which the cooling liquid is flowing subsequently, thus the heat is absorbed more efficiently.

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2. claims: 20-29

A heat exchanger and its manufacturing method.

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## INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2017/048969

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