

COMMONWEALTH of AUSTRALIA
Patents Act 1952

622420

APPLICATION FOR A STANDARD PATENT

I/We

Allen L. Cohen

of

10010 Walsham Court, Richmond, Virginia, 23233, United States of America

hereby apply for the grant of a Standard Patent for an invention entitled:

Multifocal optical device

which is described in the accompanying complete specification.

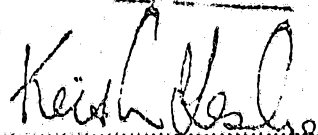
Details of basic application(s):-

<u>Number</u>	<u>Convention Country</u>	<u>Date</u>
222000	United States of America	20 July 1988

The address for service is care of DAVIES & COLLISON, Patent Attorneys,
of 1 Little Collins Street, Melbourne, in the State of Victoria, Commonwealth of
Australia.

DATED this TENTH day of NOVEMBER 1988

To: THE COMMISSIONER OF PATENTS


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and on behalf of the
applicant(s)

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COMMONWEALTH OF AUSTRALIA

PATENTS ACT 1952

DECLARATION IN SUPPORT OF CONVENTION OR
NON-CONVENTION APPLICATION FOR A PATENT

In support of the Application made for a patent for an invention

Insert title of invention.

entitled: "Multifocal optical device."

Insert full name(s) and address(es)
of declarant(s) being the appli-
cant(s) or person(s) authorized to
sign on behalf of an applicant
company.

I
~~We~~ Allen L. Cohen of
10010 Walsham Court
Richmond, Virginia 23233,
United States of America.

Cross out whichever of paragraphs
1(a) or 1(b) does not apply

1(a) relates to application made
by individual(s)

1(b) relates to application made
by company; insert name of
applicant company.

do solemnly and sincerely declare as follows: -

1. (a) I am the applicant for the patent

~~XXXX~~
~~XXXXXXX authorized by~~

Cross out whichever of paragraphs
2(a) or 2(b) does not apply

2(a) relates to application made
by inventor(s)

2(b) relates to application made
by company(s) or person(s) who
are not inventor(s); insert full
name(s) and address(es) of inven-
tors.

2. (a) I am the actual inventor..... of the invention

~~not~~

~~the applicant~~ ~~XXXXXX~~ ~~for the patent to make this declaration on its behalf~~ ~~XXXXXX~~

~~is~~ ~~the actual inventor~~ ~~XXXXXX~~ ~~of the invention and the facts upon which the applicant~~ ~~XXXXXX~~
~~is~~ ~~entitled to make the application are as follows:-~~ ~~XXXXXX~~

State manner in which applicant(s)
Give title from inventor(s)

Cross out paragraphs 3 and 4
for non-convention application.
For convention applications,
insert basic country(s) followed
by date(s) and basic applicant(s).

3. The basic application..... as defined by Section 141 of the Act ~~was~~ made
in United States of America on the 20th July 1988
by Allen L. Cohen

in on the

by

in on the

by

4. The basic application..... referred to in paragraph 3 of this Declaration ~~was~~
the first application..... made in a Convention country in respect of the invention the subject
of the application.

Declared at Richmond, Va. U.S.A. this 6th day of December 1988

Allen L. Cohen

Allen L. Cohen

DAVIES & COLLISON, MELBOURNE and CANBERRA

(12) PATENT ABRIDGMENT (11) Document No. AU-B-25004/88
(19) AUSTRALIAN PATENT OFFICE (10) Acceptance No. 622420

- (54) Title
MULTIFOCAL OPTICAL DEVICE
- (51)⁴ International Patent Classification(s)
G02B 027/44 G02C 007/04 G02C 007/06
- (21) Application No. : 25004/88 (22) Application Date : 10.11.88
- (30) Priority Data
- (31) Number (32) Date (33) Country
222000 20.07.88 US UNITED STATES OF AMERICA
- (43) Publication Date : 25.01.90
- (44) Publication Date of Accepted Application : 09.04.92
- (71) Applicant(s)
ALLEN L. COHEN
- (72) Inventor(s)
ALLEN L. COHEN
- (74) Attorney or Agent
DAVIES COLLISON CAVE , 1 Little Collins Street, MELBOURNE VIC 3000
- (56) Prior Art Documents
AU 605724 25003/88 G02B 27/44 G02C 7/04
GB 617210
AU 25020/88 G02B 3/10 27/44 G02C 7/06

(57) Claim

1. A diffractive multifocal optical element comprising a phase zone plate containing annular concentric zones in which the zones are spaced substantially proportional to $\sqrt{n}$ where n is an integer zone number and in which the zones possess stepped facets that introduce a discontinuity in optical path length of less than $\lambda/2$ where λ is the design wavelength.

4. A bifocal optical element of the Cohen lens design wherein the odd and even zones of the phase zone plate

a. conform to $r_n \approx \sqrt{n} d \lambda$ spacing, where n is an integer zone number, d represents a first order focal length, λ is a design wavelength, and r_n is the radius of the n th zone,

b. are contiguous and free of a non-refractive step interface at at least every other zone boundary, and leave a sloped profile at such contiguous interface,

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(10) 622420

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c. the cross-section of each odd zone has the same general profile and the cross-section of each even zone has the same general profile,

d. the general profile of the odd zones is different from that of the even zones, and

e. the depth of steps for the zones are less than $\lambda/2$.

6 2 2 4 2 0

COMMONWEALTH OF AUSTRALIA
PATENTS ACT 1952
COMPLETE SPECIFICATION

**NAME & ADDRESS
OF APPLICANT:**

Allen L. Cohen
10010 Walsham Court
Richmond Virginia 23233
United States of America

NAME(S) OF INVENTOR(S):

Allen L. COHEN

ADDRESS FOR SERVICE:

DAVIES & COLLISON
Patent Attorneys
1 Little Collins Street, Melbourne, 3000.

COMPLETE SPECIFICATION FOR THE INVENTION ENTITLED:

Multifocal optical device

The following statement is a full description of this invention, including the best method of performing it known to me/us:-

This invention relates to an improvement in phase zone plate optics embracing contact and intraocular lenses. A "phase zone plate", as employed herein and in the claims, is a unitary optical region of a lens utilizing the combination of a zone plate and optical facets (such as in the form of echelettes) in the zones of the zone plate, and the combined facets in the zones diffract light to produce a specific wavefront which results in a specific intensity distribution of light at a variety of orders (e.g., 0th, 1st, etc.) of the zone plate. The orders constitute the foci of the zone plate. In a restrictive sense and also in the most utilitarian sense, the phase zone plate is designed for general lens applications where the distribution of light at effective intensities is dependent upon zone spacing for yellow light. Yellow light, as employed herein, is that portion of the visible spectrum at 530-570 nanometers.

1a



This invention relates *inter alias* to contact lenses. Contact lenses are classical vergence type lenses. They possess a concave corneal bowl (the posterior surface) that allows fitting to the eye and the outer surface (the anterior surface) is smooth and shaped to allow the eyelid to slide over the eye and to provide proper vergence of light (taking the lens material's refractive index into consideration) to a focal point accommodating to the eye. The majority of the commercial contact lenses are shaped such that the lenses are thinnest about the optical axis and the depth of the lenses gradually increases along a sloped radial length extending in the direction of the lens perimeter. Owing to the difference in depth extending from the optical axis, light passing through the optical axis has to pass through less of the lens material. Because light travels faster in air, the light passing through greater depths relative to light passing through lesser depths will be shifted, hence be retarded in time.¹ Consequently, the shape of the lens is selected to accommodate this progressive retardation of the light so that the lightwaves emanating from the posterior surface are in synchronization in reaching a desired focal point.

This invention concerns contact lenses utilizing phase zone plate optics, such as phase zone plate bifocals and "tuned" Fresnel lenses making use of concentric annular zones. Such lenses generally follow the designs described, for example, by Allen L. Cohen in U.S. 4,210,391; 4,338,005; and 4,340,283 ("Cohen patents"). A Cohen lens design provides that the radii " r " of the annular and concentric zones are substantially proportional to $\sqrt{n}$ and that the zones are cut so as to direct light to more than one focal point.

The Cohen lens design with phase zone plate optics allows bifocal lens constructions which are exceptionally thin. Contact lenses may be designed with phase zone plate optics in order to achieve a bifocal or other multifocal effects. The specific chromatic properties of a phase zone plate may be

1. See Fincham, et al., Optics, Published by Butterworths, London, 9th edition, 1980, 1981, pages 72 - 76.

incorporated in the design of a contact lens including a contact lens having multifocal properties. All phase zone plate optical elements which are designated bifocals are possessed inherently with the ability to focus light to more than two focal points. They are designated bifocals because the intensity levels of the light to any two orders, e.g., the 0th and 1st order focal points are adequate for bifocal applications. In that sense, every bifocal distributes light to a third, and possibly more, focus. The judgment of whether a lens is a bifocal or trifocal is not based on any strict rule. If the wearer of the lens does not find objectionable the presence of the third or more focuses, then the lens is probably adequate as a bifocal.²

Other references mentioning or suggesting phase zone plate optics in regards to contact lenses are G. Forst, "Research into the Usability of Circular Grids as Aid to Vision," *Der Augenoptiker*, 1966 (12), pages 9-19; Ziegler, "Fabrication or Correction of Optical Lenses," as modified by Cohen, see column 4, lines 27-36 of Cohen, U.S. Patent No. 4,339,005, and column 5, line 63 to column 6, line 68, of Cohen, U.S. Patent No. 4,210,391; Freeman, U.S. 4,637,697; and Freeman, U.S. 4,642,112 (to the extent that holography embraces phase zone plate optics).

Bifocal contact lenses utilizing the above principles of phase zone plate optics are commercially available. Such lenses are believed to utilize stepped annular facets each comprising a full-period zone where each zone has a depth of an optical path length of $\lambda/2$, providing a physical depth of $\lambda/2(\eta' - \eta)$. η' and η are the indices of refraction of the lens and the medium (e.g., lachrymal layer) in which the lens is interacting and λ is the design wavelength, in this case that of yellow light. This results in a bifocal contact lens where the 0th and 1st orders have an equal split of yellow light intensity at about 40.1%.

2. See Klein and Ho, *SPIE*, August 1986, Table 2 and the comments about Table 2.

A full-period zone, for purposes of this invention, is defined as the smallest repetitive sequence of facets within a phase zone plate which are spaced substantially proportional to $\sqrt{n}$. Such spacing is characterized by the formula:

$$r_n \approx \sqrt{2 n d \lambda}$$

where d represents the 1st order focal length. A half-period zone, for the purposes of this invention, is characterized by the formula:

$$r_n \propto \sqrt{n d \lambda}$$

where d represents the 1st order focal length.

Though the non-refractive step wall or riser to the plateau of the step is cylindrical or nearly cylindrical in the planar direction of the optical axis of the lens, and thereby occupies a small fraction of the lens phase zone plate surface area, it is regarded to be sufficiently large to contribute to a number of problems. Image shadowing and debris trapping are some of the problems that could be made less acute by reducing depth of the step wall or riser.

However, if such a lens were altered to reduce the depth of the facet³ to a value less than $\lambda/2$, λ being the design wavelength, the optical qualities of the lens quickly becomes very poor. Though one is dealing with very small values when working at $\lambda/2$, a few millimicrons change in dimension seriously impacts on lens performance. For example, a 10% reduction in the depth of the depth of the facets yields a significant loss of effective bifocality in such a lens. It should be appreciated that all other values relating to the phase zone plate's dimensions are commensurately small. The plateau of the facet extending from the non-refractive step to the nadir of an adjacent non-refractive step, loses thickness from the $\lambda/2$ depth to a zero depth at the nadir of the adjacent step. These facts suggest that there is little one can do to avoid the loss in bifocality when reducing the depth of the step below $\lambda/2$.



The invention relates generally to a diffractive multifocal optical element comprising a phase zone plate containing annular concentric zones in which the zones are spaced substantially proportional to $\sqrt{n}$ and the zones possess stepped facets that introduce a discontinuity in optical path length of less than $\lambda/2$ where λ is the design wavelength.

It has been discovered that small changes (in some embodiments exceptionally small changes) in the configuration of the shape of inclination of the plateaus of the facets within the framework of $\sqrt{n}$ spacing provides that one can generate an effective bifocal lens based on phase zone plate optics where the depth of the step is less than $\lambda/2$. Through the alternating inclinations of facets embodied in the Cohen patents and the Cohen lens design, one can provide excellent bifocality in a contact lens where the facets have a depth less than about $\lambda/2$.

The invention also encompasses a phase zone plate containing annular concentric zones possessing facets which provide an alternating stepped repetitive pattern in accordance with $\sqrt{n}$ spacing in the optical element and wherein the depth of the steps of the facets are less than $\lambda/2(\eta' - \eta)$, where η' and η are the indices of refraction of the lens and the medium in which the lens is interacting and λ is the design wavelength.

In a particular embodiment of the invention, the optical element comprises facets within the annular concentric zones providing an alternating stepped repetitive pattern wherein:

1. the facet of one of the alternating zones has an inclined curved profile that is interrupted at the zone boundary by another curved profile providing the differently inclined curved facet of



the other alternating zone,

2. the zones are spaced substantially proportional to $\sqrt{n}$,

5

3. the depth of the facets are less than $\lambda/2$,

10

4. the zones are cut so as to direct yellow light to at least two primary focal points in at least adequate intensities for visual usage at each such primary focal point, and,



5. but for the alternating pattern, the element would not have such intensity.

The invention^{also} encompasses a bifocal optical element of the Cohen lens design wherein the odd and even zones of the phase zone plate

a. conform to $r_n \approx \sqrt{n d \lambda}$ spacing,

b. are contiguous and free of a non-refractive step interface at at least every other zone boundary, and leave a sloped profile at such contiguous interface,

c. the cross-section of each odd zone has the same general profile and the cross-section of each even zone has the same general profile,

d. the general profile of the odd zones is different from that of the even zones, and

e. the depth of steps for the zones are less than $\lambda/2$.

Preferably, the slope profile provides a smooth transsition from zone to zone.

In another aspect, the invention encompasses a bifocal optical element of the Cohen design comprising a faceted step phase zone plate containing an alternating profile wherein:

a. the phase zone plate conforms to $r_n \approx \sqrt{2 n d \lambda}$;

b. the alternating profile occurs within the full-period spacing;



c. the facets have a depth less than about $\lambda/2$;

d. the zones are cut so as to direct yellow light to at least two primary focal points in at least adequate intensity for visual usage at each such primary focal point, and

e. but for such alternating profile the zones would not have such intensity for visual usage.

In a preferred embodiment, the optical element of the invention comprises optically diffractive facets providing two different curved profiles that are joined at radii r_n through transition profiles located about such radii, which transition profiles have profile curvatures that are different from said two different curved profiles whereby to form annular and concentric zones at such transition profiles which zones are spaced substantially proportional to $\sqrt{n}$ and the zones are cut so as to direct yellow light to at least two primary focal points in at least adequate intensity for visual usage at each such primary focal point, which element but for the curved profiles would not have such intensity for visual usage.

This invention ^{also} relates to an ophthalmic lens such as contact and intraocular lenses containing such optical elements. In a preferred embodiment of the invention, the ophthalmic lens is a bifocal lens that splits the light to two focal points in essentially equal intensities. In a most preferred embodiment of the invention, the optical element of the lens comprises a repetitive pattern of zones having a profile embraced by the equation

$$d = D_0 \cdot (1/2 + 1/2 \cdot \cos (\pi \cdot r^2/b^2))$$

where d is the depth of the repetitive profile, r is the radial position of the zone, b is the radius of the 1st zone, and D_0 is the facet depth for the design wavelength.



One embodiment of the invention is directed to an ophthalmic contact lens containing at least two phase zone plates within its optic zone, at least one of which embraces the features of the aforementioned optical
5 elements.

Another embodiment of the invention is directed to an ophthalmic contact lens having within its optic zone,
10 (1) a phase zone plate embracing the features of the aforementioned optical elements and (2) a pure refractive portion, preferably in the form of one or more channels.

Another aspect of the invention concerns *inter alia* bifocal optical lenses comprising an optic zone section
15 which uses diffractive means for achieving multifocal properties. The diffractive means incorporates a repetitive pattern with shallow facet depths and a new profile. The use of a profile with shallow facet depths reduces shadowing of images, debris collection between
20 the phase plate and the eye (in the case with contact lenses), and enhances wearer comfort in the case with contact lenses.

The invention finds its most favoured employment in
25 contact lenses. The invention involved incorporating the optical elements on the anterior or posterior portion, or both, of a contact lens. The optical elements may be provided on contact lenses by lathing or molding. The invention is favourably employed in multifocal
30 (especially bifocal) intraocular lens.

The present invention also relates to a diffraction bifocal optical element. It utilizes a circularly blazed diffraction grating to achieve its multifocal properties
35 as taught by Cohen. The blazed grating allows for adjusting the split of light between two focal points by adjusting both the facet depth D_0 and the profile of the



blazed facet itself. The invention utilizes novel profiles for the facets of the optical element.

The present invention also relates to a diffraction
5 bifocal optical element utilizing a circularly blazed
diffraction grating to achieve its multifocal properties
wherein the blazed grating allows for adjusting the split
of light between two focal points by adjusting both the
facet depth D_0 and the profile of the blazed facet
10 itself, and the blazed facet provides an alternating
inclination divided in accordance with $\sqrt{n}$ zone spacing.

The novel facet arrangements of one aspect of the
invention divide what in the prior art is considered a
15 full-period (λ) spacing of the facets into alternating
inclined half-period ($\lambda/2$) faceted zones that contain
only one non-refractive cylindrical (or essentially
cylindrical) surface for every two alternating half-
period zones, and such two alternating half-period zones
20 are interconnected by a smooth surfaced facet which
effects a phase shift of the design wavelength light
between the half-period zones. All of the non-refractive
essentially cylindrical or cylindrical surfaces are less
than $\lambda/2$ deep. The alternating zones of the invention
25 provide control of the split of light between the focal
points of the lens. By adjustment of the inclinations of
the alternating zone, it is possible to vary the
intensity of light to the focal points.

30 The invention also preferably embraces a diffraction
bifocal optical element superimposed on, etched into
and/or embedded within a surfaces layer of a lens
possessing the ability to independently converge light to
at least two (preferably two) primary focal points in
35 which the element comprises alternating inclined half-
period ($\lambda/2$) faceted zones that contain only one non-
refractive cylindrical (or essentially cylindrical)



surface for every two alternating half-period zones, and such two alternating half-period zones are interconnected by a smooth surfaced facet which effects a phase shift of the design wavelength light between the half-period
5 zones. All of the non-refractive essentially cylindrical or cylindrical surfaces are less than $\lambda/2$ deep.

A remarkable aspect of one embodiment of the invention is the minimal difference in inclination
10 required in the alternating facets to achieve the benefits of excellent intensity of light at the designed focal points and at the same time yield the improvements cited above, including glare and/or haloing reduction. Only a small difference in inclinations from a
15 traditional parabolic shape is required in the half-period zones to generate a lens providing the advantages of the invention.



Such small differences come about by reason of the smallness of the facets even over a full-period zone measurement. For example, one embodiment of the invention may employ in a contact lens

- ◊ where the phase plate is characterized as comprising 8 full-period zones and is located in the posterior surface of the lens,
- ◊ the lens conforms to the shape of the eye and provides a typical refraction to the distant focal point, and
- ◊ the design wavelength is for yellow light, about 555 nanometers,

the following dimensions:

- the first zone at the optical axis has a radius of about 0.75 millimeters;
- the last zone away from the optical axis has a width defined by the difference in the radius to the outer periphery of the zone and the radius to the inner periphery of the zone, of about 0.14 millimeters; and
- the depth of each facet is about 0.003 millimeters.

This same structure, measured however, in terms of its 16 half-period zone spacings caused by a profile surfaces inflection occurring at a point at about $\sqrt{n}$ dimensions:

- the first zone at the optical axis has a radius of 0.053 millimeters;
- the last zone away from the optical axis has a width defined by the difference in the radius to the outer periphery of the zone and the radius to the inner periphery of the zone, of about 0.067 millimeters.



On comparing the optical elements comprising facets that have a conventional parabolic shape over full-period zone spacing to optical elements comprising the half-period zone spacing and facet profiles of the invention, utilizing the depth of the step of 0.003 millimeter, the half-period zone spacing is found to have a slightly lower area under the curves reflecting the profile of the facets. That difference can be as little as about 1% area difference to about 10 % area difference. Typically the difference is about 2 to about 5% area difference. In the above illustration, the area difference is about 3%. As small as the area difference seems to be, its contribution to the performance of the lens is quite significant.

A lens having the prior art parabolic shaped echelettes, full-period spacing and with an echelette depth of $0.8 \lambda/2$, provides the following light intensity distribution:

-1	0	1
.05	.57	.25

That is to be compared with the Klein and Ho description of the $\lambda/2$ analog showing the following intensities:

m =	3. nonalternating (b = .5)
-4	.0050
-3	.0083
-2	.0162
-1	.0450
0	.4053



1	.4053
2	.0450
3	.0162
4	.0083

A slight modification in the profile while maintaining the depth of $0.8 \lambda/2$ yields a bifocal element encompassed by the invention that provides a light intensity distribution at 0th and 1st orders of .405.

Each facet of the alternating zones of the phase zone plate has a depth less than $\lambda/2$, where λ is the design wavelength of the phase zone plate. In those cases where one zonal facet is joined by a curved profile to another zonal facet, if only one of them is formed from a step riser representing a non-refractive surface, the two zonal facets will have a combined depth of less than $\lambda/2$. In this special case, and for convenience of calculations, the depth of the combination is viewed from the concept of full-period zone spacing. However, such alternating inclined zonal facets are viewed as having a variable depth. The depth of the facets may range from about 0.01 to about 0.99 times (x) $\lambda/2$, preferably about 0.05 to about .95 x $\lambda/2$, most preferably about 0.1 to about 0.9 x $\lambda/2$.

Assuming *arguendo* it was logical to those in the art to want to reduce facet depth, until this invention, there was no reasonable way to form a diffractive bifocal with facet (echelette) depths shallower than $1/2$ wavelength that would not adversely affect the energy split between the two emergent spherical waves.

This invention makes it possible to construct shallow facet (echelette) diffractive bifocal lenses with the requisite equal, or substantially equal, energy split between the two spherical waves going to the zeroth and first orders.



This invention supports the novel concept that the energy split between the two emergent spherical waves is determined.

- 5 1. by the facet (echelette) depths and
 2. the actual facet (echelette) profile.

It has been determined that by suitably contouring the facet (echelette) profiles an equal energy split is obtainable even when cutting a diffractive bifocal lens with shallow facet (echelette) depths.

Embodiments of the invention will now be described by way of example only with reference to the accompanying drawings in which:-

Figure 1 illustrates an incident plane wave striking a diffractive bifocal optical element illustrating the typical parabolic shaped echelettes, having the $\sqrt{n}$ spacing pattern of the Cohen lens design, whereupon it is transformed into two (2) emergent spherical waves directed to different foci, thus depicting the general principles of multifocal diffraction.

25 Figure 2 is a curve illustrating the echelette profile cut from a typical prior art diffractive bifocal optical element, such as in a lens according to Ziegler, supra. The axis labelled d represents the echelette thickness and the axis labelled r represents the radial distance along the echelette.

Figure 3 is a cross-section of one embodiment of optical element in accordance with the invention, the facets of which are depicted graphically in Figure 4.

35

Figure 4 is a curve illustrating a facet profile of one embodiment of the invention. The axis labelled d



-16a-

represents facet thicknesses and the axis labelled r
represents the radial distance along the facets.

5 Figure 5 compares the graphical profiles of the
full-period spaced echelette zone of the prior art
possessing the conventional parabolic profile and the
half-period spaced facet zones containing a multi-profile
interrupted structure.

10

Figure 6 through 12 provide graphical depictions of
cross-sectional views of a variety of facet arrangements
for optical elements within the scope of this invention.



With respect to Figure 1, there is described a diffractive bifocal lens CL with a curvature to effect convergent refraction and diffraction. In the figure, optical elements E (predicated on a presumed full-period spacing) transform an incident plane wave into a wave front predominately of two spherical waves. For example, incident light wave with planar phase front P passes through the anterior surface AS of lens CL and emerges from the posterior surface PS as a light wave of predominately the two spherical phase fronts S₁ and S₂ with intensities I₁ and I₂, respectively. The posterior surface PS contains diffractive echelettes E₁ and their corresponding non-optical edges N. The facet (echelette) spacing in a diffractive optical element is given by the standard formula

$$r_n = \sqrt{n} \cdot r_1$$

in which r_n is the radius of the nth zone (utilizing full-period spacing). And n and n' are the refractive indices of air and the lens CL, respectively. The location of the focal points of the two spherical wavefronts is determined by the radius of the first zone r₁ and the carrier power of lens CL. In particular, the nth order focal point f_m¹ is given by the equation

$$f_m = (r_1)^2 / (2 \cdot \lambda \cdot m)$$



with λ = wavelength; and $m = 0, \pm 1, \pm 2$, etc.

A desirable energy split has been suggested to occur when the two emergent spherical waves carry equal amounts of the total energy, that is, when $I_1 = I_2$. The current literature states that this is the case when the facet (echelette) depths D_0 are set at $1/2$ wavelength deep (see the Klein and Ho, *supra*).

Figure 2 depicts a standard parabolic profile used in the prior art (see Ziegler, *supra*). The depth d of the repetitive profile as a function of radial position r is shown in the following equation:

$$d = D_0 \cdot (1 - r^2/b^2) \quad b = \text{radius of the 1}^{\text{st}} \text{ zone}$$

This profile is repeated in each zone but scaled down proportionally to the width of each such zone. The facet (echelette) depth for an equal energy split at the 0^{th} and 1^{st} orders is shown by the following equation:

$$D_0 = 0.500 \cdot \lambda / (n-1) \quad n = \text{index of refraction}$$

and the intensity split is given by

$$I_1 = I_2 = (2.0/\pi)^2 = 0.405.$$

Figure 3 shows a diffractive bifocal optical lens containing facets according to the design illustrated in Figure 4. The facet depths are $.405/.500 = 80\%$ of the depths required by prior art lenses.

Figure 4 illustrates a new cosine profile used in one embodiment of this invention. The repetitive profile is given by

$$d = D_0 \cdot (1/2 + 1/2 \cdot \cos (\pi \cdot r^2/b^2))$$



The facet depth, utilizing a full-period spacing between the non-refractive edges of the steps but containing alternatingly inclined facets within half-period spacing, for an equal energy split is given by

$$D_o = 0.405 \cdot \lambda / (n-1)$$

and the intensity split is given by

$$I_1 = I_2 = J_o^2(0.405 \cdot \pi) = 0.403$$

where J_o is a Bessel function.

Figure 3 comprises an optical lens CL possessing anterior surface AS and peripheral posterior surface PS. In this embodiment the posterior surface of the optic zone is comprised of the diffractive facets (echelettes) E and their corresponding non-optical edges N. The physical profile of the facets (echelettes) E is given by

$$d = D_o \cdot (1/2 + 1/2 \cdot \cos(\pi \cdot r^2/b^2))$$

where d is the thickness of the facet (echelette), r is the radial distance from the inner edge of the zone (and such profile is repeated in each zone but scaled down proportionally to the width of each such zone) within which the facet (echelette) is formed and the occurrence of such profile alternation, and b is the radius of the first zone. This particular profile is drawn in Figure 4.

Figure 5 is an overlay of the parabolic echelette design a characteristic of the prior art (see Figure 2), the cosine profile b of Figure 4 and another useable profile c for a bifocal lens. The purpose of the overlay is to illustrate the profile differences between the structures of the invention and the prior art illustrated by Figure 2. Note particularly the shift in profile of curve b at the $\sqrt{n}$ spacing. That small difference allows the profile of curve b to be suitably employable as the facet profile for the lens element of the invention.



The physical profile of the facet **c** is given by

$$y = 1 - \{(r^2 - 1/\sqrt{2})/(1 - 1/\sqrt{2})\} \\ r > 1/\sqrt{2}$$

Profiles **a** and **c** at such reduced depth fail to give an equal intensity split of the light to the 0th and 1st orders whereas they do when

$$D_o = 0.500 \cdot \lambda/(n-1).$$

Figures 6 through 10 depict a variety of useful facet profiles that can be used according to the invention in the lens construction of Figure 2.

Figure 6 graphically depicts along an x-y axis the profile of Figure 4 in a repetitive sequence of alternating half-period inclined zones represented by the odd and the even zones. This particular embodiment is characterized by

$$y = 0.405\lambda/(n - 1) \cdot \{1/2 + 1/2 \cos (\pi r^2/2r_o^2)\} \\ I_o = I_1 = 0.402$$

wherein λ is the designed wavelength and n is the index of refraction of the lens medium.

Figure 7 is another profile graphically depicted along an x-y axis in which the depth of the non-refractive edges of the step are further reduced to 0.31λ and the depth of the even half-period zones have a curved connection with the non-refractive edge. This embodiment of the invention is characterized by

$$y = 0.314\lambda/(n - 1) \cdot 2.5\{1/2 + 1/2 \cos (\pi r^2/2r_o^2)\} \\ - 0.314\lambda/(n - 1) \cdot 1.5(1 - r^2/2r_o^2) \\ I_o = I_1 = 0.390$$



Figure 8 shows a profile where the edge of the step is inclined, suggesting that it contributes to the optical quality of the phase zone plate. The profiles of the half-period alternating zones in this embodiment are different from the preceding designs, mainly because the non-refractive edge has been substantially removed. This embodiment is characterized by

$$y = \lambda (n - 1) \left(\frac{r^2}{r_0^2} + \cos(\pi r^2 / 2r_0^2) - 1 \right)$$

$$I_0 = I_1 = 0.314$$

It is to be noted in this embodiment that the depths of the facets for the odd zones were further reduced to 0.21λ but the even zones have a depth below the nadir of the odd zones by another 0.21λ .

Figure 9 shows a faceted profile where the inclination of the even zones have a bottom curvature which presents two opposite-facing curves before connecting with the non-refractive edge of the step. This embodiment of the invention is characterized by

$$y = 0.394\lambda / (n - 1) (0.287 + 0.731 J_0(4.20 r^2 / 2r_0^2))$$

$$I_0 = I_1 = 0.402$$

wherein J_0 is a Bessel function.

Figure 10 shows another facet profile that incorporates two phase zone plates and a pure refractive portion. In this embodiment, there is a decrease in depth from full-period zone to full-period zone, though it is not necessary for the decrease to exist throughout the optic zone. For example, the first half of the full-period zones of the optic zone may be only one depth, and the second half of the full-period zones may be progressively reduced in depth. In the preferred modes of such embodiments, each of the steps, whether of the same or different depth, is equally divided along a common



plane of the optic zone. The pure refractive portion is preferably in the form of one or more channels which may be incorporated within the optic zone and/or circumscribing the optic zone. The particular embodiment of Figure 10 is characterized by

$$y = \alpha \lambda / (n - 1) \{ 1/2 + 1/2 \cos \pi/2 \cdot r^2 / r_0^2 \}$$

where α decreases from zone to zone.

The faceted profiles of Figures 11 and 12 introduce a space reduction in the full period zone at q_1 which allows the step to proceed from q_1 to r_2 , etc. This space reduction in the alternating zone is not regarded to alter the $\sqrt{n}$ spacing of the zones. Figure 11 is characterized by

$$y_n = .40 \{ 1/2 + 1/2 \cos [(\pi/q_n^2 - r_n^2) (r^2 - r_{n-1}^2)] \}$$

$$\text{where } r_{n-1} < r < q_n$$

$$y_n = .40 \{ 1/2 + 1/2 \sin [\pi/r_n^2 - q_n^2 \cdot (r^2 - (r_n^2 + q_n^2)/2)] \}$$

$$\text{where } q_n < r < r_{n+1}$$

$$r_n \approx \sqrt{2 n d \lambda}$$

$$q_n = r_{n-1} + (r_n - r_{n-1}/\alpha)$$

$$\alpha = 1.086$$

Figure 12 is characterized

$$y_n = .39 \{ 1/2 + 1/2 \cos [\pi/q_n^2 - r_n^2 \cdot (r^2 - r_{n-1}^2)] \}$$



where $r_{n-1} < r < q_n$

$$y_n = .39(1 - (r - r_n/r_n - q_n)^2)$$

where $q_n < r < r_n$

$$r_n \approx \sqrt{2 n d \lambda}$$

$$q_n = r_{n-1} + (r_n - r_{n-1}/\alpha)$$

$$\alpha = 1.086$$

It should be appreciated that the invention is not limited to the exact details of construction shown and described herein for many obvious modifications will occur to persons skilled in the art. In particular, many different profiles may be determined which allow the reduction of facet (echelette) depths without changing the desirable equal energy split. However, the choice of facet profile is not dependent upon effecting an equal energy split. It is believed that the achievement of the energy splits, whether equal or not, is directly derived from the alternating inclinations of the half-period zones.



THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A diffractive multifocal optical element comprising a phase zone plate containing annular concentric zones in which the zones are spaced substantially proportional to $\sqrt{n}$ where n is an integer zone number and in which the zones possess stepped facets that introduce a discontinuity in optical path length of less than $\lambda/2$ where λ is the design wavelength.

2. The diffractive multifocal optical element of claim 1 wherein the phase zone plate contains facets which provide an alternating stepped repetitive pattern in accordance with $\sqrt{n}$ spacing in the optical element and wherein the depth of the steps of the facets is less than $\lambda/2(\eta' - \eta)$, where η' and η are the indices of refraction of the optical element and the medium in which the optical element is interacting and λ is the design wavelength.

3. The diffractive multifocal optical element of claim 2 wherein:

a. the facet of one of the alternating zones has an inclined curved profile that is interrupted at the zone boundary by another curved profile providing the differently inclined curved facet of the other alternating zone,

b. the zones are spaced substantially proportional to $\sqrt{n}$,

c. the depth of the facets are less than $\lambda/2$,

d. the zones are cut so as to direct yellow light to at least two primary focal points in at least adequate intensities for visual usage at each such



primary focal point, and,

e. but for the alternating pattern, the element would not have such intensity.

4. A bifocal optical element of the Cohen lens design wherein the odd and even zones of the phase zone plate

a. conform to $r_n = \sqrt{n d \lambda}$ spacing, where n is an integer zone number, d represents a first order focal length, λ is a design wavelength, and r_n is the radius of the n th zone,

b. are contiguous and free of a non-refractive step interface at at least every other zone boundary, and leave a sloped profile at such contiguous interface,

c. the cross-section of each odd zone has the same general profile and the cross-section of each even zone has the same general profile,

d. the general profile of the odd zones is different from that of the even zones, and

e. the depth of steps for the zones are less than $\lambda/2$.

5. A bifocal optical element of the Cohen lens design comprising a faceted step phase zone plate containing an alternating profile wherein:

a. the phase zone plate conforms to $r_n = \sqrt{2 n d \lambda}$ spacing, where n is an integer zone number, d represents a first order focal length, λ is a design wavelength, and r_n is the radius of the n th zone;



- b. the alternating profile occurs within the full-period spacing;
- c. the facets have a depth less than about $\lambda/2$;
- d. the zones are cut so as to direct yellow light to at least two primary focal points in at least adequate intensity for visual usage at each such primary focal point, and
- e. but for such alternating profile the zones would not have such intensity for visual usage.

6. An optical element comprising optically diffractive facets providing two different curved profiles that are joined at radii r_n through transition profiles located about such radii, which transition profiles have profile curvatures that are different from said two different curved profiles whereby to form annular and concentric zones at such transition profiles which zones are spaced substantially proportional to $\sqrt{n}$ where n is an integer zone number and the zones are cut so as to direct yellow light to at least two primary focal points in at least adequate intensity for visual usage at each such primary focal point, which element but for the curved profiles would not have such intensity for visual usage.

7. The optical element of claim 2 wherein the repetitive pattern comprises zones having a profile embraced by the equation

$$d = D_0 \cdot \{1/2 + 1/2 \cdot \cos (\pi \cdot r^2/b^2)\}$$

where d is the depth of the repetitive profile, r is the radial position of the zone, b is the radius of the 1st zone, and D_0 is the facet depth for the design wavelength.



8. The optical element of claim 1 in the form of an ophthalmic lens.
9. The optical element of claim 2 in the form of an ophthalmic lens.
10. The optical element of claim 3 in the form of an ophthalmic lens.
11. The optical element of claim 4 in the form of an ophthalmic lens.
12. The optical element of claim 5 in the form of an ophthalmic lens.
13. The optical element of claim 6 in the form of an ophthalmic lens.
14. The optical element of claim 7 in the form of an ophthalmic lens.
15. The ophthalmic lens of claim 8 in the form of one of a contact lens and an intraocular lenses.
16. The ophthalmic lens of claim 9 in the form of one of a contact lens and an intraocular lenses.
17. The ophthalmic lens of claim 10 in the form of one of a contact lens and an intraocular lenses.
18. The ophthalmic lens of claim 11 in the form of one of a contact lens and an intraocular lenses.
19. The ophthalmic lens of claim 12 in the form of one of a contact lens and an intraocular lenses.
20. The ophthalmic lens of claim 13 in the form of one



of a contact lens and an intraocular lenses.

21. The ophthalmic lens of claim 14 in the form of one of a contact lens and an intraocular lenses.

22. An ophthalmic contact lens containing at least two phase zone plates within its optic zone, at least one of which contains the optical elements of claim 1.

23. An ophthalmic contact lens having within its optic zone, (1) a phase zone plate which contains the optical elements of claim 1 and (2) a pure refractive portion.

24. A diffractive multifocal optical element substantially as hereinbefore described with reference to the accompanying drawings.

25. An ophthalmic lens substantially as hereinbefore described with reference to the accompanying drawings.

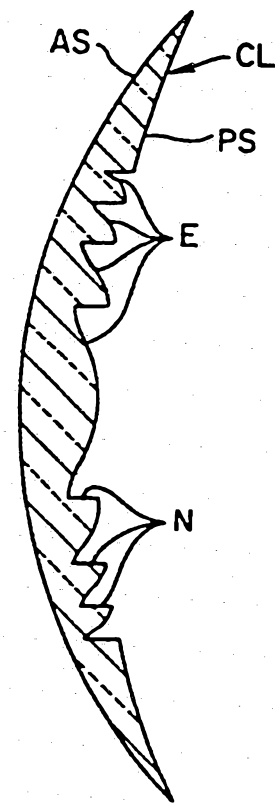
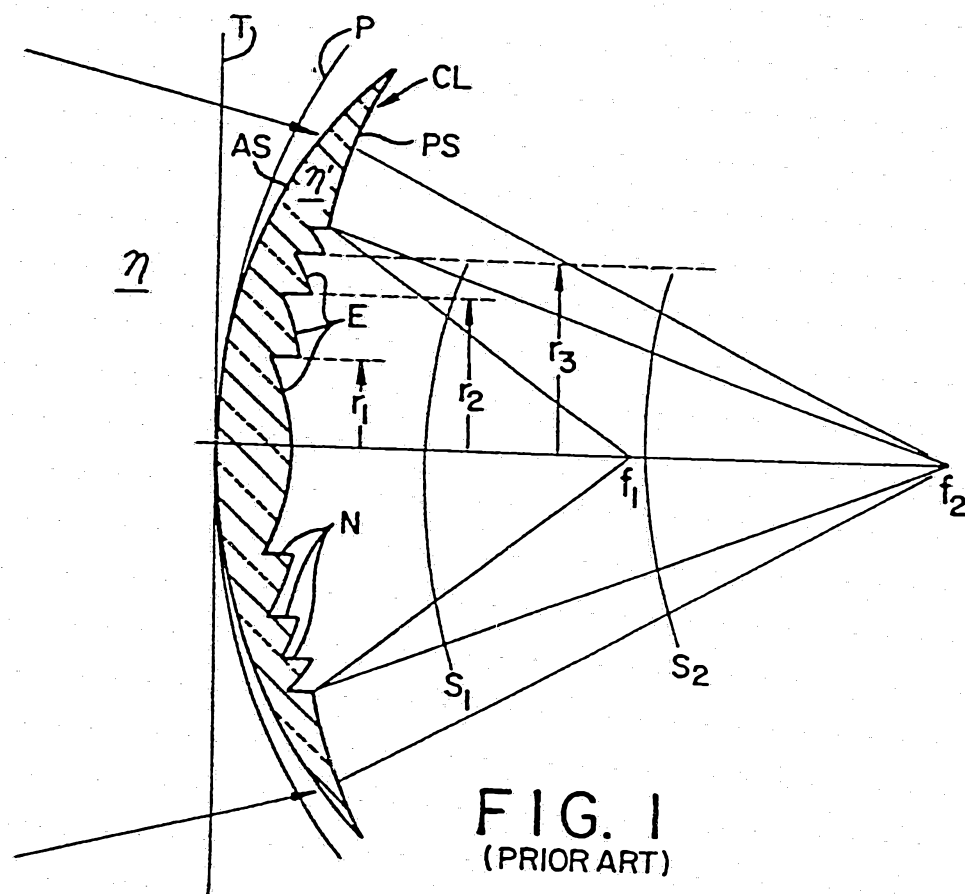
DATED this 6th day of February 1992

Allen L. Cohen

By His Patent Attorneys

DAVIES COLLISON CAVE





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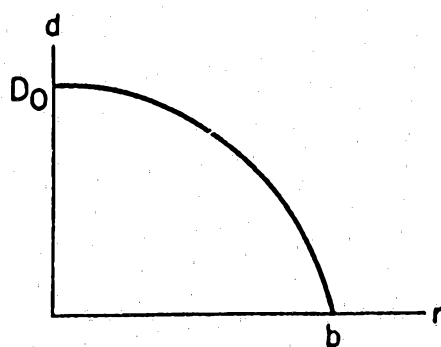


FIG. 2
(PRIOR ART)

FIG. 4

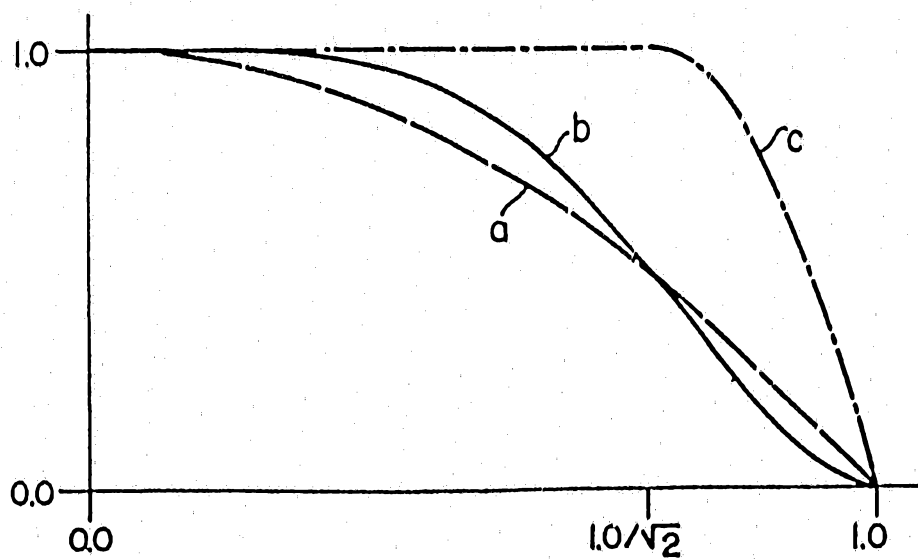
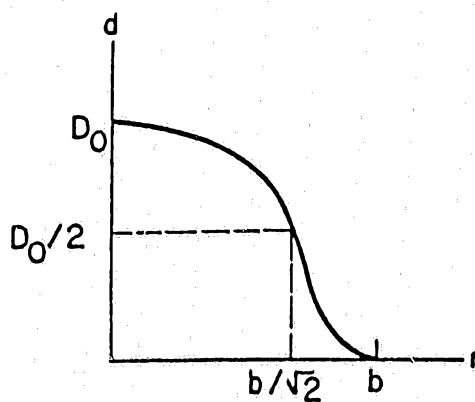
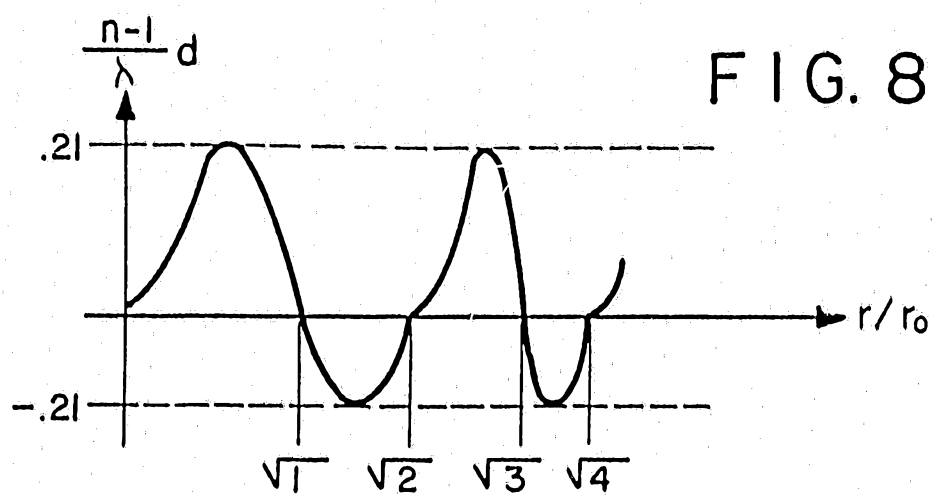
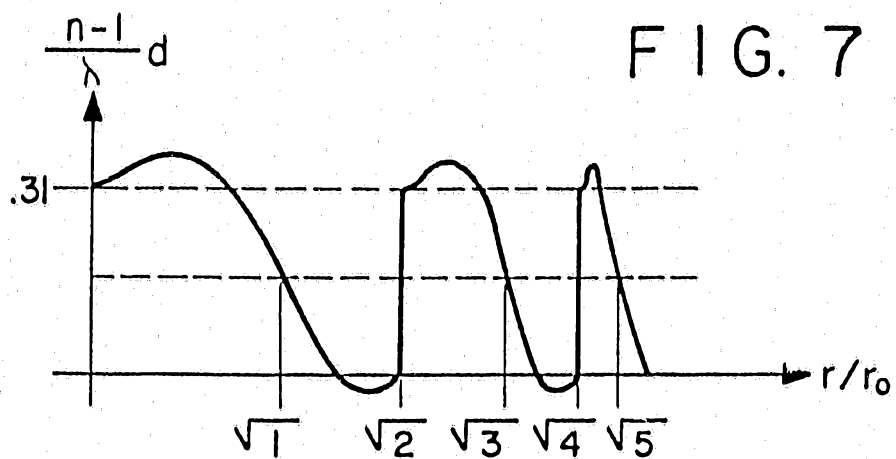
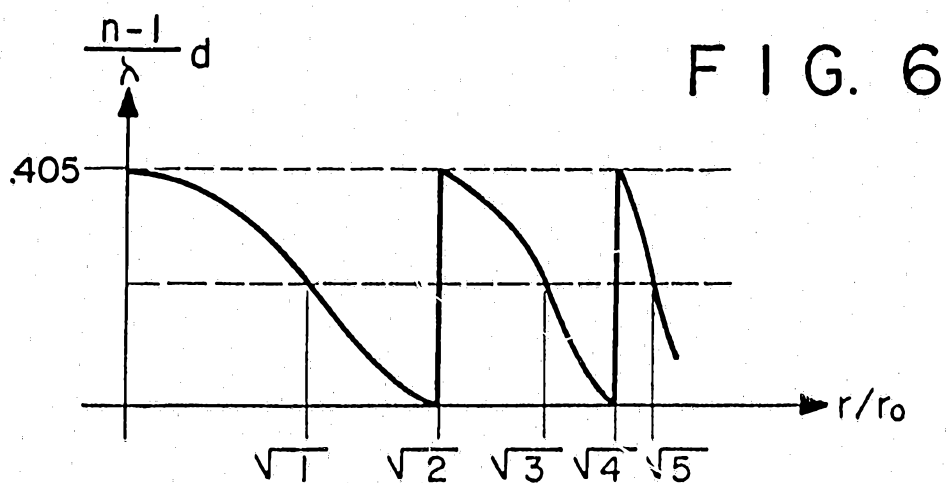


FIG. 5



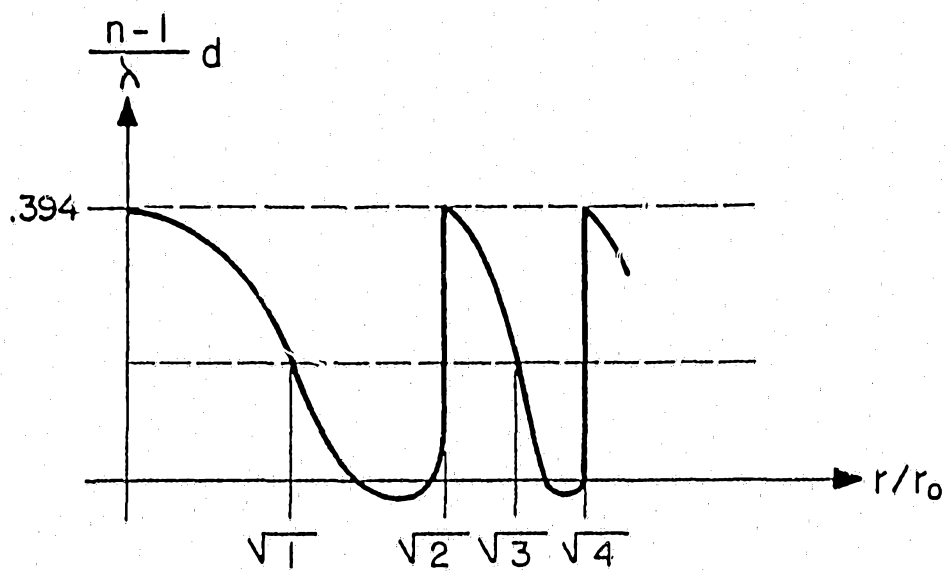


FIG. 9

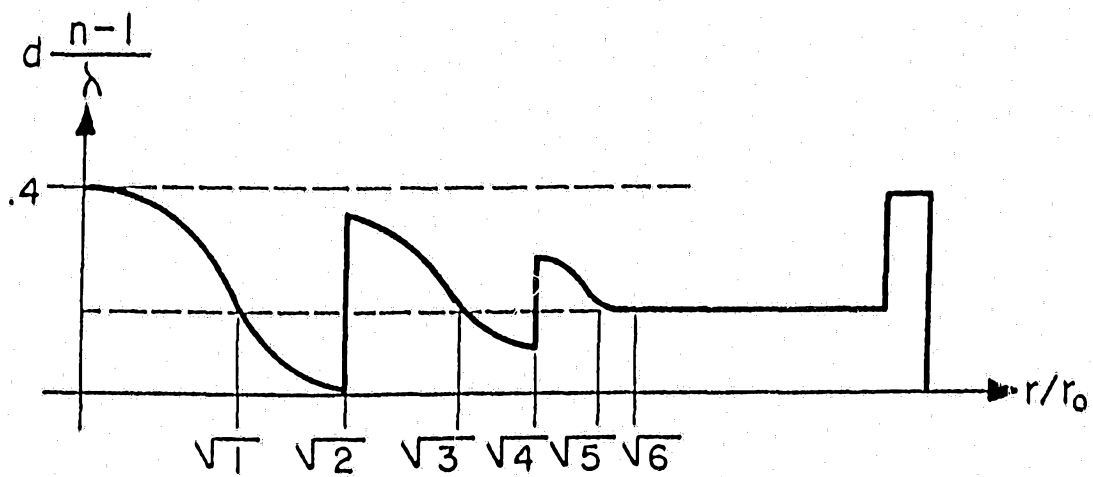


FIG. 10

FIG. 11

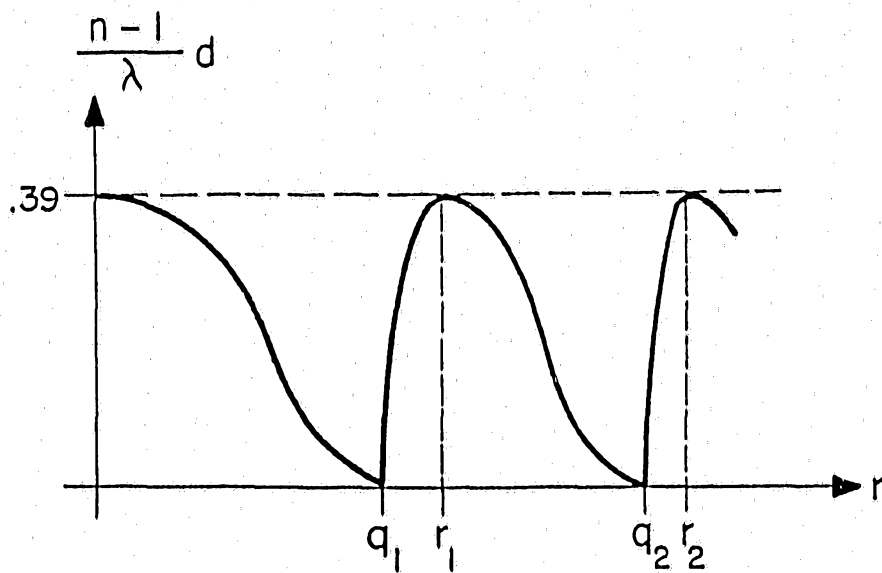
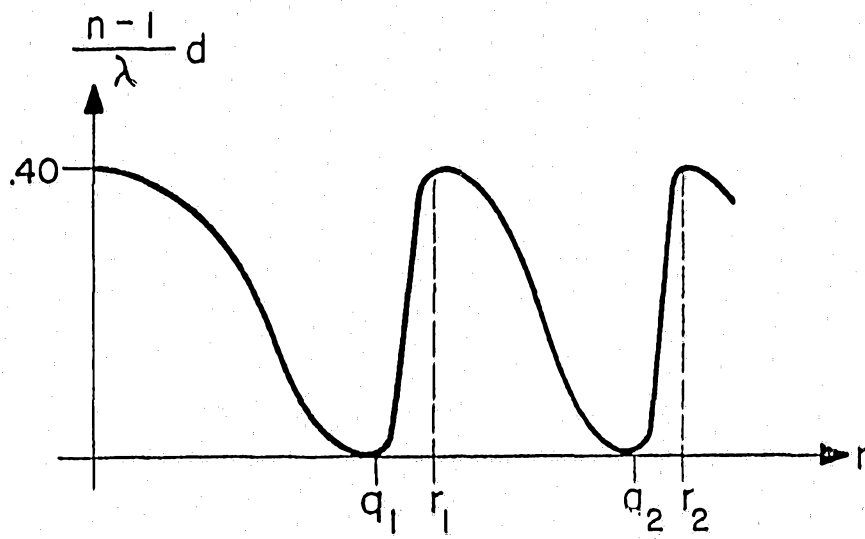


FIG. 12