

(12) STANDARD PATENT
(19) AUSTRALIAN PATENT OFFICE

(11) Application No. **AU 2010311162 B2**

(54) Title
Security device

(51) International Patent Classification(s)
B42D 15/00 (2006.01)

(21) Application No: **2010311162**

(22) Date of Filing: **2010.10.27**

(87) WIPO No: **WO11/051668**

(30) Priority Data

(31) Number
0919112.3
61/272,774

(32) Date
2009.10.30
2009.10.30

(33) Country
GB
US

(43) Publication Date: **2011.05.05**

(44) Accepted Journal Date: **2015.01.15**

(71) Applicant(s)
De La Rue International Limited

(72) Inventor(s)
Holmes, Brian William; Commander, Lawrence George

(74) Agent / Attorney
Davies Collison Cave, Level 15 1 Nicholson Street, MELBOURNE, VIC, 3000

(56) Related Art
US 8778481 B2
WO 1994/027254 A1

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
5 May 2011 (05.05.2011)

PCT

(10) International Publication Number
WO 2011/051668 A1

(51) International Patent Classification:
B42D 15/00 (2006.01)

(21) International Application Number:
PCT/GB2010/001993

(22) International Filing Date:
27 October 2010 (27.10.2010)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
0919112.3 30 October 2009 (30.10.2009) GB
61/272,774 30 October 2009 (30.10.2009) US

(71) Applicant (for all designated States except US): **DE LA RUE INTERNATIONAL LIMITED** [GB/GB]; De La Rue House, Jays Close, Viables, Basingstoke, Hampshire RG22 4BS (GB).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **HOLMES, Brian, William** [GB/GB]; Gnomes Oak, Fleet, Hampshire GU51 5HZ (GB). **COMMANDER, Lawrence, George** [GB/GB]; 7 Edenhall Close, Tilehurst, Reading RG31 6RR (GB).

(74) Agent: **GILL JENNINGS & EVERY LLP**; The Broadgate Tower, 20 Primrose Street, London EC2A 2ES (GB).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))

(54) Title: SECURITY DEVICE

(57) Abstract: A security device has a lenticular device comprising an array of lenticular focusing elements (2) located over a corresponding array of pairs of image strips (A,B) such that, in a first viewing direction, a first image strip (A) from each pair is viewed by respective ones of the lenticular focusing elements (2) and, in a second viewing direction, different from the first, a second image strip (B) from each pair is viewed by respective ones of the lenticular focusing elements (2). One (A) of each pair of image strips has portions defining a first image in a first colour and a second image in a second colour respectively, and the other (B) of each pair of image strips has portions defining the first image in the second colour and the second image in the first colour respectively, whereby on tilting the device, a colour switch is observed between the first and second images.



WO 2011/051668 A1

SECURITY DEVICE

The invention relates to a security device, for example for use on articles of value such as banknotes, cheques, passports, identity cards, certificates of authenticity, fiscal stamps and other documents for securing value or personal identity.

Many different optical security devices are known of which the most common are holograms and other diffractive devices which are often found on credit cards and the like. However, counterfeiters are becoming increasingly sophisticated and are able to produce holographic-like devices which are difficult to distinguish from genuine devices by the untrained observer. It is also well known to use thin film interference structures, multilayer polymeric structures and liquid crystal structures to generate angularly dependent coloured reflection. Examples of security devices utilising thin film interference structures are described in US-B-4186943 and US-A-20050029800 and examples of security devices utilising multilayer polymeric structures are described in EP-A-1047549. The problem with these materials is that they are typically expensive and the range of possible colours is limited by the fundamental optical characteristics of the materials. For example with liquid crystal materials the fact that the reflected light from a liquid crystal film is over a narrow band of wavelengths, which is a function of the pitch of its helical structure, limits the range of colours available for the security devices to substantially pure spectral colours. In addition the colourshift exhibited by a liquid crystal film is always from a colour with a long wavelength to a colour with a shorter wavelength, for example red to green, as the an angle of incidence is increased away from normal incidence.

It has also been known that so called lenticular devices can be used as security devices as, for example, described in US-A-4892336. Typically, the microprinting used with these lenticular devices comprises strips of different colours such that when a device, such as a thread, is viewed at different angles, different colours will be perceived. One of the problems with this approach is the need for a very precise register between the microlenses and microprinting. In fact, in US-A-4892336 this need for precise register is put forward as one of the advantages of that invention in that it makes it very much more difficult to

counterfeit such security devices. On the other hand, for a security device to be useful commercially, genuine devices must be relatively easy to manufacture since otherwise production costs will be prohibitive.

5 US-A-4765656 also describes a security device made using a lenticular screen and in this case the microimages are formed by direct laser writing through the microlenses which are already in situ in the device. Again, this approach is not suited to mass production techniques although it does achieve exact register between the lenses and images.

10 Another example of a conventional lenticular device is described in WO03/052680.

US-A-4402150 describes a lenticular device based around a latent image effect. Thus, when viewed perpendicularly or normally, the device presents a flat appearance, for example a red colour, but when viewed at other angles, a symbol is discerned against a coloured background.

15 AU-B-764842 describes a lenticular device which is transparent at one angle of view but exhibits an image at another.

20 US-A-5301981 describes a lenticular film having a set of opaque lines on its lower surface. The film is adhered over an image or the like to prevent it from being photocopied because at a normal angle of instance the view appears opaque whereas at an acute angle it is possible to see the underlying information.

It is desired to provide a security device, an article, and a method of manufacturing a security device, that alleviate one or more difficulties of the prior art, or to at least provide a useful alternative.

25 In accordance with a first aspect of the present invention, a security device has a lenticular device comprising an array of lenticular focusing elements located over a corresponding array of pairs of image strips such that, in a first viewing direction, a first image strip from each pair is viewed by respective ones of the lenticular focusing elements and, in a second viewing direction, different from the first, a second image strip from each pair is viewed by respective ones of the lenticular focusing elements
30 and is characterized in that one of each pair of image strips has portions defining a first image in a first colour and a second image in a second colour respectively, and the other of each pair of image strips has portions defining the first image in the second colour and the second image in the first colour respectively, whereby on tilting the device, a colour switch is observed between the first and second images.

In accordance with a second aspect of the present invention, a method of manufacturing a security device comprises providing an array of lenticular focusing elements on one side of a transparent substrate; and providing a corresponding array of pairs of image strips on the other side of the transparent substrate, the image strips and lenticular focusing elements defining a lenticular device such that, in a first viewing direction, a first image strip from each pair is viewed by respective ones of the lenticular focusing elements and, in a second viewing direction, different from the first, a second image strip from each pair is viewed by respective ones of the lenticular focusing elements characterized in that one of each pair of image strips has portions defining a first image in a first colour and a second image in a second colour respectively, and the other of each pair of image strips has portions defining the first image in the second colour and the second image in the first colour respectively, whereby on tilting the device, a colour switch is observed between the first and second images.

With this invention, we provide a simple but secure security device which is easy to verify. This is because the observer will see a simple colour switch between the first and second images irrespective of the registration between the focussing elements and the image strips.

It should be understood that the term "colour" extends to diffractive colours i.e. the first and second colours could have a different diffractive effect and change colour with angle but in different ways.

Although the simple image switch provides some security, in the preferred example, the security device further comprises a second lenticular device having the same construction as the first lenticular device but in which each pair of image strips in a set are formed so that the first image strip defines portions of the first image in the second colour and the second image in the first colour respectively and the other of the pair of image strips in the set has portions defining the first image in the first colour and the second image in the second colour respectively.

With this arrangement, two lenticular devices, each providing their own colour switch, are provided and with those colour switches themselves being complementary. This device is verified by the observation of a complementary colour switch between the two devices and does not require a specific colour to

be present at any particular angle and therefore reduces the need for registration between the lenticular focussing elements and the image strips.

Conveniently, the first and second lenticular devices are located adjacent one another although they could be spaced apart and, for example, separated
5 by other optically variable devices such as lenticular devices, holograms, moiré magnification devices and the like.

So far the invention has been described in terms of a colour switch.

In accordance with a third aspect of the invention, we provide a security device having a lenticular device comprising an array of lenticular focusing elements
10 located over a corresponding array of pairs of strips such that, in a first viewing direction, a first strip from each pair is viewed by respective ones of the lenticular focusing elements and, in a second viewing direction, different from the first, a second strip from each pair is viewed by respective ones of the lenticular focusing elements characterized in that one of each pair of strips has one or
15 more opaque portions defining a first image and one or more transparent portions defining a second image or background respectively, and the other of each pair of strips has one or more transparent portions defining the first image and one or more opaque portions defining the second image or background respectively, whereby on tilting the device, a switch in appearance is observed
20 between the first and second images or background.

In accordance with a fourth aspect of the invention, we provide a method of manufacturing a security device, the method comprising providing an array of lenticular focusing elements on one side of a transparent substrate; and providing a corresponding array of pairs of strips on the other side of the
25 transparent substrate, the strips and lenticular focusing elements defining a lenticular device such that, in a first viewing direction, a first strip from each pair is viewed by respective ones of the lenticular focusing elements and, in a second viewing direction, different from the first, a second strip from each pair is viewed by respective ones of the lenticular focusing elements characterized in that one
30 of each pair of strips has one or more opaque portions defining a first image and one or more transparent portions defining a second image or background respectively, and the other of each pair of strips has one or more transparent portions defining the first image and one or more opaque portions defining the

second image or background respectively, whereby on tilting the device, a switch in appearance is observed between the first and second images or background.

In these aspects of the invention, a simple switch between an opaque, typically reflective or metallic, and a transparent image and background is achieved. In the transparent view, underlying information on an article to which the security device is attached could be seen.

Typically, the first image comprises one of a symbol, character, or graphic device and preferably provides information from which the secure document can be identified or authenticated while the second image may comprise a background to the first image or preferably an additional image which may be the same as the first image.

The lenticular focusing elements typically comprise cylindrical lenses but could also comprise micromirrors. The periodicity and therefore maximum base diameter for the lenticular focussing elements is preferably in the range 5-200 μm , more preferably 10-60 μm and even more preferably 20-40 μm . The f number for the lenticular focussing elements is preferably in the range 0.25-16 and more preferably 0.5-2.

Since only two image strips are required, these can be simply printed onto the substrate although it is also possible to define the image strips using a relief structure. This enables much thinner devices to be constructed which is particularly beneficial when used with security documents.

The relief structures can be formed by embossing or cast-curing. Of the two processes mentioned, cast-curing provides higher fidelity of replication.

A variety of different relief structures can be used as will described in more detail below. However, the image strips could simply be created by embossing/cast-curing the images as diffraction grating areas. Differing parts of the image could be differentiated by the use of differing pitches or different orientations of grating providing regions with a different diffractive colour. Alternative (and/or additional differentiating) image structures are anti-reflection structures such as moth-eye (see for example WO-A-2005/106601), zero-order diffraction structures, stepped surface relief optical structures known as Aztec structures (see for example WO-A-2005/115119) or simple scattering structures.

For most applications, these structures could be partially or fully metallised to enhance brightness and contrast.

Typically, the width of each image strip formed by a relief or by printing is less than 100 microns, preferably less than 50 microns, most preferably in the
5 range 5-25 microns.

Although the pairs of image strips need not be registered with the lenticular focusing elements, they should have a similar periodicity.

The security device may comprise a metallised layer either as part of the image structures or as an additional layer. Preferably such a layer is selectively
10 demetallised at a number of locations. In addition the device may further comprise a layer of resist upon the metallised layer. The metallised layer and/or the layer of resist is preferably arranged as indicia.

It is also preferred that the device is arranged to be machine-readable. This may be achieved in a number of ways. For example at least one layer of the
15 device (optionally as a separate layer) may further comprise machine-readable material. Preferably the machine-readable material is a magnetic material, such as magnetite. The machine-readable material may be responsive to an external stimulus. Furthermore, when the machine-readable material is formed into a layer, this layer may be transparent.

20 The security device may be used in many different applications, for example by attachment to objects of value. Preferably, the security devices are adhered to or substantially contained within a security document. The security device may therefore be attached to a surface of such a document or it may be partially embedded within the document. The security device may take various
25 different forms for use with security documents, these including a security thread, a security fibre, a security patch, a security strip, a security stripe or a security foil as non-limiting examples.

Some examples of security devices and methods according to the invention will now be described and contrasted with a known device with
30 reference to the accompanying drawings, in which:-

Figure 1 is a schematic cross-section through a known lenticular device;

Figure 2 is a perspective view from above of a modified form of the known lenticular device of Figure 1;

Figure 3 illustrates the appearance of the device of Figure 2 at different tilt angles;

Figure 4A illustrates the appearance of a first example of a device according to the invention when viewed at different tilt angles;

5 Figure 4B illustrates schematically the structure of the example shown in Figure 4A;

Figure 5 illustrates a detail of the structure of the Figure 4 device in enlarged form;

10 Figure 6a illustrates examples of a related art device for different degrees of registration between the lenses and image strips;

Figure 6b illustrates the appearance of four further examples of a device according to the invention, similar to the first example, but in which the registration between the cylindrical lenses and the image strips is different in each case;

15 Figure 6c illustrates a modified example in which an intermediate region exists between the image and background;

Figure 6d illustrates schematically one way of implementing the intermediate region;

20 Figure 6e illustrates the appearance of the device shown in Figure 6c at different viewing angles;

Figure 6f illustrates schematically another method for implementing the intermediate region;

Figure 6g illustrates the appearance of a device constructed using the method of Figure 6f;

25 Figure 6h illustrates schematically a third method of implementing the intermediate region;

Figure 6i illustrates the appearance of a security device constructed using the method of Figure 6h at different viewing angles;

30 Figure 7a is a view similar to Figure 6b but showing a further example of a device in which two lenticular devices are provided side by side exhibiting complementary colour switching effects;

Figure 7b illustrates a modification of the Figure 7a example;

Figure 8 illustrates another example in which further lenticular devices exhibiting parallax motion are incorporated;

Figure 9 is a schematic cross-section through part of the device shown in Figure 8;

5 Figure 10 illustrates another example of a security device incorporating holographic generating structures in combination with the lenticular devices;

Figure 11 is a schematic cross-section through part of the device shown in Figure 10;

10 Figures 12A-12I illustrate different examples of relief structures defining image strips according to the invention;

Figure 13 illustrates successive stages in a first example of a method of manufacturing a security device according to the invention;

Figure 14 illustrates a modified version of the method illustrated in Figure 13;

15 Figure 15 illustrates an alternative method to that of Figure 13;

Figures 16a and 16b illustrate the appearance and schematic cross-section of a further security device according to the invention;

Figures 17a and 17b illustrate an alternative example of the invention utilizing metallic and transparent regions;

20 Figure 18 illustrates a method for forming a device of the type shown in Figure 17;

Figure 19 illustrates the use of the device shown in Figures 6 and 7 as a security thread;

25 Figures 20 and 21 illustrate the appearance of the security thread shown in Figure 19 at different viewing angles;

Figures 22 and 23 illustrate an alternative example to that shown in Figures 8 and 9 at different viewing angles;

Figure 24 illustrates the construction of a moiré magnification structure;

Figure 25 illustrates a device utilizing micromirrors; and,

30 Figure 26 is a view similar to Figure 6a but utilizing a second image instead of a background.

A known lenticular device is shown in Figures 1-3. Figure 1 shows a cross-section through the known lenticular device which is being used to view

images A-G. An array of cylindrical lenses 2 is arranged on a transparent substrate 4. Each image is segmented into a number of strips, for example 10 and under each lens 2 of the lenticular array, there is a set of image strips corresponding to a particular segmented region of images A-G. Three sets are shown in Figure 1. Under the first lens the strips will each correspond to the first segment of images A-G and under the next lens the strips will each correspond to the second segment of images A-G and so forth. Each lens 2 is arranged to focus in the plane of the strips such that only one strip can be viewed from one viewing position through each lens 2. At any viewing angle, only the strips corresponding to one of the images (A,B,C etc.) will be seen through the corresponding lenses. As shown, each strip of image D will be seen from straight on whereas on tilting a few degrees off-axis the strips from images C or E will be seen.

The strips are arranged as slices of an image, i.e. the strips A are all slices from one image, similarly for B, C etc. As a result, as the device is tilted a series of images will be seen. The images could be related or unrelated. The simplest device would have two images that would flip between each other as the device is tilted. Alternatively, the images could be a series of images that are shifted laterally strip to strip generating a lenticular animation effect so that the image appears to move. Similarly, the change from image to image could give rise to more complex animations (parts of the image change in a quasi-continuous fashion), morphing (one image transforms in small steps to another image) or zooming (an image gets larger or smaller in steps).

Figure 2 shows the lenticular device in perspective view although for simplicity only two image strips per lens are shown labelled A,B respectively. The appearance of the device shown in Figure 2 to the observer is illustrated in Figure 3. Thus, when the device is arranged with its top tilted forward (view TTF), the image strips A will be seen while when the device is arranged with its bottom tilted forward (view BTF) then the image strips B will be seen.

Figures 4A and 4B illustrate a first example of a security device according to the invention. In this device, an array of cylindrical lenses 2 is provided on a transparent substrate 4, as before, while pairs of image strips A,B are provided on the other side of the substrate 4 located at the focal distance of each lens. In

this case, the strips A,B are registered with each lens 2. When the device is viewed from the right, as seen in Figure 4B, the image strips A will be seen in the region of the star through each lens 2 and when the device is viewed from the left, the image strips B will be seen in the region of the star. The resulting appearance of the device is shown in Figure 4A where view A corresponds to viewing the device from the right in Figure 4B and view B corresponds to viewing the device from the left in Figure 4B.

Typical thicknesses of security devices according to the invention are 2-100 microns, more preferably 20-50microns with lens heights of 1-50 microns, more preferably 5-25microns. The periodicity and therefore maximum base diameter for the lenticular focussing elements is preferably in the range 5-200 μ m, more preferably 10-60 μ m and even more preferably 20-40 μ m. The f number for the lenticular focussing elements is preferably in the range 0.25-16 and more preferably 0.5-2.

It will be observed in Figure 4A that the appearance of the device is of a symbol 10, in this case a star shape, seen against a background 12. The colours of the symbol and substrate 10,12 are chosen so that in view A, the symbol 10 has a first colour such as red and the background 12 a second colour such as blue while in view B, the colours are switched or reversed so that the star 10 has the second colour (blue) and the background 12 has the first colour (red).

The manner in which this colour switch is achieved is shown in Figure 5. In this Figure, part of the star symbol 10 is shown along with part of the background 12. Some of the image strips A,B have been labelled A1,B1;A2;B2; etc. Each pair of image strips A,B is registered under a corresponding lens 2 as shown in Figure 4B.

For simplicity, in this example, we will refer to the colours as "black" and "white" as shown in Figure 5 although any pair of colours or regions of different reflectivity could be used.

Considering first the image strip A1, it will be seen that in the background region 12 the strip is black but in the symbol region 10 it changes to white. In contrast, the image strip B1 is white in the background region 12 and black in the

symbol region 10. This lateral half-shift between the lines of the symbol and background means that the effect observed and shown in Figure 4A is achieved.

In this example, the substrate 4 is typically a transparent polymeric material, for example bi-axial PET or polypropylene, and could be in the form of a self-supporting label which would then be adhered to an article or alternatively could be an integral part of an article. Thus, the device could form part of a security thread or the substrate 4 could be a substrate of the article itself such as a document of value, for example a banknote. In this case, the device will be provided in a transparent window of the banknote.

The periodicity and therefore maximum base diameter for the lenticular focussing elements is preferably in the range 5-200 μ m, more preferably 10-60 μ m and even more preferably 20-40 μ m. The f number for the lenticular focussing elements is preferably in the range 0.25-16 and more preferably 0.5-2. They are typically formed by UV cast-cure replication or thermal embossing.

In this example, the image elements in strips A and B are printed by any suitable printing technique including but not limited to offset lithography, gravure, screen, flexographic printing onto the underside of the substrate 4. Thus the image elements in Strips A and B in the first colour will first be printed and then a continuous overprint of the second colour forming its respective image elements in Strips A and B. This second colour will be obscured where it is in alignment with the first colour. Other methods of providing the image elements in the strips will be described below.

In the example just described, the image strips A,B are registered with the lenses 2. The exact registration of the image strips and the lens enables the device to be configured such that it is known at what angle the different views are observed, i.e. in reference to Figure 4A such that the black star is always observed when tilting forwards and the black background is always observed when tilting backwards. However, registration is not essential and Figure 6b illustrates an example in which the image strips are not registered. In this case the angle at which the different views occur depend upon the position of the strips under the lenses and Figure 6b shows four possible variations depending on this position. For example variant A is for the case where the registration is exact and two image strips sit under a single lens. A transient states (TI) exists

between the two switching positions, in this case where this is no tilt. Variant D is also for the case where the registration is exact but in this case the image strips are inverted relative to their position in variant A. Variants B and C show intermediate positions between variants A and D where the strips only partly sit
5 under a single lens. The advantage of the current invention is that whatever the registration the viewer will always observe the complementary switch between the image and the background. This is contrast to the related art where there is no image and background and therefore no complementary switch between an image and a background region. In this case the authentication relies
10 completely on the switch of the single region and the angle at which this occurs.

Figure 6a illustrates an example of the related art with different degrees of registration between the image strips and microlenses. As with Figure 6b variants A and B are for the case where the registration is exact and two image strips sit under a single lens and one colour is observed by tilting forward (TTF) and a different colour observed by tilting backward (BTF). A transient state (TI) is
15 observed between the two colours when the device is not tilted. However for variants B and C where the register is not exact the switch from one colour to another occurs only when the device is tilted significantly away from the non-tilted position and therefore may not be observed by the authenticator.

Figure 26 illustrates a similar embodiment to that shown in Figure 6b but where the image elements which form the background in Figure 6b now alternatively form a second image in Figure 26. Thus, the device in Figure 26 at a first viewing angle 21 displays a red star symbol and a blue numeral five. When the device is tipped to a different viewing angle as shown, for example, at
25 24, the two images are inverted so that the star symbol is blue and the numeral five is red. As with the example in Figure 6b the device comprises two views A and B where the image elements in the strips forming view A in the region of the star are red and in the region of the numeral five are blue, and conversely the image elements in strips forming view B in the region of the star are blue and in
30 the region of the numeral five are red.

The presence of an indistinct transient or switching image (TI) may not be desirable and therefore in a further embodiment an intermediate region such as a boundary region is created between the star and the background and this is

shown in Figure 6c. The foreground region (FR) is the region inside the star, the background region (BR) is the region outside the star and the intermediate region (IR) is between the star and the background. Figure 6d shows the image strips in this intermediate region. For simplicity, in this example, we will refer to the colours as "black" and "white" as shown in Figure 6d although any pair of colours or regions of different reflectivity could be used.

Considering first the image strip A1, it will be seen that in the background region (BR) 12 the strip is white but in the symbol region (FR) 10 it changes to black. However in the intermediate region the strip is half black and half white. In contrast, the image strip B1 is black in the background region (BR) 12 and white in the symbol region (FR) 10 and in the intermediate region is half white and half black in an inverse pattern of the intermediate region in A1. There is therefore a lateral quarter-shift between the lines of the symbol and the intermediate region and the lines of background and the intermediate region.

Figure 6e shows the behaviour of a device with the grid structure in Figure 6d. In this case the black elements associated with the IR are centred under the lenslets. When the device is viewed at normal incidence (centre view), i.e. not tilted, the intermediate region will be visible providing a dark outline of the image, on tilting the device around the Y-section as shown in Figure 6e the device will switch in the manner described with reference to Figure 4A. In view A and B the IR will be in a transient state between the white and black colours. Alternatively the white elements associated with the IR are centred under the lenslets and thus a white outline of the image is provided in the transient state.

Figure 6f shows a further embodiment of the IR such that the colour of the IR region does not change on tilting. As with the previous example there is a lateral quarter-shift between the lines of the symbol and the intermediate region and the lines of background and the intermediate region. However in this example in a direction transverse to the axis of curvature of the lens-lets the linear line or grid system in the IR region is divided into a sub grid system of width TW. Considering first the image strip A1 the top half of the image strip in the IR is predominantly white with a black region of width TW, and the bottom half of the strip is predominantly black with a white region of width TW. Strip B1

is the inverse of strip A1. There is a lateral half-shift between the lines of the sub grid region and the remainder of the IR. This means that in the IR both colours will be visualised simultaneously and if TW has a dimension less than that which can be visualised with the naked eye the observer will visualise colourmixing.

- 5 The width of TW is preferably in the range 10-100 μ m and even more preferably 20-50 μ m.

Figure 6g shows the behaviour of a device with the grid structure in Figure 6f and shows that the boundary line to the star (IR) remains fixed in colour on tilting.

- 10 Figures 6h and 6i show an alternative embodiment where the IR is provided with a uniform colourant which in this case is the black colour. In this case the IR will remain black on tilting as shown in Figure 6i.

- Figure 7a illustrates a further example of a security device according to the invention. In this case, two lenticular devices 20,22 according to examples of the invention are provided side by side and when visible at any particular viewing angle will exhibit contrasting colours. Thus, the device 20 at a first viewing angle 21 displays a red star symbol against a blue background while the device 22 displays a blue star symbol against a red background. When the device is tipped to a different viewing angle as shown, for example, at 24, each device has exhibited a respective colour switch so that the star symbol of the device 20 is blue against a red background while the star symbol of the device 22 is red against a blue background.

- Whilst the first example provides a simple way for an observer to validate the device by noting the colour switch, the device of Figure 7a provides even more security by requiring complementary colour shifts between two devices. This provides a very valuable device in view of its ease of verification and the fact that the feature being verified, which is the complementary colour shifts between two devices, does not require exact registration between the image strips and the focussing elements. Figure 19 shows the use of the concept described in Figures 6b and 7a for use on a security thread. In this case images 1 and 2 and the complementary background regions are periodically repeated along the long axis of the thread or strip such that image 1 and image 2 are viewable in alternate windows of the security document. As with previous

embodiments the image and its complementary switch are seen on tilting the device and this is illustrated in Figure 20 for the case where the device is tilted forward and in Figure 21 for the case where the device is tilted backward. It can be seen on tilting forwards and then backward the observer see the inversion of the images in each window.

Figure 7b illustrates a further example similar to that shown in Figure 7a but for the case where the two lenticular devices display different images, i.e. lenticular device 22 has been replaced with lenticular device 23 which displays a blue numeral 5 against a red background. The rest of the device remains the same as shown in Figure 7a.

Another example of a security device according to the invention is shown in Figure 8, in this case in the form of a security thread. The device comprises five lenticular devices 30-34 abutting one another. The devices 31,33 are constructed in accordance with the device of Figure 4 and exhibit complementary colour shifts with respect to each other (as described with reference to Figure 7). The cylindrical lenses of the devices 31,33 extend vertically.

The lenticular devices 30,32,34 are of a conventional construction and define chevron style images beneath cylindrical lenses which extend vertically. The same cylindrical lenses could be used for all five devices. The image strips beneath the cylindrical lenses of the devices 30,32,34 are provided so as to give the effect of a moving image when the security device is tilted to the left or right as shown in Figure 8. During this tilting process, the appearance of the devices 31,33 will also switch.

Figure 9 is a cross-section through part of the device of Figure 8. In this example the image elements in the strips are generated using diffractive relief structures. As can be seen in Figure 9, the device comprises sets of cylindrical lenses 2 (as before) on a transparent substrate 4. Under each lens defining the devices 31,33, are provided two image strips A,B having the form shown in Figure 5.

Under each lens corresponding to the devices 30,32,34, are provided a plurality (in this case six) of image strips A-F defining the movement effect of the chevron.

In an alternative example to that shown in Figures 8 and 9 the cylindrical lenses of the devices 31,33 and the cylindrical lenses of the devices 30,32,34 could extend in different directions for example the cylindrical lenses of the devices 31 and 33 could extend horizontally and the cylindrical lenses of the devices 30,32,34 could extend vertically. This is illustrated in Figures 22 and 23
5 When such a security device is tilted to the left or right, i.e. rotating around axis 1, the devices 30,32 and 34 will give the effect of a moving image but the appearance of the devices 31,33 will remain unchanged. In contrast, when the security device is tilted towards and away from the viewer, i.e. rotating around
10 axis 2, the devices 31,33 will exhibit the colour switching effect described earlier but the appearance of the devices 30,32,34 will remain unchanged.

In either of the embodiments discussed above the image elements in strips A and B for devices 31 and 33 do not have to be formed by relief structures and could be formed by conventional printing techniques. This is also
15 the case for devices 30, 32 and 34 but the use of relief structures is very much preferred in order to achieve the small strip widths necessary for a lenticular animation effect in a thin device.

Figures 10 and 11 are similar to Figures 8 and 9 but illustrating another example. In this case, holographic generating structures 36,38,40 are
20 interleaved around two lenticular devices 31,33 having the same form as the devices 31,33 shown in Figure 8.

When this device, typically a security thread, is tilted to the left and right (rotating around axis 1), the holographic generating structures will generate the effect of a moving chevron image as can be seen in Figure 10. The appearance
25 of the lenticular devices 31,33 will remain unchanged.

When the device is tipped towards and away from the viewer (rotating around axis 2), however, the lenticular devices 31,33 will exhibit their colour switching effect but the holographic images will remain unchanged.

As can be seen in Figure 11, the lenticular devices 31,33 are provided by
30 sets of image strips A,B registered with the lenses 2 while the holographic microstructure 50 of each device 36,38,40 is located beneath part of the substrate 4 on which there are no lenses.

The holographic generating structures 36,38,40 can be in the form of holograms or DOVID image elements.

As explained above, the image strips A,B etc. have been printed onto the substrate or carrier layer 4. However, the image strips can also be formed as a relief structure and a variety of different relief structures suitable for this are shown in Figure 12. Thus, Figure 12A illustrates image regions of the strips (IM) in the form of embossed or recessed lines while the non-embossed lines correspond to the non-imaged regions of the strips (NI). Figure 12B illustrates image regions of the strips (IM) in the form of debossed lines or bumps.

In another approach, the relief structures of the image regions (IM) can be in the form of diffraction gratings (Figure 12C) or moth-eye/fine pitch gratings (Figure 12D).

The image regions (IM) of Figures 12A and 12B can be further provided with gratings as shown in Figures 12E and 12F respectively.

Figure 12G illustrates the use of a simple scattering structure in the image regions IM providing an achromatic effect.

Further, as explained above, in some cases the recesses of the image regions (IM) of Figure 12A could be provided with an ink or the debossed regions or bumps of Figure 12B could be provided with an ink. The latter is shown in Figure 12H where ink layers 100 are provided on the bumps.

Figure 12I illustrates the use of an Aztec structure on the image regions (IM).

Additionally, image and non-image areas or regions could be defined by combinations of different elements types, e.g. the image areas could be formed from moth-eye structures whilst the non-image areas could be formed from a grating. Or even the image and non-image areas could be formed by gratings of different pitch or orientation.

The height or depth of the bumps/recesses is preferably in the range 0.5-10 μ m and more preferably in the range 1-5 μ m. Typical widths of the bumps/recesses will be defined by the nature of the artwork but would typically be less than 100 μ m, more preferably less than 50 μ m and even more preferably less than 25 microns. The width of the image strip and therefore the width of the bumps or recesses will be dependent on the type of optical effect required for

example if the diameter of the focussing elements is $30\mu\text{m}$ then a simple switch effect between two views A and B could be achieved using $15\mu\text{m}$ wide image strips. Alternatively for a smooth animation effect it is preferable to have as many views as possible typically at least three but ideally as many as 30, in this case the width of the image strips (and associated bumps or recesses) should be in the range $0.1 - 6\mu\text{m}$.

In other examples (not shown), one or more of the holographic generating structures could be replaced by moiré magnification structures which could be either 2 – dimensional (2D) or 1 – dimensional (1D) structures. 2D moiré magnification structures are described in more detail in EP-A-1695121 and WO-A-94/27254. A moiré magnification device is constructed through a combination of microlenses and microimages. In the simplest case of a small pitch mismatch between the lens arrays and image arrays, an array of magnified images of constant magnification is observed with motion resulting from the normal parallax of a lens. In a 1D moiré magnification structure the 2D spherical lens array used in a conventional 2D moiré magnification structure is replaced with a repeating arrangement of cylindrical lens-lets. The result of this is that the micro-image elements are subject to moiré magnification in one axis only which is the axis along which the lenses exhibit their periodic variations in curvature or relief. Consequently the micro-images are strongly compressed or de-magnified along the magnification axis whilst the size or dimension of the micro image elements along the axis orthogonal to the magnification axis is substantially the same as they appear to the observer – i.e. no magnification or enlargement takes place.

For example, and with reference to Figure 24, consider a very simple scenario wherein we require the moiré magnified image to be comprised of an array of circles 2mm in diameter. Further suppose we arrange the periodicity and alignment of the micro image array relative to the micro -lens array to provide a moiré magnification of x50. If for convenience we choose the axis of lens curvature of the lenses to be the x-axis it then follows that the micro image array will be comprised of a matrix of elliptical image elements wherein the minor axis of the ellipse (coinciding with the x-axis) will have a width of 0.04mm and a height of 2mm.

It should be appreciated that in a 1-D moiré system parallax motion occurs only along the axis in which the cylindrical lens-lets exhibit their periodic variations in curvature. Thus in the example just described, parallax motion of the circular images (as well as magnification) will occur along the x-axis on east-west tilting of the device. It should be noted that on north-south tilting of the device no parallax motion will be exhibited. Conversely if the cylindrical lens system and micro-image array are rotated by 90 degrees then parallax motion will take place along the y-axis on north south tilting of the device.

It is of course possible to arrange the microlens array and microimage array such that the axis of parallax lies at 45 degrees to the x or y- axis or any angle in between which may be deemed advantageous.

The combination of a 1D moire magnification device with a lenticular structure is particularly advantageous because they both comprise a lenticular lens array and therefore the same lens array can be used for both regions of the device. In a typical example combination of a lenticular structure with a 1D moire magnification structure the lenticular structure could exhibit a simple image switch and the 1D moiré magnifier will exhibit a parallax motion effect.

Some examples of methods for manufacturing the devices described above will now be described. In the first example (Figure 13), a carrier layer 240 such as a PET layer is coated with a cast-cure or thermoforming resin 210 (step 1). This resin 210 is then (step 2) cast or embossed into a cylindrical lens array 200.

The other side of the carrier 240 is then coated with a cast-cure or thermoforming resin 260 (step 3) and recesses 50 corresponding to the image elements in strips A and B are formed by casting or embossing in the resin layer (step 4) in register with the lenses 200.

For example a roll of clear polymeric film 240 of PET or the like is coated on its first surface with a layer 210 of UV curable polymer. Suitable UV curable polymers include photopolymer NOA61 available from Norland Products, Inc. New Jersey, Xymara OVD primer from Ciba or UV9206 from Akzo-Nobel. The film is then brought into contact with a first embossing roller that contains the negative of a master structure for the microlens array 200. On contacting the embossing roller the microlens array structure 200 is replicated in the UV

curable polymer layer 210. Once the structure is replicated, the UV curable polymer layer is cured by application of UV radiation and the coated film is then released from the embossing roller. A layer 260 of UV curable polymer such as NOA61 is then coated onto the opposite second surface of the film 240. The second surface of the film is then brought into contact with a second embossing roller that contains the negative of a master structure for the image elements of the image strips. On contacting the embossing roller the image structure is replicated in the UV curable polymer layer on the second surface of the clear polymeric film. Once the structure is replicated the UV curable polymer layer is cured by application of UV radiation and the coated film is then released from the embossing roller.

A uniform pigmented or dyed coating is applied to the embossed surface of the layer 260 using a first opaque colorant 52 such as pigmented version of the casting resins above or for example a gravure ink such as 60473G from Luminescence which will fill the recesses 50 and provide a coating over the entire layer 26 (step 4). The coating method is typically by gravure, litho or flexographic printing or by using an anilox roller.

In step 6, excess first colorant 52 is removed using a doctor blade process so as to leave the first colorant only in the recesses 50 which form the image elements within the strips.

In step 7, a second colorant 54 in the form of a pigmented or dyed coating such as pigmented version of the casting resins above or for example a gravure ink such as 60473G from Luminescence is coated over the resin layer 260 typically using a litho, flexographic or gravure process so that in the non-image regions of the strip the second colorant 54 will be visible through the lenses 200 while in of the image regions first colorant 52 will be visible. The observer will therefore see a coloured image against a differently coloured background.

Figure 14 illustrates a modified form of the method. In this case, steps 1-4 are as previously described with reference to Figure 13. However, in step 5A, a first colorant 52 is transferred onto the non-recessed linear regions of the layer 260 using an offset transfer method from an anilox roller or litho blanket, or by litho, flexographic or gravure printing.

Then, in step 6A, a second colorant 54 is uniformly coated onto the layer 260 so that it also fills the recesses 50 (step 6A). This can be carried out using a gravure or offset litho process, etc. In this case, the second colorant 50 will define the image elements and the first colorant 52 will define the non-image elements and therefore form the coloured background region.

Figure 15 illustrates an alternative method in which the image strips are formed by diffractive surface reliefs.

In step 1, a carrier layer 240 is coated with cast-cure or thermoforming resin layer 260.

The device illustrated has Strips A and B, representing views A and B of a lenticular switching device, comprising image and non-image regions. In Strips A the image regions are defined by one grating structure X and in Strips B the image regions are defined by a second different grating structure Y. The grating structures X,Y which have been previously originated are then simultaneously formed by embossing into the exposed surfaces of the resin layer 260 (step 2). The use of two different grating structures for the image regions of A and B provides a visual contrast due to the different diffractive colour effects. This difference is not essential and the image regions could be defined by the same diffractive grating structure. The non-image regions could also be defined by a grating structure which is different to that of the image regions. The grating structures could differ for example by rotation and pitch.

A reflection coating layer 60 is then provided over the grating surface relief structure (step 3). This reflection coating can be a metallisation or a high refractive index layer. The use of high refractive index materials, typically inorganic, are well known in the art and described in US4856857. Typical examples of materials suitable for the high refractive index layer include zinc sulphide, titanium dioxide and zirconium dioxide. Replacing the vapour deposited metal reflection enhancing layer with a transparent hri layer is particularly beneficial when the security device of the current invention is applied over transparent regions (typically known as apertures or windows) of secure documents.

The other side of the carrier layer 240 is then coated with a cast-cure or thermoforming resin 210 (step 4) and then a set of cylindrical lenses 200 are

embossed into the layer 21 (step 5) so as to be in register with the strips A and B.

In the examples described above, cylindrical lenses have been used as the lenticular focusing elements. It should be understood, however, that they
5 could be replaced by micromirrors.

Figure 25 illustrates a typical cross-section of the security device of the current invention which utilises micromirrors as the focussing elements. In this example a series of micromirrors 600 are formed in thermoforming resin 610 by casting a set of cylindrical lenses as described previously and then vapour
10 depositing a layer of metal on the back surface. The lenticular device comprises four image strips A-D formed on the top surface of the device where the image regions of these strips are created by printing on raised regions (bumps).

The security device of the current invention can be made machine readable by the introduction of detectable materials in any of the layers or by the
15 introduction of separate machine-readable layers. Detectable materials that react to an external stimulus include but are not limited to fluorescent, phosphorescent, infrared absorbing, thermochromic, photochromic, magnetic, electrochromic, conductive and piezochromic materials.

The security device of the current invention may also comprise additional
20 security features such as any desired printed images, metallic layers which may be opaque, semitransparent or screened. Such metallic layers may contain negative or positive indicia created by known demetallisation processes.

Additional optically variable materials can be included in the security device such as thin film interference elements, liquid crystal material and
25 photonic crystal materials. Such materials may be in the form of filmic layers or as pigmented materials suitable for application by printing.

Figures 16a and b show a second security feature in the form of a demetallised image incorporated within a security device of the current invention. The security thread shown in figure 16a has regions 400 comprising
30 complementary lenticular switching devices and regions 410 comprising demetallised indicia. A metallised layer 420 has been applied over the layer comprising the image forming relief structures 430 between a lenslet array 440. The metal layer 420 provides two benefits. Firstly it may improve the brightness

and contrast of the image elements formed by the relief structures 430, this is particularly the case if diffractive relief structures are used to form the image elements. Secondly it allows the creation of demetallised indicia which can be viewed in reflective but more preferably transmitted light. In the example shown
5 the demetallised indicia 410 are shown in a separate region of the device to the lenticular effect however in an alternative embodiment the two effects could be superimposed.

One way to produce partially metallised/demetallised films in which no metal is present in controlled and clearly defined areas is to selectively
10 demetallise regions using a resist and etch technique such as is described in US-B-4652015. Other techniques are known for achieving similar effects; for example aluminium can be vacuum deposited through a mask, or aluminium can be selectively removed from a composite strip of a plastic carrier and aluminium using an excimer laser. The metallic regions may be alternatively provided by
15 printing a metal effect ink having a metallic appearance such as Metalstar® inks sold by Eckart.

The presence of a metallic layer can be used to conceal the presence of a machine readable dark magnetic layer. When a magnetic material is incorporated into the device the magnetic material can be applied in any design
20 but common examples include the use of magnetic tramlines or the use of magnetic blocks to form a coded structure. Suitable magnetic materials include iron oxide pigments (Fe_2O_3 or Fe_3O_4), barium or strontium ferrites, iron, nickel, cobalt and alloys of these. In this context the term "alloy" includes materials such as Nickel:Cobalt, Iron:Aluminium:Nickel:Cobalt and the like. Flake Nickel
25 materials can be used; in addition Iron flake materials are suitable. Typical nickel flakes have lateral dimensions in the range 5-50 microns and a thickness less than 2 microns. Typical iron flakes have lateral dimensions in the range 10-30 microns and a thickness less than 2 microns.

In an alternative machine-readable embodiment a transparent magnetic
30 *layer can be incorporated at any position within the device structure. Suitable transparent magnetic layers containing a distribution of particles of a magnetic material of a size and distributed in a concentration at which the magnetic layer remains transparent are described in WO03091953 and WO03091952.*

In a further example the security device of the current invention may be incorporated in a security document such that the device is incorporated in a transparent region of the document. The security document may have a substrate formed from any conventional material including paper and polymer.

5 Techniques are known in the art for forming transparent regions in each of these types of substrate. For example, WO8300659 describes a polymer banknote formed from a transparent substrate comprising an opacifying coating on both sides of the substrate. The opacifying coating is omitted in localised regions on both sides of the substrate to form a transparent region.

10 EP1141480 describes a method of making a transparent region in a paper substrate. Other methods for forming transparent regions in paper substrates are described in EP0723501, EP0724519, EP1398174 and WO03054297.

In a further aspect of the current invention the contrast between the first
15 and second images is achieved by leaving one of the image elements uncoated. With reference to Figure 5 and considering first the image strip A1, in this example in the background region 12 the strip is black but in the symbol region 10 the strip will remain uncoated. In contrast, the image strip B1 is uncoated in the background region 12 and black in the symbol region 10. This lateral half-
20 shift between the lines of the symbol and background means that a complementary switch from black to colourless will occur as the device is tilted in the manner shown in Figure 4A. If the remaining layers of the device are substantially transparent then a complementary switch from black to transparent can be observed.

25 In a further example the black images described in the example above could be replaced with highly reflective material such as a metallic material and again the complementary image regions will remain uncoated such that with reference to Figure 5 and considering first the image strip A1, in this example in the background region 12 the strip is metallic but in the symbol region 10 the strip will remain uncoated. In contrast, the image strip B1 is uncoated in the
30 background region 12 and metallic in the symbol region 10. This lateral half-shift between the lines of the symbol and background means that a complementary switch from metallic to colourless will occur as the device is tilted in the manner

shown in Figure 17a. If the remaining layers of the device are substantially transparent then a complementary switch from metallic to transparent can be observed.

5 The use of highly reflective image elements as described with reference to Figure 17a allows the creation of a device with regions which appear highly reflective at one angle of view and substantially transparent at a second angle. This is illustrated in the cross-section in Figure 17b which shows a security device comprising a transparent polymeric carrier layer 500 onto one side of which is formed an array of microlenses 510 and on the opposite side is formed
10 a set of strips A and B comprising metallised image elements and uncoated background non-image elements respectively. This is the case in the region of the star for the device shown in Figure 17a, i.e. cross-section along line E-E. Note in the background region of Figure 17a there will be a complementary set of strips A and B comprising uncoated image elements and metallised
15 background non-image elements.

Considering now the region with the star when the device in Figure 17a is tilted bottom edge forwards (BTF) the uncoated regions B are observed and the device appears transparent. When the device is tilted top edge forwards (TTF) the metallised regions A are observed and the device appears highly reflecting
20 and metallic.

It has been observed that the switch from metallic to transparent is optimised if the width of the metallic (A) and uncoated (B) strips are not the same and preferably the ratio of the width of the metallic strips to the uncoated strips is in the range 25-35:75-65.

25 The metallic regions could be formed by the vapour deposition of a thin metallic layer through a mask or by forming the uncoated regions through a demetallisation process. Alternatively a metallised set of lines may be generated by using lenses to photo-ablate the metal. It is also possible that the metallic regions can be created using a printed metallic ink.

30 Figure 18 shows an example of the demetallisation method. In step 1 recesses 520 are formed using a cast cure process in a resin layer 530. The recesses 520 correspond to the non-metallised regions in the final device. In step 2 the whole surface of the resin layer is coated with an aluminium layer 540

2010311162 04 Aug 2014

- 26 -

preferably by a vapour deposition process. In step 3 the non-recessed regions are coated with a layer of resist 560, this typically done using a lithographic or gravure printing process. In step 4 the structure is immersed in a metal etchant such as a concentrated sodium hydroxide solution and the aluminium in the regions not covered by resist is removed. The remaining resist 560 is then removed to leave a device comprising metallic and non-metallic regions.

Although Figure 17a shows a device comprising an image or background region this is not essential and the device could simply comprise a uniform grid of metallic lines separated by uncoated transparent regions. Such a device would switch from uniformly transparent to metallic on tilting.

Such a metallic to transparent switching device could be applied over other indicia on a secure document such that at one angle of view, when the device appears metallic, the other indicia are concealed and at a second angle of view the device appears transparent and the underlying indicia is revealed.

Throughout this specification and claims which follow, unless the context requires otherwise, the word "comprise", and variations such as "comprises" and "comprising", will be understood to imply the inclusion of a stated integer or step or group of integers or steps but not the exclusion of any other integer or step or group of integers or steps.

The reference in this specification to any prior publication (or information derived from it), or to any matter which is known, is not, and should not be taken as an acknowledgment or admission or any form of suggestion that that prior publication (or information derived from it) or known matter forms part of the common general knowledge in the field of endeavour to which this specification relates.

25

CLAIMS

1. A security device having a lenticular device comprising an array of lenticular focusing elements located over a corresponding array of pairs of image strips such that, in a first viewing direction, a first image strip from each pair is viewed by respective ones of the lenticular focusing elements and, in a second viewing direction, different from the first, a second image strip from each pair is viewed by respective ones of the lenticular focusing elements characterized in that one of each pair of image strips has portions defining a first image in a first colour and a second image in a second colour respectively, and the other of each pair of image strips has portions defining the first image in the second colour and the second image in the first colour respectively, whereby on tilting the device, a colour switch is observed between the first and second images.
2. A security device according to claim 1, further comprising an intermediate region extending between the first and second images, wherein in the intermediate region, each image strip has an intermediate section formed by areas of the first and second colours extending alongside one another.
3. A security device according to claim 2, wherein more than one intermediate section is formed in the intermediate region, at least two of the intermediate sections being arranged such that the arrangement of first and second colours is mutually complementary.
4. A security device according to claim 1, further comprising an intermediate region extending between the first and second images, wherein in the intermediate region, each image strip has an intermediate section, the colour of each intermediate section being the same, preferably either the first colour or the second colour.
5. A security device according to any of the preceding claims, further comprising a second lenticular device having the same construction as the first lenticular device but in which each pair of image strips are formed so that the first image strip defines portions of the first image in the second colour and the second image in the first colour respectively and the other of each pair of image strips has portions defining the first image in the first colour and the second image in the second colour respectively.

6. A security device according to claim 5, wherein the first and second lenticular devices are located adjacent one another.

7. A security device according to any of the preceding claims, wherein the second image comprises a background to the first image.

5 8. A security device having a lenticular device comprising an array of lenticular focusing elements located over a corresponding array of pairs of strips such that, in a first viewing direction, a first strip from each pair is viewed by respective ones of the lenticular focusing elements and, in a second viewing direction, different from the first, a second strip from each pair is viewed by
10 respective ones of the lenticular focusing elements characterized in that one of each pair of strips has one or more opaque portions defining a first image and one or more transparent portions defining a second image or background respectively, and the other of each pair of strips has one or more transparent portions defining the first image and one or more opaque portions defining the
15 second image or background respectively, whereby on tilting the device, a switch in appearance is observed between the first and second images or background.

9. A security device according to claim 8, further comprising an intermediate region extending between the first and second images or background, wherein in
20 the intermediate region, each image strip has an intermediate section formed by opaque and transparent areas extending alongside one another.

10. A security device according to claim 9, wherein more than one intermediate section is formed in the intermediate region, at least two of the intermediate sections being arranged such that the arrangement of opaque and
25 transparent areas is mutually complementary.

11. A security device according to any of claims 8 to 10, further comprising a second lenticular device having the same construction as the first lenticular device but in which each pair of strips is formed so that the first image strip has one or more transparent portions defining the first image and one or more
30 opaque portions defining the second image or background respectively, and the other of each pair of image strips has one or more opaque portions defining the first image and one or more transparent portions defining the second image or background respectively.

12. A security device according to any of claims 8 to 11, wherein the opaque portions are metallic.
13. A security device according to any of the preceding claims, wherein the first image comprises one of a symbol, character, or graphic device.
- 5 14. A security device according to any of the preceding claims, wherein the image strips are registered to the lenticular focusing elements.
15. A security device according to any of the preceding claims, wherein the image strips are defined by inks.
16. A security device according to any of claims 1 to 14, wherein the image
10 strips are defined by a relief structure.
17. A security device according to claim 16, wherein the relief structure is embossed or cast-cured into a substrate.
18. A security device according to claim 16 or claim 17, wherein the relief structure comprises diffractive grating structures.
- 15 19. A security device according to any of the preceding claims, wherein the width of each image strip is less than 100 microns, preferably less than 50 microns, most preferably in the range 5-25 microns.
20. A security device according to any of the preceding claims, wherein the lenticular focusing elements comprise cylindrical lenses or micromirrors.
- 20 21. A security device according to any of the preceding claims, wherein the lenticular focusing element array has a periodicity in the range 5-200 microns, preferably 10-60 microns, most preferably 20-40 microns.
22. A security device according to any of the preceding claims, wherein the lenticular focusing elements have been formed by a process of thermal
25 embossing or cast-cure replication.
23. A security device according to any of the preceding claims, wherein the image strips are provided in or on a substrate which is also provided with a holographic structure, separate from the lenticular device.
24. A security device according to any of the preceding claims, wherein the
30 image strips are provided in or on a substrate which is also provided with microimages suitable for moiré magnification, the security device further comprising a moiré magnification lens array located over the microimages.

25. A security device according to claim 24, wherein the moiré magnification lens array is provided in or on the same surface as the lenticular focusing elements.

26. A security device according to claim 25, wherein the lenticular focusing
5 elements extend over the microimages so as to constitute the moiré magnification lens array.

27. An article provided with a security device according to any of the preceding claims.

28. An article according to claim 27, wherein the article is selected from
10 banknotes, cheques, passports, identity cards, certificates of authenticity, fiscal stamps and other documents for security value or personal identity.

29. An article according to claim 28, wherein the article comprises a substrate with a transparent portion, on opposite sides of which the lenticular focusing elements and image strips respectively are provided.

30. A method of manufacturing a security device, the method comprising
15 providing an array of lenticular focusing elements on one side of a transparent substrate; and providing a corresponding array of pairs of image strips on the other side of the transparent substrate, the image strips and lenticular focusing elements defining a lenticular device such that, in a first viewing direction, a first
20 image strip from each pair is viewed by respective ones of the lenticular focusing elements and, in a second viewing direction, different from the first, a second image strip from each pair is viewed by respective ones of the lenticular focusing elements characterized in that one of each pair of image strips has portions defining a first image in a first colour and a second image in a second colour
25 respectively, and the other of each pair of image strips has portions defining the first image in the second colour and the second image in the first colour respectively, whereby on tilting the device, a colour switch is observed between the first and second images.

31. A method according to claim 30, further comprising an intermediate
30 region extending between the first and second images, wherein in the intermediate region, each image strip has an intermediate section formed by areas of the first and second colours extending alongside one another.

32. A method according to claim 31, wherein more than one intermediate section is formed in the intermediate region, at least two of the intermediate sections being arranged such that the arrangement of first and second colours is mutually complementary.

5 33. A method according to any of claims 30 to 32, further comprising providing a second lenticular device having the same construction as the first lenticular device but in which each pair of image strips are formed so that the first image strip defines portions of the first image in the second colour and the second image in the first colour respectively and the other of the pair of image
10 strips has portions defining the first image in the first colour and the second image in the second colour respectively.

34. A method according to claim 33, wherein the first and second lenticular devices are located adjacent one another.

15 35. A method according to any of claims 30 to 34, wherein the second image comprises a background to the first image.

36. A method of manufacturing a security device, the method comprising providing an array of lenticular focusing elements on one side of a transparent substrate; and providing a corresponding array of pairs of strips on the other side of the transparent substrate, the strips and lenticular focusing elements defining
20 a lenticular device such that, in a first viewing direction, a first strip from each pair is viewed by respective ones of the lenticular focusing elements and, in a second viewing direction, different from the first, a second strip from each pair is viewed by respective ones of the lenticular focusing elements characterized in that one of each pair of strips has one or more opaque portions defining a first
25 image and one or more transparent portions defining a second image or background respectively, and the other of each pair of strips has one or more transparent portions defining the first image and one or more opaque portions defining the second image or background respectively, whereby on tilting the device, a switch in appearance is observed between the first and second images
30 or background.

37. A method according to claim 36, further comprising an intermediate region extending between the first and second images or background, wherein in

2010311162 04 Aug 2014

- 32 -

the intermediate region, each image strip has an intermediate section formed by opaque and transparent areas extending alongside one another.

38. A method according to claim 37, wherein more than one intermediate section is formed in the intermediate region, at least two of the intermediate sections being
5 arranged such that the arrangement of opaque and transparent areas is mutually complementary.

39. A method according to any of claims 36 to 38, further comprising providing a second lenticular device having the same construction as the first lenticular device but in which each pair of strips is formed so that the first strip has one or more
10 transparent portions defining the first image and one or more opaque portions defining the second image or background respectively and the other of the pair of strips has one or more opaque portions defining the first image and one or more transparent portions defining the second image or background respectively.

40. A method according to claim 39, wherein the first and second lenticular devices
15 are located adjacent one another.

41. A method according to any of claims 36 to 40, wherein the opaque portions are metallic.

42. A method according to any of claims 30 to 41, wherein the first image comprises one of a symbol, character, or graphic device.

20 43. A method according to any of claims 30 to 42, wherein the strips are registered to the lenticular focusing elements.

44. A method according to any of claims 30 to 43, wherein the image strips are printed onto the substrate.

25 45. A method according to any of claims 30 to 44, wherein the image strips are defined by a relief structure embossed or cast-cured into a substrate.

46. A method according to any of claims 30 to 45 for manufacturing a security device according to any of claims 1 to 26.

47. The security device, article, or method of any one of claims 1 to 46, substantially as hereinbefore described with reference to the accompanying drawings.

1/37

Fig.1.

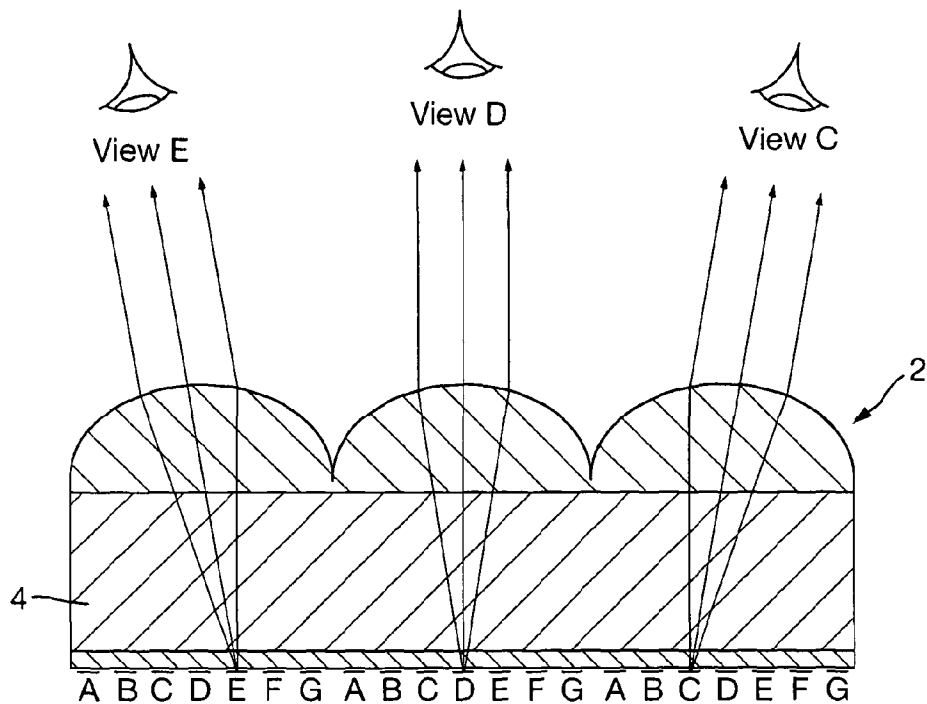
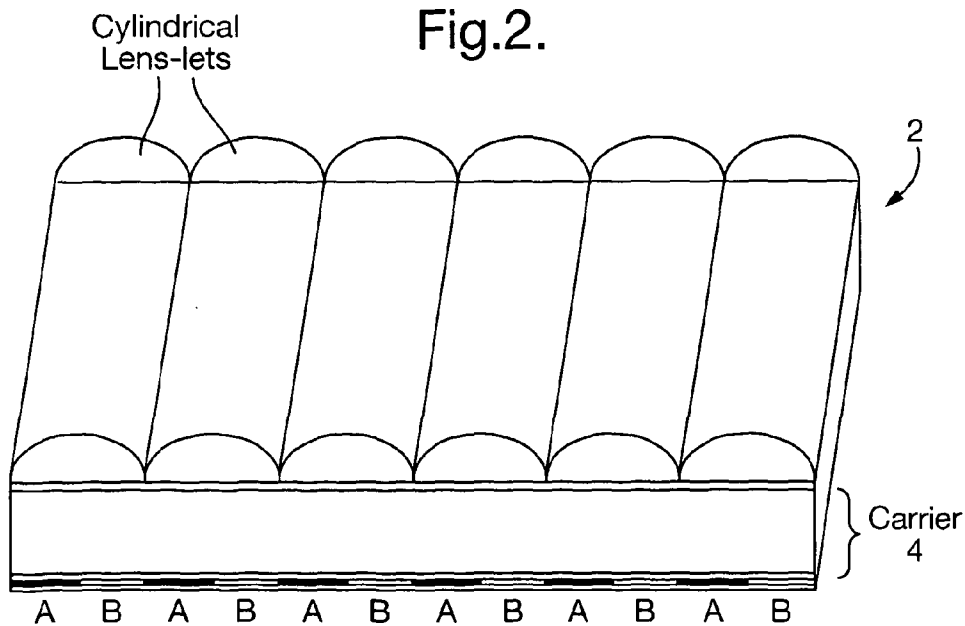
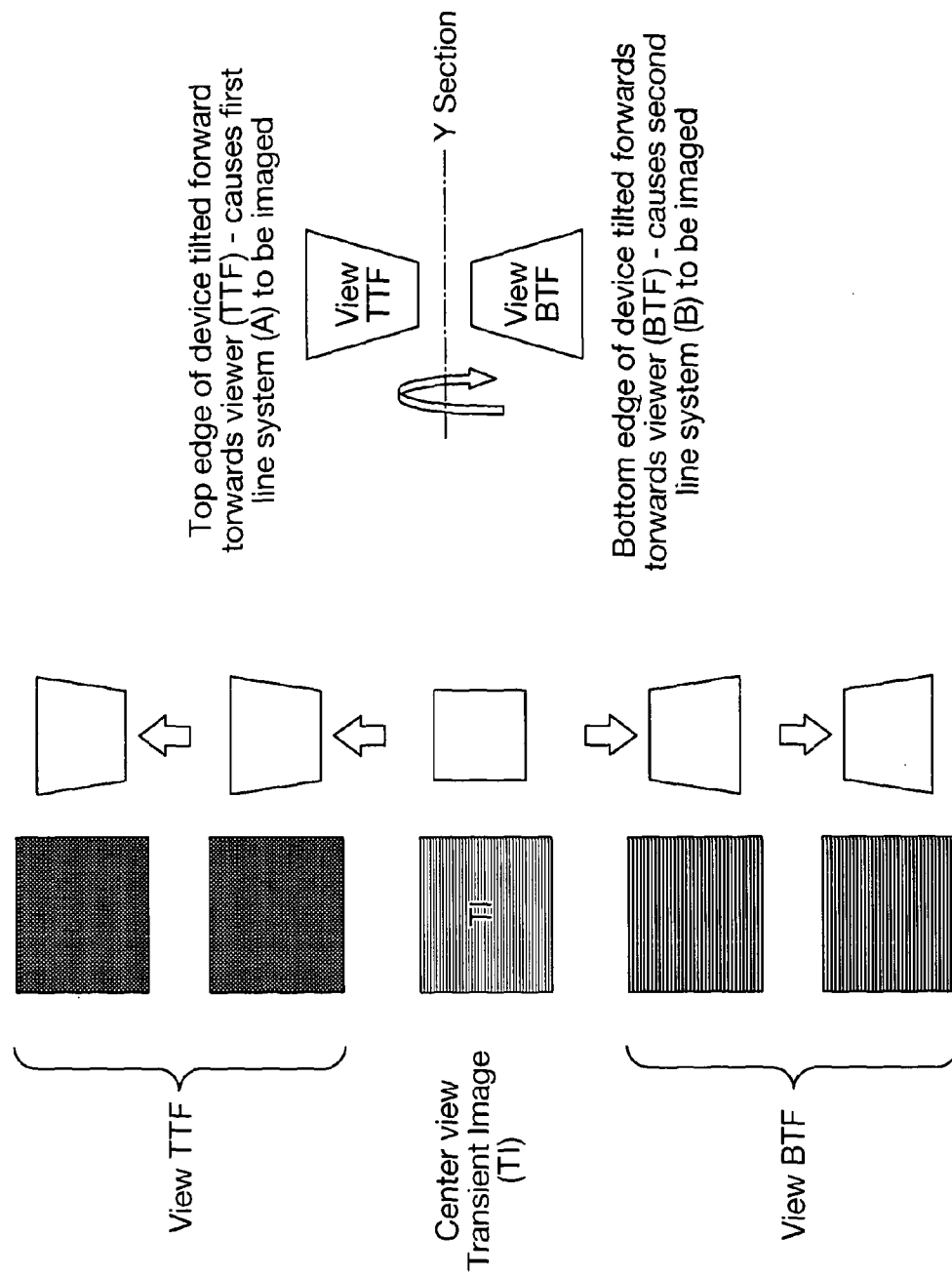


Fig.2.



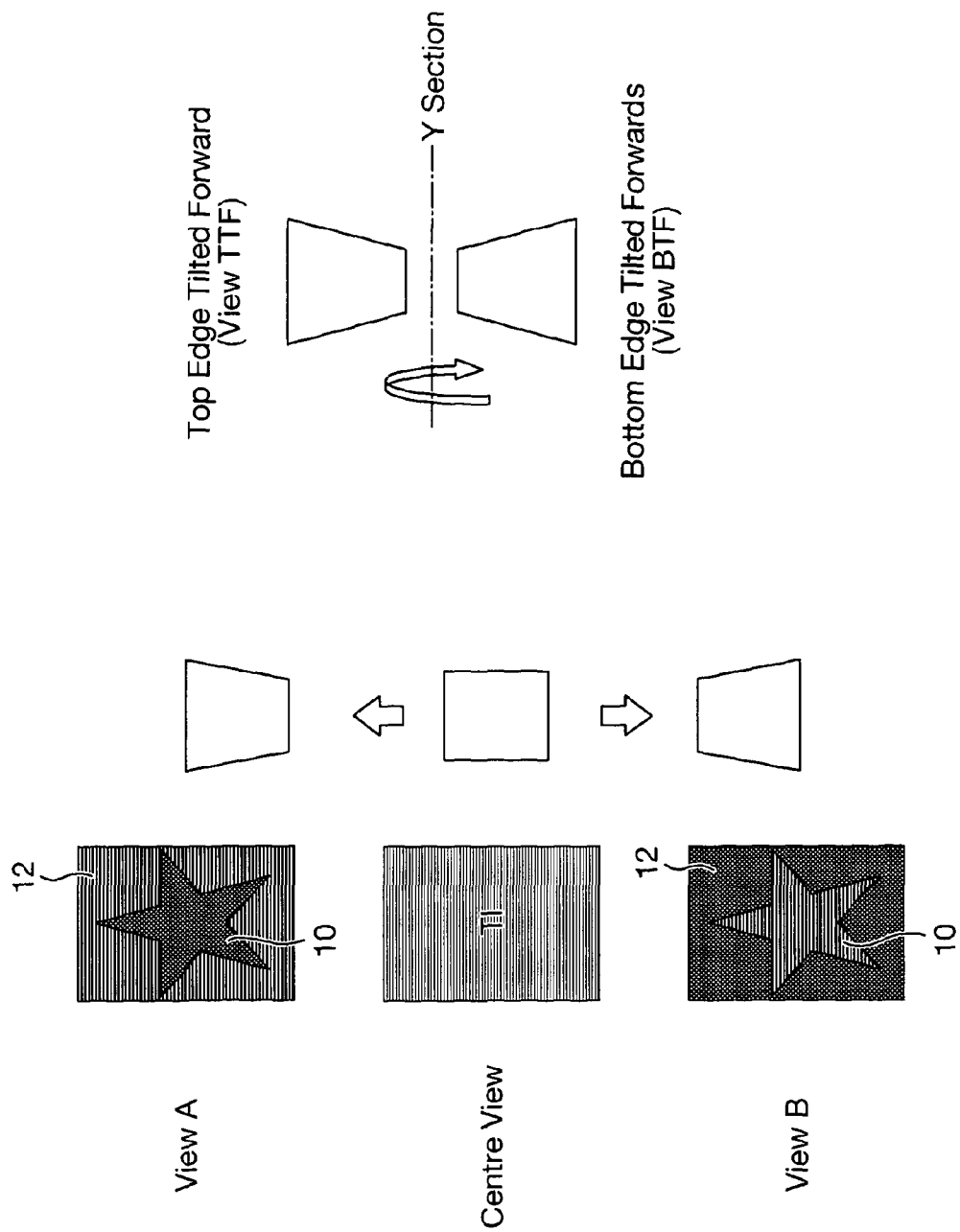
2/37

Fig.3.

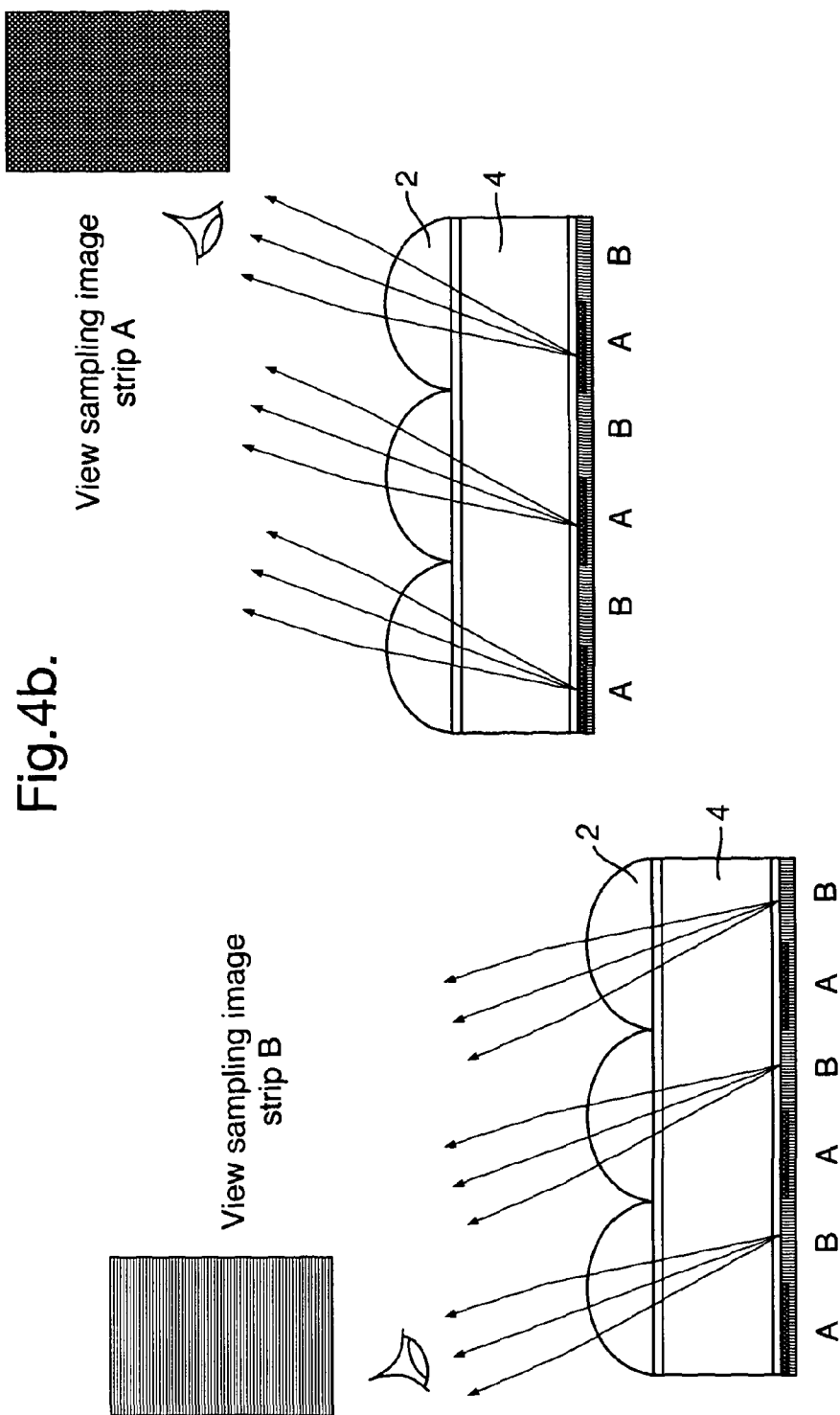


3/37

Fig.4a.

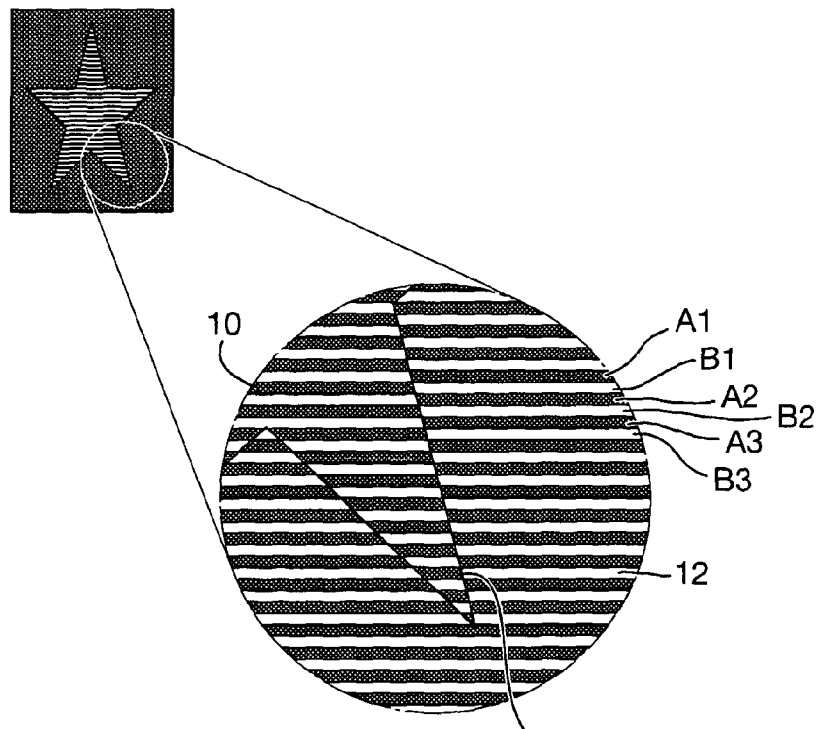


4/37



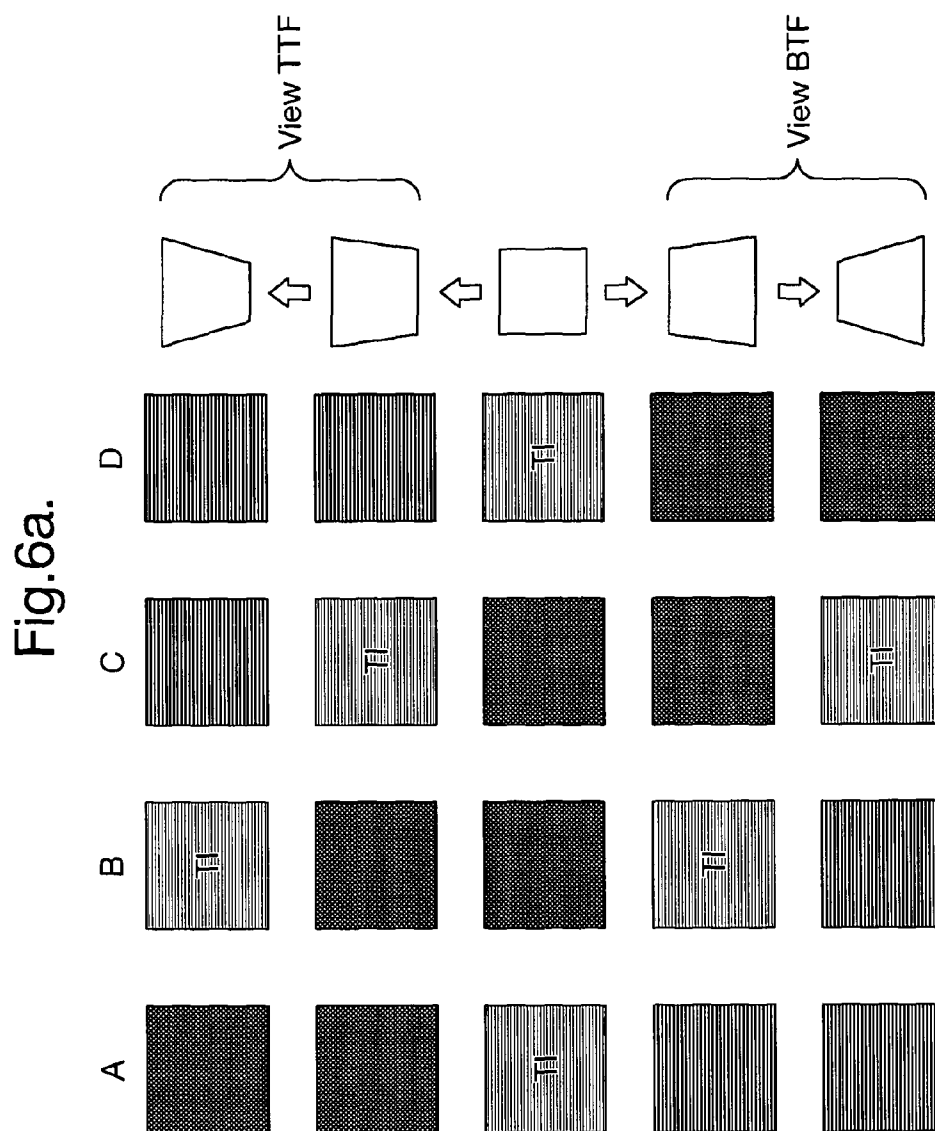
5/37

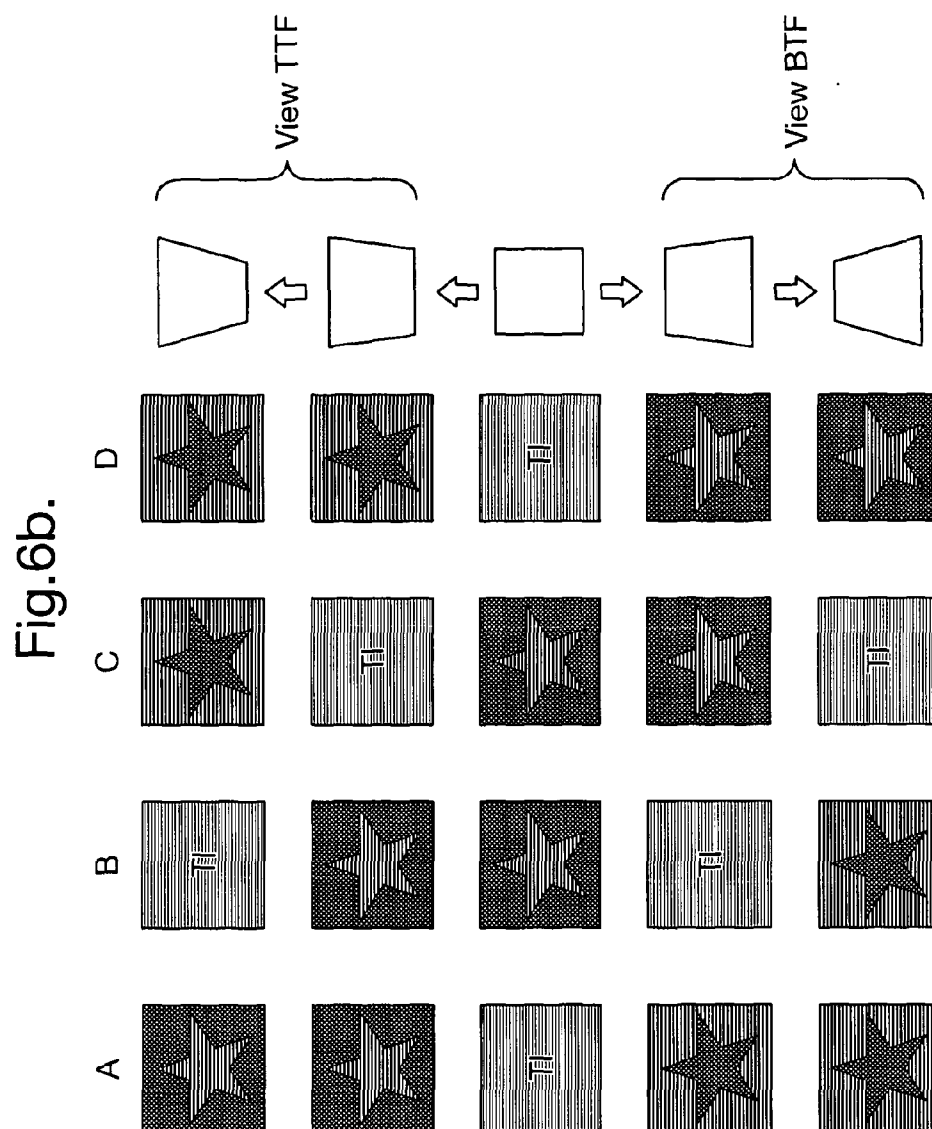
Fig.5.



At the boundary between the first region (defined by star) and second region (defined by surrounding background) the first and second line systems are shifted by half a grid repeat

6/37





8/37

Fig.6c.

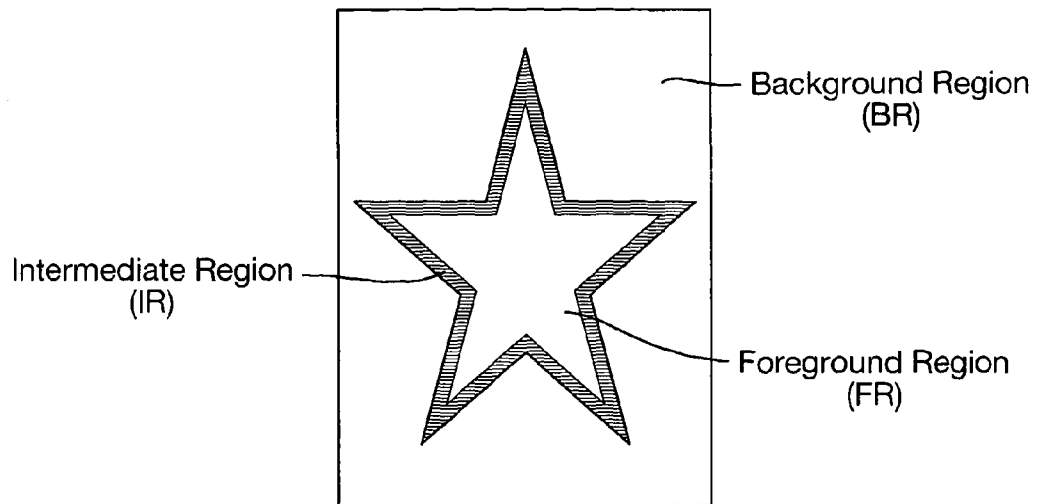
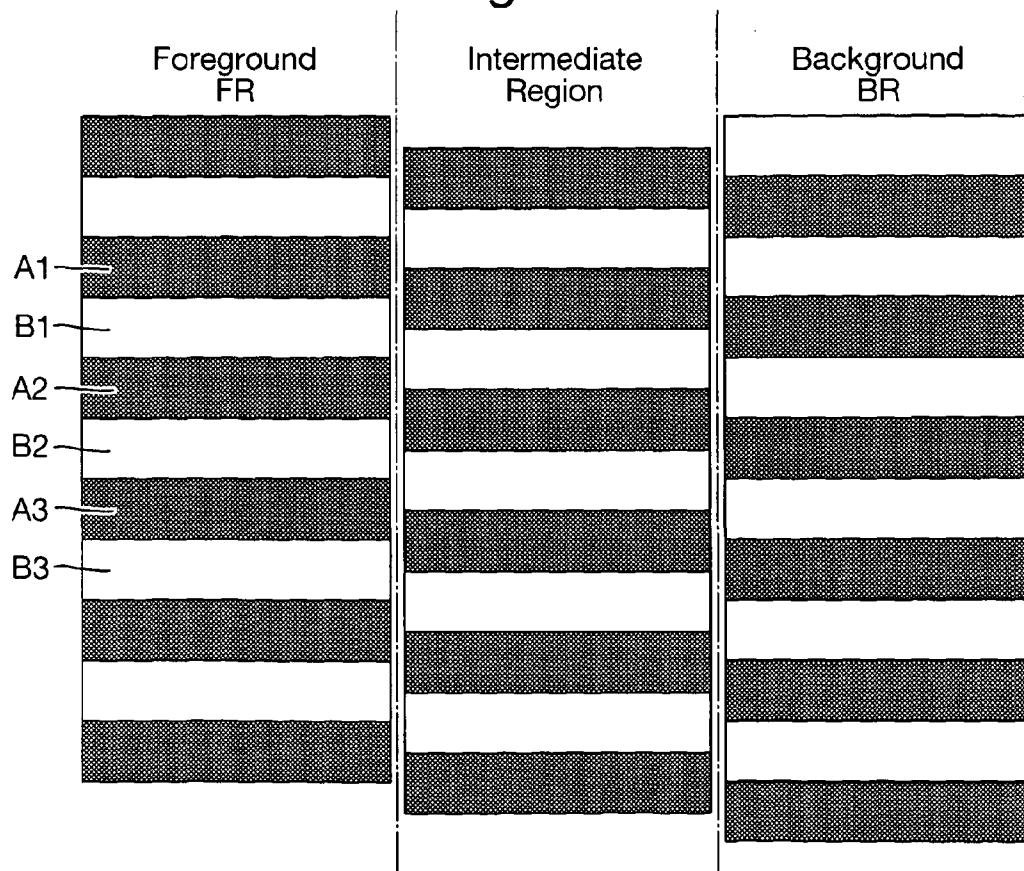
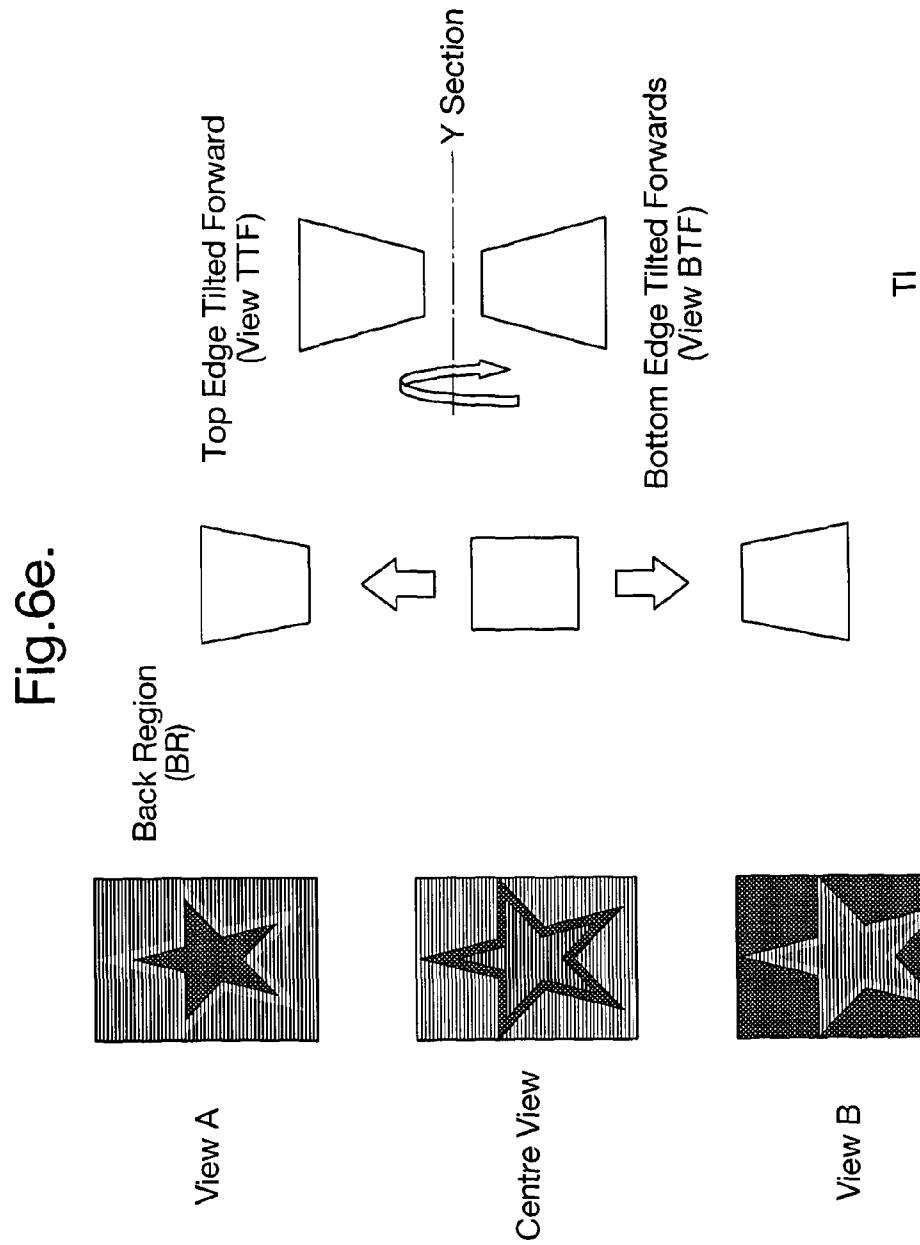


Fig.6d.

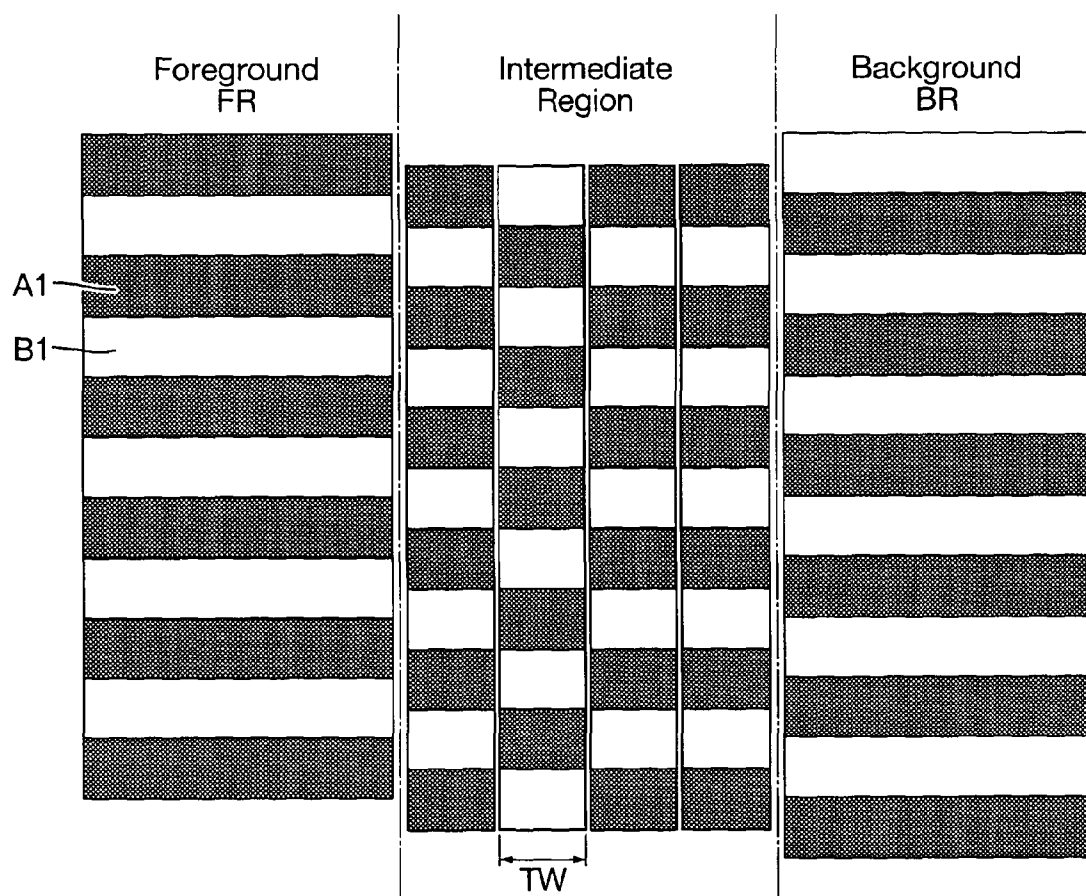


9/37



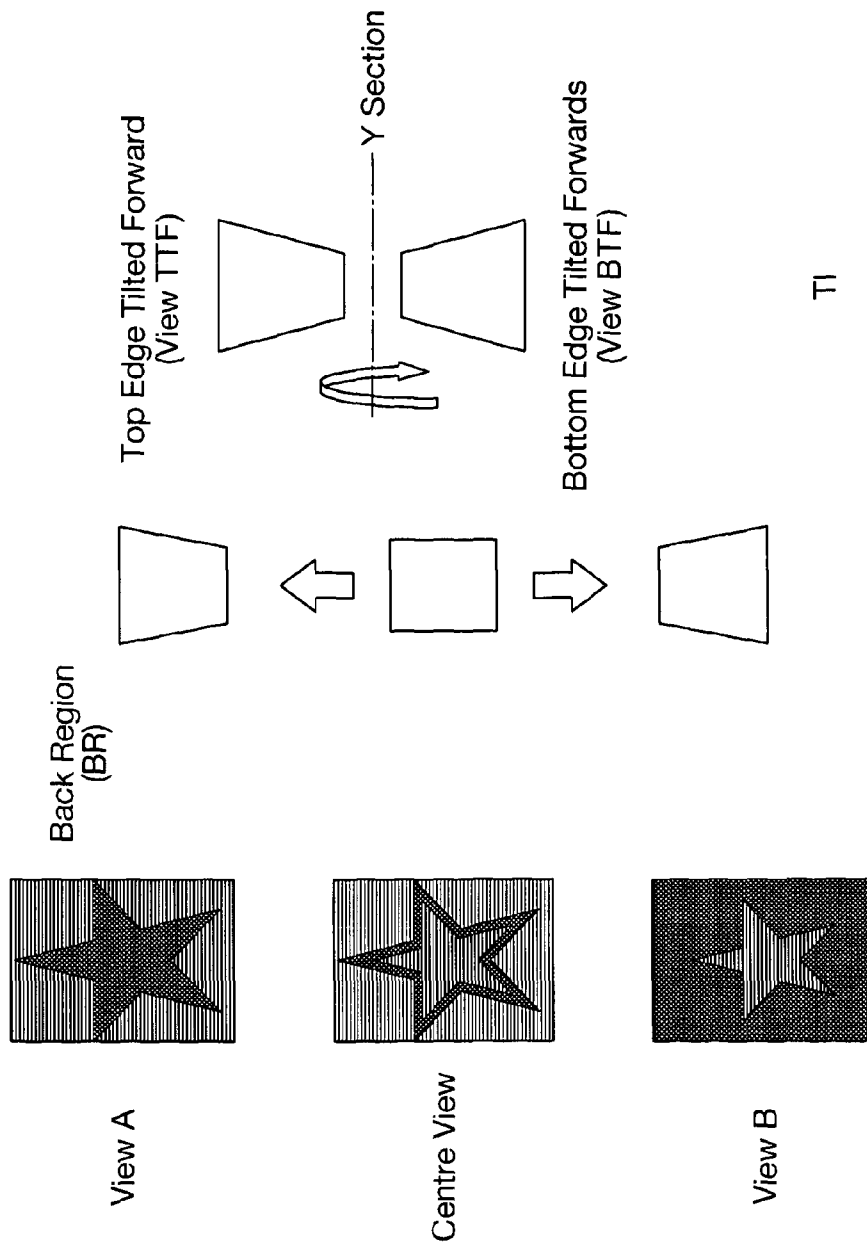
10/37

Fig.6f.



11/37

Fig.6g.



12/37

Fig.6h.

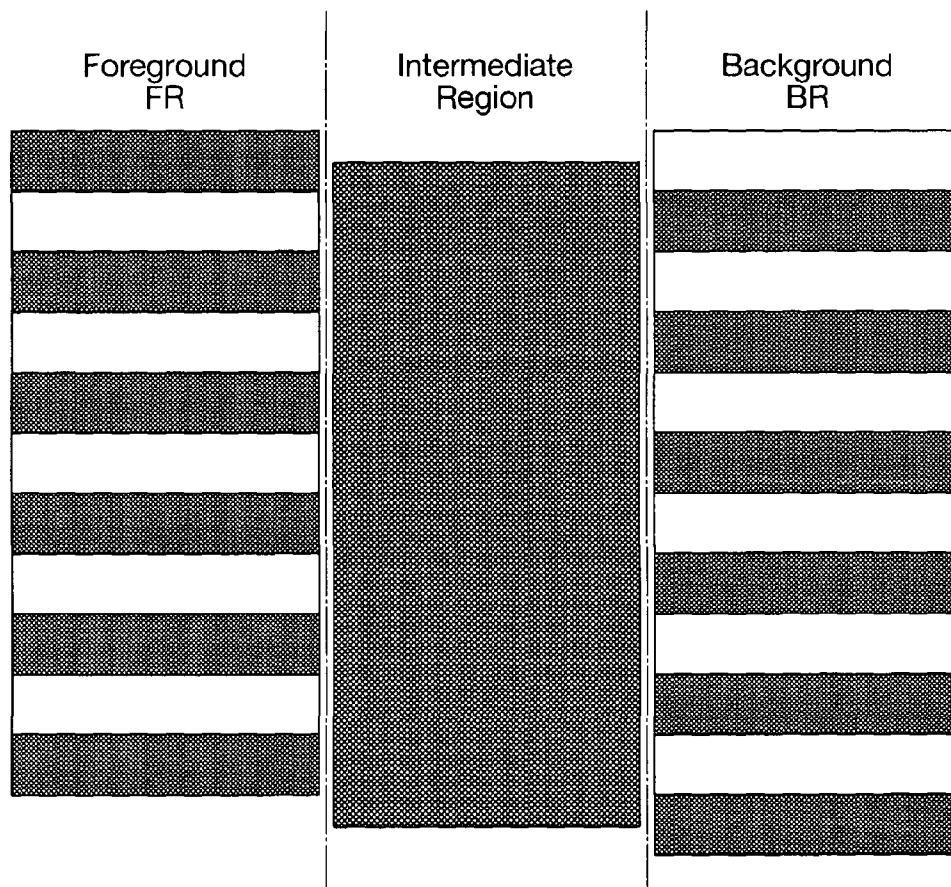
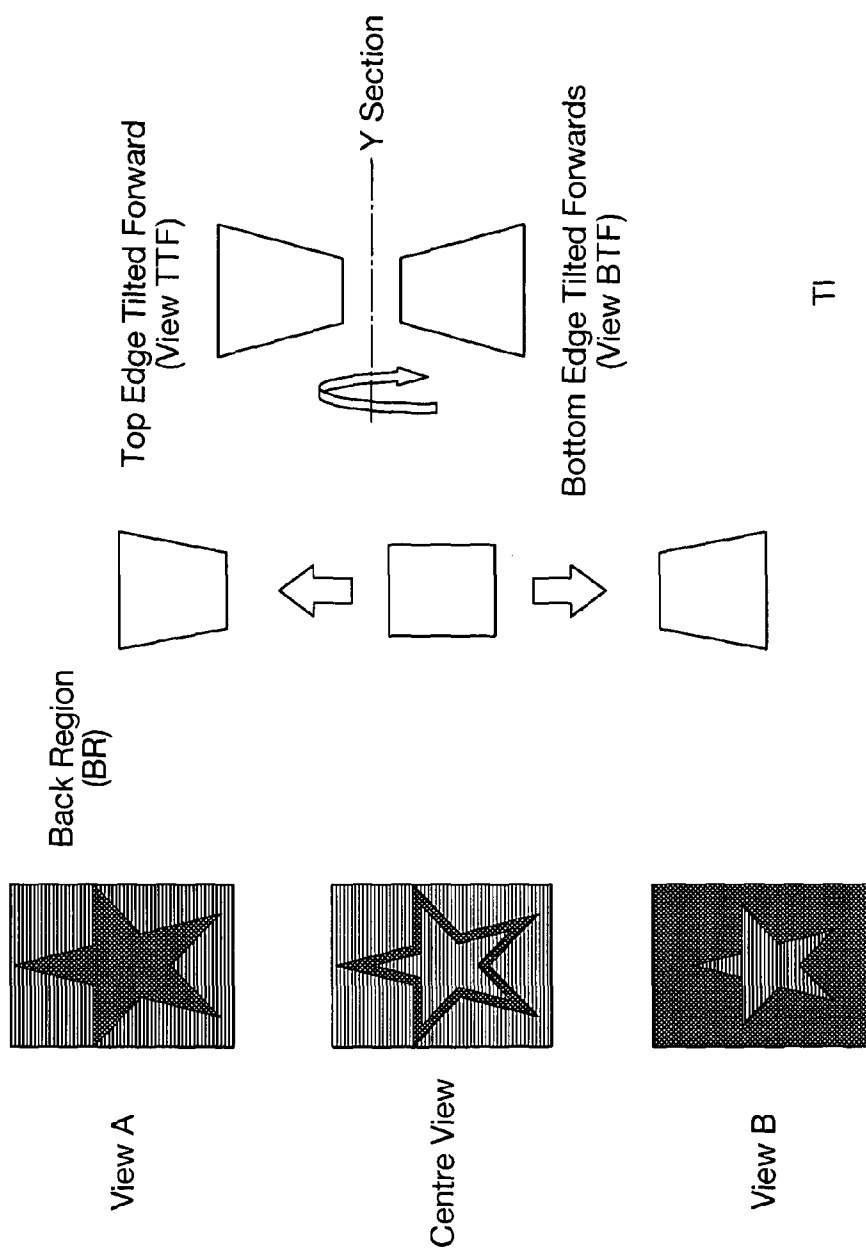


Fig.6i.



14/37

Fig.7a.

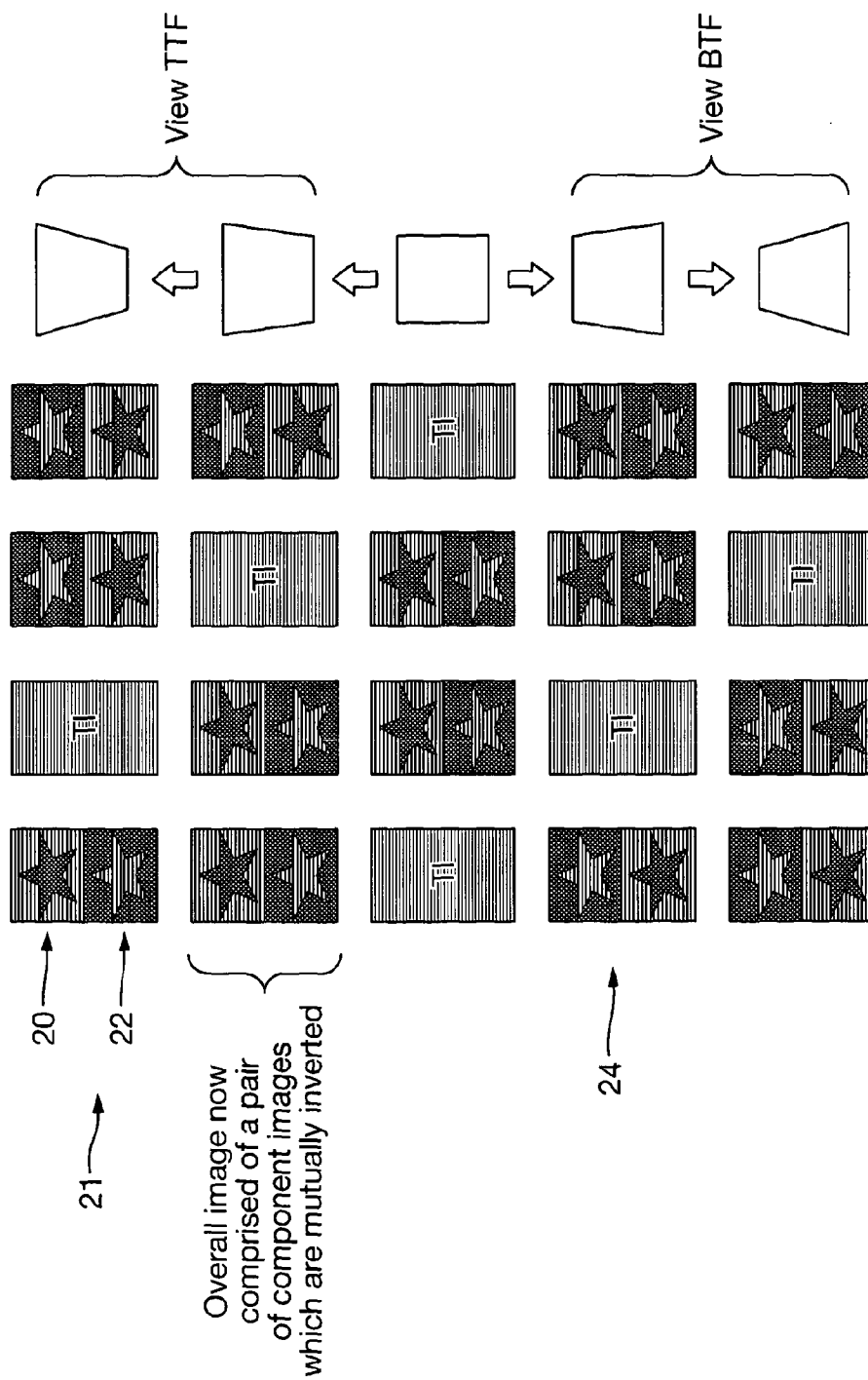
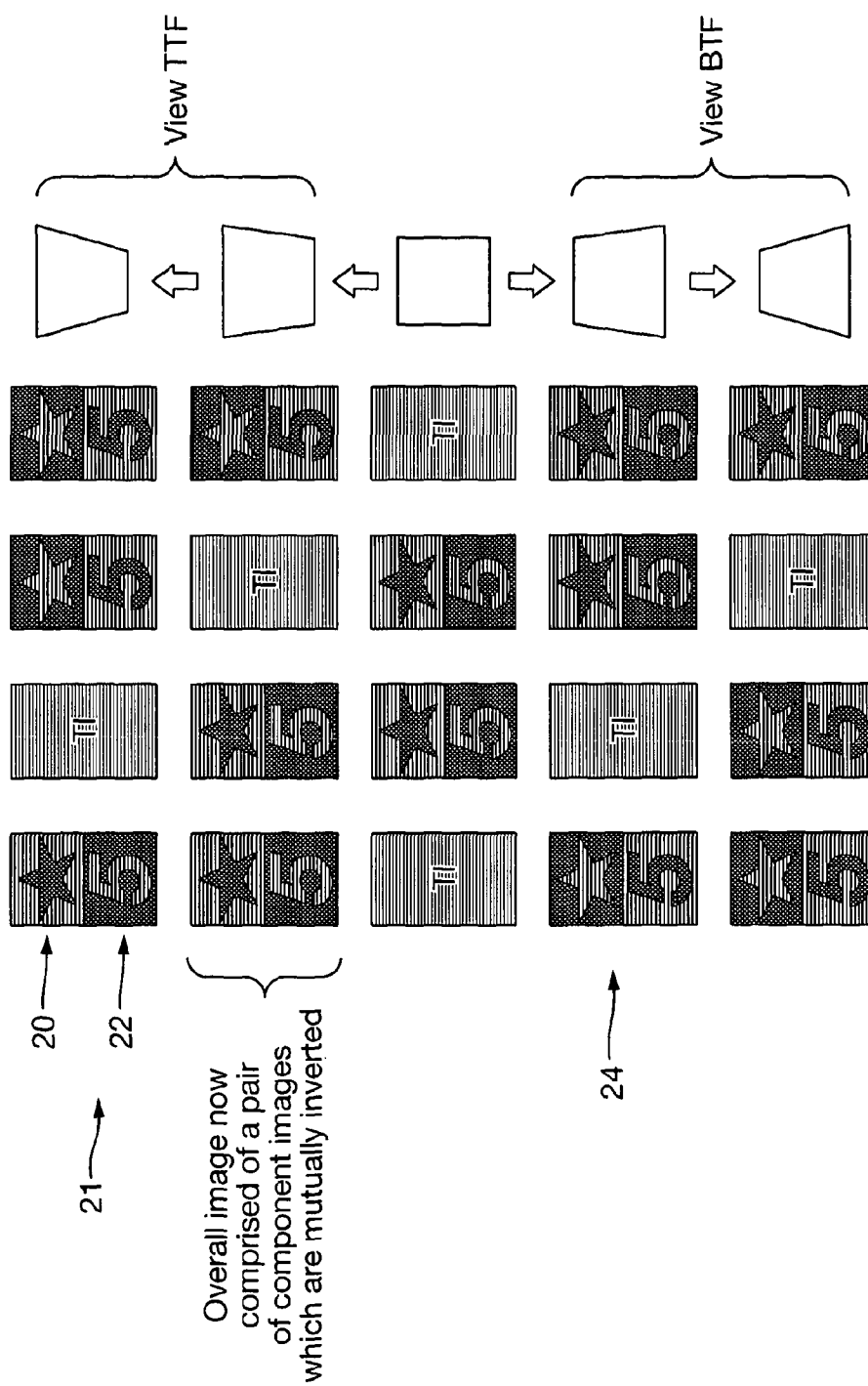
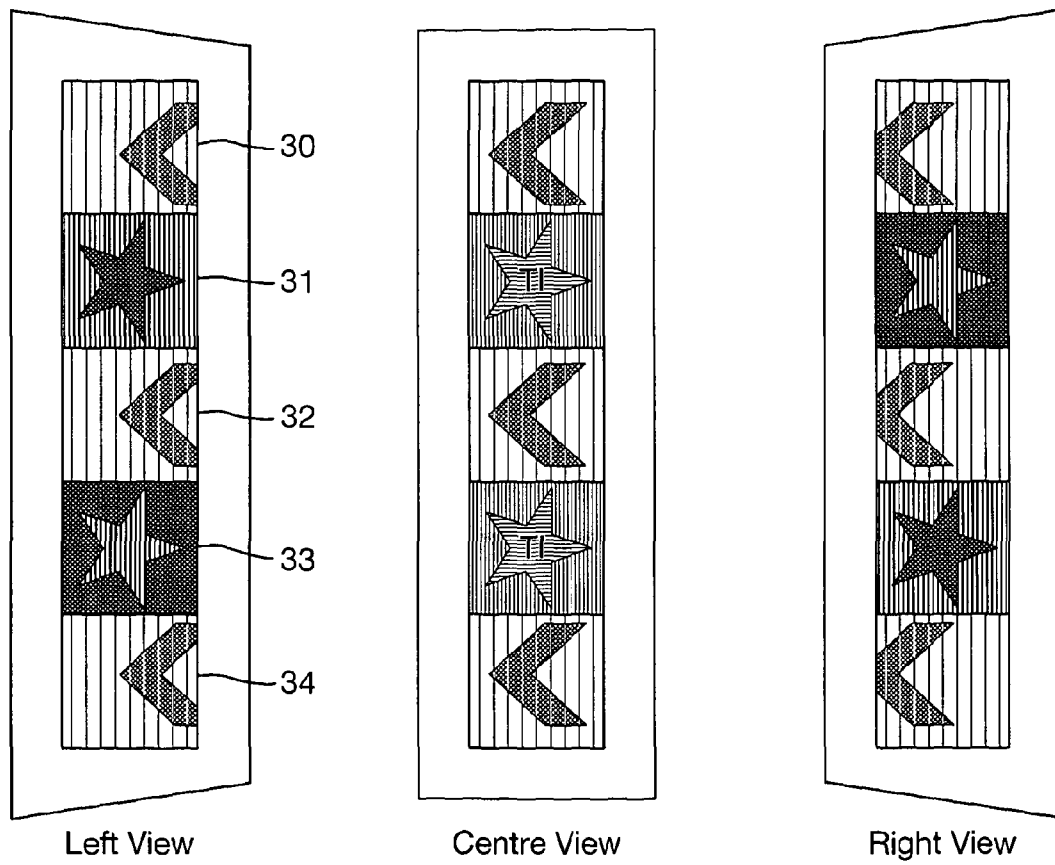


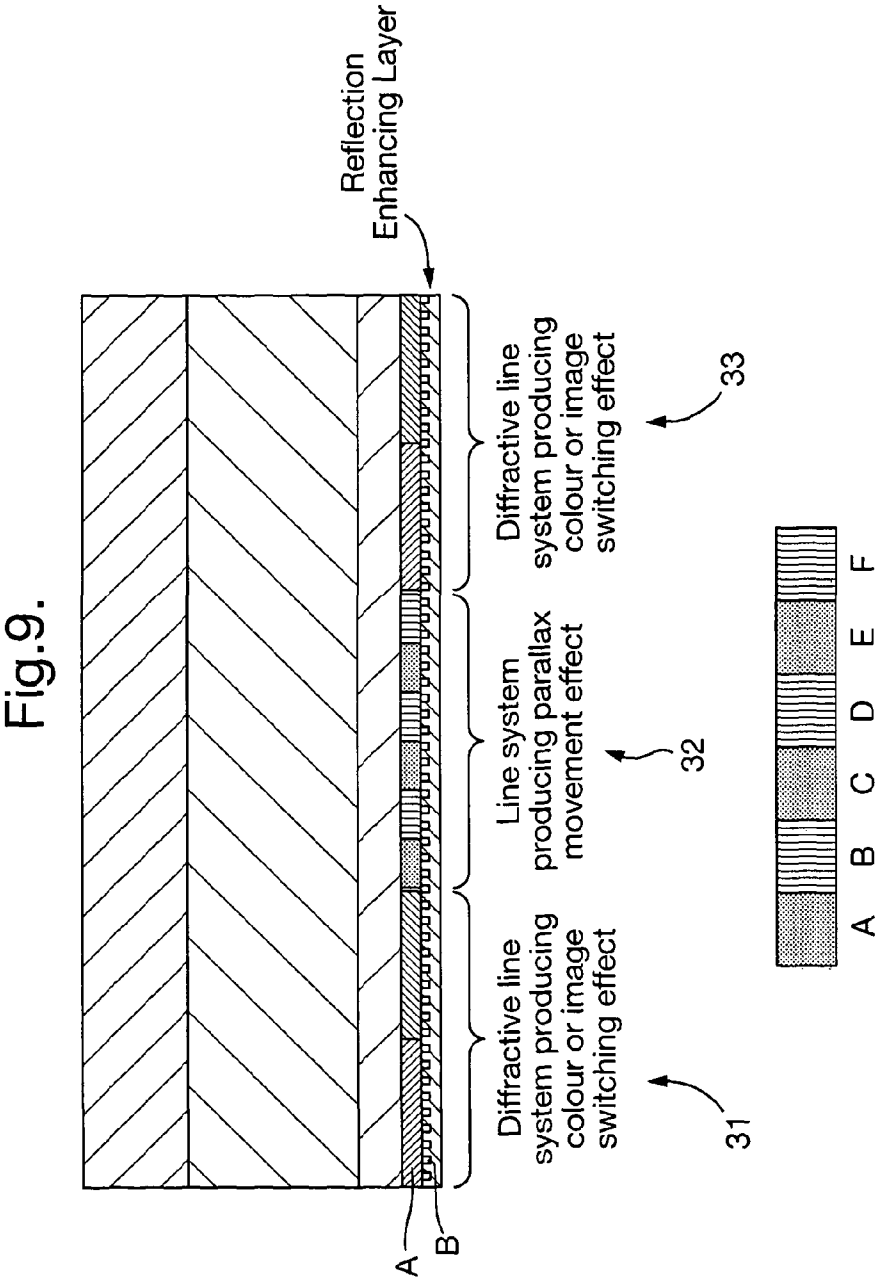
Fig.7b.



16/37

Fig.8.





18/37

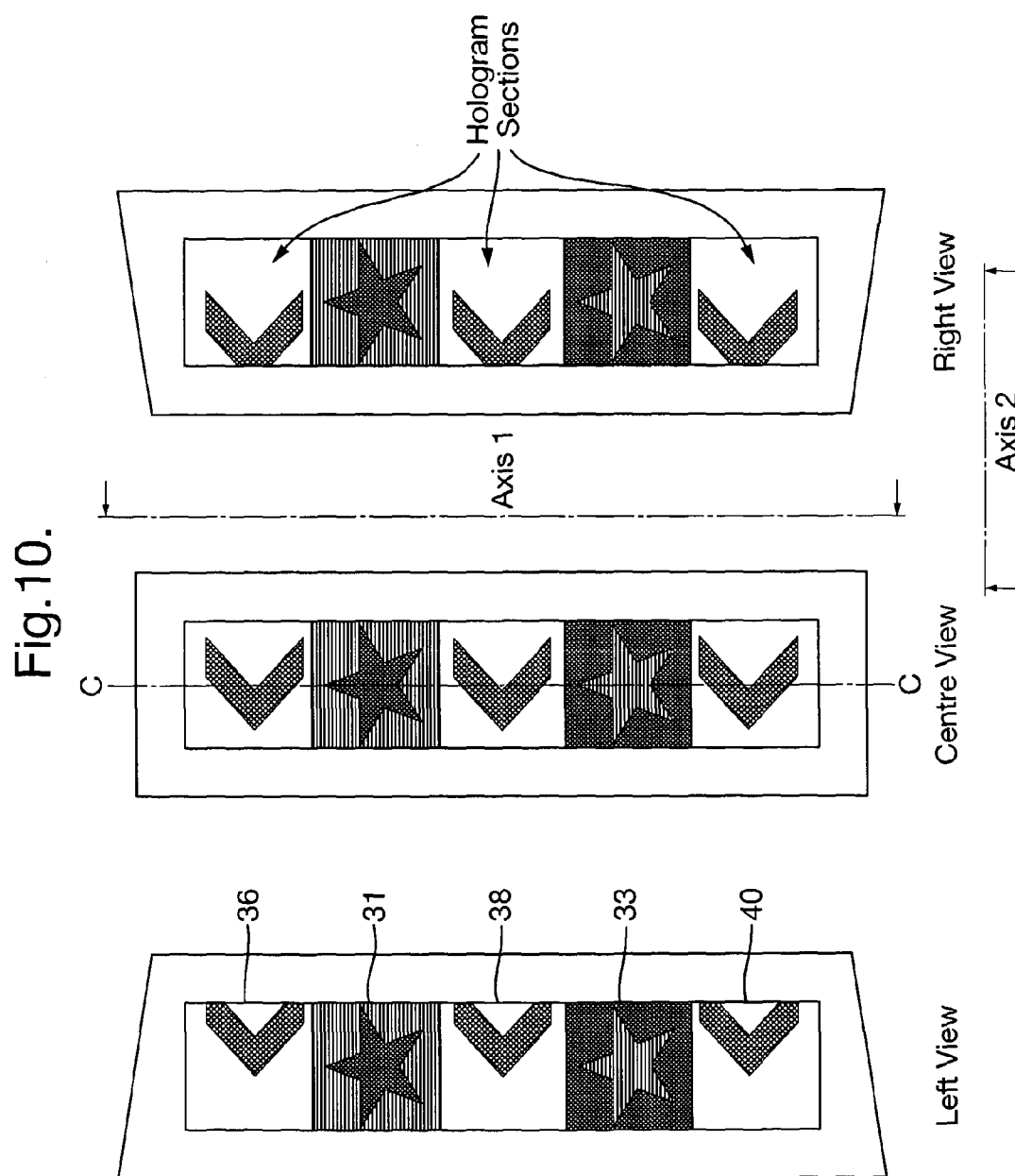
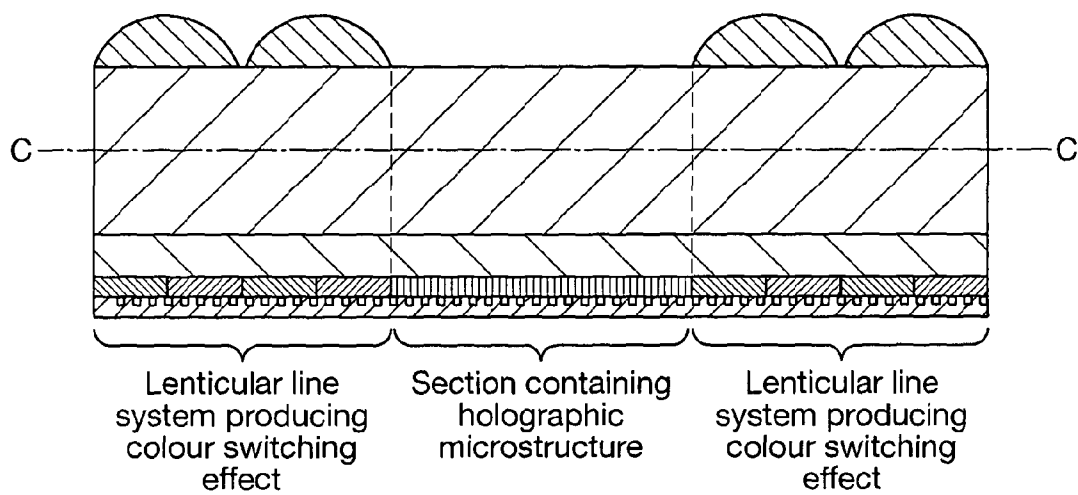
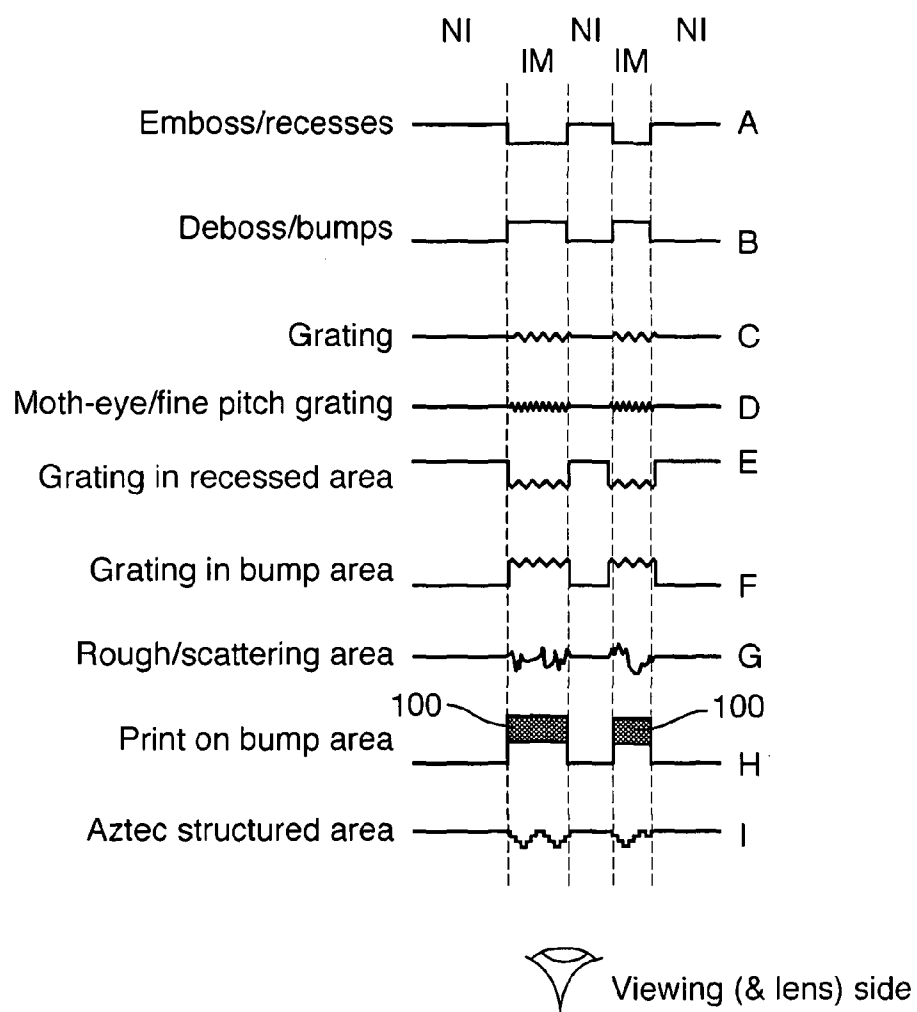


Fig.11.



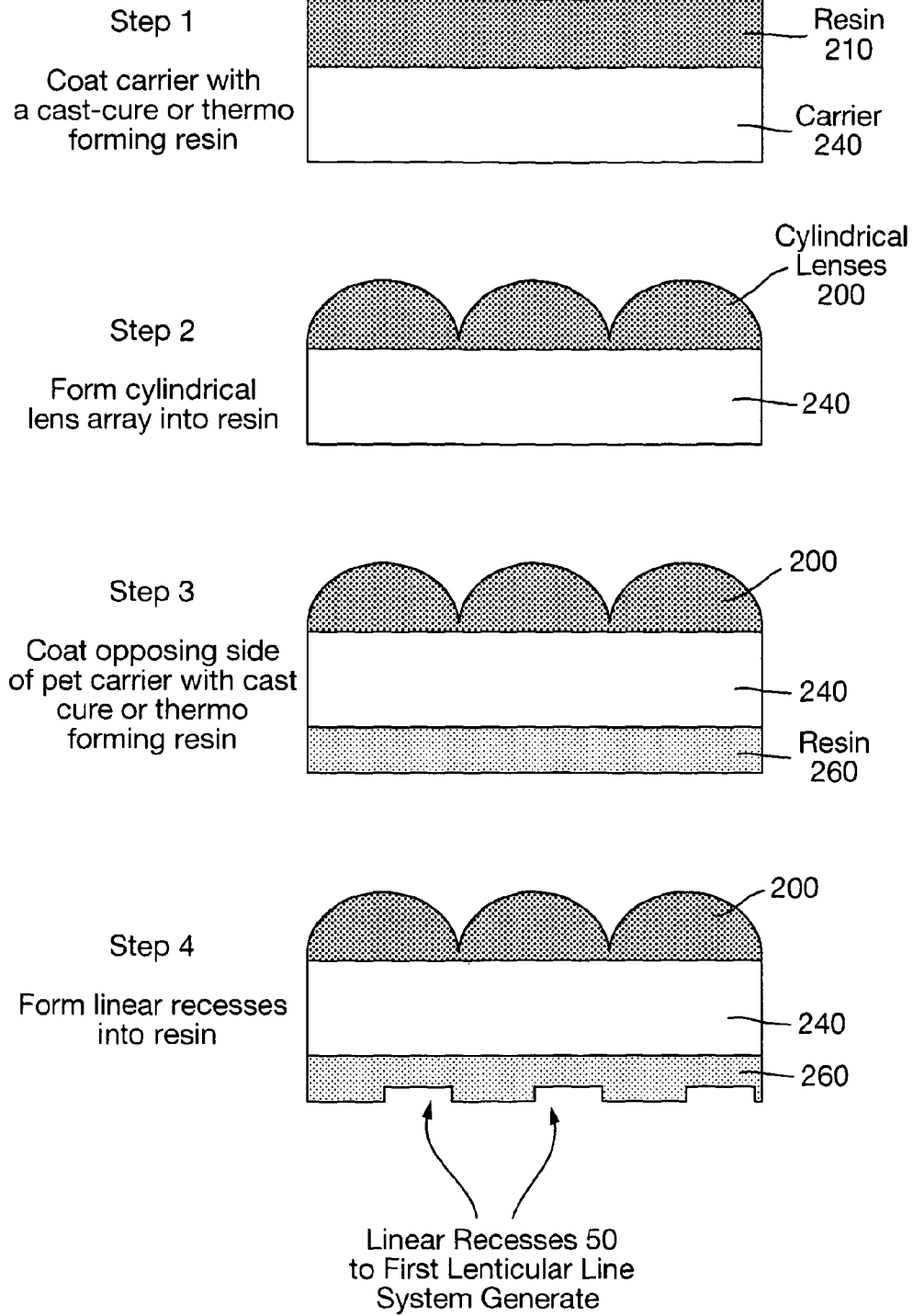
20/37

Fig.12.



21/37

Fig.13.



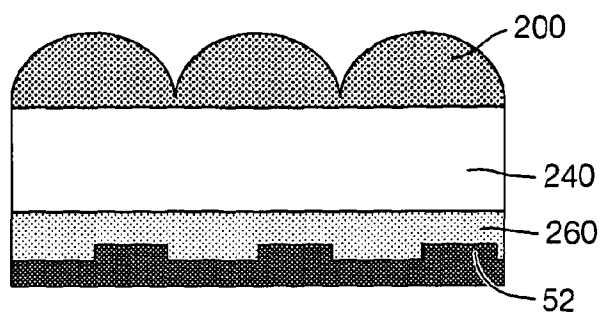
22/37

Fig.13 (Cont.)

Step 5

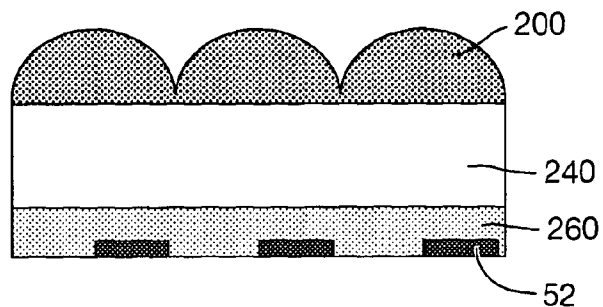
Uniformly coat surface,
containing linear recesses,
with first colourant.

Coating Method: Typically
via Gravure or Annilox roller



Step 6

First colourant is removed
from non recessed land
regions by method of
Doctor Blading

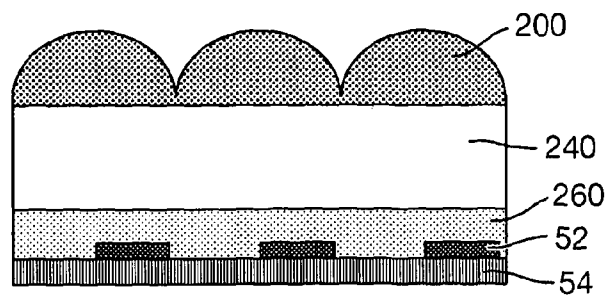


Step 7

Uniformly coat surface
with second colourant.

Second colourant visible
in land regions.

Coating method: Typically
Gravure



23/37

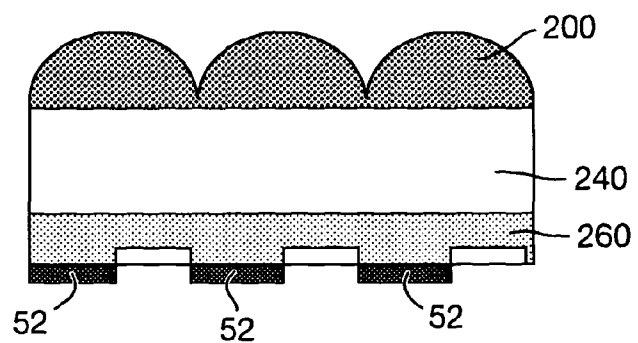
Fig.14.

Steps 1 - 4 As With Fig.13.

Step 5A

Transfer first colourant onto
non recessed linear regions
by offset transfer.

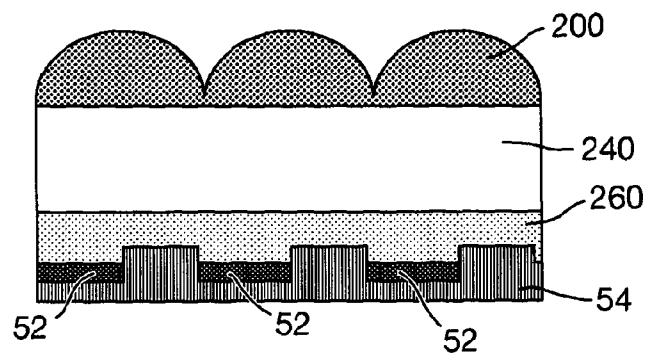
Coating method: Offset from
Annilox roller or litho blanket



Step 6A

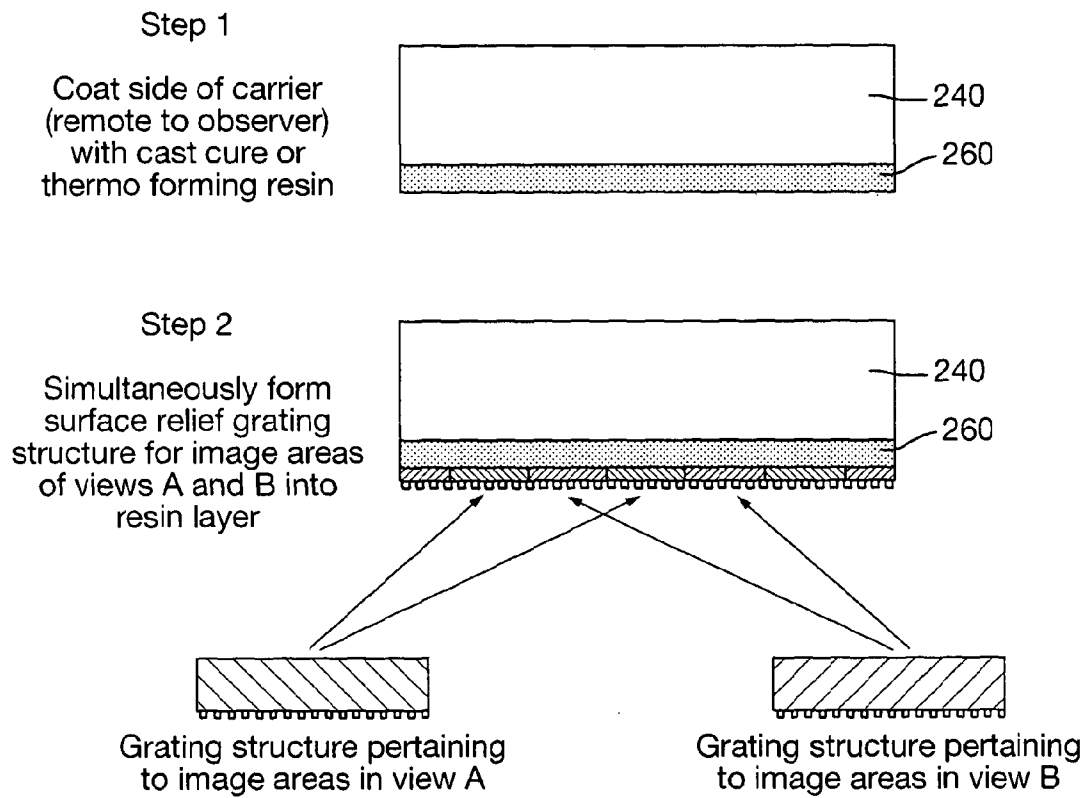
Uniformly coat with second
colourant.

Coating method: Typically
Gravure or offset litho



24/37

Fig.15.

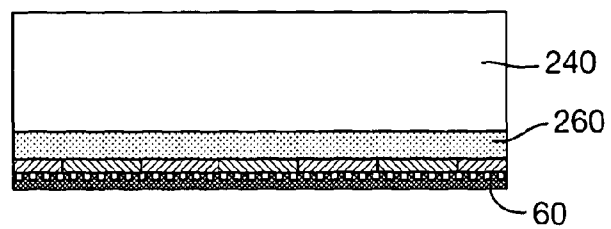


25/37

Fig.15 (Cont.)

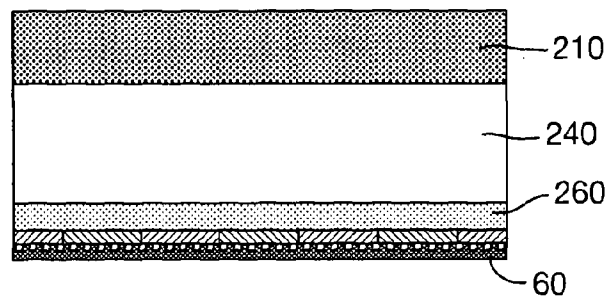
Step 3

Apply reflection coating
to grating surface
relief structure



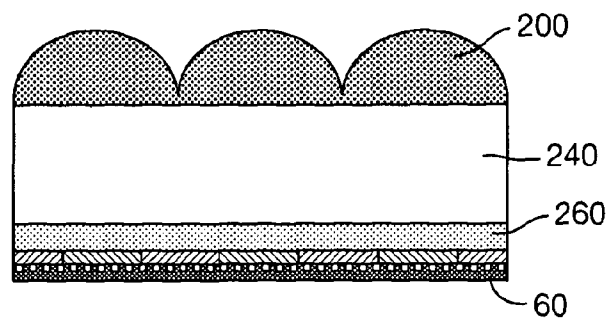
Step 4

Coat side of carrier nearest
observer with a cast cure
or thermo forming resin



Step 5

Form cylindrical lens
array into resin



26/37

Fig.16a.

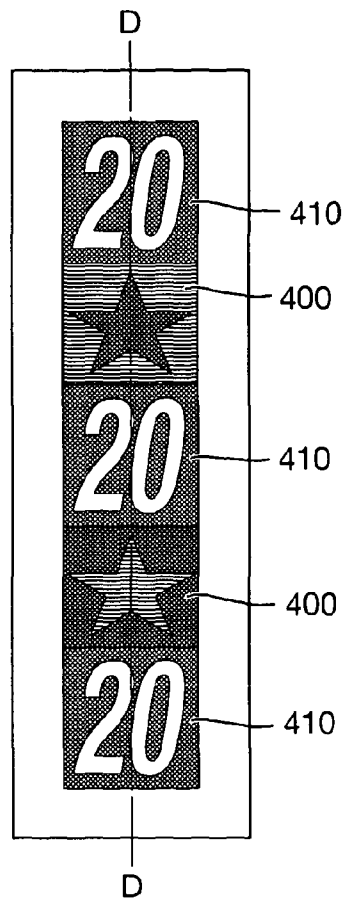
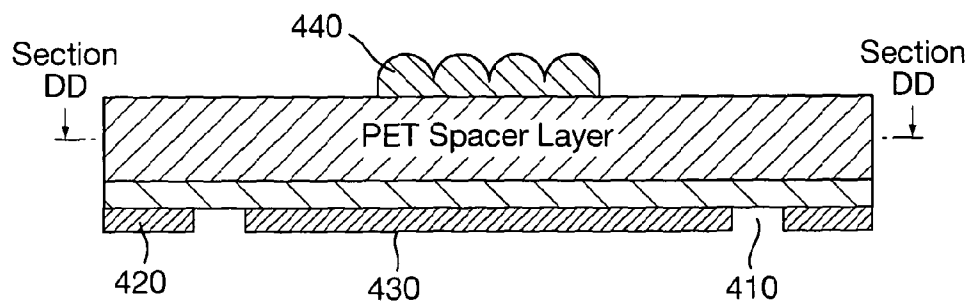


Fig.16b.



27/37

Fig.17a.

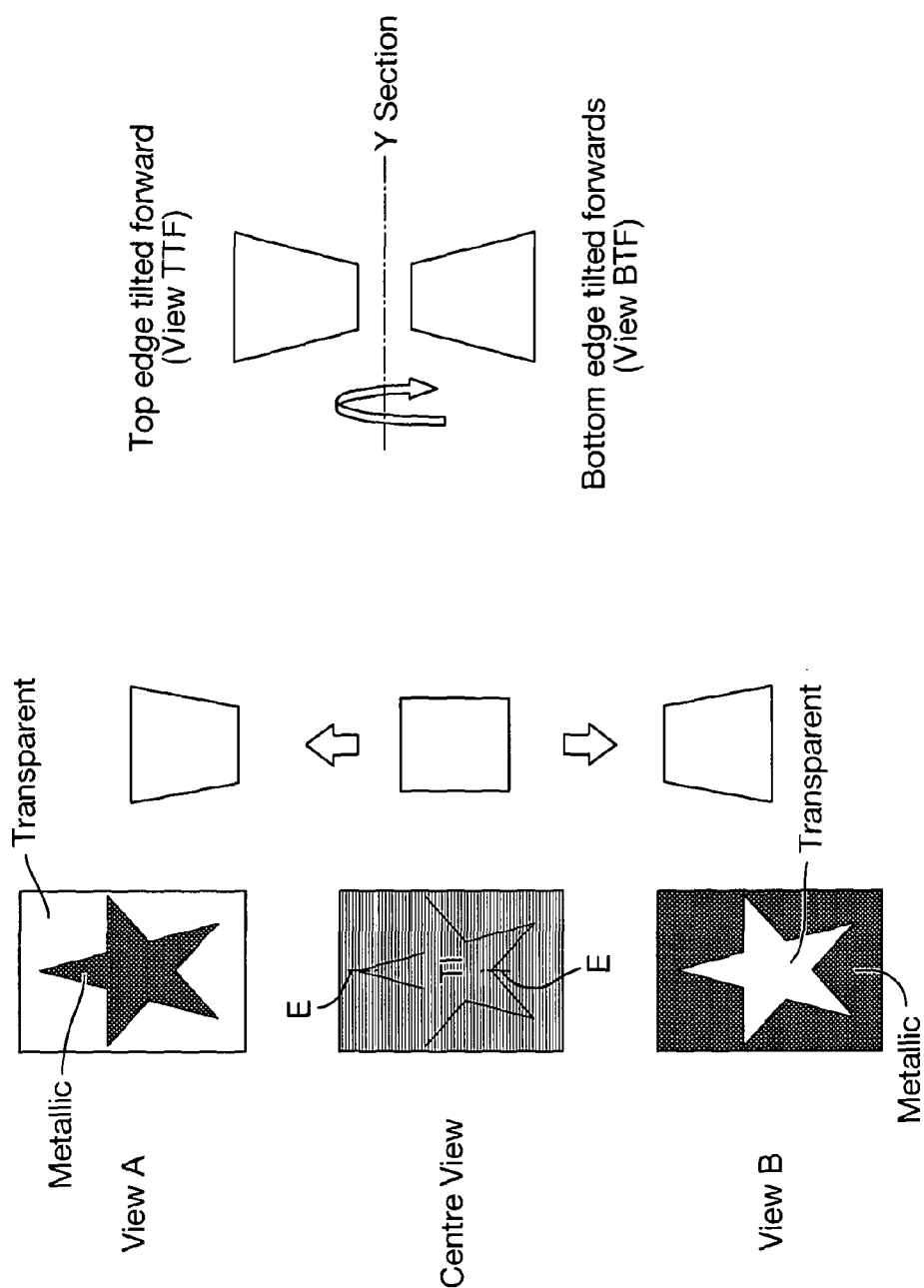
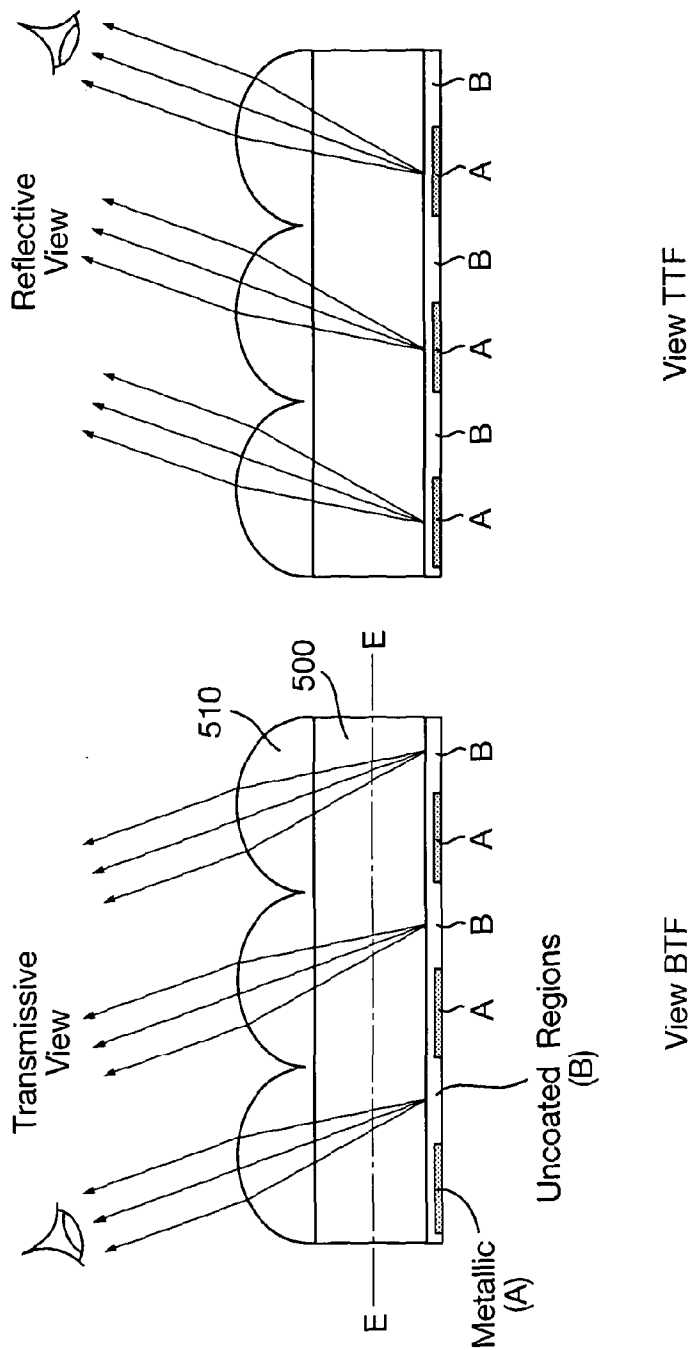


Fig.17b.

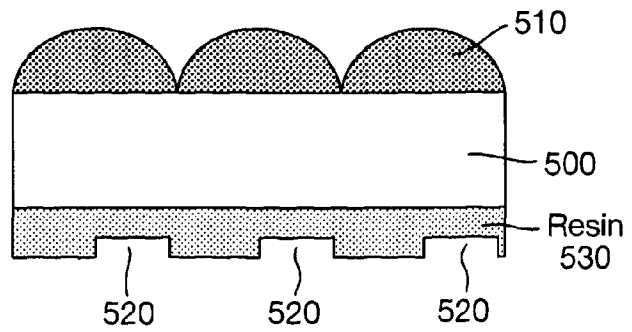


29/37

Fig.18.

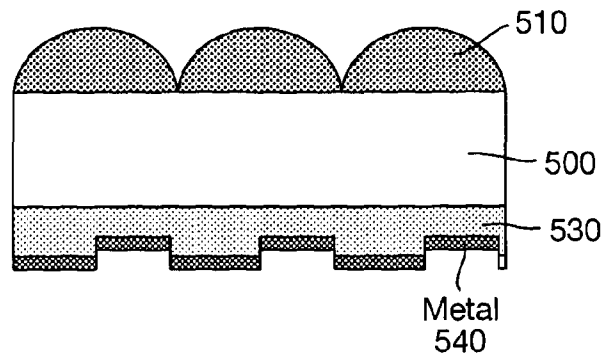
Step 1

Form linear recesses
in resin layer remote
to observer



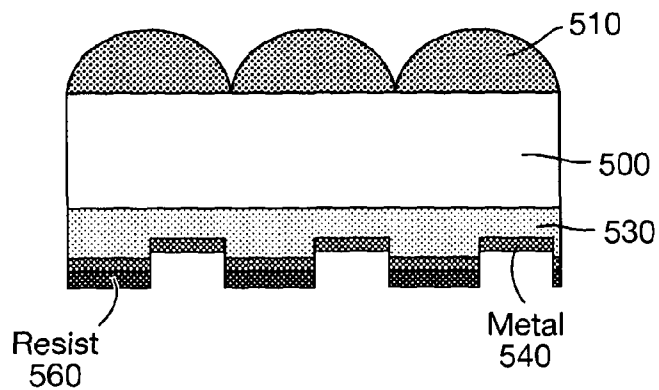
Step 2

Coat surface supporting
linear recesses with
reflection enhancing film



Step 3

Coat land regions with
resist mask.
Method: Offset transfer
from Anilox roller or
litho blanket



Step 4

Immerse structure in
metal etchant.
Remove metal in
unprotected areas

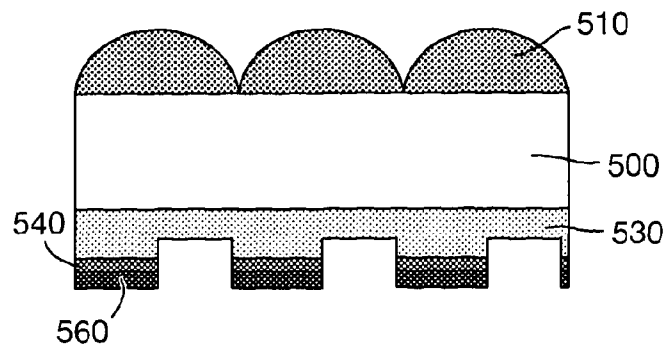


Fig.19.

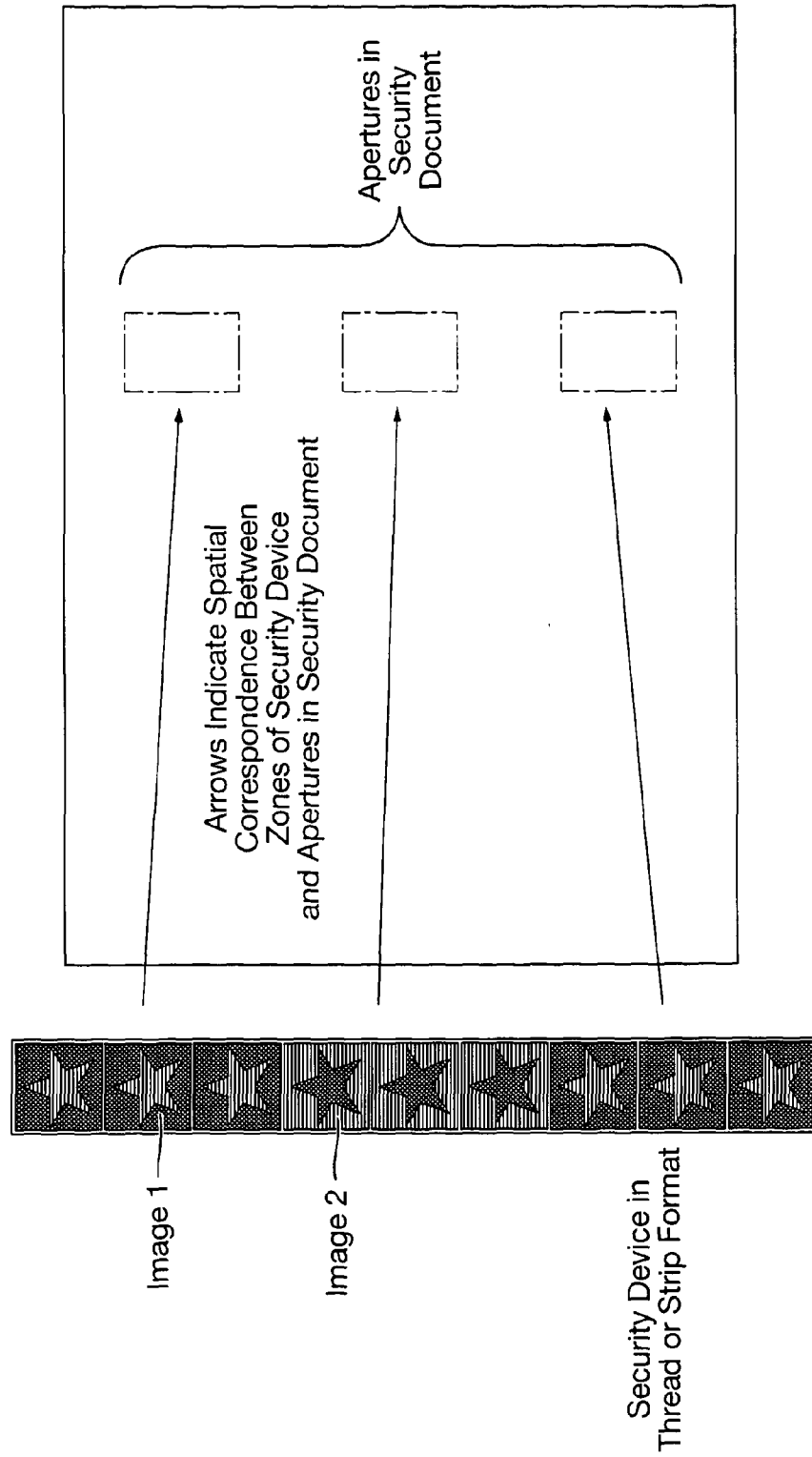
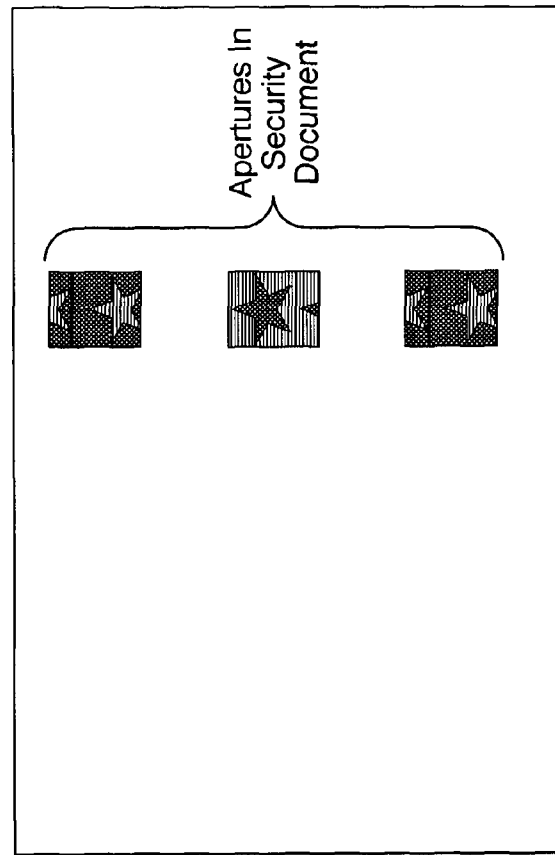
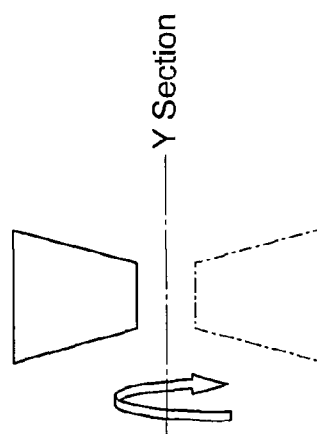


Fig.20.

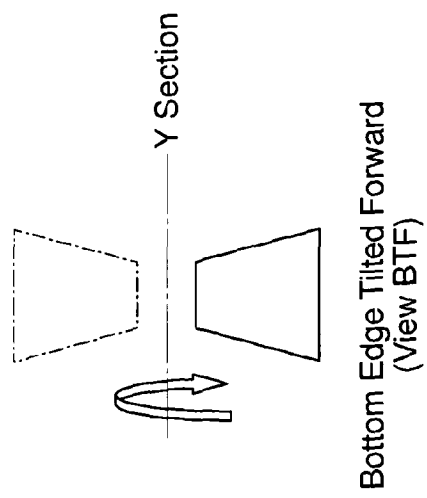
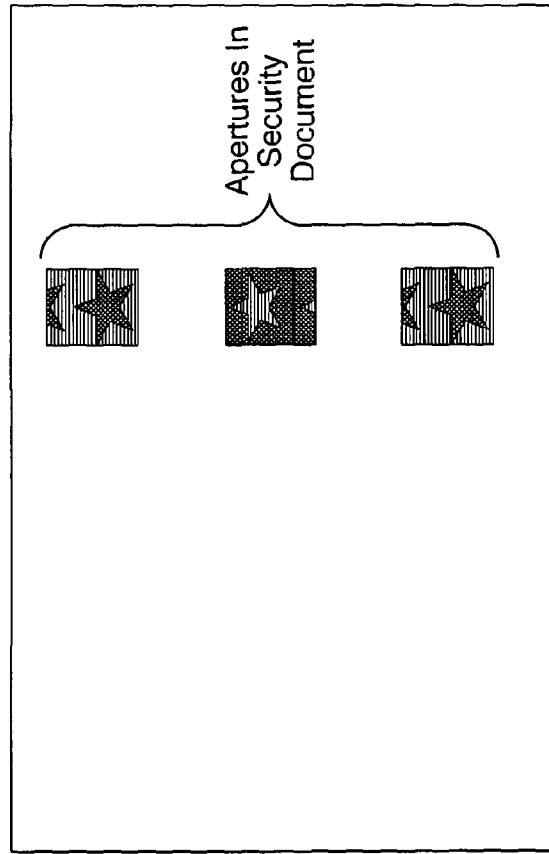


Top Edge Tilted Forward
(View TTF)



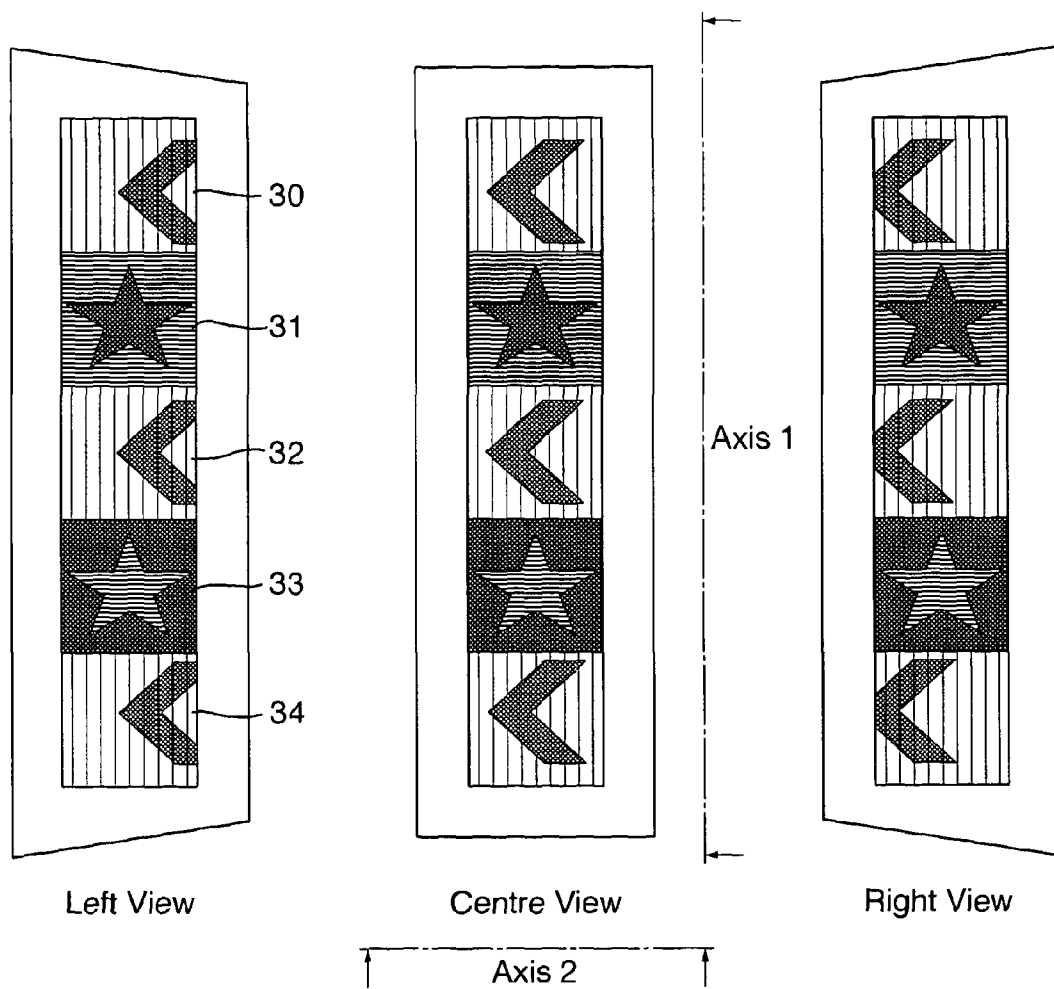
32/37

Fig.21.



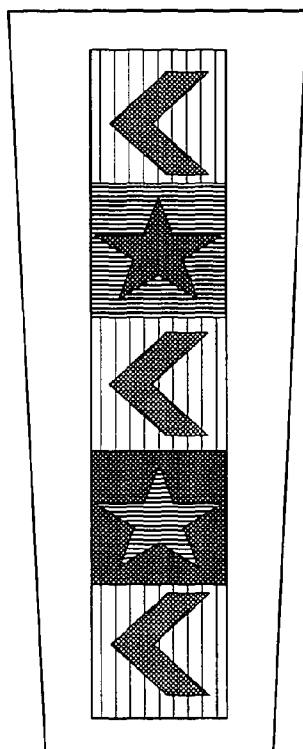
33/37

Fig.22.

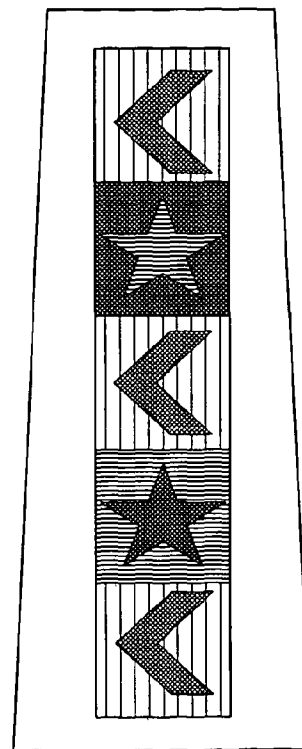


34/37

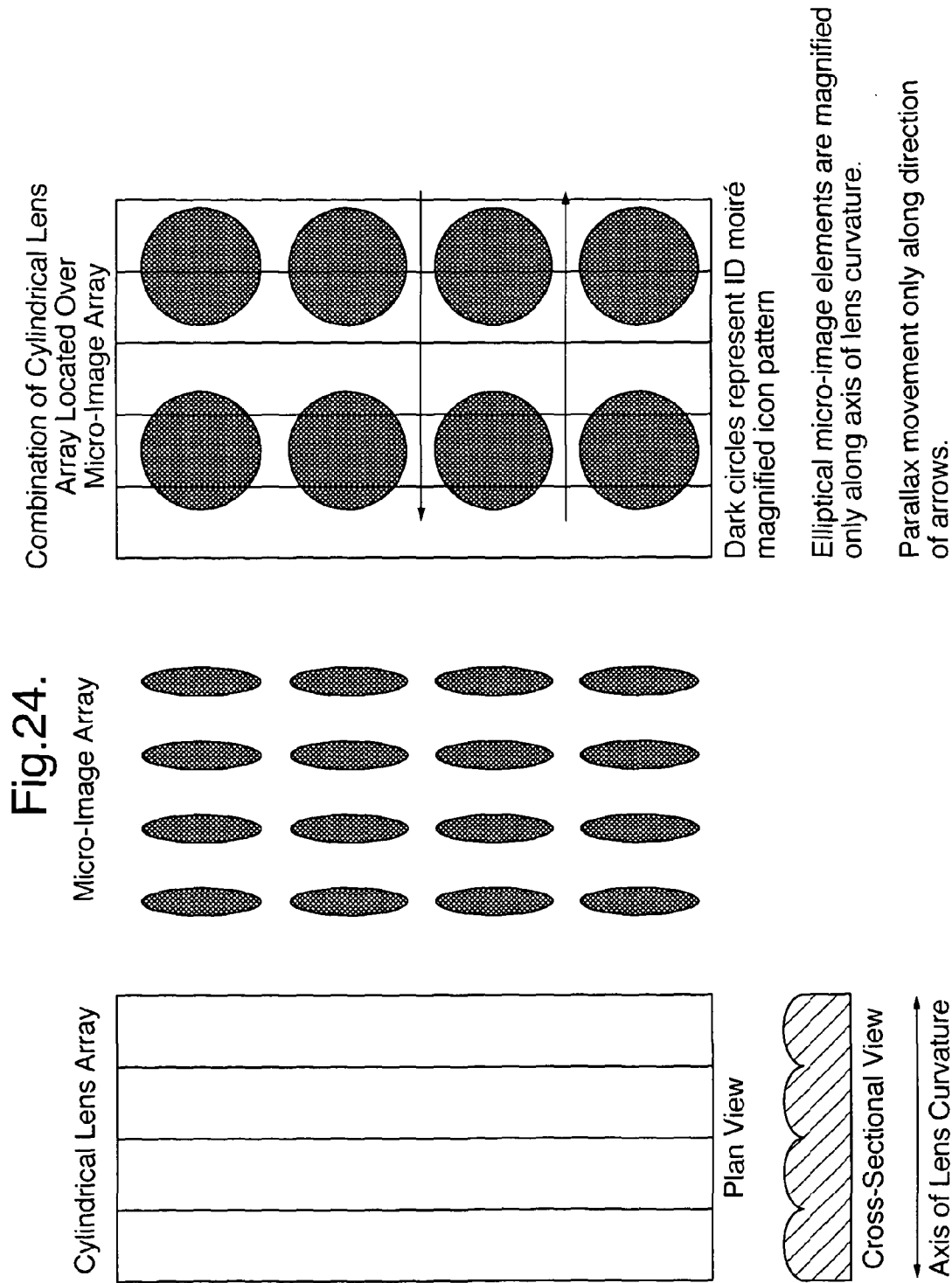
Fig.23.



Device Tilted
Towards Viewer



Device Tilted Away
From Viewer



36/37

Fig.25.

