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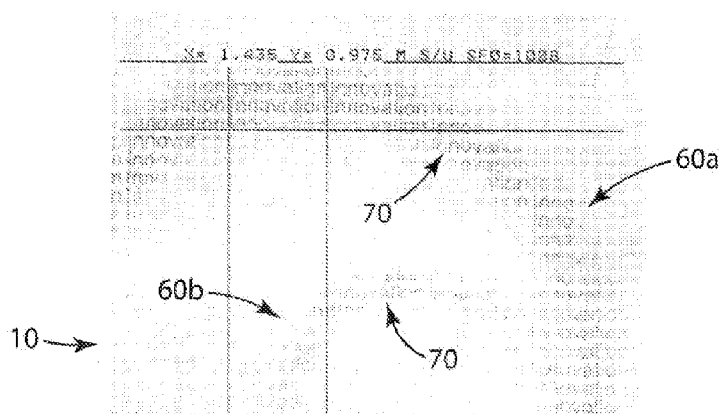


FIG. 10

(57) Abstract: Microlens sheetings with different types of composite images are disclosed, in which the composite image floats above, in, or below the sheeting, or some combination. One type of composite image may be viewable to the unaided eye or an observer and another type of composite image is viewable only to the aided eye of an observer. Methods for providing such an imaged sheeting are also disclosed.



## **SHEETING WITH COMPOSITE IMAGES THAT FLOAT AND METHOD OF FORMING**

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### Field of the Invention

10 The present invention relates to methods of forming images on sheeting that provide at least two composite images, at least one of which is perceived by an observer to be suspended in space relative to the sheeting, and in which at least one of the composite images is viewable to the unaided eye and the other composite image is viewable to the aided eye. The present invention also relates to sheeting that provides at least two composite images, at least one of which is perceived by an observer to be suspended in space relative to the sheeting, and in which at least one of the composite image is viewable to the unaided eye and the other composite images is viewable to the aided eye.

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### Background of the Invention

20 A number of security features have been developed to help authenticate a document of value or article of value, thus assisting in preventing counterfeiters from altering, duplicating or simulating a document of value or article of value. Some of these security features may include "overt" security features or "covert" security features. Overt security features are features that are easily viewable to the unaided eye, such features may include holograms and other diffractive optically variable images, embossed images, and color-shifting films and color shifting inks. An unaided eye may be corrected to normal vision, but is not otherwise assisted by, for example, magnification or a special viewer. In contrast, covert security features include images only visible under certain conditions where the eye is aided, such as inspection under magnification, under specific wavelengths of light or a special viewer.

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30 Sheeting materials having a graphic image or other mark have been widely used, particularly as labels for authenticating an article or document. For example, sheetings such as those described in U.S. Patent Nos. 3,154,872; 3,801,183; 4,082,426; and 4,099,838 have been used as validation stickers for vehicle license plates, and as security films for driver's licenses, government documents, tape cassettes, playing cards, beverage containers, and the like. Other uses include graphics applications for identification

purposes such as on police, fire or other emergency vehicles, in advertising and promotional displays and as distinctive labels to provide brand enhancement.

Another form of imaged sheeting is disclosed in U.S. Patent No. 6,288,842 (Florczak et al.). Florczak et al. discloses microlens sheeting with composite images, in which the composite image floats above or below the sheeting, or both. The composite image may be two-dimensional or three-dimensional. Methods for providing such sheeting, including by the application of radiation to a radiation sensitive material layer adjacent the microlenses, are also disclosed. This patent discloses that images are created as a result of a compositional change, a removal or ablation of the material, a phase change, or a polymerization of the coating disposed adjacent to one side of the microlens layer or layers.

PCT Patent Application Publication, WO 2007/047259, "Methods of Forming Sheeting with a Composite Image that Floats and Sheeting with a Composite Image that Floats," (Endle et al.) discloses methods of forming images on sheeting that provide one or more composite images that are perceived by an observer to be suspended in space relative to the sheeting, and in which the perspective of the composite image changes with the viewing angle. The disclosure also relates to sheeting that provides one or more composite images that are perceived by an observer to be suspended in space relative to the sheeting, and in which the perspective of the composite image changes with the viewing angle.

U.S. Pat. No. 7,333,268 (Steenblik et al.) discloses a film material utilizing a regular two-dimensional array of non-cylindrical lenses to enlarge micro-images, called icons to form a synthetically magnified image through the united performance of a multiplicity of individual lens/icon image systems.

U.S. Patent Nos. 6,222,650 B1 and 6,552,830 B2, "Holographic Authentication Element and Document Having Holographic Authentication Element Formed Thereon", (Long) discloses methods and compositions with non-diffractive micro-graphical features embedded in a surround of diffractive structure, such as a diffraction grating or holographic structure. The patent depends on diffractive or holographic methods and structures to form high resolution text.

### Summary of the Invention

One aspect of the present invention provides a sheeting. In one embodiment of the sheeting, the sheeting comprises: an array of microlenses; a material layer adjacent the array of microlenses; a first donor material in contact with the material layer, wherein the donor material forms at least two individual, partially complete images on the material layer associated with each of a plurality of the microlenses; a first composite image, provided by at least one of the individual images, that appears to the unaided eye to float above or below the sheeting, or both; a second composite image, provided by at least one of the individual images, that appears to the aided eye to float above, in, or below the sheeting, or any combination thereof, wherein the second composite image is aligned with the first composite image.

Another aspect of the present invention provides a method of forming two composite images on a microlens sheeting. In one embodiment of this method, the method comprises the steps of: providing a sheeting having an array of microlenses and a material layer adjacent the array of microlenses; providing a mask adjacent the array of microlenses of the sheeting; providing a first donor substrate adjacent the material layer of the sheeting, wherein the first donor substrate is radiation sensitive; providing a radiation source; transferring at least a portion of the first donor substrate to the sheeting using the radiation source and the mask to form at least two individual, partially complete images on the material layer associated with each of a plurality of the microlenses, whereby the sheeting exhibits a first composite image, provided by at least one of the individual images, that appears to the unaided eye to float above or below the sheeting, or any combination thereof, wherein the sheeting exhibits a second composite image, provided by at least one of the individual images, that appears to the aided eye to float above, in, or below the sheeting, or any combination thereof, wherein the second composite image is aligned with the first composite image.

In another embodiment of this method, the method comprises the steps of: providing a sheeting having an array of microlenses and a material layer adjacent the array of microlenses; providing a first donor substrate adjacent the material layer of the sheeting, wherein the first donor substrate is radiation sensitive; providing a radiation source; transferring at least a portion of the first donor substrate to the sheeting using the radiation source to form individual, partially complete images on the material layer

associated with each of a plurality of the microlenses, whereby the sheeting exhibits a first composite image, provided by the individual images, that appears to the unaided eye to float above or below the sheeting, or any combination thereof; thereafter removing selected portions of the individual, partially complete images using the radiation source to form a second composite image, provided by the individual images, that appears to the  
5 aided eye to float above, in, or below the sheeting, or any combination thereof.

Another aspect of the present invention provides another sheeting. In this particular embodiment, the sheeting comprises: an array of microlenses; a material layer adjacent the array of microlenses; a first donor material in contact with the material layer,  
10 wherein the donor material forms at least two individual, partially complete images formed on the material layer associated with each of a plurality of the microlenses; a first composite image, provided by at least one of the individual images, that appears to the unaided eye to float above or below the sheeting, or any combination thereof; a second composite image, provided by at least one of the individual images, that appears to the  
15 aided eye to float above, in, or below the sheeting, or any combination thereof, wherein the at least one of the individual, partially complete images includes a gaseous void.

#### Brief Description of the Drawings

The invention will be described herein with reference to the appended drawings, in  
20 which:

Figure 1 is an enlarged cross sectional view of one embodiment of a microlens sheeting comprising a plano-convex base sheet;

Figure 2 is an enlarged cross sectional view of one embodiment of an “exposed lens” microlens sheeting;

Figure 3 is an enlarged cross sectional view of one embodiment of an “embedded lens” microlens sheeting;

Figures 4a and 4b schematically illustrate one embodiment of the method in accordance with the present invention using a mask and a first donor sheet;

Figures 5a and 5b schematically illustrate another embodiment of the method  
30 illustrated in Figure 4, except using a mask and a second donor sheet;

Figure 6 schematically illustrates an apparatus for use with the embodiments of the methods illustrated in Figures 4 and 5;

Figure 7A is a plan view of one embodiment of a section of a microlens sheeting depicting sample images recorded in the material layer associated with individual microlenses made by the method of the present invention, and further showing that the recorded images range from complete replication to partial replication of the composite image;

Figure 7B is a plan view of another embodiment of a section of a microlens sheeting, similar to the microlens sheeting of Figure 7A, except illustrating one embodiment of a negative contrast second composite image;

Figure 7C is a plan view of another embodiment of a section of a microlens sheeting, similar to the microlens sheeting of Figure 7A, except illustrating one embodiment of a positive contrast second composite image;

Figure 8 is a photograph of one embodiment of a portion of microlens sheeting illustrating at least two composite images that appear to float above, in or below the sheeting in accordance with the present invention, where one of the composite images is viewable with an unaided eye and the other composite image is viewable with an aided eye;

Figure 9A is a photomicrograph of a portion of the composite images viewable through the lens sheeting with the aid of index matching fluid on the lenses of the microlens sheeting of Figure 8, illustrating individual, partially complete images; which viewed together through the microlenses provide a first composite image that appears to the unaided eye and provide a second composite image that appears to the aided eye;

Figure 9B is a photomicrograph view of the microlens sheeting of Figure 8, illustrating both the first composite image that appears to the unaided eye and the a second composite image that appears to the aided eye;

Figure 10 is a photomicrograph of a portion of the microlens sheeting of Figure 12 using a magnifier to illustrate the composite image that is viewable with an aided eye.

Figures 11 and 12 are photographs of another embodiment of a portion of microlens sheeting illustrating at least two composite images that appear to float above, in or below the sheeting in accordance with the present invention, where one of the composite images appears to move relative to the sheeting as the viewing position changes;

Figure 13 illustrates one example of a negative contrast mask useful with one of the methods in accordance with the present invention;

Figure 14 is a photomicrograph of a positive contrast second composite image that appears to the aided eye, which was formed using a mask similar to that shown in Figure 13;

Figure 14A is a photomicrograph of the portion A of Figure 14 with certain portions outlined to illustrate the individual images under certain microlenses that provide a second composite image that is viewable to the aided eye;

Figure 15 illustrates another example of a portion of a positive contrast mask used with one of the methods in accordance with the present invention;

Figure 16 is a photomicrograph of a negative contrast composite image that appears to the aided eye;

Figure 17 is a photomicrograph of one embodiment of microlens sheeting with a composite image that is an angularly variable array of images;

Figures 18A-D are photomicrographs of a composite image that is an angularly variable array of images, where each photomicrograph illustrates a different viewing position relative to the sheeting;

Figure 19 schematically illustrates the sheeting of the present invention and a magnifier, where the viewing angle moves relative to the sheeting;

Figure 19A schematically illustrates the sheeting of Figure 19 from viewing angle A using the magnifier to view the second composite images;

Figure 19B schematically illustrates the sheeting of Figure 19 from viewing angle B using the magnifier to view the second composite images;

Figure 20 is a geometrical optical representation of the formation of the first composite images that appears to float above the microlens sheeting and the second composite image that appears to float in the sheeting;

Figure 21 is a schematic representation of a sheeting having a first composite image that appears to float above the inventive sheeting when the sheeting is viewed in reflected light;

Figure 22 is a schematic representation of a sheeting having a first composite image that appears to float above the inventive sheeting when the sheeting is viewed in transmitted light;

Figure 23 is a geometrical optical representation of the formation of the first composite images that when viewed will appear to float below the microlens sheeting and the second composite image that appears to float in the sheeting;

Figure 24 is a schematic representation of a sheeting having a first composite image that appears to float below the inventive sheeting when the sheeting is viewed in reflected light;

Figure 25 is a schematic representation of a sheeting having a first composite image that appears to float below the inventive sheeting when the sheeting is viewed in transmitted light;

Figure 26 illustrates one embodiment of the sheeting of the present invention attached to a substrate;

Figure 27 is a schematic representation of a sheeting having a second composite image that appears to float in the inventive sheeting when the sheeting is viewed in light;

Figure 28 is a schematic representation of a sheeting having a second composite image that appears to float in the inventive sheeting when the sheeting is viewed in reflected light;

Figures 29A-C schematically illustrate one embodiment of another method in accordance with the present invention using a first donor sheet and thereafter removing selected portions of the individual, partially complete images to form a second composite image;

Figure 30 is a magnified view of one embodiment of microlens sheeting formed by the method illustrated in Figures 29A-C, illustrating the second composite images ;and

Figure 31 is a magnified view of one embodiment of microlens sheeting formed by the method illustrated in Figures 29A-C, illustrating the reflective characteristics of the sheeting.

#### Detailed Description of the Invention

The microlens sheeting of the present invention and the method of imaging the same produces two types of composite images, which are provided by individual partially complete images and/or individual complete images associated with a number of the microlenses. These composite images appear to be suspended, or to float above, in the plane of, and/or below the sheeting, or any combination thereof. These suspended images



are referred to for convenience as floating images, and they can be located above or below the sheeting (either as two or three-dimensional images), or can be a three-dimensional image that appears above, in the plane of, and below the sheeting. The first type of floating composite image is observed by a viewer with an unaided eye. An unaided eye may be corrected to normal vision, but is not otherwise assisted by, for example, magnification or a special viewer. The second type of floating composite image is not viewable with an unaided eye, but instead is observed by a viewer with an aided eye, for example under magnification. Another way to describe the first type of floating composite image is overt images. Another way to describe the second type of floating image is covert images. (Covert and overt features are described generally in the Background section.) Both types of images can be in black and white or in color, and can appear to move in the same or opposite direction as the observer. Unlike some holographic sheetings, imaged sheeting of the present invention cannot be used to create a replica of itself.

The sheeting, imaged by the methods of the present invention, has composite images as described, which may be used in a variety of applications such as securing tamperproof images in passports, ID badges, event passes, affinity cards, product identification formats, currency, and advertising promotions for verification and authenticity, brand enhancement images which provide a floating or sinking or a floating and sinking image of the brand, identification presentation images in graphics applications such as emblems for police, fire or other emergency vehicles; information presentation images in graphics applications such as kiosks, night signs and automotive dashboard displays; and novelty enhancement through the use of composite images on products such as business cards, hang-tags, art, shoes and bottled products.

The present invention further provides different embodiments of inventive methods of forming imaged sheeting containing the described composite images. In one embodiment, a mask and donor sheet are used to simultaneously create both the first type and second type of composite images. In another embodiment, a donor sheet is used to create the first type of composite images, and thereafter a method of removing certain portions of the first type of composite images is used to form the second type of composite images.

U.S. Patent No. 6,288,842 (Florczak et al.) discloses that floating images on microlens sheeting are created as a result of a compositional change, a removal or ablation of the material, a phase change, or a polymerization of the coating disposed adjacent to one side of the microlens layer or layers. PCT Patent Application Publication, WO 2007/047259 (Endle et al.) discloses the creation of floating images on microlens sheeting by the addition of material onto the microlens sheeting. In contrast to the disclosure in these two references, in one embodiment, a present inventive method creates the first type and second type of floating images referred to above by the addition of the material on the microlens sheeting using a mask. In another embodiment, a present inventive method creates the first type and second type of floating images referred to above by the addition of the material on the microlens sheeting and subsequent removing of such material in particular sections.

Microlens sheeting on which the images of this invention can be formed comprise one or more discrete layers of microlenses with a layer of material adjacent to one side of the microlens layer or layers. For example, Figure 1 illustrates one embodiment of a suitable type of microlens sheeting 10a. This sheeting comprises a transparent base sheet 8 having first and second broad faces, the second face 2 being substantially planar and the first face 11 having an array of substantially spherical or aspherical microlenses 4. A layer of material 14 is optionally provided on the second face 2 of the base sheet 8. The layer of material 14 includes a first side 6 for receiving donor material as described in more detail below. Figure 2 illustrates another embodiment of a suitable type of microlens sheeting 10b. The shape of the microlenses and thickness of the base sheet and their variability are selected such that light appropriate for viewing the sheeting is focused approximately at the first face 6. In this embodiment, the microlens sheeting is an "exposed lens" type of microlens sheeting 10b that includes a monolayer of transparent microspheres 12 that are partially embedded in a material layer 14, which is also typically a bead binder layer, such as a polymeric material. The layer of material 14 includes a first side 6 for receiving donor material as described in more detail below. The microspheres 12 are transparent both to the wavelengths of radiation that may be used to image the donor substrate material (explained in more detail below), as well as to the wavelengths of light in which the composite image will be viewed. This type of sheeting is described in greater detail in U.S. Patent No. 3,801,183, except where the bead bond layer is very thin,

for instance, to the extent where the bead bond layer is only between the beads, or occupying the interstitial spaces between the beads. Alternatively, this type of sheeting can be made by using microspheres of an appropriate optical index for focusing radiation approximately on the first side 6 of the layer of material 14 when the bead bond is of the thickness taught in U.S. Patent No. 3,801,183. Such microspheres include polymethyl methacrylate beads, which are commercially available from Esprix Technologies based in Sarasota, FL.

Figure 3 illustrates another embodiment of a suitable type of microlens sheeting 10c. In this embodiment, the microlens sheeting is an "embedded-lens" type of sheeting 10c in which the microsphere 12 are embedded between a transparent protective overcoat 24, which is typically a polymeric material, and a material layer 14, which is also typically a bead binder layer, such as a polymeric material. The layer of material 14 includes a first side 6 for receiving donor material as described in more detail below. This type of sheeting is described in greater detail in U.S. Patent No. 3,801,183, except that the reflective layer and adhesive would be removed, and the spacing layer 14 is reformulated so as to be less conformal to the curvature of the microspheres.

The microlenses of the sheeting 10 preferably have image forming refractive elements in order for image formation (described in more detail below) to occur; this is generally provided by forming spherically or aspherically shaped features. Other useful materials that provide a gradient refractive index (GRIN) will not necessarily need a curved surface to refract light. The microlenses may have any symmetry, such as cylindrical or spherical, provided real images are formed by the refraction surfaces. The microlenses themselves can be of discrete form, such as round plano-convex lenslets, round double convex lenslets, Fresnel lenslets, diffractive lenslets, rods, microspheres, beads, or cylindrical lenslets. Materials from which the microlenses can be formed include glass, polymers, minerals, crystals, semiconductors and combinations of these and other materials. Non-discrete microlens elements may also be used. Thus, microlenses formed from a replication or embossing process (where the surface of the sheeting is altered in shape to produce a repetitive profile with imaging characteristics) can also be used.

Microlenses with a uniform refractive index of between 1.4 and 3.0 over the visible and infrared wavelengths are preferred and more preferably, between 1.4 and 2.5,

although not required. The refractive power of the microlenses, whether the individual microlenses are discrete or replicated, and regardless of the material from which the microlenses are made, is preferably such that the light incident upon the optical elements will focus on or near the first side 6 of the material layer 14. In certain embodiments, the microlenses preferably form a demagnified real image at the appropriate position on that layer. The construction of the microlens sheeting provides the necessary focusing conditions so that energy incident upon the front surface of the microlens sheeting is approximately focused upon a separate donor layer that is preferably radiation sensitive, which is described in more detail below.

10           Microlenses with diameters ranging from 15 micrometers to 275 micrometers are preferable, though other sized microlenses may be used. Good composite image resolution can be obtained by using microlenses having diameters in the smaller end of the aforementioned range for composite images that are to appear to be spaced apart from the microlens layer by a relatively short distance, and by using larger microlenses for  
15           composite images that are to appear to be spaced apart from the microlens layer by larger distances. Other microlenses, such as plano-convex, spherical or aspherical microlenses having lenslet dimensions comparable to those indicated for the microlenses, can be expected to produce similar optical results. Cylindrical lenses having lenslet dimensions comparable to those indicated for the microlenses can be expected to produce similar  
20           optical results, although different or alternative imaging optics train may be required.

          As noted above, a layer of material 14 in Figures 1, 2 and 3 may be provided adjacent to the microlenses in the microlens sheeting 10. Suitable materials for the material layer 14 in the sheeting 10 include silicone, polyester, polyurethane, polycarbonate, polypropylene, or any other polymer capable of being made into sheeting  
25           or being supported by the base sheet 8. In one embodiment, the sheeting 10 may include a microlens layer and a material layer that are made from different materials. For example, the microlens layer may include acrylates, and the material layer may include polyester. In other embodiments, the sheeting 10 may include a microlens layer and a material layer that are made from the same materials. For example, the microlens and material layer of  
30           the sheeting 10 may be made of silicone, polyester, polyurethane, polycarbonate, polypropylene, polymethylmethacrylate or any other polymer capable of being made into

sheeting, and may be formed by methods of mechanical embossing, replication or molding.

As described in more detail in reference to Figures 4a-b and 5a-b below, in one embodiment of the present inventive method which incorporates a mask 80, individual, partially complete images are formed on the material layer 14 associated with a plurality of microlenses using a donor substrate material, which, when viewed by an observer in front of the microlenses under reflected or transmitted light, provides composite images that appear to be suspended, or float, above, in the plane of, and/or below the sheeting, or any combination thereof. The mask 80 is similar to photolithography masks, also called reticles. In one exemplary embodiment, the mask 80 generally consists of a base material, such as glass or polymer sheeting. A pattern is formed on this sheeting using a technique, such as printing or metallization, followed by photolithography and etching. The areas on this mask are mostly opaque except the imaging wavelength from the radiation source 30 to prevent the donor material 42 from transferring to the material layer 14.

In contrast, areas (portions) 82 are most transparent to the imaging wavelength to enable donor material 42 to transfer to the material layer 14. These opaque areas may consist of features that require an aid such as a magnifier to be observed.

Although other materials may be used, the preferred method for providing such images is to provide a radiation sensitive donor material, and to use radiation to transfer that donor material in a desired manner to provide the individual, partially complete images on the first side of the layer of material. This transfer process could include meltstick, sublimation, additive ablation (material transfer to a substrate by ablating a donor), diffusion and/or other physical material transfer processes.

Suitable radiation sensitive donor material substrates useful for this invention include substrates coated with colorants in a binder, with or without additional radiation sensitive materials. The donor materials could be provided in bulk form or in roll form. As used in reference to the present invention, donor substrate material is “radiation sensitive” if, upon exposure to a given level of radiation, a portion of the donor material exposed transfers or preferentially adheres to a different location. The individual, partially complete images (illustrated in Figures 7A-C, 9A and 14A) are created as a result of an at least partial or complete removal of the radiation sensitive donor substrate material or colorant material from the donor substrate and the subsequent transfer of the donor

substrate material or colorant material to the material layer of the microlens sheeting 10, where the mask 80 and its areas (portions) 82 control where the transfer of the donor substrate material occurs on the material layer 14.

In one embodiment, the donor substrate includes colorants providing color within the visible spectrum, such as pigments, dyes, inks, or a combination of any or all of these to provide color composite floating images, such as those illustrated in Figures 8, 9B, 10, 11 and 12. The pigments or dyes may be phosphorescent or fluorescent. Alternatively, the colorants in the donor materials may also appear metallic. The color of the resulting floating image is generally similar to the color of the colorant in the donor substrate, a condition more likely if the transferred donor substrate components are thermally stable and only small chemical or compositional changes occur upon transfer. Further, the color of the resulting composite floating image may be the same as the color of the colorant in the donor substrate. In yet another embodiment, the donor substrates may include macroscopic patterns of different colorants, such as stripes or zones of different colors throughout the substrate, or multicolored substrates. In alternative embodiments, the donor substrate is not required to include colorants providing color in the visible spectrum, and instead, the resulting composite floating images would appear colorless. Such donor substrates could contain colorless fluorescing dyes or phosphorescent materials, creating composite images visible only during or after exposure to specific wavelengths, or in the case of phosphorescent materials, during and for a duration after exposure to the wavelengths. Alternatively, such donor substrates may contain colorless materials that may or may not have a refractive index different than the material layer 14. A composite image formed from such donor materials may be only slightly visible when viewed in ambient lighting as in Figure 21; however, it may appear to shine brighter than the reflections off of the nonimaged area of surface 6 when viewed with light substantially perpendicular to surface 6, i.e. collimated light. All donor substrates may optionally include additives that increase the substrate sensitivity to imaging radiation and ultimately aid in the transfer of the material, or said substrates may include a reflective and/or absorbing layer underneath at least the colorant to increase absorption of the radiation.

Figure 4a schematically illustrates one embodiment of the method of forming a composite image on the microlens sheeting 10 in accordance with the present invention. The method includes using a radiation source 30. Any energy source providing radiation

of the desired intensity and wavelength may be used as radiation source 30 with the method of the present invention. In one embodiment, radiation devices capable of providing radiation having a wavelength of between 200 nanometers and 11 micrometers are preferred, and more preferably, between 270 nanometers and 1.5 micrometers.

5 Examples of high peak power radiation sources useful for this invention include passively Q-switched microchip lasers, and the family of Q-switched Neodymium doped lasers, and their frequency doubled, tripled, and quadrupled versions of any of these lasers, and Titanium doped-sapphire (abbreviated Ti:sapphire) lasers. Other examples of useful radiation sources include devices that give low peak power such as laser diodes, ion lasers,  
10 non Q-switched solid state lasers, metal vapor lasers, gas lasers, arc lamps and high power incandescent light sources.

For all useful radiation sources, the energy from the radiation source 30 is directed toward the microlens sheeting material 10 and controlled to give a highly divergent beam of energy. For energy sources in the ultraviolet, visible, and infrared portions of the  
15 electromagnetic spectrum, the light is controlled by appropriate optical elements, known to those skilled in the art. In one embodiment, a requirement of this arrangement of optical elements, commonly referred to as an optical train, is that the optical train direct light toward the sheeting material with appropriate divergence or spread so as to produce a “cone” of radiation irradiating the microlenses at the desired angles, thus irradiating the  
20 donor material aligned to said microlenses. The composite images of the present invention are preferably obtained by using radiation spreading devices with numerical apertures (defined as the sine of the half angle of the maximum diverging rays) of greater than or equal to 0.15, although smaller numerical aperture illumination may be used. Radiation spreading devices with larger numerical apertures produce composite images  
25 having a greater viewing angle, and a greater range of apparent movement of the image for the same float height. In alternative embodiments, the optical train may additionally contain elements to prevent radiation in an angular portion or portions of the cone of radiation. The resulting composite image(s) are only viewable over angles corresponding to the unblocked angular sections of the modified cone. Multiple composite images may  
30 be created at separate angular sections of the modified cone if desired. Using the modified cone and its inverse, one can produce a composite image that changes from one color to another as the sheeting 10 is tilted. Alternatively, multiple composite images can be

produced in the same area causing the individual images to appear and disappear as the sheeting 10 is tilted.

Optical trains may be positioned other than normal to the microlens sheeting to produce the composite images of this invention. Further, the angle of the optical train to the microlens sheeting can be valued between imaging events, i.e., the optical train can be positioned at an angle, including normal to the sheeting, during a first transferring of donor material, and the angle of the optical train may remain at the first angle, or be changed to a new angle relative to the sheeting during a subsequent transferring of donor material step, or during removal of selected portions of individual, partially complete images.

Figure 4a also schematically illustrates the use of a mask 80 in one embodiment of the method of forming composite images on the microlens sheeting 10 in accordance with the present invention. The mask 80 is useful for creating the two different types of composite images referenced above, where one type provides overt composite images and the other type provides covert composite images. The mask 80 has certain areas (portions) 82 transparent to the radiation source 30, allowing radiation to pass through the mask 80 to the microlens sheeting 10. Other sections of the mask 80, such as between areas (portions) 82, effectively block such radiation energy from the microlens sheeting 10. The material for mask 80 may be made of mylar or other plastic sheeting or glass. The pattern of transparent areas (portions) 82 in the mask 80 may be formed using silver halide photographic emulsion, metals (such as Cr, Al, Cu), or inks containing materials that absorb the radiation such as c-black. The pattern of transparent areas (portions) 82 can be formed by printing techniques, vacuum metallization followed by photolithography and etching or e-beam ablation, or photolithography of ultraviolet curable inks, and/or by other means known by those skilled in the art.

An exemplary imaging process according to the present invention includes the following steps, as illustrated in Figures 4a and 4b. Figure 4a illustrates the imaging process by the radiation source, and Figure 4b illustrates the resulting sheeting 10 after the imaging process. First, a microlens sheeting 10 is provided, such as the microlens sheeting 10a, 10b, 10c illustrated in Figures 1-3. Figure 4a illustrates the use of microlens sheeting 10a, however, microlens sheeting 10b or 10c may be used in the process. Next, a first donor substrate 40a is provided, such as the donor substrates described above.



Next, the microlens sheeting 10 is positioned adjacent or orientated next to the donor substrate 40a, such that the microlens sheeting 10 is between the radiation source 30 and the donor substrate 40a. In one embodiment, the microlens sheeting 10 and donor substrate 40a are in close proximity to each other, as illustrated in Figure 4a. In another embodiment (not shown), the microlens sheeting 10 and donor substrate 40a are in contact with one another or pressed against each other, for instance by gravity, mechanical means, or pressure gradients produced by a vacuum chuck 36 and vacuum source (not shown). In yet another embodiment (not shown), microstructures may be between the microlens sheeting 10 and donor substrate 40a to provide a generally uniform gap or space between the microlens sheeting 10 and the donor substrate 40a. The microstructures may be independent microstructures that are positioned between the microlens sheeting and the donor substrate. Examples of such independent microstructures include polymethylmethacrylate spheres, polystyrene spheres, and silica spheres, all of which are commercially available from Esprix Technologies based in Sarasota, FL. Alternatively, the microstructures may extend from either the donor substrate towards the microlens sheeting or from the first side of the layer of material in the sheeting. Examples of suitable donor substrates including such microstructures include Kodak™ Approval media and Matchprint Digital Halftone media, commercially available from Kodak Polychrome Graphics located in Norwalk, CT. Suitable microlens sheeting including such microstructures are readily made, such as by replication, by those skilled in the art.

Next, the mask 80 is provided adjacent microlenses sheeting 10. In the illustrated embodiment, the mask 80 is adjacent the microlenses 4 of the sheeting 10 and the donor substrate 40a is adjacent the material layer 14 of the sheeting 10.

Next, the method includes the step of transferring portions of donor material from the first donor material substrate 40a to the first side 6 of the layer of material 14 of the sheeting 10 using mask 80 to form individual, partially complete images on the first side 6 of material layer 14, as illustrated in Figure 4b. In one embodiment of the inventive method illustrated in Figures 4a and 4b, this transfer is obtained by directing collimated light from a radiation source 30 through a lens 32 toward the mask 80 and toward the microlens sheeting 10. The radiation source 30 is focused through the lens 32, transmitted through transparent areas (portions) 82 through the mask 80 and eventually through the microlens sheeting 10 and to the donor substrate 40a. The focal point 34 of the microlens

4 is approximately at the interface between the donor substrate 40a and the first side 6 of material layer 14 in the microlens sheeting 10 as illustrated in Figure 4a. The donor material of substrate 40a absorbs incident radiation near the focal point 34 of the microlenses 4 on sheeting 10a. The absorption of the radiation induces the donor material of donor substrate 40a to transfer to the first side 6 of material layer 14 on sheeting 10a creating image pixels of donor material 42a that comprise the partially complete images corresponding to microlenses 4 of sheeting 10a, as illustrated in Figure 4b. In alternative embodiments of this process where the first side 6 of material layer 14 on sheeting 10a is in close proximity to the donor material 40a or adhered to the donor material 40a, transfer mechanisms such as radiation-induced diffusion and preferential adhesion (melt-stick process) producing image pixels of donor material 42a that comprise the partially complete images corresponding to microlenses 4 of sheeting 10a are also possible. The transferred donor material 42a may have experienced a change in its chemical composition or component concentrations. These individual, partially complete images made from the donor material 42a together provide the composite floating images, which appear either to the unaided eye or aided eye to float above or below the sheeting 10 or both, as described further below.

Because each individual microlens 4 occupies a unique position relative to the optical axis, the radiation impinging on each microlens 4 will have a unique angle of incidence relative to the radiation incident on each other microlens. Thus, the light will be transmitted by each microlens 4 to a unique position on the donor substrate 40a close to focal point 34, and produces a unique image pixel of a partially complete image of donor materials 42a on the first side 6 of the layer of material 14 corresponding to each microlens 4, except where the radiation is blocked by mask 80, as described above. More precisely, a single light pulse produces only a single imaged portion of donor material 42a behind each properly exposed microlens 4, so to provide a partially complete image adjacent each microlens on the first side 6 of the material layer 14 of the sheeting 10. Multiple radiation pulses, or a quickly traversing, continuously illuminating, radiation beam may be used to create the image. For each pulse, the focal point of lens 32 is located at a new position relative to the position of the focal point 34 during the previous pulse relative to the microlensed sheeting. These successive changes in the position of the focal point 34 of the lens 32 relative to the microlenses 4 results in a corresponding change in

the angle of incidence upon each microlenses 4, and accordingly, in the position of the imaged pixel of the partially complete image of donor material 42a created on the material layer 14 of the sheeting 10 with the donor material 42 by that pulse. As a result, the radiation incident on the donor substrate 40a near focal point 34 causes transfer of a selected pattern of the radiation sensitive donor material 42a. Because the position of each microlenses 4 is unique relative to every optical axis, the partially complete image formed by the transferred radiation sensitive donor material 42a for each microlens will be different from the image associated with every other microlens, because each microlens “sees” the incoming radiation from a different position. Thus, a unique image is formed associated with each microlens with the donor material 42a from the donor substrate on the material layer 14.

Another method for forming floating composite images uses a divergence creating target, such as a lens array (not illustrated) placed between the mask 80 and lens 32 to produce the highly divergent light to image the microlensed material. For example, the lens array could consist of multiple small lenses all with high numerical apertures arranged in a planar geometry. This lens array may be similar to or identical to the lens sheeting 80. It may also contain larger or smaller lenses with higher or lower packing density. When the array is illuminated by a radiation source, the array will produce multiple cones of highly divergent light, each individual cone being centered upon its corresponding lens in the array. The physical dimensions of the array are chosen to accommodate the largest lateral size of a composite image. By virtue of the size of the array, the individual cones of energy formed by the lenslets will expose the microlensed material as if an individual lens was positioned sequentially at all points of the array while receiving pulses of light. The selection of which lenses receive the incident light may occur by the use of a second reflective or absorbing mask placed in the laser path 30 above the lens array producing divergent light and above the mask 80 (if in use) allowing radiation to transmit only where the first composite floating image(s) is desired. This will also work if the mask is placed directly below the lens. A separate mask 80 may be used to form a second composite image. A diffractive pattern generator may also be used. The virtual image may also be formed by individually illuminating specific locations of the target with a low numerical aperture radiation beam.

Due to the lateral extent of the lens array, it may not be necessary to use multiple light pulses to trace out the image. By having the second mask 80 fully illuminated by the incident energy, the portions of the mask that allow energy to pass through will form many individual cones of highly divergent light outlining the floating image as if the image was traced out by a single lens 32. As a result, only a single light pulse is needed to form the entire composite image in the microlens sheeting. Alternatively, in place of a reflective mask, a beam positioning system, such as a galvanometric xy scanner, can be used to locally illuminate the lens array and trace the composite image on the array. Since the energy is spatially localized with this technique, only a few lenslets in the array are illuminated at any given time. Those lenslets that are illuminated will provide the cones of highly diverging light needed to expose the microlensed material to form the composite image in the sheetings. Alternatively, a beam positioning system such as a galvanometric xy scanner having an appropriate scan lens may be used to form the composite images.

After imaging, depending on the areas (portions) 82 in the mask 80 and depending upon the desirable viewable size of the composite image, a full or partially complete image(s) formed from the donor material 42a will be present on the first side 6 of material layer 14 of the sheeting 10 behind each sufficiently exposed microlens. The extent to which an image is formed behind each microlens 4 on the material layer 14 depends on the energy incident upon that microlens and the arrangement of the mask 80. Portions of an intended image may be distant enough from a region of microlenses that the radiation incident upon those microlens has an energy density lower than the level of radiation required to transfer corresponding donor material 42. Moreover, for a spatially extended image, when imaging with a fixed Numerical Aperture (NA) lens, not all portions of the sheeting will be exposed to the incident radiation for all parts of the intended image. As a result, portions of the intended image will not result in transferred radiation sensitive material, and only a partial image of the intended image will appear behind those microlenses on the material layer 14. By use of the mask 80, one may create the two different types of composite images, the overt and covert images, which are made up from the individual partially complete images of donor material 42a on the sheeting 10, examples of which are illustrated in Figures 8-12, 14-14A, 16-17, and 18A-D,

In Figure 4b, a first donor substrate 40a and mask 80 were used to create individual partially complete images of donor material 42a on the sheeting 10. After the

sheeting 10 has been imaged using the first donor substrate 40a and mask 80, the first donor substrate 40a and mask 80 may be removed, and replaced with a second donor substrate 40b and new mask 80b, as illustrated in Figure 5a. The method described above and illustrated in Figures 4a and 4b is then repeated as illustrated in Figures 5a and 5b, respectively. The second donor substrate 40b and new mask 80b are used to create images of donor material 42b on the sheeting 10. In one embodiment, the second donor substrate 40b includes a colorant that is different from the colorant in the first donor substrate 40a. This allows a user to form composite images that consists of two different colors. That is, the composite image is multicolored, or has portions that are one color and portions that are a different color. Alternatively, the first and second donor substrates 40a, 40b, could be used to form two separate differently colored composite floating images, for example, as illustrated in Figure 8. Alternatively, the colorants from the first and second donor substrates 40a, 40b may result in a composite image formed from the mixture of the two colorants. In another embodiment, the colorants in the first and second donor substrates 40a, 40b could include the same colorant. Any number of donor substrates 40 may be used to image the microlens sheeting 10 to form any number of floating composite images in a variety of different color combinations on a single sheeting 10. In addition, various masks 80 may be used to assist in forming the second composite images, discussed in more detail below.

Figure 6 illustrates one embodiment of a roll-to-roll apparatus, which is convenient for imaging the microlens sheeting 10 with a first mask 80a and first donor substrate 40a and then imaging the microlens sheeting 10 with a second mask 80b and a second donor substrate 40b. The apparatus includes a first roll 50, a nip roller 68 stationed adjacent the first roll 50, a second roll 54, another nip roller 68 stationed adjacent the second roll 54, and an idle roll 52 between the first roll 50 and second roll 54. Stationed above each roll 50, 54 is a radiation source 30 with an appropriate optical train and accompanying mask 80, as described above. The first donor material 40a wraps around the first roll 50, and the second donor material 40b wraps around the second roll 54. As the microlens sheeting 10 moves through the apparatus, it first is pressed against the first donor substrate 40a and roll 50 and nip 68, as it is imaged by the radiation source 30 and mask 80a in the same manner as described above in reference to Figures 4a and 4b. Next, the sheeting 10 moves from the first roll 50 and consequently, away from the first donor material 40a. Next, the

microlens sheeting 10 continues moving around the idle roll 52 and is pressed against the second donor substrate 40b and roll 54 and nip 68, as it is imaged by the radiation source 30 and mask 80b in the same manner as described above in reference to Figures 5a and 5b. The microlens sheeting 10 is pulled from the second roll 54 and consequently, away from the second donor material 40b. The resulting microlens sheeting 10 will have donor materials from both the first and second donor substrates 40a, 40b transferred onto the first side 6 of the layer of material 14 of the microlens sheeting 10 to create both the first type of composite images and the second type of composite images. The apparatus may include any number of rolls and radiation sources for depositing donor material from multiple donor substrates 40 and multiple masks 80 onto the microlens sheeting 10 to form multiple composite floating images on the sheeting 10.

Figure 7A is a perspective view of a section of a microlens sheeting 10 depicting sample individual, partially complete images 46 formed by the radiation sensitive donor material 42 on the material layer 14 adjacent to individual microlens 4, as viewed from the microlensed side of the microlensed sheeting, and further showing that the recorded images range from complete replication to partial replication. These individual, partially complete images 46 make up both a first type of composite images, which are overt and viewable to a user with an unaided eye, and a second type of composite images, which are covert and viewable to a user with an aided eye. For convenience, the first type of composite images will subsequently be referred to below as "first composite images" and the second type of composite images as "second composite images." Examples of both the first and second composite images 60, 70 are illustrated in Figures 8-12, 14-14A, 16-17, 18A-D and 30.

Figure 7B is a perspective view of a section of a microlens sheeting 10 very similar to the microlens sheeting of Figure 7A, except that this is an example of a sheeting including a negative contrast second composite image 70, formed by the absence of individual, partially complete images 46 formed by the radiation sensitive donor material 42 on the material layer 14 adjacent to individual microlens 4, as viewed from the microlensed side of the microlensed sheeting. The individual, partially complete images 46 form the first composite image 60 and the absence of donor material 42 in the area outlined in the form of a backwards "F" form the second composite image 70. By "negative contrast," as used herein including the claims, it is meant that the areas

surrounding the second composite images are formed from individual, partially complete images 46 of donor material.. In the case illustrated in Figure 15, the letter “F” would appear from the microlens side of the sheeting as clear with a generally donor colored background.

5           Figure 7C is a perspective view of a section of a microlens sheeting 10 very similar to the microlens sheeting of Figure 7B, except that this is an example of a sheeting including a positive contrast second composite image 70 and a first composite image 60, both formed by the individual, partially complete images 46 formed by the radiation sensitive donor material 42 on the material layer 14 adjacent to individual microlens 4, as  
10           viewed from the microlensed side of the microlensed sheeting. The individual, partially complete images 46 form the first composite image 60 and the presence of donor material 42 in the area outlined in the form of a backwards “F” form the second composite image 70. By “positive contrast,” as used herein including the claims, it is meant that areas of the sheeting surrounding the second composite images are devoid of donor material. The  
15           second composite images are formed from individually, partially complete images 46. In the case illustrated in Figure 7C, the letter “F” would appear from the microlens side of the sheeting as donor colored with a generally clear background.

          Figures 8 and 9 show a microlens sheeting 10 imaged according to one embodiment of the method of this invention, using two radiation sensitive donor substrates  
20           40, and one imaging step utilizing mask 80, to create multiple composite images of different colors. Figure 9A is a magnified optical photomicrograph taken after applying index matching fluid to the lens sheeting 10 and viewed looking through the microlens 4 on the sheeting 10 to first side 6 displaying the donor materials on the first side 6 of material layer 14 on sheeting 10 shown in Figure 8. The sheeting 10 includes a first  
25           composite image 60a that floats below the sheeting that appears as the letters “OK” floating below the sheeting 10 in the color of red and a first composite image 60b that appears as a filled in pattern of “3M” floating in the sheeting 10, in the color green, overlapping the “OK.” The microlens sheeting 10 includes other first composite images in the form of “OK” floating below the sheeting and a sine wave floating above the sheeting.  
30           The sheeting 10 also includes a second composite image 70 that appears to float in the sheeting that appears as the word “Innovation” that is shown more clearly in Figure 9B, under magnification. The sheeting 10 was imaged with a first donor substrate having

colorants of red. The sheeting 10 was then imaged with a second donor substrate having colorants of green and a mask 80, a portion of which is displayed in Figure 15.

A portion of the section A that is indicated in Figure 8 corresponds to the first side 6 of material layer 14 viewed through the lenses in Figure 9. Specifically, Figure 9A illustrates a magnified view of the individual, partially complete images 46 that together provide the intersection of the red and green first composite images 60a and 60b that appear to float below and in the sheeting in accordance with the present invention.

The image 46 has two portions, a first portion 64 of red donor material 42a, and a second portion 66 of green donor material 42b. Each image 46 corresponds generally to an individual microlens. The images 46 in Figure 9A range in size from 24.5 to 27  $\mu\text{m}$ , however a range of other sizes are possible.

Figure 9B is a magnified view of the approximate center of sheeting 10 of Figure 8. Specifically, it illustrates how the first composite image 60a of the “3M” has areas composed of the second composite image.

Figure 10 shows a magnified view of microlens sheeting 10 imaged according to one embodiment of the method of this invention, using one radiation sensitive donor substrates 40 to create multiple composite images of the same color and a mask. This magnified view is convenient for describing the second composite images 70 and the relationship between the first composite images 60 and second composite images 70. As mentioned above, the first composite images 60 are viewable to a user with an unaided eye and the second composite images 70 are viewable to a user with an aided eye, in other words with some type of magnifier or special viewer. Examples of suitable magnifiers include: a simple magnifying glass (of 10 $\times$ ), a 10-15 $\times$  loupe, and a low power microscope (10 $\times$ -50 $\times$ ). The first composite images 60a and 60b are in the form of circles. A user could see both 60a, 60b with her unaided eye and verify that the document or object to which the microlens sheeting 10 was attached was authentic. To provide an additional level of protection, a user may use a magnifier to enhance or magnify her view of the first composite image 60a or 60b, as illustrated in Figure 10. Under the magnified view, the user may view the second composite images 70, which are made of the word “Innovation.” As illustrated, the first composite images are in the form of semicircles 60a, 60b and include second composite images 70 in the form of the word “Innovation.” This is one exemplary example of how the second composite images 70 are aligned with the



first composite images 60. By “aligned,” as used herein, including the claims, it is meant there is some relationship between the first composite image and the second composite image, such that when looking at the first composite image under a magnifier, you can view the second composite image.

5 In one exemplary embodiment, the second composite images 70 appear to float in the plane of the microlens sheeting 10. To create this embodiment, the mask 80 is placed adjacent the lens sheeting as indicated in Figure 4a. The radiation source is directed to form the first composite image and the mask 80 simultaneously creates the second composite images 70. The radiation source 30 is focused above or below the lens sheeting 10. Therefore, the first composite image 60 floats above or below the sheeting, 10 corresponding to the location of the radiation focal points. The second composite image 70, formed due to the utilization of mask 80, appears to float in or at the surface of the lens film 10, since the mask areas (portions) 82 are placed adjacent to the lenses of film 10. The areas (portions) 82 may require magnification to visualize in this embodiment, and 15 therefore create features in the film 10 that may require magnification to visualize. However, the second composite images 70 may float above, or below the sheeting, or any combination of floating above, in, or below the sheeting with proper placement and design of the mask 80.

Figure 10 displays a photograph of micrographics formed in alignment with a first 20 composite image 60a in the form of a circle floating above the sheeting 10 and another first composite image 60b in the form of a circle floating below the sheeting 10. Both the circles 60a and 60b and the second composite images 70 are red.

Figure 10 is also an example where the second composite images 70 are in the form of microtext. Microtext is one example of micrographics. Micrographics are small, 25 high resolution graphic features, such as alpha-numeric symbols, characters, or designs that are sized in the range of approximately 25 to 200 micron feature height and 10 to 100 micron line width and are typically viewable under a magnifier having strength of 10x to 50x.

Figures 11 and 12 illustrate an unmagnified view of the microlens sheeting of 30 Figure 10 taken at two different viewing positions relative to the sheeting. Figure 11 was taken an angle of 0° relative to the sheeting. Figure 12 was taken an angle of 6° relative to the sheeting. These Figures are convenient for illustrating how the first floating

composite images 60 appear to move relative to the sheeting as the viewing position changes relative to the sheeting. In this case, as the viewing position changes from Figure 11 to Figure 12, the middle circle 60b appears to move left, where the outer circle 60a appears to move right, as the sheeting 10 is tilted 6° to the right.

5           Figure 13 illustrates one exemplary mask 80 similar to the mask that was used to create the microlens sheeting 10 illustrated in Figures 10 and 14-14A in all aspects but similar dimensions. (A mask with opposite contrast was used for Figure 16.) This negative mask 80 has areas (portions) 82 transparent to visible and near infrared radiation to form an array of the word "Innovation". This mask is an example of a negative mask  
10           used to create positive contrast second composite images. As mentioned above, by "positive contrast," it is meant that the lines of the second composite images are formed from solid lines of the donor color. For the mask illustrated in Figure 13, the word "Innovation" appears in white with a black background. Composite images formed using  
15           this mask will have the first composite image similar in color to the color of the first donor material with word "Innovation," the second composite image also similar to the color of the first donor material.

          Figure 14 is a magnified view of the second composite images 70 in the microlens sheeting, which were formed using the mask illustrated in Figure 13. In this embodiment, the letters appear as red and the background appears as white. This arrangement is created  
20           by using the radiation source to adhere donor material to the microlens sheeting accessible through the areas (portions) 82 of the mask of Figure 13.

          Figure 14A illustrates an even more magnified view of a portion of the microlens sheeting of Figure 14 indicated by portion A, except that Figure 14A is a view from the backside of the microlens sheeting on side 6, opposite the microlens 4. It is possible in  
25           this view to see the individual, partially complete images 46 formed by the radiation sensitive donor material 42 on the material layer 14 adjacent to individual microlens 4. For clarity, some of the adjacent partially complete images 46 have been outlined to help illustrate how they form the complete image of the word "Innovation."

          Figure 15 illustrates another exemplary mask 80 that is used to create the negative  
30           contrast second composite images 70 in Figures 8 and 9B. For the mask illustrated in Figure 15, the word "Innovation" appears black with a generally white background. Composite images formed using this mask will have the first composite image similar to

the color of the first donor material with the second composite image, the word “Innovation” appearing clear (or white).

Figure 16 illustrates the resulting second composite images 70 formed using a mask similar to the mask of Figure 15, and imaging horizontal lines with focal point approximately 6mm above the surface of the lens sheeting 10. In this embodiment, the letters appear as white and the background appears as a red donor color. This arrangement is created by using the radiation source to adhere donor material to the microlens sheeting accessible through the areas (portions) 82 of the mask of Figure 15.

Figure 17 is a less magnified view of Figure 16 depicting the exemplary microlens sheeting 10 of Figure 18 having second composite images 70 of the word “Innovation” and including a first composite image that comprises a pattern defined by the letter “M.”

Figures 18A-D are convenient for illustrating the changing views of the composite images 60, 70 as the viewing angle changes relative to the sheeting 10. Figure 18A illustrates a view of the microlens sheeting of Figure 17 at a viewing angle of 0° relative to the sheeting. Figure 18B illustrates a view of the microlens sheeting of Figure 17 at an angle of 3° relative to the sheeting. Figure 18C illustrates a view of the microlens sheeting of Figure 17 at an angle of 6° relative to the sheeting. Figure 18D illustrates a view of the microlens sheeting of Figure 17 at an angle of 9° relative to the sheeting. Here, the increasing tilt angle from 0° to 9° indicates tilting the top of lens sheeting 10 (i.e., the top of the 3M) away from the viewer..

Figures 18A-D all illustrate a microlens sheeting 10 including a first composite image 60 that comprise a pattern defined by the word “3M.” In reality, the appearance of the stripes are made of first composite images 60 that are aligned to second composite images 70 that are not viewable at the magnification displayed in Figure 18A-D. This microlens sheeting 10 includes second composite images 70 that are an angularly variable array of images. In other words, the array of images viewable to a user depends on the angle of the view relative to the sheeting, and as a consequence, the image changes or is variable as the user changes their angle of view relative to the sheeting. As the view relative to the sheeting changes, the image that is seen changes. Figure 19 illustrates one example of how the view relative the sheeting may change. The user or viewer is first in position A relative the sheeting, and then moves to position B that is in a different position

relative to the sheeting. As the user is looking through a magnifier 84, their view of the second composite images 70 changes, depending on their view.

Dotted lines B and C have been added to the Figures 18A-18D to help provide clarity in how the first composite image 60 changes with each view. In the first viewing angle illustrated in Figure 18A, the bottom of the “3M” appears to be lined up with the dotted line C, whereas the top of the “3M” appears to be some distance away from the dotted line B. In the next viewing angle illustrated in Figure 18B, the bottom of the “3M” appears to be moving away from the dotted line C, whereas the top of the “3M” appears to be moving toward the dotted line B. In the next viewing angle illustrated in Figure 18C, the bottom of the “3M” appears to be some distance away from the dotted line C, whereas the top of the “3M” appears to be more closely lined up with the dotted line B. And, in the last viewing angle illustrated in Figure 18D, the bottom of the “3M” appears to be some distance away from the dotted line C, whereas the top of the “3M” appears to be lined up with the dotted line B. This movement is as would be expected for an image located above the sheeting and tilted away from the viewer as previously described. If the first composite images were floating below sheeting 10, the stripes would appear to move in the reverse direction.

Figures 19A and 19B schematically illustrate one embodiment of an angularly variable array of second composite images 70, where in this specific embodiment, the text appears to be scrolling as the user continues to view the sheeting as he moves from position A to position B. By the term “scrolling” as used herein, including the claims, the displayed text or graphics appears to move up, down, or across as the section is viewed by the user. In Figure 19A, there are two second composite images 70a, 70b, in the form of the text “ABCDE.”

In the first view, illustrated by Figure 19A, as viewed from position A relative to the sheeting through the magnifier 84, the user is able to view the entire ABCDE of the second composite image 70a, and the bottom portion of the ABCDE of the second composite image 70b is cut off from their view. After moving to the second view, illustrated by Figure 19B, as viewed from position B relative to the sheeting through the magnifier 84, the user is able to view the entire ABCDE of the second composite image 70b, and the top portion of the ABCDE of the second composite image 70a is cut off from their view. As the viewer moves from position A to position B, her view relative to the

sheeting changes and it appears to the user that the text is moving or scrolling in a particular manner. The direction of the scrolling relative to the tilting of the sheeting is dependant on whether the first composite image is floating above or below the sheeting. The rate at which the scrolling occurs is dependent on the magnitude of the float. The larger in magnitude the floating height of the composite image is above or below the lens sheeting 10, the higher the rate of scroll as the viewing angle of the sheeting is changed.

Overall, the composite floating images 60 and 70 can also be thought of as the result of the viewing of many images 46, through lens sheeting 10, each lens of lens sheeting having different perspectives of two real objects. The first forming the first composite image 60 and the second forming the second composite image 70 defined by the mask 80. The many unique images are formed through an array of miniature lenses, all of which “see” the objects or images from a different vantage point. Behind the individual miniature lenses, a perspective of the images are created by the donor material on the material layer that depends on the shape of the images and the direction from which the imaging energy source was received. In some embodiments of the method of the present invention, only that portion of the image or object seen by the lens that has sufficient energy to result in the transfer of some of the radiation sensitive donor material will be recorded. Portions of the image or object that correlate to the lens being exposed to a correspondingly greater energy level may generally result in a greater amount of donor material being transferred, i.e. may result in the transferred donor material forming images 46 having a greater elevation above the first side 6 of the material layer 14 of the sheeting 10.

The “object” to be imaged is formed through the use of an intense radiation source by either tracing the outline of the “object” or by the use of a mask defining the first composite image. For the image thus recorded to have a composite aspect, the light from the object must radiate over a broad range of angles. When the radiation from an object is coming from a single point of the object and is radiating over a broad range of angles, all the radiation rays are carrying information about the object, but only from that single point, though the information is from the perspective of the angle of the radiation ray. Now consider that in order to have relatively complete information about the object, as carried by the radiation rays, light must radiate over a broad range of angles from the collection of points that constitute the object. In this invention, the range of angles of the

radiation rays emanating from an object is controlled by optical elements interposed between the radiation source and the microlens sheeting. These optical elements are chosen to give the optimum range of angles necessary to produce the composite images. The best selection of optical elements results in a cone of radiation whereby the vertex of the cone terminates at the position of the object.

Geometric optics will be used to describe the formation of various composite images according to the present invention. As noted previously, the imaging processes described below are preferred, but not exclusive, embodiments of the invention.

As noted above, a preferred manner of providing the image patterns on the layer of material adjacent the microlenses is to use a radiation source and a mask to transfer a radiation sensitive donor material which is placed adjacent the material layer of the microlens sheeting to form an image on the material layer.

#### A. Creating a Composite Image That Floats Above the Sheeting

Referring to Figure 20, incident radiation 100 (light, in this example) is directed and collimated by optics 102 that directs the light 100b towards a diverging lens 105a. From the diverging lens, the light rays 100c diverge toward the mask 80 and microlens sheeting 10.

The energy of the light rays is transmitted through transparent areas (portions) 82 by the mask 80 and impinging upon the microlens sheeting 10 is focused by the individual microlens 4 approximately at the interface between the material layer 14 and a donor substrate (not shown). This focused radiation results in the transfer of at least a portion of the radiation sensitive material and/or the colorant in the donor substrate to provide images 46 on the surface 6 of material layer 14, the size, shape, and appearance of which depends on the interaction between the light rays, the microlenses, and the radiation sensitive donor substrate.

The arrangement shown in Figure 21 would provide a sheeting having a composite image that appears to an observer to float above the sheeting as described below, because diverging rays 100c, if extended backward through the lens, would intersect at the focal point 108a of the diverging lens. Stated differently, if a hypothetical "image ray" were traced from the material layer through each of the microlenses and back through the

diverging lens, they would meet at 108a, which is where a portion of the composite image appears.

B. Viewing a Composite Image That Floats Above the Sheeting

5 A sheeting that has a composite image may be viewed using light that impinges on the sheeting from the same side as the observer (reflected light), or from the opposite side of the sheeting as the observer (transmitted light), or both. Figure 21 is a schematic representation of a composite image that appears to the unaided eye of an observer A to float above the sheeting when viewed under reflected light. An unaided eye may be  
10 corrected to normal vision, but is not otherwise assisted by, for example, magnification or a special viewer. When the imaged sheeting is illuminated by reflected light, which may be collimated or diffuse, light rays are reflected back from the imaged sheeting in a manner determined by the donor material 42 in the individual images 46 struck by the light rays. By definition, the images formed by the donor material 42 appear different  
15 than the non-imaged portions of the material layer 14 where no donor material 42 is present, and thus an image can be perceived.

For example, portions (e.g. a specific wavelength range) of the light L1 may be reflected by the donor material 42 back toward the observer, the summation of which creates a colored composite image that appears to float above the sheeting, a portion of  
20 which is shown at 108a. In short, specific portions of the visible electromagnetic spectrum can be reflected from the imaged portions 46 or reflected from a laminate substrate such as a passport (not shown) and absorbed or scattered by imaged portions 46, which means that a portion of a colored composite image will be apparent at 108a. However, the donor material 42 may not reflect light L2 back toward the observer well, or  
25 at all, or it may significantly absorb light reflected from a laminate surface and subsequently transmitted through the donor material 42. Thus, the observer may detect the absence of light rays at 108a, the summation of which creates a black composite image that appears to float above the sheeting, a portion of which appears at 108a. In short, light may be partially reflected from the entire sheeting or highly reflected from a laminate  
30 behind the sheeting except the imaged portions 46, which means that a relatively dark composite image will be apparent at 108a.

It is also possible that the imaged material 42 would reflect or partially absorb incident light, and a dark laminate (not shown) placed adjacent to the imaged portions 46 would absorb the light to provide the contrast effect required to provide a composite image. The composite image under those circumstances would appear as a relatively bright composite image in comparison to the remainder of the sheeting with laminate (not shown), which would appear relatively dark. Various combinations of these possibilities can be selected as desired.

Certain imaged sheetings can also be viewed by transmitted light, as shown in Figure 12. For example, when the imaged portions of the donor material 42 on the material layer 14 are translucent and absorb portions of the visible spectrum, and the nonimaged portions are transparent or translucent, but highly transmissive, then some light L3 will be selectively absorbed or reflected by the donor material 42, and directed by the microlenses toward the focal point 108a. The composite image will be apparent at the focal point, where it will, in this example, appear darker and colored compared to the remainder of the sheeting.

### C. Creating a Composite Image That Floats Below The Sheeting

A composite image may also be provided that appears to be suspended on the opposite side of the sheeting from the observer. This floating image that floats below the sheeting can be created by using a converging lens instead of the diverging lens 105 shown in Figure 20. Referring to Figure 23, the incident energy 100 (light, in this example) is directed and collimated in a collimator 102 that directs the light 100b toward a converging lens 105b. From the converging lens, the light rays 100d are incident on the mask 80 and microlens sheeting 10, which is placed between the converging lens and the focal point 108b of the converging lens.

The energy of the light rays impinging upon the microlens sheeting 10 is focused by the individual microlens 4 approximately into the interface area between the material layer 14 and a radiation sensitive donor substrate (not shown). This focused radiation transfers a portion of the radiation sensitive material in the donor substrate to provide images 46 made from the donor material 42, the size, shape, and appearance of which depends on the interaction between the light rays, the microlens sheeting, and the donor substrate. The arrangement shown in Figure 23 would provide a sheeting 10 having a



composite image that appears to an observer to float below the sheeting as described below, because converging rays 100d, if extended through the sheeting, would intersect at the focal point 108b of the diverging lens. Stated differently, if a hypothetical “image ray” were traced from the converging lens 105b through each of the microlens and through the images on the material layer formed from the donor material 42 associated with each microlens, they would meet at 108b, which is where a portion of the composite image appears.

#### D. Viewing a Composite Image That Floats Below the Sheeting

Sheeting having a composite image that appears to float below the sheeting can also be viewed in reflected light, transmitted light, or both. Figure 24 is a schematic representation of a composite image that appears to float below the sheeting when viewed under reflected light. For example, portions of the visible spectrum of light L5 may be reflected by the donor material 42 on the material layer 14 back toward the observer. Thus, the observer may detect the presence of colored light rays which appear to originate from 108b, the summation of which creates a colored composite image that appears to float below the sheeting, a portion of which appears at 108b. In short, light may be reflected primarily from the imaged portions 46, which means that a darker colored composite image will be apparent at 108b. Alternatively, the incident light may be reflected by a laminate behind the material layer, portions of which are subsequently absorbed or scattered by the donor material 42, and travel back toward the observer. Thus, the observer may detect the presence of colored light rays which appear to originate from 108b, the summation of which creates a colored composite image. In short, light may be reflected from a laminate behind the material layer and absorbed by imaged portions 46, which means that a darker colored composite image will be apparent at 108b.

It is also possible that the laminate behind the material layer would absorb incident light, and that the donor material 42 would reflect or partially absorb incident light, respectively, to provide the contrast effect required to provide a composite image. The composite image under those circumstances would appear as a relatively bright composite image in comparison to the remainder of the sheeting, which would appear relatively dark. Various combinations of these possibilities can be selected as desired.

Certain imaged sheetings can also be viewed by transmitted light, as shown in Figure 25. For example, when the imaged portions on the material layer 14 of donor material 42 are translucent and color absorbing and the nonimaged portions where no donor material 42 is present are transparent, then specific portions of the visible spectrum of light L7 will be absorbed or reflected by the donor material 42, while transmitted light L8 will be passed through the remaining portions on the material layer. The extension of those rays, referred to herein as “image rays,” back in the direction of the incident light results in the formation of a composite image, a portion of which appears at 108b. The composite image will be apparent at the focal point, where, it will, in this example, appear darker and colored while the sheeting appears transparent.

Alternatively, if the imaged portions of donor material 42 on the material layer 14 are not translucent but the remainder of the material layer 14 is, then the absence of transmitted light in the areas of the images will provide a composite image that appears darker than the remainder of the sheeting.

Figure 26 illustrates the sheeting 10 of Figure 21 adhered to a substrate or laminate 120. The sheeting 10 may be attached to substrate 80 by a layer of adhesive 140, as illustrated. Alternatively, the sheeting 10 may be integrally formed or embedded into substrate 120. The substrate 120 could be a document, a sign, an identification card, a container, currency, a display, a credit card, or any other form of substrates. The sheeting 10 attached to the substrate 120 could be used for advertising, decoration, authentication, identification purposes, or for any other intended purpose. The substrate 120 may include additional information 122, which may be printed on the substrate 120, which may also be viewable by an observer in addition to the composite image 108a. For example, portions (e.g. a specific wavelength range) of the light L9 may be reflected by the substrate 120 back toward the observer. Light L10 may be reflected off the transferred donor material 42 making the composite image visible to the viewer, along with the embedded or covered graphics 122. The substrate 120 may be translucent, or opaque, or any combination thereof. In another embodiment, the microlens sheeting 10 may include portions with microlens and portions without microlens. The portion without microlenses may be a window for viewing other portions of the microlens sheeting 10 or for viewing portions of a substrate that the microlens sheeting is attached to. Alternatively, the window could include microlenses and the portion around the microlenses may not include microlenses.

### E. Viewing a Second Composite Image

A sheeting 10 that has a second composite image 70 may be viewed using light that impinges on the sheeting 10 from the same side as the observer (reflected light), or from the opposite side of the sheeting as the observer (transmitted light), or both.

Figure 27 is a schematic representation of a second composite image Figure 108c that appears to the aided eye of an observer A to float primarily in the sheeting when viewed under reflected light. In Figure 27, a magnifying lens 84 is used to view the second composite image. When the imaged sheeting is illuminated by reflected light, which may be collimated or diffuse, light rays are reflected back from the imaged sheeting in a manner determined by the donor material 42 in the individual images 46 struck by the light rays. By definition, the images formed by the donor material 42 appear different than the non-imaged portions of the material layer 14 where no donor material 42 is present, and thus an image can be perceived, and the second composite image can be identified by the user using a magnifier 84.

For example, portions (e.g. a specific wavelength range) of the light may be reflected by the donor material 42 back toward the observer, the summation of which creates a composite image that appears to float in the sheeting, a portion of which is shown at 108c. In short, specific portions of the visible electromagnetic spectrum can be reflected from the imaged portions 46 or reflected from a laminate substrate such as a passport (not shown) and absorbed or scattered by imaged portions 46, which means that a portion of a composite image will be apparent at 108a. However, the donor material 42 may not reflect light back toward the observer well, or at all, or it may significantly absorb light reflected from a laminate surface and subsequently transmitted through the donor material 42. Thus, the observer may detect the absence of light rays at 108c, the summation of which creates a dark colored composite image that appears to float in the sheeting, a portion of which appears at 108c. In short, light may be partially reflected from the entire sheeting or highly reflected from a laminate behind the sheeting except the imaged portions 46, which means that a relatively dark composite image will be apparent at 108c.

It is also possible that the imaged material 42 would reflect or partially absorb incident light, and a dark laminate (not shown) placed adjacent to the imaged portions 46

would absorb the light to provide the contrast effect required to provide a composite image. The composite image under those circumstances would appear as a relatively bright composite image in comparison to the remainder of the sheeting with laminate (not shown), which would appear relatively dark. Various combinations of these possibilities can be selected as desired.

Certain imaged sheetings can also be viewed by transmitted light, as shown in Figure 28. For example, when the imaged portions of the donor material 42 on the material layer 14 are translucent and absorb portions of the visible spectrum, and the nonimaged portions are transparent or translucent, but highly transmissive, then some light will be selectively absorbed or reflected by the donor material 42, and directed by the microlenses through the magnifier toward the focal point 108c. The composite image will be apparent at the focal point, where it will, in this example, appear darker and colored compared to the remainder of the sheeting.

Figures 29A-C illustrate schematically another embodiment of the method of the present invention for forming two composite images on a microlens sheeting. In this embodiment, there is a two-part process, first where a portion of the donor substrate is transferred to the sheeting to form individual, partially complete images on the material layer associated with each of a plurality of the microlenses to create a first composite image, and thereafter removing selected portions of the individual, partially complete images using a radiation source to form a second composite image that is also provided by the individual images. The first part of the process is illustrated in Figure 29A and the second part of the process is illustrated in Figures 29B-C.

The first part of the process illustrated in Figure 29A is principally like the process described in PCT Patent Application Publication, WO 2007/047259, "Methods of Forming Sheet with a Composite Image that Floats and Sheet with a Composite Image that Floats," (Endle et al.), the entirety of which is hereby incorporated by reference. Specifically, Figures 4a-b, 5a-b, and 6 of WO 2007/047259 broadly describe the process for transferring donor substrate material to the sheeting to form individual, partially complete images on the material layer associated with each of a plurality of the microlenses to create a first composite image.

The second part of the process involves removing selected portions of the individual, partially complete images using the radiation source to form a second

composite image. Figure 29B illustrates one exemplary embodiment of the step of removing different portions of the donor material 42 forming the individual partially complete images 46 with use of a radiation source. Figure 29C shows the result of the step illustrated in Figure 29B. However, other methods of removing are contemplated, such as using patterned adhesive.

As illustrated in Figure 29B, one embodiment of the method includes using a radiation source 92. The radiation source may be used to ablate selected portions of the transferred donor material 42a. Any energy source providing radiation of the desired intensity and wavelength may be used as radiation source 92 with the method of the present invention, so long as the donor material absorbs it. In one embodiment, radiation devices capable of providing radiation having a wavelength of between 270 nanometers and 11 micrometers are preferred, and more preferably, between 270 nanometers and 1.5 micrometers. Examples of high peak power radiation sources useful for this invention include passively Q-switched microchip lasers, the family of Q-switched Neodymium doped lasers, and their frequency doubled, tripled, and quadrupled versions of any of these lasers, and Titanium doped-sapphire (abbreviated Ti:sapphire) lasers. Other examples of useful radiation sources include devices that give low peak power such as laser diodes, ion lasers, non Q-switched solid state lasers, metal vapor lasers, gas lasers, arc lamps and high power incandescent light sources.

For all useful radiation sources, the energy from the radiation source 92 is directed toward the microlens sheeting material 10 and focused at or near to the top surface 6 of the sheeting 10. For energy sources in the ultraviolet, visible, and infrared portions of the electromagnetic spectrum, the light is controlled by appropriate optical elements, known to those skilled in the art. In one embodiment, a requirement of the arrangement of the optical elements, commonly referred to as an optical train, is that the optical train direct light toward the sheeting material and focused at or near to the surface of the sheeting. The composite images of the present invention are preferably obtained by using radiation spreading devices with numerical apertures (defined as the sine of the half angle of the maximum diverging rays) of greater than or equal to 0.15, although smaller numerical aperture illumination may be used. In alternative embodiments, the optical train may additionally contain elements to prevent radiation in an angular portion or portions of the cone of radiation. The resulting composite image(s) are only viewable over angles

corresponding to the unblocked angular sections of the modified cone. Multiple composite images may be created at separate angular sections of the modified cone if desired. Alternatively, a mask (not illustrated) may be used between the radiation source and the microlens sheeting 10 to provide a more precise control of radiation directed to sheeting 10. (Figure 30 described in more detail below is a magnified view of a sheeting that is the result of this method including the optional mask described.)

Figure 29C illustrates a microlens sheeting 10 after the radiation source 92 has removed selected portions of the donor material 42a on the first side 6 of the layer of material 14. The portions that were removed form the second composite images 70 illustrated in previous Figures. In this case, the absence of donor material between the two remaining donor materials 42a forms a negative contrast second composite image. One exemplary embodiment of removing the donor material is to ablate the portions using the radiation source. By ablate, it is meant to remove or dissipate by melting, vaporizing or erosion.

One advantage of the ablative process described above is the ability to conduct the first part of the process, where portions of donor material are transferred to the sheeting, and the second part of the process, where portions of donor material are removed from the sheeting, at different locations. This allows the flexibility and convenience of creating semi-finished sheeting in one location, and thereafter, finishing the semi-finished sheeting by creating desired second composite images at another location.

Both first and second composite images formed by the process described relative to Figures 29A-C have the same attributes described above as the composite images formed by the process described relative to Figures 4 A-B and 5A-B. For example, how preferably the second composite is aligned with the first composite image, the second composite images may be either positive contrast or second contrast second composite images, the second composite image may be an angularly variable array of images or appear to scroll relative to the sheeting, etc.

Figure 30 is a magnified view of a microlens sheeting 10 imaged by the process schematically illustrated by Figures 29A-C, including the optional mask described above. The microlens sheeting 10 illustrates multiple second composite images 70a, 70b in the form of the word "Innovation." In this embodiment, the second composite images are negative contrast second composite images.

Figure 31 illustrates a magnified view of a microlens sheeting that was formed using the ablative process described above. The ablative process may be controlled or specific materials may be selected to cause the radiation source to remove material in the sheeting (or other layers under the lenses) thereby forming gaseous voids 90 under the lenses.

During the ablation process, the donor material absorbs energy from the laser pulse focused by the lens array 94. The duration of this pulse is very short (generally less than 100ns). The extremely short pulse length and/or high pulse energy causes the portions of donor material and surrounding materials upon which the laser energy is focused to become superheated. The donor material, polymer, and any locally volatile material vaporize and/or melt and deform rapidly forming a void or "bubble" 90 in the polymer. This gaseous void 90 is very near to the lens focal point and the gases contained within have a refractive index very close to 1.0. The low index void has an interface with the polymer (refractive index  $\sim 1.5$ ) near the focal point of the lens. This optically aligns the lens with a partially reflective interface. When viewing the imaged lens sheeting with visible light traveling the same path as the laser (i.e. generally, but not necessarily, using a retroviewer) a reflective scattering feature is observed relative to the background, enhancing the contrast of the microprinted feature, as illustrated in Figure 31.

Lenco dyes or other dyes in the donor materials that change color when heated or absorb in particular wavelengths may be used in this material forming a second composite image different in color from the first composite image. Composite images made in accordance with the principles of the present invention may appear to be either two-dimensional, meaning that they have a length and width, and appear either below, or in the plane of, or above the sheeting, or three-dimensional, meaning that they have a length, width, and height. Three-dimensional composite images may appear below or above the sheeting only, or in any combination of below, in the plane of, and above the sheeting, as desired. The term "in the plane of the sheeting" refers only generally to the plane of the sheeting when the sheeting is laid flat. That is, sheeting that isn't flat can also have composite images that appear to be at least in part "in the plane of the sheeting" as that phrase is used herein.

Three-dimensional composite images do not appear at a single focal point, but rather as a composite of images having a continuum of, or discrete focal points, with the

focal points ranging from one side of the sheeting to or through the sheeting to a point on the other side. This is preferably achieved by sequentially moving either the sheeting or the radiation source relative to the other (rather than by providing multiple different lenses) so as to transfer the donor material adjacent the material layer at multiple focal points to produce images 46 on the surface 6 of material layer 14. The resulting spatially complex image essentially consists of many individual dots. This image can have a spatial extent in any of the three cartesian coordinates relative to the plane of the sheeting.

In another type of effect, a composite image can be made to move into a region of the microlensed sheeting where it disappears. This type of image is fabricated in a fashion analogous to the floating image examples with the addition of placing an opaque mask in front of the microlensed materials to partially block the imaging light for part of the microlensed material. When viewing such an image, the image can be made to move into the region where the imaging light was either reduced or eliminated by the contact mask. The image seems to “disappear” in that region.

In another type of effect, a composite image can be made to change color as viewing angle is changed. This type of image is fabricated in one of several ways, such as blocking an angular portion of the imaging radiation cone for the first donor. The same virtual image is then re-imaged with a second donor with a different colorant, blocking only the portion of the previously unblocked cone.

Images formed by the process of this invention can also be constructed that have a restricted viewing angle. In other words, the image would only be seen if viewed from a particular direction, or minor angular variations of that direction.

This invention will be further explained by the following Examples. The Examples used optical train apparatuses for creating the composite images of this invention which were essentially similar to the optical train apparatuses described in reference to Figures 14 and 16 of U.S. Patent No. 6,288,842 (Florczak et al.). The entire disclosure of U.S. Patent No. 6,288,842 (Florczak et al.) is hereby incorporated by reference.



## EXAMPLES

### **Example 1.**

5           A 5.1×7.6cm donor sheeting consisting of a polyester base film coated with a  
1g/m<sup>2</sup> ink layer containing 10% by weight Epolight™ 1178 infrared dye, available from  
Epolin, Inc., Newark, N.J., and 90% by weight red pigment in a binder, product number  
13R1412D, available from Penn Color Inc., Doylestown, PA, was placed on a vacuum  
10          chuck with ink side up. An approximately 7.6×10.2cm microlens sheeting constructed of  
50 micron thick polyethylene terephthalate (PET) base material and 40 micron diameter  
replicated urethane acrylate plano-convex spherical lenses was placed on top of the donor  
sheeting with lens side up. The lens focal point was approximately at the back-side of the  
lens sheeting. Air trapped between the sheetings and vacuum chuck was removed  
utilizing a hand roller. Then a static pinning device (model Chargemaster CM30-N, P/N:  
15          4010610 power supply with a Pinner™, P/N 4005520 Charging Applicator from SIMCO  
Industrial Static Control, Hatfield, PA) was operated at 18kV, approximately 5.1-7.6cm  
from the surface of the lens sheeting with a sweep rate of approximately 30.5mm/second  
across the surface to hold the sheets in position. Next, a negative contrast mask containing  
20          clear microtext surrounded by opaque silver halide (i.e., the areas of absence of silver  
halide on the clear polyester film constituted the microtext) on a 50 micron clear polyester  
film was placed over the lens of the lenses sheeting. The microtext, an array of the word  
“Innovation”, had an approximately 30 micron line width in the letters.

          A floating virtual image with positive contrast microtext was then formed in the  
lens sheeting. The imaging laser was an IB Laser (~7ns pulse width, 1000Hz) operated at  
25          0.6W (0.6mJ/pulse). A circle with a diameter of 8mm was traced out with the laser focal  
point approximately 6mm above the surface. A second circle with a 4mm diameter was  
traced out with the laser focal point 6mm below the lens sheeting. In each case, the laser  
focal point was moved at approximately 25mm/s. This process formed a floating virtual  
image consisting of red concentric floating circles, the 8mm diameter circle appeared to be  
30          located at 6mm above the surface and the 4mm diameter circle appeared to be located at  
6mm below the surface. The lines of the floating virtual images were comprised of

positive contrast microtext which, to the aided eye, appeared to be at the plane of the sheeting.

The sample lens sheeting was placed over a white background and viewed through a 15× magnifier. Microtext was visible and appeared red surrounded by clear polymer. When moving one's eye relative to the magnifier while the magnifier remaining fixed relative to the lens sheeting, the position of the red microtext appeared stationary relative to the sheeting for areas observed on the substrate corresponding to the circles imaged at both +6mm and -6mm float height. The microtext appeared as a scrolling effect, i.e. when the sample was tilted, the microtext moved with the direction of the tilt for the areas imaged at a negative float height of the virtual image (-6mm) and in the opposite direction for microtext associated with the positive float height image of the virtual image (+6mm). In both cases, positive or negative height of the floating virtual image, the microtext on the leading edge of the viewed area became visible at the same rate as microtext on the trailing edge disappeared, i.e. an observed scrolling effect.

#### **Example 2.**

A microlens sheeting sample was prepared identical to that in Example 1, except that the positive contrast mask contained opaque microtext surrounded by clear polymer.

This process formed a floating virtual image consisting of two red concentric floating circles, an 8mm diameter circle that appeared to be located at 6mm above the surface and a 4mm diameter circle that appeared to be located 6mm below the surface. The sample was placed over a white background and viewed through a 15× magnifier. The lines of the floating virtual images were comprised of negative contrast microtext which appeared to be in the plane of the sheeting. Microtext appeared clear and was surrounded by red. When moving one's eye relative to the magnifier while the magnifier remaining fixed relative to the lens sheeting, the position of the clear microtext appeared stationary relative to the sheeting for areas observed on the substrate corresponding to the circles imaged at both +6mm and -6mm float height. For imaged areas associated with the -6mm circle, the microtext appeared to move with the direction of the tilted sample and the microtext corresponding to the +6mm circle appeared to move opposite the direction of the tilted sample. This created a scrolling effect, such that the microtext

moved over the surface, and microtext on the leading edge became visible while microtext on the trailing edge disappeared.

**Example 3.**

5           A 14×19cm donor sheeting consisting of a polyester base film coated with an approximately 1g/m<sup>2</sup> layer of black ink, product number 13B1428D, available from Penn Color Inc., Doylestown, PA, was placed on a vacuum chuck with ink side up. An approximately 20.3×25.4cm microlens sheeting constructed of 50 micron thick polyethylene terephthalate (PET) base material and 40 micron diameter replicated  
10           urethane acrylate plano-convex spherical lenses was placed on top of the donor sheeting with lens side up. The lens focal point was approximately at the backside of the microlens sheeting. Air trapped between the sheetings and vacuum chuck was removed utilizing a hand roller. Then, a static pinning device (model Chargemaster CM30-N, P/N: 4010610 power supply with a Pinner<sup>TM</sup>, P/N 4005520 Charging Applicator from SIMCO Industrial  
15           Static Control, Hatfield, PA) was operated at 18kV, approximately 5.1-7.6cm from the surface of the lens sheeting with a sweep rate of approximately 30.5cm/second across the surface to hold the sheets in position.

          A black floating virtual image was then formed in the lens sheeting. The imaging laser was an IB Laser (~7ns pulse width, 1000Hz) operated at 0.23W (0.23mJ/pulse). A  
20           floating sinusoidal wave was traced out with the laser focal point approximately 6mm above the surface. A text graphic floating virtual image “OK” was traced out, between sections of the sinusoidal wave segments, with the laser focal point 6mm below the lens sheeting. For each floating virtual image, the laser was scanned at approximately 10mm/s.

          The lens sheeting was then laminated to a polyvinyl chloride composite card using  
25           a polyvinyl alcohol-based pressure sensitive adhesive (P1410, available from 3M, St. Paul, MN).

          The laminated card with floating virtual images was placed on a flat surface underneath a scan lens at the laser focal point. A 20watt laser (Model # YLP-1/100/20, from IPG Photonics, Oxford, MA) operated at 18% power, provided a 1064nm  
30           wavelength beam with pulses at a 70kHz frequency and a pulse width of approximately 100ns. The word “microtext” was scanned over a portion of the sinusoidal wave with the laser scanned at 2m/s.

Black pigment was ablated in the areas affected by the laser, forming the microtext within the black virtual image. The word “microtext” was visible with a 15× magnifier. The word “microtext” was approximately 3.5mm long with line widths of approximately 100 microns. When a retroviewer was placed in optical alignment between the eye and the magnifier, the contrast of the word “microtext” with the surrounding background was significantly enhanced, i.e. the word appeared bright white,.

**Example 4.**

A 14×19cm donor sheeting consisting of a polyester base film coated with an approximately 1g/m<sup>2</sup> layer of black ink, product number 13B1428D, available from Penn Color Inc., Doylestown, PA, was placed on a vacuum chuck with ink side up. An approximately 20.3×25.4cm lens sheeting constructed of 50 micron thick polyethylene terephthalate (PET) base material and 40 micron diameter replicated urethane acrylate plano-convex spherical lenses was placed on top of the donor sheeting with lens side up. The lens focal point was approximately at the lens sheeting back-side. Air trapped between the sheetings and vacuum chuck was removed utilizing a hand roller. Then, a static pinning device (Chargemaster CM30-N, P/N: 4010610 power supply with a Pinner<sup>TM</sup>, P/N 4005520 Charging Applicator from SIMCO Industrial Static Control, Hatfield, PA) was operated at 18kV, approximately 5.1-7.6cm from the surface with a sweep rate of approximately 30.5cm/second across the surface to hold the sheets in position.

A black floating virtual image was then formed in the lens sheeting. The imaging laser was an IB Laser (~7ns pulse width, 1000Hz) operated at 0.23W (0.23mJ/pulse). A floating continuous sinusoidal wave was traced out with the laser focal point approximately 8mm below the surface. A text graphic floating image “OK” was traced out, between sections of the sinusoidal wave segments, with the laser focal point 8mm above the lens sheeting. For each floating image, the laser was scanned at approximately 10mm/s.

This lens sheeting was then laminated to a polyvinyl chloride composite card using a polyvinyl alcohol-based pressure sensitive adhesive (P1410, available from 3M Co., St. Paul, MN).

The laminated card with floating virtual images was placed on a flat surface underneath a scan lens at the laser focal point. Next, a negative contrast mask containing clear microtext surrounded by opaque silver halide (i.e., the areas of absence of silver halide on the clear polyester film constituted the microtext) on a 50 micron clear polyester film was placed over the lenses of the lens sheeting in the area that contained the "OK". The microtext was an array of the word "Innovation", with a line width of approximately 60 microns in the letters.

A 20watt laser (Model # YLP-1/100/20, from IPG Photonics, Oxford, MA) operated at 20% power provided a 1064nm wavelength beam with pulses at a 70kHz frequency and a pulse width of approximately 100ns. A series of 29 lines was drawn at 2m/s over the mask to expose an area approximately 7.6×7.6mm.

Black pigment was ablated in the areas affected by the laser, forming the microtext within the black virtual image. The word "Innovation" was visible with an 8× magnifier. When a focused light source or retroviewer was placed in optical alignment between the eye and the magnifier, the contrast of the word "Innovation" was significantly enhanced, i.e. the word appeared bright white.

We claim:

1. A sheeting comprising:

an array of microlenses;

a material layer adjacent the array of microlenses;

a first donor material in contact with the material layer, wherein the donor material forms at least two individual, partially complete images on the material layer associated with each of a plurality of the microlenses;

a first composite image, provided by at least one of the individual images, that appears to the unaided eye to float above or below the sheeting, or both;

a second composite image, provided by at least one of the individual images, that appears to the aided eye to float above, in, or below the sheeting, or any combination thereof,

wherein the second composite image is aligned with the first composite image.

2. The sheeting of claim 1, wherein the second composite image includes micrographics.

3. The sheeting of claim 1, wherein the first donor material comprises a colorant, wherein at least a portion of the first or second composite image exhibits a color similar to the colorant in the first donor material.

4. The sheeting of claim 1, wherein the individual, partially complete images on the material layer associated with each of a plurality of the microlenses form positive contrast second composite images.

5. The sheeting of claim 1, wherein the individual, partially complete images on the material layer associated with each of a plurality of the microlenses form negative contrast second composite images.

6. The sheeting of claim 1, wherein the first or second composite image appears under reflected light or transmitted light to float above the sheeting.

7. The sheeting of claim 1, wherein the first or second composite image appears under reflected light or transmitted light to float below the sheeting.

8. The sheeting of claim 1, wherein the first composite image also appears to the unaided eye to be at least in part in the plane of the sheeting.

9. The sheeting of claim 8, wherein the first composite image is a three-dimensional image.

10. The sheeting of claim 1, wherein the second composite image appears substantially to float only in the plane of the sheeting.

11. The sheeting of claim 1, wherein the first donor material comprises radiation sensitive material.

12. The sheeting of claim 1, wherein the second composite image appears to move relative to the sheeting as the viewing position changes relative to the sheeting.

13. The sheeting of claim 1, wherein the second composite image is an angularly variable array of images.

14. The sheeting of claim 13, wherein the second composite image appears to scroll relative to the sheeting.

15. A method of forming two composite images on a microlens sheeting, comprising the steps of:

providing a sheeting having an array of microlenses and a material layer adjacent the array of microlenses;

providing a mask adjacent the array of microlenses of the sheeting;

providing a first donor substrate adjacent the material layer of the sheeting, wherein the first donor substrate is radiation sensitive;

providing a radiation source;

transferring at least a portion of the first donor substrate to the sheeting using the radiation source and the mask to form at least two individual, partially complete images on the material layer associated with each of a plurality of the microlenses, whereby the sheeting exhibits a first composite image, provided by at least one of the individual images, that appears to the unaided eye to float above or below the sheeting, or any combination thereof, wherein the sheeting exhibits a second composite image, provided by at least one of the individual images, that appears to the aided eye to float above, in, or below the sheeting, or any combination thereof, wherein the second composite image is aligned with the first composite image.

16. The method of claim 15, wherein the first donor substrate comprises a colorant, and wherein at least a portion of the first or second composite image exhibits a color similar to the colorant in the first donor substrate.

17. The method of claim 16 further comprising the step of:

removing the first donor substrate;  
providing a second donor substrate adjacent the material layer of the sheeting, wherein the second donor substrate is radiation sensitive; and  
repeating the transferring step with the second donor substrate.

18. The method of claim 17, wherein the second donor substrate comprises a colorant different from the colorant of the first donor substrate.

19. The sheeting including two composite images made by the method of claim 15.

20. The sheeting of claim 15, wherein the sheeting is adhered to a substrate, and wherein the substrate is a document, a sign, an identification card, a container, a display, a credit card, or wherein the sheeting is used for advertising, decoration, authentication or identification purposes.



21. The method of claim 15, wherein the first composite image also appears to be at least in part in the plane of the sheeting.

22. The method of claim 21, wherein the first composite image is a three-dimensional image.

23. The method of claim 15, wherein the second composite image appears substantially to float only in the plane of the sheeting.

24. The method of claim 15, wherein the mask assists in forming either positive contrast or negative contrast second composite images.

25. The method of claim 15, wherein the second composite image includes micrographics.

26. The method of claim 15, wherein the second composite image is an angularly variable array of images.

27. The method of claim 26, wherein the second composite image appears to scroll relative to the sheeting.

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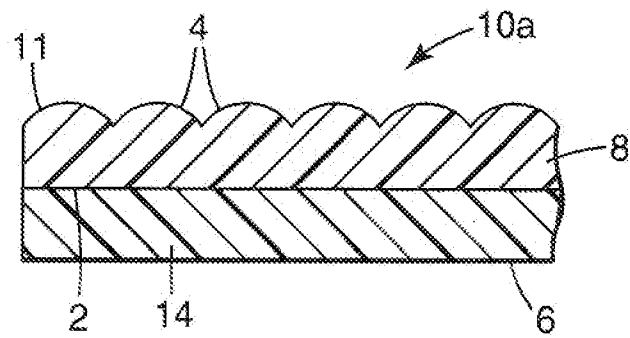


FIG. 1

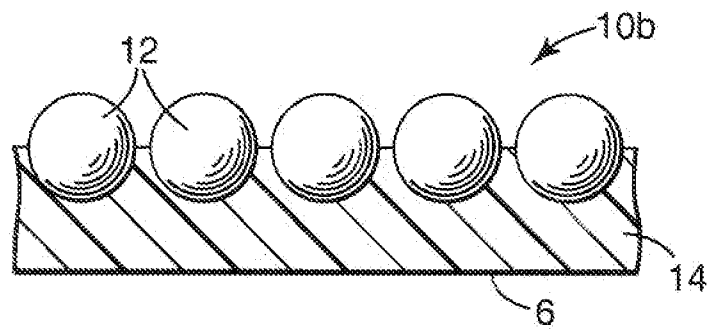


FIG. 2

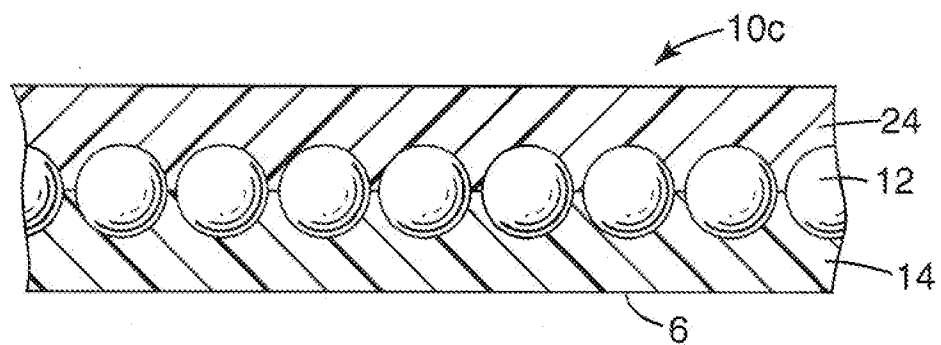
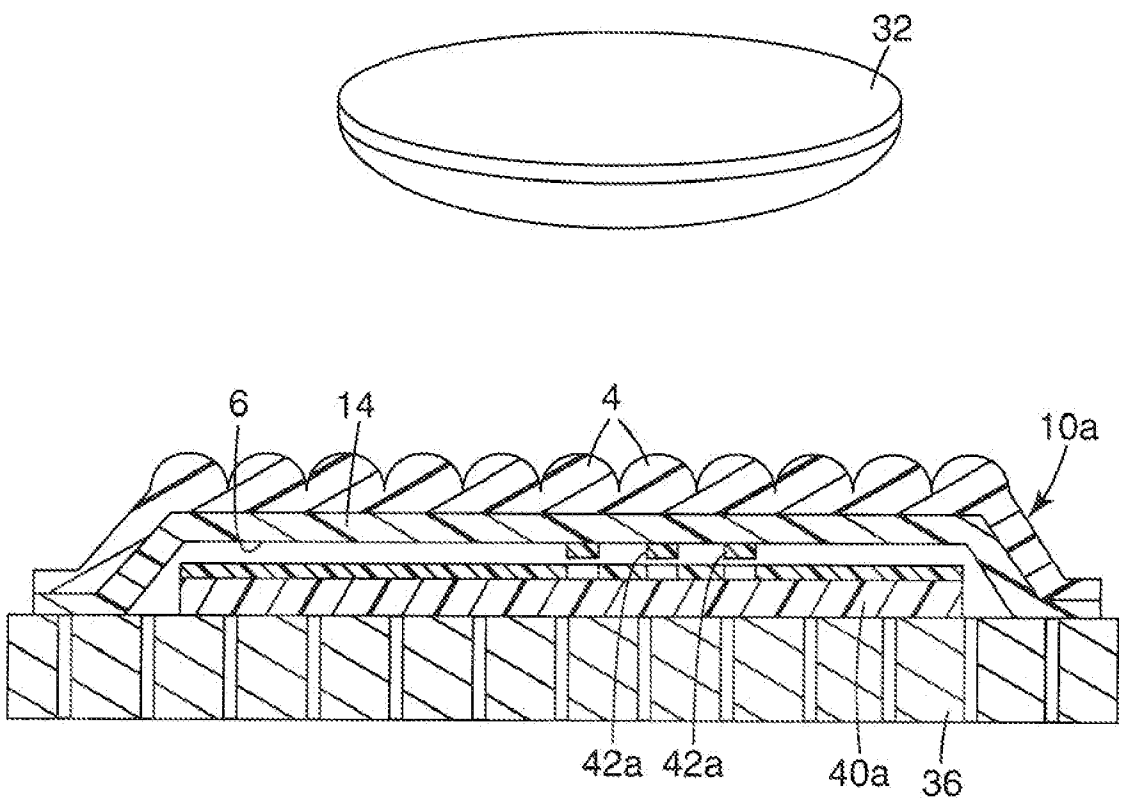
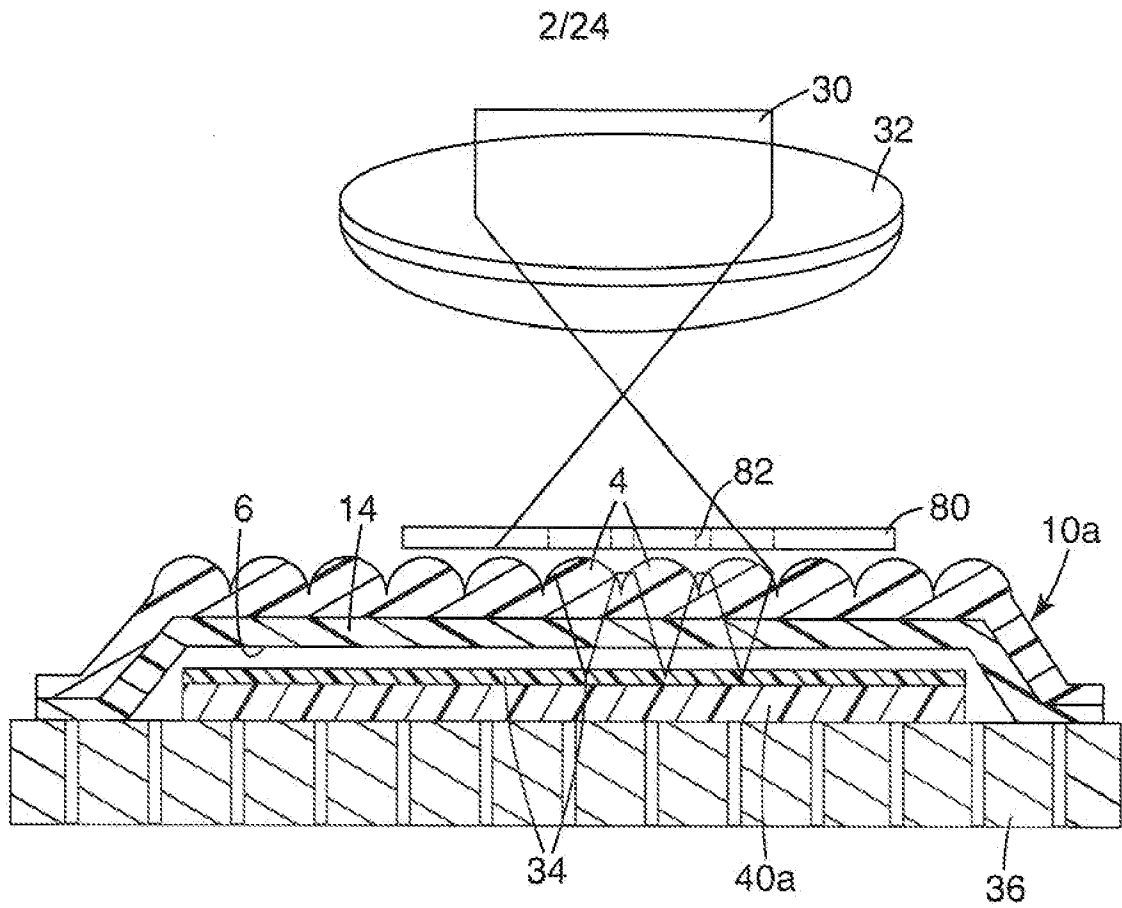
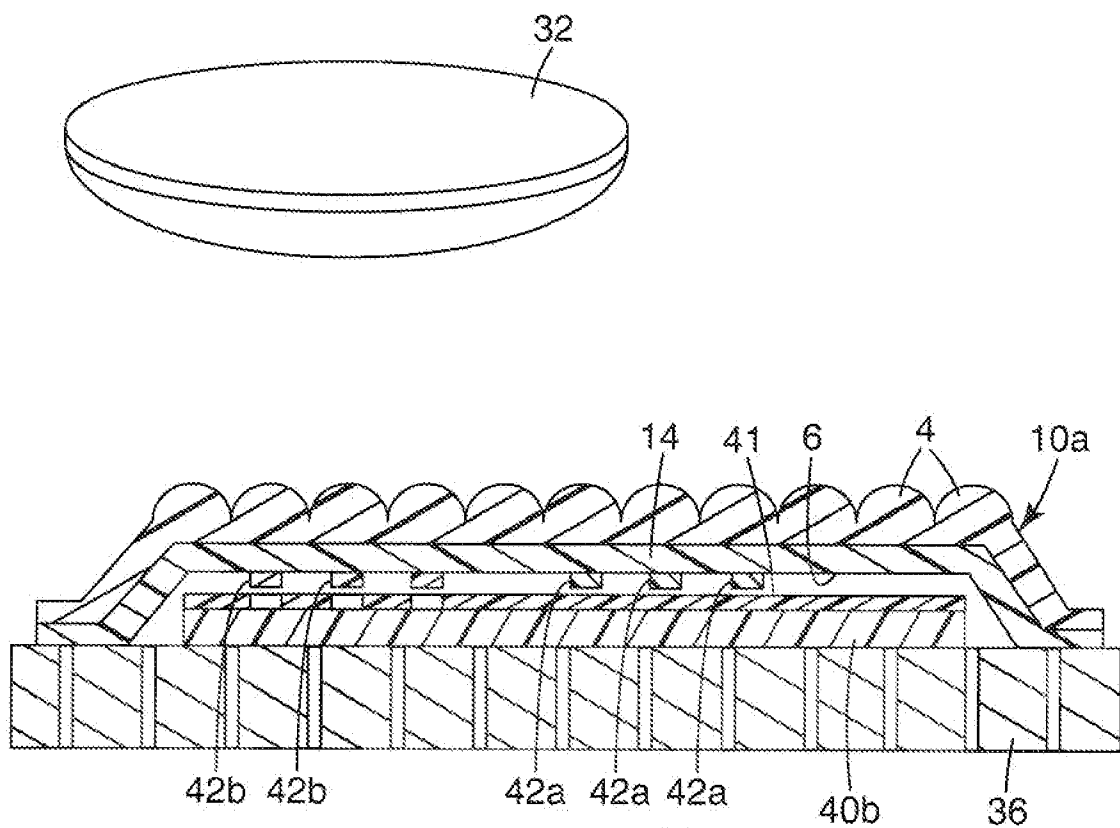
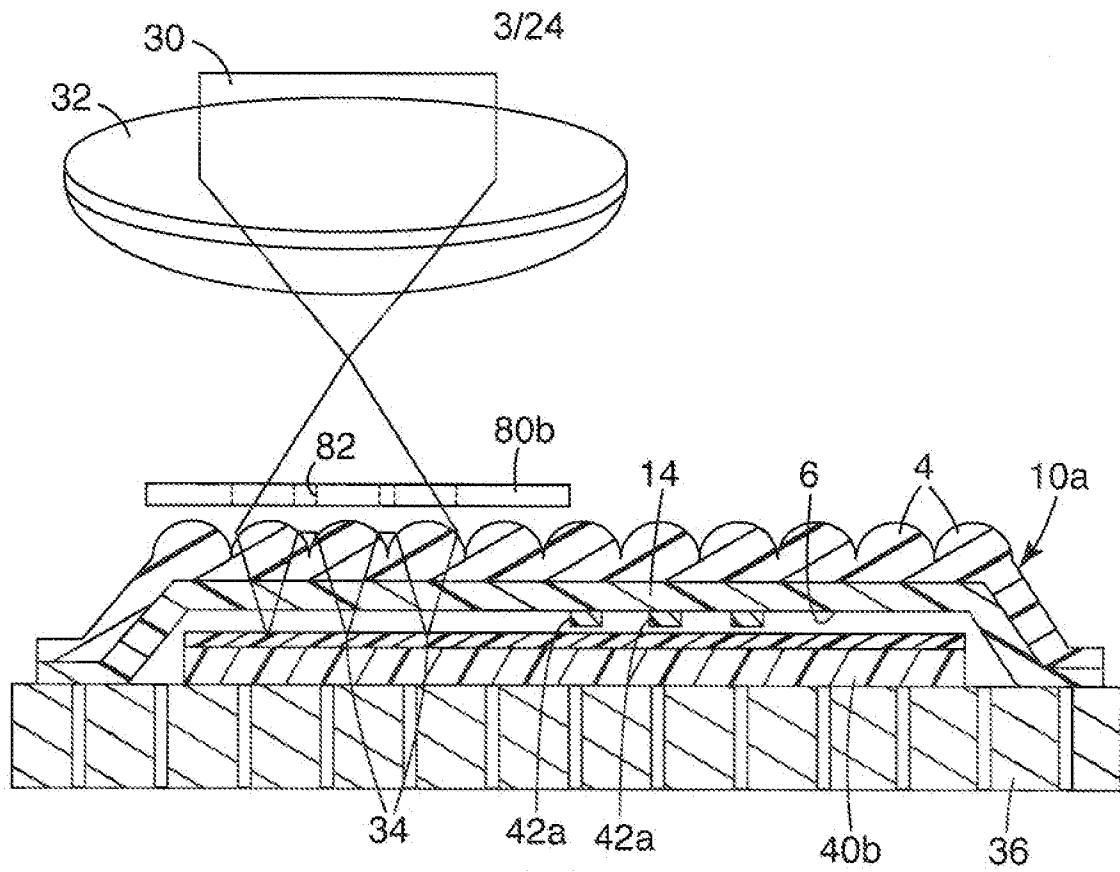
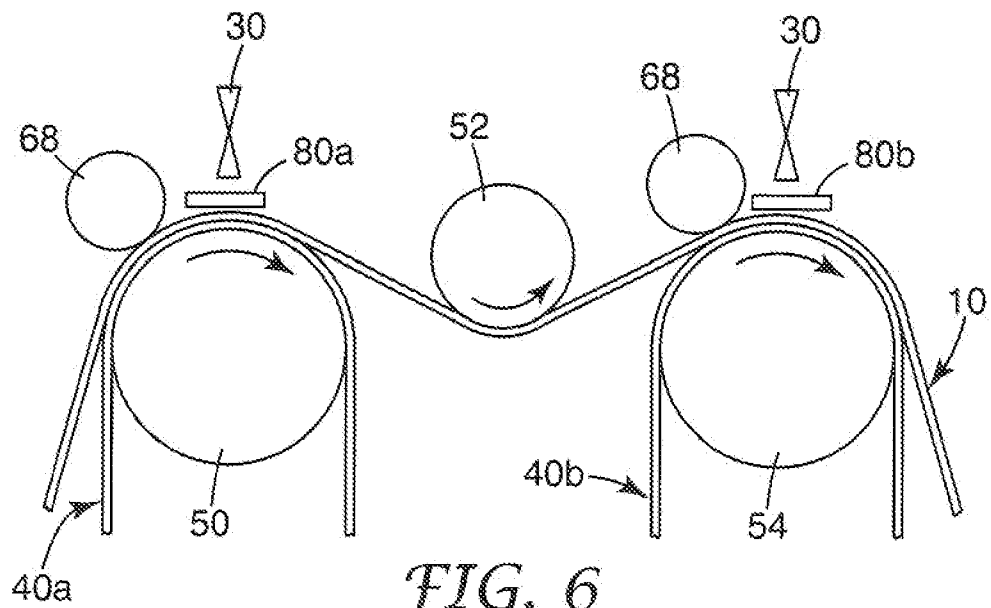
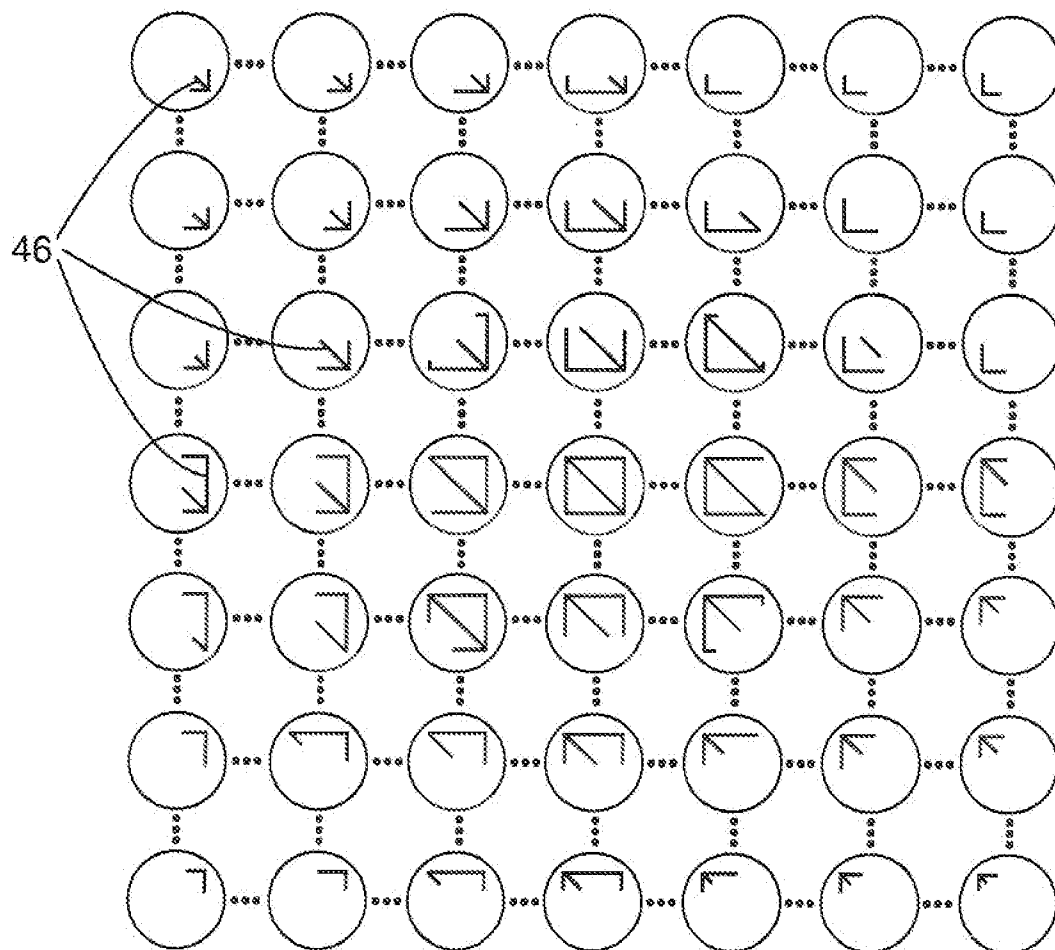


FIG. 3





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*FIG. 6**FIG. 7A*

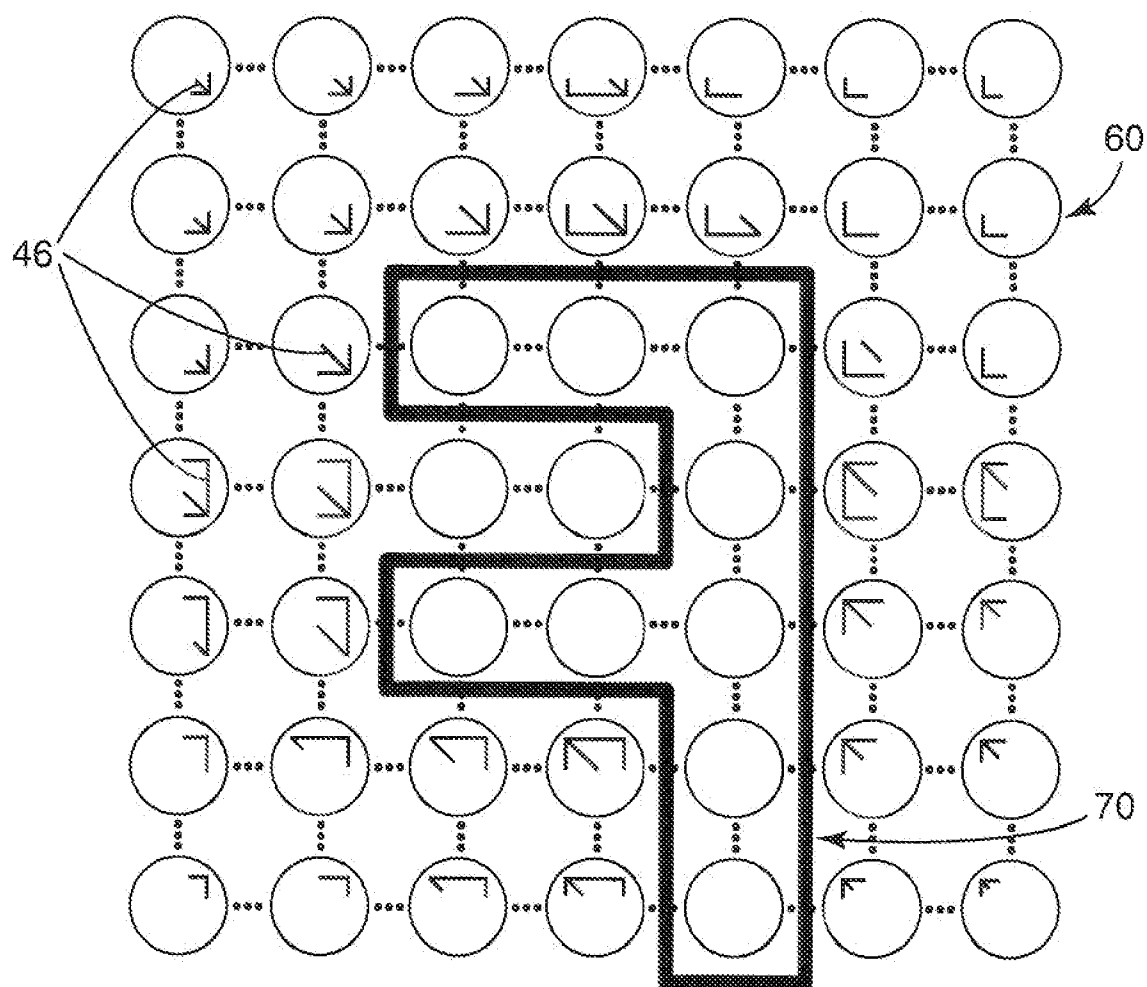


FIG. 7B

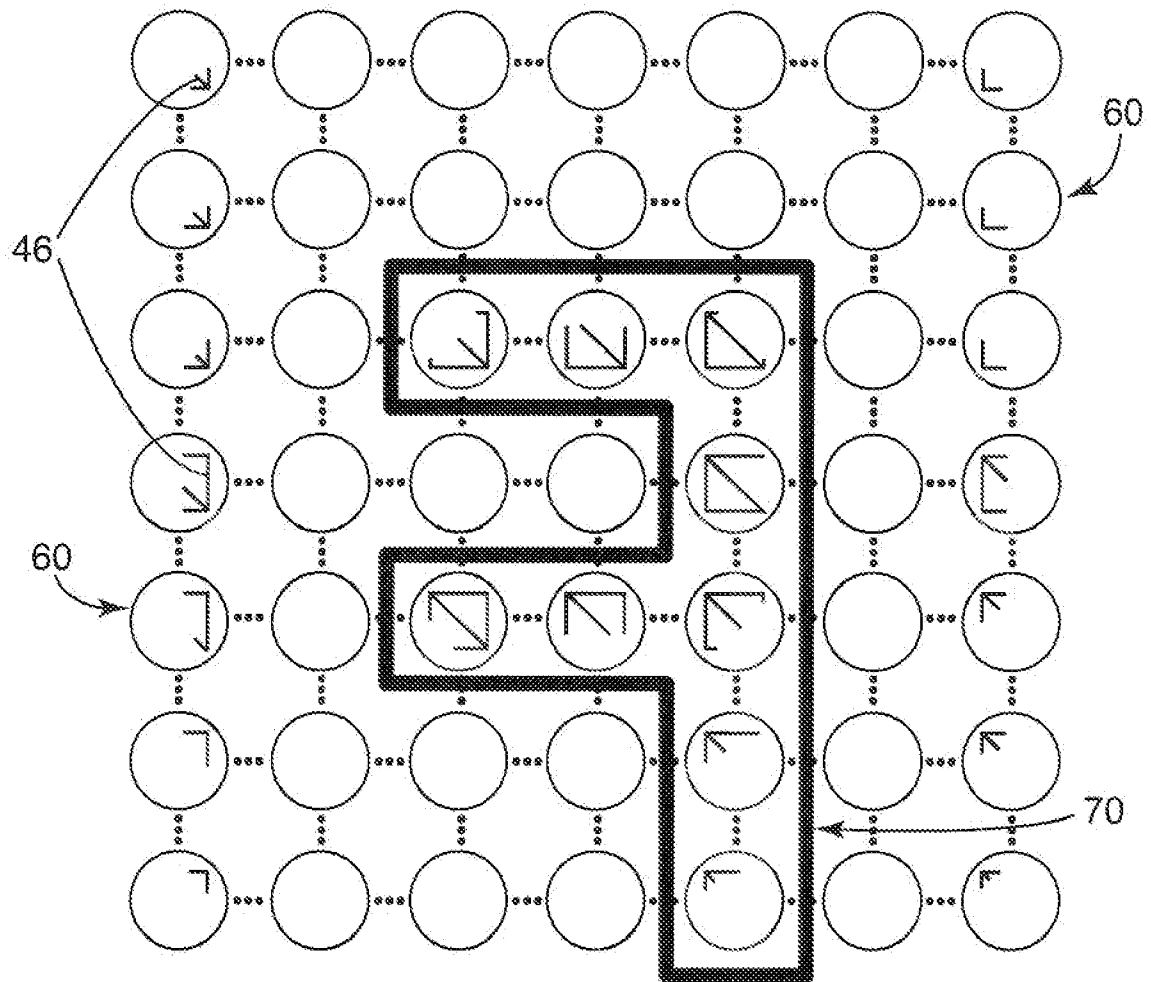


FIG. 7C

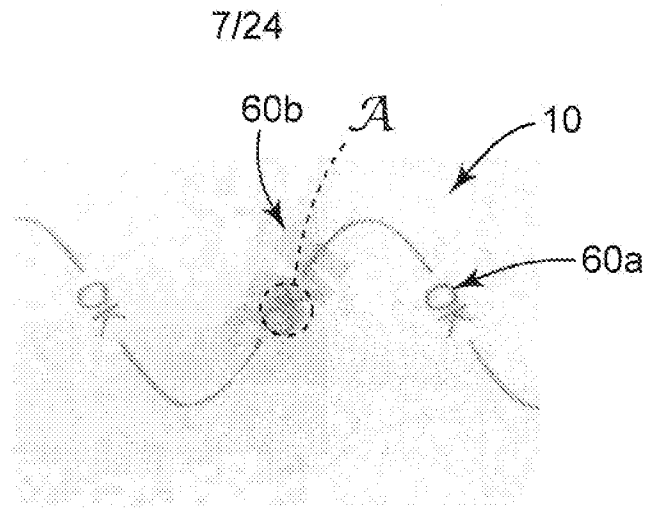


FIG. 8

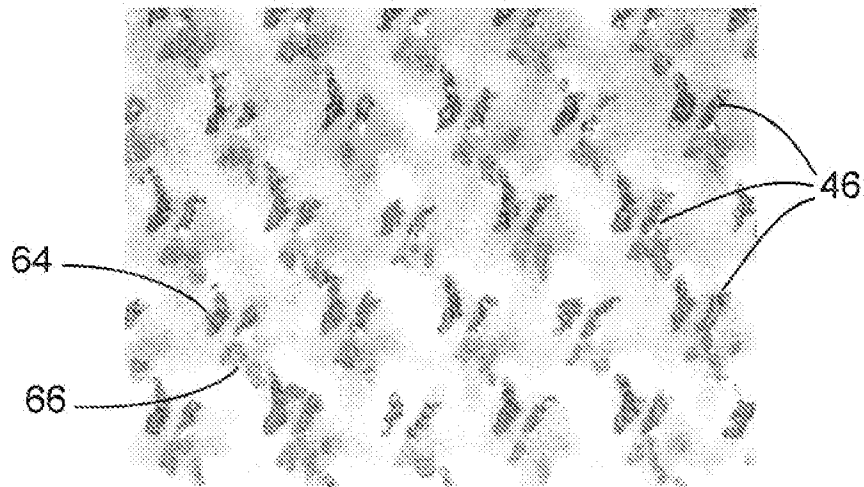


FIG. 9A

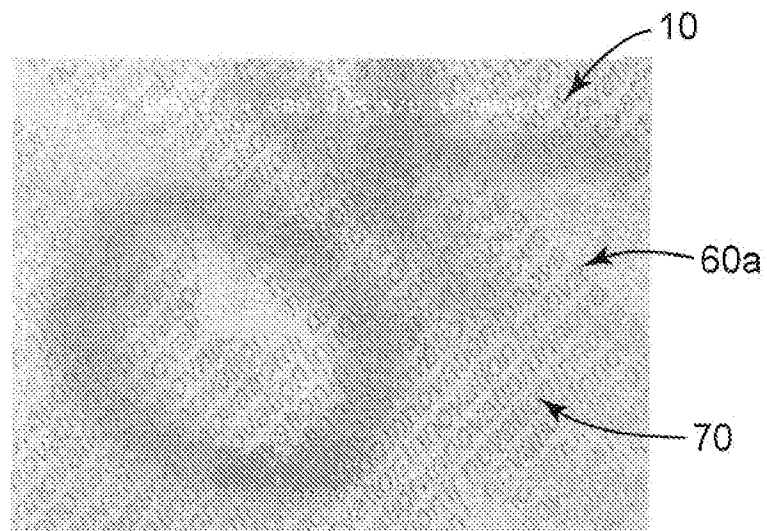


FIG. 9B



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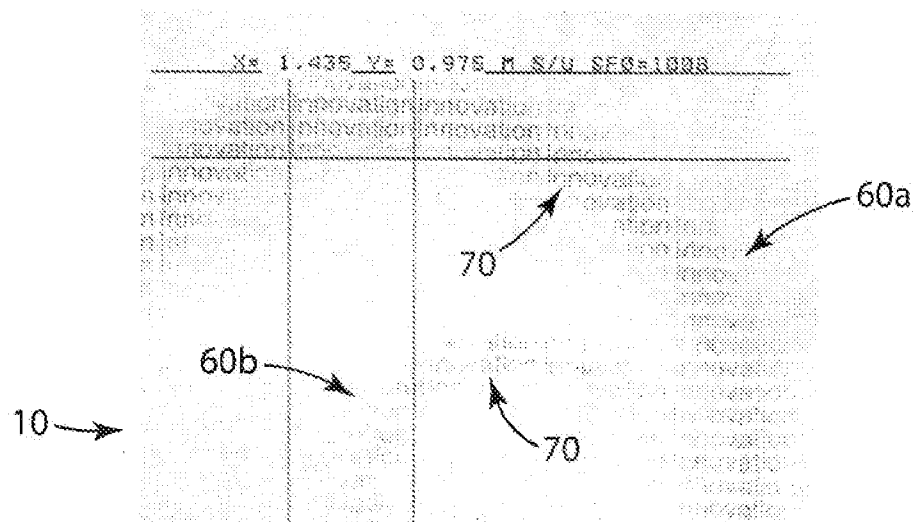


FIG. 10

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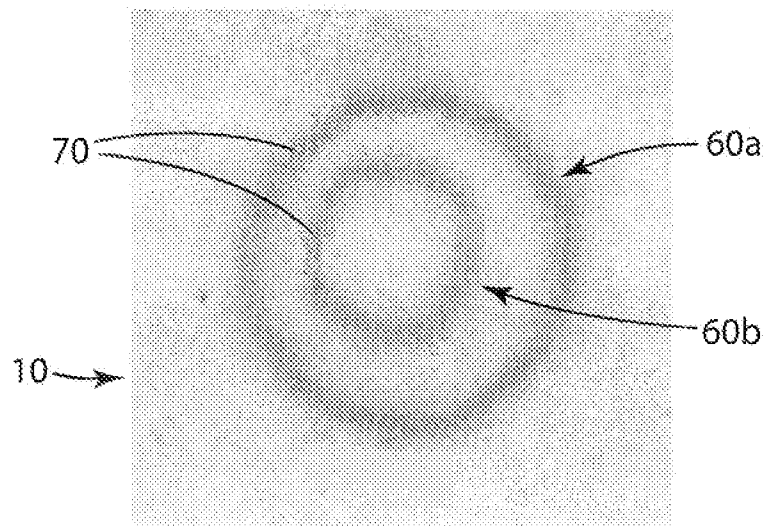


FIG. 11

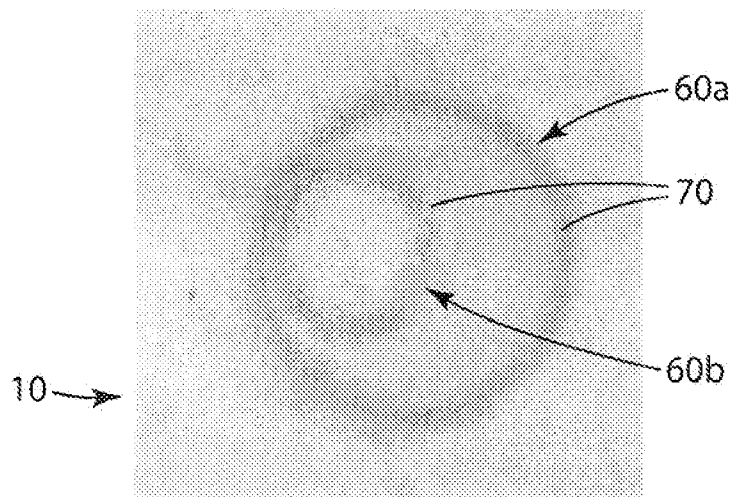


FIG. 12

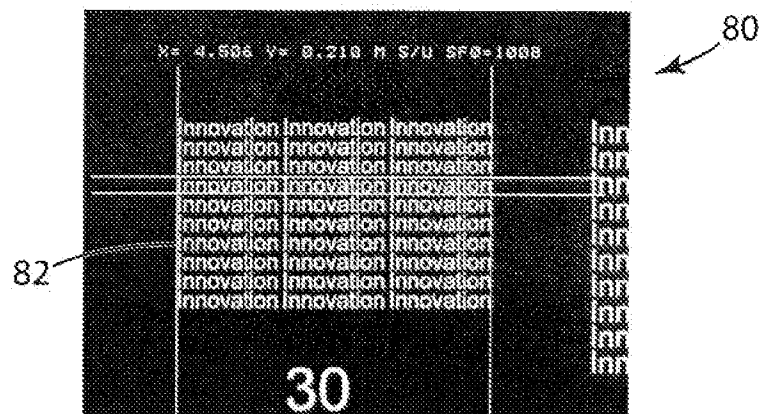


FIG. 13

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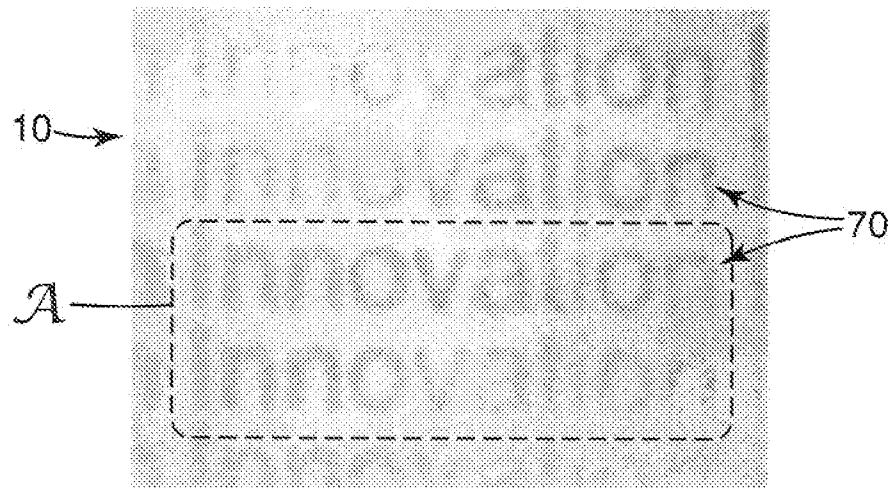


FIG. 14

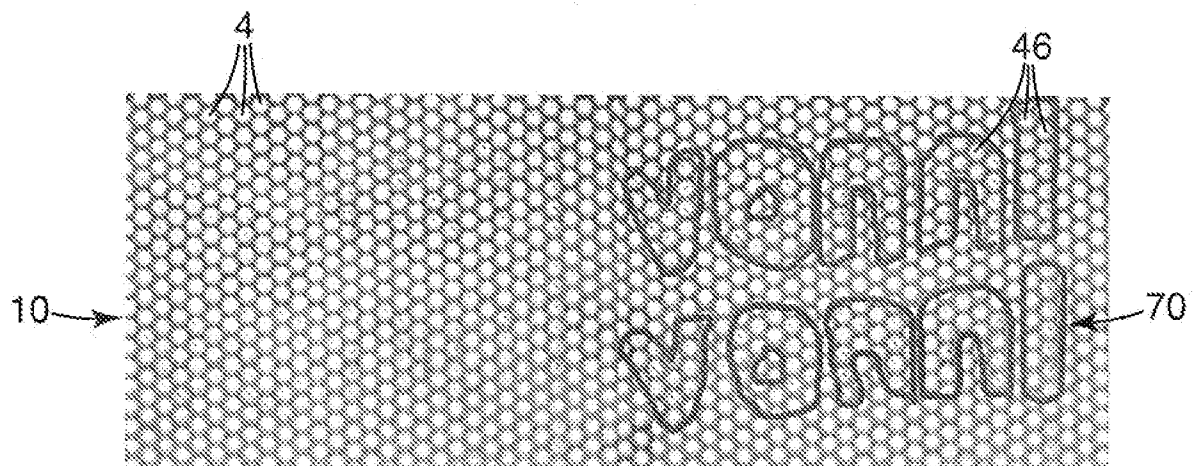


FIG. 14A

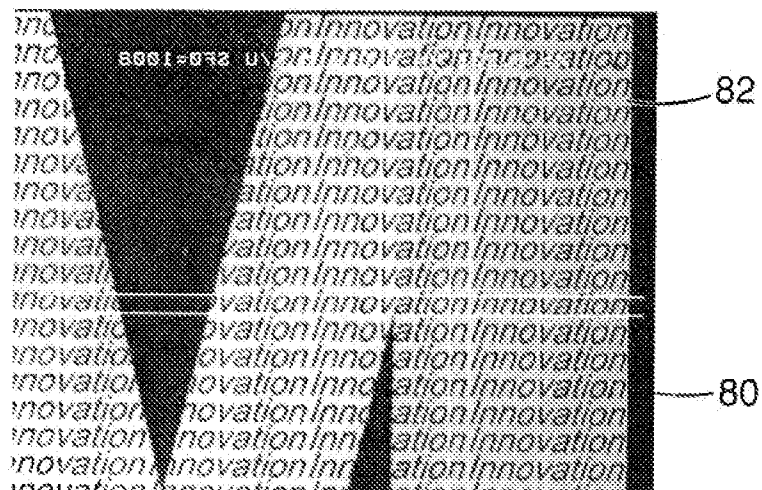


FIG. 15

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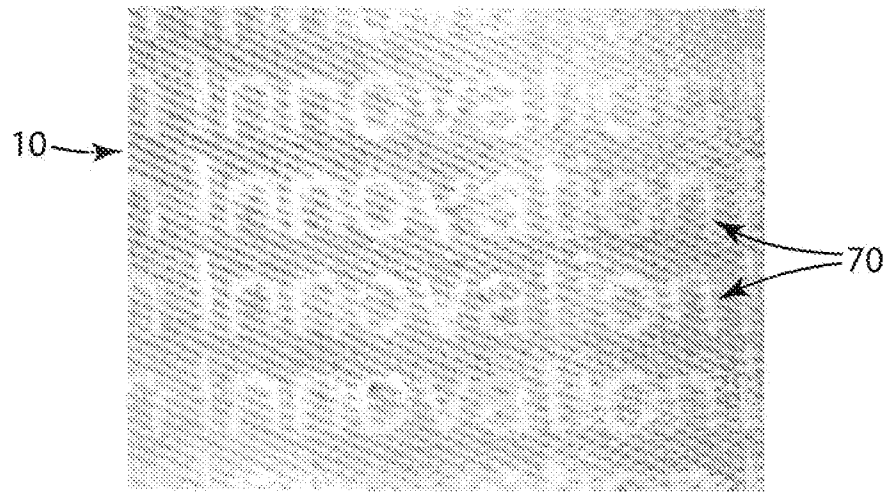


FIG. 16

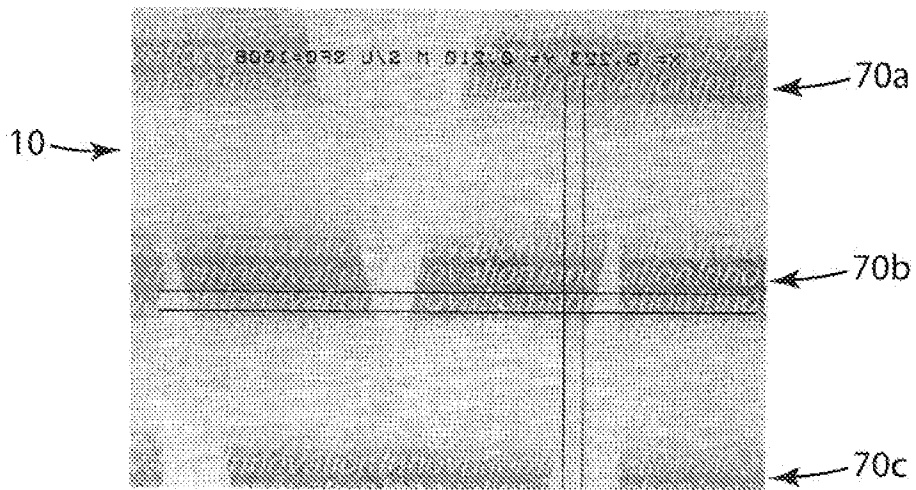


FIG. 17

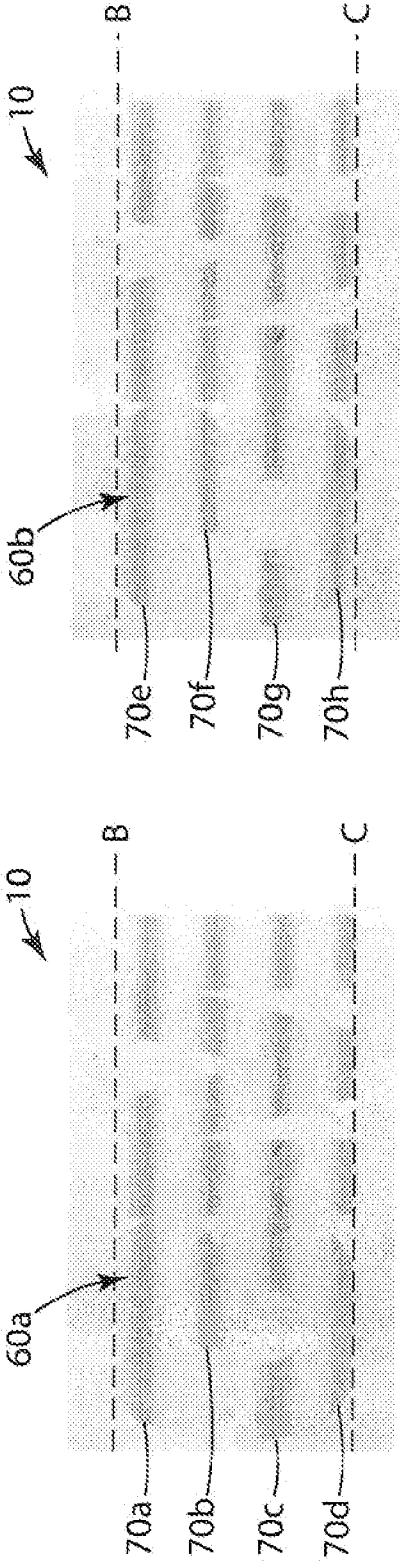


FIG. 18A

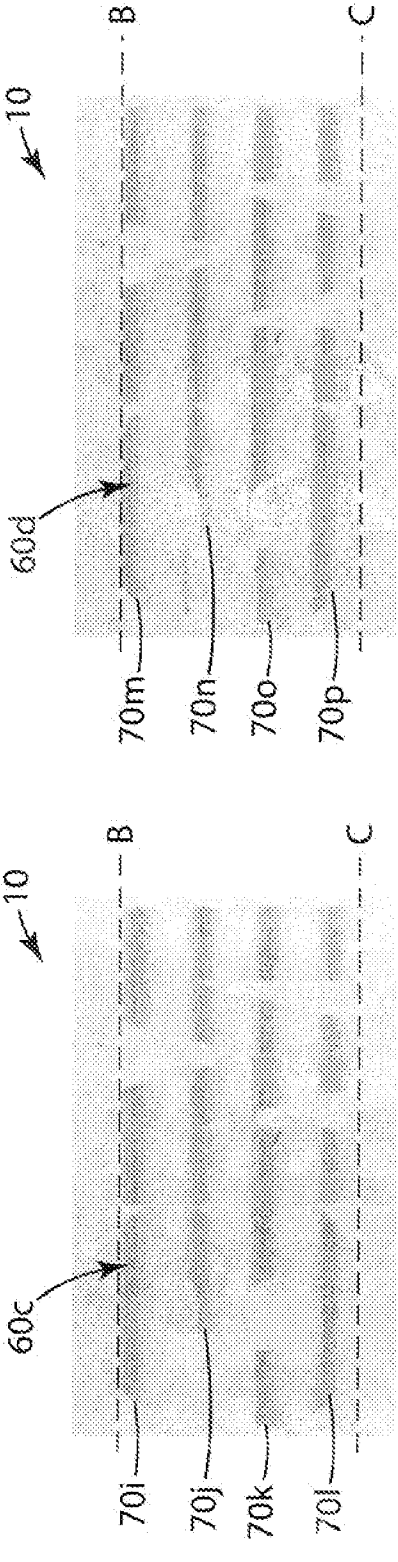


FIG. 18C

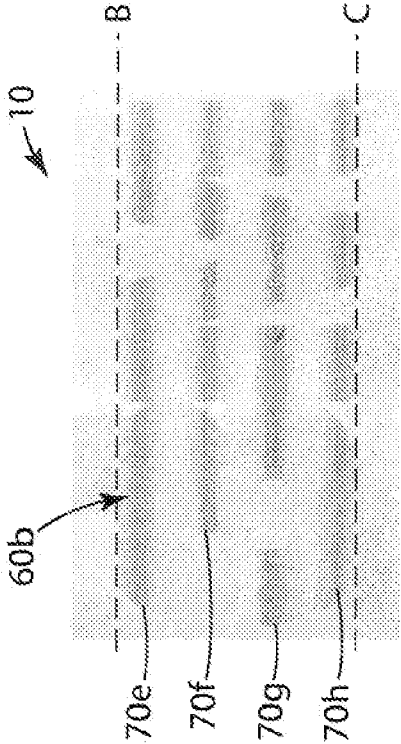


FIG. 18B

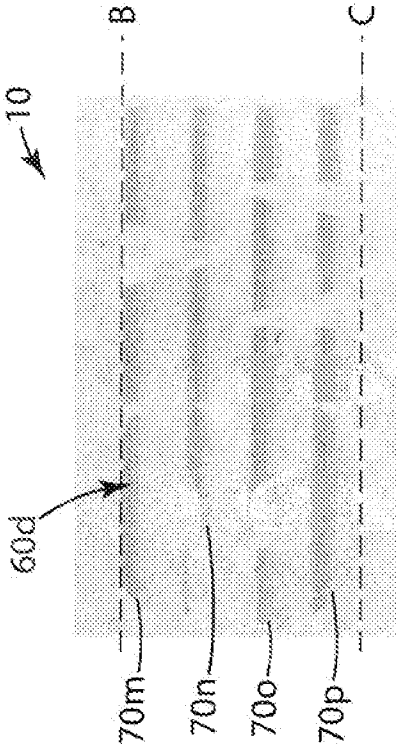


FIG. 18D

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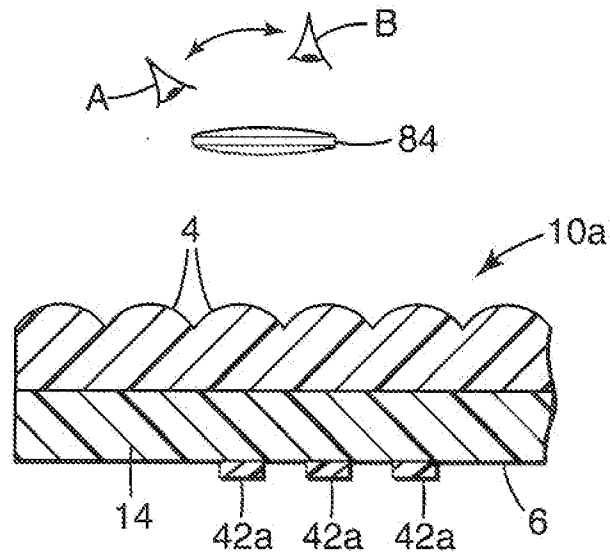


FIG. 19



FIG. 19A

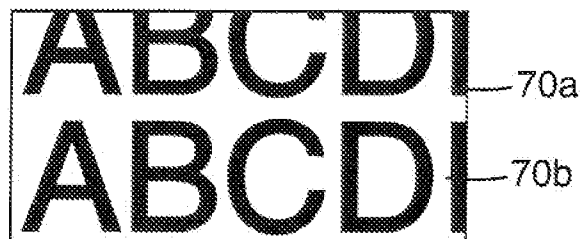


FIG. 19B

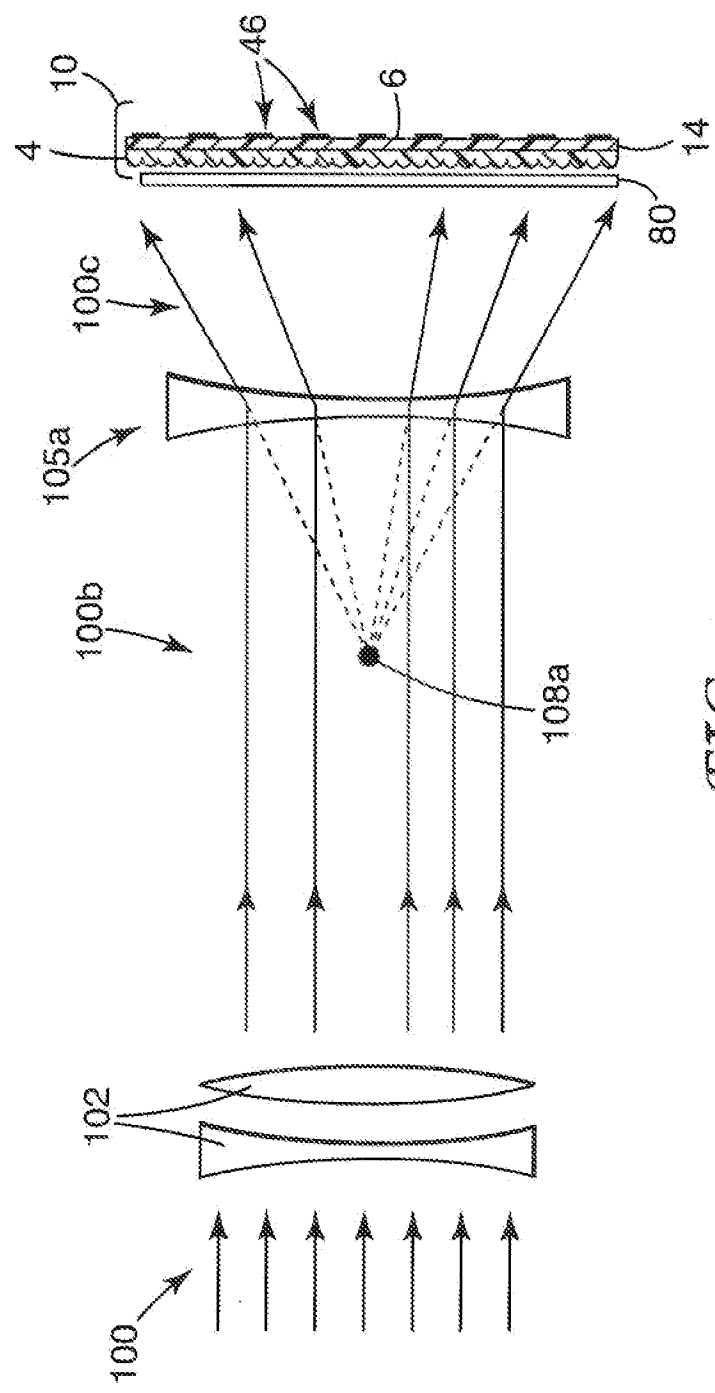
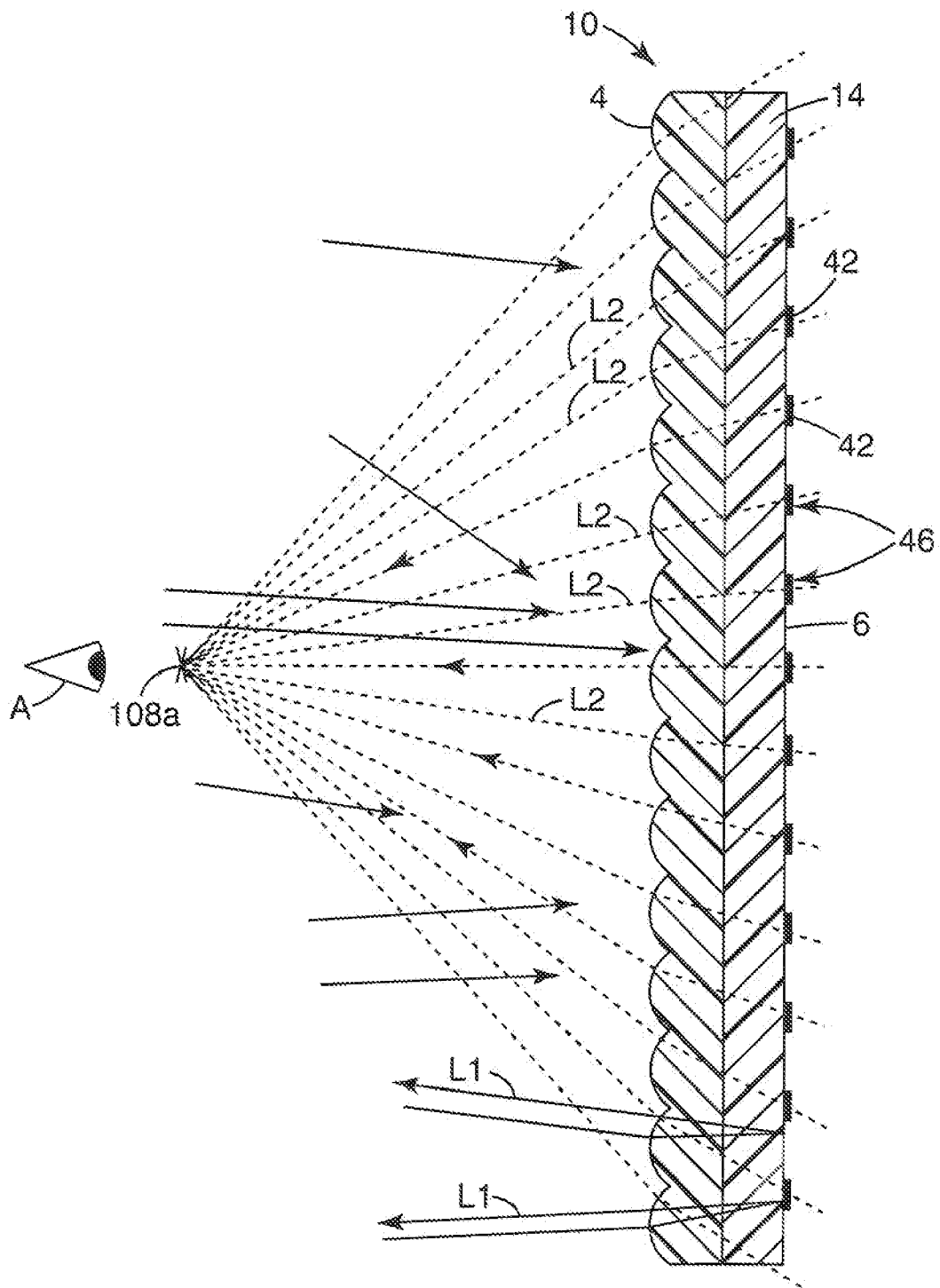


FIG. 20

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*FIG. 21*



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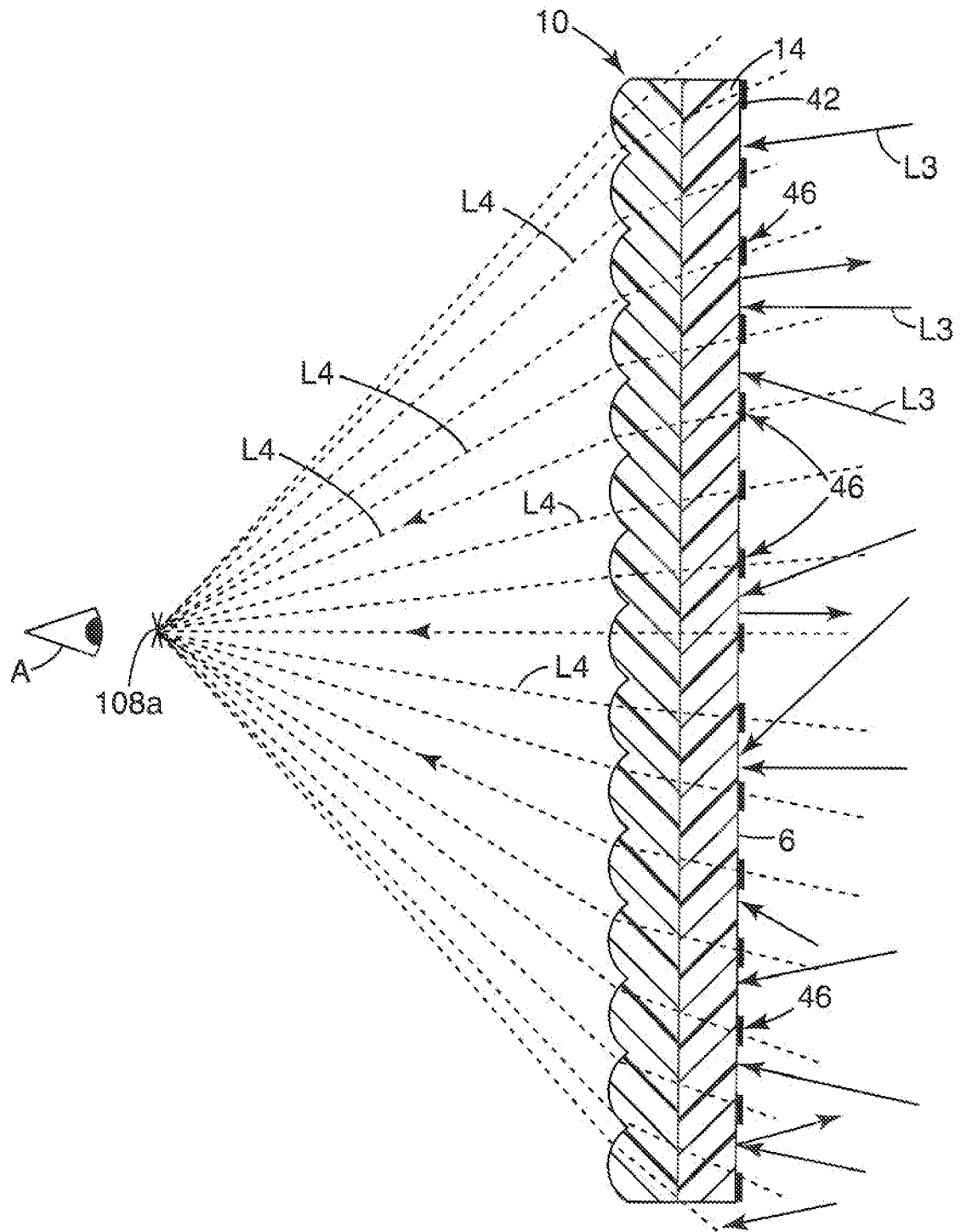


FIG. 22

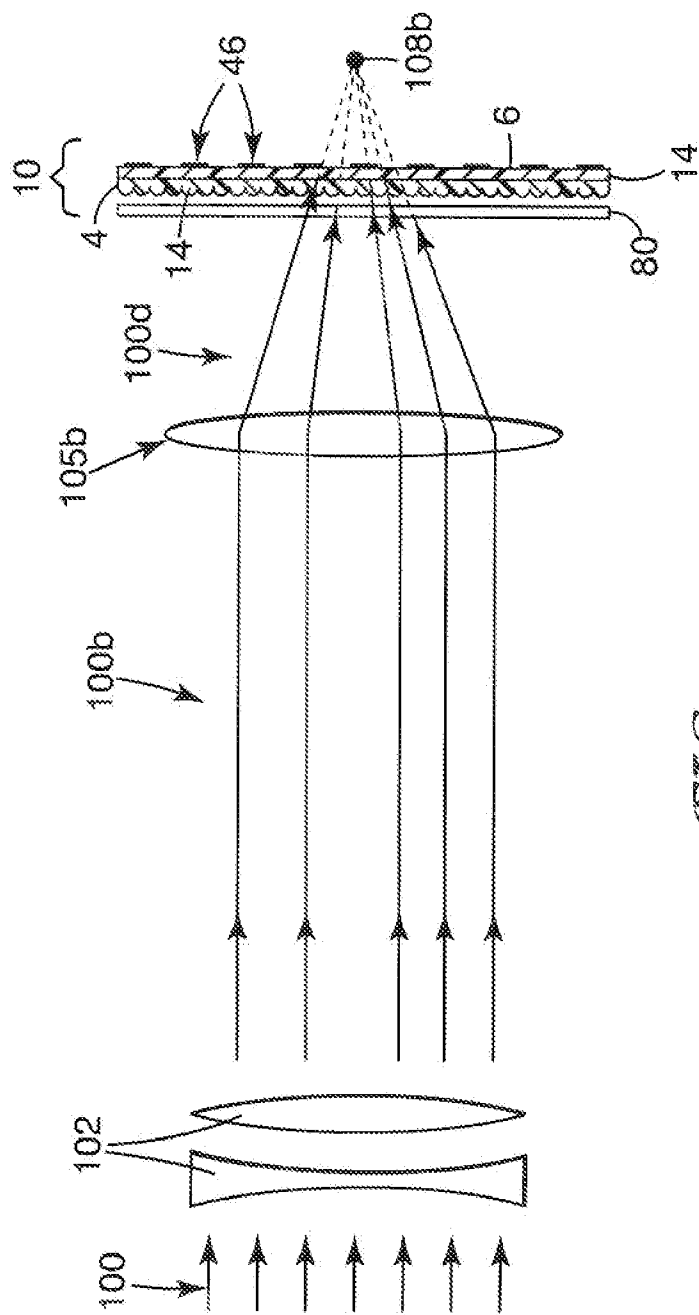


FIG. 23

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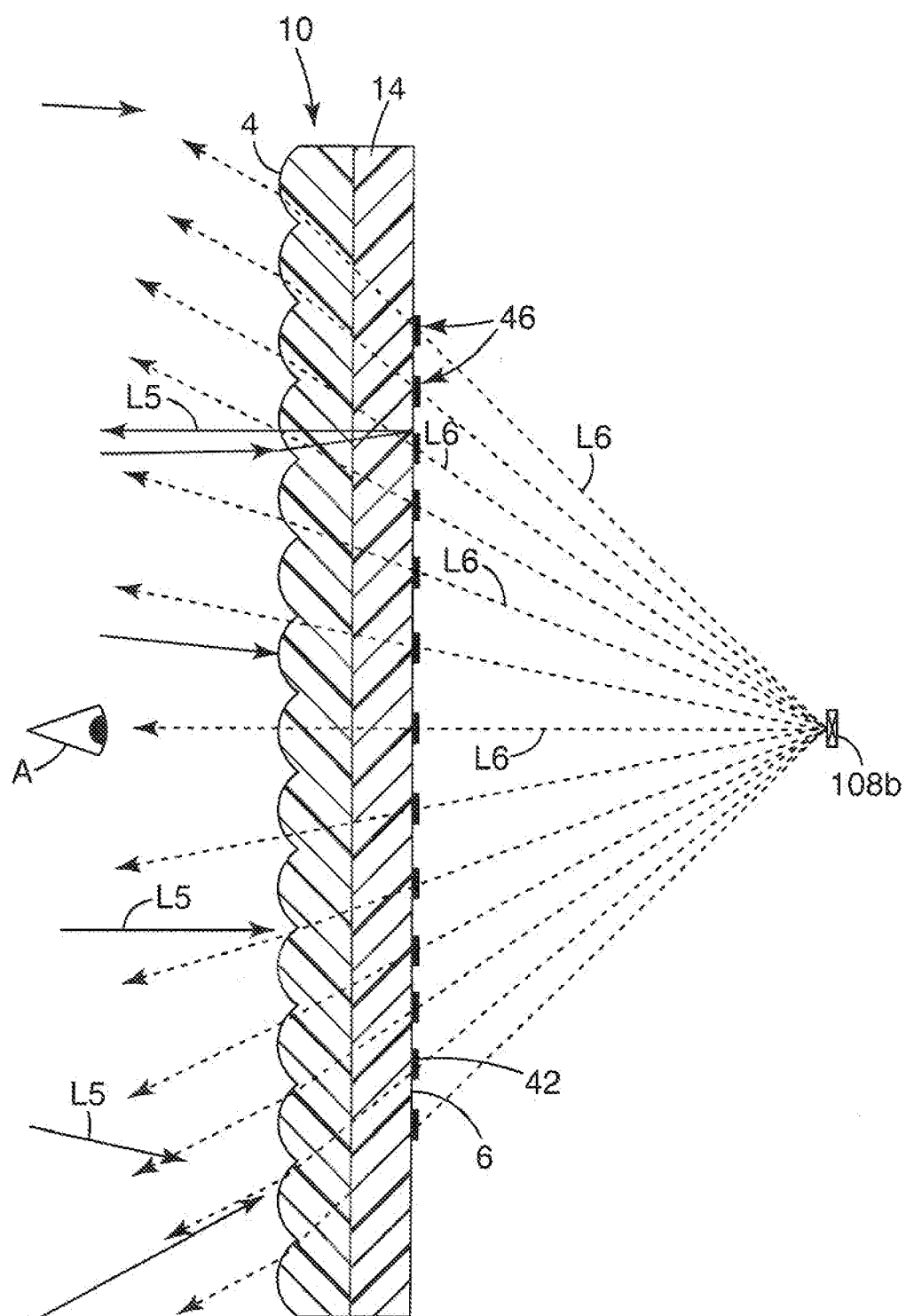


FIG. 24

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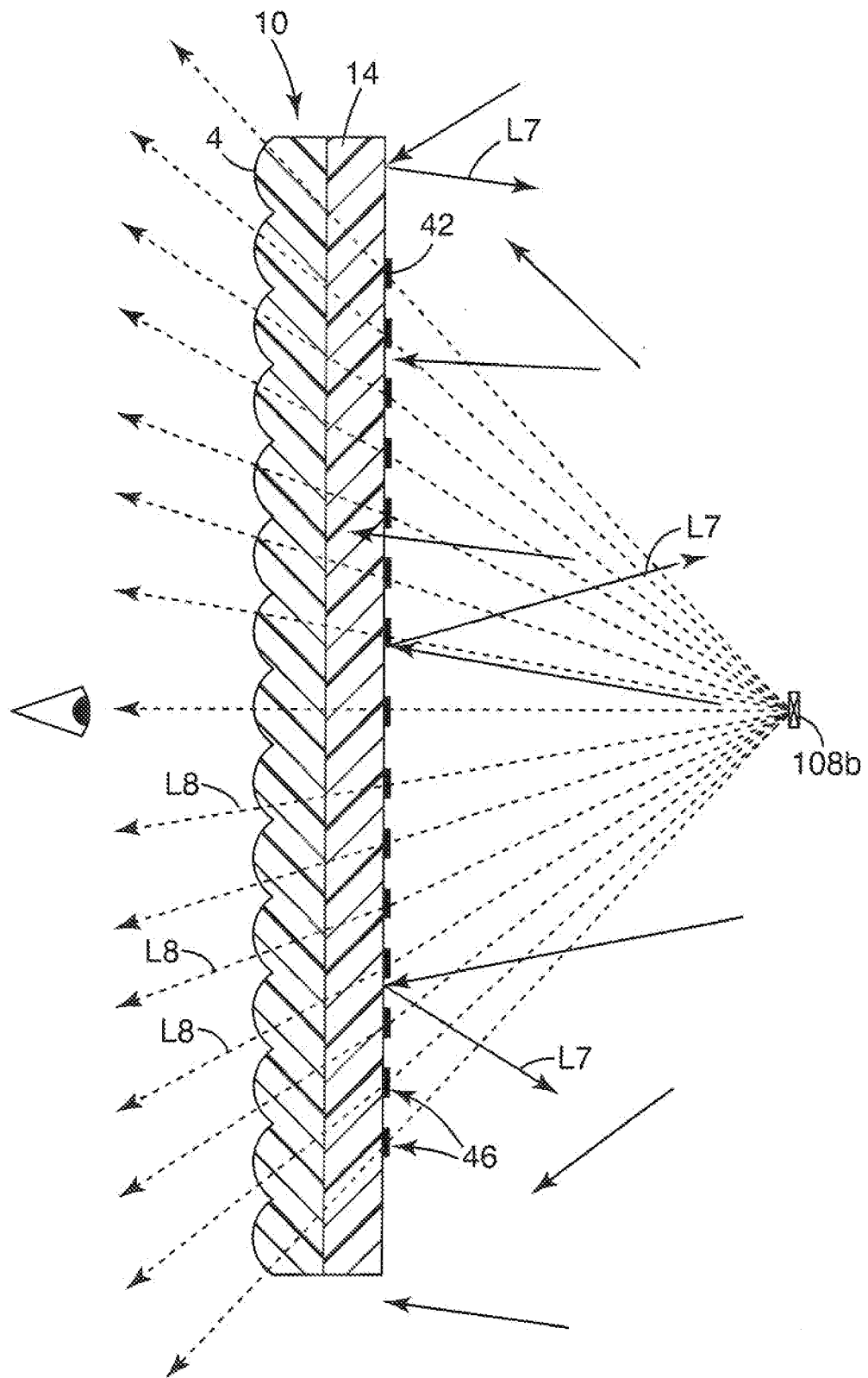


FIG. 25

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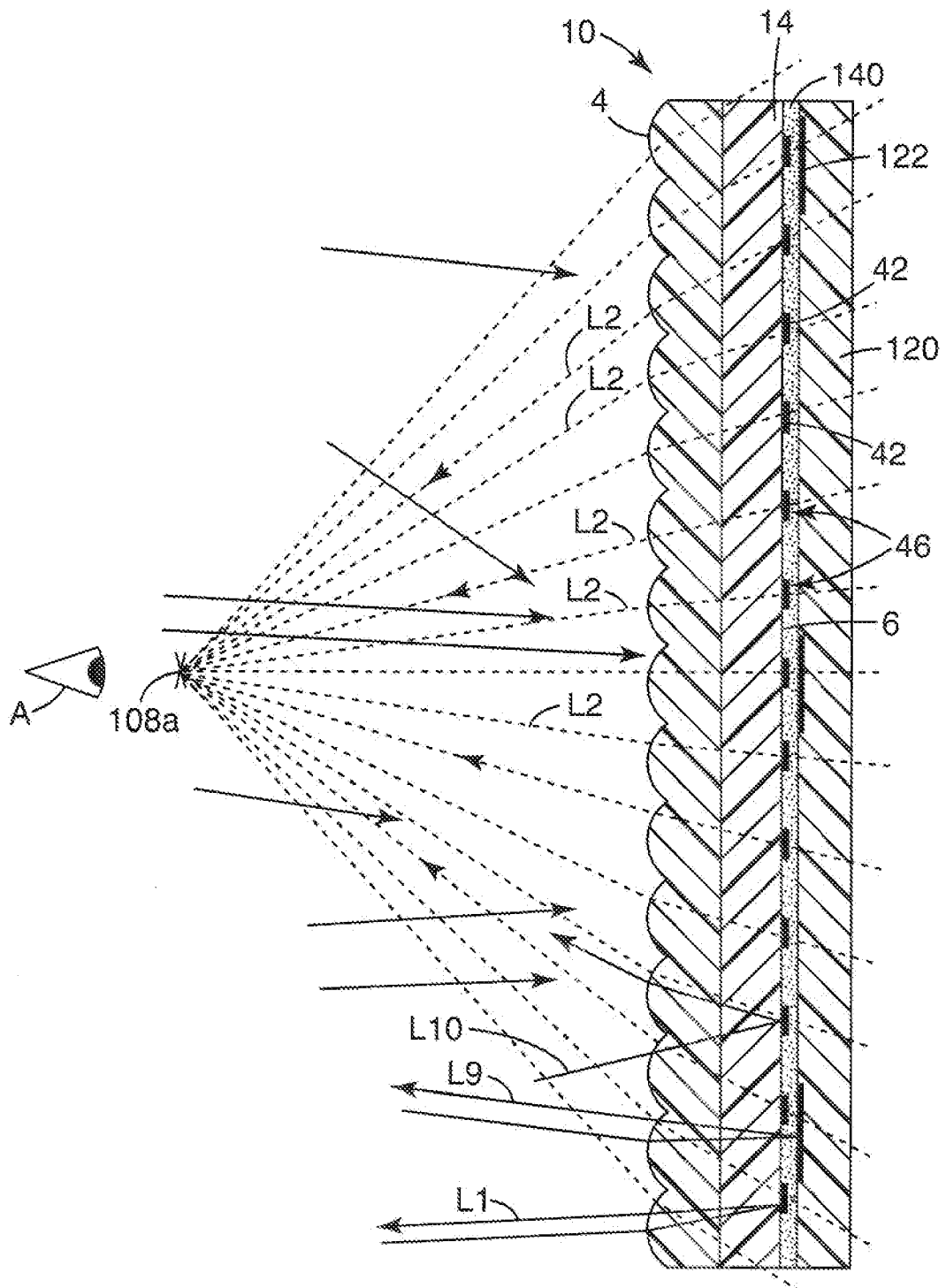


FIG. 26

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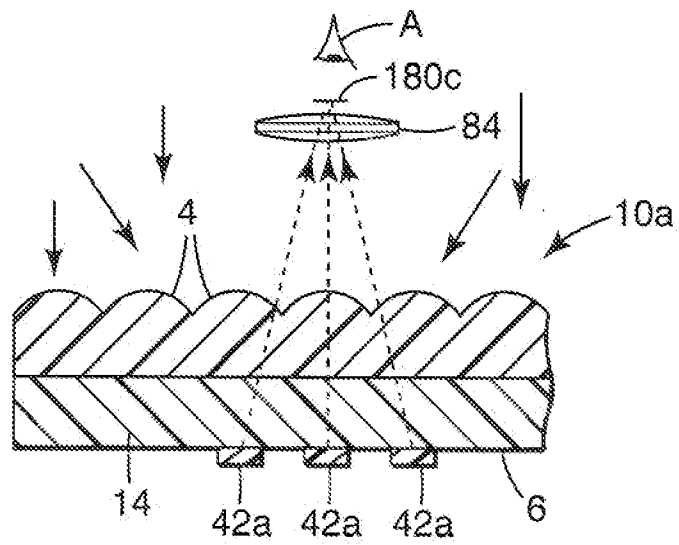


FIG. 27

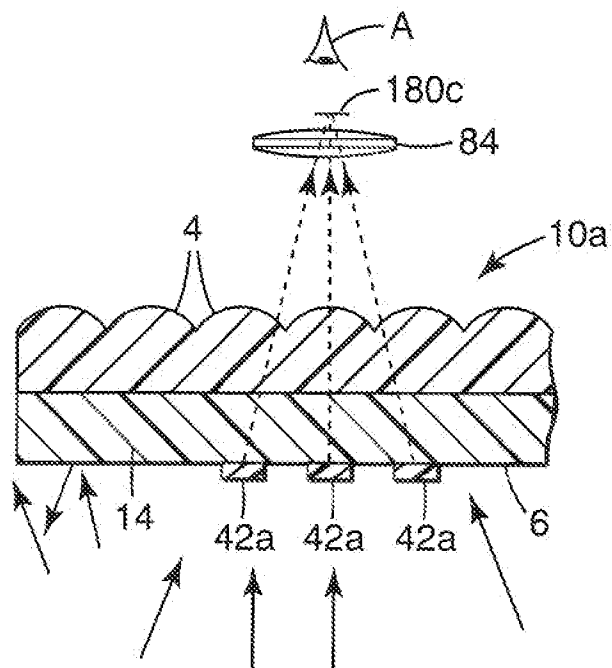
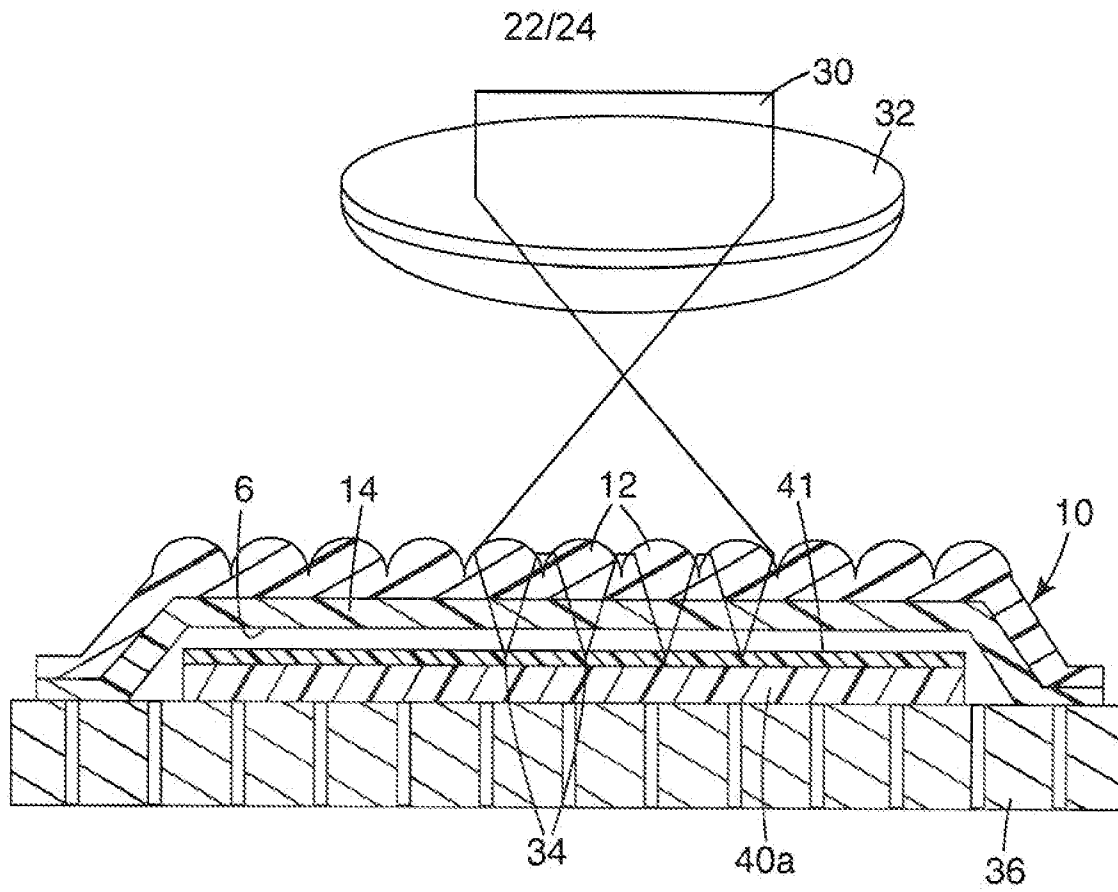
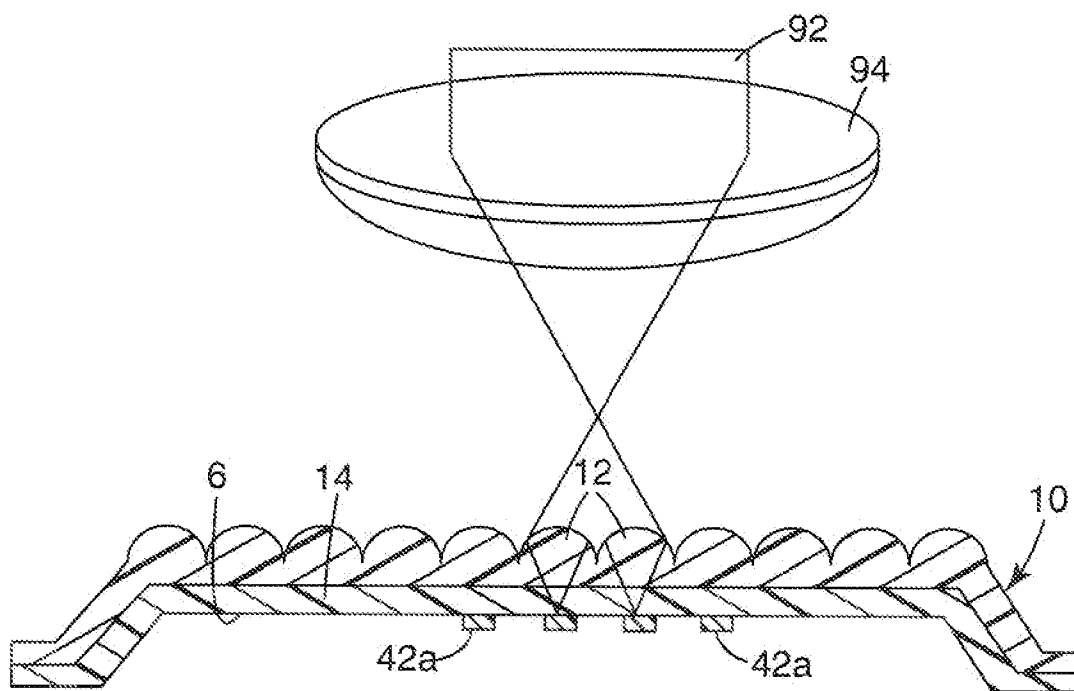


FIG. 28



*FIG. 29A*



*FIG. 29B*

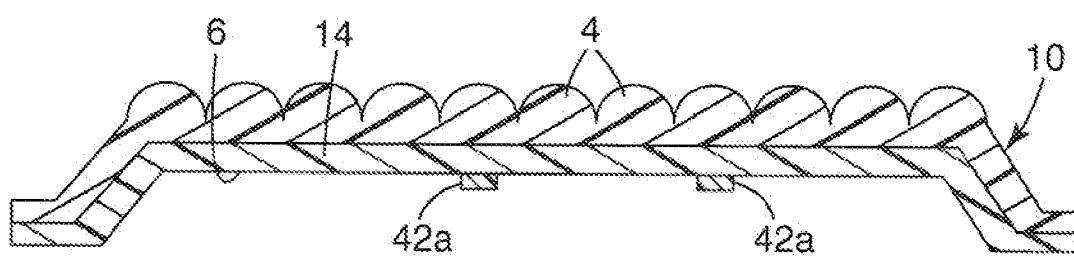
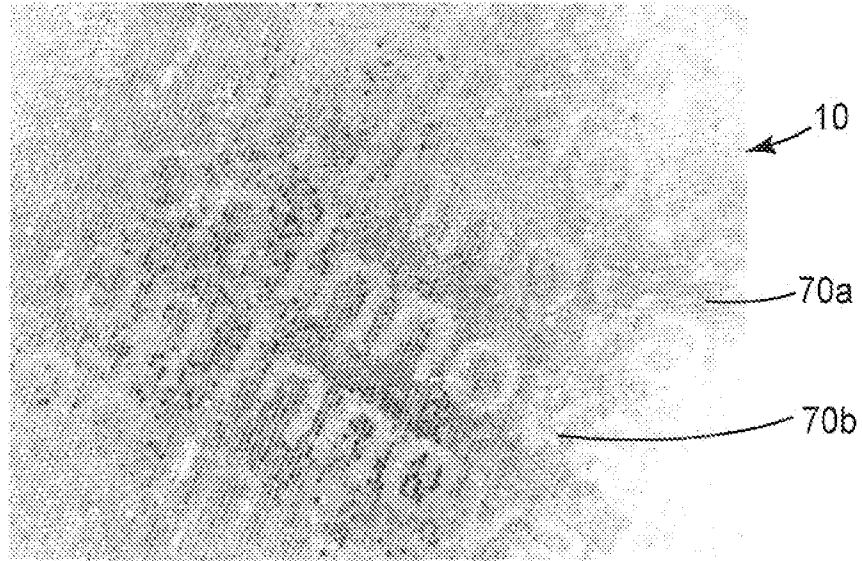


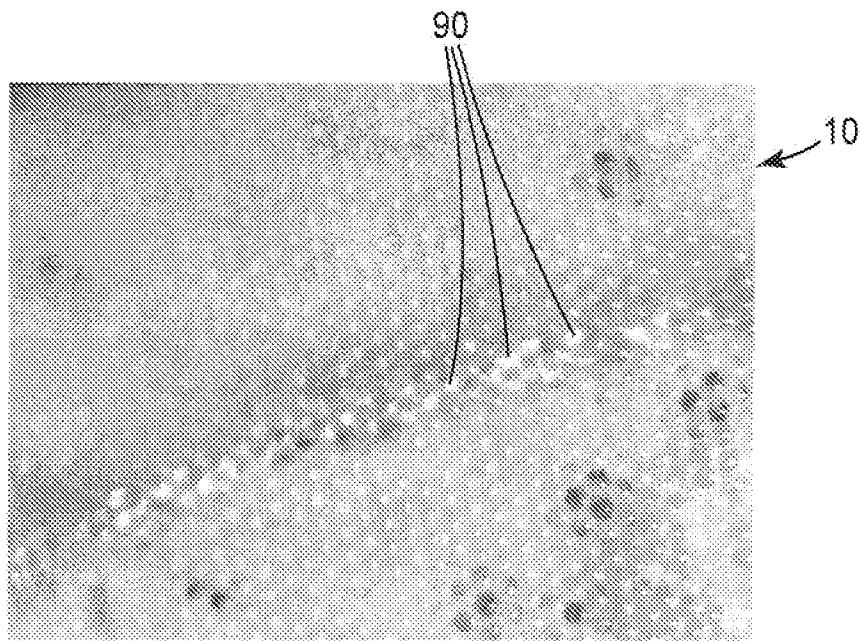
FIG. 29C



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*FIG. 30*



*FIG. 31*

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 09/60796

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - G02B 27/22, G02B 5/12 (2009.01)

USPC - 359/619, 620, 627

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

USPC: 359/619, 620, 627

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

USPC: 359/619, 620, 627, 462-464, 458 (text search - see terms below)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

PubWEST(USPT,PGPB,EPAB,JPAB); Google Scholar

Search Terms: partial image, float, sign, identification card, id, container, display, credit card, advertising, decoration, authentication, three dimensional, 3d, radiation, colorant, micrographics, microlens, donor material, color

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 2002/0054434 A1 (FLORCZAK et al.) 09 May 2002 (09.05.2002), entire document, especially; FIG. 2, para [0009], [0032], [0038]-[0041], [0045]-[0056], [0062], [0064], [0070], [0071], [0078]-[0082]	1, 3-24, 26, 27 ----- 2, 25
Y	US 2008/0212193 A1 (STEENBLIK et al.) 04 September 2008 (04.09.2008), entire document, especially; para [0002], [0008]	2, 25
A	US 2008/0118862 A1 (DUNN et al.) 22 May 2008 (22.05.2008), entire document	1-27
A	US 2008/0062527 A1 (CASTELLO et al.) 13 March 2008 (13.03.2008), entire document	1-27
A	US 20060245063 A1 (RA et al.) 02 November 2006 (02.11.2006), entire document	1-27

☐ Further documents are listed in the continuation of Box C.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

25 November 2009 (25.11.2009)

Date of mailing of the international search report

09 DEC 2009

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