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(54) Title: SYSTEM AND METHOD FOR OBTAINING OPTICAL SIGNAL INFORMATION

(57) Abstract: A system is provided for identifying signal propagation information. The system includes at least one component configured to receive an optical input signal and to emit an optical output signal. The emitted optical output signal is representative of the optical input signal, and is associated with characteristic information indicative of the component. A processor is also included, the processor being configured to sense the optical output signal and correlate the characteristic information with said component.

SYSTEM AND METHOD FOR OBTAINING OPTICAL SIGNAL INFORMATION

#### **BACKGROUND INFORMATION**

Optical networks are increasingly relied upon for communications and data transfer activities. However, while many data transfer activities involve communications across large geographical distances, the spatial expanse of "proprietary networks," or those networks controlled by individual network providers, is often somewhat more limited. As a result, some network providers have sought to implement a system in which each network provider shares access to its own proprietary network, or "domain," with other network providers. In that case, optical signals would be passed from one domain to another, thereby expanding the spatial communications capabilities of all users. By employing such a system, network providers hope to enable national and international communications services in line with customer demands.

One of the significant obstacles to the above system of network sharing is ensuring network interoperability, or the ability of one domain to effectively receive, process, and/or propagate optical signals from another domain.

Specifically, in many cases, network providers are related to the communications service providers, and the various domains are configured to be consistent with specific communications methods and protocols. Components included in the network forming each domain, while well-suited for handling intra-domain optical signals, are often ill-suited to interacting with inter-domain optical signals, due to an inability to recognize degradation of the signals. Until recently, solutions to this issue focused on mainly software-implemented strategies to allow optical signals to be recognized by different domains. However, software solutions have failed to completely solve the problem, due to the requirement to reveal proprietary network

information in order to create the software. As such, there is a need for an optical communications system in which domain interoperability is enhanced.

#### BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING(S)

Fig. 1 is a schematic perspective view of a portion of an optical network, the network being configured in accordance with an embodiment of the present invention;

Figs. 2a-2c are a sequential schematic representation of a signal *s* propagating along a wave guide connecting several components that introduce characteristic information associated with the signal; and

Fig. 3 is a schematic representation of a signal propagating along a wave guide, the signal being shown at three sequential points in time including before encountering several optical network component and after encountering one and both of the components.

### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

The preferred embodiments according to the present invention now will be described more fully hereinafter with reference to the accompanying drawings, in which some, but not all embodiments of the inventions are shown. Indeed, these inventions may be embodied in many different forms and should not be construed as limited to the embodiments set forth herein; rather, these embodiments are provided so that this disclosure will satisfy applicable legal requirements. Like numbers refer to like elements throughout.

Referring to Fig. 1, therein is shown a portion of an optical network 100, the illustrated portion being configured in accordance with an embodiment of the present invention. The network portion 100 includes multiple optical paths, such as free-space areas 102 for propagation of optical or electromagnetic waves and discrete waveguides 104, such as optical fibers. Both the free-space links 102 and the wave guides 104 are capable of supporting the propagation of signals. The network portion 100 may be part of a larger network, or may be independently utilized. If the network portion is part of a larger network, that larger network may be exclusively optical, may include electrical and optical aspects, may utilize only electrical components that couple to the illustrated network portion via an

electrical-to-optical converter, or may include aspects that support the propagation of other types of signals, such as, for example, pressure or stress waves.

Multiple components 106 may be included in the network portion 100, interconnected by the optical paths 102, 104. When the components 106 are connected in this way, signals may propagate between the components and along and through the network portion 100. Each of the components 106 can be configured to receive an input signal propagating on an optical path and to emit an output signal. At least some of these output signals can be representative of the input signals, such that information contained in the input signal is generally retained and further propagated in the related output signal; an example of such a representative output signal is described in more detail below. Some output signals may also be associated with characteristic information that includes characteristic features indicative of the subset of components 106 that has been encountered by the signal (i.e., the signal that generated the output signal) during propagation through the network portion 100. Examples of characteristic information and characteristic features are also included below. In some embodiments, characteristic information might also include information regarding the content or format of the signal. For example, some of the characteristic information might indicate the wavelength of an associated optical signal.

Network portion 100 also includes a processor 108 configured for obtaining characteristic information and correlating such information, respectively, with one or more of the components 106. As used herein, processor 108 is to be broadly construed to include any type of computing device or other hardware or software component capable of performing the functions described below in conjunction with the processor. In terms of obtaining the characteristic information, the processor 108 may be coupled to an optical path, typically via an optical-to-electrical converter, and incorporate the capability to sense signals and associated characteristic information, may be in communication or integrated with a component 106, or may be coupled to another device that is capable of sensing a signal and/or associated characteristic information and relaying such information to the processor 108. A memory 110 can also be included in the network portion 100, memory 110 being in communication with processor 108. For example, memory 110 could store and communicate to the processor 108 a signal and

associated characteristic information. The memory 110 could also store instructions or software that cause the processor to obtain the signal and associated characteristic information, either from the memory or otherwise, as well as instructions causing the processor to correlate the characteristic information with at least one component.

By correlating the characteristic information with at least one of the respective components, processor 108 might determine one or all of the components 106 that a signal has encountered while propagating on network portion 100. Processor 108 might also determine the path followed by the signal in traversing the network portion 100, as well as the actual or likely signal intensity and/or degradation due, for example, to dissipation in the components encountered and/or the wave guides. In some embodiments, multiple processors may be included in the network portion, perhaps by coupling a respective processor to each, or at least a plurality, of the components. The inclusion of multiple processors might allow for analyzing signals throughout significant portions, if not all, of the network portion. In some cases, the processor may be configured to correlate the characteristic information associated with a signal with substantially all of the respective components that the signal has encountered. This configuration might facilitate the tracing of a signal through a network being traversed by the signal.

As mentioned, processor 108 may be employed to determine the intensity of a signal based on the characteristic information. Along these lines, the processor 108 may be configured to determine the intensity of a signal reaching a specific component. The processor can then instruct the component associated with the processor, such as component 106' in Fig. 1, to dispose of the signal in an appropriate manner based on the intensity of the signal. For instance, if the signal intensity is low, the processor can instruct the component to emit a related output signal, for example, by emitting an amplified version of the input signal, for further propagation through the network portion. Conversely, if signal intensity is high, the processor may instruct the component to simply transmit the signal. In some cases, the processor may instruct the component to terminate the signal. This can involve communicating the content of the signal to a separate device, such as a monitor for displaying the content or a cellular phone for receiving the content.

Referring to Figs. 2a-2c, therein are shown sequential schematic representations of a signal s 220 propagating along a wave guide 204 connecting several components 206a-b. First, signal (s) 220 is shown propagating along a waveguide 204 toward a component 206a. Once signal 220 encounters component 206a, characteristic information 222 is introduced such that the characteristic information 222 is associated and travels with signal 220. The characteristic information 222 includes characteristic feature  $(CF_a)$  224 indicative of the fact that signal 220 has encountered component 206a. As signal 220 and characteristic information 222 continue to propagate along waveguide 204, component 206b is encountered. As a result, characteristic feature  $(CF_b)$  226 is introduced such that it is associated and travels with signal 220. Characteristic feature 226 is indicative of the fact that the signal 220 has encountered component 206b. As shown in Fig. 2c, the output signal from component 206b not only includes signal (s), but also both  $CF_a$  and  $CF_b$  such that any subsequent analysis of the output signal can determine that the path of the signal (s) included components 206a and 206b as a result of the inclusion of  $CF_a$  and  $CF_b$ , respectively.

Various types of components might be included in the network portion discussed above. For example, network components can include tunable optical devices, such as reconfigurable optical add/drop multiplexers (ROADM). Components can also include transponders, optical amplifiers, waveguides, lenses, beam splitters, and mirrors. Specifically, dithering functions, such as those sometimes employed in conventional networks and facilitated by transponders and/or mirrors, can serve to incorporate characteristic features into a propagating signal. By using a specific dithering tone for each transponder or for related groups of transponders, a signal can incorporate a set of characteristic features indicative of the path followed by the signal through the network portion.

Components can be configured to introduce characteristic feature such that each component introduces a unique characteristic feature. In this way, a processor might track the exact components encountered by a propagating signal. Alternatively, components may introduce characteristic features that are indicative of a type of component, a spatial location, or the owner/operator of the equipment, but which are not necessarily unique to one component. In this case, a processor may be able to generally determine the origin, path, and/or distance traveled by a

signal in a network, although it may still be possible to determine an exact signal route based on correlations between intensity and characteristic information. Moreover, by appending the characteristic features in a predefined order, the processor can also determine the corresponding order in which a propagating signal encountered the components. This may also be possible when the characteristic features are not specified in a predefined order, through deconvolution techniques.

As an example of the manner in which characteristic information might be associated with a signal, referring to Fig. 3, therein is shown a schematic representation of a signal propagating along a wave guide 304. The signal is shown at three sequential points in time, from time  $t_0$  where the signal is approaching component 306a to a time  $t_1$  where the signal is beyond component 306a and approaching component 306b, and then to a time  $t_2$  where the signal has passed component 306b. At  $t_0$ , the signal can be represented by a sinusoidal wave with a wavelength of  $\lambda_s$  and an amplitude of  $A_s$ . This, for instance, is the response over time sensed by a receiver of the signal.

At a time between  $t_0$  and  $t_1$ , the signal encounters component 306a. Once the signal has encountered component 306a at  $t_1$ , the amplitude of the original sinusoid is increased to  $A_s'$  while the wavelength has remained at  $\lambda_s$ . As such, the component 306a has amplified the original signal, but has emitted a signal that is representative of the original (in this case, has the same wavelength  $\lambda_s$ ). Component 306a has also acted to superimpose or modulate another wave onto the original sinusoid. The superimposed wave has a wavelength  $\lambda_a$  and is aggregated with the original wave to yield a composite wave characterized by the waveform shown at  $t_1$ . This superimposed wave may act as the characteristic feature of component 306a, being indicative of the interaction between the signal and the component.

As the signal continues to propagate along wave guide 304, the signal encounters at a time between  $t_1$  and  $t_2$  the component 306b. Following this encounter at  $t_2$ , another wave has been superimposed onto the signal. The newly superimposed wave has a wavelength of  $\lambda_b$ . The superposition yields a composite wave that may be represented by the waveform shown at  $t_2$ . Still contained by the

composite wave is a component with amplitude of  $A'_s$  and a wavelength of  $\lambda_s$ . This composite wave, therefore, continues to be representative of the original signal, although amplified. Further, the wave now includes a component with a wavelength  $\lambda_b$ , and this may act as the characteristic feature of the component 306b.

As mentioned, the waveform representing the signal at time  $t_2$  includes several different harmonic frequencies which act as the characteristic features. In one embodiment, the characteristic features can be extracted by performing a frequency transformation on the wave to separate the components of different wavelength, as is well known. This transformation can be carried out, for example, by a processor, perhaps in a conventional computer. Alternatively, components can be used for physically separating the signal from the characteristic information, perhaps by employing a band pass filter that allows transmission of the signal but not the other components of the propagating wave. In instances in which it is desirable to be able to individually identify the components through which the signal passed, the wavelengths  $\lambda_a$  and  $\lambda_b$  that are superimposed upon the signal may be unique to and, therefore, characteristic of components 306a and 306b.

The characteristic information can be introduced such that the information leads, trails, or is commingled with the signal, or could be some combination thereof. In some embodiments, at least one of the signals may be included in an electromagnetic wave. In these cases, characteristic features may be introduced in the form of electromagnetic radiation of one or more wavelengths. In one embodiment, one component may be a transponder that introduces electromagnetic radiation of one or more wavelengths via a dithering tone of the transponder. Dithering may be amplitude dithering, frequency dithering, or a combination of the two. Signals and characteristic information can be amplitude modulated, frequency modulated, or a combination.

Many modifications of the preferred embodiments set forth herein and other embodiments will be evident based on the teachings presented in the foregoing descriptions and the associated drawings. Therefore, it is to be understood that the inventions are not to be limited to the specific embodiments disclosed and that modifications and other embodiments are intended to be included within the scope of the appended claims. Although specific terms are

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employed herein, they are used in a generic and descriptive sense only and not for purposes of limitation.

## THAT WHICH IS CLAIMED:

- 1. A method comprising:
  - receiving a propagating signal;

receiving characteristic information associated with the propagating signal, the characteristic information being introduced by at least one optical network component encountered by the propagating signal; and

correlating the characteristic information with at least one respective component encountered by the propagating signal.

- 2. A method according to Claim 1, further comprising extracting the characteristic information from the signal.
- 3. A method according to Claim 2, wherein the signal and characteristic information are incorporated into a wave and said extracting includes performing a frequency transformation on the wave to identify the signal and the characteristic information.
- 4. A method according to Claim 1, wherein said correlating includes correlating the characteristic information with substantially all of the respective components to determine an intensity of the propagating signal.
- 5. A method according to Claim 4, wherein the characteristic information includes one or more characteristic features, each characteristic feature being introduced by and unique to a respective component of the optical network components.

#### 6. A system comprising:

at least one component configured to receive an optical input signal and to emit an optical output signal representative of the optical input signal and associated with characteristic information indicative of said component; and

a processor configured to sense the optical output signal and associated characteristic information and correlate the characteristic information with said component.

- 7. A system according to Claim 6, wherein the optical input and output signals are included in an electromagnetic wave.
- 8. A system according to Claim 7, wherein the characteristic feature is electromagnetic radiation of one or more wavelengths.
- 9. A system according to Claim 8, wherein at least one of said components is a transponder and the electromagnetic radiation of one or more wavelengths is introduced as a dithering tone of the transponder.
- 10. A system according to Claim 7, wherein said processor is further configured to perform a frequency transformation on the electromagnetic wave to identify the signal and the characteristic information.
- 11. A system according to Claim 6, wherein said processor is further configured to determine, based on the correlation of the characteristic information with said component, an intensity of the optical output signal.
- 12. A system according to Claim 11, wherein said processor is configured to instruct a device configured to receive the optical output signal either to terminate the signal or to emit a related optical output signal.
- 13. A system according to Claim 6, wherein said at least one component is at least first and second components, said first and second components each configured to receive an optical input signal and to emit an optical output signal respectively representative of the input signals and respectively associated with characteristic information indicative of said component, and wherein said processor is configured to sense the respective output signals and respectively correlate the characteristic information with said first and second components.

- 14. A system according to Claim 6, wherein at least one of said components is selected from the group consisting of a transponder, an optical amplifier, a mirror, and a tunable optical component.
- 15. A system according to Claim 14, wherein the tunable optical component includes a reconfigurable optical add/drop multiplexer.
- 16. A system according to Claim 6, wherein the processor is further configured to correlate the characteristic information with a wavelength of at least one of the input signal or the output signal.

#### 17. An apparatus comprising:

a processor configured to obtain a signal and characteristic information associated with the signal, the characteristic information being introduced by at least one optical network component encountered by the signal, and to correlate the characteristic information with at least one respective component.

- 18. An apparatus according to Claim 17, wherein said processor is further configured to extract the characteristic information from the signal.
- 19. An apparatus according to Claim 18, wherein the signal and characteristic information are incorporated into a wave and said processor is configured to extract the characteristic information by performing a frequency transformation on the wave to identify the signal and the characteristic information.
- 20. An apparatus according to Claim 17, wherein said processor is configured to correlate the characteristic information with substantially all of the respective components encountered by the signal.
- 21. An apparatus according to Claim 20, wherein the characteristic information includes one or more characteristic features, each characteristic feature

being introduced by and unique to a respective component of the optical network components.

- 22. An apparatus according to Claim 20, wherein said processor is further configured to determine an intensity of the propagating signal based on the correlating of the characteristic information with substantially all of the respective components encountered by the signal.
- 23. An apparatus according to Claim 17, further comprising a memory that stores the signal and associated characteristic information and communicates the signal and associated characteristic information to said processor.
- 24. An apparatus according to Claim 23, wherein said memory stores instructions causing said processor to obtain the signal and associated characteristic information and to correlate the characteristic information with at least one respective component.
- 25. An apparatus according to Claim 17, wherein said processor is configured to generate an instruction regarding disposition of the signal based on the correlating of the characteristic information with at least one respective component.

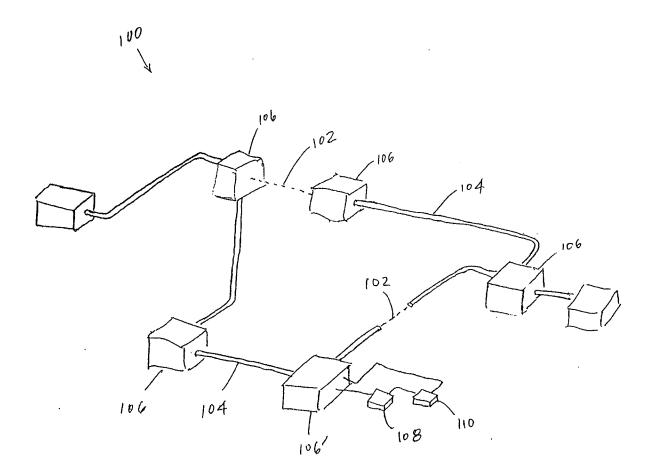
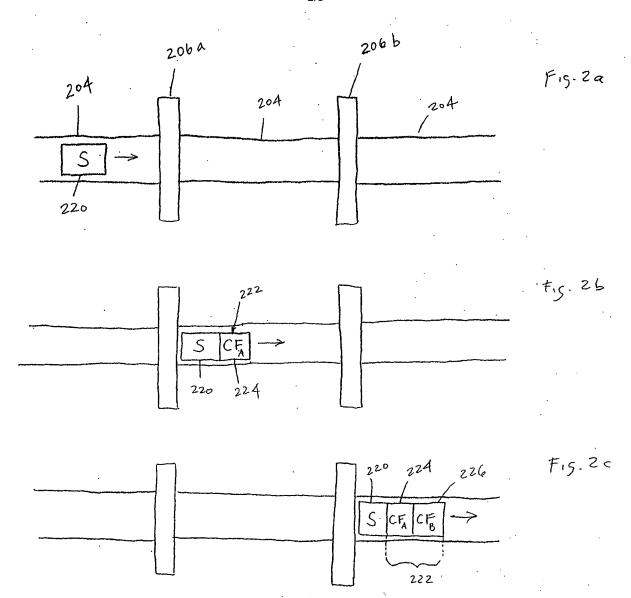
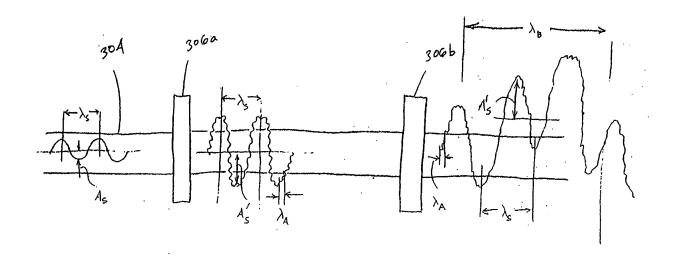


Fig. 1.





F15. 3