



- (51) International Patent Classification:
B65D 71/70 (2006.01) *A47F 7/28* (2006.01)
- (21) International Application Number:
PCT/US2013/023742
- (22) International Filing Date:
30 January 2013 (30.01.2013)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
61/592,098 30 January 2012 (30.01.2012) US
- (71) Applicant: POLYMER SOLUTIONS INTERNATIONAL, INC. [US/US]; P.O. Box 369, Medford, NJ 08055-0369 (US).

- (72) Inventors: **KELLY, Daniel, E.**; 9 Roxbury Drive, Medford, NJ 08055 (US). **SPADAVECCHIA, John, A.**; 3333 Bear Creek Road, Red House, VA 23963 (US). **FAVARON, James, A.**; 207 Cades Court, Columbia, SC 29212 (US). **JACOBS, Jeff**; 148 Dupont Boulevard, Lugoff, SC 29078 (US).
- (74) Agent: **ROTHER, Christopher, A.**; RatnerPrestia, P.O. Box 980, Valley Forge, PA 19482 (US).
- (81) Designated States (*unless otherwise indicated, for every kind of national protection available*): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

[Continued on next page]

(54) Title: TRAY SYSTEM FOR DISPLAY, STORAGE AND TRANSPORTATION OF BOTTLES

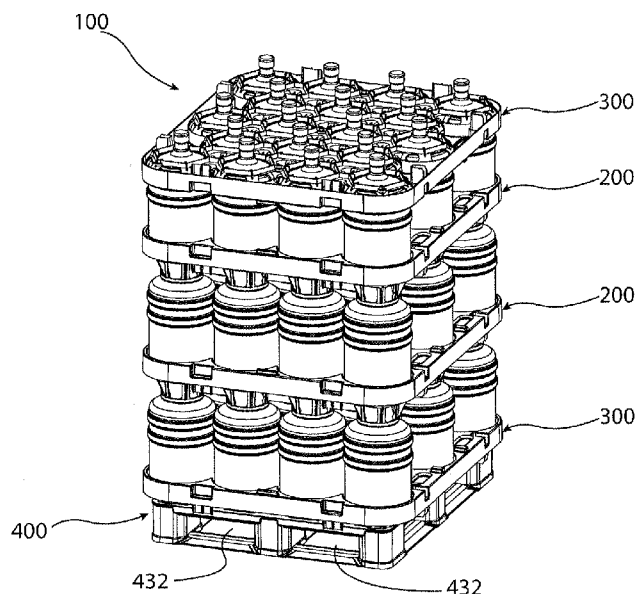


FIG. 1

(57) Abstract: A tray system (100) includes a first tray (200) having a plurality of stacking units. Each stacking unit forms a lower receptacle (220) for receiving a neck portion of a first bottle, and an upper receptacle (240) for receiving a base portion of a second bottle to be stacked above the first bottle. The lower receptacle has a first end (222), a second end (224) opposite the first end, and a sidewall (226) connecting the first end with the second end. The first end forms an opening (228) for receiving a neck of a bottle into the lower receptacle. The tray system may also include a second tray (300) and a pallet (400).



(84) **Designated States** (*unless otherwise indicated, for every kind of regional protection available*): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

- *as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))*
- *as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))*

Published:

- *with international search report (Art. 21(3))*

- 1 -

TRAY SYSTEM FOR DISPLAY, STORAGE AND TRANSPORTATION OF BOTTLES

RELATED APPLICATIONS

This application claims the benefit of priority of U.S. Application No. 61/592,098, filed January 30, 2012, the content of which is incorporated by reference herein in its entirety for all purposes.

FIELD

The present disclosure relates generally to apparatuses for storing and transporting containers, and more specifically to a tray system used for displaying, storing and transporting product containers in vertically stacked arrangements.

BACKGROUND

Businesses engaged in the home-office-delivery (HOD) bottled water business face a number of challenges in delivering bottled water to customers. Bottled water businesses also face a number of challenges in retrieving empty bottles from customers, and transporting the empty bottles back to a facility for cleaning and refilling. The size, shape and weight of these bottles make them very prone to tipping over and rolling during transport to and from the customer. If the bottles are allowed to tip over and roll, the bottles can be damaged, resulting not only in spillage of water, but also in the loss of the container.

Bottled water businesses often ship bottled water to customers in bulk. The bottles are frequently shipped on wooden pallets. After bottles are placed on top of a wooden pallet, the bottles are secured against shifting by securing straps around the bottles, or by wrapping a plastic film around the pallet and bottles. For large shipments, bottles may be stacked on top of one another. A first level of bottles is placed on a pallet, and a thin sheet of plywood or other material, sometimes called a "slip sheet", is placed on top of the first level of bottles. A second level of bottles is then placed on the slip sheet. A second slip sheet may be placed on the second level of bottles to support a third level of bottles. The multi-level stack of bottles is then secured with straps or plastic film to secure the bottles together.

There are several drawbacks to using traditional pallets and slip sheets. A major drawback is the need for straps, plastic film or other means for securing the bottles together on the pallet, or between the pallet and slip sheet. This adds time and cost to the process of transporting bottles. When empty bottles are retrieved from customers, they often must be stacked in an orderly arrangement on pallets inside a truck to maximize the number of bottles that can fit inside the truck. Empty bottles are extremely light and very prone to shifting during shipping unless they are secured with plastic wrap or other securing means.

- 2 -

Another drawback of traditional pallets and slip sheets is instability. Even when the bottles, pallets and slip sheets are secured in plastic wrap or other securing means, the stack of bottles can still be unstable because the bottles are seldom centered over one another, allowing the stack to lean to one side.

5 Traditional pallets and slip sheets also pose problems when using machinery in an automated process to stack bottles. Pallets and slip sheets have flat surfaces that do not provide target areas on which to place bottles. As such, it is very difficult to load bottles onto a pallet or slip sheet in an automated process, and particularly difficult to stack bottles so that they are centered over one another in a stable
10 arrangement.

Yet another drawback of traditional pallets and slip sheets is the stress they place on bottles. When bottles are stacked on top of one another on pallets and slip sheets, an enormous amount of load is placed on the spout and cap of each bottle, particularly the spouts and caps of bottles on the bottom level. This leads to frequent
15 breakage of the caps during loading and shipment, resulting in loss of product.

Lastly, conventional wood pallets and slip sheets are not suitable for displaying bottles to customers in stores. Wood pallets frequently exhibit broken planks, popped nails, splintered surfaces and other features that can detract from the store display.

SUMMARY

20 The drawbacks of conventional systems for bottle display, storage and transportation are resolved by tray systems in accordance with the invention.

A tray system according to one embodiment includes a first tray having a plurality of stacking units. Each stacking unit may form a lower receptacle for receiving a neck portion of a first bottle, and an upper receptacle for receiving a base portion of a
25 second bottle to be stacked vertically above a first bottle. The upper receptacle may include a central longitudinal axis and the lower receptacle may include a central longitudinal axis aligned coaxially with the central longitudinal axis of the upper receptacle.

The upper receptacle may include a bottom wall and a sidewall extending along
30 at least a portion of the bottom wall. The lower receptacle may include a first end, a second end opposite the first end, and a sidewall connecting the first end with the second end. The first end may form an opening for receiving a neck of a second bottle into the lower receptacle. The sidewall of the lower receptacle may surround or partially surround an interior space. The cross sectional area of the interior space at
35 the first end of the lower receptacle may be greater than the cross sectional area of the interior space at the second end.

The sidewall of the lower receptacle may form a frustoconical-shaped enclosure adapted to surround at least a portion of and protect a neck portion of a second bottle received in the lower receptacle. The first end of the lower receptacle may include a rim that surrounds at least a portion of the opening. The rim may be configured to
5 surround at least a portion of a neck portion of a second bottle received in the lower receptacle. The rim may be further configured to rest on top of a shoulder portion of a second bottle received in the lower receptacle to distribute load onto a shoulder portion of the second bottle received in the lower receptacle. The rim may include a cushion or scratch prevention material, configured to rest directly on a shoulder portion of the
10 second bottle received in the lower receptacle. The cushion or scratch prevention material may include or be formed of a gasket made of elastomeric material.

The plurality of stacking units may be arranged in two or more rows extending in a first direction, and two or more columns extending in a second direction perpendicular to the first direction. The rows may each contain the same number of
15 stacking units, and the columns may each contain the same number of stacking units. The stacking units may be integrally attached to one another in a single homogenous body of unitary construction. Alternatively, the stacking units may be modularly connected to one another.

The sidewall of the lower receptacle may form a neck brace for supporting the
20 first tray on the shoulder portion of a first bottle. The neck brace may include a rim surrounding at least a portion of the opening at the first end and a plurality of posts extending between the rim and the second end. Alternatively, the neck brace may include a solid ring-shaped sidewall with a flattened section and an aperture through the flattened section.

The tray system may include a second tray having a plurality of receiving units
25 for receiving either a base portion of a third bottle or a neck portion of a third bottle. The tray system may also include a pallet for supporting the first and second trays. The second tray may be anchored to the pallet to form a pallet-tray unit.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a perspective view of a tray system for the display, bulk storage and
30 transportation of bottles in accordance with one exemplary embodiment, shown loaded with bottles;

Figure 2 is a front view of the tray system and bottles in Figure 1;

Figure 3 is a top view of a first tray component of the tray system in Figure 1;

Figure 4 is a bottom view of the first tray component of Figure 3;

Figure 5 is a front view of the first tray component of Figure 3;

Figure 6 is a side view of the first tray component of Figure 3;

Figure 7 is a cross-section view of the first tray component of Figure 3, taken through line 7-7 in Figure 3, with an outline of a bottle as it could be positioned in the first tray component;

Figure 8 is a cross-section view of the first tray component of Figure 3, taken
5 through line 8-8 in Figure 3;

Figure 9 is a top view of a second tray component of the tray system in Figure
1;

Figure 10 is a bottom view of the second tray component of Figure 9;

Figure 11 is a front view of the second tray component of Figure 9;

10 Figure 12 is a side view of the second tray component of Figure 9;

Figure 13 is a cross-section view of the second tray component of Figure 9,
taken through line 13-13 in Figure 9;

Figure 14 is a cross-section view of the second tray component of Figure 9,
taken through line 14-14 in Figure 9;

15 Figure 15 is a top perspective view of a tray component for a tray system in
accordance with another exemplary embodiment;

Figure 16 is a bottom perspective view of the tray component of Figure 15;

Figure 17 is a cross-section view of the tray component of Figure 15, taken
through line 17-17 in Figure 15;

20 Figure 18 is a top perspective view of a tray component for a tray system in
accordance with another exemplary embodiment;

Figure 19 is a top view of the tray component of Figure 18;

Figure 20 is a side view of the tray component of Figure 18; and

25 Figure 21 is a cross-section view of the tray component of Figure 18, taken
through line 21-21 in Figure 18.

DETAILED DESCRIPTION

Although this description makes reference to specific embodiments, the
invention is not intended to be limited to the details shown. Rather, various
modifications may be made in the details within the scope and range of equivalents of
30 the claims and without departing from the invention.

The foregoing drawbacks of wood pallets and slip sheets are addressed to a
large extent by tray systems in accordance with the invention, examples of which are
described in this disclosure.

Tray systems in accordance with the invention may be manufactured by
35 injection molding, thermoforming, rotational molding or other manufacturing processes.
Figure 1 shows a tray system 100 in accordance with one embodiment that is
manufactured by injection molding. For purposes of this description, tray system 100

is shown and described as it would be used for displaying, storing and transporting five gallon water bottles in bulk. Those skilled in the art will understand that tray systems in accordance with the invention, such as tray system 100, can be used for displaying, storing and transporting a variety of containers and contents, and are not necessarily
5 designed exclusively for water bottles, or containers having a specific size, volume or shape. For example, tray systems in accordance with the invention can be used to display, store and transport propane tanks and other cylindrical or non-cylindrical containers and packages.

Tray systems in accordance with the invention may be used to display bottles in
10 stores, showrooms and other areas in which contained product is placed on display. Tray systems in accordance with the invention may also be used to store and transport bottles in stacks having two or more levels of bottles. In describing tray systems, reference will be made to one or more "first bottles", one or more "second bottles", one or more "third bottles", and so forth. The term "first bottle", as used herein, means a
15 bottle in the bottom level in a stack. No bottles are stacked below a first bottle. The term "second bottle", as used herein, means a bottle in a level immediately above the level containing a first bottle. The term "third bottle", as used herein, means a bottle in a level immediately above the level containing a second bottle.

The drawing figures contain a number of features that are shown multiple times
20 in the same figure. For example, Figure 3 shows a plurality of "upper receptacles" some of which are identified with the label "240". When a feature is shown multiple times in the same drawing figure, the drawing figure may contain a label for only some of the features that are shown. This is done solely to avoid using an excessive number of labels in the same drawing, which could create clutter and obscure other features in
25 the drawings.

Tray system 100 includes two types of trays: a first tray or "shoulder tray" 200, and a second tray or "top/bottom tray" 300. Shoulder trays 200 and top/bottom trays 300 provide a visually attractive and aesthetically pleasing display apparatus for displaying vertical stacks of bottles. Shoulder trays 200 and top/bottom trays 300 also
30 provide a sturdy and secure system for storing and transporting bottles without the need for straps, plastic wrap or other means for securing the bottles.

Each shoulder tray 200 is made up of a plurality of stacking units 210. Each stacking unit 210 forms a lower receptacle 220 for receiving a neck portion of a bottle. Each stacking unit 210 also forms an upper receptacle 240 for receiving a base portion
35 of a bottle. The stacking units allow stacking of a second bottle in an upright position, directly above a first bottle that is also in an upright position. The term "upright", as used herein in describing a bottle, means that the bottle is oriented with its neck and

spout vertically positioned above the rest of the bottle. Figures 1 and 2 illustrate stacking arrangements with third bottles B3 stacked vertically above second bottles B2, and second bottles B2 stacked vertically above first bottles B1, all bottles oriented in upright positions.

5 Figures 3-9 illustrate the lower receptacles 220 and upper receptacles 240 on each shoulder tray 200 in more detail. Each upper receptacle 240 includes a bottom wall 242 and a sidewall 244 surrounding the bottom wall. Bottom wall 242 and sidewall 244 form a socket 246 for receiving the base portion of a bottle. Sockets 246 provide specific landing locations in the tray that can be recognized and targeted by
10 robotic loading equipment to facilitate the loading of empty bottles into the tray using an automated process. These landing locations provide a specific point of reference on the shoulder tray for each bottle so that the robotic loading equipment can precisely place each bottle on the shoulder tray without interference with another bottle. The landing locations also provide a secure place to set empty bottles in a stable and
15 upright position. In particular, the sidewall 244 and sockets 246 support and hold the base of each bottle, minimizing or preventing the bottles from being knocked over by other bottles as the other bottles are loaded onto the pallet. Conventional wood pallets, in contrast, have no sidewalls or sockets to create landing locations. Therefore, it is extremely difficult for automated machinery to load empty bottles onto
20 conventional wood pallets and keep the bottles on the pallets, because the bottles are free to move and slide on the pallets. Given their extremely light weight, empty bottles can be easily knocked over or pushed off of a conventional wood pallet by other bottles being placed on the pallet. The light weight of empty bottles also makes them very prone to shifting during transport on conventional wood pallets. Therefore,
25 conventional wood pallets that are loaded with empty bottles are typically wrapped in a plastic wrap to hold the bottles in place and prevent them from moving during transport. Shoulder trays with sidewalls and/or sockets in accordance with the invention address all of these challenges by securely holding the bottles in specific landing locations, making loading and transport of empty bottles much easier.

30 Sockets 246 are arranged adjacently or tangentially to one another, as seen best in Figure 3. This arrangement allows multiple bottles to be positioned adjacent to one another in a compact arrangement so as to reduce the overall size of shoulder tray 200 and increase the number of bottles that can fit in a tray of a specific size. Sockets 246 that are located along the perimeter or exterior 202 of shoulder tray 200 have
35 sidewalls 244 with a continuous sidewall region 247. Each continuous sidewall region 247 surrounds at least half of its respective socket 246.

- 7 -

Shoulder trays in accordance with the invention may or may not include dividers in the form of walls or posts that border sockets located toward the center or interior of the tray. Dividers may be desirable to physically separate the sockets from one another and provide defined loading areas on the tray. For example, the sockets 246 that are located toward the center or interior of shoulder tray 200 have sidewalls 244 made up of four or more triangular posts or dividers 248. In preferred embodiments, like the one shown, the triangular dividers 248 each have a concave contour 249 on each side that conforms to the curvature of the bottles to be loaded into the tray. Sockets 246 are preferably dimensioned and arranged to allow a minimum clearance space between bottles so that the bottles can be loaded and unloaded without rubbing against adjacent bottles in the tray.

Those skilled in the art will understand that trays in accordance with the invention need not have dividers between the sockets. In fact, it is sometimes desirable to have no dividers between the sockets to allow the bottles to slide across the bottom of the tray. Freedom to slide on the bottom of the tray sometimes improves access to the bottles and makes bottle loading and unloading easier.

Referring now to Figures 4, 7 and 8, the bottom of each shoulder tray 200 includes a plurality of lower receptacles 220. Each lower receptacle 220 includes a first end 222, a second end 224 opposite the first end, and a sidewall 226 connecting the first end with the second end. Each lower receptacle 220 also has a generally circular geometry at its first end 222, and a generally hexagonal geometry (outlined by hexagons 241) at its second end 224. Sidewall 226 surrounds an interior space 221. First end 222 forms an opening 228 into interior space 221 for receiving the neck of a bottle into lower receptacle 220. Figure 7 shows the outline of a second bottle B2 with a neck portion N2 extending into the lower receptacle 220. The cross sectional area of interior space 221 at first end 222 is greater than the cross sectional area of the interior space at second end 224. An inner surface 226a of sidewall 226 gradually tapers radially inwardly as the sidewall extends from first end 222 to second end 224.

First end includes a generally circular rim 223 that circumscribes opening 228. Rim 223 is configured to surround a neck portion of a bottle that is received in the lower receptacle 220 and rests on a shoulder portion of the bottle. In Figure 7, rim 223 rests on top of a shoulder portion S2 of second bottle B2 received in the lower receptacle. By resting on shoulder portion S2, rim 223 distributes load onto the shoulder portion of second bottle B2, away from the neck portion N2 and cap C2 on the bottle.

The sidewall 226 of each lower receptacle 220 forms a frustoconical-shaped enclosure or cage adapted to surround and protect the neck portion of a bottle received

in the lower receptacle. Sidewall 226 provides a circular neck brace 227 for supporting the tray on the shoulder portion of a bottle beneath the tray. Neck brace 227 includes the rim 223, which completely surrounds the opening at the first end 222, and a plurality of posts 229 extending between the rim and second end 224.

5 Lower receptacles and neck braces in accordance with the invention may have a number of configurations for protecting the neck of a bottle, and need not have a plurality of posts and a rim that completely surrounds an opening. For example, neck braces in accordance with the invention could include a plurality of wall sections arranged along the outline of a cylinder, a frustum of a cone, or other tubular construct,
10 with gaps separating the wall sections from one another. The tubular construct need not be circular, but may be elliptical, polygonal, or have some other type of geometry for surrounding at least a portion of a bottle neck. Lower receptacles in accordance with the invention can include a plurality of posts interconnected by a rim, where the rim is made up of a plurality of sections arranged along the outline of a circle, oval,
15 ellipse, polygon or other two-dimensional or three-dimensional shape for engaging the shoulder of a bottle. Other configurations for the lower receptacle and neck brace will become apparent from embodiments described in subsequent paragraphs.

The inwardly tapered surface 226a of sidewall 226 protects the cap and neck portion from "racking". Racking is a condition that occurs when the neck portion and
20 cap become jammed inside a tray above the bottle. Racking can occur when the tray is tilted during placement onto the bottle, or lifting off of the bottle. The tapered sidewall creates a widened opening with more clearance to allow the shoulder tray to be more easily lowered onto or lifted off of the bottle, with minimal contact or interference with the bottle neck.

25 Referring to Figure 8, each lower receptacle 220 has a central longitudinal axis X_{low} , and each upper receptacle 240 has a central longitudinal axis X_{up} . Central longitudinal axis X_{up} is aligned coaxially with central longitudinal axis X_{low} .

Rims in accordance with the invention distribute the weight of bottles stacked above them onto bottles arranged below them. In Figure 2, for example, the rims 223
30 that rest on the shoulder portions S2 of the second bottles B2 distribute the weight of third bottles B3. The rims 223 that rest on the shoulder portions S1 of first bottles B1 distribute the weight of the second bottles B2 and third bottles B3. The weight that is distributed to the shoulder portions can be significant. Therefore, the rims may include one or more cushions or other scratch prevention materials that prevent the rims from
35 marring the shoulder portions of underlying bottles when the trays are loaded. For example, Figures 2, 7 and 8 show rims 223 with cushions in the form of gaskets 225 that surround the rims. Gaskets 225 (only some of which are labeled in the drawings)

are shown resting on the shoulder portions S1 and S2 of bottles B1 and B2, respectively, to protect the surfaces of the bottles. Gaskets that are used in accordance with the invention may have a variety of shapes, thicknesses and material compositions. For example, a gasket formed of a soft elastomeric material, such as Santoprene™ brand thermoplastic vulcanizate, can be used. A gasket can be attached to each rim using an adhesive or other bonding technique.

Shoulder tray 200 includes a total of eighteen lower receptacles 220. This provides a lower receptacle 220 for every bottle loaded beneath a shoulder tray 220, assuming that all bottle spaces are loaded with a bottle. As such, the weight in shoulder tray 220 can be distributed to all of the bottles that are loaded beneath the shoulder tray. Shoulder trays in accordance with the invention need not be provided with a lower receptacle 220 for every bottle loaded beneath the tray, however. That is, lower receptacles need not be provided beneath every socket. For example, a shoulder tray in accordance with the invention may only have lower receptacles beneath sockets along the perimeter of the tray, and not have receptacles beneath sockets toward the center or interior of the tray. Alternatively, lower receptacles may only be provided beneath sockets toward the center or interior of the tray, and not be provided beneath sockets along the perimeter of the tray. Either option is easily visualized by omitting some of the lower receptacles shown in the drawing figures.

Referring now to Figures 9-14, the second tray, or "top/bottom tray" 300, is shown in more detail. Top/bottom tray 300 is similar in some respects to shoulder tray 200. A major difference between shoulder tray 200 and top/bottom tray 300 is that the top/bottom tray does not have a lower receptacle with a protective neck brace. Top/bottom tray 300 includes a plurality of receptacles 340. Each receptacle 340 has an end wall 342 and a sidewall 344 surrounding the end wall. End wall 342 and sidewall 344 form a socket 346 that can receive the base portion of a bottle, when top/bottom tray 300 is oriented in an upright position at the bottom of a stack. Alternatively, socket 346 can receive the neck portion N of a bottle when top/bottom tray is oriented in an inverted position and placed at the top of a stack. In Figure 2, for example, the stack ST includes one top/bottom tray 300a oriented in an upright position, receiving the base portions of bottles B1. Stack ST also includes a top/bottom tray 300b oriented in an inverted position, receiving the neck portions of bottles B3. When referring to the orientation of top/bottom trays, the term "upright" means an orientation in which rim 342 is positioned vertically below sidewall 344, and the term "inverted" means an orientation in which the rim is positioned vertically above the sidewall with respect to vertical axis Y.

- 10 -

Sockets 346 are arranged adjacently or tangentially to one another, much like the sockets 246 in shoulder tray 200. This arrangement allows multiple bottles to be positioned adjacent to one another in a compact arrangement so as to reduce the overall size of top/bottom tray 300 and increase the number of bottles that can fit in a tray of a specific size. Sockets 346 located along the perimeter or exterior 302 of top/bottom tray 300 have sidewalls 344 with a continuous sidewall region 347. Each continuous sidewall region 347 surrounds at least half of the respective socket 346. Sockets 346 located toward the center or interior of top/bottom tray 300 have sidewalls 344 made up of four or more triangular posts or dividers 348. In preferred embodiments, like the one shown, the triangular dividers 348 each have a concave contour 349 on each side that conforms to the curvature of the bottles to be loaded into the tray. The sockets 346 are preferably dimensioned and arranged to allow a minimum clearance space between bottles so that the bottles can be loaded and unloaded without rubbing against adjacent bottles in the tray.

Referring to Figure 10, the bottom of top/bottom tray 300 has a reinforcing rib structure 380 that includes a number of hexagonal shaped rings 382. Rib structure 380 is produced by injection molding, as noted above. When other manufacturing processes are used, like thermoforming, the top/bottom tray may not have a rib structure.

Referring again to Figures 1 and 2, tray system 100 further includes a pallet 400. Pallet 400 provides a rigid and stable foundation for stack ST. In addition, pallet 400 provides a mechanism for a forklift truck or other machinery to lift and move the stack ST. Pallet 400 has a generally rectangular shape featuring a top portion 410, a bottom portion 420 and four sidewalls 430 extending between the top and bottom portions. Each sidewall 430 forms two or more openings 432 adapted to receive a fork on a fork lift truck. A top/bottom tray may be permanently attached or anchored to the pallet. In Figure 2, for example, top/bottom tray 300a is anchored to pallet 400 with anchor screws (not shown), forming a pallet-tray unit 450. Pallets and top/bottom trays in accordance with the invention may also be connected with detachable couplings, or not be connected at all.

Shoulder trays, top/bottom trays and pallets used in accordance with the invention may include one or more structures to assist in nesting the trays and pallets when the trays and pallets are empty. Referring to Figure 2, each shoulder tray 200 includes a pair of tabs 250 extending from each side, and a pair of recesses 260 formed in each side. Similarly, each top/bottom tray 300 includes a pair of tabs 350 extending from each side, and a pair of recesses 360 formed in each side. Each recess 260 and 360 is wide enough and long enough to receive either a tab 250 or a tab 350 on

another tray. Tabs 250, 350 and recesses 260, 360 allow the trays 200, 300 to nest with one another when the trays are empty. This allows empty trays 200 and 300 to be stored neatly and compactly in a truck, so that they can be transported and unloaded from the truck in a secure and efficient manner.

5 Tabs 350 on top/bottom trays 300 also assist in aligning and centering the top/bottom trays 300 onto pallets 400 prior to mounting the top/bottom trays to the pallets. In Figure 2, pallet 400 includes slots 460. Tabs 350 on top/bottom tray 300a register with slots 460 only when the top/bottom tray is properly centered or positioned on top of the pallet. This ensures that top/bottom tray 300a, and the rest of the stack
10 ST, is placed in a centered and stable manner on top of pallet 400.

Tray systems in accordance with the invention may include any number of stacking units, and thus any number and arrangement of bottles. The bottles can be stacked in two or more rows extending in a first direction, and two or more columns extending in a second direction perpendicular to the first direction. Tray system 100
15 includes seven rows R_1 - R_7 and five columns C_1 - C_5 , as best seen in Figures 3 and 4. The rows and columns have alternating numbers of stacking units. That is, the rows alternate between having either two stacking units or three stacking units. The columns alternate between having either three stacking units or four stacking units. Those skilled in the art will appreciate that tray systems in accordance with the
20 invention can have fewer or more stacking units in each row and each column, and need not have the arrangement shown in the drawings. In addition, the rows and columns may have a constant number of stacking units, and the columns may have a constant number of stacking units, resulting in a grid arrangement. For example, a tray system may feature rows containing four stacking units and columns containing
25 three stacking units.

Trays and pallets in accordance with the invention may be manufactured to meet industry standard dimensions for different markets, and to accommodate different sized bottles. For example, tray systems in accordance with the invention may include components that are 40 in. by 48 in. for the U.S. market, or 1,000 mm by 1,200 mm
30 for the European market. Those skilled in the art will understand that trays and pallets in accordance with the invention can have other dimensions to meet customer specifications, and/or to accommodate different bottle sizes.

The stacking units may be integrally attached to one another, as shown in Figures 1-8, so as to form a single homogenous tray of unitary construction.

35 Alternatively, each stacking unit, or group of stacking units, may be molded as separate components that can be modularly connected to one another. For example, a strip of four integrally formed stacking units may be designed for modular connection to

- 12 -

a strip of three integrally formed stacking units. Alternatively, a strip of four integrally formed stacking units may be designed for modular connection to another strip of four integrally formed stacking units. Trays and pallets in accordance with the invention are preferably manufactured with materials that offer extremely long product life. Unlike
5 wood pallets and slip sheets, trays and pallets in accordance with the invention can be manufactured with durable warp-resistant materials that are reusable and recyclable.

Referring now to Figures 15-17, a shoulder tray 1200 is shown in accordance with another exemplary embodiment. Shoulder tray 1200 can be manufactured by a number of different processes, including but not limited to thermoforming, injection
10 molding and compression molding. Shoulder tray 1200 is similar to shoulder tray 200 but features a plurality of stacking units 1210 that are aligned with one another in a grid arrangement. There are an equal number of stacking units 1210 in each row and in each column.

Each stacking unit 1210 forms a lower receptacle 1220 for receiving the neck
15 portion of a first bottle and an upper receptacle 1240 for receiving a base portion of a second bottle stacked above the first bottle. Each upper receptacle 1240 has a bottom wall 1242. A sidewall 1244 extends around the perimeter or exterior 1202 of shoulder tray 1200, bordering the upper receptacles 1240 located along the perimeter of the shoulder tray. Unlike shoulder tray 200, the upper receptacles 1240 of shoulder tray
20 1200 do not have posts or dividers that separate the upper receptacles from one another. This provides bottles with greater freedom to slide on shoulder tray 1200.

Each lower receptacle 1220 includes a first end 1222, a second end 1224 opposite the first end, and a sidewall 1226 connecting the first end with the second end. First end 1222 and second end 1224 both have circular geometries. Sidewall
25 1226 surrounds an interior space 1221. First end 1222 forms an opening 1228 into interior space 1221 for receiving the neck of a bottle into lower receptacle 1220. Sidewall 1226 gradually tapers radially inwardly as the sidewall extends from first end 1222 to second end 1224.

Each sidewall 1226 forms a frustoconical-shaped enclosure adapted to partially
30 surround and protect the neck portion of a bottle received in a lower receptacle 1220. Sidewalls 1226 have ring-like configurations that are interrupted by flattened sections 1227. Apertures 1229 are formed through flattened sections 1227, creating passages into interior spaces 1221. The flattened sections 1227 of lower receptacles 1220 face the same direction in each row, but each row alternates with respect to the direction in
35 which the flattened sections face. As such, each flattened section 1227 of a lower receptacle faces a flattened section 1227 of another lower receptacle.

Referring to Figures 18-21, a shoulder tray 2200 is shown in accordance with another exemplary embodiment. Shoulder tray 2200 can be manufactured by a number of different processes, including but not limited to rotational molding, blow molding or twin sheet thermoforming. Shoulder tray 2200, like shoulder tray 1200,
5 features a plurality of stacking units 2210 that are aligned with one another in a grid arrangement. There are an equal number of stacking units 2210 in each row and in each column.

Each stacking unit 2210 forms a lower receptacle 2220 for receiving the neck portion of a first bottle and an upper receptacle 2240 for receiving a base portion of a
10 second bottle stacked above the first bottle. The top portion 2201 of shoulder tray 2200 includes a uniformly flat surface 2202. Each upper receptacle 2240 has a bottom wall 2242 that forms part of flat surface 2202. A sidewall 2244 extends around the perimeter or exterior 2202 of shoulder tray 2200, bordering the upper receptacles 2240 located along the perimeter of the shoulder tray. Upper receptacles 2240 of shoulder
15 tray 2200 do not have posts or dividers that separate the upper receptacles from one another.

Each lower receptacle 2220 includes a first end 2222, a second end 2224 opposite the first end, and a sidewall 2226 connecting the first end with the second end. First end 2222 and second end 2224 both have circular geometries. Sidewall
20 2226 surrounds an interior space 2221. First end 2222 forms an opening 2228 into interior space 2221 for receiving the neck of a bottle into lower receptacle 2220. Sidewall 2226 gradually tapers radially inwardly as the sidewall extends from first end 2222 to second end 2224.

Each sidewall 2226 forms a frustoconical-shaped enclosure adapted to partially
25 surround and protect the neck portion of a bottle received in a lower receptacle 2220. Sidewalls 2226 have ring-like configurations that are interrupted by flattened sections 2227. Apertures 2229 are formed through flattened sections 2227, creating passages into interior spaces 2221. The flattened sections 2227 of lower receptacles 2220 face the same direction in each row, but each row alternates with respect to the direction in
30 which the flattened sections face. As such, each flattened section 2227 of a lower receptacle faces a flattened section 2227 of another lower receptacle.

As noted earlier, trays and pallets in accordance with the invention are designed for the public display of water bottles, including store displays. Therefore, it should be understood that many elements in the illustrated embodiments are primarily or
35 exclusively ornamental, for display purposes. The ornamental elements may have a wide variety of shapes or configurations selected to meet aesthetic criteria. The appearance of these elements may be chosen to achieve a specific visual effect for the

product display. As such, the overall ornamental appearance of the trays and pallets as a whole, and individual elements thereof, may be modified in an infinite number of ways within the scope of the invention to suit particular tastes. To the extent that these elements also perform function, the elements can incorporate an infinite number of ornamental features and still perform the same function.

For example, the shape, contours, and relative dimensions of the shoulder trays need not match the exact shape, contours, and relative dimensions of shoulder trays 200, 1200 and 2200. Referring to shoulder tray 200, the tray has a uniform height with straight sides and rounded corners to provide a sleek appearance on its exterior that is symmetrical, smooth and streamlined. The exterior of shoulder tray 200 resembles a band that wraps around the stack of bottles, providing a neat and organized look. Trays 1200 and 2200 have undulating sides, as opposed to straight sides, creating scalloped look around the perimeter. These ornamental designs are in sharp contrast to a conventional pallet.

Shoulder trays in accordance with the invention may include various profiles and adornments. Instead of having a flat top surface along the outer perimeter, like the flat top edge 211 shown in Figures 5 and 6, shoulder trays in accordance with the invention may have a top edge that follows a sinusoidal wave. Ornamental aspects of the trays and pallets, like the exterior profile of the shoulder tray, can be selected to create a certain display theme or satisfy other aesthetic considerations, without influencing the function of the trays and pallets.

While preferred embodiments of the invention have been shown and described herein, it will be understood that such embodiments are provided by way of example only. Numerous variations, changes and substitutions will occur to those skilled in the art without departing from the scope of the invention. Accordingly, it is intended that the appended claims cover all such variations.

- 15 -

CLAIMS

What is Claimed:

1. A tray system for bulk storage and transportation of bottles, the tray system comprising a first tray having a plurality of stacking units, each stacking unit forming a lower receptacle for receiving a neck portion of a first bottle, each stacking unit further forming an upper receptacle for receiving a base portion of a second bottle to be stacked vertically above a first bottle, the upper receptacle having a central longitudinal axis and the lower receptacle having a central longitudinal axis aligned coaxially with the central longitudinal axis of the upper receptacle, the lower receptacle comprising a first end, a second end opposite the first end, and a sidewall connecting the first end with the second end, the first end forming an opening for receiving a neck of a second bottle into the lower receptacle.

2. The tray system of claim 1, wherein the sidewall of the lower receptacle surrounds an interior space, the cross sectional area of the interior space at the first end being greater than the cross sectional area of the interior space at the second end.

3. The tray system of claim 1, wherein the first end comprises a rim that surrounds at least a portion of the opening, the rim configured to surround at least a portion of a neck portion of a second bottle received in the lower receptacle, the rim further configured to rest on top of a shoulder portion of a second bottle received in the lower receptacle to distribute load onto a shoulder portion of a second bottle received in the lower receptacle.

4. The tray system of claim 3, wherein the rim comprises a cushion configured to rest directly on a shoulder portion of a second bottle received in the lower receptacle.

5. The tray system of claim 4, wherein the cushion comprises a gasket formed of elastomeric material.

6. The tray system of claim 1, wherein the sidewall forms a frustoconical-shaped enclosure adapted to surround at least a portion of and protect a neck portion of a second bottle received in the lower receptacle.

7. The tray system of claim 1, wherein the plurality of stacking units are arranged in two or more rows extending in a first direction, and two or more columns extending in a second direction perpendicular to the first direction.

8. The tray system of claim 7, wherein the rows each contain the same number of stacking units, and the columns each contain the same number of stacking units.

9. The tray system of claim 1, wherein the stacking units are integrally attached to one another in a single homogenous body of unitary construction.

- 16 -

10. The tray system of claim 1, wherein the stacking units are modularly connected to one another.

11. The tray system of claim 1, wherein the upper receptacle comprises a bottom wall and a sidewall extending along at least a portion of the bottom wall.

5 12. The tray system of claim 1, wherein the sidewall of the lower receptacle forms a neck brace for supporting the first tray on the shoulder portion of a first bottle, the neck brace comprising a rim surrounding at least a portion of the opening at the first end and a plurality of posts extending between the rim and the second end.

10 13. The tray system of claim 1, further comprising a second tray, the second tray comprising a plurality of receiving units for receiving either a base portion of a third bottle or a neck portion of a third bottle.

14. The tray system of claim 13, further comprising a pallet for supporting the first and second trays.

15 15. The tray system of claim 13, wherein the second tray is anchored to the pallet to form a pallet-tray unit.

1/8

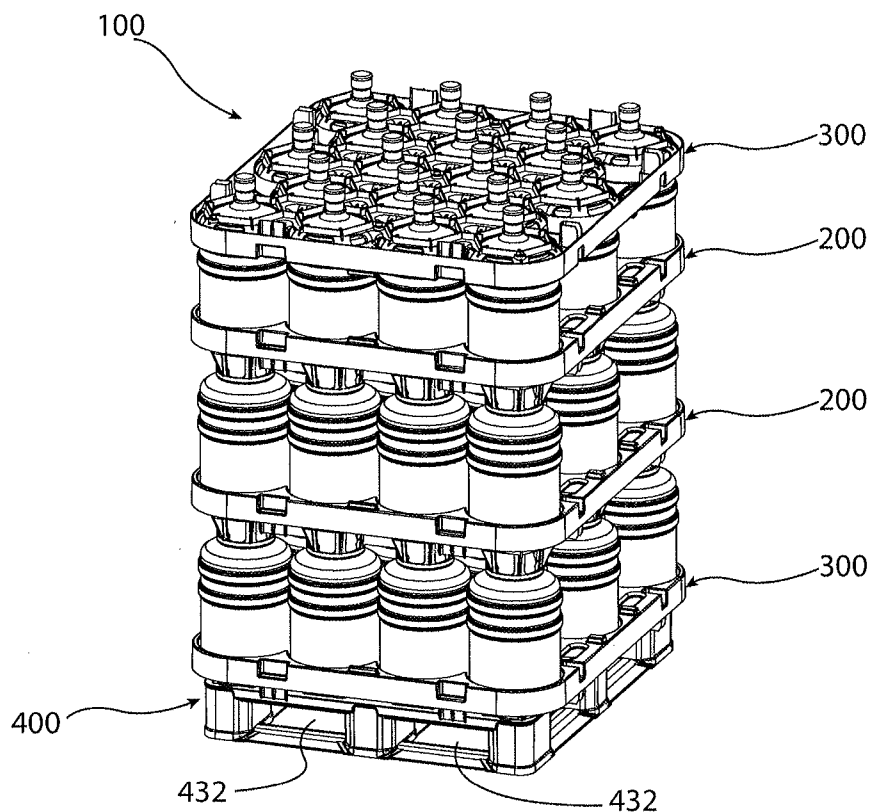


FIG. 1

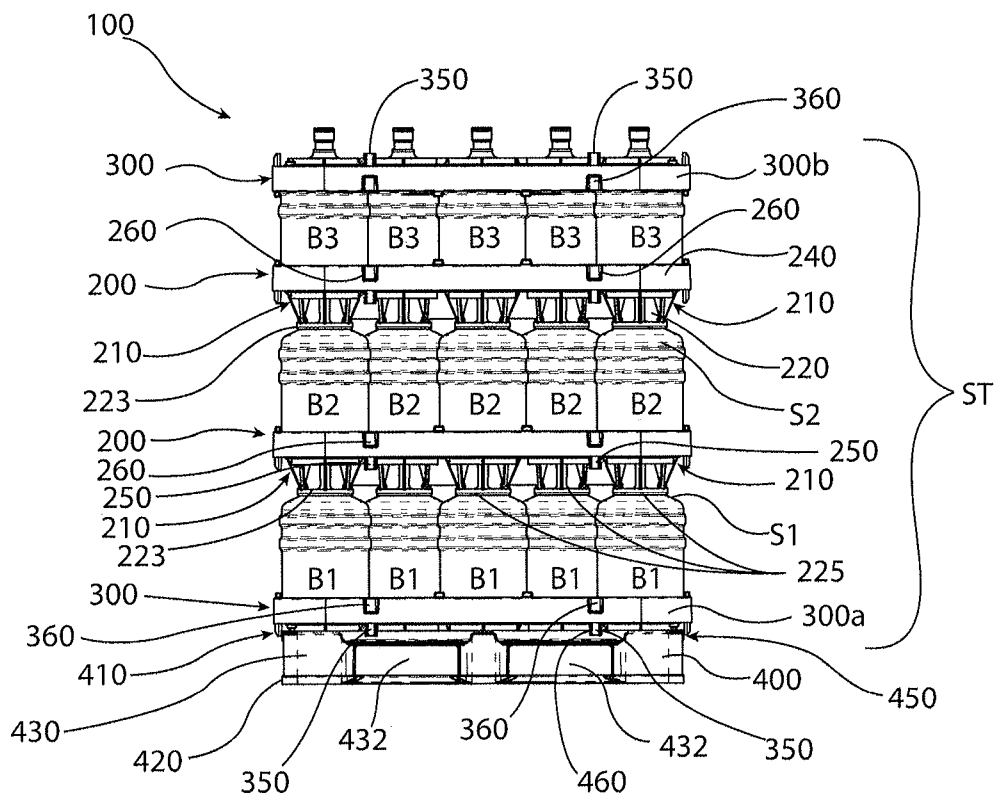


FIG. 2

2/8

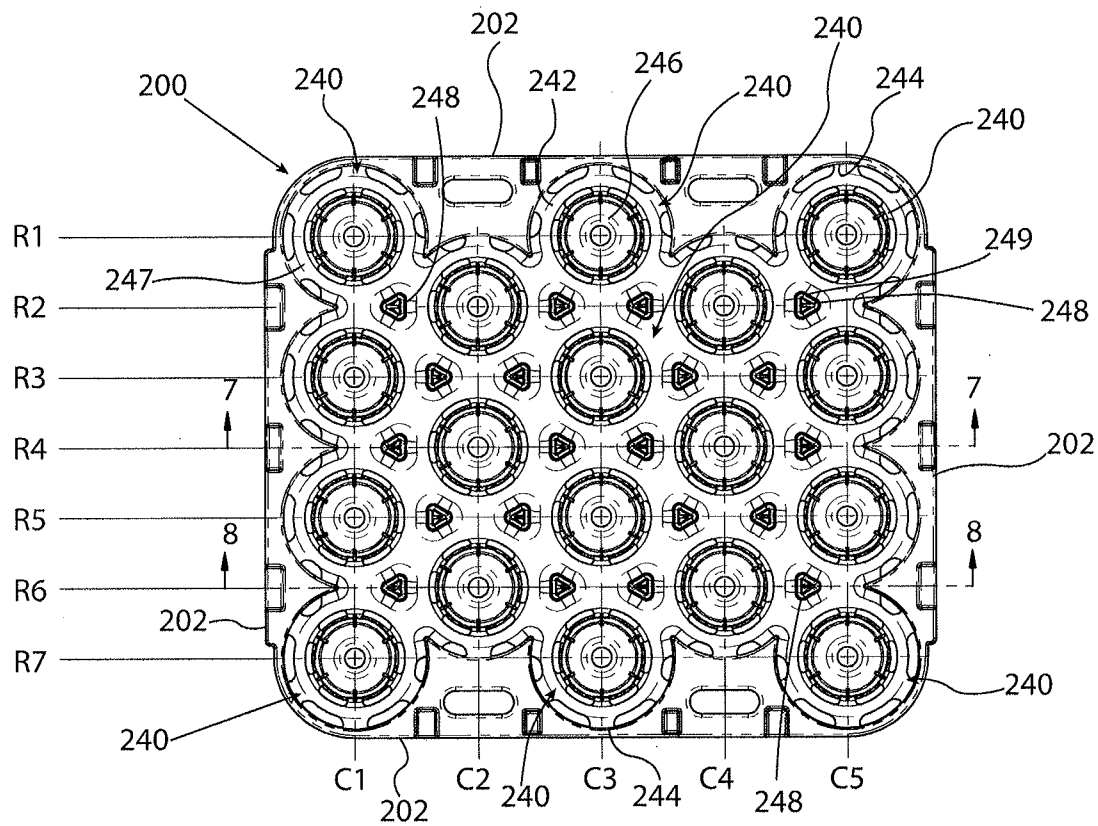


FIG. 3

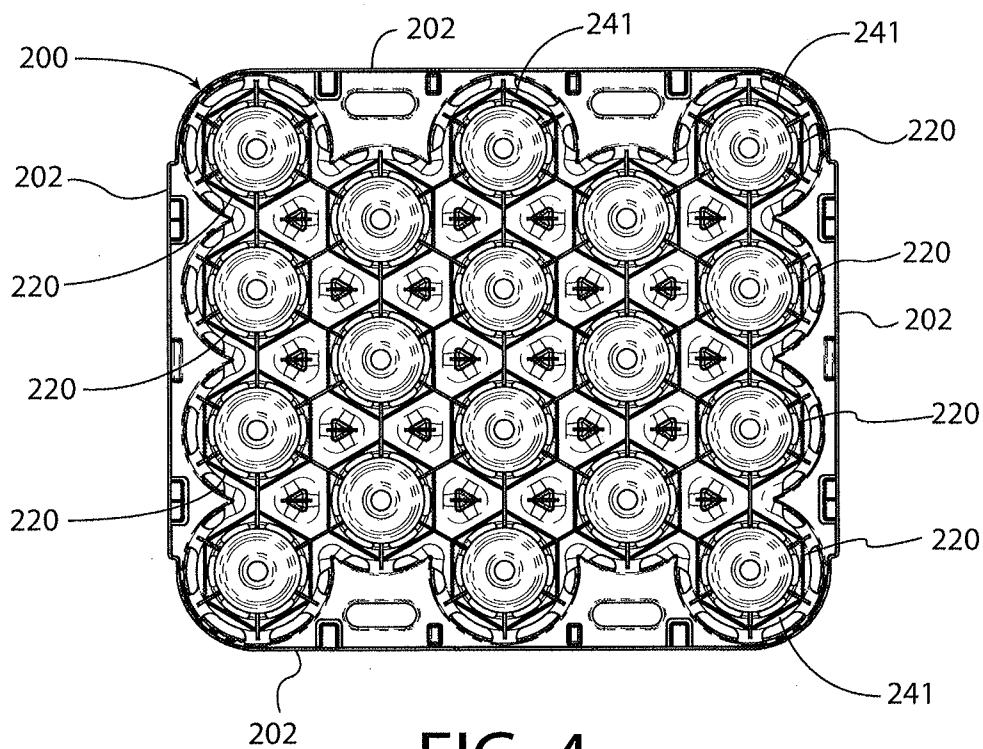


FIG. 4

3/8

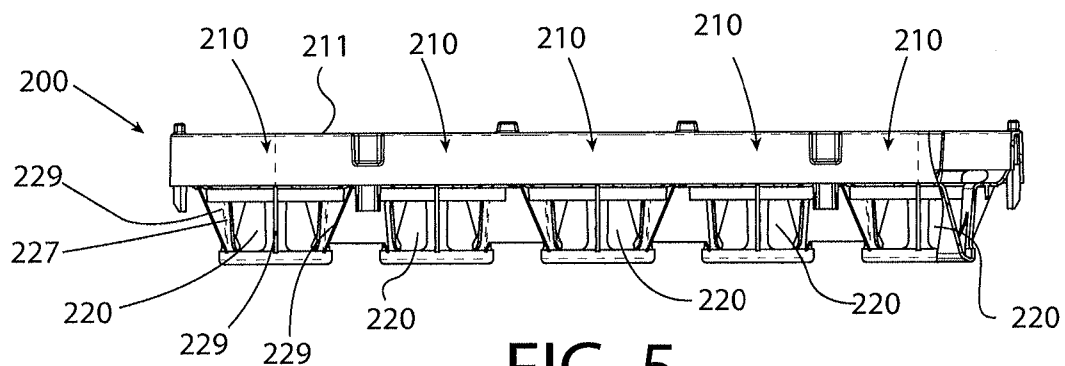


FIG. 5

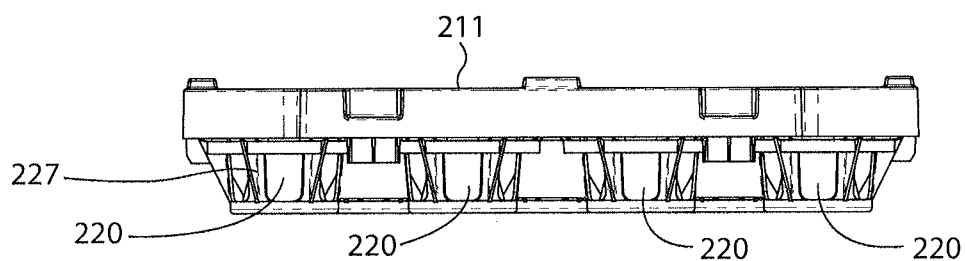


FIG. 6

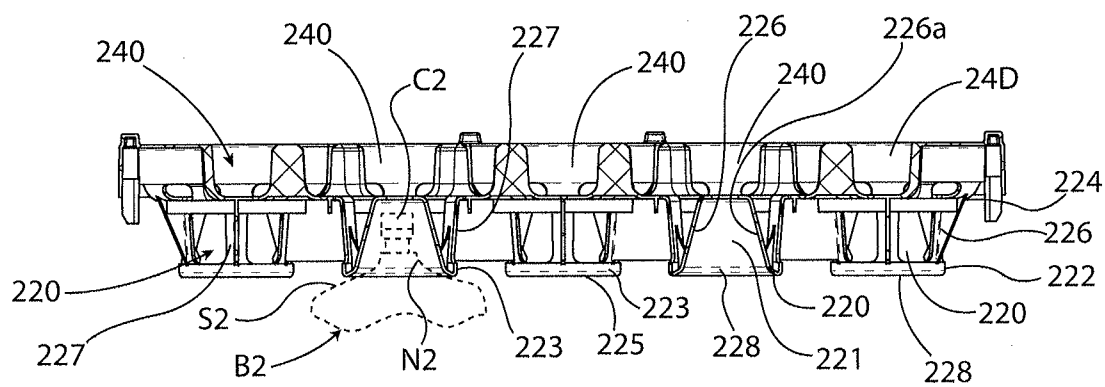


FIG. 7

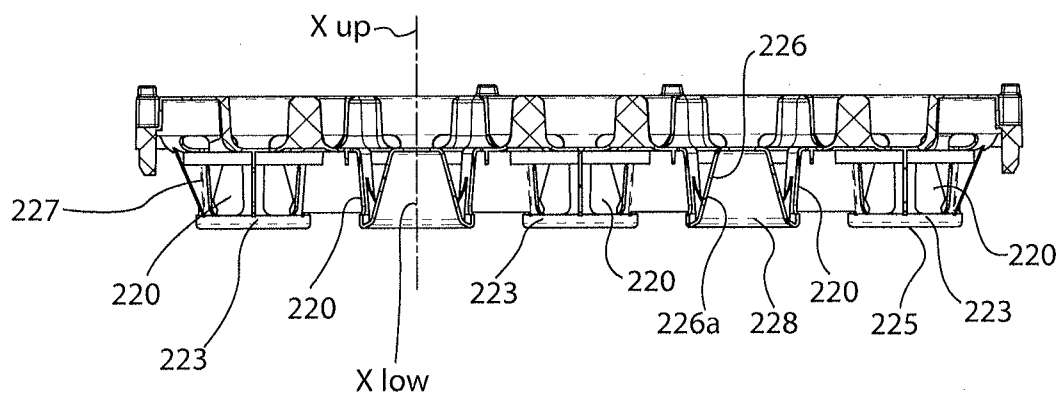


FIG. 8

4/8

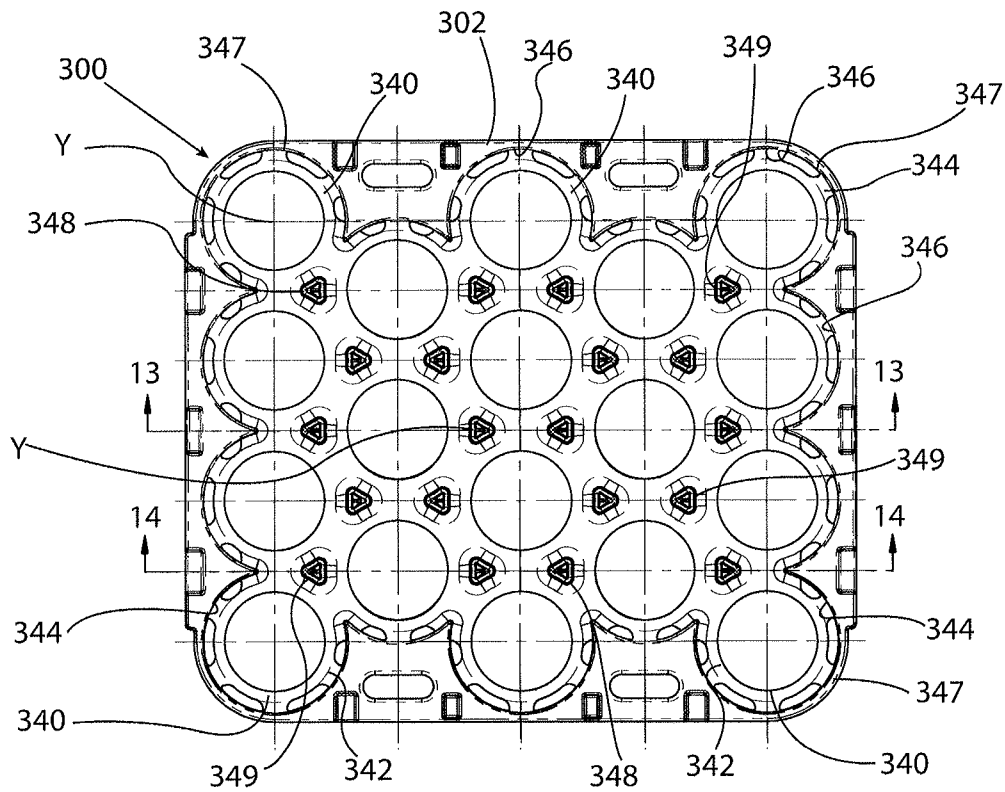


FIG. 9

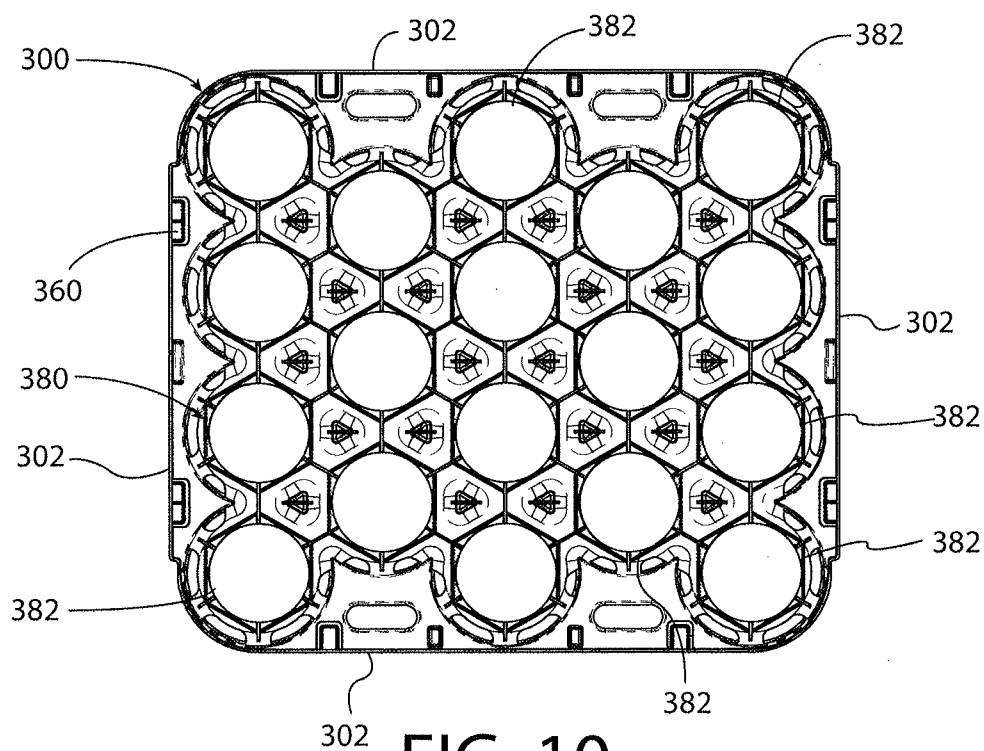


FIG. 10

5/8

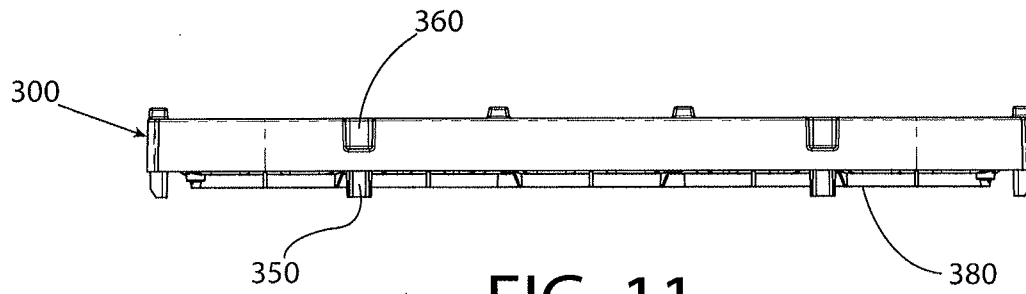


FIG. 11

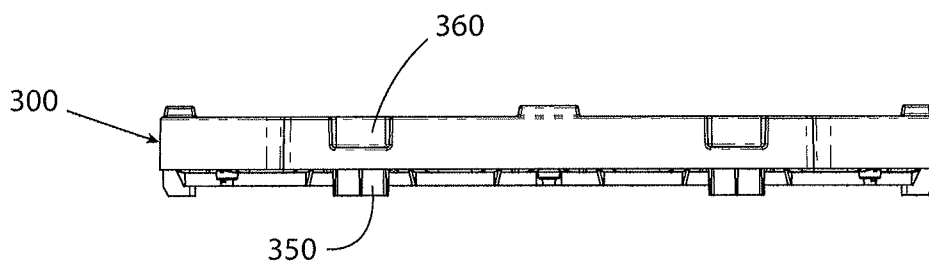


FIG. 12

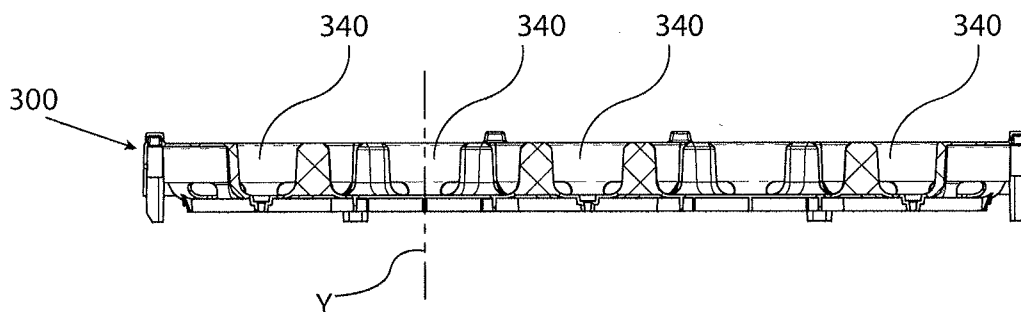


FIG. 13

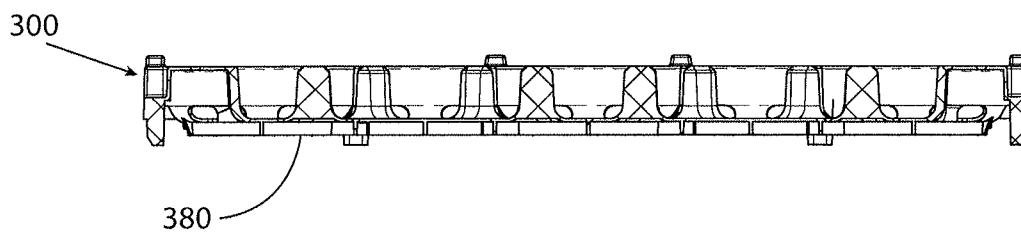


FIG. 14

6/8

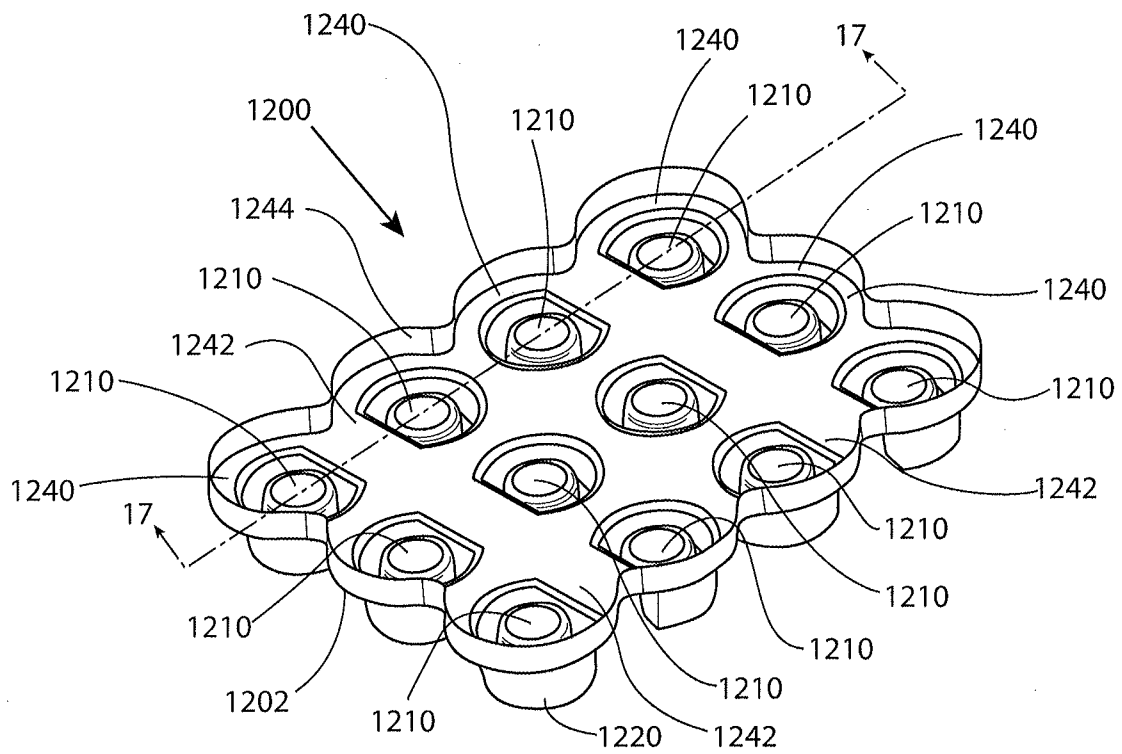


FIG. 15

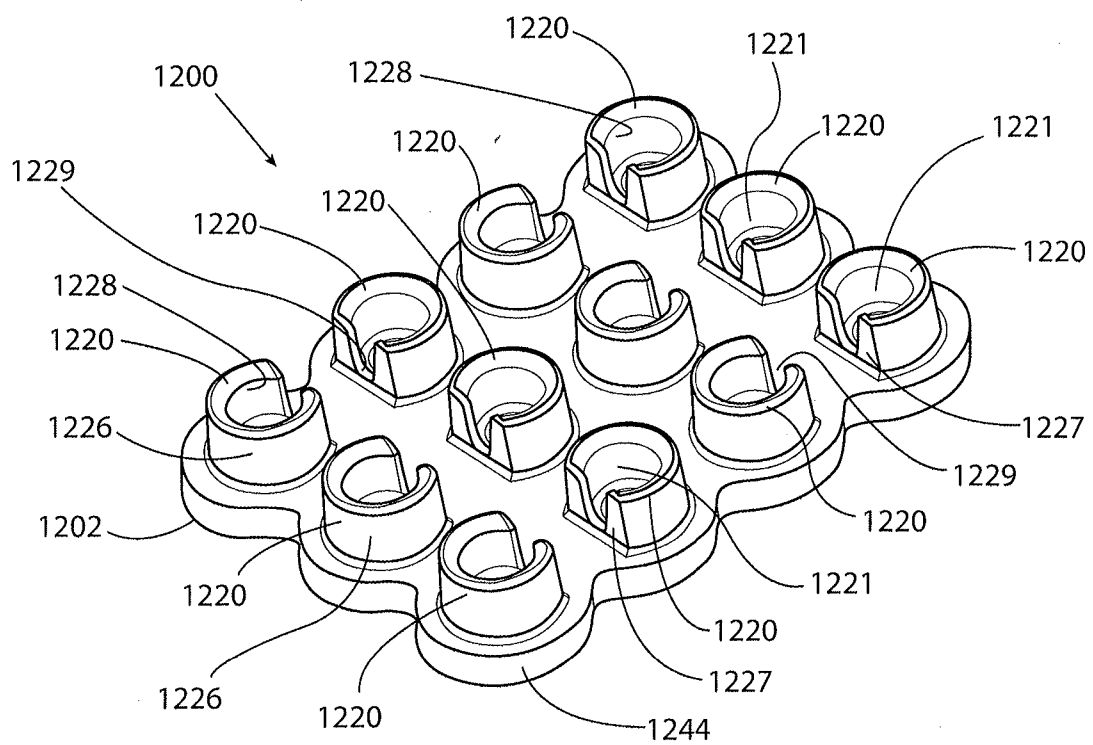


FIG. 16

7/8

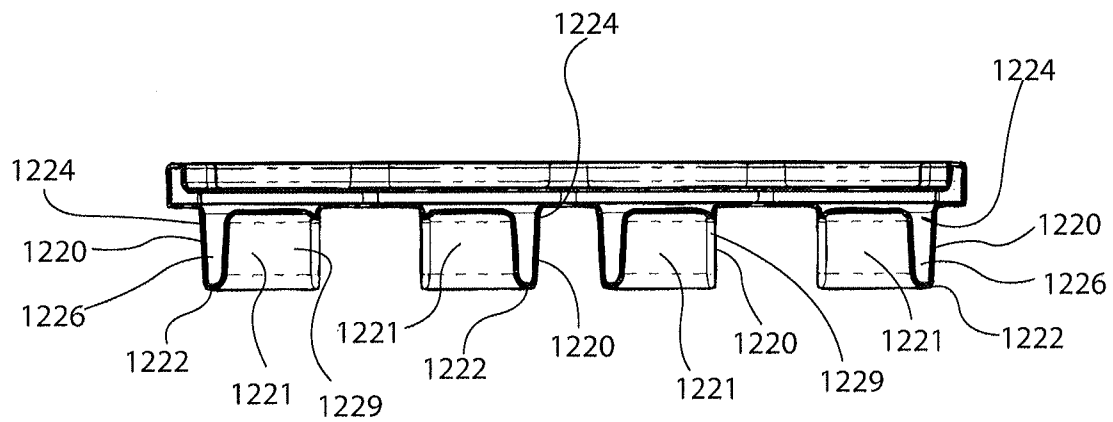


FIG. 17

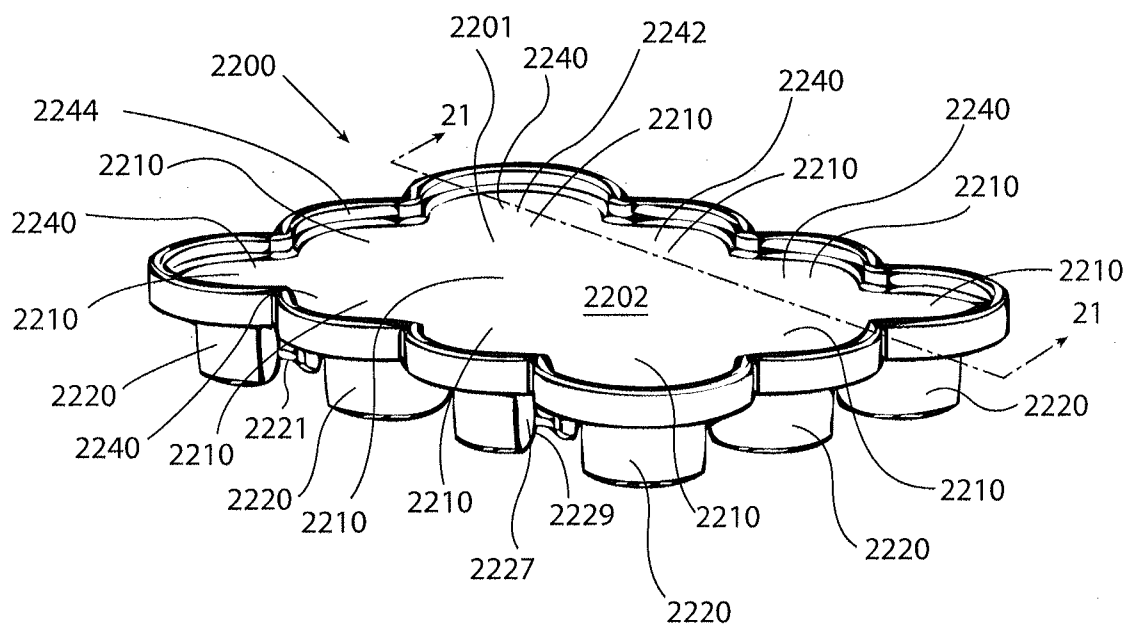


FIG. 18

8/8

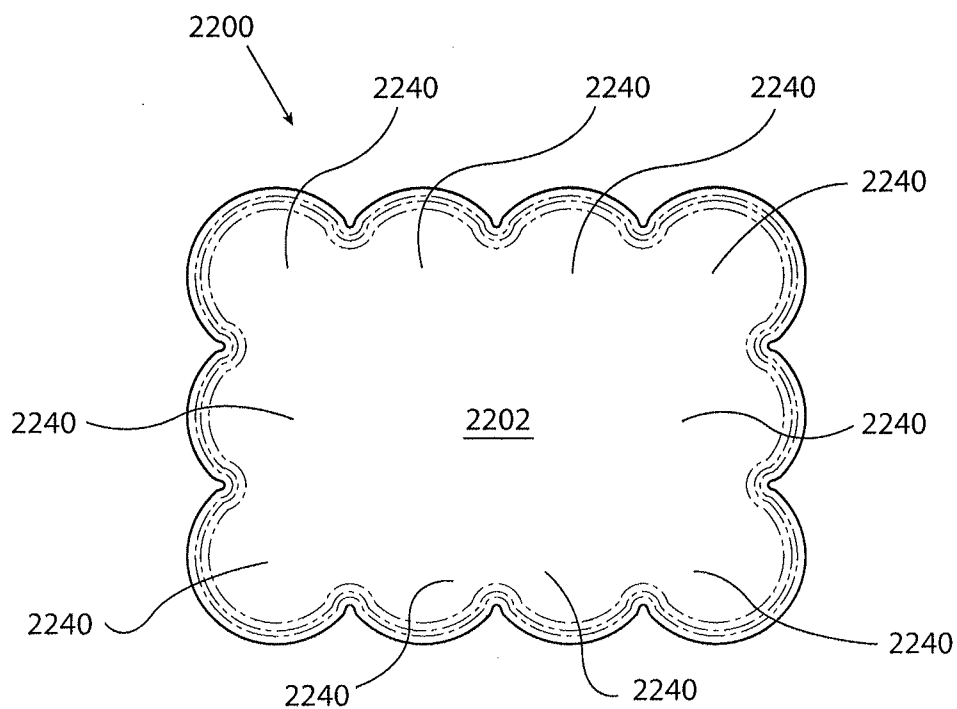


FIG. 19

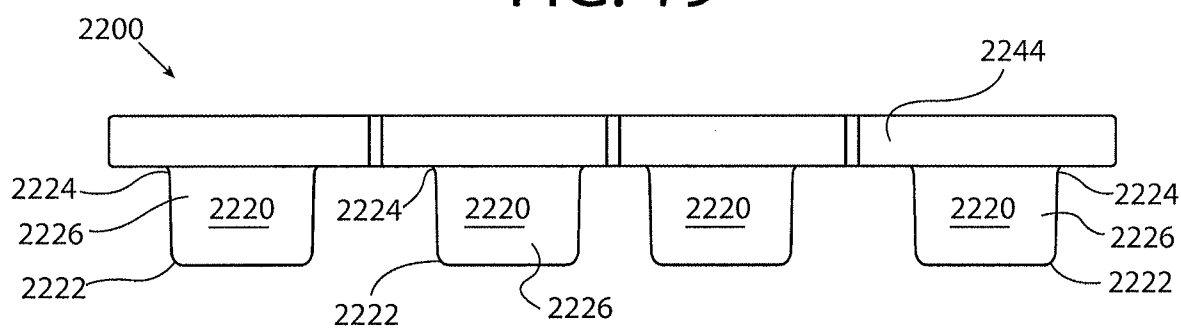


FIG. 20

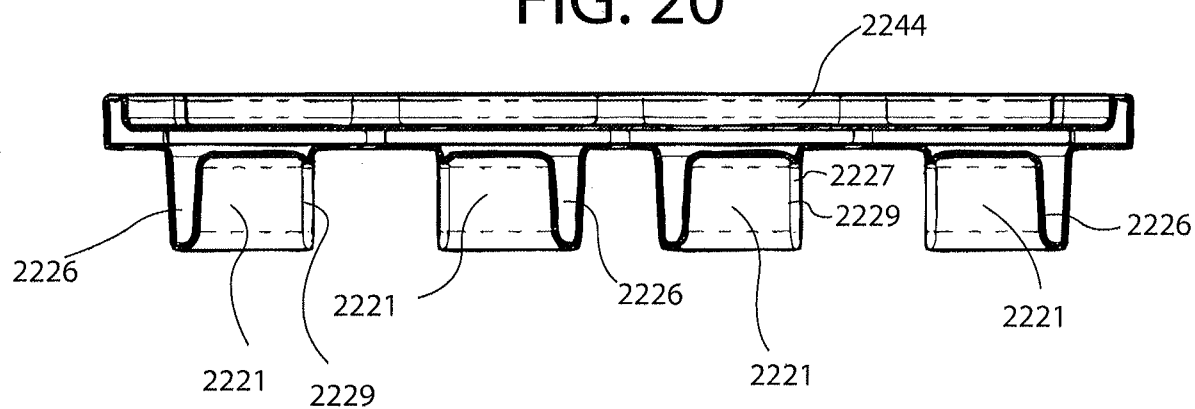


FIG. 21

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2013/023742

A. CLASSIFICATION OF SUBJECT MATTER
INV. B65D71/70
ADD. A47F7/28

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
B65D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE 20 2004 007452 U1 (DELBROUCK GMBH [DE]) 7 October 2004 (2004-10-07) paragraph [0054] - paragraph [0073] figures 1-10 -----	1,3,6-9, 11-14
X	GB 2 451 425 A (AVALON GROUP LTD [GB]) 4 February 2009 (2009-02-04) page 5 - page 11; figures 1-13 -----	1,3,7-9, 11,13-15
X	GB 2 240 326 A (FORMOLD LTD [GB]) 31 July 1991 (1991-07-31) page 4 - page 10; figures 1-8 -----	1-3,6-9, 11,13,14
X	DE 43 37 510 A1 (SCHOELLER PLAST AG [CH]) 4 May 1995 (1995-05-04) column 2, line 39 - column 3, line 16; figure ----- -/-	1,3-5, 11,13



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

7 May 2013

Date of mailing of the international search report

23/05/2013

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040,
Fax: (+31-70) 340-3016

Authorized officer

Fitterer, Johann

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2013/023742

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 377 862 A (OAKES SHAWN A [US] ET AL) 3 January 1995 (1995-01-03) column 2, line 63 - column 5, line 20; figures 1-4 -----	1,3,4, 6-9,11, 13
X	EP 0 306 074 A1 (PROCTER & GAMBLE [US]) 8 March 1989 (1989-03-08) column 5, line 14 - column 6, line 47; figures 1-4 -----	1-3, 6-11,13, 14
X	US 5 016 761 A (STODDARD DAVID C F [US] ET AL) 21 May 1991 (1991-05-21) column 3, line 33 - column 6, line 14; figures 1-9 -----	1-3,6-9, 11,13-15
X	WO 99/15427 A1 (WAVIN TREPAK B V [NL]; DEKKERS HENDRIK [NL]) 1 April 1999 (1999-04-01) page 3, line 18 - page 5, line 25; figures 1-4 -----	1,3,7-9, 11,13
X	GB 2 335 414 A (APAC ROWENA LIMITED [GB]) 22 September 1999 (1999-09-22) the whole document -----	1,3,7-9
X	US 4 360 231 A (BOLIN JAMES A) 23 November 1982 (1982-11-23) column 2, line 32 - column 4, line 63; figures 1-6 -----	1-3,6-9, 13

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2013/023742

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE 202004007452 U1	07-10-2004	DE 202004007452 U1	07-10-2004
		SE 529908 C2	27-12-2007
		SE 0501039 A	11-11-2005

GB 2451425 A	04-02-2009	NONE	

GB 2240326 A	31-07-1991	NONE	

DE 4337510 A1	04-05-1995	DE 4337510 A1	04-05-1995
		ZA 9408624 A	27-06-1995

US 5377862 A	03-01-1995	NONE	

EP 0306074 A1	08-03-1989	EP 0306074 A1	08-03-1989
		US 4944400 A	31-07-1990

US 5016761 A	21-05-1991	NONE	

WO 9915427 A1	01-04-1999	AU 9007398 A	12-04-1999
		NL 1007083 C2	22-03-1999
		WO 9915427 A1	01-04-1999

GB 2335414 A	22-09-1999	NONE	

US 4360231 A	23-11-1982	CA 1161405 A1	31-01-1984
		EP 0063592 A1	03-11-1982
		US 4360231 A	23-11-1982
		WO 8201536 A1	13-05-1982
