



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/US93/02223</p> <p>(22) International Filing Date: 3 March 1993 (03.03.93)</p> <p>(71) Applicant: WELLESLEY RESEARCH ASSOCIATES, INC. [US/US]; 6 Wellesley Avenue, Wellesley, MA 02181 (US).</p> <p>(72) Inventor: CHALIFOUX, Paul, R.; 6 Wellesley Avenue, Wellesley, MA 02181 (US).</p> <p>(74) Agent: COOK, Paul, J.; 8 Washington Street, Manchester, MA 01944 (US).</p>		<p>(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p><b>Published</b> <i>With international search report.</i></p>
<p>(54) Title: DENTAL IMPLANT SYSTEM AND APPARATUS</p>		
<p>(57) Abstract</p> <p>A dental implant (10) is provided for insertion into the jawbone of a patient for the purpose of building a dental prosthesis thereon. The implant includes a central hole (12) for accommodating a dental post (41) having wings (43). The dental post wings (43) fit into mating slots (14) in the implant hole (12) in order to effect retention of the post (41) in the implant (10) while avoiding rotation of the positioned post.</p> <div data-bbox="1161 1240 1428 1836" style="text-align: right;"> <p>The diagram shows a cylindrical dental implant (10) with a central longitudinal hole (12). Inside the hole, there are several rectangular mating slots (14) arranged circumferentially. A dental post (41) with wings (43) is shown fitting into these slots. Arrows point from labels 10, 12, 14, and 4 to their respective parts in the diagram.</p> </div>		

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Description  
Dental Implant System and Apparatus

Technical Field

This invention relates to a dental implant system  
5 which can be inserted into the jawbone of a patient and  
can be utilized to improve retention of a dental  
restoration built onto the jawbone.

Background Art

Presently, dental implant systems are utilized to fix  
10 a synthetic tooth structure to the jawbone of a patient in  
order to replace a missing tooth. The implant system  
includes an implant which is inserted into a hole in the  
patient's jawbone drilled by a dentist. The implant  
includes a hole designed to receive a dental post which,  
15 in turn, serves to retain a core upon which a tooth crown  
is built. After the implant is inserted into the jawbone,  
it is covered by the patient's gum and allowed to heal  
from 3-6 months while the bone grows to surround and  
retain the implant. The gum then is opened to expose the  
20 implant. At this time, impressions are made or a post  
needed to support the crown is positioned into the  
implant. At the present time, these posts are screwed  
into place with the implant having a helical path and the  
post having a mating helical thread. The post bottom can  
25 have threads or can have a hollow core for a screw to  
unite the post and implant. A screw system alone does not  
provide an antirotation characteristic to the implant  
system and can unscrew and loosen unless multiple screws  
are employed. A problem with this system is that the  
30 screws break during placement and during function.  
Also, the screws are small and may be dropped in the mouth  
accidentally or they are difficult to place into the back  
portion of the mouth. After the post is positioned in the  
implant, it extends above the gum so that a dental  
35 prosthesis including a core can be retained in place. All  
posts must resist normal rotational forces which occur

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during normal or abnormal functions. In general, preformed posts do not provide good stability against rotational force because they are round and rotate easily when placed in a round hole in the implant. Screw type posts can exert large lateral stresses which lead to potential implant fixture fracture and tooth loss. If filling material is placed around a preformed post above the jawbone to accept a crown after the post is positioned, the strength and long term stability of this material becomes a weak link in long term success of the crown. In addition, proper design of the post above the jaw line is critical to resist rotation or dislodging of the filling material from the post.

It has been proposed in U. S. Patents 4,480,997; 4,490,116 and Re 31,948, to utilize a threaded dental post which is introduced into the bore of a tooth stub by being rotated to thread the post into position. The dental post includes a stem portion having a slot extending through the stem thickness and along its length which renders the stem being formed of two legs each having its outside surface threaded. The outside surface of the legs intimately contact the walls of the bore so that the threads on the legs can engage the walls. In addition, a spring-like connection for the two legs is provided so that a radial outward spring force is applied to the legs to force them against the bore walls. These dental posts are undesirable since a rotational force must be applied to the post to position it properly into the bore. This positioning process is undesirable since it is time consuming and causes the patient discomfort. In addition, the possibility exists that the post will be threaded too far which will result in fracture. Furthermore, the radially outward forces of the legs on the tooth stub can result in fracture of the tooth stub over time. The same problems are present when these posts are used in conjunction with an implant positioned in a jawbone.

U. S. Patent 1,534,409 discloses a two legged post having corrugated surfaces which fit into a root canal

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having generally parallel walls. This surface design materially reduces the post surface area which contacts the canal walls and thus post retention relies primarily upon cement adhesive strength.

5           Accordingly, it would be desirable to provide a dental implant having a bore for a dental post which can be inserted into a hole in the jaw. In addition, it would be desirable to provide a dental implant with means to provide mechanical interaction in order to retain the post  
10 in the implant hole while minimizing or eliminating forces on the implant walls exerted by the post. Furthermore, it would be desirable to provide a system for utilizing such a dental implant and post system which facilitates the placement of a core and a crown.

#### 15 Disclosure of Invention

This invention provides a dental implant utilized in conjunction with a dental post in order to support dental prosthesis. The implant is sized to be positioned within a hole of the jawbone of a patient. The implant has an  
20 internal hole or bore shaped so that after a dental post has been inserted into the bore of the implant, the dental post cannot be rotated. The wall of the implant bore is provided with one or more slots or indentations which are shaped to accept one or more extensions or wings which  
25 extend from the surface of the portion of the post which fits into the implant bore. Alternatively, the slot or indentation can be located on the bottom internal surface within which a key can fit. The key extends through the post and prevents the post from rotating. The extension  
30 or wings of the dental post can be positioned within the implant slots either by being moved radially or rotationally into the slot(s). When a plurality of slots are utilized in the implant bore they can be positioned at different vertical positions and/or different radial  
35 positions at the same or different vertical height. A dental post having wings that are moved radially into the slots includes one or more flexible legs each having a

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wing. These legs can be compressed radially inward so that the post can be positioned within the implant bore and the leg then can be allowed to expand so that the wings(s) fit into the slot(s). Alternatively, the wings  
5 can be moved into the slot by means of a key which extends into a bore of the post to contact the wing and force it into a slot. Alternatively, when the wings are rotated into the slots, they can be positioned into holes on the top surface of the implant which interconnect with  
10 vertical paths in the implant. The vertical paths, in turn, connect with the slots. The wings are inserted into the vertical paths and then rotated into the slots.

#### Brief Description of Drawings

Fig. 1 is an isometric view of an implant of this  
15 invention.

Fig. 2 is a front view of a post used with the implant of Fig. 1.

Fig. 3 is a side view of the post of Fig. 2.

Fig. 4 is a cross-sectional view taken along line 4-4  
20 of Fig. 3.

Fig. 5 shows the post of Figs. 2 and 3 positioned into the implant of Fig. 1.

Fig. 6 is a top view of the post of Fig. 5.

Fig. 7 is a side view of the post and implant of Fig.  
25 5.

Fig. 8 is an isometric view of an implant-post-core system of this invention.

Fig. 9 is a side view of an alternative implant-post-core system of this invention.

Fig. 10 is a cross-sectional view of an alternative  
30 implant-post-core system of this invention.

Fig. 11 is an implant used with the post of Fig. 13.

Fig. 12 is a top view of the implant of Fig. 11.

Fig. 13 is an isometric view of a post of this  
35 invention.

Fig. 14 is a key used with the post of Fig. 13.

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Fig. 15 shows the post of Fig. 13 and the implant of Fig. 11 in place.

Fig. 16 is an isometric view of an implant of this invention.

5 Fig. 17 is an isometric view of a post used in conjunction with the implant of Fig. 16.

Fig. 18 is front view of a post useful with the implant of Fig. 16.

Fig. 19 is a view of an alternative implant of this  
10 invention with the post of Fig. 18 in place.

Fig. 20 illustrates an alternative post of this invention.

Fig. 21 illustrates the post of Fig. 20 in place in an implant.

15 Fig. 22 illustrates a post implant system of this invention wherein the post is held in place by a screw thread and a key.

Fig. 23 shows an implant having angled internal slots.

20 Fig. 24 shows a post and key which is used in conjunction with the implant of Fig. 23.

Fig. 25 shows an alternative post-implant system of this invention.

#### Best Mode for Carrying Out the Invention

25 The dental implant of this invention includes a bore having at least one slot which permits locking a mating dental post in the implant without the need for screwing the post in place. By eliminating the use of a threaded screw, pressure on the implant is eliminated. The slots  
30 utilized in the implant are discrete in that they are not in the shape of a continuous thread as would be necessary with a screw mechanism. The implant is utilized in conjunction with a dental post having a stem from which wings extend radially. The post and implant of this  
35 invention can be implanted under conditions to avoid the use of cement. Thus, the post can be removed, if desired, such as when an abscess occurs after implantation so that

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the abscess can be treated. The wings can be positioned by moving a portion of the post radially into position or by rotating the post into position or by employing a key structure to move a wing into position.

5       When a portion of the post is moved radially into position it is formed from a plurality of legs separated by a space. The legs can be radially compressed inward so that the wings can clear the top surface of the bore of the implant. When the compression force is released, the  
10 legs resume a position so that they are essentially parallel to each other and the wings fit into an indentation in the bore walls of the implant. The wings can be at the same or different heights. The wings can be the same or different sizes. The legs are not prestressed  
15 so as to avoid exertion of a force by the legs on the bore walls. By eliminating this stress in the legs, the implant fixture is not subjected to a continuing expansion force from within the implant. Therefore, the implant is less likely to fracture during normal use as compared to  
20 an implant containing a dental post that exerts a continuing expansion force on an implant.

In cases where the success of the implant is questionable, the post can be implanted without employing a dental cement in the post and implant. The wings  
25 mechanically lock the post in place, and, together with a key structure described below, lock the post and supported crown in place when the crown is cemented over the post. The elimination of cement within the implant and post is advantageous since, with present technology, when an  
30 implant fails and a post is present, the post cannot be removed for retreatment to eliminate infection. When the post is removable, as in the case when cement within post and implant is not utilized, surgery can be avoided and the implant can be treated to eliminate infection. A key  
35 apparatus can be provided which fits into the space between the post legs. The key prevents flexing of the legs after the crown and core have been positioned on the dental post.



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In the embodiment wherein the dental post is rotated into position within the implant, flexible legs in the dental post need not be included. The stem portion of the dental post can be formed of a unitary construction  
5 wherein the wings are formed integrally with the post. The post is inserted into the implant bore so that the wings fit into vertical pathways formed within the bore walls of the implant which connect with the slots. When the wings have reached the same vertical position as the  
10 slots, the post is rotated so that the wings fit into the slots. A key can be inserted into the vertical pathways to prevent rotation of the post.

In still another embodiment, a movable wing in the post can be provided which wing is positioned by means of  
15 a spring or the like in the post. After the post is inserted into the implant, a key is inserted into a space within the post to exert force against the wing to position it within a slot of the implant. The key causes the wing to be maintained within the slot.

20 Referring to Figures 1 through 7, the dental implant formed from any suitable dental material includes a hexagonal bore 12 and slots 14. The slots 14 are positioned at different vertical heights within the bore 12. The bore 12 can be of any desired shaped cross-  
25 section. The hexagonal shape permits positioning of a post in 6 different positions. Of course larger or smaller number of facets forming the bore shape can be used. The bore also can be circular, elliptical or the like. For convenience, the dental post 16 is shown with  
30 two legs 18 and 20. However, it is to be understood that up to eight legs can be formed conveniently with appropriate slots in stem section 22. The wings 24 are secured to and formed integrally with the legs 18 such as by conventional molding, machined or casting processes.  
35 The dental post 16 is inserted into the implant 10 by compressing flexible leg 18 radially inward so that the wings 24 clear the surface of the bore 12 and so that the post 16 can be inserted completely into bore 12. After

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the post 16 is inserted compression on the leg 18 is released and the wings 24 are positioned into slots 14. Thereafter, a key 26 is inserted into space 28 so that the wings 24 are maintained into the slots 14. Once, the post 5 16 is inserted into implant 10 rotation is prevented.

The dental post can be made of a variety of sizes. For example, a dental post can extend about 3 to 18 mm into the implant and 1 to 7 mm above the jawbone. A typical dental post diameter can vary between about 1.5 mm 10 and 4 mm. The wings can extend a length away from the legs a distance between about 0.1 mm and about 1 mm while the slot can vary in width between about 0.1 and 2 mm. It is to be understood that these dimensions are exemplary and will vary with the need of the patient. The sides may 15 be parallel or tapered.

As shown in Fig. 8, the legs 30 and 32 of the post 35 can have wings 36 which fit into slots of implant 38 and can have wings 40 which fit into appropriately sized slots of a core or crown 42. The post 35 can be provided with 20 holes 37 into which a tool can be inserted to assist in flexing legs 30 and 32 for insertion into implant 38.

Referring to Fig. 9, the post-implant system of this invention is shown with one wing 44 in post 46 and one slot 48 in implant 50. The leg 52 is sufficiently 25 flexible so that wing 44 can be positioned into and away from slot 48 by compressing exposed area 54 or releasing area 54 from compression force.

As shown in Fig. 10, the implant 50 can be provided with a hole 60 for the purpose of allowing bone to grow 30 into the implant 50. The post 62 is provided with wings 64 for insertion into mating slots of implant 58. The post 62 also is provided with flanges 66 to position it on implant 58 as well as provide a solid seal to the implant extension 70 to accommodate core 72. Extension 70 is 35 provided with wing 74 which fit into slots of core 72 after compression force on post 62 has been released from flanges 66. Thus, the post 62 can be utilized to position

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core 72 in proper position while eliminating the need for pressure on implant 58.

Referring to Figs. 11-15, a system of this invention is shown which permits rotational placement of the post 5 of this invention into the implant of this invention. The implant 80 is provided with a core 82 and vertical pathways 84 and slots 86 which are positioned at varying vertical positions. Pathways 84 permit insertion of wings 88 therein from post 90 so that the wings 88 can be 10 positioned into slots 86. Once so positioned, key 92 is positioned into the space and pathway 84 so that rotation of the post 90 is prevented. A cement 98 can be applied into space 96 to retain key 92 in place. Since the cement 98 is easily accessible after a core or crown (not shown) 15 is to be removed, the key of 92 can be removed if it is desired to access the implant to treat infection after the initial implant system is in place.

Referring to Figs. 16 and 17, an alternative implant-post-key system of this invention is shown. The post 41 20 includes wings 43 and a central rectangularly shaped hole to accommodate a vertically movable key 45. The key extends beyond both ends 47 and 49 of the post 41. The implant 51 includes a central hole 53 to accommodate post 41 so that surface 49 of post 41 contacts surface 57 of 25 implant 51. The wings 43 are inserted into vertical pathways 59 and the post 41 then is rotated so that wings 43 are inserted into slots 61. The key 45 then is inserted through post 41 into the rectangular hole 63 so as to prevent rotation of post 41.

30 Referring to Figs. 18 and 19 an alternative implant-post-key system of this invention is shown. The post 65 includes a leg 67 supported by a hinge 69. The implant 71 includes a hole having a rectangular cross-section. When the post is placed into the implant 71, the leg 67 swings 35 about hinge 69 so that lip 73 of leg 67 is positioned in slot 75 of implant 71. Thereafter key 77 is inserted into central rectangular holes in post 65 and implant 71 to

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prevent rotation of post 65 and to stop the leg from swinging on hinge 69.

Referring to Figs. 20 and 21, the implant 77 includes a slot 79. The post 80 includes a spring loaded tab 81  
5 having a spring 83 positioned as shown. When the key 85 is inserted into post 80, the inclined surfaces 87 and 89 contact each other to slide tab 81 into place in slot 79.

Referring to Fig. 22, a post 91 comprises a helical screw surface and an upper section 95. The post extends  
10 to bottom internal surface 97 by being screwed into threads 99 of the implant 100. The key 102 then is inserted into slot 104 of implant 100 to prevent rotation of the post 91.

Referring to Figs. 23 and 24, the implant 82 includes  
15 angled internal slots 84, a central opening 86 and a key slot 88. The post 90 includes angled tabs 92 which mate with slot 84 when post 90 is rotated. After the tabs 92 are in position within slots 84, key 94 is inserted through the center of the post 90 and into key slot 88 in  
20 order to retain the post 90 in place without the need for cement.

Referring to Fig. 25, the post 103 includes an upper section 105 and a lower section 107. A spring 109 is formed within the walls of sections 105 and 107. The  
25 spring 109 includes an extension or wings 111 which fits into slot 113 of implant 115. The elliptical key 117 fits through the central opening 119 of post 103 and extends into slot 121 located on the lower internal surface of implant 115 to prevent rotation of post 103.

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Claims

1. A dental system for insertion into a bore of a jawbone of a patient which comprises:

5 a dental implant adapted to fit in a bore of said jawbone, said dental implant having a central hole extending from a top surface of said implant through a portion of the vertical height of said implant,

at least one slot in a wall of said implant and said at least one slot open to said central hole,

10 a dental post having a stem section shaped to fit into said central hole and at least one wing extending radially from said stem section and shaped to fit into one of said slots,

and means for positioning said at least one of said 15 wings into one of said slots.

2. The dental system of claim 1 wherein means for positioning comprises a key adapted to fit within a space in said post thereby to maintain said at least one wing into said at least one slot.

20 3. The dental system of any one of claims 1 or 2 wherein said post has a plurality of wings and said implant has a plurality of slots.

4. The system of any one of claims 1 or 2 wherein said post has a plurality of wings at essentially the same 25 vertical position.

5. The system of any one of claims 1 or 2 wherein said at least one wing has a shape to fit within a vertical pathway in an inner wall of said implant and said at least one wing is positioned into said slot by rotating 30 said post.

6. A dental system for insertion into a bore of a jawbone of a patient which comprises;

a dental implant adapted to fit in a bore of said jawbone, said dental implant having a central hole 35 extending from a top surface of said implant through a portion of the vertical height of said implant and a bottom internal surface,

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at least a slot in said bottom internal surface of said implant and said slot open to said central hole, a dental post having a stem section shaped to fit into said central hole, said post having a second central hole extending through the vertical height of said post, and a key extending through said second central hole and into said slot.

7. The dental system of any one of claims 1 or 2 wherein said post has at least one wing extending radially from said stem section and said implant having at least one slot in an internal wall of said implant open to said central hole.

8. The system of any of claim 7 wherein said post has a plurality of wings and said implant has a plurality of slots.

9. The system of any one of claims 7 or 8 wherein said post has a plurality of wings at essentially the same vertical position.

10. The system of any one of claims 7 or 8 wherein said at least one wing has a shape to fit within a vertical pathway in an inner wall of said implant and said at least one wing is positioned into said slot by rotating said post.

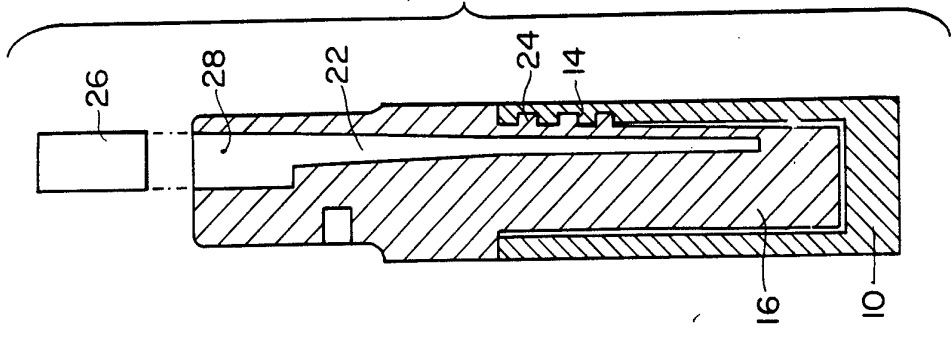


FIG. 7

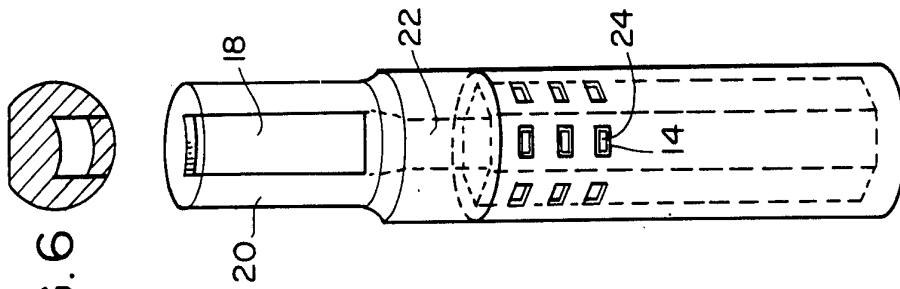


FIG. 5



FIG. 6

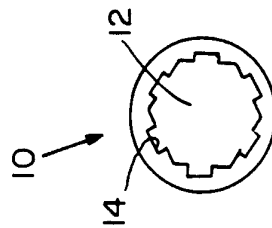


FIG. 4

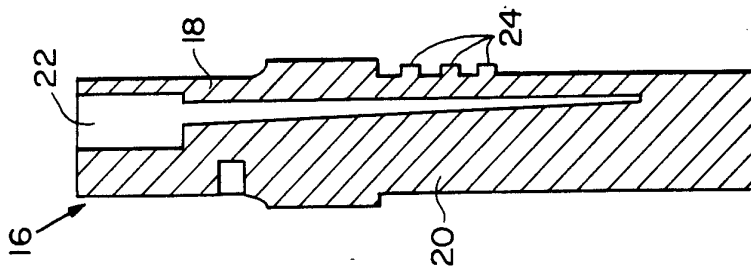


FIG. 3

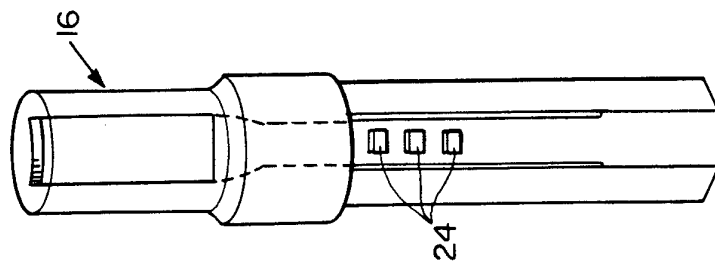


FIG. 2

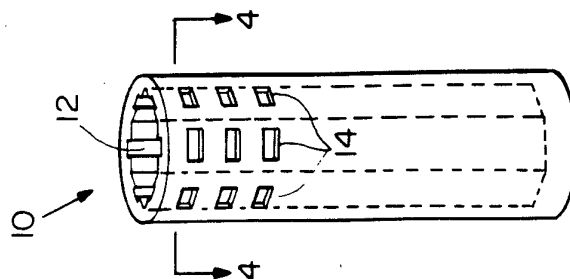


FIG. 1

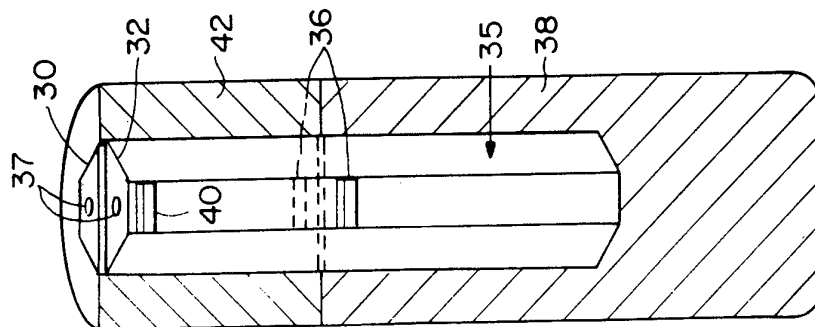


FIG. 8

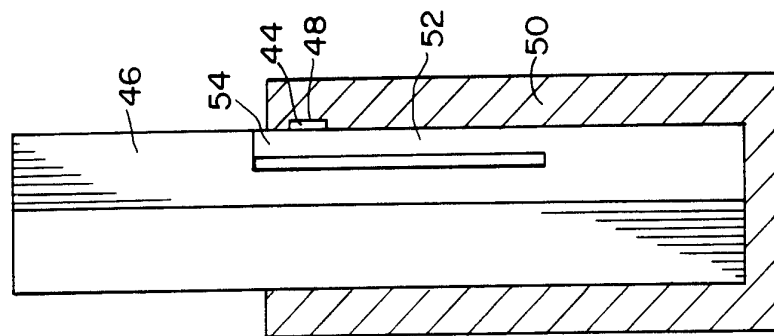


FIG. 9

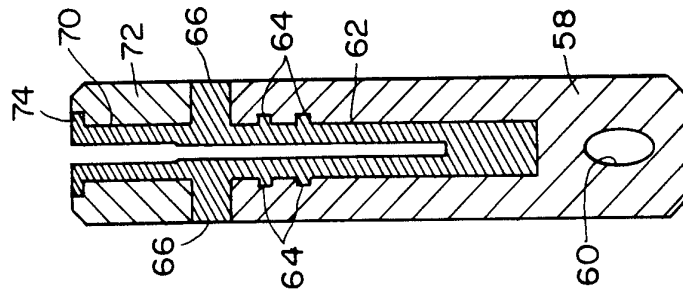


FIG. 10

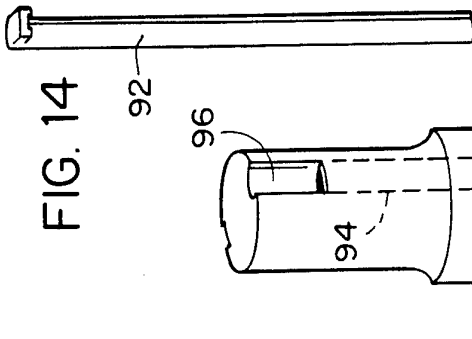


FIG. 11

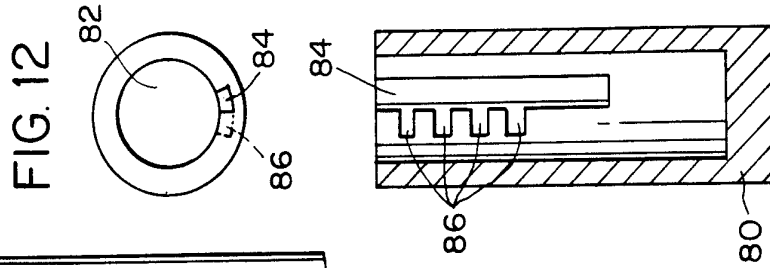


FIG. 12

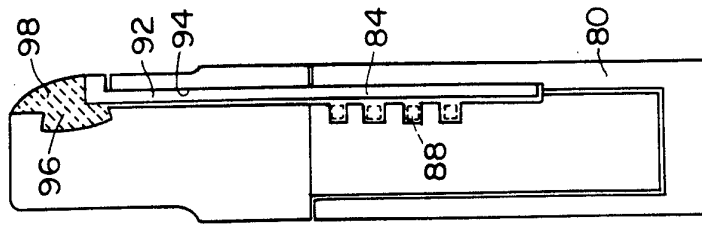


FIG. 13

FIG. 14

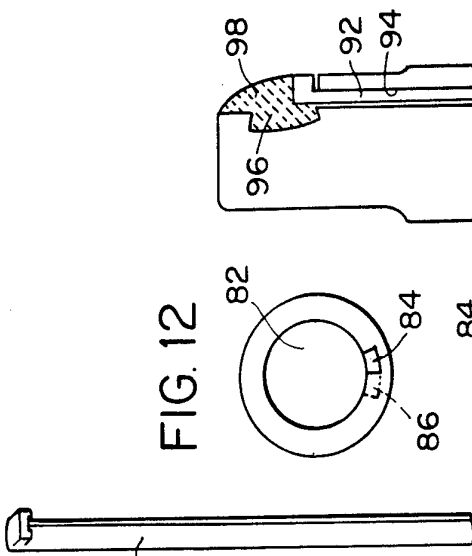


FIG. 15



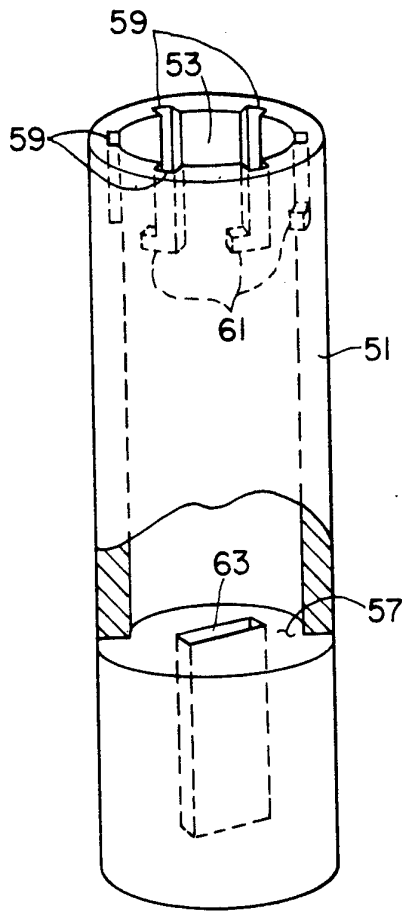


FIG. 16

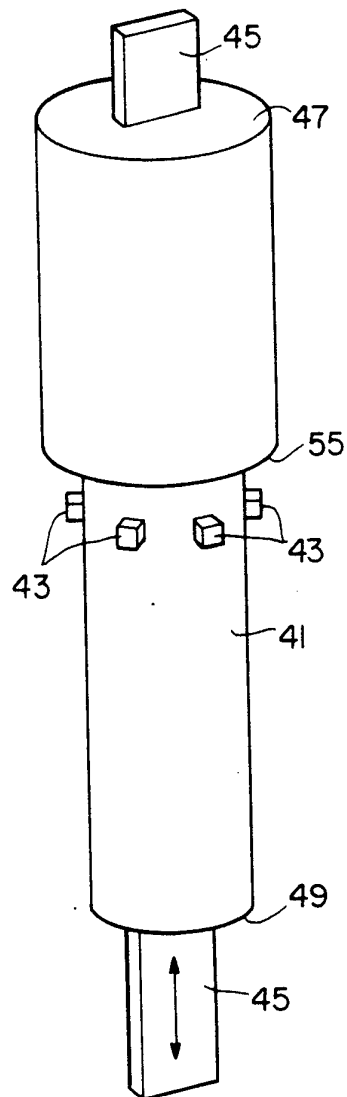


FIG. 17

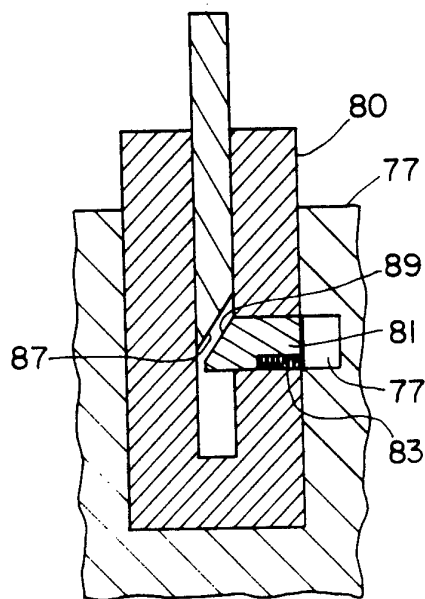


FIG. 20

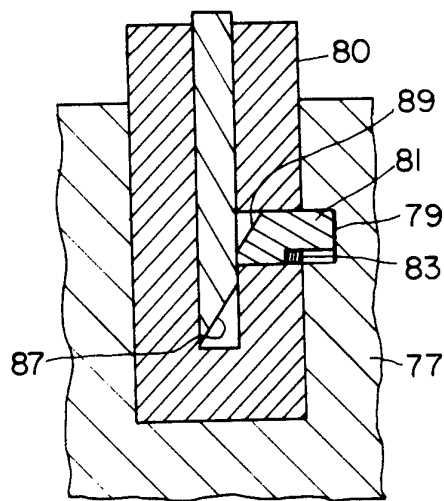


FIG. 21

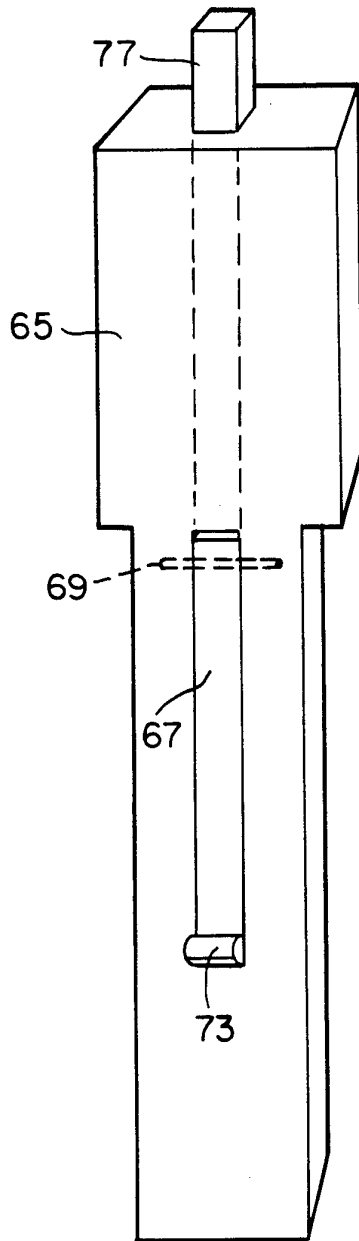


FIG. 18

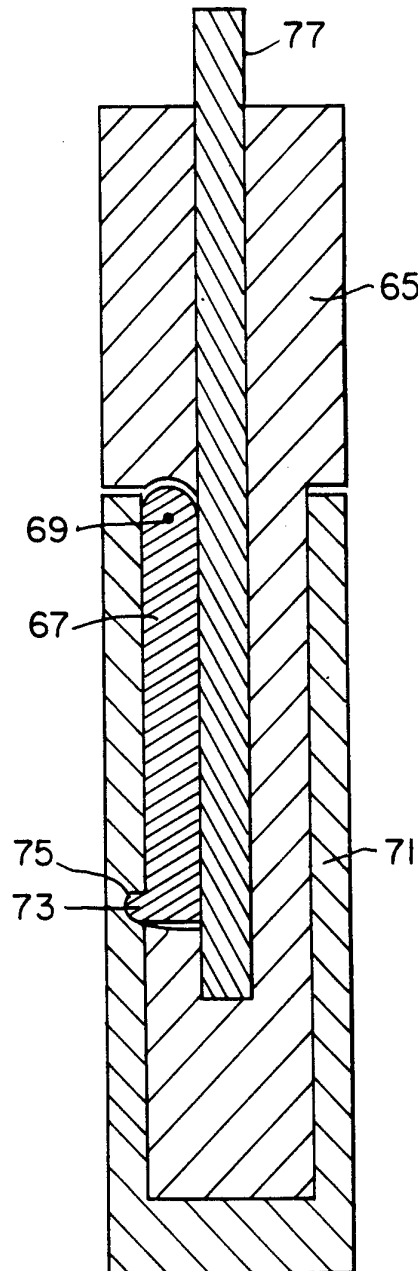


FIG. 19

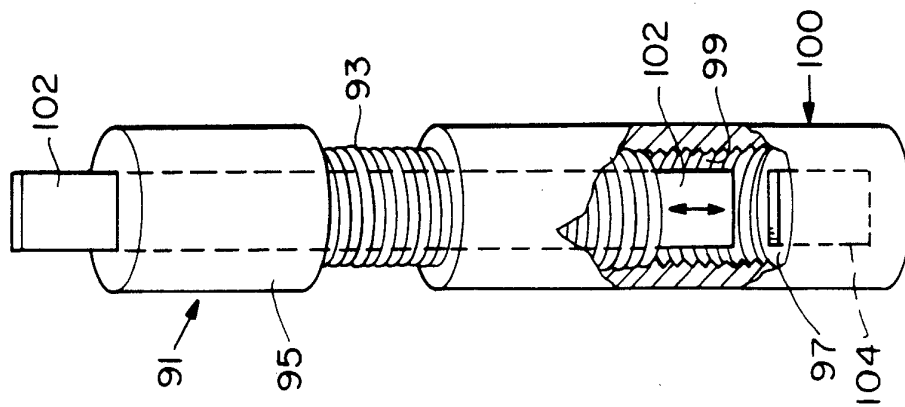


FIG. 22

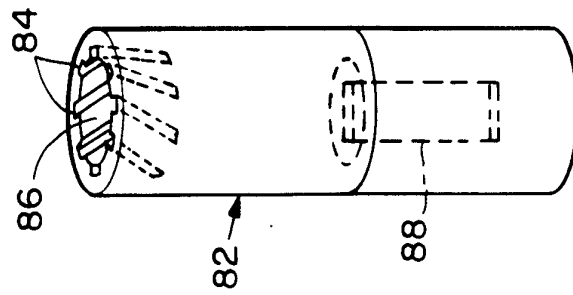


FIG. 23

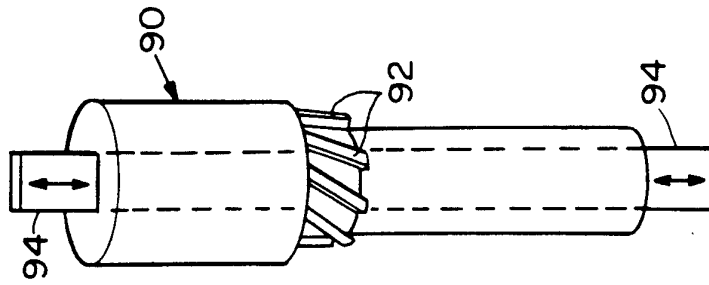


FIG. 24

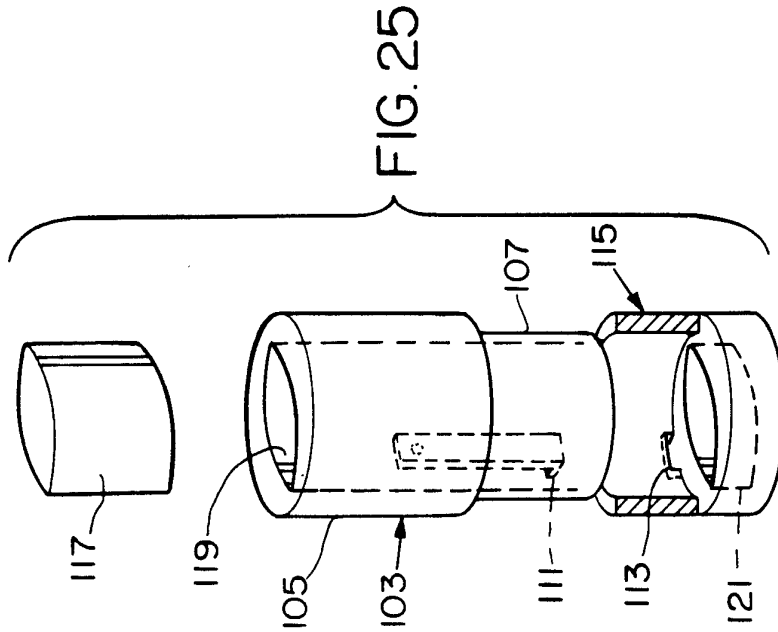


FIG. 25

INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/02223

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) :A61C 8/00

US CL :433/173

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 433/174,175,176

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US,A, 618,212 (Weissman) 09 November 1971 See entire document.	1-5,7-8
A	US,A, 2,857,670 (Kiernan, Jr.) 28 October 1958 See column 2, lines 47-54.	1
A	US,A, 3,497,953 (Weissman) 03 March 1970 See colimn 2, lines 33-49.	1
A	US,A, 3,579,831 (Stevens) 25 May 1971 See column 2, lines 33-49.	2

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be part of particular relevance

"E" earlier document published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T"

later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X"

document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y"

document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&"

document member of the same patent family

Date of the actual completion of the international search

27 APRIL 1993

Date of mailing of the international search report

29 JUN 1993

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/02223**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.: **9, 10**  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.  
 No protest accompanied the payment of additional search fees.